



**A BUDGET FOR A**

# **Better America**

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## **Appendix**

**FISCAL YEAR 2020  
BUDGET OF THE U.S. GOVERNMENT**

# THE BUDGET DOCUMENTS

***Budget of the United States Government, Fiscal Year 2020*** contains the Budget Message of the President, information on the President's priorities, and summary tables.

***Analytical Perspectives, Budget of the United States Government, Fiscal Year 2020*** contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective. This volume includes economic and accounting analyses; information on Federal receipts and collections; analyses of Federal spending; information on Federal borrowing and debt; baseline or current services estimates; and other technical presentations.

Supplemental tables and other materials that are part of the *Analytical Perspectives* volume are available at <https://www.whitehouse.gov/omb/analytical-perspectives/>.

***Appendix, Budget of the United States Government, Fiscal Year 2020*** contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committees. The *Appendix* contains more detailed financial information on individual programs and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language; budget schedules for each account; legislative proposals; narrative explanations of each budget account; and proposed general provisions applicable to the appropriations of entire agencies or group of agencies. Information is also

provided on certain activities whose transactions are not part of the budget totals.

***Major Savings and Reforms, Fiscal Year 2020***, which accompanies the President's Budget, contains detailed information on major savings and reform proposals. The volume describes both major discretionary program eliminations and reductions and mandatory savings proposals.

## ***BUDGET INFORMATION AVAILABLE ONLINE***

The President's Budget and supporting materials are available online at <https://www.whitehouse.gov/omb/budget/>. This link includes electronic versions of all the budget volumes, supplemental materials that are part of the *Analytical Perspectives* volume, spreadsheets of many of the budget tables, and a public use budget database. This link also includes *Historical Tables* that provide data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2020 or 2024. Also available are links to documents and materials from budgets of prior years.

The budget documents and other supplemental materials included at this link were previously included on the Budget CD-ROM, which is no longer made available.

For more information on access to electronic versions of the budget documents, call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase the printed documents call (202) 512-1800.

## **GENERAL NOTES**

1. All years referenced for budget data are fiscal years unless otherwise noted. All years referenced for economic data are calendar years unless otherwise noted.
2. At the time the Budget was prepared, five of the annual appropriations bills for 2019 had been enacted (the Energy and Water Development and Related Agencies Appropriations Act, 2019; the Legislative Branch Appropriations Act, 2019; the Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019; the Department of Defense Appropriations Act, 2019; and the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019). The programs and activities provided for in the seven remaining 2019 annual appropriations bills were operating under a continuing resolution (Public Law 115-245, as amended). For these programs, references to 2019 spending in the text and tables reflect the levels provided by the continuing resolution (except for the *Major Savings and Reforms* (MSV) volume which was written following enactment of all appropriations and reflects 2019 enacted for all programs).
3. Detail in this document may not add to the totals due to rounding.

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# DETAILED BUDGET ESTIMATES

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## DETAILED BUDGET ESTIMATES

The Budget *Appendix* contains various tables and schedules in support of the Budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by the Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations Acts that apply to entire agencies or groups of agencies. The "Budget Concepts" chapter in the *Analytical Perspectives* volume explains the terms and budget concepts used throughout the Budget.

### ARRANGEMENT

The second chapter in the *Appendix* presents general provisions of law that apply to all Government activities (see explanation below). Chapters for the Legislative Branch and the Judiciary follow. These are succeeded by chapters for the Executive Branch. The cabinet departments appear first in alphabetical order and are followed by the larger non-departmental agencies, such as Other Defense—Civil Programs, and the Executive Office of the President. The remaining small agencies are listed under the heading Other Independent Agencies. If the amounts in the individual accounts for other independent agencies are below the million dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under "Other Commissions and Boards." Appropriations language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area (such as military personnel in the Department of Defense).

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts;
- special fund accounts;
- public enterprise revolving funds;
- intragovernmental revolving funds and management funds;

—credit reform accounts, in the following order: program account, financing account, and liquidating account;

—trust funds; and

—trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law, the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations Acts that apply to more than one appropriation. They usually appear in separate titles of the appropriations Acts. The proposed language for general provisions of appropriations Acts that are applicable to one agency appear at the end of the section for that agency. When they apply only to the appropriations for two or more agencies covered by the Act, they will appear at the end of the section for one of those agencies. The Government-wide general provisions apply to all appropriations Government-wide.

The following table indicates the location of all general provisions. The first column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each Act. The second column provides the location of the general provisions that apply to the agencies listed in the first column. The general provisions that are Government-wide in scope (identified as "Departments, Agencies, and Corporations") contained in the Financial Services and General Government Appropriations Act, appear in a separate chapter following this one. At the time the President's 2020 Budget request was developed, five of the full-year appropriations bills for 2019 had been enacted (Divisions A-C of Public Law 115–244 and Divisions A-B of Public Law 115–245). Therefore, the programs and activities normally provided for in the remaining seven full-year appropriations bills were operating under a continuing resolution for fiscal year 2019 (Division C of Public Law 115–245, as amended). The continuing resolution carried forward the Consolidated Appropriations Act, 2018 (Public Law 115–141).

Appropriations Act	Chapter in which general provisions appear
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, P.L. 115–141.	
Department of Agriculture, excluding Forest Service.....	Department of Agriculture
Department of Health and Human Services, Food and Drug Administration.	Department of Agriculture
Commerce, Justice, Science, and Related Agencies Appropriations Act, P.L. 115–141.	
Department of Commerce.....	Department of Commerce
Department of Justice.....	Department of Justice
National Aeronautics and Space Administration.....	Department of Commerce
National Science Foundation.....	Department of Commerce
Department of Defense Appropriations Act, P.L. 115–245.....	Department of Defense
Energy and Water Development and Related Agencies Appropriations Act, P.L. 115–244.	
Department of Energy.....	Department of Energy
Corps of Engineers.....	Corps of Engineers—Civil Works
Department of the Interior, Bureau of Reclamation.....	Department of the Interior
Financial Services and General Government Appropriations Act, P.L. 115–141.	
Department of the Treasury.....	Department of the Treasury
District of Columbia.....	Other Independent Agencies

Appropriations Act	Chapter in which general provisions appear
Executive Office of the President.....	Department of the Treasury
Department of Homeland Security Appropriations Act, P.L. 115–141.....	Department of Homeland Security
Department of the Interior, Environment, and Related Agencies Appropriations Act, P.L. 115–141.	
Department of the Interior, excluding Bureau of Reclamation.....	Department of the Interior
Department of Agriculture, Forest Service.....	Department of the Interior
Department of Health and Human Services, Indian Health Service.....	Department of the Interior
Environmental Protection Agency.....	Department of the Interior
Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, P.L. 115–245.	
Department of Labor.....	Department of Labor
Department of Health and Human Services, excluding Food and Drug Administration, and the Indian Health Service.....	Department of Health and Human Services
Department of Education.....	Department of Education
Social Security Administration.....	Department of Labor
Legislative Branch Appropriations Act, P.L. 115–244.....	Legislative Branch
Military Construction and Veterans Affairs and Related Agencies Appropriations Act, P.L. 115–244.	
Department of Defense, Military Construction.....	Department of Defense
Department of Veterans Affairs.....	Department of Veterans Affairs
Department of State, Foreign Operations, and Related Programs Appropriations Act, P.L. 115–141.	
Department of State.....	Department of State and Other International Programs
Agency for International Development.....	Department of State and Other International Programs
Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, P.L. 115–141.	
Department of Transportation.....	Department of Transportation
Department of Housing and Urban Development.....	Department of Housing and Urban Development

**FORM OF DETAILED MATERIAL**

APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2020 appropriations Acts appears following the account title, and the amounts are stated in dollars. Accounts included in the enacted 2019 appropriations bills are printed in roman type as a base. Bolded brackets enclose material that is proposed for deletion; italic type indicates proposed new language. The citation to the specific appropriations Act from which the basic text of the 2019 language is taken appears at the end of the final language paragraph, printed in italic type within parentheses. If an appropriation is being proposed for the first time for an account assumed to be covered by these bills in 2020 or operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended), all of the language is printed in italics. An illustration of proposed appropriations language for 2020 follows:

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, [\$796,536,000] *\$685,644,000. (Department of Health and Human Services Appropriations Act, 2019.)*

RESEARCH AND DEVELOPMENT

*For necessary expenses of the Transportation Security Administration for research and development \$20,902,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as

amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2018 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2019, the regular schedules include the enacted appropriations. They may also include indefinite appropriations on the basis of amounts likely to be required.

The 2020 column of the regular schedules includes proposed appropriations for all programs.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in budget sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Statutory Pay-As-You-Go Act of 2010 (2 U.S.C. 931–39). Appropriations language is included with the regular schedule, but usually not with the separate schedules for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation.

PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:

- obligations by program activity;
- budgetary resources;
- change in obligated balance; and
- budget authority and outlays, net.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is de-

veloped for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry, "Total new obligations, unexpired accounts" indicates the amount of budgetary resources required to finance the activities of the account.

The "Budgetary resources" section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of prior year's resources that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. This section provides detailed information on the total new budget authority (gross) available to finance the program. It includes information on the type of budget authority that is available, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations Acts) or mandatory (controlled by other laws).

The "Change in obligated balance" section shows components of the change in obligated balances from the start to the end of the year. The two components of the obligated balance—unpaid obligations and uncollected payments from Federal sources—are presented separately. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements to liquidate obligations (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts and recoveries of prior year unpaid obligations, are included as appropriate, resulting in the end-of-year obligated balance.

The "Budget authority and outlays, net" section bridges from gross budget authority and outlays to net budget authority and outlays. The section presents discretionary and mandatory amounts separately and indicates whether the outlays pertain to balances or new authority. It also indicates the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in uncollected payments from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

A schedule titled "Summary of Budget Authority and Outlays" immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or current year cancellation proposals.

#### NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2020. They may include measures of expected performance and describe a relationship to the financial estimates.

#### SCHEDULE OF OBJECT CLASSIFICATION AND EMPLOYMENT SUMMARY

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, "Total personnel compensation" sums the amounts in object classes 11.1 through 11.8. Except for revolving funds, reimbursable obligations are aggregated in a single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed

in the Program and Financing Schedule on the "Total new obligations, unexpired accounts" line.

When obligations for personnel compensation are shown in the object classification schedule, an employment summary generally follows the object classification schedule.

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

#### BALANCE SHEETS

Balance sheets are presented for all direct and guaranteed loan liquidating and financing accounts and most Government-sponsored enterprises.

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated capital, which is shown in the equity section. The amounts in the 2017 column are audited.

#### FEDERAL CREDIT SCHEDULES

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 (2 U.S.C. 661–661f) requires that the costs of direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations Acts. Annual limitations on the amount of obligations and commitments may also be enacted in appropriations language. For additional information on Federal Credit Reform Act accounts, see below.

Appropriations for the costs of direct loans and loan guarantees are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also recorded in the program account, but on a cash basis. All cash flows to and from the public arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. This schedule displays credit program information at the risk category level. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for financing accounts and liquidating accounts. Summary information on Federal credit programs is provided in the chapter titled "Credit and Insurance" in the *Analytical Perspectives* volume of the Budget.

## SPECIAL AND TRUST FUND RECEIPTS SCHEDULE

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are only available for investment or precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. When present, it appears after the appropriation language, but before the Program and Financing schedule for the account.

## STATUS OF FUNDS SCHEDULE

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. It also includes outstanding debt for certain funds. When present, it appears after the narrative statement for the account.

## GENERAL FUND RECEIPT ACCOUNTS SCHEDULE

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It is printed at the end of the presentation for the agency, before any general provisions.

## ALLOCATIONS BETWEEN AGENCIES

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

## FEDERAL CREDIT REFORM ACT ACCOUNTS

## PROGRAM ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## DIRECT LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## GUARANTEED LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## BUDGETS FOR OFFICES OF INSPECTOR GENERAL

The "separate statement of the budget estimate" for each Office of Inspector General, referenced in section 6(f)(3)(A) of the Inspector General Act of 1978 ("the Act"; 5 U.S.C. App.), is included in the respective congressional justification for that Office. In addition, the Office of the Inspector General for the Department of Homeland Security, the Office of the Inspector General for the Department of Justice, the Office of the Inspector General for the United States Agency for International Development, the Office of the Inspector General for the Department of Transportation, the Office of the Inspector General for the Environmental Protection Agency, the Office of the Inspector General for the Corporation for National and Community Service, and the Special Inspector General for the Troubled Asset Relief Program have each submitted comments setting forth their respective conclusions that this Budget's request for their offices "would substantially inhibit the Inspector General from performing the duties of the office" under section 6(f)(3)(E) of the Act. These comments are included in the congressional justification for each agency.

## BUDGETS NOT SUBJECT TO REVIEW

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System are not subject to review. Data for these entities are included for information purposes only.

## GENERAL PROVISIONS GOVERNMENT-WIDE

### GENERAL PROVISIONS GOVERNMENT-WIDE

#### DEPARTMENTS, AGENCIES, AND CORPORATIONS (INCLUDING TRANSFER OF FUNDS)

SEC. 701. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year 2020 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act (21 U.S.C. 802)) by the officers and employees of such department, agency, or instrumentality.

SEC. 702. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with subsection 1343(c) of title 31, United States Code, for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement vehicles, protective vehicles, and undercover surveillance vehicles), is hereby fixed at \$19,947 except station wagons for which the maximum shall be \$19,997: Provided, That these limits may be exceeded by not to exceed \$7,250 for police-type vehicles: Provided further, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: Provided further, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles: Provided further, That the limits set forth in this section shall not apply to any vehicle that is a commercial item and which operates on alternative fuel, including but not limited to electric, plug-in hybrid electric, and hydrogen fuel cell vehicles.

SEC. 703. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 704. Unless otherwise specified in law during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person who is lawfully admitted for permanent residence and is seeking citizenship as outlined in 8 U.S.C. 1324b(a)(3)(B); (3) is a person who is admitted as a refugee under 8 U.S.C. 1157 or is granted asylum under 8 U.S.C. 1158 and has filed a declaration of intention to become a lawful permanent resident and then a citizen when eligible; or (4) is a person who owes allegiance to the United States: Provided, That for purposes of this section, affidavits signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status are being complied with: Provided further, That for purposes of subsections (2) and (3) such affidavits shall be submitted prior to employment and updated thereafter as necessary: Provided further, That any person making a false affidavit shall be guilty of a felony, and upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: Provided further, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: Provided further, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government: Provided further, That this section shall not apply to any person who is an officer or employee of the Government of the United States on the date of enactment of this Act, or to international broadcasters employed by the Broadcasting Board of Governors, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies: Provided further, That this section does not apply to the employment as Wildland firefighters for not more than 120 days of nonresident aliens employed by the Department of the Interior or the USDA Forest Service pursuant to an agreement with another country.

SEC. 705. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 479), the Public Buildings Amendments of 1972 (86 Stat. 216), or other applicable law.

SEC. 706. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. 13834 (May 17, 2018), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 707. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this heading, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: Provided, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

SEC. 708. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are interagency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. 709. None of the funds made available pursuant to the provisions of this or any other Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a joint resolution duly adopted in accordance with the applicable law of the United States.

SEC. 710. During the period in which the head of any department or agency, or any other officer or civilian employee of the Federal Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is transmitted to the Committees on Appropriations of the House of Representatives and the Senate. For the purposes of this section, the term "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. 711. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 13618 (July 6, 2012).

SEC. 712. (a) None of the funds made available by this or any other Act may be obligated or expended by any department, agency, or other instrumentality of the Federal Government to pay the salaries or expenses of any individual appointed to a position of a confidential or policy-determining character that is excepted from the competitive service under section 3302 of title 5, United States Code, (pursuant to schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations) unless the head of the applicable department, agency, or other instrumentality employing such schedule C individual certifies to the Director of the Office of Personnel Management that the schedule C position occupied by the individual was not created solely or primarily in order to detail the individual to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed forces detailed to or from an element of the intelligence community (as that term is defined under section 3(4) of the National Security Act of 1947 (50 U.S.C. 3003(4))).

SEC. 713. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee's home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

SEC. 714. (a) In this section, the term "agency"—

(1) means an Executive agency, as defined under 5 U.S.C. 105; and

(2) includes a military department, as defined under section 102 of such title, the Postal Service, and the Postal Regulatory Commission.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under 5 U.S.C. 6301(2), has an obligation to expend an honest effort and a reasonable proportion of such employee's time in the performance of official duties.

SEC. 715. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, funds made available for the current fiscal year by this or any other Act to any department or agency, which is a member of the Federal Accounting Standards Advisory Board (FASAB), shall be available to finance an appropriate share of FASAB administrative costs.

SEC. 716. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, the head of each Executive department and agency is hereby authorized to transfer to or reimburse "General Services Administration, Government-wide Policy" with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year by this or any other Act, including rebates from charge card and other contracts: Provided, That these funds shall be administered by the Administrator of General Services to support Government-wide and other multi-agency financial, information technology, procurement, and other management innovations, initiatives, and activities, including improving coordination and reducing duplication, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency and multi-agency groups designated by the Director (including the President's Management Council for overall management improvement initiatives, the Chief Financial Officers Council for financial management initiatives, the Chief Information Officers Council for information technology initiatives, the Chief Human Capital Officers Council for human capital initiatives, the Chief Acquisition Officers Council for procurement initiatives, and the Performance Improvement Council for performance improvement initiatives): Provided further, That the total funds transferred or reimbursed shall not exceed \$15,000,000 to improve coordination, reduce duplication, and for other activities related to Federal Government Priority Goals established by 31 U.S.C. 1120, and not to exceed \$17,000,000 for Government-Wide innovations, initiatives, and activities: Provided further, That the funds transferred to or for reimbursement of "General Services Administration, Government-wide Policy" during fiscal year 2020 shall remain available for obligation through September 30, 2021: Provided further, That such transfers or reimbursements may only be made after 15 days following notification of the Committees on Appropriations of the House of Representatives and the Senate by the Director of the Office of Management and Budget.

SEC. 717. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. 718. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: Provided, That the Office of Management and Budget shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science and Technology, and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. 719. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall comply with any relevant requirements in part 200 of title 2, Code of Federal Regulations: Provided, That this section shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. 720. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF INDIVIDUALS' INTERNET USE.—None of the funds made available in this or any other Act may be used by any Federal agency—

(1) to collect, review, or create any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or

(2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

(1) any record of aggregate data that does not identify particular persons;

(2) any voluntary submission of personally identifiable information;

(3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or

(4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to providing the Internet site services or to protecting the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

(1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.

(2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

SEC. 721. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

(1) any of the following religious plans:

(A) Personal Care's HMO; and

(B) OSF HealthPlans, Inc.; and

(2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. 722. The United States is committed to ensuring the health of its Olympic, Pan American, and Paralympic athletes, and supports the strict adherence to anti-doping in sport through testing, adjudication, education, and research as performed by nationally recognized oversight authorities.

SEC. 723. Notwithstanding any other provision of law, funds appropriated for official travel to Federal departments and agencies may be used by such departments and agencies, if consistent with Office of Management and Budget Circular A-126 regarding official travel for Government personnel, to participate in the fractional aircraft ownership pilot program.

SEC. 724. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without the advance notification to the Committees on Appropriations of the House of Representatives and the Senate, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. 725. Unless otherwise authorized by existing law, none of the funds provided in this or any other Act may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States, unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.

SEC. 726. (a) IN GENERAL.—None of the funds appropriated or otherwise made available by this or any other Act may be used for any Federal Government contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity.

(b) WAIVERS.—

(1) IN GENERAL.—Any Secretary shall waive subsection (a) with respect to any Federal Government contract under the authority of such Secretary if the Secretary determines that the waiver is required in the interest of national security.

(2) REPORT TO CONGRESS.—Any Secretary issuing a waiver under paragraph (1) shall report such issuance to Congress.

(c) EXCEPTION.—This section shall not apply to any Federal Government contract entered into before the date of the enactment of this Act, or to any task order issued pursuant to such contract.

SEC. 727. During fiscal year 2020, for each employee who—

(1) retires under section 8336(d)(2) or 8414(b)(1)(B) of title 5, United States Code; or

(2) retires under any other provision of subchapter III of chapter 83 or chapter 84 of such title 5 and receives a payment as an incentive to separate, the separating agency shall remit to the Civil Service Retirement and Disability Fund an amount equal to the Office of Personnel Management's average unit cost of processing a retirement claim for the preceding fiscal year. Such amounts shall

be available until expended to the Office of Personnel Management and shall be deemed to be an administrative expense under section 8348(a)(1)(B) of title 5, United States Code.

SEC. 728. (a) None of the funds made available in this or any other Act may be used to recommend or require any entity submitting an offer for a Federal contract to disclose any of the following information as a condition of submitting the offer:

(1) Any payment consisting of a contribution, expenditure, independent expenditure, or disbursement for an electioneering communication that is made by the entity, its officers or directors, or any of its affiliates or subsidiaries to a candidate for election for Federal office or to a political committee, or that is otherwise made with respect to any election for Federal office.

(2) Any disbursement of funds (other than a payment described in paragraph (1)) made by the entity, its officers or directors, or any of its affiliates or subsidiaries to any person with the intent or the reasonable expectation that the person will use the funds to make a payment described in paragraph (1).

(b) In this section, each of the terms "contribution", "expenditure", "independent expenditure", "electioneering communication", "candidate", "election", and "Federal office" has the meaning given such term in the Federal Election Campaign Act of 1971 (2 U.S.C. 431 et seq.).

SEC. 729. None of the funds made available in this or any other Act may be used to pay for the painting of a portrait of an officer or employee of the Federal government, including the President, the Vice President, a member of Congress (including a Delegate or a Resident Commissioner to Congress), the head of an executive branch agency (as defined in section 133 of title 41, United States Code), or the head of an office of the legislative branch.

SEC. 730. (a)(1) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year 2020, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(A) during the period from the date of expiration of the limitation imposed by the comparable section for the previous fiscal years until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year 2020, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section; and

(B) during the period consisting of the remainder of fiscal year 2020, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under subparagraph (A) by more than the sum of—

(i) the percentage adjustment taking effect in fiscal year 2020 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(ii) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year 2020 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in the previous fiscal year under such section.

(2) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which paragraph (1) is in effect at a rate that exceeds the rates that would be payable under paragraph (1) were paragraph (1) applicable to such employee.

(3) For the purposes of this subsection, the rates payable to an employee who is covered by this subsection and who is paid from a schedule not in existence on September 30, 2019, shall be determined under regulations prescribed by the Office of Personnel Management.

(4) Notwithstanding any other provision of law, rates of premium pay for employees subject to this subsection may not be changed from the rates in effect on September 30, 2019, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this subsection.

(5) This subsection shall apply with respect to pay for service performed after September 30, 2019.

(6) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this subsection shall be treated as the rate of salary or basic pay.

(7) Nothing in this subsection shall be considered to permit or require the payment to any employee covered by this subsection at a rate in excess of the rate that would be payable were this subsection not in effect.

(8) The Office of Personnel Management may provide for exceptions to the limitations imposed by this subsection if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

(b) Notwithstanding subsection (a), the adjustment in rates of basic pay for the statutory pay systems that take place in fiscal year 2020 under sections 5344 and 5348 of title 5, United States Code, shall be—

(1) not less than the percentage received by employees in the same location whose rates of basic pay are adjusted pursuant to the statutory pay systems under sections 5303 and 5304 of title 5, United States Code: Provided, That prevailing rate employees at locations where there are no employees whose pay is increased pursuant to sections 5303 and 5304 of title 5, United States Code, and prevailing rate employees described in section 5343(a)(5) of title 5, United States Code, shall be considered to be located in the pay locality designated as "Rest of United States" pursuant to section 5304 of title 5, United States Code, for purposes of this subsection; and

(2) effective as of the first day of the first applicable pay period beginning after September 30, 2019.

SEC. 731. None of the funds made available by this or any other Act may be used to implement, administer, enforce, or apply the rule entitled "Competitive Area" published by the Office of Personnel Management in the Federal Register on April 15, 2008 (73 Fed. Reg. 20180 et seq.).

SEC. 732. (a) None of the funds appropriated or otherwise made available by this or any other Act may be available for a contract, grant, or cooperative agreement with an entity that requires employees or contractors of such entity seeking to report fraud, waste, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(b) The limitation in subsection (a) shall not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information.

SEC. 733. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 734. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 735. (a) During fiscal year 2020, on the date on which a request is made for a transfer of funds in accordance with section 1017 of Public Law 111–203, the Bureau of Consumer Financial Protection shall notify the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate of such request.

(b) Any notification required by this section shall be made available on the Bureau's public Web site.

SEC. 736. If, for fiscal year 2020, new budget authority provided in appropriations Acts exceeds the discretionary spending limit for any category set forth in section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985 due to estimating differences with the Congressional Budget Office, an adjustment to the discretionary spending limit in such category for fiscal year 2020 shall be made by the Director of the Office of Management and Budget in the amount of the excess but the total of all such adjustments shall not exceed 0.2 percent of the sum of the adjusted discretionary spending limits for all categories for that fiscal year.



## LEGISLATIVE BRANCH

### SENATE

#### Federal Funds

##### EXPENSE ALLOWANCES

For expense allowances of the Vice President, **[\$18,760]** \$20,000; the President Pro Tempore of the Senate, **[\$37,520]** \$40,000; Majority Leader of the Senate, **[\$39,920]** \$40,000; Minority Leader of the Senate, **[\$39,920]** \$40,000; Majority Whip of the Senate, **[\$9,980]** \$10,000; Minority Whip of the Senate, **[\$9,980]** \$10,000; President Pro Tempore Emeritus, \$15,000; Chairmen of the Majority and Minority Conference Committees, **[\$4,690]** \$5,000 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, **[\$4,690]** \$5,000 for each Chairman; in all, **[\$189,840]** \$195,000.

For representation allowances of the Majority and Minority Leaders of the Senate, **[\$14,070]** \$15,000 for each such Leader; in all, **[\$28,140]** \$30,000. (*Legislative Branch Appropriations Act, 2019.*)

##### SALARIES, OFFICERS AND EMPLOYEES

For compensation of officers, employees, and others as authorized by law, including agency contributions, **[\$208,390,812]** \$216,325,812, which shall be paid from this appropriation as follows:

##### OFFICE OF THE VICE PRESIDENT

For the Office of the Vice President, **[\$2,484,248]** \$2,533,248.

##### OFFICE OF THE PRESIDENT PRO TEMPORE

For the Office of the President Pro Tempore, **[\$744,466]** \$759,466.

##### OFFICE OF THE PRESIDENT PRO TEMPORE EMERITUS

For the Office of the President Pro Tempore Emeritus, **[\$319,000]** \$327,000.

##### OFFICES OF THE MAJORITY AND MINORITY LEADERS

For Offices of the Majority and Minority Leaders, **[\$5,399,576]** \$5,505,576.

##### OFFICES OF THE MAJORITY AND MINORITY WHIPS

For Offices of the Majority and Minority Whips, **[\$3,455,424]** \$3,525,424.

##### COMMITTEE ON APPROPRIATIONS

For salaries of the Committee on Appropriations, **[\$15,496,000]** \$15,793,000.

##### CONFERENCE COMMITTEES

For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, **[\$1,704,000]** \$1,738,000 for each such committee; in all, **[\$3,408,000]** \$3,476,000.

##### OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, **[\$843,402]** \$863,402.

##### POLICY COMMITTEES

For salaries of the Majority Policy Committee and the Minority Policy Committee, **[\$1,740,905]** \$1,775,905 for each such committee; in all, **[\$3,481,810]** \$3,551,810.

##### OFFICE OF THE CHAPLAIN

For Office of the Chaplain, **[\$474,886]** \$510,886.

##### OFFICE OF THE SECRETARY

For Office of the Secretary, **[\$26,315,000]** \$26,818,000.

##### OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

For Office of the Sergeant at Arms and Doorkeeper, **[\$84,157,000]** \$85,867,000.

##### OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

For Offices of the Secretary for the Majority and the Secretary for the Minority, **[\$1,900,000]** \$1,940,000.

##### AGENCY CONTRIBUTIONS AND RELATED EXPENSES

For agency contributions for employee benefits, as authorized by law, and related expenses, **[\$59,912,000]** \$64,855,000.

### 【PAYMENT TO WIDOWS AND HEIRS OF DECEASED MEMBERS OF CONGRESS】

【For payment to Cindy H. McCain, widow of John Sidney McCain III, late a Senator from the State of Arizona, \$174,000.】 (*Legislative Branch Appropriations Act, 2019.*)

##### OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

For salaries and expenses of the Office of the Legislative Counsel of the Senate, **[\$6,278,000]** \$6,397,000. (*Legislative Branch Appropriations Act, 2019.*)

##### OFFICE OF SENATE LEGAL COUNSEL

For salaries and expenses of the Office of Senate Legal Counsel, **[\$1,176,000]** \$1,197,000. (*Legislative Branch Appropriations Act, 2019.*)

##### EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

For expense allowances of the Secretary of the Senate, **[\$7,110]** \$7,500; Sergeant at Arms and Doorkeeper of the Senate, **[\$7,110]** \$7,500; Secretary for the Majority of the Senate, **[\$7,110]** \$7,500; Secretary for the Minority of the Senate, **[\$7,110]** \$7,500; in all, **[\$28,440]** \$30,000. (*Legislative Branch Appropriations Act, 2019.*)

##### CONTINGENT EXPENSES OF THE SENATE

##### INQUIRIES AND INVESTIGATIONS

For expenses of inquiries and investigations ordered by the Senate, or conducted under paragraph 1 of rule XXVI of the Standing Rules of the Senate, section 112 of the Supplemental Appropriations and Rescission Act, 1980 (Public Law 96-304), and Senate Resolution 281, 96th Congress, agreed to March 11, 1980, \$133,265,000, of which \$26,650,000 shall remain available until September 30, **【2021】** 2022. (*Legislative Branch Appropriations Act, 2019.*)

##### U.S. SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

For expenses of the United States Senate Caucus on International Narcotics Control, **[\$508,000]** \$516,000. (*Legislative Branch Appropriations Act, 2019.*)

##### SECRETARY OF THE SENATE

For expenses of the Office of the Secretary of the Senate, **[\$10,036,000]** \$9,536,000 of which **[\$6,436,000]** \$7,036,000 shall remain available until September 30, **【2023】** 2024 and of which **[\$3,600,000]** \$2,500,000 shall remain available until expended. (*Legislative Branch Appropriations Act, 2019.*)

##### SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, **[\$126,595,000]** \$128,753,000, which shall remain available until September 30, **【2023】** 2024. (*Legislative Branch Appropriations Act, 2019.*)

##### MISCELLANEOUS ITEMS

For miscellaneous items, **[\$18,871,410]** \$18,879,000 which shall remain available until September 30, **【2021】** 2022. (*Legislative Branch Appropriations Act, 2019.*)

##### SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

For Senators' Official Personnel and Office Expense Account, **[\$429,000,000]** \$531,054,152 of which \$20,128,950 shall remain available until September 30, **【2021】** 2022 and of which \$5,000,000 shall be allocated solely for the purpose of

SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT—Continued  
providing financial compensation to Senate interns. (*Legislative Branch Appropriations Act, 2019.*)

#### OFFICIAL MAIL COSTS

For expenses necessary for official mail costs of the Senate, \$300,000. (*Legislative Branch Appropriations Act, 2019.*)

#### ADMINISTRATIVE PROVISIONS

REQUIRING AMOUNTS REMAINING IN SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT

SEC. 101. Notwithstanding any other provision of law, any amounts appropriated under this Act under the heading "Senate" under the heading "Contingent Expenses of the Senate" under the heading "Senators' Official Personnel and Office Expense Account" shall be available for obligation only during the fiscal year or fiscal years for which such amounts are made available. Any unexpended balances under such allowances remaining after the end of the period of availability shall be returned to the Treasury in accordance with the undesignated paragraph under the center heading "General Provision" under chapter XI of the Third Supplemental Appropriation Act, 1957 (2 U.S.C. 4107) and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

#### FILING BY SENATE CANDIDATES WITH COMMISSION

SEC. 102. Section 302(g) of the Federal Election Campaign Act of 1971 (52 U.S.C. 30102(g)) is amended to read as follows:

(g) FILING WITH THE COMMISSION.—All designations, statements, and reports required to be filed under this Act shall be filed with the Commission."

#### EXTENSION OF AUTHORITY

SEC. 103. Section 21(d) of Senate Resolution 64 of the One Hundred Thirteenth Congress, 1st session (agreed to on March 5, 2013), as amended by section 178 of the Continuing Appropriations Act, 2017 (division C of Public Law 114–223), is further amended by striking "December 31, 2018" and inserting "December 31, 2020". (*Legislative Branch Appropriations Act, 2019.*)

## HOUSE OF REPRESENTATIVES

### Federal Funds

#### HOUSE OF REPRESENTATIVES

##### SALARIES AND EXPENSES

For salaries and expenses of the House of Representatives, \$1,232,663,035 \$1,355,669,363, as follows:

##### HOUSE LEADERSHIP OFFICES

For salaries and expenses, as authorized by law, \$25,378,875 \$27,916,055, including: Office of the Speaker, \$7,123,634 \$7,821,750, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, \$2,642,739 \$2,901,727, including \$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, \$7,751,946 \$8,527,141, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, \$2,197,163 \$2,412,485, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, \$1,700,079 \$1,870,087, including \$5,000 for official expenses of the Minority Whip; Republican Conference, \$2,186,819 \$2,339,896; Democratic Caucus, \$1,776,495 \$2,042,969: *Provided*, That such amount for salaries and expenses shall remain available from January 3, 2019 2020 until January 2, 2020 2021.

##### MEMBERS' REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS' CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND OFFICIAL MAIL

For Members' representational allowances, including Members' clerk hire, official expenses, and official mail, \$573,630,000 \$613,000,000.

#### INTERN ALLOWANCE

For payments from the allowance established under section 113 of this Act for the compensation of interns who serve in the offices of Members of the House of Representatives, \$8,800,000 \$8,820,000.

#### COMMITTEE EMPLOYEES

##### STANDING COMMITTEES, SPECIAL AND SELECT

For salaries and expenses of standing committees, special and select, authorized by House resolutions, \$127,903,173 \$139,143,000: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, 2020, except that \$4,000,000 \$2,850,000 of such amount shall remain available until expended for committee room upgrading.

##### COMMITTEE ON APPROPRIATIONS

For salaries and expenses of the Committee on Appropriations, \$23,112,971 \$25,424,000, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, 2020.

##### SALARIES, OFFICERS AND EMPLOYEES

For compensation and expenses of officers and employees, as authorized by law, \$220,345,000 \$249,728,292, including: for salaries and expenses of the Office of the Clerk, including the positions of the Chaplain and the Historian, and including not more than \$25,000 for official representation and reception expenses, of which not more than \$20,000 is for the Family Room and not more than \$2,000 is for the Office of the Chaplain, \$28,305,000 \$32,826,000; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages and the Office of Emergency Management, and including not more than \$3,000 for official representation and reception expenses, \$18,773,000 \$23,720,292, of which \$5,524,000 \$10,267,000 shall remain available until expended; for salaries and expenses of the Office of the Chief Administrative Officer including not more than \$3,000 for official representation and reception expenses, \$148,058,000 \$166,603,000, of which \$11,631,000 \$17,089,000 shall remain available until expended; for salaries and expenses of the Office of Diversity and Inclusion, \$600,000; for salaries and expenses of the Office of the Whistleblower Ombudsman, \$600,000; for salaries and expenses of the Office of the Inspector General, \$5,019,000; for salaries and expenses of the Office of General Counsel, \$1,502,000 \$1,518,000; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian, \$2,000 for preparing the Digest of Rules, and not more than \$1,000 for official representation and reception expenses, \$2,026,000 \$2,088,000; for salaries and expenses of the Office of the Law Revision Counsel of the House, \$3,327,000 \$3,419,000; for salaries and expenses of the Office of the Legislative Counsel of the House, \$11,937,000; for salaries and expenses of the Office of Interparliamentary Affairs, \$814,000; for other authorized employees, \$584,000.

##### ALLOWANCES AND EXPENSES

For allowances and expenses as authorized by House resolution or law, \$253,493,016 \$291,638,016, including: supplies, materials, administrative costs and Federal tort claims, \$525,016; official mail for committees, leadership offices, and administrative offices of the House, \$190,000; Government contributions for health, retirement, Social Security, and other applicable employee benefits, \$228,200,000 \$263,000,000, to remain available until March 31, 2020 2021; salaries and expenses for Business Continuity and Disaster Recovery, \$16,186,000 \$17,668,000, of which \$5,000,000 shall remain available until expended; transition activities for new members and staff, \$3,000,000 \$4,863,000, to remain available until expended; Wounded Warrior Program, \$3,000,000, to remain available until expended; Office of Congressional Ethics, \$1,670,000; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of deceased employees of the House, \$722,000. (*Legislative Branch Appropriations Act, 2019.*)

#### ADMINISTRATIVE PROVISIONS

REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT

SEC. 110. (a) Notwithstanding any other provision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES—Salaries and Expenses—Members' Representational Allowances" shall be available only for fiscal year 2019 2020. Any amount remaining after all payments are made under such

allowances for fiscal year **[2019]** 2020 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) **REGULATIONS.**—The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) **DEFINITION.**—As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

**[DELIVERY OF BILLS AND RESOLUTIONS]**

**[SEC. 111.** (a) None of the funds made available in any fiscal year may be used to deliver a printed copy of a bill, joint resolution, or resolution to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) unless the Member requests a copy.

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**[DELIVERY OF CONGRESSIONAL RECORD]**

**[SEC. 112.** (a) None of the funds made available in any fiscal year may be used to deliver a printed copy of any version of the Congressional Record to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**LIMITATION ON AMOUNT AVAILABLE TO LEASE VEHICLES**

**SEC. [113]** 111. None of the funds made available in this Act may be used by the Chief Administrative Officer of the House of Representatives to make any payments from any Members' Representational Allowance for the leasing of a vehicle, excluding mobile district offices, in an aggregate amount that exceeds \$1,000 for the vehicle in any month.

**[LIMITATION ON PRINTED COPIES OF U.S. CODE TO HOUSE]**

**[SEC. 114.** (a) None of the funds made available in any fiscal year may be to provide an aggregate number of more than 50 printed copies of any edition of the United States Code to all offices of the House of Representatives.

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**[DELIVERY OF REPORTS OF DISBURSEMENTS]**

**[SEC. 115.** (a) None of the funds made available in any fiscal year may be used to deliver a printed copy of the report of disbursements for the operations of the House of Representatives under section 106 of the House of Representatives Administration Reform Technical Corrections Act (2 U.S.C. 5535) to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**[DELIVERY OF DAILY CALENDAR]**

**[SEC. 116.** (a) None of the funds made available in any fiscal year may be used to deliver to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) a printed copy of the Daily Calendar of the House of Representatives which is prepared by the Clerk of the House of Representatives.

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**[DELIVERY OF CONGRESSIONAL PICTORIAL DIRECTORY]**

**[SEC. 117.** (a) None of the funds made available in any fiscal year may be used to deliver a printed copy of the Congressional Pictorial Directory to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.]

**[REPEAL OF AUTHORIZATIONS FOR FORMER SPEAKERS]**

**[SEC. 118.** (a) **REPEAL OF AUTHORIZATIONS FOR OFFICE SPACE, OFFICE EXPENSES, FRANKING AND PRINTING PRIVILEGES, AND STAFF.**—The first section and sections 2, 4, 5, and 8 of House Resolution 1238, Ninety-first Congress, agreed to December 22, 1970 (as enacted into permanent law by chapter VIII of the Supplemental Appropriations Act, 1971) (2 U.S.C. 5125(a), 5126, 5127, 5128, and 5129) are repealed.

(b) **CONFORMING AMENDMENT.**—Subsection (b) of the first section of Public Law 93–532 (2 U.S.C. 5125(b)) is repealed.

(c) **EFFECTIVE DATE.**—The amendments made by this section shall apply with respect to any individual who serves as a Representative in Congress during the One Hundred Fifteenth Congress or any succeeding Congress.]

**[TRANSFER AUTHORITY]** TRANSFERS AMONG ACCOUNTS OF HOUSE OF REPRESENTATIVES  
**SEC. [119]** 112. (a) **AUTHORITY [TO MAKE] FOR TRANSFERS AMONG [HOUSE LEADERSHIP OFFICES] ACCOUNTS.**—Section 101 of the Legislative Branch Appropriations Act, 1993 (2 U.S.C. 5507) is amended [by adding at the end the following new subsection:] —

**["(f) Amounts appropriated for any fiscal year for the House of Representatives under the heading "House Leadership Offices" may be transferred among and merged with the various offices and activities under such heading, effective upon the expiration of the 21-day period (or such alternative period that may be imposed by the Committee on Appropriations of the House of Representatives) which begins on the date such Committee has been notified of the transfer.".]**

(1) *by striking subsections (a) through (c) and inserting the following:*

*"(a)(1) Amounts appropriated for any fiscal year for any account of the House of Representatives (including a revolving fund of the House of Representatives) may be transferred to any other account of the House (including a revolving fund of the House) and merged with the amounts in such other account of the House, effective upon the expiration of the 15-day period (or such alternative period that may be imposed by the Committee on Appropriations of the House of Representatives) which begins on the date such Committee has been notified of the transfer.*

*"(2) Paragraph (1) does not apply with respect to the transfer of amounts pursuant to the authority of subsection (b) or subsection (c).";*

(2) *by redesignating subsections (d) and (e) as subsections (b) and (c); and*

(3) *by striking subsection (f).*

(b) **CONFORMING AMENDMENTS.**—

(1) **HOUSE CHILD CARE CENTER REVOLVING FUND.**—Section 312 of the Legislative Branch Appropriations Act, 1992 (2 U.S.C. 2062) is amended—

(A) *by striking subsection (e); and*

(B) *by redesignating subsection (f) as subsection (e).*

(2) **LUMP SUM ALLOWANCE FOR REPUBLICAN POLICY COMMITTEE.**—Section 109(b) of the Legislative Branch Appropriations Act, 2005 (2 U.S.C. 5162) is amended by striking "which shall be treated" and all that follows through "and which shall be obligated" and inserting "which shall be obligated".

(3) **ACCOUNT FOR EMPLOYEES' COMPENSATION FUND.**—Section 109 of the Legislative Branch Appropriations Act, 1998 (2 U.S.C. 5508) is amended by striking subsection (c).

(4) **NET EXPENSES OF EQUIPMENT REVOLVING FUND.**—Section 102 of the Legislative Branch Appropriations Act, 2003 (2 U.S.C. 5537) is amended—

(A) *by striking subsection (c); and*

(B) *by redesignating subsections (d) and (e) as subsections (c) and (d).*

(5) **NET EXPENSES OF TELECOMMUNICATIONS REVOLVING FUND.**—Section 102 of the Legislative Branch Appropriations Act, 2005 (2 U.S.C. 5538) is amended by striking subsection (c).

(6) **HOUSE SERVICES REVOLVING FUND.**—Section 105 of the Legislative Branch Appropriations Act, 2005 (2 U.S.C. 5545) is amended—

(A) *by striking subsection (c); and*

(B) *by redesignating subsections (d) and (e) as subsections (c) and (d).*

**[(b) c] EFFECTIVE DATE.**—**[The amendment made by subsection (a)]** This section and the amendments made by this section shall apply with respect to fiscal year **[2019]** 2020 and each succeeding fiscal year.

**ALLOWANCE FOR COMPENSATION OF INTERNS IN MEMBER OFFICES**

**SEC. [120]** 113. (a) **ESTABLISHMENT OF ALLOWANCE.**—There is established for the House of Representatives an allowance which shall be available for the compensation of interns who serve in the offices of Members of the House of Representatives.

(b) **CAP ON AMOUNT AVAILABLE PER OFFICE.**—An office of a Member of the House of Representatives may not use more than \$20,000 of the allowance under this section during any [calendar year] legislative year.

(c) **BENEFIT EXCLUSION.**—Section 104(b) of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5321(b)) shall apply with respect to an intern who is compensated under the allowance under this section in the same manner as such section applies with respect to an intern who is compensated under the Members' Representational Allowance.

(d) **NO EFFECT ON PAYMENT OF INTERNS UNDER MEMBERS REPRESENTATIONAL ALLOWANCE.**—Nothing in this section may be construed to affect the use of the Members' Representational Allowance for the compensation of interns, as provided under section 104 of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5321).

(e) **DEFINITIONS.**—In this section—

(1) the term "intern" has the meaning given such term in section 104(c)(2) of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5321(c)(2)); and

(2) the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

(f) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to carry out this section **[\$8,800,000]** \$8,820,000 for **[fiscal year]** legislative year **[2019]** 2020.

DELIVERY OF PRINTED FEDERAL REGISTER

SEC. 114. (a) None of the funds made available by this Act may be used to deliver a printed copy of the Federal Register to a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) unless the Member requests a copy.

(b) This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

CYBERSECURITY ASSISTANCE FOR HOUSE OF REPRESENTATIVES

SEC. 115. The head of any Federal entity that provides assistance to the House of Representatives in the House's efforts to deter, prevent, mitigate, or remediate cybersecurity risks to, and incidents involving, the information systems of the House shall take all necessary steps to ensure the constitutional integrity of the separate branches of the government at all stages of providing the assistance, including applying minimization procedures to limit the spread or sharing of privileged House and Member information.

DELIVERY OF PRINTED BUDGET

SEC. 116. (a) None of the funds made available by this Act may be used to deliver a printed copy of the Budget of the United States Government; Analytical Perspectives, Budget of the United States Government; or the Appendix, Budget of the United States Government, to the office of any Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

(b) This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

DELIVERY OF HOUSE TELEPHONE DIRECTORY

SEC. 117. (a) None of the funds made available by this Act may be used to deliver a printed copy of the United States House of Representatives Telephone Directory to the office of any Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).

(b) This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

EXPIRED FUNDS FOR PAYMENT OF DEATH GRATUITIES

SEC. 118. (a) PAYMENT.—Any death gratuity payment which is specifically appropriated by law and which is made in connection with the death of an employee of the House of Representatives may be made using expired balances of appropriations made for any of the applicable accounts of the House of Representatives, without regard to the fiscal year in which the death gratuity payment is made.

(b) This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

USING EXPIRED FUNDS FOR EMPLOYEE COMPENSATION AND UNEMPLOYMENT COMPENSATION

SEC. 119. (a) Notwithstanding any other provision of law, available balances of expired appropriations for the House of Representatives shall be available to the House of Representatives to make the deposit to the credit of the Employees' Compensation Fund required by section 8147(b) of title 5, and for reimbursing the Federal Employees Compensation Account (as established by section 1109 of title 42) for any amounts paid with respect to unemployment compensation payments for former employees of the House of Representatives.

(b) This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year. (Legislative Branch Appropriations Act, 2019.)

JOINT ITEMS

Federal Funds

For Joint Committees, as follows:

JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, \$4,203,000, to be disbursed by the Secretary of the Senate. (Legislative Branch Appropriations Act, 2019.)

JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, **[\$11,169,000]** \$11,563,000, to be disbursed by the Chief Administrative Officer of the House of Representatives.

For other joint items, as follows: (Legislative Branch Appropriations Act, 2019.)

OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including:

- (1) an allowance of \$2,175 per month to the Attending Physician;
- (2) an allowance of \$1,300 per month to the Senior Medical Officer;
- (3) an allowance of \$725 per month each to three medical officers while on duty in the Office of the Attending Physician;
- (4) an allowance of \$725 per month to 2 assistants and \$580 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and
- (5) **[\$2,740,000]** \$2,800,000 for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, **[\$3,798,000]** \$3,868,260, to be disbursed by the Chief Administrative Officer of the House of Representatives. (Legislative Branch Appropriations Act, 2019.)

OFFICE OF CONGRESSIONAL ACCESSIBILITY SERVICES

SALARIES AND EXPENSES

For salaries and expenses of the Office of Congressional Accessibility Services, **[\$1,486,000]** \$1,509,000, to be disbursed by the Secretary of the Senate. (Legislative Branch Appropriations Act, 2019.)

CAPITOL POLICE

Federal Funds

SALARIES

For salaries of employees of the Capitol Police, including overtime, hazardous duty pay, and Government contributions for health, retirement, social security, professional liability insurance, and other applicable employee benefits, **[\$374,804,000]** \$378,062,000, of which overtime shall not exceed **[\$43,668,000]** \$47,048,000 unless the Committee on Appropriations of the House and Senate are notified, to be disbursed by the Chief of the Capitol Police or his designee. (Legislative Branch Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 002-0477-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries (Direct) .....	346	375	378
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	352	375	378
1120 Appropriations transferred to other acct [002-0476] .....	-4		
1160 Appropriation, discretionary (total) .....	348	375	378
1930 Total budgetary resources available .....	348	376	379
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	19	26
3010 New obligations, unexpired accounts .....	346	375	378
3020 Outlays (gross) .....	-344	-368	-374
3050 Unpaid obligations, end of year .....	19	26	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	19	26
3200 Obligated balance, end of year .....	19	26	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	348	375	378

Outlays, gross:				
4010	Outlays from new discretionary authority .....	329	349	348
4011	Outlays from discretionary balances .....	15	19	26
4020	Outlays, gross (total) .....	344	368	374
4180	Budget authority, net (total) .....	348	375	378
4190	Outlays, net (total) .....	344	368	374

**Object Classification** (in millions of dollars)

Identification code 002-0477-0-1-801	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	255	278	282
12.1	Civilian personnel benefits .....	91	97	96
99.9	Total new obligations, unexpired accounts .....	346	375	378

**Employment Summary**

Identification code 002-0477-0-1-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	2,283	2,456	2,514

**GENERAL EXPENSES**

For necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, the awards program, postage, communication services, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and not more than \$5,000 to be expended on the certification of the Chief of the Capitol Police in connection with official representation and reception expenses, **[\$81,504,000]** \$85,279,000, to be disbursed by the Chief of the Capitol Police or his designee: *Provided*, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year **[2019]** 2020 shall be paid by the Secretary of Homeland Security from funds available to the Department of Homeland Security. (*Legislative Branch Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 002-0476-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	General Expenses (Direct) .....	78	82	85
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	13	17	19
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	75	82	85
1121	Appropriations transferred from other acct [002-0477] ....	4		
1160	Appropriation, discretionary (total) .....	79	82	85
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	2	2
1701	Change in uncollected payments, Federal sources .....		1	1
1750	Spending auth from offsetting collections, disc (total) .....	4	3	3
1900	Budget authority (total) .....	83	85	88
1930	Total budgetary resources available .....	96	102	107
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	-1	-1
1941	Unexpired unobligated balance, end of year .....	17	19	21
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	48	47	13
3010	New obligations, unexpired accounts .....	78	82	85
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-79	-116	-84
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	47	13	14
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....			-1
3070	Change in uncollected pymts, Fed sources, unexpired .....		-1	-1
3090	Uncollected pymts, Fed sources, end of year .....		-1	-2

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	48	47	12
3200	Obligated balance, end of year .....	47	12	12

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	83	85	88
Outlays, gross:				
4010	Outlays from new discretionary authority .....	43	67	66
4011	Outlays from discretionary balances .....	36	49	18
4020	Outlays, gross (total) .....	79	116	84
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4	-2	-2
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....		-1	-1
4070	Budget authority, net (discretionary) .....	79	82	85
4080	Outlays, net (discretionary) .....	75	114	82
4180	Budget authority, net (total) .....	79	82	85
4190	Outlays, net (total) .....	75	114	82

**Object Classification** (in millions of dollars)

Identification code 002-0476-0-1-801	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	15	14	16
23.3	Communications, utilities, and miscellaneous charges .....	3	3	3
25.2	Other services from non-Federal sources .....	39	42	43
26.0	Supplies and materials .....	4	7	7
31.0	Equipment .....	17	16	16
99.9	Total new obligations, unexpired accounts .....	78	82	85

**SECURITY ENHANCEMENTS**

**Program and Financing** (in millions of dollars)

Identification code 002-0461-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**ADMINISTRATIVE PROVISIONS**

*Section 908 of the Emergency Supplemental Act, 2002 (2 U.S.C. 1926), as amended by Public Law 108-79, is amended in subsection (c) by striking "\$40,000" and inserting "\$60,000".*

**OFFICE OF CONGRESSIONAL WORKPLACE RIGHTS**

**Federal Funds**

**SALARIES AND EXPENSES**

For salaries and expenses *necessary for the operation* of the **[Office of Compliance]** *Office of Congressional Workplace Rights*, **[as authorized by section 305 of the Congressional Accountability Act of 1995 (2 U.S.C. 1385),]** \$6,332,670, of which \$1,000,000 shall remain available until September 30, **[2020]** 2021, **[:** *Provided, That]* **and of which** not more than **[\$500]** \$5,000 may be expended on the certification of the Executive Director **[of the Office of Compliance]** in connection with official representation and reception expenses. (*Legislative Branch Appropriations Act, 2019.*)

SALARIES AND EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 009-1600-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	5	6	6
0900 Total new obligations, unexpired accounts .....	5	6	6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	6	6
1930 Total budgetary resources available .....	5	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	5	6	6
3020 Outlays (gross) .....	-5	-6	-6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	6	6
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	5	6	6
4180 Budget authority, net (total) .....	5	6	6
4190 Outlays, net (total) .....	5	6	6

The Congressional Accountability Act (CAA) established an independent Office of Congressional Workplace Rights (OCWR) to apply the rights and protections of the following labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act, Title VII of the Civil Rights Act, the Americans with Disabilities Act, the Age Discrimination in Employment Act, the Family and Medical Leave Act, the Occupational Safety and Health Act, the Federal Service Labor Management Relations Act, the Employee Polygraph Protection Act, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act, and the Uniformed Services Employment and Reemployment Rights Act. This Act was amended in 1998 to apply the Veterans Employment Opportunities Act and in 2008 to apply the Genetic Information and Nondiscrimination Act. On December 21, 2018, the Congressional Accountability Act of 1995 Reform Act was signed into law expanding the Office's duties and responsibilities, as well as the number of employees covered by the CAA.

The Office of Congressional Workplace Rights administers and ensures the integrity of the neutral dispute resolution process concerning claims that arise under the CAA. The Office also carries out an education and training program for congressional Members, employing offices and congressional employees to assist them in understanding their rights and responsibilities under the CAA.

Object Classification (in millions of dollars)

Identification code 009-1600-0-1-801	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	4	4
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	5	6	6

Employment Summary

Identification code 009-1600-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	23	28	28

AWARDS AND SETTLEMENTS FUNDS

Section 415 of the Congressional Accountability Act (CAA) established "an account of the Office in the Treasury of the United States for the payment of awards and settlements under this Act," and further authorized to be appropriated "such sums as may be necessary to pay such awards and settlements." Section 415 stipulated that awards and settlements under the CAA should only be paid from that account, which was to be kept separate from the operating expenses account of the Office of Compliance.

The Legislative Branch Appropriations Acts have appropriated funds for awards and settlements under the CAA by means of the following language:

Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104-1 to pay awards and settlements as authorized under such subsection.

CONGRESSIONAL BUDGET OFFICE

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses necessary for operation of the Congressional Budget Office, including not more than \$6,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, **[\$50,737,000] \$53,556,000**: *Provided*, that the Director shall use not less than \$500,000 of the amount made available under this heading for (1) improving technical systems, processes, and models for the purpose of improving the transparency of estimates of budgetary effects to Members of Congress, employees of Members of Congress, and the public, and (2) to increase the availability of models, economic assumptions, and data for Members of Congress, employees of Members of Congress, and the public. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 008-0100-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	50	51	54
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	51	54
1930 Total budgetary resources available .....	50	51	54
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	5	5
3010 New obligations, unexpired accounts .....	50	51	54
3020 Outlays (gross) .....	-48	-51	-54
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	50	51	54
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	46	49
4011 Outlays from discretionary balances .....	3	5	5
4020 Outlays, gross (total) .....	48	51	54
4180 Budget authority, net (total) .....	50	51	54
4190 Outlays, net (total) .....	48	51	54

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impound-

ment Control Act of 1974 (2 U.S.C. 601 et seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, long-term projections of Federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates.

**Object Classification** (in millions of dollars)

Identification code 008-0100-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....		33	35
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....	32	34	37
12.1 Civilian personnel benefits .....	11	12	12
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	3	3	2
31.0 Equipment .....	1		1
99.9 Total new obligations, unexpired accounts .....	50	51	54

**Employment Summary**

Identification code 008-0100-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	237	255	264

**ARCHITECT OF THE CAPITOL**  
*Federal Funds*

**CAPITAL CONSTRUCTION AND OPERATIONS**

For salaries for the Architect of the Capitol, and other personal services, at rates of pay provided by law; for all necessary expenses for surveys and studies, construction, operation, and general and administrative support in connection with facilities and activities under the care of the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$5,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle, **[\$103,962,000]** *\$128,915,000.* (Legislative Branch Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 001-0100-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General Administration (Direct) .....	94	104	129
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	93	104	129
1930 Total budgetary resources available .....	94	104	129
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	24	13
3010 New obligations, unexpired accounts .....	94	104	129
3011 Obligations ("upward adjustments"), expired accounts .....	6		
3020 Outlays (gross) .....	-96	-115	-128
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	24	13	14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	25	24	13

3200	Obligated balance, end of year .....	24	13	14
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	93	104	129
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	74	94	116
4011	Outlays from discretionary balances .....	22	21	12
4020	Outlays, gross (total) .....	96	115	128
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4033	Non-Federal sources .....	-2		
<b>Additional offsets against gross budget authority only:</b>				
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	93	104	129
4080	Outlays, net (discretionary) .....	94	115	128
4180	Budget authority, net (total) .....	93	104	129
4190	Outlays, net (total) .....	94	115	128

**Object Classification** (in millions of dollars)

Identification code 001-0100-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	41	44	51
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	42	45	52
12.1 Civilian personnel benefits .....	15	16	19
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	14	20	32
25.4 Operation and maintenance of facilities .....	17	17	17
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	2	2	4
32.0 Land and structures .....			1
99.9 Total new obligations, unexpired accounts .....	94	104	129

**Employment Summary**

Identification code 001-0100-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	378	395	430

**CAPITOL BUILDING**

For all necessary expenses for the maintenance, care and operation of the Capitol, **[\$43,992,000]** *\$68,878,000*, of which **[\$17,344,000]** *\$40,899,000* shall remain available until September 30, **[2023]** *2024.* (Legislative Branch Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 001-0105-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capitol Building (Direct) .....	53	44	69
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	31	24	24
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	32	24	24
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	45	44	69
1930 Total budgetary resources available .....	77	68	93
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	24	24	24
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	26	29
3010 New obligations, unexpired accounts .....	53	44	69
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-36	-41	-57
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		

**CAPITOL BUILDING—Continued**  
**Program and Financing—Continued**

Identification code 001-0105-0-1-801	2018 actual	2019 est.	2020 est.
3050 Unpaid obligations, end of year .....	26	29	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	26	29
3200 Obligated balance, end of year .....	26	29	41

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	45	44	69
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	18	28
4011 Outlays from discretionary balances .....	12	23	29
4020 Outlays, gross (total) .....	36	41	57
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	45	44	69
4080 Outlays, net (discretionary) .....	35	41	57
4180 Budget authority, net (total) .....	45	44	69
4190 Outlays, net (total) .....	35	41	57

Included in this presentation is "Alterations and improvements, buildings and grounds, to provide facilities for the physically handicapped."

**Object Classification (in millions of dollars)**

Identification code 001-0105-0-1-801	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	15	15
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	17	18	18
12.1 Civilian personnel benefits .....	6	6	7
25.1 Advisory and assistance services .....	2	2	2
25.4 Operation and maintenance of facilities .....	1	2	19
26.0 Supplies and materials .....	3	3	7
32.0 Land and structures .....	24	13	16
99.9 Total new obligations, unexpired accounts .....	53	44	69

**Employment Summary**

Identification code 001-0105-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	216	221	221

**CAPITOL GROUNDS**

For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, **[\$16,761,000]** \$22,824,000, of which **[\$5,519,000]** \$10,800,000 shall remain available until September 30, **[2023]** 2024. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 001-0108-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capitol Grounds (Direct) .....	13	17	23

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	17	23
1930 Total budgetary resources available .....	24	28	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	5
3010 New obligations, unexpired accounts .....	13	17	23
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-13	-16	-21
3050 Unpaid obligations, end of year .....	4	5	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	4	5
3200 Obligated balance, end of year .....	4	5	7

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	13	17	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	12	16
4011 Outlays from discretionary balances .....	5	4	5
4020 Outlays, gross (total) .....	13	16	21
4180 Budget authority, net (total) .....	13	17	23
4190 Outlays, net (total) .....	13	16	21

**Object Classification (in millions of dollars)**

Identification code 001-0108-0-1-801	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	3
25.4 Operation and maintenance of facilities .....	2	3	8
26.0 Supplies and materials .....	2	3	2
31.0 Equipment .....	1	2	1
32.0 Land and structures .....		1	3
99.9 Total new obligations, unexpired accounts .....	13	17	23

**Employment Summary**

Identification code 001-0108-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	70	76	76

**SENATE OFFICE BUILDINGS**

For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, **[\$93,562,000]** \$87,424,000, of which **[\$31,162,000]** \$22,100,000 shall remain available until September 30, **[2023]** 2024. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 001-0123-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Senate Office Buildings (Direct) .....	111	94	87

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	73	64	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	94	87
1900 Budget authority (total) .....	102	94	87
1930 Total budgetary resources available .....	175	158	151
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	64	64

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	77	42
3010 New obligations, unexpired accounts .....	111	94	87
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-78	-129	-94
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	77	42	35

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	43	77	42
3200	Obligated balance, end of year .....	77	42	35
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	102	94	87
Outlays, gross:				
4010	Outlays from new discretionary authority .....	56	60	56
4011	Outlays from discretionary balances .....	22	69	38
4020	Outlays, gross (total) .....	78	129	94
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) ...	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	102	94	87
4080	Outlays, net (discretionary) .....	76	129	94
4180	Budget authority, net (total) .....	102	94	87
4190	Outlays, net (total) .....	76	129	94

This presentation includes the Senate restaurant fund and Senate Wellness Center fund.

**Object Classification** (in millions of dollars)

Identification code 001-0123-0-1-801	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	29	30	31
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	33	34	35
12.1	Civilian personnel benefits .....	13	13	13
23.2	Rental payments to others .....	8	9	10
25.1	Advisory and assistance services .....	5	4	5
25.4	Operation and maintenance of facilities .....	6	4	5
26.0	Supplies and materials .....	4	3	3
31.0	Equipment .....	1	2	1
32.0	Land and structures .....	41	25	15
99.9	Total new obligations, unexpired accounts .....	111	94	87

**Employment Summary**

Identification code 001-0123-0-1-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	480	480	480

**HOUSE OFFICE BUILDINGS**

For all necessary expenses for the maintenance, care and operation of the House office buildings, **[\$187,098,000]** *\$154,701,000*, of which **[\$65,552,000]** *\$30,300,000* shall remain available until September 30, **[2023]** *2024*, and of which **\$62,000,000** shall remain available until expended for the restoration and renovation of the Cannon House Office Building **[; ]**: *Provided*, That of the amount made available under this heading, **\$7,000,000** shall be derived by transfer from the House Office Building Fund established under section 176(d) of the Continuing Appropriations Act, 2017, as added by section 101(3) of the Further Continuing Appropriation Act, 2017 (Public Law 114-254; 2 U.S.C. 2001 note).

In addition, for a payment to the House Historic Buildings Revitalization Trust Fund, **\$10,000,000**, to remain available until expended. (*Legislative Branch Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 001-0127-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	House Office Buildings (Direct) .....	145	187	165
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	197	264	264

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	207	197	165
1120	Appropriations transferred to other accts [001-1833] .....	-10	-10	-10
1121	Appropriations transferred from other acct [000-0400] ...	15		
1160	Appropriation, discretionary (total) .....	212	187	155
1900	Budget authority (total) .....	212	187	155
1930	Total budgetary resources available .....	409	451	419
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	264	264	254
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	62	86	85
3010	New obligations, unexpired accounts .....	145	187	165
3011	Obligations ("upward adjustments"), expired accounts .....	8		
3020	Outlays (gross) .....	-127	-188	-171
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	86	85	79
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	62	86	85
3200	Obligated balance, end of year .....	86	85	79

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	212	187	155
Outlays, gross:				
4010	Outlays from new discretionary authority .....	60	103	85
4011	Outlays from discretionary balances .....	67	85	86
4020	Outlays, gross (total) .....	127	188	171
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-3		
4040	Offsets against gross budget authority and outlays (total) ...	-3		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	3		
4070	Budget authority, net (discretionary) .....	212	187	155
4080	Outlays, net (discretionary) .....	124	188	171
4180	Budget authority, net (total) .....	212	187	155
4190	Outlays, net (total) .....	124	188	171

This presentation includes the House of Representatives Wellness Center fund.

**Object Classification** (in millions of dollars)

Identification code 001-0127-0-1-801	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	32	32	34
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	4	2	2
11.9	Total personnel compensation .....	38	36	38
12.1	Civilian personnel benefits .....	14	14	14
25.1	Advisory and assistance services .....	10	67	42
25.4	Operation and maintenance of facilities .....	24	32	32
26.0	Supplies and materials .....	11	6	5
31.0	Equipment .....	1	1	1
32.0	Land and structures .....	47	31	33
99.9	Total new obligations, unexpired accounts .....	145	187	165

**Employment Summary**

Identification code 001-0127-0-1-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	502	550	550

HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 001-1833-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 House Historic Buildings Revitalization Trust Fund (Direct) .....	19	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	74	65	65
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [001-0127] ....	10	10	10
1930 Total budgetary resources available .....	84	75	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	65	65	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	106	30	20
3010 New obligations, unexpired accounts .....	19	10	10
3020 Outlays (gross) .....	-95	-20	-11
3050 Unpaid obligations, end of year .....	30	20	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	106	30	20
3200 Obligated balance, end of year .....	30	20	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	.....	.....
4011 Outlays from discretionary balances .....	87	20	11
4020 Outlays, gross (total) .....	95	20	11
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	95	20	11

Object Classification (in millions of dollars)

Identification code 001-1833-0-1-801	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	12	5	5
32.0 Land and structures .....	4	2	2
99.9 Total new obligations, unexpired accounts .....	19	10	10

Employment Summary

Identification code 001-1833-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8	8	8

HOUSE OFFICE BUILDINGS FUND

Program and Financing (in millions of dollars)

Identification code 001-0137-0-1-801	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	8	8
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-11	-8	-8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-8	-8
4180 Budget authority, net (total) .....	-11	-8	-8
4190 Outlays, net (total) .....	-11	-8	-8

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	11	19
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	11	19

CAPITOL POWER PLANT

For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning refrigeration not supplied from plants in any of such buildings; heating the Government Publishing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, **[\$114,050,000] \$142,225,000**, of which **[\$31,362,000] \$57,600,000** shall remain available until September 30, **[2023] 2024: Provided**, That not more than \$9,000,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year **[2019] 2020**. (*Legislative Branch Appropriations Act, 2019*.)

Program and Financing (in millions of dollars)

Identification code 001-0133-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capitol Power Plant (Direct) .....	108	114	142
0801 Capitol Power Plant (Reimbursable) .....	9	9	9
0900 Total new obligations, unexpired accounts .....	117	123	151
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	31	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	107	114	142
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	9	9
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	9	9
1900 Budget authority (total) .....	117	123	151
1930 Total budgetary resources available .....	150	154	182
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	31	31	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	51	9
3010 New obligations, unexpired accounts .....	117	123	151
3011 Obligations ("upward adjustments"), expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-94	-165	-152
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	51	9	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	48	6
3200 Obligated balance, end of year .....	48	6	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	117	123	151
Outlays, gross:			
4010 Outlays from new discretionary authority .....	69	105	128
4011 Outlays from discretionary balances .....	25	60	24
4020 Outlays, gross (total) .....	94	165	152
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-1	-1
4033 Non-Federal sources .....	-2	-8	-8

4040	Offsets against gross budget authority and outlays (total) ....	-10	-9	-9
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052	Offsetting collections credited to expired accounts .....	3		
4070	Budget authority, net (discretionary) .....	107	114	142
4080	Outlays, net (discretionary) .....	84	156	143
4180	Budget authority, net (total) .....	107	114	142
4190	Outlays, net (total) .....	84	156	143

**Object Classification** (in millions of dollars)

Identification code 001-0133-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	7	7	7
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	8	8	8
12.1	Civilian personnel benefits .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	45	48	55
25.1	Advisory and assistance services .....	5	5	17
25.4	Operation and maintenance of facilities .....	21	24	24
26.0	Supplies and materials .....	3	3	5
32.0	Land and structures .....	23	23	30
99.0	Direct obligations .....	108	114	142
99.0	Reimbursable obligations .....	9	9	9
99.9	Total new obligations, unexpired accounts .....	117	123	151

**Employment Summary**

Identification code 001-0133-0-1-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	75	80	80

**LIBRARY BUILDINGS AND GROUNDS**

For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds, **[\$68,525,000]** \$121,346,000, of which **[\$40,403,000]** \$90,800,000 shall remain available until September 30, **[2023]** 2024. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 001-0155-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Library Buildings and Grounds (Direct) .....	47	69	121
0801	Library Buildings and Grounds (Reimbursable) .....	1	1	
0900	Total new obligations, unexpired accounts .....	48	70	121
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	41	70	69
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	75	69	121
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2		
1900	Budget authority (total) .....	77	69	121
1930	Total budgetary resources available .....	118	139	190
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	70	69	69
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	22	25	17
3010	New obligations, unexpired accounts .....	48	70	121
3011	Obligations ("upward adjustments"), expired accounts .....	3		
3020	Outlays (gross) .....	-47	-78	-100
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	25	17	38
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	22	25	17
3200	Obligated balance, end of year .....	25	17	38

**Budget authority and outlays, net:**

Identification code 001-0155-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross .....	77	69	121
Outlays, gross:				
4010	Outlays from new discretionary authority .....	22	26	46
4011	Outlays from discretionary balances .....	25	52	54
4020	Outlays, gross (total) .....	47	78	100
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2		
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ...	-3		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	75	69	121
4080	Outlays, net (discretionary) .....	44	78	100
4180	Budget authority, net (total) .....	75	69	121
4190	Outlays, net (total) .....	44	78	100

**Object Classification** (in millions of dollars)

Identification code 001-0155-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	14	14	13
11.3	Other than full-time permanent .....	3	2	1
11.5	Other personnel compensation .....	3	2	
11.9	Total personnel compensation .....	20	18	14
12.1	Civilian personnel benefits .....	8	8	6
25.1	Advisory and assistance services .....	3	6	52
25.4	Operation and maintenance of facilities .....		2	2
26.0	Supplies and materials .....	5	9	16
31.0	Equipment .....			20
32.0	Land and structures .....	11	26	10
99.0	Direct obligations .....	47	69	120
99.0	Reimbursable obligations .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	48	70	121

**Employment Summary**

Identification code 001-0155-0-1-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	165	155	163

**CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY**

For all necessary expenses for the maintenance, care and operation of buildings, grounds and security enhancements of the United States Capitol Police, wherever located, the Alternate Computing Facility, and Architect of the Capitol security operations, **[\$57,714,000]** \$54,966,000, of which **[\$31,777,000]** \$28,000,000 shall remain available until September 30, **[2023]** 2024. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 001-0171-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Capitol Police Buildings, Grounds, and Security (Direct) .....	30	58	55
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	13	17	17
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	34	58	55
1930	Total budgetary resources available .....	47	75	72
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	17	17	17
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	13	20	34
3010	New obligations, unexpired accounts .....	30	58	55
3020	Outlays (gross) .....	-23	-44	-51

**CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY—Continued**  
**Program and Financing—Continued**

Identification code 001-0171-0-1-801	2018 actual	2019 est.	2020 est.
3050 Unpaid obligations, end of year .....	20	34	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	20	34
3200 Obligated balance, end of year .....	20	34	38

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	34	58	55
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	30	28
4011 Outlays from discretionary balances .....	10	14	23
4020 Outlays, gross (total) .....	23	44	51
4180 Budget authority, net (total) .....	34	58	55
4190 Outlays, net (total) .....	23	44	51

**Object Classification (in millions of dollars)**

Identification code 001-0171-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	7	8	7
25.1 Advisory and assistance services .....	3	3	8
25.4 Operation and maintenance of facilities .....	11	32	34
26.0 Supplies and materials .....	2	1	1
32.0 Land and structures .....	4	10	1
99.9 Total new obligations, unexpired accounts .....	30	58	55

**Employment Summary**

Identification code 001-0171-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	26	28

**CAPITOL VISITOR CENTER**

For all necessary expenses for the operation of the Capitol Visitor Center, **[\$23,322,000]** \$24,321,000. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 001-0161-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capitol Visitor Center (Direct) .....	22	23	24
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	2
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	21	23	24
1930 Total budgetary resources available .....	24	25	26
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	5
3010 New obligations, unexpired accounts .....	22	23	24
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-23	-22	-24
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	4	5	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	4	5
3200 Obligated balance, end of year .....	4	5	5

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	21	23	24
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	19	18	19

4011 Outlays from discretionary balances .....	4	4	5
4020 Outlays, gross (total) .....	23	22	24
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	21	23	24
4080 Outlays, net (discretionary) .....	22	22	24
4180 Budget authority, net (total) .....	21	23	24
4190 Outlays, net (total) .....	22	22	24

**Object Classification (in millions of dollars)**

Identification code 001-0161-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	13	13
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	14	14	14
12.1 Civilian personnel benefits .....	5	6	6
25.1 Advisory and assistance services .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	2
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	22	23	24

**Employment Summary**

Identification code 001-0161-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	212	228	223

**CAPITOL VISITOR CENTER REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 001-4296-0-3-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Capitol Visitor Center Revolving Fund (Reimbursable) .....	8	6	6
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	21	19	19
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	6	6	6
1930 Total budgetary resources available .....	27	25	25
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	4	5
3010 New obligations, unexpired accounts .....	8	6	6
3020 Outlays (gross) .....	-5	-5	-6
3050 Unpaid obligations, end of year .....	4	5	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	4	5
3200 Obligated balance, end of year .....	4	5	5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	6	6	6
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4	4	4
4011 Outlays from discretionary balances .....	1	1	2
4020 Outlays, gross (total) .....	5	5	6
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-6	-6	-6
4040 Offsets against gross budget authority and outlays (total) .....	-6	-6	-6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1	-1	

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	21	23	23
5001	Total investments, EOY: Federal securities: Par value .....	23	23	23

**Object Classification (in millions of dollars)**

Identification code 001-4296-0-3-801	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
25.1	Advisory and assistance services .....	5	3	3
26.0	Supplies and materials .....	3	3	3
99.9	Total new obligations, unexpired accounts .....	8	6	6

RECYCLABLE MATERIALS REVOLVING FUND

**Program and Financing (in millions of dollars)**

Identification code 001-4297-0-3-801	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND

**Program and Financing (in millions of dollars)**

Identification code 001-4518-0-4-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Operations and Maintenance .....	34	30	30
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	43	35	35
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	44	35	35
Budget authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	7	17	17
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	35	30	30
1825	Spending authority from offsetting collections applied to repay debt .....	-17	-17	-17
1850	Spending auth from offsetting collections, mand (total) .....	18	13	13
1900	Budget authority (total) .....	25	30	30
1930	Total budgetary resources available .....	69	65	65
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	35	35	35
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24	22	18
3010	New obligations, unexpired accounts .....	34	30	30
3020	Outlays (gross) .....	-35	-34	-13
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	22	18	35
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	22	18
3200	Obligated balance, end of year .....	22	18	35
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	25	30	30
Outlays, gross:				
4100	Outlays from new mandatory authority .....	16	13	13
4101	Outlays from mandatory balances .....	19	21	
4110	Outlays, gross (total) .....	35	34	13
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-35	-30	-30

4180	Budget authority, net (total) .....	-10		
4190	Outlays, net (total) .....		4	-17

**Memorandum (non-add) entries:**

5010	Total investments, SOY: non-Fed securities: Market value .....	10	10	10
5011	Total investments, EOY: non-Fed securities: Market value .....	10	10	

The Judiciary Office Building Development Act, Public Law 100-480, among other purposes, authorized the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However, the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

**Object Classification (in millions of dollars)**

Identification code 001-4518-0-4-801	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	4	4	4
25.4	Operation and maintenance of facilities .....	10	13	13
32.0	Land and structures .....	19	12	12
99.9	Total new obligations, unexpired accounts .....	34	30	30

**Employment Summary**

Identification code 001-4518-0-4-801	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	7	12	12

**Trust Funds**

GIFTS AND DONATIONS

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 001-8189-0-7-801	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			1
<b>Receipts:</b>				
Current law:				
1130	Gifts and Donations .....	1		1
2000	Total: Balances and receipts .....		1	2
5099	Balance, end of year .....		1	2

**BOTANIC GARDEN**

**Federal Funds**

BOTANIC GARDEN

For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, [\$14,759,000] \$16,094,000, of which [\$3,559,000] \$4,000,000 shall remain available until September 30, [2023] 2024: Provided, That, of the amount made available under this heading, the Architect of the Capitol may obligate and expend such sums as may be necessary for the maintenance, care and operation of the National Garden established under section 307E of the Legislative Branch Appropriations Act, 1989 (2 U.S.C. 2146),

BOTANIC GARDEN—Continued

upon vouchers approved by the Architect of the Capitol or a duly authorized designee. (Legislative Branch Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 009-0200-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Botanic Garden (Direct) .....	14	15	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	15	16
1930 Total budgetary resources available .....	20	21	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 New obligations, unexpired accounts .....	14	15	16
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-14	-15	-16
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	15	16
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	12	13
4011 Outlays from discretionary balances .....	5	3	3
4020 Outlays, gross (total) .....	14	15	16
4180 Budget authority, net (total) .....	14	15	16
4190 Outlays, net (total) .....	14	15	16

Object Classification (in millions of dollars)

Identification code 009-0200-0-1-801	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	3	3	3
25.1 Advisory and assistance services .....	2	2	3
25.4 Operation and maintenance of facilities .....	3	3	2
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....		1	2
99.9 Total new obligations, unexpired accounts .....	14	15	16

Employment Summary

Identification code 009-0200-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	68	69	69

Trust Funds

GIFTS AND DONATIONS

Pursuant to 2 U.S.C. 2146, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift

funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

ADMINISTRATIVE PROVISIONS

NO BONUSES FOR CONTRACTORS BEHIND SCHEDULE OR OVER BUDGET

SEC. 130. None of the funds made available in this Act for the Architect of the Capitol may be used to make incentive or award payments to contractors for work on contracts or programs for which the contractor is behind schedule or over budget, unless the Architect of the Capitol, or agency-employed designee, determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program.

【SCRIMS】

【SEC. 131. (a) None of the funds made available by this Act may be used for scrims containing photographs of building facades during restoration or construction projects performed by the Architect of the Capitol.

(b) This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.】

【SECURITY PROGRAMS】

【SEC. 132. (a) PURPOSE OF PROGRAMS.—Section 906(b) of the 2002 Supplemental Appropriations Act for Further Recovery From and Response To Terrorist Attacks on the United States (2 U.S.C. 1865(b)) is amended to read as follows:

"(b) Funds in the account shall be used by the Architect of the Capitol for all necessary expenses for—

"(1) resilience and security programs of the Architect of the Capitol; and

"(2) the maintenance, care, and operation of buildings, grounds, and security enhancements for facilities of the United States Capitol Police and for other facilities associated with such resilience and security programs at any location.".

(b) TRANSFERS OF FUNDS.—Section 906 of such Act (2 U.S.C. 1865) is amended—

(1) by redesignating subsection (c) as subsection (d); and

(2) by inserting after subsection (b) the following new subsection:

"(c)(1) For carrying out the purposes of the account, the Architect of the Capitol may receive transfers of appropriations from any agency of the Legislative Branch upon the approval of—

"(A) the Committee on Appropriations of the House of Representatives, in the case of a transfer from an office of the House of Representatives;

"(B) the Committee on Appropriations of the Senate, in the case of a transfer from an office of the Senate; or

"(C) the Committees on Appropriations of the House of Representatives and the Senate, in the case of a transfer from any other office of the Government.

"(2) Amounts transferred under this subsection shall be merged with the account and made available under this section.

"(3) This subsection shall apply with respect to fiscal year 2019 and each succeeding fiscal year.".

【INCREASE IN THRESHOLD FOR SMALL PURCHASE CONTRACTING AUTHORITY】

【SEC. 133. (a) 2 U.S.C. 1821 is amended by adding before "Notwithstanding any other provision of law—" the following text: "To promote efficiency and economy in contracting and to avoid unnecessary burdens, the Architect of the Capitol is granted authority to utilize special simplified procedures for purchases of property and services the aggregate amount of which does not exceed \$250,000.".

(b) The amendment made by subsection (a) shall apply with respect to fiscal year 2019 and each succeeding fiscal year.】

【INTERAGENCY DETAILS】

【SEC. 134. (a) AUTHORIZING DETAILS OF EMPLOYEES UNDER JOINT AGENCY AGREEMENTS.—In addition to any other authority relating to the detail of employees, the Architect of the Capitol and the head of any other department, agency, or instrumentality of the United States Government may enter into a joint agency agreement under which—

(1) employees of the Office of the Architect of the Capitol (including employees of the United States Botanic Garden) may be detailed to such department, agency, or instrumentality on a reimbursable or non-reimbursable basis; and

(2) employees of such department, agency, or instrumentality may be detailed to the Office of the Architect of the Capitol on a reimbursable or non-reimbursable basis.

(b) DURATION.—The detail of an employee under a joint agency agreement under this section shall be for such duration as may be provided in the agreement, except that in the case of a detail made on a non-reimbursable basis, the duration of the detail may not exceed one year unless the Architect of the Capitol and the head of

the department, agency, or instrumentality involved each determine that an extension of the detail of the employee is in the public interest.

(c) **NO EFFECT ON APPROPRIATIONS OF RECIPIENT OF NON-REIMBURSABLE DETAIL.**—For purposes of any law, rule, or regulation, the detail of an employee on a non-reimbursable basis under a joint agency agreement under this section for a fiscal year shall not be treated as an increase or modification of the appropriation for the fiscal year of the office to whom the employee is detailed.

(d) **EFFECTIVE DATE.**—This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.】

【ACCEPTANCE OF TRAVEL EXPENSES FROM NON-FEDERAL SOURCES】

【SEC. 135. (a) **PERMITTING ACCEPTANCE OF EXPENSES.**—Notwithstanding any other provision of law, the Architect of the Capitol may accept payment or authorize an employee of the Office of the Architect of the Capitol to accept payment on the Office's behalf from non-Federal sources for travel, subsistence, and related expenses with respect to attendance of the employee (or the spouse of such employee) at any meeting or similar function relating to the employee's official duties. Any cash payment so accepted shall be credited to the appropriation applicable to such expenses. In the case of a payment in kind so accepted, a pro rata reduction shall be made in any entitlement of the employee to payment from the Government for such expenses.

(b) **PROHIBITING ACCEPTANCE FROM OTHER SOURCES.**—Except as provided in this section or section 7342 of title 5, United States Code, the Office or an employee of the Office may not accept payment for expenses referred to in subsection (a). An employee who accepts any payment in violation of the preceding sentence—

(1) may be required, in addition to any penalty provided by law, to repay, for deposit in the general fund of the Treasury, an amount equal to the amount of the payment so accepted; and

(2) in the case of a repayment under paragraph (1), shall not be entitled to any payment from the Government for such expenses.

(c) **EFFECTIVE DATE.**—This section shall apply with respect to fiscal year 2019 and each succeeding fiscal year.】

(Legislative Branch Appropriations Act, 2019.)

**LIBRARY OF CONGRESS**

*Federal Funds*

LIBRARY OF CONGRESS

SALARIES AND EXPENSES

For all necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Library's catalogs; custody and custodial care of the Library buildings; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, 【\$480,052,000】 \$528,570,000, of which not more than \$6,000,000 shall be derived from collections credited to this appropriation during fiscal year 【2019】 2020, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150): *Provided*, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$6,000,000: *Provided further*, That of the total amount appropriated, not more than \$12,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the Overseas Field Offices: *Provided further*, That of the total amount appropriated, 【\$8,855,000】 \$9,110,000 shall remain available until expended for the digital collections and educational curricula program: *Provided further*, That of the total amount appropriated, 【\$1,318,000】 \$1,350,000 shall remain available until expended for upgrade of the Legislative Branch Financial Management System: *Provided further*, That of the total amount appropriated, \$250,000 shall remain available until expended for the Surplus Books Program to promote the program and facilitate a greater number of donations to eligible entities across the United States: *Provided further*, That of the total amount appropriated, 【\$2,383,000】 \$2,587,000 shall remain available until expended for the Veterans History Project to continue digitization efforts of already collected materials, reach a greater number of veterans to record their stories, and promote public access to the Project: *Provided further*, That of the total amount appropriated, \$10,000,000

shall remain available until expended for the development of the Library's Visitor Experience project. (Legislative Branch Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 003-0101-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Librarian .....	88	44	55
0002 Office of the Chief Operating Officer .....	.....	71	80
0003 Library Services .....	215	221	229
0004 National and International Outreach .....	24	.....	.....
0005 Law Library .....	19	17	18
0006 Office of Inspector General .....	3	3	4
0007 Office of the Chief Information Officer .....	114	118	137
0799 Total direct obligations .....	463	474	523
0801 Reimbursable program - Interagency/ Intra-agency .....	21	21	21
0802 Reimbursable program - National Library .....	2	6	6
0899 Total reimbursable obligations .....	23	27	27
0900 Total new obligations, unexpired accounts .....	486	501	550

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	23	23
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	15	23	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	471	474	523
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24	27	27
1900 Budget authority (total) .....	495	501	550
1930 Total budgetary resources available .....	510	524	573
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	23	23	23

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	140	155	128
3010 New obligations, unexpired accounts .....	486	501	550
3011 Obligations ("upward adjustments"), expired accounts .....	5	.....	.....
3020 Outlays (gross) .....	-468	-528	-512
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-7	.....	.....
3050 Unpaid obligations, end of year .....	155	128	166
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	140	155	128
3200 Obligated balance, end of year .....	155	128	166

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	495	501	550
Outlays, gross:			
4010 Outlays from new discretionary authority .....	351	380	417
4011 Outlays from discretionary balances .....	117	148	95
4020 Outlays, gross (total) .....	468	528	512
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-21	-21	-21
4033 Non-Federal sources .....	-4	-6	-6
4040 Offsets against gross budget authority and outlays (total) ...	-25	-27	-27
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	471	474	523
4080 Outlays, net (discretionary) .....	443	501	485
4180 Budget authority, net (total) .....	471	474	523
4190 Outlays, net (total) .....	443	501	485

**Office of the Librarian.**—The Office of the Librarian provides leadership to the Library, overseeing the implementation and management of the Library's mission to support the Congress in fulfilling its constitutional duties and to further the progress of knowledge and creativity for the benefit of the American people. The Librarian of Congress and the Principal Deputy Librarian of Congress provide executive management to the subordinate Library units, which include the Center for Exhibits and Interpretation, Center for Literacy Learning and Engagement, Congressional Research

LIBRARY OF CONGRESS—Continued

Service, Library Collections and Services Group, and the U.S. Copyright Office. The Librarian of Congress chairs the Library's Executive Committee (EC).

*Library Services.*—Library Services acquires, organizes, provides access to, maintains, secures, and preserves the Library's collections, which document the history and further the creativity of the American people as well as record and contribute to the advancement of civilization and knowledge throughout the world. It oversees the preservation of sound and film material of national significance.

*National and International Outreach (NIO).*—The National and International Outreach was abolished at the end of fiscal 2018 and the functions of NIO were dispersed to other parts of the Library. Some functions were established into two new centers in the Office of the Librarian: the Center for Exhibits and Interpretation (CEI) and the Center for Learning, Literacy, and Engagement (CLLE). The Digital Innovation Lab office was established to generate innovative digital projects and an oversight group - the Library Collections and Services Group (LCSG) was created to leverage oversight units that acquire, steward the Library's collections. The Library Enterprises Directorate within the Office of the Chief Operating Officer was established to better serve users through the sales of products and services.

*Law Library.*—The Law Library of Congress (Law Library) is the world's largest law and legislative library. Its primary mission is to provide the United States Congress, Executive Branch agencies, federal courts, the legal community, and others with legal research and/or reference services in U.S., foreign, international, and comparative law. The Law Library's core research capacity includes foreign and U.S. trained attorneys and librarians. Reference staff and foreign legal specialists utilize the Law Library's unparalleled collection of domestic, foreign and international legal materials, from more than 240 foreign and international jurisdictions. The collection consists of authoritative legal sources in original languages, with more than 2.95 million volumes and 3.1 million micro-format items. The Law Library acquires, maintains, organizes, preserves, and provides access to a comprehensive legal collection in both print and digital formats, and draws on technology to make the collection accessible through various systems and online interfaces. The Law Library is a key player in developing and maintaining the currency of content in Congress.gov, the authoritative legislative information system for the Congress and the public. The Law Library also develops electronic information products that provide access to historical and contemporary legal legislative, administrative, and judicial documents. The Law Library creates research and collection guides focusing on legal research techniques, issues, and events. Ensuring accuracy, authenticity, authoritativeness, and comprehensiveness of legal documents is a challenge the Law Library manages on a daily basis, enabling the highest quality of objective research and maintaining a legal collection encompassing countries and regions of strategic importance to the Congress.

*Office of the Inspector General.*—The Office of the Inspector General (OIG) functions within the Library of Congress as an independent, objective office with authority to: conduct and supervise audits and investigations relating to the Library; provide leadership and coordination in recommending policies and operational changes that promote economy, efficiency, and effectiveness; and inform the Librarian of Congress and the Congress fully about problems and deficiencies related to the administration and operations of the Library. The OIG specializes in auditing and analyzing the design of and compliance with the Library's systems of internal control, with special emphasis on deterring waste, fraud, and abuse. Through its audit operation it recommends corrective actions to improve financial, operational, technological, and managerial activities. In its investigative capacity, it performs administrative, civil, and criminal investigations concerning fraud, conflict of interest, and other misconduct involving Library employees, contractors, and grantees. As part of its oversight role, OIG continually reviews and provides recommendations about the effects of existing and proposed legislation and Library policies. The OIG also coordinates relationships within the Library and with outside organizations

regarding OIG matters. The designed objective of the office's efforts is to assist the Library in accomplishing its mission in the most effective and efficient manner.

*Office of the Chief Information Officer (OCIO).*—The IT vision of the Library of Congress is to deliver continuous uninterrupted digital services and enable the Congress and the American people to make maximal use of the Library's resources and services. The Office of the Chief Information Officer (OCIO), via the IT domain, manages IT as a strategic resource across the Library by providing the IT strategic direction, leadership, services, and capabilities that deliver the IT vision of the Library of Congress. OCIO's goals are to provide strategic direction and leadership for IT, deliver business-driven capabilities, improve IT investment management, and strengthen protection for IT systems and information. OCIO will continue to optimize existing Information Technology (IT) resources and integrate advanced technology to support the daily work for the Congress, the creative community, the Library's service units, and the public.

*Office of the Chief Operating Officer (OCOO).*—The Office of the Chief Operating Officer (OCOO) was established as a separate Project Program or Activity (PPA) in fiscal 2018. OCOO provides comprehensive services, manages institutional programs, and overseas regulatory compliance in the areas of financial administration; human capital; contracting; facilities, safety and health; asset management; personnel security and emergency preparedness; and programs that deliver fee-based services.

Object Classification (in millions of dollars)

Identification code 003-0101-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	201	217	234
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	4	3	3
11.8 Special personal services payments .....	1		
11.9 Total personnel compensation .....	210	225	242
12.1 Civilian personnel benefits .....	67	72	81
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	2	2
23.2 Rental payments to others .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	15	15	18
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	48	46	60
25.2 Other services from non-Federal sources .....	21	23	33
25.3 Other goods and services from Federal sources .....	11	13	14
25.4 Operation and maintenance of facilities .....	8	9	9
25.7 Operation and maintenance of equipment .....	15	15	15
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	49	37	31
41.0 Grants, subsidies, and contributions .....	8	8	8
99.0 Direct obligations .....	462	473	522
99.0 Reimbursable obligations .....	23	27	27
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	486	501	550

Employment Summary

Identification code 003-0101-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,064	2,193	2,212
2001 Reimbursable civilian full-time equivalent employment .....	117	120	124

LIBRARY OF CONGRESS NATIONAL COLLECTION STEWARDSHIP FUND

Congress established the Library of Congress National Collection Stewardship Fund (NCSF) in May 2017 as part of the Consolidated Appropriations Act of 2017. An account for the fund was established in the Treasury of the United States in May 2017, following enactment. The Library of Congress NCSF may be used directly for the purpose of preparing collection materials of the Library of Congress for long-term storage, and the Librarian may transfer amounts to the Architect of the Capitol (AOC) for the purpose of designing, constructing, altering, upgrading, and equipping

collections preservation and storage facilities for the Library of Congress, or for the purpose of acquiring real property by lease for the preservation and storage of Library of Congress collections. The NCSF provides direct assistance in funding these projects not by replacing current appropriations streams of funding but by accumulating funding over several appropriations cycles supplementing specifically appropriated program expenses. The NCSF consists of such amounts as may be transferred by the Librarian from available amounts appropriated for any fiscal year (starting with fiscal year 2017 and each succeeding fiscal year) for the Library of Congress under the heading Salaries and Expenses. Any amounts in the NCSF shall remain available until expended for the stated purpose of the fund.

**COPYRIGHT OFFICE**  
**SALARIES AND EXPENSES**

For all necessary expenses of the Copyright Office, **[\$93,407,000]** \$92,992,000, of which not more than **[\$39,218,000]** \$43,221,000, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year **[2019]** 2020 under sections 708(d) and 1316 of title 17, United States Code: *Provided*, That the Copyright Office may not obligate or expend any funds derived from collections under such section, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That not more than **[\$6,272,000]** \$6,482,000 shall be derived from collections during fiscal year **[2019]** 2020 under sections 111(d)(2), 119(b)(3), 803(e), and 1005 **[**, and 1316**]** of such title: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than **[\$45,490,000]** \$49,703,000: *Provided further*, That \$4,328,000 shall be derived from prior year unobligated balances: *Provided further*, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: *Provided further*, That not more than \$6,500 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars: *Provided further*, That, notwithstanding any provision of chapter 8 of title 17, United States Code, any amounts made available under this heading which are attributable to royalty fees and payments received by the Copyright Office pursuant to sections 111, 119, and chapter 10 of such title may be used for the costs incurred in the administration of the Copyright Royalty Judges program, with the exception of the costs of salaries and benefits for the Copyright Royalty Judges and staff under section 802(e). (*Legislative Branch Appropriations Act, 2019*.)

**Program and Financing** (in millions of dollars)

Identification code 003–0102–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	27	42	42
0002 Determinations by Copyright Royalty Judges .....	1	1	1
0799 Total direct obligations .....	28	43	43
0801 Registration, recordation, cataloging, acquisitions, & public reference (Basic) .....	37	44	44
0802 Licensing .....	5	6	6
0899 Total reimbursable obligations .....	42	50	50
0900 Total new obligations, unexpired accounts .....	70	93	93
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	19	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28	44	43
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	44	51	50
1900 Budget authority (total) .....	72	95	93
1930 Total budgetary resources available .....	89	114	114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	21	21

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	15	14
3010 New obligations, unexpired accounts .....	70	93	93
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-77	-94	-93
3050 Unpaid obligations, end of year .....	15	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	15	14
3200 Obligated balance, end of year .....	15	14	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	72	95	93
Outlays, gross:			
4010 Outlays from new discretionary authority .....	57	81	79
4011 Outlays from discretionary balances .....	20	13	14
4020 Outlays, gross (total) .....	77	94	93
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-6	-6
4033 Non-Federal sources .....	-44	-45	-44
4040 Offsets against gross budget authority and outlays (total) .....	-44	-51	-50
4070 Budget authority, net (discretionary) .....	28	44	43
4080 Outlays, net (discretionary) .....	33	43	43
4180 Budget authority, net (total) .....	28	44	43
4190 Outlays, net (total) .....	33	43	43

The U.S. Copyright Office (USCO) operates the largest database of copyrighted works and copyright ownership information in the world, and through its work contributes significantly to the development of the copyright law, the national economy, and the cultural heritage of the United States. Approximately sixty percent of the USCO's Basic operations are funded by fees paid by authors and other copyright owners for services rendered, and the remainder is funded by appropriated dollars. The amount requested is more than offset by projected fee receipts plus the value of books and other materials deposited with the USCO in accordance with the Copyright Act (17 U.S.C. subsections 407 and 408) and transferred annually to the Library of Congress for its permanent collections.

*Registration, recordation, acquisitions, copyright records, public information, and expert advice on copyright issues.*—The USCO is responsible for administering the national copyright registration system, including by reviewing applications for copyright registration and recording assignments and other copyright-related documents. In 2018, the USCO examined and registered 560,013 claims to copyright in original works of authorship, and it expects to register an estimated 450,000 copyright claims in both 2019 and 2020. Additional responsibilities of the USCO include: creating and providing access to records of copyright ownership, and providing additional copyright information to the public; administering the mandatory deposit provision of the Copyright Act, which provides books, films, sound recordings, and other works for possible inclusion in Library of Congress collections; providing expert advice to Congress, executive agencies, and the courts on domestic and international copyright law and policy; and participating in international discussions and negotiations regarding copyright matters.

*Licensing Division.*—The Licensing Division handles administrative provisions of statutory licenses and obligations under the copyright law, including those involving secondary transmissions by cable television systems and satellite carriers and the importation, manufacture and distribution of digital audio recording devices and distribution media. The Division collects specified royalty fees for distribution to copyright owners upon determinations rendered by the Copyright Royalty Judges. Distribution occurs after deduction of administrative costs incurred by the Division and by the Copyright Royalty Judges, as specified by this appropriation. The Licensing Division is fully funded directly from the royalties and filing fees collected, making it self-supporting with no tax dollars used for this operation.

*Copyright Royalty Judges (CRJ).*—The Copyright Royalty Judges and their staff, who operate under the Librarian of Congress, determine royalty

COPYRIGHT OFFICE—Continued

distributions and adjust the royalty rates and terms of copyright statutory licenses.

Object Classification (in millions of dollars)

Identification code 003-0102-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	17	15	16
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	18	16	17
12.1 Civilian personnel benefits .....	6	12	13
25.1 Advisory and assistance services .....	.....	4	4
25.2 Other services from non-Federal sources .....	.....	1	1
25.3 Other goods and services from Federal sources .....	2	5	3
25.7 Operation and maintenance of equipment .....	.....	1	1
31.0 Equipment .....	1	4	4
99.0 Direct obligations .....	27	43	43
99.0 Reimbursable obligations .....	43	50	49
99.5 Adjustment for rounding .....	.....	.....	1
99.9 Total new obligations, unexpired accounts .....	70	93	93

Employment Summary

Identification code 003-0102-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	171	202	200
2001 Reimbursable civilian full-time equivalent employment .....	245	227	229

CONGRESSIONAL RESEARCH SERVICE

SALARIES AND EXPENSES

For all necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, **[\$125,688,000] \$121,572,000: Provided,** That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate: *Provided further,* That this prohibition does not apply to publication of non-confidential Congressional Research Service (CRS) products: *Provided further,* That a non-confidential CRS product includes any written product containing research or analysis that is currently available for general congressional access on the CRS Congressional Intranet, or that would be made available on the CRS Congressional Intranet in the normal course of business and does not include material prepared in response to Congressional requests for confidential analysis or research. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 003-0127-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Congressional Research Service, Salaries and Expenses (Direct) .....	118	126	122
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	120	126	122
1930 Total budgetary resources available .....	120	126	122
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	16	9
3010 New obligations, unexpired accounts .....	118	126	122
3020 Outlays (gross) .....	-113	-133	-121
3050 Unpaid obligations, end of year .....	16	9	10
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	11	16	9

3200 Obligated balance, end of year .....	16	9	10
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	120	126	122
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	102	116	112
4011 Outlays from discretionary balances .....	11	17	9
4020 Outlays, gross (total) .....	113	133	121
4180 Budget authority, net (total) .....	120	126	122
4190 Outlays, net (total) .....	113	133	121

The Congressional Research Service (CRS) assists all Members and committees of Congress with its deliberations and legislative decisions by providing objective, authoritative, non-partisan, and confidential research and analysis. As a shared resource, serving Congress exclusively, CRS experts support the Congress at all stages of the legislative process by providing integrated and interdisciplinary analysis and insights in all areas of legislative activity.

Object Classification (in millions of dollars)

Identification code 003-0127-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	69	74	76
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	71	76	78
12.1 Civilian personnel benefits .....	22	23	25
23.3 Communications, utilities, and miscellaneous charges .....	.....	1	1
25.1 Advisory and assistance services .....	4	7	7
25.2 Other services from non-Federal sources .....	5	2	2
25.3 Other goods and services from Federal sources .....	5	7	.....
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	4	5	5
31.0 Equipment .....	4	3	2
99.0 Direct obligations .....	117	126	122
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	118	126	122

Employment Summary

Identification code 003-0127-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	570	621	621

BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED

SALARIES AND EXPENSES

For all necessary expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), **[\$52,783,000] \$59,646,000: Provided,** That of the total amount appropriated, \$650,000 shall be available to contract to provide newspapers to blind and physically handicapped residents at no cost to the individual. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 003-0141-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct service to users .....	51	53	60
0801 Reimbursable program activity .....	1	1	.....
0900 Total new obligations, unexpired accounts .....	52	54	60
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	51	53	60
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	.....	.....
1900 Budget authority (total) .....	52	53	60

1930	Total budgetary resources available .....	53	54	60
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	36	27	27
3010	New obligations, unexpired accounts .....	52	54	60
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-58	-54	-57
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	27	27	30
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	36	27	27
3200	Obligated balance, end of year .....	27	27	30
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	52	53	60
Outlays, gross:				
4010	Outlays from new discretionary authority .....	32	27	31
4011	Outlays from discretionary balances .....	26	27	26
4020	Outlays, gross (total) .....	58	54	57
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4180	Budget authority, net (total) .....	51	53	60
4190	Outlays, net (total) .....	57	54	57

The National Library Service for the Blind and Physically Handicapped (NLS) is responsible for administering a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

*Direct service to users.*—During Fiscal 2018, NLS and its network of cooperating libraries served 765,000 reader accounts, and circulated over 21 million books and magazines in accessible media.

*Support services.*—A variety of professional, technical, and clerical functions are performed by the NLS. More than 4,000 requests for information concerning library and related services available to the blind and to other physically handicapped persons were received in 2018 and over 150,000 interlibrary loan items were circulated.

**Object Classification** (in millions of dollars)

Identification code 003-0141-0-1-503	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	9	10	10
12.1	Civilian personnel benefits .....	3	3	3
23.1	Rental payments to GSA .....	3	3	3
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	5	7	10
25.2	Other services from non-Federal sources .....	4	4	4
25.3	Other goods and services from Federal sources .....	1	1	
25.5	Research and development contracts .....	2		1
25.7	Operation and maintenance of equipment .....			1
31.0	Equipment .....	22	21	24
99.0	Direct obligations .....	50	50	57
99.0	Reimbursable obligations .....		1	
99.5	Adjustment for rounding .....	2	3	3
99.9	Total new obligations, unexpired accounts .....	52	54	60

**Employment Summary**

Identification code 003-0141-0-1-503	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	105	113	115
2001	Reimbursable civilian full-time equivalent employment .....	4	4	

**PAYMENTS TO COPYRIGHT OWNERS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 003-5175-0-2-376	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....		

Receipts:				
Current law:				
1110	Fees from Jukebox, Satellite and Cable Television for Operating Costs, Copyright Office .....	4	6	6
2000	Total: Balances and receipts .....	4	6	6
Appropriations:				
Current law:				
2101	Payments to Copyright Owners .....	-4	-6	-6
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 003-5175-0-2-376	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Licensing costs .....	4	6	6
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	4	6	6
1930	Total budgetary resources available .....	4	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	4	6	6
3020	Outlays (gross) .....	-4	-6	-6
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	4	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	6	6
4180	Budget authority, net (total) .....	4	6	6
4190	Outlays, net (total) .....	4	6	6

**Object Classification** (in millions of dollars)

Identification code 003-5175-0-2-376	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.3	Other goods and services from Federal sources .....	2	6	6
44.0	Refunds .....	2		
99.9	Total new obligations, unexpired accounts .....	4	6	6

**COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 003-4325-0-3-503	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Cooperative Acquisitions Program .....	3	8	9
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3	8	9
1930	Total budgetary resources available .....	9	14	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	3	8	9
3020	Outlays (gross) .....	-3	-8	-9
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	8	9
Outlays, gross:				
4010	Outlays from new discretionary authority .....		7	8
4011	Outlays from discretionary balances .....	3	1	1
4020	Outlays, gross (total) .....	3	8	9

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND—Continued  
**Program and Financing—Continued**

Identification code 003-4325-0-3-503	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3	-8	-9
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Under the authority of 2 U.S.C. 182, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

**Object Classification** (in millions of dollars)

Identification code 003-4325-0-3-503	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....		1	1
31.0 Equipment .....	2	4	5
99.0 Reimbursable obligations .....	3	7	8
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations, unexpired accounts .....	3	8	9

**Employment Summary**

Identification code 003-4325-0-3-503	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	6	7	7

DUPLICATION SERVICES

Under the authority of 2 U.S.C. 182a, the Library of Congress operates a revolving fund to provide preservation and duplication and delivery services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. Audio-visual preservation and duplication services are also available to other archives, libraries, and industry constituents.

GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

**Program and Financing** (in millions of dollars)

Identification code 003-4346-0-3-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 National Library .....	9	12	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	12	14
1930 Total budgetary resources available .....	12	15	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	9	12	14
3020 Outlays (gross) .....	-9	-12	-14
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	9	12	14

Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	10	12
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	9	12	14
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-12	-14
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) ....	-9	-12	-14
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Under the authority of 2 U.S.C. 182b, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing preservation microfilming services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

**Object Classification** (in millions of dollars)

Identification code 003-4346-0-3-503	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....		1	1
25.2 Other services from non-Federal sources .....	4	3	5
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Reimbursable obligations .....	8	10	12
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	9	12	14

**Employment Summary**

Identification code 003-4346-0-3-503	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	17	32	33

FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 003-4543-0-4-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Fedlink and Federal Research .....	69	170	205
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	23	23
1021 Recoveries of prior year unpaid obligations .....	4		
1033 Recoveries of prior year paid obligations .....	3		
1050 Unobligated balance (total) .....	27	23	23
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	170	205
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	65	170	205
1930 Total budgetary resources available .....	92	193	228
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	23	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	26	60
3010 New obligations, unexpired accounts .....	69	170	205
3020 Outlays (gross) .....	-70	-136	-205
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	26	60	60
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		

3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28	22	56
3200	Obligated balance, end of year .....	22	56	56
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	65	170	205
Outlays, gross:				
4010	Outlays from new discretionary authority .....	42	107	129
4011	Outlays from discretionary balances .....	28	29	76
4020	Outlays, gross (total) .....	70	136	205
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-60	-170	-205
4033	Non-Federal sources .....	-7		
4040	Offsets against gross budget authority and outlays (total) ....	-67	-170	-205
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	2		
4080	Outlays, net (discretionary) .....	3	-34	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	3	-34	

Under the authority of 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal agencies through the procurement of commercial information services, publications in any format, any library support services; related accounting services; education, information, and support services; and customized research services.

**Object Classification** (in millions of dollars)

Identification code 003-4543-0-4-503	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	3	6	6
11.3	Other than full-time permanent .....		1	1
11.9	Total personnel compensation .....	3	7	7
12.1	Civilian personnel benefits .....	1	2	2
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	33	98	163
25.3	Other goods and services from Federal sources .....	1	2	2
31.0	Equipment .....	22	60	30
44.0	Refunds .....	7		
99.0	Reimbursable obligations .....	68	170	205
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations, unexpired accounts .....	69	170	205

**Employment Summary**

Identification code 003-4543-0-4-503	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	35	70	60

**Trust Funds**

GIFT AND TRUST FUND ACCOUNTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 003-9971-0-7-503	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	10	10	
Receipts:				
Current law:				
1130	Contributions, Library of Congress Gift Fund .....	4	5	6
1130	Contributions, Library of Congress Permanent Loan Account .....	3	4	4
1130	Income from Donated Securities, Library of Congress .....	5	5	5
1140	Interest, Library of Congress Permanent Loan Account .....	1	1	2
1199	Total current law receipts .....	13	15	17
1999	Total receipts .....	13	15	17
2000	Total: Balances and receipts .....	23	25	17

Appropriations:				
Current law:				
2101	Gift and Trust Fund Accounts .....	-12	-25	-15
5098	Rounding adjustment .....	-1		
5099	Balance, end of year .....	10		2

**Program and Financing** (in millions of dollars)

Identification code 003-9971-0-7-503	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Office of the Librarian .....	1	11	11
0002	National and International Outreach .....	10		
0003	Library Services .....	14	14	14
0900	Total new obligations, unexpired accounts .....	25	25	25
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	26	23	28
1021	Recoveries of prior year unpaid obligations .....	1		
1033	Recoveries of prior year paid obligations .....	9	5	5
1050	Unobligated balance (total) .....	36	28	33
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	12	25	15
1930	Total budgetary resources available .....	48	53	48
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	23	28	23

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3	6
3010	New obligations, unexpired accounts .....	25	25	25
3020	Outlays (gross) .....	-24	-22	-16
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	3	6	15
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	3	6
3200	Obligated balance, end of year .....	3	6	15

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	12	25	15
Outlays, gross:				
4100	Outlays from new mandatory authority .....	11	20	12
4101	Outlays from mandatory balances .....	13	2	4
4110	Outlays, gross (total) .....	24	22	16
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1		
4123	Non-Federal sources .....	-8	-5	-5
4130	Offsets against gross budget authority and outlays (total) ....	-9	-5	-5
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	9	5	5
4160	Budget authority, net (mandatory) .....	12	25	15
4170	Outlays, net (mandatory) .....	15	17	11
4180	Budget authority, net (total) .....	12	25	15
4190	Outlays, net (total) .....	15	17	11

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	22	21	21
5001	Total investments, EOY: Federal securities: Par value .....	21	21	21
5010	Total investments, SOY: non-Fed securities: Market value .....	141	145	145
5011	Total investments, EOY: non-Fed securities: Market value .....	145	145	145

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts." The Library has seven program areas related to Gift and Trust funds:

*Library Services.*—Library Services acquires and catalogs materials for the Library's collections; manages and maintains the collections; preserves

GIFT AND TRUST FUND ACCOUNTS—Continued

materials for use now and in the future; and serves the Congress as well as the public.

**Law Library.**—The Law Library of Congress maintains a global law collection of U.S. legal materials, and collections from more than 240 foreign jurisdictions. The collection consists of more than 2.95 million volumes, 3.1 million micro-format items and authoritative legal information web sites. Reference specialists and foreign legal provide legal research reference products and services, to all three branches of the government and the entire nation. In fiscal 2018 the Law Library hosted the Biennial Kellogg Lecture which was delivered by New York University School of Law Professor Jeremy Waldron. The lecture was titled, "The Philosophical Foundations of Immigration Law." Mr. Kellogg first provided an introduction, explaining that this series of lectures provides a space to engage in discussions about the theoretical aspects of law. In collaboration with the Friends of the Law Library of Congress, the Embassy of Tunisia, and the Library's African and Middle Eastern Division (AMED), the Law Library hosted a book talk on the Santillana Codes: The Civil Codes of Tunisia, Morocco, and Mauritania, authored by attorney Dan E. Stigall. The program brought together distinguished scholars and invitees to celebrate Maghrebian and Sahelian legal culture. Additionally, the Law Librarian of Congress interviewed American Bar Association President Hilarie Bass on the separation of powers.

**Copyright Office.**—The Copyright Office administers the U.S. Copyright Laws; provides expert advice to the Congress on matters relating to copyright law and policy; provides information and assistance to the executive branch and the courts; and promotes international protection of U.S. copyrighted works.

**Congressional Research Service.**—The Congressional Research Service (CRS) serves all Members and committees of Congress. CRS experts provide Congress with authoritative, confidential, non-partisan, and objective expertise across the full range of legislative policy issues.

**Office of the Chief Operating Officer.**—The Office of the Chief Operating Officer (OCOO) organization provides comprehensive services, manages institutional programs, and oversees regulatory compliance in the areas of financial management; human capital; contracting; facilities, safety and health services; asset management; personnel security and emergency preparedness; and programs that deliver fee-based services. OCOO oversees gift and trust fund activities that provide assistance to the Library of Congress staff with emergencies that exceed their financial capacity, supports leadership development at the Library with a focus on enabling minorities to ascend to leadership positions, supports workshops, seminars and training for professional development programs.

Object Classification (in millions of dollars)

Identification code 003-9971-0-7-503	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	4	4
26.0 Supplies and materials .....		1	1
31.0 Equipment .....		1	1
33.0 Investments and loans .....	9	9	9
41.0 Grants, subsidies, and contributions .....	3	4	3
99.0 Direct obligations .....	22	25	24
99.5 Adjustment for rounding .....	3		1
99.9 Total new obligations, unexpired accounts .....	25	25	25

Employment Summary

Identification code 003-9971-0-7-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	16	17

ADMINISTRATIVE PROVISIONS

REIMBURSABLE AND REVOLVING FUND ACTIVITIES

SEC. 140. (a) IN GENERAL.—For fiscal year **[2019]** 2020, the obligational authority of the Library of Congress for the activities described in subsection (b) may not exceed **[\$194,608,000]** \$231,975,000.

(b) ACTIVITIES.—The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch. (Legislative Branch Appropriations Act, 2019.)

GOVERNMENT PUBLISHING OFFICE

Federal Funds

CONGRESSIONAL PUBLISHING

(INCLUDING TRANSFER OF FUNDS)

For authorized publishing of congressional information and the distribution of congressional information in any format; publishing of Government publications authorized by law to be distributed to Members of Congress; and publishing, and distribution of Government publications authorized by law to be distributed without charge to the recipient, \$79,000,000: *Provided*, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: *Provided further*, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: *Provided further*, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: *Provided further*, That unobligated or unexpended balances of expired discretionary funds made available under this heading in this Act for this fiscal year may be transferred to, and merged with, funds under the heading "Government Publishing Office Business Operations Revolving Fund" no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated, to be available for carrying out the purposes of this heading, subject to the approval of the Committee on Appropriations of the House of Representatives and the Senate: *Provided further*, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis. (Legislative Branch Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 004-0203-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Congressional Publishing .....	80	79	79
0900 Total new obligations, unexpired accounts (object class 24.0) .....	80	79	79
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	79	79
1930 Total budgetary resources available .....	80	79	79

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	47	47
3010 New obligations, unexpired accounts .....	80	79	79
3020 Outlays (gross) .....	-79	-79	-79

3041	Recoveries of prior year unpaid obligations, expired .....	-7		
3050	Unpaid obligations, end of year .....	47	47	47
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	53	47	47
3200	Obligated balance, end of year .....	47	47	47
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	80	79	79
Outlays, gross:				
4010	Outlays from new discretionary authority .....	62	56	56
4011	Outlays from discretionary balances .....	17	23	23
4020	Outlays, gross (total) .....	79	79	79
4180	Budget authority, net (total) .....	80	79	79
4190	Outlays, net (total) .....	79	79	79

This appropriation covers publishing for the Congress, content management, and the publishing of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for the publishing and distribution of Government publications authorized by law to be distributed without charge to the recipients.

Outlays, gross:				
4010	Outlays from new discretionary authority .....	24	26	25
4011	Outlays from discretionary balances .....	5	10	16
4020	Outlays, gross (total) .....	29	36	41
4180	Budget authority, net (total) .....	29	32	31
4190	Outlays, net (total) .....	29	36	41

The Public Information Programs of the Superintendent of Documents operate under a separate appropriation that provides funds (salaries and expenses) for: (1) the distribution of certain tangible publications to Members of Congress and other Government agencies, as authorized by law; (2) the distribution of Government publications to designated Federal depository libraries, as authorized by law, including tangible Government information products and online access to more than 2.5 million titles via GPO's govinfo (<https://www.govinfo.gov/>); (3) the compilation of catalogs and indexes of Government publications, as authorized by law via the "Catalog of U.S. Government Publications" (CGP) (<https://catalog.gpo.gov/>); and (4) the distribution of Federal Government publications to foreign governments via the International Exchange Service. These four functions are related to the publication activity of Federal agencies and the demands of the public, Members of Congress, and depository libraries. Following is a description of these four functions:

*Distribution for other Government agencies and Members of Congress (By-Law Distribution).*—The Public Information Programs of the Superintendent of Documents maintain mailing lists and distribute, at the request of Government agencies and Members of Congress, certain publications specified by public law.

*Federal Depository Library Program.*—Established by Congress to ensure the American public has access to its Government's information, the Federal Depository Library Program (FDLP) involves the acquisition and dissemination of Government information in all formats to Federal depository libraries across the country.

The mission of the FDLP is to coordinate with depository libraries, 1,133 nationwide, to disseminate information products from all three branches of the Government. Libraries that have been designated as Federal depositories maintain these information products (as provided by GPO) as part of their existing collections and are responsible for assuring that the public has free access to the material provided by the FDLP.

Included in this program is the maintenance and expansion of free, electronic access to information products produced by the Federal Government via govinfo. Electronic information dissemination and access have greatly expanded the number of publications offered to the Federal depository libraries as well as increasing public use of the FDLP content. As the FDLP continues its transition to a primarily electronic program, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to digital Government information.

*Cataloging and indexing.*—The Public Information Programs of the Superintendent of Documents are charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not confidential in character. The principal publication is the web-based "Catalog of U.S. Government Publications" (CGP) (<https://catalog.gpo.gov/>). GPO's goal is to expand the CGP to a more comprehensive title listing of public documents, both historic and electronic, to increase the visibility and use of Government information products.

*International Exchange Service (IES).*—Under the direction of the Library of Congress (LC), the Public Information Programs of the Superintendent of Documents distributes tangible Government publications to foreign governments that agree to send the United States similar publications of their governments for LC collections.

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS  
SALARIES AND EXPENSES  
(INCLUDING TRANSFER OF FUNDS)

For expenses of the public information programs of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications *in any format*, and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, **[\$32,000,000]** \$31,296,000: *Provided*, That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for fiscal years **[2017 and 2018]** 2018 and 2019 to depository and other designated libraries: *Provided further*, That unobligated or unexpended balances of expired discretionary funds made available under this heading in this Act for this fiscal year may be transferred to, and merged with, funds under the heading "Government Publishing Office Business Operations Revolving Fund" no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated, to be available for carrying out the purposes of this heading, subject to the approval of the Committee on Appropriations of the House of Representatives and the Senate. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 004-0201-0-1-808	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Depository Library Distribution .....	20	22	21
0002	Cataloging and Indexing .....	8	9	9
0003	International Exchange .....	1	1	1
0900	Total new obligations, unexpired accounts .....	29	32	31
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	29	32	31
1930	Total budgetary resources available .....	29	32	31
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	22	22	18
3010	New obligations, unexpired accounts .....	29	32	31
3020	Outlays (gross) .....	-29	-36	-41
3050	Unpaid obligations, end of year .....	22	18	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	22	22	18
3200	Obligated balance, end of year .....	22	18	8
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	29	32	31

Object Classification (in millions of dollars)

Identification code 004-0201-0-1-808	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	9	10	10
12.1	Civilian personnel benefits .....	2	3	4

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS—Continued  
Object Classification—Continued

Identification code 004-0201-0-1-808	2018 actual	2019 est.	2020 est.
22.0 Transportation of things .....	1	1	1
24.0 Printing and reproduction .....	7	8	6
25.2 Other services from non-Federal sources .....	10	10	10
99.9 Total new obligations, unexpired accounts .....	29	32	31

Employment Summary

Identification code 004-0201-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	84	100	100

GOVERNMENT PUBLISHING OFFICE BUSINESS OPERATIONS REVOLVING FUND

For payment to the Government Publishing Office Business Operations Revolving Fund, **[\$6,000,000] \$6,704,000**, to remain available until expended, for information technology development and facilities repair: *Provided*, That the Government Publishing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Publishing Office Business Operations Revolving Fund: *Provided further*, That not more than \$7,500 may be expended on the certification of the Director of the Government Publishing Office in connection with official representation and reception expenses: *Provided further*, That the Business Operations Revolving Fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: *Provided further*, That expenditures in connection with travel expenses of the advisory councils to the Director of the Government Publishing Office shall be deemed necessary to carry out the provisions of title 44, United States Code: *Provided further*, That the Business Operations Revolving Fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: *Provided further*, That activities financed through the Business Operations Revolving Fund may provide information in any format: *Provided further*, That the Business Operations Revolving Fund and the funds provided under the heading "Public Information Programs of the Superintendent of Documents" may not be used for contracted security services at Government Publishing Office's passport facility in the District of Columbia. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 004-4505-0-4-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Business Operations .....	805	811	834
0811 Capital investment .....	89	36	48
0900 Total new obligations, unexpired accounts .....	894	847	882
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	288	286	307
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	11	11	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	6	6	.....
1050 Unobligated balance (total) .....	294	292	307
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	6	7
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	856	861	860
1801 Change in uncollected payments, Federal sources .....	21	-5	-12
1850 Spending auth from offsetting collections, mand (total) .....	877	856	848
1900 Budget authority (total) .....	886	862	855
1930 Total budgetary resources available .....	1,180	1,154	1,162
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	286	307	280

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	382	470	438
3010 New obligations, unexpired accounts .....	894	847	882
3020 Outlays (gross) .....	-806	-879	-925
3050 Unpaid obligations, end of year .....	470	438	395
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-159	-180	-175
3070 Change in uncollected pymts, Fed sources, unexpired .....	-21	5	12
3090 Uncollected pymts, Fed sources, end of year .....	-180	-175	-163
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	223	290	263
3200 Obligated balance, end of year .....	290	263	232

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	9	6	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	3	4
4011 Outlays from discretionary balances .....	11	6	9
4020 Outlays, gross (total) .....	11	9	13
Mandatory:			
4090 Budget authority, gross .....	877	856	848
Outlays, gross:			
4100 Outlays from new mandatory authority .....	507	685	678
4101 Outlays from mandatory balances .....	288	185	234
4110 Outlays, gross (total) .....	795	870	912
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-852	-856	-855
4123 Non-Federal sources .....	-4	-5	-5
4130 Offsets against gross budget authority and outlays (total) ....	-856	-861	-860
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-21	5	12
4170 Outlays, net (mandatory) .....	-61	9	52
4180 Budget authority, net (total) .....	9	6	7
4190 Outlays, net (total) .....	-50	18	65

All GPO activities are financed through the agency's Business Operations Revolving Fund, established by section 309 of Title 44, U.S.C. This business-like fund is used to pay all GPO costs in performing congressional and agency publishing, printing and information product procurement, publications dissemination and space sharing activities. It is reimbursed from payments from customer agencies, sales to the public, and transfers from GPO's two annual appropriations: the Congressional Publishing Appropriation and the Public Information Programs of the Superintendent of Documents Appropriation. GPO pays its expenses from the Fund and the Fund is reimbursed when the Treasury Department transfers money from customer agency accounts to the Fund when they pay GPO's invoices. This procedure also applies to the payment of transfers from the Congressional Publishing and Public Information Programs appropriations, and to deposits of funds collected from sales to the public. GPO maintains a cash balance in the Business Operations Revolving Fund that is used to pay all expenses. The cash balance fluctuates daily as payments are received from agency reimbursements, customer payments, and transfers from GPO appropriations. Under GPO's system of accrual accounting, annual earnings generated since the inception of the Fund have been accumulated as retained earnings. Retained earnings make it possible for GPO to fund a significant amount of technology modernization. However, appropriations for essential investments in technology and facilities upgrades are requested when necessary. GPO is accountable for its finances. Each year, the agency's finances and financial controls are audited by an independent outside audit firm working under contract with GPO's Office of Inspector General. For FY 2018, the audit concluded with GPO earning an unmodified, or clean, opinion on its finances, the 22nd consecutive year GPO has earned such an audit result.

**Object Classification** (in millions of dollars)

Identification code 004-4505-0-4-808	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	153	162	167
11.5 Other personnel compensation .....	4	6	7
11.9 Total personnel compensation .....	157	168	174
12.1 Civilian personnel benefits .....	58	59	61
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	8	11	11
23.2 Rental payments to others .....	3	4	5
23.3 Communications, utilities, and miscellaneous charges .....	17	15	15
24.0 Printing and reproduction .....	351	340	348
25.2 Other services from non-Federal sources .....	50	58	60
26.0 Supplies and materials .....	160	155	159
31.0 Equipment .....	89	36	48
99.9 Total new obligations, unexpired accounts .....	894	847	882

**Employment Summary**

Identification code 004-4505-0-4-808	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,629	1,629	1,629

**GOVERNMENT ACCOUNTABILITY OFFICE**  
*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Government Accountability Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits comparable to those payable under sections 901(5), (6), and (8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), (6), and (8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, **[\$589,749,653] 647,637,000: Provided**, That, in addition, **[\$35,900,000] \$24,800,000** of payments received under sections 782, 791, 3521, and 9105 of title 31, United States Code, shall be available without fiscal year limitation: *Provided further*, That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: *Provided further*, That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed: *Provided further*, That this appropriation shall be available to transfer amounts to the Department of the Army for the expenses of constructing an Army facility at Redstone Arsenal for the sole, unlimited use of the Government Accountability Office, and (notwithstanding section 1502(a) of title 31, United States Code) shall be available to transfer such amounts without regard to the fiscal year in which such expenses are incurred: *Provided further*, That hereafter, amounts appropriated for the salaries and expenses of the Government Accountability Office shall be available to transfer to the Department of the Army for the maintenance of such facility. (*Legislative Branch Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 005-0107-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 GOAL 1-Address Current and Emerging Challenges to the Well-being and Financial Security of the American People .....	223	232	246
0002 GOAL 2-Respond to Changing Security Threats and the Challenges of Global Interdependence .....	152	158	169
0003 GOAL 3-Help Transform the Federal Government to Address National Challenges .....	144	149	161
0004 GOAL 4-Maximize the Value of GAO by Enabling Quality, Timely Service to the Congress, and by Being a Leading Practices Federal Agency .....	15	15	23

0005 GOAL 8-Other Costs in Support of the Congress .....	35	36	42
0799 Total direct obligations .....	569	590	641
0801 Reimbursable program activity goal 1 .....	2	3	2
0803 Reimbursable program activity goal 3 .....	30	39	34
0805 Reimbursable program activity goal 8 .....	3	4	3
0809 Reimbursable program activities, subtotal .....	35	46	39
0899 Total reimbursable obligations .....	35	46	39
0900 Total new obligations, unexpired accounts .....	604	636	680

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	35	56	56
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	593	590	641
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	32	46	27
1900 Budget authority (total) .....	625	636	668
1930 Total budgetary resources available .....	660	692	724
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	56	56	44

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	91	18
3010 New obligations, unexpired accounts .....	604	636	680
3011 Obligations ("upward adjustments"), expired accounts .....	6	3	.....
3020 Outlays (gross) .....	-572	-711	-667
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-1	.....
3050 Unpaid obligations, end of year .....	91	18	31
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-14	-14
3090 Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	42	77	4
3200 Obligated balance, end of year .....	77	4	17

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	625	636	668
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	520	629	661
4011 Outlays from discretionary balances .....	52	82	6
4020 Outlays, gross (total) .....	572	711	667
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-32	-46	-27
4033 Non-Federal sources .....	-5	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-37	-46	-27
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	5	.....	.....
4060 Additional offsets against budget authority only (total) .....	5	.....	.....
4070 Budget authority, net (discretionary) .....	593	590	641
4080 Outlays, net (discretionary) .....	535	665	640
4180 Budget authority, net (total) .....	593	590	641
4190 Outlays, net (total) .....	535	665	640

GAO exists to support the Congress in meeting its constitutional responsibilities and to help improve the performance and ensure the accountability of the Federal Government for the benefit of the American people.

**Object Classification** (in millions of dollars)

Identification code 005-0107-0-1-801	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	332	356	381
11.3 Other than full-time permanent .....	14	15	16
11.5 Other personnel compensation .....	4	5	5
11.9 Total personnel compensation .....	350	376	402
12.1 Civilian personnel benefits .....	113	124	133
21.0 Travel and transportation of persons .....	7	8	8
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	7	7	8
25.1 Advisory and assistance services .....	3	4	4
25.2 Other services from non-Federal sources .....	14	10	9
25.3 Other goods and services from Federal sources .....	1	1	1

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 005-0107-0-1-801	2018 actual	2019 est.	2020 est.
25.4 Operation and maintenance of facilities .....	11	12	15
25.7 Operation and maintenance of equipment .....	53	35	45
26.0 Supplies and materials .....	1		
31.0 Equipment .....	1	3	4
32.0 Land and structures .....	2	4	6
99.0 Direct obligations .....	569	590	641
99.0 Reimbursable obligations .....	35	46	39
99.9 Total new obligations, unexpired accounts .....	604	636	680

Employment Summary

Identification code 005-0107-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,897	3,032	3,132
2001 Reimbursable civilian full-time equivalent employment .....	118	118	118

UNITED STATES TAX COURT  
Federal Funds

SALARIES AND EXPENSES

For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, \$55,550,000 of which \$1,000,000 shall remain available until expended: Provided, That travel expenses of the judges shall be paid upon the written certificate of the judge.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 023-0100-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	51	51	56
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	56
1930 Total budgetary resources available .....	52	52	57
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	6
3010 New obligations, unexpired accounts .....	51	51	56
3020 Outlays (gross) .....	-51	-52	-56
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	7	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	6
3200 Obligated balance, end of year .....	7	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	51	51	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	46	50
4011 Outlays from discretionary balances .....	5	6	6
4020 Outlays, gross (total) .....	51	52	56
4180 Budget authority, net (total) .....	51	51	56
4190 Outlays, net (total) .....	51	52	56

The United States Tax Court (referred to herein as the Tax Court or Court) was established in 1969 under Article I of the United States Constitution. The Court's jurisdiction is established by U.S. Code Title 26 (the Internal

Revenue Code). The Court is a court of law, which the Supreme Court has said closely resembles the Federal District Courts and solely exercises judicial powers. It is also a court of national jurisdiction and conducts trial sessions in 74 cities throughout the United States.

The Tax Court is independent of the Executive and Legislative Branches. It is not affiliated with the Internal Revenue Service (IRS). It is one of three courts in which taxpayers can bring suit to contest IRS liability determinations, and the only one in which taxpayers can do so without prepaying any portion of the disputed taxes.

By law, the Tax Court is authorized 19 judges who, among themselves, elect one as Chief Judge. Judges are appointed to 15-year terms by the President, by and with the advice and consent of the Senate. Retired (also known as Senior) judges may be recalled by the Chief Judge to perform judicial duties. The Chief Judge is also authorized to appoint special trial judges who have statutory authority to decide several categories of cases, including cases involving up to \$50,000 in dispute per tax year. Decisions by the Tax Court are reviewable by the United States Courts of Appeals and, if *certiorari* is granted, by the Supreme Court.

Object Classification (in millions of dollars)

Identification code 023-0100-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	24	24	26
12.1 Civilian personnel benefits .....	6	6	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	9	9	10
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....			4
25.2 Other services from non-Federal sources .....	4	4	
25.3 Other goods and services from Federal sources .....	3	3	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	51	51	56

Employment Summary

Identification code 023-0100-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	207	245	238

U. S. TAX COURT FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 023-5633-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1110 U. S. Tax Court Fees .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
<b>Appropriations:</b>			
Current law:			
2101 U. S. Tax Court Fees .....	-1	-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 023-5633-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	1	4	1
0900 Total new obligations, unexpired accounts (object class 31.0) .....	1	4	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1900 Budget authority (total) .....	1	1	1
1930 Total budgetary resources available .....	4	4	1

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	4
3010	New obligations, unexpired accounts .....	1	4
3020	Outlays (gross) .....	-1	-4
3050	Unpaid obligations, end of year .....	1	4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	4
3200	Obligated balance, end of year .....	1	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1
Outlays, gross:			
4101	Outlays from mandatory balances .....	1	4
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	4

**Trust Funds**

TAX COURT JUDGES SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 023-8115-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	13	12	13
Receipts:			
Current law:			
1110 Tax Court Judges Survivors Annuity, Deductions from Employees Salaries .....		1	1
1140 Tax Court Judges Survivors Annuity, Interest and Profits on Investments .....		1	1
1199 Total current law receipts .....		2	2
1999 Total receipts .....		2	2
2000 Total: Balances and receipts .....	13	14	15
Appropriations:			
Current law:			
2101 Tax Court Judges Survivors Annuity Fund .....	-1	-1	-1
5099 Balance, end of year .....	12	13	14

Program and Financing (in millions of dollars)

Identification code 023-8115-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Tax Court Judges Survivors Annuity Fund (Direct) .....		1	1
0900 Total new obligations, unexpired accounts (object class 11.5) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 New obligations, unexpired accounts .....		1	1
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....			

<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	12	12
5001	Total investments, EOY: Federal securities: Par value .....	12	12

The Tax Court Judges' Survivors Annuity Fund provides survivorship benefits to eligible surviving spouses and dependent children of deceased Tax Court judges. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as needed, are provided through the Court's annual appropriation.

**LEGISLATIVE BRANCH BOARDS AND COMMISSIONS**

Federal Funds

MEDICARE PAYMENT ADVISORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1805 of the Social Security Act, **[\$12,545,000] \$12,645,000**, to be transferred to this appropriation from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 235-1550-0-1-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Medicare Payment Advisory Commission (Reimbursable) .....	12	12	13
0809 Reimbursable program activities, subtotal .....	12	12	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	12	13
1930 Total budgetary resources available .....	13	13	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	12	12	13
3020 Outlays (gross) .....	-12	-12	-13
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	12	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	9	10
4011 Outlays from discretionary balances .....	2	3	3
4020 Outlays, gross (total) .....	12	12	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-12	-13
4040 Offsets against gross budget authority and outlays (total) ....	-13	-12	-13
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395(b)(6) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105-33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

MEDICARE PAYMENT ADVISORY COMMISSION—Continued

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 33 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 15 of each year, and to comment on Congressionally mandated reports of the Secretary of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 235-1550-0-1-571	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	5	5	6
99.9 Total new obligations, unexpired accounts .....	12	12	13

Employment Summary

Identification code 235-1550-0-1-571	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	35	35	35

MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1900 of the Social Security Act, **[\$8,480,000] \$9,000,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 009-1801-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0123 Medicaid and CHIP Payment and Access Commission (Direct) .....	9	8	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	9
1900 Budget authority (total) .....	8	8	9
1930 Total budgetary resources available .....	10	9	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	9	8	9
3020 Outlays (gross) .....	-8	-8	-10
3050 Unpaid obligations, end of year .....	2	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	7	9
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	8	8	10
4180 Budget authority, net (total) .....	8	8	9
4190 Outlays, net (total) .....	8	8	10

The Medicaid and CHIP Payment and Access Commission (MACPAC) is a non-partisan legislative branch agency that provides policy and data analysis and makes recommendations to Congress, the Secretary of the

U.S. Department of Health and Human Services, and the states on a wide array of issues affecting Medicaid and the State Children's Health Insurance Program (CHIP). The U.S. Comptroller General appoints MACPAC's 17 commissioners, who come from diverse regions across the United States and bring broad expertise and a wide range of perspectives on Medicaid and CHIP.

MACPAC serves as an independent source of information on Medicaid and CHIP, publishing issue briefs and data reports throughout the year to support policy analysis and program accountability. The Commission's authorizing statute, 42 U.S.C. 1396, outlines a number of areas for analysis, including: payment; eligibility; enrollment and retention; coverage; access to care; quality of care; and the programs' interaction with Medicare and the health care system generally.

MACPAC's authorizing statute also requires the Commission to submit reports to Congress by March 15 and June 15 of each year. In carrying out its work, the Commission holds public meetings and regularly consults with state officials, congressional and executive branch staff, beneficiaries, health care providers, researchers, and policy experts.

Object Classification (in millions of dollars)

Identification code 009-1801-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	3	2	3
99.0 Direct obligations .....	8	7	8
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	9	8	9

Employment Summary

Identification code 009-1801-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	29	30	30

UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the United States-China Economic and Security Review Commission, as authorized by section 1238 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (22 U.S.C. 7002), \$3,500,000, including not more than \$4,000 for representation expenses, to remain available until September 30, 2021: Provided, That the authorities, requirements, limitations, and conditions contained in the second through sixth provisos under this heading in the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111-117) shall continue in effect during fiscal year 2020 and shall apply to funds appropriated under this heading as if included in this Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 292-2973-0-1-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United States-China Economic and Security Review Commission (Direct) .....	3	4	4
0900 Total new obligations, unexpired accounts .....	3	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	5	6	6

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	3	4	4
3020	Outlays (gross) .....	-3	-4	-4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	3	3
4011	Outlays from discretionary balances .....	1	1	1
4020	Outlays, gross (total) .....	3	4	4
4180	Budget authority, net (total) .....	4	4	4
4190	Outlays, net (total) .....	3	4	4

**U.S.-China Economic and Security Review Commission.**—Congress created the U.S.-China Economic and Security Review Commission in 2000 in the National Defense Authorization Act (Public Law 106-398) as amended by Division P of the Consolidated Appropriations Resolution, 2003 (Public Law 108-7), as amended by Public Law 109-108 (November 10, 2005), as amended by Public Law 113-291 (December 19, 2014). The statute gives the Commission the mandate to monitor, investigate, and assess the "national security implications of the bilateral trade and economic relationship between the United States and the People's Republic of China." Its members are appointed by Congressional leaders, and its statutory mandate is to report to Congress on Chinese proliferation practices; the qualitative and quantitative effects of transfers of U.S. economic production activities to China; the effects of the need for energy on China's foreign and military policies and the impact of China's growing economy on world energy resources; foreign investment by the U.S. in China, and China's foreign investment in the U.S.; the military plans, strategy, doctrine and structure of China's military; strategic economic and security implications of China's cyber capabilities and operations; China's national budget, fiscal policy, monetary policy, and currency management practices; the drivers, nature, and implications of China's growing economic, technological, political, cultural, people-to-people, and security relations of China with other countries and international organizations; China's compliance with its commitments to the World Trade Organization and other bilateral and multilateral agreements; the implications of China's restrictions on freedom of expression; and the safety of food, drug, and other products imported from China. The Commission reports annually on these issues to the Congress, making recommendations for policy action and legislation when appropriate. In order to obtain new information and perspectives on these issues, the Commission conducts hearings throughout the year and maintains a website containing the records of these proceedings as well as original research on economic and security matters related to the Commission's statutory mandate.

The Commission is comprised of 12 Commissioners, 3 Commissioners appointed by each leader in the House and Senate, supported by a professional staff numbering approximately 18. The chairmanship of the Commission rotates between a Republican and a Democratic Commissioner upon issuance of each annual report to Congress.

**Object Classification** (in millions of dollars)

Identification code 292-2973-0-1-801	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	3	4	4

**Employment Summary**

Identification code 292-2973-0-1-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18

1001	Direct civilian full-time equivalent employment .....	12	12	12
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**UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM**  
**SALARIES AND EXPENSES**

*For necessary expenses for the United States Commission on International Religious Freedom, as authorized by title II of the International Religious Freedom Act of 1998 (22 U.S.C. 6431 et seq.), \$4,500,000, to remain available until September 30, 2021, including not more than \$4,000 for representation expenses: Provided, That such amounts shall only be available if the United States Commission on International Religious Freedom is authorized beyond September 30, 2019.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 295-2975-0-1-801	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	United States Commission on International Religious Freedom (Direct) .....	4	4	4
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	2	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5	5	5
1930	Total budgetary resources available .....	6	7	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	3	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	New obligations, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-3	-4	-4
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	2	2
4011	Outlays from discretionary balances .....	1	2	2
4020	Outlays, gross (total) .....	3	4	4
4180	Budget authority, net (total) .....	5	5	5
4190	Outlays, net (total) .....	3	4	4

The United States Commission on International Religious Freedom is an independent, bipartisan U.S. Government agency that was created by the International Religious Freedom Act of 1998, as amended by the Frank R. Wolf International Religious Freedom Act, to monitor the status of the freedom of thought, conscience, and religion or belief abroad, as defined in the Universal Declaration of Human Rights and related international instruments, and to give independent policy recommendations to the President, the Secretary of State and the Congress.

**Object Classification** (in millions of dollars)

Identification code 295-2975-0-1-801	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
99.0	Direct obligations .....	4	4	4
99.9	Total new obligations, unexpired accounts .....	4	4	4

UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM—Continued  
**Employment Summary**

Identification code 295–2975–0–1–801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	20	21	22

**OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS**

**DWIGHT D. EISENHOWER MEMORIAL COMMISSION**

**SALARIES AND EXPENSES**

*For necessary expenses of the Dwight D. Eisenhower Memorial Commission, \$1,800,000, to remain available until expended.*

**COMMISSION ON SECURITY AND COOPERATION IN EUROPE**

**SALARIES AND EXPENSES**

*For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94–304, \$2,579,000, including not more than \$6,000 for representation expenses, to remain available until September 30, 2021.*

**CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA**

**SALARIES AND EXPENSES**

*For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized by title III of the U.S.-China Relations Act of 2000 (22 U.S.C. 6911 et seq.), \$2,000,000, including not more than \$3,000 for representation expenses, to remain available until September 30, 2021.*

**WORLD WAR I CENTENNIAL COMMISSION**

**SALARIES AND EXPENSES**

*Notwithstanding section 9 of the World War I Centennial Commission Act, for necessary expenses of the World War I Centennial Commission, \$6,000,000, to remain available until expended: Provided, That, in addition to the authority provided by section 6(g) of such Act, the World War I Commission may accept money, in-kind personnel services, contractual support, or any appropriate support from any executive branch agency for activities of the Commission.*

*In addition, \$15,093,058, to remain available until expended, shall be available to conduct deferred maintenance on the site designated for the United States World War I Memorial at Pershing Park in Washington, DC: Provided, That any funds that are not needed for the purposes described in the matter preceding this proviso shall be available for continued maintenance of the World War I Memorial.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 009–9911–0–1–999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Bequests, World War I Centennial Commission .....		1	
2000 Total: Balances and receipts .....		1	
Appropriations:			
Current law:			
2101 Other Legislative Branch Boards and Commissions .....		–1	
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 009–9911–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Other Legislative Branch Boards and Commissions (Direct) .....	13	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	10
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	7	

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	15	27
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		1	
1900 Budget authority (total) .....	13	16	27
1930 Total budgetary resources available .....	20	23	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	10	24

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	6	5
3010 New obligations, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	–8	–14	–17
3050 Unpaid obligations, end of year .....	6	5	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	6	5
3200 Obligated balance, end of year .....	6	5	1

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	13	15	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	9	11
4011 Outlays from discretionary balances .....	5	5	6
4020 Outlays, gross (total) .....	6	14	17
Mandatory:			
4090 Budget authority, gross .....		1	
Outlays, gross:			
4101 Outlays from mandatory balances .....	2		
4180 Budget authority, net (total) .....	13	16	27
4190 Outlays, net (total) .....	8	14	17

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1	1	1
5001 Total investments, EOY: Federal securities: Par value .....	1	1	1

This presentation includes the following:

*Commission on Security and Cooperation in Europe.*—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive an annual report from the Secretary of State discussing the overall United States policy objectives that are advanced through meetings of decision-making bodies of the Organization for Security and Cooperation in Europe (OSCE), the OSCE implementation review process, and other activities of the OSCE.

*Congressional-Executive Commission on the People's Republic of China.*—Congress created the Congressional-Executive Commission on the People's Republic of China (CECC) in 2000 by passing Title III of P.L. 106–286, the China Relations Act of 2000. The statute gives the Commission the mandate to monitor the Chinese government's compliance with international human rights standards and to track the development of the rule of law in China. The Commission reports annually on these issues to the President and the Congressional leadership, making recommendations for policy action and legislation when appropriate. The CECC was also charged with creating and maintaining a registry of victims of human rights abuses in China, including prisoners of conscience. The CECC conducts hearings and staff-led issues roundtables throughout the year and maintains a website containing the records of these proceedings, as well as other information about human rights and rule of law issues in China. The CECC seeks to be a resource on these issues for Capitol Hill, the NGO community, the academic world, and the general public.

The Commission comprises nine Senators, nine Members of the House of Representatives, and five Executive Branch officials, supported by a

professional staff numbering about 15 people. The chairmanship of the CECC rotates from the Senate to the House in even-numbered Congresses.

*Dwight D. Eisenhower Memorial Commission.*—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied forces in Europe in World War II and subsequently as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created in the nation's capital to perpetuate his memory and his contributions to the United States.

*World War I Centennial Commission.*—The World War I Centennial Commission was created by Congress in 2013 by P.L. 112–272, and amended in 2014 by P.L. 113–291 to ensure a suitable observance of the centennial of World War I, which ushered in the 'American Century'. It began the advance of the rights of women and minorities in the U.S., and sowed the seeds for international conflicts for a century, many of which are ongoing today. America's support of Great Britain, France, Belgium, and its other allies in World War I marked the first time in United States history that American soldiers went abroad in defense of liberty against foreign aggression. 4.7 million men and women from the United States served in uniform during World War I, among them 2 future presidents, Harry S. Truman and Dwight D. Eisenhower. Two million individuals from the United States served overseas during World War I, including 200,000 naval personnel who served on the seas. The United States suffered 375,000 casualties during World War I, including 116,516 deaths, more than in the Korean War and Vietnam War combined. The centennial of World War I offers an opportunity for people in the United States to learn about and commemorate the sacrifices of their predecessors. Commemorative programs, activities, and sites allow them to learn about the history of World War I, the United States involvement in that war, and the war's effects on the remainder of the 20th and into the 21st century, and to commemorate and honor the participation of the United States and its citizens in the war effort. Congress also redesignated Pershing Park in the District of Columbia as a 'World War I Memorial', and authorized The Commission to plan, develop, and execute ceremonies for that redesignation, and for the enhancement of the General Pershing Commemorative Work by constructing a World War I Memorial containing appropriate sculptural and other commemorative elements, including landscaping, to further honor the service of members of the United States Armed Forces in World War I. Although the Commission is scheduled to sunset in July of 2019, P.L. 113–291 provides for continuation of the Commission to enable completion of the World War I Memorial under section 3091(b) of the National Defense Authorization Act for Fiscal Year 2015. The Commission is bipartisan and consists of 12 private citizens; 6 are appointed by the Congress, 3 are appointed by the President, 1 each is appointed by the National World War I Museum in Kansas City, MO, the VFW, and the American Legion. The Commission is augmented by ex-officio members and advisors: The Archivist of the United States, The Librarian of Congress, The Secretary of the Smithsonian Institution, The Secretary of Education, The Secretary of State, The Secretary of Veterans Affairs, The Administrator of General Services, The Department of Defense, The Department of Homeland Security, and the Secretary of the Interior.

*Women's Suffrage Centennial Commission.*—The mission of the Women's Suffrage Centennial Commission is to commemorate and coordinate the nationwide celebration of the 100th anniversary of the 19th Amendment and to educate the American people about the history and leaders of the women's suffrage movement in the United States in a nonpartisan fashion.

**Object Classification** (in millions of dollars)

Identification code 009–9911–0–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	6	6	6
99.9 Total new obligations, unexpired accounts .....	13	13	13

**Employment Summary**

Identification code 009–9911–0–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3
1001 Direct civilian full-time equivalent employment .....	13	13	13
1001 Direct civilian full-time equivalent employment .....	16	16	16

**CAPITAL CONSTRUCTION, DWIGHT D. EISENHOWER MEMORIAL COMMISSION**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 283–2990–0–1–801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Construction, Dwight D. Eisenhower Memorial Commission (Direct) .....	89	.....	.....
0293 Direct program activities, subtotal .....	89	.....	.....
0900 Total new obligations, unexpired accounts (object class 25.1) .....	89	.....	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	.....	.....
1930 Total budgetary resources available .....	89	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	76	4
3010 New obligations, unexpired accounts .....	89	.....	.....
3020 Outlays (gross) .....	–27	–72	.....
3050 Unpaid obligations, end of year .....	76	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	76	4
3200 Obligated balance, end of year .....	76	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	.....	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	.....	.....
4011 Outlays from discretionary balances .....	14	72	.....
4020 Outlays, gross (total) .....	27	72	.....
4180 Budget authority, net (total) .....	45	.....	.....
4190 Outlays, net (total) .....	27	72	.....

*Dwight D. Eisenhower Memorial Commission.* — The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied Forces in Europe in World War II and subsequently, as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created in the nation's capital to perpetuate his memory and his contributions to the United States. The Commission is bipartisan and consists of four members of the Senate, four members of the House of Representatives, and four private citizens appointed by the President. The Commission is

CAPITAL CONSTRUCTION, DWIGHT D. EISENHOWER MEMORIAL  
COMMISSION—Continued

led by Senator Pat Roberts (Chair) and Congressman Mike Thompson (Vice Chair). Its work on building the memorial since 2001 has been informed by the active participation of the Eisenhower family, expert historians, along with input from members of Congress and federal review agencies. The memorial site, within Area 1, was approved by Congress and enacted by the President on May 5, 2006 (P.L. 109–220). Area 1 is the prominent area of the Nation's Capital reserved for memorials and monuments whose subjects are of preeminent historical and lasting significance to the United States. The memorial site is located between 4th and 6th Streets, S.W., Washington, D.C. on Independence Avenue. The Commission seeks to conduct the memorial's dedication on May 8, 2020, the 75th anniversary of Victory in Europe (V-E) Day, allowing for our remaining World War II heroes to honor Eisenhower, their commander in Europe. Following the dedication, a transitional period will occur wherein oversight of the memorial will transfer from the Commission to the National Park Service. Funds for salaries and expenses should continue through FY 2021 and remain available until expended.

DWIGHT D. EISENHOWER MEMORIAL FUND  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 283-5549-0-2-801	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Gifts and Contributions, Dwight D. Eisenhower Memorial Fund	2	3	3
2000 Total: Balances and receipts	2	3	4
Appropriations:			
Current law:			
2101 Dwight D. Eisenhower Memorial Fund	-2	-2	-2
5099 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 283-5549-0-2-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	3	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	3	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		3	
3010 New obligations, unexpired accounts	3	2	2
3020 Outlays (gross)		-5	
3050 Unpaid obligations, end of year	3		2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		3	
3200 Obligated balance, end of year	3		2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority		2	
4101 Outlays from mandatory balances		3	
4110 Outlays, gross (total)		5	
4180 Budget authority, net (total)	2	2	2

4190 Outlays, net (total)		5	
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OPEN WORLD LEADERSHIP CENTER TRUST FUND

For a payment to the Open World Leadership Center Trust Fund for financing activities of the Open World Leadership Center under section 313 of the Legislative Branch Appropriations Act, 2001 (2 U.S.C. 1151), **[\$5,600,000] \$5,800,000**: *Provided*, That funds made available to support Russian participants shall only be used for those engaging in free market development, humanitarian activities, and civic engagement, and shall not be used for officials of the central government of Russia. (*Legislative Branch Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 009-0145-0-1-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Open World Leadership Center Trust Fund (Direct)	8	6	6
0900 Total new obligations, unexpired accounts (object class 94.0)	8	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072-0306]	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	6
1900 Budget authority (total)	6	6	6
1930 Total budgetary resources available	8	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	8	6	6
3020 Outlays (gross)	-8	-6	-6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	8	6	6
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	8	6	6

The Open World Leadership Center, under the direction of its Board of Trustees, supports the identification of emerging leaders from foreign countries selected by the Board of Trustees and oversees the development of an intensive program in the United States to link up to 3,000 participants each year with U.S. counterparts. The Center's mission entails enhancing the understanding and capabilities for cooperation between the United States and participating countries by developing a network of leaders who have gained significant, first-hand exposure to America's democratic, accountable government and its free-market system. The Center has also administered a program to enable cultural leaders from the Russian Federation to gain exposure to the operations of American cultural institutions.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest appropriated funds in par value securities at the U.S. Treasury. The Center is governed by an eleven-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space, from the Library of Congress.

Fiscal 2020 funding supports U.S. grants and logistical services for hosting in communities throughout the United States as well as other operating expenses of the Center.

**Trust Funds**

**JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND DEVELOPMENT**

For payment to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105), \$430,000. (*Legislative Branch Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 009-8275-0-7-801	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	8	7	8
Receipts:			
Current law:			
1140 Payments, John C. Stennis Center for Public Service Training and Development	1	1	1
2000 Total: Balances and receipts	9	8	9
Appropriations:			
Current law:			
2101 John C. Stennis Center for Public Service Training and Development	-2		
5099 Balance, end of year	7	8	9

**Program and Financing** (in millions of dollars)

Identification code 009-8275-0-7-801	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 John C. Stennis Center for Public Service Training and Development (Direct)	2	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	2	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	9	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2		
1900 Budget authority (total)	2		
1930 Total budgetary resources available	11	9	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	8	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts	2	1	1
3020 Outlays (gross)	-2		
3050 Unpaid obligations, end of year		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			1
3200 Obligated balance, end of year		1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	2		
Outlays, gross:			
4100 Outlays from new mandatory authority	2		
4180 Budget authority, net (total)	2		
4190 Outlays, net (total)	2		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	16	16	16
5001 Total investments, EOY: Federal securities: Par value	16	16	16

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

**Employment Summary**

Identification code 009-8275-0-7-801	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	5	5	5

**U.S. CAPITOL PRESERVATION COMMISSION**

**Program and Financing** (in millions of dollars)

Identification code 009-8300-0-7-801	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11	11
1930 Total budgetary resources available	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	11	11	11
5001 Total investments, EOY: Federal securities: Par value	11	11	11

**OPEN WORLD LEADERSHIP CENTER TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 009-8148-0-7-154	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Gifts and Donations, Open World Leadership Center Trust Fund		1	1
1140 Payment from the General Fund, Open World Leadership Center Trust Fund	8	6	6
1199 Total current law receipts	8	7	7
1999 Total receipts	8	7	7
2000 Total: Balances and receipts	8	7	8
Appropriations:			
Current law:			
2101 Open World Leadership Center Trust Fund	-8	-6	-6
5099 Balance, end of year		1	2

**Program and Financing** (in millions of dollars)

Identification code 009-8148-0-7-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Open World Leadership Center Trust Fund (Direct)	8	6	6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	8	6	6
1930 Total budgetary resources available	8	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	1
3010 New obligations, unexpired accounts	8	6	6
3020 Outlays (gross)	-6	-9	-6
3050 Unpaid obligations, end of year	4	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	1
3200 Obligated balance, end of year	4	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	8	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority	4	5	5
4011 Outlays from discretionary balances	2	4	1

OPEN WORLD LEADERSHIP CENTER TRUST FUND—Continued  
Program and Financing—Continued

Identification code 009-8148-0-7-154	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	6	9	6
4180 Budget authority, net (total) .....	8	6	6
4190 Outlays, net (total) .....	6	9	6
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2	3	3
5001 Total investments, EOY: Federal securities: Par value .....	3	3	3

Object Classification (in millions of dollars)

Identification code 009-8148-0-7-154	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	3	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3	2	2
99.0 Direct obligations .....	8		
99.9 Total new obligations, unexpired accounts .....	8	6	6

Employment Summary

Identification code 009-8148-0-7-154	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	7	8	9

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
001-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		2	2
General Fund Offsetting receipts from the public .....		2	2

GENERAL PROVISIONS

MAINTENANCE AND CARE OF PRIVATE VEHICLES

SEC. 201. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.

FISCAL YEAR LIMITATION

SEC. 202. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year **2019** ~~2020~~ unless expressly so provided in this Act.

RATES OF COMPENSATION AND DESIGNATION

SEC. 203. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 (46 Stat. 32 et seq.) is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: *Provided*, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.

CONSULTING SERVICES

SEC. 204. The expenditure of any appropriation under this Act for any consulting service through procurement contract, under section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued under existing law.

COSTS OF LBFMC

SEC. 205. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$2,000.

LIMITATION ON TRANSFERS

SEC. 206. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.

GUIDED TOURS OF THE CAPITOL

SEC. 207. (a) Except as provided in subsection (b), none of the funds made available to the Architect of the Capitol in this Act may be used to eliminate or restrict guided tours of the United States Capitol which are led by employees and interns of offices of Members of Congress and other offices of the House of Representatives and Senate, unless through regulations as authorized by section 402(b)(8) of the Capitol Visitor Center Act of 2008 (2 U.S.C. 2242(b)(8)).

(b) At the direction of the Capitol Police Board, or at the direction of the Architect of the Capitol with the approval of the Capitol Police Board, guided tours of the United States Capitol which are led by employees and interns described in subsection (a) may be suspended temporarily or otherwise subject to restriction for security or related reasons to the same extent as guided tours of the United States Capitol which are led by the Architect of the Capitol.

LIMITATION ON TELECOMMUNICATIONS EQUIPMENT PROCUREMENT

SEC. 208. (a) None of the funds appropriated or otherwise made available under this Act may be used to acquire telecommunications equipment produced by Huawei Technologies Company, ZTE Corporation or a high-impact or moderate-impact information system, as defined for security categorization in the National Institute of Standards and Technology's (NIST) Federal Information Processing Standard Publication 199, "Standards for Security Categorization of Federal Information and Information Systems" unless the agency, office, or other entity acquiring the equipment or system has—

(1) reviewed the supply chain risk for the information systems against criteria developed by NIST to inform acquisition decisions for high-impact and moderate-impact information systems within the Federal Government;

(2) reviewed the supply chain risk from the presumptive awardee against available and relevant threat information provided by the Federal Bureau of Investigation and other appropriate agencies; and

(3) in consultation with the Federal Bureau of Investigation or other appropriate Federal entity, conducted an assessment of any risk of cyber-espionage or sabotage associated with the acquisition of such system, including any risk associated with such system being produced, manufactured, or assembled by one or more entities identified by the United States Government as posing a cyber threat, including but not limited to, those that may be owned, directed, or subsidized by the People's Republic of China, the Islamic Republic of Iran, the Democratic People's Republic of Korea, or the Russian Federation.

(b) None of the funds appropriated or otherwise made available under this Act may be used to acquire a high-impact or moderate impact information system reviewed and assessed under subsection (a) unless the head of the assessing entity described in subsection (a) has—

(1) developed, in consultation with NIST and supply chain risk management experts, a mitigation strategy for any identified risks;

(2) determined, in consultation with NIST and the Federal Bureau of Investigation, that the acquisition of such system is in the vital national security interest of the United States; and

(3) reported that determination to the Committees on Appropriations of the House of Representatives and the Senate in a manner that identifies the system intended for acquisition and a detailed description of the mitigation strategies identified in (1), provided that such report may include a classified annex as necessary.

PROHIBITION ON CERTAIN OPERATIONAL EXPENSES

SEC. 209. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities or other official government activities.

## PLASTIC WASTE REDUCTION

SEC. 210. All agencies and offices funded by this division that contract with a food service provider or providers shall confer and coordinate with such food service provider or providers, in consultation with disability advocacy groups, to eliminate or reduce plastic waste, including waste from plastic straws, explore the use of biodegradable items, and increase recycling and composting opportunities.

## AGENCY COST OF LIVING ADJUSTMENTS

SEC. 211. (a) Each agency, office, or other entity that is provided appropriations under this Division shall report to the Committees on Appropriations of the House and Senate, not less than 30 days after enactment of this Act, specifying the dollar amount estimated for cost-of-living adjustments that was included in the fiscal year **【2019】2020** budget request for each appropriations account.

(b) In the event that Executive Branch agencies do not receive a cost-of-living adjustment, such dollar amount reported pursuant to subsection (a) may be obligated

and expended only upon written approval by the Chair and ranking minority member of the Subcommittee on the Legislative Branch of the Committee on Appropriations of the House of Representatives and by the Chair and ranking minority member of the Subcommittee on the Legislative Branch of the Committee on Appropriations of the Senate.

(c) Pursuant to subsection (b), the agencies, offices, or other entities of the House of Representatives and the Senate require only the written approval of the Committee on Appropriations of their respective Chamber.

## ADJUSTMENTS TO COMPENSATION

SEC. 212. Notwithstanding any other provision of law, no adjustment shall be made under section 601(a) of the Legislative Reorganization Act of 1946 (2 U.S.C. 4501) (relating to cost of living adjustments for Members of Congress) during fiscal year **【2019】2020**. (*Legislative Branch Appropriations Act, 2019.*)



# JUDICIAL BRANCH

## SUPREME COURT OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, \$87,699,000, of which \$1,500,000 shall remain available until expended.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief justice and associate justices of the court.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 010–0100–0–1–752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	83	87	90

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	82	82	87
Appropriations, mandatory:			
1200 Appropriation .....	3	3	3
1900 Budget authority (total) .....	85	85	90
1930 Total budgetary resources available .....	85	87	90
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	2
3010 New obligations, unexpired accounts .....	83	87	90
3020 Outlays (gross) .....	-81	-87	-90
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	2
3200 Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	82	82	87
Outlays, gross:			
4010 Outlays from new discretionary authority .....	78	82	87
4011 Outlays from discretionary balances .....		2	
4020 Outlays, gross (total) .....		84	87
Mandatory:			
4090 Budget authority, gross .....	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4180 Budget authority, net (total) .....	85	85	90
4190 Outlays, net (total) .....	81	87	90

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by the Congress. The funds herein requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

#### Object Classification (in millions of dollars)

Identification code 010–0100–0–1–752	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	43	47	48
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	46	50	51
12.1 Civilian personnel benefits .....	16	18	20
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	12	10	10
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	5	4	4
99.9 Total new obligations, unexpired accounts .....	83	87	90

#### Employment Summary

Identification code 010–0100–0–1–752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	488	522	522

## CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by 40 U.S.C. 6111 and 6112, \$16,390,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 010–0103–0–1–752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Care of the Building and Grounds (Direct) .....	13	20	18

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	
1021 Recoveries of prior year unpaid obligations .....		1	2
1050 Unobligated balance (total) .....		4	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	16	16
1930 Total budgetary resources available .....	16	20	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	9
3010 New obligations, unexpired accounts .....	13	20	18
3020 Outlays (gross) .....	-11	-12	-14
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-2
3050 Unpaid obligations, end of year .....	2	9	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	9
3200 Obligated balance, end of year .....	2	9	11

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	16	16
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	12	12
4011 Outlays from discretionary balances .....			2
4020 Outlays, gross (total) .....	11	12	14
4180 Budget authority, net (total) .....	16	16	16
4190 Outlays, net (total) .....	11	12	14

CARE OF THE BUILDING AND GROUNDS—Continued

Object Classification (in millions of dollars)

Identification code 010-0103-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	2	1	1
25.4 Operation and maintenance of facilities .....	3	10	8
26.0 Supplies and materials .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	13	20	18

Employment Summary

Identification code 010-0103-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	45	53	54

UNITED STATES COURT OF APPEALS FOR THE  
FEDERAL CIRCUIT

Federal Funds

SALARIES AND EXPENSES

For salaries of officers and employees, and for necessary expenses of the court, as authorized by law, \$32,983,000.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 010-0510-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	34	34	36
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	31	31	33
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3	3	3
1900 Budget authority (total) .....	34	34	36
1930 Total budgetary resources available .....	34	34	36
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	7
3010 New obligations, unexpired accounts .....	34	34	36
3020 Outlays (gross) .....	-33	-34	-35
3050 Unpaid obligations, end of year .....	7	7	8
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	7	7
3200 Obligated balance, end of year .....	7	7	8
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	31	31	33
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	30	25	26
4011 Outlays from discretionary balances .....	3	6	6
4020 Outlays, gross (total) .....	33	31	32
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		3	3
4180 Budget authority, net (total) .....	34	34	36
4190 Outlays, net (total) .....	33	34	35

The United States Court of Appeals for the Federal Circuit, located in Washington, D.C., has exclusive nationwide jurisdiction over a large

number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government contract cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district courts in cases arising under 28 U.S.C. §1338(a), relating to patent laws generally, 35 U.S.C. §§145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. §1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section 506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. §2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. §7292; (D) final decisions of the United States Court of Federal Claims, 28 U.S.C. §2522 and 42 U.S.C. §§300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. §1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, §174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. §1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. §7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. §755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. §607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. §142, 15 U.S.C. §1071, 37 CFR §§1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. §2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. §502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. §1219(a)(3) and 28 U.S.C. §2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. §8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. §1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. §1296.

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. §1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C. §1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. §7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the Court of Appeals for Veterans Claims.

Legislation having an impact on the Federal Circuit is contained in P.L. 105-339 (51021) October 31, 1998, Veterans Employment Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection

Board for those seeking review of the application of veterans preference rules to applicants for Federal employment.

**Object Classification** (in millions of dollars)

Identification code 010-0510-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	17	18
12.1 Civilian personnel benefits .....	4	5	5
23.1 Rental payments to GSA .....	6	6	6
25.2 Other services from non-Federal sources .....	5	5	6
31.0 Equipment .....	2	1	1
99.9 Total new obligations, unexpired accounts .....	34	34	36

**Employment Summary**

Identification code 010-0510-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	145	152	152

**UNITED STATES COURT OF INTERNATIONAL TRADE**

*Federal Funds*

**SALARIES AND EXPENSES**

For salaries of officers and employees of the court, services, and necessary expenses of the court, as authorized by law, \$19,930,000.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 010-0400-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	20	21	22
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19	19	20
Appropriations, mandatory:			
1200 Appropriation .....	2	2	2
1900 Budget authority (total) .....	21	21	22
1930 Total budgetary resources available .....	21	22	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	8
3010 New obligations, unexpired accounts .....	20	21	22
3020 Outlays (gross) .....	-20	-23	-25
3050 Unpaid obligations, end of year .....	10	8	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	8
3200 Obligated balance, end of year .....	10	8	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	19	19	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	18	19
4011 Outlays from discretionary balances .....	2	3	4
4020 Outlays, gross (total) .....	20	21	23
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4180 Budget authority, net (total) .....	21	21	22

4190 Outlays, net (total) .....	20	23	25
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The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting customs and international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is authorized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28 of the United States Code: Organization, sections 251-258; Jurisdiction, sections 1581-1585; and Procedures, sections 2631-2646.

**Object Classification** (in millions of dollars)

Identification code 010-0400-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	9	8
12.1 Civilian personnel benefits .....	2	2	3
23.1 Rental payments to GSA .....	7	8	9
25.2 Other services from non-Federal sources .....	2	1	1
31.0 Equipment .....	2	1	1
99.9 Total new obligations, unexpired accounts .....	20	21	22

**Employment Summary**

Identification code 010-0400-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	60	77	77

**COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES**

*Federal Funds*

**SALARIES AND EXPENSES**

For the salaries of judges of the United States Court of Federal Claims, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, necessary expenses of the courts, and the purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, as authorized by law, \$5,383,970,000 (including the purchase of firearms and ammunition); of which not to exceed \$27,817,000 shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of circuit and district judges (including judges of the territorial courts of the United States), bankruptcy judges, and justices and judges retired from office or from regular active service.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986 (Public Law 99-660), not to exceed \$9,012,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 010-0920-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Courts of appeals .....	647	667	731
0002 District courts .....	2,649	2,687	2,749
0003 Bankruptcy courts .....	777	823	889

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 010-0920-0-1-752	2018 actual	2019 est.	2020 est.
0004 Probation/Pretrial .....	1,445	1,543	1,625
0799 Total direct obligations .....	5,518	5,720	5,994
0801 Salaries and Expenses (Reimbursable) .....	10	11	11
0803 Offsetting Collections .....	211	205	202
0899 Total reimbursable obligations .....	221	216	213
0900 Total new obligations, unexpired accounts .....	5,739	5,936	6,207
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	11	9
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	2	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	10	11	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,099	5,099	5,384
Appropriations, mandatory:			
1200 Appropriation .....	404	415	422
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	420	33
1701 Change in uncollected payments, Federal sources .....	226	.....	368
1750 Spending auth from offsetting collections, disc (total) .....	237	420	401
1900 Budget authority (total) .....	5,740	5,934	6,207
1930 Total budgetary resources available .....	5,750	5,945	6,216
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	541	551	528
3010 New obligations, unexpired accounts .....	5,739	5,936	6,207
3011 Obligations ("upward adjustments"), expired accounts .....	42	.....	.....
3020 Outlays (gross) .....	-5,733	-5,959	-6,204
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-36	.....	.....
3050 Unpaid obligations, end of year .....	551	528	531
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-342	-397	-397
3070 Change in uncollected pymts, Fed sources, unexpired .....	-226	.....	-368
3071 Change in uncollected pymts, Fed sources, expired .....	171	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-397	-397	-765
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	199	154	131
3200 Obligated balance, end of year .....	154	131	-234
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,336	5,519	5,785
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,965	5,152	5,317
4011 Outlays from discretionary balances .....	364	392	465
4020 Outlays, gross (total) .....	5,329	5,544	5,782
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-171	-420	-186
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-172	-420	-186
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-226	.....	-368
4052 Offsetting collections credited to expired accounts .....	161	.....	153
4060 Additional offsets against budget authority only (total) .....	-65	.....	-215
4070 Budget authority, net (discretionary) .....	5,099	5,099	5,384
4080 Outlays, net (discretionary) .....	5,157	5,124	5,596
Mandatory:			
4090 Budget authority, gross .....	404	415	422
Outlays, gross:			
4100 Outlays from new mandatory authority .....	404	415	422
4180 Budget authority, net (total) .....	5,503	5,514	5,806
4190 Outlays, net (total) .....	5,561	5,539	6,018

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel, and all operating expenses of the United

States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

**Courts of Appeals.**—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**District Courts.**—This activity includes the salaries and benefits of all active United States district judges, magistrate judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks, and for rental of space, alterations, and related services for United States court facilities.

**Bankruptcy Courts.**—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel, including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks, and for rental of space, alterations, and related services for United States court facilities.

**Probation/Pretrial.**—This activity includes the salaries and benefits of all probation and pretrial services officers, officer assistants and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, and equipment, and for rental of space, alterations, and related services for United States court facilities. It also provides for all expenses of law-enforcement related activities, which includes substance abuse and mental health treatment, Global Position Monitoring, purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, and operation and maintenance such as travel expenses incurred by probation officers, including travel costs related to the supervision of defendants and offenders in the community, and officer training expenses.

Object Classification (in millions of dollars)

Identification code 010-0920-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,783	1,861	1,951
11.3 Other than full-time permanent .....	801	826	869
11.9 Total personnel compensation .....	2,584	2,687	2,820
12.1 Civilian personnel benefits .....	873	916	939
13.0 Benefits for former personnel .....	8	9	10
21.0 Travel and transportation of persons .....	62	71	73
22.0 Transportation of things .....	4	5	5
23.1 Rental payments to GSA .....	1,003	1,009	1,039
23.2 Rental payments to others .....	35	42	43
23.3 Communications, utilities, and miscellaneous charges .....	104	119	126
24.0 Printing and reproduction .....	7	7	8
25.1 Advisory and assistance services .....	541	547	591
25.2 Other services from non-Federal sources .....	69	72	79
25.7 Operation and maintenance of equipment .....	17	19	20
26.0 Supplies and materials .....	18	19	21

31.0	Equipment .....	193	198	220
99.0	Direct obligations .....	5,518	5,720	5,994
99.0	Reimbursable obligations .....	221	216	213
99.9	Total new obligations, unexpired accounts .....	5,739	5,936	6,207

**Employment Summary**

Identification code 010-0920-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27,634	27,870	27,921
2001 Reimbursable civilian full-time equivalent employment .....	38	43	43

**DEFENDER SERVICES**

For the operation of Federal Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under 18 U.S.C. 3006A and 3599, and for the compensation and reimbursement of expenses of persons furnishing investigative, expert, and other services for such representations as authorized by law; the compensation (in accordance with the maximums under 18 U.S.C. 3006A) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of expenses of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d)(1); the compensation and reimbursement of expenses of attorneys appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings; the compensation and reimbursement of travel expenses of guardians ad litem appointed under 18 U.S.C. 4100(b); and for necessary training and general administrative expenses, \$1,234,574,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 010-0923-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Defender Services (Direct) .....	1,125	1,118	1,265
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	44	30
1021 Recoveries of prior year unpaid obligations .....	8	25	
1033 Recoveries of prior year paid obligations .....	4		
1050 Unobligated balance (total) .....	90	69	30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,079	1,079	1,235
1900 Budget authority (total) .....	1,079	1,079	1,235
1930 Total budgetary resources available .....	1,169	1,148	1,265
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	30	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	65	51
3010 New obligations, unexpired accounts .....	1,125	1,118	1,265
3020 Outlays (gross) .....	-1,106	-1,107	-1,260
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-25	
3050 Unpaid obligations, end of year .....	65	51	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	54	65	51
3200 Obligated balance, end of year .....	65	51	56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,079	1,079	1,235
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,067	1,068	1,198
4011 Outlays from discretionary balances .....	39	39	62
4020 Outlays, gross (total) .....	1,106	1,107	1,260

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) ...	-4		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	4		
4070 Budget authority, net (discretionary) .....	1,079	1,079	1,235
4080 Outlays, net (discretionary) .....	1,102	1,107	1,260
4180 Budget authority, net (total) .....	1,079	1,079	1,235
4190 Outlays, net (total) .....	1,102	1,107	1,260

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor, or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel in parole proceedings (18 U.S.C. 4201-18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5) is subject to a mental condition or other hearing (18 U.S.C. 4241-48); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed counsel and persons providing investigative, expert and other services under the Act, and also under 18 U.S.C. 3599 in capital representations; for the operation of the Federal Defender Organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minors or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation and reimbursement of expenses of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d)(1) to represent jurors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

**Object Classification** (in millions of dollars)

Identification code 010-0923-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	335	335	371
12.1 Civilian personnel benefits .....	112	112	134
13.0 Benefits for former personnel .....			1
21.0 Travel and transportation of persons .....	14	14	15
23.1 Rental payments to GSA .....	41	41	45
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	8	8	10
25.2 Other services .....	442	435	492
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	15	15	18
41.0 Grants, subsidies, and contributions .....	155	155	176
99.0 Direct obligations .....	1,125	1,197	1,265
99.9 Total new obligations, unexpired accounts .....	1,125	1,118	1,265

DEFENDER SERVICES—Continued  
Employment Summary

Identification code 010-0923-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,942	3,019	3,127

FEES OF JURORS AND COMMISSIONERS

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71.1(h)), \$51,851,000, to remain available until expended: Provided, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under 5 U.S.C. 5332.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 010-0925-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Grand jurors .....	15	17	18
0004 Petit jurors .....	35	35	35
0900 Total new obligations, unexpired accounts .....	50	52	53
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	1
1021 Recoveries of prior year unpaid obligations .....	1		1
1050 Unobligated balance (total) .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	51	52
1930 Total budgetary resources available .....	52	53	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	50	52	53
3020 Outlays (gross) .....	-49	-52	-52
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		-1
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	51	51	52
Outlays, gross:			
4010 Outlays from new discretionary authority .....	48	51	52
4011 Outlays from discretionary balances .....	1	1	
4020 Outlays, gross (total) .....	49	52	52
4180 Budget authority, net (total) .....	51	51	52
4190 Outlays, net (total) .....	49	52	52

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States Attorneys.

Object Classification (in millions of dollars)

Identification code 010-0925-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	24	25	25
21.0 Travel and transportation of persons (jurors) .....	22	22	22
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services (meals and lodging furnished sequestered juror) .....	1	2	3
26.0 Supplies and materials (Provisions for Juror Food/Beverages) .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	50	52	53

COURT SECURITY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses, not otherwise provided for, incident to the provision of protective guard services for United States courthouses and other facilities housing Federal court operations, and the procurement, installation, and maintenance of security systems and equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act (Public Law 100-702), \$641,273,000, of which not to exceed \$20,000,000 shall remain available until expended, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 010-0930-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Court Security (Direct) .....	580	611	653
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	26	12
1012 Unobligated balance transfers between expired and unexpired accounts .....	10	10	10
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	30	36	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	587	587	641
1930 Total budgetary resources available .....	617	623	663
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11		
1941 Unexpired unobligated balance, end of year .....	26	12	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	172	174	185
3010 New obligations, unexpired accounts .....	580	611	653
3011 Obligations ("upward adjustments"), expired accounts .....	4		
3020 Outlays (gross) .....	-565	-600	-642
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	174	185	196
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	172	174	185
3200 Obligated balance, end of year .....	174	185	196
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	587	587	641
Outlays, gross:			
4010 Outlays from new discretionary authority .....	466	440	481

4011	Outlays from discretionary balances .....	99	160	161
4020	Outlays, gross (total) .....	565	600	642
4180	Budget authority, net (total) .....	587	587	641
4190	Outlays, net (total) .....	565	600	642

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and other facilities housing Federal court operations and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program or to the Federal Protective Service for costs associated with building security.

**Object Classification** (in millions of dollars)

Identification code 010-0930-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	.....	1
23.1 Rental payments to GSA .....	8	8	8
25.2 Other services from non-Federal sources .....	433	452	477
25.3 Other goods and services from Federal sources .....	82	86	88
26.0 Supplies and materials .....	2	.....	.....
31.0 Equipment .....	43	53	67
99.9 Total new obligations, unexpired accounts .....	580	611	653

**Employment Summary**

Identification code 010-0930-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	55	75	75

**JUDICIARY FILING FEES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-5100-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Filing Fees, U.S. Courts, Judiciary .....	231	209	205
2000 Total: Balances and receipts .....	231	209	205
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Judiciary Filing Fees .....	-231	-209	-205
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 010-5100-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Judiciary Filing Fees (Direct) .....	224	209	205
0900 Total new obligations, unexpired accounts (object class 25.2) .....	224	209	205
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	321	328	328
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	231	209	205
1930 Total budgetary resources available .....	552	537	533
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	328	328	328

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	340	401	360
3010 New obligations, unexpired accounts .....	224	209	205
3020 Outlays (gross) .....	-163	-250	-245
3050 Unpaid obligations, end of year .....	401	360	320
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	340	401	360
3200 Obligated balance, end of year .....	401	360	320

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	231	209	205
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	6	209	205
4101 Outlays from mandatory balances .....	157	41	40
4110 Outlays, gross (total) .....	163	250	245
4180 Budget authority, net (total) .....	231	209	205
4190 Outlays, net (total) .....	163	250	245

**REGISTRY ADMINISTRATION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 010-5101-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Fees, Registry Administration, Judiciary .....	2	1	1
2000 Total: Balances and receipts .....	2	1	1
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Registry Administration .....	-2	-1	-1
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 010-5101-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Registry Administration (Direct) .....	2	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	1	1
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2	1	1
1930 Total budgetary resources available .....	2	1	1

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	.....	.....
3010 New obligations, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....	-6	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	.....	.....

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	1	1
4101 Outlays from mandatory balances .....	4	.....	.....
4110 Outlays, gross (total) .....	6	1	1
4180 Budget authority, net (total) .....	2	1	1
4190 Outlays, net (total) .....	6	1	1

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100-459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

JUDICIARY INFORMATION TECHNOLOGY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-5114-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Proceeds from Sale of Property, Judiciary Information Technology Fund	146	145	145
1140 Advances and Reimbursements, Judiciary Information Technology Fund	545	458	518
1199 Total current law receipts	691	603	663
1999 Total receipts	691	603	663
2000 Total: Balances and receipts	691	603	663
Appropriations:			
Current law:			
2101 Judiciary Information Technology Fund	-691	-603	-663
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-5114-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Information Technology	635	682	683
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	149	233	154
1021 Recoveries of prior year unpaid obligations	28		
1050 Unobligated balance (total)	177	233	154
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	691	603	663
1930 Total budgetary resources available	868	836	817
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	233	154	134
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	380	377	440
3010 New obligations, unexpired accounts	635	682	683
3020 Outlays (gross)	-610	-619	-639
3040 Recoveries of prior year unpaid obligations, unexpired	-28		
3050 Unpaid obligations, end of year	377	440	484
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	380	377	440
3200 Obligated balance, end of year	377	440	484
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	691	603	663
Outlays, gross:			
4100 Outlays from new mandatory authority	311	277	305
4101 Outlays from mandatory balances	299	342	334
4110 Outlays, gross (total)	610	619	639
4180 Budget authority, net (total)	691	603	663
4190 Outlays, net (total)	610	619	639

The Judiciary Information Technology Fund provides the judiciary with a funds management tool which allows more effective and efficient planning, budgeting, and use of funds for information technology activities. The Fund was authorized "without fiscal year limitation," which allows the judiciary to carry forward funds for projects that incur obligations over multiple years. The Fund makes it possible to implement the *Long Range Plan for Information Technology in the Federal Judiciary* and to manage the information technology program over a multi-year planning cycle while maximizing efficiencies and benefits. The Fund is financed through deposits and transfers from appropriations, reimbursements, user fees, and the sale of surplus equipment.

Object Classification (in millions of dollars)

Identification code 010-5114-0-2-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons	8	7	7
23.3 Communications, utilities, and miscellaneous charges	96	96	96
24.0 Printing and reproduction	5	5	5
25.1 Advisory and assistance services	261	291	291
25.3 Other goods and services from Federal sources	66	28	28
25.7 Operation and maintenance of equipment	23	78	79
26.0 Supplies and materials	9	9	9
31.0 Equipment	167	168	168
99.9 Total new obligations, unexpired accounts	635	682	683

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, \$96,945,000, of which not to exceed \$8,500 is authorized for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 010-0927-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Program direction and policy formulation	40	61	65
0012 Program Services	18	18	19
0013 Administrative Services	51	32	33
0014 Technology Services	1	1	1
0799 Total direct obligations	110	112	118
0801 Offsetting Collections	85	104	116
0900 Total new obligations, unexpired accounts	195	216	234
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	90	90	97
Spending authority from offsetting collections, discretionary:			
1700 Collected	93	126	137
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	105	126	137
1900 Budget authority (total)	195	216	234
1930 Total budgetary resources available	195	216	234
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	14	5
3010 New obligations, unexpired accounts	195	216	234
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-193	-225	-233
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	14	5	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3071 Change in uncollected pymts, Fed sources, expired	10		
3090 Uncollected pymts, Fed sources, end of year	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	-8
3200 Obligated balance, end of year	1	-8	-7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	195	216	234
Outlays, gross:			
4010 Outlays from new discretionary authority	183	211	228

4011	Outlays from discretionary balances .....	10	14	5
4020	Outlays, gross (total) .....	193	225	233
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources .....	-103	-126	-137
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-12		
4052	Offsetting collections credited to expired accounts .....	10		
4060	Additional offsets against budget authority only (total) .....	-2		
4070	Budget authority, net (discretionary) .....	90	90	97
4080	Outlays, net (discretionary) .....	90	99	96
4180	Budget authority, net (total) .....	90	90	97
4190	Outlays, net (total) .....	90	99	96

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

**Object Classification** (in millions of dollars)

Identification code 010-0927-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	76	81	86
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	77	82	87
12.1 Civilian personnel benefits .....	25	25	28
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services from non-Federal sources .....	3	2	
31.0 Equipment .....	3	1	1
99.0 Direct obligations .....	110	112	118
99.0 Reimbursable obligations .....	85	104	116
99.9 Total new obligations, unexpired accounts .....	195	216	234

**Employment Summary**

Identification code 010-0927-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	599	621	624
2001 Reimbursable civilian full-time equivalent employment .....	487	582	630

**FEDERAL JUDICIAL CENTER**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, \$30,736,000; of which \$1,800,000 shall remain available through September 30, 2021, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 010-0928-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education and training .....	21	20	22
0002 Research .....	5	5	5
0003 Program support .....	4	4	4
0900 Total new obligations, unexpired accounts .....	30	29	31
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	29	31
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	30	29	31
1930 Total budgetary resources available .....	30	29	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	1
3010 New obligations, unexpired accounts .....	30	29	31
3020 Outlays (gross) .....	-30	-32	-30
3050 Unpaid obligations, end of year .....	4	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	1
3200 Obligated balance, end of year .....	4	1	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	29	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	28	29
4011 Outlays from discretionary balances .....	4	4	1
4020 Outlays, gross (total) .....	30	32	30
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4180 Budget authority, net (total) .....	29	29	31
4190 Outlays, net (total) .....	29	32	30

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

**Object Classification** (in millions of dollars)

Identification code 010-0928-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	15	15	16
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	6	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	30	29	31

**Employment Summary**

Identification code 010-0928-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	125	128	128

**JUDICIAL RETIREMENT FUNDS**

*Federal Funds*

PAYMENT TO JUDICIARY TRUST FUNDS

**Program and Financing** (in millions of dollars)

Identification code 010-0941-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Judicial Officers' Retirement Fund .....	195	181	204

PAYMENT TO JUDICIARY TRUST FUNDS—Continued  
Program and Financing—Continued

Identification code 010-0941-0-1-752	2018 actual	2019 est.	2020 est.
0002 Payment to Court of Federal Claims Judges Retirement Fund ....		5	4
0003 Payment to Judicial Survivors' Annuities Fund .....		26	32
0900 Total new obligations, unexpired accounts (object class 42.0) .....	195	212	240
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	195	212	240
1930 Total budgetary resources available .....	195	212	240
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	195	212	240
3020 Outlays (gross) .....	-195	-212	-240
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	195	212	240
Outlays, gross:			
4100 Outlays from new mandatory authority .....	195	212	240
4180 Budget authority, net (total) .....	195	212	240
4190 Outlays, net (total) .....	195	212	240

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows, widowers, and dependent children, pursuant to 28 U.S.C. 376.

**Trust Funds**

JUDICIAL OFFICERS' RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8122-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		1	1
Receipts:			
Current law:			
1110 Deductions from Employee Salaries and Voluntary Contributions, Judicial Officers' Annuity .....	4	3	4
1140 Interest and Profits on Investments, Judicial Officers' Annuity .....	-31	9	12
1140 Federal Payment to Judicial Officers Retirement Fund .....	161	181	204
1199 Total current law receipts .....	134	193	220
1999 Total receipts .....	134	193	220
2000 Total: Balances and receipts .....	134	194	221
Appropriations:			
Current law:			
2101 Judicial Officers' Retirement Fund .....	-133	-193	-220
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 010-8122-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Judicial Officers Retirement Fund .....	106	111	117
0900 Total new obligations, unexpired accounts (object class 42.0) .....	106	111	117
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	630	657	739
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	133	193	220
1930 Total budgetary resources available .....	763	850	959

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	657	739	842
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	8
3010 New obligations, unexpired accounts .....	106	111	117
3020 Outlays (gross) .....	-106	-111	-117
3050 Unpaid obligations, end of year .....	8	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	8
3200 Obligated balance, end of year .....	8	8	8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	133	193	220
Outlays, gross:			
4100 Outlays from new mandatory authority .....	97	103	117
4101 Outlays from mandatory balances .....	9	8	
4110 Outlays, gross (total) .....	106	111	117
4180 Budget authority, net (total) .....	133	193	220
4190 Outlays, net (total) .....	106	111	117
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	639	672	740
5001 Total investments, EOY: Federal securities: Par value .....	672	740	843

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

JUDICIAL SURVIVORS' ANNUITIES FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8110-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Judicial Survivors Annuity, Deductions from Employees Salaries .....	7	10	9
1140 Judicial Survivors Annuity, Interest and Profits on Investments .....	-7	12	12
1140 Federal Payment to Judicial Survivors Annuities Fund .....	29	26	32
1199 Total current law receipts .....	29	48	53
1999 Total receipts .....	29	48	53
2000 Total: Balances and receipts .....	29	48	53
Appropriations:			
Current law:			
2101 Judicial Survivors' Annuities Fund .....	-29	-48	-53
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 010-8110-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Judicial Survivor's Annuity Fund .....	29	32	33
0900 Total new obligations, unexpired accounts (object class 42.0) .....	29	32	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	505	505	521
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	29	48	53
1930 Total budgetary resources available .....	534	553	574
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	505	521	541
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		3	3
3010 New obligations, unexpired accounts .....	29	32	33
3020 Outlays (gross) .....	-26	-32	-33
3050 Unpaid obligations, end of year .....	3	3	3

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3	3
3200	Obligated balance, end of year .....	3	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	29	48
Outlays, gross:			
4100	Outlays from new mandatory authority .....	26	29
4101	Outlays from mandatory balances .....	3	3
4110	Outlays, gross (total) .....	26	32
4180	Budget authority, net (total) .....	29	48
4190	Outlays, net (total) .....	26	32
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	507	511
5001	Total investments, EOY: Federal securities: Par value .....	511	521

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section, as well as amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows, widowers, and dependent children.

UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8124-0-7-602	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....		
Receipts:			
Current law:			
1140	Federal Payment to Claims Court Judges' Retirement Fund .....	6	5
1140	Interest, Claims Court Judges' Retirement Fund .....	-2	1
1199	Total current law receipts .....	4	5
1999	Total receipts .....	4	5
2000	Total: Balances and receipts .....	4	5
Appropriations:			
Current law:			
2101	United States Court of Federal Claims Judges' Retirement Fund .....	-4	-5
5099	Balance, end of year .....		

Program and Financing (in millions of dollars)

Identification code 010-8124-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Court of Federal Claims Judges Retirement Fund .....	4	5
0900	Total new obligations, unexpired accounts (object class 42.0) .....	4	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	36	36
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	4	5
1930	Total budgetary resources available .....	40	41
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	36	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	4	5
3020	Outlays (gross) .....	-4	-5

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	4	5
Outlays, gross:			
4100	Outlays from new mandatory authority .....	4	5
4180	Budget authority, net (total) .....	4	5
4190	Outlays, net (total) .....	4	5

<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	37	37
5001	Total investments, EOY: Federal securities: Par value .....	37	37

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

UNITED STATES SENTENCING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, \$19,265,000, of which not to exceed \$1,000 is authorized for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 010-0938-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Salaries and Expenses (Direct) .....	19	19
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	19	19
1930	Total budgetary resources available .....	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	4
3010	New obligations, unexpired accounts .....	19	19
3020	Outlays (gross) .....	-18	-19
3050	Unpaid obligations, end of year .....	4	4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3	4
3200	Obligated balance, end of year .....	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	19	19
Outlays, gross:			
4010	Outlays from new discretionary authority .....	15	16
4011	Outlays from discretionary balances .....	3	3
4020	Outlays, gross (total) .....	18	19
4180	Budget authority, net (total) .....	19	19
4190	Outlays, net (total) .....	18	19

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98-473, Title II), as amended. The Commission's principal purposes are to: (1) collect, analyze, and distribute a broad array of information on Federal crime and sentencing issues, serving as an information resource for the Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public; (2) establish sentencing policies and practices for the Federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of Federal crimes; (3) advise and assist the Congress and the executive branch in the development of effective and efficient crime policy; and (4) provide training to judges, prosecutors, probation officers, the defense bar, and other members of the criminal justice community on the application of the guidelines.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 010-0938-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	10	11	12
12.1 Civilian personnel benefits .....	3	4	4
21.0 Travel and transportation of persons .....	1	.....	.....
25.2 Other services from non-Federal sources .....	3	2	2
31.0 Equipment .....	2	2	1
99.9 Total new obligations, unexpired accounts .....	19	19	19

Employment Summary

Identification code 010-0938-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	93	95	95

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
010-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	4	.....	.....
General Fund Offsetting receipts from the public .....	4	.....	.....

ADMINISTRATIVE PROVISIONS—THE JUDICIARY

(INCLUDING TRANSFER OF FUNDS)

SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.

SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such

appropriations, but no such appropriation, except "Courts of Appeals, District Courts, and Other Judicial Services, Defender Services" and "Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners", shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under sections 604 and 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in section 608.

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for "Courts of Appeals, District Courts, and Other Judicial Services" shall be available for official reception and representation expenses of the Judicial Conference of the United States: Provided, That such available funds shall not exceed \$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

SEC. 304. Section 3315(a) of title 40, United States Code, shall be applied by substituting "Federal" for "executive" each place it appears.

SEC. 305. In accordance with 28 U.S.C. 561-569, and notwithstanding any other provision of law, the United States Marshals Service shall provide, for such courthouses as its Director may designate in consultation with the Director of the Administrative Office of the United States Courts, for purposes of a pilot program, the security services that 40 U.S.C. 1315 authorizes the Department of Homeland Security to provide, except for the services specified in 40 U.S.C. 1315(b)(2)(E). For building-specific security services at these courthouses, the Director of the Administrative Office of the United States Courts shall reimburse the United States Marshals Service rather than the Department of Homeland Security.

SEC. 306. (a) Section 203(c) of the Judicial Improvements Act of 1990 (Public Law 101-650; 28 U.S.C. 133 note), is amended in the second sentence (relating to the District of Kansas) following paragraph (12), by striking "28 years and 6 months" and inserting "29 years and 6 months".

(b) Section 406 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109-115; 119 Stat. 2470; 28 U.S.C. 133 note) is amended in the second sentence (relating to the eastern District of Missouri) by striking "26 years and 6 months" and inserting "27 years and 6 months".

(c) Section 312(c)(2) of the 21st Century Department of Justice Appropriations Authorization Act (Public Law 107-273; 28 U.S.C. 133 note), is amended—

- (1) in the first sentence by striking "17 years" and inserting "18 years";
- (2) in the third sentence (relating to the central District of California), by striking "16 years and 6 months" and inserting "17 years and 6 months"; and
- (3) in the fourth sentence (relating to the western district of North Carolina), by striking "15 years" and inserting "16 years".

# DEPARTMENT OF AGRICULTURE

## OFFICE OF THE SECRETARY

### Federal Funds

#### OFFICE OF THE SECRETARY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Office of the Secretary, \$41,373,000, of which not to exceed \$4,850,000 shall be available for the Immediate Office of the Secretary; not to exceed \$800,000 shall be available for the Office of Assistant Secretary for Rural Development; not to exceed \$1,448,000 shall be available for the Office of Homeland Security; not to exceed \$1,672,000 shall be available for the Office of Partnerships and Public Engagement; not to exceed \$22,251,000 shall be available for the Office of the Assistant Secretary for Administration, of which \$21,376,000 shall be available for Departmental Administration to provide for necessary expenses for management support services to offices of the Department and for general administration, security, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department; not to exceed \$3,091,000 shall be available for the Office of Assistant Secretary for Congressional Relations to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch; and not to exceed \$7,261,000 shall be available for the Office of Communications: Provided, That funds made available by this Act to an agency in the Rural Development mission area for salaries and expenses shall be available to fund up to one administrative support staff for the Office of the Assistant to the Secretary for Rural Development: Provided further, That funds made available by this Act to the Departmental Administration area for salaries and expenses shall be available to fund up to one administrative support staff for the Office of the Assistant to the Secretary for Administration: Provided further, That the Secretary of Agriculture is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: Provided further, That no appropriation for any office shall be increased or decreased by more than 5 percent: Provided further, That not to exceed \$24,000 of the amount made available under this paragraph for the Immediate Office of the Secretary shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary: Provided further, That the amount made available under this heading for Departmental Administration shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551–558: Provided further, That funds made available under this heading for the Office of the Assistant Secretary for Congressional Relations may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level.

#### OFFICE OF THE ASSISTANT SECRETARY FOR CIVIL RIGHTS

For necessary expenses of the Office of the Assistant Secretary for Civil Rights, \$800,000: Provided, That funds made available by this Act to an agency in the civil rights mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

#### OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION, AND ECONOMICS

For necessary expenses of the Office of the Under Secretary for Research, Education, and Economics, \$800,000: Provided, That funds made available by this Act to an agency in the research, education, and economics mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

#### OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

For necessary expenses of the Office of the Under Secretary for Marketing and Regulatory Programs, \$800,000: Provided, That funds made available by this Act to an agency in the marketing and regulatory programs mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

#### OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

For necessary expenses of the Office of the Under Secretary for Food Safety, \$800,000: Provided, That funds made available by this Act to an agency in the food safety mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

## OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

For necessary expenses of the Office of the Under Secretary for Natural Resources and Environment, \$875,000: Provided, That funds made available by this Act to an agency in the natural resources and environment mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

## OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION, AND CONSUMER SERVICES

For necessary expenses of the Office of the Under Secretary for Food, Nutrition, and Consumer Services, \$800,000: Provided, That funds made available by this Act to an agency in the food, nutrition, and consumer services mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

## OFFICE OF THE UNDER SECRETARY FOR FARM PRODUCTION AND CONSERVATION

For necessary expenses of the Office of the Under Secretary for Farm Production and Conservation, \$875,000: Provided, That funds made available by this Act to an agency in the farm production and conservation mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

## OFFICE OF THE UNDER SECRETARY FOR TRADE AND FOREIGN AGRICULTURAL AFFAIRS

For necessary expenses of the Office of the Under Secretary for Trade and Foreign Agricultural Affairs, \$875,000: Provided, That funds made available by this Act to an agency in the trade and foreign agricultural mission area for salaries and expenses shall be available to fund up to one administrative support staff for such Office.

## OFFICE OF CODEX ALIMENTARIUS

For necessary expenses of the Office of Codex Alimentarius, \$4,775,000: Provided, That not to exceed \$40,000 shall be available for official reception and expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

Identification code 012–9913–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Secretary .....	5	5	5
0002 Under/Assistant Secretaries .....	13	15	15
0004 Departmental Administration .....	23	23	23
0005 Office of Communications .....	7	7	7
0007 Office of Homeland Security and Emergency Coordination .....	3	1	1
0008 Outreach & Assistance for Socially Disadvantaged Farmers & Ranchers & Veteran Farmers & Ranchers .....	9	10	10
0009 Biobased Markets Program Sec 9001 .....	3	3	3
0010 Office of Partnerships and Public Engagement .....	4	2	2
0011 Disaster Relief Supplemental .....	370	909	987
0799 Total direct obligations .....	437	975	1,053
0802 Office of the Secretary (Reimbursable) .....	63	57	57
0900 Total new obligations, unexpired accounts .....	500	1,032	1,110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1,988	1,094
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1,988	
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....		1,989	1,095
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,417	57	53
1120 Appropriations transferred to other acct [012–4609] .....	–1		
1160 Appropriation, discretionary (total) .....	2,416	57	53
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336] .....	13	15	15
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–1		
1260 Appropriations, mandatory (total) .....	12	15	15
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	49	65	59

OFFICE OF THE SECRETARY—Continued  
Program and Financing—Continued

Identification code 012-9913-0-1-999	2018 actual	2019 est.	2020 est.
1701 Change in uncollected payments, Federal sources .....	15		
1750 Spending auth from offsetting collections, disc (total) .....	64	65	59
1900 Budget authority (total) .....	2,492	137	127
1930 Total budgetary resources available .....	2,492	2,126	1,222
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	1,988	1,094	112
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	75	1
3010 New obligations, unexpired accounts .....	500	1,032	1,110
3011 Obligations ("upward adjustments"), expired accounts .....	4		
3020 Outlays (gross) .....	-469	-1,105	-158
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	75	1	952
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-30	-30
3070 Change in uncollected pymts, Fed sources, unexpired .....	-15		
3071 Change in uncollected pymts, Fed sources, expired .....	14		
3090 Uncollected pymts, Fed sources, end of year .....	-30	-30	-30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	45	-29
3200 Obligated balance, end of year .....	45	-29	922
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,480	122	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	440	116	106
4011 Outlays from discretionary balances .....	17	974	36
4020 Outlays, gross (total) .....	457	1,090	142
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-61	-65	-59
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-62	-65	-59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-15		
4052 Offsetting collections credited to expired accounts .....	13		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	2,416	57	53
4080 Outlays, net (discretionary) .....	395	1,025	83
Mandatory:			
4090 Budget authority, gross .....	12	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	13	13
4101 Outlays from mandatory balances .....	10	2	3
4110 Outlays, gross (total) .....	12	15	16
4180 Budget authority, net (total) .....	2,428	72	68
4190 Outlays, net (total) .....	407	1,040	99

The Office of the Secretary is responsible for the overall planning, coordination and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and other related offices, who provide policy guidance for the Department; and provide liaison with the Executive Office of the President and Members of Congress.

Disaster relief supplemental funding is also provided to the Office of the Secretary, as appropriated by the Congress in 2018. These funds are in addition to other existing USDA programs designed to provide disaster assistance.

Object Classification (in millions of dollars)

Identification code 012-9913-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	23	9	8
12.1 Civilian personnel benefits .....	7	12	12
21.0 Travel and transportation of persons .....	2	1	1

23.1 Rental payments to GSA .....	3		
23.3 Communications, utilities, and miscellaneous charges .....	3	1	1
25.2 Other services from non-Federal sources .....	13	3	3
25.3 Other goods and services from Federal sources .....	10	1	1
41.0 Grants, subsidies, and contributions .....	376	948	1,027
99.0 Direct obligations .....	437	975	1,053
99.0 Reimbursable obligations .....	63	57	57
99.9 Total new obligations, unexpired accounts .....	500	1,032	1,110

Employment Summary

Identification code 012-9913-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	186	113	115
2001 Reimbursable civilian full-time equivalent employment .....	186	180	180

Trust Funds

GIFTS AND BEQUESTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8203-0-7-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Bequests, Departmental Administration .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Gifts and Bequests .....	-1	-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 012-8203-0-7-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Gifts and bequests .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 99.5) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

**EXECUTIVE OPERATIONS**

*Federal Funds*

**EXECUTIVE OPERATIONS**

**OFFICE OF THE CHIEF FINANCIAL OFFICER**

*For necessary expenses of the Office of the Chief Financial Officer, \$13,500,000.*

**OFFICE OF BUDGET AND PROGRAM ANALYSIS**

*For necessary expenses of the Office of Budget and Program Analysis, \$8,199,000.*

**OFFICE OF THE CHIEF ECONOMIST**

*For necessary expenses of the Office of the Chief Economist, \$18,513,000, of which \$4,000,000 shall be for grants or cooperative agreements for policy research under 7 U.S.C. 3155.*

**OFFICE OF THE CHIEF INFORMATION OFFICER**

*For necessary expenses of the Office of the Chief Information Officer, \$101,400,000.*

**OFFICE OF CIVIL RIGHTS**

*For necessary expenses of the Office of Civil Rights, \$21,228,000.*

**OFFICE OF THE GENERAL COUNSEL**

*For necessary expenses of the Office of the General Counsel, \$41,242,000.*

**OFFICE OF ETHICS**

*For necessary expenses of the Office of Ethics, \$2,752,000.*

**OFFICE OF HEARINGS AND APPEALS**

*For necessary expenses of the Office of Hearings and Appeals, \$13,474,000.*

**HAZARDOUS MATERIALS MANAGEMENT**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.) and the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.), \$3,290,000, to remain available until expended: Provided, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–9914–0–1–352	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>				
0001	Office of the Chief Financial Officer .....	6	6	14
0002	Office of Budget and Program Analysis .....	9	10	8
0003	Office of the Chief Economist .....	21	20	19
0004	Office of the Chief Information Officer .....	59	59	101
0005	Office of Civil Rights .....	24	24	21
0006	Office of the General Counsel .....	44	45	41
0007	Office of Ethics .....	4	4	3
0008	Office of Hearings and Appeals .....	15	15	14
0009	Hazardous Materials Management .....	9	4	3
0799	Total direct obligations .....	191	187	224
0801	Office of Civil Rights Reimb .....	4	4	4
0802	Office of the Chief Information Officer Reimb .....	16	19	20
0803	Office of the Chief Economist Reimb .....	1	1	1
0804	Office of the General Counsel Reimb .....	5	5	5
0899	Total reimbursable obligations .....	26	29	30
0900	Total new obligations, unexpired accounts .....	217	216	254

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	.....
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
1021	Recoveries of prior year unpaid obligations .....	6	3	6
1050	Unobligated balance (total) .....	8	5	6

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	186	186	224
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012–4336] ....	1	1	1
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	15	15	15
1701	Change in uncollected payments, Federal sources .....	15	15	15
1750	Spending auth from offsetting collections, disc (total) .....	30	30	30
1900	Budget authority (total) .....	217	217	255
1930	Total budgetary resources available .....	225	222	261
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	–6	–6	–6
1941	Unexpired unobligated balance, end of year .....	2	.....	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	68	78	12
3010	New obligations, unexpired accounts .....	217	216	254
3011	Obligations ("upward adjustments"), expired accounts .....	2	2	4
3020	Outlays (gross) .....	–199	–277	–254
3040	Recoveries of prior year unpaid obligations, unexpired .....	–6	–3	–6
3041	Recoveries of prior year unpaid obligations, expired .....	–4	–4	–4
3050	Unpaid obligations, end of year .....	78	12	6
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–18	–22	–26
3070	Change in uncollected pymts, Fed sources, unexpired .....	–15	–15	–15
3071	Change in uncollected pymts, Fed sources, expired .....	11	11	11
3090	Uncollected pymts, Fed sources, end of year .....	–22	–26	–30
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	50	56	–14
3200	Obligated balance, end of year .....	56	–14	–24

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	216	216	254
Outlays, gross:				
4010	Outlays from new discretionary authority .....	154	216	254
4011	Outlays from discretionary balances .....	45	61	.....
4020	Outlays, gross (total) .....	199	277	254
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–26	–26	–26
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–15	–15	–15
4052	Offsetting collections credited to expired accounts .....	11	11	11
4060	Additional offsets against budget authority only (total) .....	–4	–4	–4
4070	Budget authority, net (discretionary) .....	186	186	224
4080	Outlays, net (discretionary) .....	173	251	228
Mandatory:				
4090	Budget authority, gross .....	1	1	1
4180	Budget authority, net (total) .....	187	187	225
4190	Outlays, net (total) .....	173	251	228

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies, programs and proposed legislation. The Office is a focal point for USDA's economic intelligence and analysis; projections related to agricultural commodity markets; risk assessment and cost-benefit analysis related to domestic and international food and agriculture; policy direction for renewable energy development; coordination, analysis and advice on climate adaptation and environmental market activities; and coordination and review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department. The 2020 Budget requests \$18.5 million for the office.

The Office of Hearings and Appeals (OHA) is responsible for conducting first and second-level administrative adjudications at USDA through fair, transparent, and consistent processes. Activities are carried out by three offices, the National Appeals Division (NAD), the Office of Administrative Law Judges (OALJ), and the Office of the Judicial Officer (OJO). NAD is responsible for listening to farmers and other rural program participants concerning their disputes with certain agencies within USDA through fair and impartial administrative hearings and appeals. OALJ and OJO (previously housed in Departmental Administration) are responsible for regulatory hearings and administrative proceedings. OHA was established in 2016

EXECUTIVE OPERATIONS—Continued

with the consolidation of the three offices. The 2020 Budget requests \$13.5 million and reflects this realignment.

The Office of Budget and Program Analysis (OBPA) coordinates the preparation of Departmental budget estimates, regulations, and legislative reports; administers systems for the allotment and apportionment of funds; provides analysis of USDA program issues, draft regulations, and budget proposals; participates in strategic planning; and provides assistance to USDA policy makers in the development and execution of desired policies and programs. The 2020 Budget requests \$8.2 million.

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for all major Federal agencies. The Act requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030-30, dated August 8, 1996, established the Office of the Chief Information Officer (OCIO). The CIO serves as the primary advisor to the Secretary on Information Technology (IT) issues. OCIO provides leadership for the Department's information and IT management activities in support of USDA program delivery. The 2020 Budget requests \$101.4 million.

The Office of the Chief Financial Officer (OCFO) was established in 1995 under authority provided in Reorganization Plan Number 2 of 1953 (7 U.S.C. 2201) to comply with the Chief Financial Officers Act of 1990. The OCFO focuses on the Department's financial management activities to improve program delivery and assure maximum contribution to the Secretary's Strategic Goals. The 2020 Budget requests \$13.5 million.

The Office of Civil Rights provides overall leadership for all Department-wide civil rights activities, including employment opportunity and program non-discrimination policy development, analysis, coordination, and compliance. The Office provides leadership to implement best practices that will create an environment where a diverse workforce is valued as a source of strength. The Office monitors program activities to ensure that all USDA programs are delivered in a non-discriminatory manner. The 2020 Budget requests \$21.2 million.

The Office of the General Counsel of the Department of Agriculture provides legal advice, counsel, and services to the Secretary and to all agencies, offices, and corporations of the Department on all aspects of their operations and programs. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; State water rights adjudications; proceedings before the Civilian Board of Contract Appeal, the Merit System Protection Board, the Equal Employment Opportunity Commission, the USDA Office of Administrative Law Judges, and other Federal agencies; and, in conjunction with the Department of Justice, in judicial proceedings and litigation in the Federal and State courts. All attorneys and support personnel devoted to those efforts are supervised by the General Counsel. The 2020 Budget requests \$41.2 million.

The Office of Ethics provides ethics advice, counsel and training to all USDA officials and employees, and conducts annual financial disclosure reviews. The work of the Office of Ethics promotes employee compliance with the Federal conflict of interest laws and regulations. The 2020 Budget requests \$2.8 million.

Under the Comprehensive Environmental Response, Compensation, and Liability Act and the Resource Conservation and Recovery Act, the Department must meet the same standards for environmental cleanup and regulatory compliance regarding hazardous wastes and hazardous substances as private businesses. With substantial commitments under these Acts, the Hazardous Materials Management account was established as a central fund so the Department's agencies may be reimbursed for their cleanup efforts. The Department determines what projects to fund by using objective criteria to identify what sites pose the greatest threats to public health, safety, and the environment. The 2020 Budget requests \$3.3 million.

Object Classification (in millions of dollars)

Identification code 012-9914-0-1-352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	85	85	85
12.1 Civilian personnel benefits .....	27	27	27
23.1 Rental payments to GSA .....	5	5	5
25.2 Other services from non-Federal sources .....	41	42	74
25.3 Other goods and services from Federal sources .....	30	25	30
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	191	187	224
99.0 Reimbursable obligations .....	26	29	30
99.9 Total new obligations, unexpired accounts .....	217	216	254

Employment Summary

Identification code 012-9914-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	662	605	605
2001 Reimbursable civilian full-time equivalent employment .....	37	59	59

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 012-4609-0-4-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	44	46	46
0802 Communications .....	7	9	8
0803 Finance and Management .....	310	316	320
0804 Information Technology .....	525	634	863
0805 Executive Secretariat .....	4	6	6
0809 Reimbursable program activities, subtotal .....	890	1,011	1,243
0815 Capital Funding Availability .....	26	55	55
0816 Proceeds from Purchase Card Rebate Programs .....	18	17	15
0817 Proceeds from Transfers of Discretionary Unobligated Balances .....	14	21	.....
0818 Technology Modernization .....	1	3	7
0819 Reimbursable program activities, subtotal .....	59	96	77
0900 Total new obligations, unexpired accounts .....	949	1,107	1,320
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	237	261	21
1011 Unobligated balance transfer from other acct [047-0616] .....	.....	11	.....
1050 Unobligated balance (total) .....	237	272	21
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [012-0128] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-1230] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-1600] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-2081] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-2500] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-2707] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-2900] .....	1	.....	.....
1121 Appropriations transferred from other acct [012-3508] .....	1	.....	.....
1121 Appropriations transferred from other acct [047-0616] .....	4	.....	.....
1160 Appropriation, discretionary (total) .....	12	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	972	856	1,331
1701 Change in uncollected payments, Federal sources .....	-11	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	961	856	1,331
1900 Budget authority (total) .....	973	856	1,331
1930 Total budgetary resources available .....	1,210	1,128	1,352
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	261	21	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	324	337	384
3010 New obligations, unexpired accounts .....	949	1,107	1,320
3020 Outlays (gross) .....	-936	-1,060	-1,267
3050 Unpaid obligations, end of year .....	337	384	437

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-262	-251	-251
3070	Change in uncollected pymts, Fed sources, unexpired .....	11		
3090	Uncollected pymts, Fed sources, end of year .....	-251	-251	-251
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	62	86	133
3200	Obligated balance, end of year .....	86	133	186

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	973	856	1,331
Outlays, gross:				
4010	Outlays from new discretionary authority .....	671	740	1,151
4011	Outlays from discretionary balances .....	265	320	116
4020	Outlays, gross (total) .....	936	1,060	1,267
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-960	-856	-1,331
4033	Non-Federal sources .....	-12		
4040	Offsets against gross budget authority and outlays (total) ....	-972	-856	-1,331
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	11		
4070	Budget authority, net (discretionary) .....	12		
4080	Outlays, net (discretionary) .....	-36	204	-64
4180	Budget authority, net (total) .....	12		
4190	Outlays, net (total) .....	-36	204	-64

This fund finances, by advances or reimbursements, certain central services in the Department of Agriculture, including supply, mail, and reproduction services; financial, procurement, and other administrative systems; telecommunications and network services; mainframe computer processing and hosting services; correspondence management services; payroll, financial management, and human resources services; and video production, conferencing, design, and Web support services.

**Object Classification** (in millions of dollars)

Identification code 012-4609-0-4-352	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent - OCFO .....	117	122	125
11.1	Full-time permanent - OCIO .....	84	103	104
11.1	Full-time permanent - DA OES OC .....	17	21	22
11.3	Other than full-time permanent .....	1		
11.5	Other personnel compensation - OCFO .....	4	4	5
11.5	Other personnel compensation - OCIO .....	3	3	4
11.5	Other personnel compensation - DA OES OC .....	1	1	1
11.9	Total personnel compensation .....	227	254	261
12.1	Civilian personnel benefits OCFO .....	42	44	45
12.1	Civilian personnel benefits OCIO .....	29	35	35
12.1	Civilian personnel benefits - DA OES OC .....	6	7	8
21.0	Travel and transportation of persons OCFO .....	1	2	2
21.0	Travel and transportation of persons - OCIO .....	2	3	3
22.0	Transportation of things - DA OES OC .....	1	1	1
23.1	Rental payments to GSA - OCFO .....	4	2	2
23.1	Rental payments to GSA - OCIO .....	4	5	5
23.1	Rental payments to GSA - DA OES OC .....	1	1	1
23.2	Rental payments to others - OCFO .....	1	2	2
23.2	Rental payments to others - OCIO .....	13		
23.3	Communications, utilities, and miscellaneous charges - OCFO .....	5	9	10
23.3	Communications, utilities, and miscellaneous charges - OCIO .....	84	144	368
23.3	Communications, utilities, and miscellaneous charges - DA OES OC .....	2	2	2
25.1	Advisory and assistance services - OCFO .....	2		
25.1	Advisory and assistance services - OCIO .....	1		
25.2	Other services from non-Federal sources - OCFO .....	57	64	65
25.2	Other services from non-Federal sources - OCIO .....	145	211	212
25.2	Other services from non-Federal sources - DA OES OC .....	14	14	14
25.3	Other goods and services from Federal sources - OCFO .....	36	31	27
25.3	Other goods and services from Federal sources - OCIO .....	57	40	40
25.3	Other goods and services from Federal sources - DA OES OC .....	9	8	8
25.4	Operation and maintenance of facilities .....	6	3	3
25.7	Operation and maintenance of equipment - OCFO .....	39	33	35
25.7	Operation and maintenance of equipment - OCIO .....	106	78	76
25.7	Operation and maintenance of equipment - DA OES OC .....	2	2	2
26.0	Supplies and materials - OCFO .....		1	1
26.0	Supplies and materials - OCIO .....	3	1	1
26.0	Supplies and materials - DA OES OC .....	3	2	2
31.0	Equipment - OCFO .....	11	1	1

31.0	Equipment - OCIO .....	29	11	11
31.0	Equipment - Availability .....		96	77
32.0	Land and structures .....	7		
99.9	Total new obligations, unexpired accounts .....	949	1,107	1,320

**Employment Summary**

Identification code 012-4609-0-4-352	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	2,447	2,814	2,814

**BUILDINGS AND FACILITIES**

*Federal Funds*

AGRICULTURE BUILDINGS AND FACILITIES

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 121, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, \$331,114,000, to remain available until expended, for buildings operations and maintenance expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-0117-0-1-352	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Building Operations and Maintenance .....	70	118	331
0799	Total direct obligations .....	70	118	331
0802	Agriculture Buildings and Facilities and Rental Payments (Reimbursable) .....	7	7	8
0900	Total new obligations, unexpired accounts .....	77	125	339
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	45	53	
1021	Recoveries of prior year unpaid obligations .....	11		
1050	Unobligated balance (total) .....	56	53	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	64	64	331
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	6	8	8
1701	Change in uncollected payments, Federal sources .....	4		
1750	Spending auth from offsetting collections, disc (total) .....	10	8	8
1900	Budget authority (total) .....	74	72	339
1930	Total budgetary resources available .....	130	125	339
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	53		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	38	35	65
3010	New obligations, unexpired accounts .....	77	125	339
3020	Outlays (gross) .....	-69	-95	-299
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050	Unpaid obligations, end of year .....	35	65	105
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28	21	51
3200	Obligated balance, end of year .....	21	51	91

AGRICULTURE BUILDINGS AND FACILITIES—Continued  
Program and Financing—Continued

Identification code 012-0117-0-1-352	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	74	72	339
Outlays, gross:			
4010 Outlays from new discretionary authority .....	43	62	289
4011 Outlays from discretionary balances .....	26	33	10
4020 Outlays, gross (total) .....	69	95	299
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4070 Budget authority, net (discretionary) .....	64	64	331
4080 Outlays, net (discretionary) .....	63	87	291
4180 Budget authority, net (total) .....	64	64	331
4190 Outlays, net (total) .....	63	87	291

This account finances the operations, repair, improvement and maintenance activities of two headquarters buildings in Washington, DC and the George Washington Carver Center in Beltsville, MD. The 2020 Budget requests \$331.1 million for operations and maintenance.

Object Classification (in millions of dollars)

Identification code 012-0117-0-1-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	7	8	8
25.2 Other services from non-Federal sources .....	32	54	14
25.3 Other goods and services from Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	15	40	293
99.0 Direct obligations .....	70	118	331
99.0 Reimbursable obligations .....	7	7	8
99.9 Total new obligations, unexpired accounts .....	77	125	339

Employment Summary

Identification code 012-0117-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	92	82	82

OFFICE OF INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, including employment pursuant to the Inspector General Act of 1978, \$98,208,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978, and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to Public Law 95-452 and section 1337 of Public Law 97-98.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-0900-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General .....	97	98	98
0801 Office of Inspector General (Reimbursable) .....	3	3	3
0900 Total new obligations, unexpired accounts .....	100	101	101

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	16	17
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	16	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	98	98
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	4	4
1900 Budget authority (total) .....	106	102	102
1930 Total budgetary resources available .....	119	118	119
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	16	17	18

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	19	10
3010 New obligations, unexpired accounts .....	100	101	101
3011 Obligations ("upward adjustments"), expired accounts .....	1	1	1
3020 Outlays (gross) .....	-99	-111	-102
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	19	10	10
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-4	-4
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	15	6
3200 Obligated balance, end of year .....	15	6	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	106	102	102
Outlays, gross:			
4010 Outlays from new discretionary authority .....	84	93	93
4011 Outlays from discretionary balances .....	15	18	9
4020 Outlays, gross (total) .....	99	111	102
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-4	-4
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	101	98	98
4080 Outlays, net (discretionary) .....	93	107	98
4180 Budget authority, net (total) .....	101	98	98
4190 Outlays, net (total) .....	93	107	98

The Office of Inspector General provides the Secretary and Congress with information or intelligence about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. The Office reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The Office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement. The 2020 Budget requests \$98.2 million.

Object Classification (in millions of dollars)

Identification code 012-0900-0-1-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	52	58	58
12.1 Civilian personnel benefits .....	21	23	23
21.0 Travel and transportation of persons .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	8	6	6
25.2 Other services from non-Federal sources .....	5	5	5

25.3	Other goods and services from Federal sources .....	5	3	3
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....	2	1	1
99.0	Direct obligations .....	97	98	98
99.0	Reimbursable obligations .....	3	3	3
99.9	Total new obligations, unexpired accounts .....	100	101	101

**Employment Summary**

Identification code 012-0900-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	442	482	482

**ECONOMIC RESEARCH SERVICE**  
*Federal Funds*

**ECONOMIC RESEARCH SERVICE**

*For necessary expenses of the Economic Research Service, \$60,500,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1701-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Economic Research Service .....	87	87	61
0801 Economic Research Service (Reimbursable) .....	3	3	.....
0900 Total new obligations, unexpired accounts .....	90	90	61

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	87	87	61
Spending authority from offsetting collections, discretionary:			
1701 Change in uncollected payments, Federal sources .....	3	3	.....
1900 Budget authority (total) .....	90	90	61
1930 Total budgetary resources available .....	90	90	61

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	35	28
3010 New obligations, unexpired accounts .....	90	90	61
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-92	-97	-70
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	35	28	19
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-7	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	-3	.....
3071 Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-7	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	28	18
3200 Obligated balance, end of year .....	28	18	9

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	90	90	61
Outlays, gross:			
4010 Outlays from new discretionary authority .....	71	73	49
4011 Outlays from discretionary balances .....	21	24	21
4020 Outlays, gross (total) .....	92	97	70
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3	-3	.....
4052 Offsetting collections credited to expired accounts .....	5	3	3
4060 Additional offsets against budget authority only (total) .....	2	.....	3
4070 Budget authority, net (discretionary) .....	87	87	61
4080 Outlays, net (discretionary) .....	87	94	67
4180 Budget authority, net (total) .....	87	87	61

4190 Outlays, net (total) .....	87	94	67
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The Economic Research Service (ERS) will use its 2020 funding for core, recurring programs of data analysis and market outlook. Proposals for ERS budget priorities reflect principles based on the Agency's role as a Federal intramural research and statistical organization. The principles identify areas where ERS is best positioned to provide information that the private sector or academia has weaker incentives or higher cost to provide, and include: (1) research that builds on unique or confidential data sources or investments at the Federal level and is inherent in the role of a Federal Statistical Agency; (2) provides coordination for a national perspective or framework; (3) requires sustained investment and large teams; (4) directly serves the U.S. Government's or USDA's long-term national goals and are not likely to be understood or valued; and (5) addresses questions with short-run payoff or that have immediate policy implications. While we also seek to inform decision making on the core of USDA programs at proposed funding levels, we will focus on providing expertise in the analysis of farming and commodity markets, as well as limited information on food markets and food security.

The 2020 Budget request is \$60.5 million, a decrease of \$26 million from FY 2019. This funding level provides a framework to better streamline the Department's statistical functions, leverage administrative efficiencies, and focus on core data products similar to other statistical agencies elsewhere within the Government. ERS will continue to provide data products and statistics for farm financial information (e.g., estimates of farm income and commodity costs of production), agricultural commodity markets, international trade, U.S. agricultural productivity, USDA domestic and international baseline data, food availability, and the Consumer Price Index for food. ERS would maintain its production of the national estimates of U.S. food security. This funding level also supports the ERS Commodity Outlook program's participation in USDA's Interagency Commodity Estimates Committees and recurring analyses for commodities covered by USDA Farm Act commodity programs. These activities include analysis for the monthly World Agricultural Supply and Demand Estimates (WASDE) reports, public release of data for feed grains and other commodities, and supply and utilization tables for commodities that serve as critical inputs to the ERS Food Availability and Loss Data. Economists in the Commodity Outlook program will continue to support ERS leadership of modeling for USDA's Agricultural Baseline Projections. Producing these statistics requires \$6 million to purchase the Agricultural Resource Management Survey (ARMS) data, food security data, and private sector commodity data and intelligence. This budget level will support staff to develop the statistics and conduct research needed to ensure the sustained ability to develop meaningful measures of economic concepts in a dynamic farm and agricultural sector.

**Object Classification** (in millions of dollars)

Identification code 012-1701-0-1-352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	35	33	22
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	37	35	24
12.1 Civilian personnel benefits .....	11	11	6
21.0 Travel and transportation of persons .....	1	1	9
23.1 Rental payments to GSA .....	6	6	11
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	7	7	3
25.3 Other goods and services from Federal sources .....	17	17	6
25.5 Research and development contracts .....	5	5	.....
26.0 Supplies and materials .....	1	3	1
41.0 Grants, subsidies, and contributions .....	1	1	.....
99.0 Direct obligations .....	87	87	61
99.0 Reimbursable obligations .....	3	3	.....
99.9 Total new obligations, unexpired accounts .....	90	90	61

ECONOMIC RESEARCH SERVICE—Continued  
**Employment Summary**

Identification code 012-1701-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	319	329	160
2001 Reimbursable civilian full-time equivalent employment .....	1	1	.....

**NATIONAL AGRICULTURAL STATISTICS SERVICE**

*Federal Funds*

NATIONAL AGRICULTURAL STATISTICS SERVICE

*For necessary expenses of the National Agricultural Statistics Service, \$163,000,000, of which up to \$45,300,000 shall be available until expended for the Census of Agriculture: Provided, That amounts made available for the Census of Agriculture may be used to conduct Current Agricultural Industrial Report surveys subject to 7 U.S.C. 2204g(d) and (f).*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1801-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Agricultural estimates .....	119	119	109
0002 Statistical research and service .....	9	9	9
0003 Census of agriculture .....	69	64	45
0799 Total direct obligations .....	197	192	163
0801 National Agricultural Statistics Service (Reimbursable) .....	24	16	16
0900 Total new obligations, unexpired accounts .....	221	208	179
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	192	192	163
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	22	.....	.....
1701 Change in uncollected payments, Federal sources .....	2	16	23
1750 Spending auth from offsetting collections, disc (total) .....	24	16	23
1900 Budget authority (total) .....	216	208	186
1930 Total budgetary resources available .....	221	208	186
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	37	23
3010 New obligations, unexpired accounts .....	221	208	179
3020 Outlays (gross) .....	-213	-222	-189
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	37	23	13
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-18
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-16	-23
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2	-18	-41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	35	5
3200 Obligated balance, end of year .....	35	5	-28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	216	208	186
Outlays, gross:			
4010 Outlays from new discretionary authority .....	182	187	168
4011 Outlays from discretionary balances .....	31	35	21
4020 Outlays, gross (total) .....	213	222	189
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-22	-20	-23

4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-24	-22	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	-16	-23
4052 Offsetting collections credited to expired accounts .....	2	22	25
4060 Additional offsets against budget authority only (total) .....	.....	6	2
4070 Budget authority, net (discretionary) .....	192	192	163
4080 Outlays, net (discretionary) .....	189	200	164
4180 Budget authority, net (total) .....	192	192	163
4190 Outlays, net (total) .....	189	200	164

The National Agricultural Statistics Service (NASS) provides the official National and State estimates of acreage, yield, and production of crops, grain stocks, value and expenditures associated with farm commodities and inventory, values and expenditures of livestock items. Data on approximately 120 crops and 45 livestock products are covered in more than 450 reports issued each year. In addition, the Census of Agriculture, which is conducted every five years for years ending in 2 and 7, is an in-depth picture of America's agriculture and provides comprehensive data on the Nation's agricultural industry down to the county level. NASS' responsibilities are authorized under the Agricultural Marketing Act of 1946 (7 U.S.C 1621-1627) and the Census of Agriculture Act of 1997, Public Law 105-113 (7 U.S.C. 2204g(d)(f)).

*Agricultural Estimates.*—The Agricultural Estimates program is vital for producers, agricultural commodity markets in the U.S. and the world, policy makers in government and people involved in making planning, investment, price discovery mechanisms, and marketing decisions. Billions of dollars could be put at risk without these essential Agricultural Estimates statistical reports. Staff in 12 Regional offices and 33 State offices serving all 50 States conduct the work to produce these commodity estimates. Cooperative arrangements with State agencies provide additional State and county data. In order to support Administration priorities and improve efficiency, NASS has carefully completed a comprehensive review of existing programs to determine where reductions could be taken, evaluating with the following priorities: 1) Federal Principal Economic Indicator data; 2) data which directly impact commodity markets; 3) data necessary to implement the USDA programs which provide payments to farmers and are used to administer the farm safety net for producers; and 4) data for which there are no other publicly available sources of information. In 2018, NASS achieved several accomplishments: 1) published the regular schedule of Agricultural Estimates Federal Principal Economic Indicators; 2) Prices Paid Survey; and 3) Improvements Made in Data Collection.

*Census of Agriculture.*—The Census of Agriculture provides the only source of comparable and consistent detailed data about agriculture and helps to measure trends and new development in the agricultural sector of our Nation's economy. The Census of Agriculture is critical because it provides comprehensive data on the agriculture economy, land use, production expenses, value of land and buildings, farm size and characteristics of farm operators, market value of agricultural production sold, acreage of major crops, inventory of livestock and poultry, and farm irrigation practices. The 2020 Budget request includes a decrease to reflect the normal activity levels related to the cyclical nature of the 5-year Census of Agriculture program. In 2018, NASS achieved several accomplishments: 1) completed data collection for the FY 2017 Census of Agriculture; 2) published four Current Agricultural Industrial Reports; and 3) an upgrade to the Census of Agriculture website.

The 2020 total request is \$163 million for NASS, including \$117.7 million for Agricultural Estimates to: 1) conduct the essential Federal Principal Economic Indicator surveys; and 2) conduct other Core Integrated Surveys and Estimates to support USDA programs.

The 2020 NASS request includes \$45.3 million for the Census of Agriculture. NASS will: 1) conduct the Census of Horticulture Specialties; and 2) conduct the Organic Agriculture Survey.

Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey

work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b). NASS also provides technical consultation, support, and assistance for international programs under participating agency service agreements.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Object Classification (in millions of dollars)		2018 actual	2019 est.	2020 est.
Identification code 012–1801–0–1–352				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	75	75	74
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	77	77	76
12.1	Civilian personnel benefits .....	27	27	25
21.0	Travel and transportation of persons .....	2	2	1
22.0	Transportation of things .....	2	1	1
23.1	Rental payments to GSA .....	6	6	7
23.3	Communications, utilities, and miscellaneous charges .....	6	4	3
25.2	Other services from non-Federal sources .....	43	43	29
25.3	Other goods and services from Federal sources .....	27	24	16
25.7	Operation and maintenance of equipment .....	2	4	3
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....	3	3	1
99.0	Direct obligations .....	197	192	163
99.0	Reimbursable obligations .....	24	16	16
99.9	Total new obligations, unexpired accounts .....	221	208	179

Program and Financing (in millions of dollars)		2018 actual	2019 est.	2020 est.
Identification code 012–1400–0–1–352				
<b>Obligations by program activity:</b>				
0001	Product quality/value added .....	102	101	95
0002	Livestock production .....	94	94	91
0003	Crop production .....	242	242	238
0004	Food safety .....	101	112	109
0005	Livestock protection .....	71	95	101
0006	Crop production .....	193	202	187
0007	Human nutrition research .....	88	88	45
0008	Environmental stewardship .....	220	219	214
0009	National Agricultural Library .....	26	26	24
0010	Repair and maintenance of facilities .....	20	20	20
0012	Homeland security .....	44		
0013	National Bio-Agro Defense Facility .....		46	79
0014	Miscellaneous Fees/Supplementals .....		44	
0015	DHS Obligations .....		13	
0799	Total direct obligations .....	1,201	1,302	1,203
0881	Salaries and Expenses (Reimbursable) .....	150	150	150
0889	Reimbursable program activities, subtotal .....	150	150	150
0900	Total new obligations, unexpired accounts .....	1,351	1,452	1,353

Employment Summary		2018 actual	2019 est.	2020 est.
Identification code 012–1801–0–1–352				
1001	Direct civilian full-time equivalent employment .....	831	831	819
2001	Reimbursable civilian full-time equivalent employment .....	106	106	106

Budgetary resources:		2018 actual	2019 est.	2020 est.
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	44	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,203	1,245	1,203
1121	Appropriations transferred from other acct [070–0800] .....		13	
1160	Appropriation, discretionary (total) .....	1,203	1,258	1,203
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	80	150	150
1701	Change in uncollected payments, Federal sources .....	84		
1750	Spending auth from offsetting collections, disc (total) .....	164	150	150
1900	Budget authority (total) .....	1,367	1,408	1,353
1930	Total budgetary resources available .....	1,397	1,452	1,353
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	–2		
1941	Unexpired unobligated balance, end of year .....	44		

**AGRICULTURAL RESEARCH SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Agricultural Research Service and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, \$1,203,491,000, of which \$13,100,000, to remain available until expended, shall be used for the transition of research and equipment purchases for the National Bio- and Agro-defense Facility located in Manhattan, Kansas: Provided, That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: Provided further, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed \$500,000, except for greenhouses or greenhouses which shall each be limited to \$1,500,000, except for 10 buildings to be constructed or improved at a cost not to exceed \$1,100,000 each, and except for two buildings to be constructed at a cost not to exceed \$3,000,000 each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or \$500,000, whichever is greater: Provided further, That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: Provided further, That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center: Provided further, That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): Provided further, That appropriations hereunder shall be available for granting easements at any Agricultural Research Service location for the construction of a research facility by a non-Federal entity for use by, and acceptable to, the Agricultural Research Service and a condition of the easements shall be that upon completion the facility shall be accepted by the Secretary, subject to the availability of funds herein, if the Secretary finds that acceptance of the facility is in the interest of the United States: Provided further, That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law.

Change in obligated balance:		2018 actual	2019 est.	2020 est.
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	479	543	636
3010	New obligations, unexpired accounts .....	1,351	1,452	1,353
3011	Obligations ("upward adjustments"), expired accounts .....	12		
3020	Outlays (gross) .....	–1,278	–1,359	–1,587
3041	Recoveries of prior year unpaid obligations, expired .....	–21		
3050	Unpaid obligations, end of year .....	543	636	402
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–141	–126	–126
3070	Change in uncollected pymts, Fed sources, unexpired .....	–84		
3071	Change in uncollected pymts, Fed sources, expired .....	99		
3090	Uncollected pymts, Fed sources, end of year .....	–126	–126	–126
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	338	417	510
3200	Obligated balance, end of year .....	417	510	276

Budget authority and outlays, net:		2018 actual	2019 est.	2020 est.
Discretionary:				
4000	Budget authority, gross .....	1,367	1,408	1,353
Outlays, gross:				
4010	Outlays from new discretionary authority .....	931	1,066	1,022
4011	Outlays from discretionary balances .....	347	293	565
4020	Outlays, gross (total) .....	1,278	1,359	1,587
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–135	–90	–90
4033	Non-Federal sources .....	–42	–60	–60
4040	Offsets against gross budget authority and outlays (total) ....	–177	–150	–150
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–84		
4052	Offsetting collections credited to expired accounts .....	97		

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 012-1400-0-1-352	2018 actual	2019 est.	2020 est.
4060 Additional offsets against budget authority only (total) .....	13		
4070 Budget authority, net (discretionary) .....	1,203	1,258	1,203
4080 Outlays, net (discretionary) .....	1,101	1,209	1,437
4180 Budget authority, net (total) .....	1,203	1,258	1,203
4190 Outlays, net (total) .....	1,101	1,209	1,437

The Agricultural Research Service (ARS) is the principal in-house research agency of the U.S. Department of Agriculture (USDA). ARS conducts scientific research to develop and transfer solutions to agricultural problems of high national priority and to provide information access and dissemination to: ensure high-quality, safe food, and other agricultural products; assess the nutritional needs of Americans; sustain a competitive agricultural economy; enhance the natural resource base and the environment; and provide economic opportunities for rural citizens, communities, and society as a whole. This mission is carried out through ARS' major research program areas: New Products/Product Quality/Value Added; Environmental Stewardship; Livestock/Crop Production; Livestock/Crop Protection; Food Safety; and Human Nutrition.

The 2020 Salaries and Expenses budget for ARS requests \$1.203 billion, which supports ongoing intramural research conducted by ARS. The Budget also requests \$66 million within this account for costs to operate the National Bio and Agro-Defense Facility (NBAF). Once construction is complete, USDA would operate the NBAF and use the facility to study diseases that threaten the animal agricultural industry and public health. The Budget also includes proposed terminations of research projects.

Object Classification (in millions of dollars)

Identification code 012-1400-0-1-352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	450	450	454
11.3 Other than full-time permanent .....	15	15	15
11.5 Other personnel compensation .....	10	10	10
11.9 Total personnel compensation .....	475	475	479
12.1 Civilian personnel benefits .....	169	169	171
21.0 Travel and transportation of persons .....	11	14	14
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	5	5	5
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	42	53	53
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	24	30	30
25.3 Other goods and services from Federal sources .....	5	6	6
25.4 Operation and maintenance of facilities .....	53	66	66
25.5 Research and development contracts .....	244	269	164
25.7 Operation and maintenance of equipment .....	16	19	19
26.0 Supplies and materials .....	76	95	95
31.0 Equipment .....	48	60	60
32.0 Land and structures .....	8	10	10
41.0 Grants, subsidies, and contributions .....	22	27	27
99.0 Direct obligations .....	1,201	1,302	1,203
99.0 Reimbursable obligations .....	150	150	150
99.9 Total new obligations, unexpired accounts .....	1,351	1,452	1,353

Employment Summary

Identification code 012-1400-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,572	5,596	5,656
2001 Reimbursable civilian full-time equivalent employment .....	473	473	473

BUILDINGS AND FACILITIES

For the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the ag-

ricultural research programs of the Department of Agriculture, except as otherwise provided, \$50,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1401-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Building and facilities projects .....	28	180	130
0900 Total new obligations, unexpired accounts (object class 32.0) .....	28	180	130
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	201	336	297
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	163	141	50
1930 Total budgetary resources available .....	364	477	347
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	336	297	217
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	145	150	272
3010 New obligations, unexpired accounts .....	28	180	130
3020 Outlays (gross) .....	-23	-58	-147
3050 Unpaid obligations, end of year .....	150	272	255
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	145	150	272
3200 Obligated balance, end of year .....	150	272	255
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	163	141	50
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		44	16
4011 Outlays from discretionary balances .....	23	14	131
4020 Outlays, gross (total) .....	23	58	147
4180 Budget authority, net (total) .....	163	141	50
4190 Outlays, net (total) .....	23	58	147

This account provides funds for the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Agricultural Research Service.

ARS operates an extensive network of federally-owned research facilities strategically located throughout the United States, reflective of the wide geographic diversity and site specificity of agricultural production and distinct climatic and agroecosystem zones. The agency completed a review of its laboratory portfolio in 2012 and developed a plan for future capital investment that would be required to maintain this aging infrastructure. The resulting "Capital Investment Strategy" recommended modernization of selected facilities. The 2020 Budget request includes funding in the amount of \$50 million for capital improvements.

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8214-0-7-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits of Miscellaneous Contributed Funds, Science and Education Administration .....	22	22	22
2000 Total: Balances and receipts .....	22	22	22
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Contributed Funds .....	-22	-22	-22
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 012-8214-0-7-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous contributed funds .....	23	23	23
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	30	30	29
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	31	30	29
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	22	22	22
1930 Total budgetary resources available .....	53	52	51
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	29	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	4
3010 New obligations, unexpired accounts .....	23	23	23
3020 Outlays (gross) .....	-24	-22	-22
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	4
3200 Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	22	22	22
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	15	15
4101 Outlays from mandatory balances .....	15	7	7
4110 Outlays, gross (total) .....	24	22	22
4180 Budget authority, net (total) .....	22	22	22
4190 Outlays, net (total) .....	24	22	22

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

**Object Classification** (in millions of dollars)

Identification code 012-8214-0-7-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	7	7	7
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.5 Research and development contracts .....	5	5	5
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	23	23	23

**Employment Summary**

Identification code 012-8214-0-7-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	97	97	97

**NATIONAL INSTITUTE OF FOOD AND AGRICULTURE**  
*Federal Funds*

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, for payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American

Samoa for cooperative extension activities, for integrated activities, for research, education, and extension grant programs, including necessary administrative expenses, and for other expenses, \$1,391,686,000: Provided, That \$582,914,000, to remain available until expended, shall be available for research grants for 1994 institutions, education grants for 1890 institutions, the agriculture and food research initiative, competitive facility modernization and fixed equipment at LGUs, veterinary medicine loan repayment, and grants management systems: Provided further, That \$9,500,000, to remain available until expended, shall be available for relocation expenses and for the alteration and repair of leased buildings and improvements pursuant to 7 U.S.C. 2250: Provided further, That each institution eligible to receive funds under the Evans-Allen program shall receive not less than \$1,000,000: Provided further, That \$5,000,000, to remain available until September 30, 2021, shall be available to provide competitive grants for food and agricultural sciences at Alaska, Hawaii, and Insular area institutions: Provided further, That funds for education grants for 1890 institutions shall be made available to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222: Provided further, That not more than 5 percent of the amounts made available by this or any other Act to carry out the Agriculture and Food Research Initiative under 7 U.S.C. 3157 may be retained by the Secretary of Agriculture to pay administrative costs incurred by the Secretary in carrying out that authority: Provided further, That institutions eligible to receive funds under 7 U.S.C. 3221 for cooperative extension shall receive not less than \$1,000,000: Provided further, That funds for cooperative extension under sections 3(b) and (c) of the Smith-Lever Act (7 U.S.C. 343(b) and (c)) and section 208(c) of Public Law 93-471 shall be available for retirement and employees' compensation costs for extension agents.

For the Native American Institutions Endowment Funds authorized by Public Law 103-382 (7 U.S.C. 30 note), \$11,857,000, to remain available until expended.

**Program and Financing** (in millions of dollars)

Identification code 012-0520-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Hatch Act .....			243
0002 Cooperative Forestry Research .....			29
0003 Payments to 1890 Colleges and Tuskegee University and West Virginia .....			54
0004 Special Research Grants .....			21
0005 Agriculture Food and Research Initiative .....			500
0007 Federal Administration .....			37
0008 Higher Education .....			37
0010 Veterinary Medical Loan Repayment .....			5
0016 Competitive Facility Modernization at LGUs .....			50
0017 Smith-Lever Act 3(b) and 3(c) .....			299
0019 Expanded Food and Nutrition Education Program (EFNEP) .....			55
0021 Federally Recognized Tribes Extension Program .....			3
0022 1890's and Tuskegee .....			47
0026 Extension Services to 1994 Institutions .....			4
0027 Rural Health and Safety Education .....			2
0028 Risk Management Education .....			10
0031 Beginning Farmers and Ranchers Program .....			15
0032 Food Safety Outreach Program .....			4
0033 Gus Schumer Nutrition Incentive Program .....			48
0040 Emergency Citrus Research and Extension Program .....			25
0041 Specialty Crop Research Initiative .....			80
0042 Regional Rural Development Centers .....			2
0044 Organic Research and Extension Initiative .....			20
0799 Total direct obligations .....			1,590
0801 Reimbursable program activity .....			34
0809 Reimbursable program activities, subtotal .....			34
0900 Total new obligations, unexpired accounts .....			1,624
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,392
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4085] ....			10
1221 Appropriations transferred from other acct [012-4336] ....			188
1260 Appropriations, mandatory (total) .....			198
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			34
1900 Budget authority (total) .....			1,624
1930 Total budgetary resources available .....			1,624
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,624

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE—Continued  
**Program and Financing—Continued**

Identification code 012-0520-0-1-999	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....			-582
3050 Unpaid obligations, end of year .....			1,042
3200 Memorandum (non-add) entries:			
Obligated balance, end of year .....			1,042
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,426
Outlays, gross:			
4010 Outlays from new discretionary authority .....			563
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-34
Mandatory:			
4090 Budget authority, gross .....			198
Outlays, gross:			
4100 Outlays from new mandatory authority .....			19
4180 Budget authority, net (total) .....			1,590
4190 Outlays, net (total) .....			548

The National Institute of Food and Agriculture (NIFA) participates in a nationwide system of agricultural research and education program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The agency administers grants and payments to State institutions to leverage State and local funding for agricultural research and higher education.

NIFA funds activities under the Hatch Act, cooperative forestry research, payments to 1890 institutions for research, Agriculture and Food Research Initiative Competitive Grants, Competitive Facility Grants at Land Grant Universities (1862, 1890, and 1994), the Cooperative Extension System, Smith-Lever 3(b) and 3(c) formula funds and 3(d) program funds, and other extension programs.

**Object Classification** (in millions of dollars)

Identification code 012-0520-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			38
12.1 Civilian personnel benefits .....			13
21.0 Travel and transportation of persons .....			3
23.1 Rental payments to GSA .....			6
23.3 Communications, utilities, and miscellaneous charges .....			2
25.1 Advisory and assistance services .....			14
25.3 Other goods and services from Federal sources .....			1
25.4 Operation and maintenance of facilities .....			4
25.5 Research and development contracts .....			23
25.8 Subsistence and support of persons .....			2
41.0 Grants, subsidies, and contributions .....			1,484
99.0 Direct obligations .....			1,590
99.0 Reimbursable obligations .....			34
99.9 Total new obligations, unexpired accounts .....			1,624

**Employment Summary**

Identification code 012-0520-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			345

**INTEGRATED ACTIVITIES**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1502-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0050 Crop Protection/Pest Management .....	20	20	
0070 Methyl bromide transition program .....	2	2	
0071 Homeland Security .....	8	8	
0080 Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative .....		10	
0085 Emergency Citrus Research and Extension Program .....	23	48	
0086 Specialty Crop Research Initiative .....	51	75	
0087 Regional Rural development centers .....	2	2	
0088 Organic transition .....	5	5	
0089 Organic Research and Extension Initiative .....	19	20	
0900 Total new obligations, unexpired accounts .....	130	190	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	23	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	37	
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	100	135	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-7	-5	
1260 Appropriations, mandatory (total) .....	93	130	
1900 Budget authority (total) .....	130	167	
1930 Total budgetary resources available .....	153	190	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	288	314	385
3010 New obligations, unexpired accounts .....	130	190	
3020 Outlays (gross) .....	-103	-119	-144
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	314	385	241
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	288	314	385
3200 Obligated balance, end of year .....	314	385	241
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	37	37	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	
4011 Outlays from discretionary balances .....	30	41	43
4020 Outlays, gross (total) .....	31	43	43
Mandatory:			
4090 Budget authority, gross .....	93	130	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	6	
4101 Outlays from mandatory balances .....	69	70	101
4110 Outlays, gross (total) .....	72	76	101
4180 Budget authority, net (total) .....	130	167	
4190 Outlays, net (total) .....	103	119	144

Other programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

**Object Classification** (in millions of dollars)

Identification code 012-1502-0-1-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
12.1 Civilian personnel benefits .....	1	1	
21.0 Travel and transportation of persons .....	1	1	
25.1 Advisory and assistance services .....	1	2	
25.5 Research and development contracts .....	3	3	
41.0 Grants, subsidies, and contributions .....	124	183	
99.9 Total new obligations, unexpired accounts .....	130	190	

**Employment Summary**

Identification code 012–1502–0–1–352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5	5	.....

**BIOMASS RESEARCH AND DEVELOPMENT**

**Program and Financing** (in millions of dollars)

Identification code 012–1003–0–1–271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Biomass research and development .....	7	4	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	4	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	4	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	11	4	.....
1930 Total budgetary resources available .....	11	4	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	8	8
3010 New obligations, unexpired accounts .....	7	4	.....
3020 Outlays (gross) .....	-3	-4	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	8	8	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	8	8
3200 Obligated balance, end of year .....	8	8	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	4	4
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	3	4	4

Biomass Research and Development is authorized by the Biomass Research and Development Act of 2000. The program provides competitive grants for research, development, and demonstration to encourage innovation and development related to biomass, and improved commercialization of biobased products and energy. USDA and the Department of Energy jointly administer the program. In 2020, there is no mandatory funding for the program.

**RESEARCH AND EDUCATION ACTIVITIES**

**NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012–1500–0–1–352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	213	225	237
Receipts:			
Current law:			
1140 Earnings on Investments, Native American Institutions Endowment Fund .....	5	5	5
2000 Total: Balances and receipts .....	218	230	242
Appropriations:			
Current law:			
2101 Research and Education Activities .....	-5	-5	-5
2134 Research and Education Activities .....	12	12	12

2199 Total current law appropriations .....	7	7	7
2999 Total appropriations .....	7	7	7
5099 Balance, end of year .....	225	237	249

**Program and Financing** (in millions of dollars)

Identification code 012–1500–0–1–352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments under the Hatch Act .....	244	243	.....
0002 Cooperative forestry research .....	34	29	.....
0003 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University .....	54	54	.....
0004 Special Grants .....	58	63	.....
0005 Agriculture Food and Research Initiative .....	395	889	.....
0006 Animal health and disease research .....	4	4	.....
0007 Federal Administration .....	20	26	.....
0008 Higher education .....	46	72	.....
0009 Native American Institutions Endowment Fund .....	4	5	5
0012 Veterinary Medical Services Act .....	6	15	.....
0013 Veterinary Services Grant Program .....	3	3	.....
0015 Sun Grant Program .....	3	3	.....
0016 Farm Business Management and Benchmarking .....	2	2	.....
0021 Alfalfa Forage and Research Program .....	2	2	.....
0022 Capacity Building for Non-Land Grant Colleges of Agriculture .....	10	5	.....
0799 Total direct obligations .....	885	1,415	5
0801 Research and Education Activities (Reimbursable) .....	11	12	.....
0900 Total new obligations, unexpired accounts .....	896	1,427	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	493	517	2
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	493	517	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1050 Unobligated balance (total) .....	504	517	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	905	905	12
1101 Appropriation (Native American Endowment Interest) .....	5	5	5
1134 Portion precluded from obligation (-) (N.A. Endowment Fund) .....	-12	-12	-12
1160 Appropriation, discretionary (total) .....	898	898	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	2	.....
1701 Change in uncollected payments, Federal sources .....	11	12	.....
1750 Spending auth from offsetting collections, disc (total) .....	11	14	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	.....	.....
1900 Budget authority (total) .....	910	912	5
1930 Total budgetary resources available .....	1,414	1,429	7
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	517	2	2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,064	1,192	1,645
3010 New obligations, unexpired accounts .....	896	1,427	5
3020 Outlays (gross) .....	-754	-974	-591
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	1,192	1,645	1,059
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-21	-33
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11	-12	.....
3071 Change in uncollected pymts, Fed sources, expired .....	10	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-21	-33	-33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,044	1,171	1,612
3200 Obligated balance, end of year .....	1,171	1,612	1,026

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	909	912	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	155	474	3
4011 Outlays from discretionary balances .....	598	500	588
4020 Outlays, gross (total) .....	753	974	591

RESEARCH AND EDUCATION ACTIVITIES—Continued  
Program and Financing—Continued

Identification code 012–1500–0–1–352	2018 actual	2019 est.	2020 est.
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–11	–11	.....
4033 Non-Federal sources .....	.....	–3	.....
4040 Offsets against gross budget authority and outlays (total) ....	–11	–14	.....
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–11	–12	.....
4052 Offsetting collections credited to expired accounts .....	11	12	.....
4070 Budget authority, net (discretionary) .....	898	898	5
4080 Outlays, net (discretionary) .....	742	960	591
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	.....	.....
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	1	.....	.....
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	–1	.....	.....
4180 Budget authority, net (total) .....	898	898	5
4190 Outlays, net (total) .....	742	960	591
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	210	221	233
5001 Total investments, EOY: Federal securities: Par value .....	221	233	245
5096 Unexpired unavailable balance, SOY: Appropriations .....	.....	46	46
5098 Unexpired unavailable balance, EOY: Appropriations .....	.....	68	68

Object Classification (in millions of dollars)

Identification code 012–1500–0–1–352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	25	22	.....
12.1 Civilian personnel benefits .....	6	7	.....
21.0 Travel and transportation of persons .....	1	3	.....
23.1 Rental payments to GSA .....	6	6	.....
23.3 Communications, utilities, and miscellaneous charges .....	2	2	.....
25.1 Advisory and assistance services .....	8	11	.....
25.2 Other services from non-Federal sources .....	1	.....	.....
25.3 Other goods and services from Federal sources .....	.....	1	.....
25.4 Operation and maintenance of facilities .....	2	3	.....
25.5 Research and development contracts .....	8	20	.....
41.0 Grants, subsidies, and contributions .....	826	1,340	5
99.0 Direct obligations .....	885	1,415	5
99.0 Reimbursable obligations .....	11	12	.....
99.9 Total new obligations, unexpired accounts .....	896	1,427	5

Employment Summary

Identification code 012–1500–0–1–352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	211	216	.....

BUILDINGS AND FACILITIES

Program and Financing (in millions of dollars)

Identification code 012–1501–0–1–352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Buildings and Facilities .....	1	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	.....	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
1930 Total budgetary resources available .....	2	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3010 New obligations, unexpired accounts .....	1	.....	.....

3020 Outlays (gross) .....	–1	–1	.....
3050 Unpaid obligations, end of year .....	1	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	.....
3200 Obligated balance, end of year .....	1	.....	.....

Budget authority and outlays, net:

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1	1	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	1	.....

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research, extension, and teaching programs. No funding has been appropriated to this account since 1997.

EXTENSION ACTIVITIES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–0502–0–1–352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Smith-Lever Act, 3(b) and 3(c) .....	300	300	.....
0002 Youth at risk .....	8	8	.....
0004 Expanded food and nutrition education program (EFNEP) .....	68	68	.....
0006 Farm Safety .....	5	5	.....
0009 Federally Recognized Tribes Extension Program .....	3	3	.....
0013 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University .....	46	46	.....
0015 Renewable resources extension act .....	4	4	.....
0016 Federal administration .....	8	8	.....
0019 1890 facilities (section 1447) .....	35	40	.....
0022 1994 institutions activities .....	6	6	.....
0024 Rural health and safety education .....	3	3	.....
0026 Risk management education .....	4	9	.....
0027 New technologies for ag. extension .....	2	2	.....
0030 Food Animal Residue Avoidance Database .....	3	3	.....
0031 Beginning Farmers and Ranchers Program .....	19	17	.....
0032 Food Safety Outreach Program .....	7	7	.....
0033 Food Insecurity Nutrition Incentive Program .....	23	.....	.....
0034 Enhancing Agricultural Opportunities for Military Veterans .....	5	10	.....
0035 Food and Ag Service Learning .....	1	1	.....
0036 The Gus Schamuer Nutrition Incentive Program .....	.....	45	.....
0799 Total direct obligations .....	550	585	.....
0801 Extension Activities (Reimbursable) .....	23	22	.....
0900 Total new obligations, unexpired accounts .....	573	607	.....

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	39	34	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	39	34	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	48	34	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	489	489	.....
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [012–4085] ....	5	10	.....
1221 Appropriations transferred from other acct [012–4336] ....	45	60	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–3	–1	.....
1260 Appropriations, mandatory (total) .....	47	69	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	5	16	.....
1701 Change in uncollected payments, Federal sources .....	18	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	23	16	.....
1900 Budget authority (total) .....	559	574	.....
1930 Total budgetary resources available .....	607	608	1

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	34	1 1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	749	795 780
3010	New obligations, unexpired accounts .....	573	607
3011	Obligations ("upward adjustments"), expired accounts .....	2	
3020	Outlays (gross) .....	-514	-622 -354
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	
3041	Recoveries of prior year unpaid obligations, expired .....	-6	
3050	Unpaid obligations, end of year .....	795	780 426
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-34	-28 -28
3070	Change in uncollected pymts, Fed sources, unexpired .....	-18	
3071	Change in uncollected pymts, Fed sources, expired .....	24	
3090	Uncollected pymts, Fed sources, end of year .....	-28	-28 -28
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	715	767 752
3200	Obligated balance, end of year .....	767	752 398
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	512	505
Outlays, gross:			
4010	Outlays from new discretionary authority .....	115	309
4011	Outlays from discretionary balances .....	358	257 298
4020	Outlays, gross (total) .....	473	566 298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-24	-16
4033	Non-Federal sources .....	-4	
4040	Offsets against gross budget authority and outlays (total) .....	-28	-16
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-18	
4052	Offsetting collections credited to expired accounts .....	23	
4060	Additional offsets against budget authority only (total) .....	5	
4070	Budget authority, net (discretionary) .....	489	489
4080	Outlays, net (discretionary) .....	445	550 298
Mandatory:			
4090	Budget authority, gross .....	47	69
Outlays, gross:			
4100	Outlays from new mandatory authority .....	3	11
4101	Outlays from mandatory balances .....	38	45 56
4110	Outlays, gross (total) .....	41	56 56
4180	Budget authority, net (total) .....	536	558
4190	Outlays, net (total) .....	486	606 354

Other programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

**Object Classification** (in millions of dollars)

Identification code 012-0502-0-1-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	9	14
12.1	Civilian personnel benefits .....	3	5
21.0	Travel and transportation of persons .....	1	2
23.3	Communications, utilities, and miscellaneous charges .....	5	1
25.1	Advisory and assistance services .....	3	7
25.4	Operation and maintenance of facilities .....		2
25.5	Research and development contracts .....	4	12
41.0	Grants, subsidies, and contributions .....	525	542
99.0	Direct obligations .....	550	585
99.0	Reimbursable obligations .....	23	22
99.9	Total new obligations, unexpired accounts .....	573	607

**Employment Summary**

Identification code 012-0502-0-1-352	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	134	137

**ANIMAL AND PLANT HEALTH INSPECTION SERVICE**

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Animal and Plant Health Inspection Service, including up to \$30,000 for representation allowances and for expenses pursuant to the Foreign Service Act of 1980 (22 U.S.C. 4085), \$981,893,000; of which \$470,000, to remain available until expended, shall be available for the control of outbreaks of insects, plant diseases, animal diseases and for control of pest animals and birds ("contingency fund") to the extent necessary to meet emergency conditions; of which \$7,000,000, to remain available until expended, shall be used for the cotton pests program, including cost share purposes or for debt retirement for active eradication zones; of which \$44,857,000, to remain available until expended, shall be for Animal Health Technical Services; of which \$705,000 shall be for activities under the authority of the Horse Protection Act of 1970, as amended (15 U.S.C. 1831); of which \$62,840,000, to remain available until expended, shall be used to support avian health; of which \$4,251,000, to remain available until expended, shall be for information technology infrastructure; of which \$176,843,000, to remain available until expended, shall be for specialty crop pests; of which, \$7,809,000, to remain available until expended, shall be for field crop and rangeland ecosystem pests; of which \$15,744,000, to remain available until expended, shall be for zoonotic disease management; of which \$40,966,000, to remain available until expended, shall be for emergency preparedness and response; of which \$56,000,000, to remain available until expended, shall be for tree and wood pests; of which \$5,725,000, to remain available until expended, shall be for the National Veterinary Stockpile; of which up to \$1,500,000, to remain available until expended, shall be for the scrapie program for indemnities; of which \$2,500,000, to remain available until expended, shall be for the wildlife damage management program for aviation safety; of which \$17,800,000, to remain available until expended, shall be used for the science program and transition activities for the National Bio and Agro-Defense facility located in Manhattan, Kansas: Provided, That of amounts available under this heading for wildlife services methods development, \$1,000,000 shall remain available until expended: Provided further, That of amounts available under this heading for the screwworm program, \$4,990,000 shall remain available until expended: Provided further, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: Provided further, That this appropriation shall be available for the operation and maintenance of aircraft and the purchase of not to exceed five, of which two shall be for replacement only: Provided further, That in addition, in emergencies which threaten any segment of the agricultural production industry of this country, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with sections 10411 and 10417 of the Animal Health Protection Act (7 U.S.C. 8310 and 8316) and sections 431 and 442 of the Plant Protection Act (7 U.S.C. 7751 and 7772), and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: Provided further, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year 2020, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be reimbursed to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-1600-0-1-352	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	52	51 48

SALARIES AND EXPENSES—Continued  
Special and Trust Fund Receipts—Continued

Identification code 012-1600-0-1-352	2018 actual	2019 est.	2020 est.
<b>Receipts:</b>			
Current law:			
1110 1990 Food, Agricultural Quarantine Inspection Fees .....	795	795	795
2000 Total: Balances and receipts .....	847	846	843
<b>Appropriations:</b>			
Current law:			
2101 Salaries and Expenses .....	-795	-795	-795
2103 Salaries and Expenses .....	-51	-50	-47
2132 Salaries and Expenses .....	50	47	.....
2199 Total current law appropriations .....	-796	-798	-842
2999 Total appropriations .....	-796	-798	-842
5099 Balance, end of year .....	51	48	1

Program and Financing (in millions of dollars)

Identification code 012-1600-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Animal Health .....	323	323	333
0002 Plant Health .....	330	337	333
0003 Wildlife Services .....	126	128	129
0004 Regulatory Management .....	35	35	35
0005 Emergency Management .....	41	43	44
0006 Safe Trade and International Technical Assistance .....	38	38	38
0007 Animal Welfare .....	32	32	32
0008 Agency-Wide Programs .....	51	53	53
0010 Emergency Program Funding .....	17	10	.....
0011 Agricultural Quarantine Inspection User Fees .....	236	236	236
0013 Citrus Greening - GP 757 .....	5	8	.....
0014 2014 Farm Bill, Section 10007 .....	66	70	75
0015 Refunds for Equipment Sold .....	2	.....	.....
0016 2018 Farm Bill, Section 2408 & Section 12101 .....	.....	26	39
0100 Total direct program .....	1,302	1,339	1,347
0799 Total direct obligations .....	1,302	1,339	1,347
0801 Salaries and Expenses (Reimbursable) .....	189	189	190
0900 Total new obligations, unexpired accounts .....	1,491	1,528	1,537
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	521	580	723
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	402	436	.....
1021 Recoveries of prior year unpaid obligations .....	37	.....	.....
1050 Unobligated balance (total) .....	558	580	723
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	989	989	982
1120 Appropriations transferred to other acct [012-4609] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	988	989	982
Appropriations, mandatory:			
1201 Appropriation (AQI User Fees) .....	795	795	795
1203 Appropriation (previously unavailable) .....	51	50	47
1220 Appropriations transferred to other accts [070-0530] .....	-539	-539	-539
1221 Appropriations transferred from other acct [012-4336] .....	75	233	75
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5	-5	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-50	-47	.....
1260 Appropriations, mandatory (total) .....	327	487	378
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	195	195	195
1700 Collected [AQI fee] .....	.....	.....	31
1701 Change in uncollected payments, Federal sources .....	12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	207	195	226
1900 Budget authority (total) .....	1,522	1,671	1,586
1930 Total budgetary resources available .....	2,080	2,251	2,309
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9	.....	.....
1941 Unexpired unobligated balance, end of year .....	580	723	772

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	446	471	191
3010 New obligations, unexpired accounts .....	1,491	1,528	1,537

3011 Obligations ("upward adjustments"), expired accounts .....	10	.....	.....
3020 Outlays (gross) .....	-1,422	-1,808	-1,572
3040 Recoveries of prior year unpaid obligations, unexpired .....	-37	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-17	.....	.....
3050 Unpaid obligations, end of year .....	471	191	156
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-236	-220	-220
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	28	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-220	-220	-220
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	210	251	-29
3200 Obligated balance, end of year .....	251	-29	-64

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,195	1,184	1,208
Outlays, gross:			
4010 Outlays from new discretionary authority .....	838	1,036	1,061
4011 Outlays from discretionary balances .....	310	343	148
4020 Outlays, gross (total) .....	1,148	1,379	1,209
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-64	-64	-64
4033 Non-Federal sources .....	-150	-131	-162
4040 Offsets against gross budget authority and outlays (total) .....	-214	-195	-226
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
4052 Offsetting collections credited to expired accounts .....	19	.....	.....
4060 Additional offsets against budget authority only (total) .....	7	.....	.....
4070 Budget authority, net (discretionary) .....	988	989	982
4080 Outlays, net (discretionary) .....	934	1,184	983
<b>Mandatory:</b>			
4090 Budget authority, gross .....	327	487	378
Outlays, gross:			
4100 Outlays from new mandatory authority .....	189	325	269
4101 Outlays from mandatory balances .....	85	104	94
4110 Outlays, gross (total) .....	274	429	363
4180 Budget authority, net (total) .....	1,315	1,476	1,360
4190 Outlays, net (total) .....	1,208	1,613	1,346

The Secretary of Agriculture established the Animal and Plant Health Inspection Service (APHIS) on April 2, 1972, under the authority of Reorganization Plan No. 2 of 1953 and other authorities. The mission of the Agency is to safeguard the health, welfare, and value of American agricultural and natural resources that are vulnerable to pests, diseases, predation, natural disasters, or inhumane treatment. APHIS performs this important work using three major areas of activity, as follows:

*Safeguarding and Emergency Preparedness/Response.*—APHIS monitors animal and plant health throughout the world and uses the information to set effective agricultural import policies to prevent the introduction of foreign animal and plant pests and diseases. Should a pest or disease enter the United States, APHIS works cooperatively with Federal, State, Tribal and industry partners to rapidly diagnose them and determine if there is a need to establish new pest or disease management programs. APHIS, in conjunction with partners and stakeholders, protects American agriculture by eradicating harmful pests and diseases or, where eradication is not feasible, by minimizing their economic impact. The Agency monitors endemic pests and diseases through surveys and sampling to detect their locations and works with partners to implement controls and conduct outreach to prevent the spread of pests and diseases into non-infested parts of the country. The Agency maintains a cadre of trained professionals prepared to respond immediately to potential animal and plant health emergencies. Program personnel investigate reports of suspected presence of foreign and exotic pests and diseases and work with partners to determine an appropriate course of action, including emergency action if necessary. APHIS conducts diagnostic laboratory activities that support the Agency's animal disease and plant pest prevention, detection, control, and eradication programs. The Agency also provides and directs technology development to support animal and plant protection programs of the Agency and its co-operators at the State, Tribal, national, and international levels. APHIS

provides technical and some operational assistance to States, Tribes, and local entities to reduce wildlife damage to natural and agricultural resources. Finally, the Agency protects plant health by optimizing its oversight of genetically engineered organisms.

*Safe Trade and International Technical Assistance.*—Sanitary (animal) and phytosanitary (plant) (SPS) regulations can have a significant impact on market access for the United States as an exporter of agricultural products. The Agency participates in the development of international standards. APHIS also plays a central role in resolving technical trade issues to ensure the smooth and safe movement of agricultural commodities into and out of the United States. APHIS helps protect the United States from emerging animal and plant pests and diseases while meeting obligations under the World Trade Organization's SPS agreement by assisting developing countries in improving their protection systems. Finally, APHIS develops and implements programs designed to identify and reduce agricultural pest and disease threats while they are still outside of U.S. borders, to enhance safe agricultural trade, and to strengthen emergency response preparedness.

*Animal Welfare.*—The Agency conducts regulatory activities to ensure the humane care and treatment of animals, including horses, as required by the Animal Welfare Act of 1966 as amended (7 U.S.C. 2131–2159), and the Horse Protection Act of 1970 as amended (15 U.S.C. 1821–1831). These activities include inspecting certain establishments that handle animals intended for research, exhibition, and sale as pets, and monitoring of certain horse shows.

APHIS' 2020 budget request is \$982 million. The budget includes a request for additional resources to prepare for the transition of the Agency's foreign animal disease laboratory operations from Plum Island, New York, to the new state-of-the-art National Bio and Agro-Defense Facility (NBAF) in Manhattan, Kansas. The transition will take place over several years, beginning in earnest in 2020 and continuing until NBAF is online and fully operational in December 2022. In addition, the budget includes requests for additional resources to enhance the agency's national animal disease traceability efforts and reporting of animal diseases and agents, as well as to address invasive pests such as the spotted lanternfly. The budget request also proposes to reduce or eliminate Federal contributions toward animal and plant health program efforts. APHIS works as a partner with its cooperators at the State, local, and industry levels to achieve overall program goals; the Agency expects its cooperators will increase their contributions toward these efforts. The Agency also proposes several reductions as cost savings measures. In these instances, the programs will use the remaining resources to address the highest risks or program priority areas.

Additionally, the Administration proposes establishing a new discretionary user fee to recover the full costs of APHIS' inspections of passengers and cargo traveling to the continental United States from Hawaii and Puerto Rico to prevent the introduction of non-native agricultural pests and diseases into the mainland.

**Object Classification** (in millions of dollars)

Identification code 012–1600–0–1–352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	458	461	466
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	467	470	475
12.1 Civilian personnel benefits .....	168	167	168
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	36	37	38
22.0 Transportation of things .....	4	3	3
23.1 Rent, Communications, and Utilities .....	81	84	83
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	464	488	491
26.0 Supplies and materials .....	47	54	54
31.0 Equipment .....	26	27	27
42.0 Other insurance claims and indemnities .....	7	7	6
99.0 Direct obligations .....	1,302	1,339	1,347

99.0 Reimbursable obligations .....	189	189	190
99.9 Total new obligations, unexpired accounts .....	1,491	1,528	1,537

**Employment Summary**

Identification code 012–1600–0–1–352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,677	6,151	6,202
2001 Reimbursable civilian full-time equivalent employment .....	1,657	1,700	1,700

**BUILDINGS AND FACILITIES**

*For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 428a, \$2,709,000, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–1601–0–1–352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Buildings and facilities .....	9	4	45
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	43	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	52	46	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	43	42	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	9	5
3010 New obligations, unexpired accounts .....	9	4	45
3020 Outlays (gross) .....	–2	–8	–23
3050 Unpaid obligations, end of year .....	9	5	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	9	5
3200 Obligated balance, end of year .....	9	5	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	1
4011 Outlays from discretionary balances .....	2	7	22
4020 Outlays, gross (total) .....	2	8	23
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	2	8	23

The buildings and facilities account provides for plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, purchase of fixed equipment or facilities, and acquisition of land, as needed, for Animal and Plant Health Inspection Service (APHIS) operated facilities, which include animal quarantine stations, plant inspection stations, sterile insect rearing facilities, and laboratories.

For these activities, the 2020 budget request proposes \$2.7 million which includes funding to address the needs of several facilities.

**Object Classification** (in millions of dollars)

Identification code 012–1601–0–1–352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	.....	41
25.4 Operation and maintenance of facilities .....	4	4	4

BUILDINGS AND FACILITIES—Continued  
Object Classification—Continued

Identification code 012-1601-0-1-352	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....	9	4	45

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9971-0-7-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, APHIS .....	10	9	9
2000 Total: Balances and receipts .....	10	9	9
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds .....	-10	-9	-9
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 012-9971-0-7-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous trust funds .....	9	9	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	9	9
1930 Total budgetary resources available .....	18	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	9	9	9
3020 Outlays (gross) .....	-9	-9	-9
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	8	8
4101 Outlays from mandatory balances .....	4	1	1
4110 Outlays, gross (total) .....	9	9	9
4180 Budget authority, net (total) .....	10	9	9
4190 Outlays, net (total) .....	9	9	9

APHIS provides inspection and preclearance activities for growers, exporting associations and foreign government entities. Those benefiting from the service must deposit funds into this account in advance of the service. The Agency uses the funds to cover the costs associated with inspecting and preclearing certain fruits, vegetables, flower bulbs, and other products in foreign countries before they are shipped to the United States.

Object Classification (in millions of dollars)

Identification code 012-9971-0-7-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	2	2	2

25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	9	9	9

Employment Summary

Identification code 012-9971-0-7-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	35	50	50

FOOD SAFETY AND INSPECTION SERVICE

Federal Funds

FOOD SAFETY AND INSPECTION SERVICE

For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$50,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$1,045,320,000; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized by section 1327 of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 138f): Provided, That funds provided for the Public Health Data Communication Infrastructure system shall remain available until expended: Provided further, That no fewer than 148 full-time equivalent positions shall be employed during fiscal year 2020 for purposes dedicated solely to inspections and enforcement related to the Humane Methods of Slaughter Act: Provided further, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-3700-0-1-554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	1,058	1,065	1,045
0801 Salaries and Expenses (Reimbursable) .....	220	224	229
0900 Total new obligations, unexpired accounts .....	1,278	1,289	1,274
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	68	57	33
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	70	57	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,057	1,057	1,045
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	224	224	197
1701 Change in uncollected payments, Federal sources .....	-16	-16	1
1750 Spending auth from offsetting collections, disc (total) .....	208	208	198
1900 Budget authority (total) .....	1,265	1,265	1,243
1930 Total budgetary resources available .....	1,335	1,322	1,276
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	33	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	149	141	152
3010 New obligations, unexpired accounts .....	1,278	1,289	1,274
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-1,280	-1,278	-1,247
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	141	152	179
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-46	-29	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	16	16	-1
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-29	-13	-14

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	103	112	139
3200	Obligated balance, end of year .....	112	139	165
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,265	1,265	1,243
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,107	1,080	1,061
4011	Outlays from discretionary balances .....	173	198	186
4020	Outlays, gross (total) .....	1,280	1,278	1,247
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3	-1	-1
4033	Non-Federal sources .....	-222	-224	-197
4040	Offsets against gross budget authority and outlays (total) ....	-225	-225	-198
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	16	16	-1
4052	Offsetting collections credited to expired accounts .....	1	1	1
4060	Additional offsets against budget authority only (total) .....	17	17	.....
4070	Budget authority, net (discretionary) .....	1,057	1,057	1,045
4080	Outlays, net (discretionary) .....	1,055	1,053	1,049
4180	Budget authority, net (total) .....	1,057	1,057	1,045
4190	Outlays, net (total) .....	1,055	1,053	1,049
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	2	2	2
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	2

The primary objective of the Food Safety and Inspection Service (FSIS) is to ensure that meat, poultry, and egg products are safe, wholesome, unadulterated, and properly labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. In carrying out this mission, FSIS oversight responsibility covers a significant percentage of American spending on food. Providing adequate resources for Federal Food Safety agencies is a priority of the Administration. The 2020 Budget proposes \$1,045 billion for inspection of meat, poultry and egg products. With these funds, FSIS will fully support all Federal, in-plant and other frontline personnel and the Federal share of State inspection programs, and continue to improve its data infrastructure and modernize its scientific approach to food safety. In addition, the budget proposes a user fee, which will be charged to plants to support inspection programs for meat, poultry, and egg products.

**FEDERALLY FUNDED INSPECTION ACTIVITIES**

	2018 actual	2019 est.	2020 est.
<b>FEDERALLY INSPECTED ESTABLISHMENTS:</b>			
Slaughter only Establishments .....	6	6	6
Processing only Establishments .....	3,907	4,000	4,000
Combination Slaughter and Processing Establishments .....	1,100	1,100	1,100
Import Establishments .....	147	150	150
Egg Plants .....	74	80	80
Other Establishments .....	1,224	1,250	1,300
<b>FEDERALLY INSPECTED and PASSED PRODUCTION (millions of pounds):</b>			
Meat Slaughter .....	64,303	65,000	66,000
Poultry Slaughter .....	63,449	64,000	65,000
Egg Products .....	3,030	3,100	3,100
<b>IMPORT/EXPORT ACTIVITY (millions of pounds):</b>			
Meat and Poultry Imported .....	4,367	4,480	4,600
Meat and Poultry Exported .....	17,105	17,618	18,147
<b>STATES AND TERRITORIES with COOPERATIVE PROGRAMS:</b>			
Intrastate Inspection <sup>1</sup> (number of states) .....	27	27	27
Number of Slaughter and/or Processing Plants (excludes exempt plants) .....	1,455	1,465	1,475
Talmadge-Aiken Inspection (number of states) .....	9	9	9
Number of Talmadge-Aiken establishments <sup>2</sup> .....	350	350	350
<b>COMPLIANCE ACTIVITIES:</b>			
Investigations and Surveillance Activities: .....	23,883	24,400	24,900
Enforcement Actions Completed .....	1,398	1,525	1,550
<b>LABORATORY SAMPLING:</b>			
Microbiology (Samples Analyzed) .....	101,666	106,416	106,416
Microbiology (Tests Performed) .....	303,686	310,000	310,000

Microbiology (Analytes Analyzed) .....	765,148	750,000	750,000
Chemistry (Samples Analyzed) .....	17,148	17,952	17,952
Chemistry (Tests Performed) .....	53,518	58,865	58,865
Chemistry (Analytes Analyzed) .....	1,966,993	2,045,400	2,125,000
Pathology Samples (Samples Analyzed) .....	3,698	5,000	5,000
<b>CONSUMER EDUCATION and PUBLIC OUTREACH:</b>			
Meat and Poultry Hotline Calls Received .....	56,504	53,678	50,994
Website Visits .....	8,858,536	9,071,628	9,284,730
Electronic Messages Received .....	6,759	6,650	6,150
Publications Distributed .....	3,071,117	84,024	468,018
E-mail Alert Service Subscribers .....	356,396	429,461	502,526
<b>EPIDEMIOLOGICAL INVESTIGATIONS:</b>			
Cooperative Efforts with State and Public Health Offices .....	16	16	16
Illnesses Reported and Treated <sup>3</sup> .....	1,556	1,556	1,556

<sup>1</sup>States with cooperative agreements which are operating programs.  
<sup>2</sup>These establishments are included in the counts of Federally inspected establishments.  
<sup>3</sup>Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

**Object Classification (in millions of dollars)**

Identification code 012-3700-0-1-554	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	534	534	530
11.3 Other than full-time permanent .....	7	7	7
11.5 Other personnel compensation .....	52	52	51
11.9 Total personnel compensation .....	593	593	588
12.1 Civilian personnel benefits .....	245	250	234
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	35	36	36
22.0 Transportation of things .....	5	5	5
23.1 Rental payments to GSA .....	11	11	11
23.3 Communications, utilities, and miscellaneous charges .....	15	14	14
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	3	3	1
25.2 Other services from non-Federal sources .....	35	37	35
25.3 Other goods and services from Federal sources .....	39	39	39
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	6	6	6
41.0 Grants, subsidies, and contributions .....	54	54	59
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,058	1,065	1,045
99.0 Reimbursable obligations .....	220	224	229
99.9 Total new obligations, unexpired accounts .....	1,278	1,289	1,274

**Employment Summary**

Identification code 012-3700-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8,902	9,224	9,148
2001 Reimbursable civilian full-time equivalent employment .....	28	28	28

**Trust Funds**

**EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 012-8137-0-7-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits of Fees, Inspection and Grading of Farm Products, Food Safety and Quality Service .....	14	14	14
2000 Total: Balances and receipts .....	14	14	14
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Expenses and Refunds, Inspection and Grading of Farm Products .....	-14	-14	-14
5099 Balance, end of year .....	.....	.....	.....

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS—Continued

**Program and Financing** (in millions of dollars)

Identification code 012-8137-0-7-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Expenses and refunds, inspection and grading of farm products .....	14	14	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	14	14	14
1930 Total budgetary resources available .....	16	16	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	14	14	14
3020 Outlays (gross) .....	-14	-14	-14
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	14	14
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12	14	14
4101 Outlays from mandatory balances .....	2		
4110 Outlays, gross (total) .....	14	14	14
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	14	14	14

Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection is not required by statute, such as buffalo, rabbit, deer, and quail; and inspecting products intended for animal consumption.

**Object Classification** (in millions of dollars)

Identification code 012-8137-0-7-352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	10	10	10
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	14	14	14

**Employment Summary**

Identification code 012-8137-0-7-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	80	80	80

**AGRICULTURAL MARKETING SERVICE**

*Federal Funds*

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 012-2400-0-1-352	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	2	2
3020 Outlays (gross) .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		

3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	2	2
3200 Obligated balance, end of year .....	2	2	2

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5		

As a result of the USDA reorganization, the Grain Inspection, Packers and Stockyards Administration (GIPSA) will no longer exist as a standalone agency. The functions of the Federal Grain Inspection Service and the Packers and Stockyards Program will now be performed by the Agricultural Marketing Service (AMS) and displayed in the Marketing Services account. Funding for these functions has been transferred into AMS's Treasury Account Symbols.

**MARKETING SERVICES**

*For necessary expenses of the Agricultural Marketing Service, \$115,143,000: Provided, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building: Provided further, That up to \$4,454,000 may be used for United States Warehouse Act activities to supplement amounts made available by the United States Warehouse Act.*

*Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701).*

**LIMITATION ON ADMINISTRATIVE EXPENSES**

*Not to exceed \$60,982,000 (from fees collected) shall be obligated during the current fiscal year for administrative expenses: Provided, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-2500-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Market news service .....	34	34	28
0002 Inspection and standardization .....	7	8	7
0003 Market protection and promotion .....	67	38	34
0004 Transportation and market development .....	8	9	7
0005 National Bioengineered Food Disclosure Standard .....	1	1	1
0006 Packers and Stockyards .....	22	23	23
0007 Grain Regulatory .....	20	20	
0008 U.S. Warehouse Act .....	9	10	15
0009 International Food Procurement .....	8	9	
0091 Direct program activities, subtotal .....		152	115
0689 Mandatory Farm Bill obligations .....		43	36
0799 Total direct obligations .....	176	195	151
0801 Marketing Services (Reimbursable) .....	112	66	65
0900 Total new obligations, unexpired accounts .....	288	261	216
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	59	59
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	48	59	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	49	59	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	152	152	115
1120 Appropriations transferred to other acct [012-4609] .....	-1		
1160 Appropriation, discretionary (total) .....	151	152	115
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] .....	30	43	36

1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2		
1260	Appropriations, mandatory (total) .....	28	43	36
1700	Spending authority from offsetting collections, discretionary: Collected .....	107	66	65
1701	Change in uncollected payments, Federal sources .....	20		
1750	Spending auth from offsetting collections, disc (total) .....	127	66	65
1900	Budget authority (total) .....	306	261	216
1930	Total budgetary resources available .....	355	320	275
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	59	59	59
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	94	127	66
3010	New obligations, unexpired accounts .....	288	261	216
3011	Obligations ("upward adjustments"), expired accounts .....	1		26
3020	Outlays (gross) .....	-250	-322	-229
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	127	66	79
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-26	-26
3070	Change in uncollected pymts, Fed sources, unexpired .....	-20		
3071	Change in uncollected pymts, Fed sources, expired .....	9		
3090	Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	79	101	40
3200	Obligated balance, end of year .....	101	40	53
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	278	218	180
Outlays, gross:				
4010	Outlays from new discretionary authority .....	168	200	167
4011	Outlays from discretionary balances .....	62	79	20
4020	Outlays, gross (total) .....	230	279	187
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-51	-5	-4
4031	Interest on Federal securities .....	-1		
4033	Non-Federal sources .....	-63	-61	-61
4040	Offsets against gross budget authority and outlays (total) ...	-115	-66	-65
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-20		
4052	Offsetting collections credited to expired accounts .....	8		
4060	Additional offsets against budget authority only (total) .....	-12		
4070	Budget authority, net (discretionary) .....	151	152	115
4080	Outlays, net (discretionary) .....	115	213	122
Mandatory:				
4090	Budget authority, gross .....	28	43	36
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	18	26
4101	Outlays from mandatory balances .....	19	25	16
4110	Outlays, gross (total) .....	20	43	42
4180	Budget authority, net (total) .....	179	195	151
4190	Outlays, net (total) .....	135	256	164

The 2020 Budget requests about \$115 million for the Agricultural Marketing Service (AMS) Marketing Services account. The following Marketing Services activities assist producers and handlers of agricultural commodities by providing a variety of marketing-related services. These services continue to become more complex as the volume of agricultural commodities increases, as greater numbers of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming. The activities include:

**Market News Service.**—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

**National Bioengineered Food Disclosure Standard.**—Public Law 114–216 charges AMS with developing a national mandatory system for disclosing the presence of bioengineered material. This will increase consumers' confidence and understanding of the foods they buy, and avoid uncertainty for food companies and farmers.

**Inspection, Grading and Standardization.**—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better preparation of uniform quality products for market. Grading services are provided on request for cotton and tobacco. Inspections of egg handlers and hatcheries are conducted quarterly to ensure the proper disposition of shell eggs unfit for human consumption.

**MARKET NEWS PROGRAM**

Percentage of reports released on time .....	2018 actual	2019 est.	2020 est.
	96%	96%	96%

**COTTON AND TOBACCO USER FEE PROGRAM**

	2018 actual	2019 est.	2020 est.
Cotton classed (bales in millions) .....	20.4	17.4	17
Domestic tobacco graded (million pounds) .....	46.8	45	45
Imported tobacco inspected (million kilograms) .....	13.2	13	13
Insurance Grading (for USDA Risk Management Agency) (millions of lbs) .....	149.5	150	145

**FEDERALLY FUNDED INSPECTION AND MARKETING ACTIVITIES**

	2018 actual	2019 est.	2020 est.
Percent of firms complying with EPIA and the Shell Egg Surveillance program .....	97%	97%	97%

**STANDARDIZATION ACTIVITIES**

	2018 actual	2019 est.	2020 est.
U.S. and international standards revised, eliminated, or approved .....	558	542	475

**Market Protection and Promotion.**—This program consists of: 1) the research and promotion programs which are designed to improve the competitive position and expand markets for a variety of agricultural commodities; 2) the Federal Seed Act; 3) the Pesticide Data Program; 4) Country of Origin Labeling; and 5) the National Organic Program. The Pesticide Data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures. Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce. Country of Origin Labeling reviews and verifies that retailers are notifying their customers of the country of origin of certain foods as specified in the law. The National Organic Program develops national standards for organically-produced agricultural products, assuring consumers that products with the USDA organic seal meet consistent, uniform standards.

**MARKET PROTECTION AND PROMOTION ACTIVITIES**

	2018 actual	2019 est.	2020 est.
<b>Pesticide data program (PDP):</b>			
Number of foreign countries PDP contacts to share program information .....	9	7	7
<b>Seed Act:</b>			
Percentage of seed shipped that is accurately labeled .....	97%	98%	98%
<b>Plant Variety Protection Act:</b>			
Number of applications received .....	526	450	500
Percentage of Research and Promotion Board budgets and marketing plans approved within time frame goal .....	100%	100%	100%
<b>Country of Origin Labeling:</b>			
Percent of retailers in compliance .....	95%	95%	95%
State and Commonwealths with cooperative agreements .....	45	47	47

**Transportation and Market Development.**—This program is designed to enhance the marketing of domestic agricultural commodities by conducting research into more efficient marketing methods and by providing technical

MARKETING SERVICES—Continued

assistance to areas interested in improving their food distribution facilities, and by helping to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

WHOLESALE MARKET DEVELOPMENT ACTIVITIES

	2018 actual	2019 est.	2020 est.
New markets established or expanded .....	139	150	150

TRANSPORTATION SERVICES ACTIVITIES

	2018 actual	2019 est.	2020 est.
Number of projects completed .....	99	109	113

*The Packers and Stockyards Program.*—This program promotes fair business practices, financial integrity, and competitive environments to market livestock, meat, and poultry. Through its oversight activities, including monitoring programs, reviews, and investigations, the Program fosters fair competition, provides payment protection, and guards against deceptive and fraudulent trade practices that affect the movement and price of meat animals and their products. The Program's work protects consumers and members of the livestock, meat, and poultry industries. The Program enforces the Packers and Stockyards (P&S) Act, which prohibits unfair, deceptive, and unjust discriminatory practices by market agencies, dealers, stockyards, packers, swine contractors, and live poultry dealers in the livestock, meat packing, and poultry industries. The P&S Act provides an important safety net for livestock producers and poultry growers in rural America. The Program conducts routine and ongoing regulatory inspections and audits to assess whether subject entities are operating in compliance with the Act, and conducts investigations of potential P&S Act violations identified by either industry complaints or previous regulatory inspections. In 2020, the Budget proposes to recover the costs of the Packers and Stockyards Program through a mandatory licensing fee since this program benefits the livestock, meat, and poultry industries by promoting fair business practices and competitive market environments.

*The U.S. Warehouse Act Program.*—USDA supports the efficient use of commercial facilities in the storage of Commodity Credit Corporation-owned commodities, and administers the U.S. Warehouse Act (USWA) and certain provisions of the Commodity Credit Corporation (CCC) Charter Act. Its mission is to oversee the formulation of national policies and procedures to administer a nationwide warehousing system, establish posted county prices for major farm program commodities, and manage CCC commodity inventories and cotton economic assistance programs.

*Milk Donation Program.*—The Agriculture Improvement Act of 2018 (Public Law 115–334) established a milk donation program to make it easier for producers, processors, and co-operatives to donate fluid milk products produced and processed in the United States to food banks and other feeding organizations and provided \$9 million in 2019 and \$5 million each year thereafter to reimburse eligible dairy organizations' costs incurred for the donation of fluid milk.

*The International Food Procurement Program.*—USDA procures foods for international food aid programs for overseas humanitarian and developmental use to meet USDA and USAID program requirements.

Object Classification (in millions of dollars)

Identification code 012–2500–0–1–352	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	58	58	58
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	60	60	60
12.1 Civilian personnel benefits .....	21	21	20
21.0 Travel and transportation of persons .....	3	3	1
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	2	2	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	27	27	13
25.3 Other goods and services from Federal sources .....	27	27	14
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1

31.0 Equipment .....	3	3	1
41.0 Grants, subsidies, and contributions .....	29	48	37
99.0 Direct obligations .....	176	195	151
99.0 Reimbursable obligations .....	112	66	65
99.9 Total new obligations, unexpired accounts .....	288	261	216

Employment Summary

Identification code 012–2500–0–1–352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	644	755	597
2001 Reimbursable civilian full-time equivalent employment .....	424	507	507

PAYMENTS TO STATES AND POSSESSIONS

*For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,109,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–2501–0–1–352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to states and possessions .....	1	1	1
0002 Specialty crop block grants .....	82	82	82
0900 Total new obligations, unexpired accounts .....	83	83	83
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	4	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
Appropriations, mandatory:			
1221 Transferred from other accounts for the Specialty Crop Block Grant Program [012–4336] .....	85	85	85
1221 Appropriations transferred from other acct for the Mod Technology Upgrade NOP [012–4336] .....		5	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–6	–5	
1260 Appropriations, mandatory (total) .....	79	85	85
1900 Budget authority (total) .....	80	86	86
1930 Total budgetary resources available .....	87	90	93
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	7	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	178	183
3010 New obligations, unexpired accounts .....	83	83	83
3020 Outlays (gross) .....	–60	–74	–79
3041 Recoveries of prior year unpaid obligations, expired .....	–2	–4	
3050 Unpaid obligations, end of year .....	178	183	187
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	157	178	183
3200 Obligated balance, end of year .....	178	183	187
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	1
Mandatory:			
4090 Budget authority, gross .....	79	85	85
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2		
4101 Outlays from mandatory balances .....	57	73	78
4110 Outlays, gross (total) .....	59	73	78
4180 Budget authority, net (total) .....	80	86	86
4190 Outlays, net (total) .....	60	74	79

The discretionary funds in this account are for Federal-State Marketing Improvement Program grants, which are made on a matching fund basis to State departments of agriculture to carry out specifically approved value-added programs designed to spotlight local marketing initiatives and enhance marketing efficiency. Under this activity, specialists work with farmers, marketing firms, and other agencies in solving marketing problems and in using research results. The mandatory funds in this account are for Specialty Crop Block Grant-Farm Bill grants, which are block grants made to State departments of agriculture to enhance the competitiveness of specialty crops.

**Object Classification** (in millions of dollars)

Identification code 012-2501-0-1-352	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	80	80	80
99.9 Total new obligations, unexpired accounts .....	83	83	83

**Employment Summary**

Identification code 012-2501-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	13	13	13

**FEE FUNDED INSPECTION, WEIGHING, AND EXAMINATION SERVICES**

Not to exceed \$80,000,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services, including activities of the Federal Grain Inspection Service: Provided, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress: Provided further, That the Secretary of Agriculture may collect fees for the inspection and weighing activities of the Federal Grain Inspection Service: Provided further, That such fees shall remain available until expended, and be available for the promotion and enforcement of the United States Grain Standards Act and applicable provisions of the Agricultural Marketing Act of 1946; identification, evaluation, and implementation of new or improved techniques for measuring grain quality; and establishment and maintenance of testing and grading standards to facilitate the marketing of U.S. grain, oilseeds, and related products.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-4050-0-3-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Limitation on inspection and weighing services .....	51	55	80
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	37	32	32
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	38	32	32
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected [Federal Grain Inspection Service fee] .....			25
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected [Inspection and Weighing Services] .....	46	55	55
1801 Change in uncollected payments, Federal sources .....	-1		
1802 Offsetting collections (previously unavailable) .....	3	3	3
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-3	-3	
1850 Spending auth from offsetting collections, mand (total) .....	45	55	58
1900 Budget authority (total) .....	45	55	83
1930 Total budgetary resources available .....	83	87	115

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	32	32	35
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	6
3010 New obligations, unexpired accounts .....	51	55	80
3020 Outlays (gross) .....	-50	-55	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	6	6	6
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			25
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources [Federal Grain Inspection Service fee] .....			-25
<b>Mandatory:</b>			
4090 Budget authority, gross .....	45	55	58
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	37	45	20
4101 Outlays from mandatory balances .....	13	10	35
4110 Outlays, gross (total) .....	50	55	55
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-1	-1	-7
4123 Non-Federal sources .....	-46	-54	-48
4130 Offsets against gross budget authority and outlays (total) ....	-47	-55	-55
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4160 Budget authority, net (mandatory) .....	-1		3
4170 Outlays, net (mandatory) .....	3		
4180 Budget authority, net (total) .....	-1		3
4190 Outlays, net (total) .....	3		
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	3	3	3
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	3	3	

AMS provides a uniform system for the inspection and weighing of grain for marketing and trade purposes. Services provided under this system accurately and consistently describe the quality and quantity of grain and are financed through a fee-supported revolving fund. Fee-supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by AMS employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. AMS supervises the inspection and weighing activities performed by its own employees. AMS also supervises 46 official private and state agencies: 34 official private agencies and seven official state agencies that are designated to provide official inspection and/or weighing services in domestic and export (internationally containers and land based carriers to Canada and Mexico) markets; four official state agencies that are delegated to provide mandatory official export inspection and weighing services and designated to provide official domestic inspection and weighing services within the state; and one official state agency that is delegated to provide mandatory official export inspection and weighing services within the state. AMS provides an appeal service of original grain inspections and a registration system for the grain exporting firms. Through support from user fees, AMS conducts a railroad track scale testing program. In addition, AMS provides grading services, on request, for rice graded commodities, and processed products under the authority of the Agricultural Marketing Act of 1946. In addition, the Budget proposes to collect a discretionary fee to recover the full cost of the Grain Regulatory Program.

FEE FUNDED INSPECTION, WEIGHING, AND EXAMINATION SERVICES—Continued

This fee would cover programs including grain standardization, quality, assessment, market integrity protection, and compliance.

	2018 actual	2019 est.	2020 est.
Export standardized grain inspected and/or weighed (million metric tons):			
By Federal personnel .....	80.8	82	85
By delegated states/official agencies .....	58.6	59	60
Quantity of standardized grain inspected (official inspections) domestically (million metric tons) .....	187.8	190	190
Number of official grain inspections and reinspections:			
By Federal personnel .....	101,912	105,000	110,000
By delegated states/official agencies .....	3,226,909	3,250,000	3,300,000
Number of appeals (Grain, Rice, and Pulses) .....	2,650	2,700	2,700
Number of appeals to the Board of Appeals and Review (Grain, Rice, and Pulses) .....	381	390	390
Quantity of rice inspected (million metric tons) .....	3.3*	3.3	3.3
Quantity of rice exports (million metric tons) .....	3.1*	3.1	3.1

\* Estimated as actual, information is not yet available.

Object Classification (in millions of dollars)

Identification code 012-4050-0-3-352	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	22	22	30
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	8	8	10
11.9 Total personnel compensation .....	31	31	42
12.1 Civilian personnel benefits .....	10	11	25
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	5	7	7
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	51	55	80

Employment Summary

Identification code 012-4050-0-3-352	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	381	421	533

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5070-0-2-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1110 License Fees and Defaults, Perishable Agricultural Commodities Act Fund .....	11	12	11
2000 Total: Balances and receipts .....	12	13	13
Appropriations:			
Current law:			
2101 Perishable Agricultural Commodities Act Fund .....	-11	-11	-11
2103 Perishable Agricultural Commodities Act Fund .....	-1	-1	-1
2132 Perishable Agricultural Commodities Act Fund .....	1	1	.....
2199 Total current law appropriations .....	-11	-11	-12
2999 Total appropriations .....	-11	-11	-12
5099 Balance, end of year .....	1	2	1

Program and Financing (in millions of dollars)

Identification code 012-5070-0-2-352	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Perishable Agricultural Commodities Act .....	10	11	11
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	16	16

Budget authority:

	2018 actual	2019 est.	2020 est.
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	11	11	11
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	11	11	12
1930 Total budgetary resources available .....	26	27	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	17

Change in obligated balance:

	2018 actual	2019 est.	2020 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	10	11	11
3020 Outlays (gross) .....	-10	-11	-11
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

	2018 actual	2019 est.	2020 est.
Mandatory:			
4090 Budget authority, gross .....	11	11	12
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	10	10
4101 Outlays from mandatory balances .....	8	1	1
4110 Outlays, gross (total) .....	10	11	11
4180 Budget authority, net (total) .....	11	11	12
4190 Outlays, net (total) .....	10	11	11

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491-497, 499a-499s).

The Perishable Agricultural Commodities Act (PACA) establishes a code of fair trading practices covering the marketing of fresh and frozen fruits and vegetables in interstate and foreign commerce. The PACA protects growers, shippers, distributors, retailers, and others who deal in those commodities by prohibiting unfair and fraudulent practices. In general, individuals and companies operating in the produce industry who meet certain requirements must be licensed under the PACA. PACA investigates complaints of violations of the Act through: a) informal agreements between the two publication of the facts; b) formal decisions involving payment of reparation awards; c) suspension or revocation of license and/or publication of the facts; or d) monetary penalty in lieu of license suspension or revocation.

The Perishable Agricultural Commodities Act requires that purchasers maintain trust assets on hand to meet their obligations to fruit and vegetable suppliers. The trust automatically goes into effect when the buyer receives the goods but produce sellers must notify their customers in writing of their intent to preserve their trust rights. The Act provides permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees.

PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES

	2018 actual	2019 est.	2020 est.
Percentage of informal reparation complaints completed within time frame goal .....	92%	92%	92%

Object Classification (in millions of dollars)

Identification code 012-5070-0-2-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.2 Rental payments to others .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	10	11	11

**Employment Summary**

Identification code 012-5070-0-2-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	59	69	69

**FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-5209-0-2-605	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	20,749	22,842	27,656
Receipts:			
Current law:			
1110 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32) .....	12,512	15,331	15,123
1140 General Fund Payment, Funds for Strengthening Markets, Income, and Supply (section 32) .....		1	1
1199 Total current law receipts .....	12,512	15,332	15,124
Proposed:			
1210 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32) .....			-15,123
1230 Marketing Orders and Agreements Fees .....			20
1299 Total proposed receipts .....			-15,103
1999 Total receipts .....	12,512	15,332	21
2000 Total: Balances and receipts .....	33,261	38,174	27,677
Appropriations:			
Current law:			
2101 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-10,371	-10,624	-15,123
2103 Funds for Strengthening Markets, Income, and Supply (section 32) .....	-126	-1	-33
2132 Funds for Strengthening Markets, Income, and Supply (section 32) .....		33	
2132 Funds for Strengthening Markets, Income, and Supply (section 32) .....	77	74	
2134 Funds for Strengthening Markets, Income, and Supply (section 32) .....	1		
2199 Total current law appropriations .....	-10,419	-10,518	-15,156
Proposed:			
2201 Funds for Strengthening Markets, Income, and Supply (section 32) .....			15,123
2201 Funds for Strengthening Markets, Income, and Supply (section 32) .....			-20
2203 Funds for Strengthening Markets, Income, and Supply (section 32) .....			33
2299 Total proposed appropriations .....			15,136
2999 Total appropriations .....	-10,419	-10,518	-20
5099 Balance, end of year .....	22,842	27,656	27,657

**Program and Financing** (in millions of dollars)

Identification code 012-5209-0-2-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Child nutrition program purchases .....	465	465	465
0002 Emergency surplus removal .....	303	663	483
0004 State option contract .....		5	5
0005 Removal of defective commodities .....		3	3
0006 Disaster Relief .....	15	5	5
0007 2008 Farm Bill Specialty Crop Purchases .....		206	206
0091 Subtotal, Commodity program payments .....	783	1,347	1,167
0101 Administrative expenses .....	54	56	57
0192 Total direct program .....	837	1,403	1,224
0799 Total direct obligations .....	837	1,403	1,224
0811 Funds for Strengthening Markets, Income, and Supply (section 32) (Reimbursable) .....	8	4	5
0900 Total new obligations, unexpired accounts .....	845	1,407	1,229

**Budgetary resources:**

1000 Unobligated balance brought forward, Oct 1 .....	55	313	1
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	56	313	1
Budget authority:			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced .....		-33	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10,371	10,624	15,123
1203 Appropriation (previously unavailable) .....	126	1	33
1220 Transferred to Food and Nutrition Service [012-3539] .....	-9,170	-9,269	-13,774
1220 Transferred to Department of Commerce [013-5139] .....	-155	-158	-158
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-77	-74	
1234 Appropriations precluded from obligation .....	-1		
1260 Appropriations, mandatory (total) .....	1,094	1,124	1,224
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6	4	5
1801 Change in uncollected payments, Federal sources .....	2		
1850 Spending auth from offsetting collections, mand (total) .....	8	4	5
1900 Budget authority (total) .....	1,102	1,095	1,229
1930 Total budgetary resources available .....	1,158	1,408	1,230
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	313	1	1

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	366	494	1,066
3010 New obligations, unexpired accounts .....	845	1,407	1,229
3020 Outlays (gross) .....	-716	-835	-1,013
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	494	1,066	1,282
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	365	491	1,063
3200 Obligated balance, end of year .....	491	1,063	1,279

**Budget authority and outlays, net:**

4000 Discretionary:			
Budget authority, gross .....		-33	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-33	
Mandatory:			
4090 Budget authority, gross .....	1,102	1,128	1,229
Outlays, gross:			
4100 Outlays from new mandatory authority .....	386	398	630
4101 Outlays from mandatory balances .....	330	470	383
4110 Outlays, gross (total) .....	716	868	1,013
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources: .....	-6	-4	-5
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4160 Budget authority, net (mandatory) .....	1,094	1,124	1,224
4170 Outlays, net (mandatory) .....	710	864	1,008
4180 Budget authority, net (total) .....	1,094	1,091	1,224
4190 Outlays, net (total) .....	710	831	1,008

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,094	1,091	1,224
Outlays .....	710	831	1,008
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-884
Outlays .....			-884
Total:			
Budget Authority .....	1,094	1,091	340
Outlays .....	710	831	124

The Agriculture Appropriations Act of 1935 (7 U.S.C. 612c) established the Section 32 program, which provides that 30 percent of U.S. Customs receipts for each calendar year are transferred to this account within the Department of Agriculture. The purpose of the Section 32 program is three-

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)—Continued  
fold: to encourage the exportation of agricultural commodities and products, to encourage domestic consumption of agricultural products by diverting them, and to reestablish farmers' purchasing power by making payments in connection with the normal production of any agricultural commodity for domestic consumption. There is also a requirement that the funds available under Section 32 shall be principally devoted to perishable agricultural commodities (e.g., fruits and vegetables). Program funds are used for a variety of purposes in support of the three primary purposes specified in the program's authorizing legislation. Funds may be used to stabilize market conditions through purchasing surplus commodities which are in turn, distributed to nutrition assistance programs. A General Provision in this Budget proposes that carryover funds, with certain limitations, may be used to make direct payments under clause 3 of the authorizing legislation. Program funds are also used to purchase commodities that are distributed to schools as part of Child Nutrition Programs entitlements. Furthermore, funds are transferred to the Food and Nutrition Service for commodity purchases under section 6 of the National School Lunch Act and other authorities specified in the Child Nutrition Programs statutes.

**Object Classification** (in millions of dollars)

Identification code 012-5209-0-2-605	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	18	18
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	2	2
22.0 Transportation of things .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	.....	1	1
25.2 Other services from non-Federal sources .....	5	5	6
25.3 Other goods and services from Federal sources .....	26	30	30
25.7 Operation and maintenance of equipment .....	.....	1	1
26.0 Supplies and materials: Grants of commodities to States .....	780	1,338	1,158
31.0 Equipment .....	1	.....	.....
99.0 Direct obligations .....	837	1,403	1,224
99.0 Reimbursable obligations .....	8	4	5
99.9 Total new obligations, unexpired accounts .....	845	1,407	1,229

**Employment Summary**

Identification code 012-5209-0-2-605	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	153	154	154
2001 Reimbursable civilian full-time equivalent employment .....	33	31	31

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-5209-4-2-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Child nutrition program purchases .....	.....	.....	-465
0002 Emergency surplus removal .....	.....	.....	-205
0004 State option contract .....	.....	.....	-5
0005 Removal of defective commodities .....	.....	.....	-3
0007 2008 Farm Bill Specialty Crop Purchases .....	.....	.....	-206
0091 Subtotal, Commodity program payments .....	.....	.....	-884
0192 Total direct program .....	.....	.....	-884
0799 Total direct obligations .....	.....	.....	-884
0900 Total new obligations, unexpired accounts (object class 26.0) .....	.....	.....	-884

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	.....	.....	320
1201 Appropriation (special or trust fund) .....	.....	.....	-15,123
1201 Appropriation (special or trust fund) .....	.....	.....	20
1203 Appropriation (previously unavailable) .....	.....	.....	-33
1220 Transferred to Food and Nutrition Service [012-3539] .....	.....	.....	13,774
1220 Transferred to Department of Commerce [013-5139] .....	.....	.....	158

1260 Appropriations, mandatory (total) .....	-884
1900 Budget authority (total) .....	-884
1930 Total budgetary resources available .....	-884

**Change in obligated balance:**

<b>Unpaid obligations:</b>	
3010 New obligations, unexpired accounts .....	-884
3020 Outlays (gross) .....	884

**Budget authority and outlays, net:**

<b>Mandatory:</b>	
4090 Budget authority, gross .....	-884
<b>Outlays, gross:</b>	
4100 Outlays from new mandatory authority .....	-884
4180 Budget authority, net (total) .....	-884
4190 Outlays, net (total) .....	-884

**Reform Funds for Strengthening Markets, Income, and Supply (Section 32) Program**

The complex process of using U.S. Customs receipts for surplus commodity purchases and to partially fund the school lunch program has evolved over many decades and is not consistent with contemporary Federal budgeting practices. Large balances have built up due to the fluctuation in receipts, and Congress has capped spending in the farm bill. To increase transparency and simplify program operations, the Budget proposes to permanently delink U.S. Customs receipts from the Section 32 program and directly appropriate funding to the Agricultural Marketing Service (AMS), the Food and Nutrition Service (FNS), and the Department of Commerce. These funds will be provided to each agency without further appropriation and available for the same purposes as previous receipt-funded activities. Within USDA, AMS will be provided \$320 million for surplus agricultural commodity purchases and administrative costs (a historical average spending level for these activities that will be adjusted annually for inflation). Additionally, FNS will receive an initial permanent mandatory appropriation of approximately \$13.7 billion in FY20 (equal to the amount that would have otherwise been made available by transfer from AMS and including the cost associated with commodity purchase activities traditionally carried out under Section 32). This initial appropriation will be adjusted annually based upon the Consumer Price Index (CPI-U) and the requested annual appropriations language will continue to subsume those amounts within the total provided in annual appropriations acts. Finally, the Department of Commerce will be provided an initial amount of \$158 million for fisheries activities in 2020, equal to the level of funding that would otherwise have been provided by Section 32, and inflated annually in future years. The Administration will formalize these changes through a legislative proposal to be transmitted at a later date.

**Marketing Agreements & Orders Program**

The 2020 Budget also includes a proposal to recover the full cost of the agency's oversight of Marketing Agreements and Orders (MA&O) programs through a mandatory user fee. MA&O programs aim to provide stable markets for dairy and specialty crops and are industry-run "self-help" initiatives, and the industries that substantially benefit from their operation should pay for the oversight of these programs.

**Trust Funds**

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-8015-0-7-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	5
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits of Fees, Inspection and Grading of Farm Products, AMS .....	166	166	166
1140 Interest on Investments in Public Debt Securities, AMS .....	1	1	1
1140 Payments from General Fund, Wool Research, Development, and Promotion Trust Fund .....	2	2	.....

1199	Total current law receipts .....	169	169	167
1999	Total receipts .....	169	169	167
2000	Total: Balances and receipts .....	169	169	172
	Appropriations:			
	Current law:			
2101	Expenses and Refunds, Inspection and Grading of Farm Products .....	-169	-164	-164
5099	Balance, end of year .....		5	8

**Program and Financing** (in millions of dollars)

Identification code 012-8015-0-7-352	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Dairy products .....	7	7	7
0002	Specialty Crops .....	62	65	65
0003	Meat grading .....	23	21	21
0004	Poultry products .....	63	47	47
0005	Miscellaneous agricultural commodities .....	30	22	22
0006	Ware Houses .....		4	4
0900	Total new obligations, unexpired accounts .....	185	166	166

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	60	54	54
1021	Recoveries of prior year unpaid obligations .....	8		
1050	Unobligated balance (total) .....	68	54	54
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1201	Appropriation (special or trust fund) .....	169	164	164
1221	Appropriations Farm Bill (AMA, NOCS and Wool) transferred from other accts (012-4336) .....	2	2	2
1260	Appropriations, mandatory (total) .....	171	166	166
1930	Total budgetary resources available .....	239	220	220
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	54	54	54

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	19	16
3010	New obligations, unexpired accounts .....	185	166	166
3020	Outlays (gross) .....	-183	-169	-166
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050	Unpaid obligations, end of year .....	19	16	16
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	25	19	16
3200	Obligated balance, end of year .....	19	16	16

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	171	166	166
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	92	116	116
4101	Outlays from mandatory balances .....	91	53	50
4110	Outlays, gross (total) .....	183	169	166
4180	Budget authority, net (total) .....	171	166	166
4190	Outlays, net (total) .....	183	169	166

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....			1
5001	Total investments, EOY: Federal securities: Par value .....		1	

*Expenses and refunds, inspection and grading of farm products.*—The Agricultural Marketing Service's commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using Federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These programs use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

**Object Classification** (in millions of dollars)

Identification code 012-8015-0-7-352	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	78	74	74
11.3	Other than full-time permanent .....	7	7	7
11.5	Other personnel compensation .....	14	13	13
11.9	Total personnel compensation .....	99	94	94
12.1	Civilian personnel benefits .....	34	31	31
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	9	8	8
23.1	Rental payments to GSA .....	1	1	1
23.2	Rental payments to others .....	3	2	2
23.3	Communications, utilities, and miscellaneous charges .....	3	2	2
25.2	Other services from non-Federal sources .....	25	10	10
25.3	Other goods and services from Federal sources .....	4	9	9
25.7	Operation and maintenance of equipment .....	1	2	2
26.0	Supplies and materials .....	1	2	2
31.0	Equipment .....	2	2	2
41.0	Grants, subsidies, and contributions .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	185	166	166

**Employment Summary**

Identification code 012-8015-0-7-352	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	1,328	1,374	1,374

**MILK MARKET ORDERS ASSESSMENT FUND**

**Program and Financing** (in millions of dollars)

Identification code 012-8412-0-8-351	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Administration .....	46	64	66
0802	Marketing service .....	8	10	16
0900	Total new obligations, unexpired accounts .....	54	74	82

**Budgetary resources:**

<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	54	74	82
1930	Total budgetary resources available .....	54	74	82

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3010	New obligations, unexpired accounts .....	54	74	82
3020	Outlays (gross) .....	-54	-74	-82

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	54	74	82
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	54	74	82
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4123	Non-Federal sources .....	-54	-74	-82
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The Milk Market Orders Assessment Fund displays the non-Federal costs of administering Federal milk marketing orders, and includes salaries and expenses, travel, and rent for office space.

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, to issue Federal Milk Marketing Orders (FMMO) establishing minimum prices which handlers are required to pay for milk purchased from producers. Section 1403 of the 2018 Farm Bill requires AMS to implement changes to these milk price formulas through the FMMOs. There are currently 11 Federally-sanctioned milk market orders in operation. Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses are financed by assessments on regulated handlers and

MILK MARKET ORDERS ASSESSMENT FUND—Continued

partly by deductions from producers, which are reported to the Agricultural Marketing Service.

Object Classification (in millions of dollars)

Identification code 012-8412-0-8-351	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	29	38	39
11.3 Other than full-time permanent .....	1	1	2
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	31	41	43
12.1 Civilian personnel benefits .....	10	13	13
21.0 Travel and transportation of persons .....	3	6	6
23.2 Rental payments to others .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services from non-Federal sources .....	1	2	7
26.0 Supplies and materials .....	1	2	3
31.0 Equipment .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	54	74	82

Employment Summary

Identification code 012-8412-0-8-351	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	328	370	390

FARM PRODUCTION AND CONSERVATION

Federal Funds

FARM PRODUCTION AND CONSERVATION BUSINESS CENTER

SALARIES AND EXPENSES

For necessary expenses of the Farm Production and Conservation Business Center, \$206,530,000, to remain available until expended: Provided, That \$60,228,000 of amounts appropriated for the current fiscal year pursuant to section 1241(a) of the Farm Security and Rural Investment Act of 1985 (16 U.S.C. 3841(a)) shall be transferred to and merged with this account.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-0180-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1	1	267
0801 Reimbursable program activity .....			16
0900 Total new obligations, unexpired accounts .....	1	1	283
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	207
1121 Appropriations transferred from other acct [012-1004] .....			60
1160 Appropriation, discretionary (total) .....	1	1	267
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....			16
1900 Budget authority (total) .....	1	1	283
1930 Total budgetary resources available .....	1	1	283
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	1	1	283
3020 Outlays (gross) .....	-1	-1	-230
3050 Unpaid obligations, end of year .....			53
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			53
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	283

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	1	230
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....			-16
4180 Budget authority, net (total) .....	1	1	267
4190 Outlays, net (total) .....	1	1	214

The Food Production and Conservation (FPAC) Business Center (FBC) is a centralized operations office within the FPAC Mission Area and headed by the Chief Operating Officer (COO), who is also the Executive Vice President, Commodity Credit Corporation (CCC). The FBC is responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and component agencies, including the Farm Service Agency (FSA), the Natural Resources Conservation Service (NRCS), and Risk Management Agency (RMA). The FBC ensures that systems, policies, procedures, and practices are developed that provide a consistent enterprise-wide view that encompasses FSA, NRCS, and RMA and the services they require from those functions to effectively and efficiently deliver programs to FPAC customers. The COO has the responsibility to ensure that FPAC administrative services are provided efficiently, effectively, and professionally and with a commitment to excellent customer service for FPAC, its customers, including farmers, ranchers, and forest landowners. The 2020 Budget proposes total funding of \$282.8 million for FBC, of which \$222.6 million is from discretionary sources and \$60.2 million is from mandatory sources.

Object Classification (in millions of dollars)

Identification code 012-0180-0-1-351	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	158
12.1 Civilian personnel benefits .....			62
21.0 Travel and transportation of persons .....			5
22.0 Transportation of things .....			1
23.3 Communications, utilities, and miscellaneous charges .....			1
25.2 Other services from non-Federal sources .....			31
26.0 Supplies and materials .....			2
31.0 Equipment .....			6
99.0 Direct obligations .....		1	266
99.0 Reimbursable obligations .....			16
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations, unexpired accounts .....	1	1	283

Employment Summary

Identification code 012-0180-0-1-351	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		7	1,879

RISK MANAGEMENT AGENCY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Risk Management Agency, \$56,045,000: Provided, That not to exceed \$1,000 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-2707-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	83	82	63

0799	Total direct obligations .....	82	63
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	75	56
1120	Appropriations transferred to other acct [012-4609] .....	-1	
1121	Appropriations transferred from other acct [012-4085] .....	9	
1160	Appropriation, discretionary (total) .....	83	56
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [012-4085] .....	7	7
1900	Budget authority (total) .....	83	63
1930	Total budgetary resources available .....	83	63
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	13	16
3010	New obligations, unexpired accounts .....	83	63
3020	Outlays (gross) .....	-80	-67
3041	Recoveries of prior year unpaid obligations, expired .....	-2	
3050	Unpaid obligations, end of year .....	14	12
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	13	16
3200	Obligated balance, end of year .....	14	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	83	56
Outlays, gross:			
4010	Outlays from new discretionary authority .....	70	45
4011	Outlays from discretionary balances .....	10	15
4020	Outlays, gross (total) .....	80	60
Mandatory:			
4090	Budget authority, gross .....	7	7
Outlays, gross:			
4100	Outlays from new mandatory authority .....	6	6
4101	Outlays from mandatory balances .....		1
4110	Outlays, gross (total) .....	6	7
4180	Budget authority, net (total) .....	83	63
4190	Outlays, net (total) .....	80	67

The Risk Management Agency (RMA) was established under provisions of the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127, approved April 4, 1996. RMA is responsible for administration and oversight of the crop insurance program as authorized under the Federal Crop Insurance Act (7 U.S.C.1501 et seq.). This account includes resources to maintain ongoing operations of the Federal crop insurance program and other functions assigned to RMA. The 2020 Budget requests \$56 million in discretionary funds. RMA also plans to transfer \$7 million from mandatory FCIC funding for reviews, compliance and integrity under section 516(b)(2)(C) to the S&E account in 2020. By transferring these additional mandatory funds into the S&E account, RMA will be able to use these funds more efficiently and flexibly to maintain operations.

The funding level for the direct appropriation for RMA S&E reflects the shifting of activities to the Farm Production and Conservation (FPAC) Business Center, which has centralized a number of administrative and information technology operations for RMA, NRCS and FSA that were formerly performed within each of those individual agencies.

The Federal crop insurance program is delivered through private insurance companies. Certain administrative expenses incurred by the companies are reimbursed through mandatory funding that is reflected in the FCIC Fund account. RMA is provided approximately \$11 million in additional mandatory funding that is authorized in the Farm Bill for specific administrative and IT related costs, and spent directly out of the FCIC fund. The funding is further enhanced by the availability of \$20 million in mandatory funding from the fees collected from the sale of insurance policies, which can be for administrative and IT related costs, and spent directly out of the FCIC fund.

**Object Classification** (in millions of dollars)

Identification code 012-2707-0-1-351	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	45	45	35
11.9 Total personnel compensation .....	45	45	35
12.1 Civilian personnel benefits .....	15	15	14
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	3
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	7	7	2
25.7 Operation and maintenance of equipment .....	6	5	4
99.0 Direct obligations .....	83	82	63
99.9 Total new obligations, unexpired accounts .....	83	82	63

**Employment Summary**

Identification code 012-2707-0-1-351	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	450	450	394

**CORPORATIONS**

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**FEDERAL CROP INSURANCE CORPORATION FUND**

For payments as authorized by section 516 of the Federal Crop Insurance Act (7 U.S.C. 1516), such sums as may be necessary, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-4085-0-3-351	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Indemnities .....	2,255	6,594	6,176
0002	Delivery Expenses .....	1,527	1,532	1,573
0003	Underwriting Gains .....	2,627	1,237	1,128
0004	All Others .....	57	20	23
0005	AMA .....	4	4	4
0799	Total direct obligations .....	6,470	9,387	8,904
0801	Reimbursable program - indemnities .....	3,741	3,969	3,722
0802	Reimbursable program - programs and activities .....	20	19	20
0899	Total reimbursable obligations .....	3,761	3,988	3,742
0900	Total new obligations, unexpired accounts .....	10,231	13,375	12,646
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	576	578	601
1021	Recoveries of prior year unpaid obligations .....	4	1	1
1050	Unobligated balance (total) .....	580	579	602
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	6,483	9,425	8,936
1220	Appropriations transferred to other acct [012-0502] .....	-5	-10	
1220	Appropriations transferred to other acct [012-2707] .....	-9	-7	-7
1220	Appropriations transferred to other acct [012-0520] .....			-10
1221	Appropriations transferred from other acct [012-4336] .....	4	4	4

FEDERAL CROP INSURANCE CORPORATION FUND—Continued  
Program and Financing—Continued

Identification code 012-4085-0-3-351	2018 actual	2019 est.	2020 est.
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-3	.....
1260 Appropriations, mandatory (total) .....	6,469	9,409	8,923
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,761	3,989	3,742
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	.....
1850 Spending auth from offsetting collections, mand (total) .....	3,760	3,988	3,742
1900 Budget authority (total) .....	10,229	13,397	12,665
1930 Total budgetary resources available .....	10,809	13,976	13,267
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	578	601	621
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,277	4,298	2,964
3010 New obligations, unexpired accounts .....	10,231	13,375	12,646
3020 Outlays (gross) .....	-10,206	-14,708	-12,743
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-1	-1
3050 Unpaid obligations, end of year .....	4,298	2,964	2,866
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,277	4,298	2,964
3200 Obligated balance, end of year .....	4,298	2,964	2,866
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	10,229	13,397	12,665
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,030	10,511	9,855
4101 Outlays from mandatory balances .....	4,176	4,197	2,888
4110 Outlays, gross (total) .....	10,206	14,708	12,743
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3,761	-3,989	-3,742
4180 Budget authority, net (total) .....	6,468	9,408	8,923
4190 Outlays, net (total) .....	6,445	10,719	9,001
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	7	8	9
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	8	9	9
5096 Unexpired unavailable balance, SOY: Appropriations .....	17	21	24
5098 Unexpired unavailable balance, EOY: Appropriations .....	21	24	24

## Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	6,468	9,408	8,923
Outlays .....	6,445	10,719	9,001
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-12
Outlays .....	.....	.....	-12
Total:			
Budget Authority .....	6,468	9,408	8,911
Outlays .....	6,445	10,719	8,989

The Federal Crop Insurance Corporation (FCIC) is administered by the Risk Management Agency (RMA), and provides economic stability to agriculture through crop insurance. The Federal crop insurance program includes products providing crop yield and revenue insurance, pasture, rangeland forage, and livestock insurance, as well as other educational and risk mitigation initiatives/tools. The Federal crop insurance program provides farmers with a risk management program that protects against agricultural production losses due to natural disasters such as drought, excessive moisture, hail, wind, lightning, and insects. In addition to these causes, revenue insurance programs are available to protect against loss of revenue. Federal crop insurance is available for more than 350 different commodities in over 3,066 counties covering all 50 states, and Puerto Rico. For the 2018 Crop Year, there were 1.07 million policies written with \$10.6 billion in premiums.

Federal crop insurance policies are sold and serviced by 15 private crop insurance companies that share in the risk on the policies they sell under terms set out by USDA's Standard Reinsurance Agreement. The risk sharing is designed to be in favor of the companies, not one for one with the government. In most years the companies realize underwriting gains. In bad years, the companies' underwriting losses are minimalized because the government takes on more of the risk and ultimately back-stops the program after a certain level of loss. Currently, the government provides companies, on average, \$1.1 billion a year in underwriting gains. In addition, the government pays the companies an Administrative and Operating (A&O) subsidy to offset the costs incurred to carry out the program. They are reimbursed on average for about 14.5 percent of the premiums sold. The government currently pays \$1.5 billion annually for A&O. For the 2020 Budget, the payments to the companies are projected to be \$2.7 billion in combined subsidies.

The 2020 Budget requests funding to support \$12.6 billion in obligations. Funding estimates for 2019 and 2020 as well as the outyears are based on a 1.0 loss ratio, which is the statutory target loss ratio used for estimating future crop insurance costs.

The minimum level of coverage is Catastrophic (CAT) crop insurance, which compensates the farmer for losses exceeding 50 percent of the individual's average yield at 55 percent of the expected market price; the premium is entirely subsidized. The cost to the producer for CAT coverage is an annual administrative fee of \$655 per crop per county.

Additional coverage is available to producers and is commonly referred to as "buy-up" coverage. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of protection selected and vary from crop to crop and county to county. They also depend on the producer's average production history (APH). Producers are assessed a fee of \$30 per crop, per county, in addition to a share of the premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security.

Revenue protection for specified products is provided by extending traditional crop insurance protection, based on actual production history, to include price variability based on futures market prices. Producers have a choice of revenue protection (protection against loss of revenue caused by low prices, low yields, or a combination of both) or yield protection (protection for production losses only) within one Basic Provision and the applicable Crop Provision.

Currently for revenue protection, the farmer can opt to cover the projected or the harvest price. Traditional revenue insurance only protects against a projected price, where the farmer is guaranteed a price at the time of planting. Revenue coverage that protects the price at the time of harvest guarantees the price to the farmer for the higher of the projected price or the harvest price. This additional revenue protection allows farmers to hedge against low prices at harvest. The harvest price protection policies are more costly than traditional revenue coverage and therefore more heavily subsidized by the government. Almost all farmers choose the harvest price option because taxpayers pay such a large portion of the extra premium.

A crop insurance policy also contains coverage for when a producer is prevented from planting their crop due to weather and other perils. When an insured producer is unable to plant their crop within the planting time period because of excessive drought or moisture, they may file a prevented planting claim, which pays a portion of their full coverage level. It is optional for the producer to plant a second crop on the acres. If the producer does, the prevented planting claim on the first crop is reduced and the producer's APH is updated to incorporate that year. If the producer does not plant a second crop, they get their full prevented planting claim, and

their APH is not affected in subsequent years for premium calculation purposes.

The following table illustrates Crop Year statistics used to prepare the 2020 Budget. Crop Year is generally all activity for crops from July 1-June 30 of a given year.

	2017 est.	2018 est.	2019 est.
Number of States .....	50	50	50
Number of counties .....	3,066	3,066	3,066
Insurance in force (millions) .....	104,211	107,451	109,921
Insured acreage (millions) .....	312	312	334
Producer premium (millions) .....	3,717	3,969	3,722
Premium subsidy (millions) .....	6,356	6,594	6,176
Total premium (millions) .....	10,073	10,563	9,898
Indemnities (millions) .....	5,414	10,563	9,898
Loss ratio .....	.54	1.00	1.00

**Financing.**—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation.

Receipts, which are for deposit to this fund, mainly come from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers.

Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

**Object Classification** (in millions of dollars)

Identification code 012-4085-0-3-351	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services-Agriculture Risk Protection Act of 2000			
Initiative .....	61	24	27
25.2 Other services from non-Federal sources .....	4,154	2,769	2,701
42.0 Insurance claims and indemnities .....	2,255	6,594	6,176
99.0 Direct obligations .....	6,470	9,387	8,904
<b>Reimbursable obligations:</b>			
42.0 Insurance claims and indemnities .....	3,741	3,969	3,722
42.0 Programs and Activities .....	20	19	20
99.0 Reimbursable obligations .....	3,761	3,988	3,742
99.9 Total new obligations, unexpired accounts .....	10,231	13,375	12,646

**FEDERAL CROP INSURANCE CORPORATION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-4085-4-3-351	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			-12
1900 Budget authority (total) .....			-12
1930 Total budgetary resources available .....			-12
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			-12
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			12
3050 Unpaid obligations, end of year .....			12
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			12
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-12
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-12
4180 Budget authority, net (total) .....			-12
4190 Outlays, net (total) .....			-12

The 2020 Budget includes four proposals that are designed to optimize the current crop insurance program so that it will continue to provide a quality safety net at a lower cost, as well as introduce a measure of means testing to the beneficiaries of the crop insurance subsidies.

(1) Better control underwriting gains to insurance companies: The Budget proposes to reduce subsidies provided to participating insurance companies by placing a "cap" on underwriting gains at 12 percent. This proposal will save \$3 billion over the next 10 years.

(2) Reduce premium subsidies for crop insurance: The Budget proposes to reduce the percent premium subsidy provided under the Federal Crop Insurance Program. The premium subsidy for policies with harvest price coverage will be reduced by 15 percentage points, policies without harvest price coverage would be reduced by 10 percentage points. The proposal would not impact premium subsidy associated with catastrophic coverage. This proposal will save \$22 billion over the next 10 years.

(3) The 2020 Budget proposes to target crop insurance subsidies to those producers that have an Adjusted Gross Income (AGI) of \$500,000 or less. This proposal will save \$642 million over the next 10 years.

(4) Eliminate reimbursements and automatic implementation for 508(h) crop insurance product development: The Budget proposes to eliminate reimbursements to the private sector for the development of new crop insurance products. It also proposes to change the approval process for new products under 508(h) to be at the discretion of the Federal Crop Insurance Corporation Board, and not mandatory. The proposal would change, as appropriate, the language from "shall" to "may" to allow for the government's discretion in adding cost to the baseline for new products. This change is appropriate because there are few, if any, products that would have a wide demand pending development, and the Farm Bill often directs the development of commodity specific products where there is a gap. In addition, RMA can still develop products internally. Moreover, the 2014 Farm Bill authorized buy-up coverage for the Non-Insured Assistance Program (NAP), which is for crops that are not covered by crop insurance. The proposal would result in savings of \$120 million over ten years.

Collectively, these four changes are expected to save \$26 billion over 10 years.

**FARM SERVICE AGENCY**

*Federal Funds*

**SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Farm Service Agency, \$1,012,008,000: Provided, That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: Provided further, That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: Provided further, That funds made available to county committees shall remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-0600-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Agricultural Sector Support .....	1,197	1,202	1,012
0300 Subtotal, direct program .....	1,197	1,202	1,012
0801 Farm loans .....	305	315	294
0802 Other programs .....	47	40	4
0803 Other Credit Programs .....	4	4	1
0899 Total reimbursable obligations .....	356	359	299
0900 Total new obligations, unexpired accounts .....	1,553	1,561	1,311

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 012-0600-0-1-351	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	30	35	35
1012			
	20		
1050	50	35	35
Budget authority:			
Appropriations, discretionary:			
1100	1,202	1,202	1,012
Spending authority from offsetting collections, discretionary:			
1700	346	359	299
1701	21		
1750	367	359	299
1900	1,569	1,561	1,311
1930	1,619	1,596	1,346
Memorandum (non-add) entries:			
1940	-31		
1941	35	35	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	272	343	257
3010	1,553	1,561	1,311
3011	8		
3020	-1,483	-1,647	-1,349
3041	-7		
3050	343	257	219
Uncollected payments:			
3060	-48	-42	-42
3070	-21		
3071	27		
3090	-42	-42	-42
Memorandum (non-add) entries:			
3100	224	301	215
3200	301	215	177
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	1,569	1,561	1,311
Outlays, gross:			
4010	1,252	1,321	1,109
4011	231	326	240
4020	1,483	1,647	1,349
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-367	-359	-299
4033	-2		
4040	-369	-359	-299
Additional offsets against gross budget authority only:			
4050	-21		
4052	23		
4060	2		
4070	1,202	1,202	1,012
4080	1,114	1,288	1,050
4180	1,202	1,202	1,012
4190	1,114	1,288	1,050

The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; farm ownership, farm operating, emergency disaster, and other loan programs; and the Noninsured Crop Disaster Assistance Program, which provides crop loss protection for growers of many crops for which crop insurance is not available.

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, FSA. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for administrative expenses of national, regional, State, and county offices. The 2020 Budget requests a total of \$1.31 billion for administrative expenses.

USDA's Service Center Agencies comprise FSA, Natural Resources Conservation Service, and Rural Development offices that act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location and introduction of new information technology to simplify customer transactions.

*Farm programs.*—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Activities of the Agency include providing price loss coverage and agriculture risk coverage, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, and providing a financial safety net to eligible producers when natural disasters adversely affect their farming operation. These programs range from covering losses of grazing under the Livestock Forage Disaster Program; orchard trees and nursery to help replant or rehabilitate trees under the Tree Assistance Program; production under the Noninsured Crop Disaster Assistance Program; livestock under the Livestock Indemnity Program; and livestock, honeybees and farm raised fish for losses that are not covered under the previously listed programs under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm base acres for farm planting history; (d) notifying producers of established base acres and farm planting histories; (e) conducting referendums and certifying results; (f) accepting farmer certifications and checking compliance for specific purposes; (g) processing commodity loan documents and issuing checks; (h) processing price loss coverage and agricultural risk coverage payments and issuing checks; (i) certifying payment eligibility and monitoring payment limitations; and (j) processing farm storage facility loans and issuing checks.

*Conservation and environment.*—These programs assist agricultural producers and landowners in implementing practices to conserve soil, water, air, and wildlife resources on America's farmland and ranches to help protect the human and natural environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species; providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding; protecting the public health of communities through implementation of the Hazardous Waste Management Program; and implementing contracting, financial reporting, and other administrative operations processes. These activities include: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) transferring funds to the Natural Resources Conservation Service and other agencies for other conservation programs.

*Farm loans (reimbursable).*—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to underserved groups. Activities include reviewing

applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. Funding for farm loan administrative expenses is transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

*Other reimbursable activities.*—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

**Object Classification** (in millions of dollars)

Identification code 012-0600-0-1-351	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	170	184	80
12.1 Civilian personnel benefits .....	58	65	47
21.0 Travel and transportation of persons .....	6	7	7
22.0 Transportation of things .....	1	2	1
23.3 Communications, utilities, and miscellaneous charges .....	24	24	17
24.0 Printing and reproduction .....	6	1	.....
25.2 Other services from non-Federal sources .....	254	244	201
26.0 Supplies and materials .....	1	1	.....
31.0 Equipment .....	10	1	.....
41.0 Grants, subsidies, and contributions .....	667	673	659
99.0 Direct obligations .....	1,197	1,202	1,012
99.0 Reimbursable obligations .....	356	359	299
99.9 Total new obligations, unexpired accounts .....	1,553	1,561	1,311

**Employment Summary**

Identification code 012-0600-0-1-351	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,403	1,700	720
2001 Reimbursable civilian full-time equivalent employment .....	2,433	2,238	2,082

**STATE MEDIATION GRANTS**

*For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), \$3,067,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-0170-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State mediation grants .....	4	4	3
0900 Total new obligations, unexpired accounts (object class 41.0) .....	4	4	3

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	3
1930 Total budgetary resources available .....	4	4	3

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	4	4	3
3020 Outlays (gross) .....	-4	-4	-3
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4	4	3
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	2	1

4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	4	4	3
4180 Budget authority, net (total) .....	4	4	3
4190 Outlays, net (total) .....	4	4	3

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 79 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In no case will the total amount of a grant exceed \$500,000 annually. Current authority for the program under P.L. 115-334 expires September 30, 2023 as the program was extended by the Agriculture Improvement Act of 2018. The 2020 Budget requests \$3.1 million for the program.

**GRANT OBLIGATIONS**

	2017 actual	2018 actual	2019 est.
Number of States receiving grants .....	41	41	41
Amount of grants (in millions of dollars) .....	4	4	4

**DISCRIMINATION CLAIMS SETTLEMENT**

**Program and Financing** (in millions of dollars)

Identification code 012-1144-0-1-351	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	28	28	28
1930 Total budgetary resources available .....	28	28	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	28	28	28
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The Claims Resolution Act of 2010, P.L. 111-291 that was signed into law on December 8, 2010, provides funding to settle claims of prior discrimination brought by black farmers against the Department of Agriculture. These funds supplement funding previously provided to USDA for this purpose by section 14012 of P.L. 110-246. Claimants that suffered discrimination between 1989 and 1997 and submitted a late-filing request can seek fast-track payments of up to \$50,000 plus debt relief, or choose a longer, more rigorous review and documentation process for damages of up to \$250,000. The actual value of awards may be reduced based on the total amount of funds made available and the number of successful claims.

**USDA SUPPLEMENTAL ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 012-2701-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Geographically disadvantaged farmers and ranchers program .....	2	2	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	2	.....

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	.....
1930 Total budgetary resources available .....	6	6	4

USDA SUPPLEMENTAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 012-2701-0-1-351	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	2	2	.....
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	2	2	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	2	2
4180 Budget authority, net (total) .....	2	2	.....
4190 Outlays, net (total) .....	2	2	2

The Agricultural Act of 2014 re-authorized the Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (RTCP) program for FY 2012 and each succeeding fiscal year subject to appropriated funding. The purpose of RTCP is to offset a portion of the higher cost of transporting agricultural inputs and commodities over long distances. This program assists farmers and ranchers residing outside the 48 contiguous states that are at a competitive disadvantage when transporting agriculture products to the market. RTCP benefits are calculated based on the costs incurred by the producer for transportation of the agricultural commodity or inputs during a fiscal year, subject to an \$8,000 per producer cap per fiscal year. RTCP enrollments for FY 2018 began on July 16, 2018, and ended on September 7, 2018. Payments for FY 2018 signup will be disbursed in FY 2019. No funding is requested in the 2020 Budget for this program.

REFORESTATION PILOT PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-3305-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reforestation pilot program .....	1	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	1	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	.....
1930 Total budgetary resources available .....	1	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	.....
3020 Outlays (gross) .....	-1	-1	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	.....
4180 Budget authority, net (total) .....	1	1	.....
4190 Outlays, net (total) .....	1	1	.....

The Reforestation Pilot Program's purpose is to demonstrate the use of new technologies that increase the rate of growth of re-forested hardwood trees on private non-industrial forest lands, enrolling lands on the coast of

the Gulf of Mexico that were damaged by Hurricane Katrina in 2005. The 2020 Budget proposes no funding for this program.

EMERGENCY CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-3316-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Emergency conservation program .....	176	260	200
0900 Total new obligations, unexpired accounts (object class 41.0) .....	176	260	200
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	240	499	239
1021 Recoveries of prior year unpaid obligations .....	35	.....	.....
1050 Unobligated balance (total) .....	275	499	239
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	400	.....	.....
1930 Total budgetary resources available .....	675	499	239
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	499	239	39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	79	123	190
3010 New obligations, unexpired accounts .....	176	260	200
3020 Outlays (gross) .....	-97	-193	-70
3040 Recoveries of prior year unpaid obligations, unexpired .....	-35	.....	.....
3050 Unpaid obligations, end of year .....	123	190	320
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	79	123	190
3200 Obligated balance, end of year .....	123	190	320
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	400	.....	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	97	193	70
4020 Outlays, gross (total) .....	97	418	203
4180 Budget authority, net (total) .....	400	.....	.....
4190 Outlays, net (total) .....	97	193	70

The Emergency Conservation Program (ECP) was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201-05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters. During 2018, 37 States participated in ECP, with new or continued activity from the previous year, involving approximately \$97 million in cost-share and technical assistance funds outlays. The Bipartisan Budget Act of 2018 provided \$400 million for necessary expenses related to the consequences of Hurricanes Harvey, Irma, and Maria and of wildfires occurring in calendar year 2017 and other natural disasters declared pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. The 2020 Budget does not propose funding for this program.

EMERGENCY FOREST RESTORATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-0171-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 EFRP .....	13	15	15
0900 Total new obligations, unexpired accounts (object class 41.0) .....	13	15	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	61	53	38
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....

1050	Unobligated balance (total) .....	66	53	38
1930	Total budgetary resources available .....	66	53	38
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	53	38	23

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10	16	14
3010	New obligations, unexpired accounts .....	13	15	15
3020	Outlays (gross) .....	-2	-17	-15
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050	Unpaid obligations, end of year .....	16	14	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10	16	14
3200	Obligated balance, end of year .....	16	14	14

**Budget authority and outlays, net:**

Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	2	17	15
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	2	17	15

The Emergency Forest Restoration Program (EFRP) provides payments to eligible owners of non-industrial private forest for implementation of emergency measures to restore land damaged by a natural disaster. During 2018, 10 States participated in EFRP with new or continued activity from the previous year, involving approximately \$2.2 million in cost-share and technical assistance fund outlays. The 2020 Budget does not include funding for EFRP.

**GRASSROOTS SOURCE WATER PROTECTION PROGRAM**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 012–3304–0–1–302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Grassroots source water payments .....	7	7	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	7	7	
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	7	7	
1930	Total budgetary resources available .....	7	7	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	7	7	
3020	Outlays (gross) .....	-7	-7	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7	7	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	7	
4180	Budget authority, net (total) .....	7	7	
4190	Outlays, net (total) .....	7	7	

The Grassroots Source Water Protection Program (GSWPP) is a joint project by USDA's Farm Service Agency and the nonprofit National Rural Water Association. It is designed to help prevent source water pollution in States through voluntary practices installed by producers at the local level. GSWPP uses onsite technical assistance capabilities of each State rural water association that operates a wellhead or groundwater protection program in the State. State rural water associations can deliver assistance in developing source water protection plans within priority watersheds for the common goal of preventing the contamination of drinking water supplies. The Agriculture Improvement Act of 2018, the 2018 Farm Bill,

continues the authority for this program through fiscal year 2023. The 2020 Budget proposes no funding for this program.

**AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed farm ownership (7 U.S.C. 1922 et seq.) and operating (7 U.S.C. 1941 et seq.) loans, emergency loans (7 U.S.C. 1961 et seq.), Indian tribe land acquisition loans (25 U.S.C. 488), boll weevil loans (7 U.S.C. 1989), guaranteed conservation loans (7 U.S.C. 1924 et seq.), and Indian highly fractionated land loans (25 U.S.C. 488) to be available from funds in the Agricultural Credit Insurance Fund, as follows: \$2,750,000,000 for guaranteed farm ownership loans and \$1,500,000,000 for farm ownership direct loans; \$1,614,953,000 for unsubsidized guaranteed operating loans and \$1,550,133,000 for direct operating loans; emergency loans, \$29,181,000; Indian tribe land acquisition loans, \$20,000,000; guaranteed conservation loans, \$150,000,000; and for boll weevil eradication program loans, \$60,000,000: Provided, That the Secretary shall deem the pink bollworm to be a boll weevil for the purpose of boll weevil eradication program loans.

For the cost of direct and guaranteed loans and grants, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: \$58,440,000 for direct farm operating loans, \$17,280,000 for unsubsidized guaranteed farm operating loans, \$60,000 for boll weevil eradication loans, and \$1,567,000 for emergency loans, to remain available until expended.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$319,762,000; of which \$294,114,000 shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses", and of which \$16,081,000 shall be transferred to and merged with the appropriation for "Farm Production and Conservation Business Center, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership, operating and conservation direct loans and guaranteed loans may be transferred among these programs: Provided, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

**DAIRY INDEMNITY PROGRAM**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, such sums as may be necessary, to remain available until expended: Provided, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106–387, 114 Stat. 1549A-12).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 012–1140–0–1–351	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0010	Administrative expenses - PLCE .....	9	10	10
0011	FPAC .....			16
0012	Dairy Indemnity .....		1	1
0091	Direct program activities, subtotal .....	9	11	27
Credit program obligations:				
0701	Direct loan subsidy .....	48	76	70
0702	Loan guarantee subsidy .....	12	31	17
0705	Reestimates of direct loan subsidy .....	133	89	
0706	Interest on reestimates of direct loan subsidy .....	27	19	
0707	Reestimates of loan guarantee subsidy .....	13	23	
0708	Interest on reestimates of loan guarantee subsidy .....	2	3	
0709	Administrative expenses .....	315	315	294
0791	Direct program activities, subtotal .....	550	556	381
0900	Total new obligations, unexpired accounts .....	559	567	408
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	30	10

AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-1140-0-1-351	2018 actual	2019 est.	2020 est.
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	30	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	412	412	397
Appropriations, mandatory:			
1200 Appropriation .....	175	135	1
1900 Budget authority (total) .....	587	547	398
1930 Total budgetary resources available .....	590	577	408
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	30	10	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	16	33
3010 New obligations, unexpired accounts .....	559	567	408
3020 Outlays (gross) .....	-555	-550	-418
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	16	33	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	16	33
3200 Obligated balance, end of year .....	16	33	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	412	412	397
Outlays, gross:			
4010 Outlays from new discretionary authority .....	371	399	385
4011 Outlays from discretionary balances .....	9	16	32
4020 Outlays, gross (total) .....	380	415	417
Mandatory:			
4090 Budget authority, gross .....	175	135	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	175	135	1
4180 Budget authority, net (total) .....	587	547	398
4190 Outlays, net (total) .....	555	550	418

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1140-0-1-351	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Ownership .....	1,145	1,500	1,500
115002 Farm Operating .....	1,113	1,801	1,774
115003 Emergency Disaster .....	13	73	62
115004 IndianTribe Land Acquisition .....	.....	20	20
115005 Boll Weevil Eradication .....	.....	60	60
115010 Indian Highly Fractionated Land .....	10	11	.....
115999 Total direct loan levels .....	2,281	3,465	3,416
Direct loan subsidy (in percent):			
132001 Farm Ownership .....	-3.80	-1.31	-.08
132002 Farm Operating .....	4.04	3.90	3.77
132003 Emergency Disaster .....	4.92	4.16	5.37
132004 IndianTribe Land Acquisition .....	.....	-29.87	-18.62
132005 Boll Weevil Eradication .....	.....	-2.21	0.10
132010 Indian Highly Fractionated Land .....	0.00	21.34	.....
132999 Weighted average subsidy rate .....	0.09	1.44	1.91
Direct loan subsidy budget authority:			
133001 Farm Ownership .....	-44	-20	-1
133002 Farm Operating .....	45	70	67
133003 Emergency Disaster .....	1	3	3
133004 IndianTribe Land Acquisition .....	.....	-6	-4
133010 Indian Highly Fractionated Land .....	.....	2	.....
133999 Total subsidy budget authority .....	2	49	65
Direct loan subsidy outlays:			
134001 Farm Ownership .....	-38	-24	-6
134002 Farm Operating .....	43	62	68
134003 Emergency Disaster .....	1	2	3
134004 IndianTribe Land Acquisition .....	.....	-5	-4
134010 Indian Highly Fractionated Land .....	.....	.....	2
134999 Total subsidy outlays .....	6	35	63
Direct loan reestimates:			
135001 Farm Ownership .....	30	11	.....
135002 Farm Operating .....	15	-35	.....
135003 Emergency Disaster .....	10	9	.....

135999 Total direct loan reestimates .....	55	-15	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Farm Ownership—Unsubsidized .....	2,119	2,750	2,750
215002 Farm Operating—Unsubsidized .....	1,085	2,809	1,615
215005 Conservation - Guaranteed .....	.....	150	150
215999 Total loan guarantee levels .....	3,204	5,709	4,515
Guaranteed loan subsidy (in percent):			
232001 Farm Ownership—Unsubsidized .....	-18	-23	-29
232002 Farm Operating—Unsubsidized .....	1.11	1.12	1.07
232005 Conservation - Guaranteed .....	.....	-40	-45
232999 Weighted average subsidy rate .....	0.26	0.43	0.19
Guaranteed loan subsidy budget authority:			
233001 Farm Ownership—Unsubsidized .....	-4	-6	-8
233002 Farm Operating—Unsubsidized .....	12	31	17
233005 Conservation - Guaranteed .....	.....	.....	-1
233999 Total subsidy budget authority .....	8	25	8
Guaranteed loan subsidy outlays:			
234001 Farm Ownership—Unsubsidized .....	-3	-6	-8
234002 Farm Operating—Unsubsidized .....	12	19	19
234005 Conservation - Guaranteed .....	.....	-1	-1
234999 Total subsidy outlays .....	9	12	10
Guaranteed loan reestimates:			
235001 Farm Ownership—Unsubsidized .....	-24	-7	.....
235002 Farm Operating—Unsubsidized .....	8	19	.....
235003 Farm Operating—Subsidized .....	-1	.....	.....
235999 Total guaranteed loan reestimates .....	-17	12	.....
Administrative expense data:			
3510 Budget authority .....	324	325	320
3590 Outlays from new authority .....	322	325	320

Insurance Fund program account's loans are authorized by Title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, conservation, and emergency loans to individuals. Indian tribes and tribal corporations are eligible for Indian land acquisition loans, while individual Native Americans are eligible for loans for the purchase of highly fractionated Indian lands. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas. The 2020 Budget requests \$77.3 million for loan subsidies. The 2020 Budget requests a program level of \$7.674 billion. Per the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. For administrative costs, the 2019 Budget requests \$319.8 million.

Under the Dairy Indemnity Program, payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk. In 2018, \$165,444 was paid to producers who filed claims under the program. The 2020 Budget requests such sums as may be necessary, which are estimated to be \$500,000 for this program in 2020.

Object Classification (in millions of dollars)

Identification code 012-1140-0-1-351	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	315	315	294
41.0 Grants, subsidies, and contributions .....	244	252	114
99.9 Total new obligations, unexpired accounts .....	559	567	408

AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4212-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Capitalized costs .....	6	10	10
0005 Civil rights settlements .....	.....	1	1
0091 Direct program by activities - subtotal (1 level) .....	6	11	11
Credit program obligations:			
0710 Direct loan obligations .....	2,281	3,464	3,416
0713 Payment of interest to Treasury .....	356	358	358
0740 Negative subsidy obligations .....	44	26	5
0742 Downward reestimates paid to receipt accounts .....	104	118	.....
0743 Interest on downward reestimates .....	2	4	.....
0791 Direct program activities, subtotal .....	2,787	3,970	3,779
0900 Total new obligations, unexpired accounts .....	2,793	3,981	3,790
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	453	2,097	1,884
1021 Recoveries of prior year unpaid obligations .....	113	.....	.....
1023 Unobligated balances applied to repay debt .....	-456	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-110	.....	.....
1050 Unobligated balance (total) .....	.....	2,097	1,884
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	3,151	3,460	3,806
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,027	2,308	2,464
1825 Spending authority from offsetting collections applied to repay debt .....	-288	-2,000	-2,000
1850 Spending auth from offsetting collections, mand (total) .....	1,739	308	464
1900 Budget authority (total) .....	4,890	3,768	4,270
1930 Total budgetary resources available .....	4,890	5,865	6,154
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,097	1,884	2,364
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	498	484	811
3010 New obligations, unexpired accounts .....	2,793	3,981	3,790
3020 Outlays (gross) .....	-2,694	-3,654	-3,887
3040 Recoveries of prior year unpaid obligations, unexpired .....	-113	.....	.....
3050 Unpaid obligations, end of year .....	484	811	714
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-13	-13
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	485	471	798
3200 Obligated balance, end of year .....	471	798	701
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,890	3,768	4,270
Financing disbursements:			
4110 Outlays, gross (total) .....	2,694	3,654	3,887
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Reestimate payment from program account .....	-160	-108	.....
4120 Federal Sources: Subsidy payment from program account .....	-44	-64	-73
4122 Federal Sources: Interest on uninvested funds .....	-77	-72	-72
4123 Repayments of principal .....	-1,478	-1,738	-1,953
4123 Repayments of interest .....	-263	-326	-366
4123 Sale of Foreclosed Property/Other .....	-5	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-2,027	-2,308	-2,464
4160 Budget authority, net (mandatory) .....	2,863	1,460	1,806
4170 Outlays, net (mandatory) .....	667	1,346	1,423
4180 Budget authority, net (total) .....	2,863	1,460	1,806
4190 Outlays, net (total) .....	667	1,346	1,423

Status of Direct Loans (in millions of dollars)

Identification code 012-4212-0-3-351	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	2,281	3,206	3,159

1121 Limitation available from carry-forward .....	58	258	257
1142 Unobligated direct loan limitation (-) .....	-58	.....	.....
1150 Total direct loan obligations .....	2,281	3,464	3,416
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	10,198	10,868	12,218
1231 Disbursements: Direct loan disbursements .....	2,189	3,137	3,435
1251 Repayments: Repayments and prepayments .....	-1,477	-1,738	-1,953
1263 Write-offs for default: Direct loans .....	-42	-49	-52
1290 Outstanding, end of year .....	10,868	12,218	13,648

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land acquisition, Indian highly fractionated land, boll weevil eradication, conservation, and credit sales of acquired property.

Balance Sheet (in millions of dollars)

Identification code 012-4212-0-3-351	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	453	2,097
Investments in U.S. securities:		
1106 Receivables, net .....	162	107
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	10,198	10,868
1402 Interest receivable .....	250	267
1403 Accounts receivable from foreclosed property .....	11	.....
1404 Foreclosed property .....	.....	12
1405 Allowance for subsidy cost (-) .....	-431	-378
1405 Allowance for Interest Receivable (-) .....	-91	-92
1499 Net present value of assets related to direct loans .....	9,937	10,677
1603 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Allowance for estimated uncollectible loans and interest (-) .....	.....	.....
1999 Total assets .....	10,552	12,881
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	.....	12,759
2104 Resources payable to Treasury .....	10,448	.....
2105 Other .....	.....	122
2207 Non-Federal liabilities: Other .....	104	.....
2999 Total liabilities .....	10,552	12,881
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	10,552	12,881

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4213-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Purchase of guaranteed loans .....	1	1	1
0091 Direct program by activities - subtotal (1 level) .....	1	1	1
Credit program obligations:			
0711 Default claim payments on principal .....	48	51	52
0713 Payment of interest to Treasury .....	2	2	2
0740 Negative subsidy obligations .....	4	7	9
0742 Downward reestimates paid to receipt accounts .....	29	14	.....
0743 Interest on downward reestimates .....	2	1	.....
0791 Direct program activities, subtotal .....	85	75	63
0900 Total new obligations, unexpired accounts .....	86	76	64
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	224	253	285
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1023 Unobligated balances applied to repay debt .....	-7	-16	-16

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4213-0-3-351	2018 actual	2019 est.	2020 est.
1050 Unobligated balance (total) .....	218	237	269
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	48	30	30
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	73	94	77
1900 Budget authority (total) .....	121	124	107
1930 Total budgetary resources available .....	339	361	376
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	253	285	312

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	86	76	64
3020 Outlays (gross) .....	-85	-76	-64
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	121	124	107
Financing disbursements:			
4110 Outlays, gross (total) .....	85	76	64
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account upward reestimate .....	-26	-26	
4120 Payments from program account subsidy .....		-19	-19
4122 Interest on uninvested funds .....	-5	-4	-4
4123 Fees and premiums .....	-42	-42	-51
4123 Loss recoveries and repayments .....		-3	-3
4130 Offsets against gross budget authority and outlays (total) ....	-73	-94	-77
4160 Budget authority, net (mandatory) .....	48	30	30
4170 Outlays, net (mandatory) .....	12	-18	-13
4180 Budget authority, net (total) .....	48	30	30
4190 Outlays, net (total) .....	12	-18	-13

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 012-4213-0-3-351	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	3,204	5,709	4,515
2150 Total guaranteed loan commitments .....	3,204	5,709	4,515
2199 Guaranteed amount of guaranteed loan commitments .....	2,884	5,138	4,064
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	16,371	17,159	17,950
2231 Disbursements of new guaranteed loans .....	3,150	3,543	3,543
2251 Repayments and prepayments .....	-2,284	-2,674	-2,674
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-2	-13	-13
2263 Terminations for default that result in claim payments .....	-76	-65	-65
2290 Outstanding, end of year .....	17,159	17,950	18,741
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	15,443	15,446	16,867
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	166	187	200
2331 Disbursements for guaranteed loan claims .....	24	24	24
2351 Repayments of loans receivable .....	-1	-1	-1
2361 Write-offs of loans receivable .....	-2	-10	-10
2390 Outstanding, end of year .....	187	200	213

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

This account finances commitments made for farm ownership, operating and conservation guaranteed loan programs.

**Balance Sheet (in millions of dollars)**

Identification code 012-4213-0-3-351	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	224	253
Investments in U.S. securities:		
1106 Receivables, net .....		26
1206 Non-Federal assets: Receivables, net .....	15	
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	166	187
1502 Interest receivable .....		
1505 Allowance for subsidy cost (-) .....	-164	-185
1599 Net present value of assets related to defaulted guaranteed loans .....	2	2
1999 Total assets .....	241	281
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....		53
2104 Resources payable to Treasury .....	13	
2105 Other .....	22	12
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	206	216
2999 Total liabilities .....	241	281
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	241	281

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT

**Program and Financing (in millions of dollars)**

Identification code 012-4140-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Loan recoverable costs .....	2	1	1
0109 Costs incidental to acquisition of real property .....		1	1
0118 Civil rights settlements .....		1	1
0191 Total operating expenses .....		2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	
1022 Capital transfer of unobligated balances to general fund .....	-6	-4	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	54	81	81
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-48	-78	-78
1850 Spending auth from offsetting collections, mand (total) .....	6	3	3
1930 Total budgetary resources available .....	6	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	2
3010 New obligations, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....		-3	-3
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	3	3

Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	2
4101	Outlays from mandatory balances .....	1	1
4110	Outlays, gross (total) .....	3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources Principal Repayments .....	-54	-65
4123	Non-Federal sources Interest Repayments .....	-16	-16
4130	Offsets against gross budget authority and outlays (total) ....	-54	-81
4160	Budget authority, net (mandatory) .....	-48	-78
4170	Outlays, net (mandatory) .....	-54	-78
4180	Budget authority, net (total) .....	-48	-78
4190	Outlays, net (total) .....	-54	-78

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4140-0-3-351	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	268	226
1251	Repayments: Repayments and prepayments .....	-40	-65
1261	Adjustments: Capitalized interest .....	2	2
1263	Write-offs for default: Direct loans .....	-4	-4
1290	Outstanding, end of year .....	226	159

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4140-0-3-351	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	3	2
2251	Repayments and prepayments .....	-1	-1
2290	Outstanding, end of year .....	2	1
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1	1

**Balance Sheet** (in millions of dollars)

Identification code 012-4140-0-3-351	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	6
1601	Loans Receivable .....	268
1602	Interest receivable .....	115
1603	Allowance for estimated uncollectible loans and interest (-) .....	-115
1606	Foreclosed property .....	5
1699	Value of assets related to direct loans .....	273
1999	Total assets .....	279
<b>LIABILITIES:</b>		
2104	Federal liabilities: Resources payable to Treasury .....	279
2201	Non-Federal liabilities: Accounts payable .....	1
2999	Total liabilities .....	279
<b>NET POSITION:</b>		
3300	Cumulative results of operations .....	
4999	Total liabilities and net position .....	279

**COMMODITY CREDIT CORPORATION FUND**

**REIMBURSEMENT FOR NET REALIZED LOSSES**

(INCLUDING TRANSFERS OF FUNDS)

For the current fiscal year, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11): Provided, That amounts provided herein may be used, prior to the completion of the report described in 15 U.S.C. 713a-11, to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, as reflected in the June 2019 report of its financial condition: Provided further, That of the funds available to the Commodity Credit Corporation under section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i) for the conduct of its business with the Foreign Agricultural Service, up to \$5,000,000 may be transferred to and used by the Foreign Agricultural Service for information resource management

activities of the Foreign Agricultural Service that are not related to Commodity Credit Corporation business.

**HAZARDOUS WASTE MANAGEMENT**

(LIMITATION ON EXPENSES)

For the current fiscal year, the Commodity Credit Corporation shall not expend more than \$5,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9607(g)), and section 6001 of the Resource Conservation and Recovery Act (42 U.S.C. 6961).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-4336-0-3-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Price Loss Coverage .....	1,231	3,965
0002	Agriculture Risk Coverage .....	673	104
0004	Marketing Loans — Recourse .....	67	21
0006	Marketing Loans — Non-Recourse .....	7,096	8,015
0007	Loan Deficiency Payments .....		33
0008	Economic Adjustment Assistance for Upland Cotton .....	49	50
0009	Livestock Indemnity Program .....	37	30
0010	Livestock Forage Program .....	490	801
0011	ELAP .....	46	37
0012	Tree Assistance Program .....	46	31
0015	Storage, Transportation and Other .....	99	14
0016	Market Access Program .....	188	188
0018	Technical Assistance for Specialty Crops .....	6	9
0019	Emerging Markets Program .....	6	8
0021	Foreign Market Development Program .....	32	35
0022	Quality Samples Program .....	2	3
0023	Non-Insured assistance program .....	183	161
0026	Conservation Reserve Program Financial Assistance .....	1,215	1,679
0027	Conservation Reserve Program Technical Assistance .....	12	15
0028	Emergency Forestry Conservation Reserve Program .....	3	2
0029	Treasury Interest .....	164	604
0030	Other Interest .....	2	
0031	Reimbursable Agreements with State and Federal Agencies .....	47	56
0032	Food for Progress .....	160	207
0034	Section 4 Contracts .....	9	11
0037	Cotton Ginning Cost Share .....	216	
0038	Electronic Warehouse Receipts .....	1	1
0040	Noninsured Assistance Program Loss Adjuster .....	2	2
0041	Margin Protection Program .....	251	1,074
0042	Market Facilitation Program .....	52	11,948
0043	Organic Certification Cost Share .....	9	13
0044	Priority Trade .....		4
0047	All other Programs .....		13
0192	Total support and related programs .....	12,394	29,134
0799	Total direct obligations .....	12,394	29,134
0802	Commodities procured - PL480 Titles II / III Commodity costs ....		476
0803	Offsetting collections .....	15	
0809	Reimbursable program activities, subtotal .....	15	476
0899	Total reimbursable obligations .....	15	476
0900	Total new obligations, unexpired accounts .....	12,409	29,610

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	444	351
1001	Discretionary unobligated balance brought fwd, Oct 1 .....		5
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-86	
1021	Recoveries of prior year unpaid obligations .....	2,350	
1033	Recoveries of prior year paid obligations .....	128	
1050	Unobligated balance (total) .....	2,836	351
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	6	6
<b>Appropriations, mandatory:</b>			
1200	Appropriation .....	14,300	10,888
1220	Appropriations transferred to other accts [012-3507] .....	-21	-21
1220	Appropriations transferred to other accts [012-1004] .....	-3,640	-4,281
1220	Appropriations transferred to other accts [012-2073] .....	-15	-7
1220	Appropriations transferred to other accts [012-8015] .....		-2
1220	Appropriations transferred to other accts [012-2501] .....	-85	-90
1220	Appropriations transferred to other accts [012-4085] .....	-4	-4

COMMODITY CREDIT CORPORATION FUND—Continued  
Program and Financing—Continued

Identification code 012-4336-0-3-999	2018 actual	2019 est.	2020 est.	
1220	Appropriations transferred to other accts [012-1908] .....	-50	-50	-50
1220	Appropriations transferred to other accts [012-1600] .....	-75	-233	-75
1220	Appropriations transferred to other accts [012-1955] .....	-3		
1220	Appropriations transferred to other accts [012-0123] .....	-1	-1	-1
1220	Appropriations transferred to other accts [012-3106] .....	-43	-50	-25
1220	Appropriations transferred to other accts [012-0502] .....	-45	-60	
1220	Appropriations transferred to other accts [012-1502] .....	-100	-135	
1220	Appropriations transferred to other accts [012-2500] .....	-30	-43	-36
1220	Appropriations transferred to other acct [012-0520] .....			-188
1220	Appropriations transferred to other accts [012-5635] .....	-16	-16	-16
1220	Appropriations transferred to other accts [012-5636] .....	-30	-30	-30
1220	Appropriations transferred to other acct [012-0215] .....	-2		
1220	Appropriations transferred to other acct [012-0115] .....	-13	-15	-15
1220	Appropriations transferred to other acct [012-1072] .....		-50	-50
1220	Appropriations transferred to other acct [012-1900] .....		-19	-19
1236	Appropriations applied to repay debt .....	-10,112	-5,781	-20,655
1260	Appropriations, mandatory (total) .....	15		
	Borrowing authority, discretionary:			
1300	Borrowing authority .....		15	
	Borrowing authority, mandatory:			
1400	Borrowing authority .....	2,874,726	30,784	20,810
1421	Borrowing authority temporarily reduced .....	-1,181		
1422	Borrowing authority applied to repay debt .....	-2,863,657		
1440	Borrowing authority, mandatory (total) .....	9,888	30,784	20,810
	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	7,646	8,654	10,653
1801	Change in uncollected payments, Federal sources .....	-12		
1825	Spending authority from offsetting collections applied to repay debt .....	-7,619	-7,878	-9,807
1850	Spending auth from offsetting collections, mand (total) .....	15	776	846
1900	Budget authority (total) .....	9,924	31,581	21,656
1930	Total budgetary resources available .....	12,760	31,932	23,978
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	351	2,322	442
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	27,338	18,767	18,777
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....		2	
3010	New obligations, unexpired accounts .....	12,409	29,610	23,536
3020	Outlays (gross) .....	-18,632	-29,600	-17,112
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2,350		
3050	Unpaid obligations, end of year .....	18,767	18,777	25,201
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-123	-111	-111
3070	Change in uncollected pymts, Fed sources, unexpired .....	12		
3090	Uncollected pymts, Fed sources, end of year .....	-111	-111	-111
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	27,217	18,656	18,666
3200	Obligated balance, end of year .....	18,656	18,666	25,090
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	6	21	
	Outlays, gross:			
4010	Outlays from new discretionary authority .....		15	
4011	Outlays from discretionary balances .....	5	11	
4020	Outlays, gross (total) .....	5	26	
	Mandatory:			
4090	Budget authority, gross .....	9,918	31,560	21,656
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	9,417	19,973	9,502
4101	Outlays from mandatory balances .....	9,210	9,601	7,610
4110	Outlays, gross (total) .....	18,627	29,574	17,112
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-25		
4123	Commodity Loans Repaid .....	-7,459	-7,878	-9,807
4123	Assessments and Fees .....	-91	-124	-161
4123	Sales and Other Proceeds .....	-126	-476	-476
4123	Interest Revenue .....	-73	-176	-209
4130	Offsets against gross budget authority and outlays (total) ....	-7,774	-8,654	-10,653
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	12		

4143	Recoveries of prior year paid obligations, unexpired accounts .....	128		
4150	Additional offsets against budget authority only (total) .....	140		
4160	Budget authority, net (mandatory) .....	2,284	22,906	11,003
4170	Outlays, net (mandatory) .....	10,853	20,920	6,459
4180	Budget authority, net (total) .....	2,290	22,927	11,003
4190	Outlays, net (total) .....	10,858	20,946	6,459

**Memorandum (non-add) entries:**

5101	Unexpired unavailable balance, SOY: Borrowing authority .....	1,062	1,222	
5102	Unexpired unavailable balance, EOY: Borrowing authority .....	1,222		

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,290	22,927	11,003
Outlays .....	10,858	20,946	6,459
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-401
Outlays .....			-1,166
<b>Total:</b>			
Budget Authority .....	2,290	22,927	10,602
Outlays .....	10,858	20,946	5,293

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4336-0-3-999	2018 actual	2019 est.	2020 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	237	441	547
1231	Disbursements: Direct loan disbursements .....	8,623	8,330	8,330
1251	Repayments: Repayments and prepayments .....	-8,419	-8,224	-8,224
1290	Outstanding, end of year .....	441	547	653

The Commodity Credit Corporation (CCC) was created to stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Corporation's capital stock of \$100 million is held by the U.S. Treasury. Under present law, up to \$30 billion may be borrowed from the U.S. Treasury to finance operations. Current, indefinite appropriation authority is requested to cover all net realized losses. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

The Agriculture Improvement Act of 2018 (2018 Farm Bill), Public Law 115-334, was signed by the President on December 20, 2018. The 2018 Farm Bill repealed certain programs, continued some programs with modifications, and authorized several new programs. In addition, the Bipartisan Budget Act of 2018 (BBA), Public Law 115-123, provides assistance to producers impacted by specific hurricanes in 2017, and made changes to the CCC commodity and disaster programs.

**BUDGET ASSUMPTIONS**

The 2019 and 2020 budget estimates are primarily driven by lower commodity prices, and changes made to the commodity and conservation programs by the 2018 Farm Bill and the BBA. Outlay projections are subject to complex and unpredictable factors such as weather, U.S. and world consumer income growth, factors which affect the volume of production crops not yet planted, demands for feed, food, and bio-energy here and overseas, and foreign currency exchange rates and the value of the U.S. dollar overall.

**PROGRAMS OF THE CORPORATION**

*Price support, marketing assistance loans, and related stabilization programs.*—The Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means.

Price support is mandatory for sugar. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, peanuts, rice,

and pulse crops. Loans are also required to be made for sugar, honey, wool, mohair, and extra-long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, special purchases are made under various laws for the removal of surpluses; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

**Commodity Payment Programs.**—The BBA added seed cotton as a covered commodity eligible for Agriculture Risk Coverage (ARC) and Price Loss Coverage (PLC) payments. The BBA also removed generic base acres beginning with the 2018 crop year and allowed producers to reallocate generic base acres to seed cotton, or other covered commodities eligible for ARC/PLC payments.

**Price Loss Coverage (PLC).**—Payments are issued when the effective price of a covered commodity is less than the respective reference price for that commodity established in the statute. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the effective reference price and the effective price times the program payment yield for the covered commodity. The 2018 Farm Bill authorized a nationwide PLC yield update for the 2020 crop year.

**Agriculture Risk Coverage (ARC).**—There are two types: County ARC and Individual ARC.

**County ARC:** Payments are issued when the actual county crop revenue of a covered commodity is less than the ARC county guarantee for the covered commodity and are based on county data, not farm data. The ARC county guarantee equals 86 percent of the previous 5-year average national farm price, excluding the years with the highest and lowest price (the ARC guarantee price), times the 5-year average county yield, excluding the years with the highest and lowest yield (the ARC county guarantee yield). Both the guarantee and actual revenue are computed using base acres, not planted acres. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the county guarantee and the actual county crop revenue for the covered commodity. Payments may not exceed 10 percent of the benchmark county revenue (the ARC guarantee price times the ARC county guarantee yield).

**Individual ARC:** Payments are issued when the actual individual crop revenues, summed across all covered commodities on the farm, are less than ARC individual guarantees summed across those covered commodities on the farm. The farm for individual ARC purposes is the sum of the producer's interest in all ARC farms in the State. The farm's ARC individual guarantee equals 86 percent of the farm's individual benchmark guarantee, which is defined as the ARC guarantee price times the 5-year average individual yield, excluding the years with the highest and lowest yields, and summing across all crops on the farm. The actual revenue is computed in a similar fashion, with both the guarantee and actual revenue computed using planted acreage on the farm. The individual ARC payment equals: a) 65 percent of the sum of the base acres of all covered commodities on the farm, times b) the difference between the individual guarantee revenue and the actual individual crop revenue across all covered commodities planted on the farm. Payments may not exceed 10 percent of the individual benchmark revenue.

**Election Required.**—All of the producers on a farm must make an election of: 1) PLC/County ARC on a covered-commodity-by-covered-commodity basis; or 2) Individual ARC for all covered commodities on the farm. If the producers on the farm elect PLC/County ARC, the producers must also make a one-time election to select which base acres on the farm are enrolled in PLC and which base acres are enrolled in County ARC. Alternatively, if Individual ARC is selected, then every covered commodity on the farm must participate in Individual ARC. The 2018 Farm Bill authorized an

annual election opportunity beginning in crop year 2021, with an initial election opportunity in 2019 for both the 2019 and 2020 crop years. Also, authorization for ARC and PLC was extended through the 2023 crop year.

**Adjusted Gross Income.**—Adjusted gross income (AGI) provisions have been simplified and modified. Producers whose average AGI exceeds \$900,000 during a crop, fiscal, or program year are not eligible to participate in most programs administered by FSA and the Natural Resources Conservation Service (NRCS). Previous AGI provisions distinguished between farm and nonfarm AGI.

**Payment Limitations.**—The total amount of payments received, directly and indirectly, by a person or legal entity (except joint ventures or general partnerships) for Price Loss Coverage and Agriculture Risk Coverage (other than for peanuts), may not exceed \$125,000 per crop year. A person or legal entity that receives payments for peanuts has a separate \$125,000 payment limitation. For the Supplemental Disaster Programs, a payment limit of \$125,000 applies to payments under the Livestock Forage Disaster Program (LFP). The 2018 Farm Bill eliminated the payment limit for Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (ELAP), and the Bipartisan Budget Act of 2018 eliminated the payment limits for Livestock Indemnity Program (LIP) and the Tree Assistance Program (TAP).

**Marketing Assistance Loans (MALs) and Sugar Loans.**—The 2018 Farm Bill extends the authority for sugar loans for the 2019 through 2023 crop years and nonrecourse marketing assistance loans (MALs) and loan deficiency payment (LDPs) for the 2019–2023 crops of wheat, corn, grain sorghum, barley, oats, upland cotton, extra-long staple cotton (eligible for loans only), long grain rice, medium grain rice, soybeans, other oilseeds (including sunflower seed, rapeseed, canola, safflower, flaxseed, mustard seed, crambe and sesame seed), dry peas, lentils, small chickpeas, large chickpeas, graded and nongraded wool, mohair, honey, unshorn pelts, and peanuts. Availability of loans for some commodities may be affected by appropriations language. The Consolidated Appropriations Act, 2016 (Public Law 114–113) amended the Federal Agriculture Improvement and Reform Act of 1996, allowing producers to receive certificates in lieu of marketing loan gains or loan deficiency payments starting with the 2015 crop marketing year.

#### DAIRY PROGRAMS

**Dairy Margin Coverage.**—The 2018 Farm Bill renamed the Margin Protection Program for Dairy (MPP-Dairy) to the Dairy Margin Coverage Program. In addition, the 2018 Farm Bill made several major changes to include lowering premiums, adding additional levels of coverage, allowing 50 percent or a 75 percent credit toward new premiums of that the dairy operation paid for coverage during 2014 to 2017, and allowing producers to make a separate election for covered production over 5 million pounds. Also, the 2018 Farm Bill repealed the Dairy Product Donation Program and replaced it with a new fluid milk donation program.

**Dairy Indemnity Payment Program (DIPP).**—The program provides payments to dairy producers when a public regulatory agency directs them to remove their raw milk from the commercial market because it has been contaminated by pesticides and other residues.

#### OTHER PROGRAMS

**Noninsured Crop Disaster Assistance Program (NAP).**—NAP provides coverage, similar to buy-up provisions offered under the Federal crop insurance program. Producers may elect coverage for each individual crop between 50 and 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Producers also pay a fixed premium equal to 5.25 percent of the liability. The waiver of service fees has been expanded from just limited resource farmers to also include beginning farmers and socially disadvantaged farmers. The premiums for buy-up coverage are reduced by 50 percent for those same farmers.

**Biomass Crop Assistance Program (BCAP).**—BCAP provides incentives to farmers, ranchers and forest landowners to establish, cultivate and harvest eligible biomass for heat, power, bio-based products, research and advanced

## COMMODITY CREDIT CORPORATION FUND—Continued

biofuels. Crop producers and bioenergy facilities can team together to submit proposals to USDA for selection as a BCAP project area. The 2018 Farm Bill did provide an authorization to spend up to \$25 million annually through FY 2023 but changed the funding source from CCC mandatory funds to discretionary funds subject to annual appropriation.

*Feedstock Flexibility Program (FFP).*—FFP is continued through fiscal year 2023. Congress authorized the FFP in the 2008 Farm Bill, allowing for the purchase of sugar to be sold for the production of bioenergy in order to avoid forfeitures of sugar loan collateral under the Sugar Program.

## DISASTER PROGRAMS

The following four disaster programs were authorized by the 2008 Farm Bill under the USDA Supplemental Disaster Assistance Program. These programs were permanently re-authorized under CCC in the 2014 Farm Bill and modified in the 2018 Farm Bill.

*Livestock Forage Disaster Program (LFP).*—LFP provides compensation to eligible livestock producers that have suffered grazing losses due to drought or fire on land that is native or improved pastureland with permanent vegetative cover or that is planted specifically for grazing. LFP payments for drought are equal to 60 percent of the monthly feed cost for up to 5 months, depending upon the severity of the drought. LFP payments for fire on federally managed rangeland are equal to 50 percent of the monthly feed cost for the number of days the producer is prohibited from grazing the managed rangeland, not to exceed 180 calendar days.

*Livestock Indemnity Program (LIP).*—LIP provides benefits to livestock producers for livestock deaths in excess of normal mortality caused by adverse weather or by attacks by animals reintroduced into the wild by the Federal Government. LIP payments are equal to 75 percent of the average fair market value of the livestock. The BBA removed the payment limit for LIP and added provisions to provide benefits for the sale of animals at a reduced price if the sale occurred due to injury that was a direct result of an eligible adverse weather event or due to an attack by an animal reintroduced into the wild.

*Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP).*—ELAP provides emergency assistance to eligible producers of livestock, honeybees and farm-raised fish for losses due to disease (including cattle tick fever), adverse weather, or other conditions, such as blizzards and wildfires, not covered by LFP and LIP. The BBA removed the annual funding limitation of \$20 million per program year and clarified which losses are eligible for assistance. The 2018 Farm Bill eliminated the payment limit for ELAP.

*Tree Assistance Program (TAP).*—TAP provides financial assistance to qualifying orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes, and vines damaged by natural disasters. The BBA removed the payment limitation for TAP and increased the number of acres for which a producer can receive payment from 500 to 1,000 acres per year. In addition, the BBA expanded coverage under TAP by providing \$15 million for 2017 pecan tree losses for growers who suffered a pecan mortality loss that exceeds 7.5 percent (rather than a mortality loss that exceeds 15 percent) due to an eligible natural disaster.

## FOREIGN ASSISTANCE PROGRAMS

*Market Access Program (MAP).*—Under the MAP, CCC Funds are used to reimburse participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities. The 2018 Farm Bill continues the authority for the MAP program with annual funding of \$200 million for 2018–2023.

*Foreign Market Development Cooperator Program (FMD) and Quality Samples Program.*—Under the FMD program, cost-share assistance is provided to nonprofit commodity and agricultural trade associations to support overseas market development activities that are designed to remove long-term impediments to increased U.S. trade. CCC will fund the Quality Samples Program at an authorized annual level of \$2.5 million. Under this initiative, samples of U.S. agricultural products will be provided to foreign

importers to promote a better understanding and appreciation for the high quality of U.S. products.

*Technical Assistance for Specialty Crops and Emerging Markets.*—Emerging Markets is extended through 2023. Technical Assistance for Specialty Crops is extended through 2018 with annual funding of \$9 million for each fiscal year.

*The Bill Emerson Humanitarian Trust.*—The Bill Emerson Humanitarian Trust (BEHT) is a commodity and/or monetary reserve designed to ensure that the United States can meet its international food aid commitments. Assets of the Trust can be released any time the Administrator of the U.S. Agency for International Development determines that PL 480 Title II is inadequate to meet those needs in any fiscal year. When a release from the Trust is authorized, the Trust's assets cover all commodity costs associated with the release. All non-commodity costs, including ocean freight charges; internal transportation, handling, and storage overseas; and certain administrative costs are paid by CCC. The 2018 Farm Bill extends the authorization to replenish the BEHT through 2023.

## CONSERVATION PROGRAMS

*Conservation Reserve Program (CRP).*—The 2018 Farm Bill extended and modified the authorization of CRP through FY 2023. It limits the practice incentive payments to the actual cost of practice implementation and lowers the CRP soil rental payments to 85 percent of the rental rate for general program enrollment and 90 percent for continuous program enrollment. The acreage cap is increased from 24 million acres to 27 million acres by FY 2023. The 2018 Farm Bill also authorized up to \$12 million in incentive payments for tree thinning and related activities.

*Transition Incentive Program (TIP).*—The 2018 Farm Bill extended TIP through FY 2023. It authorized up to \$50 million to encourage the transition of expiring CRP land to a beginning, socially disadvantaged, or veteran farmer or rancher so land can be returned to sustainable grazing or crop production.

## OPERATING EXPENSES

The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; RMA; other agencies of the Department engaged in the Corporation's activities; and the Office of Inspector General for audit functions. Additional expenses are incurred by FSA county offices for work related to programs of the Corporation, other FSA expenses offset by revenue, custodian, and agency expenses of the Federal Reserve banks and lending agencies, and miscellaneous costs.

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above."

Section 161 of the 1996 Act amended Section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to State and Federal agencies. The Section 11 cap of \$56 million remains in 2019 and 2020.

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87–152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however. The Corporation receives reimbursement for the commodity costs and other costs, including administrative costs, for commodities supplied to domestic nutrition programs and international food aid programs.

FINANCING

*Appropriations.*—Reimbursement for Net Realized Losses. Under Section 2 of Public Law 87–155, the Act of August 17 1961 (15 U.S.C. 713a 11), annual appropriations are authorized for each fiscal year, commencing with 1961, to reimburse the Corporation for net realized losses. The Omnibus Budget Reconciliation Act of 1987 amended Public Law 87–155 to authorize that the Corporation is reimbursed for its net realized losses by means of a current, indefinite appropriation as provided in annual appropriations acts.

*Borrowing authority.*—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time. Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury. The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

*Non-Expenditure Transfers.*—The Commodity Credit Corporation transfers CCC funds to several agencies responsible for administering Farm Bill and other Corporation programs. Once transferred the expenses are recorded in the receiving agencies accounts.

Object Classification (in millions of dollars)

Identification code 012-4336-0-3-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
33.0 Investments and loans .....	7,178		
41.0 Grants, subsidies, and contributions .....	5,216	29,134	23,060
99.0 Direct obligations .....	12,394	29,134	23,060
<b>Reimbursable obligations:</b>			
25.3 Other goods and services from Federal sources .....		476	476
41.0 Grants, subsidies, and contributions .....	15		
99.0 Reimbursable obligations .....	15	476	476
99.9 Total new obligations, unexpired accounts .....	12,409	29,610	23,536

COMMODITY CREDIT CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-4336-4-3-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Economic Adjustment Assistance for Upland Cotton .....			-50
0010 Livestock Forage Program .....			-680
0026 Conservation Reserve Program Financial Assistance .....			-58
0032 Food for Progress .....			-166
0048 AGI Eligibility Limit .....			-63
0049 Limit Farm to One Manager .....			-70
0052 Add LDP's & MLG's to \$125K to ARC/PLC payment limit .....			-35
0053 Peanut Payment Limitation .....			-44
0192 Total support and related programs .....			-1,166
0799 Total direct obligations .....			-1,166
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-1,166

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1220 Appropriations transferred to other acct [012-1004] .....			765
Borrowing authority, mandatory:			
1400 Borrowing authority .....			-1,166
1900 Budget authority (total) .....			-401
1930 Total budgetary resources available .....			-401
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			765
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-1,166
3020 Outlays (gross) .....			1,166
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-401
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1,166
4180 Budget authority, net (total) .....			-401
4190 Outlays, net (total) .....			-1,166

The 2020 President's Budget targets commodity assistance, crop insurance subsidies, and conservation program assistance to producers that have an Adjusted Gross Income (AGI) of \$500,000 or less. Accordingly, such financial assistance will be targeted to producers that may lack the financial resources to recover from natural disasters and/or drops in revenue. Additionally, it closes payment limit loopholes by: including marketing loan gains in the \$125,000 payment limit; eliminating commodity certificates; eliminating the separate payment limit for peanut producers; and limiting eligibility for commodity subsidies to one manager per farm. It eliminates programs that are duplicative or are not fundamentally governmental in nature such as the Economic Adjustment Assistance for Upland Cotton Users, Food for Progress and the Livestock Forage Program. Lastly, the Budget changes the focus of the Conservation Reserve Program away from temporarily removing large tracts of land from production to one where the payment would help preserve sensitive agricultural corridors and increase soil health. In addition, it would reform the program's use of distortionary signing and incentive payments.

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

*For administrative expenses to carry out the Commodity Credit Corporation's Export Guarantee Program, GSM 102 and GSM 103, \$6,381,000; to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which \$6,063,000 shall be transferred to and merged with the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which \$318,000 shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses".*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1336-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	3	4	4
0707 Reestimates of loan guarantee subsidy .....	9		
0708 Interest on reestimates of loan guarantee subsidy .....	1		
0709 Administrative expenses .....	9	9	6
0900 Total new obligations, unexpired accounts .....	22	13	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	6	6

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 012-1336-0-1-351	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	6
Appropriations, mandatory:			
1200 Appropriation .....	15	4	4
1900 Budget authority (total) .....	24	13	10
1930 Total budgetary resources available .....	28	19	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	6	1
3010 New obligations, unexpired accounts .....	22	13	10
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-22	-18	-10
3050 Unpaid obligations, end of year .....	6	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	6	1
3200 Obligated balance, end of year .....	6	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	9	6
4011 Outlays from discretionary balances .....	1	1	
4020 Outlays, gross (total) .....	9	10	6
Mandatory:			
4090 Budget authority, gross .....	15	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	12	3	3
4101 Outlays from mandatory balances .....	1	5	1
4110 Outlays, gross (total) .....	13	8	4
4180 Budget authority, net (total) .....	24	13	10
4190 Outlays, net (total) .....	22	18	10

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1336-0-1-351	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 GSM 102 .....	1,978	5,000	5,000
215003 Export guarantee program—Facilities .....		500	500
215999 Total loan guarantee levels .....	1,978	5,500	5,500
Guaranteed loan subsidy (in percent):			
232001 GSM 102 .....	-19	-22	-21
232003 Export guarantee program—Facilities .....	-2.86	-2.52	-2.17
232999 Weighted average subsidy rate .....	-19	-43	-39
Guaranteed loan subsidy budget authority:			
233001 GSM 102 .....	-4	-11	-10
233003 Export guarantee program—Facilities .....		-13	-11
233999 Total subsidy budget authority .....	-4	-24	-21
Guaranteed loan subsidy outlays:			
234001 GSM 102 .....	-2	-11	-10
234003 Export guarantee program—Facilities .....		-13	-13
234999 Total subsidy outlays .....	-2	-24	-23
Guaranteed loan reestimates:			
235001 GSM 102 .....	8	-8	
235002 Supplier Credit .....	-1	-8	
235999 Total guaranteed loan reestimates .....	7	-16	
Administrative expense data:			
3510 Budget authority .....	5	9	6
3590 Outlays from new authority .....	5	10	6

This is the program account for the GSM-102 CCC Export Credit Guarantee Program. The GSM-102 Export Credit Guarantee Program covers credit terms of up to 18 months. Under this program, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States

can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guarantees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 program are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM-102 guarantees still use the ICRAS grades, but are now based on programmatic experience and country-specific assumptions rather than the government-wide risk premia used previously.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2020 Budget displays the GSM loan guarantee volume, the subsidy level that can be justified by forecast economic conditions, and the expected supply/demand conditions of countries requesting GSM loan guarantees. The 2020 Budget includes \$6.4 million for administrative expenses.

Object Classification (in millions of dollars)

Identification code 012-1336-0-1-351	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	9	4	4
41.0 Grants, subsidies, and contributions .....	13	9	6
99.9 Total new obligations, unexpired accounts .....	22	13	10

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4337-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		5	13
0713 Payment of interest to Treasury .....	14	12	12
0715 Pro Rate Share of Claims paid to banks .....		3	3
0740 Negative subsidy obligations .....	5	25	25
0742 Downward reestimates paid to receipt accounts .....	3	14	
0743 Interest on downward reestimates .....		2	
0900 Total new obligations, unexpired accounts .....	22	61	53
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	22	59
1023 Unobligated balances applied to repay debt .....	-4	-20	-20
1050 Unobligated balance (total) .....	10	2	39
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		66	66
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	60	87	100
1801 Change in uncollected payments, Federal sources .....	1	1	1
1825 Spending authority from offsetting collections applied to repay debt .....	-27	-36	-49
1850 Spending auth from offsetting collections, mand (total) .....	34	52	52

1900	Budget authority (total) .....	34	118	118
1930	Total budgetary resources available .....	44	120	157
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	22	59	104
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		1
3010	New obligations, unexpired accounts .....	22	61	53
3020	Outlays (gross) .....	-23	-60	-53
3050	Unpaid obligations, end of year .....		1	1
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-2	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	-1	-1
3200	Obligated balance, end of year .....	-1	-1	-2

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	34	118	118
Financing disbursements:				
4110	Outlays, gross (total) .....	23	60	53
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from Program Account Upward Reestimate .....	-10	-1	
4120	Payments from Program Account Positive Subsidy .....	-3	-4	-4
4122	Interest on uninvested funds .....	-1	-2	-2
4123	Loan origination fee .....	-11	-43	-45
4123	Recoveries of Principal .....	-30	-25	-38
4123	Recoveries of Interest .....	-5	-12	-11
4130	Offsets against gross budget authority and outlays (total) ...	-60	-87	-100
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4160	Budget authority, net (mandatory) .....	-27	30	17
4170	Outlays, net (mandatory) .....	-37	-27	-47
4180	Budget authority, net (total) .....	-27	30	17
4190	Outlays, net (total) .....	-37	-27	-47

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 012-4337-0-3-351	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	1,978	5,500	5,500
2150	Total guaranteed loan commitments .....	1,978	5,500	5,500
2199	Guaranteed amount of guaranteed loan commitments .....	1,872	5,325	5,325
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	1,684	1,881	2,165
2231	Disbursements of new guaranteed loans .....	1,918	5,500	5,500
2251	Repayments and prepayments .....	-1,721	-5,202	-5,202
2263	Adjustments: Terminations for default that result in claim payments .....		-14	-14
2290	Outstanding, end of year .....	1,881	2,165	2,449
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,843	2,122	2,400
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	471	440	402
2351	Repayments of loans receivable .....	-31	-38	-38
2390	Outstanding, end of year .....	440	402	364

**Balance Sheet (in millions of dollars)**

Identification code 012-4337-0-3-351	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	15	20
1101	Accounts Receivable, net .....	16	
Investments in U.S. securities:			
1106	Receivables, net .....		2

Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	471	440
1502	Interest receivable .....	16	10
1505	Allowance for subsidy cost (-) .....	-246	-220
1599	Net present value of assets related to defaulted guaranteed loans .....	241	230
1999	Total assets .....	272	252
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....	1	
2103	Debt .....		220
2104	Resources payable to Treasury .....	251	
2105	Other .....		24
Non-Federal liabilities:			
2201	Accounts payable .....		1
2204	Liabilities for loan guarantees .....	13	4
2207	Other .....	7	3
2999	Total liabilities .....	272	252
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	272	252

**COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 012-4338-0-3-351	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	
1022	Capital transfer of unobligated balances to general fund .....		-1	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3	8	8
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-2	-8	-8
1850	Spending auth from offsetting collections, mand (total) .....	1		
1930	Total budgetary resources available .....	1		
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	9	
3020	Outlays (gross) .....		-9	
3050	Unpaid obligations, end of year .....	9		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	9	
3200	Obligated balance, end of year .....	9		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1		
Outlays, gross:				
4101	Outlays from mandatory balances .....		9	
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-3	-8	-8
4180	Budget authority, net (total) .....	-2	-8	-8
4190	Outlays, net (total) .....	-3	1	-8

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 012-4338-0-3-351	2018 actual	2019 est.	2020 est.	
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	2		
2351	Repayments of loans receivable .....	-2		
2390	Outstanding, end of year .....			

COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING  
ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 012-4338-0-3-351	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	9	9
1701 Defaulted guaranteed loans, gross .....	2	
1702 Interest receivable .....		
1703 Allowance for estimated uncollectible loans and interest (-) .....		
1799 Value of assets related to loan guarantees .....	2	
1999 Total assets .....	11	9
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	9	
2104 Resources payable to Treasury .....	2	
Non-Federal liabilities:		
2201 Accounts payable .....		9
2207 Other .....		
2999 Total liabilities .....	11	9
4999 Total liabilities and net position .....	11	9

FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3301-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	10	24	
0706 Interest on reestimates of direct loan subsidy .....	1	3	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	11	27	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	11	27	
1930 Total budgetary resources available .....	11	27	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	11	27	
3020 Outlays (gross) .....	-11	-27	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	11	27	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11	27	
4180 Budget authority, net (total) .....	11	27	
4190 Outlays, net (total) .....	11	27	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3301-0-1-351	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Storage Facility Loans .....	246	300	300
115002 Sugar Storage Facility Loans .....		9	9
115999 Total direct loan levels .....	246	309	309
Direct loan subsidy (in percent):			
132001 Farm Storage Facility Loans .....	-1.27	-52	-23
132002 Sugar Storage Facility Loans .....		-96	-38
132999 Weighted average subsidy rate .....	-1.27	-53	-23
Direct loan subsidy budget authority:			
133001 Farm Storage Facility Loans .....	-3	-2	-1
133999 Total subsidy budget authority .....	-3	-2	-1
Direct loan subsidy outlays:			
134001 Farm Storage Facility Loans .....	-3	-4	-4
134999 Total subsidy outlays .....	-3	-4	-4
Direct loan reestimates:			
135001 Farm Storage Facility Loans .....	8	25	

135999 Total direct loan reestimates .....	8	25	
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**Farm Storage Facility Loan (FSFL) Program.**—The FSFL program was established by the Commodity Credit Corporation (CCC) in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities—the program was discontinued in the early 1980s when studies showed sufficient storage space was available. The FSFL was re-established in 2000 due to a severe shortage of available storage. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. The Agriculture Improvement Act of 2018 (the 2018 Farm Bill) continues the authority for this program. The program now provides producers financing with seven, ten, or twelve-year repayment terms and low interest rates. The program also offers a micro-loan option for loans under \$50,000 with three, five, or seven year repayment terms. The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

**Sugar Storage Facility Loans.**—The 2002 Farm Bill, as amended by the 2008 Farm Bill and extended through the 2018 Farm Bill, directs that CCC establish a sugar storage facility loan program to provide financing for processors of domestically produced sugarcane and sugar beets to construct or upgrade storage and handling facilities for raw sugars and refined sugars. The loan term is a minimum of seven years with the amount and terms being determined as any other commercial loan.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, and the administrative expenses are estimated on a cash basis.

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4158-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	246	309	309
0713 Payment of interest to Treasury .....	22	27	31
0740 Negative subsidy obligations .....	3	2	1
0742 Downward reestimates paid to receipt accounts .....	2	1	
0900 Total new obligations, unexpired accounts .....	273	339	341
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	63	4
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-6		
1021 Recoveries of prior year unpaid obligations .....	11		
1023 Unobligated balances applied to repay debt .....	-32	-63	-3
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	12		1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	312	339	360
1422 Borrowing authority applied to repay debt .....	-32		
1440 Borrowing authority, mandatory (total) .....	280	339	360
Spending authority from offsetting collections, mandatory:			
1800 Payments from program account (Upward Reestimate) .....	11	27	
1800 Principal repayments .....	157	149	171
1800 Interest repayments .....	16	17	22
1800 Interest on Uninvested Funds .....	10	8	8
1800 Fees and Other Collections .....		1	1
1825 Spending authority from offsetting collections applied to repay debt .....	-150	-198	-150
1850 Spending auth from offsetting collections, mand (total) .....	44	4	52
1900 Budget authority (total) .....	324	343	412
1930 Total budgetary resources available .....	336	343	413
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	63	4	72

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	157	190	211
3010	New obligations, unexpired accounts .....	273	339	341
3020	Outlays (gross) .....	-229	-318	-320
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050	Unpaid obligations, end of year .....	190	211	232
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	157	190	211
3200	Obligated balance, end of year .....	190	211	232

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	324	343	412
Financing disbursements:				
4110	Outlays, gross (total) .....	229	318	320
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payment from program account Upward Reestimate .....	-11	-27	
4122	Interest on uninvested funds .....	-10	-8	-8
4123	Principal collections .....	-174	-149	-171
4123	Interest collections .....		-17	-22
4123	Fees and Other Collections .....		-1	-1
4130	Offsets against gross budget authority and outlays (total) ....	-195	-202	-202
Additional offsets against financing authority only (total):				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160	Budget authority, net (mandatory) .....	130	141	210
4170	Outlays, net (mandatory) .....	34	116	118
4180	Budget authority, net (total) .....	130	141	210
4190	Outlays, net (total) .....	34	116	118

**Status of Direct Loans (in millions of dollars)**

Identification code 012-4158-0-3-351				
	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	246	309	309
1150	Total direct loan obligations .....	246	309	309
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	748	792	931
1231	Disbursements: Direct loan disbursements .....	229	288	309
1251	Repayments: Repayments and prepayments .....	-157	-149	-171
1264	Other adjustments, net (+ or -) .....	-28		
1290	Outstanding, end of year .....	792	931	1,069

**Balance Sheet (in millions of dollars)**

Identification code 012-4158-0-3-351			
	2017 actual	2018 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	189	253
Investments in U.S. securities:			
1106	Receivables, net .....	5	26
1206	Non-Federal assets: Receivables, net .....		5
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	748	792
1402	Interest receivable .....	10	10
1405	Allowance for subsidy cost (-) .....	-4	-41
1499	Net present value of assets related to direct loans .....	754	761
1801	Other Federal assets: Cash and other monetary assets .....		2
1999	Total assets .....	948	1,047
LIABILITIES:			
Federal liabilities:			
2103	Debt payable to Treasury .....	948	1,041
2105	Other Federal liabilities .....		1
2201	Non-Federal liabilities: Accounts payable .....		5
2999	Total liabilities .....	948	1,047
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	948	1,047

APPLE LOANS PROGRAM ACCOUNT

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices. Although the program is funded through the Commodity Credit Corporation, program management is performed through farm loan programs. No further funding is requested for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis.

EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT

**Program and Financing (in millions of dollars)**

Identification code 012-4221-0-3-351			
	2018 actual	2019 est.	2020 est.

**Budgetary resources:**

Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Principal repayments .....	1	1	1
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-1	-1

**Financing authority and disbursements, net:**

Mandatory:				
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Principal repayments .....	-1	-1	-1
4180	Budget authority, net (total) .....	-1	-1	-1
4190	Outlays, net (total) .....	-1	-1	-1

**Status of Direct Loans (in millions of dollars)**

Identification code 012-4221-0-3-351				
	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	5	4	3
1251	Repayments: Repayments and prepayments .....	-1	-1	-1
1290	Outstanding, end of year .....	4	3	2

**Balance Sheet (in millions of dollars)**

Identification code 012-4221-0-3-351			
	2017 actual	2018 actual	
ASSETS:			
Federal assets:			
Investments in U.S. securities:			
1106	Receivables, net .....		1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	5	4
1405	Allowance for subsidy cost (-) .....	-1	-1
1499	Net present value of assets related to direct loans .....	4	3
1999	Total assets .....	4	4
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable .....	4	
2103	Debt .....		4
2999	Total liabilities .....	4	4
4999	Total liabilities and net position .....	4	4

AGRICULTURAL DISASTER RELIEF FUND

**Program and Financing (in millions of dollars)**

Identification code 012-5531-0-2-351			
	2018 actual	2019 est.	2020 est.

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	15	15	15
1023	Unobligated balances applied to repay debt .....	-1		

AGRICULTURAL DISASTER RELIEF FUND—Continued  
Program and Financing—Continued

Identification code 012-5531-0-2-351	2018 actual	2019 est.	2020 est.
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4170 Outlays, net (mandatory) .....	-1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-2,611	-2,611	-2,611
5081 Outstanding debt, EOY .....	-2,611	-2,611	-2,611

The Agricultural Disaster Relief Trust Fund, established under Section 902 of the Food, Conservation, and Energy Act of 2008, administered by USDA Farm Service Agency, used to execute payments to farmers and ranchers under the following five disaster assistance programs: Supplemental Revenue Assistance Payments (SURE) Program, Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP), Tree Assistance Program (TAP), and Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP) Program. The Agricultural Act of 2014, the 2014 Farm Bill, extended all but SURE and shifted the funding authority for these disaster programs from the Agriculture Disaster Relief Trust Fund to the Commodity Credit Corporation. In FY 2018, the outlays are due to residual payments, corrections and/or appeals to obligations incurred during prior crop years. Obligations in 2019 may be still be required to make residual payments for disaster programs under the Disaster Trust authority.

PIMA AGRICULTURE COTTON TRUST FUND  
Program and Financing (in millions of dollars)

Identification code 012-5635-0-2-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pima Cotton Agreements .....	15	15	15
0900 Total new obligations, unexpired accounts (object class 41.0) .....	15	15	15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] .....	16	16	16
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	15	15	16
1930 Total budgetary resources available .....	15	15	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	15	15	15
3020 Outlays (gross) .....	-15	-15	-15

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	15	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....		15	15

4101 Outlays from mandatory balances .....	15		
4110 Outlays, gross (total) .....	15	15	15
4180 Budget authority, net (total) .....	15	15	16
4190 Outlays, net (total) .....	15	15	15

The Pima Agriculture Cotton Trust Fund was authorized under Section 12314 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric. Mandatory funding as established in the Farm Bill is \$16 million annually, to be transferred from funds of the Commodity Credit Corporation. Through the Agriculture Improvement Act of 2018, the 2018 Farm Bill, this program is extended through calendar year 2023.

AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND  
Program and Financing (in millions of dollars)

Identification code 012-5636-0-2-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Wool Manufacturers Payments .....	28	30	30
0900 Total new obligations, unexpired accounts (object class 41.0) .....	28	30	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] .....	30	30	30
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2		
1260 Appropriations, mandatory (total) .....	28	30	30
1930 Total budgetary resources available .....	44	46	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	28	30	30
3020 Outlays (gross) .....	-28	-30	-30

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	28	30	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....		30	30
4101 Outlays from mandatory balances .....	28		
4110 Outlays, gross (total) .....	28	30	30
4180 Budget authority, net (total) .....	28	30	30
4190 Outlays, net (total) .....	28	30	30

The Agriculture Wool Apparel Manufacturers Trust Fund was authorized under Section 12315 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric. Mandatory funding as established in the Farm Bill is the lesser of the amount the Secretary determines to be necessary to make payments in that year or \$30 million each year, to be transferred from funds of the Commodity Credit Corporation. Per the Agriculture Improvement Act of 2018, the 2018 Farm Bill, this program is extended through calendar year 2023.

Trust Funds

TOBACCO TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8161-0-7-351	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			

Receipts:			
Current law:			
1110	Excise Taxes for Tobacco Assessments, Tobacco Trust Fund .....	3	
2000	Total: Balances and receipts .....	3	
Appropriations:			
Current law:			
2101	Tobacco Trust Fund .....	-3	
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 012-8161-0-7-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Tobacco Buyout Cost Reimbursement to CCC .....	5	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	5	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	20	18
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	3	
1930	Total budgetary resources available .....	23	18
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	18	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	New obligations, unexpired accounts .....	5	
3020	Outlays (gross) .....	-6	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	3	
Outlays, gross:			
4101	Outlays from mandatory balances .....	6	
4180	Budget authority, net (total) .....	3	
4190	Outlays, net (total) .....	6	

**NATURAL RESOURCES CONSERVATION SERVICE**  
*Federal Funds*

PRIVATE LANDS CONSERVATION OPERATIONS

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 428a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, \$755,000,000, to remain available until September 30, 2021: Provided, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the cost of alterations and improvements to other buildings and other public improvements shall not exceed \$250,000: Provided further, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a.

In addition, \$1,230,172,000, to be available for the same time period and for the same purposes as the appropriation from which transferred, shall be derived by transfer from the Farm Security and Rural Investment Program for technical assistance in support of conservation programs authorized by title XII of the Food Security Act of 1985, as amended (16 U.S.C. 3801-3862); section 524(b) of the Federal Crop Insurance Act, as amended (7 U.S.C. 1524(b)); and section 502 of the Healthy Forests Restoration Act of 2003, as amended (16 U.S.C. 6572): Provided, That, upon a determination that additional funding is necessary for technical assistance

for the purposes provided herein, additional such amounts may be derived by transfer from the Farm Security and Rural Investment Program: Provided further, That any portion of the funding derived by transfer deemed not necessary for the purposes provided herein may be transferred to the Farm Security and Rural Investment Program: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1000-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Conservation Technical Assistance .....	736	886
0002	Soil surveys .....	77	88
0003	Snow survey and water forecasting .....	9	10
0004	Plant materials centers .....	9	11
0005	Watershed Projects .....	6	6
0007	Technical Assistance from 12-1004 .....		
0799	Total direct obligations .....	837	1,001
0801	EPA Great Lakes - Reimbursable .....	4	
0802	Reimbursable Agency Activity .....	8	13
0899	Total reimbursable obligations .....	12	13
0900	Total new obligations, unexpired accounts .....	849	1,014
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	106	150
1021	Recoveries of prior year unpaid obligations .....	3	
1050	Unobligated balance (total) .....	109	150
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	874	874
1121	Appropriations transferred from other acct [012-1004] .....		
1160	Appropriation, discretionary (total) .....	874	874
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	6	13
1701	Change in uncollected payments, Federal sources .....	18	
1750	Spending auth from offsetting collections, disc (total) .....	24	13
1900	Budget authority (total) .....	898	887
1930	Total budgetary resources available .....	1,007	1,037
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-8	
1941	Unexpired unobligated balance, end of year .....	150	23

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	381	422
3010	New obligations, unexpired accounts .....	849	1,014
3011	Obligations ("upward adjustments"), expired accounts .....	2	
3020	Outlays (gross) .....	-797	-815
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3	
3041	Recoveries of prior year unpaid obligations, expired .....	-10	
3050	Unpaid obligations, end of year .....	422	621
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-33	-40
3070	Change in uncollected pymts, Fed sources, unexpired .....	-18	
3071	Change in uncollected pymts, Fed sources, expired .....	11	
3090	Uncollected pymts, Fed sources, end of year .....	-40	-40
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	348	382
3200	Obligated balance, end of year .....	382	581

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	898	887
Outlays, gross:			
4010	Outlays from new discretionary authority .....	544	537
4011	Outlays from discretionary balances .....	253	278
4020	Outlays, gross (total) .....	797	815
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-12	-13
4033	Non-Federal sources .....	-2	
4040	Offsets against gross budget authority and outlays (total) .....	-14	-13

PRIVATE LANDS CONSERVATION OPERATIONS—Continued  
Program and Financing—Continued

Identification code 012-1000-0-1-302	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-18		
4052 Offsetting collections credited to expired accounts .....	8		
4060 Additional offsets against budget authority only (total) .....	-10		
4070 Budget authority, net (discretionary) .....	874	874	1,985
4080 Outlays, net (discretionary) .....	783	802	1,576
4180 Budget authority, net (total) .....	874	874	1,985
4190 Outlays, net (total) .....	783	802	1,576

The Natural Resources Conservation Service (NRCS) supports the rural economy and helps private landowners and producers protect the natural resource base on private lands. NRCS provides technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans that are designed to safeguard natural resources and improve wildlife habitat, and provide financial assistance through mandatory Farm Bill conservation programs to partially offset the cost to install practices included in those plans. NRCS provides additional science-based support for conservation efforts through soil surveys, snow survey and water supply forecasting, and plant materials centers. These activities are supported by appropriated funding, including funding requested in the Private Lands Conservation Operations account, and by mandatory funding in the Farm Security and Rural Investment account. NRCS comprises over 9,000 employees with a wide range of natural resource backgrounds, including soil and rangeland conservation, wildlife biology, forestry and engineering. Through this collective conservationist workforce, the Administration strives to protect the natural resource base on private lands. The 2020 Budget requests a total of \$755 million for Private Lands Conservation Operations. In addition, to provide a more accurate picture of the total staff resources necessary to implement voluntary conservation, the Budget displays mandatory technical assistance of \$1.2 billion in this account.

**Technical assistance.**—Through the Conservation Technical Assistance (CTA) Program, NRCS provides its customers and partners — agricultural producers, private landowners, conservation districts, Tribes, and other organizations — the knowledge and conservation tools they need to conserve, maintain, and improve our private-land natural resources. This assistance centers around individual and landscape-scale conservation plans that contain optimal strategies tailored to protect the resources on the land they manage. Actions described in the plans help land managers reduce erosion; protect water quality and quantity; improve air quality; enhance the quality of fish and wildlife habitat; improve long-term sustainability of all lands; and facilitate land use changes while protecting and sustaining our natural resources. The CTA Program also provides the science-based tools that support conservation planning.

MAIN WORKLOAD FACTORS

	2018 actual	2019 est.	2020 est.
Customers receiving technical assistance for planning & application, number .....	129,810	130,000	130,000
Conservation systems planned, million acres .....	35.5	35.5	35.5
Cropland with conservation applied to improve soil quality, million acres .....	6.0	6.0	6.0
Grazing land with conservation applied to protect the resource base, million acres .....	11.3	11.3	11.3

In addition to technical assistance for conservation planning provided through the CTA Program, NRCS also offers technical assistance for the design, implementation, and management of cost-shared conservation practices through mandatory Farm Bill conservation programs under the Farm Security and Rural Investment Programs. This combined technical assistance funding provides for the salaries and expenses of conservation professionals, including NRCS's extensive field staff and a growing number of technical service providers and other cooperators who work with land managers in assessing and applying conservation strategies.

**Soil surveys.**—The primary focus of the Soil Survey Program is to provide current and consistent map interpretations and data sets of the soil resources of the United States. Managing soil as a strategic natural resource is key to the vitality of the Nation's rural economy. Scientists and policy makers use soil survey information to help evaluate the sustainability and environmental effects of land use and management practices. Soil surveys are used by planners, engineers, farmers, ranchers, developers, and home owners to evaluate soil suitability and make management decisions for farms, home sites, subdivisions, commercial and industrial sites, and wildlife and recreational areas. NRCS is the lead Federal agency for the National Cooperative Soil Survey (NCSS), a partnership of Federal land management agencies, State agricultural experiment stations, private consultants, and State and local governments that works to cooperatively investigate, inventory, document, classify, interpret, disseminate, and publish information about soils. NRCS provides the scientific expertise to enable the NCSS to develop and maintain a uniform system for mapping and assessing soil resources.

MAIN WORKLOAD FACTORS

	2018 actual	2019 est.	2020 est.
Acres mapped annually (millions) .....	49.3	41	41

**Snow survey and water supply forecasting.**—The purpose of the program is to provide western States and Alaska with information on future water supplies for decisions relating to agricultural production, fish and wildlife management, municipal and industrial water supply, urban development, flood control, recreation power generation, and water quality management. NRCS field staff and cooperators collect and analyze data on snow depth, snow water equivalent, and other climate parameters at approximately 2,000 remote, high elevation data collection sites. The water supply forecasts are used by individual farmers and ranchers; water resource managers; Federal, State, and local government agencies; municipal and industrial water providers; hydroelectric power generation utilities; irrigation districts; fish and wildlife management agencies; reservoir project managers; recreationists; Tribal Nations; and the countries of Canada and Mexico.

**Plant Material Centers (PMCs).**—NRCS operates 25 PMCs, each based in ecologically distinct areas, to evaluate plants and vegetative technologies to support USDA conservation programs and practices. NRCS's network of 25 PMCs identify, evaluate, and demonstrate the performance of plants and plant technologies to help solve natural resource problems and improve the utilization of our nation's natural resources. PMCs continue to build on their long and successful history of releasing plants for resource conservation, which has been instrumental in increasing the commercial availability of appropriate plant materials for the public. PMC plants and plant technologies contribute to reducing soil erosion; increasing cropland soil health and productivity; restoring wetlands, improving water quality, improving wildlife habitat (including pollinators); protecting streambank and riparian areas; stabilizing coastal dunes; producing forage; improving air quality; and addressing other conservation treatment needs.

The studies conducted by PMCs provide a basis for NRCS vegetative recommendations and conservation practices. The work performed by the PMC staff ensures that NRCS conservation practices are scientifically-based, improves the knowledge of NRCS field staff through PMC-led training sessions and demonstrations, and results in recommendations to meet new and emerging natural resource issues. The work at PMCs is carried out cooperatively with State and Federal agencies, universities, Tribes, commercial businesses, and seed and nursery associations. PMC activities directly benefit private landowners and Federal and State land managing agencies.

The Farm Production and Conservation (FPAC) Business Center is a centralized operations office within the FPAC Mission Area responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and its component agencies, including the Farm Service Agency (FSA), the Natural Resources Conservation Service

(NRCS), and the Risk Management Agency (RMA). This account includes a funding reduction that offsets, in part, the request for the FPAC Business Center. The funding requested for the FPAC Business Center is an estimate based on current staffing in the FPAC agencies, including NRCS, FSA, and RMA, and the estimated costs for implementing the Business Center.

**Object Classification** (in millions of dollars)

Identification code 012-1000-0-1-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	300	362	314
11.1 Full-time permanent			395
11.3 Other than full-time permanent	2	2	2
11.3 Other than full-time permanent			2
11.5 Other personnel compensation	10	11	10
11.5 Other personnel compensation			7
11.9 Total personnel compensation	312	375	730
12.1 Civilian personnel benefits	122	146	127
12.1 Civilian personnel benefits			156
21.0 Travel and transportation of persons	15	16	12
21.0 Travel and transportation of persons			13
22.0 Transportation of things	2	3	2
22.0 Transportation of things			3
23.1 Rental payments to GSA	15	15	15
23.1 Rental payments to GSA			17
23.2 Rental payments to others	33	34	27
23.2 Rental payments to others			26
23.3 Communications, utilities, and miscellaneous charges	4	4	3
23.3 Communications, utilities, and miscellaneous charges			2
24.0 Printing and reproduction	1	1	
24.0 Printing and reproduction			2
25.2 Other services from non-Federal sources	149	207	108
25.2 Other services from non-Federal sources			314
25.3 Other goods and services from Federal sources	2	1	2
25.3 Other goods and services from Federal sources			1
25.4 Operation and maintenance of facilities	151	162	105
25.4 Operation and maintenance of facilities			268
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	8	10	8
26.0 Supplies and materials			7
31.0 Equipment	20	23	17
31.0 Equipment			15
32.0 Land and structures	2	2	2
99.0 Direct obligations	837	1,000	1,983
99.0 Reimbursable obligations	11	13	13
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	849	1,014	1,997

**Employment Summary**

Identification code 012-1000-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	4,709	5,191	4,298
1001 Direct civilian full-time equivalent employment			4,908
2001 Reimbursable civilian full-time equivalent employment	48	48	48

**FARM SECURITY AND RURAL INVESTMENT PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 012-1004-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Wetlands Reserve Program	153	31	
0002 Environmental Quality Incentives Program	1,859	1,967	1,321
0004 Agricultural Water Enhancement Program	1	6	
0005 Wildlife Habitat Incentives Program	5	9	
0006 Farm and Ranch Lands Protection Program	3	3	
0008 Grassland Reserve Program	1	15	6
0009 Conservation Stewardship Program 2014	1,197	1,893	763
0010 Agricultural Management Assistance Program	4	5	4
0011 Chesapeake Bay Watershed Initiative	2	9	
0012 Healthy Forests Reserve Program		8	
0013 Conservation Reserve Program - Direct	86	109	
0014 Agricultural Conservation Easement Program	338	563	310
0015 Regional Conservation Partnership Program	73	392	289
0016 Voluntary Public Access and Habitat Incentive Program		10	14
0017 Mitigation Banking	1	2	
0018 Feral Swine Eradication and Control Pilot Program		7	10

0019 Conservation Stewardship Program - 2018			577
0799 Total direct obligations	3,723	5,029	3,294
0801 Reimbursable program activities		16	16
0802 Reimbursable EPA Great Lakes Environmental Quality Incentives Program	19	19	19
0899 Total reimbursable obligations	19	35	35
0900 Total new obligations, unexpired accounts	3,742	5,064	3,329

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	1,469	1,550	519
1021 Recoveries of prior year unpaid obligations	233		
1050 Unobligated balance (total)	1,702	1,550	519
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1120 Appropriations transferred to other acct [012-1000]			-1,230
1120 Appropriations transferred to other acct [012-0180]			-60
1160 Appropriation, discretionary (total)			-1,290
<b>Appropriations, mandatory:</b>			
1203 Appropriation (previously unavailable)	179		
1221 Appropriations transferred from other acct [012-4336]	3,640	4,281	4,274
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-252	-260	
1260 Appropriations, mandatory (total)	3,567	4,021	4,274
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Offsetting Collections	11	12	
1801 Change in uncollected payments, Federal sources	12		
1850 Spending auth from offsetting collections, mand (total)	23	12	
1900 Budget authority (total)	3,590	4,033	2,984
1930 Total budgetary resources available	5,292	5,583	3,503
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1,550	519	174

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	4,428	4,799	6,353
3010 New obligations, unexpired accounts	3,742	5,064	3,329
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-3,095	-3,510	-3,034
3040 Recoveries of prior year unpaid obligations, unexpired	-233		
3041 Recoveries of prior year unpaid obligations, expired	-45		
3050 Unpaid obligations, end of year	4,799	6,353	6,648
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-48	-58	-58
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-58	-58	-58
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	4,380	4,741	6,295
3200 Obligated balance, end of year	4,741	6,295	6,590

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross			-1,290
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority			-813
<b>Mandatory:</b>			
4090 Budget authority, gross	3,590	4,033	4,274
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	768	779	1,245
4101 Outlays from mandatory balances	2,327	2,731	2,602
4110 Outlays, gross (total)	3,095	3,510	3,847
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources	-12	-12	
4123 Non-Federal sources	-1		
4130 Offsets against gross budget authority and outlays (total)	-13	-12	
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired	-12		
4142 Offsetting collections credited to expired accounts	2		
4150 Additional offsets against budget authority only (total)	-10		
4160 Budget authority, net (mandatory)	3,567	4,021	4,274
4170 Outlays, net (mandatory)	3,082	3,498	3,847
4180 Budget authority, net (total)	3,567	4,021	2,984
4190 Outlays, net (total)	3,082	3,498	3,034

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,567	4,021	2,984
Outlays .....	3,082	3,498	3,034
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-765
Outlays .....			-152
Total:			
Budget Authority .....	3,567	4,021	2,219
Outlays .....	3,082	3,498	2,882

Title XII of the Food Security Act of 1985 provides mandatory funding for critical conservation efforts on private lands, including critical wetlands, grasslands, forests, and farm and ranch lands. For conservation programs where NRCS is the lead implementation agency, funds are transferred from the Commodity Credit Corporation (CCC) to the Farm Security and Rural Investment Programs account. This mandatory funding supports NRCS's efforts to protect the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat.

The Agriculture Improvement Act of 2018 amended Title XII of the Food Security Act of 1985, reauthorizing some programs, and creating one new conservation program that is administered by NRCS. A number of conservation programs were extended in the 2020 Budget's baseline beyond 2023 based upon scorekeeping conventions.

In 2020, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. This account will continue to show the funding provided for the financial assistance costs necessary for delivering the following programs:

**Environmental Quality Incentives Program (EQIP).**—This program is authorized under section 1240 of the Food Security Act of 1985, as amended. The EQIP is re-authorized through 2019 by Section 60102 of the Improvements to Agriculture Programs Act of 2018. The Agriculture Improvement Act of 2018 reauthorizes the program through 2023, and the 2020 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The purpose of the program is to promote agricultural production and environmental quality as compatible national goals. EQIP promotes the voluntary application of land-based conservation practices and activities that maintain or improve the condition of the soil, water, plants, and air; conserve energy; and address other natural resource concerns. Eligible land includes cropland, rangeland, pastureland, private nonindustrial forestland, tribal land, and other farm or ranch lands. The land must have an identified natural resource concern that poses a serious threat to soil, water, air, or related resources by reason of land use practices, soil type, terrain, climatic conditions, topography, flooding, saline characteristics, or other natural resource factors. In 2020, the Budget proposes \$1.750 billion for this program.

**Conservation Stewardship Program (CSP).**—This program is authorized by Section 1238D of the Food Security Act of 1985, as amended. The Agriculture Improvement Act of 2018 reauthorized the program through 2023, and the 2020 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The program encourages producers to address resource concerns in a comprehensive manner by undertaking additional conservation activities and improving, maintaining and managing existing conservation activities. The 2020 Budget proposes \$1.67 billion for this program for new contracts, existing contracts, and renewals. The Budget also includes a proposal to eliminate the program.

**Conservation Reserve Program (CRP) Technical Assistance.**—CRP is authorized by Sections 1231–1235A of the Food Security Act of 1985, as amended, and is administered by the Farm Service Agency. NRCS supports the program by providing technical assistance to producers to implement conservation practices on CRP land. The Agriculture Improvement Act of 2018 reauthorized the program, and the 2020 Budget assumes \$95 million in technical assistance for NRCS support of CRP.

**Agricultural Conservation Easement Program (ACEP).**—ACEP consists of two components: 1) an agricultural land easement component under which NRCS assists eligible entities to protect agricultural land by limiting non-agricultural uses of that land through the purchase of agricultural land easements; and 2) a wetland reserve easement component under which NRCS provides financial and technical assistance directly to landowners to restore, protect and enhance wetlands through the purchase of wetlands reserve easements. The program is authorized through 2023 by the Agriculture Improvement Act of 2018 as a Title XII program under the Food Security Act of 1985. The 2020 Budget assumes that the program extends beyond 2023 in the baseline for scorekeeping purposes. For 2020, the Budget includes the authorized level of funding for ACEP at \$450 million. The budget also includes a proposal to reduce the program by \$40 million per year.

**Regional Conservation Partnership Program (RCPP).**—RCPP promotes the implementation of conservation activities through agreements between NRCS and partners and through conservation program contracts and easements with producers and landowners. The program is authorized through 2023 by the Agriculture Improvement Act of 2018 as a Title XII program under the Food Security Act of 1985. Through agreements between partners and conservation program contracts or easements directly with producers and landowners, RCPP helps implement conservation projects that may focus on water quality and quantity, soil erosion, wildlife habitat, drought mitigation, and flood control, or other regional priorities. The 2020 Budget assumes that the program extends beyond 2023 in the baseline for scorekeeping purposes. The authorized level of funding for RCPP is \$300 million.

**Voluntary Public Access and Habitat Incentive Program (VPA-HIP).**—The program is authorized by Section 1240R of the Food Security Act of 1985, and Section 2406 of the Agriculture Improvement Act of 2018 reauthorizes the program and provides \$50 million for obligations between 2019 through 2023 (this program was not extended in the baseline). VPA-HIP is a competitive grant program. Funding is limited to State and Tribal governments establishing new public access programs, expanding existing public access programs, and/or enhancing wildlife habitat on lands enrolled in public access programs.

**Feral Swine Eradication and Control Pilot Program.**—The program is authorized by Sections 2408 of the Agriculture Improvement Act of 2018. The program provides \$75 million for obligations between 2019 and 2023 of which NRCS is to receive 50 percent. The program will be implemented by NRCS and the Animal Plant Health Inspection Service. The program will be used to respond to the threat feral swine pose to agriculture, native ecosystems, and human and animal health. The 2020 budget proposes \$37.5 million.

In addition to the programs authorized under the Food Security Act of 1985, NRCS implements the following conservation programs:

**Agricultural Management Assistance Program (AMA).**—This program is authorized by Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended. It authorizes \$10 million annually for the program, of which NRCS is to receive 50 percent. This program is implemented by NRCS, the Agricultural Marketing Service, and the Risk Management Agency. The NRCS AMA activities are carried out in 16 States in which participation in the Federal Crop Insurance Program is historically low. The program provides assistance to producers to mitigate financial risk by using conservation to reduce soil erosion and improve water quality. The 2020 Budget proposes \$5 million for the program.

NRCS works to deliver conservation programs using its technical field staff and by partnering with public and private entities through the Technical Service Provider (TSP) system. NRCS can contract with TSPs to help deliver the Farm Bill programs, or agricultural producers may select TSPs to help plan and implement conservation practices on their operations.

The U.S. has made great strides in improving water quality; however, nonpoint source pollution remains a significant challenge that requires policy attention and thoughtful new approaches. In 2020, the Budget continues the agency's efforts to better coordinate conservation efforts among key Federal partners, along with agricultural producer organizations, conservation districts, States, Tribes, non-governmental organizations and other local leaders to identify areas where a focused and coordinated approach can achieve substantial improvements in water quality. The Budget builds upon the collaborative process already underway among Federal partners to demonstrate substantial improvements in water quality from conservation programs by ensuring that USDA's key investments through Farm Bill conservation programs and related efforts are appropriately leveraged by other Federal programs.

Finally, the Agricultural Act of 2014 repealed the Wetlands Reserve Program, Grasslands Reserve Program and the Farmlands and Ranchlands Protection Program and included the purposes of those programs in the Agricultural Conservation Easement Program referred to above. The Agricultural Act of 2014 also repealed the Agricultural Water Enhancement Program, Chesapeake Bay Watershed Program, Great Lakes Basin Program, and the Cooperative Conservation Partnership Initiative and included the purposes of those programs in the Regional Conservation Partnership Program referred to above. The Wildlife Habitat Incentives Program has also been repealed, and its purposes are now included in the Environmental Quality Incentives Program.

The Farm Production and Conservation (FPAC) Business Center is a centralized operations office within the FPAC Mission Area responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and its component agencies, including the Farm Service Agency (FSA), the Natural Resources Conservation Service (NRCS), and the Risk Management Agency (RMA). This account includes a transfer of \$60,228,000 to offset funds associated with administration and oversight of mandatory conservation programs. The funding requested for the FPAC Business Center is an estimate based on current staffing in the FPAC agencies, including NRCS, FSA, and RMA, and the estimated costs for implementing the Business Center.

**Object Classification** (in millions of dollars)

Identification code 012-1004-0-1-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	386	437	.....
11.3 Other than full-time permanent .....	2	2	.....
11.5 Other personnel compensation .....	7	6	.....
11.9 Total personnel compensation .....	395	445	.....
12.1 Civilian personnel benefits .....	153	172	.....
21.0 Travel and transportation of persons .....	7	6	.....
22.0 Transportation of things .....	1	1	.....
23.1 Rental payments to GSA .....	16	16	.....
23.2 Rental payments to others .....	37	32	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
24.0 Printing and reproduction .....	1	1	.....
25.1 Advisory and assistance services .....	.....	6	.....
25.2 Other services from non-Federal sources .....	193	145	.....
25.3 Other goods and services from Federal sources .....	2	2	.....
25.4 Operation and maintenance of facilities .....	44	113	.....
26.0 Supplies and materials .....	5	5	.....
31.0 Equipment .....	9	9	.....
32.0 Land and structures .....	283	496	310
41.0 Grants, subsidies, and contributions .....	2,576	3,579	2,984
99.0 Direct obligations .....	3,723	5,029	3,294
99.0 Reimbursable obligations .....	20	35	35
99.5 Adjustment for rounding .....	-1	.....	.....

99.9	Total new obligations, unexpired accounts .....	3,742	5,064	3,329
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**Employment Summary**

Identification code 012-1004-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,817	5,429	.....
2001 Reimbursable civilian full-time equivalent employment .....	22	22	22

**FARM SECURITY AND RURAL INVESTMENT PROGRAMS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-1004-4-1-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	.....	.....	-765
1900 Budget authority (total) .....	.....	.....	-765
1930 Total budgetary resources available .....	.....	.....	-765
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	-765
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....	.....	.....	152
3050 Unpaid obligations, end of year .....	.....	.....	152
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	152
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	-765
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	-152
4180 Budget authority, net (total) .....	.....	.....	-765
4190 Outlays, net (total) .....	.....	.....	-152

The Budget proposes to eliminate the Conservation Stewardship Program and reduce the Agricultural Conservation Easement Program by \$40M per year.

**WATERSHED AND FLOOD PREVENTION OPERATIONS**

**Program and Financing** (in millions of dollars)

Identification code 012-1072-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Emergency watershed protection operations .....	204	509	.....
0004 Small watershed operations (P.L. 566) .....	127	268	.....
0006 EWP (SANDY) .....	2	107	.....
0007 Watershed Flood and Prevention Operations .....	.....	50	50
0799 Total direct obligations .....	333	934	50
0802 Watershed and Flood Prevention Operations (Reimbursable) ....	5	57	.....
0900 Total new obligations, unexpired accounts .....	338	991	50
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	378	791	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	.....	791	.....
1021 Recoveries of prior year unpaid obligations .....	42	.....	.....
1050 Unobligated balance (total) .....	420	791	.....
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	691	150	.....
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	.....	50	50
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	13	.....
1701 Change in uncollected payments, Federal sources .....	13	-13	.....
1750 Spending auth from offsetting collections, disc (total) .....	18	.....	.....
1900 Budget authority (total) .....	709	200	50
1930 Total budgetary resources available .....	1,129	991	50

WATERSHED AND FLOOD PREVENTION OPERATIONS—Continued  
Program and Financing—Continued

Identification code 012-1072-0-1-301	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	791		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	281	488	1,109
3010 New obligations, unexpired accounts .....	338	991	50
3020 Outlays (gross) .....	-89	-370	-413
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42		
3050 Unpaid obligations, end of year .....	488	1,109	746
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-49	-62	-49
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13	13	
3090 Uncollected pymts, Fed sources, end of year .....	-62	-49	-49
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	232	426	1,060
3200 Obligated balance, end of year .....	426	1,060	697
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	709	150	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	8	8	
4011 Outlays from discretionary balances .....	81	360	399
4020 Outlays, gross (total) .....	89	368	399
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-5	-13	
4040 Offsets against gross budget authority and outlays (total) .....	-5	-13	
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13	13	
4060 Additional offsets against budget authority only (total) .....	-13	13	
4070 Budget authority, net (discretionary) .....	691	150	
4080 Outlays, net (discretionary) .....	84	355	399
<b>Mandatory:</b>			
4090 Budget authority, gross .....		50	50
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		2	2
4101 Outlays from mandatory balances .....			12
4110 Outlays, gross (total) .....		2	14
4180 Budget authority, net (total) .....	691	200	50
4190 Outlays, net (total) .....	84	357	413

NRCS watershed programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion; for the conservation, development, utilization, and disposal of water; and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Operations can be used for either flood prevention projects or flood damage rehabilitation efforts, depending upon the needs and opportunities.

*Emergency watershed protection program.*—NRCS undertakes such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. Funding for the Emergency Watershed Protection Program is typically provided through emergency supplemental appropriations. The 2020 Budget does not request funding for this program.

*Watershed operations authorized by Public Law 78-534.*—NRCS cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development. The 2020 budget does not request funding for this program. NRCS is closing out watershed operations projects with unobligated balances from prior years.

*Small watershed operations authorized by Public Law 83-566.*—NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement. NRCS is using unobligated balances from prior years to support watershed operations projects. The 2020 budget does not request funding for this program.

*Watershed Protection and Flood Program.*—Authorized by Section 2401 of the Agriculture Improvement Act of 2018, Public Law 115-334. NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, and flood prevention. The Agriculture Improvement Act of 2018 authorizes \$50 million per year for fiscal year 2019 to 2023.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 83-566 or 78-534 projects. No funding for these loans is assumed in 2020.

**Object Classification** (in millions of dollars)

Identification code 012-1072-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	6	5	
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	7	6	
12.1 Civilian personnel benefits .....	2	2	
21.0 Travel and transportation of persons .....	1	1	
25.1 Advisory and assistance services .....	2	6	
25.2 Other services from non-Federal sources .....	33	205	7
25.4 Operation and maintenance of facilities .....	4	13	
25.5 Research and development contracts .....	2	4	
31.0 Equipment .....		1	
32.0 Land and structures .....	2	6	
41.0 Grants, subsidies, and contributions .....	280	690	43
99.0 Direct obligations .....	333	934	50
99.0 Reimbursable obligations .....	5	57	
99.9 Total new obligations, unexpired accounts .....	338	991	50

**Employment Summary**

Identification code 012-1072-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	61	67	
2001 Reimbursable civilian full-time equivalent employment .....	18	18	

WATERSHED REHABILITATION PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1002-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Watershed Rehabilitation Program .....	10	11	
0002 Small Watershed Rehabilitation Program .....	45	17	
0799 Total direct obligations .....	55	28	
0801 Reimbursable program activity .....	6	21	21
0900 Total new obligations, unexpired accounts .....	61	49	21
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	40	22
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2		
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	31	40	22
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10	10	

Appropriations, mandatory:			
1203	Appropriation (previously unavailable) .....	59	.....
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	.....
1260	Appropriations, mandatory (total) .....	55	.....
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	5	21 21
1900	Budget authority (total) .....	70	31 21
1930	Total budgetary resources available .....	101	71 43
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	40	22 22

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	216	229 191
3010	New obligations, unexpired accounts .....	61	49 21
3020	Outlays (gross) .....	-44	-87 -120
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	.....
3050	Unpaid obligations, end of year .....	229	191 92
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	216	229 191
3200	Obligated balance, end of year .....	229	191 92
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	15	31 21
Outlays, gross:			
4010	Outlays from new discretionary authority .....	.....	25 21
4011	Outlays from discretionary balances .....	13	6 10
4020	Outlays, gross (total) .....	.....	31 31
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-5	-21 -21
Mandatory:			
4090	Budget authority, gross .....	55	.....
Outlays, gross:			
4101	Outlays from mandatory balances .....	31	56 89
4180	Budget authority, net (total) .....	65	10 .....
4190	Outlays, net (total) .....	39	66 99

Under the authorities of Section 14 of the Watershed Protection and Flood Prevention Act (16 U.S.C. 1012), assistance is provided to communities to support the rehabilitation of local dams originally constructed with federal assistance and near or past their evaluated life expectancy. No funding is requested in the 2020 Budget.

**Object Classification** (in millions of dollars)

Identification code 012-1002-0-1-301			
		2018 actual	2019 est. 2020 est.
Direct obligations:			
25.1	Advisory and assistance services .....	2	2 .....
25.2	Other services from non-Federal sources .....	8	10 .....
25.4	Operation and maintenance of facilities .....	.....	1 .....
32.0	Land and structures .....	1	1 .....
41.0	Grants, subsidies, and contributions .....	44	15 .....
99.0	Direct obligations .....	55	29 .....
99.0	Reimbursable obligations .....	7	21 21
99.5	Adjustment for rounding .....	-1	-1 .....
99.9	Total new obligations, unexpired accounts .....	61	49 21

**Employment Summary**

Identification code 012-1002-0-1-301			
		2018 actual	2019 est. 2020 est.
1001	Direct civilian full-time equivalent employment .....	1	1 .....
2001	Reimbursable civilian full-time equivalent employment .....	16	16 16

**HEALTHY FORESTS RESERVE PROGRAM**

The Healthy Forests Reserve Program (HFRP), which is authorized by Title V of the Healthy Forests Restoration Act of 2003 (Public Law 108-148), helps landowners restore, enhance, and protect forest resources on private lands through easements and financial assistance. HFRP focuses on forest ecosystems to: 1) promote the recovery of threatened and

dangered species; 2) improve biodiversity; and 3) enhance carbon sequestration.

Administered by NRCS, HFRP is a voluntary program with enrollment limited to land that is privately-held or owned by a Tribe. Land enrolled in HFRP must have a restoration plan that includes practices necessary to restore and enhance habitat for species listed as threatened or endangered, or are candidates for the threatened or endangered species list. Technical assistance is provided by USDA to assist owners in complying with the terms of restoration plans under HFRP.

The 2020 Budget does not request funding for HFRP.

**WATER BANK PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 012-3320-0-1-302		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Water Bank Program .....	4	4	.....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	4	4	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4	4	.....
1930	Total budgetary resources available .....	5	5	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15	17	15
3010	New obligations, unexpired accounts .....	4	4	.....
3020	Outlays (gross) .....	-2	-6	-6
3050	Unpaid obligations, end of year .....	17	15	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	17	15
3200	Obligated balance, end of year .....	17	15	9
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4	4	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	2	.....
4011	Outlays from discretionary balances .....	2	4	6
4020	Outlays, gross (total) .....	.....	6	6
4180	Budget authority, net (total) .....	4	4	.....
4190	Outlays, net (total) .....	2	6	6

The Water Bank Program, which is authorized by the Water Bank Act of 1970 (16 U.S.C. 1301-1311), is designed to preserve, restore, and improve wetlands, to conserve surface waters, to preserve and improve habitat for migratory waterfowl and other wildlife resources, and to promote comprehensive and total water management planning. Through the Water Bank Program, NRCS enters into ten-year agreements with landowners and operators to conserve water; to preserve, maintain, and improve the Nation's wetlands; to increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and to secure recreational and environmental benefits for the Nation. No funding is requested in the 2020 Budget for this program.

**Employment Summary**

Identification code 012-3320-0-1-302		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	2	2	.....

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 012-4368-0-3-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Damage Assessment & Restoration Revolving .....	1	3	1
0900 Total new obligations, unexpired accounts .....	1	3	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-1618] ....		1	1
1900 Budget authority (total) .....		1	1
1930 Total budgetary resources available .....	3	3	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	3	1
3020 Outlays (gross) .....	-1	-3	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	
Mandatory:			
4090 Budget authority, gross .....		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....		1	
4110 Outlays, gross (total) .....		2	1
4180 Budget authority, net (total) .....		1	1
4190 Outlays, net (total) .....	1	3	1

Object Classification (in millions of dollars)

Identification code 012-4368-0-3-306	2018 actual	2019 est.	2020 est.
25.2 Direct obligations: Other services from non-Federal sources .....		3	1
99.0 Direct obligations .....		3	1
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	1	3	1

Employment Summary

Identification code 012-4368-0-3-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8210-0-7-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Miscellaneous Contributed Funds .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 012-8210-0-7-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Funds received in this account from State, local, and other organizations are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

RURAL DEVELOPMENT

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for carrying out the administration and implementation of programs in the Rural Development mission area, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; \$192,343,000: Provided, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Rural Development mission area: Provided further, That the Secretary shall collect fees described in section 502(i)(1) of the Housing Act of 1949 (42 U.S.C. 1472(i)), and such collections shall be credited to this appropriation and shall remain available until expended for an additional amount for the purposes described in section 502(i)(2) of such Act: Provided further, That of the amount provided under this heading, \$1,000,000 shall be for the administration of the multifamily voucher program account: Provided further, That of the amount provided under this heading, not less than \$5,000,000 shall be for retiring the Program Loan Accounting System (PLAS); not less than \$3,000,000 shall be for retiring the Automated Multi-family Accounting System (AMAS); not less than \$5,000,000 shall be for an automated application intake system; and not less than \$3,000,000 shall be for the administration of the direct endorsement option for qualified lenders of the Single Family Loan Guarantee Program as authorized by section 502(h) of the Housing Act of 1949 (42 U.S.C. 1472(h)).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-0403-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	231	231	192
0801 Reimbursable program - Program Transfers and Reimbursable Obligations .....	468	450	455
0900 Total new obligations, unexpired accounts .....	699	681	647
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	5	10
1012 Unobligated balance transfers between expired and unexpired accounts .....	5		-5
1050 Unobligated balance (total) .....	16	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	231	231	192
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	471	455	455
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	473	455	455
1900 Budget authority (total) .....	704	686	647
1930 Total budgetary resources available .....	720	691	652
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-16		
1941 Unexpired unobligated balance, end of year .....	5	10	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	111	116	65
3010 New obligations, unexpired accounts .....	699	681	647
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	-693	-732	-660

3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	116	65	52
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-12	-12
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071	Change in uncollected pymts, Fed sources, expired .....	3		
3090	Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	98	104	53
3200	Obligated balance, end of year .....	104	53	40

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	704	686	647
Outlays, gross:				
4010	Outlays from new discretionary authority .....	611	640	609
4011	Outlays from discretionary balances .....	81	92	51
4020	Outlays, gross (total) .....	692	732	660
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-473	-455	-455
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	231	231	192
4080	Outlays, net (discretionary) .....	219	277	205
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	1		
4180	Budget authority, net (total) .....	231	231	192
4190	Outlays, net (total) .....	220	277	205

The Rural Development Salaries and Expenses (S&E) account is a consolidated account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS). The 2020 Budget includes set asides for specific IT investments: retiring the Program Loan Accounting System (PLAS) (\$5M) and the Automated Multifamily Accountings System (AMAS) (\$3M), RD apply (\$5M), and system upgrades to implement direct endorsement by lenders of the single family loan guarantee program (\$3M), for which USDA has had authority for since 2016. These IT investments are critical to moving RD into the 21st Century for loan program accounting and customer service. Setting aside the funding for these purposes is being done to ensure that progress is made on these specific systems, which have been delayed in recent years. For more information about the Rural Development mission area go to [www.rurdev.usda.gov/Home.html](http://www.rurdev.usda.gov/Home.html).

**Object Classification** (in millions of dollars)

Identification code 012-0403-0-1-452	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	118	116	98
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	119	117	99
12.1	Civilian personnel benefits .....	42	41	32
21.0	Travel and transportation of persons .....	3	4	3
23.1	Rental payments to GSA .....	6	7	6
23.2	Rental payments to others .....	2	6	5
23.3	Communications, utilities, and miscellaneous charges .....	5		
24.0	Printing and reproduction .....	1		
25.1	Advisory and assistance services .....	2	4	4
25.2	Other services from non-Federal sources .....	1		
25.3	Other goods and services from Federal sources .....	9	2	1
25.4	Operation and maintenance of facilities .....	15	19	17
25.5	Research and development contracts .....	24	29	25
25.7	Operation and maintenance of equipment .....	1		
26.0	Supplies and materials .....	1	1	
31.0	Equipment .....		1	
99.0	Direct obligations .....	231	231	192
99.0	Reimbursable obligations .....	468	450	455
99.9	Total new obligations, unexpired accounts .....	699	681	647

**Employment Summary**

Identification code 012-0403-0-1-452	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	1,501	1,488	1,280
2001	Reimbursable civilian full-time equivalent employment .....	3,040	2,901	2,496

**RURAL DEVELOPMENT DISASTER ASSISTANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 012-0405-0-1-453	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		11	11
1010	Unobligated balance transfer to other accts [012-1980] .....	-1		
1011	Unobligated balance transfer from other acct [012-1980] .....	9		
1011	Unobligated balance transfer from other acct [012-1951] .....	2		
1011	Unobligated balance transfer from other acct [012-2081] .....	1		
1050	Unobligated balance (total) .....	11	11	11
1930	Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	11	11
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**RURAL HOUSING SERVICE**

*Federal Funds*

**RURAL HOUSING ASSISTANCE GRANTS**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1953-0-1-604	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0012	Very Low-Income Housing Repair Grants .....	28	32	
0016	Rural Housing Preservation Grants .....	11	10	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	39	42	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	6	5
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	4	6	
1021	Recoveries of prior year unpaid obligations .....	1	1	1
1050	Unobligated balance (total) .....	5	7	6
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	40	40	
1930	Total budgetary resources available .....	45	47	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	5	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	13	22	18
3010	New obligations, unexpired accounts .....	39	42	
3020	Outlays (gross) .....	-29	-45	-13
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	22	18	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	13	22	18
3200	Obligated balance, end of year .....	22	18	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	40	40	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	28	
4011	Outlays from discretionary balances .....	10	17	13

RURAL HOUSING ASSISTANCE GRANTS—Continued  
Program and Financing—Continued

Identification code 012–1953–0–1–604	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	29	45	13
4180 Budget authority, net (total) .....	40	40	.....
4190 Outlays, net (total) .....	29	45	13

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. No funding is requested in the 2020 Budget.

For other housing assistance grants authorized for funding in this account such as housing preservation grants and supervisory and technical assistance grants as authorized by section 509(f) and 525 of the Housing Act of 1949, as amended, no funding is requested in the 2020 Budget.

RENTAL ASSISTANCE PROGRAM  
(INCLUDING TRANSFER OF FUNDS)

*For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, and for the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, notwithstanding subsection (b) of such section, \$1,407,000,000, of which \$40,000,000 shall be available until September 30, 2021; and in addition such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: Provided, That of the amounts made available under this heading, \$1,375,000,000 shall be available for renewal of rental assistance agreements: Provided further, That rental assistance agreements entered into or renewed during the current fiscal year shall be funded for a one-year period: Provided further, That tenants in projects financed under section 514 or 515 shall contribute a minimum of \$50 per month towards the rent, as determined by the Secretary, unless the Secretary determines a lower amount because the tenant qualifies for a hardship exemption, which shall, to the extent practicable, be consistent with similar hardship exemption requirements and conditions established by the Secretary of Housing and Urban Development for similar programs: Provided further, That notwithstanding any other provision of the Act, the Secretary may recapture funds provided for rental assistance under agreements entered into prior to fiscal year 2020 for a project that the Secretary determines no longer needs rental assistance: Provided further, That such recaptured funds shall remain available for obligation in fiscal year 2020 for the purposes specified under this heading: Provided further, That of the amounts made available under this heading, \$32,000,000 shall be available for rural housing vouchers to any low-income household, including a household that does not receive rental assistance, residing in a property financed with a section 515 loan that has been prepaid or otherwise paid off after September 30, 2005: Provided further, That the amount of such vouchers shall be equal to the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: Provided further, That such vouchers shall be subject to the availability of annual appropriations: Provided further, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: Provided further, That any balances available for the rural housing voucher program in the "Multi-Family Housing Revitalization Program Account" shall be transferred to and merged with this account and available for the rural housing voucher program: Provided further, That if the Secretary determines that the amount made available for vouchers or rental assistance in this Act is not needed for vouchers or rental assistance, the Secretary may use such funds for any of the programs described under this heading.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–0137–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rental assistance program .....	1,345	1,345	1,375
0002 Vouchers .....	.....	.....	33
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,345	1,345	1,408
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	40	40
1011 Unobligated balance transfer from other acct [012–2002] .....	.....	.....	1
1050 Unobligated balance (total) .....	40	40	41
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,345	1,345	1,407
1100 Appropriation .....	8	6	5
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–40
1139 Appropriations substituted for borrowing authority .....	–8	–6	–5
1160 Appropriation, discretionary (total) .....	1,345	1,345	1,367
1930 Total budgetary resources available .....	1,385	1,385	1,408
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	40	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	975	1,136	1,285
3010 New obligations, unexpired accounts .....	1,345	1,345	1,408
3020 Outlays (gross) .....	–1,184	–1,196	–1,251
3031 Unpaid obligations transferred from other acts [012–2002] .....	.....	.....	22
3050 Unpaid obligations, end of year .....	1,136	1,285	1,464
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	975	1,136	1,285
3200 Obligated balance, end of year .....	1,136	1,285	1,464
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,345	1,345	1,367
Outlays, gross:			
4010 Outlays from new discretionary authority .....	343	471	491
4011 Outlays from discretionary balances .....	841	725	760
4020 Outlays, gross (total) .....	1,184	1,196	1,251
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	1,345	1,345	1,367
4080 Outlays, net (discretionary) .....	1,183	1,196	1,251
4180 Budget authority, net (total) .....	1,345	1,345	1,367
4190 Outlays, net (total) .....	1,183	1,196	1,251

The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rent expenses for very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. The rural housing voucher program is authorized under section 542 of the Housing Act of 1949 and may be used to assist families displaced when the mortgage on the RHS-financed rural rental housing projects is prepaid or paid in full. A voucher can be used in lieu of rental assistance, which is no longer available once the property is paid-off in full. Beginning in 2020, the appropriations for rental assistance and vouchers is being combined to facilitate funding flexibilities with like programs. A total of \$1.407 billion is being requested, of which \$1.375 billion is limited to renewals of existing rental assistance contracts for maintaining a sustainable rental assistance program. The Budget also requests that residents receiving rental assistance payments will be required to pay a minimum rent of \$50 per month, unless the Department determines a lower amount because the tenant qualifies for a hardship exemption. Of the total amount provided, the Budget requests \$32 million for housing vouchers, which can be for prepayments and pay-offs. The vouchers related to prepayments will be awarded based on prior-

itization of need as determined by the Secretary. In addition, all balances related to rural housing voucher program will be transferred and merged in to this account from the Multifamily Housing Revitalization Account.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund (RHIF). Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program. Prior year obligations are funded with "such sums" amounts to cover those pre-credit reform contracts in RHIF.

**MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–2002–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Grants	27	30	
0011 MFH Pilot Program		1	
0091 Direct program activities, subtotal	27	31	
Credit program obligations:			
0701 Direct loan subsidy	9	8	
0703 Subsidy for modifications of direct loans	15	19	
0705 Reestimates of direct loan subsidy	2	5	
0706 Interest on reestimates of direct loan subsidy		1	
0709 Administrative expenses		1	
0791 Direct program activities, subtotal	26	34	
0900 Total new obligations, unexpired accounts (object class 41.0)	53	65	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	13	4
1001 Discretionary unobligated balance brought fwd, Oct 1	13	13	
1010 Unobligated balance transfer to other accts [012–0137]			–1
1010 Unobligated balance transfer to other accts [012–2081]			–2
1020 Adjustment of unobligated bal brought forward, Oct 1	1		
1021 Recoveries of prior year unpaid obligations	3	2	
1050 Unobligated balance (total)	17	15	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	48	48	
Appropriations, mandatory:			
1200 Appropriation	2	6	
1900 Budget authority (total)	50	54	
1930 Total budgetary resources available	67	69	1
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
1941 Unexpired unobligated balance, end of year	13	4	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	60	67	75
3010 New obligations, unexpired accounts	53	65	
3020 Outlays (gross)	–43	–55	–2
3030 Unpaid obligations transferred to other accts [012–0137]			–22
3030 Unpaid obligations transferred to other accts [012–2081]			–51
3040 Recoveries of prior year unpaid obligations, unexpired	–3	–2	
3050 Unpaid obligations, end of year	67	75	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	60	67	75
3200 Obligated balance, end of year	67	75	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	48	48	
Outlays, gross:			
4010 Outlays from new discretionary authority	5	9	
4011 Outlays from discretionary balances	36	40	2
4020 Outlays, gross (total)	41	49	2
Mandatory:			
4090 Budget authority, gross	2	6	
Outlays, gross:			
4100 Outlays from new mandatory authority	2	6	
4180 Budget authority, net (total)	50	54	

4190 Outlays, net (total)	43	55	2
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**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012–2002–0–1–604	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Multifamily Housing Relending Demo		1	
115002 Multifamily Housing Revitalization Seconds	16	16	
115999 Total direct loan levels	16	17	
Direct loan subsidy (in percent):			
132001 Multifamily Housing Relending Demo		27.67	
132002 Multifamily Housing Revitalization Seconds	54.68	50.58	
132003 Multifamily Revitalization Zero	0.00	46.78	0.00
132999 Weighted average subsidy rate	54.68	49.23	
Direct loan subsidy budget authority:			
133002 Multifamily Housing Revitalization Seconds	9	8	
133999 Total subsidy budget authority	9	8	
Direct loan subsidy outlays:			
134001 Multifamily Housing Relending Demo	1	1	
134002 Multifamily Housing Revitalization Seconds	16	12	
134003 Multifamily Revitalization Zero	2	1	
134999 Total subsidy outlays	19	14	
Direct loan reestimates:			
135001 Multifamily Housing Relending Demo	1	1	
135002 Multifamily Housing Revitalization Seconds		2	
135003 Multifamily Revitalization Zero	–2	–2	
135006 Section 515 Multifamily Housing Revitalization Modifications	–18	–4	
135999 Total direct loan reestimates	–19	–3	

This account includes funding for vouchers as authorized in section 542 of the Housing Act of 1949 to be used to assist families displaced when the mortgage on the RHS-financed rural rental housing projects is prepaid or paid in full. A voucher can be used in lieu of rental assistance, which is no longer available once the property is paid-off. This account also reflects the funding for pilot programs to repair and rehabilitate multi-family housing projects financed under USDA's section 514 and 515 direct loan programs. These have included grants and direct loans (zero percent, soft-second, modifications, and the relending demonstration program) since 2006. Beginning in 2020, \$32 million in funding for the rural housing voucher program is being requested in the Rental Assistance Program Account to facilitate funding flexibilities with like programs. All balances in this account associated with vouchers will be transferred and merged with the Rental Assistance Program Account as well. No funding is requested in the 2020 Budget for the multi-family housing revitalization pilot program. Consistent with facilitating funding flexibilities and to be able to modify post credit reform section 515 multi-family housing loans in the future, all the balances associated with the multi-family housing demonstration programs in this account will be transferred and merged with the Rural Housing Insurance Fund Program Account.

**MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012–4269–0–3–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations	16	17	
0713 Payment of interest to Treasury	16	16	
0742 Downward reestimates paid to receipt accounts	16	9	
0743 Interest on downward reestimates	4	1	
0744 Adjusting payments to liquidating accounts	101		
0900 Total new obligations, unexpired accounts	153	43	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	36	
1021 Recoveries of prior year unpaid obligations	1		
1023 Unobligated balances applied to repay debt	–5	–36	

MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4269-0-3-604	2018 actual	2019 est.	2020 est.	
<b>Financing authority:</b>				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	217	43	.....
1422	Borrowing authority applied to repay debt .....	-29	.....	.....
1440	Borrowing authority, mandatory (total) .....	188	43	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	30	35	.....
1801	Change in uncollected payments, Federal sources .....	5	6	.....
1825	Spending authority from offsetting collections applied to repay debt .....	-34	-41	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	.....	.....
1900	Budget authority (total) .....	189	43	.....
1930	Total budgetary resources available .....	189	43	.....
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	36	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	85	161	106
3010	New obligations, unexpired accounts .....	153	43	.....
3020	Outlays (gross) .....	-76	-98	.....
3030	Unpaid obligations transferred to other accts [012-4215] .....	.....	.....	-106
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	161	106	.....
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-47	-53
3070	Change in uncollected pymts, Fed sources, unexpired .....	-5	-6	.....
3080	Uncollected pymts from Fed sources transferred to other accounts .....	.....	.....	53
3090	Uncollected pymts, Fed sources, end of year .....	-47	-53	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	43	114	53
3200	Obligated balance, end of year .....	114	53	.....
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	189	43	.....
Financing disbursements:				
4110	Outlays, gross (total) .....	76	98	.....
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources - subsidy outlays from program account .....	-20	-20	.....
4120	Modification Costs .....	-3	-8	.....
4122	Interest on uninvested funds .....	-3	-4	.....
4123	Repayments of Principal .....	-3	-2	.....
4123	Interest receivable on loans .....	-1	-1	.....
4130	Offsets against gross budget authority and outlays (total) .....	-30	-35	.....
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-5	-6	.....
4160	Budget authority, net (mandatory) .....	154	2	.....
4170	Outlays, net (mandatory) .....	46	63	.....
4180	Budget authority, net (total) .....	154	2	.....
4190	Outlays, net (total) .....	46	63	.....

Status of Direct Loans (in millions of dollars)

Identification code 012-4269-0-3-604	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	16	16	.....
1121	Limitation available from carry-forward .....	.....	1	.....
1150	Total direct loan obligations .....	16	17	.....
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	717	753	823
Disbursements:				
1231	Direct loan disbursements .....	34	26	.....
1233	Purchase of loans assets from a liquidating account .....	5	46	.....
1251	Repayments: Repayments and prepayments .....	-3	-2	.....
1264	Other adjustments, net (+ or -) .....	.....	.....	-823
1290	Outstanding, end of year .....	753	823	.....

This account reflects the financing for the direct pilot program loans (zero percent, soft-second, modifications, and the relending demonstration program) authorized in the Multifamily Housing Revitalization Program Account. Beginning in 2020, this activity will be reflected in the Rural Housing Insurance Fund Direct Loan Financing Account. This transition will facilitate the modification of post credit reform section 515 multifamily housing direct loans going forward.

Balance Sheet (in millions of dollars)

Identification code 012-4269-0-3-604	2017 actual	2018 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	47	34
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	717	753
1402	Interest receivable .....	61	72
1405	Allowance for subsidy cost (-) .....	-445	-446
1499	Net present value of assets related to direct loans .....	333	379
1999	Total assets .....	380	413
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	.....	413
2104	Resources payable to Treasury .....	380	.....
2999	Total liabilities .....	380	413
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	.....	.....
4999	Total liabilities and net position .....	380	413

MUTUAL AND SELF-HELP HOUSING GRANTS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-2006-0-1-604	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Mutual and self-help housing grants .....	35	32	.....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	35	32	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	2	1
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	6	2	.....
1021	Recoveries of prior year unpaid obligations .....	1	1	1
1050	Unobligated balance (total) .....	7	3	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	30	30	.....
1930	Total budgetary resources available .....	37	33	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	1	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	57	60	54
3010	New obligations, unexpired accounts .....	35	32	.....
3020	Outlays (gross) .....	-31	-37	-27
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	60	54	26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	57	60	54
3200	Obligated balance, end of year .....	60	54	26
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	30	30	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	5	.....
4011	Outlays from discretionary balances .....	31	32	27
4020	Outlays, gross (total) .....	31	37	27

4180	Budget authority, net (total) .....	30	30	.....
4190	Outlays, net (total) .....	31	37	27

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them to build their own homes through the mutual exchange of labor. No funding is requested in the 2020 Budget for this program.

**RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, as follows: \$2,500,000,000 for direct loans; and \$500,000,000 for guaranteed loans: Provided, That for the purposes of determining eligibility or level of program assistance the Secretary shall not include incarcerated prison populations.

For the cost of grants for rural community facilities programs as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$60,000,000, to remain available until expended: Provided, That \$10,000,000 shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of such Act: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act shall not apply to the funds made available under this heading.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$147,591,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–1951–0–1–452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0010	CF Grants .....	35	34	60
0012	Rural Community Development Initiative Grants .....	5	4	.....
0013	Economic Impact Initiative Grants .....	6	6	.....
0091	Direct program activities, subtotal .....	46	44	60
Credit program obligations:				
0702	Loan guarantee subsidy .....	5	6	.....
0705	Reestimates of direct loan subsidy .....	242	144	.....
0706	Interest on reestimates of direct loan subsidy .....	36	27	.....
0707	Reestimates of loan guarantee subsidy .....	10	2	.....
0708	Interest on reestimates of loan guarantee subsidy .....	3	.....	.....
0709	Administrative expenses .....	.....	.....	148
0791	Direct program activities, subtotal .....	296	179	148
0900	Total new obligations, unexpired accounts (object class 41.0) .....	342	223	208
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	8	7
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	10	8	.....
1010	Unobligated balance transfer to other accts [012–0405] .....	–2	.....	.....
1021	Recoveries of prior year unpaid obligations .....	3	.....	1
1050	Unobligated balance (total) .....	11	8	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	49	49	207
1131	Unobligated balance of appropriations permanently reduced .....	.....	.....	–4
1160	Appropriation, discretionary (total) .....	49	49	203
Appropriations, mandatory:				
1200	Appropriation .....	290	173	.....
1900	Budget authority (total) .....	339	222	203
1930	Total budgetary resources available .....	350	230	211
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	7	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	85	89	84

3010	New obligations, unexpired accounts .....	342	223	208
3020	Outlays (gross) .....	–335	–228	–204
3040	Recoveries of prior year unpaid obligations, unexpired .....	–3	.....	–1
3050	Unpaid obligations, end of year .....	89	84	87
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	85	89	84
3200	Obligated balance, end of year .....	89	84	87

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	49	49	203
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6	6	156
4011	Outlays from discretionary balances .....	39	49	48
4020	Outlays, gross (total) .....	45	55	204
Mandatory:				
4090	Budget authority, gross .....	290	173	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	290	173	.....
4180	Budget authority, net (total) .....	339	222	203
4190	Outlays, net (total) .....	335	228	204

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012–1951–0–1–452	2018 actual	2019 est.	2020 est.	
Direct loan levels supportable by subsidy budget authority:				
115002	Community Facility Loans .....	1,987	2,761	2,500
115999	Total direct loan levels .....	1,987	2,761	2,500
Direct loan subsidy (in percent):				
132002	Community Facility Loans .....	–8.10	–7.61	–4.96
132999	Weighted average subsidy rate .....	–8.10	–7.61	–4.96
Direct loan subsidy budget authority:				
133002	Community Facility Loans .....	–161	–210	–124
133999	Total subsidy budget authority .....	–161	–210	–124
Direct loan subsidy outlays:				
134002	Community Facility Loans .....	–107	–107	–107
134999	Total subsidy outlays .....	–107	–107	–107
Direct loan reestimates:				
135002	Community Facility Loans .....	61	134	.....
135005	Community Facility Relending .....	.....	1	.....
135999	Total direct loan reestimates .....	61	135	.....
Guaranteed loan levels supportable by subsidy budget authority:				
215002	Community Facility Loan Guarantees .....	177	187	500
215999	Total loan guarantee levels .....	177	187	500
Guaranteed loan subsidy (in percent):				
232002	Community Facility Loan Guarantees .....	3.27	2.89	–51
232999	Weighted average subsidy rate .....	3.27	2.89	–51
Guaranteed loan subsidy budget authority:				
233002	Community Facility Loan Guarantees .....	6	5	–3
233999	Total subsidy budget authority .....	6	5	–3
Guaranteed loan subsidy outlays:				
234002	Community Facility Loan Guarantees .....	4	7	6
234999	Total subsidy outlays .....	4	7	6
Guaranteed loan reestimates:				
235002	Community Facility Loan Guarantees .....	5	–4	.....
235999	Total guaranteed loan reestimates .....	5	–4	.....
Administrative expense data:				
3510	Budget authority .....	.....	.....	148
3590	Outlays from new authority .....	.....	.....	148

This account funds the direct and guaranteed community facility loans and community facility grants, which are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 population, such as hospitals and fire stations. Total program level in the 2020 Budget is projected to be \$2.5 billion for direct loans, \$500 million for guaranteed loans, and \$60 million for grant purposes, of which \$50 million is for regular community facilities grants, and \$10 million for Tribal College Grants. The 2020 Budget proposes no funding for place-based community

RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT—Continued

projects and Rural Community Development Initiative. The Budget also proposes to permanently cancel \$4 million in unobligated balances from this account. For administrative costs, the 2020 Budget requests \$147.6 million.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4225-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,987	2,761	2,500
0713 Payment of interest to Treasury .....	292	315	324
0740 Negative subsidy obligations .....	161	210	124
0742 Downward reestimates paid to receipt accounts .....	215	29	
0743 Interest on downward reestimates .....	3	7	
0900 Total new obligations, unexpired accounts .....	2,658	3,322	2,948
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	122	130	
1021 Recoveries of prior year unpaid obligations .....	164		
1023 Unobligated balances applied to repay debt .....	-122	-130	
1024 Unobligated balance of borrowing authority withdrawn .....	-164		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	3,308	2,174	1,859
1422 Borrowing authority applied to repay debt .....	-520		
1440 Borrowing authority, mandatory (total) .....	2,788	2,174	1,859
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	848	1,148	1,089
1825 Spending authority from offsetting collections applied to repay debt .....	-848		
1850 Spending auth from offsetting collections, mand (total) .....		1,148	1,089
1900 Budget authority (total) .....	2,788	3,322	2,948
1930 Total budgetary resources available .....	2,788	3,322	2,948
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	130		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,416	5,940	7,232
3010 New obligations, unexpired accounts .....	2,658	3,322	2,948
3020 Outlays (gross) .....	-1,970	-2,030	-2,056
3040 Recoveries of prior year unpaid obligations, unexpired .....	-164		
3050 Unpaid obligations, end of year .....	5,940	7,232	8,124
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,416	5,940	7,232
3200 Obligated balance, end of year .....	5,940	7,232	8,124
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,788	3,322	2,948
Financing disbursements:			
4110 Outlays, gross (total) .....	1,970	2,030	2,056
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-278	-171	
4122 Interest on uninvested funds .....	-47	-118	-131
4123 Repayment of principal .....	-263	-528	-588
4123 Interest received on loans .....	-260	-331	-370
4130 Offsets against gross budget authority and outlays (total) ....	-848	-1,148	-1,089
4160 Budget authority, net (mandatory) .....	1,940	2,174	1,859
4170 Outlays, net (mandatory) .....	1,122	882	967
4180 Budget authority, net (total) .....	1,940	2,174	1,859
4190 Outlays, net (total) .....	1,122	882	967

Status of Direct Loans (in millions of dollars)

Identification code 012-4225-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	2,800	2,761	2,500
1142 Unobligated direct loan limitation (-) .....	-813		
1150 Total direct loan obligations .....	1,987	2,761	2,500
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	7,497	8,562	9,550
1231 Disbursements: Direct loan disbursements .....	1,354	1,527	1,502
1251 Repayments: Repayments and prepayments .....	-270	-528	-588
1263 Write-offs for default: Direct loans .....	-24	-11	-11
1264 Other adjustments, net (+ or -) .....	5		
1290 Outstanding, end of year .....	8,562	9,550	10,453

This account reflects the funding from direct community facility loans to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, libraries, and fire/police stations. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4225-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	1,005	131
Investments in U.S. securities:		
1106 Receivables, net .....	278	170
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	7,497	8,562
1402 Interest receivable .....	53	65
1405 Allowance for subsidy cost (-) .....	-140	-168
1499 Net present value of assets related to direct loans .....	7,410	8,459
1999 Total assets .....	8,693	8,760
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	8,476	
2103 Debt .....		8,723
2105 Other .....	217	37
2999 Total liabilities .....	8,693	8,760
4999 Total liabilities and net position .....	8,693	8,760

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4228-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	1	7	8
0713 Payment of interest to Treasury .....		1	1
0740 Negative subsidy obligations .....			3
0742 Downward reestimates paid to receipt accounts .....	6	5	
0743 Interest on downward reestimates .....	2	2	
0900 Total new obligations, unexpired accounts .....	9	15	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	64	67	54
1023 Unobligated balances applied to repay debt .....	-7	-8	
1050 Unobligated balance (total) .....	57	59	54
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	19	12	10
1801 Change in uncollected payments, Federal sources .....		-2	-6
1850 Spending auth from offsetting collections, mand (total) .....	19	10	4
1900 Budget authority (total) .....	19	10	4
1930 Total budgetary resources available .....	76	69	58

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	67	54	46
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	9	15	12
3020	Outlays (gross) .....	-9	-15	-9
3050	Unpaid obligations, end of year .....			3
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-13	-11
3070	Change in uncollected pymts, Fed sources, unexpired .....		2	6
3090	Uncollected pymts, Fed sources, end of year .....	-13	-11	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-13	-13	-11
3200	Obligated balance, end of year .....	-13	-11	-2

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	19	10	4
Financing disbursements:				
4110	Outlays, gross (total) .....	9	15	9
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-17	-9	-6
4122	Interest on uninvested funds .....	-1	-1	-2
4123	Guarantee Fees .....	-1	-2	-2
4130	Offsets against gross budget authority and outlays (total) ....	-19	-12	-10
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....		2	6
4170	Outlays, net (mandatory) .....	-10	3	-1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-10	3	-1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4228-0-3-452	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	177	187	500
2150	Total guaranteed loan commitments .....	177	187	500
2199	Guaranteed amount of guaranteed loan commitments .....	177	187	500
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	1,129	1,142	1,305
2231	Disbursements of new guaranteed loans .....	106	243	269
2251	Repayments and prepayments .....	-92	-73	-84
2263	Adjustments: Terminations for default that result in claim payments .....	-1	-7	-8
2290	Outstanding, end of year .....	1,142	1,305	1,482

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,142	1,305	1,482

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	7	5	7
2331	Disbursements for guaranteed loan claims .....		2	3
2351	Repayments of loans receivable .....			-1
2361	Write-offs of loans receivable .....	-2		
2390	Outstanding, end of year .....	5	7	9

This account finances loan guarantee commitments for essential community facilities in rural areas. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4228-0-3-452	2017 actual	2018 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	51	54
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	7	5
1505	Allowance for subsidy cost (-) .....		
1599	Net present value of assets related to defaulted guaranteed loans .....	7	5

1999	Total assets .....	58	59
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		8
2104	Resources payable to Treasury .....	15	
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	43	51
2999	Total liabilities .....	58	59
4999	Total liabilities and net position .....	58	59

**RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: \$24,000,000,000 shall be for unsubsidized guaranteed loans; \$250,000,000 for section 538 guaranteed multi-family housing loans; and \$10,000,000 for credit sales of single family housing acquired property: Provided, That to support the loan program level for section 538 guaranteed loans made available under this heading the Secretary may charge or adjust any fees to cover the projected cost of such loan guarantees pursuant to the provisions of the Credit Reform Act of 1990 (2 U.S.C. 661 et seq.), and the interest on such loans may not be subsidized: Provided further, That applicants in communities that have a current rural area waiver under section 541 of the Housing Act of 1949 (42 U.S.C. 1490q) shall be treated as living in a rural area for purposes of section 502 guaranteed loans provided under this heading: Provided further, That all balances, including obligated balances, available for all demonstration programs for the preservation and revitalization of section 514, 515, and 516 multi-family rental housing properties in the "Multi-Family Housing Revitalization Program Account" shall be transferred to and merged with this account, and shall be available for the preservation and revitalization of section 514, 515, and 516 multi-family rental housing properties, including the restructuring of existing USDA multi-family housing loans: Provided further, That following the transfer of balances described in the preceding proviso, any adjustments to obligations for demonstration programs for the preservation and revitalization of section 514, 515, and 516 multi-family rental housing properties incurred in the "Multi-Family Housing Revitalization Program Account" shall be made in this account.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$244,249,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-2081-0-1-371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0011	Farm labor housing grants .....	17	12	
Credit program obligations:				
0701	Direct loan subsidy .....	66	83	
0705	Reestimates of direct loan subsidy .....	63	83	
0706	Interest on reestimates of direct loan subsidy .....	49	66	
0707	Reestimates of loan guarantee subsidy .....	42	25	
0708	Interest on reestimates of loan guarantee subsidy .....	8	5	
0709	Administrative expenses .....	412	412	244
0791	Direct program activities, subtotal .....	640	674	244
0900	Total new obligations, unexpired accounts .....	657	686	244

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	18	25	8
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	18	25	
1010	Unobligated balance transfer to other accts [012-0405] .....	-1		
1011	Unobligated balance transfer from other acct [012-2002] ....			2
1021	Recoveries of prior year unpaid obligations .....	3	6	5
1050	Unobligated balance (total) .....	20	31	15
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	502	484	244
1120	Appropriations transferred to other acct [012-4609] .....	-1		
1160	Appropriation, discretionary (total) .....	501	484	244

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-2081-0-1-371	2018 actual	2019 est.	2020 est.
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	161	179	.....
1900 Budget authority (total) .....	662	663	244
1930 Total budgetary resources available .....	682	694	259
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	25	8	15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	107	110	114
3010 New obligations, unexpired accounts .....	657	686	244
3020 Outlays (gross) .....	-647	-676	-330
3031 Unpaid obligations transferred from other accts [012-2002] .....	.....	.....	51
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-6	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	110	114	74
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	107	110	114
3200 Obligated balance, end of year .....	110	114	74
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	501	484	244
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	442	452	244
4011 Outlays from discretionary balances .....	44	45	86
4020 Outlays, gross (total) .....	486	497	330
<b>Mandatory:</b>			
4090 Budget authority, gross .....	161	179	.....
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	161	179	.....
4180 Budget authority, net (total) .....	662	663	244
4190 Outlays, net (total) .....	647	676	330

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2081-0-1-371	2018 actual	2019 est.	2020 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Section 502 Single Family Housing .....	1,099	626	.....
115004 Section 515 Multifamily Housing .....	43	115	.....
115007 Section 504 Housing Repair .....	20	28	.....
115011 Section 514 Farm Labor Housing .....	35	38	.....
115012 Section 524 Site Development .....	.....	2	.....
115013 Section 523 Self-Help Housing .....	.....	4	.....
115014 Single Family Housing Credit Sales .....	2	2	2
115021 Single Family Relending .....	2	.....	.....
115999 Total direct loan levels .....	1,201	815	2
<b>Direct loan subsidy (in percent):</b>			
132001 Section 502 Single Family Housing .....	3.85	6.77	.....
132004 Section 515 Multifamily Housing .....	26.31	23.71	.....
132007 Section 504 Housing Repair .....	12.33	12.21	.....
132011 Section 514 Farm Labor Housing .....	26.72	24.92	.....
132012 Section 524 Site Development .....	.....	3.51	.....
132013 Section 523 Self-Help Housing .....	.....	8.61	.....
132014 Single Family Housing Credit Sales .....	-5.45	-2.42	-1.85
132021 Single Family Relending .....	38.52	.....	.....
132999 Weighted average subsidy rate .....	5.50	10.17	-1.85
<b>Direct loan subsidy budget authority:</b>			
133001 Section 502 Single Family Housing .....	42	42	.....
133004 Section 515 Multifamily Housing .....	11	27	.....
133007 Section 504 Housing Repair .....	2	4	.....
133011 Section 514 Farm Labor Housing .....	9	10	.....
133021 Single Family Relending .....	1	.....	.....
133999 Total subsidy budget authority .....	65	83	.....
<b>Direct loan subsidy outlays:</b>			
134001 Section 502 Single Family Housing .....	46	49	10
134004 Section 515 Multifamily Housing .....	7	13	15
134007 Section 504 Housing Repair .....	2	4	.....
134011 Section 514 Farm Labor Housing .....	8	11	10
134017 Multifamily Housing Revitalization Seconds .....	.....	.....	3
134999 Total subsidy outlays .....	63	77	38
<b>Direct loan reestimates:</b>			
135001 Section 502 Single Family Housing .....	23	69	.....
135004 Section 515 Multifamily Housing .....	-16	-7	.....
135007 Section 504 Housing Repair .....	3	2	.....

135011 Section 514 Farm Labor Housing .....	-1	-8	.....
135012 Section 524 Site Development .....	1	.....	.....
135014 Single Family Housing Credit Sales .....	1	.....	.....
135015 Multifamily Housing Credit Sales .....	.....	1	.....
135999 Total direct loan reestimates .....	11	57	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215003 Guaranteed 538 Multifamily Housing .....	186	230	250
215011 Guaranteed 502 Single Family Housing .....	16,826	18,237	20,835
215999 Total loan guarantee levels .....	17,012	18,467	21,085
<b>Guaranteed loan subsidy (in percent):</b>			
232003 Guaranteed 538 Multifamily Housing .....	-3.62	-4.79	-4.53
232011 Guaranteed 502 Single Family Housing .....	-71	-71	-56
232999 Weighted average subsidy rate .....	-74	-76	-61
<b>Guaranteed loan subsidy budget authority:</b>			
233003 Guaranteed 538 Multifamily Housing .....	-7	-11	-11
233011 Guaranteed 502 Single Family Housing .....	-119	-129	-117
233999 Total subsidy budget authority .....	-126	-140	-128
<b>Guaranteed loan subsidy outlays:</b>			
234003 Guaranteed 538 Multifamily Housing .....	-7	-7	-7
234011 Guaranteed 502 Single Family Housing .....	-124	-124	-124
234999 Total subsidy outlays .....	-131	-131	-131
<b>Guaranteed loan reestimates:</b>			
235001 Guaranteed 502 Single Family Housing, Purchase .....	-16	-63	.....
235002 Guaranteed 502, Refinance .....	1	-1	.....
235003 Guaranteed 538 Multifamily Housing .....	-13	-16	.....
235011 Guaranteed 502 Single Family Housing .....	-311	-270	.....
235999 Total guaranteed loan reestimates .....	-339	-350	.....
<b>Administrative expense data:</b>			
3510 Budget authority .....	412	412	244
3590 Outlays from new authority .....	411	412	244

*Rural Housing Insurance Fund.*—This fund was established in 1965 (Public Law 89-117) pursuant to section 517 of title V of the Housing Act of 1949, as amended. Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000 but not more than 20,000. Areas are within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2020, the Section 502 single family housing guarantees are requested at a \$24 billion loan level. The subsidy rate for 2020 continues to be negative with the combination annual and up-front fee structure.

The Budget requests a loan level of \$10 million for credit sales of acquired property for single family housing loans. No funding is requested for Section 502 single family housing direct loans, Section 515 multi-family housing direct loans, Section 504 very low-income housing repair loans, Section 524 site development loans, Section 523 self-help housing land development loans, nor credit sales of acquired property for multi-family housing.

The 2020 Budget also requests a \$250 million loan level for the multi-family housing guaranteed loan program and continues to include appropriations language that will allow the program to operate without interest subsidy and with a fee.

No funding is requested in the 2020 Budget for the farm labor housing loans and grants. For administrative costs, the 2020 Budget requests \$244.2 million.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. No funding is requested in the 2020 Budget for the multi-family housing revitalization pilot program. Consistent with facilitating funding flexibilities and to be able to modify post credit reform section 515 multifamily housing loans in the future, all the balances associated with the multifamily housing

demonstration programs in this account will be transferred and merged with the Rural Housing Insurance Fund Program Account.

**Object Classification** (in millions of dollars)

Identification code 012-2081-0-1-371	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	412	412	244
41.0 Grants, subsidies, and contributions .....	245	274	.....
99.9 Total new obligations, unexpired accounts .....	657	686	244

**RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4215-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Advances on behalf of borrowers .....	132	133	134
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	1,200	815	2
0713 Payment of interest to Treasury .....	690	688	685
0742 Downward reestimates paid to receipt accounts .....	81	74	.....
0743 Interest on downward reestimates .....	20	18	.....
0791 Direct program activities, subtotal .....	1,991	1,595	687
0900 Total new obligations, unexpired accounts .....	2,123	1,728	821
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	846	295	.....
1021 Recoveries of prior year unpaid obligations .....	84	.....	.....
1023 Unobligated balances applied to repay debt .....	-795	-295	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-80	.....	.....
1050 Unobligated balance (total) .....	55	.....	.....
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	1,732	.....	.....
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,836	1,930	1,765
1801 Change in uncollected payments, Federal sources .....	-3	44	-52
1825 Spending authority from offsetting collections applied to repay debt .....	-1,202	-246	-892
1850 Spending auth from offsetting collections, mand (total) .....	631	1,728	821
1900 Budget authority (total) .....	2,363	1,728	821
1930 Total budgetary resources available .....	2,418	1,728	821
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	295	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	607	690	537
3010 New obligations, unexpired accounts .....	2,123	1,728	821
3020 Outlays (gross) .....	-1,956	-1,881	-1,104
3031 Unpaid obligations transferred from other accts [012-4269] .....	.....	.....	106
3040 Recoveries of prior year unpaid obligations, unexpired .....	-84	.....	.....
3050 Unpaid obligations, end of year .....	690	537	360
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-76	-73	-117
3070 Change in uncollected pymts, Fed sources, unexpired .....	3	-44	52
3081 Uncollected pymts from Fed sources transferred from other accounts .....	.....	.....	-53
3090 Uncollected pymts, Fed sources, end of year .....	-73	-117	-118
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	531	617	420
3200 Obligated balance, end of year .....	617	420	242
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2,363	1,728	821
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	1,956	1,881	1,104
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources: payments from program account .....	-176	-227	-38
4120 Federal sources: modification costs .....	.....	.....	-13
4122 Interest on uninvested funds .....	-64	-84	-84

4123 Non-Federal sources: Repayments of principal .....	-984	-993	-1,001
4123 Interest received on loans .....	-521	-532	-533
4123 Payments on judgments .....	-19	-20	-20
4123 Proceeds on sale of acquired property .....	-35	-36	-37
4123 Recaptured income .....	-33	-34	-35
4123 Fees .....	-4	-4	-4
4130 Offsets against gross budget authority and outlays (total) .....	-1,836	-1,930	-1,765
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	3	-44	52
4160 Budget authority, net (mandatory) .....	530	-246	-892
4170 Outlays, net (mandatory) .....	120	-49	-661
4180 Budget authority, net (total) .....	530	-246	-892
4190 Outlays, net (total) .....	120	-49	-661

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4215-0-3-371	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	1,200	815	2
1150 Total direct loan obligations .....	1,200	815	2
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	17,234	17,177	17,070
1231 Disbursements: Direct loan disbursements .....	1,253	968	285
<b>Repayments:</b>			
1251 Repayments and prepayments .....	-985	-993	-1,001
1252 Proceeds from loan asset sales to the public or discounted .....	-75	-75	-76
<b>Adjustments:</b>			
1261 Capitalized interest .....	21	22	22
1262 Discount on loan asset sales to the public or discounted .....	-3	-3	-3
1263 Write-offs for default: Direct loans .....	-25	-26	-27
1264 Other adjustments, net (+ or -) .....	-243	.....	823
1290 Outstanding, end of year .....	17,177	17,070	17,093

This account reflects the financing for direct rural housing loans for section the 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low-income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property. Beginning in FY 2020 the financing for the Multifamily Housing Preservation demonstration loan programs (zero percent, soft-seconds, bullet loans and 515 loan modifications) will be reflected in this account as well.

**Balance Sheet** (in millions of dollars)

Identification code 012-4215-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	1,132	296
<b>Investments in U.S. securities:</b>		
1106 Receivables, net .....	104	143
1206 Non-Federal assets: Receivables, net .....	.....	.....
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	17,234	17,177
1402 Interest receivable .....	82	53
1404 Foreclosed property .....	62	75
1405 Allowance for subsidy cost (-) .....	-1,770	-1,638
1499 Net present value of assets related to direct loans .....	15,608	15,667
1999 Total assets .....	16,844	16,106
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	16,722	16,005
2105 Other .....	105	81
<b>Non-Federal liabilities:</b>		
2201 Accounts payable .....	17	12
2207 Other .....	.....	8
2999 Total liabilities .....	16,844	16,106
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT—Continued  
**Balance Sheet—Continued**

Identification code 012-4215-0-3-371	2017 actual	2018 actual
4999 Total liabilities and net position .....	16,844	16,106

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4216-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Interest assistance paid to lenders .....	8	8	9
Credit program obligations:			
0711 Default claim payments on principal .....	660	647	675
0713 Payment of interest to Treasury .....	41	41	41
0740 Negative subsidy obligations .....	126	141	128
0742 Downward reestimates paid to receipt accounts .....	367	339	.....
0743 Interest on downward reestimates .....	23	40	.....
0791 Direct program activities, subtotal .....	1,217	1,208	844
0900 Total new obligations, unexpired accounts .....	1,225	1,216	853
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,490	1,214	654
1021 Recoveries of prior year unpaid obligations .....	16	.....	.....
1023 Unobligated balances applied to repay debt .....	-2	-10	-20
1024 Unobligated balance of borrowing authority withdrawn .....	-1	.....	.....
1033 Recoveries of prior year paid obligations .....	15	.....	.....
1050 Unobligated balance (total) .....	1,518	1,204	634
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	271	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	650	666	780
1900 Budget authority (total) .....	921	666	780
1930 Total budgetary resources available .....	2,439	1,870	1,414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,214	654	561
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	22	30
3010 New obligations, unexpired accounts .....	1,225	1,216	853
3020 Outlays (gross) .....	-1,210	-1,208	-856
3040 Recoveries of prior year unpaid obligations, unexpired .....	-16	.....	.....
3050 Unpaid obligations, end of year .....	22	30	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	22	30
3200 Obligated balance, end of year .....	22	30	27
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	921	666	780
Financing disbursements:			
4110 Outlays, gross (total) .....	1,210	1,208	856
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-50	-30	.....
4122 Interest on uninvested funds .....	-39	-43	-45
4123 Non-Federal sources: guarantee fees .....	-556	-588	-730
4123 Repayments of Principal .....	-4	-4	-4
4123 Interest Received on Loans .....	-1	-1	-1
4123 Offsets-Non-Federal sources .....	-15	.....	.....
4130 Offsets against gross budget authority and outlays (total) ....	-665	-666	-780
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	15	.....	.....
4160 Budget authority, net (mandatory) .....	271	.....	.....
4170 Outlays, net (mandatory) .....	545	542	76
4180 Budget authority, net (total) .....	271	.....	.....
4190 Outlays, net (total) .....	545	542	76

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4216-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	17,012	18,468	21,085
2142 Uncommitted loan guarantee limitation .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	17,012	18,468	21,085
2199 Guaranteed amount of guaranteed loan commitments .....	15,581	16,621	18,977
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	121,148	123,295	128,593
2231 Disbursements of new guaranteed loans .....	17,526	17,658	21,805
2251 Repayments and prepayments .....	-14,492	-11,713	-12,213
Adjustments:			
2263 Terminations for default that result in claim payments .....	-660	-647	-675
2264 Other adjustments, net .....	-227	.....	.....
2290 Outstanding, end of year .....	123,295	128,593	137,510
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	115,405	115,708	123,732
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	73	88	116
2331 Disbursements for guaranteed loan claims .....	17	30	32
2351 Repayments of loans receivable .....	-1	-1	-1
2361 Write-offs of loans receivable .....	-1	-1	-2
2364 Other adjustments, net .....	.....	.....	.....
2390 Outstanding, end of year .....	88	116	145

This account finances the guaranteed section 502 low-to-moderate-income home ownership loan program as well as the re-financings of those loans and the section 538 guaranteed multi-family housing loan program. The guaranteed programs enable the Rural Housing Service to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

**Balance Sheet** (in millions of dollars)

Identification code 012-4216-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	1,513	1,215
Investments in U.S. securities:		
1106 Receivables, net .....	179	305
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	73	88
1502 Interest receivable .....	1	1
1505 Allowance for subsidy cost (-) .....	-36	-57
1505 Currently not collectible (-) .....	.....	.....
1599 Net present value of assets related to defaulted guaranteed loans .....	38	32
1999 Total assets .....	1,730	1,552
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	1,400	1,647
2104 Resources payable to Treasury .....	.....	.....
2105 Other .....	215	113
Non-Federal liabilities:		
2201 Accounts payable .....	.....	4
2204 Liabilities for loan guarantees .....	115	-212
2999 Total liabilities .....	1,730	1,552
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	1,730	1,552

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4141-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0107 Other costs incident to loans .....	28	26	25
0900 Total new obligations, unexpired accounts (object class 25.2) .....	28	26	25
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	77	46	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1022 Capital transfer of unobligated balances to general fund .....	-77	-46	.....
1050 Unobligated balance (total) .....	11	.....	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	463	378	360
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-400	-352	-335
1850 Spending auth from offsetting collections, mand (total) .....	63	26	25
1930 Total budgetary resources available .....	74	26	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	46	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	25	21
3010 New obligations, unexpired accounts .....	28	26	25
3020 Outlays (gross) .....	-18	-30	-29
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	25	21	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	25	21
3200 Obligated balance, end of year .....	25	21	17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	63	26	25
Outlays, gross:			
4100 Outlays from new mandatory authority .....	18	23	22
4101 Outlays from mandatory balances .....	.....	7	7
4110 Outlays, gross (total) .....	18	30	29
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-2	.....	.....
4123 Non-Federal sources .....	-461	-378	-360
4130 Offsets against gross budget authority and outlays (total) ....	-463	-378	-360
4160 Budget authority, net (mandatory) .....	-400	-352	-335
4170 Outlays, net (mandatory) .....	-445	-348	-331
4180 Budget authority, net (total) .....	-400	-352	-335
4190 Outlays, net (total) .....	-445	-348	-331

Status of Direct Loans (in millions of dollars)

Identification code 012-4141-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	6,642	6,375	6,024
1251 Repayments: Repayments and prepayments .....	-271	-263	-259
1261 Adjustments: Capitalized interest .....	24	2	1
1263 Write-offs for default: Direct loans .....	-15	-20	-17
1264 Other adjustments, net (+ or -) .....	-5	-70	-64
1290 Outstanding, end of year .....	6,375	6,024	5,685

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4141-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1	1	1
2251 Repayments and prepayments .....	.....	.....	.....
2290 Outstanding, end of year .....	1	1	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1	1	1

Balance Sheet (in millions of dollars)

Identification code 012-4141-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	144	71
1601 Direct loans, gross .....	6,642	6,375
1602 Interest receivable .....	697	646
1603 Allowance for estimated uncollectible loans and interest (-) .....	-611	-606
1606 Foreclosed property .....	12	15
1699 Value of assets related to direct loans .....	6,740	6,430
Other Federal assets:		
1801 Cash and other monetary assets .....	.....	46
1901 Other assets .....	3	3
1999 Total assets .....	6,887	6,550
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	6,831	6,493
Non-Federal liabilities:		
2201 Accounts payable .....	7	3
2207 Other .....	49	54
2999 Total liabilities .....	6,887	6,550
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	6,887	6,550

RURAL BUSINESS-COOPERATIVE SERVICE  
Federal Funds

ENERGY ASSISTANCE PAYMENTS

Program and Financing (in millions of dollars)

Identification code 012-2073-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Bioenergy Program for Advanced Biofuels Payments .....	14	13	7
0011 Repowering Assistance Payments .....	4	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	18	13	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	10	4
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	15	7	7
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	.....	.....
1260 Appropriations, mandatory (total) .....	14	7	7
1900 Budget authority (total) .....	14	7	7
1930 Total budgetary resources available .....	28	17	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	4	4
3010 New obligations, unexpired accounts .....	18	13	7
3020 Outlays (gross) .....	-14	-13	-9
3050 Unpaid obligations, end of year .....	4	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	4	4
3200 Obligated balance, end of year .....	4	4	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	3	3
4101 Outlays from mandatory balances .....	14	10	6
4110 Outlays, gross (total) .....	.....	13	9
4180 Budget authority, net (total) .....	14	7	7
4190 Outlays, net (total) .....	14	13	9

The purpose of the Bioenergy Program for Advanced Biofuels is to provide payments to eligible agricultural producers to support and ensure an expanding production of advanced biofuels. This program is authorized

ENERGY ASSISTANCE PAYMENTS—Continued

pursuant to section 9005 of the Farm Security and Rural Investment Act of 2002, as amended by the Farm, Conservation, and Energy Act of 2008, as amended by the Agricultural Act of 2014, as amended by the Agriculture Improvement Act of 2018.

The account also includes funding for Repowering Assistance payments. The purpose of this program is to encourage biorefineries to replace fossil fuel used to produce heat or power to operate the biorefineries. This program was authorized pursuant to section 9004 of the Farm Security and Rural Investment Act of 2002, as amended by the Agricultural Act of 2014.

The 2020 Budget does not include funding for this program.

RURAL COOPERATIVE DEVELOPMENT GRANTS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–1900–0–1–452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rural Cooperative Development Grants .....	9	9	.....
0011 Value Added Agricultural Producer Grants (discretionary) .....	27	20	.....
0012 Appropriate Technology Transfer for Rural Areas .....	3	3	.....
0013 Value Added Agricultural Product Marketing (mandatory) .....	9	2	.....
0014 LAMP Value Added (mandatory) .....	.....	2	25
0015 LAMP Administrative Expenses (mandatory) .....	.....	2	2
0900 Total new obligations, unexpired accounts .....	48	38	27
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	8	19
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	15	7	.....
1021 Recoveries of prior year unpaid obligations .....	4	2	1
1050 Unobligated balance (total) .....	28	10	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	28	28	.....
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336] .....	.....	19	19
1900 Budget authority (total) .....	28	47	19
1930 Total budgetary resources available .....	56	57	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	19	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	67	77	69
3010 New obligations, unexpired accounts .....	48	38	27
3020 Outlays (gross) .....	–34	–44	–53
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4	–2	–1
3050 Unpaid obligations, end of year .....	77	69	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	67	77	69
3200 Obligated balance, end of year .....	77	69	42
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	28	28	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	.....
4011 Outlays from discretionary balances .....	19	28	34
4020 Outlays, gross (total) .....	20	30	34
Mandatory:			
4090 Budget authority, gross .....	.....	19	19
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	2	2
4101 Outlays from mandatory balances .....	14	12	17
4110 Outlays, gross (total) .....	.....	14	19
4180 Budget authority, net (total) .....	28	47	19
4190 Outlays, net (total) .....	34	44	53

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104–127, April 4, 1996. These grants are made available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. The Appropriate Technology Transfer to Rural Areas (ATTRA) program was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs. These grants provide assistance to small minority producers through cooperatives and associations of cooperatives.

Additionally, USDA provides Value-Added Marketing Grants for producers of agricultural commodities. These grants can be used for planning activities and for working capital for marketing value-added agricultural products. The 2020 Budget eliminates these programs because they have not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

Object Classification (in millions of dollars)

Identification code 012–1900–0–1–452	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	.....	2	2
41.0 Grants, subsidies, and contributions .....	48	36	25
99.9 Total new obligations, unexpired accounts .....	.....	38	27

HEALTHY FOODS FINANCING INITIATIVE

Program and Financing (in millions of dollars)

Identification code 012–0015–0–1–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 Direct program activity .....	2	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	1	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	.....	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	.....
1930 Total budgetary resources available .....	2	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	2	.....
3010 New obligations, unexpired accounts .....	2	1	.....
3020 Outlays (gross) .....	.....	–3	.....
3050 Unpaid obligations, end of year .....	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	2	.....
3200 Obligated balance, end of year .....	2	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	.....
4011 Outlays from discretionary balances .....	.....	2	.....
4020 Outlays, gross (total) .....	.....	3	.....
4180 Budget authority, net (total) .....	1	1	.....
4190 Outlays, net (total) .....	.....	3	.....

RURAL ECONOMIC DEVELOPMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 012-3105-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rural economic development grants .....	10	10	.....
0002 Subsidy .....	6	6	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	16	16	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	240	447	659
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	241	447	659
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	222	228	233
1801 Change in uncollected payments, Federal sources .....	1	1	.....
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	.....
1850 Spending auth from offsetting collections, mand (total) .....	222	228	233
1900 Budget authority (total) .....	222	228	233
1930 Total budgetary resources available .....	463	675	892
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	447	659	892
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	10
3010 New obligations, unexpired accounts .....	16	16	.....
3020 Outlays (gross) .....	-15	-16	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	10	10	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-18	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	.....
3090 Uncollected pymts, Fed sources, end of year .....	-18	-19	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-7	-8	-9
3200 Obligated balance, end of year .....	-8	-9	-17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	222	228	233
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	9	.....
4101 Outlays from mandatory balances .....	15	7	8
4110 Outlays, gross (total) .....	15	16	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Cushion of Credit Payments .....	-207	-210	-216
4123 Guaranteed Underwriter Fees .....	-15	-18	-17
4130 Offsets against gross budget authority and outlays (total) ....	-222	-228	-233
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	.....
4160 Budget authority, net (mandatory) .....	-1	-1	.....
4170 Outlays, net (mandatory) .....	-207	-212	-225
4180 Budget authority, net (total) .....	-1	-1	.....
4190 Outlays, net (total) .....	-207	-212	-225
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	2	3
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	3	3

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' "cushion of credit" accounts. The 2020 Budget eliminates this program because it has not been able to show evi-

ence of improved outcomes; such as economic growth and decreasing out-migration.

RURAL MICROENTERPRISE INVESTMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1955-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 Grants .....	3	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3	1	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	1	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	1	1	.....
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ....	3	.....	.....
1900 Budget authority (total) .....	3	.....	.....
1930 Total budgetary resources available .....	4	1	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	5
3010 New obligations, unexpired accounts .....	3	1	.....
3020 Outlays (gross) .....	-3	-3	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	7	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	5
3200 Obligated balance, end of year .....	7	5	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	3	2
4180 Budget authority, net (total) .....	3	.....	.....
4190 Outlays, net (total) .....	3	3	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1955-0-1-452	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Microenterprise Direct Loans .....	6	3	.....
Direct loan subsidy (in percent):			
132001 Rural Microenterprise Direct Loans .....	9.98	9.52	.....
132999 Weighted average subsidy rate .....	9.98	9.52	0.00
Direct loan subsidy budget authority:			
133001 Rural Microenterprise Direct Loans .....	1	.....	.....
Direct loan subsidy outlays:			
134001 Rural Microenterprise Direct Loans .....	1	1	.....
Direct loan reestimates:			
135001 Rural Microenterprise Direct Loans .....	.....	-3	.....

This program provides microentrepreneurs with the skills necessary to establish new rural microenterprises, as well as support these types of businesses with technical and financial assistance. The program provides loans and grants to intermediaries that assist microentrepreneurs. The program is authorized pursuant to section 379E(d) of the Consolidated Farm and Rural Development Act, and as amended by the Agricultural Act of 2014. The 2020 Budget includes no funding for this program.

RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4354-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	6	3	.....
0713 Payment of interest to Treasury .....	1	1	1
0742 Downward reestimates paid to receipt accounts .....	.....	2	.....
0900 Total new obligations, unexpired accounts .....	7	6	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1023 Unobligated balances applied to repay debt .....	-1	-2	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-3	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	9	3	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	3	2
1825 Spending authority from offsetting collections applied to repay debt .....	-4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	.....	3	2
1900 Budget authority (total) .....	9	6	2
1930 Total budgetary resources available .....	9	6	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	.....	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	16	13
3010 New obligations, unexpired accounts .....	7	6	1
3020 Outlays (gross) .....	-8	-9	-6
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	16	13	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	14	11
3200 Obligated balance, end of year .....	14	11	6
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	6	2
Financing disbursements:			
4110 Outlays, gross (total) .....	8	9	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	.....
4123 Repayments of Loan Principal .....	-3	-2	-2
4130 Offsets against gross budget authority and outlays (total) .....	-4	-3	-2
4160 Budget authority, net (mandatory) .....	5	3	.....
4170 Outlays, net (mandatory) .....	4	6	4
4180 Budget authority, net (total) .....	5	3	.....
4190 Outlays, net (total) .....	4	6	4

Status of Direct Loans (in millions of dollars)

Identification code 012-4354-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	7	3	.....
1121 Limitation available from carry-forward .....	1	.....	.....
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-2	.....	.....
1150 Total direct loan obligations .....	6	3	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	35	39	43
1231 Disbursements: Direct loan disbursements .....	6	6	5
1251 Repayments: Repayments and prepayments .....	-2	-2	-2
1290 Outstanding, end of year .....	39	43	46

This account finances direct loan commitments for micro-business development in rural areas. The subsidy cost of this program is funded through the Rural Microenterprise Investment Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4354-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	2
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	35	39
1405 Allowance for subsidy cost (-) .....	-2	-3
1499 Net present value of assets related to direct loans .....	33	36
1999 Total assets .....	36	38
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	36	38
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	36	38

RURAL BUSINESS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For the cost of loan guarantees for the rural business development programs authorized by section 310B and described in subsections (a), (f), and (g) of section 310B of the Consolidated Farm and Rural Development Act, \$20,500,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That sections 381E-H and section 381N of the Consolidated Farm and Rural Development Act shall not apply to the funds made available under this heading.

In addition, for administrative expenses necessary to carry out the guaranteed loan program, \$7,035,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1902-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0013 Rural Business Development Grants .....	37	37	.....
0015 DRA and ARC Grants .....	4	10	.....
0091 Direct program activities, subtotal .....	41	47	.....
Credit program obligations:			
0702 Loan guarantee subsidy .....	50	31	23
0705 Reestimates of direct loan subsidy .....	.....	2	.....
0706 Interest on reestimates of direct loan subsidy .....	.....	4	.....
0707 Reestimates of loan guarantee subsidy .....	3	11	.....
0708 Interest on reestimates of loan guarantee subsidy .....	3	5	.....
0709 Administrative expenses .....	.....	.....	7
0791 Direct program activities, subtotal .....	56	53	30
0900 Total new obligations, unexpired accounts (object class 41.0) .....	97	100	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	20	28
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	21	20	.....
1021 Recoveries of prior year unpaid obligations .....	13	9	7
1050 Unobligated balance (total) .....	34	29	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	77	77	27
Appropriations, mandatory:			
1200 Appropriation .....	6	22	.....
1900 Budget authority (total) .....	83	99	27
1930 Total budgetary resources available .....	117	128	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	28	32

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	103	99	84
3010	New obligations, unexpired accounts .....	97	100	30
3020	Outlays (gross) .....	-88	-106	-84
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13	-9	-7
3050	Unpaid obligations, end of year .....	99	84	23
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	103	99	84
3200	Obligated balance, end of year .....	99	84	23
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	77	77	27
Outlays, gross:				
4010	Outlays from new discretionary authority .....	28	20	16
4011	Outlays from discretionary balances .....	54	64	68
4020	Outlays, gross (total) .....	82	84	84
Mandatory:				
4090	Budget authority, gross .....	6	22	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	22	.....
4180	Budget authority, net (total) .....	83	99	27
4190	Outlays, net (total) .....	88	106	84

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1902-0-1-452	2018 actual	2019 est.	2020 est.	
Direct loan reestimates:				
135004	Business and Industry Loans .....	7	.....	.....
Guaranteed loan levels supportable by subsidy budget authority:				
215007	Business and Industry Loan Guarantees .....	1,223	1,343	1,122
215999	Total loan guarantee levels .....	1,223	1,343	1,122
Guaranteed loan subsidy (in percent):				
232007	Business and Industry Loan Guarantees .....	4.06	2.32	2.05
232999	Weighted average subsidy rate .....	4.06	2.32	2.05
Guaranteed loan subsidy budget authority:				
233007	Business and Industry Loan Guarantees .....	50	31	23
233999	Total subsidy budget authority .....	50	31	23
Guaranteed loan subsidy outlays:				
234007	Business and Industry Loan Guarantees .....	51	35	45
234008	Business and Industry Emergency Supplemental Loan Guarantees .....	.....	3	1
234999	Total subsidy outlays .....	51	38	46
Guaranteed loan reestimates:				
235006	Guaranteed Business and Industry Loans - ARRA .....	-5	-2	.....
235007	Business and Industry Loan Guarantees .....	-143	-68	.....
235008	Business and Industry Emergency Supplemental Loan Guarantees .....	-5	3	.....
235999	Total guaranteed loan reestimates .....	-153	-67	.....

This account funds direct and guaranteed business and industry loans, and rural business development grants. Business and industry guaranteed loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. The 2020 Budget projections for loan guarantees are \$1 billion. The 2020 Budget eliminates the grant programs because they have not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

**RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4223-0-3-452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	1	1	1
0743	Interest on downward reestimates .....	1	.....	.....

0900	Total new obligations, unexpired accounts .....	2	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8	.....	.....
1023	Unobligated balances applied to repay debt .....	-8	.....	.....
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1	.....	.....
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	8	1
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-7	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	1	1
1900	Budget authority (total) .....	2	1	1
1930	Total budgetary resources available .....	2	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	1	1
3020	Outlays (gross) .....	-2	-1	-1
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	1	1
Financing disbursements:				
4110	Outlays, gross (total) .....	2	1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-7	.....
4123	Repayments of principal .....	-1	-1	-1
4130	Offsets against gross budget authority and outlays (total) .....	-2	-8	-1
4160	Budget authority, net (mandatory) .....	.....	-7	.....
4170	Outlays, net (mandatory) .....	.....	-7	.....
4180	Budget authority, net (total) .....	.....	-7	.....
4190	Outlays, net (total) .....	.....	-7	.....

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4223-0-3-452	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	7	4	3
1251	Repayments: Repayments and prepayments .....	-1	-1	-1
1263	Write-offs for default: Direct loans .....	-3	.....	.....
1264	Other adjustments, net (+ or -) .....	1	.....	.....
1290	Outstanding, end of year .....	4	3	2

The account finances direct loans for business development in rural areas. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

Identification code 012-4223-0-3-452	2017 actual	2018 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	8	1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	7	4
1405	Allowance for subsidy cost (-) .....	.....	2
1499	Net present value of assets related to direct loans .....	7	6
1502	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Interest receivable .....	.....	.....
1999	Total assets .....	15	7
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....	.....	7
2104	Resources payable to Treasury .....	15	.....
2999	Total liabilities .....	15	7
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	.....	.....
4999	Total liabilities and net position .....	15	7

RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4227-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711	93	93	96
0712	1	3	3
0713	1	1	1
0742	138	71	
0743	21	12	
0900	254	180	100
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	401	277	196
1021	3		
1023	-23	-27	
1033	3		
1050	384	250	196
Financing authority:			
Borrowing authority, mandatory:			
1400	26		
Spending authority from offsetting collections, mandatory:			
1800	132	134	134
1801	-11	-8	-23
1850	121	126	111
1900	147	126	111
1930	531	376	307
Memorandum (non-add) entries:			
1941	277	196	207
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	254	180	100
3020	-251	-180	-100
3040	-3		
Uncollected payments:			
3060	-43	-32	-24
3070	11	8	23
3090	-32	-24	-1
Memorandum (non-add) entries:			
3100	-43	-32	-24
3200	-32	-24	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	147	126	111
Financing disbursements:			
4110	251	180	100
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-57	-55	-46
4122	-5	-6	-7
4123	-25	-26	-29
4123	-43	-47	-52
4123	-2		
4123	-3		
4130	-135	-134	-134
Additional offsets against financing authority only (total):			
4140	11	8	23
4143	3		
4150	14	8	23
4160	26		
4170	116	46	-34
4180	26		
4190	116	46	-34

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4227-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111	1,904	1,343	1,122
2121	303		
2143	-984		

2150	Total guaranteed loan commitments	1,223	1,343	1,122
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	5,909	6,158	6,332
2231	Disbursements of new guaranteed loans	1,297	1,102	1,190
2251	Repayments and prepayments	-883	-835	-869
Adjustments:				
2261	Terminations for default that result in loans receivable	-67	-53	-54
2263	Terminations for default that result in claim payments	-26	-40	-42
2264	Other adjustments, net	-72		
2290	Outstanding, end of year	6,158	6,332	6,557
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	4,539	4,791	4,983
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	193	184	161
2331	Disbursements for guaranteed loan claims	121	75	78
2351	Repayments of loans receivable	-25	-23	-20
2361	Write-offs of loans receivable	-79	-75	-66
2364	Other adjustments, net	-26		
2390	Outstanding, end of year	184	161	153

The account finances loan guarantee commitments for business development in rural areas. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4227-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101		244
Investments in U.S. securities:		
1106		11
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	334	184
1502		3
1505		-40
1599	334	147
1999	334	402
<b>LIABILITIES:</b>		
Federal liabilities:		
2103		27
2105		74
2204	334	301
2999	334	402
<b>NET POSITION:</b>		
3300		
4999	334	402

INTERMEDIARY RELENDING PROGRAM FUND ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-2069-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701	4	4	
0705	1		
0706	1		
0709	4	4	
0900	10	8	

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	9	8
Appropriations, mandatory:			
1200	Appropriation .....	1	
1900	Budget authority (total) .....	10	8
1930	Total budgetary resources available .....	10	8

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15	13	12
3010	New obligations, unexpired accounts .....	10	8	
3020	Outlays (gross) .....	-11	-9	-5
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	13	12	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	15	13	12
3200	Obligated balance, end of year .....	13	12	7

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9	8	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5	4	
4011	Outlays from discretionary balances .....	5	5	5
4020	Outlays, gross (total) .....	10	9	5
Mandatory:				
4090	Budget authority, gross .....	1		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1		
4180	Budget authority, net (total) .....	10	8	
4190	Outlays, net (total) .....	11	9	5

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-2069-0-1-452	2018 actual	2019 est.	2020 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Intermediary Relending Program .....	19	19	
Direct loan subsidy (in percent):				
132001	Intermediary Relending Program .....	23.09	22.01	
132999	Weighted average subsidy rate .....	23.09	22.01	0.00
Direct loan subsidy budget authority:				
133001	Intermediary Relending Program .....	4	4	
Direct loan subsidy outlays:				
134001	Intermediary Relending Program .....	5	5	5
Direct loan reestimates:				
135001	Intermediary Relending Program .....	-1	-5	
Administrative expense data:				
3510	Budget authority .....	4	4	
3590	Outlays from new authority .....	4	4	

This account finances loans to intermediary borrowers, who, in turn, re-lend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. The 2020 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 012-2069-0-1-452	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3	Other goods and services from Federal sources .....	4	4
41.0	Grants, subsidies, and contributions .....	6	4

99.9	Total new obligations, unexpired accounts .....	10	8	
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**RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012-4219-0-3-452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations .....	19	19	
0713	Payment of interest to Treasury .....	14	15	16
0742	Downward reestimates paid to receipt accounts .....	2	3	
0743	Interest on downward reestimates .....		2	
0900	Total new obligations, unexpired accounts .....	35	39	16
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	7	
1021	Recoveries of prior year unpaid obligations .....	3		
1023	Unobligated balances applied to repay debt .....	-11	-7	
1024	Unobligated balance of borrowing authority withdrawn .....	-2		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	50	3	
1422	Borrowing authority applied to repay debt .....	-8		
1440	Borrowing authority, mandatory (total) .....	42	3	
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	38	36	35
1801	Change in uncollected payments, Federal sources .....	-2		
1825	Spending authority from offsetting collections applied to repay debt .....	-36		-19
1850	Spending auth from offsetting collections, mand (total) .....		36	16
1900	Budget authority (total) .....	42	39	16
1930	Total budgetary resources available .....	42	39	16
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7		

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	51	48	54
3010	New obligations, unexpired accounts .....	35	39	16
3020	Outlays (gross) .....	-35	-33	-34
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050	Unpaid obligations, end of year .....	48	54	36
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-13	-13
3070	Change in uncollected pymts, Fed sources, unexpired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	36	35	41
3200	Obligated balance, end of year .....	35	41	23

<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	42	39	16
Financing disbursements:				
4110	Outlays, gross (total) .....	35	33	34
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from program account .....	-7	-5	-5
4122	Interest on uninvested funds .....	-1	-1	-1
4123	Non-Federal sources - repayment of principal .....	-26	-26	-26
4123	Non-Federal sources - repayments of interest .....	-4	-4	-3
4130	Offsets against gross budget authority and outlays (total) ...	-38	-36	-35
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	2		
4160	Budget authority, net (mandatory) .....	6	3	-19
4170	Outlays, net (mandatory) .....	-3	-3	-1
4180	Budget authority, net (total) .....	6	3	-19
4190	Outlays, net (total) .....	-3	-3	-1

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)

Identification code 012-4219-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	19	19	.....
1150 Total direct loan obligations .....	19	19	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	370	362	354
1231 Disbursements: Direct loan disbursements .....	19	18	18
1251 Repayments: Repayments and prepayments .....	-27	-26	-26
1290 Outstanding, end of year .....	362	354	346

Balance Sheet (in millions of dollars)

Identification code 012-4219-0-3-452	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	30	7
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	370	362
1402 Interest receivable .....	2	2
1405 Allowance for subsidy cost (-) .....	-90	-85
1499 Net present value of assets related to direct loans .....	282	279
1999 Total assets .....	312	286
LIABILITIES:		
Federal liabilities:		
2103 Debt .....		286
2104 Resources payable to Treasury .....	312	.....
2999 Total liabilities .....	312	286
NET POSITION:		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	312	286

RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4233-0-3-452	2018 actual	2019 est.	2020 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	.....
1022 Capital transfer of unobligated balances to general fund .....		-1	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	2	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-2	-2	-1
1850 Spending auth from offsetting collections, mand (total) .....	1	.....	.....
1930 Total budgetary resources available .....	1	.....	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....	1	.....	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3	-2	-1
4180 Budget authority, net (total) .....	-2	-2	-1
4190 Outlays, net (total) .....	-3	-2	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4233-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	9	6	4
1251 Repayments: Repayments and prepayments .....	-3	-2	-1
1290 Outstanding, end of year .....	6	4	3

Balance Sheet (in millions of dollars)

Identification code 012-4233-0-3-452	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....		1
1601 Direct loans, gross .....	9	6
1999 Total assets .....	9	7
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	9	7
4999 Total liabilities and net position .....	9	7

RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-3108-0-1-452	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy .....	7	6	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	6	.....
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	.....	.....
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	2	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	5	.....
1900 Budget authority (total) .....	5	5	.....
1930 Total budgetary resources available .....	7	6	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	9	8
3010 New obligations, unexpired accounts .....	7	6	.....
3020 Outlays (gross) .....	-4	-6	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3050 Unpaid obligations, end of year .....	9	8	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	9	8
3200 Obligated balance, end of year .....	9	8	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....	5	5	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	.....
4101 Outlays from mandatory balances .....	4	5	5
4110 Outlays, gross (total) .....	4	6	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-5	-5	.....
4180 Budget authority, net (total) .....			.....
4190 Outlays, net (total) .....	-1	1	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3108-0-1-452	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Economic Development Loans .....	57	43	.....
Direct loan subsidy (in percent):			
132001 Rural Economic Development Loans .....	12.92	13.35	.....
132999 Weighted average subsidy rate .....	12.92	13.35	0.00
Direct loan subsidy budget authority:			
133001 Rural Economic Development Loans .....	7	6	.....
Direct loan subsidy outlays:			
134001 Rural Economic Development Loans .....	4	5	5

Direct loan reestimates:			
135001 Rural Economic Development Loans .....	-2	-2	.....

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who, in turn, finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments. The 2020 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4176-0-3-452	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	56	43	.....
0713 Payment of interest to Treasury .....	5	6	6
0742 Downward reestimates paid to receipt accounts .....	2	2	.....
0900 Total new obligations, unexpired accounts .....	63	51	6

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	18	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1023 Unobligated balances applied to repay debt .....	-9	-18	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-2	.....	.....
1050 Unobligated balance (total) .....	1	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	86	8	.....
1422 Borrowing authority applied to repay debt .....	-8	.....	.....
1440 Borrowing authority, mandatory (total) .....	78	8	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	40	43	44
1801 Change in uncollected payments, Federal sources .....	3	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-41	.....	-38
1850 Spending auth from offsetting collections, mand (total) .....	2	43	6
1900 Budget authority (total) .....	80	51	6
1930 Total budgetary resources available .....	81	51	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	.....	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	45	67	63
3010 New obligations, unexpired accounts .....	63	51	6
3020 Outlays (gross) .....	-38	-55	-66
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	67	63	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	58	54
3200 Obligated balance, end of year .....	58	54	-6

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	80	51	6
Financing disbursements:			
4110 Outlays, gross (total) .....	38	55	66
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Funds: Program Account .....	-5	-5	-5
4122 Interest on uninvested funds .....	-1	-2	-2

4123 Non-Federal sources: Repayment of Principal .....	-34	-36	-37
4130 Offsets against gross budget authority and outlays (total) ....	-40	-43	-44
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4160 Budget authority, net (mandatory) .....	37	8	-38
4170 Outlays, net (mandatory) .....	-2	12	22
4180 Budget authority, net (total) .....	37	8	-38
4190 Outlays, net (total) .....	-2	12	22

Status of Direct Loans (in millions of dollars)

Identification code 012-4176-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	42	43	.....
1121 Limitation available from carry-forward .....	14	.....	.....
1150 Total direct loan obligations .....	56	43	.....
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	186	183	202
1231 Disbursements: Direct loan disbursements .....	31	53	38
1251 Repayments: Repayments and prepayments .....	-34	-34	-35
1290 Outstanding, end of year .....	183	202	205

Balance Sheet (in millions of dollars)

Identification code 012-4176-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	30	16
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	186	183
1405 Allowance for subsidy cost (-) .....	-14	-13
1499 Net present value of assets related to direct loans .....	172	170
1999 Total assets .....	202	186
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	.....	186
2104 Resources payable to Treasury .....	202	.....
2999 Total liabilities .....	202	186
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total upward reestimate subsidy BA [12-3108] .....	202	186

RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1907-0-1-452	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....	.....	4	.....
0708 Interest on reestimates of loan guarantee subsidy .....	.....	2	.....
0791 Direct program activities, subtotal .....	.....	6	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	6	.....

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	6	.....
1900 Budget authority (total) .....	.....	6	.....
1930 Total budgetary resources available .....	2	8	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	.....	6	.....
3020 Outlays (gross) .....	.....	-6	.....
3050 Unpaid obligations, end of year .....	1	1	1

RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-1907-0-1-452	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross		6	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority		6	
4180 Budget authority, net (total)		6	
4190 Outlays, net (total)		6	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1907-0-1-452	2018 actual	2019 est.	2020 est.
<b>Guaranteed loan reestimates:</b>			
235001 Rural Business Investment Program		6	

The Rural Business Investment Program was authorized by section 6029 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a present value basis. The 2020 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

RURAL BUSINESS INVESTMENT PROGRAM GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4033-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0711 Default claim payments on principal	23		
0712 Default claim payments on interest	1		
0713 Payment of interest to Treasury	1		
0900 Total new obligations, unexpired accounts	25		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	4	4	10
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority	24		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected	1	6	
1900 Budget authority (total)	25	6	
1930 Total budgetary resources available	29	10	10
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	4	10	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts	25		
3020 Outlays (gross)	-25		

Financing authority and disbursements, net:

<b>Mandatory:</b>			
4090 Budget authority, gross	25	6	
<b>Financing disbursements:</b>			
4110 Outlays, gross (total)	25		
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources		-6	
4122 Interest on uninvested funds	-1		
4130 Offsets against gross budget authority and outlays (total)	-1	-6	
4160 Budget authority, net (mandatory)	24		
4170 Outlays, net (mandatory)	24	-6	
4180 Budget authority, net (total)	24		

4190 Outlays, net (total)	24	-6	
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Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4033-0-3-452	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority			
2150 Total guaranteed loan commitments			
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year	23		
2231 Disbursements of new guaranteed loans			
2251 Repayments and prepayments			
2261 Adjustments: Terminations for default that result in loans receivable	-23		
2290 Outstanding, end of year			
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year		23	23
2331 Disbursements for guaranteed loan claims	23		
2390 Outstanding, end of year	23	23	23

Balance Sheet (in millions of dollars)

Identification code 012-4033-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury	4	4
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>		
1501 Defaulted guaranteed loans receivable, gross		23
1505 Allowance for subsidy cost (-)		-11
1599 Net present value of assets related to defaulted guaranteed loans		12
1999 Total assets	4	16
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt		24
2204 Non-Federal liabilities: Liabilities for loan guarantees	4	-8
2999 Total liabilities	4	16
<b>NET POSITION:</b>		
3300 Cumulative results of operations		
4999 Total liabilities and net position	4	16

RURAL ENERGY FOR AMERICA PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1908-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Grants</b>			
<b>Credit program obligations:</b>			
0702 Loan guarantee subsidy	11	22	13
0707 Reestimates of loan guarantee subsidy	1	1	
0791 Direct program activities, subtotal	12	23	13
0900 Total new obligations, unexpired accounts (object class 41.0)	54	63	56
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	13	15	6
1021 Recoveries of prior year unpaid obligations	8	7	6
1050 Unobligated balance (total)	21	22	12

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1	
1221	Appropriations transferred from other acct (012–4336) ...	50	50 50
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3	-3
1260	Appropriations, mandatory (total) .....	48	47 50
1900	Budget authority (total) .....	48	47 50
1930	Total budgetary resources available .....	69	69 62
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	15	6 6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	71	62 74
3010	New obligations, unexpired accounts .....	54	63 56
3020	Outlays (gross) .....	-55	-44 -54
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8	-7 -6
3050	Unpaid obligations, end of year .....	62	74 70
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	71	62 74
3200	Obligated balance, end of year .....	62	74 70
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	48	47 50
Outlays, gross:			
4100	Outlays from new mandatory authority .....	4	3 4
4101	Outlays from mandatory balances .....	51	41 50
4110	Outlays, gross (total) .....	55	44 54
4180	Budget authority, net (total) .....	48	47 50
4190	Outlays, net (total) .....	55	44 54

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	48	47	50
Outlays .....	55	44	54
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-50
Outlays .....			-4
<b>Total:</b>			
Budget Authority .....	48	47	
Outlays .....	55	44	50

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012–1908–0–1–451	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Renewable Energy Loan Guarantees .....	274	495	361
Guaranteed loan subsidy (in percent):			
232001 Renewable Energy Loan Guarantees .....	3.87	4.46	3.53
232999 Weighted average subsidy rate .....	3.87	4.46	3.53
Guaranteed loan subsidy budget authority:			
233001 Renewable Energy Loan Guarantees .....	11	22	12
Guaranteed loan subsidy outlays:			
234001 Renewable Energy Loan Guarantees .....	19	5	11
Guaranteed loan reestimates:			
235001 Renewable Energy Loan Guarantees .....	-7	-10	

The Rural Energy for America Program was formerly the Renewable Energy Systems and Energy Efficiency Improvements, and is authorized under 7 U.S.C. 8107. This program provides loan guarantees and grants to farmers, ranchers, and small rural businesses to purchase renewable energy systems and make energy efficiency improvements. This program is authorized pursuant to Section 9007 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation and Energy Act of 2008, as amended by the American Taxpayer Relief Act of 2012; as

amended by the Agricultural Act of 2014; and as amended by the Agriculture Improvement Act of 2018.

**RURAL ENERGY FOR AMERICA PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012–1908–4–1–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0011 Grants .....			-43
0702 Loan guarantee subsidy .....			-13
0791 Direct program activities, subtotal .....			-13
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-56
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-50
1930 Total budgetary resources available .....			-50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-56
3020 Outlays (gross) .....			4
3050 Unpaid obligations, end of year .....			-52
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-52
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-50
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-4
4180 Budget authority, net (total) .....			-50
4190 Outlays, net (total) .....			-4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012–1908–4–1–451	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Renewable Energy Loan Guarantees .....			-361
Guaranteed loan subsidy (in percent):			
232001 Renewable Energy Loan Guarantees .....			0.00
Guaranteed loan subsidy budget authority:			
233001 Renewable Energy Loan Guarantees .....			-12

**RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012–4267–0–3–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	1
0742 Downward reestimates paid to receipt accounts .....	8	11	
0743 Interest on downward reestimates .....		1	
0900 Total new obligations, unexpired accounts .....	8	13	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	57	62	79
1023 Unobligated balances applied to repay debt .....	-2	-1	
1050 Unobligated balance (total) .....	55	61	79
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	25	13	20
1801 Change in uncollected payments, Federal sources .....	-10	18	11
1850 Spending auth from offsetting collections, mand (total) .....	15	31	31
1930 Total budgetary resources available .....	70	92	110

RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4267-0-3-451	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	62	79	109
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	8	13	1
3020 Outlays (gross) .....	-8	-13	-1
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-7	-25
3070 Change in uncollected pymts, Fed sources, unexpired .....	10	-18	-11
3090 Uncollected pymts, Fed sources, end of year .....	-7	-25	-36
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-17	-7	-25
3200 Obligated balance, end of year .....	-7	-25	-36
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	15	31	31
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	8	13	1
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-20	-6	-11
4122 Interest on uninvested funds .....	-1	-3	-4
4123 Guarantee fees .....	-4	-4	-5
4130 Offsets against gross budget authority and outlays (total) ....	-25	-13	-20
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	10	-18	-11
4170 Outlays, net (mandatory) .....	-17		-19
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-17		-19

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4267-0-3-451	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....	274	495	361
2150 Total guaranteed loan commitments .....	274	495	361
2199 Guaranteed amount of guaranteed loan commitments .....	221	400	292
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	549	928	913
2231 Disbursements of new guaranteed loans .....	406	99	261
2251 Repayments and prepayments .....	-24	-113	-141
2261 Adjustments: Terminations for default that result in loans receivable .....	-3	-1	-1
2290 Outstanding, end of year .....	928	913	1,032
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	699	738	834
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	2		1
2331 Disbursements for guaranteed loan claims .....	2	1	1
2351 Loss Settlement .....	-4		
2390 Outstanding, end of year .....		1	2

This account finances loan guarantee commitments to farmers, ranchers, and small businesses to purchase renewable energy systems and make energy efficiency improvements in rural areas. The subsidy cost of this program is funded through the Rural Energy for American Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4267-0-3-451	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	34	49
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....	2	

1999 Total assets .....	36	49
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	3	1
2204 Non-Federal liabilities: Liability for loan guarantees .....	33	48
2999 Total liabilities .....	36	49
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	36	49

BIOREFINERY ASSISTANCE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3106-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0702 Loan guarantee subsidy .....	42	72	36
0707 Reestimates of loan guarantee subsidy .....	34		
0708 Interest on reestimates of loan guarantee subsidy .....	5		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	81	72	36
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	92	264	242
1021 Recoveries of prior year unpaid obligations .....	171		
1050 Unobligated balance (total) .....	263	264	242
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	39		
1221 Appropriations transferred from other acct [012-4336] .....	43	50	25
1260 Appropriations, mandatory (total) .....	82	50	25
1900 Budget authority (total) .....	82	50	25
1930 Total budgetary resources available .....	345	314	267
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	264	242	231

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	261	75	109
3010 New obligations, unexpired accounts .....	81	72	36
3020 Outlays (gross) .....	-96	-38	-78
3040 Recoveries of prior year unpaid obligations, unexpired .....	-171		
3050 Unpaid obligations, end of year .....	75	109	67
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	261	75	109
3200 Obligated balance, end of year .....	75	109	67

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	82	50	25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	39	2	12
4101 Outlays from mandatory balances .....	57	36	66
4110 Outlays, gross (total) .....	96	38	78
4180 Budget authority, net (total) .....	82	50	25
4190 Outlays, net (total) .....	96	38	78

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3106-0-1-452	2018 actual	2019 est.	2020 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Section 9003 Loan Guarantees .....	180	330	242
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Section 9003 Loan Guarantees .....	23.60	21.76	14.93
232999 Weighted average subsidy rate .....	23.60	21.76	14.93
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Section 9003 Loan Guarantees .....	42	72	36
<b>Guaranteed loan subsidy outlays:</b>			
234001 Section 9003 Loan Guarantees .....	57	38	71
<b>Guaranteed loan reestimates:</b>			
235001 Section 9003 Loan Guarantees .....	39	-18	

The Biorefinery Assistance Program provides loan guarantees to fund the development, construction, and retrofitting of commercial-scale ad-

vanced biorefineries. The 2020 Budget does not request discretionary funding for this program. The Biorefinery Assistance Program is authorized under section 9003 of the Farm Security and Rural Investment Act of 2002; as amended by the Food, Conservation, and Energy Act of 2008, as amended by the American Taxpayers Relief Act of 2012, as amended by the Agricultural Act of 2014, and as amended by the Agriculture Improvement Act of 2018. Loan assumptions reflect an illustrative example for informational purposes only. The assumptions will be determined at the time of execution and will reflect the actual terms and conditions of the loan guarantee contracts.

BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4355-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711			1
0713	1		
0742		17	
0743		1	
0900	1	18	1

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	272	153	163
1023	-37	-15	
1050	235	138	163
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	102	41	80
1801	-183	2	-31
1850	-81	43	49
1900	-81	43	49
1930	154	181	212
Memorandum (non-add) entries:			
1941	153	163	211

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	1	18	1
3020	-1	-18	-1
Uncollected payments:			
3060	-257	-74	-76
3070	183	-2	31
3090	-74	-76	-45
Memorandum (non-add) entries:			
3100	-257	-74	-76
3200	-74	-76	-45

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	-81	43	49
Financing disbursements:			
4110	1	18	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-96	-38	-71
4122	-2	-1	-3
4123	-4	-2	-6
4130	-102	-41	-80
Additional offsets against financing authority only (total):			
4140	183	-2	31
4170	-101	-23	-79
4180			
4190	-101	-23	-79

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4355-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111			

2121	Limitation available from carry-forward .....	180	330	242
2150	Total guaranteed loan commitments .....	180	330	242
2199	Guaranteed amount of guaranteed loan commitments .....	162	297	218
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	65	373	489
2231	Disbursements of new guaranteed loans .....	311	125	443
2251	Repayments and prepayments .....	-3	-9	-10
Adjustments:				
2263	Terminations for default that result in claim payments .....			-1
2264	Other adjustments, net .....			
2290	Outstanding, end of year .....	373	489	921
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	299	391	737

<b>Addendum:</b>				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	52	52	51
2331	Disbursements for guaranteed loan claims .....			
2351	Repayments of loans receivable .....		-1	-1
2390	Outstanding, end of year .....	52	51	50

The account finances loan guarantee commitments for bioenergy, renewable chemical, and biobased product manufacturing development. The subsidy cost of this program is funded through the Biorefinery Assistance Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4355-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	12	75
Federal assets: Fund balances with Treasury .....		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	52	52
1502		
1505	-11	-11
Defaulted guaranteed loans receivable, gross .....		
Interest receivable .....		
Allowance for subsidy cost (-) .....		
1599	41	41
Net present value of assets related to defaulted guaranteed loans .....		
1999	53	116
Total assets .....		
<b>LIABILITIES:</b>		
2103		15
Federal liabilities: Debt .....		
Non-Federal liabilities:		
2203	53	
2204		101
Liabilities for loan guarantees .....		
2999	53	116
Total liabilities .....		
<b>NET POSITION:</b>		
3300		
Cumulative results of operations .....		
4999	53	116
Total liabilities and net position .....		

ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION CORPORATION  
REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 012-4144-0-3-352	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	1	1	
1029		-1	
1050	1		
1930	1		
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	1		
4180			
4190			
Unexpired unobligated balance, end of year .....			
Budget authority, net (total) .....			
Outlays, net (total) .....			

**RURAL UTILITIES SERVICE**

**Federal Funds**

**HIGH ENERGY COST GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 012-2042-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 High energy cost grants .....	22	12	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	22	12	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	2	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	13	2	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	14	2	.....
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [012-1980] ....	10	10	.....
1930 Total budgetary resources available .....	24	12	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	36	23
3010 New obligations, unexpired accounts .....	22	12	.....
3020 Outlays (gross) .....	-12	-25	-14
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	36	23	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	36	23
3200 Obligated balance, end of year .....	36	23	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	7	.....
4011 Outlays from discretionary balances .....	12	18	14
4020 Outlays, gross (total) .....	12	25	14
4180 Budget authority, net (total) .....	10	10	.....
4190 Outlays, net (total) .....	12	25	14

High energy costs grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation. The Budget proposes no funding in 2020 for these grants.

**RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT**

For gross obligations for the principal amount of direct loans as authorized by section 306 and described in section 381E(d)(2) of the Consolidated Farm and Rural Development Act, \$1,200,000,000.

For the cost of direct loans and grants, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, for the rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B and described in sections 306C(a)(2), 306D, 306E, and 381E(d)(2) of the Consolidated Farm and Rural Development Act, \$527,630,000, to remain available until expended, of which not to exceed \$1,000,000 shall be available for the rural utilities program described in section 306(a)(2)(B) of such Act, and of which not to exceed \$993,000 shall be available for the rural utilities program described in section 306E of such Act: Provided, That not to exceed \$15,000,000 of the amounts made available under this heading shall be for grants authorized by section 306A(i)(2) of the Consolidated

Farm and Rural Development Act in addition to the amounts authorized to be reserved by section 306A(i)(1) of such Act: Provided further, That notwithstanding section 306A(f)(1) of such Act, such grants may not exceed \$1,000,000: Provided further, That of the amounts made available under this heading, \$68,000,000 shall be for loans and grants, including water and waste disposal systems grants authorized by sections 306C(a)(2)(B) and 306D of the Consolidated Farm and Rural Development Act, and for loans and grants for Federally Recognized Native American Tribes authorized by 306C(a)(1) of such Act: Provided further, That amounts made available for section 306D of the Consolidated Farm and Rural Development Act may be provided to a consortium formed pursuant to section 325 of Public Law 105-83: Provided further, That not more than 2 percent of the amounts made available for section 306D of the Consolidated Farm and Rural Development Act may be used by the State of Alaska for training and technical assistance programs, and not more than 2 percent of the amounts made available for section 306D of the Consolidated Farm and Rural Development Act may be used by a consortium formed pursuant to section 325 of Public Law 105-83 for training and technical assistance programs: Provided further, That not to exceed \$40,000,000 of the amounts made available under this heading shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, unless the Secretary makes a determination of extreme need: Provided further, That of the amounts made available in the preceding proviso, \$8,000,000 shall be made available for a grant to a qualified nonprofit multi-State regional technical assistance organization, with experience in working with small communities on water and waste water problems, and the principal purpose of such grant shall be to assist rural communities with populations of 3,300 or less in improving the planning, financing, development, operation, and management of water and waste water systems, and of which not less than \$800,000 shall be for a qualified national Native American organization to provide technical assistance for rural water systems for tribal communities: Provided further, That not to exceed \$19,000,000 of the amounts made available under this heading shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: Provided further, That not to exceed \$4,000,000 of the amounts made available under this heading shall be for solid waste management grants: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act shall not apply to funds made available under this heading.

In addition, for administrative expenses necessary to carry out the direct loan program, \$18,149,000, which shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1980-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Water and waste disposal systems grants .....	985	1,051	493
0011 Water and waste disposal systems grants supplemental .....	1	165	.....
0012 Solid waste management grants .....	4	4	3
0013 Emergency Community Water Assistance Grants .....	3	3	3
0015 Emergency Community Water Assistance Grants, appropriated .....	10	16	15
0091 Direct program activities, subtotal .....	1,003	1,239	514
Credit program obligations:			
0701 Direct loan subsidy .....	3	.....	55
0705 Reestimates of direct loan subsidy .....	59	58	.....
0706 Interest on reestimates of direct loan subsidy .....	15	26	.....
0709 Administrative expenses .....	4	.....	18
0791 Direct program activities, subtotal .....	81	84	73
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,084	1,323	587
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	302	153
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	42	301	.....
1010 Unobligated balance transfer to other accts [012-0405] .....	-9	.....	.....
1011 Unobligated balance transfer from other acct [012-0405] .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	61	39	34
1050 Unobligated balance (total) .....	95	341	187
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,226	1,061	546
1120 Appropriations transferred to other accts [012-2042] .....	-10	-10	.....

1131	Unobligated balance of appropriations permanently reduced .....			-100
1160	Appropriation, discretionary (total) .....	1,216	1,051	446
	Appropriations, mandatory:			
1200	Appropriation .....	75	84	.....
1900	Budget authority (total) .....	1,291	1,135	446
1930	Total budgetary resources available .....	1,386	1,476	633
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	302	153	46
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,035	2,487	2,923
3010	New obligations, unexpired accounts .....	1,084	1,323	587
3020	Outlays (gross) .....	-571	-848	-1,034
3040	Recoveries of prior year unpaid obligations, unexpired .....	-61	-39	-34
3050	Unpaid obligations, end of year .....	2,487	2,923	2,442
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,035	2,487	2,923
3200	Obligated balance, end of year .....	2,487	2,923	2,442
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,216	1,051	446
Outlays, gross:				
4010	Outlays from new discretionary authority .....	18	35	35
4011	Outlays from discretionary balances .....	478	710	986
4020	Outlays, gross (total) .....	496	745	1,021
Mandatory:				
4090	Budget authority, gross .....	75	84	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	75	84	.....
4101	Outlays from mandatory balances .....	.....	19	13
4110	Outlays, gross (total) .....	75	103	13
4180	Budget authority, net (total) .....	1,291	1,135	446
4190	Outlays, net (total) .....	571	848	1,034

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1980-0-1-452	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Water and Waste Disposal Loans .....	1,909	2,500	1,200
115999 Total direct loan levels .....	1,909	2,500	1,200
Direct loan subsidy (in percent):			
132001 Water and Waste Disposal Loans .....	0.17	-27	4.56
132999 Weighted average subsidy rate .....	0.17	-27	4.56
Direct loan subsidy budget authority:			
133001 Water and Waste Disposal Loans .....	3	-7	55
133999 Total subsidy budget authority .....	3	-7	55
Direct loan subsidy outlays:			
134001 Water and Waste Disposal Loans .....	21	31	22
134999 Total subsidy outlays .....	21	31	22
Direct loan reestimates:			
135001 Water and Waste Disposal Loans .....	44	72	.....
135999 Total direct loan reestimates .....	44	72	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Water and Waste Disposal Loan Guarantees .....	25	26	.....
Guaranteed loan subsidy (in percent):			
232001 Water and Waste Disposal Loan Guarantees .....	0.46	0.38	.....
232999 Weighted average subsidy rate .....	0.46	0.38	0.00
Administrative expense data:			
3510 Budget authority .....	.....	.....	18
3590 Outlays from new authority .....	.....	.....	18

This account funds the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, and solid waste management grants.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than 10,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations, municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using flexibility of funds authority.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities.

The 2020 Budget requests \$1.2 billion in direct loans, \$473 million in grants and no funding for guaranteed loans. In addition, the 2020 Budget cancels \$100 million in unobligated balances from this account. The Budget also includes a legislative proposal to increase the population limit to 20,000 for direct loans. Increasing the population limit will help additional communities to get funding from this program, it will improve customer service and lower rates for these communities. For administrative costs, the 2020 Budget requests \$18.1 million. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4226-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,909	2,500	1,200
0713 Payment of interest to Treasury .....	498	523	549
0740 Negative subsidy obligations .....	.....	7	.....
0742 Downward reestimates paid to receipt accounts .....	29	10	.....
0743 Interest on downward reestimates .....	2	1	.....
0900 Total new obligations, unexpired accounts .....	2,438	3,041	1,749
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	344	105	.....
1021 Recoveries of prior year unpaid obligations .....	68	.....	.....
1023 Unobligated balances applied to repay debt .....	-347	-105	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-65	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2,535	1,628	241
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,058	1,446	1,478
1801 Change in uncollected payments, Federal sources .....	-22	-33	30
1825 Spending authority from offsetting collections applied to repay debt .....	-1,028	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	8	1,413	1,508
1900 Budget authority (total) .....	2,543	3,041	1,749
1930 Total budgetary resources available .....	2,543	3,041	1,749

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4226-0-3-452	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	105		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,643	4,716	5,137
3010 New obligations, unexpired accounts .....	2,438	3,041	1,749
3020 Outlays (gross) .....	-1,297	-2,620	-2,283
3040 Recoveries of prior year unpaid obligations, unexpired .....	-68		
3050 Unpaid obligations, end of year .....	4,716	5,137	4,603
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-110	-88	-55
3070 Change in uncollected pymts, Fed sources, unexpired .....	22	33	-30
3090 Uncollected pymts, Fed sources, end of year .....	-88	-55	-85
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,533	4,628	5,082
3200 Obligated balance, end of year .....	4,628	5,082	4,518
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,543	3,041	1,749
Financing disbursements:			
4110 Outlays, gross (total) .....	1,297	2,620	2,283
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-98	-117	-24
4122 Interest on uninvested funds .....	-42	-59	-55
4123 Repayment of principal .....	-918	-807	-889
4123 Interest Received on Loans .....		-463	-510
4130 Offsets against gross budget authority and outlays (total) ...	-1,058	-1,446	-1,478
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	22	33	-30
4160 Budget authority, net (mandatory) .....	1,507	1,628	241
4170 Outlays, net (mandatory) .....	239	1,174	805
4180 Budget authority, net (total) .....	1,507	1,628	241
4190 Outlays, net (total) .....	239	1,174	805

Status of Direct Loans (in millions of dollars)

Identification code 012-4226-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	1,909	2,500	1,200
1150 Total direct loan obligations .....	1,909	2,500	1,200
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	12,266	12,506	13,779
1231 Disbursements: Direct loan disbursements .....	766	2,080	1,734
1251 Repayments: Repayments and prepayments .....	-512	-807	-889
1263 Write-offs for default: Direct loans .....	-1		
1264 Other adjustments, net (+ or -) .....	-13		
1290 Outstanding, end of year .....	12,506	13,779	14,624

The subsidy cost of these loans is provided through the Rural Water and Waste Disposal Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4226-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	773	105
Investments in U.S. securities:		
1106 Receivables, net .....	75	83
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	12,266	12,506
1402 Interest receivable .....	89	95
1404 Foreclosed property .....		
1405 Allowance for subsidy cost (-) .....	-228	-264
1499 Net present value of assets related to direct loans .....	12,127	12,337
1999 Total assets .....	12,975	12,525

<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	12,943	12,515
2105 Other .....	32	10
2999 Total liabilities .....	12,975	12,525
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	12,975	12,525

RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4218-0-3-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4218-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2121 Limitation available from carry-forward .....	25	26	
2150 Total guaranteed loan commitments .....	25	26	
2199 Guaranteed amount of guaranteed loan commitments .....	25	26	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	114	91	95
2231 Disbursements of new guaranteed loans .....	2	11	16
2251 Repayments and prepayments .....	-25	-7	-8
2290 Outstanding, end of year .....	91	95	103
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	91	95	103

This account finances loan guarantee commitments for water systems and waste disposal facilities in rural areas.

Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4218-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2105 Federal liabilities: Other .....	1	
2204 Non-Federal liabilities: Liabilities for loan guarantees .....		1
2999 Total liabilities .....	1	1
4999 Total liabilities and net position .....	1	1

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

The principal amount of direct and guaranteed loans as authorized by sections 305, 306, and 317 of the Rural Electrification Act of 1936 (7 U.S.C. 935, 936, and 940g) shall be made as follows: loans made pursuant to sections 305, 306, and 317, notwithstanding 317(c), of that Act, rural electric, \$5,500,000,000; cost of money

rural telecommunications loans, \$175,727,000; and for loans made pursuant to section 306 of that Act, rural telecommunications loans, \$514,273,000.

For the cost of direct loans as authorized by section 305 of the Rural Electrification Act of 1936 (7 U.S.C. 935), including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, cost of money rural telecommunications loans, \$1,933,000.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$38,027,000, which shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–1230–0–1–271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	3	10	1
0703 Subsidy for modifications of direct loans .....	3	.....	.....
0705 Reestimates of direct loan subsidy .....	312	401	.....
0706 Interest on reestimates of direct loan subsidy .....	75	104	.....
0709 Administrative expenses .....	33	33	38
0900 Total new obligations, unexpired accounts .....	426	548	39
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	34	39
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	26	34	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	48	48	40
1120 Appropriations transferred to other acct (012–4609) .....	–1	.....	.....
1160 Appropriation, discretionary (total) .....	47	48	40
Appropriations, mandatory:			
1200 Appropriation .....	387	505	.....
1900 Budget authority (total) .....	434	553	40
1930 Total budgetary resources available .....	460	587	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	34	39	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	11	14
3010 New obligations, unexpired accounts .....	426	548	39
3020 Outlays (gross) .....	–421	–545	–42
3041 Recoveries of prior year unpaid obligations, expired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	11	14	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	11	14
3200 Obligated balance, end of year .....	11	14	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	47	48	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	33	34	38
4011 Outlays from discretionary balances .....	1	6	4
4020 Outlays, gross (total) .....	34	40	42
Mandatory:			
4090 Budget authority, gross .....	387	505	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	387	505	.....
4180 Budget authority, net (total) .....	434	553	40
4190 Outlays, net (total) .....	421	545	42

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012–1230–0–1–271	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115004 FFB Electric Loans .....	3,158	3,360	2,924
115006 Treasury Telecommunications Loans .....	99	51	43
115007 FFB Telecommunications Loans .....	63	83	83
115008 FFB Guaranteed Underwriting .....	750	750	.....
115012 Rural Energy Savings Program .....	22	72	.....
115999 Total direct loan levels .....	4,092	4,316	3,050

Direct loan subsidy (in percent):			
132004 FFB Electric Loans .....	–5.17	–4.38	–3.87
132006 Treasury Telecommunications Loans .....	0.25	0.48	1.10
132007 FFB Telecommunications Loans .....	–2.49	–2.71	–2.42
132008 FFB Guaranteed Underwriting .....	–3.86	–3.39	.....
132012 Rural Energy Savings Program .....	13.33	14.03	.....
132999 Weighted average subsidy rate .....	–4.66	–3.29	–3.76
Direct loan subsidy budget authority:			
133004 FFB Electric Loans .....	–163	–147	–113
133007 FFB Telecommunications Loans .....	–2	–2	–2
133008 FFB Guaranteed Underwriting .....	–29	–3	.....
133012 Rural Energy Savings Program .....	3	10	.....
133999 Total subsidy budget authority .....	–191	–142	–115
Direct loan subsidy outlays:			
134004 FFB Electric Loans .....	–154	–154	–154
134006 Treasury Telecommunications Loans .....	–1	–2	–1
134007 FFB Telecommunications Loans .....	–2	–2	–2
134008 FFB Guaranteed Underwriting .....	–8	–8	–8
134012 Rural Energy Savings Program .....	.....	2	4
134999 Total subsidy outlays .....	–165	–164	–161
Direct loan reestimates:			
135001 Electric Hardship Loans .....	1	1	.....
135002 Municipal Electric Loans .....	2	18	.....
135003 Treasury Electric Loans .....	31	17	.....
135004 FFB Electric Loans .....	75	163	.....
135005 Telecommunication Hardship Loans .....	4	.....	.....
135006 Treasury Telecommunications Loans .....	4	–7	.....
135007 FFB Telecommunications Loans .....	–14	–2	.....
135008 FFB Guaranteed Underwriting .....	8	–390	.....
135011 Electric Loan Modifications .....	–6	6	.....
135999 Total direct loan reestimates .....	105	–194	.....
Administrative expense data:			
3510 Budget authority .....	38	43	38
3590 Outlays from new authority .....	.....	33	.....

The Rural Utilities Service (RUS) conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program finances the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program provides funding for construction, expansion, and operation of telecommunications lines and facilities or systems. The Budget requests \$5.5 billion for the electric direct FFB loan program, \$176 million for the telecommunications Treasury loan program, and \$514 million for the telecommunications FFB loan program. For administrative costs, the 2020 Budget requests \$38 million.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 012–1230–0–1–271	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	33	33	38
41.0 Grants, subsidies, and contributions .....	393	515	1
99.9 Total new obligations, unexpired accounts .....	426	548	39

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 012–4208–0–3–271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Interest on FFB Loans .....	1,557	1,750	1,614

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 012-4208-0-3-271	2018 actual	2019 est.	2020 est.
Credit program obligations:			
0710 Direct loan obligations .....	4,092	4,315	3,050
0713 Payment of interest to Treasury .....	297	307	315
0740 Negative subsidy obligations .....	194	152	115
0742 Downward reestimates paid to receipt accounts .....	147	287	.....
0743 Interest on downward reestimates .....	135	413	.....
0791 Direct program activities, subtotal .....	4,865	5,474	3,480
0900 Total new obligations, unexpired accounts .....	6,422	7,224	5,094
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,788	2,587	1
1021 Recoveries of prior year unpaid obligations .....	253	.....	.....
1023 Unobligated balances applied to repay debt .....	-2,925	-2,587	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-252	.....	.....
1050 Unobligated balance (total) .....	864	.....	1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	5,903	3,690	1,843
1422 Borrowing authority applied to repay debt .....	-22	.....	.....
1440 Borrowing authority, mandatory (total) .....	5,881	3,690	1,843
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4,599	5,551	5,213
1801 Change in uncollected payments, Federal sources .....	4	7	-4
1825 Spending authority from offsetting collections applied to repay debt .....	-2,339	-2,023	-1,958
1850 Spending auth from offsetting collections, mand (total) .....	2,264	3,535	3,251
1900 Budget authority (total) .....	8,145	7,225	5,094
1930 Total budgetary resources available .....	9,009	7,225	5,095
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,587	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13,408	13,839	14,207
3010 New obligations, unexpired accounts .....	6,422	7,224	5,094
3020 Outlays (gross) .....	-5,738	-6,856	-5,803
3040 Recoveries of prior year unpaid obligations, unexpired .....	-253	.....	.....
3050 Unpaid obligations, end of year .....	13,839	14,207	13,498
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-11	-18
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	-7	4
3090 Uncollected pymts, Fed sources, end of year .....	-11	-18	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13,401	13,828	14,189
3200 Obligated balance, end of year .....	13,828	14,189	13,484
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8,145	7,225	5,094
Financing disbursements:			
4110 Outlays, gross (total) .....	5,738	6,856	5,803
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account .....	-387	-507	-5
4122 Interest on uninvested funds .....	-249	-251	-254
4123 Repayment of principal .....	-1,554	-1,067	-1,174
4123 Interest received on loans .....	-877	-831	-914
4123 Repayment of principal Cushion of Credit .....	-781	-1,492	-1,477
4123 Repayment of interest Cushion of Credit .....	-751	-1,403	-1,389
4130 Offsets against gross budget authority and outlays (total) ....	-4,599	-5,551	-5,213
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-4	-7	4
4160 Budget authority, net (mandatory) .....	3,542	1,667	-115
4170 Outlays, net (mandatory) .....	1,139	1,305	590
4180 Budget authority, net (total) .....	3,542	1,667	-115
4190 Outlays, net (total) .....	1,139	1,305	590

Status of Direct Loans (in millions of dollars)

Identification code 012-4208-0-3-271	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	4,092	4,315	3,050
1150 Total direct loan obligations .....	4,092	4,315	3,050
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	48,617	49,727	51,101
1231 Disbursements: Direct loan disbursements .....	3,445	3,933	3,708
Repayments:			
1251 Repayments and prepayments - Cash .....	-1,457	-1,067	-1,174
1251 Repayments and prepayments - CoC .....	-877	-1,492	-1,477
1264 Other adjustments, Reclassified, net .....	-1	.....	.....
1290 Outstanding, end of year .....	49,727	51,101	52,158

Balance Sheet (in millions of dollars)

Identification code 012-4208-0-3-271	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	3,804	2,467
Investments in U.S. securities:		
1106 Receivables, net .....	319	553
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	45,830	47,037
1402 Interest receivable .....	268	296
1405 Allowance for subsidy cost (-) .....	-626	-560
1499 Net present value of assets related to direct loans .....	45,472	46,773
1999 Total assets .....	49,595	49,793
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	340	348
2103 Debt .....	5,444	3,996
2103 FFB .....	43,514	44,855
2105 Other .....	.....	594
2207 Non-Federal liabilities: Other .....	297	.....
2999 Total liabilities .....	49,595	49,793
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	49,595	49,793
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	479	118
Investments in U.S. securities:		
1106 Receivables, net .....	13	36
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,787	2,690
1402 Interest receivable .....	2	3
1405 Allowance for subsidy cost (-) .....	41	58
1499 Net present value of assets related to direct loans .....	2,830	2,751
1999 Total assets .....	3,322	2,905
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	6	6
2103 Debt .....	2,342	1,911
2103 FFB .....	966	946
2105 Other .....	.....	42
2207 Non-Federal liabilities: Other .....	8	.....
2999 Total liabilities .....	3,322	2,905
4999 Total liabilities and net position .....	3,322	2,905

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING  
ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4209-0-3-271	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	166	161	156
2251 Repayments and prepayments .....	-5	-5	-5

2290	Outstanding, end of year .....	161	156	151
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	161	156	151

<b>Repayments:</b>				
1251	Repayments and prepayments - Cash .....	-131	-42	-35
1251	Repayments and prepayments - CoC .....	-107	-120	-101
1261	Adjustments: Capitalized interest .....		29	28
1264	Other adjustments, net (+ or -) .....	132		
1290	Outstanding, end of year .....	2,366	2,233	2,125

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4230-0-3-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Interest Expense, FFB direct .....	29	22	18
0005	Other: cushion of credit .....	207	210	216
0091	Direct program activities, subtotal .....	236	232	234
<b>Credit program obligations:</b>				
0739	CoC for Financing .....	1,687	359	376
0900	Total new obligations, unexpired accounts .....	1,923	591	610
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	6,955	7,231	8,943
1022	Capital transfer of unobligated balances to general fund .....	-140	-117	
1050	Unobligated balance (total) .....	6,815	7,114	8,943
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation for CoC Borrower Interest .....	348	359	376
1200	Appropriation for RED Grants .....	209	210	216
1260	Appropriations, mandatory (total) .....	557	569	592
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	1,895	1,851	165
1825	Spending authority from offsetting collections applied to repay debt .....	-113		
1850	Spending auth from offsetting collections, mand (total) .....	1,782	1,851	165
1900	Budget authority (total) .....	2,339	2,420	757
1930	Total budgetary resources available .....	9,154	9,534	9,700
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	7,231	8,943	9,090
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	25	23
3010	New obligations, unexpired accounts .....	1,923	591	610
3020	Outlays (gross) .....	-1,923	-593	-592
3050	Unpaid obligations, end of year .....	25	23	41
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	25	25	23
3200	Obligated balance, end of year .....	25	23	41
<b>Budget authority and outlays, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	2,339	2,420	757
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	1,906	569	592
4101	Outlays from mandatory balances .....	17	24	
4110	Outlays, gross (total) .....	1,923	593	592
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4123	Loans Repaid - Cash .....	-153	-42	-35
4123	Interest Repaid - Cash .....	-35	-8	-5
4123	Cushion of Credit Deposits .....	-1,573	-1,655	
4123	Loans Repaid - CoC .....	-107	-119	-101
4123	Interest Repaid - CoC .....	-27	-27	-24
4130	Offsets against gross budget authority and outlays (total) .....	-1,895	-1,851	-165
4160	Budget authority, net (mandatory) .....	444	569	592
4170	Outlays, net (mandatory) .....	28	-1,258	427
4180	Budget authority, net (total) .....	444	569	592
4190	Outlays, net (total) .....	28	-1,258	427

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4230-0-3-999	2018 actual	2019 est.	2020 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	2,472	2,366	2,233

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 012-4230-0-3-999	2018 actual	2019 est.	2020 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	3		
2251	Repayments and prepayments .....	-3		
2290	Outstanding, end of year .....			
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....			

**STATUS OF AGENCY DEBT**

In millions of dollars

	2018 actual	2019 est.	2020 est.
<b>Agency debt held by FFB:</b>			
Outstanding FFB direct, start of year .....	465	352	266
Outstanding Certificate of Beneficial Ownership (CBO's), start of year .....	135	135	135
New agency borrowing, FFB direct .....	0	0	0
Repayments and prepayments, FFB Direct .....	-113	-86	-65
Repayments, CBO's-344 .....	0	0	0
Outstanding FFB direct, end of year .....	352	266	201
Outstanding CBO's, end of year .....	135	135	135

The Rural Telephone Bank was dissolved in 2006. To accomplish this, the Rural Telephone Bank liquidating account loans were used to redeem a portion of the Government's stock. The Rural Telephone Bank liquidating account loans were transferred to the Rural Electrification and Telecommunications liquidating account in 2006.

The Rural Utilities Service (RUS) continues to service all loans in this account, providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

*Rural electric.*—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in Rural Electrification and Telecommunications Revolving Fund in 1992 and beyond is recorded in corresponding program and financing accounts.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

**ELECTRIC PROGRAM STATISTICS**

dollars in millions

	2018 actual	2019 est.	2020 est.
Cumulative RUS financed direct loans .....	21,879	21,879	21,879
Cumulative FFB financed direct loans .....	26,598	26,598	26,598
Cumulative RUS funds advanced .....	21,879	21,879	21,879
Unadvanced RUS funds, end of year .....	0	0	0
Cumulative RUS principal repaid .....	21,846	21,863	21,875
Cumulative RUS interest paid .....	13,677	13,682	13,686
Cumulative loan guarantee commitments .....	0	0	0
Number of borrowers .....	46	25	12

*Rural telecommunications.*—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

**TELECOMMUNICATIONS PROGRAM STATISTICS**

dollars in millions

	2018 actual	2019 est.	2020 est.
Cumulative RUS financed direct loans .....	5,916	5,916	5,916
Cumulative FFB financed direct loans .....	562	562	562
Cumulative RUS funds advanced .....	5,916	5,916	5,916

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING  
ACCOUNT—Continued

TELECOMMUNICATIONS PROGRAM STATISTICS—Continued

	2018 actual	2019 est.	2020 est.
Unadvanced RUS funds, end of period .....	0	0	0
Cumulative RUS principal repaid .....	5,835	5,856	5,895
Cumulative RUS interest paid .....	3,549	3,555	3,561
Cumulative loan guarantee commitments .....	0	0	0
Number of borrowers .....	136	112	89

RURAL TELEPHONE BANK PROGRAM STATISTICS

dollars in millions

	2018 actual	2019 est.	2020 est.
Cumulative net loans .....	2,471	2,471	2,471
Cumulative loan funds, advanced .....	2,471	2,471	2,471
Unadvanced loan funds, end of year .....	0	0	0
Cumulative principal repaid .....	2,469	2,470	2,471
Cumulative interest paid .....	2,463	2,464	2,464
Number of borrowers .....	11	6	0

Balance Sheet (in millions of dollars)

Identification code 012-4230-0-3-999	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	140	7,256
1601 Direct loans, gross .....	2,471	2,366
1602 Interest receivable .....	12	8
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,460	-1,593
1699 Value of assets related to direct loans .....	1,023	781
1901 Other Federal assets: Other assets .....		-7,114
1999 Total assets .....	1,163	923
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	8	6
2103 Debt .....	600	487
2104 Resources payable to Treasury .....	657	532
2105 Other .....	17	17
2999 Total liabilities .....	1,282	1,042
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	-119	-119
4999 Total liabilities and net position .....	1,163	923

Object Classification (in millions of dollars)

Identification code 012-4230-0-3-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	207	210	216
43.0 Interest and dividends .....	29	22	18
94.0 Financial transfers .....	1,687	359	376
99.9 Total new obligations, unexpired accounts .....	1,923	591	610

RURAL TELEPHONE BANK PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1231-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	3	4	
0706 Interest on reestimates of direct loan subsidy .....	4	6	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	10	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7	10	
1930 Total budgetary resources available .....	7	10	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 New obligations, unexpired accounts .....	7	10	
3020 Outlays (gross) .....	-7	-10	
3041 Recoveries of prior year unpaid obligations, expired .....	-1		

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	10	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	10	
4180 Budget authority, net (total) .....	7	10	
4190 Outlays, net (total) .....	7	10	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1231-0-1-452	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Rural Telephone Bank .....	7	9	

The Rural Telephone Bank (RTB) completed dissolution in 2006, therefore no federally funded RTB loans are proposed.

As required by the Federal Credit Reform Act of 1990, this account records, for the RTB, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4210-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	7	6	5
0742 Downward reestimates paid to receipt accounts .....		1	
0900 Total new obligations, unexpired accounts .....	7	7	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17		
1021 Recoveries of prior year unpaid obligations .....	39		
1023 Unobligated balances applied to repay debt .....	-18		
1024 Unobligated balance of borrowing authority withdrawn .....	-38		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	17		
1422 Borrowing authority applied to repay debt .....	-10		
1440 Borrowing authority, mandatory (total) .....	7		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	33	30	16
1801 Change in uncollected payments, Federal sources .....	-1		
1825 Spending authority from offsetting collections applied to repay debt .....	-32	-23	-11
1850 Spending auth from offsetting collections, mand (total) .....		7	5
1900 Budget authority (total) .....	7	7	5
1930 Total budgetary resources available .....	7	7	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39		
3010 New obligations, unexpired accounts .....	7	7	5
3020 Outlays (gross) .....	-7	-7	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-39		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38		

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	7	7	5
Financing disbursements:			
4110 Outlays, gross (total) .....	7	7	5
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-7	-10	
4122 Interest on uninvested funds .....	-1	-1	-1
4123 Principal received on loans .....	-21	-16	-12

4123	Interest received on loans .....	-4	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-33	-30	-16
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4160	Budget authority, net (mandatory) .....	-25	-23	-11
4170	Outlays, net (mandatory) .....	-26	-23	-11
4180	Budget authority, net (total) .....	-25	-23	-11
4190	Outlays, net (total) .....	-26	-23	-11

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4210-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	83	62	46
1251 Repayments: Repayments and prepayments .....	-21	-16	-12
1290 Outstanding, end of year .....	62	46	34

**Balance Sheet** (in millions of dollars)

Identification code 012-4210-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	34	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	83	62
1405 Allowance for subsidy cost (-) .....	61	56
1499 Net present value of assets related to direct loans .....	144	118
1999 Total assets .....	178	119
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	178	119
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	178	119

**DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM**

For grants for telemedicine and distance learning services in rural areas, as authorized by 7 U.S.C. 950aaa et seq., \$43,600,000, to remain available until expended: Provided, That of the funds made available in this paragraph, \$20,000,000 shall be available for telemedicine projects to address the Nation's opioid epidemic.

For a grant program to finance broadband transmission in rural areas eligible for Distance Learning and Telemedicine Program benefits, as authorized by 7 U.S.C. 950aaa, \$30,000,000, to remain available until expended.

For an additional amount for the Secretary of Agriculture to continue a broadband loan and grant pilot program under the Rural Electrification Act of 1936 (7 U.S.C. 901 et seq.), \$200,000,000, to remain available until expended: Provided, That the Secretary may award grants described in section 601(a) of such Act for purposes of carrying out such pilot program: Provided further, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That at least 90 percent of the households to be served by a project receiving a loan or grant under the pilot program shall be in a rural area without sufficient access to broadband: Provided further, That for purposes of such pilot program, a rural area without sufficient access to broadband shall be defined as 10 Mbps downstream and 1 Mbps upstream, and such definition shall be reevaluated and redefined, as necessary, on an annual basis by the Secretary of Agriculture: Provided further, That an entity to which a loan or grant is made under the pilot program shall not use the loan or grant to overbuild or duplicate broadband expansion efforts made by any entity that has received a broadband loan from the Rural Utilities Service: Provided further, That not more than four percent of the funds made available in this paragraph can be used for administrative costs to carry out the program and up to three percent of funds made available in this paragraph may be available for technical assistance and pre-development planning activities to support the most rural communities: Provided further, That the Rural Utilities Service is directed to expedite program delivery methods that would implement this section: Provided further, That for purposes of this section, the Secretary shall adhere to the notice, reporting and service area assessment requirements set forth in sections 6104(a)(2)(D) and 6104(a)(2)(E) of the Agricultural Act of 2014 (7 U.S.C. 950bb(d)(5), (d)(8), and (d)(10)).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1232-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Grants .....	95	401	740
Credit program obligations:			
0701 Direct loan subsidy .....	3	27	65
0705 Reestimates of direct loan subsidy .....	2	51	
0706 Interest on reestimates of direct loan subsidy .....	2	49	
0709 Administrative expenses .....	8	13	16
0791 Direct program activities, subtotal .....	15	140	81
0900 Total new obligations, unexpired accounts .....	110	541	821

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	634	889
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	42	634	
1021 Recoveries of prior year unpaid obligations .....	11	9	8
1050 Unobligated balance (total) .....	53	643	897
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	687	687	274
Appropriations, mandatory:			
1200 Appropriation .....	4	100	
1900 Budget authority (total) .....	691	787	274
1930 Total budgetary resources available .....	744	1,430	1,171
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	634	889	350

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	122	182	557
3010 New obligations, unexpired accounts .....	110	541	821
3020 Outlays (gross) .....	-39	-157	-390
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-9	-8
3050 Unpaid obligations, end of year .....	182	557	980
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	122	182	557
3200 Obligated balance, end of year .....	182	557	980

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	687	687	274
Outlays, gross:			
4010 Outlays from new discretionary authority .....		12	4
4011 Outlays from discretionary balances .....	35	45	386
4020 Outlays, gross (total) .....	35	57	390
Mandatory:			
4090 Budget authority, gross .....	4	100	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	100	
4180 Budget authority, net (total) .....	691	787	274
4190 Outlays, net (total) .....	39	157	390

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-1232-0-1-452	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115003 Broadband Treasury Rate Loans .....	20	26	
115005 Reconnect Direct Loans .....		6	15
115006 Reconnect Grant Assisted Loans .....		82	264
115999 Total direct loan levels .....	20	114	279
Direct loan subsidy (in percent):			
132003 Broadband Treasury Rate Loans .....	16.75	19.53	
132005 Reconnect Direct Loans .....		31.14	34.45
132006 Reconnect Grant Assisted Loans .....		24.05	22.53
132999 Weighted average subsidy rate .....	16.75	23.39	23.17
Direct loan subsidy budget authority:			
133003 Broadband Treasury Rate Loans .....	3	5	
133005 Reconnect Direct Loans .....		2	5
133006 Reconnect Grant Assisted Loans .....		20	59
133999 Total subsidy budget authority .....	3	27	64
Direct loan subsidy outlays:			
134003 Broadband Treasury Rate Loans .....	4	2	2
134005 Reconnect Direct Loans .....			1

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 012-1232-0-1-452	2018 actual	2019 est.	2020 est.
134006 Reconnect Grant Assisted Loans .....			9
134999 Total subsidy outlays .....	4	2	12
Direct loan reestimates:			
135003 Broadband Treasury Rate Loans .....	-52	86	
135999 Total direct loan reestimates .....	-52	86	
Administrative expense data:			
3510 Budget authority .....	7	13	8
3590 Outlays from new authority .....	7	13	8

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally.

The 2020 Budget proposes \$43.6 million for Distance Learning and Telemedicine grants, of this amount \$20 million is available for projects that help to address the Nation's opioid crisis in Rural America. The Budget also provides \$30 million for Broadband grants and \$200 million for the broadband pilot program to support loans and grants consistent with the authority in the 2018 Appropriations Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 012-1232-0-1-452	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	7	13	16
41.0 Grants, subsidies, and contributions .....	103	528	805
99.9 Total new obligations, unexpired accounts .....	110	541	821

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4146-0-3-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	20	114	279
0713 Payment of interest to Treasury .....	28	27	38
0742 Downward reestimates paid to receipt accounts .....	44	10	
0743 Interest on downward reestimates .....	12	3	
0900 Total new obligations, unexpired accounts .....	104	154	317
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	174	18	
1021 Recoveries of prior year unpaid obligations .....	31		
1023 Unobligated balances applied to repay debt .....	-175	-18	
1024 Unobligated balance of borrowing authority withdrawn .....	-30		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	86		118
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	188	286	199
1801 Change in uncollected payments, Federal sources .....	-1		
1825 Spending authority from offsetting collections applied to repay debt .....	-151	-132	
1850 Spending auth from offsetting collections, mand (total) .....	36	154	199

1900 Budget authority (total) .....	122	154	317
1930 Total budgetary resources available .....	122	154	317
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	39	140
3010 New obligations, unexpired accounts .....	104	154	317
3020 Outlays (gross) .....	-108	-53	-95
3040 Recoveries of prior year unpaid obligations, unexpired .....	-31		
3050 Unpaid obligations, end of year .....	39	140	362
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	64	30	131
3200 Obligated balance, end of year .....	30	131	353

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	122	154	317
Financing disbursements:			
4110 Outlays, gross (total) .....	108	53	95
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-7	-102	-12
4122 Interest on uninvested funds .....	-4	-4	-4
4123 Repayment of principal .....	-155	-159	-162
4123 Interest received on loans .....	-22	-21	-21
4130 Offsets against gross budget authority and outlays (total) .....	-188	-286	-199
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1		
4160 Budget authority, net (mandatory) .....	-65	-132	118
4170 Outlays, net (mandatory) .....	-80	-233	-104
4180 Budget authority, net (total) .....	-65	-132	118
4190 Outlays, net (total) .....	-80	-233	-104

Status of Direct Loans (in millions of dollars)

Identification code 012-4146-0-3-452	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	20	114	279
1150 Total direct loan obligations .....	20	114	279
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	955	758	612
1231 Disbursements: Direct loan disbursements .....	24	13	57
1251 Repayments: Repayments and prepayments .....	-155	-159	-162
1264 Charge Off - Misc and Assn Loans, net .....	-66		
1290 Outstanding, end of year .....	758	612	507

Balance Sheet (in millions of dollars)

Identification code 012-4146-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	186	18
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	955	758
1402 Interest receivable .....	1	2
1405 Allowance for subsidy cost (-) .....	-109	7
1499 Net present value of assets related to direct loans .....	847	767
1999 Total assets .....	1,033	785
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1,033	785
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,033	785

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4155-0-3-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	49	.....
1022 Capital transfer of unobligated balances to general fund .....	-13	-49	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	68	64	53
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-19	-64	-53
1850 Spending auth from offsetting collections, mand (total) .....	49	.....	.....
1930 Total budgetary resources available .....	49	.....	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	.....	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	49	.....	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-68	-64	-53
4180 Budget authority, net (total) .....	-19	-64	-53
4190 Outlays, net (total) .....	-68	-64	-53

Status of Direct Loans (in millions of dollars)

Identification code 012-4155-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	345	288	239
1251 Repayments: Repayments and prepayments .....	-50	-49	-41
1263 Write-offs for default: Direct loans .....	-1	.....	.....
1264 Other adjustments, net (+ or -) .....	-6	.....	.....
1290 Outstanding, end of year .....	288	239	198

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4155-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4	3	2
2251 Repayments and prepayments .....	-1	-1	.....
2290 Outstanding, end of year .....	3	2	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3	2	2

The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419). Loans are no longer made through this account.

Balance Sheet (in millions of dollars)

Identification code 012-4155-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	13	49
1201 Non-Federal assets: Investments in non-Federal securities, net .....	34	33
1601 Direct loans, gross .....	345	288
1602 Interest receivable .....	5	3
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2	-1
1699 Value of assets related to direct loans .....	348	290
1901 Other Federal assets: Other assets .....	.....	.....
1999 Total assets .....	395	372
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	395	372
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	395	372

FOREIGN AGRICULTURAL SERVICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Foreign Agricultural Service, including not to exceed \$250,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$192,824,000, of which no more than 6 percent shall remain available until September 30, 2021, for overseas operations to include the payment of locally employed staff: Provided, That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: Provided further, That funds made available for middle-income country training programs, funds made available for the Borlaug International Agricultural Science and Technology Fellowship program, and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service, shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-2900-0-1-352	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	1
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, Foreign Agricultural Service .....	.....	1	1
2000 Total: Balances and receipts .....	.....	1	2
5099 Balance, end of year .....	.....	1	2

Program and Financing (in millions of dollars)

Identification code 012-2900-0-1-352	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Trade Policy .....	53	68	68
0002 Trade Supporting Initiatives .....	66	65	60
0003 Market Analysis and Advice .....	47	42	42
0004 Efficient Operations .....	29	25	23
0799 Total direct obligations .....	195	200	193
0801 Salaries and Expenses (Reimbursable) .....	113	92	82
0900 Total new obligations, unexpired accounts .....	308	292	275
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	34	33
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	.....	2	.....
1011 Unobligated balance transfer from other acct [072-0306] .....	2	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	33	34	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	200	193
1120 Appropriations transferred to other acct [012-4609] .....	-1	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	-9
1160 Appropriation, discretionary (total) .....	199	200	184
Appropriations, mandatory:			
1200 Appropriation .....	.....	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	54	59	59
1701 Change in uncollected payments, Federal sources .....	143	31	26
1750 Spending auth from offsetting collections, disc (total) .....	197	90	85
1900 Budget authority (total) .....	396	291	270
1930 Total budgetary resources available .....	429	325	303
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-87	.....	.....

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 012-2900-0-1-352	2018 actual	2019 est.	2020 est.
1941 Unexpired unobligated balance, end of year .....	34	33	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	132	110	90
3010 New obligations, unexpired accounts .....	308	292	275
3011 Obligations ("upward adjustments"), expired accounts .....	9		
3020 Outlays (gross) .....	-314	-251	-253
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-24	-61	
3050 Unpaid obligations, end of year .....	110	90	112
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-148	-288	-319
3070 Change in uncollected pymts, Fed sources, unexpired .....	-143	-31	-26
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-288	-319	-345
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-16	-178	-229
3200 Obligated balance, end of year .....	-178	-229	-233
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	396	290	269
Outlays, gross:			
4010 Outlays from new discretionary authority .....	229	206	188
4011 Outlays from discretionary balances .....	84	44	64
4020 Outlays, gross (total) .....	313	250	252
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-115	-59	-59
4040 Offsets against gross budget authority and outlays (total) ....	-115	-59	-59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-143	-31	-26
4052 Offsetting collections credited to expired accounts .....	61		
4060 Additional offsets against budget authority only (total) .....	-82	-31	-26
4070 Budget authority, net (discretionary) .....	199	200	184
4080 Outlays, net (discretionary) .....	198	191	193
Mandatory:			
4090 Budget authority, gross .....		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160 Budget authority, net (mandatory) .....		1	1
4170 Outlays, net (mandatory) .....		1	1
4180 Budget authority, net (total) .....	199	201	185
4190 Outlays, net (total) .....	198	192	194

The Foreign Agricultural Service's (FAS) mission is linking U.S. agriculture to the world to enhance export opportunities and global food security. FAS helps to provide outlets for the wide variety of U.S. agricultural products, thereby enhancing economic activity for U.S. workers. FAS serves U.S. agriculture's interests by expanding and maintaining international export opportunities, supporting international economic development and trade and capacity building, and global food security. The outcomes envisioned are exports that help U.S. agriculture prosper, the expansion of U.S. exports of organics and crops produced using new technologies and food that are globally available, accessible, and appropriately used. In addition to its Washington-based staff, the agency maintains a network of overseas offices that serve as first responders in cases of market disruption. The overseas offices also provide the Department with critical market and policy intelligence, and they represent U.S. agriculture in consultations with foreign governments. The 2020 Budget includes \$193 million for

FAS. For more information on FAS's mission and program topic areas, please visit <http://www.fas.usda.gov/topics>.

Object Classification (in millions of dollars)

Identification code 012-2900-0-1-352	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	84	90	94
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	86	92	96
12.1 Civilian personnel benefits .....	31	32	34
21.0 Travel and transportation of persons .....	9	9	9
22.0 Transportation of things .....	1	2	2
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	57	53	41
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	195	200	193
99.0 Reimbursable obligations .....	113	92	82
99.9 Total new obligations, unexpired accounts .....	308	292	275

Employment Summary

Identification code 012-2900-0-1-352	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	730	772	798
2001 Reimbursable civilian full-time equivalent employment .....	247	247	221

TRADE ADJUSTMENT ASSISTANCE FOR FARMERS

The Trade Adjustment Assistance (TAA) for Farmers Program was reauthorized and modified by the American Recovery and Reinvestment Act of 2009 as established by Subtitle C of Title I of the Trade Act of 2002, which amended the Trade Act of 1974. The 2020 Budget does not request funding for the program.

FOREIGN ASSISTANCE PROGRAMS

Multiple food aid programs are appropriated to USDA and administered by USDA or the U.S. Agency for International Development (USAID) to provide U.S. commodities, technical and financial assistance to address hunger and malnutrition needs worldwide. These programs address emergency needs and foster economic development activities to alleviate global food insecurity.

SUMMARY OF FOOD ASSISTANCE PROGRAMMING

	In millions of dollars		
	2018 actual	2019 est.	2020 est.
McGovern-Dole International Food for Education and Child Nutrition (budget authority) .....	208 <sup>1</sup>	208 <sup>1</sup>	0
P.L. 480:			
Title II Grants (budget authority) .....	1,716 <sup>2</sup>	1,716 <sup>2</sup>	0
Food for Progress:			
CCC Funded .....	166	166	0
Bill Emerson Humanitarian Trust .....	0 <sup>3</sup>	0 <sup>3</sup>	0 <sup>3</sup>

<sup>1</sup>The Consolidated Appropriations Act of 2018 and the Further Continuing Appropriations Act, 2019, provided \$10 million within McGovern-Dole that can be used for Local and Regional Food Aid Procurement.  
<sup>2</sup>Includes \$116 million provided through section 762 of the Consolidated Appropriations Act of 2018 and the Further Continuing Appropriations Act, 2019.  
<sup>3</sup>Assets of the trust can be released any time the Administrator of the U.S. Agency for International Development determines that P.L. 480 Title II funding for emergency needs are inadequate to meet these needs in any fiscal year.

Included in this category are the following activities carried out under Public Law 480 (P.L. 480):

*Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (Title*

J).—Funds appropriated for P.L. 480 Title I since FY 2006 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. No 2020 funding is requested for new direct credit under Title I; however, funding for administrative expenses associated with managing the existing loan portfolio is requested.

*Commodities supplied in connection with dispositions abroad (Title II).*—Title II of the Food for Peace Act (P.L. 83–480), as amended, formerly the Agricultural Trade Development and Assistance Act of 1954) authorizes the provision of U.S. food assistance to meet emergency food needs around the world, and funds development-oriented programs to help address the underlying causes of food insecurity. P.L. 480 Title II is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID).

The Commodity Credit Corporation (the Corporation) is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements. P.L. 480 funds reimburse the Corporation for all of the cost items authorized above. The 2020 Budget proposes to eliminate the program.

**McGOVERN-DOLE INTERNATIONAL FOOD FOR EDUCATION AND CHILD NUTRITION PROGRAM GRANTS**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–2903–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 McGovern-Dole International Food for Education & Child Nutrition Program .....	208	208	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	208	208	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	50	50
1021 Recoveries of prior year unpaid obligations .....	41	.....	.....
1050 Unobligated balance (total) .....	50	50	50
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	208	208	.....
1900 Budget authority (total) .....	208	208	.....
1930 Total budgetary resources available .....	258	258	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50	50	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	685	683	649
3010 New obligations, unexpired accounts .....	208	208	.....
3020 Outlays (gross) .....	–169	–242	–260
3040 Recoveries of prior year unpaid obligations, unexpired .....	–41	.....	.....
3050 Unpaid obligations, end of year .....	683	649	389
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	685	683	649
3200 Obligated balance, end of year .....	683	649	389

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	208	208	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	21	.....
4011 Outlays from discretionary balances .....	166	221	260
4020 Outlays, gross (total) .....	169	242	260
4180 Budget authority, net (total) .....	208	208	.....
4190 Outlays, net (total) .....	169	242	260

The McGovern-Dole International Food for Education and Child Nutrition Program, as amended, is authorized under the Farm Security and Rural Investment Act of 2002 (Public Law 107171). The program provides for the donation of U.S. agricultural commodities and associated technical and financial assistance to carry out preschool and school feeding programs in foreign countries. Maternal, infant, and child nutrition programs also are authorized. The 2020 Budget proposes to eliminate the program and prioritizes all development assistance, including within USAID. The program has high costs associated with transporting commodities and it has unaddressed oversight and performance monitoring challenges as reported by the Government Accountability Office (GAO) and the Department of Agriculture's Office of Inspector General.

**PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS**

This account funds the Title I ocean freight differential program. No funding is requested for 2020.

**FOOD FOR PEACE TITLE II GRANTS**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–2278–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Title II Grants .....	1,843	1,716	234
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,843	1,716	234
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	209	234	234
1021 Recoveries of prior year unpaid obligations .....	152	.....	.....
1050 Unobligated balance (total) .....	361	234	234
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,716	1,716	.....
1900 Budget authority (total) .....	1,716	1,716	.....
1930 Total budgetary resources available .....	2,077	1,950	234
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	234	234	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,637	1,587	2,554
3010 New obligations, unexpired accounts .....	1,843	1,716	234
3020 Outlays (gross) .....	–1,741	–749	–1,006
3040 Recoveries of prior year unpaid obligations, unexpired .....	–152	.....	.....
3050 Unpaid obligations, end of year .....	1,587	2,554	1,782
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,637	1,587	2,554
3200 Obligated balance, end of year .....	1,587	2,554	1,782
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,716	1,716	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	515	.....
4011 Outlays from discretionary balances .....	1,735	234	1,006
4020 Outlays, gross (total) .....	1,741	749	1,006

FOOD FOR PEACE TITLE II GRANTS—Continued  
**Program and Financing**—Continued

Identification code 012-2278-0-1-151	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....	1,716	1,716	.....
4190 Outlays, net (total) .....	1,741	749	1,006

In past years, P.L. 480 Title II grants funded emergency and development food aid programs authorized under Title II of the Food for Peace Act (P.L. 83-480). Funding for Title II is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID). There is no request for Title II.

To replace the inefficient food aid provided through Title II, the 2020 request includes funding for emergency food needs within the new, more efficient International Humanitarian Assistance (IHA) account.

FOOD FOR PEACE TITLE I DIRECT CREDIT AND FOOD FOR PROGRESS PROGRAM ACCOUNT  
(INCLUDING TRANSFER OF FUNDS)

*For administrative expenses to carry out the credit program of title I, Food for Peace Act (Public Law 83-480) and the Food for Progress Act of 1985, \$135,000 shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses".*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-2277-0-1-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	12	.....	.....
0706 Interest on reestimates of direct loan subsidy .....	29	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	41	.....	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	41	.....	.....
1900 Budget authority (total) .....	41	.....	.....
1930 Total budgetary resources available .....	42	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	41	.....	.....
3020 Outlays (gross) .....	-41	.....	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	41	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	41	.....	.....
4180 Budget authority, net (total) .....	41	.....	.....
4190 Outlays, net (total) .....	41	.....	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 012-2277-0-1-351	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 P. L. 480 title I loans .....	25	-22	.....

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct credit obligated in 1992 and beyond (including modifications of direct credit agreements that resulted from obligation in any year), as well as

administrative expenses of this program. The subsidy amounts are estimated on a present value basis; and the administrative expenses and grants are estimated on a cash basis. The current balance of Title I debt owed to USDA is 2.8 billion. No additional funding is requested for new Title I credit financing in 2020. The 2020 Budget includes \$135,000 for administrative expenses.

P.L. 480 DIRECT CREDIT FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 012-4049-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	32	27	19
0742 Downward reestimates paid to receipt accounts .....	4	6	.....
0743 Interest on downward reestimates .....	12	16	.....
0900 Total new obligations, unexpired accounts .....	48	49	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	24	.....
1023 Unobligated balances applied to repay debt .....	-31	-24	.....
1050 Unobligated balance (total) .....	2	.....	.....
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	59	27	19
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	123	82	79
1825 Spending authority from offsetting collections applied to repay debt .....	-112	-60	-79
1850 Spending auth from offsetting collections, mand (total) .....	11	22	.....
1900 Budget authority (total) .....	70	49	19
1930 Total budgetary resources available .....	72	49	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	48	49	19
3020 Outlays (gross) .....	-48	-49	-19
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	70	49	19
Financing disbursements:			
4110 Outlays, gross (total) .....	48	49	19
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account - Upward Reestimate .....	-41	.....	.....
4122 Interest on uninvested funds .....	-5	-5	-5
4123 Interest received on loans .....	-12	-11	-9
4123 Principal received on loans .....	-65	-66	-65
4130 Offsets against gross budget authority and outlays (total) .....	-123	-82	-79
4160 Budget authority, net (mandatory) .....	-53	-33	-60
4170 Outlays, net (mandatory) .....	-75	-33	-60
4180 Budget authority, net (total) .....	-53	-33	-60
4190 Outlays, net (total) .....	-75	-33	-60

**Status of Direct Loans** (in millions of dollars)

Identification code 012-4049-0-3-351	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	573	508	447
1251 Repayments: Repayments and prepayments .....	-65	-61	-61
1290 Outstanding, end of year .....	508	447	386

**Balance Sheet** (in millions of dollars)

Identification code 012-4049-0-3-351	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	33	24

1106	Investments in U.S. securities:		
	Receivables, net .....		
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	573	508
1402	Interest receivable .....	8	8
1405	Allowance for subsidy cost (-) .....	-101	-84
1499	Net present value of assets related to direct loans .....	480	432
1901	Other Federal assets: Accounts Receivable .....	40	2
1999	Total assets .....	553	458
	<b>LIABILITIES:</b>		
	Federal liabilities:		
2103	Debt .....	537	453
2105	Other .....	16	5
2999	Total liabilities .....	553	458
	<b>NET POSITION:</b>		
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	553	458

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4143-0-3-351	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	2	4	4
0900	Total new obligations, unexpired accounts .....	2	4	4
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	101	49	
1023	Unobligated balances applied to repay debt .....	-50	-49	
1050	Unobligated balance (total) .....	51		
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	15	14	13
1825	Spending authority from offsetting collections applied to repay debt .....	-15	-10	
1850	Spending auth from offsetting collections, mand (total) .....		4	13
1900	Budget authority (total) .....		4	13
1930	Total budgetary resources available .....	51	4	13
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	49		9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	4	4
3020	Outlays (gross) .....	-2	-4	-4
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....		4	13
Financing disbursements:				
4110	Outlays, gross (total) .....	2	4	4
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4122	Interest on uninvested funds .....	-4	-4	-2
4123	Loan Repayments - Principal .....	-8	-7	-8
4123	Loan Repayments- Interest .....	-3	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-15	-14	-13
4160	Budget authority, net (mandatory) .....	-15	-10	
4170	Outlays, net (mandatory) .....	-13	-10	-9
4180	Budget authority, net (total) .....	-15	-10	
4190	Outlays, net (total) .....	-13	-10	-9

Status of Direct Loans (in millions of dollars)

Identification code 012-4143-0-3-351	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	112	104	97
1251	Repayments: Repayments and prepayments .....	-8	-7	-7
1290	Outstanding, end of year .....	104	97	90

Balance Sheet (in millions of dollars)

Identification code 012-4143-0-3-351	2017 actual	2018 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	101	49
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	112	104
1402	Interest receivable .....	1	1
1405	Allowance for subsidy cost (-) .....	10	-18
1499	Net present value of assets related to direct loans .....	123	87
1901	Other Federal assets: Accounts Receivable .....		
1999	Total assets .....	224	136
<b>LIABILITIES:</b>			
2104	Federal liabilities: Resources payable to Treasury .....		
2201	Non-Federal liabilities: Accounts payable .....	224	
2999	Total liabilities .....	224	
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		136
4999	Total liabilities and net position .....	224	136

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-2274-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0715	Vietnam Education Fund .....	1	1	1
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	22	29	
1022	Capital transfer of unobligated balances to general fund .....	-22	-29	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (cash) (Principal and interest) .....	234	211	195
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-204	-210	-194
1850	Spending auth from offsetting collections, mand (total) .....	30	1	1
1930	Total budgetary resources available .....	30	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	29		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	30	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Principal repayments .....	-234	-187	-176
4123	Interest repayments .....		-24	-19
4130	Offsets against gross budget authority and outlays (total) ....	-234	-211	-195
4160	Budget authority, net (mandatory) .....	-204	-210	-194
4170	Outlays, net (mandatory) .....	-233	-210	-194
4180	Budget authority, net (total) .....	-204	-210	-194
4190	Outlays, net (total) .....	-233	-210	-194

Status of Direct Loans (in millions of dollars)

Identification code 012-2274-0-1-151	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	1,581	843	657
1251	Repayments: Repayments and prepayments .....	-234	-186	-176
1264	Other adjustments, net (+ or -) .....	-504		

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE  
LIQUIDATING ACCOUNT—Continued

Status of Direct Loans—Continued

Identification code 012-2274-0-1-151	2018 actual	2019 est.	2020 est.
1290 Outstanding, end of year .....	843	657	481

Balance Sheet (in millions of dollars)

Identification code 012-2274-0-1-151	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	22	29
1601 Direct loans, gross .....	1,581	843
1602 Interest receivable .....	492	11
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,235	-178
1605 Accounts receivable .....	9	
1699 Value of assets related to direct loans .....	847	676
1999 Total assets .....	869	705
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		691
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	864	
2207 Other .....	5	14
2999 Total liabilities .....	869	705
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	869	705

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 012-8505-0-7-602	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	16	1
3020 Outlays (gross) .....	-2	-15	
3050 Unpaid obligations, end of year .....	16	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	16	1
3200 Obligated balance, end of year .....	16	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	15	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	15	

This fund is maintained to pay separation costs for locally-employed staff in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated to the Foreign Agricultural Service Salaries and Expenses account.

FOOD AND NUTRITION SERVICE

Federal Funds

NUTRITION PROGRAMS ADMINISTRATION

For necessary administrative expenses of the Food and Nutrition Service for carrying out any domestic nutrition assistance program, \$152,041,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-3508-0-1-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Nutrition programs administration .....	149	152	152
0003 Congressional hunger center fellowship .....	2	2	
0007 Park Office Center Relocation .....		17	
0900 Total new obligations, unexpired accounts .....	151	171	152
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	17	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	154	154	152
1120 Appropriations transferred to other acct [012-4609] .....	-1		
1160 Appropriation, discretionary (total) .....	153	154	152
1930 Total budgetary resources available .....	171	171	152
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	17		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	33	33
3010 New obligations, unexpired accounts .....	151	171	152
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-147	-171	-152
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	33	33	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	33	33
3200 Obligated balance, end of year .....	33	33	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	153	154	152
Outlays, gross:			
4010 Outlays from new discretionary authority .....	126	130	128
4011 Outlays from discretionary balances .....	21	41	24
4020 Outlays, gross (total) .....	147	171	152
4180 Budget authority, net (total) .....	153	154	152
4190 Outlays, net (total) .....	147	171	152

This account funds the majority of the Federal operating expenses of the Food and Nutrition Service, including the Center for Nutrition Policy and Promotion (CNPP).

Object Classification (in millions of dollars)

Identification code 012-3508-0-1-605	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	80	85	85
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	84	89	89
12.1 Civilian personnel benefits .....	29	28	28
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	13	14	13
25.2 Other services from non-Federal sources .....	16	15	12
25.3 Other goods and services from Federal sources .....	3	3	7
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	17	
41.0 Grants, subsidies, and contributions .....	2	2	
99.9 Total new obligations, unexpired accounts .....	151	171	152

Employment Summary

Identification code 012-3508-0-1-605	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	808	840	837

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), \$69,069,910,000, of which \$3,000,000,000, to remain available through December 31, 2021, shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: Provided, That funds provided herein shall be expended in accordance with section 16 of the Food and Nutrition Act of 2008: Provided further, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: Provided further, That funds made available for Employment and Training under this heading shall remain available through September 30, 2021: Provided further, That funds made available under this heading for section 28(d)(1) and section 27(a) of the Food and Nutrition Act of 2008 shall remain available through September 30, 2021: Provided further, That funds made available under this heading may be used to enter into contracts and employ staff to conduct studies, evaluations, or to conduct activities related to program integrity provided that such activities are authorized by the Food and Nutrition Act of 2008.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–3505–0–1–605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefits issued	58,977	62,268	57,497
0002 State administration	3,969	4,618	4,966
0003 Employment and training program	441	502	514
0004 Other program costs	165	186	213
0005 Nutrition Assistance for Puerto Rico	1,919	1,923	1,959
0006 Food Distribution Program on Indian Reservations (Commodities in lieu of food stamps)	95	103	79
0007 Food Distribution Program on Indian Reservations (Cooperator administrative expense)	50	50	51
0008 The Emergency Food Assistance Program (commodities)	286	306	321
0009 American Samoa	8	8	8
0010 Community Food Projects	9	5	5
0011 Commonwealth of the Northern Mariana Islands	20	12	12
0012 Nutrition Education Grant Program	422	433	441
0013 Program access	5	5	5
0014 Contingency	3,000		
0091 Direct program activities, subtotal	69,366	70,419	66,071
0799 Total direct obligations	69,366	70,419	66,071
0801 Supplemental Nutrition Assistance Program (Reimbursable)	59	85	85
0900 Total new obligations, unexpired accounts	69,425	70,504	66,156
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,067	6,069	6,061
1021 Recoveries of prior year unpaid obligations	21		
1050 Unobligated balance (total)	6,088	6,069	6,061
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	
Appropriations, mandatory:			
1200 Appropriation	74,013	73,419	69,071
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–10	–9	
1260 Appropriations, mandatory (total)	74,003	73,410	69,071
Spending authority from offsetting collections, mandatory:			
1800 Collected	57	85	85
1801 Change in uncollected payments, Federal sources	2		
1850 Spending auth from offsetting collections, mand (total)	59	85	85
1900 Budget authority (total)	74,063	73,496	69,156
1930 Total budgetary resources available	80,151	79,565	75,217
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4,657	–3,000	–3,000
1941 Unexpired unobligated balance, end of year	6,069	6,061	6,061
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,710	4,189	3,406
3010 New obligations, unexpired accounts	69,425	70,504	66,156
3011 Obligations ("upward adjustments"), expired accounts	71		
3020 Outlays (gross)	–68,551	–71,287	–66,097
3040 Recoveries of prior year unpaid obligations, unexpired	–21		
3041 Recoveries of prior year unpaid obligations, expired	–445		

3050 Unpaid obligations, end of year	4,189	3,406	3,465
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–4	–6	–6
3070 Change in uncollected pymts, Fed sources, unexpired	–2		
3090 Uncollected pymts, Fed sources, end of year	–6	–6	–6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,706	4,183	3,400
3200 Obligated balance, end of year	4,183	3,400	3,459
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1	1	
Outlays, gross:			
4010 Outlays from new discretionary authority	1		
4011 Outlays from discretionary balances			1
4020 Outlays, gross (total)	1		1
Mandatory:			
4090 Budget authority, gross	74,062	73,495	69,156
Outlays, gross:			
4100 Outlays from new mandatory authority	63,066	67,108	62,680
4101 Outlays from mandatory balances	5,484	4,179	3,416
4110 Outlays, gross (total)	68,550	71,287	66,096
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		–2	
4123 State Option Plans	–58	–85	–85
4130 Offsets against gross budget authority and outlays (total)	–58	–87	–85
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–2		
4142 Offsetting collections credited to expired accounts	1	2	
4150 Additional offsets against budget authority only (total)	–1	2	
4160 Budget authority, net (mandatory)	74,003	73,410	69,071
4170 Outlays, net (mandatory)	68,492	71,200	66,011
4180 Budget authority, net (total)	74,004	73,411	69,071
4190 Outlays, net (total)	68,493	71,200	66,012

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	74,004	73,411	69,071
Outlays	68,493	71,200	66,012
Legislative proposal, subject to PAYGO:			
Budget Authority			–17,409
Outlays			–17,409
Total:	74,004	73,411	51,662
Outlays	68,493	71,200	48,603

The Supplemental Nutrition Assistance Program (SNAP) is the primary source of nutrition assistance for low-income Americans. This account also includes funds for a grant to Puerto Rico to administer a low-income nutrition assistance program, in lieu of SNAP; funds to carry out the Emergency Food Assistance Act of 1983; and funds for food distribution and administrative expenses for Native Americans under section 4(b) of the Food and Nutrition Act.

The SNAP contingency fund holds benefits in reserve to cover unforeseen events, such as natural disasters and fluctuations in food prices.

Object Classification (in millions of dollars)

Identification code 012–3505–0–1–605	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	40	40	40
12.1 Civilian personnel benefits	11	13	13
21.0 Travel and transportation of persons	2	2	2
24.0 Printing and reproduction	81	81	81
25.2 Other services from non-Federal sources	82	82	82
26.0 Supplies and materials	402	402	402
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	68,747	69,798	65,450
99.0 Direct obligations	69,366	70,419	66,071
99.0 Reimbursable obligations	59	85	85
99.9 Total new obligations, unexpired accounts	69,425	70,504	66,156

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM—Continued  
Employment Summary

Identification code 012–3505–0–1–605	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	362	377	376

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012–3505–4–1–605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefits issued .....			-16,985
0002 State administration .....			7
0004 Other program costs .....			10
0012 Nutrition Education Grant Program .....			-441
0091 Direct program activities, subtotal .....			-17,409
0799 Total direct obligations .....			-17,409
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-17,409
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-17,409
1900 Budget authority (total) .....			-17,409
1930 Total budgetary resources available .....			-17,409
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-17,409
3020 Outlays (gross) .....			17,409
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-17,409
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-17,409
4180 Budget authority, net (total) .....			-17,409
4190 Outlays, net (total) .....			-17,409

The President's Budget includes a bold suite of proposals to promote employment, improve nutrition, and target benefits to the most needy households. This includes a proposal to create a uniform work requirement for all able-bodied adults aged 18 to 65. The budget also continues the proposal to combine retail-based SNAP benefits with a package of nutritious, 100 percent American-grown food. The Budget also continues proposals that encourage States to innovate in helping participants move to self-sufficiency and improving employment outcomes and to serve recipients more efficiently by capping the federal match of general administrative costs at the average costs per case. A proposal to eliminate funding for SNAP nutrition education grants, which have not demonstrated success in measurable ways is continued from FY 2019. Finally, the Budget continues a number of proposals including standardizing how States account for utility costs; eliminating eligibility loopholes; eliminating the minimum benefit now provided to those who would otherwise qualify for less; and setting an overall limit for a household's benefit at the current maximum for a household of six.

CHILD NUTRITION PROGRAMS  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; \$23,943,216,000, to remain available through September 30, 2021, of which such sums as are made available under section 14222(b)(1) of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), as amended by this Act, shall be merged with and available for the same time period and purposes as provided herein: Provided, That of the total amount available, \$12,475,000 shall be available to carry out section 19 of

the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.): Provided further, That of the total amount available, \$14,999,000 shall be available to carry out studies and evaluations and shall remain available until expended: Provided further, That section 26(d) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769g(d)) is amended in the first sentence by striking "2010 through 2019" and inserting "2010 through 2020": Provided further, That section 9(h)(3) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1758(h)(3)) is amended in the first sentence by striking "For fiscal year 2019" and inserting "For fiscal year 2020": Provided further, That section 9(h)(4) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1758(h)(4)) is amended in the first sentence by striking "For fiscal year 2019" and inserting "For fiscal year 2020".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012–3539–0–1–605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Above 185 of poverty .....	491	506	507
0002 130–185 of poverty .....	960	1,005	997
0003 Below 130 of poverty .....	11,310	11,734	12,197
0091 Subtotal, National School Lunch Program .....	12,761	13,245	13,701
0101 Above 185 of poverty .....	114	115	122
0102 130–185 of poverty .....	227	229	232
0103 Below 130 of poverty .....	4,304	4,463	4,575
0191 Subtotal, School Breakfast Program .....	4,645	4,807	4,929
0201 Above 185 of poverty .....	203	186	194
0202 130–185 of poverty .....	161	153	111
0203 Below 130 of poverty .....	3,389	3,451	3,535
0291 Subtotal, Child and Adult Care Feeding Program .....	3,753	3,790	3,840
0301 Summer Food Service Program .....	512	528	552
0302 Special Milk Program .....	8	8	7
0303 State Administrative Expenses .....	315	311	315
0304 Commodity Procurement .....	1,345	1,362	1,358
0310 Coordinated Review Effort .....	9	10	10
0315 Food Safety Education .....	2	3	3
0320 CN Studies and Evaluations .....	11	38	15
0325 Computer Support and Processing .....	14	13	12
0340 Other Mandatory Program Costs .....	29	41	49
0391 Subtotal, Other mandatory activities .....	2,245	2,314	2,321
0401 Team Nutrition and HealthierUS Schools Challenge .....	11	24	12
0405 Summer EBT Demonstration .....	35	32	
0415 School Meals Equipment Grants 2 year .....	34	1	
0416 Allied Professional Associations Training .....		2	
0491 Subtotal, discretionary activities .....	80	59	12
0501 Fresh Fruit and Vegetable Program .....	175	297	180
0502 Tech. Assist. Program Integrity/Administrative Reviews .....	4	8	8
0504 National Food Service Management Inst./Information Clearinghouse .....	5	5	5
0507 Direct Certification Technical Assistance (Sect. 749) .....	2		
0520 Other Permanent Programs .....	11	20	6
0591 Subtotal, Permanent Programs .....	197	330	199
0799 Total direct obligations .....	23,681	24,545	25,002
0900 Total new obligations, unexpired accounts .....	23,681	24,545	25,002
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,170	2,398	1,190
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	22	26	
1021 Recoveries of prior year unpaid obligations .....	455		
1050 Unobligated balance (total) .....	1,625	2,398	1,190
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	77	82	12
Appropriations, mandatory:			
1200 Appropriation .....	15,311	13,971	10,337
1200 Appropriation- Permanent Appropriation .....	19	19	19
1221 Appropriations transferred from other acct [012–5209] .....	9,170	9,269	13,774
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	-4	
1260 Appropriations, mandatory (total) .....	24,496	23,255	24,130
1900 Budget authority (total) .....	24,573	23,337	24,142
1930 Total budgetary resources available .....	26,198	25,735	25,332
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-119		

1941	Unexpired unobligated balance, end of year .....	2,398	1,190	330
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,883	4,161	4,826
3010	New obligations, unexpired accounts .....	23,681	24,545	25,002
3011	Obligations ("upward adjustments"), expired accounts .....	7		
3020	Outlays (gross) .....	-22,828	-23,880	-24,408
3040	Recoveries of prior year unpaid obligations, unexpired .....	-455		
3041	Recoveries of prior year unpaid obligations, expired .....	-127		
3050	Unpaid obligations, end of year .....	4,161	4,826	5,420
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,883	4,161	4,826
3200	Obligated balance, end of year .....	4,161	4,826	5,420
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	77	82	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	24	14	1
4011	Outlays from discretionary balances .....	38	68	68
4020	Outlays, gross (total) .....	62	82	69
Mandatory:				
4090	Budget authority, gross .....	24,496	23,255	24,130
Outlays, gross:				
4100	Outlays from new mandatory authority .....	18,310	17,763	18,847
4101	Outlays from mandatory balances .....	4,456	6,035	5,492
4110	Outlays, gross (total) .....	22,766	23,798	24,339
4180	Budget authority, net (total) .....	24,573	23,337	24,142
4190	Outlays, net (total) .....	22,828	23,880	24,408

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	24,573	23,337	24,142
Outlays .....	22,828	23,880	24,408
Legislative proposal, subject to PAYGO:			
Budget Authority .....			454
Outlays .....			454
<b>Total:</b>			
Budget Authority .....	24,573	23,337	24,596
Outlays .....	22,828	23,880	24,862

The Child Nutrition Programs provide reimbursement to State agencies for cash and commodity meal subsidies through the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program, Summer Food Service Program (SFSP), and Child and Adult Care Food Program (CACFP). These programs provide nutritionally balanced, low-cost or free breakfasts and lunches to children every school day; provide nutrition assistance to children when school is not in session during summer months; and improve the quality of day care, making it more affordable for low-income families by providing reimbursement for nutritious meals and snacks. In addition, the Fresh Fruit and Vegetable Program, targeted to low-income elementary schools, provides fresh fruits and vegetables at no charge to children during the school day. The 2020 Budget will support more than 5.3 billion lunches and snacks served to 31 million children in the NSLP, over 2.6 billion breakfasts served to more than 15 million children in the SBP, and almost 2.1 billion meals and snacks served in day care facilities.

**Object Classification** (in millions of dollars)

Identification code 012-3539-0-1-605	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	29	29	29
12.1 Civilian personnel benefits .....	9	9	9
21.0 Travel and transportation of persons .....	3	3	3
24.0 Printing and reproduction .....	4	4	4
25.2 Other services from non-Federal sources .....	46	46	46
26.0 Supplies and materials (Commodities) .....	1,345	1,428	1,473
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	22,244	23,025	23,437
99.0 Direct obligations .....	23,681	24,545	25,002
99.9 Total new obligations, unexpired accounts .....	23,681	24,545	25,002

**Employment Summary**

Identification code 012-3539-0-1-605	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	280	294	297

**CHILD NUTRITION PROGRAMS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012-3539-4-1-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Below 130 of poverty .....			-19
0091 Subtotal, National School Lunch Program .....			-19
0304 Commodity Procurement .....			473
0391 Subtotal, Other mandatory activities .....			473
0799 Total direct obligations .....			454
0900 Total new obligations, unexpired accounts .....			454
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			14,228
1221 Appropriations transferred from other acct [012-5209] ....			-13,774
1260 Appropriations, mandatory (total) .....			454
1900 Budget authority (total) .....			454
1930 Total budgetary resources available .....			454

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			454
3020 Outlays (gross) .....			-454

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			454
Outlays, gross:			
4100 Outlays from new mandatory authority .....			454
4180 Budget authority, net (total) .....			454
4190 Outlays, net (total) .....			454

The FY 2020 President's Budget includes legislative proposals that will increase the impact of the Farm to School Grant Program by increasing the maximum grant amount stakeholders may receive; improve program integrity by increasing income verification sample sizes; and ensure that Community Eligibility benefits are targeted toward high-poverty schools. The Budget also includes legislative proposals in the Supplemental Nutrition Assistance Program that will reduce the number of children considered automatically eligible for free meals in the Child Nutrition Programs. The Budget also proposes to delink the Child Nutrition funding from Section 32 customs receipts and instead provide a direct Treasury transfer each year without further appropriation. Under the proposal, FNS will receive an initial permanent mandatory appropriation equal to the amount that would have otherwise been made available by customs receipt transfer. This includes fruit and vegetable commodity purchase activities, removal of defective commodities, and state option contracts that were traditionally carried out under Section 32. This initial appropriation will be adjusted annually based upon the Consumer Price Index (CPI-U) and the requested annual appropriations language will continue to subsume those amounts within the total appropriation.

**Object Classification** (in millions of dollars)

Identification code 012-3539-4-1-605	2018 actual	2019 est.	2020 est.
Direct obligations:			
26.0 Supplies and materials (Commodities) .....			473
41.0 Grants, subsidies, and contributions .....			-19
99.0 Direct obligations .....			454

CHILD NUTRITION PROGRAMS—Continued  
Object Classification—Continued

Identification code 012-3539-4-1-605	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....			454

SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)

For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), \$5,750,000,000, to remain available through September 30, 2021: Provided, That notwithstanding section 17(h)(10) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(h)(10)), not less than \$60,000,000 shall be used for breastfeeding peer counselors and other related activities, and \$13,600,000 shall be used for infrastructure: Provided further, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: Provided further, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act: Provided further, That upon termination of a federally mandated vendor moratorium and subject to terms and conditions established by the Secretary, the Secretary may waive the requirement at 7 CFR 246.12(g)(6) at the request of a State agency.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-3510-0-1-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	5,892	5,460	5,666
0004 WIC EBT/MIS .....	36	36	8
0010 Infrastructure Grants and Technical Assistance .....	19	14	14
0020 Breastfeeding Peer Counselors and Bonuses .....	68	60	60
0030 Program Initiatives and Evaluations .....	23	16	16
0091 Direct program activities (discretionary), subtotal .....	6,038	5,586	5,764
0101 UPC Database (mandatory) .....	1	1	1
0900 Total new obligations, unexpired accounts .....	6,039	5,587	5,765
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	533	830	1,264
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	250	558	
1021 Recoveries of prior year unpaid obligations .....	951	645	555
1050 Unobligated balance (total) .....	1,484	1,475	1,819
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,188	6,175	5,750
1130 Appropriations permanently reduced .....	-585		
1131 Unobligated balance of appropriations permanently reduced .....	-215	-800	-1,000
1160 Appropriation, discretionary (total) .....	5,388	5,375	4,750
Appropriations, mandatory:			
1200 Appropriation - Permanent Appropriation .....	1	1	1
1900 Budget authority (total) .....	5,389	5,376	4,751
1930 Total budgetary resources available .....	6,873	6,851	6,570
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	830	1,264	805

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,867	1,521	1,118
3010 New obligations, unexpired accounts .....	6,039	5,587	5,765
3011 Obligations ("upward adjustments"), expired accounts .....	4	40	40
3020 Outlays (gross) .....	-5,433	-5,385	-4,864
3040 Recoveries of prior year unpaid obligations, unexpired .....	-951	-645	-555
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	1,521	1,118	1,504
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,867	1,521	1,118

3200 Obligated balance, end of year .....	1,521	1,118	1,504
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,388	5,375	4,750
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,671	3,764	3,253
4011 Outlays from discretionary balances .....	1,761	1,620	1,610
4020 Outlays, gross (total) .....	5,432	5,384	4,863
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	1
4180 Budget authority, net (total) .....	5,389	5,376	4,751
4190 Outlays, net (total) .....	5,433	5,385	4,864

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and postpartum women, infants, and children nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The 2020 Budget supports nutrition benefits for the 6.6 million individuals expected to participate in the program each month.

Object Classification (in millions of dollars)

Identification code 012-3510-0-1-605	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	14	14	14
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	6,017	5,565	5,743
99.9 Total new obligations, unexpired accounts .....	6,039	5,587	5,765

Employment Summary

Identification code 012-3510-0-1-605	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	40	44	44

COMMODITY ASSISTANCE PROGRAM

For necessary expenses to carry out disaster assistance; the Emergency Food Assistance Act of 1983; and special assistance for the nuclear affected islands, as authorized by section 103(f)(2) of the Compact of Free Association Amendments Act of 2003 (Public Law 108-188), \$55,471,000, to remain available through September 30, 2021: Provided, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: Provided further, That notwithstanding any other provision of law, effective with funds made available in fiscal year 2020 to support the Seniors Farmers' Market Nutrition Program, as authorized by section 4402 of the Farm Security and Rural Investment Act of 2002, such funds shall remain available through September 30, 2021: Provided further, That of the funds made available under section 27(a) of the Food and Nutrition Act of 2008 (7 U.S.C. 2036(a)), the Secretary may use up to 15 percent for costs associated with the distribution of commodities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-3507-0-1-605	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commodity procurement .....	191	260	
0002 Administrative costs .....	55	55	
0091 Subtotal, commodity supplemental food program .....	246	315	
0105 TEFAP Administrative .....	64	64	54
0110 Senior farmers' market .....	21	19	21
0115 Farmers' market nutrition program .....	19	19	
0120 Pacific island and disaster assistance .....	1	1	1
0130 NSIP (Transfer Funds) .....	3	2	
0131 TEFAP Disaster - Hurricane Maria .....	8	15	

0132	TEFAP Farm Bill .....	4	4	
0191	Direct program activities, subtotal .....	116	124	80
0900	Total new obligations, unexpired accounts .....	362	439	80
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	79	93	7
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	79	93	
1021	Recoveries of prior year unpaid obligations .....	9	7	
1050	Unobligated balance (total) .....	88	100	7
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	346	322	55
1121	Appropriations transferred from other acct [075-0142] ....	3		
1160	Appropriation, discretionary (total) .....	349	322	55
Appropriations, mandatory:				
1200	Appropriation .....		4	4
1221	Appropriations transferred from other acct [012-4336] ....	21	21	21
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	
1260	Appropriations, mandatory (total) .....	20	24	25
1900	Budget authority (total) .....	369	346	80
1930	Total budgetary resources available .....	457	446	87
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2		
1941	Unexpired unobligated balance, end of year .....	93	7	7
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	115	119	214
3010	New obligations, unexpired accounts .....	362	439	80
3020	Outlays (gross) .....	-341	-337	-202
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-7	
3041	Recoveries of prior year unpaid obligations, expired .....	-8		
3050	Unpaid obligations, end of year .....	119	214	92
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	115	119	214
3200	Obligated balance, end of year .....	119	214	92
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	349	322	55
Outlays, gross:				
4010	Outlays from new discretionary authority .....	162	195	50
4011	Outlays from discretionary balances .....	160	127	127
4020	Outlays, gross (total) .....	322	322	177
Mandatory:				
4090	Budget authority, gross .....	20	24	25
Outlays, gross:				
4100	Outlays from new mandatory authority .....	11	15	16
4101	Outlays from mandatory balances .....	8		9
4110	Outlays, gross (total) .....	19	15	25
4180	Budget authority, net (total) .....	369	346	80
4190	Outlays, net (total) .....	341	337	202

This account funds the Commodity Supplemental Food Program (CSFP), The Emergency Food Assistance Program (TEFAP), The Senior Farmers' Market Nutrition Program (SFMNP), assistance for the nuclear-affected islands, and disaster relief.

CSFP provides food packages for low-income elderly persons and funds the State administrative expenses required to operate the program. However, because CSFP is relatively small and is duplicative of other nutrition assistance programs, the Budget does not continue funding for this program. TEFAP provides cash to support State administrative activities and to maintain the storage and distribution pipeline for USDA and privately-donated commodities (TEFAP commodities are separately funded through the Supplemental Nutrition Assistance Program (SNAP) account). The account also funds the SFMNP, which provides low-income elderly participants with vouchers to purchase produce at farmers' markets. The Senior Farmers' Market Nutrition Program is funded by a transfer from the Commodity Credit Corporation.

**Object Classification** (in millions of dollars)

Identification code 012-3507-0-1-605	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
25.3	Other goods and services from Federal sources .....	3	3	2
26.0	Supplies and materials (commodities) .....	211	299	
41.0	Grants, subsidies, and contributions .....	148	137	78
99.9	Total new obligations, unexpired accounts .....	362	439	80

**Employment Summary**

Identification code 012-3507-0-1-605	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	3	3	

**FOREST SERVICE**

*Federal Funds*

**CAPITAL IMPROVEMENT AND MAINTENANCE**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Forest Service, not otherwise provided for, \$434,000,000, to remain available through September 30, 2023, for construction, capital improvement, maintenance and acquisition of buildings and other facilities and infrastructure; and for construction, reconstruction and maintenance of forest roads and trails, and for decommissioning of roads that are no longer needed, including unauthorized roads that are not part of the transportation system, as authorized by 16 U.S.C. 532-538 and 23 U.S.C. 101 and 205.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1103-0-1-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Capital improvement and maintenance .....	450	450	435
0801	Capital Improvement and Maintenance (Reimbursable) .....	28	28	28
0900	Total new obligations, unexpired accounts .....	478	478	463
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	37	122	123
1021	Recoveries of prior year unpaid obligations .....	8		
1050	Unobligated balance (total) .....	45	122	123
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation [P.L. 115-141] .....	449	449	434
1100	Appropriation [P.L. 115-23] .....	92		
1120	Appropriations transferred to other acts [012-1115] .....	-16		
1121	Appropriations transferred from other acct [012-1115] ....	6		
1160	Appropriation, discretionary (total) .....	531	449	434
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	28	30	30
1701	Change in uncollected payments, Federal sources .....	-4		
1750	Spending auth from offsetting collections, disc (total) .....	24	30	30
1900	Budget authority (total) .....	555	479	464
1930	Total budgetary resources available .....	600	601	587
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	122	123	124
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	164	211	212
3010	New obligations, unexpired accounts .....	478	478	463
3020	Outlays (gross) .....	-423	-477	-520
3040	Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050	Unpaid obligations, end of year .....	211	212	155
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-59	-55	-55
3070	Change in uncollected pymts, Fed sources, unexpired .....	4		
3090	Uncollected pymts, Fed sources, end of year .....	-55	-55	-55

CAPITAL IMPROVEMENT AND MAINTENANCE—Continued  
Program and Financing—Continued

Identification code 012-1103-0-1-302	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	105	156	157
3200 Obligated balance, end of year .....	156	157	100
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	555	479	464
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	303	312	302
4011 Outlays from discretionary balances .....	120	165	218
4020 Outlays, gross (total) .....	423	477	520
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-7	-5	-5
4033 Non-Federal sources .....	-21	-25	-25
4040 Offsets against gross budget authority and outlays (total) ....	-28	-30	-30
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4		
4070 Budget authority, net (discretionary) .....	531	449	434
4080 Outlays, net (discretionary) .....	395	447	490
4180 Budget authority, net (total) .....	531	449	434
4190 Outlays, net (total) .....	395	447	490

The 2020 Budget requests \$434,000,000 for Capital Improvement and Maintenance. Funding provides for capital improvement and maintenance of Forest Service assets, including facilities, roads, and trails. The program emphasizes efficient and effective reinvestment and maintenance of National Forest System (NFS) infrastructure that supports public and administrative uses, and quality recreation experiences with minimal impact to ecosystem stability and conditions.

**Facilities.**—Provides for capital improvement and maintenance of recreation sites; visitor centers; fire, research, administrative, and other facilities; telecommunication sites and towers, dams, and the acquisition of buildings and other facilities necessary to carry out the mission of the Forest Service.

**Roads.**—Provides for capital improvement and maintenance of the National Forest road system, including bridges and terminal facilities such as parking lots, trailhead parking, camping spurs, and truck turnarounds. Funding priorities include driver safety and resource protection, ecosystem health including clean water and aquatic passage, and mission-critical needs, such as achievement of the agency goal of producing 3.7 billion board feet of timber in FY 2020.

**Trails.**—Provides for capital improvement and maintenance of NFS trails. Funding is used to keep trails open for access and to protect vegetation, soil, and water quality.

**Roads and Trails (10 Percent) Fund.**—The 2020 Budget proposes to retain receipts in the amount of \$15,000,000 from this fund, without regard to the State in which the amounts were derived, to repair or reconstruct roads, bridges, and trails on National Forest System lands, or to carry out and administer projects to improve forest health conditions.

Object Classification (in millions of dollars)

Identification code 012-1103-0-1-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	122	122	122
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	7	7	7
11.9 Total personnel compensation .....	139	139	139
12.1 Civilian personnel benefits .....	54	54	54
13.0 Benefits for former personnel .....	3	3	3
21.0 Travel and transportation of persons .....	7	7	7
22.0 Transportation of things .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	14	14	14
25.2 Other services from non-Federal sources .....	110	110	100
25.3 Other goods and services from Federal sources .....	57	57	57
25.4 Operation and maintenance of facilities .....	5	5	2
25.7 Operation and maintenance of equipment .....	13	13	13

26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	4	4	4
32.0 Land and structures .....	8	8	8
41.0 Grants, subsidies, and contributions .....	21	21	19
99.0 Direct obligations .....	450	450	435
99.0 Reimbursable obligations .....	27	27	27
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	478	478	463

Employment Summary

Identification code 012-1103-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,992	1,932	1,874
2001 Reimbursable civilian full-time equivalent employment .....	208	208	208
3001 Allocation account civilian full-time equivalent employment .....	45	45	45

FOREST AND RANGELAND RESEARCH

*For necessary expenses of forest and rangeland research as authorized by law, \$254,500,000, to remain available through September 30, 2023: Provided, That of the funds provided under this heading, \$77,000,000 is for the forest inventory and analysis program.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1104-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Forest and rangeland research .....	298	278	250
0801 Forest and Rangeland Research (Reimbursable) .....	30	30	30
0900 Total new obligations, unexpired accounts .....	328	308	280
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	21	29	38
1010 Unobligated balance transfer to other accts [012-1115] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	3		2
1050 Unobligated balance (total) .....	23	29	40
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	297	297	255
1121 Appropriations transferred from other acct [012-1115] .....	1		
1160 Appropriation, discretionary (total) .....	298	297	255
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	23	20	20
1701 Change in uncollected payments, Federal sources .....	13		
1750 Spending auth from offsetting collections, disc (total) .....	36	20	20
1900 Budget authority (total) .....	334	317	275
1930 Total budgetary resources available .....	357	346	315
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	29	38	35

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	149	147	93
3010 New obligations, unexpired accounts .....	328	308	280
3020 Outlays (gross) .....	-327	-362	-319
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		-2
3050 Unpaid obligations, end of year .....	147	93	52
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-34	-47	-47
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13		
3090 Uncollected pymts, Fed sources, end of year .....	-47	-47	-47
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	115	100	46
3200 Obligated balance, end of year .....	100	46	5

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	334	317	275

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	230	254	220
4011	Outlays from discretionary balances .....	97	108	99
4020	Outlays, gross (total) .....	327	362	319
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-16	-16	-16
4033	Non-Federal sources .....	-7	-4	-4
4040	Offsets against gross budget authority and outlays (total) ....	-23	-20	-20
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-13		
4070	Budget authority, net (discretionary) .....	298	297	255
4080	Outlays, net (discretionary) .....	304	342	299
4180	Budget authority, net (total) .....	298	297	255
4190	Outlays, net (total) .....	304	342	299

The 2020 Budget requests \$254,500,000 for Forest and Rangeland Research (Forest Service R&D). Within this funding level, \$77 million is requested for Forest Inventory and Analysis to continue to implement the annualized inventory program in all 50 States (including interior Alaska), the affiliated Pacific Islands, Puerto Rico, and the U.S. Virgin Islands. Funding requested maintains an essential level of basic research, primarily focused on active management of National Forest System lands through shared stewardship to improve forest and rangeland conditions and to support communities through rural economic development. Funding will also maintain basic research to support wildland fire management.

The Forest Service R&D was previously aligned by Strategic Program Areas and Priority Research Areas. A new National Research Plan (NRP) was developed to help reorient the Forest Service's R&D mission area to deliver targeted national priorities. The NRP identifies five research emphasis areas: (1) Inventory and Monitoring, (2) Water and Biological Resources, (3) Forest and Rangeland Management, (4) Forest Products Innovations, and (5) People and the Environment. This research is conducted at five Research Stations, the Forest Products Laboratory, and the International Institute of Tropical Forestry.

**Object Classification** (in millions of dollars)

Identification code 012-1104-0-1-302	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	133	133	133
11.3	Other than full-time permanent .....	3	3	3
11.5	Other personnel compensation .....	4	4	4
11.9	Total personnel compensation .....	140	140	140
12.1	Civilian personnel benefits .....	49	49	49
21.0	Travel and transportation of persons .....	7	7	7
22.0	Transportation of things .....	2	2	2
23.1	Rental payments to GSA .....	3	3	3
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	11	11	5
25.2	Other services from non-Federal sources .....	16	6	
25.3	Other goods and services from Federal sources .....	18	8	
25.5	Research and development contracts .....	29	29	21
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	5	5	5
41.0	Grants, subsidies, and contributions .....	11	11	11
99.0	Direct obligations .....	298	278	250
99.0	Reimbursable obligations .....	30	30	30
99.9	Total new obligations, unexpired accounts .....	328	308	280

**Employment Summary**

Identification code 012-1104-0-1-302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	1,584	1,685	1,473
2001	Reimbursable civilian full-time equivalent employment .....	62	62	62

**NATIONAL FOREST SYSTEM**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, and for hazardous fuels management on or adjacent to such lands, \$1,912,750,000, to remain available through September 30, 2023: Provided, That of the funds provided under this heading, \$450,000,000 shall be available for hazardous fuels management activities, of which not to exceed \$15,000,000 may be used to make grants, using any authorities available to the Forest Service under the "State and Private Forestry" appropriation, for the purpose of creating incentives for increased use of biomass from National Forest System lands: Provided further, That of the funds provided under this heading, up to \$20,000,000 may be used by the Secretary of Agriculture to enter into procurement contracts or cooperative agreements or to issue grants for hazardous fuels management activities, and for training or monitoring associated with such hazardous fuels management activities on Federal land, or on non-Federal land if the Secretary determines such activities benefit resources on Federal land: Provided further, That funds made available to implement the Community Forest Restoration Act, Public Law 106-393, title VI, shall be available for use on non-Federal lands in accordance with authorities made available to the Forest Service under the "State and Private Forestry" appropriation.*

**GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012-1106-0-1-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	National forest system .....	1,924	1,924	1,929
0801	National Forest System (Reimbursable) .....	77	77	77
0900	Total new obligations, unexpired accounts .....	2,001	2,001	2,006
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	83	179	162
1010	Unobligated balance transfer to other accts [012-1115] .....	-1		
1021	Recoveries of prior year unpaid obligations .....	25		
1050	Unobligated balance (total) .....	107	179	162
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	1,944	1,924	1,912
1120	Appropriations transferred to other acct [014-1125] .....	-2		
1121	Appropriations transferred from other acct [012-1115] ....	10		
1121	Appropriations transferred from other acct [012-5634] .....			5
1160	Appropriation, discretionary (total) .....	1,952	1,924	1,917
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	65	60	60
1701	Change in uncollected payments, Federal sources .....	56		
1750	Spending auth from offsetting collections, disc (total) .....	121	60	60
1900	Budget authority (total) .....	2,073	1,984	1,977
1930	Total budgetary resources available .....	2,180	2,163	2,139
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	179	162	133
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	452	565	481
3010	New obligations, unexpired accounts .....	2,001	2,001	2,006
3020	Outlays (gross) .....	-1,863	-2,085	-2,181
3040	Recoveries of prior year unpaid obligations, unexpired .....	-25		
3050	Unpaid obligations, end of year .....	565	481	306
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-106	-162	-162
3070	Change in uncollected pymts, Fed sources, unexpired .....	-56		
3090	Uncollected pymts, Fed sources, end of year .....	-162	-162	-162
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	346	403	319
3200	Obligated balance, end of year .....	403	319	144
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	2,073	1,984	1,977

NATIONAL FOREST SYSTEM—Continued  
Program and Financing—Continued

Identification code 012-1106-0-1-302	2018 actual	2019 est.	2020 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,553	1,686	1,680
4011 Outlays from discretionary balances .....	310	399	501
4020 Outlays, gross (total) .....	1,863	2,085	2,181
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-42	-37	-37
4033 Non-Federal sources .....	-23	-23	-23
4040 Offsets against gross budget authority and outlays (total) ....	-65	-60	-60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-56		
4070 Budget authority, net (discretionary) .....	1,952	1,924	1,917
4080 Outlays, net (discretionary) .....	1,798	2,025	2,121
4180 Budget authority, net (total) .....	1,952	1,924	1,917
4190 Outlays, net (total) .....	1,798	2,025	2,121

The 2020 Budget requests \$1,912,750,000 for the National Forest System (NFS) for the stewardship and management of the system's 193 million acres of national forests and grasslands. This includes the 154 national forests and 20 national grasslands, located in 44 States and Puerto Rico, and managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and soil are used in a planned combination that best meets the needs of the Nation without impairing productivity of the land or damaging the environment.

The 2020 Budget prioritizes funding of programs designed to increase the health and resilience of the national forests and grasslands, while also meeting the multiple use requirements for the resources on our Nation's forests and grasslands.

The request for Hazardous Fuels provides funding for treatment of hazardous fuels within the wildland-urban interface and highest priority areas of NFS lands, and adjacent State and private lands through prescribed burning, mechanical treatments, and other methods in order to restore forest health and reduce wildfire risks.

The 2020 Budget requests \$257.8 million for Recreation, Heritage and Wilderness. Funds for this program will be used to provide public recreational access to over 193 million acres of scenic lands, with more than 157,000 miles of trails, 27,000 developed recreation sites, 220,000 miles of fishable streams, 122 ski areas, 380,000 heritage sites. The Forest Service will prioritize permitting for outfitters and guides, maintaining and growing strong collaborations with partners and volunteer groups, and working to address the recreational needs of today's public, who want year-round activities on National Forest System lands.

The 2020 Budget requests \$375 million for Forest Products. Funds for this program will be used to support timber harvesting in support of the 2020 goal of 3.7 billion board feet. This target for volume of timber sold supports the target of 3.8 bbf offered for sale in the Executive Order "Promoting Active Management of America's Forests, Rangelands, and other Federal Lands to Improve Conditions and reduce Wildfire Risk" issued on December 21, 2018.

The overall objective of all NFS program activities is to reestablish and retain the resilience of NFS lands, to achieve sustainable management and use, and to provide a broad range of ecosystem services. In 2020, this includes a Budget that continues to support for Law Enforcement Operations to clean up and reclaim illegal marijuana grow sites on NFS lands.

The 2020 Budget continues the emphasis on Forest Service program performance and accountability agency-wide, and on delivering critical services more efficiently. The Forest Service business rules for accomplishment reporting incorporate not only directly funded work, but also accomplishments achieved through integration between program areas or partnerships with external groups. This effort improves performance and account-

ability by shifting focus to accomplishments that naturally align with other programs and partner organizations to achieve multiple goals.

Object Classification (in millions of dollars)

Identification code 012-1106-0-1-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	740	740	740
11.3 Other than full-time permanent .....	48	48	48
11.5 Other personnel compensation .....	51	51	51
11.9 Total personnel compensation .....	839	839	839
12.1 Civilian personnel benefits .....	331	331	331
13.0 Benefits for former personnel .....	11	11	11
21.0 Travel and transportation of persons .....	38	38	38
22.0 Transportation of things .....	13	13	13
23.1 Rental payments to GSA .....	5	5	5
23.2 Rental payments to others .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	69	69	69
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	216	216	216
25.3 Other goods and services from Federal sources .....	186	186	191
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	7	7	7
26.0 Supplies and materials .....	40	40	40
31.0 Equipment .....	23	23	23
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	134	134	134
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,924	1,924	1,929
99.0 Reimbursable obligations .....	76	76	76
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	2,001	2,001	2,006

Employment Summary

Identification code 012-1106-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	11,079	10,720	11,454
2001 Reimbursable civilian full-time equivalent employment .....	286	286	286
3001 Allocation account civilian full-time equivalent employment .....	1,178	1,178	1,178

STATE AND PRIVATE FORESTRY

*For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, including treatments of pests, pathogens, and invasive or noxious plants, and for restoring and rehabilitating forests damaged by pests or invasive plants, cooperative forestry, and education and land conservation activities as authorized, \$182,296,000, to remain available through September 30, 2023, as authorized by law: Provided, That of the funds provided under this heading, \$65,930,000 is for National Fire Capacity, and \$11,020,000 is for Rural Fire Capacity under section 10 of the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2106).*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1105-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State and private forestry .....	267	150	150
0002 Forest Legacy .....	74		
0799 Total direct obligations .....	341	150	150
0801 State and Private Forestry (Reimbursable) .....	72	72	72
0900 Total new obligations, unexpired accounts .....	413	222	222
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	81	108	266
1010 Unobligated balance transfer to other accts [012-1115] .....	-15		
1011 Unobligated balance transfer from other acct [072-0306] .....	1		

1021	Recoveries of prior year unpaid obligations .....	21	10	
1050	Unobligated balance (total) .....	88	108	276
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	276	269	182
1101	Appropriation (LWCF Forest Legacy) .....	67	67	
1120	Appropriations transferred to other acct [012–1115] .....	-8		
1121	Appropriations transferred from other acct [012–1115] .....	4		
1121	Appropriations transferred from other acct [012–1120] .....	16		
1131	Unobligated balance of appropriations permanently reduced .....	-6	-6	
1160	Appropriation, discretionary (total) .....	349	330	182
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	56	50	50
1701	Change in uncollected payments, Federal sources .....	28		
1750	Spending auth from offsetting collections, disc (total) .....	84	50	50
1900	Budget authority (total) .....	433	380	232
1930	Total budgetary resources available .....	521	488	508
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	108	266	286
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	410	501	310
3010	New obligations, unexpired accounts .....	413	222	222
3020	Outlays (gross) .....	-301	-413	-391
3040	Recoveries of prior year unpaid obligations, unexpired .....	-21		-10
3050	Unpaid obligations, end of year .....	501	310	131
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-107	-135	-135
3070	Change in uncollected pymts, Fed sources, unexpired .....	-28		
3090	Uncollected pymts, Fed sources, end of year .....	-135	-135	-135
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	303	366	175
3200	Obligated balance, end of year .....	366	175	-4
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	433	380	232
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	104	144	99
4011	Outlays from discretionary balances .....	197	269	292
4020	Outlays, gross (total) .....	301	413	391
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-56	-50	-50
4040	Offsets against gross budget authority and outlays (total) .....	-56	-50	-50
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-28		
4070	Budget authority, net (discretionary) .....	349	330	182
4080	Outlays, net (discretionary) .....	245	363	341
4180	Budget authority, net (total) .....	349	330	182
4190	Outlays, net (total) .....	245	363	341
<b>Memorandum (non-add) entries:</b>				
5096	Unexpired unavailable balance, SOY: Appropriations .....		3	3
5098	Unexpired unavailable balance, EOY: Appropriations .....		3	3

The 2020 Budget requests \$182,296,000 for State and Private Forestry programs to help sustain forests on State and private lands, in both rural and urban areas, and protects communities and the natural environment from wildland fires, tree pests and diseases, and invasive plants. Technical assistance and grants help facilitate sound resource stewardship by providing tools to address forest health threats on a landscape scale, while maintaining the flexibility for individual forest landowners and resource managers to pursue their objectives. This funding will support the Forest Service's Shared Stewardship strategy which aims to increase emphasis on work across boundaries with States and other partners to prioritize investments in lands that can make a difference in conditions across an entire landscape. This collaboration will result in higher gains in reducing fire risk and create more resilient forest conditions. Specific areas of emphasis are:

**Forest Health Management.**—Funds in the amount of \$85.9 million for activities on Federal and cooperative lands to maintain healthy, productive ecosystems by preventing, detecting, and suppressing damaging native and

invasive insect infestations and tree diseases across all land ownership jurisdictions, and invasive plants on cooperative lands. Based on a science-based forest health risk map, the 2020 Budget allocates funding to address national priorities and reduce risk for landscape damage in the most effective and efficient manner. The agency will document changes in insect, disease, and invasive plant geographic range, population dynamics of host preferences of pests, and other changes in pest activity, and will explore gene conservation efforts. Funding of this program is a critical part of the Forest Service's capacity to continue to reduce the risk of catastrophic wildfires, improve water quality and quantity, and increase carbon sequestration.

**Cooperative Forestry.**—Funds in the amount of \$19.5 million are requested for the Working Forest Lands Program (previously the Forest Stewardship Program), which provides professional forestry assistance to landowners to encourage sound environmental management of non-industrial private forest lands. Cooperative forestry activities help maintain the integrity of our Nation's valuable forested landscapes, and support the Federal interest in obtaining and preserving for the public an array of social, economic, and environmental benefits from privately owned forests. The Forest Service will track how cooperative funds are targeted to priority areas and themes identified in State Forest Action Plans.

**Cooperative Fire Programs.**—This request includes funding for the National Fire Capacity and Rural Fire Capacity programs in the amounts of \$65.9 million and \$11 million; respectively, to enhance the capacity of States to increase the fire adaptability of communities by providing grant funding and technical assistance to: (1) increase their initial attack capabilities, and (2) purchase and maintain firefighting equipment. Funding also supports training, planning, and fire prevention and education programs.

**Object Classification (in millions of dollars)**

Identification code 012–1105–0–1–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	49	49	49
11.3	1	1	1
11.5	2	2	2
11.9	52	52	52
12.1	18	18	18
21.0	4	4	4
22.0	1	1	1
23.1	1	1	1
23.3	4	4	4
25.2	18	18	18
25.3	10	10	10
26.0	2	1	2
31.0	1	1	1
41.0	230	40	39
99.0	341	150	150
99.0	72	72	72
99.9	413	222	222

**Employment Summary**

Identification code 012–1105–0–1–302	2018 actual	2019 est.	2020 est.
1001	569	607	432
2001	77	77	77

**MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES**

For necessary expenses of the Forest Service to manage Federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (Public Law 96–487), \$1,832,000, to remain available through September 30, 2023.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES—Continued

Program and Financing (in millions of dollars)

Identification code 012-1119-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Management of national forest lands for subsistence uses .....	3	3	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	2
1930 Total budgetary resources available .....	3	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	3	3	2
3020 Outlays (gross) .....	-3	-3	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	1
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	3	2
4180 Budget authority, net (total) .....	3	3	2
4190 Outlays, net (total) .....	3	3	2

The 2020 Budget requests \$1,832,000 for Management of National Forest Lands for Subsistence Uses. Funding under this program primarily supports fisheries and wildlife population assessments and forecasts, and the enforcement of harvest laws and regulations, to ensure that the subsistence needs of qualified rural Alaskans are met under the Alaska National Interest Lands Conservation Act (Public Law 96-487).

Object Classification (in millions of dollars)

Identification code 012-1119-0-1-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	3	3	2

Employment Summary

Identification code 012-1119-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	11	13	8

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS)

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency wildland fire suppression on or adjacent to such lands or other lands under fire protection agreement, emergency rehabilitation of burned-over National Forest System lands and water, \$2,350,620,000, to remain available through September 30, 2023: Provided, That such funds, including unobligated balances under this heading, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: Provided further, That such funds shall be available to reimburse State and other cooperating entities for services provided in response to wildfire and other emergencies or disasters to the extent such reimbursements by the Forest Service for non-fire emergencies are fully repaid by the responsible emergency management agency: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by

the affected parties: Provided further, That of the amounts made available under this heading in the Consolidated and Further Appropriations Act, 2015 (Public Law 113-225) for the purpose of acquiring aircraft for the next-generation airtanker fleet, \$65,000,000 shall remain available until expended for the purpose of enhancing firefighter mobility, effectiveness, efficiency and safety: Provided further, That the Secretary of Agriculture and the Secretary of Interior may transfer funds appropriated to the "Wildland Fire Management" accounts, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: Provided further, That of the funds provided under this heading, \$1,011,000,000 shall be available for wildfire suppression operations, and is provided to meet the terms of section 251(b)(2)(F)(ii)(I) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition to the amounts provided under this heading for wildfire suppression operations, \$1,950,000,000, to remain available until expended, is additional new budget authority as specified for purposes of section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985: Provided, That the Secretary of Agriculture may transfer such amounts to the Department of Interior for wildfire suppression operations.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 012-1115-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Wildland fire management .....	3,917	2,706	3,972
0801 Wildland Fire Management (Reimbursable) .....	49	49	49
0900 Total new obligations, unexpired accounts .....	3,966	2,755	4,021
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	248	140	440
1010 Unobligated balance transfer to other accts [014-1125] .....	-3	.....	.....
1011 Unobligated balance transfer from other acct [012-1104] .....	1	.....	.....
1011 Unobligated balance transfer from other acct [012-1106] .....	1	.....	.....
1011 Unobligated balance transfer from other acct [012-9923] .....	1	.....	.....
1011 Unobligated balance transfer from other acct [012-1105] .....	15	.....	.....
1011 Unobligated balance transfer from other acct [012-9921] .....	61	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-74	.....	.....
1021 Recoveries of prior year unpaid obligations .....	147	.....	65
1033 Recoveries of prior year paid obligations .....	20	.....	.....
1050 Unobligated balance (total) .....	417	140	505
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Preparedness .....	1,324	1,323	1,340
1100 Appropriation - Suppression Operations .....	1,557	1,557	1,011
1100 Appropriation [P.L. 115-72] .....	184	.....	.....
1100 Appropriation Wildfire Suppression Cap Adjustment .....	.....	.....	1,950
1120 Appropriations transferred to other accts [012-1104] .....	-1	.....	.....
1120 Appropriations transferred to other accts [012-1106] .....	-10	.....	.....
1120 Appropriations transferred to other acct [012-1103] .....	-6	.....	.....
1120 Appropriations transferred to other acct [012-1105] .....	-4	.....	.....
1120 Appropriations transferred to other acct [014-1125] .....	-2	.....	.....
1120 Appropriations transferred to other acct [012-9921] .....	-4	.....	.....
1121 Appropriations transferred from other acct [012-5540] .....	10	.....	.....
1121 Appropriations transferred from other acct [012-1103] .....	16	.....	.....
1121 Appropriations transferred from other acct [012-1105] .....	8	.....	.....
1121 Appropriations transferred from other acct [012-9921] .....	280	.....	.....
1121 Appropriations transferred from other acct [012-9923] .....	40	.....	.....
1160 Appropriation, discretionary (total) .....	3,392	2,880	4,301
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	311	175	175
1701 Change in uncollected payments, Federal sources .....	-14	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	297	175	175
1900 Budget authority (total) .....	3,689	3,055	4,476
1930 Total budgetary resources available .....	4,106	3,195	4,981
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	140	440	960
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,373	1,264	829
3010 New obligations, unexpired accounts .....	3,966	2,755	4,021
3020 Outlays (gross) .....	-3,928	-3,190	-4,624
3040 Recoveries of prior year unpaid obligations, unexpired .....	-147	.....	-65

3050	Unpaid obligations, end of year .....	1,264	829	161
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-105	-17	-17
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	74		
3070	Change in uncollected pymts, Fed sources, unexpired .....	14		
3090	Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,342	1,247	812
3200	Obligated balance, end of year .....	1,247	812	144
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,689	3,055	4,476
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	2,932	2,741	4,020
4011	Outlays from discretionary balances .....	996	449	604
4020	Outlays, gross (total) .....	3,928	3,190	4,624
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-242	-24	-24
4033	Non-Federal sources .....	-89	-151	-151
4040	Offsets against gross budget authority and outlays (total) ....	-331	-175	-175
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	14		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	20		
4060	Additional offsets against budget authority only (total) .....	34		
4070	Budget authority, net (discretionary) .....	3,392	2,880	4,301
4080	Outlays, net (discretionary) .....	3,597	3,015	4,449
4180	Budget authority, net (total) .....	3,392	2,880	4,301
4190	Outlays, net (total) .....	3,597	3,015	4,449

The 2020 Budget requests \$2,350,620,000 for Wildland Fire Management (WFM) for Forest Service fire preparedness and fire suppression operations on National Forest System (NFS) lands, adjacent State and private lands, and other lands under fire protection agreements. Firefighter and public safety are the primary considerations for all wildfire response operations.

**Preparedness.**—Funds the agency capability to protect life, property, infrastructure and natural resources through an appropriate initial attack response.

Preparedness provides for fire management assets that protect NFS lands, and other Federal, State, and private lands from damaging wildfires, thus reducing threats to life and values at risk commensurate with land management objectives in the National Cohesive Wildland Fire Management Strategy. Key components of the wildland fire response mission delivery are readiness capability and program leadership necessary to ensure appropriate, risk informed, and effective operations. Preparedness also supports other vital elements of a comprehensive wildland fire management program, including modernization of the large airtanker fleet, planning, prevention, development of information technology and decision support systems, training and education, development and advancement of firefighting technology, and organizational learning through program analysis and review.

Through this program, the Forest Service also assists other Federal agencies and States with planning assistance, sharing joint equipment use contracts and interagency fire coordination centers. Readiness levels reflect improvements in efficiencies and management controls, including predictive services analysis of fire season potential to strategically deploy firefighting resources, web-based wildfire decision support tools, centralized management of aviation assets, optimizing dispatch analysis, and streamlining information technology investments.

**Suppression Operations.**—Provides for risk-informed extended attack suppression and large fire support at wildland fires on or threatening NFS lands, other Federal lands, and 20 million acres of non-Federal lands under fire protection agreements. The 2020 Budget requests funding at \$1,011,000,000, the amount stipulated by the Consolidated Appropriations Act, 2018 (P.L. 115–141) through Fiscal Year 2027. The Act also amended the Balanced Budget and Emergency Deficit Control act to provide additional new budget authority for fiscal years 2020 through 2027. The Budget

requests \$1.95 billion of the \$2.25 billion cap adjustment for wildfire suppression operations; the remaining \$300 million will be requested by the Department of the Interior in support of wildfire suppression operations. This cap adjustment will help ensure that adequate resources are available to the Departments of Agriculture and the Interior to fight wildland fires, protect communities, and safeguard human life during the most severe wildland fire seasons.

Wildfires continue to be larger and more difficult to suppress due to the effects of persistent drought, hazardous fuel conditions, and the ongoing growth of residential and commercial development adjacent to fire-prone areas in the wildland-urban interface (WUI). The Forest Service recognizes the costs of WUI suppression activities, and will continue to aggressively pursue management improvements, including:

- using risk-informed, performance-based suppression strategies,
- clarifying roles and responsibilities in the WUI,
- using appropriate cost-share agreements and pursuing 100 percent cost recovery from Federal, State, and local entities, and
- deploying decision support tools.

The Suppression program also funds Burned Area Emergency Response (BAER) activities, which address situations where life, property, water quality, and deteriorated ecosystems may be further threatened from damage post-fire. The BAER program provides for immediate emergency post-fire response to manage unacceptable risks to people and resources triggered by the changed conditions to the landscape in the aftermath of a fire.

Development of necessary governance and risk management protocols to guide program management and incident response with the application of resources to reduce unnecessary risk to firefighter safety in the short-term, and increase the long-term resilience of fire-adapted ecosystems, will continue to be a focus. The Forest Service will also continue efforts to allow fire to return to the landscape when it will improve the health of the forest, when risks to community safety make it appropriate to do so.

**Object Classification** (in millions of dollars)

Identification code 012–1115–0–1–302	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	474	474	474
11.3	Other than full-time permanent .....	72	72	72
11.5	Other personnel compensation .....	349	349	349
11.8	Special personal services payments .....	99	99	99
11.9	Total personnel compensation .....	994	994	994
12.1	Civilian personnel benefits .....	313	313	113
13.0	Benefits for former personnel .....	23	23	23
21.0	Travel and transportation of persons .....	108	108	108
22.0	Transportation of things .....	12	12	12
23.1	Rental payments to GSA .....	2	2	2
23.2	Rental payments to others .....	15	15	15
23.3	Communications, utilities, and miscellaneous charges .....	78	78	78
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	1,257	46	1,642
25.3	Other goods and services from Federal sources .....	385	385	255
25.7	Operation and maintenance of equipment .....	7	7	7
26.0	Supplies and materials .....	154	154	154
31.0	Equipment .....	22	22	22
41.0	Grants, subsidies, and contributions .....	544	544	544
42.0	Insurance claims and indemnities .....	2	2	2
99.0	Direct obligations .....	3,917	2,706	3,972
99.0	Reimbursable obligations .....	49	49	49
99.9	Total new obligations, unexpired accounts .....	3,966	2,755	4,021

**Employment Summary**

Identification code 012–1115–0–1–302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	11,605	10,688	10,070
2001	Reimbursable civilian full-time equivalent employment .....	41	41	41

**FLAME WILDFIRE SUPPRESSION RESERVE FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 012–1120–0–1–302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100		342	
1120	–301		
1120	–16		
1120	–25		
4180			
4190			
Budget authority, net (total)			
Outlays, net (total)			

In 2010 through 2017, amounts in the FLAME Fund included the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary is authorized to permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event the Forest Service has exhausted its suppression resources due to an active fire season. Since 2017, only emergency-designated funds have been appropriated to the FLAME account, with such funds solely available for repayment of fiscal year 2017 funds previously transferred from other accounts for wildfire suppression.

**RANGE BETTERMENT FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012–5207–0–2–302	2018 actual	2019 est.	2020 est.
0100			1
Receipts:			
Current law:			
1130	4	3	4
2000	4	3	5
Appropriations:			
Current law:			
2101		–2	
2102	–4		
2199	–4	–2	
2999	–4	–2	
5099		1	5

**Program and Financing** (in millions of dollars)

Identification code 012–5207–0–2–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	3	3	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	2	3	2
Budget authority:			
Appropriations, discretionary:			
1101		2	
1102	4		
1160	4	2	
1930	6	5	2
Memorandum (non-add) entries:			
1941	3	2	

**Change in obligated balance:**

Unpaid obligations:			
3000	1		1
3010	3	3	2
3020	–4	–2	–1
3050		1	2
Memorandum (non-add) entries:			
3100	1		1
3200		1	2

**Budget authority and outlays, net:**

Discretionary:			
4000	4	2	
Outlays, gross:			
4010	2	2	
4011	2		1
4020	4	2	1
4180	4	2	
4190	4	2	1

No funding is proposed in the 2020 Budget request for the Range Betterment Fund. Prior fiscal year balances will be used for necessary expenses of range rehabilitation, protection, and improvement of lands on national forests in western States. Fifty percent of fees from permitted grazing domestic livestock, once appropriated, are used to protect and improve rangeland productivity, primarily through revegetation, and construction, reconstruction, and maintenance of rangeland improvements under the authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended. This program emphasizes essential structural and non-structural improvements prescribed in grazing allotment management plans and other project plans as developed according to the National Environmental Policy Act. Treatment of invasive plant species related to permitted livestock use continues to be a priority for non-structural rangeland improvement work.

**Object Classification** (in millions of dollars)

Identification code 012–5207–0–2–302	2018 actual	2019 est.	2020 est.
11.1	1	1	
11.9	1	1	
12.1	1		
25.2	1	2	2
99.0	3	3	2
99.9	3	3	2

**Employment Summary**

Identification code 012–5207–0–2–302	2018 actual	2019 est.	2020 est.
1001	9	10	

**COMMUNICATIONS SITE ADMINISTRATION**

Amounts deposited in the special account established by section 8705(f)(1) of the Agriculture Improvement Act of 2018 (Public Law 115–334) in fiscal year 2019 shall be available to cover the costs described in subsection (c)(3) of such section, and shall remain available until expended: Provided, That such amounts shall be transferred to the "National Forest System" account.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012–5634–0–2–302	2018 actual	2019 est.	2020 est.
0100			
Receipts:			
Current law:			
1130			6
2000			6

Appropriations:			
Current law:			
2101	Communications Site Administration .....		-5
5099	Balance, end of year .....		1

**Program and Financing** (in millions of dollars)

Identification code 012-5634-0-2-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....		5
1120	Appropriations transferred to other acct [012-1106] .....		-5
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

The Agriculture Improvement Act, 2018 authorizes the Forest Service to establish, collect, and retain a new administrative fee to cover costs incurred by the Forest Service to manage communication site uses on National Forest System (NFS) lands. The 2020 Budget request proposes authority to retain and spend up to \$4.5 million in land use administrative fees collected for communication sites on NFS lands to better manage the growing use of Forest Service lands for communications facilities. This proposal supports the Administration's priority of increasing broadband access to rural communities, Department of Agriculture's goal to "Assist Rural Communities to Create Prosperity," and USDA Rural Development's effort to increase broadband access for rural communities. It would also support the Federal Interagency Broadband Opportunity Council's recommendation to promote increased broadband deployment through expanded access to Federal assets, helping service providers obtain the permits necessary to build out broadband networks on Federal lands.

This proposal would allow the Forest Service to better serve its customers, emergency response providers, and visitors to NFS lands by providing expanded telecommunications capabilities, including cellular coverage and broadband access, to rural communities. These expanded capabilities would benefit rural communities and areas where little or no capability currently exists, enable greater coordination in emergency response situations, and increase overall safety for visitors, agency staff, and first responders. The proposal is offset by a reduction to Forest and Rangeland Research for 2020.

**STEWARDSHIP CONTRACTING PRODUCT SALES**

**Program and Financing** (in millions of dollars)

Identification code 012-5540-0-2-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Stewardship contracting .....	17	17	17
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	25	22	23
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	26	22	23
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	24	17	17
1203	Appropriation (previously unavailable) .....	1	2	
1220	Appropriations transferred to other acct [012-1115] .....	-10		
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-1	
1260	Appropriations, mandatory (total) .....	13	18	17
1900	Budget authority (total) .....	13	18	17
1930	Total budgetary resources available .....	39	40	40
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	22	23	23
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	13	17	14
3010	New obligations, unexpired accounts .....	17	17	17

3020	Outlays (gross) .....	-12	-20	-22
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	17	14	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	13	17	14
3200	Obligated balance, end of year .....	17	14	9

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	13	18	17
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	9	8
4101	Outlays from mandatory balances .....	11	11	14
4110	Outlays, gross (total) .....	12	20	22
4180	Budget authority, net (total) .....	13	18	17
4190	Outlays, net (total) .....	12	20	22

**Stewardship Contracting.**—The Forest Service may enter into stewardship agreements or contracts for projects to achieve land management goals and meet local and rural community needs. Stewardship contracting product sales enable the Forest Service to apply the value of timber or other forest products from stewardship sales as an offset against the costs to accomplish land and resource management objectives. If the offset value of timber or other forest products exceeds the value of the resource improvement treatments, those sales receipts are retained and deposited in the Stewardship Contracting Fund, and are available until expended for other authorized stewardship projects. This authority was reauthorized permanently, pursuant to P.L. 113-79, Agricultural Act of 2014. The Consolidated Appropriation Act of 2018 extends the maximum duration of stewardship contracts in areas of great risk of catastrophic fire from 10 years to 20 years, and allows for the obligation of funds to cover contract cancellation or termination costs in stages over multiple years rather than in the first year of the contract. Longer contract periods may expand the industry's capacity to create additional markets for wood products in areas where mills are scarce, and spreading the cancellation ceiling cost over more than one year can expand the Forest Service's ability to use stewardship contracts to actively manage and restore forests.

**Object Classification** (in millions of dollars)

Identification code 012-5540-0-2-302	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	11	11	11
41.0	Grants, subsidies, and contributions .....	5	5	5
99.0	Direct obligations .....	16	16	16
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	17	17	17

**LAND ACQUISITION**

**ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS**

**ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	3	2	4
0198	Rounding adjustment .....	-1		
0199	Balance, start of year .....	2	2	4
Receipts:				
Current law:				
1130	Deposits, Acquisitions of Lands for National Forests, Special Acts .....	1	1	1

LAND ACQUISITION—Continued  
Special and Trust Fund Receipts—Continued

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.
1130 Land Acquisition Proceeds for Exchanges, Acquisition of Lands to Complete Land Exchanges .....	5	3	3
1130 Facility Realignment and Enhancement Receipts, Acquisition of Lands to Complete Land Exchanges .....		1	1
1198 Rounding adjustment .....	-1		
1199 Total current law receipts .....	5	5	5
1999 Total receipts .....	5	5	5
2000 Total: Balances and receipts .....	7	7	9
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Land Acquisition .....		-1	
2101 Land Acquisition .....	-5	-2	-2
2199 Total current law appropriations .....	-5	-3	-2
2999 Total appropriations .....	-5	-3	-2
5099 Balance, end of year .....	2	4	7

Program and Financing (in millions of dollars)

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition (12X5004 LALW) Discretionary .....	42	51	55
0002 Land Facilities Enhancement (12X5216 EXSC/SL) Mandatory .....	4	4	1
0003 Land Acquisition - Special Acts (12Y5208) Discretionary .....	1	1	1
0900 Total new obligations, unexpired accounts .....	47	56	57

Budgetary resources:

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	46	56	82
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	9	32	
1010 Unobligated balance transfer to other accts [012-1115] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	3	14	16
1050 Unobligated balance (total) .....	48	70	98
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation: Land Acquisition (12X5004) and (12-5216) .....	64	64	
1101 Appropriation: Special Acts (12Y5208) .....		1	
1102 Appropriation (previously unavailable) .....	1	1	
1120 Appropriations transferred to other acct [012-1115] .....	-40		
1121 Appropriations transferred from other acct [012-1120] .....	25		
1160 Appropriation, discretionary (total) .....	50	66	
<b>Appropriations, mandatory:</b>			
1201 Appropriation (12X5216 EXSC EXSL) .....	5	2	2
1900 Budget authority (total) .....	55	68	2
1930 Total budgetary resources available .....	103	138	100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	56	82	43
<b>Special and non-revolving trust funds:</b>			
1952 Expired unobligated balance, start of year .....	1	1	1
1953 Expired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	29	
3010 New obligations, unexpired accounts .....	47	56	57
3020 Outlays (gross) .....	-50	-71	-41
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-14	-16
3050 Unpaid obligations, end of year .....	29		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	35	29	
3200 Obligated balance, end of year .....	29		

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	50	66	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	15	50	
4011 Outlays from discretionary balances .....	32		31
4020 Outlays, gross (total) .....	47	50	31

<b>Mandatory:</b>			
4090 Budget authority, gross .....	5	2	2
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	3	21	10
4180 Budget authority, net (total) .....	55	68	2
4190 Outlays, net (total) .....	50	71	41

*Land Acquisition.*—To focus resources on caring for current National Forest System lands, the 2020 Budget does not request funding for land acquisition projects with funds derived from the Land and Water Conservation Fund.

*Acquisition of Lands for National Forests, Special Acts.*—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76-589, 76-591 and 78-310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227-228) authorize appropriations for the purchase of lands within the following national forests: the Cache, Uinta, and Wasatch, in Utah; the Toiyabe, in Nevada; the Angeles, Cleveland, San Bernardino, and Sequoia, in California; and the Ozark and Ouachita, in Arkansas. Appropriations are made from receipts on these national forests. To focus resources on caring for current National Forest System lands, the 2020 Budget does not request funding for Special Acts with funds derived from forest receipts.

*Acquisition of Lands to Complete Land Exchanges.*—Deposits are made by State, county, or municipal governments, public school authorities, or non-Federal parties, and are used to acquire lands for the National Forest System or other authorized purposes. To focus resources on caring for current National Forest System lands, the 2020 Budget does not request funding for Acquisition of Lands to complete land exchanges with funds derived from these deposits.

Object Classification (in millions of dollars)

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	
12.1 Civilian personnel benefits .....	2	2	
25.2 Other services from non-Federal sources .....	7	7	1
25.3 Other goods and services from Federal sources .....	1	1	1
32.0 Land and structures .....	32	41	55
99.0 Direct obligations .....	46	55	57
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	47	56	57

Employment Summary

Identification code 012-9923-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	49	46	
3001 Allocation account civilian full-time equivalent employment .....	30	30	

FOREST SERVICE PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9921-0-2-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	136	239	462
<b>Receipts:</b>			
<b>Current law:</b>			
1130 National Forests Fund .....	67	9	9
1130 National Forests Fund, Payments to States .....	88	88	56
1130 Timber Roads, Purchaser Elections .....	2	2	2
1130 National Forests Fund, Roads and Trails for States .....		17	15
1130 Timber Salvage Sales .....	42	38	38
1130 Deposits, Brush Disposal .....	8	8	8
1130 Rents and Charges for Quarters, Forest Service .....	10	10	10
1130 Timber Sales Pipeline Restoration Fund .....	6	7	6
1130 Recreational Fee Demonstration Program, Forest Service .....	101	65	65
1130 Midewin National Tallgrass Prairie Rental Fees .....	1	1	1
1130 Charges, User Fees, and Natural Resource Utilization, Land between the Lakes, Forest Service .....	5	5	5
1130 Administration of Rights-of-way and Other Land Uses .....	2	2	2
1130 Funds Retained, Stewardship Contracting Product Sales .....	24	17	17

1130	National Grasslands .....	60	21	21
1130	Miscellaneous Special Funds, Forest Service .....	2	11	11
1199	Total current law receipts .....	418	301	266
<b>Proposed:</b>				
1230	Administration of Rights-of-way and Other Land Uses .....			3
1230	Fees, Minerals Cost Recovery .....			60
1299	Total proposed receipts .....			63
1999	Total receipts .....	418	301	329
2000	Total: Balances and receipts .....	554	540	791
<b>Appropriations:</b>				
<b>Current law:</b>				
2101	Stewardship Contracting Product Sales .....	-24	-17	-17
2101	Forest Service Permanent Appropriations .....	-291	-60	-75
2103	Stewardship Contracting Product Sales .....	-1	-2	
2103	Forest Service Permanent Appropriations .....	-14	-13	-9
2132	Stewardship Contracting Product Sales .....	2	1	
2132	Forest Service Permanent Appropriations .....	13	13	
2199	Total current law appropriations .....	-315	-78	-101
2999	Total appropriations .....	-315	-78	-101
5099	Balance, end of year .....	239	462	690

**Program and Financing** (in millions of dollars)

Identification code 012-9921-0-2-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Brush disposal (5206) .....	14	14	14
0002	Restoration of Forest Lands and Improvements (5215) .....	69	69	69
0003	Recreation fee demonstration / enhancement programs (5268) .....	94	94	94
0005	Timber Salvage Sale program (5204) .....	42	42	42
0006	Timber Pipeline Restoration fund (includes forest botanical products) (5264) .....	8	8	8
0008	Midewin Tallgrass Prairie funds (5277) .....	1	1	1
0009	Operation and maintenance of quarters (5219) .....	9	9	9
0010	Land between the lakes management fund (5360) .....	6	6	6
0012	Administration of rights-of-way and other land uses (5361 - URRF, URMN) .....	3	3	3
0013	Secure Rural Schools - National Forest Fund (5201) .....	128	54	54
0014	Secure Rural Schools - transfers from Treasury (1117) .....	122		
0015	Payments to Minnesota (5213) .....	6	6	6
0016	Payments to Counties - National Grasslands (5896) .....	23	28	28
0017	Roads and Trails for States (5203) .....			15
0799	Total direct obligations .....	525	334	349
0801	Admin rights of way - Reimbursable program (5361 - URMJ) .....	5	5	5
0900	Total new obligations, unexpired accounts .....	530	339	354
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	351	218	144
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	25		
1010	Unobligated balance transfer to other accts [012-1115] .....	-61		
1011	Unobligated balance transfer from other acct [014-5110] .....	3		
1021	Recoveries of prior year unpaid obligations .....	6		
1050	Unobligated balance (total) .....	299	218	144
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1130	Appropriations permanently reduced .....		-15	
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	122	216	216
1201	Appropriation (special or trust fund) .....	291	60	75
1203	Appropriation (previously unavailable) .....	14	13	9
1220	Appropriations transferred to other acct [012-1115] .....	-280		
1221	Appropriations transferred from other acct [012-1120] .....	301		
1221	Appropriations transferred from other acct [012-1115] .....	4		
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	-13	
1260	Appropriations, mandatory (total) .....	439	276	300
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	10	4	5
1900	Budget authority (total) .....	449	265	305
1930	Total budgetary resources available .....	748	483	449
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	218	144	95
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	165	180	254
3010	New obligations, unexpired accounts .....	530	339	354

3020	Outlays (gross) .....	-509	-265	-331
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050	Unpaid obligations, end of year .....	180	254	277
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	165	180	254
3200	Obligated balance, end of year .....	180	254	277
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....		-15	
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		-15	
<b>Mandatory:</b>				
4090	Budget authority, gross .....	449	280	305
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	153	169	180
4101	Outlays from mandatory balances .....	356	111	151
4110	Outlays, gross (total) .....	509	280	331
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4123	Non-Federal sources .....	-10	-4	-5
4180	Budget authority, net (total) .....	439	261	300
4190	Outlays, net (total) .....	499	261	326

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	439	261	300
Outlays .....	499	261	326
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			63
Outlays .....			32
<b>Total:</b>			
Budget Authority .....	439	261	363
Outlays .....	499	261	358

**Brush Disposal.**—Funds from payments by purchasers of National Forest timber are used to dispose of or treat slash and other debris resulting from cutting operations (16 U.S.C. 490).

**Restoration of Forest Lands and Improvements.**—Funds from a) forfeiture of deposits and bonds by permittees or timber purchasers for failure to complete performance of improvement, protection, or rehabilitation work required under the permit or timber sale contract; or b) the result of a judgment, compromise, or settlement of any claim, involving present or potential damage to lands or improvements are used for the improvement, protection, or rehabilitation of lands under the administration of the Forest Service (16 U.S.C. 579c).

**Recreation Fees, Forest Service (also referred to as the Federal Lands Recreation Enhancement Fund).**—Fees collected from users of recreation facilities are used to pay for on-the-ground operation, maintenance, and improvement of recreation sites and services to maintain and enhance recreation opportunities, visitor experiences, and related habitat. (16 U.S.C. 6806 et seq.). The Administration proposes a two year extension of the recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on September 30, 2020.

**Timber Purchaser Election Roads Construction.**—Funds from timber receipts are used to construct or reconstruct roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract (16 U.S.C. 472a(i)).

**Timber Salvage Sales.**—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove associated trees for stand improvement (16 U.S.C. 472a(h)).

**Timber Sales Pipeline Restoration Fund.**—Funds are used for the preparation of timber sales and funding the backlog of recreation projects on National Forest System (NFS) lands (16 U.S.C 1611 note).

**Forest Botanical Products.**—Fees are based on the fair market value for the sale of forest botanical products and cover the costs of analyzing, granting, modifying, or administering the authorization for harvesting, including the costs for environmental analyses (16 U.S.C. 528 note). The

## FOREST SERVICE PERMANENT APPROPRIATIONS—Continued

Budget proposes extending the authority for one year, to September 30, 2020.

*Midewin National Tallgrass Prairie funds.*—Funds collected through user and rental fees (Public Law 104–106, Div. B, [Title XXIX, sec. 2915 (b) through (f)], Feb. 10, 1996, 110 Stat. 601) can be used as follows:

*Midewin National Tallgrass Prairie Rental Fees.*—Available receipts from rental fees may be used to cover the cost of ecosystem restoration, prairie improvements, and directly related administrative activities at the Midewin National Tallgrass Prairie.

*Midewin National Tallgrass Prairie Restoration Fund.*—Receipts from grazing fees, agricultural leases for row crops, sales of surplus equipment, and proceeds from the sale of any facilities and improvements can be used to cover the cost of restoration of ecosystems; construction of a visitor center, recreational facilities, trails, an administrative office; prairie improvement; and operations and maintenance.

*Operation and Maintenance of Quarters.*—Quarters rental deductions are collected from employees occupying Forest Service housing facilities and are available for the maintenance and operation of employee-occupied quarters (5 U.S.C. 5911).

*Land Between the Lakes Management Fund.*—Amounts received from charges, user fees and natural resource use on the Land Between the Lakes National Recreation Area (LBNRA) are deposited into this fund and are available for the management of the LBNRA, including salaries, and expenses (16 U.S.C. 4601ll–24) (P.L. 105–277, div. A, Sec. 101(e) [title V, Sec. 524], Oct. 21, 1998, 112 Stat. 2681–315).

*Cost Recovery (Lands Minor Projects, Administrative Rights-of-Way Program), and Cost Recovery (Lands Major Projects, including the Reimbursable Program).*—Fees collected from applicants and holders of special use authorizations are available to pay for processing applications and monitoring compliance with special use authorizations. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82–137; P.L. 66–146; P.L. 94–579; 113 Stat. 1501A–196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110–161; 16 U.S.C. 46016d; 117 Stat. 294–297). This fund also includes:

*Commercial Filming.*—Collection of fees from commercial filming and still photography permits for maintenance of the filming site. (16 U.S.C. 4601–6d) (P.L. 106–206).

*Organizational Camps.*—Collection of land use fees from organizational camps located on National Forest System lands. (16 U.S.C. 6231 et seq.) (P.L. 108–7).

*Minerals Cost Recovery.*—The Forest Service currently has authority under the Independent Offices Appropriations Act (IOAA) to charge cost recovery fees for processing applications for and monitoring compliance with mining locatable mineral Plans of Operations (POOs) and Surface Use Plans of Operations (SUPOs) for oil and gas leases, and other written Forest Service authorizations relating to the Forest Service minerals program disposal of locatable and leasable minerals on NFS lands. To use this authority, without further appropriation the Forest Service needs to issue cost recovery regulations to standardize the fees charged and needs statutory authority to retain and spend the fees collected, without further appropriation, for processing and monitoring POOs, SUPOs, and other written Forest Service authorizations relating to the disposal of locatable and leasable minerals on NFS lands. The Minerals Cost Recovery proposal authorizes the Forest Service to retain and spend new cost recovery fees (to be established through rulemaking) for locatable mineral plans of operations and surface use plans of operations for oil and gas leases, and other written Forest Service authorizations relating to the disposal of locatable and leasable (but not saleable) minerals on all NFS lands. The Forest Service already has the authority to collect these fees but does not currently collect, because there is no authority to retain and spend the fees collected. Under existing law the fees are sent to the Treasury. The proposal caps the amount that may be retained at \$60 million annually.

*Full Cost Recovery for Screening Proposals.*—The Forest Service currently has permanent authority to recover costs for processing special use authorizations under 16 U.S.C. 497e. The 2020 Budget proposes to amend this authority to allow collection of fees at the very beginning of the screening process, as opposed to waiting until an application has been fully screened and accepted for consideration. Currently, Forest Service collects around \$7 million per year, but screening can be very costly depending on the complexity of the project. By recovering costs earlier, the Forest Service would be able to recoup an estimated additional \$1 million to 3 million to support more efficient processing of special use permit proposals.

*Secure Rural Schools and Community Self-Determination Act.*—The Secure Rural Schools act has expired; therefore, authority for payments to States reverts to the original revenue sharing program under the Twenty-five Percent Fund Act of 1908, as amended (16 U.S.C. 500) which requires, with a few exceptions, that 25 percent of all monies received from the national forests during a fiscal year from timber, grazing, special-use permits, power and mineral leases, and admission and user fees be deposited into the National Forest Fund and be paid to the States for public schools and public roads in the counties in which the national forests are located.

*Payment to Minnesota.*—The State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook Lake and St. Louis for distribution to these counties (16 U.S.C. 577g).

*Payments to Counties, National Grasslands.*—This program annually provides 25 percent of net revenues from the use of Title III-Bankhead-Jones Acquired Lands to counties in which Title III-Bankhead-Jones Acquired Lands are located for funding public schools and roads. (7 U.S.C. 1012).

*Roads and Trails (10 Percent) Fund.*—10 percent of all National Forest Fund receipts received by the Forest Service are used to repair or reconstruct roads, bridges, and trails on NFS lands to correct road and trail deficiencies that adversely affect ecosystems. Since FY 2008, Congress has directed that funds becoming available be transferred to Treasury. The 2020 Budget proposes to retain these funds for use as intended on NFS lands, which may include NFS lands in the wildland urban interface where there is an abnormally high risk of fire.

*Licensee Program.*—Funds from fees for the use of characters by private enterprises are collected under regulations promulgated by the Secretary. The licensee program includes Smokey Bear to further the nationwide forest fire prevention campaign (16 U.S.C. 580p(2)) and Woodsy Owl to promote wise use of the environment (16 U.S.C. 580p(1)).

*Quinalt Special Management Area.*—The Forest Service manages the natural resources and distributes proceeds from the sale of forest products in the Quinalt Special Management Area of the Olympic National Forest between the State of Washington (45 percent), the Quinalt Tribe (45 percent) and the Quinalt Special Management Area fund (10 percent) for use by the Olympic National Forest to administer future timber sales. (P.L. 100–638) (102 Stat. 3327).

*Hardwood Technology Transfer and Applied Research Fund.*—Funds collected from leasing the Wood Education and Research Center (WERC) wood shop and rough mill under a special use permit are available for the management and operation of the WERC and the payment of salaries and expenses (P.L. 106–113, div. B, 1000(a)(3) [Title III, 332], Nov. 29, 1999, 113 Stat. 1535, 1501A197).

*Site-specific Lands Acts.*—This program enables the collection of receipts from the sale of National Forest System land pursuant to special acts passed by Congress. The proceeds are used for specific improvements to lands and facilities within the same national forest or State. (16 U.S.C. 484a; P.L. 90–171).

*Public Land Infrastructure Fund.*—Interior and the Forest Service manage an infrastructure asset portfolio with of \$18 billion in deferred maintenance, which includes structures, trails, roads, utility systems, and Bureau of Indian Education (BIE) schools. To address these needs, the Budget includes \$6.5 billion over 5 years for a Public Lands Infrastructure Fund. The Fund will

support infrastructure improvements through an allocation of 70 percent for national parks, 10 percent for national forests, 10 percent for wildlife refuges, 5 percent for BIE schools, and 5 percent for lands managed by the Bureau of Land Management. The Fund will be supported by the deposit of 50 percent of all federal energy development revenue that would otherwise be credited or deposited as miscellaneous receipts to the Treasury over the 2020–2024 period, subject to an annual limit of \$1.3 billion. Interior and Agriculture would prioritize projects, monitor implementation and measure results. This investment will significantly improve many of America's most visible, visited and treasured places.

**Object Classification** (in millions of dollars)

Identification code 012–9921–0–2–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	53	53	53
11.3 Other than full-time permanent .....	16	16	16
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	74	74	74
12.1 Civilian personnel benefits .....	24	24	24
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	78	78	93
25.3 Other goods and services from Federal sources .....	26	26	26
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	12	12	12
31.0 Equipment .....	5	5	5
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	296	105	105
99.0 Direct obligations .....	525	334	349
99.0 Reimbursable obligations .....	5	5	5
99.9 Total new obligations, unexpired accounts .....	530	339	354

**Employment Summary**

Identification code 012–9921–0–2–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,387	1,427	1,384
2001 Reimbursable civilian full-time equivalent employment .....	37	37	37

**FOREST SERVICE PERMANENT APPROPRIATIONS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 012–9921–4–2–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0012 Administration of rights-of-way and other land uses (5361 - URRF, URMN) .....			63
0799 Total direct obligations .....			63
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			63
1900 Budget authority (total) .....			63
1930 Total budgetary resources available .....			63
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			63
3020 Outlays (gross) .....			–32
3050 Unpaid obligations, end of year .....			31
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			31
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			63
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			32
4180 Budget authority, net (total) .....			63

4190 Outlays, net (total) .....	32
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**Object Classification** (in millions of dollars)

Identification code 012–9921–4–2–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....			21
25.3 Other goods and services from Federal sources .....			42
99.0 Direct obligations .....			63
99.9 Total new obligations, unexpired accounts .....			63

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 012–4605–0–4–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working capital fund .....	301	301	301
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	205	201	150
1021 Recoveries of prior year unpaid obligations .....	9		
1050 Unobligated balance (total) .....	214	201	150
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	288	250	250
1930 Total budgetary resources available .....	502	451	400
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	201	150	99
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	104	120
3010 New obligations, unexpired accounts .....	301	301	301
3020 Outlays (gross) .....	–263	–285	–285
3040 Recoveries of prior year unpaid obligations, unexpired .....	–9		
3050 Unpaid obligations, end of year .....	104	120	136
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	75	104	120
3200 Obligated balance, end of year .....	104	120	136
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	288	250	250
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	149	212	212
4011 Outlays from discretionary balances .....	114	73	73
4020 Outlays, gross (total) .....	263	285	285
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–70	–67	–67
4033 Non-Federal sources .....	–218	–183	–183
4040 Offsets against gross budget authority and outlays (total) .....	–288	–250	–250
4080 Outlays, net (discretionary) .....	–25	35	35
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–25	35	35

The Working Capital Fund is a self-sustaining revolving fund that provides services to national forests, research experiment stations, other Federal agencies when necessary, State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs. Forestry-related supply and support services include:

**Equipment Services.**—The Fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units including national forests, research experiment stations, other Forest Service units, and to other federal and non-federal agencies, at rates which recover the cost of operation, repair and maintenance, management, and depreciation. The rental rates include an

WORKING CAPITAL FUND—Continued

incremental charge which, when added to depreciation and residual value, provides sufficient funds to finance equipment replacement costs.

**Aircraft Services.**—The Fund operates, maintains, and repairs Forest Service-owned aircraft used in fire surveillance and suppression, and in other Forest Service programs. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

**Supply Services.**—The Fund operates the following common services, and provides for cost-recovery of Working Capital Fund Program Management: photo reproduction laboratories that store, reproduce, and supply aerial photographs, aerial maps, and other photographs of national forest lands. Photographic reproductions are sold to national forests, research experiment stations, and others at cost. Sign shops manufacture special signs for the national forests for use in regulating traffic and as information to the public and other users of the national forests. These signs are sold to national forests and research experiment stations at cost.

**Nurseries.**—The Fund operates seed supply services that provide tree seeds for direct seeding or sowing in nurseries for the production of trees. Activities include purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. The fund operates in conjunction with forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to national forests, State foresters, and other cooperators at cost.

A proposed administrative provisions change would allow the Forest Service to exceed the \$82 million cap on contributions to the Department's Working Capital Fund for IT activities.

Object Classification (in millions of dollars)

Identification code 012-4605-0-4-302	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	38	38	38
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	42	42	42
12.1 Civilian personnel benefits .....	15	15	15
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	11	11	11
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	22	22	22
25.3 Other goods and services from Federal sources .....	6	6	6
25.7 Operation and maintenance of equipment .....	37	37	37
26.0 Supplies and materials .....	46	46	46
31.0 Equipment .....	120	120	120
99.9 Total new obligations, unexpired accounts .....	301	301	301

Employment Summary

Identification code 012-4605-0-4-302	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	613	613	613

Trust Funds

FOREST SERVICE TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9974-0-7-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	6	5	10
0198 Rounding adjustment .....	-1		
0199 Balance, start of year .....	5	5	10
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Transfers from General Fund of Amounts Equal to Certain Customs Duties, Reforestation Trust Fund .....	30	30	30
1130 Forest Service Cooperative Fund .....	224	67	68

1199 Total current law receipts .....	254	97	98
1999 Total receipts .....	254	97	98
2000 Total: Balances and receipts .....	259	102	108
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Forest Service Trust Funds .....	-254	-91	-91
2103 Forest Service Trust Funds .....	-6	-6	-6
2132 Forest Service Trust Funds .....	6	5	
2199 Total current law appropriations .....	-254	-92	-97
2999 Total appropriations .....	-254	-92	-97
5099 Balance, end of year .....	5	10	11

Program and Financing (in millions of dollars)

Identification code 012-9974-0-7-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Cooperative work trust fund (8028 - CWVK/K2) .....	317	88	93
0002 Cooperative work advance payments (8028 - CWF2) .....	15	15	5
0003 Reforestation trust fund (8046 - RTRT) .....	30	27	17
0799 Total direct obligations .....	362	130	115
0801 Reimbursable program-coop work other (8028 - CWFS) .....	25	28	28
0900 Total new obligations, unexpired accounts .....	387	158	143
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	184	82	31
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	189	82	31
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	254	91	91
1203 Appropriation (previously unavailable) .....	6	6	6
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-6	-5	
1260 Appropriations, mandatory (total) .....	254	92	97
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected (CWFS) .....	26	15	15
1900 Budget authority (total) .....	280	107	112
1930 Total budgetary resources available .....	469	189	143
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	82	31	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	65	71	51
3010 New obligations, unexpired accounts .....	387	158	143
3020 Outlays (gross) .....	-376	-178	-108
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	71	51	86
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	65	71	51
3200 Obligated balance, end of year .....	71	51	86
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	280	107	112
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	82	58	61
4101 Outlays from mandatory balances .....	294	120	47
4110 Outlays, gross (total) .....	376	178	108
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-26	-15	-15
4180 Budget authority, net (total) .....	254	92	97
4190 Outlays, net (total) .....	350	163	93
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	6	6	6
5001 Total investments, EOY: Federal securities: Par value .....	6	6	6

**Cooperative Work Trust Fund-Knutson Vandenberg.**—This fund receives deposits from purchasers of timber, are received and used for specified work in forest investigations, and for protection, and improvement of the National Forest System, including protection, reforestation, and adminis-

tration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

**Cooperative Work Trust Fund-Advanced Payments (Non-Agreement Based).**—This fund receives deposits from partners and cooperators for protecting and improving resources of the National Forest System as authorized by permits or sale contracts. Within this fund, deposits from multiple contributors can be pooled to support a wide variety of activities that benefit programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 572 and 31 U.S.C. 1321.

**Cooperative Work Trust Fund-Reimbursable Program (Agreement Based).**—This fund receives deposits from partners and cooperators to protect and improve resources of the National Forest System as authorized by cooperative agreements. Deposited funds support a wide variety of activities that benefit and support programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 498, 16 U.S.C. 532–537, and 31 U.S.C. 1321.

**Reforestation Trust Fund.**— This fund receives transfers from the General Fund of the Treasury to fund reforestation and timber stand improvement (16 U.S.C. 1606a(d)).

**Land Between the Lakes Trust Fund.**—Interest earned from funds transferred by the Tennessee Valley Authority is available for public education, grants, recreation internships, conservation and multiple-use management of the Land Between the Lakes. Annual trust fund earnings and program expenditures are less than \$1 million (16 U.S.C. 460III–31).

for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein pursuant to 7 U.S.C. 428a; (5) expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901–5902; and (7) debt collection contracts in accordance with 31 U.S.C. 3718(c).

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, and emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction due to severe burning conditions upon the Secretary's notification of the House and Senate Committees on Appropriations that all fire suppression funds appropriated under the heading "Wildland Fire Management" will be obligated within 30 days.

Funds appropriated to the Forest Service by this Act, except for funds appropriated as additional new budget authority as specified for purposes of section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 U.S.C. 251(b)(2)(F)), may be transferred to or within the "National Forest System" account for the purposes of hazardous fuels management and emergency rehabilitation of burned-over National Forest System lands and water: Provided, That such transferred funds shall remain available through September 30, 2023: Provided further, That none of the funds transferred pursuant to this section shall be available for obligation without written notification to the Committees on Appropriations of both Houses of Congress.

Funds appropriated to the Forest Service shall be available for assistance to or through the U.S. Agency for International Development in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with U.S., private, and international organizations. The Forest Service, acting for the International Program, may sign direct funding agreements with foreign governments and institutions as well as other domestic agencies (including the U.S. Agency for International Development, the Department of State, and the Millennium Challenge Corporation), U.S. private sector firms, institutions and organizations to provide technical assistance and training programs overseas on forestry and rangeland management.

Funds appropriated to the Forest Service shall be available for expenditure or transfer to the Department of the Interior, Bureau of Land Management, for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands, and for the performance of cadastral surveys to designate the boundaries of such lands.

None of the funds made available to the Forest Service in this Act or any other Act with respect to any fiscal year shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257), section 442 of Public Law 106–224 (7 U.S.C. 7772), or section 10417(b) of Public Law 107–171 (7 U.S.C. 8316(b)).

None of the funds available to the Forest Service may be reprogrammed without advance notification to the House and Senate Committees on Appropriations in accordance with the reprogramming procedures contained in the explanatory statement accompanying this Act.

Not more than \$82,000,000 of funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture and not more than \$14,500,000 of funds available to the Forest Service shall be transferred to the Department of Agriculture for Department Reimbursable Programs, commonly referred to as Greenbook charges. Nothing in this paragraph shall prohibit or limit the use of reimbursable agreements requested by the Forest Service in order to obtain information technology services from the Department of Agriculture financed by the Department's Working Capital Fund, including telecommunications and system modifications or enhancements.

Of the funds available to the Forest Service, up to \$5,000,000 shall be available for priority projects within the scope of the approved budget, which shall be carried out by the Youth Conservation Corps and shall be carried out under the authority of the Public Lands Corps Act of 1993, Public Law 103–82, as amended by Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109–154.

Of the funds available to the Forest Service, \$4,000 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101–593, of the funds available to the Forest Service, up to \$3,000,000 may be advanced in a lump sum to the National Forest Foundation to aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That of the Federal funds made available to the Foundation, no more than \$300,000 shall be available for administrative expenses: Provided further, That the Foundation shall obtain, by the end of the period

**Object Classification** (in millions of dollars)

Identification code 012–9974–0–7–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	29	29	29
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	35	35	35
12.1 Civilian personnel benefits .....	13	13	13
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	43	38	33
25.3 Other goods and services from Federal sources .....	9	9	9
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	9	9	9
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	3	3	3
41.0 Grants, subsidies, and contributions .....	243	16	6
99.0 Direct obligations .....	362	130	115
99.0 Reimbursable obligations .....	25	28	28
99.9 Total new obligations, unexpired accounts .....	387	158	143

**Employment Summary**

Identification code 012–9974–0–7–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	541	688	688
2001 Reimbursable civilian full-time equivalent employment .....	146	146	146

**ADMINISTRATIVE PROVISIONS—FOREST SERVICE**

(INCLUDING TRANSFERS OF FUNDS)

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of passenger motor vehicles; acquisition of passenger motor vehicles from excess sources, and hire of such vehicles; purchase, lease, operation, maintenance, and acquisition of aircraft to maintain the operable fleet for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000

of Federal financial assistance, private contributions to match funds made available by the Forest Service on at least a one-for-one basis: Provided further, That the Foundation may transfer Federal funds to a Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Pursuant to section 2(b)(2) of Public Law 98–244, up to \$3,000,000 of the funds available to the Forest Service may be advanced to the National Fish and Wildlife Foundation in a lump sum to aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: Provided, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients: Provided further, That the Foundation may transfer Federal funds to a Federal or non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities and natural resource-based businesses for sustainable rural development purposes.

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to section 14(c)(1) and (2), and section 16(a)(2) of Public Law 99–663.

Any funds appropriated to the Forest Service may be used to meet the non-Federal share requirement in section 502(c) of the Older Americans Act of 1965 (42 U.S.C. 3056(c)(2)).

Notwithstanding any other provision of law, of any appropriations or funds available to the Forest Service, not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar matters unrelated to civil litigation. Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the sums requested for transfer.

An eligible individual who is employed in any project funded under title V of the Older Americans Act of 1965 (42 U.S.C. 3056 et seq.) and administered by the Forest Service shall be considered to be a Federal employee for purposes of chapter 171 of title 28, United States Code.

012-271030	Rural Development Loans, Downward Reestimates of Subsidies .....	2	5	.....
012-271130	Rural Telephone Bank Loans, Downward Reestimates of Subsidies .....		1	.....
012-271330	Economic Development Loans, Downward Reestimates of Subsidies .....	2	2	.....
012-274630	Downward Reestimates, Distance Learning, Telemedicine, and Broadband Program .....	56	13	.....
012-275610	Negative Subsidies, Farm Storage Facility Loans .....	3	4	4
012-275630	Farm Storage Facility Loans, Downward Reestimate of Subsidies .....	3	1	.....
012-275730	Commodity Credit Corporation Export Guarantee Financing, Downward Reestimate of Subsidies .....	3	16	.....
012-277930	Multifamily Housing Revitalization Fund, Downward Reestimates of Subsidies .....	21	9	.....
012-278630	Rural Energy for America Program, Downward Reestimates of Subsidies .....	8	11	.....
012-279310	Commodity Credit Corporation Export Guarantee Financing, Negative Subsidies .....	5	28	26
012-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	-17	5	5
General Fund Offsetting receipts from the public .....		1,969	2,164	645
Intragovernmental payments:				
012-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	15	.....	.....
General Fund Intragovernmental payments .....		15	.....	.....

### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Governmental receipts:			
012-031200	Deposit of 30 Percent of Customs Duties: Legislative proposal, subject to PAYGO .....		15,123
General Fund Governmental receipts .....			15,123
Offsetting receipts from the public:			
012-181100	National Grasslands .....	75	63
012-222100	National Forest Fund .....	19	75
012-249500	Packers and Stockyards Fees: Legislative proposal, subject to PAYGO .....		25
012-249600	Animal and Plant Health Inspection Fees: Legislative proposal, subject to PAYGO .....		22
012-267530	Biorefinery Assistance, Downward Reestimates of Subsidies .....		18
012-268030	Rural Microenterprise Investment, Downward Reestimate of Subsidy .....		3
012-270110	Agriculture Credit Insurance, Negative Subsidies .....	41	36
012-270130	Agriculture Credit Insurance, Downward Reestimates of Subsidies .....	137	137
012-270210	Rural Electrification and Telephone Loans, Negative Subsidies .....	165	165
012-270230	Rural Electrification and Telephone Loans, Downward Reestimates of Subsidies .....	282	700
012-270310	Rural Water and Waste Disposal, Negative Subsidies .....	2	3
012-270330	Rural Water and Waste Disposal, Downward Reestimates of Subsidies .....	32	11
012-270510	Rural Community Facility, Negative Subsidies .....	107	107
012-270530	Rural Community Facility, Downward Reestimates of Subsidies .....	225	43
012-270610	Rural Housing Insurance, Negative Subsidies .....	131	131
012-270630	Rural Housing Insurance, Downward Reestimates of Subsidies .....	491	471
012-270730	Rural Business and Industry, Downward Reestimates of Subsidies .....	160	84
012-270830	P.L. 480 Loan Program, Downward Reestimates of Subsidies .....	16	22

### TITLE VII—GENERAL PROVISIONS

#### GENERAL PROVISIONS

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

SEC. 701. Notwithstanding sections 1535(b) or 1535(d) of Title 31, United States Code, work performed by the Working Capital Fund for other Federal entities on an advance or reimbursable basis shall be charged at rates which will return in full all expenses of operation of the Fund, including accrued leave, amortization of Fund plant and equipment, amortization of information technology (IT) software and systems (either acquired or donated) and an amount necessary to maintain a reasonable operating reserve, as determined by the Secretary: Provided, That notwithstanding any other provision of this Act, the Secretary of Agriculture may transfer unobligated balances of discretionary funds appropriated by this Act or any other available unobligated discretionary balances that are remaining available to the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment, non-capital equipment, and the services to carry out these functions necessary for the delivery of financial, administrative, and information technology services, including cloud adoption and migration, of primary benefit to the agencies of the Department of Agriculture, and such transferred funds shall remain available until expended: Provided further, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior approval of the agency administrator: Provided further, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without the prior notification to the Committees on Appropriations of both Houses of Congress: Provided further, That an amount not to exceed four percent of the total annual income to the Working Capital Fund for fiscal year 2020 may be retained in the Fund for fiscal year 2020, to remain available until expended, to be used for the acquisition of capital equipment, and for the improvement and implementation of Department financial management, IT, and other support systems or to pay any unforeseen, extraordinary cost of the National Finance Center: Provided further, That none of the amounts reserved shall be available for obligation unless the Secretary submits notification of the obligation to the Committees on Appropriations of both Houses of Congress: Provided further, That the limitation on the obligation of funds pending notification to Congressional Committees shall not apply to any obligation that, as determined by the Secretary, is necessary to respond to a declared state of emergency that significantly impacts the operations of the National Finance Center; or to evacuate employees of the National Finance Center to a safe haven to continue operations of the National Finance Center.

SEC. 702. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 703. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties.

This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 704. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year for the Rural Electrification and Telecommunication Loans program account.

SEC. 705. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: Provided, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer unless notification has been transmitted to the Committees on Appropriations of both Houses of Congress: Provided further, That, notwithstanding section 11319 of title 40, United States Code, none of the funds available to the Department of Agriculture for information technology shall be obligated for projects, contracts, or other agreements over \$25,000 prior to receipt of written approval by the Chief Information Officer: Provided further, That the Chief Information Officer may authorize an agency to obligate funds without written approval from the Chief Information Officer for projects, contracts, or other agreements up to \$250,000 based upon the performance of an agency measured against the performance plan requirements.

SEC. 706. None of the funds appropriated or otherwise made available by this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. 707. In the case of each program established or amended by the Agricultural Act of 2014 (Public Law 113–79) or by a successor Act, other than by title I or subtitle A of title III of such Act, or programs for which indefinite amounts were provided in that Act, that is authorized or required to be carried out using funds of the Commodity Credit Corporation—

(1) such funds shall be available for salaries and related administrative expenses, including technical assistance, associated with the implementation of the program, without regard to the limitation on the total amount of allotments and fund transfers contained in section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i); and

(2) the use of such funds for such purpose shall not be considered to be a fund transfer or allotment for purposes of applying the limitation on the total amount of allotments and fund transfers contained in such section.

SEC. 708. Of the funds made available by this Act, not more than \$2,900,000 shall be used to cover necessary expenses of activities related to all Federal Advisory Committee Act committees of the Department of Agriculture.

SEC. 709. For loans and loan guarantees that do not require budget authority and the program level has been established in this Act, the Secretary of Agriculture may increase the program level for such loans and loan guarantees by not more than 25 percent: Provided, That prior to the Secretary implementing such an increase, the Secretary notifies, in writing, the Committees on Appropriations of both Houses of Congress at least 15 days in advance.

SEC. 710. None of the funds made available by this Act may be used to notify a sponsor or otherwise acknowledge receipt of a submission for an exemption for investigational use of a drug or biological product under section 505(i) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(i)) or section 351(a)(3) of the Public Health Service Act (42 U.S.C. 262(a)(3)) in research in which a human embryo is intentionally created or modified to include a heritable genetic modification. Any such submission shall be deemed to have not been received by the Secretary, and the exemption may not go into effect.

SEC. 711. None of the funds made available by this Act or any other Act may be used—

(1) in contravention of section 7606 of the Agricultural Act of 2014 (7 U.S.C. 5940); or

(2) to prohibit the transportation, processing, sale, or use of industrial hemp that is grown or cultivated in accordance with subsection section 7606 of the Agricultural Act of 2014, within or outside the State in which the industrial hemp is grown or cultivated.

SEC. 712. Except as otherwise specifically provided by law, unobligated balances from appropriations made available for salaries and expenses in this Act for the Farm Service Agency and the Rural Development mission area shall remain available through September 30, 2021, for information technology expenses.

SEC. 713. Of the unobligated balances of amounts made available in fiscal year 2019 for the supplemental nutrition program as authorized by section 17 of the

Child Nutrition Act of 1966 (42 U.S.C. 1786), \$1,000,000,000 are hereby permanently cancelled.

SEC. 714. INCREASE IN EXPORT CERTIFICATION FEES.—Section 801(e)(4) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 381(e)(4)) is amended—

(a) in subparagraph (B) by striking "but shall not exceed \$175 for each certification" and inserting "in an amount specified in subparagraph (E)"; and

(b) by adding at the end the following new subparagraphs:

"(E) The fee for each written export certification issued by the Secretary under this paragraph shall not exceed—

(i) \$600 for fiscal year 2020; and

(ii) for each subsequent fiscal year, the prior fiscal year maximum amount multiplied by the inflation adjustment under section 738(c)(2)(C), applied without regard to the limitation in clause (ii)(II) of such subparagraph.

(F) The Secretary shall, for each fiscal year, publish in the Federal Register a notice of the export certification fee under this paragraph for such year, not later than 60 days before such fee takes effect."

SEC. 715. Of the unobligated balances identified by the Treasury Appropriation Fund Symbol 12X1980, \$100,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 716. Of the unobligated balances identified by the Treasury Appropriation Fund Symbol 12X1951, \$4,200,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 717. Notwithstanding section 343(a)(13)(C) of the Consolidated Farm and Rural Development Act, for the purpose of water and waste disposal direct loans provided under paragraph (1) of section 306(a) of such Act, the terms "rural" and "rural areas" mean a city, town, or unincorporated area that has a population of no more than 20,000 inhabitants.

SEC. 718. Of the unobligated balances identified by the Treasury Appropriation Fund Symbol 12X2900, \$8,800,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 719. In addition to amounts otherwise made available for Agricultural Quarantine and Inspection activities, the Animal and Plant Health Inspection Service is authorized to collect fees for the Agricultural Quarantine and Inspection predeparture services for traveler baggage and means of conveyance (as defined in 7 U.S.C. 7702) between Hawaii or Puerto Rico and the mainland United States: Provided, That such fees shall be credited to the "Animal and Plant Health Inspection Service—Salaries and Expenses" account, and shall remain available until expended for the Agricultural Quarantine and Inspection predeparture services described in the matter preceding this proviso: Provided further, That funds made available to the "Animal and Plant Health Inspection Service—Salaries and Expenses" account in fiscal year 2020 shall also be available for such services.

SEC. 720. None of the funds made available in this Act may be used to pay the salaries or expenses of personnel:

(a) to inspect horses under section 3 of the Federal Meat Inspection Act (21 U.S.C. 603);

(b) to inspect horses under section 903 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 1901 note; Public Law 104–127); or

(c) to implement or enforce section 352.19 of title 9, Code of Federal Regulations, or any successor regulation.

SEC. 721. The Secretary may use any appropriations made available to the Department of Agriculture in this Act to purchase new passenger motor vehicles, in addition to specific appropriations for this purpose, so long as the total number of vehicles purchased does not exceed the number of vehicles owned or leased in fiscal year 2018: Provided, That, prior to purchasing additional motor vehicles, the Secretary must determine that such vehicles are necessary for transportation safety, to reduce operational costs, and for the protection of life, property, and public safety: Provided further, That the Secretary may not increase the Department of Agriculture's fleet prior to providing written notification to the Committees on Appropriations of both Houses of Congress.

SEC. 722. Any funds made available by this or any other Act that the Secretary withholds pursuant to section 1668(g)(2) of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 5921(g)(2)), as amended, shall be available for

grants for biotechnology risk assessment research: Provided, That the Secretary may transfer such funds to appropriations of the Department of Agriculture.

SEC. 723. (a) None of the funds provided by this Act, or provided by previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming, transfer of funds, or reimbursements as authorized by the Economy Act, or in the case of the Department of Agriculture, through use of the authority provided by section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257) or section 8 of Public Law 89–106 (7 U.S.C. 2263), that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) reorganizes offices, programs, or activities; or
- (6) contracts out or privatizes any functions or activities presently performed by Federal employees; unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services (as the case may be) notifies the Committees on Appropriations of both Houses of Congress in writing at least 30 days in advance of the reprogramming of such funds or the use of such authority.

(b) None of the funds provided by this Act, or provided by previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming or use of the authorities referred to in subsection (a) involving funds in excess of \$500,000 or 10 percent, whichever is less, that—

- (1) augments existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or
- (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress; unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services (as the case may be) notifies the Committees on Appropriations of both Houses of Congress in writing at least 30 days in advance of the reprogramming or transfer of such funds or the use of such authority.

(c) The Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services shall notify the Committees on Appropriations of both Houses of Congress in writing before implementing any program or activity not carried out during the previous fiscal year unless the program or activity is funded by this or any other Act.

(d) None of the funds provided by this Act, or provided by previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for—

- (1) modifying major capital investments funding levels, including information technology systems, that involves increasing or decreasing funds in the current fiscal year for the individual investment in excess of \$500,000 or 10 percent of the total cost based on the baseline established in the business cases produced in association with the annual President's Budget, whichever is less;
- (2) realigning or reorganizing new, current, or vacant positions or agency activities or functions to establish a center, office, branch, or similar entity with 30 or more personnel; or

(3) carrying out activities or functions that were not described in the budget request; unless the agencies funded by this Act notify, in writing, the Committees on Appropriations of both Houses of Congress at least 30 days in advance of using the funds for these purposes.

(e) As described in this section, no funds may be used for any activities unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services receives from the Committee on Appropriations of both Houses of Congress written or electronic mail confirmation of receipt of the notification as required in this section.

SEC. 724. None of the credit card refunds or rebates transferred to the Working Capital Fund pursuant to section 729 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002 (7 U.S.C. 2235a note) shall be available for obligation until the Secretary provides written notification to the Committees on Appropriations of both Houses of Congress: Provided, That such refunds or rebates shall only be available for the acquisition of plant and capital equipment, non-capital equipment, and the services to carry out these functions necessary for the delivery of financial, administrative, and information technology services, including cloud adoption and migration, that primarily benefit the agencies of the Department of Agriculture.

SEC. 725. (a) The Secretary of Homeland Security shall transfer up to 50 full-time equivalent positions from Manhattan, Kansas and Plum Island, New York to the Secretary of Agriculture for employment at the National Bio and Agro-Defense facility in Manhattan, Kansas: Provided, That such transfer shall be completed no later than 30 days after the closure of the Plum Island Animal Disease Facility.

(b) Each fiscal year during fiscal years 2020 through 2025, the Administrators of the Agricultural Research Service and the Animal Plant Health Inspection Service may appoint up to 50 employees at such agencies to the National Bio- and Agro-Defense Facility in Manhattan, Kansas: Provided, That such appointments may be made pursuant to the authority provided by 7 U.S.C. 7657(b)(4): Provided further, That such appointments may be made at a rate of basic pay that exceeds the rate payable for such positions under the General Schedule or other applicable schedule, as appropriate, but such rate may not be greater than the rate payable for a position at level I of the Executive Schedule unless the rate is approved by the President pursuant to 5 U.S.C. 5377(d)(2).

SEC. 726. The National Bio and Agro-Defense Facility shall be transferred without reimbursement from the Secretary of Homeland Security to the Secretary of Agriculture.

SEC. 727. Notwithstanding section 310B(g)(5) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932(g)(5)), the Secretary may assess a one-time fee for any guaranteed business and industry loan in an amount that does not exceed 3 percent of the guaranteed principal portion of the loan in accordance with section 502(7) of the Congressional Budget Act of 1974.

SEC. 728. All the unobligated balances currently in Treasury Appropriation Fund Symbol 12X2002 from funds provided for Rural Rental Assistance Payments for new construction in Public Laws 98–151 and 98–473 are hereby permanently cancelled: Provided, That any recoveries from such funds in this or subsequent fiscal years shall be permanently cancelled.

SEC. 729. Of the unobligated balances identified by the Treasury Appropriation Fund Symbol 012 2019–2020 0137, \$40,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 730. Section 2 of the Rural Electrification Act of 1936 (7 U.S.C. 902) is amended in subsection (a) by striking "made by the Secretary" and inserting "made or guaranteed by the Secretary".

# DEPARTMENT OF COMMERCE

## DEPARTMENTAL MANAGEMENT

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the management of the Department of Commerce provided for by law, including not to exceed \$4,500 for official reception and representation, \$79,107,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 013–0120–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Operations and Administration .....	68	63	79
0801 Salaries and Expenses (Reimbursable) .....	95	108	110
0900 Total new obligations, unexpired accounts .....	163	171	189
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072–0306] ....	6		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	63	63	79
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	86	108	110
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	95	108	110
1900 Budget authority (total) .....	158	171	189
1930 Total budgetary resources available .....	164	171	189
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	36	18
3010 New obligations, unexpired accounts .....	163	171	189
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	-153	-189	-198
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	36	18	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-23	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	22		
3090 Uncollected pymts, Fed sources, end of year .....	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	26	8
3200 Obligated balance, end of year .....	26	8	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	158	171	189
Outlays, gross:			
4010 Outlays from new discretionary authority .....	134	163	180
4011 Outlays from discretionary balances .....	19	26	18
4020 Outlays, gross (total) .....	153	189	198
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-101	-108	-110
4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) ....	-106	-108	-110
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	20		
4060 Additional offsets against budget authority only (total) .....	11		
4070 Budget authority, net (discretionary) .....	63	63	79
4080 Outlays, net (discretionary) .....	47	81	88
4180 Budget authority, net (total) .....	63	63	79
4190 Outlays, net (total) .....	47	81	88

The Salaries and Expenses account funds Operations and Administration, which provides policy oversight and oversees day-to-day operations of the Department.

*Reimbursable program.*—Provides a centralized collection source for special tasks or costs and their billing to users.

#### Object Classification (in millions of dollars)

Identification code 013–0120–0–1–376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	22	27	28
12.1 Civilian personnel benefits .....	7	9	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	2
25.2 Other services from non-Federal sources .....	15	4	6
25.3 Other goods and services from Federal sources .....	16	16	26
31.0 Equipment .....	2	1	2
99.0 Direct obligations .....	68	63	79
99.0 Reimbursable obligations .....	95	108	110
99.9 Total new obligations, unexpired accounts .....	163	171	189

#### Employment Summary

Identification code 013–0120–0–1–376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	191	192	206
2001 Reimbursable civilian full-time equivalent employment .....	71	70	70

### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$33,043,000: Provided, That notwithstanding section 6413 of the Middle Class Tax Relief and Job Creation Act of 2012 (Public Law 112–96), an additional \$2,000,000, to remain available until expended, shall be derived from the Public Safety Trust Fund for activities associated with carrying out investigations and audits related to the First Responder Network Authority (FirstNet).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 013–0126–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General (Direct) .....	37	41	42
0801 Office of the Inspector General (Reimbursable) .....	3	3	3
0809 Reimbursable program activities, subtotal .....	3	3	3
0900 Total new obligations, unexpired accounts .....	40	44	45
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32	32	33
1121 Appropriations transferred from other acct [013–1460] ....	1	1	1
1121 Appropriations transferred from other acct [013–0450] ....	3	3	4
1121 Appropriations transferred from other acct [013–2050] ....	1		
1160 Appropriation, discretionary (total) .....	37	36	38
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
1700 Collected (PSTF Transfer) .....	2		
1711 Spending authority from offsetting collections transferred from other accounts [013–1006] .....	1	2	2
1750 Spending auth from offsetting collections, disc (total) .....	4	7	7
1900 Budget authority (total) .....	41	43	45
1930 Total budgetary resources available .....	47	49	50

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 013-0126-0-1-376	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	6	5	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	2
3010 New obligations, unexpired accounts .....	40	44	45
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-39	-50	-47
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	8	2	
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5	7	1
3200 Obligated balance, end of year .....	7	1	-1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	41	43	45
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	30	39	41
4011 Outlays from discretionary balances .....	9	11	6
4020 Outlays, gross (total) .....	39	50	47
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3	-3	-3
4030 Federal sources (PSTF Transfer) .....		-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-3	-5	-5
4070 Budget authority, net (discretionary) .....	38	38	40
4080 Outlays, net (discretionary) .....	36	45	42
4180 Budget authority, net (total) .....	38	38	40
4190 Outlays, net (total) .....	36	45	42

The Office of Inspector General promotes efficient and effective programs across the Department of Commerce through various analyses of bureau and Departmental programs and activities. It also endeavors to prevent waste, fraud, and abuse through audits, inspections, and investigations related to Department of Commerce programs. The Budget proposes to transfer \$2 million from the Public Safety Trust Fund to support Office of Inspector General oversight of the First Responder Network Authority.

**Object Classification** (in millions of dollars)

Identification code 013-0126-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	19	21	22
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services from non-Federal sources .....	3	4	4
25.3 Other goods and services from Federal sources .....	5	4	4
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	37	41	42
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	40	44	45

**Employment Summary**

Identification code 013-0126-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	154	183	183

RENOVATION AND MODERNIZATION

For necessary expenses for the renovation and modernization of Department of Commerce facilities, \$1,100,000, to remain available until expended: Provided, That unobligated balances of available discretionary funds appropriated for the Department of Commerce in this Act or previous appropriations Acts may be transferred to, and merged with, this account: Provided further, That any such funds appropriated in prior appropriations Acts transferred pursuant to the authority in the preceding proviso shall retain the same period of availability as when originally appropriated: Provided further, That the transfer authority provided in the first proviso is in addition to any other transfer authority contained in this Act: Provided further, That no amounts may be transferred from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency or disaster relief requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That any transfer pursuant to the authority provided under this heading shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0123-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 HCHB Renovation and Modernization (Direct) .....	2	49	1
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	42	38
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	45	45	1
1120 Appropriations transferred to other acct [013-0300] .....	-2		
1160 Appropriation, discretionary (total) .....	43	45	1
1930 Total budgetary resources available .....	44	87	39
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	42	38	38
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	7	45
3010 New obligations, unexpired accounts .....	2	49	1
3020 Outlays (gross) .....	-13	-11	-46
3050 Unpaid obligations, end of year .....	7	45	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	18	7	45
3200 Obligated balance, end of year .....	7	45	
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	43	45	1
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	4	1
4011 Outlays from discretionary balances .....	12	7	45
4020 Outlays, gross (total) .....	13	11	46
4180 Budget authority, net (total) .....	43	45	1
4190 Outlays, net (total) .....	13	11	46

This account funds the Commerce Department's portion of expenses associated with renovating and modernizing the Herbert C. Hoover Building (HCHB). The renovation and modernization will upgrade infrastructure, modernize tenant spaces, remove safety hazards, and improve energy efficiency as Commerce optimizes utilization of HCHB space. The General Services Administration and Commerce are each responsible for certain aspects of the project's costs.

**Object Classification** (in millions of dollars)

Identification code 013-0123-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1

25.2	Other services from non-Federal sources .....	1	48	.....
99.9	Total new obligations, unexpired accounts .....	2	49	1

**Employment Summary**

Identification code 013-0123-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND**

For carrying out the activities described in section 1077(b)(3) of division A of the National Defense Authorization Act for Fiscal Year 2018, \$22,000,000, to remain available until September 30, 2022: Provided, That section 1077(b)(6)(B) of such Act shall not apply to funds provided under this heading or transferred to this account in this or any future fiscal year.

**Program and Financing** (in millions of dollars)

Identification code 013-1159-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and Administration .....			22
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			22
1930 Total budgetary resources available .....			22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			22
3020 Outlays (gross) .....			-18
3050 Unpaid obligations, end of year .....			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			22
Outlays, gross:			
4010 Outlays from new discretionary authority .....			18
4180 Budget authority, net (total) .....			22
4190 Outlays, net (total) .....			18

The Information Technology System Modernization and Working Capital Fund account funds Department of Commerce implementation of technology modernization projects.

The Budget proposes \$22 million to begin modernizing the Department of Commerce's financial management systems.

**Object Classification** (in millions of dollars)

Identification code 013-1159-0-1-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
12.1 Civilian personnel benefits .....			1
25.2 Other services from non-Federal sources .....			10
25.3 Other goods and services from Federal sources .....			10
99.9 Total new obligations, unexpired accounts .....			22

**Employment Summary**

Identification code 013-1159-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			9

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 013-4511-0-4-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0803 Operations and Administration .....	237	248	250
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	11	1
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	19	11	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	229	238	250
1930 Total budgetary resources available .....	248	249	251
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	76	92	
3010 New obligations, unexpired accounts .....	237	248	250
3020 Outlays (gross) .....	-215	-340	-250
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	92		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	76	92	
3200 Obligated balance, end of year .....	92		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	229	238	250
Outlays, gross:			
4010 Outlays from new discretionary authority .....	159	238	250
4011 Outlays from discretionary balances .....	56	102	
4020 Outlays, gross (total) .....	215	340	250
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-229	-238	-250
4040 Offsets against gross budget authority and outlays (total) ....	-229	-238	-250
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-14	102	

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently performed on a centralized basis, including general counsel, information technology, enterprise services, human resources, financial, procurement, and security services.

**Object Classification** (in millions of dollars)

Identification code 013-4511-0-4-376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	68	78	79
12.1 Civilian personnel benefits .....	22	25	28
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services from non-Federal sources .....	71	86	83
25.3 Other goods and services from Federal sources .....	46	42	43
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	16	3	2
99.9 Total new obligations, unexpired accounts .....	237	248	250

**Employment Summary**

Identification code 013-4511-0-4-376	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	503	532	539

CONCRETE MASONRY PRODUCTS BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5603-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Concrete Masonry Products Assessments, Available			4
1110 Concrete Masonry Products Assessments, Unavailable			2
1199 Total current law receipts			6
1999 Total receipts			6
2000 Total: Balances and receipts			6
Appropriations:			
Current law:			
2101 Concrete Masonry Products Board			-4
5099 Balance, end of year			2

Program and Financing (in millions of dollars)

Identification code 013-5603-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			4
1930 Total budgetary resources available			4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			4
3020 Outlays (gross)			-4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			4
Outlays, gross:			
4100 Outlays from new mandatory authority			4
4180 Budget authority, net (total)			4
4190 Outlays, net (total)			4

The Concrete Masonry Products Research, Education, and Promotion Act of 2018 (the Act) authorized the establishment of a program, including funds for marketing and market research activities, that is designed to: 1) strengthen the position of the concrete masonry products industry in the domestic marketplace; 2) maintain, develop, and expand markets and uses for concrete masonry products in the domestic marketplace; and 3) promote the use of concrete masonry products in construction and building.

The Act requires the Secretary of Commerce to issue an order that provides for the establishment of a Concrete Masonry Product Board to carry out a program of generic promotion, research, and education regarding concrete masonry products. Further, the Act provides that funding for the Board's activities shall be derived from an assessment on manufacturers of concrete masonry products

Object Classification (in millions of dollars)

Identification code 013-5603-0-2-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			1
25.2 Other services from non-Federal sources			3
99.9 Total new obligations, unexpired accounts			4

Employment Summary

Identification code 013-5603-0-2-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment			4

Trust Funds

GIFTS AND BEQUESTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-8501-0-7-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Bequests		1	1
2000 Total: Balances and receipts		1	1
Appropriations:			
Current law:			
2101 Gifts and Bequests		-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 013-8501-0-7-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Gifts and Bequests (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		1	1
1930 Total budgetary resources available	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	1		
4110 Outlays, gross (total)	1	1	1
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)	1	1	1

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest.

ECONOMIC DEVELOPMENT ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the closure of the Economic Development Administration, including, but not limited to, ongoing administration, oversight and monitoring of grants and loans previously awarded by the Economic Development Administration, \$29,950,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 013-0125-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct)	40	45	34
0801 Salaries and Expenses (Reimbursable)	3	3	2

0900	Total new obligations, unexpired accounts .....	43	48	36
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	7	2
1011	Unobligated balance transfer from other acct [013–2050] ....		4	4
1050	Unobligated balance (total) .....	5	11	6
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	39	39	30
1121	Appropriations transferred from other acct [013–2050] ....	2		
1160	Appropriation, discretionary (total) .....	41	39	30
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	3	
1701	Change in uncollected payments, Federal sources .....	3	–3	
1750	Spending auth from offsetting collections, disc (total) .....	5		
1900	Budget authority (total) .....	46	39	30
1930	Total budgetary resources available .....	51	50	36
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	–1		
1941	Unexpired unobligated balance, end of year .....	7	2	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	7	
3010	New obligations, unexpired accounts .....	43	48	36
3011	Obligations ("upward adjustments"), expired accounts .....	1		1
3020	Outlays (gross) .....	–41	–55	–33
3041	Recoveries of prior year unpaid obligations, expired .....	–1		
3050	Unpaid obligations, end of year .....	7		4
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–5	–2
3070	Change in uncollected pymts, Fed sources, unexpired .....	–3	3	
3090	Uncollected pymts, Fed sources, end of year .....	–5	–2	–2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	–2
3200	Obligated balance, end of year .....	2	–2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	46	39	30
Outlays, gross:				
4010	Outlays from new discretionary authority .....	38	38	29
4011	Outlays from discretionary balances .....	3	17	4
4020	Outlays, gross (total) .....	41	55	33
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–2	–3	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–3	3	
4070	Budget authority, net (discretionary) .....	41	39	30
4080	Outlays, net (discretionary) .....	39	52	33
4180	Budget authority, net (total) .....	41	39	30
4190	Outlays, net (total) .....	39	52	33

The Budget proposes to eliminate funding for the Economic Development Administration (EDA) as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$29,950,000 to conduct an orderly closeout of EDA.

**Object Classification** (in millions of dollars)

Identification code 013–0125–0–1–452	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	19	20	9
11.5	Other personnel compensation .....	1	1	8
11.9	Total personnel compensation .....	20	21	17
12.1	Civilian personnel benefits .....	6	6	3
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	3	2
25.2	Other services from non-Federal sources .....	3	5	5
25.3	Other goods and services from Federal sources .....	7	9	6
99.0	Direct obligations .....	40	45	34
99.0	Reimbursable obligations .....	3	3	2

99.9	Total new obligations, unexpired accounts .....	43	48	36
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**Employment Summary**

Identification code 013–0125–0–1–452	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	175	180	98

**ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS**

In addition to amounts made available to the Economic Development Administration under the heading "Salaries and Expenses", unobligated balances previously appropriated under this heading, to remain available until expended, for purposes of the closure of the Economic Development Administration, including but not limited to, ongoing administration, oversight and monitoring of grants and loans previously awarded by the Economic Development Administration, whether expended by the Economic Development Administration or a successor operating unit within the Department of Commerce: Provided, That such funds will be available to such successor operating unit in addition to any other amounts that may be appropriated for the necessary expenses of such operating unit from whatever source.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013–2050–0–1–452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Planning grants .....	38	33	
0002	Technical assistance grants .....	10	11	
0003	Public works grants .....	138	135	
0004	Economic adjustment grants .....	37	55	
0005	Research Grants .....	1	2	
0009	Trade Adjustment Assistance .....	13	13	
0018	Disaster Supplementals .....	118	476	
0022	Assistance to Coal Communities .....	32	30	
0023	Department of Defense Grants Managed by EDA .....	10	42	
0091	Direct program activities, subtotal .....	397	797	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	397	797	

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	44	529	16
1010	Unobligated balance transfer to other accts [013–1500] .....	–2		
1010	Unobligated balance transfer to other accts [013–0125] .....		–4	–4
1021	Recoveries of prior year unpaid obligations .....	32	34	31
1033	Recoveries of prior year paid obligations .....	2	1	1
1050	Unobligated balance (total) .....	76	560	44
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	863	263	
1120	Appropriations transferred to other acct [013–0126] .....	–1		
1120	Appropriations transferred to other acct [013–0125] .....	–2		
1131	Unobligated balance of appropriations permanently reduced .....	–10	–10	–35
1160	Appropriation, discretionary (total) .....	850	253	–35
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9		
1701	Change in uncollected payments, Federal sources .....	–9		
1900	Budget authority (total) .....	850	253	–35
1930	Total budgetary resources available .....	926	813	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	529	16	9

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	771	885	1,407
3010	New obligations, unexpired accounts .....	397	797	
3020	Outlays (gross) .....	–251	–241	–447
3040	Recoveries of prior year unpaid obligations, unexpired .....	–32	–34	–31
3050	Unpaid obligations, end of year .....	885	1,407	929
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–13	–4	–4
3070	Change in uncollected pymts, Fed sources, unexpired .....	9		
3090	Uncollected pymts, Fed sources, end of year .....	–4	–4	–4

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued  
**Program and Financing—Continued**

Identification code 013-2050-0-1-452	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	758	881	1,403
3200 Obligated balance, end of year .....	881	1,403	925
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	850	253	-35
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	60	38	.....
4011 Outlays from discretionary balances .....	191	203	447
4020 Outlays, gross (total) .....	251	241	447
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources: .....	-9	.....	.....
4033 Non-Federal sources .....	-2	-1	-1
4040 Offsets against gross budget authority and outlays (total) ....	-11	-1	-1
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	9	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2	1	1
4060 Additional offsets against budget authority only (total) .....	11	1	1
4070 Budget authority, net (discretionary) .....	850	253	-35
4080 Outlays, net (discretionary) .....	240	240	446
4180 Budget authority, net (total) .....	850	253	-35
4190 Outlays, net (total) .....	240	240	446

The Budget proposes to eliminate funding for the Economic Development Administration (EDA) as part of the Administration's plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget proposes no grant funding for the Economic Development Assistance Programs (EDAP) and proposes a cancellation of \$35 million of unobligated and deobligated EDAP balances made available in prior years.

ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT

**Status of Direct Loans** (in millions of dollars)

Identification code 013-4406-0-3-452	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	1	1	1
1290 Outstanding, end of year .....	1	1	1

**Balance Sheet** (in millions of dollars)

Identification code 013-4406-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2102 Federal liabilities: Interest payable .....	1	1
4999 Total liabilities and net position .....	1	1

**BUREAU OF THE CENSUS**

*Federal Funds*

CURRENT SURVEYS AND PROGRAMS

For necessary expenses for collecting, compiling, analyzing, preparing and publishing statistics, provided for by law, \$264,005,000: Provided, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0401-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Current economic statistics .....	180	185	185
0002 Current demographic statistics .....	103	105	99
0900 Total new obligations, unexpired accounts .....	283	290	284
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	270	270	264
1120 Appropriations transferred to other acct [013-0450] .....	-4	.....	.....
1160 Appropriation, discretionary (total) .....	266	270	264
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	20	20	20
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	.....	.....
1260 Appropriations, mandatory (total) .....	19	20	20
1900 Budget authority (total) .....	285	290	284
1930 Total budgetary resources available .....	285	290	284
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-2	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	18	26
3010 New obligations, unexpired accounts .....	283	290	284
3011 Obligations ("upward adjustments"), expired accounts .....	9	.....	.....
3020 Outlays (gross) .....	-284	-282	-284
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	18	26	26
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	20	18	26
3200 Obligated balance, end of year .....	18	26	26
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	266	270	264
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	247	246	240
4011 Outlays from discretionary balances .....	18	16	24
4020 Outlays, gross (total) .....	265	262	264
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4	.....	.....
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4070 Budget authority, net (discretionary) .....	266	270	264
4080 Outlays, net (discretionary) .....	261	262	264
<b>Mandatory:</b>			
4090 Budget authority, gross .....	19	20	20
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	19	20	20
4180 Budget authority, net (total) .....	285	290	284
4190 Outlays, net (total) .....	280	282	284

The activities of this appropriation provide for the collection, compilation, analysis, and publication of a broad range of current economic, demographic, and social statistics.

**Current Economic Statistics.**—These programs provide public and private sector data users with relevant, accurate, and timely national statistical profiles of every sector of the U.S. economy to enable governments and businesses to make informed decisions. In 2020, the Current Economic Statistics programs will partner with the Bureau of Economic Analysis to develop the framework for a US Federal Data Service that supports research and increased partnership with third-party data providers to accelerate data innovation and solve complex data challenges.

**Current Demographic Statistics.**—These programs conduct surveys and data analyses to provide social and economic information on monthly, quarterly, and annual bases that policymakers and others need to make effective policy and program decisions. In 2020, the Current Demographic Statistics programs will continue to implement operational and methodological efficiencies to several surveys and programs, while preserving the

fundamental social and economic data that inform effective public and private decision-making, and conduct research on evaluating and improving current U.S. poverty measurement methods and indicators, including the supplemental poverty measure.

*State Children's Health Insurance Program (SCHIP).*—Mandatory appropriations are provided by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999. The program is designed to support data collection by the Current Population Survey (CPS) on the number of low-income children who do not have health insurance coverage. Data from this enhanced survey are used in the formula to allocate funds to States under the SCHIP program.

**Object Classification** (in millions of dollars)

Identification code 013-0401-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	125	128	125
11.3 Other than full-time permanent .....	15	23	21
11.5 Other personnel compensation .....	4	3	3
11.9 Total personnel compensation .....	144	154	149
12.1 Civilian personnel benefits .....	46	47	50
21.0 Travel and transportation of persons .....	7	8	7
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....	11	11	11
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....		1	
25.1 Advisory and assistance services .....	11	7	8
25.2 Other services from non-Federal sources .....	11	10	12
25.3 Other goods and services from Federal sources .....	13	12	12
25.4 Operation and maintenance of facilities .....	4	4	3
25.7 Operation and maintenance of equipment .....	24	28	25
25.8 Subsistence and support of persons .....	1	1	
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	1	1
99.9 Total new obligations, unexpired accounts .....	283	290	284

**Employment Summary**

Identification code 013-0401-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,667	1,615	1,542

**PERIODIC CENSUSES AND PROGRAMS**  
(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for collecting, compiling, analyzing, preparing and publishing statistics for periodic censuses and programs provided for by law, \$5,885,400,000, to remain available until September 30, 2022: Provided, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities: Provided further, That within the amounts appropriated, \$3,556,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the Bureau of the Census.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0450-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Economic Statistics Programs .....	113	142	139
0008 Decennial Census .....	1,287	2,232	6,535
0013 Geographic support .....	57	63	61
0015 Enterprise Data Collection and Dissemination Systems .....	64	157	166
0100 Total direct program .....	1,521	2,594	6,901
0900 Total new obligations, unexpired accounts .....	1,521	2,594	6,901
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	1,035	1,020
1010 Unobligated balance transfer to other accts [013-1500] .....	-3		

1021 Recoveries of prior year unpaid obligations .....	8	38	
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	12	1,073	1,020
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,544	2,544	5,885
1120 Appropriations transferred to other accts [013-0126] .....	-3	-3	-4
1121 Appropriations transferred from other acct [013-0401] .....	4		
1160 Appropriation, discretionary (total) .....	2,545	2,541	5,881
1930 Total budgetary resources available .....	2,557	3,614	6,901
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	1,035	1,020	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	388	438	860
3010 New obligations, unexpired accounts .....	1,521	2,594	6,901
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-1,456	-2,134	-6,167
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-38	
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	438	860	1,594
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	388	438	860
3200 Obligated balance, end of year .....	438	860	1,594

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,545	2,541	5,881
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,097	2,134	4,940
4011 Outlays from discretionary balances .....	359		1,227
4020 Outlays, gross (total) .....	1,456	2,134	6,167
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070 Budget authority, net (discretionary) .....	2,545	2,541	5,881
4080 Outlays, net (discretionary) .....	1,455	2,134	6,167
4180 Budget authority, net (total) .....	2,545	2,541	5,881
4190 Outlays, net (total) .....	1,455	2,134	6,167

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,545	2,541	5,881
Outlays .....	1,455	2,134	6,167
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....		1,007	
Outlays .....		846	131
<b>Total:</b>			
Budget Authority .....	2,545	3,548	5,881
Outlays .....	1,455	2,980	6,298

This appropriation funds legislatively mandated periodic economic and demographic censuses and other authorized activities. Major programs include the periodic economic programs (including the five-year economic census and census of governments), the American Community Survey, and the decennial census. In addition, other programs provide bureau-wide geographic information and data collection and dissemination systems.

*Periodic Economic Programs.*—The Periodic Economic Programs include the Economic Census and the Census of Governments. These programs are integral to the Bureau of Economic Analysis estimates of gross domestic product, industry inputs and outputs, and the economic activities of more than 90,000 State and local governments. Together, these programs measure the structure and functioning of the U.S. economy. For 2020, the Census Bureau will continue processing and review of the 2017 Economic Census data and will release data to the Bureau of Economic Analysis for use in setting the baseline for gross domestic product and other Principal Economic Indicators, as well as continue processing and dissemination of the Pension and Finance components of the Census of Governments. Additionally,

PERIODIC CENSUSES AND PROGRAMS—Continued

planning activities will begin for the 2022 Economic Census and Census of Governments.

**Decennial Census.**—April 1, 2020 is Census Day and marks the culmination of nearly a decade of design, research, and testing to meet the Department of Commerce's goal of conducting a complete and accurate decennial census. In 2020, the Census Bureau will conduct the major self-response and non-response operations of the 2020 Census, as well as opening field offices, recruiting and onboarding hundreds of thousands of field staff, and printing and mailing 1.5 billion pieces of mail materials, including census questionnaires. The advertising campaign and the partnership program will be operating at peak intensity to increase awareness and encourage participation in the 2020 Census. Additionally, data processing, editing, and preparation for publishing of census data will begin for fulfillment of the Census Bureau's constitutional mandate to deliver apportionment counts to the President and redistricting data to the states in fiscal year 2021.

The American Community Survey (ACS), part of the Decennial Census Program, provides current demographic, social, economic, and housing information about America's communities, from the largest cities to the smallest rural communities. The ACS, supported by a complete and accurate address system, has simplified the census design resulting in improvements in both coverage and data quality, while providing current data on detailed population, social, economic, and housing characteristics. In 2020, the ACS will continue researching and implementing methods to improve operational efficiencies.

**Geographic Support.**—The Geographic Support program provides address lists and supports partnerships with all levels of government and geographic areas, geospatial data products, and associated processing systems needed to meet the geographic requirements of all Census Bureau programs, including the 2020 Census.

**Enterprise Data Collection & Dissemination Systems.**—The objective of the program is to support major data collection, processing, and dissemination systems for the Census Bureau's censuses and surveys. In 2020, the Census Enterprise Data Collection and Processing program will deploy scaled and secured systems in support of peak operations for the 2020 Census. The Center for Enterprise Data Services and Consumer Innovation program will focus on the development of citizen-centric dissemination methods for the 2020 Census data products. Additionally, the Census Bureau continues to support the Administrative Records Clearinghouse in providing the Federal government with increased capacity to make data-driven decisions about critical programs and provide transparency about program effectiveness.

**Object Classification** (in millions of dollars)

Identification code 013-0450-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	321	334	320
11.3 Other than full-time permanent .....	49	514	2,210
11.5 Other personnel compensation .....	11	14	6
11.9 Total personnel compensation .....	381	862	2,536
12.1 Civilian personnel benefits .....	125	211	434
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	23	116	333
22.0 Transportation of things .....	2	2	18
23.1 Rental payments to GSA .....	44	29	36
23.2 Rental payments to others .....	5	173	112
23.3 Communications, utilities, and miscellaneous charges .....	38	45	276
24.0 Printing and reproduction .....	5	202	58
25.1 Advisory and assistance services .....	544	346	1,153
25.2 Other services from non-Federal sources .....	86	309	1,739
25.3 Other goods and services from Federal sources .....	40	75	71
25.4 Operation and maintenance of facilities .....	16	11	9
25.5 Research and development contracts .....	1	1	.....
25.7 Operation and maintenance of equipment .....	131	153	94
25.8 Subsistence and support of persons .....	1	1	.....
26.0 Supplies and materials .....	11	8	13
31.0 Equipment .....	61	44	9
42.0 Insurance claims and indemnities .....	6	5	9

99.9	Total new obligations, unexpired accounts .....	1,521	2,594	6,901
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**Employment Summary**

Identification code 013-0450-0-1-376	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	4,839	14,255	75,882

PERIODIC CENSUSES AND PROGRAMS  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 013-0450-2-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008	Decennial Census .....	1,007	.....
0100	Total direct program .....	1,007	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1,007	.....
1930	Total budgetary resources available .....	1,007	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	161
3010	New obligations, unexpired accounts .....	1,007	.....
3020	Outlays (gross) .....	-846	-131
3050	Unpaid obligations, end of year .....	161	30
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	.....	161
3200	Obligated balance, end of year .....	161	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,007	.....
Outlays, gross:			
4010	Outlays from new discretionary authority .....	846	.....
4011	Outlays from discretionary balances .....	.....	131
4020	Outlays, gross (total) .....	846	131
4180	Budget authority, net (total) .....	1,007	.....
4190	Outlays, net (total) .....	846	131

As noted in the General Notes for the Budget, at the time the budget was prepared, seven 2019 annual appropriations bills were operating under a continuing resolution (Public Law 115-245, as amended). In these cases, references to 2019 spending reflect the levels provided by the continuing resolution. In this account, the 2019 levels are further adjusted by the amounts included in this transmit to illustratively reflect an alternative appropriation for the 2020 Decennial Census. This adjustment is not a formal request with legislative language included in this Appendix or that will be transmitted later. Instead, it is an assumption regarding anticipated enactment of an alternative appropriation level for 2019.

**Object Classification** (in millions of dollars)

Identification code 013-0450-2-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1	Advisory and assistance services .....	532	.....
25.2	Other services from non-Federal sources .....	475	.....
99.9	Total new obligations, unexpired accounts .....	1,007	.....

CENSUS WORKING CAPITAL FUND

**Program and Financing** (in millions of dollars)

Identification code 013-4512-0-4-376	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0810	Economic programs .....	46	49	48
0811	Demographic programs .....	240	291	241

0812	Decennial programs & special censuses .....	1	1	1
0813	Other programs .....	23	11	13
0819	Reimbursable program activities, subtotal .....	310	352	303
0820	Management, administration, & IT infrastructure .....	485	513	479
0828	Cost collection .....	73	85	83
0829	Reimbursable program activities, subtotal .....	558	598	562
0900	Total new obligations, unexpired accounts .....	868	950	865
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	308	288	288
1021	Recoveries of prior year unpaid obligations .....	20	16	25
1033	Recoveries of prior year paid obligations .....	5		
1050	Unobligated balance (total) .....	333	304	313
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	870	934	841
1701	Change in uncollected payments, Federal sources .....	-47		
1750	Spending auth from offsetting collections, disc (total) .....	823	934	841
1930	Total budgetary resources available .....	1,156	1,238	1,154
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	288	288	289

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	161	178	93
3010	New obligations, unexpired accounts .....	868	950	865
3020	Outlays (gross) .....	-831	-1,019	-850
3040	Recoveries of prior year unpaid obligations, unexpired .....	-20	-16	-25
3050	Unpaid obligations, end of year .....	178	93	83
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-109	-62	-62
3070	Change in uncollected pymts, Fed sources, unexpired .....	47		
3090	Uncollected pymts, Fed sources, end of year .....	-62	-62	-62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	52	116	31
3200	Obligated balance, end of year .....	116	31	21

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	823	934	841
Outlays, gross:				
4010	Outlays from new discretionary authority .....		841	757
4011	Outlays from discretionary balances .....	831	178	93
4020	Outlays, gross (total) .....	831	1,019	850
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-863	-927	-832
4033	Non-Federal sources .....	-12	-7	-9
4040	Offsets against gross budget authority and outlays (total) .....	-875	-934	-841
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	47		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	5		
4060	Additional offsets against budget authority only (total) .....	52		
4080	Outlays, net (discretionary) .....	-44	85	9
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-44	85	9

The Working Capital Fund finances, on a reimbursable basis, functions within the Census Bureau that are more efficiently and economically performed on a centralized basis. The Fund also finances reimbursable work that the Census Bureau performs for other public, including Federal, and private entities.

**Object Classification** (in millions of dollars)

Identification code 013-4512-0-4-376	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	278	303	278
11.3	Other than full-time permanent .....	79	131	102
11.5	Other personnel compensation .....	15	9	7
11.9	Total personnel compensation .....	372	443	387
12.1	Civilian personnel benefits .....	122	131	112
13.0	Benefits for former personnel .....		2	1

21.0	Travel and transportation of persons .....	28	41	30
22.0	Transportation of things .....	3	4	2
23.1	Rental payments to GSA .....	36	40	32
23.2	Rental payments to others .....	1	2	1
23.3	Communications, utilities, and miscellaneous charges .....	27	22	10
24.0	Printing and reproduction .....	4	7	5
25.1	Advisory and assistance services .....	46	13	29
25.2	Other services from non-Federal sources .....	32	33	22
25.3	Other goods and services from Federal sources .....	62	69	43
25.4	Operation and maintenance of facilities .....	15	15	13
25.5	Research and development contracts .....		1	1
25.7	Operation and maintenance of equipment .....	89	119	162
25.8	Subsistence and support of persons .....	2	2	2
26.0	Supplies and materials .....	7	3	2
31.0	Equipment .....	22	3	11
99.9	Total new obligations, unexpired accounts .....	868	950	865

**Employment Summary**

Identification code 013-4512-0-4-376	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	2,692	3,342	2,620

**BUREAU OF ECONOMIC ANALYSIS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, \$107,990,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-1500-0-1-376	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Bureau of Economic Analysis .....	107	99	108
0002	Policy support .....	3		
0799	Total direct obligations .....	110	99	108
0801	Reimbursable .....		4	4
0900	Total new obligations, unexpired accounts .....	110	103	112
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	1	2
1011	Unobligated balance transfer from other acct [013-0450] .....	3		
1011	Unobligated balance transfer from other acct [013-2050] .....	2		
1021	Recoveries of prior year unpaid obligations .....	1	1	1
1050	Unobligated balance (total) .....	9	2	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	99	99	108
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4	4	4
1900	Budget authority (total) .....	103	103	112
1930	Total budgetary resources available .....	112	105	115
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	1	2	3

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20	17	16
3010	New obligations, unexpired accounts .....	110	103	112
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-112	-103	-111
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	17	16	16
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 013–1500–0–1–376	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	17	14	13
3200 Obligated balance, end of year .....	14	13	13
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	103	103	112
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	92	91	99
4011 Outlays from discretionary balances .....	20	12	12
4020 Outlays, gross (total) .....	112	103	111
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4	-4	-4
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-5	-4	-4
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	99	99	108
4080 Outlays, net (discretionary) .....	107	99	107
4180 Budget authority, net (total) .....	99	99	108
4190 Outlays, net (total) .....	107	99	107

*Bureau of Economic Analysis (BEA).*—BEA, a principal Federal statistical agency, promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic data in an objective and cost-effective manner. BEA's strategic vision is to remain the world's most respected producer of economic accounts. Its national, industry, regional, and international economic statistics present crucial information on key issues such as U.S. economic growth, regional economic development, inter-industry relationships, and the Nation's position in the world economy. These key statistics provide a comprehensive picture of the U.S. economy and affect decisions related to interest and exchange rates, tax and budget projections, and business investment plans. The statistics are used by Federal, State, and local governments for budget development and projections and to support the allocation of over \$400 billion in Federal funds. The statistics are also used by the American public to follow and understand the performance of the Nation's economy. Some of the Bureau's widely used statistical measures include gross domestic product (GDP), personal income and outlays, corporate profits, balance of payments, and GDP by state, metropolitan area, industry and county. The Bureau also strives to develop new statistics that spotlight key sectors and trends in the economy such as healthcare, outdoor recreation and technological innovation. In 2020, BEA will partner with the Office of the Undersecretary for Economic Affairs and the Census Bureau to develop the framework for a U.S. Federal Data Service that supports research and increased partnership with third-party data providers to accelerate data innovation and solve complex data challenges.

**Object Classification** (in millions of dollars)

Identification code 013–1500–0–1–376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	53	53	57
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	54	54	58
12.1 Civilian personnel benefits .....	18	17	20
23.1 Rental payments to GSA .....	5	4	4
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	9	8	9
25.3 Other goods and services from Federal sources .....	14	10	11
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1

31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	106	99	108
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations, unexpired accounts .....	110	103	112

**Employment Summary**

Identification code 013–1500–0–1–376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	506	503	524
2001 Reimbursable civilian full-time equivalent employment .....	12	11	10

**INTERNATIONAL TRADE ADMINISTRATION**

*Federal Funds*

INTERNATIONAL TRADE ADMINISTRATION

OPERATIONS AND ADMINISTRATION

*For necessary expenses for international trade activities of the Department of Commerce provided for by law, and for engaging in trade promotional activities abroad to carry out activities associated with facilitating, attracting, and retaining business investment in the United States, including expenses of grants and cooperative agreements for the purpose of promoting exports of United States firms, without regard to sections 3702 and 3703 of title 44, United States Code; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the International Trade Administration between two points abroad, without regard to section 40118 of title 49, United States Code; employment of citizens of the United States and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$294,300 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$45,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, \$471,096,000, to remain available until September 30, 2021, of which \$11,000,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding section 3302 of title 31, United States Code: Provided further, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities; and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act of 1961 shall include payment for assessments for services provided as part of these activities.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013–1250–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Industry and Analysis .....	55	52	62
0007 Enforcement and Compliance .....	95	88	93
0008 Global Markets .....	328	319	279
0009 Executive Direction and Administration .....	22	23	26
0100 Total direct program .....	500	482	460
0799 Total direct obligations .....	500	482	460
0801 Operations and Administration (Reimbursable) .....	25	25	25
0900 Total new obligations, unexpired accounts .....	525	507	485
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	38	29	29
1011 Unobligated balance transfer from other acct [072–0306] ...	2		
1021 Recoveries of prior year unpaid obligations .....	12		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	53	29	29

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	482	482	460
1131	Unobligated balance of appropriations permanently reduced .....			-3
1160	Appropriation, discretionary (total) .....	482	482	457
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	22	25	32
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	21	25	32
1900	Budget authority (total) .....	503	507	489
1930	Total budgetary resources available .....	556	536	518
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-2		
1941	Unexpired unobligated balance, end of year .....	29	29	33
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	77	83	89
3010	New obligations, unexpired accounts .....	525	507	485
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-504	-501	-448
3040	Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	83	89	126
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-13	-13
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	61	70	76
3200	Obligated balance, end of year .....	70	76	113
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	503	507	489
Outlays, gross:				
4010	Outlays from new discretionary authority .....	405	411	400
4011	Outlays from discretionary balances .....	99	90	48
4020	Outlays, gross (total) .....	504	501	448
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-24	-24	-16
4033	Non-Federal sources .....	-2	-1	-16
4040	Offsets against gross budget authority and outlays (total) ...	-26	-25	-32
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4052	Offsetting collections credited to expired accounts .....	3		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	5		
4070	Budget authority, net (discretionary) .....	482	482	457
4080	Outlays, net (discretionary) .....	478	476	416
4180	Budget authority, net (total) .....	482	482	457
4190	Outlays, net (total) .....	478	476	416

The mission of the International Trade Administration (ITA) is to create prosperity by strengthening the international competitiveness of U.S. industry, promoting trade and investment, and ensuring fair trade and compliance with trade laws and agreements. ITA leads the Department's export and investment platform, working with several other bureaus both within and outside the Department to achieve this goal.

ITA, through its programs, services, and workforce, leverages its relationships with an understanding of industry and its domestic and overseas field presence to serve a range of customers and stakeholders. The organization consists of three business units that work together to achieve ITA's mission effectively and efficiently: (1) Industry and Analysis; (2) Enforcement and Compliance; and (3) Global Markets. The combination of industry sector, regional, and trade expertise, alongside export promotion, enforcement and compliance, and policy responsibilities, enables ITA to analyze customer issues and needs holistically, and support trade enforcement and export promotion efforts in key, growing markets abroad.

The Budget proposes a cancellation of \$3 million of unobligated balances made available in prior years.

**Object Classification** (in millions of dollars)

Identification code 013-1250-0-1-376				
		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	159	158	146
11.3	Other than full-time permanent .....	28	28	28
11.5	Other personnel compensation .....	9	7	7
11.9	Total personnel compensation .....	196	193	181
12.1	Civilian personnel benefits .....	75	69	66
13.0	Benefits for former personnel .....	2	2	1
21.0	Travel and transportation of persons .....	14	12	11
22.0	Transportation of things .....	5	4	2
23.1	Rental payments to GSA .....	16	14	15
23.2	Rental payments to others .....	15	14	13
23.3	Communications, utilities, and miscellaneous charges .....	8	9	9
24.0	Printing and reproduction .....	2	1	1
25.1	Advisory and assistance services .....	28	27	24
25.2	Other services from non-Federal sources .....	20	13	18
25.3	Other goods and services from Federal sources .....	97	99	94
25.7	Operation and maintenance of equipment .....	6	14	14
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	13	7	7
99.0	Direct obligations .....	500	482	460
99.0	Reimbursable obligations .....	25	25	25
99.9	Total new obligations, unexpired accounts .....	525	507	485

**Employment Summary**

Identification code 013-1250-0-1-376				
		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	1,543	1,634	1,550
2001	Reimbursable civilian full-time equivalent employment .....	34	34	34

**BUREAU OF INDUSTRY AND SECURITY**  
*Federal Funds*

OPERATIONS AND ADMINISTRATION

*For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of citizens of the United States and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$13,500 for official representation expenses abroad; awards of compensation to informers under the Export Administration Act of 1979, and as authorized by section 1(b) of the Act of June 15, 1917 (40 Stat. 223; 22 U.S.C. 401(b)); and purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, \$127,652,000, to remain available until expended: Provided, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities: Provided further, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and other governments.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0300-0-1-999				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Management and policy coordination .....	6	6	6
0002	Export administration .....	62	57	71
0003	Export enforcement .....	52	51	50

OPERATIONS AND ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 013-0300-0-1-999	2018 actual	2019 est.	2020 est.
0100 Total direct program .....	120	114	127
0799 Total direct obligations .....	120	114	127
0801 Operations and Administration (Reimbursable) .....	1	3	3
0900 Total new obligations, unexpired accounts .....	121	117	130
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	6	4
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	10	6	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	114	114	128
1121 Appropriations transferred from other acct [013-0123] .....	2		
1160 Appropriation, discretionary (total) .....	116	114	128
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	-1	-1	-1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	117	115	129
1930 Total budgetary resources available .....	127	121	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	4	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	41	25
3010 New obligations, unexpired accounts .....	121	117	130
3020 Outlays (gross) .....	-116	-133	-126
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	41	25	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
3090 Uncollected pymts, Fed sources, end of year .....	-2	-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	39	24
3200 Obligated balance, end of year .....	39	24	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	117	115	129
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	98	110
4011 Outlays from discretionary balances .....	22	35	16
4020 Outlays, gross (total) .....	116	133	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) .....	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
4070 Budget authority, net (discretionary) .....	116	114	128
4080 Outlays, net (discretionary) .....	114	131	124
4180 Budget authority, net (total) .....	116	114	128
4190 Outlays, net (total) .....	114	131	124

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by administering and enforcing controls on the export of sensitive goods and technologies. BIS also enforces antiboycott laws, monitors the economic viability of the U.S. defense industry, and assists U.S. companies in complying with certain international arms agreements. The Budget enhances BIS's ability to strengthen, streamline, and manage the U.S. export control, while increasing BIS's capability to complete effective investigations and assessments that identify the impacts of imports of industry-specific products have on U.S. national security. The Budget increases resources to support the Department of Commerce's membership in the Committee on Foreign Investment in

the United States (CFIUS), which reviews transactions that could result in control of a U.S. business by a foreign person to determine the effect of such transactions on the national security of the United States.

Object Classification (in millions of dollars)

Identification code 013-0300-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	44	47	51
11.5 Other personnel compensation .....	3	4	4
11.9 Total personnel compensation .....	47	51	55
12.1 Civilian personnel benefits .....	17	19	22
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	7	6	7
23.3 Communications, utilities, and miscellaneous charges .....	4	2	2
25.1 Advisory and assistance services .....	5		
25.2 Other services from non-Federal sources .....	10	2	5
25.3 Other goods and services from Federal sources .....	26	29	31
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....		2	2
99.0 Direct obligations .....	120	114	127
99.0 Reimbursable obligations .....	1	3	3
99.9 Total new obligations, unexpired accounts .....	121	117	130

Employment Summary

Identification code 013-0300-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	363	363	463
2001 Reimbursable civilian full-time equivalent employment .....	1	1	3

MINORITY BUSINESS DEVELOPMENT AGENCY

Federal Funds

MINORITY BUSINESS DEVELOPMENT

For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprise, including expenses of grants, contracts, and other agreements with public or private organizations, \$10,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 013-0201-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Business Development .....	39	39	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39	39	10
1930 Total budgetary resources available .....	39	39	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	24	21
3010 New obligations, unexpired accounts .....	39	39	10
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-34	-42	-24
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	24	21	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	24	21
3200 Obligated balance, end of year .....	24	21	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39	39	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	20	5
4011 Outlays from discretionary balances .....	17	22	19

4020	Outlays, gross (total)	34	42	24
4180	Budget authority, net (total)	39	39	10
4190	Outlays, net (total)	34	42	24

The Budget proposes to reorient the Minority Business Development Agency (MBDA) and requests \$10,000,000 to refocus the agency on policy, advocacy, research and public/private partnership, including an Office of Policy to focus on minority business enterprise inclusion and development beginning in 2020.

**Object Classification** (in millions of dollars)

Identification code 013-0201-0-1-376	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
25.1	Advisory and assistance services	1	1	
25.2	Other services from non-Federal sources	2	2	
25.3	Other goods and services from Federal sources	7	7	3
41.0	Grants, subsidies, and contributions	22	22	
99.9	Total new obligations, unexpired accounts	39	39	10

**Employment Summary**

Identification code 013-0201-0-1-376	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	40	50	50

**NATIONAL OCEANIC AND ATMOSPHERIC  
ADMINISTRATION**

**Federal Funds**

**OPERATIONS, RESEARCH, AND FACILITIES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft and vessels; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities, \$3,058,383,000, to remain available until September 30, 2021: Provided, That fees and donations received by the National Ocean Service for the management of national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding section 3302 of title 31, United States Code: Provided further, That in addition, \$158,407,000 shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries", which shall only be used for fishery activities related to Cooperative Research, Annual Stock Assessments, Survey and Monitoring Projects, and Fish Information Networks: Provided further, That of the \$3,244,290,000 provided for in direct obligations under this heading, \$3,058,383,000 is appropriated from the general fund, \$158,407,000 is provided by transfer and \$27,500,000 is derived from recoveries of prior year obligations: Provided further, That in addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments for the medical care of retired personnel and their dependents under the Dependents' Medical Care Act (10 U.S.C. ch. 55), such sums as may be necessary.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-1450-0-1-306	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	National Ocean Service	552	561	370
0002	National Marine Fisheries Service	883	883	812
0003	Oceanic and Atmospheric Research	510	508	309
0004	National Weather Service	1,007	1,014	989
0005	National Environmental Satellite Service	254	241	272
0007	Mission Support	292	266	261
0008	Office of Marine and Aviation Operations	220	225	232
0009	Retired pay for NOAA Corps Officers	26	30	30

0010	Spectrum Relocation Fund	2	2	20
0011	Spectrum acct SENSER	19		
0012	Spectrum Pipeline		7	
0013	Hurricane Supplemental	28		
0100	Total direct program	3,793	3,737	3,295
0799	Total direct obligations	3,793	3,737	3,295
0801	National Ocean Service	24	24	24
0802	National Marine Fisheries Service	55	95	95
0803	Oceanic and Atmospheric Research	36	50	50
0804	National Weather Service	56	44	44
0805	National Environmental Satellite Service	27	15	15
0807	OMAO		2	2
0808	Mission Support	14	12	12
0899	Total reimbursable obligations	212	242	242
0900	Total new obligations, unexpired accounts	4,005	3,979	3,537

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	256	372	363
1001	Discretionary unobligated balance brought fwd, Oct 1	199	203	
1021	Recoveries of prior year unpaid obligations	38	18	28
1050	Unobligated balance (total)	294	390	391
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Operations, research & facilities	3,657	3,536	3,058
1121	Appropriations transferred from other acct [013-5139]	144	144	158
1121	Appropriations transferred from other acct [013-1460]	2		
1160	Appropriation, discretionary (total)	3,803	3,680	3,216
<b>Appropriations, mandatory:</b>				
1200	Appropriation	30	30	30
1221	Appropriations transferred from other acct [011-5512]	12		
1260	Appropriations, mandatory (total)	42	30	30
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected	227	242	242
1701	Change in uncollected payments, Federal sources	18		
1750	Spending auth from offsetting collections, disc (total)	245	242	242
1900	Budget authority (total)	4,090	3,952	3,488
1930	Total budgetary resources available	4,384	4,342	3,879
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring	-7		
1941	Unexpired unobligated balance, end of year	372	363	342

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	2,181	2,371	2,107
3010	New obligations, unexpired accounts	4,005	3,979	3,537
3011	Obligations ("upward adjustments"), expired accounts	6		
3020	Outlays (gross)	-3,753	-4,225	-3,775
3040	Recoveries of prior year unpaid obligations, unexpired	-38	-18	-28
3041	Recoveries of prior year unpaid obligations, expired	-30		
3050	Unpaid obligations, end of year	2,371	2,107	1,841
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-403	-421	-421
3070	Change in uncollected pymts, Fed sources, unexpired	-18		
3090	Uncollected pymts, Fed sources, end of year	-421	-421	-421
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	1,778	1,950	1,686
3200	Obligated balance, end of year	1,950	1,686	1,420

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross	4,048	3,922	3,458
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	2,282	2,403	2,115
4011	Outlays from discretionary balances	1,435	1,780	1,613
4020	Outlays, gross (total)	3,717	4,183	3,728
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources	-180	-180	-180
4033	Non-Federal sources	-51	-62	-62
4040	Offsets against gross budget authority and outlays (total)	-231	-242	-242
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	-18		
4052	Offsetting collections credited to expired accounts	4		
4060	Additional offsets against budget authority only (total)	-14		
4070	Budget authority, net (discretionary)	3,803	3,680	3,216
4080	Outlays, net (discretionary)	3,486	3,941	3,486

OPERATIONS, RESEARCH, AND FACILITIES—Continued  
Program and Financing—Continued

Identification code 013-1450-0-1-306	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	42	30	30
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	28	30	30
4101 Outlays from mandatory balances .....	8	12	17
4110 Outlays, gross (total) .....	36	42	47
4180 Budget authority, net (total) .....	3,845	3,710	3,246
4190 Outlays, net (total) .....	3,522	3,983	3,533

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet our Nation's economic, social, and environmental needs.

NOAA executes programs and activities to achieve its mission through seven line activities:

*National Ocean Service (NOS).*—NOS programs work to promote safe navigation; assess and restore the health of coastal and marine resources; improve coastal communities' resilience to extreme weather events, climate hazards, and changing ocean conditions and uses; and conserve the coastal and ocean environment.

*National Marine Fisheries Service (NMFS).*—NMFS programs provide for the management and conservation of the Nation's living marine resources including fish stocks, marine mammals, and endangered species and their habitats within the United States Exclusive Economic Zone (EEZ).

*Office of Oceanic and Atmospheric Research (OAR).*—OAR programs provide climate, weather, air chemistry, ocean and coastal research and technology with applications across NOAA's mission. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and cooperative institutes and partnership programs.

*National Weather Service (NWS).*—NWS programs provide timely and accurate meteorological, hydrologic, and oceanographic warnings and forecasts to ensure the safety of the population, minimize property losses, and improve the economic productivity of the Nation.

*National Environmental Satellite, Data, and Information Service (NESDIS).*—NESDIS operates polar orbiting and geostationary satellites, and collects and archives global environmental data and information for distribution to private and public sector users.

*Mission Support.*—Mission Support provides management and administrative support for NOAA, including acquisition and grant administration, budget, accounting functions, and human resources.

*Office of Marine and Aviation Operations (OMAO).*—OMAO provides aircraft and marine data acquisition, fleet repair and maintenance, and operations that provide technical and management support for NOAA-wide activities.

Object Classification (in millions of dollars)

Identification code 013-1450-0-1-306	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,091	1,117	1,205
11.3 Other than full-time permanent .....	7	6	6
11.5 Other personnel compensation .....	62	58	.....
11.7 Military personnel .....	35	37	37
11.9 Total personnel compensation .....	1,195	1,218	1,248
12.1 Civilian personnel benefits .....	390	394	403
12.2 Military personnel benefits .....	3	3	2
13.0 Benefits for former personnel .....	25	29	29
21.0 Travel and transportation of persons .....	46	44	44
22.0 Transportation of things .....	15	16	15
23.1 Rental payments to GSA .....	86	84	84
23.2 Rental payments to others .....	31	31	32
23.3 Communications, utilities, and miscellaneous charges .....	67	75	73
24.0 Printing and reproduction .....	4	3	4
25.1 Advisory and assistance services .....	241	211	181
25.2 Other services from non-Federal sources .....	626	571	423
25.3 Other goods and services from Federal sources .....	133	123	263

25.5	Research and development contracts .....	15	23	9
26.0	Supplies and materials .....	99	100	78
31.0	Equipment .....	34	39	32
32.0	Land and structures .....	.....	1	3
41.0	Grants, subsidies, and contributions .....	783	772	365
42.0	Insurance claims and indemnities .....	.....	.....	7
99.0	Direct obligations .....	3,793	3,737	3,295
99.0	Reimbursable obligations .....	211	242	242
99.5	Adjustment for rounding .....	1	.....	.....
99.9	Total new obligations, unexpired accounts .....	4,005	3,979	3,537

Employment Summary

Identification code 013-1450-0-1-306	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	10,385	11,023	10,697
1101	Direct military average strength employment .....	317	324	324
2001	Reimbursable civilian full-time equivalent employment .....	494	481	481

GULF COAST ECOSYSTEM RESTORATION SCIENCE, OBSERVATION, MONITORING, AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 013-1455-0-1-304	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Gulf Coast Restoration .....	6	8	5
0900	Total new obligations, unexpired accounts (object class 41.0) .....	6	8	5
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	.....
<b>Budget authority:</b>				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	6	6	5
1930	Total budgetary resources available .....	8	8	5
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	7	2
3010	New obligations, unexpired accounts .....	6	8	5
3020	Outlays (gross) .....	-5	-13	-4
3050	Unpaid obligations, end of year .....	7	2	3
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	4	5	.....
3200	Obligated balance, end of year .....	5	.....	1
<b>Budget authority and outlays, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	6	6	5
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	1	6	2
4101	Outlays from mandatory balances .....	4	7	2
4110	Outlays, gross (total) .....	5	13	4
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-6	-6	-5
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	-1	7	-1

The Gulf Coast Ecosystem Restoration Science, Observation, Monitoring and Technology Fund provides funding for the NOAA RESTORE Act Science Program. The purpose of this program is to initiate and sustain an integrative, holistic understanding of the Gulf of Mexico ecosystem and support, to the maximum extent practicable, restoration efforts and the long-term sustainability of the ecosystem, including its fish stocks, fishing industries, habitat, and wildlife through ecosystem research, observation, monitoring, and technology development. To ensure the best use of resources the Program will coordinate with existing federal and state science

and technology programs, including other activities funded under the RESTORE Act. Section 1604 of the RESTORE Act authorized funding for the Program by providing 2.5% of the funds made available through the Gulf Coast Restoration Trust Fund.

**Employment Summary**

Identification code 013-1455-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**PROCUREMENT, ACQUISITION AND CONSTRUCTION**  
(INCLUDING TRANSFER OF FUNDS)

For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, \$1,406,236,000, to remain available until September 30, 2022, except that funds provided for acquisition and construction of vessels and construction of facilities shall remain available until expended: Provided, That of the \$1,419,236,000 provided for in direct obligations under this heading, \$1,406,236,000 is appropriated from the general fund and \$13,000,000 is provided from recoveries of prior year obligations: Provided further, That, within the amounts appropriated, \$1,302,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to satellite procurement, acquisition and construction.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-1460-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Ocean Service .....	3	4	2
0003 Office of Oceanic and Atmospheric Research .....	41	41	26
0004 National Weather Service .....	111	143	93
0005 National Environmental Satellite Service .....	1,853	1,858	1,201
0007 Spectrum Relocation Fund .....	69	66	33
0008 Mission Support .....	9	24	5
0009 Office of Marine and Aviation Operations .....	206	232	92
0010 Hurricane Supplemental .....	3		
0900 Total new obligations, unexpired accounts .....	2,295	2,368	1,452
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	380	491	426
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	201	378	
1021 Recoveries of prior year unpaid obligations .....	38	13	13
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	419	504	439
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,370	2,291	1,406
1120 Appropriations transferred to other accts [013-1450] .....	-2		
1120 Appropriations transferred to other accts [013-0126] .....	-1	-1	-1
1160 Appropriation, discretionary (total) .....	2,367	2,290	1,405
1900 Budget authority (total) .....	2,367	2,290	1,405
1930 Total budgetary resources available .....	2,786	2,794	1,844
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	491	426	392
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,743	2,259	2,134
3010 New obligations, unexpired accounts .....	2,295	2,368	1,452
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-1,739	-2,480	-2,252
3040 Recoveries of prior year unpaid obligations, unexpired .....	-38	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	2,259	2,134	1,321
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,743	2,259	2,134
3200 Obligated balance, end of year .....	2,259	2,134	1,321

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	2,367	2,290	1,405
Outlays, gross:			
4010 Outlays from new discretionary authority .....	457	926	576
4011 Outlays from discretionary balances .....	1,251	1,488	1,626
4020 Outlays, gross (total) .....	1,708	2,414	2,202
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070 Budget authority, net (discretionary) .....	2,367	2,290	1,405
4080 Outlays, net (discretionary) .....	1,707	2,414	2,202
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	31	66	50
4180 Budget authority, net (total) .....	2,367	2,290	1,405
4190 Outlays, net (total) .....	1,738	2,480	2,252

This account funds capital acquisition, construction, and fleet and aircraft replacement projects that support NOAA's operational mission across all line offices. The Budget maintains continuity of major systems needed for weather forecasting and continues implementation of NOAA's fleet recapitalization plan.

**Object Classification** (in millions of dollars)

Identification code 013-1460-0-1-306	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	43	43	27
11.5 Other personnel compensation .....	2	2	1
11.9 Total personnel compensation .....	45	45	28
12.1 Civilian personnel benefits .....	18	19	11
21.0 Travel and transportation of persons .....	3	3	2
23.1 Rental payments to GSA .....	6	6	4
23.3 Communications, utilities, and miscellaneous charges .....	21	22	13
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	285	294	180
25.2 Other services from non-Federal sources .....	238	246	151
25.3 Other goods and services from Federal sources .....	1,476	1,523	934
25.5 Research and development contracts .....	18	19	11
26.0 Supplies and materials .....	6	6	4
31.0 Equipment .....	115	119	73
41.0 Grants, subsidies, and contributions .....	63	65	40
99.9 Total new obligations, unexpired accounts .....	2,295	2,368	1,452

**Employment Summary**

Identification code 013-1460-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	315	300	289

**LIMITED ACCESS SYSTEM ADMINISTRATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013-5284-0-2-306	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1110 Permit Title Registration Fees, Limited Access System Administration Fund .....	13	15	15
2000 Total: Balances and receipts .....	14	16	16
Appropriations:			
Current law:			
2101 Limited Access System Administration Fund .....	-13	-15	-15
2103 Limited Access System Administration Fund .....	-1	-1	-1
2132 Limited Access System Administration Fund .....	1	1	
2199 Total current law appropriations .....	-13	-15	-16
2999 Total appropriations .....	-13	-15	-16

LIMITED ACCESS SYSTEM ADMINISTRATION FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 013-5284-0-2-306	2018 actual	2019 est.	2020 est.
5099 Balance, end of year .....	1	1	.....

Program and Financing (in millions of dollars)

Identification code 013-5284-0-2-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Limited Access System Administration Fund (Direct) .....	12	16	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	18	17
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	17	18	17
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	13	15	15
1203 Appropriation (Mandatory, Sequestration pop-up, Authorizing Committee) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	13	15	16
1930 Total budgetary resources available .....	30	33	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	17	17

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	13
3010 New obligations, unexpired accounts .....	12	16	16
3020 Outlays (gross) .....	-10	-11	-14
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	8	13	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	8	13
3200 Obligated balance, end of year .....	8	13	15

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	13	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	8	9
4101 Outlays from mandatory balances .....	4	3	5
4110 Outlays, gross (total) .....	10	11	14
4180 Budget authority, net (total) .....	13	15	16
4190 Outlays, net (total) .....	10	11	14

Under the authority of the Magnuson-Stevens Act Section 304(d)(2)(A), NMFS must collect a fee to recover the incremental costs of management, data collection, and enforcement of Limited Access Privilege (LAP) Programs. Funds collected under this authority are deposited into the Limited Access System Administrative Fund. Fees shall not exceed three percent of the ex-vessel value of fish harvested under any such program, and shall be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested. The Limited Access Administration Fund shall be available, without appropriation or fiscal year limitation, only for the purposes of administering the central registry system and administering and implementing the Magnuson-Stevens Act in the fishery in which the fees were collected. Sums in the fund that are not currently needed for these purposes shall be kept on deposit or invested in obligations of, or guaranteed by the U.S. Also, in establishing a LAP program, a Regional Council can consider, and may provide, if appropriate, an auction system or other program to collect royalties for the initial or any subsequent distribution of allocations. If an auction system is developed, revenues from these royalties are deposited in the Limited Access System Administration Fund.

Object Classification (in millions of dollars)

Identification code 013-5284-0-2-306	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	4	4	4
11.9 Total personnel compensation .....	4	4	4
12.1 Civilian personnel benefits .....	2	1	1
21.0 Travel and transportation of persons .....	.....	1	1
25.2 Other services from non-Federal sources .....	3	7	7
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	12	16	16

Employment Summary

Identification code 013-5284-0-2-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	36	40	40

PACIFIC COASTAL SALMON RECOVERY

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 013-1451-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Grants to States and Tribes .....	65	65	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	65	65	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	65	65	.....
1930 Total budgetary resources available .....	65	65	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	193	197	183
3010 New obligations, unexpired accounts .....	65	65	.....
3020 Outlays (gross) .....	-60	-79	-71
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	197	183	112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	193	197	183
3200 Obligated balance, end of year .....	197	183	112

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	65	65	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	16	.....
4011 Outlays from discretionary balances .....	60	63	71
4020 Outlays, gross (total) .....	60	79	71
4180 Budget authority, net (total) .....	65	65	.....
4190 Outlays, net (total) .....	60	79	71

The Pacific Coastal Salmon Recovery Fund account was established in 2000 to augment State, tribal, and local programs to conserve and restore sustainable Pacific salmon populations and their habitats. Through 2018, over \$1 billion has been provided to the States of California, Oregon, Washington, Alaska, and Idaho and to the Pacific Coastal and Columbia River Tribes to conserve salmon. The Budget does not provide funding for this program, but provides continued support for the science and technical assistance programs necessary to inform salmon recovery.

**Employment Summary**

Identification code 013-1451-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	2	.....

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NOAA

**Program and Financing** (in millions of dollars)

Identification code 013-1465-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Medicare-eligible Retiree Health Fund Contribution, NOAA (Direct) .....	2	1	1
0900 Total new obligations, unexpired accounts (object class 25.3) .....	2	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	1	1
1930 Total budgetary resources available .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	1	1
3020 Outlays (gross) .....	-2	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	1	1
4180 Budget authority, net (total) .....	2	1	1
4190 Outlays, net (total) .....	2	1	1

This account includes amounts necessary to finance the cost of Tricare retirement health care benefits accrued by the active duty members of the NOAA Commissioned Corps. The Ronald W. Reagan National Defense Authorization Act for 2005 (P.L. 108-375) provided permanent, indefinite appropriations to finance these costs for all uniformed service members. As these costs are borne in support of NOAA's mission, they are shown as part of the NOAA discretionary total. Total obligations on behalf of active NOAA Commissioned Corps personnel include both the wages and related amounts requested for appropriation and amounts paid from the permanent, indefinite authority.

FISHERIES ENFORCEMENT ASSET FORFEITURE FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013-5583-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1120 Fisheries Enforcement Asset Forfeiture Fund, Deposits (PDF Account) .....	4	4	4
2000 Total: Balances and receipts .....	5	5	5
Appropriations:			
Current law:			
2101 Fisheries Enforcement Asset Forfeiture Fund .....	-4	-4	-4
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 013-5583-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Fisheries Enforcement Asset Forfeiture Fund (Direct) .....	4	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	14

Budget authority:

Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	20	20	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	14	12

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	4
3010 New obligations, unexpired accounts .....	4	6	6
3020 Outlays (gross) .....	-4	-4	-5
3050 Unpaid obligations, end of year .....	2	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	4
3200 Obligated balance, end of year .....	2	4	5

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4101 Outlays from mandatory balances .....	1	1	2
4110 Outlays, gross (total) .....	4	4	5
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	5

Section 311(e)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) authorizes the Secretary of Commerce (Secretary) to pay certain enforcement-related expenses from fines, penalties and forfeiture proceeds received for violations of the Magnuson-Stevens Act, or of any other marine resource law enforced by the Secretary. Pursuant to this authority, NOAA has established a Civil Monetary Penalty/Asset Forfeiture Fund (AFF) where proceeds are deposited. When Congress authorized the AFF it was deemed appropriate to use these proceeds to offset in part the costs of administering the enforcement program. Expenses funded through this source include: costs directly related to the storage, maintenance, and care of seized fish, vessels, or other property during a civil or criminal proceeding; expenditures related directly to specific investigations and enforcement proceedings such as travel for interviewing witnesses; enforcement-unique information technology infrastructure; and annual interagency agreement costs for the administration, adjudication process, including Administrative Law Judges.

**Object Classification** (in millions of dollars)

Identification code 013-5583-0-2-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	2	3	3
25.2 Other services from non-Federal sources .....	.....	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	3	5	5
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	4	6	6

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013-5139-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	11	11	11
Receipts:			
Current law:			
1110 Access Fees, Western Pacific Sustainable Fisheries Fund .....	1	1	1
2000 Total: Balances and receipts .....	12	12	12
Appropriations:			
Current law:			
2101 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	-1	-1	-1
2103 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	-10	-10	-10

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES—Continued

Special and Trust Fund Receipts—Continued

Identification code 013–5139–0–2–376	2018 actual	2019 est.	2020 est.
2132 Promote and Develop Fishery Products and Research Pertaining to American Fisheries .....	10	10	.....
2199 Total current law appropriations .....	-1	-1	-11
2999 Total appropriations .....	-1	-1	-11
5099 Balance, end of year .....	11	11	1

Program and Financing (in millions of dollars)

Identification code 013–5139–0–2–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Promote and Develop Fishery Products and Research .....	13	16	.....
0002 Western Pacific Sustainability Fisheries Fund .....	1	1	1
0900 Total new obligations, unexpired accounts .....	14	17	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	2	.....
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [013–1450] .....		-144	-158
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1203 Appropriation (Sequestration pop-up, Authorizing Committee) .....	10	10	10
1220 Appropriations transferred to other accts [013–1450] .....	-144	.....	.....
1221 Appropriations transferred from other acct [012–5209] .....	155	158	158
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-10	-10	.....
1260 Appropriations, mandatory (total) .....	12	159	169
1900 Budget authority (total) .....	12	15	11
1930 Total budgetary resources available .....	16	17	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	.....	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	32	30	31
3010 New obligations, unexpired accounts .....	14	17	1
3020 Outlays (gross) .....	-16	-16	-18
3050 Unpaid obligations, end of year .....	30	31	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	30	31
3200 Obligated balance, end of year .....	30	31	14

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		-144	-158
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-144	-158
Mandatory:			
4090 Budget authority, gross .....	12	159	169
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	144	158
4101 Outlays from mandatory balances .....	15	16	18
4110 Outlays, gross (total) .....	16	160	176
4180 Budget authority, net (total) .....	12	15	11
4190 Outlays, net (total) .....	16	16	18

An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the Department of Agriculture. NOAA transfers these funds to offset the appropriation requirements for fisheries research and management in the Operations, Research, and Facilities account.

Object Classification (in millions of dollars)

Identification code 013–5139–0–2–376	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	1	.....

41.0 Grants, subsidies, and contributions .....	12	16	1
99.0 Direct obligations .....	13	17	1
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	14	17	1

Employment Summary

Identification code 013–5139–0–2–376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	.....

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013–5139–4–2–376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			158
1221 Appropriations transferred from other acct [012–5209] .....			-158
4180 Budget authority, net (total) .....			.....
4190 Outlays, net (total) .....			.....

The complex process of transferring customs duties receipts from the Department of Agriculture (USDA) to the Department of Commerce (DOC) to partially fund fishery activities is neither transparent to the public nor consistent with general federal budgeting practices. In the place of customs receipts and to increase transparency, the Budget proposes to directly appropriate funding to DOC. These funds will be provided to DOC without further appropriation and are available for the same purposes as previous receipt-funded activities. Within DOC, an initial \$158 million will be provided to the Promote and Develop program in 2020, equal to the level of funding that would otherwise have been provided by USDA, and adjusted annually in future years. The Administration will formalize these changes through a legislative proposal to be transmitted at a later date. This request is part of a broader reform proposed for USDA's Section 32 program.

Employment Summary

Identification code 013–5139–4–2–376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		3	.....

FISHERMEN'S CONTINGENCY FUND

For carrying out the provisions of title IV of Public Law 95–372, not to exceed \$349,000, to be derived from receipts collected pursuant to that Act, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 013–5120–0–2–376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			.....
4190 Outlays, net (total) .....			.....

The Fishermen's Contingency Fund is authorized under Section 402 of Title IV of the Outer Continental Shelf Lands Act Amendments of 1978. NOAA compensates U.S. commercial fishermen for damage or loss of

fishing gear, vessels, and resulting economic loss caused by obstructions related to oil and gas exploration, development, and production in any area of the Outer Continental Shelf. The funds used to provide this compensation are derived from fees collected by the Secretary of the Interior from the holders of leases, exploration permits, easements, or rights-of-way in areas of the Outer Continental Shelf. This activity is funded entirely through user fees. Disbursements can be made only to the extent authorized in appropriation acts.

**FISHERIES DISASTER ASSISTANCE**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013–2055–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Declared Fishery Disaster - (State TBD)		240	
0900 Total new obligations, unexpired accounts (object class 41.0)		240	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		220	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	220	20	
1930 Total budgetary resources available	220	240	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	220		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	9	152
3010 New obligations, unexpired accounts		240	
3020 Outlays (gross)	-7	-97	-98
3050 Unpaid obligations, end of year	9	152	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	9	152
3200 Obligated balance, end of year	9	152	54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	220	20	
Outlays, gross:			
4011 Outlays from discretionary balances	7	97	98
4180 Budget authority, net (total)	220	20	
4190 Outlays, net (total)	7	97	98

Fishery disaster assistance is administered by NOAA's National Marine Fisheries Service within the Department of Commerce. Two statutes, the Magnuson-Stevens Fishery Conservation and Management Act and the Interjurisdictional Fisheries Act, provide the authority for fishery disaster assistance. Under both statutes, a request for a fishery disaster determination is generally made by the Governor of a State, or an elected leader of a fishing community, although the Secretary of Commerce may also initiate a review at his or her own discretion. The Secretary determines whether the circumstances are consistent with relevant statutes and warrant a fishery disaster determination. If the Secretary determines that a fishery disaster has occurred, Congress may appropriate funds for disaster assistance, which are administered by the Secretary.

NOAA intends to revise its procedures to provide greater clarity and improved consistency with respect to the process of requesting a fishery disaster declaration. These changes will accelerate the timeline for making disaster determinations and establish guidelines for administering awards. The issues to be considered include, but are not limited to, deadlines, incentives for recipients to carry insurance, required documentation of loss, cost sharing by states, other available financial assistance, eligible uses, and prioritization of the long term sustainability of the affected fishery.

The changes under consideration will accelerate the Department's responsiveness to fishery disaster requests, help get appropriated funds distributed to affected communities in a more timely manner, and contribute to the long term environmental and economic sustainability of the fishery.

**NORTH PACIFIC FISHERY OBSERVER FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013–5598–0–2–306	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Fees, North Pacific Fishery Observer Fund	4	4	4
2000 Total: Balances and receipts	4	4	4
Appropriations:			
Current law:			
2101 North Pacific Fishery Observer Fund	-4	-4	-4
5099 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 013–5598–0–2–306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 North Pacific Fishery Observer Fund	2	6	4
0900 Total new obligations, unexpired accounts (object class 25.2)	2	6	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	4	4
1930 Total budgetary resources available	4	6	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	3	6
3010 New obligations, unexpired accounts	2	6	4
3020 Outlays (gross)	-3	-3	-3
3050 Unpaid obligations, end of year	3	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	3	6
3200 Obligated balance, end of year	3	6	7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	4	4	4
Outlays, gross:			
4101 Outlays from mandatory balances	3	3	3
4110 Outlays, gross (total)	3	6	5
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	3	3	3

In 2013 the North Pacific Observer Fund was established to support the restructured North Pacific Groundfish Observer Program (NPGOP). The observer program places all vessels and processors in the groundfish and halibut fisheries off Alaska into one of two observer coverage categories: (1) a full coverage category, and (2) a partial coverage category. Vessels and processors in the full coverage category (100% observer coverage) will obtain observers by contracting directly with observer providers. Vessels and processors in the partial coverage category (less than 100% observer coverage) will no longer contract independently with an observer provider, and will be required to carry an observer when they are selected through the Observer Declare and Deploy System (ODDS). Additionally, landings from all vessels in the partial coverage category will be assessed a 1.25 percent fee on standard ex-vessel prices of the landed catch weight of groundfish and halibut to be deposited in the North Pacific Observer Fund. The fee percentage is set in regulation and will be reviewed period-

NORTH PACIFIC FISHERY OBSERVER FUND—Continued

ically by the North Pacific Fishery Management Council. The money generated by this fee will be used to pay for observer coverage on the vessels and processors in the partial coverage category in the following year.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5362-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund .....		7	8
2000 Total: Balances and receipts .....		7	8
Appropriations:			
Current law:			
2101 Environmental Improvement and Restoration Fund .....		-7	-8
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 013-5362-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 North Pacific Research Board .....	7	7	7
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	7	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1050 Unobligated balance (total) .....	7		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	7	8	
1930 Total budgetary resources available .....	7	7	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	25	23
3010 New obligations, unexpired accounts .....	7	7	7
3020 Outlays (gross) .....	-10	-9	-10
3050 Unpaid obligations, end of year .....	25	23	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	25	23
3200 Obligated balance, end of year .....	25	23	20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	8	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	
4101 Outlays from mandatory balances .....	10	8	9
4110 Outlays, gross (total) .....	10	9	10
4180 Budget authority, net (total) .....	7	8	
4190 Outlays, net (total) .....	10	9	10

This fund was established by Title IV of P.L. 105-83. 20 percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the North Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

COASTAL ZONE MANAGEMENT FUND

Status of Direct Loans (in millions of dollars)

Identification code 013-4313-0-3-306	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	18	18	18
1290 Outstanding, end of year .....	18	18	18

This fund consists of loan repayments from the former Coastal Energy Impact Program. The Department of Commerce Appropriations Act, 2012, cancelled all balances in the Coastal Zone Management Fund, made future payments to the Fund subject to the Federal Credit Reform Act of 1990, and eliminated the annual transfer from this account to the Operations, Research, and Facilities account. The display below includes reporting information consistent with all other credit liquidating accounts.

Balance Sheet (in millions of dollars)

Identification code 013-4313-0-3-306	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	18	18
1602 Interest receivable .....	4	5
1603 Allowance for estimated uncollectible loans and interest (-) .....	-18	-19
1699 Value of assets related to direct loans .....	4	4
1999 Total assets .....	4	4
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	4	4
4999 Total liabilities and net position .....	4	4

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 013-4316-0-3-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Damage Assessment and Restoration Revolving Fund (Reimbursable) .....	35	71	46
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	120	138	112
1011 Unobligated balance transfer from other acct (014-1618) .....	21	20	20
1021 Recoveries of prior year unpaid obligations .....	18		
1050 Unobligated balance (total) .....	159	158	132
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct (014-1618) .....	5	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	9	19	10
1900 Budget authority (total) .....	14	25	16
1930 Total budgetary resources available .....	173	183	148
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	138	112	102
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	33	36
3010 New obligations, unexpired accounts .....	35	71	46
3020 Outlays (gross) .....	-26	-68	-64
3040 Recoveries of prior year unpaid obligations, unexpired .....	-18		
3050 Unpaid obligations, end of year .....	33	36	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	33	36
3200 Obligated balance, end of year .....	33	36	18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	25	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	13	8

4101	Outlays from mandatory balances .....	17	55	56
4110	Outlays, gross (total) .....	26	68	64
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4124	Offsetting governmental collections .....	-9	-19	-10
4180	Budget authority, net (total) .....	5	6	6
4190	Outlays, net (total) .....	17	49	54

The Damage Assessment and Restoration Revolving Fund is authorized under Section 1012(a) of the Oil Pollution Act of 1990, for the deposit of sums provided by any party or governmental entity to respond to the environmental effects of discharges of oil and other hazardous substances. Through the Revolving Fund, NOAA retains funds that are recovered through settlement or awarded by a court for the assessment and restoration of injured natural resources. NOAA also ensures deposited funds shall remain available to the trustee, without further appropriation, until expended to pay costs associated with the response, damage assessment, and restoration of natural resources.

These program functions are conducted jointly within NOAA by the Office of General Counsel, the National Ocean Service, and the National Marine Fisheries Service.

**Object Classification** (in millions of dollars)

Identification code 013-4316-0-3-306	2018 actual	2019 est.	2020 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent .....	3	2	2
11.9 Total personnel compensation .....	3	2	2
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	19	19	19
41.0 Grants, subsidies, and contributions .....	10	47	22
99.9 Total new obligations, unexpired accounts .....	35	71	46

**Employment Summary**

Identification code 013-4316-0-3-306	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	26	15	15

**FISHERIES FINANCE PROGRAM ACCOUNT**

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2020, obligations of direct loans may not exceed \$24,000,000 for Individual Fishing Quota loans and not to exceed \$100,000,000 for traditional direct loans as authorized by the Merchant Marine Act of 1936.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-1456-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	6	5	.....
0706 Interest on reestimates of direct loan subsidy .....	2	3	.....
0791 Direct program activities, subtotal .....	8	8	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	8	8	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	8	8	.....
1930 Total budgetary resources available .....	11	11	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	8	8	.....
3020 Outlays (gross) .....	-8	-8	.....

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	8	8	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	.....
4180 Budget authority, net (total) .....	8	8	.....
4190 Outlays, net (total) .....	8	8	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 013-1456-0-1-376	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Individual Fishing Quota Loans .....	2	24	24
115002 Traditional Direct Loans .....	48	100	100
115999 Total direct loan levels .....	50	124	124
Direct loan subsidy (in percent):			
132001 Individual Fishing Quota Loans .....	-13.67	-11.81	-10.80
132002 Traditional Direct Loans .....	-9.58	-8.71	-7.92
132999 Weighted average subsidy rate .....	-9.74	-9.31	-8.48
Direct loan subsidy budget authority:			
133001 Individual Fishing Quota Loans .....	.....	-3	-3
133002 Traditional Direct Loans .....	-5	-9	-8
133999 Total subsidy budget authority .....	-5	-12	-11
Direct loan subsidy outlays:			
134001 Individual Fishing Quota Loans .....	.....	-1	-1
134002 Traditional Direct Loans .....	-4	-2	-6
134999 Total subsidy outlays .....	-4	-3	-7
Direct loan reestimates:			
135002 Traditional Direct Loans .....	-7	-1	.....
135003 Pacific Ground Fish .....	1	.....	.....
135008 Crab Buyback loans .....	6	-3	.....
135999 Total direct loan reestimates .....	.....	-4	.....

The Fisheries Finance Program (FFP) is a national loan program that makes long-term fixed-rate financing available to U.S. citizens who otherwise qualify for financing or refinancing of the reconstruction, reconditioning, and, in some cases, the purchasing of fishing vessels, shoreside processing, aquaculture, and mariculture facilities. The FFP also provides fishery-wide financing to ease the transition to sustainable fisheries through its fishing capacity reduction programs and provides financial assistance in the form of loans to fishermen who fish from small vessels and entry-level fishermen to promote stability and reduce consolidation in already rationalized fisheries. Additionally, FFP can provide loans for fisheries investments of Native American Community Development Quota groups.

The FFP operates under the authority of Title XI of the Merchant Marine Act of 1936, as amended; Section 303(a) of the Sustainable Fisheries Act amendments to the Magnuson-Stevens Act; and, from time to time FFP-specific legislation. The overriding guideline for all FFP financings is that they cannot contribute or be construed to contribute to an increase in existing fish harvesting.

**FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 013-4324-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	51	124	124
0713 Payment of interest to Treasury .....	17	18	18
0740 Negative subsidy obligations .....	5	12	11
0742 Downward reestimates paid to receipt accounts .....	6	10	.....
0743 Interest on downward reestimates .....	1	2	.....
0900 Total new obligations, unexpired accounts .....	80	166	153

FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 013-4324-0-3-376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000			
1021			
1024			
1050			
Financing authority:			
Borrowing authority, mandatory:			
1400			
1800			
1825			
1850			
1900			
1930			
Memorandum (non-add) entries:			
1941			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			
3010			
3020			
3040			
3050			
Memorandum (non-add) entries:			
3100			
3200			
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090			
Financing disbursements:			
4110			
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120			
4122			
4123			
4123			
4123			
4130			
4160			
4170			
4180			
4190			

Status of Direct Loans (in millions of dollars)

Identification code 013-4324-0-3-376	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111			
1150			
Cumulative balance of direct loans outstanding:			
1210			
1231			
1251			
1263			
1290			

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act to promote market-based approaches to sustainable fisheries management. Funds are not used for purposes that would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 013-4324-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101		
1106		
Net value of assets related to post-1991 direct loans receivable:		
1401		
1402		
1404		
1405		
1499		
1999		
<b>LIABILITIES:</b>		
Federal liabilities:		
2101		
2103		
2105		
2999		
<b>NET POSITION:</b>		
3300		
4999		

FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 013-4417-0-3-376	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210			
2290			
Memorandum:			
2299			
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310			
2390			

Balance Sheet (in millions of dollars)

Identification code 013-4417-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
1601		
1603		
1699		
1701		
1703		
1799		
1999		
<b>LIABILITIES:</b>		
2104		
4999		

U.S. PATENT AND TRADEMARK OFFICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the USPTO, \$3,450,681,000 to remain available until expended: Provided, That the sum herein appropriated

from the general fund shall be reduced as offsetting collections of fees and surcharges assessed and collected by the USPTO under any law are received during fiscal year 2020, so as to result in a fiscal year 2020 appropriation from the general fund estimated at \$0: Provided further, That during fiscal year 2020, should the total amount of such offsetting collections be less than \$3,450,681,000 this amount shall be reduced accordingly: Provided further, That any amount received in excess of \$3,450,681,000 in fiscal year 2020 and deposited in the Patent and Trademark Fee Reserve Fund shall remain available until expended: Provided further, That the Director of USPTO shall submit a notification to reprogram funds to the Committees on Appropriations of the House of Representatives and the Senate for any amounts made available by the preceding proviso and such notification shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That any amounts reprogrammed in accordance with the preceding proviso shall be transferred to the United States Patent and Trademark Office "Salaries and Expenses" account: Provided further, That from amounts provided herein, not to exceed \$900 shall be made available in fiscal year 2020 for official reception and representation expenses: Provided further, That in fiscal year 2020 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331 (17) of that title) as provided by the Office of Personnel Management (OPM) for USPTO's specific use, of basic pay, of employees subject to subchapter III of chapter 83 of that title, and (2) the present value of the otherwise unfunded accruing costs, as determined by OPM for USPTO's specific use of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees who are enrolled in Federal Employees Health Benefits (FEHB) and Federal Employees Group Life Insurance (FGLI), shall be transferred to the Civil Service Retirement and Disability Fund, the FGLI Fund, and the Employees FEHB Fund, as appropriate, and shall be available for the authorized purposes of those accounts: Provided further, That any differences between the present value factors published in OPM's yearly 300 series benefit letters and the factors that OPM provides for USPTO's specific use shall be recognized as an imputed cost on USPTO's financial statements, where applicable: Provided further, That within the amounts appropriated, \$2,000,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the USPTO.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013–1006–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Patents .....	2,989	3,115	3,170
0802 Trademarks .....	315	358	367
0809 Reimbursable program activities, subtotal .....	3,304	3,473	3,537
0900 Total new obligations, unexpired accounts .....	3,304	3,473	3,537
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	374	448	373
1021 Recoveries of prior year unpaid obligations .....	36	35	35
1050 Unobligated balance (total) .....	410	483	408
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Base Fee Collections .....	3,337	3,359	3,451
1700 Other Income .....	6	6	6
1710 Spending authority from offsetting collections transferred to other accounts [013–0126] .....	–1	–2	–2
1750 Spending auth from offsetting collections, disc (total) .....	3,342	3,363	3,455
1930 Total budgetary resources available .....	3,752	3,846	3,863
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	448	373	326
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	581	623	643
3010 New obligations, unexpired accounts .....	3,304	3,473	3,537
3020 Outlays (gross) .....	–3,226	–3,418	–3,681
3040 Recoveries of prior year unpaid obligations, unexpired .....	–36	–35	–35
3050 Unpaid obligations, end of year .....	623	643	464

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	581	623	643
3200 Obligated balance, end of year .....	623	643	464
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,342	3,363	3,455
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,772	2,627	2,899
4011 Outlays from discretionary balances .....	454	791	782
4020 Outlays, gross (total) .....	3,226	3,418	3,681
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–8	–8	–8
4033 Non-Federal sources .....	–3,335	–3,357	–3,449
4040 Offsets against gross budget authority and outlays (total) ....	–3,343	–3,365	–3,457
4070 Budget authority, net (discretionary) .....	–1	–2	–2
4080 Outlays, net (discretionary) .....	–117	53	224
4180 Budget authority, net (total) .....	–1	–2	–2
4190 Outlays, net (total) .....	–117	53	224
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	938	938	938
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	938	938	938

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. Government agencies on intellectual property (IP) issues and promotes stronger IP protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks.

**Patent program.**—The 2020 Budget requests spending authority for examining patent applications and granting patents. USPTO will continue its priorities to issue reliable and predictable IP rights; enhance patent quality; shorten patent application pendency; ensure optimal information technology service delivery to all users; improve appeal and post-grant processes; and promote the enforcement of IP protections worldwide.

**Trademark program.**—The 2020 Budget requests spending authority for examining trademark applications; registering trademarks; maintaining high trademark quality; ensuring optimal information technology service delivery to all users; and improving trademark practices worldwide.

**Object Classification** (in millions of dollars)

Identification code 013–1006–0–1–376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,503	1,571	1,617
11.5 Other personnel compensation .....	141	149	153
11.9 Total personnel compensation .....	1,644	1,720	1,770
12.1 Civilian personnel benefits .....	563	592	669
21.0 Travel and transportation of persons .....	4	8	8
23.1 Rental payments to GSA .....	94	96	97
23.2 Rental payments to others .....	29	16	16
23.3 Communications, utilities, and miscellaneous charges .....	25	25	22
24.0 Printing and reproduction .....	143	151	156
25.1 Advisory and assistance services .....	55	56	60
25.2 Other services from non-Federal sources .....	131	156	142
25.3 Other goods and services from Federal sources .....	42	53	57
25.4 Operation and maintenance of facilities .....	18	20	21
25.7 Operation and maintenance of equipment .....	269	284	278
26.0 Supplies and materials .....	56	46	47
31.0 Equipment .....	229	246	190
44.0 Refunds .....	2	4	4
99.9 Total new obligations, unexpired accounts .....	3,304	3,473	3,537

**Employment Summary**

Identification code 013–1006–0–1–376	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	12,319	12,699	13,035

**NATIONAL TECHNICAL INFORMATION SERVICE**

*Federal Funds*

NTIS REVOLVING FUND

**Program and Financing** (in millions of dollars)

Identification code 013-4295-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 NTIS Revolving Fund (Reimbursable) .....	129	146	110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	12	18
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	106	152	110
1701 Change in uncollected payments, Federal sources .....	28		
1750 Spending auth from offsetting collections, disc (total) .....	134	152	110
1930 Total budgetary resources available .....	141	164	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	18	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	42	55
3010 New obligations, unexpired accounts .....	129	146	110
3020 Outlays (gross) .....	-105	-133	-108
3050 Unpaid obligations, end of year .....	42	55	57
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-37	-37
3070 Change in uncollected pymts, Fed sources, unexpired .....	-28		
3090 Uncollected pymts, Fed sources, end of year .....	-37	-37	-37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	5	18
3200 Obligated balance, end of year .....	5	18	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	134	152	110
Outlays, gross:			
4010 Outlays from new discretionary authority .....	96	91	66
4011 Outlays from discretionary balances .....	9	42	42
4020 Outlays, gross (total) .....	105	133	108
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-101	-147	-105
4033 Non-Federal sources .....	-5	-5	-5
4040 Offsets against gross budget authority and outlays (total) ...	-106	-152	-110
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-28		
4080 Outlays, net (discretionary) .....	-1	-19	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1	-19	-2

The National Technical Information Service (NTIS) collects and disseminates government scientific, technical, and business-related information. NTIS operates this revolving fund for the payment of all expenses incurred in performing these activities.

**Object Classification** (in millions of dollars)

Identification code 013-4295-0-3-376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	12	9
12.1 Civilian personnel benefits .....	2	5	3
22.0 Transportation of things .....		3	1
23.1 Rental payments to GSA .....	1	2	2
23.2 Rental payments to others .....		1	
23.3 Communications, utilities, and miscellaneous charges .....		2	2
24.0 Printing and reproduction .....		2	1
25.2 Other services from non-Federal sources .....	117	112	89
25.3 Other goods and services from Federal sources .....	1	2	
26.0 Supplies and materials .....		3	1
31.0 Equipment .....		2	2
99.9 Total new obligations, unexpired accounts .....	129	146	110

**Employment Summary**

Identification code 013-4295-0-3-376	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	77	150	75

**NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY**

*Federal Funds*

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the National Institute of Standards and Technology (NIST), \$611,719,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": Provided, That not to exceed \$5,000 shall be for official reception and representation expenses: Provided further, That NIST may provide local transportation for summer undergraduate research fellowship program participants.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0500-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Laboratory programs .....	622	647	556
0201 Corporate services .....	17	17	12
0301 Standards coordination and special programs .....	75	93	46
0900 Total new obligations, unexpired accounts .....	714	757	614
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	31	3
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	16	31	3
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail .....	725	725	612
1121 Transferred from State and Local Law Enforcement Assistance, DoJ [015-0404] .....	2	2	
1121 Transferred from EAC [525-1650] .....	2	2	1
1160 Appropriation, discretionary (total) .....	729	729	613
1930 Total budgetary resources available .....	745	760	616
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	189	228
3010 New obligations, unexpired accounts .....	714	757	614
3020 Outlays (gross) .....	-715	-718	-632
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	189	228	210
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	189	228
3200 Obligated balance, end of year .....	189	228	210
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	729	729	613
Outlays, gross:			
4010 Outlays from new discretionary authority .....	519	561	472
4011 Outlays from discretionary balances .....	196	157	160
4020 Outlays, gross (total) .....	715	718	632
4180 Budget authority, net (total) .....	729	729	613
4190 Outlays, net (total) .....	715	718	632

The National Institute of Standards and Technology (NIST) mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. NIST is authorized by

the NIST Organic Act (15 USC 271), which outlines major roles for NIST in promoting national competitiveness and innovation. For more than 110 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the federal government to ensure fairness in the marketplace. NIST was founded in 1901 and is one of the nation's oldest physical science laboratories. Today, the NIST Laboratory Programs work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on sound scientific and technical principles. The NIST Laboratories address increasingly complex measurement challenges, ranging from the very small (quantum devices for sensing and advanced computing) to the very large (vehicles and buildings), and from the physical infrastructure to the virtual (cybersecurity and the internet of things). As new technologies develop and evolve, NIST's measurement research and services remain critical to national defense, homeland security, trade, and innovation.

**Object Classification** (in millions of dollars)

Identification code 013-0500-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	266	280	245
11.3 Other than full-time permanent .....	24	25	25
11.5 Other personnel compensation .....	7	7	7
11.9 Total personnel compensation .....	297	312	277
12.1 Civilian personnel benefits .....	90	96	94
21.0 Travel and transportation of persons .....	12	12	11
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	18	18	5
24.0 Printing and reproduction .....	1	1	.....
25.1 Advisory and assistance services .....	2	2	3
25.2 Other services from non-Federal sources .....	43	64	10
25.3 Other goods and services from Federal sources .....	38	38	28
25.5 Research and development contracts .....	42	42	48
25.7 Operation and maintenance of equipment .....	12	12	11
26.0 Supplies and materials .....	25	26	25
31.0 Equipment .....	37	37	33
41.0 Grants, subsidies, and contributions .....	94	94	66
99.9 Total new obligations, unexpired accounts .....	714	757	614

**Employment Summary**

Identification code 013-0500-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,351	2,514	2,156

**INDUSTRIAL TECHNOLOGY SERVICES**

*For necessary expenses for industrial technology services, \$15,172,000, to remain available until expended, of which \$10,000,000 shall be available to continue funding the National Institute for Innovation in Manufacturing Biopharmaceuticals (NIIMBL): Provided, That any remaining funds shall be used for coordination of the Manufacturing USA network and to effectuate the discontinuation of Federal funding for the Hollings Manufacturing Extension Partnership: Provided further, That, should additional resources be needed to effectuate the discontinuation of Federal funding for the Hollings Manufacturing Extension Partnership, recoveries from prior-year obligations and unobligated balances under the heading "Industrial Technology Services" shall be available for such purpose.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013-0525-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Technology Innovation Program .....	.....	2	.....
0002 Hollings Manufacturing Extension Partnership .....	142	146	.....
0003 Manufacturing USA .....	16	15	15

0100 Total direct program .....	158	163	15
0900 Total new obligations, unexpired accounts .....	158	163	15
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	11	8	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	155	155	15
1930 Total budgetary resources available .....	166	163	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	209	152
3010 New obligations, unexpired accounts .....	158	163	15
3020 Outlays (gross) .....	-142	-220	-121
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	209	152	46
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	196	209	152
3200 Obligated balance, end of year .....	209	152	46
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	155	155	15
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	26	53	3
4011 Outlays from discretionary balances .....	116	167	118
4020 Outlays, gross (total) .....	142	220	121
4180 Budget authority, net (total) .....	155	155	15
4190 Outlays, net (total) .....	142	220	121

NIST's Industrial Technology Services (ITS) appropriations account consists of two extramural programs:

1. **Manufacturing USA:** Manufacturing USA, the National Network for Manufacturing Innovation serves to create effective robust manufacturing research infrastructure for U.S. industry and academia to solve industry-relevant problems. The Manufacturing USA consists of linked Institutes for Manufacturing Innovation with common goals, but unique concentrations. In an Institute, industry, academia, and government partners leverage existing resources, collaborate, and co-invest to nurture manufacturing innovation and accelerate commercialization.

2. **Hollings Manufacturing Extension Partnership (MEP):** The Budget request proposes to discontinue federal funding for the MEP program.

**Object Classification** (in millions of dollars)

Identification code 013-0525-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	10	12	3
11.3 Other than full-time permanent .....	1	1	.....
11.9 Total personnel compensation .....	11	13	3
12.1 Civilian personnel benefits .....	4	4	1
21.0 Travel and transportation of persons .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	5	7	.....
25.3 Other goods and services from Federal sources .....	1	1	.....
41.0 Grants, subsidies, and contributions .....	133	135	10
99.0 Direct obligations .....	157	163	15
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	158	163	15

INDUSTRIAL TECHNOLOGY SERVICES—Continued  
Employment Summary

Identification code 013-0525-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	85	100	20

## CONSTRUCTION OF RESEARCH FACILITIES

For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by sections 13 through 15 of the National Institute of Standards and Technology Act (15 U.S.C. 278c-278e), \$40,690,000, to remain available until expended: Provided, That the Secretary of Commerce shall include in the budget justification materials that the Secretary submits to Congress in support of the Department of Commerce budget (as submitted with the budget of the President under section 1105(a) of title 31, United States Code) an estimate for each National Institute of Standards and Technology construction project having a total multi-year program cost of more than \$5,000,000, and simultaneously the budget justification materials shall include an estimate of the budgetary requirements for each such project for each of the 5 subsequent fiscal years.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 013-0515-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Construction of Research Facilities (Direct) .....	105	585	41
0801 Construction of Research Facilities (Reimbursable) .....	1	1	
0900 Total new obligations, unexpired accounts .....	106	586	41
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	267	
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	53	267	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	319	319	41
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	320	319	41
1930 Total budgetary resources available .....	373	586	41
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	267		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	137	162	546
3010 New obligations, unexpired accounts .....	106	586	41
3020 Outlays (gross) .....	-76	-202	-236
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	162	546	351
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	137	162	546
3200 Obligated balance, end of year .....	162	546	351
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	320	319	41
Outlays, gross:			
4010 Outlays from new discretionary authority .....		64	8
4011 Outlays from discretionary balances .....	76	138	228
4020 Outlays, gross (total) .....	76	202	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-1		
4180 Budget authority, net (total) .....	319	319	41
4190 Outlays, net (total) .....	75	202	236

## Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	319	319	41
Outlays .....	75	202	236
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			19
Outlays .....			-211
Total:			
Budget Authority .....	319	319	60
Outlays .....	75	202	25

The President's Budget provides funds for basic maintenance of NIST's current facilities.

## Object Classification (in millions of dollars)

Identification code 013-0515-0-1-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	10	11	11
12.1 Civilian personnel benefits .....	3	3	4
25.2 Other services from non-Federal sources .....	18	87	21
25.3 Other goods and services from Federal sources .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	2	2
32.0 Land and structures .....	69	478	
41.0 Grants, subsidies, and contributions .....		1	
99.0 Direct obligations .....	105	585	41
99.0 Reimbursable obligations .....	1	1	
99.9 Total new obligations, unexpired accounts .....	106	586	41

## Employment Summary

Identification code 013-0515-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	100	110	110

## CONSTRUCTION OF RESEARCH FACILITIES

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of the Federal Capital Revolving Fund Act of 2019, for the annual repayment amount to the Federal Capital Revolving Fund for NIST's Building 1 Renovation in Boulder, Colorado, \$19,200,000: Provided, That \$288,000,000 is approved for a purchase transfer from the Federal Capital Revolving Fund for the Building 1 Renovation: Provided further, That such project shall be considered designated and approved pursuant to such Act, contingent upon the President's subsequent approval and designation.

## Program and Financing (in millions of dollars)

Identification code 013-0515-2-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Construction of Research Facilities (Direct) .....			288
0900 Total new obligations, unexpired accounts (object class 32.0) .....			288
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			19
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			288
1900 Budget authority (total) .....			307
1930 Total budgetary resources available .....			307
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			288
3020 Outlays (gross) .....			-77
3050 Unpaid obligations, end of year .....			211
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			211

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	307	
Outlays, gross:			
4010	Outlays from new discretionary authority	77	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources		-288
4040	Offsets against gross budget authority and outlays (total)		-288
4180	Budget authority, net (total)		19
4190	Outlays, net (total)		-211

The Budget proposes: (1) to create a Federal Capital Revolving Fund (FCRF) to fund large-dollar, Federally-owned, civilian real property capital projects; and (2) provide specific budget enforcement rules for the FCRF that would allow it to function, in effect, like State and local government capital budgets. The FCRF will be housed in the General Services Administration (GSA). This proposal incorporates principles that are central to the success of capital budgeting at the State and local level — a limit on total funding for capital investment, annual decisions on the allocation of funding for capital projects, and spreading the acquisition cost over 15 years in the discretionary operating budgets of agencies that purchase the assets.

The Budget proposes to use the FCRF concept to fund the renovation of NIST's Building 1 in Boulder, Colorado, estimated to be \$288 million. In accordance with the principles and design of the FCRF, the Budget requests appropriations language designating NIST's renovation as a project to be funded out of the FCRF along with 1/15 of the estimated purchase price, or \$19 million, for the first year repayment back to the FCRF.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4650-0-4-376	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Laboratory programs	142	158	139
0802	Corporate services	5	2	5
0803	Standards coordination and special programs	16	7	6
0804	Manufacturing USA	1		
0805	Hollings manufacturing extension partnership		2	
0900	Total new obligations, unexpired accounts	164	169	150
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	117	133	133
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	170	169	150
1701	Change in uncollected payments, Federal sources	10		
1750	Spending auth from offsetting collections, disc (total)	180	169	150
1900	Budget authority (total)	180	169	150
1930	Total budgetary resources available	297	302	283
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	133	133	133
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	145	155	75
3010	New obligations, unexpired accounts	164	169	150
3020	Outlays (gross)	-154	-249	-158
3050	Unpaid obligations, end of year	155	75	67
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-42	-52	-52
3070	Change in uncollected pymts, Fed sources, unexpired	-10		
3090	Uncollected pymts, Fed sources, end of year	-52	-52	-52
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	103	103	23
3200	Obligated balance, end of year	103	23	15
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	180	169	150

Outlays, gross:				
4010	Outlays from new discretionary authority	130	116	
4011	Outlays from discretionary balances	154	119	42
4020	Outlays, gross (total)	154	249	158
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-121	-100	-92
4033	Non-Federal sources	-49	-69	-58
4040	Offsets against gross budget authority and outlays (total)	-170	-169	-150
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-10		
4080	Outlays, net (discretionary)	-16	80	8
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-16	80	8

The Working Capital Fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

Object Classification (in millions of dollars)

Identification code 013-4650-0-4-376	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent	51	51	51
11.3	Other than full-time permanent	5	5	5
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	57	57	57
12.1	Civilian personnel benefits	17	17	17
21.0	Travel and transportation of persons	2	2	1
22.0	Transportation of things	1	1	
23.3	Communications, utilities, and miscellaneous charges	4	4	4
25.1	Advisory and assistance services	1	2	1
25.2	Other services from non-Federal sources	21	23	16
25.3	Other goods and services from Federal sources	7	7	6
25.5	Research and development contracts	9	9	7
25.7	Operation and maintenance of equipment	5	5	4
26.0	Supplies and materials	11	13	9
31.0	Equipment	25	25	25
41.0	Grants, subsidies, and contributions	4	4	3
99.9	Total new obligations, unexpired accounts	164	169	150

Employment Summary

Identification code 013-4650-0-4-376	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment	654	686	686

PUBLIC SAFETY COMMUNICATIONS RESEARCH FUND

Program and Financing (in millions of dollars)

Identification code 013-0513-0-1-376	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Public Safety Communications Research Fund (Reimbursable)	44	57	50
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	239	195	138
1930	Total budgetary resources available	239	195	138
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	195	138	88
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	31	34	40
3010	New obligations, unexpired accounts	44	57	50
3020	Outlays (gross)	-41	-51	-54
3050	Unpaid obligations, end of year	34	40	36
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	31	34	40
3200	Obligated balance, end of year	34	40	36

PUBLIC SAFETY COMMUNICATIONS RESEARCH FUND—Continued  
Program and Financing—Continued

Identification code 013-0513-0-1-376	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	41	51	54
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	41	51	54

In 2020, NIST will continue to execute mandatory resources provided in 2017 through the NIST Public Safety Communications Research Fund to help develop cutting-edge wireless technologies for public safety users, as part of the National Wireless Initiative included in the Middle Class Tax Relief and Job Creation Act of 2012.

## Object Classification (in millions of dollars)

Identification code 013-0513-0-1-376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	10	9	9
11.3 Other than full-time permanent .....	2	1	1
11.9 Total personnel compensation .....	12	10	10
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	4	2	2
25.2 Other services from non-Federal sources .....	2	3	4
25.3 Other goods and services from Federal sources .....	1	2	4
25.5 Research and development contracts .....	2	1	2
25.7 Operation and maintenance of equipment .....			1
31.0 Equipment .....	4	3	2
41.0 Grants, subsidies, and contributions .....	14	31	21
99.0 Reimbursable obligations .....	44	56	50
99.5 Adjustment for rounding .....		1	
99.9 Total new obligations, unexpired accounts .....	44	57	50

## Employment Summary

Identification code 013-0513-0-1-376	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	88	78	78

NATIONAL TELECOMMUNICATIONS AND  
INFORMATION ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), \$42,441,000, to remain available until September 30, 2021: Provided, That, notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, operations, and related services, and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: Provided further, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 013-0550-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Domestic and international policy .....	8	9	10
0002 Spectrum management .....	9	8	8
0004 Broadband programs .....	8	24	16
0007 Advanced Communication Research .....	9	8	8
0100 Total, direct program .....	34	49	42
0799 Total direct obligations .....	34	49	42
0801 Spectrum management .....	35	44	41
0802 Telecommunication sciences research .....	11	23	15
0899 Total reimbursable obligations .....	46	67	56
0900 Total new obligations, unexpired accounts .....	80	116	98

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	32	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	40	40	42
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	43	46	56
1900 Budget authority (total) .....	83	86	98
1930 Total budgetary resources available .....	112	118	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	32	2	2

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	32	56
3010 New obligations, unexpired accounts .....	80	116	98
3020 Outlays (gross) .....	-74	-92	-99
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	32	56	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	23	47
3200 Obligated balance, end of year .....	23	47	46

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	83	86	98
Outlays, gross:			
4010 Outlays from new discretionary authority .....	57	69	79
4011 Outlays from discretionary balances .....	17	23	20
4020 Outlays, gross (total) .....	74	92	99
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-44	-46	-56
4040 Offsets against gross budget authority and outlays (total) .....	-44	-46	-56
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	40	40	42
4080 Outlays, net (discretionary) .....	30	46	43
4180 Budget authority, net (total) .....	40	40	42
4190 Outlays, net (total) .....	30	46	43

## Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	40	40	42
Outlays .....	30	46	43
Legislative proposal, subject to PAYGO:			
Budget Authority .....			50
Outlays .....			10
Total:			
Budget Authority .....	40	40	92
Outlays .....	30	46	53

The National Telecommunications and Information Administration (NTIA) is the principal Executive Branch adviser on domestic and interna-

tional telecommunications and Internet policy. NTIA also manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences. The 2020 Budget: (1) continues to provide spectrum assignment and analysis support to Federal agencies; (2) supports NTIA's responsibilities under the Spectrum Pipeline Act of 2015 and MOBILE NOW Act (2018) to help identify additional federal spectrum to be shared or reallocated for commercial use. NTIA will continue with its efforts through BroadbandUSA to improve the nation's understanding of broadband availability by implementing its broadband availability data mapping initiative. BroadbandUSA will also continue to encourage and promote partnerships among state, municipal, non-profit, and private-sector organizations and to support deployment of new community broadband systems through online and in-person technical assistance, regional workshops, and guides and tools providing proven solutions to problems in broadband planning, financing, construction, and operations. The Budget proposes to establish a new Program, Project, or Activity (PPA) for Public Safety Communications to provide the critical leadership and support for the coordination, interoperability, transition, and technical resources for our nation's public safety agencies in their adoption and implementation of advanced communications technologies.

**Object Classification** (in millions of dollars)

Identification code 013–0550–0–1–376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	15	15	15
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	2
25.2 Other services from non-Federal sources .....	1	17	9
25.3 Other goods and services from Federal sources .....	8	8	8
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	33	49	42
99.0 Reimbursable obligations .....	47	67	56
99.9 Total new obligations, unexpired accounts .....	80	116	98

**Employment Summary**

Identification code 013–0550–0–1–376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	124	143	153
2001 Reimbursable civilian full-time equivalent employment .....	133	143	159

**SALARIES AND EXPENSES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 013–0550–4–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0009 Spectrum IT Modernization .....			50
0100 Total, direct program .....			50
0799 Total direct obligations .....			50
0900 Total new obligations, unexpired accounts (object class 25.2) .....			50
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512] ....			50
1930 Total budgetary resources available .....			50
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			50
3020 Outlays (gross) .....			–10
3050 Unpaid obligations, end of year .....			40
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			40

<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			50
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			10
4180 Budget authority, net (total) .....			50
4190 Outlays, net (total) .....			10

To promote efficient use of the electromagnetic spectrum, the 2020 Budget proposes to authorize the National Telecommunications and Information Administration (NTIA) to negotiate leases with private entities that would expand their access to federal spectrum. This authority will complement ongoing efforts to make Federal spectrum available for commercial uses through auctions conducted by the Federal Communications Commission. Of the anticipated receipts from this proposal, the Budget proposes to provide up to \$50 million, to remain available until expended, to NTIA to support the agency's efforts in the modernization of its spectrum IT systems, resulting in advanced automation tools; improved data accuracy and transparency; and spectrum efficiency evaluation tools. Improved analytical precision will enable NTIA and Federal agencies to, among other things, identify new spectrum sharing and leasing arrangements that are more attractive and valuable to commercial entities.

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION**

*For the administration of prior-year grants, recoveries and unobligated balances of funds previously appropriated are available for the administration of all open grants until the expiration of the grants.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 013–0551–0–1–503	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This program was terminated in 2011. However, the 2020 Budget proposes to continue to use grant recoveries and unobligated balances of funds previously appropriated to administer prior-year grants until their expiration.

**INFORMATION INFRASTRUCTURE GRANTS**

This program was discontinued in 2005, and all close-out activities were completed in 2012. Amounts remaining in the account relate to deobligations and recoveries.

**BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 013–0554–0–1–376	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	69	56	27
3020 Outlays (gross) .....	–13	–29	–24
3050 Unpaid obligations, end of year .....	56	27	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	69	56	27
3200 Obligated balance, end of year .....	56	27	3

BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT—Continued  
**Program and Financing—Continued**

Identification code 013-0554-0-1-376	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	13	29	24
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	13	29	24

The American Recovery and Reinvestment Act of 2009 provided over \$4 billion to deploy broadband and promote adoption in underserved areas. NTIA continues to provide oversight of active projects funded through these grants.

DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND

**Program and Financing** (in millions of dollars)

Identification code 013-5396-0-2-376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	3
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	10	7
3020 Outlays (gross) .....	-1	-3	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	10	7	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	10	7
3200 Obligated balance, end of year .....	10	7	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	3	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	3	7
<b>Memorandum (non-add) entries:</b>			
5103 Unexpired unavailable balance, SOY: Fulfilled purpose .....	8,807	8,807	8,807
5104 Unexpired unavailable balance, EOY: Fulfilled purpose .....	8,807	8,807	8,807

The Digital Television Transition and Public Safety Fund, created by the Deficit Reduction Act of 2005, as amended by the Digital Television Delay Act (DTV Delay Act) of 2009, received offsetting receipts from the auction of licenses to use electromagnetic spectrum formerly assigned to broadcast television service, and provided funding for several one-time programs from these receipts. Authority for all programs funded under the Act has expired.

STATE AND LOCAL IMPLEMENTATION FUND

**Program and Financing** (in millions of dollars)

Identification code 013-0516-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 State and Local Implementation Fund (Reimbursable) .....	15	23	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	30	7
1021 Recoveries of prior year unpaid obligations .....	24		

1050 Unobligated balance (total) .....	45	30	7
1930 Total budgetary resources available .....	45	30	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	7	5

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	11	15
3010 New obligations, unexpired accounts .....	15	23	2
3020 Outlays (gross) .....	-18	-19	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24		
3050 Unpaid obligations, end of year .....	11	15	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	11	15
3200 Obligated balance, end of year .....	11	15	5

**Budget authority and outlays, net:**

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	18	19	12
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	18	19	12

The Middle Class Tax Relief and Job Creation Act of 2012 provided \$135 million for grants to States and territories to plan for the build-out of a nationwide broadband network for first responders. In 2020, NTIA will administer the second phase of this grant program, which will support state and local governments to maximize the benefits of the nationwide broadband network for public safety users.

**Object Classification** (in millions of dollars)

Identification code 013-0516-0-1-376	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....	13	21	
99.0 Reimbursable obligations .....	16	23	2
99.5 Adjustment for rounding .....	-1		
99.9 Total new obligations, unexpired accounts .....	15	23	2

**Employment Summary**

Identification code 013-0516-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	5	5

NETWORK CONSTRUCTION FUND

**Program and Financing** (in millions of dollars)

Identification code 013-4358-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FirstNet .....	6,230		4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,539	40	40
1021 Recoveries of prior year unpaid obligations .....	3		
1033 Recoveries of prior year paid obligations .....	674		
1050 Unobligated balance (total) .....	6,216	40	40
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	54		
1930 Total budgetary resources available .....	6,270	40	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	40	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	5,853	4,446
3010 New obligations, unexpired accounts .....	6,230		4
3020 Outlays (gross) .....	-409	-1,407	-1,474
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		

3050	Unpaid obligations, end of year .....	5,853	4,446	2,976
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	35	5,853	4,446
3200	Obligated balance, end of year .....	5,853	4,446	2,976
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	54		
Outlays, gross:				
4101	Outlays from mandatory balances .....	409	1,407	1,474
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-728		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	674		
4170	Outlays, net (mandatory) .....	-319	1,407	1,474
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-319	1,407	1,474

The Middle Class Tax Relief and Job Creation Act of 2012 created the Network Construction Fund to receive transfers from the Public Safety Trust Fund in support of the construction and deployment of FirstNet's nationwide broadband network. All 56 states, territories, and the District of Columbia opted-in to network and FirstNet's buildout plans. FirstNet's activities are now primarily reflected in the First Responder Network Authority.

**Object Classification** (in millions of dollars)

Identification code 013-4358-0-3-376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent .....	27	
12.1	Civilian personnel benefits .....	8	
21.0	Travel and transportation of persons .....	2	
23.1	Rental payments to GSA .....	1	
25.2	Other services from non-Federal sources .....	6,180	3
25.3	Other goods and services from Federal sources .....	10	1
31.0	Equipment .....	2	
99.0	Reimbursable obligations .....	6,230	4
99.9	Total new obligations, unexpired accounts .....	6,230	4

**Employment Summary**

Identification code 013-4358-0-3-376	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	184	

**FIRST RESPONDER NETWORK AUTHORITY**

**Program and Financing** (in millions of dollars)

Identification code 013-4421-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801	First Responder Network Authority .....	37	120
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	240	323
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	240	120
1930	Total budgetary resources available .....	240	360
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	240	323
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		11
3010	New obligations, unexpired accounts .....	37	120
3020	Outlays (gross) .....	-26	-115
3050	Unpaid obligations, end of year .....		11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		11
3200	Obligated balance, end of year .....		11

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	240	120
Outlays, gross:			
4100	Outlays from new mandatory authority .....		66
4101	Outlays from mandatory balances .....		49
4110	Outlays, gross (total) .....		115
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-240	-120
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	-240	-94

The Middle Class Tax Relief and Job Creation Act of 2012 created the First Responder Network Authority (FirstNet) to ensure the building, deployment, and operation of the nationwide public safety broadband network. FirstNet is an independent authority within the Department of Commerce's National Telecommunications and Information Administration and is overseen by a 15-member Board comprised of the Secretary of Homeland Security, the Attorney General of the United States, the Director of the Office of Management and Budget, as well as 12 members that have public safety expertise, represent the interests of states, localities, tribes, and territories and/or have technical, network or financial expertise. The First Responder Network Authority (FRNA) account reflects funds that FirstNet is authorized to collect to reinvest into the network, enhance public safety communications, and manage FirstNet operations. Incoming funds that are shown in the budget schedule represent funds that FirstNet will collect for use of spectrum licensed to FirstNet. Activities not supported through the FRNA account are either supported through the Public Safety Trust Fund or the Network Construction Fund.

**Object Classification** (in millions of dollars)

Identification code 013-4421-0-3-376	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent .....	20	20
12.1	Civilian personnel benefits .....	6	19
21.0	Travel and transportation of persons .....	2	7
25.2	Other services from non-Federal sources .....	3	10
25.3	Other goods and services from Federal sources .....	4	57
31.0	Equipment .....	1	7
99.0	Reimbursable obligations .....	36	120
99.5	Adjustment for rounding .....	1	
99.9	Total new obligations, unexpired accounts .....	37	120

**Employment Summary**

Identification code 013-4421-0-3-376	2018 actual	2019 est.	2020 est.
2001	Reimbursable civilian full-time equivalent employment .....	240	240

**Trust Funds**

**PUBLIC SAFETY TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....		1,115
Receipts:			
Current law:			
1120	Spectrum Auction Receipts, Public Safety Trust Fund .....	5,895	1,115
1140	Earnings on Federal Investments, Public Safety Trust Fund .....	11	
1199	Total current law receipts .....	5,906	1,115
1999	Total receipts .....	5,906	1,115
2000	Total: Balances and receipts .....	5,906	3,715
Appropriations:			
Current law:			
2101	Public Safety Trust Fund .....	-5,906	

PUBLIC SAFETY TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
5099 Balance, end of year .....		1,115	3,715

Program and Financing (in millions of dollars)

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public Safety Trust Fund (Direct) .....	54	2	2
0002 NTIA Programmatic and Oversight .....	2	5	5
0003 First Net Administrative .....	9		
0007 NTIA Next Generation 9-1-1 .....			1
0900 Total new obligations, unexpired accounts .....	65	7	8

Budgetary resources:

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	472	6,316	6,309
1021 Recoveries of prior year unpaid obligations .....	3		1
1050 Unobligated balance (total) .....	475	6,316	6,310
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	5,906		
1900 Budget authority (total) .....	5,906		
1930 Total budgetary resources available .....	6,381	6,316	6,310
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6,316	6,309	6,302

Change in obligated balance:

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	2	
3010 New obligations, unexpired accounts .....	65	7	8
3020 Outlays (gross) .....	-66	-9	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		-1
3050 Unpaid obligations, end of year .....	2		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	2	
3200 Obligated balance, end of year .....	2		

Budget authority and outlays, net:

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	5,906		
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	66	9	7
4180 Budget authority, net (total) .....	5,906		
4190 Outlays, net (total) .....	66	9	7

Memorandum (non-add) entries:

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
5000 Total investments, SOY: Federal securities: Par value .....	333	6,243	7,500
5001 Total investments, EOY: Federal securities: Par value .....	6,243	7,500	8,500

The Middle Class Tax Relief and Job Creation Act of 2012 (the Act) created the First Responder Network Authority (FirstNet) within the National Telecommunications and Information Administration (NTIA) and directed that up to \$7 billion of auction proceeds be used to support the establishment of a nationwide, interoperable public safety broadband network. Resources in this account have primarily funded FirstNet's and NTIA's public safety activities with some support for public safety communications research and Next Generation 911 (NG911) activities.

The amounts presented in the budget schedules for 2020 reflect funding for NTIA's implementation and a small portion of FirstNet's administrative costs. In 2020, the funding for FirstNet's activities will transition to the First Responder Network Authority with only a minimal amount remaining in the Public Safety Trust Fund and the Network Construction Fund.

Object Classification (in millions of dollars)

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	1	1
12.1 Civilian personnel benefits .....	2		
25.2 Other services from non-Federal sources .....	1	3	4
25.3 Other goods and services from Federal sources .....	2	3	3

94.0 Financial transfers .....	54		
99.0 Direct obligations .....	64	7	8
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	65	7	8

Employment Summary

Identification code 013-8233-0-7-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	40	8	6

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
013-271710 Fisheries Finance, Negative Subsidies .....	4	3	8
013-271730 Fisheries Finance, Downward Reestimates of Subsidies .....	7	12	
013-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	10		
General Fund Offsetting receipts from the public .....	21	15	8

GENERAL PROVISIONS—DEPARTMENT OF COMMERCE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 102. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

SEC. 103. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation, except for the Information Technology System Modernization and Working Capital Fund (IT Working Capital Fund), shall be increased by more than 10 percent by any such transfers: Provided, That, except for transfers to the IT Working Capital Fund, any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That any funds transferred to the IT Working Capital Fund shall remain available for three fiscal years.

SEC. 104. Notwithstanding any other provision of law, the Secretary may furnish services (including but not limited to utilities, telecommunications, and security services) necessary to support the operation, maintenance, and improvement of space that persons, firms, or organizations are authorized, pursuant to the Public Buildings Cooperative Use Act of 1976 or other authority, to use or occupy in the Herbert C. Hoover Building, Washington, DC, or other buildings, the maintenance, operation, and protection of which has been delegated to the Secretary from the Administrator of General Services pursuant to the Federal Property and Administrative Services Act of 1949 on a reimbursable or non-reimbursable basis. Amounts received as reimbursement for services provided under this section or the authority under which the use or occupancy of the space is authorized, up to \$200,000, shall be credited to the appropriation or fund which initially bears the costs of such services.

SEC. 105. Nothing in this title shall be construed to prevent a grant recipient from deterring child pornography, copyright infringement, or any other unlawful activity over its networks.

SEC. 106. The Administrator of the National Oceanic and Atmospheric Administration is authorized to use, with their consent, with reimbursement and subject to the limits of available appropriations, the land, services, equipment, personnel, and

facilities of any department, agency, or instrumentality of the United States, or of any State, local government, Indian tribal government, Territory, or possession, or of any political subdivision thereof, or of any foreign government or international organization, for purposes related to carrying out the responsibilities of any statute administered by the National Oceanic and Atmospheric Administration.

SEC. 107. The National Technical Information Service shall not charge any customer for a copy of any report or document generated by the Legislative Branch unless the Service has provided information to the customer on how an electronic copy of such report or document may be accessed and downloaded for free online. Should a customer still require the Service to provide a printed or digital copy of the report or document, the charge shall be limited to recovering the Service's cost of processing, reproducing, and delivering such report or document.

SEC. 108. To carry out the responsibilities of the National Oceanic and Atmospheric Administration (NOAA), the Administrator of NOAA is authorized to: (1) enter into grants and cooperative agreements with; (2) use on a non-reimbursable basis land, services, equipment, personnel, and facilities provided by; and (3) receive and expend funds made available on a consensual basis from a Federal agency, State or subdivision thereof, local government, tribal government, territory, or possession or any subdivisions thereof, foreign government, international or intergovernmental organization, public or private organization, or individual: Provided, That funds received for permitting and related regulatory activities pursuant to this section shall be deposited under the heading "National Oceanic and Atmospheric Administration—Operations, Research, and Facilities" and shall remain available until expended, for such purposes: Provided further, That all funds within this section and their corresponding uses are subject to section 504 of this Act.

SEC. 109. Amounts provided by this Act or by any prior appropriations Act that remain available for obligation, for necessary expenses for programs of the Bureau of Economic Analysis and the U.S. Census Bureau, shall be available for expenses of cooperative agreements with appropriate entities, including any Federal, State, or local governmental unit, or institution of higher education, to aid and promote statistical, research, and methodology activities which further the purposes for which such amounts have been made available.

## GENERAL PROVISIONS

(INCLUDING CANCELLATION)

(INCLUDING TRANSFER OF FUNDS)

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 503. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. 504. None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates or initiates a new program, project or activity; (2) eliminates a program, project or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes or renames offices, programs or activities; (6) contracts out or privatizes any functions or activities presently performed by Federal employees; (7) augments existing programs, projects or activities in excess of \$1,000,000 or 10 percent, whichever is less, or reduces by 10 percent funding for any program, project or activity, or numbers of personnel by 10 percent; or (8) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects or activities as approved by Congress; unless the House and Senate Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

SEC. 505. (a) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act,

pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

(b)(1) To the extent practicable, with respect to authorized purchases of promotional items, funds made available by this Act shall be used to purchase items that are manufactured, produced, or assembled in the United States, its territories or possessions.

(2) The term "promotional items" has the meaning given the term in OMB Circular A-87, Attachment B, Item (1)(f)(3).

(TRANSFER AUTHORITY)

SEC. 506. Any costs incurred by a department or agency funded under this Act resulting from, or to prevent, personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: Provided further, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That for the Department of Commerce, this section shall also apply to actions taken for the care and protection of loan collateral or grant property.

SEC. 507. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products.

SEC. 508. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

SEC. 509. (a) None of the funds appropriated or otherwise made available under this Act may be used by the Departments of Commerce and Justice, the National Aeronautics and Space Administration, or the National Science Foundation to acquire a high-impact or moderate-impact information system, as defined for security categorization in the National Institute of Standards and Technology's (NIST) Federal Information Processing Standard Publication 199, "Standards for Security Categorization of Federal Information and Information Systems" unless the agency has—

(1) reviewed the supply chain risk for the information systems against criteria developed by NIST to inform acquisition decisions for high-impact and moderate-impact information systems within the Federal Government;

(2) reviewed the supply chain risk from the presumptive awardee against available and relevant threat information provided by the Federal Bureau of Investigation (FBI) and other appropriate agencies; and

(3) in consultation with the FBI or other appropriate Federal entity, conducted an assessment of any risk of cyber-espionage or sabotage associated with the acquisition of such system, including any risk associated with such system being produced, manufactured, or assembled by one or more entities identified by the United States Government as posing a cyber threat, including but not limited to, those that may be owned, directed, or subsidized by the People's Republic of China.

(b) None of the funds appropriated or otherwise made available under this Act may be used to acquire a high-impact or moderate-impact information system reviewed and assessed under subsection (a) unless the head of the assessing entity described in subsection (a) has—

(1) developed, in consultation with NIST and supply chain risk management experts, a mitigation strategy for any identified risks;

(2) determined that the acquisition of such system is in the national interest of the United States; and

(3) reported that determination to the Committees on Appropriations of the House of Representatives and the Senate and the agency Inspector General.

SEC. 510. None of the funds made available in this Act shall be used in any way whatsoever to support or justify the use of torture by any official or contract employee of the United States Government.

SEC. 511. None of the funds made available in this Act may be used to authorize or issue a national security letter in contravention of any of the following laws authorizing the Federal Bureau of Investigation to issue national security letters: The Right to Financial Privacy Act; The Electronic Communications Privacy Act; The Fair Credit Reporting Act; The National Security Act of 1947; USA PATRIOT Act; USA FREEDOM Act of 2015; and the laws amended by these Acts.

SEC. 512. If at any time during any quarter, the program manager of a project within the jurisdiction of the Departments of Commerce or Justice, the National Aeronautics and Space Administration, or the National Science Foundation totaling more than \$250,000,000 has reasonable cause to believe that the total program cost has increased by 10 percent or more, the program manager shall immediately inform the respective Secretary, Administrator, or Director. The Secretary, Administrator, or Director shall notify the House and Senate Committees on Appropriations within 30 days in writing of such increase, and shall include in such notice: the date on

which such determination was made; a statement of the reasons for such increases; the action taken and proposed to be taken to control future cost growth of the project; changes made in the performance or schedule milestones and the degree to which such changes have contributed to the increase in total program costs or procurement costs; new estimates of the total project or procurement costs; and a statement validating that the project's management structure is adequate to control total project or procurement costs.

SEC. 513. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year 2020 until the enactment of the Intelligence Authorization Act for fiscal year 2020.

SEC. 514. None of the funds appropriated or otherwise made available by this Act may be used to enter into a contract in an amount greater than \$5,000,000 or to award a grant in excess of such amount unless the prospective contractor or grantee certifies in writing to the agency awarding the contract or grant that, to the best of its knowledge and belief, the contractor or grantee has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

(CANCELLATION)

SEC. 515. (a) Of the unobligated balances from prior year appropriations available to the Department of Commerce's Economic Development Administration, Economic Development Assistance Programs, \$35,000,000 are permanently cancelled, not later than September 30, 2020: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

(b) Of the unobligated balances from prior year appropriations available to the Department of Commerce's International Trade Administrations, Operations and Administrations Program, \$3,000,000 are permanently cancelled, not later than September 30, 2020.

SEC. 516. None of the funds made available in this Act may be used to purchase first class or premium airline travel in contravention of sections 301–10.122 through 301–10.124 of title 41 of the Code of Federal Regulations.

SEC. 517. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees from a Federal department or agency, who are stationed in the United States, at any single conference occurring outside the United States unless such conference is a law enforcement training or operational conference for law enforcement personnel and the majority of Federal employees in attendance are law enforcement personnel stationed outside the United States, or the department or agency head determines that such attendance is in the national interest and notifies the Committees on Appropriations of House of Representatives and the Senate within at least 10 days of that determination and basis for that determination.

SEC. 518. To the extent practicable, funds made available in this Act should be used to purchase light bulbs that are "Energy Star" qualified or have the "Federal Energy Management Program" designation.

SEC. 519. (a) None of the funds made available by this Act may be used for the National Aeronautics and Space Administration (NASA) to develop, design, plan, promulgate, implement, or execute a bilateral policy, program, order, or contract of any kind to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company unless such activities are specifically authorized by a law enacted after the date of enactment of this Act.

(b) None of the funds made available by this Act may be used to effectuate the hosting of official Chinese visitors at facilities belonging to or utilized by NASA.

(c) The limitations described in subsections (a) and (b) shall not apply to activities which NASA has certified—

(1) pose no risk of resulting in the transfer of technology, data, or other information with national security or economic security implications to China or a Chinese-owned company; and

(2) will not involve knowing interactions with officials who have been determined by the United States to have direct involvement with violations of human rights.

(d) Any certification made under subsection (c) shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate, and the Federal Bureau of Investigation, no later than 30 days prior to the activity in question and shall include a description of the purpose of the activity, its agenda, its major participants, and its location and timing.

SEC. 520. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, adjudication, or other law enforcement- or victim assistance-related activity.

SEC. 521. The Departments of Commerce and Justice, the National Aeronautics and Space Administration, the National Science Foundation, the Commission on Civil Rights, the International Trade Commission, the Legal Services Corporation, and the State Justice Institute shall submit spending plans, signed by the respective department or agency head, to the Committees on Appropriations of the House of Representatives and the Senate within 60 days after the date of enactment of this Act.

SEC. 522. The head of any executive branch department, agency, board, commission, or office funded by this Act shall require that all contracts within their purview that provide award fees link such fees to successful acquisition outcomes, specifying the terms of cost, schedule, and performance.

SEC. 523. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or for performance that does not meet the basic requirements of a contract.

SEC. 524. The Department of Commerce, the National Aeronautics and Space Administration, and the National Science Foundation shall provide a quarterly report to the Committees on Appropriations of the House of Representatives and the Senate on any official travel to China by any employee of such Department or agency, including the purpose of such travel.

SEC. 525. Section 601(d)(2) of the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3211), is amended—

(1) by striking "(2) RELEASE.—" and inserting the following:

"(2) RELEASE.—

"(A) IN GENERAL.—"; and

(2) by adding at the end the following:

"(B) REVOLVING LOAN FUND PROGRAM.—

"The Secretary may release, subject to terms and conditions the Secretary determines appropriate, the Federal Government's interest in connection with a grant under section 209(d) not less than 7 years after final disbursement of the grant, if—

"(i) the recipient has carried out the terms of the award in a satisfactory manner;

"(ii) any proceeds realized from the release of the Federal Government's interest will be used for one or more activities that continue to carry out the economic development purposes of this Act; and

"(iii) the recipient shall provide adequate assurance to the Secretary that at all times after release of the Federal Government's interest in connection with the grant, the recipient will be responsible for continued compliance with the requirements of section 602 in the same manner it was responsible prior to release of the Federal Government's interest and that the recipient's failure to comply shall result in the Secretary taking appropriate action."

SEC. 526. (a) Notwithstanding any other provision of law or treaty, none of the funds appropriated or otherwise made available under this Act or any other Act may be expended or obligated by a department, agency, or instrumentality of the United States to pay administrative expenses or to compensate an officer or employee of the United States in connection with requiring an export license for the export to Canada of components, parts, accessories or attachments for firearms listed in Category I, section 121.1 of title 22, Code of Federal Regulations (International Trafficking in Arms Regulations (ITAR), part 121, as it existed on April 1, 2005) with a total value not exceeding \$500 wholesale in any transaction, provided that the conditions of subsection (b) of this section are met by the exporting party for such articles.

(b) The foregoing exemption from obtaining an export license—

(1) does not exempt an exporter from filing any Shipper's Export Declaration or notification letter required by law, or from being otherwise eligible under the laws of the United States to possess, ship, transport, or export the articles enumerated in subsection (a); and

(2) does not permit the export without a license of—

(A) fully automatic firearms and components and parts for such firearms, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada;

(B) barrels, cylinders, receivers (frames) or complete breech mechanisms for any firearm listed in Category I, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada; or

(C) articles for export from Canada to another foreign destination.

(c) In accordance with this section, the District Directors of Customs and postmasters shall permit the permanent or temporary export without a license of any unclassified articles specified in subsection (a) to Canada for end use in Canada or return to the United States, or temporary import of Canadian-origin items from Canada for end use in the United States or return to Canada for a Canadian citizen.

(d) The President may require export licenses under this section on a temporary basis if the President determines, upon publication first in the Federal Register, that the Government of Canada has implemented or maintained inadequate import controls for the articles specified in subsection (a), such that a significant diversion of such articles has and continues to take place for use in international terrorism or in the escalation of a conflict in another nation. The President shall terminate the requirements of a license when reasons for the temporary requirements have ceased.

SEC. 527. Notwithstanding any other provision of law, no department, agency, or instrumentality of the United States receiving appropriated funds under this Act or

any other Act shall obligate or expend in any way such funds to pay administrative expenses or the compensation of any officer or employee of the United States to deny any application submitted pursuant to 22 U.S.C. 2778(b)(1)(B) and qualified pursuant to 27 CFR section 478.112 or .113, for a permit to import United States origin "curios or relics" firearms, parts, or ammunition.

SEC. 528. None of the funds made available by this Act may be used to pay the salaries or expenses of personnel to deny, or fail to act on, an application for the importation of any model of shotgun if: (1) all other requirements of law with respect to the proposed importation are met; and (2) no application for the importation of such model of shotgun, in the same configuration, had been denied by the Attorney General prior to January 1, 2011, on the basis that the shotgun was not particularly suitable for or readily adaptable to sporting purposes.

SEC. 529. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.



## DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

### MILITARY PERSONNEL ACTIVE AND RESERVE FORCES

These appropriations finance the personnel costs of the Active, Reserve, and Guard forces of the Army, Navy, Marine Corps, and Air Force. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel, inactive duty and active duty training, accruing retirement and health benefits, enlistment, reenlistment and affiliation bonuses, special and incentive pays, and other personnel costs. From 2000 to 2010, significant pay increases, coupled with requirements to accrue funds for future health benefits, greatly increased the requirements of these appropriations and have substantially improved the military compensation package to very competitive levels for attracting and retaining the best and brightest to fill the All-Volunteer Force.

Against this backdrop of a healthy and very competitive compensation package much work has been done over the last decade to explore how to balance the rate of growth in military pay and benefit costs and individual compensation responsibly, fairly, and effectively. Included in these accounts is funding for a 3.1 percent across-the-board pay raise for all pay grades, effective January 1, 2020. This pay raise is equal to the increase in the Employment Cost Index (ECI) for wages and salaries, for private industry workers as called for in law, and it is the highest military pay raise in 10 years. Even with this modest increase, military salaries, as defined by Regular Military Compensation, which includes basic pay, a tax-free allowance for housing or the value of in-kind housing, a tax-free allowance for subsistence (food), and the tax savings because these allowances are tax free, will continue to grow and will average more than \$62,900 for enlisted personnel and more than \$115,000 for officers in 2020. Many military personnel also receive one-time or yearly bonuses, monthly special pays, other allowances, and significant non-cash benefits, including comprehensive health care.

The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108–375) provided permanent, indefinite appropriations to finance the cost of accruing TRICARE benefits of uniformed servicemembers. These costs are included in the DOD discretionary total.

The following summary table reflects the enacted base and Overseas Contingency Operations 2019 amounts and the base 2020 military personnel appropriation request and the additional amounts for TRICARE accrual funded from permanent, indefinite authority. Total base 2020 military personnel requirements are \$151,293 million.

#### MILITARY PERSONNEL TOTALS WITH TRICARE ACCRUAL AMOUNTS

	2019 Appropriation Enacted <sup>1</sup> (\$ mil)	2020 Base Appropriation Request (\$ mil)
	With Accrual	With Accrual
Military Personnel, Army .....	45,619	43,347
Tricare accrual (permanent, indefinite authority) .....	2,142	2,186
<b>Total, Military Personnel, Army .....</b>	<b>47,761</b>	<b>45,533</b>
Military Personnel, Navy .....	30,550	31,831
Tricare accrual (permanent, indefinite authority) .....	1,466	1,550
<b>Total, Military Personnel, Navy .....</b>	<b>32,016</b>	<b>33,381</b>
Military Personnel, Marine Corps .....	13,888	14,175
Tricare accrual (permanent, indefinite authority) .....	831	860
<b>Total, Military Personnel, Marine Corps .....</b>	<b>14,719</b>	<b>15,035</b>
Military Personnel, Air Force .....	31,039	31,285
Tricare accrual (permanent, indefinite authority) .....	1,449	1,515
<b>Total, Military Personnel, Air Force .....</b>	<b>32,488</b>	<b>32,800</b>
Reserve Personnel, Army .....	4,874	4,965
Tricare accrual (permanent, indefinite authority) .....	387	395
<b>Total, Reserve Personnel, Army .....</b>	<b>5,261</b>	<b>5,359</b>

Reserve Personnel, Navy .....	2,060	2,124
Tricare accrual (permanent, indefinite authority) .....	131	137
<b>Total, Reserve Personnel, Navy .....</b>	<b>2,191</b>	<b>2,261</b>
Reserve Personnel, Marine Corps .....	785	839
Tricare accrual (permanent, indefinite authority) .....	74	77
<b>Total, Reserve Personnel, Marine Corps .....</b>	<b>859</b>	<b>916</b>
Reserve Personnel, Air Force .....	1,881	2,038
Tricare accrual (permanent, indefinite authority) .....	133	140
<b>Total, Reserve Personnel, Air Force .....</b>	<b>2,014</b>	<b>2,178</b>
National Guard Personnel, Army .....	8,796	8,808
Tricare accrual (permanent, indefinite authority) .....	685	704
<b>Total, National Guard Personnel, Army .....</b>	<b>9,482</b>	<b>9,512</b>
National Guard Personnel, Air Force .....	3,705	4,064
Tricare accrual (permanent, indefinite authority) .....	236	255
<b>Total, National Guard Personnel, Air Force .....</b>	<b>3,941</b>	<b>4,318</b>
<b>Total, Appropriated Military Personnel Accounts .....</b>	<b>143,198</b>	<b>143,477</b>
<b>Total, Permanent, Indefinite Authority .....</b>	<b>7,533</b>	<b>7,817</b>
<b>Total, Military Personnel .....</b>	<b>150,731</b>	<b>151,293</b>

<sup>1</sup>The 2019 column reflects amounts available under the Department of Defense Appropriations Act, 2019 (P.L. 115–245), including funds provided for Overseas Contingency Operations. Totals may not add due to rounding.

### ACTIVE FORCES

#### YEAR-END NUMBER

	2018 actual	2019 est. <sup>1</sup>	2020 est.
Defense total .....	1,317,444	1,333,285	1,339,500
Officers .....	230,716	232,421	232,530
Enlisted .....	1,073,435	1,087,642	1,094,108
Academy cadets and midshipmen .....	13,293	13,222	12,862
Army .....	476,179	478,000	480,000
Officers .....	91,999	92,368	92,359
Enlisted .....	379,605	381,118	383,127
Military Academy cadets .....	4,575	4,514	4,514
Navy .....	329,867	338,877	340,500
Officers .....	54,745	55,230	54,995
Enlisted .....	270,666	279,181	281,157
Naval Academy midshipmen .....	4,456	4,466	4,348
Marine Corps .....	185,518	186,100	186,200
Officers .....	21,332	21,433	21,512
Enlisted .....	164,186	164,667	164,688
Air Force .....	325,880	330,308	332,800
Officers .....	62,640	63,390	63,664
Enlisted .....	258,978	262,676	265,136
Air Force Academy cadets .....	4,262	4,242	4,000

<sup>1</sup>The 2019 column reflects the projected end strength levels.

### RESERVE FORCES

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

#### YEAR-END NUMBER

	2018 actual	2019 est. <sup>1</sup>	2020 est.
Defense total .....	796,716	799,293	800,800
Trained inactive duty .....	668,776	669,970	666,048
Training pipeline .....	48,967	46,219	48,037
Full-time active duty .....	78,973	83,104	86,715
Army Reserve .....	188,811	189,250	189,500
Trained inactive duty .....	164,183	165,237	165,096
Training pipeline .....	7,994	7,627	7,893
Full-time active duty .....	16,634	16,386	16,511
Navy Reserve .....	58,196	59,074	59,000
Trained inactive duty .....	46,613	47,712	47,277
Training pipeline .....	1,469	1,232	1,568

YEAR-END NUMBER—Continued

	2018 actual	2019 est. <sup>1</sup>	2020 est.
Full-time active duty .....	10,114	10,130	10,155
Marine Corps Reserve .....	38,333	38,369	38,500
Trained inactive duty .....	32,827	32,654	32,682
Training pipeline .....	3,207	3,432	3,432
Full-time active duty .....	2,299	2,283	2,386
Air Force Reserve .....	68,703	70,000	70,100
Trained inactive duty .....	61,485	63,664	63,182
Training pipeline .....	3,832	2,487	2,487
Full-time active duty .....	3,386	3,849	4,431
Army National Guard .....	335,204	335,500	336,000
Trained inactive duty .....	278,892	277,237	276,521
Training pipeline .....	25,725	27,668	28,884
Full-time active duty .....	30,587	30,595	30,595
Air National Guard .....	107,469	107,100	107,700
Trained inactive duty .....	84,776	83,466	81,290
Training pipeline .....	6,740	3,773	3,773
Full-time active duty .....	15,953	19,861	22,637

<sup>1/</sup>The 2019 column reflects the projected end strength levels.

The Reserve Officers' Training Corps (ROTC) program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of three years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of four years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces Health Professions Scholarship Program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

	2018 actual	2019 est.	2020 est.
ROTC:			
Army .....	5,522	5,865	6,000
Navy .....	1,084	1,055	1,059
Air Force .....	1,925	2,329	2,337
Total .....	8,531	9,249	9,396
Marine Corps officer candidates .....	461	379	433
Total .....	461	379	433
Health Professions scholarship:			
Army .....	396	402	402
Navy .....	292	326	331
Air Force .....	404	422	422
Total .....	1,092	1,150	1,155

Federal Funds

MILITARY PERSONNEL, ARMY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$42,690,042,000] \$43,347,472,000, of which up to \$1,663,472,000 shall remain available until September 30, 2021, for permanent change of station travel. (Department of Defense Appropriations Act, 2019.)**

Program and Financing (in millions of dollars)

Identification code 021-2010-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and Allowances of Officers .....	13,372	14,004	13,455
0002 Pay and Allowances of Enlisted .....	25,438	27,151	25,984
0003 Pay and Allowances of Cadets .....	85	87	90

0004 Subsistence of Enlisted Personnel .....	2,169	2,270	1,890
0005 Permanent change of station travel .....	1,680	1,785	1,663
0006 Other military personnel costs .....	310	322	265
0799 Total direct obligations .....	43,054	45,619	43,347
0801 Reimbursable program activity .....	290	293	313
0900 Total new obligations, unexpired accounts .....	43,344	45,912	43,660

Budgetary resources:

Unobligated balance:			
1011 Unobligated balance transfer from other acct [097-9999] ....	126		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44,313	45,619	43,347
1120 Appropriations transferred to other acct [097-9999] .....	-1,381		
1160 Appropriation, discretionary (total) .....	42,932	45,619	43,347
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	110	293	313
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	114	293	313
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	176		
1900 Budget authority (total) .....	43,222	45,912	43,660
1930 Total budgetary resources available .....	43,348	45,912	43,660
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,171	4,293	4,729
3010 New obligations, unexpired accounts .....	43,344	45,912	43,660
3011 Obligations ("upward adjustments"), expired accounts .....	1,882		
3020 Outlays (gross) .....	-41,559	-45,476	-43,111
3041 Recoveries of prior year unpaid obligations, expired .....	-2,545		
3050 Unpaid obligations, end of year .....	4,293	4,729	5,278
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,164	4,288	4,724
3200 Obligated balance, end of year .....	4,288	4,724	5,273

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	43,046	45,912	43,660
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40,418	41,350	39,325
4011 Outlays from discretionary balances .....	965	4,126	3,786
4020 Outlays, gross (total) .....	41,383	45,476	43,111
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-205	-293	-313
4040 Offsets against gross budget authority and outlays (total) ....	-205	-293	-313
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	95		
4060 Additional offsets against budget authority only (total) .....	91		
4070 Budget authority, net (discretionary) .....	42,932	45,619	43,347
4080 Outlays, net (discretionary) .....	41,178	45,183	42,798
Mandatory:			
4090 Budget authority, gross .....	176		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	176		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-176		
4180 Budget authority, net (total) .....	42,932	45,619	43,347
4190 Outlays, net (total) .....	41,178	45,183	42,798

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	42,932	45,619	43,347
Outlays .....	41,178	45,183	42,798
Overseas contingency operations:			
Budget Authority .....			2,743

Outlays .....			2,469
<b>Total:</b>			
Budget Authority .....	42,932	45,619	46,090
Outlays .....	41,178	45,183	45,267

**Object Classification** (in millions of dollars)

Identification code 021-2010-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	6,972	7,323	6,804
11.7 Military personnel .....	24,231	25,216	24,051
11.9 Total personnel compensation .....	31,203	32,539	30,855
12.2 Military personnel benefits .....	5,820	6,557	6,538
12.2 Military personnel benefits .....	4,278	4,641	4,277
13.0 Benefits for former personnel .....	100	118	69
21.0 Travel and transportation of persons .....	360	375	302
22.0 Transportation of things .....	1,079	1,173	1,108
25.7 Operation and maintenance of equipment .....	2	4	1
26.0 Supplies and materials .....	154	156	154
42.0 Insurance claims and indemnities .....	54	53	43
43.0 Interest and dividends .....	4		
99.0 Direct obligations .....	43,054	45,620	43,347
99.0 Reimbursable obligations .....	290	292	313
99.9 Total new obligations, unexpired accounts .....	43,344	45,912	43,660

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 021-1004-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	444	407	427
0002 Health care contribution - Enlisted .....	1,841	1,734	1,759
0900 Total new obligations, unexpired accounts (object class 12.2) .....	2,285	2,141	2,186
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,285	2,141	2,186
1930 Total budgetary resources available .....	2,285	2,141	2,186
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	2,285	2,141	2,186
3020 Outlays (gross) .....	-2,285	-2,141	-2,186
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,285	2,141	2,186
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,285	2,141	2,186
4180 Budget authority, net (total) .....	2,285	2,141	2,186
4190 Outlays, net (total) .....	2,285	2,141	2,186

**MILITARY PERSONNEL, NAVY**

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$30,164,481,000] \$31,831,199,000, of which up to \$879,457,000 shall remain available until September 30, 2021, for permanent change of station travel. (Department of Defense Appropriations Act, 2019.)**

**Program and Financing** (in millions of dollars)

Identification code 017-1453-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	8,069	8,441	8,632
0002 Pay and allowances of enlisted personnel .....	18,611	19,702	20,847
0003 Pay and Allowances of Cadets .....	82	84	85
0004 Subsistence of enlisted personnel .....	1,204	1,240	1,273
0005 Permanent change of station travel .....	942	953	879
0006 Other Military Personnel Costs .....	132	129	115
0799 Total direct obligations .....	29,040	30,549	31,831
0801 Reimbursable program activity .....	412	367	434
0900 Total new obligations, unexpired accounts .....	29,452	30,916	32,265
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....			1
1011 Unobligated balance transfer from other acct [097-9999] .....	60		
1050 Unobligated balance (total) .....	60		1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	29,150	30,549	31,831
1120 Appropriations transferred to other acct [097-9999] .....	-142		
1121 Appropriations transferred from other acct [097-9999] .....	5	1	
1160 Appropriation, discretionary (total) .....	29,013	30,550	31,831
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	201	367	435
1701 Change in uncollected payments, Federal sources .....	27		
1750 Spending auth from offsetting collections, disc (total) .....	228	367	435
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	184		
1900 Budget authority (total) .....	29,425	30,917	32,266
1930 Total budgetary resources available .....	29,485	30,917	32,267
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-33		
1941 Unexpired unobligated balance, end of year .....		1	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,024	1,896	2,733
3010 New obligations, unexpired accounts .....	29,452	30,916	32,265
3011 Obligations ("upward adjustments"), expired accounts .....	344		
3020 Outlays (gross) .....	-28,411	-30,079	-31,891
3041 Recoveries of prior year unpaid obligations, expired .....	-513		
3050 Unpaid obligations, end of year .....	1,896	2,733	3,107
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-30	-30
3070 Change in uncollected pymts, Fed sources, unexpired .....	-27		
3071 Change in uncollected pymts, Fed sources, expired .....	16		
3090 Uncollected pymts, Fed sources, end of year .....	-30	-30	-30
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,005	1,866	2,703
3200 Obligated balance, end of year .....	1,866	2,703	3,077
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	29,241	30,917	32,266
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	27,659	28,183	29,401
4011 Outlays from discretionary balances .....	568	1,896	2,490
4020 Outlays, gross (total) .....	28,227	30,079	31,891
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-219	-367	-435
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-220	-367	-435
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-27		
4052 Offsetting collections credited to expired accounts .....	19		
4060 Additional offsets against budget authority only (total) .....	-8		
4070 Budget authority, net (discretionary) .....	29,013	30,550	31,831
4080 Outlays, net (discretionary) .....	28,007	29,712	31,456
<b>Mandatory:</b>			
4090 Budget authority, gross .....	184		
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	184		

MILITARY PERSONNEL, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1453-0-1-051	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-138		
4123 Non-Federal sources	-46		
4130 Offsets against gross budget authority and outlays (total)	-184		
4180 Budget authority, net (total)	29,013	30,550	31,831
4190 Outlays, net (total)	28,007	29,712	31,456

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	29,013	30,550	31,831
Outlays	28,007	29,712	31,456
Overseas contingency operations:			
Budget Authority			356
Outlays			338
Total:			
Budget Authority	29,013	30,550	32,187
Outlays	28,007	29,712	31,794

Object Classification (in millions of dollars)

Identification code 017-1453-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	5,851	5,929	6,295
11.7 Military personnel	15,781	16,447	17,223
11.9 Total personnel compensation	21,632	22,376	23,518
12.2 Military personnel benefits	3,799	4,245	4,525
12.2 Military personnel benefits	2,682	2,899	2,835
13.0 Benefits for former personnel	48	50	42
21.0 Travel and transportation of persons	232	248	230
22.0 Transportation of things	585	588	542
25.7 Operation and maintenance of equipment	15	13	14
26.0 Supplies and materials	19	104	102
42.0 Insurance claims and indemnities	26	25	22
43.0 Interest and dividends	1	1	1
99.0 Direct obligations	29,039	30,549	31,831
99.0 Reimbursable obligations	413	367	434
99.9 Total new obligations, unexpired accounts	29,452	30,916	32,265

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY

Program and Financing (in millions of dollars)

Identification code 017-1000-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers	267	245	256
0002 Health care contribution - Enlisted	1,310	1,221	1,294
0900 Total new obligations, unexpired accounts (object class 12.2)	1,577	1,466	1,550
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,577	1,466	1,550
1930 Total budgetary resources available	1,577	1,466	1,550
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1,577	1,466	1,550
3020 Outlays (gross)	-1,577	-1,466	-1,550
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,577	1,466	1,550
Outlays, gross:			
4010 Outlays from new discretionary authority	1,577	1,466	1,550
4180 Budget authority, net (total)	1,577	1,466	1,550

4190 Outlays, net (total)	1,577	1,466	1,550
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MILITARY PERSONNEL, MARINE CORPS

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$13,779,038,000]** \$14,175,211,000, of which up to \$443,707,000 shall remain available until September 30, 2021, for permanent change of station travel. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 017-1105-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers	2,882	3,065	3,121
0002 Pay and allowances of enlisted personnel	9,003	9,517	9,742
0004 Subsistence of enlisted personnel	809	814	817
0005 Permanent change of station travel	448	437	444
0006 Other military personnel costs	56	55	51
0799 Total direct obligations	13,198	13,888	14,175
0801 P/A of Officers	27	11	11
0802 P/A of Enlisted		4	4
0804 Subsistence of Enlisted Personnel		14	14
0899 Total reimbursable obligations		29	29
0900 Total new obligations, unexpired accounts	13,225	13,917	14,204

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
1011 Unobligated balance transfer from other acct [097-9999]	14		
1050 Unobligated balance (total)	14		1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13,335	13,889	14,175
1120 Appropriations transferred to other acct [097-9999]	-126		
1121 Appropriations transferred from other acct [097-9999]	5		
1160 Appropriation, discretionary (total)	13,214	13,889	14,175
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	29	29
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	27	29	29
1900 Budget authority (total)	13,241	13,918	14,204
1930 Total budgetary resources available	13,255	13,918	14,205
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-30		
1941 Unexpired unobligated balance, end of year		1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	508	1,155	1,452
3010 New obligations, unexpired accounts	13,225	13,917	14,204
3011 Obligations ("upward adjustments"), expired accounts	111		
3020 Outlays (gross)	-12,514	-13,620	-14,058
3041 Recoveries of prior year unpaid obligations, expired	-175		
3050 Unpaid obligations, end of year	1,155	1,452	1,598
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	506	1,147	1,444
3200 Obligated balance, end of year	1,147	1,444	1,590

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	13,241	13,918	14,204
Outlays, gross:			
4010 Outlays from new discretionary authority	12,172	12,465	12,716
4011 Outlays from discretionary balances	342	1,155	1,342

4020	Outlays, gross (total) .....	12,514	13,620	14,058
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-9	-29	-29
4033	Non-Federal sources .....	-13		
4040	Offsets against gross budget authority and outlays (total) ....	-22	-29	-29
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-8		
4052	Offsetting collections credited to expired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	-5		
4070	Budget authority, net (discretionary) .....	13,214	13,889	14,175
4080	Outlays, net (discretionary) .....	12,492	13,591	14,029
4180	Budget authority, net (total) .....	13,214	13,889	14,175
4190	Outlays, net (total) .....	12,492	13,591	14,029

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	13,214	13,889	14,175
Outlays .....	12,492	13,591	14,029
Overseas contingency operations:			
Budget Authority .....			104
Outlays .....			98
Total:			
Budget Authority .....	13,214	13,889	14,279
Outlays .....	12,492	13,591	14,127

**Object Classification** (in millions of dollars)

Identification code 017-1105-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	2,078	2,175	2,135
11.7 Military personnel .....	7,646	7,912	8,079
11.9 Total personnel compensation .....	9,724	10,087	10,214
12.2 Military personnel benefits .....	1,879	2,082	2,181
12.2 Military personnel benefits .....	1,028	1,152	1,205
13.0 Benefits for former personnel .....	26	31	27
21.0 Travel and transportation of persons .....	204	204	244
22.0 Transportation of things .....	236	225	188
25.7 Operation and maintenance of equipment .....	11	10	13
26.0 Supplies and materials .....	75	83	88
42.0 Insurance claims and indemnities .....	15	14	15
99.0 Direct obligations .....	13,198	13,888	14,175
99.0 Reimbursable obligations .....	27	29	29
99.9 Total new obligations, unexpired accounts .....	13,225	13,917	14,204

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS

**Program and Financing** (in millions of dollars)

Identification code 017-1001-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Officers .....	104	96	100
0002 Health care contribution - Enlisted .....	799	735	760
0900 Total new obligations, unexpired accounts (object class 12.2) .....	903	831	860
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	903	831	860
1930 Total budgetary resources available .....	903	831	860
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	903	831	860
3020 Outlays (gross) .....	-903	-831	-860
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	903	831	860
Outlays, gross:			
4010 Outlays from new discretionary authority .....	903	831	860

4180	Budget authority, net (total) .....	903	831	860
4190	Outlays, net (total) .....	903	831	860

**MILITARY PERSONNEL, AIR FORCE**

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Air Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$30,074,691,000]** \$31,284,959,000, of which up to \$1,124,520,000 shall remain available until September 30, 2021, for permanent change of station travel. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3500-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....	9,192	9,773	10,004
0002 Pay and allowances of enlisted .....	17,620	18,663	18,796
0003 Pay and allowances of cadets .....	80	79	81
0004 Subsistence of enlisted personnel .....	1,263	1,302	1,170
0005 Permanent Change of Station Travel .....	1,083	1,096	1,125
0006 Other Military Personnel Costs .....	126	125	110
0799 Total direct obligations .....	29,364	31,038	31,286
0801 Reimbursable program activity .....	470	479	487
0900 Total new obligations, unexpired accounts .....	29,834	31,517	31,773
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	2
1011 Unobligated balance transfer from other acct [097-9999] ....	151		
1050 Unobligated balance (total) .....	151	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29,705	31,039	31,285
1120 Appropriations transferred to other acct [097-9999] .....	-463		
1160 Appropriation, discretionary (total) .....	29,242	31,039	31,285
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	138	479	487
1701 Change in uncollected payments, Federal sources .....	152		
1750 Spending auth from offsetting collections, disc (total) .....	290	479	487
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	180		
1900 Budget authority (total) .....	29,712	31,518	31,772
1930 Total budgetary resources available .....	29,863	31,519	31,774
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-28		
1941 Unexpired unobligated balance, end of year .....	1	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,677	2,592	2,916
3010 New obligations, unexpired accounts .....	29,834	31,517	31,773
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-28,770	-31,193	-31,594
3041 Recoveries of prior year unpaid obligations, expired .....	-150		
3050 Unpaid obligations, end of year .....	2,592	2,916	3,095
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-189	-294	-294
3070 Change in uncollected pymts, Fed sources, unexpired .....	-152		
3071 Change in uncollected pymts, Fed sources, expired .....	47		
3090 Uncollected pymts, Fed sources, end of year .....	-294	-294	-294
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,488	2,298	2,622
3200 Obligated balance, end of year .....	2,298	2,622	2,801
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29,532	31,518	31,772
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28,082	28,600	28,831
4011 Outlays from discretionary balances .....	508	2,593	2,763

MILITARY PERSONNEL, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3500-0-1-051	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	28,590	31,193	31,594
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-161	-479	-487
4033 Non-Federal sources .....	-25		
4040 Offsets against gross budget authority and outlays (total) ....	-186	-479	-487
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-152		
4052 Offsetting collections credited to expired accounts .....	48		
4060 Additional offsets against budget authority only (total) .....	-104		
4070 Budget authority, net (discretionary) .....	29,242	31,039	31,285
4080 Outlays, net (discretionary) .....	28,404	30,714	31,107
Mandatory:			
4090 Budget authority, gross .....	180		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	180		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-180		
4180 Budget authority, net (total) .....	29,242	31,039	31,285
4190 Outlays, net (total) .....	28,404	30,714	31,107

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	29,242	31,039	31,285
Outlays .....	28,404	30,714	31,107
Overseas contingency operations:			
Budget Authority .....			1,008
Outlays .....			913
Total:			
Budget Authority .....	29,242	31,039	32,293
Outlays .....	28,404	30,714	32,020

Object Classification (in millions of dollars)

Identification code 057-3500-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	5,270	5,545	5,571
11.7 Military personnel .....	16,224	16,998	16,977
11.9 Total personnel compensation .....	21,494	22,543	22,548
12.2 Military personnel benefits .....	4,000	4,492	4,651
12.2 Military personnel benefits .....	2,776	2,940	3,010
13.0 Benefits for former personnel .....	31	31	23
21.0 Travel and transportation of persons .....	223	252	188
22.0 Transportation of things .....	721	658	740
25.7 Operation and maintenance of equipment .....	24	25	31
26.0 Supplies and materials .....	69	73	78
42.0 Insurance claims and indemnities .....	23	22	15
43.0 Interest and dividends .....	3	2	2
99.0 Direct obligations .....	29,364	31,038	31,286
99.0 Reimbursable obligations .....	470	479	487
99.9 Total new obligations, unexpired accounts .....	29,834	31,517	31,773

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1007-0-1-051	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Health care contribution - Officers .....	1,561	280	294
0002 Health care contribution - Enlisted .....		1,169	1,221
0900 Total new obligations, unexpired accounts (object class 12.2) .....	1,561	1,449	1,515

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,561	1,449	1,515
1930 Total budgetary resources available .....	1,561	1,449	1,515

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,561	1,449	1,515
3020 Outlays (gross) .....	-1,561	-1,449	-1,515

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,561	1,449	1,515
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,561	1,449	1,515
4180 Budget authority, net (total) .....	1,561	1,449	1,515
4190 Outlays, net (total) .....	1,561	1,449	1,515

RESERVE PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and [3038] 7038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, [\$4,836,947,000] \$4,964,671,000. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 021-2070-0-1-051	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Reserve component training and support .....	4,680	4,874	4,965
0799 Total direct obligations .....	4,680	4,874	
0801 Reimbursable program activity .....	35	43	43
0900 Total new obligations, unexpired accounts .....	4,715	4,917	5,008

Budgetary resources:

Unobligated balance:			
1011 Unobligated balance transfer from other acct [097-9999] ....	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,741	4,874	4,965
1120 Appropriations transferred to other acct [097-9999] .....	-55		
1121 Appropriations transferred from other acct [097-9999] ....	4		
1160 Appropriation, discretionary (total) .....	4,690	4,874	4,965
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	26	43	43
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	35	43	43
1900 Budget authority (total) .....	4,725	4,917	5,008
1930 Total budgetary resources available .....	4,727	4,917	5,008
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-12		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	470	366	475
3010 New obligations, unexpired accounts .....	4,715	4,917	5,008
3011 Obligations ("upward adjustments"), expired accounts .....	272		
3020 Outlays (gross) .....	-4,590	-4,808	-4,975
3041 Recoveries of prior year unpaid obligations, expired .....	-501		
3050 Unpaid obligations, end of year .....	366	475	508
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-22	-22
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	448	344	453
3200 Obligated balance, end of year .....	344	453	486

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,725	4,917	5,008
Outlays, gross:				
4010	Outlays from new discretionary authority	4,363	4,556	4,641
4011	Outlays from discretionary balances	227	252	334
4020	Outlays, gross (total)	4,590	4,808	4,975
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-34	-43	-43
4040	Offsets against gross budget authority and outlays (total)	-34	-43	-43
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-9		
4052	Offsetting collections credited to expired accounts	8		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)	4,690	4,874	4,965
4080	Outlays, net (discretionary)	4,556	4,765	4,932
4180	Budget authority, net (total)	4,690	4,874	4,965
4190	Outlays, net (total)	4,556	4,765	4,932

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	4,690	4,874	4,965
Outlays	4,556	4,765	4,932
Overseas contingency operations:			
Budget Authority			35
Outlays			32
Total:			
Budget Authority	4,690	4,874	5,000
Outlays	4,556	4,765	4,964

**Object Classification** (in millions of dollars)

Identification code 021-2070-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing	616	581	577
11.7	Military personnel	2,617	2,655	2,789
11.9	Total personnel compensation	3,233	3,236	3,366
12.2	Military personnel benefits	615	766	748
12.2	Military personnel benefits	466	506	496
21.0	Travel and transportation of persons	305	289	315
26.0	Supplies and materials	60	77	39
42.0	Insurance claims and indemnities		1	1
99.0	Direct obligations	4,679	4,875	4,965
99.0	Reimbursable obligations	36	42	43
99.9	Total new obligations, unexpired accounts	4,715	4,917	5,008

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 021-1005-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Health care contribution - Reserve component	438	387	395
0900	Total new obligations, unexpired accounts (object class 12.2)	438	387	395
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	438	387	395
1930	Total budgetary resources available	438	387	395
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts	438	387	395
3020	Outlays (gross)	-438	-387	-395

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	438	387	395
Outlays, gross:				
4010	Outlays from new discretionary authority	438	387	395
4180	Budget authority, net (total)	438	387	395
4190	Outlays, net (total)	438	387	395

**RESERVE PERSONNEL, NAVY**

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$2,049,021,000]** \$2,123,947,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1405-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Reserve Component Training and Support	1,995	2,060	2,124
0801	Reimbursable program activity	29	37	37
0900	Total new obligations, unexpired accounts	2,024	2,097	2,161
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,997	2,060	2,124
1120	Appropriations transferred to other acct [097-9999]	-6		
1121	Appropriations transferred from other acct [097-9999]	8		
1160	Appropriation, discretionary (total)	1,999	2,060	2,124
Spending authority from offsetting collections, discretionary:				
1700	Collected	26	37	37
1701	Change in uncollected payments, Federal sources	7		
1750	Spending auth from offsetting collections, disc (total)	33	37	37
1900	Budget authority (total)	2,032	2,097	2,161
1930	Total budgetary resources available	2,032	2,097	2,161
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-8		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	102	169	144
3010	New obligations, unexpired accounts	2,024	2,097	2,161
3011	Obligations ("upward adjustments"), expired accounts	17		
3020	Outlays (gross)	-1,950	-2,122	-2,147
3041	Recoveries of prior year unpaid obligations, expired	-24		
3050	Unpaid obligations, end of year	169	144	158
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-12	-12
3070	Change in uncollected pymts, Fed sources, unexpired	-7		
3071	Change in uncollected pymts, Fed sources, expired	4		
3090	Uncollected pymts, Fed sources, end of year	-12	-12	-12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	93	157	132
3200	Obligated balance, end of year	157	132	146

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross	2,032	2,097	2,161
Outlays, gross:				
4010	Outlays from new discretionary authority	1,873	1,961	2,021
4011	Outlays from discretionary balances	77	161	126
4020	Outlays, gross (total)	1,950	2,122	2,147
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-30	-37	-37
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-31	-37	-37
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-7		

RESERVE PERSONNEL, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1405-0-1-051	2018 actual	2019 est.	2020 est.
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	-2		
4070 Budget authority, net (discretionary) .....	1,999	2,060	2,124
4080 Outlays, net (discretionary) .....	1,919	2,085	2,110
4180 Budget authority, net (total) .....	1,999	2,060	2,124
4190 Outlays, net (total) .....	1,919	2,085	2,110

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,999	2,060	2,124
Outlays .....	1,919	2,085	2,110
Overseas contingency operations:			
Budget Authority .....			11
Outlays .....			10
Total:			
Budget Authority .....	1,999	2,060	2,135
Outlays .....	1,919	2,085	2,120

Object Classification (in millions of dollars)

Identification code 017-1405-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	273	279	284
11.7 Military personnel .....	1,140	1,152	1,196
11.9 Total personnel compensation .....	1,413	1,431	1,480
12.2 Military personnel benefits .....	238	273	285
12.2 Military personnel benefits .....	104	111	118
21.0 Travel and transportation of persons .....	200	204	209
22.0 Transportation of things .....	18	18	16
26.0 Supplies and materials .....	22	22	15
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	1,996	2,060	2,124
99.0 Reimbursable obligations .....	28	37	37
99.9 Total new obligations, unexpired accounts .....	2,024	2,097	2,161

4190 Outlays, net (total) .....	143	131	137
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RESERVE PERSONNEL, MARINE CORPS

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$782,390,000] \$838,854,000.** (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 017-1108-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	763	784	839
0799 Total direct obligations .....	763	784	
0801 Reimbursable program activity .....	6	7	11
0900 Total new obligations, unexpired accounts .....	769	791	850

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	767	784	839
1120 Appropriations transferred to other acct [097-9999] .....	-2		
1121 Appropriations transferred from other acct [097-9999] .....	1		
1160 Appropriation, discretionary (total) .....	766	784	839
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	7	11
1701 Change in uncollected payments, Federal sources .....	3		
Spending auth from offsetting collections, disc (total) .....	6	7	11
1900 Budget authority (total) .....	772	791	850
1930 Total budgetary resources available .....	772	791	850
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	57	51
3010 New obligations, unexpired accounts .....	769	791	850
3011 Obligations ("upward adjustments"), expired accounts .....	22		
3020 Outlays (gross) .....	-741	-797	-842
3041 Recoveries of prior year unpaid obligations, expired .....	-29		
3050 Unpaid obligations, end of year .....	57	51	59
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	34	54	48
3200 Obligated balance, end of year .....	54	48	56

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	772	791	850
Outlays, gross:			
4010 Outlays from new discretionary authority .....	717	740	795
4011 Outlays from discretionary balances .....	24	57	47
4020 Outlays, gross (total) .....	741	797	842
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-7	-11
4040 Offsets against gross budget authority and outlays (total) .....	-5	-7	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	766	784	839

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
NAVY

Program and Financing (in millions of dollars)

Identification code 017-1002-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	143	131	137
0900 Total new obligations, unexpired accounts (object class 12.2) .....	143	131	137
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	143	131	137
1930 Total budgetary resources available .....	143	131	137
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	143	131	137
3020 Outlays (gross) .....	-143	-131	-137
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	143	131	137
Outlays, gross:			
4010 Outlays from new discretionary authority .....	143	131	137
4180 Budget authority, net (total) .....	143	131	137

4080	Outlays, net (discretionary) .....	736	790	831
4180	Budget authority, net (total) .....	766	784	839
4190	Outlays, net (total) .....	736	790	831

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	766	784	839
Outlays .....	736	790	831
Overseas contingency operations:			
Budget Authority .....			4
Outlays .....			4
Total:			
Budget Authority .....	766	784	843
Outlays .....	736	790	835

**Object Classification** (in millions of dollars)

Identification code 017-1108-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....	79	83	88
11.7 Military personnel .....	445	459	483
11.9 Total personnel compensation .....	524	542	571
12.2 Military personnel benefits .....	96	107	113
12.2 Military personnel benefits .....	52	51	58
21.0 Travel and transportation of persons .....	73	67	77
22.0 Transportation of things .....	5	4	5
26.0 Supplies and materials .....	11	11	13
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	763	784	839
99.0 Reimbursable obligations .....	6	7	11
99.9 Total new obligations, unexpired accounts .....	769	791	850

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
MARINE CORPS

**Program and Financing** (in millions of dollars)

Identification code 017-1003-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	81	74	77
0900 Total new obligations, unexpired accounts (object class 12.2) .....	81	74	77
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	81	74	77
1930 Total budgetary resources available .....	81	74	77
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	81	74	77
3020 Outlays (gross) .....	-81	-74	-77
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	81	74	77
Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	74	77
4180 Budget authority, net (total) .....	81	74	77
4190 Outlays, net (total) .....	81	74	77

RESERVE PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and [8038] 9038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and

expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,860,406,000]** \$2,038,040,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3700-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	1,815	1,881	2,038
0799 Total direct obligations .....	1,815	1,881	.....
0801 Reimbursable program activity .....	10	10	11
0900 Total new obligations, unexpired accounts .....	1,825	1,891	2,049
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,823	1,881	2,038
1120 Appropriations transferred to other acct [097-9999] .....	-1	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	4	.....	.....
1160 Appropriation, discretionary (total) .....	1,826	1,881	2,038
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	10	11
1900 Budget authority (total) .....	1,836	1,891	2,049
1930 Total budgetary resources available .....	1,836	1,891	2,049
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	257	251	164
3010 New obligations, unexpired accounts .....	1,825	1,891	2,049
3011 Obligations ("upward adjustments"), expired accounts .....	56	.....	.....
3020 Outlays (gross) .....	-1,793	-1,978	-2,030
3041 Recoveries of prior year unpaid obligations, expired .....	-94	.....	.....
3050 Unpaid obligations, end of year .....	251	164	183
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	255	250	163
3200 Obligated balance, end of year .....	250	163	182
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,836	1,891	2,049
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,687	1,740	1,886
4011 Outlays from discretionary balances .....	106	238	144
4020 Outlays, gross (total) .....	1,793	1,978	2,030
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-10	-11
4033 Non-Federal sources .....	-4	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-14	-10	-11
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4060 Additional offsets against budget authority only (total) .....	4	.....	.....
4070 Budget authority, net (discretionary) .....	1,826	1,881	2,038
4080 Outlays, net (discretionary) .....	1,779	1,968	2,019
4180 Budget authority, net (total) .....	1,826	1,881	2,038
4190 Outlays, net (total) .....	1,779	1,968	2,019

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,826	1,881	2,038
Outlays .....	1,779	1,968	2,019
Overseas contingency operations:			
Budget Authority .....			16
Outlays .....			15
Total:			
Budget Authority .....	1,826	1,881	2,054
Outlays .....	1,779	1,968	2,034

RESERVE PERSONNEL, AIR FORCE—Continued

Object Classification (in millions of dollars)

Identification code 057-3700-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	196	180	224
11.7 Military personnel .....	1,052	1,101	1,176
11.9 Total personnel compensation .....	1,248	1,281	1,400
12.2 Military personnel benefits .....	215	242	262
12.2 Military personnel benefits .....	117	140	126
21.0 Travel and transportation of persons .....	213	191	212
22.0 Transportation of things .....	8	9	10
26.0 Supplies and materials .....	13	16	27
41.0 Grants, subsidies, and contributions .....	1	2	1
99.0 Direct obligations .....	1,815	1,881	2,038
99.0 Reimbursable obligations .....	10	10	11
99.9 Total new obligations, unexpired accounts .....	1,825	1,891	2,049

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,  
AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1008-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	146	133	140
0900 Total new obligations, unexpired accounts (object class 12.2) .....	146	133	140
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	146	133	140
1930 Total budgetary resources available .....	146	133	140
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	146	133	140
3020 Outlays (gross) .....	-146	-133	-140
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	146	133	140
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	146	133	140
4180 Budget authority, net (total) .....	146	133	140
4190 Outlays, net (total) .....	146	133	140

NATIONAL GUARD PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under sections 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$8,600,945,000]** \$8,808,305,000. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 021-2060-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve Component Training and Support .....	8,639	8,796	8,808
0799 Total direct obligations .....	8,639	8,796	.....
0801 Reimbursable program activity .....	34	51	51
0900 Total new obligations, unexpired accounts .....	8,673	8,847	8,859

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8,449	8,797	8,808
1120 Appropriations transferred to other acct [097-9999] .....	-55	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	330	.....	.....
1160 Appropriation, discretionary (total) .....	8,724	8,797	8,808
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	23	51	51
1701 Change in uncollected payments, Federal sources .....	16	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	39	51	51
1900 Budget authority (total) .....	8,763	8,848	8,859
1930 Total budgetary resources available .....	8,763	8,848	8,860
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-90	.....	.....
1941 Unexpired unobligated balance, end of year .....	.....	1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	810	872	1,073
3010 New obligations, unexpired accounts .....	8,673	8,847	8,859
3011 Obligations ("upward adjustments"), expired accounts .....	509	.....	.....
3020 Outlays (gross) .....	-8,440	-8,646	-8,806
3041 Recoveries of prior year unpaid obligations, expired .....	-680	.....	.....
3050 Unpaid obligations, end of year .....	872	1,073	1,126
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-21	-33	-33
3070 Change in uncollected pymts, Fed sources, unexpired .....	-16	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-33	-33	-33
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	789	839	1,040
3200 Obligated balance, end of year .....	839	1,040	1,093

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	8,763	8,848	8,859
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	8,162	7,774	7,776
4011 Outlays from discretionary balances .....	278	872	1,030
4020 Outlays, gross (total) .....	8,440	8,646	8,806
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-30	-51	-51
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-31	-51	-51
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-16	.....	.....
4052 Offsetting collections credited to expired accounts .....	8	.....	.....
4060 Additional offsets against budget authority only (total) .....	-8	.....	.....
4070 Budget authority, net (discretionary) .....	8,724	8,797	8,808
4080 Outlays, net (discretionary) .....	8,409	8,595	8,755
4180 Budget authority, net (total) .....	8,724	8,797	8,808
4190 Outlays, net (total) .....	8,409	8,595	8,755

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	8,724	8,797	8,808
Outlays .....	8,409	8,595	8,755
<b>Overseas contingency operations:</b>			
Budget Authority .....	.....	.....	203
Outlays .....	.....	.....	186
<b>Total:</b>			
Budget Authority .....	8,724	8,797	9,011
Outlays .....	8,409	8,595	8,941

Object Classification (in millions of dollars)

Identification code 021-2060-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.6 Military personnel - basic allowance for housing .....	1,085	997	1,036
11.7 Military personnel .....	5,217	5,354	5,233
11.9 Total personnel compensation .....	6,302	6,351	6,269

12.2	Military personnel benefits .....	1,231	1,236	1,232
12.2	Military personnel benefits .....	665	778	888
21.0	Travel and transportation of persons .....	330	324	310
26.0	Supplies and materials .....	110	106	108
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	8,639	8,796	8,808
99.0	Reimbursable obligations .....	34	51	51
99.9	Total new obligations, unexpired accounts .....	8,673	8,847	8,859

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, ARMY

Program and Financing (in millions of dollars)

Identification code 021-1006-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	757	685	704
0900 Total new obligations, unexpired accounts (object class 12.2) .....	757	685	704
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	757	685	704
1930 Total budgetary resources available .....	757	685	704
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	757	685	704
3020 Outlays (gross) .....	-757	-685	-704
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	757	685	704
Outlays, gross:			
4010 Outlays from new discretionary authority .....	757	685	704
4180 Budget authority, net (total) .....	757	685	704
4190 Outlays, net (total) .....	757	685	704

NATIONAL GUARD PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under sections 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$3,699,080,000] \$4,063,845,000.** (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 057-3850-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....	3,457	3,705	4,064
0799 Total direct obligations .....	3,457	3,705	4,064
0801 Reimbursable program activity .....	45	54	54
0900 Total new obligations, unexpired accounts .....	3,502	3,759	4,118
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,414	3,705	4,064
1120 Appropriations transferred to other acct [097-9999] .....	-17		
1121 Appropriations transferred from other acct [097-9999] .....	68		
1160 Appropriation, discretionary (total) .....	3,465	3,705	4,064
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	44	54	54
1701 Change in uncollected payments, Federal sources .....	1		

1750	Spending auth from offsetting collections, disc (total) .....	45	54	54
1900	Budget authority (total) .....	3,510	3,759	4,118
1930	Total budgetary resources available .....	3,510	3,759	4,118
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	370	301	185
3010	New obligations, unexpired accounts .....	3,502	3,759	4,118
3011	Obligations ("upward adjustments"), expired accounts .....	62		
3020	Outlays (gross) .....	-3,438	-3,875	-4,059
3041	Recoveries of prior year unpaid obligations, expired .....	-195		
3050	Unpaid obligations, end of year .....	301	185	244
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	369	299	183
3200	Obligated balance, end of year .....	299	183	242

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	3,510	3,759	4,118
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,328	3,574	3,915
4011	Outlays from discretionary balances .....	110	301	144
4020	Outlays, gross (total) .....	3,438	3,875	4,059
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-65	-54	-54
4033	Non-Federal sources .....	-9		
4040	Offsets against gross budget authority and outlays (total) .....	-74	-54	-54
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	30		
4060	Additional offsets against budget authority only (total) .....	29		
4070	Budget authority, net (discretionary) .....	3,465	3,705	4,064
4080	Outlays, net (discretionary) .....	3,364	3,821	4,005
4180	Budget authority, net (total) .....	3,465	3,705	4,064
4190	Outlays, net (total) .....	3,364	3,821	4,005

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,465	3,705	4,064
Outlays .....	3,364	3,821	4,005
Overseas contingency operations:			
Budget Authority .....			6
Outlays .....			6
Total:			
Budget Authority .....	3,465	3,705	4,070
Outlays .....	3,364	3,821	4,011

Object Classification (in millions of dollars)

Identification code 057-3850-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing .....	493	549	621
11.7	Military personnel .....	2,060	2,150	2,379
11.9	Total personnel compensation .....	2,553	2,699	3,000
12.2	Military personnel benefits .....	466	546	601
12.2	Military personnel benefits .....	232	277	276
21.0	Travel and transportation of persons .....	200	173	178
22.0	Transportation of things .....	5	6	7
42.0	Insurance claims and indemnities .....	2	4	2
99.0	Direct obligations .....	3,458	3,705	4,064
99.0	Reimbursable obligations .....	44	54	54
99.9	Total new obligations, unexpired accounts .....	3,502	3,759	4,118

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1009-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health care contribution - Reserve component .....	255	236	255
0900 Total new obligations, unexpired accounts (object class 12.2) .....	255	236	255
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	255	236	255
1930 Total budgetary resources available .....	255	236	255
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	255	236	255
3020 Outlays (gross) .....	-255	-236	-255
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	255	236	255
Outlays, gross:			
4010 Outlays from new discretionary authority .....	255	236	255
4180 Budget authority, net (total) .....	255	236	255
4190 Outlays, net (total) .....	255	236	255

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 097-0041-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	6,837	7,909	9,346
0900 Total new obligations, unexpired accounts (object class 12.2) .....	6,837	7,909	9,346
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7,505	8,663	9,346
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-668	-754	.....
1260 Appropriations, mandatory (total) .....	6,837	7,909	9,346
1930 Total budgetary resources available .....	6,837	7,909	9,346
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	6,837	7,909	9,346
3020 Outlays (gross) .....	-6,837	-7,909	-9,346
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6,837	7,909	9,346
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,837	7,909	9,346
4180 Budget authority, net (total) .....	6,837	7,909	9,346
4190 Outlays, net (total) .....	6,837	7,909	9,346

OPERATION AND MAINTENANCE

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay and allowances. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced by many factors, including the number of aircraft squadrons, Army brigades and Marine Corps regiments, installations, military strength and deployments, rates of operational activity, and the

quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation.

Federal Funds

OPERATION AND MAINTENANCE, ARMY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law, **[\$40,145,482,000] \$22,797,873,000: Provided,** That not to exceed \$12,478,000 can be used for emergencies and extraordinary expenses, to be expended upon the approval or authority of the Secretary of the Army, and payments may be made upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2021, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 021-2020-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....	39,667	40,717	7,179
0002 Mobilization .....	872	1,076	748
0003 Training and Recruiting .....	5,109	4,955	5,227
0004 Administration and Service-wide Activities .....	12,091	11,993	9,644
0799 Total direct obligations .....	57,739	58,741	22,798
0801 Reimbursable program activity .....	9,794	8,934	5,337
0900 Total new obligations, unexpired accounts .....	67,533	67,675	28,135
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	164	126	131
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	158	125	.....
1010 Unobligated balance transfer to other accts [011-5512] .....	-5	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	200	.....	.....
1050 Unobligated balance (total) .....	359	126	131
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	56,175	58,741	22,798
1120 Appropriations transferred to other acct [097-9999] .....	-3	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	1,407	.....	.....
1160 Appropriation, discretionary (total) .....	57,579	58,741	22,798
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	.....	5	7
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,646	8,934	5,337
1701 Change in uncollected payments, Federal sources .....	6,181	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	9,827	8,934	5,337
1900 Budget authority (total) .....	67,406	67,680	28,142
1930 Total budgetary resources available .....	67,765	67,806	28,273
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-106	.....	.....
1941 Unexpired unobligated balance, end of year .....	126	131	138
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40,902	42,608	41,623
3010 New obligations, unexpired accounts .....	67,533	67,675	28,135
3011 Obligations ("upward adjustments"), expired accounts .....	3,910	.....	.....
3020 Outlays (gross) .....	-62,380	-68,660	-44,583
3041 Recoveries of prior year unpaid obligations, expired .....	-7,357	.....	.....
3050 Unpaid obligations, end of year .....	42,608	41,623	25,175
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9,363	-9,867	-9,867
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6,181	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	5,677	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-9,867	-9,867	-9,867
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31,539	32,741	31,756
3200 Obligated balance, end of year .....	32,741	31,756	15,308
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	67,406	67,675	28,135
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38,732	43,003	18,560

4011	Outlays from discretionary balances .....	23,648	25,654	26,019
4020	Outlays, gross (total) .....	62,380	68,657	44,579
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources .....	-8,076	-8,934	-5,337
4033	Non-Federal sources .....	-609		
4040	Offsets against gross budget authority and outlays (total) ...	-8,685	-8,934	-5,337
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6,181		
4052	Offsetting collections credited to expired accounts .....	5,039		
4060	Additional offsets against budget authority only (total) .....	-1,142		
4070	Budget authority, net (discretionary) .....	57,579	58,741	22,798
4080	Outlays, net (discretionary) .....	53,695	59,723	39,242
	Mandatory:			
4090	Budget authority, gross .....		5	7
	Outlays, gross:			
4100	Outlays from new mandatory authority .....		3	
4101	Outlays from mandatory balances .....			4
4110	Outlays, gross (total) .....		3	4
4180	Budget authority, net (total) .....	57,579	58,746	22,805
4190	Outlays, net (total) .....	53,695	59,726	39,246

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	57,579	58,746	22,805
Outlays .....	53,695	59,726	39,246
Overseas contingency operations:			
Budget Authority .....			37,988
Outlays .....			22,033
Total:			
Budget Authority .....	57,579	58,746	60,793
Outlays .....	53,695	59,726	61,279

**Object Classification** (in millions of dollars)

Identification code 021-2020-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6,650	7,217	4,497
11.3 Other than full-time permanent .....	91	95	24
11.5 Other personnel compensation .....	356	195	98
11.9 Total personnel compensation .....	7,097	7,507	4,619
12.1 Civilian personnel benefits .....	2,572	2,743	1,665
13.0 Benefits for former personnel .....	35	12	14
21.0 Travel and transportation of persons .....	1,503	1,864	481
22.0 Transportation of things .....	1,955	1,889	323
23.1 Rental payments to GSA .....	48	332	1
23.2 Rental payments to others .....	409	424	94
23.3 Communications, utilities, and miscellaneous charges .....	1,680	1,566	463
24.0 Printing and reproduction .....	196	228	293
25.1 Advisory and assistance services .....	3,940	2,255	814
25.2 Other services from non-Federal sources .....	4,741	8,536	1,666
25.3 Other goods and services from Federal sources .....	4,058	2,997	1,833
25.3 Other goods and services from Federal sources .....	389	463	100
25.3 Other goods and services from Federal sources .....	3,732	2,568	1,819
25.4 Operation and maintenance of facilities .....	6,088	7,087	2,420
25.5 Research and development contracts .....	14	3	1
25.6 Medical care .....	20	25	
25.7 Operation and maintenance of equipment .....	6,926	7,164	2,712
25.8 Subsistence and support of persons .....	367	168	23
26.0 Supplies and materials .....	7,996	7,373	1,115
31.0 Equipment .....	2,506	2,157	645
32.0 Land and structures .....	1,024	933	1,289
41.0 Grants, subsidies, and contributions .....	408	401	382
42.0 Insurance claims and indemnities .....	30	29	26
43.0 Interest and dividends .....	4		
44.0 Refunds .....		17	
99.0 Direct obligations .....	57,738	58,741	22,798
99.0 Reimbursable obligations .....	9,795	8,934	5,337
99.9 Total new obligations, unexpired accounts .....	67,533	67,675	28,135

**Employment Summary**

Identification code 021-2020-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	87,690	93,706	51,172

2001 Reimbursable civilian full-time equivalent employment .....	11,965	9,069	7,064
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**OPERATION AND MAINTENANCE, NAVY**

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law, **[\$48,034,826,000]** \$25,952,718,000, of which \$3,309,000,000 shall remain available until September 30, 2021, for private contracted ship maintenance: Provided, That not to exceed **[\$15,055,000]** \$15,055,000 can be used for emergencies and extraordinary expenses, to be expended upon the approval or authority of the Secretary of the Navy, and payments may be made upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2021, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1804-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	45,555	46,058	17,619
0002 Mobilization .....	1,008	1,173	1,885
0003 Training and recruiting .....	1,939	1,948	2,106
0004 Administration and servicewide activities .....	4,502	4,200	4,343
0799 Total direct obligations .....	53,004	53,379	25,953
0801 Reimbursable program activity .....	4,351	6,449	6,702
0900 Total new obligations, unexpired accounts .....	57,355	59,828	32,655

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	5	29
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
1011 Unobligated balance transfer from other acct [097-9999] .....	21		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	26	5	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	52,736	53,378	25,953
1120 Appropriations transferred to other acct [097-9999] .....	-221		
1121 Appropriations transferred from other acct [097-9999] .....	673		
1160 Appropriation, discretionary (total) .....	53,188	53,378	25,953
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	11	9	10
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,615	6,465	6,702
1701 Change in uncollected payments, Federal sources .....	1,916		
1750 Spending auth from offsetting collections, disc (total) .....	5,531	6,465	6,702
1900 Budget authority (total) .....	58,730	59,852	32,665
1930 Total budgetary resources available .....	58,756	59,857	32,694
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1,396		
1941 Unexpired unobligated balance, end of year .....	5	29	39

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20,499	24,415	25,949
3010 New obligations, unexpired accounts .....	57,355	59,828	32,655
3011 Obligations ("upward adjustments"), expired accounts .....	2,749		
3020 Outlays (gross) .....	-53,267	-58,294	-41,532
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-2,919		
3050 Unpaid obligations, end of year .....	24,415	25,949	17,072
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,636	-2,506	-2,506
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,916		
3071 Change in uncollected pymts, Fed sources, expired .....	2,046		
3090 Uncollected pymts, Fed sources, end of year .....	-2,506	-2,506	-2,506
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17,863	21,909	23,443
3200 Obligated balance, end of year .....	21,909	23,443	14,566

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	58,719	59,843	32,655

OPERATION AND MAINTENANCE, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1804-0-1-051	2018 actual	2019 est.	2020 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	39,222	42,885	24,350
4011 Outlays from discretionary balances .....	14,045	15,400	17,174
4020 Outlays, gross (total) .....	53,267	58,285	41,524
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3,926	-6,465	-6,702
4033 Non-Federal sources .....	-558		
4040 Offsets against gross budget authority and outlays (total) ....	-4,484	-6,465	-6,702
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,916		
4052 Offsetting collections credited to expired accounts .....	869		
4060 Additional offsets against budget authority only (total) .....	-1,047		
4070 Budget authority, net (discretionary) .....	53,188	53,378	25,953
4080 Outlays, net (discretionary) .....	48,783	51,820	34,822
Mandatory:			
4090 Budget authority, gross .....	11	9	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....		6	6
4101 Outlays from mandatory balances .....		3	2
4110 Outlays, gross (total) .....		9	8
4180 Budget authority, net (total) .....	53,199	53,387	25,963
4190 Outlays, net (total) .....	48,783	51,829	34,830

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	53,199	53,387	25,963
Outlays .....	48,783	51,829	34,830
Overseas contingency operations:			
Budget Authority .....			31,735
Outlays .....			21,580
Total:			
Budget Authority .....	53,199	53,387	57,698
Outlays .....	48,783	51,829	56,410

Object Classification (in millions of dollars)

Identification code 017-1804-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6,203	6,534	3,198
11.3 Other than full-time permanent .....	116	107	88
11.5 Other personnel compensation .....	582	632	122
11.8 Special personal services payments .....	45	57	48
11.9 Total personnel compensation .....	6,946	7,330	3,456
12.1 Civilian personnel benefits .....	2,414	2,523	1,150
13.0 Benefits for former personnel .....	13	13	10
21.0 Travel and transportation of persons .....	1,023	880	370
22.0 Transportation of things .....	322	437	167
23.1 Rental payments to GSA .....	29	42	2
23.2 Rental payments to others .....	197	236	29
23.3 Communications, utilities, and miscellaneous charges .....	896	775	378
24.0 Printing and reproduction .....	76	55	67
25.1 Advisory and assistance services .....	1,501	996	829
25.2 Other services from non-Federal sources .....	1,116	1,175	1,026
25.3 Other goods and services from Federal sources .....	4,053	3,963	2,357
25.3 Other goods and services from Federal sources .....	72	79	11
25.3 Other goods and services from Federal sources .....	9,642	10,308	4,881
25.4 Operation and maintenance of facilities .....	1,974	1,988	1,794
25.5 Research and development contracts .....	8	7	6
25.6 Medical care .....	1	2	1
25.7 Operation and maintenance of equipment .....	10,945	10,794	6,080
25.8 Subsistence and support of persons .....	114	104	27
26.0 Supplies and materials .....	5,857	6,133	1,561
31.0 Equipment .....	4,744	4,901	1,024
32.0 Land and structures .....	987	579	667
33.0 Investments and loans .....	11		
41.0 Grants, subsidies, and contributions .....	48	49	49
42.0 Insurance claims and indemnities .....	8	9	10
43.0 Interest and dividends .....	7	1	1
99.0 Direct obligations .....	53,004	53,379	25,953
99.0 Reimbursable obligations .....	4,351	6,449	6,702

99.9	Total new obligations, unexpired accounts .....	57,355	59,828	32,655
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Employment Summary

Identification code 017-1804-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	78,608	81,976	36,182
2001	Reimbursable civilian full-time equivalent employment .....	13,902	14,799	8,373

OPERATION AND MAINTENANCE, MARINE CORPS

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, **[\$6,540,049,000] \$3,928,045,000.**  
(Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 017-1106-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Operating forces .....	6,638	6,473	2,598
0003	Training and recruiting .....	861	845	864
0004	Administration and Service-wide Activities .....	619	525	466
0799	Total direct obligations .....	8,118	7,843	3,928
0801	Reimbursable program activity .....	275	233	186
0900	Total new obligations, unexpired accounts .....	8,393	8,076	4,114

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....			2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	8,025	7,843	3,928
1121	Appropriations transferred from other acct [097-9999] ....	115		
1160	Appropriation, discretionary (total) .....	8,140	7,843	3,928
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512] ....	1	2	3
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	211	233	186
1701	Change in uncollected payments, Federal sources .....	65		
1750	Spending auth from offsetting collections, disc (total) .....	276	233	186
1900	Budget authority (total) .....	8,417	8,078	4,117
1930	Total budgetary resources available .....	8,417	8,078	4,119
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-24		
1941	Unexpired unobligated balance, end of year .....		2	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,727	4,106	4,002
3010	New obligations, unexpired accounts .....	8,393	8,076	4,114
3011	Obligations ("upward adjustments"), expired accounts .....	301		
3020	Outlays (gross) .....	-7,921	-8,180	-5,682
3041	Recoveries of prior year unpaid obligations, expired .....	-394		
3050	Unpaid obligations, end of year .....	4,106	4,002	2,434
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-71	-82	-82
3070	Change in uncollected pymts, Fed sources, unexpired .....	-65		
3071	Change in uncollected pymts, Fed sources, expired .....	54		
3090	Uncollected pymts, Fed sources, end of year .....	-82	-82	-82
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,656	4,024	3,920
3200	Obligated balance, end of year .....	4,024	3,920	2,352

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	8,416	8,076	4,114
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5,167	4,939	2,543
4011	Outlays from discretionary balances .....	2,754	3,240	3,136
4020	Outlays, gross (total) .....	7,921	8,179	5,679
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-209	-233	-186
4033	Non-Federal sources .....	-54		
4040	Offsets against gross budget authority and outlays (total) ....	-263	-233	-186

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-65	
4052	Offsetting collections credited to expired accounts .....	52	
4060	Additional offsets against budget authority only (total) .....	-13	
4070	Budget authority, net (discretionary) .....	8,140	7,843
4080	Outlays, net (discretionary) .....	7,658	7,946
Mandatory:			
4090	Budget authority, gross .....	1	2
Outlays, gross:			
4100	Outlays from new mandatory authority .....		1
4101	Outlays from mandatory balances .....		1
4110	Outlays, gross (total) .....		1
4180	Budget authority, net (total) .....	8,141	7,845
4190	Outlays, net (total) .....	7,658	7,947

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	8,141	7,845	3,931
Outlays .....	7,658	7,947	5,496
Overseas contingency operations:			
Budget Authority .....			5,123
Outlays .....			3,074
Total:			
Budget Authority .....	8,141	7,845	9,054
Outlays .....	7,658	7,947	8,570

**Object Classification** (in millions of dollars)

Identification code 017-1106-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,191	1,261	617
11.3 Other than full-time permanent .....	15	9	7
11.5 Other personnel compensation .....	48	33	14
11.8 Special personal services payments .....	2	5	
11.9 Total personnel compensation .....	1,256	1,308	638
12.1 Civilian personnel benefits .....	439	444	235
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	385	447	155
22.0 Transportation of things .....	80	94	25
23.1 Rental payments to GSA .....	5	5	2
23.2 Rental payments to others .....	55	29	12
23.3 Communications, utilities, and miscellaneous charges .....	220	219	41
24.0 Printing and reproduction .....	100	110	110
25.1 Advisory and assistance services .....	1,248	868	379
25.2 Other services from non-Federal sources .....	404	360	161
25.3 Other goods and services from Federal sources .....	497	539	200
25.3 Other goods and services from Federal sources .....	34	24	
25.3 Other goods and services from Federal sources .....	850	897	268
25.4 Operation and maintenance of facilities .....	546	660	634
25.5 Research and development contracts .....	6		
25.6 Medical care .....	2		
25.7 Operation and maintenance of equipment .....	445	480	320
25.8 Subsistence and support of persons .....	30	53	7
26.0 Supplies and materials .....	862	861	238
31.0 Equipment .....	383	285	231
32.0 Land and structures .....	265	157	272
41.0 Grants, subsidies, and contributions .....	6	3	
99.0 Direct obligations .....	8,119	7,843	3,928
99.0 Reimbursable obligations .....	274	233	186
99.9 Total new obligations, unexpired accounts .....	8,393	8,076	4,114

**Employment Summary**

Identification code 017-1106-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15,073	15,376	6,778
2001 Reimbursable civilian full-time equivalent employment .....	805	734	187

Air Force, and payments may be made upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2021, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3400-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	39,794	40,540	13,075
0002 Mobilization .....	3,287	2,719	1,297
0003 Training and recruiting .....	2,190	2,200	2,405
0004 Administration and servicerwide activities .....	5,620	5,022	4,501
0799 Total direct obligations .....	50,891	50,481	21,278
0801 Reimbursable program activity .....	2,855	866	896
0900 Total new obligations, unexpired accounts .....	53,746	51,347	22,174

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	6	18
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	2	
1011 Unobligated balance transfer from other acct [097-9999] .....	85		
1050 Unobligated balance (total) .....	93	6	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50,404	50,481	21,278
1120 Appropriations transferred to other acct [097-9999] .....	-31		
1121 Appropriations transferred from other acct [097-9999] .....	576	1	
1160 Appropriation, discretionary (total) .....	50,949	50,482	21,278
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	4	11	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,224	866	896
1701 Change in uncollected payments, Federal sources .....	631		
1750 Spending auth from offsetting collections, disc (total) .....	2,855	866	896
1900 Budget authority (total) .....	53,808	51,359	22,187
1930 Total budgetary resources available .....	53,901	51,365	22,205
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-149		
1941 Unexpired unobligated balance, end of year .....	6	18	31

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27,450	30,578	30,564
3010 New obligations, unexpired accounts .....	53,746	51,347	22,174
3011 Obligations ("upward adjustments"), expired accounts .....	1,761		
3020 Outlays (gross) .....	-49,983	-51,361	-33,771
3041 Recoveries of prior year unpaid obligations, expired .....	-2,396		
3050 Unpaid obligations, end of year .....	30,578	30,564	18,967
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,058	-1,047	-1,047
3070 Change in uncollected pymts, Fed sources, unexpired .....	-631		
3071 Change in uncollected pymts, Fed sources, expired .....	642		
3090 Uncollected pymts, Fed sources, end of year .....	-1,047	-1,047	-1,047
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26,392	29,531	29,517
3200 Obligated balance, end of year .....	29,531	29,517	17,920

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	53,804	51,348	22,174
Outlays, gross:			
4010 Outlays from new discretionary authority .....	31,710	31,155	13,663
4011 Outlays from discretionary balances .....	18,273	20,199	20,097
4020 Outlays, gross (total) .....	49,983	51,354	33,760
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,524	-866	-896
4033 Non-Federal sources .....	-536		
4040 Offsets against gross budget authority and outlays (total) .....	-3,060	-866	-896
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-631		
4052 Offsetting collections credited to expired accounts .....	836		
4060 Additional offsets against budget authority only (total) .....	205		
4070 Budget authority, net (discretionary) .....	50,949	50,482	21,278

**OPERATION AND MAINTENANCE, AIR FORCE**

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law, **[\$40,379,184,000]** \$21,278,499,000: *Provided*, That not to exceed \$7,699,000 can be used for emergencies and extraordinary expenses, to be expended upon the approval or authority of the Secretary of the

OPERATION AND MAINTENANCE, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3400-0-1-051	2018 actual	2019 est.	2020 est.
4080 Outlays, net (discretionary) .....	46,923	50,488	32,864
Mandatory:			
4090 Budget authority, gross .....	4	11	13
Outlays, gross:			
4100 Outlays from new mandatory authority .....		7	8
4101 Outlays from mandatory balances .....			3
4110 Outlays, gross (total) .....		7	11
4180 Budget authority, net (total) .....	50,953	50,493	21,291
4190 Outlays, net (total) .....	46,923	50,495	32,875

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	50,953	50,493	21,291
Outlays .....	46,923	50,495	32,875
Overseas contingency operations:			
Budget Authority .....			33,029
Outlays .....			19,817
Total:			
Budget Authority .....	50,953	50,493	54,320
Outlays .....	46,923	50,495	52,692

Object Classification (in millions of dollars)

Identification code 057-3400-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5,106	5,420	3,458
11.3 Other than full-time permanent .....	155	93	164
11.5 Other personnel compensation .....	232	214	169
11.9 Total personnel compensation .....	5,493	5,727	3,791
12.1 Civilian personnel benefits .....	1,896	1,882	1,298
13.0 Benefits for former personnel .....	11	40	4
21.0 Travel and transportation of persons .....	1,363	1,200	737
22.0 Transportation of things .....	244	401	112
23.1 Rental payments to GSA .....	6	4	
23.2 Rental payments to others .....	98	196	150
23.3 Communications, utilities, and miscellaneous charges .....	3,370	3,397	1,129
24.0 Printing and reproduction .....	127	105	110
25.1 Advisory and assistance services .....	1,982	1,108	823
25.2 Other services from non-Federal sources .....	2,085	1,692	1,217
25.3 Other goods and services from Federal sources .....	1,002	600	555
25.3 Other goods and services from Federal sources .....	28	63	13
25.3 Other goods and services from Federal sources .....	5,249	5,221	1,268
25.4 Operation and maintenance of facilities .....	1,543	1,367	664
25.5 Research and development contracts .....	35	51	46
25.6 Medical care .....	27	11	22
25.7 Operation and maintenance of equipment .....	12,883	13,931	3,507
25.8 Subsistence and support of persons .....	264	173	9
26.0 Supplies and materials .....	7,870	9,028	1,297
31.0 Equipment .....	2,321	2,184	1,859
32.0 Land and structures .....	2,949	2,020	2,616
41.0 Grants, subsidies, and contributions .....	33	41	34
42.0 Insurance claims and indemnities .....	11	38	16
43.0 Interest and dividends .....	1	1	1
99.0 Direct obligations .....	50,891	50,481	21,278
99.0 Reimbursable obligations .....	2,855	866	896
99.9 Total new obligations, unexpired accounts .....	53,746	51,347	22,174

Employment Summary

Identification code 057-3400-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	67,000	71,565	44,752
2001 Reimbursable civilian full-time equivalent employment .....	9,996	9,977	8,317

OPERATION AND MAINTENANCE, SPACE FORCE

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Space Force, as authorized by law, \$72,436,000: Provided, That not to exceed \$100,000 may be used for emergencies and extraordinary expenses, to be

expended on the approval or authority of the Secretary of the Air Force, and payments may be made on his certificate of necessity for confidential military purposes.

Program and Financing (in millions of dollars)

Identification code 057-3410-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....			72
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			72
1930 Total budgetary resources available .....			72

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			72
3020 Outlays (gross) .....			-43
3050 Unpaid obligations, end of year .....			29
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			29

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....			72
Outlays, gross:			
4010 Outlays from new discretionary authority .....			43
4180 Budget authority, net (total) .....			72
4190 Outlays, net (total) .....			43

Object Classification (in millions of dollars)

Identification code 057-3410-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			18
25.2 Other services from non-Federal sources .....			50
26.0 Supplies and materials .....			4
99.9 Total new obligations, unexpired accounts .....			72

Employment Summary

Identification code 057-3410-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			122

OPERATION AND MAINTENANCE, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, **[\$35,613,354,000] \$37,399,341,000: Provided, That not more than [\$7,503,000] \$6,859,000 may be used for the Combatant Commander Initiative Fund authorized under section 166a of title 10, United States Code: Provided further, That not to exceed \$36,000,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2021, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code: Provided further, That [of the funds provided under this heading, not less than \$42,300,000 shall be made available for the Procurement Technical Assistance Cooperative Agreement Program, of which not less than \$4,500,000 shall be available for centers defined in 10 U.S.C. 2411(1)(D): Provided further, That none of the funds appropriated or otherwise made available by this Act may be used to plan or implement the consolidation of a budget or appropriations liaison office of the Office of the Secretary of Defense, the office of the Secretary of a military department, or the service headquarters of one of the Armed Forces into a legislative affairs or legislative liaison office: Provided further, That \$19,160,000] \$14,732,000, to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary of Defense to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be**

merged with and to be available for the same time period as the appropriations to which transferred: *Provided further*, That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: *Provided further*, That of the funds provided under this heading, **[\$663,969,000, of which \$165,992,000]** \$496,871,000, to remain available until September 30, **[2020]** 2021, shall be available to provide support and assistance to foreign security forces or other groups or individuals to conduct, support or facilitate counterterrorism, crisis response, or other Department of Defense security cooperation programs: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0100-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	9,312	9,997	7,032
0003 Training and recruiting .....	603	647	315
0004 Administration and servicewide activities .....	31,344	34,148	30,052
0799 Total direct obligations .....	41,259	44,792	37,399
0801 Reimbursable program activity .....	2,085	2,882	2,139
0900 Total new obligations, unexpired accounts .....	43,344	47,674	39,538
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,023	2,005	1,063
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,021	2,003	
1010 Unobligated balance transfer to other accts [097-9999] .....	-356		
1011 Unobligated balance transfer from other acct [097-9999] .....	23		
1021 Recoveries of prior year unpaid obligations .....	15		
1050 Unobligated balance (total) .....	1,705	2,005	1,063
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	42,900	44,792	37,399
1120 Appropriations transferred to other acct [097-9999] .....	-2,169		
1120 Appropriations transferred to other acct [019-0113] .....	-20		
1120 Appropriations transferred to other acct [075-0944] .....	-10		
1121 Appropriations transferred from other acct [097-9999] .....	1,742		
1121 Appropriations transferred from other acct [467-0401] .....	16		
1131 Unobligated balance of appropriations permanently reduced .....	-750	-950	
1160 Appropriation, discretionary (total) .....	41,709	43,842	37,399
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	6	7	16
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,042	2,883	2,139
1701 Change in uncollected payments, Federal sources .....	1,057		
1750 Spending auth from offsetting collections, disc (total) .....	2,099	2,883	2,139
1900 Budget authority (total) .....	43,814	46,732	39,554
1930 Total budgetary resources available .....	45,519	48,737	40,617
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-170		
1941 Unexpired unobligated balance, end of year .....	2,005	1,063	1,079
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17,756	19,097	21,937
3010 New obligations, unexpired accounts .....	43,344	47,674	39,538
3011 Obligations ("upward adjustments"), expired accounts .....	1,304		
3020 Outlays (gross) .....	-41,462	-44,834	-43,119
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,830		
3050 Unpaid obligations, end of year .....	19,097	21,937	18,356
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,821	-1,600	-1,600
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,057		
3071 Change in uncollected pymts, Fed sources, expired .....	1,278		
3090 Uncollected pymts, Fed sources, end of year .....	-1,600	-1,600	-1,600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15,935	17,497	20,337
3200 Obligated balance, end of year .....	17,497	20,337	16,756

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	43,808	46,725	39,538
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27,841	30,942	26,074

4011 Outlays from discretionary balances .....	13,621	13,888	17,029
4020 Outlays, gross (total) .....	41,462	44,830	43,103
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,873	-2,883	-2,139
4033 Non-Federal sources .....	-229		
4040 Offsets against gross budget authority and outlays (total) .....	-2,102	-2,883	-2,139
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,057		
4052 Offsetting collections credited to expired accounts .....	1,060		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	41,709	43,842	37,399
4080 Outlays, net (discretionary) .....	39,360	41,947	40,964
Mandatory:			
4090 Budget authority, gross .....	6	7	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....		4	10
4101 Outlays from mandatory balances .....			6
4110 Outlays, gross (total) .....		4	16
4180 Budget authority, net (total) .....	41,715	43,849	37,415
4190 Outlays, net (total) .....	39,360	41,951	40,980

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	41,715	43,849	37,415
Outlays .....	39,360	41,951	40,980
Overseas contingency operations:			
Budget Authority .....			8,449
Outlays .....			5,407
Total:			
Budget Authority .....	41,715	43,849	45,864
Outlays .....	39,360	41,951	46,387

**Object Classification** (in millions of dollars)

Identification code 097-0100-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	8,403	8,752	8,759
11.3 Other than full-time permanent .....	233	161	200
11.5 Other personnel compensation .....	336	379	366
11.8 Special personal services payments .....	99	92	52
11.9 Total personnel compensation .....	9,071	9,384	9,377
12.1 Civilian personnel benefits .....	3,085	3,200	3,275
13.0 Benefits for former personnel .....	23	17	18
21.0 Travel and transportation of persons .....	1,243	1,187	987
22.0 Transportation of things .....	192	194	170
23.1 Rental payments to GSA .....	80	88	130
23.2 Rental payments to others .....	486	546	533
23.3 Communications, utilities, and miscellaneous charges .....	1,664	1,512	1,328
24.0 Printing and reproduction .....	71	55	43
25.1 Advisory and assistance services .....	5,471	5,151	4,650
25.2 Other services from non-Federal sources .....	3,081	4,695	2,125
25.3 Other goods and services from Federal sources .....	3,558	3,745	3,022
25.3 Other goods and services from Federal sources .....	9	10	9
25.3 Other goods and services from Federal sources .....	690	1,235	816
25.4 Operation and maintenance of facilities .....	730	650	544
25.5 Research and development contracts .....	6	10	3
25.6 Medical care .....	30	59	61
25.7 Operation and maintenance of equipment .....	7,197	7,807	6,556
25.8 Subsistence and support of persons .....	26	27	26
26.0 Supplies and materials .....	1,511	2,171	1,282
31.0 Equipment .....	2,081	2,059	1,788
32.0 Land and structures .....	321	266	305
41.0 Grants, subsidies, and contributions .....	630	723	349
42.0 Insurance claims and indemnities .....	1		
43.0 Interest and dividends .....	1	1	1
44.0 Refunds .....	1		1
99.0 Direct obligations .....	41,259	44,792	37,399
99.0 Reimbursable obligations .....	2,085	2,882	2,139
99.9 Total new obligations, unexpired accounts .....	43,344	47,674	39,538

**Employment Summary**

Identification code 097-0100-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	63,015	65,070	65,521

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued  
**Employment Summary—Continued**

Identification code 097-0100-0-1-999	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,866	1,917	1,930
3001 Allocation account civilian full-time equivalent employment .....	3,091	3,655	3,742

OFFICE OF THE INSPECTOR GENERAL

For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$329,273,000]** \$363,499,000, of which **[\$325,236,000]** \$360,225,000 shall be for operation and maintenance, of which not to exceed \$700,000 is available for emergencies and extraordinary expenses to be expended on the approval or authority of the Inspector General, and payments may be made on the Inspector General's certificate of necessity for confidential military purposes; of which **[\$60,000]** \$333,000, to remain available for obligation until September 30, **[2021]** 2022, shall be for procurement; and of which **[\$3,977,000]** \$2,965,000, to remain available until September 30, **[2020]** 2021, shall be for research, development, test and evaluation. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0107-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	335	352	356
0002 Research, Development, Test, and Evaluation .....	2	2	7
0799 Total direct obligations .....	337	354	363
0801 Reimbursable program activity .....	6	9	10
0900 Total new obligations, unexpired accounts .....	343	363	373
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	347	354	363
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	9	10
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	6	9	10
1900 Budget authority (total) .....	353	363	373
1930 Total budgetary resources available .....	354	365	375
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	50	204
3010 New obligations, unexpired accounts .....	343	363	373
3011 Obligations ("upward adjustments"), expired accounts .....	12	128	87
3020 Outlays (gross) .....	-345	-337	-367
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	50	204	297
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	44	198
3200 Obligated balance, end of year .....	44	198	291
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	353	363	373
Outlays, gross:			
4010 Outlays from new discretionary authority .....	302	292	300
4011 Outlays from discretionary balances .....	43	45	67
4020 Outlays, gross (total) .....	345	337	367

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-15	-9	-10
4040 Offsets against gross budget authority and outlays (total) ...	-15	-9	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	9		
4070 Budget authority, net (discretionary) .....	347	354	363
4080 Outlays, net (discretionary) .....	330	328	357
4180 Budget authority, net (total) .....	347	354	363
4190 Outlays, net (total) .....	330	328	357

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	347	354	363
Outlays .....	330	328	357
Overseas contingency operations:			
Budget Authority .....			24
Outlays .....			19
Total:			
Budget Authority .....	347	354	387
Outlays .....	330	328	376

**Object Classification** (in millions of dollars)

Identification code 097-0107-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	165	178	189
11.3 Other than full-time permanent .....	13		
11.5 Other personnel compensation .....	16	6	7
11.9 Total personnel compensation .....	194	184	196
12.1 Civilian personnel benefits .....	68	66	68
21.0 Travel and transportation of persons .....	9	6	6
22.0 Transportation of things .....		1	1
23.1 Rental payments to GSA .....	7	7	9
23.2 Rental payments to others .....	11	13	15
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	12	6	6
25.2 Other services from non-Federal sources .....	6	3	3
25.3 Other goods and services from Federal sources .....	8	10	18
25.4 Operation and maintenance of facilities .....	4	6	5
25.5 Research and development contracts .....	2	5	6
25.7 Operation and maintenance of equipment .....	9	15	19
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	28	6
99.0 Direct obligations .....	337	354	363
99.0 Reimbursable obligations .....	6	9	10
99.9 Total new obligations, unexpired accounts .....	343	363	373

**Employment Summary**

Identification code 097-0107-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,595	1,654	1,613

OPERATION AND MAINTENANCE, ARMY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$2,781,402,000]** \$1,080,103,000. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 021-2080-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	2,801	2,708	969
0004 Administration and servicewide activities .....	114	120	111

0799	Total direct obligations .....	2,915	2,828	1,080
0801	Reimbursable program activity .....	13	18	3
0900	Total new obligations, unexpired accounts .....	2,928	2,846	1,083
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....			1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,913	2,828	1,080
1121	Appropriations transferred from other acct [097-9999] ....	2	1	
1160	Appropriation, discretionary (total) .....	2,915	2,829	1,080
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9	18	3
1701	Change in uncollected payments, Federal sources .....	7		
1750	Spending auth from offsetting collections, disc (total) .....	16	18	3
1900	Budget authority (total) .....	2,931	2,847	1,083
1930	Total budgetary resources available .....	2,931	2,847	1,084
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....		1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,382	1,395	1,418
3010	New obligations, unexpired accounts .....	2,928	2,846	1,083
3011	Obligations ("upward adjustments"), expired accounts .....	153		
3020	Outlays (gross) .....	-2,808	-2,823	-1,718
3041	Recoveries of prior year unpaid obligations, expired .....	-260		
3050	Unpaid obligations, end of year .....	1,395	1,418	783
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071	Change in uncollected pymts, Fed sources, expired .....	10		
3090	Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,373	1,389	1,412
3200	Obligated balance, end of year .....	1,389	1,412	777

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,931	2,847	1,083
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,922	1,772	673
4011	Outlays from discretionary balances .....	886	1,051	1,045
4020	Outlays, gross (total) .....	2,808	2,823	1,718
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-26	-18	-3
4033	Non-Federal sources .....	-3		
4040	Offsets against gross budget authority and outlays (total) ....	-29	-18	-3
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052	Offsetting collections credited to expired accounts .....	20		
4060	Additional offsets against budget authority only (total) .....	13		
4070	Budget authority, net (discretionary) .....	2,915	2,829	1,080
4080	Outlays, net (discretionary) .....	2,779	2,805	1,715
4180	Budget authority, net (total) .....	2,915	2,829	1,080
4190	Outlays, net (total) .....	2,779	2,805	1,715

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,915	2,829	1,080
Outlays .....	2,779	2,805	1,715
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,987
Outlays .....			1,232
<b>Total:</b>			
Budget Authority .....	2,915	2,829	3,067
Outlays .....	2,779	2,805	2,947

**Object Classification (in millions of dollars)**

Identification code 021-2080-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	621	621	64
11.5	Other personnel compensation .....	27	12	1
11.9	Total personnel compensation .....	648	633	65
12.1	Civilian personnel benefits .....	257	260	21
21.0	Travel and transportation of persons .....	188	187	66
22.0	Transportation of things .....	107	93	24
23.1	Rental payments to GSA .....	4	4	
23.2	Rental payments to others .....	29	29	24
23.3	Communications, utilities, and miscellaneous charges .....	188	190	58
24.0	Printing and reproduction .....	2	2	1
25.1	Advisory and assistance services .....	27	47	30
25.2	Other services from non-Federal sources .....	239	180	114
25.3	Other goods and services from Federal sources .....	6	6	3
25.3	Other goods and services from Federal sources .....	105	94	39
25.4	Operation and maintenance of facilities .....	350	365	381
25.6	Medical care .....	123	118	114
25.7	Operation and maintenance of equipment .....	134	135	46
25.8	Subsistence and support of persons .....	33	37	13
26.0	Supplies and materials .....	395	370	64
31.0	Equipment .....	80	78	17
99.0	Direct obligations .....	2,915	2,828	1,080
99.0	Reimbursable obligations .....	13	18	3
99.9	Total new obligations, unexpired accounts .....	2,928	2,846	1,083

**Employment Summary**

Identification code 021-2080-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	9,874	10,060	861
2001	Reimbursable civilian full-time equivalent employment .....	20	21	19

**OPERATION AND MAINTENANCE, NAVY RESERVE**

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$1,018,006,000]** \$261,284,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 017-1806-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Operating Forces .....	1,077	1,037	244
0004	Administration and Service-wide Activities .....	17	18	18
0799	Total direct obligations .....	1,094	1,055	262
0801	Reimbursable program activity .....	3	7	6
0900	Total new obligations, unexpired accounts .....	1,097	1,062	268
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,097	1,056	261
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3	7	6
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	4	7	6
1900	Budget authority (total) .....	1,101	1,063	267
1930	Total budgetary resources available .....	1,101	1,064	269
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....	1	2	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	396	496	463
3010	New obligations, unexpired accounts .....	1,097	1,062	268
3011	Obligations ("upward adjustments"), expired accounts .....	43		

OPERATION AND MAINTENANCE, NAVY RESERVE—Continued  
Program and Financing—Continued

Identification code 017-1806-0-1-051	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-972	-1,095	-504
3041 Recoveries of prior year unpaid obligations, expired .....	-68		
3050 Unpaid obligations, end of year .....	496	463	227
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	395	494	461
3200 Obligated balance, end of year .....	494	461	225
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,101	1,063	267
Outlays, gross:			
4010 Outlays from new discretionary authority .....	690	702	176
4011 Outlays from discretionary balances .....	282	393	328
4020 Outlays, gross (total) .....	972	1,095	504
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-7	-6
4040 Offsets against gross budget authority and outlays (total) ...	-3	-7	-6
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	1,097	1,056	261
4080 Outlays, net (discretionary) .....	969	1,088	498
4180 Budget authority, net (total) .....	1,097	1,056	261
4190 Outlays, net (total) .....	969	1,088	498

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,097	1,056	261
Outlays .....	969	1,088	498
Overseas contingency operations:			
Budget Authority .....			887
Outlays .....			585
Total:			
Budget Authority .....	1,097	1,056	1,148
Outlays .....	969	1,088	1,083

Object Classification (in millions of dollars)

Identification code 017-1806-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	53	55	39
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	54	56	40
12.1 Civilian personnel benefits .....	18	19	13
21.0 Travel and transportation of persons .....	45	29	7
22.0 Transportation of things .....	5	5	1
23.2 Rental payments to others .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	16	11	3
25.1 Advisory and assistance services .....	7	6	5
25.2 Other services from non-Federal sources .....	22	12	5
25.3 Other goods and services from Federal sources .....	44	41	10
25.3 Other goods and services from Federal sources .....	92	99	8
25.4 Operation and maintenance of facilities .....	44	39	25
25.6 Medical care .....	4	4	4
25.7 Operation and maintenance of equipment .....	267	278	91
25.8 Subsistence and support of persons .....	16	17	16
26.0 Supplies and materials .....	215	229	27
31.0 Equipment .....	173	203	7
32.0 Land and structures .....	71	7	
99.0 Direct obligations .....	1,094	1,055	262
99.0 Reimbursable obligations .....	3	7	6
99.9 Total new obligations, unexpired accounts .....	1,097	1,062	268

Employment Summary

Identification code 017-1806-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	796	829	627
2001 Reimbursable civilian full-time equivalent employment .....	14	17	6

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **【\$271,570,000】 \$61,090,000.** (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 017-1107-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	278	264	47
0004 Administration and servicewide activities .....	9	11	14
0799 Total direct obligations .....	287	275	61
0801 Reimbursable program activity .....	2	2	2
0900 Total new obligations, unexpired accounts .....	289	277	63

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	288	275	61
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	2	2	2
1900 Budget authority (total) .....	290	277	63
1930 Total budgetary resources available .....	290	277	63
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	169	168	163
3010 New obligations, unexpired accounts .....	289	277	63
3011 Obligations ("upward adjustments"), expired accounts .....	18		
3020 Outlays (gross) .....	-281	-282	-154
3041 Recoveries of prior year unpaid obligations, expired .....	-27		
3050 Unpaid obligations, end of year .....	168	163	72
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	168	166	161
3200 Obligated balance, end of year .....	166	161	70

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	290	277	63
Outlays, gross:			
4010 Outlays from new discretionary authority .....	176	156	36
4011 Outlays from discretionary balances .....	105	126	118
4020 Outlays, gross (total) .....	281	282	154
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	288	275	61
4080 Outlays, net (discretionary) .....	280	280	152
4180 Budget authority, net (total) .....	288	275	61
4190 Outlays, net (total) .....	280	280	152

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	288	275	61
Outlays .....	280	280	152
<b>Overseas contingency operations:</b>			
Budget Authority .....			240
Outlays .....			137
<b>Total:</b>			
Budget Authority .....	288	275	301
Outlays .....	280	280	289

**Object Classification** (in millions of dollars)

Identification code 017-1107-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	15	17	5
11.5 Other personnel compensation .....		1	
11.9 Total personnel compensation .....	15	18	5
12.1 Civilian personnel benefits .....	5	5	2
21.0 Travel and transportation of persons .....	29	29	
22.0 Transportation of things .....	15	13	
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	14	2
25.1 Advisory and assistance services .....	22	31	
25.2 Other services from non-Federal sources .....	4	4	1
25.3 Other goods and services from Federal sources .....	8	11	
25.3 Other goods and services from Federal sources .....	24	23	2
25.4 Operation and maintenance of facilities .....	65	35	18
25.6 Medical care .....	9	7	
25.7 Operation and maintenance of equipment .....	8	8	
25.8 Subsistence and support of persons .....	15	12	
26.0 Supplies and materials .....	40	37	1
31.0 Equipment .....	7	5	
32.0 Land and structures .....	8	22	29
99.0 Direct obligations .....	287	275	61
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	289	277	63

**Employment Summary**

Identification code 017-1107-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	206	234	87
2001 Reimbursable civilian full-time equivalent employment .....		2	

**OPERATION AND MAINTENANCE, AIR FORCE RESERVE**

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$3,191,734,000]** \$2,231,445,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3740-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	3,114	3,179	2,121
0004 Administration and servicewide activities .....	121	107	110
0799 Total direct obligations .....	3,235	3,286	2,231
0801 Reimbursable program activity .....	201	90	92
0900 Total new obligations, unexpired accounts .....	3,436	3,376	2,323
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,256	3,286	2,231
1121 Appropriations transferred from other acct [097-9999] .....	4		
1160 Appropriation, discretionary (total) .....	3,260	3,286	2,231
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	181	90	92

1701 Change in uncollected payments, Federal sources .....	20		
1750 Spending auth from offsetting collections, disc (total) .....	201	90	92
1900 Budget authority (total) .....	3,461	3,376	2,323
1930 Total budgetary resources available .....	3,461	3,376	2,323
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-25		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,056	1,264	1,062
3010 New obligations, unexpired accounts .....	3,436	3,376	2,323
3011 Obligations ("upward adjustments"), expired accounts .....	84		
3020 Outlays (gross) .....	-3,224	-3,578	-2,585
3041 Recoveries of prior year unpaid obligations, expired .....	-88		
3050 Unpaid obligations, end of year .....	1,264	1,062	800
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-27	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-20		
3071 Change in uncollected pymts, Fed sources, expired .....	21		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,029	1,238	1,036
3200 Obligated balance, end of year .....	1,238	1,036	774

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,461	3,376	2,323
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,457	2,489	1,721
4011 Outlays from discretionary balances .....	767	1,089	864
4020 Outlays, gross (total) .....	3,224	3,578	2,585
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-211	-90	-92
4033 Non-Federal sources .....	-25		
4040 Offsets against gross budget authority and outlays (total) .....	-236	-90	-92
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-20		
4052 Offsetting collections credited to expired accounts .....	55		
4060 Additional offsets against budget authority only (total) .....	35		
4070 Budget authority, net (discretionary) .....	3,260	3,286	2,231
4080 Outlays, net (discretionary) .....	2,988	3,488	2,493
4180 Budget authority, net (total) .....	3,260	3,286	2,231
4190 Outlays, net (total) .....	2,988	3,488	2,493

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	3,260	3,286	2,231
Outlays .....	2,988	3,488	2,493
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,195
Outlays .....			872
<b>Total:</b>			
Budget Authority .....	3,260	3,286	3,426
Outlays .....	2,988	3,488	3,365

**Object Classification** (in millions of dollars)

Identification code 057-3740-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	825	856	701
11.3 Other than full-time permanent .....	4	36	29
11.5 Other personnel compensation .....	34	39	33
11.9 Total personnel compensation .....	863	931	763
12.1 Civilian personnel benefits .....	303	355	282
21.0 Travel and transportation of persons .....	32	22	22
22.0 Transportation of things .....	4	3	4
23.2 Rental payments to others .....	4	2	
23.3 Communications, utilities, and miscellaneous charges .....	37	55	4
24.0 Printing and reproduction .....	19	12	15
25.1 Advisory and assistance services .....	5	4	
25.2 Other services from non-Federal sources .....	10	3	2
25.3 Other goods and services from Federal sources .....	9	6	6
25.3 Other goods and services from Federal sources .....	679	576	216
25.4 Operation and maintenance of facilities .....	75	79	20
25.6 Medical care .....	3	4	10

OPERATION AND MAINTENANCE, AIR FORCE RESERVE—Continued  
Object Classification—Continued

Identification code 057-3740-0-1-051	2018 actual	2019 est.	2020 est.
25.7 Operation and maintenance of equipment .....	221	279	22
25.8 Subsistence and support of persons .....	38	27	32
26.0 Supplies and materials .....	602	763	714
31.0 Equipment .....	114	69	18
32.0 Land and structures .....	211	88	94
42.0 Insurance claims and indemnities .....	6	8	7
99.0 Direct obligations .....	3,235	3,286	2,231
99.0 Reimbursable obligations .....	201	90	92
99.9 Total new obligations, unexpired accounts .....	3,436	3,376	2,323

Employment Summary

Identification code 057-3740-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	11,339	12,789	10,014
2001 Reimbursable civilian full-time equivalent employment .....		12	12

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD

For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft), **[\$7,118,831,000]** \$3,335,755,000. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 021-2065-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....	7,006	6,815	2,925
0004 Administration and servicewide activities .....	424	427	411
0799 Total direct obligations .....	7,430	7,242	3,336
0801 Reimbursable program activity .....	110	101	43
0900 Total new obligations, unexpired accounts .....	7,540	7,343	3,379
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,446	7,242	3,336
1121 Appropriations transferred from other acct [097-9999] .....	24		
1160 Appropriation, discretionary (total) .....	7,470	7,242	3,336
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	101	43
1701 Change in uncollected payments, Federal sources .....	51		
1750 Spending auth from offsetting collections, disc (total) .....	115	101	43
1900 Budget authority (total) .....	7,585	7,343	3,379
1930 Total budgetary resources available .....	7,586	7,343	3,379
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-46		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,153	3,556	3,646
3010 New obligations, unexpired accounts .....	7,540	7,343	3,379
3011 Obligations ("upward adjustments"), expired accounts .....	604		
3020 Outlays (gross) .....	-6,905	-7,253	-4,860
3041 Recoveries of prior year unpaid obligations, expired .....	-836		
3050 Unpaid obligations, end of year .....	3,556	3,646	2,165
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-54	-57	-57
3070 Change in uncollected pymts, Fed sources, unexpired .....	-51		

3071 Change in uncollected pymts, Fed sources, expired .....	48		
3090 Uncollected pymts, Fed sources, end of year .....	-57	-57	-57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,099	3,499	3,589
3200 Obligated balance, end of year .....	3,499	3,589	2,108
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7,585	7,343	3,379
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,793	4,736	2,178
4011 Outlays from discretionary balances .....	2,112	2,517	2,682
4020 Outlays, gross (total) .....	6,905	7,253	4,860
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-56	-101	-43
4033 Non-Federal sources .....	-51		
4040 Offsets against gross budget authority and outlays (total) .....	-107	-101	-43
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-51		
4052 Offsetting collections credited to expired accounts .....	43		
4060 Additional offsets against budget authority only (total) .....	-8		
4070 Budget authority, net (discretionary) .....	7,470	7,242	3,336
4080 Outlays, net (discretionary) .....	6,798	7,152	4,817
4180 Budget authority, net (total) .....	7,470	7,242	3,336
4190 Outlays, net (total) .....	6,798	7,152	4,817

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	7,470	7,242	3,336
Outlays .....	6,798	7,152	4,817
Overseas contingency operations:			
Budget Authority .....			4,377
Outlays .....			2,801
Total:			
Budget Authority .....	7,470	7,242	7,713
Outlays .....	6,798	7,152	7,618

Object Classification (in millions of dollars)

Identification code 021-2065-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,688	1,789	673
11.5 Other personnel compensation .....	24	29	11
11.9 Total personnel compensation .....	1,712	1,818	684
12.1 Civilian personnel benefits .....	722	769	285
13.0 Benefits for former personnel .....	3		
21.0 Travel and transportation of persons .....	136	124	66
22.0 Transportation of things .....	150	127	17
23.1 Rental payments to GSA .....	34	32	19
23.2 Rental payments to others .....	58	57	43
23.3 Communications, utilities, and miscellaneous charges .....	241	228	33
25.1 Advisory and assistance services .....	294	335	177
25.2 Other services from non-Federal sources .....	383	342	219
25.3 Other goods and services from Federal sources .....	141	130	76
25.3 Other goods and services from Federal sources .....	153	153	28
25.4 Operation and maintenance of facilities .....	762	716	676
25.6 Medical care .....	77	65	77
25.7 Operation and maintenance of equipment .....	199	200	113
25.8 Subsistence and support of persons .....	40	38	25
26.0 Supplies and materials .....	1,336	1,214	226
31.0 Equipment .....	276	248	86
32.0 Land and structures .....	378	355	277
41.0 Grants, subsidies, and contributions .....	335	291	209
99.0 Direct obligations .....	7,430	7,242	3,336
99.0 Reimbursable obligations .....	110	101	43
99.9 Total new obligations, unexpired accounts .....	7,540	7,343	3,379

Employment Summary

Identification code 021-2065-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	26,440	27,608	9,790

2001 Reimbursable civilian full-time equivalent employment .....	80		
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4040 Offsets against gross budget authority and outlays (total) ....	-987	-19	-27
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-109		
4052 Offsetting collections credited to expired accounts .....	136		
4060 Additional offsets against budget authority only (total) .....	27		
4070 Budget authority, net (discretionary) .....	6,925	6,486	3,612
4080 Outlays, net (discretionary) .....	6,302	6,936	4,263
4180 Budget authority, net (total) .....	6,925	6,486	3,612
4190 Outlays, net (total) .....	6,302	6,936	4,263

**OPERATION AND MAINTENANCE, AIR NATIONAL GUARD**

For expenses of training, organizing, and administering the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; transportation of things, hire of passenger motor vehicles; supplying and equipping the Air National Guard, as authorized by law; expenses for repair, modification, maintenance, and issue of supplies and equipment, including those furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau, **[\$6,420,697,000]** \$3,612,156,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3840-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....	6,756	6,403	3,525
0004 Administration and Service-wide Activities .....	136	82	87
0799 Total direct obligations .....	6,892	6,485	3,612
0801 Reimbursable program activity .....	960	19	27
0900 Total new obligations, unexpired accounts .....	7,852	6,504	3,639
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,908	6,486	3,612
1120 Appropriations transferred to other acct [097-9999] .....	-7		
1121 Appropriations transferred from other acct [097-9999] .....	24		
1160 Appropriation, discretionary (total) .....	6,925	6,486	3,612
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	851	19	27
1701 Change in uncollected payments, Federal sources .....	109		
1750 Spending auth from offsetting collections, disc (total) .....	960	19	27
1900 Budget authority (total) .....	7,885	6,505	3,639
1930 Total budgetary resources available .....	7,885	6,505	3,640
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-33		
1941 Unexpired unobligated balance, end of year .....		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,408	2,925	2,474
3010 New obligations, unexpired accounts .....	7,852	6,504	3,639
3011 Obligations ("upward adjustments"), expired accounts .....	282		
3020 Outlays (gross) .....	-7,289	-6,955	-4,290
3041 Recoveries of prior year unpaid obligations, expired .....	-328		
3050 Unpaid obligations, end of year .....	2,925	2,474	1,823
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-161	-197	-197
3070 Change in uncollected pymts, Fed sources, unexpired .....	-109		
3071 Change in uncollected pymts, Fed sources, expired .....	73		
3090 Uncollected pymts, Fed sources, end of year .....	-197	-197	-197
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,247	2,728	2,277
3200 Obligated balance, end of year .....	2,728	2,277	1,626
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7,885	6,505	3,639
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5,582	4,624	2,592
4011 Outlays from discretionary balances .....	1,707	2,331	1,698
4020 Outlays, gross (total) .....	7,289	6,955	4,290
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-951	-19	-27
4033 Non-Federal sources .....	-36		

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	6,925	6,486	3,612
Outlays .....	6,302	6,936	4,263
Overseas contingency operations:			
Budget Authority .....			3,292
Outlays .....			2,336
Total:			
Budget Authority .....	6,925	6,486	6,904
Outlays .....	6,302	6,936	6,599

**Object Classification** (in millions of dollars)

Identification code 057-3840-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	1,395	1,600	912
11.5 Other personnel compensation .....	201	19	136
11.9 Total personnel compensation .....	1,596	1,619	1,048
12.1 Civilian personnel benefits .....	614	563	424
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	77	51	27
22.0 Transportation of things .....	10	9	3
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	108	101	19
24.0 Printing and reproduction .....	78	25	28
25.1 Advisory and assistance services .....	25	3	3
25.2 Other services from non-Federal sources .....	187	46	38
25.3 Other goods and services from Federal sources .....	2	1	1
25.3 Other goods and services from Federal sources .....	888	731	
25.4 Operation and maintenance of facilities .....	324	337	90
25.6 Medical care .....	7	12	9
25.7 Operation and maintenance of equipment .....	1,352	1,200	86
25.8 Subsistence and support of persons .....	21	16	1
26.0 Supplies and materials .....	1,139	1,428	1,451
31.0 Equipment .....	87	81	52
32.0 Land and structures .....	381	247	315
42.0 Insurance claims and indemnities .....		11	12
99.0 Direct obligations .....	6,892	6,486	3,612
99.0 Reimbursable obligations .....	960	18	27
99.9 Total new obligations, unexpired accounts .....	7,852	6,504	3,639

**Employment Summary**

Identification code 057-3840-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	22,846	21,650	14,451
2001 Reimbursable civilian full-time equivalent employment .....	200	148	245

**OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND**

**Program and Financing** (in millions of dollars)

Identification code 097-0118-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
4180 Budget authority, net (total) .....			

OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND—Continued  
Program and Financing—Continued

Identification code 097-0118-0-1-051	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)			

COUNTER-ISLAMIC STATE OF IRAQ AND THE LEVANT OVERSEAS CONTINGENCY  
OPERATIONS TRANSFER FUND

Program and Financing (in millions of dollars)

Identification code 097-0120-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,610		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-1,610		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	-1,610		
4180 Budget authority, net (total)	-1,610		
4190 Outlays, net (total)			

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES

For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, **[\$14,662,000]** \$14,771,000, of which not to exceed **[\$5,000]** \$10,000 may be used for official representation purposes. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0104-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Administration and associated activities	15	15	15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	15	15
1930 Total budgetary resources available	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	5	6
3010 New obligations, unexpired accounts	15	15	15
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-13	-14	-15
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	5	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	5	6
3200 Obligated balance, end of year	5	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	15	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority	12	12	12
4011 Outlays from discretionary balances	1	2	3
4020 Outlays, gross (total)	13	14	15
4180 Budget authority, net (total)	15	15	15
4190 Outlays, net (total)	13	14	15

Object Classification (in millions of dollars)

Identification code 097-0104-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	3	7	7
11.3 Other than full-time permanent	3		
11.9 Total personnel compensation	6	7	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	1	1
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	3		
25.2 Other services from non-Federal sources		3	3
25.4 Operation and maintenance of facilities		1	1
25.7 Operation and maintenance of equipment	1	1	1
99.9 Total new obligations, unexpired accounts	15	15	15

Employment Summary

Identification code 097-0104-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	50	59	59

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE

(INCLUDING TRANSFER OF FUNDS)

For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for operation and maintenance; for procurement; and for research, development, test and evaluation, **[\$881,525,000]** \$799,402,000, of which \$517,171,000 shall be for counter-narcotics support; \$121,900,000 shall be for the drug demand reduction program; \$217,178,000 shall be for the National Guard counter-drug program; and \$25,276,000 shall be for the National Guard counter-drug schools program: *Provided*, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0105-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Drug interdiction and counter drug activities		670	582
0002 Drug reduction program		122	121
0003 National Guard Counter-Drug Program		217	91
0004 National Guard Counter-Drug Schools		25	5
0900 Total new obligations, unexpired accounts		1,034	799
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,131	1,035	799
1120 Appropriations transferred to other acct [097-9999]	-1,057		
1121 Appropriations transferred from other acct [097-9999]	42		
1160 Appropriation, discretionary (total)	116	1,035	799
1930 Total budgetary resources available	116	1,035	800
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-116		
1941 Unexpired unobligated balance, end of year		1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			517
3010 New obligations, unexpired accounts		1,034	799
3020 Outlays (gross)		-517	-711
3050 Unpaid obligations, end of year		517	605

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		517
3200	Obligated balance, end of year .....	517	605
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	116	1,035
Outlays, gross:			
4010	Outlays from new discretionary authority .....		517
4011	Outlays from discretionary balances .....		311
4020	Outlays, gross (total) .....		517
4180	Budget authority, net (total) .....	116	1,035
4190	Outlays, net (total) .....		517

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	116	1,035	799
Outlays .....		517	711
Overseas contingency operations:			
Budget Authority .....			164
Outlays .....			82
Total:			
Budget Authority .....	116	1,035	963
Outlays .....		517	793

**Object Classification** (in millions of dollars)

Identification code 097-0105-0-1-051	2018 actual	2019 est.	2020 est.
11.8 Direct obligations: Personnel compensation: Special personal services payments .....		13	
11.9 Total personnel compensation .....		13	
21.0 Travel and transportation of persons .....		21	10
22.0 Transportation of things .....		10	9
23.2 Rental payments to others .....		4	4
23.3 Communications, utilities, and miscellaneous charges .....		32	30
25.1 Advisory and assistance services .....		36	36
25.2 Other services from non-Federal sources .....		38	21
25.3 Other goods and services from Federal sources .....		214	215
25.3 Other goods and services from Federal sources .....		3	2
25.4 Operation and maintenance of facilities .....		54	36
25.7 Operation and maintenance of equipment .....		242	156
26.0 Supplies and materials .....		347	264
31.0 Equipment .....		16	16
41.0 Grants, subsidies, and contributions .....		4	
99.9 Total new obligations, unexpired accounts .....		1,034	799

**SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS**

**Program and Financing** (in millions of dollars)

Identification code 097-0838-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1		
0900 Total new obligations, unexpired accounts (object class 26.0) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	6
1930 Total budgetary resources available .....	7	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	

**FOREIGN CURRENCY FLUCTUATIONS**

**Program and Financing** (in millions of dollars)

Identification code 097-0801-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	970	970	720
1010 Unobligated balance transfer to other accts [097-9999] .....	-678		
1012 Unobligated balance transfers between expired and unexpired accounts .....		678	
1050 Unobligated balance (total) .....	970	970	720
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....		-250	
1930 Total budgetary resources available .....	970	720	720
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	970	720	720

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-250	
4180 Budget authority, net (total) .....		-250	
4190 Outlays, net (total) .....			

This account transfers funds to operation and maintenance and military personnel appropriations, for Defense activities that purchase foreign currencies, to finance upward adjustments of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Department to withdraw unobligated balances from operation and maintenance and military personnel appropriations from prior years. By statute (10 U.S.C. 2779(d)(3)), the total amount of discretionary budget authority in this transfer account may not exceed \$970,000,000.

**DEFENSE HEALTH PROGRAM**

For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense as authorized by law, **[\$34,007,519,000]** \$32,998,687,000; of which **[\$30,953,422,000]** \$31,812,090,000, shall be for operation and maintenance, of which not to exceed one percent shall remain available for obligation until September 30, **[2020]**, and of which up to \$15,118,801,000 may be available for contracts entered into under the TRICARE program **[2021]**; of which **[\$873,160,000]** \$454,324,000, to remain available for obligation until September 30, **[2021]** **[2022]**, shall be for procurement; and of which **[\$2,180,937,000]** \$732,273,000, to remain available for obligation until September 30, **[2020]** **[2021]**, shall be for research, development, test and evaluation **[**: *Provided*, That, notwithstanding any other provision of law, of the amount made available under this heading for research, development, test and evaluation, not less than \$8,000,000 shall be available for HIV prevention educational activities undertaken in connection with United States military training, exercises, and humanitarian assistance activities conducted primarily in African nations: *Provided further*, That of the funds provided under this heading for research, development, test and evaluation, not less than \$1,171,100,000 shall be made available to the United States Army Medical Research and Materiel Command to carry out the congressionally directed medical research programs]. (*Department of Defense Appropriations Act, 2019.*)

DEFENSE HEALTH PROGRAM—Continued  
Program and Financing (in millions of dollars)

Identification code 097-0130-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	31,025	31,177	31,228
0002 Research, Development, Test, & Evaluation .....	2,132	1,720	2,317
0003 Procurement .....	684	638	705
0799 Total direct obligations .....	33,841	33,535	34,250
0801 Reimbursable program activity .....	3,480	4,445	4,860
0900 Total new obligations, unexpired accounts .....	37,321	37,980	39,110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,134	2,496	3,143
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,134		
1012 Unobligated balance transfers between expired and unexpired accounts .....	313		
1021 Recoveries of prior year unpaid obligations .....	75		
1050 Unobligated balance (total) .....	2,522	2,496	3,143
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34,834	34,368	32,999
1120 Appropriations transferred to other acct [097-9999] .....	-654		
1120 Appropriations transferred to other acct [036-0165] .....	-15	-15	-15
1120 Appropriations transferred to other acct [036-0169] .....	-116	-113	-127
1131 Unobligated balance of appropriations permanently reduced .....	-30	-217	
1160 Appropriation, discretionary (total) .....	34,019	34,023	32,857
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,768	4,604	4,867
1701 Change in uncollected payments, Federal sources .....	212		
1750 Spending auth from offsetting collections, disc (total) .....	1,980	4,604	4,867
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,662		
1900 Budget authority (total) .....	37,661	38,627	37,724
1930 Total budgetary resources available .....	40,183	41,123	40,867
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-366		
1941 Unexpired unobligated balance, end of year .....	2,496	3,143	1,757
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14,026	14,305	13,528
3010 New obligations, unexpired accounts .....	37,321	37,980	39,110
3011 Obligations ("upward adjustments"), expired accounts .....	835		
3020 Outlays (gross) .....	-35,928	-38,757	-38,078
3040 Recoveries of prior year unpaid obligations, unexpired .....	-75		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,874		
3050 Unpaid obligations, end of year .....	14,305	13,528	14,560
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-726	-631	-631
3070 Change in uncollected pymts, Fed sources, unexpired .....	-212		
3071 Change in uncollected pymts, Fed sources, expired .....	307		
3090 Uncollected pymts, Fed sources, end of year .....	-631	-631	-631
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13,300	13,674	12,897
3200 Obligated balance, end of year .....	13,674	12,897	13,929
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	35,999	38,627	37,724
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26,402	28,760	28,195
4011 Outlays from discretionary balances .....	7,864	9,997	9,883
4020 Outlays, gross (total) .....	34,266	38,757	38,078
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-998	-2,641	-2,792
4033 Non-Federal sources .....	-971	-1,963	-2,075
4040 Offsets against gross budget authority and outlays (total) ....	-1,969	-4,604	-4,867
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-212		
4052 Offsetting collections credited to expired accounts .....	201		
4060 Additional offsets against budget authority only (total) .....	-11		
4070 Budget authority, net (discretionary) .....	34,019	34,023	32,857
4080 Outlays, net (discretionary) .....	32,297	34,153	33,211

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,662		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,662		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,662		
4180 Budget authority, net (total) .....	34,019	34,023	32,857
4190 Outlays, net (total) .....	32,297	34,153	33,211
<b>Memorandum (non-add) entries:</b>			
5093 Expired unavailable balance, SOY: Offsetting collections .....	76		
5094 Canceling unavailable balance: Offsetting collections .....	-76		

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	34,019	34,023	32,857
Outlays .....	32,297	34,153	33,211
Overseas contingency operations:			
Budget Authority .....			348
Outlays .....			247
Total:			
Budget Authority .....	34,019	34,023	33,205
Outlays .....	32,297	34,153	33,458

The Defense Health Program (DHP) provides care to current and retired members of the Armed Forces, their family members, and other eligible beneficiaries. Beneficiaries may obtain care from the Military Department medical and dental facilities or through the civilian health care network under the TRICARE program.

Accrual accounting for Medicare-eligible beneficiaries began in 2003 and the health care for these beneficiaries is funded from the Department of Defense Medicare-Eligible Retiree Health Care Fund. The DHP also manages Research and Development funds appropriated by Congress, which support medical research and health information management systems development.

The DHP and Department of Veterans Affairs (VA) share the goal of improving the access, quality, and cost effectiveness of health care provided by VA and DOD. To this end, each Department contributes a minimum of \$15 million per year for joint health care incentives.

The requested appropriation for the Defense Health Program is \$33.0 billion.

Health care is provided in military facilities as follows:

	2018	2019	2020
Inpatient Facilities .....	50	50	49
Outpatient Clinics .....	426	426	427
Dental Clinics .....	246	246	246

The DHP is staffed by:

	2018	2019	2020
Civilian work years (thousands) .....	63	62	61
Military personnel (thousands) .....	79	82	64

The number of eligible beneficiaries of the Defense Health Program is estimated as follows:

Eligible Beneficiary Categories	2018	2019	2020
Active Duty (AD) Personnel .....	1,563,919	1,580,675	1,593,606
Active Duty Family Members .....	1,964,015	1,987,779	2,006,171
(Medicare Eligible AD Family Members) .....	(5,145)	(5,185)	(5,214)
Retirees .....	1,044,043	1,038,096	1,031,959
(Medicare Eligible Retirees) .....	(1,162,720)	(1,175,593)	(1,187,424)
Retiree Family Members and Survivors .....	2,522,070	2,514,717	2,507,501
(Medicare Eligible Retiree Family Members and Survivors) .....	(1,236,706)	(1,247,046)	(1,257,165)
Total .....	9,500,134	9,550,646	9,590,629
(Total Medicare Eligible) .....	(2,406,087)	(2,429,380)	(2,451,392)

Source MCFAS

Object Classification (in millions of dollars)

Identification code 097-0130-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	3,940	3,918	3,894
11.3 Other than full-time permanent .....	142	110	102
11.5 Other personnel compensation .....	614	505	575

11.9	Total personnel compensation .....	4,696	4,533	4,571
12.1	Civilian personnel benefits .....	1,450	1,441	1,368
13.0	Benefits for former personnel .....	8	8	8
21.0	Travel and transportation of persons .....	225	218	205
22.0	Transportation of things .....	14	15	15
23.1	Rental payments to GSA .....	13	29	21
23.2	Rental payments to others .....	49	42	45
23.3	Communications, utilities, and miscellaneous charges .....	357	408	361
24.0	Printing and reproduction .....	22	21	24
25.1	Advisory and assistance services .....	859	353	403
25.2	Other services from non-Federal sources .....	490	458	410
25.3	Other goods and services from Federal sources .....	584	531	497
25.3	Other goods and services from Federal sources .....	50	60	60
25.3	Other goods and services from Federal sources .....	378	293	310
25.4	Operation and maintenance of facilities .....	740	626	633
25.5	Research and development contracts .....	903	958	234
25.6	Medical care .....	14,991	14,531	16,986
25.7	Operation and maintenance of equipment .....	1,326	1,741	1,689
25.8	Subsistence and support of persons .....	6	4	4
26.0	Supplies and materials .....	3,978	4,239	4,339
31.0	Equipment .....	1,231	1,553	1,237
32.0	Land and structures .....	538	444	468
41.0	Grants, subsidies, and contributions .....	932	1,028	361
43.0	Interest and dividends .....	1	1	1
99.0	Direct obligations .....	33,841	33,535	34,250
99.0	Reimbursable obligations .....	3,480	4,445	4,860
99.9	Total new obligations, unexpired accounts .....	37,321	37,980	39,110

**Employment Summary**

Identification code 097-0130-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	61,971	60,213	59,118
2001 Reimbursable civilian full-time equivalent employment .....	58	55	55

**THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS**

**ENVIRONMENTAL RESTORATION, ARMY**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$235,809,000]** \$207,518,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**ENVIRONMENTAL RESTORATION, NAVY**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, **[\$365,883,000]** \$335,932,000, to remain available until transferred: *Provided*, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**ENVIRONMENTAL RESTORATION, AIR FORCE**

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, **[\$365,808,000]** \$302,744,000, to remain available until transferred: *Provided*, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction

and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**ENVIRONMENTAL RESTORATION, DEFENSE-WIDE**

(INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, **[\$19,002,000]** \$9,105,000, to remain available until transferred: *Provided*, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0810-0-1-051	2018 actual	2019 est.	2020 est.		
<b>Obligations by program activity:</b>					
0001	Department of the Army .....	236	208		
0002	Department of the Navy .....	366	335		
0003	Department of the Air Force .....	366	303		
0004	Defense-wide .....	19	9		
0900	Total new obligations, unexpired accounts .....	987	855		
<b>Budgetary resources:</b>					
Unobligated balance:					
1000	Unobligated balance brought forward, Oct 1 .....	2	13	13	
1010	Unobligated balance transfer to other accts [097-9999] .....	-1			
1050	Unobligated balance (total) .....	1	13	13	
Budget authority:					
Appropriations, discretionary:					
1100	Appropriation .....	973	987	855	
1120	Appropriations transferred to other acct [097-9999] .....	-961			
1160	Appropriation, discretionary (total) .....	12	987	855	
Spending authority from offsetting collections, discretionary:					
1700	Collected .....	1			
1701	Change in uncollected payments, Federal sources .....	-1			
1900	Budget authority (total) .....	12	987	855	
1930	Total budgetary resources available .....	13	1,000	868	
Memorandum (non-add) entries:					
1941	Unexpired unobligated balance, end of year .....	13	13	13	
<b>Change in obligated balance:</b>					
Unpaid obligations:					
3000	Unpaid obligations, brought forward, Oct 1 .....			479	
3010	New obligations, unexpired accounts .....		987	855	
3020	Outlays (gross) .....		-508	-675	
3050	Unpaid obligations, end of year .....		479	659	
Uncollected payments:					
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		1	1	
3070	Change in uncollected pymts, Fed sources, unexpired .....		1		
3090	Uncollected pymts, Fed sources, end of year .....		1	1	
Memorandum (non-add) entries:					
3100	Obligated balance, start of year .....		1	480	
3200	Obligated balance, end of year .....		1	480	660
<b>Budget authority and outlays, net:</b>					
Discretionary:					
4000	Budget authority, gross .....	12	987	855	
Outlays, gross:					
4010	Outlays from new discretionary authority .....		494	428	
4011	Outlays from discretionary balances .....		14	247	
4020	Outlays, gross (total) .....		508	675	

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS—Continued  
**Program and Financing—Continued**

Identification code 097-0810-0-1-051	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	12	987	855
4080 Outlays, net (discretionary) .....	-1	508	675
4180 Budget authority, net (total) .....	12	987	855
4190 Outlays, net (total) .....	-1	508	675

**Object Classification** (in millions of dollars)

Identification code 097-0810-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		4	4
25.3 Other goods and services from Federal sources .....			19
32.0 Land and structures .....		983	832
99.9 Total new obligations, unexpired accounts .....		987	855

**ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES**  
(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$248,673,000]** \$216,499,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0811-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 DEFENSE-WIDE .....		249	216
0900 Total new obligations, unexpired accounts (object class 32.0) .....		249	216
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	249	249	216
1120 Appropriations transferred to other acct [097-9999] .....	-249		
1160 Appropriation, discretionary (total) .....		249	216
1930 Total budgetary resources available .....		249	216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			125
3010 New obligations, unexpired accounts .....		249	216
3020 Outlays (gross) .....		-124	-170
3050 Unpaid obligations, end of year .....		125	171
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			125
3200 Obligated balance, end of year .....		125	171
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		249	216

Outlays, gross:			
4010	Outlays from new discretionary authority .....	124	108
4011	Outlays from discretionary balances .....		62
4020	Outlays, gross (total) .....	124	170
4180	Budget authority, net (total) .....	249	216
4190	Outlays, net (total) .....	124	170

The Defense Environmental Restoration Program provides for the identification, investigation, and cleanup of contamination resulting from past DOD activities. The Department has 34,915 sites that have a remedy in place or a response completed, leaving 2,845 open sites at active and Base Realignment and Closure (BRAC) military installations and 1,722 open sites at Formerly Used Defense Sites (FUDS). For these remaining open sites, DOD is engaged in the cleanup process, including investigation to determine the extent of the contamination and the actual clean-up of the contamination, as appropriate.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for FUDS. The first four accounts, Army, Navy, Air Force and defense-wide environmental restoration accounts cover funding for active installations, and are shown separately from the FUDS program environmental restoration account, which funds environmental cleanup on properties no longer owned and/or used by DOD. These five accounts include restoration activities ranging from inventory to preliminary assessment, then to investigation and cleanup of contamination, and finally to closeout of a site. BRAC sites are funded separately under the BRAC account.

**OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID**

For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 407, 2557, and 2561 of title 10, United States Code), **[\$117,663,000]** \$108,600,000, to remain available until September 30, **[2020]** 2021. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0819-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Humanitarian assistance .....	128	158	113
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	104	98	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	130	118	109
1900 Budget authority (total) .....	130	118	109
1930 Total budgetary resources available .....	234	216	167
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8		
1941 Unexpired unobligated balance, end of year .....	98	58	54
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	101	80	154
3010 New obligations, unexpired accounts .....	128	158	113
3011 Obligations ("upward adjustments"), expired accounts .....	7		
3020 Outlays (gross) .....	-114	-84	-97
3041 Recoveries of prior year unpaid obligations, expired .....	-42		
3050 Unpaid obligations, end of year .....	80	154	170
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	101	80	154
3200 Obligated balance, end of year .....	80	154	170
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	118	109
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	13	12
4011 Outlays from discretionary balances .....	98	71	85
4020 Outlays, gross (total) .....	114	84	97

4180	Budget authority, net (total)	130	118	109
4190	Outlays, net (total)	114	84	97

**Object Classification** (in millions of dollars)

Identification code 097-0819-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons	5	6	4
22.0	Transportation of things	9	13	9
23.3	Communications, utilities, and miscellaneous charges	16	20	14
25.1	Advisory and assistance services	13	16	11
25.2	Other services from non-Federal sources	20	24	17
25.3	Other goods and services from Federal sources	40	49	37
26.0	Supplies and materials	13	16	11
31.0	Equipment	5	6	4
32.0	Land and structures	7	8	6
99.9	Total new obligations, unexpired accounts	128	158	113

**COOPERATIVE THREAT REDUCTION ACCOUNT**

For assistance, including assistance provided by contract or by grants, under programs and activities of the Department of Defense Cooperative Threat Reduction Program authorized under the Department of Defense Cooperative Threat Reduction Act, **[\$350,240,000]** \$338,700,000, to remain available until September 30, **[2021]** 2022. (Department of Defense Appropriations Act, 2019.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-0134-0-1-051	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year	1	1	7
<b>Receipts:</b>				
<b>Current law:</b>				
1130	Collections, Contributions to the Cooperative Threat Reduction Program	22	6	6
2000	Total: Balances and receipts	23	7	13
<b>Appropriations:</b>				
<b>Current law:</b>				
2101	Cooperative Threat Reduction Account	-22		
5099	Balance, end of year	1	7	13

**Program and Financing** (in millions of dollars)

Identification code 097-0134-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	FSU Threat Reduction	338	270	336
0799	Total direct obligations	338	270	
0801	Reimbursable program activity		3	3
0900	Total new obligations, unexpired accounts	338	273	339
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	63	106	186
1001	Discretionary unobligated balance brought fwd, Oct 1	63		
1021	Recoveries of prior year unpaid obligations	9		
1050	Unobligated balance (total)	72	106	186
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation	350	350	339
<b>Appropriations, mandatory:</b>				
1201	Appropriation (special or trust fund)	22		
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected	1	3	3
1701	Change in uncollected payments, Federal sources	-1		
1750	Spending auth from offsetting collections, disc (total)		3	3
1900	Budget authority (total)	372	353	342
1930	Total budgetary resources available	444	459	528
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year	106	186	189
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	486	482	398
3010	New obligations, unexpired accounts	338	273	339
3011	Obligations ("upward adjustments"), expired accounts	2		

3020	Outlays (gross)	-330	-357	-326
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3041	Recoveries of prior year unpaid obligations, expired	-5		
3050	Unpaid obligations, end of year	482	398	411
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired	1		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	484	481	397
3200	Obligated balance, end of year	481	397	410

**Budget authority and outlays, net:**

Identification code 097-0134-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Discretionary:</b>				
4000	Budget authority, gross	350	353	342
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	36	41	40
4011	Outlays from discretionary balances	293	316	285
4020	Outlays, gross (total)	329	357	325
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources	-2	-3	-3
4040	Offsets against gross budget authority and outlays (total)	-2	-3	-3
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	2		
4070	Budget authority, net (discretionary)	350	350	339
4080	Outlays, net (discretionary)	327	354	322
<b>Mandatory:</b>				
4090	Budget authority, gross	22		
<b>Outlays, gross:</b>				
4101	Outlays from mandatory balances	1		1
4180	Budget authority, net (total)	372	350	339
4190	Outlays, net (total)	328	354	323

**Object Classification** (in millions of dollars)

Identification code 097-0134-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons	3	6	6
23.3	Communications, utilities, and miscellaneous charges		4	4
25.1	Advisory and assistance services	148	113	129
25.2	Other services from non-Federal sources	42	27	106
25.3	Other goods and services from Federal sources	67	79	58
25.4	Operation and maintenance of facilities	6		
25.5	Research and development contracts	1	18	9
25.7	Operation and maintenance of equipment	10	5	8
26.0	Supplies and materials	12	2	2
31.0	Equipment	20		
32.0	Land and structures	16	7	3
41.0	Grants, subsidies, and contributions	13	9	11
99.0	Direct obligations	338	270	336
99.0	Reimbursable obligations		3	3
99.9	Total new obligations, unexpired accounts	338	273	339

**AFGHANISTAN SECURITY FORCES FUND**

**Program and Financing** (in millions of dollars)

Identification code 021-2091-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Ministry of Defense	3,681	3,970	
0002	Ministry of Interior	677	875	
0005	Afghan Special Security Forces	471		1,501
0900	Total new obligations, unexpired accounts	4,829	4,845	1,501
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	1,090	1,545	1,924
1021	Recoveries of prior year unpaid obligations	340		
1033	Recoveries of prior year paid obligations	25		
1050	Unobligated balance (total)	1,455	1,545	1,924

AFGHANISTAN SECURITY FORCES FUND—Continued  
Program and Financing—Continued

Identification code 021–2091–0–1–051	2018 actual	2019 est.	2020 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,667	4,920	.....
1131 Unobligated balance of appropriations permanently reduced .....	–100	.....	.....
1160 Appropriation, discretionary (total) .....	4,567	4,920	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	93	304	.....
1701 Change in uncollected payments, Federal sources .....	304	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	397	304	.....
1900 Budget authority (total) .....	4,964	5,224	.....
1930 Total budgetary resources available .....	6,419	6,769	1,924
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–45	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,545	1,924	423
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,710	1,528	2,438
3010 New obligations, unexpired accounts .....	4,829	4,845	1,501
3011 Obligations ("upward adjustments"), expired accounts .....	503	.....	.....
3020 Outlays (gross) .....	–4,384	–3,935	–2,484
3040 Recoveries of prior year unpaid obligations, unexpired .....	–340	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–790	.....	.....
3050 Unpaid obligations, end of year .....	1,528	2,438	1,455
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3	–307	–307
3070 Change in uncollected pymts, Fed sources, unexpired .....	–304	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–307	–307	–307
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,707	1,221	2,131
3200 Obligated balance, end of year .....	1,221	2,131	1,148
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,964	5,224	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,923	2,272	.....
4011 Outlays from discretionary balances .....	1,461	1,663	2,484
4020 Outlays, gross (total) .....	4,384	3,935	2,484
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–325	–304	.....
4033 Non-Federal sources .....	–93	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	–418	–304	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–304	.....	.....
4052 Offsetting collections credited to expired accounts .....	300	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	25	.....	.....
4060 Additional offsets against budget authority only (total) .....	21	.....	.....
4070 Budget authority, net (discretionary) .....	4,567	4,920	.....
4080 Outlays, net (discretionary) .....	3,966	3,631	2,484
4180 Budget authority, net (total) .....	4,567	4,920	.....
4190 Outlays, net (total) .....	3,966	3,631	2,484

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	4,567	4,920	.....
Outlays .....	3,966	3,631	2,484
Overseas contingency operations:			
Budget Authority .....	.....	.....	4,804
Outlays .....	.....	.....	1,922
Total:			
Budget Authority .....	4,567	4,920	4,804
Outlays .....	3,966	3,631	4,406

Object Classification (in millions of dollars)

Identification code 021–2091–0–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	.....
22.0 Transportation of things .....	28	7	.....
23.2 Rental payments to others .....	.....	5	.....
23.3 Communications, utilities, and miscellaneous charges .....	3	2	.....
24.0 Printing and reproduction .....	.....	1	.....
25.1 Advisory and assistance services .....	35	.....	.....
25.2 Other services from non-Federal sources .....	2,865	12	.....
25.3 Other goods and services from Federal sources .....	603	4,167	1,501
25.3 Other goods and services from Federal sources .....	43	25	.....
25.4 Operation and maintenance of facilities .....	21	.....	.....
25.5 Research and development contracts .....	4	.....	.....
25.7 Operation and maintenance of equipment .....	33	.....	.....
25.8 Subsistence and support of persons .....	1	547	.....
26.0 Supplies and materials .....	380	61	.....
31.0 Equipment .....	504	.....	.....
32.0 Land and structures .....	235	17	.....
41.0 Grants, subsidies, and contributions .....	73	.....	.....
99.9 Total new obligations, unexpired accounts .....	4,829	4,845	1,501

AFGHANISTAN INFRASTRUCTURE FUND

Program and Financing (in millions of dollars)

Identification code 021–2096–0–1–051	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	80	23	13
3011 Obligations ("upward adjustments"), expired accounts .....	12	.....	.....
3020 Outlays (gross) .....	–67	–10	–5
3041 Recoveries of prior year unpaid obligations, expired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	23	13	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	80	23	13
3200 Obligated balance, end of year .....	23	13	8

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	67	10	5
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	67	10	5

COUNTER-ISLAMIC STATE OF IRAQ AND SYRIA TRAIN AND EQUIP FUND

Program and Financing (in millions of dollars)

Identification code 021–2099–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1,055	2,085	811
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	904	1,544	511
1021 Recoveries of prior year unpaid obligations .....	16	.....	300
1050 Unobligated balance (total) .....	920	1,544	811
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,769	1,352	.....
1131 Unobligated balance of appropriations permanently reduced .....	–80	–300	.....
1160 Appropriation, discretionary (total) .....	1,689	1,052	.....
1930 Total budgetary resources available .....	2,609	2,596	811
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–10	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,544	511	.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	296	77	975
3010 New obligations, unexpired accounts .....	1,055	2,085	811

3020	Outlays (gross) .....	-1,258	-1,187	-876
3040	Recoveries of prior year unpaid obligations, unexpired .....	-16		-300
3050	Unpaid obligations, end of year .....	77	975	610
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	296	77	975
3200	Obligated balance, end of year .....	77	975	610
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,689	1,052	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	322	210	
4011	Outlays from discretionary balances .....	936	977	876
4020	Outlays, gross (total) .....	1,258	1,187	876
4180	Budget authority, net (total) .....	1,689	1,052	
4190	Outlays, net (total) .....	1,258	1,187	876

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,689	1,052	
Outlays .....	1,258	1,187	876
Overseas contingency operations:			
Budget Authority .....			1,045
Outlays .....			209
Total:			
Budget Authority .....	1,689	1,052	1,045
Outlays .....	1,258	1,187	1,085

**Object Classification** (in millions of dollars)

Identification code 021-2099-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0	Travel and transportation of persons .....	34	67
22.0	Transportation of things .....	78	154
23.3	Communications, utilities, and miscellaneous charges .....	17	33
25.1	Advisory and assistance services .....	12	25
25.2	Other services from non-Federal sources .....	10	19
25.3	Other goods and services from Federal sources .....	1	2
25.3	Other goods and services from Federal sources .....	3	6
25.4	Operation and maintenance of facilities .....	115	227
25.7	Operation and maintenance of equipment .....	589	1,166
25.8	Subsistence and support of persons .....	1	2
26.0	Supplies and materials .....	54	107
31.0	Equipment .....	141	277
99.9	Total new obligations, unexpired accounts .....	1,055	2,085

**IRAQ TRAIN AND EQUIP FUND**

**Program and Financing** (in millions of dollars)

Identification code 021-2097-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	61	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	36	
1021	Recoveries of prior year unpaid obligations .....	25	
1050	Unobligated balance (total) .....	61	
1930	Total budgetary resources available .....	61	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	25	-35
3010	New obligations, unexpired accounts .....	61	
3011	Obligations ("upward adjustments"), expired accounts .....	23	35
3020	Outlays (gross) .....	-109	
3040	Recoveries of prior year unpaid obligations, unexpired .....	-25	
3041	Recoveries of prior year unpaid obligations, expired .....	-10	35
3050	Unpaid obligations, end of year .....	-35	35
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	25	-35
3200	Obligated balance, end of year .....	-35	35

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	109	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-22	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	22	
4080	Outlays, net (discretionary) .....	87	
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	87	

**Object Classification** (in millions of dollars)

Identification code 021-2097-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.4	Operation and maintenance of facilities .....	54	
31.0	Equipment .....	7	
99.9	Total new obligations, unexpired accounts .....	61	

**DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT FUND**

For the Department of Defense Acquisition Workforce Development Fund, **[\$450,000,000]** \$400,000,000, to remain available for obligation until September 30, **[2020: Provided,** That no other amounts may be otherwise credited or transferred to the Fund, or deposited into the Fund, in fiscal year 2019 pursuant to section 1705(d) of title 10, United States Code] **2021.** (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 097-0111-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	497	450
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	29	54
1012	Unobligated balance transfers between expired and unexpired accounts .....	500	
1021	Recoveries of prior year unpaid obligations .....	21	
1033	Recoveries of prior year paid obligations .....	1	
1050	Unobligated balance (total) .....	551	54
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	500	450
1140	Capital transfer of appropriations to general fund .....	-500	
1160	Appropriation, discretionary (total) .....		450
1900	Budget authority (total) .....		450
1930	Total budgetary resources available .....	551	504
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	54	54
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	144	230
3010	New obligations, unexpired accounts .....	497	450
3011	Obligations ("upward adjustments"), expired accounts .....	15	
3020	Outlays (gross) .....	-383	-391
3040	Recoveries of prior year unpaid obligations, unexpired .....	-21	
3041	Recoveries of prior year unpaid obligations, expired .....	-22	
3050	Unpaid obligations, end of year .....	230	289
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	
3071	Change in uncollected pymts, Fed sources, expired .....	2	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	142	230
3200	Obligated balance, end of year .....	230	289

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4010	Outlays from new discretionary authority .....	225	200
4011	Outlays from discretionary balances .....	342	166
4000	Budget authority, gross .....	450	400

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT FUND—Continued  
**Program and Financing—Continued**

Identification code 097-0111-0-1-051	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	342	391	430
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5		
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-6		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	5		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....		450	400
4080 Outlays, net (discretionary) .....	336	391	430
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	41		
4180 Budget authority, net (total) .....		450	400
4190 Outlays, net (total) .....	377	391	430

The Defense Acquisition Workforce Development Fund provides funding for the Department of Defense acquisition workforce to ensure it has the capacity, in both personnel and skills, needed to properly perform its mission, provide appropriate oversight of contractor performance, and ensure the Department receives the best value for the expenditure of public resources.

**Object Classification** (in millions of dollars)

Identification code 097-0111-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	108	113	95
11.5 Other personnel compensation .....	9	9	8
11.9 Total personnel compensation .....	117	122	103
12.1 Civilian personnel benefits .....	41	43	36
21.0 Travel and transportation of persons .....	62	54	47
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	5	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	74	56	51
25.2 Other services from non-Federal sources .....	74	65	65
25.3 Other goods and services from Federal sources .....	91	78	67
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	11	9	9
26.0 Supplies and materials .....	6	5	6
31.0 Equipment .....	8	7	7
32.0 Land and structures .....	3	2	2
99.9 Total new obligations, unexpired accounts .....	497	450	402

**Employment Summary**

Identification code 097-0111-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,927	2,000	1,675

EMERGENCY RESPONSE FUND

**Program and Financing** (in millions of dollars)

Identification code 097-0833-0-1-051	2018 actual	2019 est.	2020 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	213	218	218
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	218	218	218
1930 Total budgetary resources available .....	218	218	218
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	218	218	218

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	29	24	1
3020 Outlays (gross) .....	-23		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	24	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	24	1
3200 Obligated balance, end of year .....	24	1	1

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		23	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		23	

EMERGENCY RESPONSE

**Program and Financing** (in millions of dollars)

Identification code 097-4965-0-4-051	2018 actual	2019 est.	2020 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	3	3	
3020 Outlays (gross) .....		-3	
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	
3200 Obligated balance, end of year .....	3		

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		3	

ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-9927-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	8	8	8
Receipts:			
Current law:			
Contributions for Burdensharing and Other Cooperative Activities (Kuwait) .....	134	133	136
Contributions for Burdensharing and Other Cooperative Activities (Japan) .....	260	244	249
Contributions for Burdensharing and Other Cooperative Activities (So. Korea) .....	448	482	491
1199 Total current law receipts .....	842	859	876
1999 Total receipts .....	842	859	876
2000 Total: Balances and receipts .....	850	867	884
Appropriations:			
Current law:			
2101 Allied Contributions and Cooperation Account .....	-842	-859	-876
5099 Balance, end of year .....	8	8	8

**Program and Financing** (in millions of dollars)

Identification code 097-9922-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	1,259	776	792
0900 Total new obligations, unexpired accounts (object class 26.0) .....	1,259	776	792
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	884	467	550
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	842	859	876
1930 Total budgetary resources available .....	1,726	1,326	1,426
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	467	550	634
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	81
3010 New obligations, unexpired accounts .....	1,259	776	792
3020 Outlays (gross) .....	-1,258	-696	-873
3050 Unpaid obligations, end of year .....	1	81	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	81
3200 Obligated balance, end of year .....	1	81	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	842	859	876
Outlays, gross:			
4100 Outlays from new mandatory authority .....	640	696	710
4101 Outlays from mandatory balances .....	618		163
4110 Outlays, gross (total) .....	1,258	696	873
4180 Budget authority, net (total) .....	842	859	876
4190 Outlays, net (total) .....	1,258	696	873
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	8	8	8
5001 Total investments, EOY: Federal securities: Par value .....	8	8	8

Cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD costs such as compensation of local national employees, military construction, and supplies and services. Contributions are used to offset costs of DOD's overseas presence.

MISCELLANEOUS SPECIAL FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-9922-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	8
Receipts:			
Current law:			
1120 Restoration of the Rocky Mountain Arsenal, Army .....		7	7
1130 Proceeds from the Transfer or Disposition of Commissary Facilities .....	1	1	1
1199 Total current law receipts .....	1	8	8
1999 Total receipts .....	1	8	8
2000 Total: Balances and receipts .....	2	9	16
Appropriations:			
Current law:			
2101 Miscellaneous Special Funds .....	-1	-1	-1
2103 Miscellaneous Special Funds .....	-1	-1	-1
2132 Miscellaneous Special Funds .....	1	1	
2199 Total current law appropriations .....	-1	-1	-2
2999 Total appropriations .....	-1	-1	-2
5099 Balance, end of year .....	1	8	14

**Program and Financing** (in millions of dollars)

Identification code 097-9922-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Miscellaneous special funds .....	2	1	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	16	15	15
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	1	1	2
1900 Budget authority (total) .....	1	1	2
1930 Total budgetary resources available .....	17	16	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	2
3010 New obligations, unexpired accounts .....	2	1	2
3020 Outlays (gross) .....		-2	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	3	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	2
3200 Obligated balance, end of year .....	3	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....		1	
4110 Outlays, gross (total) .....		2	1
4180 Budget authority, net (total) .....	1	1	2
4190 Outlays, net (total) .....		2	1

**Object Classification** (in millions of dollars)

Identification code 097-9922-0-2-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1		
25.4 Operation and maintenance of facilities .....			2
32.0 Land and structures .....	1	1	
99.9 Total new obligations, unexpired accounts .....	2	1	2

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5188-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Disposal of Department of Defense Real Property .....	8	8	8
2000 Total: Balances and receipts .....	8	8	8
Appropriations:			
Current law:			
2101 Disposal of Department of Defense Real Property .....	-8	-8	-8
5099 Balance, end of year .....			

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY—Continued

Program and Financing (in millions of dollars)

Identification code 097-5188-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations .....	2	10	7
0900 Total new obligations, unexpired accounts (object class 25.4) .....	2	10	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	69	67
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	63	69	67
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	8	8	8
1900 Budget authority (total) .....	8	8	8
1930 Total budgetary resources available .....	71	77	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	69	67	68

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	9	7
3010 New obligations, unexpired accounts .....	2	10	7
3020 Outlays (gross) .....	-3	-12	-14
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	9	7	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	3
3200 Obligated balance, end of year .....	5	3	-4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....		6	6
4011 Outlays from discretionary balances .....	3	6	8
4020 Outlays, gross (total) .....	3	12	14
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	3	12	14

Receipts from the disposal of DOD real property are applied to real property maintenance and environmental efforts at DOD installations.

1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	178	190	196
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	33	36	34
1900 Budget authority (total) .....	33	36	34
1930 Total budgetary resources available .....	211	226	230
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	190	196	202

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	35	19
3010 New obligations, unexpired accounts .....	21	30	28
3020 Outlays (gross) .....	-22	-46	-45
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	35	19	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	34	18
3200 Obligated balance, end of year .....	34	18	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	33	36	34
Outlays, gross:			
4010 Outlays from new discretionary authority .....		22	20
4011 Outlays from discretionary balances .....	22	24	25
4020 Outlays, gross (total) .....	22	46	45
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-2		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	33	36	34
4080 Outlays, net (discretionary) .....	20	46	45
4180 Budget authority, net (total) .....	33	36	34
4190 Outlays, net (total) .....	20	46	45

Receipts from the lease of DOD real property are applied to real property maintenance and environmental efforts at DOD installations. Receipts are available for maintenance, protection, alteration, repair, improvement, restoration of property or facilities, construction or acquisition of new facilities, lease of facilities, and facilities operation support.

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5189-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Lease of Department of Defense Real Property .....	33	36	34
2000 Total: Balances and receipts .....	33	36	34
Appropriations:			
Current law:			
2101 Lease of Department of Defense Real Property .....	-33	-36	-34
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 097-5189-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations .....	21	30	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	174	190	196
1021 Recoveries of prior year unpaid obligations .....	2		

Object Classification (in millions of dollars)

Identification code 097-5189-0-2-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.2 Other services from non-Federal sources .....	3		
25.3 Other goods and services from Federal sources .....	2		
25.4 Operation and maintenance of facilities .....	11	30	28
26.0 Supplies and materials .....	2		
32.0 Land and structures .....	2		
99.9 Total new obligations, unexpired accounts .....	21	30	28

OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5193-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 097-5193-0-2-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3020 Outlays (gross) .....		-1	
3050 Unpaid obligations, end of year .....	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	2
3200 Obligated balance, end of year .....	3	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	

**MUTUALLY BENEFICIAL ACTIVITIES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-5613-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Contributions for Mutually Beneficial Activities (Kuwait) .....	2	6	198
2000 Total: Balances and receipts .....	2	6	198
Appropriations:			
Current law:			
2101 Mutually Beneficial Activities .....	-2	-6	-198
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 097-5613-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	7	6	198
0900 Total new obligations, unexpired accounts (object class 26.0) .....	7	6	198
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	65	60	60
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	6	198
1930 Total budgetary resources available .....	67	66	258
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	60	60	60
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	7	6	198
3020 Outlays (gross) .....	-7	-6	-31
3050 Unpaid obligations, end of year .....			167
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			167
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	6	198
Outlays, gross:			
4100 Outlays from new mandatory authority .....			6
4101 Outlays from mandatory balances .....	7	6	25
4110 Outlays, gross (total) .....	7	6	31
4180 Budget authority, net (total) .....	2	6	198

4190 Outlays, net (total) .....	7	6	31
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Section 2807 of Public Law 114-92 (National Defense Authorization Act for Fiscal Year 2017) extended temporary authority for acceptance and use of contributions for certain construction, maintenance, and repair projects mutually beneficial to the Department of Defense and Kuwait military forces.

DEPARTMENT OF DEFENSE VIETNAM WAR COMMEMORATION FUND

**Program and Financing** (in millions of dollars)

Identification code 097-5750-0-2-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....	3		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	10	10
1930 Total budgetary resources available .....	13	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	3		
3020 Outlays (gross) .....	-3		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3		

**Object Classification** (in millions of dollars)

Identification code 097-5750-0-2-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	2		
23.2 Rental payments to others .....	1		
99.9 Total new obligations, unexpired accounts .....	3		

SUPPORT OF ATHLETIC PROGRAMS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 057-5616-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			3
Receipts:			
Current law:			
1130 Proceeds, Support of Athletic Programs .....	3	3	3
2000 Total: Balances and receipts .....	3	3	6
Appropriations:			
Current law:			
2101 Support of Athletic Programs .....	-3		
5099 Balance, end of year .....		3	6

**Program and Financing** (in millions of dollars)

Identification code 057-5616-0-2-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	6	6

SUPPORT OF ATHLETIC PROGRAMS—Continued  
**Program and Financing—Continued**

Identification code 057-5616-0-2-051	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3		
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3		
4180 Budget authority, net (total) .....	3		
4190 Outlays, net (total) .....			

**INTERNATIONAL RECONSTRUCTION AND OTHER ASSISTANCE**

*Federal Funds*

IRAQ RELIEF AND RECONSTRUCTION FUND, ARMY

**Program and Financing** (in millions of dollars)

Identification code 021-2089-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	6		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	3	3
1930 Total budgetary resources available .....	9	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		6	
3010 New obligations, unexpired accounts .....	6		
3020 Outlays (gross) .....		-6	
3050 Unpaid obligations, end of year .....	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		6	
3200 Obligated balance, end of year .....	6		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		6	
4180 Budget authority, net (total) .....		6	
4190 Outlays, net (total) .....		6	

**PROCUREMENT**

Appropriations in this title support the acquisition of aircraft, ships, combat vehicles, satellites and their launch vehicles, weapons and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program, and in several instances multiyear contracts are used to ensure stability of production and economies of scale. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's annual goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk.

Procurement in support of the ground forces encompasses wheeled and tracked vehicles, aircraft, ammunition and equipment procured to meet inventory requirements dictated by the force size and anticipated mission requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, tactical and ballistic missile weapons, the Marine Corps ground element, and other equipment to sustain future naval operations. The Air Force programs support a broad range of missions and include aircraft, munitions, tactical and ballistic missile weapons, surveillance assets, space assets, and other mission support equipment. Procurement is also in support of missile defense and cyberspace missions.

*Federal Funds*

AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$4,299,566,000]** \$3,696,429,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$1,607,967,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 021-2031-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Aircraft .....	4,345	3,201	2,983
0002 Modification of aircraft .....	1,060	1,060	718
0004 Support equipment and facilities .....	458	469	389
0799 Total direct obligations .....	5,863	4,730	4,090
0801 Reimbursable program activity .....	52	546	450
0900 Total new obligations, unexpired accounts .....	5,915	5,276	4,540
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,975	2,612	2,592
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,970	2,602	
1010 Unobligated balance transfer to other accts [097-9999] .....	-10		
1021 Recoveries of prior year unpaid obligations .....	288		
1033 Recoveries of prior year paid obligations .....	3		
1050 Unobligated balance (total) .....	2,256	2,612	2,592
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,956	4,647	3,696
1121 Appropriations transferred from other acct [097-9999] .....	5		
1131 Unobligated balance of appropriations permanently reduced .....	-17	-16	
1160 Appropriation, discretionary (total) .....	5,944	4,631	3,696
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	23	176	125
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-1	
1260 Appropriations, mandatory (total) .....	23	175	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	167	450	450
1701 Change in uncollected payments, Federal sources .....	171		
1750 Spending auth from offsetting collections, disc (total) .....	338	450	450
1900 Budget authority (total) .....	6,305	5,256	4,271
1930 Total budgetary resources available .....	8,561	7,868	6,863
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-34		
1941 Unexpired unobligated balance, end of year .....	2,612	2,592	2,323
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,968	7,925	7,362
3010 New obligations, unexpired accounts .....	5,915	5,276	4,540

3011	Obligations ("upward adjustments"), expired accounts .....	26		
3020	Outlays (gross) .....	-5,576	-5,839	-5,476
3040	Recoveries of prior year unpaid obligations, unexpired .....	-288		
3041	Recoveries of prior year unpaid obligations, expired .....	-120		
3050	Unpaid obligations, end of year .....	7,925	7,362	6,426
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-284	-364	-364
3070	Change in uncollected pymts, Fed sources, unexpired .....	-171		
3071	Change in uncollected pymts, Fed sources, expired .....	91		
3090	Uncollected pymts, Fed sources, end of year .....	-364	-364	-364
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,684	7,561	6,998
3200	Obligated balance, end of year .....	7,561	6,998	6,062

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	6,282	5,081	4,146
Outlays, gross:				
4010	Outlays from new discretionary authority .....	499	539	440
4011	Outlays from discretionary balances .....	5,077	5,281	4,955
4020	Outlays, gross (total) .....	5,576	5,820	5,395
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-82	-450	-450
4033	Non-Federal sources .....	-112		
4040	Offsets against gross budget authority and outlays (total) ...	-194	-450	-450
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-171		
4052	Offsetting collections credited to expired accounts .....	24		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	-144		
4070	Budget authority, net (discretionary) .....	5,944	4,631	3,696
4080	Outlays, net (discretionary) .....	5,382	5,370	4,945
Mandatory:				
4090	Budget authority, gross .....	23	175	125
Outlays, gross:				
4100	Outlays from new mandatory authority .....		19	14
4101	Outlays from mandatory balances .....			67
4110	Outlays, gross (total) .....		19	81
4180	Budget authority, net (total) .....	5,967	4,806	3,821
4190	Outlays, net (total) .....	5,382	5,389	5,026

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	5,967	4,806	3,821
Outlays .....	5,382	5,389	5,026
Overseas contingency operations:			
Budget Authority .....			382
Outlays .....			40
Total:			
Budget Authority .....	5,967	4,806	4,203
Outlays .....	5,382	5,389	5,066

**Object Classification (in millions of dollars)**

Identification code 021-2031-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	2	2	1
22.0	Transportation of things .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	144	146	291
25.2	Other services from non-Federal sources .....	151	154	149
25.3	Other goods and services from Federal sources .....	124	127	106
25.3	Other goods and services from Federal sources .....	454	463	472
25.4	Operation and maintenance of facilities .....	2	2	2
25.7	Operation and maintenance of equipment .....	142	145	124
26.0	Supplies and materials .....	136	138	124
31.0	Equipment .....	4,706	3,551	2,818
99.0	Direct obligations .....	5,864	4,731	4,090
99.0	Reimbursable obligations .....	51	545	450
99.9	Total new obligations, unexpired accounts .....	5,915	5,276	4,540

**MISSILE PROCUREMENT, ARMY**

For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$3,145,256,000, to remain available for obligation until September 30, 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 021-2032-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Other missiles .....	3,597	4,071	564
0003	Modification of missiles .....	896	1,558	216
0004	Spares and repair parts .....	21	23	4
0005	Support equipment and facilities .....	8	7	1
0799	Total direct obligations .....	4,522	5,659	785
0801	Reimbursable program activity .....	139	1,321	550
0900	Total new obligations, unexpired accounts .....	4,661	6,980	1,335
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,229	2,531	896
1021	Recoveries of prior year unpaid obligations .....	336		
1050	Unobligated balance (total) .....	1,565	2,531	896
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,790	4,875	
1121	Appropriations transferred from other acct [097-9999] ...	6		
1131	Unobligated balance of appropriations permanently reduced .....	-19	-80	
1160	Appropriation, discretionary (total) .....	4,777	4,795	
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	829	550	550
1701	Change in uncollected payments, Federal sources .....	42		
1750	Spending auth from offsetting collections, disc (total) .....	871	550	550
1900	Budget authority (total) .....	5,648	5,345	550
1930	Total budgetary resources available .....	7,213	7,876	1,446
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-21		
1941	Unexpired unobligated balance, end of year .....	2,531	896	111

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,487	5,566	8,566
3010	New obligations, unexpired accounts .....	4,661	6,980	1,335
3011	Obligations ("upward adjustments"), expired accounts .....	22		
3020	Outlays (gross) .....	-2,232	-3,980	-3,900
3040	Recoveries of prior year unpaid obligations, unexpired .....	-336		
3041	Recoveries of prior year unpaid obligations, expired .....	-36		
3050	Unpaid obligations, end of year .....	5,566	8,566	6,001
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-243	-239	-239
3070	Change in uncollected pymts, Fed sources, unexpired .....	-42		
3071	Change in uncollected pymts, Fed sources, expired .....	46		
3090	Uncollected pymts, Fed sources, end of year .....	-239	-239	-239
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3,244	5,327	8,327
3200	Obligated balance, end of year .....	5,327	8,327	5,762

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5,648	5,345	550
Outlays, gross:				
4010	Outlays from new discretionary authority .....	565	626	50
4011	Outlays from discretionary balances .....	1,667	3,354	3,850
4020	Outlays, gross (total) .....	2,232	3,980	3,900
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-474	-550	-550
4033	Non-Federal sources .....	-412		
4040	Offsets against gross budget authority and outlays (total) ...	-886	-550	-550

MISSILE PROCUREMENT, ARMY—Continued  
Program and Financing—Continued

Identification code 021–2032–0–1–051	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–42		
4052 Offsetting collections credited to expired accounts	57		
4060 Additional offsets against budget authority only (total)	15		
4070 Budget authority, net (discretionary)	4,777	4,795	
4080 Outlays, net (discretionary)	1,346	3,430	3,350
4180 Budget authority, net (total)	4,777	4,795	
4190 Outlays, net (total)	1,346	3,430	3,350

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	4,777	4,795	
Outlays	1,346	3,430	3,350
Overseas contingency operations:			
Budget Authority			4,646
Outlays			418
Total:			
Budget Authority	4,777	4,795	4,646
Outlays	1,346	3,430	3,768

Object Classification (in millions of dollars)

Identification code 021–2032–0–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0 Transportation of things	2	1	1
25.1 Advisory and assistance services	64	85	39
25.2 Other services from non-Federal sources	5	87	
25.3 Other goods and services from Federal sources	18	27	
25.3 Other goods and services from Federal sources	214		
25.4 Operation and maintenance of facilities		6	
25.7 Operation and maintenance of equipment	40	42	
26.0 Supplies and materials	34	60	68
31.0 Equipment	4,145	5,351	677
99.0 Direct obligations	4,522	5,659	785
99.0 Reimbursable obligations	139	1,321	550
99.9 Total new obligations, unexpired accounts	4,661	6,980	1,335

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$4,486,402,000]** \$4,715,566,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$440,507,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 021–2033–0–1–051	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Tracked combat vehicles	4,186	4,690	4,839
0002 Weapons and other combat vehicles	273	308	278
0799 Total direct obligations	4,459	4,998	5,117
0801 Reimbursable program activity	4	20	15
0900 Total new obligations, unexpired accounts	4,463	5,018	5,132

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,545	3,000	3,375

1021 Recoveries of prior year unpaid obligations	145		
1050 Unobligated balance (total)	1,690	3,000	3,375
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,583	5,589	4,716
1121 Appropriations transferred from other acct [097–9999]	194		
1131 Unobligated balance of appropriations permanently reduced	–7	–211	
1160 Appropriation, discretionary (total)	5,770	5,378	4,716
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	15	15
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	9	15	15
1900 Budget authority (total)	5,779	5,393	4,731
1930 Total budgetary resources available	7,469	8,393	8,106
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–6		
1941 Unexpired unobligated balance, end of year	3,000	3,375	2,974

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,974	5,043	6,378
3010 New obligations, unexpired accounts	4,463	5,018	5,132
3011 Obligations ("upward adjustments"), expired accounts	33		
3020 Outlays (gross)	–2,219	–3,683	–4,720
3040 Recoveries of prior year unpaid obligations, unexpired	–145		
3041 Recoveries of prior year unpaid obligations, expired	–63		
3050 Unpaid obligations, end of year	5,043	6,378	6,790
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–6	–6
3070 Change in uncollected pymts, Fed sources, unexpired	–3		
3071 Change in uncollected pymts, Fed sources, expired	–2		
3090 Uncollected pymts, Fed sources, end of year	–6	–6	–6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,973	5,037	6,372
3200 Obligated balance, end of year	5,037	6,372	6,784

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	5,779	5,393	4,731
Outlays, gross:			
4010 Outlays from new discretionary authority	174	377	331
4011 Outlays from discretionary balances	2,045	3,306	4,389
4020 Outlays, gross (total)	2,219	3,683	4,720
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–6	–15	–15
4040 Offsets against gross budget authority and outlays (total)	–6	–15	–15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–3		
4060 Additional offsets against budget authority only (total)	–3		
4070 Budget authority, net (discretionary)	5,770	5,378	4,716
4080 Outlays, net (discretionary)	2,213	3,668	4,705
4180 Budget authority, net (total)	5,770	5,378	4,716
4190 Outlays, net (total)	2,213	3,668	4,705

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	5,770	5,378	4,716
Outlays	2,213	3,668	4,705
Overseas contingency operations:			
Budget Authority			353
Outlays			25
Total:			
Budget Authority	5,770	5,378	5,069
Outlays	2,213	3,668	4,730

Object Classification (in millions of dollars)

Identification code 021–2033–0–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	11	11	12
25.1 Advisory and assistance services	36	32	33
25.2 Other services from non-Federal sources	26	26	24
25.3 Other goods and services from Federal sources	196	200	179

25.3	Other goods and services from Federal sources .....	346	353	309
25.4	Operation and maintenance of facilities .....	5	5	.....
25.7	Operation and maintenance of equipment .....	2	2	7
26.0	Supplies and materials .....	59	62	73
31.0	Equipment .....	3,777	4,306	4,479
99.0	Direct obligations .....	4,459	4,998	5,117
99.0	Reimbursable obligations .....	4	20	15
99.9	Total new obligations, unexpired accounts .....	4,463	5,018	5,132

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	4,128	5,261	2,203
Outlays, gross:				
4010	Outlays from new discretionary authority .....	189	2,892	2,203
4011	Outlays from discretionary balances .....	3,084	4,386	2,679
4020	Outlays, gross (total) .....	3,273	7,278	4,882
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,459	-2,686	-2,203
4033	Non-Federal sources .....	-16	.....	.....
4040	Offsets against gross budget authority and outlays (total) ...	-1,475	-2,686	-2,203
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-292	.....	.....
4052	Offsetting collections credited to expired accounts .....	364	.....	.....
4060	Additional offsets against budget authority only (total) .....	72	.....	.....
4070	Budget authority, net (discretionary) .....	2,725	2,575	.....
4080	Outlays, net (discretionary) .....	1,798	4,592	2,679
4180	Budget authority, net (total) .....	2,725	2,575	.....
4190	Outlays, net (total) .....	1,798	4,592	2,679

**PROCUREMENT OF AMMUNITION, ARMY**

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$2,276,330,000, to remain available for obligation until September 30, 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 021–2034–0–1–051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Ammunition .....	2,252	2,107	473
0002	Ammunition production base support .....	659	596	144
0799	Total direct obligations .....	2,911	2,703	617
0801	Reimbursable program activity .....	1,578	2,348	2,844
0900	Total new obligations, unexpired accounts .....	4,489	5,051	3,461
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,684	1,443	1,653
1010	Unobligated balance transfer to other accts [097–9999] .....	-3	.....	.....
1021	Recoveries of prior year unpaid obligations .....	148	.....	.....
1050	Unobligated balance (total) .....	1,829	1,443	1,653
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,741	2,575	.....
1131	Unobligated balance of appropriations permanently reduced .....	-16	.....	.....
1160	Appropriation, discretionary (total) .....	2,725	2,575	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1,111	2,686	2,203
1701	Change in uncollected payments, Federal sources .....	292	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	1,403	2,686	2,203
1900	Budget authority (total) .....	4,128	5,261	2,203
1930	Total budgetary resources available .....	5,957	6,704	3,856
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-25	.....	.....
1941	Unexpired unobligated balance, end of year .....	1,443	1,653	395
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5,479	6,498	4,271
3010	New obligations, unexpired accounts .....	4,489	5,051	3,461
3011	Obligations ("upward adjustments"), expired accounts .....	31	.....	.....
3020	Outlays (gross) .....	-3,273	-7,278	-4,882
3040	Recoveries of prior year unpaid obligations, unexpired .....	-148	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-80	.....	.....
3050	Unpaid obligations, end of year .....	6,498	4,271	2,850
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,695	-2,564	-2,564
3070	Change in uncollected pymts, Fed sources, unexpired .....	-292	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	423	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-2,564	-2,564	-2,564
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,784	3,934	1,707
3200	Obligated balance, end of year .....	3,934	1,707	286

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	2,725	2,575	.....
Outlays .....	1,798	4,592	2,679
Overseas contingency operations:			
Budget Authority .....	.....	.....	2,843
Outlays .....	.....	.....	227
Total:			
Budget Authority .....	2,725	2,575	2,843
Outlays .....	1,798	4,592	2,906

**Object Classification** (in millions of dollars)

Identification code 021–2034–0–1–051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
22.0	Transportation of things .....	14	45	1
25.1	Advisory and assistance services .....	38	17	.....
25.2	Other services from non-Federal sources .....	10	44	1
25.3	Other goods and services from Federal sources .....	19	405	8
25.3	Other goods and services from Federal sources .....	335	62	2
25.4	Operation and maintenance of facilities .....	16	99	2
25.7	Operation and maintenance of equipment .....	1	3	.....
26.0	Supplies and materials .....	2,065	1,743	597
31.0	Equipment .....	.....	61	1
32.0	Land and structures .....	412	223	5
99.0	Direct obligations .....	2,910	2,702	617
99.0	Reimbursable obligations .....	1,579	2,349	2,844
99.9	Total new obligations, unexpired accounts .....	4,489	5,051	3,461

**OTHER PROCUREMENT, ARMY**

For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of passenger motor vehicles for replacement only; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$7,844,691,000 \$7,443,101,000, to remain available for obligation until September 30, [2021] 2022, of which \$1,347,361,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2019.)

OTHER PROCUREMENT, ARMY—Continued  
Program and Financing (in millions of dollars)

Identification code 021-2035-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Tactical and support vehicles .....	2,063	1,999	1,882
0002 Communications and electronics equipment .....	5,281	3,749	4,123
0003 Other support equipment .....	1,618	1,515	1,799
0004 Spare and repair parts .....	32	11	9
0799 Total direct obligations .....	8,994	7,274	7,813
0801 Reimbursable program activity .....	51	170	141
0900 Total new obligations, unexpired accounts .....	9,045	7,444	7,954
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,517	2,929	4,779
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,512	2,918	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-3	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	28	.....	.....
1021 Recoveries of prior year unpaid obligations .....	514	.....	.....
1050 Unobligated balance (total) .....	3,056	2,929	4,779
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,704	9,208	7,443
1121 Appropriations transferred from other acct [097-9999] .....	168	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-19	-64	.....
1160 Appropriation, discretionary (total) .....	8,853	9,144	7,443
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	7	10	11
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	.....	-1	.....
1260 Appropriations, mandatory (total) .....	7	9	11
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	141	141
1701 Change in uncollected payments, Federal sources .....	17	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	81	141	141
1900 Budget authority (total) .....	8,941	9,294	7,595
1930 Total budgetary resources available .....	11,997	12,223	12,374
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-23	.....	.....
1941 Unexpired unobligated balance, end of year .....	2,929	4,779	4,420
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,906	10,105	9,217
3010 New obligations, unexpired accounts .....	9,045	7,444	7,954
3011 Obligations ("upward adjustments"), expired accounts .....	104	.....	.....
3020 Outlays (gross) .....	-7,064	-8,332	-8,319
3040 Recoveries of prior year unpaid obligations, unexpired .....	-514	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-372	.....	.....
3050 Unpaid obligations, end of year .....	10,105	9,217	8,852
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-76	-83	-83
3070 Change in uncollected pymts, Fed sources, unexpired .....	-17	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	10	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-83	-83	-83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,830	10,022	9,134
3200 Obligated balance, end of year .....	10,022	9,134	8,769
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8,934	9,285	7,584
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,082	1,206	986
4011 Outlays from discretionary balances .....	5,982	7,125	7,329
4020 Outlays, gross (total) .....	7,064	8,331	8,315
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-60	-141	-141
4033 Non-Federal sources .....	-6	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-66	-141	-141
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-17	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....

4060 Additional offsets against budget authority only (total) .....	-15	.....	.....
4070 Budget authority, net (discretionary) .....	8,853	9,144	7,443
4080 Outlays, net (discretionary) .....	6,998	8,190	8,174
Mandatory:			
4090 Budget authority, gross .....	7	9	11
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	1	.....
4101 Outlays from mandatory balances .....	.....	.....	4
4110 Outlays, gross (total) .....	.....	1	4
4180 Budget authority, net (total) .....	8,860	9,153	7,454
4190 Outlays, net (total) .....	6,998	8,191	8,178

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	8,860	9,153	7,454
Outlays .....	6,998	8,191	8,178
Overseas contingency operations:			
Budget Authority .....	.....	.....	1,140
Outlays .....	.....	.....	148
Total:			
Budget Authority .....	8,860	9,153	8,594
Outlays .....	6,998	8,191	8,326

Object Classification (in millions of dollars)

Identification code 021-2035-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	13	17	20
22.0 Transportation of things .....	63	76	91
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	8	10
24.0 Printing and reproduction .....	1	.....	.....
25.1 Advisory and assistance services .....	550	460	499
25.2 Other services from non-Federal sources .....	349	347	476
25.3 Other goods and services from Federal sources .....	520	315	673
25.3 Other goods and services from Federal sources .....	571	530	836
25.4 Operation and maintenance of facilities .....	11	48	58
25.7 Operation and maintenance of equipment .....	169	371	445
26.0 Supplies and materials .....	77	166	196
31.0 Equipment .....	6,655	4,930	4,500
32.0 Land and structures .....	12	6	8
99.0 Direct obligations .....	8,994	7,275	7,813
99.0 Reimbursable obligations .....	51	169	141
99.9 Total new obligations, unexpired accounts .....	9,045	7,444	7,954

JOINT IMPROVED-THREAT DEFEAT FUND

Program and Financing (in millions of dollars)

Identification code 097-2093-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Network Attack .....	35	.....	.....
0002 JIEDDO Device Defeat .....	7	.....	.....
0003 Force Training .....	1	.....	.....
0004 Staff and Infrastructure .....	3	.....	.....
0799 Total direct obligations .....	46	.....	.....
0900 Total new obligations, unexpired accounts .....	46	.....	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	16	16
1021 Recoveries of prior year unpaid obligations .....	24	.....	.....
1050 Unobligated balance (total) .....	66	16	16
1930 Total budgetary resources available .....	66	16	16
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	657	256	103
3010 New obligations, unexpired accounts .....	46	.....	.....
3011 Obligations ("upward adjustments"), expired accounts .....	61	.....	.....

3020	Outlays (gross) .....	-361	-153	-60
3040	Recoveries of prior year unpaid obligations, unexpired .....	-24	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-123	.....	.....
3050	Unpaid obligations, end of year .....	256	103	43
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-36	-36
3090	Uncollected pymts, Fed sources, end of year .....	-36	-36	-36
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	621	220	67
3200	Obligated balance, end of year .....	220	67	7

**Budget authority and outlays, net:**

Discretionary:

Outlays, gross:

4011	Outlays from discretionary balances .....	361	153	60
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	361	153	60

**Object Classification** (in millions of dollars)

Identification code 097-2093-0-1-051	2018 actual	2019 est.	2020 est.
11.5 Direct obligations: Personnel compensation: Other personnel compensation .....	35	.....	.....
11.9 Total personnel compensation .....	35	.....	.....
21.0 Travel and transportation of persons .....	1	.....	.....
23.2 Rental payments to others .....	1	.....	.....
25.1 Advisory and assistance services .....	6	.....	.....
25.5 Research and development contracts .....	3	.....	.....
99.0 Direct obligations .....	46	.....	.....
99.9 Total new obligations, unexpired accounts .....	46	.....	.....

**AIRCRAFT PROCUREMENT, NAVY**

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$20,092,199,000]** \$18,522,204,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$365,249,000 shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1506-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Combat aircraft .....	10,933	14,340	11,916
0002	Airlift aircraft .....	7	140	69
0003	Trainer aircraft .....	.....	.....	183
0004	Other aircraft .....	972	1,451	1,569
0005	Modification of aircraft .....	2,834	2,974	3,379
0006	Aircraft spares and repair parts .....	2,213	1,353	1,904
0007	Aircraft support equipment and facilities .....	489	443	650
0799	Total direct obligations .....	17,448	20,701	19,670
0801	Reimbursable program activity .....	.....	6	6
0900	Total new obligations, unexpired accounts .....	17,448	20,707	19,676

**Budgetary resources:**

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1 .....	6,041	9,158	8,767
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	6,041	9,158	.....
1010	Unobligated balance transfer to other accts [097-9999] .....	-55	.....	.....
1011	Unobligated balance transfer from other acct [097-9999] .....	5	.....	.....
1021	Recoveries of prior year unpaid obligations .....	743	.....	.....
1050	Unobligated balance (total) .....	6,734	9,158	8,767

Budget authority:

Appropriations, discretionary:

1100	Appropriation .....	20,115	20,324	18,522
1120	Appropriations transferred to other acct [097-9999] .....	-40	.....	.....
1121	Appropriations transferred from other acct [097-9999] .....	5	.....	.....

1131	Unobligated balance of appropriations permanently reduced .....	-218	-65	.....
1160	Appropriation, discretionary (total) .....	19,862	20,259	18,522
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512] .....	24	51	60
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	.....	6	6
1900	Budget authority (total) .....	19,886	20,316	18,588
1930	Total budgetary resources available .....	26,620	29,474	27,355
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-14	.....	.....
1941	Unexpired unobligated balance, end of year .....	9,158	8,767	7,679

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	24,029	24,627	27,389
3010	New obligations, unexpired accounts .....	17,448	20,707	19,676
3011	Obligations ("upward adjustments"), expired accounts .....	131	.....	.....
3020	Outlays (gross) .....	-15,914	-17,945	-18,825
3040	Recoveries of prior year unpaid obligations, unexpired .....	-743	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-324	.....	.....
3050	Unpaid obligations, end of year .....	24,627	27,389	27,240
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24,029	24,627	27,389
3200	Obligated balance, end of year .....	24,627	27,389	27,240

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	19,862	20,265	18,528
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,488	3,247	2,970
4011	Outlays from discretionary balances .....	13,426	14,647	16,795
4020	Outlays, gross (total) .....	15,914	17,894	19,765
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	.....	-6	-6
4040	Offsets against gross budget authority and outlays (total) .....	.....	-6	-6
Mandatory:				
4090	Budget authority, gross .....	24	51	60
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	51	60
4180	Budget authority, net (total) .....	19,886	20,310	18,582
4190	Outlays, net (total) .....	15,914	17,939	19,819

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	19,886	20,310	18,582
Outlays .....	15,914	17,939	19,819
Overseas contingency operations:			
Budget Authority .....	.....	.....	119
Outlays .....	.....	.....	19
Total:			
Budget Authority .....	19,886	20,310	18,701
Outlays .....	15,914	17,939	19,838

**Object Classification** (in millions of dollars)

Identification code 017-1506-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
22.0	Transportation of things .....	7	9	7
25.1	Advisory and assistance services .....	232	203	166
25.3	Other goods and services from Federal sources .....	814	138	114
25.3	Other goods and services from Federal sources .....	721	1,766	1,955
25.5	Research and development contracts .....	20	.....	.....
25.7	Operation and maintenance of equipment .....	86	.....	.....
26.0	Supplies and materials .....	2,594	599	323
31.0	Equipment .....	12,968	17,986	17,105
32.0	Land and structures .....	6	.....	.....
99.0	Direct obligations .....	17,448	20,701	19,670
99.0	Reimbursable obligations .....	.....	6	6
99.9	Total new obligations, unexpired accounts .....	17,448	20,707	19,676

**WEAPONS PROCUREMENT, NAVY**

For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$3,711,576,000, to remain available for obligation until September 30, 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1507-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ballistic missiles .....	1,104	941	178
0002 Other missiles .....	2,032	2,123	562
0003 Torpedoes and related equipment .....	328	308	59
0004 Other weapons .....	305	247	64
0006 Spares and repair parts .....	115	130	27
0799 Total direct obligations .....	3,884	3,749	890
0801 Reimbursable program activity .....	6	22	7
0900 Total new obligations, unexpired accounts .....	3,890	3,771	897

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,556	1,295	1,152
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,554	1,293	
1021 Recoveries of prior year unpaid obligations .....	56		
1050 Unobligated balance (total) .....	1,612	1,295	1,152
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,642	3,726	
1120 Appropriations transferred to other acct [097-9999] .....	-20		
1131 Unobligated balance of appropriations permanently reduced .....	-32	-116	
1160 Appropriation, discretionary (total) .....	3,590	3,610	
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....			3
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	18	7
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	8	18	7
1900 Budget authority (total) .....	3,598	3,628	10
1930 Total budgetary resources available .....	5,210	4,923	1,162
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-25		
1941 Unexpired unobligated balance, end of year .....	1,295	1,152	265

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,511	5,309	5,900
3010 New obligations, unexpired accounts .....	3,890	3,771	897
3011 Obligations ("upward adjustments"), expired accounts .....	35		
3020 Outlays (gross) .....	-3,011	-3,180	-2,760
3040 Recoveries of prior year unpaid obligations, unexpired .....	-56		
3041 Recoveries of prior year unpaid obligations, expired .....	-60		
3050 Unpaid obligations, end of year .....	5,309	5,900	4,037
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,508	5,308	5,899
3200 Obligated balance, end of year .....	5,308	5,899	4,036

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,598	3,628	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	441	559	7
4011 Outlays from discretionary balances .....	2,570	2,621	2,753
4020 Outlays, gross (total) .....	3,011	3,180	2,760
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-18	-7

4033 Non-Federal sources .....	-5		
4040 Offsets against gross budget authority and outlays (total) ....	-13	-18	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	5		
4070 Budget authority, net (discretionary) .....	3,590	3,610	
4080 Outlays, net (discretionary) .....	2,998	3,162	2,753
Mandatory:			
4090 Budget authority, gross .....			3
4180 Budget authority, net (total) .....	3,590	3,610	3
4190 Outlays, net (total) .....	2,998	3,162	2,753

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,590	3,610	3
Outlays .....	2,998	3,162	2,753
Overseas contingency operations:			
Budget Authority .....			4,333
Outlays .....			650
Total:			
Budget Authority .....	3,590	3,610	4,336
Outlays .....	2,998	3,162	3,403

**Object Classification** (in millions of dollars)

Identification code 017-1507-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0 Transportation of things .....	6	3	
25.1 Advisory and assistance services .....	83	30	
25.2 Other services from non-Federal sources .....	35		
25.3 Other goods and services from Federal sources .....	267	97	
25.3 Other goods and services from Federal sources .....	147	232	12
25.4 Operation and maintenance of facilities .....	10	7	
25.5 Research and development contracts .....	14	4	
25.7 Operation and maintenance of equipment .....	31		
26.0 Supplies and materials .....	1,268	1,000	207
31.0 Equipment .....	2,023	2,376	671
99.0 Direct obligations .....	3,884	3,749	890
99.0 Reimbursable obligations .....	6	22	7
99.9 Total new obligations, unexpired accounts .....	3,890	3,771	897

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS**

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$952,682,000, to remain available for obligation until September 30, 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1508-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Procurement of Ammunition, Navy .....	778	772	144
0002 Ammunition, Marine Corps .....	391	250	35
0799 Total direct obligations .....	1,169	1,022	179
0801 Reimbursable program activity .....	11	30	26
0900 Total new obligations, unexpired accounts .....	1,180	1,052	205
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	245	145	299
1010 Unobligated balance transfer to other accts [097-9999] .....	-3		
1011 Unobligated balance transfer from other acct [097-9999] .....	4		

1021	Recoveries of prior year unpaid obligations .....	27		
1050	Unobligated balance (total) .....	273	145	299
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	1,038	1,182	
1131	Unobligated balance of appropriations permanently reduced .....		-2	
1160	Appropriation, discretionary (total) .....	1,038	1,180	
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	12	26	26
1701	Change in uncollected payments, Federal sources .....	6		
1750	Spending auth from offsetting collections, disc (total) .....	18	26	26
1900	Budget authority (total) .....	1,056	1,206	26
1930	Total budgetary resources available .....	1,329	1,351	325
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-4		
1941	Unexpired unobligated balance, end of year .....	145	299	120

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,478	1,710	1,872
3010	New obligations, unexpired accounts .....	1,180	1,052	205
3011	Obligations ("upward adjustments"), expired accounts .....	17		
3020	Outlays (gross) .....	-907	-890	-964
3040	Recoveries of prior year unpaid obligations, unexpired .....	-27		
3041	Recoveries of prior year unpaid obligations, expired .....	-31		
3050	Unpaid obligations, end of year .....	1,710	1,872	1,113
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	2	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	-6		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,480	1,706	1,868
3200	Obligated balance, end of year .....	1,706	1,868	1,109

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,056	1,206	26
Outlays, gross:				
4010	Outlays from new discretionary authority .....	73	124	26
4011	Outlays from discretionary balances .....	834	766	938
4020	Outlays, gross (total) .....	907	890	964
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-12	-26	-26
4033	Non-Federal sources .....	-14		
4040	Offsets against gross budget authority and outlays (total) ....	-26	-26	-26
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-6		
4052	Offsetting collections credited to expired accounts .....	14		
4060	Additional offsets against budget authority only (total) .....	8		
4070	Budget authority, net (discretionary) .....	1,038	1,180	
4080	Outlays, net (discretionary) .....	881	864	938
4180	Budget authority, net (total) .....	1,038	1,180	
4190	Outlays, net (total) .....	881	864	938

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,038	1,180	
Outlays .....	881	864	938
<b>Overseas contingency operations:</b>			
Budget Authority .....			1,186
Outlays .....			98
<b>Total:</b>			
Budget Authority .....	1,038	1,180	1,186
Outlays .....	881	864	1,036

**Object Classification** (in millions of dollars)

Identification code 017-1508-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
22.0 Transportation of things .....	6	7	
25.1 Advisory and assistance services .....	7	22	
25.2 Other services from non-Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	118	9	
25.3 Other goods and services from Federal sources .....	40	122	

26.0	Supplies and materials .....	880	811	179
31.0	Equipment .....	117	51	
99.0	Direct obligations .....	1,169	1,022	179
99.0	Reimbursable obligations .....	11	30	26
99.9	Total new obligations, unexpired accounts .....	1,180	1,052	205

**SHIPBUILDING AND CONVERSION, NAVY**

For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long lead time components and designs for vessels to be constructed or converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, [as follows:]

- 【Ohio Replacement Submarine (AP), \$3,173,400,000;】
- 【Carrier Replacement Program (CVN-80), \$1,573,181,000;】
- 【Virginia Class Submarine, \$4,340,676,000;】
- 【Virginia Class Submarine (AP), \$2,796,401,000;】
- 【CVN Refueling Overhauls (AP), \$425,873,000;】
- 【DDG-1000 Program, \$270,965,000;】
- 【DDG-51 Destroyer, \$5,249,837,000;】
- 【DDG-51 Destroyer (AP), \$641,928,000;】
- 【Littoral Combat Ship, \$1,571,244,000;】
- 【LPD-17, \$350,000,000;】
- 【Expeditionary Sea Base, \$647,000,000;】
- 【LHA Replacement (AP), \$350,000,000;】
- 【Expeditionary Fast Transport, \$225,000,000;】
- 【TAO Fleet Oiler, \$977,104,000;】
- 【TAO Fleet Oiler (AP), \$75,046,000;】
- 【Towing Salvage and Rescue Ship, \$80,517,000;】
- 【LCU 1700, \$41,520,000;】
- 【Ship to Shore Connector, \$507,875,000;】
- 【Service Craft, \$72,062,000;】
- 【LCAC SLEP, \$23,321,000;】
- 【For outfitting, post delivery, conversions, and first destination transportation, \$550,038,000; and】
- 【Completion of Prior Year Shipbuilding Programs, \$207,099,000.】

【In all: \$24,150,087,000】 \$23,783,710,000, to remain available for obligation until September 30, 【2023】 2024: *Provided*, That additional obligations may be incurred after September 30, 【2023】 2024, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: *Provided further*, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: *Provided further*, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards 【: *Provided further*, That funds appropriated or otherwise made available by this Act for production of the common missile compartment of nuclear-powered vessels may be available for multiyear procurement of critical components to support continuous production of such compartments only in accordance with the provisions of subsection (i) of section 2218a of title 10, United States Code (as added by section 1023 of the National Defense Authorization Act for Fiscal Year 2017 (Public Law 114-328)): *Provided further*, That the funds made available by this Act for the Carrier Replacement Program (CVN-80) may be available to modify or enter into a new contract for the procurement of a Ford-class aircraft carrier designated CVN-81 pursuant to section 121 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019】. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 017-1611-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Fleet ballistic missile ships .....		2,063	1,739
0002 Other warships .....	15,978	17,608	18,881
0003 Amphibious ships .....	2,472	3,721	1,588
0005 Auxiliaries craft and prior-year program costs .....	1,622	2,523	2,332
0799 Total direct obligations .....	20,072	25,915	24,540

SHIPBUILDING AND CONVERSION, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1611-0-1-051	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	20,072	25,915	24,540
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14,616	19,258	17,308
1012 Unobligated balance transfers between expired and unexpired accounts .....	1,200		
1021 Recoveries of prior year unpaid obligations .....	1,515		
1050 Unobligated balance (total) .....	17,331	19,258	17,308
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23,825	24,150	23,784
1120 Appropriations transferred to other acct [097-9999] .....	-862		
1131 Unobligated balance of appropriations permanently reduced .....	-14	-185	
1160 Appropriation, discretionary (total) .....	22,949	23,965	23,784
1900 Budget authority (total) .....	22,949	23,965	23,784
1930 Total budgetary resources available .....	40,280	43,223	41,092
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-950		
1941 Unexpired unobligated balance, end of year .....	19,258	17,308	16,552
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37,103	39,848	49,868
3010 New obligations, unexpired accounts .....	20,072	25,915	24,540
3011 Obligations ("upward adjustments"), expired accounts .....	114		
3020 Outlays (gross) .....	-15,761	-15,895	-20,606
3030 Unpaid obligations transferred to other accts [097-9999] .....	-2,324		
3031 Unpaid obligations transferred from other accts [097-9999] .....	2,324		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,515		
3041 Recoveries of prior year unpaid obligations, expired .....	-165		
3050 Unpaid obligations, end of year .....	39,848	49,868	53,802
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37,103	39,848	49,868
3200 Obligated balance, end of year .....	39,848	49,868	53,802
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22,949	23,965	23,784
Outlays, gross:			
4010 Outlays from new discretionary authority .....	536	1,294	1,284
4011 Outlays from discretionary balances .....	15,225	14,601	19,322
4020 Outlays, gross (total) .....	15,761	15,895	20,606
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-52		
4040 Offsets against gross budget authority and outlays (total) .....	-52		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	52		
4060 Additional offsets against budget authority only (total) .....	52		
4070 Budget authority, net (discretionary) .....	22,949	23,965	23,784
4080 Outlays, net (discretionary) .....	15,709	15,895	20,606
4180 Budget authority, net (total) .....	22,949	23,965	23,784
4190 Outlays, net (total) .....	15,709	15,895	20,606

Object Classification (in millions of dollars)

Identification code 017-1611-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	3		
22.0 Transportation of things .....	7		
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	643	389	577
25.2 Other services from non-Federal sources .....	11	14	
25.3 Other goods and services from Federal sources .....	902	353	
25.3 Purchases from revolving funds .....		842	
25.5 Research and development contracts .....	49	4	
25.6 Medical care .....	2		
25.7 Operation and maintenance of equipment .....	135	2	
25.8 Subsistence and support of persons .....		2	
26.0 Supplies and materials .....	414	349	

31.0 Equipment .....	17,902	23,960	23,963
99.9 Total new obligations, unexpired accounts .....	20,072	25,915	24,540

NATIONAL SEA-BASED DETERRENCE FUND

Program and Financing (in millions of dollars)

Identification code 017-1612-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	975		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
1021 Recoveries of prior year unpaid obligations .....	113		
1050 Unobligated balance (total) .....	133	20	20
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999] .....	862		
1930 Total budgetary resources available .....	995	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	446	587	550
3010 New obligations, unexpired accounts .....	975		
3020 Outlays (gross) .....	-721	-37	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-113		
3050 Unpaid obligations, end of year .....	587	550	513
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	446	587	550
3200 Obligated balance, end of year .....	587	550	513
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	862		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	443		
4011 Outlays from discretionary balances .....	278	37	37
4020 Outlays, gross (total) .....	721	37	37
4180 Budget authority, net (total) .....	862		
4190 Outlays, net (total) .....	721	37	37

Object Classification (in millions of dollars)

Identification code 017-1612-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	42		
25.1 Advisory and assistance services .....	32		
25.3 Other goods and services from Federal sources .....	15		
26.0 Supplies and materials .....	1		
31.0 Equipment .....	885		
99.9 Total new obligations, unexpired accounts .....	975		

OTHER PROCUREMENT, NAVY

For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$9,097,138,000]** \$9,652,956,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$21,865,000 shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ships support equipment .....	2,715	2,911	2,899
0002 Communications and electronics equipment .....	2,746	2,866	3,248
0003 Aviation support equipment .....	501	471	595
0004 Ordnance support equipment .....	911	944	1,117
0005 Civil engineering support equipment .....	100	105	157
0006 Supply support equipment .....	383	572	692
0007 Personnel and command support equipment .....	445	473	597
0008 Spares and repair parts .....	311	249	336
0799 Total direct obligations .....	8,112	8,591	9,641
0801 Reimbursable program activity .....	373	512	328
0900 Total new obligations, unexpired accounts .....	8,485	9,103	9,969

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,879	2,385	2,944
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,866	2,373	
1010 Unobligated balance transfer to other accts [097-9999] .....	-23		
1011 Unobligated balance transfer from other acct [097-9999] .....	16		
1021 Recoveries of prior year unpaid obligations .....	472		
1050 Unobligated balance (total) .....	2,344	2,385	2,944
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8,198	9,278	9,653
1121 Appropriations transferred from other acct [097-9999] .....	58		
1131 Unobligated balance of appropriations permanently reduced .....		-69	
1160 Appropriation, discretionary (total) .....	8,256	9,209	9,653
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	3	14	88
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	
1260 Appropriations, mandatory (total) .....	2	13	88
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	261	440	328
1701 Change in uncollected payments, Federal sources .....	30		
1750 Spending auth from offsetting collections, disc (total) .....	291	440	328
1900 Budget authority (total) .....	8,549	9,662	10,069
1930 Total budgetary resources available .....	10,893	12,047	13,013
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-23		
1941 Unexpired unobligated balance, end of year .....	2,385	2,944	3,044

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,915	9,158	10,112
3010 New obligations, unexpired accounts .....	8,485	9,103	9,969
3011 Obligations ("upward adjustments"), expired accounts .....	90		
3020 Outlays (gross) .....	-6,689	-8,149	-8,804
3040 Recoveries of prior year unpaid obligations, unexpired .....	-472		
3041 Recoveries of prior year unpaid obligations, expired .....	-171		
3050 Unpaid obligations, end of year .....	9,158	10,112	11,277
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-27	-63	-63
3070 Change in uncollected pymts, Fed sources, unexpired .....	-30		
3071 Change in uncollected pymts, Fed sources, expired .....	-6		
3090 Uncollected pymts, Fed sources, end of year .....	-63	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7,888	9,095	10,049
3200 Obligated balance, end of year .....	9,095	10,049	11,214

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8,547	9,649	9,981
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,452	1,814	1,877
4011 Outlays from discretionary balances .....	5,237	6,333	6,921
4020 Outlays, gross (total) .....	6,689	8,147	8,798
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-37	-440	-328
4033 Non-Federal sources .....	-229		
4040 Offsets against gross budget authority and outlays (total) .....	-266	-440	-328
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-30		

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	-25		
4070 Budget authority, net (discretionary) .....	8,256	9,209	9,653
4080 Outlays, net (discretionary) .....	6,423	7,707	8,470
Mandatory:			
4090 Budget authority, gross .....	2	13	88
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	
4101 Outlays from mandatory balances .....			6
4110 Outlays, gross (total) .....		2	6
4180 Budget authority, net (total) .....	8,258	9,222	9,741
4190 Outlays, net (total) .....	6,423	7,709	8,476

**Summary of Budget Authority and Outlays** (in millions of dollars)

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	8,258	9,222	9,741
Outlays .....	6,423	7,709	8,476
Overseas contingency operations:			
Budget Authority .....			358
Outlays .....			67
Total:			
Budget Authority .....	8,258	9,222	10,099
Outlays .....	6,423	7,709	8,543

**Object Classification** (in millions of dollars)

Identification code 017-1810-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0 Transportation of things .....	9	2	1
23.3 Communications, utilities, and miscellaneous charges .....	5	1	1
25.1 Advisory and assistance services .....	665	114	23
25.2 Other services from non-Federal sources .....	38	198	53
25.3 Other goods and services from Federal sources .....	1,307	379	35
25.3 Other goods and services from Federal sources .....	615	1,437	913
25.4 Operation and maintenance of facilities .....		2	
25.5 Research and development contracts .....	47	8	
25.7 Operation and maintenance of equipment .....	412	371	5
26.0 Supplies and materials .....	789	83	3
31.0 Equipment .....	4,224	5,996	8,607
32.0 Land and structures .....	1		
99.0 Direct obligations .....	8,112	8,591	9,641
99.0 Reimbursable obligations .....	373	512	328
99.9 Total new obligations, unexpired accounts .....	8,485	9,103	9,969

**COASTAL DEFENSE AUGMENTATION**

**Program and Financing** (in millions of dollars)

Identification code 017-0380-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	52	37
3020 Outlays (gross) .....		-15	-14
3050 Unpaid obligations, end of year .....	52	37	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	52	37
3200 Obligated balance, end of year .....	52	37	23

Identification code 017-0380-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		15	14
4180 Budget authority, net (total) .....			

COASTAL DEFENSE AUGMENTATION—Continued  
Program and Financing—Continued

Identification code 017-0380-0-1-051	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)		15	14

PROCUREMENT, MARINE CORPS

For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories therefor; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment lay-away; vehicles for the Marine Corps, including the purchase of passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, **[\$2,719,870,000]** \$3,090,449,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$141,431,000 shall be available for the Marine Corps Reserve. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 017-1109-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Weapons and combat vehicles	322	359	535
0003 Guided missiles and equipment	122	49	198
0004 Communications and electronics equipment	1,003	776	1,131
0005 Support vehicles	299	408	577
0006 Engineer and other equipment	285	216	274
0007 Spares and repair parts	52	20	28
0799 Total direct obligations	2,083	1,828	2,743
0801 Reimbursable program activity	75	50	51
0900 Total new obligations, unexpired accounts	2,158	1,878	2,794

Budgetary resources:

Identification code 017-1109-0-1-051	2018 actual	2019 est.	2020 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	477	417	1,369
1001 Discretionary unobligated balance brought fwd, Oct 1	477	46	
1010 Unobligated balance transfer to other accts [097-9999]	-4		
1011 Unobligated balance transfer from other acct [097-9999]	18		
1021 Recoveries of prior year unpaid obligations	67		
1050 Unobligated balance (total)	558	417	1,369
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation	2,007	2,778	3,090
1121 Appropriations transferred from other acct [097-9999]	12		
1160 Appropriation, discretionary (total)	2,019	2,778	3,090
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512]		2	25
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected	2	50	51
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)		50	51
1900 Budget authority (total)	2,019	2,830	3,166
1930 Total budgetary resources available	2,577	3,247	4,535
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	417	1,369	1,741

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	2,108	2,438	2,374
3010 New obligations, unexpired accounts	2,158	1,878	2,794
3011 Obligations ("upward adjustments"), expired accounts	105		
3020 Outlays (gross)	-1,718	-1,942	-2,374
3040 Recoveries of prior year unpaid obligations, unexpired	-67		
3041 Recoveries of prior year unpaid obligations, expired	-148		
3050 Unpaid obligations, end of year	2,438	2,374	2,794
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2		
3070 Change in uncollected pymts, Fed sources, unexpired	2		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	2,106	2,438	2,374
3200 Obligated balance, end of year	2,438	2,374	2,794

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross	2,019	2,828	3,141
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	278	411	453
4011 Outlays from discretionary balances	1,440	1,531	1,917
4020 Outlays, gross (total)	1,718	1,942	2,370
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources	-2	-50	-51
4040 Offsets against gross budget authority and outlays (total)	-2	-50	-51
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	2,019	2,778	3,090
4080 Outlays, net (discretionary)	1,716	1,892	2,319
<b>Mandatory:</b>			
4090 Budget authority, gross		2	25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			3
4101 Outlays from mandatory balances			1
4110 Outlays, gross (total)			4
4180 Budget authority, net (total)	2,019	2,780	3,115
4190 Outlays, net (total)	1,716	1,892	2,323

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority	2,019	2,780	3,115
Outlays	1,716	1,892	2,323
<b>Overseas contingency operations:</b>			
Budget Authority			21
Outlays			3
<b>Total:</b>			
Budget Authority	2,019	2,780	3,136
Outlays	1,716	1,892	2,326

Object Classification (in millions of dollars)

Identification code 017-1109-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons	1	2	
22.0 Transportation of things	1		
23.3 Communications, utilities, and miscellaneous charges		1	
25.1 Advisory and assistance services	87	56	
25.2 Other services from non-Federal sources	1	7	
25.3 Other goods and services from Federal sources	138	29	
25.3 Other goods and services from Federal sources	92	67	
25.5 Research and development contracts	1		
25.7 Operation and maintenance of equipment	46	23	
26.0 Supplies and materials	195	150	2
31.0 Equipment	1,520	1,493	2,741
32.0 Land and structures	1		
99.0 Direct obligations	2,083	1,828	2,743
99.0 Reimbursable obligations	75	50	51
99.9 Total new obligations, unexpired accounts	2,158	1,878	2,794

AIRCRAFT PROCUREMENT, AIR FORCE

For construction, procurement, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$17,112,337,000]** \$16,784,279,000, to remain available for obligation until September 30, **[2021]** 2022, of which \$162,250,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3010-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Combat aircraft .....	4,210	5,797	6,455
0002 Airlift aircraft .....	4,502	2,581	3,218
0004 Other aircraft .....	967	1,034	1,316
0005 Modification of inservice aircraft .....	4,234	2,872	3,546
0006 Aircraft spares and repair parts .....	1,067	951	901
0007 Aircraft support equipment and facilities .....	1,905	1,271	1,550
0799 Total direct obligations .....	16,885	14,506	16,986
0801 Reimbursable program activity .....	172	237	235
0900 Total new obligations, unexpired accounts .....	17,057	14,743	17,221

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9,708	11,594	14,659
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	9,703	11,587	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-49	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	118	.....	.....
1021 Recoveries of prior year unpaid obligations .....	86	.....	.....
1033 Recoveries of prior year paid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	9,865	11,594	14,659
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19,008	18,068	16,784
1120 Appropriations transferred to other acct [097-9999] .....	-126	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	5	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-135	-453	.....
1160 Appropriation, discretionary (total) .....	18,752	17,615	16,784
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	4	9	3
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	.....	-1	.....
1260 Appropriations, mandatory (total) .....	4	8	3
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	141	185	235
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	146	185	235
1900 Budget authority (total) .....	18,902	17,808	17,022
1930 Total budgetary resources available .....	28,767	29,402	31,681
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-116	.....	.....
1941 Unexpired unobligated balance, end of year .....	11,594	14,659	14,460

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22,719	26,305	28,123
3010 New obligations, unexpired accounts .....	17,057	14,743	17,221
3011 Obligations ("upward adjustments"), expired accounts .....	89	.....	.....
3020 Outlays (gross) .....	-12,986	-12,925	-18,010
3040 Recoveries of prior year unpaid obligations, unexpired .....	-86	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-488	.....	.....
3050 Unpaid obligations, end of year .....	26,305	28,123	27,334
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-31	-33	-33
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-33	-33	-33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22,688	26,272	28,090
3200 Obligated balance, end of year .....	26,272	28,090	27,301

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	18,898	17,800	17,019
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,524	1,594	1,578
4011 Outlays from discretionary balances .....	11,460	11,330	16,430
4020 Outlays, gross (total) .....	12,984	12,924	18,008
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-109	-185	-235
4033 Non-Federal sources .....	-40	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-149	-185	-235
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....

4052 Offsetting collections credited to expired accounts .....	6	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	18,752	17,615	16,784
4080 Outlays, net (discretionary) .....	12,835	12,739	17,773
Mandatory:			
4090 Budget authority, gross .....	4	8	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	1	.....
4101 Outlays from mandatory balances .....	2	.....	2
4110 Outlays, gross (total) .....	2	1	2
4180 Budget authority, net (total) .....	18,756	17,623	16,787
4190 Outlays, net (total) .....	12,837	12,740	17,775

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	18,756	17,623	16,787
Outlays .....	12,837	12,740	17,775
Overseas contingency operations:			
Budget Authority .....	.....	.....	309
Outlays .....	.....	.....	25
Total:			
Budget Authority .....	18,756	17,623	17,096
Outlays .....	12,837	12,740	17,800

**Object Classification** (in millions of dollars)

Identification code 057-3010-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	80	76	71
31.0 Equipment .....	16,805	14,430	16,915
99.0 Direct obligations .....	16,885	14,506	16,986
99.0 Reimbursable obligations .....	172	237	235
99.9 Total new obligations, unexpired accounts .....	17,057	14,743	17,221

**MISSILE PROCUREMENT, AIR FORCE**

For construction, procurement, and modification of missiles, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,585,004,000] \$2,889,187,000**, to remain available for obligation until September 30, **[2021] 2022**. (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 057-3020-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ballistic missiles .....	31	79	65
0002 Other missiles .....	1,577	1,960	1,715
0003 Modification of inservice missiles .....	126	211	171
0004 Spares and repair parts .....	104	125	97
0005 Other support .....	599	886	983
0799 Total direct obligations .....	2,437	3,261	3,031
0801 Reimbursable program activity .....	62	102	100
0900 Total new obligations, unexpired accounts .....	2,499	3,363	3,131

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,495	1,595	1,399
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,495	1,595	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-116	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	17	.....	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1033 Recoveries of prior year paid obligations .....	6	.....	.....

MISSILE PROCUREMENT, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3020-0-1-051	2018 actual	2019 est.	2020 est.
1050 Unobligated balance (total) .....	1,413	1,595	1,399
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,701	3,072	2,889
1120 Appropriations transferred to other acct [097-9999] .....	-5		
1131 Unobligated balance of appropriations permanently reduced .....	-32	-5	
1160 Appropriation, discretionary (total) .....	2,664	3,067	2,889
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	64	100	100
1900 Budget authority (total) .....	2,728	3,167	2,989
1930 Total budgetary resources available .....	4,141	4,762	4,388
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-47		
1941 Unexpired unobligated balance, end of year .....	1,595	1,399	1,257
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,010	5,084	5,218
3010 New obligations, unexpired accounts .....	2,499	3,363	3,131
3011 Obligations ("upward adjustments"), expired accounts .....	41		
3020 Outlays (gross) .....	-3,326	-3,229	-3,489
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3041 Recoveries of prior year unpaid obligations, expired .....	-129		
3050 Unpaid obligations, end of year .....	5,084	5,218	4,860
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,010	5,084	5,218
3200 Obligated balance, end of year .....	5,084	5,218	4,860
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,728	3,167	2,989
Outlays, gross:			
4010 Outlays from new discretionary authority .....	342	560	533
4011 Outlays from discretionary balances .....	2,984	2,669	2,956
4020 Outlays, gross (total) .....	3,326	3,229	3,489
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-64	-100	-100
4033 Non-Federal sources .....	-8		
4040 Offsets against gross budget authority and outlays (total) .....	-72	-100	-100
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	8		
4070 Budget authority, net (discretionary) .....	2,664	3,067	2,889
4080 Outlays, net (discretionary) .....	3,254	3,129	3,389
4180 Budget authority, net (total) .....	2,664	3,067	2,889
4190 Outlays, net (total) .....	3,254	3,129	3,389

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	2,664	3,067	2,889
Outlays .....	3,254	3,129	3,389
Overseas contingency operations:			
Budget Authority .....			202
Outlays .....			30
Total:			
Budget Authority .....	2,664	3,067	3,091
Outlays .....	3,254	3,129	3,419

Object Classification (in millions of dollars)

Identification code 057-3020-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	7	9	8
31.0 Equipment .....	2,430	3,252	3,023
99.0 Direct obligations .....	2,437	3,261	3,031
99.0 Reimbursable obligations .....	62	102	100

99.9 Total new obligations, unexpired accounts .....	2,499	3,363	3,131
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SPACE PROCUREMENT, AIR FORCE

For construction, procurement, and modification of spacecraft, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,343,642,000] \$2,414,383,000**, to remain available for obligation until September 30, **[2021] 2024.** (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 057-3021-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Space Procurement, AF .....	2,576	3,516	2,202
0002 Spares .....	20	22	9
0799 Total direct obligations .....	2,596	3,538	2,211
0801 Reimbursable program activity .....		15	15
0900 Total new obligations, unexpired accounts .....	2,596	3,553	2,226
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,021	1,917	485
1010 Unobligated balance transfer to other accts [097-9999] .....	-5		
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	1,028	1,917	485
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,542	2,329	2,414
1121 Appropriations transferred from other acct [097-9999] .....	10		
1131 Unobligated balance of appropriations permanently reduced .....	-35	-223	
1160 Appropriation, discretionary (total) .....	3,517	2,106	2,414
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		15	15
1900 Budget authority (total) .....	3,517	2,121	2,429
1930 Total budgetary resources available .....	4,545	4,038	2,914
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-32		
1941 Unexpired unobligated balance, end of year .....	1,917	485	688
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,398	3,203	5,184
3010 New obligations, unexpired accounts .....	2,596	3,553	2,226
3020 Outlays (gross) .....	-1,779	-1,572	-1,911
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3050 Unpaid obligations, end of year .....	3,203	5,184	5,499
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,398	3,203	5,184
3200 Obligated balance, end of year .....	3,203	5,184	5,499
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,517	2,121	2,429
Outlays, gross:			
4010 Outlays from new discretionary authority .....	588	394	450
4011 Outlays from discretionary balances .....	1,191	1,178	1,461
4020 Outlays, gross (total) .....	1,779	1,572	1,911
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-15	-15
4040 Offsets against gross budget authority and outlays (total) .....		-15	-15
4180 Budget authority, net (total) .....	3,517	2,106	2,414
4190 Outlays, net (total) .....	1,779	1,557	1,896

**Object Classification** (in millions of dollars)

Identification code 057–3021–0–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	110	72	75
31.0 Equipment .....	2,486	3,466	2,136
99.0 Direct obligations .....	2,596	3,538	2,211
99.0 Reimbursable obligations .....		15	15
99.9 Total new obligations, unexpired accounts .....	2,596	3,553	2,226

**PROCUREMENT OF AMMUNITION, AIR FORCE**

【For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,485,856,000】【, to remain available for obligation until September 30, 2021.】 (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057–3011–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....	2,471	2,555	727
0002 Weapons .....	12	81	26
0799 Total direct obligations .....	2,483	2,636	753
0801 Reimbursable program activity .....	29	131	130
0900 Total new obligations, unexpired accounts .....	2,512	2,767	883

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	943	812	991
1010 Unobligated balance transfer to other accts [097–9999] .....	–2		
1011 Unobligated balance transfer from other acct [097–9999] .....	58		
1021 Recoveries of prior year unpaid obligations .....	84		
1050 Unobligated balance (total) .....	1,083	812	991
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	2,203	2,857	
1121 Appropriations transferred from other acct [097–9999] .....	50		
1131 Unobligated balance of appropriations permanently reduced .....	–23	–17	
1160 Appropriation, discretionary (total) .....	2,230	2,840	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	56	106	130
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	58	106	130
1900 Budget authority (total) .....	2,288	2,946	130
1930 Total budgetary resources available .....	3,371	3,758	1,121
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–47		
1941 Unexpired unobligated balance, end of year .....	812	991	238

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,311	3,872	4,384
3010 New obligations, unexpired accounts .....	2,512	2,767	883
3011 Obligations (“upward adjustments”), expired accounts .....	7		
3020 Outlays (gross) .....	–1,842	–2,255	–2,319
3040 Recoveries of prior year unpaid obligations, unexpired .....	–84		
3041 Recoveries of prior year unpaid obligations, expired .....	–32		
3050 Unpaid obligations, end of year .....	3,872	4,384	2,948
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–25	–25	–25
3070 Change in uncollected pymts, Fed sources, unexpired .....	–2		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	–25	–25	–25

**Memorandum (non-add) entries:**

3100 Obligated balance, start of year .....	3,286	3,847	4,359
3200 Obligated balance, end of year .....	3,847	4,359	2,923

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,288	2,946	130
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	34	162	130
4011 Outlays from discretionary balances .....	1,808	2,093	2,189
4020 Outlays, gross (total) .....	1,842	2,255	2,319
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030 Federal sources .....	–63	–106	–130
4040 Offsets against gross budget authority and outlays (total) .....	–63	–106	–130
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–2		
4052 Offsetting collections credited to expired accounts .....	7		
4060 Additional offsets against budget authority only (total) .....	5		
4070 Budget authority, net (discretionary) .....	2,230	2,840	
4080 Outlays, net (discretionary) .....	1,779	2,149	2,189
4180 Budget authority, net (total) .....	2,230	2,840	
4190 Outlays, net (total) .....	1,779	2,149	2,189

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2,230	2,840	
Outlays .....	1,779	2,149	2,189
<b>Overseas contingency operations:</b>			
Budget Authority .....			2,607
Outlays .....			52
<b>Total:</b>			
Budget Authority .....	2,230	2,840	2,607
Outlays .....	1,779	2,149	2,241

**Object Classification** (in millions of dollars)

Identification code 057–3011–0–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	9	11	
31.0 Equipment .....	2,474	2,625	753
99.0 Direct obligations .....	2,483	2,636	753
99.0 Reimbursable obligations .....	29	131	130
99.9 Total new obligations, unexpired accounts .....	2,512	2,767	883

**OTHER PROCUREMENT, AIR FORCE**

For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; lease of passenger motor vehicles; and expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, 【\$20,884,225,000】 \$20,687,857,000, to remain available for obligation until September 30, 【2021】 2022, of which \$75,848,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057–3080–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Vehicular equipment .....	598	603	286
0003 Electronics and telecommunications equipment .....	1,341	2,321	1,633
0004 Other base maintenance and support equipment .....	20,005	22,230	19,521
0005 Spare and repair parts .....	16	85	82
0799 Total direct obligations .....	21,960	25,239	21,522
0801 Reimbursable program activity .....	341	319	400

OTHER PROCUREMENT, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3080-0-1-051	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	22,301	25,558	21,922
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,577	6,573	5,734
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4,567	6,562	.....
1010 Unobligated balance transfer to other accts [097-9999] .....	-98	.....	.....
1011 Unobligated balance transfer from other acct [097-9999] .....	120	.....	.....
1021 Recoveries of prior year unpaid obligations .....	56	.....	.....
1033 Recoveries of prior year paid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	4,659	6,573	5,734
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24,113	24,562	20,688
1120 Appropriations transferred to other acct [097-9999] .....	-36	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	37	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-162	-168	.....
1160 Appropriation, discretionary (total) .....	23,952	24,394	20,688
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] .....	2	10	12
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	1	9	12
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	309	316	400
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	314	316	400
1900 Budget authority (total) .....	24,267	24,719	21,100
1930 Total budgetary resources available .....	28,926	31,292	26,834
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-52	.....	.....
1941 Unexpired unobligated balance, end of year .....	6,573	5,734	4,912
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,329	6,319	9,647
3010 New obligations, unexpired accounts .....	22,301	25,558	21,922
3011 Obligations ("upward adjustments"), expired accounts .....	306	.....	.....
3020 Outlays (gross) .....	-24,236	-22,230	-21,864
3040 Recoveries of prior year unpaid obligations, unexpired .....	-56	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-325	.....	.....
3050 Unpaid obligations, end of year .....	6,319	9,647	9,705
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-8	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8,321	6,306	9,634
3200 Obligated balance, end of year .....	6,306	9,634	9,692
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24,266	24,710	21,088
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16,003	16,172	13,847
4011 Outlays from discretionary balances .....	8,233	6,052	8,015
4020 Outlays, gross (total) .....	24,236	22,224	21,862
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-319	-316	-400
4033 Non-Federal sources .....	-267	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-586	-316	-400
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
4052 Offsetting collections credited to expired accounts .....	273	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	4	.....	.....
4060 Additional offsets against budget authority only (total) .....	272	.....	.....
4070 Budget authority, net (discretionary) .....	23,952	24,394	20,688
4080 Outlays, net (discretionary) .....	23,650	21,908	21,462
Mandatory:			
4090 Budget authority, gross .....	1	9	12

Outlays, gross:	2018 actual	2019 est.	2020 est.
4100 Outlays from new mandatory authority .....	6	.....	.....
4101 Outlays from mandatory balances .....	.....	.....	2
4110 Outlays, gross (total) .....	6	.....	2
4180 Budget authority, net (total) .....	23,953	24,403	20,700
4190 Outlays, net (total) .....	23,650	21,914	21,464

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	23,953	24,403	20,700
Outlays .....	23,650	21,914	21,464
Overseas contingency operations:			
Budget Authority .....	.....	.....	4,193
Outlays .....	.....	.....	2,725
Total:			
Budget Authority .....	23,953	24,403	24,893
Outlays .....	23,650	21,914	24,189

Object Classification (in millions of dollars)

Identification code 057-3080-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	126	128	108
31.0 Equipment .....	21,834	25,111	21,414
99.0 Direct obligations .....	21,960	25,239	21,522
99.0 Reimbursable obligations .....	341	319	400
99.9 Total new obligations, unexpired accounts .....	22,301	25,558	21,922

PROCUREMENT, DEFENSE-WIDE

For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, equipment, and installation thereof in such plants, erection of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment lay-away, **[\$6,822,180,000]** \$5,109,416,000, to remain available for obligation until September 30, **[2021]** 2022. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0300-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major equipment .....	4,737	3,918	3,118
0002 Special Operations Command .....	2,555	2,591	2,378
0003 Chemical/Biological Defense .....	244	253	320
0799 Total direct obligations .....	7,536	6,762	5,816
0801 Reimbursable program activity .....	192	559	145
0900 Total new obligations, unexpired accounts .....	7,728	7,321	5,961
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,438	2,396	2,985
1021 Recoveries of prior year unpaid obligations .....	125	.....	.....
1033 Recoveries of prior year paid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	2,566	2,396	2,985
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,185	7,394	5,109
1120 Appropriations transferred to other acct [097-9999] .....	-30	.....	.....
1121 Appropriations transferred from other acct [097-9999] .....	189	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-7	.....	.....
1160 Appropriation, discretionary (total) .....	7,337	7,394	5,109
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	213	516	150
1701 Change in uncollected payments, Federal sources .....	27	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	240	516	150

1900	Budget authority (total) .....	7,577	7,910	5,259
1930	Total budgetary resources available .....	10,143	10,306	8,244
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-19		
1941	Unexpired unobligated balance, end of year .....	2,396	2,985	2,283

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,379	8,796	8,375
3010	New obligations, unexpired accounts .....	7,728	7,321	5,961
3011	Obligations ("upward adjustments"), expired accounts .....	135		
3020	Outlays (gross) .....	-5,151	-7,742	-6,577
3040	Recoveries of prior year unpaid obligations, unexpired .....	-125		
3041	Recoveries of prior year unpaid obligations, expired .....	-170		
3050	Unpaid obligations, end of year .....	8,796	8,375	7,759
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-191	-204	-204
3070	Change in uncollected pymts, Fed sources, unexpired .....	-27		
3071	Change in uncollected pymts, Fed sources, expired .....	14		
3090	Uncollected pymts, Fed sources, end of year .....	-204	-204	-204
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,188	8,592	8,171
3200	Obligated balance, end of year .....	8,592	8,171	7,555

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	7,577	7,910	5,259
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,033	1,773	1,019
4011	Outlays from discretionary balances .....	4,118	5,969	5,558
4020	Outlays, gross (total) .....	5,151	7,742	6,577
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-134	-516	-150
4033	Non-Federal sources .....	-98		
4040	Offsets against gross budget authority and outlays (total) ....	-232	-516	-150
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-27		
4052	Offsetting collections credited to expired accounts .....	16		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	3		
4060	Additional offsets against budget authority only (total) .....	-8		
4070	Budget authority, net (discretionary) .....	7,337	7,394	5,109
4080	Outlays, net (discretionary) .....	4,919	7,226	6,427
4180	Budget authority, net (total) .....	7,337	7,394	5,109
4190	Outlays, net (total) .....	4,919	7,226	6,427

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	7,337	7,394	5,109
Outlays .....	4,919	7,226	6,427
Overseas contingency operations:			
Budget Authority .....			452
Outlays .....			77
Total:			
Budget Authority .....	7,337	7,394	5,561
Outlays .....	4,919	7,226	6,504

**Object Classification (in millions of dollars)**

Identification code 097-0300-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	2	1	1
22.0	Transportation of things .....	1	1	1
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	8	2	1
25.1	Advisory and assistance services .....	79	87	78
25.2	Other services from non-Federal sources .....	35	18	19
25.3	Other goods and services from Federal sources .....	134	78	90
25.4	Operation and maintenance of facilities .....	1		
25.5	Research and development contracts .....	2		
25.7	Operation and maintenance of equipment .....	44	12	7
26.0	Supplies and materials .....	2,345	2,106	1
31.0	Equipment .....	4,871	4,439	5,611
32.0	Land and structures .....	11		
33.0	Investments and loans .....	1		
41.0	Grants, subsidies, and contributions .....		16	5

99.0	Direct obligations .....	7,536	6,762	5,816
99.0	Reimbursable obligations .....	192	559	145
99.9	Total new obligations, unexpired accounts .....	7,728	7,321	5,961

**NATIONAL GUARD AND RESERVE EQUIPMENT ACCOUNT**

For procurement of rotary-wing aircraft; combat, tactical and support vehicles; other weapons; and other procurement items for the reserve components of the Armed Forces, \$1,300,000,000, to remain available for obligation until September 30, 2021: *Provided*, That the Chiefs of National Guard and Reserve components shall, not later than 30 days after enactment of this Act, individually submit to the congressional defense committees the modernization priority assessment for their respective National Guard or Reserve component: *Provided further*, That none of the funds made available by this paragraph may be used to procure manned fixed wing aircraft, or procure or modify missiles, munitions, or ammunition. (Department of Defense Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 097-0350-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Reserve equipment .....	277	616	300
0002	National Guard equipment .....	542	1,220	580
0900	Total new obligations, unexpired accounts .....	819	1,836	880
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,169	1,675	1,139
1021	Recoveries of prior year unpaid obligations .....	37		
1050	Unobligated balance (total) .....	1,206	1,675	1,139
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,300	1,300	
1900	Budget authority (total) .....	1,300	1,300	
1930	Total budgetary resources available .....	2,506	2,975	1,139
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-12		
1941	Unexpired unobligated balance, end of year .....	1,675	1,139	259

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,400	1,057	2,010
3010	New obligations, unexpired accounts .....	819	1,836	880
3011	Obligations ("upward adjustments"), expired accounts .....	84		
3020	Outlays (gross) .....	-1,120	-883	-1,002
3040	Recoveries of prior year unpaid obligations, unexpired .....	-37		
3041	Recoveries of prior year unpaid obligations, expired .....	-89		
3050	Unpaid obligations, end of year .....	1,057	2,010	1,888
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,400	1,057	2,010
3200	Obligated balance, end of year .....	1,057	2,010	1,888

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1,300	1,300	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	17	
4011	Outlays from discretionary balances .....	1,117	866	1,002
4020	Outlays, gross (total) .....	1,120	883	1,002
4180	Budget authority, net (total) .....	1,300	1,300	
4190	Outlays, net (total) .....	1,120	883	1,002

**Object Classification (in millions of dollars)**

Identification code 097-0350-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
22.0	Transportation of things .....	5		
25.1	Advisory and assistance services .....	57		
25.2	Other services from non-Federal sources .....	38		
25.3	Other goods and services from Federal sources .....	23		
25.7	Operation and maintenance of equipment .....	3		
26.0	Supplies and materials .....	120		
31.0	Equipment .....	572	1,836	880
32.0	Land and structures .....	1		

NATIONAL GUARD AND RESERVE EQUIPMENT ACCOUNT—Continued  
Object Classification—Continued

Identification code 097-0350-0-1-051	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....	819	1,836	880

DEFENSE PRODUCTION ACT PURCHASES

For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. 4518, 4531, 4532, and 4533), **[\$53,578,000]** \$34,393,000, to remain available until expended. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0360-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Defense Production Act Purchases .....	34	54	34
0900 Total new obligations, unexpired accounts (object class 25.1) .....	34	54	34
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	212	317	317
1021 Recoveries of prior year unpaid obligations .....	72		
1050 Unobligated balance (total) .....	284	317	317
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	67	54	34
1900 Budget authority (total) .....	67	54	34
1930 Total budgetary resources available .....	351	371	351
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	317	317	317
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	354	194	70
3010 New obligations, unexpired accounts .....	34	54	34
3020 Outlays (gross) .....	-122	-178	-66
3040 Recoveries of prior year unpaid obligations, unexpired .....	-72		
3050 Unpaid obligations, end of year .....	194	70	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	354	194	70
3200 Obligated balance, end of year .....	194	70	38
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	67	54	34
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	30	19
4011 Outlays from discretionary balances .....	118	148	47
4020 Outlays, gross (total) .....	122	178	66
4180 Budget authority, net (total) .....	67	54	34
4190 Outlays, net (total) .....	122	178	66

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to expedite and expand the supply of critical resources and services from the U.S. industrial base to support national defense and homeland security.

CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, DEFENSE

For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, **[\$993,816,000]** \$985,499,000, of which **[\$105,997,000]** \$107,351,000 shall be for operation and maintenance, of which no less than **[\$52,735,000]** \$52,452,000 shall be for the Chemical Stockpile Emergency Preparedness Program, consisting of **[\$21,600,000]** \$22,444,000 for activities on military installations and **[\$31,135,000]** \$30,008,000, to remain available until September 30, **[2020]** 2021, to assist State and local governments;

**[\$1,091,000]** \$2,218,000 shall be for procurement, to remain available until September 30, **[2021]** 2022, of which no less than **[\$1,091,000]** \$2,218,000 shall be for the Chemical Stockpile Emergency Preparedness Program to assist State and local governments; and **[\$886,728,000]** \$875,930,000, to remain available until September 30, **[2020]** 2021, shall be for research, development, test and evaluation, of which **[\$880,283,000]** \$869,430,000 shall only be for the Assembled Chemical Weapons Alternatives program. (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0390-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....	104	107	107
0002 Research, Development, Test, and Evaluation .....	795	937	876
0003 Procurement .....	18	1	2
0799 Total direct obligations .....	917	1,045	985
0801 Reimbursable program activity .....		10	16
0900 Total new obligations, unexpired accounts .....	917	1,055	1,001
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	80	29
1010 Unobligated balance transfer to other accts [097-9999] .....	-10		
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	35	80	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	962	994	985
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		10	16
1900 Budget authority (total) .....	962	1,004	1,001
1930 Total budgetary resources available .....	997	1,084	1,030
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	80	29	29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	449	603	1,068
3010 New obligations, unexpired accounts .....	917	1,055	1,001
3011 Obligations ("upward adjustments"), expired accounts .....	18		
3020 Outlays (gross) .....	-757	-590	-882
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-19		
3050 Unpaid obligations, end of year .....	603	1,068	1,187
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-2	-2
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	445	601	1,066
3200 Obligated balance, end of year .....	601	1,066	1,185
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	962	1,004	1,001
Outlays, gross:			
4010 Outlays from new discretionary authority .....	310	378	380
4011 Outlays from discretionary balances .....	447	212	502
4020 Outlays, gross (total) .....	757	590	882
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-10	-16
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ....	-6	-10	-16
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	962	994	985
4080 Outlays, net (discretionary) .....	751	580	866
4180 Budget authority, net (total) .....	962	994	985
4190 Outlays, net (total) .....	751	580	866

The Chemical Agents and Munitions Destruction, Defense account supports the Chemical Demilitarization Program, which supports the safe and secure disposal of the U.S. inventory of lethal chemical agents, munitions and related (non-stockpile) material, thus avoiding future risks and costs

associated with the continued storage of chemical warfare materials. The program supports the Chemical Weapons Convention initiative of eliminating chemical weapons.

**Object Classification** (in millions of dollars)

Identification code 097-0390-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			32
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			33
12.1 Civilian personnel benefits .....			11
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	36	37	35
25.2 Other services from non-Federal sources .....			152
25.3 Other goods and services from Federal sources .....	1		
25.3 Other goods and services from Federal sources .....	123	3	3
25.4 Operation and maintenance of facilities .....	14	16	12
25.5 Research and development contracts .....	738	987	737
25.7 Operation and maintenance of equipment .....			1
26.0 Supplies and materials .....	1		
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	
99.0 Direct obligations .....	917	1,045	985
99.0 Reimbursable obligations .....		10	16
99.9 Total new obligations, unexpired accounts .....	917	1,055	1,001

**Employment Summary**

Identification code 097-0390-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			400
2001 Reimbursable civilian full-time equivalent employment .....			51

**JOINT URGENT OPERATIONAL NEEDS FUND**

**Program and Financing** (in millions of dollars)

Identification code 097-0303-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Joint Urgent Operational Needs Funds .....			99
0900 Total new obligations, unexpired accounts (object class 31.0) .....			99
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			99
1930 Total budgetary resources available .....			99
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			99
3020 Outlays (gross) .....			-32
3050 Unpaid obligations, end of year .....			67
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			67
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			99
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			32
4180 Budget authority, net (total) .....			99
4190 Outlays, net (total) .....			32

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

Appropriations in this title support basic and applied research, as well as development, demonstration, testing, prototyping, and evaluation activities. This work is performed by government employees and contractors, in

government and corporate laboratories and facilities, at universities, and by nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to maintaining military technical superiority.

Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

**Federal Funds**

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY**

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$11,083,824,000]** \$12,192,771,000, to remain available for obligation until September 30, **[2020]** 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 021-2040-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	487	392	465
0002 Applied Research .....	1,226	1,466	1,088
0003 Advanced technology development .....	1,421	1,482	1,231
0004 Advanced Component Development and Prototypes .....	832	1,716	2,396
0005 System development and demonstration .....	3,438	2,905	3,401
0006 Management support .....	1,560	1,283	1,316
0007 Operational system development .....	1,580	1,681	1,899
0799 Total direct obligations .....	10,544	10,925	11,796
0801 Reimbursable program activity .....	5,951	5,598	4,834
0900 Total new obligations, unexpired accounts .....	16,495	16,523	16,630
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,242	4,681	3,234
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,213	4,676	
1011 Unobligated balance transfer from other acct [097-9999] .....	8		
1021 Recoveries of prior year unpaid obligations .....	290		
1050 Unobligated balance (total) .....	3,540	4,681	3,234
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10,898	11,375	12,193
1120 Appropriations transferred to other acct [097-9999] .....	-10		
1121 Appropriations transferred from other acct [097-9999] .....	729		
1131 Unobligated balance of appropriations permanently reduced .....	-62	-191	
1160 Appropriation, discretionary (total) .....	11,555	11,184	12,193
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	19	19	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3		
1260 Appropriations, mandatory (total) .....	16	19	
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4,860	3,873	4,834
1701 Change in uncollected payments, Federal sources .....	1,453		
1750 Spending auth from offsetting collections, disc (total) .....	6,313	3,873	4,834
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1		
1900 Budget authority (total) .....	17,885	15,076	17,027
1930 Total budgetary resources available .....	21,425	19,757	20,261
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-249		
1941 Unexpired unobligated balance, end of year .....	4,681	3,234	3,631
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,967	10,225	12,973
3010 New obligations, unexpired accounts .....	16,495	16,523	16,630
3011 Obligations ("upward adjustments"), expired accounts .....	88		
3020 Outlays (gross) .....	-13,806	-13,775	-15,753
3040 Recoveries of prior year unpaid obligations, unexpired .....	-290		
3041 Recoveries of prior year unpaid obligations, expired .....	-229		
3050 Unpaid obligations, end of year .....	10,225	12,973	13,850
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,898	-4,423	-4,423
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,453		

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—Continued  
Program and Financing—Continued

Identification code 021–2040–0–1–051	2018 actual	2019 est.	2020 est.
3071 Change in uncollected pymts, Fed sources, expired .....	928		
3090 Uncollected pymts, Fed sources, end of year .....	-4,423	-4,423	-4,423
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,069	5,802	8,550
3200 Obligated balance, end of year .....	5,802	8,550	9,427
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17,868	15,057	17,027
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,077	6,324	7,151
4011 Outlays from discretionary balances .....	7,728	7,443	8,595
4020 Outlays, gross (total) .....	13,805	13,767	15,746
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5,150	-3,873	-4,834
4033 Non-Federal sources .....	-98		
4040 Offsets against gross budget authority and outlays (total) ....	-5,248	-3,873	-4,834
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,453		
4052 Offsetting collections credited to expired accounts .....	388		
4060 Additional offsets against budget authority only (total) .....	-1,065		
4070 Budget authority, net (discretionary) .....	11,555	11,184	12,193
4080 Outlays, net (discretionary) .....	8,557	9,894	10,912
Mandatory:			
4090 Budget authority, gross .....	17	19	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		8	
4101 Outlays from mandatory balances .....	1		7
4110 Outlays, gross (total) .....		8	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....	11,571	11,203	12,193
4190 Outlays, net (total) .....	8,557	9,902	10,919

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	11,571	11,203	12,193
Outlays .....	8,557	9,902	10,919
Overseas contingency operations:			
Budget Authority .....			204
Outlays .....			86
Total:			
Budget Authority .....	11,571	11,203	12,397
Outlays .....	8,557	9,902	11,005

Object Classification (in millions of dollars)

Identification code 021–2040–0–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	862	443	450
11.3 Other than full-time permanent .....		4	4
11.5 Other personnel compensation .....	36	9	9
11.9 Total personnel compensation .....	898	456	463
12.1 Civilian personnel benefits .....	285	147	149
13.0 Benefits for former personnel .....	2		
21.0 Travel and transportation of persons .....	70	70	104
22.0 Transportation of things .....	33	46	75
23.1 Rental payments to GSA .....	6	10	11
23.2 Rental payments to others .....	9	11	14
23.3 Communications, utilities, and miscellaneous charges .....	25	41	40
24.0 Printing and reproduction .....	1	1	3
25.1 Advisory and assistance services .....	604	676	686
25.2 Other services from non-Federal sources .....	362	425	516
25.3 Other goods and services from Federal sources .....	443	498	626
25.3 Other goods and services from Federal sources .....		599	748
25.4 Operation and maintenance of facilities .....	163	56	80
25.5 Research and development contracts .....	6,366	6,813	6,891
25.7 Operation and maintenance of equipment .....	147	185	211
26.0 Supplies and materials .....	161	216	290

31.0 Equipment .....	914	603	784
32.0 Land and structures .....	7	10	15
41.0 Grants, subsidies, and contributions .....	48	63	90
99.0 Direct obligations .....	10,544	10,926	11,796
99.0 Reimbursable obligations .....	5,951	5,597	4,834
99.9 Total new obligations, unexpired accounts .....	16,495	16,523	16,630

Employment Summary

Identification code 021–2040–0–1–051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8,063	4,396	4,356
2001 Reimbursable civilian full-time equivalent employment .....	10,749	15,531	13,554

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$18,510,564,000]** \$20,270,499,000, to remain available for obligation until September 30, **[2020]** 2021: *Provided*, That funds appropriated in this paragraph which are available for the V-22 may be used to meet unique operational requirements of the Special Operations Forces. (*Department of Defense Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 017–1319–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	610	631	614
0002 Applied Research .....	1,020	1,035	945
0003 Advanced technology development .....	798	1,050	754
0004 Advanced Component Development and Prototypes .....	4,288	4,067	5,417
0005 System development and demonstration .....	6,346	5,834	6,285
0006 Management support .....	1,338	1,355	994
0007 Operational system development .....	4,360	4,854	5,100
0799 Total direct obligations .....	18,760	18,826	20,109
0801 Reimbursable program activity .....	232	747	682
0900 Total new obligations, unexpired accounts .....	18,992	19,573	20,791

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,068	2,194	2,058
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,038	2,171	
1010 Unobligated balance transfer to other accts [097–9999] .....	-1		
1011 Unobligated balance transfer from other acct [097–9999] .....	41		
1021 Recoveries of prior year unpaid obligations .....	397		
1050 Unobligated balance (total) .....	2,505	2,194	2,058
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	18,226	18,658	20,270
1120 Appropriations transferred to other acct [097–9999] .....	-4		
1121 Appropriations transferred from other acct [097–9999] .....	144		
1131 Unobligated balance of appropriations permanently reduced .....	-9		
1160 Appropriation, discretionary (total) .....	18,357	18,658	20,270
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512] .....	102	105	72
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3	-2	
1260 Appropriations, mandatory (total) .....	99	103	72
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	169	676	682
1701 Change in uncollected payments, Federal sources .....	93		
1750 Spending auth from offsetting collections, disc (total) .....	262	676	682
1900 Budget authority (total) .....	18,718	19,437	21,024
1930 Total budgetary resources available .....	21,223	21,631	23,082
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-37		
1941 Unexpired unobligated balance, end of year .....	2,194	2,058	2,291

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,411	11,138	12,822
3010 New obligations, unexpired accounts .....	18,992	19,573	20,791
3011 Obligations ("upward adjustments"), expired accounts .....	74		

3020	Outlays (gross) .....	-17,702	-17,889	-20,214
3040	Recoveries of prior year unpaid obligations, unexpired .....	-397		
3041	Recoveries of prior year unpaid obligations, expired .....	-240		
3050	Unpaid obligations, end of year .....	11,138	12,822	13,399
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-106	-170	-170
3070	Change in uncollected pymts, Fed sources, unexpired .....	-93		
3071	Change in uncollected pymts, Fed sources, expired .....	29		
3090	Uncollected pymts, Fed sources, end of year .....	-170	-170	-170
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	10,305	10,968	12,652
3200	Obligated balance, end of year .....	10,968	12,652	13,229

**Budget authority and outlays, net:**  
Discretionary:

4000	Budget authority, gross .....	18,619	19,334	20,952
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,867	9,629	10,434
4011	Outlays from discretionary balances .....	8,747	8,209	9,743
4020	Outlays, gross (total) .....	17,614	17,838	20,177
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-174	-676	-682
4033	Non-Federal sources .....	-26		
4040	Offsets against gross budget authority and outlays (total) ...	-200	-676	-682
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-93		
4052	Offsetting collections credited to expired accounts .....	31		
4060	Additional offsets against budget authority only (total) .....	-62		
4070	Budget authority, net (discretionary) .....	18,357	18,658	20,270
4080	Outlays, net (discretionary) .....	17,414	17,162	19,495
Mandatory:				
4090	Budget authority, gross .....	99	103	72
Outlays, gross:				
4100	Outlays from new mandatory authority .....	11	51	
4101	Outlays from mandatory balances .....	77		37
4110	Outlays, gross (total) .....	88	51	37
4180	Budget authority, net (total) .....	18,456	18,761	20,342
4190	Outlays, net (total) .....	17,502	17,213	19,532

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	18,456	18,761	20,342
Outlays .....	17,502	17,213	19,532
Overseas contingency operations:			
Budget Authority .....			164
Outlays .....			82
Total:			
Budget Authority .....	18,456	18,761	20,506
Outlays .....	17,502	17,213	19,614

**Object Classification** (in millions of dollars)

Identification code 017-1319-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	93	85	95
11.3 Other than full-time permanent .....	5		
11.5 Other personnel compensation .....	1	2	2
11.8 Special personal services payments .....	20		
11.9 Total personnel compensation .....	119	87	97
12.1 Civilian personnel benefits .....	29	27	33
21.0 Travel and transportation of persons .....	40	32	7
22.0 Transportation of things .....	3	2	
23.2 Rental payments to others .....	19	24	12
23.3 Communications, utilities, and miscellaneous charges .....	48	27	
25.1 Advisory and assistance services .....	1,096	708	133
25.2 Other services from non-Federal sources .....	23	126	40
25.3 Other goods and services from Federal sources .....	3,104	471	396
25.4 Operation and maintenance of facilities .....	1,749	4,315	2,124
25.5 Research and development contracts .....	37	121	
25.7 Operation and maintenance of equipment .....	8,711	10,630	16,977
25.8 Operation and maintenance of equipment .....	1,086	846	2
26.0 Supplies and materials .....	181	24	204
31.0 Equipment .....	1,878	943	73
32.0 Land and structures .....	37		

41.0	Grants, subsidies, and contributions .....	600	444	10
99.0	Direct obligations .....	18,760	18,827	20,108
99.0	Reimbursable obligations .....	232	746	683
99.9	Total new obligations, unexpired accounts .....	18,992	19,573	20,791

**Employment Summary**

Identification code 017-1319-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	705	669	729
2001	Reimbursable civilian full-time equivalent employment .....	220	435	444

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE**

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$41,229,475,000]** \$45,616,122,000, to remain available for obligation until September 30, **[2020]** 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3600-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Basic research .....	555	525	535
0002	Applied Research .....	1,364	1,541	1,443
0003	Advanced technology development .....	818	923	853
0004	Advanced component development and prototypes .....	4,772	6,416	8,168
0005	System development and demonstration .....	3,888	6,035	6,709
0006	Management support .....	3,054	3,265	2,925
0007	Operational system development .....	20,824	24,932	24,376
0799	Total direct obligations .....	35,275	43,637	45,009
0801	Reimbursable program activity .....	3,968	4,710	4,300
0900	Total new obligations, unexpired accounts .....	39,243	48,347	49,309

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5,920	9,125	5,839
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	5,909	9,110	
1010	Unobligated balance transfer to other accts [097-9999] .....	-11		
1011	Unobligated balance transfer from other acct [097-9999] .....	141		
1021	Recoveries of prior year unpaid obligations .....	352		
1033	Recoveries of prior year paid obligations .....	9		
1050	Unobligated balance (total) .....	6,411	9,125	5,839
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	37,763	41,489	45,616
1121	Appropriations transferred from other acct [097-9999] .....	252		
1131	Unobligated balance of appropriations permanently reduced .....	-131	-491	
1160	Appropriation, discretionary (total) .....	37,884	40,998	45,616
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512] .....	64	48	84
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	
1260	Appropriations, mandatory (total) .....	63	47	84
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3,787	4,016	4,300
1701	Change in uncollected payments, Federal sources .....	284		
1750	Spending auth from offsetting collections, disc (total) .....	4,071	4,016	4,300
1900	Budget authority (total) .....	42,018	45,061	50,000
1930	Total budgetary resources available .....	48,429	54,186	55,839
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-61		
1941	Unexpired unobligated balance, end of year .....	9,125	5,839	6,530

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15,638	19,153	28,525
3010	New obligations, unexpired accounts .....	39,243	48,347	49,309
3011	Obligations ("upward adjustments"), expired accounts .....	217		
3020	Outlays (gross) .....	-35,235	-38,975	-48,302
3040	Recoveries of prior year unpaid obligations, unexpired .....	-352		
3041	Recoveries of prior year unpaid obligations, expired .....	-358		
3050	Unpaid obligations, end of year .....	19,153	28,525	29,532

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-3600-0-1-051	2018 actual	2019 est.	2020 est.
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,079	-1,308	-1,308
3070 Change in uncollected pymts, Fed sources, unexpired .....	-284		
3071 Change in uncollected pymts, Fed sources, expired .....	55		
3090 Uncollected pymts, Fed sources, end of year .....	-1,308	-1,308	-1,308
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14,559	17,845	27,217
3200 Obligated balance, end of year .....	17,845	27,217	28,224
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	41,955	45,014	49,916
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	20,418	24,104	26,652
4011 Outlays from discretionary balances .....	14,737	14,848	21,632
4020 Outlays, gross (total) .....	35,155	38,952	48,284
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3,751	-4,016	-4,300
4033 Non-Federal sources .....	-170		
4040 Offsets against gross budget authority and outlays (total) ...	-3,921	-4,016	-4,300
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-284		
4052 Offsetting collections credited to expired accounts .....	125		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	9		
4060 Additional offsets against budget authority only (total) .....	-150		
4070 Budget authority, net (discretionary) .....	37,884	40,998	45,616
4080 Outlays, net (discretionary) .....	31,234	34,936	43,984
<b>Mandatory:</b>			
4090 Budget authority, gross .....	63	47	84
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		23	
4101 Outlays from mandatory balances .....	80		18
4110 Outlays, gross (total) .....	80	23	18
4180 Budget authority, net (total) .....	37,947	41,045	45,700
4190 Outlays, net (total) .....	31,314	34,959	44,002

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	37,947	41,045	45,700
Outlays .....	31,314	34,959	44,002
<b>Overseas contingency operations:</b>			
Budget Authority .....			450
Outlays .....			220
<b>Total:</b>			
Budget Authority .....	37,947	41,045	46,150
Outlays .....	31,314	34,959	44,222

Object Classification (in millions of dollars)

Identification code 057-3600-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,751	1,851	1,876
11.5 Other personnel compensation .....	56	58	60
11.9 Total personnel compensation .....	1,807	1,909	1,936
12.1 Civilian personnel benefits .....	518	548	545
25.1 Advisory and assistance services .....	1,481	1,614	1,769
25.5 Research and development contracts .....	31,469	39,566	40,759
99.0 Direct obligations .....	35,275	43,637	45,009
99.0 Reimbursable obligations .....	3,968	4,710	4,300
99.9 Total new obligations, unexpired accounts .....	39,243	48,347	49,309

Employment Summary

Identification code 057-3600-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	18,935	19,308	19,689

2001 Reimbursable civilian full-time equivalent employment .....	2,107	2,204	3,162
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RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE

[(INCLUDING TRANSFER OF FUNDS)]

For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$23,691,836,000]** *\$24,346,953,000*, to remain available for obligation until September 30, **[2020]** *2021*: *Provided*, That, of the funds made available in this paragraph, \$250,000,000 for the Defense Rapid Innovation Program shall only be available for expenses, not otherwise provided for, to include program management and oversight, to conduct research, development, test and evaluation to include proof of concept demonstration; engineering, testing, and validation; and transition to full-scale production: *Provided further*, That the Secretary of Defense may transfer funds provided herein for the Defense Rapid Innovation Program to appropriations for research, development, test and evaluation to accomplish the purpose provided herein: *Provided further*, That this transfer authority is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That the Secretary of Defense shall, not fewer than 30 days prior to making transfers from this appropriation, notify the congressional defense committees in writing of the details of any such transfer]. (*Department of Defense Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 097-0400-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic research .....	713	830	737
0002 Applied Research .....	1,764	1,886	2,033
0003 Advanced technology development .....	3,394	3,930	3,741
0004 Advanced Component Development and Prototypes .....	9,529	10,151	9,818
0005 System development and demonstration .....	756	1,182	874
0006 Management support .....	1,620	1,625	1,351
0007 Operational system development .....	5,120	4,839	5,833
0799 Total direct obligations .....	22,896	24,443	24,387
0801 Reimbursable program activity .....	1,171	2,292	1,591
0900 Total new obligations, unexpired accounts .....	24,067	26,735	25,978

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,652	4,585	4,135
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,652	4,584	
1010 Unobligated balance transfer to other accts [097-9999] .....	-17		
1011 Unobligated balance transfer from other acct [097-9999] .....	46		
1021 Recoveries of prior year unpaid obligations .....	115		
1033 Recoveries of prior year paid obligations .....	10		
1050 Unobligated balance (total) .....	3,806	4,585	4,135
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	23,391	24,062	24,347
1120 Appropriations transferred to other acct [097-9999] .....	-65		
1121 Appropriations transferred from other acct [097-9999] .....	346		
1131 Unobligated balance of appropriations permanently reduced .....		-25	
1160 Appropriation, discretionary (total) .....	23,672	24,037	24,347
<b>Appropriations, mandatory:</b>			
1221 Appropriations transferred from other acct [011-5512] .....	102	84	59
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	848	2,164	1,587
1701 Change in uncollected payments, Federal sources .....	400		
1750 Spending auth from offsetting collections, disc (total) .....	1,248	2,164	1,587
1900 Budget authority (total) .....	25,022	26,285	25,993
1930 Total budgetary resources available .....	28,828	30,870	30,128
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-176		
1941 Unexpired unobligated balance, end of year .....	4,585	4,135	4,150

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	12,417	15,746	17,402
3010 New obligations, unexpired accounts .....	24,067	26,735	25,978
3011 Obligations ("upward adjustments"), expired accounts .....	505		
3020 Outlays (gross) .....	-20,710	-25,079	-25,945

3040	Recoveries of prior year unpaid obligations, unexpired .....	-115		
3041	Recoveries of prior year unpaid obligations, expired .....	-418		
3050	Unpaid obligations, end of year .....	15,746	17,402	17,435
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,253	-1,298	-1,298
3070	Change in uncollected pymts, Fed sources, unexpired .....	-400		
3071	Change in uncollected pymts, Fed sources, expired .....	355		
3090	Uncollected pymts, Fed sources, end of year .....	-1,298	-1,298	-1,298
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11,164	14,448	16,104
3200	Obligated balance, end of year .....	14,448	16,104	16,137

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	24,920	26,201	25,934
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,902	13,701	13,274
4011	Outlays from discretionary balances .....	11,808	11,338	12,633
4020	Outlays, gross (total) .....	20,710	25,039	25,907
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,065	-2,164	-1,587
4033	Non-Federal sources .....	-237		
4040	Offsets against gross budget authority and outlays (total) ....	-1,302	-2,164	-1,587
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-400		
4052	Offsetting collections credited to expired accounts .....	444		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	10		
4060	Additional offsets against budget authority only (total) .....	54		
4070	Budget authority, net (discretionary) .....	23,672	24,037	24,347
4080	Outlays, net (discretionary) .....	19,408	22,875	24,320
Mandatory:				
4090	Budget authority, gross .....	102	84	59
Outlays, gross:				
4100	Outlays from new mandatory authority .....		40	
4101	Outlays from mandatory balances .....			38
4110	Outlays, gross (total) .....		40	38
4180	Budget authority, net (total) .....	23,774	24,121	24,406
4190	Outlays, net (total) .....	19,408	22,915	24,358

**Summary of Budget Authority and Outlays (in millions of dollars)**

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	23,774	24,121	24,406
Outlays .....	19,408	22,915	24,358
Overseas contingency operations:			
Budget Authority .....			828
Outlays .....			397
Total:			
Budget Authority .....	23,774	24,121	25,234
Outlays .....	19,408	22,915	24,755

**Object Classification (in millions of dollars)**

Identification code 097-0400-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	359	363	352
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	24	25	27
11.8	Special personal services payments .....	89	35	28
11.9	Total personnel compensation .....	474	425	409
12.1	Civilian personnel benefits .....	121	121	119
21.0	Travel and transportation of persons .....	50	46	54
22.0	Transportation of things .....	17	26	26
23.1	Rental payments to GSA .....	25	27	22
23.2	Rental payments to others .....	42	28	18
23.3	Communications, utilities, and miscellaneous charges .....	134	89	132
24.0	Printing and reproduction .....	2		
25.1	Advisory and assistance services .....	3,090	2,517	3,063
25.2	Other services from non-Federal sources .....	123	126	113
25.3	Other goods and services from Federal sources .....	2,572	3,285	1,936
25.3	Other goods and services from Federal sources .....	78	2	91
25.4	Operation and maintenance of facilities .....	89	98	130
25.5	Research and development contracts .....	12,850	15,050	15,634
25.7	Operation and maintenance of equipment .....	343	392	322
26.0	Supplies and materials .....	676	507	644

31.0	Equipment .....	2,009	1,431	1,527
32.0	Land and structures .....	59	2	2
41.0	Grants, subsidies, and contributions .....	136	271	144
42.0	Insurance claims and indemnities .....	6		
43.0	Interest and dividends .....			1
99.0	Direct obligations .....	22,896	24,443	24,387
99.0	Reimbursable obligations .....	1,171	2,292	1,591
99.9	Total new obligations, unexpired accounts .....	24,067	26,735	25,978

**Employment Summary**

Identification code 097-0400-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	3,059	3,122	3,008
2001	Reimbursable civilian full-time equivalent employment .....	179	191	191

**OPERATIONAL TEST AND EVALUATION, DEFENSE**

For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, **[\$381,009,000]** \$221,200,000, to remain available for obligation until September 30, **[2020]** 2021. (Department of Defense Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 097-0460-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0006	Management Support .....	210	354	240
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	25	24	47
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	209	377	221
1900	Budget authority (total) .....	209	377	221
1930	Total budgetary resources available .....	234	401	268
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	24	47	28

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	102	117	185
3010	New obligations, unexpired accounts .....	210	354	240
3011	Obligations ("upward adjustments"), expired accounts .....	9		
3020	Outlays (gross) .....	-200	-286	-277
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	117	185	148
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	102	117	185
3200	Obligated balance, end of year .....	117	185	148

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	209	377	221
Outlays, gross:				
4010	Outlays from new discretionary authority .....	105	188	110
4011	Outlays from discretionary balances .....	95	98	167
4020	Outlays, gross (total) .....	200	286	277
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-6		
4040	Offsets against gross budget authority and outlays (total) ....	-6		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	6		
4060	Additional offsets against budget authority only (total) .....	6		
4070	Budget authority, net (discretionary) .....	209	377	221
4080	Outlays, net (discretionary) .....	194	286	277
4180	Budget authority, net (total) .....	209	377	221
4190	Outlays, net (total) .....	194	286	277

OPERATIONAL TEST AND EVALUATION, DEFENSE—Continued

Object Classification (in millions of dollars)

Identification code 097-0460-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	3	4	4
22.0 Transportation of things .....	1	1	1
25.1 Advisory and assistance services .....	122	74	53
25.2 Other services from non-Federal sources .....		3	2
25.3 Other goods and services from Federal sources .....	70	251	159
25.4 Operation and maintenance of facilities .....		3	3
25.5 Research and development contracts .....	10	12	12
25.7 Operation and maintenance of equipment .....	1	2	2
26.0 Supplies and materials .....		2	2
31.0 Equipment .....	3	2	2
99.9 Total new obligations, unexpired accounts .....	210	354	240

CONTRIBUTIONS FOR RENEWABLE ENERGY IMPACT ASSESSMENTS AND MITIGATION,  
DEFENSE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5753-0-2-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Contributions from Applicants, Renewable Energy Impact Assessments and Mitigation, Defense .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 097-5753-0-2-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Contributions of funds from applicants for renewable energy projects filed with the Secretary of Transportation pursuant to section 44718 of title 49, United States Code. Voluntary contributions received by the Department of Defense are used to conduct studies of potential measures to mitigate the adverse impacts of energy projects on military operations and readiness, or to offset the cost of actual measures undertaken by the Department of Defense to mitigate adverse impacts of approved energy projects on military operations and readiness.

MILITARY CONSTRUCTION

The Military Construction Program provides facilities required for new weapon systems entering the Department's inventory, including aircraft and naval vessels, and other high priority initiatives. The Program continues to realign the global defense posture, improve living and working conditions, reduce operating costs, and increase productivity. Further, the Program supports energy resiliency by replacing or upgrading facilities which are functionally obsolete or can be made more efficient through economical improvements. Also, included in this request are resources required to clean up and dispose of property consistent with the five closure rounds required by the prior Base Realignment and Closure Acts.

Resources presented under the Military Construction title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

Federal Funds

MILITARY CONSTRUCTION, ARMY

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, **[\$1,021,768,000] \$1,453,499,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of this amount, not to exceed **[\$110,068,000] \$125,099,000** shall be available for study, planning, design, architect and engineer services, and host nation support, as authorized by law, unless the Secretary of the Army determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 021-2050-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	565	1,958	1,228
0002 Minor construction .....	22	109	69
0003 Planning .....	179	512	128
0004 Supporting activities .....		3	3
0799 Total direct obligations .....	766	2,582	1,428
0801 Reimbursable program activity .....	5,576	3,658	3,355
0900 Total new obligations, unexpired accounts .....	6,342	6,240	4,783
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,179	3,814	2,490
1010 Unobligated balance transfer to other accts [097-9999] .....	-2		
1011 Unobligated balance transfer from other acct [097-9999] .....	2		
1021 Recoveries of prior year unpaid obligations .....	252		
1033 Recoveries of prior year paid obligations .....	8		
1050 Unobligated balance (total) .....	3,439	3,814	2,490
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,184	1,338	1,453
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4,534	3,578	3,427
1701 Change in uncollected payments, Federal sources .....	1,006		
1750 Spending auth from offsetting collections, disc (total) .....	5,540	3,578	3,427
1900 Budget authority (total) .....	6,724	4,916	4,880
1930 Total budgetary resources available .....	10,163	8,730	7,370
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	3,814	2,490	2,587
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,090	8,225	9,841
3010 New obligations, unexpired accounts .....	6,342	6,240	4,783
3011 Obligations ("upward adjustments"), expired accounts .....	130		
3020 Outlays (gross) .....	-5,813	-4,624	-4,500
3040 Recoveries of prior year unpaid obligations, unexpired .....	-252		
3041 Recoveries of prior year unpaid obligations, expired .....	-272		
3050 Unpaid obligations, end of year .....	8,225	9,841	10,124
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,963	-8,676	-8,676
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,006		
3071 Change in uncollected pymts, Fed sources, expired .....	293		
3090 Uncollected pymts, Fed sources, end of year .....	-8,676	-8,676	-8,676
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	127	-451	1,165
3200 Obligated balance, end of year .....	-451	1,165	1,448
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	6,724	4,916	4,880

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	565	2,545	1,758
4011	Outlays from discretionary balances .....	5,248	2,079	2,742
4020	Outlays, gross (total) .....	5,813	4,624	4,500
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-3,924	-3,578	-3,427
4033	Non-Federal sources .....	-920		
4040	Offsets against gross budget authority and outlays (total) ....	-4,844	-3,578	-3,427
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,006		
4052	Offsetting collections credited to expired accounts .....	302		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	8		
4060	Additional offsets against budget authority only (total) .....	-696		
4070	Budget authority, net (discretionary) .....	1,184	1,338	1,453
4080	Outlays, net (discretionary) .....	969	1,046	1,073
4180	Budget authority, net (total) .....	1,184	1,338	1,453
4190	Outlays, net (total) .....	969	1,046	1,073

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,184	1,338	1,453
Outlays .....	969	1,046	1,073
Overseas contingency operations:			
Budget Authority .....			9,389
Outlays .....			18
Total:			
Budget Authority .....	1,184	1,338	10,842
Outlays .....	969	1,046	1,091

**Object Classification** (in millions of dollars)

Identification code 021-2050-0-1-051	2018 actual	2019 est.	2020 est.
32.0 Direct obligations: Land and structures .....	766	2,581	1,428
99.0 Direct obligations .....	766	2,581	1,428
99.0 Reimbursable obligations .....	5,576	3,659	3,355
99.9 Total new obligations, unexpired accounts .....	6,342	6,240	4,783

**Employment Summary**

Identification code 021-2050-0-1-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,462	1,415	1,415

**MILITARY CONSTRUCTION, NAVY AND MARINE CORPS**

For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy and Marine Corps as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, **[\$2,118,619,000] \$2,805,743,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of this amount, not to exceed **[\$185,542,000] \$167,715,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 017-1205-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	1,610	1,833	2,227
0002 Minor construction .....	25	36	60
0003 Planning .....	159	196	185
0799 Total direct obligations .....	1,794	2,065	2,472
0801 Reimbursable program activity .....	468	640	612

0900	Total new obligations, unexpired accounts .....	2,262	2,705	3,084
	<b>Budgetary resources:</b>			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2,400	2,573	3,061
1010	Unobligated balance transfer to other accts [097-9999] .....	-7		
1011	Unobligated balance transfer from other acct [097-9999] ....	8		
1021	Recoveries of prior year unpaid obligations .....	137		
1050	Unobligated balance (total) .....	2,538	2,573	3,061
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	1,990	2,593	2,806
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	622	600	612
1701	Change in uncollected payments, Federal sources .....	23		
1750	Spending auth from offsetting collections, disc (total) .....	645	600	612
1900	Budget authority (total) .....	2,635	3,193	3,418
1930	Total budgetary resources available .....	5,173	5,766	6,479
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-338		
1941	Unexpired unobligated balance, end of year .....	2,573	3,061	3,395

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3,314	3,316	3,834
3010	New obligations, unexpired accounts .....	2,262	2,705	3,084
3011	Obligations ("upward adjustments"), expired accounts .....	59		
3020	Outlays (gross) .....	-2,146	-2,187	-2,445
3040	Recoveries of prior year unpaid obligations, unexpired .....	-137		
3041	Recoveries of prior year unpaid obligations, expired .....	-36		
3050	Unpaid obligations, end of year .....	3,316	3,834	4,473
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-804	-817	-817
3070	Change in uncollected pymts, Fed sources, unexpired .....	-23		
3071	Change in uncollected pymts, Fed sources, expired .....	10		
3090	Uncollected pymts, Fed sources, end of year .....	-817	-817	-817
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2,510	2,499	3,017
3200	Obligated balance, end of year .....	2,499	3,017	3,656

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	2,635	3,193	3,418
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	267	652	668
4011	Outlays from discretionary balances .....	1,879	1,535	1,777
4020	Outlays, gross (total) .....	2,146	2,187	2,445
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-398	-600	-612
4033	Non-Federal sources .....	-243		
4040	Offsets against gross budget authority and outlays (total) ....	-641	-600	-612
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-23		
4052	Offsetting collections credited to expired accounts .....	19		
4060	Additional offsets against budget authority only (total) .....	-4		
4070	Budget authority, net (discretionary) .....	1,990	2,593	2,806
4080	Outlays, net (discretionary) .....	1,505	1,587	1,833
4180	Budget authority, net (total) .....	1,990	2,593	2,806
4190	Outlays, net (total) .....	1,505	1,587	1,833

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,990	2,593	2,806
Outlays .....	1,505	1,587	1,833
Overseas contingency operations:			
Budget Authority .....			95
Outlays .....			2
Total:			
Budget Authority .....	1,990	2,593	2,901
Outlays .....	1,505	1,587	1,835

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS—Continued

Object Classification (in millions of dollars)

Identification code 017-1205-0-1-051	2018 actual	2019 est.	2020 est.
32.0 Direct obligations: Land and structures .....	1,794	2,065	2,472
99.0 Direct obligations .....	1,794	2,065	2,472
99.0 Reimbursable obligations .....	468	640	612
99.9 Total new obligations, unexpired accounts .....	2,262	2,705	3,084

MILITARY CONSTRUCTION, AIR FORCE

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, **[\$1,440,323,000]** \$2,179,230,000, to remain available until September 30, **[2023]** 2024: *Provided*, That, of this amount, not to exceed **[\$206,577,000]** \$142,148,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Air Force determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 057-3300-0-1-051	2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0001 Major construction .....	859	2,184	2,385
0002 Minor construction .....	20	49	80
0003 Planning .....	190	227	173
0799 Total direct obligations .....	1,069	2,460	2,638
0801 Reimbursable program activity .....		1	
0900 Total new obligations, unexpired accounts .....	1,069	2,461	2,638

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	2,109	3,275	2,808
1011 Unobligated balance transfer from other acct [097-9999] ....	10		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	2,129	3,275	2,808

Budget authority:

1100 Appropriations, discretionary:			
Appropriation .....	2,228	2,024	2,179
1131 Unobligated balance of appropriations permanently reduced .....		-31	
1160 Appropriation, discretionary (total) .....	2,228	1,993	2,179
Spending authority from offsetting collections, discretionary:			
Collected .....		1	
1900 Budget authority (total) .....	2,228	1,994	2,179
1930 Total budgetary resources available .....	4,357	5,269	4,987

Memorandum (non-add) entries:

1940 Unobligated balance expiring .....	-13		
1941 Unexpired unobligated balance, end of year .....	3,275	2,808	2,349

**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	1,699	1,916	3,388
3010 New obligations, unexpired accounts .....	1,069	2,461	2,638
3011 Obligations ("upward adjustments"), expired accounts .....	12		
3020 Outlays (gross) .....	-851	-989	-1,569
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	1,916	3,388	4,457

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	1,699	1,916	3,388
3200 Obligated balance, end of year .....	1,916	3,388	4,457

**Budget authority and outlays, net:**

Discretionary:

4000 Budget authority, gross .....	2,228	1,994	2,179
Outlays, gross:			
4010 Outlays from new discretionary authority .....	67	41	44
4011 Outlays from discretionary balances .....	784	948	1,525
4020 Outlays, gross (total) .....	851	989	1,569

Offsets against gross budget authority and outlays:  
Offsetting collections (collected) from:

4030 Federal sources .....		-1	
4040 Offsets against gross budget authority and outlays (total) ....		-1	
4180 Budget authority, net (total) .....	2,228	1,993	2,179
4190 Outlays, net (total) .....	851	988	1,569

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	2,228	1,993	2,179
Outlays .....	851	988	1,569
Overseas contingency operations:			
Budget Authority .....			315
Outlays .....			6
Total:			
Budget Authority .....	2,228	1,993	2,494
Outlays .....	851	988	1,575

Object Classification (in millions of dollars)

Identification code 057-3300-0-1-051	2018 actual	2019 est.	2020 est.
32.0 Direct obligations: Land and structures .....	1,069	2,460	2,638
99.0 Direct obligations .....	1,069	2,460	2,638
99.0 Reimbursable obligations .....		1	
99.9 Total new obligations, unexpired accounts .....	1,069	2,461	2,638

MILITARY CONSTRUCTION, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, **[\$2,550,728,000]** \$2,504,190,000, to remain available until September 30, **[2023]** 2024: *Provided*, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as the Secretary may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided further*, That, of the amount, not to exceed **[\$192,345,000]** \$252,355,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 097-0500-0-1-051	2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0001 Major construction .....	2,145	2,807	2,660
0002 Minor construction .....	40	73	95
0003 Planning .....	253	245	271
0900 Total new obligations, unexpired accounts (object class 32.0) .....	2,438	3,125	3,026

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	3,477	4,016	3,529
1010 Unobligated balance transfer to other accts [097-9999] ....	-2		
1011 Unobligated balance transfer from other acct [097-9999] ....	34		
1021 Recoveries of prior year unpaid obligations .....	68		
1033 Recoveries of prior year paid obligations .....	4		
1050 Unobligated balance (total) .....	3,581	4,016	3,529

Budget authority:

1100 Appropriations, discretionary:			
Appropriation .....	3,036	2,638	2,504
1121 Appropriations transferred from other acct [097-9999] ....	2		
1160 Appropriation, discretionary (total) .....	3,038	2,638	2,504
1900 Budget authority (total) .....	3,038	2,638	2,504
1930 Total budgetary resources available .....	6,619	6,654	6,033

Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-165	
1941	Unexpired unobligated balance, end of year .....	4,016	3,529 3,007
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4,441	4,600 5,352
3010	New obligations, unexpired accounts .....	2,438	3,125 3,026
3011	Obligations ("upward adjustments"), expired accounts .....	188	
3020	Outlays (gross) .....	-2,279	-2,373 -2,551
3040	Recoveries of prior year unpaid obligations, unexpired .....	-68	
3041	Recoveries of prior year unpaid obligations, expired .....	-120	
3050	Unpaid obligations, end of year .....	4,600	5,352 5,827
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1 -1
3071	Change in uncollected pymts, Fed sources, expired .....	-1	
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1 -1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4,441	4,599 5,351
3200	Obligated balance, end of year .....	4,599	5,351 5,826
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3,038	2,638 2,504
Outlays, gross:			
4010	Outlays from new discretionary authority .....	136	66 63
4011	Outlays from discretionary balances .....	2,143	2,307 2,488
4020	Outlays, gross (total) .....	2,279	2,373 2,551
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	
4033	Non-Federal sources .....	-33	
4040	Offsets against gross budget authority and outlays (total) ...	-34	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	30	
4053	Recoveries of prior year paid obligations, unexpired accounts .....	4	
4060	Additional offsets against budget authority only (total) .....	34	
4070	Budget authority, net (discretionary) .....	3,038	2,638 2,504
4080	Outlays, net (discretionary) .....	2,245	2,373 2,551
4180	Budget authority, net (total) .....	3,038	2,638 2,504
4190	Outlays, net (total) .....	2,245	2,373 2,551

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,038	2,638	2,504
Outlays .....	2,245	2,373	2,551
Overseas contingency operations:			
Budget Authority .....			46
Outlays .....			1
<b>Total:</b>			
Budget Authority .....	3,038	2,638	2,550
Outlays .....	2,245	2,373	2,552

**NORTH ATLANTIC TREATY ORGANIZATION**

**SECURITY INVESTMENT PROGRAM**

For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized by section 2806 of title 10, United States Code, and Military Construction Authorization Acts, **[\$171,064,000]** \$144,040,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0804-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 NATO infrastructure .....	334	171	144
0900 Total new obligations, unexpired accounts (object class 32.0) .....	334	171	144

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	314	219 194
1021	Recoveries of prior year unpaid obligations .....	86	
1050	Unobligated balance (total) .....	400	219 194
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	178	171 144
1131	Unobligated balance of appropriations permanently reduced .....	-25	-25
1160	Appropriation, discretionary (total) .....	153	146 144
1900	Budget authority (total) .....	153	146 144
1930	Total budgetary resources available .....	553	365 338
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	219	194 194
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	605	738 427
3010	New obligations, unexpired accounts .....	334	171 144
3020	Outlays (gross) .....	-115	-482 -394
3040	Recoveries of prior year unpaid obligations, unexpired .....	-86	
3050	Unpaid obligations, end of year .....	738	427 177
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-23	-23 -23
3090	Uncollected pymts, Fed sources, end of year .....	-23	-23 -23
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	582	715 404
3200	Obligated balance, end of year .....	715	404 154
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	153	146 144
Outlays, gross:			
4010	Outlays from new discretionary authority .....	102	66 65
4011	Outlays from discretionary balances .....	13	416 329
4020	Outlays, gross (total) .....	115	482 394
4180	Budget authority, net (total) .....	153	146 144
4190	Outlays, net (total) .....	115	482 394

**MILITARY CONSTRUCTION, ARMY NATIONAL GUARD**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$190,122,000]** \$210,819,000, to remain available until September 30, **[2023]** 2024: *Provided*, That, of the amount, not to exceed **[\$16,622,000]** \$20,469,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Army National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 021-2085-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	331	501	333
0002 Minor construction .....	25	39	26
0003 Planning .....	27	50	37
0900 Total new obligations, unexpired accounts (object class 32.0) .....	383	590	396
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	210	731 343
1021	Recoveries of prior year unpaid obligations .....	65	
1050	Unobligated balance (total) .....	275	731 343
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	853	212 211
1131	Unobligated balance of appropriations permanently reduced .....		-10
1160	Appropriation, discretionary (total) .....	853	202 211

MILITARY CONSTRUCTION, ARMY NATIONAL GUARD—Continued  
Program and Financing—Continued

Identification code 021–2085–0–1–051	2018 actual	2019 est.	2020 est.
1900 Budget authority (total) .....	853	202	211
1930 Total budgetary resources available .....	1,128	933	554
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-14		
1941 Unexpired unobligated balance, end of year .....	731	343	158
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	471	556	673
3010 New obligations, unexpired accounts .....	383	590	396
3011 Obligations ("upward adjustments"), expired accounts .....	23		
3020 Outlays (gross) .....	-247	-473	-474
3040 Recoveries of prior year unpaid obligations, unexpired .....	-65		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	556	673	595
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	471	556	673
3200 Obligated balance, end of year .....	556	673	595
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	853	202	211
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	4	4
4011 Outlays from discretionary balances .....	242	469	470
4020 Outlays, gross (total) .....	247	473	474
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) .....	-12		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	12		
4060 Additional offsets against budget authority only (total) .....	12		
4070 Budget authority, net (discretionary) .....	853	202	211
4080 Outlays, net (discretionary) .....	235	473	474
4180 Budget authority, net (total) .....	853	202	211
4190 Outlays, net (total) .....	235	473	474

MILITARY CONSTRUCTION, AIR NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$129,126,000] \$165,971,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of the amount, not to exceed **[\$18,500,000] \$17,000,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Air National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 057–3830–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	85	234	153
0002 Minor construction .....	13	61	34
0003 Planning .....	15	65	26
0900 Total new obligations, unexpired accounts (object class 32.0) .....	113	360	213
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	193	309	132
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	199	309	132
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	223	183	166

1900 Budget authority (total) .....	223	183	166
1930 Total budgetary resources available .....	422	492	298
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	309	132	85
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	142	165	291
3010 New obligations, unexpired accounts .....	113	360	213
3020 Outlays (gross) .....	-84	-234	-208
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	165	291	296
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	142	165	291
3200 Obligated balance, end of year .....	165	291	296
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	223	183	166
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	3
4011 Outlays from discretionary balances .....	84	230	205
4020 Outlays, gross (total) .....	84	234	208
4180 Budget authority, net (total) .....	223	183	166
4190 Outlays, net (total) .....	84	234	208

MILITARY CONSTRUCTION, ARMY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$64,919,000] \$60,928,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of the amount, not to exceed **[\$5,855,000] \$6,000,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Army Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 021–2086–0–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....	183	94	58
0002 Minor construction .....	22	12	9
0003 Planning .....	11	25	11
0900 Total new obligations, unexpired accounts (object class 32.0) .....	216	131	78
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	170	118	75
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	175	118	75
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	160	88	61
1930 Total budgetary resources available .....	335	206	136
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	118	75	58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	300	346	413
3010 New obligations, unexpired accounts .....	216	131	78
3011 Obligations ("upward adjustments"), expired accounts .....	10		
3020 Outlays (gross) .....	-169	-64	-161
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	346	413	330
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	300	346	413
3200 Obligated balance, end of year .....	346	413	330

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	160	88	61
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	3	2
4011	Outlays from discretionary balances .....	168	61	159
4020	Outlays, gross (total) .....	169	64	161
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	160	88	61
4080	Outlays, net (discretionary) .....	168	64	161
4180	Budget authority, net (total) .....	160	88	61
4190	Outlays, net (total) .....	168	64	161

MILITARY CONSTRUCTION, NAVY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$43,065,000] \$54,955,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of the amount, not to exceed **[\$4,695,000] \$4,780,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. *(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017-1235-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Major construction .....	26	66	39
0002	Minor construction .....	3	32	28
0003	Planning .....	3	18	9
0900	Total new obligations, unexpired accounts (object class 32.0) .....	32	116	76
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	79	143	70
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	80	143	70
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	95	43	55
1930	Total budgetary resources available .....	175	186	125
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	143	70	49
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	69	73	120
3010	New obligations, unexpired accounts .....	32	116	76
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-26	-69	-64
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	73	120	132
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	69	73	120
3200	Obligated balance, end of year .....	73	120	132

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	95	43	55
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	1	1
4011	Outlays from discretionary balances .....	23	68	63
4020	Outlays, gross (total) .....	26	69	64
4180	Budget authority, net (total) .....	95	43	55

4190	Outlays, net (total) .....	26	69	64
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MILITARY CONSTRUCTION, AIR FORCE RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$38,063,000] \$59,750,000**, to remain available until September 30, **[2023] 2024: Provided**, That, of the amount, not to exceed **[\$4,055,000] \$4,604,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Air Force Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor **[ : Provided further**, That, the Chief of the Air Force Reserve shall take immediate action to address unfunded military construction requirements for access control points and security issues at Air Force Reserve facilities]. *(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 057-3730-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Major construction .....	70	120	66
0002	Minor construction .....	6	12	12
0003	Planning .....	75	55	10
0900	Total new obligations, unexpired accounts (object class 32.0) .....	151	187	88
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	71	127	63
1021	Recoveries of prior year unpaid obligations .....	69		
1050	Unobligated balance (total) .....	140	127	63
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	138	123	60
1930	Total budgetary resources available .....	278	250	123
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	127	63	35
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	225	198	275
3010	New obligations, unexpired accounts .....	151	187	88
3020	Outlays (gross) .....	-109	-110	-124
3040	Recoveries of prior year unpaid obligations, unexpired .....	-69		
3050	Unpaid obligations, end of year .....	198	275	239
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	225	198	275
3200	Obligated balance, end of year .....	198	275	239
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	138	123	60
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	1	1
4011	Outlays from discretionary balances .....	107	109	123
4020	Outlays, gross (total) .....	109	110	124
4180	Budget authority, net (total) .....	138	123	60
4190	Outlays, net (total) .....	109	110	124

CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-0391-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	CHEM/DEMIL CONSTRUCTION .....	3		
0900	Total new obligations, unexpired accounts (object class 32.0) .....	3		

CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-0391-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	13	10	10
1930	Total budgetary resources available .....	13	10	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	17	19	9
3010	New obligations, unexpired accounts .....	3		
3020	Outlays (gross) .....	-1	-10	-8
3050	Unpaid obligations, end of year .....	19	9	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	17	19	9
3200	Obligated balance, end of year .....	19	9	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1	10	8
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1	10	8

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT

For deposit into the Department of Defense Base Closure Account, established by section 2906(a) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), **[\$342,000,000]** \$278,526,000, to remain available until expended. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0516-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	392	342	279
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	223	252	252
1021	Recoveries of prior year unpaid obligations .....	29		
1050	Unobligated balance (total) .....	252	252	252
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	310	342	279
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	82		
1900	Budget authority (total) .....	392	342	279
1930	Total budgetary resources available .....	644	594	531
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	252	252	252
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,067	989	955
3010	New obligations, unexpired accounts .....	392	342	279
3020	Outlays (gross) .....	-441	-376	-439
3040	Recoveries of prior year unpaid obligations, unexpired .....	-29		
3050	Unpaid obligations, end of year .....	989	955	795
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,067	989	955
3200	Obligated balance, end of year .....	989	955	795
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4000	Budget authority, gross .....	392	342	279
Outlays, gross:				
4010	Outlays from new discretionary authority .....	392	137	112
4011	Outlays from discretionary balances .....	49	239	327
4020	Outlays, gross (total) .....	441	376	439

Identification code 097-0516-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-82		
4040	Offsets against gross budget authority and outlays (total) ....	-82		
4180	Budget authority, net (total) .....	310	342	279
4190	Outlays, net (total) .....	359	376	439

Object Classification (in millions of dollars)

Identification code 097-0516-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	6	6	6
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	2	3
23.3	Communications, utilities, and miscellaneous charges .....	2		
25.1	Advisory and assistance services .....	10	3	3
25.2	Other services from non-Federal sources .....	10	4	4
25.3	Other goods and services from Federal sources .....	44	29	63
25.3	Other goods and services from Federal sources .....	3	3	3
25.4	Operation and maintenance of facilities .....	176	168	124
31.0	Equipment .....		1	1
32.0	Land and structures .....	132	124	70
41.0	Grants, subsidies, and contributions .....	6		
99.9	Total new obligations, unexpired accounts .....	392	342	279

Employment Summary

Identification code 097-0516-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	49	49	49

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990

Program and Financing (in millions of dollars)

Identification code 097-0510-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0004	Base Closure (IV) .....	3		
0900	Total new obligations, unexpired accounts (object class 25.3) .....	3		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	59	63	70
1021	Recoveries of prior year unpaid obligations .....	7	7	
1050	Unobligated balance (total) .....	66	70	70
1930	Total budgetary resources available .....	66	70	70
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	63	70	70
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	80	57	33
3010	New obligations, unexpired accounts .....	3		
3020	Outlays (gross) .....	-19	-17	-15
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7	-7	
3050	Unpaid obligations, end of year .....	57	33	18
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	4	-14	-14
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18		
3090	Uncollected pymts, Fed sources, end of year .....	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	66	43	19
3200	Obligated balance, end of year .....	43	19	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	19	17	15
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	19	17	15

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 2005

**Program and Financing** (in millions of dollars)

Identification code 097-0512-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Global posture .....	1		
0900 Total new obligations, unexpired accounts (object class 25.3) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	366	401	401
1021 Recoveries of prior year unpaid obligations .....	24		
1033 Recoveries of prior year paid obligations .....	12		
1050 Unobligated balance (total) .....	402	401	401
1930 Total budgetary resources available .....	402	401	401
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	401	401	401
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	258	203	116
3010 New obligations, unexpired accounts .....	1		
3020 Outlays (gross) .....	-32	-87	-55
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24		
3050 Unpaid obligations, end of year .....	203	116	61
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	257	202	115
3200 Obligated balance, end of year .....	202	115	60
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	32	87	55
4020 Outlays, gross (total) .....	32		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-12		
4040 Offsets against gross budget authority and outlays (total) ....	-12		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	12		
4060 Additional offsets against budget authority only (total) .....	12		
4080 Outlays, net (discretionary) .....	20	87	55
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	20	87	55

FOREIGN CURRENCY FLUCTUATIONS, CONSTRUCTION

**Program and Financing** (in millions of dollars)

Identification code 097-0803-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	30	31	31
1010 Unobligated balance transfer to other accts [097-9999] .....	-161		
1012 Unobligated balance transfers between expired and unexpired accounts .....	162		
1050 Unobligated balance (total) .....	31	31	31
1930 Total budgetary resources available .....	31	31	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	31	31
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**FAMILY HOUSING**

The Family Housing Program funds construction, improvements, operations, maintenance, utilities, privatization, and leasing of all military family

housing. The Program supports quality of life enhancements and initiatives to reduce operating costs and conserve energy by upgrading or replacing facilities.

The Family Housing Improvement Fund (FHIF) and the Military Unaccompanied Housing Improvement Fund (MUHIF) finance the use of authorities authorized in the National Defense Authorization Act for Fiscal Year 1996 (Public Law 104-106) to support of the Military Housing Privatization Initiative (MHPI). Funds which are required to support the MHPI are transferred from the military departments' family housing construction accounts into the FHIF and from the military departments' construction accounts into the MUHIF.

Resources presented under the Family Housing title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

**Federal Funds**

**FAMILY HOUSING CONSTRUCTION, ARMY**

For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$330,660,000] \$141,372,000**, to remain available until September 30, **[2023] 2024. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)**

**Program and Financing** (in millions of dollars)

Identification code 021-0720-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 New Construction .....	24	326	127
0003 Construction Improvements .....		54	36
0004 Planning and design .....	15	33	14
0799 Total direct obligations .....	39	413	
0900 Total new obligations, unexpired accounts (object class 32.0) .....	39	413	177
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	59	185	103
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	183	331	141
1131 Unobligated balance of appropriations permanently reduced .....	-18		
1160 Appropriation, discretionary (total) .....	165	331	141
1900 Budget authority (total) .....	165	331	141
1930 Total budgetary resources available .....	224	516	244
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	185	103	67
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	309	242	537
3010 New obligations, unexpired accounts .....	39	413	177
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-105	-118	-149
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	242	537	565
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	309	242	537
3200 Obligated balance, end of year .....	242	537	565
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross .....	165	331	141
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	7	3
4011 Outlays from discretionary balances .....	103	111	146
4020 Outlays, gross (total) .....	105	118	149
4180 Budget authority, net (total) .....	165	331	141
4190 Outlays, net (total) .....	105	118	149

**FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY**

For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$376,509,000] \$357,907,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 021-0725-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	39	58	56
0006 Operation .....	85	63	74
0007 Leasing .....	130	161	129
0008 Maintenance .....	90	76	81
0012 Housing Privatization Support .....	18	19	19
0799 Total direct obligations .....	362	377	359
0801 Reimbursable program activity .....	4	15	15
0900 Total new obligations, unexpired accounts .....	366	392	374

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1011 Unobligated balance transfer from other acct [097-9999] .....	47		
1050 Unobligated balance (total) .....	47	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	349	377	358
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	15	15
1900 Budget authority (total) .....	353	392	373
1930 Total budgetary resources available .....	400	393	374
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-33		
1941 Unexpired unobligated balance, end of year .....	1	1	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	324	316	306
3010 New obligations, unexpired accounts .....	366	392	374
3011 Obligations ("upward adjustments"), expired accounts .....	17		
3020 Outlays (gross) .....	-338	-402	-423
3041 Recoveries of prior year unpaid obligations, expired .....	-53		
3050 Unpaid obligations, end of year .....	316	306	257
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-7	-7
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	313	309	299
3200 Obligated balance, end of year .....	309	299	250

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	353	392	373
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	225	241	230
4011 Outlays from discretionary balances .....	113	161	193
4020 Outlays, gross (total) .....	338	402	423
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-15	-15
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-4	-15	-15
4070 Budget authority, net (discretionary) .....	349	377	358
4080 Outlays, net (discretionary) .....	334	387	408
4180 Budget authority, net (total) .....	349	377	358
4190 Outlays, net (total) .....	334	387	408

**Object Classification** (in millions of dollars)

Identification code 021-0725-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	20	23	22
11.3 Other than full-time permanent .....	2	2	3

11.5 Other personnel compensation .....	1		1
11.9 Total personnel compensation .....	23	25	26
12.1 Civilian personnel benefits .....	8	10	10
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	4	3	3
23.1 Rental payments to GSA .....	2	1	1
23.2 Rental payments to others .....	74	96	95
23.3 Communications, utilities, and miscellaneous charges .....	49	35	35
25.1 Advisory and assistance services .....	3	5	5
25.2 Other services from non-Federal sources .....	25	17	19
25.3 Other goods and services from Federal sources .....	38		
25.3 Other goods and services from Federal sources .....	8	12	13
25.3 Other goods and services from Federal sources .....	12	58	58
25.4 Operation and maintenance of facilities .....	70	106	83
25.7 Operation and maintenance of equipment .....	4	6	7
26.0 Supplies and materials .....	13		1
31.0 Equipment .....	18	1	1
32.0 Land and structures .....	9		
99.0 Direct obligations .....	362	377	359
99.0 Reimbursable obligations .....	4	15	15
99.9 Total new obligations, unexpired accounts .....	366	392	374

**Employment Summary**

Identification code 021-0725-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	396	363	362

**FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS**

For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$104,581,000] \$47,661,000,** to remain available until September 30, **[2023] 2024.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-0730-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 New Construction .....		85	27
0003 Construction Improvements .....	8	42	39
0004 Planning and design .....	3	32	32
0900 Total new obligations, unexpired accounts (object class 32.0) .....	11	159	98

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	130	196	140
1011 Unobligated balance transfer from other acct [097-9999] .....	6		
1050 Unobligated balance (total) .....	136	196	140
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	84	105	48
1131 Unobligated balance of appropriations permanently reduced .....		-2	
1160 Appropriation, discretionary (total) .....	84	103	48
1930 Total budgetary resources available .....	220	299	188
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-13		
1941 Unexpired unobligated balance, end of year .....	196	140	90

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	20	94
3010 New obligations, unexpired accounts .....	11	159	98
3020 Outlays (gross) .....	-28	-85	-80
3050 Unpaid obligations, end of year .....	20	94	112
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	37	20	94
3200 Obligated balance, end of year .....	20	94	112

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	84	103	48
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		2	1

4011	Outlays from discretionary balances .....	28	83	79
4020	Outlays, gross (total) .....	28	85	80
4180	Budget authority, net (total) .....	84	103	48
4190	Outlays, net (total) .....	28	85	80

**FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS**

For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$314,536,000]** \$317,870,000. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-0735-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0005	Utilities .....	54	60	63
0006	Operation .....	89	83	86
0007	Leasing .....	58	63	64
0008	Maintenance .....	112	86	83
0012	Housing Privatization Support .....	24	22	22
0799	Total direct obligations .....	337	314	318
0801	Reimbursable program activity .....	7	18	18
0900	Total new obligations, unexpired accounts .....	344	332	336
<b>Budgetary resources:</b>				
Unobligated balance:				
1011	Unobligated balance transfer from other acct [097-9999] ...	22		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	328	314	318
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	9	18	18
1900	Budget authority (total) .....	337	332	336
1930	Total budgetary resources available .....	359	332	336
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-15		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	162	198	216
3010	New obligations, unexpired accounts .....	344	332	336
3011	Obligations ("upward adjustments"), expired accounts .....	12		
3020	Outlays (gross) .....	-305	-314	-367
3041	Recoveries of prior year unpaid obligations, expired .....	-15		
3050	Unpaid obligations, end of year .....	198	216	185
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-2	-2
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	158	196	214
3200	Obligated balance, end of year .....	196	214	183
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	337	332	336
Outlays, gross:				
4010	Outlays from new discretionary authority .....	194	209	211
4011	Outlays from discretionary balances .....	111	105	156
4020	Outlays, gross (total) .....	305	314	367
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-18	-18
4033	Non-Federal sources .....	-10		
4040	Offsets against gross budget authority and outlays (total) ...	-15	-18	-18
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	6		
4060	Additional offsets against budget authority only (total) .....	6		
4070	Budget authority, net (discretionary) .....	328	314	318
4080	Outlays, net (discretionary) .....	290	296	349
4180	Budget authority, net (total) .....	328	314	318
4190	Outlays, net (total) .....	290	296	349

**Object Classification** (in millions of dollars)

Identification code 017-0735-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	28	31	28
11.3	Other than full-time permanent .....	4	4	4
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	33	35	32
12.1	Civilian personnel benefits .....	11	13	13
21.0	Travel and transportation of persons .....	1	1	1
22.0	Transportation of things .....	1	1	1
23.2	Rental payments to others .....	32	35	36
23.3	Communications, utilities, and miscellaneous charges .....	14	16	77
25.1	Advisory and assistance services .....	15	13	14
25.2	Other services from non-Federal sources .....	21	10	9
25.3	Other goods and services from Federal sources .....	16	11	12
25.3	Other goods and services from Federal sources .....	2	3	3
25.3	Other goods and services from Federal sources .....	64	67	
25.4	Operation and maintenance of facilities .....	114	94	88
25.4	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	2	2	17
31.0	Equipment .....	10	12	14
99.0	Direct obligations .....	337	314	318
99.0	Reimbursable obligations .....	7	18	18
99.9	Total new obligations, unexpired accounts .....	344	332	336

**Employment Summary**

Identification code 017-0735-0-1-051	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	519	558	529

**FAMILY HOUSING CONSTRUCTION, AIR FORCE**

For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$78,446,000]** \$103,631,000, to remain available until September 30, **[2023]** 2024. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-0740-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	New Construction .....	6	36	
0003	Construction Improvements .....	67	119	76
0004	Planning and design .....	3	5	4
0900	Total new obligations, unexpired accounts (object class 32.0) .....	70	130	116
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	339	351	299
1011	Unobligated balance transfer from other acct [097-9999] ...	41		
1050	Unobligated balance (total) .....	380	351	299
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	85	78	104
1900	Budget authority (total) .....	85	78	104
1930	Total budgetary resources available .....	465	429	403
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-44		
1941	Unexpired unobligated balance, end of year .....	351	299	287
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	92	147	187
3010	New obligations, unexpired accounts .....	70	130	116
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-16	-90	-91
3050	Unpaid obligations, end of year .....	147	187	212
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	92	147	187
3200	Obligated balance, end of year .....	147	187	212

FAMILY HOUSING CONSTRUCTION, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 057-0740-0-1-051	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	85	78	104
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
4011 Outlays from discretionary balances .....	16	88	89
4020 Outlays, gross (total) .....	16	90	91
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	85	78	104
4080 Outlays, net (discretionary) .....	14	90	91
4180 Budget authority, net (total) .....	85	78	104
4190 Outlays, net (total) .....	14	90	91

4011 Outlays from discretionary balances .....	153	163	168
4020 Outlays, gross (total) .....	280	296	292
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-6	-6
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-3	-6	-6
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	318	317	295
4080 Outlays, net (discretionary) .....	277	290	286
4180 Budget authority, net (total) .....	318	317	295
4190 Outlays, net (total) .....	277	290	286

Object Classification (in millions of dollars)

Identification code 057-0745-0-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	39	32	33
11.3 Other than full-time permanent .....		2	2
11.5 Other personnel compensation .....	1	4	4
11.9 Total personnel compensation .....	40	38	39
12.1 Civilian personnel benefits .....	10	10	10
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	9	16	15
23.3 Communications, utilities, and miscellaneous charges .....	35	48	42
25.1 Advisory and assistance services .....	4	18	19
25.2 Other services from non-Federal sources .....	16	4	4
25.3 Other goods and services from Federal sources .....	5		
25.3 Other goods and services from Federal sources .....		3	3
25.4 Operation and maintenance of facilities .....	110	103	109
25.7 Operation and maintenance of equipment .....	4	10	10
26.0 Supplies and materials .....	19	10	10
31.0 Equipment .....	3	2	2
32.0 Land and structures .....	59	52	29
99.0 Direct obligations .....	317	317	295
99.0 Reimbursable obligations .....	1	6	6
99.9 Total new obligations, unexpired accounts .....	318	323	301

Employment Summary

Identification code 057-0745-0-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	681	681	689

FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE

For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, **[\$58,373,000] \$57,000,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0765-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	4	4	4
0006 Operation .....	1	1	1
0007 Leasing .....	52	51	52
0008 Maintenance .....	1	2	
0900 Total new obligations, unexpired accounts .....	58	58	57
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	59	58	57
1930 Total budgetary resources available .....	59	58	57
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE

For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$317,274,000] \$295,016,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 057-0745-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Utilities .....	35	49	43
0006 Operation .....	85	101	96
0007 Leasing .....	8	16	16
0008 Maintenance .....	141	129	117
0012 Housing Privatization support .....	48	22	23
0799 Total direct obligations .....	317	317	295
0801 Reimbursable program activity .....	1	6	6
0900 Total new obligations, unexpired accounts .....	318	323	301

Budgetary resources:

Unobligated balance:			
1011 Unobligated balance transfer from other acct [097-9999] ...	4		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	318	317	295
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	6	6
1900 Budget authority (total) .....	319	323	301
1930 Total budgetary resources available .....	323	323	301
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	259	304	331
3010 New obligations, unexpired accounts .....	318	323	301
3011 Obligations ("upward adjustments"), expired accounts .....	40		
3020 Outlays (gross) .....	-280	-296	-292
3041 Recoveries of prior year unpaid obligations, expired .....	-33		
3050 Unpaid obligations, end of year .....	304	331	340
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	259	304	331
3200 Obligated balance, end of year .....	304	331	340

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	319	323	301
Outlays, gross:			
4010 Outlays from new discretionary authority .....	127	133	124

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	14	18	26
3010	New obligations, unexpired accounts .....	58	58	57
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-51	-50	-55
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	18	26	28
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	14	18	26
3200	Obligated balance, end of year .....	18	26	28

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	59	58	57
Outlays, gross:				
4010	Outlays from new discretionary authority .....	42	41	40
4011	Outlays from discretionary balances .....	9	9	15
4020	Outlays, gross (total) .....	51	50	55
4180	Budget authority, net (total) .....	59	58	57
4190	Outlays, net (total) .....	51	50	55

**Object Classification (in millions of dollars)**

Identification code 097-0765-0-1-051	2018 actual	2019 est.	2020 est.	
Direct obligations:				
23.2	Rental payments to others .....	37	40	41
23.3	Communications, utilities, and miscellaneous charges .....	5	4	4
25.3	Other goods and services from Federal sources .....	3	14	12
25.4	Operation and maintenance of facilities .....	2		
25.7	Operation and maintenance of equipment .....	11		
99.9	Total new obligations, unexpired accounts .....	58	58	57

**HOMEOWNERS ASSISTANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 097-4090-0-3-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	2		
0900	Total new obligations, unexpired accounts (object class 41.0) .....	2		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	66	67	52
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	67	67	52
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced .....		-15	
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2		
1900	Budget authority (total) .....	2	-15	
1930	Total budgetary resources available .....	69	52	52
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	67	52	52
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		
3010	New obligations, unexpired accounts .....	2		
3020	Outlays (gross) .....	-2		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2	-15	
Outlays, gross:				
4011	Outlays from discretionary balances .....	2		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-2		
4180	Budget authority, net (total) .....		-15	

4190	Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	19	19	19
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	19	19	19

The Homeowners Assistance Fund finances a program which provides assistance to eligible homeowners by mitigating losses incident to the disposal of a primary residence.

**DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND**

For the Department of Defense Family Housing Improvement Fund, **[\$1,653,000]** \$3,045,000, to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military family housing and supporting facilities. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 097-0834-0-1-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0705	Reestimates of direct loan subsidy .....	21	25	
0706	Interest on reestimates of direct loan subsidy .....	13	14	
0709	Administrative expenses .....	2	2	2
0791	Direct program activities, subtotal .....	36	41	2
0900	Total new obligations, unexpired accounts .....	36	41	2
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	18	18	18
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	18	18	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3	2	3
Appropriations, mandatory:				
1200	Appropriation .....	33	39	
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-1		
1900	Budget authority (total) .....	36	41	3
1930	Total budgetary resources available .....	54	59	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	18	18	19
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	64	43	10
3010	New obligations, unexpired accounts .....	36	41	2
3020	Outlays (gross) .....	-57	-74	-12
3050	Unpaid obligations, end of year .....	43	10	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	64	43	10
3200	Obligated balance, end of year .....	43	10	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3	2	3
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	1	2
4011	Outlays from discretionary balances .....	22	49	2
4020	Outlays, gross (total) .....	24	50	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-1		
Mandatory:				
4090	Budget authority, gross .....	33	39	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	33	24	
4101	Outlays from mandatory balances .....			8
4110	Outlays, gross (total) .....	33	24	8
4180	Budget authority, net (total) .....	35	41	3

DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND—Continued  
Program and Financing—Continued

Identification code 097-0834-0-1-051	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....	56	74	12
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavalaible balance, SOY: Offsetting collections .....	20	21	21
5092 Unexpired unavalaible balance, EOY: Offsetting collections .....	21	21	21

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 097-0834-0-1-051	2018 actual	2019 est.	2020 est.
Direct loan subsidy outlays:			
134001 Family Housing Improvement Fund Direct Loans .....	18	9	9
Direct loan reestimates:			
135001 Family Housing Improvement Fund Direct Loans .....	-15	-13	.....
Guaranteed loan subsidy outlays:			
234001 Family Housing Improvement Fund Guaranteed Loans .....	3	.....	.....
Guaranteed loan reestimates:			
235001 Family Housing Improvement Fund Guaranteed Loans .....	-20	-11	.....
Administrative expense data:			
3510 Budget authority .....	3	2	2
3590 Outlays from new authority .....	3	2	2

Object Classification (in millions of dollars)

Identification code 097-0834-0-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	33	39	.....
99.9 Total new obligations, unexpired accounts .....	36	41	2

FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 097-4166-0-3-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	66	68	68
0742 Downward reestimates paid to receipt accounts .....	41	32	.....
0743 Interest on downward reestimates .....	6	19	.....
0900 Total new obligations, unexpired accounts .....	113	119	68
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	5
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	55	52	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	134	131	94
1801 Change in uncollected payments, Federal sources .....	-18	-9	-8
1825 Spending authority from offsetting collections applied to repay debt .....	-58	-50	-22
1850 Spending auth from offsetting collections, mand (total) .....	58	72	64
1900 Budget authority (total) .....	113	124	64
1930 Total budgetary resources available .....	113	124	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	5	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	228	152	158
3010 New obligations, unexpired accounts .....	113	119	68
3020 Outlays (gross) .....	-189	-113	-113
3050 Unpaid obligations, end of year .....	152	158	113
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-53	-35	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	18	9	8
3090 Uncollected pymts, Fed sources, end of year .....	-35	-26	-18

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	175	117	132
3200 Obligated balance, end of year .....	117	132	95

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	113	124	64
Financing disbursements:			
4110 Outlays, gross (total) .....	189	113	113
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-51	-9	-8
4120 Federal sources .....	.....	-25	.....
4120 Federal sources .....	.....	-14	.....
4122 Interest on uninvested funds .....	-2	.....	.....
4123 Non-Federal sources .....	-80	-24	-25
4123 Non-Federal sources .....	-1	-59	-61
4130 Offsets against gross budget authority and outlays (total) ....	-134	-131	-94
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	18	9	8
4160 Budget authority, net (mandatory) .....	-3	2	-22
4170 Outlays, net (mandatory) .....	55	-18	19
4180 Budget authority, net (total) .....	-3	2	-22
4190 Outlays, net (total) .....	55	-18	19

Status of Direct Loans (in millions of dollars)

Identification code 097-4166-0-3-051	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,704	1,760	1,777
1231 Disbursements: Direct loan disbursements .....	76	46	32
1251 Repayments: Repayments and prepayments .....	-20	-24	-25
1263 Write-offs for default: Direct loans .....	.....	-5	-6
1290 Outstanding, end of year .....	1,760	1,777	1,778

Balance Sheet (in millions of dollars)

Identification code 097-4166-0-3-051	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1106 Federal Assets: Receivables, net .....	37	44
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,704	1,760
1405 Allowance for subsidy cost (-) .....	-60	-62
1499 Net present value of assets related to direct loans .....	1,644	1,698
1999 Total assets .....	1,681	1,742
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	1,630	1,686
2105 Other-Downward reestimate payables .....	51	56
2999 Total liabilities .....	1,681	1,742
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	1,681	1,742

FAMILY HOUSING IMPROVEMENT GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 097-4167-0-3-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	.....	16	16
0715 Other credit program .....	1	.....	.....
0742 Downward reestimates paid to receipt accounts .....	16	9	.....
0743 Interest on downward reestimates .....	3	2	.....
0900 Total new obligations, unexpired accounts .....	20	27	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	85	69	51

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4	9
1930	Total budgetary resources available .....	89	78
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	69	51
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		11
3010	New obligations, unexpired accounts .....	20	27
3020	Outlays (gross) .....	-20	-16
3050	Unpaid obligations, end of year .....		11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		11
3200	Obligated balance, end of year .....		11
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	4	9
Financing disbursements:			
4110	Outlays, gross (total) .....	20	16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-2	
4122	Interest on uninvested funds .....	-2	-1
4123	Non-Federal sources .....		-8
4130	Offsets against gross budget authority and outlays (total) ....	-4	-9
4170	Outlays, net (mandatory) .....	16	7
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	16	7

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 097-4167-0-3-051			
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....		
2150	Total guaranteed loan commitments .....		
2199	Guaranteed amount of guaranteed loan commitments .....		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	960	973
2231	Disbursements of new guaranteed loans .....	41	14
2251	Repayments and prepayments .....	-12	-14
Adjustments:			
2263	Terminations for default that result in claim payments .....	-16	-16
2264	Other adjustments, net .....		
2290	Outstanding, end of year .....	973	957
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	973	957

**Balance Sheet** (in millions of dollars)

Identification code 097-4167-0-3-051		
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury .....	85
Investments in U.S. securities:		
1106	Receivables, net .....	
1999	Total assets .....	85
LIABILITIES:		
2105	Federal liabilities: Other: Downward reestimate payables .....	20
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	65
2999	Total liabilities .....	85
NET POSITION:		
3300	Cumulative results of operations .....	
4999	Total liabilities and net position .....	85

**MILITARY UNACCOMPANIED HOUSING IMPROVEMENT FUND**

For the Department of Defense Military Unaccompanied Housing Improvement Fund, **[\$600,000] \$500,000**, to remain available until expended, for unaccompanied housing initiatives undertaken pursuant to section 2883 of title 10, United States

Code, providing alternative means of acquiring and improving military unaccompanied housing and supporting facilities. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 097-0836-0-1-051			
2018 actual			
2019 est.			
2020 est.			
<b>Obligations by program activity:</b>			
Credit program obligations:			
0709	Administrative expenses .....		1
0900	Total new obligations, unexpired accounts (object class 25.2) .....		1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1	1
1930	Total budgetary resources available .....	1	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	New obligations, unexpired accounts .....		1
3050	Unpaid obligations, end of year .....		2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....		2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....		

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 097-0836-0-1-051			
2018 actual			
2019 est.			
2020 est.			
Administrative expense data:			
3510	Budget authority .....		1

**REVOLVING AND MANAGEMENT FUNDS**

Resources presented under the Revolving and Management Funds title support logistics and other infrastructure activities under the authority of 10 U.S.C. 2208 and other sections to accept customer reimbursable orders to meet customer needs. The activities include depot maintenance, supply management, distribution depots, transportation services, Navy research and development, finance and accounting services, information systems and telecommunications services, and commissaries, among others.

**Federal Funds**

**NATIONAL DEFENSE STOCKPILE TRANSACTION FUND**

**Program and Financing** (in millions of dollars)

Identification code 097-4555-0-3-051			
2018 actual			
2019 est.			
2020 est.			
<b>Obligations by program activity:</b>			
0801	Acquisition, Upgrade, and Relocation .....	11	23
0804	Civilian Pay and Benefits .....	44	44
0900	Total new obligations, unexpired accounts .....	55	67
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	219	225
1021	Recoveries of prior year unpaid obligations .....	2	
1050	Unobligated balance (total) .....	221	225

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND—Continued  
Program and Financing—Continued

Identification code 097-4555-0-3-051	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	66	64	64
1802 Offsetting collections (previously unavailable) .....	19	26	25
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-26	-25	.....
1850 Spending auth from offsetting collections, mand (total) .....	59	65	89
1900 Budget authority (total) .....	59	65	89
1930 Total budgetary resources available .....	280	290	312
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	225	223	205
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	36	44	48
3010 New obligations, unexpired accounts .....	55	67	107
3020 Outlays (gross) .....	-45	-63	-109
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	44	48	46
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	36	44	48
3200 Obligated balance, end of year .....	44	48	46
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	59	65	89
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	27	44
4101 Outlays from mandatory balances .....	45	36	65
4110 Outlays, gross (total) .....	45	63	109
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-66	-64	-64
4180 Budget authority, net (total) .....	-7	1	25
4190 Outlays, net (total) .....	-21	-1	45
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	19	26	25
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	26	25	.....

The National Defense Stockpile program is managed under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude U.S. dependence on foreign sources for supplies of strategic and critical materials in times of national emergency.

Revenues from the sales of excess commodities are either deposited into the National Defense Stockpile Transaction Fund to finance the National Defense Stockpile program or are transferred to the Treasury for specific congressionally-mandated programs or to reduce the deficit.

**Object Classification** (in millions of dollars)

Identification code 097-4555-0-3-051	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	5	5	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	6	6	7
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	.....	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	8	10	12
25.2 Other services from non-Federal sources .....	25	21	30
25.3 Other goods and services from Federal sources .....	.....	1	1
25.4 Operation and maintenance of facilities .....	2	1	1
26.0 Supplies and materials .....	11	24	52
99.9 Total new obligations, unexpired accounts .....	55	67	107

**Employment Summary**

Identification code 097-4555-0-3-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	63	72	72

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

**Program and Financing** (in millions of dollars)

Identification code 097-4950-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Operations .....	334	345	340
0803 Cyberspace .....	239	240	243
0805 Pentagon Force Protection Agency .....	3	4	7
0807 Pentagon Force Protection Agency - Capital Program .....	12	12	8
0900 Total new obligations, unexpired accounts .....	588	601	598
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	126	127
1021 Recoveries of prior year unpaid obligations .....	58	.....	.....
1050 Unobligated balance (total) .....	108	126	127
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	543	602	602
1801 Change in uncollected payments, Federal sources .....	63	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	606	602	602
1900 Budget authority (total) .....	606	602	602
1930 Total budgetary resources available .....	714	728	729
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	126	127	131
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,325	1,309	1,173
3010 New obligations, unexpired accounts .....	588	601	598
3020 Outlays (gross) .....	-546	-737	-623
3040 Recoveries of prior year unpaid obligations, unexpired .....	-58	.....	.....
3050 Unpaid obligations, end of year .....	1,309	1,173	1,148
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-971	-1,034	-1,034
3070 Change in uncollected pymts, Fed sources, unexpired .....	-63	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,034	-1,034	-1,034
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	354	275	139
3200 Obligated balance, end of year .....	275	139	114
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	606	602	602
Outlays, gross:			
4100 Outlays from new mandatory authority .....	331	150	90
4101 Outlays from mandatory balances .....	215	587	533
4110 Outlays, gross (total) .....	546	737	623
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-543	-602	-602
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-63	.....	.....
4170 Outlays, net (mandatory) .....	3	135	21
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	3	135	21

The Pentagon Reservation Maintenance Revolving Fund was established by the 1991 National Defense Authorization Act and is codified in 10 U.S.C. 2674. It provides for space, building services, deep underground relocation capability, and force protection for Department of Defense Components, including Military Departments and other activities located within the Pentagon Reservation. The Pentagon Reservation includes the Pentagon building and adjacent facilities, the Mark Center, and the Raven Rock Mountain Complex.

**Object Classification** (in millions of dollars)

Identification code 097-4950-0-4-051	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	154	167	162
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	16	7	10
11.9 Total personnel compensation .....	171	174	172
12.1 Civilian personnel benefits .....	57	50	53
21.0 Travel and transportation of persons .....	2	1	1
22.0 Transportation of things .....			4
23.1 Rental payments to GSA .....		4	1
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous charges .....	36	44	44
25.1 Advisory and assistance services .....	84	66	78
25.2 Other services from non-Federal sources .....	31	30	31
25.3 Other goods and services from Federal sources .....	10	9	10
25.3 Other goods and services from Federal sources .....	4	6	
25.4 Operation and maintenance of facilities .....	88	142	142
25.7 Operation and maintenance of equipment .....	16	27	26
26.0 Supplies and materials .....	12	18	19
31.0 Equipment .....	48	28	17
32.0 Land and structures .....	27	2	
99.9 Total new obligations, unexpired accounts .....	588	601	598

**Employment Summary**

Identification code 097-4950-0-4-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,598	1,792	1,686

**NATIONAL DEFENSE SEALIFT FUND**

**Program and Financing** (in millions of dollars)

Identification code 017-4557-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Construction and Conversion .....	2		
0002 Operations, Maintenance and Lease .....	220		
0004 Research and Development .....	4		
0799 Total direct obligations .....	226		
0801 Reimbursable program activity .....	2		
0899 Total reimbursable obligations .....	2		
0900 Total new obligations, unexpired accounts .....	228		

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	38	80	80
1021 Recoveries of prior year unpaid obligations .....	49		
1050 Unobligated balance (total) .....	87	80	80
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [097-9999] .....	201		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	36		
1701 Change in uncollected payments, Federal sources .....	-16		
1750 Spending auth from offsetting collections, disc (total) .....	20		
1900 Budget authority (total) .....	221		
1930 Total budgetary resources available .....	308	80	80
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	80	80	80

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	143	139	82
3010 New obligations, unexpired accounts .....	228		
3020 Outlays (gross) .....	-183	-57	-30
3040 Recoveries of prior year unpaid obligations, unexpired .....	-49		
3050 Unpaid obligations, end of year .....	139	82	52
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-123	-107	-107
3070 Change in uncollected pymts, Fed sources, unexpired .....	16		
3090 Uncollected pymts, Fed sources, end of year .....	-107	-107	-107

**Memorandum (non-add) entries:**

3100 Obligated balance, start of year .....	20	32	-25
3200 Obligated balance, end of year .....	32	-25	-55

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	221		
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	183	57	30
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-36		
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	16		
4070 Budget authority, net (discretionary) .....	201		
4080 Outlays, net (discretionary) .....	147	57	30
4180 Budget authority, net (total) .....	201		
4190 Outlays, net (total) .....	147	57	30

**Object Classification** (in millions of dollars)

Identification code 017-4557-0-4-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	2		
25.2 Other services from non-Federal sources .....	3		
25.3 Other goods and services from Federal sources .....	209		
26.0 Supplies and materials .....	1		
31.0 Equipment .....	11		
99.0 Direct obligations .....	226		
25.3 Reimbursable obligations: Other goods and services from Federal sources .....	2		
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations, unexpired accounts .....	228		

**DEFENSE WORKING CAPITAL FUNDS**

For the Defense Working Capital Funds, **[\$1,641,115,000]** \$1,226,211,000.  
(Department of Defense Appropriations Act, 2019.)

**WORKING CAPITAL FUND, ARMY**

**Program and Financing** (in millions of dollars)

Identification code 097-493001-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Industrial Operations .....	142	158	58
0004 Supply Management - Army .....	128	106	32
0799 Total direct obligations .....	270	264	90
0801 Industrial Operations .....	5,198	4,628	4,782
0804 Supply Management - Army .....	8,144	8,576	8,558
0809 Reimbursable program activities, subtotal .....	13,342	13,204	13,340
0811 Industrial Operations - Capital Program .....	95	79	64
0814 Supply Management - Army - Capital Program .....	26	58	22
0819 Reimbursable program activities, subtotal .....	121	137	86
0899 Total reimbursable obligations .....	13,463	13,341	13,426
0900 Total new obligations, unexpired accounts .....	13,733	13,605	13,516

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3,674	3,464	4,480
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,674	3,464	
1021 Recoveries of prior year unpaid obligations .....	1,050		
1025 Unobligated balance of contract authority withdrawn .....	-471		
1050 Unobligated balance (total) .....	4,253	3,464	4,480
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,844	1,656	1,427
1120 Appropriations transferred to other acct [097-9999] .....	-1,611	-1,392	-1,337
1160 Appropriation, discretionary (total) .....	233	264	90
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	8,265		

WORKING CAPITAL FUND, ARMY—Continued  
Program and Financing—Continued

Identification code 097-493001-0-4-051	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11,146	14,357	14,004
1701 Change in uncollected payments, Federal sources .....	767		
1750 Spending auth from offsetting collections, disc (total) .....	11,913	14,357	14,004
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-7,467		
1900 Budget authority (total) .....	12,944	14,621	14,094
1930 Total budgetary resources available .....	17,197	18,085	18,574
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,464	4,480	5,058
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,131	7,973	7,157
3010 New obligations, unexpired accounts .....	13,733	13,605	13,516
3020 Outlays (gross) .....	-10,841	-14,421	-14,644
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1,050		
3050 Unpaid obligations, end of year .....	7,973	7,157	6,029
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5,708	-6,475	-6,475
3070 Change in uncollected pymts, Fed sources, unexpired .....	-767		
3090 Uncollected pymts, Fed sources, end of year .....	-6,475	-6,475	-6,475
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	423	1,498	682
3200 Obligated balance, end of year .....	1,498	682	-446
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12,146	14,621	14,094
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,320	10,274	8,478
4011 Outlays from discretionary balances .....	1,521	4,147	6,166
4020 Outlays, gross (total) .....	10,841	14,421	14,644
Offsets against gross budget authority and outlays:			
Offsets collections (collected) from:			
4030 Federal sources .....	-11,082	-13,651	-13,226
4033 Non-Federal sources .....	-64	-706	-778
4040 Offsets against gross budget authority and outlays (total) ....	-11,146	-14,357	-14,004
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-767		
4070 Budget authority, net (discretionary) .....	233	264	90
4080 Outlays, net (discretionary) .....	-305	64	640
Mandatory:			
4090 Budget authority, gross .....	798		
4180 Budget authority, net (total) .....	1,031	264	90
4190 Outlays, net (total) .....	-305	64	640
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	2,577	2,904	2,904
5053 Obligated balance, EOY: Contract authority .....	2,904	2,904	2,904

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,031	264	90
Outlays .....	-305	64	640
Overseas contingency operations:			
Budget Authority .....			20
Outlays .....			17
Total:			
Budget Authority .....	1,031	264	110
Outlays .....	-305	64	657

The Army Working Capital Fund (AWCF) finances industrial and supply operations of the Army. The AWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AWCF uses cost accounting and business management techniques to provide DOD Managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493001-0-4-051	2018 actual	2019 est.	2020 est.
26.0 Direct obligations: Supplies and materials .....	270	264	90
99.0 Direct obligations .....	270	264	90
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,281	1,419	1,416
11.3 Other than full-time permanent .....	30	34	34
11.5 Other personnel compensation .....	215	189	157
11.8 Special personal services payments .....	6	4	4
11.9 Total personnel compensation .....	1,532	1,646	1,611
12.1 Civilian personnel benefits .....	533	527	527
13.0 Benefits for former personnel .....	1	5	6
21.0 Travel and transportation of persons .....	32	35	34
22.0 Transportation of things .....	67	77	77
23.1 Rental payments to GSA .....	13	13	12
23.2 Rental payments to others .....	5	8	8
23.3 Communications, utilities, and miscellaneous charges .....	71	68	64
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	113	69	64
25.2 Other services from non-Federal sources .....	407	429	488
25.3 Other goods and services from Federal sources .....	305	271	276
25.3 Other goods and services from Federal sources .....	392	393	359
25.4 Operation and maintenance of facilities .....	221	246	175
25.7 Operation and maintenance of equipment .....	248	111	68
26.0 Supplies and materials .....	9,316	9,216	9,508
31.0 Equipment .....	206	226	148
99.0 Reimbursable obligations .....	13,463	13,341	13,426
99.9 Total new obligations, unexpired accounts .....	13,733	13,605	13,516

Employment Summary

Identification code 097-493001-0-4-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	21,095	22,435	22,183

WORKING CAPITAL FUND, NAVY

Program and Financing (in millions of dollars)

Identification code 097-493002-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Supply Management - Navy .....	11,806	9,214	8,304
0802 Supply Management - Marine Corps .....	71	109	109
0803 Depot Maintenance - Aviation .....	2,504	2,327	2,355
0805 Depot Maintenance - Marine Corps .....	435	415	404
0806 Base Support .....	3,191	3,149	166
0807 Transportation .....	3,191	2,929	3,309
0808 Research and Development Activities .....	14,517	14,325	14,571
0809 Reimbursable program activities, subtotal .....	35,715	32,468	29,218
0820 Supply Management - Navy - Capital Program .....	3	8	9
0822 Depot Maintenance - Navy - Capital Program .....	39	43	41
0824 Depot Maintenance - Marine Corps - Capital Program .....	5	10	8
0825 Base Support - Capital Program .....	15	25	
0826 Transportation - Capital Program .....	19	5	5
0827 Research and Development Activities - Capital Program .....	120	182	173
0829 Reimbursable program activities, subtotal .....	201	273	236
0900 Total new obligations, unexpired accounts .....	35,916	32,741	29,454

Budgetary resources:

	2018 actual	2019 est.	2020 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,946	3,288	2,728
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2,946	3,288	
1021 Recoveries of prior year unpaid obligations .....	3,259		
1025 Unobligated balance of contract authority withdrawn .....	-2,790		
1050 Unobligated balance (total) .....	3,415	3,288	2,728
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999] ...	9		
Contract authority, mandatory:			
1600 Contract authority .....	12,085		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29,848	32,181	32,829
1701 Change in uncollected payments, Federal sources .....	2,123		

1750	Spending auth from offsetting collections, disc (total) .....	31,971	32,181	32,829
	Spending authority from offsetting collections, mandatory:			
1826	Spending authority from offsetting collections applied to liquidate contract authority .....	-8,276		
1900	Budget authority (total) .....	35,789	32,181	32,829
1930	Total budgetary resources available .....	39,204	35,469	35,557
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3,288	2,728	6,103
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	15,458	18,510	18,495
3010	New obligations, unexpired accounts .....	35,916	32,741	29,454
3020	Outlays (gross) .....	-29,605	-32,756	-33,469
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3,259		
3050	Unpaid obligations, end of year .....	18,510	18,495	14,480
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12,780	-14,903	-14,903
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2,123		
3090	Uncollected pymts, Fed sources, end of year .....	-14,903	-14,903	-14,903
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,678	3,607	3,592
3200	Obligated balance, end of year .....	3,607	3,592	-423
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	31,980	32,181	32,829
Outlays, gross:				
4010	Outlays from new discretionary authority .....	27,334	20,274	20,682
4011	Outlays from discretionary balances .....	2,271	12,482	12,787
4020	Outlays, gross (total) .....	29,605	32,756	33,469
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-29,559	-31,721	-32,359
4033	Non-Federal sources .....	-289	-460	-470
4040	Offsets against gross budget authority and outlays (total) ....	-29,848	-32,181	-32,829
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2,123		
4070	Budget authority, net (discretionary) .....	9		
4080	Outlays, net (discretionary) .....	-243	575	640
Mandatory:				
4090	Budget authority, gross .....	3,809		
4180	Budget authority, net (total) .....	3,818		
4190	Outlays, net (total) .....	-243	575	640
<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	3,353	4,372	4,372
5053	Obligated balance, EOY: Contract authority .....	4,372	4,372	4,372

The Navy Working Capital Fund (NWCF) finances the operations of Navy industrial, logistical, and commercial and support-type activities. These activities include Marine Corps Depot Maintenance, Marine Corps Supply, Navy Supply, Fleet Readiness Centers (Aviation Depots), Research and Development and Military Sealift Command (MSC). The NWCF finances operating and capital costs (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The NWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

**Object Classification** (in millions of dollars)

Identification code 097-493002-0-4-051				
		2018 actual	2019 est.	2020 est.
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	7,559	7,697	7,122
11.3	Other than full-time permanent .....	67	80	64
11.5	Other personnel compensation .....	668	668	597
11.8	Special personal services payments .....	83	83	75
11.9	Total personnel compensation .....	8,377	8,528	7,858
12.1	Civilian personnel benefits .....	2,613	2,690	2,437
13.0	Benefits for former personnel .....	4	4	3
21.0	Travel and transportation of persons .....	424	389	387
22.0	Transportation of things .....	79	76	71
23.1	Rental payments to GSA .....	71	73	3
23.2	Rental payments to others .....	462	402	376
23.3	Communications, utilities, and miscellaneous charges .....	1,299	1,421	394

24.0	Printing and reproduction .....	6	11	10
25.1	Advisory and assistance services .....	130	66	67
25.2	Other services from non-Federal sources .....	546	588	584
25.3	Other goods and services from Federal sources .....	1,162	1,322	1,328
25.3	Other goods and services from Federal sources .....	22	24	4
25.3	Other goods and services from Federal sources .....	769	774	757
25.4	Operation and maintenance of facilities .....	994	835	360
25.5	Research and development contracts .....	2,875	2,537	2,539
25.7	Operation and maintenance of equipment .....	1,699	1,449	1,574
26.0	Supplies and materials .....	13,320	10,455	9,697
31.0	Equipment .....	1,008	1,007	900
32.0	Land and structures .....	56	90	105
99.9	Total new obligations, unexpired accounts .....	35,916	32,741	29,454

**Employment Summary**

Identification code 097-493002-0-4-051		2018 actual	2019 est.	2020 est.
2001	Reimbursable civilian full-time equivalent employment .....	86,469	87,217	77,757

**WORKING CAPITAL FUND, AIR FORCE**

**Program and Financing** (in millions of dollars)

Identification code 097-493003-0-4-051		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Transportation .....	2		
0003	Supply Management .....	66	78	92
0799	Total direct obligations .....	68	78	92
0801	Transportation .....	7,823	7,633	7,926
0802	CSAG Supply .....	6,245	7,042	6,744
0803	Supply Management - Air Force .....	4,506	4,822	4,903
0804	CSAG Maintenance .....	6,791	7,296	7,648
0809	Reimbursable program activities, subtotal .....	25,365	26,793	27,221
0810	CSAG Maintenance - Capital Program .....	153	179	198
0811	Transportation - Capital Program .....		115	118
0812	CSAG Supply - Capital Program .....	25	1	1
0819	Reimbursable program activities, subtotal .....	178	295	317
0899	Total reimbursable obligations .....	25,543	27,088	27,538
0900	Total new obligations, unexpired accounts .....	25,611	27,166	27,630

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3,082	2,836	2,963
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	3,082	2,836	
1010	Unobligated balance transfer to other accts [097-9999] .....	-59		
1021	Recoveries of prior year unpaid obligations .....	23		
1025	Unobligated balance of contract authority withdrawn .....	-21		
1050	Unobligated balance (total) .....	3,025	2,836	2,963
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [097-9999] ....	66	78	92
Contract authority, mandatory:				
1600	Contract authority .....	11,025		
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	23,433	27,215	31,394
1701	Change in uncollected payments, Federal sources .....	818		
1750	Spending auth from offsetting collections, disc (total) .....	24,251	27,215	31,394
Spending authority from offsetting collections, mandatory:				
1826	Spending authority from offsetting collections applied to liquidate contract authority .....	-9,920		
1900	Budget authority (total) .....	25,422	27,293	31,486
1930	Total budgetary resources available .....	28,447	30,129	34,449
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,836	2,963	6,819

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9,778	11,179	10,511
3010	New obligations, unexpired accounts .....	25,611	27,166	27,630
3020	Outlays (gross) .....	-24,187	-27,834	-31,382
3040	Recoveries of prior year unpaid obligations, unexpired .....	-23		
3050	Unpaid obligations, end of year .....	11,179	10,511	6,759
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,290	-8,108	-8,108

WORKING CAPITAL FUND, AIR FORCE—Continued  
Program and Financing—Continued

Identification code 097-493003-0-4-051	2018 actual	2019 est.	2020 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	-818		
3090 Uncollected pymts, Fed sources, end of year .....	-8,108	-8,108	-8,108
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,488	3,071	2,403
3200 Obligated balance, end of year .....	3,071	2,403	-1,349
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	24,317	27,293	31,486
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	21,473	21,839	22,996
4011 Outlays from discretionary balances .....	2,714	5,995	8,386
4020 Outlays, gross (total) .....	24,187	27,834	31,382
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-22,895	-26,080	-29,954
4033 Non-Federal sources .....	-538	-1,135	-1,440
4040 Offsets against gross budget authority and outlays (total) ....	-23,433	-27,215	-31,394
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-818		
4070 Budget authority, net (discretionary) .....	66	78	92
4080 Outlays, net (discretionary) .....	754	619	-12
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,105		
4180 Budget authority, net (total) .....	1,171	78	92
4190 Outlays, net (total) .....	754	619	-12
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority .....	2,851	3,935	3,935
5053 Obligated balance, EOY: Contract authority .....	3,935	3,935	3,935

The Air Force Working Capital Fund (AFWCF) finances the operations of the Air Force and the United States Transportation Command's industrial, logistical, and commercial activities. Activities performed include depot maintenance, supply support, and the transport of cargo and personnel. The AFWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AFWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493003-0-4-051	2018 actual	2019 est.	2020 est.
26.0 Direct obligations: Supplies and materials .....	69	78	92
99.0 Direct obligations .....	69	78	92
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,767	1,988	1,999
11.5 Other personnel compensation .....	259	245	253
11.8 Special personal services payments .....	65	63	61
11.9 Total personnel compensation .....	2,091	2,296	2,313
12.1 Civilian personnel benefits .....	1,037	1,155	1,196
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	104	103	100
22.0 Transportation of things .....	4,305	3,891	3,969
23.2 Rental payments to others .....	8	12	12
23.3 Communications, utilities, and miscellaneous charges .....	143	171	171
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	185	397	284
25.2 Other services from non-Federal sources .....	623	644	725
25.3 Other goods and services from Federal sources .....	301	357	374
25.3 Other goods and services from Federal sources .....	8	8	8
25.3 Other goods and services from Federal sources .....	523	531	502
25.4 Operation and maintenance of facilities .....	214	235	264
25.7 Operation and maintenance of equipment .....	1,327	1,404	1,546
26.0 Supplies and materials .....	14,460	15,581	15,759
31.0 Equipment .....	212	301	313
99.0 Reimbursable obligations .....	25,542	27,088	27,538

99.9 Total new obligations, unexpired accounts .....	25,611	27,166	27,630
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Employment Summary

Identification code 097-493003-0-4-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	32,239	33,773	34,885

WORKING CAPITAL FUND, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-493005-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Supply Change Management - Defense .....	59	48	49
0799 Total direct obligations .....	59	48	49
0803 Defense Automated Production Services .....	275	401	400
0804 Defense Financial Operations .....	1,415	1,387	1,349
0805 Information Services .....	6,592	6,502	7,810
0806 Energy Management - Defense .....	17,849	13,272	12,121
0807 Computing Services .....	972	1,062	
0808 Supply Chain Management - Defense .....	34,327	28,946	29,092
0809 Reimbursable program activities, subtotal .....	61,430	51,570	50,772
0812 Defense Automated Production Services - Capital Program .....		3	
0813 Defense Financial Operations - Capital Program .....		31	24
0814 Information Services - Capital Program .....	16	18	249
0816 Computing Services - Capital Program .....	26	57	
0817 Energy Management - Defense - Capital Program .....	41	50	63
0818 Supply Chain Management - Defense - Capital Program .....	94	90	129
0819 Reimbursable program activities, subtotal .....	177	249	465
0899 Total reimbursable obligations .....	61,607	51,819	51,237
0900 Total new obligations, unexpired accounts .....	61,666	51,867	51,286
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,938	2,341	280
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,938	2,341	
1010 Unobligated balance transfer to other accts [097-9999] .....	-1		
1011 Unobligated balance transfer from other acct [097-9999] .....	205		
1021 Recoveries of prior year unpaid obligations .....	7,439		
1025 Unobligated balance of contract authority withdrawn .....	-7,409		
1050 Unobligated balance (total) .....	2,172	2,341	280
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [097-9999] ....	631	48	49
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	52,364		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	47,327	49,758	52,133
1701 Change in uncollected payments, Federal sources .....	2,179		
1750 Spending auth from offsetting collections, disc (total) .....	49,506	49,758	52,133
<b>Spending authority from offsetting collections, mandatory:</b>			
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-40,666		
1900 Budget authority (total) .....	61,835	49,806	52,182
1930 Total budgetary resources available .....	64,007	52,147	52,462
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,341	280	1,176
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23,794	29,478	31,524
3010 New obligations, unexpired accounts .....	61,666	51,867	51,286
3020 Outlays (gross) .....	-48,543	-49,821	-52,671
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7,439		
3050 Unpaid obligations, end of year .....	29,478	31,524	30,139
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13,345	-15,524	-15,524
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2,179		
3090 Uncollected pymts, Fed sources, end of year .....	-15,524	-15,524	-15,524
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10,449	13,954	16,000
3200 Obligated balance, end of year .....	13,954	16,000	14,615

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	50,137	49,806	52,182
Outlays, gross:				
4010	Outlays from new discretionary authority .....	45,558	30,891	32,331
4011	Outlays from discretionary balances .....	2,985	18,930	20,340
4020	Outlays, gross (total) .....	48,543	49,821	52,671
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-45,365	-47,316	-50,026
4033	Non-Federal sources .....	-1,962	-2,442	-2,107
4040	Offsets against gross budget authority and outlays (total) ....	-47,327	-49,758	-52,133
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2,179		
4060	Additional offsets against budget authority only (total) .....	-2,179		
4070	Budget authority, net (discretionary) .....	631	48	49
4080	Outlays, net (discretionary) .....	1,216	63	538
Mandatory:				
4090	Budget authority, gross .....	11,698		
4180	Budget authority, net (total) .....	12,329	48	49
4190	Outlays, net (total) .....	1,216	63	538
<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	9,379	13,668	13,668
5053	Obligated balance, EOY: Contract authority .....	13,668	13,668	13,668

The Defense-Wide Working Capital Fund finances the commercial and support-type operations of the Defense Logistics Agency, the Defense Finance and Accounting Service, and the Defense Information Systems Agency. The Fund supports activities such as the Department's finance and accounting operations, information systems to include command and control systems and enterprise infrastructure, global acquisition and delivery of fuel, and document printing and distribution services. The Fund finances operating and capital expenses (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize its cost of operations.

**Object Classification** (in millions of dollars)

Identification code 097-493005-0-4-051	2018 actual	2019 est.	2020 est.	
26.0	Direct obligations: Supplies and materials .....	59	48	49
99.0	Direct obligations .....	59	48	49
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	2,750	2,891	2,966
11.3	Other than full-time permanent .....	108	116	114
11.5	Other personnel compensation .....	175	169	174
11.8	Special personal services payments .....	65	78	75
11.9	Total personnel compensation .....	3,098	3,254	3,329
12.1	Civilian personnel benefits .....	1,066	1,064	1,113
13.0	Benefits for former personnel .....	10	17	15
21.0	Travel and transportation of persons .....	55	67	69
22.0	Transportation of things .....	932	894	913
23.1	Rental payments to GSA .....	44	41	44
23.2	Rental payments to others .....	75	147	153
23.3	Communications, utilities, and miscellaneous charges .....	2,047	1,814	2,064
24.0	Printing and reproduction .....	81	129	123
25.1	Advisory and assistance services .....	113	133	134
25.2	Other services from non-Federal sources .....	1,067	1,261	1,208
25.3	Other goods and services from Federal sources .....	7,618	2,786	2,474
25.3	Other goods and services from Federal sources .....	20	24	24
25.3	Other goods and services from Federal sources .....	814	972	871
25.4	Operation and maintenance of facilities .....	1,407	1,318	1,466
25.5	Research and development contracts .....		118	126
25.6	Medical care .....		2	2
25.7	Operation and maintenance of equipment .....	3,218	4,059	4,040
26.0	Supplies and materials .....	38,639	32,757	31,933
31.0	Equipment .....	1,287	872	1,035
32.0	Land and structures .....	76	89	100
43.0	Interest and dividends .....		1	1
99.0	Reimbursable obligations .....	61,607	51,819	51,237
99.9	Total new obligations, unexpired accounts .....	61,666	51,867	51,286

**Employment Summary**

Identification code 097-493005-0-4-051	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	38,654	40,112	40,611

**WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY**

**Program and Financing** (in millions of dollars)

Identification code 097-493004-0-4-051	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Commissary Operations .....	1,293	1,266	995
0700	Direct program activities, subtotal .....	1,293	1,266	995
0801	Commissary Resale Stocks .....	4,671	4,760	4,700
0802	Commissary Operations .....	10	98	291
0810	Capital Program .....	10	2	4
0899	Total reimbursable obligations .....	4,691	4,860	4,995
0900	Total new obligations, unexpired accounts .....	5,984	6,126	5,990

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	176	281	625
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	176	281	
1021	Recoveries of prior year unpaid obligations .....	1		
1025	Unobligated balance of contract authority withdrawn .....	-1		
1050	Unobligated balance (total) .....	176	281	625
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [097-9999] ....	1,389	1,266	995
Contract authority, mandatory:				
1600	Contract authority .....	4,691		
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4,813	5,204	4,388
1701	Change in uncollected payments, Federal sources .....	-1		
1750	Spending auth from offsetting collections, disc (total) .....	4,812	5,204	4,388
Spending authority from offsetting collections, mandatory:				
1826	Spending authority from offsetting collections applied to liquidate contract authority .....	-4,803		
1900	Budget authority (total) .....	6,089	6,470	5,383
1930	Total budgetary resources available .....	6,265	6,751	6,008
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	281	625	18

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	613	566	126
3010	New obligations, unexpired accounts .....	5,984	6,126	5,990
3020	Outlays (gross) .....	-6,030	-6,566	-5,576
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	566	126	540
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	612	566	126
3200	Obligated balance, end of year .....	566	126	540

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	6,201	6,470	5,383
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5,541	5,719	5,085
4011	Outlays from discretionary balances .....	489	847	491
4020	Outlays, gross (total) .....	6,030	6,566	5,576
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4	-2	-2
4033	Non-Federal sources .....	-4,809	-5,202	-4,386
4040	Offsets against gross budget authority and outlays (total) ....	-4,813	-5,204	-4,388
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	1,389	1,266	995
4080	Outlays, net (discretionary) .....	1,217	1,362	1,188

WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY—Continued  
Program and Financing—Continued

Identification code 097-493004-0-4-051	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	-112		
4180 Budget authority, net (total) .....	1,277	1,266	995
4190 Outlays, net (total) .....	1,217	1,362	1,188
<b>Memorandum (non-add) entries:</b>			
5050 Unobligated balance, SOY: Contract authority .....			116
5051 Unobligated balance, EOY: Contract authority .....		116	
5052 Obligated balance, SOY: Contract authority .....	355	242	126
5053 Obligated balance, EOY: Contract authority .....	241	126	242

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of 236 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rewarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

Object Classification (in millions of dollars)

Identification code 097-493004-0-4-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	350	366	338
11.3 Other than full-time permanent .....	209	198	180
11.5 Other personnel compensation .....	32	27	24
11.8 Special personal services payments .....			1
11.9 Total personnel compensation .....	591	591	543
12.1 Civilian personnel benefits .....	186	192	162
13.0 Benefits for former personnel .....	1	2	1
21.0 Travel and transportation of persons .....	6	8	11
22.0 Transportation of things .....	79	89	103
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	58	51	63
25.1 Advisory and assistance services .....	11	2	
25.2 Other services from non-Federal sources .....	62	40	70
25.3 Other goods and services from Federal sources .....	32	23	
25.3 Other goods and services from Federal sources .....	41	40	41
25.3 Other goods and services from Federal sources .....	34	26	
25.4 Operation and maintenance of facilities .....	137	143	
25.7 Operation and maintenance of equipment .....	9	11	
26.0 Supplies and materials .....	43	41	
31.0 Equipment .....	1	6	
99.0 Direct obligations .....	1,292	1,266	995
<b>Reimbursable obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....			3
25.1 Advisory and assistance services .....		8	
25.2 Other services from non-Federal sources .....		51	
25.3 Other goods and services from Federal sources .....		24	29
25.3 Other goods and services from Federal sources .....			41
25.4 Operation and maintenance of facilities .....	10		162
25.7 Operation and maintenance of equipment .....			11
26.0 Supplies and materials .....	4,682	4,777	4,746
31.0 Equipment .....			3
99.0 Reimbursable obligations .....	4,692	4,860	4,995
99.9 Total new obligations, unexpired accounts .....	5,984	6,126	5,990

Employment Summary

Identification code 097-493004-0-4-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12,497	12,942	11,371

BUILDINGS MAINTENANCE FUND

Program and Financing (in millions of dollars)

Identification code 097-4931-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Operations and Maintenance .....	240	256	260
0802 Pentagon Force Protection Agency .....	27	36	35
0900 Total new obligations, unexpired accounts .....	267	292	295
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	15	27	129
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	26	27	129
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	239	394	395
1801 Change in uncollected payments, Federal sources .....	29		
1850 Spending auth from offsetting collections, mand (total) .....	268	394	395
1900 Budget authority (total) .....	268	394	395
1930 Total budgetary resources available .....	294	421	524
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	27	129	229

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	546	572	418
3010 New obligations, unexpired accounts .....	267	292	295
3020 Outlays (gross) .....	-230	-446	-395
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050 Unpaid obligations, end of year .....	572	418	318
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-492	-521	-521
3070 Change in uncollected pymts, Fed sources, unexpired .....	-29		
3090 Uncollected pymts, Fed sources, end of year .....	-521	-521	-521
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	54	51	-103
3200 Obligated balance, end of year .....	51	-103	-203

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	268	394	395
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	152	165	166
4101 Outlays from mandatory balances .....	78	281	229
4110 Outlays, gross (total) .....	230	446	395
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-239	-394	-395
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-29		
4170 Outlays, net (mandatory) .....	-9	52	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-9	52	

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and operates under the authority provided in 10 U.S.C. 2208. It provides for operation and maintenance of one federally owned and 13 delegated leased, and 63 non-delegated leased facilities occupied by DOD in the National Capital Region.

Object Classification (in millions of dollars)

Identification code 097-4931-0-4-051	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	11	11	12
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	12	12	12
12.1 Civilian personnel benefits .....	4	3	4
22.0 Transportation of things .....	2		
23.1 Rental payments to GSA .....	201	231	234
23.3 Communications, utilities, and miscellaneous charges .....	3		
25.1 Advisory and assistance services .....	3	10	8
25.2 Other services from non-Federal sources .....	15	14	15

25.3	Other goods and services from Federal sources .....	3		
25.4	Operation and maintenance of facilities .....	19	17	17
25.7	Operation and maintenance of equipment .....	3	1	1
26.0	Supplies and materials .....		1	1
31.0	Equipment .....	2	3	3
99.9	Total new obligations, unexpired accounts .....	267	292	295

**Employment Summary**

Identification code 097-4931-0-4-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	114	124	124

**DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY WORKING CAPITAL FUND**

For the Defense Counterintelligence and Security Agency Working Capital Fund, \$200,000,000.

**Program and Financing** (in millions of dollars)

Identification code 097-4932-0-4-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0810 Defense Counterintelligence and Security Agency .....			1,395
0819 Reimbursable program activities, subtotal .....			1,395
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			200
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			1,395
1900 Budget authority (total) .....			1,595
1930 Total budgetary resources available .....			1,595
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			200
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,395
3020 Outlays (gross) .....			-1,186
3050 Unpaid obligations, end of year .....			209
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			209
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,595
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,186
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-1,395
4180 Budget authority, net (total) .....			200
4190 Outlays, net (total) .....			-209

The Defense Counterintelligence and Security Agency Working Capital Fund finances the operations of the Defense Counterintelligence and Security Agency (DCSA). The Fund operates under the authority provided in 10 U.S.C. 2208. The Fund supports DCSA's mission to conduct security background investigations for the Department of Defense and other Federal agencies.

**Object Classification** (in millions of dollars)

Identification code 097-4932-0-4-051	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			304
11.5 Other personnel compensation .....			22
11.9 Total personnel compensation .....			326
12.1 Civilian personnel benefits .....			97
21.0 Travel and transportation of persons .....			21
23.2 Rental payments to others .....			14
23.3 Communications, utilities, and miscellaneous charges .....			5

24.0	Printing and reproduction .....			1
25.1	Advisory and assistance services .....			915
25.4	Operation and maintenance of facilities .....			6
25.7	Operation and maintenance of equipment .....			2
26.0	Supplies and materials .....			3
31.0	Equipment .....			5
99.9	Total new obligations, unexpired accounts .....			1,395

**Employment Summary**

Identification code 097-4932-0-4-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			3,513

**ALLOWANCES**

**Federal Funds**

SPECTRUM RELOCATION, DEPARTMENT OF DEFENSE

**Program and Financing** (in millions of dollars)

Identification code 097-9931-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		7	17
0900 Total new obligations, unexpired accounts (object class 92.0) .....		7	17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512] ....		7	17
1930 Total budgetary resources available .....		7	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		7	17
3020 Outlays (gross) .....		-7	-17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		7	17
Outlays, gross:			
4100 Outlays from new mandatory authority .....		7	17
4180 Budget authority, net (total) .....		7	17
4190 Outlays, net (total) .....		7	17

**DEPARTMENT OF DEFENSE CLOSED ACCOUNTS**

**Program and Financing** (in millions of dollars)

Identification code 097-3999-0-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0020 Undistributed .....	102		
0900 Total new obligations, unexpired accounts (object class 26.0) .....	102		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102		
1900 Budget authority (total) .....	102		
1930 Total budgetary resources available .....	102		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	102		
3020 Outlays (gross) .....	-102		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102		
Outlays, gross:			
4011 Outlays from discretionary balances .....	102		
4180 Budget authority, net (total) .....	102		

DEPARTMENT OF DEFENSE CLOSED ACCOUNTS—Continued  
Program and Financing—Continued

Identification code 097-3999-0-1-051	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)	102		

TRUST FUNDS

Trust Funds

VOLUNTARY SEPARATION INCENTIVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	129	108	87
Receipts:			
Current law:			
1140 Payment to Voluntary Separation Incentive Fund	37	31	27
1140 Earnings on Investments	4	2	2
1199 Total current law receipts	41	33	29
1999 Total receipts	41	33	29
2000 Total: Balances and receipts	170	141	116
Appropriations:			
Current law:			
2101 Voluntary Separation Incentive Fund	-41	-34	-28
2103 Voluntary Separation Incentive Fund	-22	-20	-18
2134 Voluntary Separation Incentive Fund	1		
2199 Total current law appropriations	-62	-54	-46
2999 Total appropriations	-62	-54	-46
5099 Balance, end of year	108	87	70

Program and Financing (in millions of dollars)

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity	62	54	46
0900 Total new obligations, unexpired accounts (object class 41.0)	62	54	46

Budgetary resources:

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	41	34	28
1203 Appropriation (previously unavailable)	22	20	18
1234 Appropriations precluded from obligation	-1		
1260 Appropriations, mandatory (total)	62	54	46
1930 Total budgetary resources available	62	54	46

Change in obligated balance:

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	3	3	2
3010 New obligations, unexpired accounts	62	54	46
3020 Outlays (gross)	-62	-55	-47
3050 Unpaid obligations, end of year	3	2	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	3	3	2
3200 Obligated balance, end of year	3	2	1

Budget authority and outlays, net:

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross	62	54	46
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority		54	46
4101 Outlays from mandatory balances	62	1	1
4110 Outlays, gross (total)	62	55	47
4180 Budget authority, net (total)	62	54	46
4190 Outlays, net (total)	62	55	47

Memorandum (non-add) entries:

Identification code 097-8335-0-7-051	2018 actual	2019 est.	2020 est.
5000 Total investments, SOY: Federal securities: Par value	131	112	112
5001 Total investments, EOY: Federal securities: Par value	112	112	90

Section 662 of the National Defense Authorization Act for 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to more effectively manage and account for the costs of the Voluntary Separation Incentive program. The VSI program provides annual payments to former active-duty servicemembers who voluntarily left service after serving more than six but less than 20 years. For all members who left service after December 31, 1992, the Department of Defense was required to deposit the total present value of their future VSI benefits into the VSI fund by the time authority to approve VSI benefits ended on December 31, 2001. DOD was also required to cover the unfunded benefits of former members who separated before January 1, 1993 through yearly, actuarially-determined Government contributions from the DOD military personnel appropriations. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act. The fund also receives interest on its investments.

HOST NATION SUPPORT FUND FOR RELOCATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8337-0-7-051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			33
Receipts:			
Current law:			
1110 Contributions, Host National Support for U.S. Relocation Activities	135	139	142
1110 Contributions from Japan, Support for U.S. Relocation to Guam Activities	521	194	219
1140 Earnings on Investments, Host National Support for U.S. Relocation Activities	2	1	1
1140 Earnings on Investments, Support for U.S. Relocation to Guam Activities	15	32	36
1199 Total current law receipts	673	366	398
1999 Total receipts	673	366	398
2000 Total: Balances and receipts	673	366	431
Appropriations:			
Current law:			
2101 Host Nation Support Fund for Relocation	-673	-333	-361
5099 Balance, end of year		33	70

Program and Financing (in millions of dollars)

Identification code 097-8337-0-7-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Concept Obligations Undistributed	178	319	572

Budgetary resources:

Identification code 097-8337-0-7-051	2018 actual	2019 est.	2020 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	1,222	1,717	1,731
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	673	333	361
Spending authority from offsetting collections, mandatory:			
1800 Collected	48		
1801 Change in uncollected payments, Federal sources	-48		
1900 Budget authority (total)	673	333	361
1930 Total budgetary resources available	1,895	2,050	2,092
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1,717	1,731	1,520

Change in obligated balance:

Identification code 097-8337-0-7-051	2018 actual	2019 est.	2020 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	343	339	272
3010 New obligations, unexpired accounts	178	319	572
3020 Outlays (gross)	-182	-386	-667
3050 Unpaid obligations, end of year	339	272	177
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-119	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired	48		
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	224	268	201

3200	Obligated balance, end of year .....	268	201	106
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	673	333	361
Outlays, gross:				
4100	Outlays from new mandatory authority .....	42	261	284
4101	Outlays from mandatory balances .....	140	125	383
4110	Outlays, gross (total) .....	182	386	667
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-48		
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	48		
4160	Budget authority, net (mandatory) .....	673	333	361
4170	Outlays, net (mandatory) .....	134	386	667
4180	Budget authority, net (total) .....	673	333	361
4190	Outlays, net (total) .....	134	386	667
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	1,259	1,372	1,385
5001	Total investments, EOY: Federal securities: Par value .....	1,372	1,385	1,399

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces from or to any location within that nation. The Host Nation Support for Relocation account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

**Object Classification** (in millions of dollars)

Identification code 097-8337-0-7-051		2018 actual	2019 est.	2020 est.
Direct obligations:				
26.0	Supplies and materials .....	3		
41.0	Grants, subsidies, and contributions .....	175	319	572
99.0	Direct obligations .....	178	319	572
99.9	Total new obligations, unexpired accounts .....	178	319	572

DEPARTMENT OF DEFENSE GENERAL GIFT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-8163-0-7-051		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....			7
Receipts:				
Current law:				
1130	Contributions, Department of Defense General Gift Fund .....		7	7
1198	Rounding adjustment .....	1		
1199	Total current law receipts .....	1	7	7
1999	Total receipts .....	1	7	7
2000	Total: Balances and receipts .....	1	7	14
Appropriations:				
Current law:				
2101	Department of Defense General Gift Fund .....	-1		
5099	Balance, end of year .....		7	14

**Program and Financing** (in millions of dollars)

Identification code 097-8163-0-7-051		2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	8	8
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1		
1930	Total budgetary resources available .....	8	8	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	8	8	8

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1		
4180	Budget authority, net (total) .....	1		
4190	Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	3	3	3
5001	Total investments, EOY: Federal securities: Par value .....	3	3	3

OTHER DOD TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 021-9971-0-7-051		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	1		6
Receipts:				
Current law:				
1130	Deposits, Other DOD Trust Funds .....	49	5	5
1140	Interest, Other DOD Trust Funds .....		1	1
1140	Profits from Sale of Ships' Shores, Other DOD Trust Funds .....	11	20	20
1199	Total current law receipts .....	60	26	26
1999	Total receipts .....	60	26	26
2000	Total: Balances and receipts .....	61	26	32
Appropriations:				
Current law:				
2101	Other DOD Trust Funds .....	-61	-20	-20
2103	Other DOD Trust Funds .....	-1	-1	-1
2132	Other DOD Trust Funds .....	1	1	
2199	Total current law appropriations .....	-61	-20	-21
2999	Total appropriations .....	-61	-20	-21
5099	Balance, end of year .....		6	11

**Program and Financing** (in millions of dollars)

Identification code 021-9971-0-7-051		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0010	Other DoD trust funds .....	49	26	26
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	71	84	78
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	72	84	78
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	61	20	20
1203	Appropriation (previously unavailable) .....	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260	Appropriations, mandatory (total) .....	61	20	21
1900	Budget authority (total) .....	61	20	21
1930	Total budgetary resources available .....	133	104	99
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	84	78	73

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	28	16	13
3010	New obligations, unexpired accounts .....	49	26	26
3020	Outlays (gross) .....	-60	-29	-25
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	16	13	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28	16	13
3200	Obligated balance, end of year .....	16	13	14

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	61	20	21
Outlays, gross:				
4100	Outlays from new mandatory authority .....	18	19	20
4101	Outlays from mandatory balances .....	42	10	5

OTHER DOD TRUST FUNDS—Continued  
Program and Financing—Continued

Identification code 021–9971–0–7–051	2018 actual	2019 est.	2020 est.
4110 Outlays, gross (total) .....	60	29	25
4180 Budget authority, net (total) .....	61	20	21
4190 Outlays, net (total) .....	60	29	25

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	9	7	7
5001 Total investments, EOY: Federal securities: Par value .....	7	7	7

This fund includes gifts and bequests limited to specific purposes by the donors. In addition, it accounts for gifts and bequests, not limited to specific use by the donors, which may be used for purposes as determined by the Secretaries of the Army, Navy, and Air Force.

Object Classification (in millions of dollars)

Identification code 021–9971–0–7–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	3		
25.1 Advisory and assistance services .....	2		
25.2 Other services from non-Federal sources .....	1		
25.8 Subsistence and support of persons .....	1		
26.0 Supplies and materials .....	18		
31.0 Equipment .....	4		
41.0 Grants, subsidies, and contributions .....	20	26	26
99.9 Total new obligations, unexpired accounts .....	49	26	26

NATIONAL SECURITY EDUCATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097–8168–0–7–051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 097–8168–0–7–051	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	1	2	2
5001 Total investments, EOY: Federal securities: Par value .....	2	2	2

FOREIGN NATIONAL EMPLOYEES SEPARATION PAY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097–8165–0–7–051	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			

<b>Receipts:</b>			
Current law:			
1140 Foreign National Employees Separation Pay Trust Fund .....	3	44	44
2000 Total: Balances and receipts .....	3	44	44
<b>Appropriations:</b>			
Current law:			
2101 Foreign National Employees Separation Pay .....	-3	-44	-44
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 097–8165–0–7–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....		44	44
0900 Total new obligations, unexpired accounts (object class 13.0) .....		44	44

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	70	93	93
1021 Recoveries of prior year unpaid obligations .....	20		
1050 Unobligated balance (total) .....	90	93	93
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	44	44
1930 Total budgetary resources available .....	93	137	137
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	93	93	93

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	586	562	353
3010 New obligations, unexpired accounts .....		44	44
3020 Outlays (gross) .....	-4	-253	-208
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20		
3050 Unpaid obligations, end of year .....	562	353	189
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	586	562	353
3200 Obligated balance, end of year .....	562	353	189

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	3	44	44
Outlays, gross:			
4100 Outlays from new mandatory authority .....		44	44
4101 Outlays from mandatory balances .....	4	209	164
4110 Outlays, gross (total) .....		253	208
4180 Budget authority, net (total) .....	3	44	44
4190 Outlays, net (total) .....	4	253	208

This account funds separation payments for foreign nationals who are either employed by the Department of Defense or by a foreign government for the benefit of the Department of Defense. The payments are determined according to the applicable labor laws of the various countries.

SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE

Program and Financing (in millions of dollars)

Identification code 097–8164–0–8–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Concept Obligations .....	264	339	247
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	53	28	5
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	240	315	315
1802 Offsetting collections (previously unavailable) .....	28	29	28
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-29	-28	
1850 Spending auth from offsetting collections, mand (total) .....	239	316	343
1900 Budget authority (total) .....	239	316	343
1930 Total budgetary resources available .....	292	344	348

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	28	5	101
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	322	373	403
3010	New obligations, unexpired accounts .....	264	339	247
3020	Outlays (gross) .....	-213	-309	-314
3050	Unpaid obligations, end of year .....	373	403	336
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	322	373	403
3200	Obligated balance, end of year .....	373	403	336
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	239	316	343
Outlays, gross:				
4100	Outlays from new mandatory authority .....		145	109
4101	Outlays from mandatory balances .....	213	164	205
4110	Outlays, gross (total) .....	213	309	314
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-240	-315	-315
4180	Budget authority, net (total) .....	-1	1	28
4190	Outlays, net (total) .....	-27	-6	-1
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	28	29	28
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	29	28	.....

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays commissary costs to acquire (including leases), construct, convert, expand, improve, repair, maintain, and equip the physical infrastructure of commissary stores and central processing facilities of the Defense Commissary system. Surcharge funds are also utilized for real property, environmental evaluation, and construction costs including costs for surveys, administration, overhead, planning, and design. Title 10 of the United States Code prescribes costs which may be financed by the trust fund.

**Object Classification** (in millions of dollars)

Identification code 097-8164-0-8-051	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
23.3	Communications, utilities, and miscellaneous charges .....	2	5	2
25.4	Operation and maintenance of facilities .....	91	81	79
25.7	Operation and maintenance of equipment .....	72	93	78
26.0	Supplies and materials .....		69	.....
31.0	Equipment .....	91	80	80
32.0	Land and structures .....	8	11	8
99.9	Total new obligations, unexpired accounts .....	264	339	247

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.	
Offsetting receipts from the public:				
017-143517	General Fund Proprietary Interest Receipts, not Otherwise Classified, Navy .....		1	1
017-304117	Recoveries under the Foreign Military Sales Program, Navy .....	28	156	156
017-321017	General Fund Proprietary Receipts, not Otherwise Classified, Navy .....	94	82	82
021-301900	Recoveries for Government Property Lost or Damaged .....	17	12	12
021-304121	Recoveries under the Foreign Military Sales Program, Army .....	-17	22	22
021-321021	General Fund Proprietary Receipts, not Otherwise Classified, Army .....	556	68	68
057-304157	Recoveries under the Foreign Military Sales Program, Air Force .....	30	41	41
057-321057	General Fund Proprietary Receipts, not Otherwise Classified, Air Force .....	-10	97	97
097-184000	Rent of Equipment and Other Personal Property .....		1	1
097-223600	Sale of Certain Materials in National Defense Stockpile .....		12	12

017-246200	Deposits for Survivor Annuity Benefits .....	20	21	21
097-265197	Sale of Scrap and Salvage Materials .....		1	1
097-276130	Family Housing Improvement Fund, Downward Reestimates of Subsidies .....	67	63	.....
097-304197	Recoveries under the Foreign Military Sales Program, Defense Agencies .....	15	5	5
097-321097	General Fund Proprietary Receipts, not Otherwise Classified, Defense Agencies .....	250	87	87
General Fund Offsetting receipts from the public .....		1,050	669	606
Intragovernmental payments:				
017-388517	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Navy .....	72	45	45
021-388521	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Army .....	43	.....	.....
057-388557	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Air Force .....	309	.....	.....
097-388597	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Defense Agencies .....	-107	.....	.....
General Fund Intragovernmental payments .....		317	45	45

**ADMINISTRATIVE PROVISIONS**

SEC. 101. None of the funds made available in this title shall be expended for payments under a cost-plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

SEC. 102. Funds made available in this title for construction shall be available for hire of passenger motor vehicles.

SEC. 103. Funds made available in this title for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein are certified as important to the national defense by the Secretary of Defense.

SEC. 104. None of the funds made available in this title may be used to begin construction of new bases in the United States for which specific appropriations have not been made.

SEC. 105. None of the funds made available in this title shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or the designee of the Attorney General; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

SEC. 106. None of the funds made available in this title shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Acts making appropriations for military construction.

SEC. 107. None of the funds made available in this title for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 108. None of the funds made available in this title may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

SEC. 109. None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

SEC. 110. None of the funds made available in this title may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 111. None of the funds made available in this title may be obligated for architect and engineer contracts estimated by the Government to exceed \$500,000 for projects to be accomplished in Japan, in any North Atlantic Treaty Organization member country, or in countries bordering the Arabian Gulf, unless such contracts are awarded to United States firms or United States firms in joint venture with host nation firms.

SEC. 112. None of the funds made available in this title for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Gulf, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: *Provided,*

That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: *Provided further*, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

【SEC. 113. The Secretary of Defense shall inform the appropriate committees of both Houses of Congress, including the Committees on Appropriations, of plans and scope of any proposed military exercise involving United States personnel 30 days prior to its occurring, if amounts expended for construction, either temporary or permanent, are anticipated to exceed \$100,000.】

SEC. 【114】112. Funds appropriated to the Department of Defense for construction in prior years shall be available for construction authorized for each such military department by the authorizations enacted into law during the current session of Congress.

SEC. 【115】113. For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

SEC. 【116】114. Notwithstanding any other provision of law, any funds made available to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were made available, if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【117】115. Subject to 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, such additional amounts as may be determined by the Secretary of Defense may be transferred to: (1) the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund; or (2) the Department of Defense Military Unaccompanied Housing Improvement Fund from amounts appropriated for construction of military unaccompanied housing in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund: *Provided*, That appropriations made available to the Funds shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169 of title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing, military unaccompanied housing, and supporting facilities.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【118】116. In addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the Department of Defense Base Closure Account to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program incurred under 42 U.S.C. 3374(a)(1)(A). Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. 【119】117. Notwithstanding any other provision of law, funds made available in this title for operation and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: *Provided*, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably anticipated at the time of the budget submission 【: *Provided further*, That the Under Secretary of Defense (Comptroller) is to report annually to the Committees on Appropriations of both Houses of Congress all operation and maintenance expenditures for each individual general or flag officer quarters for the prior fiscal year】.

SEC. 【120】118. Amounts contained in the Ford Island Improvement Account established by subsection (h) of section 2814 of title 10, United States Code, are appropriated and shall be available until expended for the purposes specified in sub-

section (i)(1) of such section or until transferred pursuant to subsection (i)(3) of such section.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【121】119. During the 5-year period after appropriations available in this Act to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense", to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

SEC. 120. *Of the amounts made available in this title under the heading "Military Construction, Army", up to \$211,000,000 shall remain available until September 30, 2024: Provided, That such funds may be transferred to appropriations of the Military Departments and the Department of Defense available for military and family housing construction, in such amounts as may be determined by the Secretary of Defense, to be merged with and to be available for the same time period and same purposes as the appropriation to which transferred: Provided further, That the transfer authority provided in this section is in addition to any other transfer authority provided by law: Provided further, That funds described in this section may be obligated and expended to carry out planning and design and military and family housing construction projects of the Military Departments and the Department of Defense associated with critical life, safety, and health repairs that are not otherwise authorized by law.*

【SEC. 122. (a) Except as provided in subsection (b), none of the funds made available in this Act may be used by the Secretary of the Army to relocate a unit in the Army that—

(1) performs a testing mission or function that is not performed by any other unit in the Army and is specifically stipulated in title 10, United States Code; and

(2) is located at a military installation at which the total number of civilian employees of the Department of the Army and Army contractor personnel employed exceeds 10 percent of the total number of members of the regular and reserve components of the Army assigned to the installation.

(b) EXCEPTION.—Subsection (a) shall not apply if the Secretary of the Army certifies to the congressional defense committees that in proposing the relocation of the unit of the Army, the Secretary complied with Army Regulation 5–10 relating to the policy, procedures, and responsibilities for Army stationing actions.】

【SEC. 123. Amounts appropriated or otherwise made available in an account funded under the headings in this title may be transferred among projects and activities within the account in accordance with the reprogramming guidelines for military construction and family housing construction contained in Department of Defense Financial Management Regulation 7000.14-R, Volume 3, Chapter 7, of March 2011, as in effect on the date of enactment of this Act.】

【SEC. 124. None of the funds made available in this title may be obligated or expended for planning and design and construction of projects at Arlington National Cemetery.】

【SEC. 125. For an additional amount for the accounts and in the amounts specified, to remain available until September 30, 2023:

"Military Construction, Army", \$94,100,000;

"Military Construction, Navy and Marine Corps", \$196,850,000;

"Military Construction, Air Force", \$118,450,000;

"Military Construction, Army National Guard", \$22,000,000;

"Military Construction, Air National Guard", \$54,000,000;

"Military Construction, Army Reserve", \$23,000,000; and

"Military Construction, Air Force Reserve", \$84,800,000:】

*Provided*, That such funds may only be obligated to carry out construction projects identified in the respective military department's unfunded priority list for fiscal year 2019 submitted to Congress: *Provided further*, That such projects are subject to authorization prior to obligation and expenditure of funds to carry out construction: *Provided further*, That not later than 30 days after enactment of this Act, the Secretary of the military department concerned, or his or her designee, shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.

(RESCISSIONS OF FUNDS)

【SEC. 126. Of the unobligated balances available to the Department of Defense from prior appropriation Acts, the following funds are hereby rescinded from the following accounts in the amounts specified:

"NATO Security Investment Program", \$25,000,000;

"Military Construction, Air Force", \$31,158,000;

"Military Construction, Army National Guard", \$10,000,000;

"Family Housing Construction, Navy and Marine Corps", \$2,138,000; and

"The fund established in section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374)", \$15,333,000: ]

*Provided*, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. [127]121. For the purposes of this Act, the term "congressional defense committees" means the Committees on Armed Services of the House of Representatives and the Senate, the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the Senate, and the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the House of Representatives.

SEC. 128. None of the funds made available by this Act may be used to carry out the closure or realignment of the United States Naval Station, Guantanamo Bay, Cuba. ]

SEC. 129. Notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this or any other Act may be used to consolidate or relocate any element of a United States Air Force Rapid Engineer Deployable Heavy Operational Repair Squadron Engineer (RED HORSE) outside of the United States until the Secretary of the Air Force: (1) completes an analysis and comparison of the cost and infrastructure investment required to consolidate or relocate a RED HORSE squadron outside of the United States versus within the United States; (2) provides to the Committees on Appropriations of both Houses of Congress ("the Committees") a report detailing the findings of the cost analysis; and (3) certifies in writing to the Committees that the preferred site for the consolidation or relocation yields the greatest savings for the Air Force: *Provided*, That the term "United States" in this section does not include any territory or possession of the United States. ]

SEC. 130. Notwithstanding section 124 of this Act, for an additional amount for "Military Construction, Army" in this title, \$30,000,000, to remain available until expended, is provided for completion of the Defense Access Roads project and land acquisition for Arlington National Cemetery as authorized by section 2101 of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114-92) and section 2829A of the National Defense Authorization Act for Fiscal Year 2017 (Public Law 114-328): *Provided*, That such funds shall be in addition to any other funds made available in this or prior year Acts for such purposes, including funds made available by section 132 of the Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2016 (Public Law 114-113). ]

SEC. 131. All amounts appropriated to the "Department of Defense—Military Construction, Army", "Department of Defense—Military Construction, Navy and Marine Corps", "Department of Defense—Military Construction, Air Force", and "Department of Defense—Military Construction, Defense-Wide" accounts pursuant to the authorization of appropriations in a National Defense Authorization Act specified for fiscal year 2019 in the funding table in section 4601 of that Act shall be immediately available and allotted to contract for the full scope of authorized projects. ]

SEC. 132. For an additional amount for the accounts and in the amounts specified, for enhancing force protection and safety at military installations, to remain available until September 30, 2023:

"Military Construction, Navy and Marine Corps", \$50,000,000; and

"Military Construction, Air Force", \$50,000,000: ]

*Provided*, That such projects are subject to authorization prior to obligation and expenditure of funds to carry out construction: *Provided further*, That not later than 30 days after enactment of this Act, the Secretary of the military department concerned, or his or her designee, shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section: *Provided further*, That the Secretary of the military department concerned may not obligate or expend any funds prior to approval by the Committees on Appropriations of both Houses of Congress of the expenditure plan required by this section.

(*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

## TITLE VIII—GENERAL PROVISIONS

SEC. 8001. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress. ]

SEC. [8002]8001. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: *Provided*, That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5,

United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: *Provided further*, That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: *Provided further*, That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.

SEC. [8003]8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

SEC. 8004. No more than 20 percent of the appropriations in this Act which are limited for obligation during the current fiscal year shall be obligated during the last 2 months of the fiscal year: *Provided*, That this section shall not apply to obligations for support of active duty training of reserve components or summer camp training of the Reserve Officers' Training Corps. ]

### (TRANSFER OF FUNDS)

SEC. [8005]8003. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed [\$4,000,000,000] \$5,000,000,000 of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided*, That [such authority to transfer may not be used unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That ] the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: *Provided further*, That [no part of the funds in this Act shall be available to prepare or present a request to the Committees on Appropriations for reprogramming of funds, unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which reprogramming is requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2019: *Provided further*, That ] transfers among military personnel appropriations shall not be taken into account for purposes of the limitation on the amount of funds that may be transferred under this section.

SEC. 8006. (a) With regard to the list of specific programs, projects, and activities (and the dollar amounts and adjustments to budget activities corresponding to such programs, projects, and activities) contained in the tables titled Explanation of Project Level Adjustments in the explanatory statement regarding this Act, the obligation and expenditure of amounts appropriated or otherwise made available in this Act for those programs, projects, and activities for which the amounts appropriated exceed the amounts requested are hereby required by law to be carried out in the manner provided by such tables to the same extent as if the tables were included in the text of this Act.

(b) Amounts specified in the referenced tables described in subsection (a) shall not be treated as subdivisions of appropriations for purposes of section 8005 of this Act: *Provided*, That section 8005 shall apply when transfers of the amounts described in subsection (a) occur between appropriation accounts. ]

SEC. [8007]8004. (a) Not later than 60 days after enactment of this Act, the Department of Defense shall submit a report to the congressional defense committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year [2019] 2020: *Provided*, That the report shall include—

- (1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;
- (2) a delineation in the table for each appropriation both by budget activity and program, project, and activity as detailed in the Budget Appendix; and
- (3) an identification of items of special congressional interest.

(b) Notwithstanding section 8005 of this Act, none of the funds provided in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional defense committees, unless the Secretary of Defense certifies in writing to the congressional defense committees that such reprogramming or transfer is necessary as an emergency requirement: *Provided*, That this subsection shall not apply to transfers from the following appropriations accounts:

- (1) "Environmental Restoration, Army";
- (2) "Environmental Restoration, Navy";
- (3) "Environmental Restoration, Air Force";
- (4) "Environmental Restoration, Defense-Wide";

- (5) "Environmental Restoration, Formerly Used Defense Sites"; [and]  
 (6) "Drug Interdiction and Counter-drug Activities, Defense"; and [.]  
 (7) "Shipbuilding and Conversion, Navy", with respect to transfers into the National Sea-Based Deterrence Fund pursuant to section 2218a(d) of title 10, United States Code.

## (TRANSFER OF FUNDS)

SEC. [8008]8005. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: *Provided*, That transfers may be made between such funds: *Provided further*, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer: *Provided further*, That except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

[SEC. 8009. Funds appropriated by this Act may not be used to initiate a special access program without prior notification 30 calendar days in advance to the congressional defense committees.]

[SEC. 8010. None of the funds provided in this Act shall be available to initiate: (1) a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year of the contract or that includes an unfunded contingent liability in excess of \$20,000,000; or (2) a contract for advance procurement leading to a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year, unless the congressional defense committees have been notified at least 30 days in advance of the proposed contract award: *Provided*, That no part of any appropriation contained in this Act shall be available to initiate a multiyear contract for which the economic order quantity advance procurement is not funded at least to the limits of the Government's liability: *Provided further*, That no part of any appropriation contained in this Act shall be available to initiate multiyear procurement contracts for any systems or component thereof if the value of the multiyear contract would exceed \$500,000,000 unless specifically provided in this Act: *Provided further*, That no multiyear procurement contract can be terminated without 30-day prior notification to the congressional defense committees: *Provided further*, That the execution of multiyear authority shall require the use of a present value analysis to determine lowest cost compared to an annual procurement: *Provided further*, That none of the funds provided in this Act may be used for a multiyear contract executed after the date of the enactment of this Act unless in the case of any such contract—

(1) the Secretary of Defense has submitted to Congress a budget request for full funding of units to be procured through the contract and, in the case of a contract for procurement of aircraft, that includes, for any aircraft unit to be procured through the contract for which procurement funds are requested in that budget request for production beyond advance procurement activities in the fiscal year covered by the budget, full funding of procurement of such unit in that fiscal year;

(2) cancellation provisions in the contract do not include consideration of recurring manufacturing costs of the contractor associated with the production of unfunded units to be delivered under the contract;

(3) the contract provides that payments to the contractor under the contract shall not be made in advance of incurred costs on funded units; and

(4) the contract does not provide for a price adjustment based on a failure to award a follow-on contract.]

[Funds appropriated in title III of this Act may be used for multiyear procurement contracts for any or all of the following projects:]

[(1) Standard Missile-3 IB;]

[(2) Standard Missile-6;]

[(3) F/A-18E/F Super Hornet and EA-18G Aircraft variants;]

[(4) E-2D Advanced Hawkeye (AHE) Aircraft;]

[(5) C-130J, KC-130J, HC-130J, MC-130J, AC-130J Aircraft; and]

[(6) SSN Virginia Class Submarines and Government-furnished equipment.]

SEC. [8011]8006. Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported as required by section 401(d) of title 10, United States Code:

*Provided*, That funds available for operation and maintenance shall be available for providing humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99-239: *Provided further*, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

SEC. [8012]8007. (a) During the current fiscal year, the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

[(b) The fiscal year 2020 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2020 Department of Defense budget request shall be prepared and submitted to the Congress as if subsections (a) and (b) of this provision were effective with regard to fiscal year 2020.]

[(c) As required by section 1107 of the National Defense Authorization Act for Fiscal Year 2014 (Public Law 113-66; 10 U.S.C. 2358 note) civilian personnel at the Department of Army Science and Technology Reinvention Laboratories may not be managed on the basis of the Table of Distribution and Allowances, and the management of the workforce strength shall be done in a manner consistent with the budget available with respect to such Laboratories.]

[(d) Nothing in this section shall be construed to apply to military (civilian) technicians.]

[SEC. 8013. None of the funds made available by this Act shall be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before the Congress.]

SEC. [8014]8008. None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: *Provided*, That this section shall not apply to those members who have reenlisted with this option prior to October 1, 1987: *Provided further*, That this section applies only to active components of the Army.

## (TRANSFER OF FUNDS)

SEC. [8015]8009. Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protege Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protege Program developmental assistance agreement pursuant to section 831 of the National Defense Authorization Act for Fiscal Year 1991 (Public Law 101-510; 10 U.S.C. 2302 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

[SEC. 8016. None of the funds in this Act may be available for the purchase by the Department of Defense (and its departments and agencies) of welded shipboard anchor and mooring chain 4 inches in diameter and under unless the anchor and mooring chain are manufactured in the United States from components which are substantially manufactured in the United States: *Provided*, That for the purpose of this section, the term "manufactured" shall include cutting, heat treating, quality control, testing of chain and welding (including the forging and shot blasting process): *Provided further*, That for the purpose of this section substantially all of the components of anchor and mooring chain shall be considered to be produced or manufactured in the United States if the aggregate cost of the components produced or manufactured in the United States exceeds the aggregate cost of the components produced or manufactured outside the United States: *Provided further*, That when adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis, the Secretary of the service responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations that such an acquisition must be made in order to acquire capability for national security purposes.]

[SEC. 8017. None of the funds appropriated by this Act shall be used for the support of any nonappropriated funds activity of the Department of Defense that procures malt beverages and wine with nonappropriated funds for resale (including such alcoholic beverages sold by the drink) on a military installation located in the United States unless such malt beverages and wine are procured within that State, or in the case of the District of Columbia, within the District of Columbia, in which the military installation is located: *Provided*, That, in a case in which the military installation

is located in more than one State, purchases may be made in any State in which the installation is located: *Provided further*, That such local procurement requirements for malt beverages and wine shall apply to all alcoholic beverages only for military installations in States which are not contiguous with another State: *Provided further*, That alcoholic beverages other than wine and malt beverages, in contiguous States and the District of Columbia shall be procured from the most competitive source, price and other factors considered. ]

**SEC. 8018.** None of the funds available to the Department of Defense may be used to demilitarize or dispose of M-1 Carbines, M-1 Garand rifles, M-14 rifles, .22 caliber rifles, .30 caliber rifles, or M-1911 pistols, or to demilitarize or destroy small arms ammunition or ammunition components that are not otherwise prohibited from commercial sale under Federal law, unless the small arms ammunition or ammunition components are certified by the Secretary of the Army or designee as unserviceable or unsafe for further use. ]

**SEC. 8019.** No more than \$500,000 of the funds appropriated or made available in this Act shall be used during a single fiscal year for any single relocation of an organization, unit, activity or function of the Department of Defense into or within the National Capital Region: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the congressional defense committees that such a relocation is required in the best interest of the Government. ]

**SEC. 8020.** Of the funds made available in this Act, \$25,000,000 shall be available for incentive payments authorized by section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544): *Provided*, That a prime contractor or a subcontractor at any tier that makes a subcontract award to any subcontractor or supplier as defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code, shall be considered a contractor for the purposes of being allowed additional compensation under section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544) whenever the prime contract or subcontract amount is over \$500,000 and involves the expenditure of funds appropriated by an Act making appropriations for the Department of Defense with respect to any fiscal year: *Provided further*, That notwithstanding section 1906 of title 41, United States Code, this section shall be applicable to any Department of Defense acquisition of supplies or services, including any contract and any subcontract at any tier for acquisition of commercial items produced or manufactured, in whole or in part, by any subcontractor or supplier defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code. ]

**SEC. [8021]8010.** Funds appropriated by this Act for the Defense Media Activity shall not be used for any national or international political or psychological activities.

**SEC. [8022]8011.** During the current fiscal year, the Department of Defense is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: *Provided*, That, upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

**SEC. 8023.** (a) Of the funds made available in this Act, not less than \$46,100,000 shall be available for the Civil Air Patrol Corporation, of which—

(1) \$33,600,000 shall be available from "Operation and Maintenance, Air Force" to support Civil Air Patrol Corporation operation and maintenance, readiness, counter-drug activities, and drug demand reduction activities involving youth programs;

(2) \$10,800,000 shall be available from "Aircraft Procurement, Air Force"; and

(3) \$1,700,000 shall be available from "Other Procurement, Air Force" for vehicle procurement.

(b) The Secretary of the Air Force should waive reimbursement for any funds used by the Civil Air Patrol for counter-drug activities in support of Federal, State, and local government agencies. ]

**SEC. [8024]8012.** (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administered by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: *Provided*, That a member of any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during the current fiscal year may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings not located on a military installation, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development.

**SEC. [8025]8013.** (d) Notwithstanding any other provision of law, of the funds available to the department during fiscal year 2019, not more than 6,030 staff years of technical effort (staff years) may be funded for defense FFRDCs: *Provided*, That, of the specific amount referred to previously in this subsection, not more than 1,125 staff years may be funded for the defense studies and analysis FFRDCs: *Provided further*, That this subsection shall not apply to staff years funded in the National Intelligence Program (NIP) and the Military Intelligence Program (MIP). ]

(e) The Secretary of Defense shall, with the submission of the department's fiscal year 2020 budget request, submit a report presenting the specific amounts of staff years of technical effort to be allocated for each defense FFRDC during that fiscal year and the associated budget estimates. ]

(f) Notwithstanding any other provision of this Act, the total amount appropriated in this Act for FFRDCs is hereby reduced by \$179,000,000: *Provided*, That this subsection shall not apply to appropriations for the National Intelligence Program (NIP) and the Military Intelligence Program (MIP). ]

**SEC. 8025.** None of the funds appropriated or made available in this Act shall be used to procure carbon, alloy, or armor steel plate for use in any Government-owned facility or property under the control of the Department of Defense which were not melted and rolled in the United States or Canada: *Provided*, That these procurement restrictions shall apply to any and all Federal Supply Class 9515, American Society of Testing and Materials (ASTM) or American Iron and Steel Institute (AISI) specifications of carbon, alloy or armor steel plate: *Provided further*, That the Secretary of the military department responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That these restrictions shall not apply to contracts which are in being as of the date of the enactment of this Act. ]

**SEC. [8026]8013.** For the purposes of this Act, the term "congressional defense committees" means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

**SEC. [8027]8014.** During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: *Provided*, That the Senior Acquisition Executive of the military department or Defense Agency concerned, with power of delegation, shall certify that successful bids include comparable estimates of all direct and indirect costs for both public and private bids [ ]: *Provided further*, That Office of Management and Budget Circular A-76 shall not apply to competitions conducted under this section. ]

**SEC. [8028]8015.** (a)(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States that are covered by the agreement, the Secretary of Defense shall rescind the Secretary's blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2019. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party. ]

(c) For purposes of this section, the term "Buy American Act" means chapter 83 of title 41, United States Code.

**SEC. [8029]8016.** During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act of 1991 (Public

Law 101–510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section 2921(c)(2) of that Act.

**SEC. [8030]8017.** (a) Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington relocatable military housing units located at Grand Forks Air Force Base, Malmstrom Air Force Base, Mountain Home Air Force Base, Ellsworth Air Force Base, and Minot Air Force Base that are excess to the needs of the Air Force.

(b) The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington. Any such conveyance shall be subject to the condition that the housing units shall be removed within a reasonable period of time, as determined by the Secretary.

(c) The Operation Walking Shield Program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a) before submitting requests to the Secretary of the Air Force under subsection (b).

(d) In this section, the term "Indian tribe" means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103–454; 108 Stat. 4792; 25 U.S.C. 5131).

**SEC. [8031]8018.** During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$250,000.

**[SEC. 8032.** None of the funds made available by this Act may be used to—

(1) disestablish, or prepare to disestablish, a Senior Reserve Officers' Training Corps program in accordance with Department of Defense Instruction Number 1215.08, dated June 26, 2006; or

(2) close, downgrade from host to extension center, or place on probation a Senior Reserve Officers' Training Corps program in accordance with the information paper of the Department of the Army titled "Army Senior Reserve Officer's Training Corps (SROTC) Program Review and Criteria", dated January 27, 2014.]

**[SEC. 8033.** Up to \$10,518,000 of the funds appropriated under the heading "Operation and Maintenance, Navy" may be made available for the Asia Pacific Regional Initiative Program for the purpose of enabling the Pacific Command to execute Theater Security Cooperation activities such as humanitarian assistance, and payment of incremental and personnel costs of training and exercising with foreign security forces: *Provided*, That funds made available for this purpose may be used, notwithstanding any other funding authorities for humanitarian assistance, security assistance or combined exercise expenses: *Provided further*, That funds may not be obligated to provide assistance to any foreign country that is otherwise prohibited from receiving such type of assistance under any other provision of law.]

**[SEC. 8034.** The Secretary of Defense shall issue regulations to prohibit the sale of any tobacco or tobacco-related products in military resale outlets in the United States, its territories and possessions at a price below the most competitive price in the local community: *Provided*, That such regulations shall direct that the prices of tobacco or tobacco-related products in overseas military retail outlets shall be within the range of prices established for military retail system stores located in the United States.]

**[SEC. 8035.** (a) During the current fiscal year, none of the appropriations or funds available to the Department of Defense Working Capital Funds shall be used for the purchase of an investment item for the purpose of acquiring a new inventory item for sale or anticipated sale during the current fiscal year or a subsequent fiscal year to customers of the Department of Defense Working Capital Funds if such an item would not have been chargeable to the Department of Defense Business Operations Fund during fiscal year 1994 and if the purchase of such an investment item would be chargeable during the current fiscal year to appropriations made to the Department of Defense for procurement.

(b) The fiscal year 2020 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2020 Department of Defense budget shall be prepared and submitted to the Congress on the basis that any equipment which was classified as an end item and funded in a procurement appropriation contained in this Act shall be budgeted for in a proposed fiscal year 2020 procurement appropriation and not in the supply management business area or any other area or category of the Department of Defense Working Capital Funds.]

**SEC. [8036]8019.** None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which

shall remain available until September 30, [2020] 2021: *Provided*, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: *Provided further*, That any funds appropriated or transferred to the Central Intelligence Agency for advanced research and development acquisition, for agent operations, and for covert action programs authorized by the President under section 503 of the National Security Act of 1947 (50 U.S.C. 3093) shall remain available until September 30, [2020] 2021.

**[SEC. 8037.** Of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$12,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.]

**[SEC. 8038.** (a) None of the funds appropriated in this Act may be expended by an entity of the Department of Defense unless the entity, in expending the funds, complies with the Buy American Act. For purposes of this subsection, the term "Buy American Act" means chapter 83 of title 41, United States Code.

(b) If the Secretary of Defense determines that a person has been convicted of intentionally affixing a label bearing a "Made in America" inscription to any product sold in or shipped to the United States that is not made in America, the Secretary shall determine, in accordance with section 2410f of title 10, United States Code, whether the person should be debarred from contracting with the Department of Defense.

(c) In the case of any equipment or products purchased with appropriations provided under this Act, it is the sense of the Congress that any entity of the Department of Defense, in expending the appropriation, purchase only American-made equipment and products, provided that American-made equipment and products are cost-competitive, quality competitive, and available in a timely fashion.]

**[SEC. 8039.** (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used—

(1) to establish a field operating agency; or

(2) to pay the basic pay of a member of the Armed Forces or civilian employee of the department who is transferred or reassigned from a headquarters activity if the member or employee's place of duty remains at the location of that headquarters.

(b) The Secretary of Defense or Secretary of a military department may waive the limitations in subsection (a), on a case-by-case basis, if the Secretary determines, and certifies to the Committees on Appropriations of the House of Representatives and the Senate that the granting of the waiver will reduce the personnel requirements or the financial requirements of the department.

(c) This section does not apply to—

(1) field operating agencies funded within the National Intelligence Program;

(2) an Army field operating agency established to eliminate, mitigate, or counter the effects of improvised explosive devices, and, as determined by the Secretary of the Army, other similar threats;

(3) an Army field operating agency established to improve the effectiveness and efficiencies of biometric activities and to integrate common biometric technologies throughout the Department of Defense; or

(4) an Air Force field operating agency established to administer the Air Force Mortuary Affairs Program and Mortuary Operations for the Department of Defense and authorized Federal entities.]

**[SEC. 8040.** (a) None of the funds appropriated by this Act shall be available to convert to contractor performance an activity or function of the Department of Defense that, on or after the date of the enactment of this Act, is performed by Department of Defense civilian employees unless—

(1) the conversion is based on the result of a public-private competition that includes a most efficient and cost effective organization plan developed by such activity or function;

(2) the Competitive Sourcing Official determines that, over all performance periods stated in the solicitation of offers for performance of the activity or function, the cost of performance of the activity or function by a contractor would be less costly to the Department of Defense by an amount that equals or exceeds the lesser of—

(A) 10 percent of the most efficient organization's personnel-related costs for performance of that activity or function by Federal employees; or

(B) \$10,000,000; and

(3) the contractor does not receive an advantage for a proposal that would reduce costs for the Department of Defense by—

(A) not making an employer-sponsored health insurance plan available to the workers who are to be employed in the performance of that activity or function under the contract; or

(B) offering to such workers an employer-sponsored health benefits plan that requires the employer to contribute less towards the premium or subscription share than the amount that is paid by the Department of Defense for health benefits for civilian employees under chapter 89 of title 5, United States Code.

(b)(1) The Department of Defense, without regard to subsection (a) of this section or subsection (a), (b), or (c) of section 2461 of title 10, United States Code, and notwithstanding any administrative regulation, requirement, or policy to the contrary shall have full authority to enter into a contract for the performance of any commercial or industrial type function of the Department of Defense that—

(A) is included on the procurement list established pursuant to section 2 of the Javits-Wagner-O'Day Act (section 8503 of title 41, United States Code);

(B) is planned to be converted to performance by a qualified nonprofit agency for the blind or by a qualified nonprofit agency for other severely handicapped individuals in accordance with that Act; or

(C) is planned to be converted to performance by a qualified firm under at least 51 percent ownership by an Indian tribe, as defined in section 4(e) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b(e)), or a Native Hawaiian Organization, as defined in section 8(a)(15) of the Small Business Act (15 U.S.C. 637(a)(15)).

(2) This section shall not apply to depot contracts or contracts for depot maintenance as provided in sections 2469 and 2474 of title 10, United States Code.

(c) The conversion of any activity or function of the Department of Defense under the authority provided by this section shall be credited toward any competitive or outsourcing goal, target, or measurement that may be established by statute, regulation, or policy and is deemed to be awarded under the authority of, and in compliance with, subsection (h) of section 2304 of title 10, United States Code, for the competition or outsourcing of commercial activities.】

【(RESCISSIONS)】

【SEC. 8041. Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended:

"Shipbuilding and Conversion, Navy: DDG-51 Destroyer", 2011/2020, \$94,000,000;

"Shipbuilding and Conversion, Navy: CVN RCOH (AP)", 2011/2020, \$4,000,000;

"Shipbuilding and Conversion, Navy: DDG-51 Destroyer", 2012/2020, \$66,000,000;

"Shipbuilding and Conversion, Navy: LPD-17", 2012/2020, \$13,000,000;

"Shipbuilding and Conversion, Navy: Joint High Speed Vessel", 2012/2020, \$8,000,000;

"Aircraft Procurement, Army", 2017/2019, \$16,000,000;

"Aircraft Procurement, Navy", 2017/2019, \$38,894,000;

"Other Procurement, Navy", 2017/2019, \$32,344,000;

"Aircraft Procurement, Air Force", 2017/2019, \$169,677,000;

"Space Procurement, Air Force", 2017/2019, \$5,000,000;

"Other Procurement, Air Force", 2017/2019, \$44,300,000;

"Defense Health Program: Procurement", 2017/2019, \$2,413,000;

"Missile Procurement, Army", 2018/2020, \$80,000,000;

"Procurement of Weapons and Tracked Combat Vehicles, Army", 2018/2020, \$210,506,000;

"Other Procurement, Army", 2018/2020, \$64,390,000;

"Aircraft Procurement, Navy", 2018/2020, \$26,361,000;

"Weapons Procurement, Navy", 2018/2020, \$115,657,000;

"Other Procurement, Navy", 2018/2020, \$36,600,000;

"Aircraft Procurement, Air Force", 2018/2020, \$195,255,000;

"Missile Procurement, Air Force", 2018/2020, \$5,200,000;

"Space Procurement, Air Force", 2018/2020, \$218,100,000;

"Procurement of Ammunition, Air Force", 2018/2020, \$17,100,000;

"Other Procurement, Air Force", 2018/2020, \$123,500,000;

"Research, Development, Test and Evaluation, Army", 2018/2019, \$191,120,000;

"Research, Development, Test and Evaluation, Air Force", 2018/2019, \$490,588,000;

"Research, Development, Test and Evaluation, Defense-Wide", 2018/2019, \$25,000,000; and

"Defense Health Program: Procurement", 2018/2020, \$215,000,000.】

【SEC. 8042. None of the funds available in this Act may be used to reduce the authorized positions for military technicians (dual status) of the Army National

Guard, Air National Guard, Army Reserve and Air Force Reserve for the purpose of applying any administratively imposed civilian personnel ceiling, freeze, or reduction on military technicians (dual status), unless such reductions are a direct result of a reduction in military force structure.】

SEC. 【8043】8020. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People's Republic of Korea unless specifically appropriated for that purpose: *Provided*, That this restriction shall not apply to any activities incidental to the Defense POW/MIA Accounting Agency mission to recover and identify the remains of United States Armed Forces personnel from the Democratic People's Republic of Korea.

SEC. 【8044】8021. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counter-intelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Intelligence Program and the Military Intelligence Program: *Provided*, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

SEC. 【8045】8022. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

【SEC. 8046. None of the funds appropriated by this Act may be used for the procurement of ball and roller bearings other than those produced by a domestic source and of domestic origin: *Provided*, That the Secretary of the military department responsible for such procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate, that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That this restriction shall not apply to the purchase of "commercial items", as defined by section 103 of title 41, United States Code, except that the restriction shall apply to ball or roller bearings purchased as end items.】

【SEC. 8047. Of the amounts appropriated for "Working Capital Fund, Army", \$99,000,000 shall be available to maintain competitive rates at the arsenals.】

【SEC. 8048. In addition to the amounts appropriated or otherwise made available elsewhere in this Act, \$44,000,000 is hereby appropriated to the Department of Defense: *Provided*, That upon the determination of the Secretary of Defense that it shall serve the national interest, the Secretary shall make grants in the amounts specified as follows: \$20,000,000 to the United Service Organizations and \$24,000,000 to the Red Cross.】

【SEC. 8049. None of the funds in this Act may be used to purchase any supercomputer which is not manufactured in the United States, unless the Secretary of Defense certifies to the congressional defense committees that such an acquisition must be made in order to acquire capability for national security purposes that is not available from United States manufacturers.】

SEC. 【8050】8023. Notwithstanding any other provision in this Act, the Small Business Innovation Research program and the Small Business Technology Transfer program set-asides 【shall】 may be taken 【proportionally from all】 from programs, projects, or activities to the extent they contribute to the extramural budget.

SEC. 【8051】8024. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

(1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and

(2) such bonus is part of restructuring costs associated with a business combination.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8052】8025. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading "Operation and Maintenance, Defense-Wide" may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

SEC. [8053]8026. During the current fiscal year, in the case of an appropriation account of the Department of Defense for which the period of availability for obligation has expired or which has closed under the provisions of section 1552 of title 31, United States Code, and which has a negative unliquidated or unexpended balance, an obligation or an adjustment of an obligation may be charged to any current appropriation account for the same purpose as the expired or closed account if—

(1) the obligation would have been properly chargeable (except as to amount) to the expired or closed account before the end of the period of availability or closing of that account;

(2) the obligation is not otherwise properly chargeable to any current appropriation account of the Department of Defense; and

(3) in the case of an expired account, the obligation is not chargeable to a current appropriation of the Department of Defense under the provisions of section 1405(b)(8) of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101–510, as amended (31 U.S.C. 1551 note): *Provided*, That in the case of an expired account, if subsequent review or investigation discloses that there was not in fact a negative unliquidated or unexpended balance in the account, any charge to a current account under the authority of this section shall be reversed and recorded against the expired account: *Provided further*, That the total amount charged to a current appropriation under this section may not exceed an amount equal to 1 percent of the total appropriation for that account.

SEC. [8054]8027. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8055. Of the funds appropriated in this Act under the heading "Operation and Maintenance, Defense-wide", \$35,000,000 shall be for continued implementation and expansion of the Sexual Assault Special Victims' Counsel Program: *Provided*, That the funds are made available for transfer to the Department of the Army, the Department of the Navy, and the Department of the Air Force: *Provided further*, That funds transferred shall be merged with and available for the same purposes and for the same time period as the appropriations to which the funds are transferred: *Provided further*, That this transfer authority is in addition to any other transfer authority provided in this Act.】

SEC. [8056]8028. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: *Provided*, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: *Provided further*, That 【the Secretary of Defense shall, with submission of the department's fiscal year 2020 budget request, submit a report detailing the use of funds requested in research, development, test and evaluation accounts for end-items used in development, prototyping and test activities preceding and leading to acceptance for operational use: *Provided further*, That】 this restriction does not apply to programs funded within the National Intelligence Program: *Provided further*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

SEC. [8057]8029. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

(1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and

(2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).

(c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section XI (chapters 50–65) of the Harmonized Tariff Schedule of the United

States and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

SEC. [8058]8030. None of the funds appropriated or otherwise made available by this or other Department of Defense Appropriations Acts may be obligated or expended for the purpose of performing repairs or maintenance to military family housing units of the Department of Defense, including areas in such military family housing units that may be used for the purpose of conducting official Department of Defense business.

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8059. Of the amounts appropriated for "Operation and Maintenance, Navy", up to \$1,000,000 shall be available for transfer to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105).】

SEC. [8060]8031. Notwithstanding any other provision of law, funds appropriated in this Act under the heading "Research, Development, Test and Evaluation, Defense-Wide" for any new start advanced concept technology demonstration project or joint capability demonstration project may only be obligated 45 days after a report, including a description of the project, the planned acquisition and transition strategy and its estimated annual and total cost, has been provided in writing to the congressional defense committees: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying to the congressional defense committees that it is in the national interest to do so.

【SEC. 8061. The Secretary of Defense shall continue to provide a classified quarterly report to the House and Senate Appropriations Committees, Subcommittees on Defense on certain matters as directed in the classified annex accompanying this Act.】

SEC. [8062]8032. Notwithstanding section 12310(b) of title 10, United States Code, a Reserve who is a member of the National Guard serving on full-time National Guard duty under section 502(f) of title 32, United States Code, may perform duties in support of the ground-based elements of the National Ballistic Missile Defense System.

SEC. [8063]8033. None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of "armor penetrator", "armor piercing (AP)", "armor piercing incendiary (API)", or "armor-piercing incendiary tracer (API-T)", except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.

SEC. [8064]8034. Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under section 2667 of title 10, United States Code, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in section 508(d) of title 32, United States Code, or any other youth, social, or fraternal nonprofit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.

【(INCLUDING TRANSFER OF FUNDS)】

SEC. [8065]8035. Of the amounts appropriated in this Act under the heading "Operation and Maintenance, Army", 【\$62,483,700】 \$138,103,000 shall remain available until expended: *Provided*, That, notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government: *Provided further*, That the Secretary of Defense is authorized to enter into and carry out contracts for the acquisition of real property, construction, personal services, and operations related to projects carrying out the purposes of this section: *Provided further*, That contracts entered into under the authority of this section may provide for such indemnification as the Secretary determines to be necessary: *Provided further*, That projects authorized by this section shall comply with applicable Federal, State, and local law to the maximum extent consistent with the national security, as determined by the Secretary of Defense.

【SEC. 8066. (a) None of the funds appropriated in this or any other Act may be used to take any action to modify—

(1) the appropriations account structure for the National Intelligence Program budget, including through the creation of a new appropriation or new appropriation account;

(2) how the National Intelligence Program budget request is presented in the unclassified P-1, R-1, and O-1 documents supporting the Department of Defense budget request;

(3) the process by which the National Intelligence Program appropriations are apportioned to the executing agencies; or

(4) the process by which the National Intelligence Program appropriations are allotted, obligated and disbursed.

(b) Nothing in section (a) shall be construed to prohibit the merger of programs or changes to the National Intelligence Program budget at or below the Expenditure Center level, provided such change is otherwise in accordance with paragraphs (a)(1)-(3).

(c) The Director of National Intelligence and the Secretary of Defense may jointly, only for the purposes of achieving auditable financial statements and improving fiscal reporting, study and develop detailed proposals for alternative financial management processes. Such study shall include a comprehensive counterintelligence risk assessment to ensure that none of the alternative processes will adversely affect counterintelligence.

(d) Upon development of the detailed proposals defined under subsection (c), the Director of National Intelligence and the Secretary of Defense shall—

(1) provide the proposed alternatives to all affected agencies;

(2) receive certification from all affected agencies attesting that the proposed alternatives will help achieve auditability, improve fiscal reporting, and will not adversely affect counterintelligence; and

(3) not later than 30 days after receiving all necessary certifications under paragraph (2), present the proposed alternatives and certifications to the congressional defense and intelligence committees. ]

【SEC. 8067. In addition to amounts provided elsewhere in this Act, \$10,000,000 is hereby appropriated to the Department of Defense, to remain available for obligation until expended: *Provided*, That notwithstanding any other provision of law, that upon the determination of the Secretary of Defense that it shall serve the national interest, these funds shall be available only for a grant to the Fisher House Foundation, Inc., only for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary. ]

【SEC. 8068. None of the funds available to the Department of Defense may be obligated to modify command and control relationships to give Fleet Forces Command operational and administrative control of United States Navy forces assigned to the Pacific fleet: *Provided*, That the command and control relationships which existed on October 1, 2004, shall remain in force until a written modification has been proposed to the House and Senate Appropriations Committees: *Provided further*, That the proposed modification may be implemented 30 days after the notification unless an objection is received from either the House or Senate Appropriations Committees: *Provided further*, That any proposed modification shall not preclude the ability of the commander of United States Pacific Command to meet operational requirements. ]

【SEC. 8069. Any notice that is required to be submitted to the Committees on Appropriations of the Senate and the House of Representatives under section 806(c)(4) of the Bob Stump National Defense Authorization Act for Fiscal Year 2003 (10 U.S.C. 2302 note) after the date of the enactment of this Act shall be submitted pursuant to that requirement concurrently to the Subcommittees on Defense of the Committees on Appropriations of the Senate and the House of Representatives. ]

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8070】8036. Of the amounts appropriated in this Act under the headings "Procurement, Defense-Wide" and "Research, Development, Test and Evaluation, Defense-Wide", \$500,000,000 shall be for the Israeli Cooperative Programs: *Provided*, That of this amount, 【\$70,000,000】 \$95,000,000 shall be for the Secretary of Defense to provide to the Government of Israel for the procurement of the Iron Dome defense system to counter short-range rocket threats, subject to the U.S.-Israel Iron Dome Procurement Agreement, as amended; 【\$187,000,000】 \$191,000,000 shall be for the 【Short Range Ballistic Missile Defense (SRBMD)】 *David's Sling Weapon System (DSWS)* program, including cruise missile defense research and development under the 【SRBMD】 *DSWS* program [ ], of which \$50,000,000 shall be for co-production activities of SRBMD systems in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, subject to the U.S.-Israeli co-production agreement for SRBMD, as amended; \$80,000,000 shall be for an upper-tier component to the Israeli Missile Defense Architecture, of which \$80,000,000 shall be for co-production activities of Arrow 3 Upper Tier systems in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, subject to the U.S.-Israeli co-production agreement for Arrow 3 Upper Tier, as amended; and 【\$163,000,000】 \$214,000,000 shall be for the Arrow *Weapon System* 【Improve-

ment】 Program including development of a long range, ground and airborne, detection suite: *Provided further*, That the transfer authority provided under this provision is in addition to any other transfer authority contained in this Act.

(INCLUDING TRANSFER OF FUNDS)

【SEC. 8071. Of the amounts appropriated in this Act under the heading "Shipbuilding and Conversion, Navy", \$207,099,000 shall be available until September 30, 2019, to fund prior year shipbuilding cost increases: *Provided*, That upon enactment of this Act, the Secretary of the Navy shall transfer funds to the following appropriations in the amounts specified: *Provided further*, That the amounts transferred shall be merged with and be available for the same purposes as the appropriations to which transferred to:

(1) Under the heading "Shipbuilding and Conversion, Navy", 2011/2019: LHA Replacement \$25,100,000;

(2) Under the heading "Shipbuilding and Conversion, Navy", 2013/2019: DDG-51 Destroyer \$53,966,000;

(3) Under the heading "Shipbuilding and Conversion, Navy", 2014/2019: Littoral Combat Ship \$19,498,000;

(4) Under the heading "Shipbuilding and Conversion, Navy", 2015/2019: Littoral Combat Ship \$83,686,000;

(5) Under the heading "Shipbuilding and Conversion, Navy", 2015/2019: LCAC \$9,400,000; and

(6) Under the heading "Shipbuilding and Conversion, Navy", 2016/2019: TAO Fleet Oiler \$15,449,000. ]

SEC. 【8072】8037. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year 【2019】 2020 until the enactment of the Intelligence Authorization Act for Fiscal Year 【2019】 2020.

【SEC. 8073. None of the funds provided in this Act shall be available for obligation or expenditure through a reprogramming of funds that creates or initiates a new program, project, or activity unless such program, project, or activity must be undertaken immediately in the interest of national security and only after written prior notification to the congressional defense committees. ]

【SEC. 8074. The budget of the President for fiscal year 2020 submitted to the Congress pursuant to section 1105 of title 31, United States Code, shall include separate budget justification documents for costs of United States Armed Forces' participation in contingency operations for the Military Personnel accounts, the Operation and Maintenance accounts, the Procurement accounts, and the Research, Development, Test and Evaluation accounts: *Provided*, That these documents shall include a description of the funding requested for each contingency operation, for each military service, to include all Active and Reserve components, and for each appropriations account: *Provided further*, That these documents shall include estimated costs for each element of expense or object class, a reconciliation of increases and decreases for each contingency operation, and programmatic data including, but not limited to, troop strength for each Active and Reserve component, and estimates of the major weapons systems deployed in support of each contingency: *Provided further*, That these documents shall include budget exhibits OP-5 and OP-32 (as defined in the Department of Defense Financial Management Regulation) for all contingency operations for the budget year and the two preceding fiscal years. ]

【SEC. 8075. None of the funds in this Act may be used for research, development, test, evaluation, procurement or deployment of nuclear armed interceptors of a missile defense system. ]

(RESCISSION)

【SEC. 8076. Of the funds available to the Secretary of Defense in the "Foreign Currency Fluctuations, Defense" account, \$250,000,000 are rescinded. ]

【SEC. 8077. The Secretary of Defense may use up to \$800,000,000 of the amounts appropriated or otherwise made available in this Act to the Department of Defense for the rapid acquisition and deployment of supplies and associated support services pursuant to section 806 of the Bob Stump National Defense Authorization Act for Fiscal Year 2003 (Public Law 107-314; 10 U.S.C. 2302 note): *Provided*, That the Secretary of Defense shall notify the congressional defense committees promptly of all uses of this authority. ]

【SEC. 8078. None of the funds appropriated or made available in this Act shall be used to reduce or disestablish the operation of the 53rd Weather Reconnaissance Squadron of the Air Force Reserve, if such action would reduce the WC-130 Weather Reconnaissance mission below the levels funded in this Act: *Provided*, That the Air Force shall allow the 53rd Weather Reconnaissance Squadron to perform other missions in support of national defense requirements during the non-hurricane season. ]

【SEC. 8079. None of the funds provided in this Act shall be available for integration of foreign intelligence information unless the information has been lawfully collected and processed during the conduct of authorized foreign intelligence activities:

*Provided*, That information pertaining to United States persons shall only be handled in accordance with protections provided in the Fourth Amendment of the United States Constitution as implemented through Executive Order No. 12333.】

【SEC. 8080. (a) None of the funds appropriated by this Act may be used to transfer research and development, acquisition, or other program authority relating to current tactical unmanned aerial vehicles (TUAVs) from the Army.

(b) The Army shall retain responsibility for and operational control of the MQ-1C Gray Eagle Unmanned Aerial Vehicle (UAV) in order to support the Secretary of Defense in matters relating to the employment of unmanned aerial vehicles.】

SEC. 【8081】8038. None of the funds appropriated by this Act for programs of the Office of the Director of National Intelligence shall remain available for obligation beyond the current fiscal year, except for funds appropriated for research and technology, which shall remain available until September 30, 【2020】 2021.

SEC. 【8082】8039. For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading "Shipbuilding and Conversion, Navy" shall be considered to be for the same purpose as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in any prior fiscal year, and the 1 percent limitation shall apply to the total amount of the appropriation.

【SEC. 8083. (a) Not later than 60 days after the date of enactment of this Act, the Director of National Intelligence shall submit a report to the congressional intelligence committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year 2019: *Provided*, That the report shall include—

(1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) a delineation in the table for each appropriation by Expenditure Center and project; and

(3) an identification of items of special congressional interest.

(b) None of the funds provided for the National Intelligence Program in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional intelligence committees, unless the Director of National Intelligence certifies in writing to the congressional intelligence committees that such reprogramming or transfer is necessary as an emergency requirement.】

【SEC. 8084. None of the funds made available by this Act may be used to eliminate, restructure, or realign Army Contracting Command—New Jersey or make disproportionate personnel reductions at any Army Contracting Command—New Jersey sites without 30-day prior notification to the congressional defense committees.】

【SEC. 8085. Notwithstanding any other provision of law, any transfer of funds, appropriated or otherwise made available by this Act, for support to friendly foreign countries in connection with the conduct of operations in which the United States is not participating, pursuant to section 331(d) of title 10, United States Code, shall be made in accordance with sections 8005 or 9002 of this Act, as applicable.】

【SEC. 8086. Any transfer of amounts appropriated to, credited to, or deposited in the Department of Defense Acquisition Workforce Development Fund in or for fiscal year 2019 to a military department or Defense Agency pursuant to section 1705(e)(1) of title 10, United States Code, shall be covered by and subject to sections 8005 or 9002 of this Act, as applicable.】

【SEC. 8087. None of the funds made available by this Act for excess defense articles, assistance under section 333 of title 10, United States Code, or peacekeeping operations for the countries designated annually to be in violation of the standards of the Child Soldiers Prevention Act of 2008 (Public Law 110-457; 22 U.S.C. 2370c-1) may be used to support any military training or operation that includes child soldiers, as defined by the Child Soldiers Prevention Act of 2008, unless such assistance is otherwise permitted under section 404 of the Child Soldiers Prevention Act of 2008.】

【SEC. 8088. (a) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that—

(1) creates a new start effort;

(2) terminates a program with appropriated funding of \$10,000,000 or more;

(3) transfers funding into or out of the National Intelligence Program; or

(4) transfers funding between appropriations,

unless the congressional intelligence committees are notified 30 days in advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements.

(b) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that results in a cumulative increase or decrease of the levels specified in the classified annex accompanying the

Act unless the congressional intelligence committees are notified 30 days in advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements.】

【SEC. 8089. The Director of National Intelligence shall submit to Congress each year, at or about the time that the President's budget is submitted to Congress that year under section 1105(a) of title 31, United States Code, a future-years intelligence program (including associated annexes) reflecting the estimated expenditures and proposed appropriations included in that budget. Any such future-years intelligence program shall cover the fiscal year with respect to which the budget is submitted and at least the four succeeding fiscal years.】

【SEC. 8090. For the purposes of this Act, the term "congressional intelligence committees" means the Permanent Select Committee on Intelligence of the House of Representatives, the Select Committee on Intelligence of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives, and the Subcommittee on Defense of the Committee on Appropriations of the Senate.】

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8091】8040. During the current fiscal year, not to exceed \$11,000,000 from each of the appropriations made in title II of this Act for "Operation and Maintenance, Army", "Operation and Maintenance, Navy", and "Operation and Maintenance, Air Force" may be transferred by the military department concerned to its central fund established for Fisher Houses and Suites pursuant to section 2493(d) of title 10, United States Code.

SEC. 【8092】8041. 【None of the funds】*Funds* appropriated by this Act may be available for the purpose of making remittances *and transfers* to the Department of Defense Acquisition Workforce Development Fund in accordance with section 1705 of title 10, United States Code.

【SEC. 8093. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.】

SEC. 【8094】8042. (a) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract for an amount in excess of \$1,000,000, unless the contractor agrees not to—

(1) enter into any agreement with any of its employees or independent contractors that requires, as a condition of employment, that the employee or independent contractor agree to resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention; or

(2) take any action to enforce any provision of an existing agreement with an employee or independent contractor that mandates that the employee or independent contractor resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention.

(b) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract unless the contractor certifies that it requires each covered subcontractor to agree not to enter into, and not to take any action to enforce any provision of, any agreement as described in paragraphs (1) and (2) of subsection (a), with respect to any employee or independent contractor performing work related to such subcontract. For purposes of this subsection, a "covered subcontractor" is an entity that has a subcontract in excess of \$1,000,000 on a contract subject to subsection (a).

(c) The prohibitions in this section do not apply with respect to a contractor's or subcontractor's agreements with employees or independent contractors that may not be enforced in a court of the United States.

(d) The Secretary of Defense may waive the application of subsection (a) or (b) to a particular contractor or subcontractor for the purposes of a particular contract or subcontract if the Secretary or the Deputy Secretary personally determines that the waiver is necessary to avoid harm to national security interests of the United States, and that the term of the contract or subcontract is not longer than necessary to avoid such harm. The determination shall set forth with specificity the grounds for the waiver and for the contract or subcontract term selected, and shall state any alternatives considered in lieu of a waiver and the reasons each such alternative would not avoid harm to national security interests of the United States. The Secretary

of Defense shall transmit to Congress, and simultaneously make public, any determination under this subsection not less than 15 business days before the contract or subcontract addressed in the determination may be awarded.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8095]8043. From within the funds appropriated for operation and maintenance for the Defense Health Program in this Act, up to **[\$113,000,000]** \$127,000,000, shall be available for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund in accordance with the provisions of section 1704 of the National Defense Authorization Act for Fiscal Year 2010, Public Law 111-84: *Provided*, That for purposes of section 1704(b), the facility operations funded are operations of the integrated Captain James A. Lovell Federal Health Care Center, consisting of the North Chicago Veterans Affairs Medical Center, the Navy Ambulatory Care Center, and supporting facilities designated as a combined Federal medical facility as described by section 706 of Public Law 110-417: *Provided further*, That additional funds may be transferred from funds appropriated for operation and maintenance for the Defense Health Program to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Defense to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 8096. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Defense or a component thereof in contravention of the provisions of section 130h of title 10, United States Code. ]

SEC. [8097]8044. Appropriations available to the Department of Defense may be used for the purchase of heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8098]8045. Upon a determination by the Director of National Intelligence that such action is necessary and in the national interest, the Director may, with the approval of the Office of Management and Budget, transfer not to exceed \$1,500,000,000 of the funds made available in this Act for the National Intelligence Program [ : *Provided*, That such authority to transfer may not be used unless for higher priority items, based on unforeseen intelligence requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2019].

SEC. 8099. None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

- (1) is not a United States citizen or a member of the Armed Forces of the United States; and
- (2) is or was held on or after June 24, 2009, at United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense. ]

SEC. 8100. (a) None of the funds appropriated or otherwise made available in this or any other Act may be used to construct, acquire, or modify any facility in the United States, its territories, or possessions to house any individual described in subsection (c) for the purposes of detention or imprisonment in the custody or under the effective control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

- (1) is not a citizen of the United States or a member of the Armed Forces of the United States; and
- (2) is—
  - (A) in the custody or under the effective control of the Department of Defense; or
  - (B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba. ]

SEC. 8101. None of the funds appropriated or otherwise made available in this Act may be used to transfer any individual detained at United States Naval Station Guantanamo Bay, Cuba, to the custody or control of the individual's country of origin, any other foreign country, or any other foreign entity except in accordance with section 1034 of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114-92) and section 1035 of the National Defense Authorization Act for Fiscal Year 2019 (Public Law 115-232). ]

SEC. 8102. None of the funds made available by this Act may be used in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.). ]

SEC. 8103. (a) None of the funds appropriated or otherwise made available by this or any other Act may be used by the Secretary of Defense, or any other official or officer of the Department of Defense, to enter into a contract, memorandum of understanding, or cooperative agreement with, or make a grant to, or provide a loan or loan guarantee to Rosoboronexport or any subsidiary of Rosoboronexport.

(b) The Secretary of Defense may waive the limitation in subsection (a) if the Secretary, in consultation with the Secretary of State and the Director of National Intelligence, determines that it is in the vital national security interest of the United States to do so, and certifies in writing to the congressional defense committees that, to the best of the Secretary's knowledge:

(1) Rosoboronexport has ceased the transfer of lethal military equipment to, and the maintenance of existing lethal military equipment for, the Government of the Syrian Arab Republic;

(2) The armed forces of the Russian Federation have withdrawn from Crimea, other than armed forces present on military bases subject to agreements in force between the Government of the Russian Federation and the Government of Ukraine; and

(3) Agents of the Russian Federation have ceased taking active measures to destabilize the control of the Government of Ukraine over eastern Ukraine.

(c) The Inspector General of the Department of Defense shall conduct a review of any action involving Rosoboronexport with respect to a waiver issued by the Secretary of Defense pursuant to subsection (b), and not later than 90 days after the date on which such a waiver is issued by the Secretary of Defense, the Inspector General shall submit to the congressional defense committees a report containing the results of the review conducted with respect to such waiver. ]

SEC. 8104. None of the funds made available in this Act may be used for the purchase or manufacture of a flag of the United States unless such flags are treated as covered items under section 2533a(b) of title 10, United States Code. ]

SEC. 8105. The Secretary of Defense, in consultation with the Service Secretaries, shall submit two reports to the congressional defense committees, not later than March 1, 2019, and not later than September 1, 2019, detailing the submission of records during the previous 6 months to databases accessible to the National Instant Criminal Background Check System (NICS), including the Interstate Identification Index (III), the National Crime Information Center (NCIC), and the NICS Index, as required by Public Law 110-180: *Provided*, That such reports shall provide the number and category of records submitted by month to each such database, by Service or Component: *Provided further*, That such reports shall identify the number and category of records submitted by month to those databases for which the Identification for Firearm Sales (IFFS) flag or other database flags were used to pre-validate the records and indicate that such persons are prohibited from receiving or possessing a firearm: *Provided further*, That such reports shall describe the steps taken during the previous 6 months, by Service or Component, to ensure complete and accurate submission and appropriate flagging of records of individuals prohibited from gun possession or receipt pursuant to 18 U.S.C. 922(g) or (n) including applicable records involving proceedings under the Uniform Code of Military Justice. ]

SEC. 8106. (a) Of the funds appropriated in this Act for the Department of Defense, amounts should be made available, under such regulations as the Secretary of Defense may prescribe, to local military commanders appointed by the Secretary, or by an officer or employee designated by the Secretary, to provide at their discretion ex gratia payments in amounts consistent with subsection (d) of this section for damage, personal injury, or death that is incident to combat operations of the Armed Forces in a foreign country.

(b) An ex gratia payment under this section may be provided only if—

- (1) the prospective foreign civilian recipient is determined by the local military commander to be friendly to the United States;
- (2) a claim for damages would not be compensable under chapter 163 of title 10, United States Code (commonly known as the "Foreign Claims Act"); and
- (3) the property damage, personal injury, or death was not caused by action by an enemy.

(c) Any payments provided under a program under subsection (a) shall not be considered an admission or acknowledgement of any legal obligation to compensate for any damage, personal injury, or death.

(d) If the Secretary of Defense determines a program under subsection (a) to be appropriate in a particular setting, the amounts of payments, if any, to be provided to civilians determined to have suffered harm incident to combat operations of the Armed Forces under the program should be determined pursuant to regulations prescribed by the Secretary and based on an assessment, which should include such factors as cultural appropriateness and prevailing economic conditions.

(e) Local military commanders shall receive legal advice before making ex gratia payments under this subsection. The legal advisor, under regulations of the Depart-

ment of Defense, shall advise on whether an *ex gratia* payment is proper under this section and applicable Department of Defense regulations.

(f) A written record of any *ex gratia* payment offered or denied shall be kept by the local commander and on a timely basis submitted to the appropriate office in the Department of Defense as determined by the Secretary of Defense.

(g) The Secretary of Defense shall report to the congressional defense committees on an annual basis the efficacy of the *ex gratia* payment program including the number of types of cases considered, amounts offered, the response from *ex gratia* payment recipients, and any recommended modifications to the program.】

【SEC. 8107. None of the funds available in this Act to the Department of Defense, other than appropriations made for necessary or routine refurbishments, upgrades or maintenance activities, shall be used to reduce or to prepare to reduce the number of deployed and non-deployed strategic delivery vehicles and launchers below the levels set forth in the report submitted to Congress in accordance with section 1042 of the National Defense Authorization Act for Fiscal Year 2012.】

【SEC. 8108. The Secretary of Defense shall post grant awards on a public Website in a searchable format.】

【SEC. 8109. The Secretary of each military department, in reducing each research, development, test and evaluation and procurement account of the military department as required under paragraph (1) of section 828(d) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92; 10 U.S.C. 2430 note), as amended by section 825(a)(3) of the National Defense Authorization Act for Fiscal Year 2018, shall allocate the percentage reduction determined under paragraph (2) of such section 828(d) proportionally from all programs, projects, or activities under such account: *Provided*, That the authority under section 804(d)(2) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92; 10 U.S.C. 2302 note) to transfer amounts available in the Rapid Prototyping Fund shall be subject to section 8005 or 9002 of this Act, as applicable.】

【SEC. 8110. None of the funds made available by this Act may be used to fund the performance of a flight demonstration team at a location outside of the United States: *Provided*, That this prohibition applies only if a performance of a flight demonstration team at a location within the United States was canceled during the current fiscal year due to insufficient funding.】

【SEC. 8111. None of the funds made available by this Act may be used by the National Security Agency to—

(1) conduct an acquisition pursuant to section 702 of the Foreign Intelligence Surveillance Act of 1978 for the purpose of targeting a United States person; or

(2) acquire, monitor, or store the contents (as such term is defined in section 2510(8) of title 18, United States Code) of any electronic communication of a United States person from a provider of electronic communication services to the public pursuant to section 501 of the Foreign Intelligence Surveillance Act of 1978.】

【SEC. 8112. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.】

【SEC. 8113. None of the funds made available in this or any other Act may be used to pay the salary of any officer or employee of any agency funded by this Act who approves or implements the transfer of administrative responsibilities or budgetary resources of any program, project, or activity financed by this Act to the jurisdiction of another Federal agency not financed by this Act without the express authorization of Congress: *Provided*, That this limitation shall not apply to transfers of funds expressly provided for in Defense Appropriations Acts, or provisions of Acts providing supplemental appropriations for the Department of Defense.】

SEC. 【8114】8046. Of the amounts appropriated in this Act for "Operation and Maintenance, Navy", 【\$310,805,000】 \$352,044,000, to remain available until expended, may be used for any purposes related to the National Defense Reserve Fleet established under section 11 of the Merchant Ship Sales Act of 1946 【(50 U.S.C. 4405)】 (46 U.S.C. 57100): *Provided*, That such amounts are available for reimbursements to the Ready Reserve Force, Maritime Administration account of the United States Department of Transportation for programs, projects, activities, and expenses related to the National Defense Reserve Fleet.

【SEC. 8115. None of the funds made available in this Act may be obligated for activities authorized under section 1208 of the Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (Public Law 112–81; 125 Stat. 1621) to initiate support for, or expand support to, foreign forces, irregular forces, groups, or individuals unless the congressional defense committees are notified in accordance with the direction contained in the classified annex accompanying this Act, not less than 15 days before initiating such support: *Provided*, That none of the funds made available in this Act may be used under section 1208 for any activity that is not in support of an ongoing military operation being conducted by United States Special Operations Forces to combat terrorism: *Provided further*, That the Secretary of Defense may waive the prohibitions in this section if the Secretary determines that such

waiver is required by extraordinary circumstances and, by not later than 72 hours after making such waiver, notifies the congressional defense committees of such waiver.】

【SEC. 8116. None of the funds made available by this Act may be used with respect to Iraq in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed forces into hostilities in Iraq, into situations in Iraq where imminent involvement in hostilities is clearly indicated by the circumstances, or into Iraqi territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of such Resolution (50 U.S.C. 1542 and 1543).】

【SEC. 8117. None of the funds provided in this Act for the TAO Fleet Oiler program shall be used to award a new contract that provides for the acquisition of the following components unless those components are manufactured in the United States: Auxiliary equipment (including pumps) for shipboard services; propulsion equipment (including engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes.】

【SEC. 8118. Notwithstanding any other provision of this Act, to mitigate higher than anticipated fuel costs, the total amount appropriated in title II of this Act is hereby increased by \$750,000,000.】

【SEC. 8119. No amounts credited or otherwise made available in this or any other Act to the Department of Defense Acquisition Workforce Development Fund may be transferred to:

(1) the Rapid Prototyping Fund established under section 804(d) of the National Defense Authorization Act for Fiscal Year 2016 (10 U.S.C. 2302 note); or

(2) credited to a military-department specific fund established under section 804(d)(2) of the National Defense Authorization Act for Fiscal Year 2016 (as amended by section 897 of the National Defense Authorization Act for Fiscal Year 2017).】

【SEC. 8120. None of the funds made available by this Act may be used for Government Travel Charge Card expenses by military or civilian personnel of the Department of Defense for gaming, or for entertainment that includes topless or nude entertainers or participants, as prohibited by Department of Defense FMR, Volume 9, Chapter 3 and Department of Defense Instruction 1015.10 (enclosure 3, 14a and 14b).】

【SEC. 8121. Notwithstanding any other provision of law, from funds made available to the Department of Defense in title II of this Act under the heading "Operation and Maintenance, Defense-Wide", \$15,000,000 shall be available for a project in a country designated by the Secretary of Defense: *Provided*, That in furtherance of the project, the Department of Defense is authorized to acquire services, including services performed pursuant to a grant agreement, from another Federal agency, on an advance of funds or reimbursable basis: *Provided further*, That an order for services placed under this section is deemed to be an obligation in the same manner that a similar order placed under a contract with a private contractor is an obligation.】

【SEC. 8122. None of the funds made available by this Act may be used to propose, plan for, or execute a new or additional Base Realignment and Closure (BRAC) round.】

【SEC. 8123. None of the funds appropriated by this Act may be made available to deliver F-35 aircraft to the Republic of Turkey, except in accordance with section 1282 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Public Law 115–232).】

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8124】8047. Of the amounts appropriated in this Act, the Secretary of Defense may use up to 【\$65,442,000】 \$82,046,000 under the heading "Operation and Maintenance, Defense-Wide", and up to 【\$55,400,000】 \$44,001,000 under the heading "Research, Development, Test and Evaluation, Defense-Wide" to develop, replace, and sustain Federal Government security and suitability background investigation information technology systems of the Office of Personnel Management or other Federal agency responsible for conducting such investigations: *Provided*, That the Secretary may transfer additional amounts into these headings or into "Procurement, Defense-Wide" using established reprogramming procedures prescribed in the Department of Defense Financial Management Regulation 7000.14, Volume 3, Chapter 6, dated September 2015: *Provided further*, That such funds shall supplement, not supplant any other amounts made available to other Federal agencies for such purposes.

【SEC. 8125. None of the funds made available by this Act may be used to carry out the closure or realignment of the United States Naval Station, Guantanamo Bay, Cuba.】

【SEC. 8126. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network is designed to block access to pornography websites.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out crim-

inal investigations, prosecution, or adjudication activities, or for any activity necessary for the national defense, including intelligence activities.】

【SEC. 8127. Notwithstanding any other provision of law, any transfer of funds appropriated or otherwise made available by this Act to the Global Engagement Center established by section 1287 of the National Defense Authorization Act for Fiscal Year 2017 (Public Law 114–328; 130 Stat. 22 U.S.C. 2656 note) shall be made in accordance with section 8005 or 9002 of this Act, as applicable.】

【SEC. 8128. In addition to amounts provided elsewhere in this Act, there is appropriated \$270,000,000, for an additional amount for "Operation and Maintenance, Defense-Wide", to remain available until expended: *Provided*, That such funds shall only be available to the Secretary of Defense, acting through the Office of Economic Adjustment of the Department of Defense, or for transfer to the Secretary of Education, notwithstanding any other provision of law, to make grants, conclude cooperative agreements, or supplement other Federal funds to construct, renovate, repair, or expand elementary and secondary public schools on military installations in order to address capacity or facility condition deficiencies at such schools: *Provided further*, That in making such funds available, the Office of Economic Adjustment or the Secretary of Education shall give priority consideration to those military installations with schools having the most serious capacity or facility condition deficiencies as determined by the Secretary of Defense: *Provided further*, That as a condition of receiving funds under this section a local educational agency or State shall provide a matching share as described in the notice titled "Department of Defense Program for Construction, Renovation, Repair or Expansion of Public Schools Located on Military Installations" published by the Department of Defense in the Federal Register on September 9, 2011 (76 Fed. Reg. 55883 et seq.): *Provided further*, That these provisions apply to funds provided under this section, and to funds previously provided by Congress to construct, renovate, repair, or expand elementary and secondary public schools on military installations in order to address capacity or facility condition deficiencies at such schools to the extent such funds remain unobligated on the date of enactment of this section.】

【SEC. 8129. In carrying out the program described in the memorandum on the subject of "Policy for Assisted Reproductive Services for the Benefit of Seriously or Severely Ill/Injured (Category II or III) Active Duty Service Members" issued by the Assistant Secretary of Defense for Health Affairs on April 3, 2012, and the guidance issued to implement such memorandum, the Secretary of Defense shall apply such policy and guidance, except that—

(1) the limitation on periods regarding embryo cryopreservation and storage set forth in part III(G) and in part IV(H) of such memorandum shall not apply; and

(2) the term "assisted reproductive technology" shall include embryo cryopreservation and storage without limitation on the duration of such cryopreservation and storage.】

【SEC. 8130. None of the funds made available by this Act may be used to provide arms, training, or other assistance to the Azov Battalion.】

【SEC. 8131. None of the funds made available by this Act may be used to purchase heavy water from Iran.】

【SEC. 8132. The amount appropriated in title II of this Act for "Operation and Maintenance, Army" is hereby reduced by \$50,000,000 to reflect excess cash balances in Department of Defense Working Capital Funds.】

【SEC. 8133. The amount appropriated in title II of this Act for "Operation and Maintenance, Navy" is hereby reduced by \$50,000,000 to reflect excess cash balances in Department of Defense Working Capital Funds.】

SEC. 【8134】8048. None of the funds provided for, or otherwise made available, in this or any other Act, may be obligated or expended by the Secretary of Defense to provide motorized vehicles, aviation platforms, munitions other than small arms and munitions appropriate for customary ceremonial honors, operational military units, or operational military platforms if the Secretary determines that providing such units, platforms, or equipment would undermine the readiness of such units, platforms, or equipment.

SEC. 【8135】8049. The Secretary of Defense may obligate and expend funds made available under this Act for procurement or for research, development, test and evaluation for the F-35 Joint Strike Fighter to modify up to six F-35 aircraft, including up to two F-35 aircraft of each variant, to a test configuration: *Provided*, That the Secretary of Defense shall, with the concurrence of the Secretary of the Air Force and the Secretary of the Navy, notify the congressional defense committees not fewer than 30 days prior to obligating and expending funds under this section: *Provided further*, That any transfer of funds pursuant to the authority provided in this section shall be made in accordance with sections 8005 or 9002 of this Act, as appropriate, if applicable: *Provided further*, That aircraft referred to previously in this section are not additional to aircraft referred to in section 8135 of the Department of Defense Appropriations Act, 2019.

【SEC. 8136. Amounts appropriated for "Defense Health Program" in this Act and hereafter may be obligated to make death gratuity payments, as authorized in subchapter II of chapter 75 of title 10, United States Code, if no appropriation for "Military Personnel" is available for obligation for such payments: *Provided*, That such obligations may subsequently be recorded against appropriations available for "Military Personnel".】

【SEC. 8137. None of the funds appropriated or otherwise made available by this or any other Act may be obligated or expended by the Department of Defense to migrate data and applications to the proposed Joint Enterprise Defense Infrastructure or the Defense Enterprise Office Solutions cloud computing services until a period of 90 days has elapsed following the date on which the Secretary of Defense submits to the congressional defense committees—

(1) a proposed plan to establish a budget accounting system that provides transparency across the Department, including all military Services and Defense Agencies, for funds requested and expended for all cloud computing services procured by the Department and funds requested and expended to migrate to a cloud computing environment; and

(2) a detailed description of the Department's strategy to implement enterprise-wide cloud computing, including the goals and acquisition strategies for all proposed enterprise-wide cloud computing service procurements; the strategy to sustain competition and innovation throughout the period of performance of each contract, including defining opportunities for multiple cloud service providers and insertion of new technologies; and an assessment of potential threats and security vulnerabilities of the proposed cloud computing strategy, and plans to mitigate such risks.】

【SEC. 8138. (a) None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting such tax liability, provided that the applicable Federal agency is aware of the unpaid Federal tax liability.

(b) Subsection (a) shall not apply if the applicable Federal agency has considered suspension or debarment of the corporation described in such subsection and has made a determination that such suspension or debarment is not necessary to protect the interests of the Federal Government.】

【SEC. 8139. None of the funds appropriated or otherwise made available by this Act may be obligated or expended for assistance to the Islamic Republic of Iran unless specifically appropriated for that purpose.】

【SEC. 8140. From amounts appropriated or otherwise made available by title II of this division under the heading "Operation and maintenance, Air Force", the Secretary of Defense may reimburse the Government of the Republic of Palau in an amount not to exceed \$9,700,000 for land acquisition costs for defense sites.】

【SEC. 8141. None of the funds made available by this Act may be used in contravention of—

(1) Executive Order No. 13175 (65 Fed. Reg. 67249; relating to consultation and coordination with Indian Tribal governments); or

(2) section 1501.2(d)(2) of title 40, Code of Federal Regulations.】

【SEC. 8142. Of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Air National Guard", not more than \$20,000,000 shall be available to the Secretary of the Air Force for payments to a local water authority located in the vicinity of an Air National Guard base, or to a state in which the local water authority is located, for the treatment of perfluorooctane sulfonic acid and perfluorooctanoic acid in drinking water from the wells owned and operated by the local water authority undertaken to attain the United States Environmental Protection Agency Lifetime Health Advisory level for such acids: *Provided*, That the applicable Lifetime Health Advisory shall be the one in effect on October 1, 2017: *Provided further*, That the local water authority must have requested such a payment from the National Guard Bureau in fiscal year 2018: *Provided further*, That the elevated levels of such acids in the water was the result of activities conducted by or paid for by the Department of the Air Force: *Provided further*, That such funds may be expended without regard to existing contractual provisions in agreements between the Department of the Air Force or the National Guard Bureau, as the case may be, and the state in which the base is located relating to environmental response actions or indemnification: *Provided further*, That, in order to be eligible for payment under this section, such treatment must have taken place after January 1, 2017, but prior to the date of enactment of this act, and the local water authority or state, as the case may be, must waive all claims for treatment expenses incurred before such date of enactment: *Provided further*, That any payment under this section may not exceed the actual cost of such treatment resulting from the activities conducted by or paid for by the Department of the Air Force: *Provided further*, That

the Secretary may enter into such agreements with the local water authority or state as may be necessary to implement this section: *Provided further*, That the Secretary may pay, utilizing the Defense State Memorandum of Agreement, costs that would otherwise be eligible for payment under that agreement were those costs paid using funds appropriated to the Environmental Restoration Account, Air Force, established under section 2703(a)(4) of title 10, United States Code. **■**

SEC. 8050. Amounts appropriated for "Procurement, Defense-Wide" in this Act may be used for the purchase of up to 60 new passenger carrying motor vehicles at a cost of not more than \$47,000 per vehicle for use by the Defense POW/MIA Accounting Agency in carrying out the responsibilities specified in Section 1501 of title 10, U.S. Code, in the United States Indo-Pacific Command, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 8051. Offunds made available by section 8102 of the Department of Defense Appropriations Act, 2014 (division C of Public Law 113–76), up to \$13,000,000 shall be available to make grants, conclude cooperative agreements, and supplement other Federal funds for the following authorized purposes: public healthcare professionals and public health laboratory staff, laboratory and medical equipment, and medical supplies: *Provided*, That the Secretary of Defense shall, not fewer than 15 days prior to obligating funds for any of the forgoing authorized purposes, notify the congressional defense committees in writing of the details of any such obligation.

SEC. 8052. Funds appropriated for the Next Generation Aerial Refueling Aircraft (KC-46), Missile Segment Enhancement (MSE) Missile, and Trident missile programs by the Department of Defense Appropriations Act, 2014 (division C of Public Law 113–76) and the Department of Defense Appropriations Act, 2015 (division C of Public Law 113–235) are to remain available through 2024 for the liquidation of valid obligations incurred as of September 30, 2016.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8053. *The Secretary of Defense may transfer funds from any available Department of the Navy appropriation to any available Navy ship construction appropriation for the purpose of liquidating necessary changes resulting from inflation, market fluctuations, or rate adjustments for any ship construction program appropriated in law: Provided*, That the Secretary may transfer not to exceed \$100,000,000 under the authority provided by this section: *Provided further*, That the Secretary may not transfer any funds until 30 days after the proposed transfer has been reported to the Committees on Appropriations of the House of Representatives and the Senate, unless a response from the Committees is received sooner: *Provided further*, That any funds transferred pursuant to this section shall retain the same period of availability as when originally appropriated: *Provided further*, That the transfer authority provided by this section is in addition to any other transfer authority contained elsewhere in this Act.

SEC. 8054. During fiscal year 2020, any advance billing for background investigation services and related services purchased from activities financed using Defense Working Capital Fund shall be excluded from the calculation of cumulative advance billings under section 2208(l)(3) of title 10, United States Code.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8055. Amounts transferred from the Defense Modernization Account under section 2216(f) of title 10, United States Code, shall merge with and be available for the same purposes and for the same time period as the appropriation or fund to which transferred: *Provided*, That upon a determination that all or part of the funds transferred from the Defense Modernization Account are not necessary for the purposes provided under section 2216(d) of title 10, such amounts may be transferred back to the Defense Modernization Account. (Department of Defense Appropriations Act, 2019.)

# OVERSEAS CONTINGENCY OPERATIONS

## DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

### MILITARY PERSONNEL

#### ACTIVE AND RESERVE FORCES

The following amounts include funds for pay, allowances, subsistence, and other personnel costs for Active and Reserve component service members activated for duty in Afghanistan, in Iraq and the Levant, and other areas around the world in support of Operation Freedom's Sentinel, Operation Inherent Resolve, and related missions as well as support for expanded European deterrence activities. The request includes funding for Guard and Reserve mobilization costs and many special pays for deployed troops, including imminent danger pay, family separation allowance, hardship duty pay, and other special and incentive pays.

#### Federal Funds

#### MILITARY PERSONNEL, ARMY

(Overseas contingency operations)

For an additional amount for "Military Personnel, Army", **[\$2,929,154,000]** \$2,743,132,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

#### Program and Financing (in millions of dollars)

Identification code 021–2010–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and Allowances of Officers .....		724	
0002 Pay and Allowances of Enlisted .....		1,573	
0004 Subsistence of Enlisted Personnel .....		402	
0005 Permanent change of station travel .....		5	
0006 Other military personnel costs .....		38	
0900 Total new obligations, unexpired accounts .....			2,742
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		2,743	
1930 Total budgetary resources available .....		2,743	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		2,742	
3020 Outlays (gross) .....		-2,469	
3050 Unpaid obligations, end of year .....		273	
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			273
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		2,743	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2,469	
4180 Budget authority, net (total) .....		2,743	
4190 Outlays, net (total) .....		2,469	

#### Object Classification (in millions of dollars)

Identification code 021–2010–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....		453	
11.7 Military personnel .....		1,766	

11.9 Total personnel compensation .....	2,219
12.2 Military personnel benefits .....	301
12.2 Military personnel benefits .....	180
13.0 Benefits for former personnel .....	22
21.0 Travel and transportation of persons .....	1
22.0 Transportation of things .....	3
42.0 Insurance claims and indemnities .....	12
43.0 Interest and dividends .....	4
99.9 Total new obligations, unexpired accounts .....	2,742

#### MILITARY PERSONNEL, NAVY (Overseas contingency operations)

For an additional amount for "Military Personnel, Navy", **[\$385,461,000]** \$356,392,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

#### Program and Financing (in millions of dollars)

Identification code 017–1453–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....		127	
0002 Pay and allowances of enlisted personnel .....		181	
0004 Subsistence of enlisted personnel .....		32	
0005 Permanent change of station travel .....		9	
0006 Other Military Personnel Costs .....		7	
0900 Total new obligations, unexpired accounts .....			356
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		356	
1930 Total budgetary resources available .....		356	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		356	
3020 Outlays (gross) .....		-338	
3050 Unpaid obligations, end of year .....		18	
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		356	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		338	
4180 Budget authority, net (total) .....		356	
4190 Outlays, net (total) .....		338	

#### Object Classification (in millions of dollars)

Identification code 017–1453–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....		69	
11.7 Military personnel .....		201	
11.9 Total personnel compensation .....		270	
12.2 Military personnel benefits .....		37	
12.2 Military personnel benefits .....		34	
13.0 Benefits for former personnel .....		3	
21.0 Travel and transportation of persons .....		9	
42.0 Insurance claims and indemnities .....		3	

MILITARY PERSONNEL, NAVY—Continued  
Object Classification—Continued

Identification code 017-1453-8-1-051	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....			356

MILITARY PERSONNEL, MARINE CORPS  
(Overseas contingency operations)

For an additional amount for "Military Personnel, Marine Corps", **[\$109,232,000]** \$104,213,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017-1105-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			46
0002 Pay and allowances of enlisted personnel .....			54
0004 Subsistence of enlisted personnel .....			3
0006 Other military personnel costs .....			1
0900 Total new obligations, unexpired accounts .....			104

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			104
1930 Total budgetary resources available .....			104

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			104
3020 Outlays (gross) .....			-98
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			104
Outlays, gross:			
4010 Outlays from new discretionary authority .....			98
4180 Budget authority, net (total) .....			104
4190 Outlays, net (total) .....			98

Object Classification (in millions of dollars)

Identification code 017-1105-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			21
11.7 Military personnel .....			59
11.9 Total personnel compensation .....			80
12.2 Military personnel benefits .....			11
12.2 Military personnel benefits .....			13
99.9 Total new obligations, unexpired accounts .....			104

MILITARY PERSONNEL, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Military Personnel, Air Force", **[\$964,508,000]** \$1,007,594,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if*

*the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 057-3500-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pay and allowances of officers .....			222
0002 Pay and allowances of enlisted .....			615
0004 Subsistence of enlisted personnel .....			151
0006 Other Military Personnel Costs .....			19
0900 Total new obligations, unexpired accounts .....			1,007

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,008
1930 Total budgetary resources available .....			1,008
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,007
3020 Outlays (gross) .....			-913
3050 Unpaid obligations, end of year .....			94
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			94

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,008
Outlays, gross:			
4010 Outlays from new discretionary authority .....			913
4180 Budget authority, net (total) .....			1,008
4190 Outlays, net (total) .....			913

Object Classification (in millions of dollars)

Identification code 057-3500-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			173
11.7 Military personnel .....			645
11.9 Total personnel compensation .....			818
12.2 Military personnel benefits .....			111
12.2 Military personnel benefits .....			58
13.0 Benefits for former personnel .....			12
42.0 Insurance claims and indemnities .....			8
99.9 Total new obligations, unexpired accounts .....			1,007

RESERVE PERSONNEL, ARMY  
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Army", **[\$37,007,000]** \$34,812,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 021-2070-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			35

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			35

1930	Total budgetary resources available .....	35
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	New obligations, unexpired accounts .....	35
3020	Outlays (gross) .....	-32
3050	Unpaid obligations, end of year .....	3
Memorandum (non-add) entries:		
3200	Obligated balance, end of year .....	3
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	35
Outlays, gross:		
4010	Outlays from new discretionary authority .....	32
4180	Budget authority, net (total) .....	35
4190	Outlays, net (total) .....	32

**Object Classification** (in millions of dollars)

Identification code 021-2070-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing .....		1
11.7	Military personnel .....		23
11.9	Total personnel compensation .....		24
12.2	Military personnel benefits .....		6
12.2	Military personnel benefits .....		1
21.0	Travel and transportation of persons .....		4
99.9	Total new obligations, unexpired accounts .....		35

**RESERVE PERSONNEL, NAVY**

(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Navy", **[\$11,100,000]** \$11,370,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1405-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Reserve Component Training and Support .....		11

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		11
1930	Total budgetary resources available .....		11

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		11
3020	Outlays (gross) .....		-10
3050	Unpaid obligations, end of year .....		1
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		1

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		11
Outlays, gross:			
4010	Outlays from new discretionary authority .....		10
4180	Budget authority, net (total) .....		11
4190	Outlays, net (total) .....		10

**Object Classification** (in millions of dollars)

Identification code 017-1405-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing .....		2
11.7	Military personnel .....		5
11.9	Total personnel compensation .....		7
12.2	Military personnel benefits .....		1
21.0	Travel and transportation of persons .....		3
99.9	Total new obligations, unexpired accounts .....		11

**RESERVE PERSONNEL, MARINE CORPS**

(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Marine Corps", **[\$2,380,000]** \$3,599,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1108-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Reserve component training and support .....		4

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		4
1930	Total budgetary resources available .....		4

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		4
3020	Outlays (gross) .....		-4

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		4
Outlays, gross:			
4010	Outlays from new discretionary authority .....		4
4180	Budget authority, net (total) .....		4
4190	Outlays, net (total) .....		4

**Object Classification** (in millions of dollars)

Identification code 017-1108-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing .....		1
11.7	Military personnel .....		2
11.9	Total personnel compensation .....		3
21.0	Travel and transportation of persons .....		1
99.9	Total new obligations, unexpired accounts .....		4

**RESERVE PERSONNEL, AIR FORCE**

(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Air Force", **[\$21,076,000]** \$16,428,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global*

RESERVE PERSONNEL, AIR FORCE—Continued

War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 057–3700–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			16
1930 Total budgetary resources available .....			16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			16
3020 Outlays (gross) .....			-15
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			16
Outlays, gross:			
4010 Outlays from new discretionary authority .....			15
4180 Budget authority, net (total) .....			16
4190 Outlays, net (total) .....			15

Object Classification (in millions of dollars)

Identification code 057–3700–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			2
11.7 Military personnel .....			7
11.9 Total personnel compensation .....			9
12.2 Military personnel benefits .....			2
12.2 Military personnel benefits .....			1
21.0 Travel and transportation of persons .....			4
99.9 Total new obligations, unexpired accounts .....			16

NATIONAL GUARD PERSONNEL, ARMY  
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Army", [ \$195,283,000 ] \$202,644,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 021–2060–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve Component Training and Support .....			203
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			203
1930 Total budgetary resources available .....			203
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			203
3020 Outlays (gross) .....			-186

3050 Unpaid obligations, end of year .....			17
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....			203
Outlays, gross:			
4010 Outlays from new discretionary authority .....			186
4180 Budget authority, net (total) .....			203
4190 Outlays, net (total) .....			186

Object Classification (in millions of dollars)

Identification code 021–2060–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			15
11.7 Military personnel .....			135
11.9 Total personnel compensation .....			150
12.2 Military personnel benefits .....			27
12.2 Military personnel benefits .....			9
21.0 Travel and transportation of persons .....			17
99.9 Total new obligations, unexpired accounts .....			203

NATIONAL GUARD PERSONNEL, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Air Force", [ \$5,460,000 ] \$5,624,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 057–3850–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reserve component training and support .....			6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			6
1930 Total budgetary resources available .....			6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			6
3020 Outlays (gross) .....			-6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			6
Outlays, gross:			
4010 Outlays from new discretionary authority .....			6
4180 Budget authority, net (total) .....			6
4190 Outlays, net (total) .....			6

Object Classification (in millions of dollars)

Identification code 057–3850–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing .....			1
11.7 Military personnel .....			3
11.9 Total personnel compensation .....			4
12.2 Military personnel benefits .....			1
21.0 Travel and transportation of persons .....			1

99.9	Total new obligations, unexpired accounts .....	6
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**OPERATION AND MAINTENANCE**

The requests that follow would fund military operation and maintenance activities in Afghanistan, Iraq and the Levant, and other areas that directly support Operation Freedom's Sentinel, Operation Inherent Resolve, and other overseas contingency operations (OCO). The requests would also provide for certain base budget operation and maintenance activities of the Active and Reserve components of the Military Services, Defense Agencies, and Defense-Wide activities. The requests fund certain classified activities.

OCO requests finance the direct cost of combat operations as well as combat support activities. OCO funding is used for pre-deployment training, equipment, subsistence and logistics support, aircraft flying hours, ship steaming days, military intelligence activities, fuel and supply purchases, communications, maintenance, procurement of ammunition and missiles, and certain military personnel costs. OCO funding also finances activities that support contingency missions such as base and facility support, contract oversight, security cooperation, detainee operations, Defense Health Programs, family support programs, and counter-drug activities.

OCO requests also fund specific programs including the European Deterrence Initiative, the Afghanistan Security Forces Fund, the Commander's Emergency Response Program in Afghanistan, the Office of Security Cooperation-Iraq, the Counter-Islamic State of Iraq and Syria Train and Equip Fund, and funds to reimburse coalition allies.

**Federal Funds**

**OPERATION AND MAINTENANCE, ARMY**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army", **[\$18,548,500,000]** \$37,987,549,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 021-2020-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....			35,106
0002 Mobilization .....			132
0004 Administration and Service-wide Activities .....			2,750
0799 Total direct obligations .....			37,988
0801 Reimbursable program activity .....			4,709
0900 Total new obligations, unexpired accounts .....			42,697
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			37,988
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			4,709
1900 Budget authority (total) .....			42,697
1930 Total budgetary resources available .....			42,697
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			42,697
3020 Outlays (gross) .....			-26,742
3050 Unpaid obligations, end of year .....			15,955
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			15,955

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			42,697
Outlays, gross:			
4010 Outlays from new discretionary authority .....			26,742
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-4,709
4040 Offsets against gross budget authority and outlays (total) ...			-4,709
4180 Budget authority, net (total) .....			37,988
4190 Outlays, net (total) .....			22,033

**Object Classification** (in millions of dollars)

Identification code 021-2020-8-1-051	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			4,008
11.9 Total personnel compensation .....			4,008
21.0 Travel and transportation of persons .....			961
22.0 Transportation of things .....			1,740
23.1 Rental payments to GSA .....			158
23.2 Rental payments to others .....			358
23.3 Communications, utilities, and miscellaneous charges .....			1,334
24.0 Printing and reproduction .....			16
25.1 Advisory and assistance services .....			2,266
25.2 Other services from non-Federal sources .....			2,192
25.3 Other goods and services from Federal sources .....			2,301
25.3 Other goods and services from Federal sources .....			369
25.3 Other goods and services from Federal sources .....			2,255
25.4 Operation and maintenance of facilities .....			7,490
25.6 Medical care .....			16
25.7 Operation and maintenance of equipment .....			1,558
25.8 Subsistence and support of persons .....			158
26.0 Supplies and materials .....			8,039
31.0 Equipment .....			2,435
32.0 Land and structures .....			282
41.0 Grants, subsidies, and contributions .....			50
42.0 Insurance claims and indemnities .....			1
43.0 Interest and dividends .....			1
99.0 Direct obligations .....			37,988
99.0 Reimbursable obligations .....			4,709
99.9 Total new obligations, unexpired accounts .....			42,697

**Employment Summary**

Identification code 021-2020-8-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			43,007
2001 Reimbursable civilian full-time equivalent employment .....			1,447

**OPERATION AND MAINTENANCE, NAVY**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy", **[\$5,172,155,000]** \$31,734,683,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1804-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			31,354
0002 Mobilization .....			208
0003 Training and recruiting .....			52
0004 Administration and servicewide activities .....			121
0799 Total direct obligations .....			31,735
0801 Reimbursable program activity .....			1,109
0900 Total new obligations, unexpired accounts .....			32,844

OPERATION AND MAINTENANCE, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1804-8-1-051	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			31,735
Spending authority from offsetting collections, discretionary:			
1700			1,109
1900			32,844
1930			32,844
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			32,844
3020			-22,689
3050			10,155
Memorandum (non-add) entries:			
3200			10,155
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			32,844
Outlays, gross:			
4010			22,689
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030			-1,109
4180			31,735
4190			21,580

Object Classification (in millions of dollars)

Identification code 017-1804-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1			3,981
11.3			25
11.5			523
11.8			2
11.9			4,531
12.1			1,623
13.0			4
21.0			496
22.0			271
23.1			39
23.2			222
23.3			606
24.0			4
25.1			229
25.2			323
25.3			2,196
25.3			82
25.3			4,977
25.4			545
25.5			1
25.7			5,901
25.8			84
26.0			5,823
31.0			3,753
32.0			25
99.0			31,735
99.0			1,109
99.9			32,844

Employment Summary

Identification code 017-1804-8-1-051	2018 actual	2019 est.	2020 est.
1001			54,168
2001			9,656

OPERATION AND MAINTENANCE, MARINE CORPS  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps", **[\$1,292,995,000] \$5,123,470,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017-1106-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001			5,027
0003			30
0004			66
0799			5,123
0801			51
0900			5,174
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100			5,123
Spending authority from offsetting collections, discretionary:			
1700			51
1900			5,174
1930			5,174

Change in obligated balance:

Unpaid obligations:			
3010			5,174
3020			-3,125
3050			2,049
Memorandum (non-add) entries:			
3200			2,049

Budget authority and outlays, net:

Discretionary:			
4000			5,174
Outlays, gross:			
4010			3,125
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030			-51
4180			5,123
4190			3,074

Object Classification (in millions of dollars)

Identification code 017-1106-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1			690
11.3			3
11.5			22
11.9			715
12.1			224
21.0			336
22.0			76
23.1			1
23.2			27
23.3			195
24.0			9
25.1			671
25.2			232
25.3			368
25.3			24
25.3			559
25.4			165
25.6			1
25.7			206
25.8			40
26.0			1,104
31.0			133
32.0			34

41.0	Grants, subsidies, and contributions .....			3
99.0	Direct obligations .....			5,123
99.0	Reimbursable obligations .....			51
99.9	Total new obligations, unexpired accounts .....			5,174

**Employment Summary**

Identification code 017–1106–8–1–051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			8,913
2001 Reimbursable civilian full-time equivalent employment .....			603

**OPERATION AND MAINTENANCE, AIR FORCE**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force", **[\$9,828,674,000]** \$33,028,712,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).* (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057–3400–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			31,109
0002 Mobilization .....			1,381
0003 Training and recruiting .....			31
0004 Administration and servicewide activities .....			508
0799 Total direct obligations .....			33,029
0801 Reimbursable program activity .....			218
0900 Total new obligations, unexpired accounts .....			33,247

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			33,029
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			218
1900 Budget authority (total) .....			33,247
1930 Total budgetary resources available .....			33,247

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			33,247
3020 Outlays (gross) .....			-20,035
3050 Unpaid obligations, end of year .....			13,212
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			13,212

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			33,247
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			20,035
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....			-218
4180 Budget authority, net (total) .....			33,029
4190 Outlays, net (total) .....			19,817

**Object Classification** (in millions of dollars)

Identification code 057–3400–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			2,004
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			77
11.9 Total personnel compensation .....			2,082
12.1 Civilian personnel benefits .....			697

13.0	Benefits for former personnel .....			7
21.0	Travel and transportation of persons .....			776
22.0	Transportation of things .....			236
23.1	Rental payments to GSA .....			4
23.2	Rental payments to others .....			34
23.3	Communications, utilities, and miscellaneous charges .....			2,797
24.0	Printing and reproduction .....			5
25.1	Advisory and assistance services .....			441
25.2	Other services from non-Federal sources .....			724
25.3	Other goods and services from Federal sources .....			211
25.3	Other goods and services from Federal sources .....			50
25.3	Other goods and services from Federal sources .....			3,984
25.4	Operation and maintenance of facilities .....			706
25.5	Research and development contracts .....			8
25.6	Medical care .....			11
25.7	Operation and maintenance of equipment .....			11,336
25.8	Subsistence and support of persons .....			168
26.0	Supplies and materials .....			7,578
31.0	Equipment .....			377
32.0	Land and structures .....			774
41.0	Grants, subsidies, and contributions .....			4
42.0	Insurance claims and indemnities .....			19
99.0	Direct obligations .....			33,029
99.0	Reimbursable obligations .....			218
99.9	Total new obligations, unexpired accounts .....			33,247

**Employment Summary**

Identification code 057–3400–8–1–051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			29,019
2001 Reimbursable civilian full-time equivalent employment .....			1,830

**OPERATION AND MAINTENANCE, DEFENSE-WIDE**  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Defense-Wide", **[\$8,105,991,000]** \$8,448,612,000: *Provided*, That of the funds provided under this heading, not to exceed **[\$900,000,000]** \$450,000,000, to remain available until September 30, **[2020]** 2021, shall be for payments to reimburse key cooperating nations for logistical, military, and other support, including access, provided to United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria: *Provided further*, That such reimbursement payments may be made in such amounts as the Secretary of Defense, with the concurrence of the Secretary of State, and in consultation with the Director of the Office of Management and Budget, may determine, based on documentation determined by the Secretary of Defense to adequately account for the support provided, and such determination is final and conclusive upon the accounting officers of the United States, and 15 days following notification to the appropriate congressional committees: *Provided further*, That these funds may be used for the purpose of providing specialized training and procuring supplies and specialized equipment and providing such supplies and loaning such equipment on a non-reimbursable basis to coalition forces supporting United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria, and 15 days following notification to the appropriate congressional committees: *Provided further*, That these funds may be used to support the Government of Jordan in such amounts as the Secretary of Defense may determine, to enhance the ability of the armed forces of Jordan to increase or sustain security along its borders, upon 15 days prior written notification to the congressional defense committees outlining the amounts intended to be provided and the nature of the expenses incurred: *Provided further*, That of the funds provided under this heading, not to exceed **[\$793,442,000]** \$1,061,968,000, to remain available until September 30, **[2020]** 2021, shall be available to provide support and assistance to foreign security forces or other groups or individuals to conduct, support or facilitate counterterrorism, crisis response, or other Department of Defense security cooperation programs: *Provided further*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of funds provided in this paragraph: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).* (Department of Defense Appropriations Act, 2019.)

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued  
**Program and Financing** (in millions of dollars)

Identification code 097–0100–8–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			3,825
0004 Administration and servicewide activities .....			4,624
0799 Total direct obligations .....			8,449
0900 Total new obligations, unexpired accounts .....			8,449
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			8,449
1900 Budget authority (total) .....			8,449
1930 Total budgetary resources available .....			8,449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			8,449
3020 Outlays (gross) .....			–5,407
3050 Unpaid obligations, end of year .....			3,042
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3,042
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			8,449
Outlays, gross:			
4010 Outlays from new discretionary authority .....			5,407
4180 Budget authority, net (total) .....			8,449
4190 Outlays, net (total) .....			5,407

**Object Classification** (in millions of dollars)

Identification code 097–0100–8–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			65
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			47
11.8 Special personal services payments .....			38
11.9 Total personnel compensation .....			151
12.1 Civilian personnel benefits .....			19
21.0 Travel and transportation of persons .....			214
22.0 Transportation of things .....			8
23.2 Rental payments to others .....			25
23.3 Communications, utilities, and miscellaneous charges .....			343
25.1 Advisory and assistance services .....			678
25.2 Other services from non-Federal sources .....			761
25.3 Other goods and services from Federal sources .....			468
25.3 Other goods and services from Federal sources .....			372
25.4 Operation and maintenance of facilities .....			12
25.6 Medical care .....			1
25.7 Operation and maintenance of equipment .....			1,675
26.0 Supplies and materials .....			3,336
31.0 Equipment .....			386
99.0 Direct obligations .....			8,449
99.9 Total new obligations, unexpired accounts .....			8,449

**Employment Summary**

Identification code 097–0100–8–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			447

OFFICE OF THE INSPECTOR GENERAL  
(Overseas contingency operations)

For an additional amount for the "Office of the Inspector General", **[\$24,692,000]** \$24,254,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if*

*the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 097–0107–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....			24
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			24
1930 Total budgetary resources available .....			24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			24
3020 Outlays (gross) .....			–19
3050 Unpaid obligations, end of year .....			5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			24
Outlays, gross:			
4010 Outlays from new discretionary authority .....			19
4180 Budget authority, net (total) .....			24
4190 Outlays, net (total) .....			19

**Object Classification** (in millions of dollars)

Identification code 097–0107–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			9
11.5 Other personnel compensation .....			5
11.9 Total personnel compensation .....			14
12.1 Civilian personnel benefits .....			7
21.0 Travel and transportation of persons .....			2
25.3 Other goods and services from Federal sources .....			1
99.9 Total new obligations, unexpired accounts .....			24

**Employment Summary**

Identification code 097–0107–8–1–051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			101

OPERATION AND MAINTENANCE, ARMY RESERVE  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army Reserve", **[\$41,887,000]** \$1,986,599,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 021–2080–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			1,987
0801 Reimbursable program activity .....			15
0900 Total new obligations, unexpired accounts .....			2,002

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		1,987
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	15	
1900	Budget authority (total) .....	2,002	
1930	Total budgetary resources available .....	2,002	

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....	2,002	
3020	Outlays (gross) .....	-1,247	
3050	Unpaid obligations, end of year .....	755	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	755	

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....	2,002	
Outlays, gross:			
4010	Outlays from new discretionary authority .....	1,247	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-15	
4180	Budget authority, net (total) .....	1,987	
4190	Outlays, net (total) .....	1,232	

**Object Classification (in millions of dollars)**

Identification code 021-2080-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	819	
12.1	Civilian personnel benefits .....	4	
21.0	Travel and transportation of persons .....	128	
22.0	Transportation of things .....	74	
23.1	Rental payments to GSA .....	3	
23.2	Rental payments to others .....	5	
23.3	Communications, utilities, and miscellaneous charges .....	136	
24.0	Printing and reproduction .....	1	
25.1	Advisory and assistance services .....	22	
25.2	Other services from non-Federal sources .....	109	
25.3	Other goods and services from Federal sources .....	3	
25.3	Other goods and services from Federal sources .....	82	
25.4	Operation and maintenance of facilities .....	144	
25.6	Medical care .....	3	
25.7	Operation and maintenance of equipment .....	63	
25.8	Subsistence and support of persons .....	22	
26.0	Supplies and materials .....	306	
31.0	Equipment .....	63	
99.0	Direct obligations .....	1,987	
99.0	Reimbursable obligations .....	15	
99.9	Total new obligations, unexpired accounts .....	2,002	

**Employment Summary**

Identification code 021-2080-8-1-051	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		9,376
2001	Reimbursable civilian full-time equivalent employment .....		2

**OPERATION AND MAINTENANCE, NAVY RESERVE**

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy Reserve", **[\$25,637,000]** \$886,868,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 017-1806-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Operating Forces .....		887
0801	Reimbursable program activity .....		1
0900	Total new obligations, unexpired accounts .....		888

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		887
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		1
1900	Budget authority (total) .....		888
1930	Total budgetary resources available .....		888

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		888
3020	Outlays (gross) .....		-586
3050	Unpaid obligations, end of year .....		302
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		302

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		888
Outlays, gross:			
4010	Outlays from new discretionary authority .....		586
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....		-1
4180	Budget authority, net (total) .....		887
4190	Outlays, net (total) .....		585

**Object Classification (in millions of dollars)**

Identification code 017-1806-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....		24
11.5	Other personnel compensation .....		1
11.9	Total personnel compensation .....		25
12.1	Civilian personnel benefits .....		10
21.0	Travel and transportation of persons .....		27
22.0	Transportation of things .....		5
23.3	Communications, utilities, and miscellaneous charges .....		16
25.1	Advisory and assistance services .....		1
25.2	Other services from non-Federal sources .....		10
25.3	Other goods and services from Federal sources .....		28
25.3	Other goods and services from Federal sources .....		58
25.4	Operation and maintenance of facilities .....		13
25.7	Operation and maintenance of equipment .....		217
25.8	Subsistence and support of persons .....		2
26.0	Supplies and materials .....		248
31.0	Equipment .....		227
99.0	Direct obligations .....		887
99.0	Reimbursable obligations .....		1
99.9	Total new obligations, unexpired accounts .....		888

**Employment Summary**

Identification code 017-1806-8-1-051	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		335
2001	Reimbursable civilian full-time equivalent employment .....		11

**OPERATION AND MAINTENANCE, MARINE CORPS RESERVE**

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps Reserve", **[\$3,345,000]** \$239,693,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE—Continued  
Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1107-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			240
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			240
1900 Budget authority (total) .....			240
1930 Total budgetary resources available .....			240
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			240
3020 Outlays (gross) .....			-137
3050 Unpaid obligations, end of year .....			103
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			103
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			240
Outlays, gross:			
4010 Outlays from new discretionary authority .....			137
4180 Budget authority, net (total) .....			240
4190 Outlays, net (total) .....			137

**Object Classification** (in millions of dollars)

Identification code 017-1107-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			14
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			15
12.1 Civilian personnel benefits .....			4
21.0 Travel and transportation of persons .....			30
22.0 Transportation of things .....			13
23.3 Communications, utilities, and miscellaneous charges .....			13
25.1 Advisory and assistance services .....			33
25.2 Other services from non-Federal sources .....			3
25.3 Other goods and services from Federal sources .....			11
25.3 Other goods and services from Federal sources .....			20
25.4 Operation and maintenance of facilities .....			19
25.6 Medical care .....			10
25.7 Operation and maintenance of equipment .....			8
25.8 Subsistence and support of persons .....			13
26.0 Supplies and materials .....			43
31.0 Equipment .....			5
99.9 Total new obligations, unexpired accounts .....			240

**Employment Summary**

Identification code 017-1107-8-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			178
2001 Reimbursable civilian full-time equivalent employment .....			2

OPERATION AND MAINTENANCE, AIR FORCE RESERVE  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force Reserve", **[\$60,500,000]** \$1,195,131,000: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Opera-*

*tions/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 057-3740-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			1,195
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,195
1930 Total budgetary resources available .....			1,195
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,195
3020 Outlays (gross) .....			-872
3050 Unpaid obligations, end of year .....			323
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			323
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,195
Outlays, gross:			
4010 Outlays from new discretionary authority .....			872
4180 Budget authority, net (total) .....			1,195
4190 Outlays, net (total) .....			872

**Object Classification** (in millions of dollars)

Identification code 057-3740-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			174
11.3 Other than full-time permanent .....			7
11.5 Other personnel compensation .....			6
11.9 Total personnel compensation .....			187
12.1 Civilian personnel benefits .....			79
21.0 Travel and transportation of persons .....			9
22.0 Transportation of things .....			1
23.2 Rental payments to others .....			2
23.3 Communications, utilities, and miscellaneous charges .....			38
25.1 Advisory and assistance services .....			3
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....			507
25.4 Operation and maintenance of facilities .....			58
25.7 Operation and maintenance of equipment .....			277
25.8 Subsistence and support of persons .....			3
26.0 Supplies and materials .....			8
31.0 Equipment .....			20
32.0 Land and structures .....			2
99.9 Total new obligations, unexpired accounts .....			1,195

**Employment Summary**

Identification code 057-3740-8-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			3,015

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD  
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army National Guard", **[\$110,729,000]** \$4,376,939,000: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 021-2065-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating forces .....			4,377
0799 Total direct obligations .....			4,377
0801 Reimbursable program activity .....			58
0900 Total new obligations, unexpired accounts .....			4,435
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4,377
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			58
1900 Budget authority (total) .....			4,435
1930 Total budgetary resources available .....			4,435
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			4,435
3020 Outlays (gross) .....			-2,859
3050 Unpaid obligations, end of year .....			1,576
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,576
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4,435
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2,859
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-58
4180 Budget authority, net (total) .....			4,377
4190 Outlays, net (total) .....			2,801

**Object Classification** (in millions of dollars)

Identification code 021-2065-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			1,115
11.5 Other personnel compensation .....			18
11.9 Total personnel compensation .....			1,133
12.1 Civilian personnel benefits .....			484
21.0 Travel and transportation of persons .....			66
22.0 Transportation of things .....			126
23.1 Rental payments to GSA .....			16
23.2 Rental payments to others .....			16
23.3 Communications, utilities, and miscellaneous charges .....			180
24.0 Printing and reproduction .....			3
25.1 Advisory and assistance services .....			172
25.2 Other services from non-Federal sources .....			111
25.3 Other goods and services from Federal sources .....			210
25.4 Operation and maintenance of facilities .....			320
25.6 Medical care .....			5
25.7 Operation and maintenance of equipment .....			89
25.8 Subsistence and support of persons .....			21
26.0 Supplies and materials .....			1,071
31.0 Equipment .....			203
32.0 Land and structures .....			111
41.0 Grants, subsidies, and contributions .....			40
99.0 Direct obligations .....			4,377
99.0 Reimbursable obligations .....			58
99.9 Total new obligations, unexpired accounts .....			4,435

**Employment Summary**

Identification code 021-2065-8-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			17,798

**OPERATION AND MAINTENANCE, AIR NATIONAL GUARD**

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air National Guard", **[\$15,870,000]** \$3,291,982,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 057-3840-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Forces .....			3,292
0801 Reimbursable program activity .....			3
0900 Total new obligations, unexpired accounts .....			3,295
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			3,292
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			3
1900 Budget authority (total) .....			3,295
1930 Total budgetary resources available .....			3,295
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			3,295
3020 Outlays (gross) .....			-2,339
3050 Unpaid obligations, end of year .....			956
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			956
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			3,295
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2,339
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-3
4180 Budget authority, net (total) .....			3,292
4190 Outlays, net (total) .....			2,336

**Object Classification** (in millions of dollars)

Identification code 057-3840-8-1-051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			308
11.5 Other personnel compensation .....			38
11.9 Total personnel compensation .....			346
12.1 Civilian personnel benefits .....			108
21.0 Travel and transportation of persons .....			29
22.0 Transportation of things .....			7
23.3 Communications, utilities, and miscellaneous charges .....			92
25.2 Other services from non-Federal sources .....			3
25.3 Other goods and services from Federal sources .....			914
25.4 Operation and maintenance of facilities .....			267
25.7 Operation and maintenance of equipment .....			1,430
25.8 Subsistence and support of persons .....			16
26.0 Supplies and materials .....			56
31.0 Equipment .....			13
32.0 Land and structures .....			11
99.0 Direct obligations .....			3,292
99.0 Reimbursable obligations .....			3
99.9 Total new obligations, unexpired accounts .....			3,295

**Employment Summary**

Identification code 057-3840-8-1-051	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			4,399

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD—Continued  
**Employment Summary—Continued**

Identification code 057-3840-8-1-051	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			18

**DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE**  
(Overseas contingency operations)

For an additional amount for "Drug Interdiction and Counter-Drug Activities, Defense", **[\$153,100,000] \$163,596,000, to remain available until September 30, 2021: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 097-0105-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Drug interdiction and counter drug activities .....			164
0900 Total new obligations, unexpired accounts (object class 26.0) .....			164

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			164
1930 Total budgetary resources available .....			164

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			164
3020 Outlays (gross) .....			-82
3050 Unpaid obligations, end of year .....			82
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			82

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			164
Outlays, gross:			
4010 Outlays from new discretionary authority .....			82
4180 Budget authority, net (total) .....			164
4190 Outlays, net (total) .....			82

**DEFENSE HEALTH PROGRAM**

(Overseas contingency operations)

For an additional amount for "Defense Health Program", **[\$352,068,000] \$347,746,000,** which shall be for operation and maintenance: *Provided,* That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 097-0130-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance .....			343

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			348

1930 Total budgetary resources available .....			348
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			343
3020 Outlays (gross) .....			-247
3050 Unpaid obligations, end of year .....			96
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			96

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			348
Outlays, gross:			
4010 Outlays from new discretionary authority .....			247
4180 Budget authority, net (total) .....			348
4190 Outlays, net (total) .....			247

**Object Classification** (in millions of dollars)

Identification code 097-0130-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....			2
25.6 Medical care .....			312
26.0 Supplies and materials .....			29
99.9 Total new obligations, unexpired accounts .....			343

**AFGHANISTAN SECURITY FORCES FUND**

(Overseas contingency operations)

For the "Afghanistan Security Forces Fund", **[\$4,920,000,000] \$4,803,978,000,** to remain available until September 30, **[2020] 2021: Provided,** That such funds shall be available to the Secretary of Defense for the purpose of allowing the Commander, Combined Security Transition Command—Afghanistan, or the Secretary's designee, to provide assistance, with the concurrence of the Secretary of State, to the security forces of Afghanistan, including the provision of equipment, supplies, services, training, facility and infrastructure repair, renovation, construction, and funding: *Provided further,* That the Secretary of Defense may obligate and expend funds made available to the Department of Defense in this title for additional costs associated with existing projects previously funded with amounts provided under the heading "Afghanistan Infrastructure Fund" in prior Acts: *Provided further,* That such costs shall be limited to contract changes resulting from inflation, market fluctuation, rate adjustments, and other necessary contract actions to complete existing projects, and associated supervision and administration costs and costs for design during construction: *Provided further,* That the Secretary may not use more than \$50,000,000 under the authority provided in this section: *Provided further,* That the Secretary shall notify in advance such contract changes and adjustments **[in annual reports]** to the congressional defense committees: *Provided further,* That the authority to provide assistance under this heading is in addition to any other authority to provide assistance to foreign nations: *Provided further,* That contributions of funds for the purposes provided herein from any person, foreign government, or international organization may be credited to this Fund, to remain available until expended, and used for such purposes: *Provided further,* That the Secretary of Defense shall notify the congressional defense committees in writing upon the receipt and upon the obligation of any contribution, delineating the sources and amounts of the funds received and the specific use of such contributions: *Provided further,* That the Secretary of Defense shall, not fewer than 15 days prior to obligating from this appropriation account, notify the congressional defense committees in writing of the details of any such obligation: *Provided further,* That the Secretary of Defense shall notify the congressional defense committees of any proposed new projects or transfer of funds between budget sub-activity groups in excess of \$20,000,000: *Provided further,* That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Afghanistan and returned by such forces to the United States: *Provided further,* That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the security forces of Afghanistan or transferred to the security forces of Afghanistan and returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further,* That of the funds provided under this heading, not less than \$10,000,000 shall be for recruitment and retention

of women in the Afghanistan National Security Forces, and the recruitment and training of female security personnel: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 021–2091–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Afghan National Army .....			1,105
0002 Afghan National Police .....			459
0004 Afghan Air Force .....			1,269
0005 Afghan Special Security Forces .....			506
0900 Total new obligations, unexpired accounts .....			3,339
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4,804
1930 Total budgetary resources available .....			4,804
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1,465
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			3,339
3020 Outlays (gross) .....			-1,922
3050 Unpaid obligations, end of year .....			1,417
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,417
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4,804
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1,922
4180 Budget authority, net (total) .....			4,804
4190 Outlays, net (total) .....			1,922

**Object Classification** (in millions of dollars)

Identification code 021–2091–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....			1
22.0 Transportation of things .....			7
23.2 Rental payments to others .....			2
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....			12
25.3 Other goods and services from Federal sources .....			2,662
25.3 Other goods and services from Federal sources .....			50
25.8 Subsistence and support of persons .....			547
26.0 Supplies and materials .....			40
32.0 Land and structures .....			17
99.9 Total new obligations, unexpired accounts .....			3,339

That amounts made available under this heading shall be available to provide assistance only for activities in a country designated by the Secretary of Defense, in coordination with the Secretary of State, as having a security mission to counter the Islamic State of Iraq and Syria, and following written notification to the congressional defense committees of such designation: *Provided further*, That the Secretary of Defense shall ensure that prior to providing assistance to elements of any forces or individuals, such elements or individuals are appropriately vetted, including at a minimum, assessing such elements for associations with terrorist groups or groups associated with the Government of Iran; and receiving commitments from such elements to promote respect for human rights and the rule of law: *Provided further*, That the Secretary of Defense shall, not fewer than 15 days prior to obligating from this appropriation account, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the Secretary of Defense may accept and retain contributions, including assistance in-kind, from foreign governments, including the Government of Iraq and other entities, to carry out assistance authorized under this heading: *Provided further*, That contributions of funds for the purposes provided herein from any foreign government or other entity may be credited to this Fund, to remain available until expended, and used for such purposes: *Provided further*, That the Secretary of Defense may waive a provision of law relating to the acquisition of items and support services or sections 40 and 40A of the Arms Export Control Act (22 U.S.C. 2780 and 2785) if the Secretary determines that such provision of law would prohibit, restrict, delay or otherwise limit the provision of such assistance and a notice of and justification for such waiver is submitted to the congressional defense committees, the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives: *Provided further*, That the United States may accept equipment procured using funds provided under this heading, or under the heading, "Iraq Train and Equip Fund" in prior Acts, that was transferred to security forces, irregular forces, or groups participating, or preparing to participate in *security-related* activities to counter, or prevent the re-emergence of, the Islamic State of Iraq and Syria and returned by such forces or groups to the United States, and such equipment may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That equipment procured using funds provided under this heading, or under the heading, "Iraq Train and Equip Fund" in prior Acts, and not yet transferred to security forces, irregular forces, or groups participating, or preparing to participate in *security-related* activities to counter, or prevent the re-emergence of, the Islamic State of Iraq and Syria may be treated as stocks of the Department of Defense, or transferred to other recipients eligible to receive assistance using funds provided under this heading, when determined by the Secretary to no longer be required for transfer to such forces or groups and upon written notification to the congressional defense committees: *Provided further*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of funds provided under this heading, including, but not limited to, the number of individuals trained, the nature and scope of support and sustainment provided to each group or individual, the area of operations for each group, and the contributions of other countries, groups, or individuals: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (*Department of Defense Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 021–2099–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			418
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,045
1930 Total budgetary resources available .....			1,045
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			627
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			418
3020 Outlays (gross) .....			-209
3050 Unpaid obligations, end of year .....			209

**COUNTER-ISLAMIC STATE OF IRAQ AND SYRIA TRAIN AND EQUIP FUND**

(Overseas contingency operations)

For the "Counter-Islamic State of Iraq and Syria Train and Equip Fund", **[\$1,352,200,000]** \$1,045,000,000, to remain available until September 30, **[2020]** 2021: *Provided*, That such funds shall be available to the Secretary of Defense in coordination with the Secretary of State, to provide assistance, including training; equipment; logistics support, supplies, and services; stipends; infrastructure repair and renovation; and sustainment, to foreign security forces, irregular forces, groups, or individuals participating, or preparing to participate in *security-related* activities to counter, or prevent the re-emergence of, the Islamic State of Iraq and Syria, and their affiliated or associated groups: *Provided further*, That these funds may be used in such amounts as the Secretary of Defense may determine to enhance the border security of nations adjacent to conflict areas including Jordan, Lebanon, Egypt, and Tunisia resulting from actions of the Islamic State of Iraq and Syria: *Provided further*,

COUNTER-ISLAMIC STATE OF IRAQ AND SYRIA TRAIN AND EQUIP FUND—Continued  
Program and Financing—Continued

Identification code 021–2099–8–1–051	2018 actual	2019 est.	2020 est.
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			209
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			1,045
Outlays, gross:			
4010 Outlays from new discretionary authority			209
4180 Budget authority, net (total)			1,045
4190 Outlays, net (total)			209

This account supports the activities of the Government of Iraq and the Vetted Syrian Opposition against the Islamic State of Iraq and Syria. Appropriated funds and cash contributions from foreign countries, groups, and individuals are deposited into this account for DoD to provide assistance, including training; equipment; logistics support, supplies, and services; stipends; infrastructure repair and renovation; and sustainment necessary to meet urgent operational requirements.

Object Classification (in millions of dollars)

Identification code 021–2099–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources			318
31.0 Equipment			100
99.9 Total new obligations, unexpired accounts			418

PROCUREMENT

The requests that follow would fund the acquisition of combat and support vehicles, aircraft, weapons, preferred munitions, communications and other equipment needed to support base budget requirements as well as Operation Freedom's Sentinel, Operation Inherent Resolve, and other areas in direct support of these operations. There is also investment in European deterrence activities. Funds provided will be used to replace equipment worn out by combat operations or lost in battle, as well as replace munitions expended in combat operations. Additional funding is provided to improve the force protection capabilities of units and equipment, including enhanced protection against improvised threats. These requests will also fund classified activities.

Federal Funds

AIRCRAFT PROCUREMENT, ARMY  
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Army", [\$346,963,000] \$381,541,000, to remain available until September 30, [2021] 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 021–2031–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Aircraft			51
0002 Modification of aircraft			104
0004 Support equipment and facilities			91
0900 Total new obligations, unexpired accounts			246

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			382
1930 Total budgetary resources available			382
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			136

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			246
3020 Outlays (gross)			–40
3050 Unpaid obligations, end of year			206
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			206

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			382
Outlays, gross:			
4010 Outlays from new discretionary authority			40
4180 Budget authority, net (total)			382
4190 Outlays, net (total)			40

Object Classification (in millions of dollars)

Identification code 021–2031–8–1–051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources			5
25.3 Other goods and services from Federal sources			16
25.7 Operation and maintenance of equipment			16
26.0 Supplies and materials			4
31.0 Equipment			205
99.9 Total new obligations, unexpired accounts			246

MISSILE PROCUREMENT, ARMY  
(Overseas contingency operations)

**[For an additional amount for "Missile Procurement, Army", \$1,729,904,000]** *For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$4,645,755,000, to remain available until September 30, [2021] 2022: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 021–2032–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Other missiles			3,061
0003 Modification of missiles			718
0004 Spares and repair parts			22
0005 Support equipment and facilities			9
0900 Total new obligations, unexpired accounts			3,810

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			4,646
1930 Total budgetary resources available			4,646
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			836

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		3,810
3020	Outlays (gross) .....		-418
3050	Unpaid obligations, end of year .....		3,392
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		3,392
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		4,646
Outlays, gross:			
4010	Outlays from new discretionary authority .....		418
4180	Budget authority, net (total) .....		4,646
4190	Outlays, net (total) .....		418

<b>Object Classification (in millions of dollars)</b>			
Identification code 021-2032-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0	Transportation of things .....		3
25.1	Advisory and assistance services .....		132
25.2	Other services from non-Federal sources .....		62
25.3	Other goods and services from Federal sources .....		381
25.4	Operation and maintenance of facilities .....		14
25.7	Operation and maintenance of equipment .....		4
26.0	Supplies and materials .....		157
31.0	Equipment .....		3,057
99.9	Total new obligations, unexpired accounts .....		3,810

**PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY**  
(Overseas contingency operations)

For an additional amount for "Procurement of Weapons and Tracked Combat Vehicles, Army", **[\$1,102,108,000]** \$353,454,000, to remain available until September 30, **[2021]** 2022: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing (in millions of dollars)**

Identification code 021-2033-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Tracked combat vehicles .....		161
0002	Weapons and other combat vehicles .....		17
0900	Total new obligations, unexpired accounts .....		178
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		353
1930	Total budgetary resources available .....		353
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		175
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		178
3020	Outlays (gross) .....		-25
3050	Unpaid obligations, end of year .....		153
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		153
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		353
Outlays, gross:			
4010	Outlays from new discretionary authority .....		25
4180	Budget authority, net (total) .....		353
4190	Outlays, net (total) .....		25

**Object Classification (in millions of dollars)**

Identification code 021-2033-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3	Other goods and services from Federal sources .....		5
26.0	Supplies and materials .....		6
31.0	Equipment .....		167
99.9	Total new obligations, unexpired accounts .....		178

**PROCUREMENT OF AMMUNITION, ARMY**  
(Overseas contingency operations)

**For an additional amount for "Procurement of Ammunition, Army", \$299,075,000** For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$2,843,230,000, to remain available until September 30, **[2021]** 2022, of which \$190,278,000 shall be available for the Army National Guard and Army Reserve: *Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing (in millions of dollars)**

Identification code 021-2034-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Ammunition .....		1,380
0002	Ammunition production base support .....		435
0799	Total direct obligations .....		1,815
0801	Reimbursable program activity .....		1,122
0900	Total new obligations, unexpired accounts .....		2,937
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		2,843
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		1,122
1900	Budget authority (total) .....		3,965
1930	Total budgetary resources available .....		3,965
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		1,028
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		2,937
3020	Outlays (gross) .....		-1,349
3050	Unpaid obligations, end of year .....		1,588
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		1,588
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		3,965
Outlays, gross:			
4010	Outlays from new discretionary authority .....		1,349
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....		-1,122
4180	Budget authority, net (total) .....		2,843
4190	Outlays, net (total) .....		227

PROCUREMENT OF AMMUNITION, ARMY—Continued

Object Classification (in millions of dollars)

Identification code 021–2034–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
22.0	Transportation of things .....		44
25.1	Advisory and assistance services .....		13
25.2	Other services from non-Federal sources .....		43
25.3	Other goods and services from Federal sources .....		397
25.3	Other goods and services from Federal sources .....		61
25.4	Operation and maintenance of facilities .....		97
25.7	Operation and maintenance of equipment .....		3
26.0	Supplies and materials .....		879
31.0	Equipment .....		59
32.0	Land and structures .....		219
99.0	Direct obligations .....		1,815
99.0	Reimbursable obligations .....		1,122
99.9	Total new obligations, unexpired accounts .....		2,937

OTHER PROCUREMENT, ARMY

(Overseas contingency operations)

For an additional amount for "Other Procurement, Army", **[\$1,364,045,000]** \$1,139,650,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 021–2035–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Tactical and support vehicles .....		100
0002	Communications and electronics equipment .....		266
0003	Other support equipment .....		253
0900	Total new obligations, unexpired accounts .....		619
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		1,140
1930	Total budgetary resources available .....		1,140
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		521
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		619
3020	Outlays (gross) .....		-148
3050	Unpaid obligations, end of year .....		471
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		471
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		1,140
Outlays, gross:			
4010	Outlays from new discretionary authority .....		148
4180	Budget authority, net (total) .....		1,140
4190	Outlays, net (total) .....		148

Object Classification (in millions of dollars)

Identification code 021–2035–8–1–051	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2	Other services from non-Federal sources .....		40
25.3	Other goods and services from Federal sources .....		2
31.0	Equipment .....		577

99.9	Total new obligations, unexpired accounts .....		619
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AIRCRAFT PROCUREMENT, NAVY

(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Navy", **[\$232,119,000]** \$119,045,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017–1506–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004	Other aircraft .....		59
0005	Modification of aircraft .....		24
0900	Total new obligations, unexpired accounts (object class 31.0) .....		83
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		119
1930	Total budgetary resources available .....		119
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		83
3020	Outlays (gross) .....		-19
3050	Unpaid obligations, end of year .....		64
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		64
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		119
Outlays, gross:			
4010	Outlays from new discretionary authority .....		19
4180	Budget authority, net (total) .....		119
4190	Outlays, net (total) .....		19

WEAPONS PROCUREMENT, NAVY

(Overseas contingency operations)

**[\$14,134,000]** For an additional amount for "Weapons Procurement, Navy", \$14,134,000 *For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$4,332,710,000, to remain available until September 30, [2021] 2022: Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017–1507–8–1–051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Ballistic missiles .....		948
0002	Other missiles .....		1,956

0003	Torpedoes and related equipment .....	308
0004	Other weapons .....	154
0006	Spares and repair parts .....	101
0900	Total new obligations, unexpired accounts .....	3,467
<b>Budgetary resources:</b>		
Budget authority:		
Appropriations, discretionary:		
1100	Appropriation .....	4,333
1930	Total budgetary resources available .....	4,333
Memorandum (non-add) entries:		
1941	Unexpired unobligated balance, end of year .....	866
<b>Change in obligated balance:</b>		
Unpaid obligations:		
3010	New obligations, unexpired accounts .....	3,467
3020	Outlays (gross) .....	-650
3050	Unpaid obligations, end of year .....	2,817
Memorandum (non-add) entries:		
3200	Obligated balance, end of year .....	2,817
<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	4,333
Outlays, gross:		
4010	Outlays from new discretionary authority .....	650
4180	Budget authority, net (total) .....	4,333
4190	Outlays, net (total) .....	650

**Object Classification** (in millions of dollars)

Identification code 017-1507-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0	Transportation of things .....	1	
25.1	Advisory and assistance services .....	7	
25.3	Other goods and services from Federal sources .....	83	
25.3	Other goods and services from Federal sources .....	61	
25.5	Research and development contracts .....	1	
26.0	Supplies and materials .....	927	
31.0	Equipment .....	2,387	
99.9	Total new obligations, unexpired accounts .....	3,467	

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS**

(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Navy and Marine Corps", \$229,783,000 For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,186,128,000, to remain available until September 30, [2021] 2022, of which \$598,000 shall be available for the Navy Reserve and Marine Corps Reserve: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1508-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Procurement of Ammunition, Navy .....	508	
0002	Ammunition, Marine Corps .....	441	
0900	Total new obligations, unexpired accounts .....	949	

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	1,186	
1930	Total budgetary resources available .....	1,186	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	237	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	949	
3020	Outlays (gross) .....	-98	
3050	Unpaid obligations, end of year .....	851	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....	851	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,186	
Outlays, gross:			
4010	Outlays from new discretionary authority .....	98	
4180	Budget authority, net (total) .....	1,186	
4190	Outlays, net (total) .....	98	

**Object Classification** (in millions of dollars)

Identification code 017-1508-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
22.0	Transportation of things .....	2	
25.1	Advisory and assistance services .....	5	
25.3	Other goods and services from Federal sources .....	22	
25.3	Other goods and services from Federal sources .....	56	
26.0	Supplies and materials .....	862	
31.0	Equipment .....	2	
99.9	Total new obligations, unexpired accounts .....	949	

**OTHER PROCUREMENT, NAVY**

(Overseas contingency operations)

For an additional amount for "Other Procurement, Navy", [\$181,173,000] \$357,600,000, to remain available until September 30, [2021] 2022: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 017-1810-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Ships support equipment .....	5	
0002	Communications and electronics equipment .....	248	
0003	Aviation support equipment .....	13	
0004	Ordnance support equipment .....	12	
0005	Civil engineering support equipment .....	1	
0007	Personnel and command support equipment .....	6	
0900	Total new obligations, unexpired accounts (object class 31.0) .....	285	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	358	
1930	Total budgetary resources available .....	358	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	73	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	285	
3020	Outlays (gross) .....	-67	
3050	Unpaid obligations, end of year .....	218	

OTHER PROCUREMENT, NAVY—Continued  
Program and Financing—Continued

Identification code 017-1810-8-1-051	2018 actual	2019 est.	2020 est.
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			218
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			358
Outlays, gross:			
4010 Outlays from new discretionary authority			67
4180 Budget authority, net (total)			358
4190 Outlays, net (total)			67

PROCUREMENT, MARINE CORPS  
(Overseas contingency operations)

For an additional amount for "Procurement, Marine Corps", **[\$58,023,000]** \$20,589,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 017-1109-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Weapons and combat vehicles			11
0900 Total new obligations, unexpired accounts (object class 31.0)			11
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			21
1930 Total budgetary resources available			21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			11
3020 Outlays (gross)			-3
3050 Unpaid obligations, end of year			8
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			21
Outlays, gross:			
4010 Outlays from new discretionary authority			3
4180 Budget authority, net (total)			21
4190 Outlays, net (total)			3

AIRCRAFT PROCUREMENT, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Air Force", **[\$955,248,000]** \$309,110,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 057-3010-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Other aircraft			75
0005 Modification of inservice aircraft			30
0006 Aircraft spares and repair parts			18
0007 Aircraft support equipment and facilities			3
0900 Total new obligations, unexpired accounts (object class 31.0)			126
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			309
1930 Total budgetary resources available			309
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			183
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			126
3020 Outlays (gross)			-25
3050 Unpaid obligations, end of year			101
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			101
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			309
Outlays, gross:			
4010 Outlays from new discretionary authority			25
4180 Budget authority, net (total)			309
4190 Outlays, net (total)			25

MISSILE PROCUREMENT, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Missile Procurement, Air Force", **[\$493,526,000]** \$201,671,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 057-3020-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Other missiles			135
0900 Total new obligations, unexpired accounts (object class 31.0)			135
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			202
1930 Total budgetary resources available			202
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			67
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			135
3020 Outlays (gross)			-30
3050 Unpaid obligations, end of year			105
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			105
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			202
Outlays, gross:			
4010 Outlays from new discretionary authority			30
4180 Budget authority, net (total)			202

4190 Outlays, net (total) ..... 30

**PROCUREMENT OF AMMUNITION, AIR FORCE**  
(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Air Force", \$1,371,516,000 For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$2,607,394,000, to remain available until September 30, [2021] 2022, of which \$26,555,000 shall be available for the Air National Guard and Air Force Reserve: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3011-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ammunition .....			1,842
0002 Weapons .....			31
0900 Total new obligations, unexpired accounts (object class 31.0) .....			1,873
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			2,607
1930 Total budgetary resources available .....			2,607
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			734
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,873
3020 Outlays (gross) .....			-52
3050 Unpaid obligations, end of year .....			1,821
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,821
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2,607
Outlays, gross:			
4010 Outlays from new discretionary authority .....			52
4180 Budget authority, net (total) .....			2,607
4190 Outlays, net (total) .....			52

**OTHER PROCUREMENT, AIR FORCE**  
(Overseas contingency operations)

For an additional amount for "Other Procurement, Air Force", [\$3,677,276,000] \$4,193,098,000, to remain available until September 30, [2021] 2022: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 057-3080-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Vehicular equipment .....			111
0003 Electronics and telecommunications equipment .....			93
0004 Other base maintenance and support equipment .....			3,128
0900 Total new obligations, unexpired accounts (object class 31.0) .....			3,332
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			4,193
1930 Total budgetary resources available .....			4,193
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			861
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			3,332
3020 Outlays (gross) .....			-2,725
3050 Unpaid obligations, end of year .....			607
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			607
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4,193
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2,725
4180 Budget authority, net (total) .....			4,193
4190 Outlays, net (total) .....			2,725

**PROCUREMENT, DEFENSE-WIDE**  
(Overseas contingency operations)

For an additional amount for "Procurement, Defense-Wide", [\$572,135,000] \$452,047,000, to remain available until September 30, [2021] 2022: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 097-0300-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major equipment .....			52
0002 Special Operations Command .....			256
0900 Total new obligations, unexpired accounts (object class 31.0) .....			308
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			452
1930 Total budgetary resources available .....			452
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			144
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			308
3020 Outlays (gross) .....			-77
3050 Unpaid obligations, end of year .....			231
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			231
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			452
Outlays, gross:			
4010 Outlays from new discretionary authority .....			77
4180 Budget authority, net (total) .....			452

PROCUREMENT, DEFENSE-WIDE—Continued  
Program and Financing—Continued

Identification code 097-0300-8-1-051	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)			77

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

The requests that follow would support research, development, test, and engineering (RDT&E) activities relevant to overseas contingency operations (OCO).

**Federal Funds**

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Army", **[\$300,604,000]** \$204,124,000, to remain available until September 30, **[2020]** 2021: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 021-2040-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Advanced Component Development and Prototypes			13
0005 System development and demonstration			87
0006 Management support			1
0007 Operational system development			57
0900 Total new obligations, unexpired accounts (object class 25.5)			158
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			204
1930 Total budgetary resources available			204
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			158
3020 Outlays (gross)			-86
3050 Unpaid obligations, end of year			72
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			72
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			204
Outlays, gross:			
4010 Outlays from new discretionary authority			86
4180 Budget authority, net (total)			204
4190 Outlays, net (total)			86

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Navy", **[\$167,812,000]** \$164,410,000, to remain available until September 30, **[2020]** 2021: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if*

*the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1319-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Advanced Component Development and Prototypes			36
0005 System development and demonstration			1
0007 Operational system development			111
0900 Total new obligations, unexpired accounts (object class 25.5)			148
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			164
1930 Total budgetary resources available			164
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			148
3020 Outlays (gross)			-82
3050 Unpaid obligations, end of year			66
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			66
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			164
Outlays, gross:			
4010 Outlays from new discretionary authority			82
4180 Budget authority, net (total)			164
4190 Outlays, net (total)			82

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Air Force", **[\$321,934,000]** \$450,248,000, to remain available until September 30, **[2020]** 2021: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 057-3600-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Advanced component development and prototypes			38
0007 Operational system development			350
0900 Total new obligations, unexpired accounts (object class 25.5)			388
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			450
1930 Total budgetary resources available			450
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			388
3020 Outlays (gross)			-220
3050 Unpaid obligations, end of year			168
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			168

<b>Budget authority and outlays, net:</b>		
Discretionary:		
4000	Budget authority, gross .....	450
Outlays, gross:		
4010	Outlays from new discretionary authority .....	220
4180	Budget authority, net (total) .....	450
4190	Outlays, net (total) .....	220

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE**  
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Defense-Wide", **[\$403,044,000]** \$827,950,000, to remain available until September 30, **[2020]** 2021: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 097-0400-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002	Applied Research .....		1
0003	Advanced technology development .....		63
0004	Advanced Component Development and Prototypes .....		96
0007	Operational system development .....		540
0900	Total new obligations, unexpired accounts .....		700
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		828
1930	Total budgetary resources available .....		828
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		128
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		700
3020	Outlays (gross) .....		-397
3050	Unpaid obligations, end of year .....		303
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		303
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		828
Outlays, gross:			
4010	Outlays from new discretionary authority .....		397
4180	Budget authority, net (total) .....		828
4190	Outlays, net (total) .....		397

**Object Classification** (in millions of dollars)

Identification code 097-0400-8-1-051	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1	Advisory and assistance services .....		1
25.3	Other goods and services from Federal sources .....		153
25.5	Research and development contracts .....		539
25.7	Operation and maintenance of equipment .....		7
99.9	Total new obligations, unexpired accounts .....		700

**MILITARY CONSTRUCTION**

The request that follows will fund military construction activities for the active components of the Military Services and the Defense-Wide Activities supporting military operations in Europe, in direct support of the European Deterrence Initiative and Operation Freedom's Sentinel and related missions. Funds provided would bolster security of U.S. NATO Allies and partner

states in Europe and deter aggressive actors in the region by enhancing prepositioning and weapon storage capabilities, improving airfield and support infrastructure and building partnership capacity.

**Federal Funds**

**MILITARY CONSTRUCTION, ARMY**  
(Overseas contingency operations)

For an additional amount for "Military Construction, Army", **[\$192,250,000]** \$189,218,000, to remain available until September 30, **[2023]** 2024, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 021-2050-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Major construction .....		6,645
0002	Minor construction .....		4
0003	Planning .....		14
0900	Total new obligations, unexpired accounts (object class 32.0) .....		6,663
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....		9,389
1930	Total budgetary resources available .....		9,389
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		2,726
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		6,663
3020	Outlays (gross) .....		-18
3050	Unpaid obligations, end of year .....		6,645
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		6,645
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		9,389
Outlays, gross:			
4010	Outlays from new discretionary authority .....		18
4180	Budget authority, net (total) .....		9,389
4190	Outlays, net (total) .....		18

**MILITARY CONSTRUCTION, NAVY AND MARINE CORPS**  
(Overseas contingency operations)

For an additional amount for "Military Construction, Navy and Marine Corps", **[\$227,320,000]** \$94,570,000, to remain available until September 30, **[2023]** 2024, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 017-1205-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Major construction .....		42
0003	Planning .....		15

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS—Continued  
Program and Financing—Continued

Identification code 017-1205-8-1-051	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts (object class 32.0) .....			57
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			95
1930 Total budgetary resources available .....			95
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			57
3020 Outlays (gross) .....			-2
3050 Unpaid obligations, end of year .....			55
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			55
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			95
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			95
4190 Outlays, net (total) .....			2

MILITARY CONSTRUCTION, AIR FORCE  
(Overseas contingency operations)

For an additional amount for "Military Construction, Air Force" **[\$414,800,000]** \$314,738,000, to remain available until September 30, **[2023]** 2024, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 057-3300-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....			170
0002 Minor construction .....			9
0003 Planning .....			43
0900 Total new obligations, unexpired accounts (object class 32.0) .....			222
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			315
1930 Total budgetary resources available .....			315
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			93
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			222
3020 Outlays (gross) .....			-6
3050 Unpaid obligations, end of year .....			216
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			216
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			315
Outlays, gross:			
4010 Outlays from new discretionary authority .....			6
4180 Budget authority, net (total) .....			315

4190 Outlays, net (total) .....	6
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MILITARY CONSTRUCTION, DEFENSE-WIDE  
(Overseas contingency operations)

For an additional amount for "Military Construction, Defense-Wide", **[\$87,050,000]** \$46,000,000, to remain available until September 30, **[2023]** 2024, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(II). (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 097-0500-8-1-051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major construction .....			27
0900 Total new obligations, unexpired accounts (object class 32.0) .....			27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			46
1930 Total budgetary resources available .....			46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			27
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			26
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			26
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			46
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			46
4190 Outlays, net (total) .....			1

REVOLVING AND MANAGEMENT FUNDS

The request that follows would pay for purchase of war reserve materials for the European theater.

Federal Funds

DEFENSE WORKING CAPITAL FUNDS  
(Overseas contingency operations)

For an additional amount for "Defense Working Capital Funds", **[\$15,190,000]** \$20,100,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2019.)

WORKING CAPITAL FUND, ARMY  
(Overseas contingency operations)

Program and Financing (in millions of dollars)

Identification code 097—493001—8—4—051	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Direct program activity .....			20
0900 Total new obligations, unexpired accounts (object class 26.0) .....			20
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			20
1930 Total budgetary resources available .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			20
3020 Outlays (gross) .....			-17
3050 Unpaid obligations, end of year .....			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
Outlays, gross:			
4010 Outlays from new discretionary authority .....			17
4180 Budget authority, net (total) .....			20
4190 Outlays, net (total) .....			17

ADMINISTRATIVE PROVISIONS

SEC. 401. Each amount designated in this Act by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress. ]

SEC. 402. None of the funds appropriated for military construction projects outside the United States under this title may be obligated or expended for planning and design of any project associated with the European Deterrence Initiative until the Secretary of Defense develops and submits to the congressional defense committees, in a classified and unclassified format, a list of all of the military construction projects associated with the European Deterrence Initiative which the Secretary anticipates will be carried out during each of the fiscal years 2020 through 2024. ]

SEC. 401. In addition to amounts otherwise made available by this title, \$9,200,000,000, to remain available until September 30, 2024, is hereby appropriated for "Military Construction, Army". Provided, That funds provided by this section may be transferred to appropriations of the Military Departments and the Department of Defense made available by this or any other Act or prior Acts for military construction, in such amounts as may be determined by the Secretary of Defense, to be merged with and to be available for the same time period and same purposes as the appropriation to which transferred: Provided further, That the transfer authority provided in this section is in addition to any other transfer authority provided by law: Provided further, That from within funds provided by this section, amounts may be obligated and expended to carry out planning and design and military construction projects of the Military Departments and the Department of Defense associated with Hurricanes Michael and Florence that are not otherwise authorized by law: Provided further, That amounts in this paragraph are designated by the Congress as being for emergency requirements pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount as being for emergency requirements pursuant to section 251(b)(2)(A)(i). (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

GENERAL PROVISIONS—THIS TITLE

SEC. 9001. Notwithstanding any other provision of law, funds made available in this title and designated for Overseas Contingency Operations/Global War on Ter-

rorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are in addition to amounts appropriated or otherwise made available for the Department of Defense for fiscal year [2019]2020.

(INCLUDING TRANSFER OF FUNDS)

SEC. 9002. Upon the determination of the Secretary of Defense that such action is necessary in the national interest, the Secretary may, with the approval of the Office of Management and Budget, transfer up to [ \$2,000,000,000 ] \$4,500,000,000 between the appropriations or funds made available to the Department of Defense in this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided, That the Secretary shall notify the Congress promptly of each transfer made pursuant to the authority in this section: Provided further, That the authority provided in this section is in addition to any other transfer authority available to the Department of Defense and is subject to the same terms and conditions as the authority provided in section [8005]8003 of this Act.

SEC. 9003. Supervision and administration costs and costs for design during construction associated with a construction project funded with appropriations available for operation and maintenance or the "Afghanistan Security Forces Fund" provided in this Act and executed in direct support of overseas contingency operations in Afghanistan, may be obligated at the time a construction contract is awarded: Provided, That, for the purpose of this section, supervision and administration costs and costs for design during construction include all in-house Government costs.

SEC. 9004. From funds made available in this title, the Secretary of Defense may purchase for use by military and civilian employees of the Department of Defense in the United States Central Command area of responsibility: (1) passenger motor vehicles up to a limit of \$75,000 per vehicle; and (2) heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 9005. Not to exceed [ \$10,000,000 ] \$5,000,000 of the amounts appropriated by this title and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Operation and Maintenance, Army" may be used, notwithstanding any other provision of law, to fund the Commanders' Emergency Response Program (CERP), for the purpose of enabling military commanders in Afghanistan to respond to urgent, small-scale, humanitarian relief and reconstruction requirements within their areas of responsibility: Provided, That each project (including any ancillary or related elements in connection with such project) executed under this authority shall not exceed \$2,000,000: Provided further, That not later than 45 days after the end of each 6 months of the fiscal year, the Secretary of Defense shall submit to the congressional defense committees a report regarding the source of funds and the allocation and use of funds during that 6-month period that were made available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein: Provided further, That, not later than 30 days after the end of each fiscal year quarter, the Army shall submit to the congressional defense committees quarterly commitment, obligation, and expenditure data for the CERP in Afghanistan: Provided further, That, not less than 15 days before making funds available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein for a project with a total anticipated cost for completion of \$500,000 or more, the Secretary shall submit to the congressional defense committees a written notice containing each of the following:

- (1) The location, nature and purpose of the proposed project, including how the project is intended to advance the military campaign plan for the country in which it is to be carried out.
- (2) The budget, implementation timeline with milestones, and completion date for the proposed project, including any other CERP funding that has been or is anticipated to be contributed to the completion of the project.
- (3) A plan for the sustainment of the proposed project, including the agreement with either the host nation, a non-Department of Defense agency of the United States Government or a third-party contributor to finance the sustainment of the activities and maintenance of any equipment or facilities to be provided through the proposed project.

SEC. 9006. Funds available to the Department of Defense for operation and maintenance may be used, notwithstanding any other provision of law, to provide supplies, services, transportation, including airlift and sealift, and other logistical support to allied forces participating in a combined operation with the armed forces of the United States and coalition forces supporting military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria: Provided, That

the Secretary of Defense shall provide quarterly reports to the congressional defense committees regarding support provided under this section.

**SEC. 9007.** None of the funds appropriated or otherwise made available by this or any other Act shall be obligated or expended by the United States Government for a purpose as follows:

(1) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Iraq.

(2) To exercise United States control over any oil resource of Iraq.

(3) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Afghanistan. **]**

**SEC. [9008]9007.** None of the funds made available in this Act may be used in contravention of the following laws enacted or regulations promulgated to implement the United Nations Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (done at New York on December 10, 1984):

(1) Section 2340A of title 18, United States Code.

(2) Section 2242 of the Foreign Affairs Reform and Restructuring Act of 1998 (division G of Public Law 105–277; 112 Stat. 2681–822; 8 U.S.C. 1231 note) and regulations prescribed thereto, including regulations under part 208 of title 8, Code of Federal Regulations, and part 95 of title 22, Code of Federal Regulations.

(3) Sections 1002 and 1003 of the Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006 (Public Law 109–148).

**SEC. 9009.** None of the funds provided for the "Afghanistan Security Forces Fund" (ASFF) may be obligated prior to the approval of a financial and activity plan by the Afghanistan Resources Oversight Council (AROC) of the Department of Defense: *Provided*, That the AROC must approve the requirement and acquisition plan for any service requirements in excess of \$50,000,000 annually and any non-standard equipment requirements in excess of \$100,000,000 using ASFF: *Provided further*, That the Department of Defense must certify to the congressional defense committees that the AROC has convened and approved a process for ensuring compliance with the requirements in the preceding proviso and accompanying report language for the ASFF. **]**

**SEC. [9010]9008.** Funds made available in this title to the Department of Defense for operation and maintenance may be used to purchase items having an investment unit cost of not more than \$250,000: *Provided*, That, upon determination by the Secretary of Defense that such action is necessary to meet the operational requirements of a Commander of a Combatant Command engaged in contingency operations overseas, such funds may be used to purchase items having an investment item unit cost of not more than \$500,000.

**SEC. 9011.** Up to \$500,000,000 of funds appropriated by this Act for the Defense Security Cooperation Agency in "Operation and Maintenance, Defense-Wide" may be used to provide assistance to the Government of Jordan to support the armed forces of Jordan and to enhance security along its borders. **]**

**SEC. 9012.** None of the funds made available by this Act under the heading "Counter-ISIS Train and Equip Fund" may be used to procure or transfer man-portable air defense systems. **]**

**SEC. [9013]9009.** For the "Ukraine Security Assistance Initiative", **[\$250,000,000]** \$250,000,000 is hereby appropriated, to remain available until September 30, **[2019]2021**: *Provided*, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training; equipment; lethal assistance; logistics support, supplies and services; sustainment; and intelligence support to the military and national security forces of Ukraine, and for replacement of any weapons or articles provided to the Government of Ukraine from the inventory of the United States: **[Provided further**, That of the amounts made available in this section, \$50,000,000 shall be available only for lethal assistance described in paragraphs (2) and (3) of section 1250(b) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92; 129 Stat. 1068): **]** *Provided further*, That the Secretary of Defense shall, not less than 15 days prior to obligating funds provided under this heading, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Ukraine and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the military or National Security Forces of Ukraine or returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: *Provided further*, That such amount shall be

*available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).*

**SEC. 9014.** Funds appropriated in this title shall be available for replacement of funds for items provided to the Government of Ukraine from the inventory of the United States to the extent specifically provided for in section 9013 of this Act. **]**

**SEC. 9015.** None of the funds made available by this Act under section 9013 may be used to procure or transfer man-portable air defense systems. **]**

**SEC. [9016]9010.** Equipment procured using funds provided in prior Acts under the heading "Counterterrorism Partnerships Fund" for the program authorized by section 1209 of the Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 (Public Law 113–291), and not yet transferred to authorized recipients may be transferred to foreign security forces, irregular forces, groups, or individuals, authorized to receive assistance using amounts provided under the heading "Counter-ISIS Train and Equip Fund" in this Act: *Provided*, That such equipment may be transferred **[15 days following]** upon written notification to the congressional defense committees.

**SEC. 9017.** (a) None of the funds appropriated or otherwise made available by this Act under the heading "Operation and Maintenance, Defense-Wide" for payments under section 1233 of Public Law 110–181 for reimbursement to the Government of Pakistan may be made available unless the Secretary of Defense, in coordination with the Secretary of State, certifies to the congressional defense committees that the Government of Pakistan is—

(1) cooperating with the United States in counterterrorism efforts against the Haqqani Network, the Quetta Shura Taliban, Lashkar e-Tayyiba, Jaish-e-Mohammed, Al Qaeda, and other domestic and foreign terrorist organizations, including taking steps to end support for such groups and prevent them from basing and operating in Pakistan and carrying out cross border attacks into neighboring countries;

(2) not supporting terrorist activities against United States or coalition forces in Afghanistan, and Pakistan's military and intelligence agencies are not intervening extra-judicially into political and judicial processes in Pakistan;

(3) dismantling improvised explosive device (IED) networks and interdicting precursor chemicals used in the manufacture of IEDs;

(4) preventing the proliferation of nuclear-related material and expertise;

(5) implementing policies to protect judicial independence and due process of law;

(6) issuing visas in a timely manner for United States visitors engaged in counterterrorism efforts and assistance programs in Pakistan; and

(7) providing humanitarian organizations access to detainees, internally displaced persons, and other Pakistani civilians affected by the conflict.

(b) The Secretary of Defense, in coordination with the Secretary of State, may waive the restriction in subsection (a) on a case-by-case basis by certifying in writing to the congressional defense committees that it is in the national security interest to do so: *Provided*, That if the Secretary of Defense, in coordination with the Secretary of State, exercises such waiver authority, the Secretaries shall report to the congressional defense committees on both the justification for the waiver and on the requirements of this section that the Government of Pakistan was not able to meet: *Provided further*, That such report may be submitted in classified form if necessary. **]**

**[INCLUDING TRANSFER OF FUNDS]**

**SEC. 9018.** In addition to amounts otherwise made available in this Act, \$500,000,000 is hereby appropriated to the Department of Defense and made available for transfer only to the operation and maintenance, military personnel, and procurement accounts, to improve the intelligence, surveillance, and reconnaissance capabilities of the Department of Defense: *Provided*, That the transfer authority provided in this section is in addition to any other transfer authority provided elsewhere in this Act: *Provided further*, That not later than 30 days prior to exercising the transfer authority provided in this section, the Secretary of Defense shall submit a report to the congressional defense committees on the proposed uses of these funds: *Provided further*, That the funds provided in this section may not be transferred to any program, project, or activity specifically limited or denied by this Act: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That the authority to provide funding under this section shall terminate on September 30, 2019. **]**

**SEC. 9019.** None of the funds made available by this Act may be used with respect to Syria in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed or military forces into hostilities in Syria, into situations in Syria where imminent involvement in hostilities is clearly indicated by the circumstances, or into Syrian territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of that law (50 U.S.C. 1542 and 1543). **]**

【SEC. 9020. None of the funds in this Act may be made available for the transfer of additional C-130 cargo aircraft to the Afghanistan National Security Forces or the Afghanistan Air Force until the Department of Defense provides a report to the congressional defense committees of the Afghanistan Air Force's medium airlift requirements. The report should identify Afghanistan's ability to utilize and maintain existing medium lift aircraft in the inventory and the best alternative platform, if necessary, to provide additional support to the Afghanistan Air Force's current medium airlift capacity.】

【(RESCISSIONS)】

【SEC. 9021. Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That such amounts are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985:

"Procurement of Ammunition, Navy and Marine Corps", 2017/2019, \$2,216,000;

"Operation and Maintenance, Defense-Wide: Coalition Support Fund", 2018/2019, \$800,000,000;

"Operation and Maintenance, Defense-Wide: DSCA Security Cooperation", 2018/2019, \$150,000,000;

"Counter-ISIS Train and Equip Fund", 2018/2019, \$300,000,000; and

"Aircraft Procurement, Air Force", 2018/2020, \$88,400,000.】

SEC. 【9022】9011. Funds available for the Afghanistan Security Forces Fund may be used to provide limited training, equipment, and other assistance that would

otherwise be prohibited by 10 U.S.C. 362 to a unit of the security forces of Afghanistan only if the Secretary certifies to the congressional defense committees, within 30 days of a decision to provide such assistance, that (1) a denial of such assistance would present significant risk to U.S. or coalition forces or significantly undermine United States national security objectives in Afghanistan; and (2) the Secretary has sought a commitment by the Government of Afghanistan to take all necessary corrective steps: *Provided*, That such certification shall be accompanied by a report describing: (1) the information relating to the gross violation of human rights; (2) the circumstances that necessitated the provision of such assistance; (3) the Afghan security force unit involved; (4) the assistance provided and the assistance withheld; and (5) the corrective steps to be taken by the Government of Afghanistan: *Provided further*, That every 120 days after the initial report an additional report shall be submitted detailing the status of any corrective steps taken by the Government of Afghanistan: *Provided further*, That if the Government of Afghanistan has not initiated necessary corrective steps within one year of the certification, the authority under this section to provide assistance to such unit shall no longer apply: *Provided further*, That the Secretary shall submit a report to such committees detailing the final disposition of the case by the Government of Afghanistan.

【SEC. 9023. Each amount designated in this Act by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 shall be available (or rescinded, if applicable) only if the President subsequently so designates all such amounts and transmits such designations to the Congress.】  
(*Department of Defense Appropriations Act, 2019.*)



# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION *Federal Funds*

### EDUCATION FOR THE DISADVANTAGED

For carrying out title I [and subpart 2 of part B of title II] of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), [ \$16,543,790,000 ] \$16,376,790,000, of which [ \$5,625,990,000 ] \$4,595,269,000 shall become available on July 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, and of which [ \$10,841,177,000 ] \$11,681,898,000 shall become available on October 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, for academic year [2019–2020] 2020–2021: *Provided*, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to \$5,000,000 of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, [2018] 2019, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That \$4,019,050,000 shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That \$4,019,050,000 shall be for education finance incentive grants under section 1125A of the ESEA: [ *Provided further*, That \$217,000,000 shall be for carrying out subpart 2 of part B of title II: ] *Provided further*, That \$50,000,000 shall be for local educational agencies to implement weighted per-pupil funding systems through a demonstration agreement with the Secretary under part E of title I of the ESEA: *Provided further*, That \$44,623,000 shall be for carrying out section 418A of the HEA: *Provided further*, That, notwithstanding section 1003A(a)(1)(A) of the ESEA, a State educational agency may reserve not more than 5 percent of the amount such State educational agency receives under Part A of Title I to carry out section 1003A of the ESEA. (Department of Education Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 091–0900–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants to local educational agencies .....	15,758	15,860	15,019
0002 State agency programs: Migrants .....	375	375	375
0003 State agency programs: Neglected and delinquent .....	48	48	48
0004 Special programs for migrant students .....	45	45	45
0006 Comprehensive literacy development grants .....	9	190	190
0007 Innovative approaches to literacy .....	27	27	.....
0008 Student-centered funding incentive grants .....	.....	.....	50
0900 Total new obligations, unexpired accounts .....	16,262	16,545	15,727
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	198	197
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,603	5,703	4,695
Advance appropriations, discretionary:			
1170 Advance appropriation .....	10,841	10,841	10,841
1900 Budget authority (total) .....	16,444	16,544	15,536
1930 Total budgetary resources available .....	16,460	16,742	15,733
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	198	197	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,221	11,148	10,186
3010 New obligations, unexpired accounts .....	16,262	16,545	15,727
3020 Outlays (gross) .....	-15,328	-17,507	-16,474
3041 Recoveries of prior year unpaid obligations, expired .....	-7	.....	.....
3050 Unpaid obligations, end of year .....	11,148	10,186	9,439
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,221	11,148	10,186
3200 Obligated balance, end of year .....	11,148	10,186	9,439
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16,444	16,544	15,536
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,989	7,816	7,776

4011 Outlays from discretionary balances .....	8,339	9,691	8,698
4020 Outlays, gross (total) .....	15,328	17,507	16,474
4180 Budget authority, net (total) .....	16,444	16,544	15,536
4190 Outlays, net (total) .....	15,328	17,507	16,474

#### SUMMARY OF PROGRAM LEVEL

	(in millions of dollars)		
	2018–2019 Academic Year	2019–2020 Academic Year	2020–2021 Academic Year
New Budget Authority .....	5,603	5,703	4,695
Advance appropriation .....	10,841	10,841	11,682
Total program level .....	16,444	16,544	16,377
Change in advance appropriation from the previous year .....	0	0	+841

*Grants to local educational agencies.*—Funds are allocated via formula for programs that provide academic support to help students in high-poverty schools meet challenging State standards. States assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools.

*Student-centered funding incentive grants.*—Funds would support grants intended to spur local educational agencies to participate in agreements under Title I, Part E of the ESEA, which allow for the consolidation of Federal funds together with State and local funds in student-centered funding systems based on weighted per-pupil allocations.

*State agency migrant program.*—Funds support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services for children who have moved within the past 36 months.

*State agency neglected and delinquent children and youth education program.*—Funds support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in correctional facilities.

*Special programs for migrant students.*—Funds support grants to institutions of higher education and nonprofit organizations that assist migratory students in earning a high school equivalency certificate or in completing their first year of college.

#### Object Classification (in millions of dollars)

Identification code 091–0900–0–1–501	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	5	5
25.2 Other services from non-Federal sources .....	10	10	10
25.3 Other goods and services from Federal sources .....	5	5	5
25.7 Operation and maintenance of equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	16,237	16,520	15,702
99.9 Total new obligations, unexpired accounts .....	16,262	16,545	15,727

#### IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VII of the ESEA, [ \$1,446,112,000 ] \$1,371,799,000, of which \$1,301,242,000 shall be for basic support payments under section 7003(b), \$48,316,000 shall be for payments for children with disabilities under section 7003(d), \$17,406,000, to remain available for obligation through September 30, [2020] 2021, shall be for construction under section 7007(b), [ \$74,313,000 ] shall be for Federal property payments under section 7002, ] and \$4,835,000, to remain available until expended, shall be for facilities maintenance under section 7008: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section 7003(a) for school year [2018–2019]

IMPACT AID—Continued

2019–2020, children enrolled in a school of such agency that would otherwise be eligible for payment under section 7003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 7003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 091–0102–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic support payments .....	1,271	1,302	1,302
0002 Payments for children with disabilities .....	48	48	48
0091 Direct program activities, subtotal .....	1,319	1,350	1,350
0101 Facilities maintenance .....	5	5	5
0201 Construction .....	35	17	17
0301 Payments for Federal property .....	73	74	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,432	1,446	1,372
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,414	1,446	1,372
1930 Total budgetary resources available .....	1,436	1,450	1,376
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	234	198	302
3010 New obligations, unexpired accounts .....	1,432	1,446	1,372
3011 Obligations ("upward adjustments"), expired accounts .....	225	.....	.....
3020 Outlays (gross) .....	-1,466	-1,342	-1,393
3041 Recoveries of prior year unpaid obligations, expired .....	-227	.....	.....
3050 Unpaid obligations, end of year .....	198	302	281
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	234	198	302
3200 Obligated balance, end of year .....	198	302	281
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,414	1,446	1,372
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,242	1,284	1,217
4011 Outlays from discretionary balances .....	224	58	176
4020 Outlays, gross (total) .....	1,466	1,342	1,393
4180 Budget authority, net (total) .....	1,414	1,446	1,372
4190 Outlays, net (total) .....	1,466	1,342	1,393

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, limiting LEAs access a central source of revenue used by most communities to finance education.

*Basic support payments.*—Payments will be made on behalf of approximately 800,000 federally connected students enrolled in over 1,000 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,630.

*Payments for children with disabilities.*—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 50,000 federally connected students with disabilities in over 800 LEAs. Average per-student payments will be approximately \$970.

*Facilities Maintenance.*—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

*Construction.*—Approximately 6 to 10 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by part B of title I, and [part A of title II, subpart 1 of part A of title IV,] part B of title IV, part B of title V [ , and parts B and C of title VI] of the ESEA; the McKinney-Vento Homeless Assistance Act; [section 203 of the Educational Technical Assistance Act of 2002;] the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, [\$5,246,967,000] \$675,614,000, of which [\$3,418,402,000] \$652,340,000 shall become available on July 1, [2019] 2020, and remain available through September 30, [2020] 2021 [ , and of which \$1,681,441,000 shall become available on October 1, 2019, and shall remain available through September 30, 2020, for academic year 2019–2020]; *Provided*, That \$378,000,000 shall be for part B of title I: [ *Provided further*, That \$1,221,673,000 shall be for part B of title IV: *Provided further*, That \$36,397,000 shall be for part B of title VI and may be used for construction, renovation, and modernization of any elementary school, secondary school, or structure related to an elementary school or secondary school, run by the Department of Education of the State of Hawaii, that serves a predominantly Native Hawaiian student body: *Provided further*, That \$35,453,000 shall be for part C of title VI and shall be awarded on a competitive basis, and also may be used for construction: *Provided further*, That \$52,000,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section: ] *Provided further*, That \$16,699,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: *Provided further*, That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: *Provided further*, That \$180,840,000 shall be for part B of title V [ : *Provided further*, That \$1,170,000,000 shall be available for grants under subpart 1 of part A of title IV]. (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 091–1000–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Supporting effective instruction State grants .....	2,050	2,056	1,681
0002 21st century community learning centers .....	1,210	1,222	.....
0003 State assessments .....	371	378	378
0004 Education for homeless children and youths .....	85	94	94
0005 Native Hawaiians education .....	36	36	.....
0006 Alaska Native education .....	35	33	.....
0007 Training and advisory services .....	7	7	7
0008 Rural education .....	181	181	181
0009 Supplemental education grants .....	17	17	17
0010 Comprehensive centers .....	52	52	.....
0011 Pooled evaluation .....	5	.....	.....
0012 Student support and academic enrichment .....	1,080	1,170	.....
0900 Total new obligations, unexpired accounts .....	5,129	5,246	2,358
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	57	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,477	3,566	676
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,681	1,681	1,681
1900 Budget authority (total) .....	5,158	5,247	2,357
1930 Total budgetary resources available .....	5,186	5,304	2,415
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	57	58	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,785	5,710	5,994
3010 New obligations, unexpired accounts .....	5,129	5,246	2,358

3020	Outlays (gross) .....	-4,194	-4,962	-5,131
3041	Recoveries of prior year unpaid obligations, expired .....	-10		
3050	Unpaid obligations, end of year .....	5,710	5,994	3,221
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4,785	5,710	5,994
3200	Obligated balance, end of year .....	5,710	5,994	3,221
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5,158	5,247	2,357
Outlays, gross:				
4010	Outlays from new discretionary authority .....	956	1,080	1,023
4011	Outlays from discretionary balances .....	3,238	3,882	4,108
4020	Outlays, gross (total) .....	4,194	4,962	5,131
4180	Budget authority, net (total) .....	5,158	5,247	2,357
4190	Outlays, net (total) .....	4,194	4,962	5,131

**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

	2018–2019 Academic Year	2019–2020 Academic Year	2020–2021 Academic Year
New Budget Authority .....	3,477	3,566	676
Advance Appropriation .....	1,681	1,681	0
Total program level .....	5,158	5,247	676
Change in advance appropriation over previous year .....	0	0	-1,681

*State assessments.*—Funds would support formula and competitive grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds could also support audits of State and local assessment systems.

*Education for homeless children and youths.*—Funds would support activities to ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children.

*Training and advisory services.*—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, sex, national origin, and religion.

*Rural education.*—Funds would support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds to carry out activities authorized elsewhere in the Elementary and Secondary Education Act of 1965 (ESEA). Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

*Supplemental education grants.*—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

**Object Classification** (in millions of dollars)

Identification code 091–1000–0–1–501	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
25.1	Advisory and assistance services .....	5	5	5
25.2	Other services from non-Federal sources .....	14	14	14
25.3	Other goods and services from Federal sources .....	3	3	3
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	2	2	2
41.0	Grants, subsidies, and contributions .....	5,104	5,221	2,333
99.9	Total new obligations, unexpired accounts .....	5,129	5,246	2,358

[\$95,000,000 shall be available for section 4631], of which up to [\$5,000,000] \$10,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence (Project SERV) program: *Provided, That \$100,000,000 shall be for school safety grants to States, of which the Secretary shall (1) reserve \$500,000 for the outlying areas, to be distributed among those outlying areas on the basis of their relative shares of the number of individuals aged 5 through 17, as determined by the Secretary on the basis of the most recent satisfactory data; (2) reserve \$500,000 for the Secretary of the Interior for programs in schools operated or funded by the Bureau of Indian Education; and (3) award the remaining \$99,000,000 among the 50 States, the District of Columbia, and Puerto Rico based on their relative shares of the number of individuals aged 5 through 17 as determined by the Secretary on the basis of the most recent satisfactory data: Provided further, That the minimum grant size awarded to the 50 States, the District of Columbia, and Puerto Rico shall be \$500,000: Provided further, That funds awarded under the first proviso shall be used for activities authorized under section 4104(b) and consistent with the purposes of section 4108 of the ESEA, in accordance with a plan that shall be submitted to the Secretary at such time and in such manner as the Secretary may require [*: *Provided further, That \$17,500,000 shall be available for section 4625: Provided further, That \$78,254,000 shall be available through December 31, 2019, for section 4624]. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091–0203–0–1–501	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	School safety national activities .....	87	95	200
0002	Full-service community schools .....	17	18	
0003	Promise neighborhoods .....	105	78	55
0500	Direct program activities, subtotal .....	209	191	255
0900	Total new obligations, unexpired accounts .....	209	191	255
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	78	55	55
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	186	191	200
1930	Total budgetary resources available .....	264	246	255
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	55	55	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	236	273	237
3010	New obligations, unexpired accounts .....	209	191	255
3020	Outlays (gross) .....	-169	-227	-199
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	273	237	293
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	236	273	237
3200	Obligated balance, end of year .....	273	237	293
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	186	191	200
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	4	4
4011	Outlays from discretionary balances .....	166	223	195
4020	Outlays, gross (total) .....	169	227	199
4180	Budget authority, net (total) .....	186	191	200
4190	Outlays, net (total) .....	169	227	199

*School safety national activities.*—Funds would support activities to improve students' safety and well-being, including new School Safety State Grants that would build State and local capacity to address school safety issues, including through activities that draw on the recommendations of the Federal Commission on School Safety; School Climate Transformation Grants that support multi-tiered behavioral frameworks designed to improve behavioral outcomes and which also can help address the effects of opioid abuse on students and communities; Project Prevent grants to help students in communities experiencing pervasive violence; Mental Health Demonstration Grants that expand the pipeline of school-based counselors, social workers, psychologists, and other mental health

**SAFE SCHOOLS AND CITIZENSHIP EDUCATION**

For carrying out activities authorized by [subparts 2 and 3 of part F of title IV] section 4631 of the ESEA, [\$190,754,000] \$200,000,000 [: *Provided, That*

SAFE SCHOOLS AND CITIZENSHIP EDUCATION—Continued

professionals; Grants to States for Emergency Management that help States strengthen school emergency operation plans; and Project SERV (School Emergency Response to Violence), which provides services to school districts and postsecondary institutions in which the learning environment has been disrupted due to a violent or traumatic incident.

Object Classification (in millions of dollars)

Table with 4 columns: Identification code 091-0203-0-1-501, 2018 actual, 2019 est., 2020 est. Rows include Direct obligations: 25.1 Advisory and assistance services, 25.2 Other services from non-Federal sources, 41.0 Grants, subsidies, and contributions, and 99.9 Total new obligations, unexpired accounts.

INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VI, part A of the ESEA, [ \$180,239,000 ] \$176,239,000, of which [ \$67,993,000 ] \$63,993,000 shall be for subpart 2 of part A of title VI and \$6,865,000 shall be for subpart 3 of part A of title VI: Provided, That the Secretary may make awards under subpart 3 of Part A of title VI without regard to the funding limitation in section 6133(b)(1) of the ESEA: Provided further, That notwithstanding sections 6132(c)(2) and 6133(d)(1) of such Act, the Secretary may make such awards for a period of up to 5 years. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code 091-0101-0-1-501, 2018 actual, 2019 est., 2020 est. Rows include Obligations by program activity (0001-0003), Budgetary resources (1100, 1930), Change in obligated balance (3000-3200), and Budget authority and outlays, net (4000-4190).

The Indian Education programs support the efforts of local educational agencies (LEA), tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

Grants to local educational agencies.—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

Special programs for Indian children.—Funds support awards under the Demonstration Grants authority including for Native Youth Community Projects, to improve the college- and career-readiness of Native youth, as well as professional development grants for training Native American teachers and administrators for employment in school districts that serve a high proportion of Indian students.

National activities.—Funds support research, evaluation, data collection, and related activities, grants for Native language immersion schools and programs, and grants to Tribes to create Tribal educational agencies and to expand the capacity of existing Tribal educational agencies through education administrative planning, development, and coordination.

Object Classification (in millions of dollars)

Table with 4 columns: Identification code 091-0101-0-1-501, 2018 actual, 2019 est., 2020 est. Rows include Direct obligations: 25.2 Other services from non-Federal sources, 41.0 Grants, subsidies, and contributions, and 99.9 Total new obligations, unexpired accounts.

OFFICE OF INNOVATION AND IMPROVEMENT

Federal Funds

INNOVATION AND IMPROVEMENT

For carrying out activities authorized by [ subparts ] subpart 1 [ , 3 and 4 ] of part B of title II, and parts C [ , ] and D [ , ] and [ E and subparts ] subpart 1 [ and 4 ] of part F of title IV of the ESEA, [ \$1,035,556,000 ] \$1,107,000,000: Provided, That [ \$279,815,000 ] \$200,000,000 shall be for [ subparts ] subpart 1 [ , 3 and 4 ] of part B of title II and shall be made available without regard to [ sections ] section 2201 [ , 2231(b) and 2241 ]: Provided further, That [ \$625,741,000 ] \$907,000,000 shall be for parts C [ , ] and D [ , ] and E [ ] and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 [ of the ESEA ]: [ Provided further, That section 4303(d)(3)(A)(i) shall not apply to the funds available for part C of title IV: ] Provided further, That of the funds available for part C of title IV, the Secretary shall use not more than [ \$55,000,000 ] \$125,000,000 to carry out section 4304 [ , ] of which not more than \$10,000,000 shall be available to carry out section 4304(k), [ ] and not more than \$185,000,000 to carry out section 4305, of which not more than \$15,000,000 shall be available to carry out section 4305(a)(2) and not more than \$20,000,000 shall be available to carry out the activities in section 4305(a)(3): Provided further, That the funds used to carry out section 4305(b) [ \$135,000,000, to ] shall remain available through March 31, [ 2020 ] 2021 [ , ] to carry out section 4305(b), and not more than \$15,000,000 to carry out the activities in section 4305(a)(3): Provided further, That notwithstanding section 4601(b), [ \$130,000,000 ] \$300,000,000 shall be available through December 31, [ 2019 ] 2020 for subpart 1 of part F of title IV: Provided further, That the Secretary may restrict eligibility under section 4611(b) to local educational agencies. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code 091-0204-0-1-501, 2018 actual, 2019 est., 2020 est. Rows include Obligations by program activity (0001-0010), Budgetary resources (0799, 0801), and 9900 Total new obligations, unexpired accounts.

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	982	1,036	1,107
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	45	46	46
1900	Budget authority (total) .....	1,027	1,082	1,153
1930	Total budgetary resources available .....	1,058	1,198	1,270
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	116	117	88
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,743	2,464	1,965
3010	New obligations, unexpired accounts .....	942	1,081	1,182
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-1,189	-1,580	-1,045
3041	Recoveries of prior year unpaid obligations, expired .....	-34		
3050	Unpaid obligations, end of year .....	2,464	1,965	2,102
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,743	2,464	1,965
3200	Obligated balance, end of year .....	2,464	1,965	2,102
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,027	1,082	1,153
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	22	23
4011	Outlays from discretionary balances .....	1,186	1,558	1,022
4020	Outlays, gross (total) .....	1,189	1,580	1,045
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-45	-46	-46
4180	Budget authority, net (total) .....	982	1,036	1,107
4190	Outlays, net (total) .....	1,144	1,534	999

**Education innovation and research.**—Funds would support competitive grants for demonstration projects aimed at improving the quality and effectiveness of classroom instruction by empowering teachers to select their own professional development activities, while maintaining strong support for field-initiated projects that would promote innovation and reform in science, technology, engineering, and mathematics (STEM) education, including computer science.

**Teacher and school leader incentive grants.**—Funds would help elevate the teaching profession by recognizing and rewarding teaching excellence, improving continuing education for teachers, and expanding teacher preparation.

**Charter schools grants.**—Funds would support competitive grants for the opening of new charter schools and the replication and expansion of high-quality charter schools. Funds would also support information dissemination activities and competitive grants to improve charter schools' access to facilities.

**Magnet schools assistance.**—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

Object Classification (in millions of dollars)				
Identification code 091-0204-0-1-501	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	17	17	17
25.5	Research and development contracts .....	3		
41.0	Grants, subsidies, and contributions .....	865	1,019	1,090
99.0	Direct obligations .....	885	1,036	1,107
99.0	Reimbursable obligations .....	57	45	75
99.9	Total new obligations, unexpired accounts .....	942	1,081	1,182

**OFFICE OF ENGLISH LANGUAGE ACQUISITION**

*Federal Funds*

ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, \$737,400,000, which shall become available on July 1, [2019] 2020, and shall remain available through September

30, [2020] 2021, except that 6.5 percent of such amount shall be available on October 1, [2018] 2019, and shall remain available through September 30, [2020] 2021, to carry out activities under section 3111(c)(1)(C). (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)				
Identification code 091-1300-0-1-501	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	English language acquisition grants .....	735	737	737
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	6	6
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	737	737	737
1930	Total budgetary resources available .....	741	743	743
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,068	1,101	1,024
3010	New obligations, unexpired accounts .....	735	737	737
3020	Outlays (gross) .....	-698	-814	-743
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	1,101	1,024	1,018
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,068	1,101	1,024
3200	Obligated balance, end of year .....	1,101	1,024	1,018
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	737	737	737
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	7	7
4011	Outlays from discretionary balances .....	696	807	736
4020	Outlays, gross (total) .....	698	814	743
4180	Budget authority, net (total) .....	737	737	737
4190	Outlays, net (total) .....	698	814	743

**Language acquisition State grants.**—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners and a national information clearinghouse on English language acquisition.

Object Classification (in millions of dollars)				
Identification code 091-1300-0-1-501	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	2	2	2
41.0	Grants, subsidies, and contributions .....	733	735	735
99.9	Total new obligations, unexpired accounts .....	735	737	737

**OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES**

*Federal Funds*

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act (IDEA) [and the Special Olympics Sport and Empowerment Act of 2004] , [\$13,468,728,000] \$13,451,145,000, of which [\$3,942,129,000] \$3,101,409,000 shall become available on July 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, and of which [\$9,283,383,000] \$10,124,103,000 shall become available on October 1, [2019] 2020, and shall remain available through September 30, [2020] 2021, for academic year [2019-2020] 2020-2021: *Provided*, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available

SPECIAL EDUCATION—Continued

for that activity during fiscal year [2018] 2019, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year [2018] 2019: *Provided further*, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611, from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: *Provided further*, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed five, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That States may use funds reserved for other State-level activities under sections 611(e)(2) and 619(f) of the IDEA to make subgrants to local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations to carry out activities authorized by such sections: *Provided further*, That notwithstanding section 643(e)(2)(A) of the IDEA, if 5 or fewer States apply for grants pursuant to section 643(e) of such Act, the Secretary shall provide a grant to each State in an amount equal to the maximum amount described in section 643(e)(2)(B) of such Act: *Provided further*, That if more than 5 States apply for grants pursuant to section 643(e) of the IDEA, the Secretary shall award funds to those States on the basis of the States' relative populations of infants and toddlers except that no such State shall receive a grant in excess of the amount described in section 643(e)(2)(B) of such Act. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091-0300-0-1-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants to States .....	12,264	12,364	11,524
0002 Preschool grants .....	381	391	391
0003 Grants for infants and families .....	470	470	470
0091 Subtotal, State grants .....	13,115	13,225	12,385
0101 State personnel development .....	39	39	39
0102 Technical assistance and dissemination .....	44	44	44
0103 Personnel preparation .....	84	87	87
0104 Parent information centers .....	27	27	27
0105 Educational technology, media, and materials .....	28	28	28
0191 Subtotal, National activities .....	222	225	225

0201 Special Olympics education program .....	15	18	.....
0900 Total new obligations, unexpired accounts .....	13,352	13,468	12,610
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	16	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,083	4,186	3,327
Advance appropriations, discretionary:			
1170 Advance appropriation .....	9,283	9,283	9,283
1900 Budget authority (total) .....	13,366	13,469	12,610
1930 Total budgetary resources available .....	13,368	13,485	12,627
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,527	7,927	7,950
3010 New obligations, unexpired accounts .....	13,352	13,468	12,610
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-12,947	-13,445	-13,408
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	7,927	7,950	7,152
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7,527	7,927	7,950
3200 Obligated balance, end of year .....	7,927	7,950	7,152
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13,366	13,469	12,610
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6,199	6,326	6,284
4011 Outlays from discretionary balances .....	6,748	7,119	7,124
4020 Outlays, gross (total) .....	12,947	13,445	13,408
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	13,366	13,469	12,610
4080 Outlays, net (discretionary) .....	12,946	13,445	13,408
4180 Budget authority, net (total) .....	13,366	13,469	12,610
4190 Outlays, net (total) .....	12,946	13,445	13,408

SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS

	(in millions of dollars)		
	2018-2019 Academic Year	2019-2020 Academic Year	2020-2021 Academic Year
Current Budget Authority .....	3,846	3,942	3,101
Advance appropriation .....	9,383	9,283	10,124
Total program level .....	13,129	13,226	13,226
Change in advance appropriation from the previous year .....	0	0	+841

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program. The goal of both of the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies (LEAs) to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living. LEAs may reserve up to 15 percent of the funds they receive under Part B of the Individuals with Disabilities Education Act to provide comprehensive coordinated early intervening services to children age 3 through grade 12.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age two, and their families.

The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

*National activities.*—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

Performance data related to program goals include:

**Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older**

Status of Exiting Students Percent / number of students with disabilities aged 14–21 exiting special education:	2014–2015	2015–2016	2016–2017
	Actual	Actual	Actual
Graduated with a diploma .....	45.2% / 252,172	44.9% / 269,246	45.7% / 278,704
Graduated through certification .....	6.7% / 37,590	7.1% / 42,590	7.0% / 42,682
Transferred to regular education .....	9.7% / 53,999	9.3% / 56,113	10.3% / 62,465
Dropped out of school/not known to continue .....	11.6% / 64,850	11.2% / 67,305	11.1% / 67,753
Moved, but known to have continued in education .....	25.6% / 142,847	26.5% / 158,889	24.9% / 151,589
Reached maximum age for services/other .....	1.1% / 6,054	1.0% / 6,284	1.0% / 6,173
<b>Total .....</b>	<b>100% / 557,512</b>	<b>100% / 600,427</b>	<b>100% / 609,366</b>

Note: Percentages may not add to 100% due to rounding.

**Object Classification (in millions of dollars)**

Identification code 091–0300–0–1–501	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	13,351	13,467	12,609
99.9 Total new obligations, unexpired accounts .....	13,352	13,468	12,610

**REHABILITATION SERVICES**

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, **[\$3,657,189,000] \$3,719,527,000**, of which **[\$3,521,990,000] \$3,610,040,000** shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act **]: Provided**, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income ("SSI") and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2020]. (Department of Education Appropriations Act, 2019.)

**Program and Financing (in millions of dollars)**

Identification code 091–0301–0–1–506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Vocational rehabilitation State grants .....	3,225	3,304	3,603
0002 Client assistance State grants .....	13	13	13
0003 Supported employment State grants .....	23	23	.....
0004 Training .....	29	29	29
0005 Demonstration and Training Programs .....	6	6	6
0006 Independent living services for older blind individuals .....	33	33	33
0007 Protection and advocacy of individual rights .....	18	18	18
0008 Helen Keller National Center .....	12	13	10
0100 Total direct program .....	3,359	3,439	3,712

0900 Total new obligations, unexpired accounts .....	3,359	3,439	3,712
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	134	135	109
Appropriations, mandatory:			
1200 Appropriation .....	3,453	3,522	3,603
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-228	-218	.....
1260 Appropriations, mandatory (total) .....	3,225	3,304	3,603
1900 Budget authority (total) .....	3,359	3,439	3,712
1930 Total budgetary resources available .....	3,359	3,439	3,712

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,925	2,040	1,909
3010 New obligations, unexpired accounts .....	3,359	3,439	3,712
3011 Obligations ("upward adjustments"), expired accounts .....	20	.....	.....
3020 Outlays (gross) .....	-3,145	-3,570	-3,565
3041 Recoveries of prior year unpaid obligations, expired .....	-119	.....	.....
3050 Unpaid obligations, end of year .....	2,040	1,909	2,056
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,925	2,040	1,909
3200 Obligated balance, end of year .....	2,040	1,909	2,056

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	134	135	109
Outlays, gross:			
4010 Outlays from new discretionary authority .....	58	68	54
4011 Outlays from discretionary balances .....	83	68	69
4020 Outlays, gross (total) .....	141	136	123
Mandatory:			
4090 Budget authority, gross .....	3,225	3,304	3,603
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,696	1,652	1,802
4101 Outlays from mandatory balances .....	1,308	1,782	1,640
4110 Outlays, gross (total) .....	3,004	3,434	3,442
4180 Budget authority, net (total) .....	3,359	3,439	3,712
4190 Outlays, net (total) .....	3,145	3,570	3,565

*Vocational rehabilitation State grants.*—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In 2017, State VR agencies assisted an estimated 173,378 individuals with disabilities to obtain an employment outcome, about 93 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for Grants for Indians. The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute.

*Client assistance State grants.*—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

*Training.*—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

*Demonstration and training programs.*—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further

REHABILITATION SERVICES—Continued

the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services. To further the goals of the President's Executive Order on Expanding Apprenticeships in America (E.O. 13801), the 2019 request includes an increase in funds to support an inclusive apprenticeship technical assistance center that would expand apprenticeship opportunities for individuals with disabilities, particularly individuals with significant disabilities.

*Independent living services for older individuals who are blind.*—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

*Protection and advocacy of individual rights.*—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

*Helen Keller National Center for Deaf-Blind Youths and Adults.*—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

Object Classification (in millions of dollars)

Identification code 091–0301–0–1–506	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,358	3,438	3,711
99.9 Total new obligations, unexpired accounts .....	3,359	3,439	3,712

SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES

AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act to [promote] *Promote* the Education of the Blind of March 3, 1879, [ \$30,431,000 ] \$25,431,000. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091–0600–0–1–501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 American printing house for the blind .....	27	30	25
0900 Total new obligations, unexpired accounts (object class 41.0) .....	27	30	25
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	30	25
1930 Total budgetary resources available .....	27	30	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	7
3010 New obligations, unexpired accounts .....	27	30	25
3020 Outlays (gross) .....	–25	–32	–27
3050 Unpaid obligations, end of year .....	9	7	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	9	7
3200 Obligated balance, end of year .....	9	7	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	30	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	22	19
4011 Outlays from discretionary balances .....	7	10	8
4020 Outlays, gross (total) .....	25	32	27

4180 Budget authority, net (total) .....	27	30	25
4190 Outlays, net (total) .....	25	32	27

The 2020 request supports: the production and distribution of free educational materials for students below the college level who are blind; research related to developing and improving products; and advisory services to consumer organizations on the availability and use of materials. In 2018, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2018 appropriation represented approximately 60 percent of the Printing House's total budget. The 2020 request is expected to be allocated in a similar manner.

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, [ \$77,500,000 ] \$70,016,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091–0601–0–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	73	78	70
0900 Total new obligations, unexpired accounts (object class 41.0) .....	73	78	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	73	78	70
1930 Total budgetary resources available .....	73	78	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	1
3010 New obligations, unexpired accounts .....	73	78	70
3020 Outlays (gross) .....	–72	–82	–70
3050 Unpaid obligations, end of year .....	5	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	5	1
3200 Obligated balance, end of year .....	5	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	73	78	70
Outlays, gross:			
4010 Outlays from new discretionary authority .....	71	78	70
4011 Outlays from discretionary balances .....	1	4	.....
4020 Outlays, gross (total) .....	72	82	70
4180 Budget authority, net (total) .....	73	78	70
4190 Outlays, net (total) .....	72	82	70

This program provides postsecondary technical and professional education for individuals who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2018, the Federal appropriation represented approximately 73 percent of the Institute's operating budget. The 2020 request includes funds that may be used for the Endowment Grant program.

GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, [ \$134,361,000 ] \$121,275,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (Department of Education Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 091-0602-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	128	134	121
0900 Total new obligations, unexpired accounts (object class 41.0) .....	128	134	121
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	128	134	121
1930 Total budgetary resources available .....	128	134	121
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	128	134	121
3020 Outlays (gross) .....	-128	-134	-121
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	128	134	121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	128	134	121
4180 Budget authority, net (total) .....	128	134	121
4190 Outlays, net (total) .....	128	134	121

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

The University operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2018, the appropriation for Gallaudet represented approximately 60 percent of total revenue for the University. Approximately 28 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2020 request includes funds that may be used for the Endowment Grant program.

**OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION**

*Federal Funds*

CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Career and Technical Education Act of 2006 ("Perkins Act"), and the Adult Education and Family Literacy Act ("AEFLA"), **[\$1,925,686,000]** *\$1,842,159,000*, of which **[\$1,134,686,000]** *\$1,051,159,000* shall become available on July 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*, and of which \$791,000,000 shall become available on October 1, **[2019]** *2020*, and shall remain available through September 30, **[2020]** *2021*: *Provided, That notwithstanding section 114(e)(1) of the Perkins Act, \$13,000,000 shall be available for innovation and modernization grants under section 114(e) of such Act: Provided further, That, notwithstanding section 211(a)(1) of the AEFLA, of the amounts made available for the AEFLA, [\$13,712,000] \$73,712,000 shall be for national leadership activities under section 242: Provided further, That of the amounts made available in the preceding proviso, \$60,000,000 shall be for grants to States to support pre-apprenticeship activities that help low-skilled adults meet the entrance requirements of apprenticeship programs: Provided further, That the Department shall award the*

*grants authorized in the preceding proviso to States by distributing 50 percent of such funds in the same manner as prescribed in section 211(c) of the AEFLA and distributing remaining 50 percent of such funds based on each State's relative share of the AEFLA. (Department of Education Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 091-0400-0-1-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Career and Technical Education State Grants .....	1,192	1,263	1,263
0002 Career and Technical Education National Activities .....	8	7	20
0091 Subtotal, Career and Technical .....	1,200	1,270	1,283
0101 Adult Basic and Literacy Education State Grants .....	621	642	486
0102 Adult Education National Leadership Activities .....	13	14	74
0191 Subtotal, Adult Education .....	634	656	560
0799 Total direct obligations .....	1,834	1,926	1,843
0801 Reimbursable program activity .....	2		
0900 Total new obligations, unexpired accounts .....	1,836	1,926	1,843
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	23	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,040	1,135	1,051
Advance appropriations, discretionary:			
1170 Advance appropriation .....	791	791	791
1900 Budget authority (total) .....	1,831	1,926	1,842
1930 Total budgetary resources available .....	1,859	1,949	1,865
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23	23	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,400	1,601	1,696
3010 New obligations, unexpired accounts .....	1,836	1,926	1,843
3020 Outlays (gross) .....	-1,629	-1,831	-1,892
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	1,601	1,696	1,647
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,400	1,601	1,696
3200 Obligated balance, end of year .....	1,601	1,696	1,647
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,831	1,926	1,842
Outlays, gross:			
4010 Outlays from new discretionary authority .....	541	611	607
4011 Outlays from discretionary balances .....	1,088	1,220	1,285
4020 Outlays, gross (total) .....	1,629	1,831	1,892
4180 Budget authority, net (total) .....	1,831	1,926	1,842
4190 Outlays, net (total) .....	1,629	1,831	1,892

**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

	2018-19 Academic Year	2019-20 Academic Year	2020-21 Academic Year
New Budget Authority .....	1,040	1,135	1,051
Advance Appropriation .....	791	791	791
Total program level .....	1,831	1,926	1,842
Change in advance appropriation over previous year .....	0	0	0

*Career and Technical Education:*

*Career and technical education State grants.*—Funds support formula grants to States to expand and improve career and technical education (CTE) in high schools, technical schools, and community colleges under the reauthorized Carl D. Perkins Career and Technical Education Act of 2006, as amended.

*Career and technical education national programs.*—Funds support research, development, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of CTE programs under the reauthorized Carl D. Perkins

CAREER, TECHNICAL, AND ADULT EDUCATION—Continued

Career and Technical Education Act of 2006, as amended. Funds would also support a competition for the newly authorized Innovation and Modernization grants program focusing on science, technology, engineering, and mathematics (STEM) fields, including computer science.

Adult Education:

Adult education State programs.—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

Adult education national leadership activities.—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, to test and demonstrate methods of improving program quality, and to provide technical assistance to States. Funds would also support State efforts to create pre-apprenticeship programs that increase the number of adults who are able to meet the basic entrance requirements of apprenticeship programs.

Object Classification (in millions of dollars)

Identification code 091-0400-0-1-501	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	15	15	15
25.2 Other services from non-Federal sources .....	1	1	1
25.5 Research and development contracts .....	3	3	3
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1,816	1,906	1,823
99.9 Total new obligations, unexpired accounts .....	1,836	1,926	1,843

CAREER AND TECHNICAL EDUCATION STATE GRANTS, H-1B FUNDED  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-5660-4-2-504	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Career and Technical Education State Grants, H-1B Funded .....			114
0900 Total new obligations, unexpired accounts (object class 41.0) .....			114
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			114
1930 Total budgetary resources available .....			114
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			114
3020 Outlays (gross) .....			-6
3050 Unpaid obligations, end of year .....			108
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			108
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			114
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			6
4180 Budget authority, net (total) .....			114
4190 Outlays, net (total) .....			6

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for Department

of Labor (DOL) job training grants to support apprenticeship and continue to support other job training and related expenses at DOL, Department of Homeland Security, and the National Science Foundation. In addition, this year's proposal would initiate a new set-aside for the Department of Education's Career and Technical Education State Grants.

OFFICE OF POSTSECONDARY EDUCATION

Federal Funds

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, titles [II,] III, IV, V, [VI,] and VII of the HEA, [the Mutual Educational and Cultural Exchange Act of 1961,] and section 117 of the Carl D. Perkins Career and Technical Education Act of 2006, [\$2,312,356,000] \$1,534,487,000 [; Provided, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: Provided further, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: Provided further, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation]. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091-0201-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Strengthening institutions .....	99	100	
0002 Strengthening tribally controlled colleges and universities .....	60	60	28
0003 Strengthening Alaska Native- and Native Hawaiian-serving institutions .....	28	30	
0004 Strengthening historically Black colleges and universities (HBCUs) .....	359	361	282
0005 Strengthening historically Black graduate institutions .....	72	73	73
0007 Strengthening predominantly Black institutions .....	25	26	
0008 Strengthening Asian American- and Native American Pacific Islander-serving institutions .....	8	9	
0009 Strengthening Native American-serving nontribal institutions .....	8	9	
0010 Minority science and engineering improvement .....	11	11	10
0011 Strengthening historically Black masters programs .....	9	9	9
0091 Subtotal, aid for institutional development .....	679	688	402
0101 Developing Hispanic-serving institutions .....	123	124	
0102 Developing Hispanic-serving institution STEM and articulation programs .....	93	94	
0103 Promoting baccalaureate opportunities for Hispanic Americans .....	11	11	
0104 International education and foreign language studies .....	72	72	
0105 Model transition programs for students with intellectual disabilities .....	12	12	12
0106 Tribally controlled postsecondary career and technical institutions .....	9	10	8
0191 Subtotal, other aid for institutions .....	320	323	20
0201 Federal TRIO programs .....	1,010	1,060	950
0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....	350	360	
0203 Graduate assistance in areas of national need .....	23	23	
0204 Child care access means parents in school .....	50	50	15
0291 Subtotal, assistance for students .....	1,433	1,493	965
0301 Fund for the improvement of postsecondary education (FIPSE) .....	6	5	
0302 Teacher quality partnerships .....	43	43	
0391 Assistance for students, subtotal .....	49	48	
0401 Consolidated MSI Grant .....			148
0900 Total new obligations, unexpired accounts .....	2,481	2,552	1,535
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	2	

1012	Unobligated balance transfers between expired and unexpired accounts .....	117		
1050	Unobligated balance (total) .....	118	2	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation .....	2,247	2,312	1,534
	Appropriations, mandatory:			
1200	Appropriation .....	255	255	
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-17	-16	
1260	Appropriations, mandatory (total) .....	238	239	
1900	Budget authority (total) .....	2,485	2,551	1,534
1930	Total budgetary resources available .....	2,603	2,553	1,535
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-120		
1941	Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3,299	3,494	3,548
3010	New obligations, unexpired accounts .....	2,481	2,552	1,535
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-2,262	-2,498	-2,506
3041	Recoveries of prior year unpaid obligations, expired .....	-26		
3050	Unpaid obligations, end of year .....	3,494	3,548	2,577
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,299	3,494	3,548
3200	Obligated balance, end of year .....	3,494	3,548	2,577
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,247	2,312	1,534
Outlays, gross:				
4010	Outlays from new discretionary authority .....	26	69	46
4011	Outlays from discretionary balances .....	2,049	2,077	2,213
4020	Outlays, gross (total) .....	2,075	2,146	2,259
Mandatory:				
4090	Budget authority, gross .....	238	239	
Outlays, gross:				
4100	Outlays from new mandatory authority .....		7	
4101	Outlays from mandatory balances .....	187	345	247
4110	Outlays, gross (total) .....	187	352	247
4180	Budget authority, net (total) .....	2,485	2,551	1,534
4190	Outlays, net (total) .....	2,262	2,498	2,506

**Aid for institutional development:**

**Strengthening tribally controlled colleges and universities.**—Discretionary funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black colleges and universities.**—Discretionary funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black graduate institutions.**—Funds support grants to historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening HBCU Masters program.**—Funds support grants to historically Black institutions to improve graduate education opportunities at the Masters level in scientific disciplines in which African Americans are underrepresented.

**Minority science and engineering improvement.**—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education to increase the participation of minorities in scientific and technological careers.

**Other aid for institutions:**

**Model transition programs for students with intellectual disabilities into higher education.**—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

**Tribally controlled postsecondary career and technical institutions.**—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

**Assistance for students:**

**Federal TRIO programs.**—Funds would support the transition to a single State formula grant program that would support all existing TRIO activities, as well as those authorized under Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP), to help low-income and other disadvantaged students progress through the academic pipeline from middle school through college.

**Child care access means parents in school.**—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

**Consolidated MSI Grant.**—Funds would support grants allocated by institutional formula to minority-serving institutions that are currently eligible to receive competitive grants under certain programs currently authorized in Titles III and V of the Higher Education Act of 1965, as amended. These include Strengthening Alaska Native and Native Hawaiian-serving Institutions program, Strengthening Predominantly Black Institutions program, Strengthening Asian American and Native American Pacific Islander-serving Institutions program, Strengthening Native American-serving Nontribal Institutions program, Developing Hispanic-Serving Institutions program, and Promoting Postbaccalaureate Opportunities for Hispanic Americans program.

**Pooled Evaluation.**—Requested authority would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data.

**Object Classification** (in millions of dollars)

Identification code 091-0201-0-1-502	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	2	2
25.3	Other goods and services from Federal sources .....	1	1
25.7	Operation and maintenance of equipment .....	3	3
41.0	Grants, subsidies, and contributions .....	2,475	2,546
		1,534	1,533
99.9	Total new obligations, unexpired accounts .....	2,481	2,552
		1,535	

**HOWARD UNIVERSITY**

For partial support of Howard University, **[\$236,518,000]** \$221,821,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (Department of Education Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 091-0603-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	General support .....	205	209
0002	Howard University Hospital .....	27	27
		232	236
0900	Total new obligations, unexpired accounts (object class 41.0) .....	232	236
		222	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		1
			2
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	233	237
1930	Total budgetary resources available .....	233	238
		224	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	2
		2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	3
3010	New obligations, unexpired accounts .....	232	236
		222	

HOWARD UNIVERSITY—Continued  
Program and Financing—Continued

Identification code 091-0603-0-1-502	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-232	-238	-222
3050 Unpaid obligations, end of year .....	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	1
3200 Obligated balance, end of year .....	3	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	233	237	222
Outlays, gross:			
4010 Outlays from new discretionary authority .....	229	235	220
4011 Outlays from discretionary balances .....	3	3	2
4020 Outlays, gross (total) .....	232	238	222
4180 Budget authority, net (total) .....	233	237	222
4190 Outlays, net (total) .....	232	238	222

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2019, the Federal appropriation represented approximately 30 percent of the University's revenue and 10 percent of the Hospital's revenue. The 2020 request is expected to be allocated in a similar manner.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$435,000. (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8	23	27
0703 Subsidy for modifications of direct loans .....	82	20	20
0705 Reestimates of direct loan subsidy .....	46	12	.....
0706 Interest on reestimates of direct loan subsidy .....	40	21	.....
0709 Administrative expenses .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	177	77	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	19	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	102	41	41
Appropriations, mandatory:			
1200 Appropriation .....	87	33	.....
1900 Budget authority (total) .....	189	74	41
1930 Total budgetary resources available .....	209	93	57
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-13	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	16	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	19	20
3010 New obligations, unexpired accounts .....	177	77	48
3020 Outlays (gross) .....	-177	-76	-48
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	19	20	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	19	20
3200 Obligated balance, end of year .....	19	20	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	41	41

Outlays, gross:			
4010 Outlays from new discretionary authority .....	81	23	41
4011 Outlays from discretionary balances .....	9	20	7
4020 Outlays, gross (total) .....	90	43	48
Mandatory:			
4090 Budget authority, gross .....	87	33	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	87	33	.....
4180 Budget authority, net (total) .....	189	74	41
4190 Outlays, net (total) .....	177	76	48

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities .....	253	285	280
115999 Total direct loan levels .....	253	285	280
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities .....	3.19	8.08	9.50
132999 Weighted average subsidy rate .....	3.19	8.08	9.50
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities .....	8	23	27
133999 Total subsidy budget authority .....	8	23	27
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities .....	19	42	47
134003 HBCU Hurricane Supplemental .....	71	.....	.....
134999 Total subsidy outlays .....	90	42	47
Direct loan reestimates:			
135002 Historically Black Colleges and Universities .....	75	27	.....
135003 HBCU Hurricane Supplemental .....	2	.....	.....
135999 Total direct loan reestimates .....	77	27	.....
Administrative expense data:			
3510 Budget authority .....	1	1	1
3590 Outlays from new authority .....	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

*College housing and academic facilities loans program.*—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

*Historically Black college and university (HBCU) capital financing program.*—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which five percent of each institution's principal is deposited.

Employment Summary

Identification code 091-0241-0-1-502	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	4	4

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....		1	1
0900 Total new obligations, unexpired accounts .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1930 Total budgetary resources available .....	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Financing disbursements:			
4110 Outlays, gross (total) .....		1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Interest repayments .....	-1	-1	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		

Status of Direct Loans (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	4	4
1251 Repayments: Repayments and prepayments .....	-1		
1290 Outstanding, end of year .....	4	4	4

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the College Housing and Academic Facilities loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4252-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	5	4
1405 Allowance for subsidy cost (-) .....	-1	-1
1499 Net present value of assets related to direct loans .....	4	3
1999 Total assets .....	4	3
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	4	3
4999 Total liabilities and net position .....	4	3

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	2	2

0900 Total new obligations, unexpired accounts (object class 43.0) .....	2	2	2
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Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	8	
1022 Capital transfer of unobligated balances to general fund .....	-6	-8	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	11	11	11
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-8	-8
1825 Spending authority from offsetting collections applied to repay debt .....	-2	-2	-2
1850 Spending auth from offsetting collections, mand (total) .....	9	1	1
1900 Budget authority (total) .....	10	2	2
1930 Total budgetary resources available .....	10	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-11	-11	-11
4180 Budget authority, net (total) .....	-1	-9	-9
4190 Outlays, net (total) .....	-9	-9	-9

Status of Direct Loans (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	120	35	33
1251 Repayments: Repayments and prepayments .....	-2	-2	-2
1264 Other adjustments, net (+ or -) .....	-83		
1290 Outstanding, end of year .....	35	33	31

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Balance Sheet (in millions of dollars)

Identification code 091-0242-0-1-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		8
1601 Direct loans, gross .....	120	35
1602 Interest receivable .....	2	4
1603 Allowance for estimated uncollectible loans and interest (-) .....		-11
1699 Value of assets related to direct loans .....	122	28
1999 Total assets .....	122	36
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	19	16
2104 Resources payable to Treasury .....	103	8
2999 Total liabilities .....	122	24
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....		2
3300 Cumulative results of operations .....		10

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 091-0242-0-1-502	2017 actual	2018 actual
3999 Total net position		12
4999 Total liabilities and net position	122	36

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM  
ACCOUNT

For the cost of guaranteed loans, \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, 2020: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$580,000,000]** \$212,100,000: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, \$20,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are private Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment by having a score of 2.6 or less on the Department of Education's financial responsibility test: *Provided*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years: *Provided further*, That funds available under this paragraph shall **[be used]** *not be available* to fund eligible deferment requests submitted for this purpose in the current fiscal year **[2018]** 2019: *Provided further*, That the Secretary shall create and execute an outreach plan to work with States and the Capital Financing Advisory Board to improve outreach to States and help additional public Historically Black Colleges and Universities participate in the program.

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$334,000. (*Department of Education Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 Interest paid to Treasury (FFB)	49	45	52
0005 Katrina Interest expenses	3		
0006 Deferment Mod expenses		36	
0091 Direct program activities, subtotal	52	81	52
Credit program obligations:			
0710 Direct loan obligations	253	285	280
0742 Downward reestimates paid to receipt accounts	5	4	
0743 Interest on downward reestimates	4	2	
0791 Direct program activities, subtotal	262	291	280
0900 Total new obligations, unexpired accounts	314	372	332

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	330	170	206
1023 Unobligated balances applied to repay debt	-326		
1050 Unobligated balance (total)	4	170	206
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	253	285	285
Spending authority from offsetting collections, mandatory:			
1800 Collected	284	182	165
1820 Capital transfer of spending authority from offsetting collections to general fund	-8	-2	
1825 Spending authority from offsetting collections applied to repay debt	-49	-57	-81
1850 Spending auth from offsetting collections, mand (total)	227	123	84
1900 Budget authority (total)	480	408	369
1930 Total budgetary resources available	484	578	575

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	170	206	243
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	242	230	236
3010 New obligations, unexpired accounts	314	372	332
3020 Outlays (gross)	-326	-366	-332
3050 Unpaid obligations, end of year	230	236	236
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	242	230	236
3200 Obligated balance, end of year	230	236	236

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross	480	408	369
Financing disbursements:			
4110 Outlays, gross (total)	326	366	332
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-177	-75	-47
4122 Interest on uninvested funds	-19	-5	-5
4123 Interest repayments	-40	-45	-52
4123 Principal repayments	-48	-57	-61
4130 Offsets against gross budget authority and outlays (total)	-284	-182	-165
4160 Budget authority, net (mandatory)	196	226	204
4170 Outlays, net (mandatory)	42	184	167
4180 Budget authority, net (total)	196	226	204
4190 Outlays, net (total)	42	184	167

Status of Direct Loans (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	253	285	280
1150 Total direct loan obligations	253	285	280
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,559	1,452	1,680
1231 Disbursements: Direct loan disbursements	264	285	280
1251 Repayments: Repayments and prepayments	-48	-57	-61
1264 Other adjustments, net (+ or -)	-323		
1290 Outstanding, end of year	1,452	1,680	1,899

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the Historically Black College and University (HBCU) Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4255-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury	303	143
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,559	1,452
1402 Interest receivable	12	13
1405 Allowance for subsidy cost (-)	-303	-143
1499 Net present value of assets related to direct loans	1,268	1,322
1999 Total assets	1,571	1,465
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable	12	13
2103 Debt	1,559	1,452
2999 Total liabilities	1,571	1,465

NET POSITION:

3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	1,571	1,465

**OFFICE OF FEDERAL STUDENT AID**

*Federal Funds*

STUDENT FINANCIAL ASSISTANCE

For carrying out subpart [s] 1 [., 3, and 10] of part A, and part C of title IV of the HEA, [\$24,445,352,000] \$22,975,352,000, which shall remain available through September 30, [2020] 2021.

The maximum Pell Grant for which a student shall be eligible during award year [2019–2020] 2020–2021 shall be \$5,135. (*Department of Education Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 091–0200–0–1–502	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0101	Federal Pell grants .....	26,514	29,782	30,105
0201	Federal supplemental educational opportunity grants (SEOG) .....	840	840	
0202	Federal work-study .....	1,130	1,130	500
0291	Campus-based activities - Subtotal .....	1,970	1,970	500
0900	Total new obligations, unexpired accounts (object class 41.0) .....	28,484	31,752	30,605
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	8,479	12,037	11,257
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	8,479	12,037	
1021	Recoveries of prior year unpaid obligations .....	233		
1050	Unobligated balance (total) .....	8,712	12,037	11,257
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	24,445	24,445	22,975
1105	Reappropriation .....	33		
1131	Unobligated balance of appropriations permanently reduced .....		-600	-2,000
1160	Appropriation, discretionary (total) .....	24,478	23,845	20,975
Appropriations, mandatory:				
1200	Appropriation .....	7,332	7,127	7,313
1900	Budget authority (total) .....	31,810	30,972	28,288
1930	Total budgetary resources available .....	40,522	43,009	39,545
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	12,037	11,257	8,940
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	20,791	19,107	21,298
3010	New obligations, unexpired accounts .....	28,484	31,752	30,605
3011	Obligations ("upward adjustments"), expired accounts .....	685		
3020	Outlays (gross) .....	-30,102	-29,561	-29,708
3040	Recoveries of prior year unpaid obligations, unexpired .....	-233		
3041	Recoveries of prior year unpaid obligations, expired .....	-518		
3050	Unpaid obligations, end of year .....	19,107	21,298	22,195
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	20,791	19,107	21,298
3200	Obligated balance, end of year .....	19,107	21,298	22,195
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	24,478	23,845	20,975
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6,807	4,083	3,966
4011	Outlays from discretionary balances .....	17,541	18,733	18,484
4020	Outlays, gross (total) .....	24,348	22,816	22,450
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-118		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	118		
4070	Budget authority, net (discretionary) .....	24,478	23,845	20,975
4080	Outlays, net (discretionary) .....	24,230	22,816	22,450

<b>Mandatory:</b>				
4090	Budget authority, gross .....	7,332	7,127	7,313
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2,633	3,032	3,106
4101	Outlays from mandatory balances .....	3,121	3,713	4,152
4110	Outlays, gross (total) .....	5,754	6,745	7,258
4180	Budget authority, net (total) .....	31,810	30,972	28,288
4190	Outlays, net (total) .....	29,984	29,561	29,708

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	31,810	30,972	28,288
Outlays .....	29,984	29,561	29,708
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-17
Outlays .....			-8
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-4
Outlays .....			-2
<b>Total:</b>			
Budget Authority .....	31,810	30,972	28,267
Outlays .....	29,984	29,561	29,698

**Status of Direct Loans** (in millions of dollars)

Identification code 091–0200–0–1–502	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	422	472	479
1251	Repayments: Repayments and prepayments .....	-29	-29	-29
1264	Other adjustments, net (+ or -) .....	79	36	36
1290	Outstanding, end of year .....	472	479	486

*Notes.*—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide nearly 7.6 million awards totaling more than \$30.6 billion in available aid in award year 2020–2021.

*Federal Pell grants.*—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the Department of Education Appropriations Act of 2019.

In 2020, over 7.2 million undergraduates will receive up to \$5,135 from the discretionary award and an additional \$1,060 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act.

The 2020 Budget request includes \$22.5 billion in discretionary funding for Pell Grants in 2020, which, when combined with mandatory funding, will support a projected maximum award of \$6,195.

*Federal work-study.*—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs.

The 2020 Budget includes \$500.0 million for Work-Study, which would generate \$548.4 million in aid to 322,440 students. The President's 2020 Budget proposes to reform the Federal Work-Study program to support workforce and career-oriented opportunities for low-income undergraduate students who can benefit the most, as opposed to subsidized employment for campus-based jobs through federal aid. The Budget also proposes to reform the institutional allocation formula in order to focus scarce funds, in part, on enrollment of Pell recipients.

STUDENT FINANCIAL ASSISTANCE—Continued

*Iraq and Afghanistan service grants.*—This program provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001.

*Pooled Evaluation.*—Requested authority in the General Provisions would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data. The authority would not allow the Department to reserve funds appropriated for Pell Grants, but would allow for evaluation of the program with the reserved funds.

*Funding tables.*—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2020 data in these tables reflect the Administration's Budget proposals.

**Aid Funds Available for Postsecondary Education and Training**

	(in thousands of dollars)		
	2018	2019	2020
Pell grants .....	28,026,215	29,747,015	30,077,034
Student loans:			
Subsidized Stafford loans .....	21,223,161	21,372,010	13,304,229
Unsubsidized Stafford loans (Undergraduates) .....	22,487,365	22,956,323	31,630,862
Unsubsidized Stafford loans (Graduate students) .....	28,320,312	28,940,781	29,186,356
Unsubsidized Stafford loans (total) .....	50,807,677	51,897,104	60,817,218
Parent PLUS loans .....	13,017,977	13,614,109	14,204,945
Grad PLUS loans .....	10,815,432	11,298,577	11,888,152
PLUS loans (total) .....	23,833,410	24,912,686	26,093,096
Consolidation .....	41,632,348	45,296,918	46,354,223
Student loans, subtotal .....	137,496,595	143,478,718	146,568,767
Work-study .....	1,239,406	1,239,406	548,410
Supplemental educational opportunity grants .....	1,130,929	1,130,929	0
Iraq and Afghanistan service grants <sup>1</sup> .....	320	307	0
TEACH grants .....	88,979	95,800	97,173
Total aid available .....	167,982,444	175,692,175	177,921,383

<sup>1</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

**Number of Aid Awards**

	(in thousands)		
	2018	2019	2020
Pell grants .....	6,557	6,997	7,250
Subsidized Stafford loans .....	6,051	6,092	4,245
Unsubsidized Stafford loans (Undergraduates) .....	6,126	6,181	8,125
Unsubsidized Stafford loans (Graduate students) .....	1,893	1,921	1,921
Parent PLUS loans .....	935	963	985
Grad PLUS loans .....	602	617	633
Consolidation loans .....	693	757	755
Work-study .....	704	704	322
Supplemental educational opportunity grants .....	1,700	1,700	0
Iraq and Afghanistan service grants <sup>1,2</sup> .....	0	0	0
TEACH grants .....	32	32	32
Total awards .....	25,293	25,964	24,269

<sup>1</sup>Number of recipients is fewer than 1,000.

<sup>2</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program. Note: Numbers may not add due to rounding.

**Average Aid Awards**

	(in whole dollars)		
	2018	2019	2020
Pell grants .....	4,274	4,251	4,149
Subsidized Stafford loans .....	3,508	3,508	3,134
Unsubsidized Stafford loans (Undergraduates) .....	3,671	3,714	3,893
Unsubsidized Stafford loans (Graduate students) .....	14,958	15,064	15,190
Parent PLUS loans .....	13,924	14,135	14,416
Grad PLUS loans .....	17,952	18,306	18,776
Consolidation loans .....	60,048	59,833	61,433
Work-study .....	1,760	1,760	1,701
Supplemental educational opportunity grants .....	665	665	0
Iraq and Afghanistan service grants <sup>1</sup> .....	5,160	5,293	0
TEACH grants .....	2,823	3,010	3,023

<sup>1</sup>Value in 2020 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

**Number of Students Aided**

	(in thousands)		
	2018	2019	2020
Unduplicated student count .....	10,718	11,011	11,850

**Administrative Payments to Institutions**

	(in thousands of dollars)		
	2018	2019	2020
Pell grants .....	32,785	34,985	36,250
Work-study .....	54,271	54,271	24,014
Supplemental educational opportunity grants .....	15,421	15,421	0

STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091–0200–2–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....			–89
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–89
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–17
1930 Total budgetary resources available .....			–17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			72
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–89
3020 Outlays (gross) .....			8
3050 Unpaid obligations, end of year .....			–81
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			–81
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–17
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–8
4180 Budget authority, net (total) .....			–17
4190 Outlays, net (total) .....			–8

*Federal Pell grants.*— The Budget proposes to except the Department from restrictions of Section 6103 of the Internal Revenue Code to authorize the Internal Revenue Service to disclose tax return information directly to the Department for the purpose of administering certain Federal student aid programs.

STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091–0200–4–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Federal Pell grants .....			117
0900 Total new obligations, unexpired accounts (object class 41.0) .....			117
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–4
1930 Total budgetary resources available .....			–4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			–121
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			117
3020 Outlays (gross) .....			2
3050 Unpaid obligations, end of year .....			119

Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		119
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-4
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-2
4180	Budget authority, net (total) .....		-4
4190	Outlays, net (total) .....		-2

**Federal Pell grants.**—The 2020 Budget proposes to expand Pell Grant eligibility to students enrolled in high-quality short-term programs that provide students with a credential, certification, or license in a high-demand field. The Budget also proposes to bar someone from receiving another Pell Grant if they have been awarded three consecutive Pell Grants without earning any credits.

**Iraq and Afghanistan service grants.**—The 2020 Budget proposes to move the Iraq and Afghanistan Service Grant program into the Pell Grant program and ensure the children of our fallen service members receive a full aid award, exempt from cuts due to sequestration, and without any other changes to program's benefits or eligibility.

STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I, and subparts 1 [ 3, ] and 9 [ , and 10 ] of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, [ \$1,678,943,000 ] \$1,812,000,000, to remain available through September 30, [ 2020 ] 2021 [ : Provided, That the Secretary shall allocate new student loan borrower accounts to eligible student loan servicers on the basis of their performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts: *Provided further*, That for student loan contracts awarded prior to October 1, 2017, the Secretary shall allow student loan borrowers who are consolidating Federal student loans to select from any student loan servicer to service their new consolidated student loan: *Provided further*, That in order to promote accountability and high-quality service to borrowers, the Secretary shall not award funding for any contract solicitation for a new Federal student loan servicing environment, including the solicitation for the FSA Next Generation Processing and Servicing Environment as amended by the Department of Education on February 20, 2018, unless such an environment provides for the participation of multiple student loan servicers that contract directly with the Department of Education to manage a unique portfolio of borrower accounts and the full life-cycle of loans from disbursement to pay-off with certain limited exceptions, and allocates student loan borrower accounts to eligible student loan servicers based on performance: *Provided further*, That such servicers described in the previous proviso shall be evaluated based on their ability to meet contract requirements, future performance on the contracts, and history of compliance with applicable consumer protections laws: *Provided further*, That to the extent Federal Student Aid (FSA) permits student loan servicing subcontracting, FSA shall hold prime contractors accountable for meeting the requirements of the contract, and the performance and expectations of subcontractors shall be accounted for in the prime contract and in the overall performance of the prime contractor: *Provided further*, That FSA shall ensure that the Next Generation Processing and Servicing Environment contracts incentivize more support to borrowers at risk of being distressed: *Provided further*, That the Secretary shall provide quarterly briefings to the Committees on Appropriations and Education and the Workforce of the House of Representatives and the Committees on Appropriations and Health, Education, Labor, and Pensions of the Senate on general progress related to solicitations for Federal student loan servicing contracts [ . (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Student aid administration .....	784	699	1,281
0002 Servicing activities .....	908	980	531
0900 Total new obligations, unexpired accounts .....	1,692	1,679	1,812

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	2

1021	Recoveries of prior year unpaid obligations .....	12		
1050	Unobligated balance (total) .....	15	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,679	1,679	1,812
1930	Total budgetary resources available .....	1,694	1,681	1,814
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	716	811	1,007
3010	New obligations, unexpired accounts .....	1,692	1,679	1,812
3011	Obligations ("upward adjustments"), expired accounts .....	13		
3020	Outlays (gross) .....	-1,584	-1,483	-1,671
3040	Recoveries of prior year unpaid obligations, unexpired .....	-12		
3041	Recoveries of prior year unpaid obligations, expired .....	-14		
3050	Unpaid obligations, end of year .....	811	1,007	1,148
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	716	811	1,007
3200	Obligated balance, end of year .....	811	1,007	1,148

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,679	1,679	1,812
Outlays, gross:				
4010	Outlays from new discretionary authority .....	968	912	977
4011	Outlays from discretionary balances .....	616	571	694
4020	Outlays, gross (total) .....	1,584	1,483	1,671
4180	Budget authority, net (total) .....	1,679	1,679	1,812
4190	Outlays, net (total) .....	1,584	1,483	1,671

The Department of Education manages Federal student aid programs that will provide nearly \$131 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 11.8 million students and parents in 2020. The Offices of Postsecondary Education, the Under Secretary and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by the Congress in 1998 with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

Object Classification (in millions of dollars)

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1	Full-time permanent .....	163	165
11.3	Other than full-time permanent .....	2	
11.5	Other personnel compensation .....	3	3
11.9	Total personnel compensation .....	168	168
12.1	Civilian personnel benefits .....	53	54
21.0	Travel and transportation of persons .....	2	2
23.1	Rental payments to GSA .....	20	20
25.1	Advisory and assistance services .....	3	6
25.2	Other services from non-Federal sources .....	987	915
25.3	Other goods and services from Federal sources .....	59	45
25.7	Operation and maintenance of equipment .....	396	467
32.0	Land and structures .....	2	
99.0	Direct obligations .....	1,690	1,677
99.5	Adjustment for rounding .....	2	2
99.9	Total new obligations, unexpired accounts .....	1,692	1,679

Employment Summary

Identification code 091-0202-0-1-502	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	1,436	1,480

TEACH GRANT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0206-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	30	29	30
0705 Reestimates of direct loan subsidy .....	40	5	.....
0706 Interest on reestimates of direct loan subsidy .....	5	.....	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	75	34	30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy .....	32	31	30
1200 Appropriation (indefinite) - Upward reestimate .....	45	5	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	75	34	30
1930 Total budgetary resources available .....	75	34	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	15	9
3010 New obligations, unexpired accounts .....	75	34	30
3020 Outlays (gross) .....	-64	-31	-28
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-9	-2
3050 Unpaid obligations, end of year .....	15	9	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	15	9
3200 Obligated balance, end of year .....	15	9	9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	75	34	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	25	20
4101 Outlays from mandatory balances .....	4	6	8
4110 Outlays, gross (total) .....	64	31	28
4180 Budget authority, net (total) .....	75	34	30
4190 Outlays, net (total) .....	64	31	28

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0206-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants .....	131	102	104
Direct loan subsidy (in percent):			
132001 TEACH Grants .....	23.06	28.37	28.79
132999 Weighted average subsidy rate .....	23.06	28.37	28.79
Direct loan subsidy budget authority:			
133001 TEACH Grants .....	30	29	31
Direct loan subsidy outlays:			
134001 TEACH Grants .....	19	26	28
Direct loan reestimates:			
135001 TEACH Grants .....	45	4	.....

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008-2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects

the cost of grant awards net of expected future repayments for grants that are converted to loans.

TEACH GRANT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	131	102	104
0713 Payment of interest to Treasury .....	19	13	13
0742 Downward reestimates paid to receipt accounts .....	.....	1	.....
0791 Direct program activities, subtotal .....	150	116	117
0900 Total new obligations, unexpired accounts .....	150	116	117
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	.....
1021 Recoveries of prior year unpaid obligations .....	20	26	18
1023 Unobligated balances applied to repay debt .....	-12	-2	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-10	-26	-18
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	110	76	76
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	115	110	108
1801 Change in uncollected payments, Federal sources .....	2	1	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-75	-71	-67
1850 Spending auth from offsetting collections, mand (total) .....	42	40	41
1900 Budget authority (total) .....	152	116	117
1930 Total budgetary resources available .....	152	116	117
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	74	100	82
3010 New obligations, unexpired accounts .....	150	116	117
3020 Outlays (gross) .....	-104	-108	-110
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	-26	-18
3050 Unpaid obligations, end of year .....	100	82	71
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-6	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-1	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	94	75
3200 Obligated balance, end of year .....	94	75	64
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	152	116	117
Financing disbursements:			
4110 Outlays, gross (total) .....	104	108	110
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate .....	-45	-5	.....
4120 Subsidy from Program Account .....	-19	-26	-28
4122 Interest on uninvested funds .....	-3	.....	.....
4123 Payment of Principal .....	-38	-70	-72
4123 Interest Received .....	-10	-9	-8
4130 Offsets against gross budget authority and outlays (total) ....	-115	-110	-108
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2	-1	.....
4160 Budget authority, net (mandatory) .....	35	5	9
4170 Outlays, net (mandatory) .....	-11	-2	2
4180 Budget authority, net (total) .....	35	5	9
4190 Outlays, net (total) .....	-11	-2	2

Status of Direct Loans (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	131	102	104

1150	Total direct loan obligations .....	131	102	104
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	724	746	769
1231	Disbursements: Direct loan disbursements .....	84	93	96
1251	Repayments: Repayments and prepayments .....	-38	-70	-72
1264	Other adjustments, net (+ or -) .....	-24		
1290	Outstanding, end of year .....	746	769	793

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4290-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	30	25
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	724	746
1402 Interest receivable .....	96	91
1405 Allowance for subsidy cost (-) .....	-225	-253
1499 Net present value of assets related to direct loans .....	595	584
1999 Total assets .....	625	609
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....		
2103 Debt .....	625	609
2999 Total liabilities .....	625	609
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	625	609

**STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 091-5557-0-2-502	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	2
<b>Receipts:</b>			
Current law:			
1130 Student Financial Assistance Debt Collection .....	10	10	10
2000 Total: Balances and receipts .....	11	11	12
<b>Appropriations:</b>			
Current law:			
2101 Student Financial Assistance Debt Collection .....	-10	-9	-9
2103 Student Financial Assistance Debt Collection .....	-1		
2132 Student Financial Assistance Debt Collection .....	1		
2199 Total current law appropriations .....	-10	-9	-9
2999 Total appropriations .....	-10	-9	-9
5099 Balance, end of year .....	1	2	3

**Program and Financing** (in millions of dollars)

Identification code 091-5557-0-2-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Student Financial Assistance Debt Collection .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	14	14
1022 Capital transfer of unobligated balances to general fund .....	-6	-7	-7
1050 Unobligated balance (total) .....	7	7	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	10	9	9
1203 Appropriation (previously unavailable) .....	1		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1		

1235	Capital transfer of appropriations to general fund .....	-1		
1260	Appropriations, mandatory (total) .....	9	9	9
1930	Total budgetary resources available .....	16	16	16
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	14	14	14

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	New obligations, unexpired accounts .....	2	2	2
3020	Outlays (gross) .....	-1	-2	-2
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	9	9	9
Outlays, gross:				
4101	Outlays from mandatory balances .....	1	2	2
4180	Budget authority, net (total) .....	9	9	9
4190	Outlays, net (total) .....	1	2	2

**FEDERAL STUDENT LOAN RESERVE FUND**

**Program and Financing** (in millions of dollars)

Identification code 091-4257-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Obligations, non-Federal .....	7,353	6,601	5,373
0900 Total new obligations, unexpired accounts (object class 42.0) .....	7,353	6,601	5,373

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	2,077	2,176	2,304
<b>Budget authority:</b>				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	7,459	6,729	6,072
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-7		
1850	Spending auth from offsetting collections, mand (total) .....	7,452	6,729	6,072
1930	Total budgetary resources available .....	9,529	8,905	8,376
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,176	2,304	3,003

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....		170	549
3010	New obligations, unexpired accounts .....	7,353	6,601	5,373
3020	Outlays (gross) .....	-7,183	-6,222	-5,615
3050	Unpaid obligations, end of year .....	170	549	307
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		170	549
3200	Obligated balance, end of year .....	170	549	307

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	7,452	6,729	6,072
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6,897	6,222	5,615
4101	Outlays from mandatory balances .....	286		
4110	Outlays, gross (total) .....	7,183	6,222	5,615
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-7,289	-6,577	-6,003
4180	Budget authority, net (total) .....	163	152	69
4190	Outlays, net (total) .....	-106	-355	-388

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act,

FEDERAL STUDENT LOAN RESERVE FUND—Continued

2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

**Balance Sheet** (in millions of dollars)

Identification code 091-4257-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	2,076	2,176
1999 Total assets .....	2,076	2,176
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	2,076	2,176
4999 Total liabilities and net position .....	2,076	2,176

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-0243-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8,053	7,559	12,774
0703 Subsidy for modifications of direct loans .....	420	350	
0705 Reestimates of direct loan subsidy .....	2,154	23,125	
0706 Interest on reestimates of direct loan subsidy .....	1,863	5,495	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	12,490	36,529	12,774
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....	12,490	36,529	12,774
1930 Total budgetary resources available .....	12,490	36,529	12,774
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	869	1,069	1,182
3010 New obligations, unexpired accounts .....	12,490	36,529	12,774
3020 Outlays (gross) .....	-12,014	-36,171	-12,413
3041 Recoveries of prior year unpaid obligations, expired .....	-276	-245	
3050 Unpaid obligations, end of year .....	1,069	1,182	1,543
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	869	1,069	1,182
3200 Obligated balance, end of year .....	1,069	1,182	1,543
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	12,490	36,529	12,774
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11,427	35,347	11,492
4101 Outlays from mandatory balances .....	587	824	921
4110 Outlays, gross (total) .....	12,014	36,171	12,413
4180 Budget authority, net (total) .....	12,490	36,529	12,774
4190 Outlays, net (total) .....	12,014	36,171	12,413

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	12,490	36,529	12,774
Outlays .....	12,014	36,171	12,413
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-2,430
Outlays .....			-1,650
<b>Total:</b>			
Budget Authority .....	12,490	36,529	10,344
Outlays .....	12,014	36,171	10,763

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford .....	23,707	24,351	24,548
115002 Unsubsidized Stafford .....	56,581	59,688	60,472
115003 PLUS .....	24,094	26,104	27,343
115004 Consolidation .....	42,708	45,325	46,384
115999 Total direct loan levels .....	147,090	155,468	158,747
Direct loan subsidy (in percent):			
132001 Stafford .....	8.30	8.65	13.15
132002 Unsubsidized Stafford .....	-9.72	-3.22	-8.4
132003 PLUS .....	-27.79	-19.04	-14.77
132004 Consolidation .....	14.25	12.03	20.58
132999 Weighted average subsidy rate .....	-2.82	0.43	5.18
Direct loan subsidy budget authority:			
133001 Stafford .....	1,968	2,106	3,228
133002 Unsubsidized Stafford .....	-5,500	-1,922	-508
133003 PLUS .....	-6,696	-4,970	-4,039
133004 Consolidation .....	6,086	5,453	9,546
133999 Total subsidy budget authority .....	-4,142	667	8,227
Direct loan subsidy outlays:			
134001 Stafford .....	1,763	1,815	2,542
134002 Unsubsidized Stafford .....	-4,706	-2,553	-811
134003 PLUS .....	-6,278	-5,294	-4,156
134004 Consolidation .....	6,090	5,454	9,520
134005 Federal Direct Student Loans .....	144	275	350
134999 Total subsidy outlays .....	-2,987	-303	7,445
Direct loan reestimates:			
135005 Federal Direct Student Loans .....	-11,538	26,311	
135999 Total direct loan reestimates .....	-11,538	26,311	
Administrative expense data:			
3580 Outlays from balances .....		6	

The Federal Government has two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Student Aid and Fiscal Responsibility Act eliminated the authorization to originate new FFEL loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, \$190 billion of outstanding FFEL loans continue to be serviced by lenders, the Department of Education, and guaranty agencies. The 2020 Budget proposes to eliminate the payment of Account Maintenance Fees to guaranty agencies.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994-1995, originating seven percent of overall loan volume. In 2020, excluding Consolidation Loans, the Direct Loan program will make \$100.2 billion in new loans.

The Direct Loan program offers four types of loans: Subsidized Stafford; Unsubsidized Stafford; PLUS; and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a Subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. Interest rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2018-2019 have an interest rate of 5.05 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during

grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on Subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2018–2019 have an interest rate of 6.60 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2018–2019 have an interest rate of 7.60 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent. For most types of Direct Loans, the origination fee is a base rate of one percent, but an additional surcharge for sequestration was added in years 2013 to 2019. The base origination fee for PLUS loans is four percent, but has included an additional surcharge in years 2013 to 2019. Borrowers may choose from four basic types of repayment plans: standard; graduated; extended (available for qualified borrowers who have outstanding loans of more than \$30,000); and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory Income-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative REPAYE plan, the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, former IBR (for borrowers prior to 2014), and income-contingent repayment plans, the maximum time is 25 years. PAYE and IBR require partial financial hardship in order to qualify for reduced payments and borrowers in those plans have their monthly payments capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Service Loan Forgiveness Program, qualifying borrowers who have worked for 10 years full-time for an eligible public service employer, and made 120 qualifying monthly payments after October 1, 2007 in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all Direct Loan borrowers, regardless of when they took out their loans.

The 2020 Budget would replace the five current Income Driven repayment (IDR) plans with one new Single IDR plan to make choosing a repayment plan less complex. The new IDR plan would become the only income-driven repayment plan for borrowers who originate their first loan on or after July 1, 2020, with an exception for students who borrowed their first loans prior to July 1, 2020 and who are borrowing to complete their current course of study. The Single IDR plan would: cap payments at 12.5 percent of discretionary monthly income while eliminating the standard repayment cap; limit loan payments to 15 years for borrowers with undergraduate debt only and 30 years for borrowers with any graduate debt—any remaining

amounts owed after these repayment periods would be forgiven; calculate payments for married borrowers filing separately on the combined household Adjusted Gross Income; and eliminate Public Service Loan Forgiveness. As with the Single IDR plan, these policies would apply to loans originated on or after July 1, 2020, with an exception for students continuing to borrow to complete their current course of study.

To further improve the implementation and effectiveness of IDR, the Budget proposes auto-enrolling severely delinquent borrowers into the Single IDR plan and instituting a process for borrowers to consent to share income data for multiple years. To facilitate these program improvements, and to reduce improper payments, the Budget proposes to streamline the Department of Education's ability to verify applicants' income data held by the Internal Revenue Service (IRS). The 2020 Budget would also eliminate Subsidized Stafford loans. As with the Single IDR plan, this policy would apply to loans originated on or after July 1, 2020, with an exception for students continuing to borrow to complete their current course of study.

The following tables display performance indicators and program data, including projected overall Direct Loan and FFEL costs.

**Federal Budget Authority and Outlays**

	(in thousands of dollars)		
	2018 actual	2019 est.	2020 est.
<b>PROGRAM COST:</b>			
<b>FFEL:</b>			
Liquidating <sup>1</sup> .....	0	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs .....	2,309,656	1,562,603	0
Net Modification <sup>2</sup> .....	0	0	(500,962)
Subtotal, Program .....	2,309,656	1,562,603	(500,962)
Total, FFEL .....	2,309,656	1,440,547	(597,047)
<b>Direct Loans:</b>			
Program:			
New Net Loan Subsidies .....	(4,141,874)	666,716	(483,522)
Net Reestimate of Prior Year Costs .....	(11,537,671)	26,310,433	0
Net Modification <sup>3</sup> .....	419,680	350,000	0
Total, Direct Loans .....	(15,259,864)	27,327,149	(483,522)
Total, FFEL and Direct Loans .....	(12,950,208)	28,767,696	(1,080,568)
<b>PROGRAM COST OUTLAYS:</b>			
<b>FFEL:</b>			
Liquidating <sup>1</sup> .....	(165,654)	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs .....	2,309,656	1,562,603	0
Net Modification <sup>2</sup> .....	0	0	(500,962)
Subtotal, Program .....	2,309,656	1,562,603	(500,962)
Total, FFEL .....	2,144,002	1,440,547	(597,047)
<b>Direct Loans:</b>			
Program:			
Regular .....	(3,131,306)	(577,651)	1,682,709
Net Reestimate of Prior Year Costs .....	(11,537,671)	26,310,433	0
Net Modification <sup>3</sup> .....	144,213	275,467	350,000
Total, Direct Loans .....	(14,524,763)	26,008,249	2,032,709
Total, FFEL and Direct Loans .....	(12,380,761)	27,448,796	1,435,663

Details may not sum to totals due to rounding.

<sup>1</sup>Liquidating account reflects loans made prior to 1992.

<sup>2</sup>Reflects proposed savings in FY 2020 from eliminating Account Maintenance Fees paid to guaranty agencies.

<sup>3</sup>Reflects costs for borrower defense claims, hurricane relief, and Temporary Expansion of Public Service Loan Forgiveness (TEPSLF) in FY 2018 and TEPSLF only in FY 2019, and FY 2020.

**Summary of Default Rates<sup>1</sup>**

	(expressed as percentages)		
	2018 est.	2019 est.	2020 est.
<b>Direct Loans:</b>			
Stafford .....	29.07	22.03	21.48
<b>Unsubsidized Stafford</b>			
Undergraduate .....	34.70	23.52	25.04
Graduate/Professional .....	14.82	6.85	11.95
<b>PLUS</b>			
Parent PLUS .....	12.42	9.60	12.64
Grad PLUS .....	10.60	4.81	9.26

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued

Summary of Default Rates<sup>1</sup>—Continued

	2018 est.	2019 est.	2020 est.
Consolidation .....	19.70	6.31	18.05
Weighted Average, Direct Loans .....	21.19	11.71	17.42

<sup>1</sup>Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FFEL program payments are made to lenders (interest subsidies, loan defaults, and discharges) and guaranty agencies (default collection costs, administrative services). These payments are partially offset by an annual consolidation loan holder fee. In Direct Loans, cash outflows are primarily payments to Treasury. Cash inflows include principal and interest payments on outstanding Direct Loans.

The following table shows Government payments to and from lenders, guaranty agencies, and borrowers for specific years, regardless of when loans were originated. These flows do not reflect long-term costs to the Government, nor the value of outstanding loan assets, which are reflected in credit reform subsidy estimates.

The Federal Credit Reform Act of 1990 accounts for differences in the amount and timing of cash flows among direct and guaranteed loan programs to make cost estimates for these programs comparable with each other and other Federal programs.

Selected Program Costs and Offsets

(in thousands of dollars)

	2018 actual	2019 est.	2020 est.
<b>FFEL:</b>			
Payments to lenders:			
Interest benefits .....	494,201	81,534	44,855
Special allowance payments <sup>1</sup> .....	(1,284,095)	(19,890)	238,580
Default claims .....	6,019,785	3,893,816	3,710,439
Loan discharges .....	1,426,964	804,813	764,676
Teacher loan forgiveness .....	73,871	306	0
Administrative payments to guaranty agencies .....	113,769	189,269	114,143
Fees paid to the Department of Education:			
Loan holder fees .....	(1,280,126)	(429,243)	(297,083)
Other Major Transactions:			
Net default collections .....	(8,059,920)	(7,630,793)	(6,516,493)
Contract collection costs .....	43,155	38,921	40,538
Federal administrative costs .....	36,328	33,039	21,229
Net Cash Flow, FFEL .....	(2,416,067)	(3,038,229)	(2,419,115)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows .....	(9,356,358)	(13,317,617)	(8,729,049)
Outflows .....	8,843,585	13,317,617	8,729,049
Federal administrative costs .....	154,392	140,415	90,222
Net Cash Flow, ECASLA .....	(358,382)	140,415	90,222
<b>Direct Loans:</b>			
Loan disbursements to borrowers .....	134,094,485	142,738,490	145,805,959
Borrower interest payments .....	(18,000,689)	(24,490,827)	(26,823,176)
Borrower principal payments .....	(60,741,647)	(65,859,597)	(72,248,010)
Borrower origination fees .....	(1,695,888)	(1,818,067)	(1,798,982)
Net default collections .....	(4,501,837)	(10,197,181)	(10,906,708)
Contract collection costs .....	645,987	864,113	898,792
Federal administrative costs .....	745,689	682,465	449,917
Net operating cash flows .....	50,546,101	41,919,396	35,377,793
Loan capital borrowings from Treasury .....	(134,094,485)	(142,738,490)	(145,805,959)
Net interest payments to Treasury .....	28,439,603	32,678,886	34,546,388
Principal payments to Treasury .....	55,351,466	97,688,025	76,651,046
Subtotal, Treasury activity .....	(50,303,416)	(12,371,579)	(34,608,525)
Net Cash Flow, Direct Loans .....	242,685	29,547,817	769,268

<sup>1</sup>Includes Negative Special Allowance Payments.

Student Loan Program Costs: Analysis of Direct Loans Including Program and Administrative Expenses

(expressed as percentages)

	2018 actual	2019 est.	2020 est.
Direct Loans:			
New Loans:			
Stafford .....	9.65	8.65	10.31

Unsubsidized Stafford			
Undergraduate .....	-1.43	-3.43	-4.54
Graduate/Professional .....	-4.12	-3.06	-9.45
PLUS			
Parent PLUS .....	-30.90	-34.43	-29.43
Grad PLUS .....	-1.35	-0.52	-13.04
Subtotal, new loan subsidy .....	-3.40	-4.26	-8.19
Federal administrative costs .....	1.70	1.70	1.70
Subtotal, new loans .....	-1.70	-2.56	-6.49
Consolidation Loans			
Loan subsidy .....	12.29	12.03	18.94
Federal administrative costs .....	0.38	0.38	0.38
Subtotal, consolidation loans .....	12.67	12.41	19.32
New and Consolidation Loans			
Loan subsidy .....	0.98	0.49	-0.26
Federal administrative costs .....	1.45	1.45	1.45
Total, Direct Loans .....	2.43	1.94	1.19

Notes: Totals may not add due to rounding. Subsidies are weighted on gross volumes. For 2018, the rates are current; these include the actual executed rates for 2018 and the effect of re-estimates on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

Loan Disbursement and Subsidy Costs

(in billions of dollars)

	FFEL	Direct Loans
Original Subsidy Costs .....	+77.1	-116.7
Cumulative Reestimates .....	-50.9	+60.6
Net Subsidy Costs .....	+26.1	-56.1
Total Disbursements .....	+898.7	+1,493.6

Changes in interest rate projections are a significant factor in FFEL and Direct Loan reestimates; recent declines in interest rates below historical averages have been a major driver in changes to program costs. For Direct Loans, several other assumptions were reestimated that contributed to the upward reestimate, including changes to the income-driven repayment plan model. Model assumptions affecting the 2018 cohort were also updated. The Direct Loan upward net reestimate for 2019 is primarily due to updated default and collection assumptions.

Direct Loan Repayment Options

(expressed as percentages)

Subsidies by Repayment Option	2018 actual <sup>1</sup>	2019 est.	2020 est.
<b>Stafford:</b>			
Standard .....	8.13	5.50	8.70
Extended .....	2.24	1.23	3.21
Graduated .....	-2.62	-1.13	-0.41
IDR <sup>2</sup> .....	18.89	19.36	17.22
<b>Unsubsidized Stafford:</b>			
Standard .....	-11.15	-17.66	-11.78
Extended .....	-22.02	-25.68	-23.15
Graduated .....	-36.72	-28.72	-33.77
IDR .....	18.21	18.67	6.90
<b>PLUS:</b>			
Standard .....	-24.32	-33.02	-23.79
Extended .....	-34.94	-40.74	-35.13
Graduated .....	-68.22	-48.21	-62.36
IDR .....	19.92	22.56	-0.19
<b>Consolidated:</b>			
Standard .....	0.96	1.20	3.13
Extended .....	-19.31	-21.69	-10.94
Graduated .....	-39.97	-36.71	-22.20
IDR .....	21.44	21.06	26.83

**Direct Loan Repayment Options**

Volumes by Repayment Option	(gross volumes in millions of dollars)		
	2018 actual <sup>1</sup>	2019 est.	2020 est.
<b>Stafford:</b>			
Standard .....	13,994	13,871	7,984
Extended .....	491	474	309
Graduated .....	3,004	2,952	1,822
IDR <sup>2</sup> .....	6,685	7,054	5,006
<b>Unsubsidized Stafford:</b>			
Standard .....	26,680	23,996	30,850
Extended .....	2,158	2,419	2,406
Graduated .....	6,680	7,026	7,768
IDR .....	22,908	26,247	28,875
<b>PLUS:</b>			
Standard .....	13,988	14,661	15,192
Extended .....	1,158	1,079	1,263
Graduated .....	2,765	2,728	3,012
IDR .....	7,065	7,636	7,876
<b>Consolidated:</b>			
Standard .....	42	39	40
Extended .....	6,271	6,669	6,825
Graduated .....	2,027	2,138	2,188
IDR .....	33,319	36,478	37,331

<sup>1</sup>2018 rates are current; these include actual executed rates for 2018 and the effect of re-estimates on those rates.

<sup>2</sup>All income-driven plans are included in the IDR category.

**FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091-0243-4-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....			-2,430
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-2,430
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) .....			-2,430
1930 Total budgetary resources available .....			-2,430
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-2,430
3020 Outlays (gross) .....			1,650
3050 Unpaid obligations, end of year .....			-780
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-780
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-2,430
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1,650
4180 Budget authority, net (total) .....			-2,430
4190 Outlays, net (total) .....			-1,650

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0243-4-1-502	2018 actual	2019 est.	2020 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Stafford .....			-9,428
115002 Unsubsidized Stafford .....			9,428
<b>Direct loan subsidy (in percent):</b>			
132001 Stafford .....			-2.84
132002 Unsubsidized Stafford .....			-6.06
132003 PLUS .....	0.00	0.00	-7.19
132004 Consolidation .....	0.00	0.00	-1.64
132999 Weighted average subsidy rate .....	0.00	0.00	-5.49
<b>Direct loan subsidy budget authority:</b>			
133001 Stafford .....			-1,669
133002 Unsubsidized Stafford .....			-4,315
133003 PLUS .....			-1,966
133004 Consolidation .....			-761
133999 Total subsidy budget authority .....			-8,711

Direct loan subsidy outlays:			
134001	Stafford .....		-894
134002	Unsubsidized Stafford .....		-2,534
134003	PLUS .....		-1,229
134004	Consolidation .....		-756
134999	Total subsidy outlays .....		-5,413

This presentation shows the PAYGO effects of the President's student loan reforms as discussed above.

**FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0301 Consolidation loans-Payment of Orig. Services .....	28	30	31
0401 Payment of contract collection costs .....	648	865	898
Credit program obligations:			
0710 Direct loan obligations .....	147,090	155,468	158,747
0713 Payment of interest to Treasury .....	32,329	32,679	34,404
0740 Negative subsidy obligations .....	12,195	6,892	4,547
0742 Downward reestimates paid to receipt accounts .....	11,015	1,079	
0743 Interest on downward reestimates .....	4,540	1,230	
0791 Direct program activities, subtotal .....	207,169	197,348	197,698
0900 Total new obligations, unexpired accounts .....	207,845	198,243	198,627

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	6,259	5,268
1021	Recoveries of prior year unpaid obligations .....	13,081	13,343
1023	Unobligated balances applied to repay debt .....	-10,855	-5,268
1024	Unobligated balance of borrowing authority withdrawn .....	-8,018	-13,343
1033	Recoveries of prior year paid obligations .....	5	
1050	Unobligated balance (total) .....	472	
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	167,206	157,372
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	100,839	138,531
1801	Change in uncollected payments, Federal sources .....	-45	28
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-8	
1825	Spending authority from offsetting collections applied to repay debt .....	-55,351	-97,688
1850	Spending auth from offsetting collections, mand (total) .....	45,435	40,871
1900	Budget authority (total) .....	212,641	198,243
1930	Total budgetary resources available .....	213,113	198,243
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	5,268	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	76,722	77,897
3010	New obligations, unexpired accounts .....	207,845	198,243
3020	Outlays (gross) .....	-193,589	-186,468
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13,081	-13,343
3050	Unpaid obligations, end of year .....	77,897	76,329
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-588	-543
3070	Change in uncollected pymts, Fed sources, unexpired .....	45	-28
3090	Uncollected pymts, Fed sources, end of year .....	-543	-571
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	76,134	77,354
3200	Obligated balance, end of year .....	77,354	75,758

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	212,641	198,243
Financing disbursements:			
4110	Outlays, gross (total) .....	193,589	186,468
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Upward reestimate .....	-2,154	-23,125
4120	Upward reestimate, interest .....	-1,863	-5,495
4120	Upward Modification .....	-144	-275
4120	Program subsidy .....	-7,853	-7,270
4122	Interest on uninvested funds .....	-3,890	

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
4123 Repayment of principal, Stafford	-13,089	-16,350	-17,186
4123 Interest received on loans, Stafford	-2,770	-3,231	-3,379
4123 Origination Fees, Stafford	-212	-227	-219
4123 Other fees, Stafford	-61		
4123 Repayment of principal, Unsubsidized Stafford	-27,208	-32,415	-35,601
4123 Interest received on loans, Unsubsidized Stafford	-6,908	-7,509	-8,222
4123 Origination Fees, Unsubsidized Stafford	-505	-548	-532
4123 Other fees, Unsubsidized Stafford	-68		
4123 Repayment of principal, PLUS	-12,407	-14,312	-16,172
4123 Interest received on loans, PLUS	-3,567	-4,121	-4,544
4123 Origination Fees, PLUS	-979	-1,044	-1,048
4123 Other fees, PLUS	-17		
4123 Payment of principal, Consolidation	-10,824	-12,979	-14,163
4123 Interest received on loans, Consolidation	-6,268	-9,630	-10,680
4123 Other fees, Consolidation	-57		
4130 Offsets against gross budget authority and outlays (total)	-100,844	-138,531	-124,159
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	45	-28	-310
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4150 Additional offsets against budget authority only (total)	50	-28	-310
4160 Budget authority, net (mandatory)	111,847	59,684	74,158
4170 Outlays, net (mandatory)	92,745	47,937	61,976
4180 Budget authority, net (total)	111,847	59,684	74,158
4190 Outlays, net (total)	92,745	47,937	61,976

Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	23,707	24,351	24,548
1150 Total direct loan obligations	23,707	24,351	24,548
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	214,839	225,552	230,096
1231 Disbursements: Direct loan disbursements	20,571	21,342	21,491
1251 Repayments: Repayments and prepayments	-13,089	-16,350	-17,186
1261 Adjustments: Capitalized interest	4,101	81	80
1264 Other adjustments, net (+ or -)	-870	-529	-568
1290 Outstanding, end of year	225,552	230,096	233,913
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	56,581	59,688	60,472
1150 Total direct loan obligations	56,581	59,688	60,472
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	346,799	373,453	398,025
1231 Disbursements: Direct loan disbursements	48,683	51,584	52,334
1251 Repayments: Repayments and prepayments	-27,208	-32,415	-35,601
1261 Adjustments: Capitalized interest	6,621	6,553	6,883
1264 Other adjustments, net (+ or -)	-1,442	-1,150	-1,268
1290 Outstanding, end of year	373,453	398,025	420,373
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	24,094	26,104	27,343
1150 Total direct loan obligations	24,094	26,104	27,343
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	116,515	129,050	140,339
1231 Disbursements: Direct loan disbursements	23,216	24,532	25,662
1251 Repayments: Repayments and prepayments	-12,407	-14,312	-16,172
1261 Adjustments: Capitalized interest	2,225	1,521	1,723
1264 Other adjustments, net (+ or -)	-499	-452	-518
1290 Outstanding, end of year	129,050	140,339	151,034
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	42,708	45,325	46,384
1150 Total direct loan obligations	42,708	45,325	46,384
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	320,669	355,675	386,800
1231 Disbursements: Direct loan disbursements	41,625	45,280	46,349
1251 Repayments: Repayments and prepayments	-10,824	-12,979	-14,163

1261 Adjustments: Capitalized interest	5,580		
1264 Other adjustments, net (+ or -)	-1,375	-1,176	-1,304
1290 Outstanding, end of year	355,675	386,800	417,682

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	24,545	20,842
Investments in U.S. securities:		
1106 Receivables, net	4,197	20,483
1206 Non-Federal assets: Receivables, net		80
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	998,822	1,083,730
1402 Interest receivable	59,538	71,981
1405 Allowance for subsidy cost (-)	-16,806	-40,663
1499 Net present value of assets related to direct loans	1,041,554	1,115,048
1901 Other Federal assets: Other assets		
1999 Total assets	1,070,296	1,156,453
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	3,727	2,484
2103 Debt	1,061,559	1,150,610
2105 Other	5,010	
2201 Non-Federal liabilities: Accounts payable		3,359
2999 Total liabilities	1,070,296	1,156,453
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,070,296	1,156,453

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4253-4-3-502	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury			154
0740 Negative subsidy obligations			6,281
0791 Direct program activities, subtotal			6,435
0900 Total new obligations, unexpired accounts			6,435
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			8,503
Spending authority from offsetting collections, mandatory:			
1800 Collected			-1,620
1801 Change in uncollected payments, Federal sources			-572
1825 Spending authority from offsetting collections applied to repay debt			124
1850 Spending auth from offsetting collections, mand (total)			-2,068
1900 Budget authority (total)			6,435
1930 Total budgetary resources available			6,435
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,435
3020 Outlays (gross)			-3,888
3050 Unpaid obligations, end of year			2,547
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			572
3090 Uncollected pymts, Fed sources, end of year			572
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3,119

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	6,435	
Financing disbursements:			
4110	Outlays, gross (total)	3,888	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Program subsidy	1,650	
4123	Repayment of principal, Stafford	1	
4123	Interest received on loans, Stafford	1	
4123	Origination Fees, Stafford	45	
4123	Repayment of principal, Unsubsidized Stafford	-1	
4123	Interest received on loans, Unsubsidized Stafford	1	
4123	Origination Fees, Unsubsidized Stafford	-45	
4123	Payment of principal, Consolidation	-33	
4123	Interest received on loans, Consolidation	1	
4130	Offsets against gross budget authority and outlays (total)	1,620	
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	572	
4160	Budget authority, net (mandatory)	8,627	
4170	Outlays, net (mandatory)	5,508	
4180	Budget authority, net (total)	8,627	
4190	Outlays, net (total)	5,508	

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4253-4-3-502	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority		-9,428
1150	Total direct loan obligations		-9,428
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements		-4,483
1251	Repayments: Repayments and prepayments		1
1264	Other adjustments, net (+ or -)		5
1290	Outstanding, end of year		-4,477
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority		9,428
1150	Total direct loan obligations		9,428
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements		4,455
1251	Repayments: Repayments and prepayments		-1
1264	Other adjustments, net (+ or -)		-7
1290	Outstanding, end of year		4,447
Cumulative balance of direct loans outstanding:			
1251	Repayments: Repayments and prepayments		-33
1290	Outstanding, end of year		-33

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reforms proposals.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-0231-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	Reestimates of direct loan subsidy	837	2,345
0706	Interest on reestimates of direct loan subsidy	268	841
0707	Reestimates of loan guarantee subsidy	839	238
0708	Interest on reestimates of loan guarantee subsidy	602	237
0900	Total new obligations, unexpired accounts (object class 41.0)	2,546	3,661
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	2,546	3,661
1930	Total budgetary resources available	2,546	3,661

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	2,546	3,661
3020	Outlays (gross)	-2,546	-3,661

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	2,546	3,661
Outlays, gross:			
4100	Outlays from new mandatory authority	2,546	3,661
4180	Budget authority, net (total)	2,546	3,661
4190	Outlays, net (total)	2,546	3,661

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0231-0-1-502	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135010	Direct Participation Agreement Reestimates	383	1,775
135012	Direct Standard Put Reestimates	495	1,411
135999	Total direct loan reestimates	878	3,186
Guaranteed loan reestimates:			
235006	FFEL Guarantees	1,431	-1,624
235999	Total guaranteed loan reestimates	1,431	-1,624

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT  
(Legislative proposal, subject to PAYGO)

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 091-0231-4-1-502	2018 actual	2019 est.	2020 est.
Guaranteed loan subsidy budget authority:			
233006	FFEL Guarantees		-501
233999	Total subsidy budget authority		-501
Guaranteed loan subsidy outlays:			
234006	FFEL Guarantees		-501
234999	Total subsidy outlays		-501

This presentation shows the PAYGO effects of the President's student loan reforms, which are described in the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 091-4251-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101	Default claims	1,256	476
0102	Special allowance	52	3
0103	Interest benefits	228	53
0104	Death, disability, and bankruptcy claims	297	65
0105	Teacher loan forgiveness, other write-offs	16	
0107	Contract collection costs	7	10
0109	Rehab purchase fee		5
0110	Guaranty Agency account maintenance fees	14	12
0191	Subtotal, Stafford loans	1,870	624
0202	Default claims	1,298	625
0203	Special allowance	54	5
0204	Death, disability, and bankruptcy claims	451	64
0205	Teacher loan forgiveness, other write-offs	21	
0207	Contract collection costs	8	9
0209	Rehab purchase fee		4

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4251-0-3-502	2018 actual	2019 est.	2020 est.
0210 Guaranty Agency account maintenance fees .....	18	10	9
0291 Subtotal, Unsubsidized Stafford loans .....	1,850	717	574
0301 Default claims .....	10	69	54
0304 Death, disability, and bankruptcy claims .....	37	21	19
0307 Contract Collection Costs .....	1	1	1
0309 Rehab purchase fee .....	.....	1	1
0310 Guaranty Agency account maintenance fees .....	3	1	1
0391 Subtotal, PLUS loans .....	51	93	76
0403 Default claims .....	9	.....	.....
0409 Rehab purchase fee .....	.....	1	1
0491 Subtotal, SLS loans .....	9	1	1
0501 Default claims .....	3,436	2,711	2,221
0502 Special allowance .....	527	355	381
0503 Interest benefits .....	265	27	15
0504 Death, disability, and bankruptcy claims .....	626	640	631
0505 Teacher loan forgiveness, other write-offs .....	37	.....	.....
0507 Contract collection costs .....	20	13	15
0509 Rehab purchase fee .....	.....	5	5
0510 Guaranty Agency account maintenance fees .....	79	167	209
0591 Subtotal, Consolidations loans .....	4,990	3,918	3,477
Credit program obligations:			
0713 Payment of interest to Treasury .....	1,975	1,457	895
0742 Downward reestimates paid to receipt accounts .....	3	1,040	.....
0743 Interest on downward reestimates .....	7	1,059	.....
0791 Direct program activities, subtotal .....	1,985	3,556	895
0900 Total new obligations, unexpired accounts .....	10,755	8,909	5,514
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16,049	16,996	16,848
1021 Recoveries of prior year unpaid obligations .....	580	.....	.....
1023 Unobligated balances applied to repay debt .....	-2,260	.....	.....
1033 Recoveries of prior year paid obligations .....	338	.....	.....
1050 Unobligated balance (total) .....	14,707	16,996	16,848
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13,044	8,761	6,833
1900 Budget authority (total) .....	13,044	8,761	6,833
1930 Total budgetary resources available .....	27,751	25,757	23,681
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16,996	16,848	18,167
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,264	979	979
3010 New obligations, unexpired accounts .....	10,755	8,909	5,514
3020 Outlays (gross) .....	-10,460	-8,909	-5,514
3040 Recoveries of prior year unpaid obligations, unexpired .....	-580	.....	.....
3050 Unpaid obligations, end of year .....	979	979	979
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,264	979	979
3200 Obligated balance, end of year .....	979	979	979
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13,044	8,761	6,833
Financing disbursements:			
4110 Outlays, gross (total) .....	10,460	8,909	5,514
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-839	-238	.....
4120 Interest on upward reestimate .....	-602	-237	.....
4122 Interest on uninvested funds .....	-876	.....	.....
4123 Stafford recoveries on defaults .....	-1,441	-1,626	-1,305
4123 Stafford other fees .....	-52	.....	.....
4123 Stafford special allowance rebate .....	-412	-73	-26
4123 Unsubsidized Stafford recoveries on default .....	-1,556	-1,744	-1,411
4123 Unsubsidized Stafford other fees .....	-56	.....	.....
4123 Unsubsidized Stafford special allowance rebate .....	-557	-157	-68
4123 PLUS recoveries on defaults .....	-290	-220	-177
4123 PLUS other fees .....	-11	.....	.....
4123 PLUS special allowance rebate .....	-169	-27	-12
4123 SLS recoveries on defaults .....	-11	-4	-3
4123 SLS other fees .....	-1	.....	.....
4123 Consolidation recoveries on defaults .....	-4,293	-3,880	-3,494
4123 Consolidation loan holders fee .....	-1,280	-429	-297

4123 Consolidation other fees .....	-155	.....	.....
4123 Consolidation special allowance rebate .....	-781	-126	-40
4130 Offsets against gross budget authority and outlays (total) ....	-13,382	-8,761	-6,833
4143 Additional offsets against financing authority only (total):			
Recoveries of prior year paid obligations, unexpired accounts .....	338	.....	.....
4170 Outlays, net (mandatory) .....	-2,922	148	-1,319
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-2,922	148	-1,319

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-0-3-502	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	22,472	19,488	18,564
2251 Repayments and prepayments .....	-1,285	-383	-51
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1,256	-476	-382
2263 Terminations for default that result in claim payments .....	-297	-65	-54
2264 Other adjustments, net .....	-146	.....	.....
2290 Outstanding, end of year .....	19,488	18,564	18,077
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	18,513	17,636	17,174
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	4,367	4,262	3,226
2331 Disbursements for guaranteed loan claims .....	1,256	476	382
2351 Repayments of loans receivable .....	-1,234	-1,626	-1,305
2361 Write-offs of loans receivable .....	-297	-211	-156
2364 Other adjustments, net .....	170	325	299
2390 Outstanding, end of year .....	4,262	3,226	2,446
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	27,011	23,729	22,468
2251 Repayments and prepayments .....	-1,545	-572	-190
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1,298	-625	-502
2263 Terminations for default that result in claim payments .....	-451	-64	-48
2264 Other adjustments, net .....	12	.....	.....
2290 Outstanding, end of year .....	23,729	22,468	21,728
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	22,543	21,344	20,641
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	9,743	9,645	8,130
2331 Disbursements for guaranteed loan claims .....	1,298	625	502
2351 Repayments of loans receivable .....	-1,333	-1,744	-1,411
2361 Write-offs of loans receivable .....	-451	-396	-336
2364 Other adjustments, net .....	388	.....	.....
2390 Outstanding, end of year .....	9,645	8,130	6,885
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	4,672	3,950	3,715
2251 Repayments and prepayments .....	-267	-88	-23
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-10	-126	-122
2263 Terminations for default that result in claim payments .....	-37	-21	-19
2264 Other adjustments, net .....	-408	.....	.....
2290 Outstanding, end of year .....	3,950	3,715	3,551
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3,753	3,529	3,373
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	403	132	30
2331 Disbursements for guaranteed loan claims .....	10	126	122
2351 Repayments of loans receivable .....	-248	-220	-177
2361 Write-offs of loans receivable .....	-37	-8	-4
2364 Other adjustments, net .....	4	.....	40

2390	Outstanding, end of year .....	132	30	11
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	52	46	46
2251	Repayments and prepayments .....	-3		
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-9		
2263	Terminations for default that result in claim payments .....			
2264	Other adjustments, net .....	6		
2290	Outstanding, end of year .....	46	46	46
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	44	44	44
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	265	276	272
2331	Disbursements for guaranteed loan claims .....	9		
2351	Repayments of loans receivable .....	-10	-4	-4
2361	Write-offs of loans receivable .....			
2364	Other adjustments, net .....	12		
2390	Outstanding, end of year .....	276	272	268
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	121,751	109,421	100,072
2251	Repayments and prepayments .....	-6,963	-5,998	-4,185
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-3,436	-2,711	-2,221
2263	Terminations for default that result in claim payments .....	-626	-640	-631
2264	Other adjustments, net .....	-1,305		
2290	Outstanding, end of year .....	109,421	100,072	93,035
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	103,950	95,069	88,384
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	19,617	19,534	18,172
2331	Disbursements for guaranteed loan claims .....	3,436	2,711	2,221
2351	Repayments of loans receivable .....	-3,677	-3,880	-3,494
2361	Write-offs of loans receivable .....	-626	-593	-546
2364	Other adjustments, net .....	784	400	350
2390	Outstanding, end of year .....	19,534	18,172	16,703

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans, formerly guaranteed student loans, committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4251-0-3-502	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	16,939	17,601
Investments in U.S. securities:			
1106	Receivables, net .....	188	448
1206	Non-Federal assets: Receivables, net .....		2
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	34,395	33,849
1502	Interest receivable .....	7,217	7,803
1505	Allowance for subsidy cost (-) .....	-13,838	-15,186
1599	Net present value of assets related to defaulted guaranteed loans .....	27,774	26,466
1901	Other Federal assets: Other assets .....	2	
1999	Total assets .....	44,903	44,517
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....		
2103	Debt .....	41,140	38,880
2105	Other .....	122	
Non-Federal liabilities:			
2201	Accounts payable .....	6	3,046
2204	Liabilities for loan guarantees .....	3,635	2,591

2999	Total liabilities .....	44,903	44,517
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	44,903	44,517

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 091-4251-4-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0110	Guaranty Agency account maintenance fees .....		-5
0191	Subtotal, Stafford loans .....		-5
0210	Guaranty Agency account maintenance fees .....		-4
0291	Subtotal, Unsubsidized Stafford loans .....		-4
0510	Guaranty Agency account maintenance fees .....		-105
0591	Subtotal, Consolidations loans .....		-105
Credit program obligations:			
0713	Payment of interest to Treasury .....		44
0741	Modification savings .....		501
0791	Direct program activities, subtotal .....		545
0900	Total new obligations, unexpired accounts .....		431
<b>Budgetary resources:</b>			
Financing authority:			
Appropriations, mandatory:			
1200	Appropriation .....		12
1900	Budget authority (total) .....		12
1930	Total budgetary resources available .....		12
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-419
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		431
3020	Outlays (gross) .....		-431
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		12
Financing disbursements:			
4110	Outlays, gross (total) .....		431
4180	Budget authority, net (total) .....		12
4190	Outlays, net (total) .....		431

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0006	Contract collection costs .....	79	87	81
Credit program obligations:				
0713	Payment of interest to Treasury .....	1,452	1,277	974
0742	Downward reestimates paid to receipt accounts .....	59		
0743	Interest on downward reestimates .....	16		
0791	Direct program activities, subtotal .....	1,527	1,277	974
0900	Total new obligations, unexpired accounts .....	1,606	1,364	1,055
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	172	858	
1021	Recoveries of prior year unpaid obligations .....	651		
1023	Unobligated balances applied to repay debt .....	-172	-858	
1050	Unobligated balance (total) .....	651		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	75		

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT—Continued  
**Program and Financing—Continued**

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5,543	8,023	5,372
1825 Spending authority from offsetting collections applied to repay debt .....	-3,805	-6,659	-4,317
1850 Spending auth from offsetting collections, mand (total) .....	1,738	1,364	1,055
1900 Budget authority (total) .....	1,813	1,364	1,055
1930 Total budgetary resources available .....	2,464	1,364	1,055
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	858		

**Change in obligated balance:**

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	659	21	21
3010 New obligations, unexpired accounts .....	1,606	1,364	1,055
3020 Outlays (gross) .....	-1,593	-1,364	-1,055
3040 Recoveries of prior year unpaid obligations, unexpired .....	-651		
3050 Unpaid obligations, end of year .....	21	21	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	659	21	21
3200 Obligated balance, end of year .....	21	21	21

**Financing authority and disbursements, net:**

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Mandatory:			
4090 Budget authority, gross .....	1,813	1,364	1,055
Financing disbursements:			
4110 Outlays, gross (total) .....	1,593	1,364	1,055
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate .....	-346	-1,296	
4120 Upward reestimate interest .....	-112	-479	
4122 Interest on uninvested funds .....	-102		
4123 Principal repayments .....	-3,927	-5,065	-4,354
4123 Interest repayments .....	-1,034	-1,183	-1,018
4123 Fees and other refunds .....	-22		
4130 Offsets against gross budget authority and outlays (total) ....	-5,543	-8,023	-5,372
4160 Budget authority, net (mandatory) .....	-3,730	-6,659	-4,317
4170 Outlays, net (mandatory) .....	-3,950	-6,659	-4,317
4180 Budget authority, net (total) .....	-3,730	-6,659	-4,317
4190 Outlays, net (total) .....	-3,950	-6,659	-4,317

**Status of Direct Loans (in millions of dollars)**

Identification code 091-4453-0-3-502	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	40,287	36,475	31,355
1251 Repayments: Repayments and prepayments .....	-3,927	-5,065	-4,354
1261 Adjustments: Capitalized interest .....		26	14
1264 Other adjustments, net (+ or -) .....	115	-81	-77
1290 Outstanding, end of year .....	36,475	31,355	26,938

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

Identification code 091-4453-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	831	879
Investments in U.S. securities:		
1106 Receivables, net .....	620	1,227
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	40,287	36,475
1402 Interest receivable .....	3,948	4,713
1405 Allowance for subsidy cost (-) .....	2,072	458
1499 Net present value of assets related to direct loans .....	46,307	41,646
1999 Total assets .....	47,758	43,752

**LIABILITIES:**

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
Federal liabilities:			
2101 Accounts payable .....			
2103 Debt .....	47,654		43,752
2105 Other .....	104		
2201 Non-Federal liabilities: Accounts payable .....			
2999 Total liabilities .....	47,758		43,752
<b>NET POSITION:</b>			
3300 Cumulative results of operations .....			
4999 Total liabilities and net position .....	47,758		43,752

**STUDENT LOAN ACQUISITION ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Contract collection costs .....	52	51	48
Credit program obligations:			
0713 Payment of interest to Treasury .....	758	812	574
0742 Downward reestimates paid to receipt accounts .....	120		
0743 Interest on downward reestimates .....	32		
0791 Direct program activities, subtotal .....	910	812	574
0900 Total new obligations, unexpired accounts .....	962	863	622

**Budgetary resources:**

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	365	297	
1021 Recoveries of prior year unpaid obligations .....	3		
1023 Unobligated balances applied to repay debt .....	-365	-297	
1050 Unobligated balance (total) .....	3		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	152		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,675	5,164	3,268
1825 Spending authority from offsetting collections applied to repay debt .....	-2,571	-4,301	-2,646
1850 Spending auth from offsetting collections, mand (total) .....	1,104	863	622
1900 Budget authority (total) .....	1,256	863	622
1930 Total budgetary resources available .....	1,259	863	622
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	297		

**Change in obligated balance:**

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	14	14
3010 New obligations, unexpired accounts .....	962	863	622
3020 Outlays (gross) .....	-954	-863	-622
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	14	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	14	14
3200 Obligated balance, end of year .....	14	14	14

**Financing authority and disbursements, net:**

Identification code 091-4449-0-3-502	2017 actual	2018 actual
Mandatory:		
4090 Budget authority, gross .....	1,256	863
Financing disbursements:		
4110 Outlays, gross (total) .....	954	863
Offsets against gross financing authority and disbursements:		
Offsetting collections (collected) from:		
4120 Upward reestimate .....	-491	-1,049
4120 Upward reestimate interest .....	-156	-362
4122 Interest on uninvested funds .....	-52	
4123 Principal repayments .....	-2,343	-3,043
4123 Borrower interest repayments .....	-617	-710
4123 Fees and other refunds .....	-16	
4130 Offsets against gross budget authority and outlays (total) ....	-3,675	-5,164
4160 Budget authority, net (mandatory) .....	-2,419	-4,301
4170 Outlays, net (mandatory) .....	-2,721	-4,301
4180 Budget authority, net (total) .....	-2,419	-4,301
4190 Outlays, net (total) .....	-2,721	-4,301

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4449-0-3-502	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year	21,374	19,276	16,202
1251 Repayments: Repayments and prepayments	-2,343	-3,043	-2,652
1261 Adjustments: Capitalized interest		17	9
1264 Other adjustments, net (+ or -)	245	-48	-47
1290 Outstanding, end of year	19,276	16,202	13,512

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4449-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury	205	142
Investments in U.S. securities:		
1106 Receivables, net	459	1,302
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	21,374	19,276
1402 Interest receivable	2,224	2,435
1405 Allowance for subsidy cost (-)	1,657	-21
1499 Net present value of assets related to direct loans	25,255	21,690
1999 Total assets	25,919	23,134
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable		
2103 Debt	25,919	23,134
2201 Non-Federal liabilities: Accounts payable		
2999 Total liabilities	25,919	23,134
<b>NET POSITION:</b>		
3300 Cumulative results of operations		
4999 Total liabilities and net position	25,919	23,134

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Contract collection costs	8	13	10
Credit program obligations:			
0713 Payment of interest to Treasury	48	10	8
0900 Total new obligations, unexpired accounts	56	23	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	14	
1021 Recoveries of prior year unpaid obligations	1		
1023 Unobligated balances applied to repay debt	-12	-14	
1050 Unobligated balance (total)	1		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	139	131	89
1825 Spending authority from offsetting collections applied to repay debt	-70	-108	-71
1850 Spending auth from offsetting collections, mand (total)	69	23	18
1930 Total budgetary resources available	70	23	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	12	12
3010 New obligations, unexpired accounts	56	23	18
3020 Outlays (gross)	-55	-23	-18

3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	12	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	12	12
3200 Obligated balance, end of year	12	12	12

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross	69	23	18
Financing disbursements:			
4110 Outlays, gross (total)	55	23	18
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-2		
4123 Direct Conduit Fees	-5		
4123 Principal repayments	-96	-104	-66
4123 Interest repayments	-36	-27	-23
4130 Offsets against gross budget authority and outlays (total)	-139	-131	-89
4160 Budget authority, net (mandatory)	-70	-108	-71
4170 Outlays, net (mandatory)	-84	-108	-71
4180 Budget authority, net (total)	-70	-108	-71
4190 Outlays, net (total)	-84	-108	-71

**Status of Direct Loans** (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year	1,660	1,565	1,461
1251 Repayments: Repayments and prepayments	-96	-104	-66
1264 Other adjustments, net (+ or -)	1		
1290 Outstanding, end of year	1,565	1,461	1,395

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 091-4459-0-3-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury	24	25
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,660	1,565
1402 Interest receivable	293	331
1405 Allowance for subsidy cost (-)	-400	-426
1499 Net present value of assets related to direct loans	1,553	1,470
1999 Total assets	1,577	1,495
<b>LIABILITIES:</b>		
Federal liabilities: Debt		
2103	1,577	1,495
Non-Federal liabilities: Accounts payable		
2201		
2999 Total liabilities	1,577	1,495
<b>NET POSITION:</b>		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,577	1,495

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Interest benefits, net of origination fees	2	2	2
0103 Default claims	9	11	10
0104 Death, disability, and bankruptcy claims	13	11	9
0105 Contract collection costs	6	4	3
0191 Subtotal, Stafford loans	30	28	24
0201 Default claims	2	2	2
0202 Death, disability, and bankruptcy claims	3	4	4
0205 Contract collection costs	1	1	

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-0230-0-1-502	2018 actual	2019 est.	2020 est.
0291 Subtotal, PLUS/SLS loans	6	7	6
0900 Total new obligations, unexpired accounts	36	35	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	99	171	
1021 Recoveries of prior year unpaid obligations	13		
1022 Capital transfer of unobligated balances to general fund	-99	-171	
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	14		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	193	157	126
1820 Capital transfer of spending authority from offsetting collections to general fund		-122	-96
1850 Spending auth from offsetting collections, mand (total)	193	35	30
1930 Total budgetary resources available	207	35	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	171		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	11	11
3010 New obligations, unexpired accounts	36	35	30
3020 Outlays (gross)	-28	-35	-30
3040 Recoveries of prior year unpaid obligations, unexpired	-13		
3050 Unpaid obligations, end of year	11	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	11	11
3200 Obligated balance, end of year	11	11	11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	193	35	30
Outlays, gross:			
4100 Outlays from new mandatory authority	28	24	30
4101 Outlays from mandatory balances		11	
4110 Outlays, gross (total)	28	35	30
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Fed collections on defaulted loans, Stafford	-88	-43	-35
4123 Fed collections on bankruptcies, Stafford		-2	-2
4123 Offsets against Federal tax refunds, Stafford		-46	-37
4123 Reimbursements from guaranty agencies, Stafford	-67	-32	-26
4123 Other collections, Stafford	-7	-9	-7
4123 Federal collections on defaulted loans, PLUS/SLS	-18	-13	-11
4123 Federal collections on bankruptcies, PLUS/SLS		-1	
4123 Offsets against Federal tax refunds, PLUS/SLS		-4	-3
4123 Reimbursements from guaranty agencies, PLUS/SLS	-14	-7	-5
4130 Offsets against gross budget authority and outlays (total)	-194	-157	-126
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)		-122	-96
4170 Outlays, net (mandatory)	-166	-122	-96
4180 Budget authority, net (total)		-122	-96
4190 Outlays, net (total)	-166	-122	-96

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	418	397	371
2251 Repayments and prepayments	-10	-10	-9
Adjustments:			
2261 Terminations for default that result in loans receivable	-9	-6	-5
2263 Terminations for default that result in claim payments	-13	-11	-9
2264 Other adjustments, net	11	1	-1
2290 Outstanding, end of year	397	371	347
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	377	352	330

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310 Outstanding, start of year	3,344	3,378	3,298	
2331 Disbursements for guaranteed loan claims	9	6	5	
2351 Repayments of loans receivable	-86	-64	-51	
2361 Write-offs of loans receivable	-13	-13	-13	
2364 Other adjustments, net	124	-9	-6	
2390 Outstanding, end of year	3,378	3,298	3,233	

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year	49	45	39
2251 Repayments and prepayments	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable	-2	-1	-1
2263 Terminations for default that result in claim payments	-3	-4	-4
2264 Other adjustments, net	3	1	1
2290 Outstanding, end of year	45	39	33

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	43	37	31
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310 Outstanding, start of year	535	536	522	
2331 Disbursements for guaranteed loan claims	2	1	1	
2351 Repayments of loans receivable	-18	-11	-9	
2361 Write-offs of loans receivable	-3	-3	-3	
2364 Other adjustments, net	20	-1		
2390 Outstanding, end of year	536	522	511	

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-0230-0-1-502	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury	115	182
1701 Defaulted guaranteed loans, gross	3,879	3,914
1702 Interest receivable	5,661	5,839
1703 Allowance for estimated uncollectible loans and interest (-)	-8,019	-8,078
1799 Value of assets related to loan guarantees	1,521	1,675
1999 Total assets	1,636	1,857
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury	1,614	1,856
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	22	1
2999 Total liabilities	1,636	1,857
<b>NET POSITION:</b>		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,636	1,857

Object Classification (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 est.	2020 est.
Direct obligations:			
33.0 Investments and loans	11	7	6
41.0 Grants, subsidies, and contributions	9	13	11
42.0 Insurance claims and indemnities	16	15	13
99.0 Direct obligations	36	35	30
99.9 Total new obligations, unexpired accounts	36	35	30

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0247-0-1-552	2018 actual	2019 est.	2020 est.
Guaranteed loan reestimates:			
235001 HEAL Loan Guarantee .....	-8	-34	.....

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4300-0-3-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	1	4	4
0715 Default Collection Costs .....	1	2	2
0742 Downward reestimates paid to receipt accounts .....	4	12	.....
0743 Interest on downward reestimates .....	4	22	.....
0900 Total new obligations, unexpired accounts .....	10	40	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	21	20
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		34	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	5	5
1900 Budget authority (total) .....	7	39	5
1930 Total budgetary resources available .....	31	60	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	20	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	10	40	6
3020 Outlays (gross) .....	-10	-40	-6

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7	39	5
Financing disbursements:			
4110 Outlays, gross (total) .....	10	40	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-1	-2	-2
4123 Non-Federal sources .....	-6	-3	-3
4130 Offsets against gross budget authority and outlays (total) ....	-7	-5	-5
4160 Budget authority, net (mandatory) .....		34	.....
4170 Outlays, net (mandatory) .....	3	35	1
4180 Budget authority, net (total) .....		34	.....
4190 Outlays, net (total) .....	3	35	1

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4300-0-3-552	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	165	163	153
2251 Repayments and prepayments .....	-6	-6	-6
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1	-3	-3
2263 Terminations for default that result in claim payments .....	-1	-1	-1
2264 Other adjustments, net .....	6	.....	.....
2290 Outstanding, end of year .....	163	153	143
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	163	145	143
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	148	144	143
2331 Disbursements for guaranteed loan claims .....	1	3	3
2351 Repayments and prepayments .....	-4	-3	-3
2361 Write-offs of loans receivable .....	-1	-1	-1
2364 Other adjustments, net .....	.....	.....	.....
2390 Outstanding, end of year .....	144	143	142

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4300-0-3-552	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	24	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	148	144
1502 Interest receivable .....	18	19
1505 Allowance for subsidy cost (-) .....	2	12
1599 Net present value of assets related to defaulted guaranteed loans .....	168	175
1999 Total assets .....	192	196
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	192	196
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	192	196

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Default Collections Costs .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	.....
1022 Capital transfer of unobligated balances to general fund .....	-6	-5	.....
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6	6	6
1820 Capital transfer of spending authority from offsetting collections to general fund .....	.....	-5	-5

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
1850 Spending auth from offsetting collections, mand (total) .....	6	1	1
1900 Budget authority (total) .....	6	1	1
1930 Total budgetary resources available .....	6	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	6	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-6	-6	-6
4180 Budget authority, net (total) .....		-5	-5
4190 Outlays, net (total) .....	-5	-5	-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4299-0-3-552	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	19	17	15
2251 Repayments and prepayments .....	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable .....			
2264 Other adjustments, net .....			
2290 Outstanding, end of year .....	17	15	13
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	17	15	13
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	250	253	249
2331 Disbursements for guaranteed loan claims .....			
2351 Repayments of loans receivable .....	-4	-4	-4
2361 Write-offs of loans receivable .....			
2364 Other adjustments, net .....	7		
2390 Outstanding, end of year .....	253	249	245

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed Health Education Assistance Loans loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-4299-0-3-552	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	6	5
1701 Defaulted guaranteed loans, gross .....	250	253
1702 Interest receivable .....		12
1703 Allowance for estimated uncollectible loans and interest (-) .....	-66	-80
1799 Value of assets related to loan guarantees .....	184	185
1999 Total assets .....	190	190
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....		173
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....		25
2207 Other .....	190	
2999 Total liabilities .....	190	198
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		-8

4999 Total liabilities and net position .....	190	190
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INSTITUTE OF EDUCATION SCIENCES

Federal Funds

INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, [section 208 of the Educational Technical Assistance Act of 2002,] and section 664 of the Individuals with Disabilities Education Act, [\$615,462,000] \$521,563,000, which shall remain available through September 30, [2020] 2021 [ : Provided, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: Provided further, That up to \$6,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels]. (Department of Education Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 091-1100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Research, development, and dissemination .....	199	193	188
0002 Statistics .....	104	110	112
0003 Regional educational laboratories .....	64	55	
0004 National Assessment .....	147	151	149
0005 National Assessment Governing Board .....	9	8	8
0006 Research in special education .....	59	56	54
0007 Statewide longitudinal data systems .....	29	32	
0008 Special education studies and evaluations .....	9	11	11
0100 Total direct program .....	620	616	522
0799 Total direct obligations .....	620	616	522
0801 Reimbursable program activity .....	1		
0900 Total new obligations, unexpired accounts .....	621	616	522
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	52	51
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	60	52	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	613	615	522
1930 Total budgetary resources available .....	673	667	573
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	52	51	51

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	620	640	785
3010 New obligations, unexpired accounts .....	621	616	522
3020 Outlays (gross) .....	-590	-471	-521
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	640	785	786
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	619	639	784
3200 Obligated balance, end of year .....	639	784	785

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	613	615	522
Outlays, gross:			
4010 Outlays from new discretionary authority .....	163	102	82
4011 Outlays from discretionary balances .....	427	369	439
4020 Outlays, gross (total) .....	590	471	521
4180 Budget authority, net (total) .....	613	615	522
4190 Outlays, net (total) .....	590	471	521

*Research and Statistics:*

*Research, development, and dissemination.*—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices.

*Statistics.*—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

*Assessment.*—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed.

*Research in special education.*—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

*Special education studies and evaluations.*—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

**Object Classification** (in millions of dollars)

Identification code 091-1100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	25	25	25
25.2 Other services from non-Federal sources .....	292	292	259
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	45	45	18
25.7 Operation and maintenance of equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	252	247	213
99.9 Total new obligations, unexpired accounts .....	621	616	522

**Employment Summary**

Identification code 091-1100-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

DEPARTMENTAL MANAGEMENT

PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$430,000,000]** \$447,000,000, of which up to \$27,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings: Provided, That, notwithstanding any other provision of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. (*Department of Education Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Contributions .....		1	1
2000 Total: Balances and receipts .....		1	2
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program administration .....	434	432	443
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		3	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		3	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	430	430	447
1120 Appropriations transferred to other acct [091-0249] .....			-5
1121 Appropriations transferred from other acct [091-0013] .....	3		
1160 Appropriation, discretionary (total) .....	433	430	442
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4		
1900 Budget authority (total) .....	437	430	442
1930 Total budgetary resources available .....	437	433	443
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	1	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	129	139	134
3010 New obligations, unexpired accounts .....	434	432	443
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	-422	-437	-435
3041 Recoveries of prior year unpaid obligations, expired .....	-7		
3050 Unpaid obligations, end of year .....	139	134	142
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	128	138	133
3200 Obligated balance, end of year .....	138	133	141

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	437	430	442
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	330	331	338
4011 Outlays from discretionary balances .....	92	106	97
4020 Outlays, gross (total) .....	422	437	435
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3		
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-4		
4070 Budget authority, net (discretionary) .....	433	430	442
4080 Outlays, net (discretionary) .....	418	437	435
4180 Budget authority, net (total) .....	433	430	442
4190 Outlays, net (total) .....	418	437	435

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent

DEPARTMENTAL MANAGEMENT—Continued

and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services and security; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs. Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

*Reimbursable program.*—Reimbursements to this account are for providing administrative services to other agencies.

**Object Classification** (in millions of dollars)

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	180	191	192
11.3 Other than full-time permanent .....	12	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	195	196	197
12.1 Civilian personnel benefits .....	62	65	65
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	2	4	4
23.1 Rental payments to GSA .....	36	39	39
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	24	22	23
25.3 Other goods and services from Federal sources .....	24	23	25
25.7 Operation and maintenance of equipment .....	76	75	70
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	
32.0 Land and structures .....	5	1	14
99.0 Direct obligations .....	432	431	442
99.5 Adjustment for rounding .....	2	1	1
99.9 Total new obligations, unexpired accounts .....	434	432	443

**Employment Summary**

Identification code 091-0800-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,585	1,626	1,626

INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND

**Program and Financing** (in millions of dollars)

Identification code 091-0249-0-1-503	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [091-0800] ....			5
1930 Total budgetary resources available .....			5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			5
4180 Budget authority, net (total) .....			5
4190 Outlays, net (total) .....			

The Information Technology System Modernization and Working Capital Fund (IT WCF), authorized by the Modernizing Government Technology (MGT) Act in 2018, may only be used: (A) to improve, retire, or replace existing information technology systems to enhance cybersecurity of existing systems and to improve efficiency and effectiveness; (B) to transition legacy information technology systems to cloud computing and other in-

novative platforms and technologies, including those serving more than one covered agency with common requirements; (C) to assist and support covered agency efforts to provide adequate, risk-based, and cost-effective information technology capabilities that address evolving threats to information security; and (D) to reimburse funds transferred to the agency from the Technology Modernization Fund. Establishing this account under the MGT authority would enable transfers of expiring administrative funds to this account with a 3 year period of availability for use on IT modernization activities.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$125,000,000. (*Department of Education Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Civil rights .....	117	125	125
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	117	125	125
1930 Total budgetary resources available .....	118	126	126
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	26	26
3010 New obligations, unexpired accounts .....	117	125	125
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-108	-125	-124
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	26	26	27
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	18	26	26
3200 Obligated balance, end of year .....	26	26	27
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	117	125	125
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	96	105	104
4011 Outlays from discretionary balances .....	12	20	20
4020 Outlays, gross (total) .....	108	125	124
4180 Budget authority, net (total) .....	117	125	125
4190 Outlays, net (total) .....	108	125	124

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

**Object Classification** (in millions of dollars)

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	59	68	68
11.3 Other than full-time permanent .....	2	2	2

11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	62	71	71
12.1	Civilian personnel benefits .....	20	24	24
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	9	9	9
25.2	Other services from non-Federal sources .....	2	1	1
25.3	Other goods and services from Federal sources .....	3	2	2
25.7	Operation and maintenance of equipment .....	18	17	17
32.0	Land and structures .....	2		
99.9	Total new obligations, unexpired accounts .....	117	125	125

**Employment Summary**

Identification code 091-0700-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	534	625	619

**OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, **[\$61,143,000] \$63,418,000, of which \$2,000,000 shall remain available until expended. (Department of Education Appropriations Act, 2019.)**

**Program and Financing (in millions of dollars)**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
0001 Inspector General .....	61	62	65

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		4	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	61	61	63
1121 Appropriations transferred from other acct [091-0013] ....	4		
1160 Appropriation, discretionary (total) .....	65	61	63
1930 Total budgetary resources available .....	65	65	66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	13	13
3010 New obligations, unexpired accounts .....	61	62	65
3020 Outlays (gross) .....	-60	-62	-62
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	13	13	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	13	13
3200 Obligated balance, end of year .....	13	13	16

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	61	63
Outlays, gross:			
4010 Outlays from new discretionary authority .....	51	49	50
4011 Outlays from discretionary balances .....	9	13	12
4020 Outlays, gross (total) .....	60	62	62
4180 Budget authority, net (total) .....	65	61	63
4190 Outlays, net (total) .....	60	62	62

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

**Object Classification (in millions of dollars)**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	26	30	31
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	28	31	32
12.1 Civilian personnel benefits .....	11	11	12
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	6	7	7
25.1 Advisory and assistance services .....	1		
25.2 Other services from non-Federal sources .....	3	3	3
25.3 Other goods and services from Federal sources .....	1	1	2
25.7 Operation and maintenance of equipment .....	7	6	6
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1		
99.0 Direct obligations .....	60	61	65
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	61	62	65

**Employment Summary**

Identification code 091-1400-0-1-751	2018 actual	2019 est.	2020 est.
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1001 Direct civilian full-time equivalent employment .....	224	247	254
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**HURRICANE EDUCATION RECOVERY**

*Federal Funds*

HURRICANE EDUCATION RECOVERY

**Program and Financing (in millions of dollars)**

Identification code 091-0013-0-1-500	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
0001 Hurricane Education Recovery .....	1,179	1,514	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,179	1,514	

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1,514	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,700		
1120 Appropriations transferred to other acct [091-0800] .....	-3		
1120 Appropriations transferred to other acct [091-1400] .....	-4		
1160 Appropriation, discretionary (total) .....	2,693		
1930 Total budgetary resources available .....	2,693	1,514	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,514		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		946	669
3010 New obligations, unexpired accounts .....	1,179	1,514	
3020 Outlays (gross) .....	-233	-1,791	-668
3050 Unpaid obligations, end of year .....	946	669	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		946	669
3200 Obligated balance, end of year .....	946	669	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,693		
Outlays, gross:			
4010 Outlays from new discretionary authority .....	233		
4011 Outlays from discretionary balances .....		1,791	668
4020 Outlays, gross (total) .....	233	1,791	668
4180 Budget authority, net (total) .....	2,693		
4190 Outlays, net (total) .....	233	1,791	668

Funds support the following six programs authorized under Public Law 115-123: Awards to Eligible Entities for Immediate Aid to Restart School

HURRICANE EDUCATION RECOVERY—Continued

Operations; Temporary Emergency Impact Aid for Displaced Students; Assistance to Local Educational Agencies Serving Homeless Children and Youth enrolled as a result of displacement by a covered disaster or emergency; Project School Emergency Response to Violence activities authorized under section 4631(b) of the Elementary and Secondary Education Act, as amended; Emergency Assistance to Institutions of Higher Education (IHEs) and Students Attending IHEs from an area directly affected by a covered disaster or emergency; and payments to IHEs to help defray the unexpected expenses associated with enrolling students from IHEs at which operations have been disrupted by a covered disaster or emergency. Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
091-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	2	2
091-271810 Federal Family Education Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO .....			501
091-271830 Federal Family Education Loan Program, Downward Reestimates of Subsidies .....	236	2,099	
091-274130 College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies .....	9	6	
091-278110 Federal Direct Student Loan Program, Negative Subsidies .....	10,984	7,847	4,967
091-278110 Federal Direct Student Loan Program, Negative Subsidies: Legislative proposal, subject to PAYGO .....			3,763
091-278130 Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....	15,555	2,309	
091-279430 TEACH Grant Program, Downward Reestimates of Subsidies .....		1	
091-279830 Health Education Assistance Loans, Downward Reestimates of Subsidies .....	8	34	
091-291500 Repayment of Loans, Capital Contributions, Higher Education Activities .....	528	1,120	554
091-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	56	47	47
General Fund Offsetting receipts from the public .....	27,377	13,465	9,834
<b>Intragovernmental payments:</b>			
091-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-17		
General Fund Intragovernmental payments .....	-17		

**GENERAL PROVISIONS**

GENERAL PROVISIONS

SEC. 301. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

(TRANSFER OF FUNDS)

SEC. 302. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 303. Section 105(f)(1)(B)(ix) of the Compact of Free Association Amendments Act of 2003 (48 U.S.C. 1921d(f)(1)(B)(ix)) shall be applied by substituting "2019" for "2009".

SEC. 304. Funds appropriated in this Act and consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, [2019] 2020, through September 30, [2020] 2021.

SEC. 305. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year

[2019] 2020 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. 306. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking ["2018"] "2019" and inserting ["2019"] "2020".

SEC. 307. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking "2018" and inserting "2019".

SEC. 308. Funds appropriated in this Act under the heading "Student Aid Administration" may be available for payments for student loan servicing to an institution of higher education that services outstanding Federal Perkins Loans under part E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1087aa et seq.).

SEC. 309. (a) Section 455(f) of the Higher Education Act of 1965 (20 U.S.C. 1087e(f)) is amended—

(1) by redesignating paragraphs (3) and (4) as paragraphs (4) and (5), respectively; and

(2) by inserting after paragraph (2) the following:

"(A) EFFECT ON PRINCIPAL AND INTEREST.—A borrower of a loan made under this part who meets the requirements of subparagraph (B) shall be eligible for a deferment, during which periodic installments of principal need not be paid, and interest shall not accrue.

"(B) ELIGIBILITY.—A borrower of a loan made under this part shall be eligible for a deferment during—

"(i) any period in which such borrower is receiving treatment for cancer; and

"(ii) the 6 months after such period.

"(C) APPLICABILITY.—This paragraph shall apply with respect to loans—

"(i) made on or after the date of the enactment of this paragraph; or

"(ii) in repayment on the date of the enactment of this paragraph."

(b) Section 427(a)(2)(C) of the Higher Education Act of 1965 (20 U.S.C. 1077(a)(2)(C)) is amended—

(1) in clause (ii), by striking "; or" and inserting a semicolon;

(2) in clause (iii), by inserting "or" after the semicolon; and

(3) by inserting after clause (iii) the following:

"(iv) in which the borrower is receiving treatment for cancer and the 6 months after such period."

(c) Section 428(b)(1)(M) of the Higher Education Act of 1965 (20 U.S.C. 1078(b)(1)(M)) is amended—

(1) in clause (iii), by striking "or (II); or" and inserting a "or (II);";

(2) in clause (iv), by inserting "or" after the semicolon; and

(3) by adding at the end the following:

"(v) during which the borrower is receiving treatment for cancer and the 6 months after such period;"

(d) Section 464(c)(2) of the Higher Education Act of 1965 (20 U.S.C. 1087dd(c)(2)) is amended—

(1) in subparagraph (A)—

(A) in clause (iv), by striking "; or" and inserting a semicolon;

(B) in clause (v), by inserting "or" after the semicolon; and

(C) by inserting after clause (v) the following:

"(vi) during which the borrower is receiving treatment for cancer and the 6 months after such period;"

(e) Section 428H(e)(2) of the Higher Education Act of 1965 (20 U.S.C. 1078-8(e)(2)) is amended—

(1) in subparagraph (A), by striking "Interest" and inserting, "Except as provided in subparagraph (C), interest"; and

(2) by adding at the end the following:

"(C) Interest shall not accrue on a loan deferred under section 428(b)(1)(M)(v) or 427(a)(2)(C)(iv)."

(f) The amendments made by this Act shall apply with respect to loans—

(1) made on or after the date of the enactment of this Act; or

(2) in repayment on the date of the enactment of this Act. ]

[(RESCISSION)] (CANCELLATION)

SEC. 310. Of the unobligated balances available under the heading "Student Financial Assistance" for carrying out subpart 1 of part A of title IV of the HEA, [\$600,000,000] \$2,000,000,000 are hereby [rescinded] permanently cancelled.

[(RESCISSION)]

SEC. 311. Section 401(b)(7)(A)(iv)(IX) of the Higher Education Act of 1965 (20 U.S.C. 1070a(b)(7)(A)(iv)(IX)) is amended by striking "\$1,409,000,000" and inserting "\$1,370,000,000". ]

**[SEC. 312.** (a) An institution of higher education may, with explicit written consent of an applicant who has completed a FAFSA under such section 483(a), provide such information collected from the applicant's FAFSA as is necessary to a scholarship granting organization, including a tribal organization (defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304)), or to an organization assisting the applicant in applying for and receiving Federal, State, local, or tribal assistance, that is designated by the applicant to assist the applicant in applying for and receiving financial assistance for any component of the applicant's cost of attendance (defined in section 472 of the HEA) at that institution.

(b) An organization that receives information pursuant to subsection (a) shall not sell or otherwise share such information.

(c) This section shall be in effect until title IV of the HEA is reauthorized. **]**

**[SEC. 313.** For an additional amount for "Department of Education—Federal Direct Student Loan Program Account", \$350,000,000, to remain available until expended, shall be for the cost, as defined under section 502 of the Congressional Budget Act of 1974, of the Secretary of Education providing loan cancellation in the same manner as under section 455(m) of the Higher Education Act of 1965 (20 U.S.C. 1087e(m)), for borrowers of loans made under part D of title IV of such Act who would qualify for loan cancellation under section 455(m) except some, or all, of the 120 required payments under section 455(m)(1)(A) do not qualify for purposes of the program because they were monthly payments made in accordance with graduated or extended repayment plans as described under subparagraph (B) or (C) of section 455(d)(1) or the corresponding repayment plan for a consolidation loan made under section 455(g) and that were less than the amount calculated under section 455(d)(1)(A), based on a 10-year repayment period: *Provided*, That the monthly payment made 12 months before the borrower applied for loan cancellation as described in the matter preceding this proviso and the most recent monthly payment made by the borrower at the time of such application were each not less than the monthly amount that would be calculated under, and for which the borrower would otherwise qualify for, clause (i) or (iv) of section 455(m)(1)(A) regarding income-based or income-contingent repayment plans, with exception for a borrower who would have otherwise been eligible under this section but demonstrates an unusual fluctuation of income over the past 5 years: *Provided further*, That the total loan volume, including outstanding principal, fees, capitalized interest, or accrued interest, at application that is eligible for such loan cancellation by such borrowers shall not exceed \$500,000,000: *Provided further*, That the Secretary shall develop and make available a simple method for borrowers to apply for loan cancellation under this section within 60 days of enactment of this Act: *Provided further*, That the Secretary shall provide loan cancellation under this section to eligible borrowers on a first-come, first-serve basis, based on the date of application and subject to both the limitation on total loan volume at application for such loan cancellation specified in the second proviso and the availability of appropriations under this section: *Provided further*, That no borrower may, for the same service, receive a reduction of loan obligations under both this section and section 428J, 428K, 428L, or 460 of such Act. **]**

**[SEC. 314.** Of the amounts made available under this title under the heading "Student Aid Administration", \$2,300,000 shall be used by the Secretary of Education to conduct outreach to borrowers of loans made under part D of title IV of the Higher Education Act of 1965 who may intend to qualify for loan cancellation under section 455(m) of such Act (20 U.S.C. 1087e(m)), to ensure that borrowers are meeting the terms and conditions of such loan cancellation: *Provided*, That the Secretary shall specifically conduct outreach to assist borrowers who would qualify for loan cancellation under section 455(m) of such Act except that the borrower has made some, or all, of the 120 required payments under a repayment plan that is not described under section 455(m)(A) of such Act, to encourage borrowers to enroll in a qualifying repayment plan: *Provided further*, That the Secretary shall also communicate to all Direct Loan borrowers the full requirements of section 455(m) of such Act and improve the filing of employment certification by providing improved outreach and information such as outbound calls, electronic communications, ensuring prominent access to program requirements and benefits on each servicer's website, and creating an option for all borrowers to complete the entire payment certification process electronically and on a centralized website. **]**

**[SEC. 315.** (a) For any local educational agency that for fiscal year 2018, had an enrollment of eligible Federally connected children that was at least 35 percent of

the agency's total student enrollment and a per-pupil expenditure that was less than the average per-pupil expenditure of the State or of all the States, and was determined ineligible to receive a payment under section 7003(b)(2)(A) of the Elementary and Secondary Education Act of 1965 for failing to meet the average tax rate requirement for general fund purposes in section 7003(b)(2)(B)(i)(V)(bb), and whose calculated payment amount under section 7003(b) for the three years following fiscal year 2019 is less than 80 percent of the amount received for fiscal year 2019, the Secretary shall pay the local educational agency for the following three years not less than 90 percent of the total amount the local educational agency received under section 7003(b)(2) for fiscal year 2017 if such local educational agency—

(1) previously received a payment under section 7003(b)(2)(A) but did not receive a payment under section 7003(b)(2)(B)(ii) (or any predecessor of such provision) for each of fiscal years 2015 through 2017; and

(2) was considered a local educational agency described in section 7003(b)(2)(B)(i)(V) (or any predecessor of such provision) for each such fiscal year.

(b) For fiscal year 2020 and succeeding fiscal years, if a local educational agency described in subsection (a) is eligible to receive a basic support payment pursuant to section 7003(b)(2) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7703(b)(2)), the payment received by the local educational agency shall be calculated under section 7003(b)(2) of such Act and not under subsection (a). **]**

*Sec. 307. Section 402H(b) of the Higher Education Act of 1965 (20 U.S.C. 1070a-18(b)) is amended —*

*(a) in paragraph (2) —*

*(1) by striking "shall" and inserting "may" in the material before clause (i) in subparagraph (A); and*

*(2) by inserting "the rigorous evaluation of the programs authorized by this chapter, which may also include" after "primary purpose" in subparagraph (B); and*

*(b) by striking paragraph (3) in its entirety and redesignating paragraph (4) as paragraph (3).*

*Sec. 308. Notwithstanding section 302, the Secretary of Education may transfer up to 10 percent of any amounts that are made available to the "Program Administration", "Office of Civil Rights", or "Office of Inspector General" accounts in this Act to the "Information Technology System Modernization and Working Capital Fund" (IT WCF), as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018: *Provided*, That any amounts transferred to the IT WCF shall remain available for 3 fiscal years: *Provided further*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 15 days in advance of any such transfer.*

*Sec. 309. Notwithstanding any other provision of law, the Secretary may reserve not more than 0.5 percent from any amount made available in this Act for an HEA program, except for any amounts made available for subpart 1 of part A of title IV of the HEA, to carry out rigorous and independent evaluations and to collect and analyze outcome data for any program authorized by the HEA: *Provided*, That no funds made available in this Act for the "Student Aid Administration" account shall be subject to the reservation under this section: *Provided further*, That any funds reserved under this section shall be available through September 30, 2021: *Provided further*, That if, under any other provision of law, funds are authorized to be reserved or used for evaluation activities with respect to a program or project, the Secretary may also reserve funds for such program or project for the purposes described in this section so long as the total reservation of funds for such program or project does not exceed any statutory limits on such reservations: *Provided further*, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and Labor of the House of Representatives a plan that identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld for the purposes of this section, and the activities to be carried out with such funds. (Department of Education Appropriations Act, 2019.)*



# DEPARTMENT OF ENERGY

## NATIONAL NUCLEAR SECURITY ADMINISTRATION

### Federal Funds

#### FEDERAL SALARIES AND EXPENSES

For expenses necessary for Federal Salaries and Expenses in the National Nuclear Security Administration, **[\$410,000,000]** \$434,699,000, to remain available until September 30, **[2020]** 2021, including official reception and representation expenses not to exceed **[\$12,000]** \$17,000. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 089-0313-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Federal Salaries and Expenses .....	422	423	435
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	13	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	27	13	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	408	410	435
1900 Budget authority (total) .....	408	410	435
1930 Total budgetary resources available .....	435	423	435
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	65	70	80
3010 New obligations, unexpired accounts .....	422	423	435
3020 Outlays (gross) .....	-413	-413	-431
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	70	80	84
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	65	70	80
3200 Obligated balance, end of year .....	70	80	84
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	408	410	435
Outlays, gross:			
4010 Outlays from new discretionary authority .....	337	338	359
4011 Outlays from discretionary balances .....	76	75	72
4020 Outlays, gross (total) .....	413	413	431
4180 Budget authority, net (total) .....	408	410	435
4190 Outlays, net (total) .....	413	413	431

*Federal Salaries and Expenses.*—This account provides the Federal salaries and other expenses of the National Nuclear Security Administration (NNSA) mission and mission support staff. The Federal Salaries and Expenses appropriation allows for the creation of a well-managed, inclusive, responsive, and accountable organization through the strategic management of human capital and greater integration of budget and performance data. It also includes funding for a standardized corporate project management enterprise. Program direction for Naval Reactors is within that program's account, and program direction for Secure Transportation Asset is within the Weapons Activities account.

#### Object Classification (in millions of dollars)

Identification code 089-0313-0-1-053	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	228	228	236
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	8	8	8
11.9 Total personnel compensation .....	239	239	247
12.1 Civilian personnel benefits .....	72	72	73
21.0 Travel and transportation of persons .....	15	15	15

23.3	Communications, utilities, and miscellaneous charges .....	3	3	3
25.1	Advisory and assistance services .....	30	30	31
25.2	Other services from non-Federal sources .....	6	6	6
25.3	Other goods and services from Federal sources .....	34	35	36
25.4	Operation and maintenance of facilities .....	18	18	19
25.6	Medical care .....	2	2	2
26.0	Supplies and materials .....	3	3	3
99.9	Total new obligations, unexpired accounts .....	422	423	435

#### Employment Summary

Identification code 089-0313-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,608	1,690	1,753

## NAVAL REACTORS

### [(INCLUDING TRANSFER OF FUNDS)]

For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion, **[\$1,788,618,000]** \$1,648,396,000, to remain available until expended **[**, of which, \$85,500,000 shall be transferred to "Department of Energy—Energy Programs—Nuclear Energy", for the Advanced Test Reactor<sup>1</sup>]; *Provided*, That of such amount, **[\$48,709,000]** \$50,500,000 shall be available until September 30, **[2020]** 2021, for program direction. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 089-0314-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Naval reactors development .....	388	429	531
0020 Program direction .....	48	51	50
0030 S8G prototype refueling .....	250	250	155
0040 Naval reactors operations and infrastructure .....	466	528	554
0050 Construction .....	225	324	283
0060 COLUMBIA-class reactor systems development .....	157	138	75
0900 Total new obligations, unexpired accounts .....	1,534	1,720	1,648
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	17	.....
1021 Recoveries of prior year unpaid obligations .....	13	.....	.....
1050 Unobligated balance (total) .....	17	17	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,620	1,789	1,648
1120 Appropriations transferred to other acct [089-0319] .....	-86	-86	.....
1160 Appropriation, discretionary (total) .....	1,534	1,703	1,648
1930 Total budgetary resources available .....	1,551	1,720	1,648
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	628	784	934
3010 New obligations, unexpired accounts .....	1,534	1,720	1,648
3020 Outlays (gross) .....	-1,365	-1,570	-1,597
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	.....	.....
3050 Unpaid obligations, end of year .....	784	934	985
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	628	784	934
3200 Obligated balance, end of year .....	784	934	985
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,534	1,703	1,648
Outlays, gross:			
4010 Outlays from new discretionary authority .....	823	1,448	1,065
4011 Outlays from discretionary balances .....	542	122	532

NAVAL REACTORS—Continued  
Program and Financing—Continued

Identification code 089-0314-0-1-053	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	1,365	1,570	1,597
4180 Budget authority, net (total) .....	1,534	1,703	1,648
4190 Outlays, net (total) .....	1,365	1,570	1,597

*Naval Reactors.*—This account funds all naval nuclear propulsion work. It begins with reactor technology development and design, continues through reactor operation and maintenance, and ends with reactor plant disposal. The program ensures the safe and reliable operation of reactor plants in nuclear-powered submarines and aircraft carriers (constituting about 45 percent of the Navy's major combatants), and fulfills the Navy's requirements for new nuclear propulsion plants that meet current and future national defense requirements. Due to the crucial nature of nuclear reactor work, Naval Reactors is a centrally managed organization. Federal employees oversee and set policies/procedures for developing new reactor plants and operating existing nuclear plants and the facilities that support these plants.

Object Classification (in millions of dollars)

Identification code 089-0314-0-1-053	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	34	34
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	36	36
12.1 Civilian personnel benefits .....	10	12	12
21.0 Travel and transportation of persons .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	7	7	6
25.3 Other goods and services from Federal sources .....	4	5	5
25.4 Operation and maintenance of facilities .....	1,219	1,352	1,287
31.0 Equipment .....	11	14	13
32.0 Land and structures .....	247	290	285
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	1,534	1,720	1,648

Employment Summary

Identification code 089-0314-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	231	246	246

WEAPONS ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed one ambulance for replacement only, **[\$11,100,000,000]** \$12,408,603,000, to remain available until expended: *Provided*, That of such amount, **[\$102,022,000]** \$107,660,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-0240-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0020 Directed stockpile work .....	4,063	4,681	5,426
0021 Science .....	476	484	587
0022 Engineering .....	183	190	234
0023 Inertial confinement fusion ignition and high yield .....	545	547	481
0024 Advanced simulation and computing .....	752	719	840
0027 Secure transportation asset .....	294	293	317
0028 Advanced manufacturing development .....	86	82	137

0030 Infrastructure and Operations .....	3,335	3,125	3,208
0031 Information technology and cybersecurity .....	186	237	309
0032 Defense nuclear security .....	750	715	778
0033 Legacy contractor pensions .....	232	162	91
0034 Site stewardship .....	1		
0300 Subtotal, Weapons Activities .....	10,903	11,235	12,408
0799 Total direct obligations .....	10,903	11,235	12,408
0810 Weapons Activities (Reimbursable) .....	1,766	1,781	1,797
0900 Total new obligations, unexpired accounts .....	12,669	13,016	14,205

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	119	157	
1021 Recoveries of prior year unpaid obligations .....	336		
1050 Unobligated balance (total) .....	455	157	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10,642	11,100	12,409
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,629	1,759	1,796
1701 Change in uncollected payments, Federal sources .....	100		
1750 Spending auth from offsetting collections, disc (total) .....	1,729	1,759	1,796
1900 Budget authority (total) .....	12,371	12,859	14,205
1930 Total budgetary resources available .....	12,826	13,016	14,205
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	157		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,711	9,016	9,307
3010 New obligations, unexpired accounts .....	12,669	13,016	14,205
3020 Outlays (gross) .....	-11,028	-12,725	-13,876
3040 Recoveries of prior year unpaid obligations, unexpired .....	-336		
3050 Unpaid obligations, end of year .....	9,016	9,307	9,636
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,235	-2,335	-2,335
3070 Change in uncollected pymts, Fed sources, unexpired .....	-100		
3090 Uncollected pymts, Fed sources, end of year .....	-2,335	-2,335	-2,335
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	5,476	6,681	6,972
3200 Obligated balance, end of year .....	6,681	6,972	7,301

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	12,371	12,859	14,205
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	5,342	6,354	7,053
4011 Outlays from discretionary balances .....	5,686	6,371	6,823
4020 Outlays, gross (total) .....	11,028	12,725	13,876
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,533	-1,659	-1,694
4033 Non-Federal sources .....	-96	-100	-102
4040 Offsets against gross budget authority and outlays (total) .....	-1,629	-1,759	-1,796
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-100		
4060 Additional offsets against budget authority only (total) .....	-100		
4070 Budget authority, net (discretionary) .....	10,642	11,100	12,409
4080 Outlays, net (discretionary) .....	9,399	10,966	12,080
4180 Budget authority, net (total) .....	10,642	11,100	12,409
4190 Outlays, net (total) .....	9,399	10,966	12,080

Programs funded within the Weapons Activities appropriation support the Nation's current and future defense posture and its attendant nationwide infrastructure of science, technology, and engineering capabilities. Weapons Activities provides for the maintenance and refurbishment of nuclear weapons to continue sustained confidence in their safety, reliability, and performance; continued investment in scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components. Weapons Activities also provides for continued maintenance and investment in the NNSA nuclear complex to be more responsive and cost effective. The major elements of the program include the following:

**Directed Stockpile Work.**—Encompasses all activities that directly support the nuclear weapons stockpile. These activities include: maintenance and surveillance; planned refurbishment; reliability assessment; weapon dismantlement and disposal; and research, development, and certification technology efforts to meet stockpile requirements. Additionally, Strategic Materials ensures sustainment of nuclear material processing capabilities and funds the stabilization, consolidation, disposition, tracking, and accounting of nuclear materials.

**Research, Development, Test and Evaluation.**—Focuses on scientific, technical, and engineering efforts to develop, strengthen, and maintain critical capabilities, tools, and processes needed to support science-based stockpile stewardship, weapons refurbishments, and continued assessment and certification of the stockpile over the long term in the absence of underground nuclear testing.

**Infrastructure and Operations.**—Provides the funding required to operate NNSA facilities and support underlying infrastructure and capabilities at the level necessary to deliver mission results in a safe and secure manner. Includes resources for cross-cutting programmatic functions such as Long Term Stewardship, Nuclear Safety Research & Development, Nuclear Criticality Safety, and the Packaging program. Modernizes NNSA infrastructure through recapitalization, capability investments, strategic development, and line-item construction projects for the enhancement of capabilities.

**Defense Nuclear Security.**—Provides protection for NNSA personnel, facilities, nuclear weapons, and materials from a full spectrum of threats, ranging from minor security incidents to acts of terrorism. Provides funding for key security program areas at all NNSA facilities.

**Secure Transportation Asset.**—Provides for the safe, secure transport of nuclear weapons, weapon components, and special nuclear materials to meet mission requirements. The Program Direction subprogram provides for the secure transportation workforce, including the Federal agents.

**Information Technology and Cybersecurity.**—The program is responsible for information sharing and information safeguarding to support the mission of NNSA. The program provides information technology (IT) and cybersecurity solutions, including continuous monitoring, cloud-based technologies, and enterprise security technologies (i.e., identity, credential, and access management) to help meet security challenges.

**Object Classification** (in millions of dollars)

Identification code 089-0240-0-1-053	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	44	46	48
11.5 Other personnel compensation .....	11	11	11
11.9 Total personnel compensation .....	55	57	59
12.1 Civilian personnel benefits .....	24	24	25
21.0 Travel and transportation of persons .....	5	5	5
23.1 Rental payments to GSA .....	35	35	35
23.3 Communications, utilities, and miscellaneous charges .....	32	32	32
25.1 Advisory and assistance services .....	392	392	392
25.2 Other services from non-Federal sources .....	438	438	611
25.3 Other goods and services from Federal sources .....	19	19	19
25.4 Operation and maintenance of facilities .....	8,489	8,819	9,816
25.5 Research and development contracts .....	125	125	125
25.6 Medical care .....	3	3	3
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	275	275	275
32.0 Land and structures .....	950	950	950
41.0 Grants, subsidies, and contributions .....	56	56	56
99.0 Direct obligations .....	10,903	11,235	12,408
99.0 Reimbursable obligations .....	1,766	1,781	1,797
99.9 Total new obligations, unexpired accounts .....	12,669	13,016	14,205

**Employment Summary**

Identification code 089-0240-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	491	564	590

**DEFENSE NUCLEAR NONPROLIFERATION**

**[(INCLUDING RESCISSION OF FUNDS)]**

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed three aircraft, **[\$1,949,000,000] \$1,993,302,000**, to remain available until expended **]:** *Provided*, That of such amount, \$25,000,000 shall be made available for design activities supporting the dilute and dispose strategy for plutonium disposition: *Provided further*, That none of the funds made available under this heading shall be made available for the construction activities or acquisition of equipment for the Surplus Plutonium Disposition Project: *Provided further*, That of the unobligated balances from prior year appropriations available under this heading, \$19,000,000 is hereby rescinded: *Provided further*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985 **].** (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0309-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Defense nuclear nonproliferation research and development .....	555	579	495
0040 International materials protection and cooperation .....	6	40	.....
0050 Fissile materials disposition .....	1	.....	.....
0071 Global material security .....	433	407	342
0072 Material management and minimization .....	256	369	334
0073 Nonproliferation and arms control .....	136	130	137
0074 Nonproliferation construction .....	290	226	299
0075 Nuclear counterterrorism and incident response .....	291	319	372
0080 Global threat reduction initiative .....	4	.....	.....
0085 Legacy contractor pensions .....	41	29	14
0100 Subtotal, obligations by program activity .....	2,013	2,099	1,993
0799 Total direct obligations .....	2,013	2,099	1,993
0801 Global material security .....	12	.....	.....
0899 Total reimbursable obligations .....	12	.....	.....
0900 Total new obligations, unexpired accounts .....	2,025	2,099	1,993
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	65	169	.....
1021 Recoveries of prior year unpaid obligations .....	128	.....	.....
1050 Unobligated balance (total) .....	193	169	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,048	1,949	1,993
1120 Appropriations transferred to other accts [089-0222] .....	-10	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-49	-19	.....
1160 Appropriation, discretionary (total) .....	1,989	1,930	1,993
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	12	.....	.....
1900 Budget authority (total) .....	2,001	1,930	1,993
1930 Total budgetary resources available .....	2,194	2,099	1,993
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	169	.....	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,527	1,524	1,691
3010 New obligations, unexpired accounts .....	2,025	2,099	1,993
3020 Outlays (gross) .....	-1,900	-1,932	-1,946
3040 Recoveries of prior year unpaid obligations, unexpired .....	-128	.....	.....

DEFENSE NUCLEAR NONPROLIFERATION—Continued  
Program and Financing—Continued

Identification code 089-0309-0-1-053	2018 actual	2019 est.	2020 est.
3050 Unpaid obligations, end of year .....	1,524	1,691	1,738
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,527	1,524	1,691
3200 Obligated balance, end of year .....	1,524	1,691	1,738
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,001	1,930	1,993
Outlays, gross:			
4010 Outlays from new discretionary authority .....	868	965	996
4011 Outlays from discretionary balances .....	1,032	967	950
4020 Outlays, gross (total) .....	1,900	1,932	1,946
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-12		
4180 Budget authority, net (total) .....	1,989	1,930	1,993
4190 Outlays, net (total) .....	1,888	1,932	1,946

Programs funded within the Defense Nuclear Nonproliferation (DNN) appropriation help keep America safe by preventing adversaries from acquiring nuclear weapons or weapons-usable materials, technology, and expertise; countering efforts to acquire such weapons or materials; and responding to nuclear or radiological accidents and incidents domestically and abroad.

The Defense Nuclear Nonproliferation (DNN) and the Nuclear Counterterrorism and Incident Response (NCTIR) programs have a primary role in the U.S. approach to reducing nuclear security risks. These two programs provide policy and technical leadership to prevent or limit the spread of materials, technology, and expertise related to weapons of mass destruction (WMD); develop technologies that detect the proliferation of WMD worldwide; secure or eliminate inventories of nuclear weapons-related materials and infrastructure; and ensure a technically trained response both domestically and worldwide to nuclear and radiological incidents.

The major elements of the appropriation account include the following:

**Material Management and Minimization (M<sup>3</sup>).**—M<sup>3</sup> programs minimize and, when possible, eliminate weapons-usable nuclear material around the world to achieve permanent threat reduction.

**Global Material Security (GMS).**—GMS programs prevent terrorists and other actors from obtaining nuclear and radiological material to use in an improvised nuclear device or a radiological dispersal device by working with partner countries to improve the security of vulnerable materials and facilities and to improve partners' capacities to deter, detect, and investigate illicit trafficking of these materials. GMS works extensively with and through multilateral partners such as the International Atomic Energy Agency and Interpol.

**Nonproliferation and Arms Control (NPAC).**—NPAC supports activities to prevent the proliferation of WMD by state and non-state actors. NPAC develops and implements programs and strategies to strengthen international nuclear safeguards; control the spread of nuclear and dual-use material, equipment, technology, and expertise; verify nuclear reductions and compliance with nonproliferation and arms control treaties and agreements; and address enduring and emerging nonproliferation and arms control challenges and opportunities.

**Defense Nuclear Nonproliferation Research and Development (DNN R&D).**—DNN R&D drives the innovation of unilateral and multi-lateral technical capabilities to detect nuclear detonations; foreign nuclear weapons programs' activities; and the presence, movement, or diversion of special nuclear materials. To meet national and Departmental nuclear security requirements, DNN R&D leverages the unique facilities and scientific skills of the Department of Energy, academia, and industry to perform research, conduct technology demonstrations, develop prototypes, and produce and deliver sensors for integration into operational systems.

**Nonproliferation Construction.**—Nonproliferation Construction consolidates construction costs for DNN projects. The budget supports the continuation of termination activities for the Mixed Oxide Fuel Fabrication project and transition to the dilute and dispose strategy to fulfill the United States' commitment to dispose of 34 metric tons of surplus U.S. weapon-grade plutonium. The request supports the continuation of preliminary design for the Surplus Plutonium Disposition (SPD) project, as well as long-lead procurements.

**Nuclear Counterterrorism and Incident Response (NCTIR).**—The NCTIR Program executes the DOE/NNSA's Comprehensive Emergency Management System program that administers implementation and support of emergency management for all DOE/NNSA offices and sites, and manages the DOE/NNSA Consolidated Emergency Operations Center, Emergency Communications Network, Emergency Management Policy, Training, National Exercises Program, and Continuity Program activities. NCTIR also applies the unique technical expertise from NNSA's nuclear security enterprise to prepare for, prevent, mitigate, and respond to a nuclear or radiological incident domestically or abroad, providing technical advice to interagency and international partners and state and local organizations in support of nuclear counterproliferation, nuclear counterterrorism, nuclear incident response, and nuclear forensics.

Object Classification (in millions of dollars)

Identification code 089-0309-0-1-053	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	183	191	181
25.2 Other services from non-Federal sources .....	101	104	100
25.3 Other goods and services from Federal sources .....	5	5	5
25.4 Operation and maintenance of facilities .....	1,374	1,442	1,361
31.0 Equipment .....	41	42	41
32.0 Land and structures .....	291	298	288
41.0 Grants, subsidies, and contributions .....	17	17	17
99.0 Direct obligations .....	2,012	2,099	1,993
99.0 Reimbursable obligations .....	12		
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	2,025	2,099	1,993

ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES  
Federal Funds

DEFENSE ENVIRONMENTAL CLEANUP

(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, [and the purchase of not to exceed one passenger minivan for replacement only, \$6,028,600,000] \$5,522,063,000, to remain available until expended: *Provided*, That of such amount, [\$298,500,000] \$278,908,000 shall be available until September 30, [2020] 2021, for program direction: *Provided further*, That of the unobligated balances from prior year appropriations available under this heading, [\$4,600,000] \$15,562,000 is hereby [rescinded] cancelled: *Provided further*, That no amounts may be [rescinded] cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-0251-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Closure Sites .....	7	5	5
0002 Hanford Site .....	867	865	629
0003 River Protection - Tank Farm .....	719	772	677
0004 River Protection - Waste Treatment Plant .....	748	745	715

0005	River Protection - LAWPS .....	56	56	.....
0006	Idaho .....	424	433	337
0007	NNSA Sites .....	298	309	388
0008	Oak Ridge .....	350	410	293
0009	Savannah River .....	1,299	1,381	1,462
0010	Waste Isolation Pilot Plant .....	377	397	391
0011	Program Support .....	30	13	13
0012	Safeguards & Security .....	300	304	318
0013	Technology Development & Demonstration .....	34	25	.....
0014	Program Direction .....	288	299	279
0020	SPRU .....	5	15	15
0900	Total new obligations, unexpired accounts .....	5,802	6,029	5,522
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	36	269	276
1021	Recoveries of prior year unpaid obligations .....	42	12	12
1033	Recoveries of prior year paid obligations .....	6	.....	.....
1050	Unobligated balance (total) .....	84	281	288
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5,988	6,029	5,522
1120	Appropriations transferred to other accts [089-0222] .....	-1	.....	.....
1131	Unobligated balance of appropriations permanently reduced .....	.....	-5	-15
1160	Appropriation, discretionary (total) .....	5,987	6,024	5,507
1930	Total budgetary resources available .....	6,071	6,305	5,795
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	269	276	273
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,218	2,659	2,707
3010	New obligations, unexpired accounts .....	5,802	6,029	5,522
3020	Outlays (gross) .....	-5,318	-5,969	-6,108
3040	Recoveries of prior year unpaid obligations, unexpired .....	-42	-12	-12
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	2,659	2,707	2,109
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,218	2,659	2,707
3200	Obligated balance, end of year .....	2,659	2,707	2,109
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5,987	6,024	5,507
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,420	4,217	3,850
4011	Outlays from discretionary balances .....	1,898	1,752	2,258
4020	Outlays, gross (total) .....	5,318	5,969	6,108
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-6	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-6	.....	.....
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts .....	6	.....	.....
4060	Additional offsets against budget authority only (total) .....	6	.....	.....
4070	Budget authority, net (discretionary) .....	5,987	6,024	5,507
4080	Outlays, net (discretionary) .....	5,312	5,969	6,108
4180	Budget authority, net (total) .....	5,987	6,024	5,507
4190	Outlays, net (total) .....	5,312	5,969	6,108

The Defense Environmental Cleanup program is responsible for protecting human health and the environment by identifying and reducing risks, as well as managing waste and facilities, at sites where the Department carried out defense-related nuclear research and production activities. Those activities resulted in radioactive, hazardous, and mixed-waste contamination requiring remediation, stabilization, decontamination and decommissioning, or some other type of cleanup action. The Budget displays the cleanup program by site and activity.

**Closure Sites.**—Funds post-closure administration costs after the physical completion of cleanup, including costs for contract closeout and litigation support.

**Hanford Site.**—Funds cleanup and environmental restoration to protect the Columbia River and surrounding communities. The Hanford site cleanup

is managed by two Environmental Management (EM) site offices: the Richland Operations Office and the Office of River Protection.

The Richland Office is responsible for cleanup activities on most of the geographic area making up the Hanford site. The primary cleanup focus is decontamination and decommissioning legacy facilities and characterizing and treating contaminated groundwater.

The Office of River Protection is responsible for the safe storage, retrieval, treatment, immobilization, and disposal of approximately 56 million gallons of radioactive waste stored in 177 underground tanks. It is also responsible for related operation, maintenance, engineering, and construction activities, including those connected to the Waste Treatment and Immobilization Plant being built to solidify the liquid tank waste in a glass form that can be safely stored.

**Idaho.**—Funds retrieval, treatment, and disposition of nuclear and hazardous wastes and spent nuclear fuel, and legacy site cleanup activities.

**NNSA Sites.**—Funds the safe and efficient cleanup of the environmental legacy of past operations at National Nuclear Security Administration (NNSA) sites including Nevada National Security Site, Sandia National Laboratories, Lawrence Livermore National Laboratory, Los Alamos National Laboratory and the Separations Process Research Unit. The cleanup strategy follows a risk-informed approach that focuses first on those soil and groundwater contaminant plumes and sources that are the greatest contributors to risk. The overall goal is first to ensure that risks to the public and workers are controlled, then to clean up soil and groundwater using a risk-informed methodology. NNSA is responsible for long-term stewardship of its sites after physical cleanup is completed. Los Alamos legacy cleanup is managed by the EM Los Alamos field office. Lawrence Livermore National Laboratory includes funds to support the deactivation and decommissioning (D&D) of specific high-risk excess facilities to be transferred to the Environmental Management program.

**Oak Ridge.**—Funds defense-related cleanup of the three facilities that make up the Oak Ridge Reservation: the East Tennessee Technology Park, the Oak Ridge National Laboratory, and the Y-12 Plant. The overall cleanup strategy is based on surface water considerations, encompassing five distinct watersheds that feed the adjacent Clinch River.

**Savannah River Site.**—Funds the safe stabilization, treatment, and disposition of legacy nuclear materials, spent nuclear fuel, and waste at the Savannah River site. Key activities include operating the Defense Waste Processing Facility, which is solidifying the high activity liquid waste contained in underground storage tanks, and the testing and cold commissioning of the Salt Waste Processing Facility, which will separate various tank waste components and treat and dispose the low activity liquid waste stream.

**Waste Isolation Pilot Plant.**—Funds the world's first permitted deep geologic repository for the permanent disposal of radioactive waste, and the Nation's only disposal site for defense-generated transuranic waste. The Waste Isolation Pilot Plant, managed by the Carlsbad Field Office, is an operating facility, supporting the disposal of transuranic waste from waste generator and storage sites across the DOE complex. The Waste Isolation Pilot Plant is crucial to the Department of Energy (DOE) completing its cleanup and closure mission.

**Program Direction.**—Funds the Federal workforce responsible for the overall direction and administrative support of the EM program, including both Headquarters and field personnel.

**Program Support.**—Funds management and direction for various cross-cutting EM and DOE initiatives, intergovernmental activities, and analyses and integration activities across DOE in a consistent, responsible, and efficient manner.

**Safeguards and Security.**—Funds activities to protect against unauthorized access, theft, diversion, loss of custody or destruction of DOE assets, and hostile acts that could cause adverse impacts to fundamental national security or the health and safety of DOE and contractor employees, the public or the environment.

DEFENSE ENVIRONMENTAL CLEANUP—Continued

*Technology Development and Deployment.*—Funds projects managed through Headquarters to address the immediate, near- and long-term technology needs identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, improve worker safety, and provide technical foundations for the sites' cleanup decisions. These projects focus on maturing and deploying the technologies necessary to accelerate tank waste processing, treatment, and waste loading.

Object Classification (in millions of dollars)

Identification code 089-0251-0-1-053	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	154	160	146
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	159	165	151
12.1 Civilian personnel benefits .....	52	54	49
21.0 Travel and transportation of persons .....	6	6	6
23.1 Rental payments to GSA .....	16	17	15
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	20	21	19
25.1 Advisory and assistance services .....	726	754	689
25.2 Other services from non-Federal sources .....	462	480	438
25.3 Other goods and services from Federal sources .....	56	58	53
25.4 Operation and maintenance of facilities .....	3,225	3,351	3,076
25.5 Research and development contracts .....	6	6	6
25.6 Medical care .....	18	19	17
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	99	103	94
32.0 Land and structures .....	888	923	843
41.0 Grants, subsidies, and contributions .....	66	69	63
99.9 Total new obligations, unexpired accounts .....	5,802	6,029	5,522

Employment Summary

Identification code 089-0251-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,273	1,350	1,350

OTHER DEFENSE ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses, necessary for atomic energy defense, other defense activities, and classified activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than one passenger motor vehicle, **[\$860,292,000]** \$1,035,339,000, to remain available until expended: *Provided*, That of such amount, **[\$295,432,000]** \$333,298,000 shall be available until September 30, **[2020]** 2021, for program direction: *Provided further*, That the unexpended balances of prior appropriations provided for the Formerly Utilized Sites Remedial Action Program in this Act or any previous Energy and Water Development Appropriations Act may be transferred to and merged with this appropriation account, and thereafter may be accounted for as one fund for the same time period as originally enacted. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 089-0243-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Environment, Health, Safety, and Security Mission Support .....	192	203	212
0009 Independent Enterprise Assessments .....	76	77	81
0015 Specialized security activities .....	253	266	255
0020 Legacy management .....	153	159	303
0030 Defense related administrative support .....	146	152	179
0060 Hearings and Appeals .....	4	4	5
0100 Subtotal, Direct program activities .....	824	861	1,035
0799 Total direct obligations .....	824	861	1,035
0810 Other Defense Activities (Reimbursable) .....	1,826	1,826	1,826

0819 Reimbursable program activities, subtotal .....	1,826	1,826	1,826
0900 Total new obligations, unexpired accounts .....	2,650	2,687	2,861

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	34	50	2
1011 Unobligated balance transfer from other acct [047-0616] .....	6	6	7
1021 Recoveries of prior year unpaid obligations .....	45	44	.....
1050 Unobligated balance (total) .....	79	100	9
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	840	860	1,035
1121 Appropriations transferred from Technology Modernization Fund [047-0616] .....	2	.....	.....
1160 Appropriation, discretionary (total) .....	842	860	1,035
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,580	1,729	1,817
1701 Change in uncollected payments, Federal sources .....	199	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,779	1,729	1,817
1900 Budget authority (total) .....	2,621	2,589	2,852
1930 Total budgetary resources available .....	2,700	2,689	2,861
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	50	2	.....

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,483	1,782	1,945
3010 New obligations, unexpired accounts .....	2,650	2,687	2,861
3020 Outlays (gross) .....	-2,306	-2,480	-2,633
3040 Recoveries of prior year unpaid obligations, unexpired .....	-45	-44	.....
3050 Unpaid obligations, end of year .....	1,782	1,945	2,173
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,167	-1,366	-1,366
3070 Change in uncollected pymts, Fed sources, unexpired .....	-199	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1,366	-1,366	-1,366
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	316	416	579
3200 Obligated balance, end of year .....	416	579	807

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,621	2,589	2,852
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,111	1,337	1,503
4011 Outlays from discretionary balances .....	1,195	1,143	1,130
4020 Outlays, gross (total) .....	2,306	2,480	2,633
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1,510	-1,659	-1,747
4033 Non-Federal sources .....	-70	-70	-70
4040 Offsets against gross budget authority and outlays (total) .....	-1,580	-1,729	-1,817
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-199	.....	.....
4070 Budget authority, net (discretionary) .....	842	860	1,035
4080 Outlays, net (discretionary) .....	726	751	816
4180 Budget authority, net (total) .....	842	860	1,035
4190 Outlays, net (total) .....	726	751	816

*Environment, Health, Safety and Security Mission Support.*—The program supports the Department's health, safety, environment, and security programs to enhance productivity while maintaining the highest standards of safe operation, protection of national assets, and environmental sustainability. As the Department's "environment, health, safety and security advocate," the program works closely with DOE line managers who are ultimately responsible for ensuring that the Department's work is managed and performed in a manner that protects workers and the public as well as the Department's material and information assets. The program functions include: policy and guidance development and technical assistance; analysis of health, safety, environment, and security performance; nuclear safety; domestic and international health studies; medical screening programs for former workers; Energy Employee Occupational Illness Compensation Program Act support; quality assurance programs; interface with the Defense Nuclear Facilities Safety Board; national security information pro-

grams; and security for the Department's facilities and personnel in the National Capital Area.

**Enterprise Assessments.**—The program supports the Department's independent assessments of security, cybersecurity, emergency management, and environment, safety and health performance; enforcement of worker safety and health, nuclear safety; and classified information security regulations; and implementation of security and safety professional development and training programs. In 2020, the requested funding supports implementation of Program Management Improvement Accountability Act requirements within the Department.

**Specialized Security Activities.**—The program supports national security related analyses requiring highly specialized skills and capabilities.

**Legacy Management.**—The program supports long-term stewardship activities (e.g., groundwater monitoring, disposal cell maintenance, records management, and management of natural resources) at sites where active remediation has been completed. In addition, Legacy Management funds the pensions and/or post-retirement benefits for former contractor employees. In 2020, the requested funding supports the inclusion of the Formerly Utilized Sites Remedial Action Program, that had been funded by the U.S. Army Corps of Engineers in prior years.

**Hearings and Appeals.**—The Office of Hearings and Appeals adjudicates personnel security cases, as well as whistleblower reprisal complaints filed by DOE contractor employees. The office is the appeal authority in various other areas, including Freedom of Information Act and Privacy Act appeals. In addition, the office decides requests for exception from DOE orders, rules, regulations, and is responsible for the DOE's alternative dispute resolution function.

**Defense-Related Administrative Support.**—Obligations are included for defense-related administrative support that serves to offset costs that are attributable to the defense-related programs within the Department of Energy that utilize the department-wide services funded by the Departmental Administration account. These include accounting and information technology department-wide services.

**Object Classification** (in millions of dollars)

Identification code 089-0243-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	98	111	113
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	3
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	104	117	119
12.1 Civilian personnel benefits .....	32	34	36
13.0 Benefits for former personnel .....	7	7	9
21.0 Travel and transportation of persons .....	6	6	8
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	14	14	14
25.1 Advisory and assistance services .....	281	290	329
25.2 Other services from non-Federal sources .....	69	73	176
25.3 Other goods and services from Federal sources .....	35	35	40
25.4 Operation and maintenance of facilities .....	192	201	215
25.7 Operation and maintenance of equipment .....	2	2	3
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	12	12	15
32.0 Land and structures .....	5	5	5
41.0 Grants, subsidies, and contributions .....	56	56	56
99.0 Direct obligations .....	824	861	1,035
99.0 Reimbursable obligations .....	1,826	1,826	1,826
99.9 Total new obligations, unexpired accounts .....	2,650	2,687	2,861

**Employment Summary**

Identification code 089-0243-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	816	977	1,017

2001 Reimbursable civilian full-time equivalent employment .....	1	1	1
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**DEFENSE NUCLEAR WASTE DISPOSAL**

*For nuclear waste disposal activities to carry out the purposes of Public Law 97-425, as amended, including the acquisition of real property or facility construction or expansion, and interim storage activities, \$26,000,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

Identification code 089-0244-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Defense Nuclear Waste Disposal (Direct) .....	1	.....	26
0900 Total new obligations, unexpired accounts (object class 25.1) .....	1	.....	26
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	.....	.....	26
1930 Total budgetary resources available .....	2	1	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	6	.....
3010 New obligations, unexpired accounts .....	1	.....	26
3020 Outlays (gross) .....	-5	-6	-10
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	6	.....	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	6	.....
3200 Obligated balance, end of year .....	6	.....	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	26
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	10
4011 Outlays from discretionary balances .....	5	6	.....
4020 Outlays, gross (total) .....	5	6	10
4180 Budget authority, net (total) .....	.....	.....	26
4190 Outlays, net (total) .....	5	6	10

The Defense Nuclear Waste Disposal appropriation was established by the Congress as part of the 1993 Energy and Water Development Appropriation (P.L. 102-377), in lieu of payment from the Department of Energy (DOE) into the Nuclear Waste Fund for activities related to the disposal of defense high-level waste from DOE's atomic energy defense activities.

**ENERGY PROGRAMS**

*Federal Funds*

SCIENCE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than [16] 33 passenger motor vehicles including one bus, [and one airplane for replacement only, \$6,585,000,000] \$5,545,972,000, to remain available until expended: *Provided*, That of such amount, [\$183,000,000] \$183,000,000 shall be available until September 30, [2020] 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

SCIENCE—Continued

Program and Financing (in millions of dollars)

Identification code 089-0222-0-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Basic Energy Sciences .....	2,031	2,166	1,858
0002 Advanced Scientific Computing Research .....	795	936	921
0003 Biological and Environmental Research .....	654	705	494
0004 High Energy Physics .....	884	980	768
0005 Nuclear Physics .....	667	690	625
0006 Fusion Energy Sciences .....	522	564	403
0007 Science Laboratories Infrastructure .....	257	234	163
0008 Science Program Direction .....	180	183	183
0009 Workforce Development for Teachers and Scientists .....	20	23	20
0010 Safeguards and Security .....	105	106	111
0011 Small Business Innovation Research .....	238	11	.....
0012 Small Business Technology Transfer .....	32	6	.....
0799 Total direct obligations .....	6,385	6,604	5,546
0801 Science (Reimbursable) .....	586	541	520
0900 Total new obligations, unexpired accounts .....	6,971	7,145	6,066
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	40	21
1011 Unobligated balance transfer from other acct [089-0321] .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	57	.....	.....
1033 Recoveries of prior year paid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	79	40	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,260	6,585	5,546
1121 Appropriations transferred from other acct [089-0319] .....	23	.....	.....
1121 Appropriations transferred from other acct [089-0309] .....	10	.....	.....
1121 Appropriations transferred from other acct [089-0213] .....	17	.....	.....
1121 Appropriations transferred from other acct [089-0251] .....	1	.....	.....
1121 Appropriations transferred from other acct [089-0318] .....	6	.....	.....
1121 Appropriations transferred from other acct [089-0321] .....	58	.....	.....
1160 Appropriation, discretionary (total) .....	6,375	6,585	5,546
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	540	541	520
1701 Change in uncollected payments, Federal sources .....	17	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	557	541	520
1900 Budget authority (total) .....	6,932	7,126	6,066
1930 Total budgetary resources available .....	7,011	7,166	6,087
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	21	21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,080	6,140	6,410
3010 New obligations, unexpired accounts .....	6,971	7,145	6,066
3020 Outlays (gross) .....	-5,854	-6,875	-6,722
3040 Recoveries of prior year unpaid obligations, unexpired .....	-57	.....	.....
3050 Unpaid obligations, end of year .....	6,140	6,410	5,754
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-442	-459	-459
3070 Change in uncollected pymts, Fed sources, unexpired .....	-17	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-459	-459	-459
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,638	5,681	5,951
3200 Obligated balance, end of year .....	5,681	5,951	5,295
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,932	7,126	6,066
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,922	2,872	2,483
4011 Outlays from discretionary balances .....	3,932	4,003	4,239
4020 Outlays, gross (total) .....	5,854	6,875	6,722
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-337	-320	-300
4033 Non-Federal sources .....	-205	-221	-220
4040 Offsets against gross budget authority and outlays (total) .....	-542	-541	-520
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-17	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2	.....	.....

4060 Additional offsets against budget authority only (total) .....	-15	.....	.....
4070 Budget authority, net (discretionary) .....	6,375	6,585	5,546
4080 Outlays, net (discretionary) .....	5,312	6,334	6,202
4180 Budget authority, net (total) .....	6,375	6,585	5,546
4190 Outlays, net (total) .....	5,312	6,334	6,202

*Advanced Scientific Computing Research.*—The Advanced Scientific Computing Research (ASCR) program supports research in applied mathematics and computer science; delivers the most advanced computational scientific applications in partnership with disciplinary science; advances computing and networking capabilities; and develops future generations of computing hardware and tools for science, in partnership with the research community and U.S. industry. The strategy to accomplish this has two thrusts: developing and maintaining world-class computing and network facilities for science; and advancing research in applied mathematics, computer science and advanced networking. The program supports the development, maintenance, and operation of large high-performance computing and network facilities, including the Leadership Computing Facilities at Oak Ridge and Argonne National Laboratories, the National Energy Research Scientific Computing Facility at Lawrence Berkeley National Laboratory, and the Energy Sciences Network.

Maximizing the benefits of U.S. leadership computing in the coming decades will require an effective national response to increasing demands for computing capabilities and performance, emerging technological challenges and opportunities, and competition with other nations. The DOE has a long history of making fundamental contributions to applied mathematics and computer science associated with strategic computing and a similar set of contributions is foreseen for machine learning (ML) and artificial intelligence (AI) in the science domain and related investments in advanced architectures and hardware. ASCR's proposed activities are in line with the Nation's Research and Development (R&D) priority for American Leadership in AI, Quantum Information Sciences (QIS), and Strategic Computing. Within the context of this coordinated federal strategy, the DOE Office of Science (SC) and the DOE National Nuclear Security Administration (NNSA) continue to partner on the Department's Exascale Computing Initiative (ECI) to overcome key exascale challenges in parallelism, energy efficiency, and reliability, leading to deployment of a diverse set of exascale systems in the calendar year 2021–2022 timeframe. The ECI's goal for an exascale-capable system is a five-fold increase in sustained performance over the Summit HPC system at Oak Ridge National Laboratory, with applications that address next-generation science, engineering, and data problems. The ECI focuses on delivering advanced simulation through an exascale-capable computing program, emphasizing sustained performance in science and national security mission applications and increased convergence between exascale and large-data analytic computing.

*Basic Energy Sciences.*—The Basic Energy Sciences (BES) program supports fundamental research to understand, predict, and ultimately control matter and energy at the electronic, atomic, and molecular levels in order to provide the foundations for new energy technologies and to support DOE missions in energy, environment, and national security. Key to exploiting such discoveries is the ability to create new materials using sophisticated synthesis and processing techniques, precisely define the atomic arrangements in matter, and control physical and chemical transformations. The energy systems of the future will revolve around materials and chemical changes that convert energy from one form to another. The research disciplines that BES supports—condensed matter and materials physics, chemistry, geosciences, and aspects of biosciences—are those that discover new materials and design new chemical processes that touch virtually every important aspect of energy resources, production, conversion, transmission, storage, efficiency, and waste mitigation. BES research provides a knowledge base to help understand, predict, and ultimately control the natural world and helps build the foundation for achieving a secure and sustainable energy future. BES also supports world-class, open-access scientific user facilities consisting of a complementary set of intense x-ray sources, neutron sources, and research centers for nanoscale science. BES facilities

probe materials with ultrahigh spatial, temporal, and energy resolutions to investigate the critical functions of matter—transport, reactivity, fields, excitations, and motion—and answer some of the most challenging grand science questions. BES-supported activities are entering a new era in which materials can be built with atom-by-atom precision and computational models can predict the behavior of materials before they exist. DOE envisions a future in which the cross-cutting field of QIS increasingly drives these scientific frontiers and innovations toward realizing the full potential of quantum-based applications, from computing, to communication, to sensing. This will require precise control at the atomic and molecular levels for the understanding, design, prediction, synthesis, fabrication, and integration of quantum systems. In support of the National Quantum Initiative, SC QIS Centers, coupled with a robust core research portfolio stewarded by the individual SC programs including BES, will create the ecosystem across universities, national labs, and industry that is needed to foster these developments.

*Biological and Environmental Research.*—The Biological and Environmental Research (BER) program supports fundamental research and provides scientific user facilities to achieve a predictive understanding of complex biological, earth, and environmental systems for energy and infrastructure resilience and sustainability. The program seeks to understand the biological, biogeochemical, and physical principles needed to predict a continuum of processes from the molecular and genomics-controlled smallest scales to environmental and ecological processes. Starting with the genetic potential encoded by organisms' genomes, BER Biological System Science research approaches include genome sequencing, proteomics, metabolomics, structural biology, high-resolution imaging and characterization, and integration of information into computational models that can be iteratively tested and validated. This can enable more confident redesign of microbes and plants for sustainable biofuels production, improved carbon storage, and controlled biological transformation of materials such as nutrients and metals in the environment. BER Earth and Environmental Systems Sciences research advances the fundamental scientific analysis and modeling of the sensitivity and uncertainty of earth system predictions to atmospheric, cryospheric, oceanic, and biogeochemical processes. The Subsurface Biogeochemistry Research activity will focus on watershed scale hydro-biogeochemical modeling. Investments will continue to support the E3SM (Energy Exascale Earth System Model) capability, tailored to DOE requirements for a variety of scenarios applied to spatial scales as small as 10 kilometers. The DOE Joint Genome Institute (JGI) will continue to be an essential component for DOE systems biology efforts, providing high quality genome sequence data and analysis techniques for a wide variety of plants and microbial communities. The JGI will continue to implement its strategic plan to incorporate new capabilities to sequence DNA and also to interpret, manipulate, and synthesize DNA in support of sustainable, renewable bioenergy and bioproducts research, and environmental research.

*Fusion Energy Sciences.*—The Fusion Energy Sciences (FES) program mission is to expand the fundamental understanding of matter at very high temperatures and densities and to build the scientific foundation needed to develop a fusion energy source. This is accomplished through the study of plasma, the fourth state of matter, and how it interacts with its surroundings. Plasma science is wide-ranging, since 99% of the visible universe is composed of plasmas of various types. High-temperature fusion plasmas at hundreds of millions of degrees occur in national security applications albeit for very short times. The same fusion plasmas may be exploited in the laboratory in controlled fashion to become the basis for a future clean nuclear power source, which could provide domestic energy independence and security.

The FES program has three elements: 1) Burning Plasma Science: Foundations — The behavior of magnetically confined fusion plasmas is experimentally explored on DIII-D National Fusion Facility and the National Spherical Torus Experiment-Upgrade, which are national SC user facilities. Fusion theory and simulation activities predict and interpret the

complex behavior of plasmas as self-organized systems. Several FES Scientific Discovery through Advanced Computing centers are supported in partnership with ASCR; 2) Burning Plasma Science: Long Pulse U.S. scientists take advantage of international partnerships to conduct research on superconducting tokamaks and stellarators with long-duration capabilities. Research is performed to develop novel materials that can withstand the extreme fusion environment; 3) Discovery Plasma Science: Research areas include plasma astrophysics, high-energy-density laboratory plasmas, low-temperature plasmas, and innovative measurement techniques. Investments in transformational technologies such as machine learning, QIS, microelectronics, and high-performance strategic computing will accelerate progress in several mission areas. Finally, the unique scientific challenges and rigor of fusion and plasma physics research lead to the development of a well-trained Science Technology Engineering and Mathematics-focused workforce, which will contribute to maintaining and advancing U.S. competitiveness and world-leadership in key areas of future technological and economic importance, as well as national security.

*High Energy Physics.*—The High Energy Physics (HEP) program mission is to understand how the universe works at its most fundamental level by discovering the elementary constituents of matter and energy, probing the interactions among them, and exploring the basic nature of space and time. The HEP Program offers research opportunities for individual investigators and small-scale collaborations, as well as very large international collaborations. A world-wide program of particle physics research is underway to discover what lies beyond the Standard Model. Five intertwined science drivers of particle physics provide compelling lines of inquiry that show great promise for discovery: use the Higgs boson as a new tool for discovery; pursue the physics associated with neutrino mass; identify the new physics of dark matter; understand cosmic acceleration, dark energy, and inflation; and explore new particles, interactions and physical principles. The program enables scientific discovery through a strategy organized along three frontiers of particle physics: 1) The Energy Frontier, where researchers accelerate particles to the highest energies ever made by humans and collide them to produce and study the fundamental constituents of matter. This requires some of the largest machines ever built; 2) The Intensity Frontier, where researchers use a combination of intense particle beams and highly sensitive detectors to make extremely precise measurements of particle properties, study some of the rarest particle interactions predicted by the Standard Model of particle physics, and search for new physics; and 3) The Cosmic Frontier, where researchers seek to reveal the nature of dark matter and dark energy by using naturally occurring particles to explore new phenomena. The highest-energy particles ever observed have come from cosmic sources, and the ancient light from distant galaxies allows the distribution of dark matter to be mapped and perhaps the nature of dark energy to be unraveled. Investments in Theoretical and Computational Physics, which provides the framework to explain experimental observations and gain a deeper understanding of nature, and Advanced Technology R&D, which fosters fundamental research into particle acceleration and detection techniques and instrumentation, support these three frontiers. Many of the advanced technologies and research tools originally developed for high energy physics have also proven applicable to other sciences, as well as industry, medicine, and national security.

*Nuclear Physics.*—The Nuclear Physics (NP) program mission is to discover, explore, and understand all forms of nuclear matter. Although the fundamental particles that compose nuclear matter—quarks and gluons—are themselves relatively well understood, exactly how they interact and combine to form the different types of matter observed in the universe today and during its evolution remains largely unknown. Nuclear physicists seek to understand not just the familiar forms of matter we see around us, but also exotic forms such as those which existed in the first microseconds after the birth of the cosmos and that exist today inside neutron stars. The NP program addresses three tightly interrelated scientific thrusts: 1) how the strong nuclear force assembles quarks and gluons into protons and neutrons; 2) how novel forms of bulk, strongly interacting matter behave,

SCIENCE—Continued

such as the quark-gluon plasma that formed in the early universe; and 3) the structure of nuclei; how protons and neutrons combine to form atomic nuclei and how these nuclei have arisen during the 13.8 billion years since the birth of the cosmos. NP provides ~95 percent of all nuclear science federal research funding. Highly trained university and national laboratory scientists are supported to conceive, plan, execute, and interpret transformative experiments in the U.S. and in international collaborations. NP also maintains and operates three national scientific user facilities that accelerate particles to nearly the speed of light, producing short-lived forms of matter for investigation. The Facility for Rare Isotope Beams is being constructed at Michigan State University to provide advanced world-leading capabilities for science, national security applications, and isotopes. Low energy, precision nuclear experiments, many enabled by new quantum sensors, are used to search for a deeper understanding of nuclear interactions. Also within NP, the DOE Isotope Program supports high-priority research on the development of cutting-edge approaches for producing isotopes critical to the nation in basic research and applications, including ground breaking research on the production of alpha emitting isotopes in sufficient quantity to enable clinical trials for cancer therapy. Mission readiness is provided for the production of radioactive and stable isotopes that are in short supply for research and a wide array of applications. Stable and radioactive isotopes are vital to the missions of many Federal agencies including the National Institutes of Health, the National Institute of Standards and Technology, the Department of Agriculture, Department of Homeland Security, NNSA, and DOE SC programs. NP continues to work in close collaboration with all federal organizations to develop strategic plans for isotope production and to establish effective communication to better forecast isotope needs and leverage resources.

**Science Laboratories Infrastructure .**—The Science Laboratories Infrastructure (SLI) program supports scientific and technological innovation at the SC laboratories by funding and sustaining mission-ready infrastructure and fostering safe and environmentally responsible operations. The program provides state-of-the-art facilities and infrastructure that are flexible, reliable, and sustainable in support of scientific discovery. The SLI program also funds Payments in Lieu of Taxes to local communities around the Argonne, Brookhaven, and Oak Ridge National Laboratories. The SLI program continues to focus on improving infrastructure across the SC national laboratory complex. The FY 2020 Budget includes funding for five new construction starts and six on-going construction projects.

**Safeguards and Security.**—The Safeguards and Security (S&S) program is designed to ensure appropriate security measures are in place to support the SC mission requirement of open scientific research and to protect critical assets within SC laboratories. This is accomplished by providing physical controls that will mitigate possible risks to the laboratories' employees, nuclear and special materials, classified and sensitive information, and facilities. The S&S program also provides funding for cyber security for the laboratories' information technology systems to protect electronic data while enabling the SC mission.

**Workforce Development for Teachers and Scientists.**—The Workforce Development for Teachers and Scientists (WDTS) program mission is to help ensure that DOE has a sustained pipeline of science, technology, engineering, and mathematics (STEM) workers. This is accomplished through support of undergraduate internships, and graduate thesis research at the DOE laboratories; and annual, nationwide, middle- and high-school science competitions culminating in the National Science Bowl in Washington, D.C. These investments help develop the next generation of scientists and engineers to support the DOE mission, administer programs, and conduct research.

**Program Direction.**—Science Program Direction supports a highly skilled Federal workforce to develop and oversee SC investments in research and scientific user facilities. SC investments deliver scientific discoveries and major scientific tools that transform our understanding of nature and advance the energy, economic, and national security of the United States. In

addition, SC provides public access to DOE scientific findings to further leverage the Federal science investment and advance the scientific enterprise. SC requires highly skilled scientific and technical program and project managers, as well as experts in areas such as acquisition, finance, legal, construction, and infrastructure management, human resources, and environmental, safety, and health oversight. SC plans, executes, and manages basic science research programs that address critical national needs. Oversight of DOE's basic research portfolio, which includes extramural grants and contracts supporting about 22,000 researchers located at over 300 institutions and the 17 DOE national laboratories, spanning all fifty states and the District of Columbia and 27 scientific user facilities serving nearly 33,000 users per year, as well as supervision of major construction projects, is a Federal responsibility.

Object Classification (in millions of dollars)

Identification code 089-0222-0-1-251	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	98	98	98
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	103	103	103
12.1 Civilian personnel benefits .....	32	32	32
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	25	25	25
25.2 Other services from non-Federal sources .....	36	36	36
25.3 Other goods and services from Federal sources .....	21	21	21
25.4 Operation and maintenance of facilities .....	3,567	3,786	2,937
25.5 Research and development contracts .....	12	12	12
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	195	195	195
32.0 Land and structures .....	1,164	1,164	1,064
41.0 Grants, subsidies, and contributions .....	1,219	1,219	1,110
99.0 Direct obligations .....	6,385	6,604	5,546
99.0 Reimbursable obligations .....	586	541	520
99.9 Total new obligations, unexpired accounts .....	6,971	7,145	6,066

Employment Summary

Identification code 089-0222-0-1-251	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	798	810	797

ADVANCED RESEARCH PROJECTS AGENCY—ENERGY

(INCLUDING CANCELLATION OF FUNDS)

¶For Department of Energy expenses necessary in carrying out the activities authorized by section 5012 of the America COMPETES Act (Public Law 110-69), \$366,000,000, to remain available until expended: *Provided*, That of such amount, \$31,250,000 shall be available until September 30, 2020, for program direction. ¶Of the unobligated balances from prior year appropriations available under this heading, \$287,000,000 is hereby permanently cancelled: *Provided*, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 089-0337-0-1-270	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 ARPA-E Projects .....	155	352	.....
0002 Program Direction .....	30	31	22
0799 Total direct obligations .....	185	383	22

0801	Advanced Research Projects Agency - Energy (Reimbursable)	1	1	
0900	Total new obligations, unexpired accounts	186	384	22
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	256	450	433
1021	Recoveries of prior year unpaid obligations	26		
1050	Unobligated balance (total)	282	450	433
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	353	366	
1131	Unobligated balance of appropriations permanently reduced			-287
1160	Appropriation, discretionary (total)	353	366	-287
Spending authority from offsetting collections, discretionary:				
1701	Change in uncollected payments, Federal sources	1	1	1
1900	Budget authority (total)	354	367	-286
1930	Total budgetary resources available	636	817	147
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	450	433	125
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	501	409	497
3010	New obligations, unexpired accounts	186	384	22
3020	Outlays (gross)	-252	-296	-347
3040	Recoveries of prior year unpaid obligations, unexpired	-26		
3050	Unpaid obligations, end of year	409	497	172
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-1	-1	-1
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-1	-2	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	500	408	495
3200	Obligated balance, end of year	408	495	169
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	354	367	-286
Outlays, gross:				
4010	Outlays from new discretionary authority	22	110	-287
4011	Outlays from discretionary balances	230	186	634
4020	Outlays, gross (total)	252	296	347
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1	-1	-1
4052	Offsetting collections credited to expired accounts	1	1	1
4070	Budget authority, net (discretionary)	353	366	-287
4080	Outlays, net (discretionary)	251	295	346
4180	Budget authority, net (total)	353	366	-287
4190	Outlays, net (total)	251	295	346

The U.S. Department of Energy's Advanced Research Projects Agency-Energy (ARPA-E) was established by the America COMPETES Act of 2007 (Public Law 110-69), as amended. ARPA-E requests no additional appropriation and requests the cancellation of \$287,000,000 in unobligated balances. ARPA-E will utilize the remainder of its unobligated balances to execute the multi-year termination of the program, with all operations ceasing by FY 2022.

**Object Classification** (in millions of dollars)

Identification code 089-0337-0-1-270	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	2	4	4
11.3	Other than full-time permanent	5	5	2
11.9	Total personnel compensation	7	9	6
12.1	Civilian personnel benefits	2	4	4
25.1	Advisory and assistance services	9	19	6
25.2	Other services from non-Federal sources	13	26	2
25.3	Other goods and services from Federal sources	4	8	2
25.4	Operation and maintenance of facilities	17	34	
25.5	Research and development contracts	132	281	

31.0	Equipment	1	2	2
99.0	Direct obligations	185	383	22
99.0	Reimbursable obligations	1	1	
99.9	Total new obligations, unexpired accounts	186	384	22

**Employment Summary**

Identification code 089-0337-0-1-270	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	48	60	48

**ENERGY SUPPLY AND CONSERVATION**

**Program and Financing** (in millions of dollars)

Identification code 089-0224-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6	6	6
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	7	6	6
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1701	Change in uncollected payments, Federal sources	-1		
1930	Total budgetary resources available	6	6	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	6	6	6
<b>Change in obligated balance:</b>				
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired	1		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-2	-1	-1
3200	Obligated balance, end of year	-1	-1	-1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	-1		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	2		
4080	Outlays, net (discretionary)	-1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-1		

**NUCLEAR ENERGY**

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for nuclear energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$1,326,090,000,] \$824,000,000**, to remain available until expended: *Provided*, That of such amount, **[\$80,000,000] \$64,350,000** shall be available until September 30, **[2020] 2021**, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0319-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0010	Naval Reactors Development	86	86	
0032	Reactor Concepts RD&D	207	324	215
0041	Fuel Cycle R&D	256	264	90
0042	Integrated University Program		5	

NUCLEAR ENERGY—Continued  
Program and Financing—Continued

Identification code 089-0319-0-1-999	2018 actual	2019 est.	2020 est.
0043 Nuclear Energy Enabling Technologies R&D .....	137	153	98
0091 Research and Development programs, subtotal .....	686	832	403
0301 Radiological Facilities Management .....	29	29	9
0401 Idaho Facilities Management .....	294	318	209
0450 Idaho National Laboratory safeguards and security .....	133	146	138
0451 International Nuclear Safety .....	4		
0491 Infrastructure programs, subtotal .....	431	464	347
0501 Small Modular Reactor Licensing Technical Support Program .....	10		
0502 Supercritical Transformational Electric Power Generation .....	5	5	
0551 Program Direction .....	81	80	64
0552 International Nuclear Energy Cooperation .....	3	3	
0591 Other direct program activities, subtotal .....	99	88	64
0799 Total direct obligations .....	1,245	1,413	823
0801 Nuclear Energy (Reimbursable) .....	143	143	138
0900 Total new obligations, unexpired accounts .....	1,388	1,556	961
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	82	58
1011 Unobligated balance transfer from other acct [072-0306] ....	4		
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	62	82	58
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,205	1,326	824
1120 Appropriations transferred to other accts [089-0222] .....	-23		
1121 Appropriations transferred from other acct [089-0314] ....	86	86	
1160 Appropriation, discretionary (total) .....	1,268	1,412	824
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	133	120	120
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	140	120	120
1900 Budget authority (total) .....	1,408	1,532	944
1930 Total budgetary resources available .....	1,470	1,614	1,002
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	82	58	41
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	812	1,035	1,003
3010 New obligations, unexpired accounts .....	1,388	1,556	961
3020 Outlays (gross) .....	-1,148	-1,588	-1,341
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	1,035	1,003	623
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-72	-79	-79
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3090 Uncollected pymts, Fed sources, end of year .....	-79	-79	-79
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	740	956	924
3200 Obligated balance, end of year .....	956	924	544
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,408	1,532	944
Outlays, gross:			
4010 Outlays from new discretionary authority .....	522	825	533
4011 Outlays from discretionary balances .....	626	763	808
4020 Outlays, gross (total) .....	1,148	1,588	1,341
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-108	-120	-120
4033 Non-Federal sources .....	-25		
4040 Offsets against gross budget authority and outlays (total) ....	-133	-120	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		
4070 Budget authority, net (discretionary) .....	1,268	1,412	824
4080 Outlays, net (discretionary) .....	1,015	1,468	1,221
4180 Budget authority, net (total) .....	1,268	1,412	824
4190 Outlays, net (total) .....	1,015	1,468	1,221

The Office of Nuclear Energy (NE) funds a broad range of research and development (R&D) activities and supports Federal nuclear energy R&D infrastructure. The FY 2020 Budget continues programmatic support for advanced reactor R&D activities; fuel cycle R&D; and the safe, environmentally compliant, and cost-effective operation of the Department's facilities vital to nuclear energy R&D activities.

*Reactor Concepts Research, Development and Demonstration.*—This program conducts R&D on new and advanced reactor designs and technologies, including small modular reactors, and on advanced technologies for light water reactors (LWR).

*Fuel Cycle Research and Development.*—This program conducts R&D on advanced fuel cycle technologies that have the potential to improve resource utilization and energy generation, reduce waste generation, enhance safety, and mitigate proliferation risk.

*Nuclear Energy Enabling Technologies.*—This program conducts R&D and strategic infrastructure investments to develop innovative and crosscutting nuclear energy technologies, including investments in modeling and simulation tools and providing access to unique nuclear energy research capabilities through the Nuclear Science User Facilities (NSUF).

*Radiological Facilities Management.*—This program supports the continued operation of U.S. university research reactors by providing university research reactor fuel services, as well as maintenance of, and safety upgrades to, fuel fabrication equipment and facilities.

*Idaho Facilities Management.*—This program manages the planning, acquisition, operation, maintenance, and disposition of the NE-owned facilities and capabilities at the Idaho National Laboratory (INL), maintains Department of Energy mission-supporting facilities and capabilities at the INL in a safe, compliant status to support the Department's nuclear energy research, testing of naval reactor fuels and reactor core components, and a diverse range of national security technology programs that support the National Nuclear Security Administration (NNSA) and other Federal agencies such as the Department of Homeland Security in the areas of critical infrastructure protection, nuclear nonproliferation, and incident response.

*Idaho Site-wide Safeguards and Security.*—This program supports the INL complex nuclear facility infrastructure and enables R&D in support of multiple program missions.

*Program Direction.*—This program provides the federal staffing resources and associated costs required to support the overall direction and execution of the NE programs.

Object Classification (in millions of dollars)

Identification code 089-0319-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	38	40	25
11.5 Other personnel compensation .....	1	2	1
11.9 Total personnel compensation .....	39	42	26
12.1 Civilian personnel benefits .....	13	25	9
21.0 Travel and transportation of persons .....	2	10	2
25.1 Advisory and assistance services .....	11	20	8
25.1 Other Contractual Services .....	2	5	2
25.2 Other services from non-Federal sources .....	158	175	110
25.3 Other goods and services from Federal sources .....	10	20	5
25.4 Operation and maintenance of facilities .....	911	969	616
25.7 Operation and maintenance of equipment .....	2	5	2
26.0 Supplies and materials .....	1	2	1
31.0 Equipment .....	20	30	11
32.0 Land and structures .....	24	35	13
41.0 Grants, subsidies, and contributions .....	52	75	20
99.0 Direct obligations .....	1,245	1,413	825
99.0 Reimbursable obligations .....	143	143	136
99.9 Total new obligations, unexpired accounts .....	1,388	1,556	961

Employment Summary

Identification code 089-0319-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	304	291	256

2001 Reimbursable civilian full-time equivalent employment ..... 2 .....

**ELECTRICITY [DELIVERY]**

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for electricity delivery activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$156,000,000]** \$182,500,000, to remain available until expended: Provided, That of such amount, **[\$17,000,000]** \$19,600,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0318-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 Transmission reliability and resiliency .....	37	45	70
0012 Resilient distribution systems .....	37	43	39
0013 Cybersecurity for Energy Delivery Systems .....	91	.....	.....
0014 Energy Storage .....	39	46	49
0015 Transformer Resilience and Advanced Components .....	1	13	11
0020 Infrastructure security and energy restoration .....	16	.....	.....
0030 Transmission permitting and technical assistance .....	8	7	17
0040 Program Direction .....	28	23	23
0799 Total direct obligations .....	257	177	209
0801 Reimbursable work .....	9	3	3
0809 Reimbursable program activities, subtotal .....	9	3	3
0900 Total new obligations, unexpired accounts .....	266	180	212
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	41	23
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	32	41	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	261	156	183
1120 Appropriations transferred to other accts [089-0222] .....	-6	.....	.....
1160 Appropriation, discretionary (total) .....	255	156	183
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	3	3
1701 Change in uncollected payments, Federal sources .....	13	3	3
1750 Spending auth from offsetting collections, disc (total) .....	20	6	6
1900 Budget authority (total) .....	275	162	189
1930 Total budgetary resources available .....	307	203	212
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	41	23	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	285	352	316
3010 New obligations, unexpired accounts .....	266	180	212
3020 Outlays (gross) .....	-194	-216	-226
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	352	316	302
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-18	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-18	-21	-24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	280	334	295
3200 Obligated balance, end of year .....	334	295	278
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	275	162	189
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38	68	79
4011 Outlays from discretionary balances .....	156	148	147
4020 Outlays, gross (total) .....	194	216	226

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-3	-3
4040 Offsets against gross budget authority and outlays (total) ....	-7	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13	-3	-3
4070 Budget authority, net (discretionary) .....	255	156	183
4080 Outlays, net (discretionary) .....	187	213	223
4180 Budget authority, net (total) .....	255	156	183
4190 Outlays, net (total) .....	187	213	223

The mission of the Office of Electricity (OE) is to drive electric grid modernization and resiliency in energy infrastructure. OE leads the Department of Energy's efforts to strengthen, transform, and improve energy infrastructure so that consumers have access to reliable, secure, and clean sources of energy. OE programs include:

**Transmission Reliability and Resilience (TRR).**—The TRR program helps improve the reliability and resilience of the U.S. electric grid through early stage and foundational research and development (R&D) focused on measurement and control of the electricity system, as well as model development and validation for assessing risks across integrated energy systems.

**Resilient Distribution Systems (RDS).**—The RDS program focuses on addressing the challenges facing the electric power grid by developing the innovative technologies, tools, and techniques to modernize the distribution portion of the electric delivery system. RDS pursues strategic investments to improve reliability, resilience, outage recovery, and operational efficiency, building upon previous and ongoing grid modernization efforts.

**Energy Storage.**—The Energy Storage program, including the Department-wide Advanced Energy Storage Initiative, helps ensure the stability, reliability, and resilience of electricity infrastructure by accelerating the development of new materials and device technologies that can lead to significant improvements in the cost and performance of energy storage systems and accelerated adoption of the energy storage solutions into the grid infrastructure.

**Transformer Resilience and Advanced Components (TRAC).**—The TRAC program supports modernization, hardening, and resilience of the grid by addressing challenges facing transformers and other critical grid hardware components that carry and control electricity from where it is generated to where it is used. Research in advanced materials, components, and devices will provide the fundamental physical capabilities and enhancements required to accommodate a rapidly changing power system, ensure all-hazards resilience to a more complex threat environment, and encourage the adoption of new technologies and approaches.

**Transmission Permitting & Technical Assistance (TPTA).**—The TPTA program provides electricity policy technical assistance to Federal, State, territorial, regional and tribal entities to help them develop and improve programs, policies, and laws that facilitate the development of reliable, resilient and affordable electricity infrastructure. The program also implements a number of legal authorities, such as coordination of transmission permitting by Federal agencies, permitting of cross-border transmission facilities, and supporting actions by the Secretary of Energy during electricity emergencies.

**Program Direction.**—Program Direction provides for the costs associated with the Federal workforce and contractor services that support OE's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

**Object Classification** (in millions of dollars)

Identification code 089-0318-0-1-271	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	12	6	9
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	13	7	10
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	27	27	27

ELECTRICITY—Continued  
Object Classification—Continued

Identification code 089-0318-0-1-271	2018 actual	2019 est.	2020 est.
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	3	3	3
25.4 Operation and maintenance of facilities .....	140	68	39
25.5 Research and development contracts .....	58	56	89
32.0 Land and structures .....	5	5	30
99.0 Direct obligations .....	257	177	209
99.0 Reimbursable obligations .....	9	3	3
99.9 Total new obligations, unexpired accounts .....	266	180	212

Employment Summary

Identification code 089-0318-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	61	64	74
2001 Reimbursable civilian full-time equivalent employment .....	28	10	28

CYBERSECURITY, ENERGY SECURITY, AND EMERGENCY RESPONSE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy sector cybersecurity, energy security, and emergency response activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$120,000,000]** \$156,500,000, to remain available until expended: *Provided*, That of such amount, \$11,500,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-2250-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Cybersecurity for energy delivery systems .....		89	75
0020 Infrastructure security and energy restoration .....		19	70
0030 Program direction .....		12	12
0900 Total new obligations, unexpired accounts .....		120	157
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		120	157
1930 Total budgetary resources available .....		120	157
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			72
3010 New obligations, unexpired accounts .....		120	157
3020 Outlays (gross) .....		-48	-99
3050 Unpaid obligations, end of year .....		72	130
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			72
3200 Obligated balance, end of year .....		72	130
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		120	157
Outlays, gross:			
4010 Outlays from new discretionary authority .....		48	63
4011 Outlays from discretionary balances .....			36
4020 Outlays, gross (total) .....		48	99
4180 Budget authority, net (total) .....		120	157
4190 Outlays, net (total) .....		48	99

The Office of Cybersecurity, Energy Security, and Emergency Response (CESER) leads the Department's efforts to secure U.S. energy infrastructure against all hazards, reduce the risks of and impacts from cyber events and other disruptive events, and assists with restoration activities. Prior to FY

2019, CESER activities were funded under the Office of Electricity Delivery and Energy Reliability, now known as the Office of Electricity. Programs include:

*Cybersecurity for Energy Delivery System (CEDS).*—The CEDS program seeks to enhance the reliability and resilience of the Nation's energy infrastructure through near- and long-term activities to strengthen energy sector cybersecurity across the Nation. Working closely with the energy sector and our government partners, CEDS focuses on enhancing the speed and effectiveness of threat and vulnerability sharing and accelerating R&D to mitigate cyber incidents in today's systems and to develop next-generation resilient energy delivery systems while developing analyses to quantify the resulting relative risk reduction.

*Infrastructure Security and Energy Restoration (ISER).*—The ISER program coordinates a national effort to secure the U.S. energy infrastructure against all hazards, reduce impacts from disruptive events, and assist industry with restoration activities. ISER delivers a range of capabilities including energy sector emergency response and recovery (including emergency response of a cyber nature); near-real-time situational awareness and information sharing about the status of the energy systems to improve risk management; analysis of evolving threats and hazards to energy infrastructure; and technical assistance that incorporates exercises in order to strengthen Federal, regional, State, tribal, and territorial abilities to work together to prepare for and mitigate the effects of an energy sector emergency.

*Program Direction.*—Program Direction provides for the costs associated with the Federal workforce and contractor services that support CESER's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

Object Classification (in millions of dollars)

Identification code 089-2250-0-1-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....		4	4
11.9 Total personnel compensation .....		4	4
12.1 Civilian personnel benefits .....		5	5
21.0 Travel and transportation of persons .....		1	1
25.1 Advisory and assistance services .....		21	29
25.2 Other services from non-Federal sources .....		3	4
25.3 Other goods and services from Federal sources .....		2	3
25.4 Operation and maintenance of facilities .....		34	45
25.5 Research and development contracts .....		46	61
32.0 Land and structures .....		4	5
99.9 Total new obligations, unexpired accounts .....		120	157

Employment Summary

Identification code 089-2250-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		34	36

ENERGY EFFICIENCY AND RENEWABLE ENERGY

(INCLUDING USE OF PRIOR YEAR BALANCES)

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy efficiency and renewable energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$2,379,000,000]** \$696,000,000, to remain available until expended, of which \$353,000,000 shall be derived from prior year unobligated balances previously appropriated under this heading: *Provided*, That of **[such amount]** the amount made available under this heading in this Act, **[\$162,500,000]** \$122,000,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0321-0-1-270	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Vehicle Technologies .....	356	398	73
0002 Bioenergy Technologies .....	289	261	40
0003 Hydrogen & Fuel Cell Technologies .....	114	139	44
0091 Sustainable Transportation, subtotal .....	759	798	157
0101 Solar Energy .....	249	286	67
0102 Wind Energy .....	94	106	24
0103 Water Power .....	66	121	45
0104 Geothermal Technologies .....	39	97	28
0191 Renewable Electricity, subtotal .....	448	610	164
0201 Advanced Manufacturing .....	266	370	81
0202 Building Technologies .....	173	254	57
0203 Weatherization & Intergovernmental Activities .....	301	361	.....
0204 Federal Energy Management Program .....	31	35	8
0291 Energy Efficiency, subtotal .....	771	1,020	146
0301 Program Direction & Support .....	162	194	107
0302 Strategic Programs .....	13	16	122
0303 Facilities & Infrastructure .....	96	112	.....
0391 EERE Corporate Support, subtotal .....	271	322	229
0799 Total direct obligations .....	2,249	2,750	696
0810 Energy Efficiency and Renewable Energy (Reimbursable) .....	153	153	128
0900 Total new obligations, unexpired accounts .....	2,402	2,903	824
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	574	666	415
1010 Unobligated balance transfer to other accts [089-0222] .....	-1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	83	120	.....
1050 Unobligated balance (total) .....	656	786	415
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,322	2,379	343
1120 Appropriations transferred to other accts [089-0222] .....	-58	.....	.....
1160 Appropriation, discretionary (total) .....	2,264	2,379	343
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	150	153	153
1701 Change in uncollected payments, Federal sources .....	-2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	148	153	153
1900 Budget authority (total) .....	2,412	2,532	496
1930 Total budgetary resources available .....	3,068	3,318	911
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	666	415	87
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,632	3,077	3,591
3010 New obligations, unexpired accounts .....	2,402	2,903	824
3020 Outlays (gross) .....	-1,872	-2,269	-1,881
3040 Recoveries of prior year unpaid obligations, unexpired .....	-83	-120	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	3,077	3,591	2,534
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-73	-70	-70
3070 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-70	-70	-70
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,559	3,007	3,521
3200 Obligated balance, end of year .....	3,007	3,521	2,464
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,412	2,532	496
Outlays, gross:			
4010 Outlays from new discretionary authority .....	331	797	249
4011 Outlays from discretionary balances .....	1,541	1,472	1,632
4020 Outlays, gross (total) .....	1,872	2,269	1,881
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-70	-104	-76
4033 Non-Federal sources .....	-81	-105	-77
4040 Offsets against gross budget authority and outlays (total) ...	-151	-209	-153

Identification code	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	2	.....	.....
4052 Offsetting collections credited to expired accounts .....	1	56	.....
4060 Additional offsets against budget authority only (total) .....	3	56	.....
4070 Budget authority, net (discretionary) .....	2,264	2,379	343
4080 Outlays, net (discretionary) .....	1,721	2,060	1,728
4180 Budget authority, net (total) .....	2,264	2,379	343
4190 Outlays, net (total) .....	1,721	2,060	1,728

The Office of Energy Efficiency and Renewable Energy (EERE) invests in research and development (R&D) as part of the Department of Energy's (DOE) broad portfolio approach to address our Nation's energy and environmental challenges. EERE works closely with the National Laboratories, and with many of America's best innovators and businesses, to support high-impact, early-stage applied R&D in sustainable transportation, renewable power, and energy efficiency, relying upon the private sector to fund later-stage research, development, and commercialization of energy technologies. EERE's investment portfolio is strongly positioned to support American energy independence and domestic job-growth in the near to mid-term, while maintaining proper stewardship of taxpayer dollars.

**Sustainable Transportation.**—Conducts early-stage R&D through program offices focused on vehicle technologies, bioenergy, and hydrogen and fuel cell technologies to support industry development of clean, domestic fuels and efficient, convenient, and affordable transportation choices that improve U.S. energy security, economic productivity, and environmental quality.

**Renewable Power.**—Conducts early-stage R&D through program offices focused on solar, wind, water, and geothermal energy technologies to support industry development of affordable and reliable, renewable electricity options that improve the resilience and security of the electricity grid.

**Energy Efficiency.**—Conducts early-stage R&D through program offices focused on advanced manufacturing and building technologies to strengthen the body of knowledge that supports industry improvement to the energy productivity, affordability, and energy security of our buildings and manufacturing sectors. Also funds the development of statutorily mandated efficiency standards and provides Federal energy management technical assistance.

**Corporate Programs.**—Supports EERE operations and management through program direction (e.g., salaries and benefits, support services, working capital, etc.) and facilities and infrastructure at the National Renewable Energy Laboratory (e.g., general plant projects, general purpose equipment, safeguards and security, etc.).

**Object Classification** (in millions of dollars)

Identification code 089-0321-0-1-270	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	73	76	57
11.3 Other than full-time permanent .....	2	2	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	76	79	59
12.1 Civilian personnel benefits .....	24	25	18
21.0 Travel and transportation of persons .....	3	3	2
23.3 Communications, utilities, and miscellaneous charges .....	3	3	2
25.1 Advisory and assistance services .....	109	128	53
25.2 Other services from non-Federal sources .....	10	12	4
25.3 Other goods and services from Federal sources .....	29	35	27
25.4 Operation and maintenance of facilities .....	1,223	1,580	454
25.5 Research and development contracts .....	115	132	64
31.0 Equipment .....	10	12	13
41.0 Grants, subsidies, and contributions .....	647	741	.....
99.0 Direct obligations .....	2,249	2,750	696
99.0 Reimbursable obligations .....	153	153	128
99.9 Total new obligations, unexpired accounts .....	2,402	2,903	824

ENERGY EFFICIENCY AND RENEWABLE ENERGY—Continued

Employment Summary

Identification code 089-0321-0-1-270	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	604	625	461

OFFICE OF INDIAN ENERGY POLICY AND PROGRAMS

For necessary expenses for Indian Energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), **[\$18,000,000]** \$8,000,000, to remain available until expended: *Provided*, That, of the amount appropriated under this heading, **[\$4,800,000]** \$3,521,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-0342-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Direct program activity .....		14	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....		18	8
1930 Total budgetary resources available .....		18	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		4	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			7
3010 New obligations, unexpired accounts .....		14	12
3020 Outlays (gross) .....		-7	-10
3050 Unpaid obligations, end of year .....		7	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			7
3200 Obligated balance, end of year .....		7	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		18	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....		7	3
4011 Outlays from discretionary balances .....			7
4020 Outlays, gross (total) .....		7	10
4180 Budget authority, net (total) .....		18	8
4190 Outlays, net (total) .....		7	10

Office of Indian Energy Policy and Programs (IE).—Directs, fosters, coordinates, and implements energy planning, education, management, and competitive grant programs that assist Tribes with clean energy development and infrastructure, capacity building, energy costs, and electrification of Indian lands and homes. IE coordinates programmatic activity across the Department related to development of clean energy resources on Indian lands, and works with other federal government agencies, Indian Tribes, and Tribal organizations to promote Indian energy policies and initiatives.

Object Classification (in millions of dollars)

Identification code 089-0342-0-1-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....		1	1
11.9 Total personnel compensation .....		1	1
12.1 Civilian personnel benefits .....		1	1
21.0 Travel and transportation of persons .....		1	1
25.1 Advisory and assistance services .....		2	1
26.0 Supplies and materials .....		1	1
41.0 Grants, subsidies, and contributions .....		8	7
99.9 Total new obligations, unexpired accounts .....		14	12

Employment Summary

Identification code 089-0342-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		7	7

NON-DEFENSE ENVIRONMENTAL CLEANUP

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$310,000,000]** \$247,480,000, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-0315-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Fast Flux Test Facility .....	2	2	2
0003 Gaseous Diffusion Plants .....	106	101	103
0004 Small Sites .....	108	132	67
0005 West Valley Demonstration Project .....	75	75	75
0799 Total direct obligations .....	291	310	247
0801 Non-defense Environmental Cleanup (Reimbursable) .....	34	29	29
0900 Total new obligations, unexpired accounts .....	325	339	276
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		13	13
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	7	13	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	298	310	247
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	34	29	29
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	33	29	29
1900 Budget authority (total) .....	331	339	276
1930 Total budgetary resources available .....	338	352	289
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	127	154	161
3010 New obligations, unexpired accounts .....	325	339	276
3020 Outlays (gross) .....	-291	-332	-333
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	154	161	104
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	126	154	161
3200 Obligated balance, end of year .....	154	161	104
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	331	339	276
Outlays, gross:			
4010 Outlays from new discretionary authority .....	197	246	202
4011 Outlays from discretionary balances .....	94	86	131
4020 Outlays, gross (total) .....	291	332	333
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4033 Non-Federal sources .....	-33	-29	-29
4040 Offsets against gross budget authority and outlays (total) ....	-34	-29	-29
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		

4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	298	310	247
4080	Outlays, net (discretionary) .....	257	303	304
4180	Budget authority, net (total) .....	298	310	247
4190	Outlays, net (total) .....	257	303	304

The Non-Defense Environmental Cleanup program includes funds to manage and clean up sites used for civilian energy research and non-defense related activities. These activities resulted in radioactive, hazardous, and mixed waste contamination that requires remediation, stabilization, or some other type of corrective action, as well as the decontamination and decommissioning of former research and production buildings and supporting infrastructure. The budget displays the cleanup program by site and activity.

*West Valley Demonstration Project.*—Funds waste disposition, building decontamination, and removal of non-essential facilities in the near-term.

*Gaseous Diffusion Plants.*—Funds surveillance and maintenance of the former Uranium Program facilities and manages legacy polychlorinated biphenyl contamination. The program also includes the operation of two depleted uranium hexafluoride conversion facilities at Paducah, Kentucky, and Portsmouth, Ohio, which are converting the depleted uranium hexafluoride into a more stable form for reuse or disposition.

*Fast Flux Test Facility.*—Funds the long-term surveillance and maintenance and eventual decontamination and decommissioning of the Fast Flux Test Facility, constructed and operated from the 1960s through 1980s.

*Small Sites.*—Funds cleanup, closure, and post-closure environmental activities at a number of geographic sites across the nation, including the Energy Technology Engineering Center and Moab, as well as non-defense activities at Idaho and Oak Ridge. Some sites are associated with other Department of Energy programs, particularly the Office of Science, and will have continuing missions after EM completes the cleanup. Others will transition to the Office of Legacy Management or private-sector entities for post-closure activities.

**Object Classification** (in millions of dollars)

Identification code 089-0315-0-1-271	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	21	22	18
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	259	276	219
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	8	9	7
99.0 Direct obligations .....	291	310	247
99.0 Reimbursable obligations .....	34	29	29
99.9 Total new obligations, unexpired accounts .....	325	339	276

**FOSSIL ENERGY RESEARCH AND DEVELOPMENT**

For Department of Energy expenses necessary in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), **[\$740,000,000]** \$562,000,000, to remain available until expended: *Provided*, That of such amount **[\$61,070,000]** \$61,045,000 shall be available until September 30, **[2020]** 2021, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0213-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Carbon Capture .....	129	101	
0003 Carbon Storage .....	99	86	
0004 Advanced Energy Systems .....	127	129	220
0005 Cross-Cutting Research .....	51	56	60
0012 Program Direction - Management .....	58	60	61

0013	Program Direction - NETL R&D .....	140		
0014	Plant and Capital Equipment .....	1		
0016	Environmental Restoration .....	1		
0017	Special Recruitment Program .....	1	1	1
0020	Natural gas technologies .....	43	51	11
0021	Unconventional FE Technologies .....	50	46	19
0022	STEP (Supercritical CO2) .....	23	22	
0024	NETL Research and Operations .....		50	40
0025	NETL Infrastructure .....		45	43
0027	Carbon Capture, Utilization and Storage .....		39	69
0028	NETL Coal R&D .....		54	38
0799	Total direct obligations .....	723	740	562
0801	Fossil Energy Research and Development (Reimbursable) .....	1		1
0900	Total new obligations, unexpired accounts .....	724	740	563

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	243	245	247
1021	Recoveries of prior year unpaid obligations .....	15		
1050	Unobligated balance (total) .....	258	245	247
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	727	740	562
1120	Appropriations transferred to other accts [089-0222] .....	-17		
1160	Appropriation, discretionary (total) .....	710	740	562
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	1	2	2
1900	Budget authority (total) .....	711	742	564
1930	Total budgetary resources available .....	969	987	811
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	245	247	248

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	734	905	951
3010	New obligations, unexpired accounts .....	724	740	563
3020	Outlays (gross) .....	-537	-694	-736
3040	Recoveries of prior year unpaid obligations, unexpired .....	-15		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	905	951	778
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	733	904	950
3200	Obligated balance, end of year .....	904	950	777

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	711	742	564
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	137	297	226
4011	Outlays from discretionary balances .....	400	397	510
4020	Outlays, gross (total) .....	537	694	736
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-1		
4033	Non-Federal sources .....		-2	-2
4040	Offsets against gross budget authority and outlays (total) .....	-1	-2	-2
4070	Budget authority, net (discretionary) .....	710	740	562
4080	Outlays, net (discretionary) .....	536	692	734
4180	Budget authority, net (total) .....	710	740	562
4190	Outlays, net (total) .....	536	692	734

The Fossil Energy Research and Development (FER&D) program conducts research that supports the Nation's ability to increase the use of domestic fossil energy resources affordably, efficiently, and cleanly. The program funds early-stage R&D with academia, national laboratories, and the private sector to generate knowledge that industry can use to develop new products and processes. Program activities, including National Energy Technology Laboratory (NETL) R&D, focus on: 1) early-stage, high-risk fossil-fueled power systems and components that address challenges of reliability and improve the efficiency of existing units; 2) cross-cutting research to bridge fundamental science and early-stage applied engineering development for advanced materials and computational systems and the utilization of coal and CO2 for the production of critical materials and

## FOSSIL ENERGY RESEARCH AND DEVELOPMENT—Continued

products; 3) early-stage R&D on transformational CO2 capture technologies applicable to both new and existing fossil-fueled facilities; and 4) CO2 storage, with emphasis on early-stage research focused on associated storage in depleted fields; offshore storage; and addressing the R&D challenges of injection. The program will also conduct early-stage research to generate new, novel understanding of shale geology and fracture dynamics for unconventional oil and natural gas resources. In addition, FER&D will conduct work focused on characterizing gas hydrates and will explore new concepts for novel technologies that could improve the reliability and operational efficiency of natural gas transmission, distribution, and storage facilities. NETL R&D includes funding for scientists, engineers, and project managers conducting both in-house and collaborative research. The NETL Infrastructure and Operations program supports the upkeep of NETL's lab footprint in three geographic locations: Morgantown, WV; Pittsburgh, PA; and Albany, OR. Program Direction provides for the Headquarters and NETL workforce responsible for the oversight and administration of FER&D.

## Object Classification (in millions of dollars)

Identification code 089-0213-0-1-271	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	61	62	62
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	63	64	64
12.1 Civilian personnel benefits .....	21	21	21
21.0 Travel and transportation of persons .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	18	18	10
25.1 Advisory and assistance services .....	141	148	100
25.2 Other services from non-Federal sources .....	12	12	10
25.3 Other goods and services from Federal sources .....	10	10	8
25.4 Operation and maintenance of facilities .....	82	84	70
25.5 Research and development contracts .....	344	352	252
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	10	10	8
32.0 Land and structures .....	12	12	10
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	723	740	562
99.0 Reimbursable obligations .....	1	.....	1
99.9 Total new obligations, unexpired accounts .....	724	740	563

## Employment Summary

Identification code 089-0213-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	517	631	666

## NAVAL PETROLEUM AND OIL SHALE RESERVES

For Department of Energy expenses necessary to carry out naval petroleum and oil shale reserve activities, **[\$10,000,000] \$14,000,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

## Program and Financing (in millions of dollars)

Identification code 089-0219-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Production and Operations .....	18	16	12
0002 Naval Petroleum and Oil Shale Reserves Program Direction .....	1	2	2
0900 Total new obligations, unexpired accounts .....	19	18	14
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	22	8	.....

## Budget authority:

<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	5	10	14
1900 Budget authority (total) .....	5	10	14
1930 Total budgetary resources available .....	27	18	14
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	.....	.....

## Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	21	16
3010 New obligations, unexpired accounts .....	19	18	14
3020 Outlays (gross) .....	-11	-23	-24
3050 Unpaid obligations, end of year .....	21	16	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	13	21	16
3200 Obligated balance, end of year .....	21	16	6

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	5	10	14
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	.....	6	9
4011 Outlays from discretionary balances .....	11	17	15
4020 Outlays, gross (total) .....	11	23	24
4180 Budget authority, net (total) .....	5	10	14
4190 Outlays, net (total) .....	11	23	24

This account funds environmental activities at Naval Petroleum Reserve 1 (NPR- 1) in California (Elk Hills) and Naval Petroleum Reserve 3 (NPR-3) in Wyoming (Teapot Dome). Following the sale of the Government's interests in NPR-1 in California (Elk Hills), post-sale environmental assessment/remediation activities continue to be required by the legally binding agreements under the Corrective Action Consent Agreement with the State of California Department of Toxic Substances Control (DTSC). Program activities encompass execution of a technical baseline, interim measures, environmental sampling and analysis, corrective measures, waste removal and disposal, and confirmatory sampling. In FY 2020, funding will continue ongoing activities to attain release from the remaining environmental findings related to the sale of NPR-1. On January 30, 2015, the Department finalized the sale of the Teapot Dome Oilfield. The Department continues to oversee post-sale remediation activities and ground water sampling for the closure of the landfill in compliance with National Environmental Policy Act and Wyoming Department of Environmental Quality requirements.

## Object Classification (in millions of dollars)

Identification code 089-0219-0-1-271	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	.....	.....	1
25.2 Other services from non-Federal sources .....	2	2	1
25.4 Operation and maintenance of facilities .....	17	16	12
99.9 Total new obligations, unexpired accounts .....	19	18	14

## Employment Summary

Identification code 089-0219-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	4	4

## STRATEGIC PETROLEUM RESERVE

For Department of Energy expenses necessary for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), **[\$235,000,000] \$174,000,000**, to remain available until expended.

*Provided*, That, as authorized by section 404 of the Bipartisan Budget Act of 2015 (Public Law 114-74; 42 U.S.C. 6239 note), the Secretary of Energy shall draw down and sell not to exceed \$300,000,000 of crude oil from the Strategic Petroleum Reserve in fiscal year 2019: *Provided further*, That the proceeds from such drawdown and sale shall be deposited into the "Energy Security and Infrastructure Modernization

Fund" during fiscal year 2019: *Provided further*, That such amounts shall be made available and shall remain available until expended for necessary expenses to carry out the Life Extension II project for the Strategic Petroleum Reserve. **】** (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

25.2	Other services from non-Federal sources .....	27	27	20
25.4	Operation and maintenance of facilities .....	169	174	118
32.0	Land and structures .....	11	11	7
99.9	Total new obligations, unexpired accounts .....	230	235	176

**Program and Financing** (in millions of dollars)

Identification code 089-0218-0-1-274	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 SPR Management .....	23	26	25
0002 SPR Storage Facilities Development .....	207	209	151
0900 Total new obligations, unexpired accounts .....	230	235	176
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	39	39
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	39	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	261	235	174
1930 Total budgetary resources available .....	269	274	213
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	39	39	37
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	134	163	136
3010 New obligations, unexpired accounts .....	230	235	176
3020 Outlays (gross) .....	-200	-262	-202
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	163	136	110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	134	163	136
3200 Obligated balance, end of year .....	163	136	110
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	261	235	174
Outlays, gross:			
4010 Outlays from new discretionary authority .....	85	131	96
4011 Outlays from discretionary balances .....	115	131	106
4020 Outlays, gross (total) .....	200	262	202
4180 Budget authority, net (total) .....	261	235	174
4190 Outlays, net (total) .....	200	262	202

The Strategic Petroleum Reserve (SPR) provides strategic and economic security against foreign and domestic disruptions in oil supplies via an emergency stockpile of crude oil. The program fulfills United States' obligations under the International Energy Program, which commits the United States to support the International Energy Agency through its coordinated energy emergency response plans, and provides a deterrent against energy supply disruptions. The FY 2020 Budget will support the SPR's operational readiness and drawdown capabilities of 4.21 MB/d. The program will perform cavern wellbore testing and remediation activities to ensure the availability of the SPR's crude oil inventory. Consistent with past budget requests, the Budget proposes to disestablish the Northeast Gasoline Supply Reserve (NGSR) by allowing current commercial storage contracts to expire and selling the refined product currently held in the reserve. The NGSR is very costly to maintain, has not been used for its intended purpose, and is not a practical solution for a severe supply interruption, as, for example, the reserve would only be able to meet one day's worth of gasoline demand in the Northeast States.

**Object Classification** (in millions of dollars)

Identification code 089-0218-0-1-274	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	12	12	18
12.1 Civilian personnel benefits .....	4	4	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1

**Employment Summary**

Identification code 089-0218-0-1-274	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	111	126	126
2001 Reimbursable civilian full-time equivalent employment .....	2		

**SPR PETROLEUM ACCOUNT**

**【**For the acquisition, transportation, and injection of petroleum products, and for other necessary expenses pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), sections 403 and 404 of the Bipartisan Budget Act of 2015 (42 U.S.C. 6241, 6239 note), and section 5010 of the 21st Century Cures Act (Public Law 114-255), \$10,000,000, to remain available until expended. **】** *Notwithstanding sections 161 and 167 of the Energy Policy and Conservation Act (42 U.S.C. 6241, 6247), the Secretary of Energy shall draw down and sell one million barrels of refined petroleum product from the Strategic Petroleum Reserve and, notwithstanding 31 U.S.C. 3302, \$27,000,000 of proceeds from such sale shall be deposited in the SPR Petroleum Account and shall remain available until expended: Provided, That any proceeds in excess of \$27,000,000 collected from such sale shall be deposited into the general fund of the Treasury during fiscal year 2020: Provided further, That upon the completion of such sale, the Secretary shall carry out the closure of the Northeast Gasoline Supply Reserve. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 089-0233-0-1-274	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 SPR Petroleum Account .....	12	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	10	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			27
1900 Budget authority (total) .....	8	10	27
1930 Total budgetary resources available .....	22	20	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	25	8
3010 New obligations, unexpired accounts .....	12	10	10
3020 Outlays (gross) .....	-27	-27	-8
3050 Unpaid obligations, end of year .....	25	8	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	25	8
3200 Obligated balance, end of year .....	25	8	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	10	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....		3	5
4011 Outlays from discretionary balances .....	7		3
4020 Outlays, gross (total) .....	7	3	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections: .....			-27
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	20	24	
4180 Budget authority, net (total) .....	8	10	
4190 Outlays, net (total) .....	27	27	-19

SPR PETROLEUM ACCOUNT—Continued

The SPR Petroleum Account funds activities related to the acquisition, transportation, and injection of petroleum products into the Strategic Petroleum Reserve (SPR), as well as costs related to the drawdown, sale, and delivery of petroleum products from the Reserve. The FY 2020 Budget proposes to deposit into the SPR Petroleum Account up to \$27 million in proceeds from the sale of the Northeast Gasoline Supply Reserve's one-million barrels of gasoline blendstock. Subsequently, the Budget requests no operational funding for the NGSR in the SPR account, as it allows existing commercial storage contracts to expire. The retained proceeds from the sale will be used to fund logistical costs associated with the drawdown, sale, and delivery of SPR crude oil, to include operations related to legislatively-mandated, multi-year sales of SPR crude oil. Proceeds in excess of the \$27 million will contribute to deficit reduction.

Object Classification (in millions of dollars)

Identification code 089-0233-0-1-274	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Other Contractual Services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	9	7	7
99.9 Total new obligations, unexpired accounts .....	12	10	10

ENERGY SECURITY AND INFRASTRUCTURE MODERNIZATION FUND

As authorized by section 404 of the Bipartisan Budget Act of 2015 (Public Law 114-74; 42 U.S.C. 6239 note), the Secretary of Energy shall draw down and sell not to exceed \$450,000,000 of crude oil from the Strategic Petroleum Reserve in fiscal year 2020: Provided, That the proceeds from such drawdown and sale shall be deposited into the "Energy Security and Infrastructure Modernization Fund" during fiscal year 2020: Provided further, That such amounts shall be made available and shall remain available until expended for necessary expenses to carry out the Life Extension II project for the Strategic Petroleum Reserve.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5615-0-2-274	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Proceeds from Sale of Oil, Energy Security and Infrastructure Modernization Fund .....	348	300	450
2000 Total: Balances and receipts .....	348	300	450
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Energy Security and Infrastructure Modernization Fund .....	-348	-300	-450
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 089-5615-0-2-274	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Energy security and infrastructure modernization .....	455	352	450
0900 Total new obligations, unexpired accounts (object class 25.4) .....	455	352	450
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	159	52	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	348	300	450
1900 Budget authority (total) .....	348	300	450
1930 Total budgetary resources available .....	507	352	450
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	52		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	163	559	575
3010 New obligations, unexpired accounts .....	455	352	450

3020 Outlays (gross) .....	-59	-336	-369
3050 Unpaid obligations, end of year .....	559	575	656
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	163	559	575
3200 Obligated balance, end of year .....	559	575	656
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	348	300	450
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		75	112
4011 Outlays from discretionary balances .....	59	261	257
4020 Outlays, gross (total) .....	59	336	369
4180 Budget authority, net (total) .....	348	300	450
4190 Outlays, net (total) .....	59	336	369

The Energy Security and Infrastructure Modernization Fund was established in Section 404 of the Bipartisan Budget Act of 2015 to finance modernization of the Strategic Petroleum Reserve (SPR). Revenue raised through sales of SPR crude oil will support Life Extension 2 project investments needed to ensure the SPR can maintain its operational readiness capability, meet its mission requirements, and operate in an environmentally responsible manner. This FY 2020 budget increment concludes the four-year (2017-2020) financing structure of multi-year oil sales that support an effective modernization program for the SPR.

ENERGY INFORMATION ADMINISTRATION

For Department of Energy expenses necessary in carrying out the activities of the Energy Information Administration, **【\$125,000,000】 \$118,000,000**, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 089-0216-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Obligations by Program Activity .....	126	125	118
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	3	3
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	4	3	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	125	125	118
1930 Total budgetary resources available .....	129	128	121
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	43	47
3010 New obligations, unexpired accounts .....	126	125	118
3020 Outlays (gross) .....	-120	-121	-121
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	43	47	44
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	40	43	47
3200 Obligated balance, end of year .....	43	47	44
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	125	125	118
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	89	88	83
4011 Outlays from discretionary balances .....	31	33	38
4020 Outlays, gross (total) .....	120	121	121
4180 Budget authority, net (total) .....	125	125	118
4190 Outlays, net (total) .....	120	121	121

The U.S. Energy Information Administration (EIA) is the statistical and analytical agency within the U.S. Department of Energy. EIA collects,

analyzes, and disseminates independent and impartial energy information to promote sound policymaking, efficient markets, and public understanding of energy and its interaction with the economy and the environment. As the nation's premier source of energy information, EIA conducts a data collection program covering the full spectrum of energy sources, end uses, and energy flows; generates short- and long-term domestic and international energy projections; and performs timely, informative energy analyses. The FY 2020 Budget Request enables EIA to continue core statistical and analysis activities that produce reports critical to the nation and to invest in planned cybersecurity initiatives.

**Object Classification** (in millions of dollars)

Identification code 089-0216-0-1-276	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	40	40	40
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	41	40	40
12.1 Civilian personnel benefits .....	12	12	12
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	51	51	47
25.3 Purchases of goods and services from Government accounts ....	15	15	12
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Pamphlets, Documents, Subscriptions and Publications .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	126	125	118

**Employment Summary**

Identification code 089-0216-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	324	370	359

**FEDERAL ENERGY REGULATORY COMMISSION**

**SALARIES AND EXPENSES**

For expenses necessary for the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, official reception and representation expenses not to exceed \$3,000, and the hire of passenger motor vehicles, **[\$369,900,000] \$382,000,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, not to exceed **[\$369,900,000] \$382,000,000** of revenues from fees and annual charges, and other services and collections in fiscal year **[2019] 2020** shall be retained and used for expenses necessary in this account, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as revenues are received during fiscal year **[2019] 2020** so as to result in a final fiscal year **[2019] 2020** appropriation from the general fund estimated at not more than \$0. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0212-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Ensure Just and Reasonable Rates, Terms & Conditions .....	171	184	179
0802 Promote Safe, Reliable, Secure & Efficient Infrastructure .....	128	141	134
0803 Mission Support through Organizational Excellence .....	70	74	74
0900 Total new obligations, unexpired accounts .....	369	399	387
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	32	34	5
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	35	34	5
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	368	370	382
1930 Total budgetary resources available .....	403	404	387
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	34	5	

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	70	95	80
3010 New obligations, unexpired accounts .....	369	399	387
3020 Outlays (gross) .....	-341	-414	-390
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	95	80	77
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	70	95	80
3200 Obligated balance, end of year .....	95	80	77

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	368	370	382
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	266	333	344
4011 Outlays from discretionary balances .....	75	81	46
4020 Outlays, gross (total) .....	341	414	390
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4034 Offsetting governmental collections .....	-368	-370	-382
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-27	44	8

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	15	15	15
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	15	15	15

The Federal Energy Regulatory Commission (Commission) regulates and oversees key interstate aspects of the electric power (including hydro-power), natural gas and oil pipeline industries. The Commission assists consumers in obtaining economically efficient, safe, reliable, and secure energy services at a reasonable cost through appropriate regulatory and market means, and collaborative efforts. Regulated entities pay fees and charges sufficient to recover the Commission's full cost of operations.

*Ensure Just and Reasonable Rates, Terms, and Conditions.*—One of the Commission's fundamental statutory responsibilities is to ensure that rates, terms and conditions for wholesale sales and transmission of electric energy and natural gas in interstate commerce, as well as for transportation of oil by pipeline in interstate commerce, are just and reasonable and not unduly discriminatory or preferential. To fulfill this responsibility, the Commission uses a combination of market and regulatory means, complemented by oversight and enforcement measures. The Commission carries out this responsibility by issuing orders and establishing rules and policies that continually balance two important interests: protecting energy consumers against excessive rates, and providing an opportunity for regulated entities to recover their costs and earn a reasonable return on their investments. For example, the Commission seeks to improve the competitiveness of organized wholesale electric markets, which in turn encourages entry of new resources, spurs innovation and deployment of new technologies, improves operating performance, and exerts downward pressure on costs. Another example of the Commission's use of market and regulatory means in support of this goal is found in the Commission's requirements for public utility transmission providers to participate in an open and transparent regional transmission planning process. In addition, the Commission approves cost-based, and where appropriate, market-based rates for the interstate transportation of natural gas and oil on jurisdictional pipelines, and for the interstate transmission, and wholesale sales of electric energy. The Commission also reviews proposed mergers and other transactions in the electric industry to ensure that these proposals will not harm the public interest.

Oversight, surveillance and enforcement are essential complements to the Commission's approach to ensure that rates, terms and conditions of service are just and reasonable and not unduly discriminatory or preferential. The Commission conducts compliance audits, issues publicly available audit reports, and engages in formal and informal outreach efforts to promote effective compliance programs. Audits are planned and prioritized using a risk-based approach in order to maximize the impact of the Commission's resources. The Commission also conducts public and non-public investigations of possible violations of the statutes, regulations, rules, orders, and tariffs administered by the Commission. These investigations

FEDERAL ENERGY REGULATORY COMMISSION—Continued

often rely upon oversight and surveillance that employ sophisticated technology to monitor market behavior. When violations of sufficient seriousness are discovered, the Commission attempts to resolve the resulting investigation through settlement with appropriate sanctions and future compliance improvements before initiating further enforcement proceedings.

*Promote Safe, Reliable, and Secure Infrastructure.*—The Commission plays an important role in the development of energy infrastructure that operates safely and reliably. One aspect of the Commission's role in energy infrastructure development stems from siting authority that includes licensing non-Federal hydropower projects, certifying interstate natural gas pipelines and storage projects, authorizing liquefied natural gas (LNG) facilities, and, in certain circumstances, permitting electric transmission lines. With the rising demand for natural gas and hydropower comes increased infrastructure construction, making it all the more important that FERC oversee the private sector development of safe, reliable, and secure infrastructure in a way that fosters economic and environmental benefits for the nation. The Commission reviews applications to construct, operate, or modify natural gas and hydropower infrastructure by ensuring that facilities are constructed and operated in compliance with the conditions of FERC orders. The Commission must respond to energy infrastructure applications with timely and well-reasoned decisions that balance a range of factors such as competing interests, legal requirements, and environmental impacts. The Commission encourages, and sometimes requires, project proponents to engage in early involvement with state and Federal agencies, Indian tribes, affected landowners and the public.

The Commission also has an important role in ensuring that energy infrastructure, once authorized, continues to operate safely and reliably. FERC conducts timely safety reviews and inspections with rigorous requirements, thereby advancing the safety of non-federal hydropower projects and LNG facilities throughout their entire life cycle. The Commission relies on physical inspections for detecting and preventing potential catastrophic structural failures. In regards to jurisdictional LNG facilities, the Commission conducts construction and operational inspections to ensure that the facilities are constructed and operated in accordance with the conditions of Commission Orders, including safety measures and plans. Inspections at both types of facilities protect the public against the risks associated with incidents at the facilities.

The Commission also oversees the development and review of mandatory reliability and security standards for the bulk-power system, as well as compliance with these standards. A Commission-certified Electric Reliability Organization (ERO) develops and enforces mandatory Reliability Standards, subject to the Commission's oversight and approval. The Reliability Standards address the planning and operation, as well as the cyber security and physical protection of the Nation's electric transmission grid. The Commission may also, upon its own motion or upon complaint, order the ERO to submit a proposed reliability standard or a modification of an existing reliability standard that addresses a specific reliability matter. To that end, the Commission incorporates performance data-driven, risk-informed decision making into its reliability oversight. In addition, FERC provides leadership, expertise, and assistance in identifying, communicating and developing comprehensive solutions to cyber and physical security risks to energy infrastructure. This is achieved through collaboration with private sector energy industry entities and in coordination with government agencies to research, identify, and share information on threats and vulnerabilities, and to promote voluntary best practices that exceed but are complementary to mandatory regulations thereby improving resilience. The Commission engages with the owners and operators of key critical infrastructure facilities to identify and share threat information, analyze system vulnerabilities, and assist with effective mitigation.

*Mission Support through Organizational Excellence.*—The public interest is best served when the Commission operates in an efficient, responsive and transparent manner. The Commission pursues this goal by maintaining processes and providing services in accordance with governing statutes,

authoritative guidance, and prevailing best practices. These processes and services help prioritize resource allocations, make prudent investments that yield returns that directly benefit the agency's mission and use Commission resources in an efficient manner. The Commission also provides services, tools, and resources to equip employees to drive success and accomplish the agency's mission. The Commission thus makes continued investments in its human capital, information technology (IT) resources, and physical infrastructure. The Commission allocates over two-thirds of its budget to directly cover the compensation costs of its employees on an annual basis. The Commission continues to focus its human capital efforts on the competencies and positions most affected by the potential loss of its staff to retirement. The Commission also will maintain disciplined information technology planning and governance and pursue a number of projects that will advance priority IT initiatives. These projects will modernize core mission and support systems, expand existing data analytics and visualization capabilities, and improve the agency's cyber security posture. Through the successful execution of these projects, the Commission expects to maintain a cost-effective suite of IT products and services that will meet its near-term mission needs and provide a scalable platform to support future needs beyond 2020, while meeting applicable security mandates. The Commission is also undergoing a multi-year renovation effort within its headquarters building which commenced in FY 2018 and will conclude in FY 2021. The renovation project will enable the agency to realize significant space savings. The FY 2020 request includes approximately \$22.9 million to continue the modernization effort.

Facilitating understanding of how the Commission carries out its responsibilities and maintaining public trust in the Commission are important components of the Commission's commitment to organizational excellence. Trust and understanding increase acceptance of Commission decisions. Through the use of the Commission's eLibrary and eSubscriptions web pages, the public can obtain extensive information concerning documents both submitted to and issued by the Commission. The Commission also manages several social media sites to promote transparency and open communication.

Object Classification (in millions of dollars)

Identification code 089-0212-0-1-276	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	174	179	182
11.3 Other than full-time permanent .....	4	6	6
11.5 Other personnel compensation .....	3	3	3
Total personnel compensation .....	181	188	191
12.1 Civilian personnel benefits .....	59	62	63
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	33	35	32
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	10	17	10
25.2 Other services from non-Federal sources .....	12	15	14
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	34	35	34
26.0 Supplies and materials .....	4	4	5
31.0 Equipment .....	4	21	10
32.0 Land and structures .....	18	9	16
99.0 Reimbursable obligations .....	367	398	387
99.5 Adjustment for rounding .....	2	1	.....
99.9 Total new obligations, unexpired accounts .....	369	399	387

Employment Summary

Identification code 089-0212-0-1-276	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,428	1,465	1,465

CLEAN COAL TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 089-0235-0-1-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Clean Coal Technology Program was established in the 1980s to perform commercial-scale demonstrations of advanced coal-based technologies. All projects have concluded and only closeout activities remain.

ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER PETROLEUM RESEARCH FUND

Program and Financing (in millions of dollars)

Identification code 089-5523-0-2-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	
3020 Outlays (gross) .....		-6	
3050 Unpaid obligations, end of year .....	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	
3200 Obligated balance, end of year .....	6		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		6	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		6	

The Energy Policy Act of 2005 (Public Law 109-58) created a mandatory Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Research program beginning in 2007. Subtitle J of Title IX of the Energy Policy Act of 2005 (42 U.S.C. 16371 et seq.) was repealed and all unobligated balances in this account were rescinded by the Bipartisan Budget Control Act of FY 2013.

PAYMENTS TO STATES UNDER FEDERAL POWER ACT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5105-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Licenses under Federal Power Act from Public Lands and National Forests, Payment to States (37 1/2%) .....	5	5	5
2000 Total: Balances and receipts .....	5	5	5
Appropriations:			
Current law:			
2101 Payments to States under Federal Power Act .....	-5	-5	-5
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 089-5105-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to States under Federal Power Act (Direct) .....	5	5	5
0900 Total new obligations, unexpired accounts (object class 41.0) .....	5	5	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-5	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	5	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	5	5

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

NORTHEAST HOME HEATING OIL RESERVE

For Department of Energy expenses necessary for Northeast Home Heating Oil Reserve storage, operation, and management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), \$10,000,000, to remain available until expended. Notwithstanding section 183 of the Energy Policy and Conservation Act (42 U.S.C. 6250b), the Secretary of Energy shall draw down and sell all barrels of petroleum distillate from the Northeast Home Heating Oil Reserve during fiscal year 2020: Provided, That notwithstanding section 184 of the Energy Policy and Conservation Act (42 U.S.C. 6250c), all proceeds collected from such sale shall be deposited into the general fund of the Treasury during fiscal year 2020: Provided further, That upon the completion of such sale, the Secretary shall carry out the closure of the Northeast Home Heating Oil Reserve. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5369-0-2-274	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Sale of Northeast Home Heating Oil Reserve .....			82
2000 Total: Balances and receipts .....	1	1	83
5099 Balance, end of year .....	1	1	83

Program and Financing (in millions of dollars)

Identification code 089-5369-0-2-274	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 NEHHOR .....	10	10	
0900 Total new obligations, unexpired accounts (object class 25.2) .....	10	10	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7	10	
1930 Total budgetary resources available .....	11	11	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

NORTHEAST HOME HEATING OIL RESERVE—Continued  
Program and Financing—Continued

Identification code 089-5369-0-2-274	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	6	8
3010 New obligations, unexpired accounts .....	10	10	.....
3020 Outlays (gross) .....	-9	-8	-4
3050 Unpaid obligations, end of year .....	6	8	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	6	8
3200 Obligated balance, end of year .....	6	8	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	10	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	8	.....
4011 Outlays from discretionary balances .....	9	.....	4
4020 Outlays, gross (total) .....	9	8	4
4180 Budget authority, net (total) .....	7	10	.....
4190 Outlays, net (total) .....	9	8	4

The Northeast Home Heating Oil Reserve (NEHHOR) was established to provide an emergency supply of home heating oil for the Northeast States during times of inventory shortages and significant threats to immediate supply. However, the NEHHOR has not been used for its intended purpose since it was established and the Administration does not believe the reserve is a prudent ongoing use of taxpayer resources. For this reason, the Budget proposes to disestablish the NEHHOR by allowing the current commercial storage contracts to expire in March 2020 and, relatedly, no FY 2020 appropriation is requested. Prior to the contractual expiration, the Budget proposes to sell the one million barrels of ultra-low sulfur distillate currently held in the reserve, with proceeds from the sale intended for deficit reduction.

NUCLEAR WASTE DISPOSAL

For Department of Energy expenses necessary for nuclear waste disposal activities to carry out the purposes of the Nuclear Waste Policy Act of 1982, Public Law 97-425, as amended (the "NWP"), including the acquisition of any real property or facility construction, or expansion, and interim storage activities, \$90,000,000, to remain available until expended, and to be derived from the Nuclear Waste Fund: Provided, That of the funds made available in this Act for nuclear waste disposal and defense nuclear waste disposal activities, 1.62 percent shall be provided to the Office of the Attorney General of the State of Nevada solely for expenditures, other than salaries and expenses of State employees, to conduct scientific oversight responsibilities and participate in licensing activities pursuant to the NWP: Provided further, That of the funds made available in this Act for nuclear waste disposal and defense nuclear waste disposal activities, 2.91 percent shall be provided to affected units of local government, as defined in the NWP, to conduct appropriate activities and participate in licensing activities under Section 116(c) of the NWP: Provided further, That of the amounts provided to affected units of local government, 7.5 percent shall be made available to affected units of local government in California with the balance made available to affected units of local government in Nevada for distribution as determined by the Nevada affected units of local government: Provided further, That of the funds made available in this Act for nuclear waste disposal and defense nuclear waste disposal activities, 0.16 percent shall be provided to the affected federally-recognized Indian tribes, as defined in the NWP, solely for expenditures, other than salaries and expenses of tribal employees, to conduct appropriate activities and participate in licensing activities under section 118(b) of the NWP: Provided further, That of the funds made available in this Act for nuclear waste disposal and defense nuclear waste disposal activities, 3.0 percent shall be provided to Nye County, Nevada, 0.05 percent shall be provided to Clark County, Nevada, and 0.46 percent shall be provided to the State of Nevada as payment equal to taxes under section 116(c)(3) of the NWP: Provided further, That within 90 days of the completion of each Federal fiscal year, the Office of the Attorney General of the State of Nevada, each affected federally-recognized Indian tribe, and each of the affected units of local government shall provide certification to the Department of Energy that all funds expended from such payments have been expended for

activities authorized by the NWP and this Act: Provided further, That failure to provide such certification shall cause such entity to be prohibited from any further funding provided for similar activities: Provided further, That none of the funds herein appropriated may be: (1) used for litigation expenses; or (2) used to support multi-State efforts or other coalition building activities inconsistent with the restrictions contained in this Act: Provided further, That all proceeds and recoveries realized by the Secretary in carrying out activities authorized by the NWP, including but not limited to any proceeds from the sale of assets, shall be credited to this account, to remain available until expended, for carrying out the purposes of this account.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5227-0-2-271	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	37,228	38,763	40,464
Receipts:			
Current law:			
1130 Nuclear Waste Disposal Fund .....	.....	145	376
1140 Earnings on Investments, Nuclear Waste Disposal Fund .....	1,539	1,560	1,628
1199 Total current law receipts .....	1,539	1,705	2,004
1999 Total receipts .....	1,539	1,705	2,004
2000 Total: Balances and receipts .....	38,767	40,468	42,468
Appropriations:			
Current law:			
2101 Nuclear Waste Disposal .....	.....	.....	-90
2101 Salaries and Expenses .....	.....	.....	-39
2101 Salaries and Expenses .....	-4	-4	-4
2199 Total current law appropriations .....	-4	-4	-133
2999 Total appropriations .....	-4	-4	-133
5099 Balance, end of year .....	38,763	40,464	42,335

Program and Financing (in millions of dollars)

Identification code 089-5227-0-2-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Repository .....	2	.....	90
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	7	7
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	.....	.....	90
1930 Total budgetary resources available .....	9	7	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	1
3010 New obligations, unexpired accounts .....	2	.....	90
3020 Outlays (gross) .....	-3	-5	-36
3050 Unpaid obligations, end of year .....	6	1	55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	6	1
3200 Obligated balance, end of year .....	6	1	55
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	90
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	36
4011 Outlays from discretionary balances .....	3	5	.....
4020 Outlays, gross (total) .....	3	5	36
4180 Budget authority, net (total) .....	.....	.....	90
4190 Outlays, net (total) .....	3	5	36
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	53,013	53,449	55,149
5001 Total investments, EOY: Federal securities: Par value .....	53,449	55,149	56,687

The mission of the Yucca Mountain and Interim Storage programs is to fulfill the Federal Government's obligations to address nuclear waste in a safe and fiscally responsible way. With the resumption of the Yucca

Mountain licensing process, the FY 2020 Budget proposes funding through two separate appropriation accounts, the Nuclear Waste Disposal and Defense Nuclear Waste Disposal appropriations. The overview narrative and detailed justification for the entire program, as supported by both accounts, is presented in the Nuclear Waste Disposal section of the FY 2020 Budget. The programs implement the Administration's decision to resume the Yucca Mountain license application process for disposal of spent nuclear fuel (SNF) and high level waste (HLW) while establishing a robust interim storage capability.

The Yucca Mountain and Interim Storage programs are critical to enhancing the national and economic security goals of the Nation. The management of SNF and HLW must protect the health and safety of citizens and the environment in the United States. The Nation's commercial and defense SNF and HLW must be safely and permanently isolated to minimize the risk to human health and the environment. Effective management of these materials will ensure that our country remains competitive in the global economy, maintains national security, supports cleanup of weapons sites, continues operation of the U.S. Navy's nuclear-powered vessels, and advances our international non-proliferation goals.

**Object Classification** (in millions of dollars)

Identification code 089-5227-0-2-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			88
11.9 Total personnel compensation .....			88
25.1 Advisory and assistance services .....	1		1
25.2 Other services from non-Federal sources .....	1		1
99.9 Total new obligations, unexpired accounts .....	2		90

**Employment Summary**

Identification code 089-5227-0-2-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			90

**URANIUM SUPPLY AND ENRICHMENT ACTIVITIES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5226-0-2-271	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	861		
2000 Total: Balances and receipts .....	861		
Appropriations:			
Current law:			
2101 Uranium Enrichment Decontamination and Decommissioning Fund .....	-861		
5099 Balance, end of year .....			

This account funded operations of the Department's uranium enrichment facilities for commercial sales prior to 1992. These facilities are now shut down and are significantly contaminated by decades of operations for defense and non-defense activities. Under the Energy Policy Act of 1992, the Uranium Enrichment Decontamination and Decommissioning (UED&D) Fund pays, subject to appropriation, the decontamination and decommissioning costs of the Department's gaseous diffusion plants in Tennessee, Ohio, and Kentucky. In the 2019 Budget, the Administration proposed and Congress enacted the transfer of amounts remaining in this account to the UED&D Fund due to higher-than-expected cleanup costs. Funding transferred is precluded from obligation until appropriated for the authorized purpose of the UED&D Fund.

**URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND**

For Department of Energy expenses necessary in carrying out uranium enrichment facility decontamination and decommissioning, remedial actions, and other activities of title II of the Atomic Energy Act of 1954, and title X, subtitle A, of the Energy Policy Act of 1992, **[\$841,129,000] \$715,112,000**, to be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, to remain available until expended, of which **[\$11,000,000] \$21,035,000** shall be available in accordance with title X, subtitle A, of the Energy Policy Act of 1992 **[**, including for the purchase of not to exceed one ambulance for replacement only**]**. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 089-5231-0-2-271	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2,119	2,191	1,405
Receipts:			
Current law:			
1140 Earnings on Investments, Decontamination and Decommissioning Fund .....	51	55	58
2000 Total: Balances and receipts .....	2,170	2,246	1,463
Appropriations:			
Current law:			
2101 Uranium Enrichment Decontamination and Decommissioning Fund .....	-840	-841	-715
2134 Uranium Enrichment Decontamination and Decommissioning Fund .....	861		
2199 Total current law appropriations .....	21	-841	-715
2999 Total appropriations .....	21	-841	-715
5099 Balance, end of year .....	2,191	1,405	748

**Program and Financing** (in millions of dollars)

Identification code 089-5231-0-2-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Oak Ridge .....	197	195	109
0002 Paducah .....	206	206	207
0003 Portsmouth .....	384	408	356
0004 Pension and Community and Regulatory Support .....	21	21	22
0005 Title X Uranium/Thorium Reimbursement Program .....	36	11	21
0900 Total new obligations, unexpired accounts .....	844	841	715

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	13	13
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	17	13	13
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	840	841	715
1101 Appropriation (special or trust fund) .....	861		
1134 Appropriations precluded from obligation .....	-861		
1160 Appropriation, discretionary (total) .....	840	841	715
<b>Spending authority from offsetting collections, discretionary:</b>			
1711 Spending authority from offsetting collections transferred from other accounts [486-4054] .....			1,695
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....			-1,695
1900 Budget authority (total) .....	840	841	715
1930 Total budgetary resources available .....	857	854	728
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	13	13	13

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	242	263	239
3010 New obligations, unexpired accounts .....	844	841	715
3020 Outlays (gross) .....	-818	-865	-752
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	263	239	202
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	242	263	239
3200 Obligated balance, end of year .....	263	239	202

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND—Continued

Program and Financing—Continued

Identification code 089-5231-0-2-271	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	840	841	715
Outlays, gross:			
4010 Outlays from new discretionary authority .....	641	589	500
4011 Outlays from discretionary balances .....	177	276	252
4020 Outlays, gross (total) .....	818	865	752
4180 Budget authority, net (total) .....	840	841	715
4190 Outlays, net (total) .....	818	865	752
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,340	2,468	1,682
5001 Total investments, EOY: Federal securities: Par value .....	2,468	1,682	2,720

*Decontamination and Decommissioning Activities.*—Funds: 1) projects to decontaminate, decommission, and remediate the sites and facilities of the gaseous diffusion plants at Portsmouth, Ohio; Paducah, Kentucky; and East Tennessee Technology Park, Oak Ridge, Tennessee and; 2) pensions and post-retirement medical benefits for active and inactive gaseous diffusion plant workers.

*Uranium and Thorium Reimbursement Program.*—Provides reimbursement to uranium and thorium licensees for the Government's share of cleanup costs pursuant to Title X of the Energy Policy Act of 1992.

Object Classification (in millions of dollars)

Identification code 089-5231-0-2-271	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	4	4	3
25.2 Other services from non-Federal sources .....	43	43	36
25.4 Operation and maintenance of facilities .....	755	752	640
32.0 Land and structures .....	41	41	35
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	844	841	715

URANIUM SALES AND REMEDIATION

Program and Financing (in millions of dollars)

Identification code 089-5530-0-2-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Energy and Water Development Appropriations Act of 2006 provided the Department of Energy authority to barter, transfer, or sell uranium and to use any proceeds, without fiscal year limitation, to remediate contaminated uranium inventories held by the Secretary of Energy.

ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 089-4180-0-3-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Isotope Production and Distribution Reimbursable program .....	113	112	112
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	19	20	20
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	21	20	20
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	112	112	112
1930 Total budgetary resources available .....	133	132	132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	84	4
3010 New obligations, unexpired accounts .....	113	112	112
3020 Outlays (gross) .....	-81	-192	-112
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	84	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	54	84	4
3200 Obligated balance, end of year .....	84	4	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	112	112	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	112	112
4011 Outlays from discretionary balances .....	59	80	
4020 Outlays, gross (total) .....	81	192	112
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-41	-41	-41
4033 Non-Federal sources .....	-71	-71	-71
4040 Offsets against gross budget authority and outlays (total) ....	-112	-112	-112
4080 Outlays, net (discretionary) .....	-31	80	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-31	80	

Object Classification (in millions of dollars)

Identification code 089-4180-0-3-271	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	6	6	6
25.4 Operation and maintenance of facilities .....	79	78	78
31.0 Equipment .....	24	24	24
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	113	112	112

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

【For Department of Energy administrative expenses necessary in carrying out the Advanced Technology Vehicles Manufacturing Loan Program, \$5,000,000, to remain available until September 30, 2020.】 *The unobligated balances available from amounts appropriated for the costs of direct loans in section 129 of the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110-329) are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 089-0322-0-1-272	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0706 Interest on reestimates of direct loan subsidy .....	103		
0709 Administrative expenses .....	4	5	5
0900 Total new obligations, unexpired accounts .....	107	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,337	4,338	4,338
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4,337	4,338	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	
1131 Unobligated balance of appropriations permanently reduced .....			-4,333
1160 Appropriation, discretionary (total) .....	5	5	-4,333
Appropriations, mandatory:			
1200 Appropriation .....	103		
1900 Budget authority (total) .....	108	5	-4,333
1930 Total budgetary resources available .....	4,445	4,343	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,338	4,338	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	1
3010 New obligations, unexpired accounts .....	107	5	5
3020 Outlays (gross) .....	-108	-6	-1
3050 Unpaid obligations, end of year .....	2	1	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	1
3200 Obligated balance, end of year .....	2	1	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	-4,333
Outlays, gross:			
4010 Outlays from new discretionary authority .....		5	
4011 Outlays from discretionary balances .....	5	1	1
4020 Outlays, gross (total) .....	5	6	1
Mandatory:			
4090 Budget authority, gross .....	103		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	103		
4180 Budget authority, net (total) .....	108	5	-4,333
4190 Outlays, net (total) .....	108	6	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 089-0322-0-1-272	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Direct Auto Loans .....	29	-22	
Administrative expense data:			
3510 Budget authority .....	5	5	
3580 Outlays from balances .....	5	6	1

Section 136 of the Energy Independence and Security Act of 2007 established a direct loan program with a loan authority up to \$25 billion to support the development of advanced technology vehicles and associated components in the United States, known as the Advanced Technology Vehicles Manufacturing (ATVM) Loan Program. The Consolidated Security, Disaster, Assistance, and Continuing Appropriation Act of 2009, enacted on September 30, 2008, appropriated \$7.5 billion in emergency designated budget authority for credit subsidy. The ATVM Loan Program provides loans to automobile and automobile part manufacturers for the cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components and for associated engineering integration costs.

The 2020 Budget eliminates the ATVM Loan Program and proposes to cancel \$4.3 billion in remaining emergency designated, appropriated, credit subsidy. LPO will utilize unobligated non-emergency designated

balances carried forward to cover loan-portfolio monitoring and administrative expenses. In FY 2020, LPO will stop originating loans for the ATVM Loan Program but will continue to monitor the existing portfolio.

**Object Classification** (in millions of dollars)

Identification code 089-0322-0-1-272	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	2		
25.3 Other goods and services from Federal sources .....	1	4	4
41.0 Grants, subsidies, and contributions .....	103		
99.9 Total new obligations, unexpired accounts .....	107	5	5

**Employment Summary**

Identification code 089-0322-0-1-272	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9	12	12

**ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 089-4579-0-3-272	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0715 Interest paid to FFB .....	60	46	32
0742 Downward reestimates paid to receipt accounts .....	74	18	
0743 Interest on downward reestimates .....		5	
0900 Total new obligations, unexpired accounts .....	134	69	32
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	100	89	35
1023 Unobligated balances applied to repay debt .....	-82	-76	-28
1050 Unobligated balance (total) .....	18	13	7
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	756	606	593
1825 Spending authority from offsetting collections applied to repay debt .....	-551	-515	-563
1850 Spending auth from offsetting collections, mand (total) .....	205	91	30
1900 Budget authority (total) .....	205	91	30
1930 Total budgetary resources available .....	223	104	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	89	35	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	134	69	32
3020 Outlays (gross) .....	-134	-69	-32
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	205	91	30
Financing disbursements:			
4110 Outlays, gross (total) .....	134	69	32
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate .....	-103		
4122 Interest on uninvested funds .....	-3	-2	-1
4123 Non-Federal sources (interest) .....	-59	-43	-30
4123 Non-Federal sources (principal) .....	-591	-561	-562
4130 Offsets against gross budget authority and outlays (total) .....	-756	-606	-593
4160 Budget authority, net (mandatory) .....	-551	-515	-563
4170 Outlays, net (mandatory) .....	-622	-537	-561
4180 Budget authority, net (total) .....	-551	-515	-563
4190 Outlays, net (total) .....	-622	-537	-561

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING  
ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)

Identification code 089-4579-0-3-272	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	17,719	17,719	17,719
1143 Unobligated limitation carried forward (PL. 110-329) (-) .....	-17,719	-17,719	-17,719
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,800	2,209	1,648
1251 Repayments: Repayments and prepayments .....	-591	-561	-562
1290 Outstanding, end of year .....	2,209	1,648	1,086

Balance Sheet (in millions of dollars)

Identification code 089-4579-0-3-272	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	100	89
Investments in U.S. securities:		
1106 Receivables, net .....	111	.....
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,800	2,209
1402 Interest receivable .....	3	2
1405 Allowance for subsidy cost (-) .....	-90	-69
1499 Net present value of assets related to direct loans .....	2,713	2,142
1999 Total assets .....	2,924	2,231
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable .....	.....	.....
2103 Debt .....	2,842	2,209
2105 Other .....	82	22
2999 Total liabilities .....	2,924	2,231
NET POSITION:		
3300 Cumulative results of operations .....	.....	.....
4999 Total upward reestimate subsidy BA [89-0322] .....	2,924	2,231

TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

Such sums as are derived from amounts received from borrowers pursuant to section 1702(b) of the Energy Policy Act of 2005 under this heading in prior Acts, shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: *Provided*, That for necessary administrative expenses of the Title 17 Innovative Technology Loan Guarantee Program, as authorized, **[\$33,000,000]** \$3,000,000 is appropriated **[.]** from fees collected in prior years pursuant to section 1702(h) of the Energy Policy Act of 2005 which are not otherwise appropriated, to remain available until September 30, **[2020]** 2021: *Provided further*, That if the amount in the previous proviso is not available from such fees, an amount for such purposes is also appropriated from the general fund so as to result in a total amount appropriated for such purposes of no more than **[up to \$33,000,000]** \$3,000,000: *Provided further*, That **[of]** fees collected **[in fiscal year 2019]** pursuant to such section 1702(h) **[of the Energy Policy Act of 2005]** for fiscal year 2020 shall be credited as offsetting collections under this heading and shall not be available until appropriated: **[used for necessary administrative expenses in this appropriation and shall remain available until September 30, 2020: Provided further, That to the extent that fees collected in fiscal year 2019 exceed \$33,000,000, those excess amounts shall be credited as offsetting collections under this heading and available in future fiscal years only to the extent provided in advance in appropriations Acts: *Provided further*, That the sum herein appropriated from the general fund shall be reduced (1) as such fees are received during fiscal year 2019 (estimated at \$15,000,000) and (2) to the extent that any remaining general fund appropriations can be derived from fees collected in previous fiscal years that are not otherwise appropriated, so as to result in a final fiscal year 2019 appropriation from the general fund estimated at \$0: *Provided further*, That the Department of Energy shall not subordinate any loan obligation to other financing in violation of section 1702 of the Energy Policy Act of 2005 or subordinate any Guaranteed Obligation to any loan or other debt obligations in violation of section 609.10 of title 10, Code of Federal Regulations: *Provided further*, That the authority provided in prior year appropriations Acts for commitments to guarantee loans under Title XVII of the Energy Policy Act of 2005,**

excluding amounts for loan guarantee commitments, as defined in the Federal Credit Reform Act of 1990 (2 U.S.C. 661a), made by October 1, 2019, is hereby permanently cancelled: *Provided further*, That the unobligated balances from prior year appropriations Acts, including amounts available under this heading in the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), for the cost to guarantee loans are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 089-0208-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0706 Interest on reestimates of direct loan subsidy .....	1	12	.....
0709 Administrative expenses .....	26	26	26
0900 Total new obligations, unexpired accounts .....	27	38	26

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	666	715	716
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	715	.....
1021 Recoveries of prior year unpaid obligations .....	42	.....	.....
1050 Unobligated balance (total) .....	708	715	716
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	11	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	-161
1131 Unobligated balance of appropriations permanently reduced [Recovery Act Emergency Balances] .....	.....	.....	-523
1160 Appropriation, discretionary (total) .....	9	11	-684
Appropriations, mandatory:			
1200 Appropriation .....	1	12	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	20	3
1702 Offsetting collections (previously unavailable) .....	24	2	.....
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	24	22	3
1900 Budget authority (total) .....	34	45	-681
1930 Total budgetary resources available .....	742	760	35
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	.....	-6	-9
1941 Unexpired unobligated balance, end of year .....	715	716	.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	18	20
3010 New obligations, unexpired accounts .....	27	38	26
3020 Outlays (gross) .....	-23	-36	-36
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42	.....	.....
3050 Unpaid obligations, end of year .....	18	20	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	56	18	20
3200 Obligated balance, end of year .....	18	20	10

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	33	33	-681
Outlays, gross:			
4011 Outlays from discretionary balances .....	22	24	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-20	-3
4040 Offsets against gross budget authority and outlays (total) .....	-2	-20	-3
Mandatory:			
4090 Budget authority, gross .....	1	12	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	12	.....
4180 Budget authority, net (total) .....	32	25	-684
4190 Outlays, net (total) .....	21	16	33

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	24	2	.....
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	.....	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 089-0208-0-1-271	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Section 1703 FFB Loans (Self Pay)		3,703	
115999 Total direct loan levels		3,703	
Direct loan subsidy (in percent):			
132001 Section 1703 FFB Loans (Self Pay)		-2.69	
132999 Weighted average subsidy rate		-2.69	
Direct loan subsidy budget authority:			
133001 Section 1703 FFB Loans (Self Pay)		-100	
133999 Total subsidy budget authority		-100	
Direct loan subsidy outlays:			
134001 Section 1703 FFB Loans (Self Pay)		-107	-15
134999 Total subsidy outlays		-107	-15
Direct loan reestimates:			
135001 Section 1703 FFB Loans (Self Pay)		-52	-91
135002 Section 1705 FFB Loans		-196	-138
135999 Total direct loan reestimates		-248	-229
Guaranteed loan reestimates:			
235002 Section 1705 Loan Guarantees		-9	-22
235999 Total guaranteed loan reestimates		-9	-22

The Title 17 Innovative Technology Loan Guarantee Program (Title 17), as authorized by the Energy Policy Act of 2005 (the Act) and executed by the Department of Energy's (DOE) Loan Programs Office (LPO), encourages early commercial use of new or significantly improved technologies in energy projects. Projects supported by Title 17 loan guarantees must avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; employ new or significantly improved technologies compared to commercial technologies in service in the United States at the time the guarantee is issued; and offer a reasonable prospect of repayment of the principal and interest on the guaranteed obligation. Section 1703 of the Act authorizes DOE to provide loan guarantees for innovative energy projects in categories including renewable energy systems, advanced nuclear facilities, coal gasification, carbon sequestration, energy efficiency, and various other types of projects. The FY 2020 Budget maintains current Administration policy to eliminate this program, while maintaining portfolio monitoring capabilities.

The FY 2020 Budget proposes to permanently cancel the approximate \$161 million in remaining credit subsidy and all authority to guarantee loans appropriated in prior appropriations acts. In addition to \$3,000,000 in appropriation offset by an estimated \$3,000,000 in fee collections, LPO will utilize unobligated balances carried forward to cover loan portfolio monitoring and administrative expenses. In FY 2020, LPO will stop originating loans for the Title 17 Loan Guarantee Program but will continue to monitor the existing portfolio.

The American Reinvestment and Recovery Act of 2009 (Public Law 111-5) amended the program's authorizing statute and provided \$2.5 billion in emergency designated credit subsidy for a temporary program to support loan guarantees for commercial or advanced renewable energy systems, electric power transmission systems, and leading edge biofuel projects. Authority for the temporary program to extend new loans expired September 30, 2011. Prior to the expiration of the authority, DOE provided loan guarantees to 28 projects totaling over \$16 billion in loan volume. Four projects withdrew prior to disbursement of any funds. The FY 2020 Budget proposes to cancel all remaining unobligated, emergency designated, credit subsidy appropriated by the American Reinvestment and Recovery Act of 2009 (Public Law 111-5).

**Object Classification** (in millions of dollars)

Identification code 089-0208-0-1-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	11	11	11
11.9 Total personnel compensation	11	11	11
12.1 Civilian personnel benefits	4	4	4

25.1	Advisory and assistance services	6	6	6
25.3	Other goods and services from Federal sources	3	3	3
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
41.0	Grants, subsidies, and contributions	1	12	
99.0	Direct obligations	27	38	26
99.9	Total new obligations, unexpired accounts	27	38	26

**Employment Summary**

Identification code 089-0208-0-1-271	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	82	79	77

**TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 089-4455-0-3-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations	3,703	
0713	Payment of interest to Treasury	13	20
0715	Interest paid to FFB	310	391
0740	Negative subsidy obligations		100
0742	Downward reestimates paid to receipt accounts	216	205
0743	Interest on downward reestimates	33	35
0900	Total new obligations, unexpired accounts	572	4,454

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1,034	863
1021	Recoveries of prior year unpaid obligations	143	
1023	Unobligated balances applied to repay debt	-256	-132
1024	Unobligated balance of borrowing authority withdrawn	-143	
1050	Unobligated balance (total)	778	731
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	149	3,998
Spending authority from offsetting collections, mandatory:			
1800	Collected	648	532
1801	Change in uncollected payments, Federal sources	-44	
1825	Spending authority from offsetting collections applied to repay debt	-96	-82
1850	Spending auth from offsetting collections, mand (total)	508	450
1900	Budget authority (total)	657	4,448
1930	Total budgetary resources available	1,435	5,179
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	863	725

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2,770	2,626
3010	New obligations, unexpired accounts	572	4,454
3020	Outlays (gross)	-573	-3,924
3040	Recoveries of prior year unpaid obligations, unexpired	-143	
3050	Unpaid obligations, end of year	2,626	3,156
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-44	
3070	Change in uncollected pymts, Fed sources, unexpired	44	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2,726	2,626
3200	Obligated balance, end of year	2,626	3,156

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross	657	4,448
Financing disbursements:			
4110	Outlays, gross (total)	573	3,924
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payment from program account	-1	
4120	Interest on reestimate		-12
4122	Interest on uninvested funds	-26	-66
4123	Interest payments	-293	-282
4123	Principal payments	-328	-172
4130	Offsets against gross budget authority and outlays (total)	-648	-532

TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 089-4455-0-3-271	2018 actual	2019 est.	2020 est.
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	44		
4160 Budget authority, net (mandatory) .....	53	3,916	-201
4170 Outlays, net (mandatory) .....	-75	3,392	-61
4180 Budget authority, net (total) .....	53	3,916	-201
4190 Outlays, net (total) .....	-75	3,392	-61

Status of Direct Loans (in millions of dollars)

Identification code 089-4455-0-3-271	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward .....	26,125	26,125	
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-26,125	-22,422	
1150 Total direct loan obligations .....		3,703	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	11,649	11,384	14,475
1231 Disbursements: Direct loan disbursements .....		3,165	266
1251 Repayments: Repayments and prepayments .....	-328	-172	-251
1261 Adjustments: Capitalized interest .....	63	98	
1264 Other adjustments, net (+ or -) [Payment of capitalized interest] .....			-32
1290 Outstanding, end of year .....	11,384	14,475	14,458

Balance Sheet (in millions of dollars)

Identification code 089-4455-0-3-271	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	990	861
Investments in U.S. securities:		
1106 Receivables, net .....	8	23
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	11,649	11,384
1402 Interest receivable .....	67	67
1405 Allowance for subsidy cost (-) .....	-739	-475
1499 Net present value of assets related to direct loans .....	10,977	10,976
1999 Total assets .....	11,975	11,860
LIABILITIES:		
Federal liabilities:		
2103 Debt .....	11,784	11,581
2105 Other .....	191	279
2999 Total liabilities .....	11,975	11,860
4999 Total liabilities and net position .....	11,975	11,860

TRIBAL ENERGY LOAN GUARANTEE PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

【For Department of Energy administrative expenses necessary in carrying out the Tribal Energy Loan Guarantee Program, \$1,000,000, to remain available until September 30, 2020.】 *Of the unobligated balances available under this heading for the cost of loan guarantees, \$8,500,000 are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 089-0350-0-1-271	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0709 Administrative expenses .....	1	1	
0900 Total new obligations, unexpired accounts (object class 99.5) .....	1	1	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	
1131 Unobligated balance of appropriations permanently reduced .....			-9
1160 Appropriation, discretionary (total) .....	1	1	-9
1930 Total budgetary resources available .....	10	10	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 New obligations, unexpired accounts .....	1	1	
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1	1	-9
Outlays, gross:			
4011 Outlays from discretionary balances .....	1		
4180 Budget authority, net (total) .....	1	1	-9
4190 Outlays, net (total) .....	1		

Section 2602 of the Energy Policy Act of 1992, as amended by the Energy Policy Act of 2005, authorized a loan guarantee program at the Department of Energy to support energy development by Indian tribes.

The FY 2020 Budget eliminates the Tribal Energy Loan Guarantee Program (TELGP) and proposes to cancel the \$8,500,000 appropriated for credit subsidy. The Loan Program Office will utilize unobligated balances carried forward from prior-year appropriations to cover administrative expenses. In FY 2020, LPO will stop originating loans for TELGP but will continue to monitor any loans that may close by October 1, 2019.

Employment Summary

Identification code 089-0350-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		5	

TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 089-4577-0-3-271	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal .....		16	11
0712 Default claim payments on interest .....		4	4
0742 Downward reestimates paid to receipt accounts .....	8	18	
0743 Interest on downward reestimates .....	1	5	
0900 Total new obligations, unexpired accounts .....	9	43	15

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	152	147	109
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....		2	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	3	12
1825 Spending authority from offsetting collections applied to repay debt .....			-4
1850 Spending auth from offsetting collections, mand (total) .....	4	3	8
1900 Budget authority (total) .....	4	5	11
1930 Total budgetary resources available .....	156	152	120
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	147	109	105

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	9	43	15

3020	Outlays (gross) .....	-9	-43	-15
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-9	-9
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-9	-9	-9
3200	Obligated balance, end of year .....	-9	-9	-9
<b>Financing authority and disbursements, net:</b>				
	Mandatory:			
4090	Budget authority, gross .....	4	5	11
	Financing disbursements:			
4110	Outlays, gross (total) .....	9	43	15
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4122	Interest on uninvested funds .....	-4	-3	-3
4123	Principal payments .....			-7
4123	Interest Payments .....			-2
4130	Offsets against gross budget authority and outlays (total) ....	-4	-3	-12
4160	Budget authority, net (mandatory) .....		2	-1
4170	Outlays, net (mandatory) .....	5	40	3
4180	Budget authority, net (total) .....		2	-1
4190	Outlays, net (total) .....	5	40	3

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 089-4577-0-3-271	2018 actual	2019 est.	2020 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2121	Limitation available from carry-forward .....			
2143	Uncommitted limitation carried forward .....			
2150	Total guaranteed loan commitments .....			
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	2,770	2,649	2,528
2231	Disbursements of new guaranteed loans .....			
2251	Repayments and prepayments .....	-121	-105	-133
2261	Adjustments: Terminations for default that result in loans receivable .....		-16	-11
2290	Outstanding, end of year .....	2,649	2,528	2,384
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2,119	2,022	1,907
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....			20
2331	Disbursements for guaranteed loan claims .....		16	11
2351	Repayments of loans receivable .....			-9
2364	Other adjustments, net .....		4	4
2390	Outstanding, end of year .....		20	26

**Balance Sheet** (in millions of dollars)

Identification code 089-4577-0-3-271	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	142	138
	Investments in U.S. securities:		
1106	Receivables, net .....		
1501	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross .....		
1999	Total assets .....	142	138
<b>LIABILITIES:</b>			
Federal liabilities:			
2101	Accounts payable .....		
2105	Other .....	8	22
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	134	116
2999	Total liabilities .....	142	138
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	142	138

**POWER MARKETING ADMINISTRATION**

*Federal Funds*

OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska. These functions include operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs. All Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998.

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, **[\$6,500,000] \$6,597,000**, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944, up to **[\$6,500,000] \$6,597,000** collected by the Southeastern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the Southeastern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2019] 2020** appropriation estimated at not more than \$0: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$55,000,000] \$65,715,000** collected by the Southeastern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 089-0302-0-1-271	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Purchase Power and Wheeling .....	55	55	66
0802	Annual Expenses and other costs repaid in one year .....	7	7	7
0900	Total new obligations, unexpired accounts .....	62	62	73
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	20	14	14
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	56	62	73
1900	Budget authority (total) .....	56	62	73
1930	Total budgetary resources available .....	76	76	87
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	14	14	14
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	8	6	2
3010	New obligations, unexpired accounts .....	62	62	73
3020	Outlays (gross) .....	-64	-66	-72
3050	Unpaid obligations, end of year .....	6	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8	6	2
3200	Obligated balance, end of year .....	6	2	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	56	62	73
Outlays, gross:				
4010	Outlays from new discretionary authority .....	36	60	70
4011	Outlays from discretionary balances .....	28	6	2
4020	Outlays, gross (total) .....	64	66	72

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION—Continued  
**Program and Financing—Continued**

Identification code 089-0302-0-1-271	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-56	-62	-73
4040 Offsets against gross budget authority and outlays (total) ....	-56	-62	-73
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	8	4	-1

The Southeastern Power Administration (Southeastern) markets power generated at 22 U.S. Army Corps of Engineers' hydroelectric generating plants in an eleven-State area of the Southeast. Power deliveries are made by means of contracting for use of transmission facilities owned by others.

Southeastern sells wholesale power primarily to publicly and cooperatively owned electric distribution utilities. Southeastern does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers the costs of operations and the capital invested in power facilities, with interest, in keeping with statutory requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

*Program Direction.*—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, accounting and budget activities, development of wholesale power rates, amortization of the Federal power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources.

*Purchase Power and Wheeling.*—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the disposal of power under contracts with utility companies. Customers are encouraged to use alternative funding mechanisms, including customer advances and net billing to finance these activities. Offsetting collections to fund these ongoing operating services are also available up to \$66 million in 2020.

**DISCRETIONARY PURCHASE POWER AND WHEELING, SOUTHEASTERN POWER ADMINISTRATION**

	(in millions of dollars)				
	2016 Actual	2017 Actual	2018 Actual	2019 Estimate	2020 Estimate
Limitation to collect, ('up to' ceiling in appropriations language) .....	67	61	51	55	66
Actual collections .....	67	61	50	55	66
PPW Unobligated balance brought forward, Oct 1 .....	5	21	17	12	12
Spending authority from offsetting collections .....	67	61	50	55	66
Obligations incurred .....	-51	-65	-55	-55	-66
PPW Unobligated balance, end of year .....	21	17	12	12	12

*Reimbursable Program.*—The Consolidated Appropriations Act, 2008 (P.L. 110-161) provided Southeastern with authority to accept advance payment from customers for reimbursable work associated with operations and maintenance activities, consistent with those authorized in section 5 of the Flood Control Act of 1944. Funds received from any State, municipality, corporation, association, firm, district, or individual as an advance payment for reimbursable work will be credited to Southeastern's account and remain available until expended.

**Object Classification (in millions of dollars)**

Identification code 089-0302-0-1-271	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4

12.1 Civilian personnel benefits .....	1	1	1
25.2 Purchase Power and Wheeling .....	55	55	66
25.2 Other services from non-Federal sources .....	2	2	2
99.0 Reimbursable obligations .....	62	62	73
99.9 Total new obligations, unexpired accounts .....	62	62	73

**Employment Summary**

Identification code 089-0302-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	40	44	44

CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southeastern service area is available to defray emergency expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was last activated in 2018 to finance power purchases associated with heightened demand and cost spikes due to severe cold weather. Consistent with sound business practices, the Southeastern Power Administration has implemented a policy to recover all emergency costs associated with purchased power and wheeling within one year from the time funds are expended.

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying out section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the Southwestern Power Administration, **[\$45,802,000]** \$47,775,000, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), up to **[\$35,402,000]** \$37,375,000 collected by the Southwestern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Southwestern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2019]** 2020 appropriation estimated at not more than \$10,400,000: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$50,000,000]** \$83,000,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing (in millions of dollars)**

Identification code 089-0303-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Systems operation and maintenance .....	3	2	2
0003 Construction .....	5	5	5
0004 Program direction .....	3	3	3
0200 Direct program subtotal .....	11	10	10
0799 Total direct obligations .....	11	10	10
0801 Annual expenses .....	33	35	37
0805 Purchase power and wheeling .....	56	50	83
0810 Other reimbursable activities .....	11	51	51
0899 Total reimbursable obligations .....	100	136	171
0900 Total new obligations, unexpired accounts .....	111	146	181

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	99	69	70
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Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	11	11	10
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	70	136	171
1900	Budget authority (total) .....	81	147	181
1930	Total budgetary resources available .....	180	216	251
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	69	70	70
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	86	126	111
3010	New obligations, unexpired accounts .....	111	146	181
3020	Outlays (gross) .....	-71	-161	-195
3050	Unpaid obligations, end of year .....	126	111	97
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	86	126	111
3200	Obligated balance, end of year .....	126	111	97
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	81	147	181
Outlays, gross:				
4010	Outlays from new discretionary authority .....	17	143	177
4011	Outlays from discretionary balances .....	54	18	18
4020	Outlays, gross (total) .....	71	161	195
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-6	-6	-6
4033	Non-Federal sources .....	-70	-130	-165
4040	Offsets against gross budget authority and outlays (total) ....	-70	-136	-171
4070	Budget authority, net (discretionary) .....	11	11	10
4080	Outlays, net (discretionary) .....	1	25	24
4180	Budget authority, net (total) .....	11	11	10
4190	Outlays, net (total) .....	1	25	24

The Southwestern Power Administration (Southwestern) operates in a six-state area marketing and delivering renewable hydroelectric power produced at the U.S. Army Corps of Engineers' dams. Southwestern operates and maintains 1,380 miles of high voltage transmission lines, 26 substations/switching stations, associated power system controls, and communication sites. Southwestern also makes modifications and constructs additions to existing facilities.

Southwestern markets and delivers its power at wholesale rates primarily to public bodies and rural electric cooperatives. In compliance with statutory requirements, Southwestern's power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operations, other costs allocated to power, and the capital investments in power facilities, with interest. Southwestern is also responsible for scheduling and dispatching power and negotiating power sales contracts to meet changing customer load requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Program Direction.**—Provides compensation and all related expenses for personnel who market, deliver, operate, and maintain Southwestern's high-voltage interconnected power system and associated facilities, those that perform cyber and physical security roles, and those that administratively support these functions.

**Operations and Maintenance.**—Provides essential electrical and communications equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for the safe, reliable, and cost effective operation and maintenance of the power system.

**Purchase Power and Wheeling.**—Provides for the purchase and delivery of energy to meet limited peaking power contractual obligations. Federal power receipts and alternative financing methods, including net billing, bill crediting, and customer advances are used to fund system-purchased power support and other contractual services. Southwestern has implemented a Purchase Power and Wheeling (PPW) risk mitigation strategy to ensure

continuous operations during periods of significant drought. The strategy was developed consistent with existing authorities, and with the participation and support of Southwestern's power customers. Under this approach, Southwestern retains receipts from the recovery of purchase power and wheeling expenses within the 'up to' amount specified by Congress. The receipts retained are available until expended and are available only for PPW expenses. As of the end of FY 2018, Southwestern's PPW reserve balance was \$69 million. Customers will provide other power resources and/or purchases for the remainder of their firm loads.

**DISCRETIONARY PURCHASE POWER AND WHEELING, SOUTHWESTERN POWER ADMINISTRATION**

	(in millions of dollars)				
	2016 Actual	2017 Actual	2018 Actual	2019 Estimate <sup>1</sup>	2020 Estimate <sup>1</sup>
Limitation to collect, ('up to' ceiling in appropriations language) .....	63	73	40	50	83
Actual collections .....	13	17	40	50	83
PPW Unobligated balance brought forward, Oct 1 .....	72	83	85	69	69
Spending authority from offsetting collections .....	13	17	40	50	83
Obligations incurred .....	-2	-15	-56	-50	-83
PPW Unobligated balance, end of year .....	83	85	69	69	69

<sup>1</sup> The FY 2019 and FY 2020 Estimates assume spending authority from offsetting collections equals the 'up to' ceiling and that obligations incurred are the same amount as the spending authority. Actual spending authority from offsetting collections and actual obligations will be dependent upon variability in market prices for PPW and hydrological conditions in Southwestern's region, which vary significantly, are largely unpredictable, and can change quickly.

**Construction.**—Provides for replacement, addition or upgrade of existing infrastructure to sustain reliable delivery of power to its customers, contain annual maintenance costs, and improve overall efficiency.

**Reimbursable Program.**—This activity involves services provided by Southwestern to others under various types of reimbursable arrangements.

**Object Classification** (in millions of dollars)

Identification code 089-0303-0-1-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	7	5	6
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	11	10	10
99.0 Reimbursable obligations .....	100	136	171
99.9 Total new obligations, unexpired accounts .....	111	146	181

**Employment Summary**

Identification code 089-0303-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10
2001 Reimbursable civilian full-time equivalent employment .....	165	184	184

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION  
(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Southwestern Power Administration, which operates and maintains 1,380 miles of high voltage transmission lines and 26 substations/switching stations.

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

**Program and Financing** (in millions of dollars)

Identification code 089-5649-0-2-271	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 089-5649-0-2-271	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-68	-68	-68
5081 Outstanding debt, EOY .....	-68	-68	-68

A continuing fund, maintained from receipts from the sale and transmission of electric power in the Southwestern service area, is available permanently for emergency expenses necessary to ensure continuity of electric service and continuous operation of the facilities. The fund is also available on an ongoing basis to pay for purchase power and wheeling expenses when the Administrator determines that such expenses are necessary to meet contractual obligations for the sale and delivery of power during periods of below-average generation (16 U.S.C. 825s-1 as amended further by Public Law 101-101). The fund was last activated in FY 2009 to repair and replace damaged transmission lines due to an ice storm.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA POWER ADMINISTRATION

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, **[\$265,142,000]** \$262,959,000, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended, of which **[\$265,142,000]** \$262,959,000 shall be derived from the Department of the Interior Reclamation Fund: *Provided*, That notwithstanding 31 U.S.C. 3302, section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), and section 1 of the Interior Department Appropriation Act, 1939 (43 U.S.C. 392a), up to **[\$175,770,000]** \$173,587,000 collected by the Western Area Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Western Area Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2019]** 2020 appropriation estimated at not more than \$89,372,000, of which \$89,372,000 is derived from the Reclamation Fund: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$225,442,000]** \$258,881,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses): *Provided further*, That of the unobligated balances from prior year appropriations available under this heading, \$176,000 is hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 089-5068-0-2-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Systems operation and maintenance .....	39	50	39
0004 Program direction .....	44	47	45
0005 Spectrum relocation .....	1		
0091 Direct Program by Activities - Subtotal (1 level) .....	84	97	84
0100 Total operating expenses .....	84	97	84
0101 Capital investment .....	12	6	6
0799 Total direct obligations .....	96	103	90
0802 Purchase Power and Wheeling .....	166	225	258
0803 Annual Expenses .....	167	176	178
0804 Other Reimbursable .....	283	599	745
0809 Reimbursable program activities, subtotal .....	616	1,000	1,181
0899 Total reimbursable obligations .....	616	1,000	1,181
0900 Total new obligations, unexpired accounts .....	712	1,103	1,271

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	570	562	548
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	571	562	548
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2		
1101 Appropriation (special or trust fund) .....	91	89	89
1160 Appropriation, discretionary (total) .....	93	89	89
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	614	1,000	1,176
1701 Change in uncollected payments, Federal sources .....	-4		
1750 Spending auth from offsetting collections, disc (total) .....	610	1,000	1,176
1900 Budget authority (total) .....	703	1,089	1,265
1930 Total budgetary resources available .....	1,274	1,651	1,813
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	562	548	542

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	277	301	474
3010 New obligations, unexpired accounts .....	712	1,103	1,271
3020 Outlays (gross) .....	-687	-930	-887
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	301	474	858
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-32	-32
3070 Change in uncollected pymts, Fed sources, unexpired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-32	-32	-32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	241	269	442
3200 Obligated balance, end of year .....	269	442	826

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	703	1,089	1,265
Outlays, gross:			
4010 Outlays from new discretionary authority .....	182	340	393
4011 Outlays from discretionary balances .....	505	590	494
4020 Outlays, gross (total) .....	687	930	887
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-161	-221	-202
4033 Non-Federal sources .....	-453	-779	-974
4040 Offsets against gross budget authority and outlays (total) .....	-614	-1,000	-1,176
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4		
4070 Budget authority, net (discretionary) .....	93	89	89
4080 Outlays, net (discretionary) .....	73	-70	-289
4180 Budget authority, net (total) .....	93	89	89
4190 Outlays, net (total) .....	73	-70	-289

Memorandum (non-add) entries:

5080 Outstanding debt, SOY .....	-11,263	-11,263	-11,263
5081 Outstanding debt, EOY .....	-11,263	-11,263	-11,263

The Western Area Power Administration (WAPA) markets electric power in 15 central and western states from federally owned power plants operated primarily by the Bureau of Reclamation, the Army Corps of Engineers, and the International Boundary and Water Commission. WAPA operates and maintains about 17,000 circuit-miles of high-voltage transmission lines, more than 300 substations/switchyards and associated power system controls, and communication and electrical facilities for 15 separate power projects. WAPA also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, WAPA's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Government recovers costs of operations, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to nearly 700 wholesale customers, including DOE's National Labs, more than two dozen U.S. Department of Defense installations, municipalities, cooperatives, irrigation districts, public utility districts, other State and Federal Government agencies, and private utilities. Receipts

are deposited in the Reclamation Fund, the Falcon and Amistad Operating and Maintenance Fund, the General Fund, the Colorado River Dam Fund, and the Colorado River Basins Power Marketing Fund.

As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

*Systems Operation and Maintenance.*—Provides essential electrical and communication equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

*Purchase Power and Wheeling.*—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the distribution of power under contracts with utility companies. Customers are encouraged to contract for power and wheeling on their own, or use alternative funding mechanisms, including customer advances, net billing, and bill crediting to finance these activities. Ongoing operating services are also available on a reimbursable basis.

WAPA has implemented a Purchase Power and Wheeling (PPW) risk mitigation strategy to ensure continuous operations during periods of significant drought. The strategy was developed consistent with existing authorities, and with the participation and support of WAPA power customers. Under this approach, WAPA retains receipts from the recovery of purchase power and wheeling expenses within the 'up to' amount specified by Congress. The receipts retained are available until expended, and are available only for purchase power and wheeling expenses. As of the end of FY 2018, WAPA's PPW reserve balance was \$282 million.

**DISCRETIONARY PURCHASE POWER AND WHEELING, WESTERN AREA POWER ADMINISTRATION<sup>1</sup>**

	(in millions of dollars)				
	2016 Actual	2017 Actual	2018 Actual	2019 Estimate	2020 Estimate
Limitation to collect, ('up to' ceiling in appropriations language) .....	353	367	209	225	259
Actual collections .....	163	209	209	225	259
PPW Unobligated balance brought forward, Oct 1 .....	145	190	239	282	282
Spending authority from offsetting collections .....	163	209	209	225	259
Obligations incurred .....	-118	-160	-166	-225	-259
PPW Unobligated balance, end of year .....	190	239	282	282	282

<sup>1</sup>Excludes alternative financing for PPW

*System Construction.*—WAPA's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. WAPA will continue to participate in joint construction projects with customers to encourage more widespread transmission access.

*Program Direction.*—Provides compensation and all related expenses for the workforce that operates and maintains WAPA's high-voltage interconnected transmission system (systems operation and maintenance program), and those that plan, design, and supervise the construction of replacements, upgrades, and additions (system construction program) to the transmission facilities.

*Reimbursable Program.*—This program involves services provided by WAPA to others under various types of reimbursable arrangements. WAPA's reimbursable authority and partnerships were demonstrated following the severe hurricane damage in the U.S. Virgin Islands and Puerto Rico. WAPA responded to the urgent need to restore the energy infrastructure and access to power in the U.S. Virgin Islands and supported the U.S. Army Corps of Engineers' emergency power restoration efforts in Puerto Rico. WAPA also supported responses to natural disasters in Hawaii (volcanic eruption), Guam (typhoon) and California (wild fires).

WAPA will continue to spend out of the Colorado River Dam Fund for operations and maintenance activities associated with the Boulder Canyon

Project via a reimbursable arrangement with the Interior Department's Bureau of Reclamation. The Colorado River Dam Fund is a revolving fund operated by the Bureau of Reclamation. Authority for WAPA to obligate directly from the Colorado River Dam Fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

**Object Classification (in millions of dollars)**

Identification code 089-5068-0-2-271	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	19	23	20
11.5 Other personnel compensation .....	4	3	2
11.9 Total personnel compensation .....	23	26	22
12.1 Civilian personnel benefits .....	7	7	6
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1		
23.1 Rental payments to GSA .....		2	1
23.3 Communications, utilities, and miscellaneous charges .....		2	2
25.1 Advisory and assistance services .....	7	8	8
25.2 Other services from non-Federal sources .....	17	6	6
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	12	26	21
32.0 Land and structures .....	25	21	19
99.0 Direct obligations .....	96	103	90
99.0 Reimbursable obligations .....	616	1,000	1,181
99.9 Total new obligations, unexpired accounts .....	712	1,103	1,271

**Employment Summary**

Identification code 089-5068-0-2-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	166	161	178
2001 Reimbursable civilian full-time equivalent employment .....	1,000	1,049	1,032

**CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA POWER ADMINISTRATION**

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Western Area Power Administration, which operates and maintains about 17,000 circuit-miles of high voltage transmission lines and more than 300 substations/switching yards.

**WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT**

**Program and Financing (in millions of dollars)**

Identification code 089-4404-0-3-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Transmission Infrastructure Program Projects .....		1,025	550
0811 Western Area Power Administration, Borrowing Authority, Recovery (Reimbursable) .....	7	48	52
0900 Total new obligations, unexpired accounts .....	7	1,073	602
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	12	19
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	6	6	
<b>Budget authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....		1,025	550
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	3	43	47
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	4	12	30
1825 Spending authority from offsetting collections applied to repay debt .....			-25
1850 Spending auth from offsetting collections, mand (total) .....	4	12	5
1900 Budget authority (total) .....	7	1,080	602
1930 Total budgetary resources available .....	19	1,092	621
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	19	19

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT—Continued  
Program and Financing—Continued

Identification code 089-4404-0-3-271	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	25	707
3010 New obligations, unexpired accounts .....	7	1,073	602
3020 Outlays (gross) .....	-7	-391	-608
3050 Unpaid obligations, end of year .....	25	707	701
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	25	707
3200 Obligated balance, end of year .....	25	707	701
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	43	47
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	43	47
4011 Outlays from discretionary balances .....	3	5	.....
4020 Outlays, gross (total) .....	4	48	47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
4033 Non-Federal sources .....	.....	-40	-44
4040 Offsets against gross budget authority and outlays (total) ....	-3	-43	-47
4080 Outlays, net (discretionary) .....	1	5	.....
Mandatory:			
4090 Budget authority, gross .....	4	1,037	555
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	322	105
4101 Outlays from mandatory balances .....	3	21	456
4110 Outlays, gross (total) .....	3	343	561
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4	-12	-30
4180 Budget authority, net (total) .....	.....	1,025	525
4190 Outlays, net (total) .....	.....	336	531

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	.....	1,025	525
Outlays .....	.....	336	531
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-550
Outlays .....	.....	.....	-550
Total:			
Budget Authority .....	.....	1,025	-25
Outlays .....	.....	336	-19

The American Recovery and Reinvestment Act of 2009 (the Act) provided Western Area Power Administration (WAPA) borrowing authority for the purpose of constructing, financing, facilitating, planning, operating, maintaining, or studying construction of new or upgraded electric power transmission lines and related facilities with at least one terminus within the area served by WAPA, and for delivering or facilitating the delivery of power generated by renewable energy resources. This authority to borrow from the United States Treasury is available to WAPA on a permanent, indefinite basis, with the amount of borrowing outstanding not to exceed \$3.25 billion at any one time. WAPA established the Transmission Infrastructure Program (TIP) to manage and administer this borrowing authority and its related program requirements.

Object Classification (in millions of dollars)

Identification code 089-4404-0-3-271	2018 actual	2019 est.	2020 est.
25.1 Direct obligations: Advisory and assistance services .....	.....	1,025	550
99.0 Direct obligations .....	.....	1,025	550
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.1 Advisory and assistance services .....	.....	7	6
25.2 Other services from non-Federal sources .....	3	4	3

43.0 Interest and dividends .....	3	35	41
99.0 Reimbursable obligations .....	7	48	52
99.9 Total new obligations, unexpired accounts .....	7	1,073	602

Employment Summary

Identification code 089-4404-0-3-271	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	7	18	15

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 089-4404-4-3-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	.....	.....	-550
1900 Budget authority (total) .....	.....	.....	-550
1930 Total budgetary resources available .....	.....	.....	-550
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	-550
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....	.....	.....	550
3050 Unpaid obligations, end of year .....	.....	.....	550
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	550
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	-550
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	-100
4101 Outlays from mandatory balances .....	.....	.....	-450
4110 Outlays, gross (total) .....	.....	.....	-550
4180 Budget authority, net (total) .....	.....	.....	-550
4190 Outlays, net (total) .....	.....	.....	-550

This proposal would repeal Western Area Power Administration (WAPA)'s emergency borrowing authority authorized by the American Recovery and Reinvestment Act of 2009 for the purpose of constructing and/or funding projects within WAPA's service territory that deliver or facilitate the delivery of power generated by renewable energy resources.

EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-5069-0-2-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....	-55	-55	-55
5081 Outstanding debt, EOY .....	-55	-55	-55

An emergency fund maintained from receipts from the sale and transmission of electric power available to defray expenses necessary to ensure continuity of service. The fund was last activated in fiscal year 2010 to repair and replace damaged transmission lines due to severe winter storm conditions.

FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, **[\$1,568,000]** \$3,160,000, to remain available until expended, and to be derived from the Falcon and Amistad Operating and Maintenance Fund of the Western Area Power Administration, as provided in section 2 of the Act of June 18, 1954 (68 Stat. 255): *Provided*, That notwithstanding the provisions of that Act and of 31 U.S.C. 3302, up to **[\$1,340,000]** \$2,932,000 collected by the Western Area Power Administration from the sale of power and related services from the Falcon and Amistad Dams shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the hydroelectric facilities of these Dams and associated Western Area Power Administration activities: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2019]** 2020 appropriation estimated at not more than \$228,000: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred: *Provided further*, That for fiscal year **[2019]** 2020, the Administrator of the Western Area Power Administration may accept up to **[\$372,000]** \$1,187,000 in funds contributed by United States power customers of the Falcon and Amistad Dams for deposit into the Falcon and Amistad Operating and Maintenance Fund, and such funds shall be available for the purpose for which contributed in like manner as if said sums had been specifically appropriated for such purpose: *Provided further*, That any such funds shall be available without further appropriation and without fiscal year limitation for use by the Commissioner of the United States Section of the International Boundary and Water Commission for the sole purpose of operating, maintaining, repairing, rehabilitating, replacing, or upgrading the hydroelectric facilities at these Dams in accordance with agreements reached between the Administrator, Commissioner, and the power customers. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5178-0-2-271	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	9	9	11
Receipts:			
Current law:			
1130 Falcon and Amistad Operating and Maintenance Fund			
Receipts		2	2
2000 Total: Balances and receipts	9	11	13
5099 Balance, end of year	9	11	13

Program and Financing (in millions of dollars)

Identification code 089-5178-0-2-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program activity - Annual expenses	1	4	4
0802 Reimbursable program activity - Alternative Financing			1
0900 Total new obligations, unexpired accounts (object class 25.3)	1	4	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		3	1
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections	4	2	4
1930 Total budgetary resources available	4	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	6	4
3010 New obligations, unexpired accounts	1	4	5
3020 Outlays (gross)	-4	-6	-7
3050 Unpaid obligations, end of year	6	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	6	4
3200 Obligated balance, end of year	6	4	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	4	2	4

Outlays, gross:			
4010 Outlays from new discretionary authority		1	3
4011 Outlays from discretionary balances	4	5	4
4020 Outlays, gross (total)	4	6	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-4	-2	-4
4180 Budget authority, net (total)			
4190 Outlays, net (total)		4	3

Pursuant to section 2 of the Act of June 18, 1954, as amended, Western Area Power Administration is requesting funding for the Falcon and Amistad Operating and Maintenance Fund to defray operations, maintenance, and emergency (OM&E) expenses for the hydroelectric facilities at Falcon and Amistad Dams on the Rio Grande River. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. Within the fund, \$200,000 is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. The budget provides funding for annual expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses. The budget also provides authority to use customer advances. The contributed customer funds will finance the capital replacement requirements of the projects.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-4452-0-3-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Program direction	61	63	67
0802 Equipment, Contracts and Related Expenses	76	157	153
0900 Total new obligations, unexpired accounts	137	220	220
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	124	139	139
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	125	139	139
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	151	243	241
1720 Capital transfer of spending authority from offsetting collections to general fund		-23	-21
1750 Spending auth from offsetting collections, disc (total)	151	220	220
1930 Total budgetary resources available	276	359	359
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	139	139	139
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	43	41	62
3010 New obligations, unexpired accounts	137	220	220
3020 Outlays (gross)	-138	-199	-227
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	41	62	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	42	40	61
3200 Obligated balance, end of year	40	61	54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	151	220	220
Outlays, gross:			
4010 Outlays from new discretionary authority	55	49	49
4011 Outlays from discretionary balances	83	150	178
4020 Outlays, gross (total)	138	199	227
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-5	-5	-5

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION—Continued

Program and Financing—Continued

Identification code 089-4452-0-3-271	2018 actual	2019 est.	2020 est.
4033 Non-Federal sources .....	-146	-238	-236
4040 Offsets against gross budget authority and outlays (total) ....	-151	-243	-241
4070 Budget authority, net (discretionary) .....		-23	-21
4080 Outlays, net (discretionary) .....	-13	-44	-14
4180 Budget authority, net (total) .....		-23	-21
4190 Outlays, net (total) .....	-13	-44	-14

Western Area Power Administration's (WAPA) operation and maintenance (O&M) and power marketing expenses for the Colorado River Storage Project, the Seedskadee Project, the Dolores Project, the Olmsted Replacement Project, and the Fort Peck Project are financed from power revenues.

**Colorado River Storage Project.**—WAPA markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project consisting of four major storage units: Glen Canyon on the Colorado River in Arizona, Flaming Gorge on the Green River in Utah, Navajo on the San Juan River in New Mexico, and the Wayne N. Aspinall unit on the Gunnison River in Colorado.

**Seedskadee Project.**—This project includes WAPA's expenses for O&M, power marketing, and transmission of hydroelectric power from the Fontenelle Dam power plant in southwestern Wyoming.

**Dolores Project.**—This project includes WAPA's expenses for O&M, power marketing, and transmission of hydroelectric power from power plants at McPhee Dam and Towaoc Canal in southwestern Colorado.

**Fort Peck Project.**—Revenues collected by WAPA are used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, and WAPA operates and maintains the transmission system and performs power marketing functions.

**Olmsted Replacement Project.**—This project includes WAPA's expenses for power marketing of hydroelectric power from the Olmsted Power Plant in Northern Utah.

**Equipment, Contracts and Related Expenses.**—WAPA operates and maintains approximately 4,000 miles of transmission lines, substations, switchyards, communications, and control equipment associated with this fund. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M, and all capital invested in power, with interest. This activity provides for the supplies, materials, services, capital equipment replacements, and additions, including communications and control equipment, purchase power, transmission and wheeling services, and interest payments to the U.S. Treasury.

**Program Direction.**—The personnel compensation and related expenses for all these activities are quantified under Program Direction.

Object Classification (in millions of dollars)

Identification code 089-4452-0-3-271	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	29	30	33
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	32	33	36
12.1 Civilian personnel benefits .....	11	11	11
20.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
25.1 Advisory and assistance services .....	7	7	8
25.2 Other services from non-Federal sources .....	24	120	110
25.3 Other goods and services from Federal sources .....		7	8
25.7 Operation and maintenance of equipment .....	46	1	1
26.0 Supplies and materials .....	2	4	3
31.0 Equipment .....	4	7	6
32.0 Land and structures .....	7	20	26

43.0 Interest and dividends .....		5	6
99.9 Total new obligations, unexpired accounts .....	137	220	220

Employment Summary

Identification code 089-4452-0-3-271	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	273	293	296

BONNEVILLE POWER ADMINISTRATION FUND

Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved for the *Steigerwald Floodplain Restoration Project and, in addition, for official reception and representation expenses in an amount not to exceed \$5,000: Provided, That during fiscal year [2019] 2020, no new direct loan obligations may be made. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 089-4045-0-3-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Power business line .....	1,365	1,099	962
0802 Residential exchange .....	241	318	257
0803 Bureau of Reclamation .....	153	163	165
0804 Corp of Engineers .....	245	256	256
0805 Colville settlement .....	20	23	23
0806 U.S. Fish & Wildlife .....	31	33	32
0807 Planning council .....	11	12	12
0808 Fish and Wildlife .....	247	276	276
0809 Reimbursable program activities, subtotal .....	2,313	2,180	1,983
0811 Transmission business line .....	463	513	492
0812 Conservation and energy efficiency .....	163	165	158
0813 Interest .....	225	246	196
0814 Pension and health benefits .....	40	31	38
0819 Reimbursable program activities, subtotal .....	891	955	884
0821 Power business line .....	199	265	238
0822 Transmission services .....	254	489	479
0824 Fish and Wildlife .....	31	44	47
0825 Capital Equipment .....	15	27	22
0826 Projects funded in advance .....	157	41	86
0829 Reimbursable program activities, subtotal .....	656	866	872
0900 Total new obligations, unexpired accounts .....	3,860	4,001	3,739

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	13	12	417
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		5	
1023 Unobligated balances applied to repay debt .....			-406
1050 Unobligated balance (total) .....	13	17	11
<b>Budget authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	809	825	787
<b>Contract authority, mandatory:</b>			
1600 Contract authority .....	2,604		
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	3,654	3,985	3,907
1801 Change in uncollected payments, Federal sources .....	24		
1802 Offsetting collections (previously unavailable) .....	10	10	
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-10	-10	
1825 Spending authority from offsetting collections applied to repay debt .....	-287	-409	-408
1826 Spending authority from offsetting collections applied to liquidate contract authority .....	-2,945		
1850 Spending auth from offsetting collections, mand (total) .....	446	3,576	3,499
1900 Budget authority (total) .....	3,859	4,401	4,286
1930 Total budgetary resources available .....	3,872	4,418	4,297
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	12	417	558

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,501	3,462	3,461
3010 New obligations, unexpired accounts .....	3,860	4,001	3,739
3020 Outlays (gross) .....	-3,899	-4,002	-3,740

3050	Unpaid obligations, end of year .....	3,462	3,461	3,460
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-342	-366	-366
3070	Change in uncollected pymts, Fed sources, unexpired .....	-24		
3090	Uncollected pymts, Fed sources, end of year .....	-366	-366	-366
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3,159	3,096	3,095
3200	Obligated balance, end of year .....	3,096	3,095	3,094
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3,859	4,401	4,286
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	3,855	3,902	3,640
4101	Outlays from mandatory balances .....	44	100	100
4110	Outlays, gross (total) .....	3,899	4,002	3,740
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-63	-90	-90
4121	Interest on Federal securities .....	-5		
4123	Non-Federal sources .....	-3,586	-3,895	-3,817
4130	Offsets against gross budget authority and outlays (total) ....	-3,654	-3,985	-3,907
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-24		
4160	Budget authority, net (mandatory) .....	181	416	379
4170	Outlays, net (mandatory) .....	245	17	-167
4180	Budget authority, net (total) .....	181	416	379
4190	Outlays, net (total) .....	245	17	-167
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	205	455	455
5001	Total investments, EOY: Federal securities: Par value .....	455	455	455
5052	Obligated balance, SOY: Contract authority .....	2,945	2,604	2,604
5053	Obligated balance, EOY: Contract authority .....	2,604	2,604	2,604
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	10	10	10
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	10	10	10

**Status of Direct Loans** (in millions of dollars)

Identification code 089-4045-0-3-271	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	2
1290 Outstanding, end of year .....	2	2	2

Bonneville Power Administration (BPA) is a Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 10 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal generating projects in the region. These generating resources and BPA's transmission system are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA provides about 50 percent of the region's electric energy supply and about three-fourths of the region's high-voltage electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA finances its operations with a business-type budget under the Government Corporation Control Act, 31 U.S.C. 9101-10, on the basis of the self-financing authority provided by the Federal Columbia River Transmission System Act of 1974 (Transmission Act) (Public Law 93-454) and the U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96-501) for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and other legislation. Authority to borrow from the U.S. Treasury is available to the BPA on a permanent, indefinite basis. The amount of U.S. Treasury borrowing outstanding at any time cannot exceed \$7.70 billion. BPA finances its approximate \$4.4 billion annual cost of operations and

investments primarily using power and transmission revenues and loans from the U.S. Treasury.

*Operating Expenses—Transmission Services.*—Provides for operating over 15,000 circuit miles of high-voltage transmissions lines and 261 substations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2020.

*Power Services.*—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. This activity also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. This activity provides for payment of the operation and maintenance (O&M) costs allocated to power the 31 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, amortization on the capital investment in power generating facilities, and irrigation assistance at U.S. Bureau of Reclamation facilities. This activity also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. It also provides for extending the benefits of low-cost Federal power to the residential and small farm customers of investor-owned and publicly owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

*Interest.*—Provides for payments to the U.S. Treasury for interest on U.S. Treasury borrowings to finance BPA's capital investments under \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act; the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes; the American Recovery and Reinvestment Act of 2009; and other legislation. This interest category also includes interest on U.S. Army Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

*Capital Investments—Transmission Services.*—Provides for the planning, design and construction of transmission lines, substation and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

*Power Services.*—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest. It also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries, in accordance with the Pacific Northwest Power Act. This activity provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation.

*Capital Equipment/Capitalized Bond Premium.*—Provides for capital information technologies, office furniture and equipment, and software capital development in support of all BPA programs. It also provides for bond premiums incurred for refinancing of bonds.

*Total Capital Obligations.*—The 2020 capital obligations are estimated to be \$786.5 million.

*Contingencies.*—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations; or for payment of a retrospective premium adjustment in excess nuclear property insurance.

*Financing.*—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts

BONNEVILLE POWER ADMINISTRATION FUND—Continued

result primarily from the sale of power and transmission services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. BPA has \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act; the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes; the American Recovery and Reinvestment Act of 2009; and other legislation. At the end of 2018, BPA had outstanding bonds with the U.S. Treasury of \$5,531 million. At the end of 2018, BPA also had \$7,709.7 million of non-Federal debt outstanding, including Energy Northwest bonds. BPA will rely primarily on its U.S. Treasury borrowing authority to finance capital projects, but may also elect to use cash reserves generated by revenues from customers or seek third party financing sources when feasible to finance some of these investments.

In 2018, BPA made payments to the Treasury of \$862 million and also expects to make payments of \$776 million in 2019 and \$702 million in 2020. The 2020 payment is expected to be distributed as follows: interest on bonds and appropriations (\$232 million), amortization (\$408 million), and other (\$62 million). BPA also received credits totaling approximately \$93 million applied against its Treasury payments in 2018 to reflect amounts diverted to fish mitigation efforts, but not allocable to power, in the Columbia and Snake River systems.

BPA, with input from its stakeholders, considers other strategies to sustain funding for its infrastructure investment requirements as well. BPA's Financial Plan defines strategies and policies for guiding how BPA will manage risk and variability of electricity markets and water years. It also describes how BPA will continue to manage to ensure it meets its Treasury repayment responsibilities.

*Direct Loans.*—During 2020, no new direct loan obligations may be made.

*Operating Results.*—Total revenues are forecast at approximately \$3.9 billion in 2020.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health Benefits for its employees. The entire cost of BPA and the power share of FCRPS U.S. Army Corps of Engineers and U.S. Bureau of Reclamation employees working under the Federal Employees Retirement System is fully recovered in wholesale electric power and transmission rates.

Balance Sheet (in millions of dollars)

Identification code 089-4045-0-3-271	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	87	90
Investments in U.S. securities:		
1106 Receivables, net .....	205	454
1206 Non-Federal assets: Receivables, net .....	341	366
1601 Direct loans, gross .....		
1605 Accounts receivable from foreclosed property .....		
1699 Value of assets related to direct loans .....		
Other Federal assets:		
1802 Inventories and related properties .....	112	109
1803 Property, plant and equipment, net .....	7,152	7,295
1901 Other assets .....	14,744	14,064
1999 Total assets .....	22,641	22,378
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	118	94
2103 Debt .....	7,811	8,040
Non-Federal liabilities:		
2201 Accounts payable .....	377	367

2203 Debt .....	6,093	5,533
2207 Other .....	8,242	8,344
2999 Total liabilities .....	22,641	22,378
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	22,641	22,378

Object Classification (in millions of dollars)

Identification code 089-4045-0-3-271	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	326	379	355
12.1 Civilian personnel benefits .....	115	134	125
21.0 Travel and transportation of persons .....	4	5	5
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	21	25	23
23.3 Communications, utilities, and miscellaneous charges .....	7	8	8
25.1 Advisory and assistance services .....	73	85	79
25.2 Other services from non-Federal sources .....	2,811	2,782	2,602
25.5 Research and development contracts .....	7	6	2
26.0 Supplies and materials .....	29	34	32
31.0 Equipment .....	140	163	152
32.0 Land and structures .....	87	102	95
41.0 Grants, subsidies, and contributions .....	30	35	33
43.0 Interest and dividends .....	205	238	223
99.9 Total new obligations, unexpired accounts .....	3,860	4,001	3,739

Employment Summary

Identification code 089-4045-0-3-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,793	3,000	3,000

BONNEVILLE POWER ADMINISTRATION FUND

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Bonneville Power Administration, which operates and maintains over 15,000 circuit-miles of high voltage transmission lines and 261 substations.

DEPARTMENTAL ADMINISTRATION

Federal Funds

DEPARTMENTAL ADMINISTRATION

For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), **[\$261,858,000]** \$210,923,000, to remain available until September 30, **[2020]** 2021, including the hire of passenger motor vehicles and official reception and representation expenses not to exceed \$30,000, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): *Provided*, That such increases in cost of work are offset by revenue increases of the same or greater amount: *Provided further*, That moneys received by the Department for miscellaneous revenues estimated to total **[\$96,000,000]** \$93,378,000 in fiscal year **[2019]** 2020 may be retained and used for operating expenses within this account, as authorized by section 201 of Public Law 95-238, notwithstanding the provisions of 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2019]** 2020 appropriation from the general fund estimated at not more than **[\$165,858,000]** \$117,545,000. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 089-0228-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Office of the Secretary .....	5	5	5
0004 Office of Congressional and Intergovernmental Affairs .....	5	4	6
0005 Office of Public Affairs .....	4	5	6
0006 General Counsel .....	35	39	33
0007 Office of Policy .....		12	8
0008 Economic Impact and Diversity .....	8	14	9

0009	Chief Financial Officer .....	21	43	32
0011	Human Capital Management .....	23	30	24
0012	Indian Energy Policy .....	4	.....	.....
0013	Energy Policy and Systems Analysis .....	13	.....	.....
0014	International Affairs .....	21	26	.....
0015	Office of Small and Disadvantaged Business Utilization .....	3	4	4
0018	Management .....	53	61	54
0020	Project Management Oversight and Assessment .....	14	16	14
0025	Office of Technology Transitions .....	6	10	9
0045	Strategic partnership projects .....	31	40	40
0799	Total direct obligations .....	246	309	244
0900	Total new obligations, unexpired accounts .....	246	309	244
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	62	107	60
1021	Recoveries of prior year unpaid obligations .....	2	.....	.....
1050	Unobligated balance (total) .....	64	107	60
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	203	166	118
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	83	96	93
1701	Change in uncollected payments, Federal sources .....	3	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	86	96	93
1900	Budget authority (total) .....	289	262	211
1930	Total budgetary resources available .....	353	369	271
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	107	60	27
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	122	102	138
3010	New obligations, unexpired accounts .....	246	309	244
3020	Outlays (gross) .....	-261	-273	-227
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050	Unpaid obligations, end of year .....	102	138	155
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-9	-9
3070	Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	6	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	110	93	129
3200	Obligated balance, end of year .....	93	129	146
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	289	262	211
Outlays, gross:				
4010	Outlays from new discretionary authority .....	145	114	85
4011	Outlays from discretionary balances .....	116	159	142
4020	Outlays, gross (total) .....	261	273	227
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-28	-40	-40
4033	Non-Federal sources .....	-58	-56	-53
4040	Offsets against gross budget authority and outlays (total) ....	-86	-96	-93
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4052	Offsetting collections credited to expired accounts .....	3	.....	.....
4070	Budget authority, net (discretionary) .....	203	166	118
4080	Outlays, net (discretionary) .....	175	177	134
4180	Budget authority, net (total) .....	203	166	118
4190	Outlays, net (total) .....	175	177	134

**Office of the Secretary (OSE).**—Directs and leads the management of the Department and provides policy guidance to line and staff organizations in the accomplishment of DOE's mission.

**Congressional and Intergovernmental Affairs (CI).**—Responsible for DOE's liaison, communication, coordinating, directing, and promoting the Department's policies and legislative initiatives with Congress, State, territorial, Tribal and local government officials, and other Federal agencies.

**Public Affairs (PA).**—Responsible for directing and managing the Department's policies and initiatives with the public, news media, and other stakeholders. PA serves as the Department's chief spokesperson with the

news media, shapes initiatives aimed at educating the press and public about DOE issues, builds and maintains the Energy.gov internet platform.

**General Counsel (GC).**—Responsible for providing legal services to all Department offices, and for determining the Department's authoritative position on any question of law with respect to all Department offices and programs, except for those belonging exclusively to the Federal Energy Regulatory Commission. GC is responsible for the coordination and clearance of proposed legislation affecting energy policy and Department activities. GC administers and monitors standards of conduct requirements, conducts patent program and intellectual property activities, and coordinates rulemaking actions of the Department with other Federal agencies.

**Economic Impact and Diversity (ED).**—Develops and executes DOE policies to implement applicable statutes and Executive Orders that impact diversity goals affecting equal employment opportunities, minority businesses, minority educational institutions, and historically underrepresented communities. ED identifies ways of ensuring that underrepresented populations are afforded an opportunity to participate fully in DOE programs.

**Chief Financial Officer (CFO).**—Assures the effective management and financial integrity of DOE programs, activities, and resources by developing, implementing, and monitoring DOE-wide policies and systems in the areas of budget administration, finance and accounting, internal controls and financial policy, corporate financial systems, and strategic planning.

**Chief Information Officer (CIO).**—Provides advice and assistance to the Secretary and other senior managers to ensure that information technology is acquired and information resources are managed in a manner that complies with Administration policies and procedures and statutory requirements.

**Chief Human Capital Officer (HC).**—Provides DOE leadership on the impact and use of policies, proposals, programs, partnership agreements and relationships related to all aspects of human capital management. HC seeks solutions that address workforce issues in the areas of recruiting, hiring, motivating, succession planning, competency development, training and learning, retention, and diversity.

**Office of Policy (OP).**—Serves as the principal policy office advising the Secretary of Energy.

**International Affairs (IA).**—Advises Departmental leadership on strategic implementation of U.S. international energy policy, in line with energy security and market objectives. IA develops and leads the Department's bilateral and multilateral R&D cooperation, including investment and trade activities with other nations and international agencies, and represents the Department and the United States Government in interagency processes, intergovernmental forums, and bilateral and multilateral proceedings that address energy policies, strategies and objectives. Beginning in FY 2020 funding for this program is requested in a separate appropriation account.

**Office of Small and Disadvantaged Business Utilization (OSDBU).**—Responsible for maximizing contracting and subcontracting opportunities for small businesses interested in doing business with the Department. A primary responsibility of OSDBU is to work in partnership with Departmental program elements to achieve prime and subcontracting small business goals set forth by statute and the U.S. Small Business Administration.

**Office of Management (MA).**—Provides DOE with centralized direction and oversight for the full range of management, procurement and administrative services. MA is responsible for contract management policy development and oversight, acquisition and contract administration, and delivery of procurement services to DOE headquarters organizations. MA activities include the management of headquarters facilities, Department-wide implementation of Federal sustainability goals, and other related functions of the Department.

**Project Management Oversight and Assessment (PM).**—Provides DOE corporate oversight, managerial leadership and assistance in developing and implementing DOE-wide policies, procedures, programs, and management systems pertaining to project management, and manages the project management career development program for DOE's Federal Project Directors. PM also provides independent oversight of Environmental Manage-

DEPARTMENTAL ADMINISTRATION—Continued

ment's portfolio of capital asset projects that are \$100 million or greater, including all activities involved with on-site cost, schedule, technical and management status reviews, as well as analyzing and reporting performance progress of the projects. PM will also provide cost estimating and program evaluation.

*Strategic Partnership Programs (SPP).*— Covers the cost of work performed under orders placed with the Department by non-DOE entities that are precluded by law from making advance payments and certain revenue programs. Reimbursement of these costs is made through deposits of off-setting collections to this account.

*Office of Indian Energy Policy and Programs (IE).*—Directs, fosters, coordinates, and implements energy planning, education, management, and competitive grant programs that assist Tribes with clean energy development and infrastructure, capacity building, energy costs, and electrification of Indian lands and homes. IE coordinates programmatic activity across the Department related to development of clean energy resources on Indian lands, and works with other federal government agencies, Indian Tribes, and Tribal organizations to promote Indian energy policies and initiatives. Beginning in FY 2019, funding for this program is requested in a separate appropriation account.

*Office of Technology Transitions (OTT).*—Facilitates wide-reaching availability of DOE's capabilities and technologies for private sector commercialization. OTT serves a multi-disciplinary role, providing strategic management of DOE's tech-to-market activities, including the statutory Technology Commercialization Fund. OTT coordinates technology transition activities, data and analyses within the DOE—across Programs, field offices and the National Labs—as well as with other Federal agencies to reduce redundancies and improve the outcomes toward technology transfer and development of DOE research outputs.

Object Classification (in millions of dollars)

Identification code 089-0228-0-1-276	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	89	92	90
11.3 Other than full-time permanent .....	7	8	8
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	98	103	101
12.1 Civilian personnel benefits .....	29	30	24
21.0 Travel and transportation of persons .....	4	4	3
23.3 Communications, utilities, and miscellaneous charges .....	5	5	4
24.0 Pamphlets, Documents, Subscriptions and Publications .....	2	2	2
25.1 Advisory and assistance services .....	23	42	18
25.2 Other services from non-Federal sources .....	11	23	9
25.3 Other goods and services from Federal sources .....	42	52	51
25.4 Operation and maintenance of facilities .....	23	38	25
25.7 Other Contractual Services .....	2	3	2
44.0 Non-Capitalized Personal Property .....	1	1	1
44.0 Refunds .....	6	6	4
99.9 Total new obligations, unexpired accounts .....	246	309	244

Employment Summary

Identification code 089-0228-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	656	827	809

INTERNATIONAL AFFAIRS

For necessary expenses for International Affairs in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), \$36,100,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 089-0351-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 International affairs .....			36
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			36
1930 Total budgetary resources available .....			36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			36
3020 Outlays (gross) .....			-27
3050 Unpaid obligations, end of year .....			9
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			36
Outlays, gross:			
4010 Outlays from new discretionary authority .....			27
4180 Budget authority, net (total) .....			36
4190 Outlays, net (total) .....			27

*International Affairs (IA).*— Advises Department leadership on strategic implementation of U.S. international energy policy, in line with energy security and market objectives. IA develops and leads the Department's bilateral and multilateral R&D cooperation, including investment and trade activities with other nations and international agencies, and represents the Department and the United States Government in interagency processes, intergovernmental forums, and bilateral and multilateral proceedings that address energy policies, strategies and objectives. IA is the Department lead on fulfilling the Agency's requirements on the Committee of Foreign Investment in the U.S., including the expanded responsibilities derived from the Foreign Investment Risk Review Modernization Act of 2018.

Object Classification (in millions of dollars)

Identification code 089-0351-0-1-276	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			13
11.9 Total personnel compensation .....			13
12.1 Civilian personnel benefits .....			5
21.0 Travel and transportation of persons .....			1
23.3 Communications, utilities, and miscellaneous charges .....			3
25.1 Advisory and assistance services .....			6
25.3 Other goods and services from Federal sources .....			5
32.0 Land and structures .....			3
99.9 Total new obligations, unexpired accounts .....			36

Employment Summary

Identification code 089-0351-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			108

OFFICE OF THE INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$51,330,000]** \$54,215,000, to remain available until September 30, **[2020]** 2021. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 089-0236-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General (Direct) .....	48	55	54
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	49	51	54
1930 Total budgetary resources available .....	52	55	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	9
3010 New obligations, unexpired accounts .....	48	55	54
3020 Outlays (gross) .....	-47	-50	-55
3050 Unpaid obligations, end of year .....	4	9	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	4	9
3200 Obligated balance, end of year .....	4	9	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	49	51	54
Outlays, gross:			
4010 Outlays from new discretionary authority .....	42	43	46
4011 Outlays from discretionary balances .....	5	7	9
4020 Outlays, gross (total) .....	47	50	55
4180 Budget authority, net (total) .....	49	51	54
4190 Outlays, net (total) .....	47	50	55

The Office of Inspector General (OIG) provides Department-wide (including the National Nuclear Security Administration and the Federal Energy Regulatory Commission) audit, inspection, and investigative functions to identify and recommend corrections for management and administrative deficiencies, which create conditions for existing or potential instances of fraud, waste, abuse or violations of law. The audit function provides financial and performance audits of programs and operations. The inspection function provides independent inspection and analysis of the performance of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Through these efforts, the OIG identifies opportunities for cost savings and operational efficiency; identifies programs that are not meeting performance expectations; recovers monies to the Department and the Treasury as a result of civil and criminal prosecutions; and identifies ways to make Departmental programs safer and more secure.

**Object Classification** (in millions of dollars)

Identification code 089-0236-0-1-276	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	27	30	30
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	29	33	33
12.1 Civilian personnel benefits .....	12	15	15
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	3	2
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	48	55	54

**Employment Summary**

Identification code 089-0236-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	252	279	291

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 089-4563-0-4-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0802 Project management and career development program .....	2	2	2
0810 Supplies .....	2	2	2
0812 Copying Services .....	3	4	4
0813 Printing and graphics .....	4	5	5
0814 Building Occupancy (Rent, Operations & Maintenance) .....	125	112	113
0815 Corporate Business Systems .....	38	47	47
0816 Mail and Transportation Services .....	4	4	4
0817 Financial Statement Audits .....	12	12	12
0818 Procurement Management .....	6	16	16
0820 Telecommunication .....	35	37	37
0821 Overseas Presence .....	9	16	16
0822 Interagency Transfers .....	7	9	9
0823 Health Services .....	2	2	2
0825 Corporate Training Services .....	2	3	3
0826 A-123 / Internal Controls .....	1	3	3
0827 Pension Studies .....	1	1	1
0900 Total new obligations, unexpired accounts .....	253	275	276
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	64	64
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	48	64	64
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	269	275	276
1930 Total budgetary resources available .....	317	339	340
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	64	64
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	135	133	73
3010 New obligations, unexpired accounts .....	253	275	276
3020 Outlays (gross) .....	-253	-335	-331
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	133	73	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	135	133	73
3200 Obligated balance, end of year .....	133	73	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	269	275	276
Outlays, gross:			
4010 Outlays from new discretionary authority .....	111	264	265
4011 Outlays from discretionary balances .....	142	71	66
4020 Outlays, gross (total) .....	253	335	331
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-269	-275	-276
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-16	60	55

The Department's Working Capital Fund (WCF) provides the following shared services: rent and building operations, telecommunications, cybersecurity, automated office systems including the Standard Accounting and Reporting System, Strategic Integrated Procurement Enterprise System, payment processing, payroll and personnel processing, administrative services, training and health services, overseas representation, interagency transfers, procurement management, audits, and controls for financial reporting. The WCF assists the Department in improving operational efficiency.

WORKING CAPITAL FUND—Continued

Object Classification (in millions of dollars)

Identification code 089-4563-0-4-276	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	11	11
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	12	12	12
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	58	58	58
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	16	16	16
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	43	43	43
25.2 Other services from non-Federal sources .....	19	19	19
25.3 Other goods and services from Federal sources .....	50	72	73
25.4 Operation and maintenance of facilities .....	30	30	30
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	3	3
32.0 Land and structures .....	12	12	12
99.9 Total new obligations, unexpired accounts .....	253	275	276

Employment Summary

Identification code 089-4563-0-4-276	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	97	100	100

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
089-089400 Fees and Recoveries, Federal Energy Regulatory Commission .....	8	16	16
089-223400 Sale of Strategic Petroleum Reserve Oil .....	825	758	373
089-224500 Sale and Transmission of Electric Energy, Falcon Dam .....	2		
089-224500 Sale and Transmission of Electric Energy, Falcon Dam: Legislative proposal, subject to PAYGO .....			1
089-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration .....	6	21	24
089-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration: Legislative proposal, subject to PAYGO .....			-19
089-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration .....	164	175	177
089-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration: Legislative proposal, subject to PAYGO .....			-53
089-224900 Sale of Power and Other Utilities, not Otherwise Classified .....	37	30	30
089-224900 Sale of Power and Other Utilities, not Otherwise Classified: Legislative proposal, subject to PAYGO .....			318
089-267910 Title 17 Innovative Technology Loan Guarantees, Negative Subsidies .....		107	15
089-279530 DOE ATVM Direct Loans Downward Reestimate Account .....	74	22	
089-279730 DOE Loan Guarantees Downward Reestimate Account .....	258	263	
089-288900 Repayments on Miscellaneous Recoverable Costs, not Otherwise Classified .....	40	31	38
089-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	106	14	14
General Fund Offsetting receipts from the public .....	1,520	1,437	934
Intragovernmental payments:			
089-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....		7	7
General Fund Intragovernmental payments .....		7	7

GENERAL PROVISIONS—DEPARTMENT OF ENERGY

(INCLUDING TRANSFERS OF FUNDS)

SEC. 301. (a) No appropriation, funds, or authority made available by this title for the Department of Energy shall be used to initiate or resume any program, project, or activity or to prepare or initiate Requests For Proposals or similar arrangements (including Requests for Quotations, Requests for Information, and Funding Opportunity Announcements) for a program, project, or activity if the program, project, or activity has not been funded by Congress.

(b)(1) Unless the Secretary of Energy notifies the Committees on Appropriations of both Houses of Congress at least 3 full business days in advance, none of the funds made available in this title may be used to—

(A) make a grant allocation or discretionary grant award totaling \$1,000,000 or more;

(B) make a discretionary contract award or Other Transaction Agreement totaling \$1,000,000 or more, including a contract covered by the Federal Acquisition Regulation;

(C) issue a letter of intent to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B); or

(D) announce publicly the intention to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B).

(2) The Secretary of Energy shall submit to the Committees on Appropriations of both Houses of Congress within 15 days of the conclusion of each quarter a report detailing each grant allocation or discretionary grant award totaling less than \$1,000,000 provided during the previous quarter.

(3) The notification required by paragraph (1) and the report required by paragraph (2) shall include the recipient of the award, the amount of the award, the fiscal year for which the funds for the award were appropriated, the account and program, project, or activity from which the funds are being drawn, the title of the award, and a brief description of the activity for which the award is made.

(c) The Department of Energy may not, with respect to any program, project, or activity that uses budget authority made available in this title under the heading "Department of Energy—Energy Programs", enter into a multiyear contract, award a multiyear grant, or enter into a multiyear cooperative agreement unless—

(1) the contract, grant, or cooperative agreement is funded for the full period of performance as anticipated at the time of award; or

(2) the contract, grant, or cooperative agreement includes a clause conditioning the Federal Government's obligation on the availability of future year budget authority and the Secretary notifies the Committees on Appropriations of both Houses of Congress at least 3 days in advance.

(d) Except as provided in subsections (e), (f), and (g), the amounts made available by this title shall be expended as authorized by law for the programs, projects, and activities specified in the "Conference" column in the "Department of Energy" table included under the heading "Title III—Department of Energy" in the joint explanatory statement accompanying this Act.

(e) The amounts made available by this title may be reprogrammed for any program, project, or activity, and the Department shall notify, and obtain the prior approval of, the Committees on Appropriations of both Houses of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program, project, or activity funding level to increase or decrease by more than \$5,000,000 or 10 percent, whichever is less, during the time period covered by this Act.

(f) None of the funds provided in this title shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates, initiates, or eliminates a program, project, or activity;

(2) increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act; or

(3) reduces funds that are directed to be used for a specific program, project, or activity by this Act.

(g)(1) The Secretary of Energy may waive any requirement or restriction in this section that applies to the use of funds made available for the Department of Energy if compliance with such requirement or restriction would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Secretary of Energy shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver.

(h) The unexpended balances of prior appropriations provided for activities in this Act may be available to the same appropriation accounts for such activities established pursuant to this title. Available balances may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 302. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year [2019] 2020 until the enactment of the Intelligence Authorization Act for fiscal year [2019] 2020.

SEC. 303. None of the funds made available in this title shall be used for the construction of facilities classified as high-hazard nuclear facilities under 10 CFR Part 830 unless independent oversight is conducted by the Office of Enterprise Assessments to ensure the project is in compliance with nuclear safety requirements.

SEC. 304. None of the funds made available in this title may be used to approve critical decision-2 or critical decision-3 under Department of Energy Order 413.3B, or any successive departmental guidance, for construction projects where the total project cost exceeds \$100,000,000, until a separate independent cost estimate has been developed for the project for that critical decision.

SEC. 305. The Secretary of Energy may not transfer more than \$274,833,000 from the amounts made available under this title to the working capital fund established under section 653 of the Department of Energy Organization Act (42 U.S.C. 7263): *Provided*, That the Secretary may transfer additional amounts to the working capital fund after the Secretary provides notification in advance of any such transfer to the Committees on Appropriations of both Houses of Congress: *Provided further*, That any such notification shall identify the sources of funds by program, project, or activity: *Provided further*, That the Secretary shall notify the Committees on Appropriations of both Houses of Congress before adding or removing any activities from the fund. ]

SEC. [306]305. (a) None of the funds made available in this or any prior Act under the heading "Defense Nuclear Nonproliferation" may be made available to enter into new contracts with, or new agreements for Federal assistance to, the Russian Federation.

(b) The Secretary of Energy may waive the prohibition in subsection (a) if the Secretary determines that such activity is in the national security interests of the United States. This waiver authority may not be delegated.

(c) A waiver under subsection (b) shall not be effective until 15 days after the date on which the Secretary submits to the Committees on Appropriations of both Houses of Congress, in classified form if necessary, a report on the justification for the waiver.

SEC. 307. (a) **NEW REGIONAL RESERVES.**—The Secretary of Energy may not establish any new regional petroleum product reserve unless funding for the proposed regional petroleum product reserve is explicitly requested in advance in an annual budget submission and approved by the Congress in an appropriations Act.

(b) The budget request or notification shall include—

- (1) the justification for the new reserve;
- (2) a cost estimate for the establishment, operation, and maintenance of the reserve, including funding sources;
- (3) a detailed plan for operation of the reserve, including the conditions upon which the products may be released;
- (4) the location of the reserve; and
- (5) the estimate of the total inventory of the reserve. ]

SEC. [308]306. Notwithstanding section 161 of the Energy Policy and Conservation Act (42 U.S.C. 6241), upon a determination by the President in this fiscal year that a regional supply shortage of refined petroleum product of significant scope and duration exists, that a severe increase in the price of refined petroleum product will likely result from such shortage, and that a draw down and sale of refined petroleum product would assist directly and significantly in reducing the adverse impact of such shortage, the Secretary of Energy may draw down and sell refined petroleum product from the Strategic Petroleum Reserve. Proceeds from a sale under this section shall be deposited into the SPR Petroleum Account established in section 167 of the Energy Policy and Conservation Act (42 U.S.C. 6247), and such amounts shall be available for obligation, without fiscal year limitation, consistent with that section.

SEC. 307. *Section 310 of the Omnibus Appropriations Act, 2009 (Public Law 111-8; 50 U.S.C. 2743a note) and section 306 of the Consolidated Appropriations Act, 2012 (Public Law 112-74; 50 U.S.C. 2743a) are repealed.*

SEC. 308. *Not to exceed 5 percent of any appropriation made available for Department of Energy activities funded in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise provided, shall be increased or decreased by more than 5 percent by any such transfers, and notification of any such transfers shall be submitted promptly to the Committees on Appropriations of the House of Representatives and the Senate.*

SEC. 309. *Sec. Treatment of Lobbying and Political Activity Costs as Allowable Costs under Department of Energy Contracts.—*

(a) *Allowable Costs.—*

(1) *Section 4801(b) of the Atomic Energy Defense Act (50 U.S.C. 2781(b)) is amended—*

(A) *by striking "(1)" and all that follows through "the Secretary" and inserting "The Secretary"; and*

(B) *by striking paragraph (2).*

(2) *Section 305 of the Energy and Water Development Appropriation Act, 1988, as contained in section 101(d) of Public Law 100-202 (101 Stat. 1329-125), is repealed.*

(b) *Regulations Revised.—The Secretary of Energy shall revise existing regulations consistent with the repeal of 50 U.S.C. 2781(b)(2) and section 305 of Public Law 100-202 and shall issue regulations to implement 50 U.S.C. 2781(b), as amended by subsection (a), no later than 150 days after the date of the enactment of this Act. Such regulations shall be consistent with the Federal Acquisition Regulation 48 C.F.R. 31.205-22.*

SEC. 310. *Notwithstanding provisions of title 5, United States Code, the South-eastern Power Administration shall pay power system dispatchers at basic pay and premium pay rates that are based on those prevailing for similar occupations in the electric power industry. Pay may not be paid, by reason of this section, at a rate in excess of the rate of basic pay for level V of the Executive Schedule.*

SEC. 311. *Section 3131 of the National Defense Authorization Act for Fiscal Year 2000 (Public Law 106-65; 10 U.S.C. 2701 note) is amended by striking "or the defense activities of the Department of Energy". (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

## TITLE V—GENERAL PROVISIONS

### [(INCLUDING TRANSFER OF FUNDS)]

SEC. 501. None of the funds appropriated by this Act may be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before Congress, other than to communicate to Members of Congress as described in 18 U.S.C. 1913.

SEC. 502. (a) None of the funds made available in title III of this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the joint explanatory statement accompanying this Act, or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(b) None of the funds made available for any department, agency, or instrumentality of the United States Government may be transferred to accounts funded in title III of this Act, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the joint explanatory statement accompanying this Act, or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(c) The head of any relevant department or agency funded in this Act utilizing any transfer authority shall submit to the Committees on Appropriations of both Houses of Congress a semiannual report detailing the transfer authorities, except for any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality, used in the previous 6 months and in the year-to-date. This report shall include the amounts transferred and the purposes for which they were transferred, and shall not replace or modify existing notification requirements for each authority.

SEC. 503. None of the funds made available by this Act may be used in contravention of Executive Order No. 12898 of February 11, 1994 (Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations).

SEC. 504. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 505. *Section 611 of the Energy and Water Development Appropriations Act, 2000 (P.L. 106-60; 10 U.S.C. 2701 note) is amended as follows:*

(a) *In subsection (a), by striking "the Army, acting through the Chief of Engineers" and inserting "Energy".*

(b) *In subsection (a)(6), by striking "by the Secretary of the Army, acting through the Chief of Engineers" and striking ", which may be transferred upon completion of remediation to the administrative jurisdiction of the Secretary of Energy".*

(c) *In subsection (a), by adding after paragraph (6) the following undesignated matter: "Upon completion of remediation of a site acquired by the Secretary of the Army prior to fiscal year 2020, the Secretary of the Army may transfer administrative jurisdiction of such site to the Secretary of Energy."*

(d) In subsection (b), by striking "the Army, acting through the Chief of Engineers," and inserting "Energy".

(e) In subsection (c), by striking "amounts made available to carry out that program and shall be available until expended for costs of response actions for any eligible site" and inserting "'Other Defense Activities' appropriation account or successor appropriation account and shall be available until expended for costs of response actions for any eligible Formerly Utilized Sites Remedial Action Program Site".

(f) By redesignating subsection (f) as subsection (g).

(g) By inserting after subsection (e) the following new subsection: "(f) The Secretary of Energy, in carrying out subsection (a), shall enter into an agreement with the Secretary of the Army to carry out the functions and activities described in subsections (a)(1) through (a)(6).".

【SEC. 505. For an additional amount for "Department of the Interior—Bureau of Reclamation—Water and Related Resources", \$21,400,000, to remain available until expended, for transfer to Reclamation's Upper Colorado River Basin Fund to carry out environmental stewardship and endangered species recovery efforts pursuant to the Grand Canyon Protection Act of 1992 (Public Law 102-575), Public Law 106-392, the Colorado River Basin Project Act (43 U.S.C. 1551(b)), and the Act of April 11, 1956 (commonly known as the "Colorado River Storage Project Act") (43 U.S.C. 620n).

This division may be cited as the "Energy and Water Development and Related Agencies Appropriations Act, 2019".】  
(Energy and Water Development and Related Agencies Appropriations Act, 2019.)

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107-188; \$5,833,942,000: Provided, That of the amount provided under this heading, \$1,062,367,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; \$219,527,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and remain available until expended; \$511,682,000 shall be derived from human generic drug user fees authorized by 21 U.S.C. 379j-42, and shall be credited to this account and remain available until expended; \$39,618,000 shall be derived from biosimilar biological product user fees authorized by 21 U.S.C. 379j-52, and shall be credited to this account and remain available until expended; \$30,524,000 shall be derived from animal drug user fees authorized by 21 U.S.C. 379j-12, and shall be credited to this account and remain available until expended; \$18,700,000 shall be derived from generic new animal drug user fees authorized by 21 U.S.C. 379j-21, and shall be credited to this account and remain available until expended; \$712,000,000 shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: Provided further, That in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees, medical device user fees, human generic drug user fees, biosimilar biological product user fees, animal drug user fees, and generic animal drug user fees that exceed the respective fiscal year 2020 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from prescription drug, medical device, human generic drug, biosimilar biological product, animal drug, and generic animal drug assessments for fiscal year 2020, including any such fees collected prior to fiscal year 2020 but credited for fiscal year 2020, shall be subject to the fiscal year 2020 limitations: Provided further, That the Secretary may accept payment during fiscal year 2020 of user fees specified under this heading and authorized for fiscal year 2021, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year 2021 for which the Secretary accepts payment in fiscal year 2020 shall not be included in amounts under this heading: Provided further, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: Provided further, That not to exceed \$25,000 of this amount shall be for official reception and representation expenses, not otherwise provided for, as determined by the Commissioner: Provided further, That funds may be transferred from one specified activity to another with the prior notification of the Committees on Appropriations of both Houses of Congress.

In addition, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n and 360ff, food and feed recall fees, food reinspection fees, and voluntary qualified importer program fees authorized by 21 U.S.C. 379j-31, outsourcing facility fees authorized by 21 U.S.C. 379j-62, prescription drug wholesale distributor licensing and inspection fees authorized by 21 U.S.C. 353(e)(3), third-party logistics provider licensing and inspection fees authorized by 21 U.S.C. 360eee-3(c)(1), third-party auditor fees authorized by 21 U.S.C. 384d(c)(8), and Medical Countermeasure Priority Review Voucher User Fees authorized by 21 U.S.C. 360bbb-4a, shall be credited to this account, to remain available until expended.

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, demolition, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, \$11,788,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

### Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9911-0-1-554	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, FDA .....	1	3	3
2000 Total: Balances and receipts .....	2	4	5
Appropriations:			
Current law:			
2101 Salaries and Expenses .....	-1	-2	-2
5099 Balance, end of year .....	1	2	3

### Program and Financing (in millions of dollars)

Identification code 075-9911-0-1-554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Foods .....	1,041	1,042	1,083
0002 Human Drugs .....	496	496	716
0003 Devices and Radiological Health .....	330	330	424
0004 National Center for Toxicological Research .....	63	63	67
0005 FDA Other Activities (FDA Headquarters) .....	194	196	180
0006 FDA Other Rent and Rent Related Activities (Including White Oak Consolidation) .....	115	115	144
0007 FDA GSA Rental Payments .....	170	170	172
0008 FDA Buildings and Facilities .....	15	12	12
0009 Cooperative Research and Development (CRADA) .....	2	2	2
0010 Animal Drugs and Feed .....	172	173	192
0011 Biologics .....	215	215	262
0012 Food and Drug Safety (no-year) .....	1	.....	.....
0014 Zika Activities .....	1	.....	.....
0015 CURES Activities .....	.....	60	75
0016 Emerging Health Threats .....	4	.....	.....
0017 Opioids - IMF .....	13	94	.....
0799 Total direct obligations .....	2,832	2,968	3,329
0801 FDA Reimbursable program (User fees) .....	2,488	2,535	2,656
0802 FDA Reimbursable program (Federal sources) .....	37	.....	.....
0899 Total reimbursable obligations .....	2,525	2,535	2,656
0900 Total new obligations, unexpired accounts .....	5,357	5,503	5,985
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	927	926	910
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	927	926	.....
1021 Recoveries of prior year unpaid obligations .....	54	.....	.....
1050 Unobligated balance (total) .....	981	926	910
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,913	2,906	3,251
1120 Appropriations transferred to other accts [075-0128] .....	-2	-2	.....
1120 Appropriations transferred to other acct [075-4613] .....	.....	-5	-5
1121 Appropriations transferred from other acct [075-5629] .....	.....	60	75
1160 Appropriation, discretionary (total) .....	2,911	2,959	3,321
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,932	2,526	2,650
1700 Collected .....	12	31	.....
1701 Change in uncollected payments, Federal sources .....	31	-31	.....
1702 Offsetting collections (previously unavailable) .....	197	.....	.....
1710 Spending authority from offsetting collections transferred to other accounts [075-4613] .....	-5	.....	.....
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-772	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	2,395	2,526	2,650
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	.....	.....
1900 Budget authority (total) .....	5,308	5,487	5,973
1930 Total budgetary resources available .....	6,289	6,413	6,883
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6	.....	.....
1941 Unexpired unobligated balance, end of year .....	926	910	898

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 075-9911-0-1-554	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,723	2,978	3,178
3010 New obligations, unexpired accounts .....	5,357	5,503	5,985
3011 Obligations ("upward adjustments"), expired accounts .....	32		
3020 Outlays (gross) .....	-5,001	-5,303	-5,483
3040 Recoveries of prior year unpaid obligations, unexpired .....	-54		
3041 Recoveries of prior year unpaid obligations, expired .....	-79		
3050 Unpaid obligations, end of year .....	2,978	3,178	3,680
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-65	-70	-39
3070 Change in uncollected pymts, Fed sources, unexpired .....	-31	31	
3071 Change in uncollected pymts, Fed sources, expired .....	26		
3090 Uncollected pymts, Fed sources, end of year .....	-70	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,658	2,908	3,139
3200 Obligated balance, end of year .....	2,908	3,139	3,641
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,306	5,485	5,971
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,908	4,404	4,760
4011 Outlays from discretionary balances .....	2,091	895	721
4020 Outlays, gross (total) .....	4,999	5,299	5,481
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-33	-31	
4033 Non-Federal sources: .....	-2,933	-2,526	-2,650
4040 Offsets against gross budget authority and outlays (total) ....	-2,966	-2,557	-2,650
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-31	31	
4052 Offsetting collections credited to expired accounts .....	22		
4060 Additional offsets against budget authority only (total) .....	-9	31	
4070 Budget authority, net (discretionary) .....	2,331	2,959	3,321
4080 Outlays, net (discretionary) .....	2,033	2,742	2,831
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4101 Outlays from mandatory balances .....	2	2	
4110 Outlays, gross (total) .....	2	4	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources: .....	-1		
4180 Budget authority, net (total) .....	2,332	2,961	3,323
4190 Outlays, net (total) .....	2,034	2,746	2,833
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY. Offsetting collections .....	229	804	804
5092 Unexpired unavailable balance, EOY. Offsetting collections .....	804	804	804

The Food and Drug Administration (FDA) promotes and protects public health by overseeing the safety, efficacy, quality, and security of human and veterinary drugs, biological products, medical devices, foods, cosmetics, and products that emit radiation. FDA also has responsibility for regulating the manufacturing, marketing, and distribution of tobacco products to protect public health and to reduce tobacco use by minors. FDA advances public health by helping to advance innovations that make medicines more effective, safe, and affordable and by helping the public get the accurate, science-based information they need to use medicines and foods to maintain and improve their health. FDA supports the Nation's counterterrorism capability by ensuring the security of the food supply and by fostering the development of medical products and countermeasures to respond to deliberate and naturally emerging public health threats. The FY 2020 Budget includes \$6.1 billion in total resources for FDA. The Budget invests in priority activities including: modernizing food safety, strengthening food-borne illness response, helping fight the opioid crisis, promoting the devel-

opment of innovative medical products, investing in blood pathogen reduction technology, and supporting the preparedness infrastructure.

Object Classification (in millions of dollars)

Identification code 075-9911-0-1-554	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	931	940	964
11.3 Other than full-time permanent .....	85	86	89
11.5 Other personnel compensation .....	42	44	45
11.7 Military personnel .....	58	60	62
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	1,117	1,131	1,161
12.1 Civilian personnel benefits .....	351	354	363
12.2 Military personnel benefits .....	31	32	33
21.0 Travel and transportation of persons .....	56	60	75
22.0 Transportation of things .....	3	4	5
23.1 Rental payments to GSA .....	170	170	172
23.2 Rental payments to others .....	3	3	4
23.3 Communications, utilities, and miscellaneous charges .....	21	23	28
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	54	58	72
25.2 Other services from non-Federal sources .....	407	455	569
25.3 Other goods and services from Federal sources .....	159	170	213
25.4 Operation and maintenance of facilities .....	95	102	128
25.5 Research and development contracts .....	28	30	37
25.7 Operation and maintenance of equipment .....	63	68	85
26.0 Supplies and materials .....	47	50	63
31.0 Equipment .....	47	52	65
32.0 Land and structures .....	3	4	4
41.0 Grants, subsidies, and contributions .....	173	198	247
42.0 Insurance claims and indemnities .....	1	1	2
99.0 Direct obligations .....	2,832	2,968	3,329
99.0 Reimbursable obligations .....	2,525	2,535	2,656
99.9 Total new obligations, unexpired accounts .....	5,357	5,503	5,985

Employment Summary

Identification code 075-9911-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9,220	9,215	9,454
1101 Direct military average strength employment .....	668	668	668
2001 Reimbursable civilian full-time equivalent employment .....	6,602	7,191	7,355
2101 Reimbursable military average strength employment .....	479	479	479
3001 Allocation account civilian full-time equivalent employment .....	43	43	43
3101 Allocation account military average strength employment .....	1	1	1

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of authorizing legislation, the Secretary shall charge a fee for innovative food products activities and over-the-counter monograph drug activities: Provided, That fees of \$28,000,000 for innovative food products shall be credited to this account and remain available until expended; \$28,400,000 for over-the-counter monograph drug activities shall be credited to this account and remain available until expended: Provided further, That, in addition to and notwithstanding any other provision under this heading, amounts collected for innovative food products and over-the-counter monograph drug user fees that exceed the respective fiscal year 2020 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from innovative food products and over-the-counter monograph drug reviews for fiscal year 2020 received during fiscal year 2020, including any such fees assessed prior to fiscal year 2020 but credited for fiscal year 2020, shall be subject to the fiscal year 2020 limitations: Provided further, That the Secretary may accept payment during fiscal year 2020 of user fees specified in this paragraph and authorized for fiscal year 2021, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year 2021 for which the Secretary accepts payment in fiscal year 2020 shall not be included in amounts in this paragraph.

In addition, contingent upon the enactment of authorizing legislation establishing fees under 21 U.S.C. 387s with respect to products deemed under 21 U.S.C. 387a(b) but not specified in 21 U.S.C. 387s(b)(2)(B), the Secretary shall assess and collect such fees: Provided, That \$100,000,000 shall be derived from such fees, which shall be credited to this account and remain available until expended, in addition to amounts otherwise derived from fees authorized under 21 U.S.C. 387s.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 075–9911–2–1–554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FDA Reimbursable program (Tobacco)			156
0899 Total reimbursable obligations			156
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			156
1900 Budget authority (total)			156
1930 Total budgetary resources available			156
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			156
3020 Outlays (gross)			-156
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			156
Outlays, gross:			
4010 Outlays from new discretionary authority			156
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources			-156
4040 Offsets against gross budget authority and outlays (total)			-156
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The FY 2020 Budget proposes reforms to the Over-the-Counter Monograph program and includes a total of \$28 million in new over-the-counter drug fees. The Budget proposes a new user fee program to support innovative food activities totaling \$28 million. The Budget also includes a legislative proposal to increase the user fee collected in support of the tobacco program by \$100 million and adds electronic nicotine delivery system manufacturers and importers as entities subject to the user fees.

**Object Classification** (in millions of dollars)

Identification code 075–9911–2–1–554	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent			5
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			7
12.1 Civilian personnel benefits			2
21.0 Travel and transportation of persons			3
25.1 Advisory and assistance services			12
25.2 Other services from non-Federal sources			91
25.3 Other goods and services from Federal sources			41
99.0 Reimbursable obligations			156
99.9 Total new obligations, unexpired accounts			156

**Employment Summary**

Identification code 075–9911–2–1–554	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment			52

FDA WORKING CAPITAL FUND

**Program and Financing** (in millions of dollars)

Identification code 075–4613–0–4–554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			15

0900 Total new obligations, unexpired accounts (object class 25.3)			15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5		10
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075–9911]	5		5
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [075–9911]	5		
1900 Budget authority (total)	5	5	5
1930 Total budgetary resources available	5	10	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	10	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			15
3020 Outlays (gross)			-3
3050 Unpaid obligations, end of year			12
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	5	5	5
Outlays, gross:			
4011 Outlays from discretionary balances			3
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)			3

PAYMENT TO THE FDA INNOVATION ACCOUNT, CURES ACT

**Program and Financing** (in millions of dollars)

Identification code 075–0148–0–1–554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity	60	60	75
0900 Total new obligations, unexpired accounts (object class 94.0)	60	60	75
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	60	60	75
1930 Total budgetary resources available	60	60	75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	60	60	75
3020 Outlays (gross)	-60	-60	-75
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	60	60	75
Outlays, gross:			
4100 Outlays from new mandatory authority	60	60	75
4180 Budget authority, net (total)	60	60	75
4190 Outlays, net (total)	60	60	75

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

FDA INNOVATION, CURES ACT

For necessary expenses to carry out the purposes described under section 1002(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes under the heading "Salaries and Expenses", \$75,000,000, to remain available until expended: Provided, That amounts appropriated in this paragraph are appropriated pursuant to section 1002(b)(3) of the 21st Century Cures Act, are to be derived from amounts transferred under section 1002(b)(2)(A) of such Act, and may be transferred by the Secretary of Health and Human Services to other accounts of the Department solely for the purposes provided in such Act: Provided

FDA INNOVATION, CURES ACT—Continued

further, That such transfer authority is in addition to any other transfer authority provided by law.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–5629–0–2–554	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			10
Receipts:			
Current law:			
1140 General Fund Payment, FDA Innovation, CURES Act	60	70	75
2000 Total: Balances and receipts	60	70	85
Appropriations:			
Current law:			
2101 FDA Innovation, Cures Act	–60	–60	–75
5099 Balance, end of year		10	10

Program and Financing (in millions of dollars)

Identification code 075–5629–0–2–554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 New Obligations for CURES Activities	41		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	27	27
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	60	60	75
1120 Appropriations transferred to other acct [075–9911]		–60	–75
1160 Appropriation, discretionary (total)	60		
1930 Total budgetary resources available	68	27	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	27	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	26	
3010 New obligations, unexpired accounts	41		
3020 Outlays (gross)	–23	–26	
3050 Unpaid obligations, end of year	26		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	26	
3200 Obligated balance, end of year	26		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	60		
Outlays, gross:			
4010 Outlays from new discretionary authority	15		
4011 Outlays from discretionary balances	8	26	
4020 Outlays, gross (total)	23	26	
4180 Budget authority, net (total)	60		
4190 Outlays, net (total)	23	26	

The 21st Century Cures Act was enacted into law on December 13, 2016. The Act includes authorities FDA can use to help modernize drug, biological, and device product development and review and to create greater efficiencies and predictability in product development and review.

Object Classification (in millions of dollars)

Identification code 075–5629–0–2–554	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	10		
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	13		
12.1 Civilian personnel benefits	4		
25.2 Other services from non-Federal sources	12		
31.0 Equipment	1		

41.0 Grants, subsidies, and contributions	11		
99.9 Total new obligations, unexpired accounts	41		

Employment Summary

Identification code 075–5629–0–2–554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	100		

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 075–4309–0–3–554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Revolving Fund for Certification and Other Services (Reimbursable)	10	10	11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	3
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	10	10
1802 Offsetting collections (previously unavailable)	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	–1	–1	
1850 Spending auth from offsetting collections, mand (total)	9	10	11
1900 Budget authority (total)	9	10	11
1930 Total budgetary resources available	13	13	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	
3010 New obligations, unexpired accounts	10	10	11
3020 Outlays (gross)	–9	–14	–11
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	
3200 Obligated balance, end of year	4		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	9	10	11
Outlays, gross:			
4100 Outlays from new mandatory authority	6	10	11
4101 Outlays from mandatory balances	3	4	
4110 Outlays, gross (total)	9	14	11
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–9	–10	–10
4180 Budget authority, net (total)			1
4190 Outlays, net (total)		4	1
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1	

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics. These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 075–4309–0–3–554	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1

31.0	Equipment .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	10	10	11

**Employment Summary**

Identification code 075-4309-0-3-554	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	36	37	37

**HEALTH RESOURCES AND SERVICES ADMINISTRATION**

*Federal Funds*

**PRIMARY HEALTH CARE**

For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, \$1,626,522,000 [(in addition to the \$4,000,000,000 previously appropriated to the Community Health Center Fund for fiscal year 2019)]: *Provided*, That no more than \$1,000,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act: *Provided further*, That no more than \$120,000,000 shall be available until expended for carrying out subsections (g) through (n) and (q) of section 224 of the PHS Act, and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law [ : *Provided further*, That of funds provided for the Health Centers program, as defined by section 330 of the PHS Act, by this Act or any other Act for fiscal year 2019, not less than \$200,000,000 shall be obligated in fiscal year 2019 for improving quality of care or expanded service grants under section 330 of the PHS Act to support and enhance behavioral health, mental health, or substance use disorder services].

**HEALTH WORKFORCE**

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, sections 1128E and 1921 of the Social Security Act, and the Health Care Quality Improvement Act of 1986, [\$1,096,695,000] \$304,714,000: *Provided*, That sections 751 [(j)(2)] and 762(k) of the PHS Act and the proportional funding amounts in paragraphs (1) through (4) of section 756(f) of the PHS Act shall not apply to funds made available under this heading: [*Provided further*, That for any program operating under section 751 of the PHS Act on or before January 1, 2009, the Secretary of Health and Human Services (referred to in this title as the "Secretary") may hereafter waive any of the requirements contained in sections 751(d)(2)(A) and 751(d)(2)(B) of such Act for the full project period of a grant under such section: *Provided further*, That no funds shall be available for section 340G-1 of the PHS Act: ] *Provided further*, That fees collected for the disclosure of information under section 427(b) of the Health Care Quality Improvement Act of 1986 and sections 1128E(d)(2) and 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the programs authorized by such sections and shall remain available until expended for the National Practitioner Data Bank: *Provided further*, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title III of the PHS Act may be used to make prior year adjustments to awards made under such section and subpart: *Provided further*, That \$105,000,000 shall remain available until expended for the purposes of providing primary health services, assigning National Health Service Corps ("NHSC") members to expand the delivery of substance use disorder treatment services, notwithstanding the assignment priorities and limitations under sections 333(a)(1)(D), 333(b), and 333A(a)(1)(B)(ii) of the PHS Act, and making payments under the NHSC Loan Repayment Program under section 338B of such Act: [*Provided further*, That, in addition to amounts otherwise made available in the previous proviso, \$15,000,000 shall remain available until expended for the purposes of making payments under the NHSC Loan Repayment Program under section 338B of the PHS Act to individuals participating in such program who provide primary health services in Indian Health Service facilities, Tribally-Operated 638 Health Programs, and Urban Indian Health Programs (as those terms are defined by the Secretary), notwithstanding the assignment priorities and limitations under section 333(b) of such Act: ] *Provided further*, That for purposes of the previous [two provisos] proviso, section 331(a)(3)(D) of the PHS Act shall be applied as if the term "primary health services" includes clinical substance use disorder treatment services, including those provided by masters level, licensed substance use disorder treatment counselors.

[Of the funds made available under this heading, \$25,000,000 shall remain available until expended for grants to public institutions of higher education to expand or support graduate education for physicians provided by such institutions: *Provided*,

That, in awarding such grants, the Secretary shall give priority to public institutions of higher education located in States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That grants so awarded are limited to such public institutions of higher education in States in the top quintile of States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That the minimum amount of a grant so awarded to such an institution shall be not less than \$1,000,000 per year: *Provided further*, That such a grant may be awarded for a period not to exceed 5 years: *Provided further*, That such a grant awarded with respect to a year to such an institution shall be subject to a matching requirement of non-Federal funds in an amount that is not less than 10 percent of the total amount of Federal funds provided in the grant to such institution with respect to such year. ]

**MATERNAL AND CHILD HEALTH**

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health, and title V of the Social Security Act, [and section 712 of the American Jobs Creation Act of 2004, \$926,789,000] \$783,200,000: *Provided*, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than [\$109,593,000] \$92,593,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in subparagraphs (A) through (F) of section 501(a)(3) of such Act.

**RYAN WHITE HIV/AIDS PROGRAM**

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, [\$2,318,781,000] \$2,388,781,000 [ , ] ; of which \$1,970,881,000 shall remain available to the Secretary through September 30, [2021] 2022, for parts A and B of title XXVI of the PHS Act, [and] of which not less than \$900,313,000 shall be for State AIDS Drug Assistance Programs under the authority of section 2616 or 311(c) of such Act; and of which \$70,000,000, to remain available until expended, shall be available to the Secretary for carrying out a program of grants and contracts under title XXVI or section 311(c) of such Act focused on ending the nationwide epidemic, with any grants issued under such section 311(c) administered in conjunction with title XXVI of the PHS Act, including the limitation on administrative expenses.

**HEALTH CARE SYSTEMS**

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, [\$115,193,000] \$111,018,000 [ , ] of which \$122,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center]: *Provided*, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: *Provided further*, That fees for the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this appropriation and remain available until expended.

**RURAL HEALTH**

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act of 1969, and sections 711 and 1820 of the Social Security Act, [\$317,794,000] \$188,645,000 [ , ] of which \$53,609,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: *Provided*, That of the funds made available under this heading for Medicare rural hospital flexibility grants, \$19,942,000 shall be available for the Small Rural Hospital Improvement Grant Program for quality improvement and adoption of health information technology and up to \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: *Provided further*, That notwithstanding section 338J(k) of the PHS Act, \$10,000,000 shall be available for State Offices of Rural Health: *Provided further*, That \$10,000,000 shall remain available through September 30, 2021, to support the Rural Residency Development Program: *Provided further*, That \$120,000,000 shall be for the Rural Communities Opioids Response Program].

**FAMILY PLANNING**

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, \$286,479,000: *Provided*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for

HEALTH RESOURCES AND SERVICES—Continued

any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, **[\$155,250,000]** \$151,993,000: Provided, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health". (Department of Health and Human Services Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 075-0350-0-1-550	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0005 Primary Health Care (Health Centers, Free Clinics) .....	1,668	1,626	1,626
0010 Primary Health Care (Mandatory) .....	3,894	4,016	.....
0015 Health Workforce .....	915	1,139	305
0020 Health Workforce (Mandatory) .....	464	439	.....
0025 Maternal and Child Health .....	883	927	783
0030 Maternal and Child Health (Mandatory) .....	6	6	.....
0035 Ryan White HIV/AIDS .....	2,355	2,326	2,389
0040 Health Care Systems .....	111	115	111
0045 Rural Health .....	200	374	189
0050 Family Planning .....	286	286	286
0055 HRSA Program Management .....	155	155	152
0091 Direct program activities, subtotal .....	10,937	11,409	5,841
0300 Total direct programs .....	10,937	11,409	5,841
Credit program obligations:			
0702 Loan guarantee subsidy .....	.....	.....	2
0799 Total direct obligations .....	10,937	11,409	5,843
0801 Health Resources and Services (Reimbursable) .....	65	63	82
0899 Total reimbursable obligations .....	65	63	82
0900 Total new obligations, unexpired accounts .....	11,002	11,472	5,925
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	309	454	315
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	167	335	.....
1010 Unobligated balance transfer to other accts [075-1503] .....	-17	.....	.....
1010 Unobligated balance transfer to other accts [015-5606] .....	-5	-5	-5
1021 Recoveries of prior year unpaid obligations .....	61	.....	.....
1050 Unobligated balance (total) .....	348	449	310
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,737	6,844	5,841
1120 Appropriations transferred to other acct [075-1503] .....	-16	.....	.....
1121 Appropriations transferred from other acct [075-0140] .....	60	.....	.....
1160 Appropriation, discretionary (total) .....	6,781	6,844	5,841
Appropriations, mandatory:			
1200 Appropriation .....	4,268	4,443	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	30	49
1701 Change in uncollected payments, Federal sources .....	10	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	30	30	49
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash)(HPSL&NSL) .....	32	20	20
1802 Offsetting collections (previously unavailable) .....	2	2	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2	-1	.....
1850 Spending auth from offsetting collections, mand (total) .....	32	21	21
1900 Budget authority (total) .....	11,111	11,338	5,911
1930 Total budgetary resources available .....	11,459	11,787	6,221
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	454	315	296
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,627	7,968	8,613
3010 New obligations, unexpired accounts .....	11,002	11,472	5,925
3011 Obligations ("upward adjustments"), expired accounts .....	26	.....	.....
3020 Outlays (gross) .....	-10,519	-10,827	-9,281
3040 Recoveries of prior year unpaid obligations, unexpired .....	-61	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-107	.....	.....

3050 Unpaid obligations, end of year .....	7,968	8,613	5,257
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-16	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	10	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7,611	7,952	8,597
3200 Obligated balance, end of year .....	7,952	8,597	5,241
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,811	6,874	5,890
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,088	2,233	1,878
4011 Outlays from discretionary balances .....	4,121	4,150	4,893
4020 Outlays, gross (total) .....	6,209	6,383	6,771
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11	-12	-12
4033 Non-Federal sources .....	-26	-18	-18
4033 Non-Federal sources .....	.....	.....	-19
4040 Offsets against gross budget authority and outlays (total) .....	-37	-30	-49
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
4052 Offsetting collections credited to expired accounts .....	17	.....	.....
4060 Additional offsets against budget authority only (total) .....	7	.....	.....
4070 Budget authority, net (discretionary) .....	6,781	6,844	5,841
4080 Outlays, net (discretionary) .....	6,172	6,353	6,722
Mandatory:			
4090 Budget authority, gross .....	4,300	4,464	21
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,907	1,958	21
4101 Outlays from mandatory balances .....	2,403	2,486	2,489
4110 Outlays, gross (total) .....	4,310	4,444	2,510
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-32	-20	-20
4180 Budget authority, net (total) .....	11,049	11,288	5,842
4190 Outlays, net (total) .....	10,450	10,777	9,212
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2	2	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	1	.....
5103 Unexpired unavailable balance, SOY: Fulfilled purpose .....	1	1	.....
5104 Unexpired unavailable balance, EOY: Fulfilled purpose .....	1	.....	.....

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	11,049	11,288	5,842
Outlays .....	10,450	10,777	9,212
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	4,443
Outlays .....	.....	.....	1,937
Total:			
Budget Authority .....	11,049	11,288	10,285
Outlays .....	10,450	10,777	11,149

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0350-0-1-550	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels .....	7	3	60
215999 Total loan guarantee levels .....	7	3	60
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels .....	2.69	2.71	2.57
232999 Weighted average subsidy rate .....	2.69	2.71	2.57
Guaranteed loan subsidy budget authority:			
233001 Health centers: Facilities renovation loan guarantee levels .....	.....	.....	2
233999 Total subsidy budget authority .....	.....	.....	2
Guaranteed loan reestimates:			
235001 Health centers: Facilities renovation loan guarantee levels .....	.....	-1	.....
235999 Total guaranteed loan reestimates .....	.....	-1	.....

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers, treatment and care for those living with HIV/AIDS, health workforce training, maternal and child health care services, promotion of organ and bone marrow donation, rural health activities, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans the program's revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the program's revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2017–2018 data reported in the Annual Operating Report.

**Health Professions Revolving Loan Programs**

Program	Federal Capital Contribution	Account Balance
HPSL		424,598,650
NSL		195,287,497
PCL		225,828,416
LDS		181,920,522
<b>Total</b>		<b>1,027,635,085</b>

**Object Classification (in millions of dollars)**

Identification code 075–0350–0–1–550	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent	197	201	152
11.3 Other than full-time permanent	6	6	5
11.5 Other personnel compensation	5	5	3
11.7 Military personnel	21	21	16
11.9 Total personnel compensation	229	233	176
12.1 Civilian personnel benefits	65	65	50
12.2 Military personnel benefits	11	11	9
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	30	30	16
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	16	16	11
25.2 Other services from non-Federal sources	251	258	188
25.3 Other goods and services from Federal sources	295	415	155
25.4 Operation and maintenance of facilities	1	1	1
25.6 Medical care	3	3	2
25.7 Operation and maintenance of equipment	7	6	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	4	4
41.0 Grants, subsidies, and contributions	9,905	10,248	5,108
42.0 Insurance claims and indemnities	110	109	109
99.0 Direct obligations	10,937	11,409	5,843
99.0 Reimbursable obligations	65	63	82
99.9 Total new obligations, unexpired accounts	11,002	11,472	5,925

**Employment Summary**

Identification code 075–0350–0–1–550	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	1,788	1,810	1,404
1101 Direct military average strength employment	201	201	154
2001 Reimbursable civilian full-time equivalent employment	51	51	67

2101 Reimbursable military average strength employment	2	2	2
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**HEALTH RESOURCES AND SERVICES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 075–0350–4–1–550	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Primary Health Care (Mandatory)			4,000
0020 Health Workforce (Mandatory)			437
0030 Maternal and Child Health (Mandatory)			6
0300 Total direct programs			4,443
0900 Total new obligations, unexpired accounts			4,443
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation			4,443
1900 Budget authority (total)			4,443
1930 Total budgetary resources available			4,443
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts			4,443
3020 Outlays (gross)			-1,937
3050 Unpaid obligations, end of year			2,506
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year			2,506
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross			4,443
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			1,937
4180 Budget authority, net (total)			4,443
4190 Outlays, net (total)			1,937

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers, health workforce programs, and maternal and child health care services.

**Object Classification (in millions of dollars)**

Identification code 075–0350–4–1–550	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent			45
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.7 Military personnel			5
11.9 Total personnel compensation			52
12.1 Civilian personnel benefits			15
12.2 Military personnel benefits			3
23.1 Rental payments to GSA			8
23.3 Communications, utilities, and miscellaneous charges			2
25.2 Other services from non-Federal sources			34
25.3 Other goods and services from Federal sources			114
41.0 Grants, subsidies, and contributions			4,215
99.9 Total new obligations, unexpired accounts			4,443

**Employment Summary**

Identification code 075–0350–4–1–550	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment			364
1101 Direct military average strength employment			36

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 075-0320-0-1-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	33	33
1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	33	33	33
1930 Total budgetary resources available .....	33	33	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33	33	33
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4170 Outlays, net (mandatory) .....	-2		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-2		

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988, are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988, are reflected in the Vaccine Injury Compensation Program trust fund account.

COVERED COUNTERMEASURE PROCESS FUND

Program and Financing (in millions of dollars)

Identification code 075-0343-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Claims .....	2	1	1
0103 Admin Expense .....		2	2
0900 Total new obligations, unexpired accounts .....	2	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1011 Unobligated balance transfer from other acct (075-0140) .....	2	2	3
1050 Unobligated balance (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	3	3

The Covered Countermeasure Process Fund is established pursuant to the Public Health Service (PHS) Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the PHS Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personnel Protection Act of 2003 (P.L. 108-20).

Object Classification (in millions of dollars)

Identification code 075-0343-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
42.0 Insurance claims and indemnities .....		1	1
99.0 Direct obligations .....	2	3	3
99.9 Total new obligations, unexpired accounts .....	2	3	3

Employment Summary

Identification code 075-0343-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4
1101 Direct military average strength employment .....	2	2	2

MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 075-0321-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs .....	411	404	400
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	20	16
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	31	20	16
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	400	400	400
1930 Total budgetary resources available .....	431	420	416
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	720	748	769
3010 New obligations, unexpired accounts .....	411	404	400
3020 Outlays (gross) .....	-366	-383	-393
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	748	769	776
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	720	748	769
3200 Obligated balance, end of year .....	748	769	776
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Budget authority, gross:			
4090 Budget authority, gross .....	400	400	400
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	16	16
4101 Outlays from mandatory balances .....	362	367	377
4110 Outlays, gross (total) .....	366	383	393
4180 Budget authority, net (total) .....	400	400	400
4190 Outlays, net (total) .....	366	383	393

The Maternal, Infant and Early Childhood Home Visiting Program provides comprehensive services for at risk communities. These activities are administered by HRSA.

**Object Classification** (in millions of dollars)

Identification code 075-0321-0-1-551	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	5	5	5
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	11	11	11
41.0 Grants, subsidies, and contributions .....	393	386	382
99.9 Total new obligations, unexpired accounts .....	411	404	400

**Employment Summary**

Identification code 075-0321-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	39	39	39
1101 Direct military average strength employment .....	3	3	3

**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 075-4442-0-3-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 075-4442-0-3-551	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	889		
2121 Limitation available from carry-forward .....	6	888	885
2143 Uncommitted limitation carried forward .....	-888	-885	-825
2150 Total guaranteed loan commitments .....	7	3	60
2199 Guaranteed amount of guaranteed loan commitments .....	6	2	48
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	69	60	52
2231 Disbursements of new guaranteed loans .....	7	3	60
2251 Repayments and prepayments .....	-16	-10	-10
Adjustments:			
2263 Terminations for default that result in claim payments .....		-1	-1
2264 Other adjustments, net .....			
2290 Outstanding, end of year .....	60	52	101
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	45	39	78

Public Law 104-299, Public Law 104-208, and Public Law 115-141 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$1 billion in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation, and modernization of medical facilities. The program account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the program and financing schedule.

**Balance Sheet** (in millions of dollars)

Identification code 075-4442-0-3-551	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	2	1
1999 Total assets .....	2	1
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	2	1

**NET POSITION:**

3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	2	1

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**

**Status of Direct Loans** (in millions of dollars)

Identification code 075-9931-0-3-551	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5	5	5
1290 Outstanding, end of year .....	5	5	5

Titles VI and XVI of the PHS Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in the event of default, \$30 million as a revolving fund for direct loans, and an amount for interest subsidy payments on guaranteed loans.

**Balance Sheet** (in millions of dollars)

Identification code 075-9931-0-3-551	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	5	5
1999 Total assets .....	5	5
<b>LIABILITIES:</b>		
2201 Non-Federal liabilities: Accounts payable .....	5	5
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....		
3300 Cumulative results of operations .....		
3999 Total net position .....		
4999 Total liabilities and net position .....	5	5

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund (the "Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed **[\$9,200,000]** \$11,200,000 shall be available from the Trust Fund to the Secretary. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-8175-0-7-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	3,619	3,684	3,812
0198 Reconciliation adjustment .....	5		
0199 Balance, start of year .....	3,624	3,684	3,812
Receipts:			
Current law:			
1110 Deposits, Vaccine Injury Compensation Trust Fund .....	309	305	310
1140 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund .....	10	130	146
1199 Total current law receipts .....	319	435	456
1999 Total receipts .....	319	435	456
2000 Total: Balances and receipts .....	3,943	4,119	4,268
Appropriations:			
Current law:			
2101 Vaccine Injury Compensation Program Trust Fund .....	-27	-27	-33
2101 Vaccine Injury Compensation Program Trust Fund .....	-227	-280	-286
2199 Total current law appropriations .....	-254	-307	-319
2999 Total appropriations .....	-254	-307	-319

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 075-8175-0-7-551	2018 actual	2019 est.	2020 est.
4030 Vaccine Injury Compensation Program Trust Fund .....	5		
5098 Reconciliation adjustment .....	-10		
5099 Balance, end of year .....	3,684	3,812	3,949

Program and Financing (in millions of dollars)

Identification code 075-8175-0-7-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Compensation: Claims for post - FY 1989 injuries .....	227	280	286
0103 Claims processing (Claims Court) .....	8	8	9
0104 Claims processing (HRSA) .....	9	9	11
0105 Claims processing (Dept. of Justice) .....	10	10	13
0191 Direct program activities, subtotal .....	27	27	33
0900 Total new obligations, unexpired accounts .....	254	307	319

Budgetary resources:

Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1		
1033 Recoveries of prior year paid obligations .....	4		
1035 Unobligated balance of appropriations withdrawn .....	-5		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	27	27	33
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	227	280	286
1900 Budget authority (total) .....	254	307	319
1930 Total budgetary resources available .....	254	307	319

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	18	
3010 New obligations, unexpired accounts .....	254	307	319
3020 Outlays (gross) .....	-246	-325	-319
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	18		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	18	
3200 Obligated balance, end of year .....	18		

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	27	27	33
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	27	33
4011 Outlays from discretionary balances .....	4		
4020 Outlays, gross (total) .....	19	27	33
Mandatory:			
4090 Budget authority, gross .....	227	280	286
Outlays, gross:			
4100 Outlays from new mandatory authority .....	227	280	286
4101 Outlays from mandatory balances .....		18	
4110 Outlays, gross (total) .....		298	286
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	4		
4160 Budget authority, net (mandatory) .....	227	280	286
4170 Outlays, net (mandatory) .....	223	298	286
4180 Budget authority, net (total) .....	254	307	319
4190 Outlays, net (total) .....	242	325	319

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	3,595	3,750	3,905
5001 Total investments, EOY: Federal securities: Par value .....	3,750	3,905	4,075

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 075-8175-0-7-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	3
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	22	29
42.0 Insurance claims and indemnities .....	247	282	286
99.9 Total new obligations, unexpired accounts .....	254	307	319

Employment Summary

Identification code 075-8175-0-7-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	20
1101 Direct military average strength employment .....	4	4	4

INDIAN HEALTH SERVICE

Federal Funds

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination and Education Assistance Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, \$4,286,541,000, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) and 238b, for services furnished by the Indian Health Service: Provided, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That \$968,177,000 for Purchased/Referred Care, including \$51,500,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: Provided further, That, of the funds provided, up to \$36,000,000 shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: Provided further, That, of the funds provided, \$11,000,000 shall remain available until expended to supplement funds available for operational costs at tribal clinics operated under an Indian Self-Determination and Education Assistance Act compact or contract where health care is delivered in space acquired through a full service lease, which is not eligible for maintenance and improvement and equipment funds from the Indian Health Service, and not less than \$58,000,000 shall be for accreditation emergencies, including supplementing activities funded under the heading "Indian Health Facilities": Provided further, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited in the Fund authorized by section 108A of the Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of the Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of the Act (25 U.S.C. 1613a and 1616a): Provided further, That, notwithstanding any other provision of law, the amounts made available within this account for the Substance Abuse and Suicide Prevention Program, for the Domestic Violence Prevention Program, for the Zero Suicide Initiative, for Aftercare Pilot Program at Youth Regional Treatment Centers, for transformation and modernization costs of the IHS Electronic Health Record system, for an initiative to improve the recruitment and retention of health care providers and certain other critical professions, for national quality and oversight activities, for initiatives to treat or reduce the transmission of Hepatitis-C and HIV-AIDs or both in high priority areas, to improve collections from public and private insurance at Indian Health Service and tribally operated facilities, and for accreditation emergencies shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: Provided further, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: Provided further, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for those re-

lated to the planning, design, or construction of new facilities: Provided further, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: Provided further, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: Provided further, That the Bureau of Indian Affairs may collect from the Indian Health Service, tribes and tribal organizations operating health facilities pursuant to Public Law 93–638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400, et seq.): Provided further, That the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 075–0390–0–1–551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Clinical services .....	3,603	3,615	3,997
0002 Preventive health .....	170	171	118
0003 Urban health .....	49	49	49
0004 Indian health professions .....	49	49	44
0005 Tribal management .....	2	2	.....
0006 Direct operations .....	72	72	74
0007 Self-governance .....	6	6	5
0009 Diabetes funds .....	150	150	.....
0799 Total direct obligations .....	4,101	4,114	4,287
0801 Indian Health Services (Reimbursable) .....	1,610	1,634	1,634
0900 Total new obligations, unexpired accounts .....	5,711	5,748	5,921
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,006	1,220	1,222
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,006	1,006	.....
1021 Recoveries of prior year unpaid obligations .....	185	.....	.....
1050 Unobligated balance (total) .....	1,191	1,220	1,222
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,952	3,966	4,287
Appropriations, mandatory:			
1200 Appropriation .....	150	150	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,647	1,634	1,634
1701 Change in uncollected payments, Federal sources .....	7	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	1,654	1,634	1,634
1900 Budget authority (total) .....	5,756	5,750	5,921
1930 Total budgetary resources available .....	6,947	6,970	7,143
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-16	.....	.....
1941 Unexpired unobligated balance, end of year .....	1,220	1,222	1,222
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,034	1,139	553
3010 New obligations, unexpired accounts .....	5,711	5,748	5,921
3011 Obligations ("upward adjustments"), expired accounts .....	34	.....	.....
3020 Outlays (gross) .....	-5,431	-6,334	-5,796
3040 Recoveries of prior year unpaid obligations, unexpired .....	-185	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-24	.....	.....
3050 Unpaid obligations, end of year .....	1,139	553	678
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-100	-106	-106
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-106	-106	-106
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	934	1,033	447
3200 Obligated balance, end of year .....	1,033	447	572
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,606	5,600	5,921

Outlays, gross:				
4010	Outlays from new discretionary authority .....	4,423	4,886	5,149
4011	Outlays from discretionary balances .....	862	1,298	641
4020	Outlays, gross (total) .....	5,285	6,184	5,790
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-441	-441	-441
4033	Non-Federal sources .....	-1,210	-1,193	-1,193
4040	Offsets against gross budget authority and outlays (total) ....	-1,651	-1,634	-1,634
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7	.....	.....
4052	Offsetting collections credited to expired accounts .....	4	.....	.....
4060	Additional offsets against budget authority only (total) .....	-3	.....	.....
4070	Budget authority, net (discretionary) .....	3,952	3,966	4,287
4080	Outlays, net (discretionary) .....	3,634	4,550	4,156
Mandatory:				
4090	Budget authority, gross .....	150	150	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	47	144	.....
4101	Outlays from mandatory balances .....	99	6	6
4110	Outlays, gross (total) .....	146	150	6
4180	Budget authority, net (total) .....	4,102	4,116	4,287
4190	Outlays, net (total) .....	3,780	4,700	4,162

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	4,102	4,116	4,287
Outlays .....	3,780	4,700	4,162
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	150
Outlays .....	.....	.....	144
Total:			
Budget Authority .....	4,102	4,116	4,437
Outlays .....	3,780	4,700	4,306

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. More than \$2.5 billion, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2020.

**Object Classification** (in millions of dollars)

Identification code 075–0390–0–1–551	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	420	421	450
11.3	Other than full-time permanent .....	18	18	19
11.5	Other personnel compensation .....	66	66	69
11.7	Military personnel .....	54	54	57
11.9	Total personnel compensation .....	558	559	595
12.1	Civilian personnel benefits .....	167	168	186
12.2	Military personnel benefits .....	24	24	25
13.0	Benefits for former personnel .....	12	12	13
21.0	Travel and transportation of persons .....	.....	.....	1
21.0	Patient travel .....	45	45	45
22.0	Transportation of things .....	6	6	5
23.1	Rental payments to GSA .....	15	15	16
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	17	17	18
25.1	Advisory and assistance services .....	6	6	6
25.2	Other services from non-Federal sources .....	153	154	184
25.3	Other goods and services from Federal sources .....	88	88	93
25.4	Operation and maintenance of facilities .....	2	2	.....
25.6	Medical care .....	360	361	361
25.7	Operation and maintenance of equipment .....	19	19	19
25.8	Subsistence and support of persons .....	8	8	8
26.0	Supplies and materials .....	97	97	119
31.0	Equipment .....	7	7	7
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2,505	2,514	2,573
42.0	Insurance claims and indemnities .....	10	10	11
99.0	Direct obligations .....	4,101	4,114	4,287
99.0	Reimbursable obligations .....	1,610	1,634	1,634
99.9	Total new obligations, unexpired accounts .....	5,711	5,748	5,921

INDIAN HEALTH SERVICES—Continued  
Employment Summary

Identification code 075-0390-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6,676	6,676	6,785
1101 Direct military average strength employment .....	922	922	922
2001 Reimbursable civilian full-time equivalent employment .....	5,701	5,701	5,701
2101 Reimbursable military average strength employment .....	785	785	785

INDIAN HEALTH SERVICES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0390-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0009 Diabetes funds .....			150
0799 Total direct obligations .....			150
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			150
1930 Total budgetary resources available .....			150
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			150
3020 Outlays (gross) .....			-144
3050 Unpaid obligations, end of year .....			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			150
Outlays, gross:			
4100 Outlays from new mandatory authority .....			144
4180 Budget authority, net (total) .....			150
4190 Outlays, net (total) .....			144

The Budget proposes to extend the Special Diabetes Program for Indians through fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 075-0390-4-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Patient travel .....			2
22.0 Transportation of things .....			1
25.2 Other services from non-Federal sources .....			5
25.4 Operation and maintenance of facilities .....			3
25.6 Medical care .....			20
25.7 Operation and maintenance of equipment .....			1
26.0 Supplies and materials .....			5
31.0 Equipment .....			1
41.0 Grants, subsidies, and contributions .....			112
99.9 Total new obligations, unexpired accounts .....			150

SPECIAL DIABETES PROGRAM FOR INDIANS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

CONTRACT SUPPORT COSTS

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Indian Health Service for fiscal year 2020, such sums as may be necessary: Provided, That amounts obligated but not expended by a tribe or tribal organization for contract support costs for such agreements for the current fiscal year shall be

applied to contract support costs otherwise due for such agreements for subsequent fiscal years: Provided further, That, notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 075-0344-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contract Support Costs .....	763	822	855
0900 Total new obligations, unexpired accounts (object class 25.3) .....	763	822	855
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	763	822	855
1930 Total budgetary resources available .....	763	822	855
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	63	3
3010 New obligations, unexpired accounts .....	763	822	855
3011 Obligations ("upward adjustments"), expired accounts .....	20		
3020 Outlays (gross) .....	-754	-882	-855
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	63	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	48	63	3
3200 Obligated balance, end of year .....	63	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	763	822	855
Outlays, gross:			
4010 Outlays from new discretionary authority .....	723	822	855
4011 Outlays from discretionary balances .....	31	60	
4020 Outlays, gross (total) .....	754	882	855
4180 Budget authority, net (total) .....	763	822	855
4190 Outlays, net (total) .....	754	882	855

The Contract Support Costs account provides for the reasonable and allowable costs for direct program expenses for the operation of, and any additional administrative or other expense related to, the overhead incurred by tribes and tribal organizations who operate health programs through self-determination contracts and compacts. An estimated \$855 million in contract support costs funds will be provided to tribal governments and tribal organizations in 2020.

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, demolition, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, \$803,026,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: Provided further, That not to exceed \$500,000 may be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: Provided further, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075–0391–0–1–551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rent and Charges for Quarters, Indian Health Service	8	9	9
2000 Total: Balances and receipts	8	9	9
Appropriations:			
Current law:			
2101 Indian Health Facilities	–8	–9	–9
5099 Balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 075–0391–0–1–551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Maintenance	168	168	169
0002 Sanitation Facilities Construction	192	192	193
0003 Facilities and environmental health	251	251	251
0004 Equipment	24	24	24
0100 Total direct program	635	635	637
0799 Total direct obligations	635	635	637
0801 Indian Health Facilities (Reimbursable)	9	9	9
0900 Total new obligations, unexpired accounts	644	644	646

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	309	620	912
1001 Discretionary unobligated balance brought fwd, Oct 1	309	620	
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	320	620	912
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	868	868	803
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	9	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	59	59	59
1701 Change in uncollected payments, Federal sources	9		
1750 Spending auth from offsetting collections, disc (total)	68	59	59
1900 Budget authority (total)	944	936	871
1930 Total budgetary resources available	1,264	1,556	1,783
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	620	912	1,137

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	574	679	488
3010 New obligations, unexpired accounts	644	644	646
3020 Outlays (gross)	–528	–835	–868
3040 Recoveries of prior year unpaid obligations, unexpired	–11		
3050 Unpaid obligations, end of year	679	488	266
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–9	–18	–18
3070 Change in uncollected pymts, Fed sources, unexpired	–9		
3090 Uncollected pymts, Fed sources, end of year	–18	–18	–18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	565	661	470
3200 Obligated balance, end of year	661	470	248

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	936	927	862
Outlays, gross:			
4010 Outlays from new discretionary authority	326	319	300
4011 Outlays from discretionary balances	195	507	559
4020 Outlays, gross (total)	521	826	859
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–59	–59	–59

4040 Offsets against gross budget authority and outlays (total)	–59	–59	–59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–9		
4070 Budget authority, net (discretionary)	868	868	803
4080 Outlays, net (discretionary)	462	767	800
Mandatory:			
4090 Budget authority, gross	8	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	3	9	9
4101 Outlays from mandatory balances	4		
4110 Outlays, gross (total)	7	9	9
4180 Budget authority, net (total)	876	877	812
4190 Outlays, net (total)	469	776	809

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. More than \$340 million, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2020.

**Object Classification** (in millions of dollars)

Identification code 075–0391–0–1–551	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	51	51	51
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	3	3
11.7 Military personnel	22	22	22
11.9 Total personnel compensation	78	78	78
12.1 Civilian personnel benefits	18	18	18
12.2 Military personnel benefits	8	8	8
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	4	4	4
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	15	15	15
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	163	163	165
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	24	24	24
25.7 Operation and maintenance of equipment	4	4	4
25.8 Subsistence and support of persons	64	64	64
26.0 Supplies and materials	11	11	11
31.0 Equipment	8	8	8
32.0 Land and structures	6	6	6
41.0 Grants, subsidies, and contributions	225	225	225
99.0 Direct obligations	635	635	637
99.0 Reimbursable obligations	9	9	9
99.9 Total new obligations, unexpired accounts	644	644	646

**Employment Summary**

Identification code 075–0391–0–1–551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	1,010	1,010	1,015
1101 Direct military average strength employment	151	151	151
2001 Reimbursable civilian full-time equivalent employment	40	40	40

**ADMINISTRATIVE PROVISIONS—INDIAN HEALTH SERVICE**

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; uniforms or allowances therefor as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: Provided, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: Provided further, That notwithstanding

ing any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121, the Indian Sanitation Facilities Act and Public Law 93–638: Provided further, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or the House and Senate Committees on Appropriations are notified through the reprogramming process: Provided further, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: Provided further, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: Provided further, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: Provided further, That, notwithstanding any other provision of law, for any lease under section 105(l) of the Indian Self-Determination and Education Assistance Act, as amended, no additional compensation is required by the Act above the amount provided to the tribe or tribal organization under section 106(a)(1), except the Secretary, in the discretion of the Secretary, may award compensation for such leases, above the section 106(a)(1) amount, and if the Secretary awards such additional compensation the amount of such compensation may be based on such reasonable expenses, if any, as the Secretary determines to be appropriate, which may include the expenses described in section 105(l)(2), and the exercise of this discretion to award additional compensation and determine its amount is not subject to sections 102(a)-(b), (e) or 507(b)-(d) of the Act.

## CENTERS FOR DISEASE CONTROL AND PREVENTION

### Federal Funds

#### IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, **[\$477,855,000] \$577,386,000.**

#### HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, **[\$1,132,278,000] \$1,318,056,000, of which \$140,000,000 shall remain available through September 30, 2021.**

#### EMERGING AND ZOO NOTIC INFECTIOUS DISEASES

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, **[\$568,372,000] \$372,472,000: Provided, That of the amounts made available under this heading to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine law, up to \$1,000,000 shall remain available until expended.**

## CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, XI, XV, XVII, and XIX of the PHS Act with respect to chronic disease prevention and health promotion, **[\$932,821,000] \$347,145,000: Provided, That such amounts and any amounts transferred to this account shall be available for the Director of the Centers for Disease Control and Prevention (CDC) to administer a program, to be known as America's Health State Block Grant, to provide increased flexibility for States, territories, tribes, and tribal organizations to improve public health: Provided further, That for purposes of carrying out this program, the Director may award grants to States, territories, tribes, and tribal organizations, and such grant awards shall be provided through a formula, as determined by the Director, that takes into account the population and disease burden of the grantee: Provided further, That the Director may set aside not more than 15 percent of the amounts awarded for grants described in the previous proviso for the same purposes, on a competitive basis, to cities, Federally-recognized tribes, and public health entities serving rural and frontier areas or other entities: Provided further, That funds [appropriated under this account] made available under this heading may be available for making grants under section 1509 of the PHS Act for not less than 21 States, tribes, or tribal organizations: [Provided further, That of the funds made available under this heading, \$15,000,000 shall be available to continue and expand community specific extension and outreach programs to combat obesity in counties with the highest levels of obesity:] Provided further, That the proportional funding requirements under section 1503(a) of the PHS Act shall not apply to funds made available under this heading.**

## BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, **[\$155,560,000] \$112,000,000.**

### PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II, III, and XVII of the PHS Act with respect to health statistics, surveillance, health informatics, and workforce development, **[\$496,397,000] \$45,000,000: Provided, That in addition to amounts provided herein, \$423,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out the Public Health Scientific Services.**

### ENVIRONMENTAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, **[\$192,350,000] \$157,000,000.**

### INJURY PREVENTION AND CONTROL

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, **[\$648,559,000] \$628,839,000.**

### NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, **[\$336,300,000] \$190,000,000.**

### ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, **[\$55,358,000] \$55,358,000, to remain available until expended: Provided, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106–554.**

### GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, **[\$488,621,000] \$456,984,000, of which: (1) [\$128,421,000] \$69,547,000 shall remain available through September 30, [2020] 2021 for international HIV/AIDS; and (2) [\$50,000,000] \$99,762,000 shall [remain] be available [through September 30, 2021] for [Global Disease Detection and Emergency Response] global public health protection: Provided, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.**

### PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, **[\$1,465,200,000, of which \$610,000,000 shall remain available until expended for the Strategic National Stockpile] \$825,000,000: Provided, That the Director of the Centers for Disease Control and Prevention (referred to in this title as "CDC") or the Administrator of the Agency for Toxic Substances and Disease**

Registry may detail staff without reimbursement for up to **[90]** 180 days to support an activation of the CDC Emergency Operations Center **[ ]**, so long as the Director or Administrator, as applicable, provides a notice to the Committees on Appropriations of the House of Representatives and the Senate within 15 days of the use of this authority and a full report within 30 days after use of this authority which includes the number of staff and funding level broken down by the originating center and number of days detailed: *Provided further*, That funds appropriated under this heading may be used to support a contract for the operation and maintenance of an aircraft in direct support of activities throughout CDC to ensure the agency is prepared to address public health preparedness emergencies **[ ]**.

**CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT**

For carrying out titles II, III, XVII and XIX, and section 2821 of the PHS Act and for cross-cutting activities and program support for activities funded in other appropriations included in this Act for the Centers for Disease Control and Prevention, **[\$163,570,000]** \$155,000,000, of which up to \$10,000,000 may be transferred to the reserve of the Working Capital Fund authorized under this heading in division F of Public Law 112-74: *Provided*, That paragraphs (1) through (3) of subsection (b) of section 2821 of the PHS Act shall not apply to funds appropriated under this heading and in all other accounts of the CDC: *Provided further*, That of the amounts made available under this heading, \$50,000,000 shall be transferred to and merged with the *Infectious Diseases Rapid Response Reserve Fund established by section 231 of division B of Public Law 115-245: Provided further*, That any funds made available by this Act to the Centers for Disease Control and Prevention may be used to support the purchase, hire, maintenance, and operation of an aircraft for use and support of the activities of CDC: *Provided further*, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: *Provided further*, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: *Provided further*, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: *Provided further*, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program and the Respirator Certification Program shall be available through September 30, **[2020]** 2021. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-0943-0-1-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, Centers for Disease Control .....	1	2	2
2000 Total: Balances and receipts .....	1	2	3
Appropriations:			
Current law:			
2101 CDC-wide Activities and Program Support .....	-1	-1	-1
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 075-0943-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Birth Defects, Developmental Disabilities, Disability and Health (0958) .....	140	156	112
0002 CDC-Wide Activities and Program Support (0943) .....	564	327	105
0004 Chronic Disease Prevention and Health Promotion (0948) .....	1,166	1,188	951
0005 Emerging and Zoonotic Infectious Diseases (0949) .....	611	620	509
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954) .....	52	51	55
0007 Environmental Health (0947) .....	218	209	157
0008 Global Health (0955) .....	442	489	457
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950) .....	1,122	1,132	1,318
0013 Immunization and Respiratory Diseases (0951) .....	769	798	727
0015 Injury Prevention and Control (0952) .....	593	649	629
0016 Occupational Safety and Health (0953) .....	334	336	190
0019 Public Health Preparedness and Response (0956) .....	1,168	858	825
0020 Public Health Scientific Services (0959) .....	488	496	468
0021 Cooperative Research and Development Agreements (CRADA) (5146) .....	1	1	1

0799 Total direct obligations .....	7,668	7,310	6,504
0802 CDC-Wide Activities and Program Support (Reimbursable) .....	269	297	331
0809 Reimbursable program activities, subtotal .....	269	297	331
0900 Total new obligations, unexpired accounts .....	7,937	7,607	6,835

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	469	933	467
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	334	664	
1010 Unobligated balance transfer to other accts [075-0960] .....	-1		
1010 Unobligated balance transfer to other accts [075-9915] .....	-3		
1010 Unobligated balance transfer to other accts [075-0140] .....		-406	
1010 Unobligated balance transfer to other accts [075-0140] .....		-23	
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		
1021 Recoveries of prior year unpaid obligations .....	148		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	621	504	467
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	7,135	7,058	5,185
1120 Appropriations transferred to other acct [075-1503] .....	-17		
1120 Appropriations transferred to other acct [075-0960] .....	-6		
1120 Appropriations transferred to other acct [075-0140] .....		-604	
1120 Appropriations transferred to other acct [075-0945] .....		-50	-50
1160 Appropriation, discretionary (total) .....	7,112	6,404	5,135
Appropriations, mandatory:			
1200 Appropriation (075-0954 - EEOICPA) .....	55	55	55
1200 Appropriation (Childhood Obesity 075-0943) .....	30		
1201 Appropriation (075-5146 CRADA) .....	1	1	1
1221 Appropriations transferred from other acct PPHF [075-0116] .....	801	805	894
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-5		
1260 Appropriations, mandatory (total) .....	882	861	950
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	267	301	331
1701 Change in uncollected payments, Federal sources .....	-7		
1750 Spending auth from offsetting collections, disc (total) .....	260	301	331
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	4
1900 Budget authority (total) .....	8,258	7,570	6,420
1930 Total budgetary resources available .....	8,879	8,074	6,887
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9		
1941 Unexpired unobligated balance, end of year .....	933	467	52

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,137	6,935	7,135
3010 New obligations, unexpired accounts .....	7,937	7,607	6,835
3011 Obligations ("upward adjustments"), expired accounts .....	48		
3020 Outlays (gross) .....	-7,884	-7,407	-7,637
3040 Recoveries of prior year unpaid obligations, unexpired .....	-148		
3041 Recoveries of prior year unpaid obligations, expired .....	-155		
3050 Unpaid obligations, end of year .....	6,935	7,135	6,333
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-190	-127	-127
3070 Change in uncollected pymts, Fed sources, unexpired .....	7		
3071 Change in uncollected pymts, Fed sources, expired .....	56		
3090 Uncollected pymts, Fed sources, end of year .....	-127	-127	-127
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,947	6,808	7,008
3200 Obligated balance, end of year .....	6,808	7,008	6,206

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	7,372	6,705	5,466
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2,629	2,860	2,368
4011 Outlays from discretionary balances .....	4,364	3,772	4,397
4020 Outlays, gross (total) .....	6,993	6,632	6,765
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030 Federal sources .....	-310	-301	-331
4033 Non-Federal sources .....	-20		
4040 Offsets against gross budget authority and outlays (total) .....	-330	-301	-331
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	7		

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued  
Program and Financing—Continued

Identification code 075-0943-0-1-999	2018 actual	2019 est.	2020 est.
4052 Offsetting collections credited to expired accounts .....	63		
4060 Additional offsets against budget authority only (total) .....	70		
4070 Budget authority, net (discretionary) .....	7,112	6,404	5,135
4080 Outlays, net (discretionary) .....	6,663	6,331	6,434
<b>Mandatory:</b>			
4090 Budget authority, gross .....	886	865	954
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	147	206	224
4101 Outlays from mandatory balances .....	744	569	648
4110 Outlays, gross (total) .....	891	775	872
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-5	-4	-4
<b>Additional offsets against gross budget authority only:</b>			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160 Budget authority, net (mandatory) .....	882	861	950
4170 Outlays, net (mandatory) .....	886	771	868
4180 Budget authority, net (total) .....	7,994	7,265	6,085
4190 Outlays, net (total) .....	7,549	7,102	7,302

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, public health preparedness and emergency response, and CDC-wide activities and program support. The FY 2020 Budget provides \$140 million for CDC to begin a new initiative to end the HIV epidemic in America. The FY 2020 Budget maintains the proposal to establish a new block grant, proposed at \$500 million, to increase flexibility for States to address their population's unique public health needs. The FY 2020 Budget provides \$100 million for global health security activities to protect Americans through partnerships and other activities that support public health capacity improvements in countries at risk for uncontrolled outbreaks of infectious diseases.

Object Classification (in millions of dollars)

Identification code 075-0943-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	770	642	642
11.3 Other than full-time permanent .....	115	100	100
11.5 Other personnel compensation .....	40	34	34
11.7 Military personnel .....	76	70	72
11.8 Special personal services payments .....	8	7	7
11.9 Total personnel compensation .....	1,009	853	855
12.1 Civilian personnel benefits .....	309	255	255
12.2 Military personnel benefits .....	46	47	49
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	55	50	50
22.0 Transportation of things .....	12	13	14
23.1 Rental payments to GSA .....	7	6	6
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	18	19
24.0 Printing and reproduction .....	2	3	3
25.1 Advisory and assistance services .....	814	625	737
25.2 Other services from non-Federal sources .....	180	155	183
25.3 Other goods and services from Federal sources .....	883	855	315
25.4 Operation and maintenance of facilities .....	12	22	25
25.5 Research and development contracts .....	38	24	28
25.6 Medical care .....	28	37	44
25.7 Operation and maintenance of equipment .....	41	44	52
26.0 Supplies and materials .....	260	401	406
31.0 Equipment .....	70	52	52
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	3,889	3,846	3,407
42.0 Insurance claims and indemnities .....	1	1	1

99.0 Direct obligations .....	7,668	7,310	6,504
99.0 Reimbursable obligations .....	269	297	331
99.9 Total new obligations, unexpired accounts .....	7,937	7,607	6,835

Employment Summary

Identification code 075-0943-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8,970	8,784	8,808
1101 Direct military average strength employment .....	820	810	808
2001 Reimbursable civilian full-time equivalent employment .....	187	178	178
2101 Reimbursable military average strength employment .....	31	5	5

BUILDINGS AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For any cost related to the acquisition of real property, equipment, construction, installation, demolition, and renovation of facilities, **[\$30,000,000] \$30,000,000**, which shall remain available until September 30, **[2023] 2024** **[** Provided, That funds previously set-aside by CDC for repair and upgrade of the Lake Lynn Experimental Mine and Laboratory shall be used to acquire a replacement mine safety research facility: *Provided further*, That in addition, the prior year unobligated balance of any amounts assigned to former employees in accounts of CDC made available for Individual Learning Accounts shall be credited to and merged with the amounts made available under this heading to support the replacement of the mine safety research facility **]**. (*Department of Health and Human Services Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 075-0960-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CDC Buildings and Facilities (0960) .....	25	25	25
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	499	504
1011 Unobligated balance transfer from other acct [075-0125] .....	240		
1011 Unobligated balance transfer from other acct [075-0943] .....	1		
1050 Unobligated balance (total) .....	248	499	504
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	270	30	30
1121 Appropriations transferred from other acct [075-0943] .....	6		
1160 Appropriation, discretionary (total) .....	276	30	30
1930 Total budgetary resources available .....	524	529	534
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	499	504	509

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	27	25
3010 New obligations, unexpired accounts .....	25	25	25
3020 Outlays (gross) .....	-8	-27	-43
3050 Unpaid obligations, end of year .....	27	25	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10	27	25
3200 Obligated balance, end of year .....	27	25	7

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	276	30	30
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	12	12
4011 Outlays from discretionary balances .....	7	15	31
4020 Outlays, gross (total) .....	8	27	43
4180 Budget authority, net (total) .....	276	30	30
4190 Outlays, net (total) .....	8	27	43

Object Classification (in millions of dollars)

Identification code 075-0960-0-1-551	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	1	1

25.4	Operation and maintenance of facilities .....	11	11	11
32.0	Land and structures .....	13	13	13
99.9	Total new obligations, unexpired accounts .....	25	25	25

CDC WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 075-4553-0-4-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 CDC Working Capital Fund (Reimbursable) .....	576	569	569
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	54	48	55
1021 Recoveries of prior year unpaid obligations .....	6		
1050 Unobligated balance (total) .....	60	48	55
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	564	576	532
1900 Budget authority (total) .....	564	576	532
1930 Total budgetary resources available .....	624	624	587
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	55	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	195	199	207
3010 New obligations, unexpired accounts .....	576	569	569
3020 Outlays (gross) .....	-566	-561	-545
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6		
3050 Unpaid obligations, end of year .....	199	207	231
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	193	197	205
3200 Obligated balance, end of year .....	197	205	229
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	564	576	532
Outlays, gross:			
4010 Outlays from new discretionary authority .....	399	380	351
4011 Outlays from discretionary balances .....	167	181	194
4020 Outlays, gross (total) .....	566	561	545
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-564	-576	-532
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	-15	13

Implemented in fiscal year 2014, CDC's Working Capital Fund has extended availability and serves as the funding mechanism to finance centralized business services support across CDC. Services rendered under the fund are performed at pre-established rates that are used to cover the full cost of operations and future investments. Contributions are collected for services, thereby creating market-like incentives to maximize efficiency and quality.

Object Classification (in millions of dollars)

Identification code 075-4553-0-4-551	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	148	148	148
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	4	4	4
11.7 Military personnel .....	3	3	3
11.9 Total personnel compensation .....	159	159	159
12.1 Civilian personnel benefits .....	50	50	50
12.2 Military personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	32	32	32

23.3	Communications, utilities, and miscellaneous charges .....	22	22	22
25.1	Advisory and assistance services .....	31	31	31
25.2	Other services from non-Federal sources .....	77	77	77
25.3	Other goods and services from Federal sources .....	68	68	68
25.4	Operation and maintenance of facilities .....	10	10	10
25.7	Operation and maintenance of equipment .....	57	52	52
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	12	12	12
32.0	Land and structures .....	52	50	50
99.9	Total new obligations, unexpired accounts .....	576	569	569

Employment Summary

Identification code 075-4553-0-4-551	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,268	1,551	1,551
2101 Reimbursable military average strength employment .....	21	79	79

INFECTIOUS DISEASES RAPID RESPONSE RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 075-0945-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			50
0900 Total new obligations, unexpired accounts (object class 41.0) .....			50
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			50
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075-0943] .....		50	50
1930 Total budgetary resources available .....		50	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		50	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			50
3020 Outlays (gross) .....			-50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		50	50
Outlays, gross:			
4011 Outlays from discretionary balances .....			50
4180 Budget authority, net (total) .....		50	50
4190 Outlays, net (total) .....			50

The FY 2020 Budget provides \$50 million for the Infectious Diseases Rapid Response Reserve Fund within the Centers for Disease Control and Prevention. This Fund will provide the ability to respond efficiently and rapidly to emerging infectious disease threats or outbreaks.

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and section 3019 of the Solid Waste Disposal Act, \$62,000,000: Provided, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: Provided further, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: Provided further, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year 2020, and existing profiles may be updated as necessary.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH—Continued

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 075–0944–0–1–551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Agency for Toxic Substances and Disease Registry, Toxic Substance (Direct) .....	87	75	62
0801 Agency for Toxic Substances and Disease Registry, Toxic Substance (Reimbursable) .....	16		
0900 Total new obligations, unexpired accounts .....	103	75	62
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	21	24
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	75	75	62
1121 Appropriations transferred from other acct [097–0100] .....	10		
1160 Appropriation, discretionary (total) .....	85	75	62
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
1701 Change in uncollected payments, Federal sources .....	13		
1750 Spending auth from offsetting collections, disc (total) .....	16	3	3
1900 Budget authority (total) .....	101	78	65
1930 Total budgetary resources available .....	124	99	89
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	24	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	64	42
3010 New obligations, unexpired accounts .....	103	75	62
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-79	-97	-71
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	64	42	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	37	49	27
3200 Obligated balance, end of year .....	49	27	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	101	78	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	51	51	42
4011 Outlays from discretionary balances .....	25	46	29
4020 Outlays, gross (total) .....	76	97	71
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-5	-3	-3
4040 Offsets against gross budget authority and outlays (total) .....	-5	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-11		
4070 Budget authority, net (discretionary) .....	85	75	62
4080 Outlays, net (discretionary) .....	71	94	68
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3		
4180 Budget authority, net (total) .....	85	75	62
4190 Outlays, net (total) .....	74	94	68

Object Classification (in millions of dollars)

Identification code 075–0944–0–1–551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	21	20	16

11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.7 Military personnel .....	3	3	3
11.9 Total personnel compensation .....	27	26	22
12.1 Civilian personnel benefits .....	8	7	6
12.2 Military personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	
25.1 Advisory and assistance services .....	3	7	9
25.2 Other services from non-Federal sources .....	5	5	3
25.3 Other goods and services from Federal sources .....	22	14	14
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1		
31.0 Equipment .....	2		1
41.0 Grants, subsidies, and contributions .....	16	13	5
99.0 Direct obligations .....	87	75	62
99.0 Reimbursable obligations .....	16		
99.9 Total new obligations, unexpired accounts .....	103	75	62

Employment Summary

Identification code 075–0944–0–1–551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	214	212	212
1101 Direct military average strength employment .....	33	33	33
2001 Reimbursable civilian full-time equivalent employment .....		2	2

WORLD TRADE CENTER HEALTH PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 075–0946–0–1–551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 World Trade Center Health Program—Federal Share (CDC/NIOSH) .....	432	517	541
0002 World Trade Center Health Program—NYC .....	48	57	60
0900 Total new obligations, unexpired accounts .....	480	574	601
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	869	872	812
1012 Unobligated balance transfers between expired and unexpired accounts .....	25	25	25
1021 Recoveries of prior year unpaid obligations .....	25		
1033 Recoveries of prior year paid obligations .....	11		
1050 Unobligated balance (total) .....	930	897	837
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (WTC (CDC Direct)) .....	347	440	487
1200 Appropriation (WTC—NYC DHSS—CDC) .....	39	49	54
1260 Appropriations, mandatory (total) .....	386	489	541
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	36		
1900 Budget authority (total) .....	422	489	541
1930 Total budgetary resources available .....	1,352	1,386	1,378
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	872	812	777
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	186	225	412
3010 New obligations, unexpired accounts .....	480	574	601
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-393	-387	-401
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25		
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	225	412	612
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	186	225	412
3200 Obligated balance, end of year .....	225	412	612
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	422	489	541
Outlays, gross:			
4100 Outlays from new mandatory authority .....		225	249
4101 Outlays from mandatory balances .....	393	162	152

4110	Outlays, gross (total) .....	393	387	401
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-50		
	Additional offsets against gross budget authority only:			
4142	Offsetting collections credited to expired accounts .....	3		
4143	Recoveries of prior year paid obligations, unexpired accounts .....	11		
4150	Additional offsets against budget authority only (total) .....	14		
4160	Budget authority, net (mandatory) .....	386	489	541
4170	Outlays, net (mandatory) .....	343	387	401
4180	Budget authority, net (total) .....	386	489	541
4190	Outlays, net (total) .....	343	387	401

HHS, along with CDC, began implementing provisions of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111–347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC serves as the Program Administrator for the World Trade Center (WTC) Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The WTC Health Program has been extended through FY 2090 under the James Zadroga 9/11 Health and Compensation Reauthorization Act of 2015 (P.L. 114–113, Division O, Title III). The amounts included for 2019 and 2020 in the Budget reflect estimated Federal obligations for the WTC Health Program.

**Object Classification** (in millions of dollars)

Identification code 075–0946–0–1–551	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	3	3	3
11.7	Military personnel .....	1	1	1
11.9	Total personnel compensation .....	4	4	4
12.1	Civilian personnel benefits .....	1	1	1
12.2	Military personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	35	39	39
25.2	Other services from non-Federal sources .....	128	157	179
25.3	Other goods and services from Federal sources .....	10	4	4
31.0	Equipment .....	4	1	1
41.0	Grants, subsidies, and contributions .....	23	27	27
42.0	Insurance claims and indemnities .....	274	340	345
99.9	Total new obligations, unexpired accounts .....	480	574	601

**Employment Summary**

Identification code 075–0946–0–1–551	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	30	32	32
1101	Direct military average strength employment .....	9	7	7

**NATIONAL INSTITUTES OF HEALTH**

**Federal Funds**

**NATIONAL CANCER INSTITUTE**

For carrying out section 301 and title IV of the PHS Act with respect to cancer, **[\$5,743,892,000]** \$5,051,737,000, of which up to **[\$30,000,000]** \$30,000,000 may be used for facilities repairs and improvements at the National Cancer Institute—Frederick Federally Funded Research and Development Center in Frederick, Maryland.

**NATIONAL HEART, LUNG, AND BLOOD INSTITUTE**

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$3,488,335,000]** \$3,002,696,000.

**NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH**

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, **[\$461,781,000]** \$397,493,000.

**NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES**

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, **[\$2,029,823,000]** \$1,746,493,000.

**NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE**

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, **[\$2,216,913,000]** \$1,956,031,000.

**NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES**

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, **[\$5,523,324,000]** \$4,754,379,000.

**NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES**

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, **[\$2,872,780,000]** \$2,472,838,000, of which **[\$1,146,821,000]** \$741,000,000 shall be from funds available under section 241 of the PHS Act: *Provided*, That not less than **[\$361,573,000]** \$311,236,000 is provided for the Institutional Development Awards program.

**EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT**

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, **[\$1,506,458,000]** \$1,296,732,000.

**NATIONAL EYE INSTITUTE**

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, **[\$796,536,000]** \$685,644,000.

**NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES**

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, **[\$774,707,000]** \$666,854,000. (*Department of Health and Human Services Appropriations Act, 2019.*)

**NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES**

*For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 U.S.C. 9660(a)) and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, \$66,581,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**NATIONAL INSTITUTE ON AGING**

For carrying out section 301 and title IV of the PHS Act with respect to aging, **[\$3,083,410,000]** \$2,654,144,000.

**NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES**

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, **[\$605,065,000]** \$520,829,000.

**NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS**

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, **[\$474,404,000]** \$408,358,000.

**NATIONAL INSTITUTE OF NURSING RESEARCH**

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, **[\$162,992,000]** \$140,301,000.

**NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM**

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, **[\$525,591,000]** \$452,419,000.

**NATIONAL INSTITUTE ON DRUG ABUSE**

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, **[\$1,419,844,000]** \$1,296,379,000.

**NATIONAL INSTITUTE OF MENTAL HEALTH**

For carrying out section 301 and title IV of the PHS Act with respect to mental health, **[\$1,812,796,000]** \$1,560,422,000.

**NATIONAL HUMAN GENOME RESEARCH INSTITUTE**

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, **[\$575,579,000]** \$495,448,000.

**NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING**

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, **[\$389,464,000]** \$335,986,000.

NATIONAL INSTITUTES OF HEALTH—Continued

NATIONAL CENTER FOR COMPLEMENTARY AND INTEGRATIVE HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to complementary and integrative health, **[\$146,473,000]** *\$126,081,000.*

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, **[\$314,679,000]** *\$270,870,000.*

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), **[\$78,109,000]** *\$67,235,000.*

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, **[\$441,997,000]** *\$380,463,000: Provided,* That of the amounts available for improvement of information systems, **[\$4,000,000]** *\$4,000,000* shall be available until September 30, **[2020]** *2021: Provided further,* That in fiscal year **[2019]** *2020,* the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH").

NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, **[\$806,373,000]** *\$694,112,000: Provided,* That up to **[\$80,000,000]** *10 percent of the amounts made available under this heading* shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network **[: Provided further,** That at least \$559,736,000 is provided to the Clinical and Translational Sciences Awards program**].**

OFFICE OF THE DIRECTOR

**[(INCLUDING TRANSFER OF FUNDS)]**

For carrying out the responsibilities of the Office of the Director, NIH, **[\$1,909,075,000]** *\$1,756,544,000: Provided,* That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: *Provided further,* That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further,* That **[\$165,000,000]** *\$157,065,000* shall be for the Environmental Influences on Child Health Outcomes study: *Provided further,* That **[\$606,566,000]** *\$520,367,000* shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: *Provided further,* That of the funds provided, **[\$10,000]** *\$10,000* shall be for official reception and representation expenses when specifically approved by the Director of the NIH: *Provided further,* That the Office of AIDS Research within the Office of the Director of the NIH may spend up to **[\$8,000,000]** *\$8,000,000* to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act **[: Provided further,** That \$50,000,000 shall be used to carry out section 404I of the PHS Act (42 U.S.C. 283K), relating to biomedical and behavioral research facilities: *Provided further,* That \$5,000,000 shall be transferred to and merged with the appropriation for the "Office of Inspector General" for oversight of grant programs and operations of the NIH, including agency efforts to ensure the integrity of its grant application evaluation and selection processes, and shall be in addition to funds otherwise made available for oversight of the NIH: *Provided further,* That the funds provided in the previous proviso may be transferred from one specified activity to another with 15 days prior approval of the Committees on Appropriations of the House of Representatives and the Senate: *Provided further,* That the Inspector General shall consult with the Committees on Appropriations of the House of Representatives and the Senate before submitting to the Committees an audit plan for fiscal years 2019 and 2020 no later than 30 days after the date of enactment of this Act**].**

In addition to other funds appropriated for the Common Fund established under section 402A(c) of the PHS Act, **[\$12,600,000]** *\$12,600,000* is appropriated to the Common Fund from the 10-year Pediatric Research Initiative Fund described in section 9008 of title 26, United States Code, for the purpose of carrying out section 402(b)(7)(B)(ii) of the PHS Act (relating to pediatric research), as authorized in the Gabriella Miller Kids First Research Act.

BUILDINGS AND FACILITIES

For the study of, construction of, demolition of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, \$200,000,000, to remain available through September 30, **[2023]** *2024.*

NATIONAL INSTITUTE FOR RESEARCH ON SAFETY AND QUALITY

*For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$255,960,000: Provided,* That section 947(c) of the PHS Act shall not apply in fiscal year 2020: *Provided further,* That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 2019.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–9915–0–1–999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, NIH .....	45	42	42
2000 Total: Balances and receipts .....	45	42	42
Appropriations:			
Current law:			
2101 National Institutes of Health .....	–45	–42	–42
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 075–9915–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Cancer Institute (0849) .....	5,951	6,170	5,248
0002 National Heart, Lung, and Blood Institute (0872) .....	3,374	3,487	3,003
0003 National Institute of Dental and Craniofacial Research (0873) .....	447	462	397
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884) .....	1,963	2,030	1,746
0005 National Institute of Neurological Disorders and Stroke (0886) .....	1,949	2,469	2,027
0006 National Institute of Allergy and Infectious Diseases (0885) .....	5,263	5,528	4,755
0007 National Institute of General Medical Sciences (0851) .....	1,858	1,726	1,732
0008 National Institute of Child Health and Human Development (0844) .....	1,450	1,514	1,297
0009 National Eye Institute (0887) .....	770	797	686
0010 National Institute of Environmental Health Sciences (0862) .....	830	852	733
0011 National Institute on Aging (0843) .....	2,571	3,082	2,655
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888) .....	585	605	521
0013 National Institute on Deafness and Other Communication Disorder (0890) .....	459	474	408
0014 National Institute of Mental Health (0892) .....	1,754	1,870	1,630
0015 National Institute on Drug Abuse (0893) .....	1,161	1,633	1,296
0016 National Institute on Alcohol Abuse and Alcoholism (0894) .....	508	526	452
0017 National Institute of Nursing Research (0889) .....	158	163	140
0018 National Human Genome Research Institute (0891) .....	557	576	495
0019 National Institute of Biomedical Imaging and Bioengineering (0898) .....	377	389	336
0021 National Center for Complementary and Integrative Health (0896) .....	142	146	126
0022 National Institute on Minority Health and Health Disparities (0897) .....	304	315	271
0023 John E. Fogarty International Center (0819) .....	76	78	67
0024 National Library of Medicine (0807) .....	427	442	380
0025 NIH Office of the Director (0846) .....	1,806	1,922	1,769
0026 NIH Buildings and facilities (0838) .....	169	200	200
0027 NIH Cooperative Research and Development Agreements .....	37	42	42
0028 National Center for Advancing Translational Sciences (0875) .....	754	813	694
0029 National Institute for Research on Safety and Quality .....			256
0031 Type 1 Diabetes .....	26	274	150
0799 Total direct obligations .....	35,726	38,585	33,512
0801 NIH Reimbursable - Other .....	3,958	4,408	3,730
0802 NIH Royalties .....	146	145	145
0809 Reimbursable program activities, subtotal .....	4,104	4,553	3,875
0899 Total reimbursable obligations .....	4,104	4,553	3,875
0900 Total new obligations, unexpired accounts .....	39,830	43,138	37,387

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	992	1,476	782
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	992	1,277	
1011 Unobligated balance transfer from other acct [075–0943] .....	3		

1011	Unobligated balance transfer from other acct [075-1700] .....			22
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	6		
1021	Recoveries of prior year unpaid obligations .....	88		
1033	Recoveries of prior year paid obligations .....	9		
1050	Unobligated balance (total) .....	1,098	1,476	804
<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation .....	35,792	37,290	32,972
1120	Appropriations transferred to other acct [075-1503] .....	-87		
1120	Appropriations transferred to other acct [075-0128] .....		-5	
1121	Appropriations transferred from other acct [075-5628] .....	386	515	335
1121	Appropriations transferred from other acct [075-5736] .....		13	13
1160	Appropriation, discretionary (total) .....	36,091	37,813	33,320
Appropriations, mandatory:				
1200	Appropriation .....	150	150	
1201	Appropriation (special or trust fund) .....	45	42	42
1260	Appropriations, mandatory (total) .....	195	192	42
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3,784	4,439	4,439
1701	Change in uncollected payments, Federal sources .....	137		
1750	Spending auth from offsetting collections, disc (total) .....	3,921	4,439	4,439
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	4		
1900	Budget authority (total) .....	40,211	42,444	37,801
1930	Total budgetary resources available .....	41,309	43,920	38,605
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....	1,476	782	1,218

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	34,682	37,408	40,758
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	-10		
3010	New obligations, unexpired accounts .....	39,830	43,138	37,387
3011	Obligations ("upward adjustments"), expired accounts .....	493		
3020	Outlays (gross) .....	-36,720	-39,788	-40,881
3040	Recoveries of prior year unpaid obligations, unexpired .....	-88		
3041	Recoveries of prior year unpaid obligations, expired .....	-779		
3050	Unpaid obligations, end of year .....	37,408	40,758	37,264
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-671	-565	-565
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	51		
3070	Change in uncollected pymts, Fed sources, unexpired .....	-137		
3071	Change in uncollected pymts, Fed sources, expired .....	192		
3090	Uncollected pymts, Fed sources, end of year .....	-565	-565	-565
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	34,052	36,843	40,193
3200	Obligated balance, end of year .....	36,843	40,193	36,699

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	40,012	42,252	37,759
Outlays, gross:				
4010	Outlays from new discretionary authority .....	11,786	14,161	12,961
4011	Outlays from discretionary balances .....	24,748	25,489	27,729
4020	Outlays, gross (total) .....	36,534	39,650	40,690
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-3,654	-4,439	-4,439
4033	Non-Federal sources .....	-366		
4040	Offsets against gross budget authority and outlays (total) .....	-4,020	-4,439	-4,439
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-137		
4052	Offsetting collections credited to expired accounts .....	227		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	9		
4060	Additional offsets against budget authority only (total) .....	99		
4070	Budget authority, net (discretionary) .....	36,091	37,813	33,320
4080	Outlays, net (discretionary) .....	32,514	35,211	36,251
Mandatory:				
4090	Budget authority, gross .....	199	192	42
Outlays, gross:				
4100	Outlays from new mandatory authority .....	17	44	10
4101	Outlays from mandatory balances .....	169	94	181
4110	Outlays, gross (total) .....	186	138	191
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-4		

4180	Budget authority, net (total) .....	36,286	38,005	33,362
4190	Outlays, net (total) .....	32,696	35,349	36,442

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	36,286	38,005	33,362
Outlays .....	32,696	35,349	36,442
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			150
Outlays .....			35
<b>Total:</b>			
Budget Authority .....	36,286	38,005	33,512
Outlays .....	32,696	35,349	36,477

This program funds biomedical research and research training. These accounts will continue to be appropriated separately and are displayed in a consolidated format to improve the readability of the presentation. The FY 2020 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality. Detailed information is available through the Department of Health and Human Services.

**Object Classification** (in millions of dollars)

Identification code 075-9915-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	985	1,015	1,044
11.3	Other than full-time permanent .....	515	527	531
11.5	Other personnel compensation .....	48	49	50
11.7	Military personnel .....	18	19	20
11.8	Special personal services payments .....	194	197	189
11.9	Total personnel compensation .....	1,760	1,807	1,834
12.1	Civilian personnel benefits .....	514	529	546
12.2	Military personnel benefits .....	12	12	13
13.0	Benefits for former personnel .....			2
21.0	Travel and transportation of persons .....	54	56	43
22.0	Transportation of things .....	5	6	5
23.1	Rental payments to GSA .....	23	21	18
23.2	Rental payments to others .....	1	1	
23.3	Communications, utilities, and miscellaneous charges .....	38	36	25
25.1	Advisory and assistance services .....	264	268	194
25.2	Other services from non-Federal sources .....	1,327	1,393	974
25.3	Other goods and services from Federal sources .....	3,374	3,519	3,214
25.4	Operation and maintenance of facilities .....	213	282	268
25.5	Research and development contracts .....	1,577	1,663	1,467
25.6	Medical care .....	29	29	25
25.7	Operation and maintenance of equipment .....	143	146	120
25.8	Subsistence and support of persons .....	2	2	2
26.0	Supplies and materials .....	240	247	191
31.0	Equipment .....	192	204	159
41.0	Grants, subsidies, and contributions .....	25,958	28,364	24,412
99.0	Direct obligations .....	35,726	38,585	33,512
99.0	Reimbursable obligations .....	4,104	4,553	3,875
99.9	Total new obligations, unexpired accounts .....	39,830	43,138	37,387

**Employment Summary**

Identification code 075-9915-0-1-999	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	12,799	13,193	13,412
1101	Direct military average strength employment .....	172	177	181
2001	Reimbursable civilian full-time equivalent employment .....	4,435	4,572	4,573
2101	Reimbursable military average strength employment .....	96	99	99

**NATIONAL INSTITUTES OF HEALTH**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-9915-4-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Type 1 Diabetes .....			150
0900	Total new obligations, unexpired accounts (object class 41.0) .....			150

NATIONAL INSTITUTES OF HEALTH—Continued  
Program and Financing—Continued

Identification code 075-9915-4-1-999	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			
	Appropriation .....		150
1930	Total budgetary resources available .....		150
<b>Change in obligated balance:</b>			
Unpaid obligations:			
New obligations, unexpired accounts .....			
3010			150
3020	Outlays (gross) .....		-35
3050	Unpaid obligations, end of year .....		115
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		115
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		150
Outlays, gross:			
4100	Outlays from new mandatory authority .....		35
4180	Budget authority, net (total) .....		150
4190	Outlays, net (total) .....		35

The Budget proposes to extend the Special Diabetes Program for Type 1 Diabetes through fiscal year 2021.

PAYMENT TO THE NIH INNOVATION ACCOUNT, CURES ACT

Program and Financing (in millions of dollars)

Identification code 075-0147-0-1-552	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payment to NIH Innovation (object class 94.0) .....	496	711	492
0900	Total new obligations, unexpired accounts (object class 94.0) .....	496	711	492
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	496	711	492
1930	Total budgetary resources available .....	496	711	492
<b>Change in obligated balance:</b>				
Unpaid obligations:				
New obligations, unexpired accounts .....				
3010		496	711	492
3020	Outlays (gross) .....	-496	-711	-492
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	496	711	492
Outlays, gross:				
4100	Outlays from new mandatory authority .....	496	711	492
4180	Budget authority, net (total) .....	496	711	492
4190	Outlays, net (total) .....	496	711	492

This account, and a related special fund receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

NIH INNOVATION ACCOUNT, CURES ACT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the purposes described in section 1001(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes in the appropriations provided to the NIH in this Act, **[\$711,000,000] \$492,000,000**, to remain available until expended: *Provided*, That such amounts are appropriated pursuant to section 1001(b)(3) of such Act, are to be derived from amounts transferred under section 1001(b)(2)(A) of such Act, and may be transferred by the Director of the National Institutes of Health to other accounts of the National Institutes of Health solely for the purposes provided in such Act: *Provided further*, That upon a deter-

mination by the Director that funds transferred pursuant to the previous proviso are not necessary for the purposes provided, such amounts may be transferred back to the Account: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided by law. (*Department of Health and Human Services Appropriations Act, 2019.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5628-0-2-552	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1140	General Fund Payment, NIH Innovation, CURES Act .....	496	711	492
2000	Total: Balances and receipts .....	496	711	492
Appropriations:				
Current law:				
2101	NIH Innovation, Cures Act .....	-496	-711	-492
5099	Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 075-5628-0-2-552	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	CURES obligations .....	102	244	157
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	40	48	
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	496	711	492
1120	Appropriations transferred to other acct [075-9915] .....	-386	-515	-335
1160	Appropriation, discretionary (total) .....	110	196	157
1930	Total budgetary resources available .....	150	244	157
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	48		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
Unpaid obligations, brought forward, Oct 1 .....				
3000		12	94	233
3010	New obligations, unexpired accounts .....	102	244	157
3020	Outlays (gross) .....	-20	-105	-175
3050	Unpaid obligations, end of year .....	94	233	215
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12	94	233
3200	Obligated balance, end of year .....	94	233	215
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	110	196	157
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12	39	33
4011	Outlays from discretionary balances .....	8	66	142
4020	Outlays, gross (total) .....	20	105	175
4180	Budget authority, net (total) .....	110	196	157
4190	Outlays, net (total) .....	20	105	175

The Cures Act was enacted into law on December 13, 2016. The Cures Act authorizes \$4.8 billion over 10 years for four NIH Innovation Projects and includes amendments to the Public Health Service Act to advance Precision Medicine and other high-priority NIH activities. Amounts appropriated into the NIH Innovation Account are either transferred to the individual institutes and centers or obligated directly in the NIH Innovation Account.

Object Classification (in millions of dollars)

Identification code 075-5628-0-2-552	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	3	6	7
11.3	Other than full-time permanent .....	1	2	2
11.9	Total personnel compensation .....	4	8	9
12.1	Civilian personnel benefits .....	1	2	3
25.3	Other goods and services from Federal sources .....	1		

25.5	Research and development contracts .....	2	1	.....
41.0	Grants, subsidies, and contributions .....	94	233	145
99.9	Total new obligations, unexpired accounts .....	102	244	157

**Employment Summary**

Identification code 075-5628-0-2-552	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	30	59	73
1101 Direct military average strength employment .....		1	1

**10-YEAR PEDIATRIC RESEARCH INITIATIVE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-5736-0-2-552	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Transfers from Presidential Election Campaign Fund .....		13	13
2000 Total: Balances and receipts .....		13	13
Appropriations:			
Current law:			
2101 10-Year Pediatric Research Initiative Fund .....		-13	-13
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 075-5736-0-2-552	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....		13	13
1120 Appropriations transferred to other accts [075-9915] .....		-13	-13
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This special fund was created by the Gabriella Miller Kids First Research Act, enacted on April 3, 2014. This fund receives transfers from the Presidential Election Campaign Fund, which are then appropriated to the NIH Common Fund to support pediatric research.

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION**

**Federal Funds**

**MENTAL HEALTH**

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, **[\$1,524,974,000] \$1,485,047,000:** **Provided,** That of the funds made available under this heading, \$63,887,000 shall be for the National Child Traumatic Stress Initiative, of which \$10,000,000 shall be awarded not later than December 1, 2018, for activities described in the joint explanatory statement accompanying this Act: **Provided [further],** That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: **Provided further,** That in addition to amounts provided herein, \$21,039,000 shall be available under section 241 of the PHS Act to supplement funds otherwise available for mental health activities and to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: **Provided further,** That up to 10 percent of the amounts made available to carry out the Children's Mental Health Services program may be used to carry out demonstration grants or contracts for early interventions with persons not more than 25 years of age at clinical high risk of developing a first episode of psychosis: **Provided further,** That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated in this Act for fiscal year 2019: **Provided further,** That States shall expend at least 10 percent of the amount each receives for carrying out section 1911 of the PHS Act to support

evidence-based programs that address the needs of individuals with early serious mental illness, including psychotic disorders, regardless of the age of the individual at onset: **Provided further,** That \$150,000,000 shall be available until September 30, **[2021] 2022** for grants to communities and community organizations who meet criteria for Certified Community Behavioral Health Clinics pursuant to section 223(a) of Public Law 113-93: **Provided further,** That none of the funds provided for section 1911 of the PHS Act shall be subject to section 241 of such Act: **Provided further,** That of the funds made available under this heading, \$15,000,000 shall be available to carry out section 224 of the Protecting Access to Medicare Act of 2014 (Public Law 113-93; 42 U.S.C. 290aa 22 note).

**SUBSTANCE ABUSE TREATMENT**

For carrying out titles III and V of the PHS Act with respect to substance abuse treatment and title XIX of such Act with respect to substance abuse treatment and prevention and the SUPPORT for Patients and Communities Act, **[\$3,737,556,000] \$3,708,767,000:** **Provided,** That \$1,500,000,000 shall be for State Opioid Response Grants for carrying out activities pertaining to opioids undertaken by the State agency responsible for administering the substance abuse prevention and treatment block grant under subpart II of part B of title XIX of the PHS Act (42 U.S.C. 300x-21 et seq.): **Provided further,** That of such amount \$50,000,000 shall be made available to Indian Tribes or tribal organizations: **Provided further,** That 15 percent of the remaining amount shall be for the States with the highest mortality rate related to opioid use disorders: **Provided further,** That of the amounts provided for State Opioid Response Grants not more than 2 percent shall be available for Federal administrative expenses, training, technical assistance, and evaluation: **Provided further,** That of the amount not reserved by the previous three provisos, the Secretary shall make allocations to States, territories, and the District of Columbia according to a formula using national survey results that the Secretary determines are the most objective and reliable measure of drug use and drug-related deaths: **Provided further,** That the Secretary shall submit the formula methodology to the Committees on Appropriations of the House of Representatives and the Senate not less than 15 days prior to publishing a Funding Opportunity Announcement: **Provided further,** That prevention and treatment activities funded through such grants may include education, treatment (including the provision of medication), behavioral health services for individuals in treatment programs, referral to treatment services, recovery support, and medical screening associated with such treatment: **Provided further,** That each State, as well as the District of Columbia, shall receive not less than \$4,000,000: **Provided further,** That in addition to amounts provided herein, **[the following amounts] \$79,200,000** shall be available under section 241 of the PHS Act to supplement funds otherwise available for substance abuse treatment activities and **[(1) \$79,200,000]** to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX **;** and (2) \$2,000,000 to evaluate substance abuse treatment programs: **Provided further,** That of the funds made available under this heading, \$4,000,000 shall be available to carry out section 3203 of the SUPPORT for Patients and Communities Act: **Provided further,** That none of the funds provided for section 1921 of the PHS Act or State Opioid Response Grants shall be subject to section 241 of such Act.

**SUBSTANCE ABUSE PREVENTION**

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention and the Drug-Free Communities Support Program authorized by the National Narcotics Leadership Act of 1988, as amended, **[\$205,469,000] \$244,090,000,** of which \$2,000,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by Public Law 115-271, and \$3,000,000, to remain available until expended, shall be for activities authorized by section 103 of Public Law 114-198.

**HEALTH SURVEILLANCE AND PROGRAM SUPPORT**

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, **[\$128,830,000] \$97,004,000:** **Provided,** That in addition to amounts provided herein, **[\$31,428,000] \$42,453,000** shall be available under section 241 of the PHS Act to supplement funds available to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: **Provided further,** That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION—Continued appropriation and shall remain available until expended for such purposes: *Provided further*, That amounts made available in this Act for carrying out section 501(o) of the PHS Act shall remain available through September 30, [2020] 2021: *Provided further*, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention". (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-1362-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Mental Health .....	1,454	1,525	1,485
0007 Substance Abuse Treatment .....	3,683	3,738	3,708
0008 Substance Abuse Prevention .....	248	205	244
0009 Health Surveillance and Program Support .....	129	129	98
0011 SAMHSA Prevention Fund .....	12	12	.....
0100 Total, direct program .....	5,526	5,609	5,535
0799 Total direct obligations .....	5,526	5,609	5,535
0802 SAMHSA Reimbursables .....	146	148	30
0810 SAMHSA Reimbursable: PHS Evaluation .....	134	134	143
0899 Total reimbursable obligations .....	280	282	173
0900 Total new obligations, unexpired accounts .....	5,806	5,891	5,708
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	19	19
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	6	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	10	.....	.....
1050 Unobligated balance (total) .....	12	19	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5,013	5,597	5,535
1120 Appropriations transferred to other acct [075-1503] .....	-6	.....	.....
1121 Appropriations transferred from other acct [075-5627] ....	500	.....	.....
1121 Appropriations transferred from other acct [075-0140] ....	20	.....	.....
1160 Appropriation, discretionary (total) .....	5,527	5,597	5,535
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [075-0116] .....	12	12	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	163	282	173
1701 Change in uncollected payments, Federal sources .....	115	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	278	282	173
1900 Budget authority (total) .....	5,817	5,891	5,708
1930 Total budgetary resources available .....	5,829	5,910	5,727
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
1941 Unexpired unobligated balance, end of year .....	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,506	6,127	6,824
3010 New obligations, unexpired accounts .....	5,806	5,891	5,708
3011 Obligations ("upward adjustments"), expired accounts .....	24	.....	.....
3020 Outlays (gross) .....	-4,085	-5,194	-5,857
3041 Recoveries of prior year unpaid obligations, expired .....	-124	.....	.....
3050 Unpaid obligations, end of year .....	6,127	6,824	6,675
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-143	-165	-165
3070 Change in uncollected pymts, Fed sources, unexpired .....	-115	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	93	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-165	-165	-165
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,363	5,962	6,659
3200 Obligated balance, end of year .....	5,962	6,659	6,510
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5,805	5,879	5,708
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,155	1,636	1,593
4011 Outlays from discretionary balances .....	2,913	3,553	4,258
4020 Outlays, gross (total) .....	4,068	5,189	5,851

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-250	-280	-171
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-252	-282	-173
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-115	.....	.....
4052 Offsetting collections credited to expired accounts .....	89	.....	.....
4060 Additional offsets against budget authority only (total) .....	-26	.....	.....
4070 Budget authority, net (discretionary) .....	5,527	5,597	5,535
4080 Outlays, net (discretionary) .....	3,816	4,907	5,678
Mandatory:			
4090 Budget authority, gross .....	12	12	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	4	.....
4101 Outlays from mandatory balances .....	17	1	6
4110 Outlays, gross (total) .....	17	5	6
4180 Budget authority, net (total) .....	5,539	5,609	5,535
4190 Outlays, net (total) .....	3,833	4,912	5,684

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with States, communities, tribal organizations, and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

**Object Classification** (in millions of dollars)

Identification code 075-1362-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	47	48	49
11.3 Other than full-time permanent .....	2	3	2
11.5 Other personnel compensation .....	1	1	1
11.7 Military personnel .....	3	3	3
11.9 Total personnel compensation .....	53	55	55
12.1 Civilian personnel benefits .....	15	16	17
12.2 Military personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	32	33	34
25.2 Other services from non-Federal sources .....	132	146	146
25.3 Other goods and services from Federal sources .....	36	40	37
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	5,244	5,306	5,232
99.0 Direct obligations .....	5,526	5,610	5,535
99.0 Reimbursable obligations .....	280	281	173
99.9 Total new obligations, unexpired accounts .....	5,806	5,891	5,708

**Employment Summary**

Identification code 075-1362-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	424	474	479
1101 Direct military average strength employment .....	31	31	31
2001 Reimbursable civilian full-time equivalent employment .....	101	101	91
2101 Reimbursable military average strength employment .....	5	5	5

**AGENCY FOR HEALTHCARE RESEARCH AND QUALITY**

*Federal Funds*

HEALTHCARE RESEARCH AND QUALITY

[For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$338,000,000: *Provided*, That section 947(c) of the PHS Act shall not apply in fiscal year 2019: *Provided further*, That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and

shall remain available until September 30, 2020. (Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-1700-0-1-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Research on Health Costs, Quality and Outcomes .....	192	197	.....
0002 Medical Expenditure Panel Survey .....	70	70	.....
0003 AHRQ Program Support .....	71	71	.....
0799 Total direct obligations .....	333	338	.....
0803 Research on Health Costs, Quality and Outcomes (Reimbursable) .....	26	26	.....
0899 Total reimbursable obligations .....	26	26	.....
0900 Total new obligations, unexpired accounts .....	359	364	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	21	22
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
1010 Unobligated balance transfer to other accts [075-9915] .....	.....	.....	-22
1021 Recoveries of prior year unpaid obligations .....	1	1	.....
1050 Unobligated balance (total) .....	23	22	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	334	338	.....
1120 Appropriations transferred to other acct [075-1503] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	333	338	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	.....
1701 Change in uncollected payments, Federal sources .....	17	17	.....
1750 Spending auth from offsetting collections, disc (total) .....	18	18	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	6	8	.....
1900 Budget authority (total) .....	357	364	.....
1930 Total budgetary resources available .....	380	386	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	22	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	346	335	432
3010 New obligations, unexpired accounts .....	359	364	.....
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-358	-266	-299
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-12	.....	.....
3050 Unpaid obligations, end of year .....	335	432	133
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-52	-35	-18
3070 Change in uncollected pymts, Fed sources, unexpired .....	-17	-17	.....
3071 Change in uncollected pymts, Fed sources, expired .....	34	34	.....
3090 Uncollected pymts, Fed sources, end of year .....	-35	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	294	300	414
3200 Obligated balance, end of year .....	300	414	115
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	351	356	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	130	136	.....
4011 Outlays from discretionary balances .....	222	114	299
4020 Outlays, gross (total) .....	352	250	299
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-28	-28	.....
4040 Offsets against gross budget authority and outlays (total) .....	-28	-28	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-17	-17	.....
4052 Offsetting collections credited to expired accounts .....	27	27	.....
4060 Additional offsets against budget authority only (total) .....	10	10	.....
4070 Budget authority, net (discretionary) .....	333	338	.....
4080 Outlays, net (discretionary) .....	324	222	299
Mandatory:			
4090 Budget authority, gross .....	6	8	.....

Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	8	.....
4101 Outlays from mandatory balances .....	6	8	.....
4110 Outlays, gross (total) .....	.....	16	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-6	-8	.....
4180 Budget authority, net (total) .....	333	338	.....
4190 Outlays, net (total) .....	324	230	299

The FY 2020 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality.

**Object Classification** (in millions of dollars)

Identification code 075-1700-0-1-552	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	30	31	.....
11.3 Other than full-time permanent .....	4	4	.....
11.5 Other personnel compensation .....	1	.....	.....
11.7 Military personnel .....	1	1	.....
11.9 Total personnel compensation .....	36	36	.....
12.1 Civilian personnel benefits .....	11	11	.....
23.1 Rental payments to GSA .....	3	3	.....
25.2 Other services from non-Federal sources .....	10	11	.....
25.3 Other goods and services from Federal sources .....	21	21	.....
25.5 Research and development contracts .....	142	144	.....
31.0 Equipment .....	1	2	.....
41.0 Grants, subsidies, and contributions .....	109	110	.....
99.0 Direct obligations .....	333	338	.....
99.0 Reimbursable obligations .....	26	26	.....
99.9 Total new obligations, unexpired accounts .....	359	364	.....

**Employment Summary**

Identification code 075-1700-0-1-552	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	263	270	.....
1101 Direct military average strength employment .....	6	6	.....
2001 Reimbursable civilian full-time equivalent employment .....	4	1	.....
3001 Allocation account civilian full-time equivalent employment .....	8	7	.....

**CENTERS FOR MEDICARE AND MEDICAID SERVICES**

*Federal Funds*

**GRANTS TO STATES FOR MEDICAID**

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$276,236,212,000] \$273,188,478,000**, to remain available until expended.

**[For making] In addition, for carrying out such titles after May 31, [2019] 2020, [payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act] for the last quarter of fiscal year [2019] 2020 for unanticipated costs incurred for the current fiscal year, such sums as may be necessary, to remain available until expended.**

**[For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act] In addition, for carrying out such titles for the first quarter of fiscal year [2020] 2021, [\$137,931,797,000] \$139,903,075,000, to remain available until expended.**

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-0512-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Medicaid Vendor Payments .....	414,870	420,268	438,844
0002 State and local administration .....	22,133	21,861	22,527
0003 Vaccines for Children .....	4,389	4,176	4,761

GRANTS TO STATES FOR MEDICAID—Continued  
Program and Financing—Continued

Identification code 075-0512-0-1-551	2018 actual	2019 est.	2020 est.
0799 Total direct obligations .....	441,392	446,305	466,132
0900 Total new obligations, unexpired accounts (object class 41.0) .....	441,392	446,305	466,132
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	310	15,402	16,784
1021 Recoveries of prior year unpaid obligations .....	29,936	35,570	37,100
1033 Recoveries of prior year paid obligations .....	15,114		
1050 Unobligated balance (total) .....	45,360	50,972	53,884
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	284,798	276,236	273,188
Advance appropriations, mandatory:			
1270 Advance appropriation .....	125,219	134,848	137,932
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,087	1,033	1,128
1801 Change in uncollected payments, Federal sources .....	330		
1850 Spending auth from offsetting collections, mand (total) .....	1,417	1,033	1,128
1900 Budget authority (total) .....	411,434	412,117	412,248
1930 Total budgetary resources available .....	456,794	463,089	466,132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15,402	16,784	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39,981	46,079	37,100
3010 New obligations, unexpired accounts .....	441,392	446,305	466,132
3020 Outlays (gross) .....	-405,358	-419,714	-427,114
3040 Recoveries of prior year unpaid obligations, unexpired .....	-29,936	-35,570	-37,100
3050 Unpaid obligations, end of year .....	46,079	37,100	39,018
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-393	-723	-723
3070 Change in uncollected pymts, Fed sources, unexpired .....	-330		
3090 Uncollected pymts, Fed sources, end of year .....	-723	-723	-723
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39,588	45,356	36,377
3200 Obligated balance, end of year .....	45,356	36,377	38,295

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	411,434	412,117	412,248
Outlays, gross:			
4100 Outlays from new mandatory authority .....	404,451	404,672	410,329
4101 Outlays from mandatory balances .....	907	15,042	16,785
4110 Outlays, gross (total) .....	405,358	419,714	427,114
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,087	-1,033	-1,128
4123 Non-Federal sources .....	-15,114		
4130 Offsets against gross budget authority and outlays (total) ....	-16,201	-1,033	-1,128
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-330		
4143 Recoveries of prior year paid obligations, unexpired accounts .....	15,114		
4150 Additional offsets against budget authority only (total) .....	14,784		
4160 Budget authority, net (mandatory) .....	410,017	411,084	411,120
4170 Outlays, net (mandatory) .....	389,157	418,681	425,986
4180 Budget authority, net (total) .....	410,017	411,084	411,120
4190 Outlays, net (total) .....	389,157	418,681	425,986

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	410,017	411,084	411,120
Outlays .....	389,157	418,681	425,986
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-7,835
Outlays .....			-7,835
Total:			
Budget Authority .....	410,017	411,084	403,285
Outlays .....	389,157	418,681	418,151

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

**Vaccines for Children** (in millions of dollars)

	2018	2019	2020
Obligations			
Vaccine Purchase .....	4,191	3,964	4,467
Vaccine Stockpile .....	25	24	98
Ordering, Distribution, and Operations .....	122	136	142
Vaccine Management Contract Support .....	0	0	0
Evaluation Activities .....	51	52	54
Total Obligations .....	4,389	4,176	4,761

GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0512-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Medicaid Vendor Payments .....			-7,835
0799 Total direct obligations .....			-7,835
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-7,835
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-7,835
1900 Budget authority (total) .....			-7,835
1930 Total budgetary resources available .....			-7,835
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-7,835
3020 Outlays (gross) .....			7,835
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-7,835
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-7,835
4180 Budget authority, net (total) .....			-7,835
4190 Outlays, net (total) .....			-7,835

This schedule reflects the Administration's Medicaid proposals.

STATE GRANTS AND DEMONSTRATIONS

**Program and Financing** (in millions of dollars)

Identification code 075-0516-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0012 Medicaid integrity program .....	84	102	90
0018 Money follows the person (MFP) demonstration .....		112	76
0019 MFP evaluations and technical support .....		1	
0021 Katrina Relief .....	2		
0023 Grants to improve outreach and enrollment .....	1	58	
0028 Demo to increase substance use provider under the Medicaid Program .....		51	1
0799 Total direct obligations .....	87	324	167
0900 Total new obligations, unexpired accounts .....	87	324	167
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	244	368	293
1021 Recoveries of prior year unpaid obligations .....	1		
1033 Recoveries of prior year paid obligations .....	10		

1050	Unobligated balance (total) .....	255	368	293
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	206	254	90
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-6	-5	.....
1260	Appropriations, mandatory (total) .....	200	249	90
1900	Budget authority (total) .....	200	249	90
1930	Total budgetary resources available .....	455	617	383
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	368	293	216

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1,297	901	749
3010	New obligations, unexpired accounts .....	87	324	167
3011	Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020	Outlays (gross) .....	-482	-476	-610
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050	Unpaid obligations, end of year .....	901	749	306
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,297	901	749
3200	Obligated balance, end of year .....	901	749	306

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	200	249	90
Outlays, gross:				
4100	Outlays from new mandatory authority .....	20	39	15
4101	Outlays from mandatory balances .....	462	437	595
4110	Outlays, gross (total) .....	482	476	610
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-10	.....	.....
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	10	.....	.....
4160	Budget authority, net (mandatory) .....	200	249	90
4170	Outlays, net (mandatory) .....	472	476	610
4180	Budget authority, net (total) .....	200	249	90
4190	Outlays, net (total) .....	472	476	610

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106–170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108–173), the Deficit Reduction Act of 2005 (P.L. 109–171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3), the Patient Protection and Affordable Care Act (P.L. 111–148), the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152), the Protecting Access to Medicare Act of 2014 (P.L. 113–93), and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114–10). The account also includes funding for grant programs enacted in the HEALTHY KIDS Act (P.L. 115–120), the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115–123), the Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment for Patients and Communities Act (P.L. 115–271), and the Medicaid Extenders Act of 2019 (P.L. 116–3).

**Object Classification (in millions of dollars)**

Identification code 075–0516–0–1–551	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent - Medicaid Integrity Program .....	19	27	27
11.9 Total personnel compensation .....	.....	27	27
12.1 Civilian personnel benefits - Medicaid Integrity Program .....	9	13	13
41.0 Grants, subsidies, and contributions - Medicaid Integrity Program .....	56	62	50
41.0 Grants, subsidies, and contributions - Money follows the person (MFP) demonstrations .....	.....	112	76
41.0 Grants, subsidies, and contributions - MFP evaluations and technical support .....	.....	1	.....
41.0 Grants, subsidies, and contributions - Grants to improve outreach and enrollment .....	1	58	.....
41.0 Grants, subsidies, and contributions - Katrina Relief .....	2	.....	.....
41.0 Grants, subsidies, and contributions - Demo to increase substance use provider capacity under Medicaid .....	.....	51	1

99.0	Direct obligations .....	87	324	167
99.9	Total new obligations, unexpired accounts .....	87	324	167

**Employment Summary**

Identification code 075–0516–0–1–551	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	188	200	200
1101	Direct military average strength employment .....	.....	5	5

**PAYMENTS TO THE HEALTH CARE TRUST FUNDS**

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97–248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$378,343,800,000] \$410,796,100,000.**

*In addition, for making adjustments to the allocation of administrative expenses incurred in prior fiscal years pursuant to section 201(g) of the Social Security Act, such sums as may be necessary: Provided, That such amounts shall not be available for obligation until the Office of Management and Budget approves a justification of the adjustments to the allocation of administrative expenses between the Social Security Administration, Railroad Retirement Board, and the CMS Trust Funds.*

*In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary. (Department of Health and Human Services Appropriations Act, 2019.)*

**Program and Financing (in millions of dollars)**

Identification code 075–0580–0–1–571	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Federal contribution to match premiums (SMI) .....	249,921	284,288	296,515
0002	Part D benefits (Rx Drug) .....	69,563	92,070	98,431
0003	Part D Federal administration (Rx Drug) .....	422	642	861
0004	General Fund Transfers to HI .....	1,543	1,332	1,323
0006	Federal Bureau of Investigation (HCFAC) .....	135	135	138
0007	Federal payments from taxation of OASDI benefits (HI) .....	24,192	23,882	26,472
0008	Criminal fines (HCFAC) .....	2	88	36
0009	Civil penalties and damages (HCFAC—DOJ and CMS administration) .....	16	52	52
0010	Asset Forfeiture .....	22	31	32
0011	State Low Income Determinations .....	3	4	5
0900	Total new obligations, unexpired accounts .....	345,819	402,524	423,865

**Budgetary resources:**

Budget authority:

Appropriations, mandatory:				
1200	Appropriation (definite, annual) .....	327,124	378,336	397,135
1200	Appropriation (indefinite, permanent) .....	24,723	23,882	26,472
1200	Appropriation (HCFAC for FBI) .....	135	135	138
1200	Appropriation (indefinite for HCFAC) .....	307	171	120
1260	Appropriations, mandatory (total) .....	352,289	402,524	423,865
1930	Total budgetary resources available .....	352,289	402,524	423,865
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-6,470	.....	.....

**Change in obligated balance:**

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	18,743	10,525	54,052
3010	New obligations, unexpired accounts .....	345,819	402,524	423,865
3020	Outlays (gross) .....	-348,189	-358,997	-401,440
3041	Recoveries of prior year unpaid obligations, expired .....	-5,848	.....	.....
3050	Unpaid obligations, end of year .....	10,525	54,052	76,477
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	18,743	10,525	54,052
3200	Obligated balance, end of year .....	10,525	54,052	76,477

**Budget authority and outlays, net:**

Mandatory:

4090	Budget authority, gross .....	352,289	402,524	423,865
Outlays, gross:				
4100	Outlays from new mandatory authority .....	339,880	348,473	360,406
4101	Outlays from mandatory balances .....	8,309	10,524	41,034

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued  
Program and Financing—Continued

Identification code 075-0580-0-1-571	2018 actual	2019 est.	2020 est.
4110 Outlays, gross (total) .....	348,189	358,997	401,440
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3,980		
4123 Non-Federal sources .....	-228		
4130 Offsets against gross budget authority and outlays (total) ....	-4,208		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts .....	4,208		
4160 Budget authority, net (mandatory) .....	352,289	402,524	423,865
4170 Outlays, net (mandatory) .....	343,981	358,997	401,440
4180 Budget authority, net (total) .....	352,289	402,524	423,865
4190 Outlays, net (total) .....	343,981	358,997	401,440

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	352,289	402,524	423,865
Outlays .....	343,981	358,997	401,440
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-7,786
Outlays .....			-7,786
Total:			
Budget Authority .....	352,289	402,524	416,079
Outlays .....	343,981	358,997	393,654

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and administrative expenses that are properly chargeable to the general fund.

Object Classification (in millions of dollars)

Identification code 075-0580-0-1-571	2018 actual	2019 est.	2020 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions .....	343,722	400,542	421,676
42.0 Insurance claims and indemnities (HI Uninsured Federal) .....	132	127	102
94.0 Financial transfers (Federal admin) .....	1,965	1,855	2,087
99.9 Total new obligations, unexpired accounts .....	345,819	402,524	423,865

PAYMENTS TO HEALTH CARE TRUST FUNDS  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0580-2-1-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal contribution to match premiums (SMI) .....			-7,696
0002 Part D benefits (Rx Drug) .....			-90
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-7,786
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (definite, annual) .....			-7,786
1930 Total budgetary resources available .....			-7,786
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-7,786
3020 Outlays (gross) .....			7,786
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-7,786
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-7,786
4101 Outlays from mandatory balances .....			
4180 Budget authority, net (total) .....			-7,786

4190 Outlays, net (total) .....	-7,786
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QUALITY IMPROVEMENT ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 075-0519-0-1-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 QIO contracts .....	478	445	226
0002 QIO support contracts .....	157	875	444
0900 Total new obligations, unexpired accounts .....	635	1,320	670
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	172	1,320	670
1801 Change in uncollected payments, Federal sources .....	607		
1850 Spending auth from offsetting collections, mand (total) .....	779	1,320	670
1930 Total budgetary resources available .....	779	1,320	670
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-144		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,188	867	867
3010 New obligations, unexpired accounts .....	635	1,320	670
3020 Outlays (gross) .....	-934	-1,320	-670
3041 Recoveries of prior year unpaid obligations, expired .....	-22		
3050 Unpaid obligations, end of year .....	867	867	867
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,982	-1,616	-1,616
3070 Change in uncollected pymts, Fed sources, unexpired .....	-607		
3071 Change in uncollected pymts, Fed sources, expired .....	973		
3090 Uncollected pymts, Fed sources, end of year .....	-1,616	-1,616	-1,616
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-794	-749	-749
3200 Obligated balance, end of year .....	-749	-749	-749
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	779	1,320	670
Outlays, gross:			
4100 Outlays from new mandatory authority .....	167	720	416
4101 Outlays from mandatory balances .....	767	600	254
4110 Outlays, gross (total) .....	934	1,320	670
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,040	-1,320	-670
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-607		
4142 Offsetting collections credited to expired accounts .....	868		
4150 Additional offsets against budget authority only (total) .....	261		
4170 Outlays, net (mandatory) .....	-106		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-106		
<b>Memorandum (non-add) entries:</b>			
5093 Expired unavailable balance, SOY: Offsetting collections .....	50	50	50
5095 Expired unavailable balance, EOY: Offsetting collections .....	50	50	50

Part B of title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982 (P.L. 97-248), provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO Program was established to improve budgetary operations.

**Object Classification** (in millions of dollars)

Identification code 075-0519-0-1-571	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	27	29	58
11.7 Military personnel .....		1	1
11.9 Total personnel compensation .....		30	59
12.1 Civilian personnel benefits .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	598	1,280	601
99.9 Total new obligations, unexpired accounts .....	635	1,320	670

**Employment Summary**

Identification code 075-0519-0-1-571	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	236	220	168
1101 Direct military average strength employment .....		10	10

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare & Medicaid Services, not to exceed **[\$3,669,744,000] \$3,579,427,000**, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplemental Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 1893(h) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until expended: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That the Secretary is directed to collect fees in fiscal year **[2019] 2020** from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act: *Provided further*, *That of the funds made available under this heading, \$442,192,000, to remain available until September 30, 2021, shall be available for the Survey and Certification Program. (Department of Health and Human Services Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 075-0511-0-1-550	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program operations .....	3,106	2,886	2,446
0002 Federal administration .....	749	733	748
0003 State survey and certification .....	409	403	447
0004 Research, demonstrations, and evaluation projects .....	20	20	
0007 ARRA Medicare/Medicaid HIT .....	37	36	23
0100 Total direct program .....	4,321	4,078	3,664
0799 Total direct obligations .....	4,321	4,078	3,664
0801 Clinical laboratory improvement amendments .....	62	35	40
0802 Sale of data .....	18	21	20
0803 Coordination of benefits .....	32	35	35
0804 Medicare advantage/Prescription drug plan .....	58	88	89
0805 Provider enrollment .....	10	31	32
0806 Recovery audit contractors .....	90	80	85
0808 Marketplace User Fees .....	1,272	1,422	1,235
0810 Risk Adjustment Administrative Expenses .....	32	49	39
0813 Other reimbursable program activity .....	13		
0899 Total reimbursable obligations .....	1,587	1,761	1,575
0900 Total new obligations, unexpired accounts .....	5,908	5,839	5,239
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	-9,240	3,123	3,838
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-162		
1021 Recoveries of prior year unpaid obligations .....	12,330		

1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	2,929	3,123	3,838
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3	3	3
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1,832	4,035	3,629
1701 Change in uncollected payments, Federal sources .....	2,204		
1750 Spending auth from offsetting collections, disc (total) .....	4,036	4,035	3,629
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2,232	2,560	2,491
1801 Change in uncollected payments, Federal sources .....	-88		
1802 Offsetting collections (previously unavailable) .....	101	116	
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-145	-160	
1850 Spending auth from offsetting collections, mand (total) .....	2,100	2,516	2,491
1900 Budget authority (total) .....	6,139	6,554	6,123
1930 Total budgetary resources available .....	9,068	9,677	9,961
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-37		
1941 Unexpired unobligated balance, end of year .....	3,123	3,838	4,722

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	16,606	4,599	4,568
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	25		
3010 New obligations, unexpired accounts .....	5,908	5,839	5,239
3011 Obligations ("upward adjustments"), expired accounts .....	164		
3020 Outlays (gross) .....	-5,553	-5,870	-6,691
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12,330		
3041 Recoveries of prior year unpaid obligations, expired .....	-221		
3050 Unpaid obligations, end of year .....	4,599	4,568	3,116
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6,082	-5,839	-5,839
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10		
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2,116		
3071 Change in uncollected pymts, Fed sources, expired .....	2,369		
3090 Uncollected pymts, Fed sources, end of year .....	-5,839	-5,839	-5,839
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	10,539	-1,240	-1,271
3200 Obligated balance, end of year .....	-1,240	-1,271	-2,723

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,036	4,035	3,629
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,774	2,042	1,840
4011 Outlays from discretionary balances .....	2,249	1,192	1,991
4020 Outlays, gross (total) .....	4,023	3,234	3,831
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4,056	-3,985	-3,579
4033 Non-Federal sources .....	-54	-50	-50
4040 Offsets against gross budget authority and outlays (total) .....	-4,110	-4,035	-3,629
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2,204		
4052 Offsetting collections credited to expired accounts .....	2,278		
4060 Additional offsets against budget authority only (total) .....	74		
4080 Outlays, net (discretionary) .....	-87	-801	202
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2,103	2,519	2,494
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	172	1,399	1,283
4101 Outlays from mandatory balances .....	1,358	1,237	1,577
4110 Outlays, gross (total) .....	1,530	2,636	2,860
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-314	-159	-36
4123 Non-Federal sources .....	-1,935	-2,401	-2,455
4130 Offsets against gross budget authority and outlays (total) .....	-2,249	-2,560	-2,491
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	88		
4142 Offsetting collections credited to expired accounts .....	16		
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4150 Additional offsets against budget authority only (total) .....	105		
4160 Budget authority, net (mandatory) .....	-41	-41	3

PROGRAM MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 075-0511-0-1-550	2018 actual	2019 est.	2020 est.
4170 Outlays, net (mandatory) .....	-719	76	369
4180 Budget authority, net (total) .....	-41	-41	3
4190 Outlays, net (total) .....	-806	-725	571
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	476	515	559
5091 Expiring unavailable balance: Offsetting collections .....	-5		
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	515	559	559
5093 Expired unavailable balance, SOY: Offsetting collections .....	1	6	6
5095 Expired unavailable balance, EOY: Offsetting collections .....	1	6	6

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	-41	-41	3
Outlays .....	-806	-725	571
Legislative proposal, subject to PAYGO:			
Budget Authority .....			12
Outlays .....			2
Total:			
Budget Authority .....	-41	-41	15
Outlays .....	-806	-725	573

Program management activities include funding for program operations, survey and certification, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts, and other administrative costs.

Object Classification (in millions of dollars)

Identification code 075-0511-0-1-550	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	473	489	490
11.3 Other than full-time permanent .....	11	11	15
11.5 Other personnel compensation .....	8	8	9
11.7 Military personnel .....	15	15	15
11.9 Total personnel compensation .....	507	523	529
12.1 Civilian personnel benefits .....	157	154	157
12.2 Military personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	5	5	5
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	23	23	23
23.3 Communications, utilities, and miscellaneous charges .....	73	73	73
24.0 Printing and reproduction .....	63	63	63
25.2 Other services from non-Federal sources .....	3,030	2,765	2,298
25.6 Medical care .....	394	403	447
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	4	4	4
41.0 Grants, subsidies, and contributions .....	33	33	33
42.0 Insurance claims and indemnities .....	20	20	20
99.0 Direct obligations .....	4,321	4,078	3,664
99.0 Reimbursable obligations .....	1,587	1,761	1,575
99.9 Total new obligations, unexpired accounts .....	5,908	5,839	5,239

Employment Summary

Identification code 075-0511-0-1-550	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,206	4,167	4,195
1001 Direct civilian full-time equivalent employment .....	149	142	142
1101 Direct military average strength employment .....	135	146	146

2001 Reimbursable civilian full-time equivalent employment .....	252	300	380
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PROGRAM MANAGEMENT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0511-4-1-550	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program operations .....			12
0100 Total direct program .....			12
0799 Total direct obligations .....			12
0801 ACL SHIPs .....			13
0899 Total reimbursable obligations .....			13
0900 Total new obligations, unexpired accounts .....			25

Budgetary resources:

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			12
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....			13
1900 Budget authority (total) .....			25
1930 Total budgetary resources available .....			25

Change in obligated balance:

<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			25
3020 Outlays (gross) .....			-15
3050 Unpaid obligations, end of year .....			10
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			10

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....			25
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			15
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....			-13
4180 Budget authority, net (total) .....			12
4190 Outlays, net (total) .....			2

This display includes the effects of proposals related to mandatory funding for the State Health Insurance Assistance Program, resources to implement HHS's legislative proposals in the FY 2020 Budget, and the National Medicare and You Education Program user fee increase.

Object Classification (in millions of dollars)

Identification code 075-0511-4-1-550	2018 actual	2019 est.	2020 est.
25.3 Direct obligations: Other goods and services from Federal sources .....			12
99.0 Direct obligations .....			12
99.0 Reimbursable obligations .....			13
99.9 Total new obligations, unexpired accounts .....			25

PAYMENTS TO HOSPITALS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0514-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			15,290
0900 Total new obligations, unexpired accounts (object class 41.0) .....			15,290

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		15,290
1930	Total budgetary resources available .....		15,290
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		15,290
3020	Outlays (gross) .....		-15,290
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		15,290
Outlays, gross:			
4100	Outlays from new mandatory authority .....		15,290
4180	Budget authority, net (total) .....		15,290
4190	Outlays, net (total) .....		15,290

This account reflects the Budget's proposals to modify payments to hospitals for uncompensated care and consolidate graduate medical education spending.

CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0515-0-1-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Grants to states and US territories .....	17,310	17,881	18,366
0002	CHIP Redistribution Funds .....	171		
0003	Child health quality .....	8	22	21
0900	Total new obligations, unexpired accounts (object class 41.0) .....	17,489	17,903	18,387
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6,442	8,251	10,887
1011	Unobligated balance transfer from other acct [075-5551] .....			4,632
1012	Unobligated balance transfers between expired and unexpired accounts .....	101		
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-170		
1021	Recoveries of prior year unpaid obligations .....	2,041		
1050	Unobligated balance (total) .....	8,414	8,251	15,519
Budget authority:				
Appropriations, discretionary:				
1130	Appropriations permanently reduced .....			-15,352
Appropriations, mandatory:				
1200	Appropriation .....	21,590	22,600	23,700
1221	Appropriations transferred from other acct [075-5551] .....			5,604
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3,645	-2,061	
1260	Appropriations, mandatory (total) .....	17,945	20,539	29,304
1900	Budget authority (total) .....	17,945	20,539	13,952
1930	Total budgetary resources available .....	26,359	28,790	29,471
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-619		
1941	Unexpired unobligated balance, end of year .....	8,251	10,887	11,084
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7,803	5,789	5,258
3010	New obligations, unexpired accounts .....	17,489	17,903	18,387
3020	Outlays (gross) .....	-17,282	-18,434	-16,882
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2,041		
3041	Recoveries of prior year unpaid obligations, expired .....	-180		
3050	Unpaid obligations, end of year .....	5,789	5,258	6,763
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,803	5,789	5,258
3200	Obligated balance, end of year .....	5,789	5,258	6,763
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-15,352
Mandatory:				
4090	Budget authority, gross .....	17,945	20,539	29,304
Outlays, gross:				
4100	Outlays from new mandatory authority .....	11,703	12,301	11,653
4101	Outlays from mandatory balances .....	5,579	6,133	5,229

4110	Outlays, gross (total) .....	17,282	18,434	16,882
4180	Budget authority, net (total) .....	17,945	20,539	13,952
4190	Outlays, net (total) .....	17,282	18,434	16,882

The Balanced Budget Act of 1997 (P.L. 105-33) established the Children's Health Insurance Program (CHIP) under title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through fiscal year 2013. CHIPRA made some modifications to the program, including increased funding for States and territories, and support for child health quality and outreach activities. A contingency fund to assist States who project spending above their available allocated CHIP funds was also created by CHIPRA, and funding is included in a separate account. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10) extended CHIP funding through fiscal year 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115-120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123) extended CHIP funding through fiscal year 2023 and 2027, respectively.

CENTER FOR MEDICARE AND MEDICAID INNOVATION

Program and Financing (in millions of dollars)

Identification code 075-0522-0-1-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Administration .....	320	420	397
0002	Innovation Activities .....	611	602	1,003
0900	Total new obligations, unexpired accounts .....	931	1,022	1,400
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3,483	2,619	1,597
1021	Recoveries of prior year unpaid obligations .....	66		
1033	Recoveries of prior year paid obligations .....	1		
1050	Unobligated balance (total) .....	3,550	2,619	1,597
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....			10,000
1930	Total budgetary resources available .....	3,550	2,619	11,597
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,619	1,597	10,197
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,376	1,228	1,161
3010	New obligations, unexpired accounts .....	931	1,022	1,400
3020	Outlays (gross) .....	-1,013	-1,089	-1,226
3040	Recoveries of prior year unpaid obligations, unexpired .....	-66		
3050	Unpaid obligations, end of year .....	1,228	1,161	1,335
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,376	1,228	1,161
3200	Obligated balance, end of year .....	1,228	1,161	1,335
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			10,000
Outlays, gross:				
4100	Outlays from new mandatory authority .....			413
4101	Outlays from mandatory balances .....	1,013	1,089	813
4110	Outlays, gross (total) .....	1,013	1,089	1,226
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160	Budget authority, net (mandatory) .....			10,000

CENTER FOR MEDICARE AND MEDICAID INNOVATION—Continued  
**Program and Financing—Continued**

Identification code 075-0522-0-1-551	2018 actual	2019 est.	2020 est.
4170 Outlays, net (mandatory) .....	1,012	1,089	1,226
4180 Budget authority, net (total) .....			10,000
4190 Outlays, net (total) .....	1,012	1,089	1,226

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Patient Protection and Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes for fiscal years 2011 through 2019 and each subsequent 10-year fiscal period (beginning with the 10-year fiscal period beginning with fiscal year 2020).

**Object Classification** (in millions of dollars)

Identification code 075-0522-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	62	60	67
11.7 Military personnel .....		3	3
11.9 Total personnel compensation .....		63	70
12.1 Civilian personnel benefits .....	20	20	21
12.2 Military personnel benefits .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	512	418	777
41.0 Grants, subsidies, and contributions .....	310	448	457
42.0 Insurance claims and indemnities .....	24	69	71
99.9 Total new obligations, unexpired accounts .....	931	1,022	1,400

**Employment Summary**

Identification code 075-0522-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	540	578	578
1101 Direct military average strength employment .....		22	22

CHILD ENROLLMENT CONTINGENCY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-5551-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	570	3,132	5,604
0198 Rounding adjustment .....	2		
0198 Earnings on investment adjustment .....		-4	
0199 Balance, start of year .....	572	3,128	5,604
Receipts:			
Current law:			
1140 Interest, Child Enrollment Contingency Fund .....	27	246	156
2000 Total: Balances and receipts .....	599	3,374	5,760
Appropriations:			
Current law:			
2101 Child Enrollment Contingency Fund .....	-27	-246	-156
2103 Child Enrollment Contingency Fund .....	-572	-3,128	-5,604
2134 Child Enrollment Contingency Fund .....	2,562	3,262	
2199 Total current law appropriations .....	1,963	-112	-5,760
2999 Total appropriations .....	1,963	-112	-5,760
4030 Child Enrollment Contingency Fund .....	570	2,342	
5099 Balance, end of year .....	3,132	5,604	

**Program and Financing** (in millions of dollars)

Identification code 075-5551-0-2-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	573	2,338	4,632
1010 Unobligated balance transfer to other accts [075-0515] .....			-4,632
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-2	4	
1035 Unobligated balance precluded from obligation (limitation on obligations) .....	-570	-2,342	
1050 Unobligated balance (total) .....	1		
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....			-4,396
Appropriations, mandatory:			
1200 Appropriation .....	4,300	4,520	4,740
1201 Appropriation (special or trust fund) .....	27	246	156
1203 Appropriation (previously unavailable) .....	572	3,128	5,604
1220 Appropriations transferred to other acct [075-0515] .....			-5,604
1234 Appropriations precluded from obligation .....	-2,562	-3,262	
1260 Appropriations, mandatory (total) .....	2,337	4,632	4,896
1900 Budget authority (total) .....	2,337	4,632	500
1930 Total budgetary resources available .....	2,338	4,632	500
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,338	4,632	500

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	200	200	
3020 Outlays (gross) .....		-200	
3050 Unpaid obligations, end of year .....	200		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	200	200	
3200 Obligated balance, end of year .....	200		

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....			-4,396
Mandatory:			
4090 Budget authority, gross .....	2,337	4,632	4,896
Outlays, gross:			
4101 Outlays from mandatory balances .....		200	
4180 Budget authority, net (total) .....	2,337	4,632	500
4190 Outlays, net (total) .....		200	

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1,145		10,236
5001 Total investments, EOY: Federal securities: Par value .....		10,236	500

The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3) established the Child Enrollment Contingency Fund under title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a Contingency Fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10) extended the Contingency Fund through fiscal years 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115-120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123) extended the Contingency Fund through FY 2023 and FY 2027, respectively.

The Fund receives an appropriation equal to 20 percent of the CHIP national allotment appropriation under section 2104(a) of the Social Security Act. The Contingency Fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

CHILD ENROLLMENT CONTINGENCY FUND  
(Legislative proposal, subject to PAYGO)

The Budget proposes to repeal the Child Enrollment Contingency Fund, because few states can meet the restrictive eligibility criteria to qualify for a payment, and when States do qualify, funds do not need to be spent on

children's health. Instead, the Budget proposes funding for a restructured Shortfall Fund, which will be a more accessible safety net for States.

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 075-0508-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Incentive payments to hospitals .....	60	20	15
0900 Total new obligations, unexpired accounts (object class 42.0) .....	60	20	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected from the HI Trust Fund .....	71	20	15
1801 Change in uncollected payments, Federal sources .....	-10		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-8		
1850 Spending auth from offsetting collections, mand (total) .....	53	20	15
1930 Total budgetary resources available .....	60	20	15

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	25	
3010 New obligations, unexpired accounts .....	60	20	15
3020 Outlays (gross) .....	-53	-45	-15
3050 Unpaid obligations, end of year .....	25		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-171	-161	-161
3070 Change in uncollected pymts, Fed sources, unexpired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-161	-161	-161
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-153	-136	-161
3200 Obligated balance, end of year .....	-136	-161	-161

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	53	20	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....	35	20	15
4101 Outlays from mandatory balances .....	18	25	
4110 Outlays, gross (total) .....	53	45	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-71	-20	-15
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	10		
4160 Budget authority, net (mandatory) .....	-8		
4170 Outlays, net (mandatory) .....	-18	25	
4180 Budget authority, net (total) .....	-8		
4190 Outlays, net (total) .....	-18	25	
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	133	141	141
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	141	141	141

RATE REVIEW GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0112-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Premium rate review grants .....	9		19
0900 Total new obligations, unexpired accounts (object class 41.0) .....	9		19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	19

1012 Unobligated balance transfers between expired and unexpired accounts .....	10		
1021 Recoveries of prior year unpaid obligations .....		15	
1050 Unobligated balance (total) .....	13	19	19
1930 Total budgetary resources available .....	13	19	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	19	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	69	53	5
3010 New obligations, unexpired accounts .....	9		19
3020 Outlays (gross) .....	-19	-33	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....		-15	
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	53	5	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	69	53	5
3200 Obligated balance, end of year .....	53	5	20

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	19	33	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	19	33	4

The Patient Protection and Affordable Care Act (P.L. 111-148) added section 2794 to the Public Health Service Act and provided that the Secretary carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The program provided \$250 million in grants to help States develop or enhance their current rate review activities from 2010 through 2014, with remaining unobligated balances subsequently available for state implementation of consumer protections and other insurance reform activities consistent with section 2794(c)(2)(B).

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM

Program and Financing (in millions of dollars)

Identification code 075-0113-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pre-Existing Condition Insurance Plan Program (Direct) .....	87		
0002 Administration .....		81	60
0799 Total direct obligations .....	87	81	60
0900 Total new obligations, unexpired accounts (object class 25.2) .....	87	81	60
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	332	246	165
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	333	246	165
1930 Total budgetary resources available .....	333	246	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	246	165	105

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	69	94
3010 New obligations, unexpired accounts .....	87	81	60
3020 Outlays (gross) .....	-55	-56	-43
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	69	94	111
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	69	94
3200 Obligated balance, end of year .....	69	94	111

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	55	56	43
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	55	56	43

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM—Continued

This account funded the Pre-Existing Condition Insurance Plan program (PCIP), which made health insurance available to people who had been unable to purchase insurance due to a pre-existing condition. Enrollees paid monthly premiums similar to those charged in the commercial individual market, and the Federal government paid for remaining costs that exceeded enrollee contributions. The funding for this program, including operating costs, was provided in the Patient Protection and Affordable Care Act (P.L. 111–148). The PCIP program ended in fiscal year 2014, and outlays in subsequent fiscal years reflect program close out and claims run out costs, as well as allowable administrative costs in the current year.

3200	Obligated balance, end of year .....	8	1	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	12		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7		
4101	Outlays from mandatory balances .....	41	7	
4110	Outlays, gross (total) .....	48	7	
4180	Budget authority, net (total) .....	12		
4190	Outlays, net (total) .....	48	7	

This program provided funding for Planning and Establishment Grants to States for their activities to implement Health Insurance Exchanges. The Exchanges facilitated the purchase of qualified health plans in the individual market and allowed small businesses to offer qualified health plans to their employees through the Small Business Health Options Program (SHOP). Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111–148) provided amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allowed for renewal of grants through January 1, 2015. The final round of grants was awarded to States in December 2014.

EARLY RETIREE REINSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 075–0114–0–1–551	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	26	26	26
1930	Total budgetary resources available .....	26	26	26
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	26	26	26
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	11	
3020	Outlays (gross) .....		–11	
3050	Unpaid obligations, end of year .....	11		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11	11	
3200	Obligated balance, end of year .....	11		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....		11	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....		11	

The Patient Protection and Affordable Care Act (P.L. 111–148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). By statute, ERRP sunset on January 1, 2014, and is no longer providing reimbursements to plan sponsors.

Object Classification (in millions of dollars)

Identification code 075–0115–0–1–551	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3		
25.2	Other services from non-Federal sources .....	9		
99.9	Total new obligations, unexpired accounts .....	12		

Employment Summary

Identification code 075–0115–0–1–551	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	24		

COST-SHARING REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 075–0126–0–1–551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Cost Sharing Reductions .....	6,263	6,601
0002	Basic Health Program .....	1,312	1,374
0900	Total new obligations, unexpired accounts (object class 41.0) .....	7,575	7,975
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	8,076	7,975
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	–501	
1260	Appropriations, mandatory (total) .....	7,575	7,975
1930	Total budgetary resources available .....	7,575	7,975
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	7,575	7,975
3020	Outlays (gross) .....	–7,575	–7,975
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	7,575	7,975
Outlays, gross:			
4100	Outlays from new mandatory authority .....	7,575	7,975
4180	Budget authority, net (total) .....	7,575	7,975
4190	Outlays, net (total) .....	7,575	7,975

AFFORDABLE INSURANCE EXCHANGE GRANTS

Program and Financing (in millions of dollars)

Identification code 075–0115–0–1–551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Administration .....	12		
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	13		
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	–1		
1260	Appropriations, mandatory (total) .....	12		
1930	Total budgetary resources available .....	12		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	147	8	1
3010	New obligations, unexpired accounts .....	12		
3020	Outlays (gross) .....	–48	–7	
3041	Recoveries of prior year unpaid obligations, expired .....	–103		
3050	Unpaid obligations, end of year .....	8	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	147	8	1

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....		7,575	7,975
Outlays .....		7,575	7,975
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....		-6,301	479
Outlays .....		-6,301	479
<b>Total:</b>			
Budget Authority .....		1,274	8,454
Outlays .....		1,274	8,454

Under current law, insurers are required to offer reduced cost-sharing to eligible, low-income consumers. The FY 2020 Budget requests a mandatory appropriation for Cost Sharing Reduction (CSR) payments. The classification of CSRs as an entitlement pursuant to BBEDCA does not determine legal entitlement to a payment or benefit or availability of funding.

**COST-SHARING REDUCTIONS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0126-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Cost Sharing Reductions .....		-5,070	397
0002 Basic Health Program .....		-1,231	82
0900 Total new obligations, unexpired accounts (object class 41.0) .....		-6,301	479
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....		-6,802	
1200 Appropriation .....		501	479
1260 Appropriations, mandatory (total) .....		-6,301	479
1930 Total budgetary resources available .....		-6,301	479
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		-6,301	479
3020 Outlays (gross) .....		6,301	-479
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		-6,301	479
Outlays, gross:			
4100 Outlays from new mandatory authority .....		-6,301	479
4180 Budget authority, net (total) .....		-6,301	479
4190 Outlays, net (total) .....		-6,301	479

This proposal would fully fund cost-sharing reduction (CSR) payments through calendar year 2020 only for those qualified health plans that did not increase their premium rates to account for the non-payment of CSRs.

**RISK ADJUSTMENT PROGRAM PAYMENTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-5733-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	371	311	297
<b>Receipts:</b>			
Current law:			
1110 Receipts, Risk Adjustment Program .....	4,705	4,786	4,022
2000 Total: Balances and receipts .....	5,076	5,097	4,319
<b>Appropriations:</b>			
Current law:			
2101 Risk Adjustment Program Payments .....	-4,705	-4,786	-4,022
2103 Risk Adjustment Program Payments .....	-371	-311	-297
2132 Risk Adjustment Program Payments .....	311	297	
2199 Total current law appropriations .....	-4,765	-4,800	-4,319
2999 Total appropriations .....	-4,765	-4,800	-4,319
5099 Balance, end of year .....	311	297	

**Program and Financing** (in millions of dollars)

Identification code 075-5733-0-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Risk Adjustment Program Payments (Direct) .....	3,875	4,800	4,319
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3,875	4,800	4,319
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,336	2,226	2,226
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4,705	4,786	4,022
1203 Appropriation (previously unavailable) .....	371	311	297
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-311	-297	
1260 Appropriations, mandatory (total) .....	4,765	4,800	4,319
1930 Total budgetary resources available .....	6,101	7,026	6,545
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,226	2,226	2,226
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	915	2,191	
3010 New obligations, unexpired accounts .....	3,875	4,800	4,319
3020 Outlays (gross) .....	-2,599	-6,991	-4,319
3050 Unpaid obligations, end of year .....	2,191		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	915	2,191	
3200 Obligated balance, end of year .....	2,191		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4,765	4,800	4,319
Outlays, gross:			
4100 Outlays from new mandatory authority .....	348	4,800	4,319
4101 Outlays from mandatory balances .....	2,251	2,191	
4110 Outlays, gross (total) .....	2,599	6,991	4,319
4180 Budget authority, net (total) .....	4,765	4,800	4,319
4190 Outlays, net (total) .....	2,599	6,991	4,319

Section 1343 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a permanent risk adjustment program for non-grandfathered plans in the individual and small group markets. Risk adjustment is budget neutral within each state and market, such that charges collected from plans with lower than average actuarial risk are used to make payments to plans with higher than average actuarial risk. Risk adjustment may be operated by a State, or by the Federal government in the event a State chooses not to operate risk adjustment. Payments and charges are made in the year following the plan year for which they are calculated.

**TRANSITIONAL REINSURANCE PROGRAM**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-5735-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	285	25	
0198 Rounding adjustment .....	1		
0199 Balance, start of year .....	286	25	
<b>Receipts:</b>			
Current law:			
1110 Contributions, Transitional Reinsurance Program .....	383		
2000 Total: Balances and receipts .....	669	25	
<b>Appropriations:</b>			
Current law:			
2101 Transitional Reinsurance Program .....	-383		
2103 Transitional Reinsurance Program .....	-286	-25	
2132 Transitional Reinsurance Program .....	25		
2199 Total current law appropriations .....	-644	-25	
2999 Total appropriations .....	-644	-25	
5099 Balance, end of year .....	25		

TRANSITIONAL REINSURANCE PROGRAM—Continued  
Program and Financing (in millions of dollars)

Identification code 075-5735-0-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Transitional reinsurance payments .....	428	241	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	428	241	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		216	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	383	.....	.....
1203 Appropriation (previously unavailable) .....	286	25	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-25	.....	.....
1260 Appropriations, mandatory (total) .....	644	25	.....
1930 Total budgetary resources available .....	644	241	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	216	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	248	10	10
3010 New obligations, unexpired accounts .....	428	241	.....
3020 Outlays (gross) .....	-666	-241	.....
3050 Unpaid obligations, end of year .....	10	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	248	10	10
3200 Obligated balance, end of year .....	10	10	10
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	644	25	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	419	25	.....
4101 Outlays from mandatory balances .....	247	216	.....
4110 Outlays, gross (total) .....	666	241	.....
4180 Budget authority, net (total) .....	644	25	.....
4190 Outlays, net (total) .....	666	241	.....

Section 1341 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a transitional three-year reinsurance program to minimize the impact of high-cost enrollees in plans in the individual market for plan years 2014, 2015, and 2016. The Centers for Medicare & Medicaid Services assessed contributing entities a per enrollee fee to fund the reinsurance program and made payments to issuers in the individual market for enrollees whose medical costs exceed a certain threshold, up to a reinsurance cap. Reinsurance collections and payments were made in the year following the plan year for which they were applicable. Reinsurance collections ended in FY 2018 and outlays in subsequent fiscal years reflect remaining payments, refunds, and allowable administrative activities.

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075-0524-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	124	4	.....
0706 Interest on reestimates of direct loan subsidy .....	11	.....	.....
0709 Administrative expenses .....	.....	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	135	5	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....		1	.....
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	259	259	.....
1900 Budget authority (total) .....	259	259	.....
1930 Total budgetary resources available .....	260	260	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	2	.....
3010 New obligations, unexpired accounts .....	259	260	.....
3020 Outlays (gross) .....	-260	-262	.....

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	135	4	.....
1930 Total budgetary resources available .....	136	5	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	2	1
3010 New obligations, unexpired accounts .....	135	5	.....
3020 Outlays (gross) .....	-136	-6	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	2	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	2	1
3200 Obligated balance, end of year .....	2	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	135	4	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	135	4	.....
4101 Outlays from mandatory balances .....	1	2	.....
4110 Outlays, gross (total) .....	136	6	.....
4180 Budget authority, net (total) .....	135	4	.....
4190 Outlays, net (total) .....	136	6	.....

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0524-0-1-551	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Startup Loans .....	17	5	.....
135002 Solvency Loans .....	117	.....	.....
135999 Total direct loan reestimates .....	134	5	.....
Administrative expense data:			
3580 Outlays from balances .....	2	.....	.....

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112-240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148).

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-0118-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	226	231	.....
0706 Interest on reestimates of direct loan subsidy .....	33	28	.....
0709 Administrative expenses .....	.....	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	259	260	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	1	1	.....
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	259	259	.....
1900 Budget authority (total) .....	259	259	.....
1930 Total budgetary resources available .....	260	260	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	2	.....
3010 New obligations, unexpired accounts .....	259	260	.....
3020 Outlays (gross) .....	-260	-262	.....

3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	4	2	
3200	Obligated balance, end of year .....	2		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	259	259	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	259	259	
4101	Outlays from mandatory balances .....	1	3	
4110	Outlays, gross (total) .....	260	262	
4180	Budget authority, net (total) .....	259	259	
4190	Outlays, net (total) .....	260	262	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 075-0118-0-1-551	2018 actual	2019 est.	2020 est.	
Direct loan reestimates:				
135002	Startup Loans .....	-8	116	
135003	Solvency Loans .....	252	143	
135999	Total direct loan reestimates .....	244	259	
Administrative expense data:				
3580	Outlays from balances .....	1		

Section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary awarded loans to qualified nonprofit issuers to fund start-up costs and reserves, which enabled qualified issuers to meet state solvency requirements. The Secretary issued the final round of loans in December 2014.

CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 075-4418-0-3-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	13	7	7
0742	Downward reestimates paid to receipt accounts .....	14		
0743	Interest on downward reestimates .....	1		
0900	Total new obligations, unexpired accounts .....	28	7	7
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	143	22	24
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-127		
1021	Recoveries of prior year unpaid obligations .....	3		
1023	Unobligated balances applied to repay debt .....	-4		
1050	Unobligated balance (total) .....	15	22	24
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	22	2	2
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	265	301	565
1801	Change in uncollected payments, Federal sources .....	-1		
1825	Spending authority from offsetting collections applied to repay debt .....	-251	-294	-565
1850	Spending auth from offsetting collections, mand (total) .....	13	7	
1900	Budget authority (total) .....	35	9	2
1930	Total budgetary resources available .....	50	31	26
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	22	24	19
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3		
3010	New obligations, unexpired accounts .....	28	7	7

3020	Outlays (gross) .....	-28	-7	-7
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2		
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	35	9	2
Financing disbursements:				
4110	Outlays, gross (total) .....	28	7	7
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-259	-259	
4122	Interest on uninvested funds .....	-6	-5	-5
4123	Non-Federal sources .....		-37	-560
4130	Offsets against gross budget authority and outlays (total) ...	-265	-301	-565
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4160	Budget authority, net (mandatory) .....	-229	-292	-563
4170	Outlays, net (mandatory) .....	-237	-294	-558
4180	Budget authority, net (total) .....	-229	-292	-563
4190	Outlays, net (total) .....	-237	-294	-558

**Status of Direct Loans** (in millions of dollars)

Identification code 075-4418-0-3-551	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	1,893	1,893	1,858
1251	Repayments: Repayments and prepayments .....		-35	-11
1263	Write-offs for default: Direct loans .....			-582
1290	Outstanding, end of year .....	1,893	1,858	1,265

**Balance Sheet** (in millions of dollars)

Identification code 075-4418-0-3-551	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	16	9
Investments in U.S. securities:			
1106	Receivables, net .....		259
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	1,893	1,893
1402	Interest receivable .....	20	25
1405	Allowance for subsidy cost (-) .....	-1,278	-1,778
1499	Net present value of assets related to direct loans .....	635	140
1999	Total assets .....	651	408
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	651	408
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	651	408

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 075-4482-0-3-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	4	1	1
0900	Total new obligations, unexpired accounts .....	4	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	34	9	12
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-24		
1021	Recoveries of prior year unpaid obligations .....	3		
1023	Unobligated balances applied to repay debt .....	-4		
1050	Unobligated balance (total) .....	9	9	12

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING  
ACCOUNT—Continued

Program and Financing—Continued

Identification code 075-4482-0-3-551	2018 actual	2019 est.	2020 est.	
<b>Financing authority:</b>				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	1	2	1
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	137	5	164
1801	Change in uncollected payments, Federal sources .....	-1		
1825	Spending authority from offsetting collections applied to repay debt .....	-133	-3	-164
1850	Spending auth from offsetting collections, mand (total) .....	3	2	
1900	Budget authority (total) .....	4	4	1
1930	Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9	12	12
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3		
3010	New obligations, unexpired accounts .....	4	1	1
3020	Outlays (gross) .....	-4	-1	-1
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2		
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	4	4	1
Financing disbursements:				
4110	Outlays, gross (total) .....	4	1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-134	-5	
4122	Interest on uninvested funds .....	-3		-164
4130	Offsets against gross budget authority and outlays (total) .....	-137	-5	-164
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4160	Budget authority, net (mandatory) .....	-132	-1	-163
4170	Outlays, net (mandatory) .....	-133	-4	-163
4180	Budget authority, net (total) .....	-132	-1	-163
4190	Outlays, net (total) .....	-133	-4	-163

Status of Direct Loans (in millions of dollars)

Identification code 075-4482-0-3-551	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	480	480	480
1263	Write-offs for default: Direct loans .....			-139
1290	Outstanding, end of year .....	480	480	341

Balance Sheet (in millions of dollars)

Identification code 075-4482-0-3-551	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	9	5
Investments in U.S. securities:			
1106	Receivables, net .....		5
1206	Non-Federal assets: Receivables, net .....	4	
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	480	480
1402	Interest receivable .....		5
1405	Allowance for subsidy cost (-) .....	-291	-429
1499	Net present value of assets related to direct loans .....	189	56
1999	Total assets .....	202	66
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		65
2104	Resources payable to Treasury .....	202	
2207	Non-Federal liabilities: Other .....		

2999	Total liabilities .....	202	65
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		1
4999	Total liabilities and net position .....	202	66

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	160,218	164,161	156,887
<b>Receipts:</b>				
Current law:				
1110	FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	241,572	256,909	268,244
1110	FHI Trust Fund, Receipts from Railroad Retirement Board .....	571	593	607
1110	FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....	18,516	18,751	20,087
1110	FHI Trust Fund, Civil Penalties and Damages .....	507	614	575
1130	FHI Trust Fund, Other Proprietary Interest from the Public .....		2	2
1130	FHI Trust Fund, Basic Premium, Medicare Advantage .....	393	462	502
1130	FHI Trust Fund, Medicare Refunds .....	6,051	6,100	6,150
1130	Affordable Care Act Medicare Shared Savings Models (HI) .....	17	118	3
1130	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....	3,533	3,931	4,194
1140	FHI Trust Fund, Federal Employer Contributions (FICA) .....	3,839	3,816	3,821
1140	FHI Trust Fund, Postal Service Employer Contributions (FICA) .....	639	660	675
1140	FHI Trust Fund, Interest Received by Trust Funds .....	7,328	7,039	6,640
1140	FHI Trust Fund, Taxation on OASDI Benefits .....	24,192	23,882	26,472
1140	FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account .....	135	135	138
1140	FHI Trust Fund, Transfers from General Fund (criminal Fines) .....	2	88	36
1140	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....	49	52	52
1140	FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....	22	31	32
1140	FHI Trust Fund, Interest Payments by Railroad Retirement Board .....	26	26	28
1140	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....	1,498	1,307	1,316
1199	Total current law receipts .....	308,890	324,516	339,574
Proposed:				
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....			-8
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....			-5
1230	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....			-223
1240	FHI Trust Fund, Interest Received by Trust Funds .....		14	301
1240	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....			2
1299	Total proposed receipts .....		14	67
1999	Total receipts .....	308,890	324,530	339,641
2000	Total: Balances and receipts .....	469,108	488,691	496,528
<b>Appropriations:</b>				
Current law:				
2101	Federal Hospital Insurance Trust Fund .....	-3,247	-3,039	-2,878
2101	Federal Hospital Insurance Trust Fund .....	-303,458	-319,485	-334,568
2101	Health Care Fraud and Abuse Control Account .....	-745	-765	-792
2101	Health Care Fraud and Abuse Control Account .....	-1,348	-1,354	-1,229
2103	Federal Hospital Insurance Trust Fund .....		-7,210	-12,886
2132	Federal Hospital Insurance Trust Fund .....	8		
2132	Health Care Fraud and Abuse Control Account .....	50	49	
2134	Federal Hospital Insurance Trust Fund .....	3,996		
2199	Total current law appropriations .....	-304,744	-331,804	-352,353
Proposed:				
2201	Federal Hospital Insurance Trust Fund .....		-14	-301
2201	Federal Hospital Insurance Trust Fund .....			230
2234	Federal Hospital Insurance Trust Fund .....		14	301
2234	Federal Hospital Insurance Trust Fund .....			15,455
2299	Total proposed appropriations .....			15,685
2999	Total appropriations .....	-304,744	-331,804	-336,668
3098	Federal Hospital Insurance Trust Fund .....	-203		
5099	Balance, end of year .....	164,161	156,887	159,860

**Program and Financing** (in millions of dollars)

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, HI .....	298,824	325,232	346,847
0002 HIT Incentive Payments .....	44	20	15
0003 Administration, HI .....	3,536	3,297	2,868
0004 Quality improvement organizations, HI .....	522	891	452
0799 Total direct obligations .....	302,926	329,440	350,182
0900 Total new obligations, unexpired accounts .....	302,926	329,440	350,182
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			294
1021 Recoveries of prior year unpaid obligations .....	4		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	203		
1033 Recoveries of prior year paid obligations .....	18		
1050 Unobligated balance (total) .....	225		294
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3,247	3,039	2,878
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	303,458	319,485	334,568
1203 Appropriation (previously unavailable) .....		7,210	12,886
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced (Sequester) .....	-8		
1234 Appropriations precluded from obligation .....	-3,996		
1260 Appropriations, mandatory (total) .....	299,454	326,695	347,454
1900 Budget authority (total) .....	302,701	329,734	350,332
1930 Total budgetary resources available .....	302,926	329,734	350,626
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		294	444
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35,193	36,685	36,823
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		5	
3010 New obligations, unexpired accounts .....	302,926	329,440	350,182
3020 Outlays (gross) .....	-301,430	-329,307	-350,596
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	36,685	36,823	36,409
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35,193	36,690	36,823
3200 Obligated balance, end of year .....	36,685	36,823	36,409
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,247	3,039	2,878
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,014	1,898	1,850
4011 Outlays from discretionary balances .....	981	746	1,182
4020 Outlays, gross (total) .....	2,995	2,644	3,032
Mandatory:			
4090 Budget authority, gross .....	299,454	326,695	347,454
Outlays, gross:			
4100 Outlays from new mandatory authority .....	267,704	293,886	315,282
4101 Outlays from mandatory balances .....	30,731	32,777	32,282
4110 Outlays, gross (total) .....	298,435	326,663	347,564
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-18		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	18		
4160 Budget authority, net (mandatory) .....	299,454	326,695	347,454
4170 Outlays, net (mandatory) .....	298,417	326,663	347,564
4180 Budget authority, net (total) .....	302,701	329,734	350,332
4190 Outlays, net (total) .....	301,412	329,307	350,596
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	197,835	202,805	195,595
5001 Total investments, EOY: Federal securities: Par value .....	202,805	195,595	182,709

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	302,701	329,734	350,332
Outlays .....	301,412	329,307	350,596
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-15,685
Outlays .....			-15,685
Total:			
Budget Authority .....	302,701	329,734	334,647
Outlays .....	301,412	329,307	334,911

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled persons.

**Status of Funds** (in millions of dollars)

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	197,520	203,227	196,153
0999 Total balance, start of year .....	197,520	203,227	196,153
Cash income during the year:			
Current law:			
Receipts:			
1110 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....	241,572	256,909	268,244
1110 FHI Trust Fund, Receipts from Railroad Retirement Board .....	571	593	607
1110 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....	18,516	18,751	20,087
1110 FHI Trust Fund, Civil Penalties and Damages .....	507	614	575
1130 FHI Trust Fund, Basic Premium, Medicare Advantage .....	393	462	502
1130 FHI Trust Fund, Medicare Refunds .....	6,051	6,100	6,150
1130 Affordable Care Act Medicare Shared Savings Models (HI) .....	17	118	3
1130 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....	3,533	3,931	4,194
1130 Federal Hospital Insurance Trust Fund .....	18		
1150 FHI Trust Fund, Interest Received by Trust Funds .....	7,328	7,039	6,640
1150 FHI Trust Fund, Other Proprietary Interest from the Public .....		2	2
1150 FHI Trust Fund, Interest Payments by Railroad Retirement Board .....	26	26	28
1160 FHI Trust Fund, Federal Employer Contributions (FICA) .....	3,839	3,816	3,821
1160 FHI Trust Fund, Postal Service Employer Contributions (FICA) .....	639	660	675
1160 FHI Trust Fund, Taxation on OASDI Benefits .....	24,192	23,882	26,472
1160 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account .....	135	135	138
1160 FHI Trust Fund, Transfers from General Fund (criminal Fines) .....	2	88	36
1160 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....	49	52	52
1160 FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....	22	31	32
1160 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....	1,498	1,307	1,316
1199 Income under present law .....	308,908	324,516	339,574
Proposed:			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....			-8
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....			-5
Offsetting receipts (proprietary):			
1230 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....			-223
1250 FHI Trust Fund, Interest Received by Trust Funds .....		14	301
Offsetting governmental receipts:			
1260 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....			2
1299 Income proposed .....		14	67
1999 Total cash income .....	308,908	324,530	339,641
Cash outgo during year:			
Current law:			
2100 Federal Hospital Insurance Trust Fund [009-38-8005-0] .....	-301,430	-329,307	-350,596
2100 Health Care Fraud and Abuse Control Account [009-38-8393-0] .....	-1,771	-2,297	-1,909
2199 Outgo under current law .....	-303,201	-331,604	-352,505
Proposed:			
2200 Federal Hospital Insurance Trust Fund .....			15,685
2299 Outgo under proposed legislation .....			15,685

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued  
Status of Funds—Continued

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.
2999 Total cash outgo (-) .....	-303,201	-331,604	-336,820
Surplus or deficit:			
3110 Excluding interest .....	-1,647	-14,155	-4,150
3120 Interest .....	7,354	7,081	6,971
3199 Subtotal, surplus or deficit .....	5,707	-7,074	2,821
3999 Total change in fund balance .....	5,707	-7,074	2,821
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	422	558	16,265
4200 Federal Hospital Insurance Trust Fund .....	202,805	195,595	182,709
4999 Total balance, end of year .....	203,227	196,153	198,974

Object Classification (in millions of dollars)

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.
Direct obligations:			
41.0 Payment for Quality Improvement Organization (QIO) activities .....	522	891	452
42.0 Insurance claims and indemnities (benefits) .....	298,868	325,232	346,862
94.0 Financial transfers .....	3,536	3,317	2,868
99.9 Total new obligations, unexpired accounts .....	302,926	329,440	350,182

Employment Summary

Identification code 075-8005-0-7-571	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	2	2

FEDERAL HOSPITAL INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-2-7-571	2018 actual	2019 est.	2020 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		14	301
1234 Appropriations precluded from obligation .....		-14	-301
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FEDERAL HOSPITAL INSURANCE TRUST FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-4-7-571	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Benefit payments, HI .....			-15,703
0003 Administration, HI .....			18
0799 Total direct obligations .....			-15,685
0900 Total new obligations, unexpired accounts .....			-15,685
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-230
1234 Appropriations precluded from obligation .....			-15,455
1260 Appropriations, mandatory (total) .....			-15,685
1900 Budget authority (total) .....			-15,685
1930 Total budgetary resources available .....			-15,685
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-15,685
3020 Outlays (gross) .....			15,685

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			-15,685
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-15,685
4180 Budget authority, net (total) .....			-15,685
4190 Outlays, net (total) .....			-15,685

In addition, the Budget includes a package of targeted Medicare proposals designed to eliminate wasteful Federal spending, reduce provider burden, and address fraud and abuse. This package strengthens the solvency of the Hospital Insurance Trust Fund.

Object Classification (in millions of dollars)

Identification code 075-8005-4-7-571	2018 actual	2019 est.	2020 est.
Direct obligations:			
42.0 Insurance claims and indemnities (benefits) .....			-15,703
94.0 Financial transfers .....			18
99.9 Total new obligations, unexpired accounts .....			-15,685

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, **[\$765,000,000]** \$792,000,000, to remain available through September 30, **[2020]** 2021, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which **[\$599,389,000]** \$614,000,000 shall be for the Centers for Medicare & Medicaid Services program integrity activities, of which **[\$87,230,000]** \$98,000,000 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, and of which **[\$78,381,000]** \$80,000,000 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: *Provided*, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year **[2019]** 2020 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation: *Provided further*, That of the amount provided under this heading, \$311,000,000 is provided to meet the terms of section 251(b)(2)(C)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$454,000,000]** \$475,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(C) of such Act: *Provided further*, That **[the Secretary shall provide not less than \$17,621,000 for the Senior Medicare Patrol program to combat health care fraud and abuse from the funds provided to this account]** amounts made available under this heading and amounts made available for fiscal year 2020 in section 1817(k)(3)(A) of the Social Security Act shall also be available for the Senior Medicare Patrol program to combat health care fraud and abuse. (Department of Health and Human Services Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 075-8393-0-7-571	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Medicare integrity program .....	864	898	939
0002 FBI fraud and abuse control .....	135	138	151
0003 Other fraud and abuse control .....	282	294	320
0005 Undistributed Savings, HCFAC and SSA .....		-26	-181
0091 Total Mandatory .....	1,281	1,304	1,229
0101 CMS discretionary .....	576	599	614
0102 Other discretionary .....	160	166	178
0191 Total Discretionary .....	736	765	792
0900 Total new obligations, unexpired accounts .....	2,017	2,069	2,021
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	430	471	472
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	198	201	
1021 Recoveries of prior year unpaid obligations .....	23		
1050 Unobligated balance (total) .....	453	471	472

Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....	745	765 792
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	1,348	1,354 1,229
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-50	-49 .....
1260	Appropriations, mandatory (total) .....	1,298	1,305 1,229
1900	Budget authority (total) .....	2,043	2,070 2,021
1930	Total budgetary resources available .....	2,496	2,541 2,493
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-8	.....
1941	Unexpired unobligated balance, end of year .....	471	472 472
Special and non-revolving trust funds:			
1951	Unobligated balance expiring .....	8	.....
1952	Expired unobligated balance, start of year .....	28	32 32
1953	Expired unobligated balance, end of year .....	24	32 32

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,764	1,970 1,742
3010	New obligations, unexpired accounts .....	2,017	2,069 2,021
3011	Obligations ("upward adjustments"), expired accounts .....	2	.....
3020	Outlays (gross) .....	-1,771	-2,297 -1,909
3040	Recoveries of prior year unpaid obligations, unexpired .....	-23	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-19	.....
3050	Unpaid obligations, end of year .....	1,970	1,742 1,854
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,764	1,970 1,742
3200	Obligated balance, end of year .....	1,970	1,742 1,854

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	745	765 792
Outlays, gross:			
4010	Outlays from new discretionary authority .....	148	306 317
4011	Outlays from discretionary balances .....	503	124 268
4020	Outlays, gross (total) .....	651	430 585
Mandatory:			
4090	Budget authority, gross .....	1,298	1,305 1,229
Outlays, gross:			
4100	Outlays from new mandatory authority .....	355	665 525
4101	Outlays from mandatory balances .....	765	1,202 799
4110	Outlays, gross (total) .....	1,120	1,867 1,324
4180	Budget authority, net (total) .....	2,043	2,070 2,021
4190	Outlays, net (total) .....	1,771	2,297 1,909

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Centers for Medicare and Medicaid Services (CMS) program integrity that will supplement other CMS program integrity funds. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

**Object Classification** (in millions of dollars)

Identification code 075-8393-0-7-571			
		2018 actual	2019 est. 2020 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent (CMS) .....	38	42 42
11.7	Military personnel .....	.....	2 2
11.9	Total personnel compensation .....	.....	44 44
12.1	Civilian personnel benefits (CMS) .....	10	10 10
12.2	Military personnel benefits .....	.....	1 1
23.3	Communications, utilities, and miscellaneous charges .....	10	11 11
25.2	Other services (CMS/Medicaid) .....	89	.....
25.3	Other purchases of goods and services from Government accounts (HHS/DOJ) .....	135	140 147
25.3	Other purchases of goods and services from Government accounts (HHS/OIG) .....	285	294 319
25.3	Other purchases of goods and services from Government accounts (HHS/OGC) .....	7	7 8
25.3	Other goods and services from Federal sources (HHS/CMS) .....	9	13 15
25.3	Other goods and services from Government accounts (HHS/FDA) .....	6	6 7

25.6	Medical care (CMS) .....	1,293	1,431 1,489
92.0	Undistributed .....	.....	-26 -181
94.0	Financial transfers (FBI) .....	135	138 151
99.9	Total new obligations, unexpired accounts .....	2,017	2,069 2,021

**Employment Summary**

Identification code 075-8393-0-7-571			
		2018 actual	2019 est. 2020 est.
1001	Direct civilian full-time equivalent employment .....	387	409 409
1101	Direct military average strength employment .....	.....	17 17

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-8004-0-7-571			
		2018 actual	2019 est. 2020 est.
0100	Balance, start of year .....	47,105	67,416 74,724
0198	Rounding adjustment .....	130	.....
0199	Balance, start of year .....	47,235	67,416 74,724
Receipts:			
Current law:			
1110	Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI .....	4,095	4,709 2,800
1130	Other Proprietary Interest from the Public, FSMI Fund .....	.....	3 3
1130	Premiums Collected for Medicare Prescription Drug Account, FSMI .....	5,254	5,341 6,051
1130	Payments from States, Medicare Prescription Drug Account, FSMI .....	11,670	12,142 13,035
1130	Basic Premium, Medicare Advantage, FSMI Trust Fund .....	476	517 568
1130	Medicare Refunds, SMI .....	4,827	4,877 4,927
1130	Affordable Care Act Medicare Shared Savings Models, SMI .....	18	68 3
1130	Premiums Collected for the Aged, FSMI Fund .....	77,305	84,634 91,149
1130	Premiums Collected for the Disabled, FSMI Fund .....	13,107	12,696 13,082
1140	Federal Contributions, FSMI Fund .....	245,714	265,753 285,481
1140	Interest Received by Trust Fund, FSMI Fund .....	2,322	1,014 1,375
1140	Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI .....	.....	3 5
1140	Interest, Medicare Prescription Drug Account, FSMI .....	57	26 19
1140	Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI .....	655	642 662
1140	Federal Contributions for Benefits, Prescription Drug Account, SMI .....	71,750	67,107 87,246
1140	Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund .....	2	1 1
1199	Total current law receipts .....	437,252	459,533 506,407
Proposed:			
1230	Premiums Collected for Medicare Prescription Drug Account, FSMI .....	.....	..... -10
1230	Payments from States, Medicare Prescription Drug Account, FSMI .....	.....	..... -10
1230	Premiums Collected for the Aged, FSMI Fund .....	.....	..... -2,246
1230	Premiums Collected for the Disabled, FSMI Fund .....	.....	..... -322
1240	Federal Contributions, FSMI Fund .....	.....	..... -7,696
1240	Federal Contributions for Benefits, Prescription Drug Account, SMI .....	.....	..... -80
1299	Total proposed receipts .....	.....	..... -10,364
1999	Total receipts .....	437,252	459,533 496,043
2000	Total: Balances and receipts .....	484,487	526,949 570,767
Appropriations:			
Current law:			
2101	Federal Supplementary Medical Insurance Trust Fund .....	-2,933	-3,006 -2,525
2101	Federal Supplementary Medical Insurance Trust Fund .....	-345,486	-371,229 -397,476
2101	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	-421	-633 -858
2101	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	-88,420	-84,665 -105,548
2134	Federal Supplementary Medical Insurance Trust Fund .....	19,912	7,308 3,463
2199	Total current law appropriations .....	-417,348	-452,225 -502,944
Proposed:			
2201	Federal Supplementary Medical Insurance Trust Fund .....	.....	..... 7,776
2201	Federal Supplementary Medical Insurance Trust Fund .....	.....	..... 2,478
2201	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	.....	..... 100
2203	Federal Supplementary Medical Insurance Trust Fund .....	.....	..... -7,776
2234	Federal Supplementary Medical Insurance Trust Fund .....	.....	..... 7,767

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 075-8004-0-7-571	2018 actual	2019 est.	2020 est.
2299 Total proposed appropriations .....			10,345
2999 Total appropriations .....	-417,348	-452,225	-492,599
3098 Federal Supplementary Medical Insurance Trust Fund .....	-312		
5098 Reconciliation adjustment .....	589		
5099 Balance, end of year .....	67,416	74,724	78,168

Program and Financing (in millions of dollars)

Identification code 075-8004-0-7-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, SMI .....	323,097	360,873	391,252
0002 Transfer to Medicaid for payment of SMI premiums .....	1,087	1,030	1,123
0003 HIT Incentive Payments .....	16		
0004 Administration, SMI .....	3,027	3,223	2,573
0005 Quality Improvement Organizations, SMI .....	252	429	218
0799 Total direct obligations .....	327,479	365,555	395,166
0900 Total new obligations, unexpired accounts .....	327,479	365,555	395,166

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		1	
1021 Recoveries of prior year unpaid obligations .....	30		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	312		
1033 Recoveries of prior year paid obligations .....	3		
1050 Unobligated balance (total) .....	345	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2,933	3,006	2,525
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	345,486	371,229	397,476
1234 Appropriations precluded from obligation .....	-19,912	-7,308	-3,463
1236 Appropriations applied to repay debt .....	-1,372	-1,372	-1,372
1260 Appropriations, mandatory (total) .....	324,202	362,549	392,641
1900 Budget authority (total) .....	327,135	365,555	395,166
1930 Total budgetary resources available .....	327,480	365,556	395,167
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26,304	27,918	28,124
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....		5	
3010 New obligations, unexpired accounts .....	327,479	365,555	395,166
3020 Outlays (gross) .....	-325,835	-365,354	-395,323
3040 Recoveries of prior year unpaid obligations, unexpired .....	-30		
3050 Unpaid obligations, end of year .....	27,918	28,124	27,967
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26,304	27,923	28,124
3200 Obligated balance, end of year .....	27,918	28,124	27,967

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	2,933	3,006	2,525
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,700	1,988	1,739
4011 Outlays from discretionary balances .....	1,078	797	836
4020 Outlays, gross (total) .....	2,778	2,785	2,575
Mandatory:			
4090 Budget authority, gross .....	324,202	362,549	392,641
Outlays, gross:			
4100 Outlays from new mandatory authority .....	298,989	336,985	362,544
4101 Outlays from mandatory balances .....	24,068	25,584	30,204
4110 Outlays, gross (total) .....	323,057	362,569	392,748
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	3		

4160 Budget authority, net (mandatory) .....	324,202	362,549	392,641
4170 Outlays, net (mandatory) .....	323,054	362,569	392,748
4180 Budget authority, net (total) .....	327,135	365,555	395,166
4190 Outlays, net (total) .....	325,832	365,354	395,323

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	70,589	98,197	104,990
5001 Total investments, EOY: Federal securities: Par value .....	98,197	104,990	109,728
5080 Outstanding debt, SOY .....	-6,396	-5,024	-3,652
5081 Outstanding debt, EOY .....	-5,024	-3,652	-2,280

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	327,135	365,555	395,166
Outlays .....	325,832	365,354	395,323
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-10,245
Outlays .....			-10,245
Total:			
Budget Authority .....	327,135	365,555	384,921
Outlays .....	325,832	365,354	385,078

The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician care and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

Status of Funds (in millions of dollars)

Identification code 075-8004-0-7-571	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	68,008	96,946	106,000
0999 Total balance, start of year .....	68,008	96,946	106,000
Cash income during the year:			
Current law:			
Receipts:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI .....	4,095	4,709	2,800
1130 Premiums Collected for Medicare Prescription Drug Account, FSMI .....	5,254	5,341	6,051
1130 Payments from States, Medicare Prescription Drug Account, FSMI .....	11,670	12,142	13,035
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund .....	476	517	568
1130 Medicare Refunds, SMI .....	4,827	4,877	4,927
1130 Affordable Care Act Medicare Shared Savings Models, SMI .....	18	68	3
1130 Premiums Collected for the Aged, FSMI Fund .....	77,305	84,634	91,149
1130 Premiums Collected for the Disabled, FSMI Fund .....	13,107	12,696	13,082
1130 Federal Supplementary Medical Insurance Trust Fund .....	3		
1130 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....	1		
1150 Interest Received by Trust Fund, FSMI Fund .....	2,322	1,014	1,375
1150 Other Proprietary Interest from the Public, FSMI Fund .....		3	3
1150 Interest, Medicare Prescription Drug Account, FSMI .....	57	26	19
1160 Federal Contributions, FSMI Fund .....	245,714	265,753	285,481
1160 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI .....		3	5
1160 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI .....	655	642	662
1160 Federal Contributions for Benefits, Prescription Drug Account, SMI .....	71,750	67,107	87,246
1160 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund .....	2	1	1
1199 Income under present law .....	437,256	459,533	506,407
Proposed:			
Offsetting receipts (proprietary):			
1230 Premiums Collected for Medicare Prescription Drug Account, FSMI .....			-10
1230 Payments from States, Medicare Prescription Drug Account, FSMI .....			-10
1230 Premiums Collected for the Aged, FSMI Fund .....			-2,246
1230 Premiums Collected for the Disabled, FSMI Fund .....			-322
Offsetting governmental receipts:			
1260 Federal Contributions, FSMI Fund .....			-7,696
1260 Federal Contributions for Benefits, Prescription Drug Account, SMI .....			-80

1299	Income proposed .....			-10,364
1999	Total cash income .....	437,256	459,533	496,043
	Cash outgo during year:			
	Current law:			
2100	Federal Supplementary Medical Insurance Trust Fund [009-38-8004-0] .....	-325,835	-365,354	-395,323
2100	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund [009-38-8308-0] .....	-82,488	-85,125	-106,035
2199	Outgo under current law .....	-408,323	-450,479	-501,358
	Proposed:			
2200	Federal Supplementary Medical Insurance Trust Fund .....			10,245
2200	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund .....			100
2299	Outgo under proposed legislation .....			10,345
2999	Total cash outgo (-) .....	-408,323	-450,479	-491,013
	Surplus or deficit:			
3110	Excluding interest .....	26,554	8,011	3,633
3120	Interest .....	2,379	1,043	1,397
3199	Subtotal, surplus or deficit .....	28,933	9,054	5,030
3298	Reconciliation adjustment .....	5		
3299	Total adjustments .....	5		
3999	Total change in fund balance .....	28,938	9,054	5,030
	Unexpended balance, end of year:			
4100	Uninvested balance (net), end of year .....	-1,251	1,010	1,302
4200	Federal Supplementary Medical Insurance Trust Fund .....	98,197	104,990	109,728
4999	Total balance, end of year .....	96,946	106,000	111,030

**Object Classification** (in millions of dollars)

Identification code 075-8004-0-7-571	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Payment for Quality Improvement Organization (QIO) activity ....	252	429	218
42.0 Insurance claims and indemnities .....	323,097	360,873	391,252
94.0 Financial transfers .....	4,129	4,252	3,695
99.0 Direct obligations .....	327,479	365,555	395,166
99.9 Total new obligations, unexpired accounts .....	327,479	365,555	395,166

**Employment Summary**

Identification code 075-8004-0-7-571	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	7	8	8

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-8004-2-7-571	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-7,776
1203 Appropriation (previously unavailable) .....			7,776
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-8004-4-7-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments, SMI .....			-10,265
0004 Administration, SMI .....			20
0799 Total direct obligations .....			-10,245
0900 Total new obligations, unexpired accounts .....			-10,245

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-2,478
1234 Appropriations precluded from obligation .....			-7,767
1260 Appropriations, mandatory (total) .....			-10,245
1900 Budget authority (total) .....			-10,245
1930 Total budgetary resources available .....			-10,245

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-10,245
3020 Outlays (gross) .....			10,245

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-10,245
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-10,245
4180 Budget authority, net (total) .....			-10,245
4190 Outlays, net (total) .....			-10,245

In addition, the Budget includes a package of targeted Medicare proposals designed to improve drug pricing and payment, eliminate wasteful Federal spending and spending on government-imposed provider burdens, address fraud and abuse, and reform the Medicare appeals process.

**Object Classification** (in millions of dollars)

Identification code 075-8004-4-7-571	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
42.0 Insurance claims and indemnities .....			-10,265
94.0 Financial transfers .....			20
99.0 Direct obligations .....			-10,245
99.9 Total new obligations, unexpired accounts .....			-10,245

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 075-8308-0-7-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Prescription Drug Benefits .....	81,103	84,656	105,544
0002 Administrative Costs .....	422	642	861
0799 Total direct obligations .....	81,525	85,298	106,405
0900 Total new obligations, unexpired accounts .....	81,525	85,298	106,405

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	421	633	858
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	88,420	84,665	105,548
Spending authority from offsetting collections, mandatory:			
1801 Change in uncollected payments, Federal sources .....	-7,316		
1900 Budget authority (total) .....	81,525	85,298	106,406
1930 Total budgetary resources available .....	81,525	85,298	106,406
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	3		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,404	7,466	7,639
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	27		
3010 New obligations, unexpired accounts .....	81,525	85,298	106,405
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-82,488	-85,125	-106,035
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	7,466	7,639	8,009
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,541	-225	-225

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE  
TRUST FUND—Continued

Program and Financing—Continued

Identification code 075-8308-0-7-571	2018 actual	2019 est.	2020 est.
3070 Change in uncollected pymts, Fed sources, unexpired .....	7,316		
3090 Uncollected pymts, Fed sources, end of year .....	-225	-225	-225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	890	7,241	7,414
3200 Obligated balance, end of year .....	7,241	7,414	7,784
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	421	633	858
Outlays, gross:			
4010 Outlays from new discretionary authority .....	218	330	331
4011 Outlays from discretionary balances .....	217	131	147
4020 Outlays, gross (total) .....	435	461	478
Mandatory:			
4090 Budget authority, gross .....	81,104	84,665	105,548
Outlays, gross:			
4100 Outlays from new mandatory authority .....	74,420	77,619	95,548
4101 Outlays from mandatory balances .....	7,633	7,045	10,009
4110 Outlays, gross (total) .....	82,053	84,664	105,557
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	7,316		
4142 Offsetting collections credited to expired accounts .....	1		
4150 Additional offsets against budget authority only (total) .....	7,317		
4160 Budget authority, net (mandatory) .....	88,420	84,665	105,548
4170 Outlays, net (mandatory) .....	82,052	84,664	105,557
4180 Budget authority, net (total) .....	88,841	85,298	106,406
4190 Outlays, net (total) .....	82,487	85,125	106,035

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	88,841	85,298	106,406
Outlays .....	82,487	85,125	106,035
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-100
Outlays .....			-100
Total:			
Budget Authority .....	88,841	85,298	106,306
Outlays .....	82,487	85,125	105,935

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit.

Object Classification (in millions of dollars)

Identification code 075-8308-0-7-571	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	422	642	861
42.0 Insurance claims and indemnities .....	81,103	84,656	105,544
99.0 Direct obligations .....	81,525	85,298	106,405
99.9 Total new obligations, unexpired accounts .....	81,525	85,298	106,405

Employment Summary

Identification code 075-8308-0-7-571	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE  
TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8308-4-7-571	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Prescription Drug Benefits .....			-100
0799 Total direct obligations .....			-100
0900 Total new obligations, unexpired accounts (object class 42.0) .....			-100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-100
1900 Budget authority (total) .....			-100
1930 Total budgetary resources available .....			-100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-100
3020 Outlays (gross) .....			100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-100
4180 Budget authority, net (total) .....			-100
4190 Outlays, net (total) .....			-100

The Budget includes a package of proposals designed to modernize the Part D benefit by realigning incentives and enhancing benefit management.

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 075-1552-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State family assistance grant .....	16,433	16,433	16,433
0002 Territories - family assistance grants .....	78	78	78
0006 Tribal work programs .....	8	8	8
0009 Healthy marriage and responsible fatherhood grants .....	141	148	150
0010 Evaluation Funding and What Works Clearinghouse .....	44	45	45
0011 Census Bureau Research .....	10	10	10
0900 Total new obligations, unexpired accounts .....	16,714	16,722	16,724
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	16,739	16,739	16,739
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	
1260 Appropriations, mandatory (total) .....	16,737	16,737	16,739
1900 Budget authority (total) .....		16,737	16,739
1930 Total budgetary resources available .....	16,737	16,737	16,739
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-23	-15	-15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,772	8,070	8,256
3010 New obligations, unexpired accounts .....	16,714	16,722	16,724
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-16,415	-16,536	-16,219

3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	8,070	8,256	8,761
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7,772	8,070	8,256
3200	Obligated balance, end of year .....	8,070	8,256	8,761
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	16,737	16,737	16,739
Outlays, gross:				
4100	Outlays from new mandatory authority .....	11,018	11,495	11,497
4101	Outlays from mandatory balances .....	5,397	5,041	4,722
4110	Outlays, gross (total) .....	16,415	16,536	16,219
4180	Budget authority, net (total) .....	16,737	16,737	16,739
4190	Outlays, net (total) .....	16,415	16,536	16,219

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	16,737	16,737	16,739
Outlays .....	16,415	16,536	16,219
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-1,502
Outlays .....			-1,077
<b>Total:</b>			
Budget Authority .....	16,737	16,737	15,237
Outlays .....	16,415	16,536	15,142

This account provides funding for the Temporary Assistance for Needy Families (TANF) block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). The TANF Extension Act of 2019 (P.L. 116-4) authorized TANF through June 30, 2019. The Budget proposes to create a comprehensive demonstration opportunity for states to grow capacity across public safety net benefit programs to reduce dependency; continue and modify TANF to refocus the program on the core principle of promoting employment; continue the 0.33 percent set-aside for TANF evaluation, research, and technical assistance; and to reduce the authorized funding for TANF Family Assistance Grants to States, territories, and tribes by 10 percent.

**Object Classification** (in millions of dollars)

Identification code 075-1552-0-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	8	10	9
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	10	11	10
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	68	67	68
25.3 Other goods and services from Federal sources .....	4	20	20
25.7 Operation and maintenance of equipment .....		1	1
41.0 Grants, subsidies, and contributions .....	16,628	16,619	16,621
99.9 Total new obligations, unexpired accounts .....	16,714	16,722	16,724

**Employment Summary**

Identification code 075-1552-0-1-609	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	105	104	97

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1552-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State family assistance grant .....			-1,594
0002 Territories - family assistance grants .....			-8

0012 Promoting Opportunity and Economic Mobility Demonstrations .....			100
0900 Total new obligations, unexpired accounts .....			-1,502

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-1,502
1930 Total budgetary resources available .....			-1,502

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-1,502
3020 Outlays (gross) .....			1,077
3050 Unpaid obligations, end of year .....			-425
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-425

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....			-1,502
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1,077
4180 Budget authority, net (total) .....			-1,502
4190 Outlays, net (total) .....			-1,077

**Object Classification** (in millions of dollars)

Identification code 075-1552-4-1-609	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			1
11.9 Total personnel compensation .....			1
25.1 Advisory and assistance services .....			29
41.0 Grants, subsidies, and contributions .....			-1,532
99.9 Total new obligations, unexpired accounts .....			-1,502

**Employment Summary**

Identification code 075-1552-4-1-609	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			7

CONTINGENCY FUND

**Program and Financing** (in millions of dollars)

Identification code 075-1522-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contingency Fund for State Welfare Programs .....	608	608	608
0900 Total new obligations, unexpired accounts (object class 41.0) .....	608	608	608

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	608	608	608
1930 Total budgetary resources available .....	608	608	608

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	113	55	66
3010 New obligations, unexpired accounts .....	608	608	608
3020 Outlays (gross) .....	-666	-597	-608
3050 Unpaid obligations, end of year .....	55	66	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	113	55	66
3200 Obligated balance, end of year .....	55	66	66

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	608	608	608
Outlays, gross:			
4100 Outlays from new mandatory authority .....	574	545	545
4101 Outlays from mandatory balances .....	92	52	63
4110 Outlays, gross (total) .....	666	597	608

CONTINGENCY FUND—Continued  
Program and Financing—Continued

Identification code 075-1522-0-1-609	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....	608	608	608
4190 Outlays, net (total) .....	666	597	608

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	608	608	608
Outlays .....	666	597	608
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-608
Outlays .....			-545
Total:			
Budget Authority .....	608	608	.....
Outlays .....	666	597	63

The Budget proposes to eliminate funding for the Contingency Fund.

CONTINGENCY FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1522-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contingency Fund for State Welfare Programs .....			-608
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-608

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-608
1930 Total budgetary resources available .....			-608

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-608
3020 Outlays (gross) .....			545
3050 Unpaid obligations, end of year .....			-63
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-63

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-608
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-545
4180 Budget authority, net (total) .....			-608
4190 Outlays, net (total) .....			-545

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, **[\$2,922,247,000]** \$2,890,000,000, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2020]** 2021, \$1,400,000,000, to remain available until expended.

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 075-1501-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State child support administrative costs .....	3,793	3,924	3,969

0002 Child support incentive payments .....	577	574	598
0003 Access and visitation grants .....	10	10	10
0091 Subtotal, child support enforcement .....	4,380	4,508	4,577
0102 Payments to territories .....	33	33	33
0103 Repatriation .....	3	1	1
0191 Subtotal, other payments .....	36	34	34
0799 Total direct obligations .....	4,416	4,542	4,611
0801 Offset obligations (CSE grants to States) .....	13	13	1
0900 Total new obligations, unexpired accounts .....	4,429	4,555	4,612

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	141	121
1021 Recoveries of prior year unpaid obligations .....	159	200	200
1029 Other balances withdrawn to Treasury .....	-32		
1050 Unobligated balance (total) .....	162	341	321
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,995	2,922	2,890
Advance appropriations, mandatory:			
1270 Advance appropriation .....	1,400	1,400	1,400
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13	13	1
1900 Budget authority (total) .....	4,408	4,335	4,291
1930 Total budgetary resources available .....	4,570	4,676	4,612
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	141	121	.....

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,263	1,383	1,490
3010 New obligations, unexpired accounts .....	4,429	4,555	4,612
3020 Outlays (gross) .....	-4,150	-4,248	-4,308
3040 Recoveries of prior year unpaid obligations, unexpired .....	-159	-200	-200
3050 Unpaid obligations, end of year .....	1,383	1,490	1,594
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,263	1,383	1,490
3200 Obligated balance, end of year .....	1,383	1,490	1,594

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	4,408	4,335	4,291
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,754	3,774	3,807
4101 Outlays from mandatory balances .....	396	474	501
4110 Outlays, gross (total) .....	4,150	4,248	4,308
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-13	-13	-1
4180 Budget authority, net (total) .....	4,395	4,322	4,290
4190 Outlays, net (total) .....	4,137	4,235	4,307

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	4,395	4,322	4,290
Outlays .....	4,137	4,235	4,307
Legislative proposal, subject to PAYGO:			
Budget Authority .....			50
Outlays .....			49
Total:			
Budget Authority .....	4,395	4,322	4,340
Outlays .....	4,137	4,235	4,356

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account. The Budget improves establishment and enforcement procedures by closing loopholes and improving Federal processes. Proposals target systems modernization, increased collections, expanded distribution, and improved program efficiency.

Object Classification (in millions of dollars)

Identification code 075-1501-0-1-609	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	4,416	4,542	4,611

99.0	Reimbursable obligations .....	13	13	1
99.9	Total new obligations, unexpired accounts .....	4,429	4,555	4,612

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1501-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	State child support administrative costs .....		49
0091	Subtotal, child support enforcement .....		49
0103	Repatriation .....		1
0191	Subtotal, other payments .....		1
0799	Total direct obligations .....		50
0900	Total new obligations, unexpired accounts .....		50

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		50
1900	Budget authority (total) .....		50
1930	Total budgetary resources available .....		50

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		50
3020	Outlays (gross) .....		-49
3050	Unpaid obligations, end of year .....		1
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		1

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....		50
Outlays, gross:			
4100	Outlays from new mandatory authority .....		49
4180	Budget authority, net (total) .....		50
4190	Outlays, net (total) .....		49

**Object Classification** (in millions of dollars)

Identification code 075-1501-4-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1	Advisory and assistance services .....		120
41.0	Grants, subsidies, and contributions .....		-70
99.0	Direct obligations .....		50
99.9	Total new obligations, unexpired accounts .....		50

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under subsections (b) and (d) of section 2602 of the Low Income Home Energy Assistance Act of 1981, \$3,690,304,000: *Provided*, That all but \$716,000,000 of this amount shall be allocated as though the total appropriation for such payments for fiscal year 2019 was less than \$1,975,000,000: *Provided further*, That notwithstanding section 2609A(a), of the amounts appropriated under section 2602(b), not more than \$2,988,000 of such amounts may be reserved by the Secretary for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations. (Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-1502-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	LIHEAP Block Grant .....	3,641	3,690

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1012	Unobligated balance transfers between expired and unexpired accounts .....	1		
1050	Unobligated balance (total) .....	2	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	3,640	3,690	
1930	Total budgetary resources available .....	3,642	3,691	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,421	1,631	1,629
3010	New obligations, unexpired accounts .....	3,641	3,690	
3020	Outlays (gross) .....	-3,425	-3,692	-1,396
3041	Recoveries of prior year unpaid obligations, expired .....	-6		
3050	Unpaid obligations, end of year .....	1,631	1,629	233
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,421	1,631	1,629
3200	Obligated balance, end of year .....	1,631	1,629	233

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	3,640	3,690	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,248	2,362	
4011	Outlays from discretionary balances .....	1,177	1,330	1,396
4020	Outlays, gross (total) .....	3,425	3,692	1,396
4180	Budget authority, net (total) .....	3,640	3,690	
4190	Outlays, net (total) .....	3,425	3,692	1,396

The Budget proposes to eliminate funding for the Low Income Home Energy Assistance Program.

**Object Classification** (in millions of dollars)

Identification code 075-1502-0-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1	Advisory and assistance services .....	3	3
41.0	Grants, subsidies, and contributions .....	3,638	3,687
99.9	Total new obligations, unexpired accounts .....	3,641	3,690

**REFUGEE AND ENTRANT ASSISTANCE**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, the Trafficking Victims Protection Act of 2000 ("TVPA"), and the Torture Victims Relief Act of 1998, **[\$1,905,201,000]** \$1,804,066,000, of which **[\$1,864,446,000]** \$1,773,066,000 shall remain available through September 30, **[2021]** 2022 for carrying out such sections 414, 501, 462, and 235: *Provided*, That amounts available under this heading to carry out the TVPA shall also be available for research and evaluation with respect to activities under such Act: *Provided further*, That the limitation in section **[205]** 204 of this Act regarding transfers increasing any appropriation shall apply to transfers to appropriations under this heading by substituting "**[15]** 20 percent" for "3 percent": *Provided further*, That funds made available under this heading shall be available to pay or reimburse other Federal agencies for the costs of construction, improvements to property, and other activities, including structure removal, if such activities are necessary for the purposes of carrying out such sections 462 and 235: *Provided further*, That such Federal agencies may retain and use such payments and reimbursements to cover costs described in the preceding proviso. (Department of Health and Human Services Appropriations Act, 2019.)

REFUGEE AND ENTRANT ASSISTANCE—Continued

Program and Financing (in millions of dollars)

Identification code 075-1503-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Refugee and entrant assistance .....	503	561	470
0002 Assistance for treatment of torture victims .....	11	14	14
0003 Unaccompanied Children .....	1,721	1,303	1,303
0005 Trafficking Victims program .....	24	27	17
0799 Total direct obligations .....	2,259	1,905	1,804
0801 Reimbursable program activity .....	190		
0900 Total new obligations, unexpired accounts .....	2,449	1,905	1,804
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	190	40	40
1011 Unobligated balance transfer from other acct [075-0350] ...	17		
1021 Recoveries of prior year unpaid obligations .....	52		
1050 Unobligated balance (total) .....	259	40	40
Budget authority:			
Appropriations, discretionary:			
1100 Base Appropriation .....	1,865	1,905	1,804
1121 Appropriations transferred from other acct [075-0350] ...	16		
1121 Appropriations transferred from other acct [075-1536] ...	30		
1121 Appropriations transferred from other acct [075-0142] ...	1		
1121 Appropriations transferred from other acct [075-0142] ...	5		
1121 Appropriations transferred from other acct [075-1362] ...	6		
1121 Appropriations transferred from other acct [075-0943] ...	17		
1121 Appropriations transferred from other acct [075-9912] ...	1		
1121 Appropriations transferred from other acct [075-9915] ...	87		
1121 Appropriations transferred from other acct [075-1515] ...	13		
1160 Appropriation, discretionary (total) .....	2,041	1,905	1,804
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	10	180	
1701 Change in uncollected payments, Federal sources .....	180	-180	
1750 Spending auth from offsetting collections, disc (total) .....	190		
1900 Budget authority (total) .....	2,231	1,905	1,804
1930 Total budgetary resources available .....	2,490	1,945	1,844
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	40	40	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,002	1,721	1,185
3010 New obligations, unexpired accounts .....	2,449	1,905	1,804
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-1,661	-2,441	-2,115
3040 Recoveries of prior year unpaid obligations, unexpired .....	-52		
3041 Recoveries of prior year unpaid obligations, expired .....	-19		
3050 Unpaid obligations, end of year .....	1,721	1,185	874
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-180	
3070 Change in uncollected pymts, Fed sources, unexpired .....	-180	180	
3090 Uncollected pymts, Fed sources, end of year .....	-180		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,002	1,541	1,185
3200 Obligated balance, end of year .....	1,541	1,185	874
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,231	1,905	1,804
Outlays, gross:			
4010 Outlays from new discretionary authority .....	983	1,238	1,173
4011 Outlays from discretionary balances .....	678	1,203	942
4020 Outlays, gross (total) .....	1,661	2,441	2,115
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-10	-180	
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-12	-180	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-180	180	
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-178	180	
4070 Budget authority, net (discretionary) .....	2,041	1,905	1,804
4080 Outlays, net (discretionary) .....	1,649	2,261	2,115

4180 Budget authority, net (total) .....	2,041	1,905	1,804
4190 Outlays, net (total) .....	1,649	2,261	2,115

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	2,041	1,905	1,804
Outlays .....	1,649	2,261	2,115
Legislative proposal, subject to PAYGO:			
Budget Authority .....			738
Outlays .....			480
Total:			
Budget Authority .....	2,041	1,905	2,542
Outlays .....	1,649	2,261	2,595

This account provides funds to States and non-governmental organizations to administer the refugee and entrant assistance programs. Funds support cash and medical assistance and social services for refugees, asylees, and other arrivals eligible for refugee benefits. The account also includes funding for the rehabilitation of victims of torture and human trafficking and for the care and placement of unaccompanied alien children.

Object Classification (in millions of dollars)

Identification code 075-1503-0-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	10	17	17
11.7 Military personnel .....	1	2	2
11.9 Total personnel compensation .....	11	19	19
12.1 Civilian personnel benefits .....	3	5	5
12.2 Military personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	5	8	8
25.1 Advisory and assistance services .....	275	275	265
25.3 Other goods and services from Federal sources .....	63	60	50
25.4 Operation and maintenance of facilities .....	2	1	1
41.0 Grants, subsidies, and contributions .....	1,899	1,536	1,455
99.0 Direct obligations .....	2,259	1,905	1,804
99.0 Reimbursable obligations .....	190		
99.9 Total new obligations, unexpired accounts .....	2,449	1,905	1,804

Employment Summary

Identification code 075-1503-0-1-609	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	85	154	154
1101 Direct military average strength employment .....	11	11	11

REFUGEE AND ENTRANT ASSISTANCE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1503-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0009 UAC Contingency Fund, mandatory .....			738
0900 Total new obligations, unexpired accounts (object class 41.0) .....			738
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			738
1930 Total budgetary resources available .....			738
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			738
3020 Outlays (gross) .....			-480
3050 Unpaid obligations, end of year .....			258
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			258

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	738	
Outlays, gross:			
4100	Outlays from new mandatory authority	480	
4180	Budget authority, net (total)	738	
4190	Outlays, net (total)	480	

**PROMOTING SAFE AND STABLE FAMILIES**

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, for carrying out, except as otherwise provided, section 437 of such Act, **[\$99,765,000] \$59,765,000** **]:** *Provided*, That of the funds available to carry out section 437, \$59,765,000 shall be allocated consistent with subsections (b) through (d) of such section: *Provided further*, That of the funds available to carry out section 437, to assist in meeting the requirements described in section 471(e)(4)(C), \$20,000,000 shall be for grants to each State, territory, and Indian tribe operating title IV-E plans for developing, enhancing, or evaluating kinship navigator programs, as described in section 427(a)(1) of such Act, and \$20,000,000, in addition to funds otherwise appropriated in section 436 for such purposes, shall be for competitive grants to regional partnerships as described in section 437(f): *Provided further*, That section 437(b)(1) shall be applied to amounts in the previous proviso by substituting "5 percent" for "3.3 percent", and notwithstanding section 436(b)(1), such reserved amounts may be used for identifying, establishing, and disseminating practices to meet the criteria specified in section 471(e)(4)(C): *Provided further*, That the reservation in section 437(b)(2) and the limitations in section 437(d) shall not apply to funds specified in the second proviso: *Provided further*, That the minimum grant award for kinship navigator programs in the case of States and territories shall be \$200,000, and, in the case of tribes, shall be \$25,000: *Provided further*, That section 437(b)(4) of such Act shall be applied by substituting "fiscal year 2019" for "fiscal year 2018" **]**. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-1512-0-1-506	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Grants to States and Tribes	382	389	363
0002	Research, training and technical assistance	8	10	10
0003	State court improvement activities	32	32	32
0004	Family Connection Grants			2
0005	Personal Responsibility Education (PREP)	73	74	31
0006	Sexual Risk Abstinence Education (SRAE)	64	75	
0007	Family Rec. & Reunification		2	3
0900	Total new obligations, unexpired accounts	559	582	441
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	28	39	46
1012	Unobligated balance transfers between expired and unexpired accounts	1		
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	30	39	46
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	100	100	60
Appropriations, mandatory:				
1200	Appropriation	503	510	345
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-23	-21	
1260	Appropriations, mandatory (total)	480	489	345
1900	Budget authority (total)	580	589	405
1930	Total budgetary resources available	610	628	451
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-12		
1941	Unexpired unobligated balance, end of year	39	46	10

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	593	657	680
3010	New obligations, unexpired accounts	559	582	441
3020	Outlays (gross)	-481	-559	-569
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3041	Recoveries of prior year unpaid obligations, expired	-13		
3050	Unpaid obligations, end of year	657	680	552

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	593	657	680
3200	Obligated balance, end of year	657	680	552

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	100	100	60
Outlays, gross:				
4010	Outlays from new discretionary authority	16	28	17
4011	Outlays from discretionary balances	40	63	67
4020	Outlays, gross (total)	56	91	84
Mandatory:				
4090	Budget authority, gross	480	489	345
Outlays, gross:				
4100	Outlays from new mandatory authority	81	98	100
4101	Outlays from mandatory balances	344	370	385
4110	Outlays, gross (total)	425	468	485
4180	Budget authority, net (total)	580	589	405
4190	Outlays, net (total)	481	559	569

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	580	589	405
Outlays	481	559	569
Legislative proposal, subject to PAYGO:			
Budget Authority			220
Outlays			25
Total:			
Budget Authority	580	589	625
Outlays	481	559	594

This account provides funds for a broad range of child welfare services, including family preservation and support services and grants to increase the well-being of and improve the permanency outcomes for children affected by substance abuse, through Promoting Safe and Stable Families. The Budget includes a proposal to expand the Regional Partnership Grants program, to increase funding for the Court Improvement Program, and a one-year reauthorization of Sexual Risk Avoidance Education and the Personal Responsibility Education Program (PREP).

**Object Classification** (in millions of dollars)

Identification code 075-1512-0-1-506	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	2	2
25.1	Advisory and assistance services	26	28	16
25.2	Other services from non-Federal sources	1		
25.3	Other goods and services from Federal sources	3	3	3
41.0	Grants, subsidies, and contributions	528	549	420
99.9	Total new obligations, unexpired accounts	559	582	441

**Employment Summary**

Identification code 075-1512-0-1-506	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	10	21	21

**PROMOTING SAFE AND STABLE FAMILIES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1512-4-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Grants to States and Tribes		40
0003	State court improvement activities		30
0005	Personal Responsibility Education (PREP)		75
0006	Sexual Risk Abstinence Education (SRAE)		75
0900	Total new obligations, unexpired accounts		220
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation		220

PROMOTING SAFE AND STABLE FAMILIES—Continued  
Program and Financing—Continued

Identification code 075–1512–4–1–506	2018 actual	2019 est.	2020 est.
1930 Total budgetary resources available			220
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			220
3020 Outlays (gross)			–25
3050 Unpaid obligations, end of year			195
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			195
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			220
Outlays, gross:			
4100 Outlays from new mandatory authority			25
4180 Budget authority, net (total)			220
4190 Outlays, net (total)			25

Object Classification (in millions of dollars)

Identification code 075–1512–4–1–506	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			2
25.1 Advisory and assistance services			23
25.3 Other goods and services from Federal sources			3
41.0 Grants, subsidies, and contributions			192
99.9 Total new obligations, unexpired accounts			220

Employment Summary

Identification code 075–1512–4–1–506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment			14

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

Identification code 075–1550–0–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Mandatory child care	1,178	1,178	1,178
0002 Matching child care	1,710	1,651	1,651
0003 Child Care Training and technical assistance	15	15	15
0004 Child care tribal grants	58	58	58
0005 Child Care Research	4	15	15
0900 Total new obligations, unexpired accounts	2,965	2,917	2,917
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts	48		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,917	2,917	2,917
1930 Total budgetary resources available	2,965	2,917	2,917
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,061	1,614	1,712
3010 New obligations, unexpired accounts	2,965	2,917	2,917
3011 Obligations ("upward adjustments"), expired accounts	9		
3020 Outlays (gross)	–2,359	–2,819	–3,296
3041 Recoveries of prior year unpaid obligations, expired	–62		
3050 Unpaid obligations, end of year	1,614	1,712	1,333
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,061	1,614	1,712
3200 Obligated balance, end of year	1,614	1,712	1,333
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	2,917	2,917	2,917

Outlays, gross:			
4100 Outlays from new mandatory authority	1,596	2,167	2,167
4101 Outlays from mandatory balances	763	652	1,129
4110 Outlays, gross (total)	2,359	2,819	3,296
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–1		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	1		
4160 Budget authority, net (mandatory)	2,917	2,917	2,917
4170 Outlays, net (mandatory)	2,358	2,819	3,296
4180 Budget authority, net (total)	2,917	2,917	2,917
4190 Outlays, net (total)	2,358	2,819	3,296

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	2,917	2,917	2,917
Outlays	2,358	2,819	3,296
Legislative proposal, subject to PAYGO:			
Budget Authority			1,295
Outlays			266
Total:			
Budget Authority	2,917	2,917	4,212
Outlays	2,358	2,819	3,562

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts, most recently in the TANF Extension Act of 2019 (P.L. 116–4). The Budget increases funding for this account to ensure federal funding for key child care programs is maintained, given the effects of other Budget proposals on child care spending. The Budget also proposes a \$1 billion one-time fund to build the supply of child care for underserved populations and to stimulate employer investment.

Object Classification (in millions of dollars)

Identification code 075–1550–0–1–609	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA	1		
25.1 Advisory and assistance services	5	16	16
25.2 Other services from non-Federal sources	11		
25.3 Other goods and services from Federal sources	1	2	2
41.0 Grants, subsidies, and contributions	2,946	2,899	2,899
99.9 Total new obligations, unexpired accounts	2,965	2,917	2,917

CHILD CARE ENTITLEMENT TO STATES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1550–4–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Mandatory child care			1,000
0002 Matching child care			295
0900 Total new obligations, unexpired accounts (object class 41.0)			1,295
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			1,295
1930 Total budgetary resources available			1,295
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,295
3020 Outlays (gross)			–266
3050 Unpaid obligations, end of year			1,029

Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		1,029
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		1,295
Outlays, gross:			
4100	Outlays from new mandatory authority .....		266
4180	Budget authority, net (total) .....		1,295
4190	Outlays, net (total) .....		266

**PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT**

For carrying out the Child Care and Development Block Grant Act of 1990 ("CCDBG Act"), \$5,276,000,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: *Provided*, That technical assistance under section 658I(a)(3) of such Act may be provided directly, or through the use of contracts, grants, cooperative agreements, or inter-agency agreements: *Provided further*, That all funds made available to carry out section 418 of the Social Security Act (42 U.S.C. 618), including funds appropriated for that purpose in such section 418 or any other provision of law, shall be subject to the reservation of funds authority in paragraphs (4) and (5) of section 658O(a) of the CCDBG Act: *Provided further*, That in addition to the amounts required to be reserved by the Secretary under section 658O(a)(2)(A) of such Act, \$156,780,000 shall be for Indian tribes and tribal organizations: *Provided further*, That none of the funds provided under this heading may be used to implement the national toll-free hotline authorized by section 658L(b) of the CCDBG Act. (Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-1515-0-1-609	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Child Care Block grant payments to States .....	5,194	5,250	5,250
0004	Child Care Research and evaluation fund .....	19	26	26
0900	Total new obligations, unexpired accounts .....	5,213	5,276	5,276
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	5,226	5,276	5,276
1120	Appropriations transferred to other acct [075-1503] .....	-13		
1160	Appropriation, discretionary (total) .....	5,213	5,276	5,276
1930	Total budgetary resources available .....	5,213	5,276	5,276
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,122	2,792	3,264
3010	New obligations, unexpired accounts .....	5,213	5,276	5,276
3011	Obligations ("upward adjustments"), expired accounts .....	20		
3020	Outlays (gross) .....	-3,540	-4,804	-5,013
3041	Recoveries of prior year unpaid obligations, expired .....	-23		
3050	Unpaid obligations, end of year .....	2,792	3,264	3,527
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,122	2,792	3,264
3200	Obligated balance, end of year .....	2,792	3,264	3,527
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5,213	5,276	5,276
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,732	3,007	3,007
4011	Outlays from discretionary balances .....	808	1,797	2,006
4020	Outlays, gross (total) .....	3,540	4,804	5,013
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	5,213	5,276	5,276
4080	Outlays, net (discretionary) .....	3,539	4,804	5,013
4180	Budget authority, net (total) .....	5,213	5,276	5,276
4190	Outlays, net (total) .....	3,539	4,804	5,013

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality.

**Object Classification** (in millions of dollars)

Identification code 075-1515-0-1-609	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	101	48	47
25.3	Other goods and services from Federal sources .....	1	1	1
41.0	Grants, subsidies, and contributions .....	5,108	5,224	5,225
99.9	Total new obligations, unexpired accounts .....	5,213	5,276	5,276

**Employment Summary**

Identification code 075-1515-0-1-609	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	16	16	16

**SOCIAL SERVICES BLOCK GRANT**

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: *Provided*, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent. (Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-1534-0-1-506	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Social Services Block Grant .....	1,588	1,595	1,700
0002	Health Profession Opportunity Grants .....	84	85	
0900	Total new obligations, unexpired accounts .....	1,672	1,680	1,700
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	29	29
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1,785	1,785	1,700
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-113	-105	
1260	Appropriations, mandatory (total) .....	1,672	1,680	1,700
1930	Total budgetary resources available .....	1,702	1,709	1,729
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	29	29	29
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	467	544	605
3010	New obligations, unexpired accounts .....	1,672	1,680	1,700
3020	Outlays (gross) .....	-1,587	-1,619	-1,844
3041	Recoveries of prior year unpaid obligations, expired .....	-8		
3050	Unpaid obligations, end of year .....	544	605	661
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	467	544	605
3200	Obligated balance, end of year .....	544	605	661
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1,672	1,680	1,700
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,227	1,279	1,360
4101	Outlays from mandatory balances .....	360	340	484
4110	Outlays, gross (total) .....	1,587	1,619	1,844
4180	Budget authority, net (total) .....	1,672	1,680	1,700
4190	Outlays, net (total) .....	1,587	1,619	1,844

SOCIAL SERVICES BLOCK GRANT—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,672	1,680	1,700
Outlays .....	1,587	1,619	1,844
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-1,615
Outlays .....			-1,357
<b>Total:</b>			
Budget Authority .....	1,672	1,680	85
Outlays .....	1,587	1,619	487

The Budget proposes to reduce the authorized funding level for the Social Services Block Grant to \$0. The account also provides funding for the Health Profession Opportunity Grants demonstration to provide education and training to Temporary Assistance for Needy Families recipients and other low income individuals for health care occupations in high demand. The Budget proposes extending the demonstration through FY 2020, for current grantees only.

Object Classification (in millions of dollars)

Identification code 075-1534-0-1-506	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	
25.1 Advisory and assistance services .....	11	11	
41.0 Grants, subsidies, and contributions .....	1,660	1,668	1,700
99.9 Total new obligations, unexpired accounts .....	1,672	1,680	1,700

Employment Summary

Identification code 075-1534-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	7	8	

SOCIAL SERVICES BLOCK GRANT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1534-4-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Social Services Block Grant .....			-1,700
0002 Health Profession Opportunity Grants .....			85
0900 Total new obligations, unexpired accounts .....			-1,615
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			-1,615
1930 Total budgetary resources available .....			-1,615
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			-1,615
3020 Outlays (gross) .....			1,357
3050 Unpaid obligations, end of year .....			-258
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-258
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-1,615
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-1,357
4180 Budget authority, net (total) .....			-1,615
4190 Outlays, net (total) .....			-1,357

Object Classification (in millions of dollars)

Identification code 075-1534-4-1-506	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1

25.1	Advisory and assistance services .....			11
41.0	Grants, subsidies, and contributions .....			-1,627
99.9	Total new obligations, unexpired accounts .....			-1,615

Employment Summary

Identification code 075-1534-4-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			7

CHILDREN AND FAMILIES SERVICES PROGRAMS

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, [the Every Student Succeeds Act,] the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), and part B-1 of title IV and sections 429, 473A, 477(i), 1110, 1114A, and 1115 of the Social Security Act [ , and the Community Services Block Grant Act ("CSBG Act") ] ; and for necessary administrative expenses to carry out titles I, IV, V, X, XI, XIV, XVI, and XX-A of the Social Security Act, the Act of July 5, 1960, [the Low-Income Home Energy Assistance Act of 1981,] the Child Care and Development Block Grant Act of 1990, [the Assets for Independence Act,] title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980, [\$12,239,225,000] \$11,187,485,000, of which [\$75,000,000] \$37,943,000, to remain available through September 30, [2020] 2021, shall be for grants to States for adoption and legal guardianship incentive payments, as defined by section 473A of the Social Security Act and may be made for adoptions and legal guardianships completed before September 30, [2019] 2020: *Provided*, That \$10,063,095,000 shall be for making payments under the Head Start Act, including for *Early Head Start-Child Care Partnerships*, and of which, notwithstanding section 640 of such Act, [ : ]

[(1)] \$150,000,000 shall be available for a cost of living adjustment, and with respect to any continuing appropriations act, funding available for a cost of living adjustment shall not be construed as an authority or condition under this Act; ]

[(2)] \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of the Head Start Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12), and 645A(d) of such Act, and such funds shall not be included in the calculation of "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of such Act: [ ; and ]

[(3)] \$805,000,000, in addition to funds otherwise available under such section 640 for such purposes, shall be available through March 31, 2020, for Early Head Start programs as described in section 645A of such Act, for conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, for discretionary grants for high quality infant and toddler care through Early Head Start-Child Care Partnerships, to entities defined as eligible under section 645A(d) of such Act, for training and technical assistance for such activities, and for up to \$16,000,000 in Federal costs of administration and evaluation: ]

*Provided further*, That the Secretary may reduce the reservation of funds under section 640(a)(2)(C) of such Act in lieu of reducing the reservation of funds under sections 640(a)(2)(B), 640(a)(2)(D), and 640(a)(2)(E) of such Act: [ *Provided further*, That \$250,000,000 shall be available until December 31, 2019 for carrying out sections 9212 and 9213 of the Every Student Succeeds Act: *Provided further*, That up to 3 percent of the funds in the preceding proviso shall be available for technical assistance and evaluation related to grants awarded under such section 9212: *Provided further*, That \$753,883,000 shall be for making payments under the CSBG Act: *Provided further*, That \$29,233,000 shall be for sections 680 and 678E(b)(2) of the CSBG Act, of which not less than \$19,883,000 shall be for section 680(a)(2) and not less than \$9,000,000 shall be for section 680(a)(3)(B) of such Act: *Provided further*, That, notwithstanding section 675C(a)(3) of such Act, to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under such Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That intangible assets in the form of loans, equity in-

vestments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That these procedures shall apply to such grant funds made available after November 29, 1999: *Provided further*, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: *Provided further*, That \$164,500,000 shall be for carrying out section 303(a) of the Family Violence Prevention and Services Act, of which \$5,000,000 shall be allocated notwithstanding section 303(a)(2) of such Act for carrying out section 309 of such Act: *Provided further*, That the percentages specified in section 112(a)(2) of the Child Abuse Prevention and Treatment Act shall not apply to funds appropriated under this heading: *Provided further*, That \$1,864,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: *Provided further*, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-1536-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Head Start .....	9,556	10,422	10,063
0102 Preschool Development Grants .....	256	491	.....
0103 Runaway and homeless youth (basic centers) .....	54	54	48
0104 Transitional living .....	55	56	54
0106 Education grants to reduce sexual abuse of runaway youth .....	17	17	17
0109 Child abuse State grants .....	85	85	85
0110 Child abuse discretionary activities .....	33	33	33
0111 Community-based child abuse prevention .....	40	40	40
0112 Child welfare services .....	269	269	269
0113 Child welfare training, research, or demonstration projects .....	18	18	18
0114 Adoption opportunities .....	39	39	39
0116 Adoption and Legal Guardianship Incentives .....	75	75	38
0117 Independent living education and training vouchers .....	43	43	43
0124 Native American programs .....	52	55	52
0125 Social services and income maintenance research .....	7	7	7
0128 ACF Federal administration .....	205	205	205
0131 Disaster human services case management .....	2	2	2
0191 Direct program activities, subtotal .....	10,806	11,911	11,013
0301 Community services block grant .....	715	725	.....
0303 Rural community facilities .....	20	20	.....
0304 Community economic development .....	8	9	.....
0308 Domestic violence hotline .....	9	10	10
0309 Family violence prevention and services .....	159	164	165
0391 Direct program activities, subtotal .....	911	928	175
0400 Total, direct program .....	11,717	12,839	11,188
0799 Total direct obligations .....	11,717	12,839	11,188
0801 Children and Families Services Programs (Reimbursable) .....	60	20	20
0809 Reimbursable program activities, subtotal .....	60	20	20
0900 Total new obligations, unexpired accounts .....	11,777	12,859	11,208

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	330	1,251	655
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	330	1,251	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	12,672	12,239	11,187
1120 Appropriations transferred to other accts (075-1503) .....	-30	.....	.....
1160 Appropriation, discretionary (total) .....	12,642	12,239	11,187
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	40	22	22
1701 Change in uncollected payments, Federal sources .....	17	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	57	22	22
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1	1	1
1801 Change in uncollected payments, Federal sources .....	1	1	1
1850 Spending auth from offsetting collections, mand (total) .....	2	2	2
1900 Budget authority (total) .....	12,701	12,263	11,211
1930 Total budgetary resources available .....	13,031	13,514	11,866
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3	.....	.....

1941 Unexpired unobligated balance, end of year .....	1,251	655	658
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	8,269	8,886	10,495
3010 New obligations, unexpired accounts .....	11,777	12,859	11,208
3011 Obligations ("upward adjustments"), expired accounts .....	13	.....	.....
3020 Outlays (gross) .....	-11,060	-11,250	-11,552
3041 Recoveries of prior year unpaid obligations, expired .....	-113	.....	.....
3050 Unpaid obligations, end of year .....	8,886	10,495	10,151
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-20	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-18	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-20	-21	-22
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	8,265	8,866	10,474
3200 Obligated balance, end of year .....	8,866	10,474	10,129

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	12,699	12,261	11,209
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4,230	3,988	3,567
4011 Outlays from discretionary balances .....	6,829	7,262	7,985
4020 Outlays, gross (total) .....	11,059	11,250	11,552
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-40	-22	-22
4040 Offsets against gross budget authority and outlays (total) .....	-40	-22	-22
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-17	.....	.....
4060 Additional offsets against budget authority only (total) .....	-17	.....	.....
4070 Budget authority, net (discretionary) .....	12,642	12,239	11,187
4080 Outlays, net (discretionary) .....	11,019	11,228	11,530
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	.....	.....
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-2	-2	-2
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4142 Offsetting collections credited to expired accounts .....	1	1	1
4170 Outlays, net (mandatory) .....	-1	-2	-2
4180 Budget authority, net (total) .....	12,642	12,239	11,187
4190 Outlays, net (total) .....	11,018	11,226	11,528

The request totals \$11.2 billion, including almost \$10.1 billion for Head Start. This request discontinues funding for the Community Services Block Grant, Rural Community Facilities, Community Economic Development, and Preschool Development Grants.

**Object Classification** (in millions of dollars)

Identification code 075-1536-0-1-506	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	109	120	120
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	115	126	126
12.1 Civilian personnel benefits .....	36	38	38
21.0 Travel and transportation of persons .....	3	4	4
23.1 Rental payments to GSA .....	17	17	16
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	238	261	264
25.2 Other services from non-Federal sources .....	9	15	17
25.3 Other goods and services from Federal sources .....	46	48	50
25.4 Operation and maintenance of facilities .....	2	3	3
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	11,248	12,324	10,667
99.0 Direct obligations .....	11,717	12,839	11,188
99.0 Reimbursable obligations .....	60	20	20
99.9 Total new obligations, unexpired accounts .....	11,777	12,859	11,208

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued  
**Employment Summary**

Identification code 075-1536-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,028	1,140	1,114
1101 Direct military average strength employment .....	6	6	6
2001 Reimbursable civilian full-time equivalent employment .....	9	10	10

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

**Program and Financing** (in millions of dollars)

Identification code 075-1553-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Training and technical assistance .....	14	18	12
0002 Federal parent locator service .....	25	28	25
0799 Total direct obligations .....	39	46	37
0801 Federal Parent Locator Service reimbursable .....	22	28	29
0899 Total reimbursable obligations .....	22	28	29
0900 Total new obligations, unexpired accounts .....	61	74	66
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	11	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	15	11	.....
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	37	37	37
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	35	35	37
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	22	28	28
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	.....
1850 Spending auth from offsetting collections, mand (total) .....	22	28	29
1900 Budget authority (total) .....	57	63	66
1930 Total budgetary resources available .....	72	74	66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	25	28
3010 New obligations, unexpired accounts .....	61	74	66
3020 Outlays (gross) .....	-63	-71	-65
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	25	28	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	19	22
3200 Obligated balance, end of year .....	19	22	23
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	57	63	66
Outlays, gross:			
4100 Outlays from new mandatory authority .....	35	42	42
4101 Outlays from mandatory balances .....	28	29	23
4110 Outlays, gross (total) .....	63	71	65
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-8	-9	-9
4123 Non-Federal sources .....	-14	-19	-19
4130 Offsets against gross budget authority and outlays (total) .....	-22	-28	-28
4160 Budget authority, net (mandatory) .....	35	35	38
4170 Outlays, net (mandatory) .....	41	43	37
4180 Budget authority, net (total) .....	35	35	38

4190 Outlays, net (total) .....	41	43	37
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**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	.....

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). The Budget includes a set of proposals that would require changes to statute to provide additional access to the National Directory of New Hires for evidence building and program integrity purposes, while ensuring privacy and security safeguards.

**Object Classification** (in millions of dollars)

Identification code 075-1553-0-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	2	3	3
21.0 Travel and transportation of persons .....	1	1	.....
23.1 Rental payments to GSA .....	4	3	3
25.1 Advisory and assistance services .....	.....	2	3
25.2 Other services from non-Federal sources .....	11	11	8
25.3 Other goods and services from Federal sources .....	8	12	7
25.4 Operation and maintenance of facilities .....	1	.....	.....
25.7 Operation and maintenance of equipment .....	4	5	4
99.0 Direct obligations .....	39	46	37
99.0 Reimbursable obligations .....	22	28	29
99.9 Total new obligations, unexpired accounts .....	61	74	66

**Employment Summary**

Identification code 075-1553-0-1-609	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	62	68	68

PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, **[\$6,035,000,000] \$5,745,000,000.**

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year **[2020] 2021, [\$2,800,000,000] \$3,000,000,000.**

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-1545-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Foster care .....	5,054	5,113	5,253
0002 Independent living .....	140	140	143
0004 Adoption assistance .....	2,785	2,842	2,931
0005 Guardianship .....	155	202	217
0006 Technical Assistance and Implementation Services for Tribal Programs .....	3	3	3
0007 Prevention Services Technical Assistance .....	1	1	1
0900 Total new obligations, unexpired accounts .....	8,138	8,301	8,548
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	5,639	5,602	5,748
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	5,638	5,601	5,748
Advance appropriations, mandatory:			
1270 Advance appropriation .....	2,500	2,700	2,800
1900 Budget authority (total) .....	8,138	8,301	8,548

1930	Total budgetary resources available .....	8,138	8,301	8,548
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,611	1,213	1,638
3010	New obligations, unexpired accounts .....	8,138	8,301	8,548
3011	Obligations ("upward adjustments"), expired accounts .....	82		
3020	Outlays (gross) .....	-8,581	-7,876	-8,404
3041	Recoveries of prior year unpaid obligations, expired .....	-37		
3050	Unpaid obligations, end of year .....	1,213	1,638	1,782
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,611	1,213	1,638
3200	Obligated balance, end of year .....	1,213	1,638	1,782
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	8,138	8,301	8,548
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7,425	7,002	7,504
4101	Outlays from mandatory balances .....	1,156	874	900
4110	Outlays, gross (total) .....	8,581	7,876	8,404
4180	Budget authority, net (total) .....	8,138	8,301	8,548
4190	Outlays, net (total) .....	8,581	7,876	8,404

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	8,138	8,301	8,548
Outlays .....	8,581	7,876	8,404
Legislative proposal, subject to PAYGO:			
Budget Authority .....			31
Outlays .....			31
Total:			
Budget Authority .....	8,138	8,301	8,579
Outlays .....	8,581	7,876	8,435

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance Program, and the Chafee Foster Care Independence Program, as well as technical assistance and implementation services for tribal programs.

*Foster Care*—The proposed level will support eligible low-income children who must be placed outside the home. An average of 202,900 children per month are estimated to be served in FY 2020.

*Adoption Assistance*—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 523,000 children per month are estimated to be served in FY 2020.

*Guardianship Assistance Program*—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 38,400 children per month are estimated to be served in FY 2020.

The Budget includes proposals to create the Child Welfare Flexible Funding Option for title IV-E agencies, create a performance incentive for achieving or exceeding Child and Family Services Reviews outcomes, and to allow states to pay salaries to foster parents who can care for children with complex needs. Additionally, the Budget includes the interaction effects from the proposal to eliminate SSBG funding, which increases costs in the Foster Care and Permanency account due to State agencies shifting eligible expenses previously funded by SSBG to Foster Care and Permanency.

**Object Classification** (in millions of dollars)

Identification code 075-1545-0-1-609	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
25.1	Advisory and assistance services .....	32	30	32
25.2	Other services from non-Federal sources .....		1	
41.0	Grants, subsidies, and contributions .....	8,104	8,268	8,514
99.9	Total new obligations, unexpired accounts .....	8,138	8,301	8,548

**Employment Summary**

Identification code 075-1545-0-1-609	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	3	3	3

**PAYMENTS FOR FOSTER CARE AND PERMANENCY**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-1545-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Foster care .....		12
0004	Adoption assistance .....		11
0005	Guardianship .....		1
0007	Prevention Services Technical Assistance .....		7
0900	Total new obligations, unexpired accounts .....		31

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....		31
1900	Budget authority (total) .....		31
1930	Total budgetary resources available .....		31

**Change in obligated balance:**

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		31
3020	Outlays (gross) .....		-31

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....		31
Outlays, gross:			
4100	Outlays from new mandatory authority .....		31
4180	Budget authority, net (total) .....		31
4190	Outlays, net (total) .....		31

**Object Classification** (in millions of dollars)

Identification code 075-1545-4-1-609	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....		1
25.1	Advisory and assistance services .....		6
41.0	Grants, subsidies, and contributions .....		24
99.9	Total new obligations, unexpired accounts .....		31

**Employment Summary**

Identification code 075-1545-4-1-609	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		10

**ADMINISTRATION FOR COMMUNITY LIVING**

*Federal Funds*

AGING AND DISABILITY SERVICES PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), the RAISE Family Caregivers Act, the Supporting Grandparents Raising Grandchildren Act, titles III and XXIX of the PHS Act, sections 1252 and 1253 of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, the Assistive Technology Act of 1998, titles II and VII (and section 14 with respect to such titles) of the Rehabilitation Act of 1973, and for Department-wide coordination of policy and program activities that assist individuals with disabilities, **[\$2,120,200,000]** \$1,996,556,000, together with **[\$49,115,000]** \$36,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: *Provided, That*

AGING AND DISABILITY SERVICES PROGRAMS—Continued

amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: *Provided further*, That of amounts made available under this heading to carry out sections 311, 331, and 336 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing senior nutrition: *Provided further*, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section: *Provided further*, That \$2,000,000 shall be for competitive grants to support alternative financing programs that provide for the purchase of assistive technology devices, such as a low-interest loan fund; an interest buy-down program; a revolving loan fund; a loan guarantee; or an insurance program: *Provided further*, That applicants shall provide an assurance that, and information describing the manner in which, the alternative financing program will expand and emphasize consumer choice and control: *Provided further*, That State agencies and community-based disability organizations that are directed by and operated for individuals with disabilities shall be eligible to compete: *Provided further*, That none of the funds made available under this heading may be used by an eligible system (as defined in section 102 of the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C. 10802)) to continue to pursue any legal action in a Federal or State court on behalf of an individual or group of individuals with a developmental disability (as defined in section 102(8)(A) of the Developmental Disabilities and Assistance and Bill of Rights Act of 2000 (20 U.S.C. 15002(8)(A)) that is attributable to a mental impairment (or a combination of mental and physical impairments), that has as the requested remedy the closure of State operated intermediate care facilities for people with intellectual or developmental disabilities, unless reasonable public notice of the action has been provided to such individuals (or, in the case of mental incapacitation, the legal guardians who have been specifically awarded authority by the courts to make healthcare and residential decisions on behalf of such individuals) who are affected by such action, within 90 days of instituting such legal action, which informs such individuals (or such legal guardians) of their legal rights and how to exercise such rights consistent with current Federal Rules of Civil Procedure: *Provided further*, That the limitations in the immediately preceding proviso shall not apply in the case of an individual who is neither competent to consent nor has a legal guardian, nor shall the proviso apply in the case of individuals who are a ward of the State or subject to public guardianship. (*Department of Health and Human Services Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 075-0142-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Aging Services Programs .....	1,606	1,606	1,575
0102 ACL Program Administration .....	40	41	39
0103 Integrated Aging and Disability Services Programs .....	43	43	41
0104 Disability Services Programs .....	316	321	251
0105 National Institute on Disability, Independent Living & Rehab Research .....	105	109	90
0300 Total, direct program .....	2,110	2,120	1,996
0799 Total direct obligations .....	2,110	2,120	1,996
0801 ACL Reimbursable Programs .....	95	94	56
0900 Total new obligations, unexpired accounts .....	2,205	2,214	2,052
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	9	36
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4	3	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	8	9	36
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,095	2,120	1,997
1120 Appropriations transferred to other accts [012-3507] .....	-3		
1120 Appropriations transferred to other acct [075-1503] .....	-5		
1160 Appropriation, discretionary (total) .....	2,087	2,120	1,997
Appropriations, mandatory:			
1221 PPHF Appropriations transferred from other accounts [075-0116] .....	28	28	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		68	55
1701 Change in uncollected payments, Federal sources .....	68		
1750 Spending auth from offsetting collections, disc (total) .....	68	68	55

Spending authority from offsetting collections, mandatory:			
1800 Collected .....	54	52	
1801 Change in uncollected payments, Federal sources .....	-30	-27	
1850 Spending auth from offsetting collections, mand (total) .....	24	25	
1900 Budget authority (total) .....	2,207	2,241	2,052
1930 Total budgetary resources available .....	2,215	2,250	2,088
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	9	36	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,270	1,468	1,339
3010 New obligations, unexpired accounts .....	2,205	2,214	2,052
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-1,999	-2,343	-2,313
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	1,468	1,339	1,078
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-154	-189	-162
3070 Change in uncollected pymts, Fed sources, unexpired .....	-38	27	
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-189	-162	-162
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,116	1,279	1,177
3200 Obligated balance, end of year .....	1,279	1,177	916
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,155	2,188	2,052
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,000	1,307	1,229
4011 Outlays from discretionary balances .....	950	1,001	1,037
4020 Outlays, gross (total) .....	1,950	2,308	2,266
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-68	-55
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-68		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-66		
4070 Budget authority, net (discretionary) .....	2,087	2,120	1,997
4080 Outlays, net (discretionary) .....	1,948	2,240	2,211
Mandatory:			
4090 Budget authority, gross .....	52	53	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	6	
4101 Outlays from mandatory balances .....	48	29	47
4110 Outlays, gross (total) .....	49	35	47
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-55	-52	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	30	27	
4142 Offsetting collections credited to expired accounts .....	1		
4150 Additional offsets against budget authority only (total) .....	31	27	
4160 Budget authority, net (mandatory) .....	28	28	
4170 Outlays, net (mandatory) .....	-6	-17	47
4180 Budget authority, net (total) .....	2,115	2,148	1,997
4190 Outlays, net (total) .....	1,942	2,223	2,258

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	2,115	2,148	1,997
Outlays .....	1,942	2,223	2,258
Legislative proposal, subject to PAYGO:			
Outlays .....			-20
Total:			
Budget Authority .....	2,115	2,148	1,997
Outlays .....	1,942	2,223	2,238

This account funds formula and discretionary grants that provide home and community-based services and supports to assist older adults and people of all ages with disabilities to live independently and to fully participate in their communities. ACL works with states, localities, tribal organizations,

nonprofit organizations, businesses and families, and through networks of aging and disability organizations, to provide these services and supports which include nutrition, supportive, caregiver, independent living, and protection and advocacy services.

**Object Classification** (in millions of dollars)

Identification code 075-0142-0-1-506	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	21	22	21
12.1 Civilian personnel benefits .....	7	7	6
23.1 Rental payments to GSA .....	5	5	4
25.1 Advisory and assistance services .....	41	41	38
41.0 Grants, subsidies, and contributions .....	2,036	2,045	1,927
99.0 Direct obligations .....	2,110	2,120	1,996
99.0 Reimbursable obligations .....	95	94	56
99.9 Total new obligations, unexpired accounts .....	2,205	2,214	2,052

**Employment Summary**

Identification code 075-0142-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	173	182	173
2001 Reimbursable civilian full-time equivalent employment .....	15	16	12

**AGING AND DISABILITY SERVICES PROGRAMS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0142-4-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 ACL Reimbursable Programs .....			25
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			25
1900 Budget authority (total) .....			25
1930 Total budgetary resources available .....			25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			25
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			20
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			25
Outlays, gross:			
4100 Outlays from new mandatory authority .....			5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-25
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-20

This legislative proposal extends funding for Medicare enrollment assistance programs through 2021.

**Object Classification** (in millions of dollars)

Identification code 075-0142-4-1-506	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
25.1 Advisory and assistance services .....			3
41.0 Grants, subsidies, and contributions .....			22
99.0 Reimbursable obligations .....			25
99.9 Total new obligations, unexpired accounts .....			25

**Employment Summary**

Identification code 075-0142-4-1-506	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			4

**DEPARTMENTAL MANAGEMENT**

**Federal Funds**

**GENERAL DEPARTMENTAL MANAGEMENT**

For necessary expenses, not otherwise provided, for general departmental management, including hire of six passenger motor vehicles, and for carrying out titles III, XVII, XXI, and section 229 of the PHS Act, the United States-Mexico Border Health Commission Act, and research studies under section 1110 of the Social Security Act, **[\$480,629,000] \$339,909,000**, together with **[\$64,828,000] \$68,840,000** from the amounts available under section 241 of the PHS Act to carry out national health or human services research and evaluation activities, *outreach and media campaign initiatives to prevent opioid misuse, and other activities authorized for such funds in this title, as determined by the Secretary: Provided*, That of this amount, **[\$53,900,000] \$53,900,000** shall be for minority AIDS prevention and treatment activities *[: Provided further*, That of the funds made available under this heading, \$101,000,000 shall be for making competitive contracts and grants to public and private entities to fund medically accurate and age appropriate programs that reduce teen pregnancy and for the Federal costs associated with administering and evaluating such contracts and grants, of which not more than 10 percent of the available funds shall be for training and technical assistance, evaluation, outreach, and additional program support activities, and of the remaining amount 75 percent shall be for replicating programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors, and 25 percent shall be available for research and demonstration grants to develop, replicate, refine, and test additional models and innovative strategies for preventing teenage pregnancy: *Provided further*, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, \$6,800,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches: *Provided further*, That of the funds made available under this heading, \$35,000,000 shall be for making competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity): *Provided further*, That funding for such competitive grants for sexual risk avoidance shall use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity: *Provided further*, That no more than 10 percent of the funding for such competitive grants for sexual risk avoidance shall be available for technical assistance and administrative costs of such programs: *Provided further*, That funds provided in this Act for embryo adoption activities may be used to provide to individuals adopting embryos, through grants and other mechanisms, medical and administrative services deemed necessary for such adoptions: *Provided further*, That such services shall be provided consistent with 42 CFR 59.5(a)(4). (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-9912-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General Departmental Management .....	470	481	340
0801 GDM Collected (Reimbursable) .....	157	191	191
0802 HCFAC (Mandatory) .....	7	10	10
0803 PHS Evaluation (Collected) .....	62	65	69
0804 MACRA (Mandatory) .....	5	5	5
0899 Total reimbursable obligations .....	231	271	275
0900 Total new obligations, unexpired accounts .....	701	752	615
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	12	12
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	11	11	

GENERAL DEPARTMENTAL MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 075-9912-0-1-551	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	471	481	340
1120 Appropriations transferred to other accts [075-1503] .....	-1		
1160 Appropriation, discretionary (total) .....	470	481	340
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	62	256	260
1701 Change in uncollected payments, Federal sources .....	158		
1750 Spending auth from offsetting collections, disc (total) .....	220	256	260
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	14	15	15
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	12	15	15
1900 Budget authority (total) .....	702	752	615
1930 Total budgetary resources available .....	713	764	627
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	466	492	534
3010 New obligations, unexpired accounts .....	701	752	615
3011 Obligations ("upward adjustments"), expired accounts .....	11		
3020 Outlays (gross) .....	-662	-710	-690
3041 Recoveries of prior year unpaid obligations, expired .....	-24		
3050 Unpaid obligations, end of year .....	492	534	459
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-295	-318	-318
3070 Change in uncollected pymts, Fed sources, unexpired .....	-156		
3071 Change in uncollected pymts, Fed sources, expired .....	133		
3090 Uncollected pymts, Fed sources, end of year .....	-318	-318	-318
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	171	174	216
3200 Obligated balance, end of year .....	174	216	141
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	690	737	600
Outlays, gross:			
4010 Outlays from new discretionary authority .....	336	353	293
4011 Outlays from discretionary balances .....	315	337	382
4020 Outlays, gross (total) .....	651	690	675
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-179	-256	-260
4040 Offsets against gross budget authority and outlays (total) .....	-179	-256	-260
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-158		
4052 Offsetting collections credited to expired accounts .....	117		
4060 Additional offsets against budget authority only (total) .....	-41		
4070 Budget authority, net (discretionary) .....	470	481	340
4080 Outlays, net (discretionary) .....	472	434	415
Mandatory:			
4090 Budget authority, gross .....	12	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	15	15
4101 Outlays from mandatory balances .....	4	5	
4110 Outlays, gross (total) .....	11	20	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources: .....	-21	-15	-15
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4142 Offsetting collections credited to expired accounts .....	7		
4150 Additional offsets against budget authority only (total) .....	9		
4170 Outlays, net (mandatory) .....	-10	5	
4180 Budget authority, net (total) .....	470	481	340
4190 Outlays, net (total) .....	462	439	415

Note.—The reimbursable program (HCFAC) in the General Departmental Management (GDM) account reflects estimates of the allocation for 2020. The actual allocation is determined annually.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health, including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund.

Object Classification (in millions of dollars)

Identification code 075-9912-0-1-551	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	90	84	96
11.3 Other than full-time permanent .....	5	4	5
11.5 Other personnel compensation .....	2	2	2
11.7 Military personnel .....	2	1	2
11.9 Total personnel compensation .....	99	91	105
12.1 Civilian personnel benefits .....	28	25	30
12.2 Military personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	17	17	17
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	23	23	14
25.2 Other services from non-Federal sources .....	59	72	28
25.3 Other goods and services from Federal sources .....	76	85	89
25.4 Operation and maintenance of facilities .....	6	6	5
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	148	148	38
99.0 Direct obligations .....	470	481	340
99.0 Reimbursable obligations .....	231	271	275
99.9 Total new obligations, unexpired accounts .....	701	752	615

Employment Summary

Identification code 075-9912-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	867	868	978
1101 Direct military average strength employment .....	11	12	12
2001 Reimbursable civilian full-time equivalent employment .....	473	470	606
2101 Reimbursable military average strength employment .....	24	24	22

GENERAL DEPARTMENTAL MANAGEMENT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-9912-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0805 DAB (Mandatory Proposal & User Fee Collection) .....			2
0899 Total reimbursable obligations .....			2
0900 Total new obligations, unexpired accounts (object class 25.3) .....			2
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			2
3020 Outlays (gross) .....			-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources: .....			-2

4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

This legislative proposal provides the Departmental Appeals Board (DAB) mandatory resources in user fees to address the Medicare appeals backlog.

PAYMENT TO THE STATE RESPONSE TO THE OPIOID ABUSE CRISIS ACCOUNT, CURES ACT

**Program and Financing** (in millions of dollars)

Identification code 075-0146-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Opioid Funding .....	500		
0900 Total new obligations, unexpired accounts (object class 94.0) .....	500		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	500		
1930 Total budgetary resources available .....	500		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	500		
3020 Outlays (gross) .....	-500		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	500		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	500		
4180 Budget authority, net (total) .....	500		
4190 Outlays, net (total) .....	500		

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

STATE RESPONSE TO THE OPIOID ABUSE CRISIS, CURES ACT

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-5627-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 General Fund Payment, State Response to the Opioid Abuse Crisis, CURES Act .....	500		
2000 Total: Balances and receipts .....	500		
Appropriations:			
Current law:			
2101 State Response to the Opioid Abuse Crisis, Cures Act .....	-500		
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 075-5627-0-2-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	500		
1120 Appropriations transferred to other acct [075-1362] .....	-500		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The 21st Century Cures Act authorized in 2017 and 2018 grants to states, the District of Columbia, and territories to develop and provide opioid abuse prevention, treatment, and recovery support services.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$38,798,000] \$30,286,000.**  
(Department of Health and Human Services Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 075-0135-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office for Civil Rights (Direct) .....	39	39	30
0801 Office for Civil Rights (Reimbursable) .....	10	14	24
0900 Total new obligations, unexpired accounts .....	49	53	54
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	33	44
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	39	39	30
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	26	15
1802 Offsetting collections (previously unavailable) .....	2		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	8	25	15
1900 Budget authority (total) .....	47	64	45
1930 Total budgetary resources available .....	82	97	89
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33	44	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	24	25
3010 New obligations, unexpired accounts .....	49	53	54
3020 Outlays (gross) .....	-42	-52	-58
3050 Unpaid obligations, end of year .....	24	25	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	24	25
3200 Obligated balance, end of year .....	24	25	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	39	39	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	31	24
4011 Outlays from discretionary balances .....	7	8	8
4020 Outlays, gross (total) .....	39	39	32
Mandatory:			
4090 Budget authority, gross .....	8	25	15
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	1
4101 Outlays from mandatory balances .....	3	11	25
4110 Outlays, gross (total) .....	3	13	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-7	-26	-15
4180 Budget authority, net (total) .....	40	38	30
4190 Outlays, net (total) .....	35	26	43
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1		1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....		1	1

The Office for Civil Rights funds activities that carry out the Department's civil rights, nondiscrimination, health information privacy, and security compliance programs.

**Object Classification** (in millions of dollars)

Identification code 075-0135-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	14	16	15
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	15	17	16
12.1 Civilian personnel benefits .....	5	6	5
21.0 Travel and transportation of persons .....	1	1	
23.1 Rental payments to GSA .....	4	4	1

OFFICE FOR CIVIL RIGHTS—Continued  
Object Classification—Continued

Identification code 075-0135-0-1-751	2018 actual	2019 est.	2020 est.
25.2 Other services from non-Federal sources .....	3	1	2
25.3 Other goods and services from Federal sources .....	9	9	6
25.4 Operation and maintenance of facilities .....	1	.....	.....
31.0 Equipment .....	1	1	.....
99.0 Direct obligations .....	39	39	30
99.0 Reimbursable obligations .....	10	14	24
99.9 Total new obligations, unexpired accounts .....	49	53	54

Employment Summary

Identification code 075-0135-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	135	147	139
1101 Direct military average strength employment .....	1	1	1
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION  
TECHNOLOGY

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, **[\$60,367,000]** \$43,000,000. (Department of Health and Human Services Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 075-0130-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health information technology (IT) .....	60	60	43
0799 Total direct obligations .....	60	60	43
0801 Office of the National Coordinator for Health IT (ONC): Reimbursable .....	17	15	16
0899 Total reimbursable obligations .....	17	15	16
0900 Total new obligations, unexpired accounts .....	77	75	59
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	60	60	43
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	15	15
1701 Change in uncollected payments, Federal sources .....	12	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	16	15	15
1900 Budget authority (total) .....	76	75	58
1930 Total budgetary resources available .....	78	76	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	66	68	17
3010 New obligations, unexpired accounts .....	77	75	59
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-71	-126	-63
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	68	17	13
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-19	-19
3070 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	15	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-19	-19	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	49	-2
3200 Obligated balance, end of year .....	49	-2	-6

Budget authority and outlays, net:

Identification code	2018 actual	2019 est.	2020 est.
Discretionary:			
4000 Budget authority, gross .....	76	75	58
Outlays, gross:			
4010 Outlays from new discretionary authority .....	43	64	50
4011 Outlays from discretionary balances .....	28	62	13
4020 Outlays, gross (total) .....	71	126	63
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-17	-15	-15
4040 Offsets against gross budget authority and outlays (total) ....	-17	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
4052 Offsetting collections credited to expired accounts .....	13	.....	.....
4060 Additional offsets against budget authority only (total) .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	60	60	43
4080 Outlays, net (discretionary) .....	54	111	48
4180 Budget authority, net (total) .....	60	60	43
4190 Outlays, net (total) .....	54	111	48

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was authorized in title XXX of the PHS Act as added by the Health Information Technology for Economic and Clinical Health (HITECH) Act (P.L. 111-5, Title XIII) and the 21st Century Cures Act (P.L. 114-255), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

Object Classification (in millions of dollars)

Identification code 075-0130-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	18	17	17
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	21	20	20
12.1 Civilian personnel benefits .....	6	6	6
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	16	17	7
25.3 Other goods and services from Federal sources .....	10	10	8
41.0 Grants, subsidies, and contributions .....	4	4	.....
99.0 Direct obligations .....	59	59	43
99.0 Reimbursable obligations .....	16	16	16
99.5 Adjustment for rounding .....	2	.....	.....
99.9 Total new obligations, unexpired accounts .....	77	75	59

Employment Summary

Identification code 075-0130-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	174	162	162
1101 Direct military average strength employment .....	2	2	2

OFFICE OF MEDICARE HEARINGS AND APPEALS

For expenses necessary for the Office of Medicare Hearings and Appeals, **[\$182,381,000]** \$182,381,000 shall remain available until September 30, **[2020]** 2021, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 075-0139-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Medicare Hearings and Appeals (Direct) .....	146	175	182

0799	Total direct obligations .....	146	175	182
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		36	36
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	93	175	182
1701	Change in uncollected payments, Federal sources .....	89		
1750	Spending auth from offsetting collections, disc (total) .....	182	175	182
1900	Budget authority (total) .....	182	175	182
1930	Total budgetary resources available .....	182	211	218
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	36	36	36
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	27	56	3
3010	New obligations, unexpired accounts .....	146	175	182
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-117	-228	-182
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	56	3	3
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-38	-106	-106
3070	Change in uncollected pymts, Fed sources, unexpired .....	-89		
3071	Change in uncollected pymts, Fed sources, expired .....	21		
3090	Uncollected pymts, Fed sources, end of year .....	-106	-106	-106
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-11	-50	-103
3200	Obligated balance, end of year .....	-50	-103	-103
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	182	175	182
Outlays, gross:				
4010	Outlays from new discretionary authority .....	100	175	182
4011	Outlays from discretionary balances .....	17		
4020	Outlays, gross (total) .....	117	175	182
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-114	-175	-182
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-89		
4052	Offsetting collections credited to expired accounts .....	21		
4060	Additional offsets against budget authority only (total) .....	-68		
4080	Outlays, net (discretionary) .....	3		
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....		53	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	3	53	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003. OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care.

**Object Classification** (in millions of dollars)

Identification code 075-0139-0-1-551	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	61	87	105
11.5	Other personnel compensation .....			1
11.9	Total personnel compensation .....	61	87	106
12.1	Civilian personnel benefits .....	20	29	33
22.0	Transportation of things .....		2	1
23.1	Rental payments to GSA .....	7	8	10
23.3	Communications, utilities, and miscellaneous charges .....	4	8	8
25.2	Other services from non-Federal sources .....	12	13	9
25.3	Other goods and services from Federal sources .....	13	16	10
25.4	Operation and maintenance of facilities .....	24	5	1
25.7	Operation and maintenance of equipment .....	1	1	2
26.0	Supplies and materials .....	2	2	1
31.0	Equipment .....	2	4	1
99.0	Direct obligations .....	146	175	182

99.9	Total new obligations, unexpired accounts .....	146	175	182
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**Employment Summary**

Identification code 075-0139-0-1-551	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	664	950	1,375

OFFICE OF MEDICARE HEARINGS AND APPEALS  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 075-0139-4-1-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable program activity .....			4
0900	Total new obligations, unexpired accounts (object class 25.2) .....			4
<b>Budgetary resources:</b>				
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....			4
1930	Total budgetary resources available .....			4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....			4
3020	Outlays (gross) .....			-4
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			4
Outlays, gross:				
4100	Outlays from new mandatory authority .....			4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....			-4
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

This legislative proposal provides the Office of Medicare Hearings and Appeals (OMHA) mandatory resources to address the Medicare appeals backlog. The proposal would establish a post-adjudication user fee for Medicare Parts A and B claim appeals filed by a provider or supplier, or a State Medicaid Agency, with respect to appeals that are unfavorable to the appellant and for appeals that are dismissed.

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND**

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, **[\$1,026,458,000]** \$1,051,591,000, of which **[\$561,700,000]** \$561,700,000 shall remain available through September 30, **[2020]** 2021, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act and other administrative expenses of the Biomedical Advanced Research and Development Authority: *Provided*, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: *Provided further*, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: *Provided further*, That \$5,000,000 of the amounts made available to support emergency operations shall remain available through September 30, **[2021]** 2022: *Provided further*, That \$20,000,000 of the amounts made available to the National Disaster Medical System shall remain available through September 30, 2021, for activities related to the Pediatric Disaster Care Program: *Provided further*, That \$5,000,000 of the amounts made available for policy and planning shall remain available until expended, for implementation activities related to the National Biodefense Strategy.

For expenses necessary for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), **[\$735,000,000]** \$735,000,000, to remain available until expended.

For expenses necessary to carry out section 319F-2(a) of the PHS Act, \$620,000,000, to remain available until expended.

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND—Continued

For an additional amount for expenses necessary to prepare for or respond to an influenza pandemic, **[\$260,000,000] \$260,000,000**; of which **[\$225,000,000] \$225,000,000** shall be available until expended, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools: *Provided*, That notwithstanding section 496(b) of the PHS Act, funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics. (*Department of Health and Human Services Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 075-0140-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public Health and Social Services Emergency Fund .....	1,991	2,631	2,667
0100 Direct program activities, subtotal .....	1,991	2,631	2,667
0801 Reimbursable program (FEMA) .....	188	162	162
0802 Reimbursable program activity (OPP) .....	2	2	2
0899 Total reimbursable obligations .....	190	164	164
0900 Total new obligations, unexpired accounts .....	2,181	2,795	2,831
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	124	437	858
1010 Unobligated balance transfer to other accts [075-0343] .....	-2	-2	-3
1011 Unobligated balance transfer from other acct [075-0943] .....		429	
1021 Recoveries of prior year unpaid obligations .....	274		
1050 Unobligated balance (total) .....	396	864	855
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,115	2,021	2,667
1120 Appropriations transferred to other acct [075-0360] .....	-60		
1120 Appropriations transferred to other acct [075-0128] .....	-2		
1120 Appropriations transferred to other acct [075-1362] .....	-20		
1121 Appropriations transferred from other acct [075-0943] .....		604	
1160 Appropriation, discretionary (total) .....	2,033	2,625	2,667
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	52	82	82
1701 Change in uncollected payments, Federal sources .....	139	82	82
1750 Spending auth from offsetting collections, disc (total) .....	191	164	164
1900 Budget authority (total) .....	2,224	2,789	2,831
1930 Total budgetary resources available .....	2,620	3,653	3,686
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	437	858	855
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,745	4,478	4,365
3010 New obligations, unexpired accounts .....	2,181	2,795	2,831
3011 Obligations ("upward adjustments"), expired accounts .....	58		
3020 Outlays (gross) .....	-2,054	-2,908	-2,943
3040 Recoveries of prior year unpaid obligations, unexpired .....	-274		
3041 Recoveries of prior year unpaid obligations, expired .....	-178		
3050 Unpaid obligations, end of year .....	4,478	4,365	4,253
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-107	-198	-280
3070 Change in uncollected pymts, Fed sources, unexpired .....	-139	-82	-82
3071 Change in uncollected pymts, Fed sources, expired .....	48		
3090 Uncollected pymts, Fed sources, end of year .....	-198	-280	-362
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,638	4,280	4,085
3200 Obligated balance, end of year .....	4,280	4,085	3,891
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,224	2,789	2,831
Outlays, gross:			
4010 Outlays from new discretionary authority .....	512	594	623
4011 Outlays from discretionary balances .....	1,542	2,314	2,320
4020 Outlays, gross (total) .....	2,054	2,908	2,943
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-84	-82	-82

4040 Offsets against gross budget authority and outlays (total) ....	-84	-82	-82
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-139	-82	-82
4052 Offsetting collections credited to expired accounts .....	32		
4060 Additional offsets against budget authority only (total) .....	-107	-82	-82
4070 Budget authority, net (discretionary) .....	2,033	2,625	2,667
4080 Outlays, net (discretionary) .....	1,970	2,826	2,861
4180 Budget authority, net (total) .....	2,033	2,625	2,667
4190 Outlays, net (total) .....	1,970	2,826	2,861

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness Reauthorization Act of 2013. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System and National Biodefense Strategy implementation. The PHSSEF continues to support the advanced development, procurement, and stockpiling of biodefense and pandemic influenza countermeasures.

The PHSSEF also supports the HHS Cybersecurity program, National Security and Strategic Information programs, and the Medical Reserve Corps.

Object Classification (in millions of dollars)

Identification code 075-0140-0-1-551	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	98	139	140
11.7 Military personnel .....	7	9	9
11.9 Total personnel compensation .....	105	148	149
12.1 Civilian personnel benefits .....	44	53	53
12.2 Military personnel benefits .....	3	4	4
21.0 Travel and transportation of persons .....	7	9	9
22.0 Transportation of things .....		1	1
23.1 Rental payments to GSA .....	9	11	11
23.2 Rental payments to others .....	14	17	17
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	733	776	805
25.2 Other services from non-Federal sources .....	34	32	32
25.3 Other goods and services from Federal sources .....	115	122	122
25.4 Operation and maintenance of facilities .....	4	8	8
25.5 Research and development contracts .....	557	637	637
25.7 Operation and maintenance of equipment .....	81	75	77
26.0 Supplies and materials .....	2	409	403
31.0 Equipment .....	1	16	16
41.0 Grants, subsidies, and contributions .....	280	311	321
99.0 Direct obligations .....	1,991	2,631	2,667
99.0 Reimbursable obligations .....	190	164	164
99.9 Total new obligations, unexpired accounts .....	2,181	2,795	2,831

Employment Summary

Identification code 075-0140-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	658	933	943
1101 Direct military average strength employment .....	74	74	74

TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-0145-0-1-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 AHRQ .....	68	58	
0002 Office of the Secretary .....	17	48	15
0003 NIRSQ .....			41
0900 Total new obligations, unexpired accounts .....	85	106	56

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	88	127	171
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	89	127	171
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	123	150	
1930	Total budgetary resources available .....	212	277	171
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	127	171	115
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	123	119	183
3010	New obligations, unexpired accounts .....	85	106	56
3020	Outlays (gross) .....	-88	-42	-77
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	119	183	162
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	123	119	183
3200	Obligated balance, end of year .....	119	183	162
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	123	150	
Outlays, gross:				
4100	Outlays from new mandatory authority .....		4	
4101	Outlays from mandatory balances .....	88	38	77
4110	Outlays, gross (total) .....	88	42	77
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-123	-150	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-35	-108	77

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF was transferred each year to the Department of Health and Human Services (HHS). As authorized in section 937 of the Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and coordinate Federal health programs to build research and data capacity for comparative clinical effectiveness research. Transferred funds were distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities. The FY 2020 Budget consolidates the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality. In FY 2020, this institute will continue to obligate remaining resources available until expended. The PCORTF terminates at the end of FY 2019, and the Budget does not propose to extend it.

<b>Object Classification (in millions of dollars)</b>				
Identification code 075-0145-0-1-552				
	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	3	3
25.1	Advisory and assistance services .....	7		
25.3	Other goods and services from Federal sources .....	22	71	37
41.0	Grants, subsidies, and contributions .....	53	32	16
99.9	Total new obligations, unexpired accounts .....	85	106	56

<b>Employment Summary</b>				
Identification code 075-0145-0-1-552				
	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	5	5	5

NONRECURRING EXPENSES FUND

Program and Financing (in millions of dollars)

Identification code 075-0125-0-1-551		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Nonrecurring Expenses Fund Projects .....	131	806	130
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	565	1,116	520
1010	Unobligated balance transfer to other accts [075-0960] .....	-240		
1012	Unobligated balance transfers between expired and unexpired accounts .....	909	610	600
1021	Recoveries of prior year unpaid obligations .....	13		
1050	Unobligated balance (total) .....	1,247	1,726	1,120
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced .....		-400	-400
1930	Total budgetary resources available .....	1,247	1,326	720
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,116	520	590
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	668	544	1,443
3010	New obligations, unexpired accounts .....	131	806	130
3020	Outlays (gross) .....	-242	93	201
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050	Unpaid obligations, end of year .....	544	1,443	1,774
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	668	544	1,443
3200	Obligated balance, end of year .....	544	1,443	1,774
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		-400	-400
Outlays, gross:				
4010	Outlays from new discretionary authority .....		-400	-400
4011	Outlays from discretionary balances .....	242	307	199
4020	Outlays, gross (total) .....	242	-93	-201
4180	Budget authority, net (total) .....		-400	-400
4190	Outlays, net (total) .....	242	-93	-201

The Nonrecurring Expenses Fund is a no-year account that receives transfers of expired unobligated balances from discretionary accounts prior to cancellation. The Fund is used for capital acquisition, including facilities infrastructure and information technology infrastructure.

Object Classification (in millions of dollars)

Identification code 075-0125-0-1-551		2018 actual	2019 est.	2020 est.
Direct obligations:				
23.1	Rental payments to GSA .....	15	93	15
25.1	Advisory and assistance services .....	3	18	3
25.2	Other services from non-Federal sources .....	47	292	46
25.3	Other goods and services from Federal sources .....	13	81	13
25.4	Operation and maintenance of facilities .....	3	19	3
25.5	Research and development contracts .....	4	24	4
25.7	Operation and maintenance of equipment .....	17	105	17
31.0	Equipment .....	26	161	26
32.0	Land and structures .....	2	12	2
99.0	Direct obligations .....	130	805	129
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	131	806	130

<b>Employment Summary</b>				
Identification code 075-0125-0-1-551				
	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	4	4	4

HEALTH INSURANCE REFORM IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 075-0119-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Health Insurance Reform Implementation Fund (Direct) .....	5		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	5		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	1	1
1930 Total budgetary resources available .....	6	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	23	21
3010 New obligations, unexpired accounts .....	5		
3020 Outlays (gross) .....		-2	-2
3050 Unpaid obligations, end of year .....	23	21	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	23	21
3200 Obligated balance, end of year .....	23	21	19
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		2	2

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Health Care and Education Reconciliation Act of 2010.

PREVENTION AND PUBLIC HEALTH FUND

Program and Financing (in millions of dollars)

Identification code 075-0116-0-1-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		6	6
1012 Unobligated balance transfers between expired and unexpired accounts .....	6		
1050 Unobligated balance (total) .....	6	6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	900	900	950
1220 Appropriations transferred to other accts [075-0142] .....	-28	-28	
1220 Appropriations transferred to other accts [075-0943] .....	-801	-805	-894
1220 Appropriations transferred to other accts [075-1362] .....	-12	-12	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced [SEQ] .....	-59	-55	-56
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Prevention and Public Health Fund supports prevention and public health activities. In FY 2020, \$894 million is available to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

PREGNANCY ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0117-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pregnancy Assistance Fund (Direct) .....	23	23	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	25	25	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-2		
1260 Appropriations, mandatory (total) .....	23	25	
1930 Total budgetary resources available .....	23	25	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	29	23
3010 New obligations, unexpired accounts .....	23	23	
3020 Outlays (gross) .....	-21	-29	-9
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	29	23	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	29	23
3200 Obligated balance, end of year .....	29	23	14
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	23	25	
Outlays, gross:			
4101 Outlays from mandatory balances .....	21	29	9
4180 Budget authority, net (total) .....	23	25	
4190 Outlays, net (total) .....	21	29	9

This appropriation funds competitive grants to States to assist pregnant and parenting teens and women. Annual funding for this program expires at the end of FY 2019. The Budget does request an extension of this program.

Object Classification (in millions of dollars)

Identification code 075-0117-0-1-551	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	1	1	
41.0 Grants, subsidies, and contributions .....	22	22	
99.9 Total new obligations, unexpired accounts .....	23	23	

Employment Summary

Identification code 075-0117-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	2	

SECTION 241 EVALUATION TRANSACTIONS ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-3902-0-1-552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Section 241 Evaluation Transactions Account (Reimbursable) .....	512	516	528
0809 Reimbursable program activities, subtotal .....	512	516	528
0900 Total new obligations, unexpired accounts (object class 25.3) .....	512	516	528
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	74	516	528

1701	Change in uncollected payments, Federal sources .....	438		
1750	Spending auth from offsetting collections, disc (total) .....	512	516	528
1930	Total budgetary resources available .....	512	516	528
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	559	634	
3010	New obligations, unexpired accounts .....	512	516	528
3020	Outlays (gross) .....	-437	-1,150	-528
3050	Unpaid obligations, end of year .....	634		
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-556	-630	-630
3070	Change in uncollected pymts, Fed sources, unexpired .....	-438		
3071	Change in uncollected pymts, Fed sources, expired .....	364		
3090	Uncollected pymts, Fed sources, end of year .....	-630	-630	-630
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	4	-630
3200	Obligated balance, end of year .....	4	-630	-630

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	512	516	528
Outlays, gross:				
4010	Outlays from new discretionary authority .....	74	516	528
4011	Outlays from discretionary balances .....	363	634	
4020	Outlays, gross (total) .....	437	1,150	528
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-438	-516	-528
4040	Offsets against gross budget authority and outlays (total) .....	-438	-516	-528
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-438		
4052	Offsetting collections credited to expired accounts .....	364		
4060	Additional offsets against budget authority only (total) .....	-74		
4080	Outlays, net (discretionary) .....	-1	634	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1	634	

The Public Health Service (PHS) Act Evaluation Transactions account supports the execution of section 241 of the PHS Act.

**PROGRAM SUPPORT CENTER**

*Federal Funds*

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year. (*Department of Health and Human Services Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 075-0379-0-1-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Retirement payments .....	462	476	491
0002	Survivors' benefits .....	30	31	32
0003	Medical care .....	115	100	101
0900	Total new obligations, unexpired accounts .....	607	607	624
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	607	607	624
1930	Total budgetary resources available .....	607	607	624
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	62	102	35
3010	New obligations, unexpired accounts .....	607	607	624
3011	Obligations ("upward adjustments"), expired accounts .....	12		
3020	Outlays (gross) .....	-556	-674	-623
3041	Recoveries of prior year unpaid obligations, expired .....	-23		

3050	Unpaid obligations, end of year .....	102	35	36
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	62	102	35
3200	Obligated balance, end of year .....	102	35	36

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	607	607	624
Outlays, gross:				
4100	Outlays from new mandatory authority .....	535	577	593
4101	Outlays from mandatory balances .....	21	97	30
4110	Outlays, gross (total) .....	556	674	623
4180	Budget authority, net (total) .....	607	607	624
4190	Outlays, net (total) .....	556	674	623

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

**Retirement Pay, Survivor Benefits, and Medical Benefits**

	2018	2019	2020
Active Duty:			
HHS .....	4,781	4,876	4,859
DOJ, BOP .....	787	787	787
Homeland Security .....	471	400	400
EPA .....	55	55	53
All Other .....	280	294	312
Total Active Duty .....	6,374	6,412	6,411
Retirees & Survivors:			
Retirees .....	6,200	6,150	6,300
Retiree family members and survivors .....	1,135	1,125	1,100
Total Retirement Pay .....	7,335	7,275	7,400
Total Beneficiaries (active duty, retirees, survivors) .....	13,709	13,687	13,811

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

**Object Classification** (in millions of dollars)

Identification code 075-0379-0-1-551	2018 actual	2019 est.	2020 est.	
Direct obligations:				
13.0	Benefits for former personnel .....	492	507	523
25.6	Medical care .....	115	100	101
99.9	Total new obligations, unexpired accounts .....	607	607	624

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

(Legislative proposal, subject to PAYGO)

The Budget includes a mandatory proposal, effective FY 2021, which shifts the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit costs from mandatory to discretionary.

**HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND**

**Program and Financing** (in millions of dollars)

Identification code 075-0170-0-1-551	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Medicare eligible accruals .....	32	29	30
0900	Total new obligations, unexpired accounts (object class 12.2) .....	32	29	30
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	32	29	30
1900	Budget authority (total) .....	32	29	30
1930	Total budgetary resources available .....	32	29	30
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	32	29	30

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND—Continued

Program and Financing—Continued

Identification code 075-0170-0-1-551	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-32	-29	-30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	29	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	32	29	30
4180 Budget authority, net (total) .....	32	29	30
4190 Outlays, net (total) .....	32	29	30

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108-375, section 725).

GENERAL FUND PAYMENT FOR UNFUNDED LIABILITY, USPHS COMMISSIONED CORPS RETIREMENT PAY AND SURVIVOR BENEFIT COSTS

(Legislative proposal, not subject to PAYGO)

Beginning FY 2021, this account would support the unfunded liability of U.S. Public Health Service Commissioned Corps retirement pay and survivor benefits costs.

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 075-9913-0-1-551	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 075-9941-0-4-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Program Support Center .....	1,447	1,641	1,659
0802 OS activities .....	449	532	539
0900 Total new obligations, unexpired accounts .....	1,896	2,173	2,198
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	200	206	
1021 Recoveries of prior year unpaid obligations .....	53		250
1050 Unobligated balance (total) .....	253	206	250
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,711	1,967	1,948
1701 Change in uncollected payments, Federal sources .....	138		
1750 Spending auth from offsetting collections, disc (total) .....	1,849	1,967	1,948
1930 Total budgetary resources available .....	2,102	2,173	2,198
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	206		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,011	1,264	442

3010 New obligations, unexpired accounts .....	1,896	2,173	2,198
3020 Outlays (gross) .....	-1,590	-2,995	-1,948
3040 Recoveries of prior year unpaid obligations, unexpired .....	-53		-250
3050 Unpaid obligations, end of year .....	1,264	442	442
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-536	-674	-674
3070 Change in uncollected pymts, Fed sources, unexpired .....	-138		
3090 Uncollected pymts, Fed sources, end of year .....	-674	-674	-674
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	475	590	-232
3200 Obligated balance, end of year .....	590	-232	-232

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,849	1,967	1,948
Outlays, gross:			
4010 Outlays from new discretionary authority .....	901	1,967	1,948
4011 Outlays from discretionary balances .....	689	1,028	
4020 Outlays, gross (total) .....	1,590	2,995	1,948
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,142	-1,967	-1,948
4033 Non-Federal sources .....	-569		
4040 Offsets against gross budget authority and outlays (total) ....	-1,711	-1,967	-1,948
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-138		
4080 Outlays, net (discretionary) .....	-121	1,028	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-121	1,028	

The HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities, such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of the Secretary activities include the Service and Supply Fund Manager's Office, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, small business consolidation, grants tracking, the physical security component of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 075-9941-0-4-551	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	99	114	117
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	3	3	3
11.7 Military personnel .....	6	7	8
11.8 Special personal services payments .....	10	11	11
11.9 Total personnel compensation .....	121	138	142
12.1 Civilian personnel benefits .....	32	37	38
12.2 Military personnel benefits .....	3	2	3
21.0 Travel and transportation of persons .....	3	2	2
22.0 Transportation of things .....	2	3	3
23.1 Rental payments to GSA .....	19	17	18
23.3 Communications, utilities, and miscellaneous charges .....	7	9	9
24.0 Printing and reproduction .....	10	6	6
25.1 Advisory and assistance services .....	218	182	196
25.2 Other services from non-Federal sources .....	1,278	1,555	1,557
25.3 Other goods and services from Federal sources .....	67	70	72
25.4 Operation and maintenance of facilities .....	12	14	14
25.6 Medical care .....	23	33	32

25.7	Operation and maintenance of equipment .....	57	49	51
25.8	Subsistence and support of persons .....	1		
26.0	Supplies and materials .....	41	51	50
31.0	Equipment .....	2	5	5
99.9	Total new obligations, unexpired accounts .....	1,896	2,173	2,198

**Employment Summary**

Identification code 075-9941-0-4-551	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	923	923	923
2101 Reimbursable military average strength employment .....	75	75	75
3101 Allocation account military average strength employment .....	1,517	1,481	1,499

**Trust Funds**

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, not subject to PAYGO)

Beginning FY 2021, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, subject to PAYGO)

Beginning FY 2021, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

MISCELLANEOUS TRUST FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 075-9971-0-7-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Contributions, Indian Health Facilities .....	36	48	48
1130 Contributions, N.I.H., Unconditional Gift Fund .....	5	3	3
1130 Centers for Disease Control, Gifts and Donations .....	27	15	15
1130 Contributions, N.I.H., Conditional Gift Fund .....	43	27	27
1130 Contributions to the Indian Health Service Gift Fund .....		1	1
1140 Interest, Miscellaneous Trust Funds .....	1	1	1
1198 Rounding adjustment .....	-1		
1199 Total current law receipts .....	111	95	95
1999 Total receipts .....	111	95	95
2000 Total: Balances and receipts .....	111	95	95
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds .....	-111	-95	-95
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 075-9971-0-7-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Gifts .....	72	72	72
0003 Contributions, Indian Health Facilities .....	36	36	36
0900 Total new obligations, unexpired accounts .....	108	108	108
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	198	188	244
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-17		
1021 Recoveries of prior year unpaid obligations .....	4	8	8
1033 Recoveries of prior year paid obligations .....		61	61
1050 Unobligated balance (total) .....	185	257	313
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	111	95	95
1930 Total budgetary resources available .....	296	352	408

1941 Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year .....	188	244	300

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	72	99	50
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	17		
3010 New obligations, unexpired accounts .....	108	108	108
3020 Outlays (gross) .....	-94	-149	-149
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-8	-8
3050 Unpaid obligations, end of year .....	99	50	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	89	99	50
3200 Obligated balance, end of year .....	99	50	1

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	111	95	95
Outlays, gross:			
4100 Outlays from new mandatory authority .....	24	15	15
4101 Outlays from mandatory balances .....	70	134	134
4110 Outlays, gross (total) .....	94	149	149
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....		-61	-61
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....		61	61
4160 Budget authority, net (mandatory) .....	111	95	95
4170 Outlays, net (mandatory) .....	94	88	88
4180 Budget authority, net (total) .....	111	95	95
4190 Outlays, net (total) .....	94	88	88

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	34	37	37
5001 Total investments, EOY: Federal securities: Par value .....	37	37	37

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

**Object Classification** (in millions of dollars)

Identification code 075-9971-0-7-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	1	1
11.8 Special personal services payments .....	4	4	4
11.9 Total personnel compensation .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	3	3	3
25.1 Advisory and assistance services .....	5	5	5
25.2 Other services from non-Federal sources .....	27	27	27
25.3 Other goods and services from Federal sources .....	2	2	2
25.5 Research and development contracts .....	3	3	3
25.6 Medical care .....	1	1	1
25.8 Subsistence and support of persons .....	21	21	21
26.0 Supplies and materials .....	7	7	7
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	27	27	27
99.9 Total new obligations, unexpired accounts .....	108	108	108

**Employment Summary**

Identification code 075-9971-0-7-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	60	60	60

**OFFICE OF THE INSPECTOR GENERAL**  
*Federal Funds*

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the

OFFICE OF INSPECTOR GENERAL—Continued

Inspector General Act of 1978, \$80,000,000: *Provided*, That of such amount, necessary sums shall be available for providing protective services to the Secretary and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228. (*Department of Health and Human Services Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 075-0128-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	82	86	80
0801 Office of Inspector General HCFAC Trust Fund .....	213	233	222
0802 Office of Inspector General (Direct Reimbursable) .....	15	21	21
0803 Office of Inspector General HCFAC Discretionary .....	88	91	98
0899 Total reimbursable obligations .....	316	345	341
0900 Total new obligations, unexpired accounts .....	398	431	421
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	42	14
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	3	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	44	42	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	80	80	80
1121 Appropriations transferred from other acct [075-9911] ....	2	2	
1121 Appropriations transferred from other acct [075-0140] ....	2		
1121 Appropriations transferred from other acct [075-9915] ....		5	
1160 Appropriation, discretionary (total) .....	84	87	80
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	92	108	111
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	99	108	111
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	192	208	225
1801 Change in uncollected payments, Federal sources .....	21		
1802 Offsetting collections (previously unavailable) .....	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-1	-1	
1850 Spending auth from offsetting collections, mand (total) .....	213	208	226
1900 Budget authority (total) .....	396	403	417
1930 Total budgetary resources available .....	440	445	431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	14	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	78	97	89
3010 New obligations, unexpired accounts .....	398	431	421
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-376	-439	-419
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	97	89	91
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-114	-134	-134
3070 Change in uncollected pymts, Fed sources, unexpired .....	-28		
3071 Change in uncollected pymts, Fed sources, expired .....	8		
3090 Uncollected pymts, Fed sources, end of year .....	-134	-134	-134
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-36	-37	-45
3200 Obligated balance, end of year .....	-37	-45	-43

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	183	195	191
Outlays, gross:			
4010 Outlays from new discretionary authority .....	153	177	174
4011 Outlays from discretionary balances .....	27	23	19
4020 Outlays, gross (total) .....	180	200	193
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-100	-108	-111
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-7		

4052 Offsetting collections credited to expired accounts .....	8		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	84	87	80
4080 Outlays, net (discretionary) .....	80	92	82
Mandatory:			
4090 Budget authority, gross .....	213	208	226
Outlays, gross:			
4100 Outlays from new mandatory authority .....	135	189	206
4101 Outlays from mandatory balances .....	61	50	20
4110 Outlays, gross (total) .....	196	239	226
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-179	-196	-213
4123 Non-Federal sources .....	-13	-12	-12
4130 Offsets against gross budget authority and outlays (total) ....	-192	-208	-225
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-21		
4160 Budget authority, net (mandatory) .....			1
4170 Outlays, net (mandatory) .....	4	31	1
4180 Budget authority, net (total) .....	84	87	81
4190 Outlays, net (total) .....	84	123	83

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	

The mission of the Office of Inspector General (OIG) is to protect the integrity of the U.S. Department of Health and Human Services (HHS) programs and the health and welfare of the people they serve. As established by the Inspector General Act of 1978, OIG is an independent and objective organization that fights fraud, waste, and abuse and promotes efficiency, economy, and effectiveness in HHS programs and operations. OIG works to ensure that Federal dollars are used appropriately and that HHS programs well serve the people that use them. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

Object Classification (in millions of dollars)

Identification code 075-0128-0-1-551	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	40	40	42
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	42	42	44
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	6	11	1
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1		1
31.0 Equipment .....	3	3	3
99.0 Direct obligations .....	82	86	80
99.0 Reimbursable obligations .....	316	345	341
99.9 Total new obligations, unexpired accounts .....	398	431	421

Employment Summary

Identification code 075-0128-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	335	344	349
2001 Reimbursable civilian full-time equivalent employment .....	1,266	1,306	1,321

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
075-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	114	90	90
075-267403 Consumer Operated and Oriented Plan Direct Loan Program, Downward Reestimate of Subsidies .....	15		
075-275830 Downward Reestimates of Subsidies, Health Centers .....		1	
075-310700 Federal Share of Child Support Collections .....	609	596	585
075-310700 Federal Share of Child Support Collections: Legislative proposal, subject to PAYGO .....			10
075-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1,083	34	34
General Fund Offsetting receipts from the public .....	1,821	721	719
Intragovernmental payments:			
075-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-729		
General Fund Intragovernmental payments .....	-729		

**GENERAL PROVISIONS**

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level [II] V, except that this section shall not apply to the Head Start program.

[SEC. 203. None of the funds appropriated in this Act may be expended pursuant to section 241 of the PHS Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in HHS, prior to the preparation and submission of a report by the Secretary to the Committees on Appropriations of the House of Representatives and the Senate detailing the planned uses of such funds.]

SEC. [204]203. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than [2.5] 2.9 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) and the implementation and effectiveness of programs funded in this title.

(TRANSFER OF FUNDS)

SEC. [205]204. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. [206]205. In lieu of the timeframe specified in section 338E(c)(2) of the PHS Act, terminations described in such section may occur up to 60 days after the [execution] effective date of a contract awarded in fiscal year [2019] 2020 under section 338B of such Act, or at any time if the individual who has been awarded such contract has not received funds due under the contract.

SEC. [207]206. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. [208]207. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. [209]208. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective ad-

justments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. [210]209. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

[SEC. 211. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.]

SEC. [212]210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year [2019] 2020:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

(TRANSFER OF FUNDS)

SEC. [213]211. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

(TRANSFER OF FUNDS)

SEC. [214]212. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. [215]213. (a) AUTHORITY.—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds authorized under section 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to or research and activities described in such section 402(b)(12).

(b) PEER REVIEW.—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would other-

wise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

SEC. [216]214. Not to exceed [\$45,000,000] \$100,000,000 of funds appropriated by this Act to the institutes and centers of the National Institutes of Health may be used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein: *Provided, That funds for projects in excess of \$3,500,000 shall not be obligated unless such projects are approved by the Office of Management and Budget* [ ], at not to exceed \$3,500,000 per project [ ].

(TRANSFER OF FUNDS)

SEC. [217]215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under sections 736, 739, or 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the [Agency for Healthcare] *National Institute for Research on Safety and Quality* to make NRSA awards for health service research.

SEC. [218]216. (a) The Biomedical Advanced Research and Development Authority ("BARDA") may enter into a contract, for more than one but no more than 10 program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the PHS Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section—

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.

[SEC. 219. (a) The Secretary shall publish in the fiscal year 2020 budget justification and on Departmental Web sites information concerning the employment of full-time equivalent Federal employees or contractors for the purposes of implementing, administering, enforcing, or otherwise carrying out the provisions of the ACA, and the amendments made by that Act, in the proposed fiscal year and each fiscal year since the enactment of the ACA.

(b) With respect to employees or contractors supported by all funds appropriated for purposes of carrying out the ACA (and the amendments made by that Act), the Secretary shall include, at a minimum, the following information:

(1) For each such fiscal year, the section of such Act under which such funds were appropriated, a statement indicating the program, project, or activity receiving such funds, the Federal operating division or office that administers such program, and the amount of funding received in discretionary or mandatory appropriations.

(2) For each such fiscal year, the number of full-time equivalent employees or contracted employees assigned to each authorized and funded provision detailed in accordance with paragraph (1).

(c) In carrying out this section, the Secretary may exclude from the report employees or contractors who—

(1) are supported through appropriations enacted in laws other than the ACA and work on programs that existed prior to the passage of the ACA;

(2) spend less than 50 percent of their time on activities funded by or newly authorized in the ACA; or

(3) work on contracts for which FTE reporting is not a requirement of their contract, such as fixed-price contracts. [ ]

[SEC. 220. The Secretary shall publish, as part of the fiscal year 2020 budget of the President submitted under section 1105(a) of title 31, United States Code, information that details the uses of all funds used by the Centers for Medicare & Medicaid Services specifically for Health Insurance Exchanges for each fiscal year since the enactment of the ACA and the proposed uses for such funds for fiscal year 2020. Such information shall include, for each such fiscal year, the amount of funds used for each activity specified under the heading "Health Insurance Exchange Transparency" in the joint explanatory statement accompanying this Act. [ ]

[SEC. 221. None of the funds made available by this Act from the Federal Hospital Insurance Trust Fund or the Federal Supplemental Medical Insurance Trust Fund, or transferred from other accounts funded by this Act to the "Centers for Medicare

& Medicaid Services—Program Management" account, may be used for payments under section 1342(b)(1) of Public Law 111-148 (relating to risk corridors). [ ]

(TRANSFER OF FUNDS)

[SEC. 222. (a) Within 45 days of enactment of this Act, the Secretary shall transfer funds appropriated under section 4002 of the ACA to the accounts specified, in the amounts specified, and for the activities specified under the heading "Prevention and Public Health Fund" in the joint explanatory statement accompanying this Act.

(b) Notwithstanding section 4002(c) of the ACA, the Secretary may not further transfer these amounts.

(c) Funds transferred for activities authorized under section 2821 of the PHS Act shall be made available without reference to section 2821(b) of such Act. [ ]

SEC. [223]217. Effective during the period beginning on November 1, 2015 and ending January 1, 2021, any provision of law that refers (including through cross-reference to another provision of law) to the current recommendations of the United States Preventive Services Task Force with respect to breast cancer screening, mammography, and prevention shall be administered by the Secretary involved as if—

(1) such reference to such current recommendations were a reference to the recommendations of such Task Force with respect to breast cancer screening, mammography, and prevention last issued before 2009; and

(2) such recommendations last issued before 2009 applied to any screening mammography modality under section 1861(jj) of the Social Security Act (42 U.S.C. 1395x(jj)).

[SEC. 224. In making Federal financial assistance, the provisions relating to indirect costs in part 75 of title 45, Code of Federal Regulations, including with respect to the approval of deviations from negotiated rates, shall continue to apply to the National Institutes of Health to the same extent and in the same manner as such provisions were applied in the third quarter of fiscal year 2017. None of the funds appropriated in this or prior Acts or otherwise made available to the Department of Health and Human Services or to any department or agency may be used to develop or implement a modified approach to such provisions, or to intentionally or substantially expand the fiscal effect of the approval of such deviations from negotiated rates beyond the proportional effect of such approvals in such quarter. [ ]

(TRANSFER OF FUNDS)

SEC. [225]218. The NIH Director may transfer [funds specifically appropriated] *discretionary amounts identified by the Director as funding* for opioid addiction, opioid alternatives, pain management, and addiction treatment [to other] *among* Institutes and Centers of the NIH to be used for the same purpose 15 days after notifying the Committees on Appropriations: *Provided, That the transfer authority provided in the previous proviso is in addition to any other transfer authority provided by law.*

[SEC. 226. (a) The Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate:

(1) Detailed monthly enrollment figures from the Exchanges established under the Patient Protection and Affordable Care Act of 2010 pertaining to enrollments during the open enrollment period; and

(2) Notification of any new or competitive grant awards, including supplements, authorized under section 330 of the Public Health Service Act.

(b) The Committees on Appropriations of the House and Senate must be notified at least 2 business days in advance of any public release of enrollment information or the award of such grants. [ ]

[SEC. 227. In addition to the amounts otherwise available for "Centers for Medicare & Medicaid Services, Program Management", the Secretary of Health and Human Services may transfer up to \$305,000,000 to such account from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to support program management activity related to the Medicare Program: *Provided, That except for the foregoing purpose, such funds may not be used to support any provision of Public Law 111-148 or Public Law 111-152 (or any amendment made by either such Public Law) or to supplant any other amounts within such account.* [ ]

[(RESCISSION)] (CANCELLATION)

SEC. [228]219. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110-161, \$400,000,000 are hereby [rescinded] *permanently cancelled.*

[SEC. 229. Not later than the 15th day of each month, the Department of Health and Human Services shall provide the Committees on Appropriations of the House of Representatives and Senate a report on staffing described in the joint explanatory statement accompanying this Act. [ ]

SEC. [230]220. Funds appropriated in this Act that are available for salaries and expenses of employees of the Department of Health and Human Services shall also be available to pay travel and related expenses of such an employee or of a member of his or her family, when such employee is assigned to duty, in the United States or in a U.S. territory, during a period and in a location that are the subject of a de-

termination of a public health emergency under section 319 of the Public Health Service Act and such travel is necessary to obtain medical care for an illness, injury, or medical condition that cannot be adequately addressed in that location at that time. For purposes of this section, the term "U.S. territory" means Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, or the Trust Territory of the Pacific Islands.

**SEC. 221. (a) IN GENERAL.**—Under the conditions listed in subsection (b), the Secretary or the head of a major organizational unit within the Department may in this fiscal year enter into a reimbursable agreement with the head of another major organizational unit within the Department or of another agency under which—

(1) the head of the ordering agency or unit delegates to the head of the servicing agency or unit the authority to issue a grant or cooperative agreement on behalf of the ordering agency or unit;

(2) the servicing agency or unit will execute or manage a grant or cooperative agreement on behalf of the ordering agency or unit; and

(3) the ordering agency or unit will reimburse the servicing unit or agency for the amount of the grant or cooperative agreement and for the service of executing or managing the grant or cooperative agreement.

**(b) CONDITIONS.**—The conditions for making an agreement described in subsection (a) are that—

(1) amounts are available;

(2) the head of the ordering agency or unit decides the agreement is in the best interest of the United States Government; and

(3) the agency or unit to execute or manage the grant or cooperative agreement is able to provide that service.

**(c) PAYMENT.**—Payment shall be made promptly through the Intra-governmental Payment and Collection system at the request of the agency or unit providing the service. Payment may be in advance or on providing all or part of the service, and shall be for any part of the estimated or actual cost as determined by the agency or unit providing the service. A bill submitted or a request for payment is not subject to audit or certification in advance of payment. Proper adjustment of amounts paid in advance shall be made as agreed to by the heads of the agencies or units on the basis of the amount of the grant or cooperative agreement and the actual cost of service provided.

**(d) LIMITATIONS ON FUNDS.**—A condition or limitation applicable to amounts for grant or cooperative agreements of the ordering agency or unit applies to an agreement made under this section and to a grant or cooperative agreement made under such agreement.

**(e) OBLIGATION OF APPROPRIATIONS.**—An agreement made under this section obligates an appropriation of the ordering agency or unit. The amount obligated is deobligated to the extent that the agency or unit providing the service has not incurred obligations, before the end of the period of availability of the appropriation, in—

(1) awarding the grant or cooperative agreement; or

(2) providing the agreed-on services.

**(f) NO EFFECT ON OTHER LAWS.**—This section does not affect other laws about reimbursable agreements.

**[(INCLUDING TRANSFER OF FUNDS)]**

**[SEC. 231.** There is established in the Treasury a reserve fund to be known as the "Infectious Diseases Rapid Response Reserve Fund" (the "Reserve Fund"): *Provided*, That of the funds provided under the heading "CDC-Wide Activities and Program Support", \$50,000,000, to remain available until expended, shall be available to the Director of the CDC for deposit in the Reserve Fund: *Provided further*, That amounts in the Reserve Fund shall be for carrying out titles II, III, and XVII of the PHS Act to prevent, prepare for, or respond to an infectious disease emergency, including, in connection with such activities, to purchase or lease and provide for the insurance of passenger motor vehicles for official use in foreign countries: *Provided further*, That amounts in the Reserve Fund may only be provided for an infectious disease emergency if the infectious disease emergency (1) is declared by the Secretary of Health and Human Services under section 319 of the PHS Act to be a public health emergency; or (2) as determined by the Secretary, has significant potential to imminently occur and potential, on occurrence, to affect national security or the health and security of United States citizens, domestically or internationally: *Provided further*, That amounts in the Reserve Fund may be transferred by the Director of the CDC to other accounts of the CDC, to accounts of the NIH, or to the Public Health and Social Services Emergency Fund, to be merged with such accounts or Fund for the purposes provided in this section: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate shall be notified in advance of any transfer or obligation made under the authority provided in this section, including notification on the anticipated uses of such funds by program, project, or activity: *Provided further*, That not later than 15 days after notification of the planned use of the Reserve Fund, the Director shall provide a detailed spend plan of anticip-

ated uses of funds, including estimated personnel and administrative costs, to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That such plans shall be updated and submitted every 90 days thereafter until funds have been fully expended which should include the unobligated balances in the Reserve Fund and all the actual obligations incurred to date: *Provided further*, That amounts in the Reserve Fund shall be in addition to amounts otherwise available to the Department of Health and Human Services for the purposes provided in this section: *Provided further*, That the transfer authorities in this section are in addition to any transfer authority otherwise available to the Department of Health and Human Services: *Provided further*, That products purchased using amounts in the Reserve Fund may, at the discretion of the Secretary of Health and Human Services, be deposited in the Strategic National Stockpile under section 319F-2 of the PHS Act: *Provided further*, That this section shall be in effect as of the date of the enactment of this Act through each fiscal year hereafter.]

**SEC. [232] 222.** The Department of Health and Human Services may accept donations from the private sector, nongovernmental organizations, and other groups independent of the Federal Government for the care of unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))) in the care of the Office of Refugee Resettlement of the Administration for Children and Families, including *monetary donations*, medical goods and services, school supplies, toys, clothing, and any other items *and services* intended to promote the wellbeing of such children.

**[SEC. 233.** The Secretary shall submit to the Congress by November 15, 2018, a plan to promptly facilitate the reunification of children separated from their parents and placed in the custody of the Office of Refugee Resettlement ("ORR"), including the reunification of children with parents who are no longer in the United States: *Provided*, That such plan shall include possible children of potential class members in the class-action lawsuit *Ms. L v. ICE*, as identified in the Joint Status Report filed on September 6, 2018: *Provided further*, That such plan shall describe the activities the Administration has undertaken to locate parents who are no longer in the United States and to reunify those parents with their children, including (1) the process for tracking children and parents, (2) the process for coordinating interagency responsibilities for communication, location, and reunification of such parents, and (3) the number of parents that the Administration has been unable to contact: *Provided further*, That such plan shall provide detailed information on how many parents have been determined to be ineligible for reunification and the reasons for those determinations: *Provided further*, That such plan shall identify the number of children in ORR custody whose parents were deported that (1) have been reunified with their parents, (2) have been released into the custody of a family member other than a parent, (3) have been released into the custody of a sponsor who is not a family member, and (4) are still in ORR custody: *Provided further*, That such plan shall provide detailed information regarding the procedures the Administration follows when child sexual abuse is alleged at facilities operated by ORR contractors: *Provided further*, That such plan shall include an estimate of expenditures in fiscal year 2018 and an estimate of anticipated expenditures in fiscal year 2019 related to housing children who were separated from their parents at the border as well as activities to reunify such children with their parents: *Provided further*, That if such plan is not submitted by the deadline identified above, the Department of Health and Human Services may not, until such a plan has been submitted to the Congress, obligate funds from the Fund established by section 223 of title II of division G of Public Law 110–161, except to obligate funds for projects identified in the joint explanatory statement accompanying this Act.]

**[SEC. 234.** None of the funds made available by this Act may be used to prevent a Member of the United States Congress from entering, for the purpose of conducting oversight, any facility in the United States, used for purposes of maintaining custody of or otherwise housing unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))).]

**SEC. 223.** *Funds appropriated in this Act to accounts that received appropriations in title II of division B of Public Law 115–254 for the administrative expenses of programs or activities for which appropriations are not provided in this Act shall be available for necessary expenses to carry out the closure of such programs or activities.*

**SEC. 224.** *For fiscal year 2020 and each subsequent fiscal year, the notification requirements described in sections 1804(a) and 1851(d) of the Social Security Act may be fulfilled by the Secretary in a manner similar to that described in paragraphs (1) and (2) of section 1806(c) of such Act.*

**SEC. 225.** *Notwithstanding section 1864(e) of the Social Security Act (42 U.S.C. 1395aa(e)), the Secretary of Health and Human Services shall charge health care facilities or entities fees in cases where such facilities or entities have been cited for deficiencies during initial certification, recertification, or substantiated complaint surveys to cover all or a portion of the costs incurred for conducting substantiated complaint surveys and revisit surveys on such health care facilities or entities. Such*

fees shall be in addition to any other funds available for conducting such surveys and shall be credited to the "Department of Health and Human Services—Centers for Medicare and Medicaid Services—Program Management" account, to remain available until expended, for such purpose. No such fees shall be charged to an Indian Health Program (as that term is defined in section 4 of the Indian Health Care Improvement Act).

SEC. 226. Section 340B of the Public Health Service Act (42 U.S.C. 256b) is amended—

(a) in subsection (a)(5)(C)—

(1) by striking "A covered entity shall permit" and inserting "(i) DUPLICATE DISCOUNTS AND DRUG RESALE.—A covered entity shall permit" and;

(2) by inserting at the end the following:

"(ii) USE OF SAVINGS.—A covered entity shall permit the Secretary to audit, at the Secretary's expense, the records of the entity to determine how net income from purchases under this section are used by the covered entity.

"(iii) RECORDS RETENTION.—Covered entities shall retain such records and provide such records and reports as deemed necessary by the Secretary for carrying out this subparagraph."

(b) by adding at the end the following new subsection: "(f) REGULATIONS.—The Secretary may promulgate such regulations as the Secretary determines necessary or appropriate to carry out the provisions of this section."

SEC. 227. (a) IN GENERAL.—A State or tribal organization which receives grant funds attributable to appropriations under the heading "Department of Health and Human Services—Administration for Community Living—Aging and Disability Services Programs" to carry out programs under parts B, C, D, or E of title III (with respect to States) or under title VI (with respect to tribal organizations) of the Older Americans Act of 1965 (OAA) may elect to transfer up to 100 percent of such received funds among such title III or title VI programs (respectively), subject to OAA sections 306(a)(9) and 307(a)(9) but notwithstanding any otherwise-applicable limitations on such transfers under the OAA or such heading.

(b) NOTIFICATION OF PROPOSED TRANSFER; SECRETARIAL APPROVAL.—A State or tribal organization which elects to make a transfer under subsection (a) shall notify the Secretary of Health and Human Services of such proposed transfer, including a description of the amount to be transferred, the purposes of the transfer, the need for the transfer, and the impact of the transfer on the provision of services from which the funding would be transferred. The Secretary shall approve any such transfer unless the Secretary determines that such transfer is not consistent with the objectives of the OAA.

(c) RULES OF CONSTRUCTION.—No transfer of grant funds by a State or tribal organization under this section shall be construed—

(1) as inconsistent with the authorized use of such funds under the OAA, including for purposes of OAA administration and oversight by the Secretary; or

(2) to relieve the State or tribal organization from applicable reporting requirements under the OAA regarding the use of such funds.

SEC. 228. Funds made available to the Secretary of Health and Human Services (HHS) in this or any other or prior Acts that are available for acquisition of real property or for construction or improvement of facilities shall also be available to make improvements on non-federally owned property located directly adjacent to property owned by HHS or a component thereof, provided that the primary benefit of such improvements accrues to HHS or the component thereof funding the improvements.

SEC. 229. Funds appropriated in this or any prior Act or the Patient Protection and Affordable Care Act that are available for salaries and expenses of employees of the Department of Health and Human Services shall also be available for the primary and secondary schooling of eligible dependents of HHS personnel stationed in the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, and the possessions of the United States at costs not in excess of those paid for or reimbursed by the Department of Defense.

SEC. 230. Notwithstanding section 12(b) of the Federal Advisory Committee Act, funds made available by this Act for the "NIH—Office of the Director" account shall also be available to establish and operate the Research Policy Board authorized by section 2034(f) of Public Law 114–255.

(INCLUDING TRANSFER OF FUNDS)

SEC. 231. (a) The Secretary may reserve not more than 0.25 percent from each appropriation made available in this Act to the accounts of the Administration of Children and Families identified in subsection (b) in order to carry out evaluations

of any of the programs or activities that are funded under such accounts. Any such funds reserved under this section may be transferred to "Children and Families Services Programs" for use by the Assistant Secretary for the Administration for Children and Families and shall remain available until expended: Provided, That such funds shall only be available if such Assistant Secretary submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any such transfer.

(b) The accounts referred to in subsection (a) are: "Low Income Home Energy Assistance", "Refugee and Entrant Assistance", "Payments to States for the Child Care and Development Block Grant", and "Children and Families Services Programs".

SEC. 232. REAUTHORIZATION AND MODIFICATION OF CDC LOAN REPAYMENT PROGRAM. Section 317F of the Public Health Service Act (42 U.S.C. 247b–7) is amended—

(a) in subsection (a),—

(1) in paragraph (1), by striking "\$35,000" and inserting "\$15,000";

(2) in paragraph (2), by striking "3 years" and inserting "2 years"; and

(3) by adding the following new paragraph: "(3) HEALTH PROFESSIONAL.—For purposes of this section, the term 'health professional' includes information technology specialists and data surveillance specialists."; and

(b) in subsection (c), by striking "1995 through 2002" and inserting "thereafter".

(INCLUDING TRANSFER OF FUNDS)

SEC. 233. There is hereby established in the Treasury a fund to be known as the "Federal Emergency Response Fund" (the "Fund"). Amounts in the Fund shall be available, in addition to any other amount appropriated for such purposes, to carry out titles II, III, and, XVII of the PHS Act, and domestic preparedness activities and global health; to prevent, prepare for, or respond to a chemical, biological, radiological, or nuclear defense threat; or to prevent, prepare for, or respond to an emerging infectious disease; and may be used to purchase or lease, and provide for the insurance of, passenger motor vehicles for official use in foreign countries. Amounts in the Fund may only be used for such threats or emergencies that the Secretary determines have significant potential to occur and potential, on occurrence, to affect national security or the health and security of United States citizens, domestically or internationally. The Secretary may transfer to the Fund in this fiscal year and hereafter such amounts as are necessary from any discretionary amounts (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) appropriated in this and subsequent Acts, provided that no such appropriation is reduced by more than 1 percent. Such transferred amounts shall remain available until expended. When implementing response activities, amounts in the Fund may be transferred to other accounts of the Department of Health and Human Services for the purposes provided in this section. The Committees on Appropriations of the House of Representatives and the Senate shall be notified promptly of the initiation of response activities under this authority, and of any transfer made under the authority provided in this subsection. The Committees on Appropriations of the House of Representatives and the Senate shall receive a report not later than 45 days after the end of each quarter in a fiscal year on the unobligated balances in the Response Fund and all actual obligations incurred for that fiscal year, including obligations by program, project, or activity. The transfer authorities in this section are in addition to any other transfer authority otherwise available to the Department of Health and Human Services. Products purchased using amounts in the Fund may, at the discretion of the Secretary of Health and Human Services, be deposited in the Strategic National Stockpile under section 319F-2 of the PHS Act.

SEC. 234. Funds appropriated in this Act, or any other Act making appropriations for fiscal year 2020, to any component of the Department of Health and Human Services that are available for activities to address opioid use shall also be available to such component to carry out activities authorized under the SUPPORT for Patients and Communities Act or under an amendment made by such Act.

SEC. 235. Any assessment or user fee charged pursuant to section 1311(d)(5)(A) of the Patient Protection and Affordable Care Act shall be available for any other Federal administrative expenses the Secretary incurs for activities related to the Exchange program, in addition to any other purposes authorized by law: Provided, That such collections shall be credited to the "Centers for Medicare and Medicaid Services—Program Management" account and shall remain available until expended for the purposes described in this section. (Department of Health and Human Services Appropriations Act, 2019.)

## DEPARTMENT OF HOMELAND SECURITY

At the time the President's 2020 Budget request was developed, the 2019 Department of Homeland Security Appropriations was not enacted; therefore, the programs and activities normally provided for in a full-year appropriation were instead operating under a continuing resolution (Division D of Public Law 115–56, as amended). For those programs and activities, full-year appropriations data included in the current year column (2019) for discretionary appropriations accounts reflect the annualized level provided by the continuing resolution.

The 2020 budgetary data are presented in the same consolidated account structure as proposed in 2019 and enacted in 2018.

### OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

#### Federal Funds

#### OPERATIONS AND SUPPORT

*For necessary expenses of the Office of the Secretary and for executive management for operations and support, \$141,310,000: Provided, That not to exceed \$45,000 shall be for official reception and representation expenses.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 070–0100–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 CAS - Office of the Secretary .....	19	19	.....
0003 CAS - Office of Policy .....	40	40	.....
0004 CAS - Office of Public Affairs .....	5	5	.....
0005 CAS - Office of Legislative Affairs .....	5	5	.....
0006 CAS - Office of Partnership and Engagement .....	13	13	.....
0007 CAS - Office of General Counsel .....	19	19	.....
0008 CAS - Office of Civil Rights and Liberties .....	24	24	.....
0009 CAS - Office of Citizenship and Immigration Services Ombudsman .....	6	6	.....
0010 CAS - Privacy Office .....	9	9	.....
0011 Operations and Engagement .....	.....	.....	46
0012 Strategy, Policy, and Plans .....	.....	.....	36
0013 Management and Oversight .....	.....	.....	59
0100 Subtotal, Direct Programs .....	140	140	141
0799 Total direct obligations .....	140	140	141
0882 CAS - OSEM O&S Reimbursable program activity .....	19	19	21
0889 Reimbursable program activities, subtotal .....	19	19	21
0900 Total new obligations, unexpired accounts .....	159	159	162
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	4	4
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	2	.....	.....
1050 Unobligated balance (total) .....	4	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - CAS OSEM .....	140	140	141
Spending authority from offsetting collections, discretionary:			
1700 Collected - CAS - OSEM O&S .....	15	15	21
1701 Change in uncollected payments, Federal sources .....	4	4	.....
1750 Spending auth from offsetting collections, disc (total) .....	19	19	21
1900 Budget authority (total) .....	159	159	162
1930 Total budgetary resources available .....	163	163	166
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	55	37
3010 New obligations, unexpired accounts .....	159	159	162
3011 Obligations ("upward adjustments"), expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-160	-177	-162

3041 Recoveries of prior year unpaid obligations, expired .....	-7	.....	.....
3050 Unpaid obligations, end of year .....	55	37	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-14	-11	-15
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	.....
3071 Change in uncollected pymts, Fed sources, expired .....	9	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-11	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	44	22
3200 Obligated balance, end of year .....	44	22	22

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	159	159	162
Outlays, gross:			
4010 Outlays from new discretionary authority .....	122	123	126
4011 Outlays from discretionary balances .....	38	54	36
4020 Outlays, gross (total) .....	160	177	162
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-22	-22	-21
4040 Offsets against gross budget authority and outlays (total) .....	-22	-22	-21
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	.....
4052 Offsetting collections credited to expired accounts .....	7	7	.....
4060 Additional offsets against budget authority only (total) .....	3	3	.....
4070 Budget authority, net (discretionary) .....	140	140	141
4080 Outlays, net (discretionary) .....	138	155	141
4180 Budget authority, net (total) .....	140	140	141
4190 Outlays, net (total) .....	138	155	141

The Office of the Secretary and Executive Management directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives and provides central leadership to the Department. Offices supported by resources from this appropriation include: the Office of the Secretary; the Office of Strategy, Policy, and Plans; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General Counsel; the Office for Civil Rights and Civil Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Privacy Office; and the Office of Partnership and Engagement.

The Operations and Support appropriation funds support the costs incurred for the day-to-day operation and maintenance of the organization, including, but not limited to, salaries, services, supplies, utilities, travel, training, and transportation, as well as minor procurement, construction, and improvement projects.

#### Object Classification (in millions of dollars)

Identification code 070–0100–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	59	59	66
11.3 Other than full-time permanent .....	6	6	5
11.5 Other personnel compensation .....	2	2	1
11.8 Special personal services payments .....	.....	.....	2
11.9 Total personnel compensation .....	67	67	74
12.1 Civilian personnel benefits .....	20	20	26
21.0 Travel and transportation of persons .....	5	5	4
25.1 Advisory and assistance services .....	14	14	7
25.2 Other services from non-Federal sources .....	10	10	5
25.3 Other goods and services from Federal sources .....	21	21	24
25.7 Operation and maintenance of equipment .....	2	2	1
31.0 Equipment .....	1	1	.....
99.0 Direct obligations .....	140	140	141
99.0 Reimbursable obligations .....	19	19	21
99.9 Total new obligations, unexpired accounts .....	159	159	162

OPERATIONS AND SUPPORT—Continued  
**Employment Summary**

Identification code 070-0100-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	509	509	592
2001 Reimbursable civilian full-time equivalent employment .....	75	75	103

**Trust Funds**  
GIFTS AND DONATIONS

**Program and Financing** (in millions of dollars)

Identification code 070-8244-0-7-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	4		
0900 Total new obligations, unexpired accounts (object class 25.3) .....	4		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	4
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	8	4	4
1930 Total budgetary resources available .....	8	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	171	142	56
3010 New obligations, unexpired accounts .....	4		
3020 Outlays (gross) .....	-28	-86	-56
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	142	56	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	171	142	56
3200 Obligated balance, end of year .....	142	56	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	28	86	56
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	28	86	56
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	2	2	1
5001 Total investments, EOY: Federal securities: Par value .....	2	1	1

The Gifts and Donations account represents contributions to the Department from the State of Kansas for its participation in the construction of the National Bio and Agro-Defense Facility (NBAF).

**MANAGEMENT DIRECTORATE**

*Federal Funds*

OPERATIONS AND SUPPORT

For necessary expenses of the Management Directorate for operations and support, \$1,175,990,000: Provided, That not to exceed \$2,000 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0112-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Under Secretary for Management .....	1	1	
0002 DHS HQ Consolidation .....	16	82	
0007 CIO - Information Technology Services .....	1		

0008 CIO - Infrastructure Security Activities .....	1		
0010 CIO - Spectrum Relocation Fund .....	7	244	
0012 CAS - Immediate Office of the Under Secretary of Management .....	7	7	8
0013 CAS - Office of the Chief Readiness Support Officer .....	57	85	101
0014 CAS - Office of the Chief Human Capital Officer .....	70	71	115
0015 CAS - Office of the Chief Security Officer .....	75	75	83
0016 CAS - Chief Procurement Officer .....	102	102	108
0017 CAS - Office of the Chief Financial Officer .....	68	64	90
0018 CAS - Office of the Chief Information Officer .....	322	325	417
0019 CAS - Office of Biometric Identity Management .....			254
0799 Total direct obligations .....	727	1,056	1,176
0801 USM/CFO Reimbursable program activity .....	32	54	52
0802 CIO Reimbursable program activity .....	61	76	41
0803 Reimbursable program activity - CIO ISA Carryforward .....		20	
0899 Total reimbursable obligations .....	93	150	93
0900 Total new obligations, unexpired accounts .....	820	1,206	1,269

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	379	366	
1010 Unobligated balance transfer to other accts [070-0540] .....	-4		
1021 Recoveries of prior year unpaid obligations .....	12	2	5
1033 Recoveries of prior year paid obligations .....	1	2	
1050 Unobligated balance (total) .....	388	370	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	710	710	1,176
1120 Appropriations transferred to other acct [070-0540] .....	-2		
1121 Appropriations transferred from other acct [070-0610] .....	3		
1160 Appropriation, discretionary (total) .....	711	710	1,176
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	50	53
1701 Change in uncollected payments, Federal sources .....	59	76	35
1750 Spending auth from offsetting collections, disc (total) .....	88	126	88
1900 Budget authority (total) .....	799	836	1,264
1930 Total budgetary resources available .....	1,187	1,206	1,269
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	366		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	740	668	682
3010 New obligations, unexpired accounts .....	820	1,206	1,269
3011 Obligations ("upward adjustments"), expired accounts .....	30		
3020 Outlays (gross) .....	-881	-1,170	-1,175
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12	-2	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-29	-20	-20
3050 Unpaid obligations, end of year .....	668	682	751
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-167	-166	-242
3070 Change in uncollected pymts, Fed sources, unexpired .....	-59	-76	-35
3071 Change in uncollected pymts, Fed sources, expired .....	60		-20
3090 Uncollected pymts, Fed sources, end of year .....	-166	-242	-297
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	573	502	440
3200 Obligated balance, end of year .....	502	440	454

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	799	836	1,264
Outlays, gross:			
4010 Outlays from new discretionary authority .....	484	650	901
4011 Outlays from discretionary balances .....	382	520	274
4020 Outlays, gross (total) .....	866	1,170	1,175
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-100	-52	-53
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-102	-52	-53
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-59	-76	-35
4052 Offsetting collections credited to expired accounts .....	72		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1	2	
4060 Additional offsets against budget authority only (total) .....	14	-74	-35
4070 Budget authority, net (discretionary) .....	711	710	1,176
4080 Outlays, net (discretionary) .....	764	1,118	1,122

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	15		
4180 Budget authority, net (total) .....	711	710	1,176
4190 Outlays, net (total) .....	779	1,118	1,122

The Management Directorate provides enterprise leadership and management and business administration services, as well as biometric and identity management services. These can include financial management, acquisition oversight, workforce management, physical and personnel security requirements, administrative supplies and services, non-programmatic information technology, day-to-day management of headquarters-related property and assets, daily communication costs, and other general day-to-day management and administration. The Management Directorate includes the following offices: Immediate Office of the Under Secretary for Management; Office of the Chief Readiness Support Officer; Office of the Chief Human Capital Officer; Office of the Chief Procurement Officer; Office of the Chief Financial Officer; Office of the Chief Information Officer; Office of the Chief Security Officer, and the Office of Biometric Identity Management.

**Object Classification** (in millions of dollars)

Identification code 070-0112-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	203	204	242
11.3 Other than full-time permanent .....	7	1	1
11.5 Other personnel compensation .....	5	3	3
11.9 Total personnel compensation .....	215	208	246
12.1 Civilian personnel benefits .....	64	84	88
21.0 Travel and transportation of persons .....	2	3	2
23.1 Rental payments to GSA .....			4
25.1 Advisory and assistance services .....	161	242	186
25.2 Other services from non-Federal sources .....	38	67	94
25.3 Other goods and services from Federal sources .....	172	350	303
25.4 Operation and maintenance of facilities .....	7	11	9
25.7 Operation and maintenance of equipment .....	48	61	236
26.0 Supplies and materials .....	2	3	1
31.0 Equipment .....	18	27	7
99.0 Direct obligations .....	727	1,056	1,176
99.0 Reimbursable obligations .....	93	150	93
99.9 Total new obligations, unexpired accounts .....	820	1,206	1,269

**Employment Summary**

Identification code 070-0112-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,736	1,883	2,115
2001 Reimbursable civilian full-time equivalent employment .....		3	3

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Management Directorate for procurement, construction, and improvements, \$381,298,000, of which \$157,531,000 shall remain available until September 30, 2022, and of which \$223,767,000 shall remain available until September 30, 2024, for necessary expenses to plan, acquire, design, construct, renovate, remediate, equip, furnish, improve infrastructure, and occupy buildings and facilities for the Department headquarters consolidation project.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0406-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Construction and Facility Improvements .....	6	7	224
0002 CAS - Mission Support Assets and Infrastructure .....	21	30	11
0004 CAS - Mission Support Assets and Infrastructure - FSM .....	35	48	116
0005 CAS - Mission Support Assets and Infrastructure - HRIT .....	4	10	11
0006 Legacy FSM .....	20		

0007 DHS One Number .....	3		4
0008 OBIM - HART .....			15
0799 Total direct obligations .....	89	95	381
0900 Total new obligations, unexpired accounts .....	89	95	381

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	24	
1011 Unobligated balance transfer from other acct [070-0530] .....	1		
1011 Unobligated balance transfer from other acct [070-0566] .....	1		
1011 Unobligated balance transfer from other acct [070-0509] .....	1		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	42	24	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	71	71	381
1900 Budget authority (total) .....	71	71	381
1930 Total budgetary resources available .....	113	95	381
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	57	80
3010 New obligations, unexpired accounts .....	89	95	381
3020 Outlays (gross) .....	-60	-72	-245
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	57	80	216
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	57	80
3200 Obligated balance, end of year .....	57	80	216

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	71	71	381
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	36	191
4011 Outlays from discretionary balances .....	43	36	54
4020 Outlays, gross (total) .....	60	72	245
4180 Budget authority, net (total) .....	71	71	381
4190 Outlays, net (total) .....	60	72	245

The Management Directorate's Procurement, Construction, and Improvements (PC&I) appropriation provides support necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. Information technology included in the PC&I account provides useful software and hardware in an operational environment, including non-tangible assets. The PC&I budget also includes funding for the National Capital Region Consolidation project necessary for the planning, operational development, and engineering prior to sustainment.

**Object Classification** (in millions of dollars)

Identification code 070-0406-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	78	19	1
25.2 Other services from non-Federal sources .....	4	11	8
25.3 Other goods and services from Federal sources .....	6	65	354
25.7 Operation and maintenance of equipment .....	1		2
26.0 Supplies and materials .....			16
99.0 Direct obligations .....	89	95	366
99.9 Total new obligations, unexpired accounts .....	89	95	381

**RESEARCH AND DEVELOPMENT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

RESEARCH AND DEVELOPMENT—Continued

Program and Financing (in millions of dollars)

Identification code 070-0801-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	3	3	
0700 Direct program activities, subtotal .....	3	3	
0900 Total new obligations, unexpired accounts (object class 25.5) .....	3	3	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	
1930 Total budgetary resources available .....	3	3	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	3	3	
3020 Outlays (gross) .....	-3	-3	
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	3	
4011 Outlays from discretionary balances .....	2		
4020 Outlays, gross (total) .....	3	3	
4180 Budget authority, net (total) .....	3	3	
4190 Outlays, net (total) .....	3	3	

The Management Directorate's Research and Development (R&D) account provides funding for rapid "proof of concept" prototype applications, technical demonstrations, planning, and development of emerging technologies that can be used to support Department of Homeland Security mission needs. All funding within the R&D account is oriented towards the Office of the Chief Information Officer.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 070-4640-0-4-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund (Reimbursable) .....	525	429	425
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	57	43	155
1021 Recoveries of prior year unpaid obligations .....	18	35	35
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	76	78	190
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	566	436	436
1701 Change in uncollected payments, Federal sources .....	-74	70	70
1750 Spending auth from offsetting collections, disc (total) .....	492	506	506
1930 Total budgetary resources available .....	568	584	696
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	43	155	271
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	284	236	143
3010 New obligations, unexpired accounts .....	525	429	425
3020 Outlays (gross) .....	-555	-487	-506
3040 Recoveries of prior year unpaid obligations, unexpired .....	-18	-35	-35
3050 Unpaid obligations, end of year .....	236	143	27

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-342	-268	-338
3070 Change in uncollected pymts, Fed sources, unexpired .....	74	-70	-70
3090 Uncollected pymts, Fed sources, end of year .....	-268	-338	-408
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-58	-32	-195
3200 Obligated balance, end of year .....	-32	-195	-381
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	492	506	506
Outlays, gross:			
4010 Outlays from new discretionary authority .....	380	329	329
4011 Outlays from discretionary balances .....	175	158	177
4020 Outlays, gross (total) .....	555	487	506
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-567	-436	-436
4040 Offsets against gross budget authority and outlays (total) .....	-567	-436	-436
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	74	-70	-70
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	75	-70	-70
4080 Outlays, net (discretionary) .....	-12	51	70
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-12	51	70

The Department of Homeland Security (DHS) Working Capital Fund serves as an effective centralized reimbursable mechanism for enterprise wide services that are performed more advantageously and economically at the departmental level. The Working Capital Fund promotes economy, efficiency, accountability, and best practices from the public and private sectors for improving organizational performance, operational efficiencies, and ensuring full cost recovery of goods and services for selected DHS agency-wide programs, activities, and services. The Department of Homeland Security Working Capital Fund was authorized in the Department of Homeland Security Appropriations Act, 2004.

Object Classification (in millions of dollars)

Identification code 070-4640-0-4-751	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
23.1 Rental payments to GSA .....	163	164	163
23.3 Communications, utilities, and miscellaneous charges .....	4	19	21
25.1 Advisory and assistance services .....	98	41	23
25.2 Other services from non-Federal sources .....	35	59	88
25.3 Other goods and services from Federal sources .....	124	67	53
25.4 Operation and maintenance of facilities .....	3		
25.7 Operation and maintenance of equipment .....	81	70	70
26.0 Supplies and materials .....	3	5	3
31.0 Equipment .....	14	4	4
99.9 Total new obligations, unexpired accounts .....	525	429	425

ANALYSIS AND OPERATIONS

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of Intelligence and Analysis and the Office of Operations Coordination for operations and support, \$276,641,000, of which \$68,579,000 shall remain available until September 30, 2021: Provided, That not to exceed \$3,825 shall be for official reception and representation expenses and not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0115-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Analysis and Operations .....	258	246	277
0801 Analysis and Operations (Reimbursable) .....	33	40	43
0900 Total new obligations, unexpired accounts .....	291	286	320
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	2	2
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	19	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	246	246	277
1131 Unobligated balance of appropriations permanently reduced .....	-4		
1160 Appropriation, discretionary (total) .....	242	246	277
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	40	43
1701 Change in uncollected payments, Federal sources .....	24		
1750 Spending auth from offsetting collections, disc (total) .....	33	40	43
1900 Budget authority (total) .....	275	286	320
1930 Total budgetary resources available .....	294	288	322
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	135	147	190
3010 New obligations, unexpired accounts .....	291	286	320
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-269	-243	-295
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	147	190	215
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired .....	-24		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	129	119	162
3200 Obligated balance, end of year .....	119	162	187
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	275	286	320
Outlays, gross:			
4010 Outlays from new discretionary authority .....	173	143	160
4011 Outlays from discretionary balances .....	96	100	135
4020 Outlays, gross (total) .....	269	243	295
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-40	-43
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-24		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	-21		
4070 Budget authority, net (discretionary) .....	242	246	277
4080 Outlays, net (discretionary) .....	257	203	252
4180 Budget authority, net (total) .....	242	246	277
4190 Outlays, net (total) .....	257	203	252

Analysis and Operations (A&O) provides resources supporting the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination (OPS). This funding includes both National Intelligence Program (NIP) and non-NIP resources. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related Federal agencies, as well as State, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness. I&A's mission is to equip the Homeland Security

Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient. I&A is the interface between the Intelligence Community (IC) and Federal, State, local, and private sector homeland security partners, providing strategic analyses, warning, and actionable intelligence, ensuring departmental leadership, components, law enforcement, and IC partners have the tools they need to confront and disrupt terrorist threats. I&A's unique mission within the IC blends national intelligence with Department of Homeland Security (DHS) component and other stakeholder source data, providing homeland security-centric analysis. The Under Secretary for Intelligence and Analysis leads I&A and is the Department's Chief Intelligence Officer responsible for overseeing the DHS Intelligence Enterprise. The Under Secretary is also responsible for implementing the National Strategy on Information Sharing across the Department. The mission of OPS is to provide operations coordination, information sharing, situational awareness, the common operating picture, and Department continuity, enabling execution of the Secretary's responsibilities across the homeland security enterprise. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, State, local, tribal, private sector, and international partners. OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

**Object Classification** (in millions of dollars)

Identification code 070-0115-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	91	93	98
11.5 Other personnel compensation .....	4	2	2
11.8 Special personal services payments .....	3	4	4
11.9 Total personnel compensation .....	98	99	104
12.1 Civilian personnel benefits .....	29	27	31
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	8	8	9
25.1 Advisory and assistance services .....	78	58	79
25.3 Other goods and services from Federal sources .....	31	36	37
25.7 Operation and maintenance of equipment .....	5	5	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	8	8
99.0 Direct obligations .....	258	246	277
99.0 Reimbursable obligations .....	33	40	43
99.9 Total new obligations, unexpired accounts .....	291	286	320

**Employment Summary**

Identification code 070-0115-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	850	837	852
2001 Reimbursable civilian full-time equivalent employment .....	17	21	19

**OFFICE OF THE INSPECTOR GENERAL**

*Federal Funds*

OPERATIONS AND SUPPORT

*For necessary expenses of the Office of Inspector General for operations and support, \$170,186,000, of which not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General.*

OPERATIONS AND SUPPORT—Continued

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070–0200–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 CAS - Mission Support .....	181	185	182
0799 Total direct obligations .....	181	185	182
0801 Operating Expenses (Reimbursable) .....	13	18	18
0900 Total new obligations, unexpired accounts .....	194	203	200
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	30	14
1001 Discretionary unobligated balance brought fwd, Oct 1 .....		30	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	10	30	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	168	168	170
1100 Supplemental Appropriation .....	25		
1121 Appropriations transferred from other acct [070–0702] .....	10		
1160 Appropriation, discretionary (total) .....	203	168	170
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	19	18
1701 Change in uncollected payments, Federal sources .....	-5		
1750 Spending auth from offsetting collections, disc (total) .....	11	19	18
1900 Budget authority (total) .....	214	187	188
1930 Total budgetary resources available .....	224	217	202
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	14	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	52	53
3010 New obligations, unexpired accounts .....	194	203	200
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-200	-202	-188
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	52	53	65
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	5		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	46	44	45
3200 Obligated balance, end of year .....	44	45	57

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	214	187	188
Outlays, gross:			
4010 Outlays from new discretionary authority .....	165	153	153
4011 Outlays from discretionary balances .....	35	49	35
4020 Outlays, gross (total) .....	200	202	188
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-18	-19	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	5		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	7		
4070 Budget authority, net (discretionary) .....	203	168	170
4080 Outlays, net (discretionary) .....	182	183	170
4180 Budget authority, net (total) .....	203	168	170
4190 Outlays, net (total) .....	182	183	170

The Operations and Support appropriation provides funds for the operations, mission support, and associated management and administration costs for the Office of Inspector General (OIG). The OIG conducts and

supervises audits, inspections, and investigations relating to the programs and operations of the Department; promotes economy, efficiency, and effectiveness; and prevents and detects fraud, waste, and abuse in the Department's programs and operations.

Object Classification (in millions of dollars)

Identification code 070–0200–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	87	90	86
11.3 Other than full-time permanent .....		1	1
11.5 Other personnel compensation .....	6	6	6
11.9 Total personnel compensation .....	93	97	93
12.1 Civilian personnel benefits .....	36	37	37
21.0 Travel and transportation of persons .....	4	5	4
23.1 Rental payments to GSA .....	14	13	16
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	14	13	9
25.2 Other services from non-Federal sources .....		6	6
25.3 Other goods and services from Federal sources .....	6	5	5
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....	6	1	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	3	4
32.0 Land and structures .....	2		
99.0 Direct obligations .....	181	185	182
99.0 Reimbursable obligations .....	13	18	18
99.9 Total new obligations, unexpired accounts .....	194	203	200

Employment Summary

Identification code 070–0200–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	784	760	745

ADMINISTRATIVE PROVISIONS

SEC. 101. Not later than 30 days after the last day of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report that includes total obligations of the Department for that month and for the fiscal year at the appropriation and program, project, and activity levels, by the source year of the appropriation.

SEC. 102. (a) The Secretary of Homeland Security shall submit a report not later than October 15, 2020, to the Inspector General of the Department of Homeland Security listing all grants and contracts awarded by any means other than full and open competition during fiscal years 2019 and 2020.

(b) The Inspector General shall review the report required by subsection (a) to assess Departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, 2021.

SEC. 103. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes, which shall be specified in terms of cost, schedule, and performance.

SEC. 104. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9705(g)(4)(B) of title 31, United States Code (as added by Public Law 102–393) from the Department of the Treasury Forfeiture Fund to any agency within the Department of Homeland Security: Provided, That none of the funds identified for such a transfer may be obligated until the Committees on Appropriations of the Senate and the House of Representatives are notified of the proposed transfers.

SEC. 105. All official costs associated with the use of Government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Office of the Secretary.

**U.S. CUSTOMS AND BORDER PROTECTION**

**Federal Funds**

**OPERATIONS AND SUPPORT**

For necessary expenses of U.S. Customs and Border Protection for operations and support, including the transportation of unaccompanied minor aliens; the provision of air and marine support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; at the discretion of the Secretary of Homeland Security, the provision of such support to Federal, State, local, and international agencies in other law enforcement and emergency humanitarian efforts; the purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; the purchase, maintenance, or operation of marine vessels, aircraft, and unmanned aerial systems; and contracting with individuals for personal services abroad; \$12,513,492,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which \$1,660,343,000 shall be available until September 30, 2021; of which \$14,775,000 shall remain available until September 30, 2024, for the purpose of completing GSA Land Port of Entry projects; and of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account: Provided, That not to exceed \$34,425 shall be for official reception and representation expenses: Provided further, That not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations: Provided further, That not to exceed \$1,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 070–0530–0–1–751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	1,067	1,057	1,178
0198 Reconciliation adjustment	-7		
0199 Balance, start of year	1,060	1,057	1,178
Receipts:			
Current law:			
1120 Immigration User Fee	942	972	1,000
1120 Land Border Inspection Fee	56	58	59
1120 Customs Conveyance, Passenger, and Other Fees	265	265	205
1120 Customs Conveyance, Passenger, and Other Fees	49	50	50
1120 Customs Conveyance, Passenger, and Other Fees	545	557	566
1120 US Customs User Fees Account, Merchandise Processing	49	50	50
1120 US Customs User Fees Account, Merchandise Processing	2,579	2,728	2,855
1120 Customs Fees, Inflation Adjustment		27	42
1120 Customs Fees, Inflation Adjustment		3	4
1120 Customs Fees, Inflation Adjustment		3	4
1130 Fees, Customs and Border Protection Services at User Fee Facilities	16	16	16
1199 Total current law receipts	4,501	4,729	4,851
Proposed:			
1220 Immigration User Fee			351
1220 Customs Conveyance, Passenger, and Other Fees			100
1220 Customs Conveyance, Passenger, and Other Fees			18
1220 Customs Conveyance, Passenger, and Other Fees			206
1220 US Customs User Fees Account, Merchandise Processing			18
1299 Total proposed receipts			693
1999 Total receipts	4,501	4,729	5,544
2000 Total: Balances and receipts	5,561	5,786	6,722
Appropriations:			
Current law:			
2101 Operations and Support	-163	-135	-135
2101 Operations and Support	-16	-9	-10
2101 Operations and Support	-2,628	-2,728	-2,855
2101 Operations and Support	-265	-265	-305
2101 Operations and Support	-3	-3	-3
2101 Operations and Support	-56	-58	-59
2101 Operations and Support	-778	-803	-826
2101 Operations and Support	-546	-557	-566
2101 Operations and Support	-49	-50	-50
2199 Total current law appropriations	-4,504	-4,608	-4,809

Proposed:			
2201 Operations and Support			-61
2201 Operations and Support			-290
2201 Operations and Support			-206
2201 Operations and Support			-18
2299 Total proposed appropriations			-575
2999 Total appropriations	-4,504	-4,608	-5,384
5099 Balance, end of year	1,057	1,178	1,338

**Program and Financing (in millions of dollars)**

Identification code 070–0530–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Border Security, at POEs		15	
0003 Border Security, between POEs	2		
0004 CAS - Mission Support	2,000	1,709	1,900
0005 CAS - Border Security Operations	4,469	4,455	4,920
0006 CAS - Trade and Travel Operations	6,254	6,602	6,889
0007 CAS - Integrated Operations	1,131	1,116	1,147
0799 Total direct obligations	13,856	13,897	14,856
0801 Reimbursable activity	2,369	2,773	2,848
0899 Total reimbursable obligations	2,369	2,773	2,848
0900 Total new obligations, unexpired accounts	16,225	16,670	17,704
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	773	916	885
1001 Discretionary unobligated balance brought fwd, Oct 1	272	161	
1010 Unobligated balance transfer to other accts [070–0540]	-3		
1010 Unobligated balance transfer to other accts [070–0406]	-1		
1012 Unobligated balance transfers between expired and unexpired accounts	7		
1021 Recoveries of prior year unpaid obligations	82	82	82
1033 Recoveries of prior year paid obligations	18	18	18
1050 Unobligated balance (total)	876	1,016	985
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8,958	8,753	9,655
1101 Appropriation (Small Airports)	16	9	10
1101 Appropriation (MPF)	2,628	2,728	2,855
1101 Appropriation (COBRA FTA)	265	265	305
1101 Harbor Maintenance Fee	3	3	3
1121 Appropriations transferred from other acct [070–0532]	8		
1131 Unobligated balance of appropriations permanently reduced	-3		
1160 Appropriation, discretionary (total)	11,875	11,758	12,828
Appropriations, mandatory:			
1201 Appropriation (Land Border)	56	58	59
1201 Appropriation (IUF)	778	803	826
1201 Appropriation (COBRA)	546	557	566
1201 Appropriation (ECCF)	49	50	50
1203 Appropriation (previously unavailable)	91	91	89
1221 Appropriations transferred from other acct [012–1600]	539	539	539
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-91	-89	
1260 Appropriations, mandatory (total)	1,968	2,009	2,129
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,246	2,754	2,831
1701 Change in uncollected payments, Federal sources	186	18	18
1750 Spending auth from offsetting collections, disc (total)	2,432	2,772	2,849
1900 Budget authority (total)	16,275	16,539	17,806
1930 Total budgetary resources available	17,151	17,555	18,791
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10		
1941 Unexpired unobligated balance, end of year	916	885	1,087
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,685	3,398	3,863
3010 New obligations, unexpired accounts	16,225	16,670	17,704
3011 Obligations ("upward adjustments"), expired accounts	51		
3020 Outlays (gross)	-15,359	-16,123	-17,522
3040 Recoveries of prior year unpaid obligations, unexpired	-82	-82	-82
3041 Recoveries of prior year unpaid obligations, expired	-122		
3050 Unpaid obligations, end of year	3,398	3,863	3,963
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-188	-272	-290
3070 Change in uncollected pymts, Fed sources, unexpired	-186	-18	-18

OPERATIONS AND SUPPORT—Continued  
Program and Financing—Continued

Identification code 070-0530-0-1-751	2018 actual	2019 est.	2020 est.
3071 Change in uncollected pymts, Fed sources, expired .....	102		
3090 Uncollected pymts, Fed sources, end of year .....	-272	-290	-308
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,497	3,126	3,573
3200 Obligated balance, end of year .....	3,126	3,573	3,655
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14,307	14,530	15,677
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11,318	11,427	12,292
4011 Outlays from discretionary balances .....	2,171	2,625	3,108
4020 Outlays, gross (total) .....	13,489	14,052	15,400
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2,259	-2,679	-2,752
4033 Non-Federal sources .....	-104	-62	-62
4033 Non-Federal sources .....		-31	-35
4040 Offsets against gross budget authority and outlays (total) ...	-2,363	-2,772	-2,849
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-186	-18	-18
4052 Offsetting collections credited to expired accounts .....	99		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	18	18	18
4060 Additional offsets against budget authority only (total) .....	-69		
4070 Budget authority, net (discretionary) .....	11,875	11,758	12,828
4080 Outlays, net (discretionary) .....	11,126	11,280	12,551
Mandatory:			
4090 Budget authority, gross .....	1,968	2,009	2,129
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,440	1,545	1,569
4101 Outlays from mandatory balances .....	430	526	553
4110 Outlays, gross (total) .....	1,870	2,071	2,122
4180 Budget authority, net (total) .....	13,843	13,767	14,957
4190 Outlays, net (total) .....	12,996	13,351	14,673

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	13,843	13,767	14,957
Outlays .....	12,996	13,351	14,673
Legislative proposal, subject to PAYGO:			
Budget Authority .....			514
Outlays .....			375
Total:			
Budget Authority .....	13,843	13,767	15,471
Outlays .....	12,996	13,351	15,048

U.S. Customs and Border Protection (CBP) works to secure America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at the land, sea, and air ports-of-entry (POEs) for immigration, customs, and agriculture compliance, as well as interdicting illegal crossers between the POEs. CBP enforces the laws regarding admission of foreign-born persons into the United States; identifies and apprehends aliens; and ensures that all goods and persons entering and exiting the United States do so legally. CBP's over 60,000 highly-trained employees ensure that the agency performs its mission with vigilance, integrity, and professionalism.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

**Border Security Operations.**—This program funds activities designed to protect the Nation through the coordinated use of Border Patrol Agents, technology, and air and marine forces to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States. These activities contribute to securing America's Southwest, Northern, and Coastal

borders. Through the coordinated use of operational capabilities and assets of the U.S. Border Patrol and Air and Marine Operations, CBP prevents terrorism and terrorist weapons, illegal aliens, smugglers, narcotics, and other contraband from moving across the borders of the United States.

**Trade and Travel Operations.**—This program funds the mitigation of threats from terrorists and the prevention of contraband from entering the U.S. while facilitating the legal flow of people and trade. CBP achieves this mission by deploying CBP officers to the POEs and by using a combination of technology, intelligence, risk information, targeting, and international cooperation to screen inbound international cargo and travelers and, in targeted border areas, to screen departing export cargo. Additional attention to outbound travel along areas of the Southwest border also helps prevent the exit of money and weapons for illegal purposes. CBP has extended a zone of security beyond the United States' physical borders through bilateral cooperation with other nations, private-sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into this country.

**Integrated Operations.**—This program captures the activities to establish the foundation for an integrated, all-hazards planning framework that considers routine emergencies or interruptions of border security operations including trade and travel and catastrophic events. Activities funded in the program operate at the national level and are not limited to a specific geographical area. Integrated Operations include funding for command and control, coordination, occupational health and safety, and information and situational awareness for multiple CBP mission programs.

**Mission Support.**—This program captures activities that are standardized across the Department of Homeland Security that provide enterprise leadership, management and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling both CBP and the Department to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management, managing finances, managing agency workforce, providing physical and personnel security, acquiring goods and services, managing information technology, managing agency property and assets, managing agency communications, managing legal affairs, and providing general management and administration.

Object Classification (in millions of dollars)

Identification code 070-0530-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5,204	5,228	5,589
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	1,271	1,291	1,380
11.9 Total personnel compensation .....	6,484	6,528	6,978
12.1 Civilian personnel benefits .....	3,097	3,213	3,435
21.0 Travel and transportation of persons .....	157	128	136
22.0 Transportation of things .....	24	24	26
23.1 Rental payments to GSA .....	557	656	702
23.2 Rental payments to others .....	41	50	54
23.3 Communications, utilities, and miscellaneous charges .....	133	89	95
24.0 Printing and reproduction .....	6	17	18
25.1 Advisory and assistance services .....	59	75	80
25.2 Other services from non-Federal sources .....	1,552	1,487	1,590
25.3 Other goods and services from Federal sources .....	158	102	109
25.4 Operation and maintenance of facilities .....	274	222	237
25.6 Medical care .....	22	15	16
25.7 Operation and maintenance of equipment .....	287	436	466
25.8 Subsistence and support of persons .....	3	2	2
26.0 Supplies and materials .....	299	300	321
31.0 Equipment .....	601	447	478
32.0 Land and structures .....	80	91	97
42.0 Insurance claims and indemnities .....	3	3	3
44.0 Refunds .....	18	12	13
91.0 Unvouchered .....	1		
99.0 Direct obligations .....	13,856	13,897	14,856
99.0 Reimbursable obligations .....	2,369	2,773	2,848
99.9 Total new obligations, unexpired accounts .....	16,225	16,670	17,704

**Employment Summary**

Identification code 070-0530-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	46,224	47,544	48,148
2001 Reimbursable civilian full-time equivalent employment .....	8,415	13,098	13,120

**OPERATIONS AND SUPPORT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-0530-4-1-751	2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0801 Reimbursable activity .....			514
0899 Total reimbursable obligations .....			514

**Budgetary resources:**

Budget authority:

<b>Appropriations, mandatory:</b>			
1201 Appropriation (IUF) .....			290
1201 Appropriation (COBRA) .....			206
1201 Appropriation (ECCF) .....			18
1260 Appropriations, mandatory (total) .....			514
1930 Total budgetary resources available .....			514

**Change in obligated balance:**

Unpaid obligations:

3010 New obligations, unexpired accounts .....			514
3020 Outlays (gross) .....			-375
3050 Unpaid obligations, end of year .....			139

Memorandum (non-add) entries:

3200 Obligated balance, end of year .....			139
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**Budget authority and outlays, net:**

Mandatory:

4090 Budget authority, gross .....			514
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			375
4180 Budget authority, net (total) .....			514
4190 Outlays, net (total) .....			375

The Budget includes two proposals for user fee increases that impact this account. The Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. The Budget also proposes an increase of \$2.10 for the Consolidated Omnibus Budget Reconciliation Act (COBRA) customs user fees for passengers traveling by air and sea and increase all other COBRA rates and caps by proportionate amounts. The COBRA legislative proposal also extends the authority for COBRA and MPF fee collections beyond the current sunset dates in FY 2027 and FY 2028, respectively.

**Object Classification** (in millions of dollars)

Identification code 070-0530-4-1-751	2018 actual	2019 est.	2020 est.
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Reimbursable obligations:

Personnel compensation:

11.1 Full-time permanent .....			240
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			82
11.9 Total personnel compensation .....			323
12.1 Civilian personnel benefits .....			141
21.0 Travel and transportation of persons .....			2
22.0 Transportation of things .....			2
23.1 Rental payments to GSA .....			8
23.2 Rental payments to others .....			1
23.3 Communications, utilities, and miscellaneous charges .....			2
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....			23
25.3 Other goods and services from Federal sources .....			1
25.4 Operation and maintenance of facilities .....			1
25.7 Operation and maintenance of equipment .....			4
25.8 Subsistence and support of persons .....			1
31.0 Equipment .....			4

99.0 Reimbursable obligations .....			514
99.9 Total new obligations, unexpired accounts .....			514

**Employment Summary**

Identification code 070-0530-4-1-751	2018 actual	2019 est.	2020 est.
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2001 Reimbursable civilian full-time equivalent employment .....			2,922
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**BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0533-0-1-751	2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0002 Development and Deployment .....	42	31	
0003 Operations and Maintenance .....	18	16	
0004 Program Mgmt .....	1	1	
0900 Total new obligations, unexpired accounts .....	61	48	

**Budgetary resources:**

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	79	48	
1010 Unobligated balance transfer to other accts [070-0540] .....	-23		
1021 Recoveries of prior year unpaid obligations .....	53		58
1050 Unobligated balance (total) .....	109	48	58
1930 Total budgetary resources available .....	109	48	58

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year .....	48		58
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**Change in obligated balance:**

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1 .....	445	246	121
3010 New obligations, unexpired accounts .....	61	48	
3020 Outlays (gross) .....	-201	-173	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-53		-58
3041 Recoveries of prior year unpaid obligations, expired .....	-6		-6
3050 Unpaid obligations, end of year .....	246	121	57

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	445	246	121
3200 Obligated balance, end of year .....	246	121	57

**Budget authority and outlays, net:**

Discretionary:

Outlays, gross:

4011 Outlays from discretionary balances .....	201	173	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	201	173	

**Object Classification** (in millions of dollars)

Identification code 070-0533-0-1-751	2018 actual	2019 est.	2020 est.
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Direct obligations:

21.0 Travel and transportation of persons .....	1		
25.2 Other services from non-Federal sources .....	48	10	
25.4 Operation and maintenance of facilities .....	5		
26.0 Supplies and materials .....	1		
31.0 Equipment .....	3	8	
32.0 Land and structures .....	3	30	
99.9 Total new obligations, unexpired accounts .....	61	48	

**AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION—Continued**  
**Program and Financing** (in millions of dollars)

Identification code 070–0531–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 COPPS .....	1		
0003 ACE .....	1		
0005 Automated Targeting Systems .....	1		
0799 Total direct obligations .....	3		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	3		
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	3		
1930 Total budgetary resources available .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	122	21	6
3010 New obligations, unexpired accounts .....	3		
3020 Outlays (gross) .....	-92	-15	-3
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	21	6	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	119	21	6
3200 Obligated balance, end of year .....	21	6	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	92	15	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-3		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4080 Outlays, net (discretionary) .....	89	15	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	89	15	3

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses for U.S. Customs and Border Protection for procurement, construction, and improvements, including procurements to buy marine vessels, aircraft, and unmanned aerial systems, \$5,402,191,000; of which \$302,598,000 shall remain available until September 30, 2022; and of which \$5,099,593,000 shall remain available until September 30, 2024.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0532–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Program Oversight .....	4	3	
0003 Facilities Construction and Sustainment .....	19	16	
0006 Construction Carryover .....	2	31	
0007 CAS - Mission Support Assets and Infrastructure .....	15	20	16
0008 CAS - Border Security Assets and Infrastructure .....	1,450	1,950	5,084
0009 CAS - Trade and Travel Assets and Infrastructure .....	179	267	66
0010 CAS - Integrated Operations Assets and Infrastructure .....	152	271	137
0012 CAS - Construction and Facility Improvements .....	64	62	99
0900 Total new obligations, unexpired accounts .....	1,885	2,620	5,402
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	241	689	399

1010 Unobligated balance transfer to other accts [070–0540] .....	-25		
1021 Recoveries of prior year unpaid obligations .....	41	49	49
1050 Unobligated balance (total) .....	257	738	448
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,326	2,281	5,402
1120 Appropriations transferred to other acct [070–0530] .....	-8		
1160 Appropriation, discretionary (total) .....	2,318	2,281	5,402
1930 Total budgetary resources available .....	2,575	3,019	5,850
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	689	399	448
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	810	2,241	2,460
3010 New obligations, unexpired accounts .....	1,885	2,620	5,402
3020 Outlays (gross) .....	-411	-2,350	-1,967
3040 Recoveries of prior year unpaid obligations, unexpired .....	-41	-49	-49
3041 Recoveries of prior year unpaid obligations, expired .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	2,241	2,460	5,844
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	810	2,241	2,460
3200 Obligated balance, end of year .....	2,241	2,460	5,844
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,318	2,281	5,402
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	456	1,080
4011 Outlays from discretionary balances .....	387	1,894	887
4020 Outlays, gross (total) .....	411	2,350	1,967
4180 Budget authority, net (total) .....	2,318	2,281	5,402
4190 Outlays, net (total) .....	411	2,350	1,967

The U.S. Customs and Border Protection (CBP) Procurement, Construction, and Improvements (PC&I) appropriation provides the funds necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. The funding within this account provides resources for investments ranging from border security technology, aircraft, marine vessels, tactical infrastructure, information technology systems, and other acquisitions. PC&I funding also supports the construction of border wall system, as well as critical facilities and associated infrastructure. These investments enable CBP to accomplish its complex mission of protecting the border while facilitating lawful trade, travel, and immigration.

**Object Classification** (in millions of dollars)

Identification code 070–0532–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	2		
25.2 Other services from non-Federal sources .....	148	206	74
25.3 Other goods and services from Federal sources .....	24	33	99
25.4 Operation and maintenance of facilities .....	7	10	9
25.7 Operation and maintenance of equipment .....	2	3	16
26.0 Supplies and materials .....	4	6	
31.0 Equipment .....	260	362	121
32.0 Land and structures .....	1,438	2,000	5,083
99.9 Total new obligations, unexpired accounts .....	1,885	2,620	5,402

**AIR AND MARINE INTERDICTION, OPERATIONS, MAINTENANCE, AND PROCUREMENT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0544-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations and Maintenance .....	18		
0002 Procurement .....	8		
0003 Salaries and Expenses .....	4		
0799 Total direct obligations .....	30		
0900 Total new obligations, unexpired accounts .....	30		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	2	2
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	31	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	1		
1900 Budget authority (total) .....	1		
1930 Total budgetary resources available .....	32	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	231	124	82
3010 New obligations, unexpired accounts .....	30		
3020 Outlays (gross) .....	-120	-42	-76
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	124	82	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	226	122	80
3200 Obligated balance, end of year .....	122	80	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1		
Outlays, gross:			
4011 Outlays from discretionary balances .....	120	42	76
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) .....	-4		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	3		
4080 Outlays, net (discretionary) .....	116	42	76
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	116	42	76

**Object Classification** (in millions of dollars)

Identification code 070-0544-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	6		
25.3 Other goods and services from Federal sources .....	4		
25.7 Operation and maintenance of equipment .....	14		
26.0 Supplies and materials .....	1		
31.0 Equipment .....	5		
99.0 Direct obligations .....	30		
99.9 Total new obligations, unexpired accounts .....	30		

ENHANCED INSPECTIONAL SERVICES

**Program and Financing** (in millions of dollars)

Identification code 070-4363-0-3-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Enhanced Inspectional Services (Reimbursable) .....	26	19	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	19	19
1930 Total budgetary resources available .....	29	22	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	
3010 New obligations, unexpired accounts .....	26	19	19
3020 Outlays (gross) .....	-26	-22	-19
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	29	19	19
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	19	19
4011 Outlays from discretionary balances .....	4	3	
4020 Outlays, gross (total) .....	26	22	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-29	-19	-19
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-3	3	

Under section 559 of the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Commissioner of Customs and Border Protection (CBP) may approve requests from interested parties to reimburse CBP for enhanced inspectional services. Specifically, CBP is authorized to receive reimbursement from corporations, Government agencies, and other interested parties for certain inspection services in the air, land, and sea environments at domestic locations. This allows CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

**Object Classification** (in millions of dollars)

Identification code 070-4363-0-3-751	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
Full-time permanent .....			
11.1 Full-time permanent .....	1		
11.5 Other personnel compensation .....	17	15	15
11.9 Total personnel compensation .....	18	15	15
12.1 Civilian personnel benefits .....	7	3	3
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	26	19	19

**Employment Summary**

Identification code 070-4363-0-3-751	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	7		

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5687-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	6	9	8

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO—Continued  
Special and Trust Fund Receipts—Continued

Identification code 070-5687-0-2-806	2018 actual	2019 est.	2020 est.
<b>Receipts:</b>			
Current law:			
1110 Deposits, Duties, and Taxes, Puerto Rico .....	135	135	95
2000 Total: Balances and receipts .....	141	144	103
<b>Appropriations:</b>			
Current law:			
2101 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	-135	-135	-95
2103 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	-6	-9	-8
2132 Refunds, Transfers, and Expenses of Operation, Puerto Rico .....	9	8	.....
2199 Total current law appropriations .....	-132	-136	-103
2999 Total appropriations .....	-132	-136	-103
5099 Balance, end of year .....	9	8	.....

**Program and Financing** (in millions of dollars)

Identification code 070-5687-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Refunds, Transfers, and Expenses of Operation, Puerto Rico (Direct) .....	135	135	95
0100 Direct program activities, subtotal .....	135	135	95

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	17	16	17
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	19	16	17
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	135	135	95
1203 Appropriation (previously unavailable) .....	6	9	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-9	-8	.....
1260 Appropriations, mandatory (total) .....	132	136	103
1930 Total budgetary resources available .....	151	152	120
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	16	17	25

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	87	18
3010 New obligations, unexpired accounts .....	135	135	95
3020 Outlays (gross) .....	-87	-204	-113
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	87	18	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	41	87	18
3200 Obligated balance, end of year .....	87	18	.....

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	132	136	103
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	66	117	84
4101 Outlays from mandatory balances .....	21	87	29
4110 Outlays, gross (total) .....	87	204	113
4180 Budget authority, net (total) .....	132	136	103
4190 Outlays, net (total) .....	87	204	113

U.S. Customs and Border Protection acts as Puerto Rico's sole customs service and works with the Homeland Security Investigation directorate of U.S. Immigration and Customs Enforcement to perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. Government Agency or instrumentality the authority to provide additional services to Puerto Rico, at the government of Puerto Rico's behest, on a reimbursable basis. When available, collections in Puerto Rico in excess of the costs of collecting duties and taxes are

transferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the government of Puerto Rico.

**Object Classification** (in millions of dollars)

Identification code 070-5687-0-2-806	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	26	20
11.3 Other than full-time permanent .....	.....	1	.....
11.5 Other personnel compensation .....	.....	6	1
11.9 Total personnel compensation .....	18	33	21
12.1 Civilian personnel benefits .....	10	16	13
21.0 Travel and transportation of persons .....	.....	1	.....
23.1 Rental payments to GSA .....	2	7	2
23.2 Rental payments to others .....	.....	2	1
23.3 Communications, utilities, and miscellaneous charges .....	2	3	1
25.2 Other services from non-Federal sources .....	11	16	26
25.3 Other goods and services from Federal sources .....	30	51	29
25.4 Operation and maintenance of facilities .....	3	.....	.....
26.0 Supplies and materials .....	.....	2	1
31.0 Equipment .....	1	4	1
44.0 Refunds .....	58	.....	.....
99.9 Total new obligations, unexpired accounts .....	135	135	95

**Employment Summary**

Identification code 070-5687-0-2-806	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	206	220	140

INTERNATIONAL REGISTERED TRAVELER

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5543-0-2-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
Current law:			
1120 International Registered Traveler Program Fund .....	174	166	185
2000 Total: Balances and receipts .....	174	166	185
<b>Appropriations:</b>			
Current law:			
2101 International Registered Traveler .....	-174	-166	-185
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 070-5543-0-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Registered Traveler (Direct) .....	149	166	185

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	154	182	182
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	157	182	182
<b>Budget authority:</b>			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	174	166	185
1930 Total budgetary resources available .....	331	348	367
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	182	182	182

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	41	71	96
3010 New obligations, unexpired accounts .....	149	166	185
3020 Outlays (gross) .....	-116	-141	-158
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	71	96	123
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	41	71	96
3200 Obligated balance, end of year .....	71	96	123

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	174	166	185
Outlays, gross:				
4010	Outlays from new discretionary authority .....	14	69	78
4011	Outlays from discretionary balances .....	102	72	80
4020	Outlays, gross (total) .....	116	141	158
4180	Budget authority, net (total) .....	174	166	185
4190	Outlays, net (total) .....	116	141	158

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110–161) section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

**Object Classification (in millions of dollars)**

Identification code 070–5543–0–2–751	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	12	12	24
11.5	Other personnel compensation .....	24	31	11
11.9	Total personnel compensation .....	36	43	35
12.1	Civilian personnel benefits .....	6	7	16
21.0	Travel and transportation of persons .....	2	2	5
23.3	Communications, utilities, and miscellaneous charges .....	4	4	2
24.0	Printing and reproduction .....	27	27	79
25.2	Other services from non-Federal sources .....	66	75	47
25.4	Operation and maintenance of facilities .....	1	1	.....
26.0	Supplies and materials .....	.....	.....	1
31.0	Equipment .....	7	7	.....
99.9	Total new obligations, unexpired accounts .....	149	166	185

**Employment Summary**

Identification code 070–5543–0–2–751	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	141	141	141

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 070–5595–0–2–751	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	4	4	4
Receipts:				
Current law:				
1110	Electronic System for Travel Authorization (ESTA) Fees .....	61	63	64
Proposed:				
1210	Electronic System for Travel Authorization (ESTA) Fees .....	.....	.....	161
1999	Total receipts .....	61	63	225
2000	Total: Balances and receipts .....	65	67	229
Appropriations:				
Current law:				
2101	Electronic System for Travel Authorization .....	–61	–63	–64
2103	Electronic System for Travel Authorization .....	–4	–4	.....
2132	Electronic System for Travel Authorization .....	4	4	.....
2199	Total current law appropriations .....	–61	–63	–64
Proposed:				
2201	Electronic System for Travel Authorization .....	.....	.....	–161
2999	Total appropriations .....	–61	–63	–225
5099	Balance, end of year .....	4	4	4

**Program and Financing (in millions of dollars)**

Identification code 070–5595–0–2–751	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Electronic System for Travel Authorization (ESTA) (Direct) .....	70	63	64
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	70	61	61
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	61	63	64
1203	Appropriation (previously unavailable) .....	4	4	.....
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–4	–4	.....
1260	Appropriations, mandatory (total) .....	61	63	64
1930	Total budgetary resources available .....	131	124	125
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	61	61	61
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	28	36	34
3010	New obligations, unexpired accounts .....	70	63	64
3020	Outlays (gross) .....	–62	–65	–66
3050	Unpaid obligations, end of year .....	36	34	32
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28	36	34
3200	Obligated balance, end of year .....	36	34	32

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	61	63	64
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7	37	37
4101	Outlays from mandatory balances .....	55	28	29
4110	Outlays, gross (total) .....	62	65	66
4180	Budget authority, net (total) .....	61	63	64
4190	Outlays, net (total) .....	62	65	66

**Summary of Budget Authority and Outlays (in millions of dollars)**

Enacted/requested:			
Budget Authority .....			
61	63	64	
Outlays .....			
62	65	66	
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
.....	.....	161	
Outlays .....			
.....	.....	89	
Total:			
Budget Authority .....			
61	63	225	
Outlays .....			
62	65	155	

The Implementing Recommendations of the 9/11 Commission Act of 2007 (P.L. 110–53) established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all Visa Waiver Program travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the United States for business or pleasure for 90 days or less without obtaining a visa.

**Object Classification (in millions of dollars)**

Identification code 070–5595–0–2–751	2018 actual	2019 est.	2020 est.	
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	5	6	5
11.9	Total personnel compensation .....	5	6	5
12.1	Civilian personnel benefits .....	3	2	2
21.0	Travel and transportation of persons .....	2	4	4
25.2	Other services from non-Federal sources .....	43	51	53
25.7	Operation and maintenance of equipment .....	2	.....	.....
31.0	Equipment .....	15	.....	.....
99.9	Total new obligations, unexpired accounts .....	70	63	64

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION—Continued  
Employment Summary

Identification code 070-5595-0-2-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	62	94	94

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-5595-4-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Electronic System for Travel Authorization (ESTA) (Direct) .....			161
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			161
1930 Total budgetary resources available .....			161
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			161
3020 Outlays (gross) .....			-89
3050 Unpaid obligations, end of year .....			72
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			72
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			161
Outlays, gross:			
4100 Outlays from new mandatory authority .....			89
4180 Budget authority, net (total) .....			161
4190 Outlays, net (total) .....			89

The Budget proposes to eliminate the Corporation for Travel Promotion (also known as Brand USA) and redirect the Electronic System for Travel Authorization (ESTA) surcharge currently deposited in the Travel Promotion Fund to the ESTA account in order to support U.S. Customs and Border Protection passenger inspection activities.

Object Classification (in millions of dollars)

Identification code 070-5595-4-2-751	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			113
11.9 Total personnel compensation .....			113
12.1 Civilian personnel benefits .....			48
99.9 Total new obligations, unexpired accounts .....			161

Employment Summary

Identification code 070-5595-4-2-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			1,099

ELECTRONIC VISA UPDATE SYSTEM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5703-0-2-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Proposed:			
1210 Electronic Visa Update System Fees .....			34
2000 Total: Balances and receipts .....			34
Appropriations:			
Proposed:			
2201 Electronic Visa Update System .....			-34

5099 Balance, end of year .....			
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ELECTRONIC VISA UPDATE SYSTEM  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-5703-4-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Electronic Visa Update System (direct) .....			34
0900 Total new obligations, unexpired accounts (object class 25.2) .....			34
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			34
1930 Total budgetary resources available .....			34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			34
3020 Outlays (gross) .....			-34
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			34
Outlays, gross:			
4100 Outlays from new mandatory authority .....			34
4180 Budget authority, net (total) .....			34
4190 Outlays, net (total) .....			34

The Budget proposes to establish a user fee for the Electronic Visa Update System (EVUS), a U.S. Customs and Border Protection program to collect and periodically update biographic and travel-related information from certain non-immigrant visa holders prior to traveling to the United States. This process will complement the existing visa application process and enhance CBP's ability to make pre-travel admissibility and risk determinations. This account will fund the costs of establishing, providing, and administering the system.

APEC BUSINESS TRAVEL CARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5569-0-2-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Fees, APEC Business Travel Card .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
Appropriations:			
Current law:			
2101 APEC Business Travel Card .....	-2	-2	-2
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 070-5569-0-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 APEC Business Travel Card .....	4	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1900 Budget authority (total) .....	2	2	2
1930 Total budgetary resources available .....	5	3	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	3

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	New obligations, unexpired accounts .....	4	1
3020	Outlays (gross) .....	-3	-2
			-1
3050	Unpaid obligations, end of year .....	1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	2
Outlays, gross:			
4100	Outlays from new mandatory authority .....		1
4101	Outlays from mandatory balances .....	3	1
4110	Outlays, gross (total) .....		2
4180	Budget authority, net (total) .....	2	2
4190	Outlays, net (total) .....	3	2

**Object Classification** (in millions of dollars)

Identification code 070-5569-0-2-751	2018 actual	2019 est.	2020 est.
25.2	Direct obligations: Other services from non-Federal sources .....		1
99.0	Direct obligations .....		1
99.9	Total new obligations, unexpired accounts .....	4	1

**9-11 RESPONSE AND BIOMETRIC EXIT ACCOUNT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5702-0-2-751	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	4	4
Receipts:			
Current law:			
1120	Temporary L-1 Visa Fees, 9-11 Response and Biometric Exit Account .....	13	13
1120	Temporary H-1B Visa Fees, 9-11 Response and Biometric Exit Account .....	47	48
1199	Total current law receipts .....	60	61
1999	Total receipts .....	60	61
2000	Total: Balances and receipts .....	64	65
Appropriations:			
Current law:			
2101	9-11 Response and Biometric Exit Account .....	-60	-61
2103	9-11 Response and Biometric Exit Account .....	-4	-4
2132	9-11 Response and Biometric Exit Account .....	4	4
2199	Total current law appropriations .....	-60	-61
2999	Total appropriations .....	-60	-61
5099	Balance, end of year .....	4	4

**Program and Financing** (in millions of dollars)

Identification code 070-5702-0-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	81	61
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	66	50
1021	Recoveries of prior year unpaid obligations .....	5	
1050	Unobligated balance (total) .....	71	50
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	60	61
1203	Appropriation (previously unavailable) .....	4	4
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-4
1260	Appropriations, mandatory (total) .....	60	61
1930	Total budgetary resources available .....	131	115

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	50	50

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	52	59
3010	New obligations, unexpired accounts .....	81	61
3020	Outlays (gross) .....	-69	-93
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	
3050	Unpaid obligations, end of year .....	59	27
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	52	59
3200	Obligated balance, end of year .....	59	27

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	60	61
Outlays, gross:			
4100	Outlays from new mandatory authority .....		34
4101	Outlays from mandatory balances .....	69	59
4110	Outlays, gross (total) .....	69	93
4180	Budget authority, net (total) .....	60	61
4190	Outlays, net (total) .....	69	93

Division O of the Consolidated Appropriations Act of 2016 (P.L. 114-113) established the 9-11 Response and Biometric Exit Account. Pursuant to the law, for 2017 and each year thereafter, amounts in this account shall be available to the Secretary of Homeland Security without further appropriation for implementing the biometric entry and exit system described in section 7208 of the Intelligence Reform and Terrorism Prevention Act of 2004 (8 U.S.C. 1365b).

**Object Classification** (in millions of dollars)

Identification code 070-5702-0-2-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0	Travel and transportation of persons .....	1	2
23.3	Communications, utilities, and miscellaneous charges .....	1	2
25.2	Other services from non-Federal sources .....	65	33
31.0	Equipment .....	14	24
99.9	Total new obligations, unexpired accounts .....	81	61

**Trust Funds**

**U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-8789-0-7-751	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....		
Receipts:			
Current law:			
1110	Proceeds of the Sales of Unclaimed Abandoned, Seized Goods .....	1	2
2000	Total: Balances and receipts .....	1	2
Appropriations:			
Current law:			
2101	U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods .....	-1	-2
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 070-8789-0-7-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Aban (Direct) .....	2	2
0900	Total new obligations, unexpired accounts (object class 25.2) .....	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	2	2

U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS—Continued

Program and Financing—Continued

Identification code 070-8789-0-7-751	2018 actual	2019 est.	2020 est.
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	3	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	2	2
1930 Total budgetary resources available .....	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4101 Outlays from mandatory balances .....	1		
Outlays, gross (total) .....	2	2	2
4180 Budget authority, net (total) .....	1	2	2
4190 Outlays, net (total) .....	2	2	2

This account expends proceeds from the auction of unclaimed and abandoned goods.

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Immigration and Customs Enforcement for operations and support to enforce immigration and customs laws, including the purchase and lease of up to 3,940 (2,500 for replacement only) police-type vehicles, \$8,702,425,000; of which not to exceed \$11,475 shall be for official reception and representation expenses; of which not more than \$45,000,000 shall remain available until September 30, 2021, for maintenance, construction and leasehold improvements at owned and leased facilities; of which not less than \$1,683,203,000 is for homeland security investigations operations, including overseas vetting units; of which not less than \$5,194,066,000 shall be for enforcement, detention, and removal operations, including transportation of unaccompanied minor aliens: Provided, That of the amounts provided under this heading for homeland security investigations operations, not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081); not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security; not to exceed \$20,000,000 shall remain available until September 30, 2021, for activities authorized under 18 U.S.C. §§ 2510-2522; \$6,000,000 shall remain available until expended for activities to enforce laws against forced child labor; and \$13,700,000 shall remain available until September 30, 2021, for visa security program and investigations abroad: Provided further, That of the amounts provided under this heading for enforcement, detention, and removal operations, not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States; not less than \$5,400,000 shall be used to facilitate agreements consistent with section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)); and \$84,958,000 shall be available for outstanding invoices of the outpatient care program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0540-0-1-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	18	27	24
0198 Reconciliation adjustment .....	-4		
0199 Balance, start of year .....	14	27	24
Receipts:			
Current law:			
1120 Breached Bond Penalties Greater Than \$8M, Breached Bond Detention Fund .....	65	55	55
1120 Student and Exchange Visitor Fee .....	124	128	128
1199 Total current law receipts .....	189	183	183
1999 Total receipts .....	189	183	183
2000 Total: Balances and receipts .....	203	210	207
Appropriations:			
Current law:			
2101 Operations and Support .....	-124	-128	-129
2101 Operations and Support .....	-64	-55	-55
2103 Operations and Support .....	-10	-12	-12
2103 Operations and Support .....	-4	-4	-3
2103 Operations and Support .....		-10	-8
2132 Operations and Support .....	12	12	
2132 Operations and Support .....	4	3	
2132 Operations and Support .....	10	8	
2199 Total current law appropriations .....	-176	-186	-207
2999 Total appropriations .....	-176	-186	-207
5099 Balance, end of year .....	27	24	

Program and Financing (in millions of dollars)

Identification code 070-0540-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Immigration and Customs Enforcement (Direct) .....	7,619	6,994	8,702
0799 Total direct obligations .....	7,619	6,994	8,702
0801 Immigration and Customs Enforcement (Reimbursable) .....	143	141	148
0900 Total new obligations, unexpired accounts .....	7,762	7,135	8,850

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	365	331	669
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	365	331	
1011 Unobligated balance transfer from other acct [070-0861] .....	1		
1011 Unobligated balance transfer from other acct [070-0532] .....	25		
1011 Unobligated balance transfer from other acct [070-0533] .....	23		
1011 Unobligated balance transfer from other acct [070-0700] .....	1		
1011 Unobligated balance transfer from other acct [070-0412] .....	3		
1011 Unobligated balance transfer from other acct [070-0800] .....	4		
1011 Unobligated balance transfer from other acct [070-0550] .....	3		
1011 Unobligated balance transfer from other acct [070-0400] .....	2		
1011 Unobligated balance transfer from other acct [070-0550] .....	8		
1011 Unobligated balance transfer from other acct [070-0613] .....	5		
1011 Unobligated balance transfer from other acct [070-0610] .....	3		
1011 Unobligated balance transfer from other acct [070-0613] .....	6		
1011 Unobligated balance transfer from other acct [070-0410] .....	4		
1011 Unobligated balance transfer from other acct [070-0300] .....	7		
1011 Unobligated balance transfer from other acct [070-0112] .....	4		
1021 Recoveries of prior year unpaid obligations .....	29		
1050 Unobligated balance (total) .....	493	331	669
Budget authority:			
Appropriations, discretionary:			
1100 Base Appropriation .....	7,025	6,994	8,702
1120 Appropriations transferred to other acct [070-0545] .....	-5		
1121 Appropriations transferred from other acct [011-1070] .....	1		
1121 Appropriations transferred from other acct [070-0861] .....	1		
1121 Appropriations transferred from other acct [070-0700] .....	10		
1121 Appropriations transferred from other acct [070-0117] .....	1		
1121 Appropriations transferred from other acct [070-0410] .....	8		
1121 Appropriations transferred from other acct [070-0610] .....	18		
1121 Appropriations transferred from other acct [070-0550] .....	11		
1121 Appropriations transferred from other acct [070-0509] .....	3		
1121 Appropriations transferred from other acct [070-0112] .....	2		
1160 Appropriation, discretionary (total) .....	7,075	6,994	8,702
Appropriations, mandatory:			
1201 Student and Exchange Visitor Program .....	124	128	129
1201 Breached Bond Detention Fund .....	64	55	55
1201 Immigration User Fee .....	163	135	135
1201 Detention and Removal Operations .....	1		

1203	Student and Exchange Visitor Program (previously unavailable) .....	10	12	12
1203	Breached Bond Detention Fund (previously unavailable) .....	4	4	3
1203	Immigration User Fee (previously unavailable) .....		10	8
1232	Appropriations temporarily reduced (Student and Exchange Visitor Program) .....	-12	-12	
1232	Appropriations temporarily reduced (Breached Bond Fund) .....	-4	-3	
1232	Appropriations temporarily reduced (Immigration User Fee) .....	-10	-8	
1260	Appropriations, mandatory (total) .....	340	321	342
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	96	158	155
1701	Change in uncollected payments, Federal sources .....	97		
1750	Spending auth from offsetting collections, disc (total) .....	193	158	155
1900	Budget authority (total) .....	7,608	7,473	9,199
1930	Total budgetary resources available .....	8,101	7,804	9,868
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-8		
1941	Unexpired unobligated balance, end of year .....	331	669	1,018

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,481	1,899	2,132
3010	New obligations, unexpired accounts .....	7,762	7,135	8,850
3011	Obligations ("upward adjustments"), expired accounts .....	42		
3020	Outlays (gross) .....	-7,252	-6,902	-8,623
3040	Recoveries of prior year unpaid obligations, unexpired .....	-29		
3041	Recoveries of prior year unpaid obligations, expired .....	-105		
3050	Unpaid obligations, end of year .....	1,899	2,132	2,359
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-86	-124	-124
3070	Change in uncollected pymts, Fed sources, unexpired .....	-97		
3071	Change in uncollected pymts, Fed sources, expired .....	59		
3090	Uncollected pymts, Fed sources, end of year .....	-124	-124	-124
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,395	1,775	2,008
3200	Obligated balance, end of year .....	1,775	2,008	2,235

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7,268	7,152	8,857
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5,889	4,672	5,799
4011	Outlays from discretionary balances .....	987	1,899	2,480
4020	Outlays, gross (total) .....	6,876	6,571	8,279
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-151	-158	-155
4033	Non-Federal sources .....	-8		
4040	Offsets against gross budget authority and outlays (total) .....	-159	-158	-155
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-97		
4052	Offsetting collections credited to expired accounts .....	63		
4060	Additional offsets against budget authority only (total) .....	-34		
4070	Budget authority, net (discretionary) .....	7,075	6,994	8,702
4080	Outlays, net (discretionary) .....	6,717	6,413	8,124
Mandatory:				
4090	Budget authority, gross .....	340	321	342
Outlays, gross:				
4100	Outlays from new mandatory authority .....	229	290	311
4101	Outlays from mandatory balances .....	147	41	33
4110	Outlays, gross (total) .....	376	331	344
4180	Budget authority, net (total) .....	7,415	7,315	9,044
4190	Outlays, net (total) .....	7,093	6,744	8,468

<b>Memorandum (non-add) entries:</b>				
5096	Unexpired unavailable balance, SOY: Appropriations .....		14	14
5098	Unexpired unavailable balance, EOY: Appropriations .....		14	14

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	7,415	7,315	9,044
Outlays .....	7,093	6,744	8,468
Legislative proposal, subject to PAYGO:			
Budget Authority .....			269

Outlays .....			263
Total:			
Budget Authority .....	7,415	7,315	9,313
Outlays .....	7,093	6,744	8,731

As the largest investigative arm of the Department of Homeland Security (DHS), U.S. Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws. The President's Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

**Homeland Security Investigations (HSI).**—Investigates a broad range of domestic and international immigration and customs violations such as human smuggling and trafficking; the smuggling of weapons and other types of contraband including opioids; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; commercial fraud, including intellectual property violations; cybercrimes; child exploitation; identity and immigration benefit fraud; and human rights violations. HSI is also responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

**Enforcement and Removal Operations (ERO).**—Responsible for promoting public safety and national security by identifying, apprehending and detaining removable aliens prior to ensure their departure from the United States through the fair enforcement of the Nation's immigration laws.

**Office of the Principal Legal Advisor.**—Serves as the exclusive legal representative for the U.S. Government at immigration court hearings, and provides expert legal counsel to ICE on customs, immigration, labor and administrative law.

**Mission Support.**—Manages ICE's financial and human resources, information technology, training for employees and special agents, sensitive property, facilities, and other assets.

In accordance with the Executive Order on Enhancing Public Safety in the Interior of the United States issued on January 25, 2017, ICE is expanding its enforcement operations both at the U.S. border and in the interior. The FY 2020 President's Budget supports the Administration's plan to strengthen immigration enforcement by hiring 1,000 law enforcement officers and 666 operational support staff—10 percent of the 10,000 officers mandated by the Executive Order.

**Object Classification** (in millions of dollars)

Identification code 070-0540-0-1-751	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	1,902	1,849	2,315
11.3	Other than full-time permanent .....	15	14	7
11.5	Other personnel compensation .....	394	381	444
11.8	Special personal services payments .....	1	1	
11.9	Total personnel compensation .....	2,312	2,245	2,766
12.1	Civilian personnel benefits .....	1,018	978	1,142
21.0	Travel and transportation of persons .....	539	511	558
22.0	Transportation of things .....	12	8	9
23.1	Rental payments to GSA .....	308	286	382
23.2	Rental payments to others .....	14	12	24
23.3	Communications, utilities, and miscellaneous charges .....	83	82	82
25.1	Advisory and assistance services .....	406	334	334
25.2	Other services from non-Federal sources .....	220	130	159
25.3	Other goods and services from Federal sources .....	81	62	112
25.4	Operation and maintenance of facilities .....	1,892	1,673	2,324
25.6	Medical care .....	257	251	274
25.7	Operation and maintenance of equipment .....	161	138	216
25.8	Subsistence and support of persons .....	11	9	7
26.0	Supplies and materials .....	78	68	66
31.0	Equipment .....	166	142	213
32.0	Land and structures .....	28	26	3

OPERATIONS AND SUPPORT—Continued  
Object Classification—Continued

Identification code 070-0540-0-1-751	2018 actual	2019 est.	2020 est.
42.0 Insurance claims and indemnities .....	29	28	28
44.0 Refunds .....			1
91.0 Unvouchered .....	4	11	2
99.0 Direct obligations .....	7,619	6,994	8,702
99.0 Reimbursable obligations .....	143	141	148
99.9 Total new obligations, unexpired accounts .....	7,762	7,135	8,850

Employment Summary

Identification code 070-0540-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	19,200	19,756	24,134
2001 Reimbursable civilian full-time equivalent employment .....	462	327	327

OPERATIONS AND SUPPORT  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0540-4-1-751	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Immigration User Fee .....			61
1221 Appropriations transferred from other acct [070-0300] ....			208
1260 Appropriations, mandatory (total) .....			269
1930 Total budgetary resources available .....			269
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			269

Change in obligated balance:

Unpaid obligations:			
3020 Outlays (gross) .....			-263
3050 Unpaid obligations, end of year .....			-263
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-263

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			269
Outlays, gross:			
4100 Outlays from new mandatory authority .....			263
4180 Budget authority, net (total) .....			269
4190 Outlays, net (total) .....			263

The U.S. Customs and Border Protection (CBP) Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. These amounts reflect the fee proportion received by U.S. Immigration and Customs Enforcement. Section 274(A) of the Immigration and Nationality Act (INA) provides for the imposition of civil and criminal monetary penalties against employers who violate INA provisions on the unlawful employment of aliens. These combined monetary penalties average \$31 million per year. The Budget also includes a proposal to proportionately increase all penalty amounts by 35%, which will be done by statutory changes to the INA. The additional revenue from these increases will be directed to deficit reduction and are reflected in General Fund Receipt Account #020103000.

AUTOMATION MODERNIZATION, IMMIGRATION AND CUSTOMS ENFORCEMENT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0543-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Automation Modernization, Immigration and Customs Enforcement (Direct) .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	3	3
1930 Total budgetary resources available .....	5	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	9	
3010 New obligations, unexpired accounts .....	2		
3020 Outlays (gross) .....	-18	-9	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	9		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	9	
3200 Obligated balance, end of year .....	9		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	18	9	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	18	9	

Object Classification (in millions of dollars)

Identification code 070-0543-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1		
31.0 Equipment .....	1		
99.9 Total new obligations, unexpired accounts .....	2		

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of U.S. Immigration and Customs Enforcement for procurement, construction, and improvements, \$78,770,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0545-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Construction (Direct) .....	79	82	79
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	54	54
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	54	54
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	115	82	79
1121 Appropriations transferred from other acct [070-0540] ....	5		
1160 Appropriation, discretionary (total) .....	120	82	79
1930 Total budgetary resources available .....	133	136	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	54	54	54

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	29	78	75
3010	New obligations, unexpired accounts .....	79	82	79
3020	Outlays (gross) .....	-29	-85	-55
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	78	75	99
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	29	78	75
3200	Obligated balance, end of year .....	78	75	99
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	120	82	79
Outlays, gross:				
4010	Outlays from new discretionary authority .....	9	44	15
4011	Outlays from discretionary balances .....	20	41	40
4020	Outlays, gross (total) .....	29	85	55
4180	Budget authority, net (total) .....	120	82	79
4190	Outlays, net (total) .....	29	85	55

Procurement, Construction, and Improvements provide funds necessary for the planning, operational development, engineering and purchase of headquarters and field operations assets prior to the sustainment phase. Funding within this account is used for the acquisition and construction of U.S. Immigration and Customs Enforcement (ICE) facilities, as well as for automation modernization activities that strengthen information availability while improving information sharing across the Department of Homeland Security, ICE, and other partner organizations in a fully secure information technology environment.

**Object Classification** (in millions of dollars)

Identification code 070-0545-0-1-751	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	8	9	
25.2	Other services from non-Federal sources .....	1	1	
25.7	Operation and maintenance of equipment .....	8	8	
31.0	Equipment .....	28	28	8
32.0	Land and structures .....	34	36	71
99.0	Direct obligations .....	79	82	79
99.9	Total new obligations, unexpired accounts .....	79	82	79

**TRANSPORTATION SECURITY ADMINISTRATION**

*Federal Funds*

**OPERATIONS AND SUPPORT**

For necessary expenses of the Transportation Security Administration for operations and support, \$7,115,195,000, to remain available until September 30, 2021: Provided, That not to exceed \$7,650 shall be for official reception and representation expenses: Provided further, That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: Provided further, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2020 so as to result in a final fiscal year appropriation from the general fund estimated at not more than \$3,690,224,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-0550-0-1-400	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Unclaimed Checkpoint Money .....	1		
2000	Total: Balances and receipts .....	1		

Appropriations:				
Current law:				
2101	Operations and Support .....	-1		
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 070-0550-0-1-400	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	CAS - Mission Support .....	948	869	903
0003	CAS - Aviation Screening Operations .....	4,961	4,950	4,966
0004	CAS - Other Operations and Enforcement .....	1,645	1,624	1,477
0799	Total direct obligations .....	7,554	7,443	7,346
0801	Aviation Security (Reimbursable) .....	11	7	7
0900	Total new obligations, unexpired accounts .....	7,565	7,450	7,353

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	454	321	325
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	454	321	
1010	Unobligated balance transfer to other accts [070-0540] .....	-4		
1010	Unobligated balance transfer to other accts [070-0540] .....	-8		
1010	Unobligated balance transfer to other accts [070-0400] .....	-1		
1021	Recoveries of prior year unpaid obligations .....	34		
1033	Recoveries of prior year paid obligations .....	4		
1050	Unobligated balance (total) .....	479	321	325
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,690	4,514	4,290
1101	Appropriation (special or trust fund) .....	1		
1120	Appropriations transferred to other acct [070-0540] .....	-11		
1120	Appropriations transferred to other acct [070-0400] .....	-1		
1131	Unobligated balance of appropriations permanently reduced .....	-45		
1160	Appropriation, discretionary (total) .....	4,634	4,514	4,290
Spending authority from offsetting collections, discretionary:				
1700	Offsetting Collections - Passenger Security Fee .....	2,771	2,693	2,826
1700	Offsetting Collections - TWIC .....		64	60
1700	Offsetting Collections - HAZMAT CDL .....		20	19
1700	Offsetting Collections - Commercial Aviation and Airport .....		8	9
1700	Offsetting Collections - Air Cargo .....		5	5
1700	Offsetting Collections - Pre-Check .....		137	137
1700	Reimbursables .....	14	7	7
1700	Offsetting Collections - General Aviation @DCA .....		1	1
1701	Change in uncollected payments, Federal sources .....	-11		
1750	Spending auth from offsetting collections, disc (total) .....	2,774	2,935	3,064
Spending authority from offsetting collections, mandatory:				
1800	Alien Flight School .....	6	5	5
1900	Budget authority (total) .....	7,414	7,454	7,359
1930	Total budgetary resources available .....	7,893	7,775	7,684
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-7		
1941	Unexpired unobligated balance, end of year .....	321	325	331

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,721	1,883	1,921
3010	New obligations, unexpired accounts .....	7,565	7,450	7,353
3011	Obligations ("upward adjustments"), expired accounts .....	10		
3020	Outlays (gross) .....	-7,326	-7,412	-7,392
3040	Recoveries of prior year unpaid obligations, unexpired .....	-34		
3041	Recoveries of prior year unpaid obligations, expired .....	-53		
3050	Unpaid obligations, end of year .....	1,883	1,921	1,882
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-43	-31	-31
3070	Change in uncollected pymts, Fed sources, unexpired .....	11		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,678	1,852	1,890
3200	Obligated balance, end of year .....	1,852	1,890	1,851

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7,408	7,449	7,354
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5,790	6,000	5,884
4011	Outlays from discretionary balances .....	1,527	1,407	1,503

OPERATIONS AND SUPPORT—Continued  
Program and Financing—Continued

Identification code 070-0550-0-1-400	2018 actual	2019 est.	2020 est.
4020 Outlays, gross (total) .....	7,317	7,407	7,387
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-14		
4033 Non-Federal sources: .....	-6	-7	-7
4034 Offsetting governmental collections: .....	-2,771	-2,928	-3,057
4040 Offsets against gross budget authority and outlays (total) ....	-2,791	-2,935	-3,064
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	11		
4052 Offsetting collections credited to expired accounts .....	2		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	17		
4070 Budget authority, net (discretionary) .....	4,634	4,514	4,290
4080 Outlays, net (discretionary) .....	4,526	4,472	4,323
Mandatory:			
4090 Budget authority, gross .....	6	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	7	3	3
4110 Outlays, gross (total) .....	9	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4124 Offsetting governmental collections: .....	-6	-5	-5
4180 Budget authority, net (total) .....	4,634	4,514	4,290
4190 Outlays, net (total) .....	4,529	4,472	4,323

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	4,634	4,514	4,290
Outlays .....	4,529	4,472	4,323
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-600
Outlays .....			-600
Total:			
Budget Authority .....	4,634	4,514	3,690
Outlays .....	4,529	4,472	3,723

The Transportation Security Administration (TSA) protects the Nation's transportation systems to ensure freedom of movement for people and commerce. The Operations and Support appropriation funds necessary operation, mission support, and associated management and administrative costs. Major programs include:

**Mission Support.**—This program supports headquarters offices, human resources, information technology, and major acquisitions to support those efforts.

**Aviation Screening Operations.**—This program supports the majority of TSA's frontline operations, and includes funding for the Screening Workforce, the National Explosives Detection Canine Team program, Secure Flight, and programs that support screening capabilities, as well as field support for these efforts. Since 2011, TSA has been performing this function through the use of an intelligence-driven risk-based security approach. Risk-based security increases the overall security effectiveness by focusing security resources on higher-risk and unknown travelers, while expanding the process for low risk and known/trusted travelers.

**Other Operations and Enforcement.**—This program supports: the Inflight Security program, which includes funding for the Federal Air Marshals Service and Federal Flight Deck Officer and Crew Training; Aviation Regulation, which provides law enforcement and regulatory presence at airports to ensure compliance with required security measures and response to security incidents; Air Cargo, which implements statutory requirement for ensuring the security of transportation systems and passengers when cargo is transported by air; Intelligence and the TSA Operations Center, which provides for the review, synthesis, and analysis of transportation specific intelligence; Surface Programs, which protect the surface transport-

ation system (mass transit, freight rail, pipeline, and maritime modes); and vetting programs, which vet various populations requiring access to the transportation network.

Appropriations in this account are partially offset by revenue from related fees. TSA's Operations and Support funding level of \$3,690,224,000 for the final fiscal year 2020 appropriation from the general fund assumes \$599 million in additional revenue from the legislative proposal to increase the Passenger Security Fee by one dollar in FY 2020.

Object Classification (in millions of dollars)

Identification code 070-0550-0-1-400	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	2,928	2,758	2,751
11.3 Other than full-time permanent .....	225	201	199
11.5 Other personnel compensation .....	503	450	444
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	3,658	3,411	3,396
12.1 Civilian personnel benefits .....	1,480	1,682	1,670
13.0 Benefits for former personnel .....	3	3	3
21.0 Travel and transportation of persons .....	175	171	163
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	121	117	112
23.2 Rental payments to others .....	74	72	69
23.3 Communications, utilities, and miscellaneous charges .....	116	111	107
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	815	807	799
25.2 Other services from non-Federal sources .....	276	263	248
25.3 Other goods and services from Federal sources .....	131	126	119
25.4 Operation and maintenance of facilities .....	154	147	137
25.7 Operation and maintenance of equipment .....	302	293	287
26.0 Supplies and materials .....	75	73	68
31.0 Equipment .....	81	79	75
32.0 Land and structures .....	9	9	9
41.0 Grants, subsidies, and contributions .....	78	73	78
42.0 Insurance claims and indemnities .....	4	4	4
99.0 Direct obligations .....	7,554	7,443	7,346
99.0 Reimbursable obligations .....	11	7	7
99.9 Total new obligations, unexpired accounts .....	7,565	7,450	7,353

Employment Summary

Identification code 070-0550-0-1-400	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	54,651	53,637	54,063

OPERATIONS AND SUPPORT  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0550-2-1-400	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Aviation Security (Reimbursable) .....			600
0900 Total new obligations, unexpired accounts (object class 25.2) .....			600
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			-600
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections - Passenger Security Fee .....			600
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-600
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			600
3050 Unpaid obligations, end of year .....			600
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			600

Budget authority and outlays, net:			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections: .....		-600
4040	Offsets against gross budget authority and outlays (total) ....		-600
4180	Budget authority, net (total) .....		-600
4190	Outlays, net (total) .....		-600

To move towards a higher share of cost recovery for aviation security, the Budget proposes the following increases to the Passenger Security Fee: one dollar in FY 2020, from \$5.60 to \$6.60 per one-way trip; and an additional \$1.65 starting in FY 2021, from \$6.60 to \$8.25 per one-way trip.

**SURFACE TRANSPORTATION SECURITY**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0551–0–1–401	2018 actual	2019 est.	2020 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	1	1
3020	Outlays (gross) .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	1		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1		

**INTELLIGENCE AND VETTING**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0557–0–1–400	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	22	25	25
1021	Recoveries of prior year unpaid obligations .....	3		
1050	Unobligated balance (total) .....	25	25	25
1930	Total budgetary resources available .....	25	25	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	25	25	25
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	75	24	1
3020	Outlays (gross) .....	-44	-23	
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	24	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	75	24	1
3200	Obligated balance, end of year .....	24	1	1

Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	43	15	
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	1	8	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	44	23	

**TRANSPORTATION SECURITY SUPPORT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0554–0–1–400	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	183	99	5
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-69	-94	
3041	Recoveries of prior year unpaid obligations, expired .....	-17		
3050	Unpaid obligations, end of year .....	99	5	5
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	180	96	2
3200	Obligated balance, end of year .....	96	2	2

**Budget authority and outlays, net:**

Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	69	94	
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-2		
4040	Offsets against gross budget authority and outlays (total) ....	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	2		
4080	Outlays, net (discretionary) .....	67	94	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	67	94	

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Transportation Security Administration for procurement, construction, and improvements, \$162,623,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070–0410–0–1–400	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	17	17	17
Receipts:				
Current law:				
1120	Fees, Aviation Security Capital Fund .....	250	250	250
2000	Total: Balances and receipts .....	267	267	267

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued  
Special and Trust Fund Receipts—Continued

Identification code 070-0410-0-1-400	2018 actual	2019 est.	2020 est.
<b>Appropriations:</b>			
Current law:			
2101 Procurement, Construction, and Improvements .....	-250	-250	-250
2103 Procurement, Construction, and Improvements .....	-17	-17	-17
2132 Procurement, Construction, and Improvements .....	17	17	.....
2199 Total current law appropriations .....	-250	-250	-267
2999 Total appropriations .....	-250	-250	-267
5099 Balance, end of year .....	17	17	.....

Program and Financing (in millions of dollars)

Identification code 070-0410-0-1-400	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Aviation Screening Infrastructure .....	149	151	163
0002 CAS - Infrastructure for Other Operations .....	17	16	.....
0004 CAS - Aviation Security Capital Fund (mandatory) .....	383	250	250
0005 Reimbursable .....	6	.....	.....
0900 Total new obligations, unexpired accounts .....	555	417	413
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	304	175	175
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	21	175	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1033 Recoveries of prior year paid obligations .....	6	.....	.....
1050 Unobligated balance (total) .....	321	175	175
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	167	167	163
1120 Appropriations transferred to other acct [070-0540] .....	-8	.....	.....
1160 Appropriation, discretionary (total) .....	159	167	163
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	250	250	250
1203 Appropriation (previously unavailable) .....	17	17	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-17	-17	.....
1260 Appropriations, mandatory (total) .....	250	250	267
1900 Budget authority (total) .....	409	417	430
1930 Total budgetary resources available .....	730	592	605
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	175	175	192

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,240	1,292	1,165
3010 New obligations, unexpired accounts .....	555	417	413
3020 Outlays (gross) .....	-492	-544	-605
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	1,292	1,165	973
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,240	1,292	1,165
3200 Obligated balance, end of year .....	1,292	1,165	973

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	159	167	163
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	58	57
4011 Outlays from discretionary balances .....	97	111	119
4020 Outlays, gross (total) .....	131	169	176
Mandatory:			
4090 Budget authority, gross .....	250	250	267
Outlays, gross:			
4100 Outlays from new mandatory authority .....	10	18	18
4101 Outlays from mandatory balances .....	351	357	411
4110 Outlays, gross (total) .....	361	375	429
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-6	.....	.....

Additional offsets against gross budget authority only:  
Recoveries of prior year paid obligations, unexpired accounts .....

4143	6	.....	.....
4160 Budget authority, net (mandatory) .....	250	250	267
4170 Outlays, net (mandatory) .....	355	375	429
4180 Budget authority, net (total) .....	409	417	430
4190 Outlays, net (total) .....	486	544	605

The Procurement, Construction, and Improvements (PC&I) Appropriation provides the funds, above certain threshold amounts, necessary for the manufacture, purchase, or enhancement of assets. The funding provides resources to procure and improve equipment and systems that support aviation screening operations, other transportation screening and vetting operations, and other mission support functions. This account includes funding from the Aviation Security Capital Fund (ASCF) which is used for acquisition and installation of checked baggage screening equipment and explosives detection systems as well as airport infrastructure modifications.

Object Classification (in millions of dollars)

Identification code 070-0410-0-1-400	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	20	.....	.....
11.9 Total personnel compensation .....	20	.....	.....
12.1 Civilian personnel benefits .....	6	.....	.....
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	459	338	338
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	62	72	68
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	555	417	413

Employment Summary

Identification code 070-0410-0-1-400	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	166	.....	.....

RESEARCH AND DEVELOPMENT

For necessary expenses of the Transportation Security Administration for research and development, \$20,902,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0802-0-1-400	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Research and Development .....	17	21	21
0900 Total new obligations, unexpired accounts (object class 25.5) .....	17	21	21
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	3	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	21
1930 Total budgetary resources available .....	20	23	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	14	19
3010 New obligations, unexpired accounts .....	17	21	21

3020	Outlays (gross) .....	-5	-16	-20
3050	Unpaid obligations, end of year .....	14	19	20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	14	19
3200	Obligated balance, end of year .....	14	19	20
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	20	20	21
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	7	7
4011	Outlays from discretionary balances .....	2	9	13
4020	Outlays, gross (total) .....	5	16	20
4180	Budget authority, net (total) .....	20	20	21
4190	Outlays, net (total) .....	5	16	20

The Research and Development appropriation funds necessary technology demonstration and system development in support of TSA's passenger, baggage, and intermodal screening functions. TSA's research and development activities usually involve inter-agency agreements with established research organizations, such as the Department of Homeland Security Science and Technology Directorate, the Department of Energy, the Naval Sea Systems Command, and other federally funded research and development centers. TSA works directly with industry to test and demonstrate the newest security technologies for transportation infrastructure.

**UNITED STATES COAST GUARD**  
*Federal Funds*

**OPERATIONS AND SUPPORT**

For necessary expenses of the Coast Guard for operations and support, including the Coast Guard Reserve, as authorized by law; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of no more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; \$7,858,900,000; of which \$340,000,000 shall be for defense-related activities; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): Provided, That not to exceed \$23,000 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0610-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Military Pay and Allowances .....	3,763	3,851	3,996
0002	Civilian Pay and Benefits .....	842	929	985
0003	Training and Recruiting .....	198	191	195
0004	Operating Funds and Unit Level Maintenance .....	991	962	905
0005	Centrally Managed Accounts .....	143	144	150
0006	Intermediate and Depot Level Maintenance .....	1,481	1,452	1,479
0007	Reserve Training .....		118	125
0600	Total direct program .....	7,418	7,647	7,835
0799	Total direct obligations .....	7,418	7,647	7,835
0801	Operating Expenses (Reimbursable) .....	302	228	228
0900	Total new obligations, unexpired accounts .....	7,720	7,875	8,063
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	15	47	
1010	Unobligated balance transfer to other accts [070-0540] .....	-3		
1012	Unobligated balance transfers between expired and unexpired accounts .....	27		
1050	Unobligated balance (total) .....	39	47	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	7,461	7,585	7,834

1120	Appropriations transferred to other accts [070-0112] .....	-3		
1120	Appropriations transferred to other acct [070-0540] .....	-18		
1131	Unobligated balance of appropriations permanently reduced .....	-24		
1160	Appropriation, discretionary (total) .....	7,416	7,585	7,834
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	222	243	243
1701	Change in uncollected payments, Federal sources .....	111		
1750	Spending auth from offsetting collections, disc (total) .....	333	243	243
1900	Budget authority (total) .....	7,749	7,828	8,077
1930	Total budgetary resources available .....	7,788	7,875	8,077
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-21		
1941	Unexpired unobligated balance, end of year .....	47		14

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,854	2,105	2,141
3010	New obligations, unexpired accounts .....	7,720	7,875	8,063
3011	Obligations ("upward adjustments"), expired accounts .....	80		
3020	Outlays (gross) .....	-7,387	-7,839	-8,230
3041	Recoveries of prior year unpaid obligations, expired .....	-162		
3050	Unpaid obligations, end of year .....	2,105	2,141	1,974
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-92	-133	-133
3070	Change in uncollected pymts, Fed sources, unexpired .....	-111		
3071	Change in uncollected pymts, Fed sources, expired .....	70		
3090	Uncollected pymts, Fed sources, end of year .....	-133	-133	-133
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,762	1,972	2,008
3200	Obligated balance, end of year .....	1,972	2,008	1,841

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	7,749	7,828	8,077
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5,959	6,073	6,451
4011	Outlays from discretionary balances .....	1,428	1,766	1,779
4020	Outlays, gross (total) .....	7,387	7,839	8,230
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-267	-243	-243
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) ...	-271	-243	-243
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-111		
4052	Offsetting collections credited to expired accounts .....	49		
4060	Additional offsets against budget authority only (total) .....	-62		
4070	Budget authority, net (discretionary) .....	7,416	7,585	7,834
4080	Outlays, net (discretionary) .....	7,116	7,596	7,987
4180	Budget authority, net (total) .....	7,416	7,585	7,834
4190	Outlays, net (total) .....	7,116	7,596	7,987

The Operations and Support account funds the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five Armed Forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. This account funds operations and maintenance of these assets, and sustainment of new and existing Coast Guard programs, projects, and activities, and personnel. This account also provides funds for Reserve Training.

**Object Classification** (in millions of dollars)

Identification code 070-0610-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	602	672	697
11.3	Other than full-time permanent .....	4	4	5
11.5	Other personnel compensation .....	21	24	25
11.6	Military personnel - basic allowance for housing .....	822	860	895
11.7	Military personnel .....	2,059	2,149	2,248
11.8	Special personal services payments .....	8	7	8
11.9	Total personnel compensation .....	3,516	3,716	3,878
12.1	Civilian personnel benefits .....	212	233	261
12.2	Military personnel benefits .....	253	294	298

OPERATIONS AND SUPPORT—Continued  
Object Classification—Continued

Identification code 070-0610-0-1-999	2018 actual	2019 est.	2020 est.
13.0	3	4	4
21.0	248	241	238
22.0	114	111	112
23.1	57	56	65
23.2	35	33	33
23.3	171	163	160
24.0	3	3	3
25.1	136	117	123
25.2	356	400	400
25.3	214	186	182
25.4	218	210	218
25.6	324	347	361
25.7	733	660	661
25.8	1	4	4
26.0	661	673	624
31.0	148	170	183
32.0	13	23	24
42.0	2	3	3
99.0	7,418	7,647	7,835
99.0	302	228	228
99.9	7,720	7,875	8,063

Employment Summary

Identification code 070-0610-0-1-999	2018 actual	2019 est.	2020 est.
1001	7,092	7,693	7,822
1101	40,681	40,848	40,928
2001	186	239	239
2101	650	651	825

ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses to carry out the environmental compliance and restoration functions of the Coast Guard under chapter 19 of title 14, United States Code, \$13,495,000, to remain available until September 30, 2024.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0611-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	20	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	22	19	19
Budget authority:			
Appropriations, discretionary:			
1100	17	13	13
1900	17	13	13
1930	39	32	32
Memorandum (non-add) entries:			
1941	19	19	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	9	15	12
3010	20	13	13
3020	-14	-16	-22
3050	15	12	3
Memorandum (non-add) entries:			
3100	9	15	12
3200	15	12	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	17	13	13
Outlays, gross:			
4010	4	6	6

4011	10	10	16
4020	14	16	22
4180	17	13	13
4190	14	16	22

The Environmental Compliance and Restoration account supports activities to comply with obligations in chapter 19 of title 14 of the United States Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustainment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage.

Object Classification (in millions of dollars)

Identification code 070-0611-0-1-304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1	2	2	2
12.1	1	1	1
25.2	17	10	10
99.9	20	13	13

Employment Summary

Identification code 070-0611-0-1-304	2018 actual	2019 est.	2020 est.
1001	22	22	22
1101	1	1	1

RESERVE TRAINING

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0612-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	115		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	115		
1930	115		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	4	12	3
3010	115		
3020	-106	-9	
3041	-1		
3050	12	3	3
Memorandum (non-add) entries:			
3100	4	12	3
3200	12	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	115		
Outlays, gross:			
4010	103		
4011	3	9	
4020	106	9	
4180	115		
4190	106	9	

The Reserve Training account supported the training of Coast Guard Reserve Forces so they are prepared to provide qualified personnel to augment active duty forces in the event of conflict, national emergency, or natural and manmade disasters. Reservists maintain their readiness

through formal training, mobilization exercises, and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters. Starting in 2019, the Department has requested funding for Reserve Training in the Operations and Support account.

**Object Classification** (in millions of dollars)

Identification code 070-0612-0-1-403	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5		
11.6 Military personnel - basic allowance for housing .....	9		
11.7 Military personnel .....	71		
11.9 Total personnel compensation .....	85		
12.1 Civilian personnel benefits .....	1		
12.2 Military personnel benefits .....	7		
21.0 Travel and transportation of persons .....	7		
22.0 Transportation of things .....	1		
25.2 Other services from non-Federal sources .....	4		
25.3 Other goods and services from Federal sources .....	1		
25.6 Medical care .....	3		
25.8 Subsistence and support of persons .....	2		
26.0 Supplies and materials .....	4		
99.9 Total new obligations, unexpired accounts .....	115		

**Employment Summary**

Identification code 070-0612-0-1-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	65		
1101 Direct military average strength employment .....	338		

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Coast Guard for procurement, construction, and improvements, including aids to navigation, shore facilities (including facilities at Department of Defense installations used by the Coast Guard), vessels, and aircraft, including equipment related thereto; \$1,234,656,000, to remain available until September 30, 2024; of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0613-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Vessels .....	1,067	2,967	890
0002 Aircraft .....	290	246	214
0003 Other Acquisition Programs .....	55	378	270
0004 Shore Facilities and Aids to Navigation .....	68	102	65
0005 Personnel and Related Support Costs .....	123		
0600 Total Direct Program .....	1,603	3,693	1,439
0799 Total direct obligations .....	1,603	3,693	1,439
0801 Acquisition, Construction, and Improvements (Reimbursable) .....	8	8	8
0900 Total new obligations, unexpired accounts .....	1,611	3,701	1,447

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,044	2,846	1,701
1010 Unobligated balance transfer to other accts [070-0540] .....	-11		
1021 Recoveries of prior year unpaid obligations .....	27		
1050 Unobligated balance (total) .....	1,060	2,846	1,701
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3,394	2,553	1,215
1131 Unobligated balance of appropriations permanently reduced .....	-25	-25	

1160 Appropriation, discretionary (total) .....	3,369	2,528	1,215
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	50	28	28
1701 Change in uncollected payments, Federal sources .....	-15		
1750 Spending auth from offsetting collections, disc (total) .....	35	28	28
1900 Budget authority (total) .....	3,404	2,556	1,243
1930 Total budgetary resources available .....	4,464	5,402	2,944
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-7		
1941 Unexpired unobligated balance, end of year .....	2,846	1,701	1,497

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,817	2,868	4,432
3010 New obligations, unexpired accounts .....	1,611	3,701	1,447
3011 Obligations ("upward adjustments"), expired accounts .....	12		
3020 Outlays (gross) .....	-1,501	-2,137	-2,278
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27		
3041 Recoveries of prior year unpaid obligations, expired .....	-44		
3050 Unpaid obligations, end of year .....	2,868	4,432	3,601
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-77	-62	-62
3070 Change in uncollected pymts, Fed sources, unexpired .....	15		
3090 Uncollected pymts, Fed sources, end of year .....	-62	-62	-62
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2,740	2,806	4,370
3200 Obligated balance, end of year .....	2,806	4,370	3,539

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,404	2,556	1,243
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	171	281	150
4011 Outlays from discretionary balances .....	1,330	1,856	2,128
4020 Outlays, gross (total) .....	1,501	2,137	2,278
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-50	-20	-20
4033 Non-Federal sources .....		-8	-8
4040 Offsets against gross budget authority and outlays (total) .....	-50	-28	-28
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	15		
4060 Additional offsets against budget authority only (total) .....	15		
4070 Budget authority, net (discretionary) .....	3,369	2,528	1,215
4080 Outlays, net (discretionary) .....	1,451	2,109	2,250
4180 Budget authority, net (total) .....	3,369	2,528	1,215
4190 Outlays, net (total) .....	1,451	2,109	2,250

The Procurement, Construction, and Improvements account provides for the acquisition, procurement, construction, rebuilding, and improvement of vessels, aircraft, information management resources, other equipment, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. The Coast Guard will continue the recapitalization of boats, major cutters and patrol boats, aircraft, and command, control, communications, computers, intelligence, surveillance and reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects will provide the Coast Guard with capabilities necessary to perform its missions.

**Object Classification** (in millions of dollars)

Identification code 070-0613-0-1-403	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	53		
11.5 Other personnel compensation .....	1		
11.6 Military personnel - basic allowance for housing .....	10		
11.7 Military personnel .....	30		
11.9 Total personnel compensation .....	94		
12.1 Civilian personnel benefits .....	16		
12.2 Military personnel benefits .....	2		
21.0 Travel and transportation of persons .....	7	12	8
22.0 Transportation of things .....	1		

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued  
Object Classification—Continued

Identification code 070-0613-0-1-403	2018 actual	2019 est.	2020 est.
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	166	251	218
25.2 Other services from non-Federal sources .....	6	100	40
25.3 Other goods and services from Federal sources .....	150	199	174
25.4 Operation and maintenance of facilities .....	15	1	1
25.6 Medical care .....	3		
25.7 Operation and maintenance of equipment .....	9	2	3
25.8 Subsistence and support of persons .....	2		
26.0 Supplies and materials .....	536	39	26
31.0 Equipment .....	561	2,744	708
32.0 Land and structures .....	32	345	261
99.0 Direct obligations .....	1,603	3,693	1,439
99.0 Reimbursable obligations .....	8	8	8
99.9 Total new obligations, unexpired accounts .....	1,611	3,701	1,447

Employment Summary

Identification code 070-0613-0-1-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	445		
1101 Direct military average strength employment .....	378		

ALTERATION OF BRIDGES

Program and Financing (in millions of dollars)

Identification code 070-0614-0-1-403	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	2	
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....	-12	-2	
1930 Total budgetary resources available .....	2		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	-12	-2	
4180 Budget authority, net (total) .....	-12	-2	
4190 Outlays, net (total) .....			

The Alteration of Bridges account funds the Federal Government's share of costs for altering or removing bridges determined to be unreasonable obstructions to navigation. Under the Truman-Hobbs Act of 1940 (33 U.S.C. 511-523), the Federal Government shares, with the bridge owner, the cost of altering railroad and publicly-owned highway bridges declared by the Coast Guard to be unreasonable obstructions to navigation. In 2019, the Department displayed funding appropriated for Alteration of Bridges in the Procurement, Construction, and Improvements account.

RESEARCH AND DEVELOPMENT

For necessary expenses of the Coast Guard for research, development, testing, and evaluation; \$4,949,000, to remain available until September 30, 2022, of which \$500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): Provided, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0615-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Applied R&D .....	19	32	29
0801 Research, Development, Test, and Evaluation (Reimbursable) .....	3	4	4
0900 Total new obligations, unexpired accounts .....	22	36	33
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23	33	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	29	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	7	7
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	3	7	7
1900 Budget authority (total) .....	32	36	12
1930 Total budgetary resources available .....	55	69	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33	33	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	10	9
3010 New obligations, unexpired accounts .....	22	36	33
3020 Outlays (gross) .....	-20	-37	-17
3050 Unpaid obligations, end of year .....	10	9	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	3	2
3200 Obligated balance, end of year .....	3	2	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	36	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	25	7
4011 Outlays from discretionary balances .....	6	12	10
4020 Outlays, gross (total) .....	20	37	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-7	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	29	29	5
4080 Outlays, net (discretionary) .....	17	30	10
4180 Budget authority, net (total) .....	29	29	5
4190 Outlays, net (total) .....	17	30	10

The Research and Development account provides funds to develop techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effectiveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across multiple acquisition projects.

Object Classification (in millions of dollars)

Identification code 070-0615-0-1-403	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	8	8	
11.7 Military personnel .....	2	1	
11.9 Total personnel compensation .....	10	9	
12.1 Civilian personnel benefits .....	2	3	
21.0 Travel and transportation of persons .....	1		1
23.1 Rental payments to GSA .....		1	
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	2		

25.1	Advisory and assistance services .....	1	1	
25.3	Other goods and services from Federal sources .....	1		
25.5	Research and development contracts .....	17	26	
26.0	Supplies and materials .....	1	1	1
99.0	Direct obligations .....	19	32	29
99.0	Reimbursable obligations .....	3	4	4
99.9	Total new obligations, unexpired accounts .....	22	36	33

**Employment Summary**

Identification code 070-0615-0-1-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	67	68	
1101 Direct military average strength employment .....	17	15	

<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	122	120
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,676	1,735
1900	Budget authority (total) .....	1,676	1,735
1930	Total budgetary resources available .....	1,798	1,855
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	120	

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	31	141
3010	New obligations, unexpired accounts .....	1,678	1,855
3020	Outlays (gross) .....	-1,568	-1,725
3050	Unpaid obligations, end of year .....	141	271
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	31	141
3200	Obligated balance, end of year .....	141	271

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,676	1,735
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,437	1,561
4101	Outlays from mandatory balances .....	131	164
4110	Outlays, gross (total) .....	1,568	1,725
4180	Budget authority, net (total) .....	1,676	1,735
4190	Outlays, net (total) .....	1,568	1,725

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY**

**Program and Financing** (in millions of dollars)

Identification code 070-0616-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	MERHCF .....	204	199
0900	Total new obligations, unexpired accounts (object class 12.2) .....	204	199
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	204	199
1930	Total budgetary resources available .....	204	199
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	204	199
3020	Outlays (gross) .....	-204	-199
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	204	199
Outlays, gross:			
4010	Outlays from new discretionary authority .....	204	199
4180	Budget authority, net (total) .....	204	199
4190	Outlays, net (total) .....	204	199

The Retired Pay account funds the retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses; payment of continuation pay (37 U.S.C. 356); concurrent receipts, and combat-related special compensation under the National Defense Authorization Act, as authorized by law; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

**Object Classification** (in millions of dollars)

Identification code 070-0602-0-1-403	2018 actual	2019 est.	2020 est.
Direct obligations:			
12.2	Military personnel benefits .....	6	29
13.0	Benefits for former personnel .....	1,314	1,566
25.2	Other services from non-Federal sources .....	18	13
25.6	Medical care .....	309	225
26.0	Supplies and materials .....	31	22
99.9	Total new obligations, unexpired accounts .....	1,678	1,855

The Medicare-Eligible Retiree Health Care Fund Contribution account provides for the cost of medical benefits for Medicare-eligible beneficiaries paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C. ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. 108-375).

**RETIRED PAY**

For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose; payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans; payment for career status bonuses, payment of continuation pay under section 356 of title 37 United States Code, concurrent receipts, combat-related special compensation, as authorized by law; and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, \$1,802,309,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0602-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Retired Pay .....	1,678	1,855

**U.S. COAST GUARD HOUSING SPECIAL FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-5710-0-2-403	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	18	23
Receipts:			
Current law:			
1130	Sale of Real Property, U.S. Coast Guard Housing Special Fund .....	5	2
2000	Total: Balances and receipts .....	23	25
5099	Balance, end of year .....	23	25

The Housing Fund, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Coast Guard. The funds are available for the purposes of 14 U.S.C. ch. 18,

U.S. COAST GUARD HOUSING SPECIAL FUND—Continued

with regard to the Procurement, Construction, and Improvements of military family housing and military unaccompanied housing.

ABANDONED SEAFARERS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5677-0-2-403	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	2	3	7
Receipts:			
Current law:			
1110 Penalties, Abandoned Seafarers Fund	1	4	5
2000 Total: Balances and receipts	3	7	12
5099 Balance, end of year	3	7	12

SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 070-4535-0-4-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Supply Fund (Reimbursable)	81	148	125
0900 Total new obligations, unexpired accounts (object class 26.0)	81	148	125
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	23	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	39	23	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	65	125	125
1930 Total budgetary resources available	104	148	125
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	27	4
3010 New obligations, unexpired accounts	81	148	125
3020 Outlays (gross)	-60	-171	-125
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	27	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	27	4
3200 Obligated balance, end of year	27	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	65	125	125
Outlays, gross:			
4010 Outlays from new discretionary authority	52	125	125
4011 Outlays from discretionary balances	8	46	
4020 Outlays, gross (total)	60	171	125
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-65	-125	-125
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-5	46	

The Supply Fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from the sale of goods.

YARD FUND

Program and Financing (in millions of dollars)

Identification code 070-4743-0-4-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Shipyard activities	112	211	170
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	78	61	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	94	150	170
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	95	150	170
1930 Total budgetary resources available	173	211	170
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	26	62
3010 New obligations, unexpired accounts	112	211	170
3020 Outlays (gross)	-111	-175	-170
3050 Unpaid obligations, end of year	26	62	62
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	18	54
3200 Obligated balance, end of year	18	54	54
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	95	150	170
Outlays, gross:			
4010 Outlays from new discretionary authority	66	150	170
4011 Outlays from discretionary balances	45	25	
4020 Outlays, gross (total)	111	175	170
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-94	-150	-170
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4080 Outlays, net (discretionary)	17	25	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	17	25	

The Yard Fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C. 648). The Yard Fund finances all direct and indirect costs for its operations out of payments from Coast Guard and other agency appropriations that are placed in the fund.

Object Classification (in millions of dollars)

Identification code 070-4743-0-4-403	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent	36	35	39
11.5 Other personnel compensation	10	10	11
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	47	46	51
12.1 Civilian personnel benefits	13	13	15
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	9	6
25.1 Advisory and assistance services		1	1
25.2 Other services from non-Federal sources	1	4	3
25.4 Operation and maintenance of facilities	2	6	4
25.6 Medical care		1	1
25.7 Operation and maintenance of equipment	1	2	1
26.0 Supplies and materials	38	112	76
31.0 Equipment	6	16	11
99.9 Total new obligations, unexpired accounts	112	211	170

**Employment Summary**

Identification code 070-4743-0-4-403	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	552	604	604
2101 Reimbursable military average strength employment .....	12	12	12

**Trust Funds**

**AQUATIC RESOURCES TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 070-8147-0-7-403	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	678	695	692
Receipts:			
Current law:			
1110 Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund .....	562	568	573
1110 Customs Duties, Aquatic Resources Trust Fund .....	64	66	69
1140 Earnings on Investments, Aquatic Resources Trust Fund .....	24	13	13
1199 Total current law receipts .....	650	647	655
1999 Total receipts .....	650	647	655
2000 Total: Balances and receipts .....	1,328	1,342	1,347
Appropriations:			
Current law:			
2101 Sport Fish Restoration .....	-632	-649	-647
2103 Sport Fish Restoration .....	-30	-29	-28
2103 Boat Safety .....	-8	-7	-7
2103 Coastal Wetlands Restoration Trust Fund .....	-5	-5	-5
2132 Sport Fish Restoration .....	29	28	
2132 Boat Safety .....	8	7	
2132 Coastal Wetlands Restoration Trust Fund .....	5	5	
2199 Total current law appropriations .....	-633	-650	-687
2999 Total appropriations .....	-633	-650	-687
5099 Balance, end of year .....	695	692	660

**Program and Financing** (in millions of dollars)

Identification code 070-8147-0-7-403	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	1,922	1,946	1,953
5001 Total investments, EOY: Federal securities: Par value .....	1,946	1,953	1,963

The Internal Revenue Code of 1986, as amended by the Transportation Equity Act for the 21st Century and the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

**BOAT SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 070-8149-0-7-403	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 State recreational boating safety programs .....	107	115	109
0002 Compliance and boating programs .....	8	8	8
0900 Total new obligations, unexpired accounts .....	115	123	117

**Budgetary resources:**

1000 Unobligated balance brought forward, Oct 1 .....	4	6	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	7	6	
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	8	7	7
1221 Appropriations transferred from other acct [014-8151] ...	114	117	117
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-8	-7	
1260 Appropriations, mandatory (total) .....	114	117	124
1930 Total budgetary resources available .....	121	123	124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6		7

**Change in obligated balance:**

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	109	97	107
3010 New obligations, unexpired accounts .....	115	123	117
3020 Outlays (gross) .....	-124	-113	-112
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	97	107	112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	109	97	107
3200 Obligated balance, end of year .....	97	107	112

**Budget authority and outlays, net:**

4090 Mandatory:			
Budget authority, gross .....	114	117	124
Outlays, gross:			
4100 Outlays from new mandatory authority .....	46	49	56
4101 Outlays from mandatory balances .....	78	64	56
4110 Outlays, gross (total) .....	124	113	112
4180 Budget authority, net (total) .....	114	117	124
4190 Outlays, net (total) .....	124	113	112

The Boat Safety account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (P.L. 109-59), the Boat Safety program receives 17.3 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

**Object Classification** (in millions of dollars)

Identification code 070-8149-0-7-403	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	109	117	111
99.9 Total new obligations, unexpired accounts .....	115	123	117

**Employment Summary**

Identification code 070-8149-0-7-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	18	19	19

**TRUST FUND SHARE OF EXPENSES**

**Program and Financing** (in millions of dollars)

Identification code 070-8314-0-7-304	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Operating expenses .....	45	24	24
0002 Acquisition, construction and improvements .....		20	20
0003 Research, development, test and evaluation .....		1	1
0900 Total new obligations, unexpired accounts (object class 94.0) .....	45	45	45

TRUST FUND SHARE OF EXPENSES—Continued  
Program and Financing—Continued

Identification code 070-8314-0-7-304	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101	45	45	45
1930	45	45	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	45	45	45
3020	-45	-45	-45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	45	45	45
Outlays, gross:			
4010	45	45	45
4180	45	45	45
4190	45	45	45

The Trust Fund Share of Expenses account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts including: Operations and Support; Procurement, Construction, and Improvements; and Research and Development.

## GENERAL GIFT FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8533-0-7-403	2018 actual	2019 est.	2020 est.
0100			
Balance, start of year			
Receipts:			
Current law:			
1130	2	3	3
2000	2	3	3
Total: Balances and receipts			
Appropriations:			
Current law:			
2101	-2	-3	-3
5099			
Balance, end of year			

## Program and Financing (in millions of dollars)

Identification code 070-8533-0-7-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	2	5	3
0900	2	5	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	2	2	
Budget authority:			
Appropriations, mandatory:			
1201	2	3	3
1930	4	5	3
Total budgetary resources available			
Memorandum (non-add) entries:			
1941	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			2
3010	2	5	3
3020	-2	-3	-3
3050		2	2
Unpaid obligations, end of year			
Memorandum (non-add) entries:			
3100			2
3200		2	2
Obligated balance, end of year			
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	2	3	3

Outlays, gross:			
4100	2	3	3
4180	2	3	3
4190	2	3	3

Memorandum (non-add) entries:			
5000	1	1	1
5001	1	1	1

The General Gift Fund, maintained from gifts, devises or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

## OIL SPILL LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8185-0-7-304	2018 actual	2019 est.	2020 est.
0100	5,497	6,308	6,612
0198	-1		
0199	5,496	6,308	6,612
Balance, start of year			
Receipts:			
Current law:			
1110	503	146	
1110	299	90	89
1130	80	53	58
1140	85	219	246
1199	967	508	393
Total current law receipts			
Proposed:			
1210			511
1240			9
1299			520
1999	967	508	913
2000	6,463	6,816	7,525
Total: Balances and receipts			
Appropriations:			
Current law:			
2101	-15	-15	-13
2101	-18	-18	-16
2101	-23	-23	-22
2101	-45	-45	-45
2101	-62	-101	-101
2101	-2	-2	-2
2103	-7	-6	-6
2132	7	6	
2199	-165	-204	-205
Total current law appropriations			
Proposed:			
2201			2
2999	-165	-204	-203
5098	10		
5099	6,308	6,612	7,322
Balance, end of year			

## Program and Financing (in millions of dollars)

Identification code 070-8185-0-7-304	2018 actual	2019 est.	2020 est.
4180			
Budget authority, net (total)			
4190			
Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5000	5,672	6,557	6,773
5001	6,557	6,773	6,824

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported. The Energy Improvement and Extension Act of 2008 (P.L. 110-343) increased the tax rate to nine cents on each

barrel of oil for the period January 1, 2017 through December 31, 2017 when the tax expired. The Bipartisan Budget Act of 2018 (P.L. 115-123) reinstated the tax effective March 1, 2018, and the tax expired on December 31, 2018. The Budget proposes to reinstate the Oil Spill Liability Trust Fund excise tax.

**Status of Funds** (in millions of dollars)

Identification code 070-8185-0-7-304	2018 actual	2019 est.	2020 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	5,752	6,578	6,876
0999 Total balance, start of year	5,752	6,578	6,876
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Excise Taxes, Oil Spill Liability Trust Fund	503	146	
1110 Fines and Penalties, OSLTF	299	90	89
1130 Inland Oil Spill Programs			10
1130 Recoveries, Oil Spill Liability Trust Fund	80	53	58
1150 Earnings on Investments	85	219	246
1160 Inland Oil Spill Programs	4	11	
1199 Income under present law	971	519	414
<b>Proposed:</b>			
1210 Excise Taxes, Oil Spill Liability Trust Fund			511
1250 Earnings on Investments			9
1299 Income proposed			520
1999 Total cash income	971	519	934
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Oil Spill Research [010-22-8370-0]	-13	-16	-14
2100 Inland Oil Spill Programs [020-00-8221-0]	-24	-28	-38
2100 Trust Fund Share of Pipeline Safety [021-50-8121-0]	-20	-23	-24
2100 Trust Fund Share of Expenses [024-60-8314-0]	-45	-45	-45
2100 Maritime Oil Spill Programs [024-60-8349-0]	-40	-104	-103
2100 Denali Commission Trust Fund [513-00-8056-0]	-2	-5	-5
2199 Outgo under current law	-144	-221	-229
<b>Proposed:</b>			
2200 Denali Commission Trust Fund			1
2299 Outgo under proposed legislation			1
2999 Total cash outgo (-)	-144	-221	-228
<b>Surplus or deficit:</b>			
3110 Excluding interest	742	79	451
3120 Interest	85	219	255
3199 Subtotal, surplus or deficit	827	298	706
3298 Rounding adjustment	-1		
3299 Total adjustments	-1		
3999 Total change in fund balance	826	298	706
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year	21	103	247
4200 Oil Spill Liability Trust Fund	6,557	6,773	6,824
4200 Oil Spill Liability Trust Fund			511
4999 Total balance, end of year	6,578	6,876	7,582

**OIL SPILL LIABILITY TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-8185-2-7-304	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value			511

**MARITIME OIL SPILL PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 070-8349-0-7-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Emergency fund	25	56	56
0002 Payment of claims	11	50	50
0003 Prince William Sound Oil Spill Recovery Institute	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	37	107	107
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	151	178	172
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	153	178	172
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	62	101	101
1203 Appropriation (previously unavailable)	7	6	6
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-7	-6	
1260 Appropriations, mandatory (total)	62	101	107
1900 Budget authority (total)	62	101	107
1930 Total budgetary resources available	215	279	279
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	178	172	172
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	48	43	46
3010 New obligations, unexpired accounts	37	107	107
3020 Outlays (gross)	-40	-104	-103
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	43	46	50
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	48	43	46
3200 Obligated balance, end of year	43	46	50
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross	62	101	107
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	26	68	69
4101 Outlays from mandatory balances	14	36	34
4110 Outlays, gross (total)	40	104	103
4180 Budget authority, net (total)	62	101	107
4190 Outlays, net (total)	40	104	103

The Maritime Oil Spill Programs account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

**UNITED STATES SECRET SERVICE**

*Federal Funds*

OPERATIONS AND SUPPORT

*For necessary expenses of the United States Secret Service for operations and support, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; rental of buildings in the District of Columbia; fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; conduct of and participation in firearms matches; presentation of awards; conduct of behavioral research in support of protective intelligence and operations; payment in advance for commercial accommodations as may be necessary to perform protective functions; and payment, without regard to section 5702 of title 5, United*

OPERATIONS AND SUPPORT—Continued

States Code, of subsistence expenses of employees who are on protective missions, whether at or away from their duty stations; \$2,241,733,000; of which \$39,763,000 shall remain available until September 30, 2021; of which not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in criminal investigations within the jurisdiction of the United States Secret Service; and of which \$6,000,000 shall be for a grant for activities related to investigations of missing and exploited children; Provided, That not to exceed \$19,125 shall be for official reception and representation expenses: Provided further, That funding may be used for calendar year 2019 premium pay in excess of the annual equivalent of the limitation on the rate of pay contained in section 5547(a) of title 5, United States Code, pursuant to section 2 of the Overtime Pay for Protective Services Act of 2016 (5 U.S.C. 5547 note), as amended by Public Law 115–383.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070–0400–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0013 CAS - Mission Support .....	416	420	475
0014 CAS - Protective Operations .....	793	811	1,011
0015 CAS - Field Operations .....	624	621	645
0016 CAS - Basic and In-Service Training and Professional Development .....	64	64	110
0799 Total direct obligations .....	1,897	1,916	2,241
0801 Operating Expenses (Reimbursable) .....	25	25	25
0900 Total new obligations, unexpired accounts .....	1,922	1,941	2,266
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	51	45
1010 Unobligated balance transfer to other accts [070–0540] .....	–2		
1011 Unobligated balance transfer from other acct [070–0550] .....	1		
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1050 Unobligated balance (total) .....	50	51	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,916	1,916	2,242
1121 Appropriations transferred from other acct [070–0550] .....	1		
1160 Appropriations, discretionary (total) .....	1,917	1,916	2,242
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	19	18
1701 Change in uncollected payments, Federal sources .....	27		
1750 Spending auth from offsetting collections, disc (total) .....	34	19	18
1900 Budget authority (total) .....	1,951	1,935	2,260
1930 Total budgetary resources available .....	2,001	1,986	2,305
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–28		
1941 Unexpired unobligated balance, end of year .....	51	45	39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	446	495	504
3010 New obligations, unexpired accounts .....	1,922	1,941	2,266
3011 Obligations ("upward adjustments"), expired accounts .....	23		
3020 Outlays (gross) .....	–1,859	–1,932	–2,198
3041 Recoveries of prior year unpaid obligations, expired .....	–37		
3050 Unpaid obligations, end of year .....	495	504	572
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–22	–42	–42
3070 Change in uncollected pymts, Fed sources, unexpired .....	–27		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	–42	–42	–42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	424	453	462
3200 Obligated balance, end of year .....	453	462	530
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,951	1,935	2,260
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,584	1,528	1,771
4011 Outlays from discretionary balances .....	266	393	416

4020	Outlays, gross (total) .....	1,850	1,921	2,187
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–14	–19	–18
4040	Offsets against gross budget authority and outlays (total) ....	–14	–19	–18
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–27		
4052	Offsetting collections credited to expired accounts .....	7		
4060	Additional offsets against budget authority only (total) .....	–20		
4070	Budget authority, net (discretionary) .....	1,917	1,916	2,242
4080	Outlays, net (discretionary) .....	1,836	1,902	2,169
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	9	11	11
4180	Budget authority, net (total) .....	1,917	1,916	2,242
4190	Outlays, net (total) .....	1,845	1,913	2,180

The United States Secret Service has statutory authority to carry out two primary missions: protection of the Nation's leaders and investigation of financial and electronic crimes. The Secret Service protects and investigates threats against the President and Vice President, their families, visiting heads of state and government, and other individuals as directed by the President; protects the White House Complex, Vice President's Residence, foreign missions, and other buildings within Washington, D.C.; and manages the security at designated National Special Security Events. The Secret Service also investigates violations of laws relating to counterfeiting of obligations and securities of the United States; financial crimes that include, but are not limited to, access device fraud, financial institution fraud, identity theft, and computer fraud; and computer-based attacks on financial, banking, telecommunications, and other critical infrastructure. Within Secret Service, the Operations and Support appropriation funds necessary operations, mission support, and associated management and administration costs.

Object Classification (in millions of dollars)

Identification code 070–0400–0–1–751	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	717	667	741
11.3	Other than full-time permanent .....	6	12	13
11.5	Other personnel compensation .....	220	209	236
11.9	Total personnel compensation .....	943	888	990
12.1	Civilian personnel benefits .....	412	452	469
21.0	Travel and transportation of persons .....	108	127	196
22.0	Transportation of things .....	7	18	10
23.1	Rental payments to GSA .....	104	99	105
23.2	Rental payments to others .....	5	5	5
23.3	Communications, utilities, and miscellaneous charges .....	37	29	50
25.2	Other services from non-Federal sources .....	166	141	234
25.3	Other goods and services from Federal sources .....			10
25.7	Operation and maintenance of equipment .....			2
26.0	Supplies and materials .....	23	46	50
31.0	Equipment .....	67	103	112
32.0	Land and structures .....	4	1	2
41.0	Grants, subsidies, and contributions .....	6	6	6
42.0	Insurance claims and indemnities .....	15	1	
99.0	Direct obligations .....	1,897	1,916	2,241
99.0	Reimbursable obligations .....	25	25	25
99.9	Total new obligations, unexpired accounts .....	1,922	1,941	2,266

Employment Summary

Identification code 070–0400–0–1–751	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	7,235	7,235	7,777

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

Program and Financing (in millions of dollars)

Identification code 070-0405-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0304 Mandatory-DC Annuity .....	302	265	265
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	54	17	17
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	265	265	265
1930 Total budgetary resources available .....	319	282	282
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		22	33
3010 New obligations, unexpired accounts .....	302	265	265
3020 Outlays (gross) .....	-280	-254	-265
3050 Unpaid obligations, end of year .....	22	33	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		22	33
3200 Obligated balance, end of year .....	22	33	33
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	265	265	265
Outlays, gross:			
4100 Outlays from new mandatory authority .....	237	232	243
4101 Outlays from mandatory balances .....	43	22	22
4110 Outlays, gross (total) .....	280	254	265
4180 Budget authority, net (total) .....	265	265	265
4190 Outlays, net (total) .....	280	254	265

This account provides the Secret Service funding for contributions to the District of Columbia's Police and Firefighters Retirement Plan (DC Annuity).

Object Classification (in millions of dollars)

Identification code 070-0405-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	216	191	191
12.1 Civilian personnel benefits .....	86	74	74
99.9 Total new obligations, unexpired accounts .....	302	265	265

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the United States Secret Service for procurement, construction, and improvements, \$56,289,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0401-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rowley Training Center .....	1		
0002 Information Integration and Technology Transformation .....	1		
0006 CAS - Protection Infrastructure .....	76	65	55
0007 CAS - Operational Communications/Information Technology .....	30	25	
0008 CAS - Construction and Facility Improvements .....			1
0900 Total new obligations, unexpired accounts .....	108	90	56
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	43	26	26

1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	44	26	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	90	90	56
1930 Total budgetary resources available .....	134	116	82
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	26	26

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	170	213	109
3010 New obligations, unexpired accounts .....	108	90	56
3020 Outlays (gross) .....	-64	-194	-111
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	213	109	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	170	213	109
3200 Obligated balance, end of year .....	213	109	54

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	90	90	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	30	17
4011 Outlays from discretionary balances .....	54	164	94
4020 Outlays, gross (total) .....	64	194	111
4180 Budget authority, net (total) .....	90	90	56
4190 Outlays, net (total) .....	64	194	111

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. This account provides necessary funding and investments needed to support the Secret Service's protective and investigation missions.

Object Classification (in millions of dollars)

Identification code 070-0401-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	55	37	29
26.0 Supplies and materials .....	3		
31.0 Equipment .....	48	53	27
32.0 Land and structures .....	2		
99.9 Total new obligations, unexpired accounts .....	108	90	56

RESEARCH AND DEVELOPMENT

For necessary expenses of the United States Secret Service for research and development, \$10,955,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0804-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Protection .....	1		11
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1		11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			11
1930 Total budgetary resources available .....	1		11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	1		11

RESEARCH AND DEVELOPMENT—Continued  
Program and Financing—Continued

Identification code 070-0804-0-1-751	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-1	.....	-9
3050 Unpaid obligations, end of year .....	2	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	.....	.....	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	.....	9
4011 Outlays from discretionary balances .....	1	.....	.....
4020 Outlays, gross (total) .....	1	.....	9
4180 Budget authority, net (total) .....	.....	.....	11
4190 Outlays, net (total) .....	1	.....	9

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products, processes, or capabilities. This account provides support to the Secret Service's protective and investigative missions.

ADMINISTRATIVE PROVISIONS

SEC. 201. (a) For fiscal year 2020, the overtime limitation prescribed in section 5(c)(1) of the Act of February 13, 1911 (19 U.S.C. 267(c)(1)) shall be \$45,000; and notwithstanding any other provision of law, none of the funds appropriated by this Act shall be available to compensate any employee of U.S. Customs and Border Protection for overtime, from whatever source, in an amount that exceeds such limitation, except in individual cases determined by the Secretary of Homeland Security, or the designee of the Secretary, to be necessary for national security purposes, to prevent excessive costs, or in cases of immigration emergencies; and

(b) None of the funds made available by this Act for the following accounts shall be available to compensate any employee for overtime in an annual amount in excess of \$45,000:

(1) "U.S. Immigration and Customs Enforcement—Operations and Support", except that the Secretary of Homeland Security, or the designee of the Secretary, may waive such amount as necessary for national security purposes and in cases of immigration emergencies; and

(2) "United States Secret Service—Operations and Support", except that the Secretary of Homeland Security, or the designee of the Secretary, may waive such amount as necessary for national security purposes.

SEC. 202. As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112-42) and section 32201 of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94), fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

SEC. 203. For an additional amount for "U.S. Customs and Border Protection—Operations and Support", \$20,000,000, to remain available until expended, to be reduced by amounts collected and credited to this appropriation in fiscal year 2020 from amounts authorized to be collected by section 286(i) of the Immigration and Nationality Act (8 U.S.C. 1356(i)), section 10412 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8311), and section 817 of the Trade Facilitation and Trade Enforcement Act of 2015 (Public Law 114-125): Provided, That to the extent that amounts realized from such collections exceed \$20,000,000, those amounts in excess of \$20,000,000 shall be credited to this appropriation, to remain available until expended.

SEC. 204. Without regard to the limitation as to time and condition of section 503(d) of this Act, the Secretary may reprogram and transfer funds within and into "U.S. Immigration and Customs Enforcement—Operations and Support" as necessary to ensure the detention of aliens prioritized for removal.

SEC. 205. None of the funds provided under the heading "U.S. Immigration and Customs Enforcement—Operations and Support" may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security

Inspector General determines that the terms of the agreement governing the delegation of authority have been materially violated.

SEC. 206. None of the funds provided under the heading "U.S. Immigration and Customs Enforcement—Operations and Support" may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system.

SEC. 207. Members of the United States House of Representatives and the United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening.

SEC. 208. Any award by the Transportation Security Administration to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness.

SEC. 209. Notwithstanding section 44923 of title 49, United States Code, for fiscal year 2020, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a) of such title.

SEC. 210. None of the funds made available by this Act under the heading "Coast Guard—Operations and Support" shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to the appropriation made available by this Act under the heading "Coast Guard—Operations and Support": Provided, That to the extent such fees are insufficient to pay expenses of recreational vessel documentation under such section 12114, and there is a backlog of recreational vessel applications, then personnel performing non-recreational vessel documentation functions under subchapter II of chapter 121 of title 46, United States Code, may perform documentation under section 12114.

SEC. 211. Without regard to the limitation as to time and condition of section 503(d) of this Act, after June 30, up to \$10,000,000 may be reprogrammed to or from the Military Pay and Allowances funding category within "Coast Guard—Operations and Support" in accordance with subsection (a) of section 503.

SEC. 212. Notwithstanding the first proviso under the heading "Acquisition, Construction, and Improvements" in title II of division F of the Consolidated Appropriations Act, 2016 (Public Law 114-113), amounts available under such heading for the production of the ninth National Security Cutter may be used for any costs incurred by and on behalf of the National Security Cutter program and shall remain available until September 30, 2020.

SEC. 213. The United States Secret Service is authorized to obligate funds in anticipation of reimbursements from Federal agencies and entities, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under the heading "United States Secret Service—Operations and Support" at the end of the fiscal year.

SEC. 214. None of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: Provided, That the Director of the Secret Service may enter into agreements to provide such protection on a fully reimbursable basis.

SEC. 215. For purposes of section 503(a)(3) of this Act, \$15,000,000 may be reprogrammed within "United States Secret Service—Operations and Support".

SEC. 216. None of the funds appropriated by this Act for U.S. Immigration and Customs Enforcement shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape or incest: Provided, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 217. None of the funds appropriated by this Act for U.S. Immigration and Customs Enforcement shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 218. Nothing in the preceding section shall remove the obligation of the Assistant Secretary of Homeland Security for U.S. Immigration and Customs Enforcement to provide escort services necessary for a female detainee to receive such service outside the detention facility: Provided, That nothing in this section in any

way diminishes the effect of section 217 intended to address the philosophical beliefs of individual employees of U.S. Immigration and Customs Enforcement.

SEC. 219. Funding made available in this Act for "United States Secret Service—Operations and Support" is available for travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if the Director of the United States Secret Service or a designee notifies the Committees on Appropriations of the Senate and the House of Representatives 10 or more days in advance, or as early as practicable, prior to such expenditures.

SEC. 220. Funding made available under the headings "U.S. Customs and Border Protection—Operations and Support" and "U.S. Customs and Border Protection—Procurement, Construction, and Improvements" shall be available for customs expenses when necessary to maintain operations and prevent adverse personnel actions in Puerto Rico and the U.S. Virgin Islands, in addition to funding provided by 48 U.S.C. 740.

**CYBERSECURITY AND INFRASTRUCTURE SECURITY AGENCY**  
**Federal Funds**

**OPERATIONS AND SUPPORT**

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for operations and support, \$1,278,550,000, of which \$9,055,000 shall remain available until September 30, 2021: Provided, That not to exceed \$3,825 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0566–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 CAS - Mission Support .....	86	78	78
0003 CAS - Cybersecurity .....	797	712	761
0004 CAS - Infrastructure Protection .....	193	206	183
0005 CAS - Emergency Communications .....	118	116	117
0006 CAS - Integrated Operations .....	143	128	141
0007 CAS - Office of Biometric Identity Management .....	218	230	.....
0799 Total direct obligations .....	1,555	1,470	1,280
0801 Reimbursable program activity .....	25	3	.....
0900 Total new obligations, unexpired accounts .....	1,580	1,473	1,280
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	1	14
1010 Unobligated balance transfer to other accts [070–0406] .....	–1	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	6	.....	.....
1021 Recoveries of prior year unpaid obligations .....	51	.....	.....
1050 Unobligated balance (total) .....	77	1	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,482	1,483	1,279
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	3	.....
1701 Change in uncollected payments, Federal sources .....	20	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	26	3	.....
1900 Budget authority (total) .....	1,508	1,486	1,279
1930 Total budgetary resources available .....	1,585	1,487	1,293
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–4	.....	.....
1941 Unexpired unobligated balance, end of year .....	1	14	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	758	962	1,237
3010 New obligations, unexpired accounts .....	1,580	1,473	1,280
3011 Obligations ("upward adjustments"), expired accounts .....	5	.....	.....
3020 Outlays (gross) .....	–1,325	–1,198	–1,098
3040 Recoveries of prior year unpaid obligations, unexpired .....	–51	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–5	.....	.....
3050 Unpaid obligations, end of year .....	962	1,237	1,419

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–22	–29	–29
3070	Change in uncollected pymts, Fed sources, unexpired .....	–20	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	13	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	–29	–29	–29
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	736	933	1,208
3200	Obligated balance, end of year .....	933	1,208	1,390
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,508	1,486	1,279
Outlays, gross:				
4010	Outlays from new discretionary authority .....	706	742	640
4011	Outlays from discretionary balances .....	619	456	458
4020	Outlays, gross (total) .....	1,325	1,198	1,098
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–18	–3	.....
4033	Non-Federal sources .....	–3	.....	.....
4040	Offsets against gross budget authority and outlays (total) ....	–21	–3	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–20	.....	.....
4052	Offsetting collections credited to expired accounts .....	15	.....	.....
4060	Additional offsets against budget authority only (total) .....	–5	.....	.....
4070	Budget authority, net (discretionary) .....	1,482	1,483	1,279
4080	Outlays, net (discretionary) .....	1,304	1,195	1,098
4180	Budget authority, net (total) .....	1,482	1,483	1,279
4190	Outlays, net (total) .....	1,304	1,195	1,098

The Cybersecurity and Infrastructure Security Agency (CISA) leads efforts to protect the Nation's critical infrastructure against cyber and physical threats, including terrorist attacks, cyber incidents, natural disasters, and other catastrophic incidents. The Operations and Support Account funds necessary operations, mission support, and associated management and administration costs.

**Object Classification** (in millions of dollars)

Identification code 070–0566–0–1–999	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	236	252	247
11.3	Other than full-time permanent .....	6	6	6
11.5	Other personnel compensation .....	6	5	6
11.9	Total personnel compensation .....	248	263	259
12.1	Civilian personnel benefits .....	92	84	72
21.0	Travel and transportation of persons .....	11	14	12
22.0	Transportation of things .....	1	3	2
23.1	Rental payments to GSA .....	24	13	11
23.2	Rental payments to others .....	1	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1	12	10
25.1	Advisory and assistance services .....	560	466	405
25.2	Other services from non-Federal sources .....	27	36	30
25.3	Other goods and services from Federal sources .....	276	313	258
25.4	Operation and maintenance of facilities .....	6	7	4
25.5	Research and development contracts .....	15	.....	.....
25.7	Operation and maintenance of equipment .....	181	220	186
26.0	Supplies and materials .....	.....	6	4
31.0	Equipment .....	81	15	11
32.0	Land and structures .....	11	5	3
41.0	Grants, subsidies, and contributions .....	20	14	11
99.0	Direct obligations .....	1,555	1,473	1,280
99.0	Reimbursable obligations .....	25	.....	.....
99.9	Total new obligations, unexpired accounts .....	1,580	1,473	1,280

**Employment Summary**

Identification code 070–0566–0–1–999	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	2,008	2,100	2,200

INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY—Continued

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070–0565–0–1–054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Infrastructure Protection and Information Security (Direct) .....	1		
0900 Total new obligations, unexpired accounts (object class 25.3) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
1930 Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	481	158	
3010 New obligations, unexpired accounts .....	1		
3020 Outlays (gross) .....	–286	–158	
3041 Recoveries of prior year unpaid obligations, expired .....	–38		
3050 Unpaid obligations, end of year .....	158		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–1	–1
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	479	157	–1
3200 Obligated balance, end of year .....	157	–1	–1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	286	158	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1		
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) ....	–2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	2		
4080 Outlays, net (discretionary) .....	284	158	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	284	158	

FEDERAL PROTECTIVE SERVICE

The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070–0542–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0802 CAS - FPS Operations .....	399	359	389
0803 CAS - Countermeasures .....	1,140	1,168	1,172
0804 Protective Security Officers .....	13		
0900 Total new obligations, unexpired accounts .....	1,552	1,527	1,561
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	128	313	590
1021 Recoveries of prior year unpaid obligations .....	53	20	20
1033 Recoveries of prior year paid obligations .....	3	3	
1050 Unobligated balance (total) .....	184	336	610

Budget authority:

Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,444	1,524	1,560
1701 Change in uncollected payments, Federal sources .....	237	257	
1750 Spending auth from offsetting collections, disc (total) .....	1,681	1,781	1,560
1930 Total budgetary resources available .....	1,865	2,117	2,170
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	313	590	609

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	421	461	71
3010 New obligations, unexpired accounts .....	1,552	1,527	1,561
3020 Outlays (gross) .....	–1,459	–1,897	–1,604
3040 Recoveries of prior year unpaid obligations, unexpired .....	–53	–20	–20
3050 Unpaid obligations, end of year .....	461	71	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–184	–421	–678
3070 Change in uncollected pymts, Fed sources, unexpired .....	–237	–257	
3090 Uncollected pymts, Fed sources, end of year .....	–421	–678	–678
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	237	40	–607
3200 Obligated balance, end of year .....	40	–607	–670

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,681	1,781	1,560
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,204	1,425	1,248
4011 Outlays from discretionary balances .....	255	472	356
4020 Outlays, gross (total) .....	1,459	1,897	1,604
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1,444	–1,524	–1,556
4033 Non-Federal sources .....	–3	–3	–4
4040 Offsets against gross budget authority and outlays (total) ....	–1,447	–1,527	–1,560
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–237	–257	
4053 Recoveries of prior year paid obligations, unexpired accounts .....	3	3	
4060 Additional offsets against budget authority only (total) .....	–234	–254	
4080 Outlays, net (discretionary) .....	12	370	44
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	12	370	44

The Federal Protective Service (FPS) protects Federal facilities and those who occupy them by conducting law enforcement and protective security services, and leveraging access to the intelligence and information resources of Federal, State, local, tribal, territorial, and private sector partners. FPS conducts Facility Security Assessments and recommends appropriate countermeasures, ensures stakeholder threat awareness training, and oversees a large contract for a Protective Security Officer workforce. These services provide a comprehensive risk-based approach to facility protection that allows FPS to prioritize its operations to prevent, detect, assess, respond to, and disrupt criminal and other incidents that endanger the Federal community.

Object Classification (in millions of dollars)

Identification code 070–0542–0–1–804	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	136	131	133
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	17	24	24
11.9 Total personnel compensation .....	156	158	160
12.1 Civilian personnel benefits .....	52	50	51
21.0 Travel and transportation of persons .....	10	9	10
22.0 Transportation of things .....	15	11	12
23.1 Rental payments to GSA .....	27	26	27
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous charges .....	8	22	22
25.1 Advisory and assistance services .....	26	19	20
25.2 Other services from non-Federal sources .....	1,198	1,178	1,204
25.3 Other goods and services from Federal sources .....	8	6	6
25.7 Operation and maintenance of equipment .....	33	32	33
25.8 Subsistence and support of persons .....	1	5	5

26.0	Supplies and materials .....	4	3	3
31.0	Equipment .....	12	4	4
32.0	Land and structures .....	4	4	4
99.9	Total new obligations, unexpired accounts .....	1,552	1,527	1,561

**Employment Summary**

Identification code 070-0542-0-1-804	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,449	1,507	1,507

**OFFICE OF BIOMETRIC IDENTITY MANAGEMENT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0521-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 System development and deployment .....	72	35	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	119	67	32
1021 Recoveries of prior year unpaid obligations .....	20	.....	.....
1050 Unobligated balance (total) .....	139	67	32
1930 Total budgetary resources available .....	139	67	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	67	32	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	153	35
3010 New obligations, unexpired accounts .....	72	35	.....
3020 Outlays (gross) .....	-24	-153	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-20	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	.....	.....
3050 Unpaid obligations, end of year .....	153	35	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	129	152	34
3200 Obligated balance, end of year .....	152	34	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	24	153	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-2	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	2	.....	.....
4080 Outlays, net (discretionary) .....	22	153	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	22	153	.....

**Object Classification** (in millions of dollars)

Identification code 070-0521-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	1	.....
25.2 Other services from non-Federal sources .....	3	2	.....
25.3 Other goods and services from Federal sources .....	1	1	.....
25.5 Research and development contracts .....	1	1	.....
25.7 Operation and maintenance of equipment .....	9	8	.....
31.0 Equipment .....	57	22	.....
99.0 Direct obligations .....	72	35	.....

99.9	Total new obligations, unexpired accounts .....	72	35	.....
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**BIODEFENSE COUNTERMEASURES**

**Program and Financing** (in millions of dollars)

Identification code 070-0714-0-1-551	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	1	1
3041 Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The 2004 Homeland Security Appropriations Act established appropriations for Biodefense Countermeasures through 2013. This program, which the Departments of Homeland Security and Health and Human Services jointly manage, was designed to support the Government's efforts to secure medical countermeasures to strengthen the Nation's preparedness against bioterror attacks by pre-purchasing critically needed vaccines and other countermeasures for biodefense.

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

*For necessary expenses of the Cybersecurity and Infrastructure Security Agency, for procurement, construction, and improvements, \$299,078,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0412-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Cybersecurity .....	289	364	239
0002 CAS - Emergency Communications .....	91	51	51
0003 CAS - Biometric Identity Management .....	19	.....	.....
0004 CAS - Integrated Operations Assets and Infrastructure .....	3	.....	.....
0005 CAS - Infrastructure Protection .....	.....	5	5
0900 Total new obligations, unexpired accounts .....	402	420	295
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	218	266	260
1010 Unobligated balance transfer to other accts [070-0540] .....	-3	.....	.....
1021 Recoveries of prior year unpaid obligations .....	39	.....	.....
1050 Unobligated balance (total) .....	254	266	260
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	414	414	299
1930 Total budgetary resources available .....	668	680	559
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	266	260	264

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	388	335
3010 New obligations, unexpired accounts .....	402	420	295
3020 Outlays (gross) .....	-171	-473	-363
3040 Recoveries of prior year unpaid obligations, unexpired .....	-39	.....	.....
3050 Unpaid obligations, end of year .....	388	335	267
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	388	335
3200 Obligated balance, end of year .....	388	335	267

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued  
Program and Financing—Continued

Identification code 070-0412-0-1-999	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	414	414	299
Outlays, gross:			
4010 Outlays from new discretionary authority	19	207	150
4011 Outlays from discretionary balances	152	266	213
4020 Outlays, gross (total)	171	473	363
4180 Budget authority, net (total)	414	414	299
4190 Outlays, net (total)	171	473	363

Procurement, Construction, and Improvements provides funds necessary for the manufacture, purchase, or enhancement of one or more assets prior to sustainment. This funding supports investments needed to enhance the security and resilience of infrastructure against terrorist attacks, cyber events, and natural disasters. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. This includes activities to understand and manage risk from natural disaster.

Object Classification (in millions of dollars)

Identification code 070-0412-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.1 Rental payments to GSA		1	1
23.2 Rental payments to others		1	1
25.1 Advisory and assistance services	103	175	119
25.2 Other services from non-Federal sources	2		
25.3 Other goods and services from Federal sources	265	93	64
25.4 Operation and maintenance of facilities		2	2
25.7 Operation and maintenance of equipment	2	126	88
31.0 Equipment	30	21	19
32.0 Land and structures		1	1
99.9 Total new obligations, unexpired accounts	402	420	295

RESEARCH AND DEVELOPMENT

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for research and development, \$30,522,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0805-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Cybersecurity	7	5	24
0002 CAS - Infrastructure Protection		6	2
0003 CAS - Integrated Operations R&D		4	5
0900 Total new obligations, unexpired accounts	7	15	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	15	31
1930 Total budgetary resources available	15	23	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	6
3010 New obligations, unexpired accounts	7	15	31
3020 Outlays (gross)	-6	-15	-25
3050 Unpaid obligations, end of year	6	6	12

Memorandum (non-add) entries:

3100 Obligated balance, start of year	5	6	6
3200 Obligated balance, end of year	6	6	12

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	15	15	31
Outlays, gross:			
4010 Outlays from new discretionary authority	1	9	19
4011 Outlays from discretionary balances	5	6	6
4020 Outlays, gross (total)	6	15	25
4180 Budget authority, net (total)	15	15	31
4190 Outlays, net (total)	6	15	25

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved products, processes, or capabilities. These resources fund capability development in support of the Cybersecurity and Infrastructure Security Agency's (CISA) cybersecurity, infrastructure security, and analytics initiatives.

Object Classification (in millions of dollars)

Identification code 070-0805-0-1-054	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services	5	10	27
25.2 Other services from non-Federal sources		1	1
25.3 Other goods and services from Federal sources		3	2
25.5 Research and development contracts	2	1	1
99.9 Total new obligations, unexpired accounts	7	15	31

OFFICE OF HEALTH AFFAIRS

Federal Funds

OPERATIONS AND SUPPORT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0117-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 CAS - Mission Support	27	27	
0003 CAS - Chemical and Biological Readiness	83	82	
0004 CAS - Health and Medical Readiness	5	5	
0005 CAS - Integrated Operations	8	8	
0799 Total direct obligations	123	122	
0801 Reimbursable program (Sched. O-2118)	47	47	
0900 Total new obligations, unexpired accounts	170	169	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	5
1011 Unobligated balance transfer from other acct [070-0860]	2		
1050 Unobligated balance (total)	6	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	122	122	
1120 Appropriations transferred to other acct [070-0540]	-1		
1121 Appropriations transferred from other acct [070-0411]	1		
1160 Appropriation, discretionary (total)	122	122	
Spending authority from offsetting collections, discretionary:			
1700 Collected	33	47	
1701 Change in uncollected payments, Federal sources	15		
1750 Spending auth from offsetting collections, disc (total)	48	47	
1900 Budget authority (total)	170	169	
1930 Total budgetary resources available	176	174	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	5	5	5

FEDERAL EMERGENCY MANAGEMENT AGENCY

Federal Funds

FEDERAL ASSISTANCE

For necessary expenses of the Federal Emergency Management Agency for Federal assistance through grants, contracts, cooperative agreements, and other activities, \$2,480,015,000, as follows:

(1) \$331,939,000 for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605); Provided, That notwithstanding subsection (c)(4) of such section 2004, for fiscal year 2020, the Commonwealth of Puerto Rico shall make available to local and tribal governments amounts provided to the Commonwealth of Puerto Rico under this paragraph in accordance with subsection (c)(1) of such section 2004;

(2) \$426,461,000 for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604);

(3) \$36,358,000 for Public Transportation Security Assistance and Railroad Security Assistance under sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1135 and 1163); Provided, That such public transportation security assistance shall be provided directly to public transportation agencies;

(4) \$36,358,000 for Port Security Grants in accordance with 46 U.S.C. 70107;

(5) \$430,350,000, to remain available until September 30, 2021, for the National Priorities Security Grant Program to provide financial assistance on a competitive basis to non-Federal entities to address specific, existing, and emerging threats as identified and prioritized by the Secretary through the Administrator;

(6) \$688,688,000, to remain available until September 30, 2021, of which \$344,344,000 shall be for Assistance to Firefighter Grants and \$344,344,000 shall be for Staffing for Adequate Fire and Emergency Response Grants under sections 33 and 34 respectively of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229 and 2229a);

(7) \$279,335,000 for emergency management performance grants under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), 6 U.S.C. 762, and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.);

(8) \$100,000,000 for necessary expenses for Flood Hazard Mapping and Risk Analysis, in addition to and to supplement any other sums appropriated under the National Flood Insurance Fund, and such additional sums as may be provided by States or other political subdivisions for cost-shared mapping activities under 42 U.S.C. 4101(f)(2), to remain available until expended; and

(9) \$150,526,000 to sustain current operations for training, exercises, technical assistance, and for other programs.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	124	113	70
3010	New obligations, unexpired accounts .....	170	169	.....
3011	Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-179	-212	-57
3041	Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050	Unpaid obligations, end of year .....	113	70	13
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-17	-17
3070	Change in uncollected pymts, Fed sources, unexpired .....	-15	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	15	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	107	96	53
3200	Obligated balance, end of year .....	96	53	-4

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	170	169	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	83	110	.....
4011	Outlays from discretionary balances .....	96	102	57
4020	Outlays, gross (total) .....	179	212	57
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-46	-47	.....
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ....	-47	-47	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-15	.....	.....
4052	Offsetting collections credited to expired accounts .....	14	.....	.....
4060	Additional offsets against budget authority only (total) .....	-1	.....	.....
4070	Budget authority, net (discretionary) .....	122	122	.....
4080	Outlays, net (discretionary) .....	132	165	57
4180	Budget authority, net (total) .....	122	122	.....
4190	Outlays, net (total) .....	132	165	57

In December 2017, the Department established the Countering Weapons of Mass Destruction (CWMD) Office, and core functions performed under the former Office of Health Affairs (OHA) were transferred to CWMD. Starting in FY 2019, funding associated with the Workforce Health and Safety program activities supporting DHS personnel is requested in the Management Directorate's Operations and Support account for the Office of the Chief Human Capital Officer. All other funding associated with the OHA O&S account is requested in CWMD.

Object Classification (in millions of dollars)

Identification code 070-0117-0-1-453	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	10	10	.....
11.8	Special personal services payments .....	4	4	.....
11.9	Total personnel compensation .....	14	14	.....
12.1	Civilian personnel benefits .....	3	3	.....
23.1	Rental payments to GSA .....	4	4	.....
25.1	Advisory and assistance services .....	40	40	.....
25.2	Other services from non-Federal sources .....	1	1	.....
25.3	Other goods and services from Federal sources .....	19	19	.....
26.0	Supplies and materials .....	14	14	.....
41.0	Grants, subsidies, and contributions .....	28	27	.....
99.0	Direct obligations .....	123	122	.....
99.9	Total new obligations, unexpired accounts .....	170	169	.....

Employment Summary

Identification code 070-0117-0-1-453	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	80	80	.....

Program and Financing (in millions of dollars)

Identification code 070-0413-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	CAS - Grants .....	2,833	3,060	1,952
0002	CAS - Education, Training, and Exercises (incl USFA) .....	269	275	151
0799	Total direct obligations .....	3,102	3,335	2,103
0801	Reimbursable program activity .....	2	.....	.....
0900	Total new obligations, unexpired accounts .....	3,104	3,335	2,103
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	838	1,065	1,065
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1050	Unobligated balance (total) .....	839	1,065	1,065
Budget authority:				
Appropriations, discretionary:				
1100	CAS - Grants .....	3,060	3,060	2,329
1100	CAS - Education, Training, and Exercises .....	275	275	151
1160	Appropriation, discretionary (total) .....	3,335	3,335	2,480
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	.....	.....
1900	Budget authority (total) .....	3,336	3,335	2,480
1930	Total budgetary resources available .....	4,175	4,400	3,545
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-6	.....	.....

FEDERAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 070-0413-0-1-999	2018 actual	2019 est.	2020 est.
1941 Unexpired unobligated balance, end of year .....	1,065	1,065	1,442
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,055	4,391	5,568
3010 New obligations, unexpired accounts .....	3,104	3,335	2,103
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-756	-2,158	-2,968
3030 Unpaid obligations transferred to other accts (069-0700) .....	-10		
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	4,391	5,568	4,703
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,054	4,391	5,568
3200 Obligated balance, end of year .....	4,391	5,568	4,703
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,336	3,335	2,480
Outlays, gross:			
4010 Outlays from new discretionary authority .....	120	123	99
4011 Outlays from discretionary balances .....	636	2,035	2,869
4020 Outlays, gross (total) .....	756	2,158	2,968
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
4180 Budget authority, net (total) .....	3,335	3,335	2,480
4190 Outlays, net (total) .....	755	2,158	2,968

Federal Assistance provides monetary and non-monetary support to non-Federal Emergency Management Agency (FEMA) entities. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions, and other Federal support, but does not include amounts received as reimbursement for services rendered to individuals. Through a variety of programs, FEMA provides for grants, training, exercises, and other support to assist Federal agencies, States, territories, and tribal and local jurisdictions to prevent, protect against, mitigate, respond to, and recover from terrorism and natural disasters.

Grants: FEMA provides State and local preparedness grants that focus on building and sustaining the 32 core capabilities associated with the five mission areas described in the National Preparedness Goal. These grants include: 1) the State Homeland Security Grant Program, which supports the implementation of State homeland security strategies to address identified planning, organization, equipment, training, and exercise needs to prevent, protect against, mitigate, respond to, and recover from acts of terrorism and other catastrophic events; 2) the Urban Area Security Initiative, which addresses the unique risk-driven and capabilities-based planning, organization, equipment, training, and exercise needs of high-threat, high-density urban areas based on capability targets identified during the Threat Hazard Identification and Risk Assessment process; 3) the Transit Security Grant Program for public transportation security assistance and railroad security assistance, which supports owners and operators of transit systems, including intra-city bus, commuter bus, ferries, and all forms of passenger rail, to protect critical surface transportation infrastructure and the traveling public from acts of terrorism and to increase the resilience of transit infrastructure; 4) the Port Security Grant Program, which improves port-wide maritime security risk management, enhances maritime domain awareness, supports maritime security training and exercises, and maintains and/or reestablishes maritime security mitigation protocols that support port recovery and resiliency capabilities; 5) National Priorities Security Grant Program, which will contribute to the development of a culture of preparedness through an agile program informed by lessons learned from catastrophic

disasters and terrorist incidents; 6) Firefighter Assistance Grants, including the Assistance to Firefighter Grant and the Staffing for Adequate Fire and Emergency Response grants, which provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack or other major incident; 7) Emergency Management Performance Grants, which provides funding on a formula basis to all 56 States and Territories to achieve target levels of capability in catastrophic planning and emergency management; and, 8) the Flood Hazard Mapping and Risk Analysis program, which drives national actions to reduce flood risk by addressing flood hazard data update needs, supporting local government hazard mitigation planning, and providing the flood risk data needed to manage the NFIP's financial exposure.

Education, Training, and Exercises Programs: FEMA provides specialized training to emergency responders and supports development, execution, and evaluation of exercises to test the Nation's preparedness for all hazards. These programs include: 1) the National Exercise Program, which designs, coordinates, conducts, and evaluates exercises that rigorously test the Nation's ability to perform missions and functions that prevent, protect against, respond to, recover from, and mitigate all hazards; 2) the Center for Domestic Preparedness, which provides specialized all-hazards preparedness training to State, local, and tribal emergency responders on skills tied to national priorities, in particular those related to Weapons of Mass Destruction; 3) the Emergency Management Institute, which provides training to Federal, State, local, tribal, volunteer, public, and private sector officials to strengthen emergency management core competencies, knowledge, and skills, thus improving the nation's capability to prepare for, protect against, respond to, recover from, and mitigate all hazards; and 4) the U.S. Fire Administration, which promotes fire awareness, safety, and risk reduction across communities and prepares the Nation's first responders through ongoing training in evaluating and minimizing community risk, improving protection of critical infrastructure, and preparing to respond to all-hazard emergencies.

Object Classification (in millions of dollars)

Identification code 070-0413-0-1-999	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	31	31	32
11.9 Total personnel compensation .....	31	31	32
12.1 Civilian personnel benefits .....	10	12	11
21.0 Travel and transportation of persons .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	23	8	24
25.2 Other services from non-Federal sources .....	236	248	102
25.4 Operation and maintenance of facilities .....	7	7	8
25.7 Operation and maintenance of equipment .....	2	4	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	4	5
32.0 Land and structures .....			3
41.0 Grants, subsidies, and contributions .....	2,776	3,005	1,899
99.0 Direct obligations .....	3,102	3,332	2,101
99.0 Reimbursable obligations .....	2	2	2
99.5 Adjustment for rounding .....		1	
99.9 Total new obligations, unexpired accounts .....	3,104	3,335	2,103

Employment Summary

Identification code 070-0413-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	329	364	370

OPERATIONS AND SUPPORT

For necessary expenses of the Federal Emergency Management Agency for operations and support, \$1,115,203,000: Provided, That not to exceed \$2,250 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0700–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Mission Support .....	504	476	535
0002 CAS - Regional Operations .....	156	156	163
0003 CAS - Mitigation .....	35	36	38
0004 CAS - Preparedness and Protection .....	132	132	142
0005 CAS - Response and Recovery .....	228	230	237
0799 Total direct obligations .....	1,055	1,030	1,115
0801 Salaries and Expenses (Reimbursable) .....	44	47	47
0900 Total new obligations, unexpired accounts .....	1,099	1,077	1,162
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	25	39
1010 Unobligated balance transfer to other accts [070–0540] .....	–1		
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	1	25	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,089	1,030	1,115
1120 Appropriations transferred to other acct [070–0540] .....	–10		
1160 Appropriation, discretionary (total) .....	1,079	1,030	1,115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	46	61	60
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	50	61	60
1900 Budget authority (total) .....	1,129	1,091	1,175
1930 Total budgetary resources available .....	1,130	1,116	1,214
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–6		
1941 Unexpired unobligated balance, end of year .....	25	39	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	528	517	429
3010 New obligations, unexpired accounts .....	1,099	1,077	1,162
3011 Obligations ("upward adjustments"), expired accounts .....	18		
3020 Outlays (gross) .....	–1,096	–1,165	–1,145
3041 Recoveries of prior year unpaid obligations, expired .....	–32		
3050 Unpaid obligations, end of year .....	517	429	446
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–50	–11	–11
3070 Change in uncollected pymts, Fed sources, unexpired .....	–4		
3071 Change in uncollected pymts, Fed sources, expired .....	43		
3090 Uncollected pymts, Fed sources, end of year .....	–11	–11	–11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	478	506	418
3200 Obligated balance, end of year .....	506	418	435
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,129	1,091	1,175
Outlays, gross:			
4010 Outlays from new discretionary authority .....	749	725	779
4011 Outlays from discretionary balances .....	347	440	366
4020 Outlays, gross (total) .....	1,096	1,165	1,145
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–88	–61	–60
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) .....	–89	–61	–60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–4		
4052 Offsetting collections credited to expired accounts .....	43		
4060 Additional offsets against budget authority only (total) .....	39		
4070 Budget authority, net (discretionary) .....	1,079	1,030	1,115
4080 Outlays, net (discretionary) .....	1,007	1,104	1,085
4180 Budget authority, net (total) .....	1,079	1,030	1,115
4190 Outlays, net (total) .....	1,007	1,104	1,085

Operations and Support funds the Federal Emergency Management Agency's core mission development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State, local, tribal and territorial (SLTT) governments, volunteer organizations and the private sector. Activities supported by this account incorporate the essential command and control functions, mitigate long-term risks, ensure the continuity and restoration of essential services and functions and provide leadership to build, sustain and improve the coordination and delivery of support to citizens and State, local, tribal and territorial governments.

**Object Classification** (in millions of dollars)

Identification code 070–0700–0–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	394	403	408
11.5 Other personnel compensation .....	9	8	8
11.9 Total personnel compensation .....	403	411	416
12.1 Civilian personnel benefits .....	128	134	147
21.0 Travel and transportation of persons .....	13	15	15
23.1 Rental payments to GSA .....	54	57	84
23.2 Rental payments to others .....	3	5	
23.3 Communications, utilities, and miscellaneous charges .....	30	23	23
25.1 Advisory and assistance services .....	76	43	43
25.2 Other services from non-Federal sources .....	189	246	278
25.3 Other goods and services from Federal sources .....	7	11	11
25.4 Operation and maintenance of facilities .....	40	4	4
25.7 Operation and maintenance of equipment .....	11	4	4
26.0 Supplies and materials .....	6	5	5
31.0 Equipment .....	32	19	21
32.0 Land and structures .....	15	15	16
41.0 Grants, subsidies, and contributions .....	48	38	48
99.0 Direct obligations .....	1,055	1,030	1,115
99.0 Reimbursable obligations .....	44	47	47
99.9 Total new obligations, unexpired accounts .....	1,099	1,077	1,162

**Employment Summary**

Identification code 070–0700–0–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3,592	3,659	3,744
2001 Reimbursable civilian full-time equivalent employment .....	16	16	16

**STATE AND LOCAL PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 070–0560–0–1–453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 State and Local Program Grants .....	1		
0004 Education, Training, and Exercises .....	1		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	2
1021 Recoveries of prior year unpaid obligations .....	1	1	
1050 Unobligated balance (total) .....	3	2	2
1930 Total budgetary resources available .....	3	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,138	1,374	234
3010 New obligations, unexpired accounts .....	2		
3011 Obligations ("upward adjustments"), expired accounts .....	6	3	3
3020 Outlays (gross) .....	–1,704	–1,142	–231
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	–1	
3041 Recoveries of prior year unpaid obligations, expired .....	–67		
3050 Unpaid obligations, end of year .....	1,374	234	6

STATE AND LOCAL PROGRAMS—Continued  
Program and Financing—Continued

Identification code 070-0560-0-1-453	2018 actual	2019 est.	2020 est.
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,137	1,374	234
3200 Obligated balance, end of year .....	1,374	234	6
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1,704	1,142	231
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1,704	1,142	231

RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0715-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Radiological Emergency Preparedness .....	38	38	38
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	6	3
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	10	8	5
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	33	34	34
1702 Offsetting collections (previously unavailable) .....	34	33	34
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-33	-34	-34
1750 Spending auth from offsetting collections, disc (total) .....	34	33	34
1930 Total budgetary resources available .....	44	41	39
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	3	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	16	19
3010 New obligations, unexpired accounts .....	38	38	38
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-39	-33	-33
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	16	19	22
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	16	16	19
3200 Obligated balance, end of year .....	16	19	22
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Budget authority, gross:</b>			
4000 Budget authority, gross .....	34	33	34
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	28	19	20
4011 Outlays from discretionary balances .....	11	14	13
4020 Outlays, gross (total) .....	39	33	33
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-3	-2	-2
4033 Non-Federal sources .....	-31	-32	-32
4040 Offsets against gross budget authority and outlays (total) ...	-34	-34	-34
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		

4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	1	-1	
4080 Outlays, net (discretionary) .....	5	-1	-1
4180 Budget authority, net (total) .....	1	-1	
4190 Outlays, net (total) .....	5	-1	-1
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	34	33	34
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	33	34	34
5093 Expired unavailable balance, SOY: Offsetting collections .....	2	2	2
5095 Expired unavailable balance, EOY: Offsetting collections .....	2	2	2

The Radiological Emergency Preparedness Program assists State, local and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year, as authorized in the Administrative Provisions, Sec. 309.

Object Classification (in millions of dollars)

Identification code 070-0715-0-1-453	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	14	14
12.1 Civilian personnel benefits .....	5	6	6
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	14	14	14
99.0 Reimbursable obligations .....	37	38	38
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	38	38	38

Employment Summary

Identification code 070-0715-0-1-453	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	137	165	165

UNITED STATES FIRE ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 070-0564-0-1-453	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	1	
3020 Outlays (gross) .....	-1	-1	
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	1	
3200 Obligated balance, end of year .....	1		
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1	1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	1	

DISASTER RELIEF FUND

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$19,897,684,000, to remain available until expended: Provided, That of the amount provided under this heading, \$19,423,000,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.): Provided further, That the amount in the preceding proviso is designated by the

Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070–0702–0–1–453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Base/Non Major Disasters .....	760	535	475
0004 Disaster Relief .....	26,002	7,366	19,360
0900 Total new obligations, unexpired accounts .....	26,762	7,901	19,835
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,365	28,314	28,885
1010 Unobligated balance transfer to other accts [070–0703] .....		–29	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	2,419	600	600
1033 Recoveries of prior year paid obligations .....	25		
1050 Unobligated balance (total) .....	5,810	28,885	29,485
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,901	7,901	19,898
1100 Supplemental Appropriation for Hurricanes Harvey, Irma, and Maria (P.L. 115–72) .....	18,670		
1100 Supplemental Appropriation for Hurricane Harvey, Irma, and Maria (P.L. 115–123) .....	23,500		
1120 Appropriations transferred to other acct [072–1035] .....	–3		
1120 Appropriations transferred to other acct [070–0703] .....	–820		
1120 Appropriations transferred to other acct [070–0200] .....	–10		
1131 Unobligated balance of appropriations permanently reduced .....			–250
1160 Appropriation, discretionary (total) .....	49,238	7,901	19,648
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	28		
1900 Budget authority (total) .....	49,266	7,901	19,648
1930 Total budgetary resources available .....	55,076	36,786	49,133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28,314	28,885	29,298
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21,909	25,771	19,419
3010 New obligations, unexpired accounts .....	26,762	7,901	19,835
3020 Outlays (gross) .....	–20,481	–13,653	–14,872
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2,419	–600	–600
3050 Unpaid obligations, end of year .....	25,771	19,419	23,782
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21,909	25,771	19,419
3200 Obligated balance, end of year .....	25,771	19,419	23,782
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	49,266	7,901	19,648
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11,964	1,847	2,024
4011 Outlays from discretionary balances .....	8,517	11,806	12,848
4020 Outlays, gross (total) .....	20,481	13,653	14,872
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	–1		
4033 Non-Federal sources .....	–52		
4040 Offsets against gross budget authority and outlays (total) ....	–53		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	25		
4060 Additional offsets against budget authority only (total) .....	25		
4070 Budget authority, net (discretionary) .....	49,238	7,901	19,648
4080 Outlays, net (discretionary) .....	20,428	13,653	14,872
4180 Budget authority, net (total) .....	49,238	7,901	19,648
4190 Outlays, net (total) .....	20,428	13,653	14,872

Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance which includes the repair and reconstruction of State, tribal, territorial, local, and nonprofit infrastructure. Beginning in 2012, section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary spending cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. The BBEDCA, as amended by the FY 2018 Department of Homeland Security Appropriations Act requires funds designated as disaster relief pursuant to the cap adjustment be used for declared major disasters as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The DRF funds requested as an adjustment to the discretionary spending caps consist of four principal components: (1) catastrophic obligations; (2) non-catastrophic obligations; (3) recoveries; and (4) a reserve. Funds required for the catastrophic category, defined as events greater than \$500 million, are based on FEMA spend plans for all past declared catastrophic events and do not include funds for new catastrophic events that may occur in 2020. It is assumed that any new catastrophic event in 2020 will be funded through a future supplemental funding request, as provided for in BBEDCA. The non-catastrophic amount is based on an approach that uses the 10-year average for non-catastrophic events to provide a more realistic projection of non-catastrophic needs in 2020. The recoveries figure represents the estimated amount that FEMA will de-obligate from prior year funds.

The DRF base non-cap adjustment request supports the 10-year average for the costs associated with emergency declarations, pre-disaster surge activities, and fire management assistance grants. The base also includes funds requested for projected yearly disaster readiness and support costs.

**Object Classification** (in millions of dollars)

Identification code 070–0702–0–1–453	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	380	210	233
11.3 Other than full-time permanent .....	269	76	163
11.5 Other personnel compensation .....	216	45	134
11.9 Total personnel compensation .....	865	331	530
12.1 Civilian personnel benefits .....	195	92	121
13.0 Benefits for former personnel .....	6	4	4
21.0 Travel and transportation of persons .....	503	154	375
22.0 Transportation of things .....	479	16	356
23.1 Rental payments to GSA .....	14	18	1
23.2 Rental payments to others .....	118	13	83
23.3 Communications, utilities, and miscellaneous charges .....	73	39	53
24.0 Printing and reproduction .....	3	1	2
25.1 Advisory and assistance services .....	175	54	124
25.2 Other services from non-Federal sources .....	2,433	437	1,821
25.3 Other goods and services from Federal sources .....	7,065	96	69
25.4 Operation and maintenance of facilities .....	134	25	100
25.5 Research and development contracts .....	1		1
25.6 Medical care .....	5		4
25.7 Operation and maintenance of equipment .....	22	4	14
25.8 Subsistence and support of persons .....	85		
26.0 Supplies and materials .....	866	36	650
31.0 Equipment .....	150	187	111
32.0 Land and structures .....		11	1
41.0 Grants, subsidies, and contributions .....	13,569	6,383	15,414
42.0 Insurance claims and indemnities .....	1		1
99.0 Direct obligations .....	26,762	7,901	19,835
99.9 Total new obligations, unexpired accounts .....	26,762	7,901	19,835

**Employment Summary**

Identification code 070–0702–0–1–453	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	10,482	5,183	6,563

Through the Disaster Relief Fund (DRF), the Federal Emergency Management Agency (FEMA) provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies.

FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM

Program and Financing (in millions of dollars)

Identification code 070-0500-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Flood Hazard Mapping and Risk Analysis .....	17	7	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	7	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1050 Unobligated balance (total) .....	24	7	.....
1930 Total budgetary resources available .....	24	7	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	165	83	.....
3010 New obligations, unexpired accounts .....	17	7	.....
3020 Outlays (gross) .....	-88	-90	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	83	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	165	83	.....
3200 Obligated balance, end of year .....	83	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	88	90	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	88	90	.....

Object Classification (in millions of dollars)

Identification code 070-0500-0-1-453	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	11	7	.....
41.0 Grants, subsidies, and contributions .....	6	.....	.....
99.0 Direct obligations .....	17	7	.....
99.9 Total new obligations, unexpired accounts .....	17	7	.....

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112-141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113-89; 128 Stat. 1020), \$206,166,000, to remain available until September 30, 2021, which shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)); of which \$13,906,000 shall be available for mission support; and of which \$192,260,000 shall be available for flood plain management and flood mapping: Provided, That any additional fees collected pursuant to section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)) shall be credited as offsetting collections to this account, to be available for mission support and flood plain management and flood mapping: Provided further, That in fiscal year 2020, no funds shall be available from the National Flood Insurance Fund under section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017) in excess of:

- (1) \$192,439,000 for operating expenses and salaries and expenses associated with flood insurance operations;
- (2) \$1,151,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and
- (4) \$175,000,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding sections 1366(e) and 1310(a)(7) of such Act (42 U.S.C. 4104c(e), 4017):

Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 shall be deposited in the National Flood

Insurance Fund to supplement other amounts specified as available for section 1366 of the National Flood Insurance Act of 1968, notwithstanding section 102(f)(8), section 1366(e), and paragraphs (1) through (3) of section 1367(b) of such Act (42 U.S.C. 4012a(f)(8), 4104c(e), 4104d(b)(1)-(3)): Provided further, That total administrative costs shall not exceed 4 percent of the total appropriation: Provided further, That up to \$5,000,000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-4236-0-3-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 NFIP Mandatory .....	11,172	5,496	5,358
0802 Mission Support (Discretionary) .....	10	27	14
0803 Floodplain Management and Flood Mapping (Discretionary) .....	226	270	192
0900 Total new obligations, unexpired accounts .....	11,408	5,793	5,564
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,597	5,080	3,252
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	118	92	.....
1021 Recoveries of prior year unpaid obligations .....	27	.....	.....
1023 Unobligated balances applied to repay debt .....	-16,000	.....	.....
1036 Adjustment for Debt Forgiveness .....	16,000	.....	.....
1050 Unobligated balance (total) .....	5,624	5,080	3,252
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	6,100	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	208	204	206
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	4,555	3,752	3,911
1802 Offsetting collections (previously unavailable) .....	103	102	93
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-102	-93	.....
1850 Spending auth from offsetting collections, mand (total) .....	4,556	3,761	4,004
1900 Budget authority (total) .....	10,864	3,965	4,210
1930 Total budgetary resources available .....	16,488	9,045	7,462
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,080	3,252	1,898
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,089	833	226
3010 New obligations, unexpired accounts .....	11,408	5,793	5,564
3020 Outlays (gross) .....	-11,637	-6,400	-5,542
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27	.....	.....
3050 Unpaid obligations, end of year .....	833	226	248
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,089	833	226
3200 Obligated balance, end of year .....	833	226	248
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....			
4000 .....	208	204	206
Outlays, gross:			
4010 Outlays from new discretionary authority .....	113	122	124
4011 Outlays from discretionary balances .....	171	155	185
4020 Outlays, gross (total) .....	284	277	309
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-208	-204	-206
Mandatory:			
4090 Budget authority, gross .....	10,656	3,761	4,004
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,019	468	3,769
4101 Outlays from mandatory balances .....	5,334	5,655	1,464
4110 Outlays, gross (total) .....	11,353	6,123	5,233
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-4,555	-3,752	-3,911
4180 Budget authority, net (total) .....	6,101	9	93
4190 Outlays, net (total) .....	6,874	2,444	1,425

**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, SOY: Offsetting collections .....	103	102	93
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	102	93	.....

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	6,101	9	93
Outlays .....	6,874	2,444	1,425
<b>Legislative proposal, subject to PAYGO:</b>			
Outlays .....			5
<b>Total:</b>			
Budget Authority .....	6,101	9	93
Outlays .....	6,874	2,444	1,430

The Federal Government provides flood insurance through the National Flood Insurance Program (NFIP), which is administered by the Federal Emergency Management Agency (FEMA). Flood insurance is available to homeowners and businesses in communities that have adopted and enforce appropriate floodplain management measures. Coverage is limited to buildings and their contents. At the end of 2018, the program had approximately 5.1 million policies in more than 22,200 communities with approximately \$1.31 trillion of insurance in force.

The program uses a multi-pronged strategy for reducing future flood damage. The NFIP offers flood mitigation assistance grants to assist flood victims to rebuild to current building codes, including higher base flood elevations, thereby reducing future flood damage. In addition, flood mitigation assistance grants targeted toward repetitive and severe repetitive loss properties not only help owners of high-risk property, but also reduce the disproportionate drain on the National Flood Insurance Fund these properties cause, through acquisition, relocation, or elevation. FEMA works to ensure that the flood mitigation grant program is closely integrated with other FEMA mitigation grant programs, resulting in better coordination and communication with State and local governments. Further, through the Community Rating System, FEMA adjusts premium rates to encourage community and State mitigation activities beyond those required by the NFIP. A 2014 study shows these efforts, in addition to the minimum NFIP requirements for floodplain management, can save over \$1.9 billion annually in avoided flood claims.

In FY 2020, FEMA continues to put the NFIP on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with living in a floodplain through premium increases for policyholders paying premiums that are less than full risk.

**Object Classification** (in millions of dollars)

Identification code 070-4236-0-3-453	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	45	50	52
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	46	51	53
12.1 Civilian personnel benefits .....	15	19	21
21.0 Travel and transportation of persons .....	3	9	10
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.1 Advisory and assistance services .....	17	1	1
25.2 Other services from non-Federal sources .....	1,338	1,414	1,433
25.4 Operation and maintenance of facilities .....	2	2	2
41.0 Grants, subsidies, and contributions .....	106	295	168
42.0 Insurance claims and indemnities .....	9,508	3,459	3,296
43.0 Interest and dividends .....	368	536	573
99.0 Reimbursable obligations .....	11,408	5,793	5,564
99.9 Total new obligations, unexpired accounts .....	11,408	5,793	5,564

**Employment Summary**

Identification code 070-4236-0-3-453	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	405	494	513

**NATIONAL FLOOD INSURANCE FUND**

(Legislative proposal, not subject to PAYGO)

The purpose of this 2020 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

**NATIONAL FLOOD INSURANCE FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-4236-4-3-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 NFIP Mandatory .....			5
0900 Total new obligations, unexpired accounts (object class 25.2) .....			5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			-5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			5
3020 Outlays (gross) .....			-5
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....			5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			5

The purpose of this 2020 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would end this practice and establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases, while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however.

NATIONAL FLOOD INSURANCE FUND—Continued  
Employment Summary

Identification code 070-4236-4-3-453	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			5

NATIONAL FLOOD INSURANCE RESERVE FUND  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5701-0-2-453	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Fees, National Flood Insurance Reserve Fund .....	880	904	941
1140 Earnings on Investments, National Flood Insurance Reserve Fund .....	-1	23	44
1199 Total current law receipts .....	879	927	985
Proposed:			
1230 Fees, National Flood Insurance Reserve Fund .....			1
1999 Total receipts .....	879	927	986
2000 Total: Balances and receipts .....	880	928	987
Appropriations:			
Current law:			
2101 National Flood Insurance Reserve Fund .....	-879	-927	-985
Proposed:			
2201 National Flood Insurance Reserve Fund .....			-1
2999 Total appropriations .....	-879	-927	-986
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 070-5701-0-2-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 NFIP Obligations from Reserve Fund .....	919	390	390
0900 Total new obligations, unexpired accounts (object class 42.0) .....	919	390	390
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	750	710	1,247
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	879	927	985
1930 Total budgetary resources available .....	1,629	1,637	2,232
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	710	1,247	1,842
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		174	257
3010 New obligations, unexpired accounts .....	919	390	390
3020 Outlays (gross) .....	-745	-307	-358
3050 Unpaid obligations, end of year .....	174	257	289
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		174	257
3200 Obligated balance, end of year .....	174	257	289
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	879	927	985
Outlays, gross:			
4100 Outlays from new mandatory authority .....	249	23	44
4101 Outlays from mandatory balances .....	496	284	314
4110 Outlays, gross (total) .....	745	307	358
4180 Budget authority, net (total) .....	879	927	985
4190 Outlays, net (total) .....	745	307	358
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		732	1,245
5001 Total investments, EOY: Federal securities: Par value .....	732	1,245	1,841

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	879	927	985
Outlays .....	745	307	358
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1
Total:			
Budget Authority .....	879	927	986
Outlays .....	745	307	358

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established the National Flood Insurance Reserve Fund for the National Flood Insurance Program to meet expected future obligations of the program, to include payment of claims, claims adjustment expenses, the purchase of reinsurance, and the repayment of outstanding debt owed to the U.S. Treasury, including interest.

NATIONAL FLOOD INSURANCE RESERVE FUND  
(Legislative proposal, not subject to PAYGO)

The purpose of this 2020 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

NATIONAL FLOOD INSURANCE RESERVE FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-5701-4-2-453	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			1
1930 Total budgetary resources available .....			1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			1
4180 Budget authority, net (total) .....			1
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			1

The purpose of this 2020 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would end this practice and establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases,

while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however.

NATIONAL PRE-DISASTER MITIGATION FUND

Program and Financing (in millions of dollars)

Identification code 070-0716-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pre-disaster mitigation	21	85	
0900 Total new obligations, unexpired accounts (object class 41.0)	21	85	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	85	
1021 Recoveries of prior year unpaid obligations	20		
1050 Unobligated balance (total)	106	85	
1930 Total budgetary resources available	106	85	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	164	128	163
3010 New obligations, unexpired accounts	21	85	
3020 Outlays (gross)	-37	-50	-111
3040 Recoveries of prior year unpaid obligations, unexpired	-20		
3050 Unpaid obligations, end of year	128	163	52
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	164	128	163
3200 Obligated balance, end of year	128	163	52
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	37	50	111
4180 Budget authority, net (total)			
4190 Outlays, net (total)	37	50	111

EMERGENCY FOOD AND SHELTER

Program and Financing (in millions of dollars)

Identification code 070-0707-0-1-605	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	87	16	
3020 Outlays (gross)	-71	-16	
3050 Unpaid obligations, end of year	16		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	87	16	
3200 Obligated balance, end of year	16		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	71	16	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	71	16	

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0703-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy	610	298	48
0709 Administrative expenses	12	22	
0900 Total new obligations, unexpired accounts	622	320	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	128	358	67
1011 Unobligated balance transfer from other acct [070-0702]		29	
1021 Recoveries of prior year unpaid obligations	32		
1050 Unobligated balance (total)	160	387	67
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [070-0702]	820		
1900 Budget authority (total)	820		
1930 Total budgetary resources available	980	387	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	358	67	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	297	199
3010 New obligations, unexpired accounts	622	320	48
3020 Outlays (gross)	-346	-418	-154
3040 Recoveries of prior year unpaid obligations, unexpired	-32		
3050 Unpaid obligations, end of year	297	199	93
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	53	297	199
3200 Obligated balance, end of year	297	199	93
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross	820		
Outlays, gross:			
4010 Outlays from new discretionary authority	344		
4011 Outlays from discretionary balances	2	418	154
4020 Outlays, gross (total)	346	418	154
4180 Budget authority, net (total)	820		
4190 Outlays, net (total)	346	418	154
<b>Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)</b>			
Identification code 070-0703-0-1-453	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Community disaster loan program	24	115	65
115003 Special community disaster loans	595	196	
115999 Total direct loan levels	619	311	65
Direct loan subsidy (in percent):			
132002 Community disaster loan program	90.33	90.71	74.61
132003 Special community disaster loans	98.89	98.91	
132999 Weighted average subsidy rate	98.56	95.88	74.61
Direct loan subsidy budget authority:			
133002 Community disaster loan program	22	104	48
133003 Special community disaster loans	588	194	
133999 Total subsidy budget authority	610	298	48
Direct loan subsidy outlays:			
134002 Community disaster loan program	1	86	68
134003 Special community disaster loans	340	310	86
134999 Total subsidy outlays	341	396	154
Direct loan reestimates:			
135002 Community disaster loan program	-6	-52	
135003 Special community disaster loans		-11	
135999 Total direct loan reestimates	-6	-63	
Administrative expense data:			
3510 Budget authority	12	22	
3580 Outlays from balances	5		

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) includes two programs: 1) section 319 authorizes for direct loans to States for the non-Federal portion of cost-shared Stafford Act programs; and 2) section

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT—Continued

417 authorizes direct community disaster loans to local governments that incurred substantial loss of tax and other revenues as a result of a major disaster and require financial assistance in order to perform governmental functions. In FY 2018, \$820 million was made available to support loans to local governments impacted by Hurricanes Harvey, Irma, and Maria.

Object Classification (in millions of dollars)

Identification code 070-0703-0-1-453	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	12	22	.....
33.0 Investments and loans .....	610	298	48
99.0 Direct obligations .....	622	320	48
99.9 Total new obligations, unexpired accounts .....	622	320	48

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 070-4234-0-3-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	619	311	65
0713 Payment of interest to Treasury .....	.....	.....	1
0742 Downward reestimates paid to receipt accounts .....	5	57	.....
0743 Interest on downward reestimates .....	1	6	.....
0791 Direct program activities, subtotal .....	625	374	66
0900 Total new obligations, unexpired accounts .....	625	374	66

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	8	.....
1021 Recoveries of prior year unpaid obligations .....	35	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-3	.....	.....
1050 Unobligated balance (total) .....	40	8	.....
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	10	68	18
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	349	407	163
1801 Change in uncollected payments, Federal sources .....	237	-98	-105
1825 Spending authority from offsetting collections applied to repay debt .....	-3	-11	-9
1850 Spending auth from offsetting collections, mand (total) .....	583	298	49
1900 Budget authority (total) .....	593	366	67
1930 Total budgetary resources available .....	633	374	67
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	8	.....	1

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	56	295	261
3010 New obligations, unexpired accounts .....	625	374	66
3020 Outlays (gross) .....	-351	-408	-169
3040 Recoveries of prior year unpaid obligations, unexpired .....	-35	.....	.....
3050 Unpaid obligations, end of year .....	295	261	158
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-52	-289	-191
3070 Change in uncollected pymts, Fed sources, unexpired .....	-237	98	105
3090 Uncollected pymts, Fed sources, end of year .....	-289	-191	-86
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	6	70
3200 Obligated balance, end of year .....	6	70	72

<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	593	366	67
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	351	408	169
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-341	-396	-154

4123 Non-Federal sources- Principal .....	-8	-11	-9
4130 Offsets against gross budget authority and outlays (total) ....	-349	-407	-163
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-237	98	105
4160 Budget authority, net (mandatory) .....	7	57	9
4170 Outlays, net (mandatory) .....	2	1	6
4180 Budget authority, net (total) .....	7	57	9
4190 Outlays, net (total) .....	2	1	6

Status of Direct Loans (in millions of dollars)

Identification code 070-4234-0-3-453	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1121 Limitation available from carry-forward .....	636	328	82
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-17	-17	-17
1150 Total direct loan obligations .....	619	311	65
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	117	410	807
1231 Disbursements: Direct loan disbursements .....	346	408	169
1251 Repayments: Repayments and prepayments .....	-7	-11	-9
1264 Other adjustments, net (+ or -) .....	-46	.....	-13
1290 Outstanding, end of year .....	410	807	954

Balance Sheet (in millions of dollars)

Identification code 070-4234-0-3-453	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	8	7
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	117	410
1402 Interest receivable .....	7	9
1405 Allowance for subsidy cost (-) .....	-91	-347
1499 Net present value of assets related to direct loans .....	33	72
1999 Total assets .....	41	79
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	14	16
2105 Other .....	.....	63
2207 Non-Federal liabilities: Other .....	27	.....
2999 Total liabilities .....	41	79
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	41	79

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Federal Emergency Management Agency for procurement, construction, and improvements, \$113,663,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0414-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Operational Communications/Information Technology .....	12	16	16
0002 CAS - Construction and Facility Improvements .....	44	46	40
0003 CAS - Mission Support Assets and Infrastructure .....	26	35	58
0900 Total new obligations, unexpired accounts .....	82	97	114

<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	8	12	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 CAS - Operational Communications/Information Technology .....	13	12	16
1100 CAS - Construction and Facility Improvements .....	44	44	39

1100	CAS - Mission Support Assets and Infrastructure .....	29	29	59
1160	Appropriation, discretionary (total) .....	86	85	114
1930	Total budgetary resources available .....	94	97	114
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	12		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	23	86	105
3010	New obligations, unexpired accounts .....	82	97	114
3020	Outlays (gross) .....	-19	-78	-95
3050	Unpaid obligations, end of year .....	86	105	124
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	23	86	105
3200	Obligated balance, end of year .....	86	105	124
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	86	85	114
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8	20	30
4011	Outlays from discretionary balances .....	11	58	65
4020	Outlays, gross (total) .....	19	78	95
4180	Budget authority, net (total) .....	86	85	114
4190	Outlays, net (total) .....	19	78	95

Procurement, Construction, and Improvements (PC&I) provides funds necessary for the Federal Emergency Management Agency's (FEMA) major investments in information technology, communication, facilities and infrastructure that support operations essential to FEMA's mission. The PC&I appropriation consists of three programs, projects and activities:

**Operational Communications/Information Technology.**—The FY 2020 request provides funding for investments in communications infrastructure, IT systems, and equipment that is directly used by field offices and personnel and have multi-mission frontline applications.

**Construction and Facility Improvements.**—The FY 2020 request includes funding for major construction and improvements for FEMA's land and facility investments.

**Mission Support Assets and Infrastructure.**—The FY 2020 request supports the design, implementation, and integration of new solutions for major FEMA systems and data management that support the Agency's mission.

**Object Classification** (in millions of dollars)

Identification code 070-0414-0-1-999	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	26	37	59
31.0	Equipment .....	12	16	16
32.0	Land and structures .....	44	44	39
99.0	Direct obligations .....	82	97	114
99.9	Total new obligations, unexpired accounts .....	82	97	114

**ADMINISTRATIVE PROVISIONS**

**SEC. 301.** Notwithstanding section 2008(a)(12) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(12)) or any other applicable provision of law, a recipient or subrecipient of a grant made available in paragraphs (1) through (4) under "Federal Emergency Management Agency—Federal Assistance" may use not more than 5 percent of the amount of the grant or subgrant made available to it for expenses directly related to administration of the grant.

**SEC. 302.** Applications for grants under the heading "Federal Emergency Management Agency—Federal Assistance", for paragraphs (1) through (4) under that heading, shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act; eligible applicants shall submit applications not later than 80 days after the grant announcement and the Administrator of the Federal Emergency Management Agency shall act upon such application within 65 days after the receipt of an application.

**SEC. 303.** With respect to the program under the heading "Federal Emergency Management Agency—Federal Assistance", for grants made available pursuant to

paragraphs (1) through (4) under such heading, the Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award.

**SEC. 304.** With respect to the program under the heading "Federal Emergency Management Agency—Federal Assistance", for grants made available pursuant to paragraphs (1) and (2) under such heading, the installation of communications towers is not considered construction of a building or other physical facility.

**SEC. 305.** For grants awarded using amounts made available under paragraphs (1), (2), and (3) under the heading "Federal Emergency Management Agency—Federal Assistance", notwithstanding sections 603–605, 607–609, 1135, and 1182 of title 6, U.S. Code, or any other applicable provision of law, the Federal share of the cost of an activity carried out by a non-Federal entity using such funds shall not exceed 75 percent: Provided, That this section does not apply to funds provided by this Act for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary of Homeland Security to be at high risk of a terrorist attack under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604): Provided further, That this section does not apply to grants awarded pursuant to section 2005 of the Homeland Security Act of 2002 (6 U.S.C. 606): Provided further, That this section does not apply to grants awarded pursuant to section 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1163).

**SEC. 306.** Up to one percent of the appropriations made available under paragraphs (1) and (2) under the heading "Federal Emergency Management Agency—Federal Assistance" may be transferred to the appropriation made available under the heading "Federal Emergency Management Agency—Operations and Support" for the purpose of conducting evaluations on the effectiveness of grants awarded under the State Homeland Security Grant Program and Urban Area Security Initiative: Provided, That any funds transferred under this section shall be in addition to any other amounts otherwise made available for the same purpose.

**SEC. 307.** Notwithstanding section 508 of this Act and section 724 of the Financial Services and General Government Appropriations Act, the Administrator of the Federal Emergency Management Agency may use the funds provided under the heading "Federal Emergency Management Agency—Federal Assistance" in paragraph (9) to make improvements to real property for the purposes of installing solar panels and a micro-grid.

**SEC. 308.** The aggregate charges assessed during fiscal year 2020, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security necessary for its radiological emergency preparedness program for the next fiscal year: Provided, That the methodology for assessment and collection of such fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: Provided further, That such fees shall be deposited in the Radiological Emergency Preparedness Program account as offsetting collections and will become available for authorized purposes on October 1, 2020, and remain available until expended.

(CANCELLATION)

**SEC. 309.** Of the unobligated balances made available to "Federal Emergency Management Agency—Disaster Relief Fund", \$250,000,000 is hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985: Provided further, That no amounts may be cancelled from the amounts that were designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.

**CITIZENSHIP AND IMMIGRATION SERVICES**

**Federal Funds**

**OPERATIONS AND SUPPORT**

For necessary expenses of United States Citizenship and Immigration Services for operations and support of the E-Verify Program, \$121,586,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

OPERATIONS AND SUPPORT—Continued  
Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0300-0-1-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	394	288	316
0198 Reconciliation adjustment	-95		
0199 Balance, start of year	299	288	316
Receipts:			
Current law:			
1120 Immigration Examination Fee	3,812	4,525	4,655
1120 H-1B Nonimmigrant Petitioner Account	390	300	300
1120 H-1B and L Fraud Prevention and Detection Account	153	142	145
1199 Total current law receipts	4,355	4,967	5,100
Proposed:			
1220 H-1B Nonimmigrant Petitioner Account			380
1999 Total receipts	4,355	4,967	5,480
2000 Total: Balances and receipts	4,654	5,255	5,796
Appropriations:			
Current law:			
2101 Training and Employment Services	-195	-150	-150
2101 State Unemployment Insurance and Employment Service Operations	-19	-15	-15
2101 H-1 B and L Fraud Prevention and Detection	-51	-45	-45
2101 H&L Fraud Prevention and Detection Fee	-51	-47	-48
2101 Operations and Support	-3,812	-4,525	-4,655
2101 Operations and Support	-19	-15	-15
2101 Operations and Support	-51	-47	-48
2101 Operations and Support	-1		
2101 Education and Human Resources	-156	-120	-120
2103 Training and Employment Services	-12	-13	-9
2103 State Unemployment Insurance and Employment Service Operations	-1	-1	
2103 H-1 B and L Fraud Prevention and Detection	-3	-3	-3
2103 H&L Fraud Prevention and Detection Fee	-3	-3	-3
2103 Operations and Support	-269	-256	-285
2103 Education and Human Resources	-10	-10	-10
2132 Training and Employment Services	13	9	
2132 State Unemployment Insurance and Employment Service Operations	1	1	
2132 H-1 B and L Fraud Prevention and Detection	3	3	
2132 H&L Fraud Prevention and Detection Fee	3	3	
2132 Operations and Support	257	285	
2132 Education and Human Resources	10	10	
2199 Total current law appropriations	-4,366	-4,939	-5,406
Proposed:			
2201 Training and Employment Services			-190
2201 State Unemployment Insurance and Employment Service Operations			-20
2201 Career and Technical Education State Grants, H-1B Funded			-114
2201 Operations and Support			-19
2201 Education and Human Resources			-38
2299 Total proposed appropriations			-381
2999 Total appropriations	-4,366	-4,939	-5,787
5099 Balance, end of year	288	316	9

Program and Financing (in millions of dollars)

Identification code 070-0300-0-1-751	2018 actual	2019 est.	2020 est.
0001 Citizenship and Immigration Services (Direct)	4,155	4,587	4,724
0002 CAS - Employment Status Verification		109	122
0799 Total direct obligations	4,155	4,696	4,846
0801 Citizenship and Immigration Services (Reimbursable)	38	45	51
0900 Total new obligations, unexpired accounts	4,193	4,741	4,897

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	1,240	1,220	1,269
1001 Discretionary unobligated balance brought fwd, Oct 1	11	3	
1010 Unobligated balance transfer to other accts [070-0540]	-7		
1021 Recoveries of prior year unpaid obligations	131	77	77
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	1,369	1,297	1,346
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	109	109	122

1120 Appropriations transferred to other accts [015-0339]		-4	-4
1160 Appropriation, discretionary (total)	109	105	118
Appropriations, mandatory:			
1201 Appropriation (examinations fee)	3,812	4,525	4,655
1201 Appropriation (H-1B fee)	19	15	15
1201 Appropriation (H-1B L Fraud Fee)	51	47	48
1203 Appropriation (previously unavailable)	269	256	285
1220 Appropriations transferred to other accts [015-0339]	-4		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-257	-285	
1260 Appropriations, mandatory (total)	3,890	4,558	5,003
Spending authority from offsetting collections, mandatory:			
1800 Collected	46	45	53
1802 Offsetting collections (previously unavailable)	4	5	
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-5		
1850 Spending auth from offsetting collections, mand (total)	45	50	53
1900 Budget authority (total)	4,044	4,713	5,174
1930 Total budgetary resources available	5,413	6,010	6,520
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,220	1,269	1,623

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,296	1,324	1,258
3010 New obligations, unexpired accounts	4,193	4,741	4,897
3020 Outlays (gross)	-4,029	-4,730	-5,039
3040 Recoveries of prior year unpaid obligations, unexpired	-131	-77	-77
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	1,324	1,258	1,039
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-23	-23	-23
3090 Uncollected pymts, Fed sources, end of year	-23	-23	-23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,273	1,301	1,235
3200 Obligated balance, end of year	1,301	1,235	1,016

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	109	105	118
Outlays, gross:			
4010 Outlays from new discretionary authority	67	72	81
4011 Outlays from discretionary balances	37	33	33
4020 Outlays, gross (total)	104	105	114
Mandatory:			
4090 Budget authority, gross	3,935	4,608	5,056
Outlays, gross:			
4100 Outlays from new mandatory authority	3,140	3,252	3,570
4101 Outlays from mandatory balances	785	1,373	1,355
4110 Outlays, gross (total)	3,925	4,625	4,925
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-38	-38	-45
4123 Non-Federal sources	-13	-7	-8
4130 Offsets against gross budget authority and outlays (total)	-51	-45	-53
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4160 Budget authority, net (mandatory)	3,889	4,563	5,003
4170 Outlays, net (mandatory)	3,874	4,580	4,872
4180 Budget authority, net (total)	3,998	4,668	5,121
4190 Outlays, net (total)	3,978	4,685	4,986

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	4	5	
5092 Unexpired unavailable balance, EOY: Offsetting collections	5		

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	3,998	4,668	5,121
Outlays	3,978	4,685	4,986
Legislative proposal, subject to PAYGO:			
Budget Authority			-189
Outlays			-195
Total:			
Budget Authority	3,998	4,668	4,932

Outlays .....	3,978	4,685	4,791
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The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to applicants and petitioners, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud. The Budget includes a new proposal to add a 10 percent surcharge on all requests received by USCIS. These collections will be deposited into the General Fund of the Treasury for deficit reduction.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

Within USCIS' appropriated funding, Operations and Support funds necessary operations, mission support, and associated management and administration costs for the E-Verify program.

**Object Classification** (in millions of dollars)

Identification code 070-0300-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,474	1,627	1,610
11.3 Other than full-time permanent .....	14	14	14
11.5 Other personnel compensation .....	116	131	137
11.9 Total personnel compensation .....	1,604	1,772	1,761
12.1 Civilian personnel benefits .....	519	565	595
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	45	37	32
22.0 Transportation of things .....	12	15	18
23.1 Rental payments to GSA .....	275	265	298
23.2 Rental payments to others .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	86	111	118
24.0 Printing and reproduction .....	11	11	12
25.1 Advisory and assistance services .....	864	957	982
25.2 Other services from non-Federal sources .....	117	261	251
25.3 Other goods and services from Federal sources .....	287	318	385
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	165	153	154
26.0 Supplies and materials .....	30	37	40
31.0 Equipment .....	81	138	142
32.0 Land and structures .....	39	35	37
41.0 Grants, subsidies, and contributions .....	14	10	10
42.0 Insurance claims and indemnities .....	1	2	3
99.0 Direct obligations .....	4,157	4,694	4,846
99.0 Reimbursable obligations .....	38	45	51
99.5 Adjustment for rounding .....	-2	2	.....
99.9 Total new obligations, unexpired accounts .....	4,193	4,741	4,897

**Employment Summary**

Identification code 070-0300-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	16,814	18,498	19,380

**OPERATIONS AND SUPPORT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 070-0300-4-1-751	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (H-1B fee) .....	.....	.....	19
1220 Appropriations transferred to other acct [070-0540] .....	.....	.....	-208
1260 Appropriations, mandatory (total) .....	.....	.....	-189
1900 Budget authority (total) .....	.....	.....	-189
1930 Total budgetary resources available .....	.....	.....	-189

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	.....	-189
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....	.....	.....	195
3050 Unpaid obligations, end of year .....	.....	.....	195
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	195
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	.....	.....	-189
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	.....	-195
4180 Budget authority, net (total) .....	.....	.....	-189
4190 Outlays, net (total) .....	.....	.....	-195

The Budget provides a transfer of \$208 million from Immigration Examinations Fee Account receipts to ICE Operations and Support, and includes an increase of \$19 million for USCIS' share of the proposed increase in H-1B Nonimmigrant Petitioner Account receipts.

**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0407-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Citizenship and Immigration Services (Direct) .....	2	23	.....
0900 Total new obligations, unexpired accounts (object class 25.1) .....	2	23	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	21	21
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	23	23	.....
1930 Total budgetary resources available .....	23	44	21
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	21	21	21
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	1	7
3010 New obligations, unexpired accounts .....	2	23	.....
3020 Outlays (gross) .....	-16	-17	-6
3050 Unpaid obligations, end of year .....	1	7	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	15	1	7
3200 Obligated balance, end of year .....	1	7	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	23	23	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	17	.....
4011 Outlays from discretionary balances .....	15	.....	6
4020 Outlays, gross (total) .....	16	17	6
4180 Budget authority, net (total) .....	23	23	.....
4190 Outlays, net (total) .....	16	17	6

The Procurement, Construction, and Improvements appropriation provides funds necessary for the planning, operational development, engineering, and purchases associated with the U.S. Citizenship and Immigration Service's employment eligibility verification program. The 2020 request provides necessary funding for the continued modernization of E-Verify.

**FEDERAL LAW ENFORCEMENT TRAINING CENTER**

*Federal Funds*

**OPERATIONS AND SUPPORT**

*For necessary expenses of the Federal Law Enforcement Training Centers for operations and support, including the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code; \$304,586,000; of which up to \$61,391,000 shall remain available until September 30, 2021: Provided, That not to exceed \$7,180 shall be for official reception and representation expenses.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0509-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Mission Support .....	28	28	29
0002 CAS - Law Enforcement Training .....	209	226	276
0003 CAS - Minor Construction and Maintenance .....	21		
0799 Total direct obligations .....	258	254	305
0801 Operations and Support (Reimbursable) .....	118	287	287
0900 Total new obligations, unexpired accounts .....	376	541	592
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	18	19
1010 Unobligated balance transfer to other accts [070-0406] .....	-1		
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	20	19	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	259	254	305
1120 Appropriations transferred to other acct [070-0540] .....	-3		
1160 Appropriation, discretionary (total) .....	256	254	305
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	96	229	262
1701 Change in uncollected payments, Federal sources .....	24	58	25
1750 Spending auth from offsetting collections, disc (total) .....	120	287	287
1900 Budget authority (total) .....	376	541	592
1930 Total budgetary resources available .....	396	560	612
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	18	19	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	55	76	85
3010 New obligations, unexpired accounts .....	376	541	592
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-347	-526	-585
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-9	-5	-5
3050 Unpaid obligations, end of year .....	76	85	86
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-23	-27	-80
3070 Change in uncollected pymts, Fed sources, unexpired .....	-24	-58	-25
3071 Change in uncollected pymts, Fed sources, expired .....	20	5	5
3090 Uncollected pymts, Fed sources, end of year .....	-27	-80	-100
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	49	5
3200 Obligated balance, end of year .....	49	5	-14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	376	541	592
Outlays, gross:			
4010 Outlays from new discretionary authority .....	216	465	509
4011 Outlays from discretionary balances .....	130	60	76
4020 Outlays, gross (total) .....	346	525	585
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-114	-229	-262
4033 Non-Federal sources .....	-3	-2	-2

4040 Offsets against gross budget authority and outlays (total) ....	-117	-231	-264
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-24	-58	-25
4052 Offsetting collections credited to expired accounts .....	21	2	2
4060 Additional offsets against budget authority only (total) .....	-3	-56	-23
4070 Budget authority, net (discretionary) .....	256	254	305
4080 Outlays, net (discretionary) .....	229	294	321
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	
4180 Budget authority, net (total) .....	256	254	305
4190 Outlays, net (total) .....	230	295	321

The Federal Law Enforcement Training Centers' (FLETC) serves as an interagency law enforcement training organization for over 95 partner organizations, providing the necessary facilities, equipment, and support services to conduct basic, advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides advanced training conducted at all of its domestic campuses, tuition-free or at a reduced cost, to State, local, rural, tribal, and territorial law enforcement officers through export training deliveries and/or distance learning on a space-available basis. In cooperation with the Department of State, FLETC manages the International Law Enforcement Academy (ILEA) at Gaborone, Botswana and Roswell, New Mexico, and provides training at the ILEAs in Bangkok, Thailand; Budapest, Hungary; and San Salvador, El Salvador. FLETC provides other training and assistance internationally in collaboration with, and in support of, the respective U.S. embassies. Additionally, many international students attend training programs at the FLETC each year on a space-available and fully reimbursable basis.

FLETC's Operations and Support account funds necessary operations, mission support, and associated management and administrative costs. In addition, this account includes the funding and activities that are associated with minor construction, maintenance and improvement projects. The increased funding in 2020 will be used for critical law enforcement training for newly-hired Immigration Enforcement Officers and Border Patrol Agents, as well as for cybersecurity infrastructure and information technology lifecycle management.

**Object Classification** (in millions of dollars)

Identification code 070-0509-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	96	84	100
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	4	5
11.9 Total personnel compensation .....	102	89	106
12.1 Civilian personnel benefits .....	38	36	44
21.0 Travel and transportation of persons .....	5	4	5
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	9	10	12
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	5	2	1
25.2 Other services from non-Federal sources .....	11	10	10
25.3 Other goods and services from Federal sources .....	4	5	6
25.4 Operation and maintenance of facilities .....	26	41	47
25.6 Medical care .....	3	4	5
25.7 Operation and maintenance of equipment .....	19	26	37
25.8 Subsistence and support of persons .....	1	1	1
26.0 Supplies and materials .....	6	10	12
31.0 Equipment .....	12	5	9
32.0 Land and structures .....	15	9	8
99.0 Direct obligations .....	258	254	305
99.0 Reimbursable obligations .....	118	287	287
99.9 Total new obligations, unexpired accounts .....	376	541	592

**Employment Summary**

Identification code 070-0509-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,068	1,167	1,180

2001 Reimbursable civilian full-time equivalent employment .....	228	310	310
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**PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS**

For necessary expenses of the Federal Law Enforcement Training Centers for procurement, construction, and improvements, including the planning, operational development, engineering, and purchases prior to sustainment and for information technology-related procurement construction and improvements, including non-tangible assets of the Federal Law Enforcement Training Centers, \$46,349,000, to remain available until September 30, 2024: Provided, That the Federal Law Enforcement Training Centers may accept transfers and reimbursements, to remain available until expended and as authorized by the Economy Act (31 U.S.C. 1535(b)), from Government agencies requesting the construction of special use facilities: Provided further, That the Federal Law Enforcement Training Centers will maintain administrative control and assume ownership of such facilities upon completion.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0510-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CAS - Procurement, Construction, and Improvements (Direct) .....	4		46
0002 Acquisitions, Construction, Improvements and Related Expenses (Direct) .....	6		
0799 Total direct obligations .....	10		46
0801 Procurement, Construction, and Improvements (Reimbursable) .....	12	10	10
0900 Total new obligations, unexpired accounts .....	22	10	56
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	91	76	76
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	92	77	77
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5		46
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	260	200	200
1701 Change in uncollected payments, Federal sources .....	-258	-190	-190
1750 Spending auth from offsetting collections, disc (total) .....	2	10	10
1900 Budget authority (total) .....	7	10	56
1930 Total budgetary resources available .....	99	87	133
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	-1	-1
1941 Unexpired unobligated balance, end of year .....	76	76	76

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	602	347	148
3010 New obligations, unexpired accounts .....	22	10	56
3011 Obligations ("upward adjustments"), expired accounts .....	1	1	1
3020 Outlays (gross) .....	-277	-209	-204
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	347	148	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-677	-412	-219
3070 Change in uncollected pymts, Fed sources, unexpired .....	258	190	190
3071 Change in uncollected pymts, Fed sources, expired .....	7	3	3
3090 Uncollected pymts, Fed sources, end of year .....	-412	-219	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-75	-65	-71
3200 Obligated balance, end of year .....	-65	-71	-26
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	7	10	56
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	7
4011 Outlays from discretionary balances .....	277	208	197
4020 Outlays, gross (total) .....	277	209	204

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-266	-202	-202
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	258	190	190
4052 Offsetting collections credited to expired accounts .....	6	2	2
4060 Additional offsets against budget authority only (total) .....	264	192	192
4070 Budget authority, net (discretionary) .....	5		46
4080 Outlays, net (discretionary) .....	11	7	2
4180 Budget authority, net (total) .....	5		46
4190 Outlays, net (total) .....	11	7	2

The Federal Law Enforcement Training Centers' (FLETC) Procurement, Construction, and Improvement (PC&I) account funds the purchase, building, manufacturing, or assemblage of one or more end items that create, extend or enhance FLETC's existing capabilities. Funds provided through this account support the procurement, construction, or improvements of personal property end items with an individual cost of \$250,000 or more, and real property end items with an individual cost of \$2 million or more. The PC&I account also authorizes reimbursements to FLETC from U.S. Government agencies for the construction of special use facilities.

**Object Classification** (in millions of dollars)

Identification code 070-0510-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
31.0 Equipment .....	1		5
32.0 Land and structures .....	9		41
99.0 Direct obligations .....	10		46
99.0 Reimbursable obligations .....	12	10	10
99.9 Total new obligations, unexpired accounts .....	22	10	56

**SCIENCE AND TECHNOLOGY**

**Federal Funds**

**OPERATIONS AND SUPPORT**

For necessary expenses of the Science and Technology Directorate for operations and support, including the purchase or lease of not to exceed 5 vehicles, \$278,954,000, of which \$149,737,000 shall remain available until September 30, 2021: Provided, That not to exceed \$7,650 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0800-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Research, Development, Acquisition, and Operations .....	53	30	20
0003 CAS - Mission Support .....	135	135	129
0004 CAS - Laboratory Facilities .....	150	137	116
0005 CAS - Acquisition and Operations Analysis .....	46	46	34
0799 Total direct obligations .....	384	348	299
0801 Research, Development, Acquisitions and Operations (Reimbursable) .....	19	10	10
0900 Total new obligations, unexpired accounts .....	403	358	309
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	143	103	94
1010 Unobligated balance transfer to other accts [070-0540] .....	-4		
1021 Recoveries of prior year unpaid obligations .....	29		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	169	103	94
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	331	331	279
1120 Appropriations transferred to other acct [012-1400] .....		-13	

OPERATIONS AND SUPPORT—Continued  
Program and Financing—Continued

Identification code 070-0800-0-1-751	2018 actual	2019 est.	2020 est.
1131 Unobligated balance of appropriations permanently reduced .....	-4		
1160 Appropriation, discretionary (total) .....	327	318	279
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	14	31	31
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	13	31	31
1900 Budget authority (total) .....	340	349	310
1930 Total budgetary resources available .....	509	452	404
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	103	94	95

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	980	626	522
3010 New obligations, unexpired accounts .....	403	358	309
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-714	-462	-439
3040 Recoveries of prior year unpaid obligations, unexpired .....	-29		
3041 Recoveries of prior year unpaid obligations, expired .....	-15		
3050 Unpaid obligations, end of year .....	626	522	392
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-79	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3071 Change in uncollected pymts, Fed sources, expired .....	7		
3090 Uncollected pymts, Fed sources, end of year .....	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	901	555	451
3200 Obligated balance, end of year .....	555	451	321

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	340	349	310
Outlays, gross:			
4010 Outlays from new discretionary authority .....	135	140	129
4011 Outlays from discretionary balances .....	579	322	310
4020 Outlays, gross (total) .....	714	462	439
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-29	-29
4033 Non-Federal sources .....		-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-17	-31	-31
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4052 Offsetting collections credited to expired accounts .....	2		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	4		
4070 Budget authority, net (discretionary) .....	327	318	279
4080 Outlays, net (discretionary) .....	697	431	408
4180 Budget authority, net (total) .....	327	318	279
4190 Outlays, net (total) .....	697	431	408

Operations and Support funds necessary operations, mission support, and associated management and administration costs for the Science and Technology Directorate. Funding is also provided for systems engineering, test and evaluation, Standards, Safety Act, and the operations and maintenance of laboratory facilities.

Object Classification (in millions of dollars)

Identification code 070-0800-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	56	58	58
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	61	64	64
12.1 Civilian personnel benefits .....	19	19	19
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2

23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	147	126	102
25.2 Other services from non-Federal sources .....	9	8	7
25.3 Other goods and services from Federal sources .....	76	67	53
25.4 Operation and maintenance of facilities .....	5	4	4
25.5 Research and development contracts .....	42	37	30
25.7 Operation and maintenance of equipment .....	12	11	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	6	5	4
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	384	348	299
99.0 Reimbursable obligations .....	19	10	10
99.9 Total new obligations, unexpired accounts .....	403	358	309

Employment Summary

Identification code 070-0800-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	428	431	431

RESEARCH AND DEVELOPMENT

For necessary expenses of the Science and Technology Directorate for research and development, including information technology equipment, maintenance, and operations, \$303,163,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0803-0-1-751	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 CAS - Research, Development and Innovation .....	439	463	282
0002 CAS - University Programs .....	32	41	21
0799 Total direct obligations .....	471	504	303
0801 Research and Development (Reimbursable) .....	66	41	41
0900 Total new obligations, unexpired accounts .....	537	545	344
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	138	175	154
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	143	175	154
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	510	510	303
1131 Unobligated balance of appropriations permanently reduced .....	-6	-6	
1160 Appropriation, discretionary (total) .....	504	504	303
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	30	20	20
1701 Change in uncollected payments, Federal sources .....	35		
1750 Spending auth from offsetting collections, disc (total) .....	65	20	20
1900 Budget authority (total) .....	569	524	323
1930 Total budgetary resources available .....	712	699	477
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	175	154	133

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	287	520	603
3010 New obligations, unexpired accounts .....	537	545	344
3020 Outlays (gross) .....	-299	-462	-483
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	520	603	464
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-31	-66	-66
3070 Change in uncollected pymts, Fed sources, unexpired .....	-35		
3090 Uncollected pymts, Fed sources, end of year .....	-66	-66	-66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	256	454	537
3200 Obligated balance, end of year .....	454	537	398

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	569	524	323
Outlays, gross:				
4010	Outlays from new discretionary authority	64	121	80
4011	Outlays from discretionary balances	235	341	403
4020	Outlays, gross (total)	299	462	483
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-30	-20	-20
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-35		
4070	Budget authority, net (discretionary)	504	504	303
4080	Outlays, net (discretionary)	269	442	463
4180	Budget authority, net (total)	504	504	303
4190	Outlays, net (total)	269	442	463

Science and Technology's Research and Development includes funds for basic, applied, and developmental research supporting state-of-the-art technology and solutions to meet the needs of the Department of Homeland Security (DHS) components and the first responder community. Funds also support critical homeland security-related research and education at U.S. colleges and universities to address high-priority, DHS-related issues and to enhance homeland security capabilities over the long term.

**Object Classification** (in millions of dollars)

Identification code 070-0803-0-1-751	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons	2	2	1
25.1	Advisory and assistance services	57	69	30
25.2	Other services from non-Federal sources	4	4	3
25.3	Other goods and services from Federal sources	212	224	140
25.5	Research and development contracts	159	168	105
25.7	Operation and maintenance of equipment	2	2	1
31.0	Equipment	3	3	2
41.0	Grants, subsidies, and contributions	32	32	21
99.0	Direct obligations	471	504	303
99.0	Reimbursable obligations	66	41	41
99.9	Total new obligations, unexpired accounts	537	545	344

**COUNTERING WEAPONS OF MASS DESTRUCTION  
OFFICE  
Federal Funds**

**OPERATIONS AND SUPPORT**

For necessary expenses of the Countering Weapons of Mass Destruction Office for operations and support, as authorized by law, \$212,573,000, of which \$59,547,000 shall remain available until September 30, 2021: Provided, That not to exceed \$4,500 shall be for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0861-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	CAS - Mission Support	51	54	
0003	Capability and Operational Support			128
0004	Mission Support			85
0799	Total direct obligations	51	54	213
0801	Reimbursable program activity	4	4	2
0900	Total new obligations, unexpired accounts	55	58	215
<b>Budgetary resources:</b>				
Unobligated balance:				
1010	Unobligated balance transfer to other accts [070-0540]	-1		
1012	Unobligated balance transfers between expired and unexpired accounts	1		

<b>Budget authority:</b>				
Appropriations, discretionary:				
1100	Appropriation	54	54	213
1120	Appropriations transferred to other acct [070-0540]	-1		
1120	Appropriations transferred to other acct [070-0862]	-1		
1160	Appropriation, discretionary (total)	52	54	213
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	4	2
1701	Change in uncollected payments, Federal sources	2		
1750	Spending auth from offsetting collections, disc (total)	4	4	2
1900	Budget authority (total)	56	58	215
1930	Total budgetary resources available	56	58	215
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	18	24	18
3010	New obligations, unexpired accounts	55	58	215
3011	Obligations ("upward adjustments"), expired accounts	142		
3020	Outlays (gross)	-48	-64	-146
3041	Recoveries of prior year unpaid obligations, expired	-143		
3050	Unpaid obligations, end of year	24	18	87
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1		-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-2		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	18	22	16
3200	Obligated balance, end of year	22	16	85

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross	56	58	215
Outlays, gross:				
4010	Outlays from new discretionary authority	36	44	128
4011	Outlays from discretionary balances	12	20	18
4020	Outlays, gross (total)	48	64	146
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-4	-2
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2		
4070	Budget authority, net (discretionary)	52	54	213
4080	Outlays, net (discretionary)	46	60	144
4180	Budget authority, net (total)	52	54	213
4190	Outlays, net (total)	46	60	144

The Countering Weapons of Mass Destruction Office's (CWMD) Operations and Support account provides funds to support the development of counter WMD capabilities through strategic planning and analysis; assisting DHS operational components and other agencies in defining requirements necessary to achieve their mission; the evaluation and procurement of chemical/biological and radiological/nuclear detection equipment that can be carried, worn, or easily moved to support operational end-users; as well as defense and preparedness for biological and chemical events. This account supports the CWMD Chief Medical Officer who has the responsibility within the Department for medical issues related to natural disasters, acts of terrorism, and other man-made disasters. Additionally, O&S funding provides for the day-to-day operation of the CWMD Office.

**Object Classification** (in millions of dollars)

Identification code 070-0861-0-1-999	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	18	18	32
11.8	Special personal services payments		1	7
11.9	Total personnel compensation	18	19	39
12.1	Civilian personnel benefits	6	6	10
21.0	Travel and transportation of persons			1
23.1	Rental payments to GSA	7	7	13
25.1	Advisory and assistance services	8	9	49
25.2	Other services from non-Federal sources			20
25.3	Other goods and services from Federal sources	12	13	37
25.7	Operation and maintenance of equipment			6
26.0	Supplies and materials			11

OPERATIONS AND SUPPORT—Continued

Object Classification—Continued

Identification code 070-0861-0-1-999	2018 actual	2019 est.	2020 est.
31.0 Equipment			27
99.0 Direct obligations	51	54	213
99.0 Reimbursable obligations	4	4	2
99.9 Total new obligations, unexpired accounts	55	58	215

Employment Summary

Identification code 070-0861-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	137	144	232

RESEARCH AND DEVELOPMENT

For necessary expenses of the Countering Weapons of Mass Destruction Office for research and development, \$67,681,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0860-0-1-751	2018 actual	2019 est.	2020 est.
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Obligations by program activity:

0002 CAS - Architecture Planning and Analysis	12	23	
0003 CAS - Transformational Research and Development	46	89	
0004 CAS - Detection Capability Development	13	21	
0005 CAS - Detection Capability Assessments	17	49	
0006 CAS - Nuclear Forensics	9	27	
0007 Operations Support	1		
0008 CWMD			68
0900 Total new obligations, unexpired accounts	98	209	68

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	65	2
1010 Unobligated balance transfer to other accts [070-0117]	-2		
1021 Recoveries of prior year unpaid obligations	4		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	18	65	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	146	146	68
1120 Appropriations transferred to other acct [070-0862]	-2		
1160 Appropriation, discretionary (total)	144	146	68
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	145	146	68
1930 Total budgetary resources available	163	211	70
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	65	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	194	145	107
3010 New obligations, unexpired accounts	98	209	68
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-139	-247	-92
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	145	107	83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	194	145	107
3200 Obligated balance, end of year	145	107	83

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	145	146	68
Outlays, gross:			
4010 Outlays from new discretionary authority	19	102	48

4011 Outlays from discretionary balances	120	145	44
4020 Outlays, gross (total)	139	247	92
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-3		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	144	146	68
4080 Outlays, net (discretionary)	136	247	92
4180 Budget authority, net (total)	144	146	68
4190 Outlays, net (total)	136	247	92

Object Classification (in millions of dollars)

Identification code 070-0860-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	
25.1 Advisory and assistance services	33	25	10
25.3 Other goods and services from Federal sources	25	21	13
25.4 Operation and maintenance of facilities	1	3	
25.5 Research and development contracts	30	149	36
41.0 Grants, subsidies, and contributions	7	10	9
99.0 Direct obligations	97	209	68
99.0 Reimbursable obligations	1		
99.9 Total new obligations, unexpired accounts	98	209	68

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Countering Weapons of Mass Destruction Office for procurement, construction, and improvements, \$78,241,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 070-0862-0-1-751	2018 actual	2019 est.	2020 est.
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Obligations by program activity:

0002 CAS - Large Scale Detection Systems	49	93	
0003 CAS - Human Portable Rad/Nuc Systems	18	35	
0004 Asset & Infrastructure Acquisition			78
0005 Radiological & Nuclear Detection Equipment	4		
0799 Total direct obligations	71	128	78
0900 Total new obligations, unexpired accounts	71	128	78

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	48	9
1011 Unobligated balance transfer from other acct [070-0411]	2		
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	25	48	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	90	89	78
1121 Appropriations transferred from other acct [070-0411]	1		
1121 Appropriations transferred from other acct [070-0861]	1		
1121 Appropriations transferred from other acct [070-0860]	2		
1160 Appropriation, discretionary (total)	94	89	78
1900 Budget authority (total)	94	89	78
1930 Total budgetary resources available	119	137	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	9	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	183	133	92

3010	New obligations, unexpired accounts .....	71	128	78
3011	Obligations ("upward adjustments"), expired accounts .....	20		
3020	Outlays (gross) .....	-126	-169	-84
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		
3041	Recoveries of prior year unpaid obligations, expired .....	-12		
3050	Unpaid obligations, end of year .....	133	92	86
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	183	133	92
3200	Obligated balance, end of year .....	133	92	86
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	94	89	78
Outlays, gross:				
4010	Outlays from new discretionary authority .....	14	36	31
4011	Outlays from discretionary balances .....	112	133	53
4020	Outlays, gross (total) .....	126	169	84
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-4		
4040	Offsets against gross budget authority and outlays (total) ....	-4		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	4		
4060	Additional offsets against budget authority only (total) .....	4		
4070	Budget authority, net (discretionary) .....	94	89	78
4080	Outlays, net (discretionary) .....	122	169	84
4180	Budget authority, net (total) .....	94	89	78
4190	Outlays, net (total) .....	122	169	84

The Countering Weapons of Mass Destruction Office's (CWMD) Procurement, Construction, and Improvements account provides funds for the acquisition and deployment of nuclear, radiological, chemical, and biological systems to support Department of Homeland Security operational components such as Customs and Border Protection. CWMD utilizes an integrated lifecycle approach in the management of these systems, and achieves efficiencies through a centralized acquisition process. Funding for FY 2020 supports the acquisition and deployment of enhanced Radiation Portal Monitors to begin recapitalization of the fleet, and other programs to support scanning of cargo entering the nation.

**Object Classification** (in millions of dollars)

Identification code 070-0862-0-1-751	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	13	11	6
25.3	Other goods and services from Federal sources .....	18	48	18
31.0	Equipment .....	40	69	54
99.9	Total new obligations, unexpired accounts .....	71	128	78

**FEDERAL ASSISTANCE**

For necessary expenses of the Countering Weapons of Mass Destruction Office for Federal assistance through grants, contracts, cooperative agreements, and other activities, \$64,663,000, to remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 070-0411-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	CAS - Federal, State, Local, Territorial, and Tribal Support .....	7	45	
0002	CAS - Securing the Cities .....	20	38	
0003	Capability Building .....			65
0900	Total new obligations, unexpired accounts .....	27	83	65
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	38	1
1010	Unobligated balance transfer to other accts [070-0862] .....	-2		

1021	Recoveries of prior year unpaid obligations .....	2		
1050	Unobligated balance (total) .....	21	38	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	46	46	65
1120	Appropriations transferred to other acct [070-0862] .....	-1		
1120	Appropriations transferred to other acct [070-0117] .....	-1		
1160	Appropriation, discretionary (total) .....	44	46	65
1930	Total budgetary resources available .....	65	84	66
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	38	1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	23	36	36
3010	New obligations, unexpired accounts .....	27	83	65
3020	Outlays (gross) .....	-12	-83	-52
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	36	36	49
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	23	36	36
3200	Obligated balance, end of year .....	36	36	49

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	44	46	65
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	28	34
4011	Outlays from discretionary balances .....	10	55	18
4020	Outlays, gross (total) .....	12	83	52
4180	Budget authority, net (total) .....	44	46	65
4190	Outlays, net (total) .....	12	83	52

**Object Classification** (in millions of dollars)

Identification code 070-0411-0-1-999	2018 actual	2019 est.	2020 est.	
Direct obligations:				
21.0	Travel and transportation of persons .....		2	
25.1	Advisory and assistance services .....	8	9	9
25.2	Other services from non-Federal sources .....			1
25.3	Other goods and services from Federal sources .....	1	6	12
41.0	Grants, subsidies, and contributions .....	18	66	43
99.9	Total new obligations, unexpired accounts .....	27	83	65

**ADMINISTRATIVE PROVISIONS**

SEC. 401. Notwithstanding any other provision of law, funds otherwise made available to U.S. Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where the Administrator of General Services does not provide vehicles for lease: Provided, That the Director of U.S. Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment.

SEC. 402. None of the funds made available in this Act may be used by U.S. Citizenship and Immigration Services to grant an immigration benefit unless the results of background checks required by law to be completed prior to the granting of the benefit have been received by U.S. Citizenship and Immigration Services, and the results do not preclude the granting of the benefit.

SEC. 403. The Director of the Federal Law Enforcement Training Centers is authorized to distribute funds to Federal law enforcement agencies for expenses incurred participating in training accreditation.

SEC. 404. The Director of the Federal Law Enforcement Training Centers shall schedule basic or advanced law enforcement training, or both, at all four training facilities under the control of the Federal Law Enforcement Training Center to ensure that such training facilities are operated at the highest capacity throughout the fiscal year.

SEC. 405. The Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors.

SEC. 406. (a) Notwithstanding section 1356(n) of title 8, United States Code, of the funds deposited into the Immigration Examinations Fee Account, up to \$10,000,000 may be allocated by U.S. Citizenship and Immigration Services in fiscal year 2020 for the purpose of providing an immigrant integration grants program.

(b) Funds made available to U.S. Citizenship and Immigration Services under this section or any other law for grants for immigrant integration may be used to provide services only to those aliens who have been granted asylum, or who were lawfully admitted as refugees or for permanent residence.

SEC. 407. (a) The Director of the Federal Law Enforcement Training Centers may dispose of or acquire real property on or in proximity to any of the Federal Law Enforcement Training Centers' existing training sites.

(b) The Director of the Federal Law Enforcement Training Centers shall notify the Committees on Appropriations of the Senate and House of Representatives at least 15 days prior to each use of the authority provided under subsection (a).

SEC. 408. Of the funds deposited into the "Immigration and Examinations Fee Account" established under section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)), up to \$25,000,000 may be allocated by U.S. Citizenship and Immigration Services through fiscal year 2022 for construction of a training facility at a location under the administrative control of the Federal Law Enforcement Training Centers.

SEC. 409. Of the funds deposited into the "Immigration Examinations Fee Account" established under section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)), not to exceed \$10,000 may be allocated by the Director of U.S. Citizenship and Immigration Services in fiscal year 2020 for official reception and representation expenses.

SEC. 410. The Secretary of Homeland Security shall transfer up to 50 full-time equivalent positions from Manhattan, Kansas, and Plum Island, New York, to the Secretary of Agriculture for employment in the National Bio and Agro-Defense Facility in Manhattan, Kansas. The transfer may begin upon enactment of this Act and must be completed 30 days after the closure of the Plum Island Animal Disease Center.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the components in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year 2019, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates or eliminates a program, project, or activity, or increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;

(2) contracts out any function or activity presently performed by Federal employees or any new function or activity proposed to be performed by Federal employees in the President's budget proposal for fiscal year 2020 for the Department of Homeland Security;

(3) augments funding for existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;

(4) reduces funding for any program, project, or activity, or numbers of personnel, by 10 percent or more;

(5) reorganizes offices; or

(6) results from any general savings from a reduction in personnel that would result in a change in existing programs, projects, or activities as enacted by or notified to the Congress.

(b) Subsection (a) shall not apply if the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.

(c) Any appropriations made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations.

(d) Any transfer under this section shall be treated as a reprogramming of funds under subsection (a) and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer.

(e) Notwithstanding subsections (a), (b), and (c), no funds shall be reprogrammed within or transferred between appropriations based upon an initial notification provided after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(f) The notification thresholds and procedures set forth in subsections (a), (b), (c), and (d) shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts that remain available for obligation in fiscal year 2020.

(g) The Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: Provided, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives 5 days in advance of such transfer.

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115–31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act: Provided, That funds from the working capital fund may be obligated and expended in anticipation of reimbursements from departmental components.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2020, as recorded in the financial records at the time of a reprogramming request, but not later than June 30, 2021, from appropriations for "Operations and Support" for fiscal year 2020 in this Act shall remain available through September 30, 2021, in the account and for the purposes for which the appropriations were provided: Provided, That prior to the obligation of such funds, a notification shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2020 until the enactment of an Act authorizing intelligence activities for fiscal year 2020.

SEC. 507. (a) The Secretary of Homeland Security, or the designee of the Secretary, shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of—

(1) making or awarding a grant allocation, grant, contract, other transaction agreement, or task or delivery order on a Department of Homeland Security multiple award contract, or issuing a letter of intent totaling in excess of \$1,000,000;

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Governmental receipts:</b>			
015–083400 Breached Bond Penalties .....	8	8	8
070–090100 Immigration Services Surcharge: Legislative proposal, subject to PAYGO .....			466
070–242600 Temporary L-1 Visa Fee Increase .....	13	13	13
070–242700 Temporary H-1B Visa Fee Increase .....	47	48	48
<b>General Fund Governmental receipts .....</b>	<b>68</b>	<b>69</b>	<b>535</b>
<b>Offsetting receipts from the public:</b>			
020–031100 Tonnage Duty Increases .....	26	27	27
070–090000 Passenger Security Fees Returned to the General Fund .....	1,320	1,360	1,400
070–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	23	22	22
069–242100 Marine Safety Fees .....	21	23	25
070–274030 Disaster Assistance, Downward Reestimates .....	6	63	
070–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	12		
<b>General Fund Offsetting receipts from the public .....</b>	<b>1,408</b>	<b>1,495</b>	<b>1,474</b>
<b>Intragovernmental payments:</b>			
070–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	–7		
<b>General Fund Intragovernmental payments .....</b>	<b>–7</b>		

## GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

(2) awarding a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds;

(3) making a sole-source grant award; or

(4) announcing publicly the intention to make or award items under paragraph (1), (2), or (3), including a contract covered by the Federal Acquisition Regulation.

(b) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(c) A notification under this section—

(1) may not involve funds that are not available for obligation; and

(2) shall include the amount of the award; the fiscal year for which the funds for the award were appropriated; the type of contract; and the account from which the funds are being drawn.

SEC. 508. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been approved, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 509. Sections 520, 522, and 530 of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

SEC. 510. None of the funds made available in this Act may be used in contravention of the applicable provisions of the Buy American Act: Provided, That for purposes of the preceding sentence, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 511. None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301-10.122 through 301-10.124 of title 41, Code of Federal Regulations.

SEC. 512. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

SEC. 513. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any Federal contract unless such contract is entered into in accordance with the requirements of subtitle I of title 41, United States Code, or chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

SEC. 514. The Secretary of Homeland Security shall ensure enforcement of immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))).

SEC. 515. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 516. None of the funds made available in this Act may be used to reimburse any Federal department or agency for its participation in a National Special Security Event.

SEC. 517. None of the funds made available to the Department of Homeland Security by this or any other Act may be obligated for any structural pay reform that affects more than 100 full-time positions or costs more than \$5,000,000 in a single year before the end of the 30-day period beginning on the date on which the Secretary of Homeland Security submits to Congress a notification that includes—

(a) the number of full-time positions affected by such change;

(b) funding required for such change for the current year and through the Future Years Homeland Security Program;

(c) justification for such change; and

(d) an analysis of compensation alternatives to such change that were considered by the Department.

SEC. 518. (a) Funding provided in this Act for "Operations and Support" may be used for minor procurement, construction, and improvements.

(b) For purposes of subsection (a), "minor procurement, construction, and improvements" is defined as personal property with a unit cost of \$250,000 or less, or construction and real property with a unit cost of \$2,000,000 or less.

SEC. 519. Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) is amended—

(a) in subsection (a)—

(1) by striking the matter preceding paragraph (1);

(2) by striking paragraph (2);

(3) by redesignating paragraph (1) as subsection (a); and

(4) by amending subsection (a); as so redesignated—

(A) by striking "When" and inserting "Subject to subsection (d), when";

(B) by inserting ", prototype, and production" after "development";

(C) by striking "section 2731 of title 10," and inserting "sections 2731 and 2731b of title 10,"; and

(D) by striking "(f)," and inserting "(f) of section 2731).";

(b) in subsection (c)—

(1) by striking the subsection designation and subsection heading;

(2) by striking paragraph (1); and

(3) by redesignating paragraph (2) as subsection (c); and

(c) in subsection (d), by striking "section 845(e) of the National Defense Authorization Act for Fiscal Year 1994 (Public Law 103-160; 10 U.S.C. 2371 note)." and inserting "section 2371b(e) of title 10, United States Code.".

SEC. 520. For fiscal year 2020, the Secretary of Homeland Security may provide, out of discretionary funds available to the Department of Homeland Security, for the primary and secondary schooling of dependents of Department of Homeland Security personnel who are stationed outside the continental United States and for the transportation of such dependents in the same manner and to the same extent that, pursuant to 14 U.S.C. 2906, the Secretary may provide, out of funds appropriated to or for the use of the Coast Guard, for primary and secondary schooling of, and the transportation of, dependents of Coast Guard personnel stationed outside the continental United States: Provided, That no amounts may be provided from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to a concurrent resolution on the budget or section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: Provided further, That no amounts may be provided from amounts that were designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 521. Section 642 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1373) is amended as follows—

(a) In subsection (a), by replacing "any government entity or official" with "any government law enforcement entity or official" and by striking all that follows after "from" and inserting the following new paragraphs—

"(1) sending to, or receiving from, the Department of Homeland Security information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)); or

"(2) complying with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual.".

(b) In subsection (b)—

(1) In the introductory clause, by inserting "law enforcement" before "entity" and by replacing "regarding the immigration status, lawful or unlawful, of any individual", with "information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual currently or previously in custody or currently or previously suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))";

(2) In paragraph (1), by replacing "the U.S. Immigration and Naturalization Service" with "Department of Homeland Security"; and

(3) In paragraph (2), by inserting ", collecting, inquiring into, or verifying" after "Maintaining".

(c) In subsection (c)—

(1) By replacing "the Immigration and Naturalization Service" with "the Department of Homeland Security"; and

(2) By replacing "the citizenship or immigration status" with "the nationality, citizenship, or immigration status".

(d) After subsection (c), by inserting the following—

"(d) The Secretary of Homeland Security or the Attorney General may condition a grant or cooperative agreement awarded by the Department of Homeland Security or the Department of Justice to a State or political subdivision of a state, for a purpose related to immigration, national security, law enforcement, or preventing, preparing for, protecting against or responding to acts of terrorism, on a requirement that the recipient of the grant or cooperative agreement agrees that it will—

"(1) Send to the Department of Homeland Security information requested by the Secretary of Homeland Security, or the Secretary's designee, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(2) Exchange, at the request of the Secretary of Homeland Security, or the Secretary's designee, information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, with any other Federal, State, or local government law enforcement entity, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(3) Not prohibit or restrict any entity, official, or employee from collecting, inquiring into, or verifying information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)), and will maintain any such information it may collect, during

the period of performance of a grant or cooperative agreement conditioned under this subsection; and

"(4) Comply with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual."

(e) In the section heading, by replacing "Immigration and Naturalization Service" with "Department of Homeland Security".

(f) The Secretary of Homeland Security or the Attorney General may require States and political subdivisions of States that apply for Federal grants or cooperative agreements from the Department of Homeland Security or the Department of Justice to include a certification that they will comply with subsection (d) in their applications for award. The Secretary or the Attorney General may prescribe the form of the certification for the Federal grants and cooperative agreements awarded by their respective Departments.

(g) The Secretary of Homeland Security and the Attorney General may enforce the provisions of this section through any lawful means, including by seeking injunctive or other relief from a court of competent jurisdiction.

(h) **SEVERABILITY.**—The provisions of this section are severable. If any provision of this section, or any application thereof, is found unconstitutional, that finding shall not affect any provision or application of this section not so adjudicated.

SEC. 522. Notwithstanding section 503 of this Act, up to five percent of any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred to the Department's "Information Technology Modernization Fund", as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018 (Public Law 115–91): Provided, That amounts transferred pursuant to this section shall remain available for three fiscal years: Provided further, That prior to the transfer of funds pursuant to this section a notification shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives at least three days in advance of such transfer.

SEC. 523. The Secretary of Homeland Security, or the designee of the Secretary, may waive reimbursement for salaries of personnel of the Department carrying out the training of foreign vetted law enforcement or national security units, pursuant to an Economy Act (31 U.S.C. 1535) agreement with the Department of Defense.

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

## PUBLIC AND INDIAN HOUSING PROGRAMS

### Federal Funds

#### RENTAL ASSISTANCE DEMONSTRATION

For continuing activities under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112-55), as amended, \$100,000,000, to remain available through September 30, 2024, for targeted supplemental subsidy to properties seeking to convert from assistance under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) where the section 9 assistance is insufficient to support conversion of the property under the demonstration, in accordance with procedures established by the Secretary.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 086-0406-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 RAD Incremental Conversion Cost .....			100
0100 Direct program activities, subtotal .....			100
0900 Total new obligations, unexpired accounts (object class 41.0) .....			100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			100
1930 Total budgetary resources available .....			100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			100
3020 Outlays (gross) .....			-100
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			100
Outlays, gross:			
4010 Outlays from new discretionary authority .....			100
4180 Budget authority, net (total) .....			100
4190 Outlays, net (total) .....			100

The Budget provides \$100 million for the Rental Assistance Demonstration program and expands its authority to convert additional properties to long-term, project-based Section 8 contracts that can leverage private financing for capital improvements. Under existing authorities, Public Housing Authorities (PHAs) and other owners of rental properties assisted under the Public Housing, Moderate Rehabilitation, Moderate Rehabilitation Single-Room Occupancy, Rent Supplement, Rental Assistance Payment, and Section 202 Housing for the Elderly Project Rental Assistance Contracts programs are offered the option to convert their properties to long-term Section 8 contracts.

Distinct from no-cost conversions, the requested \$100 million would be awarded to PHAs to cover the incremental subsidy necessary for Public Housing properties that could not otherwise convert in the absence of such funds.

The Budget also eliminates the 455,000 unit cap on no-cost Public Housing conversions.

#### TENANT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of tenant-based rental assistance authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) ("the Act" herein), not otherwise provided for, \$18,243,500,000, to remain available until September 30, 2022, shall be available on October 1, 2019 (in addition to the \$4,000,000,000 previously appropriated under this heading that shall be available on October 1, 2019), and \$4,000,000,000, to remain available

until September 30, 2023, shall be available on October 1, 2020: Provided, That the amounts made available under this heading are provided as follows:

(1) \$20,115,540,800 shall be available for renewals of expiring section 8 tenant-based annual contributions contracts (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers: Provided, That notwithstanding any other provision of law, from amounts provided under this paragraph and any carryover, the Secretary for the calendar year 2020 funding cycle shall provide renewal funding for each public housing agency based on validated voucher management system (VMS) leasing and cost data for the prior calendar year and by applying an inflation factor as established by the Secretary, by notice published in the Federal Register, and by making any necessary adjustments for the costs associated with the first-time renewal of vouchers under this paragraph, including tenant protection and Choice Neighborhoods vouchers: Provided further, That the Secretary shall, to the extent necessary to stay within the amount specified under this paragraph (except as otherwise modified under this paragraph), prorate each public housing agency's allocation otherwise established pursuant to this paragraph: Provided further, That except as provided in the following provisos, the entire amount specified under this paragraph (except as otherwise modified under this paragraph) shall be obligated to the public housing agencies based on the allocation and pro rata method described above, and the Secretary shall notify public housing agencies of their annual budget by the latter of 60 days after enactment of this Act or March 1, 2020: Provided further, That the Secretary may extend the notification period with notification to the House and Senate Committees on Appropriations: Provided further, That public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements and in accordance with the requirements of the MTW program and shall be subject to the same pro rata adjustments under the previous provisos: Provided further, That the Secretary may offset public housing agencies' calendar year 2020 allocations based on the excess amounts of public housing agencies' net restricted assets accounts, including HUD held programmatic reserves (in accordance with VMS data in calendar year 2019 that is verifiable and complete), as determined by the Secretary: Provided further, That public housing agencies participating in the MTW demonstration shall also be subject to the offset, as determined by the Secretary, from the agencies' calendar year 2020 MTW funding allocation: Provided further, That the Secretary shall use any offset referred to in the previous two provisos throughout the calendar year to prevent the termination of rental assistance for families as the result of insufficient funding, as determined by the Secretary, and to avoid or reduce the proration of renewal funding allocations: Provided further, That the Secretary may utilize unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading from prior year appropriations (excluding special purpose vouchers), notwithstanding the purposes for which such amounts were appropriated, to avoid or reduce such prorations: Provided further, That up to \$100,000,000 shall be available only: (1) for adjustments in the allocations for public housing agencies, after application for an adjustment by a public housing agency that experienced a significant increase, as determined by the Secretary, in renewal costs of vouchers resulting from unforeseen circumstances or from portability under section 8(r) of the Act; (2) for vouchers that were not in use during the previous 12-month period in order to be available to meet a commitment pursuant to section 8(o)(13) of the Act; (3) for adjustments for costs associated with HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers; (4) for public housing agencies that despite taking reasonable cost savings measures, as determined by the Secretary, would otherwise be required to terminate rental assistance for families as a result of insufficient funding; and (5) for public housing agencies that have experienced increased costs or loss of units in an area for which the President declared a disaster under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170 et seq.): Provided further, That the Secretary shall allocate amounts under the previous proviso based on need, as determined by the Secretary;

(2) \$130,000,000 shall be for section 8 rental assistance for relocation and replacement of housing units that are demolished or disposed of pursuant to section 18 of the Act, conversion of section 23 projects to assistance under section 8, the family unification program under section 8(x) of the Act, relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency, enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act, HOPE VI and Choice Neighborhood vouchers, mandatory and voluntary conversions, and tenant protection assistance in connection with the release of the Declaration of Trust from a public housing property, and tenant protection

TENANT-BASED RENTAL ASSISTANCE—Continued

assistance including replacement and relocation assistance or for project-based assistance to prevent the displacement of unassisted elderly tenants currently residing in section 202 properties financed between 1959 and 1974 that are refinanced pursuant to Public Law 106–569, as amended, or under the authority as provided under this Act: Provided, That when a public housing development is submitted for demolition or disposition under section 18 of the Act, the Secretary may provide section 8 rental assistance when the units pose an imminent health and safety risk to residents: Provided further, That the Secretary may only provide replacement vouchers for units that were occupied within the previous 24 months that cease to be available as assisted housing, subject only to the availability of funds: Provided further, That any tenant protection voucher made available from amounts under this paragraph shall not be reissued by any public housing agency, except the replacement vouchers as defined by the Secretary by notice, when the initial family that received any such voucher no longer receives such voucher, and the authority for any public housing agency to issue any such voucher shall cease to exist;

(3) \$1,738,459,200 shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program, of which up to \$20,000,000 shall be available to the Secretary to allocate to public housing agencies that need additional funds to administer their section 8 programs, including fees associated with section 8 tenant protection rental assistance, the administration of disaster related vouchers, HUD-VASH vouchers, and other special purpose incremental vouchers: Provided, That no less than \$1,718,459,200 of the amount provided in this paragraph shall be allocated to public housing agencies for the calendar year 2020 funding cycle based on section 8(q) of the Act (and related Appropriation Act provisions) as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998 (Public Law 105–276): Provided further, That if the amounts made available under this paragraph are insufficient to pay the amounts determined under the previous proviso, the Secretary may decrease the amounts allocated to agencies by a uniform percentage applicable to all agencies receiving funding under this paragraph or may, to the extent necessary to provide full payment of amounts determined under the previous proviso, utilize unobligated balances, including recaptures and carryovers, remaining from funds appropriated to the Department of Housing and Urban Development under this heading in this Act and prior year Acts (excluding special purpose vouchers), notwithstanding the purposes for which such amounts were appropriated: Provided further, That public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements and in accordance with the requirements of the MTW program, and shall be subject to the same uniform percentage decrease as under the previous proviso: Provided further, That amounts provided under this paragraph shall be only for activities related to the provision of tenant-based rental assistance authorized under section 8, including related development activities;

(4) \$259,500,000 shall be for the renewal of tenant-based assistance contracts under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), including necessary administrative expenses: Provided, That administrative and other expenses of public housing agencies in administering the special purpose vouchers in this paragraph shall be funded under the same terms and be subject to the same pro rata reduction as the percent decrease for administrative and other expenses to public housing agencies under paragraph (3) of this heading;

(5) Up to \$4,000,000 of the amounts provided under paragraph (1) under this heading shall be for rental assistance and associated administrative fees for Tribal HUD-VA Supportive Housing (Tribal HUD-VASH) to serve Native American veterans that are homeless or at-risk of homelessness living on or near a reservation or other Indian areas: Provided, That such amount shall be made available for renewal grants to the recipients that received assistance under the rental assistance and supportive housing demonstration program for Native American veterans authorized under the heading "Tenant-Based Rental Assistance" in prior acts: Provided further, That the Secretary shall be authorized to specify criteria for such renewal grants, including data on the utilization of assistance reported by grant recipients under the demonstration program: Provided further, That funds shall be awarded based on need, and administrative capacity, as established by the Secretary in a Notice published in the Federal Register after coordination with the Secretary of Veterans Affairs: Provided further, That renewal grants under this paragraph shall be administered by block grant recipients in accordance with program requirements under the Native American Housing Assistance and Self-Determination Act of 1996: Provided further, That assistance under this paragraph shall be modeled after, with necessary and appropriate adjustments for Native American grant recipients and veterans, the rental assistance and supportive housing program known as HUD-VASH, including administration in conjunction with the Department of Veterans Affairs and overall implementation of section

8(o)(19) of the United States Housing Act of 1937: Provided further, That the Secretary of Housing and Urban Development may waive or specify alternative requirements for any provision of any statute or regulation that the Secretary administers in connection with the use of funds made available under this paragraph (except requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waiver or alternative requirement is necessary for the effective delivery and administration of such assistance: Provided further, That grant recipients shall report to the Secretary on utilization of such rental assistance and other program data, as prescribed by the Secretary;

(6) the Secretary shall separately track all special purpose vouchers funded under this heading; and

(7) All unobligated balances from funds appropriated under the heading "Department of Housing and Urban Development—Public and Indian Housing—Tenant Based Rental Assistance" in the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110–329) are hereby permanently cancelled.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086–0302–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Tenant Protection .....	79	224	130
0002 Administrative Fees .....	1,741	1,952	1,738
0006 Contract Renewals .....	19,481	19,697	20,116
0007 Rental Assistance Demonstration .....	100	97	89
0008 Veterans Affairs Supportive Housing Vouchers .....	79	45	.....
0013 Section 811 Mainstream Vouchers .....	214	815	260
0014 Family Unification Program .....	.....	50	.....
0015 Tribal HUD VASH .....	4	13	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	21,698	22,893	22,333
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	369	807	6
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–8	.....	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1033 Recoveries of prior year paid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	374	807	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	18,015	18,015	18,244
1121 Appropriations transferred from other acct [086–0304] .....	28	19	.....
1121 Appropriations transferred from other acct [086–0163] .....	88	58	89
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–6
1160 Appropriation, discretionary (total) .....	18,131	18,092	18,327
Advance appropriations, discretionary:			
1170 Advance appropriation .....	4,000	4,000	4,000
1900 Budget authority (total) .....	22,131	22,092	22,327
1930 Total budgetary resources available .....	22,505	22,899	22,333
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	807	6	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,562	3,872	4,447
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	9	.....	.....
3010 New obligations, unexpired accounts .....	21,698	22,893	22,333
3020 Outlays (gross) .....	–21,393	–22,318	–22,354
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4	.....	.....
3050 Unpaid obligations, end of year .....	3,872	4,447	4,426
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,571	3,872	4,447
3200 Obligated balance, end of year .....	3,872	4,447	4,426
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22,131	22,092	22,327
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18,644	19,360	19,565
4011 Outlays from discretionary balances .....	2,749	2,958	2,789
4020 Outlays, gross (total) .....	21,393	22,318	22,354

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-9	
Additional offsets against gross budget authority only:			
4053	Recoveries of prior year paid obligations, unexpired accounts .....	9	
4070	Budget authority, net (discretionary) .....	22,131	22,092 22,327
4080	Outlays, net (discretionary) .....	21,384	22,318 22,354
4180	Budget authority, net (total) .....	22,131	22,092 22,327
4190	Outlays, net (total) .....	21,384	22,318 22,354

The Budget provides \$22.2 billion for the Tenant-Based Rental Assistance program (also known as the Housing Choice Voucher program), which is the Federal Government's largest income-targeted rental assistance program. With this funding, the Housing Choice Voucher program will provide housing assistance to around 2.3 million extremely low- to very low-income families to rent decent, safe, and sanitary housing in the private market. About 2,200 state and local Public Housing Authorities (PHAs) administer the Housing Choice Voucher program.

The Budget provides \$20.1 billion in contract renewals to continue to assist families in calendar year 2020. The Budget also includes \$260 million for the renewal of over 37,000 Section 811 mainstream housing vouchers for persons with disabilities, including the first-time renewal of new mainstream vouchers allocated in 2019, and associated administrative fees.

In addition the Budget requests the following: \$1.7 billion in PHA administrative fees to support fundamental functions such as admitting households, conducting housing quality inspections, and completing tenant income certifications; \$130 million for tenant protection vouchers, which are provided to families who may have to relocate due to actions beyond their control, such as public housing demolition or redevelopment, and when private owners of multi-family developments choose to leave the project-based program or convert to long-term Section 8 contracts as a part of the Rental Assistance Demonstration program; and up to \$4 million for the renewal of vouchers by tribes under the Tribal Housing and Department of Housing and Urban Development and Department of Veterans Affairs Supportive Housing (HUD-VASH) program, to serve Native American veterans that are homeless or at risk of homelessness and living in and around designated tribal areas.

Further, the Budget supports legislative reforms as reflected in the Making Affordable Housing Work Act of 2018, and incorporates the Administration's proposed uniform work requirements.

**HOUSING CERTIFICATE FUND**  
(INCLUDING CANCELLATIONS)

*Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading, the heading "Annual Contributions for Assisted Housing", and the heading "Project-Based Rental Assistance", for fiscal year 2020 and prior years may be used for renewal of or amendments to section 8 project-based contracts and for performance-based contract administrators or contractors, notwithstanding the purposes for which such funds were appropriated: Provided, That any obligated balances of contract authority from fiscal year 1974 and prior that have been terminated are hereby permanently cancelled: Provided further, That amounts heretofore recaptured, or recaptured during the current fiscal year, from section 8 project-based contracts from source years fiscal year 1975 through fiscal year 1987 are hereby permanently cancelled, and an amount of additional new budget authority, equivalent to the amount permanently cancelled is hereby appropriated, to remain available until expended, for the purposes set forth under this heading, in addition to amounts otherwise available.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086–0319–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals .....		30	
0002 Contract Administrators .....	60	107	20
0900 Total new obligations, unexpired accounts (object class 41.0) .....	60	137	20
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	189	142	37
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-3		
1021 Recoveries of prior year unpaid obligations .....	85	66	66
1029 Other balances withdrawn to Treasury .....	-73	-36	-36
1033 Recoveries of prior year paid obligations .....	4	2	2
1050 Unobligated balance (total) .....	202	174	69
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	51	26	26
1131 Unobligated balance of appropriations permanently reduced (HCF funds) .....	-51	-26	-26
1930 Total budgetary resources available .....	202	174	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	142	37	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	418	271	240
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	3		
3010 New obligations, unexpired accounts .....	60	137	20
3020 Outlays (gross) .....	-125	-102	-82
3040 Recoveries of prior year unpaid obligations, unexpired .....	-85	-66	-66
3050 Unpaid obligations, end of year .....	271	240	112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	421	271	240
3200 Obligated balance, end of year .....	271	240	112
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	125	102	82
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-2	-2
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	4	2	2
4080 Outlays, net (discretionary) .....	121	100	80
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	121	100	80

Until 2005, the Housing Certificate Fund provided funding to both the project-based and tenant-based components of the Section 8 program. Project-Based Rental Assistance (PBRA) and Tenant-Based Rental Assistance are now funded in separate accounts. The Housing Certificate Fund retains and recovers balances from previous years' appropriations, and uses those balances to support PBRA contract renewals, amendments, and administration.

**PUBLIC HOUSING CAPITAL FUND**  
(INCLUDING TRANSFER OF FUNDS)

*Unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading in prior fiscal years, excluding set asides, shall be transferred to the heading "Public Housing Operating Fund" for distribution to public housing agencies pursuant to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

PUBLIC HOUSING CAPITAL FUND—Continued  
Program and Financing (in millions of dollars)

Identification code 086-0304-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Grants (Modernization)	2,658	2,727	
0003 Emergency/Disaster Reserve	29	16	
0006 Resident Opportunities and Supportive Services	36	35	
0007 Administrative Receivership	1	1	
0008 Financial and Physical Assessment Support	11	8	
0010 Jobs-Plus Pilot		15	
0011 Safety and Security	6	5	
0012 Lead-Based Paint Reduction	18		
0900 Total new obligations, unexpired accounts (object class 41.0)	2,759	2,807	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	134	88	
1020 Adjustment of unobligated bal brought forward, Oct 1	-1		
1021 Recoveries of prior year unpaid obligations	5		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	139	88	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,750	2,750	
1120 Appropriations transferred to other accts [086-0302]	-28	-19	
1120 Appropriations transferred to other accts [086-0303]	-14	-12	
1160 Appropriation, discretionary (total)	2,708	2,719	
1900 Budget authority (total)	2,708	2,719	
1930 Total budgetary resources available	2,847	2,807	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	88		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,758	4,609	5,109
3001 Adjustments to unpaid obligations, brought forward, Oct 1			
3010 New obligations, unexpired accounts	2,759	2,807	
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-1,898	-2,307	-2,322
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3041 Recoveries of prior year unpaid obligations, expired	-8		
3050 Unpaid obligations, end of year	4,609	5,109	2,787
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,760	4,609	5,109
3200 Obligated balance, end of year	4,609	5,109	2,787
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	2,708	2,719	
Outlays, gross:			
4010 Outlays from new discretionary authority	98	84	
4011 Outlays from discretionary balances	1,800	2,223	2,322
4020 Outlays, gross (total)	1,898	2,307	2,322
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	2,708	2,719	
4080 Outlays, net (discretionary)	1,896	2,307	2,322
4180 Budget authority, net (total)	2,708	2,719	
4190 Outlays, net (total)	1,896	2,307	2,322

The 2020 Budget requests no funding for the Public Housing Capital Fund, a formula grant program designed to address the most acute capital repairs and replacement needs in public housing properties. The Budget proposes that all unobligated balances from the Capital Fund, excluding set-asides, be directed to the Public Housing Operating Fund and distributed to Public Housing Authorities through the Operating Fund formula.

PUBLIC HOUSING OPERATING FUND

For 2020 payments to public housing agencies (PHAs) for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(e)), and for other purposes as specified under this heading, \$2,863,000,000, to remain available until September 30, 2021 (except as otherwise specified under this heading): Provided, That notwithstanding any other provision of law or regulation, of the total amount available under this heading, \$340,000,000 shall be available to the Secretary to allocate pursuant to a need-based application process not subject to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations to PHAs that experience financial insolvency, as determined by the Secretary: Provided further, That after all such insolvency needs are met, the Secretary may distribute any remaining funds to all PHAs on a pro-rata basis pursuant to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations: Provided further, That of the total amount made available under this heading, no less than \$30,000,000 shall be available until September 30, 2023 for competitive grants to PHAs for demolition, and the associated relocation and administrative costs, of the most distressed public housing units: Provided further, That of the total amount made available under this heading, up to \$16,000,000 shall be available until September 30, 2023 to support the costs of administrative and judicial receiverships, and for competitive grants to PHAs in receivership, designated troubled or substandard, or otherwise at risk, as determined by the Secretary, for costs associated with public housing asset improvement, repositioning or recapitalization, in addition to other amounts for that purpose provided under any heading under this title: Provided further, That of the total amount made available under this heading, not to exceed \$10,000,000 shall be available until September 30, 2023 for the Secretary to make grants, notwithstanding section 203 of this Act, to PHAs for emergency capital needs resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially-declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year 2020: Provided further, That of the total amount made available under this heading, up to \$15,000,000 shall be available until September 30, 2023 for a Jobs-Plus initiative modeled after the Jobs-Plus demonstration: Provided further, That funding under the previous proviso shall be available for competitive grants to partnership between PHAs, local workforce investment boards established under section 107 of the Workforce Innovation and Opportunity Act of 2014 (29 U.S.C. 3122), and other agencies and organizations that provide support to help public housing residents obtain employment and increase earnings: Provided further, That applicants must demonstrate the ability to provide services to residents, partner with workforce investment boards, and leverage service dollars: Provided further, That the Secretary may allow PHAs to request exemptions from rent and income limitation requirements under sections 3 and 6 of the United States Housing Act of 1937 (42 U.S.C. 1437a and 1437d) as necessary to implement the Jobs-Plus program, including earned income disregards, on such terms and conditions as the Secretary may approve upon a finding by the Secretary that any such or alternative requirements are necessary for the effective implementation of the Jobs-Plus initiative as a voluntary program for residents: Provided further, That the Secretary shall publish by notice in the Federal Register any waivers or alternative requirements pursuant to the preceding two provisos no later than 10 days before the effective date of such notice: Provided further, That the amount of any reduced tenant rent payments due to the implementation of rent incentives as authorized pursuant to such waivers or alternative requirements shall be factored into the PHA's general operating fund eligibility pursuant to part 990 of title 24, Code of Federal Regulations, and shall not be charged against the competitive grant amounts.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0163-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Subsidy	4,767	4,856	2,600
0003 Emergency/Disaster Reserve			10
0007 Administrative Receivership			16
0010 Jobs-Plus Pilot			15
0013 Demolition Grants			30
0900 Total new obligations, unexpired accounts (object class 41.0)	4,767	4,856	2,671
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	753	399	

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	4,550	4,550 2,863
1120	Appropriations transferred to other accts [086-0302] .....	-88	-58 -89
1120	Appropriations transferred to other accts [086-0303] .....	-49	-35 -59
1160	Appropriation, discretionary (total) .....	4,413	4,457 2,715
1930	Total budgetary resources available .....	5,166	4,856 2,715
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	399	..... 44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	395	773 1,293
3010	New obligations, unexpired accounts .....	4,767	4,856 2,671
3020	Outlays (gross) .....	-4,385	-4,336 -3,221
3041	Recoveries of prior year unpaid obligations, expired .....	-4	.....
3050	Unpaid obligations, end of year .....	773	1,293 743
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	395	773 1,293
3200	Obligated balance, end of year .....	773	1,293 743
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	4,413	4,457 2,715
Outlays, gross:			
4010	Outlays from new discretionary authority .....	3,251	3,164 1,928
4011	Outlays from discretionary balances .....	1,134	1,172 1,293
4020	Outlays, gross (total) .....	4,385	4,336 3,221
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-3	.....
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	3	.....
4060	Additional offsets against budget authority only (total) .....	3	.....
4070	Budget authority, net (discretionary) .....	4,413	4,457 2,715
4080	Outlays, net (discretionary) .....	4,382	4,336 3,221
4180	Budget authority, net (total) .....	4,413	4,457 2,715
4190	Outlays, net (total) .....	4,382	4,336 3,221

The Budget requests \$2.9 billion for the Public Housing Operating Fund. Of this amount, approximately \$2.5 billion is provided for operating subsidies in the form of formula grants awarded to Public Housing Authorities (PHAs) to support the operation and maintenance of approximately one million public housing units, which serve some of the nation's most vulnerable families. The request includes an additional \$340 million for operating shortfall funding to PHAs that are at risk of financial insolvency based on projected funding and PHA operating reserves.

The 2020 Budget eliminates the Public Housing Capital Fund and moves the set-asides previously provided within the Capital Fund to the Operating Fund. These set-asides include up to \$15 million for Jobs-Plus, an evidence-based program to increase the employment and earnings of Public Housing residents; up to \$10 million for an Emergency and Natural Disaster Reserve, which provides grants to PHAs for capital needs arising from emergency situations or non-Presidentially declared natural disasters; and no less than \$30 million for competitive grants to facilitate the demolition of physically obsolete public housing properties.

In addition, the Budget requests up to \$16 million to support PHAs in administrative and judicial receiverships and to provide grants to help PHAs designated as troubled or substandard to improve or reposition their public housing units.

Further, the Budget supports legislative reforms as reflected in the Making Affordable Housing Work Act of 2018, and incorporates the Administration's proposed uniform work requirements.

CHOICE NEIGHBORHOODS INITIATIVE

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0349-0-1-604	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Choice Neighborhoods Grants .....	153	150	.....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	153	150	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	137	134	134
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	150	150	.....
1930	Total budgetary resources available .....	287	284	134
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	134	134	134
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	414	509	497
3010	New obligations, unexpired accounts .....	153	150	.....
3020	Outlays (gross) .....	-58	-162	-134
3050	Unpaid obligations, end of year .....	509	497	363
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	414	509	497
3200	Obligated balance, end of year .....	509	497	363
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	150	150	.....
Outlays, gross:				
4011	Outlays from discretionary balances .....	58	162	134
4180	Budget authority, net (total) .....	150	150	.....
4190	Outlays, net (total) .....	58	162	134

The 2020 Budget does not request funding for Choice Neighborhoods. The Choice Neighborhoods Initiative provides competitive planning and implementation grants to improve neighborhoods with distressed public and/or other Department of Housing and Urban Development assisted housing. The Department will continue to monitor and provide assistance for existing HOPE VI and Choice Neighborhood projects.

REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)

Program and Financing (in millions of dollars)

Identification code 086-0218-0-1-604	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	HOPE VI/Choice Neighborhoods Grants .....	1	.....	.....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1	.....	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	.....	.....
1930	Total budgetary resources available .....	1	.....	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	49	32	2
3010	New obligations, unexpired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-18	-30	.....
3050	Unpaid obligations, end of year .....	32	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	49	32	2
3200	Obligated balance, end of year .....	32	2	2
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	18	30	.....
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	18	30	.....

REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)—Continued

The HOPE VI program has accomplished its goal of contributing to the demolition of approximately 100,000 severely distressed Public Housing units. The Budget proposes no additional funds for this program.

FAMILY SELF-SUFFICIENCY

For the Family Self-Sufficiency program to support family self-sufficiency coordinators under section 23 of the United States Housing Act of 1937, to promote the development of local strategies to coordinate the use of assistance under sections 8 and 9 of such Act with public and private resources, and enable eligible families to achieve economic independence and self-sufficiency, \$75,000,000, to remain available until September 30, 2022: Provided, That the Secretary may, by Federal Register notice, waive or specify alternative requirements under subsections b(3), b(4), b(5), or c(1) of section 23 of such Act in order to facilitate the operation of a unified self-sufficiency program for individuals receiving assistance under different provisions of the Act, as determined by the Secretary: Provided further, That owners of a privately owned multifamily property with a section 8 contract may voluntarily make a Family Self-Sufficiency program available to the assisted tenants of such property in accordance with procedures established by the Secretary: Provided further, That such procedures established pursuant to the previous proviso shall permit participating tenants to accrue escrow funds in accordance with section 23(d)(2) and shall allow owners to use funding from residual receipt accounts to hire coordinators for their own Family Self-Sufficiency program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086–0350–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Family Self-Sufficiency .....	75	75	75
0900 Total new obligations, unexpired accounts (object class 41.0) .....	75	75	75
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	75	75	75
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	75	75	75
1930 Total budgetary resources available .....	150	150	150
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	75	75	75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	27	29	30
3010 New obligations, unexpired accounts .....	75	75	75
3020 Outlays (gross) .....	-71	-74	-75
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	29	30	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	29	30
3200 Obligated balance, end of year .....	29	30	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	75	75	75
Outlays, gross:			
4011 Outlays from discretionary balances .....	71	74	75
4180 Budget authority, net (total) .....	75	75	75
4190 Outlays, net (total) .....	71	74	75

The Budget requests \$75 million for the Family Self-Sufficiency (FSS) program to help Housing Choice Voucher, Public Housing, and Project-Based Rental Assistance (PBRA) residents achieve self-sufficiency and economic independence. FSS provides service coordination through community partnerships that link assisted residents with employment assistance, job training, child care, transportation, financial literacy, and other supportive services. Residents participating in FSS are provided an interest bearing

escrow account; any rent increase resulting from increased earned income during their participation in the program is credited to the escrow account.

The Budget supports FSS through competitive funding for public housing agencies and authority for PBRA owners to use funds from their residual receipt accounts or other sources to hire service coordinators.

NATIVE AMERICAN HOUSING BLOCK GRANTS

For the Native American Housing Block Grants program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), \$600,000,000, to remain available until September 30, 2024: Provided, That, notwithstanding NAHASDA, to determine the amount of the allocation under title I of such Act for each Indian tribe, the Secretary shall apply the formula under section 302 of such Act with the need component based on single-race census data and with the need component based on multi-race census data, and the amount of the allocation for each Indian tribe shall be the greater of the two resulting allocation amounts: Provided further, That of the amount provided under this heading, \$2,000,000 shall be made available for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA: Provided further, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed \$32,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086–0313–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Indian Housing Block Grants .....	675	677	633
0011 Technical Assistance .....	3	3	3
0015 National and Regional Organizations .....	4	3	3
0091 Direct program activities, subtotal .....	682	683	639
Credit program obligations:			
0702 Loan guarantee subsidy .....		2	2
0707 Reestimates of loan guarantee subsidy .....	2		
0791 Direct program activities, subtotal .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	684	685	641
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	51	124	194
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	51		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-20		
1021 Recoveries of prior year unpaid obligations .....	1		
1033 Recoveries of prior year paid obligations .....	19		
1050 Unobligated balance (total) .....	51	124	194
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	755	755	600
Appropriations, mandatory:			
1200 Appropriation .....	2		
1900 Budget authority (total) .....	757	755	600
1930 Total budgetary resources available .....	808	879	794
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	124	194	153
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	743	789	859
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	19		
3010 New obligations, unexpired accounts .....	684	685	641
3020 Outlays (gross) .....	-656	-615	-590
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	789	859	910
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	762	789	859
3200 Obligated balance, end of year .....	789	859	910

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	755	755 600
Outlays, gross:			
4010	Outlays from new discretionary authority .....	244	226 180
4011	Outlays from discretionary balances .....	410	389 410
4020	Outlays, gross (total) .....	654	615 590
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-19	.....
Additional offsets against gross budget authority only:			
4053	Recoveries of prior year paid obligations, unexpired accounts .....	19	.....
4070	Budget authority, net (discretionary) .....	755	755 600
4080	Outlays, net (discretionary) .....	635	615 590
Mandatory:			
4090	Budget authority, gross .....	2	.....
Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	.....
4180	Budget authority, net (total) .....	757	755 600
4190	Outlays, net (total) .....	637	615 590

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 086-0313-0-1-604	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001	Title VI Indian Federal Guarantees Program .....	2	17 32
Guaranteed loan subsidy (in percent):			
232001	Title VI Indian Federal Guarantees Program .....	11.50	11.26 6.25
232999	Weighted average subsidy rate .....	11.50	11.26 6.25
Guaranteed loan subsidy budget authority:			
233001	Title VI Indian Federal Guarantees Program .....	.....	2 2
Guaranteed loan subsidy outlays:			
234001	Title VI Indian Federal Guarantees Program .....	1	2 2
Guaranteed loan reestimates:			
235001	Title VI Indian Federal Guarantees Program .....	-4	-14 .....

The Budget requests \$598 million for the Indian Housing Block Grant program, which allocates funding on a formula basis. The program supports a wide range of affordable housing activities in Indian Country to recipients representing more than 570 Indian Tribes nationwide. The Budget also requests \$2 million to support up to \$32 million in new loan guarantees through the Title VI program, which assists Tribes or Tribally-designated entities finance affordable housing construction and related community development projects.

TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4244-0-3-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742	Downward reestimates paid to receipt accounts .....	4	11 .....
0743	Interest on downward reestimates .....	2	3 .....
0900	Total new obligations, unexpired accounts .....	6	14 .....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	20	16 5
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	3 3
1930	Total budgetary resources available .....	22	19 8
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	16	5 8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	6	14 .....
3020	Outlays (gross) .....	-6	-14 .....
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1 -1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1 -1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-1	-1 -1

3200	Obligated balance, end of year .....	-1	-1 -1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	3 3
Financing disbursements:			
4110	Outlays, gross (total) .....	6	14 .....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-2	-3 -3
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	4	11 -3

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4244-0-3-604	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	17	17 32
2121	Limitation available from carry-forward .....	13	28 28
2143	Uncommitted limitation carried forward .....	-28	-28 -28
2150	Total guaranteed loan commitments .....	2	17 32
2199	Guaranteed amount of guaranteed loan commitments .....	2	17 32
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	80	81 96
2231	Disbursements of new guaranteed loans .....	8	20 20
2251	Repayments and prepayments .....	-7	-5 -5
2263	Adjustments: Terminations for default that result in claim payments .....	.....	.....
2290	Outstanding, end of year .....	81	96 111
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	81	96 110

**Balance Sheet** (in millions of dollars)

Identification code 086-4244-0-3-604	2017 actual	2018 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury .....	17 16
1999	Total assets .....	17 16
LIABILITIES:		
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	17 16
NET POSITION:		
3300	Cumulative results of operations .....	.....
4999	Total liabilities and net position .....	17 16

NATIVE HAWAIIAN HOUSING BLOCK GRANT

**Program and Financing** (in millions of dollars)

Identification code 086-0235-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Native Hawaiian Housing Block Grant .....	4	2 .....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	4	2 .....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	1 1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	2	2 .....
1930	Total budgetary resources available .....	5	3 1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1 1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	14	16 15
3010	New obligations, unexpired accounts .....	4	2 .....
3020	Outlays (gross) .....	-2	-3 -3
3050	Unpaid obligations, end of year .....	16	15 12
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	14	16 15

NATIVE HAWAIIAN HOUSING BLOCK GRANT—Continued  
Program and Financing—Continued

Identification code 086-0235-0-1-604	2018 actual	2019 est.	2020 est.
3200 Obligated balance, end of year .....	16	15	12
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	.....
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	3
4180 Budget authority, net (total) .....	2	2	.....
4190 Outlays, net (total) .....	2	3	3

The Native Hawaiian Housing Block Grant program provides funds to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families. The Hawaiian Department of Hawaiian Home Lands is the sole recipient of NHHBG funds and has balances of prior years' budget authority to support these goals. Therefore, the Budget does not request funds for this program.

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a), \$2,500,000, to remain available until expended: Provided, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, up to \$1,000,000,000, to remain available until expended: Provided further, That up to \$500,000 of this amount may be for administrative contract expenses including management and systems to carry out the loan guarantee program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0223-0-1-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	2	2	1
0707 Reestimates of loan guarantee subsidy .....	10	13	.....
0708 Interest on reestimates of loan guarantee subsidy .....	4	9	.....
0709 Administrative expenses .....	.....	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	16	25	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	8	6
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	9	8	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	3
Appropriations, mandatory:			
1200 Appropriation .....	14	22	.....
1900 Budget authority (total) .....	15	23	3
1930 Total budgetary resources available .....	24	31	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	6	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3010 New obligations, unexpired accounts .....	16	25	2
3020 Outlays (gross) .....	-17	-25	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	.....	.....
4011 Outlays from discretionary balances .....	2	3	2

4020 Outlays, gross (total) .....	3	3	2
Mandatory:			
4090 Budget authority, gross .....	14	22	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	14	22	.....
4180 Budget authority, net (total) .....	15	23	3
4190 Outlays, net (total) .....	17	25	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0223-0-1-371	2018 actual	2019 est.	2020 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Indian Housing Loan Guarantee .....	551	880	880
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Indian Housing Loan Guarantee .....	0.37	0.26	0.11
232999 Weighted average subsidy rate .....	0.37	0.26	0.11
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Indian Housing Loan Guarantee .....	3	3	1
<b>Guaranteed loan subsidy outlays:</b>			
234001 Indian Housing Loan Guarantee .....	2	3	1
<b>Guaranteed loan reestimates:</b>			
235001 Indian Housing Loan Guarantee .....	2	-68	.....
<b>Administrative expense data:</b>			
3510 Budget authority .....	1	1	1

The Indian Housing Loan Guarantee program (also known as the Section 184 program) provides access to private mortgage financing for Native Americans, Indian Tribes and their tribally-designated housing entities that could otherwise face barriers due to the unique legal status of Indian trust land. The Budget requests \$2.5 million to support up to \$1 billion in new loan guarantees for this program.

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4104-0-3-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	31	22	22
0713 Payment of interest to Treasury .....	6	6	6
0742 Downward reestimates paid to receipt accounts .....	9	81	.....
0743 Interest on downward reestimates .....	3	9	.....
0900 Total new obligations, unexpired accounts .....	49	118	28
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	307	319	250
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	61	49	25
1930 Total budgetary resources available .....	368	368	275
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	319	250	247
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	49	118	28
3020 Outlays (gross) .....	-49	-118	-25
3050 Unpaid obligations, end of year .....	.....	.....	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-2	-2	-2
3200 Obligated balance, end of year .....	-2	-2	1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	61	49	25
Financing disbursements:			
4110 Outlays, gross (total) .....	49	118	25
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-17	-25	-1
4122 Interest on uninvested funds .....	-11	-12	-12

4123	Non-Federal sources .....	-33	-12	-12
4130	Offsets against gross budget authority and outlays (total) ....	-61	-49	-25
4170	Outlays, net (mandatory) .....	-12	69	.....
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	-12	69	.....

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4104-0-3-604	2018 actual	2019 est.	2020 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Guaranteed loan commitments from current-year authority .....	68	96	1,000
2121	Limitation available from carry-forward .....	1,536	1,053	269
2143	Uncommitted limitation carried forward .....	-1,053	-269	-389
2150	Total guaranteed loan commitments .....	551	880	880
2199	Guaranteed amount of guaranteed loan commitments .....	551	880	880
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	6,653	7,227	8,079
2231	Disbursements of new guaranteed loans .....	582	880	880
2251	Repayments and prepayments .....	-20	-6	-6
<b>Adjustments:</b>				
2263	Terminations for default that result in claim payments .....	-32	-22	-22
2264	Other adjustments, net .....	44	.....	.....
2290	Outstanding, end of year .....	7,227	8,079	8,931
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	7,227	8,079	8,931

**Balance Sheet** (in millions of dollars)

Identification code 086-4104-0-3-604	2017 actual	2018 actual	
<b>ASSETS:</b>			
<b>Federal assets:</b>			
1101	Fund balances with Treasury .....	307	305
<b>Investments in U.S. securities:</b>			
1106	Receivables, net .....	.....	1
1504	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property .....	37	7
1999	Total assets .....	344	313
<b>LIABILITIES:</b>			
<b>Federal liabilities: Debt Payable to Treasury .....</b>			
2103	.....	116	116
<b>Non-Federal liabilities:</b>			
2201	Accounts payable .....	3	.....
2204	Liabilities for loan guarantees .....	225	194
2207	Unearned revenues and advances .....	.....	2
2999	Total liabilities .....	344	312
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	.....	1
4999	Total liabilities and net position .....	344	313

**NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0233-0-1-371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0707	Reestimates of loan guarantee subsidy .....	1	4	.....
0708	Interest on reestimates of loan guarantee subsidy .....	.....	1	.....
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1	5	.....
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	6
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	1	5	.....
1900	Budget authority (total) .....	1	5	.....
1930	Total budgetary resources available .....	7	11	6

<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	New obligations, unexpired accounts .....	1	5	.....
3020	Outlays (gross) .....	-1	-5	.....

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	1	5	.....
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	1	5	.....
4180	Budget authority, net (total) .....	1	5	.....
4190	Outlays, net (total) .....	1	5	.....

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 086-0233-0-1-371	2018 actual	2019 est.	2020 est.	
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>				
215001	Native Hawaiian Housing Loan Guarantees .....	17	23	23
<b>Guaranteed loan subsidy (in percent):</b>				
232001	Native Hawaiian Housing Loan Guarantees .....	-28	-32	-34
232999	Weighted average subsidy rate .....	-28	-32	-34
<b>Guaranteed loan reestimates:</b>				
235001	Native Hawaiian Housing Loan Guarantees .....	.....	5	.....

The Native Hawaiian Housing Loan Guarantee program (also known as the Section 184A program) provides access to private mortgage financing to Native Hawaiian families who are eligible to reside on Hawaiian home lands and would otherwise face barriers to acquiring such financing because of the unique legal status of the Hawaiian home lands. The Budget does not request any new credit subsidy budget authority for this program. Since 2017, this program has operated on a negative subsidy basis, and the program has sufficient balances of prior-year loan guarantee limitation to maintain program operations. The Budget estimates \$23 million in new loan guarantees in 2019.

**NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4351-0-3-371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0711	Default claim payments on principal .....	1	2	1
0743	Interest on downward reestimates .....	1	.....	.....
0900	Total new obligations, unexpired accounts .....	2	2	1
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	9
<b>Financing authority:</b>				
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	1	2	.....
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	1	6	2
1900	Budget authority (total) .....	2	8	2
1930	Total budgetary resources available .....	5	11	11
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	3	9	10
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	New obligations, unexpired accounts .....	2	2	1
3020	Outlays (gross) .....	-2	-2	-1
<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	2	8	2
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	2	2	1
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-1	-5	-1

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 086-4351-0-3-371	2018 actual	2019 est.	2020 est.
4122 Interest on uninvested funds .....		-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-1	-6	-2
4160 Budget authority, net (mandatory) .....	1	2	
4170 Outlays, net (mandatory) .....	1	-4	-1
4180 Budget authority, net (total) .....	1	2	
4190 Outlays, net (total) .....	1	-4	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4351-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2121 Limitation available from carry-forward .....	310	302	302
2143 Uncommitted limitation carried forward .....	-293	-279	-279
2150 Total guaranteed loan commitments .....	17	23	23
2199 Guaranteed amount of guaranteed loan commitments .....	17	23	23

Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	85	103	124
2231 Disbursements of new guaranteed loans .....	17	23	23
2251 Repayments and prepayments .....	-8		
Adjustments:			
2263 Terminations for default that result in claim payments .....	-3	-2	-1
2264 Other adjustments, net .....	12		
2290 Outstanding, end of year .....	103	124	146
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	103	124	129

Balance Sheet (in millions of dollars)

Identification code 086-4351-0-3-371	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	3	3
1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property .....		1
1999 Total assets .....	3	4
LIABILITIES:		
2103 Federal liabilities: Debt payable to Treasury .....	3	7
2204 Non-Federal liabilities: Liabilities for loan guarantees .....		-3
2999 Total liabilities .....	3	4
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	3	4

COMMUNITY PLANNING AND DEVELOPMENT

Federal Funds

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), \$330,000,000, to remain available until September 30, 2021, except that amounts allocated pursuant to section 854(c)(5) of such Act shall remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0308-0-1-604	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 HOPWA Formula Grants .....	441	367	311

0002 HOPWA Competitive Grants .....	53	31	38
0799 Total direct obligations .....	494	398	349
0900 Total new obligations, unexpired accounts (object class 41.0) .....	494	398	349

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	292	173	150
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	375	375	330
1900 Budget authority (total) .....	375	375	330
1930 Total budgetary resources available .....	667	548	480
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	173	150	131

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	362	503	540
3010 New obligations, unexpired accounts .....	494	398	349
3020 Outlays (gross) .....	-352	-361	-380
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	503	540	509
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	362	503	540
3200 Obligated balance, end of year .....	503	540	509

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	375	375	330
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	4	3
4011 Outlays from discretionary balances .....	351	357	377
4020 Outlays, gross (total) .....	352	361	380
4180 Budget authority, net (total) .....	375	375	330
4190 Outlays, net (total) .....	352	361	380

The Budget provides \$330 million for the Housing Opportunities for Persons With AIDS (HOPWA) program, the only Federal program dedicated to addressing the housing needs of low-income Americans living with HIV/AIDS. HOPWA funding provides States and localities with resources to devise long-term comprehensive strategies for providing housing and supportive services to meet the housing needs of persons living with HIV/AIDS and their families. HOPWA funds have been demonstrated to reduce the risk of homelessness, increase housing stability, improve assisted household access to participation in HIV medical care, increase positive health outcomes for program participants, and reduce the risk of HIV transmission to others.

Ninety percent of HOPWA funds are distributed to States and eligible metropolitan areas according to a formula, and the remaining ten percent are awarded competitively to States, local governments, and private non-profit entities. The HOPWA formula, which was updated in 2016, allocates funds based on cases of persons living with HIV or AIDS, and ensures that funding to jurisdictions reflects the current demographics of the HIV/AIDS epidemic. In addition, the modernized HOPWA formula is adjusted for an area's fair market rent and poverty rates to further ensure HOPWA funds are focused on areas that have the most need. The updated formula became effective in 2017, and the Department of Housing and Urban Development continues to work closely with formula grantees through a comprehensive Technical Assistance initiative to develop community-wide strategies for managing the changes. The Budget also proposes to not prioritize renewals in its competition so that funds could support more evidence-based service delivery models to address current community needs.

COMMUNITY DEVELOPMENT FUND

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0162-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Community Development Formula Grants .....	3,987	3,504	487
0002 Indian Tribes .....	2	130	.....
0011 Disaster Assistance .....	8,018	10,070	19,612
0900 Total new obligations, unexpired accounts (object class 41.0) .....	12,007	13,704	20,099
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9,894	29,241	20,579
1010 Unobligated balance transfer to other accts [086-0338] .....	-10	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-9	.....	.....
1021 Recoveries of prior year unpaid obligations .....	19	.....	.....
1033 Recoveries of prior year paid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	9,903	29,241	20,579
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3,365	3,365	.....
1100 Appropriation .....	28,000	1,680	.....
1120 Appropriations transferred to other acct [086-0338] .....	-10	-3	.....
1120 Appropriations transferred to other acct [086-0189] .....	-10	.....	.....
1160 Appropriation, discretionary (total) .....	31,345	5,042	.....
1930 Total budgetary resources available .....	41,248	34,283	20,579
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29,241	20,579	480
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16,494	22,590	29,476
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	21	.....	.....
3010 New obligations, unexpired accounts .....	12,007	13,704	20,099
3020 Outlays (gross) .....	-5,911	-6,818	-9,434
3040 Recoveries of prior year unpaid obligations, unexpired .....	-19	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	22,590	29,476	40,141
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16,515	22,590	29,476
3200 Obligated balance, end of year .....	22,590	29,476	40,141
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	31,345	5,042	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	31	34	.....
4011 Outlays from discretionary balances .....	5,880	6,784	9,434
4020 Outlays, gross (total) .....	5,911	6,818	9,434
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-22	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	13	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	9	.....	.....
4060 Additional offsets against budget authority only (total) .....	22	.....	.....
4070 Budget authority, net (discretionary) .....	31,345	5,042	.....
4080 Outlays, net (discretionary) .....	5,889	6,818	9,434
4180 Budget authority, net (total) .....	31,345	5,042	.....
4190 Outlays, net (total) .....	5,889	6,818	9,434

The Community Development Fund account contains the following programs:

**Community Development Block Grant (CDBG).**—The CDBG program provides formula grants to States, local governments, and Insular Areas to benefit mainly low- to moderate-income persons, and support a wide range of community and economic development activities, such as public infrastructure improvements (which account for approximately 36 percent of all CDBG funds), housing rehabilitation and construction (approximately 24 percent of funds), job creation and retention, and public services. Seventy percent of CDBG formula grants are distributed to mainly urban areas (entitlement communities), and 30 percent are distributed to States (non-entitlement communities). The 2020 Budget does not request funding for

CDBG, devolving community and economic development to the State and local level.

**Indian Community Development Block Grant (ICDBG).**—The ICDBG provides grants to help develop viable American Indian and Alaska Native Communities with decent housing, a suitable living environment, and economic opportunities, primarily for low- and moderate-income persons. The 2020 Budget does not request funding for ICDBG, which duplicates HUD's larger Native American Housing Block Grant program and other Federal programs.

**CDBG Disaster Recovery (CDBG-DR).**—This account also contains a substantial amount of appropriated CDBG-DR funding provided to communities impacted by major disasters .

**BROWNFIELDS REDEVELOPMENT**

**Program and Financing** (in millions of dollars)

Identification code 086-0314-0-1-451	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	5	2
3020 Outlays (gross) .....	-2	-3	-2
3050 Unpaid obligations, end of year .....	5	2	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	2
3200 Obligated balance, end of year .....	5	2	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	2
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	2	3	2

The Budget requests no funding for the Brownfields Economic Development Initiative (BEDI), which was a competitive grant program designed to assist cities with the redevelopment of brownfield sites for the purposes of economic development and job creation. Local governments have access to other public and private funds for similar purposes. The Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113-235) permanently rescinded all unobligated balances of BEDI funds, including carryover and recaptures.

**HOME INVESTMENT PARTNERSHIPS PROGRAM**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0205-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 HOME Investment Program .....	1,486	1,634	217
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,486	1,634	217
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	614	490	218
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	6	.....	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	620	490	218
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,362	1,362	.....
1930 Total budgetary resources available .....	1,982	1,852	218
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-6	.....	.....

HOME INVESTMENT PARTNERSHIPS PROGRAM—Continued  
Program and Financing—Continued

Identification code 086-0205-0-1-604		2018 actual	2019 est.	2020 est.
1941	Unexpired unobligated balance, end of year .....	490	218	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,415	2,938	3,541
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010	New obligations, unexpired accounts .....	1,486	1,634	217
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-946	-1,031	-1,097
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6		
3041	Recoveries of prior year unpaid obligations, expired .....	-13		
3050	Unpaid obligations, end of year .....	2,938	3,541	2,661
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,416	2,938	3,541
3200	Obligated balance, end of year .....	2,938	3,541	2,661
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,362	1,362	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	14	
4011	Outlays from discretionary balances .....	945	1,017	1,097
4020	Outlays, gross (total) .....	946	1,031	1,097
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	2		
4070	Budget authority, net (discretionary) .....	1,362	1,362	
4080	Outlays, net (discretionary) .....	944	1,031	1,097
4180	Budget authority, net (total) .....	1,362	1,362	
4190	Outlays, net (total) .....	944	1,031	1,097

The HOME Investment Partnerships program (HOME) provides annual formula grant assistance to States and units of local government to increase the supply of affordable housing and expand homeownership for low- to very low-income persons through a wide range of activities that build, buy, and/or rehabilitate affordable housing.

The Budget does not request funding for HOME, and recognizes a greater role for State and local governments and the private sector in addressing community development and affordable housing needs. The Department will continue to administer the program until all existing grant funds are disbursed and closed, and the Department of Housing and Urban Development will also oversee projects assisted with HOME grants until the end of their affordability periods (projects are required to remain affordable for as long as 20 years from the date of completion).

SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0176-0-1-604		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Self Help Housing Opportunity Program .....		20	10
0002	Capacity Building .....	35	35	35
0003	Rural Capacity Building .....	5	5	5
0007	Veteran Home Rehab and Mod Pilot .....		14	4
0900	Total new obligations, unexpired accounts (object class 41.0) .....	40	74	54

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	61	75	55
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	54	54	
1930	Total budgetary resources available .....	115	129	55
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	75	55	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	91	88	108
3010	New obligations, unexpired accounts .....	40	74	54
3020	Outlays (gross) .....	-43	-54	-54
3050	Unpaid obligations, end of year .....	88	108	108
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	91	88	108
3200	Obligated balance, end of year .....	88	108	108

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	54	54	
Outlays, gross:				
4011	Outlays from discretionary balances .....	43	54	54
4180	Budget authority, net (total) .....	54	54	
4190	Outlays, net (total) .....	43	54	54

The Self-Help and Assisted Homeownership Opportunity Program (SHOP) account includes funding for the SHOP program, Capacity Building for Community Development and Affordable Housing (Section 4), rural capacity building, and a pilot home modification and rehabilitation program for disabled and low-income veterans. The 2020 Budget does not request funding for these programs, recognizing a greater role for State and local governments and the private sector in addressing community development and affordable housing needs.

NEIGHBORHOOD STABILIZATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 086-0344-0-1-451		2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1033	Recoveries of prior year paid obligations .....	2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	209	188	130
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	2		
3020	Outlays (gross) .....	-23	-58	-46
3050	Unpaid obligations, end of year .....	188	130	84
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	211	188	130
3200	Obligated balance, end of year .....	188	130	84
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	23	58	46
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-2		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	2		
4170	Outlays, net (mandatory) .....	21	58	46
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	21	58	46

This account reports the remaining balances and outlays related to \$3.92 billion in Neighborhood Stabilization Program (NSP) funds authorized by the Housing and Economic Recovery Act of 2008, and \$1 billion in NSP

funds authorized by the Dodd-Frank Financial Reform and Consumer Protection Act of 2010.

As of December 2018, NSP grantees had expended (including program income) an amount equivalent to 120 percent of the total program funds allocated for all iterations of NSP. Grantees have approximately \$230 million in NSP-generated program income that must be expended prior to drawing down the remaining grant funds that are reflected in this account.

**HOMELESS ASSISTANCE GRANTS**

*For the Emergency Solutions Grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act, as amended; the Continuum of Care program as authorized under subtitle C of title IV of such Act; and the Rural Housing Stability Assistance program as authorized under subtitle D of title IV of such Act, \$2,598,600,000, to remain available until September 30, 2022: Provided, That any rental assistance amounts that are recaptured under such Continuum of Care program shall remain available until expended and may be used for any purpose under such program: Provided further, That not less than \$270,000,000 of the funds appropriated under this heading shall be available for such Emergency Solutions Grants program: Provided further, That not less than \$2,321,600,000 of the funds appropriated under this heading shall be available for such Continuum of Care and Rural Housing Stability Assistance programs: Provided further, That up to \$7,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: Provided further, That for all match requirements applicable to funds made available under this heading for this fiscal year and prior years, a grantee may use (or could have used) as a source of match funds other funds administered by the Secretary and other Federal agencies unless there is (or was) a specific statutory prohibition on any such use of any such funds: Provided further, That none of the funds provided under this heading shall be available to provide funding for new projects, except for projects created through reallocation, unless the Secretary determines that the continuum of care has demonstrated that projects are evaluated and ranked based on the degree to which they improve the continuum of care's system performance: Provided further, That the Secretary shall prioritize funding under the Continuum of Care program to continuums of care that have demonstrated a capacity to reallocate funding from lower performing projects to higher performing projects: Provided further, That any unobligated amounts remaining from funds appropriated under this heading in fiscal year 2012 and prior years for project-based rental assistance for rehabilitation projects with 10-year grant terms may be used for purposes under this heading, notwithstanding the purposes for which such funds were appropriated: Provided further, That all balances for Shelter Plus Care renewals previously funded from the Shelter Plus Care Renewal account and transferred to this account shall be available, if recaptured, for Continuum of Care renewals in fiscal year 2020: Provided further, That youth aged 24 and under seeking assistance under this heading shall not be required to provide third party documentation to establish their eligibility under 42 U.S.C. 11302(a) or (b) to receive services: Provided further, That unaccompanied youth aged 24 and under or families headed by youth aged 24 and under who are living in unsafe situations may be served by youth-serving providers funded under this heading: Provided further, That the Secretary may use amounts made available under this heading for the Continuum of Care program to renew a grant originally awarded pursuant to the matter under the heading "Department of Housing and Urban Development—Permanent Supportive Housing" in chapter 6 of title III of the Supplemental Appropriations Act, 2008 (Public Law 110-252; 122 Stat. 2351) for assistance under subtitle F of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11403 et seq.): Provided further, That such renewal grant shall be awarded to the same grantee and be subject to the provisions of such Continuum of Care program except that the funds may be used outside the geographic area of the continuum of care.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0192-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Continuum of Care .....	2,060	2,100	2,100
0002 Emergency Solutions Grants—Formula .....	386	385	385
0003 National Homeless Data Analysis Project .....	7	12	7
0005 Youth Demonstration .....	33	43	75
0006 Youth Technical Assistance .....		5	

0007	Victims of Domestic Violence .....	10	40	
0799	Total direct obligations .....	2,486	2,555	2,607
0802	DOJ Human Trafficking IAA .....	1		14
0899	Total reimbursable obligations .....	1		14
0900	Total new obligations, unexpired accounts .....	2,487	2,555	2,621
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,422	2,476	2,448
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1021	Recoveries of prior year unpaid obligations .....	38	13	13
1033	Recoveries of prior year paid obligations .....	2		
1050	Unobligated balance (total) .....	2,460	2,489	2,461
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,513	2,513	2,599
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		1	
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	1	1	
1900	Budget authority (total) .....	2,514	2,514	2,599
1930	Total budgetary resources available .....	4,974	5,003	5,060
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-11		
1941	Unexpired unobligated balance, end of year .....	2,476	2,448	2,439
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,538	2,813	2,960
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....			
3010	New obligations, unexpired accounts .....	2,487	2,555	2,621
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-2,059	-2,395	-2,512
3040	Recoveries of prior year unpaid obligations, unexpired .....	-38	-13	-13
3041	Recoveries of prior year unpaid obligations, expired .....	-121		
3050	Unpaid obligations, end of year .....	2,813	2,960	3,056
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,543	2,812	2,959
3200	Obligated balance, end of year .....	2,812	2,959	3,055
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,514	2,514	2,599
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	14	13
4011	Outlays from discretionary balances .....	2,058	2,381	2,499
4020	Outlays, gross (total) .....	2,059	2,395	2,512
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	
4033	Non-Federal sources .....	-5		
4040	Offsets against gross budget authority and outlays (total) .....	-5	-1	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052	Offsetting collections credited to expired accounts .....	3		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	4		
4070	Budget authority, net (discretionary) .....	2,513	2,513	2,599
4080	Outlays, net (discretionary) .....	2,054	2,394	2,512
4180	Budget authority, net (total) .....	2,513	2,513	2,599
4190	Outlays, net (total) .....	2,054	2,394	2,512

The Homeless Assistance Grants account provides funds for the Emergency Solutions Grant (ESG) and Continuum of Care (CoC) programs. These programs, which award funds through formula and competitive processes, enable localities to shape and implement comprehensive, flexible, coordinated approaches to address the multiple issues of homelessness, including chronic homelessness, veteran homelessness, and homelessness among families and youth.

HOMELESS ASSISTANCE GRANTS—Continued

The 2020 Budget provides a total of \$2.6 billion for a wide range of activities to assist homeless persons and prevent future occurrences of homelessness. The Budget supports \$2.3 billion for the CoC program to fund competitive renewals; \$270 million for ESG formula funding for communities to address emergency needs such as emergency shelter, street outreach, essential services, homelessness prevention, and rapid rehousing; and \$7 million for the National Homeless Data Analysis Project.

The Budget also supports legislative changes to improve program performance and efficiencies.

Object Classification (in millions of dollars)

Identification code 086-0192-0-1-604	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2,486	2,555	2,607
99.0 Reimbursable obligations .....	1		14
99.9 Total new obligations, unexpired accounts .....	2,487	2,555	2,621

PERMANENT SUPPORTIVE HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0342-0-1-604	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	
3020 Outlays (gross) .....		-5	
3050 Unpaid obligations, end of year .....	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	
3200 Obligated balance, end of year .....	5		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		5	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		5	

This account reports the remaining outlays from the Supplemental Appropriations Act, 2008 (Public Law 110-252), which provided permanent supportive housing assistance and project-based vouchers to the Louisiana Recovery Authority. These previously funded projects and vouchers are eligible for renewal under the Homeless Assistance Grants and Tenant-Based Rental Assistance accounts.

RURAL HOUSING AND ECONOMIC DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 086-0324-0-1-604	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	
3020 Outlays (gross) .....	-1	-1	
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	
3200 Obligated balance, end of year .....	1		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	1	

The Budget does not provide funding for the Rural Housing and Economic Development (RHED) program. RHED was created to support housing and economic development activities in rural communities. The Consolidated Appropriations Act, 2016 (Public Law 114-113) permanently rescinded all unobligated balances of RHED funds, including carryover and recap-tures.

REVOLVING FUND (LIQUIDATING PROGRAMS)

Program and Financing (in millions of dollars)

Identification code 086-4015-0-3-451	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		10	
1021 Recoveries of prior year unpaid obligations .....	10		
1022 Capital transfer of unobligated balances to general fund .....		-10	
1050 Unobligated balance (total) .....	10		
1930 Total budgetary resources available .....	10		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		10	
3040 Recoveries of prior year unpaid obligations, unexpired .....		-10	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		10	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Direct Loans (in millions of dollars)

Identification code 086-4015-0-3-451	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....		5	
1264 Other adjustments, net (+ or -) .....		-5	

The Revolving Fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs, all of which are no longer active. For example, the Section 312 loan program portfolio, which provided first and junior lien financing at below market interest rates for the rehabilitation of homes in low-income neighborhoods, constituted a large portion of the account activities but has not originated new loans for over 20 years. The operational expenses are financed from a permanent, indefinite appropriation to administer the remaining repayments of loans, recaptures, and lien releases in the portfolio. Any remaining unobligated balances in the account are returned to the Treasury annually.

Balance Sheet (in millions of dollars)

Identification code 086-4015-0-3-451	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	9	10
1601 Direct loans, gross .....	5	
1603 Allowance for estimated uncollectible loans and interest (-) .....	-5	
1606 Foreclosed property .....	2	1
1699 Value of assets related to direct loans .....	2	1
1999 Total assets .....	11	11
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	1	1
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	10	10

3300	Cumulative results of operations .....		
3999	Total net position .....	10	10
4999	Total liabilities and net position .....	11	11

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	2	9
1801	Change in uncollected payments, Federal sources .....	-4	-3
1850	Spending auth from offsetting collections, mand (total) .....	-2	6
1930	Total budgetary resources available .....	65	28
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	22	24

COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0198-0-1-451	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	10	6
3020	Outlays (gross) .....	-1	-3
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	6	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	10	6
3200	Obligated balance, end of year .....	6	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	1	3
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	1	3

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		4
3010	New obligations, unexpired accounts .....	43	4
3020	Outlays (gross) .....	-43	
3050	Unpaid obligations, end of year .....		4
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-6
3070	Change in uncollected pymts, Fed sources, unexpired .....	4	3
3090	Uncollected pymts, Fed sources, end of year .....	-6	-3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-10	-6
3200	Obligated balance, end of year .....	-6	1

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross .....	-2	6
Financing disbursements:			
4110	Outlays, gross (total) .....	43	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal Sources: Payments from Program Account .....	-1	-3
4122	Interest on uninvested funds .....		-6
4123	Non-Federal sources .....	-1	
4130	Offsets against gross budget authority and outlays (total) ...	-2	-9
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	4	3
4170	Outlays, net (mandatory) .....	41	-9
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	41	-9

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0198-0-1-451	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215003	Section 108 Community Development Loan Guarantee (Fee) .....	59	125
215999	Total loan guarantee levels .....	59	125
Guaranteed loan subsidy (in percent):			
232003	Section 108 Community Development Loan Guarantee (Fee) .....	0.00	0.00
232999	Weighted average subsidy rate .....	0.00	0.00
Guaranteed loan subsidy outlays:			
234001	Section 108 Community Development Loan Guarantee .....	1	3
234999	Total subsidy outlays .....	1	3
Guaranteed loan reestimates:			
235001	Section 108 Community Development Loan Guarantee .....	-43	-4
235999	Total guaranteed loan reestimates .....	-43	-4

The Community Development Loan Guarantee Program (Section 108) supports economic development projects, housing rehabilitation, and the rehabilitation, construction, or installation of public facilities for the benefit of low- to moderate-income persons or to aid in the prevention of slums.

The Budget does not request any new loan guarantee authority for Section 108 for 2020.

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4096-0-3-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742	Downward reestimates paid to receipt accounts .....	24	3
0743	Interest on downward reestimates .....	19	1
0900	Total new obligations, unexpired accounts .....	43	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	67	22

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4096-0-3-451	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	59	125
2121	Limitation available from carry-forward .....		
2142	Uncommitted loan guarantee limitation .....		
2143	Uncommitted limitation carried forward .....		
2150	Total guaranteed loan commitments .....	59	125
2199	Guaranteed amount of guaranteed loan commitments .....	59	
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	1,573	1,241
2231	Disbursements of new guaranteed loans .....	70	233
2251	Repayments and prepayments .....	-402	-177
2290	Outstanding, end of year .....	1,241	1,297
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,241	1,297

Balance Sheet (in millions of dollars)

Identification code 086-4096-0-3-451	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	63
1999	Total assets .....	63
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2204	Liabilities for loan guarantees .....	63
2207	Other .....	
2999	Total liabilities .....	63
<b>NET POSITION:</b>		
3300	Cumulative results of operations .....	3

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT—Continued  
**Balance Sheet—Continued**

Identification code 086-4096-0-3-451	2017 actual	2018 actual
4999 Total liabilities and net position .....	63	17

COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 086-4097-0-3-451	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-3	-3	-3
3200 Obligated balance, end of year .....	-3	-3	-3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4097-0-3-451	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1	1	1
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....	1	1	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			

**Balance Sheet** (in millions of dollars)

Identification code 086-4097-0-3-451	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	-3	-3
Investments in U.S. securities:		
1106 Receivables, net .....	3	3
1206 Non-Federal assets: Receivables, net .....		
1605 Accounts receivable from foreclosed property .....		
1606 Foreclosed property .....		
1699 Value of assets related to direct loans .....		
1999 Total assets .....		

**Trust Funds**

HOUSING TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 086-8560-0-7-604	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	15	18	15
Receipts:			
Current law:			
1130 Affordable Housing Allocation, Housing Trust Fund .....	269	245	258
Proposed:			
1230 Affordable Housing Allocation, Housing Trust Fund .....			-258
1999 Total receipts .....	269	245	
2000 Total: Balances and receipts .....	284	263	15
Appropriations:			
Current law:			
2101 Housing Trust Fund .....	-269	-245	-258
2103 Housing Trust Fund .....	-15	-18	-15
2132 Housing Trust Fund .....	18	15	
2199 Total current law appropriations .....	-266	-248	-273

Proposed:				
2201	Housing Trust Fund .....			258
2999	Total appropriations .....	-266	-248	-15
5099	Balance, end of year .....	18	15	

**Program and Financing** (in millions of dollars)

Identification code 086-8560-0-7-604	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Grants .....	325	321	284
0900	Total new obligations, unexpired accounts (object class 41.0) .....	325	321	284
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	173	114	41
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	269	245	258
1203	Appropriation (previously unavailable) .....	15	18	15
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-18	-15	
1260	Appropriations, mandatory (total) .....	266	248	273
1930	Total budgetary resources available .....	439	362	314
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	114	41	30

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	218	511	691
3010	New obligations, unexpired accounts .....	325	321	284
3020	Outlays (gross) .....	-32	-141	-191
3050	Unpaid obligations, end of year .....	511	691	784
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	218	511	691
3200	Obligated balance, end of year .....	511	691	784

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	266	248	273
Outlays, gross:				
4100	Outlays from new mandatory authority .....		2	3
4101	Outlays from mandatory balances .....	32	139	188
4110	Outlays, gross (total) .....	32	141	191
4180	Budget authority, net (total) .....	266	248	273
4190	Outlays, net (total) .....	32	141	191

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	266	248	273
Outlays .....	32	141	191
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-258
Outlays .....			-3
Total:			
Budget Authority .....	266	248	15
Outlays .....	32	141	188

The Housing Trust Fund provides grants to States to increase and preserve the supply of affordable rental housing and homeownership opportunities for extremely low-income families. The Housing Trust Fund was authorized by section 1131 of the Housing and Economic Recovery Act of 2008 (Public Law 110-289), which directed the account to be funded from assessments on Fannie Mae and Freddie Mac (the Government Sponsored Enterprises). The 2020 Budget includes a legislative proposal to eliminate the assessment and discontinue funding for the Housing Trust Fund.

HOUSING TRUST FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 086-8560-4-7-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants			-229
0900 Total new obligations, unexpired accounts (object class 41.0)			-229
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-258
1930 Total budgetary resources available			-258
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-29
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-229
3020 Outlays (gross)			3
3050 Unpaid obligations, end of year			-226
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-226
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-258
Outlays, gross:			
4100 Outlays from new mandatory authority			-3
4180 Budget authority, net (total)			-258
4190 Outlays, net (total)			-3

HOUSING PROGRAMS

Federal Funds

PROJECT-BASED RENTAL ASSISTANCE

(INCLUDING CANCELLATION)

For activities and assistance for the provision of project-based subsidy contracts under the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) ("the Act"), not otherwise provided for, \$11,621,000,000, to remain available until September 30, 2022, shall be available on October 1, 2019 (in addition to the \$400,000,000 previously appropriated under this heading that became available October 1, 2019), and \$400,000,000, to remain available until September 30, 2023, shall be available on October 1, 2020: Provided, That the amounts made available under this heading shall be available for expiring or terminating section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for amendments to section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11401), for renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990, and for administrative and other expenses associated with project-based activities and assistance funded under this paragraph: Provided further, That of the total amounts provided under this heading, not to exceed \$345,000,000 shall be available for performance-based contract administrators or contractors for section 8 project-based assistance, for carrying out 42 U.S.C. 1437(f): Provided further, That the Secretary may also use such amounts in the previous proviso for performance-based contract administrators or contractors for the administration of: interest reduction payments pursuant to section 236(a) of the National Housing Act (12 U.S.C. 1715z-1(a)); rent supplement payments pursuant to section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); section 236(f)(2) rental assistance payments (12 U.S.C. 1715z-1(f)(2)); project rental assistance contracts for the elderly under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q); project rental assistance contracts for supportive housing for persons with disabilities under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2)); project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667); and loans under section 202 of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667): Provided further, That amounts recaptured under this

heading, the heading "Annual Contributions for Assisted Housing", or the heading "Housing Certificate Fund", may be used for renewals of or amendments to section 8 project-based contracts or for performance-based contract administrators or contractors, notwithstanding the purposes for which such amounts were appropriated: Provided further, That, notwithstanding any other provision of law, upon the request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 8 project-based Housing Assistance Payments contract that authorizes HUD or a Housing Finance Agency to require that surplus project funds be deposited in an interest-bearing residual receipts account and that are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited pursuant to the previous proviso shall be available in addition to the amount otherwise provided by this heading for uses authorized under this heading: Provided further, That any unobligated balances made available for obligation under the heading "Department of Housing and Urban Development — Public and Indian Housing — Project-Based Rental Assistance" in chapter 10 of title I of division B of the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110-329; 122 Stat. 324) (as amended by section 1203 of Public Law 111-32; 123 Stat. 1859) are hereby permanently cancelled.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0303-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contract Renewals	10,727	10,933	11,386
0002 RAD Contract Renewals	100	56	62
0003 Section 8 Amendments	304	210	110
0004 Contract Administrators	285	245	345
0006 Tenant Information and Outreach		3	2
0008 Mod Rehab and SRO Renewals	207	180	188
0900 Total new obligations, unexpired accounts (object class 41.0)	11,623	11,627	12,093
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	269	296	250
1011 Unobligated balance transfer from other acct [086-0206]		5	
1020 Adjustment of unobligated bal brought forward, Oct 1	-1		
1021 Recoveries of prior year unpaid obligations	63	10	10
1050 Unobligated balance (total)	331	311	260
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	11,115	11,115	11,621
1121 Appropriations transferred from other acct [086-0304]	14	12	
1121 Appropriations transferred from other acct [086-0206]	10	4	3
1121 Appropriations transferred from other acct [086-0163]	49	35	59
1131 Unobligated balance of appropriations permanently reduced (emergency)			-1
1160 Appropriation, discretionary (total)	11,188	11,166	11,682
Advance appropriations, discretionary:			
1170 Advance appropriation	400	400	400
1900 Budget authority (total)	11,588	11,566	12,082
1930 Total budgetary resources available	11,919	11,877	12,342
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	296	250	249
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,292	4,085	3,663
3001 Adjustments to unpaid obligations, brought forward, Oct 1			
3010 New obligations, unexpired accounts	11,623	11,627	12,093
3020 Outlays (gross)	-11,768	-12,039	-11,901
3040 Recoveries of prior year unpaid obligations, unexpired	-63	-10	-10
3050 Unpaid obligations, end of year	4,085	3,663	3,845
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,293	4,085	3,663
3200 Obligated balance, end of year	4,085	3,663	3,845
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	11,588	11,566	12,082
Outlays, gross:			
4010 Outlays from new discretionary authority	7,479	7,658	7,993

PROJECT-BASED RENTAL ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 086-0303-0-1-604	2018 actual	2019 est.	2020 est.
4011 Outlays from discretionary balances .....	4,289	4,381	3,908
4020 Outlays, gross (total) .....	11,768	12,039	11,901
4180 Budget authority, net (total) .....	11,588	11,566	12,082
4190 Outlays, net (total) .....	11,768	12,039	11,901

The Budget requests \$12 billion for Project-Based Rental Assistance (PBRA), of which \$400 million is requested as an advance appropriation to become available in 2021. The PBRA program assists approximately 1.2 million extremely low- to low-income households in obtaining decent, safe, and sanitary housing in private accommodations. PBRA serves families, elderly, and disabled households and provides transitional housing for the homeless. Through this funding the Department of Housing and Urban Development (HUD) supports approximately 17,200 contracts with private owners of multifamily housing by paying the difference between a portion of a household's income and the approved market-based rent for a housing unit. The Budget continues to support the program's calendar year funding cycle and provides 12 months of funding for all contracts. Further, the Budget supports legislative reforms as reflected in the Making Affordable Housing Work Act of 2018, and incorporates the Administration's proposed uniform work requirements.

Program activities include the following:

**Contract Renewals and Amendments.**—These activities provide funding for HUD to renew expiring contracts and amend contracts that have not expired but require additional funding for HUD to meet remaining payment obligations. Appropriations for these activities are supplemented with recoveries of excess balances remaining on expired contracts that utilized less than anticipated resources during their initial terms.

**Contract Administrators.**—The Budget requests \$345 million for contract administration. This activity funds the local level administration of the program through HUD agreements with performance-based contract administrators or other supportive services contractors. These entities are typically responsible for conducting on-site management reviews of assisted properties; adjusting contract rents; reviewing, processing, and paying monthly vouchers submitted by owners; renewing contracts with property owners; and responding to health and safety issues at properties. HUD is currently in the process of re-bidding these contracts.

**Tenant Resource Network.**—The Budget requests up to \$2 million for technical assistance to tenant groups, nonprofit groups, and public entities to support tenants of troubled properties and improve tenant access to community services in order to support self-sufficiency.

HOUSING FOR THE ELDERLY

For amendments to capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 15-month term, and for senior preservation rental assistance contracts, including renewals, as authorized by section 811(e) of the American Housing and Economic Opportunity Act of 2000, as amended, and for supportive services associated with the housing, \$644,000,000 to remain available until September 30, 2023: Provided, That of the amount provided under this heading, up to \$90,000,000 shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects: Provided further, That the Secretary may waive the provisions of section 202 governing the terms and conditions of project rental assistance, except that the initial contract term for such assistance shall not exceed 5 years in duration: Provided further, That upon request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 202 project rental assistance contract, and that upon termination of such contract are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until September 30, 2023: Provided further, That amounts deposited in

this account pursuant to the previous proviso shall be available, in addition to the amounts otherwise provided by this heading, for the purposes authorized under this heading and capital advance contracts: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading may be used for the current purposes authorized under this heading notwithstanding the purposes for which such funds originally were appropriated.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0320-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Advance Amendments and Expenses .....	3	27	49
0002 PRAC Renewal/Amendment .....	515	518	532
0003 Service Coordinators/Congregate Services .....	95	90	90
0005 Senior Preservation Rental Assistance Contracts (SPRAC) Renewals/Amendments .....	13	13	13
0006 Senior Preservation Rental Assistance Contracts .....			5
0799 Total direct obligations .....	626	648	689
0900 Total new obligations, unexpired accounts (object class 41.0) .....	626	648	689
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	143	204	235
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	144	205	236
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	678	678	644
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9		
1900 Budget authority (total) .....	687	678	644
1930 Total budgetary resources available .....	831	883	880
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	204	235	191
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	910	794	689
3010 New obligations, unexpired accounts .....	626	648	689
3020 Outlays (gross) .....	-737	-752	-799
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	794	689	578
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	910	794	689
3200 Obligated balance, end of year .....	794	689	578
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	687	678	644
Outlays, gross:			
4010 Outlays from new discretionary authority .....	197	161	183
4011 Outlays from discretionary balances .....	540	591	616
4020 Outlays, gross (total) .....	737	752	799
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-9		
4040 Offsets against gross budget authority and outlays (total) .....	-9		
4180 Budget authority, net (total) .....	678	678	644
4190 Outlays, net (total) .....	728	752	799

Since 1959, the Housing for the Elderly Program (Section 202) has supported the construction and operation of supportive housing for very low-income elderly households, including the frail elderly. The Budget provides \$644 million for this program, including \$551 million to renew and amend operating subsidy contracts for existing Section 202 housing including Senior Preservation Rental Assistance Contracts, \$90 million to support service coordinators who work on-site to help residents obtain critical services, and \$3 million for other related expenses.

The Budget seeks renewed authority to make better use of existing resources. The Department of Housing and Urban Development will identify residual receipts collections, recaptures, and other unobligated balances to redirect as additional investments in capital advances, service coordinators, or other authorized purposes.

Further, the Budget supports legislative reforms as reflected in the Making Affordable Housing Work Act of 2018.

**HOUSING FOR PERSONS WITH DISABILITIES**

*For amendments to capital advance contracts for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), as amended, and for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act and for project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667), including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, for project rental assistance to State housing finance agencies and other appropriate entities as authorized under section 811(b)(3) of the Cranston-Gonzalez National Housing Act, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, \$157,000,000, to remain available until September 30, 2023: Provided, That, upon the request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 811 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until September 30, 2023: Provided further, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading and for capital advance contracts: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading may be used for the current purposes authorized under this heading notwithstanding the purposes for which such funds originally were appropriated.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0237-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Supportive Housing .....	1	44	75
0002 Disabled PRAC/PAC Renewals and Amendments .....	154	147	156
0799 Total direct obligations .....	155	191	231
0900 Total new obligations, unexpired accounts (object class 41.0) .....	155	191	231
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	77	162	202
1021 Recoveries of prior year unpaid obligations .....	2	1	1
1050 Unobligated balance (total) .....	79	163	203
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	230	230	157
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	8		
1900 Budget authority (total) .....	238	230	157
1930 Total budgetary resources available .....	317	393	360
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	162	202	129
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	497	461	413
3010 New obligations, unexpired accounts .....	155	191	231
3020 Outlays (gross) .....	-186	-238	-287
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	461	413	356
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	497	461	413

3200	Obligated balance, end of year .....	461	413	356
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	238	230	157
Outlays, gross:				
4010	Outlays from new discretionary authority .....	26	34	34
4011	Outlays from discretionary balances .....	160	204	253
4020	Outlays, gross (total) .....	186	238	287
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-8		
4180	Budget authority, net (total) .....	230	230	157
4190	Outlays, net (total) .....	178	238	287

Since 1992, the Housing for Persons with Disabilities Program (Section 811) has supported the development and operation of supportive housing for very low-income people with disabilities. The Budget provides \$157 million for this program, including \$155 million to renew and amend operating subsidy contracts for existing Section 811 housing, and up to \$2 million for other related expenses. The Budget seeks renewed authority to make better use of existing resources. The Department of Housing and Urban Development will identify residual receipts collections, recaptures, and other unobligated balances to redirect as additional investments in capital advances or other purposes authorized under the heading.

Further, the Budget supports legislative reforms as reflected in the Making Affordable Housing Work Act of 2018.

**HOUSING COUNSELING ASSISTANCE**

*For contracts, grants, and other assistance excluding loans, as authorized under section 106 of the Housing and Urban Development Act of 1968, as amended, \$45,000,000 to remain available until September 30, 2021, including up to \$4,500,000 for administrative contract services: Provided, That funds shall be used for providing counseling and advice to tenants and homeowners, both current and prospective, with respect to property maintenance, financial management/literacy, and such other matters as may be appropriate to assist them in improving their housing conditions, meeting their financial needs, and fulfilling the responsibilities of tenancy or homeownership; for program administration; and for housing counselor training: Provided further, That for purposes of providing such grants from amounts provided under this heading, the Secretary may enter into multiyear agreements as appropriate, subject to the availability of annual appropriations.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0156-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Housing Counseling Assistance .....	16	87	41
0002 Administrative Contract Services .....	3	8	4
0900 Total new obligations, unexpired accounts .....	19	95	45
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	40	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	55	55	45
1930 Total budgetary resources available .....	59	95	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	58	26	64
3010 New obligations, unexpired accounts .....	19	95	45
3020 Outlays (gross) .....	-51	-57	-57
3050 Unpaid obligations, end of year .....	26	64	52
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	58	26	64

HOUSING COUNSELING ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 086-0156-0-1-604		2018 actual	2019 est.	2020 est.
3200	Obligated balance, end of year .....	26	64	52
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	55	55	45
Outlays, gross:				
4010	Outlays from new discretionary authority .....		6	5
4011	Outlays from discretionary balances .....	51	51	52
4020	Outlays, gross (total) .....	51	57	57
4180	Budget authority, net (total) .....	55	55	45
4190	Outlays, net (total) .....	51	57	57

The Housing Counseling Assistance Program provides: 1) comprehensive housing counseling services to eligible homeowners and tenants through grants, oversight, and technical assistance; and 2) training to housing counselors and staff of government or non-profit entities that participate in Department of Housing and Urban Development's (HUD) Housing Counseling program. Eligible Housing Counseling program services include group education and individualized housing counseling on pre- and post-purchase homeownership budgeting and financial management, reverse mortgage counseling, homelessness prevention, rental counseling, and avoiding discrimination, foreclosure, and eviction. The objectives of the Housing Counseling program include overcoming barriers to stable and affordable housing; expanding sustainable homeownership and rental opportunities; preventing foreclosure and eviction; and deterring discrimination, scams, and fraud.

The 2020 Budget includes \$45 million for this program, the bulk of which funds grants to HUD-approved Housing Counseling agencies for direct services. To strengthen housing counselor training, the Office of Housing Counseling has implemented individual testing and certification for housing counselors. As the economy improves and the number of first-time homebuyers increases, the need and demand for housing counseling will increase as well.

Object Classification (in millions of dollars)

Identification code 086-0156-0-1-604		2018 actual	2019 est.	2020 est.
Direct obligations:				
25.2	Other services from non-Federal sources .....	3	8	4
41.0	Grants, subsidies, and contributions .....	16	87	41
99.9	Total new obligations, unexpired accounts .....	19	95	45

EMERGENCY HOMEOWNERS' RELIEF FUND

Program and Financing (in millions of dollars)

Identification code 086-0407-0-1-371		2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	329	330	330
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	330	330	330
1930	Total budgetary resources available .....	330	330	330
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	330	330	330
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0407-0-1-371		2018 actual	2019 est.	2020 est.
Direct loan reestimates:				
135001	Emergency Homeowners' Relief .....		-2	

The Emergency Homeowners' Loan Program (EHLPL) provided emergency mortgage assistance to homeowners who were unemployed or underemployed due to economic or medical conditions. The program became effective October 1, 2010 and, per statute, stopped accepting applications on September 30, 2011. This account reflects no new obligations but displays the liquidation of prior year obligations.

EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4357-0-3-371		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
Credit program obligations:				
0742	Downward reestimates paid to receipt accounts .....		2	
0900	Total new obligations, unexpired accounts .....		2	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	32	2	
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-31		
1050	Unobligated balance (total) .....	1	2	
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1		
1930	Total budgetary resources available .....	2	2	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....		2	
3020	Outlays (gross) .....		-2	
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-31		
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	31		
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1		
Financing disbursements:				
4110	Outlays, gross (total) .....		2	
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Repayments of principal, net .....	-1		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1	2	

Status of Direct Loans (in millions of dollars)

Identification code 086-4357-0-3-371		2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	19	2	
1251	Repayments: Repayments and prepayments .....	-1		
1263	Write-offs for default: Direct loans .....	-16	-2	
1290	Outstanding, end of year .....	2		

Balance Sheet (in millions of dollars)

Identification code 086-4357-0-3-371		2017 actual	2018 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	1	
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	19	2
1405	Allowance for subsidy cost (-) .....	-19	-2
1499	Net present value of assets related to direct loans .....		

1999	Total assets .....	1	.....
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt payable to Treasury .....	1	.....
4999	Total upward reestimate subsidy BA [86-0407] .....	1	.....

**OTHER ASSISTED HOUSING PROGRAMS**

**RENTAL HOUSING ASSISTANCE**

For amendments to contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1) in State-aided, noninsured rental housing projects, \$3,000,000, to remain available until expended: Provided, That such amount, together with unobligated balances from recaptured amounts appropriated prior to fiscal year 2006 from terminated contracts under such sections of law, and any unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading after fiscal year 2005, shall also be available for extensions of up to one year for expiring contracts under such sections of law: Provided further, That the Secretary may transfer funds remaining from the amount specified in the matter preceding the first proviso to the "Housing for the Elderly" account to be used for capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0206-0-1-999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Rent supplement, 01 .....	1	1	1
0002	Rental Housing Assistance, 02 .....	5	3	3
0900	Total new obligations, unexpired accounts .....	6	4	4
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	73	103
1010	Unobligated balance transfer to other accts, 01 [086-0303] .....		-3	
1010	Unobligated balance transfer to other accts, 02 [086-0303] .....		-2	
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	-1		
1021	Recoveries of prior year unpaid obligations, 01 .....	3	3	3
1021	Recoveries of prior year unpaid obligations, 02 .....	41	26	26
1033	Recoveries of prior year paid obligations, 02 .....	2		
1050	Unobligated balance (total) .....	75	97	132
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation of New BA, 01 .....	4	4	1
1100	Appropriation of New BA, 02 .....	10	10	2
1120	Appropriations transferred to other acct, 01 [086-0303] .....	-3	-2	-1
1120	Appropriations transferred to other acct, 02 [086-0303] .....	-7	-2	-2
1160	Appropriation, discretionary (total) .....	4	10	
1900	Budget authority (total) .....	4	10	
1930	Total budgetary resources available .....	79	107	132
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	73	103	128
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	600	450	314
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	1		
3010	New obligations, unexpired accounts .....	6	4	4
3020	Outlays (gross) .....	-113	-111	-88
3040	Recoveries of prior year unpaid obligations, unexpired .....	-44	-29	-29
3050	Unpaid obligations, end of year .....	450	314	201
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	601	450	314
3200	Obligated balance, end of year .....	450	314	201

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4	10	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	3	.....
4011	Outlays from discretionary balances .....	112	108	88
4020	Outlays, gross (total) .....	113	111	88
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources, 02 .....	-2		
4040	Offsets against gross budget authority and outlays (total) ....	-2		
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts .....	2		
4070	Budget authority, net (discretionary) .....	4	10	.....
4080	Outlays, net (discretionary) .....	111	111	88
4180	Budget authority, net (total) .....	4	10	.....
4190	Outlays, net (total) .....	111	111	88

The Other Assisted Housing account contains the programs listed below:  
**Rent Supplement.**—Rent Supplement assistance payments support assisted units for qualified low-income tenants.

**Section 235.**—The Housing and Urban-Rural Recovery Act of 1983 (Public Law 98-181) authorized a restructured Section 235 (Homeownership Assistance) program that provided homeowners a ten-year interest reduction subsidy on their mortgages.

**Section 236.**—The Housing and Urban Development Act of 1968, as amended, authorized the Section 236 Rental Housing Assistance Program, which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants. Some Section 236 properties also have rental assistance contracts with the Department of Housing and Urban Development (HUD) through the Rental Assistance Payment (RAP) program.

As an increasing number of Rent Supplement and RAP rental assistance contracts reach the ends of their terms, HUD is taking steps to preserve this affordable housing stock. The Rental Assistance Demonstration (RAD) enables owners of properties with expiring Rent Supplement or RAP contracts to convert their assistance to long-term, project-based Section 8 contracts.

**Object Classification** (in millions of dollars)

Identification code 086-0206-0-1-999	2018 actual	2019 est.	2020 est.	
Direct obligations:				
41.0	Grants, subsidies, and contributions, 01 .....	1	1	1
41.0	Grants, subsidies, and contributions, 02 .....	5	3	3
99.9	Total new obligations, unexpired accounts .....	6	4	4

**GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING, RECOVERY ACT**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 086-0306-0-1-604	2018 actual	2019 est.	2020 est.	
Direct loan reestimates:				
135001	Energy Retrofit Loans .....	-11	-3	.....

The Green Retrofit Program offered grants and loans to owners of eligible Department of Housing and Urban Development (HUD) assisted multifamily housing properties to fund green retrofits, which are intended to reduce ongoing utility consumption, benefit resident health, and benefit the environment. This program was funded under Title XII of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and the authority to make new awards has expired. All loan cash flows are recorded in the corresponding financing account (86-4589).

## GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 086-4589-0-3-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	1		
0742 Downward reestimates paid to receipt accounts .....	7	2	
0743 Interest on downward reestimates .....	4	1	
0900 Total new obligations, unexpired accounts .....	12	3	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	1	3
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-2		
1050 Unobligated balance (total) .....	4	1	3
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	6		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	5	4
1900 Budget authority (total) .....	9	5	4
1930 Total budgetary resources available .....	13	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	3	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	12	3	
3020 Outlays (gross) .....	-12	-3	

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	9	5	4
Financing disbursements:			
4110 Outlays, gross (total) .....	12	3	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3	-5	-4
4180 Budget authority, net (total) .....	6		
4190 Outlays, net (total) .....	9	-2	-4

## Status of Direct Loans (in millions of dollars)

Identification code 086-4589-0-3-604	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	53	51	47
1251 Repayments: Repayments and prepayments .....	-2	-4	-3
1290 Outstanding, end of year .....	51	47	44

## Balance Sheet (in millions of dollars)

Identification code 086-4589-0-3-604	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	5	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	53	51
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	-54	-42
1499 Net present value of assets related to direct loans .....		10
1999 Total assets .....	5	11
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	5	11
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	5	11

## RENTAL HOUSING ASSISTANCE FUND

## Program and Financing (in millions of dollars)

Identification code 086-4041-0-3-604	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	12	14
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1930 Total budgetary resources available .....	12	14	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	14	16
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-2	-2	-2

As authorized by the Housing and Urban Development Act of 1968, the Rental Housing Assistance Fund collects funds which are in excess of the established basic rents for units in Section 236 subsidized projects. Funds in this account remain available to pay refunds of excess rental charges.

## FLEXIBLE SUBSIDY FUND

## Program and Financing (in millions of dollars)

Identification code 086-4044-0-3-604	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	479	520	564
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	41	44	44
1930 Total budgetary resources available .....	520	564	608
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	520	564	608
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	41	44	44
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-41	-44	-44
4040 Offsets against gross budget authority and outlays (total) .....	-41	-44	-44
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-41	-44	-44
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	2

## Status of Direct Loans (in millions of dollars)

Identification code 086-4044-0-3-604	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	368	333	298
1251 Repayments: Repayments and prepayments .....	-35	-35	-35
1290 Outstanding, end of year .....	333	298	263

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain Federal Housing Administration (FHA) authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low- and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department.

**Balance Sheet** (in millions of dollars)

Identification code 086-4044-0-3-604	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	481	522
1601 Direct loans, gross .....	368	340
1602 Interest receivable .....	60	55
1603 Allowance for estimated uncollectible loans and interest (-) .....	-49	-43
1699 Value of assets related to direct loans .....	379	352
1999 Total assets .....	860	874
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	376	-376
3300 Cumulative results of operations .....	484	1,250
3999 Total net position .....	860	874
4999 Total liabilities and net position .....	860	874

**HOME OWNERSHIP PRESERVATION EQUITY FUND PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-0343-0-1-371	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The HOPE for Homeowners program was created by the Housing and Economic Recovery Act of 2008 to help homeowners at risk of default and foreclosure refinance into affordable, sustainable loans. Under the Program, eligible homeowners refinanced their current mortgage loans into a new mortgage insured by Federal Housing Administration. The program ended on September 30, 2011. In 2016, excess HOPE Bond proceeds in the amount of \$455 million were transferred to the HOPE Reserve Fund, and used to retire the HOPE Bonds. Remaining HOPE Bond activity is shown in the HOPE Reserve Fund.

**HOME OWNERSHIP PRESERVATION ENTITY FUND FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4353-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Other Investment & Operating Expenses .....	1	1	1
Credit program obligations:			
0711 Default claim payments on principal .....	2	1	1
0791 Direct program activities, subtotal .....	2	1	1
0900 Total new obligations, unexpired accounts .....	3	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	15	15
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	2	2
1930 Total budgetary resources available .....	18	17	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	3	2	2
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2

3200 Obligated balance, end of year .....	2	2	2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Financing disbursements:			
4110 Outlays, gross (total) .....	2	2	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-1	-1	-1
4123 Premiums .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 086-4353-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	74	66	57
2251 Repayments and prepayments .....	-6	-8	-8
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-1		
2262 Terminations for default that result in acquisition of property .....	-1		
2263 Terminations for default that result in claim payments .....		-1	-1
2290 Outstanding, end of year .....	66	57	48
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	66	57	48
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	5	6	6
2331 Disbursements for guaranteed loan claims .....	1		
2390 Outstanding, end of year .....	6	6	6

**Balance Sheet** (in millions of dollars)

Identification code 086-4353-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	17	17
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	5	6
1504 Foreclosed property .....	1	
1505 Allowance for subsidy cost (-) .....	-5	-5
1599 Net present value of assets related to defaulted guaranteed loans .....	1	1
1999 Total assets .....	18	18
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	18	18
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	18	18

**FHA-MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT**

*New commitments to guarantee single family loans insured under the Mutual Mortgage Insurance Fund shall not exceed \$400,000,000,000, to remain available until September 30, 2021: Provided, That during fiscal year 2020, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed \$1,000,000: Provided further, That the foregoing amount in the previous proviso shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund: Provided further, That for administrative contract expenses of the Federal Housing Administration, \$150,000,000, to remain available until September 30, 2021, of*

FHA-MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT—Continued

which up to \$20,000,000 may be used for necessary single family information technology systems of the Federal Housing Administration, and shall be in addition to amounts otherwise provided under this title for such purposes: Provided further, That any amounts to be used for single family information technology purposes pursuant to the previous proviso shall be transferred to the "Information Technology Fund" account under this title for such purposes: Provided further, That receipts from administrative support fees collected pursuant to section 223 of this title shall be credited as offsetting collections to this account.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086–0183–0–1–371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....	10,464	867	.....
0708 Interest on reestimates of loan guarantee subsidy .....	2,174	400	.....
0709 Administrative expenses .....	127	127	140
0900 Total new obligations, unexpired accounts .....	12,765	1,394	140
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	36	42
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	37	36	.....
1011 Unobligated balance transfer from other acct [086–0236] .....	12,638	1,267	.....
1021 Recoveries of prior year unpaid obligations .....	5	3	3
1050 Unobligated balance (total) .....	12,680	1,306	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Administrative Expenses .....	130	130	150
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	.....	20
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	.....	.....	-20
1900 Budget authority (total) .....	130	130	150
1930 Total budgetary resources available .....	12,810	1,436	195
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-9	.....	.....
1941 Unexpired unobligated balance, end of year .....	36	42	55
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	156	162	175
3010 New obligations, unexpired accounts .....	12,765	1,394	140
3011 Obligations ("upward adjustments"), expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-12,748	-1,378	-121
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	162	175	191
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	156	162	175
3200 Obligated balance, end of year .....	162	175	191
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	130	150
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	13	15
4011 Outlays from discretionary balances .....	95	98	106
4020 Outlays, gross (total) .....	110	111	121
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	.....	.....	-20
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	12,638	1,267	.....
4180 Budget authority, net (total) .....	130	130	130
4190 Outlays, net (total) .....	12,748	1,378	101
<b>Memorandum (non-add) entries:</b>			
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	.....	.....	20

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086–0183–0–1–371	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 MMI Fund, Direct loans .....	.....	1	1
Direct loan subsidy (in percent):			
132001 MMI Fund, Direct loans .....	.....	0.00	0.00
Guaranteed loan levels supportable by subsidy budget authority:			
215002 MMI Fund .....	209,200	207,000	205,005
215004 MMI HECM .....	16,200	12,330	13,610
215999 Total loan guarantee levels .....	225,400	219,330	218,615
Guaranteed loan subsidy (in percent):			
232002 MMI Fund .....	-3.18	-3.20	-2.23
232004 MMI HECM .....	-49	-15	-08
232999 Weighted average subsidy rate .....	-2.99	-3.03	-2.10
Guaranteed loan subsidy budget authority:			
233002 MMI Fund .....	-6,653	-6,624	-4,572
233004 MMI HECM .....	-79	-18	-11
233999 Total subsidy budget authority .....	-6,732	-6,642	-4,583
Guaranteed loan subsidy outlays:			
234002 MMI Fund .....	-6,653	-6,624	-4,572
234004 MMI HECM .....	-79	-18	-11
234999 Total subsidy outlays .....	-6,732	-6,642	-4,583
Guaranteed loan reestimates:			
235002 MMI Fund .....	9,139	-12,871	.....
235004 MMI HECM .....	2,487	-3,327	.....
235999 Total guaranteed loan reestimates .....	11,626	-16,198	.....
Administrative expense data:			
3510 Budget authority .....	130	150	150
3580 Outlays from balances .....	97	98	106
3590 Outlays from new authority .....	13	13	15

The Federal Housing Administration (FHA) provides mortgage insurance for the purchase, refinance and rehabilitation of single-family homes. FHA mortgage insurance is designed to encourage lenders to make credit available to borrowers whom the conventional market does not adequately serve, including first-time homebuyers, minorities, lower-income families and residents of underserved areas (central cities and rural areas). Historically, FHA has also provided countercyclical support in times of economic crisis. For budgetary purposes, the Mutual Mortgage Insurance (MMI) Fund is separated into two risk categories: Forward loans and Home Equity Conversion Mortgages (HECMs). Forward programs guarantee loans for standard single-family purchases and refinances (Section 203(b) program), home improvements (Section 203(k) program) and condominiums. HECMs, also known as reverse mortgages, enable elderly homeowners to borrow against the equity in their homes without having to make repayments during their lifetime.

The 2020 Budget requests a limitation of \$400 billion on loan guarantees for the MMI Fund. The Budget projects insurance of \$205 billion in forward mortgages and \$13.6 billion in HECMs, with additional commitment authority available in case these amounts are exceeded during execution.

The Budget also requests \$150 million in administrative expenses to support a range of FHA functions, including loan underwriting, claims processing and risk monitoring. The requested funding increase would support the modernization of single-family information technology (IT) systems and is fully offset by the proposed authority to charge lenders a single-family housing IT fee.

Further, the Budget includes legislative proposals that would align FHA authorities with the needs of its lender enforcement program and limit FHA's exposure to risky down-payment assistance practices.

Object Classification (in millions of dollars)

Identification code 086–0183–0–1–371	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	127	127	140
41.0 Grants, subsidies, and contributions .....	10,464	867	.....
43.0 Interest and dividends .....	2,174	400	.....

99.9	Total new obligations, unexpired accounts .....	12,765	1,394	140
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0900	Total new obligations, unexpired accounts .....	23,011	39,878	18,672
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FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4242-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations .....	1	1
0791	Direct program activities, subtotal .....	1	1
0900	Total new obligations, unexpired accounts .....	1	1
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	1	1
1900	Budget authority (total) .....	1	1
1930	Total budgetary resources available .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-1	-1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1
Financing disbursements:			
4110	Outlays, gross (total) .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	1

Status of Direct Loans (in millions of dollars)

Identification code 086-4242-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority .....	1	1
1150	Total direct loan obligations .....	1	1

Balance Sheet (in millions of dollars)

Identification code 086-4242-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	6
1405	Net value of assets related to post-1991 direct loans receivable: Allowance for subsidy cost (-) .....	-3
1999	Total assets .....	3
<b>LIABILITIES:</b>		
2103	Federal liabilities: Federal Liabilities - Debt .....	3
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	3
2999	Total liabilities .....	3
4999	Total liabilities and net position .....	3

FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4587-0-3-371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Other capital investment & operating expenses:				
0003	Other capital investment & operating expenses .....	557	2,299	3,084
Credit program obligations:				
0711	Default claim payments on principal .....	13,634	12,330	9,926
0712	Default claim payments on interest .....	234	252	203
0713	Payment of interest to Treasury .....	841	890	876
0740	Negative subsidy obligations .....	6,732	6,642	4,583
0742	Downward reestimates paid to receipt accounts .....	921	14,179	.....
0743	Interest on downward reestimates .....	92	3,286	.....
0791	Direct program activities, subtotal .....	22,454	37,579	15,588

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	16,127	20,729	5,329
1021	Recoveries of prior year unpaid obligations .....	391	310	255
1050	Unobligated balance (total) .....	16,518	21,039	5,584
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	6,450	8,600	8,600
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections .....	30,433	17,668	19,993
1825	Spending authority from offsetting collections applied to repay debt .....	-9,661	-2,100	-2,100
1850	Spending auth from offsetting collections, mand (total) .....	20,772	15,568	17,893
1900	Budget authority (total) .....	27,222	24,168	26,493
1930	Total budgetary resources available .....	43,740	45,207	32,077
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	20,729	5,329	13,405
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,342	2,275	1,568
3010	New obligations, unexpired accounts .....	23,011	39,878	18,672
3020	Outlays (gross) .....	-22,687	-40,275	-18,371
3040	Recoveries of prior year unpaid obligations, unexpired .....	-391	-310	-255
3050	Unpaid obligations, end of year .....	2,275	1,568	1,614
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,342	2,275	1,568
3200	Obligated balance, end of year .....	2,275	1,568	1,614

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross .....	27,222	24,168	26,493
Financing disbursements:				
4110	Outlays, gross (total) .....	22,687	40,275	18,371
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward Reestimate from Program Account .....	-12,638	-1,267	.....
4122	Interest on uninvested funds .....	-1,019	-855	-932
4123	Fees and premiums .....	-13,123	-13,650	-14,104
4123	Recoveries on defaults .....	-3,653	-1,896	-4,957
4130	Offsets against gross budget authority and outlays (total) ....	-30,433	-17,668	-19,993
4160	Budget authority, net (mandatory) .....	-3,211	6,500	6,500
4170	Outlays, net (mandatory) .....	-7,746	22,607	-1,622
4180	Budget authority, net (total) .....	-3,211	6,500	6,500
4190	Outlays, net (total) .....	-7,746	22,607	-1,622

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4587-0-3-371	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	400,000	400,000	400,000
2121	Limitation available from carry-forward .....	400,000	400,000	400,000
2142	Uncommitted loan guarantee limitation .....	-174,600	-180,670	-181,385
2143	Uncommitted limitation carried forward .....	-400,000	-400,000	-400,000
2150	Total guaranteed loan commitments .....	225,400	219,330	218,615
2199	Guaranteed amount of guaranteed loan commitments .....	225,400	219,330	218,615

Cumulative balance of guaranteed loans outstanding:

2210	Outstanding, start of year .....	1,227,367	1,264,430	1,261,699
2231	Disbursements of new guaranteed loans .....	225,400	219,330	218,615
2251	Repayments and prepayments .....	-174,703	-202,005	-177,343
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-9,820	-10,792	-7,349
2262	Terminations for default that result in acquisition of property .....	-3,351	-1,743	-1,841
2263	Terminations for default that result in claim payments .....	-463	-7,521	-5,586
2264	Other adjustments, net .....	.....	.....	.....
2290	Outstanding, end of year .....	1,264,430	1,261,699	1,288,195

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	1,264,430	1,261,699	1,288,195
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	18,152	21,909	25,551

FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

Status of Guaranteed Loans—Continued

Identification code 086-4587-0-3-371	2018 actual	2019 est.	2020 est.
2331 Disbursements for guaranteed loan claims .....	9,820	9,152	9,623
2351 Repayments of loans receivable .....	-695	-2,555	-2,881
2361 Write-offs of loans receivable .....	-5,368	-2,955	-3,156
2364 Other adjustments, net .....			
2390 Outstanding, end of year .....	21,909	25,551	29,137

Balance Sheet (in millions of dollars)

Identification code 086-4587-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	18,469	23,004
Investments in U.S. securities:		
1106 Receivables, net .....	7,251	1,599
1206 Non-Federal assets: Receivables, net .....	126	112
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....	18,152	21,909
1502 Interest receivable .....	4,176	6,707
1504 Foreclosed property .....	1,473	1,084
1505 Allowance for subsidy cost (-) .....	-11,185	-10,890
1599 Net value of assets related to defaulted guaranteed loan .....	12,616	18,810
Other Federal assets:		
1801 Cash and other monetary assets .....		5
1901 Other assets .....	20	
1999 Total assets .....	38,482	43,530
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	2	2
2103 Federal liabilities, Debt .....	22,583	19,371
2105 Other .....	2,323	9,924
Non-Federal liabilities:		
2201 Accounts payable .....	241	254
2204 Liabilities for loan guarantees .....	13,027	13,696
2207 Other .....	306	283
2999 Total liabilities .....	38,482	43,530
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	38,482	43,530

FHA-MUTUAL MORTGAGE INSURANCE CAPITAL RESERVE ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0236-0-1-371	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31,635	26,982	50,268
1010 Unobligated balance transfer to other accts [086-0183] .....	-12,638	-1,267	
1010 Unobligated balance transfer to other accts [086-4070] .....	-10	-11	-12
1050 Unobligated balance (total) .....	18,987	25,704	50,256
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (negative subsidy) .....	6,732	6,642	4,583
1800 Offsetting collections (interest on investments) .....	298	457	660
1800 Offsetting collections (downward reestimate) .....	1,012	17,465	
1801 Change in uncollected payments, Federal sources .....	-47		
1850 Spending auth from offsetting collections, mand (total) .....	7,995	24,564	5,243
1930 Total budgetary resources available .....	26,982	50,268	55,499
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26,982	50,268	55,499
<b>Change in obligated balance:</b>			
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-48	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	47		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-48	-1	-1

3200 Obligated balance, end of year .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6,732	-6,642	-4,583
Mandatory:			
4090 Budget authority, gross .....	7,995	24,564	5,243
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal Sources: Downward Reestimate .....	-1,012	-17,465	
4121 Interest on Federal securities .....	-298	-457	-660
4130 Offsets against gross budget authority and outlays (total) ....	-1,310	-17,922	-660
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	47		
4160 Budget authority, net (mandatory) .....	6,732	6,642	4,583
4170 Outlays, net (mandatory) .....	-1,310	-17,922	-660
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-8,042	-24,564	-5,243

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	30,879	26,975	48,560
5001 Total investments, EOY: Federal securities: Par value .....	26,975	48,560	55,827

The Capital Reserve account is the ultimate depository for all net budgetary resources collected by the Mutual Mortgage Insurance (MMI) Fund programs. Negative credit subsidy receipts from new loan guarantees and downward reestimates, as well as interest earnings on Treasury investments, are recorded in this account. This account has no authority to obligate funds, but transfers balances of budget authority as necessary for the cost of upward credit subsidy reestimates to the MMI Program Account.

Balance Sheet (in millions of dollars)

Identification code 086-0236-0-1-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	876	520
Investments in U.S. securities:		
1102 Treasury securities, net .....	36,398	26,697
1106 Receivables, net .....	10,878	9,924
1999 Total assets .....	48,152	37,141
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	8,523	
2105 Other .....		1,599
2999 Total liabilities .....	8,523	1,599
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	39,629	35,542
4999 Total liabilities and net position .....	48,152	37,141

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING  
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4070-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0103 Acquisition of real properties .....	5	4	4
0107 Capitalized Expenses .....	17	14	14
0108 Loss mitigation activities .....		1	1
0191 Total capital investment .....	22	19	19
0202 Other Operation expenses .....	4	4	4
0900 Total new obligations, unexpired accounts .....	26	23	23
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	1	
1011 Unobligated balance transfer from other acct [086-0236] ...	10	11	12
1021 Recoveries of prior year unpaid obligations .....	9	4	4
1050 Unobligated balance (total) .....	22	16	16

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	5	7
1930	Total budgetary resources available .....	27	23
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	149	157
3010	New obligations, unexpired accounts .....	26	23
3020	Outlays (gross) .....	-9	-15
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-4
3050	Unpaid obligations, end of year .....	157	161
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	149	157
3200	Obligated balance, end of year .....	157	161
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross .....	5	7
Outlays, gross:			
4100	Outlays from new mandatory authority .....	4	7
4101	Outlays from mandatory balances .....	5	8
4110	Outlays, gross (total) .....	9	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources - Fees & Premiums .....	-5	-7
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	4	8

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 086-4070-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	487	242
2251	Repayments and prepayments .....	-240	-150
2262	Adjustments: Terminations for default that result in acquisition of property .....	-5	-4
2290	Outstanding, end of year .....	242	88
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	242	88
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	19	18
2331	Disbursements for guaranteed loan claims .....		
2351	Repayments of loans receivable .....		
2361	Write-offs of loans receivable .....	-1	
2390	Outstanding, end of year .....	18	18

**Balance Sheet (in millions of dollars)**

Identification code 086-4070-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	152
1206	Non-Federal assets: Receivables, net .....	1
1701	Defaulted guaranteed loans, gross .....	19
1703	Allowance for estimated uncollectible loans and interest (-) .....	-2
1705	Accounts receivable from foreclosed property .....	
1706	Foreclosed property .....	3
1799	Value of assets related to loan guarantees .....	20
Other Federal assets:		
1801	Cash and other monetary assets .....	
1901	Other assets .....	
1999	Total assets .....	173
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201	Accounts payable .....	145
2204	Liabilities for loan guarantees .....	9
2207	Unearned revenue and advances, and other .....	17
2999	Total liabilities .....	171
<b>NET POSITION:</b>		
3300	Cumulative results of operations .....	2
4999	Total liabilities and net position .....	173

**Object Classification (in millions of dollars)**

Identification code 086-4070-0-3-371	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	4	4
32.0	Land and structures .....	17	14
42.0	Insurance claims and indemnities .....	5	5
99.9	Total new obligations, unexpired accounts .....	26	23

**FHA-GENERAL AND SPECIAL RISK PROGRAM ACCOUNT**

*New commitments to guarantee loans insured under the General and Special Risk Insurance Funds, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), shall not exceed \$30,000,000,000 in total loan principal, any part of which is to be guaranteed, to remain available until September 30, 2021: Provided, That during fiscal year 2020, gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(l), 238, and 519(a) of the National Housing Act, shall not exceed \$1,000,000, which shall be for loans to nonprofit and governmental entities in connection with the sale of single family real properties owned by the Secretary and formerly insured under such Act.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 086-0200-0-1-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	Reestimates of direct loan subsidy .....	87	42
0706	Interest on reestimates of direct loan subsidy .....	23	13
0707	Reestimates of loan guarantee subsidy .....	1,208	736
0708	Interest on reestimates of loan guarantee subsidy .....	605	493
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1,923	1,284

**Budgetary resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	2
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	2	2
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,923	1,284
1900	Budget authority (total) .....	1,923	1,284
1930	Total budgetary resources available .....	1,925	1,286
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	
3010	New obligations, unexpired accounts .....	1,923	1,284
3020	Outlays (gross) .....	-1,923	-1,284
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	

**Budget authority and outlays, net:**

Mandatory:			
4090	Budget authority, gross .....	1,923	1,284
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,923	1,284
4180	Budget authority, net (total) .....	1,923	1,284
4190	Outlays, net (total) .....	1,923	1,284

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 086-0200-0-1-371	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002	FFB Risk Sharing .....	599	726
115999	Total direct loan levels .....	599	726
Direct loan subsidy (in percent):			
132002	FFB Risk Sharing .....	-8.18	-14.38

FHA-GENERAL AND SPECIAL RISK PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 086-0200-0-1-371	2018 actual	2019 est.	2020 est.
132999 Weighted average subsidy rate .....	-8.18	-14.38	.....
Direct loan subsidy budget authority:			
133002 FFB Risk Sharing .....	-49	-104	.....
133999 Total subsidy budget authority .....	-49	-104	.....
Direct loan subsidy outlays:			
134002 FFB Risk Sharing .....	-52	-83	-42
134999 Total subsidy outlays .....	-52	-83	-42
Direct loan reestimates:			
135002 FFB Risk Sharing .....	110	45	.....
135999 Total direct loan reestimates .....	110	45	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Apartment New Construction / Substantial Rehab .....	4,099	4,498	4,943
215003 Tax Credits .....	5,399	5,023	5,137
215005 Apartment Refinances .....	6,556	5,763	5,112
215008 Housing Finance Agency Risk Sharing .....	91	84	84
215009 Qualified Participating Entity Risk Sharing .....	4	4	4
215010 Residential Care Facilities .....	256	301	301
215011 Residential Care Facility Refinances .....	3,401	3,672	3,672
215012 Hospitals .....	210	620	620
215013 Other Rental .....	245	233	236
215017 Title I Property Improvement .....	68	51	47
215018 Title I Manufactured Housing .....	23	22	16
215999 Total loan guarantee levels .....	20,352	20,271	20,172
Guaranteed loan subsidy (in percent):			
232001 Apartment New Construction / Substantial Rehab .....	-1.61	-20	-1.18
232003 Tax Credits .....	-1.63	-2.49	-2.25
232005 Apartment Refinances .....	-3.92	-3.27	-3.18
232008 Housing Finance Agency Risk Sharing .....	-27	-23	-1.83
232009 Qualified Participating Entity Risk Sharing .....	0.00	-32	-26
232010 Residential Care Facilities .....	-7.04	-5.81	-5.20
232011 Residential Care Facility Refinances .....	-5.94	-5.23	-4.89
232012 Hospitals .....	-5.23	-5.59	-5.56
232013 Other Rental .....	-3.68	-89	-1.77
232017 Title I Property Improvement .....	-1.47	-1.00	-1.42
232018 Title I Manufactured Housing .....	-4.36	-3.87	-4.79
232999 Total loan subsidy .....	-3.21	-2.81	-2.84
Guaranteed loan subsidy budget authority:			
233001 Apartment New Construction / Substantial Rehab .....	-66	-9	-58
233003 Tax Credits .....	-88	-125	-116
233005 Apartment Refinances .....	-257	-188	-162
233008 Housing Finance Agency Risk Sharing .....	.....	.....	-2
233010 Residential Care Facilities .....	-18	-17	-16
233011 Residential Care Facility Refinances .....	-202	-192	-179
233012 Hospitals .....	-11	-35	-34
233013 Other Rental .....	-9	-2	-4
233017 Title I Property Improvement .....	-1	-1	-1
233018 Title I Manufactured Housing .....	-1	-1	-1
233999 Total subsidy budget authority .....	-653	-570	-573
Guaranteed loan subsidy outlays:			
234001 Apartment New Construction / Substantial Rehab .....	-95	-21	-40
234003 Tax Credits .....	-72	-97	-107
234005 Apartment Refinances .....	-287	-180	-172
234008 Housing Finance Agency Risk Sharing .....	-1	.....	-1
234010 Residential Care Facilities .....	-13	-17	-13
234011 Residential Care Facility Refinances .....	-208	-179	-183
234012 Hospitals .....	-11	-26	-38
234013 Other Rental .....	-9	-2	-4
234017 Title I Property Improvement .....	-1	-1	-1
234018 Title I Manufactured Housing .....	-1	-1	-1
234999 Total subsidy outlays .....	-698	-524	-560
Guaranteed loan reestimates:			
235001 Apartment New Construction / Substantial Rehab .....	3	.....	.....
235003 Tax Credits .....	13	.....	.....
235005 Apartment Refinances .....	-29	.....	.....
235008 Housing Finance Agency Risk Sharing .....	-1	.....	.....
235010 Residential Care Facilities .....	1	.....	.....
235011 Residential Care Facility Refinances .....	18	.....	.....
235012 Hospitals .....	-3	.....	.....
235013 Other Rental .....	1	.....	.....
235023 GI/SRI Reestimates .....	1,380	-440	.....
235999 Total guaranteed loan reestimates .....	1,380	-437	.....

The Federal Housing Administration's General Insurance and Special Risk Insurance (GI/SRI) programs provide mortgage insurance for a variety

of purposes, including financing for the development and rehabilitation of multifamily housing, residential care facilities, and hospitals. The Budget requests a limitation of \$30 billion on loan guarantees for the GI/SRI Fund. GI/SRI's mortgage insurance programs are designed to operate without the need for subsidy appropriations, with fees set higher than anticipated losses. Therefore, the Budget does not request an appropriation of new credit subsidy funds.

GI/SRI programs guarantee loans at 100 percent, with three exceptions where other parties guarantee a portion of the loan: Housing Finance Agency Risk Sharing, Qualified Participating Entity Risk Sharing, and Federal Financing Bank Risk Sharing.

FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4077-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Other capital investments and operating expenses .....	229	229	229
0014 Contract Costs .....	22	22	22
0091 Direct program activities, subtotal .....	251	251	251
Credit program obligations:			
0711 Default claim payments on principal .....	1,872	8,524	5,708
0712 Default claim payments on interest .....	218	951	637
0713 Payment of interest to Treasury .....	223	223	223
0740 Negative subsidy obligations .....	653	569	573
0742 Downward reestimates paid to receipt accounts .....	331	1,348	.....
0743 Interest on downward reestimates .....	102	319	.....
0791 Direct program activities, subtotal .....	3,399	11,934	7,141
0900 Total new obligations, unexpired accounts .....	3,650	12,185	7,392
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8,612	8,943	2,986
1021 Recoveries of prior year unpaid obligations .....	68	46	46
1050 Unobligated balance (total) .....	8,680	8,989	3,032
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1,051	4,500	4,500
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3,887	2,707	1,958
1825 Spending authority from offsetting collections applied to repay debt .....	-1,025	-1,025	-1,025
1850 Spending auth from offsetting collections, mand (total) .....	2,862	1,682	933
1900 Budget authority (total) .....	3,913	6,182	5,433
1930 Total budgetary resources available .....	12,593	15,171	8,465
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8,943	2,986	1,073
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	466	506	1,014
3010 New obligations, unexpired accounts .....	3,650	12,185	7,392
3020 Outlays (gross) .....	-3,542	-11,631	-7,704
3040 Recoveries of prior year unpaid obligations, unexpired .....	-68	-46	-46
3050 Unpaid obligations, end of year .....	506	1,014	656
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	466	506	1,014
3200 Obligated balance, end of year .....	506	1,014	656
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,913	6,182	5,433
Financing disbursements:			
4110 Outlays, gross (total) .....	3,542	11,631	7,704
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Subsidy reestimate from program account .....	-1,813	-1,229	.....
4122 Interest on uninvested funds .....	-479	-446	-446
4123 Fees and premiums .....	-870	-950	-919
4123 Recoveries on HUD-Held Notes .....	-500	-10	-360
4123 Title I recoveries .....	-7	-2	-1
4123 Single family property recoveries .....	-137	-19	-35
4123 Gross Proceeds from Mortgage Note Sales .....	-35	-51	-197
4123 Non-Federal Resources-other .....	-46	.....	.....

4130	Offsets against gross budget authority and outlays (total) ....	-3,887	-2,707	-1,958
4160	Budget authority, net (mandatory) .....	26	3,475	3,475
4170	Outlays, net (mandatory) .....	-345	8,924	5,746
4180	Budget authority, net (total) .....	26	3,475	3,475
4190	Outlays, net (total) .....	-345	8,924	5,746

4999	Total liabilities and net position .....	14,533	13,455
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FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4077-0-3-371	2018 actual	2019 est.	2020 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Guaranteed loan commitments from current-year authority .....	30,000	30,000	30,000
2121	Limitation available from carry-forward .....	30,000	30,000	30,000
2142	Uncommitted loan guarantee limitation .....	-9,648	-9,729	-9,828
2143	Uncommitted limitation carried forward .....	-30,000	-30,000	-30,000
2150	Total guaranteed loan commitments .....	20,352	20,271	20,172
2199	Guaranteed amount of guaranteed loan commitments .....	20,307	20,227	20,128
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	155,378	157,684	161,381
2231	Disbursements of new guaranteed loans .....	25,163	25,036	20,208
2251	Repayments and prepayments .....	-20,985	-12,814	-11,960
<b>Adjustments:</b>				
2261	Terminations for default that result in loans receivable .....	-1,493	-4,893	-4,530
2262	Terminations for default that result in acquisition of property .....	-57	-11	-9
2263	Terminations for default that result in claim payments .....	-322	-3,621	-1,169
2290	Outstanding, end of year .....	157,684	161,381	163,921
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	154,440	158,093	160,589
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	4,762	5,092	8,367
2331	Disbursements for guaranteed loan claims .....	1,493	4,893	4,530
2351	Repayments of loans receivable .....	-350	-749	-867
2361	Write-offs of loans receivable .....	-813	-869	-1,428
2390	Outstanding, end of year .....	5,092	8,367	10,602

Identification code 086-4105-0-3-371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0710	Direct loan obligations .....	599	726	.....
0713	Payment of interest to Treasury .....	8	6	6
0715	Payment of interest to FFB .....	37	72	84
0740	Negative subsidy obligations .....	49	104	.....
0742	Downward reestimates paid to receipt accounts .....	.....	9	.....
0743	Interest on downward reestimates .....	.....	1	.....
0900	Total new obligations, unexpired accounts .....	693	918	90
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	36	91	96
1021	Recoveries of prior year unpaid obligations .....	4	2	4
1050	Unobligated balance (total) .....	40	93	100
<b>Financing authority:</b>				
<b>Borrowing authority, mandatory:</b>				
1400	Borrowing authority .....	703	792	100
<b>Spending authority from offsetting collections, mandatory:</b>				
1800	Collected .....	171	165	152
1825	Spending authority from offsetting collections applied to repay debt .....	-130	-36	-68
1850	Spending auth from offsetting collections, mand (total) .....	41	129	84
1900	Budget authority (total) .....	744	921	184
1930	Total budgetary resources available .....	784	1,014	284
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	91	96	194
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	553	645	784
3010	New obligations, unexpired accounts .....	693	918	90
3020	Outlays (gross) .....	-597	-777	-453
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4	-2	-4
3050	Unpaid obligations, end of year .....	645	784	417
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	553	645	784
3200	Obligated balance, end of year .....	645	784	417

Balance Sheet (in millions of dollars)

Identification code 086-4077-0-3-371	2017 actual	2018 actual	
<b>ASSETS:</b>			
<b>Federal assets:</b>			
1101	Fund balances with Treasury .....	9,078	9,449
<b>Investments in U.S. securities:</b>			
1106	Receivables, net .....	1,634	-249
<b>Non-Federal assets:</b>			
1201	Investments in non-Federal securities, net .....	31	8
1206	Receivables, net .....	21	22
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>			
1501	Defaulted guaranteed loans receivable, gross .....	4,762	5,092
1502	Interest receivable .....	2,037	2,364
1504	Foreclosed property .....	58	91
1505	Allowance for subsidy cost (-) .....	-3,095	-3,328
1599	Net value of assets related to defaulted guaranteed loan .....	3,762	4,219
<b>Other Federal assets:</b>			
1801	Cash and other monetary assets .....	.....	6
1901	Other assets .....	7	.....
1999	Total assets .....	14,533	13,455
<b>LIABILITIES:</b>			
<b>Federal liabilities:</b>			
2103	Debt .....	5,167	5,192
2105	Other .....	1,584	2,668
<b>Non-Federal liabilities:</b>			
2201	Accounts payable .....	103	129
2204	Liabilities for loan guarantees .....	7,563	5,391
2207	Other .....	116	75
2999	Total liabilities .....	14,533	13,455
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	.....	.....

<b>Financing authority and disbursements, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross .....	744	921	184
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	597	777	453
<b>Offsets against gross financing authority and disbursements:</b>				
<b>Offsetting collections (collected) from:</b>				
4120	Federal sources .....	-110	-55	.....
4122	Interest on uninvested funds .....	-4	-1	.....
4123	Repayment of Principal .....	-15	-32	-63
4123	DL Interest Payments .....	-40	-72	-84
4123	Loan Guarantee Fees .....	-2	-5	-5
4130	Offsets against gross budget authority and outlays (total) ....	-171	-165	-152
4160	Budget authority, net (mandatory) .....	573	756	32
4170	Outlays, net (mandatory) .....	426	612	301
4180	Budget authority, net (total) .....	573	756	32
4190	Outlays, net (total) .....	426	612	301

Status of Direct Loans (in millions of dollars)

Identification code 086-4105-0-3-371	2018 actual	2019 est.	2020 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Direct loan obligations from current-year authority .....	599	726	.....
1150	Total direct loan obligations .....	599	726	.....
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	1,192	1,665	2,254
1231	Disbursements: Direct loan disbursements .....	488	621	326
1251	Repayments: Repayments and prepayments .....	-15	-32	-63

FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT—Continued  
Status of Direct Loans—Continued

Identification code 086-4105-0-3-371	2018 actual	2019 est.	2020 est.
1290 Outstanding, end of year .....	1,665	2,254	2,517

Balance Sheet (in millions of dollars)

Identification code 086-4105-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	60	46
Investments in U.S. securities:		
1106 Receivables, net .....	130	16
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	1,192	1,665
1402 Interest receivable .....	3	5
1405 Allowance for subsidy cost (-) .....	37	203
1499 Net present value of assets related to direct loans .....	1,232	1,873
1999 Total assets .....	1,422	1,935
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....		5
2103 Debt .....	1,392	1,811
2105 Other .....	17	119
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....		
2207 Other .....	13	
2999 Total liabilities .....	1,422	1,935
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,422	1,935

FHA-LOAN GUARANTEE RECOVERY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4106-0-3-371	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4106-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	5	4	3
2251 Repayments and prepayments .....	-1	-1	-1
2290 Outstanding, end of year .....	4	3	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	4	3	2

Section 4 of the Church Arson Prevention Act of 1996 (Public Law 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain non-profit organizations that were damaged as a result of acts of arson or terrorism.

Balance Sheet (in millions of dollars)

Identification code 086-4106-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	5	6
1999 Total assets .....	5	6

LIABILITIES:

Non-Federal liabilities:			
2204 Liabilities for loan guarantees .....	5		4
2207 Other .....			2
2999 Total liabilities .....	5		6
4999 Total liabilities and net position .....	5		6

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4072-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0110 Capitalized Expenses .....	8	8	8
0111 HUD Held Notes Escrow Activity .....	21	25	25
0113 Other .....	6	10	10
0900 Total new obligations, unexpired accounts .....	35	43	43
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	138	161	92
1021 Recoveries of prior year unpaid obligations .....	14	10	5
1022 Capital transfer of unobligated balances to general fund .....	-138	-161	-92
1050 Unobligated balance (total) .....	14	10	5
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	25	25	25
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	157	100	100
1900 Budget authority (total) .....	182	125	125
1930 Total budgetary resources available .....	196	135	130
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	161	92	87

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	87	84	50
3010 New obligations, unexpired accounts .....	35	43	43
3020 Outlays (gross) .....	-24	-67	-72
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14	-10	-5
3050 Unpaid obligations, end of year .....	84	50	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	86	83	49
3200 Obligated balance, end of year .....	83	49	15

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	182	125	125
Outlays, gross:			
4100 Outlays from new mandatory authority .....	24	27	27
4101 Outlays from mandatory balances .....		40	45
4110 Outlays, gross (total) .....	24	67	72
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Other .....	-157	-100	-100
4180 Budget authority, net (total) .....	25	25	25
4190 Outlays, net (total) .....	-133	-33	-28

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4072-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	325	224	195
2251 Repayments and prepayments .....	-101	-29	-14
Adjustments:			
2261 Terminations for default that result in loans receivable .....			
2262 Terminations for default that result in acquisition of property .....			
2290 Outstanding, end of year .....	224	195	181

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	224	195	181
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	1,625	1,514	1,492
2331	Disbursements for guaranteed loan claims .....			
2351	Repayments of loans receivable .....	-111	-22	-21
2390	Outstanding, end of year .....	1,514	1,492	1,471

**Balance Sheet** (in millions of dollars)

Identification code 086-4072-0-3-371			
		2017 actual	2018 actual
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	224	244
Investments in U.S. securities:			
1102	Treasury securities, par .....		
1206	Non-Federal assets: Receivables, net .....	1	1
1701	Defaulted guaranteed loans, gross .....	1,625	1,514
1702	Interest receivable .....	245	248
1703	Allowance for estimated uncollectible loans and interest (-) .....	-686	-623
1705	Accounts receivable from foreclosed property .....		
1706	Foreclosed property .....	3	
1799	Value of assets related to loan guarantees .....	1,187	1,139
1901	Other Federal assets: Other assets .....		
1999	Total assets .....	1,412	1,384
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....	9	11
2204	Liabilities for loan guarantees .....		
2207	Other .....	157	184
2999	Total liabilities .....	166	195
<b>NET POSITION:</b>			
3100	Unexpended appropriations .....	204	229
3300	Cumulative results of operations .....	1,042	960
3999	Total net position .....	1,246	1,189
4999	Total liabilities and net position .....	1,412	1,384

**Object Classification** (in millions of dollars)

Identification code 086-4072-0-3-371				
		2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>				
32.0	Land and structures .....	8	8	8
33.0	Investments and loans .....	27	35	35
99.9	Total new obligations, unexpired accounts .....	35	43	43

**HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 086-4115-0-3-371				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0102	Loan Management, Liquidations and Property Dispositions .....	3	4	4
0900	Total new obligations, unexpired accounts (object class 32.0) .....	3	4	4
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	306	238	
1021	Recoveries of prior year unpaid obligations .....	2		
1022	Capital transfer of unobligated balances to general fund .....	-306	-238	
1050	Unobligated balance (total) .....	2		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	239	187	146
1820	Capital transfer of spending authority from offsetting collections to general fund .....		-183	-142
1850	Spending auth from offsetting collections, mand (total) .....	239	4	4
1930	Total budgetary resources available .....	241	4	4

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	238		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	6	4
3010	New obligations, unexpired accounts .....	3	4	4
3020	Outlays (gross) .....	-2	-6	-6
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	6	4	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	6	4
3200	Obligated balance, end of year .....	6	4	2

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	239	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....		4	4
4101	Outlays from mandatory balances .....	2	2	2
4110	Outlays, gross (total) .....	2	6	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-239	-187	-146
4180	Budget authority, net (total) .....		-183	-142
4190	Outlays, net (total) .....	-237	-181	-140

**Status of Direct Loans** (in millions of dollars)

Identification code 086-4115-0-3-371				
		2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	954	788	658
1251	Repayments: Repayments and prepayments .....	-164	-130	-101
1264	Adjustments: Reclassify to Foreclosed Property Acquired .....	-2		
1290	Outstanding, end of year .....	788	658	557

**Balance Sheet** (in millions of dollars)

Identification code 086-4115-0-3-371			
		2017 actual	2018 actual
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	313	245
1206	Non-Federal assets: Interest Receivable: Public .....	13	
1601	Direct loans, gross .....	954	788
1602	Interest receivable .....		11
1603	Allowance for estimated uncollectible loans and interest (-) .....	-8	-9
1699	Value of assets related to direct loans .....	946	790
1999	Total assets .....	1,272	1,035
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....		
2207	Other .....	1	
2999	Total liabilities .....	1	
<b>NET POSITION:</b>			
3100	Unexpended Appropriations .....		
3300	Revolving Fund: Cumulative results of operations .....	1,271	1,035
3999	Total net position .....	1,271	1,035
4999	Total liabilities and net position .....	1,272	1,035

**Trust Funds**

**MANUFACTURED HOUSING FEES TRUST FUND**

For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5401 et seq.), up to \$12,000,000, to remain available until expended, of which \$12,000,000 is to be derived from the Manufactured Housing Fees Trust Fund: Provided, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: Provided further, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year 2020 so as to result in a final fiscal year 2020 appropriation from the general fund estimated at zero, and fees pursuant to such section 620 shall be modified as neces-

MANUFACTURED HOUSING FEES TRUST FUND—Continued

sary to ensure such a final fiscal year 2020 appropriation: Provided further, That for the dispute resolution and installation programs, the Secretary may assess and collect fees from any program participant: Provided further, That such collections shall be deposited into the Fund, and the Secretary, as provided herein, may use such collections, as well as fees collected under section 620, for necessary expenses of such Act: Provided further, That, notwithstanding the requirements of section 620 of such Act, the Secretary may carry out responsibilities of the Secretary under such Act through the use of approved service providers that are paid directly by the recipients of their services.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 086–8119–0–7–376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	6	10	15
Receipts:			
Current law:			
1120 Mobile Home Inspection and Monitoring Fees, Manufactured Housing Fee Trust Fund .....	15	16	16
2000 Total: Balances and receipts .....	21	26	31
Appropriations:			
Current law:			
2101 Manufactured Housing Fees Trust Fund .....	–11	–11	–12
5099 Balance, end of year .....	10	15	19

Program and Financing (in millions of dollars)

Identification code 086–8119–0–7–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Manufactured Housing Program Costs .....	8	14	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	6	3
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	11	11	12
1930 Total budgetary resources available .....	14	17	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	14
3010 New obligations, unexpired accounts .....	8	14	12
3020 Outlays (gross) .....	–8	–10	–11
3050 Unpaid obligations, end of year .....	10	14	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	14
3200 Obligated balance, end of year .....	10	14	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	3
4011 Outlays from discretionary balances .....	8	8	8
4020 Outlays, gross (total) .....	8	10	11
4180 Budget authority, net (total) .....	11	11	12
4190 Outlays, net (total) .....	8	10	11

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, authorizes the development and enforcement of appropriate standards for the construction, design, installation, and performance of manufactured homes to assure their quality, durability, affordability, and safety. All manufactured homes produced since the standards took effect in 1976 must comply with Federal construction and safety standards. Thirty-four States participate in the program under Department of Housing and Urban Development (HUD) approved State compliance plans and are re-

imbursed by HUD for their activities. HUD administers a compliance program for the remaining 16 States.

HUD coordinates the Manufactured Housing Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards and regulations. HUD also develops and implements model standards for installation of manufactured housing, as well as an installation enforcement program. HUD administers installation enforcement programs in 14 States and oversees HUD-approved programs in 36 States. Finally, HUD administers a dispute resolution program for manufactured housing homeowners, retailers, installers, and manufacturers in 24 States and oversees HUD approved dispute resolution programs in 26 States.

Fees are charged to the manufacturers for each transportable section produced to offset the expenses incurred by the Department in carrying out the responsibilities under the authorizing legislation. The Budget proposes to fund the costs of authorized activities with an estimated \$12 million in fees.

Object Classification (in millions of dollars)

Identification code 086–8119–0–7–376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	5	8	6
41.0 Grants, subsidies, and contributions .....	3	6	6
99.9 Total new obligations, unexpired accounts .....	8	14	12

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

The Government National Mortgage Association was established by Federal charter in 1968. It is a wholly-owned Government corporation within the U.S. Department of Housing and Urban Development (HUD). It was established to support Federal housing initiatives by providing liquidity to the secondary mortgage market and to attract capital from the global capital markets for the Nation's mortgage markets. Its primary function is to guarantee the timely payment of principal and interest on Mortgage-Backed Securities that are backed by loans insured or guaranteed by the Federal Housing Administration, the Department of Veterans Affairs, Rural Development in the Department of Agriculture, and HUD's Office of Public and Indian Housing.

Federal Funds

GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086–0238–0–1–371	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17,124	16,169	15,090
1010 Unobligated balance transfer to other accts [086–0186] .....	–350	–3,520	–450
1010 Unobligated balance transfer to other accts [086–4240] .....	–3,400		
1011 Unobligated balance transfer from other acct [086–4240] .....	110	110	110
1011 Unobligated balance transfer from other acct [086–4238] .....	30		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–149		
1050 Unobligated balance (total) .....	13,365	12,759	14,750
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (negative subsidy) .....	1,740	1,914	1,184
1800 Offsetting collections (interest on investments) .....	233	317	472
1800 Offsetting collections (downward reestimate) .....	731		
1800 Offsetting collections (interest on loans) .....	100	100	100
1850 Spending auth from offsetting collections, mand (total) .....	2,804	2,331	1,756
1930 Total budgetary resources available .....	16,169	15,090	16,506
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16,169	15,090	16,506

<b>Budget authority and outlays, net:</b>				
Discretionary:				
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,740	-1,914	-1,184
4040	Offsets against gross budget authority and outlays (total) ....	-1,740	-1,914	-1,184
Mandatory:				
4090	Budget authority, gross .....	2,804	2,331	1,756
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-831	-100	-100
4121	Interest on Federal securities .....	-233	-317	-472
4130	Offsets against gross budget authority and outlays (total) ....	-1,064	-417	-572
4160	Budget authority, net (mandatory) .....	1,740	1,914	1,184
4170	Outlays, net (mandatory) .....	-1,064	-417	-572
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-2,804	-2,331	-1,756
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	17,124	16,169	15,090
5001	Total investments, EOY: Federal securities: Par value .....	16,169	15,090	16,506

In 2013, a Capital Reserve Account was established for the Government National Mortgage Association (GNMA). Financial reserves of GNMA were transferred from the Reserve Receipt and Liquidating Accounts to the Capital Reserve Account. This mandatory account earns interest on Treasury investments and is the eventual depository for all budgetary resources collected by GNMA including negative subsidy receipts from new security guarantees, downward reestimates, and loan repayments from the Financing Account. This account has no authority to obligate funds but transfers resources to the GNMA Program Account as necessary for mandatory spending authorized in that account.

**GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT**

*New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$550,000,000,000, to remain available until September 30, 2021: Provided, That \$28,400,000, to be derived from fees credited as offsetting collections to this account, including balances of fees collected and credited in prior fiscal years, shall be available for necessary salaries and expenses of the Office of Government National Mortgage Association: Provided further, That receipts from Commitment and Multiclass fees collected pursuant to title III of the National Housing Act, as amended, shall be credited as offsetting collections to this account.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 086–0186–0–1–371	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0707	Reestimates of loan guarantee subsidy .....	2,980		
0708	Interest on reestimates of loan guarantee subsidy .....	89		
0709	Administrative expenses .....	322	330	
0799	Total direct obligations .....	3,377	330	
0801	Servicing Expenses .....	50	100	
0900	Total new obligations, unexpired accounts .....	372	3,577	430
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	329	345	319
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	8	9	
1011	Unobligated balance transfer from other acct [086–0238] ....	350	3,520	450
1021	Recoveries of prior year unpaid obligations .....	9		
1050	Unobligated balance (total) .....	688	3,865	769
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	129	131	132

1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-99	-101	-104
1750	Spending auth from offsetting collections, disc (total) .....	30	30	28
Spending authority from offsetting collections, mandatory:				
1800	Collected .....		1	1
1900	Budget authority (total) .....	30	31	29
1930	Total budgetary resources available .....	718	3,896	798
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	345	319	368
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	362	505	810
3010	New obligations, unexpired accounts .....	372	3,577	430
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-219	-3,272	-129
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9		
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	505	810	1,111
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	362	505	810
3200	Obligated balance, end of year .....	505	810	1,111

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	30	30	28
Outlays, gross:				
4010	Outlays from new discretionary authority .....	25	27	25
4011	Outlays from discretionary balances .....	2	6	3
4020	Outlays, gross (total) .....	27	33	28
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-129	-131	-132
Mandatory:				
4090	Budget authority, gross .....		1	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
4101	Outlays from mandatory balances .....	192	3,238	100
4110	Outlays, gross (total) .....	192	3,239	101
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	-99	-101	-104
4190	Outlays, net (total) .....	90	3,140	-4
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	634	733	834
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	733	834	938
5093	Expired unavailable balance, SOY: Offsetting collections .....	1		
5094	Canceling unavailable balance: Offsetting collections .....	-1		

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 086–0186–0–1–371	2018 actual	2019 est.	2020 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Guarantees of Mortgage-Backed Securities .....	434,976	435,000	408,000
215999	Total loan guarantee levels .....	434,976	435,000	408,000
Guaranteed loan subsidy (in percent):				
232001	Guarantees of Mortgage-Backed Securities .....	-40	-44	-29
232999	Weighted average subsidy rate .....	-40	-44	-29
Guaranteed loan subsidy budget authority:				
233001	Guarantees of Mortgage-Backed Securities .....	-1,740	-1,914	-1,184
233999	Total subsidy budget authority .....	-1,740	-1,914	-1,184
Guaranteed loan subsidy outlays:				
234001	Guarantees of Mortgage-Backed Securities .....	-1,740	-1,914	-1,184
234999	Total subsidy outlays .....	-1,740	-1,914	-1,184
Guaranteed loan reestimates:				
235001	Guarantees of Mortgage-Backed Securities .....	-732	3,070	
235999	Total guaranteed loan reestimates .....	-732	3,070	
Administrative expense data:				
3510	Budget authority .....	30	30	28
3590	Outlays from new authority .....	25	27	28

The Budget requests commitment authority for the Government National Mortgage Association (GNMA) to guarantee \$550 billion in new mortgage-backed securities and provides \$28.4 million in spending authority from

GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM  
ACCOUNT—Continued

offsetting collections (Commitment and Multiclass Fees) for the salaries and expenses of GNMA. The Budget also includes a legislative proposal that would increase GNMA's flexibility to adjust its guarantee fee in response to changing circumstances, but does not assume a change to the current fee (six basis points) in 2020.

Object Classification (in millions of dollars)

Identification code 086-0186-0-1-371	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	19	21	22
11.9 Total personnel compensation .....	19	21	22
12.1 Civilian personnel benefits .....	6	7	8
25.2 Other services from non-Federal sources .....	296	280	300
25.3 Other goods and services from Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....		2,980	
43.0 Interest and dividends .....		89	
99.0 Direct obligations .....	322	3,377	330
99.0 Reimbursable obligations .....	50	200	100
99.9 Total new obligations, unexpired accounts .....	372	3,577	430

Employment Summary

Identification code 086-0186-0-1-371	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	149	148	154

GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4240-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Advances and other .....	75	130	130
0004 Preservation of collateral .....	282	515	515
0005 Payment of Interest on Borrowings .....	100	100	100
0091 Subtotal—Advances and Operating Expenses .....	457	745	745
Credit program obligations:			
0740 Negative subsidy obligations .....	1,740	1,914	1,184
0742 Downward reestimates paid to receipt accounts .....	710		
0743 Interest on downward reestimates .....	21		
0791 Direct program activities, subtotal .....	2,471	1,914	1,184
0900 Total new obligations, unexpired accounts .....	2,928	2,659	1,929
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	647	3,165	4,904
1010 Unobligated balance transfer to other accts [086-0238] .....	-110	-110	-110
1011 Unobligated balance transfer from other acct [086-0238] .....	3,400		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	149		
1050 Unobligated balance (total) .....	4,086	3,055	4,794
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,007	4,508	1,438
1930 Total budgetary resources available .....	6,093	7,563	6,232
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,165	4,904	4,303
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	357	571	945
3010 New obligations, unexpired accounts .....	2,928	2,659	1,929
3020 Outlays (gross) .....	-2,714	-2,285	-2,285
3050 Unpaid obligations, end of year .....	571	945	589
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	357	571	945
3200 Obligated balance, end of year .....	571	945	589
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,007	4,508	1,438

Financing disbursements:				
4110 Outlays, gross (total) .....	2,714	2,285	2,285	
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120 Federal sources .....		-3,070		
4122 Interest on uninvested funds .....		-59		-59
4123 Guarantee Fees .....	-2,007	-885		-885
4123 Repayment of advances .....		-494		-494
4130 Offsets against gross budget authority and outlays (total) .....	-2,007	-4,508		-1,438
4170 Outlays, net (mandatory) .....	707	-2,223		847
4180 Budget authority, net (total) .....				
4190 Outlays, net (total) .....	707	-2,223		847

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4240-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	500,000	550,000	550,000
2121 Limitation available from carry-forward .....	470,246	500,000	550,000
2142 Uncommitted loan guarantee limitation .....	-35,270	-65,000	-142,000
2143 Uncommitted limitation carried forward .....	-500,000	-550,000	-550,000
2150 Total guaranteed loan commitments .....	434,976	435,000	408,000
2199 Guaranteed amount of guaranteed loan commitments .....	434,976	435,000	408,000
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,884,165	2,008,202	2,159,488
2231 Disbursements of new guaranteed loans .....	434,976	435,000	408,000
2251 Repayments and prepayments .....	-310,939	-283,714	
2290 Outstanding, end of year .....	2,008,202	2,159,488	2,283,774

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,008,202	2,159,488	2,283,774
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	3,365	2,942	2,942
2331 Disbursements for guaranteed loan claims .....	23		
2351 Repayments of loans receivable .....	-421		
2361 Write-offs of loans receivable .....	-25		
2390 Outstanding, end of year .....	2,942	2,942	2,942

Balance Sheet (in millions of dollars)

Identification code 086-4240-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	1,031	3,736
Investments in U.S. securities:		
1106 Receivables, net .....		1
1206 Non-Federal assets: Receivables, net .....	442	105
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	5,326	
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross .....		2,942
1504 Foreclosed property .....		284
1505 Allowance for subsidy cost (-) .....		
1599 Net present value of assets related to defaulted guaranteed loans .....		3,226
1801 Other Federal assets: Cash and other monetary assets .....		29
1999 Total assets .....	6,799	7,097
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	89	1
2207 Other .....		7
2999 Total liabilities .....	89	8
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	6,710	7,089
4999 Total liabilities and net position .....	6,799	7,097

GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4238-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Operating expenses			
0002 Operating expenses		1	1
0900 Total new obligations, unexpired accounts (object class 43.0)		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	129	101	101
1010 Unobligated balance transfer to other accrs [086-0238]	-30		
1050 Unobligated balance (total)	99	101	101
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	1	1
1930 Total budgetary resources available	101	102	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	101	101	101
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	23	22
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)	-1	-2	-2
3050 Unpaid obligations, end of year	23	22	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	23	22
3200 Obligated balance, end of year	23	22	21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	2	1	1
Outlays, gross:			
4101 Outlays from mandatory balances	1	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-2	-1	-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	152	124	123
5001 Total investments, EOY: Federal securities: Par value	124	123	122

Balance Sheet (in millions of dollars)

Identification code 086-4238-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par	151	125
1106 Receivables, net		
1601 Direct loans, gross		
1603 Allowance for estimated uncollectible loans and interest (-)		
1699 Value of assets related to direct loans		
1901 Other Federal assets: Other assets		
1999 Total assets	151	125
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable		23
2207 Other	23	
2999 Total liabilities	23	23
<b>NET POSITION:</b>		
3300 Cumulative results of operations	128	102
4999 Total liabilities and net position	151	125

POLICY DEVELOPMENT AND RESEARCH

Federal Funds

RESEARCH AND TECHNOLOGY

For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems as authorized by title V of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary of Housing and Urban Development under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, and for technical assistance, \$87,000,000, to remain available until September 30, 2021: Provided, That the amounts made available under this heading may be used for the types of research and studies otherwise provided for and authorized elsewhere under this title: Provided further, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements with philanthropic entities, other Federal agencies, State or local governments and their agencies, Indian tribes, tribally designated housing entities, or colleges or universities for research projects: Provided further, That with respect to the previous proviso, not more than 50 percent of the cost of such projects may come from amounts made available under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0108-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contracts, Grants and Cooperative Agreements	48	54	50
0002 Research and Demonstrations	13	21	10
0003 Technical Assistance	24	28	25
0799 Total direct obligations	85	103	85
0801 BJA Pay for Success Evaluation	1		
0900 Total new obligations, unexpired accounts	86	103	85
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	40	26
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	38	40	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	89	89	87
1900 Budget authority (total)	89	89	87
1930 Total budgetary resources available	127	129	113
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	40	26	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	67	69	77
3010 New obligations, unexpired accounts	86	103	85
3020 Outlays (gross)	-83	-95	-80
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	69	77	82
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	67	69	77
3200 Obligated balance, end of year	69	77	82
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	89	89	87
Outlays, gross:			
4010 Outlays from new discretionary authority	42	35	34
4011 Outlays from discretionary balances	41	60	46
4020 Outlays, gross (total)	83	95	80
4180 Budget authority, net (total)	89	89	87
4190 Outlays, net (total)	83	95	80

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to the Department of Housing and Urban Development's (HUD) mission. These functions are carried out by HUD's Office of Policy Development and Research (PD&R) through in-house analysis by staff; contracts

RESEARCH AND TECHNOLOGY—Continued

with industry, nonprofit research organizations, and educational institutions; and cooperative agreements with educational, governmental, and philanthropic entities. In addition, centralized technical assistance for the Department is supported through this account; these funds enable HUD to support its partners with better coordinated, cross-program technical assistance rather than conventional, program-specific assistance.

The Budget requests \$87 million for HUD's Research and Technology (R&T) program. R&T investments support HUD's enterprise-wide commitment to integrate evidence and cross-disciplinary intelligence throughout program policy, management, and operations. The request consists of \$50 million for core research support, surveys, data infrastructure, and knowledge management (i.e., research dissemination); \$10 million for research, evaluations, and demonstrations; and \$27 million for technical assistance.

Object Classification (in millions of dollars)

Identification code 086-0108-0-1-451	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.5 Research and development contracts .....	60	72	59
41.0 Grants, subsidies, and contributions .....	25	31	26
99.0 Direct obligations .....	85	103	85
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations, unexpired accounts .....	86	103	85

FAIR HOUSING AND EQUAL OPPORTUNITY

Federal Funds

FAIR HOUSING ACTIVITIES

For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, \$62,300,000, to remain available until September 30, 2021: Provided, That notwithstanding 31 U.S.C. 3302, the Secretary may assess and collect fees to cover the costs of the Fair Housing Training Academy, and may use such funds to provide such training: Provided further, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant, or loan: Provided further, That of the funds made available under this heading, \$300,000 shall be available to the Secretary for the creation and promotion of translated materials and other programs that support the assistance of persons with limited English proficiency in utilizing the services provided by the Department of Housing and Urban Development.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0144-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Fair Housing Assistance .....	22	22	24
0002 Fair Housing Initiatives .....	24	54	41
0005 National Fair Housing Training Academy .....	1	1	2
0900 Total new obligations, unexpired accounts .....	47	77	67
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	47	36
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	65	65	62
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	65	66	63
1930 Total budgetary resources available .....	97	113	99
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		

1941 Unexpired unobligated balance, end of year .....	47	36	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	59	71
3010 New obligations, unexpired accounts .....	47	77	67
3020 Outlays (gross) .....	-62	-65	-65
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	59	71	73
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	75	59	71
3200 Obligated balance, end of year .....	59	71	73
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	66	63
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	4	4
4011 Outlays from discretionary balances .....	61	61	61
4020 Outlays, gross (total) .....	62	65	65
Offsetting against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	65	65	62
4190 Outlays, net (total) .....	62	64	64

The Budget requests \$62.3 million for fair housing activities to support efforts to end housing discrimination. Of the amount requested, \$24.3 million is for the Fair Housing Assistance Program (FHAP); \$36.2 million is for the Fair Housing Initiatives Program (FHIP); \$1.5 million is for the National Fair Housing Training Academy (NFHTA); and \$300 thousand is for the Limited English Proficiency Initiative (LEPI). These resources address the national and ongoing problem of discrimination against minority homebuyers and renters, as identified in the 2012 Housing Discrimination Against Racial and Ethnic Minorities Study, and directly supports the Department of Housing and Urban Development's (HUD) mission to create strong, inclusive communities free from discrimination.

The FHAP provides funding to State and local agencies to assure prompt and effective processing of complaints under substantially equivalent State and local fair housing laws. To be eligible for assistance through FHAP, an agency must administer a fair housing law that HUD has certified as substantially equivalent to the Federal Fair Housing Act.

FHIP provides funding to States and local governments, and to public and private non-profit organizations that administer programs to prevent or eliminate discriminatory housing practices through enforcement, education, and outreach. These grants allow the organizations to provide fair housing enforcement through testing in the rental and sales markets, to file fair housing complaints to HUD, and to conduct investigations. Further, the education and outreach activities these organizations conduct also help to educate the public, housing providers, and local governments about their rights and responsibilities under the Fair Housing Act.

The NFHTA provides comprehensive fair housing and civil rights training for investigators, local agencies, educators, attorneys, industry representatives, and other housing industry professionals.

LEPI provides funds for oral interpretation and written translation services, which help make HUD programs and activities accessible to people who are not proficient in English.

Object Classification (in millions of dollars)

Identification code 086-0144-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	46	76	66
99.9 Total new obligations, unexpired accounts .....	47	77	67

**OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES**

**Federal Funds**

**LEAD HAZARD REDUCTION**

For the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, \$290,000,000, to remain available until September 30, 2022, of which up to \$45,000,000 shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970 that shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: Provided, That for purposes of environmental review, pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and other provisions of the law that further the purposes of such Act, a grant under the Healthy Homes Initiative, or the Lead Technical Studies program under this heading or under prior appropriations Acts for such purposes under this heading, shall be considered to be funds for a special project for purposes of section 305(c) of the Multifamily Housing Property Disposition Reform Act of 1994: Provided further, That of the total amount made available under this heading, an amount to be determined by the Secretary shall be made available on a competitive basis for areas with the highest lead paint abatement needs: Provided further, That each recipient of funds provided under the previous proviso shall contribute an amount not less than 25 percent of the total: Provided further, That each applicant shall certify adequate capacity that is acceptable to the Secretary to carry out the proposed use of funds pursuant to a notice of funding availability: Provided further, That amounts made available under this heading in this or prior appropriations Acts, and that still remain available, may be used for any purpose under this heading notwithstanding the purpose for which such amounts were appropriated if a program competition is undersubscribed and there are other program competitions under this heading that are oversubscribed.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086–0174–0–1–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Lead-Based Paint Hazard Reduction Grants and Demo .....	5	357	240
0003 Healthy Homes Grants and Support .....	20	84	45
0004 Lead Technical Studies and Support .....	4	7	5
0900 Total new obligations, unexpired accounts (object class 41.0) .....	29	448	290
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	218	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	230	230	290
1930 Total budgetary resources available .....	247	448	290
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	218	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	342	270	573
3010 New obligations, unexpired accounts .....	29	448	290
3020 Outlays (gross) .....	–95	–145	–179
3041 Recoveries of prior year unpaid obligations, expired .....	–6	.....	.....
3050 Unpaid obligations, end of year .....	270	573	684
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	342	270	573
3200 Obligated balance, end of year .....	270	573	684
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	230	230	290
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	2	3
4011 Outlays from discretionary balances .....	95	143	176
4020 Outlays, gross (total) .....	95	145	179
4180 Budget authority, net (total) .....	230	230	290
4190 Outlays, net (total) .....	95	145	179

The primary purpose of the Lead-Based Paint Hazard Control Grant program is to reduce the exposure of young children to lead-based paint and other environmental hazards in their homes, including protecting them from permanent developmental problems and asthma, and exposure to pesticides and carbon monoxide.

The program plays a critical role in addressing the number one environmental disease impacting children: lead poisoning. The Budget requests \$290 million, including \$240 million for the Department of Housing and Urban Development's (HUD) Lead Hazard Control Program; \$45 million for the Healthy Homes Program; and \$5 million for lead-based paint technical studies and support. The Budget includes an appropriations provision that would allow the transfer of unobligated balances and recaptured funds from undersubscribed competitive programs to other competitive programs experiencing oversubscription.

The Lead Hazard Control Grant Program provides grants of \$1 million to \$5 million to State and local governments and Indian Tribes for control of lead-based paint hazards in pre-1978 private low-income rental and owner-occupied housing. The grants are also designed to facilitate the development of a housing maintenance and rehabilitation workforce trained in lead-safe work practices and a certified hazard evaluation and control industry. In awarding grants HUD promotes the use of new low-cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes program enables the Department to assess and control housing-related hazards that contribute to childhood diseases and injuries. With funding from this program, grantees implement and evaluate methods for controlling two or more housing-related diseases through a single intervention. In addition, Healthy Homes funding is used to provide technical support and training; assist in completion of national surveys; and conduct education and outreach to help State, local and non-governmental agencies, housing industry stakeholders, and the public understand the health and housing relationship and identify and address housing-related health and safety hazards.

The Office of Lead Hazard Control and Healthy Homes will continue its lead-based paint technical studies and support activities, which include public education; support for State and local agencies, private property owners, HUD programs and field offices, and professional organizations; technical studies to improve program policy and implementation; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; and development of standards, technical guidance, regulations, and improved testing and hazard control methods.

**MANAGEMENT AND ADMINISTRATION**

**Federal Funds**

**EXECUTIVE OFFICES**

For necessary salaries and expenses for Executive Offices, which shall be comprised of the offices of the Secretary, Deputy Secretary, Adjudicatory Services, Congressional and Intergovernmental Relations, Public Affairs, Small and Disadvantaged Business Utilization, and the Center for Faith-Based and Neighborhood Partnerships, \$16,000,000: Provided, That not to exceed \$25,000 of the amount made available under this heading shall be available to the Secretary for official reception and representation expenses as the Secretary may determine.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086–0332–0–1–604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	9	11	11
0002 Benefits .....	2	2	3
0003 Non-Personnel costs .....	2	2	2

EXECUTIVE OFFICES—Continued  
Program and Financing—Continued

Identification code 086-0332-0-1-604	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	13	15	16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	15	16
1930 Total budgetary resources available .....	15	15	16
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	13	15	16
3020 Outlays (gross) .....	-13	-15	-16
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	16
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	15	16
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	13	15	16
4180 Budget authority, net (total) .....	15	15	16
4190 Outlays, net (total) .....	13	15	16

The Executive Offices account funds the salaries and expenses of executive management offices, including the Offices of the Secretary; Deputy Secretary; Congressional and Intergovernmental Relations; Public Affairs; Adjudicatory Services; Center for Faith-Based and Neighborhood Partnerships; and Small and Disadvantaged Business Utilization. The Budget requests \$16 million for this account.

Object Classification (in millions of dollars)

Identification code 086-0332-0-1-604	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	11	11
12.1 Civilian personnel benefits .....	2	2	3
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	13	15	16

Employment Summary

Identification code 086-0332-0-1-604	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	69	86	88

ADMINISTRATIVE SUPPORT OFFICES

For necessary salaries and expenses for Administrative Support Offices, \$556,500,000, of which \$74,000,000 shall be available for the Office of the Chief Financial Officer; \$100,000,000 shall be available for the Office of the General Counsel; \$268,500,000 shall be available for Office of the Assistant Secretary for Administration; \$54,000,000 shall be available for the Office of Field Policy and Management; \$4,000,000 shall be available for the Office of Departmental Equal Employment Opportunity; and \$56,000,000 shall be available for the Office of the Chief Information Officer: Provided, That funds provided under this heading may be used for necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including purchase of uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; hire of passenger motor vehicles; and services as authorized by 5 U.S.C. 3109: Provided further, That notwithstanding any other provision of law, funds appropri-

ated under this heading may be used for advertising and promotional activities that directly support program activities funded in this title.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0335-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel compensation .....	209	210	236
0002 Non-personnel costs .....	245	229	238
0003 Benefits .....	78	79	83
0900 Total new obligations, unexpired accounts .....	532	518	557
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	518	518	557
1121 Appropriations transferred from other acct [086-0334] ...	15		
1121 Appropriations transferred from other acct [086-0337] ...	2		
1160 Appropriation, discretionary (total) .....	535	518	557
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	537	518	557
1930 Total budgetary resources available .....	537	518	557
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	140	162	179
3010 New obligations, unexpired accounts .....	532	518	557
3011 Obligations ("upward adjustments"), expired accounts .....	13		
3020 Outlays (gross) .....	-506	-501	-556
3041 Recoveries of prior year unpaid obligations, expired .....	-17		
3050 Unpaid obligations, end of year .....	162	179	180
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	140	162	179
3200 Obligated balance, end of year .....	162	179	180
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	537	518	557
Outlays, gross:			
4010 Outlays from new discretionary authority .....	424	440	473
4011 Outlays from discretionary balances .....	82	61	83
4020 Outlays, gross (total) .....	506	501	556
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) ...	-3		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	535	518	557
4080 Outlays, net (discretionary) .....	503	501	556
4180 Budget authority, net (total) .....	535	518	557
4190 Outlays, net (total) .....	503	501	556

The Administrative Support Offices (ASO) account funds the salaries and expenses of offices that perform central Departmental functions, including the Offices of the Chief Financial Officer; Assistant Secretary for Administration; General Counsel; Field Policy and Management; Departmental Equal Employment Opportunity; and Chief Information Officer. The Budget requests \$556.5 million for this account.

Object Classification (in millions of dollars)

Identification code 086-0335-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	203	209	231
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	209	215	237

12.1	Civilian personnel benefits .....	78	76	83
21.0	Travel and transportation of persons .....	4	4	4
23.1	Rental payments to GSA .....	103	103	105
23.3	Communications, utilities, and miscellaneous charges .....	20	20	23
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	55	55	57
25.2	Other services from non-Federal sources .....	4	4	5
25.3	Other goods and services from Federal sources .....	47	29	30
25.4	Operation and maintenance of facilities .....	4	4	4
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	4	4	5
42.0	Insurance claims and indemnities .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	532	518	557

**Employment Summary**

Identification code 086-0335-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,750	1,732	1,872

**PROGRAM OFFICES**

For necessary salaries and expenses for Program Offices, \$826,900,000, of which \$206,000,000 shall be available for the Office of Public and Indian Housing; \$114,000,000 shall be available for the Office of Community Planning and Development; \$398,700,000 shall be available for the Office of Housing; \$26,000,000 shall be available for the Office of Policy Development and Research; \$73,200,000 shall be available for the Office of Fair Housing and Equal Opportunity; and \$9,000,000 shall be available for the Office of Lead Hazard Control and Healthy Homes.

**Program and Financing (in millions of dollars)**

Identification code 086-0479-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Public and Indian Housing .....			206
0002 Office of Community Planning and Development .....			114
0003 Office of Housing .....			399
0004 Office of Policy Development and Research .....			26
0005 Office of Fair Housing and Equal Opportunity .....			73
0006 Office of Lead Hazard Control and Healthy Homes .....			9
0900 Total new obligations, unexpired accounts .....			827
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			827
1930 Total budgetary resources available .....			827
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			827
3020 Outlays (gross) .....			-818
3050 Unpaid obligations, end of year .....			9
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			827
Outlays, gross:			
4010 Outlays from new discretionary authority .....			818
4180 Budget authority, net (total) .....			827
4190 Outlays, net (total) .....			818

The Program Offices account funds the salaries and expenses of six program offices, including the Offices of Housing; Public and Indian Housing; Community Planning and Development; Policy Development and Research; Fair Housing and Equal Opportunity; and Lead Hazard Control and Healthy Homes. The Budget requests \$826.9 million for this account.

**Object Classification (in millions of dollars)**

Identification code 086-0479-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....			556
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....			6
11.9 Total personnel compensation .....			563
12.1 Civilian personnel benefits .....			178
21.0 Travel and transportation of persons .....			8
25.2 Other services from non-Federal sources .....			12
25.3 Other goods and services from Federal sources .....			66
99.9 Total new obligations, unexpired accounts .....			827

**Employment Summary**

Identification code 086-0479-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			4,949

**PROGRAM OFFICE SALARIES AND EXPENSES**

**PUBLIC AND INDIAN HOUSING**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 086-0337-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	145	149	
0002 Benefits .....	46	48	
0004 Non-personnel expenses .....	21	20	
0900 Total new obligations, unexpired accounts .....	212	217	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	216	217	
1120 Appropriations transferred to other accts [086-0335] .....	-2		
1160 Appropriation, discretionary (total) .....	214	217	
1930 Total budgetary resources available .....	214	217	
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	15	4
3010 New obligations, unexpired accounts .....	212	217	
3011 Obligations ("upward adjustments"), expired accounts .....	8		
3020 Outlays (gross) .....	-209	-228	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-8		
3050 Unpaid obligations, end of year .....	15	4	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	15	4
3200 Obligated balance, end of year .....	15	4	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	214	217	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	198	214	
4011 Outlays from discretionary balances .....	11	14	3
4020 Outlays, gross (total) .....	209	228	3
4180 Budget authority, net (total) .....	214	217	
4190 Outlays, net (total) .....	209	228	3

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Public and Indian Housing (PIH), in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for PIH in 2019 and prior years.

PUBLIC AND INDIAN HOUSING—Continued

Object Classification (in millions of dollars)

Identification code 086-0337-0-1-604	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	144	148	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	145	149	.....
12.1 Civilian personnel benefits .....	46	48	.....
21.0 Travel and transportation of persons .....	4	4	.....
25.2 Other services from non-Federal sources .....	9	8	.....
25.3 Other goods and services from Federal sources .....	8	8	.....
99.9 Total new obligations, unexpired accounts .....	212	217	.....

Employment Summary

Identification code 086-0337-0-1-604	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,286	1,291	.....

COMMUNITY PLANNING AND DEVELOPMENT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0338-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	77	77	.....
0002 Benefits .....	24	24	.....
0006 Non-personnel expenses .....	7	7	.....
0900 Total new obligations, unexpired accounts .....	108	108	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	30	33
1011 Unobligated balance transfer from other acct [086-0162] .....	10	.....	.....
1050 Unobligated balance (total) .....	21	30	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	108	108	.....
1121 Appropriations transferred from other acct [086-0162] .....	10	3	.....
1160 Appropriation, discretionary (total) .....	118	111	.....
1930 Total budgetary resources available .....	139	141	33
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	30	33	33
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	4
3010 New obligations, unexpired accounts .....	108	108	.....
3011 Obligations ("upward adjustments"), expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-109	-109	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	5	4	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	5	4
3200 Obligated balance, end of year .....	5	4	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	118	111	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	102	107	.....
4011 Outlays from discretionary balances .....	7	2	3
4020 Outlays, gross (total) .....	109	109	3
4180 Budget authority, net (total) .....	118	111	.....
4190 Outlays, net (total) .....	109	109	3

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Community Planning and Development (CPD), in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for CPD in 2019 and prior years.

Object Classification (in millions of dollars)

Identification code 086-0338-0-1-451	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	77	77	.....
11.9 Total personnel compensation .....	77	77	.....
12.1 Civilian personnel benefits .....	24	24	.....
21.0 Travel and transportation of persons .....	1	1	.....
25.2 Other services from non-Federal sources .....	2	2	.....
25.3 Other goods and services from Federal sources .....	4	4	.....
99.9 Total new obligations, unexpired accounts .....	108	108	.....

Employment Summary

Identification code 086-0338-0-1-451	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	694	680	.....

HOUSING

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0334-0-1-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	260	280	.....
0002 Benefits .....	83	83	.....
0003 Non-Personnel Services .....	17	20	.....
0900 Total new obligations, unexpired accounts .....	360	383	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	383	383	.....
1120 Appropriations transferred to other accts [086-0335] .....	-15	.....	.....
1160 Appropriation, discretionary (total) .....	368	383	.....
1900 Budget authority (total) .....	368	383	.....
1930 Total budgetary resources available .....	368	383	.....
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	17	6
3010 New obligations, unexpired accounts .....	360	383	.....
3011 Obligations ("upward adjustments"), expired accounts .....	14	.....	.....
3020 Outlays (gross) .....	-363	-394	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-16	.....	.....
3050 Unpaid obligations, end of year .....	17	6	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	16	5
3200 Obligated balance, end of year .....	16	5	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	368	383	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	345	378	.....
4011 Outlays from discretionary balances .....	18	16	5
4020 Outlays, gross (total) .....	363	394	5

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-1	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	1	
4060	Additional offsets against budget authority only (total) .....	1	
4070	Budget authority, net (discretionary) .....	368	383
4080	Outlays, net (discretionary) .....	362	394
4180	Budget authority, net (total) .....	368	383
4190	Outlays, net (total) .....	362	394

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Housing, in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for the Office of Housing in 2019 and prior years.

**Object Classification** (in millions of dollars)

Identification code 086-0334-0-1-604			
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	255	275
11.5	Other personnel compensation .....	5	5
11.9	Total personnel compensation .....	260	280
12.1	Civilian personnel benefits .....	83	83
21.0	Travel and transportation of persons .....	3	3
25.2	Other services from non-Federal sources .....	14	17
99.9	Total new obligations, unexpired accounts .....	360	383

**Employment Summary**

Identification code 086-0334-0-1-604			
1001	Direct civilian full-time equivalent employment .....	2,404	2,475

**POLICY DEVELOPMENT AND RESEARCH**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0339-0-1-451			
<b>Obligations by program activity:</b>			
0001	Personnel costs .....	16	16
0002	Benefits .....	5	5
0003	Non-personnel expenses .....	3	3
0900	Total new obligations, unexpired accounts .....	24	24
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	24	24
1930	Total budgetary resources available .....	24	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2
3010	New obligations, unexpired accounts .....	24	24
3011	Obligations ("upward adjustments"), expired accounts .....	1	
3020	Outlays (gross) .....	-24	-24
3041	Recoveries of prior year unpaid obligations, expired .....	-1	
3050	Unpaid obligations, end of year .....	2	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	2
3200	Obligated balance, end of year .....	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	24	24
Outlays, gross:			
4010	Outlays from new discretionary authority .....	23	24

4011	Outlays from discretionary balances .....	1	
4020	Outlays, gross (total) .....	24	24
4180	Budget authority, net (total) .....	24	24
4190	Outlays, net (total) .....	24	24

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Policy Development and Research (PD&R), in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for PD&R in 2019 and prior years.

**Object Classification** (in millions of dollars)

Identification code 086-0339-0-1-451			
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	16	16
12.1	Civilian personnel benefits .....	5	5
25.3	Other goods and services from Federal sources .....	3	3
99.9	Total new obligations, unexpired accounts .....	24	24

**Employment Summary**

Identification code 086-0339-0-1-451			
1001	Direct civilian full-time equivalent employment .....	136	136

**FAIR HOUSING AND EQUAL OPPORTUNITY**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086-0340-0-1-751			
<b>Obligations by program activity:</b>			
0001	Personnel costs .....	51	51
0002	Benefits .....	15	17
0003	Non-personnel expenses .....	3	2
0900	Total new obligations, unexpired accounts .....	69	70
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....		1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	70	70
1930	Total budgetary resources available .....	70	71
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	7	4
3010	New obligations, unexpired accounts .....	69	70
3011	Obligations ("upward adjustments"), expired accounts .....	3	
3020	Outlays (gross) .....	-72	-71
3041	Recoveries of prior year unpaid obligations, expired .....	-3	
3050	Unpaid obligations, end of year .....	4	3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	7	4
3200	Obligated balance, end of year .....	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	70	70
Outlays, gross:			
4010	Outlays from new discretionary authority .....	67	69
4011	Outlays from discretionary balances .....	5	2
4020	Outlays, gross (total) .....	72	71
4180	Budget authority, net (total) .....	70	70
4190	Outlays, net (total) .....	72	71

FAIR HOUSING AND EQUAL OPPORTUNITY—Continued

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Fair Housing and Equal Opportunity (FHEO), in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for FHEO in 2019 and prior years.

Object Classification (in millions of dollars)

Identification code 086-0340-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	50	50	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	51	51	.....
12.1 Civilian personnel benefits .....	15	17	.....
21.0 Travel and transportation of persons .....	1	1	.....
25.2 Other services from non-Federal sources .....	1	1	.....
25.3 Other goods and services from Federal sources .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	69	70	.....

Employment Summary

Identification code 086-0340-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	479	470	.....

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-0341-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel costs .....	5	5	.....
0002 Benefits .....	2	2	.....
0003 Non-personnel expenses .....	1	1	.....
0900 Total new obligations, unexpired accounts .....	8	8	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	.....
1930 Total budgetary resources available .....	8	8	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	8	8	.....
3020 Outlays (gross) .....	-8	-8	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	8	.....
4180 Budget authority, net (total) .....	8	8	.....
4190 Outlays, net (total) .....	8	8	.....

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Lead Hazard Control and Healthy Homes (OLHCHH), in a consolidated Program Offices account (086-0479). This account reflects S&E funding provided for OLHCHH in 2019 and prior years.

Object Classification (in millions of dollars)

Identification code 086-0341-0-1-451	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	.....
12.1 Civilian personnel benefits .....	2	2	.....

25.3 Other goods and services from Federal sources .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	8	8	.....

Employment Summary

Identification code 086-0341-0-1-451	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	47	46	.....

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 086-0143-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Gulf Coast Disaster related activities .....	1	1	.....
0803 FEMA Mission Assignments .....	3	3	.....
0900 Total new obligations, unexpired accounts (object class 25.2) .....	4	4	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	2	.....
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5	2	.....
1900 Budget authority (total) .....	5	2	.....
1930 Total budgetary resources available .....	8	6	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	1	3
3010 New obligations, unexpired accounts .....	4	4	.....
3020 Outlays (gross) .....	-3	-2	.....
3050 Unpaid obligations, end of year .....	1	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	-1	1
3200 Obligated balance, end of year .....	-1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	2	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	.....
4011 Outlays from discretionary balances .....	1	.....	.....
4020 Outlays, gross (total) .....	3	2	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-2	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

This account primarily supports the salaries and expenses of Departmental personnel responding to disasters. Resources are derived from reimbursable agreements such as FEMA Mission Assignments and prior-year disaster supplemental appropriations.

OFFICE OF INSPECTOR GENERAL

For necessary salaries and expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, \$129,400,000: Provided, That the Inspector General shall have independent authority over all personnel and acquisition issues within this office.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086–0189–0–1–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	98	100	101
0002	30	28	28
0900	128	128	129
<b>Budgetary resources:</b>			
Unobligated balance:			
1000		10	10
Budget authority:			
Appropriations, discretionary:			
1100	128	128	129
1121	10		
1160	138	128	129
1930	138	138	139
Memorandum (non-add) entries:			
1941	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	19	21	25
3010	128	128	129
3011	4		
3020	-126	-122	-132
3041	-4	-2	-2
3050	21	25	20
Memorandum (non-add) entries:			
3100	19	21	25
3200	21	25	20
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	138	128	129
Outlays, gross:			
4010	113	106	107
4011	13	16	25
4020	126	122	132
4180	138	128	129
4190	126	122	132

The Office of the Inspector General (OIG) provides independent and objective reviews of the integrity, efficiency and effectiveness of Departmental programs and operations. Through various activities, the OIG seeks to promote efficiency and effectiveness in programs and operations, detect and deter fraud and abuse, investigate allegations of misconduct by Department of Housing and Urban Development (HUD) employees and review and make recommendations regarding existing and proposed legislation and regulations affecting HUD. The Budget includes \$129.4 million for the OIG's agency-wide audit and investigative functions.

**Object Classification** (in millions of dollars)

Identification code 086–0189–0–1–451	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1	65	66	67
11.5	6	6	6
11.9	71	72	73
12.1	27	28	28
21.0	3	3	4
23.1	7	7	7
25.2	19	17	16
31.0	1	1	1
99.9	128	128	129

**Employment Summary**

Identification code 086–0189–0–1–451	2018 actual	2019 est.	2020 est.
1001	559	573	573

**INFORMATION TECHNOLOGY FUND**

For the development, modernization, and enhancement of, modifications to, and infrastructure for Department-wide and program-specific information technology systems, for the continuing operation and maintenance of both Department-wide and program-specific information systems, and for program-related maintenance activities, \$280,000,000, to remain available until September 30, 2021: Provided, That any amounts transferred to this Fund under this Act shall remain available until expended: Provided further, That any amounts transferred to this Fund from amounts appropriated by previously enacted appropriations Acts may be used for the purposes specified under this Fund, in addition to any other information technology purposes for which such amounts were appropriated.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 086–4586–0–4–451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	218	279	279
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	92	146	146
1011		10	5
1021	1	2	2
1050	93	158	153
Budget authority:			
Appropriations, discretionary:			
1100	267	267	280
1121	5		
1160	272	267	280
1900	272	267	280
1930	365	425	433
Memorandum (non-add) entries:			
1940	-1		
1941	146	146	154
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	239	197	160
3001			
3010	218	279	279
3011	2		
3020	-256	-314	-385
3040	-1	-2	-2
3041	-6		
3050	197	160	52
Memorandum (non-add) entries:			
3100	240	197	160
3200	197	160	52
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	272	267	280
Outlays, gross:			
4010	23	150	157
4011	233	164	228
4020	256	314	385
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-1		
4040	-1		
4052	1		
4060	1		

INFORMATION TECHNOLOGY FUND—Continued  
Program and Financing—Continued

Identification code 086-4586-0-4-451	2018 actual	2019 est.	2020 est.
4070 Budget authority, net (discretionary) .....	272	267	280
4080 Outlays, net (discretionary) .....	255	314	385
4180 Budget authority, net (total) .....	272	267	280
4190 Outlays, net (total) .....	255	314	385

The Information Technology (IT) Fund provides for the infrastructure, systems, and services that support the Department of Housing and Urban Development (HUD) programs, which include all of HUD's mortgage insurance liabilities, rental subsidies, formula grants, and competitive grants. The Budget provides \$280 million for the development, modernization, enhancement, operation, and maintenance of HUD's IT infrastructure and systems.

Object Classification (in millions of dollars)

Identification code 086-4586-0-4-451	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.7 Operation and maintenance of equipment .....	211	260	260
31.0 Equipment .....	7	19	19
99.9 Total new obligations, unexpired accounts .....	218	279	279

WORKING CAPITAL FUND  
(INCLUDING TRANSFER OF FUNDS)

For the working capital fund for the Department of Housing and Urban Development (referred to in this paragraph as the "Fund"), pursuant, in part, to section 7(f) of the Department of Housing and Urban Development Act (42 U.S.C. 3535(f)), amounts transferred, including reimbursements pursuant to section 7(f), to the Fund under this heading shall be available for Federal shared services used by offices and agencies of the Department, and for such portion of any office or agency's printing, records management, space renovation, furniture, supply services, real estate physical inspections and financial assessments and related information technology, or other shared services as the Secretary determines shall be derived from centralized sources made available by the Department to all offices and agencies and funded through the Fund: Provided, That of the amounts made available in this title for salaries and expenses under the headings "Executive Offices", "Administrative Support Offices", "Program Offices", and "Government National Mortgage Association", the Secretary shall transfer to the Fund such amounts, to remain available until expended, as are necessary to fund services specified in the matter preceding the first proviso, for which the appropriation would otherwise have been available, and may transfer not to exceed an additional \$5,000,000, in aggregate, from all such appropriations, to be merged with the Fund and to remain available until expended for any purpose under this heading: Provided further, That amounts in the Fund shall be the only amounts available to each office or agency of the Department for the services, or portion of services, specified in the matter preceding the first proviso: Provided further, That with respect to the Fund, the authorities and conditions under this heading shall supplement the authorities and conditions provided under such section 7(f).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 086-4598-0-4-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 WCF Program - Direct .....	13	2	.....
0100 Direct program activities, subtotal .....	13	2	.....
0805 WCF Program - Reimb .....	38	35	79
0900 Total new obligations, unexpired accounts .....	51	37	79
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	2	2

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	38	37	79
1900 Budget authority (total) .....	38	37	79
1930 Total budgetary resources available .....	53	39	81
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	13	13
3010 New obligations, unexpired accounts .....	51	37	79
3020 Outlays (gross) .....	-39	-37	-79
3050 Unpaid obligations, end of year .....	13	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	13	13
3200 Obligated balance, end of year .....	13	13	13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	38	37	79
Outlays, gross:			
4010 Outlays from new discretionary authority .....	31	24	66
4011 Outlays from discretionary balances .....	8	13	13
4020 Outlays, gross (total) .....	39	37	79
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-38	-37	-79
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	.....	.....

The Working Capital Fund (WCF) was established by the Consolidated Appropriations Act of 2016. The purpose of the WCF is to promote economy, efficiency and accountability. Amounts transferred/reimbursed to the Fund are for shared services used by offices of the Department, and are derived from salaries and expenses accounts. The WCF is revolving in nature and fully recovers its operational costs. The WCF provides the following shared services: financial management, procurement, travel, relocation, human resources and, proposed for 2020, physical and financial property assessments and related information technology systems.

Object Classification (in millions of dollars)

Identification code 086-4598-0-4-604	2018 actual	2019 est.	2020 est.
25.3 Direct obligations: Other goods and services from Federal sources .....	13	2	.....
99.0 Direct obligations .....	13	2	.....
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	.....	.....	16
12.1 Civilian personnel benefits .....	.....	.....	8
21.0 Travel and transportation of persons .....	.....	.....	2
25.2 Other services from non-Federal sources .....	.....	.....	15
25.3 Other goods and services from Federal sources .....	38	35	38
99.0 Reimbursable obligations .....	.....	35	79
99.9 Total new obligations, unexpired accounts .....	51	37	79

Employment Summary

Identification code 086-4598-0-4-604	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	.....	.....	158

TRANSFORMATION INITIATIVE

Program and Financing (in millions of dollars)

Identification code 086-0402-0-1-451	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	37	13	.....
3020 Outlays (gross) .....	-18	-10	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-6	-3	.....
3050 Unpaid obligations, end of year .....	13	.....	.....

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	37	13 .....
3200	Obligated balance, end of year .....	13	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	18	10 .....
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	18	10 .....

This account reports the remaining balances and outlays for the Transformation Initiative, which received funding from 2010 to 2014 to increase investments in research and evaluation; program demonstrations; technical assistance; and information technology.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
086-267810	Green Retrofit Program for Multifamily Housing, Downward Reestimates of Subsidies .....	11	3 .....
086-269410	Emergency Homeowners' Relief Fund, Downward Reestimates .....	.....	2 .....
086-271910	FHA-General and Special Risk, Negative Subsidies .....	750	607 602
086-271930	FHA-General and Special Risk, Downward Reestimates of Subsidies .....	433	1,677 .....
086-274330	Indian Housing Loan Guarantees, Downward Reestimates of Subsidies .....	12	90 .....
086-276230	Title VI Indian Loan Guarantee Downward Reestimate ....	6	14 .....
086-277330	Community Development Loan Guarantees, Downward Reestimates .....	43	5 .....
086-279930	Native Hawaiian Housing Loan Guarantees, Downward Reestimates of Subsidies .....	1	.....
086-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	3	12 12
General Fund Offsetting receipts from the public .....			
	1,259	2,410	614
Intragovernmental payments:			
086-388510	Undistributed Intragovernmental Payments .....	5	5 5
General Fund Intragovernmental payments .....			
	5	5	5

**GENERAL PROVISIONS—DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

(INCLUDING TRANSFER OF FUNDS)  
(INCLUDING CANCELLATIONS)

Sec. 201. SECTION 8 SAVINGS.—Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437 note) shall be cancelled or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not cancelled or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not cancelled or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate.

Sec. 202. FAIR HOUSING ACT INVESTIGATIONS AND PROSECUTIONS.—None of the amounts made available under this Act may be used during fiscal year 2020 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a non-frivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

Sec. 203. COMPETITION IN ACCORDANCE WITH HUD REFORM ACT.—Except as explicitly provided in law, any grant, cooperative agreement or other assistance made pursuant to title II of this Act shall be made on a competitive basis and in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545).

Sec. 204. GNMA LEGAL SERVICES.—Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811–1).

Sec. 205. HUD CORPORATION EXPENDITURES.—Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for 2020 for such corporation or agency except as hereinafter provided: Provided, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.

Sec. 206. TRANSFERS OF ASSISTANCE, DEBT, AND USE RESTRICTIONS.

(a) AUTHORITY.—Notwithstanding any other provision of law, subject to the conditions listed under this section, for fiscal years 2020 and 2021, the Secretary of Housing and Urban Development may authorize the transfer of some or all project-based assistance, debt held or insured by the Secretary and statutorily required low-income and very low-income use restrictions if any, associated with one or more multifamily housing project or projects to another multifamily housing project or projects.

(b) PHASED TRANSFERS.—Transfers of project-based assistance under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the project or projects to which the assistance is transferred, to ensure that such project or projects meet the standards under subsection (c).

(c) CONDITIONS.—The transfer authorized in subsection (a) is subject to the following conditions:

(1) NUMBER AND BEDROOM SIZE OF units.—

(A) For occupied units in the transferring project: The number of low-income and very low-income units and the configuration (i.e., bedroom size) provided by the transferring project shall be no less than when transferred to the receiving project or projects and the net dollar amount of Federal assistance provided to the transferring project shall remain the same in the receiving project or projects.

(B) For unoccupied units in the transferring project: The Secretary may authorize a reduction in the number of dwelling units in the receiving project or projects to allow for a reconfiguration of bedroom sizes to meet current market demands, as determined by the Secretary and provided there is no increase in the project-based assistance budget authority.

(2) The transferring project shall, as determined by the Secretary, be either physically obsolete or economically nonviable.

(3) The receiving project or projects shall meet or exceed applicable physical standards established by the Secretary.

(4) The owner or mortgagor of the transferring project shall notify and consult with the tenants residing in the transferring project and provide a certification of approval by all appropriate local governmental officials.

(5) The tenants of the transferring project who remain eligible for assistance to be provided by the receiving project or projects shall not be required to vacate their units in the transferring project or projects until new units in the receiving project are available for occupancy.

(6) The Secretary determines that this transfer is in the best interest of the tenants.

(7) If either the transferring project or the receiving project or projects meets the condition specified in subsection (d)(2)(A), any lien on the receiving project resulting from additional financing obtained by the owner shall be subordinate to any FHA-insured mortgage lien transferred to, or placed on, such project by the Secretary, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, and/or rehabilitation of the receiving project or projects.

(8) If the transferring project meets the requirements of subsection (d)(2), the owner or mortgagor of the receiving project or projects shall execute and record either a continuation of the existing use agreement or a new use agreement for the project where, in either case, any use restrictions in such agreement are of no lesser duration than the existing use restrictions.

(9) The transfer does not increase the cost (as defined in section 502 of the Congressional Budget Act of 1974 (2 U.S.C. 661a)) of any FHA-insured mortgage, except to the extent that appropriations are provided in advance for the amount of any such increased cost.

(d) **DEFINITIONS.**—For purposes of this section—

(1) the terms "low-income" and "very low-income" shall have the meanings provided by the statute and/or regulations governing the program under which the project is insured or assisted;

(2) the term "multifamily housing project" means housing that meets one of the following conditions—

(A) housing that is subject to a mortgage insured under the National Housing Act;

(B) housing that has project-based assistance attached to the structure including projects undergoing mark to market debt restructuring under the Multifamily Assisted Housing Reform and Affordability Housing Act;

(C) housing that is assisted under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q);

(D) housing that is assisted under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q), as such section existed before the enactment of the Cranston-Gonzales National Affordable Housing Act;

(E) housing that is assisted under section 811 of the Cranston-Gonzales National Affordable Housing Act (42 U.S.C. 8013); or

(F) housing or vacant land that is subject to a use agreement;

(3) the term "project-based assistance" means—

(A) assistance provided under section 8(b) of the United States Housing Act of 1937 (42 U.S.C. 1437f(b));

(B) assistance for housing constructed or substantially rehabilitated pursuant to assistance provided under section 8(b)(2) of such Act (as such section existed immediately before October 1, 1983);

(C) rent supplement payments under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s);

(D) interest reduction payments under section 236 and/or additional assistance payments under section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1);

(E) assistance payments made under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q(c)(2)); and

(F) assistance payments made under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2));

(4) the term "receiving project or projects" means the multifamily housing project or projects to which some or all of the project-based assistance, debt, and statutorily required low-income and very low-income use restrictions are to be transferred;

(5) the term "transferring project" means the multifamily housing project which is transferring some or all of the project-based assistance, debt, and the statutorily required low-income and very low-income use restrictions to the receiving project or projects; and

(6) the term "Secretary" means the Secretary of Housing and Urban Development.

(e) **RESEARCH REPORT.**—The Secretary shall conduct an evaluation of the transfer authority under this section, including the effect of such transfers on the operational efficiency, contract rents, physical and financial conditions, and long-term preservation of the affected properties.

**SEC. 207. VOUCHER ASSISTANCE FOR STUDENTS AT INSTITUTIONS OF HIGHER EDUCATION.**—

(a) No assistance shall be provided under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) to any individual who—

(1) is enrolled as a student at an institution of higher education (as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002));

(2) is under 24 years of age;

(3) is not a veteran;

(4) is unmarried;

(5) does not have a dependent child;

(6) is not a person with disabilities, as such term is defined in section 3(b)(3)(E) of the United States Housing Act of 1937 (42 U.S.C. 1437a(b)(3)(E)) and was not receiving assistance under such section 8 as of November 30, 2005;

(7) is not a youth who left foster care at age 14 or older and is at risk of becoming homeless; and

(8) is not otherwise individually eligible, or has parents who, individually or jointly, are not eligible, to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f).

(b) For purposes of determining the eligibility of a person to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), any financial assistance (in excess of amounts received for tuition and any other required fees and charges) that an individual receives under the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.), from private sources, or an institution of higher education (as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002)), shall be considered income to that individual, except for a person over the age of 23 with dependent children.

**SEC. 208. HECM LOAN CAP.**—Notwithstanding the limitation in the first sentence of section 255(g) of the National Housing Act (12 U.S.C. 1715z-20(g)), the Secretary of Housing and Urban Development may, until September 30, 2020, insure and enter into commitments to insure mortgages under such section 255.

**SEC. 209. MANAGEMENT AND DISPOSITION OF CERTAIN MULTIFAMILY PROJECTS.**—Notwithstanding any other provision of law, in fiscal year 2020, in managing and disposing of any multifamily property that is owned or has a mortgage held by the Secretary of Housing and Urban Development, and during the process of foreclosure on any property with a contract for rental assistance payments under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) or other Federal programs, the Secretary shall maintain any rental assistance payments under section 8 of the United States Housing Act of 1937 and other programs that are attached to any dwelling units in the property. To the extent the Secretary determines, in consultation with the tenants and the local government, that such a multifamily property owned or held by the Secretary is not feasible for continued rental assistance payments under such section 8 or other programs, based on consideration of (1) the costs of rehabilitating and operating the property and all available Federal, State, and local resources, including rent adjustments under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 ("MAHRAA") (42 U.S.C. 1437f note) and (2) environmental conditions that cannot be remedied in a cost-effective fashion, the Secretary may, in consultation with the tenants of that property, contract for project-based rental assistance payments with an owner or owners of other existing housing properties, or provide other rental assistance. The Secretary shall also take appropriate steps to ensure that project-based contracts remain in effect prior to foreclosure, subject to the exercise of contractual abatement remedies to assist relocation of tenants for imminent major threats to health and safety after written notice to and informed consent of the affected tenants and use of other available remedies, such as partial abatements or receivership. After disposition of any multifamily property described under this section, the contract and allowable rent levels on such properties shall be subject to the requirements under section 524 of MAHRAA.

**SEC. 210. DESIGNATED ALLOTMENT HOLDERS.**—No official or employee of the Department of Housing and Urban Development shall be designated as an allotment holder unless the Office of the Chief Financial Officer has determined that such allotment holder has implemented an adequate system of funds control and has received training in funds control procedures and directives. The Chief Financial Officer shall ensure that there is a trained allotment holder for each HUD appropriation under the accounts "Executive Offices", "Administrative Support Offices", "Program Offices", and "Government National Mortgage Association—Guarantees of Mortgage-Backed Securities Loan Guarantee Program Account" within the Department of Housing and Urban Development.

**SEC. 211. NOFA PUBLICATION.**—The Secretary of the Department of Housing and Urban Development shall, for fiscal year 2020, notify the public through the Federal Register and other means, as determined appropriate, of the issuance of a notice of the availability of assistance or notice of funding availability (NOFA) for any program or discretionary fund administered by the Secretary that is to be competitively awarded. Notwithstanding any other provision of law, for fiscal year 2020, the Secretary may make the NOFA available only on the Internet at the appropriate Government web site or through other electronic media, as determined by the Secretary.

**SEC. 212. TRANSFER OF FUNDS.**—The Secretary is authorized to transfer up to 20 percent or \$6,000,000, whichever is less, of funds appropriated for any office under the heading "Administrative Support Offices" or "Program Offices" to any other such office: Provided, That the Secretary shall provide notification to the House and Senate Committees on Appropriations three business days in advance of any such transfers: Provided further, That no appropriation for any such office shall be increased or decreased by more than 20 percent or \$6,000,000, whichever is less, unless such Committees are notified in writing ten business days in advance of such transfer.

**SEC. 213. PHYSICAL CONDITIONS REQUIREMENTS.**—(a)(1) Any entity receiving housing assistance payments shall maintain decent, safe, and sanitary conditions

in good repair, as determined by the Secretary of Housing and Urban Development (in this section referred to as the "Secretary"), and comply with any standards under applicable State or local laws, rules, ordinances, or regulations relating to the physical condition of any property covered under a housing assistance payment contract.

(2) The requirements in this section shall apply to insured and noninsured projects with assistance attached to the units under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), but do not apply to such units assisted under section 8(o)(13) (42 U.S.C. 1437f(o)(13)) of such Act or to public housing units assisted with capital or operating funds under section 9 (42 U.S.C. 1437g) of such Act.

(b) The Secretary may take action under subsection (c) when a multifamily housing project with a section 8 contract or contract for similar project-based assistance:

(1) receives a Uniform Physical Condition Standards (UPCS) score of 59 or less;

(2) fails to certify in writing to the Secretary within 3 business days that all Exigent Health and Safety deficiencies identified by the inspector at the project have been corrected; or

(3) fails to meet UPCS or local code requirements that establish standards for decent, safe, and sanitary housing.

(c) (1) If the Secretary decides to take action based on a deficiency listed in subsection (b), the Secretary must provide the owner with a Notice of Default with a specified timetable, determined by the Secretary, for correcting all deficiencies. The Secretary must also provide a copy of the Notice of Default to the local government, any mortgagees, and any contract administrator. If the owner's appeal results in a UPCS score of 60 or above, the Secretary may withdraw the Notice of Default.

(2) At the end of the time period for correcting all deficiencies specified in the Notice of Default, if the owner has failed to fully correct such deficiencies, the Secretary may—

(A) require immediate replacement of project management with a management agent approved by the Secretary;

(B) impose civil money penalties;

(C) abate or suspend payment on the section 8 contract, including partial abatement or suspension, as determined by the Secretary;

(D) pursue transfer of the project to an owner, approved by the Secretary under established procedures, which will be obligated to promptly make all required repairs and to accept renewal of the assistance contract as long as such renewal is offered;

(E) transfer the existing section 8 contract to another project or projects and owner or owners, as determined by the Secretary under established procedures, which will be obligated to promptly make all required repairs and to accept renewal of the assistance contract as long as such renewal is offered;

(F) pursue exclusionary sanctions, including suspensions or debarments from Federal programs;

(G) seek judicial appointment of a receiver to manage the property and cure all project deficiencies or seek a judicial order of specific performance requiring the owner to cure all project deficiencies;

(H) work with the owner, lender, or other related party to stabilize the property in an attempt to preserve the property through compliance, transfer of ownership, or an infusion of capital provided by a third-party that requires time to effectuate; or

(I) take any other regulatory or contractual remedies available, including abatement, suspension, or termination of the section 8 contract, as deemed necessary and appropriate by the Secretary.

(d)(1) Any Notice of Default issued pursuant to subsection (c)(1) shall include a requirement that the owner provide a copy of the Notice of Default to each tenant.

(2) The Secretary shall ensure that the owner or its agents provide tenants an opportunity to comment on the physical condition and management of the property, and any needed repairs. The Secretary may provide the substance of these communications to the project owner to assist in its corrective opportunity.

(3) If the Secretary terminates the section 8 contract pursuant to subsection (c)(2), the Secretary shall provide tenants with a copy of any notice to the owner to that effect.

(e) The Secretary shall report quarterly on all properties covered by this section that are assessed through the Real Estate Assessment Center and have UPCS physical inspection scores of less than 60 or have received an unsatisfactory management and occupancy review within the past 36 months. The report shall include—

(1) the enforcement actions being taken to address such conditions, including imposition of civil money penalties and termination of subsidies, and identify properties that have such conditions multiple times; and

(2) actions that the Secretary is taking to protect tenants of such identified properties.

Sec. 214. PHA EXECUTIVE COMPENSATION.—None of the funds made available by this Act, or any other Act, for purposes authorized under section 8 (only with respect to the tenant-based rental assistance program) and section 9 of the United

States Housing Act of 1937 (42 U.S.C. 1437 et seq.), may be used by any public housing agency for any amount of salary, including bonuses, for the chief executive officer of which, or any other official or employee of which, that exceeds the annual rate of basic pay payable for a position at level IV of the Executive Schedule at any time during any public housing agency fiscal year 2020.

Sec. 215. PHYSICAL NEEDS ASSESSMENTS.—None of the funds made available by this Act may be used to require or enforce the Physical Needs Assessment (PNA).

Sec. 216. EMINENT DOMAIN RESTRICTIONS.—None of the funds made available in this Act shall be used by the Federal Housing Administration, the Government National Mortgage Administration, or the Department of Housing and Urban Development to insure, securitize, or establish a Federal guarantee of any mortgage or mortgage backed security that refinances or otherwise replaces a mortgage that has been subject to eminent domain condemnation or seizure, by a State, municipality, or any other political subdivision of a State.

Sec. 217. UNOBLIGATED RESEARCH FUNDS.—Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the Office of Policy Development and Research in the Department of Housing and Urban Development and functions thereof, for research, evaluation, or statistical purposes, and which are unexpended at the time of completion of a contract, grant, or cooperative agreement, may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that Office.

Sec. 218. PROHIBITION OF AWARDS.—Employees of the Department of Housing and Urban Development who are subject to administrative discipline in fiscal year 2020, including suspension from work, shall not receive awards (including performance, special act, or spot) for the remainder of fiscal year 2020 after the effective date of the disciplinary action.

Sec. 219. RAD AMENDMENTS.—The language under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55), as most recently amended by Public Law 115–141, is further amended—

(1) in the second proviso, by striking "until September 30, 2024" and inserting "for fiscal year 2012 and thereafter"; and

(2) by striking the fourth and final provisos.

Sec. 220. PERFORMANCE PARTNERSHIP PILOTS.—Funds made available in this title under the heading "Homeless Assistance Grants" may be used by the Secretary to participate in Performance Partnership Pilots authorized under section 526 of division H of Public Law 113–76, section 524 of division G of Public Law 113–235, section 525 of division H of Public Law 114–113, section 525 of division H of Public Law 115–31, section 525 of Division H of Public Law 115–141, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal years 2019 or 2020.

Sec. 221. MATCHING REQUIREMENTS.—With respect to grant amounts awarded under the heading "Homeless Assistance Grants" for fiscal year 2015 and subsequent fiscal years for the Continuum of Care (CoC) program as authorized under subtitle C of title IV of the McKinney-Vento Homeless Assistance Act, costs paid by program income of grant recipients may count toward meeting the recipient's matching requirements, provided the costs are eligible CoC costs that supplement the recipients CoC program.

Sec. 222. CONTINUUM OF CARE TRANSITION GRANTS.—

(a) From amounts made available under this title under the heading "Homeless Assistance Grants", the Secretary may award 1-year transition grants to recipients of funds for activities under subtitle C of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381 et seq.) to transition from one Continuum of Care program component to another.

(b) In order to be eligible to receive a transition grant, the funding recipient must have the consent of the Continuum of Care and meet standards determined by the Secretary.

Sec. 223. INFORMATION TECHNOLOGY FEE.—

(a) FEE.—For a period of four years, as established by the Secretary in subsection (c), notwithstanding any provision of law, and in addition to any other fees charged in connection with the provision of insurance under title II of the National Housing Act (hereafter referred to as "the Act") (12 U.S.C. 1707 et seq.), the Secretary may charge and collect from each mortgagee a fee not to exceed \$25 per mortgage endorsed or submitted for insurance endorsement under title II of the Act, except mortgages insured under section 255 of such title (12 U.S.C. 1715z–20).

(b) PURPOSE OF FEE.—Such fee collected shall offset part of the administrative contract expenses funding and information technology expenses funding provided under the Mutual Mortgage Insurance Program Account under title II of the Act, for the purpose of modernizing single-family technology systems and supporting the implementation of new practices for interaction with mortgagees.

(c) IMPLEMENTATION.—The Secretary shall establish the amount of such fee through Mortgagee Letter or other administrative issuance after providing for public comment.

SEC. 224. RENT ADJUSTMENTS.—For this fiscal year, the Secretary may elect through a Federal Register notice not to provide rent adjustments for properties receiving assistance under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q), section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s), section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z–1(f)(2)), or section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) other than the voucher program under section 8(o) and the moderate rehabilitation program under section 8(e)(2) (including the single room occupancy program authorized by title IV of the McKinney-Vento Homeless Assistance Act).

SEC. 225. PUBLIC HOUSING FLEXIBILITIES.—For funds made available in this or prior acts under the accounts "Public Housing Capital Fund" and "Public Housing Operating Fund", the Secretary of Housing and Urban Development may waive, or specify alternative requirements for, statutory or regulatory provisions related to public housing agency (PHA) annual plan requirements, energy audits, and community service requirements, upon a finding by the Secretary, consistent with a process and criteria established by notice published in the Federal Register, that any such waivers or alternative requirements are necessary to reduce costs or for the effective delivery and administration of such funds.

SEC. 226. TENANT-BASED RENTAL ASSISTANCE FLEXIBILITIES. For funds made available in this or prior acts under the account "Tenant-Based Rental Assistance", the Secretary of Housing and Urban Development may waive, or specify alternative requirements for, statutory or regulatory provisions related to PHAs establishing payment standards below the basic range of 90 percent but not less than 80 percent of the Fair Market Rent and for the suspension of certain PHA Section Eight Management Assistance Program (SEMAP) indicators, upon a finding by the Secretary, consistent with a process and criteria established by notice published in the

Federal Register, that any such waivers or alternative requirements are necessary to reduce costs or for the effective delivery and administration of such funds.

SEC. 227. CAPITAL AND OPERATING FUND FLEXIBILITY.—A public housing agency may use operating reserve funds or any amounts allocated to the agency from funds appropriated under the heading "Public Housing Operating Fund" in fiscal year 2020 or prior fiscal years, except for any set-asides listed under such headings, for any eligible activities under sections 9(d)(1) and 9(e)(1) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d)(1) and (e)(1)). For funds appropriated under the heading "Public Housing Capital Fund" in prior fiscal years, except for any set-asides listed under such headings, a public housing agency may use any amounts allocated to the agency for any eligible activities under sections 9(d)(1) and 9(e)(1) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d)(1) and (e)(1)).

SEC. 228. MEETING EXPENSES.—Amounts made available in title II of division K of the Consolidated Appropriations Resolution, 2003 (Public Law 108–7, approved February 20, 2003) under the heading "Indian Housing Loan Guarantee Fund Program Account" for necessary expenses of the Land Title Report Commission may be used by the Secretary of Housing and Urban Development, notwithstanding the purposes for which such funds originally were appropriated, in addition to other amounts made available to the Secretary, for necessary expenses including the support of meetings, hearings, or other collaborations with the Bureau of Indian Affairs of the Department of the Interior to improve the process or system for maintaining land ownership records and title documents and issuing certified title status reports relating to Indian trust lands.

SEC. 229. TRANSFER TO INFORMATION TECHNOLOGY FUND.—Of the amounts made available for salaries and expenses under all accounts under this title (except for the Office of Inspector General account), a total of up to \$10,000,000 may be transferred to and merged with amounts made available in the "Information Technology Fund" account under this title.

# DEPARTMENT OF THE INTERIOR

## LAND AND MINERALS MANAGEMENT

### BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on more than 245 million acres of surface estate of public land, about one-eighth of the land in the United States. The BLM also administers approximately 700 million acres of on-shore Federal mineral estate underlying the BLM and other surface ownerships. In addition, the BLM has trust responsibilities on 56 million acres of Indian trust lands for mineral operations and cadastral (land) surveys. The lands managed by the BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of the BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations. In 2020, the BLM proposes to change its budget structure to better focus the organization on meeting its responsibilities and executing its multiple use mission under the Federal Land Policy and Management Act.

### Federal Funds

#### MANAGEMENT OF LANDS AND RESOURCES

*For necessary expenses for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to section 1010(a) of Public Law 96-487 (16 U.S.C. 3150(a)), \$1,075,734,000, to remain available until expended: Provided, That amounts in the fee account of the BLM Permit Processing Improvement Fund may be used for any bureau-related expenses associated with the processing of oil and gas applications for permits to drill and related use authorizations.*

*In addition, \$39,696,000 is for Mining Law Administration program operations, including the cost of administering the mining claim fee program, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from mining claim maintenance fees and location fees that are hereby authorized for fiscal year 2020, so as to result in a final appropriation estimated at not more than \$1,075,734,000, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 014-1109-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 Land resources .....	269	175	175
0012 Wildlife and fisheries .....	126	115	.....
0013 Threatened and endangered species .....	25	22	.....
0014 Recreation management .....	75	72	72
0015 Energy and minerals .....	190	190	193
0016 Realty and ownership management .....	73	73	72
0017 Resource protection .....	126	124	112
0018 Transportation and facilities maintenance .....	65	125	127
0020 Workforce and organizational support .....	177	177	.....
0021 Aquatic resources management .....	.....	.....	36
0022 Wildlife habitat management .....	.....	.....	83
0026 Challenge Cost Share .....	1	1	1
0030 National Monuments & NCA .....	39	37	37
0799 Total direct obligations .....	1,166	1,111	1,085
0801 Management of Lands and Resources (Reimbursable) .....	20	20	20
0802 Communication site rental fees (R) .....	2	2	2
0803 Mining law administration (R) .....	40	40	40
0805 Cadastral reimbursable program .....	8	8	8
0899 Total reimbursable obligations .....	70	70	70

0900 Total new obligations, unexpired accounts .....	1,236	1,181	1,155
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	141	210	358
1021 Recoveries of prior year unpaid obligations .....	55	70	44
1050 Unobligated balance (total) .....	196	280	402
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,183	1,183	1,076
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Mining law and Comm Sites) .....	43	42	42
1700 Offsetting collections (Economy Act) .....	27	34	34
1701 Change in uncollected payments, Federal sources .....	-3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	67	76	76
1900 Budget authority (total) .....	1,250	1,259	1,152
1930 Total budgetary resources available .....	1,446	1,539	1,554
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	210	358	399
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	509	562	458
3010 New obligations, unexpired accounts .....	1,236	1,181	1,155
3020 Outlays (gross) .....	-1,128	-1,215	-1,181
3040 Recoveries of prior year unpaid obligations, unexpired .....	-55	-70	-44
3050 Unpaid obligations, end of year .....	562	458	388
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-36	-33	-33
3070 Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-33	-33	-33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	473	529	425
3200 Obligated balance, end of year .....	529	425	355
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,250	1,259	1,152
Outlays, gross:			
4010 Outlays from new discretionary authority .....	769	949	868
4011 Outlays from discretionary balances .....	359	266	313
4020 Outlays, gross (total) .....	1,128	1,215	1,181
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-27	-42	-42
4033 Non-Federal sources .....	-43	-34	-34
4040 Offsets against gross budget authority and outlays (total) .....	-70	-76	-76
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	1,183	1,183	1,076
4080 Outlays, net (discretionary) .....	1,058	1,139	1,105
4180 Budget authority, net (total) .....	1,183	1,183	1,076
4190 Outlays, net (total) .....	1,058	1,139	1,105
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	4	4	4
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	4	4	4

**Land resources.**—Provides for the integrated management of public land resources, including forestry, rangeland, and cultural resources, as well as wild horses and burros. The 2020 Budget reconfigures several programs under this heading as part of the Bureau's efforts to more easily foster development of integrated programs of work that enhance the organization's focus on its mission under the Federal Land Policy and Management Act (FLPMA). The Budget will restructure the Soil, Water and Air Management, and the Riparian Management subactivities. Soil resource programs previously funded in the Soil, Water and Air Management subactivity will be funded within the Rangeland Management program. Aquatic activities that had been previously funded within the Soil, Water and Air Management subactivity, along with the Riparian Management subactivity will be consolidated into the Wildlife and Aquatic Habitat Management activity.

**Wildlife and aquatic habitat management.**—The 2020 Budget renames this activity to encompass programs that provide for the maintenance, im-

MANAGEMENT OF LANDS AND RESOURCES—Continued

provement, or enhancement of wildlife habitats, including the habitats of threatened, endangered and special status animal and plant species; as well as the management of water resources and riparian and wetlands areas, as part of the management of public lands and ecosystems. These programs include the aquatic portion of the Soil, Water and Air Management program, the Riparian Management program, the Wildlife and Fisheries Management programs, and the Threatened and Endangered Species program.

*Threatened and endangered species management.*—Provides for protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and special status animal and plant species. The Budget proposes to merge the threatened and endangered species activities with wildlife and aquatic habitat programs.

*Recreation management.*—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees.

*Energy and minerals management.*—Provides for management of onshore oil and gas; coal; renewable energy resources such as wind, solar, and geothermal energy; other leasable minerals and mineral materials activities; and the administration of encumbrances on the mineral estate on Federal and Indian lands. The 2020 Budget funds oil and gas management activities through a combination of direct appropriations and permanent appropriations authorized by the National Defense Authorization Act of 2015.

*Realty and ownership management.*—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral surveys on public lands. Provides for the processing of communication site use authorization requests.

*Resource protection.*—Provides for management of the land use planning and National Environmental Policy Act processes, including assessment and monitoring activities. Also ensures the health and safety of users of the public lands through remediation of abandoned mine lands and protection from criminal and other unlawful activities; the effects of hazardous material and/or waste; and physical safety hazards.

*Transportation and facilities management.*—Provides for construction and maintenance of administrative and recreation sites, roads, trails, bridges and dams, including compliance with building codes and standards and environmental protection requirements. These funds allow for the systematic management of facilities with critical health and safety concerns, and ensure the protection of natural and cultural resources and the environment. The Bureau of Land Management funds all construction and deferred maintenance projects from this activity, including those on the Oregon and California grant lands.

*National Conservation Lands.*—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations in the National Conservation Lands. The program provides for the recurring operational (base) budgets of these units.

*Workforce and organizational support.*—Provides for the management of bureau business practices, such as human resources, Equal Employment Opportunity, financial resources, procurement, property, information technology, and fixed costs.

*Mining law administration.*—Provides for exploration and development of minerals on public lands pursuant to the General Mining Law of 1872, including validity examinations, patent application reviews, enforcement of environmental and bonding requirements, and recordation of mining claims. Program costs are expected to be fully offset by claim maintenance and other fees in 2020.

11.5	Other personnel compensation .....	19	19	19
11.9	Total personnel compensation .....	415	415	415
12.1	Civilian personnel benefits .....	154	154	154
21.0	Travel and transportation of persons .....	17	17	15
22.0	Transportation of things .....	4	4	3
23.1	Rental payments to GSA .....	30	30	29
23.2	Rental payments to others .....	27	27	26
23.3	Communications, utilities, and miscellaneous charges .....	23	23	22
24.0	Printing and reproduction .....	2	2	2
25.1	Advisory and assistance services .....	29	29	25
25.2	Other services from non-Federal sources .....	198	171	165
25.3	Other goods and services from Federal sources .....	122	105	100
25.4	Operation and maintenance of facilities .....	13	12	11
25.5	Research and development contracts .....	2	1	1
25.7	Operation and maintenance of equipment .....	18	17	16
26.0	Supplies and materials .....	28	26	25
31.0	Equipment .....	18	17	16
32.0	Land and structures .....	12	11	10
41.0	Grants, subsidies, and contributions .....	53	49	49
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,166	1,111	1,085
99.0	Reimbursable obligations .....	70	70	70
99.9	Total new obligations, unexpired accounts .....	1,236	1,181	1,155

Employment Summary

Identification code 014-1109-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,128	5,127	4,871
2001 Reimbursable civilian full-time equivalent employment .....	443	458	458
3001 Allocation account civilian full-time equivalent employment .....	2,387	2,386	2,368

CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 014-1110-0-1-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

OREGON AND CALIFORNIA GRANT LANDS

*For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the revested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests therein, including existing connecting roads on or adjacent to such grant lands; \$106,985,000, to remain available until expended: Provided, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (43 U.S.C. 1181f).*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Object Classification (in millions of dollars)

Identification code 014-1109-0-1-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	383	383	383
11.3 Other than full-time permanent .....	13	13	13

**Program and Financing** (in millions of dollars)

Identification code 014–1116–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Western Oregon Grant Lands Management .....			102
0004 Western Oregon Resource Management .....	101	101	
0005 Western Oregon Data Systems Operation & Management .....	2	2	2
0006 Western Oregon National Monuments & NCA .....	1	1	
0007 Western Oregon Transportation and Facilities Maintenance .....	10	10	10
0900 Total new obligations, unexpired accounts .....	114	114	114
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	3	17
1021 Recoveries of prior year unpaid obligations .....	5	21	21
1050 Unobligated balance (total) .....	10	24	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	107	107	107
1930 Total budgetary resources available .....	117	131	145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	17	31
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	40	46	36
3010 New obligations, unexpired accounts .....	114	114	114
3020 Outlays (gross) .....	-103	-103	-107
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-21	-21
3050 Unpaid obligations, end of year .....	46	36	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	40	46	36
3200 Obligated balance, end of year .....	46	36	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	107	107	107
Outlays, gross:			
4010 Outlays from new discretionary authority .....	73	79	79
4011 Outlays from discretionary balances .....	30	24	28
4020 Outlays, gross (total) .....	103	103	107
4180 Budget authority, net (total) .....	107	107	107
4190 Outlays, net (total) .....	103	103	107

*Western Oregon resources management.*—Provides for the management of approximately 2.4 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource activities including timber management, grazing management, and recreation management. In support of these activities, the Bureau of Land Management (BLM) is involved in improving critical watersheds, restoring wildlife and fish habitat, providing safe recreation opportunities, and preserving cultural resources. The President's Budget proposes to consolidate these activities with the Western Oregon National Conservation Lands activity into the Western Oregon Grant Lands Management activity.

*Western Oregon information and resource data systems.*—Provides for the acquisition, operation, and maintenance of the automated data support systems and spatial data systems required for the management of the Oregon and California programs.

*Western Oregon transportation and facilities maintenance.*—Provides for the maintenance activities for the transportation system, office buildings, warehouse and storage structures, shops, greenhouses, and recreation sites necessary to assure public safety and effective management of the lands in western Oregon. The BLM funds deferred maintenance projects on Oregon and California Grant Lands from the Management of Lands and Resources appropriation.

*Western Oregon acquisition.*—Provides for the necessary acquisition of easements and road-use agreements to facilitate timber sale and administrative site access for general resource management purposes and for monitoring and fee collection of timber haul on government controlled roads. This activity also provides for transportation planning, survey, and design of access and other resource management roads.

*Western Oregon grant lands management.*—The 2020 Budget proposes to consolidate resource management, and management of National Monuments and National Conservation Areas and other similar congressionally designated areas in western Oregon in order to ensure sustainable management and conservation of BLM-managed natural resources while supporting local communities. These resources are located on 2.4 million acres of land that are primarily forested ecosystems, and support a number of resource activities including timber, grazing, and recreation management.

**Object Classification** (in millions of dollars)

Identification code 014–1116–0–1–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	43	43	43
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	48	48	48
12.1 Civilian personnel benefits .....	18	18	18
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	18	18	18
25.3 Other goods and services from Federal sources .....	11	11	11
25.4 Operation and maintenance of facilities .....	4	4	4
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	114	114	114

**Employment Summary**

Identification code 014–1116–0–1–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	668	668	668

**ABANDONED WELL REMEDIATION FUND**

**Program and Financing** (in millions of dollars)

Identification code 014–2640–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Abandoned Well Remediation Fund (Direct) .....		4	4
0900 Total new obligations, unexpired accounts (object class 25.2) .....		4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			4
1021 Recoveries of prior year unpaid obligations .....		4	4
1050 Unobligated balance (total) .....		4	8
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		4	
1930 Total budgetary resources available .....		8	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	3	3
3010 New obligations, unexpired accounts .....		4	4
3020 Outlays (gross) .....	-9		-3
3040 Recoveries of prior year unpaid obligations, unexpired .....		-4	-4
3050 Unpaid obligations, end of year .....	3	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	3	3
3200 Obligated balance, end of year .....	3	3	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		4	
Outlays, gross:			
4101 Outlays from mandatory balances .....	9		3

ABANDONED WELL REMEDIATION FUND—Continued  
Program and Financing—Continued

Identification code 014-2640-0-1-302	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....		4	
4190 Outlays, net (total) .....	9		3

*Abandoned Well Remediation Fund.*—Section 10, paragraph (b) of Public Law 113-40, 127 Stat. 545, provides mandatory appropriated funds to remediate, reclaim, and close abandoned oil and gas wells on current or former National Petroleum Reserve land in 2014, 2015, and 2019.

Employment Summary

Identification code 014-2640-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	2	2

LAND ACQUISITION

*Of the unobligated balances available under this heading, \$10,000,000 are hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-5033-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition .....	8	4	6
0002 Acquisition management .....	1	4	1
0900 Total new obligations, unexpired accounts .....	9	8	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	64	80	97
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	25	25	
1131 Unobligated balance of appropriations permanently reduced .....			-10
1160 Appropriation, discretionary (total) .....	25	25	-10
1930 Total budgetary resources available .....	89	105	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	80	97	80
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	4	2
3010 New obligations, unexpired accounts .....	9	8	7
3020 Outlays (gross) .....	-14	-10	
3050 Unpaid obligations, end of year .....	4	2	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	4	2
3200 Obligated balance, end of year .....	4	2	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	25	-10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	-10
4011 Outlays from discretionary balances .....	13	8	10
4020 Outlays, gross (total) .....	14	10	
4180 Budget authority, net (total) .....	25	25	-10
4190 Outlays, net (total) .....	14	10	

This appropriation provides for the acquisition of lands or interests in lands, by purchase, easement or exchange, when necessary for public access

and recreation use, preservation of open space, resource protection, and/or other purposes related to the management of public lands. To focus resources on caring for current Department of Interior lands, the 2020 Budget does not request funding for major land acquisition projects and proposes a partial cancellation of prior year balances.

Object Classification (in millions of dollars)

Identification code 014-5033-0-2-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	
25.2 Other services from non-Federal sources .....	3	3	4
32.0 Land and structures .....	5	4	3
99.9 Total new obligations, unexpired accounts .....	9	8	7

Employment Summary

Identification code 014-5033-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	7	7	

RANGE IMPROVEMENTS

*For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), notwithstanding any other Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315b, 315m) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: Provided, That not to exceed \$600,000 shall be available for administrative expenses.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5132-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	3	4	5
Receipts:			
Current law:			
1130 Grazing Fees for Range Improvements, Taylor Grazing Act, As Amended .....	10	8	8
2000 Total: Balances and receipts .....	13	12	13
Appropriations:			
Current law:			
2101 Range Improvements .....	-10	-8	-8
2132 Range Improvements .....	1	1	
2199 Total current law appropriations .....	-9	-7	-8
2999 Total appropriations .....	-9	-7	-8
5099 Balance, end of year .....	4	5	5

Program and Financing (in millions of dollars)

Identification code 014-5132-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public Lands Improvements .....	9	9	9
0002 Farm Tenant Act Lands Improvements .....	1	1	1
0900 Total new obligations, unexpired accounts .....	10	10	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	6	5
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (General Fund) .....		2	2
1201 Appropriation (special or trust fund) .....	10	8	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	

1260	Appropriations, mandatory (total) .....	9	9	10
1930	Total budgetary resources available .....	16	15	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	5	5

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	5	6
3010	New obligations, unexpired accounts .....	10	10	10
3020	Outlays (gross) .....	-8	-9	-7
3050	Unpaid obligations, end of year .....	5	6	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	5	6
3200	Obligated balance, end of year .....	5	6	9

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	9	9	10
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	4	4
4101	Outlays from mandatory balances .....	6	5	3
4110	Outlays, gross (total) .....	8	9	7
4180	Budget authority, net (total) .....	9	9	10
4190	Outlays, net (total) .....	8	9	7

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements.

**Object Classification (in millions of dollars)**

Identification code 014-5132-0-2-302	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	1	1	1
26.0	Supplies and materials .....	2	2	2
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	10	10	10

**Employment Summary**

Identification code 014-5132-0-2-302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	29	29	29

**SERVICE CHARGES, DEPOSITS, AND FORFEITURES**

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94-579 (43 U.S.C. 1701 et seq.), and under section 28 of the Mineral Leasing Act (30 U.S.C. 185), to remain available until expended: Provided, That, notwithstanding any provision to the contrary of section 305(a) of Public Law 94-579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: Provided further, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 014-5017-0-2-302	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Service Charges, Deposits, and Forfeitures, BLM .....	31	26	27
2000	Total: Balances and receipts .....	31	26	27
Appropriations:				
Current law:				
2101	Service Charges, Deposits, and Forfeitures .....	-31	-26	-27
5099	Balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 014-5017-0-2-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Right-of-way processing .....	10	10	10
0004	Energy and minerals cost recovery .....	3	3	3
0005	Wild horse and burro cost recover .....			2
0006	Repair of damaged lands .....	4	4	4
0007	Cost recoverable realty .....	1	1	1
0008	Recreation cost recovery .....	3	3	3
0009	Copy fees .....	1	1	1
0011	Trans Alaska Pipeline Authority .....	3	3	3
0900	Total new obligations, unexpired accounts .....	25	25	27

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	51	58	59
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	52	58	59
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	31	26	27
1930	Total budgetary resources available .....	83	84	86
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	58	59	59

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	5	5
3010	New obligations, unexpired accounts .....	25	25	27
3020	Outlays (gross) .....	-25	-25	-26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	5	5	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	5	5
3200	Obligated balance, end of year .....	5	5	6

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	31	26	27
Outlays, gross:				
4010	Outlays from new discretionary authority .....	11	10	11
4011	Outlays from discretionary balances .....	14	15	15
4020	Outlays, gross (total) .....	25	25	26
4180	Budget authority, net (total) .....	31	26	27
4190	Outlays, net (total) .....	25	25	26

This appropriation is derived from: 1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; 2) recovery of costs associated with the adopt-a-horse program; 3) revenues received for rehabilitation of damages to lands, resources, and facilities; 4) fees for processing specified categories of realty actions under the Federal Land Policy Management Act; 5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; 6) fees for costs of reproduction and administrative services involved in providing requested copies of materials; 7) fixed fees for energy and minerals lease applications, assignments, and transfers; 8) costs of processing applications and administering permits,

SERVICE CHARGES, DEPOSITS, AND FORFEITURES—Continued

including environmental analysis and monitoring of special recreation permits; and, 9) rents received for permits to do commercial filming and photography on public lands. The Bureau of Land Management will continue to seek new opportunities to recover costs of services provided to benefiting public land users to reduce the need for direct appropriations from the Treasury.

Object Classification (in millions of dollars)

Identification code 014-5017-0-2-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	11	11	11
11.3 Other than full-time permanent .....			1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	12	12	13
12.1 Civilian personnel benefits .....	4	4	5
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	1
25.3 Other goods and services from Federal sources .....	3	3	3
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	2
99.9 Total new obligations, unexpired accounts .....	25	25	27

Employment Summary

Identification code 014-5017-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	147	147	147

PERMANENT OPERATING FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9926-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	93	69	73
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits for Road Maintenance and Reconstruction .....	3	3	3
1130 Rents and Charges for Quarters, Bureau of Land Management, Interior .....	1	1	1
1130 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....	10	6	5
1130 Land Sales, Southern Nevada Public Land Management .....	152	78	112
1130 Timber Sale Pipeline Restoration Fund .....	8	5	2
1130 Recreation Enhancement Fee, BLM .....	26	27	28
1130 Rent from Mineral Leases, Permit Processing Improvement Fund .....	7	10	10
1130 Oil and Gas Permit Processing Fee - 85% .....	36	36	43
1130 Oil and Gas Permit Processing Fee - 15% .....	6	6	
1140 Earnings on Investments, Southern Nevada Public Land Management .....	10	18	22
1140 Interest, Lincoln County Land Act Land Sales .....	1	1	1
1199 Total current law receipts .....	260	191	227
1999 Total receipts .....	260	191	227
2000 Total: Balances and receipts .....	353	260	300
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Permanent Operating Funds .....	-26	-27	-28
2101 Permanent Operating Funds .....	-10	-6	-8
2101 Permanent Operating Funds .....	-8	-5	-4
2101 Permanent Operating Funds .....	-3	-3	-3
2101 Permanent Operating Funds .....	-152	-77	-112
2101 Permanent Operating Funds .....	-10	-18	-22
2101 Permanent Operating Funds .....	-43	-45	-50
2101 Permanent Operating Funds .....	-1	-1	-1
2101 Permanent Operating Funds .....	-1	-1	-1
2101 Mineral Leasing and Associated Payments .....	-37		
2103 Permanent Operating Funds .....	-8	-15	-11
2132 Permanent Operating Funds .....	15	11	
2199 Total current law appropriations .....	-284	-187	-240
2999 Total appropriations .....	-284	-187	-240

Special and trust fund receipts returned:

3010 Permanent Operating Funds .....	1		
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	69	73	60

Program and Financing (in millions of dollars)

Identification code 014-9926-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Forest ecosystem health and recovery fund .....	7	8	9
0002 Recreation fee demonstration .....	25	26	26
0003 Expenses, road maintenance deposits .....	3	5	7
0004 Timber sale pipeline restoration fund .....	5	7	8
0005 Southern Nevada public land sales (85) .....	21	40	50
0008 Lincoln County Lands Act .....	6	2	2
0013 Operation and maintenance of quarters .....	1	1	1
0014 Permit Processing Improvement Fund .....	29	38	46
0019 Washington County, Utah Land Acquisition Account .....		1	1
0900 Total new obligations, unexpired accounts .....	97	128	150
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	749	905	964
1010 Unobligated balance transfer to other accts [014-5232] .....	-12		
1011 Unobligated balance transfer from other acct [014-5232] .....	12		
1021 Recoveries of prior year unpaid obligations .....	7		
1030 Other balances withdrawn to special or trust funds .....	-1		
1050 Unobligated balance (total) .....	755	905	964
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Recreation fee demonstration program .....	26	27	28
1201 Forest ecosystem health and recovery fund .....	10	6	8
1201 Timber sales pipeline restoration fund .....	8	5	4
1201 Expenses, road maintenance deposits .....	3	3	3
1201 S. Nevada public land management .....	152	77	112
1201 S. Nevada public land management-interest earned .....	10	18	22
1201 Permit processing improvement fund .....	43	45	50
1201 Operation and maintenance of quarters .....	1	1	1
1201 Lincoln Cty. land sales .....	1	1	1
1203 Appropriation (previously unavailable) .....	8	15	11
1220 Appropriations transferred to other acct [014-5573] .....	-2		
1221 Appropriations transferred from other acct [014-5573] .....	2		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-15	-11	
1260 Appropriations, mandatory (total) .....	247	187	240
1900 Budget authority (total) .....	247	187	240
1930 Total budgetary resources available .....	1,002	1,092	1,204
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	905	964	1,054
<b>Special and non-revolving trust funds:</b>			
1950 Other balances withdrawn and returned to unappropriated receipts .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	118	108	101
3010 New obligations, unexpired accounts .....	97	128	150
3020 Outlays (gross) .....	-100	-135	-209
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	108	101	42
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	118	108	101
3200 Obligated balance, end of year .....	108	101	42
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	247	187	240
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	26	45	56
4101 Outlays from mandatory balances .....	74	90	153
4110 Outlays, gross (total) .....	100	135	209
4180 Budget authority, net (total) .....	247	187	240
4190 Outlays, net (total) .....	100	135	209
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	751	888	1,024
5001 Total investments, EOY: Federal securities: Par value .....	888	1,024	1,182

## Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	247	187	240
Outlays .....	100	135	209
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-83
Outlays .....			-83
Total:			
Budget Authority .....	247	187	157
Outlays .....	100	135	126

## Permanent operating funds accounts include:

*Operations and maintenance of quarters.*—Funds in this account are used to maintain and repair the Bureau of Land Management (BLM) employee-occupied quarters from which rental charges are collected. Agencies are required to collect rental charges from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

*Forest ecosystems health and recovery.*—Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. Pursuant to Public Law 102-381, as amended, this account was established to allow the BLM to more efficiently and effectively address forest health issues. Funds can be used for other forest health purposes, including release from competing vegetation and density control treatments. This account will expire on September 30, 2020 under current law.

*Timber sale pipeline restoration fund.*—This Fund provides for the deposit and use of fees collected by the BLM for sales of timber authorized by section 2001(k) of Public Law 104-19. Of the total deposited into this account, 75 percent is to be used for the preparation of timber sales to fill the timber pipeline on lands administered by the BLM, and 25 percent is to be used to address recreation projects on the BLM lands.

*Stewardship contract product sales.*—Stewardship contracting improves, maintains, or restores forest and rangeland health; restores or maintains water quality; improves fish and wildlife habitat; reestablishes native plant species and increases their resilience to insects and disease; and reduces hazardous fuels that pose risks to communities and ecosystem values. With stewardship contracting, the BLM may apply the value of timber or other forest products removed as an offset against the cost of services received, and monies from a contract may be retained by the BLM. These monies are available for expenditure without further appropriation at project sites.

*Expenses, road maintenance deposits.*—Users of certain roads under the BLM's jurisdiction make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

*Federal Lands Recreation Enhancement Act, BLM.*—The Federal Lands Recreation Enhancement Act (FLREA) was enacted on December 8, 2004, as part of the Consolidated Appropriations Act for 2005. All recreation fee receipts collected under this authority at BLM sites are deposited in the Recreation Fee account. The BLM returns 100 percent of these receipts back to the site where the fees were generated. The Administration proposes a two-year extension of FLREA in appropriations language and legislation to reauthorize this authority currently set to expire on September 30, 2020.

*Operations and acquisitions in Nevada from land sale receipts.*—Pursuant to Public Law 105-263, 85 percent of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, the U.S. Fish and Wildlife Service and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and im-

plement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act.

*Lincoln County Land Sales Act.*—Public Law 106-298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the county; and 85 percent to an interest bearing account available for expenditure without further appropriation to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*White Pine County Land Sales Act.*—Public Law 109-432 authorizes the Secretary to dispose of certain lands in White Pine County, Nevada, and to distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the county; and 85 percent to an account available for expenditure without further appropriation for the management of archaeological resources, wilderness protection, recreation activities, preparation of a management plan, reimbursement for sale costs, and other purposes.

*Leases from Naval Petroleum Reserve No 2.*—The 2005 Energy Policy Act established this Fund for environmental investigation and restoration on that site located in Kern County, California. A portion of revenue from new leases on the site is authorized to be deposited to this account. In 2008, it was certified that sufficient funds had been collected to cover the cost of the cleanup and other expenses and no more deposits were to be made to the Fund. New revenue from site operations is distributed under the Mineral Leasing Act.

*BLM Permit Processing Improvement Fund.*—The 2005 Energy Policy Act, as amended by the National Defense Authorization Act for Fiscal Year 2015, established pilot offices to improve interagency coordination in processing onshore Federal oil and gas permits. Fifty-percent of the rents from non-geothermal onshore mineral leases are authorized to be deposited in this Fund and used to facilitate the BLM oil and gas permit processing in these pilot offices. In addition, in 2016 through 2026, fees collected for processing applications for permits to drill will be deposited to this Fund.

*Federal land disposal.*—The Federal Land Transaction Facilitation Act, Public Law 106-248 (114 Stat. 613), provided authority for the BLM to sell public lands classified as suitable for disposal under resource management plans in effect at the time of enactment. This law provided that receipts from such sales could be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by the Department of the Interior. This authority expired on July 25, 2010, and was later reauthorized through July 25, 2011. All funds not obligated by both expiration dates were transferred to the Land and Water Conservation Fund. The Federal Land Transaction Facilitation Act was permanently reauthorized by Public Law 115-141, the 2018 Consolidated Appropriations Act.

*Owyhee Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, Public Law 111-11 (123 Stat. 1039), provides that the Secretary may sell public land located within the Boise District of the BLM that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to certain wilderness areas.

*Washington County, Utah Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, Public Law 111-11 (123 Stat. 1091), authorizes the sale of public land located within Washington County, Utah, that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land, in or adjacent to certain wilderness areas.

PERMANENT OPERATING FUNDS—Continued

*Silver Saddle Endowment Account.*—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1114), requires Carson City, Nevada to deposit twenty-five percent of the difference between what the Secretary of the Interior and the City paid for the 62-acre Bernhard parcel before the Secretary conveys the land to the City. Amounts deposited in the account shall be available to the Secretary, without further appropriation, for the oversight and enforcement of a certain conservation easement.

*Carson City Special Account.*—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1113), authorizes the sale of 158 acres of public land described in the statute. Five percent of the proceeds are paid to the State of Nevada for use for public education. The remainder is deposited to this account and used to acquire environmentally sensitive land or an interest in environmentally sensitive land in Carson City; to cover the cost of surveys and appraisals; and to reimburse the BLM for administrative expenses.

*Ojito Land Acquisition.*—The Ojito Wilderness Act authorized the sale of land to the Pueblo Indian Tribe and the purchase of land from willing sellers within the State of New Mexico.

Object Classification (in millions of dollars)

Identification code 014–9926–0–2–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	34	34	36
11.3 Other than full-time permanent .....	3	4	5
11.5 Other personnel compensation .....	2	2	3
11.9 Total personnel compensation .....	39	40	44
12.1 Civilian personnel benefits .....	14	15	16
21.0 Travel and transportation of persons .....	1	2	2
23.2 Rental payments to others .....	1	1	2
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services from non-Federal sources .....	18	18	20
25.3 Other goods and services from Federal sources .....	10	10	10
25.4 Operation and maintenance of facilities .....	5	5	6
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	29	42
99.9 Total new obligations, unexpired accounts .....	97	128	150

Employment Summary

Identification code 014–9926–0–2–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	574	457	511

PERMANENT OPERATING FUNDS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014–9926–4–2–302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....			–83
1930 Total budgetary resources available .....			–83
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			–83
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			83
3050 Unpaid obligations, end of year .....			83
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			83

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....			–83
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....			–83
4180 Budget authority, net (total) .....			–83
4190 Outlays, net (total) .....			–83

*Cancel Southern Nevada Public Land Management Act Account Balances.*—The Budget assumes cancellation of \$230 million in unobligated balances in the Southern Nevada Public Land Management Act special account, which was enacted in 1998 to authorize the Bureau of Land Management to sell specified public lands around Las Vegas and retain the proceeds for capital improvements and various conservation, restoration, and recreational purposes.

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–9921–0–2–999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	80	77	77
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Receipts from Grazing, Etc., Public Lands outside Grazing Districts .....	3	1	1
1130 Receipts from Grazing, Etc., Public Lands within Grazing Districts .....	–1	2	2
1130 Payments to States and Counties from Land Sales .....	14	14	20
1130 Funds Reserved, Title II Projects on Federal Lands .....	1	1	
1130 Sale of Public Lands and Materials .....	3		
1130 Oregon and California Land-grant Fund .....	–2		
1130 Deposits, Oregon and California Grant Lands .....	27	31	17
1130 Coos Bay Wagon Road Grant Fund .....	–1		
1130 Funds Reserved, Coos Bay Wagon Road Grant Lands .....			2
1199 Total current law receipts .....	44	49	42
1999 Total receipts .....	44	49	42
2000 Total: Balances and receipts .....	124	126	119
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Permanent Payment Accounts .....	–1	–1	–1
2101 Miscellaneous Permanent Payment Accounts .....	–1	–1	–1
2101 Miscellaneous Permanent Payment Accounts .....	–2	–2	–2
2101 Miscellaneous Permanent Payment Accounts .....	–13	–14	–20
2101 Miscellaneous Permanent Payment Accounts .....			–17
2101 Miscellaneous Permanent Payment Accounts .....			–2
2101 Miscellaneous Permanent Payment Accounts .....	–29	–31	
2101 Miscellaneous Permanent Payment Accounts .....	–1	–1	
2103 Miscellaneous Permanent Payment Accounts .....	–1		
2132 Miscellaneous Permanent Payment Accounts .....	1	1	
2199 Total current law appropriations .....	–47	–49	–43
2999 Total appropriations .....	–47	–49	–43
5099 Balance, end of year .....	77	77	76

Program and Financing (in millions of dollars)

Identification code 014–9921–0–2–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to O&C Counties, Title I/III 5884 .....	33	31	
0003 Payment to O&C and CBWR Counties, Title II 5485 .....		1	
0004 From grazing fees, etc., public lands outside grazing districts 5016 .....	1	1	1
0005 From grazing fees, etc., public lands within grazing districts 5032 .....	2	2	2
0009 Proceeds from sales 5133 .....	1	1	1
0013 Payments to State and Counties from Nevada Land Sales .....	14	13	20
0014 Payments to O&C counties under 1937 statute .....			17
0015 Payments to CBWR counties under 1939 statute .....			2
0900 Total new obligations, unexpired accounts .....	51	49	43
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	10

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	5	
1201	Proceeds of sales—payments to states .....	1	1
1201	Payments from grazing fees outside grazing districts .....	1	1
1201	Payments from grazing fees within grazing districts .....	2	2
1201	Payments from Nevada Land Sales .....	13	14
1201	Payments to O&C Grants lands counties under 1937 statute .....		17
1201	Payments to CBWR counties under 1939 statute .....		2
1201	Payments to O&C Counties, Title I/III 5884 .....	29	31
1201	Payment to O&C and CBWR Counties, Title II 5485 .....	1	1
1203	Appropriation (previously unavailable) .....	1	
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1
1260	Appropriations, mandatory (total) .....	52	49
1930	Total budgetary resources available .....	61	59
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	5	4
3010	New obligations, unexpired accounts .....	51	49
3020	Outlays (gross) .....	-52	-49
3050	Unpaid obligations, end of year .....	4	4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	4
3200	Obligated balance, end of year .....	4	4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	52	49
Outlays, gross:			
4100	Outlays from new mandatory authority .....		39
4101	Outlays from mandatory balances .....	52	10
4110	Outlays, gross (total) .....	52	49
4180	Budget authority, net (total) .....	52	49
4190	Outlays, net (total) .....	52	49

Miscellaneous permanent payments include:

*Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (as amended by P.L. 115–141), provides annual revenue sharing payments to the 18 O&C counties. These payments are derived from revenues from Federal activities on O&C lands in the previous fiscal year that were not deposited to permanent operating funds, supplemented by amounts from the General Fund when necessary. The reauthorization of these payments covers 2017 and 2018, and allowed for a special "make-up" payment for 2017 after receipt-based payments were already made for 2017. In the absence of this authority in 2019 and beyond, eligible counties will receive funds authorized under 1937 and 1939 statutes. Payments to the Oregon counties under the 1937 statute will be 50 percent of revenues from O&C grant lands. Payments under the 1939 statute are for lost tax revenue in two Oregon counties and are estimated to be 75 percent of all revenues from Coos Bay Wagon Road grant lands.

*Payments to States (proceeds of sales).*—States are paid five percent of the net proceeds from the sale of public land and public land products (31 U.S.C. 1305).

*Payments to States from grazing receipts, etc, public lands outside grazing districts.*—States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

*Payments to States from grazing receipts, etc, public lands within districts.*—States are paid 12.5 percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

*Payments to States from grazing receipts, etc, public lands within grazing districts, miscellaneous.*—States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

*Payments to counties, National Grasslands.*—Of the revenues received from the use of Bankhead-Jones Act lands administered by the Bureau

of Land Management (BLM), 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

*Payments to Nevada from receipts on land sales.*—A) Public Law 96–586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distributed to the State of Nevada (five percent) and the county in which the land is located (10 percent). B) Public Law 105–263, as amended by Public Law 107–282, authorizes the disposal through sale of approximately 49,000 acres in Clark County Nevada, the proceeds of which are to be distributed as follows: a) five percent for use in the general education program of the State of Nevada; b) 10 percent for use by the Southern Nevada Water Authority for water treatment and transmission facility infrastructure in Clark County, Nevada; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to be used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, Fish and Wildlife Service, and the BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails, and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act. C) Public Law 106–298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: a) five percent to the State of Nevada for general education purposes; b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*Cook Inlet Region, Incorporated Account.*—This account received funding appropriated by section 9102 of the 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of Public Law 94–204 (43 U.S.C. 1611). The BLM maintains an accounting of the funds used by the Cook Inlet Region, Incorporated to purchase properties.

*State 5 Percent Share, Carson City Land Sales.*—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1113), requires that five percent of proceeds from the sale of 158 acres described in the statute shall be paid to the State of Nevada for general public education purposes.

**Object Classification** (in millions of dollars)

Identification code 014–9921–0–2–999	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		1	1
41.0 Grants, subsidies, and contributions .....	51	48	42
99.9 Total new obligations, unexpired accounts .....	51	49	43

**Employment Summary**

Identification code 014–9921–0–2–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	3	3

## HELIUM FUND

## Program and Financing (in millions of dollars)

Identification code 014-4053-0-3-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Production and sales .....	13	13	17
0802 Transmission and storage .....	4	4	5
0803 Administration and other expenses .....	3	3	4
0900 Total new obligations, unexpired accounts .....	20	20	26
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	277	270	203
1021 Recoveries of prior year unpaid obligations .....	1		
1022 Capital transfer of unobligated balances to general fund .....	-115	-100	-100
1050 Unobligated balance (total) .....	163	170	103
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	130	52	52
1802 Offsetting collections (previously unavailable) .....	1	4	3
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-4	-3	
1850 Spending auth from offsetting collections, mand (total) .....	127	53	55
1930 Total budgetary resources available .....	290	223	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	270	203	132
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	10	3
3010 New obligations, unexpired accounts .....	20	20	26
3020 Outlays (gross) .....	-18	-27	-29
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	10	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	10	3
3200 Obligated balance, end of year .....	10	3	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	127	53	55
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	11	13
4101 Outlays from mandatory balances .....	14	16	16
4110 Outlays, gross (total) .....	18	27	29
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-130	-52	-52
4180 Budget authority, net (total) .....	-3	1	3
4190 Outlays, net (total) .....	-112	-25	-23
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	4	3
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	4	3	

The Helium Act Amendments of 1960, Public Law 86-777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities. The Helium Privatization Act of 1996 (HPA), Public Law 104-273, provided for the eventual privatization of the program and its functions, specifying that once the helium debt is retired, the Helium Production Fund would be dissolved. The debt was repaid at the beginning of 2014. The Helium Stewardship Act of 2013 (HSA), Public Law 113-40, provided for continued operation of the Helium program while facilitating a gradual exit from the helium market. The Helium program consists of: (a) continued storage and transmission of crude helium; (b) oversight of the production of helium on Federal lands; and (c) administration of in-kind and open market crude helium gas sale programs. To minimize impacts to the helium market, the HSA provides a "glide path" from the sales mandated under HPA, increasing the sales price of helium through an auction mechanism and reducing the total volume of helium sold each year until the amount in storage reaches 3.0 billion cubic feet. At that point, the remaining helium

will be reserved for Federal users. Additionally, HSA provides a hard deadline to sunset the program and sell off the program assets by 2021.

## Balance Sheet (in millions of dollars)

Identification code 014-4053-0-3-306	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	230	284
1206 Non-Federal assets: Receivables, net .....		1
1605 Accounts receivable from foreclosed property .....		1
Other Federal assets:		
1802 Inventories and related properties .....	95	
1803 Property, plant and equipment, net .....	9	
1901 Other assets .....	179	
1999 Total assets .....	513	286
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....		
2105 Other .....	289	
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	289	
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	224	286
4999 Total liabilities and net position .....	513	286

## Object Classification (in millions of dollars)

Identification code 014-4053-0-3-306	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	4	6
12.1 Civilian personnel benefits .....	1	1	2
23.2 Rental payments to others .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.4 Operation and maintenance of facilities .....	1	1	2
25.7 Operation and maintenance of equipment .....	1	1	2
26.0 Supplies and materials .....	1	1	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	20	20	26

## Employment Summary

Identification code 014-4053-0-3-306	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	54	60	60

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 014-4525-0-4-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Operating expenses .....	40	41	42
0802 Capital investment .....	42	34	34
0900 Total new obligations, unexpired accounts .....	82	75	76
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	148	149	158
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	149	149	158
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	82	84	86
1930 Total budgetary resources available .....	231	233	244
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	149	158	168
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	32	23
3010 New obligations, unexpired accounts .....	82	75	76
3020 Outlays (gross) .....	-74	-84	-86
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	32	23	13

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	32	23
3200	Obligated balance, end of year .....	32	23	13

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	82	84	86
Outlays, gross:				
4010	Outlays from new discretionary authority .....	45	34	34
4011	Outlays from discretionary balances .....	29	50	52
4020	Outlays, gross (total) .....	74	84	86
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-75	-76	-78
4033	Non-Federal sources .....	-7	-8	-8
4040	Offsets against gross budget authority and outlays (total) ....	-82	-84	-86
4080	Outlays, net (discretionary) .....	-8		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-8		

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a Bureau of Land Management working capital fund. The fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

**Balance Sheet** (in millions of dollars)

Identification code 014-4525-0-4-302	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	129	180
Investments in U.S. securities:			
1106	Receivables, net .....		3
Other Federal assets:			
1801	Cash and other monetary assets .....	3	
1802	Inventories and related properties .....	6	
1803	Property, plant and equipment, net .....	115	
1999	Total assets .....	253	183
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other .....	12	
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	241	183
4999	Total liabilities and net position .....	253	183

**Object Classification** (in millions of dollars)

Identification code 014-4525-0-4-302	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
25.7	Operation and maintenance of equipment .....	5	5	5
26.0	Supplies and materials .....	31	32	33
31.0	Equipment .....	42	34	34
99.9	Total new obligations, unexpired accounts .....	82	75	76

**Employment Summary**

Identification code 014-4525-0-4-302	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	26	25	25

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of Public Law 94-579 (43 U.S.C. 1737), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act (43 U.S.C. 1721(b)), to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-9971-0-7-302	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	1	1	1
Receipts:				
Current law:				
1130	Contributions and Deposits, BLM .....	23	26	22
2000	Total: Balances and receipts .....	24	27	23
Appropriations:				
Current law:				
2101	Miscellaneous Trust Funds .....	-23	-26	-22
5099	Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 014-9971-0-7-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Resource development FLPMA .....	16	16	14
0002	Resource development CA OHV .....	6	6	5
0003	Resource development Taylor Grazing .....	1	2	1
0004	Public Survey .....	1	1	1
0005	Sikes Act .....		1	1
0900	Total new obligations, unexpired accounts .....	24	26	22

**Budgetary resources:**

1000	Unobligated balance brought forward, Oct 1 .....	59	58	58
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	23	26	22
1930	Total budgetary resources available .....	82	84	80
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	58	58	58

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	8	14	14
3010	New obligations, unexpired accounts .....	24	26	22
3020	Outlays (gross) .....	-18	-26	-22
3050	Unpaid obligations, end of year .....	14	14	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8	14	14
3200	Obligated balance, end of year .....	14	14	14

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	23	26	22
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	12	10
4101	Outlays from mandatory balances .....	12	14	12
4110	Outlays, gross (total) .....	18	26	22
4180	Budget authority, net (total) .....	23	26	22
4190	Outlays, net (total) .....	18	26	22

Current Trust Funds include:

*Land and Resource Management Trust Fund.*—Provides for the acceptance of contributed money or services for: 1) resource development, protection, and management; 2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions, or individuals; and 3) conducting cadastral surveys, provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).) The Sikes Act of 1974, as amended, provides for acceptance of contributions for conservation, restoration, and management of species and their habitats in cooperation with State wildlife agencies (16 U.S.C. 670 et seq.).

Permanent Trust Funds include:

*Range improvements.*—Acceptance of contributions for rangeland improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for uses specified by those Acts.

MISCELLANEOUS TRUST FUNDS—Continued

*Public surveys.*—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for uses specified by those Acts.

*Trustee funds, Alaska townsites.*—Amounts received from the sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

Object Classification (in millions of dollars)

Identification code 014–9971–0–7–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	6	7	7
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	.....
25.2 Other services from non-Federal sources .....	7	5	4
25.3 Other goods and services from Federal sources .....	2	3	3
25.7 Operation and maintenance of equipment .....	1	2	2
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	1	1	.....
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	24	26	22

Employment Summary

Identification code 014–9971–0–7–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	82	85	85

ADMINISTRATIVE PROVISIONS

*The Bureau of Land Management may carry out the operations funded under this Act by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, including with States. Appropriations for the Bureau shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of enforcement activities authorized or approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$10,000: Provided, That notwithstanding Public Law 90–620 (44 U.S.C. 501), the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the cooperator is capable of meeting accepted quality standards: Provided further, That projects to be funded pursuant to a written commitment by a State government to provide an identified amount of money in support of the project may be carried out by the Bureau on a reimbursable basis.*

BUREAU OF OCEAN ENERGY MANAGEMENT

Federal Funds

OCEAN ENERGY MANAGEMENT

*For expenses necessary for granting and administering leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf and approving operations related thereto, as authorized by law; for environmental studies, as authorized by law; for implementing other laws and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$193,426,000, of which \$136,929,000 is to remain available until September 30, 2021 and of which \$56,497,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this*

*appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Ocean Energy Management pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2020 appropriation estimated at not more than \$136,929,000: Provided further, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014–1917–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Renewable Energy .....	22	18	17
0002 Conventional Energy .....	58	54	59
0003 Environmental Programs .....	68	66	76
0004 Marine Minerals .....	.....	.....	5
0005 Executive Direction .....	21	16	16
0192 Total direct program .....	169	154	173
0799 Total direct obligations .....	169	154	173
0802 RSAs .....	2	2	2
0900 Total new obligations, unexpired accounts .....	171	156	175
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	17	54	84
1021 Recoveries of prior year unpaid obligations .....	7	7	7
1050 Unobligated balance (total) .....	24	61	91
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	119	121	137
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected - Offsetting Collections .....	82	50	56
1700 Collected - RSAs .....	.....	2	2
1700 Collected - Bond Forfeitures .....	.....	5	5
1701 Change in uncollected payments, Federal sources .....	.....	1	1
1750 Spending auth from offsetting collections, disc (total) .....	82	58	64
1900 Budget authority (total) .....	201	179	201
1930 Total budgetary resources available .....	225	240	292
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	54	84	117
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	123	106	92
3010 New obligations, unexpired accounts .....	171	156	175
3020 Outlays (gross) .....	-181	-163	-209
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-7	-7
3050 Unpaid obligations, end of year .....	106	92	51
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	.....	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-3	-4	-5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	120	103	88
3200 Obligated balance, end of year .....	103	88	46
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	201	179	201
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	101	118	132
4011 Outlays from discretionary balances .....	80	45	77
4020 Outlays, gross (total) .....	181	163	209
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources: RSAs .....	-2	-2	-2
4033 Non-Federal sources - Rents & Bonds .....	-80	-55	-61
4040 Offsets against gross budget authority and outlays (total) .....	-82	-57	-63
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	.....	-1	-1

4070	Budget authority, net (discretionary) .....	119	121	137
4080	Outlays, net (discretionary) .....	99	106	146
4180	Budget authority, net (total) .....	119	121	137
4190	Outlays, net (total) .....	99	106	146

**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, SOY. Offsetting collections .....	5	5	5
5092	Unexpired unavailable balance, EOY. Offsetting collections .....	5	5	5

The Bureau of Ocean Energy Management (BOEM) manages the exploration and development of the nation's offshore energy and marine mineral resources on the U.S. Outer Continental Shelf (OCS). These marine resources include vast deposits of oil and natural gas, non-energy minerals, as well as renewable resources such as offshore wind, wave and ocean currents. BOEM's goal is to balance economic development, energy security, and environmental protection through responsible and transparent management of offshore resources based on the best available science. To carry out this mission, BOEM manages OCS energy and mineral resources, including: OCS leasing, inventories of oil and gas reserves, resource and economic evaluation, review and administration of oil and gas exploration and development plans, geological and geophysical (G&G) permitting, risk management and financial assurance, conveyance of sand and gravel resources, renewable energy development, National Environmental Policy Act (NEPA) analysis, and environmental studies.

The Ocean Energy Management account includes the following budget activities: Conventional Energy, Renewable Energy, Environmental Programs, Marine Minerals, and Executive Direction.

**Conventional Energy.**—Supports OCS oil and gas leasing, including development of the National OCS Oil and Gas Leasing Program; implementing the lease sale process; administering leases; protecting the Federal government from financial risks related to natural resource development; reviewing exploration and development plans and geological and geophysical permit applications; developing and maintaining the OCS cadastre; conducting technical and economic resource evaluation and fair market determination.

**Renewable Energy.**—Oversees renewable energy program development and implementation, including: identification of wind energy areas; environmental and compliance work; competitive and noncompetitive leasing actions; review of site assessment, construction, and operation plans; and consultation with state and local governments, Federal agencies, and other stakeholders.

**Environmental Programs.**—Informs decision-makers and the public about the potential impacts of OCS energy and mineral activities on the marine, coastal, and human environment. Develops the environmental impact statements and environmental assessments needed to consider the potential environmental impacts of proposed actions in accordance with the National Environmental Protection Act, the OCS Lands Act, and numerous other environmental statutes, regulations, and executive orders. Funding supports scientific research needed to inform policy decisions regarding energy and mineral development on the OCS.

**Marine Minerals.**—Manages non-energy minerals on the OCS and conveys, on a noncompetitive basis, the rights to those resources to federal, state, and local government agencies for shore protection, beach or coastal wetlands restoration projects, or for use in construction projects funded or authorized by the Federal Government. Funding supports assessments of exploration and leasing activities, coordination with governmental partners, engagement of stakeholders, strategic planning, and mission-focused scientific research to improve decision making and risk management.

**Executive Direction.**—Funds bureau-wide leadership, direction, management, coordination, communication strategies, and outreach. This includes budget management, administrative services management, bureau-wide information technology management and governance, congressional and public affairs, program policy and analysis, international affairs, and Freedom of Information Act activities.

**Object Classification** (in millions of dollars)

Identification code 014–1917–0–1–302	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	63	57	64
12.1	Civilian personnel benefits .....	20	18	21
21.0	Travel and transportation of persons .....	2	2	2
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	21	19	22
25.3	Other goods and services from Federal sources .....	53	48	54
31.0	Equipment .....	3	3	3
41.0	Grants, subsidies, and contributions .....	6	6	6
99.0	Direct obligations .....	169	154	173
99.0	Reimbursable obligations .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	171	156	175

**Employment Summary**

Identification code 014–1917–0–1–302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	562	562	609

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT

**Federal Funds**

OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT

*For expenses necessary for the regulation of operations related to leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf, as authorized by law; for enforcing and implementing laws and regulations as authorized by law and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$149,333,000, of which \$122,212,000 is to remain available until September 30, 2021 and of which \$27,121,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Safety and Environmental Enforcement pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2020 appropriation estimated at not more than \$122,212,000: Provided further, That of the unobligated balances available in Treasury Account Fund Symbol 14X1700, \$5,000,000 are hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.*

*For an additional amount, \$43,479,000, to remain available until expended, to be reduced by amounts collected by the Secretary and credited to this appropriation, which shall be derived from non-refundable inspection fees collected in fiscal year 2020, as provided in this Act: Provided, That to the extent that amounts realized from such inspection fees exceed \$43,479,000, the amounts realized in excess of \$43,479,000 shall be credited to this appropriation and remain available until expended: Provided further, That for fiscal year 2020, not less than 50 percent of the inspection fees expended by the Bureau of Safety and Environmental Enforcement will be used to fund personnel and mission-related costs to expand capacity and expedite the orderly development, subject to environmental safeguards, of the Outer Continental Shelf pursuant to the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.), including the review of applications for permits to drill.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–1700–0–1–302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Environmental Enforcement .....	5	4	5
0002	Operations, Safety and Regulation .....	140	167	158
0003	Administrative Operations .....	17	18	18

OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT—Continued  
Program and Financing—Continued

Identification code 014-1700-0-1-302	2018 actual	2019 est.	2020 est.
0004 Executive Direction .....	15	18	19
0192 Total direct program .....	177	207	200
0799 Total direct obligations .....	177	207	200
0802 Reimbursable Service Agreements .....	43	44	44
0900 Total new obligations, unexpired accounts .....	220	251	244
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	62	35
1021 Recoveries of prior year unpaid obligations .....	15	.....	.....
1050 Unobligated balance (total) .....	55	62	35
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	116	119	122
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	-5
1160 Appropriation, discretionary (total) .....	116	119	117
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections (Cost Recovery) .....	4	4	4
1700 Offsetting Collections (Rental Receipts) .....	24	20	23
1700 Collected (Inspection Fee) .....	43	44	44
1700 Reimbursable Service Agreements .....	44	37	37
1701 Change in uncollected payments, Federal sources .....	-4	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	111	105	108
1900 Budget authority (total) .....	227	224	225
1930 Total budgetary resources available .....	282	286	260
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	62	35	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	136	105	125
3010 New obligations, unexpired accounts .....	220	251	244
3020 Outlays (gross) .....	-236	-231	-232
3040 Recoveries of prior year unpaid obligations, unexpired .....	-15	.....	.....
3050 Unpaid obligations, end of year .....	105	125	137
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-17	-17
3070 Change in uncollected pymts, Fed sources, unexpired .....	4	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-17	-17	-17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	114	88	108
3200 Obligated balance, end of year .....	88	108	120
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	227	224	225
Outlays, gross:			
4010 Outlays from new discretionary authority .....	140	157	156
4011 Outlays from discretionary balances .....	96	74	76
4020 Outlays, gross (total) .....	236	231	232
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-45	-37	-37
4033 Non-Federal sources .....	-71	-68	-71
4040 Offsets against gross budget authority and outlays (total) ....	-116	-105	-108
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4	.....	.....
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	5	.....	.....
4070 Budget authority, net (discretionary) .....	116	119	117
4080 Outlays, net (discretionary) .....	120	126	124
4180 Budget authority, net (total) .....	116	119	117
4190 Outlays, net (total) .....	120	126	124
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	6	6	6
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	6	6	6

The Bureau of Safety and Environmental Enforcement (BSEE) was established on October 1, 2011, to ensure the safe and environmentally-sustainable exploration, development, and production of the Nation's offshore energy resources. The Bureau continues to mature its mission processes and staff capabilities to keep pace with the continued innovation in Outer Continental Shelf (OCS) exploration and production operations for oil and gas on the U.S. OCS. To fulfill its mission and advance American energy security, BSEE is committed to the continual advancement of the effectiveness of its inspection program, enhancing its permitting processes, ensuring high levels of preparedness in the event of oil spills, the expansion of the renewables program, and reforming regulations. The Offshore Safety and Environmental Enforcement (OSEE) account is BSEE's primary operating account and funds the following activities: Operations, Safety, and Regulation; Environmental Enforcement; Administrative Operations; and Executive Direction.

**Operations, Safety, and Regulation.**—Funds OCS permit application reviews; inspections of OCS facilities, including critical high-risk activities; offshore operator oil spill planning and preparedness compliance; investigations; enforcement; audit programs; annual operator performance reviews; verification of oil and gas production levels to help ensure the public receives a fair return; research supporting the analysis of emerging technologies, standards and regulatory review activities; and technical training.

**Environmental Enforcement.**—Funds compliance staff supporting permit reviewers by evaluating and identifying environmental mitigation provisions that can be incorporated into permits; specialized inspections of air, water, and mitigation measures; and subject matter expertise training for safety inspectors to assist in identifying environmental violations.

**Administrative Operations.**—Funds general administration and ethics programs, equal employment opportunity services, emergency management, finance, human resources, procurement, and information management. This activity also provides a full range of administrative and information management services to the Bureau of Ocean Energy Management (BOEM) and other entities within the Department on a reimbursable basis.

**Executive Direction.**—Funds bureau-wide leadership, direction, management, coordination, communications strategies, and outreach. This includes functions such as budget, congressional and public affairs, and policy analysis.

## Object Classification (in millions of dollars)

Identification code 014-1700-0-1-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	74	71	71
12.1 Civilian personnel benefits .....	24	30	30
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	9	10	10
25.1 Advisory and assistance services .....	10	12	10
25.2 Other services from non-Federal sources .....	38	44	44
25.3 Other goods and services from Federal sources .....	10	12	10
25.5 Research and development contracts .....	.....	14	10
25.7 Operation and maintenance of equipment .....	8	9	10
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	177	207	200
99.0 Reimbursable obligations .....	43	44	44
99.9 Total new obligations, unexpired accounts .....	220	251	244

## Employment Summary

Identification code 014-1700-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	693	734	734
2001 Reimbursable civilian full-time equivalent employment .....	110	125	125

**Trust Funds**

**OIL SPILL RESEARCH**

For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, \$12,700,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–8370–0–7–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Oil Spill Research (Direct) .....	8	18	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	13	10
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	6	13	10
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	15	15	13
1930 Total budgetary resources available .....	21	28	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	10	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	10	12
3010 New obligations, unexpired accounts .....	8	18	16
3020 Outlays (gross) .....	–13	–16	–14
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	10	12	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	16	10	12
3200 Obligated balance, end of year .....	10	12	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	8	6
4011 Outlays from discretionary balances .....	8	8	8
4020 Outlays, gross (total) .....	13	16	14
4180 Budget authority, net (total) .....	15	15	13
4190 Outlays, net (total) .....	13	16	14

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986. The Oil Spill Research (OSR) appropriation is drawn from the Oil Spill Liability Trust Fund and funds: 1) oil spill prevention, abatement, planning, preparedness, and response functions for all facilities seaward of the coastline of the United States that handle, store, or transport oil; 2) oil spill research; and 3) Ohmsett—the National Oil Spill Response Research and Renewable Energy Test Facility.

**Object Classification** (in millions of dollars)

Identification code 014–8370–0–7–302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	2	4	4
25.4 Operation and maintenance of facilities .....			3
25.5 Research and development contracts .....	3	11	6
99.9 Total new obligations, unexpired accounts .....	8	18	16

**Employment Summary**

Identification code 014–8370–0–7–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	16	22	22

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

**Federal Funds**

REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95–87, \$96,960,000, to remain available until September 30, 2021: Provided, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

In addition, for costs to review, administer, and enforce permits issued by the Office pursuant to section 507 of Public Law 95–87 (30 U.S.C. 1257), \$40,000, to remain available until expended: Provided, That fees assessed and collected by the Office pursuant to such section 507 shall be credited to this account as discretionary off-setting collections, to remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as collections are received during the fiscal year, so as to result in a fiscal year 2020 appropriation estimated at not more than \$96,960,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–1801–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Environmental protection .....	103	88	68
0003 Technology development and transfer .....	14	13	14
0004 Financial management .....	1	1	1
0005 Executive direction and administration .....	15	14	14
0900 Total new obligations, unexpired accounts .....	133	116	97
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	26	29
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	43	29	32
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	116	116	97
1900 Budget authority (total) .....	116	116	97
1930 Total budgetary resources available .....	159	145	129
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	29	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	68	64
3010 New obligations, unexpired accounts .....	133	116	97
3020 Outlays (gross) .....	–113	–117	–108
3040 Recoveries of prior year unpaid obligations, unexpired .....	–3	–3	–3
3041 Recoveries of prior year unpaid obligations, expired .....	–2		
3050 Unpaid obligations, end of year .....	68	64	50
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	68	64
3200 Obligated balance, end of year .....	68	64	50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	116	116	97
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	52	44
4011 Outlays from discretionary balances .....	72	65	64
4020 Outlays, gross (total) .....	113	117	108
4180 Budget authority, net (total) .....	116	116	97
4190 Outlays, net (total) .....	113	117	108

REGULATION AND TECHNOLOGY—Continued

*Environmental protection.*—This activity funds functions that directly contribute to ensuring the environment is protected during surface coal mining operations. It also addresses activities to ensure coal operators adequately reclaim the land after mining is completed.

Under this activity, the Office of Surface Mining Reclamation and Enforcement provides grants and support to States to operate enforcement programs on State and private lands under the terms of the Surface Mining Control and Reclamation Act of 1977. This activity also provides for the operation of enforcement programs on Federal and Indian lands, as well as Federal oversight of these regulatory programs.

*Technology development and transfer.*—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides technical tools, such as the Coal Information Management System, to States and Indian Tribes to solve problems related to the environmental effects of coal mining and technical assistance to address specific coal mining issues.

*Financial management.*—This activity provides resources for managing, accounting, processing collections, and pursuing delinquent civil penalties. This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations. This activity also includes accounting for and reporting on grants awarded to States and Tribes for regulatory purposes.

*Executive direction and administration.*—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

**Object Classification** (in millions of dollars)

Identification code 014-1801-0-1-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	27	27	28
12.1 Civilian personnel benefits .....	9	9	10
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	1	1	1
25.2 Other services from non-Federal sources .....	7	7	7
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	83	66	45
99.9 Total new obligations, unexpired accounts .....	133	116	97

**Employment Summary**

Identification code 014-1801-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	277	281	298

**ABANDONED MINE RECLAMATION FUND**

*For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, \$24,713,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended: Provided, That pursuant to Public Law 97-365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: Provided further, That funds made available under title IV of Public Law 95-87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: Provided further, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: Provided further, That amounts provided under this heading may be used for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5015-0-2-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2,440	2,379	2,311
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Abandoned Mine Reclamation Fund, Reclamation Fees .....	155	148	145
1140 Earnings on Investments, Abandoned Mine Reclamation Fund .....	49	51	60
1199 Total current law receipts .....	204	199	205
1999 Total receipts .....	204	199	205
2000 Total: Balances and receipts .....	2,644	2,578	2,516
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Abandoned Mine Reclamation Fund .....	-25	-25	-25
2101 Abandoned Mine Reclamation Fund .....	-254	-54	-60
2101 Abandoned Mine Reclamation Fund .....		-200	-141
2132 Abandoned Mine Reclamation Fund .....	14	12	
2199 Total current law appropriations .....	-265	-267	-226
2999 Total appropriations .....	-265	-267	-226
5099 Balance, end of year .....	2,379	2,311	2,290

**Program and Financing** (in millions of dollars)

Identification code 014-5015-0-2-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Environmental Restoration .....	117	125	9
0002 Technology development and transfer .....	3	4	4
0003 Financial management .....	6	5	5
0004 Executive direction and administration .....	8	6	7
0005 AML funded Grants to States .....	222	211	160
0006 UMWA and other benefits .....	46	54	60
0900 Total new obligations, unexpired accounts .....	402	405	245

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	174	174	176
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	144	150	
1021 Recoveries of prior year unpaid obligations .....	22	25	25
1050 Unobligated balance (total) .....	196	199	201
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation (Economic Development) .....	115	115	
1101 Appropriation (special or trust fund) .....	25	25	25
1160 Appropriation, discretionary (total) .....	140	140	25
<b>Appropriations, mandatory:</b>			
1201 Appropriation (AML & RAMP transfers to UMWA) .....	254	54	60
1201 Appropriation (AML grants to states) .....		200	141
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-14	-12	
1260 Appropriations, mandatory (total) .....	240	242	201
1900 Budget authority (total) .....	380	382	226
1930 Total budgetary resources available .....	576	581	427
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	174	176	182

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	323	417	406
3010 New obligations, unexpired accounts .....	402	405	245
3020 Outlays (gross) .....	-286	-391	-412
3040 Recoveries of prior year unpaid obligations, unexpired .....	-22	-25	-25
3050 Unpaid obligations, end of year .....	417	406	214
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	323	417	406
3200 Obligated balance, end of year .....	417	406	214

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	140	140	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	14	98	18

4011	Outlays from discretionary balances .....	33	35	55
4020	Outlays, gross (total) .....	47	133	73
	Mandatory:			
4090	Budget authority, gross .....	240	242	201
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	45	92	88
4101	Outlays from mandatory balances .....	194	166	251
4110	Outlays, gross (total) .....	239	258	339
4180	Budget authority, net (total) .....	380	382	226
4190	Outlays, net (total) .....	286	391	412
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	2,760	2,706	2,665
5001	Total investments, EOY: Federal securities: Par value .....	2,706	2,665	2,598

*Environmental restoration.*—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. This activity provides discretionary funding for the Federal reclamation program for watershed restoration projects and for the evaluation of State and tribal reclamation programs that now receive mandatory funding for reclamation activities. This activity also provides for the operation of Federal reclamation programs for activities in those States without their own reclamation programs.

*Technology development and transfer.*—This activity provides funding to enhance the technical skills States and Indian Tribes need to operate their reclamation programs. The Office of Surface Mining Reclamation and Enforcement (OSMRE) provides technical assistance on mining and reclamation-related problems.

*Financial management.*—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. The OSMRE seeks to maximize voluntary compliance with the Surface Mining Control and Reclamation Act's reclamation fee provisions. This activity also includes accounting for and reporting on grants awarded to States and Tribes for reclamation activities.

*Executive direction and administration.*—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

**Status of Funds** (in millions of dollars)

Identification code 014–5015–0–2–999	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	2,832	2,750	2,558
0999 Total balance, start of year .....	2,832	2,750	2,558
Cash income during the year:			
Current law:			
Receipts:			
1110 Abandoned Mine Reclamation Fund, Reclamation Fees .....	155	148	145
1150 Earnings on Investments, Abandoned Mine Reclamation Fund .....	49	51	60
1199 Income under present law .....	204	199	205
1999 Total cash income .....	204	199	205
Cash outgo during year:			
Current law:			
2100 Abandoned Mine Reclamation Fund [010–08–5015–0] .....	–286	–391	–412
2199 Outgo under current law .....	–286	–391	–412
2999 Total cash outgo (-) .....	–286	–391	–412
Surplus or deficit:			
3110 Excluding interest .....	–131	–243	–267
3120 Interest .....	49	51	60
3199 Subtotal, surplus or deficit .....	–82	–192	–207
3999 Total change in fund balance .....	–82	–192	–207
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	44	–107	–247
4200 Abandoned Mine Reclamation Fund .....	2,706	2,665	2,598
4999 Total balance, end of year .....	2,750	2,558	2,351

**Object Classification** (in millions of dollars)

Identification code 014–5015–0–2–999	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	12	12	12
12.1	Civilian personnel benefits .....	4	4	4
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
25.2	Other services from non-Federal sources .....	53	55	66
41.0	Grants, subsidies, and contributions .....	330	331	160
99.9	Total new obligations, unexpired accounts .....	402	405	245

**Employment Summary**

Identification code 014–5015–0–2–999	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	121	117	100

**PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS**

**Program and Financing** (in millions of dollars)

Identification code 014–1803–0–1–999	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Prior Balance Payments to Non-Certified States .....	13		
0003	In Lieu Payments to Certified States and Tribes .....	106	110	47
0900	Total new obligations, unexpired accounts (object class 41.0) .....	119	110	47
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5		1
1021	Recoveries of prior year unpaid obligations .....	8	8	8
1050	Unobligated balance (total) .....	13	8	9
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	114	110	47
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	–8	–7	
1260	Appropriations, mandatory (total) .....	106	103	47
1930	Total budgetary resources available .....	119	111	56
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....		1	9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	421	400	317
3010	New obligations, unexpired accounts .....	119	110	47
3020	Outlays (gross) .....	–132	–185	–201
3040	Recoveries of prior year unpaid obligations, unexpired .....	–8	–8	–8
3050	Unpaid obligations, end of year .....	400	317	155
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	421	400	317
3200	Obligated balance, end of year .....	400	317	155
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	106	103	47
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	11	5
4101	Outlays from mandatory balances .....	131	174	196
4110	Outlays, gross (total) .....	132	185	201
4180	Budget authority, net (total) .....	106	103	47
4190	Outlays, net (total) .....	132	185	201

Public Law 109–432, as amended, authorizes mandatory Treasury payments to all States and Tribes equivalent to their share of the accumulated balance of the Abandoned Mine Reclamation Fund. The payments also return half of annual coal fee collections to States and Tribes that have certified completion of their abandoned coal mine reclamation programs.

SUPPLEMENTAL PAYMENTS TO UMWA PLANS

Program and Financing (in millions of dollars)

Identification code 014-1804-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Supplemental Payments to UMWA Health Plans (Direct) .....	285	225	264
0900 Total new obligations, unexpired accounts (object class 25.2) .....	285	225	264
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	285	225	264
1930 Total budgetary resources available .....	285	225	264
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	285	225	264
3020 Outlays (gross) .....	-285	-225	-264
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	285	225	264
Outlays, gross:			
4100 Outlays from new mandatory authority .....		225	264
4101 Outlays from mandatory balances .....	285		
4110 Outlays, gross (total) .....	285	225	264
4180 Budget authority, net (total) .....	285	225	264
4190 Outlays, net (total) .....	285	225	264

Public Law 109-432 authorizes mandatory Treasury payments to three United Mine Workers of America retiree health benefit plans (the Combined Benefit Fund, the 1992 Plan, and the 1993 Plan), to the extent that other Federal funding sources do not meet the plans' expenditure needs, subject to certain limitations. Interest earned on Abandoned Mine Land trust fund balances is available for transfer to cover funding shortfalls in the plans; unobligated balances in the Fund are used to generate interest for this purpose.

WATER AND SCIENCE

BUREAU OF RECLAMATION

Appropriations to Reclamation are made from the general fund and from certain special funds. Projects funded from the General Fund include the Colorado River Basin Project and the Colorado River Storage Project, among others. Special funds include the Reclamation Fund, the Central Valley Project Restoration Fund, the Colorado River Dam Fund, and the Recreation, Entrance, and User Fee account. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act.

Of the Bureau's special funds, the Reclamation Fund consists of repayments and other revenues from water and power users, receipts from the sale, lease, and rental of Federal lands, and certain oil and mineral revenues. It can finance program activities authorized under "Reclamation Law" that directly benefit the 17 Western States. The Central Valley Project Restoration Fund consists of revenues from project beneficiaries. The Colorado River Dam Fund generates revenue from the sale of Boulder Canyon power.

The 2020 estimates are summarized by source as follows (in millions of dollars):

	Total Appropriations	General Fund	Reclamation Fund	CVP Restoration Fund	Other
Appropriated Funds:					
Water and Related Resources (net) .....	866	151	715		
Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds .....					
California Bay-Delta Restoration .....	33	33			
Policy and Administration .....	60		60		
Working Capital Fund .....	0				

Loan Program .....	0	0			
Central Valley Project Restoration Fund .....	55		55		
Gross Current Authority .....	1,110	280	775	55	0
Central Valley Project Restoration Fund, current offset .....	-55			-55	
Net Current Authority .....	1,055	280	775	0	0
Loan Liquidating Account .....	-1				-1
Colorado River Dam Fund .....	97				97
Reclamation Trust Fund .....	2				2
San Joaquin Restoration Fund .....	0				207
Reclamation Water Settlements Fund .....	0				122
Federal Lands Recreation Enhancement Act .....	1				1
Total Permanent Appropriations .....	428	0	0	0	428
Grand Total .....	1,483	280	775	0	428

Federal Funds

WATER AND RELATED RESOURCES

(INCLUDING TRANSFERS OF FUNDS)

For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, federally recognized Indian tribes, and others, **[\$1,391,992,000,] \$962,000,000**, to remain available until expended, of which **[\$67,393,000] \$91,332,000** shall be available for transfer to the Upper Colorado River Basin Fund and **[\$5,551,000] \$5,023,000** shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund: *Provided, That \$10,000,000 shall be available for transfer into the Blackfeet Water Settlement Implementation Fund established by section 3717 of Public Law 114-322: Provided further, That the unobligated balances in "Water and Related Resources" for the Blackfeet Water Rights Settlement Act may be transferred to the Blackfeet Water Settlement Implementation Fund account: Provided further, That such transfers may be increased or decreased within the overall appropriation under this heading: Provided further, That within available funds, \$250,000 [shall] may be for grants and financial assistance for educational activities: Provided further, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 6806 shall be derived from that Fund or account: Provided further, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which the funds were contributed: Provided further, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: Provided further, That of the amounts provided herein, funds may be used for high-priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-0680-0-1-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Recreation Enhancement Fee Program .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Water and Related Resources .....	-1	-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-0680-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Facility operations .....	318	414	305
0002 Facility maintenance and rehabilitation .....	161	213	233
0003 Water and energy management and development .....	423	626	206

0004	Fish and wildlife management and development .....	192	182	102
0005	Land management and development .....	40	41	31
0100	Total direct program .....	1,134	1,476	877
0799	Total direct obligations .....	1,134	1,476	877
0801	Water and Related Resources (Reimbursable) .....	393	705	323
0900	Total new obligations, unexpired accounts .....	1,527	2,181	1,200
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	932	1,128	593
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	880	1,077	.....
1021	Recoveries of prior year unpaid obligations .....	78	.....	.....
1050	Unobligated balance (total) .....	1,010	1,128	593
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	249	255	226
1101	Appropriation (special or trust fund) .....	1,083	1,158	736
1120	Appropriations transferred to other accts [014-4081] .....	-69	-88	-91
1120	Appropriations transferred to other accts [014-4079] .....	-6	-6	-5
1160	Appropriation, discretionary (total) .....	1,257	1,319	866
Appropriations, mandatory:				
1200	Appropriation .....	.....	16	.....
1201	Appropriation (special or trust fund) .....	1	1	1
1203	Appropriation (previously unavailable) .....	.....	.....	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	.....	-1	.....
1260	Appropriations, mandatory (total) .....	1	16	2
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	368	311	323
1701	Change in uncollected payments, Federal sources .....	19	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	387	311	323
1900	Budget authority (total) .....	1,645	1,646	1,191
1930	Total budgetary resources available .....	2,655	2,774	1,784
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,128	593	584

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,148	1,356	2,150
3010	New obligations, unexpired accounts .....	1,527	2,181	1,200
3020	Outlays (gross) .....	-1,241	-1,387	-1,412
3040	Recoveries of prior year unpaid obligations, unexpired .....	-78	.....	.....
3050	Unpaid obligations, end of year .....	1,356	2,150	1,938
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-447	-466	-466
3070	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-466	-466	-466
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	701	890	1,684
3200	Obligated balance, end of year .....	890	1,684	1,472

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,644	1,630	1,189
Outlays, gross:				
4010	Outlays from new discretionary authority .....	426	978	714
4011	Outlays from discretionary balances .....	810	379	671
4020	Outlays, gross (total) .....	1,236	1,357	1,385
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-208	-187	-195
4033	Non-Federal sources .....	-160	-124	-128
4040	Offsets against gross budget authority and outlays (total) ....	-368	-311	-323
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-19	.....	.....
4070	Budget authority, net (discretionary) .....	1,257	1,319	866
4080	Outlays, net (discretionary) .....	868	1,046	1,062
Mandatory:				
4090	Budget authority, gross .....	1	16	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	.....	15	2
4101	Outlays from mandatory balances .....	5	15	25
4110	Outlays, gross (total) .....	5	30	27
4180	Budget authority, net (total) .....	1,258	1,335	868
4190	Outlays, net (total) .....	873	1,076	1,089

The Water and Related Resources account supports the development, management, and restoration of water and related resources in the 17 Western States. The account includes funds to operate, maintain, and rehabilitate existing water and power facilities; protect public safety; conduct studies on ways to improve the reliability of water and related resources; and cover expenses associated with Indian water rights settlements.

Work is done in partnership and cooperation with non-Federal entities and other Federal agencies to reduce conflict, facilitate solutions to complex water issues, and stretch limited water supplies.

**Object Classification** (in millions of dollars)

Identification code 014-0680-0-1-301	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	157	198	198
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	11	14	14
11.9	Total personnel compensation .....	170	214	214
12.1	Civilian personnel benefits .....	46	59	59
21.0	Travel and transportation of persons .....	8	8	8
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	9	9	9
25.2	Other services from non-Federal sources .....	657	939	336
26.0	Supplies and materials .....	14	14	14
31.0	Equipment .....	6	6	6
32.0	Land and structures .....	56	57	58
41.0	Grants, subsidies, and contributions .....	165	167	170
99.0	Direct obligations .....	1,133	1,475	876
99.0	Reimbursable obligations .....	392	704	322
99.5	Adjustment for rounding .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	1,527	2,181	1,200

**Employment Summary**

Identification code 014-0680-0-1-301	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	1,632	2,057	2,057
2001	Reimbursable civilian full-time equivalent employment .....	728	683	683
3001	Allocation account civilian full-time equivalent employment .....	5	5	5
3001	Allocation account civilian full-time equivalent employment .....	.....	2	2
3001	Allocation account civilian full-time equivalent employment .....	4	.....	.....

**CALIFORNIA BAY-DELTA RESTORATION**

(INCLUDING TRANSFERS OF FUNDS)

For carrying out activities authorized by the Water Supply, Reliability, and Environmental Improvement Act, consistent with plans to be approved by the Secretary of the Interior, **[\$35,000,000]** \$33,000,000, to remain available until expended, of which such amounts as may be necessary to carry out such activities may be transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: *Provided*, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management: *Provided further*, That CALFED implementation shall be carried out in a balanced manner with clear performance measures demonstrating concurrent progress in achieving the goals and objectives of the Program. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 014-0687-0-1-301	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	California Bay-Delta Restoration (Direct) .....	40	37	33
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	.....
1021	Recoveries of prior year unpaid obligations .....	3	.....	.....
1050	Unobligated balance (total) .....	5	2	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	37	35	33

CALIFORNIA BAY-DELTA RESTORATION—Continued  
Program and Financing—Continued

Identification code 014-0687-0-1-301	2018 actual	2019 est.	2020 est.
1930 Total budgetary resources available .....	42	37	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	68	79
3010 New obligations, unexpired accounts .....	40	37	33
3020 Outlays (gross) .....	-28	-26	-35
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	68	79	77
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	59	68	79
3200 Obligated balance, end of year .....	68	79	77

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	37	35	33
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	12	12
4011 Outlays from discretionary balances .....	18	14	23
4020 Outlays, gross (total) .....	28	26	35
4180 Budget authority, net (total) .....	37	35	33
4190 Outlays, net (total) .....	28	26	35

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, water quality, and levee integrity in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system.

Object Classification (in millions of dollars)

Identification code 014-0687-0-1-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	18	14	10
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	16	16	16
99.0 Direct obligations .....	39	36	32
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	40	37	33

Employment Summary

Identification code 014-0687-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	31	31

TAOS SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-2638-0-1-301	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Taos Settlement Fund (Direct) .....		1	7
0900 Total new obligations, unexpired accounts (object class 25.2) .....		1	7

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	15
1930 Total budgetary resources available .....	16	16	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	15	8

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	7	
3020 Outlays (gross) .....	-1		-5
3050 Unpaid obligations, end of year .....			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	5	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	5	

This account covers certain expenses associated with Mutual-Benefit Projects funding authorized by the Taos Pueblo Indian Water Rights Settlement Act contained in Title V of the Claims Resolution Act of 2010 (Public Law 111-291).

RECLAMATION WATER SETTLEMENTS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5593-0-2-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Reclamation Water Settlements Fund .....			120
1140 Earnings on Investments, Reclamation Water Settlement Fund .....		2	2
1199 Total current law receipts .....		2	122
1999 Total receipts .....		2	122
2000 Total: Balances and receipts .....		2	122
Appropriations:			
Current law:			
2101 Reclamation Water Settlements Fund .....		-2	-122
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-5593-0-2-301	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Reclamation Water Settlements Fund (Direct) .....		22	211
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	139	139	119
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		2	122
1930 Total budgetary resources available .....	139	141	241
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	139	119	30

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	23	31
3010 New obligations, unexpired accounts .....		22	211
3020 Outlays (gross) .....	-2	-14	-128
3050 Unpaid obligations, end of year .....	23	31	114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	23	31
3200 Obligated balance, end of year .....	23	31	114

Budget authority and outlays, net:

Mandatory:			
Budget authority, gross .....	2	122	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	74	
4101 Outlays from mandatory balances .....	2	12	54
4110 Outlays, gross (total) .....	2	14	128
4180 Budget authority, net (total) .....	2	122	
4190 Outlays, net (total) .....	2	14	128

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	140	140	140
5001	Total investments, EOY: Federal securities: Par value .....	140	140	140

This account covers expenses associated with the Navajo-Gallup Water Supply Project or other projects as authorized by the Omnibus Public Land Management Act of 2009 (P.L. 111–11) and the Claims Resolution Act of 2010 (P.L. 111–291). The Secretary may expend money from the Fund to implement a settlement agreement approved by the Congress that resolves, in whole or in part, litigation involving the United States, if the settlement agreement or implementing legislation requires the Bureau of Reclamation to provide financial assistance for, or plan, design, and construct: A) water supply infrastructure; or B) a project: (i) to rehabilitate a water delivery system to conserve water; or (ii) to restore fish and wildlife habitat or otherwise improve environmental conditions associated with or affected by, or located within the same river basin as a Federal reclamation project that is in existence on the date of enactment of this Act.

**Object Classification** (in millions of dollars)

Identification code 014–5593–0–2–301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2	Other services from non-Federal sources .....	5	5
41.0	Grants, subsidies, and contributions .....	17	206
99.9	Total new obligations, unexpired accounts .....	22	211

**RECLAMATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–5000–0–2–301	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	13,824	16,630	18,643
0198	Reconciliation adjustment .....	2,197		
0199	Balance, start of year .....	16,021	16,630	18,643
<b>Receipts:</b>				
<b>Current law:</b>				
1130	Reclamation Fund, Miscellaneous Interest .....	24	20	18
1130	Reclamation Fund, Royalties on Natural Resources .....	1,221	2,847	2,290
1130	Reclamation Fund, Other Proprietary Receipts from the Public .....	298	204	84
1130	Reclamation Fund, Sale of Public Domain .....	9	12	12
1130	Reclamation Fund, All Other, Sale of Electric Energy, Bonneville Power Administration .....	24	65	13
1130	Reclamation Fund, All Other, Sale of Power and Other Utilities (WAPA) .....	266	173	166
1199	Total current law receipts .....	1,842	3,321	2,583
1999	Total receipts .....	1,842	3,321	2,583
2000	Total: Balances and receipts .....	17,863	19,951	21,226
<b>Appropriations:</b>				
<b>Current law:</b>				
2101	Water and Related Resources .....	-1,083	-1,158	-736
2101	Policy and Administration .....	-59	-61	-60
2101	Construction, Rehabilitation, Operation and Maintenance, Western Area Power Administration .....	-91	-89	-89
2199	Total current law appropriations .....	-1,233	-1,308	-885
2999	Total appropriations .....	-1,233	-1,308	-885
5099	Balance, end of year .....	16,630	18,643	20,341

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues. Receipts deposited are made available by the Congress through annual appropriations acts.

**POLICY AND ADMINISTRATION**

For expenses necessary for policy, administration, and related functions in the Office of the Commissioner, the Denver office, and offices in the five regions of

the Bureau of Reclamation, to remain available until September 30, [2020] 2021, [\$61,000,000] \$60,000,000, to be derived from the Reclamation Fund and be non-reimbursable as provided in 43 U.S.C. 377: *Provided*, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 014–5065–0–2–301	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Policy and Administration (Direct) .....	57	75	60
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	11	14	
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	12	14	
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1101	Appropriation (special or trust fund) .....	59	61	60
1930	Total budgetary resources available .....	71	75	60
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	14		
<b>Special and non-revolving trust funds:</b>				
1952	Expired unobligated balance, start of year .....	3	3	3
1953	Expired unobligated balance, end of year .....	3	3	3
1955	Unobligated balances withdrawn and returned to general fund .....	1		

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	7	8
3010	New obligations, unexpired accounts .....	57	75	60
3020	Outlays (gross) .....	-59	-74	-60
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	7	8	8
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	11	7	8
3200	Obligated balance, end of year .....	7	8	8

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	59	61	60
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	44	52	51
4011	Outlays from discretionary balances .....	15	22	9
4020	Outlays, gross (total) .....	59	74	60
4180	Budget authority, net (total) .....	59	61	60
4190	Outlays, net (total) .....	59	74	60

The Policy and Administration account supports the direction and management of all Reclamation activities as performed by the Commissioner's office and the five regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

**Object Classification** (in millions of dollars)

Identification code 014–5065–0–2–301	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	23	35	35
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	25	37	37
12.1	Civilian personnel benefits .....	7	10	10
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	1	1	1
25.2	Other services from non-Federal sources .....	21	24	9
99.0	Direct obligations .....	56	74	59
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	57	75	60

POLICY AND ADMINISTRATION—Continued  
**Employment Summary**

Identification code 014-5065-0-2-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	186	276	276

**CENTRAL VALLEY PROJECT RESTORATION FUND**

For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, **[\$62,008,000]** \$54,849,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102-575, to remain available until expended: *Provided*, That the Bureau of Reclamation is directed to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102-575: *Provided further*, That none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5173-0-2-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	6	11	11
Receipts:			
Current law:			
1130 Central Valley Project Restoration Fund, Revenue .....	46	62	55
2000 Total: Balances and receipts .....	52	73	66
Appropriations:			
Current law:			
2101 Central Valley Project Restoration Fund .....	-41	-62	-55
5099 Balance, end of year .....	11	11	11

**Program and Financing** (in millions of dollars)

Identification code 014-5173-0-2-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Central Valley Project Restoration Fund (Direct) .....	46	67	55
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	10	5	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, restoration fund, 3407(d)) ....	41	62	55
1930 Total budgetary resources available .....	51	67	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	77	77	70
3010 New obligations, unexpired accounts .....	46	67	55
3020 Outlays (gross) .....	-41	-74	-59
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	77	70	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	77	77	70
3200 Obligated balance, end of year .....	77	70	66
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	41	62	55
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	22	19
4011 Outlays from discretionary balances .....	31	52	40
4020 Outlays, gross (total) .....	41	74	59
4180 Budget authority, net (total) .....	41	62	55
4190 Outlays, net (total) .....	41	74	59

This fund was established to carry out the provisions of the Central Valley Project Improvement Act—to provide funding from project beneficiaries for habitat restoration, improvement and acquisition, and other fish and wildlife restoration activities in the Central Valley Project area of California. Resources are derived from donations, revenues from voluntary water transfers, and tiered water pricing. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

**Object Classification** (in millions of dollars)

Identification code 014-5173-0-2-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services from non-Federal sources .....	29	50	38
32.0 Land and structures .....	3	3	3
41.0 Grants, subsidies, and contributions .....	6	6	6
99.0 Direct obligations .....	45	66	54
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	46	67	55

**Employment Summary**

Identification code 014-5173-0-2-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	16	18	18

**COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5656-0-2-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Revenues, Colorado River Dam Fund, Boulder Canyon Project .....	85	93	97
2000 Total: Balances and receipts .....	86	94	98
Appropriations:			
Current law:			
2101 Colorado River Dam Fund, Boulder Canyon Project .....	-85	-93	-97
2103 Colorado River Dam Fund, Boulder Canyon Project .....	-1	-1	-1
2132 Colorado River Dam Fund, Boulder Canyon Project .....	1	1	
2199 Total current law appropriations .....	-85	-93	-98
2999 Total appropriations .....	-85	-93	-98
5099 Balance, end of year .....	1	1	

**Program and Financing** (in millions of dollars)

Identification code 014-5656-0-2-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Facility operations .....	66	61	58
0002 Facility maintenance and rehabilitation .....	13	19	21
0003 Water and Energy Management and Development .....	11	13	13
0900 Total new obligations, unexpired accounts .....	90	93	92
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	51	50
1021 Recoveries of prior year unpaid obligations .....	1		
1022 Capital transfer of unobligated balances to general fund .....		-1	-1
1050 Unobligated balance (total) .....	57	50	49
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	85	93	97
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1235 Capital transfer of appropriations to general fund .....	-1		
1260 Appropriations, mandatory (total) .....	84	93	98

1930	Total budgetary resources available .....	141	143	147
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	51	50	55
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	30	32	40
3010	New obligations, unexpired accounts .....	90	93	92
3020	Outlays (gross) .....	-87	-85	-90
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	32	40	42
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	30	32	40
3200	Obligated balance, end of year .....	32	40	42
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	84	93	98
Outlays, gross:				
4100	Outlays from new mandatory authority .....		53	55
4101	Outlays from mandatory balances .....	87	32	35
4110	Outlays, gross (total) .....	87	85	90
4180	Budget authority, net (total) .....	84	93	98
4190	Outlays, net (total) .....	87	85	90

Revenues from the sale of Boulder Canyon power are placed in this Fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

**Object Classification** (in millions of dollars)

Identification code 014-5656-0-2-301	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	22	21	21
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	24	23	23
12.1	Civilian personnel benefits .....	6	6	6
25.2	Other services from non-Federal sources .....	51	55	54
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	2	2	2
32.0	Land and structures .....	2	2	2
41.0	Grants, subsidies, and contributions .....	1	1	1
43.0	Interest and dividends .....	1	1	1
99.0	Direct obligations .....	89	92	91
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	90	93	92

**Employment Summary**

Identification code 014-5656-0-2-301	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	219	218	218

**SAN JOAQUIN RESTORATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5537-0-2-301	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	187	202	208
Receipts:				
Current law:				
1130	San Joaquin River Restoration Fund Receipts .....	15	6	6
2000	Total: Balances and receipts .....	202	208	214
Appropriations:				
Current law:				
2101	San Joaquin Restoration Fund .....			-207

5099	Balance, end of year .....	202	208	7
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**Program and Financing** (in millions of dollars)

Identification code 014-5537-0-2-301	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	San Joaquin Restoration Fund (Direct) .....	1	3	221
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	14	17	14
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	18	17	14
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			207
1900	Budget authority (total) .....			207
1930	Total budgetary resources available .....	18	17	221
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	17	14	

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	1	3
3010	New obligations, unexpired accounts .....	1	3	221
3020	Outlays (gross) .....	-1	-1	-89
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050	Unpaid obligations, end of year .....	1	3	135
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	1	3
3200	Obligated balance, end of year .....	1	3	135

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....			207
Outlays, gross:				
4100	Outlays from new mandatory authority .....			73
4101	Outlays from mandatory balances .....	1	1	16
4110	Outlays, gross (total) .....	1	1	89
4180	Budget authority, net (total) .....			207
4190	Outlays, net (total) .....	1	1	89

This account receives funding (user fees and repayment receipts) from the Friant Division long-term water contractors and other Federal and non-Federal sources to implement the provisions described in the Settlement (Settlement) for the National Resources Defense Council et al. v. Rodgers lawsuit. The Settlement's two primary goals are: 1) to restore and maintain fish populations in "good condition" in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally reproducing and self-sustaining populations of salmon and other fish; and 2) to reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in the Settlement.

**Object Classification** (in millions of dollars)

Identification code 014-5537-0-2-301	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
25.2	Other services from non-Federal sources .....	1	1	191
41.0	Grants, subsidies, and contributions .....			26
99.0	Direct obligations .....	1	3	219
99.5	Adjustment for rounding .....			2
99.9	Total new obligations, unexpired accounts .....	1	3	221

**Employment Summary**

Identification code 014-5537-0-2-301	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	1	22	22

LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-4079-0-3-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....	183	217	89
0802 Water & energy management & development .....	58	103	141
0900 Total new obligations, unexpired accounts .....	241	320	230
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	270	332	300
1021 Recoveries of prior year unpaid obligations .....	3		
1022 Capital transfer of unobligated balances to general fund .....		-1	-1
1050 Unobligated balance (total) .....	273	331	299
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0680] ....	6	6	5
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	294	283	202
1900 Budget authority (total) .....	300	289	207
1930 Total budgetary resources available .....	573	620	506
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	332	300	276
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	75	51	95
3010 New obligations, unexpired accounts .....	241	320	230
3020 Outlays (gross) .....	-262	-276	-183
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	51	95	142
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	68	44	88
3200 Obligated balance, end of year .....	44	88	135
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	3
4011 Outlays from discretionary balances .....	7	5	2
4020 Outlays, gross (total) .....	7	9	5
Mandatory:			
4090 Budget authority, gross .....	294	283	202
Outlays, gross:			
4100 Outlays from new mandatory authority .....		99	71
4101 Outlays from mandatory balances .....	255	168	107
4110 Outlays, gross (total) .....	255	267	178
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-6	-1	-1
4123 Non-Federal sources .....	-288	-282	-201
4130 Offsets against gross budget authority and outlays (total) ....	-294	-283	-202
4170 Outlays, net (mandatory) .....	-39	-16	-24
4180 Budget authority, net (total) .....	6	6	5
4190 Outlays, net (total) .....	-32	-7	-19
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	340	375	435
5001 Total investments, EOY: Federal securities: Par value .....	375	435	495
5010 Total investments, SOY: non-Fed securities: Market value .....	97	41	
5011 Total investments, EOY: non-Fed securities: Market value .....	41		

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this Fund. Revenues from the operation and repayment, including interest, of project facilities are available without further appropriation. A portion of the revenues from the Boulder Canyon power and Parker-Davis projects are also transferred to this Fund. Use of the revenues are authorized for operation and maintenance expenses, for a share of Colorado River salinity control projects, and for other pur-

poses defined in the Colorado River Basin Project Act as amended by the Arizona Water Settlements Act, Public Law 108-451.

Object Classification (in millions of dollars)

Identification code 014-4079-0-3-301	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
32.0 Land and structures .....	54	72	51
41.0 Grants, subsidies, and contributions .....	182	243	174
99.0 Reimbursable obligations .....	240	319	229
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	241	320	230

Employment Summary

Identification code 014-4079-0-3-301	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	24	22	22

UPPER COLORADO RIVER BASIN FUND

Program and Financing (in millions of dollars)

Identification code 014-4081-0-3-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Facility operation .....	62	57	51
0802 Facility maintenance & rehabilitation .....	12	41	22
0803 Water & energy management & development .....	83	79	75
0804 Fish & wildlife management & development .....	23	50	43
0805 Land management & development .....	6	11	4
0900 Total new obligations, unexpired accounts .....	186	238	195
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	120	146	110
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	
1021 Recoveries of prior year unpaid obligations .....	10		
1022 Capital transfer of unobligated balances to general fund .....		-4	-4
1050 Unobligated balance (total) .....	130	142	106
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0680] ....	69	88	91
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	140	118	108
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-7		
1850 Spending auth from offsetting collections, mand (total) .....	133	118	108
1900 Budget authority (total) .....	202	206	199
1930 Total budgetary resources available .....	332	348	305
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	146	110	110
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	366	369	372
3010 New obligations, unexpired accounts .....	186	238	195
3020 Outlays (gross) .....	-173	-235	-203
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	369	372	364
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	366	369	372
3200 Obligated balance, end of year .....	369	372	364
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	69	88	91
Outlays, gross:			
4010 Outlays from new discretionary authority .....		53	55
4011 Outlays from discretionary balances .....	62	42	35
4020 Outlays, gross (total) .....	62	95	90
Mandatory:			
4090 Budget authority, gross .....	133	118	108

4100	Outlays, gross:			
	Outlays from new mandatory authority .....	36	32	
4101	Outlays from mandatory balances .....	111	104	81
4110	Outlays, gross (total) .....	111	140	113
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	-34	-1	-1
4123	Non-Federal sources .....	-106	-117	-107
4130	Offsets against gross budget authority and outlays (total) ....	-140	-118	-108
4160	Budget authority, net (mandatory) .....	-7		
4170	Outlays, net (mandatory) .....	-29	22	5
4180	Budget authority, net (total) .....	62	88	91
4190	Outlays, net (total) .....	33	117	95

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the general fund.

**Object Classification** (in millions of dollars)

Identification code 014-4081-0-3-301	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	21	9	9
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	22	10	10
12.1	Civilian personnel benefits .....	6	3	3
32.0	Land and structures .....	36	60	44
41.0	Grants, subsidies, and contributions .....	121	164	137
99.0	Reimbursable obligations .....	185	237	194
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	186	238	195

**Employment Summary**

Identification code 014-4081-0-3-301	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	206	97	97

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 014-4524-0-4-301	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Information resources management .....	37	40	39
0803	Administrative expenses .....	296	313	308
0804	Technical expenses .....	146	156	154
0900	Total new obligations, unexpired accounts .....	479	509	501
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	108	108	67
1021	Recoveries of prior year unpaid obligations .....	3		
1050	Unobligated balance (total) .....	111	108	67
<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	466	468	501
1701	Change in uncollected payments, Federal sources .....	10		
1750	Spending auth from offsetting collections, disc (total) .....	476	468	501
1930	Total budgetary resources available .....	587	576	568
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	108	67	67

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	50	60	123
3010	New obligations, unexpired accounts .....	479	509	501
3020	Outlays (gross) .....	-466	-446	-498
3040	Recoveries of prior year unpaid obligations, unexpired .....	-3		

3050	Unpaid obligations, end of year .....	60	123	126
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-67	-77	-77
3070	Change in uncollected pymts, Fed sources, unexpired .....	-10		
3090	Uncollected pymts, Fed sources, end of year .....	-77	-77	-77
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	-17	-17	46
3200	Obligated balance, end of year .....	-17	46	49

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	476	468	501
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		421	451
4011	Outlays from discretionary balances .....	466	25	47
4020	Outlays, gross (total) .....	466	446	498
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-465	-467	-500
4033	Non-Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ....	-466	-468	-501
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-10		
4080	Outlays, net (discretionary) .....		-22	-3
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....		-22	-3

This revolving fund enables Reclamation to recover the costs of administrative and technical services and of facilities used by its programs and by others, and accumulates funds to finance capital equipment purchases.

**Object Classification** (in millions of dollars)

Identification code 014-4524-0-4-301	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	203	165	165
11.3	Other than full-time permanent .....	5	5	5
11.5	Other personnel compensation .....	6	5	5
11.9	Total personnel compensation .....	214	175	175
12.1	Civilian personnel benefits .....	65	54	54
21.0	Travel and transportation of persons .....	4	4	4
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	17	17	18
23.2	Rental payments to others .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	6	6	6
25.2	Other services from non-Federal sources .....	145	225	215
26.0	Supplies and materials .....	6	6	6
31.0	Equipment .....	16	16	17
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	1	1	1
99.0	Reimbursable obligations .....	478	508	500
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	479	509	501

**Employment Summary**

Identification code 014-4524-0-4-301	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	2,266	1,848	1,848

**BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 014-0685-0-1-301	2018 actual	2019 est.	2020 est.	
<b>Direct loan reestimates:</b>				
135001	Reclamation Loans .....	-1		

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the loan program account records the subsidy costs associated with the direct loans obligated

BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT—Continued  
in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and the administrative expenses are estimated on a cash basis.

No funds are requested for the Reclamation Loan Program for direct loans or Loan Program Administration for 2020.

## BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 014-4547-0-3-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	3		
0900 Total new obligations, unexpired accounts .....	3		
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1		
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	3	3
1825 Spending authority from offsetting collections applied to repay debt .....	-1	-3	-3
1850 Spending auth from offsetting collections, mand (total) .....	2		
1900 Budget authority (total) .....	3		
1930 Total budgetary resources available .....	3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3		
3020 Outlays (gross) .....	-3		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3		
Financing disbursements:			
4110 Outlays, gross (total) .....	3		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments of principal .....	-3	-2	-2
4123 Interest received on loans .....		-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
4160 Budget authority, net (mandatory) .....	-3	-3	-3
4170 Outlays, net (mandatory) .....	-3	-3	-3
4180 Budget authority, net (total) .....	-3	-3	-3
4190 Outlays, net (total) .....	-3	-3	-3

## Status of Direct Loans (in millions of dollars)

Identification code 014-4547-0-3-301	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	38	35	33
1251 Repayments: Repayments and prepayments .....	-3	-2	-2
1290 Outstanding, end of year .....	35	33	31

As required by the Federal Credit Reform Act of 1990, the direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

## Balance Sheet (in millions of dollars)

Identification code 014-4547-0-3-301	2017 actual	2018 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	38	35
1405 Allowance for subsidy cost (-) .....	-7	-3
1499 Net present value of assets related to direct loans .....	31	32
1999 Total assets .....	31	32

<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	31	32
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	31	32

## BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 014-0667-0-1-301	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	-1	-1	-1
4190 Outlays, net (total) .....	-1	-1	-1

## Status of Direct Loans (in millions of dollars)

Identification code 014-0667-0-1-301	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	16	15	14
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1290 Outstanding, end of year .....	15	14	13

As required by the Federal Credit Reform Act of 1990, the loan liquidating account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All loans obligated in 1992, or thereafter, are recorded in loan program account No. 14-0685-0-1-301 and loan program financing account No. 14-4547-0-3-301.

## Balance Sheet (in millions of dollars)

Identification code 014-0667-0-1-301	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	16	15
1603 Allowance for estimated uncollectible loans and interest (-) .....	-7	-7
1699 Value of assets related to direct loans .....	9	8
1999 Total assets .....	9	8
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	9	8
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	9	8

## Trust Funds

## RECLAMATION TRUST FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8070-0-7-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1130 Deposits, Reclamation Trust Funds .....	1	2	2
2000 Total: Balances and receipts .....	1	2	2
<b>Appropriations:</b>			
Current law:			
2101 Reclamation Trust Funds .....	-1	-2	-2

Program and Financing (in millions of dollars)			
Identification code 014-8070-0-7-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reclamation Trust Funds (Direct) .....	1	5	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	5	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	28	25
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	2	2
1930 Total budgetary resources available .....	29	30	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	25	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 New obligations, unexpired accounts .....	1	5	2
3020 Outlays (gross) .....	-1	-4	-2
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4101 Outlays from mandatory balances .....	1	3	1
4110 Outlays, gross (total) .....	1	4	2
4180 Budget authority, net (total) .....	1	2	2
4190 Outlays, net (total) .....	1	4	2

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

**Employment Summary**

Identification code 014-8070-0-7-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**ADMINISTRATIVE PROVISION**

Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed five passenger motor vehicles, which are for replacement only. *(Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**CENTRAL UTAH PROJECT**

**Federal Funds**

**CENTRAL UTAH PROJECT COMPLETION ACCOUNT**

For carrying out activities authorized by the Central Utah Project Completion Act, **[\$15,000,000]** \$10,000,000, to remain available until expended, of which **[\$898,000]** \$1,800,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission: *Provided*, That of the amount provided under this heading, **[\$1,398,675]** \$1,500,000 shall be available until September 30, **[2020]** 2021, for expenses necessary in carrying out related responsibilities of the Secretary of the Interior: *Provided further*, That for fiscal year **[2019]** 2020, of the amount made available to the Commission under this Act or any other Act, the Commission may use an amount not to exceed \$1,500,000 for administrative expenses. *(Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 014-0787-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Central Utah project construction .....	6	10	4
0003 Fish and Wildlife .....	2	2	3
0004 Program administration .....	2	1	1
0900 Total new obligations, unexpired accounts .....	10	13	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	15	10
1120 Appropriations transferred to other accts [014-5174] .....	-1	-1	-2
1160 Appropriation, discretionary (total) .....	10	14	8
1930 Total budgetary resources available .....	11	15	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	10	13	8
3020 Outlays (gross) .....	-10	-13	-9
3050 Unpaid obligations, end of year .....	1	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	14	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	12	7
4011 Outlays from discretionary balances .....	2	1	2
4020 Outlays, gross (total) .....	10	13	9
4180 Budget authority, net (total) .....	10	14	8
4190 Outlays, net (total) .....	10	13	9

Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah Project through construction and related activities, including the mitigation, conservation, and enhancement of fish and wildlife and recreational resources. Funds are requested in this account for: the Central Utah Water Conservancy District; transfer to the Utah Reclamation Mitigation and Conservation Commission; and to carry out related responsibilities of the Secretary.

**Object Classification** (in millions of dollars)

Identification code 014-0787-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	6	10	4
25.3 Other goods and services from Federal sources .....	2	1	1
41.0 Grants, subsidies, and contributions .....	2	2	3
99.9 Total new obligations, unexpired accounts .....	10	13	8

**Employment Summary**

Identification code 014-0787-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5174-0-2-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	141	152	159

UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT—Continued  
Special and Trust Fund Receipts—Continued

Identification code 014-5174-0-2-301	2018 actual	2019 est.	2020 est.
<b>Receipts:</b>			
Current law:			
1140 Interest on Principal, Utah Mitigation and Conservation Fund .....	12	9	8
2000 Total: Balances and receipts .....	153	161	167
<b>Appropriations:</b>			
Current law:			
2101 Utah Reclamation Mitigation and Conservation Account .....		-2	-8
2103 Utah Reclamation Mitigation and Conservation Account .....	-1		
2199 Total current law appropriations .....	-1	-2	-8
2999 Total appropriations .....	-1	-2	-8
5099 Balance, end of year .....	152	159	159

**Program and Financing** (in millions of dollars)

Identification code 014-5174-0-2-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Utah Reclamation Mitigation and Conservation .....	2	1	1
0002 Title IV Interest on Investment .....	5	2	8
0900 Total new obligations, unexpired accounts .....	7	3	9

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	7	7
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0787] .....	1	1	2
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		2	8
1203 Appropriation (previously unavailable) .....	1		
1260 Appropriations, mandatory (total) .....	1	2	8
1900 Budget authority (total) .....	2	3	10
1930 Total budgetary resources available .....	14	10	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	8

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	12	13
3010 New obligations, unexpired accounts .....	7	3	9
3020 Outlays (gross) .....	-13	-2	-16
3050 Unpaid obligations, end of year .....	12	13	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	12	13
3200 Obligated balance, end of year .....	12	13	6

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4011 Outlays from discretionary balances .....	2		
4020 Outlays, gross (total) .....			1
Mandatory:			
4090 Budget authority, gross .....	1	2	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	7
4101 Outlays from mandatory balances .....	11		8
4110 Outlays, gross (total) .....	11	2	15
4180 Budget authority, net (total) .....	2	3	10
4190 Outlays, net (total) .....	13	2	16

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	140	154	160
5001 Total investments, EOY: Federal securities: Par value .....	154	160	165

The Utah Reclamation Mitigation and Conservation account was established under Title IV of Public Law 102-575 to reflect contributions from the State of Utah, the Federal Government (through the Secretary of the Interior and the Western Area Power Administration), and project benefi-

ciaries (the Conservancy District). The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. The requirement for contributions from the Western Area Power Administration ended in 2013. Funds are deposited into the account as principal and may not be expended for any purpose. Interest earned annually on the account is available for expenditure, without further appropriations, by the Utah Reclamation Mitigation and Conservation Commission which has the option to use the funds for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources, or to reinvest the funds into the account as principal.

**Object Classification** (in millions of dollars)

Identification code 014-5174-0-2-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	5	1	7
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	7	3	9

**Employment Summary**

Identification code 014-5174-0-2-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	10	10	10

UNITED STATES GEOLOGICAL SURVEY

**Federal Funds**

SURVEYS, INVESTIGATIONS, AND RESEARCH

For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law; and to publish and disseminate data relative to the foregoing activities; \$983,467,000, to remain available until September 30, 2021; of which \$73,432,000 shall remain available until expended for satellite operations; and of which \$7,975,000 shall be available until expended for deferred maintenance and capital improvement projects that exceed \$100,000 in cost: Provided, That none of the funds provided for the ecosystem research activity shall be used to conduct new surveys on private property, unless specifically authorized in writing by the property owner: Provided further, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-0804-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ecosystems .....	157	163	147
0002 Land Resources .....	154	159	
0003 Energy and Mineral Resources, and Environmental Health .....	101	105	
0004 Natural Hazards .....	160	219	149
0005 Water Resources .....	221	220	183
0006 Core Science Systems .....	138	119	201
0007 Science Support .....	113	103	105
0008 Facilities .....	99	126	125
0009 Energy and Mineral Resources .....			88
0799 Total direct obligations .....	1,143	1,214	998
0801 Surveys, Investigations, and Research (Reimbursable) .....	545	545	545
0900 Total new obligations, unexpired accounts .....	1,688	1,759	1,543

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	589	709	643
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	554	682	.....
1021	Recoveries of prior year unpaid obligations .....	11	.....	.....
1050	Unobligated balance (total) .....	600	709	643
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,191	1,148	983
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	538	545	545
1701	Change in uncollected payments, Federal sources .....	70	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	608	545	545
Spending authority from offsetting collections, mandatory:				
1801	Change in uncollected payments, Federal sources .....	-1	.....	.....
1900	Budget authority (total) .....	1,798	1,693	1,528
1930	Total budgetary resources available .....	2,398	2,402	2,171
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	709	643	628
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	378	429	500
3010	New obligations, unexpired accounts .....	1,688	1,759	1,543
3011	Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020	Outlays (gross) .....	-1,623	-1,688	-1,619
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050	Unpaid obligations, end of year .....	429	500	424
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-619	-675	-675
3070	Change in uncollected pymts, Fed sources, unexpired .....	-69	.....	.....
3071	Change in uncollected pymts, Fed sources, expired .....	13	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-675	-675	-675
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-241	-246	-175
3200	Obligated balance, end of year .....	-246	-175	-251
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,799	1,693	1,528
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,101	1,405	1,268
4011	Outlays from discretionary balances .....	518	280	349
4020	Outlays, gross (total) .....	1,619	1,685	1,617
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-313	-311	-311
4033	Non-Federal sources .....	-237	-234	-234
4040	Offsets against gross budget authority and outlays (total) .....	-550	-545	-545
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-70	.....	.....
4052	Offsetting collections credited to expired accounts .....	12	.....	.....
4060	Additional offsets against budget authority only (total) .....	-58	.....	.....
4070	Budget authority, net (discretionary) .....	1,191	1,148	983
4080	Outlays, net (discretionary) .....	1,069	1,140	1,072
Mandatory:				
4090	Budget authority, gross .....	-1	.....	.....
Outlays, gross:				
4101	Outlays from mandatory balances .....	4	3	2
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
4180	Budget authority, net (total) .....	1,191	1,148	983
4190	Outlays, net (total) .....	1,073	1,143	1,074

The U.S. Geological Survey (USGS) provides research and scientific information to support the mission of the Department of the Interior (Interior) and its science requirements. The USGS also works in collaboration with other Federal, State, and tribal cooperators to conduct research and provide scientific data and information concerning natural hazards and natural resource issues, including the water, land, geologic, and biological resources of the Nation. The USGS budget continues science programs that generate relevant, objective information for natural resource managers and for communities throughout the Nation and engages in partnerships with universities, research institutions, and major public and private laboratories.

*Ecosystems.*—The USGS Ecosystems mission area provides scientific information needed by Interior for sound management of trust lands and species; studies and predicts the consequences of environmental change and the effects of alternative management actions to those lands and species; provides science focused on understanding impacts of and adaptation to climate change and other stressors to the Nation's resources; and develops information and tools to evaluate risk and control the spread of economically and ecologically harmful invasive species and wildlife diseases.

*Energy and Mineral Resources.*—The USGS Energy and Mineral Resources mission area conducts scientific research on the location, quantity, and quality of the Nation's and the world's energy and mineral resources. The mission area provides valuable science to better understand the Nation's mineral and energy resource potential, supply, production, consumption and impacts of development. Accurate scientific information about America's energy and mineral resources is critical, as our Nation depends on energy to power our homes and businesses and minerals to manufacture products we rely on every day, from our cell phones and laptops to the cars we drive. The science provided by the mission area is used to inform strategic, evidence-based economic and geopolitical decisions and facilitates responsible natural resource development.

*Natural Hazards.*—The USGS plays a critical role in providing policy-makers and the public with a clear understanding of potential threats from natural hazards, societal vulnerability to these threats, and strategies for achieving resilience to earthquakes, volcanic eruptions, landslides, floods, hurricanes, geomagnetic storms, tsunamis, and wildfires. The USGS Natural Hazards mission area is working with its partners and stakeholders to define and mitigate risks, build understanding of natural hazard processes, and characterize the potential impact and consequences on human activity, health, the economy, and the environment. This mission area includes USGS activities that characterize and assess coastal and marine processes, conditions, change and vulnerability.

*Water Resources.*—The USGS is the primary Federal science agency providing information about water resources. To fulfill this responsibility, the USGS Water Resources mission area monitors and assesses the amount and characteristics of the Nation's water resources, assesses sources and behavior of contaminants in the water environment, and develops tools to improve management and understanding of water resources. This work supports Federal, State, tribal, and local government decisions in managing water resources for domestic, agricultural, commercial, industrial, recreational, and ecological uses; protecting and enhancing water resources for human health, aquatic health, and environmental quality; minimizing loss of life and property as a result of water-related natural hazards, such as floods, droughts, and land movement; and contributing to sustainable stewardship and development of the Nation's resources for the benefit of present and future generations.

*Core Science Systems.*—The USGS Core Science Systems mission area leads the bureau's mission as the civilian mapping agency for the Nation—a 139-year legacy since its establishment in 1879. The USGS conducts detailed surveys and distributes the resulting high-quality and highly-accurate topographic, geologic, hydrographic, and biogeographic maps and remotely-sensed data to the public. Mapping accuracy enabled by cutting-edge technologies allows precise planning for recreational use on public lands; collaborative conservation with Interior partners; critical minerals assessments; energy development; transportation and pipeline infrastructure projects; urban planning and development; land change and flood prediction at regional, local, and neighborhood scales; emergency response; and hazards mitigation. The USGS Core Science Systems Mission Area is the Federal steward of this high-quality geospatial data, and provides access to the public through The National Map, the National Land Cover Database, the National Geologic Map Database, the USGS Earth Explorer, and the National Biogeographic Map. The USGS also operates the Landsat satellites and data systems, necessary to understand, monitor and detect changes that affect the Nation's natural and agricultural resources, economy, public safety and national security, and historical heritage.

SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued

**Science Support.**—The USGS Science Support program funds essential science leadership as well as critical functions such as: scientific integrity processes; sharing and communicating science findings; purchasing science equipment and field supplies; executing science agreements with partners; contracting for support scientists and researchers; safety training; hazardous waste management; succession planning and execution; and information technology, which supports the scientific process and information management of scientific data. Science Support also provides Bureau-wide executive direction and coordination, business administration and financial management.

**Facilities.**—The USGS Facilities program provides safe, functional workspace, laboratories, and facilities for the USGS to accomplish its scientific mission. The mission area provides rental payments and operation and maintenance for properties and deferred maintenance and capital improvement for owned assets.

**Reimbursable Program.**—This program includes reimbursements from non-Federal sources (States, Tribes, and municipalities) for: cooperative efforts; proceeds from the sale of copies of photographs and records and the sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. The USGS also receives reimbursements from other Federal agencies for mission-related work performed at the request of the financing agency.

**Object Classification** (in millions of dollars)

Identification code 014-0804-0-1-306	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	395	398	334
11.3 Other than full-time permanent .....	38	38	30
11.5 Other personnel compensation .....	15	15	13
11.9 Total personnel compensation .....	448	451	377
12.1 Civilian personnel benefits .....	149	150	126
13.0 Benefits for former personnel .....	3	3	2
21.0 Travel and transportation of persons .....	24	24	24
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	50	50	59
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	31	31	30
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	26	26	26
25.2 Other services from non-Federal sources .....	148	196	99
25.3 Other goods and services from Federal sources .....	58	58	58
25.4 Operation and maintenance of facilities .....	11	11	11
25.5 Research and development contracts .....	4	4	4
25.7 Operation and maintenance of equipment .....	25	28	26
26.0 Supplies and materials .....	28	28	24
31.0 Equipment .....	56	56	54
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	76	92	72
99.0 Direct obligations .....	1,143	1,214	998
99.0 Reimbursable obligations .....	545	545	545
99.9 Total new obligations, unexpired accounts .....	1,688	1,759	1,543

**Employment Summary**

Identification code 014-0804-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,623	4,623	3,873
2001 Reimbursable civilian full-time equivalent employment .....	2,913	2,913	2,913
3001 Allocation account civilian full-time equivalent employment .....	29	29	29

WORKING CAPITAL FUND

**Program and Financing** (in millions of dollars)

Identification code 014-4556-0-4-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working capital fund .....	85	93	84
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	88	104	91
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	90	104	91
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	99	80	78
1930 Total budgetary resources available .....	189	184	169
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	104	91	85
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	36	50
3010 New obligations, unexpired accounts .....	85	93	84
3020 Outlays (gross) .....	-82	-79	-78
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	36	50	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	36	50
3200 Obligated balance, end of year .....	36	50	56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	99	80	78
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	36	35
4011 Outlays from discretionary balances .....	41	43	43
4020 Outlays, gross (total) .....	82	79	78
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-98	-80	-78
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-99	-80	-78
4080 Outlays, net (discretionary) .....	-17	-1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-17	-1	

The Working Capital Fund allows for efficient financial management of U.S. Geological Survey activities including telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities, publications, General Service Administration Building delegation operation and laboratory operations; modernization and equipment replacement; and drilling and training services.

**Balance Sheet** (in millions of dollars)

Identification code 014-4556-0-4-306	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	111	140
1803 Other Federal assets: Property, plant and equipment, net .....	34	37
1999 Total assets .....	145	177
<b>LIABILITIES:</b>		
2201 Non-Federal liabilities: Accounts payable .....	4	3
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	141	174
4999 Total liabilities and net position .....	145	177

**Object Classification** (in millions of dollars)

Identification code 014-4556-0-4-306	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	10	10	10

12.1	Civilian personnel benefits .....	3	3	3
21.0	Travel and transportation of persons .....	1	1	.....
23.1	Rental payments to GSA .....	2	3	3
23.3	Communications, utilities, and miscellaneous charges .....	.....	1	.....
25.2	Other services from non-Federal sources .....	11	12	10
25.3	Other goods and services from Federal sources .....	12	14	13
25.4	Operation and maintenance of facilities .....	9	11	8
25.7	Operation and maintenance of equipment .....	2	.....	.....
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	30	33	32
99.9	Total new obligations, unexpired accounts .....	85	93	84

**Employment Summary**

Identification code 014-4556-0-4-306	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	117	117	117

**Trust Funds**

CONTRIBUTED FUNDS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-8562-0-7-306	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1130 Contributed Funds, Geological Survey .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Contributed Funds .....	-1	-1	-1
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 014-8562-0-7-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Donations and contributed funds .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 99.5) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	1
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	.....	-1
3050 Unpaid obligations, end of year .....	.....	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	1
3200 Obligated balance, end of year .....	.....	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	.....	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	.....	1

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to the U.S. Geological Survey (USGS) to perform the work desired by the contributor and the USGS. Research and development, data collection and analysis,

and services are undertaken when such activities are of mutual interest and benefit and assist USGS in accomplishing its mandated purposes.

**Employment Summary**

Identification code 014-8562-0-7-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**ADMINISTRATIVE PROVISIONS**

*From within the amount appropriated for activities of the United States Geological Survey such sums as are necessary shall be available for contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for water resources and natural hazards activities through permits and licenses; expenses of the United States National Committee for Geological Sciences; and payment of compensation and expenses of persons employed by the Survey duly appointed to represent the United States in the negotiation and administration of interstate compacts: Provided, That activities funded by appropriations herein made may be accomplished through the use of contracts, grants, or cooperative agreements as defined in section 6302 of title 31, United States Code: Provided further, That the United States Geological Survey may enter into contracts or cooperative agreements directly with individuals or indirectly with institutions or nonprofit organizations, without regard to 41 U.S.C. 6101, for the temporary or intermittent services of students or recent graduates, who shall be considered employees for the purpose of chapters 57 and 81 of title 5, United States Code, relating to compensation for travel and work injuries, and chapter 171 of title 28, United States Code, relating to tort claims, but shall not be considered to be Federal employees for any other purposes.*

**FISH AND WILDLIFE AND PARKS**

UNITED STATES FISH AND WILDLIFE SERVICE

**Federal Funds**

**RESOURCE MANAGEMENT**

*For necessary expenses of the United States Fish and Wildlife Service, as authorized by law, and for scientific and economic studies, general administration, and for the performance of other authorized functions related to such resources, \$1,257,161,000, to remain available until September 30, 2021: Provided, That not to exceed \$11,065,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533) (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)).*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-1611-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ecological Services .....	242	247	240
0002 National Wildlife Refuge System .....	475	478	479
0004 Conservation and Enforcement .....	195	182	180
0005 Fisheries and Aquatic Resource Conservation .....	160	165	167
0006 Habitat Conservation .....	62	63	66
0007 Cooperative Landscape Conservation .....	10	12	4
0008 General Operations .....	151	152	151
0009 Science Support .....	13	15	9
0100 Subtotal, direct program .....	1,308	1,314	1,296
0799 Total direct obligations .....	1,308	1,314	1,296
0801 Great Lakes Restoration Initiative .....	43	45	45
0802 Reimbursable program activity all other .....	190	190	175
0899 Total reimbursable obligations .....	233	235	220

RESOURCE MANAGEMENT—Continued  
Program and Financing—Continued

Identification code 014–1611–0–1–302	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	1,541	1,549	1,516
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	287	363	365
1011 Unobligated balance transfer from other acct [072–1021] ....	27	.....	.....
1021 Recoveries of prior year unpaid obligations .....	13	18	18
1050 Unobligated balance (total) .....	327	381	383
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,279	1,279	1,257
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	260	254	254
1701 Change in uncollected payments, Federal sources .....	38	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	298	254	254
1900 Budget authority (total) .....	1,577	1,533	1,511
1930 Total budgetary resources available .....	1,904	1,914	1,894
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	363	365	378
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	591	591	578
3010 New obligations, unexpired accounts .....	1,541	1,549	1,516
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-1,520	-1,544	-1,560
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	-18	-18
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	591	578	516
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-275	-308	-308
3070 Change in uncollected pymts, Fed sources, unexpired .....	-38	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-308	-308	-308
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	316	283	270
3200 Obligated balance, end of year .....	283	270	208
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,577	1,533	1,511
Outlays, gross:			
4010 Outlays from new discretionary authority .....	878	997	982
4011 Outlays from discretionary balances .....	642	547	578
4020 Outlays, gross (total) .....	1,520	1,544	1,560
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-192	-198	-198
4033 Non-Federal sources .....	-72	-56	-56
4040 Offsets against gross budget authority and outlays (total) ....	-264	-254	-254
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-38	.....	.....
4052 Offsetting collections credited to expired accounts .....	4	.....	.....
4060 Additional offsets against budget authority only (total) .....	-34	.....	.....
4070 Budget authority, net (discretionary) .....	1,279	1,279	1,257
4080 Outlays, net (discretionary) .....	1,256	1,290	1,306
4180 Budget authority, net (total) .....	1,279	1,279	1,257
4190 Outlays, net (total) .....	1,256	1,290	1,306

**Ecological Services.**—The Service conserves, protects, and enhances fish, wildlife, plants, and their habitat by working with private landowners, States, Tribes, non-governmental organizations, and other Federal agencies. These partnership activities help protect and recover species listed under the Endangered Species Act and work toward making the listing of additional species unnecessary. Financial assistance is provided to private landowners to restore or improve habitat for endangered species and other at-risk species. Technical assistance helps prevent or minimize potential conflicts between development projects and imperiled species.

**Habitat Conservation.**—Through technical and financial assistance, the Service promotes the protection, conservation, and restoration of the Nation's fish and wildlife resources. These conservation activities are accom-

plished through a voluntary citizen and community based stewardship program with partners on private lands. Conserving the Nation's coastal trust resources is accomplished through collaboration with others on public and private lands.

**National Wildlife Refuge System.**—The Service maintains the National Wildlife Refuge System consisting of 567 refuges, waterfowl production areas in 210 counties managed by 38 wetland management districts, 50 wildlife coordination areas, and seven national monuments. The National Wildlife Refuge System administers this network of land and waters to conserve and restore fish, wildlife, plants, and their habitats, for the benefit of present and future generations of Americans.

**Conservation and Enforcement.**—The Service directs and coordinates national migratory bird programs to protect and enhance populations and habitat of more than 1,000 species of birds. Grants and partnerships are key to these programs, such as Joint Ventures that implement the North American Waterfowl Management Plan. The Service Law Enforcement program investigates wildlife crimes, regulates wildlife trade, helps Americans understand and obey wildlife protection laws, and works in partnership with international, State, and Tribal counterparts to conserve wildlife resources. The Service, through the International Affairs Program, works with domestic and international partners to promote a coordinated strategy to protect, restore, and enhance the world's diverse wildlife and their habitats, with a focus on species of international concern.

**Fish and Aquatic Conservation.**—The Fish and Aquatic Conservation Program consists of a network of 70 National Fish Hatcheries, one historic National Fish Hatchery, nine Fish Health Centers, eight Fish Technology Centers, 51 Fish and Wildlife Conservation Offices, and the Aquatic Animal Drug Approval Partnership Program. Working with partners, the program recovers, restores and maintains fish and other aquatic resources at self-sustaining levels; provides technical assistance to States, Tribes and others; and supports Federal mitigation programs for the benefit of the American public.

**Cooperative Landscape Conservation.**—Through a national network of Landscape Conservation Cooperatives (LCCs), and in cooperation with both Federal and non-Federal partners, the Service enhances its core capacity to address adaptive management problems affecting fish, wildlife, and plants. The Budget does not include funding for this activity in 2020.

**Science Support.**—Science Support provides funding for applied science directed at high impact questions of concern to management of fish and wildlife resources. This science provides information to inform resource management decisions to best manage species at healthy and sustainable levels. The Budget does not include funding for this activity in 2020.

**General Operations.**—Funding for the Service's general operations provides policy guidance, program coordination, and administrative services to all of the Fish and Wildlife Service's programs. The funds also support the National Conservation Training Center and projects through the National Fish and Wildlife Foundation to restore and enhance fish and wildlife populations.

Object Classification (in millions of dollars)

Identification code 014–1611–0–1–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	532	534	527
11.3 Other than full-time permanent .....	22	22	22
11.5 Other personnel compensation .....	23	24	23
11.9 Total personnel compensation .....	577	580	572
12.1 Civilian personnel benefits .....	217	218	215
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	26	26	26
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	49	50	49
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	27	27	26
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	16	16	16
25.2 Other services from non-Federal sources .....	60	60	60
25.3 Other goods and services from Federal sources .....	40	40	39

25.4	Operation and maintenance of facilities .....	32	32	32
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	18	18	18
26.0	Supplies and materials .....	44	45	44
31.0	Equipment .....	41	41	41
32.0	Land and structures .....	31	31	30
41.0	Grants, subsidies, and contributions .....	119	119	117
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,308	1,314	1,296
99.0	Reimbursable obligations .....	233	235	220
99.9	Total new obligations, unexpired accounts .....	1,541	1,549	1,516

**Employment Summary**

Identification code 014-1611-0-1-302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	6,690	6,690	6,682
2001	Reimbursable civilian full-time equivalent employment .....	858	858	858
3001	Allocation account civilian full-time equivalent employment .....	483	483	488

**CONSTRUCTION**

For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, investigation, protection, and utilization of fish and wildlife resources, and the acquisition of lands and interests therein; \$15,693,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-1612-0-1-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Line item construction projects .....	20	20	14
0002	Nationwide engineering service .....	6	6	5
0003	Bridge, dam and seismic safety .....	2	2	1
0007	Restoration of Federal Assets (Hurricane Supplemental P.L. 115-123) .....		90	100
0008	Construction Deferred Maintenance .....		2	30
0100	Total, Direct program: .....	28	120	150
0799	Total direct obligations .....	28	120	150
0801	Construction (Reimbursable) .....		1	1
0900	Total new obligations, unexpired accounts .....	28	121	151

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	29	278	226
1021	Recoveries of prior year unpaid obligations .....		1	1
1050	Unobligated balance (total) .....	29	279	227
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	277	67	16
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....		1	1
1900	Budget authority (total) .....	277	68	17
1930	Total budgetary resources available .....	306	347	244
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	278	226	93

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	18	24	35
3010	New obligations, unexpired accounts .....	28	121	151
3020	Outlays (gross) .....	-22	-109	-126
3040	Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3050	Unpaid obligations, end of year .....	24	35	59
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	16	22	33
3200	Obligated balance, end of year .....	22	33	57

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	277	68	17
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	6	14	4
4011	Outlays from discretionary balances .....	16	95	122
4020	Outlays, gross (total) .....	22	109	126
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....		-1	-1
4040	Offsets against gross budget authority and outlays (total) ....		-1	-1
4180	Budget authority, net (total) .....	277	67	16
4190	Outlays, net (total) .....	22	108	125

The Construction activity provides funding for projects that focus on construction and rehabilitation, environmental compliance, pollution abatement, hazardous materials cleanup, and seismic safety for facilities on Fish and Wildlife Service lands. This also includes repair and inspection of the Service's dams and bridges. These projects are needed to accomplish the management objectives and purposes of these structures, protect and enhance natural resources, and fulfill the Service's mission.

**Object Classification** (in millions of dollars)

Identification code 014-1612-0-1-302	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	5	6	6
12.1	Civilian personnel benefits .....	2	3	3
23.1	Rental payments to GSA .....	1	1	2
25.2	Other services from non-Federal sources .....	3	3	3
25.3	Other goods and services from Federal sources .....	2	3	4
25.4	Operation and maintenance of facilities .....	2	2	2
32.0	Land and structures .....	13	103	131
99.9	Total new obligations, unexpired accounts .....	28	121	151

**Employment Summary**

Identification code 014-1612-0-1-302	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	41	41	41

**STATE AND TRIBAL WILDLIFE GRANTS**

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, and American Samoa under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, \$31,286,000, to remain available until expended: Provided, That \$2,000,000 is for a competitive grant program for States, territories, and other jurisdictions, and at the discretion of affected States, the regional Associations of fish and wildlife agencies, not subject to the remaining provisions of this heading: Provided further, That the Secretary shall, after deducting \$2,000,000 and administrative expenses, apportion the amount provided herein in the following manner: (1) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (2) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: Provided further, That the Secretary shall apportion the remaining amount in the following manner: (1) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (2) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: Provided further, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: Provided further, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 65 percent of the total costs of such projects: Provided further, That the non-Federal share of such projects may not be derived from Federal grant programs: Provided further, That any amount apportioned in 2020 to any State, territory, or

STATE AND TRIBAL WILDLIFE GRANTS—Continued

other jurisdiction that remains unobligated as of September 30, 2021, shall be re-  
apportioned, together with funds appropriated in 2022, in the manner provided herein.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget  
was prepared; therefore, the budget assumes this account is operating under the Continuing  
Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for  
2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014–5474–0–2–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State wildlife grants .....	54	54	41
0002 State competitive grants .....	3	6	4
0003 Tribal Wildlife Grants .....	6	4	1
0004 Administration .....	3	3	2
0900 Total new obligations, unexpired accounts .....	66	67	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	44	45
1021 Recoveries of prior year unpaid obligations .....	4	4	4
1050 Unobligated balance (total) .....	46	48	49
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	64	64	31
1930 Total budgetary resources available .....	110	112	80
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	45	32
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	123	123	123
3010 New obligations, unexpired accounts .....	66	67	48
3020 Outlays (gross) .....	-62	-63	-64
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	123	123	103
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	123	123	123
3200 Obligated balance, end of year .....	123	123	103
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	64	64	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....		14	7
4011 Outlays from discretionary balances .....	62	49	57
4020 Outlays, gross (total) .....	62	63	64
4180 Budget authority, net (total) .....	64	64	31
4190 Outlays, net (total) .....	62	63	64

The State and Tribal Wildlife Grants program provides funds to States, Commonwealths, the District of Columbia, and Territories primarily through a formula-based apportionment. Additionally, a competitive program uses a merit-based process to fund outcome-oriented, results-based projects. At the discretion of affected States, the competitive program may be used by regional associations of State fish and wildlife agencies. Tribes can also receive funds through a national competitive award process. These funds are provided to stabilize, restore, enhance, and protect species and their habitat that are of conservation concern.

Object Classification (in millions of dollars)

Identification code 014–5474–0–2–302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	63	64	45
99.9 Total new obligations, unexpired accounts .....	66	67	48

Employment Summary

Identification code 014–5474–0–2–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	17	17	9

MULTINATIONAL SPECIES CONSERVATION FUND

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201 et seq.), the Asian Elephant Conservation Act of 1997 (16 U.S.C. 4261 et seq.), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301 et seq.), the Great Ape Conservation Act of 2000 (16 U.S.C. 6301 et seq.), and the Marine Turtle Conservation Act of 2004 (16 U.S.C. 6601 et seq.), \$6,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget  
was prepared; therefore, the budget assumes this account is operating under the Continuing  
Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for  
2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014–1652–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 African elephant .....	3	3	1
0002 Asian elephant .....	2	2	1
0003 Rhinoceros and tiger .....	3	3	1
0004 Great ape conservation .....	1	2	1
0005 Marine turtle .....	1	1	1
0799 Total direct obligations .....	10	11	5
0801 Multinational Species Semi Postal Stamp Act .....	1	1	1
0900 Total new obligations, unexpired accounts .....	11	12	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	6
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	1	1
1900 Budget authority (total) .....	12	12	7
1930 Total budgetary resources available .....	13	14	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	11
3010 New obligations, unexpired accounts .....	11	12	6
3020 Outlays (gross) .....	-11	-11	-11
3050 Unpaid obligations, end of year .....	10	11	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	11
3200 Obligated balance, end of year .....	10	11	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	2
4011 Outlays from discretionary balances .....	7	7	8
4020 Outlays, gross (total) .....	10	10	10
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	11	11	6
4190 Outlays, net (total) .....	10	10	10

African Elephant Conservation Program.—Provides technical and financial assistance to protect African elephants and their habitats, including

elephant population management, public education, and anti-poaching activities.

**Rhinoceros and Tiger Conservation Program.**—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

**Asian Elephant Conservation Program.**—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

**Great Ape Conservation Program.**—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

**Marine Turtle Conservation Program.**—Provides financial assistance for projects, public education, and the conservation of marine turtles and their nesting habitats.

**Vanishing Species Semipostal Stamp.**—The Multinational Species Conservation Fund Semipostal Stamp Act of 2010, as amended, requires the United States Postal Service to issue and sell, at a premium, a Multinational Species Conservation Funds Semipostal stamp. The proceeds of this stamp are to be transferred to the Service to help operations supported by the Multinational Species Conservation Funds.

**Object Classification** (in millions of dollars)

Identification code 014–1652–0–1–302	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	10	11	5
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	11	12	6

**Employment Summary**

Identification code 014–1652–0–1–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

**NEOTROPICAL MIGRATORY BIRD CONSERVATION**

*For expenses necessary to carry out the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101 et seq.), \$3,900,000, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–1696–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Neotropical Migratory Bird .....	4	4	4
0900 Total new obligations, unexpired accounts (object class 41.0) .....	4	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	7	7
3010 New obligations, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	–4	–4	–4
3050 Unpaid obligations, end of year .....	7	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	7	7
3200 Obligated balance, end of year .....	7	7	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	1

4011 Outlays from discretionary balances .....	4	3	3
4020 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

Funds in this account provide grants to conserve migratory bird populations in the United States, Canada, Latin America, and the Caribbean pursuant to the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101 et seq.).

**Employment Summary**

Identification code 014–1696–0–1–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

**LAND ACQUISITION**

*For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, \$9,864,000, to be derived from the Land and Water Conservation Fund and to remain available until expended: Provided, That none of the funds appropriated for specific land acquisition projects may be used to pay for any administrative overhead, planning or other management costs: Provided further, That of the unobligated balances available under this heading, \$5,324,000 are hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–5020–0–2–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Land Acquisition Management .....	13	13	5
0002 Exchanges .....	1	2	1
0003 Emergencies, Hardships, and Inholdings .....	6	5	3
0004 Highlands Conservation Act .....		10	
0005 Land Acquisitions .....	26	31	16
0006 Sportsmen and Recreational Access .....	2	3	
0100 Total, direct program .....	48	64	25
0799 Total direct obligations .....		64	25
0801 Land Acquisition (Reimbursable) .....		1	
0900 Total new obligations, unexpired accounts .....	48	65	25
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	36	53	53
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	36	54	54
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation LWCF [014–5005] .....	64	64	10
1131 Unobligated balance of appropriations permanently reduced .....			–5
1160 Appropriation, discretionary (total) .....	64	64	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1701 Change in uncollected payments, Federal sources .....	1	–1	
1750 Spending auth from offsetting collections, disc (total) .....	1		1
1900 Budget authority (total) .....	65	64	6
1930 Total budgetary resources available .....	101	118	60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	53	53	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	57	44	56

LAND ACQUISITION—Continued  
Program and Financing—Continued

Identification code 014-5020-0-2-302	2018 actual	2019 est.	2020 est.
3010 New obligations, unexpired accounts .....	48	65	25
3020 Outlays (gross) .....	-61	-52	-46
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3050 Unpaid obligations, end of year .....	44	56	34
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-1	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	1	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	57	43	56
3200 Obligated balance, end of year .....	43	56	34
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	64	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	23	26	.....
4011 Outlays from discretionary balances .....	38	26	46
4020 Outlays, gross (total) .....	61	52	46
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	1	.....
4070 Budget authority, net (discretionary) .....	64	64	5
4080 Outlays, net (discretionary) .....	61	51	45
4180 Budget authority, net (total) .....	64	64	5
4190 Outlays, net (total) .....	61	51	45

Federal Land Acquisition funds are used to protect areas that have native fish or wildlife values and provide natural resource benefits over a broad geographical area. Funds in this account also cover acquisition management activities, such as title fees and land surveys. The U.S. Fish and Wildlife Service places emphasis on acquiring important fish, wildlife, and plant habitat for the conservation of listed endangered and threatened species, implementing the North American Waterfowl Management Plan, and conserving migratory birds of conservation concern. The Federal Land Acquisition program uses alternative and innovative conservation tools, including conservation easements, and implements projects that have the input and participation of the affected local communities and stakeholders. To focus resources on caring for current Department of Interior lands, the 2020 Budget does not include funding for new land acquisition projects and proposes a partial cancellation of prior year balances.

Object Classification (in millions of dollars)

Identification code 014-5020-0-2-302	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	4
12.1 Civilian personnel benefits .....	2	2	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	2	7
32.0 Land and structures .....	32	49	9
99.0 Direct obligations .....	47	64	25
99.0 Reimbursable obligations .....	.....	1	.....
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	48	65	25

Employment Summary

Identification code 014-5020-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	79	79	44

LANDOWNER INCENTIVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 014-5496-0-2-302	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

The Landowner Incentive Program provided cost-shared, competitive grants to States, Commonwealths, the District of Columbia, Territories, and Tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These programs provided technical and financial assistance to private landowners to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices. The program was phased out in 2008 and minimal balances remain in this account.

MIGRATORY BIRD CONSERVATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5137-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	6	5	5
Receipts:			
Current law:			
1110 Migratory Bird Hunting Stamps .....	8	22	22
1110 Custom Duties on Arms and Ammunition .....	43	38	38
1110 Migratory Birds Hunting Stamps (Conservation Easements) .....	30	15	15
1199 Total current law receipts .....	81	75	75
1999 Total receipts .....	81	75	75
2000 Total: Balances and receipts .....	87	80	80
Appropriations:			
Current law:			
2101 Migratory Bird Conservation Account .....	-81	-75	-75
2103 Migratory Bird Conservation Account .....	-6	-5	-5
2132 Migratory Bird Conservation Account .....	5	5	.....
2199 Total current law appropriations .....	-82	-75	-80
2999 Total appropriations .....	-82	-75	-80
5099 Balance, end of year .....	5	5	.....

Program and Financing (in millions of dollars)

Identification code 014-5137-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Printing and Sale of Duck Stamps .....	1	1	1
0002 Acquisition of Land and Easements .....	82	75	75
0900 Total new obligations, unexpired accounts .....	83	76	76
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	7	7	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	81	75	75
1203 Appropriation (previously unavailable) .....	6	5	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-5	-5	.....
1260 Appropriations, mandatory (total) .....	82	75	80
1930 Total budgetary resources available .....	89	82	87

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	11
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	43	43	36
3010	New obligations, unexpired accounts .....	83	76	76
3020	Outlays (gross) .....	-82	-82	-82
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	43	36	29
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	43	43	36
3200	Obligated balance, end of year .....	43	36	29

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	82	75	80
Outlays, gross:				
4100	Outlays from new mandatory authority .....	57	48	48
4101	Outlays from mandatory balances .....	25	34	34
4110	Outlays, gross (total) .....	82	82	82
4180	Budget authority, net (total) .....	82	75	80
4190	Outlays, net (total) .....	82	82	82

Funds deposited into this account include import duties on arms and ammunition and receipts in excess of U.S. Postal Service expenses from the sale of Migratory Bird Hunting and Conservation Stamps, also known as Duck Stamps. These funds are used to acquire land and water for migratory bird refuges and waterfowl production areas. In addition, any funds reverted from the States within the Federal Aid in Wildlife Restoration Fund are available for this purpose. The Federal Duck Stamp Act (P.L. 113-264) increased the price of Duck Stamps from \$15 to \$25, with the \$10 increase to be dedicated to the acquisition of conservation easements for conservation of migratory birds.

**Object Classification** (in millions of dollars)

Identification code 014-5137-0-2-303				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	6	6	6
12.1	Civilian personnel benefits .....	2	2	2
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	1	1	1
32.0	Land and structures .....	72	66	66
99.0	Direct obligations .....	82	76	76
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations, unexpired accounts .....	83	76	76

**Employment Summary**

Identification code 014-5137-0-2-303				
1001	Direct civilian full-time equivalent employment .....	67	67	67

**NORTH AMERICAN WETLANDS CONSERVATION FUND**

For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act (16 U.S.C. 4401 et seq.), \$40,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5241-0-2-302				
0100	Balance, start of year .....	20	24	10
0198	Rounding adjustment .....	-1		
0199	Balance, start of year .....	19	24	10

Receipts:				
Current law:				
1110	Fines, Penalties, and Forfeitures from Migratory Bird Treaty Act, North American Wetlands Conservation Fund .....	16	1	1
2000	Total: Balances and receipts .....	35	25	11
Appropriations:				
Current law:				
2101	North American Wetlands Conservation Fund .....	-12	-16	-1
2132	North American Wetlands Conservation Fund .....	1	1	
2199	Total current law appropriations .....	-11	-15	-1
2999	Total appropriations .....	-11	-15	-1
5099	Balance, end of year .....	24	10	10

**Program and Financing** (in millions of dollars)

Identification code 014-5241-0-2-302				
2018 actual				
2019 est.				
2020 est.				
<b>Obligations by program activity:</b>				
0003	Wetlands conservation projects .....	52	56	40
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	11	11
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	4	6	
1021	Recoveries of prior year unpaid obligations .....	2	1	1
1050	Unobligated balance (total) .....	12	12	12
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	40	40	40
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	12	16	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	
1260	Appropriations, mandatory (total) .....	11	15	1
1900	Budget authority (total) .....	51	55	41
1930	Total budgetary resources available .....	63	67	53
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11	11	13

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	88	83	82
3010	New obligations, unexpired accounts .....	52	56	40
3020	Outlays (gross) .....	-55	-56	-42
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	-1
3050	Unpaid obligations, end of year .....	83	82	79
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	88	83	82
3200	Obligated balance, end of year .....	83	82	79

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	40	40	40
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	6	6
4011	Outlays from discretionary balances .....	29	28	27
4020	Outlays, gross (total) .....	33	34	33
Mandatory:				
4090	Budget authority, gross .....	11	15	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	4	
4101	Outlays from mandatory balances .....	19	18	9
4110	Outlays, gross (total) .....	22	22	9
4180	Budget authority, net (total) .....	51	55	41
4190	Outlays, net (total) .....	55	56	42

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707). The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. Interest on obligations held in the Federal Aid in Wildlife Restoration Fund is also available for this purpose. In addition, a portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration, and enhancement goals of the North American Waterfowl Management Plan and the

NORTH AMERICAN WETLANDS CONSERVATION FUND—Continued

Tripartite Agreement among Mexico, Canada, and the United States. These projects may involve partnerships with public agencies and private entities, with non-Federal matching contributions, for the long-term conservation of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include obtaining a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

Object Classification (in millions of dollars)

Identification code 014-5241-0-2-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	48	53	37
99.0 Direct obligations .....	51	56	40
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	52	56	40

Employment Summary

Identification code 014-5241-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9	9	9

COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

Of the unobligated balances available under this heading, \$31,008,000 are hereby permanently cancelled. Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5143-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	561	601	637
0198 Rounding adjustment .....	-1		
0199 Balance, start of year .....	560	601	637
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Payment from the General Fund, Cooperative Endangered Species Conservation Fund .....	75	70	72
2000 Total: Balances and receipts .....	635	671	709
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Cooperative Endangered Species Conservation Fund .....	-34	-34	
5099 Balance, end of year .....	601	637	709

Program and Financing (in millions of dollars)

Identification code 014-5143-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Conservation Grants to States .....	5	12	5
0002 HCP Planning Assistance Grants .....	7	7	4
0004 Administration .....	2	3	2
0005 HCP Land Acquisition Grants to States .....	35	25	5
0006 Species Recovery Land Acquisition .....	17	11	5
0007 Payment to special fund unavailable receipt account .....	75	70	72
0900 Total new obligations, unexpired accounts .....	141	128	93

Budgetary resources:

Identification code	2018 actual	2019 est.	2020 est.
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	116	107	106
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	116	107	
1021 Recoveries of prior year unpaid obligations .....	4	4	4
1050 Unobligated balance (total) .....	120	111	110
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation LWCF special fund [145005] .....	19	19	
1101 Appropriation CESCFC special fund [145143] .....	34	34	
1131 Unobligated balance of appropriations permanently reduced .....			-31
1160 Appropriation, discretionary (total) .....	53	53	-31
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	75	70	72
1900 Budget authority (total) .....	128	123	41
1930 Total budgetary resources available .....	248	234	151
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	107	106	58

Change in obligated balance:

Identification code	2018 actual	2019 est.	2020 est.
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	95	125	134
3010 New obligations, unexpired accounts .....	141	128	93
3020 Outlays (gross) .....	-107	-115	-86
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	125	134	137
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	95	125	134
3200 Obligated balance, end of year .....	125	134	137

Budget authority and outlays, net:

Identification code	2018 actual	2019 est.	2020 est.
<b>Discretionary:</b>			
4000 Budget authority, gross .....	53	53	-31
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	5	-31
4011 Outlays from discretionary balances .....	31	40	45
4020 Outlays, gross (total) .....	32	45	14
<b>Mandatory:</b>			
4090 Budget authority, gross .....	75	70	72
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	75	70	72
4180 Budget authority, net (total) .....	128	123	41
4190 Outlays, net (total) .....	107	115	86

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. Territories for species and habitat conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education for species that are listed or are candidates for listing, as threatened or endangered. These activities support recovery efforts and Habitat Conservation Plans in partnership with local governments and other interested parties to protect species. Appropriations to this account have been financed by both the Land and Water Conservation Fund and the Cooperative Endangered Species Conservation Fund. The latter is an unavailable receipt account that receives a transfer from the General Fund of the U.S. Treasury equal to five percent of receipts deposited to the Federal Aid in Wildlife and Sport Fish Restoration accounts plus Lacey Act receipts over \$500,000. Funds made available for grants from the two accounts are subject to annual appropriations authorized by the Congress. Funding for this program is not proposed in the 2020 request and a partial cancellation of prior year balances is proposed.

Object Classification (in millions of dollars)

Identification code 014-5143-0-2-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	
12.1 Civilian personnel benefits .....	1	1	
41.0 Grants, subsidies, and contributions .....	63	56	21
94.0 Financial transfers .....	75	70	72
99.0 Direct obligations .....	140	128	93
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	141	128	93

**Employment Summary**

Identification code 014-5143-0-2-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	13	13	.....

**NATIONAL WILDLIFE REFUGE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5091-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	2	2
Receipts:			
Current law:			
1130 National Wildlife Refuge Fund .....	10	8	8
2000 Total: Balances and receipts .....	11	10	10
Appropriations:			
Current law:			
2101 National Wildlife Refuge Fund .....	-9	-8	-8
2103 National Wildlife Refuge Fund .....	-1	-1	-1
2132 National Wildlife Refuge Fund .....	1	1	.....
2199 Total current law appropriations .....	-9	-8	-9
2999 Total appropriations .....	-9	-8	-9
5099 Balance, end of year .....	2	2	1

**Program and Financing** (in millions of dollars)

Identification code 014-5091-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Expenses for sales .....	18	3	3
0003 Payments to counties .....	2	18	9
0900 Total new obligations, unexpired accounts .....	20	21	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	.....
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	8	8
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260 Appropriations, mandatory (total) .....	9	8	9
1900 Budget authority (total) .....	22	21	9
1930 Total budgetary resources available .....	27	28	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	20	21	12
3020 Outlays (gross) .....	-20	-21	-10
3050 Unpaid obligations, end of year .....	1	1	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	13	.....
Mandatory:			
4090 Budget authority, gross .....	9	8	9
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6	7	7
4101 Outlays from mandatory balances .....	1	1	3
4110 Outlays, gross (total) .....	7	8	10
4180 Budget authority, net (total) .....	22	21	9
4190 Outlays, net (total) .....	20	21	10

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes the Service to make payments to counties in which Fish and Wildlife Service fee lands are located, from the revenues resulting from the sale of products from Service lands, less expenses for producing the revenue and activities related to revenue sharing. The 2020 Budget does not propose to supplement revenues with discretionary funding.

**Object Classification** (in millions of dollars)

Identification code 014-5091-0-2-806	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	18	19	10
99.0 Direct obligations .....	20	21	12
99.9 Total new obligations, unexpired accounts .....	20	21	12

**Employment Summary**

Identification code 014-5091-0-2-806	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

**RECREATION ENHANCEMENT FEE PROGRAM, FWS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5252-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Recreation Enhancement Fee, Fish and Wildlife Service .....	8	5	5
2000 Total: Balances and receipts .....	8	5	5
Appropriations:			
Current law:			
2101 Recreation Enhancement Fee Program, FWS .....	-8	-5	-5
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014-5252-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Recreation Enhancement Fee Program .....	6	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	12	11
1011 Unobligated balance transfer from other acct [014-5110] .....	1	.....	.....
1050 Unobligated balance (total) .....	10	12	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	8	5	5
1930 Total budgetary resources available .....	18	17	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	11	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	6	6	6
3020 Outlays (gross) .....	-6	-6	-6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5	3	3
4101 Outlays from mandatory balances .....	1	3	3

RECREATION ENHANCEMENT FEE PROGRAM, FWS—Continued

Program and Financing—Continued

Identification code 014-5252-0-2-303	2018 actual	2019 est.	2020 est.
4110 Outlays, gross (total) .....	6	6	6
4180 Budget authority, net (total) .....	8	5	5
4190 Outlays, net (total) .....	6	6	6

The Federal Lands Recreation Enhancement Act (FLREA) was passed on December 8, 2004, as part of the Omnibus Appropriations bill for 2005. Approximately 164 Fish and Wildlife Service sites collect entrance fees and other receipts. All receipts are deposited into a recreation fee account of which at least 80 percent is returned to the collecting site.

The recreation fee program demonstrates the feasibility of user generated cost recovery for the operation and maintenance of recreation areas, visitor services improvements, and habitat enhancement projects on Federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address maintenance needs, enhance resource protection, and cover the costs of collection. Recreation fees are often used at Service sites to fund student interns and for various youth programs focusing on hunting, fishing, wildlife observation, wildlife photography, environmental education, and environmental interpretation. The Administration proposes a two-year extension of FLREA in appropriations language and legislation to reauthorize this authority currently set to expire on September 30, 2020.

Object Classification (in millions of dollars)

Identification code 014-5252-0-2-303	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1	1	1
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	6	6	6

Employment Summary

Identification code 014-5252-0-2-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	30	30	30

FEDERAL AID IN WILDLIFE RESTORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5029-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	864	728	788
Receipts:			
Current law:			
1110 Excise Taxes, Federal Aid to Wildlife Restoration Fund .....	674	744	763
1140 Earnings on Investments, Federal Aid to Wildlife Restoration Fund .....	19	45	45
1199 Total current law receipts .....	693	789	808
1999 Total receipts .....	693	789	808
2000 Total: Balances and receipts .....	1,557	1,517	1,596
Appropriations:			
Current law:			
2101 Federal Aid in Wildlife Restoration .....	-829	-719	-789
2103 Federal Aid in Wildlife Restoration .....	-55	-55	-45
2132 Federal Aid in Wildlife Restoration .....	55	45	.....
2199 Total current law appropriations .....	-829	-729	-834
2999 Total appropriations .....	-829	-729	-834
5099 Balance, end of year .....	728	788	762

Program and Financing (in millions of dollars)

Identification code 014-5029-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Multi-state conservation grant program .....	3	3	3
0004 Administration .....	11	12	12
0005 Wildlife restoration grants .....	844	779	810
0006 NAWCF (interest used for grants) .....	8	16	36
0007 Section 10 hunter education .....	8	8	8
0900 Total new obligations, unexpired accounts .....	874	818	869
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	423	430	403
1021 Recoveries of prior year unpaid obligations .....	52	62	60
1050 Unobligated balance (total) .....	475	492	463
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	829	719	789
1203 Appropriation (previously unavailable) .....	55	55	45
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-55	-45	.....
1260 Appropriations, mandatory (total) .....	829	729	834
1930 Total budgetary resources available .....	1,304	1,221	1,297
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	430	403	428
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	957	1,034	995
3010 New obligations, unexpired accounts .....	874	818	869
3020 Outlays (gross) .....	-745	-795	-823
3040 Recoveries of prior year unpaid obligations, unexpired .....	-52	-62	-60
3050 Unpaid obligations, end of year .....	1,034	995	981
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	957	1,034	995
3200 Obligated balance, end of year .....	1,034	995	981
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	829	729	834
Outlays, gross:			
4100 Outlays from new mandatory authority .....	207	194	208
4101 Outlays from mandatory balances .....	538	601	615
4110 Outlays, gross (total) .....	745	795	823
4180 Budget authority, net (total) .....	829	729	834
4190 Outlays, net (total) .....	745	795	823
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,140	2,102	2,096
5001 Total investments, EOY: Federal securities: Par value .....	2,102	2,096	2,081

The Federal Aid in Wildlife Restoration Act (16 U.S.C. 669 et seq.), also known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, hunter education and safety, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from an excise tax on sporting arms and ammunition, handguns, and a tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act and authorizes a Multistate Conservation Grant Program, as well as the Firearm and Bow Hunter Education and Safety Program that provides grants to the States.

Object Classification (in millions of dollars)

Identification code 014-5029-0-2-303	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1

25.2	Other services from non-Federal sources .....	1	1	
25.3	Other goods and services from Federal sources .....	3	3	3
32.0	Land and structures .....	8	6	6
41.0	Grants, subsidies, and contributions .....	854	799	850
99.9	Total new obligations, unexpired accounts .....	874	818	869

**Employment Summary**

Identification code 014-5029-0-2-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	50	50	50

**COASTAL IMPACT ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 014-5579-0-2-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administration .....	2		
0900 Total new obligations, unexpired accounts .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	20	20
1930 Total budgetary resources available .....	22	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 New obligations, unexpired accounts .....	2		
3020 Outlays (gross) .....	-1	-1	
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	
3200 Obligated balance, end of year .....	1		

**Budget authority and outlays, net:**

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	1	

The Energy Policy Act (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed each year to coastal States that have submitted approved coastal impact assistance plans. The formula for distribution is based on the amount of qualified OCS revenues generated off the coastline of each producing State. In addition, 35 percent of each State's allocable share is to be distributed to coastal political subdivisions based on population, coastline, and distance to applicable OCS leases. In 2011, administration of this program was transferred from the Bureau of Ocean Energy Management, Regulation, and Enforcement to the Fish and Wildlife Service.

**Object Classification** (in millions of dollars)

Identification code 014-5579-0-2-306	2018 actual	2019 est.	2020 est.
25.1 Direct obligations: Advisory and assistance services .....	1		
99.0 Direct obligations .....	1		
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	2		

**Employment Summary**

Identification code 014-5579-0-2-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**MISCELLANEOUS PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-9927-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, Fish and Wildlife Service ....	4	4	4
2000 Total: Balances and receipts .....	4	4	4
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Appropriations .....	-4	-4	-4
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014-9927-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous Permanents .....	4	4	4

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-4	-4	-4
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

Mandatory:			
Budget authority, gross:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	2
4101 Outlays from mandatory balances .....	3	2	2
4110 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

*Operation and maintenance of quarters.*—Revenue from the rental of Government quarters is deposited in this account for use in the operation and maintenance of such quarters for the Fish and Wildlife Service, pursuant to Public Law 98-473, Section 320; 98 Stat. 1874, as amended.

*Proceeds from sales, water resources development projects.*—Receipts collected from the sale of timber and crops from National Wildlife Refuge System lands leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat, 16 U.S.C. 460(d).

*Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.*—Under the Truckee-Carson Pyramid Lake Water Rights Settlement Act, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues and donations from non-Federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect the Pyramid Lake fishery. Revenues received from the Bureau of Reclamation's

MISCELLANEOUS PERMANENT APPROPRIATIONS—Continued

Washoe Project in excess of operation and maintenance costs for Stampede Reservoir are available without further appropriation. Donations made for express purposes and State cost-sharing funds are available without further appropriation. The Secretary of the Interior is also authorized to deposit proceeds from the sale of certain lands and interests in lands into the Pyramid Lake Fish and Wildlife Fund.

*Community Partnership Enhancement.*—Under the National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act (P.L. 105–242, dated October 5, 1998), the Service is authorized to enter into cooperative agreements with nonprofit organizations, academic institutions, or State and local governments to construct, operate, maintain, or improve refuge facilities and services, and to promote volunteer outreach and education programs.

Object Classification (in millions of dollars)			
Identification code 014–9927–0–2–302	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	3	4	4
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	4	4	4

Employment Summary

Identification code 014–9927–0–2–302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

Trust Funds

SPORT FISH RESTORATION

Program and Financing (in millions of dollars)

Identification code 014–8151–0–7–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to States for sport fish restoration .....	373	403	408
0003 North American wetlands conservation grants .....	17	17	17
0004 Coastal wetlands conservation grants .....	21	19	19
0006 Administration .....	12	12	12
0007 National communication & outreach .....	12	12	12
0009 Multi-State conservation activities .....	3	3	3
0010 Marine Fisheries Commissions & Boating Council .....	1	1	1
0011 Boating Infrastructure Improvement .....	23	28	28
0900 Total new obligations, unexpired accounts .....	462	495	500
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	191	206	202
1021 Recoveries of prior year unpaid obligations .....	38	40	40
1050 Unobligated balance (total) .....	229	246	242
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	632	649	647
1203 Appropriation (previously unavailable) .....	30	29	28
1220 Appropriations transferred to other accts [096–8333] .....	–80	–82	–82
1220 Appropriations transferred to other accts [070–8149] .....	–114	–117	–117
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–29	–28	
1260 Appropriations, mandatory (total) .....	439	451	476
1930 Total budgetary resources available .....	668	697	718
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	206	202	218
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	496	497	506
3010 New obligations, unexpired accounts .....	462	495	500

3020	Outlays (gross) .....	–423	–446	–466
3040	Recoveries of prior year unpaid obligations, unexpired .....	–38	–40	–40
3050	Unpaid obligations, end of year .....	497	506	500
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	496	497	506
3200	Obligated balance, end of year .....	497	506	500

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross .....	439	451	476
Outlays, gross:				
4100	Outlays from new mandatory authority .....	132	144	142
4101	Outlays from mandatory balances .....	291	302	324
4110	Outlays, gross (total) .....	423	446	466
4180	Budget authority, net (total) .....	439	451	476
4190	Outlays, net (total) .....	423	446	466

The Federal Aid in Sport Fish Restoration Act, also known as the Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.), created a fishery resources, conservation, and restoration program funded by excise taxes on fishing equipment and certain other sport fish related products and fuel.

The Wildlife and Sport Fish Restoration Programs Improvement Act (P.L. 106–408) amends the Dingell-Johnson Sport Fish Restoration Act and authorizes a Multistate Conservation Grant Program. It also provides funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

The Sport Fish Restoration Act specifies that the net deposits made into the Sport Fish Restoration and Boating Safety Trust Fund, minus the distributions for administrative expenses for the Fish and Wildlife Service and the United States Coast Guard, special commissions, and the Boating Council, be distributed to support the following:

*The Coastal Wetlands Planning, Protection, and Restoration Act (16 U.S.C. 3951 et seq.)*.—18.673 percent of net deposits, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, are to be made available and distributed as follows: 70 percent to the U.S. Army Corps of Engineers for priority project and conservation planning activities in Louisiana; 15 percent to the Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the Fish and Wildlife Service for wetlands conservation projects per the North American Wetlands Conservation Act (16 U.S.C. 4407).

*Boating Safety Programs.*—17.315 percent of net deposits are to be made available to the United States Coast Guard for State recreational boating safety programs.

*Boating Infrastructure Improvement.*—Four percent of net deposits are to be made available to the Secretary of the Interior to make grants to 1) States, as determined through a competitive award process, for the development and maintenance of facilities for transient non-trailerable recreational vessels 26 feet or longer, or 2) States, Commonwealths, the District of Columbia and Territories, as determined through a competitive award process, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities, as well as for educational programs on proper disposal of sewage. Not more than 75 percent of the four percent shall be available for grants under either of the award processes referenced in this paragraph.

*National Outreach and Communications Programs.*—Two percent of net deposits are to be made available to the Secretary of the Interior to develop national and State outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources.

*Grants to States.*—58.012 percent of net deposits are provided to the States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, acquisition and improvement of fish habitat, and provision of access for public use and \$3 million is reserved for Multistate Conservation Activities.

**Object Classification** (in millions of dollars)

Identification code 014-8151-0-7-303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	449	482	487
99.0 Direct obligations .....	461	495	500
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	462	495	500

**Employment Summary**

Identification code 014-8151-0-7-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	58	58	58

**CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-8216-0-7-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1130 Deposits, Contributed Funds, Fish and Wildlife Service .....	4	5	5
2000 Total: Balances and receipts .....	4	5	5
<b>Appropriations:</b>			
Current law:			
2101 Contributed Funds .....	-4	-5	-5
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014-8216-0-7-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contributed Funds .....	3	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	10	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	5	5
1930 Total budgetary resources available .....	13	15	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	11	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 New obligations, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-4	-4	-5
3050 Unpaid obligations, end of year .....	1	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4101 Outlays from mandatory balances .....	3	3	4
4110 Outlays, gross (total) .....	4	4	5
4180 Budget authority, net (total) .....	4	5	5
4190 Outlays, net (total) .....	4	4	5

Donated funds support activities such as endangered species projects, refuge and fish hatchery operations and maintenance, and migratory bird conservation and invasive species mitigation projects.

**Object Classification** (in millions of dollars)

Identification code 014-8216-0-7-302	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	1	1	1
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	2	3	3
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	4	4

**Employment Summary**

Identification code 014-8216-0-7-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18

**ADMINISTRATIVE PROVISIONS**

*The United States Fish and Wildlife Service may carry out the operations of Service programs by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities. Appropriations and funds available to the United States Fish and Wildlife Service shall be available for repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management, and investigation of fish and wildlife resources: Provided, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards: Provided further, That the Service may accept donated aircraft as replacements for existing aircraft: Provided further, That notwithstanding 31 U.S.C. 3302, all fees collected for non-toxic shot review and approval shall be deposited under the heading "United States Fish and Wildlife Service—Resource Management" and shall be available to the Secretary, without further appropriation, to be used for expenses of processing of such non-toxic shot type or coating applications and revising regulations as necessary, and shall remain available until expended.*

**NATIONAL PARK SERVICE**

**Federal Funds**

**OPERATION OF THE NATIONAL PARK SYSTEM**

*For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park Service and for the general administration of the National Park Service, \$2,425,517,000, to remain available until September 30, 2021, of which \$9,700,000 shall be for planning and interagency coordination in support of Everglades restoration, \$131,961,000 shall be for maintenance, repair, or rehabilitation projects for constructed assets, and \$134,075,000 shall be for cyclic maintenance projects for constructed assets and cultural resources, and \$5,000,000 shall be for uses authorized by section 101122 of title 54, United States Code .*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## OPERATION OF THE NATIONAL PARK SYSTEM—Continued

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-1036-0-1-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
5099 Balance, end of year .....	1	1	1

## Program and Financing (in millions of dollars)

Identification code 014-1036-0-1-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Park management .....	2,287	2,342	2,235
0002 External administrative costs .....	180	180	194
0799 Total direct obligations .....	2,467	2,522	2,429
0801 Operation of the National Park System (Reimbursable) .....	34	34	34
0900 Total new obligations, unexpired accounts .....	2,501	2,556	2,463
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	101	112	69
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	102	112	69
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,478	2,478	2,426
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	36	35	35
1900 Budget authority (total) .....	2,514	2,513	2,461
1930 Total budgetary resources available .....	2,616	2,625	2,530
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	112	69	67
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	601	659	760
3010 New obligations, unexpired accounts .....	2,501	2,556	2,463
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-2,410	-2,455	-2,558
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-33		
3050 Unpaid obligations, end of year .....	659	760	665
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	601	659	760
3200 Obligated balance, end of year .....	659	760	665

## Budget authority and outlays, net:

Identification code 014-1036-0-1-303	2018 actual	2019 est.	2020 est.
Discretionary:			
4000 Budget authority, gross .....	2,514	2,513	2,461
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,923	1,910	1,870
4011 Outlays from discretionary balances .....	487	545	688
4020 Outlays, gross (total) .....	2,410	2,455	2,558
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-36	-35	-35
4180 Budget authority, net (total) .....	2,478	2,478	2,426
4190 Outlays, net (total) .....	2,374	2,420	2,523

The National Park Service administers 418 units and over 85 million acres of land in 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. This annual appropriation funds the operation of areas and facilities administered under the National Park System through two budget activities. Funds within this appropriation are available for one year, with the exception of repair and rehabilitation funds and funds for the cooperative restoration of the Everglades, which are available for two years. The 2020 Budget proposes that the full appropriation also be made available for two years. In addition, this account contains reimbursable activity such as recovery of costs associated with special use permits.

The first activity, Park Management, covers the management and operation of park areas, and is divided into five subactivities that represent functional areas:

*Resource stewardship.*—Encompasses resource management operations that provide for the protection and preservation of unique natural, cultural, and historical features of units of the National Park System.

*Visitor services.*—Includes operations that provide orientation, educational, and interpretive programs to enhance the visitor's park experience. It also provides for the efficient management of commercial services for the benefit of visitors and the protection of resources.

*Park protection.*—Provides for the protection of park resources, visitors, and staff. Funding supports law enforcement operations, including the United States Park Police, that reduce vandalism and other destruction of park resources, safety, and public health operations.

*Facility operations and maintenance.*—Encompasses the maintenance and protection of buildings, other facilities, lands, and other government investments.

*Park support.*—Covers the management, supervision, and administrative operations for park areas and partnerships.

The second activity, External Administrative Costs, funds costs which are largely determined by organizations outside the National Park Service and for which funding requirements are less flexible. The requirements for these costs are mandated in accordance with applicable laws. To promote the efficient performance of the National Park Service, these costs are most effectively managed on a centralized basis.

## Object Classification (in millions of dollars)

Identification code 014-1036-0-1-303	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	873	881	864
11.3 Other than full-time permanent .....	105	106	102
11.5 Other personnel compensation .....	59	59	59
11.9 Total personnel compensation .....	1,037	1,046	1,025
12.1 Civilian personnel benefits .....	403	404	396
13.0 Benefits for former personnel .....	2	1	3
21.0 Travel and transportation of persons .....	32	33	32
22.0 Transportation of things .....	8	10	10
23.1 Rental payments to GSA .....	57	59	60
23.2 Rental payments to others .....	10	10	10
23.3 Communications, utilities, and miscellaneous charges .....	95	100	92
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	19	20	18
25.2 Other services from non-Federal sources .....	159	166	155
25.3 Other goods and services from Federal sources .....	157	164	153
25.4 Operation and maintenance of facilities .....	162	169	158
25.5 Research and development contracts .....	1	1	1
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	40	42	39
26.0 Supplies and materials .....	112	117	109
31.0 Equipment .....	55	57	54
32.0 Land and structures .....	21	22	20
41.0 Grants, subsidies, and contributions .....	90	94	87
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,467	2,522	2,429
99.0 Reimbursable obligations .....	34	34	34
99.9 Total new obligations, unexpired accounts .....	2,501	2,556	2,463

## Employment Summary

Identification code 014-1036-0-1-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14,573	14,573	14,246
2001 Reimbursable civilian full-time equivalent employment .....	328	328	328
3001 Allocation account civilian full-time equivalent employment .....	590	590	584
3001 Allocation account civilian full-time equivalent employment .....	4	4	4

CENTENNIAL CHALLENGE

Program and Financing (in millions of dollars)

Identification code 014-2645-0-1-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Centennial Challenge .....	10	15	13
0801 Centennial Challenge (Reimbursable) .....	2	2	1
0900 Total new obligations, unexpired accounts .....	10	17	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	42	49
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	26	42	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2	1	1
1900 Budget authority (total) .....	25	24	1
1930 Total budgetary resources available .....	52	66	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	49	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	9	6
3010 New obligations, unexpired accounts .....	10	17	14
3020 Outlays (gross) .....	-3	-20	-9
3050 Unpaid obligations, end of year .....	9	6	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	9	6
3200 Obligated balance, end of year .....	9	6	11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	17	.....
4011 Outlays from discretionary balances .....	3	3	9
4020 Outlays, gross (total) .....	3	20	9
Mandatory:			
4090 Budget authority, gross .....	2	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2	-1	-1
4180 Budget authority, net (total) .....	23	23	.....
4190 Outlays, net (total) .....	1	19	8

Centennial Challenge funds are used to complete projects with partner donations. All Federal funds must be matched on a 50/50 basis, derived from non-Federal sources in the form of cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. Projects are administered under existing National Park Service partnership authorities. No funding is requested for this program in 2020. The National Park Service Centennial Act (P.L. 114-289) established a National Park Centennial Challenge Fund for signature projects and programs related to visitor services facilities and trail maintenance, funded through offsetting collections exceeding \$10 million from America the Beautiful Senior Pass.

Object Classification (in millions of dollars)

Identification code 014-2645-0-1-303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	1	1	.....
25.2 Other services from non-Federal sources .....	1	2	2
25.4 Operation and maintenance of facilities .....	5	5	3
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	1	3	4
41.0 Grants, subsidies, and contributions .....	1	3	3
99.0 Direct obligations .....	10	15	13
99.0 Reimbursable obligations .....	.....	2	1
99.9 Total new obligations, unexpired accounts .....	10	17	14

Employment Summary

Identification code 014-2645-0-1-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	15	.....

VISITOR EXPERIENCE IMPROVEMENTS FUND

Program and Financing (in millions of dollars)

Identification code 014-4488-0-3-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Visitor Experience Improvements Fund .....	.....	28	29
0900 Total new obligations, unexpired accounts (object class 25.2) .....	.....	28	29
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	.....	.....	1
1221 Appropriations transferred from other acct [014-9924] .....	.....	20	20
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	.....	-1	.....
1260 Appropriations, mandatory (total) .....	.....	19	21
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	9	9
1900 Budget authority (total) .....	.....	28	30
1930 Total budgetary resources available .....	.....	28	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	7
3010 New obligations, unexpired accounts .....	.....	28	29
3020 Outlays (gross) .....	.....	-21	-30
3050 Unpaid obligations, end of year .....	.....	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	7
3200 Obligated balance, end of year .....	.....	7	6
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	28	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	21	23
4101 Outlays from mandatory balances .....	.....	.....	7
4110 Outlays, gross (total) .....	.....	21	30
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	.....	-9	-9
4180 Budget authority, net (total) .....	.....	19	21
4190 Outlays, net (total) .....	.....	12	21
<b>Memorandum (non-add) entries:</b>			
5096 Unexpired unavailable balance, SOY: Appropriations .....	.....	.....	1
5098 Unexpired unavailable balance, EOY: Appropriations .....	.....	1	.....

The Visitor Experience Improvement Authority (VEIA) Revolving Fund provides for the administration of commercial services contracts, and related professional services contracts, for the operation and expansion of commercial visitor facilities and visitor services programs. This includes expenses necessary for the management, improvement, enhancement, operation, construction, and maintenance of commercial visitor services facilities. Funds will also be used to make payments for possessory interest and leasehold surrender interest in existing commercial services contracts awarded under separate authorities. VEIA is designated as a revolving fund pursuant to Title VII of the National Park Service Centennial Act of 2016 (P.L. 114-289). The authority provides the National Park Service with the tools to improve commercial visitor facilities and services.

## NATIONAL RECREATION AND PRESERVATION

For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, and grant administration, not otherwise provided for, \$32,337,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 014–1042–0–1–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Natural programs .....	14	14	11
0003 Cultural programs .....	24	25	19
0005 Grant administration .....	2	2	.....
0006 International park affairs .....	2	2	1
0008 Heritage partnership programs .....	20	20	.....
0799 Total direct obligations .....	62	63	31
0801 National Recreation and Preservation (Reimbursable) .....	3	4	4
0900 Total new obligations, unexpired accounts .....	65	67	35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	7	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	64	64	32
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	4	4
1900 Budget authority (total) .....	68	68	36
1930 Total budgetary resources available .....	73	75	44
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	7	8	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	48	51
3010 New obligations, unexpired accounts .....	65	67	35
3020 Outlays (gross) .....	-65	-64	-56
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	48	51	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	48	51
3200 Obligated balance, end of year .....	48	51	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	68	68	36
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	46	25
4011 Outlays from discretionary balances .....	31	18	31
4020 Outlays, gross (total) .....	65	64	56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-4	-4	-4
4040 Offsets against gross budget authority and outlays (total) .....	-4	-4	-4
4180 Budget authority, net (total) .....	64	64	32
4190 Outlays, net (total) .....	61	60	52

The National Recreation and Preservation appropriation covers a broad range of activities relating to outdoor recreation planning, preservation of natural, cultural and historic resources, and environmental compliance. These programs provide a central point at the Federal level for recreation and preservation planning; the coordination of Federal and State policies, procedures and guidelines; and the administration of technical and financial assistance to international, Federal, State, and local governments and private organizations. The 2020 Budget proposes that the appropriation be made available for two years. This appropriation is comprised of the following six budget activities:

**Natural Programs.**—Increases river and trail opportunities through Rivers, Trails, and Conservation Assistance, State and local technical assistance and Chesapeake Bay Gateways and Water Trails grants; creates river con-

servation and recreational opportunities that are compatible with continuing and future operations of hydropower facilities, fulfills National Park Service responsibilities under the Federal Power Act, and protects park resources through the Hydropower Recreation Assistance Program; manages the National Natural Landmark program; and provides technical assistance to State and local governments and transfers surplus real property to local governments for recreation uses. The 2020 Budget does not request funding for Chesapeake Bay Gateways and Water Trails grants.

**Cultural Programs.**—Manages the National Register of Historic Places; reviews and certifies applications for Federal Tax Credits for Historic Preservation as a reimbursable activity; conducts cultural resources management planning through the National Historic Landmarks program, the Historic American Buildings Survey, the Historic American Engineering Record and the Historic American Landscapes Survey programs; and advances the application of science and technology in historic preservation and provides information distribution and skills training in the preservation and conservation of the Nation's significant historic and cultural resources through the National Center for Preservation Technology and Training. This program activity also supports the American Battlefield Protection Program Assistance Grants program, the Japanese American Confinement Site Grants program, the Native American Graves Protection and Repatriation Grants program, and the American Indian and Native Hawaiian Art & Culture Grants. The 2020 Budget does not request funding for these grants. Grant administration is proposed for transfer to this activity in the 2020 Budget.

**Environmental Compliance and Review.**—Provides review and commentary on environmental impact statements, Federal licensing, permit applications, and other actions that may impact areas of National Park Service jurisdiction.

**Grants Administration.**—Provides administrative expenses associated with the Historic Preservation Fund grant programs, the Native American Graves Protection and Repatriation Grants program, the Japanese American Confinement Sites Grants, and the American Battlefield Protection Program Assistance Grants. The 2020 Budget proposes to transfer Grants Administration from its own activity to the Cultural Programs activity as a separate program component.

**International Park Affairs.**—Coordinates international assistance programs and the exchange and support functions that complement the Service's domestic role.

**Heritage Partnership Programs.**—Provides financial and technical assistance to Congressionally designated national heritage areas, managed by private or State organizations to promote the conservation of natural, historic, scenic, and cultural resources. The 2020 Budget does not request funding for financial assistance for this program.

## Object Classification (in millions of dollars)

Identification code 014–1042–0–1–303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	19	19	18
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	20	20	19
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	.....
41.0 Grants, subsidies, and contributions .....	30	30	1
99.0 Direct obligations .....	62	63	31
99.0 Reimbursable obligations .....	3	4	4
99.9 Total new obligations, unexpired accounts .....	65	67	35

## Employment Summary

Identification code 014–1042–0–1–303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	207	207	190

2001 Reimbursable civilian full-time equivalent employment .....	17	17	17
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URBAN PARK AND RECREATION FUND

The Urban Park Recreation Recovery Act of 1978 authorizes matching grants and technical assistance to eligible economically distressed urban communities to revitalize and improve recreation opportunities. The program provides direct Federal grants to local governments to rehabilitate existing indoor and outdoor recreation facilities; to demonstrate innovative ways to enhance park and recreation opportunities; and to develop local Recovery Action Plans to identify needs, priorities and strategies for revitalization of the total recreation system. Last funded in 2004, minimal balances remain.

CONSTRUCTION

For construction, improvements, repair, or replacement of physical facilities, and compliance and planning for programs and areas administered by the National Park Service, \$246,333,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, for any project initially funded in fiscal year 2020 with a future phase indicated in the National Park Service 5-Year Line Item Construction Plan, a single procurement may be issued which includes the full scope of the project: Provided further, That the solicitation and contract shall contain the clause availability of funds found at 48 CFR 52.232-18: Provided further, That National Park Service Donations, Park Concessions Franchise Fees, and Recreation Fees may be made available for the cost of adjustments and changes within the original scope of effort for projects funded by the National Park Service Construction appropriation: Provided further, That the Secretary of the Interior shall notify the Committees on Appropriations, in accordance with current reprogramming thresholds, prior to making any charges authorized under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-1039-0-1-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Line item construction and maintenance .....	181	166	158
0002 Special programs .....	17	25	25
0003 Construction planning .....	13	15	15
0005 Construction program management and operations .....	40	44	44
0006 Management planning .....	11	11	11
0007 Restoration of Federal Assets (Hurricane Supplemental P.L. 115-123) .....	14	44	90
0799 Total direct obligations .....	276	305	343
0801 Construction (and Major Maintenance) (Reimbursable) .....	166	125	125
0900 Total new obligations, unexpired accounts .....	442	430	468
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	322	612	720
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	319	598	.....
1021 Recoveries of prior year unpaid obligations .....	11	.....	.....
1050 Unobligated balance (total) .....	333	612	720
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	567	360	246
Appropriations, mandatory:			
1200 Appropriation .....	20	30	.....
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1	-2	.....
1260 Appropriations, mandatory (total) .....	19	28	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	96	118	118
1701 Change in uncollected payments, Federal sources .....	39	32	32
1750 Spending auth from offsetting collections, disc (total) .....	135	150	150
1900 Budget authority (total) .....	721	538	396
1930 Total budgetary resources available .....	1,054	1,150	1,116

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	612	720	648
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	237	342	347
3010 New obligations, unexpired accounts .....	442	430	468
3020 Outlays (gross) .....	-326	-425	-511
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3050 Unpaid obligations, end of year .....	342	347	304
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-147	-186	-218
3070 Change in uncollected pymts, Fed sources, unexpired .....	-39	-32	-32
3090 Uncollected pymts, Fed sources, end of year .....	-186	-218	-250
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	90	156	129
3200 Obligated balance, end of year .....	156	129	54

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	702	510	396
Outlays, gross:			
4010 Outlays from new discretionary authority .....	86	174	157
4011 Outlays from discretionary balances .....	240	235	334
4020 Outlays, gross (total) .....	326	409	491
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-60	-66	-66
4033 Non-Federal sources .....	-36	-52	-52
4040 Offsets against gross budget authority and outlays (total) .....	-96	-118	-118
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-39	-32	-32
4070 Budget authority, net (discretionary) .....	567	360	246
4080 Outlays, net (discretionary) .....	230	291	373
Mandatory:			
4090 Budget authority, gross .....	19	28	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	6	.....
4101 Outlays from mandatory balances .....	.....	10	20
4110 Outlays, gross (total) .....	.....	16	20
4180 Budget authority, net (total) .....	586	388	246
4190 Outlays, net (total) .....	230	307	393

The Construction appropriation provides support to several National Park Service mission goals, including preserving park resources, providing for visitor enjoyment, and improving organizational effectiveness. In addition, this account contains activity related to reimbursable agreements.

The Construction appropriation is composed of five budget activities: *Line item construction.*—This activity provides for the construction, rehabilitation, and replacement of facilities needed to accomplish the management objectives approved for each park.

*Special programs.*—This activity includes Emergency and Unscheduled Projects, Employee Housing, Dam Safety, and Equipment Replacement.

*Construction planning.*—This activity includes the project planning function to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

*Construction program management and operations.*—This activity provides centralized design and engineering management services, as well as contracting services for park construction projects.

*Management planning.*—Under this activity, funding is used to prepare and update Unit Management Plans. The plans guide National Park Service actions for the protection, use, development, and management of each park unit. Funding also is used to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System and for environmental impact planning and compliance.

Object Classification (in millions of dollars)

Identification code 014-1039-0-1-303	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	24	24	22
11.3 Other than full-time permanent .....	3	5	3
11.5 Other personnel compensation .....	3	1	1

CONSTRUCTION—Continued  
Object Classification—Continued

Identification code 014–1039–0–1–303	2018 actual	2019 est.	2020 est.
11.8 Special personal services payments	1		
11.9 Total personnel compensation	31	30	26
12.1 Civilian personnel benefits	10	10	9
21.0 Travel and transportation of persons	4	3	2
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.2 Other services from non-Federal sources	52	66	74
25.2 Other services from non-Federal sources (Allocation)	1		3
25.3 Other goods and services from Federal sources	17	19	27
25.4 Operation and maintenance of facilities	81	92	125
25.7 Operation and maintenance of equipment	2	2	3
26.0 Supplies and materials	2	2	3
31.0 Equipment	13	15	17
32.0 Land and structures	21	24	31
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	40	40	20
99.0 Direct obligations	276	305	343
99.0 Reimbursable obligations	166	125	125
99.9 Total new obligations, unexpired accounts	442	430	468

Employment Summary

Identification code 014–1039–0–1–303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	291	328	273
2001 Reimbursable civilian full-time equivalent employment	438	438	438
3001 Allocation account civilian full-time equivalent employment	105	105	105

LAND ACQUISITION AND STATE ASSISTANCE

For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, \$14,828,000, to be derived from the Land and Water Conservation Fund and to remain available until expended, of which \$5,000,000 shall be for the American Battlefield Protection Program Grants as authorized by Chapter 3081 of title 54, United States Code: Provided, That of the unobligated balances available under this heading, \$10,000,000 are hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014–5035–0–2–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Land acquisition	33	29	23
0002 Land acquisition administration	9	9	9
0004 State grant administration	4	4	3
0005 Grants to States	115	111	69
0900 Total new obligations, unexpired accounts	161	153	104
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	257	378	505
1001 Discretionary unobligated balance brought fwd, Oct 1	251	291	
1021 Recoveries of prior year unpaid obligations	10		
1050 Unobligated balance (total)	267	378	505
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (LWCF)	181	181	15
1131 Unobligated balance of appropriations permanently reduced			–10
1160 Appropriation, discretionary (total)	181	181	5
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	67	76	113

1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–4	–5	
1260 Appropriations, mandatory (total)	63	71	113
Contract authority, mandatory:			
1600 Contract authority	30	30	
1621 Contract authority temporarily reduced	–2	–2	
1640 Contract authority, mandatory (total)	28	28	
1900 Budget authority (total)	272	280	118
1930 Total budgetary resources available	539	658	623
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	378	505	519
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	203	259	294
3010 New obligations, unexpired accounts	161	153	104
3020 Outlays (gross)	–95	–118	–149
3040 Recoveries of prior year unpaid obligations, unexpired	–10		
3050 Unpaid obligations, end of year	259	294	249
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	203	259	294
3200 Obligated balance, end of year	259	294	249
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	181	181	5
Outlays, gross:			
4010 Outlays from new discretionary authority	9	26	1
4011 Outlays from discretionary balances	85	78	111
4020 Outlays, gross (total)	94	104	112
Mandatory:			
4090 Budget authority, gross	91	99	113
Outlays, gross:			
4100 Outlays from new mandatory authority		4	6
4101 Outlays from mandatory balances	1	10	31
4110 Outlays, gross (total)	1	14	37
4180 Budget authority, net (total)	272	280	118
4190 Outlays, net (total)	95	118	149
<b>Memorandum (non-add) entries:</b>			
5052 Obligated balance, SOY: Contract authority	10	38	66
5053 Obligated balance, EOY: Contract authority	38	66	66
5099 Unexpired unavailable balance, SOY: Contract authority	10	12	14
5100 Unexpired unavailable balance, EOY: Contract authority	12	14	14

The Land Acquisition and State Assistance appropriation uses funding derived from the Land and Water Conservation Fund to support National Park Service land acquisition activities and provide grants to States for the purchase and development of land for outdoor recreation activities. The appropriation is composed of the following budget activities:

**Acquisition Management.**—Provides for the administration of land acquisitions throughout the National Park System, ensuring compliance with existing guidelines and laws and provides for the administration of American Battlefield Protection Program Acquisition Grants.

**Federal land acquisition.**—Provides for the acquisition of land and interests in land to preserve and protect, for public use and enjoyment, the historic, scenic, natural, and recreational values of congressionally authorized areas within the National Park System. Funds are also used for matching grants for the purchase of Revolutionary War, the War of 1812, and Civil War Battlefield lands by non-Federal entities. To focus resources on current Department of Interior lands, the 2020 Budget does not request funding for land acquisition projects and proposes a partial cancellation of prior year balances.

**State conservation grants administration.**—Provides for the administration of matching grants to States and through States to local governments, for the acquisition and development of public outdoor recreation areas and facilities. Appropriated funding for this program is not requested for 2020 in anticipation of estimated increases in the Gulf of Mexico Energy Security Act (GOMESA) lease revenue.

**State conservation grants.**—Provides matching grants to States and local units of government for the acquisition and development of land and facilities that provide the public access to new opportunities to engage in outdoor recreation. The program includes both traditional formula and competitive

grant programs for States. Appropriated funding for this program is not requested for 2020 in anticipation of estimated increases in GOMESA lease revenue.

The Gulf of Mexico Energy Security Act of 2006 (P.L. 109–432) allows a portion of the revenue collected from certain oil and gas leases in the Gulf of Mexico Outer Continental Shelf (OCS) to be deposited to the Land and Water Conservation Fund and distributed to States in accordance with the Land and Water Conservation Act of 1965. The National Park Service portion of the revenue is 12.5 percent of total qualified OCS revenues. These new OCS receipts became available for expenditure as mandatory funding beginning in 2009. The Consolidated Appropriations Act, 2018 (P.L. 115–141) permits the use of up to three percent of the amounts authorized to be disbursed for costs of administration.

**Object Classification** (in millions of dollars)

Identification code 014–5035–0–2–303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	8	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	9	9	6
12.1 Civilian personnel benefits .....	3	3	2
25.2 Other services from non-Federal sources .....	13	6	6
32.0 Land and structures .....	11	24	19
41.0 Grants, subsidies, and contributions .....	125	111	71
99.9 Total new obligations, unexpired accounts .....	161	153	104

**Employment Summary**

Identification code 014–5035–0–2–303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	85	88	59

**RECREATION FEE PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–9928–0–2–303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Recreation Enhancement Fee, National Park System .....	304	310	310
1130 Transportation Fees, Transportation System Fund .....	28	29	30
1199 Total current law receipts .....	332	339	340
1999 Total receipts .....	332	339	340
2000 Total: Balances and receipts .....	333	340	341
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Recreation Fee Permanent Appropriations .....	–332	–339	–339
2103 Recreation Fee Permanent Appropriations .....	–2	–2	–2
2132 Recreation Fee Permanent Appropriations .....	2	2	.....
2199 Total current law appropriations .....	–332	–339	–341
2999 Total appropriations .....	–332	–339	–341
5099 Balance, end of year .....	1	1	.....

**Program and Financing** (in millions of dollars)

Identification code 014–9928–0–2–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Recreational Fee Program .....	298	314	314
0002 Transportation systems fund .....	24	40	30
0799 Total direct obligations .....	322	354	344
0801 Reimbursable program activity .....	10	10	10
0900 Total new obligations, unexpired accounts .....	332	364	354

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	314	326	316
1010 Unobligated balance transfer to other accts [012–9921] .....	–3	.....	.....
1010 Unobligated balance transfer to other accts [014–5252] .....	–1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	6	5	5
1050 Unobligated balance (total) .....	316	331	321
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	332	339	339
1203 Appropriation (previously unavailable) .....	2	2	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–2	–2	.....
1260 Appropriations, mandatory (total) .....	332	339	341
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	10	10	10
1900 Budget authority (total) .....	342	349	351
1930 Total budgetary resources available .....	658	680	672
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	326	316	318

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	143	188	246
3010 New obligations, unexpired accounts .....	332	364	354
3020 Outlays (gross) .....	–281	–301	–313
3040 Recoveries of prior year unpaid obligations, unexpired .....	–6	–5	–5
3050 Unpaid obligations, end of year .....	188	246	282
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	143	188	246
3200 Obligated balance, end of year .....	188	246	282

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	342	349	351
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	78	78
4101 Outlays from mandatory balances .....	281	223	235
4110 Outlays, gross (total) .....	281	301	313
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	–10	–10	–10
4180 Budget authority, net (total) .....	332	339	341
4190 Outlays, net (total) .....	271	291	303

**Recreation Fee Program.**—The National Park Service (NPS) and other land management agencies operate a fee program that allows parks and other units to collect admission and user fees in accordance with the Federal Lands Recreation Enhancement Act (FLREA). Section 130 of Division C of the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019 (P.L. 115–245) extended FLREA through 2020. In the 2020 Budget, the Administration proposes appropriations language to extend the authorization for two years and supports reauthorization of the program.

Net proceeds are used for high-priority visitor service and facility maintenance projects throughout the National Park System. Up to 80 percent may be retained for use by the collecting park and the remainder retained for discretionary, Service-wide use by the National Park Service Director. Proceeds from the sale of the America the Beautiful passes, which allow access to all public lands that charge fees for a year, are distributed among the Federal land management agencies which offer them for sale, including the National Park Service, the Bureau of Land Management, the U.S. Fish and Wildlife Service, the Bureau of Reclamation and the U.S. Forest Service, as determined by the Secretaries of the Department of the Interior and the Department of Agriculture in accordance with Public Law 108–447. The National Park Service Centennial Act (P.L. 114–289) established in the National Park Foundation, a Second Century Endowment for NPS projects and activities, funded through offsetting collections from the first \$10 million collected in each fiscal year, generated from the America the Beautiful Senior Pass.

**Deed-restricted parks fee program.**—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in

RECREATION FEE PERMANENT APPROPRIATIONS—Continued

accordance with Public Law 105–327. This law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site, and Abraham Lincoln Birthplace National Historic Site.

*Transportation systems fund.*—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of Public Law 105–391.

*Payment for tax losses on land acquired for Grand Teton National Park.*—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d–3).

Object Classification (in millions of dollars)

Identification code 014–9928–0–2–303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	31	33	33
11.3 Other than full-time permanent .....	44	46	46
11.5 Other personnel compensation .....	5	5	5
11.9 Total personnel compensation .....	80	84	84
12.1 Civilian personnel benefits .....	21	21	22
21.0 Travel and transportation of persons .....	1	2	2
22.0 Transportation of things .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	8	11	11
24.0 Printing and reproduction .....	3	4	4
25.1 Advisory and assistance services .....	14	1	2
25.2 Other services from non-Federal sources .....	52	59	56
25.3 Other goods and services from Federal sources .....	8	14	15
25.4 Operation and maintenance of facilities .....	67	66	65
25.7 Operation and maintenance of equipment .....	5	8	8
26.0 Supplies and materials .....	13	18	18
31.0 Equipment .....	6	9	9
32.0 Land and structures .....	21	29	23
41.0 Grants, subsidies, and contributions .....	22	26	23
99.0 Direct obligations .....	322	354	344
99.0 Reimbursable obligations .....	10	10	10
99.9 Total new obligations, unexpired accounts .....	332	364	354

Employment Summary

Identification code 014–9928–0–2–303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,745	1,808	1,820

HISTORIC PRESERVATION FUND

*For expenses necessary in carrying out the National Historic Preservation Act (division A of subtitle III of title 54, United States Code), \$32,672,000, to be derived from the Historic Preservation Fund and to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–5140–0–2–303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	3,291	3,346	3,399
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Historic Preservation Fund, Rent Receipts, Outer Continental Shelf Lands .....	150	150	150
2000 Total: Balances and receipts .....	3,441	3,496	3,549
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Historic Preservation Fund .....	–97	–97	–33
<b>Special and trust fund receipts returned:</b>			
3010 Historic Preservation Fund .....	1		
3010 Historic Preservation Fund .....	1		
5099 Balance, end of year .....	3,346	3,399	3,516

Program and Financing (in millions of dollars)

Identification code 014–5140–0–2–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid .....	73	93	36
0002 Save America's Treasures grants .....	5	15	2
0003 Grants to States and Territories (Hurricane Supplemental P.L. 115–123) .....		50	
0900 Total new obligations, unexpired accounts .....	78	158	38
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	26	95	34
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	50		
1101 Appropriation (special fund, definite) HPF .....	97	97	33
1160 Appropriation, discretionary (total) .....	147	97	33
1930 Total budgetary resources available .....	173	192	67
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	95	34	29
<b>Special and non-revolving trust funds:</b>			
<b>Other balances withdrawn and returned to unappropriated receipts</b>			
1950 .....	1		
1952 Expired unobligated balance, start of year .....	1	2	2
1953 Expired unobligated balance, end of year .....	1	2	2
1954 Unobligated balance canceling .....	1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	90	97	125
3010 New obligations, unexpired accounts .....	78	158	38
3020 Outlays (gross) .....	–70	–130	–102
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	97	125	61
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	90	97	125
3200 Obligated balance, end of year .....	97	125	61
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	147	97	33
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	14	47	17
4011 Outlays from discretionary balances .....	56	83	85
4020 Outlays, gross (total) .....	70	130	102
4180 Budget authority, net (total) .....	147	97	33
4190 Outlays, net (total) .....	70	130	102

The Historic Preservation Fund appropriation includes grant programs to facilitate the preservation of the Nation's historic and cultural resources. This appropriation provides grants-in-aid to States, Territories, Indian Tribes, Historically Black Colleges and Universities, and the Save America's Treasures program. Grants-in-aid to States and local governments require a 40 percent funding match; grants to Tribes do not require matching funds. In addition to the traditional grants-in-aid described above, the account includes competitive grant programs. This includes grants for the survey and nomination of properties associated with communities currently under-represented in the National Register and as National Historic Landmarks, and grants to preserve the sites and stories of the Civil Rights Movement. Funding for grants-in-aid to Historically Black Colleges and Universities, Competitive Grants, Historic Revitalization Grants, and Save America's Treasures is not requested in the 2020 Budget.

Object Classification (in millions of dollars)

Identification code 014–5140–0–2–303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	2	2	
41.0 Grants, subsidies, and contributions .....	76	156	38
99.9 Total new obligations, unexpired accounts .....	78	158	38

**Employment Summary**

Identification code 014–5140–0–2–303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	6	2

**OTHER PERMANENT APPROPRIATIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–9924–0–2–303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	1	1
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, National Park Service .....	22	23	24
1130 Rental Payments, Park Buildings Lease and Maintenance Fund .....	10	10	11
1130 Concession Improvement Accounts Deposit .....	12	12	12
1130 User Fees for Filming and Photography on Public Lands .....	2	2	2
1130 Park Concessions Franchise Fees .....	126	129	133
1199 Total current law receipts .....	172	176	182
1999 Total receipts .....	172	176	182
2000 Total: Balances and receipts .....	174	177	183
Appropriations:			
Current law:			
2101 Other Permanent Appropriations .....	-172	-176	-181
2103 Other Permanent Appropriations .....	-1	-1	-1
2132 Other Permanent Appropriations .....		1	
2199 Total current law appropriations .....	-173	-176	-182
2999 Total appropriations .....	-173	-176	-182
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 014–9924–0–2–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operation and maintenance of quarters .....	22	29	25
0003 Park concessions franchise fees .....	142	159	149
0005 Rental Payments, Park Buildings Lease and Maintenance Fund .....	6	16	14
0006 Concessions improvements accounts .....	14	11	11
0007 Contribution for annuity benefits for USPP .....	40	43	43
0008 Filming and Photography Special Use Fee Program .....	1	3	2
0900 Total new obligations, unexpired accounts .....	225	261	244
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	210	199	137
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	212	199	137
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	44	43	43
1201 Appropriation (special or trust fund) .....	172	176	181
1203 Appropriation (previously unavailable) .....	1	1	1
1220 Appropriations transferred to other acct [014–4488] .....		-20	-20
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-1	
1260 Appropriations, mandatory (total) .....	217	199	205
1900 Budget authority (total) .....	217	199	205
1930 Total budgetary resources available .....	429	398	342
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....	199	137	98
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	67	94
3010 New obligations, unexpired accounts .....	225	261	244
3020 Outlays (gross) .....	-217	-234	-234
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	67	94	104
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	67	94

3200	Obligated balance, end of year .....	67	94	104
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	217	199	205
Outlays, gross:				
4100	Outlays from new mandatory authority .....	36	178	184
4101	Outlays from mandatory balances .....	181	56	50
4110	Outlays, gross (total) .....	217	234	234
4180	Budget authority, net (total) .....	217	199	205
4190	Outlays, net (total) .....	217	234	234

*Park concessions franchise fees.*—Franchise fees for concessioner activities in the National Park System (NPS) are deposited in this account and used for certain park operations activities in accordance with section 407 of Public Law 105–391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations. The National Park Service Centennial Act (P.L. 114–289), establishes a new concessions contracting authority within NPS, which is described under the Visitor Experience Improvements Fund account.

*Concessions improvement accounts.*—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend funds from such an account with the approval of the park superintendent for improvements to facilities that directly support concession visitor services but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

*Park buildings lease and maintenance fund.*—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of Public Law 105–391.

*Operation and maintenance of quarters.*—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

*Filming and photography special use fees.*—The National Park Service is authorized to retain fees collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Activities authorized for funding include acquisition of emergency response equipment to prevent harm to aquatic park resources from permittees and studies to quantify the impact of permittees' activities on wildlife and other natural resources of the park.

*Contributions to U.S. Park Police annuity benefits.*—Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under Public Law 85–157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before 2002, such payments were funded from appropriations made annually to the National Park Service.

*Delaware Water Gap, Route 209 Operations.*—The Delaware Water Gap National Recreation Area Improvement Act, as amended by Public Law 115–101, directs the Department of the Interior to establish a fee and permit program for the use of Highway 209 by commercial vehicles. All fees received are set aside in a special account, and made available for the administration and enforcement of the program, including registering vehicles, issuing permits and vehicle identification stickers, and personnel costs.

OTHER PERMANENT APPROPRIATIONS—Continued

Object Classification (in millions of dollars)

Identification code 014-9924-0-2-303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	12	11	11
11.3 Other than full-time permanent .....	12	11	11
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	26	24	24
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.1 Advisory and assistance services .....	16	18	17
25.2 Other services from non-Federal sources .....	94	103	103
25.3 Other goods and services from Federal sources .....	8	8	9
25.4 Operation and maintenance of facilities .....	33	41	38
25.6 Medical care .....	3		
25.7 Operation and maintenance of equipment .....	5	7	7
26.0 Supplies and materials .....	8	22	10
31.0 Equipment .....	5	5	6
32.0 Land and structures .....	11	15	12
41.0 Grants, subsidies, and contributions .....	3	4	4
42.0 Insurance claims and indemnities .....		1	1
99.9 Total new obligations, unexpired accounts .....	225	261	244

Employment Summary

Identification code 014-9924-0-2-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	433	399	414

Trust Funds

CONSTRUCTION (TRUST FUND)

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95-599, as amended, and appropriations language, which has made the contract authority and the appropriations available until expended.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9972-0-7-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Donations to National Park Service .....	46	81	51
1140 Earnings on Investments, Donations to National Park Service .....	1	1	1
1199 Total current law receipts .....	47	82	52
1999 Total receipts .....	47	82	52
2000 Total: Balances and receipts .....	47	82	52
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Trust Funds .....	-47	-82	-52
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 014-9972-0-7-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Donations to National Park Service .....	52	78	65
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	75	74	78
1021 Recoveries of prior year unpaid obligations .....	4		

1050 Unobligated balance (total) .....	79	74	78
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	47	82	52
1930 Total budgetary resources available .....	126	156	130
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	74	78	65

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	67	28	27
3010 New obligations, unexpired accounts .....	52	78	65
3020 Outlays (gross) .....	-87	-79	-92
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	28	27	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	67	28	27
3200 Obligated balance, end of year .....	28	27	

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	47	82	52
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		41	26
4101 Outlays from mandatory balances .....	87	38	66
4110 Outlays, gross (total) .....	87	79	92
4180 Budget authority, net (total) .....	47	82	52
4190 Outlays, net (total) .....	87	79	92

*National Park Service, donations.*—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (54 U.S.C. 101101), as designated by the donor when stated.

*Preservation, Birthplace of Abraham Lincoln, National Park Service.*—This Fund consists of an endowment established by the Lincoln Farm Association. The interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

Object Classification (in millions of dollars)

Identification code 014-9972-0-7-303	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	11	11	11
12.1 Civilian personnel benefits .....	2	3	3
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.2 Other services from non-Federal sources .....	6	7	7
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	19	32	27
26.0 Supplies and materials .....	3	5	3
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	1	13	8
41.0 Grants, subsidies, and contributions .....	4	5	4
42.0 Insurance claims and indemnities .....	2		
99.9 Total new obligations, unexpired accounts .....	52	78	65

Employment Summary

Identification code 014-9972-0-7-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	198	208	208

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

*In addition to other uses set forth in section 101917(c)(2) of title 54, United States Code, franchise fees credited to a sub-account shall be available for expenditure by the Secretary, without further appropriation, for use at any unit within the National Park System to extinguish or reduce liability for Possessory Interest or leasehold surrender interest. Such funds may only be used for this purpose to the extent that the benefitting unit anticipated franchise fee receipts over the term of*

the contract at that unit exceed the amount of funds used to extinguish or reduce liability. Franchise fees at the benefitting unit shall be credited to the sub-account of the originating unit over a period not to exceed the term of a single contract at the benefitting unit, in the amount of funds so expended to extinguish or reduce liability.

For the costs of administration of the Land and Water Conservation Fund grants authorized by section 105(a)(2)(B) of the Gulf of Mexico Energy Security Act of 2006 (Public Law 109-432), the National Park Service may retain up to 3 percent of the amounts which are authorized to be disbursed under such section, such retained amounts to remain available until expended.

National Park Service funds may be transferred to the Federal Highway Administration (FHWA), Department of Transportation, for purposes authorized under 23 U.S.C. 203. Transfers may include a reasonable amount for FHWA administrative support costs.

**INDIAN AFFAIRS**

BUREAU OF INDIAN AFFAIRS

**Federal Funds**

**OPERATION OF INDIAN PROGRAMS**

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 5301 et seq.), \$1,462,310,000, to remain available until September 30, 2021 except as otherwise provided herein; of which not to exceed \$8,500 may be for official reception and representation expenses; of which not to exceed \$74,734,000 shall be for welfare assistance payments: Provided, That, in cases of designated Federal disasters, the Secretary may exceed such cap for welfare assistance payments, from the amounts provided herein, to provide for disaster relief to Indian communities affected by the disaster: Provided further, That federally recognized Indian tribes and tribal organizations of federally recognized Indian tribes may use their tribal priority allocations for unmet welfare assistance costs: Provided further, That not to exceed \$43,033,000 shall remain available until expended for road maintenance, land records improvement, and the Navajo-Hopi Settlement Program: Provided further, That any forestry funds allocated to a federally recognized tribe which remain unobligated as of September 30, 2021, may be transferred during fiscal year 2022 to an Indian forest land assistance account established for the benefit of the holder of the funds within the holder's trust fund account: Provided further, That any such unobligated balances not so transferred shall expire on September 30, 2022: Provided further, That, in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel: Provided further, That the Bureau of Indian Affairs may accept transfers of funds from U.S. Customs and Border Protection to supplement any other funding available for reconstruction or repair of roads owned by the Bureau of Indian Affairs as identified on the National Tribal Transportation Facility Inventory, 23 U.S.C. 202(b)(1).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-2100-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0007 Tribal Government .....	285	304	304
0008 Human services .....	150	136	136
0009 Trust - Natural resources management .....	204	176	275
0010 Trust - Real estate services .....	121	124	124
0011 Education .....	914	915	300
0012 Public safety and justice .....	405	327	490
0013 Community and economic development .....	46	37	37
0014 Executive direction and administrative services .....	233	235	235
0799 Total direct obligations .....	2,358	2,254	1,901
0807 Operation of Indian Programs (Reimbursable) .....	274	223	211
0899 Total reimbursable obligations .....	274	223	211
0900 Total new obligations, unexpired accounts .....	2,632	2,477	2,112
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	663	778	954

1012 Unobligated balance transfers between expired and unexpired accounts .....	17	14	14
1021 Recoveries of prior year unpaid obligations .....	11	2	2
1050 Unobligated balance (total) .....	691	794	970
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,411	2,411	1,462
1131 Unobligated balance of appropriations permanently reduced .....	-8	-8	.....
1160 Appropriation, discretionary (total) .....	2,403	2,403	1,462
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	338	234	280
1701 Change in uncollected payments, Federal sources .....	-21	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	317	234	280
1900 Budget authority (total) .....	2,720	2,637	1,742
1930 Total budgetary resources available .....	3,411	3,431	2,712
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	778	954	600

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	382	376	204
3010 New obligations, unexpired accounts .....	2,632	2,477	2,112
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-2,619	-2,647	-2,080
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....	-9	.....	.....
3050 Unpaid obligations, end of year .....	376	204	234
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-191	-164	-164
3070 Change in uncollected pymts, Fed sources, unexpired .....	21	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-164	-164	-164
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	191	212	40
3200 Obligated balance, end of year .....	212	40	70

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	2,720	2,637	1,742
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,710	1,782	1,224
4011 Outlays from discretionary balances .....	909	865	856
4020 Outlays, gross (total) .....	2,619	2,647	2,080
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-329	-283	-280
4033 Non-Federal sources .....	-16	.....	.....
4040 Offsets against gross budget authority and outlays (total) ...	-345	-283	-280
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	21	.....	.....
4052 Offsetting collections credited to expired accounts .....	7	49	.....
4060 Additional offsets against budget authority only (total) .....	28	49	.....
4070 Budget authority, net (discretionary) .....	2,403	2,403	1,462
4080 Outlays, net (discretionary) .....	2,274	2,364	1,800
4180 Budget authority, net (total) .....	2,403	2,403	1,462
4190 Outlays, net (total) .....	2,274	2,364	1,800

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided to federally-recognized Indian Tribes, Alaskan Native groups, and individual American Indians and Alaska Natives, to fulfill Federal trust and treaty responsibilities and support tribal self-determination and nation building.

This account covers expenses associated with the following activities:

**Tribal Government.**—This activity promotes the sovereignty of federally-recognized Tribes by supporting and assisting them in the development and maintenance of strong and stable governments capable of administering quality programs and developing economies. This activity also provides for the maintenance of Bureau of Indian Affairs (BIA) roads and bridges.

**Human services.**—This activity provides funding for social services, welfare assistance, and Indian child welfare. The objective of this activity is to improve the quality of life for individual Indians who live on or near

OPERATION OF INDIAN PROGRAMS—Continued

Indian reservations and to help protect children, the elderly, and disabled from abuse and neglect.

*Trust: Natural resources management.*—This activity provides for the management, development, and protection of Indian trust land and natural resource assets and related treaty rights. Natural resource programs in Indian Country include agriculture, forestry, water, fish, wildlife, and parks.

*Trust: Real estate.*—This activity promotes cooperative efforts with landowners for the optimal utilization, development, and enhancement of trust and restricted Federal Indian-owned lands. The activity includes general real estate services, probate, land title and records, environmental compliance, and other trust services and rights protection.

*Education.*—This activity is proposed to move to a separate account within the Bureau of Indian Education in 2020. The Bureau of Indian Education (BIE) funded elementary and secondary school operations, other education programs for elementary-aged Indian children, tribal post-secondary schools, scholarships and adult education programs, education program management, and operations and facilities maintenance. The BIE-funded schools include 169 elementary and secondary BIE- and tribally-run schools, 14 dormitories, two post-secondary schools, and operating grants to eligible tribal colleges and universities.

*Public safety and justice.*—This activity funds law enforcement activities in Indian Country in over 25 States. Programs under this activity include investigative, police, and detention services; tribal courts; fire protection; and facilities maintenance.

*Community and economic development.*—This activity promotes the economic vitality of American Indians and Alaska Natives through Job Placement and Training, Economic Development, and Community Development. This activity also provides assistance for renewable and conventional energy and hard mineral development for the economic benefit of the Tribes and individual Indian allottees.

*Executive direction and administrative services.*—This activity supports the management of finance, budget, acquisition, and property functions, as well as information technology resources, personnel services, facilities management, payment of General Service Administration and direct rentals, and intra-governmental payments. Significant portions of Indian Affairs activities are executed under contracts or compacts with federally-recognized Tribes to run tribal and Federal programs. Funding also supports BIA or BIE oversight and technical assistance for these activities in the central and regional offices.

The 2020 Budget proposes to create a new Operation of Indian Education Programs account which includes all Bureau of Indian Education activities formerly funded in the Operation of Indian Programs account.

31.0	Equipment .....	26	26	21
32.0	Land and structures .....	1	1	1
41.0	Grants, subsidies, and contributions .....	611	588	406
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	2,358	2,254	1,901
99.0	Reimbursable obligations .....	274	223	211
99.9	Total new obligations, unexpired accounts .....	2,632	2,477	2,112

Employment Summary

Identification code 014-2100-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,314	5,304	2,938
2001 Reimbursable civilian full-time equivalent employment .....	591	597	566
3001 Allocation account civilian full-time equivalent employment .....	491	491	493

CONTRACT SUPPORT COSTS

*For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Bureau of Indian Affairs and the Bureau of Indian Education for fiscal year 2020, such sums as may be necessary, which shall be available for obligation through September 30, 2021: Provided, That, notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-2240-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0007 Tribal Government .....	265	294	253
0100 Direct program activities, subtotal .....	265	294	253
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	55		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-55		
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	3		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	262	294	286
1930 Total budgetary resources available .....	265	294	286
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			33
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	44	52	149
3010 New obligations, unexpired accounts .....	265	294	253
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-255	-197	-286
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	52	149	116
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	52	149
3200 Obligated balance, end of year .....	52	149	116
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	262	294	286
Outlays, gross:			
4010 Outlays from new discretionary authority .....	165	171	166
4011 Outlays from discretionary balances .....	90	26	120
4020 Outlays, gross (total) .....	255	197	286
4180 Budget authority, net (total) .....	262	294	286
4190 Outlays, net (total) .....	255	197	286

The Contract Support Costs (CSC) account supports Federal Government payments to tribes for the administrative costs associated with executing tribal self-determination contracts and compacts under the Indian Self-

Object Classification (in millions of dollars)

Identification code 014-2100-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	232	232	210
11.3 Other than full-time permanent .....	114	114	83
11.5 Other personnel compensation .....	28	28	26
11.9 Total personnel compensation .....	374	374	319
12.1 Civilian personnel benefits .....	126	126	109
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	16	15	12
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	21	21	21
23.2 Rental payments to others .....	15	15	15
23.3 Communications, utilities, and miscellaneous charges .....	30	27	20
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	11	11	11
25.2 Other services from non-Federal sources .....	969	892	817
25.3 Other goods and services from Federal sources .....	96	96	93
25.4 Operation and maintenance of facilities .....	12	12	11
25.5 ADP Contracts .....	1	1	1
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	12	12	12
26.0 Supplies and materials .....	31	31	26

Determination and Education Assistance Act (ISDEAA), Public Law 93–638. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts. The 2020 Budget proposes to continue to manage this account as an indefinite appropriation to ensure the availability of full funding to meet Contract Support Cost requirements for the fiscal year.

**Object Classification** (in millions of dollars)

Identification code 014–2240–0–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	261	294	253
41.0 Grants, subsidies, and contributions .....	4		
99.9 Total new obligations, unexpired accounts .....	265	294	253

**CONSTRUCTION**

(INCLUDING TRANSFER OF FUNDS)

*For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87–483; \$58,482,000, to remain available until expended: Provided, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: Provided further, That any funds provided for the Safety of Dams program pursuant to the Act of November 2, 1921 (25 U.S.C. 13) shall be made available on a nonreimbursable basis: Provided further, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any Public Law 93–638 contractor receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction: Provided further, That this appropriation may be reimbursed from the Office of the Special Trustee for American Indians appropriation for the appropriate share of construction costs for space expansion needed in agency offices to meet trust reform implementation.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–2301–0–1–452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education construction .....	134	55	55
0002 Public safety and justice construction .....	3	10	10
0003 Resource management construction .....	35	27	27
0004 Other Program Construction .....	8	8	8
0005 BOR Allocation Account .....	2	2	2
0799 Total direct obligations .....	182	102	102
0807 Construction (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts .....	184	104	104
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	256	430	712
1021 Recoveries of prior year unpaid obligations .....	2	24	24
1050 Unobligated balance (total) .....	258	454	736
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	354	354	58
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	2	8	8
1900 Budget authority (total) .....	356	362	66
1930 Total budgetary resources available .....	614	816	802

1941	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....	430	712	698
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	151	132
3010 New obligations, unexpired accounts .....	184	104	104
3020 Outlays (gross) .....	–94	–99	–142
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2	–24	–24
3050 Unpaid obligations, end of year .....	151	132	70
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	63	151	132
3200 Obligated balance, end of year .....	151	132	70

**Budget authority and outlays, net:**

4000	2018 actual	2019 est.	2020 est.
<b>Discretionary:</b>			
Budget authority, gross .....	356	362	66
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	27	89	21
4011 Outlays from discretionary balances .....	67	10	121
4020 Outlays, gross (total) .....	94	99	142
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....		–8	–8
4033 Non-Federal sources .....	–2		
4040 Offsets against gross budget authority and outlays (total) .....	–2	–8	–8
4070 Budget authority, net (discretionary) .....	354	354	58
4080 Outlays, net (discretionary) .....	92	91	134
4180 Budget authority, net (total) .....	354	354	58
4190 Outlays, net (total) .....	92	91	134

**Education construction.**—This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

**Public safety and justice construction.**—This activity provides for the planning, design, improvement, repair, replacement, and construction of law enforcement and detention center facilities on Indian lands.

**Resources management construction.**—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations.

**Other program construction.**—This activity provides for the improvement and repair of Indian Affairs' regional and agency facilities, the telecommunications system, the facilities management system, and construction program management.

The 2020 Budget proposes to create a new Education Construction account which includes all education construction activities formerly funded in the Bureau of Indian Affairs Construction account.

**Object Classification** (in millions of dollars)

Identification code 014–2301–0–1–452	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	6	7	6
11.9 Total personnel compensation .....	6	7	6
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	114	54	55
25.3 Other goods and services from Federal sources .....	2	2	2
25.4 Operation and maintenance of facilities .....	6	6	6
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
32.0 Land and structures .....	7	7	7
41.0 Grants, subsidies, and contributions .....	38	17	17
99.0 Direct obligations .....	182	102	102
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	184	104	104

**Employment Summary**

Identification code 014–2301–0–1–452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	60	61	51
2001 Reimbursable civilian full-time equivalent employment .....	9	8	8

CONSTRUCTION—Continued  
Employment Summary—Continued

Identification code 014-2301-0-1-452	2018 actual	2019 est.	2020 est.
3001 Allocation account civilian full-time equivalent employment .....	189	188	188

HIGH-HAZARD INDIAN DAM SAFETY DEFERRED MAINTENANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5637-0-2-452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		23	23
2000 Total: Balances and receipts .....		23	23
Appropriations:			
Current law:			
2134 High-Hazard Indian Dam Safety Deferred Maintenance Fund .....	23		
5099 Balance, end of year .....	23	23	23

Program and Financing (in millions of dollars)

Identification code 014-5637-0-2-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23		
1134 Appropriations precluded from obligation .....	-23		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		46	4
5001 Total investments, EOY: Federal securities: Par value .....	46	4	4

LOW-HAZARD INDIAN DAM SAFETY DEFERRED MAINTENANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5638-0-2-452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		10	10
2000 Total: Balances and receipts .....		10	10
Appropriations:			
Current law:			
2134 Low-Hazard Indian Dam Safety Deferred Maintenance Fund .....	10		
5099 Balance, end of year .....	10	10	10

Program and Financing (in millions of dollars)

Identification code 014-5638-0-2-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10		
1134 Appropriations precluded from obligation .....	-10		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		20	4
5001 Total investments, EOY: Federal securities: Par value .....	20	4	4

INDIAN IRRIGATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5639-0-2-452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		35	35
2000 Total: Balances and receipts .....		35	35
Appropriations:			
Current law:			
2134 Indian Irrigation Fund .....	35		
5099 Balance, end of year .....	35	35	35

Program and Financing (in millions of dollars)

Identification code 014-5639-0-2-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	35		
1134 Appropriations precluded from obligation .....	-35		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....		71	4
5001 Total investments, EOY: Federal securities: Par value .....	71	4	4

WHITE EARTH SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-2204-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments for White Earth Settlement .....	2	3	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	3	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1	3	2
1930 Total budgetary resources available .....	2	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	2	3	2
3020 Outlays (gross) .....	-1	-3	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	3	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	3	2
4180 Budget authority, net (total) .....	1	3	2
4190 Outlays, net (total) .....	1	3	2

The White Earth Reservation Land Settlement Act of 1985 (P.L. 99-264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation in Minnesota, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of Title 31, United States Code, section 1304.

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS  
PAYMENTS TO INDIANS

For payments and necessary administrative expenses for implementation of Indian land and water claim settlements pursuant to Public Laws 99–264, 100–580, 101–618, 111–11, 111–291, and 114–322, and for implementation of other land and water rights settlements, \$45,644,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014–2303–0–1–452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 White Earth .....	1	1	1
0025 Navajo Nation Water Resources Development Trust Fund .....	4	4	4
0027 Navajo Water Settlement .....	9	9	9
0028 Under the reporting threshold .....	1	1	1
0034 Aamodt .....	15	15	15
0035 Yurok Land Settlement .....	8	8	8
0036 Aamodt Litigation Settlement - Mandatory .....	3	3	3
0037 Blackfeet Water Settlement .....	20	5	.....
0038 Pechanga Water Settlement .....	9	5	.....
0900 Total new obligations, unexpired accounts .....	35	51	41
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	48	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	55	55	46
1900 Budget authority (total) .....	55	55	46
1930 Total budgetary resources available .....	83	103	98
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	48	52	57
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	6
3010 New obligations, unexpired accounts .....	35	51	41
3020 Outlays (gross) .....	-37	-48	-37
3050 Unpaid obligations, end of year .....	3	6	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	6
3200 Obligated balance, end of year .....	3	6	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	55	55	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	34	28	23
4011 Outlays from discretionary balances .....	3	20	14
4020 Outlays, gross (total) .....	37	48	37
4180 Budget authority, net (total) .....	55	55	46
4190 Outlays, net (total) .....	37	48	37

This account covers expenses associated with the following authorized activities.

Land settlements:

*White Earth Reservation Land Settlement Act (P.L. 99–264).*—Funds are used to investigate and verify questionable transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under Public Law 93–638, as amended, to the White Earth Reservation Business Committee.

*Yurok Land Acquisition (P.L. 100–580).*—The Act provides for the Secretary to acquire from willing sellers lands or interests in land, including rights-of-way for access to trust lands, for the Yurok Tribe or its members and such lands may be declared to be part of the Yurok reservation. The terms and conditions of this settlement were met in 2017.

*Hoopa Yurok Settlement Act (P.L. 100–580).*—The Act provides for the settlement of claims regarding reservation lands between the Hoopa

Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement. The terms and conditions of this settlement were met in 2017.

Water settlements:

*Navajo-Gallup Water Supply Project (P.L. 111–11).*—Funds will be used for the San Juan Conjunctive Use Wells and the San Juan Navajo Irrigation Project Rehabilitation as authorized by law.

*Navajo Nation Water Resources Development Trust Fund (P.L. 111–11).*—The Navajo Nation may use the amounts in the Trust Fund to investigate, construct, operate, maintain, or replace water project facilities, including facilities conveyed to the Nation and facilities owned by the United States for which the Nation is responsible for operation, maintenance, and replacement costs; and to investigate, implement, or improve a water conservation measure (including a metering or monitoring activity) necessary for the Nation to make use of a water right of the Nation under the Agreement.

*Aamodt Litigation Settlement (P.L. 111–291).*—Funds will be used for payments and projects required by the settlement as authorized by law. The terms and conditions of this settlement were met in 2017.

*Pechanga Water Rights Settlement (P.L. 114–322).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Blackfeet Water Rights Settlement (P.L. 114–322).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Truckee-Carson-Pyramid Lake Water Settlement Act (P.L. 101–618).*—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for services provided to implement the settlement.

Object Classification (in millions of dollars)

Identification code 014–2303–0–1–452	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	11	18	13
41.0 Grants, subsidies, and contributions .....	24	33	28
99.9 Total new obligations, unexpired accounts .....	35	51	41

Employment Summary

Identification code 014–2303–0–1–452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	2	.....

INDIAN LAND CONSOLIDATION

Program and Financing (in millions of dollars)

Identification code 014–2103–0–1–452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Indian Land Consolidation (Reimbursable) .....	.....	4	4
0900 Total new obligations, unexpired accounts (object class 32.0) .....	.....	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	4	4
1930 Total budgetary resources available .....	16	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	.....	4	4

INDIAN LAND CONSOLIDATION—Continued  
**Program and Financing—Continued**

Identification code 014–2103–0–1–452		2018 actual	2019 est.	2020 est.
3020	Outlays (gross) .....		–4	–4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....		4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....		4	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		–4	–4
4040	Offsets against gross budget authority and outlays (total) ....		–4	–4
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

This appropriation funds a program to consolidate fractional interests in Indian lands and assist land owners with estate planning. Funds are used to purchase small interests in parcels of lands from willing individual Indian landowners and convey those interests to the Tribe on whose reservation the land is located. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106–462), the American Indian Probate Reform Act of 2004 (P.L. 108–374), and other authorities. No funding is requested in 2020 in this account. Implementation of the Individual Indian Money Account Litigation Settlement (P.L. 111–291, Title I) to address fractionation of Indian lands is in a separate account.

INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 014–5505–0–2–303		2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	3	3
1930	Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3	3	3
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

Funds were requested in 2003 for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. Public Law 106–263 specifies the use of the Land and Water Conservation Fund for the implementation of the water rights and habitat acquisition program.

OPERATION AND MAINTENANCE OF QUARTERS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–5051–0–2–452		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Rents and Charges for Quarters, Bureau of Indian Affairs .....	6	6	6
2000	Total: Balances and receipts .....	6	6	6
Appropriations:				
Current law:				
2101	Operation and Maintenance of Quarters .....	–6	–6	–6
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 014–5051–0–2–452		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Operations and maintenance .....	6	6	6
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	6	6	6
1930	Total budgetary resources available .....	12	12	12
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010	New obligations, unexpired accounts .....	6	6	6
3020	Outlays (gross) .....	–6	–6	–6
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	6	6	6
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	6	6
4101	Outlays from mandatory balances .....	3		
4110	Outlays, gross (total) .....	6	6	6
4180	Budget authority, net (total) .....	6	6	6
4190	Outlays, net (total) .....	6	6	6

Public Law 88–459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defray the costs of operation and maintenance incidental to the employee quarters program. Public Law 98–473 established a special Fund, to remain available until expended, for the operation and maintenance of quarters.

**Object Classification** (in millions of dollars)

Identification code 014–5051–0–2–452		2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	1	1	1
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
25.4	Operation and maintenance of facilities .....	1	1	1
26.0	Supplies and materials .....	1	1	1
99.0	Direct obligations .....	6	6	6
99.9	Total new obligations, unexpired accounts .....	6	6	6

**Employment Summary**

Identification code 014–5051–0–2–452		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	41	41	41

MISCELLANEOUS PERMANENT APPROPRIATIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–9925–0–2–452		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	2	2	4
Receipts:				
Current law:				
1130	Deposits, Operation and Maintenance, Indian Irrigation Systems .....	36	36	38

1130	Alaska Resupply Program .....		2	2
1130	Power Revenues, Indian Irrigation Projects .....	72	75	76
1140	Earnings on Investments, Operation and Maintenance, Indian Irrigation Systems .....	1	1	1
1140	Earnings on Investments, Indian Irrigation Projects .....	1	1	1
1199	Total current law receipts .....	110	115	118
1999	Total receipts .....	110	115	118
2000	Total: Balances and receipts .....	112	117	122
Appropriations:				
Current law:				
2101	Miscellaneous Permanent Appropriations .....	-110	-113	-116
2103	Miscellaneous Permanent Appropriations .....	-1	-1	-1
2132	Miscellaneous Permanent Appropriations .....	1	1	1
2199	Total current law appropriations .....	-110	-113	-117
2999	Total appropriations .....	-110	-113	-117
5099	Balance, end of year .....	2	4	5

**Program and Financing** (in millions of dollars)

Identification code 014-9925-0-2-452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Operation and maintenance, Indian irrigation systems .....	35	36	36
0003	Power systems, Indian irrigation projects .....	73	73	73
0004	Alaska resupply program .....	3	2	2
0900	Total new obligations, unexpired accounts .....	111	111	111
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	75	75	79
1021	Recoveries of prior year unpaid obligations .....	1	2	2
1050	Unobligated balance (total) .....	76	77	81
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	110	113	116
1203	Appropriation (previously unavailable) .....	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260	Appropriations, mandatory (total) .....	110	113	117
1930	Total budgetary resources available .....	186	190	198
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	75	79	87
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	19	24	20
3010	New obligations, unexpired accounts .....	111	111	111
3020	Outlays (gross) .....	-105	-113	-115
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050	Unpaid obligations, end of year .....	24	20	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	19	24	20
3200	Obligated balance, end of year .....	24	20	14
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	110	113	117
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6	58	60
4101	Outlays from mandatory balances .....	99	55	55
4110	Outlays, gross (total) .....	105	113	115
4180	Budget authority, net (total) .....	110	113	117
4190	Outlays, net (total) .....	105	113	115
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	74	75	75
5001	Total investments, EOY: Federal securities: Par value .....	75	75	75

*Claims and treaty obligations.*—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February 19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

*Operation and maintenance, Indian irrigation systems.*—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining

these projects (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895, P.L. 98-146).

*Power systems, Indian irrigation projects.*—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895; 65 Stat. 254, P.L. 98-146). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the on-going drainage system (P.L. 102-358).

*Alaska resupply program.*—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77-457, 56 Stat. 95).

**Object Classification** (in millions of dollars)

Identification code 014-9925-0-2-452	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	17	17	17
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	21	21	21
12.1	Civilian personnel benefits .....	6	6	6
21.0	Travel and transportation of persons .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	19	19	19
25.1	Advisory and assistance services .....	13	13	13
25.2	Other services from non-Federal sources .....	30	30	30
25.3	Other goods and services from Federal sources .....	6	6	6
25.4	Operation and maintenance of facilities .....	1	1	1
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	5	5	5
31.0	Equipment .....	3	3	3
41.0	Grants, subsidies, and contributions .....	5	5	5
99.9	Total new obligations, unexpired accounts .....	111	111	111

**Employment Summary**

Identification code 014-9925-0-2-452	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	284	284	284

**INDIAN DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 014-4416-0-3-452	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0715	Other (Rounding) .....	1	1	
0743	Interest on downward reestimates .....	1	.....	.....
0900	Total new obligations, unexpired accounts .....	1	1	1
<b>Budgetary resources:</b>				
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	1	1
1825	Spending authority from offsetting collections applied to repay debt .....	-1	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	1	1	1
1900	Budget authority (total) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	1	1	1
Financing disbursements:				
4110	Outlays, gross (total) .....	1	1	1

INDIAN DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 014-4416-0-3-452	2018 actual	2019 est.	2020 est.
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1		
4123 Collections of loans .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ....	-2	-1	-1
4160 Budget authority, net (mandatory) .....	-1		
4170 Outlays, net (mandatory) .....	-1		
4180 Budget authority, net (total) .....	-1		
4190 Outlays, net (total) .....	-1		

Status of Direct Loans (in millions of dollars)

Identification code 014-4416-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	2	2
1290 Outstanding, end of year .....	2	2	2

Balance Sheet (in millions of dollars)

Identification code 014-4416-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1106 Receivables, net .....		1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2	2
1405 Allowance for subsidy cost (-) .....	2	2
1499 Net present value of assets related to direct loans .....	4	4
1999 Total assets .....	4	5
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....		5
2104 Resources payable to Treasury .....	4	
2999 Total liabilities .....	4	5
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	4	5

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

Status of Direct Loans (in millions of dollars)

Identification code 014-4409-0-3-452	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1	1	1
1290 Outstanding, end of year .....	1	1	1

Balance Sheet (in millions of dollars)

Identification code 014-4409-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	1	1
1602 Interest receivable .....	2	2
1603 Allowance for estimated uncollectible loans and interest (-) .....	-2	-2
1699 Value of assets related to direct loans .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1	1
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1	1

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT

For necessary expenses of administering guaranteed loans and insured loans issued under the Indian Financing Act of 1974, \$909,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-2628-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	7	6	
0705 Reestimates of direct loan subsidy .....	1	1	
0707 Reestimates of loan guarantee subsidy .....	6	16	
0708 Interest on reestimates of loan guarantee subsidy .....	2	1	
0709 Administrative expenses .....	2	1	1
0900 Total new obligations, unexpired accounts .....	18	25	1

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	1
Appropriations, mandatory:			
1200 Appropriation .....	9	17	
1900 Budget authority (total) .....	18	26	1
1930 Total budgetary resources available .....	18	26	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		1	1

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1 .....	6	9	6
3010 New obligations, unexpired accounts .....	18	25	1
3020 Outlays (gross) .....	-15	-28	-7
3050 Unpaid obligations, end of year .....	9	6	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	9	6
3200 Obligated balance, end of year .....	9	6	

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross .....	9	9	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	2	
4011 Outlays from discretionary balances .....	3	6	7
4020 Outlays, gross (total) .....	6	8	7
Mandatory:			
4090 Budget authority, gross .....	9	17	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	17	
4101 Outlays from mandatory balances .....		3	
4110 Outlays, gross (total) .....	9	20	
4180 Budget authority, net (total) .....	18	26	1
4190 Outlays, net (total) .....	15	28	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 014-2628-0-1-452	2018 actual	2019 est.	2020 est.
<b>Direct loan reestimates:</b>			
135001 Indian Direct Loans .....		1	
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Indian Guaranteed Loans .....	120	105	
215002 Indian Insured Loans .....	3	1	
215999 Total loan guarantee levels .....	123	106	
<b>Guaranteed loan subsidy (in percent):</b>			
232001 Indian Guaranteed Loans .....	6.49	5.33	
232002 Indian Insured Loans .....	7.04	5.92	
232999 Weighted average subsidy rate .....	6.50	5.34	
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Indian Guaranteed Loans .....	8	6	
233999 Total subsidy budget authority .....	8	6	

Guaranteed loan subsidy outlays:			
234001	Indian Guaranteed Loans .....	5	4
234999	Total subsidy outlays .....	5	4
Guaranteed loan reestimates:			
235001	Indian Guaranteed Loans .....	1	12
235999	Total guaranteed loan reestimates .....	1	12
Administrative expense data:			
3510	Budget authority .....	1	1
3590	Outlays from new authority .....	1	1

As required by the Federal Credit Reform Act of 1990, this account supports the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program including improvements to information technology systems.

**Object Classification** (in millions of dollars)

Identification code 014-2628-0-1-452			
Direct obligations:			
25.3	Other goods and services from Federal sources .....	2	1
41.0	Grants, subsidies, and contributions .....	16	24
99.9	Total new obligations, unexpired accounts .....	18	25

**INDIAN GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 014-4415-0-3-452			
<b>Obligations by program activity:</b>			
0003	Interest supplement payments .....	34	2
Credit program obligations:			
0711	Default claim payments on principal .....		2
0712	Default claim payments on interest .....		1
0742	Downward reestimates paid to receipt accounts .....	6	3
0743	Interest on downward reestimates .....	1	2
0791	Direct program activities, subtotal .....	7	8
0900	Total new obligations, unexpired accounts .....	41	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	50	44
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority .....	17	
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	18	24
1801	Change in uncollected payments, Federal sources .....	2	
1825	Spending authority from offsetting collections applied to repay debt .....	-2	
1850	Spending auth from offsetting collections, mand (total) .....	18	24
1900	Budget authority (total) .....	35	24
1930	Total budgetary resources available .....	85	68
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	44	58
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	New obligations, unexpired accounts .....	41	10
3020	Outlays (gross) .....	-40	-5
3050	Unpaid obligations, end of year .....	1	6
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-8
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2	
3090	Uncollected pymts, Fed sources, end of year .....	-8	-8
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-6	-7
3200	Obligated balance, end of year .....	-7	-2

**Financing authority and disbursements, net:**

Mandatory:			
4090	Budget authority, gross .....	35	24
Financing disbursements:			
4110	Outlays, gross (total) .....	40	5
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Payments from program account .....	-13	-21
4122	Interest on uninvested funds .....	-1	-2
4123	Non-Federal sources .....	-4	-1
4130	Offsets against gross budget authority and outlays (total) ....	-18	-24
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-2	
4160	Budget authority, net (mandatory) .....	15	
4170	Outlays, net (mandatory) .....	22	-19
4180	Budget authority, net (total) .....	15	
4190	Outlays, net (total) .....	22	-19

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 014-4415-0-3-452			
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	123	106
2150	Total guaranteed loan commitments .....	123	106
2199	Guaranteed amount of guaranteed loan commitments .....	95	85
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	464	453
2231	Disbursements of new guaranteed loans .....	73	100
2251	Repayments and prepayments .....	-82	-82
2261	Adjustments: Terminations for default that result in loans receivable .....	-2	-2
2290	Outstanding, end of year .....	453	469
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	453	469
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	12	35
2331	Disbursements for guaranteed loan claims .....	24	2
2351	Repayments of loans receivable .....	-1	-1
2361	Write-offs of loans receivable .....		
2390	Outstanding, end of year .....	35	36

**Balance Sheet** (in millions of dollars)

Identification code 014-4415-0-3-452		
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury .....	48
Investments in U.S. securities:		
1106	Receivables, net .....	17
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....	12
1502	Interest receivable .....	1
1505	Allowance for subsidy cost (-) .....	-9
1599	Net present value of assets related to defaulted guaranteed loans .....	4
1901	Other Federal assets: Upward Subsidy Reestimate Receivable .....	3
1999	Total assets .....	55
LIABILITIES:		
Federal liabilities:		
2103	Debt .....	15
2105	Other-Downward Reestimate .....	25
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	30
2999	Total liabilities .....	55
NET POSITION:		
3300	Cumulative results of operations .....	
4999	Total liabilities and net position .....	55

INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 014-4410-0-3-452	2018 actual	2019 est.	2020 est.
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	1	1	1
2351 Repayments of loans receivable .....			
2390 Outstanding, end of year .....	1	1	1

Balance Sheet (in millions of dollars)

Identification code 014-4410-0-3-452	2017 actual	2018 actual
<b>ASSETS:</b>		
1701 Defaulted guaranteed loans, gross .....	1	1
1702 Interest receivable .....	1	1
1703 Allowance for estimated uncollectible loans and interest (-) .....	-1	-1
1799 Value of assets related to loan guarantees .....	1	1
1999 Total assets .....	1	1

Trust Funds

GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8361-0-7-501	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
Current law:			
1130 Gifts and Donations, Bureau of Indian Affairs .....	2	1	1
2000 Total: Balances and receipts .....	2	1	2
<b>Appropriations:</b>			
Current law:			
2101 Gifts and Donations, Bureau of Indian Affairs .....	-2		
5099 Balance, end of year .....		1	2

Program and Financing (in millions of dollars)

Identification code 014-8361-0-7-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Gifts and Donations, Bureau of Indian Affairs (Direct) .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2		
1930 Total budgetary resources available .....	5	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 New obligations, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....			

Donations and contributed funds.—The Secretary of the Interior may accept donations of funds or other property, and may use the donated

property in accordance with the terms of the donation in furtherance of any program authorized by other provision of law for the benefit of Indians (25 U.S.C. 451).

BUREAU OF INDIAN EDUCATION

Federal Funds

OPERATION OF INDIAN EDUCATION PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the operation of Indian Education programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 5301 et seq.), the Education Amendments of 1978 (25 U.S.C. 2501 et seq.), \$867,416,000, to remain available until September 30, 2021, except as otherwise provided herein: Provided, That federally recognized Indian tribes and tribal organizations of federally recognized Indian tribes may use their tribal priority allocations for unmet welfare assistance costs: Provided further, That not to exceed \$681,865,000 for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, 2020, and shall remain available until September 30, 2021: Provided further, That notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.) and section 1128 of the Education Amendments of 1978 (25 U.S.C. 2008), not to exceed \$81,508,000 within and only from such amounts made available for school operations shall be available for administrative cost grants associated with grants approved prior to July 1, 2020: Provided further, That in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel.

Program and Financing (in millions of dollars)

Identification code 014-2106-0-1-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education .....			650
0100 Direct program activities, subtotal .....			650
0807 Reimbursable program activity .....			12
0809 Reimbursable program activities, subtotal .....			12
0900 Total new obligations, unexpired accounts .....			662
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			867
1930 Total budgetary resources available .....			867
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			205
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			662
3020 Outlays (gross) .....			-347
3050 Unpaid obligations, end of year .....			315
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			315
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			867
Outlays, gross:			
4010 Outlays from new discretionary authority .....			347
4180 Budget authority, net (total) .....			867
4190 Outlays, net (total) .....			347

The Operation of Indian Education Programs appropriation consists of a wide range of education related services and benefits provided to federally-recognized Indian Tribes, Alaskan Native groups and eligible American Indian and Alaska Native students to fulfill Federal trust and treaty responsibilities.

This account covers expenses associated with the support of Bureau of Indian Education (BIE) funded elementary and secondary school operations, other education programs for Indian children, tribal post-secondary schools,

scholarships and adult education programs, education program management, and operations and facilities maintenance. The BIE-funded schools include 169 elementary and secondary BIE- and tribally-run schools, 14 dormitories, two post-secondary schools, and operating grants to eligible tribal colleges and universities.

To improve management and accountability, the 2020 Budget proposes to create a new Operation of Indian Education Programs account which includes all Bureau of Indian Education activities formerly funded in the Operation of Indian Programs account.

**Object Classification** (in millions of dollars)

Identification code 014-2106-0-1-501	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			22
11.3 Other than full-time permanent .....			31
11.5 Other personnel compensation .....			2
11.9 Total personnel compensation .....			55
12.1 Civilian personnel benefits .....			17
21.0 Travel and transportation of persons .....			3
23.3 Communications, utilities, and miscellaneous charges .....			7
25.2 Other services from non-Federal sources .....			75
25.3 Other goods and services from Federal sources .....			3
25.4 Operation and maintenance of facilities .....			1
25.7 Operation and maintenance of equipment .....			1
26.0 Supplies and materials .....			5
31.0 Equipment .....			1
41.0 Grants, subsidies, and contributions .....			482
99.0 Direct obligations .....			650
99.0 Reimbursable obligations .....			12
99.9 Total new obligations, unexpired accounts .....			662

**Employment Summary**

Identification code 014-2106-0-1-501	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			2,410
2001 Reimbursable civilian full-time equivalent employment .....			31

**EDUCATION CONSTRUCTION**

*(INCLUDING TRANSFER OF FUNDS)*

For construction, repair, improvement, and maintenance of buildings, utilities, and other facilities necessary for the operation of Indian Education programs, including architectural and engineering services by contract; acquisition of lands, and interests in lands; \$68,858,000, to remain available until expended; Provided, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any Public Law 100-297 (25 U.S.C. 2501, et seq.) grantee or Public Law 93-638 (25 U.S.C. 5301 et seq.) contractor receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction.

**Program and Financing** (in millions of dollars)

Identification code 014-2105-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education Obligations .....			65
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....			69
1930 Total budgetary resources available .....			69
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			4
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			65
3020 Outlays (gross) .....			-34

3050 Unpaid obligations, end of year .....			31
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			31
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
Budget authority, gross .....			
4000 Outlays, gross:			69
4010 Outlays from new discretionary authority .....			34
4180 Budget authority, net (total) .....			69
4190 Outlays, net (total) .....			34

This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

To improve management and accountability, the 2020 Budget proposes to create a new Education Construction account which includes all education construction activities formerly funded in the Bureau of Indian Affairs Construction account.

**Object Classification** (in millions of dollars)

Identification code 014-2105-0-1-452	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			1
11.9 Total personnel compensation .....			1
25.2 Other services from non-Federal sources .....			58
41.0 Grants, subsidies, and contributions .....			6
99.9 Total new obligations, unexpired accounts .....			65

**Employment Summary**

Identification code 014-2105-0-1-452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			7

**ADMINISTRATIVE PROVISIONS**

The Bureau of Indian Affairs and the Bureau of Indian Education may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts, and grants, either directly or in cooperation with States and other organizations.

Notwithstanding Public Law 87-279 (25 U.S.C. 15), the Bureau of Indian Affairs may contract for services in support of the management, operation, and maintenance of the Power Division of the San Carlos Irrigation Project.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs or the Bureau of Indian Education for central office oversight, Education Management and Executive Direction and Administrative Services (except executive direction and administrative services funding for Tribal Priority Allocations, regional offices, and facilities operations and maintenance) shall be available for contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs or the Bureau of Indian Education under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act as amended.

In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs or the Bureau of Indian Education, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Education, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.

No funds available to the Bureau of Indian Education shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau of Indian Education school system as of October 1, 1995, except that the Secretary of the Interior may waive this prohibition to support expansion of up to one additional grade when the Secretary determines such waiver is needed to support accomplishment of the mission of the Bureau of Indian Education or more than one grade to expand the elementary grade structure for the Bureau-funded schools with a K-2 grade structure on October 1, 1996. Appropriations made available in this or any prior Act for schools funded by the Bureau shall be available, in accordance with the

Bureau's funding formula, only to the schools in the Bureau school system as of September 1, 1996, and to any school or school program that was reinstated in fiscal year 2012. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1141 of the Education Amendments of 1978 (25 U.S.C. 2021)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code.

Notwithstanding any other provision of law, including section 113 of title I of appendix C of Public Law 106-113, if in fiscal year 2003 or 2004 a grantee received indirect and administrative costs pursuant to a distribution formula based on section 5(f) of Public Law 101-301, the Secretary shall continue to distribute indirect and administrative cost funds to such grantee using the section 5(f) distribution formula.

Funds available under this Act may not be used to establish satellite locations of schools in the Bureau school system as of September 1, 1996, except that the Secretary may waive this prohibition in order for an Indian tribe to provide language and cultural immersion educational programs for non-public schools located within the jurisdictional area of the tribal government which exclusively serve tribal members, do not include grades beyond those currently served at the existing Bureau-funded school, provide an educational environment with educator presence and academic facilities comparable to the Bureau-funded school, comply with all applicable Tribal, Federal, or State health and safety standards, and the Americans with Disabilities Act, and demonstrate the benefits of establishing operations at a satellite location in lieu of incurring extraordinary costs, such as for transportation or other impacts to students such as those caused by busing students extended distances: Provided, That no funds available under this Act may be used to fund operations, maintenance, rehabilitation, construction or other facilities-related costs for such assets that are not owned by the Bureau: Provided further, That the term "satellite school" means a school location physically separated from the existing Bureau school by more than 50 miles but that forms part of the existing school in all other respects.

Funds made available within Operation of Indian Programs, Operation of Indian Education Programs, Construction, and Education Construction may be transferred between these accounts to implement an orderly transition to separate accounts, and execute requested adjustments in tribal priority allocations: Provided further, transferred funds must be reported to Congress quarterly.

**DEPARTMENTAL OFFICES**

**Federal Funds**

OFFICE OF THE SECRETARY

DEPARTMENTAL OPERATIONS

For necessary expenses for management of the Department of the Interior and for grants and cooperative agreements, as authorized by law, \$129,422,000, to remain available until September 30, 2021; of which not to exceed \$15,000 may be for official reception and representation expenses; and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines; and of which \$8,190,000 for the Appraisal and Valuation Services Office is to be derived from the Land and Water Conservation Fund and shall remain available until expended: and of which \$11,061,000 for Indian land, mineral, and resource valuation activities shall remain available until expended: Provided, That funds for Indian land, mineral, and resource valuation activities may, as needed, be transferred to and merged with the Bureau of Indian Affairs "Operation of Indian Programs" account, the Bureau of Indian Education "Operation of Indian Education Programs" account, and the Office of the Special Trustee for American Indians "Federal Trust Programs" account: Provided further, That funds made available through contracts or grants obligated during fiscal year 2020, as authorized by the Indian Self-Determination Act of 1975, (25 U.S.C. 5301 et seq.), shall remain available until expended by the contractor or grantee.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-0102-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0012 Leadership and Administration .....	101	107	107
0013 Management Services .....	21	27	27
0014 Office of Natural Resources Revenue .....	7	3	3
0015 Disaster Relief Appropriations Act, 2013 .....	3	3	3
0017 Mayan Biosphere Reserve Technical Assistance .....	1	1	1
0100 Direct program subtotal .....	133	141	141
0799 Total direct obligations .....	133	141	141
0804 Leadership and Administration .....	50	50	50
0805 Management Services .....	8	8	8
0899 Total reimbursable obligations .....	58	58	58
0900 Total new obligations, unexpired accounts .....	191	199	199
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	53	46
1011 Unobligated balance transfer from other acct [072-1021] ....	1	1	1
1021 Recoveries of prior year unpaid obligations .....	9	13	13
1050 Unobligated balance (total) .....	66	67	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	114	114	121
1101 Appropriation (special or trust fund) .....	10	10	8
1160 Appropriation, discretionary (total) .....	124	124	129
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	58	58	58
1701 Change in uncollected payments, Federal sources .....	-4	-4	-3
1750 Spending auth from offsetting collections, disc (total) .....	54	54	55
1900 Budget authority (total) .....	178	178	184
1930 Total budgetary resources available .....	244	245	244
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	53	46	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	221	134	99
3010 New obligations, unexpired accounts .....	191	199	199
3020 Outlays (gross) .....	-267	-221	-205
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	134	99	80
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-7	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	4	4	3
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	208	127	96
3200 Obligated balance, end of year .....	127	96	80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	178	178	184
Outlays, gross:			
4010 Outlays from new discretionary authority .....	129	106	109
4011 Outlays from discretionary balances .....	138	115	96
4020 Outlays, gross (total) .....	267	221	205
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-60	-58	-58
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	4	4	3
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	6	4	3
4070 Budget authority, net (discretionary) .....	124	124	129
4080 Outlays, net (discretionary) .....	207	163	147
4180 Budget authority, net (total) .....	124	124	129
4190 Outlays, net (total) .....	207	163	147

This appropriation supports the functions of the Office of the Secretary of the Interior, including executive-level leadership, policy, guidance, and coordination of the responsibilities carried out by its bureaus and offices. In addition, the appropriation supports programmatic functions carried out by the Office of the Secretary including, mineral revenue modeling, the Take Pride in America program, the Department's quasi-judicial and appellate responsibilities, and the Appraisal and Valuation Services Office. The appropriation also provides for workers and unemployment compensation payments for former Bureau of Mines employees.

**Object Classification** (in millions of dollars)

Identification code 014-0102-0-1-306	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	50	50	50
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	57	57	57
12.1 Civilian personnel benefits .....	18	18	18
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	5	8	8
25.2 Other services from non-Federal sources .....	7	10	10
25.3 Other goods and services from Federal sources .....	35	35	35
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	135	141	141
99.0 Reimbursable obligations .....	58	58	58
99.5 Adjustment for rounding .....	-2		
99.9 Total new obligations, unexpired accounts .....	191	199	199

**Employment Summary**

Identification code 014-0102-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	430	421	439
2001 Reimbursable civilian full-time equivalent employment .....	260	280	280
3001 Allocation account civilian full-time equivalent employment .....	60	60	60

**PUBLIC LANDS INFRASTRUCTURE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5625-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Proposed:</b>			
1230 Energy Revenues, Public Lands Infrastructure Fund .....			1,300
2000 Total: Balances and receipts .....			1,300
<b>Appropriations:</b>			
<b>Proposed:</b>			
2201 Public Lands Infrastructure Fund .....			-1,300
5099 Balance, end of year .....			

**PUBLIC LANDS INFRASTRUCTURE FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 014-5625-4-2-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			1,300
1930 Total budgetary resources available .....			1,300

<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			1,300
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			-260
3050 Unpaid obligations, end of year .....			-260
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-260
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			1,300
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			260
4180 Budget authority, net (total) .....			1,300
4190 Outlays, net (total) .....			260

**Public Lands Infrastructure Fund.**—The Departments of Interior and Agriculture manage an infrastructure asset portfolio with over \$18 billion in deferred maintenance, which includes structures, trails, roads, utility systems, and Bureau of Indian Education (BIE) schools. To address these needs, the Budget includes \$6.5 billion over five years for a Public Lands Infrastructure Fund. The Fund will support infrastructure improvements through an allocation of 70 percent for national parks, 10 percent for national forests, 10 percent for wildlife refuges, five percent for BIE schools, and five percent for lands managed by the Bureau of Land Management. The Fund will be supported by the deposit of 50 percent of all Federal energy development revenue that would otherwise be credited or deposited as miscellaneous receipts to the Treasury over the 2020–2024 period, subject to an annual limit of \$1.3 billion. Interior and Agriculture would prioritize projects, monitor implementation, and measure results. This investment will significantly improve many of America's most visible, visited, and treasured places.

**MINERAL LEASING AND ASSOCIATED PAYMENTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5003-0-2-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	98	101	217
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Receipts from Mineral Leasing, Public Lands .....	1,490	3,501	2,818
2000 Total: Balances and receipts .....	1,588	3,602	3,035
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Mineral Leasing and Associated Payments .....	-1,491	-3,501	-2,818
2103 Mineral Leasing and Associated Payments .....	-98	-101	-217
2132 Mineral Leasing and Associated Payments .....	101	217	
2199 Total current law appropriations .....	-1,488	-3,385	-3,035
2999 Total appropriations .....	-1,488	-3,385	-3,035
5098 Rounding adjustment .....	1		
5099 Balance, end of year .....	101	217	

**Program and Financing** (in millions of dollars)

Identification code 014-5003-0-2-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Mineral Leasing and Associated Payments (Direct) .....	1,525	3,385	3,035
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,525	3,385	3,035
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1,491	3,501	2,818
1201 Appropriation (special or trust fund) .....	37		
1203 Appropriation (previously unavailable) .....	98	101	217

MINERAL LEASING AND ASSOCIATED PAYMENTS—Continued  
Program and Financing—Continued

Identification code 014-5003-0-2-999	2018 actual	2019 est.	2020 est.
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-101	-217	.....
1260 Appropriations, mandatory (total) .....	1,525	3,385	3,035
1900 Budget authority (total) .....	1,525	3,385	3,035
1930 Total budgetary resources available .....	1,526	3,386	3,036
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,525	3,385	3,035
3020 Outlays (gross) .....	-1,525	-3,385	-3,035
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,525	3,385	3,035
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,525	3,385	3,035
4180 Budget authority, net (total) .....	1,525	3,385	3,035
4190 Outlays, net (total) .....	1,525	3,385	3,035

Under the Mineral Leasing Act (MLA), States receive fifty-percent of Federal revenues generated from mineral production occurring on Federal lands within that State's boundaries. Alaska is the exception, receiving a 90 percent share of receipts from Federal mineral leasing in that State. (Separate statutes cover revenue sharing payments from the National Petroleum Reserve-Alaska and the 1002 Area of the Arctic National Wildlife Refuge, where the traditional MLA fifty-percent state share applies.) To partially cover the costs of administering the Federal mineral leasing program, the Bipartisan Budget Act permanently amended the MLA to deduct two percent from the required payments to States under the Act. These payments are administered by Interior's Office of Natural Resources Revenue.

NATIONAL PETROLEUM RESERVE, ALASKA

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5045-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	10	2	3
Receipts:			
Current law:			
1130 Receipts from Oil and Gas Leases, National Petroleum Reserve in Alaska, MMS .....	17	20	20
2000 Total: Balances and receipts .....	27	22	23
Appropriations:			
Current law:			
2101 National Petroleum Reserve, Alaska .....	-27	-20	-20
2132 National Petroleum Reserve, Alaska .....	2	1	.....
2199 Total current law appropriations .....	-25	-19	-20
2999 Total appropriations .....	-25	-19	-20
5099 Balance, end of year .....	2	3	3

Program and Financing (in millions of dollars)

Identification code 014-5045-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Petroleum Reserve, Alaska (Direct) .....	25	19	20
0900 Total new obligations, unexpired accounts (object class 41.0) .....	25	19	20
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	27	20	20
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-1	.....

1260 Appropriations, mandatory (total) .....	25	19	20
1930 Total budgetary resources available .....	25	19	20

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	25	19	20
3020 Outlays (gross) .....	-25	-19	-20

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	25	19	20
Outlays, gross:			
4100 Outlays from new mandatory authority .....	25	19	20
4180 Budget authority, net (total) .....	25	19	20
4190 Outlays, net (total) .....	25	19	20

Payments to Alaska from oil and gas leasing in the National Petroleum Reserve-Alaska (NPR-A).—Public Law 96-514 requires that 50 percent of all Federal revenues received from oil and gas leasing in the NPR-A be paid to the State of Alaska. These payments are administered by Interior's Office of Natural Resources Revenue.

PAYMENT TO ALASKA, ARCTIC NATIONAL WILDLIFE REFUGE

In accordance with Section 20001 of the 2017 Tax Cuts and Jobs Act (P.L. 115-97), the State of Alaska will receive 50 percent of Federal revenues generated from mineral production occurring in the 1002 Area of the Coastal Plain of the Arctic National Wildlife Refuge (ANWR). These payments will be administered by the Office of Natural Resources Revenue.

LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5248-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	8	10	14
Receipts:			
Current law:			
1130 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	27	59	41
2000 Total: Balances and receipts .....	35	69	55
Appropriations:			
Current law:			
2101 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	-27	-59	-41
2132 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	2	4	.....
2199 Total current law appropriations .....	-25	-55	-41
2999 Total appropriations .....	-25	-55	-41
5099 Balance, end of year .....	10	14	14

Program and Financing (in millions of dollars)

Identification code 014-5248-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes (Direct) .....	25	55	41
0900 Total new obligations, unexpired accounts (object class 41.0) .....	25	55	41
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	27	59	41
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-4	.....
1260 Appropriations, mandatory (total) .....	25	55	41
1930 Total budgetary resources available .....	25	55	41
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	25	55	41

3020	Outlays (gross) .....	-25	-55	-41
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	25	55	41
Outlays, gross:				
4100	Outlays from new mandatory authority .....	25	55	41
4180	Budget authority, net (total) .....	25	55	41
4190	Outlays, net (total) .....	25	55	41

Flood control payments to States are shared according to the Flood Control Act of 1936 (33 U.S.C. 701 et seq.), which provides that 75 percent of revenue collected is to be shared with the State in which it was collected. These funds are to be expended as the State legislature may prescribe for the benefit of the public schools and roads in the county from which the revenue was collected, or for defraying other expenses of county government. These expenses include public obligations of levee and drainage districts for flood control and drainage improvements. Payments are administered by Interior's Office of Natural Resources Revenue.

NATIONAL FORESTS FUND, PAYMENT TO STATES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5243-0-2-302	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	3	3	4
Receipts:				
Current law:				
1130	National Forests Fund, Payments to States .....	6	13	10
2000	Total: Balances and receipts .....	9	16	14
Appropriations:				
Current law:				
2101	National Forests Fund, Payment to States .....	-6	-13	-10
2132	National Forests Fund, Payment to States .....		1	
2199	Total current law appropriations .....	-6	-12	-10
2999	Total appropriations .....	-6	-12	-10
5099	Balance, end of year .....	3	4	4

Program and Financing (in millions of dollars)

Identification code 014-5243-0-2-302	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	National Forests Fund, Payment to States (Direct) .....	5	12	10
0900	Total new obligations, unexpired accounts (object class 41.0) .....	5	12	10
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	6	13	10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-1	
1260	Appropriations, mandatory (total) .....	6	12	10
1930	Total budgetary resources available .....	6	13	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	5	12	10
3020	Outlays (gross) .....	-5	-12	-10
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	6	12	10
Outlays, gross:				
4100	Outlays from new mandatory authority .....	5	12	10
4180	Budget authority, net (total) .....	6	12	10
4190	Outlays, net (total) .....	5	12	10

As of May 23, 1908 (16 U.S.C. 499), 25 percent of the revenues collected from onshore mineral leasing and production on national forest lands have

been paid to the State in which the national forest resides. A State's payment is based on national forest acreage. Where a national forest is situated in several States, an individual State payment is proportionate to its area within that particular national forest. These payments are administered by Interior's Office of Natural Resources Revenue.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5574-0-2-806	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1130	Geothermal Lease Revenues, County Share .....	4	4	4
2000	Total: Balances and receipts .....	4	4	4
Appropriations:				
Current law:				
2101	Geothermal Lease Revenues, Payment to Counties .....	-4	-4	-4
Proposed:				
2201	Geothermal Lease Revenues, Payment to Counties .....			4
2999	Total appropriations .....	-4	-4	
5099	Balance, end of year .....			4

Program and Financing (in millions of dollars)

Identification code 014-5574-0-2-806	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Geothermal Lease Revenues, Payment to Counties (Direct) .....	4	4	4
0900	Total new obligations, unexpired accounts (object class 41.0) .....	4	4	4
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	4	4	4
1930	Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-4	-4	-4

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross .....	4	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	4	4
4180	Budget authority, net (total) .....	4	4	4
4190	Outlays, net (total) .....	4	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	4	4	4
Outlays .....	4	4	4
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-4
Outlays .....			-4
Total:			
Budget Authority .....	4	4	
Outlays .....	4	4	

The Energy Policy Act of 2005 (P.L. 109-58) amended section 20 of the Geothermal Steam Act of 1970 (30 U.S.C. 1019 et seq.) to provide that for the revenues collected from geothermal leasing, 50 percent of the revenues are to be paid to the State and 25 percent are to be paid to the county in which the leased lands or geothermal resources are located. These payments

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES—Continued  
are administered by Interior's Office of Natural Resources Revenue. The Budget proposed to repeal these payments.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-5574-4-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Geothermal Lease Revenues, Payment to Counties (Direct) .....			-4
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-4
1930 Total budgetary resources available .....			-4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-4
3020 Outlays (gross) .....			4
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-4
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-4
4180 Budget authority, net (total) .....			-4
4190 Outlays, net (total) .....			-4

*Repeal geothermal payments to counties.*—The Budget proposes legislation to repeal section 224(b) of the Energy Policy Act of 2005, and permanently discontinue the 25 percent geothermal lease revenue sharing payments to counties. The legislation proposes to restore the traditional 50/50 Federal-State revenue sharing arrangement. This proposal is estimated to generate savings to the U.S. Treasury of \$40 million over ten years.

STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5535-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	202	243	367
Receipts:			
Current law:			
1130 Outer Continental Shelf Rentals and Bonuses, State Share from Certain Gulf of Mexico Leases .....	109	169	171
1130 Outer Continental Shelf Royalties .....	120	170	183
1199 Total current law receipts .....	229	339	354
1999 Total receipts .....	229	339	354
2000 Total: Balances and receipts .....	431	582	721
Appropriations:			
Current law:			
2101 States Share from Certain Gulf of Mexico Leases .....	-201	-229	-339
2132 States Share from Certain Gulf of Mexico Leases .....	13	14	
2199 Total current law appropriations .....	-188	-215	-339
2999 Total appropriations .....	-188	-215	-339
5099 Balance, end of year .....	243	367	382

Program and Financing (in millions of dollars)

Identification code 014-5535-0-2-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 States Share from Certain Gulf of Mexico Leases (Direct) .....	188	215	339
0900 Total new obligations, unexpired accounts (object class 41.0) .....	188	215	339

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	201	229	339
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	-14	
1260 Appropriations, mandatory (total) .....	188	215	339
1930 Total budgetary resources available .....	188	215	339

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	188	215	339
3020 Outlays (gross) .....	-188	-215	-339

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	188	215	339
Outlays, gross:			
4100 Outlays from new mandatory authority .....	188	215	339
4180 Budget authority, net (total) .....	188	215	339
4190 Outlays, net (total) .....	188	215	339

The Gulf of Mexico Energy Security Act of 2006 (GOMESA, P.L. 109-432) provides that 37.5 percent of Outer Continental Shelf revenues from certain leases be distributed to four coastal States (Alabama, Louisiana, Mississippi, and Texas) and their local governments based on a complex allocation formula. These payments are administered by Interior's Office of Natural Resources Revenue.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5425-0-2-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1,433	1,468	1,507
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund .....	35	39	48
2000 Total: Balances and receipts .....	1,468	1,507	1,555
5099 Balance, end of year .....	1,468	1,507	1,555

Program and Financing (in millions of dollars)

Identification code 014-5425-0-2-302	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	1,466	1,495	1,534
5001 Total investments, EOY: Federal securities: Par value .....	1,495	1,534	1,582

Title IV of the Department of the Interior and Related Agencies Appropriation Act, 1998 (P.L. 105-83) established the Environmental Improvement and Restoration Fund account. As required by law, 50 percent of the principal and 50 percent of the interest from the Alaska Escrow account are deposited into the Environmental Improvement and Restoration Fund. The law requires that the corpus of the Fund be invested. Twenty percent of the interest earned by the Fund is permanently appropriated to the Department of Commerce, and the unappropriated balance of interest remains in the Fund, subject to appropriation. At this time, no budget authority is requested.

LAND AND WATER CONSERVATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5005-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	21,451	21,950	22,469

0198	Reconciliation adjustment to remove unavailable unobligated balances associated with contract authority and spending authority from offsetting collections .....	-10		
0199	Balance, start of year .....	21,441	21,950	22,469
<b>Receipts:</b>				
<b>Current law:</b>				
1110	Land and Water Conservation Fund, Motorboat Fuels Tax, .....	1	1	1
1130	Outer Continental Shelf Royalties, LWCF Share from Certain Gulf of Mexico Leases .....		57	61
1130	Land and Water Conservation Fund, Rent Receipts, Outer Continental Shelf Lands .....		100	103
1130	Land and Water Conservation Fund, Royalty Receipts, Outer Continental Shelf .....	894	800	797
1130	Outer Continental Shelf Rents and Bonuses, LWCF Share from Certain Gulf of Mexico Leases .....	76	56	57
1130	Land and Water Conservation Fund, Surplus Property Sales .....	5	6	6
1199	Total current law receipts .....	976	1,020	1,025
1999	Total receipts .....	976	1,020	1,025
2000	Total: Balances and receipts .....	22,417	22,970	23,494
<b>Appropriations:</b>				
<b>Current law:</b>				
2101	State and Private Forestry .....	-67	-67	
2101	Land Acquisition .....	-64	-64	
2101	Land Acquisition .....	-25	-25	
2101	Land Acquisition .....	-64	-64	-10
2101	Cooperative Endangered Species Conservation Fund .....	-19	-19	
2101	Land Acquisition and State Assistance .....	-181	-181	-15
2101	Land Acquisition and State Assistance .....	-67	-76	-113
2101	Salaries and Expenses .....	-10	-10	-8
2132	Land Acquisition and State Assistance .....	4	5	
2199	Total current law appropriations .....	-493	-501	-146
2999	Total appropriations .....	-493	-501	-146
5098	Adjustment to reconcile to GTAS unavailable unobligated balances and Treasury Combined Statement unavailable/unappropriate special fund receipts .....	26		
5099	Balance, end of year .....	21,950	22,469	23,348

**ADMINISTRATIVE PROVISIONS**

For fiscal year 2020, up to \$400,000 of the payments authorized by chapter 69 of title 31, United States Code, may be retained for administrative expenses of the Payments in Lieu of Taxes Program: Provided, That the amounts provided under this Act specifically for the Payments in Lieu of Taxes program are the only amounts available for payments authorized under chapter 69 of title 31, United States Code: Provided further, That in the event the sums appropriated for any fiscal year for payments pursuant to this chapter are insufficient to make the full payments authorized by that chapter to all units of local government, then the payment to each local government shall be made proportionally: Provided further, That the Secretary may make adjustments to payment to individual units of local government to correct for prior overpayments or underpayments: Provided further, That no payment shall be made pursuant to that chapter to otherwise eligible units of local government if the computed amount of the payment is less than \$100.

The Office of the Secretary provides for the administration of the Payments in Lieu of Taxes program, which makes payments to counties and other units of local government for lands within their boundaries that are administered by the Bureau of Land Management, U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies. Funding for the program is in a separate account within Department-Wide programs.

**INSULAR AFFAIRS**

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the

freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

**Federal Funds**

**TRUST TERRITORY OF THE PACIFIC ISLANDS**

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Remaining funds in the Trust Territory of the Pacific Islands account are being used to improve basic economic information and financial management capabilities in the insular areas; address compact impact related issues; and also for brown tree snake control.

**COMPACT OF FREE ASSOCIATION**

For grants and necessary expenses, \$3,109,000, to remain available until expended, as provided for in sections 221(a)(2) and 233 of the Compact of Free Association for the Republic of Palau; and section 221(a)(2) of the Compacts of Free Association for the Government of the Republic of the Marshall Islands and the Federated States of Micronesia, as authorized by Public Law 99-658 and Public Law 108-188.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-0415-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal services assistance .....	3	3	3
0002 Enewetak .....	1	1	1
0091 Direct program activities, subtotal .....	4	4	4
0101 Palau Compact Extension, mandatory .....	90	20	2
0192 Subtotal .....	94	24	6
0201 Assistance to the Marshall Islands .....	75	78	79
0202 Assistance to the Federated States of Micronesia .....	97	100	105
0204 Compact Impact .....	30	30	30
0205 Judicial Training/FEMA .....	1	1	1
0291 Subtotal, permanent indefinite .....	203	209	215
0799 Total direct obligations .....	297	233	221
0801 Compact of Free Association (Reimbursable) .....	17	17	17
0900 Total new obligations, unexpired accounts .....	314	250	238
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	221	270	275
1021 Recoveries of prior year unpaid obligations .....		13	13
1050 Unobligated balance (total) .....	221	283	288
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3	3	3
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	343	222	225
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	17	17	17
1900 Budget authority (total) .....	363	242	245
1930 Total budgetary resources available .....	584	525	533
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	270	275	295
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	83	79	36
3010 New obligations, unexpired accounts .....	314	250	238

COMPACT OF FREE ASSOCIATION—Continued  
Program and Financing—Continued

Identification code 014-0415-0-1-808	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-318	-280	-261
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	-13	-13
3050 Unpaid obligations, end of year .....	79	36	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	83	79	36
3200 Obligated balance, end of year .....	79	36	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	20	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	5	5
4011 Outlays from discretionary balances .....	17	15	15
4020 Outlays, gross (total) .....	20	20	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-17	-17
4040 Offsets against gross budget authority and outlays (total) ....	-17	-17	-17
Mandatory:			
4090 Budget authority, gross .....	343	222	225
Outlays, gross:			
4100 Outlays from new mandatory authority .....	267	89	90
4101 Outlays from mandatory balances .....	31	171	151
4110 Outlays, gross (total) .....	298	260	241
4180 Budget authority, net (total) .....	346	225	228
4190 Outlays, net (total) .....	301	263	244

The peoples of the Republic of the Marshall Islands, the Federated States of Micronesia and the Republic of Palau approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (P.L. 99-239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and continued through 2003 when the original economic assistance package expired. The Compact of Free Association Amendments Act of 2003 (P.L. 108-188), continues financial assistance to the Federated States of Micronesia and the Republic of the Marshall Islands through 2023. The Compact of Free Association for the Republic of Palau was enacted on November 14, 1986 as Public Law 99-658, and was implemented on October 1, 1994. Financial assistance provisions under the Compact of Free Association with the Republic of Palau were set to expire on September 30, 2009, however, under the 2010 Compact Review Agreement (CRA) the United States agreed to provide continued economic assistance to the Government of Palau through 2024.

Object Classification (in millions of dollars)

Identification code 014-0415-0-1-808	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	3	3	3
41.0 Grants, subsidies, and contributions .....	294	230	218
99.0 Direct obligations .....	297	233	221
99.0 Reimbursable obligations .....	17	17	17
99.9 Total new obligations, unexpired accounts .....	314	250	238

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 014-0418-0-1-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Advance payments to Guam of estimated U.S. income tax collections .....	77	78	78
0002 Advance payments to the Virgin Islands of estimated U.S. excise tax collections .....	254	224	224

0900 Total new obligations, unexpired accounts (object class 41.0) .....	331	302	302
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Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	331	302	302
1930 Total budgetary resources available .....	331	302	302

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	331	302	302
3020 Outlays (gross) .....	-331	-302	-302

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	331	302	302
Outlays, gross:			
4100 Outlays from new mandatory authority .....	331	302	302
4180 Budget authority, net (total) .....	331	302	302
4190 Outlays, net (total) .....	331	302	302

Public Law 95-348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The 2020 Budget includes funds for these advance payments.

ASSISTANCE TO TERRITORIES

For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior and other jurisdictions identified in section 104(e) of Public Law 108-188, \$80,967,000, of which: (1) \$71,537,000 shall remain available until expended for territorial assistance, including general technical assistance, maintenance assistance, disaster assistance, coral reef initiative and natural resources activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands, as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands, as authorized by law (Public Law 94-241; 90 Stat. 272); and (2) \$9,430,000 shall be available until September 30, 2021, for salaries and expenses of the Office of Insular Affairs: Provided, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the Government Accountability Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: Provided further, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104-134: Provided further, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure with territorial participation and cost sharing to be determined by the Secretary based on the grantee's commitment to timely maintenance of its capital assets: Provided further, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-0412-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0009 Office of Insular Affairs .....	7	9	9
0010 Technical assistance .....	23	18	15
0015 Coral Reef Initiative & Natural Resources .....	3	2	1
0017 Maintenance assistance fund .....	4	4	1
0018 American Samoa operations grants .....	23	23	22
0019 Brown Treesnake .....	4	4	3
0021 Energizing Insular Communities .....	5	5	3
0031 Compact Impact Discretionary .....	4	4	.....

0091	Direct subtotal, discretionary .....	73	69	54
0101	Covenant CIP grants, mandatory .....	42	28	28
0900	Total new obligations, unexpired accounts .....	115	97	82

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	19	9	17
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	4	2	
1021	Recoveries of prior year unpaid obligations .....	5	8	8
1050	Unobligated balance (total) .....	24	17	25
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	72	69	53
Appropriations, mandatory:				
1200	Appropriation .....	28	28	28
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1701	Change in uncollected payments, Federal sources .....	-1		
1900	Budget authority (total) .....	100	97	81
1930	Total budgetary resources available .....	124	114	106
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9	17	24

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	120	157	129
3010	New obligations, unexpired accounts .....	115	97	82
3020	Outlays (gross) .....	-73	-117	-104
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	-8	-8
3050	Unpaid obligations, end of year .....	157	129	99
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	119	157	129
3200	Obligated balance, end of year .....	157	129	99

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	72	69	53
Outlays, gross:				
4010	Outlays from new discretionary authority .....	32	48	37
4011	Outlays from discretionary balances .....	20	44	45
4020	Outlays, gross (total) .....	52	92	82
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources: .....	-1		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	1		
4070	Budget authority, net (discretionary) .....	72	69	53
4080	Outlays, net (discretionary) .....	51	92	82
Mandatory:				
4090	Budget authority, gross .....	28	28	28
Outlays, gross:				
4100	Outlays from new mandatory authority .....		1	1
4101	Outlays from mandatory balances .....	21	24	21
4110	Outlays, gross (total) .....	21	25	22
4180	Budget authority, net (total) .....	100	97	81
4190	Outlays, net (total) .....	72	117	104

This appropriation provides support for basic government operations for those territories requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of Public Law 104-134, \$27.7 million in mandatory covenant capital improvement program grant funding may be allocated to high priority needs in the U.S. Territories and freely associated states.

**Object Classification (in millions of dollars)**

Identification code 014-0412-0-1-808	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	4	4
12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services from non-Federal sources .....	3	3	3
25.3	Other goods and services from Federal sources .....	5	5	5
41.0	Grants, subsidies, and contributions .....	102	84	69
99.9	Total new obligations, unexpired accounts .....	115	97	82

**Employment Summary**

Identification code 014-0412-0-1-808	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	29	34	36

ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT

**Program and Financing (in millions of dollars)**

Identification code 014-4163-0-3-806	2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

Credit program obligations:				
0713	Payment of interest to Treasury .....	1	1	1
0900	Total new obligations, unexpired accounts .....	1	1	1

**Budgetary resources:**

Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....	1	1	1
Financing disbursements:				
4110	Outlays, gross (total) .....	1	1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - interest payments fr. Am. Samoa .....	-1	-1	-1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**Status of Direct Loans (in millions of dollars)**

Identification code 014-4163-0-3-806	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	14	14	14
1251	Repayments: Repayments .....	-1	-1	-1
1261	Adjustments: Capitalized interest .....	1	1	1
1290	Outstanding, end of year .....	14	14	14

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Escrow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. The ASG agreed to significant financial reforms as a prerequisite to receiving the loan proceeds.

**Balance Sheet (in millions of dollars)**

Identification code 014-4163-0-3-806	2017 actual	2018 actual	
ASSETS:			
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	14	14
1405	Allowance for subsidy cost (-) .....	-5	-5
1499	Net present value of assets related to direct loans .....	9	9
1999	Total assets .....	9	9
LIABILITIES:			
2103	Federal liabilities: Debt .....	9	8
NET POSITION:			
3300	Cumulative results of operations .....		1

ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT—Continued  
**Balance Sheet—Continued**

Identification code 014-4163-0-3-806	2017 actual	2018 actual
4999 Total liabilities and net position .....	9	9

**ADMINISTRATIVE PROVISIONS**  
(INCLUDING TRANSFER OF FUNDS)

At the request of the Governor of Guam, the Secretary may transfer discretionary funds or mandatory funds provided under section 104(e) of Public Law 108-188 and Public Law 104-134, that are allocated for Guam, to the Secretary of Agriculture for the subsidy cost of direct or guaranteed loans, plus not to exceed three percent of the amount of the subsidy transferred for the cost of loan administration, for the purposes authorized by the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act for construction and repair projects in Guam, and such funds shall remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such loans or loan guarantees may be made without regard to the population of the area, credit elsewhere requirements, and restrictions on the types of eligible entities under the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act: Provided further, That any funds transferred to the Secretary of Agriculture shall be in addition to funds otherwise made available to make or guarantee loans under such authorities.

OFFICE OF THE SOLICITOR  
**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of the Solicitor, \$66,816,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-0107-0-1-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	66	67	67
0801 Salaries and Expenses (Reimbursable) .....	18	16	21
0900 Total new obligations, unexpired accounts .....	84	83	88
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	67	67	67
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	17	16	21
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	18	16	21
1900 Budget authority (total) .....	85	83	88
1930 Total budgetary resources available .....	85	83	88
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	4
3010 New obligations, unexpired accounts .....	84	83	88
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-83	-88	-87
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	9	4	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		

3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	8	3
3200 Obligated balance, end of year .....	8	3	4

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	85	83	88
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	75	79	83
4011 Outlays from discretionary balances .....	8	9	4
4020 Outlays, gross (total) .....	83	88	87
<b>Offsets against gross budget authority and outlays:</b>			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19	-16	-21
4040 Offsets against gross budget authority and outlays (total) .....	-19	-16	-21
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	67	67	67
4080 Outlays, net (discretionary) .....	64	72	66
4180 Budget authority, net (total) .....	67	67	67
4190 Outlays, net (total) .....	64	72	66

The Office of the Solicitor provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. Additionally, the Office administers the Department's ethics program and manages Freedom of Information Act appeals. The Office is comprised of headquarters staff, located in Washington, DC, and 16 regional and field offices. The 2020 Budget proposes to shift appropriations for the Office of the Solicitor from one-year to two-year funds.

**Object Classification** (in millions of dollars)

Identification code 014-0107-0-1-306	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	36	38	38
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	39	41	41
12.1 Civilian personnel benefits .....	12	12	12
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	8	7	7
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	66	67	67
99.0 Reimbursable obligations .....	18	16	21
99.9 Total new obligations, unexpired accounts .....	84	83	88

**Employment Summary**

Identification code 014-0107-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	287	307	304
2001 Reimbursable civilian full-time equivalent employment .....	89	89	106
3001 Allocation account civilian full-time equivalent employment .....	30	30	30

OFFICE OF INSPECTOR GENERAL  
**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Inspector General, \$52,486,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–0104–0–1–306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	51	51	52
0801 Salaries and Expenses (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts .....	53	53	54
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	54	51	52
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	56	54	55
1930 Total budgetary resources available .....	57	58	60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 New obligations, unexpired accounts .....	53	53	54
3020 Outlays (gross) .....	–53	–52	–55
3050 Unpaid obligations, end of year .....	3	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	56	54	55
Outlays, gross:			
4010 Outlays from new discretionary authority .....	50	49	50
4011 Outlays from discretionary balances .....	3	3	5
4020 Outlays, gross (total) .....	53	52	55
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–2	–3	–3
4180 Budget authority, net (total) .....	54	51	52
4190 Outlays, net (total) .....	51	49	52

The mission of the Office of Inspector General is to promote excellence, accountability and integrity in the programs, operations and management of the Department of the Interior. The Office is responsible for independently and objectively identifying risks and vulnerabilities that directly impact, or could impact, the Department's ability to accomplish its mission. The Office is required to keep the Secretary and Congress fully and currently informed about problems and deficiencies relating to the administration of departmental programs and operations. Effective implementation of this mandate addresses the public's demand for greater accountability and integrity in the administration of government programs and operations. The 2020 Budget proposes to make these funds available for two years.

**Object Classification** (in millions of dollars)

Identification code 014–0104–0–1–306	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	30	30	31
12.1 Civilian personnel benefits .....	11	11	11
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	5	5	5
99.0 Direct obligations .....	51	51	52
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	53	53	54

**Employment Summary**

Identification code 014–0104–0–1–306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	235	237	243
2001 Reimbursable civilian full-time equivalent employment .....	13	13	13
3001 Allocation account civilian full-time equivalent employment .....	2		

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

**Federal Funds**

FEDERAL TRUST PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

*For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, \$105,143,000, to remain available until expended, of which not to exceed \$17,911,000 from this or any other Act, may be available for historical accounting: Provided, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs "Operation of Indian Programs" account, the Bureau of Indian Education "Operation of Indian Education Programs" account, the Office of the Solicitor, "Salaries and Expenses" account, and the Office of the Secretary, "Departmental Operations" account: Provided further, That funds made available through contracts or grants obligated during fiscal year 2020, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.), shall remain available until expended by the contractor or grantee: Provided further, That, notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 15 months and has a balance of \$15 or less: Provided further, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: Provided further, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: Provided further, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose: Provided further, That the Secretary shall not be required to reconcile Special Deposit Accounts with a balance of less than \$500 unless the Office of the Special Trustee receives proof of ownership from a Special Deposit Accounts claimant: Provided further, That, notwithstanding section 102 of the American Indian Trust Fund Management Reform Act of 1994 (Public Law 103–412) or any other provision of law, the Secretary may aggregate the trust accounts of individuals whose whereabouts are unknown for a continuous period of at least five years and shall not be required to generate periodic statements of performance for the individual accounts: Provided further, That, with respect to the eighth proviso, the Secretary shall continue to maintain sufficient records to determine the balance of the individual accounts, including any accrued interest and income, and such funds shall remain available to the individual account holders.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014–0120–0–1–808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program operations, support, and improvements .....	146	117	103
0002 Executive direction .....		2	2
0799 Total direct obligations .....	146	119	105
0900 Total new obligations, unexpired accounts .....	146	119	105
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	38	18	29
1021 Recoveries of prior year unpaid obligations .....	5	2	2
1050 Unobligated balance (total) .....	43	20	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	119	119	105
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	8	8

FEDERAL TRUST PROGRAMS—Continued  
Program and Financing—Continued

Identification code 014-0120-0-1-808	2018 actual	2019 est.	2020 est.
1701 Change in uncollected payments, Federal sources .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	2	9	9
1900 Budget authority (total) .....	121	128	114
1930 Total budgetary resources available .....	164	148	145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	29	40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	49	23
3010 New obligations, unexpired accounts .....	146	119	105
3020 Outlays (gross) .....	-145	-143	-126
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5	-2	-2
3050 Unpaid obligations, end of year .....	49	23	.....
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-2	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	48	21
3200 Obligated balance, end of year .....	48	21	-3

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Identification code	2018 actual	2019 est.	2020 est.
4000 Budget authority, gross .....	121	128	114
Outlays, gross:			
4010 Outlays from new discretionary authority .....	86	116	103
4011 Outlays from discretionary balances .....	59	27	23
4020 Outlays, gross (total) .....	145	143	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	-1
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	.....	-1	-1
4070 Budget authority, net (discretionary) .....	119	119	105
4080 Outlays, net (discretionary) .....	143	135	118
4180 Budget authority, net (total) .....	119	119	105
4190 Outlays, net (total) .....	143	135	118

*Executive Direction.*—This activity supports Office of the Special Trustee (OST) for American Indians and staff office responsibilities and authorities for Indian trust fund management. Additionally, pursuant to the American Indian Trust Fund Management Reform Act of 1994, the Special Trustee for American Indians oversees Indian trust reform efforts department-wide.

*Program Operations, and Support.*—This activity supports the management and investment of approximately \$5 billion held in trust for Indian Tribes and individual Indians. Responsibilities include accurate and timely posting of collections, investment and disbursement of funds, and provision of timely financial information to Indian Tribes and individual Indian money account holders. Resources also support the implementation of trust management reform efforts, including historical trust accounting.

To enhance and consolidate services to Indian Tribes, individual American Indians, and Alaska Natives, the 2020 Budget proposes to change the reporting relationship of OST from the Office of The Secretary to the Assistant Secretary—Indian Affairs.

**Object Classification** (in millions of dollars)

Identification code 014-0120-0-1-808	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	49	42	42
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	52	45	45

12.1 Civilian personnel benefits .....	17	15	14
21.0 Travel and transportation of persons .....	2	1	1
23.1 Rental payments to GSA .....	4	3	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	4	4	2
25.2 Other services from non-Federal sources .....	45	37	32
25.3 Other goods and services from Federal sources .....	16	8	4
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	144	117	103
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	146	119	105

**Employment Summary**

Identification code 014-0120-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	534	517	475
2001 Reimbursable civilian full-time equivalent employment .....	11	11	11

TRIBAL SPECIAL FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-5265-0-2-452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	2
Receipts:			
Current law:			
1130 Interest on Investments in GSEs, Tribal Special Fund .....	13	38	40
1130 Return of Principal from Private Sector Investments, Tribal Special Fund .....	22	65	67
1140 Federal Fund Payments, Tribal Special Fund .....	9	.....	.....
1140 Earnings on Investment, Tribal Special Fund .....	1	1	1
1199 Total current law receipts .....	45	104	108
1999 Total receipts .....	45	104	108
2000 Total: Balances and receipts .....	46	105	110
Appropriations:			
Current law:			
2101 Tribal Special Fund .....	-45	-103	-107
5099 Balance, end of year .....	1	2	3

**Program and Financing** (in millions of dollars)

Identification code 014-5265-0-2-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Tribal Special Fund (Direct) .....	100	145	129
0900 Total new obligations, unexpired accounts (object class 41.0) .....	100	145	129
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	119	64	22
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	45	103	107
1930 Total budgetary resources available .....	164	167	129
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	22	.....

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	22
3010 New obligations, unexpired accounts .....	100	145	129
3020 Outlays (gross) .....	-100	-123	-121
3050 Unpaid obligations, end of year .....	.....	22	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	22
3200 Obligated balance, end of year .....	.....	22	30

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	45	103	107
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	103	107

4101	Outlays from mandatory balances .....	100	20	14
4110	Outlays, gross (total) .....	100	123	121
4180	Budget authority, net (total) .....	45	103	107
4190	Outlays, net (total) .....	100	123	121

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	119	65	68
5001	Total investments, EOY: Federal securities: Par value .....	65	68	126
5010	Total investments, SOY: non-Fed securities: Market value .....	413	441	460
5011	Total investments, EOY: non-Fed securities: Market value .....	441	460	407

The Tribal Special Fund includes the following accounts: Tribal Economic Recovery Fund which consists of the Three Affiliated Fort Berthold Trust Fund and the Standing Rock Trust Fund, Papago Cooperative Fund, Ute Tribe Trust Fund, Pyramid Lake Indian Reservation Trust Fund, San Luis Rey Water Authority Trust Fund, and Cochiti Wetfields. More detailed information on specific accounts is provided in the budget justification for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of the Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

INDIAN EDUCATION SCHOLARSHIP HOLDING FUND

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) established this Fund to provide Indian land owners with an additional incentive to sell their fractionated interests, given that the market value associated with highly fractionated interests would be quite low in many cases. Program contributions reached the maximum of \$60 million in 2017 and were transferred from the Trust Land Consolidation Fund to this Fund for higher education scholarships for American Indians and Alaska Natives to be administered as described in the settlement agreement. The 2020 Budget proposes to shift this account to the Office of the Special Trustee from the Office of the Secretary.

TRUST LAND CONSOLIDATION FUND

**Program and Financing** (in millions of dollars)

Identification code 014–5670–0–2–452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Land Purchases .....	162	238	238
0003 Administration .....	18	32	32
0900 Total new obligations, unexpired accounts .....	180	270	270
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	380	293	213
1021 Recoveries of prior year unpaid obligations .....	93	190	170
1050 Unobligated balance (total) .....	473	483	383
1930 Total budgetary resources available .....	473	483	383
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	293	213	113
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	138	162	.....
3010 New obligations, unexpired accounts .....	180	270	270
3020 Outlays (gross) .....	–63	–242	–100
3040 Recoveries of prior year unpaid obligations, unexpired .....	–93	–190	–170
3050 Unpaid obligations, end of year .....	162	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	138	162	.....
3200 Obligated balance, end of year .....	162	.....	.....

**Budget authority and outlays, net:**

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	63	242	100
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	63	242	100

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) established a new trust land consolidation Fund for the buy-back and consolidation of fractionated interests in parcels of land from individual Indian landowners. The Fund also covers administrative costs to undertake the process of acquiring fractionated interests and associated trust reform activities not to exceed 15 percent of the Fund. The acquisition of fractionated interests is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106–462), and the American Indian Probate Reform Act of 2004 (P.L. 108–374). The Settlement provides additional authority for the acquisition of interests held by persons who cannot be located after engaging in extensive efforts to notify them and locate them for a five-year period. The Settlement was finalized on November 24, 2012 and in accordance with the terms of the legislation, these funds remain available for ten years from the date of the Settlement. The 2020 Budget proposes to shift this account to the Office of the Special Trustee from the Office of the Secretary.

**Object Classification** (in millions of dollars)

Identification code 014–5670–0–2–452	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	2	4	4
25.3 Other goods and services from Federal sources .....	174	261	261
41.0 Grants, subsidies, and contributions .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	180	270	270

**Employment Summary**

Identification code 014–5670–0–2–452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	18	18	18

**Trust Funds**

TRIBAL TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014–8030–0–7–452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	1
Receipts:			
Current law:			
1130 Interest on Investments in GSEs, Tribal Trust Fund .....	4	10	28
1130 Return of Principal from Private Sector Investments, Tribal Trust Fund .....	11	29	18
1130 Miscellaneous Sales of Assets, Tribal Trust Fund .....	1	.....	.....
1140 Federal Fund Payments, Tribal Trust Fund .....	38	.....	.....
1140 Earnings on Investments, Tribal Trust Fund .....	1	1	1
1198 Rounding adjustment .....	–1	.....	.....
1199 Total current law receipts .....	54	40	47
1999 Total receipts .....	54	40	47
2000 Total: Balances and receipts .....	54	40	48
Appropriations:			
Current law:			
2101 Tribal Trust Fund .....	–54	–39	–40
5099 Balance, end of year .....	.....	1	8

TRIBAL TRUST FUND—Continued  
Program and Financing (in millions of dollars)

Identification code 014-8030-0-7-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Tribal Trust Fund (Direct) .....	38	38	41
0900 Total new obligations, unexpired accounts (object class 41.0) .....	38	38	41
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	28	44	45
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	54	39	40
1930 Total budgetary resources available .....	82	83	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	45	44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	38	38	41
3020 Outlays (gross) .....	-38	-38	-41
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	54	39	40
Outlays, gross:			
4100 Outlays from new mandatory authority .....		36	37
4101 Outlays from mandatory balances .....	38	2	4
4110 Outlays, gross (total) .....	38	38	41
4180 Budget authority, net (total) .....	54	39	40
4190 Outlays, net (total) .....	38	38	41
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	28	44	34
5001 Total investments, EOY: Federal securities: Par value .....	44	34	69
5010 Total investments, SOY: non-Fed securities: Market value .....	123	147	158
5011 Total investments, EOY: non-Fed securities: Market value .....	147	158	128

The Tribal Trust Fund includes the following accounts: Funds Contributed for Advancement of the Indian Race, Bequest of George C. Edgeter Fund, Ella M. Franklin Fund, Josephine Lambert Fund, Orrie Shaw Fund, Welmas Endowment Fund, Arizona Intertribal Trust Fund, Navajo Trust Fund, Chippewa Cree Tribal Trust Fund, Shivwits Band of Paiute Indians Trust Fund, Northern Cheyenne Trust Fund, Crow Creek Sioux Tribe Infrastructure Development Trust Fund, and Lower Brule Infrastructure Fund. More detailed information on specific accounts is provided in the budget justifications for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific Acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of the Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

NATIONAL INDIAN GAMING COMMISSION  
**Federal Funds**  
SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 014-0118-0-1-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Salaries and Expenses (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3

<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		

The National Indian Gaming Commission conducts background investigations of individuals and entities with a financial interest in, or management responsibility for, potential management contracts. Tribes may also submit fingerprint cards to the Commission for processing by the Federal Bureau of Investigation and the Commission may charge a fee to process fingerprint cards on behalf of the Tribes. The Commission is reimbursed from the potential contractors to conduct these background investigations and also for fingerprint processing costs.

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5141-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1110 National Indian Gaming Commission, Gaming Activity Fees .....	19	20	23
2000 Total: Balances and receipts .....	20	21	24
Appropriations:			
Current law:			
2101 National Indian Gaming Commission, Gaming Activity Fees .....	-19	-20	-23
2103 National Indian Gaming Commission, Gaming Activity Fees .....	-1	-1	-1
2132 National Indian Gaming Commission, Gaming Activity Fees .....	1	1	
2199 Total current law appropriations .....	-19	-20	-24
2999 Total appropriations .....	-19	-20	-24
5099 Balance, end of year .....	1	1	

Program and Financing (in millions of dollars)

Identification code 014-5141-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Indian Gaming Commission, Gaming Activity Fees (Direct) .....	21	25	25
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	12	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	19	20	23
1203 Appropriation (previously unavailable) .....	1	1	1

1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1260	Appropriations, mandatory (total) .....	19	20	24
1930	Total budgetary resources available .....	33	32	31
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	7	6
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	3	4
3010	New obligations, unexpired accounts .....	21	25	25
3020	Outlays (gross) .....	-20	-24	-24
3050	Unpaid obligations, end of year .....	3	4	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	3	4
3200	Obligated balance, end of year .....	3	4	5
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	19	20	24
Outlays, gross:				
4100	Outlays from new mandatory authority .....	8	10	14
4101	Outlays from mandatory balances .....	12	14	10
4110	Outlays, gross (total) .....	20	24	24
4180	Budget authority, net (total) .....	19	20	24
4190	Outlays, net (total) .....	20	24	24

The Indian Gaming Regulatory Act (IGRA) established the National Indian Gaming Commission (NIGC) as an independent Federal regulatory agency within the Department of the Interior. The purpose of the IGRA and the NIGC is to support and promote tribal economic development, self-sufficiency and strong tribal governments through the operation of gaming on Indian lands. The Commission collaborates with Tribes to monitor and regulate gaming activities conducted on Indian Lands to ensure that gaming operations are conducted with integrity and that Tribes are the primary beneficiaries of gaming revenues. IGRA authorizes the Commission to assess and collect fees on tribal gaming revenues to cover agency operating costs.

**Object Classification** (in millions of dollars)

Identification code 014-5141-0-2-806		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	12	13	13
12.1	Civilian personnel benefits .....	4	5	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	1	2	2
25.3	Other goods and services from Federal sources .....	3	4	4
99.9	Total new obligations, unexpired accounts .....	21	25	25

**Employment Summary**

Identification code 014-5141-0-2-806		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	113	126	132

DEPARTMENT-WIDE PROGRAMS  
**Federal Funds**

OFFICE OF NATURAL RESOURCES REVENUE

For necessary expenses for management of the collection and disbursement of royalties, fees, and other mineral revenue proceeds, and for grants and cooperative agreements, as authorized by law, \$147,330,000, to remain available until September 30, 2021; of which \$50,651,000 shall remain available until expended for the purpose of mineral revenue management activities: Provided, That notwithstanding any other provision of law, \$15,000 shall be available for refunds of overpayments in connection with certain Indian leases in which the Secretary concurred with the claimed refund due, to pay amounts owed to Indian allottees or tribes, or to correct prior unrecoverable erroneous payments.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 014-1113-0-1-306		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Office of Natural Resources Revenue .....	130	138	147
0100	Direct program activities, subtotal .....	130	138	147
0801	Office of Natural Resources Revenue [Reimbursable] .....	.....	1	1
0900	Total new obligations, unexpired accounts .....	130	139	148
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	9	9
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	138	138	147
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	1
1900	Budget authority (total) .....	139	139	148
1930	Total budgetary resources available .....	139	148	157
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	37	31
3010	New obligations, unexpired accounts .....	130	139	148
3020	Outlays (gross) .....	-93	-145	-147
3050	Unpaid obligations, end of year .....	37	31	32
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	.....	37	31
3200	Obligated balance, end of year .....	37	31	32
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	139	139	148
Outlays, gross:				
4010	Outlays from new discretionary authority .....	93	111	119
4011	Outlays from discretionary balances .....	.....	34	28
4020	Outlays, gross (total) .....	93	145	147
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	138	138	147
4190	Outlays, net (total) .....	92	144	146

The Office of Natural Resources Revenue (ONRR) is responsible for ensuring revenue from Federal and Indian mineral leases is effectively, efficiently, and accurately collected, accounted for, analyzed, audited, and disbursed to recipients in a timely manner. ONRR revenue distributions are made to States, Tribes, individual Indian mineral royalty owners, and U.S. Treasury accounts.

**Object Classification** (in millions of dollars)

Identification code 014-1113-0-1-306		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	57	62	62
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	58	63	63
12.1	Civilian personnel benefits .....	19	21	21
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	4	4	4
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	11
25.3	Other goods and services from Federal sources .....	22	24	23
25.7	Operation and maintenance of equipment .....	9	9	9
31.0	Equipment .....	2	2	2
41.0	Grants, subsidies, and contributions .....	12	12	12
99.0	Direct obligations .....	129	138	147
99.0	Reimbursable obligations .....	.....	1	1
99.5	Adjustment for rounding .....	1	.....	.....
99.9	Total new obligations, unexpired accounts .....	130	139	148

OFFICE OF NATURAL RESOURCES REVENUE—Continued  
Employment Summary

Identification code 014-1113-0-1-306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	594	622	622
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

## PAYMENTS IN LIEU OF TAXES

For necessary expenses for payments authorized by Chapter 69 of title 31, United States Code, \$465,000,000 shall be available for fiscal year 2020.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 014-1114-0-1-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments in Lieu of Taxes (Direct) .....	553	500	465
0900 Total new obligations, unexpired accounts (object class 41.0) .....	553	500	465
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriations, discretionary .....		500	465
Appropriations, mandatory:			
1200 Appropriation .....	553		
1900 Budget authority (total) .....	553	500	465
1930 Total budgetary resources available .....	553	500	465
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	553	500	465
3020 Outlays (gross) .....	-553	-500	-465
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		500	465
Outlays, gross:			
4010 Outlays from new discretionary authority .....		500	465
Mandatory:			
4090 Budget authority, gross .....	553		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	553		
4180 Budget authority, net (total) .....	553	500	465
4190 Outlays, net (total) .....	553	500	465

Public Law 94-565 (31 U.S.C. 6901-07), as amended, authorizes Payments in Lieu of Taxes ("PILT payments") to counties and other units of local government for lands within their boundaries administered by the Bureau of Land Management, the U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies. The PILT payment formula is based on a number of factors, including the amount of Federal land within an eligible unit of local government, its population, and certain other Federal payments the local government may receive.

From the inception of the PILT program in 1977 through 2007, PILT funding was subject to annual appropriations. The Emergency Economic Stabilization Act of 2008 provided a five-year (FYs 2008-2012) mandatory funding stream for PILT at the full authorization levels calculated using the existing PILT formula. The Moving Ahead for Progress in the 21st Century Act (P.L. 112-141) extended the mandatory authorization through 2013, and the Agricultural Act of 2014 (P.L. 113-79) extended the mandatory authorization through 2014. The Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 and the Consolidated and Further Continuing Appropriations Act (P.L. 113-235) extended PILT payment authority through 2015 with a combination of discretionary and mandatory funds. The Consolidated Appropriations Act of 2016 (P.L. 114-113) provided discretionary PILT funding within the

Office of the Secretary, Departmental Operations account to extend payment authority through 2016. The Consolidated Appropriations Act, 2017 (P.L. 115-31) provided discretionary PILT funding within Department-wide Programs. The Consolidated Appropriations Act, 2018 (P.L. 115-141) provided a mandatory funding stream for PILT at the full authorization levels to extend payment authority through 2018. The 2020 Budget continues to propose discretionary funding for PILT payments within Department-wide Programs.

## Employment Summary

Identification code 014-1114-0-1-806	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

## CENTRAL HAZARDOUS MATERIALS FUND

For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the response action, including associated activities, performed pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.), \$2,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 014-1121-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Remedial Action .....	14	13	4
0801 Central Hazardous Materials Fund (Reimbursable) .....	9	9	9
0900 Total new obligations, unexpired accounts .....	23	22	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	14	13
1021 Recoveries of prior year unpaid obligations .....	6	6	6
1050 Unobligated balance (total) .....	22	20	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	2
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	5	5
1900 Budget authority (total) .....	15	15	7
1930 Total budgetary resources available .....	37	35	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	17	15
3010 New obligations, unexpired accounts .....	23	22	13
3020 Outlays (gross) .....	-19	-18	-18
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-6	-6
3050 Unpaid obligations, end of year .....	17	15	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	17	15
3200 Obligated balance, end of year .....	17	15	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	3	1
4011 Outlays from discretionary balances .....	13	15	17
4020 Outlays, gross (total) .....	19	18	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-5	-5	-5
4180 Budget authority, net (total) .....	10	10	2
4190 Outlays, net (total) .....	14	13	13

The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. 9601 et seq.) requires responsible parties,

including Federal landowners, to investigate and clean up releases of hazardous substances. The Central Hazardous Materials Fund is used to fund remedial investigations and cleanup of hazardous waste sites for which the Department of the Interior is liable. The program also has authority to collect and retain amounts recovered from responsible parties within this account.

The 2020 Budget funds program management staff costs from annual discretionary appropriations and funds remedial investigations and cleanups using amounts recovered from responsible parties.

**Object Classification** (in millions of dollars)

Identification code 014-1121-0-1-304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent - Direct .....	1	1	1
11.1 Full-time permanent - Allocation .....	1	1	1
11.9 Total personnel compensation .....	2	2	2
25.2 Other services from non-Federal sources .....	6	6	1
25.3 Other goods and services from Federal sources .....	5	5	1
99.0 Direct obligations .....	13	13	4
99.0 Reimbursable obligations .....	9	9	9
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	23	22	13

**Employment Summary**

Identification code 014-1121-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION**

**NATURAL RESOURCE DAMAGE ASSESSMENT FUND**

To conduct natural resource damage assessment, restoration activities, and onshore oil spill preparedness by the Department of the Interior necessary to carry out the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.), the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and Public Law 101-337 (16 U.S.C. 1911 et seq.), \$4,600,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 014-1618-0-1-302	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Natural Resources Damages from Legal Actions .....	304	597	597
1140 Natural Resources Damages from Legal Actions, EOI .....	21	24	24
1199 Total current law receipts .....	325	621	621
1999 Total receipts .....	325	621	621
2000 Total: Balances and receipts .....	326	622	622
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Natural Resource Damage Assessment Fund .....	-325	-621	-621
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 014-1618-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Damage assessments .....	8	6	6
0002 Prince William Sound restoration .....	4	5	2
0003 Other restoration .....	89	102	115
0004 Program management .....	4	3	3

0005 Onshore oil spill preparedness .....	1	1	1
0900 Total new obligations, unexpired accounts .....	106	117	127

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,354	1,557	2,041
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	9	10	
1010 Unobligated balance transfer to other accts [013-4316] .....	-21	-20	-20
1021 Recoveries of prior year unpaid obligations .....	3	1	1
1050 Unobligated balance (total) .....	1,336	1,538	2,022
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8	8	5
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	325	621	621
1220 Appropriations transferred to other acct [013-4316] .....	-5	-6	-6
1220 Appropriations transferred to other acct [068-4365] .....	-1	-2	-2
1220 Appropriations transferred to other acct [012-4368] .....		-1	-1
1260 Appropriations, mandatory (total) .....	319	612	612
1900 Budget authority (total) .....	327	620	617
1930 Total budgetary resources available .....	1,663	2,158	2,639
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,557	2,041	2,512

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	27	21
3010 New obligations, unexpired accounts .....	106	117	127
3020 Outlays (gross) .....	-101	-122	-134
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-1	-1
3050 Unpaid obligations, end of year .....	27	21	13
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	25	27	21
3200 Obligated balance, end of year .....	27	21	13

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	8	8	5
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	4	6	4
4011 Outlays from discretionary balances .....	4	2	2
4020 Outlays, gross (total) .....	8	8	6
<b>Mandatory:</b>			
4090 Budget authority, gross .....	319	612	612
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	49	49
4101 Outlays from mandatory balances .....	92	65	79
4110 Outlays, gross (total) .....	93	114	128
4180 Budget authority, net (total) .....	327	620	617
4190 Outlays, net (total) .....	101	122	134

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1,300	1,506	2,000
5001 Total investments, EOY: Federal securities: Par value .....	1,506	2,000	2,400

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments are performed to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, provide restoration support, prepare for response to potential inland oil spills, and for program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through cooperative assessment agreements, negotiated settlements, or other legal actions by the Department of the Interior. Responsible parties may also provide in-kind services to restore injured natural resources.

Restoration activities include: 1) the replacement and enhancement of affected resources; 2) acquisition of equivalent resources and services; and, 3) long-term environmental monitoring and research programs directed to the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a Department-wide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of injured natural resources are authorized by the Comprehensive Environmental Response,

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION—Continued  
Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and the Act of July 27, 1990 (16 U.S.C. 19jj et seq.). Since 1992, amounts received by the United States and its State and tribal co-trustee partners from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

Object Classification (in millions of dollars)			
Identification code 014-1618-0-1-302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent - Direct .....	2	1	1
11.1 Full-time permanent - Allocation .....	7	7	7
11.3 Other than full-time permanent - Allocation .....	2	2	2
11.9 Total personnel compensation .....	11	10	10
12.1 Civilian personnel benefits - Allocation .....	3	3	3
12.1 Civilian personnel benefits - Direct .....	1		
21.0 Travel and transportation of persons - Allocation .....	1	1	1
25.2 Other services from non-Federal sources - Allocation .....	12	14	14
25.3 Other goods and services from Federal sources - Direct .....	1	1	1
25.3 Other goods and services from Federal sources - Allocation .....	1	1	1
26.0 Supplies and materials - Allocation .....	1	1	1
31.0 Equipment - Allocation .....	1	1	1
32.0 Land and structures - Allocation .....	1	1	1
41.0 Grants, subsidies, and contributions - Allocation .....	9	10	10
42.0 Insurance claims and indemnities - Direct .....	64	74	84
99.0 Direct obligations .....	106	117	127
99.9 Total new obligations, unexpired accounts .....	106	117	127

Employment Summary			
Identification code 014-1618-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	16	11

EXXON VALDEZ RESTORATION PROGRAM

The 2020 Budget reflects the receipts, transfers, and mandatory spending by the Department of the Interior associated with the civil and criminal settlements resulting from the 1989 Exxon Valdez oil spill in the Prince William Sound and surrounding areas. Funding from the settlements, including interest, is provided to Federal and State of Alaska natural resource trustee agencies to restore the natural resources and services damaged by the spill. The Exxon Valdez Oil Spill Trustee Council consists of three State and three Federal trustees who oversee restoration of the injured ecosystem through the use of civil settlement funds. The criminal settlement funds are managed separately by the Federal and Alaska State governments, but are coordinated with the Council.

WILDLAND FIRE MANAGEMENT  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for fire preparedness, fire suppression operations, fire science and research, emergency rehabilitation, fuels management activities, and rural fire assistance by the Department of the Interior, \$919,908,000, to remain available until expended: Provided, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: Provided further, That of the funds provided \$194,000,000 is for fuels management activities: Provided further, That of the funds provided \$9,467,000 is for burned area rehabilitation: Provided further, That persons hired pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: Provided further, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 et seq., protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and are available without fiscal

year limitation: Provided further, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for fuels management activities, and for training and monitoring associated with such fuels management activities on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: Provided further, That notwithstanding requirements of the Competition in Contracting Act, the Secretary, for purposes of fuels management activities, may obtain maximum practicable competition among: (1) local private, nonprofit, or cooperative entities; (2) Youth Conservation Corps crews, Public Lands Corps (Public Law 109-154), or related partnerships with State, local, or nonprofit youth groups; (3) small or micro-businesses; or (4) other entities that will hire or train locally a significant percentage, defined as 50 percent or more, of the project workforce to complete such contracts: Provided further, That in implementing this section, the Secretary shall develop written guidance to field units to ensure accountability and consistent application of the authorities provided herein: Provided further, That funds appropriated under this heading may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference, as required by section 7 of such Act, in connection with wildland fire management activities: Provided further, That the Secretary of the Interior may use wildland fire appropriations to enter into leases of real property with local governments, at or below fair market value, to construct capitalized improvements for fire facilities on such leased properties, including but not limited to fire guard stations, retardant stations, and other initial attack and fire support facilities, and to make advance payments for any such lease or for construction activity associated with the lease: Provided further, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: Provided further, That funds provided for wildfire suppression shall be available for support of Federal emergency response actions: Provided further, That funds appropriated under this heading shall be available for assistance to or through the Department of State in connection with forest and rangeland research, technical information, and assistance in foreign countries, and, with the concurrence of the Secretary of State, shall be available to support forestry, wildland fire management, and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations: Provided further, That of the funds provided under this heading, \$383,657,000 is provided to meet the terms of section 251(b)(2)(F)(ii)(I) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition to the amounts provided under this heading for wildfire suppression operations, \$300,000,000 is additional new budget authority as specified for purposes of section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided, That the Secretary of the Interior may transfer such amounts for wildfire suppression operations to the Department of Agriculture.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-1125-0-1-302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Preparedness .....	341	340	325
0004 Fire suppression operations .....	478	466	380
0005 Emergency suppression .....	50		
0006 Fuels Management .....	191	188	146
0008 Burned area rehabilitation .....	24	20	15
0009 Facilities Construction and Maintenance .....	11	12	3
0010 Joint Fire Science .....	3	3	
0011 Wildfire Suppression Cap Adjustment .....			300
0799 Total direct obligations .....	1,098	1,029	1,169
0801 Fire reimbursable .....	60	50	50
0900 Total new obligations, unexpired accounts .....	1,158	1,079	1,219
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82	85	37
1011 Unobligated balance transfer from other acct (014-1127) .....	66		

1011	Unobligated balance transfer from other acct [012–1115] .....	3		
1021	Recoveries of prior year unpaid obligations .....	45	35	18
1050	Unobligated balance (total) .....	196	120	55
	<b>Budget authority:</b>			
	<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	559	559	536
1100	Appropriation - Fire Suppression .....	389	389	384
1100	Appropriation - Emergency Suppression .....	50		
1100	Appropriation - Wildfire Suppression Cap Adjustment .....			300
1121	Appropriations transferred from other acct [012–1106] .....	2		
1121	Appropriations transferred from other acct [012–1115] .....	2		
1160	Appropriation, discretionary (total) .....	1,002	948	1,220
	<b>Spending authority from offsetting collections, discretionary:</b>			
1700	Collected .....	37	45	45
1701	Change in uncollected payments, Federal sources .....	8	3	3
1750	Spending auth from offsetting collections, disc (total) .....	45	48	48
1900	Budget authority (total) .....	1,047	996	1,268
1930	Total budgetary resources available .....	1,243	1,116	1,323
	<b>Memorandum (non-add) entries:</b>			
1941	Unexpired unobligated balance, end of year .....	85	37	104
<b>Change in obligated balance:</b>				
	<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	329	303	261
3010	New obligations, unexpired accounts .....	1,158	1,079	1,219
3020	Outlays (gross) .....	-1,139	-1,086	-1,335
3040	Recoveries of prior year unpaid obligations, unexpired .....	-45	-35	-18
3050	Unpaid obligations, end of year .....	303	261	127
	<b>Uncollected payments:</b>			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-25	-28
3070	Change in uncollected pymts, Fed sources, unexpired .....	-8	-3	-3
3090	Uncollected pymts, Fed sources, end of year .....	-25	-28	-31
	<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	312	278	233
3200	Obligated balance, end of year .....	278	233	96
<b>Budget authority and outlays, net:</b>				
	<b>Discretionary:</b>			
4000	Budget authority, gross .....	1,047	996	1,268
	<b>Outlays, gross:</b>			
4010	Outlays from new discretionary authority .....	781	759	1,118
4011	Outlays from discretionary balances .....	358	327	217
4020	Outlays, gross (total) .....	1,139	1,086	1,335
	<b>Offsets against gross budget authority and outlays:</b>			
	<b>Offsetting collections (collected) from:</b>			
4030	Federal sources .....	-18	-15	-15
4033	Non-Federal sources .....	-19	-30	-30
4040	Offsets against gross budget authority and outlays (total) .....	-37	-45	-45
	<b>Additional offsets against gross budget authority only:</b>			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-8	-3	-3
4070	Budget authority, net (discretionary) .....	1,002	948	1,220
4080	Outlays, net (discretionary) .....	1,102	1,041	1,290
4180	Budget authority, net (total) .....	1,002	948	1,220
4190	Outlays, net (total) .....	1,102	1,041	1,290

**Preparedness.**—Funds the non-emergency and predictable aspects of the Department's wildland fire program, including the initial attack suppression action on wildfires. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence, and rural fire readiness, in which assistance is provided to local cooperators to enhance their capacity to protect remote communities and natural resources. It also includes activities related to program monitoring and evaluation, and integration of fire into land-use planning.

**Suppression Operations.**—Funds the emergency and unpredictable aspects of the Department's wildland fire management program. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected consistent with resource objectives and land management plans. Emergency actions taken during and immediately following a wildfire to stabilize the soil and structures to prevent erosion, floods, landslides, and further resource damage are included in this activity. Generally, emergency stabilization actions may be performed within one year of containment of a fire, however, exceptions to this time limit are allowed

under certain circumstances. In fiscal years 2010 through 2017, funding for the ten-year average of inflation-adjusted suppression obligations was split between the FLAME Wildfire Suppression Reserve Fund and this appropriation. The 2020 Budget request fully funds suppression operations at the ten-year average of suppression obligations as reported in the 2015 President's Budget, in accordance with the Consolidated Appropriations Act, 2018 (P.L. 115–141). The Act also amended the Balanced Budget and Emergency Deficit Control Act to provide additional new budget authority for fiscal years 2020 through 2027. Under this provision, in 2020, \$300 million of the \$2.25 billion made available by the Act will be provided to the Department of Interior (DOI) for wildfire suppression operations; the remaining \$1.95 billion will be provided to the Department of Agriculture's U.S. Forest Service in support of wildfire suppression operations. This additional new budget authority will help ensure that adequate resources are available to the Departments of the Interior and Agriculture to fight wildland fires, protect communities, and safeguard human life during the most severe wildland fire seasons. The DOI and Forest Service wildland fire management programs will continue to strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels.

**Fuels Management.**—The fuels management program conducts treatments aimed at mitigating risk to communities and their values, including areas in the wildland urban interface. This activity may also conduct treatments that improve the integrity and resilience of our forests and rangelands. The Fuels Management activity will contribute community adaption to fire and improve the ability to safely and appropriately respond to wildfire. Funding for the Fuels Management activity covers the planning, operational aspects, and monitoring of fuels treatments. The program will utilize such treatment methods as prescribed fire, mechanical, chemical, and biological treatments or a combination of methods.

**Other Operations.**—Funds all other aspects of the wildland fire management program, which includes Fire Facilities Construction and Maintenance, Burned Area Rehabilitation, and Joint Fire Science. The Fire Facilities Construction and Maintenance program funds construction and maintenance of facilities to house firefighters and equipment used in wildland firefighting and fuels management activities. The 2020 Budget does not request funds for Fire Facilities Construction and Maintenance. Funds for these facilities may be included in the Department's fire-related bureaus' construction and deferred maintenance budgets. The Burned Area Rehabilitation program begins the restoration process for lands and resources damaged by wildland fires that would not return to fire adapted conditions without intervention. Soil stabilization and the introduction of native and other desirable plant species are employed for up to three years, or up to five years under certain circumstances, following containment of a fire to return severely-burned areas to appropriate fire regimes and resource conditions. The Joint Fire Science subactivity funds the Department's share of the Joint Fire Science program, an interagency partnership that sponsors and delivers applied research to assist field managers with fuels treatment, post-fire rehabilitation, smoke management and many other related topics. The 2020 Budget does not include funding for the Joint Fire Science program.

**Object Classification** (in millions of dollars)

Identification code 014–1125–0–1–302	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent - direct .....	3	3	3
11.1	Full-time permanent - allocation .....	185	185	145
11.3	Other than full-time permanent - allocation .....	20	20	15
11.5	Other personnel compensation - allocation .....	115	115	80
11.8	Special personal services payments - allocation .....	43	38	25
11.9	Total personnel compensation .....	366	361	268
12.1	Civilian personnel benefits - direct .....	1	1	1
12.1	Civilian personnel benefits - allocation .....	103	102	81
21.0	Travel and transportation of persons - allocation .....	34	31	23
22.0	Transportation of things - allocation .....	2	2	2
23.2	Rental payments to others - allocation .....	3	2	2

WILDLAND FIRE MANAGEMENT—Continued  
Object Classification—Continued

Identification code 014-1125-0-1-302	2018 actual	2019 est.	2020 est.
23.3 Communications, utilities, and miscellaneous charges - allocation .....	22	20	20
24.0 Printing and reproduction - allocation .....	1		
25.1 Advisory and assistance services - direct .....	4	4	3
25.1 Advisory and assistance services - allocation .....	2	2	2
25.2 Other services from non-Federal sources - allocation .....	305	277	550
25.3 Other goods and services from Federal sources - direct .....	9	6	6
25.3 Other goods and services from Federal sources - allocation .....	63	61	59
25.4 Operation and maintenance of facilities - allocation .....	8	10	3
25.6 Medical care - allocation .....	5	5	5
25.7 Operation and maintenance of equipment - allocation .....	6	6	6
25.8 Subsistence and support of persons - allocation .....	1	1	1
26.0 Supplies and materials - allocation .....	59	45	45
31.0 Equipment - allocation .....	19	16	16
32.0 Land and structures - allocation .....	2	2	1
41.0 Grants, subsidies, and contributions - allocation .....	83	75	75
99.0 Direct obligations .....	1,098	1,029	1,169
99.0 Reimbursable obligations .....	60	50	50
99.9 Total new obligations, unexpired accounts .....	1,158	1,079	1,219

Employment Summary

Identification code 014-1125-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	23	23	24

FLAME WILDFIRE SUPPRESSION RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 014-1127-0-1-302	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	66		
1010 Unobligated balance transfer to other accts [014-1125] .....	-66		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

In 2010 through 2017, amounts in the FLAME Fund included the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary is authorized to permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event DOI has exhausted its suppression resources due to an active fire season. Funds have not been appropriated to the FLAME account since 2017, and remaining FLAME balances were transferred to the Wildland Fire Management account in 2018.

WORKING CAPITAL FUND

For the operation and maintenance of a departmental financial and business management system, information technology improvements of general benefit to the Department, cybersecurity, and the consolidation of facilities and operations throughout the Department, \$69,284,000, to remain available until expended: Provided, That none of the funds appropriated in this Act or any other Act may be used to establish reserves in the Working Capital Fund account other than for accrued annual leave and depreciation of equipment without prior notice to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That the Secretary may assess reasonable charges to State, local and tribal government employees for training services provided by the National Indian Program Training Center, other than training related to Public Law 93-638: Provided further, That the Secretary may lease or otherwise provide space and related facilities, equipment or professional services of the National Indian Program Training Center to State, local and tribal government employees or persons or organizations engaged in cultural, educational, or recreational activities (as defined in section 3306(a) of title 40, United States Code) at the prevailing rate for similar space, facilities, equipment, or services in the vicinity of the National Indian Program

Training Center: Provided further, That all funds received pursuant to the two preceding provisos shall be credited to this account, shall be available until expended, and shall be used by the Secretary for necessary expenses of the National Indian Program Training Center: Provided further, That the Secretary may enter into grants and cooperative agreements to support the Office of Natural Resource Revenue's collection and disbursement of royalties, fees, and other mineral revenue proceeds, as authorized by law.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 014-4523-0-4-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Enterprise Initiatives (Discretionary) .....	65	62	57
0002 Spectrum Category C (Mandatory) .....	1	8	17
0100 Direct program activities, subtotal .....	66	70	74
0799 Total direct obligations .....	66	70	74
0807 WCF Reimbursable Activities .....	1,040	1,196	1,196
0809 Reimbursable program activities, subtotal .....	1,040	1,196	1,196
0900 Total new obligations, unexpired accounts .....	1,106	1,266	1,270

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	253	257	268
1021 Recoveries of prior year unpaid obligations .....	80	91	91
1050 Unobligated balance (total) .....	333	348	359
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	62	62	69
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,120	1,276	1,276
1701 Change in uncollected payments, Federal sources .....	-152	-152	-152
1750 Spending auth from offsetting collections, disc (total) .....	968	1,124	1,124
1900 Budget authority (total) .....	1,030	1,186	1,193
1930 Total budgetary resources available .....	1,363	1,534	1,552
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	257	268	282

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	535	374	214
3010 New obligations, unexpired accounts .....	1,106	1,266	1,270
3020 Outlays (gross) .....	-1,187	-1,335	-1,357
3040 Recoveries of prior year unpaid obligations, unexpired .....	-80	-91	-91
3050 Unpaid obligations, end of year .....	374	214	36
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-468	-316	-164
3070 Change in uncollected pymts, Fed sources, unexpired .....	152	152	152
3090 Uncollected pymts, Fed sources, end of year .....	-316	-164	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	67	58	50
3200 Obligated balance, end of year .....	58	50	24

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1,030	1,186	1,193
Outlays, gross:			
4010 Outlays from new discretionary authority .....	610	905	921
4011 Outlays from discretionary balances .....	576	422	419
4020 Outlays, gross (total) .....	1,186	1,327	1,340
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,109	-1,265	-1,265
4033 Non-Federal sources .....	-11	-11	-11
4040 Offsets against gross budget authority and outlays (total) .....	-1,120	-1,276	-1,276
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	152	152	152
4070 Budget authority, net (discretionary) .....	62	62	69
4080 Outlays, net (discretionary) .....	66	51	64
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	8	17
4180 Budget authority, net (total) .....	62	62	69

4190	Outlays, net (total) .....	67	59	81
<b>Memorandum (non-add) entries:</b>				
5096	Unexpired unavailable balance, SOY: Appropriations .....	3	3	3
5098	Unexpired unavailable balance, EOY: Appropriations .....	3	3	3

The Working Capital Fund finances services and activities that can be performed more effectively and efficiently in a centralized manner, including business services provided by the Interior Business Center (IBC). Activities financed through the fund include information technology and security, systems hosting and help desk services, Departmental news and information, aircraft services, central reproduction, supplies and health services, and safety and health initiatives. Departmental administrative systems hosted within the Fund include the Federal Personnel and Payroll System and the Financial and Business Management System (FBMS). The IBC provides financial management, acquisition, and human resources services as well as payroll services to other agencies as one of the Government-wide shared service providers selected by the Office of Personnel Management. Through the National Indian Program Training Center, a component of Department of Interior (DOI) University, the Working Capital Fund provides training courses and other services related to Indian culture, law and programs to Federal Government employees. The appropriated portion of the Working Capital Fund includes funding for FBMS operations and maintenance, and activities related to improving the Department's cybersecurity capabilities. The 2020 Budget proposes to include funding for DOI's implementation planning and transition activities in support of the payroll and Work Schedule and Leave Management (WSLM) modernization initiative entitled NewPay.

**Object Classification (in millions of dollars)**

Identification code 014-4523-0-4-306	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	11	11	11
12.1	Civilian personnel benefits .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	7	7	7
25.1	Advisory and assistance services .....	3	3	3
25.2	Other services from non-Federal sources .....	28	34	38
25.3	Other goods and services from Federal sources .....	6	6	6
25.7	Operation and maintenance of equipment .....	6	6	6
99.0	Direct obligations .....	64	70	74
<b>Reimbursable obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	119	120	120
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	123	124	124
12.1	Civilian personnel benefits .....	124	125	125
21.0	Travel and transportation of persons .....	4	4	4
23.1	Rental payments to GSA .....	29	29	29
23.2	Rental payments to others .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	78	94	94
25.1	Advisory and assistance services .....	93	112	112
25.2	Other services from non-Federal sources .....	309	372	372
25.3	Other goods and services from Federal sources .....	149	180	180
25.4	Operation and maintenance of facilities .....	9	11	11
25.5	Research and development contracts .....	31	37	37
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	57	69	69
26.0	Supplies and materials .....	7	8	8
31.0	Equipment .....	9	11	11
41.0	Grants, subsidies, and contributions .....	12	14	14
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Reimbursable obligations .....	1,039	1,195	1,195
99.5	Adjustment for rounding .....	3	1	1
99.9	Total new obligations, unexpired accounts .....	1,106	1,266	1,270

**Employment Summary**

Identification code 014-4523-0-4-306	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	89	91	90

2001	Reimbursable civilian full-time equivalent employment .....	1,270	1,283	1,283
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**INTERIOR FRANCHISE FUND**

**Program and Financing (in millions of dollars)**

Identification code 014-4529-0-4-306	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Reimbursable Activity .....	1,996	1,407	1,724
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	144	152	205
1021	Recoveries of prior year unpaid obligations .....	729	53	53
1050	Unobligated balance (total) .....	873	205	258
<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	1,257	1,325	1,658
1701	Change in uncollected payments, Federal sources .....	18	82	66
1750	Spending auth from offsetting collections, disc (total) .....	1,275	1,407	1,724
1900	Budget authority (total) .....	1,275	1,407	1,724
1930	Total budgetary resources available .....	2,148	1,612	1,982
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	152	205	258
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,021	1,105	1,113
3010	New obligations, unexpired accounts .....	1,996	1,407	1,724
3020	Outlays (gross) .....	-2,183	-1,346	-1,680
3040	Recoveries of prior year unpaid obligations, unexpired .....	-729	-53	-53
3050	Unpaid obligations, end of year .....	1,105	1,113	1,104
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-836	-854	-936
3070	Change in uncollected pymts, Fed sources, unexpired .....	-18	-82	-66
3090	Uncollected pymts, Fed sources, end of year .....	-854	-936	-1,002
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	1,185	251	177
3200	Obligated balance, end of year .....	251	177	102
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	1,275	1,407	1,724
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	223	272	960
4011	Outlays from discretionary balances .....	980	1,074	720
4020	Outlays, gross (total) .....	1,203	1,346	1,680
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	-1,257	-1,325	-1,658
4040	Offsets against gross budget authority and outlays (total) .....	-1,257	-1,325	-1,658
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-18	-82	-66
4080	Outlays, net (discretionary) .....	-54	21	22
<b>Mandatory:</b>				
<b>Outlays, gross:</b>				
4101	Outlays from mandatory balances .....	980		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	926	21	22

The Interior Franchise Fund (IFF) was established by the Government Management Reform Act (P.L. 103-356) as amended, and provides acquisition management and administrative services to the Department of the Interior and other Federal agencies on a competitive, fee basis. Operating costs for the IFF are funded fully by the fees collected in exchange for the services provided.

**Object Classification (in millions of dollars)**

Identification code 014-4529-0-4-306	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	18	13	16
12.1	Civilian personnel benefits .....	6	4	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	2	3

INTERIOR FRANCHISE FUND—Continued  
Object Classification—Continued

Table with 4 columns: Identification code, 2018 actual, 2019 est., 2020 est. Rows include various communication and service charges, equipment, and obligations.

Employment Summary

Table with 4 columns: Identification code, 2018 actual, 2019 est., 2020 est. Row includes Reimbursable civilian full-time equivalent employment.

ADMINISTRATIVE PROVISION

There is hereby authorized for acquisition from available resources within the Working Capital Fund, aircraft which may be obtained by donation, purchase or through available excess surplus property: Provided, That existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

Table with 4 columns: 2018 actual, 2019 est., 2020 est. Rows include Offsetting receipts from the public (various categories) and Intragovernmental payments.

GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

EMERGENCY TRANSFER AUTHORITY—INTRA-BUREAU

SEC. 101. Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or destroyed by fire, flood, storm, or other

unavoidable causes: Provided, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: Provided further, That it is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible.

EMERGENCY TRANSFER AUTHORITY—DEPARTMENT-WIDE

SEC. 102. The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills or releases of hazardous substances into the environment; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 417(b) of Public Law 106-224 (7 U.S.C. 7717(b)); for emergency reclamation projects under section 410 of Public Law 95-87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primacy State is not carrying out the regulatory provisions of the Surface Mining Act: Provided, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, with such reimbursement to be credited to appropriations currently available at the time of receipt thereof: Provided further, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire suppression" shall be exhausted within 30 days: Provided further, That is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible: Provided further, That such replenishment funds shall be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.

AUTHORIZED USE OF FUNDS

SEC. 103. Appropriations made to the Department of the Interior in this title shall be available for services as authorized by section 3109 of title 5, United States Code, when authorized by the Secretary, in total amount not to exceed \$500,000; purchase and replacement of motor vehicles, including specially equipped law enforcement vehicles; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.

AUTHORIZED USE OF FUNDS, INDIAN TRUST MANAGEMENT

SEC. 104. Appropriations made in this Act under the headings Bureau of Indian Affairs and Bureau of Indian Education, and Office of the Special Trustee for American Indians and any unobligated balances from prior appropriations Acts made under the same headings shall be available for expenditure or transfer for Indian trust management and reform activities. Total funding for historical accounting activities shall not exceed amounts specifically designated in this Act for such purpose.

REDISTRIBUTION OF FUNDS, BUREAU OF INDIAN AFFAIRS

SEC. 105. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate distribution methodologies. No tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year 2020. Under circumstances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

ELLIS, GOVERNORS, AND LIBERTY ISLANDS

SEC. 106. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to acquire lands, waters, or interests therein including the use of all or part of any pier, dock, or landing within the State of New York and the State of New Jersey, for the purpose of operating and maintaining facilities in the support of transportation and accommodation of visitors to Ellis, Governors, and Liberty Islands, and of other program and administrative activities, by donation or with appropriated funds, including franchise fees (and other monetary consideration), or by exchange; and the Secretary is authorized to negotiate and enter into leases,

subleases, concession contracts or other agreements for the use of such facilities on such terms and conditions as the Secretary may determine reasonable.

#### OUTER CONTINENTAL SHELF INSPECTION FEES

SEC. 107. (a) In fiscal year 2020, the Secretary shall collect a nonrefundable inspection fee, which shall be deposited in the "Offshore Safety and Environmental Enforcement" account, from the designated operator for facilities subject to inspection under 43 U.S.C. 1348(c).

(b) Annual fees shall be collected for facilities that are above the waterline, excluding drilling rigs, and are in place at the start of the fiscal year. Fees for fiscal year 2020 shall be:

- (1) \$10,500 for facilities with no wells, but with processing equipment or gathering lines;
- (2) \$17,000 for facilities with 1 to 10 wells, with any combination of active or inactive wells; and
- (3) \$31,500 for facilities with more than 10 wells, with any combination of active or inactive wells.

(c) Fees for drilling rigs shall be assessed for all inspections completed in fiscal year 2020. Fees for fiscal year 2020 shall be:

- (1) \$30,500 per inspection for rigs operating in water depths of 500 feet or more; and
- (2) \$16,700 per inspection for rigs operating in water depths of less than 500 feet.

(d) Fees for inspection of well operations conducted via non-rig units as outlined in title 30 CFR 250 subparts D, E, F, and Q shall be assessed for all inspections completed in fiscal year 2020. Fees for fiscal year 2020 shall be:

- (1) \$13,260 per inspection for non-rig units operating in water depths of 2,500 feet or more;
- (2) \$11,530 per inspection for non-rig units operating in water depths between 500 feet and 2,499 feet; and
- (3) \$4,470 per inspection for non-rig units operating in water depths of less than 500 feet.

(e) The Secretary shall bill designated operators under subsection (b) quarterly, with payment required within 30 days of billing. The Secretary shall bill designated operators under subsections (c) and (d) within 30 days of the end of the month in which the inspection occurred, with payment required within 30 days of billing.

#### CONTRACTS AND AGREEMENTS FOR WILD HORSE AND BURRO HOLDING FACILITIES

SEC. 108. Notwithstanding any other provision of this Act, the Secretary of the Interior may enter into multiyear cooperative agreements with nonprofit organizations and other appropriate entities, and may enter into multiyear contracts in accordance with the provisions of section 3903 of title 41, United States Code (except that the 5-year term restriction in subsection (a) shall not apply), for the long-term care and maintenance of excess wild free roaming horses and burros by such organizations or entities on private land. Such cooperative agreements and contracts may not exceed 10 years, subject to renewal at the discretion of the Secretary.

#### CONTRACTS AND AGREEMENTS WITH INDIAN AFFAIRS

SEC. 109. Notwithstanding any other provision of law, during fiscal year 2020, in carrying out work involving cooperation with State, local, and tribal governments or any political subdivision thereof, Indian Affairs may record obligations against accounts receivable from any such entities, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year.

#### AFFILIATED AREA

SEC. 110. Section 5 of Public Law 95-348 is amended by striking "not to exceed \$3,000,000" and inserting "such sums as may be necessary for the purposes of this section".

#### TRANSFER OF ANIMALS TO OTHER AGENCIES

SEC. 111. Notwithstanding any other provision of law, the Secretary of the Interior may transfer excess wild horses or burros that have been removed from the public lands to other Federal, State, and local government agencies for use as work animals: Provided, That the Secretary may make such transfer immediately upon request of such Federal, State, or local government agency: Provided further, That any excess animal transferred under this provision shall lose its status as a wild free-roaming horse or burro as defined in the Wild Free-Roaming Horses and Burros Act: Provided further, That any Federal, State, or local government agency receiving excess wild horses or burros as authorized in this section shall not: destroy the horses or burros in a way that results in their destruction into commercial products; sell or otherwise transfer the horses or burros in a way that results in their destruction for processing into commercial products; or euthanize the horses or burros except upon the recommendation of a licensed veterinarian, in cases of severe injury, illness, or advanced age.

#### DEPARTMENT OF THE INTERIOR EXPERIENCED SERVICES PROGRAM

SEC. 112. (a) Notwithstanding any other provision of law relating to Federal grants and cooperative agreements, the Secretary of the Interior is authorized to make grants to, or enter into cooperative agreements with, private nonprofit organizations designated by the Secretary of Labor under title V of the Older Americans Act of 1965 to utilize the talents of older Americans in programs authorized by other provisions of law administered by the Secretary and consistent with such provisions of law.

(b) Prior to awarding any grant or agreement under subsection (a), the Secretary shall ensure that the agreement would not—

- (1) result in the displacement of individuals currently employed by the Department, including partial displacement through reduction of non-overtime hours, wages, or employment benefits;
- (2) result in the use of an individual under the Department of the Interior Experienced Services Program for a job or function in a case in which a Federal employee is in a layoff status from the same or substantially equivalent job within the Department; or
- (3) affect existing contracts for services.

#### INVASIVE SPECIES COUNCIL

SEC. 113. Appropriations contained in this or any other Act to the heads of agencies that are members of the Invasive Species Council as established in Executive Order No. 13112 may be used to support operations of the Council.

#### DECOMMISSIONING ACCOUNT

SEC. 114. The fifth and sixth provisos under the amended heading "Royalty and Offshore Minerals Management" for the Minerals Management Service in Public Law 101-512 (104 Stat. 1926, as amended) (43 U.S.C. 1338a) are further amended by striking and replacing them with—"Notwithstanding section 3302 of title 31, any moneys hereafter received as a result of the forfeiture of a bond or other security by an Outer Continental Shelf permittee, lessee, or right-of-way holder that does not fulfill the requirements of its permit, lease, or right-of-way or does not comply with the regulations of the Secretary, or as a bankruptcy distribution or settlement associated with such failure or noncompliance, shall be credited to a separate account established in the Treasury for decommissioning activities and shall be available to the Bureau of Ocean Energy Management without further appropriation or fiscal year limitation to cover the cost to the United States of any improvement, protection, rehabilitation, or decommissioning work rendered necessary by the action or inaction that led to the forfeiture or bankruptcy distribution or settlement, to remain available until expended: Provided further, That amounts deposited into the decommissioning account may be allocated to the Bureau of Safety and Environmental Enforcement for such costs: Provided further, That any moneys received for such costs currently held in the Ocean Energy Management account shall be transferred to the decommissioning account: Provided further, That any portion of the moneys so credited shall be returned to the bankruptcy estate, permittee, lessee, or right-of-way holder to the extent that the money is in excess of the amount expended in performing the work necessitated by the action or inaction which led to their receipt or, if the bond or security was forfeited for failure to pay the civil penalty, in excess of the civil penalty imposed."

## GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

### GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

SEC. 201. (a) None of the funds provided in title II of this Act for Water and Related Resources, or provided by previous or subsequent appropriations Acts to the agencies or entities funded in title II of this Act for Water and Related Resources that remain available for obligation or expenditure in fiscal year **2019** 2020, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) initiates or creates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds for any program, project, or activity for which funds have been denied or restricted by this Act, unless prior **approval** is received from **notification** is given to the Committees on Appropriations of the House of Representatives and the Senate;
- (4) restarts or resumes any program, project or activity for which funds are not provided in this Act, unless prior **approval** is received from **notification** is given to the Committees on Appropriations of the House of Representatives and the Senate;
- (5) transfers funds in excess of the following limits, unless prior **approval** is received from **notification** is given to the Committees on Appropriations of the House of Representatives and the Senate:

(A) 15 percent for any program, project or activity for which \$2,000,000 or more is available at the beginning of the fiscal year; or

(B) \$400,000 for any program, project or activity for which less than \$2,000,000 is available at the beginning of the fiscal year;

(6) transfers more than \$500,000 from either the Facilities Operation, Maintenance, and Rehabilitation category or the Resources Management and Development category to any program, project, or activity in the other category, unless prior [approval is received from] notification is given to the Committees on Appropriations of the House of Representatives and the Senate; or

(7) transfers, where necessary to discharge legal obligations of the Bureau of Reclamation, more than \$5,000,000 to provide adequate funds for settled contractor claims, increased contractor earnings due to accelerated rates of operations, and real estate deficiency judgments, unless prior [approval is received from] notification is given to the Committees on Appropriations of the House of Representatives and the Senate.

(b) Subsection (a)(5) shall not apply to any transfer of funds within the Facilities Operation, Maintenance, and Rehabilitation category.

(c) For purposes of this section, the term transfer means any movement of funds into or out of a program, project, or activity.

(d) The Bureau of Reclamation shall submit reports on a quarterly basis to the Committees on Appropriations of the House of Representatives and the Senate detailing all the funds reprogrammed between programs, projects, activities, or categories of funding. The first quarterly report shall be submitted not later than 60 days after the date of enactment of this Act.

SEC. 202. (a) None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.

(b) The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the "Cleanup Program—Alternative Repayment Plan" and the "SJVDP—Alternative Repayment Plan" described in the report entitled "Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995", prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.

[SEC. 203. Hereinafter, notwithstanding any other provision of law, during the period from November 1 through April 30, water users may use their diversion structures for the purpose of recharging the Eastern Snake Plain Aquifer, when the Secretary, in consultation with the Advisory Committee and Water District 1 watermaster, determines there is water available in excess of that needed to satisfy existing Minidoka Project storage and hydropower rights and ensure operational flexibility.]

[SEC. 204. Section 9001(d) of the Omnibus Public Land Management Act of 2009 (Public Law 111–11; 123 Stat. 1295) is amended by striking "10" and inserting "20".]

[SEC. 205. (a) Section 206(c)(2) of the Energy and Water Development and Related Agencies Appropriations Act, 2015 (43 U.S.C. 620 note; Public Law 113–235) is amended by striking "2018." and inserting the following: "2022: Provided, That the Secretary shall not fund pilot projects in the Upper Colorado River Basin without the participation of the Upper Colorado River Division States, acting through the Upper Colorado River Commission.".

(b) Section 9504(e) of the Secure Water Act of 2009 (42 U.S.C. 10364(e)) is amended by striking "\$450,000,000" and inserting "\$480,000,000".]

[SEC. 206. Section 9 of the Fort Peck Reservation Rural Water System Act of 2000 (Public Law 106–382; 114 Stat. 1457, 123 Stat. 2856, 128 Stat. 164) is amended by striking "2020" each place it appears in subsections (a)(1) and (b) and inserting "2026".]

SEC. 203. *Title I of Public Law 108–361 (the CALFED Bay-Delta Authorization Act) (118 Stat. 1681), as amended by Section 4007(k) of Public Law 114–322, is amended by striking "2019" each place it appears and inserting "2020".*

SEC. 204. *Animas-La Plata Deferred Construction Funding.—There is hereby authorized to be appropriated the total amount of \$4,000,000 for one payment to provide deferred construction funding to the Navajo Nation for the purpose of fulfilling the construction obligations as described in section 15(b) of the Colorado Ute Indian Water Rights Settlement Act of 1988 (Public Law 100–585), as amended by the Colorado Ute Settlement Act Amendments of 2000 (Public Law 106–554), and to complete the commissioning and title transfer of the Navajo Nation Municipal Pipeline.*

SEC. 205. *Omnibus Public Land Management Act of 2009 (P.L. 111–11)—Rio Grande Pueblos, New Mexico—Section 9106(g)(2) is amended by striking "2019" and inserting "2020". (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

## TITLE IV—GENERAL PROVISIONS

### (INCLUDING TRANSFERS OF FUNDS)

#### OBLIGATION OF APPROPRIATIONS

SEC. 401. *No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.*

#### DISCLOSURE OF ADMINISTRATIVE EXPENSES

SEC. 402. *The amount and basis of estimated overhead charges, deductions, reserves or holdbacks, including working capital fund and cost pool charges, from programs, projects, activities and subactivities to support government-wide, departmental, agency, or bureau administrative functions or headquarters, regional, or central operations shall be presented in annual budget justifications. Advance notice of changes to such estimates shall be presented to the Committees on Appropriations.*

#### MINING APPLICATIONS

SEC. 403. (a) **LIMITATION OF FUNDS.**—*None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.*

(b) **EXCEPTIONS.**—*Subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims, sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.*

(c) **REPORT.**—*On September 30, 2021, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Natural Resources of the House and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104–208).*

(d) **MINERAL EXAMINATIONS.**—*In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Director of the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.*

#### CONTRACT SUPPORT COSTS, PRIOR YEAR LIMITATION

SEC. 404. *Sections 405 and 406 of division F of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235) shall continue in effect in fiscal year 2020.*

#### CONTRACT SUPPORT COSTS, FISCAL YEAR 2020 LIMITATION

SEC. 405. *Amounts provided by this Act for fiscal year 2020 under the headings "Department of Health and Human Services, Indian Health Service, Contract Support Costs" and "Department of the Interior, Bureau of Indian Affairs and Bureau of Indian Education, Contract Support Costs" are the only amounts available for contract support costs arising out of self-determination or self-governance contracts, grants, compacts, or annual funding agreements for fiscal year 2020 with the Bureau of Indian Affairs, the Bureau of Indian Education, or the Indian Health Service: Provided, That such amounts provided by this Act are not available for payment of claims for contract support costs for prior years, or for repayments of payments for settlements or judgments awarding contract support costs for prior years.*

#### FOREST MANAGEMENT PLANS

SEC. 406. *The Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: Provided, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National*

Forest System, this section shall be void with respect to such plan and a court of proper jurisdiction may order completion of the plan on an accelerated basis.

#### PROHIBITION WITHIN NATIONAL MONUMENTS

SEC. 407. No funds provided in this Act may be expended to conduct preleasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C. 181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.

#### LIMITATION ON TAKINGS

SEC. 408. Unless otherwise provided herein, no funds appropriated in this Act for the acquisition of lands or interests in lands may be expended for the filing of declarations of taking or complaints in condemnation without providing prior notification to the House and Senate Committees on Appropriations: Provided, That this provision shall not apply to funds appropriated to implement the Everglades National Park Protection and Expansion Act of 1989, or to funds appropriated for Federal assistance to the State of Florida to acquire lands for Everglades restoration purposes.

#### PROHIBITION ON NO-BID CONTRACTS

SEC. 409. None of the funds appropriated or otherwise made available by this Act to executive branch agencies may be used to enter into any Federal contract unless such contract is entered into in accordance with the requirements of Chapter 33 of title 41, United States Code, or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless—

(1) Federal law specifically authorizes a contract to be entered into without regard for these requirements, including formula grants for States, or federally recognized Indian tribes; or

(2) such contract is authorized by the Indian Self-Determination and Education Assistance Act (Public Law 93–638, 25 U.S.C. 5301 et seq.) or by any other Federal laws that specifically authorize a contract within an Indian tribe as defined in that Act (25 U.S.C. 5304(e)); or

(3) such contract was awarded prior to the date of enactment of this Act.

#### POSTING OF REPORTS

SEC. 410. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

#### RECREATION FEE

SEC. 411. Section 810 of the Federal Lands Recreation Enhancement Act (16 U.S.C. 6809) is amended by striking "September 30, 2019" and inserting "September 30, 2022".

#### PROHIBITION ON USE OF FUNDS

SEC. 412. Notwithstanding any other provision of law, none of the funds made available in this Act or any other Act may be used to promulgate or implement any regulation requiring the issuance of permits under title V of the Clean Air Act (42

U.S.C. 7661 et seq.) for carbon dioxide, nitrous oxide, water vapor, or methane emissions resulting from biological processes associated with livestock production.

#### GREENHOUSE GAS REPORTING RESTRICTIONS

SEC. 413. Notwithstanding any other provision of law, none of the funds made available in this or any other Act may be used to implement any provision in a rule, if that provision requires mandatory reporting of greenhouse gas emissions from manure management systems.

#### CONTRACTING AUTHORITIES

SEC. 414. Section 412 of Division E of Public Law 112–74 is amended by striking "fiscal year 2019," and inserting "fiscal year 2021,".

#### CHESAPEAKE BAY INITIATIVE

SEC. 415. Section 502(c) of the Chesapeake Bay Initiative Act of 1998 (Public Law 105–312; 54 U.S.C. 320101 note) is amended by striking "2019" and inserting "2021".

#### EXTENSION OF GRAZING PERMITS

SEC. 416. The terms and conditions of section 325 of Public Law 108–108 (117 Stat. 1307), regarding grazing permits issued by the Forest Service on any lands not subject to administration under section 402 of the Federal Lands Policy and Management Act (43 U.S.C. 1752), shall remain in effect for fiscal year 2020.

#### JOHN F. KENNEDY CENTER REAUTHORIZATION

SEC. 417. Section 13 of the John F. Kennedy Center Act (20 U.S.C. 76r) is amended by striking subsections (a) and (b) and inserting the following:

"(a) MAINTENANCE, REPAIR, AND SECURITY.—There is authorized to be appropriated to the Board to carry out section 4(a)(1)(H), \$25,690,000 for fiscal year 2020.

"(b) CAPITAL PROJECTS.—There is authorized to be appropriated to the Board to carry out subparagraphs (F) and (G) of section 4(a)(1), \$14,000,000 for fiscal year 2020."

#### WILD AND SCENIC RIVERS COMPREHENSIVE RIVER MANAGEMENT PLANS

SEC. 418. The Secretary of Agriculture shall not be considered to be in violation of section 3(d)(1) of the Wild and Scenic Rivers Act (16 U.S.C. 1274) solely because more than three years have passed since designation prior to the completion of a comprehensive river management plan: Provided, That if more than three years have passed since designation without the completion of a comprehensive river management plan, then said plan must be completed or appropriately updated no later than during the next forest plan revision process.

#### INTERPRETIVE ASSOCIATION AUTHORITY

SEC. 419. Section 426 of Division G of the Consolidated Appropriations Act, 2014 (Public Law 113–7616 U.S.C. 565a–1 note) is amended by striking "2019" and inserting "2020".

#### SHASTA-TRINITY MARINA FEE AUTHORITY

SEC. 420. Section 422 of Division F of the Consolidated Appropriations Act, 2008 (P.L. 110–161; 121 Stat. 1844) is amended by striking "2019" and inserting "2020".

#### PUERTO RICO SCHOOLING

SEC. 421. The 18th unnumbered paragraph under the heading "Administrative Provisions, Forest Service" in the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2014 (P.L. 113–76) 128 Stat. 327 is amended by striking "2019" and inserting "2020".

#### LOCAL CONTRACTORS

SEC. 422. Section 419 in Division G of the Consolidated Appropriations Act of 2018 is amended by striking "2019" and inserting "2020".



# DEPARTMENT OF JUSTICE

## GENERAL ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the administration of the Department of Justice, \$114,740,000, of which not to exceed \$4,000,000 for security and construction of Department of Justice facilities shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 015–0129–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Department Leadership .....	16	18	18
0003 Intergovernmental Relations and External Affairs .....	9	10	10
0004 Executive Support and Professional Responsibility .....	14	15	15
0005 Justice Management Division .....	70	71	72
0799 Total direct obligations .....	109	114	115
0801 Salaries and Expenses (Reimbursable) .....	25	31	31
0900 Total new obligations, unexpired accounts .....	134	145	146
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	3
1012 Unobligated balance transfers between expired and unexpired accounts .....	7		
1050 Unobligated balance (total) .....	9	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	114	114	115
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	23	31	31
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	24	31	31
1900 Budget authority (total) .....	138	145	146
1930 Total budgetary resources available .....	147	148	149
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–10		
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	20	20
3010 New obligations, unexpired accounts .....	134	145	146
3020 Outlays (gross) .....	–132	–145	–145
3050 Unpaid obligations, end of year .....	20	20	21
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–4	–2	–2
3070 Change in uncollected pymts, Fed sources, unexpired .....	–1		
3071 Change in uncollected pymts, Fed sources, expired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	18	18
3200 Obligated balance, end of year .....	18	18	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	138	145	146
Outlays, gross:			
4010 Outlays from new discretionary authority .....	117	123	123
4011 Outlays from discretionary balances .....	15	22	22
4020 Outlays, gross (total) .....	132	145	145
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–26	–31	–31
4040 Offsets against gross budget authority and outlays (total) ....	–26	–31	–31
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–1		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	2		

4070 Budget authority, net (discretionary) .....	114	114	115
4080 Outlays, net (discretionary) .....	106	114	114
4180 Budget authority, net (total) .....	114	114	115
4190 Outlays, net (total) .....	106	114	114

*Program direction and policy coordination.*—The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General and their Offices, several Senior Policy Offices, and the Justice Management Division.

#### Object Classification (in millions of dollars)

Identification code 015–0129–0–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	46	49	46
11.3 Other than full-time permanent .....	5	5	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	52	55	51
12.1 Civilian personnel benefits .....	16	17	18
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	17	22	21
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	3
25.1 Advisory and assistance services .....	3	2	3
25.2 Other services from non-Federal sources .....	6	6	7
25.3 Other goods and services from Federal sources .....	8	5	6
25.4 Operation and maintenance of facilities .....			1
26.0 Supplies and materials .....	2	2	2
99.0 Direct obligations .....	109	114	115
99.0 Reimbursable obligations .....	25	31	31
99.9 Total new obligations, unexpired accounts .....	134	145	146

#### Employment Summary

Identification code 015–0129–0–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	396	416	343
2001 Reimbursable civilian full-time equivalent employment .....	76	77	77

## JUSTICE INFORMATION SHARING TECHNOLOGY

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, \$33,875,000, to remain available until expended: Provided, That the Attorney General may transfer up to \$35,400,000 to this account, from funds available to the Department of Justice for information technology, to remain available until expended, for enterprise-wide information technology initiatives: Provided further, That the transfer authority in the preceding proviso is in addition to any other transfer authority contained in this Act.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 015–0134–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Justice Information Sharing Technology .....	27	32	34
0801 Justice Information Sharing Technology (Reimbursable) .....	25	12	4
0900 Total new obligations, unexpired accounts .....	52	44	38

JUSTICE INFORMATION SHARING TECHNOLOGY—Continued  
Program and Financing—Continued

Identification code 015-0134-0-1-751	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	31	46	40
1021	Recoveries of prior year unpaid obligations .....	6	.....	.....
1050	Unobligated balance (total) .....	37	46	40
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	35	35	34
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	22	3	3
1701	Change in uncollected payments, Federal sources .....	4	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	26	3	3
1900	Budget authority (total) .....	61	38	37
1930	Total budgetary resources available .....	98	84	77
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	46	40	39
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	34	36	11
3010	New obligations, unexpired accounts .....	52	44	38
3020	Outlays (gross) .....	-44	-69	-37
3040	Recoveries of prior year unpaid obligations, unexpired .....	-6	.....	.....
3050	Unpaid obligations, end of year .....	36	11	12
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-42	-46	-46
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-46	-46	-46
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-8	-10	-35
3200	Obligated balance, end of year .....	-10	-35	-34
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	61	38	37
Outlays, gross:				
4010	Outlays from new discretionary authority .....	24	35	34
4011	Outlays from discretionary balances .....	20	34	3
4020	Outlays, gross (total) .....	44	69	37
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-22	-3	-3
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4070	Budget authority, net (discretionary) .....	35	35	34
4080	Outlays, net (discretionary) .....	22	66	34
4180	Budget authority, net (total) .....	35	35	34
4190	Outlays, net (total) .....	22	66	34

Funding for the Justice Information Sharing Technology (JIST) account will provide for corporate investments in information technology (IT). Under the control of the Department of Justice (DOJ) Chief Information Officer (CIO), this centralized fund ensures that investments in information sharing technology are well-planned and aligned with the Department's overall IT strategy and enterprise architecture. The current major initiatives/projects are described below.

**Cybersecurity.**—Enhancing cybersecurity remains a top priority for the Department and its leadership as DOJ supports a wide range of missions that include national security, law enforcement, prosecution, and incarceration. For each of these critical missions, the systems that support them must be secured to protect the confidentiality of sensitive information, the availability of data and workflows crucial to mission execution, and the integrity of data guiding critical decision-making.

**IT Transformation.**—IT Transformation is a long-term, multiyear commitment that implements shared IT infrastructure for the Department and shifts investments to the most efficient computing platforms, including shared services and next generation storage, hosting, networking, and facilities. This directly supports the Federal CIO's 25 Point Plan to Reform Federal IT Management and the Portfolio Stat process, and aligns the De-

partment's IT operations with the Federal Data Center Consolidation and Shared First initiatives. Work on these initiatives began in 2012, and consists of the following projects: a) e-mail consolidation; b) data center consolidation; c) mobility and remote access; and d) desktops. In 2020, DOJ will continue to leverage Schedule A hiring authority with a goal of bringing on board private sector IT subject matter experts to progress IT transformation already underway within the Department's Office of the CIO (OCIO). These experts, with varied skill sets from data architects, application hosting, and business intelligence, will assist OCIO and component customers in moving forward on respective IT initiatives in support of the DOJ mission. Additionally, the OCIO will leverage U.S. Digital Service expertise in its effort to drive innovation in key IT management areas, such as with Digital Acquisition Innovation Labs. OCIO will also continue to leverage its authority under the Federal Information Technology Acquisition Reform Act (FITARA), through the Department's IT Investment Review Council (DIRC) and Investment Review Board (IRB), and through the TechStat process, to ensure that all Department IT projects and initiatives are meeting expected milestones and remain within project scope and budget.

**Policy, Planning and Oversight.**—JIST funds the Office of the CIO and the Policy & Planning Staff (PPS), which supports CIO management in complying with the Clinger-Cohen Act, the FITARA, and other applicable laws, rules, and regulations for federal information resource management. Within OCIO, PPS develops, implements, and oversees an integrated approach for effectively and efficiently planning and managing DOJ's information technology resources, including the creation of operational plans for JIST and monitoring the execution of funds against those plans. PPS is responsible for IT investment management including portfolio, program, and project management. The investment management team manages the Department's IT investment and budget planning processes; develops and maintains the Department's general IT program policy and guidance documents; and coordinates the activities of the DIRB DIRC. In addition, PPS performs reviews to examine planned IT acquisitions and procurements to ensure alignment with the Department's IT strategies, policies, and its enterprise road map.

**Object Classification** (in millions of dollars)

Identification code 015-0134-0-1-751	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	5	5
12.1	Civilian personnel benefits .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
25.1	Advisory and assistance services .....	1	10	11
25.2	Other services from non-Federal sources .....	1	3	3
25.3	Other goods and services from Federal sources .....	16	9	10
25.4	Operation and maintenance of facilities .....	2	1	1
31.0	Equipment .....	.....	1	1
99.0	Direct obligations .....	27	32	34
99.0	Reimbursable obligations .....	25	12	4
99.9	Total new obligations, unexpired accounts .....	52	44	38

**Employment Summary**

Identification code 015-0134-0-1-751	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	29	33	33

TACTICAL LAW ENFORCEMENT WIRELESS COMMUNICATIONS

**Program and Financing** (in millions of dollars)

Identification code 015-0132-0-1-751	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	1	1
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....

1050	Unobligated balance (total) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3		
3020	Outlays (gross) .....	-2		
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances .....	2		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	2		

In 2013, operational and maintenance funding for legacy radio networks was transferred back to the participating components. The management of this program shifted to the Federal Bureau of Investigation, including resources for developing new technologies, as well as improving and upgrading radio infrastructure. The transfer of activities is complete.

**EXECUTIVE OFFICE FOR IMMIGRATION REVIEW**  
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the administration of immigration-related activities of the Executive Office for Immigration Review, \$672,966,000, of which \$4,000,000 shall be derived by transfer from the Executive Office for Immigration Review fees deposited in the "Immigration Examinations Fee" account: Provided, That not to exceed \$35,000,000 shall remain available until expended: Provided further, That any unobligated balances available from funds appropriated for the Executive Office for Immigration Review under the heading "General Administration, Administrative Review and Appeals" shall be transferred to and merged with the appropriation under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0339–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Executive Office for Immigration Review (EOIR) .....	523	508	673
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	4	4
1012 Unobligated balance transfers between expired and unexpired accounts .....	8	3	
1050 Unobligated balance (total) .....	23	7	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	501	501	669
1121 Appropriations transferred from other acct [070–0300] ....	4	4	4
1160 Appropriation, discretionary (total) .....	505	505	673
1930 Total budgetary resources available .....	528	512	677
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	151	188	68
3010 New obligations, unexpired accounts .....	523	508	673
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-485	-628	-655
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	188	68	86
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	151	188	68

3200	Obligated balance, end of year .....	188	68	86
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	505	505	673
Outlays, gross:				
4010	Outlays from new discretionary authority .....	380	449	599
4011	Outlays from discretionary balances .....	105	179	56
4020	Outlays, gross (total) .....	485	628	655
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	1		
4070	Budget authority, net (discretionary) .....	505	505	673
4080	Outlays, net (discretionary) .....	484	628	655
4180	Budget authority, net (total) .....	505	505	673
4190	Outlays, net (total) .....	484	628	655

The Executive Office for Immigration Review (EOIR) was created on January 9, 1983 through an internal Department of Justice (DOJ) reorganization that combined the Board of Immigration Appeals (BIA) with the Immigration Judge function. In addition to establishing EOIR as a separate agency within DOJ, this reorganization made the Immigration Courts independent of the agency charged with enforcement of Federal immigration laws. Under delegated authority from the Attorney General, EOIR conducts immigration court proceedings, appellate reviews, and administrative hearings. The Office of the Chief Administrative Hearing Officer was added in 1987. EOIR is headed by a Director, appointed by the Attorney General, who oversees 61 Immigration Courts nationwide, the BIA, and the headquarters organization located in Falls Church.

**Object Classification** (in millions of dollars)

Identification code 015–0339–0–1–751	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	157	159	274
11.3	Other than full-time permanent .....	20	17	14
11.5	Other personnel compensation .....	1	2	2
11.9	Total personnel compensation .....	178	178	290
12.1	Civilian personnel benefits .....	57	57	68
21.0	Travel and transportation of persons .....	5	6	7
22.0	Transportation of things .....	1	1	2
23.1	Rental payments to GSA .....	42	46	59
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	9	9	16
25.1	Advisory and assistance services .....	58	55	18
25.2	Other services from non-Federal sources .....	56	55	77
25.3	Other purchases & Svcs from Gov't accounts .....	6	6	27
25.4	Operation and maintenance of facilities .....	20	18	9
25.7	Operation and maintenance of equipment .....	40	41	36
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	3	7	25
32.0	Land and structures .....	44	24	34
99.0	Direct obligations .....	523	508	673
99.9	Total new obligations, unexpired accounts .....	523	508	673

**Employment Summary**

Identification code 015–0339–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,710	1,698	2,899

**DETENTION TRUSTEE**

In 2013, the Office of the Federal Detention Trustee merged with the U.S. Marshals Service. The costs associated with the care of Federal detain-

DETENTION TRUSTEE—Continued

ees are now funded through the U.S. Marshals Service-Federal Prisoner Detention appropriation.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, \$101,646,000, including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015–0328–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	97	97	102
0801 Office of Inspector General (Reimbursable) .....	22	23	24
0900 Total new obligations, unexpired accounts .....	119	120	126
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	26	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	97	97	102
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	23	24
1701 Change in uncollected payments, Federal sources .....	11		
1750 Spending auth from offsetting collections, disc (total) .....	24	23	24
1900 Budget authority (total) .....	121	120	126
1930 Total budgetary resources available .....	145	146	152
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	26	26	26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	27	6
3010 New obligations, unexpired accounts .....	119	120	126
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-112	-141	-126
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	27	6	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-33	-35	-35
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11		
3071 Change in uncollected pymts, Fed sources, expired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-35	-35	-35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-13	-8	-29
3200 Obligated balance, end of year .....	-8	-29	-29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	121	120	126
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	104	110
4011 Outlays from discretionary balances .....	15	37	16
4020 Outlays, gross (total) .....	112	141	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-21	-23	-24
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-11		
4052 Offsetting collections credited to expired accounts .....	8		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	97	97	102
4080 Outlays, net (discretionary) .....	91	118	102
4180 Budget authority, net (total) .....	97	97	102
4190 Outlays, net (total) .....	91	118	102

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards

arising from the conduct of the Department's employees. The OIG provides leadership and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. By statute, the OIG also reports to the Attorney General, the Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and information technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules, and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances, the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decision makers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and the Congress, and of vital importance to the Department.

The Office of the General Counsel provides legal advice to OIG management and staff. It also drafts memoranda on issues of law; prepares administrative subpoenas; represents the OIG in personnel, contractual, ethical, and legal matters; and responds to Freedom of Information Act requests.

The Management and Planning function provides advice to OIG senior leadership on administrative and fiscal policy, and assists OIG components in the areas of budget formulation and execution, security, personnel, training, travel, procurement, property management, information technology, computer network communications, telecommunications, records management, quality assurance, internal controls, and general support.

Object Classification (in millions of dollars)

Identification code 015–0328–0–1–751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	46	47	47
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	52	53	53
12.1 Civilian personnel benefits .....	20	20	19
21.0 Travel and transportation of persons .....	2	2	3
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	3	3	4
25.1 Advisory and assistance services .....	1	1	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	4	4	5
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
31.0 Equipment .....	2	1	3
99.0 Direct obligations .....	97	97	102
99.0 Reimbursable obligations .....	22	23	24
99.9 Total new obligations, unexpired accounts .....	119	120	126

Employment Summary

Identification code 015–0328–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	484	430	442
2001 Reimbursable civilian full-time equivalent employment .....	32	67	69

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 015-4526-0-4-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct - Debt Collection Management		257	257
0002 Direct - Capital Investment and Proceeds		43	31
0799 Total direct obligations		300	288
0801 Financial and employee data	126	260	260
0802 Data Processing and Telecommunications	466	437	437
0803 Space Management	631	604	604
0804 Library Acquisition Services	7	7	7
0805 Human Resources	27	17	17
0806 Debt Collection Management	267		
0807 Mail and Publication Services	51	47	47
0810 Security Services	44	38	38
0811 Capital Investment	30		
0899 Total reimbursable obligations	1,649	1,410	1,410
0900 Total new obligations, unexpired accounts	1,649	1,710	1,698
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	556	555	460
1012 Unobligated balance transfers between expired and unexpired accounts	36	40	40
1021 Recoveries of prior year unpaid obligations	27	20	20
1050 Unobligated balance (total)	619	615	520
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-155	-155	-100
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,434	1,710	1,698
1701 Change in uncollected payments, Federal sources	306		
1750 Spending auth from offsetting collections, disc (total)	1,740	1,710	1,698
1900 Budget authority (total)	1,585	1,555	1,598
1930 Total budgetary resources available	2,204	2,170	2,118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	555	460	420
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	542	615	404
3010 New obligations, unexpired accounts	1,649	1,710	1,698
3020 Outlays (gross)	-1,549	-1,901	-1,798
3040 Recoveries of prior year unpaid obligations, unexpired	-27	-20	-20
3050 Unpaid obligations, end of year	615	404	284
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-304	-610	-610
3070 Change in uncollected pymts, Fed sources, unexpired	-306		
3090 Uncollected pymts, Fed sources, end of year	-610	-610	-610
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	238	5	-206
3200 Obligated balance, end of year	5	-206	-326
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	1,585	1,555	1,598
Outlays, gross:			
4010 Outlays from new discretionary authority	1,273	1,555	1,598
4011 Outlays from discretionary balances	276	346	200
4020 Outlays, gross (total)	1,549	1,901	1,798
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,434	-1,710	-1,698
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-306		
4070 Budget authority, net (discretionary)	-155	-155	-100
4080 Outlays, net (discretionary)	115	191	100
4180 Budget authority, net (total)	-155	-155	-100
4190 Outlays, net (total)	115	191	100

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

Object Classification (in millions of dollars)

Identification code 015-4526-0-4-751	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent		104	104
11.9 Total personnel compensation		104	104
25.3 Other goods and services from Federal sources		196	184
99.0 Direct obligations		300	288
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	64	64	64
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	66	65	65
12.1 Civilian personnel benefits	20	21	21
21.0 Travel and transportation of persons	2	3	3
22.0 Transportation of things	28	5	5
23.1 Rental payments to GSA	531	586	586
23.2 Rental payments to others	1		
23.3 Communications, utilities, and miscellaneous charges	117	123	123
25.1 Advisory and assistance services	58	59	59
25.2 Other services from non-Federal sources	678	453	453
25.3 Other goods and services from Federal sources	99	67	67
25.3 Rental payments to GSA for WCF only	20	18	18
25.6 Medical care		1	1
25.7 Operation and maintenance of equipment	5	5	5
26.0 Supplies and materials	7	1	1
31.0 Equipment	17	3	3
99.0 Reimbursable obligations	1,649	1,410	1,410
99.9 Total new obligations, unexpired accounts	1,649	1,710	1,698

Employment Summary

Identification code 015-4526-0-4-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment		40	40
1001 Direct civilian full-time equivalent employment		2	2
1001 Direct civilian full-time equivalent employment		191	191
1001 Direct civilian full-time equivalent employment		271	271
1001 Direct civilian full-time equivalent employment		34	34
2001 Reimbursable civilian full-time equivalent employment	518	522	522

UNITED STATES PAROLE COMMISSION  
Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized, \$13,308,000: Provided, That, notwithstanding any other provision of law, upon the expiration of a term of office of a Commissioner, the Commissioner may continue to act until a successor has been appointed.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-1061-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Determination of parole of prisoners and supervision of parolees	13	13	13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13	13	13
1930 Total budgetary resources available	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	4
3010 New obligations, unexpired accounts	13	13	13
3020 Outlays (gross)	-11	-13	-13
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	4

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 015–1061–0–1–751	2018 actual	2019 est.	2020 est.
3200 Obligated balance, end of year .....	4	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	11	11
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	11	13	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	11	13	13

The United States Parole Commission is responsible for 1) making parole release and revocation decisions for all parole-eligible Federal and District of Columbia Code offenders; 2) setting and enforcing the conditions of supervised release for District of Columbia Code offenders; 3) making release decisions for United States citizens convicted of a crime in another country who voluntarily return to the United States for service of sentence; 4) performing parole-related functions for certain military and State offenders; and 5) exercising decision-making authority over State offenders who are on the State probation or parole, and are transferred to Federal authorities under the witness security program.

The Parole Commission works to reduce offender recidivism rates by implementing new revocation guidelines and establishing alternatives to incarceration for low-risk, non-violent offenders. In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective offender supervision program through U.S. and District of Columbia probation officers, and through research studies that evaluate the effectiveness of offender supervision programs. The Parole Commission has oversight responsibility for the supervision of District of Columbia parolees and supervised releases under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105–33).

Object Classification (in millions of dollars)

Identification code 015–1061–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	6	6	6
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	13	13	13

Employment Summary

Identification code 015–1061–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	53	56	56

LEGAL ACTIVITIES AND U.S. MARSHALS

Federal Funds

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES

For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and rent of private or Government-owned space in the District of Columbia, \$927,453,000, of which not to exceed \$20,000,000 for litigation support contracts shall remain available until expended: Provided, That of the amount provided for INTERPOL Washington dues payments, not to exceed

\$685,000 shall remain available until expended: Provided further, That of the total amount appropriated, not to exceed \$9,000 shall be available to INTERPOL Washington for official reception and representation expenses: Provided further, That of the total amount appropriated, not to exceed \$9,000 shall be available to the Criminal Division for official reception and representation expenses: Provided further, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That of the amount appropriated, such sums as may be necessary shall be available to the Civil Rights Division for salaries and expenses associated with the election monitoring program under section 8 of the Voting Rights Act of 1965 (52 U.S.C. 10305) and to reimburse the Office of Personnel Management for such salaries and expenses: Provided further, That of the amounts provided under this heading for the election monitoring program, \$3,390,000 shall remain available until expended.

In addition, for reimbursement of expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, not to exceed \$13,000,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015–0128–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Conduct of Supreme Court proceedings and review of appellate .....	12	12	12
0002 General tax matters .....	109	108	115
0003 Criminal matters .....	204	223	189
0004 Claims, customs, and general civil matters .....	325	338	300
0005 Land, natural resources, and Indian matters .....	112	111	111
0006 Legal opinions .....	8	8	8
0007 Civil rights matters .....	146	167	153
0008 INTERPOL Washington .....	34	34	34
0009 Office of Pardon Attorney .....	4	5	5
0799 Total direct obligations .....	954	1,006	927
0880 Salaries and Expenses, General Legal Activities (Offsetting Collections) .....	349	584	584
0889 Reimbursable program activities, subtotal .....	349	584	584
0900 Total new obligations, unexpired accounts .....	1,303	1,590	1,511
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	58	39
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	41	58	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	11	.....	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	57	58	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	898	898	927
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	132	602	695
1700 Collected .....	.....	35	35
1701 Change in uncollected payments, Federal sources .....	255	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	387	637	730
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	21	36	28
1801 Change in uncollected payments, Federal sources .....	10	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	31	36	28
1900 Budget authority (total) .....	1,316	1,571	1,685
1930 Total budgetary resources available .....	1,373	1,629	1,724
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-12	.....	.....
1941 Unexpired unobligated balance, end of year .....	58	39	213

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	433	412 279
3010	New obligations, unexpired accounts .....	1,303	1,590 1,511
3011	Obligations ("upward adjustments"), expired accounts .....	18	.....
3020	Outlays (gross) .....	-1,283	-1,723 -1,672
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-58	.....
3050	Unpaid obligations, end of year .....	412	279 118
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-421	-468 -468
3070	Change in uncollected pymts, Fed sources, unexpired .....	-265	.....
3071	Change in uncollected pymts, Fed sources, expired .....	218	.....
3090	Uncollected pymts, Fed sources, end of year .....	-468	-468 -468
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	12	-56 -189
3200	Obligated balance, end of year .....	-56	-189 -350
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	1,285	1,535 1,657
Outlays, gross:			
4010	Outlays from new discretionary authority .....	988	1,335 1,441
4011	Outlays from discretionary balances .....	266	214 202
4020	Outlays, gross (total) .....	1,254	1,549 1,643
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources .....	-325	-637 -730
4033	Non-Federal sources .....	-2	.....
4040	Offsets against gross budget authority and outlays (total) .....	-327	-637 -730
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-255	.....
4052	Offsetting collections credited to expired accounts .....	195	.....
4060	Additional offsets against budget authority only (total) .....	-60	.....
4070	Budget authority, net (discretionary) .....	898	898 927
4080	Outlays, net (discretionary) .....	927	912 913
Mandatory:			
4090	Budget authority, gross .....	31	36 28
Outlays, gross:			
4100	Outlays from new mandatory authority .....	26	31 24
4101	Outlays from mandatory balances .....	3	143 5
4110	Outlays, gross (total) .....	29	174 29
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-21	-36 -28
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-10	.....
4170	Outlays, net (mandatory) .....	8	138 1
4180	Budget authority, net (total) .....	898	898 927
4190	Outlays, net (total) .....	935	1,050 914

The following Department legal activities are financed from this appropriation:

**Supreme Court proceedings and appellate matters.**—The Office of the Solicitor General conducts substantially all litigation on behalf of the United States and its agencies in the Supreme Court of the United States, approves decisions to appeal and seek further review in cases involving the United States in the lower Federal courts, and supervises the handling of litigation in the Federal appellate courts.

**General tax matters.**—The mission of the Tax Division is to enforce the nation's tax laws fully, fairly, and consistently, through both criminal and civil litigation, in order to promote voluntary compliance with the tax laws, maintain public confidence in the integrity of the tax system, and promote the sound development of the law.

**Criminal matters.**—The Criminal Division develops, enforces, and supervises the application of all Federal criminal laws, except those specifically assigned to other divisions. The mission of the Criminal Division is to identify and respond to critical and emerging national and international criminal threats, and to lead the enforcement, regulatory, and intelligence communities in a coordinated nationwide response to reduce those threats.

**Claims, customs, and general civil matters.**—The Civil Division represents the Federal Government in civil litigation to defend Federal statutes, regulations, and policies, and to avoid payment of unjustified monetary claims. It also investigates and pursues perpetrators of financial, economic,

health care, and other forms of fraud to recover billions of dollars owed to the Federal Government. Examples of non-monetary litigation include the defense of thousands of challenges to immigration enforcement decisions and to Federal activities involving counterterrorism, as well as enforcement of consumer protection laws.

**Environment and natural resource matters.**—The Environment and Natural Resources Division enforces the Nation's civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation's natural resources and public lands, wildlife protection, Indian rights and claims, worker safety, animal welfare, and the acquisition of Federal property.

**Legal opinions.**—The Office of Legal Counsel provides written opinions and oral advice in response to requests from the Counsel to the President, the various agencies of the executive branch, and offices within the Department, including the offices of the Attorney General and Deputy Attorney General.

**Civil rights matters.**—This program enforces the Nation's Federal civil rights laws. Through the enforcement of a wide range of anti-discrimination laws, the Division gives meaning to our Nation's promise of equal opportunity. The Division works to uphold and defend the civil and constitutional rights of all individuals, particularly some of the most vulnerable members of our society. The Division enforces Federal statutes that prohibit discrimination and provide a remedy for constitutional violations. The 2020 Budget is not requesting an appropriation for the Community Relations Service (CRS), and instead proposes to consolidate those functions within the Civil Rights Division (CRT). This consolidation would appropriately rightsize the Federal role in local conflict resolutions, while eliminating duplicative functions and improving efficiency. The Budget transfers \$5 million and 15 positions to CRT to support this proposal.

**INTERPOL Washington.**—This program is the United States National Central Bureau and designated representative to INTERPOL on behalf of the Attorney General. Its mission includes, but is not limited to, facilitating international police cooperation; transmitting criminal justice, humanitarian, and other law enforcement related information between U.S. law enforcement authorities and their foreign counterparts; and coordinating and integrating information for investigations of an international nature.

**Office of The Pardon Attorney.**—The Office of the Pardon Attorney (OPA) receives and evaluates clemency petitions for federal crimes and prepares letters of advice for the President for each application with approval from the Deputy Attorney General. In addition, OPA responds to inquiries concerning executive clemency petitions and the clemency process from applicants, their legal representatives, members of the public, and Members of Congress; prepares all necessary documents to effect the President's decision to grant or deny clemency; and provides advisory services to White House Counsel concerning executive clemency procedures.

**Reimbursable programs.**—This reflects reimbursable funding for the following:

**Civil Division.**—For litigating cases under the National Childhood Vaccine Injury Act, and for litigating a number of extraordinarily large cases on behalf of the United States;

**Criminal Division.**—For activities related to healthcare fraud and drug prosecutions, international training programs, and asset forfeiture related activities;

**Environment and Natural Resources Division.**—From numerous client agencies for personnel, automated litigation support, and litigation consultant services for a variety of environmental, natural resource, land acquisition, and Native American cases, including from the Environmental Protection Agency for Superfund enforcement litigation; and,

**Civil Rights Division.**—For activities related to the Division's Complaint Adjudication Office and Health Care Fraud activities.

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued

Object Classification (in millions of dollars)

Identification code 015-0128-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	405	410	410
11.3 Other than full-time permanent .....	36	38	38
11.5 Other personnel compensation .....	8	10	9
11.8 Special personal services payments .....	3	3	3
11.9 Total personnel compensation .....	452	461	460
12.1 Civilian personnel benefits .....	140	147	152
21.0 Travel and transportation of persons .....	18	21	21
23.1 Rental payments to GSA .....	109	115	108
23.2 Rental payments to others .....	4	4	3
23.3 Communications, utilities, and miscellaneous charges .....	13	14	14
24.0 Printing and reproduction .....	1	1	2
25.1 Advisory and assistance services .....	89	102	31
25.2 Other services from non-Federal sources .....	11	11	36
25.3 Other goods and services from Federal sources .....	59	66	50
25.4 Operation and maintenance of facilities .....	14	16	7
25.7 Operation and maintenance of equipment .....	19	22	11
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	10	11	12
41.0 Grants, subsidies, and contributions .....	12	12	17
99.0 Direct obligations .....	954	1,006	927
99.0 Reimbursable obligations .....	349	584	584
99.9 Total new obligations, unexpired accounts .....	1,303	1,590	1,511

Employment Summary

Identification code 015-0128-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3,512	3,710	3,722
2001 Reimbursable civilian full-time equivalent employment .....	639	185	408

SALARIES AND EXPENSES, ANTITRUST DIVISION

For expenses necessary for the enforcement of antitrust and kindred laws, \$166,755,000, to remain available until expended, of which not to exceed \$2,000 shall be available for official reception and representation expenses: Provided, That notwithstanding any other provision of law, fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be \$136,000,000 in fiscal year 2020), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2020, so as to result in a final fiscal year 2020 appropriation from the general fund estimated at \$30,755,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-0319-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Antitrust .....	166	168	167
0801 Salaries and Expenses, Antitrust Division (Reimbursable) .....	2		
0900 Total new obligations, unexpired accounts .....	168	168	167
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	4	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	32	39	31
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	135	125	136
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	134	125	136

1900 Budget authority (total) .....	166	164	167
1930 Total budgetary resources available .....	172	168	167
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	21	25
3010 New obligations, unexpired accounts .....	168	168	167
3020 Outlays (gross) .....	-163	-164	-166
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	21	25	26
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	17	21	25
3200 Obligated balance, end of year .....	21	25	26

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	166	164	167
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	148	147	150
4011 Outlays from discretionary balances .....	15	17	16
4020 Outlays, gross (total) .....	163	164	166
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-2	-125	-136
4033 Non-Federal sources .....	-133		
4040 Offsets against gross budget authority and outlays (total) .....	-135	-125	-136
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	32	39	31
4080 Outlays, net (discretionary) .....	28	39	30
4180 Budget authority, net (total) .....	32	39	31
4190 Outlays, net (total) .....	28	39	30

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

The Department of Justice Antitrust Division and the Federal Trade Commission (FTC) are responsible for reviewing corporate mergers to ensure they do not promote anticompetitive practices. Revenue collected from pre-merger filing fees, known as Hart-Scott-Rodino fees, are collected by the FTC and split evenly between the two agencies. In 2020, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs.

Object Classification (in millions of dollars)

Identification code 015-0319-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	70	71	71
11.3 Other than full-time permanent .....	11	10	10
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	83	83	83
12.1 Civilian personnel benefits .....	25	22	23
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	21	22	23
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	27	28	26
25.3 Other goods and services from Federal sources .....	3	3	2
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....		4	4
99.0 Direct obligations .....	166	168	167
99.0 Reimbursable obligations .....	2		
99.9 Total new obligations, unexpired accounts .....	168	168	167

**Employment Summary**

Identification code 015-0319-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	658	672	695

**SALARIES AND EXPENSES, UNITED STATES ATTORNEYS**

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, \$2,254,541,000: Provided, That of the total amount appropriated, not to exceed \$19,600 shall be available for official reception and representation expenses: Provided further, That not to exceed \$25,000,000 shall remain available until expended: Provided further, That each United States Attorney shall establish or participate in a task force on human trafficking.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-0322-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Criminal .....	1,624	1,598	1,689
0003 Civil .....	521	512	538
0004 Legal Education .....	27	27	28
0799 Total direct obligations .....	2,172	2,137	2,255
0801 Salaries and Expenses, United States Attorneys (Reimbursable) .....	287	340	340
0900 Total new obligations, unexpired accounts .....	2,459	2,477	2,595

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	47	72	113
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	30	14	
1012 Unobligated balance transfers between expired and unexpired accounts .....	11		
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	75	72	113
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,136	2,137	2,255
1121 Appropriations transferred from other acct [011-1070] .....	1		
1160 Appropriation, discretionary (total) .....	2,137	2,137	2,255
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	233	311	311
1700 Collected - HCFA Discretionary .....		29	29
1701 Change in uncollected payments, Federal sources .....	84		
1750 Spending auth from offsetting collections, disc (total) .....	317	340	340
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	40	41	41
1801 Change in uncollected payments, Federal sources .....	-7		
1850 Spending auth from offsetting collections, mand (total) .....	33	41	41
1900 Budget authority (total) .....	2,487	2,518	2,636
1930 Total budgetary resources available .....	2,562	2,590	2,749
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-31		
1941 Unexpired unobligated balance, end of year .....	72	113	154

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	410	505	262
3010 New obligations, unexpired accounts .....	2,459	2,477	2,595
3011 Obligations ("upward adjustments"), expired accounts .....	7		
3020 Outlays (gross) .....	-2,334	-2,720	-2,557
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3041 Recoveries of prior year unpaid obligations, expired .....	-20		
3050 Unpaid obligations, end of year .....	505	262	300
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-111	-178	-178
3070 Change in uncollected pymts, Fed sources, unexpired .....	-77		
3071 Change in uncollected pymts, Fed sources, expired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-178	-178	-178

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	299	327	84
3200 Obligated balance, end of year .....	327	84	122

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	2,454	2,477	2,595
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,982	2,199	2,302
4011 Outlays from discretionary balances .....	302	214	214
4020 Outlays, gross (total) .....	2,284	2,413	2,516
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-243	-340	-340
4040 Offsets against gross budget authority and outlays (total) .....	-243	-340	-340
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-84		
4052 Offsetting collections credited to expired accounts .....	10		
4060 Additional offsets against budget authority only (total) .....	-74		
4070 Budget authority, net (discretionary) .....	2,137	2,137	2,255
4080 Outlays, net (discretionary) .....	2,041	2,073	2,176
<b>Mandatory:</b>			
4090 Budget authority, gross .....	33	41	41
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	29	41	41
4101 Outlays from mandatory balances .....	21	266	
4110 Outlays, gross (total) .....	50	307	41
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-40	-41	-41
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	7		
4170 Outlays, net (mandatory) .....	10	266	
4180 Budget authority, net (total) .....	2,137	2,137	2,255
4190 Outlays, net (total) .....	2,051	2,339	2,176

There are 94 United States Attorneys' Offices located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The 93 U.S. Attorneys (Guam and the Northern Mariana Islands are under the direction of a single U.S. Attorney) prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States. For 2020, the U.S. Attorneys request \$33.4 million to support key priorities and sustain hiring and program operations in the United States Attorneys' Offices, combat violent crime, and address the opioid epidemic.

**Object Classification** (in millions of dollars)

Identification code 015-0322-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	989	978	1,002
11.3 Other than full-time permanent .....	64	68	68
11.5 Other personnel compensation .....	28	22	22
11.8 Special personal services payments .....	2	1	1
11.9 Total personnel compensation .....	1,083	1,069	1,093
12.1 Civilian personnel benefits .....	354	362	401
21.0 Travel and transportation of persons .....	30	33	33
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	253	246	252
23.2 Rental payments to others .....	5	5	27
23.3 Communications, utilities, and miscellaneous charges .....	29	27	27
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	108	38	38
25.2 Other services from non-Federal sources .....	33	59	61
25.3 Purchases from Govt Accts .....	93	118	142
25.4 Operation and maintenance of facilities .....	68	56	56
25.6 Medical care .....	1	1	1
25.7 Operation and maintenance of equipment .....	59	60	60
26.0 Supplies and materials .....	14	14	14
31.0 Equipment .....	36	32	33
32.0 Land and structures .....	3	14	14
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,172	2,137	2,255
99.0 Reimbursable obligations .....	287	340	340
99.9 Total new obligations, unexpired accounts .....	2,459	2,477	2,595

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued

Employment Summary

Identification code 015-0322-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9,565	10,215	10,358
2001 Reimbursable civilian full-time equivalent employment .....	1,386	207	207

SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by section 3109 of title 5, United States Code, \$2,335,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-0100-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Foreign Claims .....	2	2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

The Foreign Claims Settlement Commission adjudicates the claims of United States nationals (individuals and corporations) for losses and injuries caused by foreign governments, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2020, the Commission will continue to administer the Guam Claims Program in accordance with the Guam World War II Loyalty Recognition Act, Title XVII, P.L. 114-328, 130 Stat. 2000, 2641-2647 (2016); the Iraq Claims Program in accordance with the October 7, 2014 referral by the Department of the State; and the Albania Claims Program in accordance with the 1995 United States-Albanian Claims Settlement Agreement.

Object Classification (in millions of dollars)

Identification code 015-0100-0-1-153	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	2	2	2

Employment Summary

Identification code 015-0100-0-1-153	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9	9	9

SALARIES AND EXPENSES, UNITED STATES MARSHALS SERVICE

For necessary expenses of the United States Marshals Service, \$1,373,416,000, of which not to exceed \$6,000 shall be available for official reception and representation expenses, and not to exceed \$15,000,000 shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-0324-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Judicial and Courthouse Security .....	452	446	466
0003 Fugitive Apprehension .....	516	515	541
0004 Prisoner Security and Transportation .....	243	233	244
0005 Protection of Witnesses .....	55	56	58
0006 Tactical Operations .....	77	61	64
0799 Total direct obligations .....	1,343	1,311	1,373
0801 Salaries and Expenses, United States Marshals Service (Reimbursable) .....	36	35	35
0900 Total new obligations, unexpired accounts .....	1,379	1,346	1,408
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	18	44
1012 Unobligated balance transfers between expired and unexpired accounts .....	13	15	.....
1021 Recoveries of prior year unpaid obligations .....	3	4	3
1033 Recoveries of prior year paid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	41	37	47
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,314	1,311	1,373
1121 Appropriations transferred from other acct [011-1070] ...	1	.....	.....
1121 Appropriations transferred from other acct [015-0200] ...	7	.....	.....
1160 Appropriation, discretionary (total) .....	1,322	1,311	1,373
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	35	35
1701 Change in uncollected payments, Federal sources .....	10	7	7
1750 Spending auth from offsetting collections, disc (total) .....	39	42	42
1900 Budget authority (total) .....	1,361	1,353	1,415
1930 Total budgetary resources available .....	1,402	1,390	1,462
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	18	44	54
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	192	246	144
3010 New obligations, unexpired accounts .....	1,379	1,346	1,408
3011 Obligations ("upward adjustments"), expired accounts .....	15	.....	.....
3020 Outlays (gross) .....	-1,316	-1,444	-1,409
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-4	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-21	.....	.....
3050 Unpaid obligations, end of year .....	246	144	140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-14	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	-7	-7
3071 Change in uncollected pymts, Fed sources, expired .....	6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-14	-21	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	182	232	123
3200 Obligated balance, end of year .....	232	123	112
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,361	1,353	1,415

4010	Outlays, gross:			
	Outlays from new discretionary authority .....	1,147	1,218	1,274
4011	Outlays from discretionary balances .....	169	226	135
4020	Outlays, gross (total) .....	1,316	1,444	1,409
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-39	-35	-35
4033	Non-Federal sources .....	-2	-7	-7
4034	Offsetting governmental collections .....	-2		
4040	Offsets against gross budget authority and outlays (total) ....	-43	-42	-42
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-10	-7	-7
4052	Offsetting collections credited to expired accounts .....	10	7	7
4053	Recoveries of prior year paid obligations, unexpired accounts .....	4		
4060	Additional offsets against budget authority only (total) .....	4		
4070	Budget authority, net (discretionary) .....	1,322	1,311	1,373
4080	Outlays, net (discretionary) .....	1,273	1,402	1,367
4180	Budget authority, net (total) .....	1,322	1,311	1,373
4190	Outlays, net (total) .....	1,273	1,402	1,367

25.3	Other goods and services from Federal sources .....	72	63	65
25.4	Operation and maintenance of facilities .....	32	32	32
25.7	Operation and maintenance of equipment .....	28	26	26
26.0	Supplies and materials .....	27	23	24
31.0	Equipment .....	74	43	47
32.0	Land and structures .....	13	12	12
42.0	Insurance claims and indemnities .....		1	
99.0	Direct obligations .....	1,343	1,311	1,373
99.0	Reimbursable obligations .....	36	35	35
99.9	Total new obligations, unexpired accounts .....	1,379	1,346	1,408

**Employment Summary**

Identification code 015-0324-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,627	4,928	4,946
2001 Reimbursable civilian full-time equivalent employment .....	338	148	148

The Federal Government is represented by a United States Marshal in each of the 94 judicial districts. The primary mission of the United States Marshals Service (USMS) is to protect, defend, and enforce the American justice system by securing Federal court facilities and ensuring the safety of judges and other court personnel; apprehending fugitives and non-compliant sex offenders; exercising custody of Federal prisoners, and providing for their security and transportation from arrest to incarceration; ensuring the safety of protected government witnesses and their families; executing Federal warrants and court orders; managing seized assets acquired through illegal means; and providing custody, management, and disposal of forfeited assets. The USMS is the principal support force in the Federal judicial system and an integral part of the Federal law enforcement community.

Other Federal funds are derived from the Administrative Office of the U.S. Courts for the Judicial Facility Security Program, the Assets Forfeiture Fund for seized assets management and disposal, the Fees and Expenses of Witnesses appropriation for protected witnesses' security and relocation, the Organized Crime Drug Enforcement Task Forces Program for multi-agency drug investigations, and the Department of Education and the Centers for Disease Control for security services. Non-Federal funds are derived from State and local governments for witness protection and the transportation of prisoners pursuant to State writs, as well as fees collected from service of civil process and sales associated with judicial orders.

For FY 2020, USMS requests \$8 million to provide additional Deputy U.S. Marshals to manage increased workload and enhance its capacity to effectively address Departmental priorities related to violent crime reduction across its core missions. In addition, USMS requests \$6.1 million to upgrade its fugitive apprehension aviation program and enable USMS to maintain operational capability for missions that require specialized airborne surveillance. USMS requests \$1 million to evaluate protection requirements for civilian Cabinet and sub-Cabinet level officials in support of the President's 2018 Government Reform and Reorganization Plan.

**Object Classification** (in millions of dollars)

Identification code 015-0324-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	431	436	451
11.3 Other than full-time permanent .....	11	11	11
11.5 Other personnel compensation .....	89	88	92
11.9 Total personnel compensation .....	531	535	554
12.1 Civilian personnel benefits .....	254	256	283
21.0 Travel and transportation of persons .....	34	31	32
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	193	196	199
23.2 Rental payments to others .....	12	22	22
23.3 Communications, utilities, and miscellaneous charges .....	24	23	23
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	22	22	23
25.2 Other services from non-Federal sources .....	23	22	27

**CONSTRUCTION**

For construction in space controlled, occupied or utilized by the United States Marshals Service for prisoner holding and related support, \$14,971,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-0133-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Construction .....	44	53	15
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	11	13
1021 Recoveries of prior year unpaid obligations .....		2	2
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	2	13	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	53	53	15
1930 Total budgetary resources available .....	55	66	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	13	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	64	83
3010 New obligations, unexpired accounts .....	44	53	15
3020 Outlays (gross) .....	-13	-32	-49
3040 Recoveries of prior year unpaid obligations, unexpired .....		-2	-2
3050 Unpaid obligations, end of year .....	64	83	47
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	64	83
3200 Obligated balance, end of year .....	64	83	47

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	53	53	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	1
4011 Outlays from discretionary balances .....	13	28	48
4020 Outlays, gross (total) .....	13	32	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070 Budget authority, net (discretionary) .....	53	53	15
4080 Outlays, net (discretionary) .....	12	32	49
4180 Budget authority, net (total) .....	53	53	15
4190 Outlays, net (total) .....	12	32	49

CONSTRUCTION—Continued

The Construction appropriation provides resources to modify spaces controlled, occupied, and/or utilized by the United States Marshals Service for prisoner holding and related support.

Object Classification (in millions of dollars)

Identification code 015-0133-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....		1	
25.1 Advisory and assistance services .....		2	
25.4 Operation and maintenance of facilities .....	3	11	1
31.0 Equipment .....	3	19	1
32.0 Land and structures .....	38	20	13
99.9 Total new obligations, unexpired accounts .....	44	53	15

FEDERAL PRISONER DETENTION

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses related to United States prisoners in the custody of the United States Marshals Service as authorized by section 4013 of title 18, United States Code, \$1,867,461,000, to remain available until expended: Provided, That not to exceed \$20,000,000 shall be considered "funds appropriated for State and local law enforcement assistance" pursuant to section 4013(b) of title 18, United States Code: Provided further, That the United States Marshals Service shall be responsible for managing the Justice Prisoner and Alien Transportation System.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-1020-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Prisoner Detention .....	1,612	1,536	1,867
0100 Direct program activities, subtotal .....	1,612	1,536	1,867
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	112	54	77
1021 Recoveries of prior year unpaid obligations .....	18	23	23
1050 Unobligated balance (total) .....	130	77	100
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,536	1,536	1,867
1900 Budget authority (total) .....	1,536	1,536	1,867
1930 Total budgetary resources available .....	1,666	1,613	1,967
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	54	77	100
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	240	311	260
3010 New obligations, unexpired accounts .....	1,612	1,536	1,867
3020 Outlays (gross) .....	-1,523	-1,564	-1,817
3040 Recoveries of prior year unpaid obligations, unexpired .....	-18	-23	-23
3050 Unpaid obligations, end of year .....	311	260	287
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	240	311	260
3200 Obligated balance, end of year .....	311	260	287
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,536	1,536	1,867
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,224	1,306	1,587
4011 Outlays from discretionary balances .....	299	258	230
4020 Outlays, gross (total) .....	1,523	1,564	1,817
4180 Budget authority, net (total) .....	1,536	1,536	1,867
4190 Outlays, net (total) .....	1,523	1,564	1,817

The Federal Prisoner Detention (FPD) account is responsible for the costs associated with the care of Federal detainees in the custody of the United States Marshals Service (USMS). The USMS must ensure the safe, secure, and humane confinement of persons in its custody while allowing unimpeded prisoner transportation operations. The FPD account provides for the care of Federal detainees in private, State, and local facilities, which includes housing, subsistence, transportation, medical care, and medical guard service.

For 2020, FPD requests \$330.5 million for housing, medical, and transportation costs associated with the projected USMS detention population. The Federal Government utilizes various methods to house detainees. Detention bed space for Federal detainees is acquired to maximize efficiency and effectiveness for the Government through: 1) Federally-owned and managed detention facilities, where the Government has paid for construction and operation of the facility (funded in the Federal Bureau of Prisons' (BOP) account); 2) Intergovernmental Agreements with State and local jurisdictions, whose excess prison and jail bed capacity is utilized and paid via a daily rate; and 3) Private performance-based contract facilities, where a daily rate is paid. Over three-quarters of the USMS's federally detained population will likely be housed in State, local, and private facilities.

The USMS continues to look for efficiencies and cost reductions through process and infrastructure improvements. The costs associated with these efforts are funded from the FPD account. The USMS has successfully implemented efficiencies in several areas including: eDesignate, which was developed to provide a more efficient workflow between the U.S. Courts, the USMS, and the BOP; core-rate IGA, which standardizes the pricing strategy for paying for non-federal detention space in order to control costs; the Quality Assurance Review Program, which ensures non-federal facilities' housing meet Department of Justice requirements for safe, secure and humane confinement; and increased use of detention alternatives by providing funding to the Federal Judiciary to support alternatives to pretrial detention, such as electronic monitoring, halfway house placement, and drug testing and treatment. The USMS will continue to identify issues and develop solutions to drive further efficiencies.

Object Classification (in millions of dollars)

Identification code 015-1020-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	3	3
11.8 Special personal services payments .....		1	1
11.9 Total personnel compensation .....	2	4	4
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	5	5	10
25.3 Other goods and services from Federal sources .....	89	91	99
25.4 Operation and maintenance of facilities .....	9	7	10
25.6 Medical care .....	69	82	95
25.7 Operation and maintenance of equipment .....	1	1	2
25.8 Subsistence and support of persons .....	1,433	1,336	1,635
31.0 Equipment .....		5	7
32.0 Land and structures .....		1	1
99.9 Total new obligations, unexpired accounts .....	1,612	1,536	1,867

Employment Summary

Identification code 015-1020-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	21	27	27

FEES AND EXPENSES OF WITNESSES

For fees and expenses of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, including advances, and for expenses of foreign counsel, \$270,000,000, to remain available until expended, of which not to exceed \$16,000,000 is for construction of buildings for protected witness safesites; not to exceed \$3,000,000 is for the purchase and maintenance of

armored and other vehicles for witness security caravans; and not to exceed \$18,000,000 is for the purchase, installation, maintenance, and upgrade of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses: Provided, That amounts made available under this heading may not be transferred pursuant to section 205 of this Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0311–0–1–752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Fees and expenses of witnesses .....	220	252	213
0002 Protection of witnesses .....	57	47	40
0003 Private counsel .....	7	8	6
0004 Foreign counsel .....	10	12	10
0005 Alternative Dispute Resolution .....	2	1	1
0900 Total new obligations, unexpired accounts .....	296	320	270
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	246	250	100
1021 Recoveries of prior year unpaid obligations .....	44		
1033 Recoveries of prior year paid obligations .....	4		
1050 Unobligated balance (total) .....	294	250	100
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	270	270	270
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-18		
1230 Cancellation of Balances .....		-100	
1260 Appropriations, mandatory (total) .....	252	170	270
1930 Total budgetary resources available .....	546	420	370
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	250	100	100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	318	343	160
3010 New obligations, unexpired accounts .....	296	320	270
3020 Outlays (gross) .....	-227	-503	-274
3040 Recoveries of prior year unpaid obligations, unexpired .....	-44		
3050 Unpaid obligations, end of year .....	343	160	156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	318	343	160
3200 Obligated balance, end of year .....	343	160	156
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	252	170	270
Outlays, gross:			
4100 Outlays from new mandatory authority .....	129	189	189
4101 Outlays from mandatory balances .....	98	314	85
4110 Outlays, gross (total) .....	227	503	274
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-3		
4123 Non-Federal sources .....	-1		
4130 Offsets against gross budget authority and outlays (total) ....	-4		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	4		
4160 Budget authority, net (mandatory) .....	252	170	270
4170 Outlays, net (mandatory) .....	223	503	274
4180 Budget authority, net (total) .....	252	170	270
4190 Outlays, net (total) .....	223	503	274

This appropriation is used to pay fees and expenses of witnesses who appear on behalf of the Government in litigation in which the United States is a party. The United States Attorneys, the United States Marshals Service, and the Department's six litigating divisions are served by this appropriation.

*Fees and expenses of witnesses.*—Pays the fees and expenses associated with the presentation of testimony on behalf of the United States for fact

witnesses who testify as to events or facts about which they have personal knowledge, and for expert witnesses who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

*Protection of witnesses.*—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

*Victim compensation fund.*—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

*Private counsel.*—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional testimony as well as for litigation in instances where Government counsel is precluded from representing the employee or private counsel is otherwise appropriate).

*Foreign Counsel.*—Allows the Civil Division, which is authorized to oversee litigation in foreign courts, to pay legal expenses of foreign counsel, retained and supervised by the Department of Justice, who represent the United States in cases filed in foreign courts.

*Alternative Dispute Resolution.*—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

**Object Classification** (in millions of dollars)

Identification code 015–0311–0–1–752	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.8 Fees and expenses of witnesses .....	210	234	203
11.8 Fees, protection of witnesses .....	57	57	45
11.9 Total personnel compensation .....	267	291	248
21.0 Per diem in lieu of subsistence .....	7	7	9
25.1 Advisory and assistance services .....	11	11	8
25.2 Other services from non-Federal sources .....	1	1	2
25.3 Other goods and services from Federal sources .....	7	7	3
25.8 Subsistence and support of persons .....	3	3	
99.9 Total new obligations, unexpired accounts .....	296	320	270

**SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0500–0–1–752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Community Relations Service .....	15	17	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	16	16	
1930 Total budgetary resources available .....	16	17	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	10	4
3010 New obligations, unexpired accounts .....	15	17	
3020 Outlays (gross) .....	-13	-23	-2

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE—Continued  
Program and Financing—Continued

Identification code 015-0500-0-1-752	2018 actual	2019 est.	2020 est.
3050 Unpaid obligations, end of year .....	10	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	10	4
3200 Obligated balance, end of year .....	10	4	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	16	16	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	14	
4011 Outlays from discretionary balances .....	4	9	2
4020 Outlays, gross (total) .....	13	23	2
4180 Budget authority, net (total) .....	16	16	
4190 Outlays, net (total) .....	13	23	2

The Community Relations Service provides assistance to State and local communities in the prevention and resolution of tension, violence, and civil disorders relating to actual or perceived discrimination on the basis of race, color, or national origin. The Service also works with communities to employ strategies to prevent and respond to violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion, or disability. The 2020 Budget is not requesting an appropriation for the Community Relations Service (CRS), and instead proposes to consolidate those functions within the Civil Rights Division (CRT). This consolidation would appropriately rightsize the Federal role in local conflict resolutions, while eliminating duplicative functions and improving efficiency. The Budget transfers \$5 million and 15 positions to CRT to support this proposal.

Object Classification (in millions of dollars)

Identification code 015-0500-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	
12.1 Civilian personnel benefits .....	1	2	
21.0 Travel and transportation of persons .....	1	1	
23.1 Rental payments to GSA .....	2	2	
25.1 Advisory and assistance services .....	1	1	
25.2 Other services from non-Federal sources .....		2	
25.3 Other goods and services from Federal sources .....	5	4	
99.9 Total new obligations, unexpired accounts .....	15	17	

Employment Summary

Identification code 015-0500-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	39	47	

INDEPENDENT COUNSEL

Program and Financing (in millions of dollars)

Identification code 015-0327-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Investigations and prosecutions as authorized by Congress .....	9	10	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	11	10	10
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1		
1260 Appropriations, mandatory (total) .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	4
3010 New obligations, unexpired accounts .....	9	10	10
3020 Outlays (gross) .....	-8	-10	-10
3050 Unpaid obligations, end of year .....	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	4	4
3200 Obligated balance, end of year .....	4	4	4

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10	10	10
Outlays, gross:			
4100 Outlays from new mandatory authority .....	8	8	8
4101 Outlays from mandatory balances .....		2	2
4110 Outlays, gross (total) .....	8	10	10
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	8	10	10

A permanent appropriation is available to fund independent and special counsel activities (28 U.S.C. 591 note). In recent years, special counsels have been appointed to investigate allegations that senior Executive Branch officials violated Federal law. This permanent appropriation is used to fund such investigations.

Object Classification (in millions of dollars)

Identification code 015-0327-0-1-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	1	1	1
25.3 Other goods and services from Federal sources .....	8	9	9
99.9 Total new obligations, unexpired accounts .....	9	10	10

Employment Summary

Identification code 015-0327-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8	8	8

SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)

Program and Financing (in millions of dollars)

Identification code 015-0340-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Management and Administration .....	1		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7		
1930 Total budgetary resources available .....	7	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	7		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1		
4180 Budget authority, net (total) .....	7		

4190	Outlays, net (total) .....	1		
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Title II of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) reopened the September 11th Victim Compensation Fund (VCF), extending eligibility for compensation associated with physical harm stemming from debris removal and extending the deadline for claims associated with harms not discovered before the Fund's original deadline. The VCF was established by the Air Transportation Safety and System Stabilization Act (P.L. 107-42) as an alternative to litigation, and had terminated operations in 2004 after making compensation payments of more than \$7 billion to survivors of 2,880 persons killed in the September 11, 2001 terrorist attacks and to 2,680 individuals who were injured in the attacks and subsequent rescue efforts.

The James Zadroga 9/11 Health and Compensation Act of 2010 reopened the VCF and made \$2.775 billion available for the settlement of claim determinations issued on or before December 17, 2015. After all claims in this group were resolved, roughly all remaining funds (\$813 million) became available in the new Victims Compensation Fund. The Victims Compensation Fund is available for the settlement of claim determinations issued after December 17, 2015.

VICTIMS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 015-0139-0-1-754	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Victims Compensation .....	1,221	1,163	1,163
0002	Management and Administration .....	28	37	37
0900	Total new obligations, unexpired accounts .....	1,249	1,200	1,200
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4,412	3,163	1,963
1930	Total budgetary resources available .....	4,412	3,163	1,963
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	3,163	1,963	763
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	12	12
3010	New obligations, unexpired accounts .....	1,249	1,200	1,200
3020	Outlays (gross) .....	-1,246	-1,200	-1,200
3050	Unpaid obligations, end of year .....	12	12	12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	12	12
3200	Obligated balance, end of year .....	12	12	12
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	1,246	1,200	1,200
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1,246	1,200	1,200

Public Law 114-113 provided \$4.6 billion starting in 2017 for the settlement of claims related to the September 11th attacks. Per Section 410, a new Treasury account was established called the "Victims Compensation Fund." This new fund is available for the settlement of claim determinations issued after December 17, 2015. After all claims in the September 11th Victim Compensation Fund were resolved, \$813 million in remaining funding became available in the new Victims Compensation Fund.

Object Classification (in millions of dollars)

Identification code 015-0139-0-1-754	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	28	37	37
42.0	Insurance claims and indemnities .....	1,221	1,163	1,163

99.9	Total new obligations, unexpired accounts .....	1,249	1,200	1,200
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UNITED STATES VICTIMS OF STATE SPONSORED TERRORISM FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5608-0-2-754	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....		1	7
Receipts:				
Current law:				
1110	Fines, Penalties, and Forfeitures, United States Victims of State Sponsored Terrorism Fund .....	471	170	170
1140	Earnings on Investments, United States Victims of State Sponsored Terrorism Fund .....	7	6	1
1199	Total current law receipts .....	478	176	171
1999	Total receipts .....	478	176	171
2000	Total: Balances and receipts .....	478	177	178
Appropriations:				
Current law:				
2101	United States Victims of State Sponsored Terrorism Fund .....	-477	-170	-170
5099	Balance, end of year .....	1	7	8

Program and Financing (in millions of dollars)

Identification code 015-5608-0-2-754	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Victim Compensation .....	1,189	167	
0002	Management and Administration .....	2	3	3
0900	Total new obligations, unexpired accounts .....	2	1,192	170
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	520	1,163	141
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	167		
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	688	1,163	141
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	477	170	170
1930	Total budgetary resources available .....	1,165	1,333	311
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,163	141	141
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1	41
3010	New obligations, unexpired accounts .....	2	1,192	170
3020	Outlays (gross) .....	-2	-1,152	-170
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	1	41	41
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	1	41
3200	Obligated balance, end of year .....	1	41	41
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Budget authority, gross:				
4090	Budget authority, gross .....	477	170	170
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	102	102
4101	Outlays from mandatory balances .....	1	1,050	68
4110	Outlays, gross (total) .....	2	1,152	170
4180	Budget authority, net (total) .....	477	170	170
4190	Outlays, net (total) .....	2	1,152	170
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....		1,161	41
5001	Total investments, EOY: Federal securities: Par value .....	1,161	41	74

The Consolidated Appropriations Act, 2016 established the United States Victims of State Sponsored Terrorism Fund (VSSTF) as an effort to improve the availability of compensation for certain U.S. victims of state sponsored terrorism. VSSTF is managed by the Criminal Division's Money Laundering and Asset Recovery Section.

UNITED STATES VICTIMS OF STATE SPONSORED TERRORISM FUND—Continued

Object Classification (in millions of dollars)

Identification code 015-5608-0-2-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	2	3	3
42.0 Insurance claims and indemnities .....	1,189	167	
99.9 Total new obligations, unexpired accounts .....	2	1,192	170

UNITED STATES TRUSTEE SYSTEM FUND

For necessary expenses of the United States Trustee Program, as authorized, \$227,229,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, deposits to the United States Trustee System Fund and amounts herein appropriated shall be available in such amounts as may be necessary to pay refunds due depositors: Provided further, That, notwithstanding any other provision of law, fees deposited into the Fund pursuant to section 589a(b) of title 28, United States Code (as limited by section 1004(b) of the Bankruptcy Judgeship Act of 2017 (division B, Public Law 115-72)), shall be retained and used for necessary expenses in this appropriation and shall remain available until expended: Provided further, That to the extent that fees deposited into the Fund in fiscal year 2020, net of amounts necessary to pay refunds due depositors, exceed \$227,229,000, those excess amounts shall be available in future fiscal years only to the extent provided in advance in appropriations Acts: Provided further, That the sum herein appropriated from the general fund shall be reduced (1) as such fees are received during fiscal year 2020, net of amounts necessary to pay refunds due depositors, (estimated at \$395,000,000) and (2) to the extent that any remaining general fund appropriations can be derived from amounts deposited in the Fund in previous fiscal years that are not otherwise appropriated, so as to result in a final fiscal year 2020 appropriation from the general fund estimated at \$0.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5073-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	13	55	199
0198 Adjustment for FY 2017 appropriation offset .....		-12	
0199 Balance, start of year .....	13	43	199
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Fees for Bankruptcy Oversight, U.S. Trustees System .....	268	381	395
1140 Earnings on Investments, U.S. Trustees System .....	1	1	2
1199 Total current law receipts .....	269	382	397
1999 Total receipts .....	269	382	397
2000 Total: Balances and receipts .....	282	425	596
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 United States Trustee System Fund .....	-226	-226	-227
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	55	199	369

Program and Financing (in millions of dollars)

Identification code 015-5073-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United States Trustee System Fund (Direct) .....	233	237	235
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	19	8
1021 Recoveries of prior year unpaid obligations .....	17		
1050 Unobligated balance (total) .....	26	19	8
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	226	226	227
1930 Total budgetary resources available .....	252	245	235

1941	19	8	
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....			

3000	46	51	50
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	51	50
3010 New obligations, unexpired accounts .....	233	237	235
3020 Outlays (gross) .....	-211	-238	-226
3040 Recoveries of prior year unpaid obligations, unexpired .....	-17		
3050 Unpaid obligations, end of year .....	51	50	59
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	46	51	50
3200 Obligated balance, end of year .....	51	50	59

4000	226	226	227
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	226	226	227
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	189	199	200
4011 Outlays from discretionary balances .....	22	39	26
4020 Outlays, gross (total) .....	211	238	226
4180 Budget authority, net (total) .....	226	226	227
4190 Outlays, net (total) .....	211	238	226

5000			112
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....			112
5001 Total investments, EOY: Federal securities: Par value .....		112	177

United States Trustee System Fund.—The United States Trustees supervise the administration of bankruptcy cases and private trustees in the Federal Bankruptcy Courts, and litigate against fraud and abuse in the system by debtors, creditors, attorneys, bankruptcy petition preparers, and others. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (Public Law 99-554) expanded the pilot trustee program to a 21 region, nationwide program encompassing 88 judicial districts. (Bankruptcy cases filed in Alabama and North Carolina are administered by the Administrative Office of the U.S. Courts.) The Bankruptcy Abuse Prevention and Consumer Protection Act of 2005 (Public Law 109-8) expanded the United States Trustees' existing responsibilities to include, among other things, means testing, credit counseling/debtor education, and debtor audits. User fees that are assessed offset the annual appropriation. In October 2017, the Bankruptcy Judgeship Act of 2017 (Public Law 115-72) was enacted which adjusted quarterly fees for the largest chapter 11 debtors. The amended fee structure was effective January 1, 2018 and applies for each fiscal year through 2022. The fee schedule, however, will revert to the prior schedule for any fiscal year in which the balance of the Fund equals or exceeds \$200 million as of the end of the prior fiscal year. As a result, the United States Trustee Program's 2020 appropriation is anticipated to be fully offset by bankruptcy fees collected and on deposit in the United States Trustee System Fund if the amended fee structure is in effect for that year.

Object Classification (in millions of dollars)

Identification code 015-5073-0-2-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	109	114	114
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	114	119	119
12.1 Civilian personnel benefits .....	38	40	43
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	25	26	26
23.2 Rental payments to others .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	4	4
25.1 Advisory and assistance services .....	6	7	5
25.2 Other services from non-Federal sources .....	11	11	11
25.3 Other goods and services from Federal sources .....	17	14	14
25.4 Operation and maintenance of facilities .....	7	7	5
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	2	2
32.0 Land and structures .....	2	2	1
99.9 Total new obligations, unexpired accounts .....	233	237	235

**Employment Summary**

Identification code 015-5073-0-2-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	981	1,015	1,015
2001 Reimbursable civilian full-time equivalent employment .....			1

**ASSETS FORFEITURE FUND**

For expenses authorized by subparagraphs (B), (F), and (G) of section 524(c)(1) of title 28, United States Code, \$20,514,000, to be derived from the Department of Justice Assets Forfeiture Fund.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-5042-0-2-752	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	444	105	221
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from the Sale of Forfeited Property, Assets Forfeiture Fund .....	1,257	1,055	1,055
1140 Interest and Profit on Investment, Department of Justice Assets Forfeiture Fund .....	62	158	10
1199 Total current law receipts .....	1,319	1,213	1,065
1999 Total receipts .....	1,319	1,213	1,065
2000 Total: Balances and receipts .....	1,763	1,318	1,286
Appropriations:			
Current law:			
2101 Assets Forfeiture Fund .....	-21	-21	-21
2101 Assets Forfeiture Fund .....	-1,299	-1,034	-1,034
2103 Assets Forfeiture Fund .....	-444	-106	
2103 Assets Forfeiture Fund .....			-64
2132 Assets Forfeiture Fund .....	106	64	
2199 Total current law appropriations .....	-1,658	-1,097	-1,119
2999 Total appropriations .....	-1,658	-1,097	-1,119
5099 Balance, end of year .....	105	221	167

**Program and Financing** (in millions of dollars)

Identification code 015-5042-0-2-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Assets Forfeiture Fund (Direct) .....	1,551	1,235	1,230
0801 Assets Forfeiture Fund (Reimbursable) .....	9	22	19
0900 Total new obligations, unexpired accounts .....	1,560	1,257	1,249
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,303	1,202	833
1021 Recoveries of prior year unpaid obligations .....	87	75	75
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	1,391	1,277	908
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	21	21	21
1131 Unobligated balance of appropriations permanently reduced .....		-304	
1160 Appropriation, discretionary (total) .....	21	-283	21
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,299	1,034	1,034
1203 Appropriation (previously unavailable) .....	444	106	
1203 Return of Super Surplus .....			64
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-304		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced (sequester) .....	-106	-64	
1260 Appropriations, mandatory (total) .....	1,333	1,076	1,098
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	15	20	19
1801 Change in uncollected payments, Federal sources .....	2		

1850 Spending auth from offsetting collections, mand (total) .....	17	20	19
1900 Budget authority (total) .....	1,371	813	1,138
1930 Total budgetary resources available .....	2,762	2,090	2,046
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,202	833	797

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,870	3,719	924
3010 New obligations, unexpired accounts .....	1,560	1,257	1,249
3020 Outlays (gross) .....	-2,624	-3,977	-1,410
3040 Recoveries of prior year unpaid obligations, unexpired .....	-87	-75	-75
3050 Unpaid obligations, end of year .....	3,719	924	688
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3090 Uncollected pymts, Fed sources, end of year .....	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,857	3,704	909
3200 Obligated balance, end of year .....	3,704	909	673

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	21	-283	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	-296	8
4011 Outlays from discretionary balances .....	10	12	12
4020 Outlays, gross (total) .....	21	-284	20
Mandatory:			
4090 Budget authority, gross .....	1,350	1,096	1,117
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,040	704	677
4101 Outlays from mandatory balances .....	1,563	3,557	713
4110 Outlays, gross (total) .....	2,603	4,261	1,390
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-15	-20	-19
4123 Non-Federal sources .....	-1		
4130 Offsets against gross budget authority and outlays (total) ...	-16	-20	-19
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4150 Additional offsets against budget authority only (total) .....	-1		
4160 Budget authority, net (mandatory) .....	1,333	1,076	1,098
4170 Outlays, net (mandatory) .....	2,587	4,241	1,371
4180 Budget authority, net (total) .....	1,354	793	1,119
4190 Outlays, net (total) .....	2,608	3,957	1,391

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	5,251	4,932	3,100
5001 Total investments, EOY: Federal securities: Par value .....	4,932	3,100	3,200

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund (AFF) as a repository for forfeited cash and the proceeds of sales of forfeited property under any law enforced and administered by the Department of Justice in accordance with 28 U.S.C. 524(c). Authorities of the AFF have been amended by various public laws enacted since 1984. Under current law, authority to use the AFF for certain investigative expenses shall be specified in annual appropriations acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise, or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the assets forfeiture program are paid from the permanent, indefinite portion of the AFF. Once all expenses are covered, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

**Object Classification** (in millions of dollars)

Identification code 015-5042-0-2-752	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	43	45
12.1 Civilian personnel benefits .....	16	15	15

ASSETS FORFEITURE FUND—Continued  
Object Classification—Continued

Identification code 015-5042-0-2-752	2018 actual	2019 est.	2020 est.	
21.0	Travel and transportation of persons	7	7	7
22.0	Transportation of things	3	2	2
23.1	Rental payments to GSA	16	13	13
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	12	12	12
25.1	Advisory and assistance services	191	191	192
25.2	Other services from non-Federal sources	1,200	817	814
25.3	Other goods and services from Federal sources	44	76	76
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	35	28	25
25.8	Subsistence and support of persons	2	2	2
26.0	Supplies and materials	4	15	15
31.0	Equipment	18	12	10
99.0	Direct obligations	1,551	1,235	1,230
99.0	Reimbursable obligations	9	22	19
99.9	Total new obligations, unexpired accounts	1,560	1,257	1,249

Employment Summary

Identification code 015-5042-0-2-752	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	23	26	26
1001	Direct civilian full-time equivalent employment		202	202
1001	Direct civilian full-time equivalent employment		112	112
1001	Direct civilian full-time equivalent employment		57	57
1001	Direct civilian full-time equivalent employment		4	4
1001	Direct civilian full-time equivalent employment		2	2

JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND, U.S. MARSHALS

Program and Financing (in millions of dollars)

Identification code 015-4575-0-4-752	2018 actual	2019 est.	2020 est.	
0801	Justice Prisoner and Alien Transportation System Fund, U.S. Marshals (Reimbursable)	54	54	59
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	36	36	43
1021	Recoveries of prior year unpaid obligations		4	
1050	Unobligated balance (total)	36	40	43
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	57	57	62
1701	Change in uncollected payments, Federal sources	-3		
1750	Spending auth from offsetting collections, disc (total)	54	57	62
1930	Total budgetary resources available	90	97	105
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	36	43	46

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	7	3
3010	New obligations, unexpired accounts	54	54	59
3020	Outlays (gross)	-51	-54	-62
3040	Recoveries of prior year unpaid obligations, unexpired		-4	
3050	Unpaid obligations, end of year	7	3	
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	3		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1	5	1
3200	Obligated balance, end of year	5	1	-2

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	54	57	62
Outlays, gross:				
4010	Outlays from new discretionary authority	11	51	56

4011	Outlays from discretionary balances	40	3	6
4020	Outlays, gross (total)	51	54	62
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-57	-57	-62
4040	Offsets against gross budget authority and outlays (total)	-57	-57	-62
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	3		
4080	Outlays, net (discretionary)	-6	-3	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-6	-3	

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting the majority of Federal prisoners and detainees in the custody of the United States Marshals Service or the Bureau of Prisons. JPATS transports both sentenced and pretrial prisoners and detainees via coordinated air and ground systems without sacrificing the safety of the public, Federal employees, or those in custody. JPATS also transports prisoners and detainees on a reimbursable space-available basis for the Department of Defense and other participating executive departments as well as State and local agencies. Customers are billed based on the number of flight hours and the number of seats used to move their prisoners.

Object Classification (in millions of dollars)

Identification code 015-4575-0-4-752	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	8	10	11
11.5	Other personnel compensation	1	1	1
11.8	Special personal services payments	3	4	4
11.9	Total personnel compensation	12	15	16
12.1	Civilian personnel benefits		4	5
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	5	5	3
25.1	Advisory and assistance services		1	1
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	5		
25.7	Operation and maintenance of equipment	13	13	16
26.0	Supplies and materials	11	12	14
31.0	Equipment	5	1	1
99.9	Total new obligations, unexpired accounts	54	54	59

Employment Summary

Identification code 015-4575-0-4-752	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment	95	110	113

NATIONAL SECURITY DIVISION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary to carry out the activities of the National Security Division, \$109,585,000 of which not to exceed \$5,000,000 for information technology systems shall remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for the activities of the National Security Division, the Attorney General may transfer such amounts to this heading from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-1300-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Security Division .....	98	101	110
0801 Salaries and Expenses (Reimbursable) .....	4		
0900 Total new obligations, unexpired accounts .....	102	101	110
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	5
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	5	3	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	101	110
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	104	103	112
1930 Total budgetary resources available .....	109	106	117
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4		
1941 Unexpired unobligated balance, end of year .....	3	5	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	16	10
3010 New obligations, unexpired accounts .....	102	101	110
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-106	-107	-111
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	16	10	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	13	7
3200 Obligated balance, end of year .....	13	7	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	104	103	112
Outlays, gross:			
4010 Outlays from new discretionary authority .....	88	92	100
4011 Outlays from discretionary balances .....	18	15	11
4020 Outlays, gross (total) .....	106	107	111
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-2	-2
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-5	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	101	101	110
4080 Outlays, net (discretionary) .....	101	105	109
4180 Budget authority, net (total) .....	101	101	110
4190 Outlays, net (total) .....	101	105	109

The Mission of the National Security Division (NSD) is to protect the United States from threats to our national security by pursuing justice through the law. NSD strengthens the Department's core national security functions by providing strategic national security policy coordination and development. NSD combines counterterrorism, counterintelligence, export control, and cyber prosecutors with attorneys who oversee the Department's foreign intelligence/counterintelligence operations, as well as attorneys who provide policy and legal advice on a wide range of national security

issues. For 2020, NSD is requesting \$110 million to protect and defend the United States against the full range of national security threats, consistent with the rule of law.

**Object Classification** (in millions of dollars)

Identification code 015-1300-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	47	50	54
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	50	53	57
12.1 Civilian personnel benefits .....	15	16	18
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	11	14	14
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	1	1	2
25.2 Other services from non-Federal sources .....		1	1
25.3 Other goods and services from Federal sources .....	11	10	10
25.7 Operation and maintenance of equipment .....	6	2	2
31.0 Equipment .....	1		2
99.0 Direct obligations .....	98	101	110
99.0 Reimbursable obligations .....	4		
99.9 Total new obligations, unexpired accounts .....	102	101	110

**Employment Summary**

Identification code 015-1300-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	345	347	362

**RADIATION EXPOSURE COMPENSATION**  
*Federal Funds*  
PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 015-0333-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to radiation exposure compensation trust fund .....	50	45	65
0900 Total new obligations, unexpired accounts (object class 25.2) .....	50	45	65
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	50	45	65
1930 Total budgetary resources available .....	50	45	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	50	45	65
3020 Outlays (gross) .....	-50	-45	-65
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	50	45	65
Outlays, gross:			
4100 Outlays from new mandatory authority .....	50	45	65
4180 Budget authority, net (total) .....	50	45	65
4190 Outlays, net (total) .....	50	45	65

*Trust Funds*

RADIATION EXPOSURE COMPENSATION TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-8116-0-7-054	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			

RADIATION EXPOSURE COMPENSATION TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 015-8116-0-7-054	2018 actual	2019 est.	2020 est.
<b>Receipts:</b>			
Current law:			
1140			
Payment from the General Fund, Radiation Exposure Compensation Trust Fund .....	50	45	65
2000	50	45	65
Total: Balances and receipts .....			
<b>Appropriations:</b>			
Current law:			
2101			
Radiation Exposure Compensation Trust Fund .....	-50	-45	-65
5099			
Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 015-8116-0-7-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	60	60	60
Payments to RECA claimants .....			
0900	60	60	60
Total new obligations, unexpired accounts (object class 41.0) .....			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	31	21	6
Unobligated balance brought forward, Oct 1 .....			
Budget authority:			
Appropriations, mandatory:			
1201	50	45	65
Appropriation (special or trust fund) .....			
1930	81	66	71
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	21	6	11
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000			13
Unpaid obligations, brought forward, Oct 1 .....			
3010	60	60	60
New obligations, unexpired accounts .....			
3020	-60	-47	-57
Outlays (gross) .....			
3050		13	16
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100			13
Obligated balance, start of year .....			
3200		13	16
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	50	45	65
Budget authority, gross .....			
Outlays, gross:			
4100	50	27	39
Outlays from new mandatory authority .....			
4101	10	20	18
Outlays from mandatory balances .....			
4110	60	47	57
Outlays, gross (total) .....			
4180	50	45	65
Budget authority, net (total) .....			
4190	60	47	57
Outlays, net (total) .....			

The Radiation Exposure Compensation Act (RECA), as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling, or transport. RECA workload is included with the workload of the Civil Division.

**INTERAGENCY LAW ENFORCEMENT**

*Federal Funds*

INTERAGENCY CRIME AND DRUG ENFORCEMENT

For necessary expenses for the identification, investigation, and prosecution of individuals associated with the most significant drug trafficking organizations, transnational organized crime, and money laundering organizations not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in transnational organized crime and drug trafficking, \$550,458,000, of which \$50,000,000 shall remain available until expended: Provided, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-0323-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	377	377	383
Investigations .....			
0003	166	166	167
Prosecution .....			
0799	543	543	550
Total direct obligations .....			
0801	45	46	46
Interagency Crime and Drug Enforcement (Reimbursable) .....			
0900	588	589	596
Total new obligations, unexpired accounts .....			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	7	8	11
Unobligated balance brought forward, Oct 1 .....			
1021	4	3	3
Recoveries of prior year unpaid obligations .....			
1050	11	11	14
Unobligated balance (total) .....			
Budget authority:			
Appropriations, discretionary:			
1100	543	543	550
Appropriation .....			
Spending authority from offsetting collections, discretionary:			
1700	45	25	25
Collected .....			
1701	-3	21	21
Change in uncollected payments, Federal sources .....			
1750	42	46	46
Spending auth from offsetting collections, disc (total) .....			
1900	585	589	596
Budget authority (total) .....			
1930	596	600	610
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941	8	11	14
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	100	170	159
Unpaid obligations, brought forward, Oct 1 .....			
3010	588	589	596
New obligations, unexpired accounts .....			
3020	-514	-597	-594
Outlays (gross) .....			
3040	-4	-3	-3
Recoveries of prior year unpaid obligations, unexpired .....			
3050	170	159	158
Unpaid obligations, end of year .....			
Uncollected payments:			
3060	-30	-27	-48
Uncollected pymts, Fed sources, brought forward, Oct 1 .....			
3070	3	-21	-21
Change in uncollected pymts, Fed sources, unexpired .....			
3090	-27	-48	-69
Uncollected pymts, Fed sources, end of year .....			
Memorandum (non-add) entries:			
3100	70	143	111
Obligated balance, start of year .....			
3200	143	111	89
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	585	589	596
Budget authority, gross .....			
Outlays, gross:			
4010	431	441	446
Outlays from new discretionary authority .....			
4011	83	156	148
Outlays from discretionary balances .....			
4020	514	597	594
Outlays, gross (total) .....			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-45	-46	-47
Federal sources .....			
4040	-45	-46	-47
Offsets against gross budget authority and outlays (total) ....			
Additional offsets against gross budget authority only:			
4050	3	-21	-21
Change in uncollected pymts, Fed sources, unexpired .....			
4052		21	22
Offsetting collections credited to expired accounts .....			
4060	3		1
Additional offsets against budget authority only (total) .....			
4070	543	543	550
Budget authority, net (discretionary) .....			
4080	469	551	547
Outlays, net (discretionary) .....			
4180	543	543	550
Budget authority, net (total) .....			
4190	469	551	547
Outlays, net (total) .....			

The Interagency Crime and Drug Enforcement (ICDE) account primarily funds the Organized Crime Drug Enforcement Task Forces (OCDETF) Program. OCDETF combines the resources and expertise of its 11 Federal law enforcement agency members—in cooperation with the Department of Justice's Criminal Division, United States Attorneys' Offices, and State and local law enforcement—to identify, disrupt, and dismantle the major domestic and transnational criminal organizations (TCO) that engage in the drug trafficking, violence, and money laundering activities that threaten

the public safety and economic and national security of the United States. The OCDETF Program performs the following activities:

**Investigation.**—This activity includes resources for the direct investigative, intelligence, and support activities of OCDETF's multi-agency task forces, focusing on the disruption and dismantlement of major TCOs. This activity also includes resources for the OCDETF Fusion Center (OFC). The OFC is a multi-agency intelligence center which analyzes fused law enforcement financial and human intelligence information, and produces actionable intelligence for use by OCDETF member agencies to disrupt and dismantle major criminal organizations and their supporting financial structures. In addition, the OFC creates strategic intelligence products to enhance TCO threat analyses and support the national strategic efforts against transnational organized crime. Organizations participating under the Investigations function are the Drug Enforcement Administration, Federal Bureau of Investigation, Internal Revenue Service, Bureau of Alcohol, Tobacco, Firearms and Explosives, U.S. Coast Guard, U.S. Marshals Service, U.S. Secret Service, U.S. Postal Inspection Service, and Homeland Security Investigations. OCDETF also maintains 12 co-located Strike Forces. The ICDE account also supports transnational organized crime investigations through the International Organized Crime Intelligence and Operations Center (IOC-2). IOC-2 is a multi-agency intelligence center with a mission to significantly disrupt and dismantle those international criminal organizations posing the greatest threat to the United States. Multiple Federal agencies participate in IOC-2 activities and related investigations.

**Prosecution.**—This activity includes resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are intended to dismantle in their entirety those major transnational criminal organizations engaged in the highest levels of drug trafficking, violence, and money laundering by targeting the leaders of these organizations. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agencies are the U.S. Attorneys and the Department of Justice's Criminal Division.

For FY 2020, OCDETF requests \$2 million to bolster OCDETF's National Opioid Initiative, which directs operational funding to support federal agents and prosecutors handling the opioid investigations and prosecutions targeted at the nation's fastest-growing drug problem.

**Object Classification (in millions of dollars)**

Identification code 015-0323-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	304	305
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....		42	42
11.9 Total personnel compensation .....	3	347	348
12.1 Civilian personnel benefits .....	1	128	132
21.0 Travel and transportation of persons .....		6	6
23.1 Rental payments to GSA .....	1	6	6
23.2 Rental payments to others .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....		6	6
25.1 Advisory and assistance services .....	10	8	8
25.2 Other services from non-Federal sources .....	38		
25.3 Other goods and services from Federal sources .....	486	34	36
25.4 Operation and maintenance of facilities .....		1	1
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	2	4	4
99.0 Direct obligations .....	543	543	550
99.0 Reimbursable obligations .....	45	46	46
99.9 Total new obligations, unexpired accounts .....	588	589	596

**Employment Summary**

Identification code 015-0323-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	21	20	20
1001 Direct civilian full-time equivalent employment .....		1,066	1,055
1001 Direct civilian full-time equivalent employment .....		1,035	1,027
1001 Direct civilian full-time equivalent employment .....		614	614

1001 Direct civilian full-time equivalent employment .....	49	49
1001 Direct civilian full-time equivalent employment .....	41	41
1001 Direct civilian full-time equivalent employment .....	13	13
1001 Direct civilian full-time equivalent employment .....	17	17
1001 Direct civilian full-time equivalent employment .....	10	10
1001 Direct civilian full-time equivalent employment .....	7	7

**FEDERAL BUREAU OF INVESTIGATION**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States, \$9,257,427,000, of which not to exceed \$216,900,000 shall remain available until expended: Provided, That not to exceed \$184,500 shall be available for official reception and representation expenses.*

(CANCELLATION)

*Of the unobligated balances available under this heading, \$60,000,000 are hereby permanently cancelled, including from, but not limited to, fees collected to defray expenses for the automation of fingerprint identification and criminal justice information services and associated costs: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 015-0200-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Intelligence .....	1,537	1,461	1,480
0002 Counterterrorism/Counterintelligence .....	3,398	3,240	3,351
0003 Criminal Enterprises and Federal Crimes .....	2,910	2,759	2,852
0004 Criminal Justice Services .....	453	391	482
0005 Hurricane Relief Supplemental .....	10		
0091 Direct program activities, subtotal .....	8,308	7,851	8,165
0201 Intelligence .....	208	231	235
0202 Counterterrorism/Counterintelligence .....	386	425	415
0203 Criminal Enterprises and Federal Crimes .....	263	309	301
0204 Criminal Justice Services .....	74	87	81
0291 Direct program activities, subtotal .....	931	1,052	1,032
0300 Direct program activities, subtotal .....	9,239	8,903	9,197
0799 Total direct obligations .....	9,239	8,903	9,197
0801 Salaries and Expenses (Reimbursable) .....	968	936	945
0900 Total new obligations, unexpired accounts .....	10,207	9,839	10,142
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,040	890	1,028
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	627	536	
1012 Unobligated balance transfers between expired and unexpired accounts .....	98		
1021 Recoveries of prior year unpaid obligations .....	36		
1050 Unobligated balance (total) .....	1,174	890	1,028
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	9,051	9,030	9,257
1120 Appropriations transferred to other acct [015-0324] .....	-7		
1120 Appropriations transferred to other acct [015-0700] .....	-7		
1120 Appropriations transferred to other acct [015-1100] .....	-15		
1121 Appropriations transferred from other acct [011-1070] .....	2		
1131 Unobligated balance of appropriations permanently reduced .....	-127	-127	-60
1160 Appropriation, discretionary (total) .....	8,897	8,903	9,197
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	757	936	945
1701 Change in uncollected payments, Federal sources .....	202		
1750 Spending auth from offsetting collections, disc (total) .....	959	936	945
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	135	138	138

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 015-0200-0-1-999	2018 actual	2019 est.	2020 est.
1801 Change in uncollected payments, Federal sources .....	4		
1850 Spending auth from offsetting collections, mand (total) .....	139	138	138
1900 Budget authority (total) .....	9,995	9,977	10,280
1930 Total budgetary resources available .....	11,169	10,867	11,308
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-72		
1941 Unexpired unobligated balance, end of year .....	890	1,028	1,166
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,724	2,983	3,080
3010 New obligations, unexpired accounts .....	10,207	9,839	10,142
3011 Obligations ("upward adjustments"), expired accounts .....	76		
3020 Outlays (gross) .....	-9,843	-9,742	-10,033
3040 Recoveries of prior year unpaid obligations, unexpired .....	-36		
3041 Recoveries of prior year unpaid obligations, expired .....	-145		
3050 Unpaid obligations, end of year .....	2,983	3,080	3,189
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-609	-545	-545
3070 Change in uncollected pymts, Fed sources, unexpired .....	-206		
3071 Change in uncollected pymts, Fed sources, expired .....	270		
3090 Uncollected pymts, Fed sources, end of year .....	-545	-545	-545
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,115	2,438	2,535
3200 Obligated balance, end of year .....	2,438	2,535	2,644
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9,856	9,839	10,142
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,376	7,221	7,457
4011 Outlays from discretionary balances .....	2,276	2,257	2,438
4020 Outlays, gross (total) .....	9,652	9,478	9,895
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-854	-936	-945
4033 Non-Federal sources .....	-171		
4040 Offsets against gross budget authority and outlays (total) .....	-1,025	-936	-945
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-202		
4052 Offsetting collections credited to expired accounts .....	268		
4060 Additional offsets against budget authority only (total) .....	66		
4070 Budget authority, net (discretionary) .....	8,897	8,903	9,197
4080 Outlays, net (discretionary) .....	8,627	8,542	8,950
Mandatory:			
4090 Budget authority, gross .....	139	138	138
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	138	138
4101 Outlays from mandatory balances .....	188	126	
4110 Outlays, gross (total) .....	191	264	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-135	-138	-138
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4170 Outlays, net (mandatory) .....	56	126	
4180 Budget authority, net (total) .....	8,897	8,903	9,197
4190 Outlays, net (total) .....	8,683	8,668	8,950

The mission of the Federal Bureau of Investigation (FBI) is to protect the American people and uphold the Constitution of the United States. The FBI's mission priorities are to:

- Protect the U.S. from terrorist attacks;
- Protect the U.S. against foreign intelligence operations and espionage;
- Protect the U.S. against cyber-based attacks and high-technology crimes;
- Combat public corruption at all levels;
- Protect civil rights;
- Combat domestic and transnational criminal organizations and enterprises;
- Combat major white-collar crime; and,

—Combat significant violent crime.

The foundation of the FBI's budget strategy is supported by the FBI's mission, vision, and strategic objectives. At the heart of the FBI's strategy is the vision statement: Ahead of the threat through leadership, agility, and integration. The FBI aims to be ahead of the threat in two different ways. First, the FBI's goal is to continuously evolve to anticipate and mitigate existing threats. Second, the FBI needs to be able to recognize and address threats that it has not yet seen.

The FBI is headed by a Director, who is appointed by the President and confirmed by the Senate. FBI Headquarters, located in Washington, DC, provides centralized operational, policy, and administrative support to FBI investigations. The FBI operates 56 field offices in major U.S. cities and 350 resident agencies (RAs) throughout the country. RAs are satellite offices that allow the FBI to maintain a presence in and serve local communities. The FBI also operates over 63 Legal Attaché offices and over 28 sub-offices in 75 foreign countries around the world. Additionally, there are several specialized facilities and analytical centers within the FBI that are located across the country, such as the Criminal Justice Information Services Division in Clarksburg, WV; the Terrorist Explosive Device Analytical Center and Hazardous Devices School in Huntsville, AL; and the FBI Academy and Laboratory at Quantico, VA.

A number of FBI activities are carried out on a reimbursable basis. For example, the FBI is reimbursed for its participation in Interagency Crime and Drug Enforcement programs, and by other Federal agencies for certain intelligence and investigative services such as pre-employment background inquiries and fingerprint and name checks. The FBI is also authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2020, the FBI is requesting a total of \$9.257 billion. Specifically, FBI requests \$70.5 million for Cyber resources to support the development of advanced technical capabilities and the implementation of a comprehensive, multi-pronged strategy to target malicious cyber actors that threaten global U.S. interests; \$18.3 million to address threats posed by foreign intelligence and the increased requirements relating to the Foreign Investment Risk Review Modernization Act (FIRREA); \$18.2 million to target Transnational Organized Crime, enhancing FBI's ability to disrupt and dismantle TOC networks by developing analytical and technical tools for the FBI's TOC program, which also supports the Joint Criminal Opioid Darknet Enforcement (J-CODE) initiative; \$17.2 million to enhance the capabilities of the FBI's Render Safe, Stabilization and Special Agent Bomb Technician (SABT) programs; \$16.6 million for the National Vetting Center to coordinate the efforts of federal agencies to vet people seeking to enter or remain within the United States; and, \$4.2 million for the National Instant Criminal Background Check System to maintain efforts addressing high volumes of firearms background checks.

The request also includes a \$60 million cancellation from, but not limited to, Criminal Justice Information Services surcharge balances.

**Object Classification** (in millions of dollars)

Identification code 015-0200-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3,341	3,463	3,491
11.3 Other than full-time permanent .....	36		
11.5 Other personnel compensation .....	407	433	435
11.8 Special personal services payments .....	2		
11.9 Total personnel compensation .....	3,786	3,896	3,926
12.1 Civilian personnel benefits .....	1,659	1,554	1,674
21.0 Travel and transportation of persons .....	199	262	270
22.0 Transportation of things .....	9		
23.1 Rental payments to GSA .....	625	630	666
23.2 Rental payments to others .....	84	44	45
23.3 Communications, utilities, and miscellaneous charges .....	148	131	138
24.0 Printing and reproduction .....	2	3	4
25.1 Advisory and assistance services .....	1,048	965	978
25.2 Other services from non-Federal sources .....	491	488	505
25.3 Other goods and services from Federal sources .....	87	93	98
25.4 Operation and maintenance of facilities .....	203	117	123
25.5 Research and development contracts .....	3	21	21
25.7 Operation and maintenance of equipment .....	194	141	144

25.8	Subsistence and support of persons .....	1	2	2
26.0	Supplies and materials .....	139	82	86
31.0	Equipment .....	542	421	463
32.0	Land and structures .....	16	53	54
41.0	Grants, subsidies, and contributions .....	1		
42.0	Insurance claims and indemnities .....	2		
99.0	Direct obligations .....	9,239	8,903	9,197
99.0	Reimbursable obligations .....	968	936	945
99.9	Total new obligations, unexpired accounts .....	10,207	9,839	10,142

**Employment Summary**

Identification code 015-0200-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	33,820	34,000	34,085
2001 Reimbursable civilian full-time equivalent employment .....	2,985	1,586	1,586

**CONSTRUCTION**

For necessary expenses, to include the cost of equipment, furniture, and information technology requirements, related to construction or acquisition of buildings, facilities and sites by purchase, or as otherwise authorized by law; conversion, modification and extension of federally owned buildings; preliminary planning and design of projects; and operation and maintenance and development of secure work environment facilities and secure networking capabilities; \$51,895,000, to remain available until expended.

(CANCELLATION)

Of the unobligated balances available under this heading, \$159,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-0203-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Secure Work Environment Program .....	55	50	50
0011 Quantico .....	2	2	2
0014 Terrorists Explosive Devices Analytical Center .....	1		
0020 21st Century Facilities .....	66	318	
0021 CJIS Campus .....	1		
0900 Total new obligations, unexpired accounts .....	125	370	52

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	610	865	865
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	620	865	865
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	370	370	52
1131 Unobligated balance of appropriations permanently reduced .....			-159
1160 Appropriation, discretionary (total) .....	370	370	-107
1900 Budget authority (total) .....	370	370	-107
1930 Total budgetary resources available .....	990	1,235	758
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	865	865	706

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	205	206	446
3010 New obligations, unexpired accounts .....	125	370	52
3020 Outlays (gross) .....	-114	-130	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	206	446	461
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	205	206	446

3200 Obligated balance, end of year .....	206	446	461
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	370	370	-107
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		18	-156
4011 Outlays from discretionary balances .....	114	112	193
4020 Outlays, gross (total) .....	114	130	37
4180 Budget authority, net (total) .....	370	370	-107
4190 Outlays, net (total) .....	114	130	37

For 2020, the FBI is requesting a total of \$51.9 million in construction funding for the Secure Work Environment program, and for renovations at the FBI Academy in Quantico, Virginia. The request also includes a \$159 million cancellation of unobligated balances.

**Object Classification** (in millions of dollars)

Identification code 015-0203-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	37		
25.2 Other services from non-Federal sources .....	61	33	33
25.4 Operation and maintenance of facilities .....	13	2	2
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	10	16	16
32.0 Land and structures .....	2	318	
99.9 Total new obligations, unexpired accounts .....	125	370	52

**DRUG ENFORCEMENT ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character pursuant to section 530C of title 28, United States Code; and expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs, \$2,279,153,000, of which not to exceed \$75,000,000 shall remain available until expended and not to exceed \$90,000 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-1100-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 International Enforcement .....	466	470	496
0003 Domestic Enforcement .....	1,793	1,842	1,905
0004 State and Local Assistance .....	13	14	14
0799 Total direct obligations .....	2,272	2,326	2,415
0801 Reimbursable .....	252	39	39
0900 Total new obligations, unexpired accounts .....	2,524	2,365	2,454

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	313	324	839
1012 Unobligated balance transfers between expired and unexpired accounts .....	53	75	75
1021 Recoveries of prior year unpaid obligations .....	10		
1033 Recoveries of prior year paid obligations .....		55	55
1050 Unobligated balance (total) .....	376	454	969
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,202	2,190	2,279
1121 Appropriations transferred from other acct [011-1070] ...	15		
1121 Appropriations transferred from other acct [015-0200] ...	15		
1160 Appropriation, discretionary (total) .....	2,232	2,190	2,279

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 015–1100–0–1–751	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	202	436	436
1701 Change in uncollected payments, Federal sources .....	49	124	124
1750 Spending auth from offsetting collections, disc (total) .....	251	560	560
1900 Budget authority (total) .....	2,483	2,750	2,839
1930 Total budgetary resources available .....	2,859	3,204	3,808
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11		
1941 Unexpired unobligated balance, end of year .....	324	839	1,354
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	541	566	405
3010 New obligations, unexpired accounts .....	2,524	2,365	2,454
3011 Obligations ("upward adjustments"), expired accounts .....	29		
3020 Outlays (gross) .....	-2,442	-2,526	-2,859
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3041 Recoveries of prior year unpaid obligations, expired .....	-76		
3050 Unpaid obligations, end of year .....	566	405	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-128	-101	-96
3070 Change in uncollected pymts, Fed sources, unexpired .....	-49	-124	-124
3071 Change in uncollected pymts, Fed sources, expired .....	76	129	129
3090 Uncollected pymts, Fed sources, end of year .....	-101	-96	-91
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	413	465	309
3200 Obligated balance, end of year .....	465	309	-91
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,483	2,750	2,839
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,010	2,202	2,269
4011 Outlays from discretionary balances .....	392	286	549
4020 Outlays, gross (total) .....	2,402	2,488	2,818
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-261	-560	-560
4033 Non-Federal sources .....	-14	-8	-8
4040 Offsets against gross budget authority and outlays (total) .....	-275	-568	-568
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-49	-124	-124
4052 Offsetting collections credited to expired accounts .....	73	77	77
4053 Recoveries of prior year paid obligations, unexpired accounts .....		55	55
4060 Additional offsets against budget authority only (total) .....	24	8	8
4070 Budget authority, net (discretionary) .....	2,232	2,190	2,279
4080 Outlays, net (discretionary) .....	2,127	1,920	2,250
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	40	38	41
4180 Budget authority, net (total) .....	2,232	2,190	2,279
4190 Outlays, net (total) .....	2,167	1,958	2,291

The Drug Enforcement Administration's (DEA) mission is to enforce the controlled substances laws and regulations of the United States. DEA's major focus is the disruption and dismantlement of Priority Target Organizations (PTOs)—domestic and international drug trafficking and money laundering organizations having a significant impact on drug availability in the United States. DEA emphasizes PTOs with links to organizations on the Attorney General's Consolidated Priority Organization Target list, which represents the "Most Wanted" drug trafficking and money laundering organizations believed to be primarily responsible for the United States' illicit drug supply, including heroin. DEA also considers it a high priority to target the financial infrastructure of major drug trafficking organizations, and members of the financial community who facilitate the laundering of their proceeds. From the beginning of 2005 through 2016, DEA denied drug traffickers \$37.5 billion in revenue through the seizure of both assets and drugs, including \$4.1 billion in 2016. In addition to keeping drugs and

drug-related violence out of the United States, DEA plays a vital role in the areas of national and border security.

DEA has 226 domestic offices organized in 23 divisions throughout the United States. Internationally, DEA has 90 offices in 69 countries and is responsible for coordinating and pursuing U.S. drug investigations abroad. Federal, State, local, and international partnerships continue to play an important role in DEA's enforcement efforts. For nearly 43 years, DEA has led a task force program that today includes approximately 2,500 task force officers participating in over 200 task forces. DEA's Special Operations Division and the El Paso Intelligence Center are vital resources for Federal, State, and local law enforcement. Additionally, through the Office of National Security Intelligence, DEA ensures that national security information obtained in the course of conducting its drug law enforcement mission is expeditiously shared with the Intelligence Community.

DEA's activities are divided into three decision units:

**Domestic Enforcement.**—Through effective enforcement efforts and associated support functions, DEA disrupts and dismantles the leadership, command, control, and infrastructure of major drug trafficking syndicates, criminal organizations, and violent drug trafficking groups that threaten the United States. This decision unit contains most of DEA's resources, domestic enforcement groups, State and local task forces, other Federal and local task forces, intelligence groups, and all the support functions essential to accomplishing their mission. DEA's objectives for Domestic Enforcement include:

- Identifying and targeting the national/regional organizations most responsible for the domestic distribution and manufacture of illicit drugs;

- Systematically disrupting or dismantling targeted organizations by arresting/convicting their leaders and facilitators, seizing and forfeiting their assets, targeting their money laundering operations, and destroying their command and control networks; and,

- Working with international offices to dismantle domestic organizations directly affiliated with Transnational Criminal Organizations (TCO).

**International Enforcement.**—DEA works with its foreign counterparts to attack the vulnerabilities in the leadership, production, transportation, communications, finance, and distribution sectors of major international drug trafficking organizations. DEA's objectives for International Enforcement include:

- Identifying and targeting the most significant international drug and chemical trafficking organizations;

- Disrupting and dismantling the networks, financial infrastructures, operations, and resource bases of targeted international drug and chemical trafficking organizations; and

- Preventing drug trafficking organizations from funding terrorist organizations and activities.

**State and Local Assistance.**—DEA provides clandestine laboratory training, and meets the hazardous waste cleanup needs of the U.S. law enforcement community. DEA supports State and local law enforcement with assistance and training so that State and local agencies can better address the methamphetamine threat in their communities and reduce the impact that methamphetamine has on the quality of life for American citizens. As a result, DEA's nationwide contracts, container program, and established training programs allow DEA to provide State and local clandestine lab cleanup and training assistance in a cost-effective manner.

For 2020, DEA requests \$11.7 million for Cyber Enforcement to bolster key DEA programs to combat highly sophisticated TCOs that use cyber capabilities to further their criminal enterprises; \$11.6 million to enhance agency programs and offices focused on targeting, disrupting, and dismantling TCOs known for supplying illicit substances to distributors and users in the United States; and, \$11.1 million to establish five new heroin enforcement groups in DEA Field Divisions that identify heroin/opioids as one of the top drug threats.

For 2020, the Budget proposes to transfer the High Intensity Drug Trafficking Areas program from the Office of National Drug Control Policy

to DEA to improve coordination of drug enforcement efforts among Federal, State, and local law enforcement agencies in the U.S.

**Object Classification** (in millions of dollars)

Identification code 015-1100-0-1-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	564	650	656
11.3 Other than full-time permanent .....	5	6	6
11.5 Other personnel compensation .....	127	116	117
11.9 Total personnel compensation .....	696	772	779
12.1 Civilian personnel benefits .....	417	400	431
21.0 Travel and transportation of persons .....	44	40	41
22.0 Transportation of things .....	10	13	14
23.1 Rental payments to GSA .....	207	202	208
23.2 Rental payments to others .....	39	40	42
23.3 Communications, utilities, and miscellaneous charges .....	89	69	70
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	149	146	148
25.2 Other services from non-Federal sources .....	198	245	251
25.3 Other goods and services from Federal sources .....	117	112	117
25.4 Operation and maintenance of facilities .....	29	32	32
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	116	99	100
26.0 Supplies and materials .....	41	47	48
31.0 Equipment .....	95	78	94
32.0 Land and structures .....	20	27	36
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,270	2,326	2,415
99.0 Reimbursable obligations .....	254	39	39
99.9 Total new obligations, unexpired accounts .....	2,524	2,365	2,454

**Employment Summary**

Identification code 015-1100-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6,193	6,193	6,240
2001 Reimbursable civilian full-time equivalent employment .....	1,059	11	11

**HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the High Intensity Drug Trafficking Areas Program, authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271 ("the Act"), \$254,000,000, to remain available until September 30, 2021, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Administrator of the Drug Enforcement Administration, of which up to \$2,700,000 may be used for auditing services and associated activities: Provided further, That, notwithstanding the requirements of Public Law 106-58, any unexpended funds obligated prior to fiscal year 2017 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That section 707 of the Act shall be applied by substituting "Attorney General" for "Director" each place it appears: Provided further, That unexpended balances in the "High Intensity Drug Trafficking Areas Program, Federal Drug Control Programs, Executive Office of the President" account may be transferred to this appropriation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-1103-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants and federal transfers .....			251

0002 Auditing services and activities .....			3
0900 Total new obligations, unexpired accounts .....			254

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....			254
1930 Total budgetary resources available .....			254

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			254
3020 Outlays (gross) .....			-64
3050 Unpaid obligations, end of year .....			190
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			190

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....			254
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			64
4180 Budget authority, net (total) .....			254
4190 Outlays, net (total) .....			64

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking. The HIDTA program provides resources to Federal, State, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, State, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations; multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure.

For 2020, the Budget proposes to transfer the HIDTA program from the Office of National Drug Control Policy to the Drug Enforcement Administration to improve coordination with the Department of Justice's other drug enforcement efforts.

**Object Classification** (in millions of dollars)

Identification code 015-1103-0-1-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Auditing services and activities .....			3
41.0 Grants and federal transfers .....			251
99.9 Total new obligations, unexpired accounts .....			254

**DIVERSION CONTROL FEE ACCOUNT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 015-5131-0-2-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	28	27	30
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Diversion Control Fee Account, DEA .....	411	424	446
2000 Total: Balances and receipts .....	439	451	476
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Diversion Control Fee Account .....	-411	-420	-443

DIVERSION CONTROL FEE ACCOUNT—Continued  
Special and Trust Fund Receipts—Continued

Identification code 015-5131-0-2-751		2018 actual	2019 est.	2020 est.
2103	Diversion Control Fee Account .....	-28	-27	-27
2132	Diversion Control Fee Account .....	27	26	.....
2199	Total current law appropriations .....	-412	-421	-470
2999	Total appropriations .....	-412	-421	-470
5099	Balance, end of year .....	27	30	6

**Program and Financing** (in millions of dollars)

Identification code 015-5131-0-2-751		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Diversion Control .....	507	421	443
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	179	104	130
1021	Recoveries of prior year unpaid obligations .....	19	25	10
1050	Unobligated balance (total) .....	198	129	140
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	411	420	443
1203	Appropriation (previously unavailable) .....	28	27	27
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-27	-26	.....
1260	Appropriations, mandatory (total) .....	412	421	470
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	1
1900	Budget authority (total) .....	413	422	471
1930	Total budgetary resources available .....	611	551	611
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	104	130	168
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	97	155	114
3010	New obligations, unexpired accounts .....	507	421	443
3020	Outlays (gross) .....	-430	-437	-464
3040	Recoveries of prior year unpaid obligations, unexpired .....	-19	-25	-10
3050	Unpaid obligations, end of year .....	155	114	83
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	97	155	114
3200	Obligated balance, end of year .....	155	114	83
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	413	422	471
Outlays, gross:				
4100	Outlays from new mandatory authority .....	368	312	328
4101	Outlays from mandatory balances .....	62	125	136
4110	Outlays, gross (total) .....	430	437	464
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	412	421	470
4190	Outlays, net (total) .....	429	436	463

Public Law 102-395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration (DEA) under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating this program. By carrying out the mandates of the Controlled Substances Act (CSA), DEA ensures that adequate supplies of controlled drugs are available to meet legitimate medical, scientific, industrial, and export needs, while preventing, detecting, and eliminating diversion of these substances to illicit traffic. The CSA requires physicians, pharmacists, and chemical companies to register with the DEA in order to distribute or manufacture controlled substances or listed chemicals. The registrant community, physicians, prescribers, and pharmacists, can be seen as the first line of defense against the opioid epidemic now facing the United States. The engagement and education of these community members can help in reducing the overprescribing of opioids and the prevention of

abuse and illicit use. Investigations conducted by the Diversion Control Program fall into two distinct categories: the diversion of legitimately manufactured pharmaceutical controlled substances and the diversion of controlled chemicals (List I and II) used in the illicit manufacture of controlled substances. DEA's objectives for diversion control include:

—Identifying and targeting those responsible for the diversion of pharmaceutical controlled substances through traditional investigation and cybercrime initiatives to systematically disrupt and dismantle those entities involved in diversion schemes;

—Supporting the registrant population with improved technology, including e-commerce and customer support, while maintaining cooperation, support, and assistance from the regulated industry;

—Educating the public on the dangers of prescription drug abuse and proactive enforcement measures to combat emerging drug trends; and,

—Ensuring an adequate and uninterrupted supply of pharmaceutical controlled substances and listed chemicals to meet legitimate medical, commercial, and scientific needs.

**Object Classification** (in millions of dollars)

Identification code 015-5131-0-2-751		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	170	160	169
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	13	11	11
11.9	Total personnel compensation .....	185	173	182
12.1	Civilian personnel benefits .....	62	61	70
21.0	Travel and transportation of persons .....	7	5	5
22.0	Transportation of things .....	1	2	2
23.1	Rental payments to GSA .....	39	34	35
23.2	Rental payments to others .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	14	8	8
24.0	Printing and reproduction .....	10	9	9
25.1	Advisory and assistance services .....	97	59	59
25.2	Other services from non-Federal sources .....	30	28	28
25.3	Other goods and services from Federal sources .....	11	8	9
25.4	Operation and maintenance of facilities .....	5	4	4
25.6	Medical care .....	.....	1	1
25.7	Operation and maintenance of equipment .....	15	8	8
26.0	Supplies and materials .....	10	7	7
31.0	Equipment .....	17	10	10
32.0	Land and structures .....	3	3	5
99.9	Total new obligations, unexpired accounts .....	507	421	443

**Employment Summary**

Identification code 015-5131-0-2-751		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	1,599	1,625	1,625

**BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND  
EXPLOSIVES**

*Federal Funds*

SALARIES AND EXPENSES

*For necessary expenses of the Bureau of Alcohol, Tobacco, Firearms and Explosives, for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; and for provision of laboratory assistance to State and local law enforcement agencies, with or without reimbursement, \$1,368,440,000, of which not to exceed \$36,000 shall be for official reception and representation expenses, not to exceed \$1,000,000 shall be available for the payment of attorneys' fees as provided by section 924(d)(2) of title 18, United States Code, and not to exceed \$20,000,000 shall remain available until expended: Provided, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That, except to the extent necessary to effectuate the*

transfer of alcohol and tobacco enforcement and administration functions of the Bureau to the Department of the Treasury, as authorized by law, no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco, Firearms and Explosives to other agencies or Departments.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0700–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Law Enforcement Operations .....	1,082	1,119	1,166
0007 Investigative Support Services .....	230	230	257
0192 Total Direct Program .....	1,312	1,349	1,423
0799 Total direct obligations .....	1,312	1,349	1,423
0801 Salaries and Expenses (Reimbursable) .....	72	98	98
0900 Total new obligations, unexpired accounts .....	1,384	1,447	1,521
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	178	183	128
1012 Unobligated balance transfers between expired and unexpired accounts .....	9		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	189	183	128
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,294	1,294	1,368
1121 Appropriations transferred from other acct (015–0200) .....	7		
1160 Appropriation, discretionary (total) .....	1,301	1,294	1,368
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	23	98	98
1701 Change in uncollected payments, Federal sources .....	59		
1750 Spending auth from offsetting collections, disc (total) .....	82	98	98
1900 Budget authority (total) .....	1,383	1,392	1,466
1930 Total budgetary resources available .....	1,572	1,575	1,594
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–5		
1941 Unexpired unobligated balance, end of year .....	183	128	73
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	288	295	318
3010 New obligations, unexpired accounts .....	1,384	1,447	1,521
3011 Obligations ("upward adjustments"), expired accounts .....	12		
3020 Outlays (gross) .....	–1,350	–1,424	–1,489
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3041 Recoveries of prior year unpaid obligations, expired .....	–37		
3050 Unpaid obligations, end of year .....	295	318	350
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–87	–71	–71
3070 Change in uncollected pymts, Fed sources, unexpired .....	–59		
3071 Change in uncollected pymts, Fed sources, expired .....	75		
3090 Uncollected pymts, Fed sources, end of year .....	–71	–71	–71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	201	224	247
3200 Obligated balance, end of year .....	224	247	279
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,383	1,392	1,466
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,123	1,224	1,288
4011 Outlays from discretionary balances .....	227	166	168
4020 Outlays, gross (total) .....	1,350	1,390	1,456
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–84	–98	–98
4033 Non-Federal sources .....	–2		
4040 Offsets against gross budget authority and outlays (total) .....	–86	–98	–98
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–59		
4052 Offsetting collections credited to expired accounts .....	63		

4060	Additional offsets against budget authority only (total) .....	4		
4070	Budget authority, net (discretionary) .....	1,301	1,294	1,368
4080	Outlays, net (discretionary) .....	1,264	1,292	1,358
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....		34	33
4180	Budget authority, net (total) .....	1,301	1,294	1,368
4190	Outlays, net (total) .....	1,264	1,326	1,391

The Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) is the U.S. law enforcement Agency dedicated to protecting our Nation from the illicit use of firearms and explosives in violent crime and acts of terrorism. ATF protects our communities from violent criminals and criminal organizations by investigating and preventing the illegal use and trafficking of firearms, the illegal use and improper storage of explosives, acts of arson and bombings, and the illegal diversion of alcohol and tobacco products. ATF regulates the firearms and explosives industries from manufacture and/or importation through retail sale to ensure that Federal Firearms Licensees and Federal Explosives Licensees and permittees conduct business in compliance with all applicable laws and regulations. For 2020, ATF requests \$10.7 million for ATF's National Integrated Ballistics Information Network (NIBIN), \$4 million for the operation and maintenance of the Spartan case management system, \$3 million for network cybersecurity enhancements to improve the bandwidth capabilities of district offices, and \$3 million in one-time funds for capital equipment and repairs.

Additionally, the Budget proposes legislation to transfer primary jurisdiction over Federal tobacco and alcohol anti-smuggling laws from the Department of Justice and the ATF to the Department of the Treasury and the Alcohol and Tobacco Tax and Trade Bureau.

**Object Classification** (in millions of dollars)

Identification code 015–0700–0–1–751	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	481	502	526
11.3	Other than full-time permanent .....	33	37	38
11.5	Other personnel compensation .....	71	74	78
11.9	Total personnel compensation .....	585	613	642
12.1	Civilian personnel benefits .....	263	266	279
21.0	Travel and transportation of persons .....	30	26	26
22.0	Transportation of things .....	4	4	4
23.1	Rental payments to GSA .....	86	92	99
23.3	Communications, utilities, and miscellaneous charges .....	26	21	22
24.0	Printing and reproduction .....	1	2	2
25.2	Other services from non-Federal sources .....	133	143	137
25.2	Other services from non-Federal sources .....	38		
25.3	Other goods and services from Federal sources .....		24	24
25.7	Operation and maintenance of equipment .....	69	69	69
26.0	Supplies and materials .....	23	25	22
31.0	Equipment .....	34	53	71
32.0	Land and structures .....	19	10	25
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,312	1,349	1,423
99.0	Reimbursable obligations .....	72	98	98
99.9	Total new obligations, unexpired accounts .....	1,384	1,447	1,521

**Employment Summary**

Identification code 015–0700–0–1–751	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	4,994	5,083	5,050
2001	Reimbursable civilian full-time equivalent employment .....	56	3	3

**FEDERAL PRISON SYSTEM**

*Federal Funds*

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Prison System for the administration, operation, and maintenance of Federal penal and correctional institutions, and for

SALARIES AND EXPENSES—Continued

the provision of technical assistance and advice on corrections related issues to foreign governments, \$7,061,953,000: Provided, That the Attorney General may transfer to the Department of Health and Human Services such amounts as may be necessary for direct expenditures by that Department for medical relief for inmates of Federal penal and correctional institutions: Provided further, That the Director of the Federal Prison System, where necessary, may enter into contracts with a fiscal agent or fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of the Federal Prison System, furnish health services to individuals committed to the custody of the Federal Prison System: Provided further, That not to exceed \$5,400 shall be available for official reception and representation expenses: Provided further, That not to exceed \$50,000,000 shall remain available for necessary operations until September 30, 2021: Provided further, That, of the amounts provided for contract confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses: Provided further, That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past, notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses, or other custodial facilities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015–1060–0–1–753	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Inmate Care and Programs .....	2,615	2,713	2,650
0002 Institution Security and Administration .....	3,195	3,231	3,298
0003 Contract Confinement .....	835	909	867
0004 Management and Administration .....	222	251	237
0091 Total operating expenses .....	6,867	7,104	7,052
0101 Capital investment: Institutional improvements .....	102	10	10
0192 Total direct program .....	6,969	7,114	7,062
0799 Total direct obligations .....	6,969	7,114	7,062
0801 Salaries and Expenses (Reimbursable) .....	31	25	25
0900 Total new obligations, unexpired accounts .....	7,000	7,139	7,087
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1012 Unobligated balance transfers between expired and unexpired accounts .....	50		
1050 Unobligated balance (total) .....	52	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	7,130	7,114	7,062
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	29	25	25
1701 Change in uncollected payments, Federal sources .....	7		
1750 Spending auth from offsetting collections, disc (total) .....	36	25	25
1900 Budget authority (total) .....	7,166	7,139	7,087
1930 Total budgetary resources available .....	7,218	7,141	7,089
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-216		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	614	852	1,066
3010 New obligations, unexpired accounts .....	7,000	7,139	7,087
3011 Obligations ("upward adjustments"), expired accounts .....	12		
3020 Outlays (gross) .....	-6,765	-6,925	-6,739
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	852	1,066	1,414
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-17	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-7		
3071 Change in uncollected pymts, Fed sources, expired .....	23		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	597	851	1,065

3200	Obligated balance, end of year .....	851	1,065	1,413
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7,166	7,139	7,087
Outlays, gross:				
4010	Outlays from new discretionary authority .....	6,141	6,072	6,028
4011	Outlays from discretionary balances .....	624	853	711
4020	Outlays, gross (total) .....	6,765	6,925	6,739
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-41	-25	-25
4040	Offsets against gross budget authority and outlays (total) ....	-41	-25	-25
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-7		
4052	Offsetting collections credited to expired accounts .....	12		
4060	Additional offsets against budget authority only (total) .....	5		
4070	Budget authority, net (discretionary) .....	7,130	7,114	7,062
4080	Outlays, net (discretionary) .....	6,724	6,900	6,714
4180	Budget authority, net (total) .....	7,130	7,114	7,062
4190	Outlays, net (total) .....	6,724	6,900	6,714

This appropriation will provide for the custody and care of a projected average daily population of nearly 185,000 offenders, and for the maintenance and operation of 122 penal institutions, regional offices, and a central office located in Washington, D.C. The appropriation also finances the incarceration of sentenced Federal prisoners in State and local jails and other facilities for short periods of time. An average daily population of about 29,300 prisoners will be in contract facilities in 2020. The Federal Prison System (FPS) also receives reimbursements for the daily care and maintenance of State and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals purchased by FPS staff at institutions.

**Inmate Care and Programs.**—This activity covers the costs of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This decision unit also finances the costs of GED classes and other educational programs, vocational training, drug treatment, religious programs, psychological services, and other inmate programs such as Life Connections.

**Institution Security and Administration.**—This activity covers costs associated with the maintenance of facilities and institution security. This activity finances institution maintenance, motor pool operations, power-house operations, institution security, and other administrative functions.

**Contract Confinement.**—This activity provides for the confinement of sentenced Federal offenders in a Government-owned, contractor-operated facility, and State, local, and private contract facilities. It also provides for the care of Federal prisoners in contract community residential centers and covers the costs associated with management and oversight of contract confinement functions.

**Management and Administration.**—This activity covers all costs associated with general administration and provides funding for the central office, regional offices, and staff training centers. Also included are oversight functions of the executive staff and regional and central office program managers in the areas of: budget development and execution; financial management; procurement and property management; human resource management; inmate systems management; safety; legal counsel; research and evaluation; and systems support.

For FY 2020, BOP requests \$14 million for the development of innovative pilot projects in reentry and recidivism reduction approaches, \$4.6 million for cellphone detection technology, and \$1 million for the expansion of Medication Assisted Treatment Program. The budget also proposes to transfer the National Institute of Corrections from the BOP to the Office of Justice Programs in order to consolidate all research and technical assistance regarding the criminal justice system.

**Object Classification** (in millions of dollars)

Identification code 015-1060-0-1-753	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2,440	2,489	2,489
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	316	322	322
11.9 Total personnel compensation .....	2,760	2,815	2,815
12.1 Civilian personnel benefits .....	1,510	1,549	1,549
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	36	37	37
22.0 Transportation of things .....	10	10	10
23.1 Rental payments to GSA .....	29	29	29
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	307	313	313
25.2 Other services from non-Federal sources .....	1,601	1,725	1,673
26.0 Supplies and materials .....	599	611	611
31.0 Equipment .....	102	10	10
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
42.0 Insurance claims and indemnities .....	8	8	8
99.0 Direct obligations .....	6,969	7,114	7,062
99.0 Reimbursable obligations .....	31	25	25
99.9 Total new obligations, unexpired accounts .....	7,000	7,139	7,087

**Employment Summary**

Identification code 015-1060-0-1-753	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	34,580	38,557	38,523

**BUILDINGS AND FACILITIES**

For planning, acquisition of sites and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, \$99,205,000, to remain available until expended: Provided, That labor of United States prisoners may be used for work performed under this appropriation.

(CANCELLATION)

Of the unobligated balances available under this heading, \$505,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-1003-0-1-753	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 New construction .....	3	2	2
0002 Modernization and Repair .....	136	97	97
0900 Total new obligations, unexpired accounts .....	139	99	99
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	531	588	651
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	196	162	99
1131 Unobligated balance of appropriations permanently reduced .....			-505
1160 Appropriation, discretionary (total) .....	196	162	-406
1930 Total budgetary resources available .....	727	750	245
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	588	651	146

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	66	120	128
3010 New obligations, unexpired accounts .....	139	99	99
3020 Outlays (gross) .....	-85	-91	-91
3050 Unpaid obligations, end of year .....	120	128	136
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	66	120	128
3200 Obligated balance, end of year .....	120	128	136

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	196	162	-406
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		16	10
4011 Outlays from discretionary balances .....	85	75	81
4020 Outlays, gross (total) .....	85	91	91
4180 Budget authority, net (total) .....	196	162	-406
4190 Outlays, net (total) .....	85	91	91

**New Construction.**—This activity includes the costs associated with land and building acquisition, new prison construction, and land payments for the Federal Transfer Center in Oklahoma City, which serves as a Bureau-wide transfer and processing center. For 2020, the Budget requests no additional new construction funding, and proposes a cancellation of \$505 million in prior year unobligated new construction balances.

**Modernization and repair of existing facilities.**—This activity includes costs associated with rehabilitation, modernization, and renovation of Bureau-owned buildings and other structures in order to meet legal requirements and accommodate correctional programs. For 2020, the Budget requests \$99.2 million to help address critical major projects and reduce the backlog of unfunded rehabilitation, modernization, and renovation projects.

**Object Classification** (in millions of dollars)

Identification code 015-1003-0-1-753	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.2 Other services from non-Federal sources .....	97	81	75
26.0 Supplies and materials .....	19	8	9
31.0 Equipment .....	12	2	5
32.0 Land and structures .....	3	1	3
99.9 Total new obligations, unexpired accounts .....	139	99	99

**Employment Summary**

Identification code 015-1003-0-1-753	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	45	53	53

**FEDERAL PRISON INDUSTRIES, INCORPORATED**

The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures within the limits of funds and borrowing authority available, and in accord with the law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation.

**LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED**

Not to exceed \$2,700,000 of the funds of the Federal Prison Industries, Incorporated, shall be available for its administrative expenses, and for services as authorized by section 3109 of title 5, United States Code, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which such accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance,

## FEDERAL PRISON INDUSTRIES, INCORPORATED—Continued

improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–4500–0–4–753	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0804 Federal Prison Industries .....	654	664	667
0809 Reimbursable program activities, subtotal .....	654	664	667
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	176	177	177
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	654	661	664
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	652	661	664
1900 Budget authority (total) .....	655	664	667
1930 Total budgetary resources available .....	831	841	844
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	177	177	177
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	208	169	169
3010 New obligations, unexpired accounts .....	654	664	667
3020 Outlays (gross) .....	-693	-664	-667
3050 Unpaid obligations, end of year .....	169	169	169
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-30	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	178	141	141
3200 Obligated balance, end of year .....	141	141	141
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....		3	3
Mandatory:			
4090 Budget authority, gross .....	652	661	664
Outlays, gross:			
4100 Outlays from new mandatory authority .....	652	490	664
4101 Outlays from mandatory balances .....	41	171	
4110 Outlays, gross (total) .....	693	661	664
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-652	-664	-667
4121 Interest on Federal securities .....	-5		
4130 Offsets against gross budget authority and outlays (total) ....	-657	-664	-667
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4160 Budget authority, net (mandatory) .....	-3	-3	-3
4170 Outlays, net (mandatory) .....	36	-3	-3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	36		
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	335	306	277
5001 Total investments, EOY: Federal securities: Par value .....	306	277	248

Federal Prison Industries, Inc. (FPI), was created by the Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified work program providing products and services to other Federal agencies. These operations are conducted in a self-sustaining manner so as to maximize meaningful inmate employment opportunities and minimize the effects of competition on

private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

FPI operates as a revolving fund and does not receive an annual appropriation. The majority of revenues are derived from the sale of products and services to other Federal Departments, agencies, and bureaus. Operating expenses such as the cost of raw materials and supplies, inmate wages, staff salaries, and capital expenditures are applied against these revenues resulting in operating income or loss, which is reapplied toward operating costs for future production. In this regard, FPI makes capital investments in buildings and improvements, machinery, and equipment as necessary in the conduct of its industrial operation.

In order to increase inmate work opportunities, FPI continues to explore opportunities with commercial customers. In the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112–55), FPI received two new authorities to increase inmate employment. The first enables FPI to recap- work that would otherwise be performed outside of the United States, also known as repatriation. The second authorized FPI to participate in the Prison Industries Enhancement Certification Program, which allows FPI to partner with commercial businesses under a strict set of conditions to manufacture and sell prison-made goods in interstate commerce.

**Object Classification** (in millions of dollars)

Identification code 015–4500–0–4–753	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	49	62	64
11.5 Other personnel compensation .....	2	1	1
11.8 Special personal services payments .....	37	33	34
11.9 Total personnel compensation .....	88	96	99
12.1 Civilian personnel benefits .....	26	34	34
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	11	11
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	13	8	8
26.0 Supplies and materials .....	501	501	501
31.0 Equipment .....	7	7	7
99.9 Total new obligations, unexpired accounts .....	654	664	667

**Employment Summary**

Identification code 015–4500–0–4–753	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	595	753	753

**Trust Funds**

## COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)

**Program and Financing** (in millions of dollars)

Identification code 015–8408–0–8–753	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Commissary Funds, Federal Prisons (trust Revolving Fund) (Reimbursable) .....	344	352	352
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	41	49	49
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	352	352	352
1802 Offsetting collections (previously unavailable) .....	5		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-5		
1850 Spending auth from offsetting collections, mand (total) .....	352	352	352
1930 Total budgetary resources available .....	393	401	401

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	49	49	49
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	18	34	34
3010	New obligations, unexpired accounts .....	344	352	352
3020	Outlays (gross) .....	-328	-352	-352
3050	Unpaid obligations, end of year .....	34	34	34
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	17	33	33
3200	Obligated balance, end of year .....	33	33	33
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	352	352	352
Outlays, gross:				
4100	Outlays from new mandatory authority .....	327	320	352
4101	Outlays from mandatory balances .....	1	32	
4110	Outlays, gross (total) .....	328	352	352
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities .....	-1		
4123	Non-Federal sources .....	-351	-352	-352
4130	Offsets against gross budget authority and outlays (total) ....	-352	-352	-352
4170	Outlays, net (mandatory) .....	-24		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-24		
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	5	5	5
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	5	5	5

**Budget program.**—The Commissary Fund consists of the operation of the commissaries for the inmates as an earned privilege.

**Financing.**—Profits are derived from the sale of goods and services to inmates. Sales for 2020 are estimated at \$345 million. Adequate working capital is assured from retained earnings.

**Operating results.**—Profits received are used for programs, goods, and services for the benefit of inmates.

**Object Classification** (in millions of dollars)

Identification code 015-8408-0-8-753	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	44	44	44
11.5	Other personnel compensation .....	1	1	1
11.8	Special personal services payments .....	31	31	31
11.9	Total personnel compensation .....	76	76	76
12.1	Civilian personnel benefits .....	27	27	27
25.2	Other services from non-Federal sources .....	10	10	10
26.0	Supplies and materials .....	230	238	238
31.0	Equipment .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	344	352	352

**Employment Summary**

Identification code 015-8408-0-8-753	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	644	749	749

**OFFICE OF JUSTICE PROGRAMS**

*Federal Funds*

RESEARCH, EVALUATION AND STATISTICS

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968 (Public Law 90-351) ("the 1968 Act"); the Juvenile Justice and Delinquency Prevention Act of 1974 (Public Law 93-415) ("the 1974 Act"); the Missing Children's Assistance Act

(title IV of Public Law 93-415); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Justice for All Act of 2004 (Public Law 108-405); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647); the Second Chance Act of 2007 (Public Law 110-199); the Victims of Crime Act of 1984 (chapter XIV of title II of Public Law 98-473); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110-401); subtitle C of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); the Comprehensive Addiction and Recovery Act of 2016 (Public Law 114-198); and other programs, \$94,500,000, to remain available until expended, of which—

(1) \$48,000,000 is for criminal justice statistics programs, and other activities, as authorized by part C of the 1968 Act; and

(2) \$46,500,000 is for research, development, and evaluation programs, and other activities as authorized by part B of the 1968 Act and subtitle C of title II of the 2002 Act, of which at least \$3,000,000 is for corrections-related research, and up to \$1,500,000 is for expenses (including related research and evaluation) associated with the National Institute of Justice's implementation of the First Step Act of 2018.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015-0401-0-1-754	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	National Institute of Justice .....	35	35	40
0002	Bureau of Justice Statistics .....	39	40	44
0004	Regional Information Sharing System .....	33	36	
0011	Management and Administration .....	10	6	8
0013	Research on Domestic Radicalization .....	3	4	
0014	Research, Evaluation, and Statistics Set-aside .....	31		
0015	Research on Violence Against Women .....	3	5	5
0016	NCS-X Implementation Program .....		5	
0017	National Institute of Corrections .....			3
0799	Total direct obligations .....	154	131	100
0801	Programmatic Reimbursable .....	62	14	14
0802	Management & Administration Reimbursable .....	223	235	244
0899	Total reimbursable obligations .....	285	249	258
0900	Total new obligations, unexpired accounts .....	439	380	358
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	67	63	65
1021	Recoveries of prior year unpaid obligations .....	13	5	5
1050	Unobligated balance (total) .....	80	68	70
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	90	90	95
1121	Appropriations transferred from other acct [015-0404] ...	33		
1121	Appropriations transferred from other acct [015-0405] ...	6		
1121	Appropriations transferred from other acct [015-0409] ...	4	3	
1121	Appropriations transferred from other acct [015-0406] ...	36	36	
1131	Unobligated balance of appropriations permanently reduced .....	-2	-2	-5
1160	Appropriation, discretionary (total) .....	167	127	90
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	228	215	215
1701	Change in uncollected payments, Federal sources .....	27	35	35
1750	Spending auth from offsetting collections, disc (total) .....	255	250	250
1900	Budget authority (total) .....	422	377	340
1930	Total budgetary resources available .....	502	445	410
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	63	65	52
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	320	377	26
3010	New obligations, unexpired accounts .....	439	380	358
3020	Outlays (gross) .....	-369	-726	-340
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13	-5	-5

RESEARCH, EVALUATION AND STATISTICS—Continued  
Program and Financing—Continued

Identification code 015-0401-0-1-754	2018 actual	2019 est.	2020 est.
3050 Unpaid obligations, end of year .....	377	26	39
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-201	-228	-263
3070 Change in uncollected pymts, Fed sources, unexpired .....	-27	-35	-35
3090 Uncollected pymts, Fed sources, end of year .....	-228	-263	-298
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	119	149	-237
3200 Obligated balance, end of year .....	149	-237	-259
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	422	377	340
Outlays, gross:			
4010 Outlays from new discretionary authority .....	202	377	340
4011 Outlays from discretionary balances .....	167	349	.....
4020 Outlays, gross (total) .....	369	726	340
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-228	-215	-215
4040 Offsets against gross budget authority and outlays (total) ....	-228	-215	-215
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-27	-35	-35
4070 Budget authority, net (discretionary) .....	167	127	90
4080 Outlays, net (discretionary) .....	141	511	125
4180 Budget authority, net (total) .....	167	127	90
4190 Outlays, net (total) .....	141	511	125

The 2020 Budget requests \$94.5 million for the Office of Justice Programs' (OJP) Research, Evaluation, and Statistics appropriation. This appropriation provides nationwide support for criminal justice professionals and decision-makers through programs that provide grants, contracts, and cooperative agreements for research, development, and evaluation, and support development and dissemination of quality and relevant statistical and scientific information. The information and technologies developed through OJP's research and statistical programs improve the efficiency and effectiveness of criminal justice programs at all levels of government.

**Research, Development, and Evaluation Program.**—The 2020 Budget proposes a total of \$46.5 million for the National Institute of Justice (NIJ) to support high-quality research, development, and evaluation in the forensic, social, and physical sciences. Of this funding, at least \$3 million will be dedicated to corrections-related research as part of the consolidation of activities of the National Institute of Corrections within OJP, and \$1.5 million will support the evaluation of the Second Chance Act, per the First Step Act of 2018.

**Criminal Justice Statistics Program.**—The 2020 Budget proposes \$48 million for the Bureau of Justice Statistics (BJS) to carryout national statistical collections supporting data-driven approaches to reduce and prevent crime and to assist state, local and tribal governments in enhancing their statistical capabilities, including improving criminal history records and information systems. Current programs provide statistics on: victimization, corrections, law enforcement, federal justice systems, prosecution and adjudication (courts), criminal histories and recidivism, and tribal communities.

**3% Research, Evaluation and Statistics Set Aside.**—The 2020 Budget requests a set-aside of up to three percent for research, evaluation, and statistics. The set-aside amount from OJP discretionary programs supports the base programs for NIJ and BJS.

**Management and Administration.**—The 2020 Budget proposes a total Management and Administration funding level of \$245 million for OJP. This funding level supports management and administration for OJP, including costs related to the consolidation of the Office of Community Oriented Policing Services and the National Institute of Corrections within OJP.

Object Classification (in millions of dollars)

Identification code 015-0401-0-1-754	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	3	2
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	4	3	2
25.2 Other services from non-Federal sources .....	4	3	2
25.3 Other goods and services from Federal sources .....	33	28	20
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	105	90	70
99.0 Direct obligations .....	154	131	100
99.0 Reimbursable obligations .....	285	249	258
99.9 Total new obligations, unexpired accounts .....	439	380	358

Employment Summary

Identification code 015-0401-0-1-754	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	688	685	575

SALARIES AND EXPENSES, OFFICE OF JUSTICE PROGRAMS

Program and Financing (in millions of dollars)

Identification code 015-0420-0-1-754	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE

For grants, contracts, cooperative agreements, and other assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); title I of the Omnibus Crime Control and Safe Streets Act of 1968 (Public Law 90-351) ("the 1968 Act"); the Justice for All Act of 2004 (Public Law 108-405); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Trafficking Victims Protection Reauthorization Act of 2005 (Public Law 109-164); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); subtitle C of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the Public Safety Officer Medal of Valor Act of 2001 (Public Law 107-12); the Second Chance Act of 2007 (Public Law 110-199); the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403); the Victims of Crime Act of 1984 (chapter XIV of title II of Public Law 98-473); (34 U.S.C. 20101) ("the 1984 Act"); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); the Comprehensive Addiction and Recovery Act of 2016 (Public Law 114-198) ("CARA"); the Keep Young Athletes Safe Act of 2018 (36 U.S.C. 220531 et seq.); the Student, Teachers, and Officers Preventing (STOP) School Violence Act of 2018 (34 U.S.C. 10551 et seq.); the Fix NICS Act of 2018 (title VI of division S of Public

Law 115–141); the Justice Served Act (Public Law 115–257); and other programs, \$1,482,200,000 to remain available until expended as follows—

(1) \$405,200,000 for the Edward Byrne Memorial Justice Assistance Grant program as authorized by subpart 1 of part E of the 1968 Act (except that section 1001(c), and the special rules for Puerto Rico under section 505(g) of the 1968 Act shall not apply for purposes of this Act): Provided, That up to 3 percent of funds awarded hereunder to a State or local government must be used by the same to enable its full participation in the National Incident Based Reporting System under the Uniform Crime Reporting Program, to the extent that such full participation has not yet been achieved, of which, notwithstanding such subpart 1—

(A) \$15,000,000 is for an Officer Robert Wilson III memorial initiative on Preventing Violence Against Law Enforcement Officer Resilience and Survivability (VALOR);

(B) \$4,000,000 is for use by the National Institute of Justice for research targeted toward developing a better understanding of the domestic radicalization phenomenon, and advancing evidence-based strategies for effective intervention and prevention;

(C) \$22,500,000 is for a competitive matching grant program for purchases of body-worn cameras and related expenses, or other innovative or technologically-sophisticated equipment or tools for State, local and tribal law enforcement;

(D) \$22,500,000 is for the matching grant program for law enforcement armor vests, as authorized by section 2501 of the 1968 Act;

(E) \$3,600,000 is for the operationalization, maintenance, and expansion of the National Missing and Unidentified Persons System;

(F) \$5,000,000 is for a program of technical and related assistance to reduce violence in jurisdictions experiencing significant amounts of violent crime;

(G) \$15,500,000 is for prison rape prevention and prosecution grants to States and units of local government, and other programs, as authorized by the Prison Rape Elimination Act of 2003 (Public Law 108–79);

(H) \$3,000,000 is for the Capital Litigation Improvement Grant Program, as authorized by section 426 of Public Law 108–405, or for grants for wrongful conviction review; and

(I) \$6,000,000 is for a program to address violent crime along the Southwest Border;

(2) \$77,000,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of Public Law 106–386, by Public Law 109–164, or by Public Law 113–4;

(3) \$20,000,000 for sex offender management assistance, as authorized by the Adam Walsh Act, and related activities;

(4) \$330,000,000 for comprehensive opioid and stimulant abuse reduction activities, including as authorized by CARA, and for the following programs, which shall address opioid and stimulant abuse reduction consistent with underlying program authorities—

(A) \$145,000,000 for a comprehensive opioid and stimulant abuse program;

(B) \$75,000,000 for Drug Courts, as authorized by section 1001(a)(25)(A) of the 1968 Act;

(C) \$30,000,000 for mental health courts and adult and juvenile collaboration program grants, as authorized by parts V and HH of the 1968 Act, notwithstanding section 2991(e) of such Act of 1968;

(D) \$30,000,000 for grants for Residential Substance Abuse Treatment for State Prisoners, as authorized by part S of the 1968 Act;

(E) \$20,000,000 for a veterans treatment courts program; and

(F) \$30,000,000 for a program to monitor prescription drugs and scheduled listed chemical products;

(5) \$11,000,000 for a grant program to prevent and address economic, high technology and Internet crime, including as authorized by section 401 of Public Law 110–403, of which not more than \$2,500,000 is for intellectual property enforcement grants, including as authorized by section 401 of Public Law 110–403;

(6) \$1,000,000 for the National Sex Offender Public Website;

(7) \$100,000,000 for the project safe neighborhoods program, including as authorized by Public Law 115–185;

(8) \$75,000,000 for grants to States to upgrade criminal and mental health records and records systems for the National Instant Criminal Background Check System: Provided, That, to the extent warranted by meritorious applications, grants made under the authority of the NICS Improvement Amendments Act of 2007 (Public Law 110–180) and the Fix NICS Act of 2018 (title VI of division S of Public Law 115–141) shall be given priority, and that in no event shall less than \$10,000,000 be awarded under such authority;

(9) \$10,000,000 for Paul Coverdell Forensic Sciences Improvement Grants under part BB of the 1968 Act;

(10) \$105,000,000 for DNA-related and forensic programs and activities, of which—

(A) \$97,000,000 is for a DNA analysis and capacity enhancement program and for other local, State, and Federal forensic activities, including the purposes authorized under section 2 of the DNA Analysis Backlog Elimination Act of 2000 (Public Law 106–546) (the Debbie Smith DNA Backlog Grant Program): Provided, That up to 4 percent of funds made available under this paragraph may be used for the purposes described in the DNA Training and Education for Law Enforcement, Correctional Personnel, and Court Officers program (Public Law 108–405, section 303);

(B) \$4,000,000 is for the purposes described in the Kirk Bloodsworth Post-Conviction DNA Testing Program (Public Law 108–405, section 412); and

(C) \$4,000,000 is for Sexual Assault Forensic Exam Program grants, including as authorized by section 304 of Public Law 108–405;

(11) \$47,500,000 for a program for community-based sexual assault and related cold case response reform;

(12) \$9,000,000 for the court-appointed special advocate program, as authorized by section 217 of the 1990 Act;

(13) \$85,000,000 for offender reentry programs and research, including as authorized by the Second Chance Act of 2007 (Public Law 110–199), without regard to the time limitations specified at section 6(1) thereof, of which, notwithstanding such Act of 2007, not to exceed—

(A) \$6,000,000 for a program to improve State, local, and tribal probation or parole supervision efforts and strategies; and

(B) \$5,000,000 for Children of Incarcerated Parents Demonstrations to enhance and maintain parental and family relationships for incarcerated parents as a reentry or recidivism reduction strategy;

(14) \$99,000,000 for grants under section 1701 of the 1968 Act (34 U.S.C. 10381) for the hiring and rehiring of additional career law enforcement officers under part Q of such Act notwithstanding subsection (i) of such section: Provided, That, notwithstanding section 1704(c) of such Act (34 U.S.C. 10384(c)), funding for hiring or rehiring a career law enforcement officer may not exceed \$125,000 unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: Provided further, That of the amount made available in this paragraph—

(A) \$10,000,000 is for regional information sharing activities, as authorized by part M of the 1968 Act;

(B) \$8,000,000 is for community policing development activities in furtherance of the purposes in section 1701 of the 1968 Act (34 U.S.C. 10381);

(C) \$10,000,000 is for activities authorized by the POLICE Act of 2016 (Public Law 114–199); and

(D) \$2,000,000 is for law enforcement mental health and wellness activities:

Provided further, That balances from any fiscal year for these programs or other programs that may have been administered by the Office of Community Oriented Policing Services may be transferred from the Community Oriented Policing Services account to this account;

(15) \$100,000,000 for school safety programs, including as authorized by the STOP School Violence Act of 2018;

(16) \$2,500,000 for the Keep Young Athletes Safe Act of 2018; and

(17) \$5,000,000 for corrections-related training and technical assistance.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0404–0–1–754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State Criminal Alien Assistance Program .....	1	223	.....
0002 Adam Walsh Act Implementation .....	18	19	18
0004 NIJ for Domestic Radicalization .....	.....	.....	4
0007 Justice Assistance Grants .....	310	316	283
0009 Residential Substance Abuse Treatment .....	27	28	27
0010 Drug Court Program .....	69	70	69
0011 Community Trust Initiative: Justice Reinvestment Initiative .....	.....	23	.....
0012 Victims of Trafficking .....	63	76	76
0013 Prescription Drug Monitoring Program .....	27	28	27
0014 Prison Rape Prevention and Prosecution Program .....	15	16	15
0015 Capital Litigation Improvement Grant Program .....	.....	3	3
0016 Justice and Mental Health Collaborations .....	27	28	27
0017 National Sex Offender Public Website .....	1	1	1
0018 Project Hope Opportunity Probation with Enforcement (HOPE) .....	4	4	.....
0019 Bulletproof Vest Partnership .....	30	21	21
0021 Strategies for Policing Innovation (Smart Policing) .....	5	5	.....
0022 National Criminal Records History Improvement Program (NCHIP) .....	43	46	60

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued  
Program and Financing—Continued

Identification code 015-0404-0-1-754	2018 actual	2019 est.	2020 est.
0023 Innovative Prosecution Solutions Initiative (Smart Prosecution) .....	2	2	.....
0029 Court Appointed Special Advocate (CASA) .....	11	11	8
0031 National Instant Criminal Background Check System (NICS) Act Record Improvement Pgm (NARIP) .....	21	23	9
0035 Post-conviction DNA Testing grants .....	5	5	4
0038 Sexual Assault Forensic Exam Program grants .....	4	4	4
0043 S&L Gun Crime Prosecution Assistance/Gun Violence Reduction .....	33	19	.....
0044 DNA Initiative - DNA Related and Forensic Programs and Activities .....	110	112	89
0045 Coverdell Forensic Science Grants .....	27	28	9
0050 Second Chance Act/Offender Reentry .....	58	65	68
0056 Economic, High-tech, White Collar, and Internet Crime Prevention .....	9	9	9
0077 VALOR Initiative .....	9	9	14
0081 Community Based Crime Reduction Program (Byrne Criminal Justice Innovation) .....	15	16	.....
0082 Tribal Assistance .....	32	33	.....
0084 John R. Justice Student Loan Repayment Program .....	2	2	.....
0088 Intellectual Property Enforcement Program .....	.....	2	2
0089 Management and Administration .....	106	106	109
0091 Direct program activities, subtotal .....	1,084	1,353	956
0103 Veterans Treatment Courts .....	18	19	18
0108 Sexual Assault Kit Initiative (SAKI) (Community Teams to Reduce the SAK Backlog) .....	43	44	44
0115 Community Trust Initiative: Body-Worn Camera (BWC) Partnership Program .....	13	21	20
0116 National Missing and Unidentified Persons System .....	2	2	4
0117 Emergency Federal Law Enforcement Assistance .....	3	15	.....
0122 Natl. Training Center to Improve Police Responses to People with Mental Illness .....	.....	2	.....
0132 Comprehensive Opioid Abuse Program (COAP) .....	132	135	135
0134 Project Safe Neighborhoods Block Grants .....	.....	.....	92
0135 Public Safety Partnership/National Crime Reduction Assistance (NCRA) Network .....	.....	.....	4
0137 Innovations in Supervision (Smart Probation) .....	5	6	6
0138 Cybercrime Prosecutor Pilot Program .....	1	1	.....
0140 Children of Incarcerated Parents Demo Grants .....	5	5	5
0141 Keep Young Athletes Safe .....	2	2	2
0142 Digital Investigation Education Program .....	1	1	.....
0143 STOP School Violence Act .....	47	74	98
0144 COPS Hiring Program .....	.....	.....	58
0146 COPS Hiring: Community Policing Development/TTA .....	.....	.....	8
0148 COPS Hiring: Regional Information Sharing System .....	.....	.....	10
0149 Southwest Border Rural Law Enforcement and Violent Crime Initiative .....	.....	.....	5
0150 Innovations in Corrections (National Institute of Corrections T/TA) .....	.....	.....	5
0151 POLICE Act .....	.....	.....	10
0152 Law Enforcement Mental Health and Wellness Act .....	.....	.....	2
0191 Direct program activities, subtotal .....	272	327	526
0799 Total direct obligations .....	1,356	1,680	1,482
0801 State and Local Law Enforcement Assistance (Reimbursable) .....	19	.....	.....
0900 Total new obligations, unexpired accounts .....	1,375	1,680	1,482
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	286	555	567
1021 Recoveries of prior year unpaid obligations .....	42	45	45
1033 Recoveries of prior year paid obligations .....	13	2	2
1050 Unobligated balance (total) .....	341	602	614
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,680	1,680	1,482
1120 Appropriations transferred to other accts [013-0500] .....	-2	-2	.....
1120 Appropriations transferred to other accts [015-0401] .....	-33	.....	.....
1120 Appropriations transferred to other acct [015-0406] .....	-25	.....	.....
1131 Unobligated balance of appropriations permanently reduced .....	-35	-35	-69
1160 Appropriation, discretionary (total) .....	1,585	1,643	1,413
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	.....	.....
1701 Change in uncollected payments, Federal sources .....	2	2	2
1750 Spending auth from offsetting collections, disc (total) .....	4	2	2
1900 Budget authority (total) .....	1,589	1,645	1,415

1930 Total budgetary resources available .....	1,930	2,247	2,029
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	555	567	547
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,183	2,675	2,266
3010 New obligations, unexpired accounts .....	1,375	1,680	1,482
3020 Outlays (gross) .....	-841	-2,044	-1,563
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42	-45	-45
3050 Unpaid obligations, end of year .....	2,675	2,266	2,140
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-7	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-7	-9	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,178	2,668	2,257
3200 Obligated balance, end of year .....	2,668	2,257	2,129
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,589	1,645	1,415
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	338	259
4011 Outlays from discretionary balances .....	837	1,706	1,304
4020 Outlays, gross (total) .....	841	2,044	1,563
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4033 Non-Federal sources .....	-13	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-15	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2
4053 Recoveries of prior year paid obligations, unexpired accounts .....	13	2	2
4060 Additional offsets against budget authority only (total) .....	11	.....	.....
4070 Budget authority, net (discretionary) .....	1,585	1,643	1,413
4080 Outlays, net (discretionary) .....	826	2,042	1,561
4180 Budget authority, net (total) .....	1,585	1,643	1,413
4190 Outlays, net (total) .....	826	2,042	1,561

The 2020 Budget requests \$1.48 billion for the Office of Justice Programs' (OJP) State and Local Law Enforcement Assistance appropriation. State, local, and tribal law enforcement and criminal justice professionals are responsible for the majority of the Nation's day-to-day crime prevention and control activities. The programs supported by this account help OJP partners throughout the Nation prevent and reduce the incidence of violent crime; improve law enforcement officer safety and wellness; address drug-related crime and substance abuse, like opioids; and identify innovative solutions to crime- and justice system-related challenges. These programs include a combination of formula and discretionary grant programs, coupled with robust training and technical assistance activities designed to build and enhance the crime fighting and criminal justice capabilities of OJP's state, local, and tribal partners. Priority programs in the 2020 Budget will support law enforcement efforts to reduce violent crime, promote officer safety and wellness, combat the opioid epidemic, address the issue and impact of unsubmitted sexual assault kits, and help victims of crime. They include:

*Byrne Justice Assistance Grants (JAG).*—The Byrne Justice Assistance Grants program awards grants to state, local, and tribal governments to support a broad range of activities that prevent and control crime, including: law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. The 2020 Budget proposes \$405.2 million for this program. Included in this amount is \$5 million for Public Safety Partnerships, which provides intensive technical assistance to high-crime jurisdictions, and a new initiative to address Southwest Border violent crime (\$6 million). JAG will also fund other important officer safety programs serving state and local law enforcement, including the Bulletproof Vest Program

(\$22.5 million), the Body Worn Camera Partnership Program (\$22.5 million), and the VALOR Initiative (\$15 million).

**Comprehensive Addiction Recovery Act (CARA).**—The 2020 Budget includes \$330 million for programs authorized by the Comprehensive Addiction Recovery Act, including \$145 million for OJP's Comprehensive Opioid Abuse Program (COAP), which aims to reduce opioid abuse and the number of overdose fatalities. The request proposes to expand allowable uses of COAP funds to stimulant abuse. Another \$75 million is requested for the Drug Court Program, which addresses the needs of the drug-addicted, including those affected by the opioid crisis, by providing an alternative to incarceration to addicted offenders who enter the criminal justice system, addressing their addiction through treatment and recovery support services and subsequently reducing recidivism. The 2020 Budget also includes funding for the following CARA-authorized programs: Veterans Treatment Courts (\$20 million), Residential Substance Abuse Treatment (\$30 million), Justice and Mental Health Collaborations (\$30 million), and the Prescription Drug Monitoring Program (\$30 million).

**Second Chance Act Program.**—This program provides grants to establish and expand various adult and juvenile offender reentry programs and funds related research. Successful reintegration will reduce rates of criminal recidivism, thus increasing public safety. The 2020 Budget proposes \$85 million for this program. Of this total, \$6 million is to help states, localities, and tribes develop comprehensive, innovative probation and parole supervision programs and \$5 million is for the Children of Incarcerated Parents Demonstration Grant Program.

**STOP School Violence Act Program.**—This program aims to prevent or mitigate incidents of school violence. It supports training for teachers and education for students with the intent to prevent school violence, as well as specialized training for school officials in responding to related mental health crises that may precipitate violent attacks on schools. The program also promotes state, local, and tribal efforts to improve school security through evidence-based school safety programs making use of physical security measures, technology, and coordination with local law enforcement. The 2020 Budget proposes \$100 million for this program.

**Violent Gang and Gun Crime Reduction/Project Safe Neighborhoods (PSN).**—This program will create safer neighborhoods through sustained reductions in gang violence and gun crime. It is based on partnerships of federal, state, and local agencies led by the U.S. Attorney in each federal judicial district. This request will augment the work of DOJ's ongoing PSN initiative by increasing support for activities at the local level. Grants will support local law enforcement agencies, outreach- and prevention service providers, and researchers for activities implementing local PSN anti-violence strategies. The 2020 Budget proposes \$100 million for this program.

**Victims of Trafficking.**—This program supports comprehensive and specialized services for human trafficking victims, as well as multidisciplinary taskforces to identify, investigate, and prosecute these types of cases. The 2020 Budget proposes \$77 million for this program.

**Community Oriented Policing Services (COPS) Hiring Grants.**—The 2020 Budget merges the COPS Office into OJP. The COPS hiring program provides funding to state, local and tribal law enforcement agencies to hire additional sworn law enforcement officers to be deployed in community policing activities. The 2020 Budget proposes \$99 million for this program. Within this amount, \$8 million will be used to fund training and technical assistance that supports the integration of community policing strategies throughout the law enforcement community to effectively address emerging law enforcement and community issues; \$10 million will be used to support the Regional Information Sharing System; \$10 million is for the POLICE Act, and \$2 million is for Law Enforcement Mental Health and Wellness.

41.0	Grants, subsidies, and contributions .....	1,219	1,510	1,332
99.0	Direct obligations .....	1,356	1,680	1,482
99.0	Reimbursable obligations .....	19	.....	.....
99.9	Total new obligations, unexpired accounts .....	1,375	1,680	1,482

COMMUNITY ORIENTED POLICING SERVICES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015–0406–0–1–754	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Public safety and community policing grants .....	14	9	.....
0007	Management and administration .....	35	31	.....
0008	Tribal Law Enforcement .....	26	26	.....
0009	COPS Hiring Program .....	97	281	.....
0010	School Safety Program .....	25	.....	.....
0012	COPS Anti-Methamphetamine Program .....	12	7	.....
0013	Anti-Heroin Task Forces .....	35	28	.....
0016	Preparing for Active Shooter Situations .....	9	9	.....
0799	Total direct obligations .....	253	391	.....
0900	Total new obligations, unexpired accounts .....	253	391	.....

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	137	161	.....
1021	Recoveries of prior year unpaid obligations .....	13	.....	.....
1033	Recoveries of prior year paid obligations .....	9	.....	.....
1050	Unobligated balance (total) .....	159	161	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	276	276	.....
1120	Appropriations transferred to other acct [015–0401] .....	–36	–36	.....
1121	Appropriations transferred from other acct [015–0404] .....	25	.....	.....
1131	Unobligated balance of appropriations permanently reduced .....	–10	–10	.....
1160	Appropriation, discretionary (total) .....	255	230	.....
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	21	.....	.....
1701	Change in uncollected payments, Federal sources .....	–21	.....	.....
1900	Budget authority (total) .....	255	230	.....
1930	Total budgetary resources available .....	414	391	.....
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	161	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	372	433	748
3010	New obligations, unexpired accounts .....	253	391	.....
3020	Outlays (gross) .....	–179	–76	–174
3040	Recoveries of prior year unpaid obligations, unexpired .....	–13	.....	.....
3050	Unpaid obligations, end of year .....	433	748	574
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–21	.....	.....
3070	Change in uncollected pymts, Fed sources, unexpired .....	21	.....	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	351	433	748
3200	Obligated balance, end of year .....	433	748	574

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	255	230	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	34	25	.....
4011	Outlays from discretionary balances .....	145	51	174
4020	Outlays, gross (total) .....	179	76	174
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–21	.....	.....
4033	Non-Federal sources .....	–9	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	–30	.....	.....

Object Classification (in millions of dollars)

Identification code 015–0404–0–1–754	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	15	19	17
25.2	Other services from non-Federal sources .....	4	5	4
25.3	Other goods and services from Federal sources .....	118	146	129

COMMUNITY ORIENTED POLICING SERVICES—Continued  
Program and Financing—Continued

Identification code 015-0406-0-1-754	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	21		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	9		
4060 Additional offsets against budget authority only (total) .....	30		
4070 Budget authority, net (discretionary) .....	255	230	
4080 Outlays, net (discretionary) .....	149	76	174
4180 Budget authority, net (total) .....	255	230	
4190 Outlays, net (total) .....	149	76	174

The Community Oriented Policing Services (COPS) Office administers grants and provides expertise and other assistance in advancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing is a philosophy that promotes organizational strategies that support the systematic use of partnerships and problem-solving techniques to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, and fear of crime. In 2020, these community policing activities will be merged into the Office of Justice Programs (OJP), which provides leadership to federal, state, local and tribal systems, by disseminating state-of-the-art knowledge and practices across America by providing grants for the implementation of crime fighting strategies. Because most of the responsibility for crime control and prevention falls to law enforcement in states, cities, and neighborhoods, OJP has developed partnerships with state and local law enforcement. The transfer of community policing activities to OJP will allow the Department to centralize and strengthen the partnerships it has with its colleagues in State and Local law enforcement and to promote community policing not only through its hiring programs but also through the advancement of strategies for policing innovations and other innovative crime-fighting techniques.

Object Classification (in millions of dollars)

Identification code 015-0406-0-1-754	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	12	9	
11.9 Total personnel compensation .....	12	9	
12.1 Civilian personnel benefits .....	4	3	
23.1 Rental payments to GSA .....	4	4	
25.1 Advisory and assistance services .....	2	2	
25.2 Other services from non-Federal sources .....	4	6	
25.3 Other goods and services from Federal sources .....	10	8	
41.0 Grants, subsidies, and contributions .....	217	359	
99.0 Direct obligations .....	253	391	
99.9 Total new obligations, unexpired accounts .....	253	391	

Employment Summary

Identification code 015-0406-0-1-754	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	94	97	

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-0409-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Grants to Combat Violence Against Women (STOP) .....	205	205	
0003 Research and Evaluation of Violence Against Women (NIJ) .....		5	

0004 Management and administration .....	23	23	2
0005 Transitional Housing .....	34	32	
0006 Consolidated Youth Oriented Program .....	11	11	
0007 Grants to Encourage Arrest Policies .....	51	51	
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance .....	37	33	
0009 Legal Assistance Program .....	44	42	
0010 Tribal Special Domestic Violence Criminal Jurisdiction .....	3	3	
0011 Campus Violence .....	20	20	
0012 Disabilities Program .....	6	6	
0013 Elder Program .....	7	3	
0014 Sexual Assault Services .....	34	31	
0016 Indian Country - Sexual Assault Clearinghouse .....		1	
0017 National Resource Center on Workplace Responses .....		1	
0018 Research on Violence Against Indian Women .....	1	1	
0019 Safe Havens Court Training Consolidation .....	16	16	
0020 Rape Survivor Child Custody Act Program .....	1	2	
0900 Total new obligations, unexpired accounts .....	493	486	2

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	18	21
1021 Recoveries of prior year unpaid obligations .....	22	11	11
1033 Recoveries of prior year paid obligations .....	2	2	
1050 Unobligated balance (total) .....	35	31	32
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [015-0401] .....	-4	-3	
1121 Appropriations transferred from other acct [015-5041] .....	492	492	
1131 Unobligated balance of appropriations permanently reduced .....	-15	-15	
1160 Appropriation, discretionary (total) .....	473	474	
Spending authority from offsetting collections, discretionary:			
1700 Amounts available from Crime Victims Fund .....	1		
1701 Change in uncollected payments, Federal sources .....	2	2	2
1750 Spending auth from offsetting collections, disc (total) .....	3	2	2
1900 Budget authority (total) .....	476	476	2
1930 Total budgetary resources available .....	511	507	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	21	32

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,072	1,116	1,118
3010 New obligations, unexpired accounts .....	493	486	2
3020 Outlays (gross) .....	-427	-473	-466
3040 Recoveries of prior year unpaid obligations, unexpired .....	-22	-11	-11
3050 Unpaid obligations, end of year .....	1,116	1,118	643
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-4	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-4	-6	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,070	1,112	1,112
3200 Obligated balance, end of year .....	1,112	1,112	635

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	476	476	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	7	2
4011 Outlays from discretionary balances .....	408	466	464
4020 Outlays, gross (total) .....	427	473	466
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Amounts received from Crime Victims Fund .....	-1	-2	
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-3	-2	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2	2	
4060 Additional offsets against budget authority only (total) .....			-2
4070 Budget authority, net (discretionary) .....	473	474	
4080 Outlays, net (discretionary) .....	424	471	466
4180 Budget authority, net (total) .....	473	474	
4190 Outlays, net (total) .....	424	471	466

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	473	474	.....
Outlays .....	424	471	466
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			492
Outlays .....			20
<b>Total:</b>			
Budget Authority .....	473	474	492
Outlays .....	424	471	486

The mission of the Office on Violence Against Women (OVW) is to provide Federal leadership in developing the nation's capacity to reduce domestic violence, dating violence, sexual assault, and stalking through the implementation of the Violence Against Women Act (VAWA).

Since its inception in 1995, OVW has awarded over \$8.1 billion in grants and cooperative agreements, and has launched a multifaceted approach to implementing VAWA. By forging state, local, and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders, organizations that serve culturally specific and underserved communities and others, OVW grant programs help provide victims with the protection and services they need to pursue safe and healthy lives, while simultaneously enabling communities to hold offenders accountable for their violence.

The 2020 Budget proposes to transfer \$492.5 million from the Crime Victims Fund (CVF) to support OVW activities and programs.

**Object Classification** (in millions of dollars)

Identification code 015-0409-0-1-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	7	7	.....
12.1 Civilian personnel benefits .....	2	2	.....
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	9	9	.....
25.3 Other goods and services from Federal sources .....	7	6	.....
41.0 Grants, subsidies, and contributions .....	464	458	.....
99.9 Total new obligations, unexpired accounts .....	493	486	2

**Employment Summary**

Identification code 015-0409-0-1-754	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	61	63	.....

**VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 015-0409-4-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Grants to Combat Violence Against Women (STOP) .....			202
0003 Research and Evaluation of Violence Against Women (NIJ) .....			3
0004 Management and administration .....			24
0005 Transitional Housing .....			34
0006 Consolidated Youth Oriented Program .....			10
0007 Grants to Encourage Arrest Policies .....			51
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance .....			38
0009 Legal Assistance Program .....			43
0010 Tribal Special Domestic Violence Criminal Jurisdiction .....			4
0011 Campus Violence .....			19
0012 Disabilities Program .....			6
0013 Elder Program .....			5
0014 Sexual Assault Services .....			34
0016 Indian Country - Sexual Assault Clearinghouse .....			1
0017 National Resource Center on Workplace Responses .....			1
0018 Research on Violence Against Indian Women .....			1
0019 Safe Havens Court Training Consolidation .....			15
0020 Rape Survivor Child Custody Act Program .....			1

0900 Total new obligations, unexpired accounts .....			492
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [015-5041] .....			492
1930 Total budgetary resources available .....			492
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			492
3020 Outlays (gross) .....			-20
3050 Unpaid obligations, end of year .....			472
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			472
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			492
Outlays, gross:			
4100 Outlays from new mandatory authority .....			20
4180 Budget authority, net (total) .....			492
4190 Outlays, net (total) .....			20

The Budget requests \$492.5 million for programs administered by the Office on Violence Against Women (OVW) to prevent and respond to violence against women and related victims. OVW provides national leadership against domestic violence, dating violence, sexual assault, and stalking, and supports a multifaceted approach to responding to these crimes. To support this important work, the Budget proposes to transfer \$492.5 million from the Crime Victims Fund (CVF) to support OVW activities and programs. This transfer is part of a broader authorizing proposal that seeks to reform the CVF with the goal of better serving the victims of crime. In 2020, funding requested for this account will support the following initiatives:

**STOP Violence Against Women Formula Grant Program.**—The STOP Program is designed to encourage the development and strengthening of effective law enforcement and prosecution strategies to combat violent crimes against women and the development and strengthening of victim services in cases involving violent crimes against women. The 2020 Budget proposes \$215 million for this program.

**Transitional Housing Assistance Program.**—Transitional Housing grants provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. The 2020 Budget proposes \$35.5 million for this program.

**Research and Statistics on Violence Against Women (National Institute of Justice and the Bureau of Justice Statistics).**—This program supports research and statistics on violence against women. The 2020 Budget proposes to allow a transfer up to \$3.5 million for this program.

**Grants to Encourage Arrest Policies.**—This discretionary grant program is designed to encourage state, local, and tribal governments and state, local, and tribal courts to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law requiring the coordinated involvement of the entire criminal justice system. The 2020 Budget proposes \$53 million for this program.

**Homicide Reduction Initiative.**—This initiative is designed to use promising and evidence-based practices to address the urgent problem of domestic violence related homicides by identifying high-risk offenders and providing an enhanced coordinated response to assist victims. For 2020, the Budget proposes a transfer of \$4 million to be made available from the Grants to Encourage Arrest Policies Program.

**Sexual Assault Services Program.**—This program provides funding for states and territories, tribes, state sexual assault coalitions, tribal coalitions, and culturally specific organizations. Overall, the purpose of this program is to provide intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault. The 2020 Budget proposes \$35 million for this program.

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS—Continued

*Rural Domestic Violence Program.*—This program enhances the safety of child, youth and adult victims of domestic violence, dating violence, sexual assault, and stalking by supporting projects uniquely designed to address and prevent these crimes in rural jurisdictions. The 2020 Budget proposes \$40 million for this program.

*Grants to Reduce Violence Crimes Against Women on Campus.*—The Campus Program develops and strengthens victim services in cases involving violent crimes against women on campuses, and aims to strengthen security and investigative strategies to prevent and prosecute violent crimes against women on campuses. The 2020 Budget proposes \$20 million for this program, of which \$8 million is for a demonstration initiative to improve campus responses to sexual assault, dating violence, and stalking, which will not be subject to the restrictions of section 304(a)(2).

*Legal Assistance for Victims Grant Program.*—The Legal Assistance Program increases the availability of civil and criminal legal assistance in order to provide effective aid to victims who are seeking relief in legal matters arising as a consequence of abuse or violence. The 2020 Budget proposes \$45 million for this program.

*Enhanced Training and Services to End Violence Against and Abuse of Women Later in Life.*—This program provides or enhances training and services to address elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, involving victims who are 50 years of age or older. The 2020 Budget proposes \$5 million for this program.

*Education and Training to End Violence Against and Abuse of Women with Disabilities Grant Program.*—The Disabilities Grant Program builds the capacity of jurisdictions to address domestic violence, dating violence, stalking and sexual assault against individuals with disabilities through the creation of multi-disciplinary teams. The 2020 Budget proposes \$6 million for this program.

*Consolidation of Youth-Oriented Programming.*—This consolidated grant program consolidates the purpose areas of four programs under one competitive program. The four programs included in the consolidation include: Services to Advocate for and Respond to Youth, Grants to Assist Children and Youth Exposed to Violence, Engaging Men and Youth in Preventing Domestic Violence, and Supporting Teens through Education. This consolidation allows OVW to leverage resources for maximum impact in communities by funding comprehensive projects that include both youth service and prevention components. The 2020 Budget proposes \$11 million for this program.

*Indian Country-Sexual Assault Clearinghouse.*—This funding will support the establishment and maintenance of a national clearinghouse on the sexual assault of American Indian and Alaska Native women. This project will offer a one-stop shop for tribes to request free on-site training and technical assistance. The 2020 Budget proposes \$500,000 for this program.

*National Resource Center on Workplace Response.*—The Violence Against Women Act of 2005 provided for an award to establish and operate a national resource center on workplace responses to assist victims of domestic and sexual violence. The center will provide information and assistance to employers and labor organizations to better equip them to respond to victims. The 2020 Budget proposes \$500,000 for this program.

*Grants to Support Families in the Justice System.*—This funding will provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, including safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children. This program represents a consolidation of the Safe Havens and Court Training and Improvements program. The 2020 Budget proposes \$16 million for this program.

*Tribal Special Domestic Violence Criminal Jurisdiction.*—This grant program for tribal governments was first authorized in the Violence Against Women Reauthorization Act of 2013 (VAWA 2013). VAWA 2013 included an historic provision that recognizes the inherent power of "participating

tribes" to exercise "special domestic violence criminal jurisdiction" over both Indians and non-Indians who assault Indian spouses, intimate partners, or dating partners, or who violate protection orders, in Indian country. To support tribes that chose to participate, the Act authorizes funding to strengthen tribal criminal justice systems, provide indigent defense counsel, develop appropriate jury pools, and assist victims. The 2020 Budget proposes \$4 million for this program.

*Rape Survivor Child Custody Act Program.*—Directs the Attorney General to make grants to states that have in place a law that allows the mother of any child that was conceived through rape to seek court-ordered termination of the parental rights of her rapist with regard to that child, which the court shall grant upon clear and convincing evidence of rape. The 2020 Budget proposes \$1.5 million for this program. For 2020, funding requested for this account will also support Analysis and Research on Violence Against Indian Women, a program that is administered by the Office of Justice Programs and supports comprehensive research on violence against Native American women. The 2020 Budget proposes \$1 million for this program.

*Management and Administration.*—Within total grants funding, \$23.9 million will be provided for management and administration costs.

**Object Classification** (in millions of dollars)

Identification code 015-0409-4-1-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			7
12.1 Civilian personnel benefits .....			2
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....			2
23.3 Communications, utilities, and miscellaneous charges .....			1
25.1 Advisory and assistance services .....			9
25.3 Other goods and services from Federal sources .....			6
41.0 Grants, subsidies, and contributions .....			464
99.9 Total new obligations, unexpired accounts .....			492

**Employment Summary**

Identification code 015-0409-4-1-754	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			63

**JUVENILE JUSTICE PROGRAMS**

*For grants, contracts, cooperative agreements, and other assistance authorized by the Juvenile Justice and Delinquency Prevention Act of 1974 (Public Law 93-415) ("the 1974 Act"); title I of the Omnibus Crime Control and Safe Streets Act of 1968 (Public Law 90-351) ("the 1968 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Missing Children's Assistance Act (title IV of Public Law 93-415); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110-401) ("the 2008 Act"); the Victims of Crime Act of 1984 (chapter XIV of title II of Public Law 98-473) ("the 1984 Act"); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); the Comprehensive Addiction and Recovery Act of 2016 (Public Law 114-198); and other juvenile justice programs, \$238,500,000 to remain available until expended as follows—*

(1) \$58,000,000 for programs authorized by section 221 of the 1974 Act: *Provided, That of the amounts provided under this paragraph, \$500,000 shall be for a competitive demonstration grant program to support emergency planning among State, local and tribal juvenile justice residential facilities: Provided further, That notwithstanding sections 103(26) and 223(a)(11)(A) of the 1974 Act, for purposes of funds appropriated in this Act—*

(A) the term "adult inmate" shall be understood to mean an individual who has been arrested and is in custody as the result of being charged as an adult with a crime, but shall not be understood to include anyone under the care and custody of a juvenile detention or correctional agency, or anyone who is in custody as the result of being charged with or having committed a delinquent offense;

(2) \$58,000,000 for youth mentoring programs;

(3) \$17,000,000 for delinquency prevention, of which, pursuant to sections 261 and 262 of the 1974 Act—

(A) \$5,000,000 shall be for gang and youth violence education, prevention and intervention, and related activities;

(B) \$500,000 shall be for an Internet site providing information and resources on children of incarcerated parents;

(C) \$2,000,000 shall be for competitive grant programs focusing on girls in the juvenile justice system; and

(D) \$5,000,000 shall be for an opioid-affected youth initiative;

(4) \$20,000,000 for programs authorized by the 1990 Act;

(5) \$81,000,000 for missing and exploited children programs, including as authorized by sections 404(b) and 405(a) of the 1974 Act (except that section 102(b)(4)(B) of the 2008 Act shall not apply for purposes of this Act);

(6) \$2,000,000 for child abuse training programs for judicial personnel and practitioners, as authorized by section 222 of the 1990 Act; and

(7) \$2,500,000 for grants and training programs to improve juvenile justice prosecution and defense process improvements programs, of which \$1,250,000 shall be for programs related to juvenile justice prosecution and \$1,250,000 shall be for programs related to juvenile justice defense: Provided, That not more than 10 percent of each amount may be used for research, evaluation, and statistics activities related to juvenile justice and delinquency prevention: Provided further, That not more than 2 percent of each amount designated, other than as expressly authorized by statute, may be used for training and technical assistance related to juvenile justice and delinquency prevention: Provided further, That funds made available for juvenile justice and delinquency prevention activities pursuant to the two preceding provisos may be used without regard to the authorizations associated with the underlying sources of those funds: Provided further, That the three preceding provisos shall not apply to grants and projects administered pursuant to sections 261 and 262 of the 1974 Act and to missing and exploited children programs.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 015–0405–0–1–754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Part B: Formula Grants .....	54	55	53
0002 Youth Mentoring .....	87	87	53
0003 Delinquency Prevention Program (Title V - Local Delinq. Prevention Incentive Grants) .....			4
0004 Victims of Child Abuse .....	18	19	18
0005 Gang and Youth Violence Prevention .....	1	1	4
0008 Community-Based Violence Prevention Initiatives .....	1	7	
0009 Tribal Youth Program .....	5	5	
0011 Emergency Planning - Juvenile Detention Facilities .....		1	1
0013 Missing and Exploited Children .....	69	71	75
0014 Child Abuse Training for Judicial Personnel and Practitioners .....	2	2	2
0015 Management and Administration .....	19	19	19
0017 Girls in the Juvenile Justice System .....	2	2	2
0018 Children of Incarcerated Parents Web Portal .....	1	1	1
0021 Indigent Defense Initiative—Improving Juvenile Indigent Defense Program .....	2	2	2
0023 Opioid Affected Youth Initiative .....	7	7	5
0799 Total direct obligations .....	268	279	239
0801 Juvenile Justice Programs (Reimbursable) .....	6	6	6
0900 Total new obligations, unexpired accounts .....	274	285	245
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	16	33
1021 Recoveries of prior year unpaid obligations .....	8	16	16
1050 Unobligated balance (total) .....	10	32	49
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	283	283	238
1120 Appropriations transferred to other acct [015–0401] .....	–6		
1131 Unobligated balance of appropriations permanently reduced .....	–3	–3	–11
1160 Appropriation, discretionary (total) .....	274	280	227
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	12	6	6
1701 Change in uncollected payments, Federal sources .....	–6		

1750	Spending auth from offsetting collections, disc (total) .....	6	6	6
1900	Budget authority (total) .....	280	286	233
1930	Total budgetary resources available .....	290	318	282
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	16	33	37
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	462	472	350
3010	New obligations, unexpired accounts .....	274	285	245
3020	Outlays (gross) .....	–256	–391	–256
3040	Recoveries of prior year unpaid obligations, unexpired .....	–8	–16	–16
3050	Unpaid obligations, end of year .....	472	350	323
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–7	–1	–1
3070	Change in uncollected pymts, Fed sources, unexpired .....	6		
3090	Uncollected pymts, Fed sources, end of year .....	–1	–1	–1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	455	471	349
3200	Obligated balance, end of year .....	471	349	322
<b>Budget authority and outlays, net:</b>				
<b>Discretionary:</b>				
4000	Budget authority, gross .....	280	286	233
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	13	47	33
4011	Outlays from discretionary balances .....	243	344	223
4020	Outlays, gross (total) .....	256	391	256
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	–12	–6	–6
4040	Offsets against gross budget authority and outlays (total) .....	–12	–6	–6
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	6		
4060	Additional offsets against budget authority only (total) .....	6		
4070	Budget authority, net (discretionary) .....	274	280	227
4080	Outlays, net (discretionary) .....	244	385	250
4180	Budget authority, net (total) .....	274	280	227
4190	Outlays, net (total) .....	244	385	250

The 2020 Budget requests \$238.5 million for the Office of Justice Programs' (OJP) Juvenile Justice Programs. This appropriation account includes programs that support state, local, and tribal community efforts to prevent juvenile delinquency and crime and assist children who have been victimized by crime and child abuse. These programs also help states and communities improve their juvenile justice systems in ways that protect public safety, hold youth involved in the justice system accountable, and provide appropriate reentry services for youth returning to their communities after detention in secure correctional facilities.

The 2020 Budget requests \$58 million for the Part B: Formula Grants Program. Part B is the core program that supports state, local, and tribal efforts to improve the fairness and responsiveness of the juvenile justice system and to increase accountability of the juvenile offender. The Budget also requests \$2.5 million for the Prosecution and Defense Process Improvement Program (formerly Improving Juvenile Indigent Defense Program), which supports two areas of improvement for the juvenile justice system—juvenile prosecution and indigent defense. The juvenile prosecution component is a new addition to the program in 2020, and will support states in providing training and professional development and needed resources to ensure juvenile prosecutors have access to information that addresses the unique framework of the juvenile court system. Additionally, the Budget requests \$81 million for the Missing and Exploited Children Program (MECP), including Internet Crime Against Children (ICAC) task forces. Other major programs funded by this appropriation include Youth Mentoring (\$58 million), Delinquency Prevention (\$17 million), and Victims of Child Abuse Act (\$20 million).

**Object Classification** (in millions of dollars)

Identification code 015–0405–0–1–754	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
25.1	Advisory and assistance services .....	5	5	4

JUVENILE JUSTICE PROGRAMS—Continued  
Object Classification—Continued

Identification code 015-0405-0-1-754	2018 actual	2019 est.	2020 est.
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	24	25	21
41.0 Grants, subsidies, and contributions .....	237	251	213
99.0 Direct obligations .....	268	283	240
99.0 Reimbursable obligations .....	6	2	5
99.9 Total new obligations, unexpired accounts .....	274	285	245

PUBLIC SAFETY OFFICER BENEFITS  
(INCLUDING TRANSFER OF FUNDS)

For payments and expenses authorized under section 1001(a)(4) of title I of the Omnibus Crime Control and Safe Streets Act of 1968, such sums as are necessary (including amounts for administrative costs), to remain available until expended; and \$24,800,000 for payments authorized by section 1201(b) of such Act and for educational assistance authorized by section 1218 of such Act, to remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for such disability and education payments, the Attorney General may transfer such amounts to "Public Safety Officer Benefits" from available appropriations for the Department of Justice as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 015-0403-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public Safety Officers Discretionary Disability and Education			
Benefit Payments .....	25	23	24
0002 Public Safety Officers Death Mandatory Payments .....	112	86	105
0003 Management and Administration (discretionary funding only) .....		8	11
0900 Total new obligations, unexpired accounts .....	137	117	140

Budgetary resources:

Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	25
Appropriations, mandatory:			
1200 Appropriation .....	110	92	115
1900 Budget authority (total) .....	135	117	140
1930 Total budgetary resources available .....	137	117	140

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	51	3
3010 New obligations, unexpired accounts .....	137	117	140
3020 Outlays (gross) .....	-138	-165	-140
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	51	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	54	51	3
3200 Obligated balance, end of year .....	51	3	3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	25	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	25	25
4011 Outlays from discretionary balances .....	3		
4020 Outlays, gross (total) .....	22	25	25

Mandatory:			
4090 Budget authority, gross .....	110	92	115
Outlays, gross:			
4100 Outlays from new mandatory authority .....	74	92	115
4101 Outlays from mandatory balances .....	42	48	
4110 Outlays, gross (total) .....	116	140	115
4180 Budget authority, net (total) .....	135	117	140
4190 Outlays, net (total) .....	138	165	140

The 2020 Budget requests \$139.8 million for the Office of Justice Programs' Public Safety Officers' Benefits (PSOB) Program, of which \$115 million is a mandatory appropriation for death benefits and \$24.8 million is a discretionary appropriation for disability and education benefits. This appropriation supports programs that provide benefits to public safety officers who are severely injured in the line of duty and to the families and survivors of public safety officers killed or mortally injured in the line of duty. These programs represent the continuation of a partnership between the Department of Justice, national public safety organizations, and public safety agencies at the state, local, and tribal levels. The PSOB program oversees three types of benefits:

**Death Benefits.**—This program provides a one-time financial benefit to survivors of public safety officers whose deaths resulted from injuries sustained in the line of duty.

**Disability Benefits.**—This program offers a one-time financial benefit to public safety officers permanently disabled by catastrophic injuries sustained in the line of duty.

**Education Benefits.**—This program provides financial support for higher education expenses to the eligible spouses and children of public safety officers killed or permanently disabled in the line of duty.

Object Classification (in millions of dollars)

Identification code 015-0403-0-1-754	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	9	7	9
41.0 Grants, subsidies, and contributions .....	1	1	1
42.0 Insurance claims and indemnities .....	125	107	128
99.9 Total new obligations, unexpired accounts .....	137	117	140

CRIME VICTIMS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5041-0-2-754	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	13,032	9,121	7,185
Receipts:			
Current law:			
1110 Fines, Penalties, and Forfeitures, Crime Victims Fund .....	445	2,500	2,500
2000 Total: Balances and receipts .....	13,477	11,621	9,685
Appropriations:			
Current law:			
2101 Crime Victims Fund .....	-445	-2,500	-2,500
2103 Crime Victims Fund .....	-13,032	-9,121	-7,185
2132 Crime Victims Fund .....	891	903	
2134 Crime Victims Fund .....		6,282	
2134 Crime Victims Fund .....	8,230		
2199 Total current law appropriations .....	-4,356	-4,436	-9,685
Proposed:			
2201 Crime Victims Fund .....			200
2203 Crime Victims Fund .....			7,185
2299 Total proposed appropriations .....			7,385
2999 Total appropriations .....	-4,356	-4,436	-2,300
5099 Balance, end of year .....	9,121	7,185	7,385

**Program and Financing** (in millions of dollars)

Identification code 015-5041-0-2-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Crime victims grants and assistance .....	3,708	3,702	9,446
0002 Management and administration .....	82	82	97
0003 HHS .....	16	17	17
0005 Inspector General Oversight .....	10	10	10
0006 Tribal Victims Assistance Grants .....	122	133	115
0900 Total new obligations, unexpired accounts .....	3,938	3,944	9,685
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	50	50	50
1021 Recoveries of prior year unpaid obligations .....	73		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	124	50	50
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other acct [015-0409] .....		-492	
1134 Appropriations precluded from obligation .....		-6,282	
1160 Appropriation, discretionary (total) .....		-6,774	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	445	2,500	2,500
1203 Appropriation (unavailable balances) .....	13,032	9,121	7,185
1220 Appropriations transferred to other acct [015-0409] .....	-492		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-891	-903	
1234 Appropriations precluded from obligation .....	-8,230		
1260 Appropriations, mandatory (total) .....	3,864	10,718	9,685
1900 Budget authority (total) .....	3,864	3,944	9,685
1930 Total budgetary resources available .....	3,988	3,994	9,735
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50	50	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,442	7,463	6,945
3010 New obligations, unexpired accounts .....	3,938	3,944	9,685
3020 Outlays (gross) .....	-1,844	-4,462	-7,836
3040 Recoveries of prior year unpaid obligations, unexpired .....	-73		
3050 Unpaid obligations, end of year .....	7,463	6,945	8,794
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,442	7,463	6,945
3200 Obligated balance, end of year .....	7,463	6,945	8,794
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-6,774	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-2,710	
4011 Outlays from discretionary balances .....			-1,693
4020 Outlays, gross (total) .....		-2,710	-1,693
Mandatory:			
4090 Budget authority, gross .....	3,864	10,718	9,685
Outlays, gross:			
4100 Outlays from new mandatory authority .....	104	4,287	3,874
4101 Outlays from mandatory balances .....	1,740	2,885	5,655
4110 Outlays, gross (total) .....	1,844	7,172	9,529
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160 Budget authority, net (mandatory) .....	3,864	10,718	9,685
4170 Outlays, net (mandatory) .....	1,843	7,172	9,529
4180 Budget authority, net (total) .....	3,864	3,944	9,685
4190 Outlays, net (total) .....	1,843	4,462	7,836

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,864	3,944	9,685
Outlays .....	1,843	4,462	7,836
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-7,877

Outlays .....			-3,446
Total:			
Budget Authority .....	3,864	3,944	1,808
Outlays .....	1,843	4,462	4,390

The Crime Victims Fund provides formula grants to states and territories to support compensation and services for victims of crime. CVF funding also supports training, technical assistance, and demonstration grants designed to improve the capabilities and capacity of victims services providers throughout the Nation. The Fund is financed by collections of fines, penalty assessments, and bond forfeitures from defendants convicted of Federal crimes.

**Object Classification** (in millions of dollars)

Identification code 015-5041-0-2-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	109	123	64
25.3 Other goods and services from Federal sources .....	107	120	62
41.0 Grants, subsidies, and contributions .....	3,721	3,700	9,558
99.9 Total new obligations, unexpired accounts .....	3,938	3,944	9,685

**CRIME VICTIMS FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 015-5041-4-2-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-7,385
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-7,385
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-200
1203 Appropriation (unavailable balances) .....			-7,185
1220 Appropriations transferred to other acct [015-0409] .....			-492
1260 Appropriations, mandatory (total) .....			-7,877
1930 Total budgetary resources available .....			-7,877
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-492
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-7,385
3020 Outlays (gross) .....			3,446
3050 Unpaid obligations, end of year .....			-3,939
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-3,939
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-7,877
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-3,446
4180 Budget authority, net (total) .....			-7,877
4190 Outlays, net (total) .....			-3,446

The 2020 Budget continues the Crime Victims Fund (CVF) reform effort included in the Budget. The CVF reform proposal would establish a \$2.3 billion mandatory annual appropriation for CVF. Included within this total are \$492.5 million for the Office on Violence Against Women; \$10 million to support oversight of OVC programs by DOJ's Office of the Inspector General; \$12 million for developing innovative crime victims services initiatives; and a set-aside of up to \$115.0 million to support tribal victims assistance grants. The Office for Victims of Crime (OVC) will provide formula and non-formula grants to the states to support crime victim compensation and victims services programs. The CVF proposal will

CRIME VICTIMS FUND—Continued

provide more reliable funding for the program, allowing for long-term program planning that will better serve victims of crime.

DOMESTIC TRAFFICKING VICTIMS' FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5606-0-2-754	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Fines, Penalties, and Forfeitures, Domestic Trafficking Victims' Fund	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Domestic Trafficking Victims' Fund	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 015-5606-0-2-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Domestic Trafficking Victims	4	5	5
0100 Direct program activities, subtotal	4	5	5
0900 Total new obligations, unexpired accounts (object class 41.0)	4	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	3
1011 Unobligated balance transfer from other acct [075-0360]	5	5	5
1050 Unobligated balance (total)	5	7	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1900 Budget authority (total)	1	1	1
1930 Total budgetary resources available	6	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	3	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	10	11
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)	-3	-4	-5
3050 Unpaid obligations, end of year	10	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	10	11
3200 Obligated balance, end of year	10	11	11
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances	3	4	5
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	3	4	5

The Justice for Victims of Trafficking Act of 2015 (Public Law 114-22) created the Domestic Victims of Trafficking Fund (DVTF) and authorizes grants to expand and improve services for victims of trafficking in the U.S. and victims of child pornography as authorized by the Victims of Child Abuse Act of 1990, the Trafficking Victims Protection Act of 2000, and the Trafficking Victims Protection Reauthorization Act of 2005. All programs supported by DVTF are administered by the Office of Justice Programs in consultation with the Department of Health and Human Services. The 2020 Budget proposes a total of \$6 million (including \$5 million in funding transferred from the Department of Health and Human Services

and \$1 million in collections from the Federal court system) to support grants under this program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Governmental receipts:</b>			
015-085400 Registration Fees, DEA	15	15	15
015-087000 Chapter Eleven Filing Fees, Bankruptcy, Department of Justice	3	6	7
General Fund Governmental receipts	18	21	22
<b>Offsetting receipts from the public:</b>			
015-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified		1	1
015-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	446	525	525
General Fund Offsetting receipts from the public	446	526	526
<b>Intragovernmental payments:</b>			
015-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	-884	104	104
General Fund Intragovernmental payments	-884	104	104

GENERAL PROVISIONS—DEPARTMENT OF JUSTICE

(INCLUDING TRANSFER OF FUNDS)

(INCLUDING CANCELLATION OF FUNDS)

SEC. 201. In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$50,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses.

SEC. 202. None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape or incest: Provided, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 203. None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 204. Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: Provided, That nothing in this section in any way diminishes the effect of section 203 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.

SEC. 205. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

SEC. 206. None of the funds made available under this title may be used by the Federal Bureau of Prisons or the United States Marshals Service for the purpose of transporting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.

SEC. 207. (a) None of the funds appropriated by this Act may be used by Federal prisons to purchase cable television services, or to rent or purchase audiovisual or electronic media or equipment used primarily for recreational purposes.

(b) Subsection (a) does not preclude the rental, maintenance, or purchase of audiovisual or electronic media or equipment for inmate training, religious, or educational programs.

SEC. 208. The notification thresholds and procedures set forth in section 504 of this Act shall apply to deviations from the amounts designated for specific activities in this Act and in the explanatory statement that accompanies this Act, and to any use of deobligated balances of funds provided under this title in previous years.

SEC. 209. None of the funds appropriated by this Act may be used to plan for, begin, continue, finish, process, or approve a public-private competition under the Office of Management and Budget Circular A-76 or any successor administrative regulation,

directive, or policy for work performed by employees of the Bureau of Prisons or of Federal Prison Industries, Incorporated.

SEC. 210. At the discretion of the Attorney General, and in addition to any amounts that otherwise may be available (or authorized to be made available) by law, with respect to funds appropriated by this title under the headings "Research, Evaluation and Statistics", "State and Local Law Enforcement Assistance", and "Juvenile Justice Programs" or otherwise appropriated or transferred under this Act for administration by the Office of Justice Programs—

(1) up to 3 percent of funds made available for grant or reimbursement programs may be used by the Office of Justice Programs to provide training and technical assistance;

(2) up to 3 percent of funds made available for grant or reimbursement programs, except for amounts appropriated specifically for research, evaluation, or statistical programs administered by the National Institute of Justice and the Bureau of Justice Statistics, shall be transferred to and merged with funds provided to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation, or statistical purposes, without regard to the authorizations for such grant or reimbursement programs; and

(3) up to 7 percent of funds made available for grant or reimbursement programs may be transferred to and merged with funds under the heading "State and Local Law Enforcement Assistance", for assistance to Indian tribes, without regard to the authorizations for such grant or reimbursement programs.

SEC. 211. Upon request by a grantee for whom the Attorney General has determined there is a fiscal hardship, the Attorney General may, with respect to funds appropriated in this or any other Act making appropriations for fiscal years 2017 through 2020 for the following programs, waive the following requirements:

(1) For the adult and juvenile offender State and local reentry demonstration projects under part FF of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. 10631(g)(1)), the requirements under section 2976(g)(1) of such part.

(2) For grants to protect inmates and safeguard communities as authorized by section 6 of the Prison Rape Elimination Act of 2003 (34 U.S.C. 30305(c)(3)), the requirements of section 6(c)(3) of such Act.

SEC. 212. Notwithstanding any other provision of law, section 20109(a) of subtitle A of title II of the Violent Crime Control and Law Enforcement Act of 1994 (34 U.S.C. 12109(a)) shall not apply to amounts made available by this or any other Act.

SEC. 213. None of the funds made available under this Act, other than for the national instant criminal background check system established under section 103 of the Brady Handgun Violence Prevention Act (18 U.S.C. 922 note), may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel, unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 214. Discretionary funds that are made available in this Act for the Office of Justice Programs may be used to participate in Performance Partnership Pilots authorized under section 525 of division H of Public Law 115–141, section 525 of division H of Public Law 115–31, section 525 of division H of Public Law 114–113, section 526 of division H of Public Law 113–76, section 524 of division G of Public Law 113–235, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal years 2019 and 2020.

SEC. 215. Of the unobligated balances available from prior year appropriations in the Office of Justice Programs, \$85,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 216. Section 527 of title 28, United States Code, is amended in the third sentence by inserting ": (1) before "the Department" and by inserting "; and (2) Federally recognized tribes for supplies, materials, and services related to access to federal law enforcement databases;" after "and services".

SEC. 217. Section 642 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1373) is amended as follows—

(a) In subsection (a), by replacing "any government entity or official" with "any government law enforcement entity or official" and by striking all that follows after "from" and inserting the following new paragraphs—

"(1) sending to, or receiving from, the Department of Homeland Security information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement

of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)); or

"(2) complying with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual."

(b) In subsection (b)—

(1) In the introductory clause, by inserting "law enforcement" before "entity" and by replacing "regarding the immigration status, lawful or unlawful, of any individual", with "information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual currently or previously in custody or currently or previously suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))";

(2) In paragraph (1), by replacing "the U.S. Immigration and Naturalization Service" with "Department of Homeland Security"; and

(3) In paragraph (2), by inserting ", collecting, inquiring into, or verifying" after "Maintaining".

(c) In subsection (c)—

(1) By replacing "the Immigration and Naturalization Service" with "the Department of Homeland Security"; and

(2) By replacing "the citizenship or immigration status" with "the nationality, citizenship, or immigration status".

(d) After subsection (c), by inserting the following—

"(d) The Secretary of Homeland Security or the Attorney General may condition a grant or cooperative agreement awarded by the Department of Homeland Security or the Department of Justice to a State or political subdivision of a state, for a purpose related to immigration, national security, law enforcement, or preventing, preparing for, protecting against or responding to acts of terrorism, on a requirement that the recipient of the grant or cooperative agreement agrees that it will—

"(1) Send to the Department of Homeland Security information requested by the Secretary of Homeland Security, or the Secretary's designee, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(2) Exchange, at the request of the Secretary of Homeland Security, or the Secretary's designee, information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, with any other Federal, State, or local government law enforcement entity, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(3) Not prohibit or restrict any entity, official, or employee from collecting, inquiring into, or verifying information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)), and will maintain any such information it may collect, during the period of performance of a grant or cooperative agreement conditioned under this subsection; and

"(4) Comply with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual."

(e) In the section heading, by replacing "Immigration and Naturalization Service" with "Department of Homeland Security".

(f) The Secretary of Homeland Security or the Attorney General may require States and political subdivisions of States that apply for Federal grants or cooperative agreements from the Department of Homeland Security or the Department

of Justice to include a certification that they will comply with subsection (d) in their applications for award. The Secretary or the Attorney General may prescribe the form of the certification for the Federal grants and cooperative agreements awarded by their respective Departments.

(g) The Secretary of Homeland Security and the Attorney General may enforce the provisions of this Section through any lawful means, including by seeking injunctive or other relief from a court of competent jurisdiction.

(h) SEVERABILITY.—The provisions of this section are severable. If any provision of this section, or any application thereof, is found unconstitutional, that finding shall not affect any provision or application of this section not so adjudicated.

SEC. 218. Section 1825 of title 28, United States Code, shall be amended:

(a) in subsections (a) and (b) by striking "United States marshal for the district" each place it appears and inserting "Attorney General"; and

(b) in subsection (c) by striking "United States marshal" and inserting "Attorney General".

SEC. 219. Section 151 of the Foreign Relations Authorization Act, Fiscal Years 1990 and 1991 (Public Law 101-246 (5 U.S.C. 5928 note)), is amended by:

(a) striking "or" after "Drug Enforcement Administration" and inserting ", the"; and

(b) inserting after "Federal Bureau of Investigation": ", or the United States Marshals Service".

SEC. 220. Of the unobligated balances available in the Working Capital Fund, \$100,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 221. The Department of Justice Appropriations Act, 1997 (Title I, Div. A, Public Law 104-208, 110 Stat. 3009-11) is amended under the heading "Federal Prison System, Salaries and Expenses" by striking the eighth proviso (pertaining to the budget and functions of the National Institute of Corrections).

# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

### Federal Funds

#### TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Workforce Innovation and Opportunity Act (referred to in this Act as "WIOA"), [the Second Chance Act of 2007, and the National Apprenticeship Act, \$3,502,700,000] \$3,247,407,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,789,832,000 as follows:

(A) \$845,556,000 for adult employment and training activities, of which \$133,556,000 shall be available for the period July 1, [2019] 2020 through June 30, [2020] 2021, and of which \$712,000,000 shall be available for the period October 1, [2019] 2020 through June 30, [2020] 2021: *Provided, That of the funds made available in this subparagraph, the Secretary of Labor shall reserve 1.5 percent for grants for adult employment and training activities for Indians, Native Hawaiians, and Native Alaskans;*

(B) \$903,416,000 for youth activities, which shall be available for the period April 1, [2019] 2020 through June 30, [2020] 2021; and

(C) \$1,040,860,000 for dislocated worker employment and training activities, of which \$180,860,000 shall be available for the period July 1, [2019] 2020 through June 30, [2020] 2021, and of which \$860,000,000 shall be available for the period October 1, [2019] 2020 through June 30, [2020] 2021:

*Provided, That the funds available for allotment to outlying areas to carry out subtitle B of title I of the WIOA shall not be subject to the requirements of section 127(b)(1)(B)(ii) of such Act [ ] : Provided further, That notwithstanding the requirements of the WIOA, outlying areas may submit a single application for a consolidated grant that awards funds that would otherwise be available to such areas to carry out the activities described in subtitle B of title I of the WIOA: Provided further, That such application shall be submitted to the Secretary at such time, in such manner and containing such information as the Secretary may require: Provided further, That outlying areas awarded a consolidated grant described in the preceding provisos may use the funds for any of the programs and activities authorized under subtitle B of title I of the WIOA subject to reporting requirements issued by the Secretary: Provided further, That notwithstanding paragraphs (2) and (3) of section 106(b) of the WIOA, the Governor of a State may designate all local workforce development areas in the State in accordance with the considerations specified in section 106(b)(1)(B) of such Act: Provided further, That notwithstanding section 106(b) of the WIOA, the Governor of any State may designate the State as a single State local area for purposes of such Act: Provided further, That, with the prior approval of the Governor, a local workforce development board may transfer up to 100 percent of the funds allocated to the local area for adult employment and training activities to youth activities, and up to 100 percent of the funds allocated for youth activities to adult employment and training activities: Provided further, That notwithstanding section 134(d)(4) of the WIOA, with the approval of the Governor, local areas may use up to 40 percent of funds allotted to the local area in subparagraphs (A) and (C) of this paragraph for incumbent worker training programs if the increase in the percentage of funds used under such section is for the purpose of supporting apprenticeship programs: Provided further, That notwithstanding sections 129(b)(1) and 134(a)(2) of the WIOA, the funds reserved for statewide activities under section 128(a) of such Act may be used to carry out the activities described in sections 129(b) and 134(a) of such Act: Provided further, That notwithstanding section 134(a)(2)(A) of the WIOA, funds required to be reserved to carry out rapid response services under section 133(a)(2) of such Act may be used by States to provide other Statewide activities described in sections 129(b) and 134(a) of such Act or to provide additional assistance to local workforce development areas: Provided further, That in addition to waivers granted pursuant to section 189(i) of the WIOA, the Secretary of Labor may waive such administrative and reporting requirements under such Act (except requirements relating to labor standards or nondiscrimination) as the Secretary determines are appropriate to promote efficiency and reduce administrative costs of States and local workforce development areas: Provided further, That section 189(i)(3)(A)(i) of the WIOA shall be applied in fiscal year 2020 by inserting "and" before "nondiscrimination" and striking all that follows "nondiscrimination" through "title": Provided further, That section 189(i)(3)(A)(ii) of the WIOA shall be applied in fiscal year 2020 by striking "of sections 8 through 10" and "(29 U.S.C. 49g through 49i)", and by inserting "the colocation of employment service offices with one-stop centers, the designation of a cooperating State agency, the*

*establishment and maintenance of a national system of public employment service offices" after "veterans,"; and*

(2) for national programs, [\$712,868,000] \$457,575,000 as follows:

(A) [\$220,859,000] \$134,717,000 for the dislocated workers assistance national reserve, of which \$20,859,000 shall be available for the period July 1, [2019] 2020 through September 30, [2020] 2021, and of which [\$200,000,000] \$113,858,000 shall be available for the period October 1, [2019] 2020 through September 30, [2020] 2021: *Provided, That funds made available in this subparagraph shall be available for the pilot program authorized under section 8041 of the SUPPORT for Patients and Communities Act (Public Law 115–271): Provided further, That funds provided to carry out section 132(a)(2)(A) of the WIOA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out sections 168(b) and 169(c) of the WIOA may be used for technical assistance and demonstration projects, respectively, that provide assistance to new entrants in the workforce and incumbent worker: Provided further, That notwithstanding section 168(b) of the WIOA, of the funds provided under this subparagraph, the Secretary of Labor (referred to in this title as "Secretary") may reserve not more than 10 percent of such funds to provide technical assistance and carry out additional activities related to the transition to the WIOA: Provided further, That of the funds provided under this subparagraph, \$30,000,000 shall be for training and employment assistance under sections 168(b), 169(c) (notwithstanding the 10 percent limitation in such section) and 170 of the WIOA for workers in the Appalachian region, as defined by 40 U.S.C. 14102(a)(1) and workers in the Lower Mississippi, as defined in section 4(2) of the Delta Development Act (Public Law 100–460, 102 Stat. 2246; 7 U.S.C. 2009aa(2));*

[(B) \$54,500,000 for Native American programs under section 166 of the WIOA, which shall be available for the period July 1, 2019 through June 30, 2020;]

[(C) \$88,896,000 for migrant and seasonal farmworker programs under section 167 of the WIOA, including \$82,447,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,922,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$527,000 for other discretionary purposes, which shall be available for the period July 1, 2019 through June 30, 2020: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;]

[(D)]B [\$89,534,000] \$84,534,000 for YouthBuild activities as described in section 171 of the WIOA, which shall be available for the period April 1, [2019] 2020 through June 30, [2020] 2021;

[(E)]C [\$93,079,000] \$78,324,000 for ex-offender activities, under the authority of section 169 of the WIOA [and section 212 of the Second Chance Act of 2007], which shall be available for the period April 1, [2019] 2020 through June 30, [2020] 2021: *Provided, That of this amount, \$25,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and*

[(F) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 169 of the WIOA, which shall be available for the period July 1, 2019 through June 30, 2020; and]

[(G)]D \$160,000,000 to expand opportunities relating to apprenticeship programs [registered under the National Apprenticeship Act], to be available to the Secretary to carry out activities through grants, cooperative agreements, contracts and other arrangements, with States and other appropriate entities, which shall be available for the period April 1, [2019] 2020 through June 30, [2020] 2021. (Department of Labor Appropriations Act, 2019.)

#### Program and Financing (in millions of dollars)

Identification code 016–0174–0–1–504	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Adult Employment and Training Activities .....	843	845	845
0003 Dislocated Worker Employment and Training Activities .....	1,251	1,262	1,167
0005 Youth Activities .....	946	903	988
0008 Reintegration of Ex-Offenders .....	90	93	78
0010 Native Americans .....	53	55	.....

TRAINING AND EMPLOYMENT SERVICES—Continued  
Program and Financing—Continued

Identification code 016-0174-0-1-504	2018 actual	2019 est.	2020 est.
0011 Migrant and Seasonal Farmworkers .....	88	89	.....
0015 H-1B Job Training Grants .....	.....	150	150
0017 Data Quality Initiative .....	21	6	.....
0024 Apprenticeship Grants .....	95	160	160
0025 Technical Assistance .....	3	.....	.....
0799 Total direct obligations .....	3,390	3,563	3,388
0900 Total new obligations, unexpired accounts .....	3,390	3,563	3,388
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	346	741	774
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	194	393	.....
1010 Unobligated balance transfer to other accts [016-0165] .....	-2	-1	.....
1021 Recoveries of prior year unpaid obligations .....	29	.....	.....
1050 Unobligated balance (total) .....	373	740	774
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,814	1,732	1,562
Advance appropriations, discretionary:			
1170 Advance appropriation .....	1,772	1,772	1,772
1172 Advance appropriations transferred to DM-CEO [016-0165] .....	-6	.....	.....
1174 Advance appropriations permanently reduced .....	-12	-53	-86
1180 Advanced appropriation, discretionary (total) .....	1,754	1,719	1,686
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training) .....	195	150	150
1203 Appropriation (previously unavailable) .....	12	13	9
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	.....	-8	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-13	-9	.....
1260 Appropriations, mandatory (total) .....	194	146	159
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1900 Budget authority (total) .....	3,763	3,597	3,407
1930 Total budgetary resources available .....	4,136	4,337	4,181
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5	.....	.....
1941 Unexpired unobligated balance, end of year .....	741	774	793
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,770	3,779	3,502
3010 New obligations, unexpired accounts .....	3,390	3,563	3,388
3020 Outlays (gross) .....	-3,271	-3,840	-3,572
3040 Recoveries of prior year unpaid obligations, unexpired .....	-29	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-81	.....	.....
3050 Unpaid obligations, end of year .....	3,779	3,502	3,318
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,770	3,779	3,502
3200 Obligated balance, end of year .....	3,779	3,502	3,318
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,569	3,451	3,248
Outlays, gross:			
4010 Outlays from new discretionary authority .....	969	1,155	1,102
4011 Outlays from discretionary balances .....	2,118	2,562	2,333
4020 Outlays, gross (total) .....	3,087	3,717	3,435
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-1	.....	.....
Mandatory:			
4090 Budget authority, gross .....	194	146	159
Outlays, gross:			
4101 Outlays from mandatory balances .....	184	123	137
4110 Outlays, gross (total) .....	184	124	137
4180 Budget authority, net (total) .....	3,762	3,597	3,407
4190 Outlays, net (total) .....	3,270	3,840	3,572

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,762	3,597	3,407
Outlays .....	3,270	3,840	3,572
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	190
Total:			
Budget Authority .....	3,762	3,597	3,597
Outlays .....	3,270	3,840	3,572

Enacted in 2014, the Workforce Innovation and Opportunity Act (WIOA) is the primary authorization for this appropriation account. The Act is intended to provide job seekers and workers with the labor market information, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

*Adult employment and training activities.*—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

*Youth activities.*—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

*Dislocated worker employment and training activities.*—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

*Reintegration of Ex-Offenders.*—Supports activities authorized under section 169 of the WIOA to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Department also provides competitive grants for a range of young ex-offenders and school dropouts, particularly those in high-poverty, high-crime areas with similar services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out the Ex-Offender program.

*Apprenticeship.*—Activities that support and expand apprenticeship programs at the state and local levels through a range of activities, such as state-specific outreach strategies, partnerships, economic development strategies, and expanded access to apprenticeship opportunities for under-represented populations through pre-apprenticeships and career pathways.

*YouthBuild.*—Grants that impart education and occupational skills to program participants by providing them with academic training and occupational skills training, providing a clear path into a chosen career field.

Object Classification (in millions of dollars)

Identification code 016-0174-0-1-504	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	63	65	.....
25.3 Other goods and services from Federal sources .....	1	1	.....
25.7 Operation and maintenance of equipment .....	12	12	.....
41.0 Grants, subsidies, and contributions .....	3,314	3,485	3,388
99.0 Direct obligations .....	3,390	3,563	3,388

99.9	Total new obligations, unexpired accounts .....	3,390	3,563	3,388
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TRAINING AND EMPLOYMENT SERVICES  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0174-4-1-504	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (H-1B Skills Training) .....		190
1930	Total budgetary resources available .....		190
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		190
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		190
4180	Budget authority, net (total) .....		190
4190	Outlays, net (total) .....		

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for DOL job training grants to support apprenticeship. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

JOB CORPS

(INCLUDING TRANSFER OF FUNDS)

To carry out subtitle C of title I of the WIOA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIOA, and to carry out closure of Job Corps centers, including but not limited to building demolition and removal, **[\$1,718,655,000] \$1,015,583,000**, plus reimbursements, as follows:

(1) **[\$1,603,325,000] \$908,457,000** for Job Corps Operations, which shall be available for the period July 1, **[2019] 2020** through June 30, **[2020] 2021** **[-]**: *Provided, That the Secretary may transfer up to 1 percent of such funds for the construction, rehabilitation, or acquisition of Job Corps Centers, which may include the acquisition, maintenance, or repair of major items of equipment: Provided further, That any funds transferred pursuant to the preceding proviso shall be available for obligation until June 30, 2023: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer;*

(2) **[\$83,000,000] \$75,016,000** for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, **[2019] 2020** through June 30, **[2022] 2023**, and which may include the acquisition, maintenance, and repair of major items of equipment **[-]**: *Provided, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: Provided further, That any funds transferred pursuant to the preceding provision shall not be available for obligation after June 30, 2020: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer*; and

(3) **[\$32,330,000] \$32,110,000** for necessary expenses of Job Corps, which shall be available for obligation for the period October 1, **[2018] 2019** through September 30, **[2019] 2020**:

*Provided, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers. (Department of Labor Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 016-0181-0-1-504	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Operations .....	1,390	1,758	1,349
0002	Construction, Rehabilitation, and Acquisition (CRA) .....	70	92	81
0003	Administration .....	32	32	32
0900	Total new obligations, unexpired accounts .....	1,492	1,882	1,462
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	929	1,195	1,031
1010	Unobligated balance transfer to other accts [016-0165] .....	-2		
1021	Recoveries of prior year unpaid obligations .....	22		
1050	Unobligated balance (total) .....	949	1,195	1,031
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1,750	1,718	1,016
1120	Appropriations transferred to other acct [016-0165] .....	-2		
1160	Appropriation, discretionary (total) .....	1,748	1,718	1,016
1900	Budget authority (total) .....	1,748	1,718	1,016
1930	Total budgetary resources available .....	2,697	2,913	2,047
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-10		
1941	Unexpired unobligated balance, end of year .....	1,195	1,031	585
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,033	972	1,183
3010	New obligations, unexpired accounts .....	1,492	1,882	1,462
3011	Obligations ("upward adjustments"), expired accounts .....	19		
3020	Outlays (gross) .....	-1,529	-1,671	-1,576
3040	Recoveries of prior year unpaid obligations, unexpired .....	-22		
3041	Recoveries of prior year unpaid obligations, expired .....	-21		
3050	Unpaid obligations, end of year .....	972	1,183	1,069
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1,033	972	1,183
3200	Obligated balance, end of year .....	972	1,183	1,069
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,748	1,718	1,016
Outlays, gross:				
4010	Outlays from new discretionary authority .....	136	269	165
4011	Outlays from discretionary balances .....	1,393	1,402	1,411
4020	Outlays, gross (total) .....	1,529	1,671	1,576
4180	Budget authority, net (total) .....	1,748	1,718	1,016
4190	Outlays, net (total) .....	1,529	1,671	1,576

Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Innovation and Opportunity Act of 2014 (P.L. 113-128, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth. Job Corps provides economically disadvantaged youth with academic, career technical and marketable skills to enter the workforce, enroll in post-secondary education, or enlist in the military. Job Corps participants must be economically disadvantaged youth, between the ages of 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

Large and small businesses, nonprofit organizations, Native American organizations and Alaskan Native corporations manage and operate the majority of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining centers are operated through an interagency agreement with the U.S. Department of Agriculture.

In accordance with the Administration's vision of a smaller, more effective Job Corps program, the FY 2020 Budget proposes to refocus the resources

JOB CORPS—Continued

of Job Corps on centers that have had more success in training and preparing youth for future careers. The FY 2020 Budget proposes to end USDA's involvement in the Job Corps program, given that workforce development is not a core part of the agency's mission. The Budget seeds new program models, including those that empower states to play a leading role in operating centers. The Budget also signals the Administration's intent to close chronically low performing contractor-operated centers and centers with severely dilapidated facilities. The Budget prioritizes enrollment for students age 20 and older, for whom the program has been proven to be more effective.

Object Classification (in millions of dollars)

Identification code 016-0181-0-1-504	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	83	84	63
11.3 Other than full-time permanent .....		1	1
11.5 Other personnel compensation .....	1	6	1
11.9 Total personnel compensation .....	84	91	65
12.1 Civilian personnel benefits .....	35	35	18
13.0 Benefits for former personnel .....	2		
21.0 Travel and transportation of persons .....	2	3	2
22.0 Transportation of things .....	1	1	
23.1 Rental payments to GSA .....	1	2	2
23.2 Rental payments to others .....	8	9	7
23.3 Communications, utilities, and miscellaneous charges .....	14	7	4
25.1 Advisory and assistance services .....	24	20	20
25.2 Other services from non-Federal sources .....	1,206	1,582	1,232
25.3 Other goods and services from Federal sources .....	30	30	25
25.4 Operation and maintenance of facilities .....	20	42	35
25.7 Operation and maintenance of equipment .....	1	2	1
26.0 Supplies and materials .....	19	13	4
31.0 Equipment .....	3	2	1
32.0 Land and structures .....	41	43	46
42.0 Insurance claims and indemnities .....	1		
99.0 Direct obligations .....	1,492	1,882	1,462
99.9 Total new obligations, unexpired accounts .....	1,492	1,882	1,462

Employment Summary

Identification code 016-0181-0-1-504	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	153	162	162

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

【To carry out title V of the Older Americans Act of 1965 (referred to in this Act as "OAA"), \$400,000,000, which shall be available for the period April 1, 2019 through June 30, 2020, and may be recaptured and reobligated in accordance with section 517(c) of the OAA.】 (Department of Labor Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 016-0175-0-1-504	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National programs .....	404	400	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	404	400	
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	5	4	3
1010 Unobligated balance transfer to other accts [016-0165] .....	-1	-1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	4		
1050 Unobligated balance (total) .....	8	3	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	400	400	
1930 Total budgetary resources available .....	408	403	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	3	3

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	346	351	346
3010 New obligations, unexpired accounts .....	404	400	
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-395	-405	-324
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	351	346	22
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	346	351	346
3200 Obligated balance, end of year .....	351	346	22

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	400	400	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	63	76	
4011 Outlays from discretionary balances .....	332	329	324
4020 Outlays, gross (total) .....	395	405	324
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-2		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	400	400	
4080 Outlays, net (discretionary) .....	393	405	324
4180 Budget authority, net (total) .....	400	400	
4190 Outlays, net (total) .....	393	405	324

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program is proposed for elimination because it fails to meet its major statutory goals of fostering economic self-sufficiency and moving low-income seniors into unsubsidized employment.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 016-0187-0-1-504	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	38	
3020 Outlays (gross) .....	-124	-38	
3041 Recoveries of prior year unpaid obligations, expired .....	-34		
3050 Unpaid obligations, end of year .....	38		
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	196	38	
3200 Obligated balance, end of year .....	38		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	124	38	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	124	38	

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111-152, 124 Stat. 1070), provided \$500 million annually in fiscal years 2011-2014 for competitive grants to eligible institutions of higher education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year [2019] 2020 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, and including benefit payments, allowances, training, employment and

case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, **[\$790,000,000]** \$680,000,000 together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, **[2019]** 2020: *Provided*, That notwithstanding section 502 of this Act, any part of the appropriation provided under this heading may remain available for obligation beyond the current fiscal year pursuant to the authorities of section 245(c) of the Trade Act of 1974 (19 U.S.C. 2317(c)). (*Department of Labor Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0326-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Trade Adjustment Assistance benefits .....	242	301	208
0002 Trade Adjustment Assistance training and other activities .....	398	401	450
0005 Wage Insurance Payments .....	27	39	22
0900 Total new obligations, unexpired accounts (object class 41.0) .....	667	741	680
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	790	790	680
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-52	-49	.....
1260 Appropriations, mandatory (total) .....	738	741	680
1900 Budget authority (total) .....	738	741	680
1930 Total budgetary resources available .....	738	741	680
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-71	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	929	1,056	839
3010 New obligations, unexpired accounts .....	667	741	680
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-418	-409	-479
3041 Recoveries of prior year unpaid obligations, expired .....	-123	-549	-346
3050 Unpaid obligations, end of year .....	1,056	839	694
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	929	1,056	839
3200 Obligated balance, end of year .....	1,056	839	694
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	738	741	680
Outlays, gross:			
4100 Outlays from new mandatory authority .....	208	249	253
4101 Outlays from mandatory balances .....	210	160	226
4110 Outlays, gross (total) .....	418	409	479
4180 Budget authority, net (total) .....	738	741	680
4190 Outlays, net (total) .....	418	409	479

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	738	741	680
Outlays .....	418	409	479
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-153
Outlays .....	.....	.....	-51
<b>Total:</b>			
Budget Authority .....	738	741	527
Outlays .....	418	409	428

The Federal Unemployment Benefits and Allowances (FUBA) account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides income support through Trade Readjustment Allowances (TRA); funding for job training and case management through Training and Other Activities; and wage insurance payments through Reemployment Trade

Adjustment Assistance (RTAA). \$680,000,000 is sufficient to fund the activities of the TAA program in fiscal year 2020.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 016-0326-4-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Trade Adjustment Assistance benefits .....	.....	.....	-3
0002 Trade Adjustment Assistance training and other activities .....	.....	.....	-150
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	.....	-153
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	-153
1900 Budget authority (total) .....	.....	.....	-153
1930 Total budgetary resources available .....	.....	.....	-153
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	.....	.....	-153
3020 Outlays (gross) .....	.....	.....	51
3050 Unpaid obligations, end of year .....	.....	.....	-102
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....	.....	.....	-102
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	-153
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	-51
4180 Budget authority, net (total) .....	.....	.....	-153
4190 Outlays, net (total) .....	.....	.....	-51

The Budget includes a legislative proposal to refocus the TAA program on apprenticeship and on-the-job training strategies to ensure that participants are training for relevant occupations. States will also be encouraged to place a greater emphasis on intensive reemployment services for workers who are not participating in work-based training, getting those workers into the workforce more quickly.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, **[\$84,066,000]** \$80,739,000, together with not to exceed **[\$3,251,583,000]** \$3,395,247,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) **[\$2,515,816,000]** \$2,615,230,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than **[\$150,000,000]** \$175,000,000 to carry out reemployment services and eligibility assessments under section 306 of such Act, and, notwithstanding subsection (a) of such section, any claimants of regular compensation, as defined in such section, including those who are profiled as most likely to exhaust their benefits, may be eligible for such services and assessments: *Provided*, That of such amount, \$117,000,000 is specified for grants under section 306 of the Social Security Act and is provided to meet the terms of section 251(b)(2)(E)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$33,000,000]** \$58,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(E)(ii) of such Act; **[\$and \$9,000,000]** \$6,000,000 for **[continued support of]** grants to State or non-State entities to operate the Unemployment Insurance Integrity Center of Excellence; and \$90,000,000 for grants to States identified by the Secretary to implement online information technology solutions that help facilitate the reporting and verification of work search activities by claimants and automated adjudication relating to such reporting and activities, where permissible under Federal law, and for States identified by the Secretary for additional validation and adjudication activities relating to potential improper payments identified through cross-matches with data sources that support prevention of improper payments resulting from the failure of claimants to report accurate and timely information regarding their earnings or their return to work, which shall include

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

cross-matches with the National Directory of New Hires and the Unemployment Insurance Integrity Data Hub operated by the Unemployment Insurance Integrity Center of Excellence and may include cross-matches with other appropriate sources), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, and shall be available for obligation by the States through December 31, [2019] 2020, except that funds used for automation shall be available for Federal obligation through December 31, [2019] 2020, and for State obligation through September 30, [2021] 2022, or, if the automation is being carried out through consortia of States, for State obligation through September 30, [2024] 2026, and for expenditure through September 30, [2025] 2027, and funds for competitive grants awarded to States for improved operations and to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews and provide reemployment services and referrals to training, as appropriate, shall be available for Federal obligation through December 31, [2019] 2020, and for obligation by the States through September 30, [2021] 2022, and funds for the Unemployment Insurance Integrity Center of Excellence shall be available for obligation by the State through September 30, [2020] 2021, and funds used for unemployment insurance workloads experienced through September 30, [2019] 2020 shall be available for Federal obligation through December 31, [2019] 2020;

(2) [\$12,000,000] \$48,000,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$641,639,000 from the Trust Fund, together with \$21,413,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, [2019] 2020 through June 30, [2020] 2021: *Provided, That, notwithstanding the funding allocation in section 7 of such Act, States may use up to 100 percent of the funds allotted to the State under section 6 of such Act to carry out the activities described in section 7(a) of such Act;*

(4) \$19,818,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act;

(5) [\$62,310,000] \$70,560,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which [\$48,028,000] \$56,278,000 shall be available for the Federal administration of such activities, and \$14,282,000 shall be available for grants to States for the administration of such activities; and

(6) [\$62,653,000] \$59,326,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and shall be available for Federal obligation for the period July 1, [2019] 2020 through June 30, [2020] 2021, of which up to \$9,800,000 shall be used to carry out research and demonstration projects related to testing effective ways to promote greater labor force participation of people with disabilities: *Provided, That the Secretary may transfer amounts made available for research and demonstration projects under this paragraph to the "Office of Disability Employment Policy" account for such purposes:*

*Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year [2019] 2020 is projected by the Department of Labor to exceed [2,030,000] 1,758,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States to the entity operating the State Information Data Exchange System: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the*

Federal-State unemployment insurance, employment service, or immigration programs, may be obligated [in] through contracts, grants, or agreements with States and non-State entities: *Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States and non-State entities under such grants, subject to the conditions applicable to the grants: Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the final rule entitled "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" at part 200 of title 2, Code of Federal Regulations: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium or to the entity operating the Unemployment Insurance Information Technology Support Center in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and nonprofit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, [2020] 2021, for such purposes. (Department of Labor Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 016–0179–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 UI State Admin, RESEA, and EUC Admin .....	2,677	2,517	2,617
0002 UI national activities .....	14	12	48
0010 ES grants to States .....	667	663	663
0011 ES national activities .....	20	20	20
0012 American Job Centers .....	69	63	60
0014 Foreign labor certification .....	63	71	71
0015 H-1B Fees .....	39	31	26
0799 Total direct obligations .....	3,549	3,377	3,505
0801 Reimbursable program DUA administration .....	53	50	50
0803 Reimbursable program NAWs surveys .....	.....	1	1
0899 Total reimbursable obligations .....	53	51	51
0900 Total new obligations, unexpired accounts .....	3,602	3,428	3,556
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	151	80	60
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	120	68	.....
1010 Unobligated balance transfer to DM [016–0165] .....	–2	.....	.....
1010 Unobligated balance transfer to ETA PA [016–0172] .....	.....	–3	.....
1021 Recoveries of prior year unpaid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	158	77	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	84	92	81
1121 Appropriations transferred from OFCCP [016–0148] .....	1	.....	.....
1160 Appropriation, discretionary (total) .....	85	92	81
Appropriations, mandatory:			
1201 Appropriation (H-1B Fees) .....	19	15	15
1203 Appropriation (previously unavailable) .....	1	1	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–1	–1	.....
1260 Appropriations, mandatory (total) .....	19	15	15
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3,379	3,303	3,446
1701 Change in uncollected payments, Federal sources .....	54	.....	.....
1710 Spending authority from offsetting collections transferred to WHD [016–0143] .....	–2	.....	.....
1710 Spending authority from offsetting collections transferred to OLMS [016–0150] .....	–1	–1	.....
1710 Spending authority from offsetting collections transferred to DM [016–0165] .....	–4	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,426	3,302	3,446
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections [EUC Admin] .....	3	2	2

1801	Change in uncollected payments, Federal sources .....	-9		
1850	Spending auth from offsetting collections, mand (total) .....	-6	2	2
1900	Budget authority (total) .....	3,524	3,411	3,544
1930	Total budgetary resources available .....	3,682	3,488	3,604
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	80	60	48
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,766	1,742	1,904
3010	New obligations, unexpired accounts .....	3,602	3,428	3,556
3011	Obligations ("upward adjustments"), expired accounts .....	7		
3020	Outlays (gross) .....	-3,600	-3,266	-3,473
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9		
3041	Recoveries of prior year unpaid obligations, expired .....	-24		
3050	Unpaid obligations, end of year .....	1,742	1,904	1,987
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,449	-1,225	-1,225
3070	Change in uncollected pymts, Fed sources, unexpired .....	-45		
3071	Change in uncollected pymts, Fed sources, expired .....	269		
3090	Uncollected pymts, Fed sources, end of year .....	-1,225	-1,225	-1,225
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	317	517	679
3200	Obligated balance, end of year .....	517	679	762
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	3,511	3,394	3,527
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,178	2,443	2,168
4011	Outlays from discretionary balances .....	1,332	805	1,287
4020	Outlays, gross (total) .....	3,510	3,248	3,455
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources [ES Grants to States] .....	-645	-642	-642
4030	Federal sources [ES Natl Activities] .....	-20	-20	-20
4030	Federal sources [FLC Fed Admin] .....	-48	-48	-56
4030	Federal sources [FLC State Grants] .....	-14	-14	-14
4030	Federal sources [NAWS] .....		-1	-1
4030	Federal sources [UI Admin/Natl Activities] .....	-2,649	-2,378	-2,488
4030	Federal sources [RESEA] .....	-120	-150	-175
4030	Federal sources [DUA] .....	-53	-50	-50
4040	Offsets against gross budget authority and outlays (total) .....	-3,549	-3,303	-3,446
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-54		
4052	Offsetting collections credited to expired accounts .....	170		
4060	Additional offsets against budget authority only (total) .....	116		
4070	Budget authority, net (discretionary) .....	78	91	81
4080	Outlays, net (discretionary) .....	-39	-55	9
Mandatory:				
4090	Budget authority, gross .....	13	17	17
Outlays, gross:				
4100	Outlays from new mandatory authority .....		16	16
4101	Outlays from mandatory balances .....	90	2	2
4110	Outlays, gross (total) .....	90	18	18
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-63	-2	-2
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	9		
4142	Offsetting collections credited to expired accounts .....	60		
4150	Additional offsets against budget authority only (total) .....	69		
4160	Budget authority, net (mandatory) .....	19	15	15
4170	Outlays, net (mandatory) .....	27	16	16
4180	Budget authority, net (total) .....	97	106	96
4190	Outlays, net (total) .....	-12	-39	25

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	97	106	96
Outlays .....	-12	-39	25
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			20
Outlays .....			20
<b>Total:</b>			
Budget Authority .....	97	106	116

Outlays .....	-12	-39	45
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*Unemployment compensation.*—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-servicemembers as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and implement activities designed to reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administering the program resulting from increases in the number of unemployment claims filed and paid. The appropriation automatically provides additional funds whenever unemployment claim workloads increase above levels specified in the appropriations language.

**UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS**

	2017 actual	2018 actual	2019 est.	2020 est.
Basic workload (in thousands):				
Employer tax accounts .....	8,260	8,442	8,518	8,589
Employee wage items recorded .....	690,777	696,918	705,924	714,671
Initial claims taken .....	13,004	11,891	12,087	12,317
Weeks claimed .....	104,736	96,077	91,248	90,869
Nonmonetary determinations .....	6,932	6,733	6,551	6,658
Appeals .....	1,151	1,125	1,031	982
Covered employment .....	141,452	143,089	144,805	146,449

*Employment service.*—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well as for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

**EMPLOYMENT SERVICE PROGRAM STATISTICS**

	2017 est.	2018 est.	2019 est.	2020 target
Number of Participants Served .....	5,328,674	5,288,992	5,262,317	5,262,317

Years are program years running from July 1 of the year indicated through June 30 of the following year.

*Foreign Labor Certification.*—This activity provides for the administration and operation of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural, CW-1 temporary, and H-1B temporary highly skilled worker visas. The account is divided into Federal and State activities.

*Federal Administration.*—Federal Administration provides leadership, policy, budget, program operations including staffing (Federal and contractors), information technology, three national processing center facilities, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

*State grants.*—State grants provides grants to State workforce agencies in 50 States and 5 U.S. territories funding employment-related activities

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued

required for the administration of Federal foreign labor certification programs. Activities include State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

*American Job Centers.*—These funds are used to support the joint Federal-State efforts to improve the comprehensive American Job Center system authorized under the Workforce Innovation and Opportunity Act. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

*National Agricultural Workers Survey fee.*—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews.

Object Classification (in millions of dollars)

Identification code 016–0179–0–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	21	22	22
12.1 Civilian personnel benefits .....	7	7	7
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	27	31	30
25.2 Other services from non-Federal sources .....	10	10	10
25.3 Other goods and services from Federal sources .....	14	15	15
25.7 Operation and maintenance of equipment .....	22	25	24
41.0 Grants, subsidies, and contributions .....	3,445	3,264	3,394
99.0 Direct obligations .....	3,549	3,377	3,505
99.0 Reimbursable obligations .....	53	51	51
99.9 Total new obligations, unexpired accounts .....	3,602	3,428	3,556

Employment Summary

Identification code 016–0179–0–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	159	160	169
1001 Direct civilian full-time equivalent employment .....	40	40	40

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016–0179–4–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0015 FLC fees .....			20
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			20
1900 Budget authority (total) .....			20
1930 Total budgetary resources available .....			20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			20
3020 Outlays (gross) .....			–20
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			20

Outlays, gross:			
4100	Outlays from new mandatory authority .....		20
4180	Budget authority, net (total) .....		20
4190	Outlays, net (total) .....		20

The Budget proposes authorizing legislation to establish and retain fees to cover the costs of operating the foreign labor certification programs, which ensure that employers proposing to bring in immigrant workers have verified that American workers cannot meet their needs and that immigrant workers are being compensated appropriately and not disadvantaging American workers. The ability to charge fees for these programs would give the Department of Labor (DOL) a more reliable, workload-based source of funding for this function, similar to the Department of Homeland Security (DHS). The proposal would ultimately eliminate the need for discretionary appropriations. The proposal includes the following: 1) charge employer fees for its prevailing wage determinations; 2) charge employer fees for its permanent labor certification program; 3) charge employer fees for H-2B non-agricultural workers; 4) retain and adjust the H-2A agricultural worker application fees currently deposited into the General Fund; and 5) charge employer fees for CW-1 workers. The fee levels, including possible expedited processing fees, would be set via regulation to ensure that the amounts are subject to review. Given DOL OIG's important role in investigating fraud and abuse, the proposal also includes a mechanism to provide funding for OIG's work to oversee foreign labor certification programs.

In addition, the Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for DOL job training grants to support apprenticeship. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

Object Classification (in millions of dollars)

Identification code 016–0179–4–1–999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			4
12.1 Civilian personnel benefits .....			1
23.1 Rental payments to GSA .....			1
25.1 Advisory and assistance services .....			8
25.3 Other goods and services from Federal sources .....			3
25.7 Operation and maintenance of equipment .....			3
99.0 Direct obligations .....			20
99.9 Total new obligations, unexpired accounts .....			20

Employment Summary

Identification code 016–0179–4–1–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			40

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 016–0178–0–1–603	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Payments to EUCA .....	2	2	

0900	Total new obligations, unexpired accounts (object class 41.0) .....	2	2	.....
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (indefinite) .....	2	2	.....
1930	Total budgetary resources available .....	2	2	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	2	.....
3020	Outlays (gross) .....	-2	-2	.....
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	2	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	2	.....
4180	Budget authority, net (total) .....	2	2	.....
4190	Outlays, net (total) .....	2	2	.....

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. It is also the mechanism used to make general fund reimbursements for some or all of the benefits and administrative costs incurred for temporary Federal programs. These funds are transferred from the Payments to the Unemployment Trust Fund account to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 016-0168-0-1-603	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1	1	1
1930	Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The Middle Class Tax Relief and Job Creation Act of 2012 codified and expanded the definition of Short Time Compensation (STC), a layoff aversion strategy that enables workers to remain employed and employers to retain their trained staff during times of reduced business activity. Under the STC program, workers receive a percentage of unemployment benefits based upon the percentage of reduction in their hours of work. As an incentive for states to enact state STC programs and promote the use of STC, the Act provided for 100 percent reimbursement of STC benefit costs paid under state law for up to 156 weeks, or three years. Grant funding was also available to states whose permanent STC laws meet the new Federal definition.

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 016-1800-0-1-603	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Federal Additional Unemployment Compensation Program, Recovery (Direct) .....	1	1	1
0900	Total new obligations, unexpired accounts (object class 42.0) .....	1	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1029	Other balances withdrawn to Treasury .....	-3		
1033	Recoveries of prior year paid obligations .....	3		

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	1	1	1
1900	Budget authority (total) .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	26	27
3010	New obligations, unexpired accounts .....	1	1	1
3050	Unpaid obligations, end of year .....	26	27	28
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	26	27
3200	Obligated balance, end of year .....	26	27	28

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-3		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	3		
4160	Budget authority, net (mandatory) .....	1	1	1
4170	Outlays, net (mandatory) .....	-3		
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	-3		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through December 7, 2010, with a phaseout period. As a result of adjudications, benefits continue to be paid but are minimal.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, [2020] 2021. (Department of Labor Appropriations Act, 2019.)

This appropriation makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This appropriation also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance (TAA) for Workers program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language included new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the UTF. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA if it runs

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS—Continued  
short of funds, and the borrowing authority will enable the ESAA to cover its obligations despite seasonal variations in the account's receipts.

The Department estimates that no advances will be necessary in 2019 and 2020. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF or the BLDTF.

To address the potential need for significant and somewhat unpredictable advances to various accounts, the Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2020 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$108,674,000]** \$106,032,000, together with not to exceed **[\$49,982,000]** \$48,233,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 016-0172-0-1-504	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Workforce security .....	43	43	41
0004 Apprenticeship training, employer and labor services .....	36	36	36
0005 Executive direction .....	9	9	9
0006 Training & Employment Services .....	70	70	68
0799 Total direct obligations .....	158	158	154
0803 Reimbursable programs (DUA/E-grants/VOPAR/VRAP) .....	4	4	4
0900 Total new obligations, unexpired accounts .....	162	162	158
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		4
1011 Unobligated balance transfer from ETA SUIESO [016-0179] .....		3	
1050 Unobligated balance (total) .....	1	3	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	109	109	106
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (UTF) .....	50	50	48
1700 Collected [DUA/eGrants/Grants Management/TA to PA] .....	3	4	4
1750 Spending auth from offsetting collections, disc (total) .....	53	54	52
1900 Budget authority (total) .....	162	163	158
1930 Total budgetary resources available .....	163	166	162
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....		4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	19	21
3010 New obligations, unexpired accounts .....	162	162	158
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-165	-160	-159
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	19	21	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	18	20
3200 Obligated balance, end of year .....	18	20	19
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	162	163	158
Outlays, gross:			
4010 Outlays from new discretionary authority .....	148	141	137
4011 Outlays from discretionary balances .....	17	19	22
4020 Outlays, gross (total) .....	165	160	159
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-53	-54	-52

4040	Offsets against gross budget authority and outlays (total) ....	-53	-54	-52
4180	Budget authority, net (total) .....	109	109	106
4190	Outlays, net (total) .....	112	106	107

This account provides for the Federal administration of Employment and Training Administration programs.

*Training and Employment services.*— Training and Employment services provides leadership, policy direction and administration for a decentralized system of grants to State and local governments. The account also provides federally administered programs for job training and employment assistance for low-income adults, youth, and dislocated workers; training and employment services to special targeted groups; settlement of trade adjustment petitions; and includes related program operations support activities.

*Workforce security.*— Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

*Office of Apprenticeship.*— Establishing a new industry-recognized apprenticeship system to modernize and expand the country's approach to apprenticeships. Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards. Provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

*Executive direction.*— Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 016-0172-0-1-504	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	78	79	78
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	79	80	79
12.1	Civilian personnel benefits .....	26	26	26
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	9	10	9
23.3	Communications, utilities, and miscellaneous charges .....		1	
25.2	Other services from non-Federal sources .....	2	3	2
25.3	Other goods and services from Federal sources .....	26	25	24
25.7	Operation and maintenance of equipment .....	13	10	12
26.0	Supplies and materials .....	1	1	
99.0	Direct obligations .....	158	158	154
99.0	Reimbursable obligations .....	4	4	4
99.9	Total new obligations, unexpired accounts .....	162	162	158

Employment Summary

Identification code 016-0172-0-1-504	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	722	713	692
2001	Reimbursable civilian full-time equivalent employment .....	17	20	20

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority

also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

**Trust Funds**

**UNEMPLOYMENT TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 016-8042-0-7-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	58,107	70,488	86,474
0198 Rounding adjustment .....	-1		
0199 Balance, start of year .....	58,106	70,488	86,474
Receipts:			
Current law:			
1110 General Taxes, FUTA, Unemployment Trust Fund .....	8,686	6,405	6,528
1110 Unemployment Trust Fund, State Accounts, Deposits by States .....	36,222	37,850	39,502
1110 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....	134	134	124
1130 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....	13	2	2
1140 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....	420	397	435
1140 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund .....	2	2	
1140 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....	1,412	1,779	2,263
1199 Total current law receipts .....	46,889	46,569	48,854
1999 Total receipts .....	46,889	46,569	48,854
2000 Total: Balances and receipts .....	104,995	117,057	135,328
Appropriations:			
Current law:			
2101 Unemployment Trust Fund .....	-3,747	-3,622	-3,769
2101 Unemployment Trust Fund .....	-43,007	-38,062	-42,137
2101 Railroad Unemployment Insurance Trust Fund .....	-20	-19	-17
2101 Railroad Unemployment Insurance Trust Fund .....	-121	-109	-100
2103 Unemployment Trust Fund .....	-10	-3	-2
2103 Railroad Unemployment Insurance Trust Fund .....	-73	-102	-111
2132 Unemployment Trust Fund .....	3	2	
2134 Unemployment Trust Fund .....	12,366	11,221	14,608
2134 Railroad Unemployment Insurance Trust Fund .....	102	111	99
2199 Total current law appropriations .....	-34,507	-30,583	-31,429
Proposed:			
2201 Unemployment Trust Fund .....			70
2201 Unemployment Trust Fund .....			-717
2299 Total proposed appropriations .....			-647
2999 Total appropriations .....	-34,507	-30,583	-32,076
5099 Balance, end of year .....	70,488	86,474	103,252

**Program and Financing** (in millions of dollars)

Identification code 016-8042-0-7-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States .....	27,444	26,379	26,977
0002 Federal employees' unemployment compensation [FECA] .....	407	393	484
0003 State administrative expenses [ES Grants to States, ES Nat'l Actv, UI, and RESEA] .....	3,376	3,190	3,325
0010 Direct expenses [PA, FLC, OIG, SOL, and BLS] .....	184	183	189
0011 Reimbursements to the Department of the Treasury .....	79	68	68
0020 Veterans employment and training .....	245	249	255
0021 Interest on FUTA refunds .....	2	2	2
0022 Interest on General Fund Advances .....	41		
0023 EUC Admin [from PUTF] .....	2		
0900 Total new obligations, unexpired accounts .....	31,780	30,464	31,300
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	3,747	3,622	3,769
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	43,007	38,062	42,137
1203 Appropriation (previously unavailable) .....	10	3	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-2	

1234 Appropriations precluded from obligation (Excess, collections minus spending) .....	-12,366	-11,221	-14,608
1236 Appropriations applied to repay debt .....	-2,615		
1260 Appropriations, mandatory (total) .....	28,033	26,842	27,531
1900 Budget authority (total) .....	31,780	30,464	31,300
1930 Total budgetary resources available .....	31,780	30,464	31,300

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,011	2,607	2,723
3010 New obligations, unexpired accounts .....	31,780	30,464	31,300
3020 Outlays (gross) .....	-32,184	-30,348	-31,223
3050 Unpaid obligations, end of year .....	2,607	2,723	2,800
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,011	2,607	2,723
3200 Obligated balance, end of year .....	2,607	2,723	2,800

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	3,747	3,622	3,769
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,554	2,738	2,473
4011 Outlays from discretionary balances .....	1,447	768	1,219
4020 Outlays, gross (total) .....	4,001	3,506	3,692
Mandatory:			
4090 Budget authority, gross .....	28,033	26,842	27,531
Outlays, gross:			
4100 Outlays from new mandatory authority .....	27,199	26,842	27,531
4101 Outlays from mandatory balances .....	984		
4110 Outlays, gross (total) .....	28,183	26,842	27,531
4180 Budget authority, net (total) .....	31,780	30,464	31,300
4190 Outlays, net (total) .....	32,184	30,348	31,223

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	60,711	72,576	85,700
5001 Total investments, EOY: Federal securities: Par value .....	72,576	85,700	100,000
5080 Outstanding debt, SOY .....	-2,615		

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	31,780	30,464	31,300
Outlays .....	32,184	30,348	31,223
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-70
Outlays .....			-70
Legislative proposal, subject to PAYGO:			
Budget Authority .....			717
Outlays .....			717
Total:			
Budget Authority .....	31,780	30,464	31,947
Outlays .....	32,184	30,348	31,870

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). The UTF has two accounts for the railroad unemployment insurance system but for the Federal-State unemployment insurance system there are 57 separate accounts: one for each of the 50 states, three jurisdictions (District of Columbia, Puerto Rico, Virgin Islands) and four federal accounts. The state and jurisdiction accounts receive funds from a state unemployment insurance payroll tax which is used to pay benefits. The Federal Unemployment Tax Act (FUTA) payroll tax provides funds for two of the Federal accounts — the Employment Security Administration Account (ESAA) and the Extended Unemployment Compensation Account (EUCA) — while the remaining two, the Federal Unemployment Account (FUA) and the Federal Employee Compensation Account (FECA), are revolving accounts.

Except for FECA balances, funds on deposit in the UTF accounts are invested in Government securities until needed for payment of benefits or administrative expenses. The FUTA payroll tax is deposited in the ESAA which retains 80 percent of the deposit and pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, foreign labor certifications and about 97 percent of the costs of the Employment Service. The other 20 percent of FUTA is transferred to the EUCA which pays for

UNEMPLOYMENT TRUST FUND—Continued

certain extended benefit (EB) payments. During periods of high State unemployment, there is a stand-by program of EB, financed one-half by State unemployment taxes and one-half by the FUTA payroll tax.

The UTF also provides repayable advances (loans) from the FUA to States and jurisdictions when the balances in their individual accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the UTF provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds appropriation.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the UTF, and receipts from a tax on railroad payrolls are deposited into the program's accounts in the UTF to meet expenses.

Status of Funds (in millions of dollars)

Identification code 016-8042-0-7-999	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year	58,502	73,095	89,192
0999 Total balance, start of year	58,502	73,095	89,192
Cash income during the year:			
Current law:			
Receipts:			
1110 General Taxes, FUTA, Unemployment Trust Fund	8,686	6,405	6,528
1110 Unemployment Trust Fund, State Accounts, Deposits by States	36,222	37,850	39,502
1110 Unemployment Trust Fund, Deposits by Railroad Retirement Board	134	134	124
1130 Railroad Unemployment Insurance Trust Fund	12	16	18
1150 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	1,412	1,779	2,263
1150 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	13	2	2
1160 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	420	397	435
1160 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	2	2	
1199 Income under present law	46,901	46,585	48,872
Proposed:			
1210 General Taxes, FUTA, Unemployment Trust Fund			
1210 Unemployment Trust Fund, State Accounts, Deposits by States			
1210 Unemployment Trust Fund, State Accounts, Deposits by States			
1299 Income proposed			
1999 Total cash income	46,901	46,585	48,872
Cash outgo during year:			
Current law:			
2100 Unemployment Trust Fund [012-05-8042-0]	-32,184	-30,348	-31,223
2100 Railroad Unemployment Insurance Trust Fund [446-00-8051-0]	-124	-140	-147
2199 Outgo under current law	-32,308	-30,488	-31,370
Proposed:			
2200 Unemployment Trust Fund			70
2200 Unemployment Trust Fund			-717
2299 Outgo under proposed legislation			-647
2999 Total cash outgo (-)	-32,308	-30,488	-32,017
Surplus or deficit:			
3110 Excluding interest	13,168	14,316	14,590
3120 Interest	1,425	1,781	2,265

3199 Subtotal, surplus or deficit	14,593	16,097	16,855
3999 Total change in fund balance	14,593	16,097	16,855
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	519	3,492	6,047
4200 Unemployment Trust Fund	72,576	85,700	100,000
4999 Total balance, end of year	73,095	89,192	106,047

Object Classification (in millions of dollars)

Identification code 016-8042-0-7-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury	79	68	68
42.0 FECA (Federal Employee) Benefits	407	393	484
42.0 State unemployment benefits	27,444	26,379	26,977
43.0 Interest and dividends	43	2	2
94.0 ETA-PA, BLS, FLC	178	177	183
94.0 Veterans employment and training	245	249	255
94.0 Payments to States for administrative expenses	3,378	3,190	3,325
94.0 Departmental Management (OIG, SOL)	6	6	6
99.9 Total new obligations, unexpired accounts	31,780	30,464	31,300

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-2-7-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit payments by States			-70
0900 Total new obligations, unexpired accounts (object class 42.0)			-70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-70
1930 Total budgetary resources available			-70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-70
3020 Outlays (gross)			70
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-70
Outlays, gross:			
4100 Outlays from new mandatory authority			-70
4180 Budget authority, net (total)			-70
4190 Outlays, net (total)			-70

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-4-7-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefit Payments by States			717
0900 Total new obligations, unexpired accounts (object class 42.0)			717
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			717
1930 Total budgetary resources available			717
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			717
3020 Outlays (gross)			-717
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			717

	Outlays, gross:	
4100	Outlays from new mandatory authority .....	717
4180	Budget authority, net (total) .....	717
4190	Outlays, net (total) .....	717

**Minimum Solvency Standard.**—Since the end of the most recent recession, many states continue to struggle to maintain adequate Unemployment Insurance (UI) Trust Fund balances. The Budget includes a proposal to add a minimum solvency standard in the UI program to help address the challenge states face in maintaining sufficient balances in their Unemployment Trust Fund accounts. This proposal would strengthen states' incentive to adequately fund their UI systems by making states that fail to maintain an Average High-Cost Multiple (AHCM) of 0.5 for two consecutive January firsts subject to the same FUTA tax credit reductions applied to states which go below a zero trust fund balance.

**UI Program Integrity Package.**—The Budget includes a package of program integrity proposals similar to those included in the proposed Unemployment Compensation Program Integrity Act, which the Department previously sent to Congress in response to the UI program's three consecutive years of high improper payment rates. Specifically, the package includes the following proposals:

**Require states to use SIDES.**—This proposal will require state UI agencies to use the State Information Data Exchange System (SIDES) to exchange information with employers concerning reasons for a claimant's separation from employment.

**Require states to cross-match against the NDNH.**—This proposal will require state UI agencies to use the National Directory for New Hires in their claims to better identify individuals continuing to claim unemployment compensation after returning to work, one of the leading root causes of UI improper payments.

**Allow the Secretary of Labor to establish UI corrective actions.**—This proposal will allow the Secretary of Labor to require states to implement corrective action measures for poor state performance in the UI program, helping to reduce improper payments in states with the highest improper payment rates. Currently, the Secretary has very limited options to require state UI agencies to take actions to respond to poor performance and high improper payment rates.

**Require states to cross-match with SSA's prisoner database.**—Under current law, state UI agencies' use of cross-matches is permissible and the Social Security Administration's (SSA) Prisoner Update Processing System (PUPS) is currently only used by some states for UI verification. Requiring states to cross-match claims against the PUPS or other repositories of prisoner information will help identify those individuals ineligible for benefits due to incarceration and reduce improper payments.

**Allow states to retain 5 percent of UI overpayments for program integrity use.**—This proposal will allow States to retain 5 percent of overpayment recoveries to fund program integrity activities in each state's UI program. This provides an incentive to states to increase detection and recovery of improper payments and provides necessary resources to carry out staff-intensive work to validate cross-match hits as required by law.

**Require states to use penalty and interest collections solely for UI administration.**—This proposal will require states to deposit all penalty and interest payments collected through the UI program into the state's Unemployment Trust Fund account and require the funds be used for improving state administration of the UI program and reemployment services for UI claimants. States with high improper payment rates would be required to use a portion of the funds for program integrity activities. Currently, states have discretion to use these funds for non-UI purposes.

**Require states to implement the Integrated Data Hub.**—This proposal would require the states to implement the Integrated Data Hub developed by the UI Integrity Center of Excellence to gain access to a fraud analytics database, sources of incarceration and mortality records, and a front-end identity verification tool.

**Offset Overlapping UI and Disability Insurance Benefits.**—The Budget includes a proposal to reduce an individual's entitlement to a Disability

Insurance benefit in any month in which the individual also receives an unemployment compensation benefit.

**Paid Parental Leave.**—The Budget includes a proposal to establish a Federal-state paid parental leave benefit program within the UI program that would begin in 2022. The program will provide six weeks of benefits for mothers, fathers, and adoptive parents. The benefit is provided to help families recover from childbirth and to bond with their new children.

**EMPLOYEE BENEFITS SECURITY ADMINISTRATION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, **[\$181,000,000]** \$193,500,000, of which up to \$3,000,000 shall be made available through September 30, **[2020]** 2021, for the procurement of expert witnesses for enforcement litigation. (*Department of Labor Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 016-1700-0-1-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Enforcement and participant assistance .....	144	147	157
0002 Policy and compliance assistance .....	27	27	29
0003 Executive leadership, program oversight and administration ....	7	7	7
0799 Total direct obligations .....	178	181	193
0801 Reimbursable obligations .....	6	8	8
0900 Total new obligations, unexpired accounts .....	184	189	201
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	181	181	194
Spending authority from offsetting collections, discretionary:			
1700 Collected: Federal Sources .....	5	8	8
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	6	8	8
1900 Budget authority (total) .....	187	189	202
1930 Total budgetary resources available .....	187	192	205
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	34	30	44
3010 New obligations, unexpired accounts .....	184	189	201
3020 Outlays (gross) .....	-183	-175	-199
3041 Recoveries of prior year unpaid obligations, expired .....	-5		
3050 Unpaid obligations, end of year .....	30	44	46
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	29	43
3200 Obligated balance, end of year .....	29	43	45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	187	189	202
Outlays, gross:			
4010 Outlays from new discretionary authority .....	158	142	152
4011 Outlays from discretionary balances .....	25	33	47
4020 Outlays, gross (total) .....	183	175	199
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-8	-8
4040 Offsets against gross budget authority and outlays (total) ....	-6	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	181	181	194

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 016-1700-0-1-601	2018 actual	2019 est.	2020 est.
4080 Outlays, net (discretionary) .....	177	167	191
4180 Budget authority, net (total) .....	181	181	194
4190 Outlays, net (total) .....	177	167	191

*Employee Benefits Security Programs.*—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act (FERSA). Assures compliance with applicable reporting, disclosure and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public. Conducts policy, research, and legislative analysis on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations. Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities.

	2018 Actual	2019 est. <sup>1</sup>	2020 est.
<b>EMPLOYEE BENEFITS AND SECURITY PROGRAMS<sup>2</sup></b>			
Investigations conducted .....	1,597	N/A	N/A <sup>3</sup>
Participant benefit recoveries and plan assets restored .....	\$1,645,323,000 <sup>4</sup>	\$647,500,000	\$733,450,000
Investigative time for major enforcement cases .....	26.0%	21.0%	21.0%
Major case monetary recoveries per major case staff day <sup>5</sup> .....	62,956	\$23,151	\$24,309 <sup>5</sup>
Monetary recoveries on major cases closed per staff day <sup>5</sup> .....	22,131	\$23,667	\$24,850 <sup>5</sup>
Other civil cases closed or referred for litigation within 18 months .....	84.0%	70.0%	70.0%
Inquiries received .....	172,124	250,000	200,000
Reporting compliance reviews .....	3,366	3,200	3,300
Exemptions, determinations, interpretations and regulations issued .....	3,549	2,959	3,402 <sup>5</sup>
Average days to process exemption requests .....	382	400	400

<sup>1</sup> Reflects a revision of original estimates based on the full appropriation pursuant to P.L. 115-245.  
<sup>2</sup> Employee Benefits Security Programs encompass three budget activities to include: (1) Enforcement and Participant Assistance; (2) Policy Compliance Assistance; and (3) Executive Leadership, Program Oversight and Administration.  
<sup>3</sup> The agency continues its efforts to enhance the quality and impact of its investigations and has placed special emphasis on Major Case monetary recoveries, as well as the impact of its investigations (e.g., the amounts recovered for plan participants and beneficiaries). While the agency will continue to report the total number of investigations conducted, it will no longer make projections of the raw number of investigations.  
<sup>4</sup> Reflects over \$1.34 billion in participant benefit recoveries, over \$179.8 million in plan assets restored, \$73.8 million in participant health plan recoveries, \$33.4 million in distribution for abandoned plans, and \$10.8 million for Voluntary Fiduciary Correction Program recoveries.  
<sup>5</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registrations.

Object Classification (in millions of dollars)

Identification code 016-1700-0-1-601	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	87	89	92
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	90	92	95
12.1 Civilian personnel benefits .....	29	30	32
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	11	11	11
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	1	3
25.2 Other services from non-Federal sources .....	4	6	7
25.3 Other goods and services from Federal sources .....	27	26	28
25.5 Research and development contracts .....	1	1	2
25.7 Operation and maintenance of equipment .....	12	10	11
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	178	181	193
99.0 Reimbursable obligations .....	6	8	8
99.9 Total new obligations, unexpired accounts .....	184	189	201

Employment Summary

Identification code 016-1700-0-1-601	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	837	840	885

PENSION BENEFIT GUARANTY CORPORATION

Federal Funds

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, [2019] 2020, for the Corporation: *Provided*, That none of the funds available to the Corporation for fiscal year [2019] 2020 shall be available for obligations for administrative expenses in excess of [ \$445,363,000 ] \$452,858,000: *Provided further*, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year [2019] 2020, an amount not to exceed an additional \$9,200,000 shall [be] remain available until expended [through September 30, 2020,] for obligations for administrative expenses for every 20,000 additional terminated participants: *Provided further*, That obligations in excess of the amounts provided for administrative expenses in this paragraph may be incurred for unforeseen and extraordinary pre-termination or termination expenses or extraordinary multi-employer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That, to the extent the Corporation's expenses exceed \$250,000 for the provision of credit or identity monitoring to affected individuals upon suffering a security incident or privacy breach, an additional amount shall [be] remain available until expended for obligations for such expenses [through September 30, 2020 to the extent the Corporation's costs exceed \$250,000 for the provision of credit or identity monitoring to affected individuals upon suffering a security incident or privacy breach ], not to exceed an additional \$100 per affected individual. (*Department of Labor Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 016-4204-0-3-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Single-employer benefit payment .....	5,699	6,974	7,657
0802 Multiemployer financial assistance .....	153	189	287
0806 Administrative Expenses .....	409	445	453
0807 Investment Management Fees .....	103	117	127
0900 Total new obligations, unexpired accounts .....	6,364	7,725	8,524

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27,916	30,684	34,988
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1	1	1
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	9,131	12,028	11,643
1802 Offsetting collections (previously unavailable) .....	9	.....	.....
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-9	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	9,131	12,028	11,643
1900 Budget authority (total) .....	9,132	12,029	11,644
1930 Total budgetary resources available .....	37,048	42,713	46,632
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	30,684	34,988	38,108

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	295	309	298
3010 New obligations, unexpired accounts .....	6,364	7,725	8,524
3020 Outlays (gross) .....	-6,350	-7,736	-8,524
3050 Unpaid obligations, end of year .....	309	298	298
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	295	309	298

3200	Obligated balance, end of year .....	309	298	298
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1	1	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ....	-1	-1	-1
Mandatory:				
4090	Budget authority, gross .....	9,131	12,028	11,643
Outlays, gross:				
4100	Outlays from new mandatory authority .....	6,147	7,440	8,523
4101	Outlays from mandatory balances .....	203	295	
4110	Outlays, gross (total) .....	6,350	7,735	8,523
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Cash Investment Receipts .....		-1,170	-1,270
4121	Interest on Federal securities .....	1,358		
4123	Non-Federal sources .....	-10,489	-10,858	-10,373
4130	Offsets against gross budget authority and outlays (total) ....	-9,131	-12,028	-11,643
4170	Outlays, net (mandatory) .....	-2,781	-4,293	-3,120
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-2,782	-4,293	-3,120
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	28,442	31,659	35,961
5001	Total investments, EOY: Federal securities: Par value .....	31,659	35,961	39,081
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	9	9	9
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	9	9	9

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays .....	-2,782	-4,293	-3,120
Legislative proposal, subject to PAYGO:			
Outlays .....			67
Total:			
Outlays .....	-2,782	-4,293	-3,053

The Pension Benefit Guaranty Corporation (PBGC) is a Federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by 37,000,000 American workers in private defined benefit pension plans under two separate insurance programs. The Single-Employer Program protects about 26,200,000 workers and retirees in more than 23,400 pension plans. The Multiemployer Program protects more than 10,600,000 workers and retirees in about 1,400 pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums paid by plans or the companies that sponsor them, investment income, and, in the Single-Employer Program, assets from terminated plans and recoveries of plan underfunding in sponsor bankruptcies. PBGC is requesting \$452,858,000 in spending authority for administrative purposes in 2020. The request includes \$7,380,000 for administering the termination of pensions from Sears Corporation, as well as an additional \$115,000 for the Office of Inspector General.

**Plan Preservation Efforts.**—PBGC works to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year, PBGC worked with dozens of companies, both in bankruptcy and otherwise, to preserve their plans that were at risk. In 2018, PBGC:

—Paid \$153,000,000 in financial assistance to 81 multiemployer pension plans covering the benefits of over 62,300 existing retirees and 27,800 people entitled to benefits once they retire. Seven of the 81 plans, covering about 1,100 participants, became insolvent during FY 2018.

—Performed 13 multiemployer plan audits to protect the benefits of more than 14,000 people.

**Stepping in to Insure Pensions When Plans Fail.**—When plans do fail, PBGC steps in to ensure that basic benefits continue to be paid. Over the years, PBGC has become responsible for almost 1,500,000 people in over 5,000 failed plans. In 2018, PBGC:

—Paid \$5,800,000,000 in benefits to more than 861,000 retirees in single-employer plans.

—Monitored on average 1,500 companies for financial transactions that potentially pose risks to the financial viability of plans.

**Single-employer benefit payments.**—The Single-Employer Program protects about 26,200,000 workers and retirees in over 23,400 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or PBGC may voluntarily seek to terminate its plan, or PBGC may seek termination. The PBGC must seek termination when a plan cannot pay current benefits. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, such as proving that continuing the plan would force the company to go out of business. If a terminated plan cannot pay at least the PBGC-guaranteed level of benefits, PBGC uses its funds to ensure that guaranteed benefits are paid. A sponsor may terminate a plan in a "standard" termination only if plan assets are sufficient to pay all benefits. In a standard termination, the sponsor closes out the plan by purchasing annuities from an insurance company or by paying benefits in a lump sum.

**Multiemployer financial assistance.**—The Multiemployer Insurance Program protects over 10,600,000 workers and retirees in about 1,400 pension plans. Multiemployer pension plans are maintained under collective bargaining agreements involving unrelated employers, generally in the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance (a loan to the plan) to continue paying guaranteed benefits.

**Investment management fees.**—PBGC contracts with professional financial services corporations to manage Trust Fund assets in accordance with an investment strategy approved by PBGC's Board of Directors. Investment management fees are driven by the amount of assets under management. They are a direct, programmatic expense required to maintain the Trust Fund which supports single-employer benefit payments.

**Consolidated Administrative Budget.**—PBGC's administrative budget comprises all expenditures and operations that support:

- Benefit payments to pension plan participants;
- Financial assistance to distressed multiemployer pension plans; and
- Stewardship and accountability.

These operations include premium collections, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities. This area also covers the expenditures that support activities related to trusteeship; plan asset management (excluding investment management fees) and trust accounting; as well as benefit payments and administration services. Finally, this area includes the administrative functions covering procurement, financial management, human resources, facilities management, communications, legal support, and information technology infrastructure. These funds support the operations of the Participant and Plan Sponsor Advocate. They also support the required functions and efforts of the Office of the Inspector General, including training and participation in Council of the Inspector Generals on Integrity and Efficiency (CIGIE) activities.

**Object Classification** (in millions of dollars)

Identification code 016-4204-0-3-601	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	111	112	113
11.3 Other than full-time permanent .....	1	2	2
11.5 Other personnel compensation .....	3	4	4
Total personnel compensation .....	115	118	119
12.1 Civilian personnel benefits .....	37	37	38
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	30	30	30
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued  
Object Classification—Continued

Identification code 016-4204-0-3-601	2018 actual	2019 est.	2020 est.
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	103	117	127
25.2 Other services from non-Federal sources .....	206	242	247
25.3 Other goods and services from Federal sources .....	8	5	5
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	6	6	6
33.0 Investments and loans .....	153	188	287
42.0 Insurance claims and indemnities .....	5,698	6,974	7,657
99.9 Total new obligations, unexpired accounts .....	6,364	7,725	8,524

Employment Summary

Identification code 016-4204-0-3-601	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	926	951	968

PENSION BENEFIT GUARANTY CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-4204-4-3-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0802 Multiemployer Financial Assistance .....			65
0900 Total new obligations, unexpired accounts (object class 33.0) .....			65
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			-2
1930 Total budgetary resources available .....			-2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-67
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			65
3020 Outlays (gross) .....			-65
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-2
Outlays, gross:			
4101 Outlays from mandatory balances .....			65
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities: .....			2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			67
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			-63

The Budget proposes changes to PBGC's Multiemployer Program that would raise about \$18,000,000,000 in new premiums over the budget window, as well as new changes to the Single-Employer Program.

The Budget proposes to reform multiemployer premiums and improve the solvency of the program by creating a variable-rate premium (VRP) and an exit premium. A multiemployer VRP would require plans to pay additional premiums based on their level of underfunding, up to a cap, as is done in the Single-Employer Program. An exit premium, equal to ten times the variable-rate premium cap, would be assessed on employers that withdraw from a multiemployer plan to compensate the Multiemployer Program for the additional risk imposed on it when employers exit and cease making plan contributions. PBGC would have limited authority to design waivers for some or all of the VRP assessed to terminated plans or ongoing plans that are in critical status, if there is a substantial risk that the payment of premiums will accelerate plan insolvency resulting in earlier financial assistance to the plan. Aggregate waivers for a year would be

limited to 20 percent of anticipated total multiemployer VRP for all plans. The multiemployer premiums proposed in the Budget are expected to be sufficient to fund the Multiemployer Program for the next 20 years.

The Budget also calls for the repeal of provisions accelerating fiscal year 2026 premiums into fiscal year 2025 and repeals the requirement for certain multiemployer premium revenues to be held in non-interest bearing investments.

The Budget proposes to rebalance premiums in the Single-Employer program by pausing the indexation of single-employer premiums for one year and increasing the cap on the VRP, currently \$541 in 2019, to \$900 in 2020 and indexed thereafter. This targets higher premiums on plans with greater outstanding underfunding that pose a greater risk to PBGC. On net, these changes are expected to lower PBGC single-employer premium receipts by approximately \$30 million over the coming decade, granting employers relief and better aligning premium burden on higher risk plans.

OFFICE OF WORKERS' COMPENSATION PROGRAMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Workers' Compensation Programs, **[\$115,424,000]** \$115,609,000, together with **[\$2,177,000]** \$2,173,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(d), and 44(**[j]**) of the Longshore and Harbor Workers' Compensation Act. (*Department of Labor Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 016-0163-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Federal programs for workers' compensation .....	115	115	116
0801 Trust Funds, Federal Programs for Workers' Compensation .....	38	38	40
0900 Total new obligations, unexpired accounts .....	153	153	156
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	115	115	116
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	38	38	40
1900 Budget authority (total) .....	153	153	156
1930 Total budgetary resources available .....	153	153	156
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	11	16
3010 New obligations, unexpired accounts .....	153	153	156
3020 Outlays (gross) .....	-152	-148	-154
3050 Unpaid obligations, end of year .....	11	16	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	11	16
3200 Obligated balance, end of year .....	11	16	18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	153	153	156
Outlays, gross:			
4010 Outlays from new discretionary authority .....	143	142	145
4011 Outlays from discretionary balances .....	9	6	9
4020 Outlays, gross (total) .....	152	148	154
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-36	-38	-40
4034 Offsetting governmental collections .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-38	-38	-40
4070 Budget authority, net (discretionary) .....	115	115	116
4080 Outlays, net (discretionary) .....	114	110	114
4180 Budget authority, net (total) .....	115	115	116
4190 Outlays, net (total) .....	114	110	114

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act (FECA), the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act (EEOICPA), and the Black Lung Benefits Act (Black Lung). These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

(4) For program integrity, **[\$1,734,000]** \$1,734,000; and  
(5) The remaining funds shall be paid into the Treasury as miscellaneous receipts:  
*Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (*Department of Labor Appropriations Act, 2019.*)

**Object Classification** (in millions of dollars)

Identification code 016-0163-0-1-505	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	65	66	65
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	66	67	66
12.1 Civilian personnel benefits .....	23	23	23
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	.....	1
25.3 Other goods and services from Federal sources .....	13	12	11
25.7 Operation and maintenance of equipment .....	2	2	5
26.0 Supplies and materials .....	.....	1	.....
99.0 Direct obligations .....	115	115	116
99.0 Reimbursable obligations .....	38	38	40
99.9 Total new obligations, unexpired accounts .....	153	153	156

**Employment Summary**

Identification code 016-0163-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	854	868	849

**SPECIAL BENEFITS**

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; section 5(f) of the War Claims Act (50 U.S.C. App. 2012); obligations incurred under the War Hazards Compensation Act (42 U.S.C. 1701 et seq.); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, **[\$230,000,000]** \$234,600,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year, for deposit into and to assume the attributes of the Employees' Compensation Fund established under 5 U.S.C. 8147(a): *Provided*, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, **[2018]** 2019, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, **[2019]** 2020: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, **[\$74,777,000]** \$74,777,000 shall be made available to the Secretary as follows:

- (1) For enhancement and maintenance of automated data processing systems operations and telecommunications systems, **[\$24,540,000]** \$24,540,000;
- (2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, **[\$22,968,000]** \$22,968,000;
- (3) For periodic roll disability management and medical review, **[\$25,535,000]** \$25,535,000;

**Program and Financing** (in millions of dollars)

Identification code 016-1521-0-1-600	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Longshore and harbor workers' compensation benefits .....	3	3	2
0002 Federal Employees' Compensation Act benefits .....	217	227	233
0799 Total direct obligations .....	220	230	235
0801 Federal Employees' Compensation Act benefits .....	2,892	2,787	2,621
0802 FECA Fair Share (administrative expenses) .....	72	75	75
0899 Total reimbursable obligations .....	2,964	2,862	2,696
0900 Total new obligations, unexpired accounts .....	3,184	3,092	2,931
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,528	1,496	1,497
1021 Recoveries of prior year unpaid obligations .....	1	1	.....
1050 Unobligated balance (total) .....	1,529	1,497	1,497
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	220	230	235
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	3,082	2,862	2,696
1801 Change in uncollected payments, Federal sources .....	-151	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	2,931	2,862	2,696
1900 Budget authority (total) .....	3,151	3,092	2,931
1930 Total budgetary resources available .....	4,680	4,589	4,428
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,496	1,497	1,497

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	229	248	230
3010 New obligations, unexpired accounts .....	3,184	3,092	2,931
3020 Outlays (gross) .....	-3,164	-3,109	-2,971
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	.....
3050 Unpaid obligations, end of year .....	248	230	190
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-152	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	151	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	77	247	229
3200 Obligated balance, end of year .....	247	229	189

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	3,151	3,092	2,931
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2,981	3,092	2,931
4101 Outlays from mandatory balances .....	183	17	40
4110 Outlays, gross (total) .....	3,164	3,109	2,971
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-3,081	-2,862	-2,696
4123 Non-Federal sources .....	-1	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-3,082	-2,862	-2,696
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	151	.....	.....
4160 Budget authority, net (mandatory) .....	220	230	235
4170 Outlays, net (mandatory) .....	82	247	275
4180 Budget authority, net (total) .....	220	230	235
4190 Outlays, net (total) .....	82	247	275

SPECIAL BENEFITS—Continued  
Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	220	230	235
Outlays .....	82	247	275
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-31
Outlays .....			-31
Total:			
Budget Authority .....	220	230	204
Outlays .....	82	247	244

*Federal Employees' Compensation Act benefits.*—The Federal Employees' Compensation Act (FECA) program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). A workers' compensation case is created following the receipt of an injury report or claim for occupational disease. In 2020 the FECA program projects to create 107,900 cases for Federal workers or their survivors; 16,800 Federal employees are projected to submit initial wage-loss claims; and 39,400 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	2018 actual	2019 proj.	2020 proj.
Initial Wage-Loss Claims Received .....	16,762	16,800	16,800
Number of Compensation and Medical Payments Processed (by Chargeback Year) <sup>1</sup> .....	8,014,676	8,300,000	8,200,000
Cases Created .....	106,956	108,500	107,900
Periodic Roll Payment Cases - Long-term Disability .....	38,551	39,400	39,400

<sup>1</sup>This entry represents total payments processed; in previous years, the number provided was for total bills processed. Note that there is usually more than one payment per bill.

*Longshore and Harbor Workers' Compensation Act benefits.*—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the Special Workers' Compensation Fund, which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 016-1521-0-1-600	2018 actual	2019 est.	2020 est.
42.0 Direct obligations: Insurance claims and indemnities .....	220	230	235
99.0 Reimbursable obligations .....	2,964	2,862	2,696
99.9 Total new obligations, unexpired accounts .....	3,184	3,092	2,931

Employment Summary

Identification code 016-1521-0-1-600	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	122	158	158

SPECIAL BENEFITS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-1521-4-1-600	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Federal Employees' Compensation Act benefits .....			-31
0899 Total reimbursable obligations .....			-31
0900 Total new obligations, unexpired accounts (object class 42.0) .....			-31

Budgetary resources:

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		-31
1900	Budget authority (total) .....		-31
1930	Total budgetary resources available .....		-31

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts .....		-31
3020	Outlays (gross) .....		31

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross .....		-31
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-31
4180	Budget authority, net (total) .....		-31
4190	Outlays, net (total) .....		-31

The 2020 Budget incorporates longstanding Government Accountability Office, Congressional Budget Office, and Labor Inspector General recommendations to improve and update the Federal Employees' Compensation Act (FECA). The last major amendments to FECA were made in 1974. The Administration proposes changes that generate cost savings by simplifying FECA benefit rates, introducing controls to prevent fraud and limit improper payments, and modernizing benefit administration. The proposal would reform the FECA program prospectively to simplify benefits to provide a single compensation rate at 66 2/3 percent of the injured workers' pay; reduce benefit levels at full Social Security Administration retirement age; prevent retroactive election of FECA benefits after claimants have declined them in favor of federal retirement; apply a consistent waiting period for compensation for all covered employees; increase benefits for funeral expenses and severe disfigurement; suspend payments to indicted medical providers; and make other changes to improve program integrity and reduce improper payments. These reforms would produce 10-year government-wide savings of more than \$730 million, and approximately \$220 million in net savings.

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 016-1523-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Part B benefits and all medical .....	1,012	1,048	1,073
0002 Part E benefits .....	341	343	323
0003 RECA DOJ benefits .....	13	11	9
0900 Total new obligations, unexpired accounts (object class 42.0) .....	1,366	1,402	1,405
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	5
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,363	1,402
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4	4
1900	Budget authority (total) .....	1,367	1,406
1930	Total budgetary resources available .....	1,367	1,407
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	26	29
3010	New obligations, unexpired accounts .....	1,366	1,402
3020	Outlays (gross) .....	-1,363	-1,402
3050	Unpaid obligations, end of year .....	29	29
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	26	29
3200	Obligated balance, end of year .....	29	29

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	1,367	1,406	1,409
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,337	1,402	1,405
4101	Outlays from mandatory balances .....	26		
4110	Outlays, gross (total) .....	1,363	1,402	1,405
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities .....	-4	-4	-4
4180	Budget authority, net (total) .....	1,363	1,402	1,405
4190	Outlays, net (total) .....	1,359	1,398	1,401

**Energy Employees Occupational Illness Compensation Act of 2000 (EEOICPA) benefits.**—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses. This program is EEOICPA Part B.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

**EEOICPA Workload Summary**

	2018 actual	2019 proj.	2020 proj.
Initial Claims Received (Part B) .....	4,797	5,207	5,103
Consequential Condition Claims Received (Part B and E) .....	7,642	7,730	8,630
Threads - Medical Authorizations (Part B and E) .....	40,472	49,688	53,100
Initial Claims Received (Part E) .....	4,745	4,738	4,643

**ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND**

For necessary expenses to administer *Parts B and E* of the Energy Employees Occupational Illness Compensation Program Act, **[\$59,098,000] \$138,840,000**, to remain available until expended: *Provided*, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed: *Provided further, That 42 U.S.C 7385s–13 is hereby repealed: Provided further, That, for purposes of the Balanced Budget and Emergency Deficit Control Act of 1985, amounts for Part E shall be treated in the same manner as amounts for Part B are treated pursuant to section 151(b) of division B, title I of Public Law 106–554. (Department of Labor Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 016–1524–0–1–053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Energy Part B .....	56	54	60
0004 Energy Part E .....	72	72	79
0900 Total new obligations, unexpired accounts .....	128	126	139
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	6	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	59	59	60
1200 Appropriation (Part E) .....	79	79	79
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-13	-12	

1260 Appropriations, mandatory (total) .....	125	126	139
1930 Total budgetary resources available .....	131	129	142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	32	32
3010 New obligations, unexpired accounts .....	128	126	139
3020 Outlays (gross) .....	-117	-126	-135
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	32	32	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	32	32
3200 Obligated balance, end of year .....	32	32	36

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	125	126	139
Outlays, gross:			
4100 Outlays from new mandatory authority .....	103	126	132
4101 Outlays from mandatory balances .....	14		3
4110 Outlays, gross (total) .....	117	126	135
4180 Budget authority, net (total) .....	125	126	139
4190 Outlays, net (total) .....	117	126	135

**Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.**—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E; the program described above is Part B) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act.

The Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act of 2015 (P.L. 113–291) amended EEOICPA to include Section 3687, creating the Advisory Board on Toxic Substances and Worker Health to advise the Secretary of Labor (as delegated by Executive Order 13699) with respect to technical aspects of the EEOICPA program. The Advisory Board is charged with advising the Secretary on four statutorily-specific technical issues related to EEOICPA: DOL's site exposure matrices; medical guidance for claims examiners; evidentiary requirements for claims under subtitle B related to lung disease; and the work of industrial hygienists and staff physicians and consulting physicians to ensure quality, objectivity, and consistency.

For 2020, there are two changes in the Energy Employees Occupational Illness Compensation Program Act Appropriations language which repeal Part E authorizing language to make the Part E administrative expenses amount a definite appropriated mandatory with directed scoring language (as Part B currently is), and make administrative expenses for Part B and Part E into two activities in one appropriation, allowing shifting of funds between the two activities.

ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS  
COMPENSATION FUND—Continued

Object Classification (in millions of dollars)

Identification code 016-1524-0-1-053	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	44	45	45
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	45	46	46
12.1 Civilian personnel benefits .....	14	15	15
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	30	23	24
25.3 Other goods and services from Federal sources .....	22	22	23
25.7 Operation and maintenance of equipment .....	11	13	24
99.9 Total new obligations, unexpired accounts .....	128	126	139

Employment Summary

Identification code 016-1524-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	441	451	451

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107-275, [ \$10,319,000 ] \$20,970,000, to remain available until expended.

For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV for the first quarter of fiscal year [2020] 2021, \$14,000,000, to remain available until expended. (Department of Labor Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 016-0169-0-1-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....	73	20	30
0002 Administration .....	5	5	5
0900 Total new obligations, unexpired accounts .....	78	25	35
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	94	86	86
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	54	10	21
Advance appropriations, mandatory:			
1270 Advance appropriation .....	16	15	14
1900 Budget authority (total) .....	70	25	35
1930 Total budgetary resources available .....	164	111	121
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	86	86	86
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	6	6
3010 New obligations, unexpired accounts .....	78	25	35
3020 Outlays (gross) .....	-80	-25	-36
3050 Unpaid obligations, end of year .....	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	6	6
3200 Obligated balance, end of year .....	6	6	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	70	25	35
Outlays, gross:			
4100 Outlays from new mandatory authority .....	70	25	35
4101 Outlays from mandatory balances .....	10		1
4110 Outlays, gross (total) .....	80	25	36

4180 Budget authority, net (total) .....	70	25	35
4190 Outlays, net (total) .....	80	25	36

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30, 1969 (when the program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107-275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

Object Classification (in millions of dollars)

Identification code 016-0169-0-1-601	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
42.0 Insurance claims and indemnities .....	73	20	30
99.9 Total new obligations, unexpired accounts .....	78	25	35

Employment Summary

Identification code 016-0169-0-1-601	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	16	16	13

PANAMA CANAL COMMISSION COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 016-5155-0-2-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		1	1
Receipts:			
Current law:			
1140 Interest on Investments, Panama Canal Commission .....	1		
2000 Total: Balances and receipts .....	1	1	1
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 016-5155-0-2-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Benefits .....	4	5	5
0900 Total new obligations, unexpired accounts (object class 42.0) .....	4	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	29	24
1930 Total budgetary resources available .....	33	29	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	24	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	4	5	5
3020 Outlays (gross) .....	-4	-5	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	4	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	4	5	5
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	33	29	25
5001 Total investments, EOY: Federal securities: Par value .....	29	25	22

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor, effective January 1, 1989.

### Trust Funds

#### BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Such sums as may be necessary from the Black Lung Disability Trust Fund (the "Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year **2019** 2020 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed \$38,246,000 for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed **[\$31,994,000]** \$32,844,000 for transfer to Departmental Management, "Salaries and Expenses"; not to exceed \$330,000 for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury. (*Department of Labor Appropriations Act, 2019.*)

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 016-8144-0-7-601	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	110	125	125
Receipts:			
Current law:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act Taxes .....	384	238	194
1130 Miscellaneous Interest, Black Lung Disability Trust Fund .....	2	2	2
1199 Total current law receipts .....	386	240	196
1999 Total receipts .....	386	240	196
2000 Total: Balances and receipts .....	496	365	321
Appropriations:			
Current law:			
2101 Black Lung Disability Trust Fund .....	-386	-244	-196
2132 Black Lung Disability Trust Fund .....		4	
2134 Black Lung Disability Trust Fund .....	15		
2199 Total current law appropriations .....	-371	-240	-196
2999 Total appropriations .....	-371	-240	-196
5099 Balance, end of year .....	125	125	125

#### Program and Financing (in millions of dollars)

Identification code 016-8144-0-7-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Disabled coal miners benefits .....	143	155	153
0002 Administrative expenses .....	66	67	72
0003 Interest on zero coupon bonds .....	175	64	77
0004 Interest on short term advances .....	17	39	63
0900 Total new obligations, unexpired accounts .....	401	325	365
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	386	244	196
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-4	
1234 Appropriations precluded from obligation .....	-15		
1260 Appropriations, mandatory (total) .....	371	240	196
Borrowing authority, mandatory:			
1400 Borrowing authority .....	1,701	2,102	2,390

1422	Borrowing authority applied to repay debt .....	-1,671	-1,701	-2,102
1422	Borrowing authority applied to repay debt .....		-316	-119
1440	Borrowing authority, mandatory (total) .....	30	85	169
1900	Budget authority (total) .....	401	325	365
1930	Total budgetary resources available .....	401	325	365

#### Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	11	1
3010	New obligations, unexpired accounts .....	401	325	365
3020	Outlays (gross) .....	-401	-335	-365
3050	Unpaid obligations, end of year .....	11	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11	11	1
3200	Obligated balance, end of year .....	11	1	1

#### Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross .....	401	325	365
Outlays, gross:				
4100	Outlays from new mandatory authority .....	390	325	365
4101	Outlays from mandatory balances .....	11	10	
4110	Outlays, gross (total) .....	401	335	365
4180	Budget authority, net (total) .....	401	325	365
4190	Outlays, net (total) .....	401	335	365

#### Memorandum (non-add) entries:

5080	Outstanding debt, SOY .....	-4,337	-4,566	-4,651
5081	Outstanding debt, EOY .....	-4,566	-4,651	-4,820
5082	Borrowing .....	-1,900	-2,102	-2,390

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These monies are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

*Note.—As of January 1, 2019, the coal excise tax rates on underground-mined coal are \$.50 per ton or 2% of the sales price (whichever is lower) and \$.25 per ton or 2% of the sales price (whichever is lower) on surface-mined coal.*

#### BLACK LUNG DISABILITY TRUST FUND WORKLOAD

	2018 actual	2019 proj.	2020 proj.
Number of Claims Received .....	6,673	7,000	7,000
Number of Trust Fund Beneficiaries .....	14,237	13,500	12,900
Number of Beneficiaries Paid by Responsible Operators .....	5,302	5,350	5,400

#### Status of Funds (in millions of dollars)

Identification code 016-8144-0-7-601	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	-4,215	-4,231	-4,326
0999 Total balance, start of year .....	-4,215	-4,231	-4,326
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act Taxes .....	384	238	194
1150 Miscellaneous Interest, Black Lung Disability Trust Fund .....	2	2	2

**BLACK LUNG DISABILITY TRUST FUND—Continued**  
**Status of Funds—Continued**

Identification code 016-8144-0-7-601	2018 actual	2019 est.	2020 est.
1199 Income under present law .....	386	240	196
1999 Total cash income .....	386	240	196
Cash outgo during year:			
Current law:			
2100 Black Lung Disability Trust Fund (012-15-8144-0) .....	-401	-335	-365
2199 Outgo under current law .....	-401	-335	-365
2999 Total cash outgo (-) .....	-401	-335	-365
Surplus or deficit:			
3110 Excluding interest .....	-17	-97	-171
3120 Interest .....	2	2	2
3199 Subtotal, surplus or deficit .....	-15	-95	-169
3298 Reconciliation adjustment .....	-1		
3299 Total adjustments .....	-1		
3999 Total change in fund balance .....	-16	-95	-169
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-4,231	-4,326	-4,495
4999 Total balance, end of year .....	-4,231	-4,326	-4,495
<b>Object Classification (in millions of dollars)</b>			
Identification code 016-8144-0-7-601	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	66	67	72
42.0 Insurance claims and indemnities .....	160	194	216
43.0 Interest and dividends .....	175	64	77
99.9 Total new obligations, unexpired accounts .....	401	325	365

**SPECIAL WORKERS' COMPENSATION EXPENSES**

**Special and Trust Fund Receipts (in millions of dollars)**

Identification code 016-9971-0-7-601	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1110 Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers' .....	107	112	112
1110 Workmen's Compensation Act within District of Columbia, Receipts, Special Workers' .....	7	7	7
1140 Interest, Special Worker's Compensation Expenses .....	1	1	1
1199 Total current law receipts .....	115	120	120
1999 Total receipts .....	115	120	120
2000 Total: Balances and receipts .....	115	120	121
Appropriations:			
Current law:			
2101 Special Workers' Compensation Expenses .....	-2	-2	-2
2101 Special Workers' Compensation Expenses .....	-113	-117	-117
2199 Total current law appropriations .....	-115	-119	-119
2999 Total appropriations .....	-115	-119	-119
5099 Balance, end of year .....		1	2

**Program and Financing (in millions of dollars)**

Identification code 016-9971-0-7-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Longshore and Harbor Workers' Compensation Act, as amended .....	104	108	107
0002 District of Columbia Compensation Act .....	7	7	6
0900 Total new obligations, unexpired accounts .....	111	115	113
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	66	70

<b>Budget authority:</b>			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	2	2	2
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	113	117	117
1900 Budget authority (total) .....	115	119	119
1930 Total budgetary resources available .....	177	185	189
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	66	70	76
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	111	115	113
3020 Outlays (gross) .....	-111	-115	-113
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
Mandatory:			
4090 Budget authority, gross .....	113	117	117
Outlays, gross:			
4100 Outlays from new mandatory authority .....	106	113	111
4101 Outlays from mandatory balances .....	3		
4110 Outlays, gross (total) .....	109	113	111
4180 Budget authority, net (total) .....	115	119	119
4190 Outlays, net (total) .....	111	115	113
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	65	70	74
5001 Total investments, EOY: Federal securities: Par value .....	70	74	80

The trust fund consists of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

The trust fund is available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

**Object Classification (in millions of dollars)**

Identification code 016-9971-0-7-601	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	2	2	2
42.0 Insurance claims and indemnities .....	109	113	111
99.9 Total new obligations, unexpired accounts .....	111	115	113

**WAGE AND HOUR DIVISION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services

rendered, **[\$229,000,000]** \$232,568,000. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016-0143-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Wage and Hour (Direct and H-1B)	229	229	233
0801 Salaries and Expenses (Reimbursable)	3	3	3
0900 Total new obligations, unexpired accounts	232	232	236
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	228	229	233
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1711 Spending authority from offsetting collections transferred from ETA UI State Admin 17/18 [016-0179]	2		
1750 Spending auth from offsetting collections, disc (total)	5	3	3
1900 Budget authority (total)	233	232	236
1930 Total budgetary resources available	233	233	237
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	23	22
3010 New obligations, unexpired accounts	232	232	236
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-230	-233	-236
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	23	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	23	22
3200 Obligated balance, end of year	23	22	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	233	232	236
Outlays, gross:			
4010 Outlays from new discretionary authority	216	214	217
4011 Outlays from discretionary balances	14	19	19
4020 Outlays, gross (total)	230	233	236
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-3	-3	-3
4180 Budget authority, net (total)	230	229	233
4190 Outlays, net (total)	227	230	233

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards, including the Davis-Bacon and Related Acts (DBRA) and the McNamara-O'Hara Service Contract Act (SCA). Collectively, these labor standards cover most private, state, and local government employment. They protect over 143,000,000 workers in more than 9,800,000 establishments throughout the United States and its territories.

**Object Classification** (in millions of dollars)

Identification code 016-0143-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	118	119	119
11.3 Other than full-time permanent	1	1	1

11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	121	122	122
12.1 Civilian personnel benefits	41	42	41
21.0 Travel and transportation of persons	4	2	2
23.1 Rental payments to GSA	14	14	14
23.3 Communications, utilities, and miscellaneous charges	3	1	1
24.0 Printing and reproduction		1	1
25.1 Advisory and assistance services	4	3	2
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	34	36	43
25.7 Operation and maintenance of equipment	6	5	4
31.0 Equipment	1	1	1
42.0 Insurance claims and indemnities		1	1
99.0 Direct obligations	229	229	233
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	232	232	236

**Employment Summary**

Identification code 016-0143-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	1,350	1,297	1,304

**H-1 B AND L FRAUD PREVENTION AND DETECTION**

**Program and Financing** (in millions of dollars)

Identification code 016-5393-0-2-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 H-1 B and L Fraud Prevention and Detection	52	48	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	7	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	51	45	45
1203 Appropriation (previously unavailable)	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-3	
1260 Appropriations, mandatory (total)	51	45	48
1930 Total budgetary resources available	59	52	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	1	1
3010 New obligations, unexpired accounts	52	48	48
3020 Outlays (gross)	-54	-48	-48
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	1	1
3200 Obligated balance, end of year	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	51	45	48
Outlays, gross:			
4100 Outlays from new mandatory authority		41	48
4101 Outlays from mandatory balances	54	7	
4110 Outlays, gross (total)	54	48	48
4180 Budget authority, net (total)	51	45	48
4190 Outlays, net (total)	54	48	48

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary

H-1 B AND L FRAUD PREVENTION AND DETECTION—Continued  
non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

**Object Classification** (in millions of dollars)

Identification code 016-5393-0-2-505	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	23	21	21
11.9 Total personnel compensation .....	23	21	21
12.1 Civilian personnel benefits .....	8	7	7
21.0 Travel and transportation of persons .....	1	3	3
23.1 Rental payments to GSA .....	1		
25.3 Other goods and services from Federal sources .....	19	17	17
99.9 Total new obligations, unexpired accounts .....	52	48	48

**Employment Summary**

Identification code 016-5393-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	262	214	214

**OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Office of Federal Contract Compliance Programs, **[\$103,476,000]** \$103,576,000. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016-0148-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Federal contractor EEO standards enforcement .....	102	103	104
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	103	103	104
1120 Appropriations transferred to other acct [016-0179] .....	-1		
1160 Appropriation, discretionary (total) .....	102	103	104
1930 Total budgetary resources available .....	102	103	104
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	20	22
3010 New obligations, unexpired accounts .....	102	103	104
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-101	-101	-104
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	20	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	20	22
3200 Obligated balance, end of year .....	20	22	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	102	103	104
Outlays, gross:			
4010 Outlays from new discretionary authority .....	90	93	94
4011 Outlays from discretionary balances .....	11	8	10
4020 Outlays, gross (total) .....	101	101	104
4180 Budget authority, net (total) .....	102	103	104
4190 Outlays, net (total) .....	101	101	104

The Office of Federal Contract Compliance Programs (OFCCP) enforces, for the benefit of job seekers and wage earners, the contractual promise of affirmative action and equal employment opportunity required of those who do business with the Federal government. OFCCP administers Exec-

utive Order 11246, as amended, which prohibits employment discrimination on the basis of race, religion, color, sex, and/or national origin; Section 503 of the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990 (ADA), as amended, which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against protected veterans. OFCCP monitors contractors' compliance through reporting requirements and compliance evaluations. The 2020 Budget proposes improving organization efficiency, productivity, and accountability throughout the organization, expanding contractor education and compliance assistance using modern and streamlined processes, implementing an accredited training and certification program to develop the agency's compliance staff through skills-based training and continuing education, and improving the quantity and quality of data used for informed decision-making and performance management.

**Object Classification** (in millions of dollars)

Identification code 016-0148-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	49	51	51
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	50	52	52
12.1 Civilian personnel benefits .....	17	17	17
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	6	6	6
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	3	2	2
25.3 Other goods and services from Federal sources .....	18	17	16
25.7 Operation and maintenance of equipment .....	6	6	7
26.0 Supplies and materials .....			1
31.0 Equipment .....		1	1
99.9 Total new obligations, unexpired accounts .....	102	103	104

**Employment Summary**

Identification code 016-0148-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	508	500	500

**OFFICE OF LABOR MANAGEMENT STANDARDS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Office of Labor-Management Standards, **[\$41,187,000]** \$49,134,000. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016-0150-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Labor-management standards .....	41	42	49
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	40	41	49
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from ETA UI State Admin 17/18 [016-0179] .....	1	1	
1900 Budget authority (total) .....	41	42	49
1930 Total budgetary resources available .....	41	42	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	6
3010 New obligations, unexpired accounts .....	41	42	49
3020 Outlays (gross) .....	-41	-38	-47
3050 Unpaid obligations, end of year .....	2	6	8

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	6
3200	Obligated balance, end of year .....	2	6	8

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	41	42	49
Outlays, gross:				
4010	Outlays from new discretionary authority .....	39	38	44
4011	Outlays from discretionary balances .....	2		3
4020	Outlays, gross (total) .....	41	38	47
4180	Budget authority, net (total) .....	41	42	49
4190	Outlays, net (total) .....	41	38	47

The mission of the Office of Labor-Management Standards (OLMS) is to ensure that the nation's labor union members are protected by ensuring that unions are operated with transparency, integrity and democracy. It is OLMS' goal to facilitate oversight and offer transparency to the union members. It does so by receiving and disclosing reports filed by unions, union officers and employees, employers, labor consultants, and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports. To ensure financial integrity, OLMS audits union financial records and investigates possible embezzlements of union funds. To ensure democracy, OLMS conducts union officer election investigations and supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA. In addition, OLMS administers a statutory program to certify employee protection provisions are included in grants and contracts under various Federally-sponsored transportation programs.

With the restoration of the OLMS core enforcement program in FY 2020, OLMS will advance union democracy, transparency, and financial integrity protections by increasing the number of compliance audits to 400 and criminal investigations to 315 annually. OLMS will fully operate the International Union Compliance Audit Program (I-CAP) to safeguard union members' assets held at the international and national levels of union governance. OLMS will also ensure that federally sponsored transportation grants are processed in a timely manner and that they provide requisite protection to employees against adverse impacts that could result from projects funded with federal assistance.

**Object Classification** (in millions of dollars)

Identification code 016-0150-0-1-505	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	20	21	23
11.5	Other personnel compensation .....			1
11.9	Total personnel compensation .....		21	24
12.1	Civilian personnel benefits .....	7	7	9
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	3	3
25.1	Advisory and assistance services .....			2
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	7	7	7
25.7	Operation and maintenance of equipment .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	41	42	49

**Employment Summary**

Identification code 016-0150-0-1-505	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	190	186	215

**OCCUPATIONAL SAFETY AND HEALTH  
ADMINISTRATION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses for the Occupational Safety and Health Administration, **[\$557,787,000]** \$557,533,000, including not to exceed **[\$102,350,000]** \$102,350,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act (the "Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$499,000 per fiscal year of training institute course tuition and fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: *Provided*, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, **[2019]** 2020, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred ("DART") occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

- (1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;
- (2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;
- (3) to take any action authorized by the Act with respect to imminent dangers;
- (4) to take any action authorized by the Act with respect to health hazards;
- (5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; and
- (6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act:

*Provided further*, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That \$10,537,000 shall be available for Susan Harwood training grants, of which the Secretary shall reserve not less than \$4,500,000 for Susan Harwood Training Capacity Building Developmental grants, as described in Funding Opportunity Number SHTG-FY-16-02 (referenced in the notice of availability of funds published in the Federal Register on May 3, 2016 (81 Fed. Reg. 30568)) for program activities starting not later than September 30, 2019 and lasting for a period of 12 months: *Provided further*, That not less than \$3,500,000 shall be for Voluntary Protection Programs. (*Department of Labor Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 016-0400-0-1-554	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Safety and health standards .....	18	18	18
0002	Federal enforcement .....	208	209	213
0003	Whistleblower protection .....	17	18	19
0004	State programs .....	101	102	102
0005	Technical support .....	25	24	24
0006	Federal compliance assistance .....	71	73	74
0007	State consultation grants .....	59	60	60
0008	Training grants .....	10	11	
0009	Safety and health statistics .....	33	33	38
0010	Executive direction and administration .....	10	9	10

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 016-0400-0-1-554	2018 actual	2019 est.	2020 est.
0799 Total direct obligations .....	552	557	558
0801 Salaries and Expenses (Reimbursable) .....	2	3	3
0900 Total new obligations, unexpired accounts .....	554	560	561
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	553	558	558
1120 Appropriations transferred to DM Salaries and Expenses [016-0165] .....		-1	
1160 Appropriation, discretionary (total) .....	553	557	558
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	555	560	561
1930 Total budgetary resources available .....	555	561	562
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	67	81
3010 New obligations, unexpired accounts .....	554	560	561
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-542	-546	-558
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	67	81	84
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	67	81
3200 Obligated balance, end of year .....	67	81	84
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	555	560	561
Outlays, gross:			
4010 Outlays from new discretionary authority .....	499	488	488
4011 Outlays from discretionary balances .....	43	58	70
4020 Outlays, gross (total) .....	542	546	558
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-3	-3
4040 Offsets against gross budget authority and outlays (total) ....	-2	-3	-3
4180 Budget authority, net (total) .....	553	557	558
4190 Outlays, net (total) .....	540	543	555

**Safety and Health Standards.**—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health Act of 1970 (OSH Act). Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is cost effective when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the Small Business Regulatory Enforcement Fairness Act of 1996 (SBREFA) process, that small business concerns are taken into account in the process of developing standards.

**Federal Enforcement.**—This activity provides for the protection of employees through the enforcement of workplace standards promulgated under the OSH Act, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to a focus on specific high-hazard industries and worksites. Enforcement is prioritized by the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury and illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards.

**Whistleblower Programs.**—This activity provides for the enforcement of twenty-two whistleblower protection statutes, including Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection, participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition to the OSH Act, this activity includes administration of twenty-one other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

**State Programs.**—This activity supports states that assume responsibility for administering occupational safety and health programs under State Plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to 50 percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State programs that are at least as effective as the Federal OSHA program. State programs, like Federal OSHA, provide a mix of enforcement, outreach, training, and compliance assistance activities.

**Technical Support.**—This activity provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters. This activity also provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness.

**Federal Compliance Assistance.**—This activity supports a broad range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on high-hazard industries, small business, and other hard-to-reach workers. OSHA works with employers and employees through cooperative programs, such as the Voluntary Protection Programs to recognize employers with exemplary safety and health programs, and Alliances and Strategic Partnerships that commit organizations to proactively collaborate with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including online.

**State Compliance Assistance: Consultation Grants.**—This activity supports 90 percent federally funded cooperative agreements with designated State agencies to provide free on-site safety and health consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments in high-hazard industries. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Safety and Health Statistics.**—This activity supports the agency's information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These services are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

**Executive Direction and Administration.**—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

	2018 actual	2019 est.	2020 est.
Inspections:			
Federal inspections .....	32,020	32,800	33,133
State program inspections .....	40,993	40,993	40,584
Whistleblower cases .....	2,924	2,655	2,900
Training and Consultations:			
Consultation Visits .....	26,362	26,362	25,835

**Object Classification (in millions of dollars)**

Identification code 016-0400-0-1-554	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	185	187	191
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	188	190	194
12.1 Civilian personnel benefits .....	63	64	65
21.0 Travel and transportation of persons .....	8	8	9
23.1 Rental payments to GSA .....	25	25	24
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	72	72	77
25.3 Other goods and services from Federal sources .....	68	67	69
25.7 Operation and maintenance of equipment .....	9	8	8
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	3	3
41.0 Grants, subsidies, and contributions .....	111	113	102
99.0 Direct obligations .....	552	557	558
99.0 Reimbursable obligations .....	2	3	3
99.9 Total new obligations, unexpired accounts .....	554	560	561

**Employment Summary**

Identification code 016-0400-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,878	1,911	1,944
2001 Reimbursable civilian full-time equivalent employment .....	4	4	4

**MINE SAFETY AND HEALTH ADMINISTRATION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses for the Mine Safety and Health Administration, **[\$373,816,000] \$376,043,000**, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities and not less than \$10,537,000 for State assistance grants: *Provided*, That amounts available for State assistance grants may be used for the purchase and maintenance of new equipment required by the final rule entitled "Lowering Miners' Exposure to Respirable Coal Mine Dust, Including Continuous Personal Dust Monitors" published by the Department of Labor in the Federal Register on May 1, 2014 (79 Fed. Reg. 24813 et seq.), for operators that demonstrate financial need as determined by the Secretary: *Provided further*, That notwithstanding 31 U.S.C. 3302, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities: *Provided further*, That notwithstanding 31 U.S.C. 3302, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities: *Provided further*, That the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private: *Provided further*, That the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations: *Provided further*, That the Secretary is authorized

to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization: *Provided further*, That any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster. (*Department of Labor Appropriations Act, 2019.*)

**Program and Financing (in millions of dollars)**

Identification code 016-1200-0-1-554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Coal .....	156	157	.....
0002 Metal/non-metal .....	96	97	.....
0003 Standards development .....	5	5	5
0004 Assessments .....	8	7	7
0005 Educational policy and development .....	39	39	39
0006 Technical support .....	34	34	34
0007 Program administration .....	16	19	22
0008 Program evaluation & information resources .....	19	16	16
0009 Mine Safety and Health Enforcement .....	.....	.....	253
0799 Total direct obligations .....	373	374	376
0801 Salaries and Expenses (Reimbursable) .....	1	3	3
0900 Total new obligations, unexpired accounts .....	374	377	379

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	374	374	376
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	3	3
1900 Budget authority (total) .....	375	377	379
1930 Total budgetary resources available .....	375	377	379
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	36	36
3010 New obligations, unexpired accounts .....	374	377	379
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-371	-377	-379
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	36	36	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	35	36	36
3200 Obligated balance, end of year .....	36	36	36

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	375	377	379
Outlays, gross:			
4010 Outlays from new discretionary authority .....	343	343	345
4011 Outlays from discretionary balances .....	28	34	34
4020 Outlays, gross (total) .....	371	377	379
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1	-3	-3
4180 Budget authority, net (total) .....	374	374	376
4190 Outlays, net (total) .....	370	374	376

**Enforcement.**—The enforcement strategy in 2020 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses, combining the Coal and Metal/non-metal activities. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners.

**Standards.**—This activity develops standards and regulations for the mining industry that protect the safety and health of miners.

SALARIES AND EXPENSES—Continued

*Office of Assessments.*— This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

*Educational Policy and Development.*—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

*Technical Support.*—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support conducts engineering analyses of complex mining plans, assists in mine emergency operations, and administers a fee program to approve equipment, materials, and explosives for use in mines. It performs field and laboratory audits of equipment previously approved by MSHA and collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents.

*Program Evaluation and Information Resources (PEIR).*—This activity provides program evaluation and information technology resource management services for the agency.

*Program Administration.*—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

PROGRAM STATISTICS

	2018 Actual	2019 Est.	2020 Est.
Enforcement per 200,000 hours worked by employees:			
Fatality Rates			
All-MSHA fatality rates .....	0.0075	0.0074	0.0072
Coal Mines .....	0.0118	0.0116	N/A
Metal/non-metal mines .....	0.0059	0.0058	N/A
Regulations promulgated .....	1	2	1
Assessments:			
Violations assessed .....	97,528	95,500	95,500
Educational Policy and Development:			
Course days .....	980	1,150	1,150
Technical Support:			
Equipment approvals .....	293	300	300
Laboratory samples analyzed .....	128,902	150,000	150,000

Object Classification (in millions of dollars)

Identification code 016-1200-0-1-554	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	172	173	174
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	177	177	178
12.1 Civilian personnel benefits .....	70	71	71
21.0 Travel and transportation of persons .....	10	10	10
22.0 Transportation of things .....	6	6	6
23.1 Rental payments to GSA .....	17	18	16
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services from non-Federal sources .....	5	5	5
25.3 Other goods and services from Federal sources .....	52	50	54
25.4 Operation and maintenance of facilities .....	1	2	2
25.7 Operation and maintenance of equipment .....	11	14	14
26.0 Supplies and materials .....	5	3	3
31.0 Equipment .....	5	4	3
41.0 Grants, subsidies, and contributions .....	11	11	11
99.0 Direct obligations .....	373	374	376
99.0 Reimbursable obligations .....	1	3	3
99.9 Total new obligations, unexpired accounts .....	374	377	379

Employment Summary

Identification code 016-1200-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,993	1,984	1,984

BUREAU OF LABOR STATISTICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, \$550,000,000, together with not to exceed \$65,000,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund.

*In addition, \$40,000,000, to remain available until September 30, 2024, for costs associated with the physical move of the Bureau of Labor Statistics' headquarters, including replication of space, furniture, fixtures, equipment, and related costs, as well as relocation of the data center to a shared facility. (Department of Labor Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 016-0200-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Labor force statistics .....	273	276	276
0002 Prices and cost of living .....	209	210	210
0003 Compensation and working conditions .....	83	83	83
0004 Productivity and technology .....	11	11	11
0006 Executive direction and staff services .....	35	35	75
0799 Total direct obligations .....	611	615	655
0801 Salaries and Expenses (Reimbursable) .....	30	34	34
0900 Total new obligations, unexpired accounts .....	641	649	689

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	547	550	590
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	95	99	99
1900 Budget authority (total) .....	642	649	689
1930 Total budgetary resources available .....	642	649	689
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	111	110	76
3010 New obligations, unexpired accounts .....	641	649	689
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-640	-683	-683
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	110	76	82
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	111	110	76
3200 Obligated balance, end of year .....	110	76	82

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	642	649	689
Outlays, gross:			
4010 Outlays from new discretionary authority .....	542	573	607
4011 Outlays from discretionary balances .....	98	110	76
4020 Outlays, gross (total) .....	640	683	683
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-94	-98	-98
4033 Non-Federal sources .....	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total) ...	-95	-99	-99
4070 Budget authority, net (discretionary) .....	547	550	590
4080 Outlays, net (discretionary) .....	545	584	584
4180 Budget authority, net (total) .....	547	550	590
4190 Outlays, net (total) .....	545	584	584

*Labor Force Statistics.*—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the nation, states, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in

the level and structure of the economy, as well as employment projections by industry and by occupational category.

	2018 act.	2019 est.	2020 est.
Labor Force Statistics (selected items):			
Employment and wages for NAICS industries (quarterly series) .....	3,600,000	3,600,000	3,600,000
Employment and unemployment estimates for States and local areas (monthly and annual series) .....	102,600	102,600	102,600
Occupational Employment Statistics (annual series) .....	130,286	123,000	113,000
Industry projections .....	205	205	205
Detailed occupations covered in the Occupational Outlook Handbook .....	576	576	568

**Prices and Cost of Living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

	2018 act.	2019 est.	2020 est.
Consumer Price Indexes published (monthly) .....	7,800	8,500	8,500
Percentage of CPI monthly releases on schedule .....	100%	100%	100%
Producer Price Indexes published (monthly) .....	10,707	10,650	10,850
U.S. Import and Export Price Indexes published (monthly) .....	1,032	980	960

**Compensation and Working Conditions.**—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

	2018 act.	2019 est.	2020 est.
Compensation and working conditions (major items):			
Employment Cost Index: number of establishments .....	11,400	11,400	11,400
Occupational safety and health: number of establishments .....	232,141	230,000	230,000

**Productivity and Technology.**—Publishes data on labor and multifactor productivity trends for major sectors of the economy and individual industries, as well as data on hours worked, labor compensation, and unit labor costs. Analyzes trends in order to examine the factors underlying changes in productivity to understand the relationships between productivity, wages, prices, profits, and employment, to compare trends in efficiency across industries, and to examine the effects of technological improvements.

	2018 act.	2019 est.	2020 est.
Studies, articles, and special reports .....	21	17	17
Series updated .....	4,445	4,087	4,217

**Executive Direction and Staff Services.**—Provides agency-wide policy and management direction, including all centralized program support services in the administrative, publications, information technology, field operations, and statistical methods research areas necessary to produce and release statistical and research output in a reliable, secure, timely, and effective manner. The 2020 Budget includes one-time funding of \$40,000,000 in this category for the physical move of the BLS national office to space owned by the General Services Administration at the Suitland Federal Complex before the current headquarters lease expires in May 2022.

**Object Classification** (in millions of dollars)

Identification code 016-0200-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	186	195	194
11.3 Other than full-time permanent .....	12	13	13
11.5 Other personnel compensation .....	4	3	3
11.9 Total personnel compensation .....	202	211	210
12.1 Civilian personnel benefits .....	66	68	68
21.0 Travel and transportation of persons .....	5	6	6
23.1 Rental payments to GSA .....	38	38	38
23.3 Communications, utilities, and miscellaneous charges .....	5	3	3
24.0 Printing and reproduction .....	1	2	2
25.2 Other services from non-Federal sources .....	11	14	16
25.3 Other goods and services from Federal sources .....	135	130	159
25.5 Research and development contracts .....	11	11	11
25.7 Operation and maintenance of equipment .....	51	53	54
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	13	5	14
41.0 Grants, subsidies, and contributions .....	72	73	73
99.0 Direct obligations .....	611	615	655
99.0 Reimbursable obligations .....	30	34	34

99.9	Total new obligations, unexpired accounts .....	641	649	689
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**Employment Summary**

Identification code 016-0200-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,988	2,057	2,057
2001 Reimbursable civilian full-time equivalent employment .....	151	164	164

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, **[\$337,756,000]** \$266,558,000, together with not to exceed \$308,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: **【Provided, That \$59,825,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, 2019:】** *Provided further*, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, **【grants, subgrants】** and other arrangements, *and to manage grants that were awarded prior to December 31, 2019: 【Provided further, That not more than \$53,825,000 shall be for programs to combat exploitative child labor internationally and not less than \$6,000,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs:】* *Provided further, That \$8,040,000 shall be used for program evaluation and shall be available for obligation through September 30, 【2020】 2021: Provided further, That funds available for program evaluation may be used to administer grants for the purpose of evaluation: Provided further, That grants made for the purpose of evaluation shall be awarded through fair and open competition: Provided further, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer 【: Provided further, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce: Provided further, That of the amounts made available to the Women's Bureau, not less than \$994,000 shall be used for grants authorized by the Women in Apprenticeship and Nontraditional Occupations Act】. (Department of Labor Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 016-0165-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program direction and support .....	29	30	32
0002 Legal services .....	133	132	136
0003 International labor affairs .....	85	122	19
0004 Administration and management .....	32	29	29
0005 Adjudication .....	57	57	59
0007 Women's bureau .....	13	14	4
0008 Civil rights .....	7	7	7
0009 Chief Financial Officer .....	8	6	6
0011 Departmental Program Evaluation .....	22	18	8
0192 Total Direct Program - Subtotal .....	386	415	300
0799 Total direct obligations .....	386	415	300
0801 Reimbursable - SOL .....	13	14	14
0804 Reimbursable - OASAM .....	10	16	16
0899 Total reimbursable obligations .....	23	30	30
0900 Total new obligations, unexpired accounts .....	409	445	330
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44	45	1
1011 Unobligated balance transfer from ETA-CSEOA to DPE [016-0175] .....	1	1	
1011 Unobligated balance transfer from ETA-TES to DPE [016-0174] .....	2	1	
1011 Unobligated balance transfer from ETA-OJC to DPE [016-0181] .....	2		

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 016-0165-0-1-505	2018 actual	2019 est.	2020 est.
1011 Unobligated balance transfer from SUIESO to DPE [016-0179] .....	2		
1050 Unobligated balance (total) .....	51	47	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Regular) .....	338	338	267
1121 Appropriations transferred from OSHA to OASAM [016-0400] .....		1	
1121 Appropriations transferred from OJC to OASAM [016-0181] .....	2		
1160 Appropriation, discretionary (total) .....	340	339	267
Advance appropriations, discretionary:			
1173 Advance appropriations transferred from ETA-TES Advances to DPE [016-0174] .....	6		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	54	60	62
1711 Spending authority from offsetting collections transferred from SUIESO to OASAM [016-0179] .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	58	60	62
1900 Budget authority (total) .....	404	399	329
1930 Total budgetary resources available .....	455	446	330
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	45	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	266	261	276
3010 New obligations, unexpired accounts .....	409	445	330
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-411	-430	-342
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	261	276	264
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10		
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	9		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	265	261	276
3200 Obligated balance, end of year .....	261	276	264
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	404	399	329
Outlays, gross:			
4010 Outlays from new discretionary authority .....	287	287	241
4011 Outlays from discretionary balances .....	124	143	101
4020 Outlays, gross (total) .....	411	430	342
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-54	-60	-62
4040 Offsets against gross budget authority and outlays (total) ....	-54	-60	-62
4180 Budget authority, net (total) .....	350	339	267
4190 Outlays, net (total) .....	357	370	280

**Program Direction and Support.**—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal Services.**—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases in judicial and administrative forums; providing assistance to the Department of Justice in case preparation and trials for which the agency is lead counsel; providing legal advice regarding rules, orders, written interpretations, opinions, and legislation related to DOL program agencies; assisting in the development and defense of rules, regulations, opinions, and legislation

regarding DOL program agencies; and providing legal opinions and advice to all agencies of the Department.

**International Labor Affairs.** ILAB promotes a fair global playing field for workers in the United States and around the world by enforcing trade and labor commitments, strengthening labor standards, and combatting child labor, forced labor and human trafficking. ILAB supports the Department's goals of improving job opportunities and working conditions in the United States through its international engagement.

**Administration and Management.**—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Renders timely decisions on appeals of claims filed before four different components, which include the Office of Administrative Law Judges, the Administrative Review Board, the Benefits Review Board, and the Employees' Compensation Appeals Board.

**Women's Bureau.**—Develops policies and standards, and conducts inquiries related to the interests of working women.

**Civil Rights.**—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, Section 188 of the Workforce Investment Act of 1998, and Section 188 of the Workforce Innovation and Opportunity Act. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

**Chief Financial Officer.**—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

**Program Evaluation.**—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

Object Classification (in millions of dollars)

Identification code 016-0165-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	148	164	150
11.3 Other than full-time permanent .....	3	2	1
11.5 Other personnel compensation .....	3	3	2
Total personnel compensation .....	154	169	153
12.1 Civilian personnel benefits .....	46	51	47
21.0 Travel and transportation of persons .....	3	4	3
23.1 Rental payments to GSA .....	18	20	19
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	24	19	11
25.2 Other services from non-Federal sources .....	10	25	3
25.3 Other goods and services from Federal sources .....	53	47	51
25.4 Operation and maintenance of facilities .....		3	3
25.7 Operation and maintenance of equipment .....	15	3	6
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....	58	70	
99.0 Direct obligations .....	386	415	300
99.0 Reimbursable obligations .....	23	30	30
99.9 Total new obligations, unexpired accounts .....	409	445	330

**Employment Summary**

Identification code 016-0165-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,234	1,288	1,261
2001 Reimbursable civilian full-time equivalent employment .....	67	62	62

**OFFICE OF DISABILITY EMPLOYMENT POLICY**  
SALARIES AND EXPENSES

For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, **[\$38,203,000]** \$27,000,000, of which not less than \$8,000,000 shall be used for research and demonstration projects related to testing effective ways to promote greater labor force participation of people with disabilities: Provided, That the Secretary may transfer amounts made available under this heading for research and demonstration projects to the "State Unemployment Insurance and Employment Service Operations" account for such purposes. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016-0166-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Disability Employment Policy .....	38	38	27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	38	27
1930 Total budgetary resources available .....	38	38	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	49	49	38
3010 New obligations, unexpired accounts .....	38	38	27
3020 Outlays (gross) .....	-35	-49	-28
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	49	38	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	49	49	38
3200 Obligated balance, end of year .....	49	38	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	38	38	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	13	9
4011 Outlays from discretionary balances .....	24	36	19
4020 Outlays, gross (total) .....	35	49	28
4180 Budget authority, net (total) .....	38	38	27
4190 Outlays, net (total) .....	35	49	28

*Office of Disability Employment Policy.*—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to develop and disseminate evidence-based policy strategies and effective practices. ODEP also assists agencies and employers in adopting evidence-based policies and practices. The goal of these efforts is to increase employment opportunities for and the workforce participation rate of people with disabilities.

**Object Classification** (in millions of dollars)

Identification code 016-0166-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	12	18	5
25.3 Other goods and services from Federal sources .....	3	3	3

41.0 Grants, subsidies, and contributions .....	15	8	10
99.9 Total new obligations, unexpired accounts .....	38	38	27

**Employment Summary**

Identification code 016-0166-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	45	49	49

**OFFICE OF INSPECTOR GENERAL**

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$83,487,000]** \$84,461,000, together with not to exceed **[\$5,660,000]** \$5,660,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016-0106-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program and Trust Funds .....	89	89	90
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Program Activities) .....	83	83	84
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	6	6
1900 Budget authority (total) .....	89	89	90
1930 Total budgetary resources available .....	89	89	90
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	14
3010 New obligations, unexpired accounts .....	89	89	90
3020 Outlays (gross) .....	-88	-87	-90
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	12	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	12	14
3200 Obligated balance, end of year .....	12	14	14
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	89	89	90
Outlays, gross:			
4010 Outlays from new discretionary authority .....	79	76	77
4011 Outlays from discretionary balances .....	9	11	13
4020 Outlays, gross (total) .....	88	87	90
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-6	-6
4180 Budget authority, net (total) .....	83	83	84
4190 Outlays, net (total) .....	82	81	84

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Investigations-Labor Racketeering and Fraud conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs.

	2018 actual	2019 est.	2020 est.
Number of Audits .....	22	25	25

OFFICE OF INSPECTOR GENERAL—Continued

Number of Investigations Completed ..... 228 225 225

Object Classification (in millions of dollars)

Identification code 016-0106-0-1-505	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	40	40	41
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	45	45	46
12.1 Civilian personnel benefits .....	18	18	18
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	6	5	5
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	8	8	8
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	89	89	90

Employment Summary

Identification code 016-0106-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	342	345	347

VETERANS EMPLOYMENT AND TRAINING

Not to exceed **[\$250,041,000] \$256,041,000** may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

(1) \$180,000,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for obligation by the States through December 31, **[2019] 2020**, and not to exceed 3 percent for the necessary Federal expenditures for data systems and contract support to allow for the tracking of participant and performance information: *Provided*, That, in addition, such funds may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, and to the spouses or other family caregivers of such wounded, ill, or injured members;

(2) **[\$23,379,000] \$29,379,000** is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144 **[:** *Provided*, That not more than \$3,500,000 shall be used by the Secretary, in consultation with the Secretary of Defense and the Secretary of the Veterans Affairs, to carry out a pilot project designed to prepare transitioning service members to qualify for, and to assist in placing them in, apprenticeship programs, as an additional training opportunity under subsection (f) of 10 U.S.C. 1144, including the costs of federal administration and evaluation of such pilot, and that the funds shall remain available for the pilot through September 30, **2020**];

(3) \$43,248,000 is for Federal administration of chapters 41, 42, and 43 of title 38, and sections 2021, 2021A and 2023 of title 38, United States Code **[:** *Provided*, That, up to \$500,000 may be used to carry out the Hire VETS Act (division O of Public Law 115-31)**];** and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

*Provided*, That the Secretary may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, \$50,000,000 is for carrying out programs to assist homeless veterans and veterans at risk of homelessness who are transitioning from certain institutions under sections 2021, 2021A, and 2023 of title 38, United States Code: *Provided*, That notwithstanding subsections (c)(3) and (d) of section 2023, the Secretary may award grants through September 30, **[2019] 2020**, to provide services under such section: *Provided further*, That services provided under sections 2021 or under 2021A may include, in addition to services to homeless

veterans described in section 2002(a)(1), services to veterans who were homeless at some point within the 60 days prior to program entry or veterans who are at risk of homelessness within the next 60 days, and that services provided under section 2023 may include, in addition to services to the individuals described in subsection (e) of such section, services to veterans recently released from incarceration who are at risk of homelessness: *Provided further*, That notwithstanding paragraph (3) under this heading, funds appropriated in this paragraph may be used for data systems and contract support to allow for the tracking of participant and performance information: *Provided further*, That notwithstanding sections 2021(e)(2) and 2021A(f)(2) of title 38, United States Code, such funds shall be available for expenditure pursuant to 31 U.S.C. 1553. (*Department of Labor Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 016-0164-0-1-702	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Jobs for Veterans State grants .....	178	180	180
0004 Transition Assistance Program .....	20	23	29
0005 Federal Management .....	44	43	43
0006 National Veterans' Training Institute .....	3	3	3
0007 Homeless veterans program .....	50	50	50
0900 Total new obligations, unexpired accounts .....	295	299	305
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	50	50	50
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	245	249	255
1900 Budget authority (total) .....	295	299	305
1930 Total budgetary resources available .....	295	299	305

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	102	121	91
3010 New obligations, unexpired accounts .....	295	299	305
3020 Outlays (gross) .....	-273	-329	-319
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	121	91	77
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	102	121	91
3200 Obligated balance, end of year .....	121	91	77

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	295	299	305
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	191	243	249
4011 Outlays from discretionary balances .....	82	86	70
4020 Outlays, gross (total) .....	273	329	319
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-245	-249	-255
4180 Budget authority, net (total) .....	50	50	50
4190 Outlays, net (total) .....	28	80	64

*Jobs for Veterans State grants.*—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans' Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority of service for veterans (38 U.S.C. 4215) within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, grants are allocated to States according to the statutory formula to support Disabled Veterans' Outreach Program (DVOP) specialists and Local Veterans' Employment Representative (LVERs) staff.

Disabled Veterans' Outreach Program specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOP specialists place maximum emphasis on assisting veterans with significant barriers to employment.

LVER staff (38 U.S.C. 4104) conduct outreach to employers, employer associations, and business groups to promote the advantages of hiring veterans. LVERs also facilitate employment, training, and placement ser-

vices provided to veterans under the applicable State employment service delivery system, including American Job Centers by educating all workforce partner staff on current employment initiatives and programs for veterans. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

**Transition Assistance Program (TAP).**—This program provides employment workshops for separating service members and their spouses to prepare these individuals for entry into the civilian workforce and job market. Its primary goal is to expedite and facilitate the transition from military to civilian employment. VETS coordinates with the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. TAP is being updated based on changes in the 2019 National Defense Authorization Act to provide service members with earlier access to tailored services. DOL responsibilities will include an initial employment planning workshop for all transitioning service members, a two day general employment workshop and a two-day Career Exploration and Planning Track (CEPT) workshop for transitioning service members interested in apprenticeship opportunities and technical careers. This program is conducted consistent with the TAP Interagency Memorandum of Understanding and associated Interagency governance.

**National Veterans' Training Institute (NVTI).**— NVTI develops and supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

**Homeless Veterans' Reintegration Program (HVRP).**—HVRP (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based organizations. Grant awards enable grantees to operate employment programs to assist homeless veterans reintegrate into meaningful employment and stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans. VETS partners with the Departments of Veterans Affairs and Housing and Urban Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

**Federal management.**—VETS' Federal management budget activity supports the Federal administration of 38 U.S.C. §§ 41, 42, and 43. This allows VETS to carry out programs and develop policies to provide employment and training opportunities designed to meet the needs of veterans (38 U.S.C. 4102–4115). It also enables VETS to discharge its responsibilities to administer, interpret, and help enforce the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA), 38 U.S.C. §§ 4301–4335, by providing technical assistance and investigating complaints received from veterans and service members who believe their employment and reemployment rights were violated. This budget activity enables VETS to investigate complaints received from veterans who claim a violation of their veterans' preference rights in Federal hiring pursuant to the Veterans' Employment Opportunities Act of 1998 (VEOA), 5 U.S.C. § 3330a. VETS' Federal Contractor Program (VETS-4212) is also supported under this activity, pursuant to 38 U.S.C. § 4212. These responsibilities involve the administration of a system whereby Federal contractors submit reports setting forth their affirmative action efforts to hire and retain eligible veterans.

Resources under the Federal management activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 U.S.C. 4102A(b)(5)), and the Homeless Veterans Reintegration (38 U.S.C. 2021). VETS personnel provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

Federal management supports the oversight and development of policies for TAP (10 U.S.C. 1144 and 38 U.S.C. 4113). Through outreach and education efforts, such as job fairs, VETS staff raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 U.S.C. 4110) also are supported through this budget activity. In addition, through fee collection, the federal management activity fund administrative processes associated with the HIRE VETS Medallion program.

**Object Classification** (in millions of dollars)

Identification code 016–0164–0–1–702	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	23	24	24
12.1 Civilian personnel benefits .....	7	7	8
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....	27	28	28
25.3 Other goods and services from Federal sources .....	9	9	9
25.7 Operation and maintenance of equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	225	227	231
99.0 Direct obligations .....	295	299	305
99.9 Total new obligations, unexpired accounts .....	295	299	305

**Employment Summary**

Identification code 016–0164–0–1–702	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	223	232	232

**HIRE VETS MEDALLION AWARD FUND**

The HIRE Vets Medallion Act (Division O of Public Law 115–31) establishes a program funded by employer application fees to recognize efforts by employers who recruit, employ, and retain veterans.

**INFORMATION TECHNOLOGY MODERNIZATION**

For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, **[\$23,269,000] \$37,000,000**, which shall be available through September 30, **[2020] 2021**. (Department of Labor Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 016–0162–0–1–505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Departmental Support Systems .....	3	10	5
0002 IT Infrastructure Modernization .....	22	20	32
0100 Direct program activities, subtotal .....	25	30	37
0900 Total new obligations, unexpired accounts .....	25	30	37
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	7	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	21	23	37
1930 Total budgetary resources available .....	32	30	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	19	27
3010 New obligations, unexpired accounts .....	25	30	37
3020 Outlays (gross) .....	–15	–22	–27
3050 Unpaid obligations, end of year .....	19	27	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	19	27

INFORMATION TECHNOLOGY MODERNIZATION—Continued  
Program and Financing—Continued

Identification code 016-0162-0-1-505	2018 actual	2019 est.	2020 est.
3200 Obligated balance, end of year .....	19	27	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	23	37
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	9	15
4011 Outlays from discretionary balances .....	12	13	12
4020 Outlays, gross (total) .....	15	22	27
4180 Budget authority, net (total) .....	21	23	37
4190 Outlays, net (total) .....	15	22	27

*Departmental Support Systems.*—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund supports enterprise-wide IT security enhancements that facilitate a centrally managed IT environment with increased risk mitigation parameters to protect the integrity of DOL data and network availability. These efforts are achieved through several new and ongoing projects mandated by executive and congressional directives.

*IT Infrastructure Modernization.*—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared environment for common data sources, and the underlying IT services to support it.

Object Classification (in millions of dollars)

Identification code 016-0162-0-1-505	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	5	4	7
25.7 Operation and maintenance of equipment .....	15	21	27
31.0 Equipment .....	5	5	3
99.9 Total new obligations, unexpired accounts .....	25	30	37

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 016-4601-0-4-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Financial and administrative services (includes Core Financial) .....	298	170	146
0802 Field services .....	42	43	43
0804 Human resources services .....	33	36	36
0805 Telecommunications .....	26		
0806 Non-DOL Reimbursables .....		1	1
0808 Information technology services .....		188	212
0900 Total new obligations, unexpired accounts .....	399	438	438
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	29	15
1011 Unobligated balance transfer from other acct [047-0616] .....		4	
1012 Unobligated balance transfers between expired and unexpired accounts .....	3	3	
1021 Recoveries of prior year unpaid obligations .....	16	8	8
1050 Unobligated balance (total) .....	26	44	23
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	411	409	429
1701 Change in uncollected payments, Federal sources .....	-9		
1750 Spending auth from offsetting collections, disc (total) .....	402	409	429
1900 Budget authority (total) .....	402	409	429
1930 Total budgetary resources available .....	428	453	452

1941	2018 actual	2019 est.	2020 est.
Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year .....	29	15	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	215	168	183
3010 New obligations, unexpired accounts .....	399	438	438
3020 Outlays (gross) .....	-430	-415	-412
3040 Recoveries of prior year unpaid obligations, unexpired .....	-16	-8	-8
3050 Unpaid obligations, end of year .....	168	183	201
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-10	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	205	167	182
3200 Obligated balance, end of year .....	167	182	200

4000	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....	402	409	429
Outlays, gross:			
4010 Outlays from new discretionary authority .....		328	301
4011 Outlays from discretionary balances .....	430	87	111
4020 Outlays, gross (total) .....	430	415	412
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-411	-409	-429
4040 Offsets against gross budget authority and outlays (total) .....	-411	-409	-429
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	9		
4060 Additional offsets against budget authority only (total) .....	9		
4080 Outlays, net (discretionary) .....	19	6	-17
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	19	6	-17

*Financial and Administrative Services.*—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space, property and supplies, printing and reproduction, and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

*Information Technology Services.*—Provides a program of centralized services for information technology (IT) at DOL and funds the operations and maintenance of IT at the Department. The activity also funds IT modernization, which includes consolidating, integrating, and updating the IT infrastructure to include DOL legacy systems and applications; building cloud-based and mobile capabilities; implementing a DOL-wide data strategy and analytics program; and enhancing the security of IT infrastructure.

*Field Services.*—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

*Human Resources Services.*—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

*Non-DOL Reimbursements.*—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided.

This income is credited to and merged with other income received by the Working Capital Fund.

**Financing.**—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

**Object Classification** (in millions of dollars)

Identification code 016-4601-0-4-505	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	80	101	102
11.3 Other than full-time permanent .....	1	.....	.....
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	83	103	104
12.1 Civilian personnel benefits .....	34	42	42
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	11	13	13
23.3 Communications, utilities, and miscellaneous charges .....	32	33	33
25.1 Advisory and assistance services .....	42	50	50
25.2 Other services from non-Federal sources .....	36	18	18
25.3 Other goods and services from Federal sources .....	20	17	17
25.4 Operation and maintenance of facilities .....	17	17	17
25.7 Operation and maintenance of equipment .....	112	112	124
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	10	30	17
99.9 Total new obligations, unexpired accounts .....	399	438	438

**Employment Summary**

Identification code 016-4601-0-4-505	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	758	766	766

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
016-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	.....	1	1
016-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	19	17	17
016-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Legislative proposal, subject to PAYGO .....	.....	.....	1
General Fund Offsetting receipts from the public .....	19	18	19
<b>Intragovernmental payments:</b>			
016-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	2	.....	.....
General Fund Intragovernmental payments .....	2	.....	.....

**GENERAL PROVISIONS**

**GENERAL PROVISIONS**

SEC. 101. None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between a program, project, or activity, but no such program, project, or activity shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 103. In accordance with Executive Order 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

SEC. 104. Except as otherwise provided in this section, none of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 (29 U.S.C. 2916a) may be used for any purpose other than competitive grants for training individuals [who are older than 16 years of age and are not currently enrolled in school within a local educational agency] in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training.

SEC. 105. None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs.

(TRANSFER OF FUNDS)

SEC. 106. (a) Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees: *Provided*, That this section shall not apply to section 171 of the WIOA.

(b) Notwithstanding section 102, the Secretary may transfer not more than 0.5 percent of each discretionary appropriation made available to the Employment and Training Administration by this Act to "Program Administration" in order to carry out program integrity activities [relating to] that lead to a reduction in improper payments or prevent the unauthorized use of funds in any of the programs or activities that are funded under any such discretionary appropriations: *Provided*, That notwithstanding section 102 and the preceding proviso, the Secretary may transfer not more than 0.5 percent of funds made available in paragraphs (1) and (2) of the "Office of Job Corps" account to paragraph (3) of such account to carry out program integrity activities that lead to a reduction in improper payments or prevent the unauthorized use of funds in [related to] the Job Corps program: *Provided further*, That funds transferred under the authority provided by this subsection shall be available for obligation through September 30, [2020]2021.

(TRANSFER OF FUNDS)

SEC. 107. (a) The Secretary may reserve not more than 0.75 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, [2020]2021: *Provided*, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Job Corps", "Community Service Employment for Older Americans", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", "Office of Disability Employment Policy", funding made available to the "Bureau of International Labor Affairs" and "Women's Bureau" within the "Departmental Management, Salaries and Expenses" account, and "Veterans Employment and Training".

SEC. 108. (a) Section 7 of the Fair Labor Standards Act of 1938 (29 U.S.C. 207) shall be applied as if the following text is part of such section:

"(s)(1) The provisions of this section shall not apply for a period of 2 years after the occurrence of a major disaster to any employee—

"(A) employed to adjust or evaluate claims resulting from or relating to such major disaster, by an employer not engaged, directly or through an affiliate, in

underwriting, selling, or marketing property, casualty, or liability insurance policies or contracts;

"(B) who receives from such employer on average weekly compensation of not less than \$591.00 per week or any minimum weekly amount established by the Secretary, whichever is greater, for the number of weeks such employee is engaged in any of the activities described in subparagraph (C); and

"(C) whose duties include any of the following:

"(i) interviewing insured individuals, individuals who suffered injuries or other damages or losses arising from or relating to a disaster, witnesses, or physicians;

"(ii) inspecting property damage or reviewing factual information to prepare damage estimates;

"(iii) evaluating and making recommendations regarding coverage or compensability of claims or determining liability or value aspects of claims;

"(iv) negotiating settlements; or

"(v) making recommendations regarding litigation.

"(2) The exemption in this subsection shall not affect the exemption provided by section 13(a)(1).

"(3) For purposes of this subsection—

"(A) the term "major disaster" means any disaster or catastrophe declared or designated by any State or Federal agency or department;

"(B) the term "employee employed to adjust or evaluate claims resulting from or relating to such major disaster" means an individual who timely secured or secures a license required by applicable law to engage in and perform the activities described in clauses (i) through (v) of paragraph (1)(C) relating to a major disaster, and is employed by an employer that maintains worker compensation insurance coverage or protection for its employees, if required by applicable law, and withholds applicable Federal, State, and local income and payroll taxes from the wages, salaries and any benefits of such employees; and

"(C) the term "affiliate" means a company that, by reason of ownership or control of 25 percent or more of the outstanding shares of any class of voting securities of one or more companies, directly or indirectly, controls, is controlled by, or is under common control with, another company."

(b) This section shall be effective on the date of enactment of this Act.

**[RESCISSION] CANCELLATION**

SEC. 109. Of the funds made available under the heading "Employment and Training Administration—Training and Employment Services" in division **[H] B** of Public Law **[115–141] 115–245**, **[\$53,000,000] \$86,142,000** is **[rescinded] hereby permanently cancelled**, to be derived from the amount made available in paragraph (2)(A) under such heading for the period October 1, **[2018] 2019**, through September 30, **[2019] 2020**.

SEC. 110. (a) **FLEXIBILITY WITH RESPECT TO THE CROSSING OF H-2B NONIMMIGRANTS WORKING IN THE SEAFOOD INDUSTRY.**—

(1) **IN GENERAL.**—Subject to paragraph (2), if a petition for H-2B nonimmigrants filed by an employer in the seafood industry is granted, the employer may bring the nonimmigrants described in the petition into the United States at any time during the 120-day period beginning on the start date for which the employer is seeking the services of the nonimmigrants without filing another petition.

(2) **REQUIREMENTS FOR CROSSINGS AFTER 90TH DAY.**—An employer in the seafood industry may not bring H-2B nonimmigrants into the United States after the date that is 90 days after the start date for which the employer is seeking the services of the nonimmigrants unless the employer—

(A) completes a new assessment of the local labor market by—

(i) listing job orders in local newspapers on 2 separate Sundays; and

(ii) posting the job opportunity on the appropriate Department of Labor Electronic Job Registry and at the employer's place of employment; and

(B) offers the job to an equally or better qualified United States worker who—

(i) applies for the job; and

(ii) will be available at the time and place of need.

(3) **EXEMPTION FROM RULES WITH RESPECT TO STAGGERING.**—The Secretary of Labor shall not consider an employer in the seafood industry who brings H-2B nonimmigrants into the United States during the 120-day period specified in paragraph (1) to be staggering the date of need in violation of section 655.20(d) of title 20, Code of Federal Regulations, or any other applicable provision of law.

(b) **H-2B NONIMMIGRANTS DEFINED.**—In this section, the term "H-2B nonimmigrants" means aliens admitted to the United States pursuant to section 101(a)(15)(H)(ii)(B) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(B)).

SEC. 111. *The Office of Workers' Compensation Programs' treatment suites and any program information prepared by the Office of Workers' Compensation Programs for treatment suites shall be exempt from disclosure under section 552(b)(3) of title 5, U.S. Code.*

**[SEC. 111.** The determination of prevailing wage for the purposes of the H-2B program shall be the greater of—(1) the actual wage level paid by the employer to other employees with similar experience and qualifications for such position in the same location; or (2) the prevailing wage level for the occupational classification of the position in the geographic area in which the H-2B nonimmigrant will be employed, based on the best information available at the time of filing the petition. In the determination of prevailing wage for the purposes of the H-2B program, the Secretary shall accept private wage surveys even in instances where Occupational Employment Statistics survey data are available unless the Secretary determines that the methodology and data in the provided survey are not statistically supported.**]**

**[SEC. 112.** None of the funds in this Act shall be used to enforce the definition of corresponding employment found in 20 CFR 655.5 or the three-fourths guarantee rule definition found in 20 CFR 655.20, or any references thereto. Further, for the purpose of regulating admission of temporary workers under the H-2B program, the definition of temporary need shall be that provided in 8 CFR 214.2(h)(6)(ii)(B).**]**

SEC. **[113] 112.** Notwithstanding any other provision of law, the Secretary may furnish through grants, cooperative agreements, contracts, and other arrangements, up to \$2,000,000 of excess personal property to apprenticeship programs for the purpose of training apprentices in those programs.

SEC. 113. *Funds made available in prior Acts under the heading "Department of Labor—Employment and Training Administration—State Unemployment Insurance and Employment Service Operations" for fiscal years 2015 through 2019 for automation acquisitions that are being carried out through consortia of States shall be available for expenditure for six fiscal years after the final fiscal year that such funds are available to incur new obligations.*

**[SEC. 114.** The proviso at the end of paragraph (1) under the heading "Department of Labor—Employment and Training Administration—State Unemployment Insurance and Employment Service Operations" in title I of division G of Public Law 113–235 is amended by striking "six" and inserting "seven".**]**

SEC. **[115] 114.** (a) The Act entitled "An Act to create a Department of Labor", approved March 4, 1913 (37 Stat. 736, chapter 141) shall be applied as if the following text is part of such Act:

"(a) **IN GENERAL.**—The Secretary of Labor is authorized to employ law enforcement officers or special agents to—

"(1) provide protection for the Secretary of Labor during the workday of the Secretary and during any activity that is preliminary or postliminary to the performance of official duties by the Secretary;

"(2) provide protection, incidental to the protection provided to the Secretary, to a member of the immediate family of the Secretary who is participating in an activity or event relating to the official duties of the Secretary;

"(3) provide continuous protection to the Secretary (including during periods not described in paragraph (1)) and to the members of the immediate family of the Secretary if there is a unique and articulable threat of physical harm, in accordance with guidelines established by the Secretary; and

"(4) provide protection to the Deputy Secretary of Labor or another senior officer representing the Secretary of Labor at a public event if there is a unique and articulable threat of physical harm, in accordance with guidelines established by the Secretary.

"(b) **AUTHORITIES.**—The Secretary of Labor may authorize a law enforcement officer or special agent employed under subsection (a), for the purpose of performing the duties authorized under subsection (a), to—

"(1) carry firearms;

"(2) make arrests without a warrant for any offense against the United States committed in the presence of such officer or special agent;

"(3) perform protective intelligence work, including identifying and mitigating potential threats and conducting advance work to review security matters relating to sites and events;

"(4) coordinate with local law enforcement agencies; and

"(5) initiate criminal and other investigations into potential threats to the security of the Secretary, in coordination with the Inspector General of the Department of Labor.

"(c) **COMPLIANCE WITH GUIDELINES.**—A law enforcement officer or special agent employed under subsection (a) shall exercise any authority provided under this section in accordance with any—

"(1) guidelines issued by the Attorney General; and

"(2) guidelines prescribed by the Secretary of Labor."

(b) This section shall be effective on the date of enactment of this Act.

SEC. **[116] 115.** The Secretary is authorized to dispose of or divest, by any means the Secretary determines appropriate, including an agreement or partnership to construct a new Job Corps center, all or a portion of the real property on which the Treasure Island Job Corps Center is situated. Any sale or other disposition will not be subject to any requirement of any Federal law or regulation relating to the dispos-

ition of Federal real property, including but not limited to subchapter III of chapter 5 of title 40 of the United States Code and subchapter V of chapter 119 of title 42 of the United States Code. The net proceeds of such a sale shall be transferred to the Secretary, which shall be available until expended to carry out the Job Corps Program.

**SEC. 117.** (a) The paragraph under the heading "Working Capital Fund" in the Department of Labor Appropriations Act, 1958, Public Law 85–67, 71 Stat. 210, as amended, is further amended by striking all of the text that appears after "for expenses necessary for the maintenance and operation of" and inserting "a comprehensive program of centralized services which the Secretary of Labor may prescribe and deem appropriate and advantageous to provide on a reimbursable basis: *Provided*, That such Working Capital Fund may receive advances and reimbursements from funds available to bureaus, offices, and agencies for which such centralized services are performed at rates which will return in full all expenses of operation, including reserves for accrued annual leave, workers' compensation, depreciation of capitalized equipment and amortization of human resources software and systems (either acquired or donated): *Provided further*, That, through September 30, 2019, the Secretary of Labor may transfer an amount not to exceed \$3,000,000 from unobligated balances in the Department's salaries and expenses accounts to the Working Capital Fund, to be merged with the Working Capital Fund and used for the acquisition of capital equipment and the improvement of financial management, information technology, infrastructure technology investment activities related to support systems and modernization, and other support systems, and to remain available until expended: *Provided further*, That the Secretary of Labor may transfer to the Working Capital Fund, to remain available for obligation for five fiscal years after the fiscal year of such transfer, annually an amount not to exceed \$9,000,000 from unobligated balances in the Department's salaries and expenses accounts made available in this Act and hereafter, and annually an amount not to exceed \$9,000,000 from unobligated balances in the Department's discretionary grants accounts made available in this Act and hereafter, for the acquisition of capital equipment and the improvement of financial management, information technology, infrastructure technology investment activities related to support systems and modernization, and other support systems: *Provided further*, That none of the funds transferred pursuant to the preceding proviso shall be available unless the Chief Information Officer of the Department of Labor has submitted a plan, approved by the Office of Management and Budget, describing the amounts to be transferred by account, the planned use of funds, including descriptions of projects, project status, including any scheduled delays and cost overruns, financial expenditures, planned activities, and expected benefits, to the Committees on Appropriations of the House of Representatives and the Senate by July 31 of the calendar year prior to the fiscal year in which the transfer will occur: *Provided further*, That the Working Capital Fund may receive reimbursements from entities or persons for use of Departmental facilities, including associated utilities and security services, and such reimbursements shall be credited to and merged with the Working Capital Fund: *Provided further*, That pursuant to section 11319 of title 40, United States Code, the Secretary shall ensure that the Department's Chief Information Officer shall, at a minimum, be a principal advisor to the Secretary and a member on any board or governance structure of the Department responsible for advising and setting Department-wide information technology budgets: *Provided further*, That none of the funds available for information technology modernization under this section or under the heading "IT Modernization" shall be used for information technology modernization projects unless an experienced project manager, employed by the Department of Labor, is assigned oversight responsibility, including but not limited to, ensuring such projects are completed within established timeframes and budgets."

(b) The following provisions are repealed:

(1) The heading "Working Capital Fund" and the paragraph thereunder in Public Law 91–204, title I, 84 Stat. 26 (1970); and

(2) The heading "Working Capital Fund" and the paragraph thereunder in the Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1994, Public Law 103–112, title I, 107 Stat. 1088 (1993).】

*SEC. 116. Notwithstanding section 102, the Secretary may transfer not to exceed 5 percent from the appropriations for salaries and expenses available to any Department of Labor component agency in this Act to fund agency-specific IT modernization projects to the Department of Labor's Working Capital Fund established by title of Public Law 85–67, as amended: Provided, That, in addition, the Secretary may transfer up to \$2,500,000 from amounts made available in this Act under the headings "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Wage and Hour Division", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", and funding made available for Legal Services in the "Departmental Management, Salaries and*

*Expenses" to such Fund: Provided further, That any amounts transferred pursuant to this section shall be available for obligation without regard to fiscal year limitation, and shall be available to fund IT modernization projects: Provided further, That such transferred funds shall be available for obligation only if, prior to September 30, 2020, the Department's Chief Information Officer submits a plan, approved by the Office of Management and Budget, describing the amounts to be transferred by appropriation, the planned use of funds, including a description of the agency-specific IT modernization projects, planned activities, and expected benefits, to the Committees on Appropriations of the House of Representatives and Senate 15 days in advance of any transfer: Provided further, That such plan shall include the status of any previously approved agency-specific IT modernization projects, including financial expenditures, any delays and cost overruns or underruns.*

*SEC. 117. Notwithstanding any other provision of law, the Administrator of GSA may make a Job Corps center facility available for competitive public sale upon the Secretary's declaration that the property is excess to the needs of the Job Corps program.*

*SEC. 118. Notwithstanding section 144(a)(1) of the WIOA, the Secretary shall prioritize the enrollment of applicants who are at least 20 years old into the Job Corps program.*

*SEC. 119. Notwithstanding the Federal Assets Sale and Transfer Act of 2016 (Public Law 114–287), the proceeds from the sale of any Job Corps facility under such Act shall be transferred to the Secretary pursuant to the requirements of section 158(g) of the WIOA.*

#### 【(RESCISSION)】

**SEC. 118.** (a) That of the unobligated funds available under section 286(s)(2) of the Immigration and Nationality Act (8 U.S.C. 1356(s)(2)), \$8,250,000 are permanently rescinded.

(b) For an additional amount for "Employment and Training Administration—State Unemployment Insurance and Employment Service Operations", \$8,250,000, to remain available until September 30, 2019, for processing applications for foreign labor certifications, including activities related to wage determinations and associated tasks, submitted by employers to employ nonimmigrants as described in section 6(d)(2) of the Joint Resolution entitled "A Joint Resolution to approve the "Covenant To Establish a Commonwealth of the Northern Mariana Islands in a Political Union with the United States of America", and for other purposes", as amended by section 3 of the Northern Mariana Islands U.S. Workforce Act of 2018 (Public Law 115–218).】

(Department of Labor Appropriations Act, 2019.)

## TITLE V—GENERAL PROVISIONS

### (TRANSFER OF FUNDS)

**SEC. 501.** The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

**SEC. 502.** No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

**SEC. 503.** (a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111–148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111–148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative and State-local relationships for presentation to any State or local legislature or legislative body itself, or participation by an agency or officer of a State, local or tribal government in policy-making and administrative processes within the executive branch of that government.

(c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses".

SEC. 505. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

- (1) the percentage of the total costs of the program or project which will be financed with Federal money;
- (2) the dollar amount of Federal funds for the project or program; and
- (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 506. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 507. (a) The limitations established in the preceding section shall not apply to an abortion—

- (1) if the pregnancy is the result of an act of rape or incest; or
- (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 508. (a) None of the funds made available in this Act may be used for—

- (1) the creation of a human embryo or embryos for research purposes; or
- (2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 509. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 510. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 511. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

- (1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and
- (2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 512. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act. ]

SEC. [513] 512. None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

SEC. 514. (a) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2019, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) reorganizes or renames offices;
- (6) reorganizes programs or activities; or
- (7) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming.

(b) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2019, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds in excess of \$500,000 or 10 percent, whichever is less, that—

- (1) augments existing programs, projects (including construction projects), or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or
- (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming. ]

SEC. [515] 513. (a) None of the funds made available in this Act may be used to request that a candidate for appointment to a Federal scientific advisory committee disclose the political affiliation or voting history of the candidate or the position that the candidate holds with respect to political issues not directly related to and necessary for the work of the committee involved.

(b) None of the funds made available in this Act may be used to disseminate information that is deliberately false or misleading.

SEC. 516. Within 45 days of enactment of this Act, each department and related agency funded through this Act shall submit an operating plan that details at the program, project, and activity level any funding allocations for fiscal year 2019 that are different than those specified in this Act, the accompanying detailed table in the

joint explanatory statement accompanying this Act or the fiscal year 2019 budget request.】

【SEC. 517. The Secretaries of Labor, Health and Human Services, and Education shall each prepare and submit to the Committees on Appropriations of the House of Representatives and the Senate a report on the number and amount of contracts, grants, and cooperative agreements exceeding \$500,000 in value and awarded by the Department on a non-competitive basis during each quarter of fiscal year 2019, but not to include grants awarded on a formula basis or directed by law. Such report shall include the name of the contractor or grantee, the amount of funding, the governmental purpose, including a justification for issuing the award on a non-competitive basis. Such report shall be transmitted to the Committees within 30 days after the end of the quarter for which the report is submitted.】

SEC. 【518】514. None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

SEC. 【519】515. None of the funds appropriated by this Act may be used by the Commissioner of Social Security or the Social Security Administration to pay the compensation of employees of the Social Security Administration to administer Social Security benefit payments, under any agreement between the United States and Mexico establishing totalization arrangements between the social security system established by title II of the Social Security Act and the social security system of Mexico, which would not otherwise be payable but for such agreement.

SEC. 【520】516. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 【521】517. None of the funds made available under this or any other Act, or any prior Appropriations Act, may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, allied organizations, or successors.

【SEC. 522. For purposes of carrying out Executive Order 13589, Office of Management and Budget Memorandum M-12-12 dated May 11, 2012, and requirements contained in the annual appropriations bills relating to conference attendance and expenditures:

(1) the operating divisions of HHS shall be considered independent agencies; and

(2) attendance at and support for scientific conferences shall be tabulated separately from and not included in agency totals.】

【SEC. 523. Federal agencies funded under this Act shall clearly state within the text, audio, or video used for advertising or educational purposes, including emails or Internet postings, that the communication is printed, published, or produced and disseminated at U.S. taxpayer expense. The funds used by a Federal agency to carry out this requirement shall be derived from amounts made available to the agency for advertising or other communications regarding the programs and activities of the agency.】

SEC. 【524】518. (a) Federal agencies may use Federal discretionary funds that are made available in this Act to carry out up to 10 Performance Partnership Pilots. Such Pilots shall be governed by the provisions of section 526 of division H of Public Law 113-76, except that in carrying out such Pilots section 526 shall be applied by substituting 【"Fiscal Year 2019"】 "Fiscal Year 2020" for "Fiscal Year 2014" in the title of subsection (b) and by substituting 【"September 30, 2023"】 "September 30, 2024" for "September 30, 2018" each place it appears: *Provided*, That such pilots shall include communities that have experienced civil unrest.

(b) In addition, Federal agencies may use Federal discretionary funds that are made available in this Act to participate in Performance Partnership Pilots that are being carried out pursuant to the authority provided by section 526 of division H of Public Law 113-76, section 524 of division G of Public Law 113-235, section 525 of division H of Public Law 114-113, section 525 of division H of Public Law 115-31, and section 525 of division H of Public Law 115-141.

(c) Pilot sites selected under authorities in this Act and prior appropriations Acts may be granted by relevant agencies up to an additional 5 years to operate under such authorities.

【SEC. 525. Not later than 30 days after the end of each calendar quarter, beginning with the first month of fiscal year 2019, the Departments of Labor, Health and Human Services and Education and the Social Security Administration shall provide the Committees on Appropriations of the House of Representatives and Senate a report

on the status of balances of appropriations: *Provided*, That for balances that are unobligated and uncommitted, committed, and obligated but unexpended, the monthly reports shall separately identify the amounts attributable to each source year of appropriation (beginning with fiscal year 2012, or, to the extent feasible, earlier fiscal years) from which balances were derived.】

【(RESCISSION)】

【SEC. 526. Of the unobligated balances available in the "National Service Trust" established in section 102 of the National and Community Service Trust Act of 1993, \$150,000,000 are hereby rescinded.】

【(RESCISSION)】

【SEC. 527. Of any available amounts appropriated under section 2104(a)(22) of the Social Security Act (42 U.S.C. 1397dd) that are unobligated as of September 25, 2019, \$2,061,000,000 are hereby rescinded as of such date.】

SEC. 【528】519. 【Amounts】 *Of the amounts【deposited in】 appropriated to the Child Enrollment Contingency Fund 【prior to the beginning of】 for fiscal year 【2019】 2020 under section 2104(n)(2) of the Social Security Act and the income derived from investment of those funds pursuant to section 2104(n)(2)(C) of that Act, 【shall not be available for obligation in this fiscal year】 \$4,396,000,000 are permanently cancelled as of September 30, 2020.*

SEC. 520. *Of the unobligated balances made available by section 301(b)(3) of Public Law 114-10, \$5,185,187,000 are hereby permanently cancelled.*

SEC. 521. *Of the unobligated balances made available by section 2104(f) of the Social Security Act that are no longer available for the purposes described in such section, \$113,576,000 are hereby permanently cancelled.*

SEC. 522. *Of the unobligated balances made available for purposes of carrying out section 2105(a)(3) of the Social Security Act, \$10,052,881,000 are hereby permanently cancelled.*

SEC. 【529】523. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to purchase sterile needles or syringes for the hypodermic injection of any illegal drug: *Provided*, That such limitation does not apply to the use of funds for elements of a program other than making such purchases if the relevant State or local health department, in consultation with the Centers for Disease Control and Prevention, determines that the State or local jurisdiction, as applicable, is experiencing, or is at risk for, a significant increase in hepatitis infections or an HIV outbreak due to injection drug use, and such program is operating in accordance with State and local law.

SEC. 524. *EVALUATION FUNDING FLEXIBILITY.*

(a) *This section applies to:*

(1) *the Office of the Assistant Secretary for Planning and Evaluation within the Office of the Secretary and the Administration for Children and Families in the Department of Health and Human Services; and*

(2) *the Chief Evaluation Office and the statistical-related cooperative and inter-agency agreements and contracting activities of the Bureau of Labor Statistics in the Department of Labor.*

(b) *Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the functions and organizations identified in subsection (a) for research, evaluation, or statistical purposes shall be available for obligation through September 30, 2024. When an office referenced in subsection (a) receives research and evaluation funding from multiple appropriations, such offices may use a single Treasury account for such activities, with funding advanced on a reimbursable basis.*

(c) *Amounts referenced in subsection (b) that are unexpended at the time of completion of a contract, grant, or cooperative agreement may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that account.*

SEC. 525.

(a) *Notwithstanding any other provision of law, none of the discretionary funds (as defined by section 250(c)(7) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 USC 900(c)(7)) that are made available by this Act may be made available either directly, through a State (including through managed care contracts with a State), or through any other means, to a prohibited entity.*

(b) *PROHIBITED ENTITY.—The term "prohibited entity" means an entity, including its affiliates, subsidiaries, successors, and clinics—*

(1) *that, as of the date of enactment of this Act—*

(A) *is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code;*

(B) *is an essential community provider described in section 156.235 of title 45, Code of Federal Regulations (as in effect on the date of enactment of this Act), that is primarily engaged in family planning services, reproductive health, and related medical care; and*

(C) performs, or provides any funds to any other entity that performs, abortions, other than an abortion—

(i) if the pregnancy is the result of an act of rape or incest; or  
(ii) in the case where a woman suffers from a physical disorder, physical injury, or physical illness that would, as certified by a physician, place the woman in danger of death unless an abortion is performed, including a life-endangering physical condition caused by or arising from the pregnancy itself; and

(2) for which the total amount of Federal grants to such entity, including grants to any affiliates, subsidiaries, or clinics, under title X of the Public Health Service Act in fiscal year 2018 exceeded \$23,000,000.

(c)

(1) END OF PROHIBITION. —The definition in subsection (b) shall cease to apply to an entity if such entity certifies that it, including its affiliates, subsidiaries, successors, and clinics, will not perform, and will not provide any funds to any other entity that performs, an abortion as defined in subsection (b)(1)(C).

(2) REPAYMENT. —The Secretary of Health and Human Services shall seek repayment of any Federal assistance received by any entity that had made a certification described in paragraph (1) and subsequently violated the terms of such certification.

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

# DEPARTMENT OF STATE AND OTHER INTERNATIONAL PROGRAMS

The Department of State, the U.S. Agency for International Development (USAID) and other International Programs promote the national security and economic prosperity of the United States by advancing diplomacy, security, and fair economic competition. The FY 2020 Budget provides the necessary resources for the Department of State and other international programs to advance the Nation's strategic objectives, including those outlined in the National Security Strategy of the United States. The Budget supports new tools to allow the United States to respond flexibly to international challenges and invests in new capabilities to defend American interests and values across the security, trade, and information domains. The FY 2020 Budget also restores fiscal discipline by eliminating ineffective programs and pursuing organizational reforms to increase agency effectiveness, as well as increasing burden-sharing to rebalance U.S. contributions to international organizations.

## ADMINISTRATION OF FOREIGN AFFAIRS

### Federal Funds

#### H&L FRAUD PREVENTION AND DETECTION FEE

#### Program and Financing (in millions of dollars)

Identification code 019-5515-0-2-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	64	66	70
0900 Total new obligations, unexpired accounts (object class 41.0) .....	64	66	70
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	145	133	114
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	146	133	114
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	51	47	48
1203 Appropriation (previously unavailable) .....	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-3	-3	.....
1260 Appropriations, mandatory (total) .....	51	47	51
1900 Budget authority (total) .....	51	47	51
1930 Total budgetary resources available .....	197	180	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	133	114	95
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	40	50
3010 New obligations, unexpired accounts .....	64	66	70
3020 Outlays (gross) .....	-32	-56	-81
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	40	50	39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	40	50
3200 Obligated balance, end of year .....	40	50	39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	.....	.....	31
Mandatory:			
4090 Budget authority, gross .....	51	47	51
Outlays, gross:			
4100 Outlays from new mandatory authority .....	27	27	27
4101 Outlays from mandatory balances .....	5	29	23
4110 Outlays, gross (total) .....	32	56	50
4180 Budget authority, net (total) .....	51	47	51
4190 Outlays, net (total) .....	32	56	81

## DIPLOMATIC PROGRAMS

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, \$8,420,227,000, to remain available until September 30, 2021, and of which up to \$3,779,824,000 shall remain available until expended for Worldwide Security Protection: Provided, That funds made available under this heading shall be allocated in accordance with paragraphs (1) through (4) as follows:

(1) **HUMAN RESOURCES.**—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, \$2,860,428,000 of which up to \$508,129,000 is for Worldwide Security Protection.

(2) **OVERSEAS PROGRAMS.**—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, \$1,524,583,000.

(3) **DIPLOMATIC POLICY AND SUPPORT.**—For necessary expenses for the functional bureaus of the Department of State, including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, \$742,843,000.

(4) **SECURITY PROGRAMS.**—For necessary expenses for security activities, \$3,292,373,000 of which up to \$3,271,695,000 is for Worldwide Security Protection.

(5) **FEES AND PAYMENTS COLLECTED.**—In addition to amounts otherwise made available under this heading—

(A) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and fees from educational advising and counseling and exchange visitor programs; and

(B) not to exceed \$15,000, which shall be derived from reimbursements, surcharges, and fees for use of Blair House facilities.

(C) in fiscal year 2020, the Secretary of State is authorized to charge fees for the performance of appropriate museum visitor and outreach services in the public exhibition and related space utilized by the United States Diplomacy Center, including for programs and conference activities, museum shop, and food services: Provided, That fees collected shall be credited to this account as a recovery of costs of operating the United States Diplomacy Center and shall be available until expended.

(6) **TRANSFER, REPROGRAMMING, AND OTHER MATTERS.**—

(A) Notwithstanding any other provision of this Act, funds may be reprogrammed within and between paragraphs (1) through (4) under this heading subject to section 7010 of this Act.

(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized.

(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to section 1108(g) of title 31, United States Code, for the field examination of programs and activities in the United States funded from any account contained in this title.

(E) Of the amount made available under this heading, not to exceed \$1,000,000 may be used to make grants to carry out the activities of the Cultural Antiquities Task Force.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 019-0113-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Human Resources .....	2,186	2,117	2,221
0002 Overseas Programs .....	910	902	850
0003 Overseas Programs - Public Diplomacy .....	406	373	364
0005 Diplomatic Policy and Support .....	847	815	765
0006 Security .....	23	22	22

DIPLOMATIC PROGRAMS—Continued  
Program and Financing—Continued

Identification code 019-0113-0-1-153	2018 actual	2019 est.	2020 est.
0007 Security - Worldwide Security Protection .....	1,444	1,227	3,400
0008 Overseas Contingency Operations .....	3,166	2,742	.....
0799 Total direct obligations .....	8,982	8,198	7,622
0801 Diplomatic and Consular Programs (Reimbursable) .....	4,585	1,526	1,408
0900 Total new obligations, unexpired accounts .....	13,567	9,724	9,030
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,514	3,787	2,755
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,514	.....	.....
1010 Unobligated balance transfer to other accts [019-5713] .....	.....	-1,534	.....
1011 Unobligated balance transfer from other acct [019-0524] .....	99	.....	.....
1011 Unobligated balance transfer from other acct [019-0535] .....	16	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	133	.....	.....
1021 Recoveries of prior year unpaid obligations .....	234	.....	.....
1033 Recoveries of prior year paid obligations .....	9	.....	.....
1050 Unobligated balance (total) .....	4,005	2,253	2,755
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,344	5,744	8,420
1100 Appropriation - OCO .....	2,376	2,976	.....
1121 Appropriations transferred from other acct [097-0100] .....	20	.....	.....
1160 Appropriation, discretionary (total) .....	8,740	8,720	8,420
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5,058	1,506	1,506
1701 Change in uncollected payments, Federal sources .....	26	.....	.....
1710 Spending authority from offsetting collections transferred to other accounts [019-0535] .....	-251	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	4,833	1,506	1,506
1900 Budget authority (total) .....	13,573	10,226	9,926
1930 Total budgetary resources available .....	17,578	12,479	12,681
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-224	.....	.....
1941 Unexpired unobligated balance, end of year .....	3,787	2,755	3,651
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,175	5,584	4,839
3010 New obligations, unexpired accounts .....	13,567	9,724	9,030
3011 Obligations ("upward adjustments"), expired accounts .....	34	.....	.....
3020 Outlays (gross) .....	-12,759	-10,469	-10,169
3040 Recoveries of prior year unpaid obligations, unexpired .....	-234	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-199	.....	.....
3050 Unpaid obligations, end of year .....	5,584	4,839	3,700
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-35	-58	-58
3070 Change in uncollected pymts, Fed sources, unexpired .....	-26	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-58	-58	-58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,140	5,526	4,781
3200 Obligated balance, end of year .....	5,526	4,781	3,642
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13,573	10,226	9,926
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9,201	6,095	6,047
4011 Outlays from discretionary balances .....	3,558	4,374	4,122
4020 Outlays, gross (total) .....	12,759	10,469	10,169
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,193	-1,258	-1,258
4033 Non-Federal sources .....	-3,897	-248	-248
4040 Offsets against gross budget authority and outlays (total) .....	-5,090	-1,506	-1,506
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-26	.....	.....
4052 Offsetting collections credited to expired accounts .....	23	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	9	.....	.....
4060 Additional offsets against budget authority only (total) .....	6	.....	.....
4070 Budget authority, net (discretionary) .....	8,489	8,720	8,420

4080 Outlays, net (discretionary) .....	7,669	8,963	8,663
4180 Budget authority, net (total) .....	8,489	8,720	8,420
4190 Outlays, net (total) .....	7,669	8,963	8,663

Diplomatic Programs (DP), previously called Diplomatic and Consular Programs (D&CP), is financed by this appropriation, fees for services, and reimbursements from other agencies (including for administrative and other services provided by the Department of State). As in previous years, two-year funding is requested for this account, except for funds requested for Worldwide Security Protection (WSP), which are to remain available until expended. DP is the Department of State's primary operating account and funds a broad range of activities from policy setting, planning and design, to implementation and operations and maintenance. The 2020 request includes base funding for the State Department operations in Iraq, Afghanistan, Pakistan, and other High Threat Posts (HTP).

Funds are requested in the following categories:

*Human Resources.*—This category supports American salaries at overseas and domestic United States diplomatic missions, including Department of State employees carrying out security protection activities. Professional development and training is a continuous process by which the Department ensures that its professionals have the skills, experience and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional area and language skills needed for the conduct of foreign relations in the Department and abroad. This activity also supports the management, recruitment, and performance evaluation of Foreign and Civil Service employees (including efforts to attract a diverse applicant pool) and locally employed staff.

*Overseas Programs.*—This category provides funding for the operational programs of all the regional bureaus of the Department of State, which are responsible for managing United States foreign policy through bilateral and multilateral relationships. Funds made available for 2020 will support 275 United States embassies, consulates, and other diplomatic posts worldwide. Resources for this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of U.S. foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. This activity also encompasses medical programs for the Department of State, the Foreign Service and other U.S. Government departments and agencies overseas. Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave and separation of the Department's personnel and dependents is also included in this activity.

*Diplomatic Policy and Support.*—This category supports the operational programs of the functional bureaus of the Department of State, which includes providing overall policy direction, coordination, and program management among United States missions abroad in pursuit of regional and global foreign policy objectives, including the hosting of various international conferences and meetings in the United States and abroad. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes. The information management activity in DP includes resources that are used for the creation, collection, processing, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Components of the information management activity include: telecommunications, information security, information system services, pouch, mail and publishing services for both unclassified and classified information. These activities include domestic and overseas execution of Department programs, such as budget and financial management, contracting and procurement, domestic facilities and vehicles, and rental payments to GSA.

*Security Programs.*—This category provides for the operation of security programs, including for Worldwide Security Protection (WSP) and the

Bureau of Diplomatic Security, to protect diplomatic personnel, overseas diplomatic missions, residences, domestic facilities and information. The salaries paid to Department employees who carry out the security protection function worldwide are included in the Human Resources program activity. This activity identifies resources that are used in meeting security and counterterrorism responsibilities, both foreign and domestic. Programs covered in this activity include but are not limited to: security operations; engineering services, which are related to the technical defense of U.S. Government personnel and establishments abroad against electronic and physical attack; homeland security related activities; protection of Department personnel and foreign dignitaries; and physical security operations.

**Object Classification** (in millions of dollars)

Identification code 019-0113-0-1-153	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2,125	2,010	2,002
11.3 Other than full-time permanent .....	162	147	148
11.5 Other personnel compensation .....	212	201	200
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	2,504	2,363	2,355
12.1 Civilian personnel benefits .....	710	809	655
13.0 Benefits for former personnel .....	5	5	4
21.0 Travel and transportation of persons .....	189	132	102
22.0 Transportation of things .....	55	39	30
23.1 Rental payments to GSA .....	259	264	204
23.3 Communications, utilities, and miscellaneous charges .....	367	257	199
24.0 Printing and reproduction .....	157	110	85
25.1 Advisory and assistance services .....	50	38	29
25.2 Other services from non-Federal sources .....	270	89	69
25.3 Other goods and services from Federal sources .....	122	104	80
25.3 Purchases of goods and services from Government accounts (ICASS) .....	3,171	3,203	3,203
25.4 Operation and maintenance of facilities .....	320	224	173
25.6 Medical care .....	14	10	8
25.7 Operation and maintenance of equipment .....	11	8	6
26.0 Supplies and materials .....	259	179	140
31.0 Equipment .....	341	239	184
41.0 Grants, subsidies, and contributions .....	167	117	90
42.0 Insurance claims and indemnities .....	11	8	6
99.0 Direct obligations .....	8,982	8,198	7,622
99.0 Reimbursable obligations .....	4,585	1,526	1,408
99.9 Total new obligations, unexpired accounts .....	13,567	9,724	9,030

**Employment Summary**

Identification code 019-0113-0-1-153	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15,430	15,759	15,697
2001 Reimbursable civilian full-time equivalent employment .....	6,031	890	890

**WORLDWIDE SECURITY PROTECTION**

Section 7070 establishes a new Worldwide Security Protection (WSP) account in the Treasury, separate from the Diplomatic Programs (DP) account, and authorizes the transfer of unobligated WSP balances into the new account no later than October 1, 2020. Establishing a separate account would facilitate administration of WSP funds and increase the transparency of WSP expenditures. Requesting the creation of the new account in FY 2020 will provide the Department with adequate time to prepare for implementation of the account split at the beginning of the following fiscal year. The intention is to request WSP funding in the separate account as part of the FY 2021 President's Budget.

**CONSULAR AND BORDER SECURITY PROGRAMS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019-5713-0-2-153	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			

**Receipts:**

<b>Current law:</b>			
1130 Consular and Border Security Programs, Expedited Passport Fees .....			63
1130 Consular and Border Security Programs, Passport Security Surcharge .....	1,053		1,288
1130 Consular and Border Security Programs, Western Hemisphere Travel Surcharge .....	428		483
1130 Consular and Border Security Programs, Machine-Readable Visa Fee .....	1,865		1,819
1130 Consular and Border Security Programs, Machine-Readable Visa Fee .....	3		16
1130 Consular and Border Security Programs, Immigrant Visa Security Surcharge .....	61		146
1130 Consular and Border Security Programs, Affidavit of Support Fee .....	39		21
1130 Consular and Border Security Programs, Diversity Immigrant Lottery Fee .....	16		30
1199 Total current law receipts .....	3,465		3,866
1999 Total receipts .....	3,465		3,866
2000 Total: Balances and receipts .....	3,465		3,866
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Consular and Border Security Programs .....	-3,465		-3,866
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 019-5713-0-2-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Consular and Border Security Programs (Direct) .....		3,795	3,629
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....			1,204
1011 Unobligated balance transfer from other acct [019-0113] .....		1,534	
1050 Unobligated balance (total) .....		1,534	1,204
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....		3,465	3,866
1930 Total budgetary resources available .....		4,999	5,070
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....		1,204	1,441
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			1,024
3010 New obligations, unexpired accounts .....		3,795	3,629
3020 Outlays (gross) .....		-2,771	-3,786
3050 Unpaid obligations, end of year .....		1,024	867
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			1,024
3200 Obligated balance, end of year .....		1,024	867
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....		3,465	3,866
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		2,771	3,092
4011 Outlays from discretionary balances .....			694
4020 Outlays, gross (total) .....		2,771	3,786
4180 Budget authority, net (total) .....		3,465	3,866
4190 Outlays, net (total) .....		2,771	3,786

The Consular and Border Security Programs account (CBSP) uses revenue from consular fees and surcharges to fund programs and activities, consistent with applicable statutory authorities. These fees and surcharges include Machine Readable Visa (MRV) fees, Western Hemisphere Travel Initiative (WHTI) surcharges, Passport Security surcharges, Immigrant Visa Security surcharges, Diversity Visa Lottery fees, and Affidavit of Support fees. In FY 2017 and prior years, these fees were credited in the Diplomatic and Consular Programs account as spending authority from offsetting collections. The Consolidated Appropriations Act of FY 2017 enacted a new standalone account to display fee-funded consular programs independent of the larger Diplomatic Programs (formerly Diplomatic and Consular

CONSULAR AND BORDER SECURITY PROGRAMS—Continued

Programs) account that began in FY 2019. This change enables the Department to provide greater transparency and accountability in financial reporting on these fees and surcharges, facilitate budget estimates for these fees and surcharges, and more easily make the information available to users of budget information and other stakeholders.

Section 7024(i) of the general provisions provides a new permanent extension of the Western Hemisphere Travel Initiative Surcharge. Section 7049 provides legislative language expanding the authorities of the Border Crossing Card and Passport Security Surcharge. It also includes a transfer authority between the CBSP account and accounts under the heading Administration of Foreign Affairs. Finally, Section 7050 provides the ability to use the Fraud Prevention and Detection fees for the prevention and detection of all visa fraud.

These consular fees and surcharges support an array of activities that are vital to ensuring strong U.S. border security, including routine and emergency services for U.S. citizens overseas; the issuance of secure passports to U.S. citizens at 29 passport facilities and a partner network of more than 8,000 passport acceptance facilities domestically; the adjudication of visa applications; the prevention and detection of fraud involving visas and passports; and the Department's information technology programs. Together with the Department of Homeland Security, the Department of Justice, the Intelligence Community, Department of the Treasury, and the law enforcement community, the Department has built a layered visa and border security screening system that rests on training, technological advances, biometric innovations and expanded data sharing.

Object Classification (in millions of dollars)

Identification code 019-5713-0-2-153	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....		737	737
11.9 Total personnel compensation .....		737	737
12.1 Civilian personnel benefits .....		100	100
25.2 Other services from non-Federal sources .....		2,958	2,792
99.9 Total new obligations, unexpired accounts .....		3,795	3,629

Employment Summary

Identification code 019-5713-0-2-153	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....		5,251	5,251

INTERNATIONAL INFORMATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 019-0201-0-1-154	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The appropriation for overseas information and cultural programs previously provided to the U.S. Information Agency and designed to inform and influence foreign audiences has been administered by the Department of State and funded from Diplomatic Programs and other accounts within the Department of State since 2000, except those activities as are associated with international broadcasting functions which are funded from the U.S. Agency for Global Media account. This schedule reflects the spend-out of prior year funds.

CONFLICT STABILIZATION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 019-0121-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Conflict Stabilization Operations .....	2		
0100 Direct program activities, subtotal .....	2		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	13	11	11
1930 Total budgetary resources available .....	13	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		7	2
3010 New obligations, unexpired accounts .....	2		
3020 Outlays (gross) .....	-3	-5	-2
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	7	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	7	2
3200 Obligated balance, end of year .....	7	2	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	3	5	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3	5	2

For FY 2020, Conflict Stabilization Operations funding is requested under the Diplomatic Programs account. This schedule reflects a spend out of prior year funds.

CAPITAL INVESTMENT FUND

For necessary expenses of the Capital Investment Fund, \$140,000,000, to remain available until expended, as authorized.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019-0120-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Investment Fund .....	125	103	140
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	22	2	2
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	24	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	103	103	140
1930 Total budgetary resources available .....	127	105	142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	121	109
3010 New obligations, unexpired accounts .....	125	103	140
3020 Outlays (gross) .....	-8	-115	-153
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	121	109	96

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	121	109
3200	Obligated balance, end of year .....	121	109	96
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	103	103	140
Outlays, gross:				
4010	Outlays from new discretionary authority .....	5	52	70
4011	Outlays from discretionary balances .....	3	63	83
4020	Outlays, gross (total) .....	8	115	153
4180	Budget authority, net (total) .....	103	103	140
4190	Outlays, net (total) .....	8	115	153

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of State. It is designed to ensure the efficient management, coordination, operation, and utilization of such resources. The fund is used to make investments that improve operational performance in a continually evolving technological environment.

**Object Classification** (in millions of dollars)

Identification code 019-0120-0-1-153	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.2	Other services from non-Federal sources .....	105	103	140
31.0	Equipment .....	20	.....	.....
99.9	Total new obligations, unexpired accounts .....	125	103	140

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General, \$88,829,000, to remain available until September 30, 2021, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (Public Law 96-465), as it relates to post inspections.

In addition, for the Special Inspector General for Afghanistan Reconstruction (SIGAR) for reconstruction oversight, \$52,900,000, to remain available until September 30, 2021: Provided, That notwithstanding any other provision of law, any employee of SIGAR who completes at least 12 months of continuous service after the date of enactment of this Act or who is employed on the date on which SIGAR terminates, whichever occurs first, shall acquire competitive status for appointment to any position in the competitive service for which the employee possesses the required qualifications.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019-0529-0-1-153	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0002	Office of the Inspector General (Direct) .....	77	77	142
0005	Office of the Inspector General - OCO .....	16	16	.....
0006	Office of the Inspector General (SIGAR) - OCO .....	55	53	.....
0799	Total direct obligations .....	148	146	142
0801	Office of the Inspector General (Reimbursable) .....	5	5	5
0900	Total new obligations, unexpired accounts .....	153	151	147
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	16	13	13
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation - Office of the Inspector General (base) .....	77	78	142
1100	Appropriation - Office of the Inspector General (OCO) .....	13	13	.....
1100	Appropriation - SIGAR (OCO) .....	55	55	.....
1160	Appropriation, discretionary (total) .....	145	146	142
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	6	5	5
1900	Budget authority (total) .....	151	151	147
1930	Total budgetary resources available .....	167	164	160
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....

1941	Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	65	70	64
3010	New obligations, unexpired accounts .....	153	151	147
3011	Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020	Outlays (gross) .....	-138	-157	-171
3041	Recoveries of prior year unpaid obligations, expired .....	-11	.....	.....
3050	Unpaid obligations, end of year .....	70	64	40
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	65	70	64
3200	Obligated balance, end of year .....	70	64	40

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	151	151	147
Outlays, gross:				
4010	Outlays from new discretionary authority .....	92	117	111
4011	Outlays from discretionary balances .....	46	40	60
4020	Outlays, gross (total) .....	138	157	171
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources: .....	-6	-5	-5
4180	Budget authority, net (total) .....	145	146	142
4190	Outlays, net (total) .....	132	152	166

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: improve the economy, efficiency, and effectiveness of the Department's operations; detect and prevent fraud, waste, abuse, and mismanagement; and evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's Inspector General also serves as Inspector General of the U.S. Agency for Global Media, as mandated by law. In addition, this appropriation funds the Office of the Special Inspector General for Afghanistan Reconstruction (SIGAR). SIGAR provides independent oversight of programs and operations funded with amounts made available for the reconstruction of Afghanistan. SIGAR performs this oversight through audits, field inspections and investigations of potential waste, fraud and abuse in coordination with, and receiving the cooperation of, the Inspectors General of the Department of State, Department of Defense and the United States Agency for International Development.

**Object Classification** (in millions of dollars)

Identification code 019-0529-0-1-153	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	34	34	35
11.5	Other personnel compensation .....	3	3	3
11.9	Total personnel compensation .....	37	37	38
12.1	Civilian personnel benefits .....	10	10	10
21.0	Travel and transportation of persons .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	4	4	4
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	25	26	26
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	66	63	58
99.0	Direct obligations .....	148	146	142
99.0	Reimbursable obligations .....	5	5	5
99.9	Total new obligations, unexpired accounts .....	153	151	147

OFFICE OF INSPECTOR GENERAL—Continued  
**Employment Summary**

Identification code 019–0529–0–1–153	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	302	302	309

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

For expenses of educational and cultural exchange programs, as authorized, \$309,626,000, to remain available until expended: Provided, That fees or other payments received from, or in connection with, English teaching, educational advising and counseling programs, and exchange visitor programs as authorized may be credited to this account, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0209–0–1–154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Educational and Cultural Exchange Programs (Direct) .....	726	689	310
0100 Subtotal, Direct Obligations .....	726	689	310
0880 Educational and Cultural Exchange Programs (Reimbursable) .....	13	13	13
0900 Total new obligations, unexpired accounts .....	739	702	323
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	87	64	20
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	87		
1011 Unobligated balance transfer from other acct [072–1037] .....	42		
1021 Recoveries of prior year unpaid obligations .....	8	8	8
1050 Unobligated balance (total) .....	137	72	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	646	646	310
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [519–5365] .....	4		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	4	4
1900 Budget authority (total) .....	666	650	314
1930 Total budgetary resources available .....	803	722	342
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	64	20	19
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	668	716	718
3010 New obligations, unexpired accounts .....	739	702	323
3020 Outlays (gross) .....	-674	-692	-617
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8	-8	-8
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	716	718	416
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	668	716	718
3200 Obligated balance, end of year .....	716	718	416
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	662	650	314
Outlays, gross:			
4010 Outlays from new discretionary authority .....	275	327	159
4011 Outlays from discretionary balances .....	393	365	458
4020 Outlays, gross (total) .....	668	692	617
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	-4	-4
4033 Non-Federal sources .....	-7		
4040 Offsets against gross budget authority and outlays (total) .....	-16	-4	-4
4070 Budget authority, net (discretionary) .....	646	646	310
4080 Outlays, net (discretionary) .....	652	688	613
Mandatory:			
4090 Budget authority, gross .....	4		

Outlays, gross:				
4100	Outlays from new mandatory authority .....	4		
4101	Outlays from mandatory balances .....	2		
4110	Outlays, gross (total) .....	6		
4180	Budget authority, net (total) .....	650	646	310
4190	Outlays, net (total) .....	658	688	613

This appropriation provides funding to the Bureau of Educational and Cultural Affairs (ECA) for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, security policy objectives and to advance U.S. influence overseas. These goals are addressed by building increased mutual understanding through international exchange and professional development activities. Beginning in FY 2020, resources in this appropriation also support the conduct of a select group of international information programs of the United States previously reflected in the Diplomatic Programs appropriation (American Spaces, U.S. Speakers and TechCamp programs). Additional programs under this appropriation include:

**Academic Programs.**—Includes the J. William Fulbright Educational Exchange Program, which provides U.S. and foreign students, teachers, scholars, and administrators the opportunity to pursue degrees, teach, and conduct research in foreign and U.S. universities. Academic Programs also include English language programming and educational advising services. English language programs help train and develop foreign teachers of English, send Americans overseas to teach English and train instructors, teach English to disadvantaged students, and provide language learning materials and resources. Educational advising programming supports outreach to foreign students across the world to assist in the process of applying to U.S. universities. Additional academic programs such as the Benjamin A. Gilman International Scholarship Program provide opportunities for American participants with financial needs to study abroad.

**Professional/Cultural Exchanges.**—Includes exchanges linking U.S. and foreign participants in multiple fields directly tied to U.S. foreign policy goals. The International Visitor Leadership Program brings thousands of foreign leaders to the United States for intensive short-term professional exchanges to meet and confer with their American counterparts, gaining first-hand knowledge about U.S. society, culture and democratic values. Citizen Exchanges Program participants partner with an extensive network of organizations and experts from across the United States to conduct professional fellowships as well as arts, sports, and high school exchange programs focused on current and future leaders.

**Youth Leadership Initiatives.**—Includes programs targeting young private, public, and civil sector leaders in Africa, Southeast Asia and the Americas.

**Program and Performance.**—Provides resources and opportunities to ECA exchange program alumni to build on participant exchange experience, developing growing and active alumni association networks. Funds also support on-going program performance measurement and independent evaluations.

**Exchanges Support.**—Includes all domestic staff, overseas Regional Language Officers and support costs managed by ECA; as well as government-wide exchanges coordination.

**Object Classification** (in millions of dollars)

Identification code 019–0209–0–1–154	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	38	38	39
12.1	Civilian personnel benefits .....	13	13	13
21.0	Travel and transportation of persons .....	31	27	12
23.3	Communications, utilities, and miscellaneous charges .....	2	2	1
25.2	Other services from non-Federal sources .....	46	41	18
26.0	Supplies and materials .....	2	2	1
41.0	Grants, subsidies, and contributions .....	594	566	226
99.0	Direct obligations .....	726	689	310
99.0	Reimbursable obligations .....	13	13	13

99.9	Total new obligations, unexpired accounts .....	739	702	323
<b>Employment Summary</b>				
Identification code 019-0209-0-1-154		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	397	401	473

**EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE**

For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292 et seq.), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, \$715,970,000, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation expenses as authorized: Provided, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies. In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, \$916,660,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019-0535-0-1-153		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Capital Security Construction .....	688	754	803
0002	Compound Security .....	47	52	55
0003	Repair and Construction .....	309	339	360
0004	Operations .....	788	864	919
0005	Supplemental Appropriations .....	36	40	42
0006	OCO .....	717	786	837
0100	Total direct program .....	2,585	2,835	3,016
0799	Total direct obligations .....	2,585	2,835	3,016
0801	Asset Management .....	103	113	119
0802	Other Reimbursable .....	301	330	351
0803	Capital Security Cost Sharing .....	745	817	869
0899	Total reimbursable obligations .....	1,149	1,260	1,339
0900	Total new obligations, unexpired accounts .....	3,734	4,095	4,355
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7,248	7,593	7,663
1010	Unobligated balance transfer to other accts [019-0113] .....	-16		
1021	Recoveries of prior year unpaid obligations .....	152	250	250
1033	Recoveries of prior year paid obligations .....	15		
1050	Unobligated balance (total) .....	7,399	7,843	7,913
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	2,314	2,315	1,633
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (cash) - Capital Security Cost Sharing .....	1,341	1,335	1,338
1700	Offsetting collections (cash) - Other Collections .....		215	212
1700	Offsetting collections (cash) - Asset Mgt .....		50	50
1701	Change in uncollected payments, Federal sources .....	22		
1711	Spending authority from offsetting collections transferred from other accounts [019-0113] .....	251		
1750	Spending auth from offsetting collections, disc (total) .....	1,614	1,600	1,600
1900	Budget authority (total) .....	3,928	3,915	3,233
1930	Total budgetary resources available .....	11,327	11,758	11,146
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7,593	7,663	6,791
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6,349	7,053	7,525
3010	New obligations, unexpired accounts .....	3,734	4,095	4,355
3020	Outlays (gross) .....	-2,878	-3,373	-3,466
3040	Recoveries of prior year unpaid obligations, unexpired .....	-152	-250	-250
3050	Unpaid obligations, end of year .....	7,053	7,525	8,164

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-26	-26
3070	Change in uncollected pymts, Fed sources, unexpired .....	-22		
3090	Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6,345	7,027	7,499
3200	Obligated balance, end of year .....	7,027	7,499	8,138

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	3,928	3,915	3,233
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,228	1,168	1,058
4011	Outlays from discretionary balances .....	1,650	2,205	2,408
4020	Outlays, gross (total) .....	2,878	3,373	3,466
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1,219	-1,550	-1,550
4033	Non-Federal sources .....	-137	-50	-50
4040	Offsets against gross budget authority and outlays (total) ....	-1,356	-1,600	-1,600
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-22		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	15		
4060	Additional offsets against budget authority only (total) .....	-7		
4070	Budget authority, net (discretionary) .....	2,565	2,315	1,633
4080	Outlays, net (discretionary) .....	1,522	1,773	1,866
4180	Budget authority, net (total) .....	2,565	2,315	1,633
4190	Outlays, net (total) .....	1,522	1,773	1,866

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations (OBO) is to provide U.S. diplomatic and consular missions abroad with safe, secure, and functional facilities that support the foreign policy objectives of the United States. Specific program functions include: providing guidance to posts, the regional bureaus and other foreign affairs agencies on the renovation, construction and operations of facilities; providing expert space and facilities planning; managing and overseeing the design, construction, and renovation of mission facilities; incorporating security features into overseas and domestic facilities; and ensuring the security of facilities during construction or renovation. In addition, OBO is responsible for establishing standards and policies for overseas housing, developing, in conjunction with posts, effective maintenance programs for post facilities, and monitoring and reporting the inventory of maintenance and backlog requirements. OBO also ensures the safety of the building occupants through the development of fire/life safety and accessibility compliance programs.

In 2020, the Department will manage the sixteenth year of the Capital Security Cost Sharing (CSCS) Program. This program has two main goals: accelerating the construction of new safe, secure and functional embassy and consulate compounds, and providing an incentive for all United States Government agencies to right-size their presence overseas through the use of cost-sharing. The \$2.2 billion program is consistent with the Benghazi Accountability Review Board's recommended funding level for the construction of new secure facilities overseas. Funding sources include ESCM regular base, interagency contributions, and consular fee revenues.

The 2020 request continues the Maintenance Cost Sharing (MCS) Program to provide critically needed renovation, construction and repair of overseas facilities, to provide adequate working conditions for multi-agency staffs, and protect the U.S. taxpayer investment. MCS and CSCS are funded within a combined \$2.2 billion program in FY 2020.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government and/or improve the safety of mission personnel. In lieu of appropriated resources, OBO uses asset sales proceeds for long-term capital investment to minimize the growth of U.S. Government leasehold requirements (through property acquisition) or to address a high-priority need for new construction or fit-out of leased space.

## EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE—Continued

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings owned or leased by the Department of State overseas.

## Object Classification (in millions of dollars)

Identification code 019-0535-0-1-153	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	100	101	101
11.3 Other than full-time permanent .....	45	46	46
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	149	151	151
12.1 Civilian personnel benefits .....	62	68	72
21.0 Travel and transportation of persons .....	28	31	33
22.0 Transportation of objects .....	7	8	8
23.2 Rental payments to other entities .....	24	26	28
23.3 Communications, utilities, and miscellaneous charges .....	336	368	392
25.2 Other services from non-Federal sources .....	294	322	343
25.4 Operation and maintenance of facilities .....	332	364	387
26.0 Supplies and materials .....	50	55	58
31.0 Equipment .....	60	66	70
32.0 Land and structures .....	1,182	1,309	1,403
41.0 Grants, subsidies, and contributions .....	61	67	71
99.0 Direct obligations .....	2,585	2,835	3,016
99.0 Reimbursable obligations .....	1,149	1,260	1,339
99.9 Total new obligations, unexpired accounts .....	3,734	4,095	4,355

## Employment Summary

Identification code 019-0535-0-1-153	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,011	1,013	1,013

## REPRESENTATION EXPENSES

For representation expenses as authorized, \$7,212,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 019-0545-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Representation Expenses .....	9	8	7
0900 Total new obligations, unexpired accounts (object class 26.0) .....	9	8	7
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8	8	7
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	1		
1900 Budget authority (total) .....	9	8	7
1930 Total budgetary resources available .....	9	8	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 New obligations, unexpired accounts .....	9	8	7
3020 Outlays (gross) .....	-8	-8	-7
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	9	8	7
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	6	7	6
4011 Outlays from discretionary balances .....	2	1	1

4020 Outlays, gross (total) .....	8	8	7
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources: .....	-1		
4180 Budget authority, net (total) .....	8	8	7
4190 Outlays, net (total) .....	7	8	7

Funds are used for expenses incurred by, including to reimburse, in part, State Department personnel for official representation activities abroad.

## PROTECTION OF FOREIGN MISSIONS AND OFFICIALS

For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, \$25,890,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 019-0520-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Missions and officials to United Nations .....	92	28	23
0002 Missions and officials in United States .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 25.2) .....	95	31	26
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	4
1012 Unobligated balance transfers between expired and unexpired accounts .....	65		
1050 Unobligated balance (total) .....	68	4	4
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	31	31	26
1930 Total budgetary resources available .....	99	35	30
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	47	27
3010 New obligations, unexpired accounts .....	95	31	26
3020 Outlays (gross) .....	-67	-51	-30
3050 Unpaid obligations, end of year .....	47	27	23
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	19	47	27
3200 Obligated balance, end of year .....	47	27	23
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	31	31	26
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		9	8
4011 Outlays from discretionary balances .....	67	42	22
4020 Outlays, gross (total) .....	67	51	30
4180 Budget authority, net (total) .....	31	31	26
4190 Outlays, net (total) .....	67	51	30

This appropriation provides for extraordinary protection of: 1) foreign missions and officials, including those accredited to the United Nations and other international organizations, and visiting foreign dignitaries (under certain circumstances) in New York; and 2) international organizations, foreign missions and officials, and visiting foreign dignitaries (under certain circumstances) throughout the United States. Funds may be used to reimburse state or local law enforcement authorities, contracts for private security firm services, or reimburse Federal agencies for extraordinary protective services. The Department is requesting continued authority to transfer expired balances from the Diplomatic Programs account to this account in

order to reduce accumulated arrears to state or local law enforcement entities.

**EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE**

For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, \$7,885,000, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account", subject to the same terms and conditions.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0522–0–1–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Emergencies in the Diplomatic and Consular Service .....	10	46	50
0700 Direct program activities, subtotal .....	10	46	50
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	183	273	237
1012 Unobligated balance transfers between expired/unexpired accounts [019–0113] .....	86		
1021 Recoveries of prior year unpaid obligations .....	5	2	2
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	275	275	239
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	283	283	247
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	273	237	197
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	21	23
3010 New obligations, unexpired accounts .....	10	46	50
3020 Outlays (gross) .....	–37	–42	–38
3040 Recoveries of prior year unpaid obligations, unexpired .....	–5	–2	–2
3050 Unpaid obligations, end of year .....	21	23	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	21	23
3200 Obligated balance, end of year .....	21	23	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	6	6
4011 Outlays from discretionary balances .....	30	36	32
4020 Outlays, gross (total) .....	37	42	38
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070 Budget authority, net (discretionary) .....	8	8	8
4080 Outlays, net (discretionary) .....	36	42	38
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	36	42	38

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

**Object Classification** (in millions of dollars)

Identification code 019–0522–0–1–153	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	6	42	46
25.2 Other services from non-Federal sources .....	4	4	4
99.9 Total new obligations, unexpired accounts .....	10	46	50

**BUYING POWER MAINTENANCE**

**Program and Financing** (in millions of dollars)

Identification code 019–0524–0–1–153	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	53	100	100
1010 Unobligated balance transfer to other accts [019–0113] .....	–99		
1012 Unobligated balance transfers between expired and unexpired accounts .....	146		
1050 Unobligated balance (total) .....	100	100	100
1930 Total budgetary resources available .....	100	100	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	100	100	100
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account is available to offset adverse exchange rate and overseas wage and price fluctuations unanticipated in the budget as authorized by section 24(b) of the State Department Basic Authorities Act of 1956 (22 U.S.C 2696(b)).

**PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN**

For necessary expenses to carry out the Taiwan Relations Act (Public Law 96–8), \$26,312,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0523–0–1–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to the American Institute in Taiwan (Direct) .....	32	32	26
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	32	32	26
1900 Budget authority (total) .....	32	32	26
1930 Total budgetary resources available .....	32	32	26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	28	29	15
3010 New obligations, unexpired accounts .....	32	32	26
3020 Outlays (gross) .....	–31	–46	–40
3050 Unpaid obligations, end of year .....	29	15	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	28	29	15
3200 Obligated balance, end of year .....	29	15	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	32	32	26
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	32	26
4011 Outlays from discretionary balances .....	10	14	14
4020 Outlays, gross (total) .....	31	46	40
4180 Budget authority, net (total) .....	32	32	26
4190 Outlays, net (total) .....	31	46	40

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN—Continued

The Taiwan Relations Act (Public Law 96–8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan (AIT). AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, and cultural and information exchange; facilitating military sales; providing consular related services for Americans and the people on Taiwan; and on behalf of the Department of State and various U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department contracts with AIT to conduct commercial, cultural, and other relations with the people of Taiwan. Consular related expenses for AIT are funded with fee revenue from the Consular and Border Security Program.

**Object Classification** (in millions of dollars)

Identification code 019–0523–0–1–153	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.8 Personnel compensation: Special personal services payments	23	23	21
12.1 Civilian personnel benefits	3	3	3
23.2 Rental payments to others	6	6	2
99.0 Direct obligations	32	26	
99.9 Total new obligations, unexpired accounts	32	32	26

PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

For payment to the Foreign Service Retirement and Disability Fund, as authorized, \$158,900,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0540–0–1–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Foreign Service Retirement and Disability Fund	414	414	414
0900 Total new obligations, unexpired accounts (object class 42.0)	414	414	414
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation	414	414	414
1930 Total budgetary resources available	414	414	414
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	414	414	414
3020 Outlays (gross)	–414	–414	–414
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	414	414	414
Outlays, gross:			
4100 Outlays from new mandatory authority	414	414	414
4180 Budget authority, net (total)	414	414	414
4190 Outlays, net (total)	414	414	414

The current appropriation finances any unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The 2020 permanent appropriation provides a supplemental payment to the fund for disbursements attributable to the Foreign Service Pension System; and unfunded interest along with liability from military service for the Foreign Service Retirement and Disability System. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions. The amount of the appropriation is determined by the annual evaluation of the Fund balance

derived from current statistical actuarial data, which includes inflationary cost-of-living adjustments.

FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019–5497–0–2–602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			13
<b>Receipts:</b>			
Current law:			
1140 Employing Agency Contributions, Foreign Service National Defined Contributions Retirement Fund	20	20	20
1140 Interest on Investments, Foreign Service National Defined Contributions Retirement Fund		1	1
1140 Employee Contributions, Foreign Service National Defined Contributions Retirement Fund, State	3	3	3
1198 Rounding adjustment	1		
1199 Total current law receipts	24	24	24
1999 Total receipts	24	24	24
2000 Total: Balances and receipts	24	24	37
<b>Appropriations:</b>			
Current law:			
2101 Foreign Service National Defined Contributions Retirement Fund	–24	–11	–11
5099 Balance, end of year		13	26

**Program and Financing** (in millions of dollars)

Identification code 019–5497–0–2–602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Retiree payments	12	9	9
0900 Total new obligations, unexpired accounts (object class 42.0)	12	9	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	24	26
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	24	11	11
1930 Total budgetary resources available	36	35	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	24	26	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	12	9	9
3020 Outlays (gross)	–12	–9	–9
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross	24	11	11
Outlays, gross:			
4100 Outlays from new mandatory authority	4	3	3
4101 Outlays from mandatory balances	8	6	6
4110 Outlays, gross (total)	12	9	9
4180 Budget authority, net (total)	24	11	11
4190 Outlays, net (total)	12	9	9
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	10	17	17
5001 Total investments, EOY: Federal securities: Par value	17	17	17

The Foreign Service National Defined Contributions Fund (FSNDCF) is an after-employment benefit plan for Locally Employed Staff (LE Staff) working for the Department of State and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute U.S. Government (USG)-funded contributions for end-of-service benefits for LE Staff in countries where U.S. missions have determined that participation in the local social security system (LSSS) is not in the public interest of the USG. The Department determines which countries are eligible to participate in the fund. Upon separation, payments under this Plan shall be made consist-

ent with the host country law, including any court order affecting payments to participants, unless decided otherwise by the Department.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 019-4519-0-4-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund Programs .....	629	658	693
0802 HR/Post Assignment Travel .....	343	355	351
0803 Medical Programs .....	29	28	28
0804 IT Programs .....	59	69	64
0805 Aviation Programs .....	347	327	332
0806 Office of Foreign Missions .....	17	26	22
0807 Special Issuance Passports .....	14	25	22
0812 International cooperative administrative support services (ICASS) .....	3,748	3,792	3,901
0900 Total new obligations, unexpired accounts .....	5,186	5,280	5,413
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,040	1,048	1,348
1021 Recoveries of prior year unpaid obligations .....	300	300	300
1033 Recoveries of prior year paid obligations .....	39		
1050 Unobligated balance (total) .....	1,379	1,348	1,648
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4,592	5,280	5,392
1701 Change in uncollected payments, Federal sources .....	263		
1750 Spending auth from offsetting collections, disc (total) .....	4,855	5,280	5,392
1930 Total budgetary resources available .....	6,234	6,628	7,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,048	1,348	1,627
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,867	1,986	1,686
3010 New obligations, unexpired accounts .....	5,186	5,280	5,413
3020 Outlays (gross) .....	-4,767	-5,280	-5,353
3040 Recoveries of prior year unpaid obligations, unexpired .....	-300	-300	-300
3050 Unpaid obligations, end of year .....	1,986	1,686	1,446
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-137	-400	-400
3070 Change in uncollected pymts, Fed sources, unexpired .....	-263		
3090 Uncollected pymts, Fed sources, end of year .....	-400	-400	-400
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,730	1,586	1,286
3200 Obligated balance, end of year .....	1,586	1,286	1,046
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,855	5,280	5,392
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,511	3,480	3,553
4011 Outlays from discretionary balances .....	1,256	1,800	1,800
4020 Outlays, gross (total) .....	4,767	5,280	5,353
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4,549	-5,205	-5,317
4033 Non-Federal sources .....	-82	-75	-75
4040 Offsets against gross budget authority and outlays (total) .....	-4,631	-5,280	-5,392
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-263		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	39		
4060 Additional offsets against budget authority only (total) .....	-224		
4080 Outlays, net (discretionary) .....	136		-39
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	136		-39

This fund, which is available without fiscal year limitations, is authorized by sections 13 and 23 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis certain administrative services, such as printing and reproduction, editorial material, motor

pool, operations and dispatch agencies operations, inter-agency cooperative administrative support services, acquisition services, information technology support, medical services, aviation services, special issuance passport services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under the authority of the Foreign Missions Act.

The International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998 using the Working Capital Fund. ICASS allows more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative budgets and defining service standards, as well as reviewing costs and vendor performance.

Object Classification (in millions of dollars)

Identification code 019-4519-0-4-153	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	153	153	153
11.3 Other than full-time permanent .....	118	118	118
11.5 Other personnel compensation .....	45	45	45
11.9 Total personnel compensation .....	316	316	316
12.1 Civilian personnel benefits .....	426	431	433
13.0 Benefits for former personnel .....	56	56	56
21.0 Travel and transportation of persons .....	133	137	136
22.0 Transportation of things .....	584	598	600
23.2 Rental payments to others .....	131	131	131
23.3 Communications, utilities, and miscellaneous charges .....	564	577	574
24.0 Printing and reproduction .....	17	22	19
25.2 Other services from non-Federal sources .....	2,337	2,387	2,526
26.0 Supplies and materials .....	294	275	275
31.0 Equipment .....	262	279	281
41.0 Grants, subsidies, and contributions .....	66	71	66
99.9 Total new obligations, unexpired accounts .....	5,186	5,280	5,413

Employment Summary

Identification code 019-4519-0-4-153	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	2,154	2,165	2,165

REPATRIATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, \$1,300,000, as authorized: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$5,563,619.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019-0601-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	2	2	2

**REPATRIATION LOANS PROGRAM ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 019-0601-0-1-153	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	1
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 019-0601-0-1-153	2018 actual	2019 est.	2020 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Repatriation Loans .....	2	2	2
<b>Direct loan subsidy (in percent):</b>			
132001 Repatriation Loans .....	53.26	40.45	41.34
132999 Weighted average subsidy rate .....	53.26	40.45	41.34
<b>Direct loan subsidy budget authority:</b>			
133001 Repatriation Loans .....	1	1	1
<b>Direct loan subsidy outlays:</b>			
134001 Repatriation Loans .....	1	1	1
<b>Direct loan reestimates:</b>			
135001 Repatriation Loans .....	-1	-1	.....

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans for this program. The subsidy amounts are estimated on a net present value basis. Administrative expenses for the program are funded with fee revenue from the Consular and Border Security Programs.

**REPATRIATION LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 019-4107-0-3-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	2	2	2
0742 Downward reestimates paid to receipt accounts .....	1	1	.....
0900 Total new obligations, unexpired accounts .....	3	3	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	.....	.....
1023 Unobligated balances applied to repay debt .....	-2	.....	.....
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	1	1	1
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	3	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund .....	.....	-1	-1
1825 Spending authority from offsetting collections applied to repay debt .....	-1	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	2	2	2
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	.....	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 New obligations, unexpired accounts .....	3	3	2

3020 Outlays (gross) .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	1	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	2
<b>Financing authority and disbursements, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	3	3
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	3	2	2
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Payments from program account .....	-2	-2	-2
4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) .....	-3	-3	-3
4170 Outlays, net (mandatory) .....	.....	-1	-1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	-1	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 019-4107-0-3-153	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	2	2	2
1150 Total direct loan obligations .....	2	2	2
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	6	6	7
1231 Disbursements: Direct loan disbursements .....	1	2	2
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1290 Outstanding, end of year .....	6	7	8

**Balance Sheet** (in millions of dollars)

Identification code 019-4107-0-3-153	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	.....	2
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	7	6
1405 Allowance for subsidy cost (-) .....	-3	-3
1499 Net present value of assets related to direct loans .....	4	3
1999 Total assets .....	4	5
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	.....	5
2104 Resources payable to Treasury .....	4	.....
2201 Non-Federal liabilities: Accounts payable .....	.....	.....
2999 Total liabilities .....	4	5
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	4	5

**Trust Funds**

**FOREIGN SERVICE RETIREMENT AND DISABILITY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019-8186-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	18,793	19,185	19,594
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	33	33	34
1140 Interest on Investments, Foreign Service Retirement and Disability Fund .....	547	557	568
1140 Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	357	365	371
1140 Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1
1140 Federal Contributions, Foreign Service Retirement and Disability Fund .....	414	414	414
1199 Total current law receipts .....	1,352	1,370	1,388

1999	Total receipts .....	1,352	1,370	1,388
2000	Total: Balances and receipts .....	20,145	20,555	20,982
	Appropriations:			
	Current law:			
2101	Foreign Service Retirement and Disability Fund .....	-1,352	-1,393	-1,393
2134	Foreign Service Retirement and Disability Fund .....	392	432	412
2199	Total current law appropriations .....	-960	-961	-981
2999	Total appropriations .....	-960	-961	-981
5099	Balance, end of year .....	19,185	19,594	20,001

**Program and Financing** (in millions of dollars)

Identification code 019-8186-0-7-602	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payments to beneficiaries .....	960	961	981
0900	Total new obligations, unexpired accounts (object class 42.0) .....	960	961	981
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	1,352	1,393	1,393
1234	Appropriations precluded from obligation .....	-392	-432	-412
1260	Appropriations, mandatory (total) .....	960	961	981
1930	Total budgetary resources available .....	960	961	981

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....	960	961	981
3020	Outlays (gross) .....	-960	-961	-981

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	960	961	981
Outlays, gross:				
4100	Outlays from new mandatory authority .....		961	981
4101	Outlays from mandatory balances .....	960		
4110	Outlays, gross (total) .....	960	961	981
4180	Budget authority, net (total) .....	960	961	981
4190	Outlays, net (total) .....	960	961	981

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	18,792	19,184	19,568
5001	Total investments, EOY: Federal securities: Par value .....	19,184	19,568	19,607

The Foreign Service Retirement and Disability Fund (FSRDF) was established in 1924 to provide pensions to retired and disabled members of the Foreign Service. The FSRDF's revenues consist of contributions from active participants and their U.S. Government agency employers; appropriations; and interest on investments. Monthly annuity payments are made to eligible retired employees or their survivors. The FSRDF includes the operations of two separate retirement systems—the Foreign Service Retirement and Disability System (FSRDS) and the Foreign Service Pension System (FSPS). This appropriation provides mandatory funding for the Foreign Service Retirement and Disability Fund (FSRDF) as prescribed in the Foreign Service Act of 1980 as authorized in Section(s) 821 and 822.

**Status of Funds** (in millions of dollars)

Identification code 019-8186-0-7-602	2018 actual	2019 est.	2020 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	18,793	19,185	19,594
0999	Total balance, start of year .....	18,793	19,185	19,594
Cash income during the year:				
Current law:				
Receipts:				
1110	Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....	33	33	34
1150	Interest on Investments, Foreign Service Retirement and Disability Fund .....	547	557	568
1160	Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....	357	365	371

1160	Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund .....	1	1	1
1160	Federal Contributions, Foreign Service Retirement and Disability Fund .....	414	414	414
1199	Income under present law .....	1,352	1,370	1,388
1999	Total cash income .....	1,352	1,370	1,388
Cash outgo during year:				
Current law:				
2100	Foreign Service Retirement and Disability Fund [014-05-8186-0] .....	-960	-961	-981
2199	Outgo under current law .....	-960	-961	-981
2999	Total cash outgo (-) .....	-960	-961	-981
Surplus or deficit:				
3110	Excluding interest .....	-155	-148	-161
3120	Interest .....	547	557	568
3199	Subtotal, surplus or deficit .....	392	409	407
3999	Total change in fund balance .....	392	409	407
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	1	26	394
4200	Foreign Service Retirement and Disability Fund .....	19,184	19,568	19,607
4999	Total balance, end of year .....	19,185	19,594	20,001

**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 019-8340-0-7-602	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
Receipts:				
Current law:				
1140	Foreign Service National Separation Liability Trust Fund .....	33	16	17
2000	Total: Balances and receipts .....	33	16	17
Appropriations:				
Current law:				
2101	Foreign Service National Separation Liability Trust Fund .....	-33	-16	-17
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 019-8340-0-7-602	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payments to Beneficiaries - Locally Engaged Staff .....	29	26	26
0900	Total new obligations, unexpired accounts (object class 42.0) .....	29	26	26

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	351	356	346
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	352	356	346
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	33	16	17
1930	Total budgetary resources available .....	385	372	363
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	356	346	337

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	5	5
3010	New obligations, unexpired accounts .....	29	26	26
3020	Outlays (gross) .....	-29	-26	-26
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	5	5
3200	Obligated balance, end of year .....	5	5	5

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	33	16	17
Outlays, gross:				
4100	Outlays from new mandatory authority .....	26	16	17

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND—Continued  
Program and Financing—Continued

Identification code 019–8340–0–7–602	2018 actual	2019 est.	2020 est.
4101 Outlays from mandatory balances .....	3	10	9
4110 Outlays, gross (total) .....	29	26	26
4180 Budget authority, net (total) .....	33	16	17
4190 Outlays, net (total) .....	29	26	26

This fund is maintained to pay accrued separation liability payments for eligible Foreign Service National (FSN), FSN Personal Service Contractors (PSC), and FSN Personal Service Agreements (PSA) employees of the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102–138 (22 U.S.C. 4012a), is maintained by annual government contributions from the Department's Diplomatic Programs (DP) account (including Program Direct, Public Diplomacy and Worldwide Security Protection resources), Consular Affairs (CA) Consular and Border Security Program (CBSP) fees, the International Narcotics Control and Law Enforcement (INCLE) account, and International Cooperative Administrative Support Services (ICASS) working capital fund that includes both State's DP and other agencies shares. Eligible local staff include former United States Agency for International Development (USAID) ICASS employees who were consolidated into the Department. The Department of State funds and manages its own FSNSLTF separate and apart from any separation pay that may be provided by other agencies to non-State Locally Employed Staff (LE Staff).

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019–9971–0–7–153	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			13
Receipts:			
Current law:			
1130 Contributions, Educational and Cultural Exchange, USIA .....		1	1
1130 Unconditional Gift Fund .....	12	12	12
1130 Deposits, Conditional Gift Fund .....	1	1	1
1140 Earnings on Investments, Unconditional Gift Fund .....		1	1
1140 Interest, Miscellaneous Trust Funds, USIA .....		1	1
1198 Rounding adjustment .....	1		
1199 Total current law receipts .....	14	16	16
1999 Total receipts .....	14	16	16
2000 Total: Balances and receipts .....	14	16	29
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds .....	–14	–3	–3
5099 Balance, end of year .....		13	26

Program and Financing (in millions of dollars)

Identification code 019–9971–0–7–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Conditional gift fund .....	13	8	5
0900 Total new obligations, unexpired accounts (object class 33.0) .....	13	8	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	39	34
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	38	39	34
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	14	3	3
1930 Total budgetary resources available .....	52	42	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	39	34	32

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	10	13
3010 New obligations, unexpired accounts .....	13	8	5
3020 Outlays (gross) .....	–11	–5	–5
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3050 Unpaid obligations, end of year .....	10	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	10	13
3200 Obligated balance, end of year .....	10	13	13

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	14	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9	1	1
4101 Outlays from mandatory balances .....	2	4	4
4110 Outlays, gross (total) .....	11	5	5
4180 Budget authority, net (total) .....	14	3	3
4190 Outlays, net (total) .....	11	5	5

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	24	24	24
5001 Total investments, EOY: Federal securities: Par value .....	24	24	24

*Gift funds.*—The Department has authority to accept gifts for use in carrying out the Department's functions, pursuant to statutes including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish, and maintain the Department's diplomatic reception rooms and embassy properties overseas.

INTERNATIONAL ORGANIZATIONS AND CONFERENCES

Federal Funds

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

*For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress, \$1,013,693,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019–1126–0–1–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Contributions to International Organizations .....	1,467	1,371	1,014
0002 Contributions to International Organizations - OCO .....		96	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,467	1,467	1,014
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,467	1,371	1,014
1100 Appropriation - OCO .....		96	
1160 Appropriation, discretionary (total) .....	1,467	1,467	1,014
1930 Total budgetary resources available .....	1,473	1,473	1,020
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	281	400	454
3010 New obligations, unexpired accounts .....	1,467	1,467	1,014
3011 Obligations ("upward adjustments"), expired accounts .....	10		
3020 Outlays (gross) .....	–1,333	–1,413	–1,075
3041 Recoveries of prior year unpaid obligations, expired .....	–25		
3050 Unpaid obligations, end of year .....	400	454	393
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	281	400	454

3200	Obligated balance, end of year .....	400	454	393
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,467	1,467	1,014
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,142	1,256	862
4011	Outlays from discretionary balances .....	191	157	213
4020	Outlays, gross (total) .....	1,333	1,413	1,075
4180	Budget authority, net (total) .....	1,467	1,467	1,014
4190	Outlays, net (total) .....	1,333	1,413	1,075

As a member of the United Nations and other international organizations, the United States contributes an assessed share to meet annual obligations to these organizations, net of certain withholdings. The purpose of this appropriation is to ensure continued support to organizations that serve important U.S. interests.

**CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES**

For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, \$1,136,000,000, to remain available until September 30, 2021: Provided, That the Secretary of State should work with the United Nations and members of the United Nations Security Council to evaluate and prioritize peacekeeping missions, and to consider a draw down when mission goals have been substantially achieved.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1124–0–1–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0020 Contributions for International Peacekeeping Activities (Direct) .....	1,263	1,300	1,136
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,263	1,300	1,136
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	854	973	1,055
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	415	415	1,136
1100 Appropriation (OCO) .....	967	967	.....
1160 Appropriation, discretionary (total) .....	1,382	1,382	1,136
1930 Total budgetary resources available .....	2,236	2,355	2,191
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	973	1,055	1,055
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	409	128
3010 New obligations, unexpired accounts .....	1,263	1,300	1,136
3020 Outlays (gross) .....	–854	–1,581	–1,160
3050 Unpaid obligations, end of year .....	409	128	104
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	409	128
3200 Obligated balance, end of year .....	409	128	104
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,382	1,382	1,136
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1,281	966
4011 Outlays from discretionary balances .....	854	300	194
4020 Outlays, gross (total) .....	854	1,581	1,160
4180 Budget authority, net (total) .....	1,382	1,382	1,136
4190 Outlays, net (total) .....	854	1,581	1,160

This appropriation provides funds for the United States' contributions toward the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members based on

a scale of assessments. The purpose of this appropriation is to ensure continued support of UN peacekeeping activities that serve U.S. interests in promoting international security, stability, and democracy.

**INTERNATIONAL COMMISSIONS**

**Federal Funds**

**INTERNATIONAL COMMISSIONS**

For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:

**INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO**

For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation expenses; as follows:

**SALARIES AND EXPENSES**

For salaries and expenses, not otherwise provided for, \$48,170,000, of which \$7,225,500 shall remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1069–0–1–301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Boundary and Water Commission - Salaries and Expenses .....	49	48	48
0801 Salaries and Expenses, IBWC (Reimbursable) .....	7	7	7
0900 Total new obligations, unexpired accounts .....	56	55	55
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	48	48	48
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	7	7
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	12	7	7
1900 Budget authority (total) .....	60	55	55
1930 Total budgetary resources available .....	60	55	55
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–4	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	17	9
3010 New obligations, unexpired accounts .....	56	55	55
3011 Obligations ("upward adjustments"), expired accounts .....	1	1	.....
3020 Outlays (gross) .....	–53	–64	–55
3041 Recoveries of prior year unpaid obligations, expired .....	–3	.....	.....
3050 Unpaid obligations, end of year .....	17	9	9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–9	–9	–9
3070 Change in uncollected pymts, Fed sources, unexpired .....	–5	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–9	–9	–9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	8	.....
3200 Obligated balance, end of year .....	8	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	60	55	55
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	48	48
4011 Outlays from discretionary balances .....	12	16	7
4020 Outlays, gross (total) .....	53	64	55
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–8	–7	–7

SALARIES AND EXPENSES, IBWC—Continued  
Program and Financing—Continued

Identification code 019-1069-0-1-301	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	48	48	48
4080 Outlays, net (discretionary) .....	45	57	48
4180 Budget authority, net (total) .....	48	48	48
4190 Outlays, net (total) .....	45	57	48

Pursuant to treaties between the United States and Mexico and U.S. law, the U.S. Section of the International Boundary and Water Commission (IBWC) is charged with the identification and resolution of current and anticipated boundary and water problems arising along the almost 2,000-mile common border, including the southern borders of Texas, New Mexico, Arizona, and California. Administration, Engineering, and Operations and Maintenance activities are also funded by the Salaries and Expenses appropriation.

*Administration.*—Resources provide for negotiations and supervision of joint projects with Mexico to resolve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; and financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

*Engineering.*—Resources provide for technical engineering guidance and supervision of planning, construction, operation and maintenance, and environmental monitoring and compliance of international projects; studies relating to international problems of a continuing nature; and preliminary surveys and investigations to determine the need for and feasibility of projects for the resolution of international problems arising along the boundary.

*Operation and Maintenance (O&M).*—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M costs at Nogales. Other reimbursements are received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams.

Object Classification (in millions of dollars)

Identification code 019-1069-0-1-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	18	18	18
12.1 Civilian personnel benefits .....	6	6	6
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	5	4	4
25.2 Other services from non-Federal sources .....	15	15	15
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	2
99.0 Direct obligations .....	49	48	48
99.0 Reimbursable obligations .....	7	7	7
99.9 Total new obligations, unexpired accounts .....	56	55	55

Employment Summary

Identification code 019-1069-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	264	264	264

CONSTRUCTION

For detailed plan preparation and construction of authorized projects, \$26,042,000, to remain available until expended, as authorized.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019-1078-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 International Boundary and Water Commission -			
Construction .....	31	32	32
0100 Construction, IBWC (Direct) .....	31	32	32
0801 Construction, IBWC (Reimbursable) .....	3	1	1
0900 Total new obligations, unexpired accounts .....	34	33	33

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82	82	79
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1		
1021 Recoveries of prior year unpaid obligations .....	1		
1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	86	82	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	29	29	26
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	1	1
1701 Change in uncollected payments, Federal sources .....	-1		
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	30	30	27
1930 Total budgetary resources available .....	116	112	106
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	82	79	73

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	45	19
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1		
3010 New obligations, unexpired accounts .....	34	33	33
3020 Outlays (gross) .....	-38	-59	-41
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	45	19	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	48	44	18
3200 Obligated balance, end of year .....	44	18	10

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	30	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	17	30	27
4011 Outlays from discretionary balances .....	21	29	14
4020 Outlays, gross (total) .....	38	59	41
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		

4060	Additional offsets against budget authority only (total) .....	3		
4070	Budget authority, net (discretionary) .....	29	29	26
4080	Outlays, net (discretionary) .....	34	58	40
4180	Budget authority, net (total) .....	29	29	26
4190	Outlays, net (total) .....	34	58	40

**Construction.**—This fund provides for the construction of projects to resolve current and anticipated international problems of water supply, water quality, sewage treatment, flood damage reduction and management and operation of facilities and infrastructure, pursuant to the treaties and international agreements with Mexico. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

**Object Classification** (in millions of dollars)

Identification code 019–1078–0–1–301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	5	5	5
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	25	26	26
99.0 Direct obligations .....	31	32	32
99.0 Reimbursable obligations .....	3	1	1
99.9 Total new obligations, unexpired accounts .....	34	33	33

**AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS**

*For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States and Canada or Great Britain, \$9,750,000: Provided, That of the amount provided under this heading for the International Joint Commission, up to \$500,000 may remain available until September 30, 2021, and \$9,000 may be made available for representation expenses: Provided further, That of the amount provided under this heading for the International Boundary Commission, \$1,000 may be made available for representation expenses.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1082–0–1–301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 American Sections, International Commissions (Direct) .....	14	13	10
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1		
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	13	13	10
1930 Total budgetary resources available .....	14	13	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 New obligations, unexpired accounts .....	14	13	10
3020 Outlays (gross) .....	–13	–13	–10
3050 Unpaid obligations, end of year .....	5	5	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	13	13	10
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	11	9	7
4011 Outlays from discretionary balances .....	2	4	3
4020 Outlays, gross (total) .....	13	13	10
4180 Budget authority, net (total) .....	13	13	10
4190 Outlays, net (total) .....	13	13	10

These funds are used for payment of the U.S. share of the expenses of: *International Boundary Commission (IBC).*—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional and cartographic data.

*International Joint Commission (IJC).*—Pursuant to the Boundary Waters Treaty of 1909 and related treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

*Border Environment Cooperation Commission (BECC).*—This bilateral organization reviews and certifies project proposals and provides technical and financial planning assistance to U.S. and Mexican states and local communities to develop effective solutions to environmental and public health concerns in the U.S.-Mexico border region. The Commission was integrated within the North American Development Bank (NADB) on November 10, 2017. No appropriation is being requested for BECC in FY 2020.

**Object Classification** (in millions of dollars)

Identification code 019–1082–0–1–301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	3	2	2
25.2 Other services from non-Federal sources .....	11	11	8
99.9 Total new obligations, unexpired accounts .....	14	13	10

**Employment Summary**

Identification code 019–1082–0–1–301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

**INTERNATIONAL FISHERIES COMMISSIONS**

*For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, \$34,448,000: Provided, That the United States share of such expenses may be advanced to the respective commissions pursuant to section 3324 of title 31, United States Code.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1087–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 International Fisheries Commissions .....	2	2	2
0006 Great Lakes Fishery Commission .....	33	33	21
0008 Inter-Pacific Halibut Commission .....	4	4	4
0009 Pacific Salmon Commission .....	4	4	4
0010 Other Commissions and Marine Science Organizations .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 41.0) .....	46	46	34
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	46	46	34
1930 Total budgetary resources available .....	46	46	34

INTERNATIONAL FISHERIES COMMISSIONS—Continued  
Program and Financing—Continued

Identification code 019-1087-0-1-302	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 New obligations, unexpired accounts .....	46	46	34
3020 Outlays (gross) .....	-47	-46	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	46	46	34
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	46	34
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	47	46	34
4180 Budget authority, net (total) .....	46	46	34
4190 Outlays, net (total) .....	47	46	34

This appropriation provides the United States' treaty mandated assessments and expenses to 19 international commissions and organizations including three bilateral commissions, the Great Lakes Fisheries Commission, the International Pacific Halibut Commission, the Pacific Salmon Commission, nine multilateral bodies, two marine science organizations, one whaling commission, the Arctic Council and the Antarctic Treaty Secretariat, as well as funding regional sea turtle and shark conservation, and travel expenses of non-government U.S. commissioners and their advisors. These commissions and organizations coordinate scientific studies of shared fish stocks and other living marine resources and their habitats and establish common management measures to be implemented by member governments based on their results. Many also oversee the allocation of fishing rights to their members. In addition, the Great Lakes Fishery Commission carries out a program to eradicate the invasive, parasitic sea lamprey. The marine science organizations coordinate international research on valuable fisheries, oceanography, and marine ecosystems and the results are publicly disseminated and used to advise member governments on fisheries and marine science policy.

**OTHER**

**Federal Funds**

GLOBAL HIV/AIDS INITIATIVE

Program and Financing (in millions of dollars)

Identification code 019-1030-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Global HIV/AIDs Initiative .....	10	8	8
0900 Total new obligations, unexpired accounts (object class 41.0) .....	10	8	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	15	12
1021 Recoveries of prior year unpaid obligations .....	4	5	2
1050 Unobligated balance (total) .....	25	20	14
1930 Total budgetary resources available .....	25	20	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	12	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	13	9	2
3010 New obligations, unexpired accounts .....	10	8	8
3020 Outlays (gross) .....	-10	-10	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-5	-2
3050 Unpaid obligations, end of year .....	9	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	9	2

3200 Obligated balance, end of year .....	9	2	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	10	10	8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	10	10	8

The first phase of the President's Emergency Plan for AIDS Relief (PEPFAR), from 2004 to 2008, was the largest ever global public health initiative by a single country to fight the HIV/AIDS epidemic. Funding was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

GLOBAL HEALTH PROGRAMS

For necessary expenses to carry out the provisions of chapters 1 and 10 of part 1 of the Foreign Assistance Act of 1961, for global health activities, in addition to funds otherwise available for such purposes, \$2,035,108,000, to remain available until September 30, 2021, and which shall be apportioned directly to the United States Agency for International Development (USAID): Provided, That this amount shall be made available for training, equipment, and technical assistance to build the capacity of public health institutions and organizations in developing countries, and for such activities as: (1) child survival and maternal health programs; (2) immunization and oral rehydration programs; (3) other health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for children displaced or orphaned by causes other than AIDS; (5) programs for the prevention, treatment, control of, and research on HIV/AIDS, tuberculosis, polio, malaria, and other infectious diseases including neglected tropical diseases, and for assistance to communities severely affected by HIV/AIDS, including children infected or affected by AIDS; (6) disaster preparedness training for health crises; and (7) family planning/reproductive health: Provided further, That funds appropriated under this paragraph may be made available for a United States contribution to the Gavi Alliance: Provided further, That none of the funds made available in this Act nor any unobligated balances from prior appropriations Acts may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: Provided further, That any determination made under the previous proviso should be made not later than 6 months after the date of enactment of this Act, and should be accompanied by the evidence and criteria utilized to make the determination: Provided further, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: Provided further, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961: Provided further, That none of the funds made available under this Act may be used to lobby for or against abortion: Provided further, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only

in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the USAID Administrator determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations a report containing a description of such violation and the corrective action taken by the Agency: Provided further, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: Provided further, That for purposes of this or any other Act authorizing or appropriating funds for the Department of State, foreign operations, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: Provided further, That information provided about the use of condoms as part of projects or activities that are funded from amounts appropriated by this Act shall be medically accurate and shall include the public health benefits and failure rates of such use: Provided further, That funds made available under this heading may be made available for contributions to international organizations, programs administered by such organizations, and multilateral trust funds.

In addition, for necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the prevention, treatment, and control of, and research on, HIV/AIDS, \$4,308,367,000, to remain available until September 30, 2024, which shall be apportioned directly to the Department of State: Provided, That funds appropriated under this paragraph may be made available, notwithstanding any other provision of law, except for the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (Public Law 108–25), as amended, for a United States contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund), and shall be expended at the minimum rate necessary to make timely payment for projects and activities: Provided further, That the amount of such contribution should be \$958,367,000: Provided further, That up to 5 percent of the aggregate amount of funds made available to the Global Fund in fiscal year 2020 may be made available to USAID for technical assistance related to the activities of the Global Fund: Provided further, That funds appropriated under this paragraph may be made available, in addition to amounts otherwise available for such purposes, for administrative expenses of the Office of the United States Global AIDS Coordinator.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1031–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct Global Health program activity .....	7,115	7,300	7,350
0002 Administrative Expenses .....	15	15	15
0799 Total direct obligations .....	7,130	7,315	7,365
0801 Reimbursable program activity - WCF .....	671	671	671
0900 Total new obligations, unexpired accounts .....	7,801	7,986	8,036
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9,139	10,165	10,874
1012 Unobligated balance transfers between expired and unexpired accounts .....	16		
1021 Recoveries of prior year unpaid obligations .....	73		
1033 Recoveries of prior year paid obligations .....	28		
1050 Unobligated balance (total) .....	9,256	10,165	10,874
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	8,690	8,690	6,343
1121 Appropriations transferred from other acct [019–1005] .....	32		
1160 Appropriation, discretionary (total) .....	8,722	8,690	6,343
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		5	5
1900 Budget authority (total) .....	8,722	8,695	6,348
1930 Total budgetary resources available .....	17,978	18,860	17,222
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-12		
1941 Unexpired unobligated balance, end of year .....	10,165	10,874	9,186

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,543	6,607	6,641
3010 New obligations, unexpired accounts .....	7,801	7,986	8,036
3011 Obligations ("upward adjustments"), expired accounts .....	8		
3020 Outlays (gross) .....	-8,643	-7,952	-7,131
3040 Recoveries of prior year unpaid obligations, unexpired .....	-73		
3041 Recoveries of prior year unpaid obligations, expired .....	-29		
3050 Unpaid obligations, end of year .....	6,607	6,641	7,546
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	7,543	6,607	6,641
3200 Obligated balance, end of year .....	6,607	6,641	7,546

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	8,722	8,695	6,348
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	25	286	207
4011 Outlays from discretionary balances .....	8,618	7,666	6,924
4020 Outlays, gross (total) .....	8,643	7,952	7,131
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-32	-5	-5
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-34	-5	-5
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	6		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	28		
4060 Additional offsets against budget authority only (total) .....	34		
4070 Budget authority, net (discretionary) .....	8,722	8,690	6,343
4080 Outlays, net (discretionary) .....	8,609	7,947	7,126
4180 Budget authority, net (total) .....	8,722	8,690	6,343
4190 Outlays, net (total) .....	8,609	7,947	7,126

The Global Health Programs account funds health-related foreign assistance for the Department of State (DOS) and the U.S. Agency for International Development (USAID). Global health programs seek to improve health outcomes by increasing impact through strategic integration and coordination; strengthening and leveraging multilateral institutions; encouraging country ownership and investing in country-led plans; building sustainability through health systems strengthening; improving metrics, monitoring and evaluation; and promoting research, development and innovation.

*Global Health Programs-State.*—The Global Health Programs (GHP-State) account supports the goal of controlling the HIV/AIDS epidemic through the President's Emergency Plan for AIDS Relief (PEPFAR). The 2020 Budget requests \$4.308 billion in the GHP-State account. PEPFAR is led by the Office of the Global AIDS Coordinator in DOS, which draws upon the expertise and experience of other USG partners such as USAID, the Department of Health and Human Services, the Department of Defense, and the Peace Corps to align resources and expertise in the fight against global AIDS. Programs work through expanded partnerships to build capacity for effective, innovative, country-led, and sustainable services, and to create a supportive and enabling policy environment for combating HIV/AIDS, including as part of the broader USG and country-level health and development approach. In addition, PEPFAR supports implementation of strong monitoring and evaluation systems to set benchmarks for outcomes and programmatic efficiencies through regularly assessed planning and reporting processes to ensure goals are being met. PEPFAR programs support strategic, scientifically sound investments to rapidly scale up core HIV/AIDS prevention, care, and treatment interventions within the context of strengthened health systems, particularly in terms of human resources in nations with severe health worker shortages and lack of service delivery capacity. PEPFAR integrates its efforts with important programs in other areas of global health as well as other areas of development, including the areas of education, gender equity, and economic development. A contribution of \$958 million to the Global Fund to Fight AIDS, Tuberculosis and Malaria is included in the GHP-State request. This amount will support a \$1.1 billion contribution in 2020 and up to \$3.3 billion over the three-year

GLOBAL HEALTH PROGRAMS—Continued

replenishment period, when combined with unmatched funds from the last replenishment appropriated by Congress for 2019. The Budget offers to match \$1 for every \$3 contributed by other donors.

**Global Health Programs-USAID.**—The 2020 Budget requests \$2.035 billion in the GHP-USAID account for a comprehensive and integrated approach to improve global health outcomes. USAID, working in partnership with foreign governments, local private sector and non-governmental organizations, and other public-private partnerships, will build capacity, strengthen health systems, and promote sustainable integrated health care for vulnerable populations. Funding includes activities that support the goal of ending preventable child deaths in synergy with malaria, family planning and reproductive health, and nutrition activities, addressing such issues as micronutrient deficiencies and community management of acute malnutrition. Activities will also address the threat of other infectious diseases such as tuberculosis and multi-drug resistant tuberculosis, and neglected tropical diseases in developing countries.

Object Classification (in millions of dollars)

Identification code 019-1031-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	6	6	6
11.3 Other than full-time permanent .....	9	9	9
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....	6	6	6
23.1 Rental payments to GSA .....	8	8	8
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	105	105	105
25.3 Other goods and services from Federal sources .....	9	9	9
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	5	5	5
41.0 Grants, subsidies, and contributions .....	6,973	7,158	7,208
99.0 Direct obligations .....	7,130	7,315	7,365
99.0 Reimbursable obligations .....	671	671	671
99.9 Total new obligations, unexpired accounts .....	7,801	7,986	8,036

Employment Summary

Identification code 019-1031-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	107	118	118

MIGRATION AND REFUGEE ASSISTANCE

For necessary expenses not otherwise provided for, for refugee resettlement in Israel and in the United States including pursuant to section 2 of the Migration and Refugee Assistance Act of 1962 and section 412(b) of the Immigration and Nationality Act; for salaries and expenses, including under section 5 of the Migration and Refugee Assistance Act of 1962 and for personnel and dependents as authorized by the Foreign Service Act of 1980; for allowances as authorized by sections 5921 through 5925 of title 5, United States Code; for purchase and hire of passenger motor vehicles; and for services as authorized by section 3109 of title 5, United States Code, \$365,062,000, to remain available until expended, of which \$5,000,000 may be made available for refugees resettling in Israel.

In addition, amounts provided under the heading "International Humanitarian Assistance" in this Act may be transferred and merged with amounts provided under this heading for refugee resettlement in the United States to carry out section 2 of the Migration and Refugee Assistance Act of 1962 and section 412(b) of the Immigration and Nationality Act: Provided, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019-1143-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Overseas assistance .....	2,902	3,150	330
0002 U.S. refugee admissions program .....	290	290	320
0003 Refugees to Israel .....	8	5	5
0005 Administrative expenses .....	42	45	45
0799 Total direct obligations .....	3,242	3,490	700
0801 Migration and Refugee Assistance (Reimbursable) .....	.....	1	1
0900 Total new obligations, unexpired accounts .....	3,242	3,491	701
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	255	398	305
1011 Unobligated balance transfer from other acct [011-0040] ....	9	1	.....
1021 Recoveries of prior year unpaid obligations .....	10	30	30
1050 Unobligated balance (total) .....	274	429	335
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	928	928	365
1100 Appropriation-OCO .....	2,431	2,431	.....
1121 Appropriations transferred from other acct [072-1037] ....	7	7	.....
1160 Appropriation, discretionary (total) .....	3,366	3,366	365
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	.....	1	1
1900 Budget authority (total) .....	3,366	3,367	366
1930 Total budgetary resources available .....	3,640	3,796	701
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	398	305	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	802	1,389	767
3010 New obligations, unexpired accounts .....	3,242	3,491	701
3020 Outlays (gross) .....	-2,645	-4,083	-844
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	-30	-30
3050 Unpaid obligations, end of year .....	1,389	767	594
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	802	1,389	767
3200 Obligated balance, end of year .....	1,389	767	594
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	3,366	3,367	366
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,950	2,694	293
4011 Outlays from discretionary balances .....	695	1,389	551
4020 Outlays, gross (total) .....	2,645	4,083	844
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	.....	-1	-1
4180 Budget authority, net (total) .....	3,366	3,366	365
4190 Outlays, net (total) .....	2,645	4,082	843

Funds requested in the Migration and Refugee Assistance (MRA) account will be used to support:

**Humanitarian Migrants to Israel.**—These funds assist humanitarian migrants resettling in Israel.

**U.S. Refugee Admissions.**—MRA funds overseas processing, transportation, and initial placement for refugees and certain other categories of special immigrants resettling in the United States. These activities are carried out primarily by NGO partners and the International Organization for Migration (IOM).

**Administrative Expenses.**—These funds finance the salaries and operating expenses in Washington, D.C. and overseas for the Bureau of Population, Refugees, and Migration. (Note: Funds for the salaries and support costs of the positions dedicated to international population policy and coordination are requested under the Department of State's Diplomatic Programs appropriation.)

In past years, the majority of the MRA account addressed the protection and assistance needs of refugees, conflict victims, stateless persons, and vulnerable migrants worldwide. Funds primarily supported the programs of international organizations, including the United Nations High Commis-

sioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and IOM, as well as non-governmental organizations (NGOs).

As part of an Administration effort to optimize humanitarian assistance, prioritize funding, and use funding as effectively and efficiently as possible, there is no request in MRA for overseas humanitarian assistance needs. Instead, these funds along with funds previously requested in International Disaster Assistance (IDA) account are consolidated in the new International Humanitarian Assistance (IHA) account.

Funds in IHA will support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs and will support the programs of international organizations, including UNHCR, ICRC, and IOM, the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

In addition, appropriations language under the MRA account gives the Secretary of State authority to transfer funding from IHA to MRA should projected funding for refugee resettlement not be sufficient.

**Object Classification** (in millions of dollars)

Identification code 019-1143-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	19	20	20
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	45	46	46
41.0 Grants, subsidies, and contributions .....	3,170	3,415	625
99.0 Direct obligations .....	3,242	3,490	700
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations, unexpired accounts .....	3,242	3,491	701

**Employment Summary**

Identification code 019-1143-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	171	186	186

**UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019-0040-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United States Emergency Refugee and Migration Assistance Fund (Direct) .....		50	50
0900 Total new obligations, unexpired accounts (object class 41.0) .....		50	50
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	108	100	50
1010 Unobligated balance transfer to other accts [019-1143] .....	-9	-1	
1050 Unobligated balance (total) .....	99	99	50
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	
1930 Total budgetary resources available .....	100	100	50
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	100	50	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			4

3010 New obligations, unexpired accounts .....		50	50
3020 Outlays (gross) .....		-46	-1
3050 Unpaid obligations, end of year .....		4	53
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			4
3200 Obligated balance, end of year .....		4	53
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		1	
4011 Outlays from discretionary balances .....		45	1
4020 Outlays, gross (total) .....		46	1
4180 Budget authority, net (total) .....	1	1	
4190 Outlays, net (total) .....		46	1

The Emergency Refugee and Migration Assistance Fund enables the President to provide humanitarian assistance for unexpected and urgent refugee and migration needs worldwide. In 2020, no funding is requested for the U.S. Emergency Refugee and Migration Assistance (ERMA) account. The new International Humanitarian Assistance (IHA) account will support ongoing humanitarian needs overseas as well as unexpected, urgent, refugee and migration needs.

**COMPLEX CRISES FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 072-1015-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Complex Crises Fund (Direct) .....	19	26	26
0900 Total new obligations, unexpired accounts (object class 41.0) .....	19	26	26
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	53	64	68
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	30	30	
1930 Total budgetary resources available .....	83	94	68
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	64	68	42
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	30	10
3010 New obligations, unexpired accounts .....	19	26	26
3020 Outlays (gross) .....	-40	-46	-34
3050 Unpaid obligations, end of year .....	30	10	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	51	30	10
3200 Obligated balance, end of year .....	30	10	2
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	30	30	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3	6	
4011 Outlays from discretionary balances .....	37	40	34
4020 Outlays, gross (total) .....	40	46	34
4180 Budget authority, net (total) .....	30	30	
4190 Outlays, net (total) .....	40	46	34

The Complex Crises Fund supports rapid response capabilities for assistance activities to prevent or respond to emerging or unforeseen complex crises. In 2020, in an effort to streamline accounts and ensure the most effective use of foreign assistance funding, funds are not being requested for this account; however, the authorities for these types of activities are re-

## COMPLEX CRISES FUND—Continued

quested under Peacekeeping Operations and the Economic Support and Development Fund.

## INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, \$945,350,000, to remain available until September 30, 2021: Provided, That the provision of assistance by any other United States Government department or agency which is comparable to assistance that may be made available under this heading, but which is provided under any other provision of law, shall be provided and administered in accordance with the provisions of sections 481(b) and 622(c) of the Foreign Assistance Act of 1961: Provided further, That the Department of State may use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing such property to a foreign country or international organization under chapter 8 of part I of that Act: Provided further, That section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 019–1022–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Counterdrug and Anti-Crime Programs .....	1,353	497	944
0801 International Narcotics Control and Law Enforcement (Reimbursable) .....	34	26	1
0900 Total new obligations, unexpired accounts .....	1,387	523	945
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,197	1,283	2,129
1010 Unobligated balance transfer to other accts [072–1037] .....	–7		
1010 Unobligated balance transfer to other accts [011–1021] .....	–2		
1011 Unobligated balance transfer from other acct [072–1037] .....	7		
1012 Unobligated balance transfers between expired and unexpired accounts .....	70		
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	1,269	1,283	2,129
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (regular) .....	951	951	945
1100 Appropriation – OCO .....	417	418	
1160 Appropriation, discretionary (total) .....	1,368	1,369	945
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	34		
1900 Budget authority (total) .....	1,402	1,369	945
1930 Total budgetary resources available .....	2,671	2,652	3,074
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	1,283	2,129	2,129
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,382	3,457	2,274
3010 New obligations, unexpired accounts .....	1,387	523	945
3011 Obligations ("upward adjustments"), expired accounts .....	7		
3020 Outlays (gross) .....	–1,222	–1,706	–1,576
3040 Recoveries of prior year unpaid obligations, unexpired .....	–4		
3041 Recoveries of prior year unpaid obligations, expired .....	–93		
3050 Unpaid obligations, end of year .....	3,457	2,274	1,643
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,382	3,457	2,274
3200 Obligated balance, end of year .....	3,457	2,274	1,643
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,402	1,369	945
Outlays, gross:			
4010 Outlays from new discretionary authority .....	84	137	94
4011 Outlays from discretionary balances .....	1,138	1,569	1,482
4020 Outlays, gross (total) .....	1,222	1,706	1,576

## Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4030 Federal sources .....	–18		
4033 Non-Federal sources .....	–19		
4040 Offsets against gross budget authority and outlays (total) ....	–37		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	1,368	1,369	945
4080 Outlays, net (discretionary) .....	1,185	1,706	1,576
4180 Budget authority, net (total) .....	1,368	1,369	945
4190 Outlays, net (total) .....	1,185	1,706	1,576

International Narcotics Control and Law Enforcement (INCLE) advances U.S. national security interests by supporting bilateral, regional, and global programs that enable partners and allies to manage and address transnational threats at their source. INCLE programs mitigate security threats posed by all forms of transnational crime, including production and trafficking of narcotics, and strengthen partner countries' criminal justice systems. These programs improve the ability of partner countries to cooperate effectively with U.S. law enforcement, and address the underlying conditions, such as corruption and weak rule of law, that foster state fragility and spur irregular migration to the United States. The 2020 INCLE budget supports Presidential policy priorities, including efforts to protect the safety of the United States and its citizens by combating transnational crime and illicit trafficking, in alignment with Executive Order 13773, Enforcing Federal Law with Respect to Transnational Criminal Organizations and Preventing International Trafficking, and the President's Initiative to Stop Opioid Abuse and Reduce Drug Supply and Demand.

## Object Classification (in millions of dollars)

Identification code 019–1022–0–1–151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	41	16	28
11.3 Other than full-time permanent .....	1		1
11.9 Total personnel compensation .....	42	16	29
12.1 Civilian personnel benefits .....	17	7	12
13.0 Benefits for former personnel .....	1		1
21.0 Travel and transportation of persons .....	9	3	6
22.0 Transportation of things .....	1		1
23.2 Rental payments to others .....	5	2	3
25.2 Other services from non-Federal sources .....	420	137	292
26.0 Supplies and materials .....	6	2	4
31.0 Equipment .....	18	7	13
41.0 Grants, subsidies, and contributions .....	834	323	583
99.0 Direct obligations .....	1,353	497	944
99.0 Reimbursable obligations .....	34	26	1
99.9 Total new obligations, unexpired accounts .....	1,387	523	945

## Employment Summary

Identification code 019–1022–0–1–151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	388	419	419

## ANDEAN COUNTERDRUG PROGRAMS

## Program and Financing (in millions of dollars)

Identification code 019–1154–0–1–151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

**Change in obligated balance:**  
Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

This account funded U.S. assistance to Plan Colombia and follow-on activities from 2000 to 2010. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national government capacity. Since 2010, funds for these programs are requested and appropriated in the International Narcotics Control and Law Enforcement (INCLE) account.

**DEMOCRACY FUND**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–1121–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Democracy Fund (Direct) .....	211	216	216
0900	Total new obligations, unexpired accounts (object class 41.0) .....	211	216	216
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	211	216	216
1012	Unobligated balance transfers between expired and unexpired accounts .....	1		
1050	Unobligated balance (total) .....	212	216	216
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	216	216	
1930	Total budgetary resources available .....	428	432	216
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	–1		
1941	Unexpired unobligated balance, end of year .....	216	216	

**Change in obligated balance:**  
Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	219	290	203
3010	New obligations, unexpired accounts .....	211	216	216
3020	Outlays (gross) .....	–135	–303	–253
3041	Recoveries of prior year unpaid obligations, expired .....	–5		
3050	Unpaid obligations, end of year .....	290	203	166
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	219	290	203
3200	Obligated balance, end of year .....	290	203	166

**Budget authority and outlays, net:**  
Discretionary:

4000	Budget authority, gross .....	216	216	
Outlays, gross:				
4010	Outlays from new discretionary authority .....		71	
4011	Outlays from discretionary balances .....	135	232	253
4020	Outlays, gross (total) .....		303	253
4180	Budget authority, net (total) .....	216	216	
4190	Outlays, net (total) .....	135	303	253

This appropriation funds some democracy promotion activities of the Department of State and the U.S. Agency for International Development. FY 2020 funding for these activities is requested in the Economic Support and Development Fund account.

**THE ASIA FOUNDATION**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0525–0–1–154	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payment to the Asia Foundation (Direct) .....	17	17	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	17	17	
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	17	17	
1930	Total budgetary resources available .....	17	17	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	4	2
3010	New obligations, unexpired accounts .....	17	17	
3020	Outlays (gross) .....	–18	–19	–2
3050	Unpaid obligations, end of year .....	4	2	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	4	2
3200	Obligated balance, end of year .....	4	2	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	17	17	
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12	17	
4011	Outlays from discretionary balances .....	6	2	2
4020	Outlays, gross (total) .....	18	19	2
4180	Budget authority, net (total) .....	17	17	
4190	Outlays, net (total) .....	18	19	2

The Asia Foundation is a private, nonprofit organization incorporated and headquartered in California. The Asia Foundation operates programs through 18 offices in Asia to support democratic initiatives, governance and economic reform, rule of law, women's empowerment programs, and closer U.S.-Asian relations by providing grants to institutions in Asia. For FY 2020, no appropriation is being requested for The Asia Foundation.

**NATIONAL ENDOWMENT FOR DEMOCRACY**

For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act (22 U.S.C. 4412), \$67,275,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–0210–0–1–154	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	National Endowment for Democracy (Direct) .....	170	170	67
0900	Total new obligations, unexpired accounts (object class 41.0) .....	170	170	67
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	170	170	67
1930	Total budgetary resources available .....	170	170	67

**Change in obligated balance:**  
Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1 .....	117	118	90
3010	New obligations, unexpired accounts .....	170	170	67

NATIONAL ENDOWMENT FOR DEMOCRACY—Continued  
Program and Financing—Continued

Identification code 019-0210-0-1-154	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-169	-198	-113
3050 Unpaid obligations, end of year .....	118	90	44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	117	118	90
3200 Obligated balance, end of year .....	118	90	44

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in Washington, D.C. to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East, and Eurasia. Working with civil society organizations, NED will continue efforts to strengthen democracy and tolerance in the Middle East through the Broader Middle East and North Africa Initiative.

The National Endowment for Democracy Act (Public Law 98-164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act.

EAST-WEST CENTER

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019-0202-0-1-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 East-West Center (Direct) .....	17	17	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	17	17	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	.....
1930 Total budgetary resources available .....	17	17	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	17	17	.....
3020 Outlays (gross) .....	-16	-17	.....
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	15	17	.....
4011 Outlays from discretionary balances .....	1	.....	.....
4020 Outlays, gross (total) .....	16	17	.....
4180 Budget authority, net (total) .....	17	17	.....
4190 Outlays, net (total) .....	16	17	.....

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is an educational institution administered by a public, nonprofit educational corporation. The East-West Center promotes U.S. foreign policy interests and people-to-people engagement in the Asia Pacific region through cooperative research, education, and dialogue on critical issues of common interest. For FY 2020, no appropriation is being requested for the East-West Center.

INTERNATIONAL LITIGATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5177-0-2-153	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1140 International Litigation Fund .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 International Litigation Fund .....	-1	-1	-1
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 019-5177-0-2-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 International Litigation Fund .....	9	5	5
0809 Reimbursable program activities, subtotal .....	9	5	5
0900 Total new obligations, unexpired accounts (object class 25.2) .....	9	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	13	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	20	13	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	3	3
1900 Budget authority (total) .....	2	5	5
1930 Total budgetary resources available .....	22	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	7	.....
3010 New obligations, unexpired accounts .....	9	5	5
3020 Outlays (gross) .....	-6	-12	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	7	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	7	.....
3200 Obligated balance, end of year .....	7	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Mandatory:			
4090 Budget authority, gross .....	1	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	4	4
4101 Outlays from mandatory balances .....	6	7	.....

4110	Outlays, gross (total) .....	6	11	4
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....		-3	-3
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	5	8	1

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in ILF. Funds received by the Department from other U.S. Government agencies or from private parties for these purposes are also deposited in ILF.

In addition, section 38(e) authorizes the Secretary to retain 1.5 percent of any amount between \$100,000 and \$5,000,000, and one percent of any amount over \$5,000,000, received per claim under chapter 34 of the Act of February 1896 (22 U.S.C. 2668a; 29 Stat. 32).

INTERNATIONAL CENTER, WASHINGTON, D.C.

Not to exceed \$1,806,600 of fees collected from other executive agencies for lease or use of facilities at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$743,000 from the reserve authorized by such section, may be made available for the purposes set out in that section.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019–5151–0–2–153	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	15	15	17
Receipts:			
Current law:			
1130 International Center, Washington, D.C., Sale and Rent of Real Property .....	1	3	3
2000 Total: Balances and receipts .....	16	18	20
Appropriations:			
Current law:			
2101 International Center, Washington, D.C. ....	-1	-1	-1
5099 Balance, end of year .....	15	17	19

Program and Financing (in millions of dollars)

Identification code 019–5151–0–2–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Center, Washington, D.C. (Direct) .....	1	1	1
0801 International Center, Washington, D.C. (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts .....	3	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-2	-6	-3
3050 Unpaid obligations, end of year .....	3		

<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	3	
3200 Obligated balance, end of year .....	3		

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....		3	3
4011 Outlays from discretionary balances .....	2	3	
4020 Outlays, gross (total) .....	2	6	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....		4	1

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	15	15	15
5001 Total investments, EOY: Federal securities: Par value .....	15	15	15

These funds provide for the development, lease, or exchange of property owned by the United States at the International Center located in Washington, D.C. to foreign governments or international organizations. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization, and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes. This language was previously included under the heading for Diplomatic Programs.

Object Classification (in millions of dollars)

Identification code 019–5151–0–2–153	2018 actual	2019 est.	2020 est.
32.0 Direct obligations: Land and structures .....	1	1	1
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	3	3	3

FISHERMEN'S PROTECTIVE FUND

Program and Financing (in millions of dollars)

Identification code 019–5116–0–2–376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges that were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in FY 2020.

FISHERMEN'S GUARANTY FUND

Program and Financing (in millions of dollars)

Identification code 019–5121–0–2–376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FISHERMEN'S GUARANTY FUND—Continued

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of U.S. commercial fishing vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for FY 2020.

**Trust Funds**

**EISENHOWER EXCHANGE FELLOWSHIP PROGRAM**

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 2020, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by section 5376 of title 5, United States Code; or for purposes which are not in accordance with section 200 of title 2 of the Code of Federal Regulations, including the restrictions on compensation for personal services.

**ISRAELI ARAB SCHOLARSHIP PROGRAM**

For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 2020, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 570–8276–0–7–154	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	13	13	13
2000 Total: Balances and receipts .....	13	13	13
5099 Balance, end of year .....	13	13	13

**Program and Financing** (in millions of dollars)

Identification code 570–8276–0–7–154	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	13	13	12
5001 Total investments, EOY: Federal securities: Par value .....	13	12	12

The Eisenhower Exchange Fellowship Trust Fund (EEF Trust Fund) was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the EEF Trust Fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arab students to attend institutions of higher learning in the United States.

**CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND**

For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund, as authorized by section 633 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004 (22 U.S.C. 2078), the total amount of the interest and earnings accruing to such Fund on or before September 30, 2020, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 019–8813–0–7–153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Center for Middle Eastern-Western Dialogue Trust Fund (Direct) .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	12	11
1930 Total budgetary resources available .....	13	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	11	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 New obligations, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	13	12	12
5001 Total investments, EOY: Federal securities: Par value .....	12	12	12

The International Center for Middle Eastern-Western Dialogue (Hollings Center) was created in 2004 to promote dialogue and cross-cultural understanding between the United States and nations of the Middle East, Turkey, Central and North Africa, Southwest and Southeast Asia and other countries with predominantly Muslim populations. The Hollings Center may use the trust fund principal and accrued interest and earnings to support annual operations.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Governmental receipts:</b>			
020–083000 Immigration, Passport, and Consular Fees .....	644	678	713
General Fund Governmental receipts .....	644	678	713
<b>Offsetting receipts from the public:</b>			
019–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	1	1
019–277630 Repatriation Loans, Downward Reestimate of Subsidies .....	1	1	
019–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	13	5	5
General Fund Offsetting receipts from the public .....	15	7	6
<b>Intragovernmental payments:</b>			
019–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	–6	5	5
General Fund Intragovernmental payments .....	–6	5	5

**MILLENNIUM CHALLENGE CORPORATION**

**Federal Funds**

**MILLENNIUM CHALLENGE CORPORATION**

For necessary expenses to carry out the provisions of the Millennium Challenge Act of 2003 (22 U.S.C. 7701 et seq.) (MCA), \$800,000,000, to remain available until expended: Provided, That of the funds appropriated under this heading, up to \$109,000,000 may be available for administrative expenses of the Millennium Challenge Corporation: Provided further, That section 605(e) of the MCA shall apply to funds appropriated under this heading: Provided further, That funds appropriated under this heading may be made available for a Millennium Challenge

Compact entered into pursuant to section 609 of the MCA only if such Compact obligates, or contains a commitment to obligate subject to the availability of funds and the mutual agreement of the parties to the Compact to proceed, the entire amount of the United States Government funding anticipated for the duration of the Compact: Provided further, That of the funds appropriated under this heading, not to exceed \$100,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 524–2750–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Compact Assistance .....	482	632	529
0002 Threshold Programs .....		45	27
0003 Due Diligence .....	79	89	95
0004 609(g) Compact Assistance .....	24	30	36
0005 Administrative Expenses .....	114	105	109
0006 USAID Inspector General .....	5	4	4
0900 Total new obligations, unexpired accounts .....	704	905	800
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,162	2,460	2,511
1021 Recoveries of prior year unpaid obligations .....	96	51	50
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	2,259	2,511	2,561
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	905	905	800
1930 Total budgetary resources available .....	3,164	3,416	3,361
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,460	2,511	2,561
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,812	2,745	3,126
3010 New obligations, unexpired accounts .....	704	905	800
3020 Outlays (gross) .....	–675	–473	–670
3040 Recoveries of prior year unpaid obligations, unexpired .....	–96	–51	–50
3050 Unpaid obligations, end of year .....	2,745	3,126	3,206
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,812	2,745	3,126
3200 Obligated balance, end of year .....	2,745	3,126	3,206
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	905	905	800
Outlays, gross:			
4010 Outlays from new discretionary authority .....	83	118	120
4011 Outlays from discretionary balances .....	592	355	550
4020 Outlays, gross (total) .....	675	473	670
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070 Budget authority, net (discretionary) .....	905	905	800
4080 Outlays, net (discretionary) .....	674	473	670
4180 Budget authority, net (total) .....	905	905	800
4190 Outlays, net (total) .....	674	473	670

Established by the Millennium Challenge Act of 2003, the Millennium Challenge Corporation (MCC) has the statutory goal of providing assistance to the poorest countries in the world to promote economic growth, eliminate extreme poverty, and strengthen good governance, economic freedom, and investments in people. Since its inception, MCC has signed 37 compacts and 28 threshold program agreements, totaling \$12 billion. These investments help foster stability through economic growth and poverty reduction in partner countries. MCC encourages policy reforms by working with only those countries that have created the conditions for growth by ruling justly, investing in their people, and committing to economic freedom,

with a particular emphasis on fighting corruption and maintaining democratic rights. Countries develop their poverty reduction proposals in broad consultation with their own civil society and MCC. MCC's evidence-based approach leads to compacts that specifically define the implementation responsibilities of partner countries, including financial accountability and transparent and fair procurement practices, and require measurable results to ensure that MCC assistance is used responsibly and effectively.

**Object Classification** (in millions of dollars)

Identification code 524–2750–0–1–151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	33	34	35
11.3 Other than full-time permanent .....	11	12	12
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	45	47	48
12.1 Civilian personnel benefits .....	15	15	15
21.0 Travel and transportation of persons .....	8	8	9
23.2 Rental payments to others .....	10	10	10
25.1 Advisory and assistance services .....	5	5	7
25.2 Other services from non-Federal sources .....	88	91	94
25.5 Research and development contracts .....	8	8	11
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	13	13	13
41.0 Country Program Assistance .....	511	707	592
99.9 Total new obligations, unexpired accounts .....	704	905	800

**Employment Summary**

Identification code 524–2750–0–1–151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	313	325	330

**INTERNATIONAL SECURITY ASSISTANCE****Federal Funds****ECONOMIC SUPPORT AND DEVELOPMENT FUND**

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255 of part I, chapter 10 of part I, and chapter 4 of part II of the Foreign Assistance Act of 1961, \$5,234,200,000, to remain available until September 30, 2021: Provided, That funds under this heading may be made available, notwithstanding any other provision of law, to support programs and activities to prevent or respond to emerging or unforeseen foreign challenges and complex crises overseas: Provided further, That funds made available under this heading may be made available for contributions to international organizations, programs administered by such organizations, and multilateral trust funds.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 072–1037–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Economic Support Fund (Direct) .....	4,709	4,600	4,600
0801 Economic Support Fund (Reimbursable) .....	1		
0900 Total new obligations, unexpired accounts .....	4,710	4,600	4,600
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,452	4,087	3,449
1010 Unobligated balance transfer to other accts [019–0209] .....	–42		
1010 Unobligated balance transfer to other accts [071–4184] .....	–2		
1010 Unobligated balance transfer to other accts [072–1264] .....	–5		
1010 Unobligated balance transfer to other accts [019–1022] .....	–7		
1010 Unobligated balance transfer to other accts [011–0077] .....	–10		
1011 Unobligated balance transfer from other acct [019–1022] .....	7		
1011 Unobligated balance transfer from other acct [011–1075] .....	1		
1012 Unobligated balance transfers between expired and unexpired accounts .....	12		
1021 Recoveries of prior year unpaid obligations .....	427		

ECONOMIC SUPPORT AND DEVELOPMENT FUND—Continued  
Program and Financing—Continued

Identification code 072-1037-0-1-152	2018 actual	2019 est.	2020 est.
1050 Unobligated balance (total) .....	4,833	4,087	3,449
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,817	1,817	5,234
1100 Appropriation-OCO .....	2,152	2,152	
1120 Appropriations transferred to other accts (019-1143) .....	-7	-7	
1120 Appropriations transferred to other acct (019-1005) .....	-1		
1120 Appropriations transferred to other acct (077-0110) .....			-50
1160 Appropriation, discretionary (total) .....	3,961	3,962	5,184
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20		
1900 Budget authority (total) .....	3,981	3,962	5,184
1930 Total budgetary resources available .....	8,814	8,049	8,633
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-17		
1941 Unexpired unobligated balance, end of year .....	4,087	3,449	4,033
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,112	9,955	10,105
3010 New obligations, unexpired accounts .....	4,710	4,600	4,600
3011 Obligations ("upward adjustments"), expired accounts .....	53		
3020 Outlays (gross) .....	-4,405	-4,450	-5,150
3040 Recoveries of prior year unpaid obligations, unexpired .....	-427		
3041 Recoveries of prior year unpaid obligations, expired .....	-88		
3050 Unpaid obligations, end of year .....	9,955	10,105	9,555
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,109	9,952	10,102
3200 Obligated balance, end of year .....	9,952	10,102	9,552
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,981	3,962	5,184
Outlays, gross:			
4010 Outlays from new discretionary authority .....	21	238	311
4011 Outlays from discretionary balances .....	4,384	4,212	4,839
4020 Outlays, gross (total) .....	4,405	4,450	5,150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-19		
4033 Non-Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-21		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	3,961	3,962	5,184
4080 Outlays, net (discretionary) .....	4,384	4,450	5,150
4180 Budget authority, net (total) .....	3,961	3,962	5,184
4190 Outlays, net (total) .....	4,384	4,450	5,150

Programs funded through the Economic Support Fund (ESF) account help foster stable, resilient, prosperous, inclusive, and self-reliant countries of strategic importance to meet their near and long-term political, economic, development, and security needs. The 2020 Budget consolidates the ESF; Development Assistance (DA); Assistance for Europe, Eurasia and Central Asia (AEECA); and Democracy Fund (DF) accounts into the Economic Support and Development Fund (ESDF) account. This integration will streamline accounts and ensure the most effective use of foreign assistance funding. The 2020 Budget prioritizes and focuses foreign assistance in regions and on programs that advance our national security and protect the American people, promote U.S. prosperity and economic opportunities, and advance American interests and values around the world, while also continuing to ensure efficiency, effectiveness, and accountability to the U.S. taxpayer.

Object Classification (in millions of dollars)

Identification code 072-1037-0-1-152	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	4	4	4
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	26	26	26
25.3 Other goods and services from Federal sources .....	5	5	5
41.0 Grants, subsidies, and contributions .....	4,667	4,558	4,558
99.0 Direct obligations .....	4,709	4,600	4,600
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations, unexpired accounts .....	4,710	4,600	4,600

Employment Summary

Identification code 072-1037-0-1-152	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

CENTRAL AMERICA AND CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

Program and Financing (in millions of dollars)

Identification code 072-1096-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

FOREIGN MILITARY FINANCING PROGRAM

For necessary expenses for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act, \$5,370,900,000: Provided, That to expedite the provision of assistance to foreign countries and international organizations, the Secretary of State may use the funds appropriated under this heading to procure defense articles and services to enhance the capacity of foreign security forces: Provided further, That the funds appropriated under this heading for assistance for Israel may be disbursed within 30 days of enactment of this Act: Provided further, That funds appropriated or otherwise made available under this heading shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: Provided further, That funds made available under this heading shall be obligated upon apportionment in accordance with paragraph (5)(C) of section 1501(a) of title 31, United States Code.

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurement has first signed an agreement with the United States Government specifying the conditions under which such procurement may be financed with such funds: Provided, That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: Provided further, That only those countries for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act: Provided further, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: Provided further, That not more than \$70,000,000 of the funds appropriated under this heading may be

obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: Provided further, That of the funds made available under this heading for general costs of administering military assistance and sales, not to exceed \$4,000 may be available for entertainment expenses and not to exceed \$130,000 may be available for representation expenses: Provided further, That not more than \$1,009,700,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act may be obligated for expenses incurred by the Department of Defense during fiscal year 2020 pursuant to section 43(b) of the Arms Export Control Act, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–1082–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Country grants .....	7,588	6,062	5,974
0009 Administrative Expenses .....	70	70	70
0192 Total Direct Obligations .....	7,658	6,132	6,044
0799 Total direct obligations .....	7,658	6,132	6,044
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7,658	6,132	6,044
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,800	673	673
1010 Unobligated balance transfer to other accts [011–1075] .....	–55		
1010 Unobligated balance transfer to other accts [072–1032] .....	–36		
1012 Unobligated balance transfers between expired and unexpired accounts .....	490		
1050 Unobligated balance (total) .....	2,199	673	673
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,132	6,132	5,371
1900 Budget authority (total) .....	6,132	6,132	5,371
1930 Total budgetary resources available .....	8,331	6,805	6,044
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	673	673	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,566	5,719	5,058
3010 New obligations, unexpired accounts .....	7,658	6,132	6,044
3011 Obligations ("upward adjustments"), expired accounts .....	612		
3020 Outlays (gross) .....	–5,568	–6,793	–7,306
3041 Recoveries of prior year unpaid obligations, expired .....	–1,549		
3050 Unpaid obligations, end of year .....	5,719	5,058	3,796
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,566	5,719	5,058
3200 Obligated balance, end of year .....	5,719	5,058	3,796
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,132	6,132	5,371
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,148	4,647	4,613
4011 Outlays from discretionary balances .....	2,420	2,146	2,693
4020 Outlays, gross (total) .....	5,568	6,793	7,306
4180 Budget authority, net (total) .....	6,132	6,132	5,371
4190 Outlays, net (total) .....	5,568	6,793	7,306

Foreign Military Financing (FMF) funds procure, via grant, loan, or guarantee, U.S. defense articles and services to help friendly and allied countries to defend themselves, contribute to regional and global stability, and contain transnational threats, including terrorism.

## PAKISTAN COUNTERINSURGENCY CAPABILITY FUND

**Program and Financing** (in millions of dollars)

Identification code 011–1083–0–1–152	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	4	
3020 Outlays (gross) .....	–5	–4	
3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5	4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5	4	

The Pakistan Counterinsurgency Capability Fund (PCCF) was designed to build the counterinsurgency capabilities of Pakistan's security forces engaged in operations against militant extremists. Since FY 2012, these needs have been met through other accounts.

## INTERNATIONAL MILITARY EDUCATION AND TRAINING

For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, \$100,000,000, to remain available until September 30, 2021: Provided, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: Provided further, That of the funds appropriated under this heading, not to exceed \$55,000 may be available for entertainment expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–1081–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Military Education and Training (Direct) .....	113	132	111
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	12	12
1012 Unobligated balance transfers between expired and unexpired accounts .....	12	13	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		11	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		–3	
1050 Unobligated balance (total) .....	29	33	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	111	111	100
1930 Total budgetary resources available .....	140	144	112
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–15		
1941 Unexpired unobligated balance, end of year .....	12	12	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	107	111	114
3010 New obligations, unexpired accounts .....	113	132	111
3011 Obligations ("upward adjustments"), expired accounts .....	13		
3020 Outlays (gross) .....	–92	–129	–106
3041 Recoveries of prior year unpaid obligations, expired .....	–30		
3050 Unpaid obligations, end of year .....	111	114	119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	107	111	114
3200 Obligated balance, end of year .....	111	114	119

INTERNATIONAL MILITARY EDUCATION AND TRAINING—Continued  
Program and Financing—Continued

Identification code 011-1081-0-1-152	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	111	111	100
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	44	40
4011 Outlays from discretionary balances .....	55	85	66
4020 Outlays, gross (total) .....	92	129	106
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	.....	.....
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-3	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	111	111	100
4080 Outlays, net (discretionary) .....	89	129	106
4180 Budget authority, net (total) .....	111	111	100
4190 Outlays, net (total) .....	89	129	106

International Military Education and Training (IMET) assistance provides grants for foreign military and civilian personnel to attend military education and training provided by the United States Government either at U.S. military schools or by trainers in country. In addition to helping these countries professionalize their militaries, this program also exposes foreign students to American democratic values, particularly respect for civilian control of the military and for internationally recognized standards of individual and human rights.

Object Classification (in millions of dollars)

Identification code 011-1081-0-1-152	2018 actual	2019 est.	2020 est.
Direct obligations:			
26.0 Supplies and materials .....	6	6	6
41.0 Grants, subsidies, and contributions .....	107	126	105
99.9 Total new obligations, unexpired accounts .....	113	132	111

PEACEKEEPING OPERATIONS

For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, \$291,435,000, to remain available until September 30, 2021: Provided, That funds appropriated under this heading may be used, notwithstanding section 660 of such Act: Provided further, That funds appropriated under this heading may be made available for a United States contribution to the Multinational Force and Observers mission in the Sinai: Provided further, That funds under this heading may be made available, notwithstanding any other provision of law, to support programs and activities to prevent or respond to emerging or unforeseen foreign challenges and complex crises overseas.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 072-1032-0-1-152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Peacekeeping Operations (Direct) .....	663	582	525
0900 Total new obligations, unexpired accounts (object class 41.0) .....	663	582	525
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	415	309	265
1010 Unobligated balance transfer to other accts [011-1075] .....	-31	.....	.....
1011 Unobligated balance transfer from other acct [011-1082] .....	36	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	14	.....	.....

1050 Unobligated balance (total) .....	434	309	265
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	538	213	291
1100 Appropriation - OCO .....	.....	325	.....
1160 Appropriation, discretionary (total) .....	538	538	291
1900 Budget authority (total) .....	538	538	291
1930 Total budgetary resources available .....	972	847	556
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	309	265	31

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	330	491	391
3010 New obligations, unexpired accounts .....	663	582	525
3011 Obligations ("upward adjustments"), expired accounts .....	8	.....	.....
3020 Outlays (gross) .....	-487	-682	-568
3041 Recoveries of prior year unpaid obligations, expired .....	-23	.....	.....
3050 Unpaid obligations, end of year .....	491	391	348
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	330	491	391
3200 Obligated balance, end of year .....	491	391	348

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	538	538	291
Outlays, gross:			
4010 Outlays from new discretionary authority .....	131	342	201
4011 Outlays from discretionary balances .....	356	340	367
4020 Outlays, gross (total) .....	487	682	568
4180 Budget authority, net (total) .....	538	538	291
4190 Outlays, net (total) .....	487	682	568

This account funds U.S. assistance to international efforts to monitor and maintain peace around the world, and provides funds to other programs carried out in furtherance of the national security interests of the United States. In 2020, support is planned for programs in Africa, the Multinational Force and Observers Mission in the Sinai, the Global Peace Operations Initiative, the Trans-Sahara Counterterrorism Partnership, and other activities. In addition, authorities are being requested in the Peacekeeping Operations account for rapid response capabilities to prevent or respond to emerging or unforeseen complex crises.

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS

For necessary expenses for nonproliferation, anti-terrorism, demining and related programs and activities, \$707,150,000, to remain available until September 30, 2021, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act, section 23 of the Arms Export Control Act, or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through nongovernmental and international organizations, and section 301 of the Foreign Assistance Act of 1961 for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission, and for a voluntary contribution to the International Atomic Energy Agency (IAEA): Provided, That funds made available under this heading for the Nonproliferation and Disarmament Fund shall be available notwithstanding any other provision of law to promote bilateral and multilateral activities relating to nonproliferation, disarmament, and weapons destruction, and shall remain available until expended: Provided further, That such funds may also be used for such countries other than the Independent States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so: Provided further, That funds made available for conventional weapons destruction programs, including demining and related activities, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of such programs and activities: Provided further, That funds made available under this heading for Export Control and Related Border Security, Global Threat Reduction, and countering Weapons of Mass Destruction Terrorism shall be made available notwithstanding any other provision of law.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–1075–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Nonproliferation, Antiterrorism, Demining, and Related Programs (Direct) .....	1,003	1,040	850
0801 Nonproliferation, Antiterrorism, Demining, and Related Programs (Reimbursable) .....	52	30	30
0900 Total new obligations, unexpired accounts .....	1,055	1,070	880
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	957	973	809
1010 Unobligated balance transfer to other accts [072–1037] .....	–1		
1011 Unobligated balance transfer from other acct [072–1032] .....	31		
1011 Unobligated balance transfer from other acct [011–1082] .....	55		
1012 Unobligated balance transfers between expired and unexpired accounts .....	44		
1021 Recoveries of prior year unpaid obligations .....	16		
1050 Unobligated balance (total) .....	1,102	973	809
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	655	655	707
1100 Appropriation (OCO) .....	221	221	
1160 Appropriation, discretionary (total) .....	876	876	707
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	53	30	30
1900 Budget authority (total) .....	929	906	737
1930 Total budgetary resources available .....	2,031	1,879	1,546
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–3		
1941 Unexpired unobligated balance, end of year .....	973	809	666
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	850	999	1,087
3010 New obligations, unexpired accounts .....	1,055	1,070	880
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	–810	–982	–1,086
3040 Recoveries of prior year unpaid obligations, unexpired .....	–16		
3041 Recoveries of prior year unpaid obligations, expired .....	–85		
3050 Unpaid obligations, end of year .....	999	1,087	881
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	850	999	1,087
3200 Obligated balance, end of year .....	999	1,087	881
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	929	906	737
Outlays, gross:			
4010 Outlays from new discretionary authority .....	119	380	313
4011 Outlays from discretionary balances .....	691	602	773
4020 Outlays, gross (total) .....	810	982	1,086
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–20	–30	–30
4033 Non-Federal sources .....	–36		
4040 Offsets against gross budget authority and outlays (total) .....	–56	–30	–30
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	876	876	707
4080 Outlays, net (discretionary) .....	754	952	1,056
4180 Budget authority, net (total) .....	876	876	707
4190 Outlays, net (total) .....	754	952	1,056

This account provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities. It also funds contributions to certain organizations supporting nonproliferation activities. In addition, notwithstanding authorities are requested for funds made available for the Export Control and Related Border Security, Global Threat Reduction, and countering Weapons of Mass Destruction Terrorism programs.

**Object Classification** (in millions of dollars)

Identification code 011–1075–0–1–152	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	30	30	30
25.2 Other services from non-Federal sources .....	403	403	360
31.0 Equipment .....	165	165	155
41.0 Grants, subsidies, and contributions .....	405	442	305
99.0 Direct obligations .....	1,003	1,040	850
99.0 Reimbursable obligations .....	52	30	30
99.9 Total new obligations, unexpired accounts .....	1,055	1,070	880

**GLOBAL SECURITY CONTINGENCY FUND**

**Program and Financing** (in millions of dollars)

Identification code 011–1041–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Global Security Contingency Fund (Direct) .....	2	5	5
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	35	30
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	37	35	30
1930 Total budgetary resources available .....	37	35	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	35	30	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	8	
3010 New obligations, unexpired accounts .....	2	5	5
3020 Outlays (gross) .....	–6	–13	–5
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3050 Unpaid obligations, end of year .....	8		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	8	
3200 Obligated balance, end of year .....	8		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	13	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	6	13	5

The Global Security Contingency Fund (GSCF) permits the Department of State and the Department of Defense to combine resources and expertise to address emergent challenges and opportunities. The GSCF can be used to provide military and other security sector assistance to enhance a country's national-level military or other security forces' capabilities to conduct border and maritime security, internal defense, and counterterrorism operations, or to participate in or support military, stability, or peace support operations, consistent with U.S. foreign policy and national security interests. The GSCF can also be used to provide assistance to the justice sector (including law enforcement and prisons), rule of law programs, and stabilization efforts in cases where civilian providers are challenged in their ability to operate. Assistance programs under this account are collaboratively developed by the Department of State and the Department of Defense. The fund allows direct contributions from each Department to be transferred into the fund for implementation by the most appropriate agency in a given situation, be it State, Defense, the U.S. Agency for International Development, or others. No direct funding is requested in 2020.

## FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011–1085–0–1–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	150	.....	.....
0705 Reestimates of direct loan subsidy .....	104	1	.....
0706 Interest on reestimates of direct loan subsidy .....	8	.....	.....
0791 Direct program activities, subtotal .....	262	1	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	262	1	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	150	.....	.....
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	112	1	.....
1900 Budget authority (total) .....	112	1	.....
1930 Total budgetary resources available .....	262	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	141	1
3010 New obligations, unexpired accounts .....	262	1	.....
3020 Outlays (gross) .....	–121	–141	.....
3050 Unpaid obligations, end of year .....	141	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	141	1
3200 Obligated balance, end of year .....	141	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	121	141	.....
Mandatory:			
4090 Budget authority, gross .....	112	1	.....
4180 Budget authority, net (total) .....	112	1	.....
4190 Outlays, net (total) .....	121	141	.....

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 011–1085–0–1–152	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 FMF Direct Loan Program .....	1,105	.....	4,000
Direct loan subsidy (in percent):			
132001 FMF Direct Loan Program .....	13.55	.....	0.00
132999 Weighted average subsidy rate .....	13.55	0.00	0.00
Direct loan subsidy budget authority:			
133001 FMF Direct Loan Program .....	150	.....	.....
Direct loan subsidy outlays:			
134001 FMF Direct Loan Program .....	9	140	.....
Direct loan reestimates:			
135001 FMF Direct Loan Program .....	112	–11	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215001 FMF Guaranteed Loan Program .....	.....	.....	4,000
Guaranteed loan subsidy (in percent):			
232001 FMF Guaranteed Loan Program .....	.....	.....	0.00

Foreign Military Financing (FMF) direct and guaranteed loans finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. The FMF Loan Program Account was established pursuant to the Federal Credit Reform Act (FCRA) of 1990, as amended, to provide the funds necessary to support the cost of FMF direct loans and guarantees. Expenditures from this account finance the subsidy cost of direct loan disbursements and loan guarantees committed, and are transferred to the respective FMF Financing Account.

## FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011–4122–0–3–152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,105	.....	4,000
0713 Payment of interest to Treasury .....	60	191	252
0742 Downward reestimates paid to receipt accounts .....	.....	12	.....
0743 Interest on downward reestimates .....	.....	1	.....
0900 Total new obligations, unexpired accounts .....	1,165	204	4,252
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	80	3	130
1023 Unobligated balances applied to repay debt .....	–80	.....	.....
1050 Unobligated balance (total) .....	.....	3	130
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	955	.....	4,000
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	342	444	929
1801 Change in uncollected payments, Federal sources .....	141	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	–270	–113	–677
1850 Spending auth from offsetting collections, mand (total) .....	213	331	252
1900 Budget authority (total) .....	1,168	331	4,252
1930 Total budgetary resources available .....	1,168	334	4,382
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	130	130
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	1,050	1,254
3010 New obligations, unexpired accounts .....	1,165	204	4,252
3020 Outlays (gross) .....	–129	.....	–4,000
3050 Unpaid obligations, end of year .....	1,050	1,254	1,506
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	–141	–141
3070 Change in uncollected pymts, Fed sources, unexpired .....	–141	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–141	–141	–141
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	909	1,113
3200 Obligated balance, end of year .....	909	1,113	1,365
<b>Financing authority and disbursements, net:</b>			
Discretionary:			
4020 Outlays, gross (total) .....	.....	.....	4,000
Mandatory:			
4090 Budget authority, gross .....	1,168	331	4,252
Financing disbursements:			
4110 Outlays, gross (total) .....	129	.....	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources .....	–121	–141	.....
4122 Interest on uninvested funds .....	–21	.....	.....
4123 Non-Federal sources .....	–200	–303	–929
4130 Offsets against gross budget authority and outlays (total) ....	–342	–444	–929
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	–141	.....	.....
4160 Budget authority, net (mandatory) .....	685	–113	3,323
4170 Outlays, net (mandatory) .....	–213	–444	–929
4180 Budget authority, net (total) .....	685	–113	3,323
4190 Outlays, net (total) .....	–213	–444	3,071

## Status of Direct Loans (in millions of dollars)

Identification code 011–4122–0–3–152	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	1,105	.....	4,000
1150 Total direct loan obligations .....	1,105	.....	4,000
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,652	2,693	2,390
1231 Disbursements: Direct loan disbursements .....	69	.....	4,000
1251 Repayments: Repayments and prepayments .....	–28	–303	–929

1290	Outstanding, end of year .....	2,693	2,390	5,461
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As required by the Federal Credit Reform Act (FCRA) of 1990, the Foreign Military Financing (FMF) Direct Loan Financing Account is a non-budgetary account that records all cash flows to and from the Government resulting from FMF direct loans obligated in 1992 and beyond. Amounts in this account are a means of financing and are not included in budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 011-4122-0-3-152	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		913
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,652	2,693
1405 Allowance for subsidy cost (-) .....		-540
1499 Net present value of assets related to direct loans .....	2,652	2,153
1999 Total assets .....	2,652	3,066
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	2,652	3,066
2104 Resources payable to Treasury .....		
2999 Total liabilities .....	2,652	3,066
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	2,652	3,066

## FOREIGN MILITARY FINANCING GUARANTEED LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 011-4386-0-3-152	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			100
1900 Budget authority (total) .....			100
1930 Total budgetary resources available .....			100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			100
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			100
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-100
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-100

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 011-4386-0-3-152	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			4,000
2150 Total guaranteed loan commitments .....			4,000
2199 Guaranteed amount of guaranteed loan commitments .....			3,200
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2231 Disbursements of new guaranteed loans .....			4,000
2251 Repayments and prepayments .....			-120
2263 Adjustments: Terminations for default that result in claim payments .....			
2290 Outstanding, end of year .....			3,880
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			3,880

As required by the Federal Credit Reform Act (FCRA) of 1990, the Foreign Military Financing (FMF) Guaranteed Loan Financing Account is a non-budgetary account that will record all cash flows to and from the Government resulting from FMF loan guarantees committed. Amounts in this account are a means of financing and are not included in the budget totals.

## FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 011-4121-0-3-152	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash) from country loans .....	13	18	18
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-13	-18	-18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-13	-18	-18
4180 Budget authority, net (total) .....	-13	-18	-18
4190 Outlays, net (total) .....	-13	-18	-18

**Status of Direct Loans** (in millions of dollars)

Identification code 011-4121-0-3-152	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	168	326	308
1251 Repayments: Repayments and prepayments from country .....	-13	-18	-18
1264 Other adjustments, net (+ or -) .....	171		
1290 Outstanding, end of year .....	326	308	290

The Foreign Military Loan Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act (FCRA) provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

Identification code 011-4121-0-3-152	2017 actual	2018 actual
<b>ASSETS:</b>		
1601 Direct loans, gross .....	168	326
1602 Interest receivable .....	493	2,180
1603 Allowance for estimated uncollectible loans and interest (-) .....		-1,843
1699 Value of assets related to direct loans .....	661	663
1999 Total assets .....	661	663
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Accrued Interest Payable to FFB .....		
2103 Debt - Principal owed to FFB .....		
2104 Resources payable to Treasury .....	661	663
2999 Total liabilities .....	661	663
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		

## FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT—Continued

## Balance Sheet—Continued

Identification code 011-4121-0-3-152	2017 actual	2018 actual
4999 Total liabilities and net position .....	661	663

## MILITARY DEBT REDUCTION FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 011-4174-0-3-152	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2		
0900 Total new obligations, unexpired accounts .....	2		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	12	12
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2		
1900 Budget authority (total) .....	2		
1930 Total budgetary resources available .....	14	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2		
3020 Outlays (gross) .....	-2		
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2		
Financing disbursements:			
4110 Outlays, gross (total) .....	2		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....	2		

## Status of Direct Loans (in millions of dollars)

Identification code 011-4174-0-3-152	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	191	191	191
1290 Outstanding, end of year .....	191	191	191

As required by the Federal Credit Reform Act of 1990, the Military Debt Reduction Financing (MDRF) Account is a non-budgetary financing account that records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals. It is an account established for the debt relief of certain countries as established by Public Law 103-87, Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994, Section 11, Special Debt Relief for the Poorest, Most Heavily Indebted Countries. The MDRF buys a portfolio of loans from the Foreign Military Loan Liquidating Account, thus transferring the loans from the Liquidating Account to the MDRF Account.

## Balance Sheet (in millions of dollars)

Identification code 011-4174-0-3-152	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	191	191
1402 Interest receivable .....	55	55
1405 Allowance for subsidy cost (-) .....	-234	-234
1499 Net present value of assets related to direct loans .....	12	12
1999 Total assets .....	12	12

<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	12	12
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	12	12

## MULTILATERAL ASSISTANCE

## Federal Funds

## GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 011-1475-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Global Agriculture and Food Security Program (GAFSP) is a multi-donor trust fund called for by G-20 leaders in 2009 to fund projects that support the agricultural investment plans of poor countries. No new funding is required in 2020.

## INTERNATIONAL FINANCIAL INSTITUTIONS

## GLOBAL ENVIRONMENT FACILITY

## CONTRIBUTION TO THE INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

For payment to the International Bank for Reconstruction and Development by the Secretary of the Treasury for the United States share of the paid-in portion of the increases in capital stock, \$206,500,000, to remain available until expended.

## LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the International Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of increases in capital stock in an amount not to exceed \$1,421,275,728.70.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 011-0077-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Global Environment Facility .....	150	140	
0002 International Bank for Reconstruction and Development .....			207
0799 Total direct obligations .....	150	140	207
0900 Total new obligations, unexpired accounts (object class 33.0) .....	150	140	207
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,663	7,663	7,663
1011 Unobligated balance transfer from other acct [072-1037] .....	10		
1050 Unobligated balance (total) .....	7,673	7,663	7,663
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	140	140	207

1900	Budget authority (total) .....	140	140	207
1930	Total budgetary resources available .....	7,813	7,803	7,870
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	7,663	7,663	7,663
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			70
3010	New obligations, unexpired accounts .....	150	140	207
3020	Outlays (gross) .....	-150	-70	-277
3050	Unpaid obligations, end of year .....		70	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			70
3200	Obligated balance, end of year .....		70	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	140	140	207
Outlays, gross:				
4010	Outlays from new discretionary authority .....	140	70	207
4011	Outlays from discretionary balances .....	10		70
4020	Outlays, gross (total) .....	150	70	277
4180	Budget authority, net (total) .....	140	140	207
4190	Outlays, net (total) .....	150	70	277

Treasury requests \$206.5 million towards the first of up to six installments under the current International Bank for Reconstruction and Development's (IBRD) capital increase.

IBRD is the arm of the World Bank that provides financing to credit-worthy middle-income countries to promote inclusive economic growth and reduce poverty. Middle-income countries—home to over 70 percent of the world's poor—rely on the IBRD for financial resources and strategic advice to meet their development needs. Working across a range of sectors, including good governance, agriculture, sustainable infrastructure, health and nutrition, and education, the IBRD supports long-term human and social development needs that private creditors do not finance. During its 2018 fiscal year, the IBRD approved \$23 billion in loans and technical assistance. The Middle East and North Africa (25.8 percent) received the largest portion of the IBRD's new lending, followed by South Asia (19.5 percent), East Asia and the Pacific (17.3 percent), Latin America and the Caribbean (16.9 percent), Eastern Europe and Central Asia (15.4 percent), and Sub-Saharan Africa (4.8 percent). The United States is the largest shareholder in the IBRD, with a 15.98 percent share of total voting power, followed by Japan and China. The United States is the only country with veto power over amendments to the Articles of Agreement.

### Global Environment Facility

The Global Environment Facility (GEF) is one of the largest dedicated funders of projects to improve the global environment, providing grants to address issues related to conservation, including wildlife trafficking, overfishing, land degradation, chemical pollution and other environmental concerns. The GEF benefits the U.S. economy and environment by addressing many external environmental problems that affect our domestic health, safety, and prosperity; in addition, since the GEF was established, 127 U.S. companies and consultants from 29 states have received contracts to participate in 119 GEF-backed projects. GEF-7 began on July 1, 2018 and will conclude on June 30, 2022. No funding is requested for the GEF in 2020 since FY 2019 anticipated appropriations are sufficient to cover the first two payments to GEF-7.

### International Finance Corporation

The International Finance Corporation (IFC) is the private sector focused part of the World Bank Group. Established in 1956, it promotes private sector development in developing countries by making loans and equity investments in private sector projects, mobilizing private capital alongside its own resources, and providing advisory and technical assistance services. In its 2018 fiscal year, the IFC approved \$11.6 billion from its own resources, and mobilized an additional \$11.6 billion from other sources, for 366 projects in 74 countries. Of the IFC's own resources and mobilized private finance, more than \$6.8 billion was for the poorest countries (those

eligible for funding from the World Bank's IDA). IFC investments in 2018 were spread across the globe, with the largest recipient regions being Latin America and the Caribbean (21.5 percent), Europe and Central Asia (19.4 percent), and South Asia (17.8 percent). The top sectors for IFC investment in 2018 were financial markets (47.3 percent), infrastructure (17.8 percent), and agribusiness and forestry (8.2 percent). The IFC also spent \$273 million for advisory services in 2018, with sub-Saharan Africa receiving \$86 million (32 percent). No funding is requested for the IFC in 2020.

### CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

*For payment to the International Development Association by the Secretary of the Treasury, \$1,097,010,000, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 011-0073-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Development Association .....	1,097	1,097	1,097
0900 Total new obligations, unexpired accounts (object class 33.0) .....	1,097	1,097	1,097
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - IDA .....	1,097	1,097	1,097
1930 Total budgetary resources available .....	1,097	1,097	1,097
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,097	1,097	1,097
3020 Outlays (gross) .....	-1,097	-1,097	-1,097
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,097	1,097	1,097
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,097	1,097	1,097
4180 Budget authority, net (total) .....	1,097	1,097	1,097
4190 Outlays, net (total) .....	1,097	1,097	1,097

Treasury requests \$1,097 million for the International Development Association (IDA) in support of IDA programs over the eighteenth replenishment (IDA-18; FY 2018-FY 2020), including towards the third of three installments to IDA-18.

IDA is the part of the World Bank that supports the growth and development of the world's 75 poorest countries. IDA works across a wide range of sectors including education, basic health, clean water and sanitation, the environment, infrastructure, and agriculture. Because countries receiving IDA financing are too poor to attract sufficient capital to support their significant development needs, they depend on concessional finance—low-cost loans and grants—to create jobs, build critical infrastructure, improve governance and public service delivery, increase agricultural productivity, increase access to energy, and invest in the health and education of future generations. IDA's goal is to help countries reduce poverty and achieve higher levels of growth and institutional capacity. Over time, IDA's support helps countries finance their development needs through domestic revenues and borrowing at non-concessional rates, including through international markets. Since its inception, IDA has provided 370 billion dollars for investments in over 113 countries. As of the beginning of IDA-18, 35 countries once eligible for IDA assistance have graduated and no longer receive concessional support from IDA. Of the \$24 billion approved in IDA's 2018 fiscal year, more than half—\$15.4 billion—went to countries in Africa, while countries in the South Asia region received the second largest amount, \$6.1 billion. Twenty percent of IDA's resources supported fragile states in IDA's 2018 fiscal year.

## CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION—Continued

**Multilateral Debt Relief Initiative**

Launched in 2006 at the urging of the United States, the Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the African Development Bank. Countries receive MDRI benefits after completing the reforms under the Heavily Indebted Poor Countries (HIPC) Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development. In accordance with the MDRI, donors compensate IDA for the cancelled debt on a dollar-for-dollar basis according to the payment schedules of the original loans. IDA calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Each donor's commitments to MDRI at IDA must be met within the three-year replenishment period to avoid a negative impact on IDA's financial capacity. With a 20.1 percent burden share, the U.S. share of the cost of MDRI under IDA-18 (FY 2018-FY 2020) is \$592.5 million. No funding is requested for IDA MDRI in 2020.

## CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

**Program and Financing** (in millions of dollars)

Identification code 011-0084-0-1-151	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	22	22
3050 Unpaid obligations, end of year .....	22	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	22	22
3200 Obligated balance, end of year .....	22	22	22
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against non-commercial risks and carrying out investment promotion activities. No funding is requested for MIGA in 2020.

## CONTRIBUTION TO THE INTER-AMERICAN DEVELOPMENT BANK

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-0072-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,798	3,798	3,798
1930 Total budgetary resources available .....	3,798	3,798	3,798
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,798	3,798	3,798
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Inter-American Development Bank (IDB) is the largest source of development financing for 26 countries in Latin America and the Caribbean, a strategically significant and economically important region for the United States where 73 million people live in poverty. The IDB works in a range of sectors and commits roughly half of its funding to support infrastructure through projects in water and sanitation, transportation and energy. The other half is split between capacity building, including reform of govern-

ment operations and financial markets, and social sectors, including social investment, health, and education. The United States is the largest shareholder in the IDB, with 30 percent of total shareholding, enabling the United States to wield significant influence over major decisions about the direction of the IDB. No new funding is requested for IDB in 2020.

**Inter-American Investment Corporation**

The Inter-American Investment Corporation (IIC), a member of the Inter-American Development Bank Group established in 1984, promotes development of the private sector in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the IDB itself. In 2017, the IIC implemented organizational and operational reforms stemming from the 2016 consolidation of the IDB's private sector financing activities into the IIC. As a result of this consolidation, the IIC's mandate has expanded from a focus on small- and medium-sized enterprises to include financing for private infrastructure and corporate entities. No funding is requested for the IIC in 2020.

## CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK

## CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

For payment to the Asian Development Bank's Asian Development Fund by the Secretary of the Treasury, \$47,395,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-0076-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Asian Development Fund .....	47	47	47
0900 Total new obligations, unexpired accounts (object class 33.0) .....	47	47	47
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	748	748	748
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Fund .....	47	47	47
1930 Total budgetary resources available .....	795	795	795
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	748	748	748
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	47	47	47
3020 Outlays (gross) .....	-47	-47	-47
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	47	47	47
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	47	47
4180 Budget authority, net (total) .....	47	47	47
4190 Outlays, net (total) .....	47	47	47

The Asian Development Bank (AsDB) promotes broad-based sustainable economic growth and development, poverty alleviation, and regional cooperation and integration in the Asia-Pacific region. It has two main financing windows: 1) the Asian Development Bank's Ordinary Capital Resources (OCR), which provides "hard loans" at market rates and "soft loans" to eligible countries at concessional rates; and 2) the Asian Development Fund (AsDF), which provides grants to the region's poorest countries that are at moderate or high risk of debt distress. Prior to January 2017, when AsDF's equity and lending operations were merged with AsDB's OCR, the AsDF provided concessional loans.

**Asian Development Bank**

The AsDB provides long-term loans at market rates to 22 middle-income Asian countries that lack the resources to finance their national economies and build critical infrastructure. AsDB also supports private sector development with technical assistance, loans, guarantees, and direct equity investments in viable private sector projects with strong development impacts. In 2017, AsDB approved \$18.5 billion for projects and leveraged another \$7.5 billion in co-financing from official and commercial sources. Through its lending, AsDB supports the construction of critical infrastructure, the expansion of private enterprise, and sustainable economic growth. The majority of AsDB assistance is for investments in transportation, energy, finance, industry and trade, with water supply, municipal infrastructure, agriculture and natural resources, and public sector management also receiving significant funding. AsDB is financed through capital contributions from donors, income earned on its loan and investment portfolios and bond issuances. No funding is requested for AsDB in 2020.

**Asian Development Fund**

Treasury requests \$47.4 million in support of Asian Development Fund (AsDF) programs over the eleventh replenishment (AsDF-12; FY 2018-FY 2021), including towards the third of four installments to AsDF-12.

AsDF currently provides grants to 18 of the poorest countries in Asia and the Pacific that face moderate or high risk of debt distress, including Afghanistan and Burma. It focuses on supporting inclusive, sustainable economic growth, as well as regional cooperation and integration. Water, energy, and transportation infrastructure compose 48 percent of all AsDF projects, while financial sector deepening, agriculture, and health projects make up the remainder of AsDF grants. AsDF also invests in cross-cutting activities, such as connecting entrepreneurial training with financing for small and medium-sized enterprises. In 2017, the Board approved \$551 million in grants for AsDF-eligible countries. Cumulatively, AsDF has provided over \$50 billion for projects in developing member countries. As a result of the merger of AsDF's lending assets into AsDB's OCR on January 1, 2017, AsDF now provides only grants. AsDF will increase grant support to eligible countries by 70 percent over the period covered under the eleventh replenishment. In recent years, the United States has focused attention within AsDF on countries where support aligns with U.S. national security priorities.

**CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK****LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS****CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND**

*For payment to the African Development Fund by the Secretary of the Treasury, \$171,300,000, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–0082–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Bank .....	32	33	.....
0002 Fund .....	171	171	171
0900 Total new obligations, unexpired accounts (object class 33.0) .....	203	204	171
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Bank .....	32	33	.....
1100 Appropriation - Fund .....	171	171	171
1160 Appropriation, discretionary (total) .....	203	204	171
1930 Total budgetary resources available .....	203	204	171

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	203	204	171
3020 Outlays (gross) .....	–203	–204	–171

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	203	204	171
Outlays, gross:			
4010 Outlays from new discretionary authority .....	203	204	171
4180 Budget authority, net (total) .....	203	204	171
4190 Outlays, net (total) .....	203	204	171

The African Development Bank Group comprises 1) the African Development Bank (AfDB), which lends at market-linked rates to middle-income African countries and Africa's private-sector; and 2) the African Development Fund (AfDF), which provides grants and concessional loans to the poorest African countries. The AfDF account includes a portion of the U.S. commitment to the Multilateral Debt Relief Initiative (MDRI).

**African Development Bank**

The AfDB provides public sector financing at market-linked rates to 20 middle-income African countries, and provides loans, equity investments, lines of credit, and guarantees to support private sector investments in all 54 African member countries. The AfDB had \$7.1 billion in lending approvals in 2018, 68 percent of which was for public sector projects and 32 percent for private sector projects. In 2018, approximately 48 percent of AfDB approvals were for infrastructure, primarily energy and transportation. Other key sectors include finance, agriculture, and governance. The United States is the largest non-regional shareholder of the AfDB, with 6.6 percent of total shareholding, and the second-largest shareholder after Nigeria. No funding is requested for the AfDB in 2020.

**African Development Fund**

Treasury requests \$171.3 million in support of AfDF programs over the fourteenth replenishment (AfDF-14; FY 2018-FY 2020), including towards the third of three installments to AfDF-14.

The AfDF is the AfDB Group's concessional lending window, providing grants and highly concessional loans to the poorest countries in Africa, of which half are fragile or conflict-affected states. In 2018, the AfDF provided \$2 billion in financing, technical assistance, and capacity-building activities to the 38 eligible countries. Many AfDF recipient countries are African economies that are becoming new, emerging markets and growing U.S. trading partners, while other AfDF recipient countries remain trapped in fragility, conflict, and poverty; are highly vulnerable to both internal and external shocks; and are in need of special assistance to achieve basic levels of service delivery. The AfDF is one of the largest official financiers of infrastructure in Sub-Saharan Africa, committing approximately half of its funding to national and regional infrastructure projects in sectors such as energy, transportation, and water and sanitation. The remainder of its funding is devoted to governance, agriculture and food security, and human capital development (e.g., health and education). The AfDF also sets aside special funding for regional projects and fragile and transitioning states; in total, approximately half of its resources are directed to fragile states.

**Multilateral Debt Relief Initiative**

Launched in 2006 at the urging of the United States, the Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the AfDB. Countries receive MDRI benefits after completing the reforms under the HIPC Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development. In accordance with the MDRI donors compensate AfDF for cancelled debt on a dollar-for-dollar basis according to the payment schedules of the original loans. Similar to IDA, AfDF calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Donor commitments must be met within the three-year

## CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK—Continued

replenishment period to avoid a negative impact on the AfDF's commitment capacity. At 11.8 percent burden share, the U.S. share of the cost of MDRI under AfDF-14 (FYs 2018–2020) is \$74 million. No funding is requested for AfDF MDRI in 2020.

0900	Total new obligations, unexpired accounts (object class 33.0) .....			10
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	10	10	10
1930	Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	10	10	.....

## CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT

## Program and Financing (in millions of dollars)

Identification code 011–0088–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	11	.....	.....
0900	Total new obligations, unexpired accounts (object class 33.0) .....	11	.....	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	11	5	5
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	11	.....	.....
1701	Change in uncollected payments, Federal sources .....	–6	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	5	.....	.....
1930	Total budgetary resources available .....	16	5	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5	5	5
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	11	.....	.....
3020	Outlays (gross) .....	–11	.....	.....
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–11	–5	–5
3070	Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	–5	–5	–5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	–11	–5	–5
3200	Obligated balance, end of year .....	–5	–5	–5
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	5	.....	.....
Outlays, gross:				
4011	Outlays from discretionary balances .....	11	.....	.....
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–11	.....	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	6	.....	.....
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	.....	.....	.....

Created in 1990, the European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism, predominately through private-sector lending and investments. Its original field of operation in the countries of Central and Eastern Europe and the former Soviet Union was expanded in 2012 to aid in the transitions of key countries in the Middle East and North Africa. No funding is requested for the EBRD in 2020.

## CONTRIBUTION TO THE NORTH AMERICAN DEVELOPMENT BANK

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 011–1008–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	North American Development Bank (Direct) .....	.....	.....	10

Identification code 011–1039–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Contributions to the International Fund for Agricultural Development (Direct) .....	30	30	.....
0900	Total new obligations, unexpired accounts (object class 33.0) .....	30	30	.....
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	30	30	.....
1930	Total budgetary resources available .....	30	30	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24	36	50
3010	New obligations, unexpired accounts .....	30	30	.....
3020	Outlays (gross) .....	–18	–16	–12
3050	Unpaid obligations, end of year .....	36	50	38
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	36	50
3200	Obligated balance, end of year .....	36	50	38

The North American Development Bank (NADB) finances infrastructure projects that help preserve, protect, and enhance the environment of the U.S.-Mexico border region in order to advance the well-being of people of both the United States and Mexico. NADB provides funding for projects to benefit communities on both sides of the border. Eligible projects must be located within 100 kilometers of the border on the U.S. side and 300 kilometers on the Mexican side. NADB provides loans and grants to both private sponsors and to municipalities and public utilities. Under its charter the United States and Mexico contribute equally to NADB's capital. No funding is requested in 2020 though there is a request to authorize subscribing to paid-in shares for which funding was previously appropriated.

## CONTRIBUTION TO ENTERPRISE FOR THE AMERICAS MULTILATERAL INVESTMENT FUND

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants, loans and equity investments to support private-sector development in Latin America and the Caribbean, with a focus on creating opportunities for poor and vulnerable populations. Grants and loans are used for technical assistance to identify innovative markets, products and business processes, investments in human capital, and business infrastructure and development.

No funding is requested for the MIF in 2020.

## CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 011–1039–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Contributions to the International Fund for Agricultural Development (Direct) .....	30	30	.....
0900	Total new obligations, unexpired accounts (object class 33.0) .....	30	30	.....
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	30	30	.....
1930	Total budgetary resources available .....	30	30	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	24	36	50
3010	New obligations, unexpired accounts .....	30	30	.....
3020	Outlays (gross) .....	–18	–16	–12
3050	Unpaid obligations, end of year .....	36	50	38
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	24	36	50
3200	Obligated balance, end of year .....	36	50	38

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross .....	30	30
Outlays, gross:			
4010	Outlays from new discretionary authority .....	6	6
4011	Outlays from discretionary balances .....	18	10
4020	Outlays, gross (total) .....	18	16
4180	Budget authority, net (total) .....	30	30
4190	Outlays, net (total) .....	18	16

The International Fund for Agricultural Development (IFAD) was established in 1977 as a multilateral financial institution focused on promoting rural agricultural development and food security in poorer countries. IFAD's specific mandate is to help rural small-scale producers and subsistence farmers increase their productivity and incomes, improve food security, and integrate them into larger markets. No funding is requested for IFAD in 2020.

INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE

For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961, \$30,000,000, to remain available until September 30, 2022, which shall be available notwithstanding any other provision of law.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011–1045–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Obligations by program activity .....	32	30	30
0801	International Affairs Technical Assistance Program (Reimbursable) .....	19	25	25
0900	Total new obligations, unexpired accounts .....	51	55	55
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	49	47	47
1021	Recoveries of prior year unpaid obligations .....	5		
1050	Unobligated balance (total) .....	54	47	47
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	30	30	30
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	15	25	25
1900	Budget authority (total) .....	45	55	55
1930	Total budgetary resources available .....	99	102	102
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	–1		
1941	Unexpired unobligated balance, end of year .....	47	47	47

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	21	27	31
3010	New obligations, unexpired accounts .....	51	55	55
3011	Obligations ("upward adjustments"), expired accounts .....	6		
3020	Outlays (gross) .....	–45	–51	–53
3040	Recoveries of prior year unpaid obligations, unexpired .....	–5		
3041	Recoveries of prior year unpaid obligations, expired .....	–1		
3050	Unpaid obligations, end of year .....	27	31	33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	21	27	31
3200	Obligated balance, end of year .....	27	31	33

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross .....	45	55	55
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	4	4
4011	Outlays from discretionary balances .....	43	47	49
4020	Outlays, gross (total) .....	45	51	53
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	–15	–25	–25

4040	Offsets against gross budget authority and outlays (total) ....	–15	–25	–25
4180	Budget authority, net (total) .....	30	30	30
4190	Outlays, net (total) .....	30	26	28

Pursuant to the Office of Technical Assistance's (OTA) authorizing statute, OTA provides technical assistance to facilitate the implementation of policy, management, and administrative reforms in the areas of budget, revenue, government debt, financial institutions and financial enforcement to developing and transition countries. This assistance supports U.S. foreign policy and national security objectives.

The 2020 Budget includes \$30 million to fund full-time resident technical assistance advisors, intermittent advisors, and program-related administrative costs. The appropriation will support technical assistance programs in Asia, the Middle East, Africa, Latin America, the Caribbean, and Europe. It will enable the provision of technical assistance to developing and transition countries to strengthen the capacity of finance ministries, central banks, and other government institutions to manage public finances and oversee the financial sector. Technical assistance projects support efficient revenue collection, well-planned and executed budgets, judicious debt management, sound banking systems, and strong controls to combat corruption and economic crimes, including terrorist financing. The appropriation will also support Treasury's work to strengthen the financial underpinnings for infrastructure development. OTA will continue to coordinate its activities with the Department of State, USAID, and other relevant U.S. Government agencies as well as international financial institutions and other bilateral donors when determining where its technical assistance program can have the greatest positive impact.

Object Classification (in millions of dollars)

Identification code 011–1045–0–1–151	2018 actual	2019 est.	2020 est.	
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
11.9	Total personnel compensation .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
21.0	Travel and transportation of persons .....	4	4	4
23.2	Rental payments to others .....	3	3	3
25.1	Advisory and assistance services .....	14	14	14
25.2	Other services from non-Federal sources .....	6	3	3
25.3	Other goods and services from Federal sources .....	3	3	3
99.0	Direct obligations .....	33	30	30
99.0	Reimbursable obligations .....	18	25	25
99.9	Total new obligations, unexpired accounts .....	51	55	55

Employment Summary

Identification code 011–1045–0–1–151	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	11	11	11
2001	Reimbursable civilian full-time equivalent employment .....	2	2	2

INTERNATIONAL ORGANIZATIONS AND PROGRAMS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 019–1005–0–1–151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	International Organizations and Programs (Direct) .....	309	339	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	309	339	
<b>Budgetary resources:</b>				
Unobligated balance:				
1012	Unobligated balance transfers between expired and unexpired accounts .....	1		

INTERNATIONAL ORGANIZATIONS AND PROGRAMS—Continued  
Program and Financing—Continued

Identification code 019–1005–0–1–151	2018 actual	2019 est.	2020 est.
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100	339	339	
1120	–32		
1121	1		
1160	308	339	
1930	309	339	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	308	310	339
3010	309	339	
3020	–306	–310	–339
3041	–1		
3050	310	339	
Memorandum (non-add) entries:			
3100	308	310	339
3200	310	339	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	308	339	
Outlays, gross:			
4011	306	310	339
4180	308	339	
4190	306	310	339

In addition to its assessed payments, the United States contributes to voluntary funds of many UN-affiliated and other international organizations and programs involved in a wide range of sustainable development, humanitarian, scientific, environmental and security activities. Although the FY 2020 request does not include IOP as a standalone account, this request includes funding for strategically selected international organizations including but not limited to the UN High Commissioner for Human Rights, the Internet Governance Forum, and the International Maritime Organization in the Economic Support and Development Fund account.

## DEBT RESTRUCTURING

## Program and Financing (in millions of dollars)

Identification code 011–0091–0–1–151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	1	1	1
1930	1	1	1
Memorandum (non-add) entries:			
1941	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	42	42	
3020		–42	
3050	42		
Memorandum (non-add) entries:			
3100	42	42	
3200	42		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011		42	
4180			
4190		42	

Funds for debt restructuring are periodically needed to help countries reduce the burden of unsustainable debts, thereby establishing a sounder footing for economic growth. Debt relief and restructuring can be fundamental to helping countries stabilize their economies, restart economic growth, and alleviate poverty and instability. Through the Paris Club and

programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, countries that have demonstrated a commitment to economic reforms can benefit from debt restructuring. These programs have provided authority and appropriations to reschedule and/or reduce debt repayments to the U.S. Government, allowing beneficiary countries to increase poverty reduction expenditures in areas such as health, education, and rural development. Debt relief can also be used to promote other U.S. Government priorities. No funding is requested for the Debt Restructuring account in 2020, though the Budget includes authorization in the event a country meets the conditions specified by U.S. law and consistent with U.S. foreign policy considerations for debt relief and restructuring.

## AGENCY FOR INTERNATIONAL DEVELOPMENT

## Federal Funds

## DEVELOPMENT ASSISTANCE

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 072–1021–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	2,999	3,100	2,916
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	3,114	3,097	2,933
1010	–6		
1010	–3		
1010	–1	–1	–1
1010	–27		
1010	–2		
1011	2		
1021	46		
1050	3,123	3,096	2,932
Budget authority:			
Appropriations, discretionary:			
1100	3,000	3,000	
1120		–55	
1131	–24	–8	
1160	2,976	2,937	
Spending authority from offsetting collections, discretionary:			
1700	1		
1900	2,977	2,937	
1930	6,100	6,033	2,932
Memorandum (non-add) entries:			
1940	–4		
1941	3,097	2,933	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	3,885	4,359	4,815
3010	2,999	3,100	2,916
3011	129		
3020	–2,520	–2,644	–2,487
3040	–46		
3041	–88		
3050	4,359	4,815	5,244
Memorandum (non-add) entries:			
3100	3,885	4,359	4,815
3200	4,359	4,815	5,244
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	2,977	2,937	
Outlays, gross:			
4010		294	
4011	2,520	2,350	2,487
4020	2,520	2,644	2,487
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	–1		

4033	Non-Federal sources .....	-46		
4040	Offsets against gross budget authority and outlays (total) ....	-47		
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts .....	46		
4060	Additional offsets against budget authority only (total) .....	46		
4070	Budget authority, net (discretionary) .....	2,976	2,937	
4080	Outlays, net (discretionary) .....	2,473	2,644	2,487
4180	Budget authority, net (total) .....	2,976	2,937	
4190	Outlays, net (total) .....	2,473	2,644	2,487

**Development Assistance Programs.**—The Development Assistance (DA) account invests in partnerships that support countries' journey to self-reliance to plan, finance, and implement solutions to their own development challenges, to end extreme poverty, and promote resilient, democratic societies around the world. In an effort to streamline accounts and ensure the most effective use of foreign assistance funding, the 2020 Budget consolidates the DA; Economic Support Fund (ESF); Assistance for Europe, Eurasia and Central Asia (AEECA); and Democracy Fund (DF) accounts into the Economic Support and Development Fund account. The 2020 Budget focuses foreign assistance in regions and on sectors that advance our national security and protect the American people, promote U.S. prosperity and economic opportunities, and advance American interests and values around the world, while continuing to support key strategic partners and allies and to ensure efficiency, effectiveness, and accountability to the U.S. taxpayer.

**Object Classification** (in millions of dollars)

Identification code 072-1021-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	7	7	7
11.3	Other than full-time permanent .....	9	9	9
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	17	17	17
12.1	Civilian personnel benefits .....	7	7	7
21.0	Travel and transportation of persons .....	4	4	4
23.1	Rental payments to GSA .....	7	7	7
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	111	111	110
25.2	Other services from non-Federal sources .....	10	10	10
25.3	Other goods and services from Federal sources .....	4	4	4
25.5	Research and development contracts .....	6	6	6
25.7	Operation and maintenance of equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2,831	2,932	2,749
99.9	Total new obligations, unexpired accounts .....	2,999	3,100	2,916

**Employment Summary**

Identification code 072-1021-0-1-151	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	119	119	119

**CHILD SURVIVAL AND HEALTH PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 072-1095-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Child Survival and Health Programs (Direct) .....	10	10	
0900	Total new obligations, unexpired accounts (object class 41.0) .....	10	10	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	34	38	28
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	38	38	28
1930	Total budgetary resources available .....	38	38	28
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	38	28	18

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	1	3
3010	New obligations, unexpired accounts .....		10	10
3020	Outlays (gross) .....		-8	-8
3040	Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050	Unpaid obligations, end of year .....	1	3	5
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....		-4	-2
3200	Obligated balance, end of year .....	-4	-2	

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
<b>Outlays, gross:</b>				
4011	Outlays from discretionary balances .....		8	8
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....		8	8

Prior to 2008, funds were appropriated to the Child Survival and Health Programs account to support activities that address family planning/reproductive health; child survival and maternal health, including activities directed at vulnerable children and the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency; preventing and treating infectious diseases such as malaria and tuberculosis; and reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing countries. Additional funding for HIV/AIDS was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds for these activities were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

**HIV/AIDS WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 072-1033-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	HIV/AIDS Working Capital Fund (Reimbursable) .....	580	350	350
0900	Total new obligations, unexpired accounts (object class 41.0) .....	580	350	350
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	304	413	388
<b>Budget authority:</b>				
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	597	325	325
1701	Change in uncollected payments, Federal sources .....	92		
1750	Spending auth from offsetting collections, disc (total) .....	689	325	325
1930	Total budgetary resources available .....	993	738	713
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	413	388	363

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	545	409	322
3010	New obligations, unexpired accounts .....	580	350	350
3020	Outlays (gross) .....	-716	-437	-402
3050	Unpaid obligations, end of year .....	409	322	270
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-92	-92
3070	Change in uncollected pymts, Fed sources, unexpired .....	-92		
3090	Uncollected pymts, Fed sources, end of year .....	-92	-92	-92
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	545	317	230
3200	Obligated balance, end of year .....	317	230	178

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
<b>Budget authority, gross:</b>				
4000	Budget authority, gross .....	689	325	325
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	18	211	211

HIV/AIDS WORKING CAPITAL FUND—Continued  
Program and Financing—Continued

Identification code 072–1033–0–1–151	2018 actual	2019 est.	2020 est.
4011 Outlays from discretionary balances .....	698	226	191
4020 Outlays, gross (total) .....	716	437	402
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–578	–325	–325
4033 Non-Federal sources .....	–19		
4040 Offsets against gross budget authority and outlays (total) ....	–597	–325	–325
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–92		
4080 Outlays, net (discretionary) .....	119	112	77
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	119	112	77

The HIV/AIDS Working Capital Fund (WCF) was established to assist in providing a safe, secure, reliable, and sustainable supply chain of pharmaceuticals and other products needed to provide care to and treatment for persons with HIV/AIDS and related infections. These include anti-retroviral drugs; other pharmaceuticals and medical items; laboratory and other supplies for performing tests; other medical supplies needed for the operation of HIV/AIDS treatment and care centers, including products needed in programs for the prevention of mother-to-child transmission; pharmaceuticals and health commodities needed for the provision of palliative care; and laboratory and clinical equipment, equipment needed for the transportation and care of HIV/AIDS supplies, and other equipment and technical assistance needed to provide prevention, care and treatment of HIV/AIDS described above. Funds in the WCF may also be made available for pharmaceuticals and other products for maternal and child survival, malaria, tuberculosis, and emerging infectious diseases.

DEVELOPMENT FUND FOR AFRICA

Program and Financing (in millions of dollars)

Identification code 072–1014–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Development Fund for Africa (Direct) .....		2	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....		2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	13	11
1930 Total budgetary resources available .....	13	13	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	11	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 New obligations, unexpired accounts .....		2	2
3020 Outlays (gross) .....		–1	–2
3050 Unpaid obligations, end of year .....	3	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	2

For 2020, assistance to Africa is requested in other assistance accounts.

ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 072–0306–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Assistance for Europe, Eurasia and Central Asia (Direct) .....	907	902	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	934	762	610
1010 Unobligated balance transfer to other accts [012–2900] .....	–2		
1010 Unobligated balance transfer to other accts [089–0319] .....	–4		
1010 Unobligated balance transfer to other accts [013–1250] .....	–2		
1010 Unobligated balance transfer to other accts [012–1105] .....	–1		
1010 Unobligated balance transfer to other accts [013–0120] .....	–6		
1010 Unobligated balance transfer to other accts [009–0145] .....	–2		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	919	762	610
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	750	750	
1930 Total budgetary resources available .....	1,669	1,512	610
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	762	610	610
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	558	991	1,405
3010 New obligations, unexpired accounts .....	907	902	
3011 Obligations ("upward adjustments"), expired accounts .....	10		
3020 Outlays (gross) .....	–471	–488	–492
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3041 Recoveries of prior year unpaid obligations, expired .....	–11		
3050 Unpaid obligations, end of year .....	991	1,405	913
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	558	991	1,405
3200 Obligated balance, end of year .....	991	1,405	913
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....			
4000 Budget authority, gross .....	750	750	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		38	
4011 Outlays from discretionary balances .....	471	450	492
4020 Outlays, gross (total) .....	471	488	492
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	–1		
4040 Offsets against gross budget authority and outlays (total) ....	–1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	750	750	
4080 Outlays, net (discretionary) .....	470	488	492
4180 Budget authority, net (total) .....	750	750	
4190 Outlays, net (total) .....	470	488	492

The purpose of the Assistance for Europe, Eurasia and Central Asia (AEECA) account was to support programs to foster the democratic and economic transitions of the countries of Southeastern Europe and the independent states that emerged from the dissolution of the Soviet Union, as well as related efforts to address social sector reform and combat transnational threats in these countries. From 2013 through 2015, funding for the programs formerly funded through AEECA were included in the Economic Support Fund (ESF), International Narcotics Control and Law Enforcement (INCLE), and Global Health Programs (GHP) accounts. In 2016, Congress reinstated the AEECA account for those programs funded with ESF and INCLE; however, the 2018, 2019, and 2020 requests propose funding all of these programs through the Economic Support and Development Fund, INCLE, and GHP accounts.

**Object Classification** (in millions of dollars)

Identification code 072-0306-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	2	2	.....
25.1 Advisory and assistance services .....	1	1	.....
25.3 Other goods and services from Federal sources .....	2	2	.....
41.0 Grants, subsidies, and contributions .....	902	897	.....
99.9 Total new obligations, unexpired accounts .....	907	902	.....

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES

**Program and Financing** (in millions of dollars)

Identification code 072-1010-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Assistance for Eastern Europe and the Baltic States (Direct) .....	.....	2	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	2	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	2
1930 Total budgetary resources available .....	4	4	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	2	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	.....	2	2
3020 Outlays (gross) .....	.....	-2	-1
3050 Unpaid obligations, end of year .....	.....	.....	1
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....	.....	.....	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	.....	2	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	2	1

This account provided funds for assistance programs that fostered the democratic and economic transitions of Eastern Europe and the Baltic states as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

**Program and Financing** (in millions of dollars)

Identification code 072-1093-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Assistance for the Independent States of the Former Soviet Union (Direct) .....	.....	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	1	1
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	6
1930 Total budgetary resources available .....	7	7	6
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	6	5
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	3
3010 New obligations, unexpired accounts .....	.....	1	1
3020 Outlays (gross) .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	3	3	3

Memorandum (non-add) entries:

3100 Obligated balance, start of year .....	5	3	3
3200 Obligated balance, end of year .....	3	3	3

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	1	1

This account provided funds for assistance programs that fostered the democratic and economic transitions of the independent states that emerged from the former Soviet Union, as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

INTERNATIONAL DISASTER ASSISTANCE

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 072-1035-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 International Disaster Assistance (Direct) .....	3,699	3,600	2,757
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,413	2,072	2,757
1021 Recoveries of prior year unpaid obligations .....	70	.....	.....
1050 Unobligated balance (total) .....	1,483	2,072	2,757
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	2,696	2,696	.....
1100 Appropriation (OCO) .....	1,589	1,589	.....
1121 Appropriations transferred from other acct [070-0702] .....	3	.....	.....
1160 Appropriation, discretionary (total) .....	4,288	4,285	.....
1930 Total budgetary resources available .....	5,771	6,357	2,757
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,072	2,757	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,506	3,667	3,513
3010 New obligations, unexpired accounts .....	3,699	3,600	2,757
3020 Outlays (gross) .....	-3,468	-3,754	-2,732
3040 Recoveries of prior year unpaid obligations, unexpired .....	-70	.....	.....
3050 Unpaid obligations, end of year .....	3,667	3,513	3,538
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3,506	3,667	3,513
3200 Obligated balance, end of year .....	3,667	3,513	3,538
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,288	4,285	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	96	1,361	.....
4011 Outlays from discretionary balances .....	3,372	2,993	2,732
4020 Outlays, gross (total) .....	3,468	3,754	2,732
4180 Budget authority, net (total) .....	4,288	4,285	.....
4190 Outlays, net (total) .....	3,468	3,754	2,732

In past years, the International Disaster Assistance (IDA) account provided funds to save lives, reduce human suffering, and mitigate and prepare for natural and complex emergencies overseas. As part of an Administration effort to optimize humanitarian assistance, prioritize funding, and use funding as effectively and efficiently as possible, there is no request for IDA. Instead, these funds along with funds for overseas assistance previously requested in the Migration and Refugee Assistance (MRA) account

INTERNATIONAL DISASTER ASSISTANCE—Continued

are consolidated in the new International Humanitarian Assistance (IHA) account.

Funds in IHA will support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs and will support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and the International Organization for Migration (IOM), the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

The 2020 request also continues to propose eliminating funding for the inefficient food aid in the P.L. 480 Title II account. IHA will ensure that all food assistance programs are appropriate to local needs and will increase overall effectiveness.

**Object Classification** (in millions of dollars)

Identification code 072-1035-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	44	44	44
21.0 Travel and transportation of persons .....	12	12	12
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	3	3	3
25.1 Advisory and assistance services .....	15	15	15
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	9	9	9
41.0 Grants, subsidies, and contributions .....	3,614	3,515	2,672
99.9 Total new obligations, unexpired accounts .....	3,699	3,600	2,757

**Employment Summary**

Identification code 072-1035-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6	6	.....

**OPERATING EXPENSES**

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$1,275,200,000, to remain available until September 30, 2021: Provided, That contracts or agreements entered into with funds appropriated under this heading may entail commitments for the expenditure of such funds through the following fiscal year: Provided further, That the authority of sections 610 and 109 of the Foreign Assistance Act of 1961 may be exercised by the Secretary of State to transfer funds appropriated to carry out chapter 1 of part I of such Act to "Operating Expenses" in accordance with the provisions of those sections: Provided further, That of the funds appropriated or made available under this heading, not to exceed \$250,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses, and not to exceed \$100,500 shall be for official residence expenses, for USAID during the current fiscal year.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 072-1000-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses of the Agency for International Development (Direct) .....	1,462	1,455	1,271
0002 Foreign national separation fund .....	2	2	2
0799 Total direct obligations .....	1,464	1,457	1,273
0801 Operating Expenses of the Agency for International Development (Reimbursable) .....	46	46	46
0900 Total new obligations, unexpired accounts .....	1,510	1,503	1,319

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	114	111	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	37	.....	.....
1021 Recoveries of prior year unpaid obligations .....	7	.....	.....
1033 Recoveries of prior year paid obligations .....	68	.....	.....
1050 Unobligated balance (total) .....	226	111	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,348	1,190	1,275
1100 Appropriation - OCO .....	.....	158	.....
1160 Appropriation, discretionary (total) .....	1,348	1,348	1,275
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	44	44	44
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	50	44	44
1900 Budget authority (total) .....	1,398	1,392	1,319
1930 Total budgetary resources available .....	1,624	1,503	1,319
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-3	.....	.....
1941 Unexpired unobligated balance, end of year .....	111	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	685	797	985
3010 New obligations, unexpired accounts .....	1,510	1,503	1,319
3011 Obligations ("upward adjustments"), expired accounts .....	5	.....	.....
3020 Outlays (gross) .....	-1,327	-1,315	-1,320
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-69	.....	.....
3050 Unpaid obligations, end of year .....	797	985	984
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	685	791	979
3200 Obligated balance, end of year .....	791	979	978

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,398	1,392	1,319
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	691	916	869
4011 Outlays from discretionary balances .....	636	399	451
4020 Outlays, gross (total) .....	1,327	1,315	1,320
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-43	-44	-44
4033 Non-Federal sources .....	-69	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-112	-44	-44
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	68	.....	.....
4060 Additional offsets against budget authority only (total) .....	62	.....	.....
4070 Budget authority, net (discretionary) .....	1,348	1,348	1,275
4080 Outlays, net (discretionary) .....	1,215	1,271	1,276
4180 Budget authority, net (total) .....	1,348	1,348	1,275
4190 Outlays, net (total) .....	1,215	1,271	1,276

This account supports the cost of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct-hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, D.C., which supports field programs and manages regional and worldwide activities.

**Object Classification** (in millions of dollars)

Identification code 072-1000-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	374	377	380
11.3 Other than full-time permanent .....	68	68	55

11.5	Other personnel compensation .....	55	55	40
11.8	Special personal services payments .....	11	11	2
11.9	Total personnel compensation .....	508	511	477
12.1	Civilian personnel benefits .....	187	188	188
21.0	Travel and transportation of persons .....	71	71	50
22.0	Transportation of things .....	22	22	15
23.1	Rental payments to GSA .....	86	94	94
23.2	Rental payments to others .....	48	48	48
23.3	Communications, utilities, and miscellaneous charges .....	14	14	14
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	160	143	60
25.2	Other services from non-Federal sources .....	106	106	80
25.3	Other goods and services from Federal sources .....	184	193	193
25.4	Operation and maintenance of facilities .....	27	27	20
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	6	6	6
26.0	Supplies and materials .....	6	6	5
31.0	Equipment .....	30	25	20
32.0	Land and structures .....	3		
41.0	Grants, subsidies, and contributions .....	3		
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Direct obligations .....	1,464	1,457	1,273
99.0	Reimbursable obligations .....	44	44	44
99.5	Adjustment for rounding .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	1,510	1,503	1,319

**Employment Summary**

Identification code 072-1000-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3,137	3,177	3,217
2001 Reimbursable civilian full-time equivalent employment .....	5	5	5

**CAPITAL INVESTMENT FUND**

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, \$198,300,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 072-0300-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 IT/New Construction .....	197	217	198
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	20	
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	20	20	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - IT/New Construction .....	197	197	198
1930 Total budgetary resources available .....	217	217	198
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	35	43
3010 New obligations, unexpired accounts .....	197	217	198
3020 Outlays (gross) .....	-189	-209	-209
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	35	43	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	35	43
3200 Obligated balance, end of year .....	35	43	32
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	197	197	198

Outlays, gross:			
4010 Outlays from new discretionary authority .....	172	187	188
4011 Outlays from discretionary balances .....	17	22	21
4020 Outlays, gross (total) .....	189	209	209
4180 Budget authority, net (total) .....	197	197	198
4190 Outlays, net (total) .....	189	209	209

\$198.3 million is requested in base funding for this account, which funds capital information technology (IT) investments for USAID, maintenance of USAID-owned properties, and USAID's contribution to the Capital Security Cost Sharing (CSCS) Program. Funds from the Capital Investment Fund will only be made available after USAID has demonstrated a successful business case for its IT investments.

The Administration also requests funds for maintenance of USAID-owned properties and USAID's per capita contribution to the CSCS Program administered by the Department of State Overseas Building Operations. The CSCS program is designed to accelerate the construction of secure, safe, functional facilities for all U.S. Government personnel overseas.

**Object Classification (in millions of dollars)**

Identification code 072-0300-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
24.0 Printing and reproduction .....	1	1	
25.1 Advisory and assistance services .....	26	27	13
25.2 Other services from non-Federal sources .....	1	1	
25.4 Operation and maintenance of facilities .....	1	1	
32.0 Land and structures .....	168	187	185
99.9 Total new obligations, unexpired accounts .....	197	217	198

**TRANSITION INITIATIVES**

For necessary expenses for international disaster rehabilitation and reconstruction assistance administered by the Office of Transition Initiatives, United States Agency for International Development (USAID), pursuant to section 491 of the Foreign Assistance Act of 1961, \$112,043,000, to remain available until expended, to support transition to democracy and long-term development of countries in crisis: Provided, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster the peaceful resolution of conflict: Provided further, That the USAID Administrator shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance: Provided further, That if the Secretary of State determines that it is important to the national interest of the United States to provide transition assistance in excess of the amount appropriated under this heading, up to \$15,000,000 of the funds appropriated by this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used for purposes of this heading and under the authorities applicable to funds appropriated under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 072-1027-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Transition Initiatives (Direct) .....	95	95	105
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	15	12
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	18	15	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	92	30	112
1100 Appropriation - OCO .....		62	
1160 Appropriation, discretionary (total) .....	92	92	112
1930 Total budgetary resources available .....	110	107	124

TRANSITION INITIATIVES—Continued  
Program and Financing—Continued

Identification code 072–1027–0–1–151	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	15	12	19
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	138	144	142
3010 New obligations, unexpired accounts .....	95	95	105
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-85	-97	-107
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	144	142	140
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	138	144	142
3200 Obligated balance, end of year .....	144	142	140
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	92	92	112
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	13	20	28
4011 Outlays from discretionary balances .....	72	77	79
4020 Outlays, gross (total) .....	85	97	107
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4033 Non-Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	92	92	112
4080 Outlays, net (discretionary) .....	84	97	107
4180 Budget authority, net (total) .....	92	92	112
4190 Outlays, net (total) .....	84	97	107

The Transition Initiatives (TI) account addresses opportunities and challenges facing conflict-prone countries and those countries making the transition from the initial crisis stage of a complex emergency to sustainable development and democracy. Programs are focused on advancing peace and stability, including promoting the responsiveness of central governments to local needs, increasing civic participation, raising awareness of national issues through media, addressing the underlying causes of instability, and supporting conflict resolution measures. Recent country examples where TI funds were used include Nigeria, Somalia, Burma, and Ukraine.

TI funding provides core operational funds for the Office of Transition Initiatives within the U.S. Agency for International Development Bureau for Democracy, Conflict, and Humanitarian Assistance.

Object Classification (in millions of dollars)

Identification code 072–1027–0–1–151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
12.1 Civilian personnel benefits .....	18	18	19
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	1	1	1
23.2 Rental payments to others .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	3	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	67	67	78
99.9 Total new obligations, unexpired accounts .....	95	95	105

Employment Summary

Identification code 072–1027–0–1–151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

UKRAINE LOAN GUARANTEES PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072–0402–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0707 Reestimates of loan guarantee subsidy .....	40		
0708 Interest on reestimates of loan guarantee subsidy .....	2		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	42		
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	42		
1900 Budget authority (total) .....	42		
1930 Total budgetary resources available .....	42		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	42		
3020 Outlays (gross) .....	-42		
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	42		
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	42		
4180 Budget authority, net (total) .....	42		
4190 Outlays, net (total) .....	42		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072–0402–0–1–151	2018 actual	2019 est.	2020 est.
<b>Guaranteed loan reestimates:</b>			
235001 Ukraine Loan Guarantees .....	-45	-80	

CONFLICT STABILIZATION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 072–0305–0–1–151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Conflict Stabilization Operations (Direct) .....	1		
0900 Total new obligations, unexpired accounts (object class 99.5) .....	1		
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
1930 Total budgetary resources available .....	2	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	1		
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1		

**Employment Summary**

Identification code 072-0305-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4		

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$71,500,000, to remain available until September 30, 2021, for the Office of Inspector General of the United States Agency for International Development.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 072-1007-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses, Office of Inspector General (Direct) .....	75	74	72
0801 Operating Expenses, Office of Inspector General (Reimbursable) .....	5	5	5
0900 Total new obligations, unexpired accounts .....	80	79	77

**Budgetary resources:**  
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1 .....	8	7	14
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	8	8	15
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	75	74	72
1100 Appropriation - OCO .....		3	
1160 Appropriation, discretionary (total) .....	75	77	72
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	5	8	5
1900 Budget authority (total) .....	80	85	77
1930 Total budgetary resources available .....	88	93	92
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	7	14	15

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	32	36	14
3010 New obligations, unexpired accounts .....	80	79	77
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-74	-100	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	36	14	10
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	30	34	12
3200 Obligated balance, end of year .....	34	12	8

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	80	85	77
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	47	68	63
4011 Outlays from discretionary balances .....	27	32	17
4020 Outlays, gross (total) .....	74	100	80
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-8	-5
4033 Non-Federal sources .....	-4		
4040 Offsets against gross budget authority and outlays (total) .....	-5	-8	-5
4070 Budget authority, net (discretionary) .....	75	77	72
4080 Outlays, net (discretionary) .....	69	92	75
4180 Budget authority, net (total) .....	75	77	72

4190 Outlays, net (total) .....	69	92	75
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The funds cover the costs of operations of the Office of the Inspector General, U.S. Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

**Object Classification** (in millions of dollars)

Identification code 072-1007-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	20	20	20
11.3 Other than full-time permanent .....	4	4	3
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	28	28	27
12.1 Civilian personnel benefits .....	12	12	11
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	10	10	10
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	11	10	10
31.0 Equipment .....	2	2	2
99.0 Direct obligations .....	75	74	72
99.0 Reimbursable obligations .....	5	5	5
99.9 Total new obligations, unexpired accounts .....	80	79	77

**Employment Summary**

Identification code 072-1007-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	187	187	179
2001 Reimbursable civilian full-time equivalent employment .....	22	22	22

**PROPERTY MANAGEMENT FUND**

**Program and Financing** (in millions of dollars)

Identification code 072-4175-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Property Management Fund (Reimbursable) .....	1	5	
0900 Total new obligations, unexpired accounts (object class 32.0) .....	1	5	

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	27	27	22
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1		
1930 Total budgetary resources available .....	28	27	22
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	27	22	22

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	6
3010 New obligations, unexpired accounts .....	1	5	
3050 Unpaid obligations, end of year .....	1	6	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....		1	6
3200 Obligated balance, end of year .....	1	6	6

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1		
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-1		

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available

## PROPERTY MANAGEMENT FUND—Continued

to construct or otherwise acquire outside the United States: 1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and 2) schools (including dormitories and boarding facilities) and hospitals for use of USAID and other U.S. Government personnel and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

## UKRAINE LOAN GUARANTEES FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4345-0-3-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0742	Downward reestimates paid to receipt accounts .....	80	74	.....
0743	Interest on downward reestimates .....	7	6	.....
0900	Total new obligations, unexpired accounts .....	87	80	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1,166	1,149	1,097
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	70	28	28
1930	Total budgetary resources available .....	1,236	1,177	1,125
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1,149	1,097	1,125
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....			80
3010	New obligations, unexpired accounts .....	87	80	.....
3020	Outlays (gross) .....	-87	.....	.....
3050	Unpaid obligations, end of year .....		80	80
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....			80
3200	Obligated balance, end of year .....		80	80
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	70	28	28
Financing disbursements:				
4110	Outlays, gross (total) .....	87	.....	.....
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-42	.....	.....
4122	Interest on uninvested funds .....	-28	-28	-28
4130	Offsets against gross budget authority and outlays (total) ....	-70	-28	-28
4170	Outlays, net (mandatory) .....	17	-28	-28
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	17	-28	-28

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4345-0-3-151	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....			.....
2121	Limitation available from carry-forward .....			.....
2143	Uncommitted limitation carried forward .....			.....
2150	Total guaranteed loan commitments .....			.....
2199	Guaranteed amount of guaranteed loan commitments .....			.....
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	3,000	3,000	2,000
2231	Disbursements of new guaranteed loans .....			.....
2251	Repayments and prepayments .....		-1,000	-1,000
2290	Outstanding, end of year .....	3,000	2,000	1,000
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	3,000	2,000	1,000

## Balance Sheet (in millions of dollars)

Identification code 072-4345-0-3-151	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	771	1,149
Investments in U.S. securities:			
1106	Receivables, net .....		43
1999	Total assets .....	771	1,192
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other .....		.....
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	771	1,192
2999	Total liabilities .....	771	1,192
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		.....
4999	Total liabilities and net position .....	771	1,192

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

Identification code 072-4513-0-4-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0801	Working Capital Fund (Reimbursable) .....	18	20	20
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	16	16
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1050	Unobligated balance (total) .....	22	16	16
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	8	20	20
1701	Change in uncollected payments, Federal sources .....	4	.....	.....
1750	Spending auth from offsetting collections, disc (total) .....	12	20	20
1930	Total budgetary resources available .....	34	36	36
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	12	5
3010	New obligations, unexpired accounts .....	18	20	20
3020	Outlays (gross) .....	-14	-27	-24
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	12	5	1
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-16	-16
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-3	-4	-11
3200	Obligated balance, end of year .....	-4	-11	-15
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	20	20
Outlays, gross:				
4010	Outlays from new discretionary authority .....	7	20	20
4011	Outlays from discretionary balances .....	7	7	4
4020	Outlays, gross (total) .....	14	27	24
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-20	-20
4040	Offsets against gross budget authority and outlays (total) ....	-8	-20	-20
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4080	Outlays, net (discretionary) .....	6	7	4
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	6	7	4

The Fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International

Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The Fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service-provider missions and technical support to missions currently providing services.

**Object Classification** (in millions of dollars)

Identification code 072-4513-0-4-151	2018 actual	2019 est.	2020 est.
11.3 Reimbursable obligations: Personnel compensation: Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services from non-Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	2	3	3
26.0 Supplies and materials .....	2	3	3
31.0 Equipment .....	1	1	1
99.0 Reimbursable obligations .....	16	18	18
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	18	20	20

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4137-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	2	16	.....
0900 Total new obligations, unexpired accounts .....	2	16	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	120	97
1010 Unobligated balance transfer to other accts [077-4137] .....	.....	.....	-97
1023 Unobligated balances applied to repay debt .....	.....	-54	.....
1050 Unobligated balance (total) .....	60	66	.....
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections-non-federal .....	62	32	.....
1800 Offsetting collections-federal .....	.....	15	.....
1850 Spending auth from offsetting collections, mand (total) .....	62	47	.....
1900 Budget authority (total) .....	62	47	.....
1930 Total budgetary resources available .....	122	113	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	120	97	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	16	.....
3020 Outlays (gross) .....	-2	-16	.....
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	62	47	.....
Financing disbursements:			
4110 Outlays, gross (total) .....	2	16	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-6	-15	.....
4123 Non-federal sources (Loan Repayments-Principal) .....	-40	-12	.....
4123 Non-Federal sources (Loan Payments-Interest) .....	-16	-20	.....
4130 Offsets against gross budget authority and outlays (total) .....	-62	-47	.....
4170 Outlays, net (mandatory) .....	-60	-31	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-60	-31	.....

**Status of Direct Loans** (in millions of dollars)

Identification code 072-4137-0-3-151	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	745	709	697
1251 Repayments: Repayments and prepayments .....	-36	-12	.....
1264 Other adjustments, net .....	.....	.....	-697
1290 Outstanding, end of year .....	709	697	.....

**Balance Sheet** (in millions of dollars)

Identification code 072-4137-0-3-151	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	60	120
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	745	709
1402 Interest receivable .....	9	7
1405 Allowance for subsidy cost (-) .....	-745	-599
1499 Net present value of assets related to direct loans .....	9	117
1999 Total assets .....	69	237
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	33	.....
2103 Debt - Prin Payable to BPD .....	36	36
2999 Total liabilities .....	69	36
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	201
4999 Total liabilities and net position .....	69	237

**LOAN GUARANTEES TO ISRAEL PROGRAM ACCOUNT**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-0301-0-1-151	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees to Israel .....	.....	2,000	1,814
Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees to Israel .....	.....	0.00	0.00
Guaranteed loan reestimates:			
235001 Loan Guarantees to Israel .....	-119	-152	.....

**LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4119-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts .....	28	37	.....
0743 Interest on downward reestimates .....	91	115	.....
0900 Total new obligations, unexpired accounts .....	119	152	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,293	1,254	1,231
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	80	129	129
1930 Total budgetary resources available .....	1,373	1,383	1,360
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,254	1,231	1,360
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	152
3010 New obligations, unexpired accounts .....	119	152	.....
3020 Outlays (gross) .....	-119	.....	.....
3050 Unpaid obligations, end of year .....	.....	152	152
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	152

## LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT—Continued

## Program and Financing—Continued

Identification code 072-4119-0-3-151	2018 actual	2019 est.	2020 est.
3200 Obligated balance, end of year .....		152	152
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	80	129	129
Financing disbursements:			
4110 Outlays, gross (total) .....	119		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-80	-80	-80
4123 Non-Federal sources - Fees .....		-49	-49
4130 Offsets against gross budget authority and outlays (total) ....	-80	-129	-129
4170 Outlays, net (mandatory) .....	39	-129	-129
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	39	-129	-129

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4119-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	3,814	3,814	1,814
2143 Uncommitted limitation carried forward .....	-3,814	-1,814	
2150 Total guaranteed loan commitments .....		2,000	1,814
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	9,405	9,003	10,601
2231 Disbursements of new guaranteed loans .....		2,000	1,814
2251 Repayments and prepayments .....	-402	-402	-402
2264 Adjustments: Other adjustments, net .....			
2290 Outstanding, end of year .....	9,003	10,601	12,013
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	9,003	10,601	12,013

## Balance Sheet (in millions of dollars)

Identification code 072-4119-0-3-151	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	1,332	1,253
1999 Total assets .....	1,332	1,253
<b>LIABILITIES:</b>		
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,332	1,253
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total upward reestimate subsidy BA [72-0301] .....	1,332	1,253

## MENA LOAN GUARANTEE PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-0409-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy .....	276	174	
0708 Interest on reestimates of loan guarantee subsidy .....	24	20	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	300	194	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	300	194	
1900 Budget authority (total) .....	300	194	
1930 Total budgetary resources available .....	300	194	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	300	194	

3020 Outlays (gross) .....	-300	-194	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	300	194	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	300	194	
4180 Budget authority, net (total) .....	300	194	
4190 Outlays, net (total) .....	300	194	

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0409-0-1-151	2018 actual	2019 est.	2020 est.
Guaranteed loan reestimates:			
235001 Loan Guarantees to Tunisia .....	-11	138	
235002 Loan Guarantees to Jordan .....	301	32	
235003 Loan Guarantees to Iraq .....	-29	25	
235999 Total guaranteed loan reestimates .....	261	195	

## MENA LOAN GUARANTEE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4493-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts .....	38		
0743 Interest on downward reestimates .....	1		
0900 Total new obligations, unexpired accounts .....	39		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	825	1,105	1,338
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	319	233	39
1930 Total budgetary resources available .....	1,144	1,338	1,377
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,105	1,338	1,377
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	39		
3020 Outlays (gross) .....	-39		

## Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	319	233	39
Financing disbursements:			
4110 Outlays, gross (total) .....	39		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy payments from program account .....	-300		
4120 Federal sources - upward reestimate .....		-194	
4122 Interest on uninvested funds .....	-19	-39	-39
4130 Offsets against gross budget authority and outlays (total) ....	-319	-233	-39
4170 Outlays, net (mandatory) .....	-280	-233	-39
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-280	-233	-39

## Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4493-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	5,735	6,235	4,750
2231 Disbursements of new guaranteed loans .....			
2251 Repayments and prepayments .....		-1,485	

2264	Adjustments: Other adjustments, net .....	500		
2290	Outstanding, end of year .....	6,235	4,750	4,750
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	6,235	4,750	4,750

**Balance Sheet** (in millions of dollars)

Identification code 072-4493-0-3-151	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	484	1,105
1106	Investments in U.S. securities: Receivables, net (subsidy from program fund) .....	68	144
1999	Total assets .....	552	1,249
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2204	Liabilities for loan guarantees .....	552	1,194
2207	Other Liabilities without related budgetary obligations .....		55
2999	Total liabilities .....	552	1,249
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	552	1,249

**URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-0401-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	2
1010	Unobligated balance transfer to other accts [077-0401] .....			-2
1050	Unobligated balance (total) .....	2	2	
1930	Total budgetary resources available .....	2	2	
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	2	2	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-0401-0-1-151	2018 actual	2019 est.	2020 est.	
<b>Guaranteed loan reestimates:</b>				
235001	Urban and Environmental Loan Guarantees .....	-4	-9	

**URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4344-0-3-151	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0711	Default claim payments on principal .....	2	5	
0712	Default claim payments on interest .....	2	1	
0742	Downward reestimates paid to receipt accounts .....	1	2	
0743	Interest on downward reestimates .....	3	7	
0900	Total new obligations, unexpired accounts .....	8	15	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	52	49	40
1010	Unobligated balance transfer to other accts [077-4344] .....			-40
1050	Unobligated balance (total) .....	52	49	
<b>Financing authority:</b>				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	5	6	
1930	Total budgetary resources available .....	57	55	

<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	49	40	

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....			9
3010	New obligations, unexpired accounts .....	8	15	
3020	Outlays (gross) .....	-8	-6	
3050	Unpaid obligations, end of year .....		9	9
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....			9
3200	Obligated balance, end of year .....		9	9

**Financing authority and disbursements, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	5	6	
<b>Financing disbursements:</b>				
4110	Outlays, gross (total) .....	8	6	
<b>Offsets against gross financing authority and disbursements:</b>				
Offsetting collections (collected) from:				
4122	Interest on uninvested funds .....	-3	-3	
4123	Non-Federal sources .....	-2	-3	
4130	Offsets against gross budget authority and outlays (total) ...	-5	-6	
4170	Outlays, net (mandatory) .....	3		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	3		

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4344-0-3-151	2018 actual	2019 est.	2020 est.	
<b>Position with respect to appropriations act limitation on commitments:</b>				
2111	Guaranteed loan commitments from current-year authority .....			
2150	Total guaranteed loan commitments .....			
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	159	155	142
2251	Repayments and prepayments .....		-8	
<b>Adjustments:</b>				
2263	Terminations for default that result in claim payments .....	-4	-5	
2264	Other adjustments, net .....			-142
2290	Outstanding, end of year .....	155	142	
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	155	142	
<b>Addendum:</b>				
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>				
2310	Outstanding, start of year .....	66	69	69
2331	Disbursements for guaranteed loan claims .....	4		
2351	Repayments of loans receivable .....	-1		
2361	Write-offs of loans receivable .....			
2364	Other adjustments, net .....			-69
2390	Outstanding, end of year .....	69	69	

**Balance Sheet** (in millions of dollars)

Identification code 072-4344-0-3-151	2017 actual	2018 actual	
<b>ASSETS:</b>			
1101	Federal assets: Fund balances with Treasury .....	52	49
1206	Non-Federal assets: Receivables, net .....	109	3
<b>Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:</b>			
1501	Defaulted guaranteed loans receivable, gross .....		69
1502	Interest receivable .....		43
1599	Net present value of assets related to defaulted guaranteed loans .....		112
1999	Total assets .....	161	164
<b>LIABILITIES:</b>			
2105	Federal liabilities: Other .....		9
<b>Non-Federal liabilities:</b>			
2204	Liabilities for loan guarantees .....	159	155
2207	Other .....	2	
2999	Total liabilities .....	161	164
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued

Identification code 072-4344-0-3-151	2017 actual	2018 actual
4999 Total upward reestimate subsidy BA [72-0401] .....	161	164

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4340-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	2	5	.....
0712 Default claim payments on interest .....	2	2	.....
0900 Total new obligations, unexpired accounts (object class 33.0) .....	4	7	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	4	7	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	18	12	.....
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-18	-12	.....
1900 Budget authority (total) .....	4	7	.....
1930 Total budgetary resources available .....	4	7	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	4	7	.....
3020 Outlays (gross) .....	-4	-7	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	7	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	4	7	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-18	-12	.....
4180 Budget authority, net (total) .....	-14	-5	.....
4190 Outlays, net (total) .....	-14	-5	.....

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4340-0-3-151	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	192	155	124
2251 Repayments and prepayments .....	-35	-25	.....
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-2	-6	.....
2264 Other adjustments, net .....	.....	.....	-124
2290 Outstanding, end of year .....	155	124	.....
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	155	124	.....
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	122	230	224
2310 Outstanding, start of year .....	122	230	224
2331 Disbursements for guaranteed loan claims .....	4	6	.....
2351 Repayments of loans receivable .....	-18	-12	.....
2351 Repayments of unrescheduled claims receivable .....	.....	.....	.....
2364 Other adjustments, net .....	.....	.....	-224
2390 Outstanding, end of year .....	230	224	.....

Balance Sheet (in millions of dollars)

Identification code 072-4340-0-3-151	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	.....	.....
1206 Non-Federal assets: Receivables, net .....	7	3
1601 Direct loans, gross .....	.....	.....
1701 Defaulted guaranteed loans, gross .....	122	230
1702 Interest receivable .....	3	1
1703 Allowance for estimated uncollectible loans and interest (-) .....	-45	-44
1705 Accounts receivable from foreclosed property .....	.....	.....
1799 Value of assets related to loan guarantees .....	80	187
1999 Total assets .....	87	190
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1	.....
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	86	190
2999 Total liabilities .....	87	190
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	.....	.....
3300 Cumulative results of operations .....	.....	.....
3999 Total net position .....	.....	.....
4999 Total liabilities and net position .....	87	190

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-0400-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1010 Unobligated balance transfer to other accts [077-0400] .....	.....	.....	-3
1050 Unobligated balance (total) .....	3	3	.....
1930 Total budgetary resources available .....	3	3	.....
Memorandum (non-add) entries:			
1941 Unexpended unobligated balance, end of year .....	3	3	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

DEVELOPMENT CREDIT AUTHORITY

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 072-1264-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0702 Loan guarantee subsidy .....	14	55	.....
0707 Reestimates of loan guarantee subsidy .....	6	11	.....
0708 Interest on reestimates of loan guarantee subsidy .....	1	1	.....
0709 Administrative expenses .....	10	10	.....
0900 Total new obligations, unexpired accounts .....	31	77	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	12	12
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	16	12	.....
1010 Unobligated balance transfer to other accts [077-0110] .....	.....	.....	-10
1010 Unobligated balance transfer to other accts [077-4483] .....	.....	.....	-2
1011 Unobligated balance transfer from other acct [072-1037] .....	5	.....	.....
1021 Recoveries of prior year unpaid obligations .....	5	.....	.....
1050 Unobligated balance (total) .....	26	12	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	.....
1121 Appropriations transferred from other acct [072-1021] .....	.....	55	.....
1160 Appropriation, discretionary (total) .....	10	65	.....

Appropriations, mandatory:			
1200	Appropriation .....	7	12
1900	Budget authority (total) .....	17	77
1930	Total budgetary resources available .....	43	89
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	12	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	115	111
3010	New obligations, unexpired accounts .....	31	77
3020	Outlays (gross) .....	-25	-93
3030	Unpaid obligations transferred to other accts [077-0110] ....		-82
3030	Unpaid obligations transferred to other accts [077-4483] ....		-4
3040	Recoveries of prior year unpaid obligations, unexpired .....	-5	
3041	Recoveries of prior year unpaid obligations, expired .....	-5	
3050	Unpaid obligations, end of year .....	111	95
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	115	111
3200	Obligated balance, end of year .....	111	95

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	10	65
Outlays, gross:			
4010	Outlays from new discretionary authority .....	5	39
4011	Outlays from discretionary balances .....	13	42
4020	Outlays, gross (total) .....	18	81
Mandatory:			
4090	Budget authority, gross .....	7	12
Outlays, gross:			
4100	Outlays from new mandatory authority .....	7	12
4180	Budget authority, net (total) .....	17	77
4190	Outlays, net (total) .....	25	93

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 072-1264-0-1-151	2018 actual	2019 est.	2020 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 DCA—Loan Guarantees .....	389	815	
215999 Total loan guarantee levels .....	389	815	
Guaranteed loan subsidy (in percent):			
232001 DCA—Loan Guarantees .....	3.60	6.74	
232999 Weighted average subsidy rate .....	3.60	6.74	
Guaranteed loan subsidy budget authority:			
233001 DCA—Loan Guarantees .....	14	55	
233999 Total subsidy budget authority .....	14	55	
Guaranteed loan subsidy outlays:			
234001 DCA—Loan Guarantees .....	10	12	
234999 Total subsidy outlays .....	10	12	
Guaranteed loan reestimates:			
235001 DCA—Loan Guarantees .....	-13	-1	
235999 Total guaranteed loan reestimates .....	-13	-1	
Administrative expense data:			
3510 Budget authority .....	10		
3580 Outlays from balances .....	3		
3590 Outlays from new authority .....	5		

As required by the Federal Credit Reform Act of 1990, this account recorded, for the Development Credit Authority (DCA), the subsidy costs associated with direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program and legacy USAID credit programs. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

In 2020, per the modernizations and other reforms included in the Better Utilization of Investments Leading to Development Act of 2018, DCA will be consolidated with other development finance functions, such as the Overseas Private Investment Corporation, into the new U.S. Development Finance Corporation (DFC). All FY 2020 and future DCA activities are presented in the DFC accounts.

**Object Classification** (in millions of dollars)

Identification code 072-1264-0-1-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	4	4
21.0	Travel and transportation of persons .....	1	1
25.1	Advisory and assistance services .....	2	2
25.2	Other services from non-Federal sources .....	2	2
26.0	Supplies and materials .....	1	1
41.0	Grants, subsidies, and contributions .....	21	67
99.9	Total new obligations, unexpired accounts .....	31	77

**Employment Summary**

Identification code 072-1264-0-1-151	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	38	38

**DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 072-4266-0-3-151	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal .....	6	
0742	Downward reestimates paid to receipt accounts .....	15	9
0743	Interest on downward reestimates .....	6	4
0900	Total new obligations, unexpired accounts .....	27	13

**Budgetary resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	93	91
1021	Recoveries of prior year unpaid obligations .....	1	
1050	Unobligated balance (total) .....	94	91
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	24	24
1930	Total budgetary resources available .....	118	115
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	91	102

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	2
3010	New obligations, unexpired accounts .....	27	13
3020	Outlays (gross) .....	-25	
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	
3050	Unpaid obligations, end of year .....	2	15
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	2
3200	Obligated balance, end of year .....	2	15

**Financing authority and disbursements, net:**

Mandatory:			
4090	Budget authority, gross .....	24	24
Financing disbursements:			
4110	Outlays, gross (total) .....	25	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources: Subsidy payments from program account .....	-10	-12
4120	Federal sources - Upward Reestimate of Subsidy .....	-7	-12
4122	Interest on uninvested funds .....	-3	
4123	Non-Federal sources .....	-4	
4130	Offsets against gross budget authority and outlays (total) ....	-24	-24
4170	Outlays, net (mandatory) .....	1	-24
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....	1	-24

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 072-4266-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	1,750	1,264

DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

## Status of Guaranteed Loans—Continued

Identification code 072-4266-0-3-151	2018 actual	2019 est.	2020 est.
2121 Limitation available from carry-forward .....	4,891	6,252	6,701
2142 Uncommitted loan guarantee limitation .....			
2143 Uncommitted limitation carried forward .....	-6,252	-6,701	-6,701
2150 Total guaranteed loan commitments .....	389	815	
2199 Guaranteed amount of guaranteed loan commitments .....	194		
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	439	423	408
2231 Disbursements of new guaranteed loans .....	100	100	100
2251 Repayments and prepayments .....	-110	-110	-110
Adjustments:			
2263 Terminations for default that result in claim payments .....	-6	-5	-5
2264 Other adjustments, net .....			
2290 Outstanding, end of year .....	423	408	393
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	210	200	200

## Balance Sheet (in millions of dollars)

Identification code 072-4266-0-3-151	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	94	93
Investments in U.S. securities:		
1106 Receivables, net .....		12
1206 Non-Federal assets: Receivables, net .....	2	2
1999 Total assets .....	96	107
LIABILITIES:		
Federal liabilities:		
2103 Debt .....		1
2105 Other .....		13
Non-Federal liabilities:		
2204 Liabilities for loan guarantees .....	95	93
2207 Other Liabilities .....	1	
2999 Total liabilities .....	96	107
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total Liabilities and Net Position [72-1264] .....	96	107

## ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 072-4103-0-3-151	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Liquidating Fund Payments to VEF .....	7	4	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	4	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	9	
1022 Capital transfer of unobligated balances to general fund .....	-8	-9	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	288	215	
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-272	-211	
1850 Spending auth from offsetting collections, mand (total) .....	16	4	
1930 Total budgetary resources available .....	16	4	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	7	4	
3020 Outlays (gross) .....	-7	-4	

## Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	16	4	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	4	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-256	-190	
4123 Non-Federal sources .....	-32	-25	
4130 Offsets against gross budget authority and outlays (total) .....	-288	-215	
4160 Budget authority, net (mandatory) .....	-272	-211	
4170 Outlays, net (mandatory) .....	-281	-211	
4180 Budget authority, net (total) .....	-272	-211	
4190 Outlays, net (total) .....	-281	-211	

## Status of Direct Loans (in millions of dollars)

Identification code 072-4103-0-3-151	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,305	1,048	858
1251 Repayments: Repayments and prepayments .....	-257	-190	
1264 Other adjustments .....			-858
1290 Outstanding, end of year .....	1,048	858	

This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. For FY 2020, this account will be transferred to the new Development Finance Corporation.

## Balance Sheet (in millions of dollars)

Identification code 072-4103-0-3-151	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Federal assets: Fund balances with Treasury .....	8	8
1601 Direct loans, gross .....	1,305	1,048
1602 Interest receivable .....	339	355
1603 Allowance for estimated uncollectible loans and interest (-) .....	-544	-566
1699 Value of assets related to direct loans .....	1,100	837
1999 Total assets .....	1,108	845
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	1,108	
2207 Non-Federal liabilities: Other - Liab for NonEntity Assets .....		845
2999 Total liabilities .....	1,108	845
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	1,108	845

## Trust Funds

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 072-8342-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund .....	4	4	4
2000 Total: Balances and receipts .....	4	4	4
Appropriations:			
Current law:			
2101 Foreign Service National Separation Liability Trust Fund .....	-4	-4	-4
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 072-8342-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Foreign Service National Separation Liability Trust Fund (Direct) .....	34	6	6
0900 Total new obligations, unexpired accounts (object class 13.0) .....	34	6	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	7	5
1033 Recoveries of prior year paid obligations .....	20		
1050 Unobligated balance (total) .....	37	7	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	4	4
1900 Budget authority (total) .....	4	4	4
1930 Total budgetary resources available .....	41	11	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	5	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	33	43	34
3010 New obligations, unexpired accounts .....	34	6	6
3020 Outlays (gross) .....	-24	-15	-4
3050 Unpaid obligations, end of year .....	43	34	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	33	43	34
3200 Obligated balance, end of year .....	43	34	36
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	4	4
Outlays, gross:			
4101 Outlays from mandatory balances .....	24	15	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-20		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	20		
4160 Budget authority, net (mandatory) .....	4	4	4
4170 Outlays, net (mandatory) .....	4	15	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	15	4

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

MISCELLANEOUS TRUST FUNDS, AID

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 072-9971-0-7-151	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Donations, Agency for International Development .....	87	87	87
1130 Miscellaneous Trust Funds, AID .....	30	30	30
1199 Total current law receipts .....	117	117	117
1999 Total receipts .....	117	117	117
2000 Total: Balances and receipts .....	117	117	117
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds, AID .....	-117	-117	-117
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 072-9971-0-7-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Miscellaneous Trust Funds, AID (Direct) .....	108	120	120
0900 Total new obligations, unexpired accounts (object class 41.0) .....	108	120	120
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	68	82	79
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	73	82	79
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	117	117	117
1900 Budget authority (total) .....	117	117	117
1930 Total budgetary resources available .....	190	199	196
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	82	79	76
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	98	97	139
3010 New obligations, unexpired accounts .....	108	120	120
3020 Outlays (gross) .....	-104	-78	-68
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	97	139	191
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	98	97	139
3200 Obligated balance, end of year .....	97	139	191
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	117	117	117
Outlays, gross:			
4100 Outlays from new mandatory authority .....	21	28	28
4101 Outlays from mandatory balances .....	83	50	40
4110 Outlays, gross (total) .....	104	78	68
4180 Budget authority, net (total) .....	117	117	117
4190 Outlays, net (total) .....	104	78	68

The Miscellaneous Trust Funds account includes gifts and donations that the U.S. Agency for International Development (USAID) receives from other governments, non-governmental organizations, or private citizens. USAID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

**OVERSEAS PRIVATE INVESTMENT CORPORATION**

*Federal Funds*

OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 071-4184-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Non-credit administrative expenses .....	21	31	
0002 Credit administrative expenses .....	48	48	
0003 Insurance claims .....		25	
0005 Investment encouragement and special activities .....	1	1	
0006 Project and non-project specific working capital .....	5	5	
0007 Tunisia Credit Guaranty Program .....	2		
0008 Power Africa .....	2		
0799 Total direct obligations .....	79	110	
0803 USAID and State Department Transfers .....	1		
0900 Total new obligations, unexpired accounts .....	80	110	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,704	5,779	5,828

OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT—Continued  
Program and Financing—Continued

Identification code 071-4184-0-3-151	2018 actual	2019 est.	2020 est.
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	8	20	.....
1010 Unobligated balance transfer to other accts [077-4483] .....	.....	.....	-5,828
1011 Unobligated balance transfer from other acct [072-1037] .....	2	.....	.....
1011 Unobligated balance transfer from other acct [072-1021] .....	2	.....	.....
1012 Unobligated balance transfers between expired and unexpired accounts .....	4	.....	.....
1021 Recoveries of prior year unpaid obligations .....	2	14	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	5,715	5,793	.....
Budget authority:			
Spending authority from offsetting collections, discretionary:			
Collected .....	134	168	.....
Change in uncollected payments, Federal sources .....	10	.....	.....
Transferred to other accounts [071-0100] .....	-68	-68	.....
1750 Spending auth from offsetting collections, disc (total) .....	76	100	.....
Spending authority from offsetting collections, mandatory:			
Collected .....	68	45	.....
1800 Budget authority (total) .....	144	145	.....
1930 Total budgetary resources available .....	5,859	5,938	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,779	5,828	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	30	37	33
3010 New obligations, unexpired accounts .....	80	110	.....
3020 Outlays (gross) .....	-71	-100	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-14	.....
3050 Unpaid obligations, end of year .....	37	33	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-47	-57	-57
3070 Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-57	-57	-57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-17	-20	-24
3200 Obligated balance, end of year .....	-20	-24	-24
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	76	100	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	55	100	.....
4011 Outlays from discretionary balances .....	16	.....	.....
4020 Outlays, gross (total) .....	71	100	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Federal sources .....	-50	-48	.....
Interest on Federal securities .....	-139	-134	.....
Non-Federal sources .....	-14	-11	.....
4040 Offsets against gross budget authority and outlays (total) .....	-203	-193	.....
Additional offsets against gross budget authority only:			
Change in uncollected pymts, Fed sources, unexpired .....	-10	.....	.....
4060 Additional offsets against budget authority only (total) .....	-10	.....	.....
4070 Budget authority, net (discretionary) .....	-137	-93	.....
4080 Outlays, net (discretionary) .....	-132	-93	.....
Mandatory:			
4090 Budget authority, gross .....	68	45	.....
Additional offsets against gross budget authority only:			
Recoveries of prior year paid obligations, unexpired accounts .....	1	.....	.....
4180 Budget authority, net (total) .....	-68	-48	.....
4190 Outlays, net (total) .....	-132	-93	.....
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	5,738	5,817	5,869
5001 Total investments, EOY: Federal securities: Par value .....	5,817	5,869	.....

The Overseas Private Investment Corporation (OPIC) encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary noncredit program is political risk insurance against losses due to expropriation, inconvertibility, and damage due to

political violence. Balances in this account are reserves held for potential claims and are not expected to be obligated.

On October 5, 2018, the President signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily OPIC and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC).

## INSURANCE PROGRAM ACTIVITY

	(in millions of dollars)		
	2017 Actual	2018 Actual	2019 Projected
Maximum contingent liability, start of year .....	2,764	4,132	3,917
Insurance issued during year <sup>1</sup> .....	1,515	550	750
Insurance reductions and cancellations .....	-147	-765	-524
Maximum contingent liability, end of year .....	4,132	3,917	4,143
Net growth/(decline) of portfolio .....	1,368	-215	226
Net growth rate of insurance portfolio (in percent) .....	49.49%	-5.20%	5.77%
Statutory authority limitation <sup>2</sup> .....	\$ 29,000	\$ 29,000	\$ 29,000
Total Finance and Insurance exposure .....	\$ 23,323	\$ 22,844	n/a

<sup>1</sup> Some Insurance products are scored under Federal Credit Reform, and are included in the schedule above.

<sup>2</sup> This is a combined insurance and finance limitation as stated in Foreign Assistance Act of 1961 (P.L. 87-195) OPIC will monitor issuance and runoff to stay within the limitation.

## Object Classification (in millions of dollars)

Identification code 071-4184-0-3-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	32	38	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	33	39	.....
12.1 Civilian personnel benefits .....	11	13	.....
21.0 Travel and transportation of persons .....	3	3	.....
23.2 Rental payments to others .....	7	.....	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services from non-Federal sources .....	18	22	.....
25.2 Other services (working capital) .....	3	4	.....
26.0 Supplies and materials .....	2	2	.....
42.0 Insurance claims and indemnities .....	.....	25	.....
99.0 Direct obligations .....	78	109	.....
25.2 Reimbursable obligations: Other services from non-Federal sources .....	1	.....	.....
99.0 Reimbursable obligations .....	1	.....	.....
99.5 Adjustment for rounding .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	80	110	.....

## Employment Summary

Identification code 071-4184-0-3-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	248	280	.....

## PROGRAM ACCOUNT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 071-0100-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	8	5	.....
0702 Loan guarantee subsidy .....	8	15	.....
0703 Subsidy for modifications of direct loans .....	3	.....	.....
0704 Subsidy for modifications of loan guarantees .....	1	.....	.....
0705 Reestimates of direct loan subsidy .....	40	65	.....
0706 Interest on reestimates of direct loan subsidy .....	9	12	.....

0707	Reestimates of loan guarantee subsidy .....	286	178	.....
0708	Interest on reestimates of loan guarantee subsidy .....	42	35	.....
0709	Administrative expenses .....	48	48	.....
0900	Total new obligations, unexpired accounts .....	445	358	.....
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	32	32	32
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	32	32	.....
1010	Unobligated balance transfer to other accts [077-0110] .....	.....	.....	-28
1021	Recoveries of prior year unpaid obligations .....	1	.....	.....
1050	Unobligated balance (total) .....	33	32	4
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation - Direct and guaranteed loan upward subsidy reestimate .....	377	290	.....
Spending authority from offsetting collections, discretionary:				
1711	Transferred from other accounts [071-4184] .....	68	68	.....
1900	Budget authority (total) .....	445	358	.....
1930	Total budgetary resources available .....	478	390	4
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	32	32	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	60	52	19
3010	New obligations, unexpired accounts .....	445	358	.....
3020	Outlays (gross) .....	-442	-391	-10
3030	Unpaid obligations transferred to other accts [077-0110] .....	.....	.....	-9
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-10	.....	.....
3050	Unpaid obligations, end of year .....	52	19	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	60	52	19
3200	Obligated balance, end of year .....	52	19	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	68	68	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	48	58	.....
4011	Outlays from discretionary balances .....	17	43	10
4020	Outlays, gross (total) .....	65	101	10
Mandatory:				
4090	Budget authority, gross .....	377	290	.....
Outlays, gross:				
4100	Outlays from new mandatory authority .....	377	290	.....
4180	Budget authority, net (total) .....	445	358	.....
4190	Outlays, net (total) .....	442	391	10
<b>Memorandum (non-add) entries:</b>				
5093	Expired unavailable balance, SOY: Offsetting collections .....	3	3	3
5095	Expired unavailable balance, EOY: Offsetting collections .....	3	3	3

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 071-0100-0-1-151	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 OPIC Direct Loans .....	236	588	.....
115003 NIS Direct Loans .....	6	.....	.....
115004 OPIC Direct Loan Investment Funds .....	130	.....	.....
115999 Total direct loan levels .....	372	588	.....
Direct loan subsidy (in percent):			
132001 OPIC Direct Loans .....	-4.76	-12.83	.....
132003 NIS Direct Loans .....	15.46	.....	.....
132004 OPIC Direct Loan Investment Funds .....	-12.16	.....	.....
132999 Weighted average subsidy rate .....	-7.02	-12.83	.....
Direct loan subsidy budget authority:			
133001 OPIC Direct Loans .....	-11	-75	.....
133003 NIS Direct Loans .....	1	.....	.....
133004 OPIC Direct Loan Investment Funds .....	-16	.....	.....
133999 Total subsidy budget authority .....	-26	-75	.....
Direct loan subsidy outlays:			
134001 OPIC Direct Loans .....	-42	-39	.....
134004 OPIC Direct Loan Investment Funds .....	.....	-16	.....
134999 Total subsidy outlays .....	-42	-55	.....
Direct loan reestimates:			
135001 OPIC Direct Loans .....	-37	-17	.....

135004	OPIC Direct Loan Investment Funds .....	2	-2	.....
135999	Total direct loan reestimates .....	-35	-19	.....
Guaranteed loan levels supportable by subsidy budget authority:				
215001	OPIC Loan Guarantees .....	2,147	1,755	.....
215002	OPIC Investment Funds .....	263	591	.....
215005	Limited Arbitral Award Coverage .....	.....	150	.....
215006	Non-Honoring of Sovereign Guarantees .....	.....	150	.....
215999	Total loan guarantee levels .....	2,410	2,646	.....
Guaranteed loan subsidy (in percent):				
232001	OPIC Loan Guarantees .....	-8.30	-13.73	.....
232002	OPIC Investment Funds .....	-7.98	-7.75	.....
232005	Limited Arbitral Award Coverage .....	.....	-2.39	.....
232006	Non-Honoring of Sovereign Guarantees .....	.....	-6.16	.....
232999	Weighted average subsidy rate .....	-8.27	-11.32	.....
Guaranteed loan subsidy budget authority:				
233001	OPIC Loan Guarantees .....	-178	-241	.....
233002	OPIC Investment Funds .....	-21	-46	.....
233005	Limited Arbitral Award Coverage .....	.....	-4	.....
233006	Non-Honoring of Sovereign Guarantees .....	.....	-9	.....
233999	Total subsidy budget authority .....	-199	-300	.....
Guaranteed loan subsidy outlays:				
234001	OPIC Loan Guarantees .....	-105	-206	.....
234002	OPIC Investment Funds .....	-3	-42	.....
234005	Limited Arbitral Award Coverage .....	.....	-3	.....
234006	Non-Honoring of Sovereign Guarantees .....	.....	-2	.....
234999	Total subsidy outlays .....	-108	-253	.....
Guaranteed loan reestimates:				
235001	OPIC Loan Guarantees .....	143	67	.....
235002	OPIC Investment Funds .....	4	23	.....
235003	NIS — Guaranteed Loans .....	8	15	.....
235006	Non-Honoring of Sovereign Guarantees .....	-1	-1	.....
235999	Total guaranteed loan reestimates .....	154	104	.....
Administrative expense data:				
3510	Budget authority .....	42	48	.....
3590	Outlays from new authority .....	42	48	.....

The Overseas Private Investment Corporation (OPIC) encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its credit program is investment financing through loans and guaranteed loans. As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

On October 5, 2018, the President signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily OPIC and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC). All FY 2020 and future OPIC activity will be presented in the DFC accounts.

**Object Classification** (in millions of dollars)

Identification code 071-0100-0-1-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2	Other services (contracts) .....	42	48
41.0	Grants, subsidies, and contributions .....	403	310
99.9	Total new obligations, unexpired accounts .....	445	358

## OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 071-4074-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Working Capital costs .....	5	7	.....
Credit program obligations:			
0710 Direct loan obligations .....	372	588	.....
0713 Payment of interest to Treasury .....	64	.....	.....
0740 Negative subsidy obligations .....	34	83	.....
0742 Downward reestimates paid to receipt accounts .....	75	78	.....
0743 Interest on downward reestimates .....	9	17	.....
0791 Direct program activities, subtotal .....	554	766	.....
0900 Total new obligations, unexpired accounts .....	559	773	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	119	126	12
1021 Recoveries of prior year unpaid obligations .....	363	.....	.....
1023 Unobligated balances applied to repay debt .....	-30	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-355	.....	.....
1050 Unobligated balance (total) .....	97	126	12
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	396	260	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	376	399	.....
1801 Change in uncollected payments, Federal sources .....	11	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-195	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	192	399	.....
1900 Budget authority (total) .....	588	659	.....
1930 Total budgetary resources available .....	685	785	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	126	12	12

Identification code 071-4074-0-3-151	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,388	1,989	2,762
3010 New obligations, unexpired accounts .....	559	773	.....
3020 Outlays (gross) .....	-595	.....	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	-363	.....	.....
3050 Unpaid obligations, end of year .....	1,989	2,762	2,762
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-45	-56	-56
3070 Change in uncollected pymts, Fed sources, unexpired .....	-11	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-56	-56	-56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,343	1,933	2,706
3200 Obligated balance, end of year .....	1,933	2,706	2,706

Identification code 071-4074-0-3-151	2018 actual	2019 est.	2020 est.
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	588	659	.....
Financing disbursements:			
4110 Outlays, gross (total) .....	595	.....	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources, Credit Reform subsidy .....	-57	-83	.....
4122 Interest on uninvested funds .....	-8	-8	.....
4123 Repayments of Principal .....	-311	-308	.....
4130 Offsets against gross budget authority and outlays (total) ....	-376	-399	.....
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-11	.....	.....
4160 Budget authority, net (mandatory) .....	201	260	.....
4170 Outlays, net (mandatory) .....	219	-399	.....
4180 Budget authority, net (total) .....	201	260	.....
4190 Outlays, net (total) .....	219	-399	.....

## Status of Direct Loans (in millions of dollars)

Identification code 071-4074-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	372	588	.....
1150 Total direct loan obligations .....	372	588	.....

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,430	2,631	.....
1231 Disbursements: Direct loan disbursements .....	392	.....	.....
1251 Repayments: Repayments and prepayments .....	-187	.....	.....
1263 Write-offs for default: Direct loans .....	-4	-2,631	.....
1290 Outstanding, end of year .....	2,631	.....	.....

## Balance Sheet (in millions of dollars)

Identification code 071-4074-0-3-151	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	110	165
Investments in U.S. securities:		
1106 Receivables, net .....	50	78
1206 Non-Federal assets: Receivables, net .....	2	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	2,430	2,631
1402 Interest receivable .....	63	69
1405 Allowance for subsidy cost (-) .....	-163	-172
1499 Net present value of assets related to direct loans .....	2,330	2,528
1999 Total assets .....	2,492	2,772
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	2,422	2,610
2207 Non-Federal liabilities: Other .....	21	112
2999 Total liabilities .....	2,443	2,722
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	49	50
4999 Total liabilities and net position .....	2,492	2,772

## OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 071-4075-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Working Capital Costs .....	9	9	.....
Credit program obligations:			
0711 Default claim payments on principal .....	122	197	.....
0713 Payment of interest to Treasury .....	22	24	.....
0740 Negative subsidy obligations .....	207	325	.....
0742 Downward reestimates paid to receipt accounts .....	148	96	.....
0743 Interest on downward reestimates .....	26	13	.....
0791 Direct program activities, subtotal .....	525	655	.....
0900 Total new obligations, unexpired accounts .....	534	664	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	326	556	281
1021 Recoveries of prior year unpaid obligations .....	125	.....	.....
1023 Unobligated balances applied to repay debt .....	-32	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-124	.....	.....
1050 Unobligated balance (total) .....	295	556	281
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	109	.....
Borrowing authority, mandatory:			
1400 Borrowing authority .....	329	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	587	280	.....
1801 Change in uncollected payments, Federal sources .....	-4	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-117	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	466	280	.....
1900 Budget authority (total) .....	795	389	.....
1930 Total budgetary resources available .....	1,090	945	281
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	556	281	281

Identification code 071-4075-0-3-151	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	621	589	789
3010 New obligations, unexpired accounts .....	534	664	.....
3020 Outlays (gross) .....	-441	-464	.....

3040	Recoveries of prior year unpaid obligations, unexpired .....	-125		
3050	Unpaid obligations, end of year .....	589	789	789
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-35	-31	-31
3070	Change in uncollected pymts, Fed sources, unexpired .....	4		
3090	Uncollected pymts, Fed sources, end of year .....	-31	-31	-31
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	586	558	758
3200	Obligated balance, end of year .....	558	758	758

**Financing authority and disbursements, net:**

Mandatory:

4090	Budget authority, gross .....	795	389	
Financing disbursements:				
4110	Outlays, gross (total) .....	441	464	
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources: Payments from program account .....	-336	-228	
4122	Interest on uninvested funds .....	-13	-13	
4123	Claim recoveries .....	-238	-39	
4130	Offsets against gross budget authority and outlays (total) ....	-587	-280	
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired .....	4		
4160	Budget authority, net (mandatory) .....	212	109	
4170	Outlays, net (mandatory) .....	-146	184	
4180	Budget authority, net (total) .....	212	109	
4190	Outlays, net (total) .....	-146	184	

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 071-4075-0-3-151	2018 actual	2019 est.	2020 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority .....	2,409	2,646	
2150	Total guaranteed loan commitments .....	2,409	2,646	
2199	Guaranteed amount of guaranteed loan commitments .....	2,409	2,646	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	8,304	7,205	7,008
2231	Disbursements of new guaranteed loans .....	1,508		
2251	Repayments and prepayments .....	-964		
Adjustments:				
2261	Terminations for default that result in loans receivable .....	-122	-197	
2264	Other adjustments, net .....	-1,521		
2290	Outstanding, end of year .....	7,205	7,008	7,008
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....			
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	227	373	373
2331	Disbursements for guaranteed loan claims .....	122		
2351	Repayments of loans receivable .....	-35		
2361	Write-offs of loans receivable .....	-19		
2364	Other adjustments, net .....	78		
2390	Outstanding, end of year .....	373	373	373

**Balance Sheet (in millions of dollars)**

Identification code 071-4075-0-3-151	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	638
1206	Non-Federal assets: Receivables, net .....	213
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....	227
1502	Interest receivable .....	3
1505	Allowance for subsidy cost (-) .....	-125
1599	Net present value of assets related to defaulted guaranteed loans .....	105
1901	Other Federal assets: Other assets .....	942
1999	Total assets .....	1,047
<b>LIABILITIES:</b>		
2103	Federal liabilities: Debt .....	938

<b>Non-Federal liabilities:</b>		
2204	Liabilities for loan guarantees .....	108
2207	Other .....	75
2999	Total liabilities .....	938
<b>NET POSITION:</b>		
3300	Cumulative results of operations .....	109
4999	Total liabilities and net position .....	1,047

**TRADE AND DEVELOPMENT AGENCY**

*Federal Funds*

TRADE AND DEVELOPMENT AGENCY

*For necessary expenses to carry out the closure of the Trade and Development Agency, \$12,105,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 011-1001-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Feasibility studies, technical assistance, and other activities .....	78	61
0002	Operating expenses .....		18
0100	Direct program activities, subtotal .....	78	79
0799	Total direct obligations .....	78	79
0900	Total new obligations, unexpired accounts .....	78	79
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	9	15
1011	Unobligated balance transfer from other acct [072-1021] ....	3	
1021	Recoveries of prior year unpaid obligations .....	1	1
1050	Unobligated balance (total) .....	13	16
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	80	80
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	1	
1701	Change in uncollected payments, Federal sources .....	1	
1750	Spending auth from offsetting collections, disc (total) .....	2	
1900	Budget authority (total) .....	82	80
1930	Total budgetary resources available .....	95	96
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-2	
1941	Unexpired unobligated balance, end of year .....	15	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	137	147
3010	New obligations, unexpired accounts .....	78	79
3020	Outlays (gross) .....	-63	-120
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1
3041	Recoveries of prior year unpaid obligations, expired .....	-4	
3050	Unpaid obligations, end of year .....	147	105
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-5
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1	
3071	Change in uncollected pymts, Fed sources, expired .....	2	
3090	Uncollected pymts, Fed sources, end of year .....	-5	-5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	131	142
3200	Obligated balance, end of year .....	142	100

**Budget authority and outlays, net:**

Discretionary:

4000	Budget authority, gross .....	82	80	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	12	24	10
4011	Outlays from discretionary balances .....	51	96	64
4020	Outlays, gross (total) .....	63	120	74

TRADE AND DEVELOPMENT AGENCY—Continued  
Program and Financing—Continued

Identification code 011-1001-0-1-151	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-1	
4040 Offsets against gross budget authority and outlays (total) ....	-3	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	2	1	
4060 Additional offsets against budget authority only (total) .....	1	1	
4070 Budget authority, net (discretionary) .....	80	80	12
4080 Outlays, net (discretionary) .....	60	119	74
4180 Budget authority, net (total) .....	80	80	12
4190 Outlays, net (total) .....	60	119	74

The Budget proposes to eliminate funding for several independent agencies, including for the U.S. Trade and Development Agency (USTDA), as part of the Administration's plans to move the Nation towards fiscal responsibility, to redefine the proper role of the Federal Government, and to prioritize rebuilding the military and making critical investments in the Nation's security. The United States has several other agencies that will continue to promote exports, support American businesses overseas, and facilitate international infrastructure development. These include the U.S. Export-Import Bank, the U.S. Department of Commerce, the U.S. International Development Finance Corporation, and the U.S. Agency for International Development. The Budget requests \$12.1 million to conduct an orderly closeout of the agency beginning in fiscal year 2020, which includes funding for personnel costs, including severance payments and salaries for essential personnel during the closeout; rental payments; and other costs related to termination.

Object Classification (in millions of dollars)

Identification code 011-1001-0-1-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	5	5	1
11.3 Other than full-time permanent .....	2	2	1
11.9 Total personnel compensation .....	7	7	2
12.1 Civilian personnel benefits .....	3	3	4
23.1 Rental payments to GSA .....			4
25.3 Other goods and services from Federal sources .....			2
41.0 Grants, subsidies, and contributions .....	68	69	
99.0 Direct obligations .....	78	79	12
99.9 Total new obligations, unexpired accounts .....	78	79	12

Employment Summary

Identification code 011-1001-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	48	62	15

DEVELOPMENT FINANCE CORPORATION

Federal Funds

DEVELOPMENT FINANCE CORPORATION CORPORATE CAPITAL ACCOUNT

The United States International Development Finance Corporation is authorized to make such expenditures and commitments within the limits of funds and borrowing authority available to the Corporation, and in accord with the law, and to make such expenditures and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs for the current fiscal year for such Corporation: Provided, That for necessary expenses of the activities described in subsections (b), (c), (e), (f), and (g) of section 1421 of division F of Public Law 115-254 and for administrative expenses to carry out authorized activities and project-specific transaction costs described in section 1434(d) of such division of such Act, \$298,000,000: Provided

further, That of the amounts provided—(1) \$98,000,000 shall remain available until September 30, 2022 for administrative expenses to carry out authorized activities (including an amount for official reception and representation expenses which shall not exceed \$35,000) and project-specific transaction costs as described in section 1434(d) of such division of such Act, of which \$1,000,000 shall remain available until September 30, 2024; (2) \$150,000,000 shall remain available until September 30, 2022 for the activities described in section 1421 (c) of such division of such Act; and (3) \$50,000,000 shall be paid to the "Development Finance Corporation—Program Account": Provided further, That, in this fiscal year and hereafter, the Corporation shall collect the amounts described in section 1434(h) of division F of Public Law 115-254: Provided further, That in fiscal year 2020 such collections shall be credited as offsetting collections to this appropriation: Provided further, such collections collected in fiscal year 2020 in excess of \$298,000,000 shall be credited to this account and shall be available in future fiscal years only to the extent provided in advance in appropriations Acts: Provided further, That, in fiscal year 2020, if such collections are less than \$298,000,000, receipts collected pursuant to division F of Public Law 115-254 and the Federal Credit Reform Act of 1990, in an amount equal to such shortfall, shall be credited as offsetting collections to this appropriation: Provided further, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by the offsetting collections described under this heading so as to result in a final fiscal year appropriation from the General Fund estimated at \$0.

Program and Financing (in millions of dollars)

Identification code 077-4483-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative Expenses .....			157
0002 Equity program .....			150
0003 Insurance claims .....			1
0900 Total new obligations, unexpired accounts .....			308
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [071-4184] ....			5,828
1011 Unobligated balance transfer from other acct [072-1264] ....			2
1012 Unobligated balance transfers between expired and unexpired accounts .....			14
1050 Unobligated balance (total) .....			5,844
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			298
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			31
1900 Budget authority (total) .....			329
1930 Total budgetary resources available .....			6,173
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5,865
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			308
3020 Outlays (gross) .....			-303
3031 Unpaid obligations transferred from other acts [072-1264] .....			4
3050 Unpaid obligations, end of year .....			9
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			298
Outlays, gross:			
4010 Outlays from new discretionary authority .....			298
4011 Outlays from discretionary balances .....			4
4020 Outlays, gross (total) .....			302
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4031 Interest on Treasury securities .....			-147
4033 Non-Federal sources: Fee income .....			-22
4033 Non-Federal sources: Negative Subsidy Receipts .....			-129
4040 Offsets against gross budget authority and outlays (total) ....			-298
4080 Outlays, net (discretionary) .....			4
Mandatory:			
4090 Budget authority, gross .....			31
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1

Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4123	Non-Federal sources .....	-31
4180	Budget authority, net (total) .....	
4190	Outlays, net (total) .....	-26

<b>Memorandum (non-add) entries:</b>		
5001	Total investments, EOY: Federal securities: Par value .....	5,723

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC). The DFC will mobilize and facilitate the participation of private sector capital and skills in the economic development of less developed countries. This facilitation of private sector investment will have a positive developmental impact through transactions the private sector would not do on its own. All future DFC insurance and equity activities are presented in the DFC Corporate Capital Account.

**Object Classification** (in millions of dollars)

Identification code 077-4483-0-3-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....		40
12.1	Civilian personnel benefits .....		18
21.0	Travel and transportation of persons .....		7
23.2	Rental payments to others .....		7
23.3	Communications, utilities, and miscellaneous charges .....		1
25.1	Advisory and assistance services .....		25
25.2	Other services from non-Federal sources .....		1
25.3	Other goods and services from Federal sources .....		1
25.4	Operation and maintenance of facilities .....		1
25.7	Operation and maintenance of equipment .....		3
26.0	Supplies and materials .....		2
41.0	Grants, subsidies, and contributions .....		201
99.0	Direct obligations .....		307
99.5	Adjustment for rounding .....		1
99.9	Total new obligations, unexpired accounts .....		308

**Employment Summary**

Identification code 077-4483-0-3-151	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		305

**DEVELOPMENT FINANCE CORPORATION PROGRAM ACCOUNT**

Amounts paid from the "Development Finance Corporation—Corporate Capital Account" (CCA) shall remain available until September 30, 2022: Provided, That not to exceed \$80,000,000 of amounts paid to this account from CCA or transferred to this account pursuant to section 1434(j) of division F of Public Law 115-254 shall be available for the cost of direct and guaranteed loans provided by the Development Finance Corporation pursuant to section 1421(b) of such division of such Act: Provided further, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such amounts are to remain available through 2028 for the liquidation of valid obligations incurred in fiscal year 2020: Provided further, That the total loan principal or guaranteed principal amount shall not exceed \$8,000,000,000.

**Program and Financing** (in millions of dollars)

Identification code 077-0110-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701	Direct loan subsidy .....		23
0702	Loan guarantee subsidy .....		57
0715	Technical assistance .....		15

0900	Total new obligations, unexpired accounts (object class 41.0) .....		95
<b>Budgetary resources:</b>			
Unobligated balance:			
1011	Unobligated balance transfer from other acct [071-0100] .....		28
1011	Unobligated balance transfer from other acct [072-1264] .....		10
1050	Unobligated balance (total) .....		38
Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other acct [072-1037] .....		50
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		50
1900	Budget authority (total) .....		100
1930	Total budgetary resources available .....		138
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		43

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		95
3020	Outlays (gross) .....		-144
3031	Unpaid obligations transferred from other accts [071-0100] .....		9
3031	Unpaid obligations transferred from other accts [072-1264] .....		82
3050	Unpaid obligations, end of year .....		42
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		42

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....		100
Outlays, gross:			
4010	Outlays from new discretionary authority .....		58
4011	Outlays from discretionary balances .....		86
4020	Outlays, gross (total) .....		144
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources: .....		-50
4040	Offsets against gross budget authority and outlays (total) .....		-50
4180	Budget authority, net (total) .....		50
4190	Outlays, net (total) .....		94

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 077-0110-0-1-151	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Direct Loans .....		1,500
115003	Direct Loan Investment Funds .....		600
115004	Direct Loans in Foreign Currencies .....		250
115999	Total direct loan levels .....		2,350
Direct loan subsidy (in percent):			
132001	Direct Loans .....		-13.99
132003	Direct Loan Investment Funds .....		-11.17
132004	Direct Loans in Foreign Currencies .....		0.00
132999	Weighted average subsidy rate .....		-11.78
Direct loan subsidy budget authority:			
133001	Direct Loans .....		-210
133003	Direct Loan Investment Funds .....		-67
133999	Total subsidy budget authority .....		-277
Direct loan subsidy outlays:			
134001	Direct Loans .....		-65
134003	Direct Loan Investment Funds .....		-19
134999	Total subsidy outlays .....		-84
Guaranteed loan levels supportable by subsidy budget authority:			
215001	USAID Mission-led Guarantees .....		956
215002	Loan Guarantees .....		850
215003	Guaranteed Loan Investment Funds .....		400
215004	Non-Honoring of Sovereign Guarantees .....		150
215006	Limited Arbitral Award Coverage .....		150
215999	Total loan guarantee levels .....		2,506
Guaranteed loan subsidy (in percent):			
232001	USAID Mission-led Guarantees .....		3.28
232002	Loan Guarantees .....		-9.51
232003	Guaranteed Loan Investment Funds .....		1.41
232004	Non-Honoring of Sovereign Guarantees .....		-6.16
232006	Limited Arbitral Award Coverage .....		-2.39

DEVELOPMENT FINANCE CORPORATION PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 077-0110-0-1-151	2018 actual	2019 est.	2020 est.
232999 Weighted average subsidy rate .....			-2.26
Guaranteed loan subsidy budget authority:			
233001 USAID Mission-led Guarantees .....			31
233002 Loan Guarantees .....			-81
233003 Guaranteed Loan Investment Funds .....			6
233004 Non-Honoring of Sovereign Guarantees .....			-9
233006 Limited Arbitral Award Coverage .....			-4
233999 Total subsidy budget authority .....			-57
Guaranteed loan subsidy outlays:			
234001 USAID Mission-led Guarantees .....			5
234002 Loan Guarantees .....			-130
234003 Guaranteed Loan Investment Funds .....			-15
234004 Non-Honoring of Sovereign Guarantees .....			-4
234006 Limited Arbitral Award Coverage .....			-2
234999 Total subsidy outlays .....			-146

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC). As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications and cost re-estimates of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a present value basis.

DEVELOPMENT FINANCE CORPORATION INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$2,000,000, to remain available until September 30, 2021.

Program and Financing (in millions of dollars)

Identification code 077-0111-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of the Inspector General .....			2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			2
3020 Outlays (gross) .....			-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2
Outlays, gross:			
4010 Outlays from new discretionary authority .....			2
4180 Budget authority, net (total) .....			2
4190 Outlays, net (total) .....			2

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development

Finance Corporation (DFC). The President's Budget requests \$2 million for a new independent Inspector General function to be funded from the General Fund. This will provide independent oversight and promote integrity and accountability.

Object Classification (in millions of dollars)

Identification code 077-0111-0-1-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services from non-Federal sources .....			1
99.9 Total new obligations, unexpired accounts .....			2

Employment Summary

Identification code 077-0111-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			7

DEVELOPMENT FINANCE CORPORATION GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4485-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....			206
0713 Payment of interest to Treasury .....			13
0740 Negative subsidy obligations .....			114
0900 Total new obligations, unexpired accounts .....			333
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			314
Spending authority from offsetting collections, mandatory:			
1800 Collected, DCA .....			191
1800 Collected, OPIC .....			15
1850 Spending auth from offsetting collections, mand (total) .....			206
1900 Budget authority (total) .....			520
1930 Total budgetary resources available .....			520
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			187
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			333
3020 Outlays (gross) .....			-241
3050 Unpaid obligations, end of year .....			92
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			92
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			520
Financing disbursements:			
4110 Outlays, gross (total) .....			241
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
Federal sources - subsidy payments from program account,			
4120 DCA .....			-6
Federal sources - subsidy payments from program account,			
4120 OPIC .....			-12
4122 Interest on uninvested funds .....			-2
4122 Interest on uninvested funds .....			-7
4123 Claims recoveries - DCA .....			-179
4130 Offsets against gross budget authority and outlays (total) .....			-206
4160 Budget authority, net (mandatory) .....			314
4170 Outlays, net (mandatory) .....			35
4180 Budget authority, net (total) .....			314
4190 Outlays, net (total) .....			35

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 077-4485-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			1,550
2111 Guaranteed loan commitments from current-year authority .....			956
2121 Limitation available from carry-forward .....			
2150 Total guaranteed loan commitments .....			1,550
2150 Total guaranteed loan commitments .....			956
2199 Guaranteed amount of guaranteed loan commitments .....			1,550
2199 Guaranteed amount of guaranteed loan commitments .....			787
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2231 Disbursements of new guaranteed loans .....			27
2231 Disbursements of new guaranteed loans .....			2,625
2251 Repayments and prepayments .....			
Adjustments:			
2261 Terminations for default that result in loans receivable .....			-206
2263 Terminations for default that result in claim payments .....			
2264 Other adjustments, net .....			8,588
2290 Outstanding, end of year .....			11,034
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			15
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			11,007
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			
2310 Outstanding, start of year .....			
2331 Disbursements for guaranteed loan claims .....			206
2351 Repayments of loans receivable .....			-179
2361 Write-offs of loans receivable .....			-19
2364 Other adjustments, net .....			292
2390 Outstanding, end of year .....			300

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans guaranteed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

## DEVELOPMENT FINANCE CORPORATION DIRECT LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 077-4484-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....			2,350
0713 Payment of interest to Treasury .....			60
0740 Negative subsidy obligations .....			83
0900 Total new obligations, unexpired accounts .....			2,493
<b>Budgetary resources:</b>			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			1,975
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			518
1900 Budget authority (total) .....			2,493
1930 Total budgetary resources available .....			2,493
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			2,493
3020 Outlays (gross) .....			-405
3050 Unpaid obligations, end of year .....			2,088
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2,088

**Financing authority and disbursements, net:**

Discretionary:			
4020 Outlays, gross (total) .....			405
Mandatory:			
4090 Budget authority, gross .....			2,493
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources, credit subsidy .....			-7
4122 Interest on uninvested funds .....			-7
4123 Repayments of principal .....			-333
4123 Interest and fees received on loans .....			-171
4130 Offsets against gross budget authority and outlays (total) ....			-518
4160 Budget authority, net (mandatory) .....			1,975
4170 Outlays, net (mandatory) .....			-518
4180 Budget authority, net (total) .....			1,975
4190 Outlays, net (total) .....			-113

**Status of Direct Loans** (in millions of dollars)

Identification code 077-4484-0-3-151	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....			2,350
1150 Total direct loan obligations .....			2,350
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....			405
1251 Repayments: Repayments and prepayments .....			-333
1263 Write-offs for default: Direct loans .....			-128
1264 Transfer from OPIC financing account .....			2,602
1290 Outstanding, end of year .....			2,546

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

## URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 077-0401-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072-0401] ....			2
1930 Total budgetary resources available .....			2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a net present value basis. This account has been transferred from the U.S. Agency for International Development per the BUILD Act (P.L. 115-254).

## URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 077-4344-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....			5
0712 Default claim payments on interest .....			1
0900 Total new obligations, unexpired accounts .....			6
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [072-4344] ....			40

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

Identification code 077-4344-0-3-151	2018 actual	2019 est.	2020 est.
<b>Financing authority:</b>			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		6
1930	Total budgetary resources available .....		46
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		40
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		6
3020	Outlays (gross) .....		-6
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		6
Financing disbursements:			
4110	Outlays, gross (total) .....		6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds .....		-3
4123	Non-Federal sources .....		-3
4130	Offsets against gross budget authority and outlays (total) ....		-6
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 077-4344-0-3-151	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111	Guaranteed loan commitments from current-year authority .....		
2150	Total guaranteed loan commitments .....		
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210	Outstanding, start of year .....		
2251	Repayments and prepayments .....		-8
<b>Adjustments:</b>			
2263	Terminations for default that result in claim payments .....		-5
2264	Other adjustments, net .....		69
2290	Outstanding, end of year .....		56
<b>Memorandum:</b>			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		56

This account is a non-budgetary account that records all of the cash flows resulting from post-1991 direct loans or loan guarantees that have been made under the U.S. Agency for International Development's (USAID's) urban and environment guaranty program. This account was transferred from USAID per the BUILD Act (P.L. 115-254).

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 077-0400-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1011	Unobligated balance transfer from other acct [072-0400] ....		3
1930	Total budgetary resources available .....		3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		3
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a

net present value basis. This account has been transferred from the U.S. Agency for International Development per the BUILD Act (P.L. 115-254).

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT GUARANTEED LOAN  
FINANCING ACCOUNT

This account is a non-budgetary account that records all of the cash flows resulting from post-1991 direct loans or loan guarantees that have been made under the U.S. Agency for International Development's (USAID's) microenterprise and small enterprise guaranty program. This account was transferred from USAID per the BUILD Act (P.L. 115-254).

DEBT REDUCTION FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 077-4137-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	Payment of interest to Treasury .....		16
0900	Total new obligations, unexpired accounts .....		16
<b>Budgetary resources:</b>			
Unobligated balance:			
1011	Unobligated balance transfer from other acct [072-4137] ....		97
1023	Unobligated balances applied to repay debt .....		-54
1050	Unobligated balance (total) .....		43
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		32
1800	Collected .....		15
1850	Spending auth from offsetting collections, mand (total) .....		47
1930	Total budgetary resources available .....		90
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		74
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		16
3020	Outlays (gross) .....		-16
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		47
Financing disbursements:			
4110	Outlays, gross (total) .....		16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds .....		-15
4123	Non-Federal sources Loan Repayment Principal .....		-12
4123	Non-Federal sources Loan Repayment Interest .....		-20
4130	Offsets against gross budget authority and outlays (total) ....		-47
4170	Outlays, net (mandatory) .....		-31
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		-31

**Status of Direct Loans** (in millions of dollars)

Identification code 077-4137-0-3-151	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1251	Repayments: Repayments and prepayments .....		-12
1264	Other adjustments, net (+ or -) .....		697
1290	Outstanding, end of year .....		685

This account is a non-budgetary account that records all of the cash flows resulting from post-1991 direct loans or loan guarantees that have been reduced pursuant to programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, and the Multilateral Debt Relief Initiative (MDRI), as

well as through the Paris Club. This account was transferred from the U.S. Agency for International Development per the BUILD Act (P.L. 115–254).

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4340-0-3-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711			5
0712			2
0900			7
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200			7
Spending authority from offsetting collections, mandatory:			
1800			12
1820			-12
1900			7
1930			7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010			7
3020			-7
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090			7
Outlays, gross:			
4100			7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123			-12
4180			-5
4190			-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 077-4340-0-3-151	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210			
2251			-25
Adjustments:			
2261			-6
2264			124
2290			93
Memorandum:			
2299			93
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310			
2310			
2331			6
2351			-12
2364			224
2364			
2390			218

This is a budget account that records all cash flows to and from the Government resulting from pre-1992 loan guarantee commitments from the U.S. Agency for International Development's (USAID's) legacy housing and urban and environment guaranty programs (unless they were modified and transferred to a financing account). This account was transferred from USAID per the BUILD Act (P.L. 115–254).

ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4103-0-3-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800			211
1820			-211
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123			-190
4123			-21
4130			-211
4160			-211
4170			-211
4180			-211
4190			-211

Status of Direct Loans (in millions of dollars)

Identification code 077-4103-0-3-151	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1251			-190
1264			858
1290			668

This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. For FY 2020, this account has been transferred from the U.S. Agency for International Development per the BUILD Act (P.L. 115–254).

PEACE CORPS

Federal Funds

PEACE CORPS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of the Peace Corps Act (22 U.S.C. 2501 et seq.), including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States, \$396,200,000, of which \$6,000,000 is for the Office of Inspector General, to remain available until September 30, 2021: Provided, That the Director of the Peace Corps may transfer to the Foreign Currency Fluctuations Account, as authorized by section 16 of the Peace Corps Act (22 U.S.C. 2515), an amount not to exceed \$5,000,000: Provided further, That funds transferred pursuant to the previous proviso may not be derived from amounts made available for Peace Corps overseas operations: Provided further, That of the funds appropriated under this heading, not to exceed \$104,000 may be available for representation expenses, of which not to exceed \$4,000 may be made available for entertainment expenses: Provided further, That any decision to open, close, significantly reduce, or suspend a domestic or overseas office or country program shall be subject the regular notification procedures of the Committees on Appropriations, except regular notification procedures may be waived when there is a substantial security risk to volunteers or other Peace Corps personnel, pursuant to section 7010(d) of this Act: Provided further, That none of the funds appropriated under this heading shall be used to pay for abortions: Provided further, That notwithstanding the previous proviso, section 614 of division E of Public Law 114–113 shall apply to funds appropriated under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

PEACE CORPS—Continued  
Program and Financing (in millions of dollars)

Identification code 011-0100-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity - Peace Corps .....	404	420	423
0002 Direct program activity - Peace Corps Inspector General .....	5	6	6
0799 Total direct obligations .....	409	426	429
0801 Peace Corps (Reimbursable) .....	7	6	6
0900 Total new obligations, unexpired accounts .....	416	432	435
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	56	54
1011 Unobligated balance transfer from other acct [011-0101] .....	1		
1021 Recoveries of prior year unpaid obligations .....	22	10	10
1050 Unobligated balance (total) .....	55	66	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	410	410	396
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	10	10
1900 Budget authority (total) .....	419	420	406
1930 Total budgetary resources available .....	474	486	470
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	56	54	35
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	105	106
3010 New obligations, unexpired accounts .....	416	432	435
3020 Outlays (gross) .....	-414	-419	-410
3040 Recoveries of prior year unpaid obligations, unexpired .....	-22	-10	-10
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	105	106	119
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-4	-4
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	123	101	102
3200 Obligated balance, end of year .....	101	102	115
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	419	420	406
Outlays, gross:			
4010 Outlays from new discretionary authority .....	268	294	284
4011 Outlays from discretionary balances .....	146	125	126
4020 Outlays, gross (total) .....	414	419	410
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-7	-8	-9
4033 Non-Federal sources .....	-2	-2	-1
4040 Offsets against gross budget authority and outlays (total) ....	-9	-10	-10
4070 Budget authority, net (discretionary) .....	410	410	396
4080 Outlays, net (discretionary) .....	405	409	400
4180 Budget authority, net (total) .....	410	410	396
4190 Outlays, net (total) .....	405	409	400

The Peace Corps will provide direct and indirect support to Americans serving as Volunteers in approximately 65 countries worldwide in 2020, including the necessary safety and security provisions for Volunteers, trainees, and staff. The 2020 budget supports recruitment, screening, and placement of Peace Corps trainees and sustains new and existing Volunteers. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps also promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of community service. Peace Corps Volunteers work primarily in the areas of agriculture, community economic development, education, environment, health and HIV/AIDS, and youth in development.

The Peace Corps Office of Inspector General provides independent oversight in accordance with the Inspector General Act of 1978, as amended. Through audits, evaluations and investigations the office prevents and detects waste, fraud, abuse and mismanagement; provides advice and assistance to agency management; and promotes efficiency, effectiveness and economy in agency programs and operations.

**Object Classification** (in millions of dollars)

Identification code 011-0100-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	87	87	88
11.3 Other than full-time permanent .....	10	7	7
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	98	94	95
12.1 Civilian personnel benefits .....	100	102	103
13.0 Benefits for former personnel .....		1	1
21.0 Travel and transportation of persons .....	33	34	35
22.0 Transportation of things .....	3	2	3
23.1 Rental payments to GSA .....	8	7	2
23.2 Rental payments to others .....	15	15	11
23.3 Communications, utilities, and miscellaneous charges .....	10	9	8
24.0 Printing and reproduction .....	1		
25.1 Advisory and assistance services .....	14	15	15
25.2 Other services from non-Federal sources .....	76	85	88
25.3 Other goods and services from Federal sources .....		4	9
25.4 Operation and maintenance of facilities .....	2	1	1
25.6 Medical care .....	27	27	29
25.7 Operation and maintenance of equipment .....	6	9	9
26.0 Supplies and materials .....	11	11	11
31.0 Equipment .....	4	10	9
32.0 Land and structures .....	1		
99.0 Direct obligations .....	409	426	429
99.0 Reimbursable obligations .....	7	6	6
99.9 Total new obligations, unexpired accounts .....	416	432	435

**Employment Summary**

Identification code 011-0100-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,033	1,007	987
2001 Reimbursable civilian full-time equivalent employment .....	4	4	4

FOREIGN CURRENCY FLUCTUATIONS

**Program and Financing** (in millions of dollars)

Identification code 011-0101-0-1-151	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	5	5
1010 Unobligated balance transfer to other accts [011-0100] .....	-1		
1050 Unobligated balance (total) .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	5	5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account transfers funds to the operating expense account for the Peace Corps to finance upward adjustments of recorded obligations because of foreign currency fluctuations. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this account and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Peace Corps to withdraw unobligated balances from the operating expenses account from prior years as long as the authorized limit of \$5 million is not exceeded at the time of the transfer.

HOST COUNTRY RESIDENT CONTRACTORS SEPARATION LIABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-5395-0-2-151	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			3
Receipts:			
Current law:			
1140 Agency Contributions, Host Country Resident Contractors Separation Liability Fund .....	4	3	3
2000 Total: Balances and receipts .....	4	3	6
Appropriations:			
Current law:			
2101 Host Country Resident Contractors Separation Liability Fund .....	-4		
5099 Balance, end of year .....		3	6

Program and Financing (in millions of dollars)

Identification code 011-5395-0-2-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Host Country Resident Contractors Separation Liability Fund (Reimbursable) .....	4	2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	4	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....		2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4		
1930 Total budgetary resources available .....	4	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	22	4
3010 New obligations, unexpired accounts .....	4	2	2
3020 Outlays (gross) .....	-4	-18	
3040 Recoveries of prior year unpaid obligations, unexpired .....		-2	-2
3050 Unpaid obligations, end of year .....	22	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	22	4
3200 Obligated balance, end of year .....	22	4	4

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	4		
Outlays, gross:			
4101 Outlays from mandatory balances .....	4	18	
4180 Budget authority, net (total) .....	4		
4190 Outlays, net (total) .....	4	18	

This fund is maintained to pay separation costs for Host Country Resident Personal Services Contractors of the Peace Corps in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated in the Peace Corps' operating account.

Trust Funds

PEACE CORPS MISCELLANEOUS TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-9972-0-7-151	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			3
Receipts:			
Current law:			
1130 Miscellaneous Trust Funds, Peace Corps .....	2	3	3
2000 Total: Balances and receipts .....	2	3	6
Appropriations:			
Current law:			
2101 Peace Corps Miscellaneous Trust Fund .....	-2		
5099 Balance, end of year .....		3	6

Program and Financing (in millions of dollars)

Identification code 011-9972-0-7-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0881 Peace Corps Miscellaneous Trust Fund (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2) .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		2	2
1900 Budget authority (total) .....	2	2	2
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....		2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....		-2	-2
Mandatory:			
4090 Budget authority, gross .....	2		
Outlays, gross:			
4101 Outlays from mandatory balances .....	3		
4180 Budget authority, net (total) .....	2		
4190 Outlays, net (total) .....	3		

Miscellaneous contributions received by gift, devise, or bequest, that are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(4) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Section 151 of Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

INTER-AMERICAN FOUNDATION

Federal Funds

INTER-AMERICAN FOUNDATION

For necessary expenses to carry out the closure of the Inter-American Foundation, \$3,482,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-3100-0-1-151	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Gifts and Contributions, Inter-American Foundation .....	1		
2000 Total: Balances and receipts .....	1		

INTER-AMERICAN FOUNDATION—Continued  
Special and Trust Fund Receipts—Continued

Identification code 011-3100-0-1-151	2018 actual	2019 est.	2020 est.
Appropriations:			
Current law:			
2101 Inter-American Foundation .....	-1		
5099 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 011-3100-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Development grants .....	16	20	
0003 Program Implementation Expenses .....	8	8	
0005 Administrative Expenses .....	6	6	3
0799 Total direct obligations .....	30	34	3
0801 Development Grants (SPTF) .....	1	1	
0802 Development Grants (Gift) .....	1		
0899 Total reimbursable obligations .....	2	1	
0900 Total new obligations, unexpired accounts .....	32	35	3

## Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	11	3
1011 Unobligated balance transfer from other acct [072-1021] .....	6		
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	19	13	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	3
1101 Appropriation (special or trust fund) .....	1		
1160 Appropriation, discretionary (total) .....	24	23	3
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		2	
1900 Budget authority (total) .....	24	25	3
1930 Total budgetary resources available .....	43	38	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	3	5

## Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	29	30
3010 New obligations, unexpired accounts .....	32	35	3
3020 Outlays (gross) .....	-28	-31	-13
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3041 Recoveries of prior year unpaid obligations, expired .....		-1	-1
3050 Unpaid obligations, end of year .....	29	30	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	29	30
3200 Obligated balance, end of year .....	29	30	17

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	24	25	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	11	2
4011 Outlays from discretionary balances .....	17	20	11
4020 Outlays, gross (total) .....	28	31	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....		-2	
4040 Offsets against gross budget authority and outlays (total) .....		-2	
4180 Budget authority, net (total) .....	24	23	3
4190 Outlays, net (total) .....	28	29	13

In order to streamline the panoply of international affairs agencies operating today, the Budget proposes consolidating small grants functions and assistance aimed at reaching poor and remote communities that is currently carried out by the Inter-American Foundation (IAF) into the U.S. Agency for International Development (USAID) in FY 2020. To facilitate the consolidation, the Budget requests funding to conduct an orderly closeout of IAF beginning in fiscal year 2020, which includes sufficient funding

for severance payments for duplicative functions not merged into USAID and other miscellaneous requirements for an orderly shutdown.

## Object Classification (in millions of dollars)

Identification code 011-3100-0-1-151	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	
12.1 Civilian personnel benefits .....	2	2	
13.0 Benefits for former personnel .....			2
25.1 Advisory and assistance services .....	5	5	
25.3 Other goods and services from Federal sources .....	2	2	1
41.0 Grants, subsidies, and contributions .....	16	20	
99.0 Direct obligations .....	29	33	3
99.0 Reimbursable obligations .....	2	1	
99.5 Adjustment for discretionary rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	32	35	3

## Employment Summary

Identification code 011-3100-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	41	42	

## AFRICAN DEVELOPMENT FOUNDATION

## Federal Funds

## UNITED STATES AFRICAN DEVELOPMENT FOUNDATION

For necessary expenses to carry out the closure of the African Development Foundation, established under title V of the International Security and Development Cooperation Act of 1980 (Public Law 96-533), \$4,623,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 011-0700-0-1-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	30	30	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	2
1021 Recoveries of prior year unpaid obligations .....		1	1
1050 Unobligated balance (total) .....	1	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	5
1930 Total budgetary resources available .....	31	32	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	28	31
3010 New obligations, unexpired accounts .....	30	30	5
3020 Outlays (gross) .....	-31	-26	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....		-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	28	31	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	28	31
3200 Obligated balance, end of year .....	28	31	27

## Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	30	30	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	14	2
4011 Outlays from discretionary balances .....	17	12	6
4020 Outlays, gross (total) .....	31	26	8
4180 Budget authority, net (total) .....	30	30	5

4190 Outlays, net (total) .....	31	26	8
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In order to streamline the panoply of international affairs agencies operating today, the Budget proposes consolidating small grants functions and assistance aimed at reaching poor and remote communities that is currently carried out by the U.S. African Development Foundation (ADF) into the U.S. Agency for International Development (USAID) in FY 2020. To facilitate the consolidation, the Budget requests funding to conduct an orderly closeout of ADF beginning in fiscal year 2020, which includes sufficient funding for severance payments for duplicative functions not merged into USAID, lease termination fees, and other miscellaneous requirements for an orderly shutdown.

**Object Classification** (in millions of dollars)

Identification code 011-0700-0-1-151	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4	4	4
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	.....
23.2 Rental payments to others .....	1	1	.....
25.1 Other administrative costs .....	1	1	.....
25.2 Program non-development grants .....	3	3	.....
25.3 Other goods and services from Federal sources .....	2	2	.....
41.0 Development grants .....	17	17	.....
99.9 Total new obligations, unexpired accounts .....	30	30	5

**Employment Summary**

Identification code 011-0700-0-1-151	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	34	34	34

**Trust Funds**

## GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 011-8239-0-7-151	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Gifts and Donations, African Development Foundation .....	1	2	2
2000 Total: Balances and receipts .....	1	2	2
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Gifts and Donations, African Development Foundation .....	-1	-2	-2
5099 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 011-8239-0-7-151	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Project Grants .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3	3	3
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	2
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	3	3	4
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1	2	2
1900 Budget authority (total) .....	1	2	2
1930 Total budgetary resources available .....	4	5	6
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	2	3

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-2	-1	-1
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	3

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	2	2
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	.....	1	1
4101 Outlays from mandatory balances .....	2	.....	.....
4110 Outlays, gross (total) .....	2	1	1
4180 Budget authority, net (total) .....	1	2	2
4190 Outlays, net (total) .....	2	1	1

USADF has the authority to accept contributions from any legitimate source, such as foreign governments, private businesses, foundations, non-governmental organizations, international donors, and other strategic partners committed to promoting grassroots-based economic growth and development in Africa.

**INTERNATIONAL MONETARY PROGRAMS***Federal Funds*

## UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND

**Program and Financing** (in millions of dollars)

Identification code 011-0003-0-1-155	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....
<b>Memorandum (non-add) entries:</b>			
5112 IMF quota reserve tranche .....	.....	11,509	11,509
5113 IMF quota letter of credit .....	.....	105,627	105,627

The United States participates in the International Monetary Fund (IMF) through a quota subscription, denominated in Special Drawing Rights (SDRs). Under reforms to IMF quotas decided in 2010 and implemented by the IMF in early 2016 after Congress passed the necessary legislation ratifying the reforms, the U.S. quota at the IMF increased by SDR 40,871,800,000 (approximately \$58 billion using the current exchange rate) to SDR 82,994,200,000 (approximately \$117 billion using the current exchange rate). Quotas are the main metric used by the Fund to assign voting shares and to determine countries' contributions to the IMF's general resources and access to IMF financing.

The use of the U.S. quota resources by the IMF constitutes an exchange of monetary assets and does not result in net budget outlays. When the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves. The U.S. reserve position in the IMF is readily available to meet a U.S. balance-of-payments financing need.

Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114-113) directs that the budgetary authority and outlays of the 2016 quota increase be recorded on a present value basis with a fair value premium added to the discount rate. In addition, under the Act, the 2009 quota increase is also now executed on a present value basis.

## LOANS TO INTERNATIONAL MONETARY FUND

## Program and Financing (in millions of dollars)

Identification code 011–0074–0–1–155	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5116 New Arrangements to Borrow .....	39,858	39,858	

The General Arrangements to Borrow (GAB) were established in 1962 by 10 industrial countries, including the United States, as a means of supplementing the IMF's quota resources to forestall or cope with an impairment of the international monetary system. GAB participants decided in early 1983 to increase their financial commitments to the GAB from approximately SDR 6.3 billion to SDR 17 billion (about \$17.9 billion at that time), with the U.S. share rising from SDR 1.9 billion to approximately SDR 4.25 billion (about \$6 billion using the current exchange rate). In December 2017, GAB participants decided unanimously that the GAB should be allowed to lapse when its current term ends on December 25, 2018. Accordingly, the GAB decision lapsed on December 25, 2018. The non-renewal of the GAB will have no budget implications for the United States as amounts authorized for the GAB are also authorized to be used for the New Arrangements to Borrow (NAB).

In January 1997, the Executive Board of the IMF approved the creation of the NAB, which is a standing arrangement among certain IMF members to supplement the IMF's quota resources as needed to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998.

By the end of 2016, following reduction of the NAB as part of the 2010 IMF reforms (see the account entitled "United States Quota, International Monetary Fund"), 38 countries and institutions participated in the NAB for a total of SDR 181 billion (about \$255 billion), of which the U.S. share was approximately SDR 28 billion (about \$40 billion). The NAB is currently not activated.

With respect to this account, resources provided by the United States under the NAB constitute an exchange of monetary assets and do not result in any net budgetary outlays because such transactions result in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the NAB are readily available to meet a U.S. balance-of-payments financing need.

Title IX of The Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Public Law 114–113) rescinded SDR 40,871,800,000 from U.S. participation in the NAB. The Act also directs that the budget authority and outlays of the NAB rescission be recorded on a present value basis with a fair value premium added to the discount rate. In addition, under the Act, the 2009 NAB increase is also now executed on a present value basis.

## MILITARY SALES PROGRAM

## Federal Funds

## SPECIAL DEFENSE ACQUISITION FUND

## Program and Financing (in millions of dollars)

Identification code 011–4116–0–3–155	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Special Defense Acquisition Fund (Reimbursable) .....	159	600	558
0900 Total new obligations, unexpired accounts (object class 25.3) .....	159	600	558
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	489	404	85

1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1021 Recoveries of prior year unpaid obligations .....	9		
1033 Recoveries of prior year paid obligations .....	233		
1050 Unobligated balance (total) .....	733	404	85
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	32	481	473
1900 Budget authority (total) .....	32	481	473
1930 Total budgetary resources available .....	765	885	558
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–202	–200	
1941 Unexpired unobligated balance, end of year .....	404	85	

## Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	228	219	269
3010 New obligations, unexpired accounts .....	159	600	558
3011 Obligations ("upward adjustments"), expired accounts .....	13		
3020 Outlays (gross) .....	–171	–550	–485
3040 Recoveries of prior year unpaid obligations, unexpired .....	–9		
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	219	269	342
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	228	219	269
3200 Obligated balance, end of year .....	219	269	342

## Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	32	481	473
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		361	355
4011 Outlays from discretionary balances .....	171	189	130
4020 Outlays, gross (total) .....	171	550	485
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–32	–481	–473
4033 Non-Federal sources .....	–241		
4040 Offsets against gross budget authority and outlays (total) .....	–273	–481	–473
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	8		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	233		
4060 Additional offsets against budget authority only (total) .....	241		
4080 Outlays, net (discretionary) .....	–102	69	12
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–102	69	12

The Special Defense Acquisition Fund (SDAF) helps to better support coalition and other U.S. partners participating in U.S. overseas contingency and other operations; and expedite the procurement of defense articles for provision to foreign nations and international organizations. The 2020 request reflects \$900 million in new SDAF obligation authority, to be funded by offsetting collections. In 2020, offsetting collections will be derived from SDAF sales of stock as well as other receipts consistent with section 51(b) of the Arms Export Control Act. The 2020 request will support advance purchases of high-demand equipment that has long procurement lead times, which is often the main limiting factor in our ability to provide coalition partners with critical equipment to make them operationally effective in a timely manner. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

## Trust Funds

## FOREIGN MILITARY SALES TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 011–8242–0–7–155	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	4,123	4,673	3,465
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Deposits, Advances, Foreign Military Sales Trust Fund .....	32,229	43,080	47,421
2000 Total: Balances and receipts .....	36,352	47,753	50,886

Appropriations:				
Current law:				
2101	Foreign Military Sales Trust Fund .....	-32,229	-44,878	-46,980
2103	Foreign Military Sales Trust Fund .....	-10	-10	-10
2132	Foreign Military Sales Trust Fund .....	10		
2134	Foreign Military Sales Trust Fund .....	550	600	600
2199	Total current law appropriations .....	-31,679	-44,288	-46,390
2999	Total appropriations .....	-31,679	-44,288	-46,390
5099	Balance, end of year .....	4,673	3,465	4,496

**Program and Financing** (in millions of dollars)

Identification code 011-8242-0-7-155		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0003	Aircraft .....	55,622	27,809	24,795
0004	Missiles .....		14,133	12,601
0005	Communication Equipment .....		2,039	1,818
0006	Maintenance and Support Equipment .....		1,916	1,708
0007	Special Activities/R&D .....		2,410	2,149
0008	Tactical/Support/Combat Vehicles .....		1,421	1,267
0009	Ammunition .....		9,640	8,595
0010	Supplies & Supply Operations .....		803	716
0011	Construction .....		562	501
0012	Weapons .....		136	121
0013	Training .....		803	716
0014	Ships .....		124	110
0015	Administration .....	1,010	1,010	1,010
0900	Total new obligations, unexpired accounts (object class 25.2) .....	56,632	62,806	56,107
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	59	142	153
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	32,229	44,878	46,980
1203	Appropriation (previously unavailable) .....	10	10	10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-10		
1234	Appropriations precluded from obligation .....	-550	-600	-600
1238	Appropriations applied to liquidate contract authority .....	-30,669	-43,268	-45,370
1260	Appropriations, mandatory (total) .....	1,010	1,020	1,020
Contract authority, mandatory:				
1600	Contract authority .....	55,705	61,797	55,099
1900	Budget authority (total) .....	56,715	62,817	56,119
1930	Total budgetary resources available .....	56,774	62,959	56,272
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	142	153	165
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	158,964	183,854	203,590
3010	New obligations, unexpired accounts .....	56,632	62,806	56,107
3020	Outlays (gross) .....	-31,742	-43,070	-47,411
3050	Unpaid obligations, end of year .....	183,854	203,590	212,286
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	158,964	183,854	203,590
3200	Obligated balance, end of year .....	183,854	203,590	212,286
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	56,715	62,817	56,119
Outlays, gross:				
4100	Outlays from new mandatory authority .....		39,987	13,019
4101	Outlays from mandatory balances .....	31,742	3,083	34,392
4110	Outlays, gross (total) .....	31,742	43,070	47,411
4180	Budget authority, net (total) .....	56,715	62,817	56,119
4190	Outlays, net (total) .....	31,742	43,070	47,411
<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	130,044	155,080	173,609
5053	Obligated balance, EOY: Contract authority .....	155,080	173,609	183,338

The Foreign Military Sales Trust Fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are in millions of dollars:

**ESTIMATES OF NEW SALES**

Estimates of new orders (sales) .....	2018 Actual	2019 Est.	2020 Est.
	55,705	61,797	55,099

**Federal Funds**

**INTERNATIONAL HUMANITARIAN ASSISTANCE**

For necessary expenses to carry out the provisions of section 2 of the Migration and Refugee Assistance Act of 1962 and section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, \$5,968,000,000, to remain available until expended: Provided, That funds under this heading shall be administered by the United States Agency for International Development under the authority of the Secretary of State.

**Program and Financing** (in millions of dollars)

Identification code 072-1550-0-1-151		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Direct program activity .....			5,071
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....			5,968
1930	Total budgetary resources available .....			5,968
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....			897
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....			5,071
3020	Outlays (gross) .....			-4,178
3050	Unpaid obligations, end of year .....			893
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			893
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			5,968
Outlays, gross:				
4010	Outlays from new discretionary authority .....			4,178
4180	Budget authority, net (total) .....			5,968
4190	Outlays, net (total) .....			4,178

The new International Humanitarian Assistance (IHA) account supports the Administration's commitment to deliver the fundamental changes needed to optimize the effectiveness of our overseas humanitarian assistance and seamlessly coordinate policy and implementation across State and USAID. As conflict-based crises increase and force multiple displacements of populations within and outside their national borders, the 2020 request seeks to adapt and significantly improve our ability to respond flexibly by consolidating all overseas humanitarian assistance in a single account so that funds can adjust as needed to reach affected persons.

Accordingly, the Budget consolidates our fragmented and outdated overseas humanitarian programming, implementation, and oversight of all implementers into one account and in a new bureau at USAID. The Budget preserves State's lead role on humanitarian, migration, and population policy issues, policy oversight of international organizations, and related diplomatic engagement, as well as the U.S. refugee admissions program (USRAP). Funding in the new IHA account will be appropriated to the President, but will be administered by USAID subject to a new senior, dual-hatted State and USAID leadership structure under the authority of the Secretary of State, but reporting to both the Secretary and the Administrator of USAID. This new account and organizational structure will enable the U.S. government to respond seamlessly to ongoing as well as new humanitarian needs of the most vulnerable displaced people, including refugees, conflict victims, stateless persons, and migrants worldwide. The Budget pairs this restructuring, which builds on State's and USAID's comparative strengths, with a new high-level, dual-hat humanitarian leadership structure at State and USAID under the authority of the Secretary

INTERNATIONAL HUMANITARIAN ASSISTANCE—Continued

of State. This restructuring is also critical to establishing a strong, unified U.S. voice that can extract optimal UN reforms, to induce other donors to do their fair share, and to resolve ongoing crises.

The Budget does not request funds in the International Disaster Assistance (IDA) account or for overseas humanitarian assistance in the Migration and Refugee Assistance (MRA) account. Programs related to U.S. refugee admissions and certain other administrative expenses will continue to be funded through the MRA account, discussed separately in the Appendix. In addition, appropriations language under the MRA account gives the Secretary of State authority to transfer funding from IHA to MRA should projected funding for refugee resettlement not be sufficient. The 2020 request also continues to eliminate the P.L. 480 Title II account. The IHA request will ensure that all food assistance programs are appropriate to local needs and will increase overall effectiveness. The 2020 request enables the United States to remain the largest single humanitarian donor in the world.

Specifically, these funds support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs. Emergency food aid can include interventions such as local and regional purchase of food near crises, the provision of U.S. commodities, food vouchers, or cash transfers, and complementary activities that support the relief, recovery, and resilience of populations affected by food crises. Funds will support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and the International Organization for Migration (IOM), the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

Object Classification (in millions of dollars)

Identification code	2018 actual	2019 est.	2020 est.
072-1550-0-1-151			
Direct obligations:			
21.0 Travel and transportation of persons .....			12
23.3 Communications, utilities, and miscellaneous charges .....			1
25.2 Other services from non-Federal sources .....			45
41.0 Grants, subsidies, and contributions .....			5,013
99.9 Total new obligations, unexpired accounts .....			5,071

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
072-272430 Foreign Military Financing, Downward Reestimates of Subsidies .....		12	
011-388044 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1		
071-274910 Overseas Private Investment Corporation Loans, Negative Subsidies .....	167	329	
071-274930 Overseas Private Investment Corporation Loans, Downward Reestimates of Subsidy .....	258	204	
072-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	1	1	1
072-267630 Downward Reestimates, MENA Loan Guarantee Program .....	40		
072-272530 Loan Guarantees to Israel, Downward Reestimates of Subsidies .....	119	152	
072-273130 Ukraine Loan Guarantees Program, Downward Reestimates .....	87	80	
072-274430 Urban and Environmental Credit Program, Downward Reestimates of Subsidies .....	4	9	
072-275230 Development Credit Authority Program Account, Downward Reestimates of Loan Guarantees .....	20	13	
072-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	7		

077-268510 Development Finance Corporation Negative Subsidies .....			127
General Fund Offsetting receipts from the public .....	704	800	128
Intragovernmental payments:			
072-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	-4		
General Fund Intragovernmental payments .....	-4		

GENERAL PROVISIONS

DIFFERENTIALS

SEC. 7001. Funds appropriated under title I of this Act shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as authorized by section 3109 of such title and for hire of passenger transportation pursuant to section 1343(b) of title 31, United States Code.

CONSULTING SERVICES

SEC. 7002. The expenditure of any appropriation under title I of this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

DIPLOMATIC FACILITIES

SEC. 7003. (a) NEW DIPLOMATIC FACILITIES.—For the purposes of calculating the fiscal year 2020 costs of providing new United States diplomatic facilities in accordance with section 604(e) of the Secure Embassy Construction and Counterterrorism Act of 1999 (22 U.S.C. 4865 note), the Secretary of State, in consultation with the Director of the Office of Management and Budget, shall determine the annual program level and agency shares in a manner that is proportional to the Department of State's contribution for this purpose.

(b) TRANSFER AUTHORITY.—Funds appropriated under the headings "Diplomatic Programs", including for Worldwide Security Protection, "Emergencies in the Diplomatic and Consular Service", and "Embassy Security, Construction, and Maintenance" in this Act may be transferred to, and merged with, funds appropriated under such headings if the Secretary of State determines and reports to the Committees on Appropriations that to do so is necessary to implement the recommendations of the Benghazi Accountability Review Board, for emergency evacuations, or to prepare for, prevent or respond to security situations and requirements, following consultation with, and subject to the regular notification procedures of, such Committees: Provided, That such transfer authority is in addition to any transfer authority otherwise available in this Act and under any other provision of law.

PERSONNEL ACTIONS

SEC. 7004. Any costs incurred by a department or agency funded under title I of this Act resulting from personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available under title I to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act.

PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. 7005. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance or reparations for the governments of Cuba, North Korea, Iran, or Syria: Provided, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance, and guarantees of the Export-Import Bank or its agents.

COUPS D'ETAT

SEC. 7006. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated to finance directly any assistance to the government of any country whose duly elected head of government is deposed by military coup d'etat or decree or, after the date of enactment of this Act, a coup d'etat or decree in which the military plays a decisive role: Provided, That assistance may be resumed to such government if the Secretary of State certifies and reports to the appropriate congressional committees that subsequent to the termination of assistance a democratically elected government has taken office or that provision of assistance is in the national interest of the United States: Provided further, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes.

TRANSFER AUTHORITY

SEC. 7007. (a) DEPARTMENT OF STATE AND U.S. AGENCY FOR GLOBAL MEDIA.—

(1) Not to exceed the greater of 5 percent or \$2,000,000 of any appropriations for the Department of State under title I of this Act or under title I of prior Acts may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers, except that none of the limitations on the transfer authority provided in this paragraph shall apply in the case of transfers under this authority into the Capital Investment Fund for the purposes of information technology modernization.

(2) Not to exceed the greater of 5 percent or \$2,000,000 of any appropriation for the U.S. Agency for Global Media under title I of this Act or under title I of prior Acts may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section 7011 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

(b) **TITLE VI TRANSFER AUTHORITIES.**—Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year 2020, for programs under title VI of this Act may be transferred between such appropriations for use for any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: Provided, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

(c) **AUDIT OF INTER-AGENCY TRANSFERS.**—Any agreement for the transfer or allocation of funds appropriated by this Act, or prior Acts, entered into between the Department of State or USAID and another agency of the United States Government under the authority of section 632(a) of the Foreign Assistance Act of 1961 or any comparable provision of law, shall expressly provide that the Inspector General (IG) for the agency receiving the transfer or allocation of such funds, or other entity with audit responsibility if the receiving agency does not have an IG, shall perform periodic program and financial audits of the use of such funds and report to the Department of State or USAID, as appropriate, upon completion of such audits: Provided, That funds transferred under such authority may be made available for the cost of such audits.

(d) **GLOBAL SECURITY CONTINGENCY FUND**—Up to \$7,500,000 from funds appropriated under the headings "International Narcotics Control and Law Enforcement", "Peacekeeping Operations", and "Foreign Military Financing Program" in this Act may be transferred to, and merged with, funds previously made available under the heading "Global Security Contingency Fund".

(e) **ADDITIONAL TRANSFER AUTHORITY.**—

(1) Funds appropriated by this Act under the headings "Transition Initiatives", "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this Act under such headings.

(2) Funds appropriated by this Act under the headings "Transition Initiatives", "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this Act under the heading "International Humanitarian Assistance".

(3) The authority provided in subsections (e)(1) and (e)(2) may be used to transfer up to \$400,000,000 from the funds appropriated by this Act and may be exercised only if the Secretary of State determines that such transfer is needed to address unexpected contingencies, man-made or natural disasters, or other urgent needs.

(4) The authority provided by this subsection shall be subject to the regular notification procedures of the Committees on Appropriations: Provided, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance Act of 1961, which may be exercised by the Secretary of State for the purposes of this Act.

#### PROHIBITION ON FIRST-CLASS TRAVEL

SEC. 7008. None of the funds made available in this Act may be used for first-class travel by employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

#### AVAILABILITY OF FUNDS

SEC. 7009. No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided in this Act: Provided, That funds appropriated for the purposes of

chapters 1 and 8 of part I, sections 661 and 667, chapters 4, 5, 6, 8, and 9 of part II of the Foreign Assistance Act of 1961, section 23 of the Arms Export Control Act, and funds made available for the United States International Development Finance Corporation shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: Provided further, That notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially allocated or obligated before the expiration of their respective periods of availability contained in this Act.

#### RESERVATIONS OF FUNDS

SEC. 7010. (a) **REPROGRAMMING.**—Funds appropriated under titles III through VI of this Act which are specifically designated may be reprogrammed for other programs within the same account notwithstanding the designation if compliance with the designation is made impossible by operation of any provision of this or any other Act or by a significant change in circumstance as determined by the Secretary of State: Provided, That any such reprogramming shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) **EXTENSION OF AVAILABILITY.**—In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act and administered by the Department of State or the United States Agency for International Development (USAID) that are specifically designated for particular programs or activities by this or any other Act may be extended for an additional fiscal year if the Secretary of State or the USAID Administrator, as appropriate, determines and reports promptly to the Committees on Appropriations that the termination of assistance to a country or a significant change in circumstances makes it unlikely that such designated funds can be obligated during the original period of availability: Provided, That such designated funds that continue to be available for an additional fiscal year shall be obligated only for the purpose of such designation.

(c) **OTHER ACTS.**—Ceilings and specifically designated funding levels contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs: Provided, That specifically designated funding levels or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

#### NOTIFICATION REQUIREMENTS

SEC. 7011. (a) **NOTIFICATION OF CHANGES IN PROGRAMS, PROJECTS, AND ACTIVITIES.**—None of the funds made available in titles I and II of this Act, or in prior appropriations Acts to the agencies and departments funded by this Act that remain available for obligation in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees or of currency reflows or other offsetting collections, or made available by transfer, to the agencies and departments funded by this Act, shall be available for obligation through a reprogramming of funds that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) closes, opens, or reopens a mission or post;
- (4) creates, closes, reorganizes, or renames bureaus, centers, or offices; or
- (5) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless previously justified to the Committees on Appropriations or such Committees are notified 15 days in advance of such obligation.

(b) **NOTIFICATION OF REPROGRAMMING OF FUNDS.**—None of the funds provided under titles I and II of this Act, or provided under previous appropriations Acts to the agency or department funded under titles I and II of this Act that remain available for obligation in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agency or department funded under title I of this Act, shall be available for obligation for activities, programs, or projects through a reprogramming of funds in excess of \$2,000,000 or 10 percent, whichever is less, that—

- (1) augments or changes existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or
- (3) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(c) **NOTIFICATION REQUIREMENT.**—None of the funds made available by this Act under the headings "Global Health Programs", "International Narcotics Control and Law Enforcement", "Economic Support and Development Fund", "Peacekeeping Operations", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Millennium Challenge Corporation", "Foreign Military Financing Program", "International Military Education and Training", and "Peace Corps", shall be available for obligation for activities, programs, projects, type of materiel assistance, countries, or other operations not justified or in excess of the amount justified to the Committees on Appropriations for obligation under any of these specific headings unless the Committees on Appropriations are notified 15 days in advance: Provided, That the President shall not enter into any commitment of funds appropriated for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, not previously justified to Congress or 20 percent in excess of the quantities justified to Congress unless the Committees on Appropriations are notified 15 days in advance of such commitment: Provided further, That requirements of this subsection or any similar provision of this or any other Act shall not apply to any reprogramming for an activity, program, or project for which funds are appropriated under titles III through VI of this Act of less than 10 percent of the amount previously justified to Congress for obligation for such activity, program, or project for the current fiscal year.

(d) **WAIVER.**—The requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: Provided, That in case of any such waiver, notification to the Committees on Appropriations shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver: Provided further, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

#### LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS

SEC. 7012. Subject to the regular notification procedures of the Committees on Appropriations, funds appropriated under titles III through V of this Act, which are returned or not made available for organizations and programs because of the implementation of section 307(a) of the Foreign Assistance Act of 1961 shall remain available for obligation until September 30, 2022: Provided, That section 307(a) of the Foreign Assistance Act of 1961 is amended by striking "Burma".

#### PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION

SEC. 7013. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

#### REPRESENTATION AND ENTERTAINMENT EXPENSES

SEC. 7014. **LIMITATIONS.**—None of the funds appropriated or otherwise made available by this Act under the headings "International Military Education and Training" or "Foreign Military Financing Program" for Informational Program activities or under the headings "Global Health Programs", "Economic Support and Development Fund" may be obligated or expended to pay for—

- (1) alcoholic beverages; or
- (2) entertainment expenses for activities that are substantially of a recreational character, including but not limited to entrance fees at sporting events, theatrical and musical productions, and amusement parks.

#### AUTHORIZATION REQUIREMENTS

SEC. 7015. Funds appropriated by this Act, except funds appropriated under the heading "Trade and Development Agency", may be obligated and expended notwithstanding section 10 of Public Law 91–672, section 15 of the State Department Basic Authorities Act of 1956, section 313 of the Foreign Relations Authorization Act,

Fiscal Years 1994 and 1995 (Public Law 103–236), and section 504(a)(1) of the National Security Act of 1947 (50 U.S.C. 3094(a)(1)).

#### DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. 7016. For the purpose of titles II through VI of this Act "program, project, and activity" shall be defined at the appropriations Act account level and shall include all appropriations and authorizations Acts funding directives, ceilings, and limitations with the exception that for the following accounts: "Economic Support and Development Fund" and "Foreign Military Financing Program", "program, project, and activity" shall also be considered to include country, regional, and central program level funding within each such account; and for the development assistance accounts of the United States Agency for International Development, "program, project, and activity" shall also be considered to include central, country, regional, and program level funding, either as—

- (1) justified to Congress; or
- (2) allocated by the Executive Branch in accordance with a report, to be provided to the Committees on Appropriations within 30 days of the enactment of this Act, as required by section 653(a) of the Foreign Assistance Act of 1961.

#### AUTHORITIES FOR THE PEACE CORPS

SEC. 7017. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for the Department of State, foreign operations, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act: Provided, That prior to conducting activities in a country for which assistance is prohibited, the agency shall notify the Committees on Appropriations and report to such Committees within 15 days of taking such action.

#### COMMERCE, TRADE AND SURPLUS COMMODITIES

SEC. 7018. (a) **WORLD MARKETS.**—None of the funds appropriated or made available pursuant to titles III through VI of this Act for direct assistance and none of the funds otherwise made available to the Export-Import Bank shall be obligated or expended to finance any loan, any assistance, or any other financial commitments for establishing or expanding production of any commodity for export by any country other than the United States, if the commodity is likely to be in surplus on world markets at the time the resulting productive capacity is expected to become operative and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity: Provided, That such prohibition shall not apply to the Export-Import Bank if in the judgment of its Board of Directors the benefits to industry and employment in the United States are likely to outweigh the injury to United States producers of the same, similar, or competing commodity, and the Chairman of the Board so notifies the Committees on Appropriations: Provided further, That this subsection shall not prohibit—

(1) activities in a country that is eligible for assistance from the International Development Association, is not eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or

(2) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.

(b) **EXPORTS.**—None of the funds appropriated by this or any other Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961 shall be available for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference, or training in connection with the growth or production in a foreign country of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States: Provided, That this subsection shall not prohibit—

(1) activities designed to increase food security in developing countries where such activities will not have a significant impact on the export of agricultural commodities of the United States;

(2) research activities intended primarily to benefit United States producers;

(3) activities in a country that is eligible for assistance from the International Development Association, is not eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or

(4) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.

#### ELIGIBILITY FOR ASSISTANCE

SEC. 7019. (a) **ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.**—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961: Provided, That nothing in this subsection shall be

construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) **PUBLIC LAW 480.**—During fiscal year 2020, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Food for Peace Act (Public Law 83–480).

#### LOCAL COMPETITION

**SEC. 7020. EXTENSION OF PROCUREMENT AUTHORITY.**—Section 7077 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall continue in effect during fiscal year 2020.

#### DEBT-FOR-DEVELOPMENT

**SEC. 7021.** In order to enhance the continued participation of nongovernmental organizations in economic assistance activities under the Foreign Assistance Act of 1961, debt-for-development and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the Department of State or United States Agency for International Development may place in interest bearing accounts local currencies which accrue to that organization as a result of economic assistance provided under title III of this Act and any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.

#### FOREIGN ASSISTANCE TRANSPARENCY

**SEC. 7022. FOREIGN ASSISTANCE WEB SITE.**—Funds appropriated by this Act, including funds made available for any agency, as appropriate, may be made available to support the provision of additional information on United States Government foreign assistance on the Department of State foreign assistance Web site: Provided, That all Federal agencies shall provide such information on foreign assistance, upon request, to the Department of State.

#### DEMOCRACY PROGRAMS

**SEC. 7023. (a) AUTHORITY.**—Funds made available by this Act for democracy programs may be made available notwithstanding any other provision of law, and with regard to the National Endowment for Democracy (NED), any regulation.

(b) **DEFINITION OF DEMOCRACY PROGRAMS.**—For purposes of funds appropriated by this Act, the term "democracy programs" means programs that support good governance, credible and competitive elections, freedom of expression, association, assembly, and religion, human rights, labor rights, independent media, and the rule of law, and that otherwise strengthen the capacity of democratic political parties, governments, nongovernmental organizations and institutions, and citizens to support the development of democratic states, and institutions that are responsive and accountable to citizens.

(c) **RESTRICTION ON PRIOR APPROVAL.**—With respect to the provision of assistance for democracy programs in this Act, the Secretary of State should oppose, through appropriate means, efforts by foreign governments to dictate the nature of United States assistance for civil society, the selection of individuals or entities to implement such programs, or the selection of recipients or beneficiaries of those programs.

#### SPECIAL PROVISIONS

**SEC. 7024. (a) VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.**—Funds appropriated in titles III and VI of this Act that are made available for assistance for Afghanistan, Burma, Iraq, Sudan, Lebanon, Pakistan, and for victims of war, displaced children, displaced Burmese, and to combat trafficking in persons and assist victims of such trafficking, may be made available notwithstanding any other provision of law.

(b) **LAW ENFORCEMENT AND SECURITY.**—

(1) **DISARMAMENT, DEMOBILIZATION, AND REINTEGRATION.**—Section 7034(d) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2020 as if part of this Act.

(2) **INTERNATIONAL PRISON CONDITIONS.**—Funds appropriated under the headings "Economic Support and Development Fund" and "International Narcotics Control and Law Enforcement" in this Act may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate inhumane conditions in foreign prisons and detention facilities.

(3) **RECONSTITUTING CIVILIAN POLICE AUTHORITY.**—In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.

(c) **WORLD FOOD PROGRAMME.**—Funds managed by the Bureau for Democracy, Conflict, and Humanitarian Assistance or successor bureau, United States Agency for International Development (USAID), from this or any other Act, may be made available as a general contribution to the World Food Programme, notwithstanding any other provision of law.

(d) **AUTHORITIES.**—

(1) **GENOCIDE VICTIMS MEMORIAL SITES.**—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "Economic Support and Development Fund" or "Economic Support Fund" may be made available as contributions to establish and maintain memorial sites of genocide.

(2) **ADDITIONAL AUTHORITIES.**—Of the amounts made available by title I of this Act under the heading "Diplomatic Programs", up to \$500,000 may be made available for grants and contracts pursuant to section 504 of Public Law 95–426 (22 U.S.C. 2656d), including to facilitate collaboration with indigenous communities.

(3) **AUTHORITY.**—The Administrator of the United States Agency for International Development may use funds appropriated by this Act under title III to make innovation incentive awards: Provided, That for purposes of this paragraph the term "innovation incentive award" means the provision of funding on a competitive basis that—

(A) encourages and rewards the development of solutions for a particular, well-defined problem related to the alleviation of poverty; or

(B) helps identify and promote a broad range of ideas and practices facilitating further development of an idea or practice by third parties.

(e) **PARTNER VETTING.**—Funds appropriated by this Act or in titles I through IV of prior Acts making appropriations for the Department of State, foreign operations, and related programs may be used by the Secretary of State and the USAID Administrator, as appropriate, to support the continued implementation of partner vetting.

(f) **CONTINGENCIES.**—During fiscal year 2020, the President may use up to \$200,000,000 under the authority of section 451 of the Foreign Assistance Act of 1961, notwithstanding any other provision of law.

(g) **REPORTS REPEALED.**—22 U.S.C. 2593b; section 111(a) of Public Law 111–195; section 4 of Public Law 107–243; sections 51(a)(2) and 404(e) of Public Law 84–885; section 804(b) of Public Law 101–246; section 1012(c) of Public Law 103–337; sections 549, 620C(c), 655, and 656 of Public Law 87–195; section 8 and 11(b) of Public Law 107–245; section 181 of Public Law 102–138; section 527(f) of Public Law 103–236; section 12(a)-(b) of Public Law 108–19; section 702 of Public Law 107–228; section 570(d) of Public Law 104–208; section 5103(f) of Public Law 111–13; Section 4 of Public Law 79–264 (22 USC 287b(a)); and section 118(f) of the Foreign Assistance Act of 1961 (22 U.S.C. 2151p–1(f)) are hereby repealed; and section 136 of the Foreign Assistance Act of 1961 (22 U.S.C. 2152h) is amended in subsections (e)(1)(B)(ii) and (e)(2)(B)(ii) by striking "and revision, not less frequently than once every 5 years," and in subsection (j)(1) by striking ", October 1, 2022, and October 1, 2027,".

(h) **TRANSFERS FOR EXTRAORDINARY PROTECTION.**—The Secretary of State may transfer to, and merge with, funds under the heading "Protection of Foreign Missions and Officials" unobligated balances of expired funds appropriated under the heading "Diplomatic Programs" for fiscal year 2020, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated: Provided, That not more than \$50,000,000 may be transferred.

(i) **EXTENSION OF AUTHORITIES.**—

(1) **PASSPORT FEES.**—Section 1(b) of the Passport Act of June 4, 1920 (22 U.S.C. 214(b)) is amended by striking paragraph (2) and re-designating paragraph (3) as paragraph (2).

(2) **ACCOUNTABILITY REVIEW BOARDS.**—The authority provided by section 301(a)(3) of the Omnibus Diplomatic Security and Antiterrorism Act of 1986 (22 U.S.C. 4831(a)(3)) shall be in effect for facilities in Afghanistan, Iraq, Pakistan, Yemen, Somalia, Syria, and Libya through September 30, 2020, except that the notification and reporting requirements contained in such section shall include the Committees on Appropriations.

(3) **INCENTIVES FOR CRITICAL POSTS.**—The authority contained in section 1115(d) of the Supplemental Appropriations Act, 2009 (Public Law 111–32) shall remain in effect through September 30, 2020.

(4) **USAID CIVIL SERVICE ANNUITANT WAIVER.**—Section 625(j)(1) of the Foreign Assistance Act of 1961 (22 U.S.C. 2385(j)(1)) shall be applied by substituting "September 30, 2020" for "October 1, 2010" in subparagraph (B).

(5) **OVERSEAS PAY COMPARABILITY.**—

(A) The authority provided by section 1113 of the Supplemental Appropriations Act, 2009 (Public Law 111–32; 123 Stat. 1904) shall remain in effect through September 30, 2020.

(6) **CATEGORICAL ELIGIBILITY.**—The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101–167) is amended—

(A) in section 599D (8 U.S.C. 1157 note)—

(i) in subsection (b)(3), by striking "and 2019" and inserting "2019, and 2020"; and

(ii) in subsection (e), by striking "2019" each place it appears and inserting "2020"; and

(B) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking "2019" and inserting "2020".

(7) **INSPECTOR GENERAL ANNUITANT WAIVER.**—The authorities provided in section 1015(b) of the Supplemental Appropriations Act, 2010 (Public Law 111–212) shall remain in effect through September 30, 2022, and, in addition to the countries cited in section 1015(b), shall apply to Syria, Jordan, Lebanon and Turkey.

(8) **EXTENSION OF WAR RESERVES STOCKPILE AUTHORITY.**—

(A) Section 12001(d) of the Department of Defense Appropriations Act, 2005 (Public Law 108–287; 118 Stat. 1011) shall be applied by substituting "2021" for "2020".

(B) Section 514(b)(2)(A) of the Foreign Assistance Act of 1961 (22 U.S.C. 2321h(b)(2)(A)) shall be applied by substituting "2020, and 2021" for "and 2020".

(9) **CONFLICT STABILIZATION OPERATIONS.**—Section 618 of the Foreign Assistance Act of 1961 is amended by striking subsection (b).

(10) **AUTHORITY TO PROVIDE ACCOMMODATION AND SUBSISTENCE TO INDIVIDUALS SERVING IN SOMALIA.**—The authority in section 1602(e) of Public Law 109–234 shall apply hereafter with respect to Somalia.

(11) **EXTENSION OF PERIOD FOR REIMBURSEMENT OF SEIZED COMMERCIAL FISHERMEN.**—Section 7(e) of the Fishermen's Protective Act of 1967 (22 U.S.C. 1977(e)) is amended by striking "2018" and inserting instead "2020".

(j) **HIV/AIDS WORKING CAPITAL FUND.**—Funds available in the HIV/AIDS Working Capital Fund established pursuant to section 525(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) may be made available for pharmaceuticals and other products for maternal and child survival, malaria, tuberculosis, and emerging infectious diseases to the same extent as HIV/AIDS pharmaceuticals and other products, subject to the terms and conditions in such section: Provided, That the authority in section 525(b)(5) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) shall be exercised by the Assistant Administrator for Global Health, USAID, with respect to funds deposited for such non-HIV/AIDS pharmaceuticals and other products, and shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the Secretary of State shall include in the congressional budget justification an accounting of budgetary resources, disbursements, balances, and reimbursements related to such fund.

(k) **SOVEREIGN LENDING AND ENTERPRISE FUNDS.**—

(1) **LOANS AND LOAN GUARANTEES.**—

(A) Funds appropriated under the heading "Economic Support and Development Fund", "Assistance for Europe, Eurasia, and Central Asia", or "Economic Support Fund" in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available for the costs of direct loans and loan guarantees, which are authorized to be provided: Provided, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$3,000,000,000.

(B) Funds appropriated under the heading "Foreign Military Financing" in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available, notwithstanding the third proviso under such heading, for the costs of direct loans under section 23 of the Arms Export Control Act and loan guarantees under section 24 of the Arms Export Control Act, which are authorized to be provided: Provided, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$8,000,000,000: Provided further, That no loan guarantee with respect to any one borrower may exceed 80 percent of the loan principal: Provided further, That any loan guaranteed under this sub-paragraph may not be subordinated to another debt contracted by the borrower or to any other claims against the borrower in the case of default: Provided further, That repayment in United States dollars of any loan guaranteed under this sub-paragraph shall be required within a period not to exceed twelve years after the loan agreement is signed: Provided further, That direct loans under this sub-paragraph shall be repaid in not more than 12 years, including a grace period of up to 1 year on repayment of principal: Provided further, That, notwithstanding section 23(c)(1) of the Arms Export Control Act, interest for such loans may be charged at a rate determined by the Secretary of State, except that such rate

may not be less than the prevailing interest rate on marketable Treasury securities of similar maturity.

(C) For purposes of loans and loan guarantees under this paragraph, costs, including the cost of modifying such loans and loan guarantees, shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country: Provided, That the Government of the United States may charge fees for such loans and loan guarantees as may be determined, notwithstanding section 24 of the Arms Export Control Act, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts made available under this paragraph for such costs shall not be considered assistance for the purposes of provisions of law limiting assistance to a country: Provided further, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

(2) **ENTERPRISE FUNDS.**—Funds appropriated under the heading "Economic Support and Development Fund" or "Economic Support Fund" in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available to establish and operate one or more enterprise funds: Provided, That the first proviso under section 7041(b) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall apply to funds appropriated by this Act under the heading "Economic Support and Development Fund" for an enterprise fund or funds to the same extent and in the same manner as such provision of law applied to funds made available under such section (except that the clause excluding subsection (d)(3) of section 201 of the SEED Act shall not apply): Provided further, That in addition to the previous proviso, the authorities in the matter preceding the first proviso of such section may apply to any such enterprise fund or funds: Provided further, That the authority of any such enterprise fund or funds to provide assistance shall cease to be effective on December 31, 2030: Provided further, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

(l) **DEFINITIONS.**—

(1) Unless otherwise defined in this Act, for purposes of this Act the term "appropriate congressional committees" shall mean the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives.

(2) Unless otherwise defined in this Act, for purposes of this Act the term "funds appropriated in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs" shall mean funds that remain available for obligation, and have not expired.

(3) For the purposes of this Act "international financial institutions" shall mean the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the Asian Development Bank, the Asian Development Fund, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank, the African Development Fund, and the Multilateral Investment Guarantee Agency.

(4) Any reference to Southern Kordofan in this or any other Act shall be deemed to include portions of Western Kordofan that were previously part of Southern Kordofan prior to the 2013 division of Southern Kordofan.

(m) Section 2334(e)(1)(A) of title 18, United States Code, is amended: (1) by striking "after the date that is 120 days after the date of enactment of this subsection, accepts" and inserting "after January 31, 2019, enters into a new contract, grant, or other agreement with the United States government that obligates" and (2) by inserting "or" in clause (i) at the end before the semicolon, striking clause (ii), and redesignating clause (iii) as clause (ii).

## ARAB LEAGUE BOYCOTT OF ISRAEL

SEC. 7025. It is the sense of the Congress that—

(1) the Arab League boycott of Israel, and the secondary boycott of American firms that have commercial ties with Israel, is an impediment to peace in the region and to United States investment and trade in the Middle East and North Africa;

(2) the Arab League boycott, which was regrettably reinstated in 1997, should be immediately and publicly terminated, and the Central Office for the Boycott of Israel immediately disbanded;

(3) all Arab League states should normalize relations with their neighbor Israel;

(4) the President and the Secretary of State should continue to vigorously oppose the Arab League boycott of Israel and find concrete steps to demonstrate that opposition by, for example, taking into consideration the participation of any recipient country in the boycott when determining to sell weapons to said country; and

(5) the President should report to Congress annually on specific steps being taken by the United States to encourage Arab League states to normalize their relations with Israel to bring about the termination of the Arab League boycott of Israel, including those to encourage allies and trading partners of the United States to enact laws prohibiting businesses from complying with the boycott and penalizing businesses that do comply.

## PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION

SEC. 7026. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

## LIMITATION ON ASSISTANCE FOR THE PALESTINIAN AUTHORITY

SEC. 7027. (a) **PROHIBITION OF FUNDS.**—None of the funds appropriated by this Act to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961 may be obligated or expended with respect to providing funds to the Palestinian Authority.

(b) **WAIVER.**—The prohibition included in subsection (a) shall not apply if the President certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that waiving such prohibition is important to the national security interest of the United States.

(c) **PERIOD OF APPLICATION OF WAIVER.**—Any waiver pursuant to subsection (b) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(d) **REPORT.**—Whenever the waiver authority pursuant to subsection (b) is exercised, the President shall submit a report to the Committees on Appropriations detailing the justification for the waiver, the purposes for which the funds will be spent, and the accounting procedures in place to ensure that the funds are properly disbursed: Provided, That the report shall also detail the steps the Palestinian Authority has taken to arrest terrorists, confiscate weapons and dismantle the terrorist infrastructure.

(e) **CERTIFICATION.**—If the President exercises the waiver authority under subsection (b), the Secretary of State must certify and report to the Committees on Appropriations prior to the obligation of funds that the Palestinian Authority has established a single treasury account for all Palestinian Authority financing and all financing mechanisms flow through this account, no parallel financing mechanisms exist outside of the Palestinian Authority treasury account, and there is a single comprehensive civil service roster and payroll, and the Palestinian Authority is acting to counter incitement of violence against Israelis and is supporting activities aimed at promoting peace, coexistence, and security cooperation with Israel.

(f) **PROHIBITION TO HAMAS AND THE PALESTINE LIBERATION ORGANIZATION.**—

(1) None of the funds appropriated in titles III through VI of this Act may be obligated for salaries of personnel of the Palestinian Authority located in Gaza or may be obligated or expended for assistance to Hamas or any entity effectively controlled by Hamas, any power-sharing government of which Hamas is a member, or that results from an agreement with Hamas and over which Hamas exercises undue influence.

(2) Notwithstanding the limitation of paragraph (1), assistance may be provided to a power-sharing government only if the President certifies and reports to the Committees on Appropriations that such government, including all of its ministers or such equivalent, has publicly accepted and is complying with the principles contained in section 620K(b)(1) (A) and (B) of the Foreign Assistance Act of 1961, as amended.

(3) The President may exercise the authority in section 620K(e) of the Foreign Assistance Act of 1961, as added by the Palestinian Anti-Terrorism Act of 2006 (Public Law 109-446) with respect to this subsection.

(4) Whenever the certification pursuant to paragraph (2) is exercised, the Secretary of State shall submit a report to the Committees on Appropriations within 120 days of the certification and every quarter thereafter on whether such government, including all of its ministers or such equivalent are continuing to comply with the principles contained in section 620K(b)(1)(A) and (B) of the Foreign Assistance Act of 1961, as amended: Provided, That the report shall also detail the amount, purposes and delivery mechanisms for any assistance provided pursuant to the abovementioned certification and a full accounting of any direct support of such government.

(5) None of the funds appropriated under titles III through VI of this Act may be obligated for assistance for the Palestine Liberation Organization.

## MIDDLE EAST AND NORTH AFRICA

SEC. 7028. (a) **EGYPT.**—

(1) **CERTIFICATION AND REPORT.**—Funds appropriated by this Act that are available for assistance for Egypt may be made available notwithstanding any other provision of law restricting assistance for Egypt, except for this subsection and section 620M of the Foreign Assistance Act of 1961, and may only be made available for assistance for the Government of Egypt if the Secretary of State certifies and reports to the Committees on Appropriations that such government is—

- (A) sustaining the strategic relationship with the United States; and
- (B) meeting its obligations under the 1979 Egypt-Israel Peace Treaty.

(2) **FOREIGN MILITARY FINANCING PROGRAM.**—

(A) Of the funds appropriated by this Act under the heading "Foreign Military Financing Program", \$1,300,000,000, to remain available until September 30, 2021, may be made available for assistance for Egypt: Provided, That such funds may be transferred to an interest bearing account in the Federal Reserve Bank of New York, following consultation with the Committees on Appropriations.

(b) **IRAQ.**—

(1) Funds appropriated by this Act may be made available for assistance for Iraq notwithstanding any other provision of law.

(c) **LEBANON.**—Funds appropriated by this Act that are available for assistance for Lebanon may be made available notwithstanding any other provision of law.

(d) **SYRIA.**—

(1) **NON-LETHAL ASSISTANCE.**—Funds appropriated by this Act under titles III and IV may be made available, notwithstanding any other provision of law except for this subsection, for non-lethal assistance for programs to address the needs of civilians affected by conflict in Syria, and for programs that seek to—

- (A) establish governance in Syria that is representative, inclusive, and accountable;
- (B) expand the role of women in negotiations to end the violence and in any political transition in Syria;
- (C) develop and implement political processes that are democratic, transparent, and adhere to the rule of law;
- (D) further the legitimacy of the Syrian opposition through cross-border programs;
- (E) develop civil society and an independent media in Syria;
- (F) promote economic development and security in Syria;
- (G) document, investigate, and prosecute human rights violations in Syria, including through transitional justice programs and support for nongovernmental organizations;
- (H) counter extremist ideologies;
- (I) assist Syrian refugees whose education has been interrupted by the ongoing conflict to complete higher education requirements at regional academic institutions; and
- (J) assist vulnerable populations in Syria and in neighboring countries.

(2) The authority of sections 552(c) and 610 of the Foreign Assistance Act of 1961 may be exercised by the President to provide assistance for Syria, notwithstanding any other provision of law and without regard to the percentage and dollar limitations in such sections.

(e) **WEST BANK AND GAZA.**—

(1) The President may waive the provisions of section 1003(1) and (2) of Public Law 100-204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that it is important to the national security interests of the United States or the conduct of diplomacy.

(2) **PERIOD OF APPLICATION OF THE WAIVER.**—Any waiver pursuant to paragraph (1) shall be effective for no more than a period of 6 months at a time.

(3) Upon written certification to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations, the President may waive the provisions of section 1003(3) of Public Law 100-204.

## EAST ASIA AND THE PACIFIC

## SEC. 7029. (a) BURMA.—

## (1) BILATERAL ECONOMIC ASSISTANCE.—

(A) Funds appropriated by this Act and prior acts making appropriations for the Department of State, foreign operations, and related programs for assistance for Burma may be made available notwithstanding any other provision of law and may be made available for programs for ethnic groups and civil society in Burma to help sustain ceasefire agreements and further prospects for reconciliation and peace.

(b) NORTH KOREA.—Funds appropriated under the heading "Economic Support and Development Fund" may be made available for programs to support initiatives relating to North Korea that are in the national interest of the United States, notwithstanding any other provision of law.

(c) PEOPLE'S REPUBLIC OF CHINA.—Notwithstanding any other provision of law, funds appropriated by this Act may be made available for activities with the People's Republic of China designed to leverage assistance programs and improve aid effectiveness.

## (d) TIBET.—

PROGRAMS FOR TIBETAN COMMUNITIES.—Notwithstanding any other provision of law, funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available to nongovernmental organizations to support activities which preserve cultural traditions and promote sustainable development, education, and environmental conservation in Tibetan communities in the Tibetan Autonomous Region and in other Tibetan communities in China.

(e) VIETNAM.—DIOXIN REMEDIATION.—Funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available for remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes.

(f) Funds appropriated in this Act under the headings "Economic Support and Development Fund" and "Nonproliferation, Anti-terrorism, Demining and Related Programs" may be made available for Asian regional programs that include countries or governments otherwise ineligible for United States assistance, notwithstanding any other provision of law.

## SOUTH AND CENTRAL ASIA

## SEC. 7030. (a) AFGHANISTAN.—

## (1) (I) AUTHORITIES.—

(A) Funds appropriated by this Act under titles III through VI that are made available for assistance for Afghanistan may be made available—

(i) notwithstanding any other provision of law;

(ii) for reconciliation programs and disarmament, demobilization, and reintegration activities for former combatants who have renounced violence against the Government of Afghanistan;

(iii) for an endowment to empower women and girls; and

(iv) as a United States contribution to the Afghanistan Reconstruction Trust Fund, and to an internationally managed fund to support the reconciliation with and disarmament, demobilization, and reintegration into Afghan society of former combatants who have renounced violence against the Government of Afghanistan.

(B) Funds appropriated or otherwise made available for this and prior Acts for assistance for Afghanistan may be made available as a United States contribution to other multi-donor trust funds: Provided, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

(C) Section 1102(c) of the Supplemental Appropriations Act, 2009 (title XI of Public Law 111–32) shall continue in effect during fiscal year 2020 as if part of this Act.

## (b) PAKISTAN.—

## (1) ASSISTANCE.—

(A) Funds appropriated by this Act under titles III and IV for assistance for Pakistan may be made available notwithstanding any other provision of law.

## (c) REGIONAL PROGRAMS.—

(1) Funds appropriated by this Act under the heading "Economic Support and Development Fund" for assistance for Afghanistan and Pakistan may be provided, notwithstanding any other provision of law that restricts assistance to foreign countries, for cross border stabilization and development programs between

Afghanistan and Pakistan, or between either country and the Central Asian countries.

## WESTERN HEMISPHERE

## SEC. 7031. (a) COLOMBIA.—

ASSISTANCE.—Funds appropriated by this Act and made available to the Department of State for assistance for the Government of Colombia may be used to support a unified campaign against narcotics trafficking, organizations designated as Foreign Terrorist Organizations, and other criminal or illegal armed groups, and to take actions to protect human health and welfare in emergency circumstances, including undertaking rescue operations: Provided, That the first, second, and third provisos of paragraph (1) of section 7045(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall continue in effect during fiscal year 2020 and shall apply to funds appropriated by this Act and made available for assistance for Colombia as if included in this Act.

## (b) HAITI.—

HAITIAN COAST GUARD.—The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.) for the Coast Guard.

(c) Venezuela Transition.—Funds appropriated in titles III and IV of this Act may be made available, notwithstanding any other provision of law, for assistance to support a democratic transition in Venezuela and respond to needs in the region related to such transition or the crisis in Venezuela: Provided, That up to \$500,000,000 from funds made available for such purposes under headings in titles III and IV of this Act may be transferred to, and merged with, funds appropriated under such headings within the same title if the Secretary certifies to the Committees on Appropriations that such funds are necessary to support a democratic transition in Venezuela or to respond to the crisis in Venezuela: Provided further, That such funds shall be subject to the regular notification procedures of the Committees on Appropriations.

## WAR CRIMES TRIBUNALS

SEC. 7032. If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961 of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: Provided, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c).

## UNITED NATIONS

## SEC. 7033. (a) TRANSPARENCY AND ACCOUNTABILITY.—

(1) Of the funds appropriated under title I of this Act that are available for contributions to the United Nations (including the Department of Peacekeeping Operations), any United Nations agency, or the Organization of American States, 15 percent may not be obligated for such organization, department, or agency until the Secretary of State briefs the Committees on Appropriations that the organization, department, or agency is—

(A) posting on a publicly available Web site, consistent with privacy regulations and due process, regular financial and programmatic audits of such organization, department, or agency, and providing the United States Government with necessary access to such financial and performance audits; and

(B) effectively implementing and enforcing policies and procedures which reflect best practices for the protection of whistleblowers from retaliation, including best practices for—

(i) protection against retaliation for internal and lawful public disclosures;

(ii) legal burdens of proof;

(iii) statutes of limitation for reporting retaliation;

(iv) access to independent adjudicative bodies, including external arbitration; and

(v) results that eliminate the effects of proven retaliation.

(2) The restrictions imposed by or pursuant to paragraph (1) may be waived on a case-by-case basis if the Secretary of State determines and briefs the Committees on Appropriations that such waiver is in the national interests of the United States.

## (b) RESTRICTIONS ON UNITED NATIONS DELEGATIONS AND ORGANIZATIONS.—

(1) None of the funds made available under title I of this Act may be used to pay expenses for any United States delegation to any specialized agency, body, or commission of the United Nations if such agency, body, or commission is chaired or presided over by a country, the government of which the Secretary of State has determined, for purposes of section 6(j)(1) of the Export Administration Act of

1979 as continued in effect pursuant to the International Emergency Economic Powers Act (50 U.S.C. App. 2405(j)(1)), supports international terrorism.

(2) None of the funds made available under title I of this Act may be used by the Secretary of State as a contribution to any organization, agency, commission, or program within the United Nations system if such organization, agency, commission, or program is chaired or presided over by a country the government of which the Secretary of State has determined, for purposes of section 620A of the Foreign Assistance Act of 1961, section 40 of the Arms Export Control Act, section 6(j)(1) of the Export Administration Act of 1979, or any other provision of law, is a government that has repeatedly provided support for acts of international terrorism.

(3) The Secretary of State may waive the restriction in this subsection if the Secretary briefs the Committees on Appropriations that to do so is in the national interest of the United States.

(c) **UNITED NATIONS HUMAN RIGHTS COUNCIL.**—None of the funds appropriated by this Act may be made available in support of the United Nations Human Rights Council unless the Secretary of State determines and briefs the Committees on Appropriations that participation in the Council is important to the national interest of the United States and that the Council is taking steps to remove Israel as a permanent agenda item: Provided, That such brief shall include a description of the national interest served and the steps taken to remove Israel as a permanent agenda item.

(d) **UNITED NATIONS RELIEF AND WORKS AGENCY.**—Not later than 45 days after enactment of this Act, the Secretary of State shall brief the Committees on Appropriations on whether the United Nations Relief and Works Agency (UNRWA) is—

(1) utilizing Operations Support Officers in the West Bank, Gaza, and other fields of operation to inspect UNRWA installations and reporting any inappropriate use;

(2) acting promptly to address any staff or beneficiary violation of its own policies (including the policies on neutrality and impartiality of employees) and the legal requirements under section 301(c) of the Foreign Assistance Act of 1961;

(3) implementing procedures to maintain the neutrality of its facilities, including implementing a no-weapons policy, and conducting regular inspections of its installations, to ensure they are only used for humanitarian or other appropriate purposes;

(4) taking necessary and appropriate measures to ensure it is operating in compliance with the conditions of section 301(c) of the Foreign Assistance Act of 1961 and continuing regular reporting to the Department of State on actions it has taken to ensure conformance with such conditions;

(5) taking steps to ensure the content of all educational materials currently taught in UNRWA-administered schools and summer camps is consistent with the values of human rights, dignity, and tolerance and does not induce incitement;

(6) not engaging in operations with financial institutions or related entities in violation of relevant United States law, and is taking steps to improve the financial transparency of the organization; and

(7) in compliance with the United Nations Board of Auditors' biennial audit requirements and is implementing in a timely fashion the Board's recommendations.

(e) **UNITED NATIONS CAPITAL MASTER PLAN.**—None of the funds made available in this Act may be used for the design, renovation, or construction of the United Nations Headquarters in New York.

(f) **WITHHOLDING REPORT.**—Not later than 45 days after enactment of this Act, the Secretary of State should report to the Committees on Appropriations detailing the amount of funds available for obligation or expenditure in fiscal year 2020 for contributions to any organization, department, agency, or program within the United Nations system or any international program that are withheld from obligation or expenditure due to any provision of law: Provided, That the Secretary of State should update such report each time additional funds are withheld by operation of any provision of law: Provided further, That the reprogramming of any withheld funds identified in such report, including updates thereof, should be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.

#### COMMUNITY-BASED POLICE ASSISTANCE

SEC. 7034. Funds made available by titles III and IV of this Act to carry out the provisions of chapter 1 of part I and chapters 4 and 6 of part II of the Foreign Assistance Act of 1961, may be used, notwithstanding any other provision of law, to enhance the effectiveness and accountability of civilian police authority through training and technical assistance in human rights, the rule of law, anti-corruption, strategic planning, and through assistance to foster civilian police roles that support democratic governance, including assistance for programs to prevent conflict, respond to disasters, address gender-based violence, and foster improved police relations with the communities they serve.

#### AIRCRAFT TRANSFER AND COORDINATION

SEC. 7035. (a) **TRANSFER AUTHORITY.**—Notwithstanding any other provision of law or regulation, aircraft procured with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Diplomatic Programs", "Diplomatic and Consular Programs", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative", and "Andean Counterdrug Programs" may be used for any other program and in any region.

(b) **AIRCRAFT COORDINATION.**—

(1) The uses of aircraft purchased or leased by the Department of State and the United States Agency for International Development (USAID) with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs should be coordinated under the authority of the appropriate Chief of Mission: Provided, That such aircraft may be used to transport, on a reimbursable or non-reimbursable basis, Federal and non-Federal personnel supporting Department of State and USAID programs and activities: Provided further, That official travel for other agencies for other purposes may be supported on a reimbursable basis, or without reimbursement when traveling on a space available basis: Provided further, That funds received by the Department of State in connection with the use of aircraft owned, leased, or chartered by the Department of State may be credited to the Working Capital Fund of the Department and shall be available for expenses related to the purchase, lease, maintenance, chartering, or operation of such aircraft.

(2) The requirement and authorities of this subsection shall only apply to aircraft, the primary purpose of which is the transportation of personnel.

#### LANDMINES

SEC. 7036. Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in support of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the Secretary of State may prescribe.

#### UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT MANAGEMENT

SEC. 7037. (a) **AUTHORITY.**—Up to \$93,000,000 of the funds made available in title III of this Act pursuant to or to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used by the United States Agency for International Development (USAID) to hire and employ individuals in the United States and overseas on a limited appointment basis pursuant to the authority of sections 308 and 309 of the Foreign Service Act of 1980.

(b) **RESTRICTIONS.**—

(1) The number of individuals hired in any fiscal year pursuant to the authority contained in subsection (a) may not exceed 175.

(2) The authority to hire individuals contained in subsection (a) shall expire on September 30, 2021.

(c) **CONDITIONS.**—The authority of subsection (a) should only be used to the extent that an equivalent number of positions that are filled by personal services contractors or other non-direct hire employees of USAID, who are compensated with funds appropriated to carry out part I of the Foreign Assistance Act of 1961, are eliminated.

(d) **PROGRAM ACCOUNT CHARGED.**—The account charged for the cost of an individual hired and employed under the authority of this section shall be the account to which the responsibilities of such individual primarily relate: Provided, That funds made available to carry out this section may be transferred to, and merged with, funds appropriated by this Act in title II under the heading "Operating Expenses".

(e) **FOREIGN SERVICE LIMITED EXTENSIONS.**—Individuals hired and employed by USAID, with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, pursuant to the authority of section 309 of the Foreign Service Act of 1980, may be extended for a period of up to 4 years notwithstanding the limitation set forth in such section.

(f) **DISASTER SURGE CAPACITY.**—Funds appropriated under title III of this Act to carry out part I of the Foreign Assistance Act of 1961 may be used, in addition to funds otherwise available for such purposes, for the cost (including the support costs) of individuals detailed to or employed by USAID whose primary responsibility is to carry out programs in response to natural or man-made disasters.

(g) **PERSONAL SERVICES CONTRACTORS.**—Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Food for Peace Act (Public Law 83-480), may be used by USAID to employ up to 40 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities managed by the agency until permanent direct hire personnel are hired and trained: Provided, That such funds appropriated to carry out title II of the Food

for Peace Act (Public Law 83–480), may be made available only for personal services contractors assigned to the Office of Food for Peace.

(h) **SMALL BUSINESS.**—In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, USAID may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.

(i) **SENIOR FOREIGN SERVICE LIMITED APPOINTMENTS.**—Individuals hired pursuant to the authority provided by section 7059(o) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2011 (division F of Public Law 111–117) may be assigned to or support programs in Afghanistan or Pakistan with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.

(j) **Adaptive Personnel Project.**—Up to \$86,000,000 of the funds appropriated by this Act under Title III may be made available for USAID's Adaptive Personnel Project (APP): Provided, That such sums shall be available in addition to funds otherwise available for such purposes: Provided further, That the account charged to pay for individuals hired and employed under the APP pursuant to the authority of this subsection shall be the account to which the responsibilities of such individuals primarily relate: Provided further, That such funds may be transferred to, and merged with, funds appropriated under the heading "Operating Expenses" and may remain attributed to any minimum funding requirement for which they were originally made available.

#### GLOBAL HEALTH ACTIVITIES

SEC. 7038. (a) **IN GENERAL.**—Funds appropriated by titles III and IV of this Act that are made available for bilateral assistance for child survival activities or disease programs including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law except for provisions under the heading "Global Health Programs" and the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (117 Stat. 711; 22 U.S.C. 7601 et seq.), as amended.

(b) **CONTAGIOUS INFECTIOUS DISEASE OUTBREAKS.**—If the Secretary of State determines and reports to the Committees on Appropriations that an international infectious disease outbreak is sustained, severe, and is spreading internationally, or that it is in the national interest to respond to a Public Health Emergency of International Concern, funds made available under title III of this Act may be made available to combat such infectious disease or public health emergency, and may be transferred to, and merged with, funds appropriated under such title for the purposes of this paragraph.

(c) **EMERGENCY RESERVE FUND.**—Funds appropriated by this Act under the heading "Global Health Programs" may be made available for an Emergency Reserve Fund to address emerging health threats, and may remain available until expended: Provided, That such funds shall be in addition to funds otherwise available for such purposes, and may be transferred to, and merged with, funds appropriated by this Act under the heading "International Humanitarian Assistance" for the purposes of this paragraph: Provided further, That such funds may only be made available from the fund if the Secretary of State determines and reports to the Committees on Appropriations that it is in the national interest to respond to an emerging health threat that poses severe threats to human health.

#### SECTOR ALLOCATIONS

SEC. 7039. (a) **BASIC EDUCATION AND HIGHER EDUCATION.**—

(1) **BASIC EDUCATION.**—

(A) Funds appropriated under title III of this Act may be made available for assistance for basic education notwithstanding any other provision of law: Provided, That if the USAID Administrator determines that any unobligated balances of funds specifically designated for assistance for basic education in prior Acts making appropriations for the Department of State, foreign operations, and related programs are in excess of the absorptive capacity of recipient countries, such funds may be made available for other programs authorized under chapter 1 of part I of the Foreign Assistance Act of 1961, notwithstanding such funding designation.

(2) **HIGHER EDUCATION.**—Funds appropriated by title III of this Act may be made available for assistance for higher education notwithstanding any other provision of law.

(b) **ENVIRONMENT PROGRAMS AUTHORITY.**—Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 may be used, notwithstanding any other provision of law and subject to the regular notification procedures of the Committees on Appropriations, to support environment programs.

(c) **FOOD SECURITY AND AGRICULTURAL DEVELOPMENT.**—Funds appropriated by this Act may be made available for food security and agricultural development programs notwithstanding any other provision of law, and for a United States contribution to the endowment of the Global Crop Diversity Trust.

REPORTING REQUIREMENTS CONCERNING INDIVIDUALS DETAINED AT NAVAL STATION, GUANTANAMO BAY, CUBA

SEC. 7040. Not later than 5 days after the conclusion of an agreement with a country, including a state with a compact of free association with the United States, to receive by transfer or release individuals detained at United States Naval Station, Guantanamo Bay, Cuba, the Secretary of State shall notify the Committees on Appropriations in writing of the terms of the agreement, including whether funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs will be made available for assistance for such country pursuant to such agreement.

#### PROHIBITION ON USE OF TORTURE

SEC. 7041. (a) **LIMITATION.**—None of the funds made available in this Act may be used to support or justify the use of torture, cruel, or inhumane treatment by any official or contract employee of the United States Government.

(b) **ASSISTANCE TO ELIMINATE TORTURE.**—Funds appropriated under titles III and IV of this Act may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate torture by foreign police, military or other security forces in countries receiving assistance from funds appropriated by this Act.

#### COMMERCIAL LEASING OF DEFENSE ARTICLES

SEC. 7042. Notwithstanding any other provision of law, the authority of section 23(a) of the Arms Export Control Act may be used to provide financing to Israel, Egypt, and the North Atlantic Treaty Organization (NATO), and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.

#### INDEPENDENT STATES OF THE FORMER SOVIET UNION

SEC. 7043. (a) **SECTION 907 OF THE FREEDOM SUPPORT ACT.**—Section 907 of the FREEDOM Support Act shall not apply to—(1) activities to support democracy or assistance under title V of the FREEDOM Support Act and section 1424 of the Defense Against Weapons of Mass Destruction Act of 1996 (50 U.S.C. 2333) or non-proliferation assistance; (2) any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity; (3) any insurance, reinsurance, guarantee, or other assistance provided by the Development Finance Institution; (4) any financing provided under the Export-Import Bank Act of 1945; or (5) humanitarian assistance.

(b) Funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available, notwithstanding any other provision of law, for assistance and related programs for the countries identified in section 3(c) of the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101–179) and section 3 of the FREEDOM Support Act (Public Law 102–511) and may be used to carry out the provisions of those Acts: Provided, That such assistance and related programs from funds appropriated by this Act under the headings "Global Health Programs", "Economic Support and Development Fund", and "International Narcotics Control and Law Enforcement" shall be administered in accordance with the responsibilities of the coordinator designated pursuant to section 601 of the SEED Act of 1989 and section 102 of the FREEDOM Support Act: Provided further, That funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available, notwithstanding any other provision of law, for contributions to multilateral initiatives to counter hybrid threats.

#### SPECIAL DEFENSE ACQUISITION FUND

SEC. 7044. Not to exceed \$900,000,000 may be obligated pursuant to section 51(c)(2) of the Arms Export Control Act for the purposes of the Special Defense Acquisition Fund (Fund), to remain available for obligation until September 30, 2021: Provided, That the provision of defense articles and defense services to foreign countries or international organizations from the Fund shall be subject to the concurrence of the Secretary of State.

#### COUNTERING FOREIGN FIGHTERS AND VIOLENT EXTREMIST ORGANIZATIONS

SEC. 7045. Funds appropriated under titles III and IV of this Act may be made available for programs to counter violent extremism notwithstanding any other provision of law.

#### REQUESTS FOR DOCUMENTS

SEC. 7046. **REQUESTS FOR DOCUMENTS.**—None of the funds appropriated or made available pursuant to titles III through VI of this Act shall be available to a nongovernmental organization, including any contractor, which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the Department of State and the United States Agency for International Development.

## DISABILITY PROGRAMS

SEC. 7047. (a) **ASSISTANCE.**—Funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available for programs and activities administered by the United States Agency for International Development (USAID) to address the needs and protect and promote the rights of people with disabilities in developing countries.

(b) **MANAGEMENT, OVERSIGHT, AND TECHNICAL SUPPORT.**—Funds made available pursuant to this section may be used for USAID for management, oversight, and technical support.

## IMPACT ON JOBS IN THE UNITED STATES

SEC. 7048. None of the funds appropriated or otherwise made available under titles III through VI of this Act may be obligated or expended to provide—

(1) any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States production is being replaced by such enterprise outside the United States;

(2) assistance for any program, project, or activity that contributes to the violation of internationally recognized workers' rights, as defined in section 507(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: Provided, That the application of section 507(4)(D) and (E) of such Act should be commensurate with the level of development of the recipient country and sector, and shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture;

(3) any assistance to an entity outside the United States if such assistance is for the purpose of directly relocating or transferring jobs from the United States to other countries and adversely impacts the labor force in the United States; or

(4) for the enforcement of any rule, regulation, policy, or guidelines implemented pursuant to—

(A) the Supplemental Guidelines for High Carbon Intensity Projects approved by the Export-Import Bank of the United States on December 12, 2013, when enforcement of such rule, regulation, policy, or guidelines would prohibit, or have the effect of prohibiting, any coal-fired or other power-generation project the purpose of which is to: (i) provide affordable electricity in International Development Association (IDA)-eligible countries and IDA-blend countries; and (ii) increase exports of goods and services from the United States or prevent the loss of jobs from the United States.

## CONSULAR AND BORDER SECURITY PROGRAMS

SEC. 7049. (a) **BORDER CROSSING CARD FEE FOR MINORS.**—

Section 410(a)(1)(A) of the Department of State and Related Agencies Appropriations Act, 1999 (Public Law 105–277) is amended by striking "a fee of \$13" and inserting "a fee equal to one half the fee that would otherwise apply for processing a machine readable combined border crossing identification card and non-immigrant visa".

(b) **PASSPORT AND IMMIGRANT VISA SECURITY SURCHARGES.**

(1) The fourth paragraph under the heading "Diplomatic and Consular Programs" in title IV of division B of Public Law 108–447 (8 U.S.C. 1714) is amended by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security";

(2) Section 6 of Public Law 109–472 (8 U.S.C. 1714 note) is amended by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security" each place it appears.

(c) **TRANSFER OF BALANCES.** Section 7081(h) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 (division J of Public Law 115–31) shall continue in effect in fiscal year 2020.

## FRAUD PREVENTION AND DETECTION FEES

SEC. 7050. In addition to the uses permitted pursuant to section 286(v)(2)(A) of the Immigration and Nationality Act (8 U.S.C. 1356(v)(2)(A)), the Secretary of State may also use fees deposited into the Fraud Prevention and Detection Account for programs and activities within the United States and at U.S. embassies and consulates abroad for the prevention and detection of visa fraud, to include increasing the number of personnel assigned exclusively or primarily to the function of preventing and detecting visa fraud.

## AUTHORITY TO ISSUE ADMINISTRATIVE SUBPOENAS

SEC. 7051. Section 3486 of Title 18, United States Code, is amended—

(a) in subsection (a)(1)(A)—

(1) in clause (ii), by striking "or"; and

(2) by adding new clauses (iv) and (v) immediately prior to "may issue in writing and cause to be served a subpoena", as follows:

"(iv) an offense under section 878, or a threat against a person, foreign mission or organization authorized to receive protection by special agents of the Department of State and the Foreign Service under paragraph (3) of section 2709 of title 22, if the Assistant Secretary for Diplomatic Security or the Director of the Diplomatic Security Service determines that the threat constituting the offense or threat against the person or place protected is imminent, the Secretary of State; or

"(v) an offense under chapter 75, Passports and Visas, the Secretary of State,"; (b) in subsection (a)(9), by striking "(1)(A)(i)(II) or (1)(A)(iii)" and inserting "(1)(A)(i)(II), (1)(A)(iii), (1)(A)(iv), or (1)(A)(v)";

(c) in subsection (a)(10), by inserting before the period, ", and as soon as practicable following issuance of a subpoena under paragraph (1)(A)(iv) the Secretary of State shall notify the Attorney General of its issuance"; and

(d) in subsection (e)(1) by replacing the existing language with the following:

"(1) Health information about an individual that is disclosed under this section may not be used in, or disclosed to any person for use in, any administrative, civil, or criminal action or investigation directed against the individual who is the subject of the information unless the action or investigation arises out of and is directly related to receipt of health care or payment for health care or action involving a fraudulent claim related to health; directly relates to the purpose for which the subpoena was authorized under paragraph (a)(1); or is authorized by an appropriate order of a court of competent jurisdiction, granted after application showing good cause therefor."

## CONSULAR NOTIFICATION COMPLIANCE

SEC. 7052. (a) **PETITION FOR REVIEW.**

(1) **JURISDICTION.** Notwithstanding any other provision of law, a Federal court shall have jurisdiction to review the merits of a petition claiming violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, filed by an individual convicted and sentenced to death by any Federal or State court before the date of enactment of this Act.

(2) **STANDARD.** To obtain relief, an individual described in paragraph (1) must make a showing of actual prejudice to the criminal conviction or sentence as a result of the violation. The court may conduct an evidentiary hearing if necessary to supplement the record and, upon a finding of actual prejudice, shall order a new trial or sentencing proceeding.

(3) **LIMITATIONS.**

(A) **INITIAL SHOWING.** To qualify for review under this subsection, a petition must make an initial showing that—

(i) a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, occurred with respect to the individual described in paragraph (1); and

(ii) if such violation had not occurred, the consulate would have provided assistance to the individual.

(B) **EFFECT OF PRIOR ADJUDICATION.** A petition for review under this subsection shall not be granted if the claimed violation described in paragraph (1) has previously been adjudicated on the merits by a Federal or State court of competent jurisdiction in a proceeding in which no Federal or State procedural bars were raised with respect to such violation and in which the court provided review equivalent to the review provided in this subsection, unless the adjudication of the claim resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the prior Federal or State court proceeding.

(C) **FILING DEADLINE.** A petition for review under this subsection shall be filed within 1 year of the later of—

(i) the date of enactment of this Act;

(ii) the date on which the Federal or State court judgment against the individual described in paragraph (1) became final by the conclusion of direct review or the expiration of the time for seeking such review; or

(iii) the date on which the impediment to filing a petition created by Federal or State action in violation of the Constitution or laws of the United States is removed, if the individual described in paragraph (1) was prevented from filing by such Federal or State action.

(D) **TOLLING.** The time during which a properly filed application for State post-conviction or other collateral review with respect to the pertinent judgment or claim is pending shall not be counted toward the 1-year period of limitation.

(E) **TIME LIMIT FOR REVIEW.** A Federal court shall give priority to a petition for review filed under this subsection over all noncapital matters. With respect to a petition for review filed under this subsection and claiming only a violation described in paragraph (1), a Federal court shall render a final determination

and enter a final judgment not later than one year after the date on which the petition is filed.

(4) **HABEAS PETITION.** A petition for review under this subsection shall be part of the first Federal habeas corpus application or motion for Federal collateral relief under chapter 153 of title 28, United States Code, filed by an individual, except that if an individual filed a Federal habeas corpus application or motion for Federal collateral relief before the date of enactment of this Act or if such application is required to be filed before the date that is 1 year after the date of enactment of this Act, such petition for review under this subsection shall be filed not later than 1 year after the enactment date or within the period prescribed by paragraph (3)(C)(iii), whichever is later. No petition filed in conformity with the requirements of the preceding sentence shall be considered a second or successive habeas corpus application or subjected to any bars to relief based on preenactment proceedings other than as specified in paragraph (2).

(5) **REFERRAL TO MAGISTRATE.** A Federal court acting under this subsection may refer the petition for review to a Federal magistrate for proposed findings and recommendations pursuant to 28 U.S.C. 636(b)(1)(B).

(6) **APEAL.**

(A) **IN GENERAL.** A final order on a petition for review under paragraph (1) shall be subject to review on appeal by the court of appeals for the circuit in which the proceeding is held.

(B) **APEAL BY PETITIONER.** An individual described in paragraph (1) may appeal a final order on a petition for review under paragraph (1) only if a district or circuit judge issues a certificate of appealability. A district or circuit court judge shall issue or deny a certificate of appealability not later than 30 days after an application for a certificate of appealability is filed. A district judge or circuit judge may issue a certificate of appealability under this subparagraph if the individual has made a substantial showing of actual prejudice to the criminal conviction or sentence of the individual as a result of a violation described in paragraph (1).

(b) **VIOLATION.**

(1) **IN GENERAL.** An individual not covered by subsection (a) who is arrested, detained, or held for trial on a charge that would expose the individual to a capital sentence if convicted may raise a claim of a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or of a comparable provision of a bilateral international agreement addressing consular notification and access, at a reasonable time after the individual becomes aware of the violation, before the court with jurisdiction over the charge. Upon a finding of such a violation—

(A) the consulate of the foreign state of which the individual is a national shall be notified immediately by the detaining authority, and consular access to the individual shall be afforded in accordance with the provisions of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or the comparable provisions of a bilateral international agreement addressing consular notification and access; and

(B) the court—

(i) shall postpone any proceedings to the extent the court determines necessary to allow for adequate opportunity for consular access and assistance; and

(ii) may enter necessary orders to facilitate consular access and assistance.

(2) **EVIDENTIARY HEARINGS.** The court may conduct evidentiary hearings if necessary to resolve factual issues.

(3) **RULE OF CONSTRUCTION.** Nothing in this subsection shall be construed to create any additional remedy.

(c) **DEFINITIONS.** In this section the term "State" means any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States.

(d) **APPLICABILITY.** The provisions of this section shall apply during the current fiscal year.

**INSPECTOR GENERAL PERSONNEL AUTHORITIES**

SEC. 7053. (a) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in subsection (d)(2)(E) to read as follows:

"(E) To employ, or authorize the employment by the other Inspectors General specified in subsection (c), on a temporary basis using the authorities in section 3161 of title 5, United States Code (but without regard to subsections (a) and (b)(2) of such section), such auditors, investigators, and other personnel as the lead Inspector General considers appropriate to assist the lead Inspector General and such other Inspectors General on matters relating to the contingency operation."

(b) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in subsection (d)(3) to read as follows:

"(3)(A) Each Inspector General specified in subsection (c) may employ annuitants covered by section 9902(g) of title 5, United States Code, for purposes of assisting the lead Inspector General in discharging responsibilities under this subsection with respect to the contingency operation.

(c) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended by adding at the end of subsection (d) a new paragraph as follows:

"(5) The authority to employ personnel under this subsection for a contingency operation shall cease as provided for in subsection (e)."

**WORKING CAPITAL FUND**

SEC. 7054. (a) The Administrator of the United States Agency for International Development (the Administrator) is authorized to establish a Working Capital Fund (in this section referred to as the "Fund").

(b) Funds deposited in the Fund during any fiscal year shall be available without fiscal year limitation and used, in addition to other funds available for such purposes, for administrative costs resulting from agency acquisition and assistance operations, the administration of this Fund, and administrative contingencies designated by the Administrator. Such expenses may include—

(1) personnel and nonpersonnel services;

(2) training;

(3) supplies; and

(4) other administrative costs related to acquisition and assistance operations.

(c) There may be deposited during any fiscal year in the Fund up to 1 percent of the total value of obligations entered into by the United States Agency for International Development (USAID) from appropriations available to USAID and any appropriation made available for the purpose of providing capital. Receipts from the disposal of, or repayments for the loss or damage to, property held in the Fund, rebates, reimbursements, refunds and other credits applicable to the operation of the Fund may be deposited into the Fund.

(d) At the close of each fiscal year the Administrator shall transfer to the general fund of the Treasury amounts in excess of \$100,000,000, and such other amounts as the Administrator determines to be in excess of the needs of the Fund.

**INFECTIOUS DISEASE CONTROL**

SEC. 7055. Unobligated balances appropriated under the heading "Bilateral Economic Assistance" in title IX of division J of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235) shall, notwithstanding any other provision of law, also be available for assistance or research to detect, prevent, treat, and control malaria or other emerging infectious diseases in countries at risk of such diseases, and prevent, prepare for, and respond to emerging health threats in those countries: Provided, That amounts repurposed pursuant to this section are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act, as amended, and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

**VOLUNTARY SEPARATION INCENTIVE PAYMENTS**

SEC. 7056. Section 3523 of title 5, U.S. Code shall be applied with respect to funds made available by this Act by substituting "\$40,000" for "\$25,000" in subsection (b)(3)(B) of such section.

**MULTILATERAL DEVELOPMENT BANK REPLENISHMENTS**

SEC. 7057. (a) The Asian Development Bank Act, Public Law 89–369, as amended (22 U.S.C. 285 et seq.), is further amended by adding at the end thereof the following new section:

"Sec. 36. Twelfth Replenishment.—

"(a) The United States Governor of the Bank is authorized to contribute, on behalf of the United States, \$47,395,000 to the twelfth replenishment of the resources of the Fund, subject to obtaining the necessary appropriations.

"(b) In order to pay for the U.S. contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$47,395,000 for payment by the Secretary of the Treasury."

(b) The International Development Association Act, Public Law 86–565, as amended (22 U.S.C. 284 et seq.), is further amended by adding at the end thereof the following new section:

"Sec. 30. Eighteenth Replenishment.—

"(a) The United States Governor of the International Development Association is authorized to contribute on behalf of the United States \$1,097,010,000 to the eighteenth replenishment of the resources of the Association, subject to obtaining the necessary appropriations.

"(b) In order to pay for the U.S. contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$1,097,010,000 for payment by the Secretary of the Treasury."

(c) The African Development Fund Act, Public Law 94–302, as amended (22 U.S.C. 290g et seq.), is further amended by adding at the end thereof the following new section:

*"Sec. 225. Fourteenth Replenishment.—*

*"(a) The United States Governor of the Fund is authorized to contribute on behalf of the United States \$171,300,000 to the fourteenth replenishment of the resources of the Fund, subject to obtaining the necessary appropriations.*

*"(b) In order to pay for the U.S. contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$171,300,000 for payment by the Secretary of the Treasury."*

## INSPECTOR GENERAL AUTHORITY TO WAIVE CERTAIN ANNUITY LIMITATIONS ON REHIRED FOREIGN SERVICE ANNUITANTS

*SEC. 7058. Section 209 of the Foreign Service Act of 1980 (22 U.S.C. 3929) is amended by adding a new subsection (h) to read as follows:*

*"(h) Waiver of Annuity Limitations for Certain Rehired Foreign Service Annuitants.—*

*"(1) The Inspector General shall have the authority to waive the provisions of 22 U.S.C. Section 4064(a) through (d) on a case-by-case basis for an annuitant reemployed by the Inspector General on a temporary basis—*

*"(A) if, and for so long as, such waiver is necessary due to an emergency involving a direct threat to life or property or other unusual circumstances; or*

*"(B) if the annuitant is employed in a position for which there is exceptional difficulty in recruiting or retaining a qualified employee.*

*"(2) The Inspector General should prescribe procedures for the exercise of any authority under paragraph (1)(B), including criteria for any exercise of authority and procedures for a delegation of authority.*

*"(3) A reemployed annuitant as to whom a waiver under this subsection (h) is in effect shall not be considered a participant for purposes of part I or II of subchapter VIII of chapter 52 of title 22, or an employee for purposes of chapter 83 or 84 of title 5."*

## CLOSE-OUT COSTS FOR UNANTICIPATED COSTS FOR P.L. 480 TITLE II

*SEC. 7059. In addition to funds otherwise available for this purpose, funds appropriated in title III of this Act under the heading "International Humanitarian Assistance" may be used for necessary expenses to meet emergency food needs related to the packaging, processing, shipment, transportation, prepositioning, transfer, storage, handling, distribution, and other incidental and administrative costs associated with commodities purchased pursuant to P.L. 480 Title II (7 U.S.C. 1961 et seq.): Provided, That the Department of Agriculture shall reimburse the "International Humanitarian Assistance" account for such expenses with available amounts, including recoveries, from amounts appropriated in prior appropriations Acts to "Department of Agriculture, Foreign Agriculture Service, Food for Peace Title II Grants".*

## REPORT REFORM

*SEC. 7060. Notwithstanding any other provision of law, any provision of law enacted before or after the date of enactment of this section that requires submission of a report to Congress or its committees at regular periodic intervals (including annually, semi-annually, biennially, quarterly or after other stated periods) pertaining to matters within the purview of, or prepared primarily by, the Department of State shall cease to be effective three years after the date of enactment of the provision of law requiring such report and after the Secretary has identified and included in a notification to Congress any such provision of law requiring the report and a statement that the reporting requirement is terminated under this sunset legislative provision.*

## DEFENSE TRADE CONTROLS REGISTRATION FEES

*SEC. 7061. Section 45 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2717) is amended as follows:*

*(a) in the first sentence, by inserting "defense trade control" after "100 percent of the"; striking "the Office of Defense Trade Controls of"; and inserting after "incurred for" the following: "management, licensing, compliance, and policy activities in the defense trade controls function, including";*

*(b) in subpart (1), by striking "contract personnel to assist in";*

*(c) in subpart (2), by striking the "and" after "computer equipment and related software";*

*(d) in subpart (3), by striking the period "." after "defense trade export controls" and inserting a ",";*

*(e) by adding a new subpart (4) to read as follows:*

*"the facilitation of defense trade policy development and implementation, review of commodity jurisdiction determinations, public outreach to industry and foreign parties, and analysis of scientific and technological developments as they relate to the exercise of defense trade control authorities; and"; and*

*(f) by adding a new subpart (5) to read as follows:*

*"(5) contract personnel to assist in such activities."*

## EXTENDED AVAILABILITY FOR PRIVATE SECTOR PARTNERSHIPS

*SEC. 7062. Funds appropriated under the headings "Economic Support and Development Fund" and "Global Health Programs" in this Act that are made available for private sector partnerships may remain available until September 30, 2024.*

## DEBT RELIEF

*SEC. 7063. Of the available funds appropriated in this and prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, funds may be transferred to, and merged with, funds available under the heading "Department of Treasury—Debt Restructuring" in title III of prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, to remain available until expended, for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees, as the President may determine, or for the cost of selling, reducing, or cancelling amounts owed to the United States as a result of loans made to any country, in the event that the country meets the domestic and internationally-agreed conditions and the transfer or merger is consistent with U.S. law and foreign policy considerations.*

## NORTH AMERICAN DEVELOPMENT BANK GENERAL CAPITAL INCREASE

*SEC. 7064. Part 2 of subtitle D of title V of Public Law 103–182, as amended (22 U.S.C. 290m et seq.), is further amended by adding at the end thereof the following new section:*

*"Sec. 547. Capital Increase.—*

*"(a) Subscription Authorized.—*

*"(1) The Secretary of the Treasury may subscribe on behalf of the United States to 1,000 additional shares of the capital stock of the Bank.*

*"(2) Any subscription by the United States to the capital stock of the Bank shall be effective only to such extent and in such amounts as are provided in advance in appropriations Acts.*

*"(b) Limitations on Authorization of Appropriations.—*

*"(1) In order to pay for the increase in the United States subscription to the Bank under subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$10,000,000 for payment by the Secretary of the Treasury.*

*"(2) The amount authorized to be appropriated under paragraph (1) shall be for paid-in shares of the Bank."*

## WORLDWIDE AVAILABILITY

*SEC. 7065. CLARIFICATION. The Secretary of State is authorized to require, in accordance with the Foreign Service Act, in fiscal year 2020 and thereafter, that each member of the Department of State Foreign Service, at the time of entry into the Service, be worldwide available, as determined by the Secretary of State through medical examinations, unless the Secretary waives such requirement to fulfill a compelling service need. Actions under this authority shall be subject to judicial review only under the Administrative Procedure Act.*

## USAID REORGANIZATION

*SEC. 7066. (a) Office of Security.—Section 587 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (Section 101(d) of Division A of Public Law 105–277) is repealed.*

*(b) Executive Schedule.—5 U.S.C. 5315 is amended by: (1) striking "Regional Assistant Administrator, Agency for International Development (4)" and inserting "Associate Administrator, Agency for International Development (2)"; and (2) striking "(6)" after Assistant Administrators, Agency for International Development" and inserting "(8)".*

## USAID INFORMATION TECHNOLOGY WORKING CAPITAL FUND

*SEC. 7067. Up to 5 percent or \$30,000,000, whichever is less, of funds appropriated by this Act under each of the headings "Operating Expenses", "Global Health Programs", "Economic Support and Development Fund" and "International Humanitarian Assistance", may be transferred to the USAID Information Technology Working Capital Fund (IT WCF) established pursuant to the Modernizing Government Technology (MGT) Act: Provided, That funds transferred to the IT WCF shall remain available for three fiscal years for the purposes described in such Act.*

## BURMA ENVOY

*SEC. 7068. Section 7 of the Tom Lantos Block Burmese Jade Act of 2008 (Public Law 110–286; 50 U.S.C. 1701 note; relating to the establishment of a Special Representative and Policy Coordinator for Burma) is hereby repealed.*

## REPEAL OF HELMS AMENDMENT CONCERNING DIPLOMATIC FACILITIES IN ISRAEL

*SEC. 7069. Section 305 of P.L. 100–459 is hereby repealed.*

## WORLDWIDE SECURITY PROTECTION

*SEC. 7070. There shall be established in the Treasury a separate fund to be known as the "Worldwide Security Protection" account. Any unobligated balances of amounts made available for Worldwide Security Protection in this Act or prior Acts*

making appropriations for the Department of State, foreign operations, and related programs shall be transferred to the Worldwide Security Protection account no later than October 1, 2020. The transfer authority in this section shall be in addition to any other transfer authority available to the Department of State.

## DEBT RESTRUCTURING

SEC. 7071. Section 501(i) of title V of H.R. 3425 as enacted into law by section 1000(a)(5) of Public Law 106–113, as amended by section 699H(b)(1) of division J of Public Law 110–161, is further amended by striking "2000–2010" and inserting "2000–2021."

## INTERNATIONAL FINANCE CORPORATION

SEC. 7072. The International Finance Corporation Act, Public Law 84–350, as amended (22 U.S.C. 282 et seq.), is further amended by adding at the end the following new section:

"Sec. 18. Capital Increases and Amendment to the Articles of Agreement.

"(a) Votes Authorized.—

"The United States Governor of the Corporation is authorized to vote in favor of—

"(A) a resolution to increase the authorized capital stock of the Corporation by 16,999,998 shares, to implement the conversion of a portion of the retained earnings of the Corporation into paid-in capital, which will result in the United States being issued an additional 3,771,899 shares of capital stock, without any cash contribution;

"(B) a resolution to increase the authorized capital stock of the Corporation on a general basis by 4,579,995 shares; and

"(C) a resolution to increase the authorized capital stock of the Corporation on a selective basis by 919,998 shares. (b) Amendment of the Articles of Agreement.—The United States Governor of the Corporation is authorized to agree to and accept an amendment to Article II, Section 2(c)(ii) of the Articles of Agreement of the Corporation that would increase the vote by which the Board of Governors of the Corporation may increase the capital stock of the Corporation from a four-fifths majority to an eighty-five percent majority."

## INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

SEC. 7073. The Bretton Woods Agreements Act, as amended (22 U.S.C. 286 et seq.), is further amended by adding at the end the following new section:

"Sec. 73. Capital Stock Increases.

"(a) Increases Authorized.—The United States Governor of the Bank is authorized—

"(1)(A) to vote in favor of a resolution to increase the capital stock of the Bank on a selective basis by 245,773 shares; and

"(B) to subscribe on behalf of the United States to 42,298 additional shares of the capital stock of the Bank, as part of the selective increase in the capital stock of the Bank, except that any subscription to such additional shares shall be effective only to the extent or in such amounts as are provided in advance in appropriations Acts;

"(2)(A) to vote in favor of a resolution to increase the capital stock of the Bank on a general basis by 230,500 shares; and

"(B) to subscribe on behalf of the United States to 38,662 additional shares of the capital stock of the Bank, as part of the general increase in the capital stock of the Bank, except that any subscription to such additional shares shall be effective only to the extent or in such amounts as are provided in advance in appropriations Acts.

"(b) Limitations on Authorization of Appropriations.—

"(1) In order to pay for the increase in the United States subscription to the Bank under subsection (a)(2)(B), there are authorized to be appropriated, without fiscal year limitation, \$4,663,990,370 for payment by the Secretary of the Treasury.

"(2) Of the amount authorized to be appropriated under paragraph (1), \$932,798,074 shall be for paid in shares of the Bank, and \$3,731,192,296 shall be for callable shares of the Bank.

"(3) In order to pay for the increase in the United States subscription to the Bank under subsection (a)(1)(B), there are authorized to be appropriated, without fiscal year limitation \$5,102,619,230 for payment by the Secretary of the Treasury.

"(4) Of the amount authorized to be appropriated under paragraph (3), \$306,157,153.80 shall be for paid in shares of the Bank, and \$4,796,462,076.20 shall be for callable shares of the Bank."

## AVAILABILITY OF FUNDS FOR THE DEVELOPMENT FINANCE CORPORATION

SEC. 7074. (a) Funds transferred to the United States International Development Finance Corporation (Corporation) pursuant to section 1434(j) of the BUILD Act of 2018 (division F of Public Law 115–254) shall only be initially made available consistent with purposes of the account into which they were initially appropriated, and may be deemed to meet minimum funding requirements upon deobligation and reobligation for a use other than such original purpose.

(b) Funds transferred from Development Credit Authority program account of the United States Agency for International Development to the Corporation's Corporate Capital Account pursuant to section 1434(i) of division F of Public Law 115–254 shall be transferred and merged with such account, and may thereafter be deemed to meet any minimum funding requirements attributed for at the time of deposit into the Development Credit Authority program account.

## CREATION OF THE U.S.-INDIA DEVELOPMENT FOUNDATION

SEC. 7075. The Administrator of the United States Agency for International Development (USAID), with the concurrence of the Secretary of State and after consultation with the Chief Executive Officer of the United States International Development Finance Corporation (DFC), is authorized to establish, on such terms and conditions determined necessary and notwithstanding any other provision of law, one or more private corporations organized under the laws of India: Provided, That any such organization should provide credible platforms and models to attract and blend public and private capital, which can then be deployed efficiently against India's development needs: Provided further, That notwithstanding the previous proviso, any such organization shall not be an agency or establishment of the United States Government: Provided further, That United States Government personnel designated by the USAID Administrator, with the concurrence of the Secretary of State, shall comprise a majority of the board of directors of any such organization.

# DEPARTMENT OF TRANSPORTATION

## OFFICE OF THE SECRETARY

### Federal Funds

#### RESEARCH AND TECHNOLOGY

*For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, \$22,000,000, of which \$2,218,000 shall remain available until September 30, 2022, and of which \$15,000,000, to remain available until September 30, 2022, shall be for activities related to monitoring the performance of the Global Positioning System civil signals: Provided, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: Provided further, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall continue to be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 069–1730–0–1–407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and administrative expenses .....	2	6	5
0002 Civil Signal Monitoring .....	6	.....	15
0003 Research development & technology coordination .....	2	3	2
0004 UTC Congestion & Infrastructure Research Grants .....	.....	15	.....
0100 Direct program by activities, subtotal .....	10	24	22
0799 Total direct obligations .....	10	24	22
0802 Transportation safety institute .....	13	20	20
0803 Other programs .....	4	1	1
0809 Reimbursable program by activities, subtotal .....	17	21	21
0899 Total reimbursable obligations .....	17	21	21
0900 Total new obligations, unexpired accounts .....	27	45	43
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	24	24
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	15	24	24
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	24	22
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	21	21
1900 Budget authority (total) .....	36	45	43
1930 Total budgetary resources available .....	51	69	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	24	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	16	3
3010 New obligations, unexpired accounts .....	27	45	43
3020 Outlays (gross) .....	–24	–58	–43
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3050 Unpaid obligations, end of year .....	16	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–2	–2
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	14	1
3200 Obligated balance, end of year .....	14	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	36	45	43
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	43	41
4011 Outlays from discretionary balances .....	13	15	2
4020 Outlays, gross (total) .....	24	58	43

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–7	–21	–21
4033 Non-Federal sources .....	–6	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	–13	–21	–21
4070 Budget authority, net (discretionary) .....	23	24	22
4080 Outlays, net (discretionary) .....	11	37	22
4180 Budget authority, net (total) .....	23	24	22
4190 Outlays, net (total) .....	11	37	22

This appropriation is responsible for facilitating and reviewing the Department of Transportation's (DOT) research, development, and technology portfolio, as well as enhancing the data collection and statistical analysis programs to support data-driven decision-making. The program activities are also responsible for Positioning, Navigation, and Timing (PNT) technology, PNT policy coordination, and spectrum management.

This appropriation oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. BTS is funded by an allocation from the Federal Highway Administration's Federal-Aid Highways account.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The UTC Program funding is provided to the Office of the Assistant Secretary for Research and Technology through an allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides expertise in research, analysis, technology deployment, and other technical knowledge to DOT and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute (Oklahoma City, OK) develops and conducts safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

#### Object Classification (in millions of dollars)

Identification code 069–1730–0–1–407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	2	1
25.1 Advisory and assistance services .....	5	15	.....
25.3 Other goods and services from Federal sources .....	6	3	17
99.0 Direct obligations .....	14	24	22
99.0 Reimbursable obligations .....	13	21	21
99.9 Total new obligations, unexpired accounts .....	27	45	43

#### Employment Summary

Identification code 069–1730–0–1–407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	17	17
2001 Reimbursable civilian full-time equivalent employment .....	30	30	30
3001 Allocation account civilian full-time equivalent employment .....	65	80	80

#### SALARIES AND EXPENSES

*For necessary expenses of the Office of the Secretary, \$117,993,000: Provided, That not to exceed \$85,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: Provided further, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107–71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees.*

SALARIES AND EXPENSES—Continued

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–0102–0–1–407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General administration .....	112	116	121
0002 SCASDP Program .....	1	22	1
0100 Subtotal Direct Obligations .....	113	138	122
0799 Total direct obligations .....	113	138	122
0801 Salaries and Expenses (Reimbursable) .....	7	9	9
0900 Total new obligations, unexpired accounts .....	120	147	131
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	25	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	113	113	118
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	17	12	12
1900 Budget authority (total) .....	130	125	130
1930 Total budgetary resources available .....	146	150	133
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1	.....	.....
1941 Unexpired unobligated balance, end of year .....	25	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	47	46	35
3010 New obligations, unexpired accounts .....	120	147	131
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	–121	–158	–129
3041 Recoveries of prior year unpaid obligations, expired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	46	35	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	47	46	35
3200 Obligated balance, end of year .....	46	35	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	130	125	130
Outlays, gross:			
4010 Outlays from new discretionary authority .....	103	114	118
4011 Outlays from discretionary balances .....	18	44	11
4020 Outlays, gross (total) .....	121	158	129
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–16	–9	–9
4033 Non-Federal sources .....	–1	–3	–3
4040 Offsets against gross budget authority and outlays (total) ....	–17	–12	–12
4070 Budget authority, net (discretionary) .....	113	113	118
4080 Outlays, net (discretionary) .....	104	146	117
4180 Budget authority, net (total) .....	113	113	118
4190 Outlays, net (total) .....	104	146	117

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy, Secretarial Officers, and their immediate staffs, who provide Federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.

Object Classification (in millions of dollars)

Identification code 069–0102–0–1–407	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	46	53	55
11.3 Other than full-time permanent .....	4	4	4

11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	52	58	60
12.1 Civilian personnel benefits .....	16	19	20
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	10	10	10
25.1 Advisory and assistance services .....	9	3	3
25.2 Other services from non-Federal sources .....	2	2	5
25.3 Other goods and services from Federal sources .....	21	24	23
31.0 Equipment .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	1	21	.....
99.0 Direct obligations .....	113	138	122
99.0 Reimbursable obligations .....	7	9	9
99.9 Total new obligations, unexpired accounts .....	120	147	131

Employment Summary

Identification code 069–0102–0–1–407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	396	406	463
2001 Reimbursable civilian full-time equivalent employment .....	20	24	24

NATIONAL SURFACE TRANSPORTATION AND INNOVATIVE FINANCE BUREAU

For necessary expenses of the National Surface Transportation and Innovative Finance Bureau as authorized by 49 U.S.C. 116, \$4,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–0170–0–1–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General Administration - Bureau .....	3	3	4
0002 RRIF Collections .....	1	.....	.....
0900 Total new obligations, unexpired accounts .....	4	3	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3	3	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	4
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1	.....	.....
1900 Budget authority (total) .....	4	3	4
1930 Total budgetary resources available .....	7	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	1
3010 New obligations, unexpired accounts .....	4	3	4
3020 Outlays (gross) .....	–2	–5	–5
3050 Unpaid obligations, end of year .....	3	1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	3	1
3200 Obligated balance, end of year .....	3	1	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	4
4011 Outlays from discretionary balances .....	.....	2	1
4020 Outlays, gross (total) .....	2	5	5
Mandatory:			
4090 Budget authority, gross .....	1	.....	.....
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	–1	.....	.....
4180 Budget authority, net (total) .....	3	3	4

4190 Outlays, net (total) .....	1	5	5
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The resources in this account support the expenses of the National Surface Transportation and Innovative Finance Bureau (the Build America Bureau or Bureau). The Bureau fulfills a number of responsibilities, including the following: providing assistance and communicating best practices and financing and funding opportunities to entities eligible under DOT infrastructure finance programs; administering the application process for DOT infrastructure finance programs; administering the application process for the Infrastructure for Rebuilding America (INFRA) grant program; reducing uncertainty and delays related to environmental reviews and permitting, as well as project delivery and procurement risks, and costs for projects financed by the DOT infrastructure finance programs and INFRA; increasing transparency and the public availability of information regarding projects financed by the DOT infrastructure finance programs and INFRA; and promoting best practices in procurement for projects financed by the DOT infrastructure finance programs and the INFRA program by developing benchmarks related to procurement. The Budget proposes to consolidate the Maritime Guaranteed Loan (Title XI) program under the Bureau, including open loans.

**Object Classification** (in millions of dollars)

Identification code 069-0170-0-1-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	2
25.3 Other goods and services from Federal sources .....	2	2	2
99.0 Direct obligations .....	3	3	4
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	4	3	4

**Employment Summary**

Identification code 069-0170-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	7	12	14

**NATIONAL INFRASTRUCTURE INVESTMENTS**

For capital investments in surface transportation infrastructure, \$1,000,000,000, to remain available through September 30, 2023: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, port authority, transit agency, or a collaboration among such entities on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region: Provided further, That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; and port infrastructure investments (including inland port infrastructure and land ports of entry): Provided further, That the Secretary may use up to 20 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, or sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: Provided further, That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: Provided further, That a grant funded under this heading shall be not less than \$5,000,000: Provided further, That not more than 20 percent of the funds made available under this heading may be awarded to projects in a single State: Provided further, That the Federal share of the costs for which an expenditure is made under this heading shall be up to 80 percent: Provided further, That the Secretary shall give priority to projects that maximize the use of non-Federal funds in order to complete an overall financing package: Provided further, That not less than 30 percent of the funds provided under this heading shall be for projects located in rural areas: Provided further, That for projects located in rural areas, the minimum grant size shall be \$1,000,000 and the

Secretary may increase the Federal share of costs above 80 percent: Provided further, That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: Provided further, That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: Provided further, That the Secretary may retain up to \$25,000,000 of the funds provided under this heading, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration, and the Maritime Administration to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0143-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Infrastructure Investments Grants .....	152	699	1,475
0002 Award & Oversight .....	8	8	9
0900 Total new obligations, unexpired accounts .....	160	707	1,484
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	880	2,220	3,013
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,500	1,500	1,000
1930 Total budgetary resources available .....	2,380	3,720	4,013
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2,220	3,013	2,529
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,163	842	977
3010 New obligations, unexpired accounts .....	160	707	1,484
3020 Outlays (gross) .....	-456	-572	-858
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	842	977	1,603
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,163	842	977
3200 Obligated balance, end of year .....	842	977	1,603
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,500	1,500	1,000
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	456	572	858
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources: .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	1,500	1,500	1,000
4080 Outlays, net (discretionary) .....	455	572	858
4180 Budget authority, net (total) .....	1,500	1,500	1,000
4190 Outlays, net (total) .....	455	572	858

The National Infrastructure Investments program, also known as the Better Utilizing Investments to Leverage Development (BUILD) program, provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area, or a region.

**Object Classification** (in millions of dollars)

Identification code 069-0143-0-1-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1	1	1
11.1 Full-time permanent - Allocation .....	2	2	2
11.9 Total personnel compensation .....	3	3	3
25.1 Advisory and assistance services .....	3	3	3
25.1 Advisory and assistance services - Allocation .....	1	1	1

NATIONAL INFRASTRUCTURE INVESTMENTS—Continued  
Object Classification—Continued

Identification code 069-0143-0-1-401	2018 actual	2019 est.	2020 est.
25.2 Other services from non-Federal sources - Allocation .....	1	1	2
41.0 Grants, subsidies, and contributions - Allocation .....	152	699	1,475
99.0 Direct obligations .....	160	707	1,484
99.9 Total new obligations, unexpired accounts .....	160	707	1,484

Employment Summary

Identification code 069-0143-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6	7	7

NATIONALLY SIGNIFICANT FREIGHT PROJECTS

In addition to funds made available from any other source to carry out the Nationally Significant Freight and Highway Projects program under 23 U.S.C. 117, \$1,035,000,000, to remain available through September 30, 2023: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants according to criteria and requirements described in 23 U.S.C. 117: Provided further, That the limitation at 23 U.S.C. 117(d)(2)(A) shall not apply to the funding provided under this heading: Provided further, That the Secretary may retain up to \$10,000,000 of the funds provided under this heading, and may transfer portions of such funds to the Administrators of the Federal Highway Administration, the Federal Railroad Administration, and the Maritime Administration, to fund the award and oversight of grants and credit assistance made under 23 U.S.C. 117 and this heading: Provided further, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading.

Program and Financing (in millions of dollars)

Identification code 069-0148-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Nationally Significant Freight Projects Grants .....			1,025
0002 Award and Oversight .....			1
0900 Total new obligations, unexpired accounts .....			1,026
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1,035
1930 Total budgetary resources available .....			1,035
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1,026
3050 Unpaid obligations, end of year .....			1,026
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,026
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1,035
4180 Budget authority, net (total) .....			1,035
4190 Outlays, net (total) .....			

This account provides \$1.035 billion in resources to support the Nationally Significant Freight and Highway Projects discretionary grant program, also known as the Infrastructure for Rebuilding America (INFRA) program. These resources supplement the \$1 billion in resources provided by the Highway Trust Fund for the INFRA program as authorized under 23 U.S.C. 117, for a total of \$2.035 billion for the program in 2020. The INFRA program provides financial assistance on a competitive basis to highway, freight rail, intermodal, and port infrastructure projects of national or regional significance. The goals of the program include reducing congestion and bottlenecks, and improving safety, on the Nation's freight networks.

The resources in this account are not subject to the limitation in 23 U.S.C. 117(d)(2)(A) for certain freight projects.

Object Classification (in millions of dollars)

Identification code 069-0148-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			1
41.0 Grants, subsidies, and contributions .....			1,025
99.9 Total new obligations, unexpired accounts .....			1,026

Employment Summary

Identification code 069-0148-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			4

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 069-4522-0-4-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund, Volpe National Transportation Systems Cent (Reimbursable) .....	303	335	340
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	228	186	186
1021 Recoveries of prior year unpaid obligations .....	8		
1050 Unobligated balance (total) .....	236	186	186
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	262	335	340
1701 Change in uncollected payments, Federal sources .....	-9		
1750 Spending auth from offsetting collections, disc (total) .....	253	335	340
1930 Total budgetary resources available .....	489	521	526
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	186	186	186
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	166	132	132
3010 New obligations, unexpired accounts .....	303	335	340
3020 Outlays (gross) .....	-329	-335	-340
3040 Recoveries of prior year unpaid obligations, unexpired .....	-8		
3050 Unpaid obligations, end of year .....	132	132	132
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-69	-60	-60
3070 Change in uncollected pymts, Fed sources, unexpired .....	9		
3090 Uncollected pymts, Fed sources, end of year .....	-60	-60	-60
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	97	72	72
3200 Obligated balance, end of year .....	72	72	72
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	253	335	340
Outlays, gross:			
4010 Outlays from new discretionary authority .....		134	136
4011 Outlays from discretionary balances .....	329	201	204
4020 Outlays, gross (total) .....	329	335	340
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-252	-335	-340
4033 Non-Federal sources .....	-10		
4040 Offsets against gross budget authority and outlays (total) .....	-262	-335	-340
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	9		
4080 Outlays, net (discretionary) .....	67		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	67		

The Working Capital Fund finances multidisciplinary research, evaluation, analytical, and related activities undertaken at the Volpe Transportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with other offices within the Office of the Secretary, Departmental operating administrations, other governmental elements, and non-governmental entities using the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

**Object Classification** (in millions of dollars)

Identification code 069-4522-0-4-407	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	56	58	58
11.3 Other than full-time permanent .....	6	5	5
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	63	63	63
12.1 Civilian personnel benefits .....	21	22	22
21.0 Travel and transportation of persons .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	4	3	3
25.2 Other services from non-Federal sources .....	25	25	25
25.4 Operation and maintenance of facilities .....	5	5	5
25.5 Research and development contracts .....	173	205	210
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	6	6
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	303	335	340

**Employment Summary**

Identification code 069-4522-0-4-407	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	556	570	570

**FINANCIAL MANAGEMENT CAPITAL**

For necessary expenses for enhancing the Department of Transportation's financial systems and re-engineering business processes, \$2,000,000, to remain available through September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0116-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Financial management capital .....	2	12	2
0900 Total new obligations, unexpired accounts (object class 25.3) .....	2	12	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	6	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	6	6	2
1930 Total budgetary resources available .....	8	12	2
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			6
3010 New obligations, unexpired accounts .....	2	12	2
3020 Outlays (gross) .....	-2	-6	-3
3050 Unpaid obligations, end of year .....		6	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			6
3200 Obligated balance, end of year .....		6	5
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	6	6	2

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	5	2
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....	2	6	3
4180 Budget authority, net (total) .....	6	6	2
4190 Outlays, net (total) .....	2	6	3

This appropriation provides funds to enhance DOT's financial systems and to re-engineer business processes. These funds will assist DOT in automating manual processes, improving reporting capabilities, and complying with required mandates.

**CYBER SECURITY INITIATIVES**

For necessary expenses for cyber security initiatives, including necessary upgrades to wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements, implementation of Federal cyber security initiatives and information infrastructure enhancements, and implementation of enhanced security controls on network devices, \$15,000,000, to remain available through September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0159-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Cyber Security Initiatives (Direct) .....	22	20	15
0100 Direct program activities, subtotal .....	22	20	15
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	12	5	
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	15	15	15
1930 Total budgetary resources available .....	27	20	15
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	9	11
3010 New obligations, unexpired accounts .....	22	20	15
3020 Outlays (gross) .....	-19	-18	-15
3050 Unpaid obligations, end of year .....	9	11	11
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	9	11
3200 Obligated balance, end of year .....	9	11	11
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	15	15	15
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	9	9
4011 Outlays from discretionary balances .....	17	9	6
4020 Outlays, gross (total) .....	19	18	15
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1		
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	15	15	15
4080 Outlays, net (discretionary) .....	18	18	15
4180 Budget authority, net (total) .....	15	15	15
4190 Outlays, net (total) .....	18	18	15

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the

CYBER SECURITY INITIATIVES—Continued

Department of Transportation network, and reduce the risk of security breaches.

Object Classification (in millions of dollars)

Identification code 069-0159-0-1-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	3		
25.1 Advisory and assistance services .....	8	5	5
25.3 Other goods and services from Federal sources .....		6	3
25.7 Operation and maintenance of equipment .....	2	2	2
31.0 Equipment .....	9	7	5
99.9 Total new obligations, unexpired accounts .....	22	20	15

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, \$9,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-0118-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Civil Rights .....	9	10	9
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	10	10	9
1930 Total budgetary resources available .....	10	10	9
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 New obligations, unexpired accounts .....	9	10	9
3020 Outlays (gross) .....	-8	-10	-9
3050 Unpaid obligations, end of year .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	10	10	9
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	7	9	8
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	8	10	9
4180 Budget authority, net (total) .....	10	10	9
4190 Outlays, net (total) .....	8	10	9

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimination in the financing and operation of transportation programs with Federal resources. The Office also is responsible for non-discrimination policy development, analysis, coordination and compliance, and promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

Object Classification (in millions of dollars)

Identification code 069-0118-0-1-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	2	2
25.1 Advisory and assistance services .....	2	1	1
25.3 Other goods and services from Federal sources .....	1	1	1

25.7 Operation and maintenance of equipment .....	1	1	
99.9 Total new obligations, unexpired accounts .....	9	10	9

Employment Summary

Identification code 069-0118-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	40	52	52

SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH

For necessary expenses for small and disadvantaged business utilization and outreach activities, \$3,000,000, to remain available until September 30, 2021: Provided, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-0119-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Minority business outreach .....	4	5	3
0002 Bonding Assistance Program .....	1	4	
0900 Total new obligations, unexpired accounts .....	5	9	3
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	2
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	5	5	3
1930 Total budgetary resources available .....	11	11	5
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	6	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	5
3010 New obligations, unexpired accounts .....	5	9	3
3020 Outlays (gross) .....	-4	-7	-7
3050 Unpaid obligations, end of year .....	3	5	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	3	5
3200 Obligated balance, end of year .....	3	5	1
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	5	5	3
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1	4	3
4011 Outlays from discretionary balances .....	3	3	4
4020 Outlays, gross (total) .....	4	7	7
4180 Budget authority, net (total) .....	5	5	3
4190 Outlays, net (total) .....	4	7	7

This appropriation includes funding for the Office of Small and Disadvantaged Business Utilization to ensure that: 1) the small and disadvantaged business policies and programs of the Secretary of Transportation are developed and implemented throughout the Department in a fair, efficient, and effective manner; and 2) effective outreach activities are in place to assist small businesses owned and controlled by socially and economically disadvantaged individuals, small businesses owned and controlled by women, small businesses owned and controlled by service disabled-veterans, Native American small business concerns, and qualified Historically Underutilized Business Zone (HUB Zone) small businesses concerned with securing Department of Transportation contracting and subcontracting opportunities.

**Object Classification** (in millions of dollars)

Identification code 069-0119-0-1-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....		1	
41.0 Grants, subsidies, and contributions .....	3	6	1
99.0 Direct obligations .....	4	8	2
99.5 Below Reporting Threshold .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	5	9	3

**Employment Summary**

Identification code 069-0119-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	10	10	12

**NEW HEADQUARTERS BUILDING**

No funding is requested for this program in 2020.

**TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT**

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, \$8,000,000: Provided, That of such amount, \$1,250,000 shall be for necessary expenses for the Interagency Infrastructure Permitting Improvement Center (IIPIC) to continue reforms to improve interagency coordination and the expediting of projects related to the permitting and environmental review of major transportation infrastructure projects including expenses to develop and deploy information technology tools to track project schedules and metrics and improve the transparency and accountability of the permitting process: Provided further, That there may be transferred to this appropriation, to remain available until expended, amounts transferred from other Federal agencies for expenses incurred under this heading for IIPIC activities not related to transportation infrastructure: Provided further, That the tools and analysis developed by the IIPIC shall be available to other Federal agencies for the permitting and review of major infrastructure projects not related to transportation only to the extent that other Federal agencies provide funding to the Department as provided for under the previous proviso.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0142-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Transportation policy and planning .....	9	18	7
0003 Interagency Infrastructure Permitting Improvement Center (IIPIC) .....	4	4	1
0004 Automated Vehicles .....		5	
0100 Total direct program .....	13	27	8
0799 Total direct obligations .....	13	27	8
0900 Total new obligations, unexpired accounts .....	13	27	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	14	1
1011 Unobligated balance transfer from [047-0401] .....	1		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	14	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	14	8
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1701 Change in uncollected payments, Federal sources .....	-1		
1900 Budget authority (total) .....	14	14	8
1930 Total budgetary resources available .....	27	28	9

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year .....	14	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	16
3010 New obligations, unexpired accounts .....	13	27	8
3020 Outlays (gross) .....	-12	-12	-11
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	16	13
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1		15
3200 Obligated balance, end of year .....		15	12

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	14	14	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....		6	3
4011 Outlays from discretionary balances .....	12	6	8
4020 Outlays, gross (total) .....	12	12	11
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	14	14	8
4080 Outlays, net (discretionary) .....	11	12	11
4180 Budget authority, net (total) .....	14	14	8
4190 Outlays, net (total) .....	11	12	11

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of National transportation policies and the coordination of National level transportation planning. Funding also supports Departmental leadership in areas such as regulatory modernization, energy conservation, environmental and safety impacts of transportation, aviation economic policy, and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms. This appropriation also finances the Interagency Infrastructure Permitting Improvement Center, including an online database Permitting Dashboard, to support permitting/environmental review reforms to improve interagency coordination, and make the process for Federal approval for major infrastructure projects more efficient.

**Object Classification** (in millions of dollars)

Identification code 069-0142-0-1-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	1	2	2
25.1 Advisory and assistance services .....	4	10	1
25.3 Other goods and services from Federal sources .....	3	9	
99.0 Direct obligations .....	12	26	8
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	13	27	8

**Employment Summary**

Identification code 069-0142-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	30	37	37

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 069-5423-0-2-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Essential air service and rural airport improvement .....	175	189	145
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	50	6
1021 Recoveries of prior year unpaid obligations .....	13		
1050 Unobligated balance (total) .....	91	50	6
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [069-5422] ....	143	155	151
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-9	-10	
1260 Appropriations, mandatory (total) .....	134	145	151
1900 Budget authority (total) .....	134	145	151
1930 Total budgetary resources available .....	225	195	157
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50	6	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	47	96
3010 New obligations, unexpired accounts .....	175	189	145
3020 Outlays (gross) .....	-141	-140	-149
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050 Unpaid obligations, end of year .....	47	96	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	47	96
3200 Obligated balance, end of year .....	47	96	92
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	134	145	151
Outlays, gross:			
4100 Outlays from new mandatory authority .....	59	87	91
4101 Outlays from mandatory balances .....	82	53	58
4110 Outlays, gross (total) .....	141	140	149
4180 Budget authority, net (total) .....	134	145	151
4190 Outlays, net (total) .....	141	140	149

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the FAA Modernization and Reauthorization Act (P.L. 112-95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program. The 2020 Budget proposes to reform the EAS program.

Object Classification (in millions of dollars)

Identification code 069-5423-0-2-402	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	172	186	142
99.0 Direct obligations .....	174	188	144
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	175	189	145

Employment Summary

Identification code 069-5423-0-2-402	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

WORKING CAPITAL FUND

Any Working Capital Fund limitation shall not apply to the Department's Information Technology, Human Resources, or Acquisition shared services consolidation of functions.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-4520-0-4-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 DOI service center activities .....	198	202	206
0802 Non-DOI service center activities .....	251	321	299
0803 WCF Shared Services .....			219
0900 Total new obligations, unexpired accounts .....	449	523	724
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	104	89	89
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	114	89	89
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	424	523	724
1930 Total budgetary resources available .....	538	612	813
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	89	89	89
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	73	72	56
3010 New obligations, unexpired accounts .....	449	523	724
3020 Outlays (gross) .....	-440	-539	-732
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	72	56	48
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-21	-21	-21
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	51	35
3200 Obligated balance, end of year .....	51	35	27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	424	523	724
Outlays, gross:			
4010 Outlays from new discretionary authority .....	358	507	702
4011 Outlays from discretionary balances .....	82	32	30
4020 Outlays, gross (total) .....	440	539	732
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-422	-521	-722
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-424	-523	-724
4080 Outlays, net (discretionary) .....	16	16	8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	16	16	8

The Working Capital Fund finances common administrative, information technology, and other shared services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers. In 2020, the Working Capital Fund will likely obligate nearly \$725 million across the Department including \$219 million to continue the Department's move towards implementing Shared Services. The Department's shared services initiative will improve mission delivery in acquisition, human resources, and information technology by consolidating separate, overlapping, and duplicative processes and functions. In 2020, the Department will continue consolidating its commodity IT and begin to coordinate programmatic applications across operating administrations. Utilizing shared services will enable the Department to improve cybersecurity, increase efficiencies, and improve transparency in IT spending.

**Object Classification** (in millions of dollars)

Identification code 069-4520-0-4-407	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	25	30	49
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	26	31	50
12.1 Civilian personnel benefits .....	7	10	15
13.0 Benefits for former personnel .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	9	7	9
25.2 Other services from non-Federal sources .....	76	71	239
25.3 Other goods and services from Federal sources .....	39	48	44
25.7 Operation and maintenance of equipment .....	16	16	16
26.0 Supplies and materials .....	217	316	298
31.0 Equipment .....	12	12	41
44.0 Refunds .....	35		
99.9 Total new obligations, unexpired accounts .....	449	523	724

**Employment Summary**

Identification code 069-4520-0-4-407	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	228	242	342

**MINORITY BUSINESS RESOURCE CENTER PROGRAM**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0155-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0709 Administrative expenses .....		1	
0900 Total new obligations, unexpired accounts (object class 25.1) .....		1	
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1	1	
1930 Total budgetary resources available .....	1	1	
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....		1	
3020 Outlays (gross) .....		-1	
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1	1	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....		1	
4180 Budget authority, net (total) .....	1	1	
4190 Outlays, net (total) .....		1	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 069-0155-0-1-407	2018 actual	2019 est.	2020 est.
<b>Administrative expense data:</b>			
3510 Budget authority .....	1	1	

**Employment Summary**

Identification code 069-0155-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		1	

**MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 069-4082-0-3-407	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Guaranteed loan commitments from current-year authority .....			
2142 Uncommitted loan guarantee limitation .....			
2150 Total guaranteed loan commitments .....			
2199 Guaranteed amount of guaranteed loan commitments .....			
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1	1	
2231 Disbursements of new guaranteed loans .....			
2251 Repayments and prepayments .....		-1	
2290 Outstanding, end of year .....	1		
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			

**Trust Funds**

**PAYMENTS TO AIR CARRIERS**

(AIRPORT AND AIRWAY TRUST FUND)

*In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, \$125,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under section 41732(b)(3) of title 49, United States Code: Provided further, That amounts authorized to be distributed for the essential air service program under section 41742(b) of title 49, United States Code, shall be made available immediately from amounts otherwise provided to the Administrator of the Federal Aviation Administration: Provided further, That the Administrator may reimburse such amounts from fees credited to the account established under section 45303 of title 49, United States Code.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8304-0-7-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to air carriers .....	155	155	125
0900 Total new obligations, unexpired accounts (object class 41.0) .....	155	155	125
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	155	155	125
1930 Total budgetary resources available .....	158	158	128
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	3	3	3

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	53	58
3010 New obligations, unexpired accounts .....	155	155	125
3020 Outlays (gross) .....	-154	-150	-137
3050 Unpaid obligations, end of year .....	53	58	46

No funding is requested for this program in 2020.

PAYMENTS TO AIR CARRIERS—Continued  
Program and Financing—Continued

Identification code 069-8304-0-7-402	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	52	53	58
3200 Obligated balance, end of year .....	53	58	46
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	155	155	125
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	99	93	75
4011 Outlays from discretionary balances .....	55	57	62
4020 Outlays, gross (total) .....	154	150	137
4180 Budget authority, net (total) .....	155	155	125
4190 Outlays, net (total) .....	154	150	137

Through 1997, the Essential Air Service (EAS) program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the EAS and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. The 2020 Budget proposes to reform the EAS program to ensure Federal funds are efficiently targeted at the communities most in need. The reforms include limits to eligibility (only those currently receiving subsidized EAS) and limitations to the waivers for per-passenger subsidies for communities that are within 210 miles of the nearest large/medium hub airport.

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this Act to the Department of Transportation may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act, unless such assessments or agreements have completed the normal reprogramming process for Congressional notification.

SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109-59: Provided, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.

SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Council on Credit and Finance, including the agenda for each meeting, and require the Council to record the decisions and actions of each meeting.

SEC. 104. In addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide partial or full payments in advance and accept subsequent reimbursements from all Federal agencies for transit benefit distribution services that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order No. 13150 and section 3049 of Public Law 109-59: Provided, That the Department shall maintain a reasonable operating reserve in the Working Capital Fund, to be expended in advance to provide uninterrupted transit benefits to Government employees, provided that such reserve will not exceed one month of benefits payable: Provided further, that such reserve may be used only for the purpose of providing for the continuation of transit benefits, provided that the Working Capital Fund will be fully reimbursed by each customer agency for the actual cost of the transit benefit.

SEC. 105.

(a) Section 116 of title 49, United States Code, is amended—

(1) by adding at the end of subsection (d)(1) the following new subparagraph: "(E) The Federal ship financing program authorized under chapter 537 of title 46."; and

(2) in subsection (j)(6), by striking "or multimodal project" and inserting "multimodal project, or ship or ship facility construction, reconstruction, or reconditioning project".

(b) Section 117 of title 49, United States Code, is amended—

(1) in subsection (b)(1) by adding at the end the following new subparagraph: "(I) The Maritime Administrator."; and

(2) in subsection (c)(1) by striking "and (C)" and inserting "(C), and (E)".

SEC. 106.

(a) Chapter 537 of title 46, United States Code, is amended—

(1) by striking "or Administrator" each place it appears;

(2) by striking "or Administrator's" each place it appears;

(3) by striking "the Administrator" each place it appears and inserting "the Secretary";

(4) by striking "the Administrator's" each place it appears and inserting "the Secretary's";

(5) by striking "The Administrator" each place it appears and inserting "The Secretary";

(6) in section 53701—

(A) by striking "facilities." in paragraph (14) and inserting "facilities, and the Secretary of Transportation with respect to other vessels and general shipyard facilities as provided for in section 53733 of this title."; and

(B) by striking paragraph (2) and redesignating paragraphs (3) through (15) as paragraphs (2) through (14), respectively;

(7) in section 53708—

(A) in the heading for subsection (a) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (b) by inserting " of Commerce" after "Secretary";

(8) in section 53717—

(A) in the heading for subsection (b) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (c) by inserting " of Commerce" after "Secretary".

SEC. 107. For funds made available in this Act:

(a) Section 41731(a)(1)(D) of title 49 shall be applied by substituting "is a community that, as of the date of enactment of the Department of Transportation Appropriations Act, 2020, was receiving essential air service for which compensation was provided to an air carrier under this subchapter" for the existing text.

(b) Subsection (c) of section 426 of the FAA Modernization and Reform Act of 2012 (49 U.S.C. 41731 note) shall not apply to any community eligible to receive essential air service.

(c) Section 332 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (49 U.S.C. 41731 note) shall be applied by substituting "\$250" for "\$200".

SEC. 108. Notwithstanding section 405 or any other provision, up to 10 percent of funds appropriated to Salaries and Expenses may be transferred among offices under that account in the Office of the Secretary of Transportation if the Secretary of Transportation determines such action to be necessary.

FEDERAL AVIATION ADMINISTRATION

The following table depicts the total funding for all Federal Aviation Administration (FAA) programs, for which more detail is furnished in the budget schedules:

	[In millions of dollars]		
	2018 actual	2019 est.	2020 est.
<b>Budget Authority:</b>			
Operations .....	10,247	10,212	10,340
General Fund .....	[1,361]	[1,361]	[976]
Facilities and Equipment (Trust Fund) .....	3,330	3,250	3,295
Research, Engineering and Development (Trust Fund) .....	189	189	120
Grants-in-Aid for Airports (Trust Fund) .....	3,350	3,350	3,350
Grants-in-Aid for Airports (General Fund) .....	1,000	1,000	0
Aviation User Fees .....	10	0	0
<b>Total net .....</b>	<b>18,126</b>	<b>18,001</b>	<b>17,105</b>
<b>Obligations:</b>			
Operations .....	10,352	10,446	10,503
Facilities and Equipment (Trust Fund) .....	2,965	3,702	3,526
Research, Engineering and Development (Trust Fund) .....	156	206	170
Grants-in-Aid for Airports .....	3,680	4,351	3,351
Aviation Insurance Revolving Fund .....	1	1	1
<b>Total net .....</b>	<b>17,154</b>	<b>18,706</b>	<b>17,551</b>

Outlays:			
Operations .....	10,078	10,825	10,324
Facilities and Equipment (Trust Fund) .....	2,563	3,548	3,522
Research, Engineering and Development (Trust Fund) .....	151	213	185
Grants-in-Aid for Airports .....	3,188	4,145	4,295
Aviation Insurance Revolving Fund .....	-28	-68	-77
Aviation User Fees .....	1	0	0
Administrative Services Franchise Fund .....	45	-17	16
Total net .....	15,998	18,646	18,265

**Federal Funds**

**OPERATIONS**

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 112-95, \$10,340,000,000 to remain available until September 30, 2021, of which \$9,364,085,000 shall be derived from the Airport and Airway Trust Fund: Provided, That not later than 60 days after the submission of the President's budget request, the Administrator of the Federal Aviation Administration shall transmit to Congress an annual update to the report submitted to Congress in December 2004 pursuant to section 221 of Public Law 108-176: Provided further, That not later than 60 days after the submission of the President's budget request, the Administrator shall transmit to Congress a companion report that describes a comprehensive strategy for staffing, hiring, and training flight standards and aircraft certification staff in a format similar to the one utilized for the controller staffing plan, including stated attrition estimates and numerical hiring goals by fiscal year: Provided further, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: Provided further, That none of the funds in this Act shall be available for new applicants for the second career training program: Provided further, That there may be credited to this appropriation, as offsetting collections, funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1301-0-1-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Air Traffic Organization (ATO) .....	7,702	7,718	7,775
0002 NextGen .....	60	61	60
0003 Finance & Management .....	788	826	789
0004 Regulation and Certification .....	1,311	1,333	1,339
0005 Commercial space transportation .....	22	25	26
0006 Security & Hazardous Materials Safety .....	110	120	118
0007 Staff offices .....	214	213	246
0008 2017 Hurricanes / 2018 Supplemental .....	1	5	5
0100 Direct Program Activities Subtotal .....	10,208	10,301	10,358
0799 Total direct obligations .....	10,208	10,301	10,358
0801 Operations (Reimbursable) .....	144	145	145
0900 Total new obligations, unexpired accounts .....	10,352	10,446	10,503
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	86	180	154
1021 Recoveries of prior year unpaid obligations .....	47		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	134	180	154

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	1,361	1,361	976
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9,262	9,059	9,568
1701 Change in uncollected payments, Federal sources .....	-215		
1750 Spending auth from offsetting collections, disc (total) .....	9,047	9,059	9,568
1900 Budget authority (total) .....	10,408	10,420	10,544
1930 Total budgetary resources available .....	10,542	10,600	10,698
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-10		
1941 Unexpired unobligated balance, end of year .....	180	154	195

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,585	1,622	1,070
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1		
3010 New obligations, unexpired accounts .....	10,352	10,446	10,503
3011 Obligations ("upward adjustments"), expired accounts .....	11		
3020 Outlays (gross) .....	-10,237	-10,998	-10,528
3040 Recoveries of prior year unpaid obligations, unexpired .....	-47		
3041 Recoveries of prior year unpaid obligations, expired .....	-41		
3050 Unpaid obligations, end of year .....	1,622	1,070	1,045
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-408	-170	-170
3070 Change in uncollected pymts, Fed sources, unexpired .....	215		
3071 Change in uncollected pymts, Fed sources, expired .....	23		
3090 Uncollected pymts, Fed sources, end of year .....	-170	-170	-170
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,176	1,452	900
3200 Obligated balance, end of year .....	1,452	900	875

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	10,408	10,420	10,544
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8,880	9,195	9,303
4011 Outlays from discretionary balances .....	1,357	1,803	1,225
4020 Outlays, gross (total) .....	10,237	10,998	10,528
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9,252	-9,028	-9,537
4033 Non-Federal sources .....	-35	-29	-29
4034 Offsetting governmental collections .....	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-9,288	-9,059	-9,568
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	215		
4052 Offsetting collections credited to expired accounts .....	25		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	241		
4070 Budget authority, net (discretionary) .....	1,361	1,361	976
4080 Outlays, net (discretionary) .....	949	1,939	960
4180 Budget authority, net (total) .....	1,361	1,361	976
4190 Outlays, net (total) .....	949	1,939	960

**Memorandum (non-add) entries:**

5093 Expired unavailable balance, SOY: Offsetting collections .....	1		
5094 Canceling unavailable balance: Offsetting collections .....	-1		

The 2020 Budget requests \$10.340 billion for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

OPERATIONS—Continued  
Object Classification (in millions of dollars)

Identification code 069-1301-0-1-402	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	4,615	4,665	4,694
11.3 Other than full-time permanent .....	32	32	32
11.5 Other personnel compensation .....	451	458	457
11.9 Total personnel compensation .....	5,098	5,155	5,183
12.1 Civilian personnel benefits .....	2,028	2,052	2,066
13.0 Benefits for former personnel .....	4	4	4
21.0 Travel and transportation of persons .....	164	157	158
22.0 Transportation of things .....	24	24	24
23.1 Rental payments to GSA .....	102	109	112
23.2 Rental payments to others .....	54	54	54
23.3 Communications, utilities, and miscellaneous charges .....	341	338	349
24.0 Printing and reproduction .....	4	5	5
25.1 Advisory and assistance services .....	594	581	609
25.2 Other services from non-Federal sources .....	1,587	1,619	1,585
26.0 Supplies and materials .....	133	131	130
31.0 Equipment .....	72	70	70
32.0 Land and structures .....	1	1	8
42.0 Insurance claims and indemnities .....	2	1	1
99.0 Direct obligations .....	10,208	10,301	10,358
99.0 Reimbursable obligations .....	144	145	145
99.9 Total new obligations, unexpired accounts .....	10,352	10,446	10,503

Employment Summary

Identification code 069-1301-0-1-402	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	39,598	40,080	40,119
2001 Reimbursable civilian full-time equivalent employment .....	228	228	230

PAYMENT TO GRANTS-IN-AID FOR AIRPORTS

Program and Financing (in millions of dollars)

Identification code 069-2813-0-1-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	1,000	1,000	.....
0900 Total new obligations, unexpired accounts (object class 94.0) .....	1,000	1,000	.....
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,000	1,000	.....
1930 Total budgetary resources available .....	1,000	1,000	.....
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	1,000	1,000	.....
3020 Outlays (gross) .....	-1,000	-1,000	.....
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	1,000	1,000	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	1,000	1,000	.....
4180 Budget authority, net (total) .....	1,000	1,000	.....
4190 Outlays, net (total) .....	1,000	1,000	.....

The Consolidated Appropriations Act of 2018 provided \$1.0 billion of supplemental funding for Grants-in-Aid for Airports. Funds are appropriated from the General Fund of the U.S. Treasury and are available for obligation through September 30, 2020. Discretionary grants are being awarded to qualified airports, with priority consideration given to projects that meet the criteria for small and rural airports as defined in the appropriations act.

AVIATION USER FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5422-0-2-402	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	12	12	12
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Aviation User Fees, Overflight Fees .....	134	145	151
1130 Property Disposal or Lease Proceeds, Aviation User Fee .....	7	.....	.....
1130 Settlements and Miscellaneous Receipts, Aviation User Fees .....	3	.....	.....
1199 Total current law receipts .....	144	145	151
1999 Total receipts .....	144	145	151
2000 Total: Balances and receipts .....	156	157	163
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Aviation User Fees .....	-153	-155	-151
2132 Essential Air Service and Rural Airport Improvement Fund .....	9	10	.....
2199 Total current law appropriations .....	-144	-145	-151
2999 Total appropriations .....	-144	-145	-151
5099 Balance, end of year .....	12	12	12

Program and Financing (in millions of dollars)

Identification code 069-5422-0-2-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Other Collections .....	1	.....	.....
0100 Direct program activities, subtotal .....	1	.....	.....
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	.....	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	14	23	23
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	153	155	151
1220 Appropriations transferred to other accts [069-5423] .....	-143	-155	-151
1260 Appropriations, mandatory (total) .....	10	.....	.....
1900 Budget authority (total) .....	10	.....	.....
1930 Total budgetary resources available .....	24	23	23
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	23	23	23
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	2	2	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	10	.....	.....
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	1	.....	.....
4180 Budget authority, net (total) .....	10	.....	.....
4190 Outlays, net (total) .....	1	.....	.....

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for air traffic control and related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$151 million in overflight fees will be collected in 2020.

AVIATION INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4120-0-3-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Program Administration .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,203	2,231	2,299
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	29	69	78
1930 Total budgetary resources available .....	2,232	2,300	2,377
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,231	2,299	2,376
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	29	69	78
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-29	-69	-78
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-28	-68	-77
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,210	2,250	2,267
5001 Total investments, EOY: Federal securities: Par value .....	2,250	2,267	2,421

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). In December 2014, the Congress sunset part of the aviation insurance program. Specifically, the Congress returned U.S. air carriers to the commercial aviation market for all of their war risk insurance coverage by ending the FAA's authority to provide war risk insurance for a premium. Pursuant to 49 U.S.C. 44305, the FAA may provide insurance without premium at the request of the Secretary of Defense, or the head of a department, agency, or instrumentality designated by the President, when the Secretary of Defense, or the designated head, agrees to indemnify the Secretary of Transportation against all losses covered by the insurance. The "non-premium" aviation insurance program is authorized through December 31, 2019.

Employment Summary

Identification code 069-4120-0-3-402	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	3	4	4

ADMINISTRATIVE SERVICES FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 069-4562-0-4-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Accounting Services .....	42	48	50
0804 Information Services .....	125	227	155
0806 Multi Media .....	4	3	3
0807 FLLI (formerly CMEL/Training) .....	9	8	8
0808 International Training .....	4	4	3
0810 Logistics .....	280	227	249

0811 Aircraft Maintenance .....	61	64	53
0812 Acquisition .....	4	4	4
0900 Total new obligations, unexpired accounts .....	529	585	525

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	261	221	244
1021 Recoveries of prior year unpaid obligations .....	35	36	36
1050 Unobligated balance (total) .....	296	257	280
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	454	572	509
1930 Total budgetary resources available .....	750	829	789
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	221	244	264

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	196	191	185
3010 New obligations, unexpired accounts .....	529	585	525
3020 Outlays (gross) .....	-499	-555	-525
3040 Recoveries of prior year unpaid obligations, unexpired .....	-35	-36	-36
3050 Unpaid obligations, end of year .....	191	185	149
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	196	191	185
3200 Obligated balance, end of year .....	191	185	149

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	454	572	509
Outlays, gross:			
4010 Outlays from new discretionary authority .....	371	389	346
4011 Outlays from discretionary balances .....	128	166	179
4020 Outlays, gross (total) .....	499	555	525
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-452	-570	-507
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-454	-572	-509
4080 Outlays, net (discretionary) .....	45	-17	16
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	45	-17	16

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, material management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 069-4562-0-4-402	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	131	143	135
12.1 Civilian personnel benefits .....	47	51	47
21.0 Travel and transportation of persons .....	6	6	6
22.0 Transportation of things .....	11	6	6
23.3 Communications, utilities, and miscellaneous charges .....	11	13	12
25.2 Other services from non-Federal sources .....	219	259	231
26.0 Supplies and materials .....	97	98	79
31.0 Equipment .....	7	7	7
42.0 Insurance claims and indemnities .....		2	2
99.9 Total new obligations, unexpired accounts .....	529	585	525

Employment Summary

Identification code 069-4562-0-4-402	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,550	1,594	1,607

**Trust Funds**  
**AIRPORT AND AIRWAY TRUST FUND**  
**Program and Financing** (in millions of dollars)

Identification code 069-8103-0-7-402	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	13,404	14,212	14,715
5001 Total investments, EOY: Federal securities: Par value .....	14,212	14,715	15,274

Section 9502 of Title 26, U.S. Code provides for amounts equivalent to the funds received in the Treasury for the passenger ticket tax, and certain other taxes paid by airport and airway users, to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants; Federal Aviation Administration facilities and equipment; research, operations, and payment to air carriers; and for the Bureau of Transportation Statistics Office of Airline Information.

**Status of Funds** (in millions of dollars)

Identification code 069-8103-0-7-402	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	15,088	16,982	17,731
0999 Total balance, start of year .....	15,088	16,982	17,731
Cash income during the year:			
Current law:			
Receipts:			
1110 Excise Taxes, Airport and Airway Trust Fund .....	15,793	16,309	17,176
1130 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....	1	1	1
1130 Facilities and Equipment (Airport and Airway Trust Fund) .....	81	52	52
1150 Interest, Airport and Airway Trust Fund .....			
1150 Interest, Airport and Airway Trust Fund .....	288	382	471
1160 General Fund Payment, Grants-in-Aid for Airports .....	1,000	1,000	
1160 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....	1		
1160 Facilities and Equipment (Airport and Airway Trust Fund) .....	39	52	52
1160 Research, Engineering and Development (Airport and Airway Trust Fund) .....	9	11	11
1199 Income under present law .....	17,212	17,807	17,763
1999 Total cash income .....	17,212	17,807	17,763
Cash outgo during year:			
Current law:			
2100 Payments to Air Carriers [021-04-8304-0] .....	-154	-150	-137
2100 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) [021-12-8104-0] .....	-9,129	-8,886	-9,364
2100 Grants-in-aid for Airports (Airport and Airway Trust Fund) [021-12-8106-0] .....	-3,190	-4,146	-4,296
2100 Facilities and Equipment (Airport and Airway Trust Fund) [021-12-8107-0] .....	-2,683	-3,652	-3,626
2100 Research, Engineering and Development (Airport and Airway Trust Fund) [021-12-8108-0] .....	-160	-224	-196
2199 Outgo under current law .....	-15,316	-17,058	-17,619
2999 Total cash outgo (-) .....	-15,316	-17,058	-17,619
Surplus or deficit:			
3110 Excluding interest .....	1,608	367	-327
3120 Interest .....	288	382	471
3199 Subtotal, surplus or deficit .....	1,896	749	144
3298 Reconciliation adjustment .....	-2		
3299 Total adjustments .....	-2		
3999 Total change in fund balance .....	1,894	749	144
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	2,770	3,016	2,601
4200 Airport and Airway Trust Fund .....	14,212	14,715	15,274
4999 Total balance, end of year .....	16,982	17,731	17,875

**GRANTS-IN-AID FOR AIRPORTS**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(AIRPORT AND AIRWAY TRUST FUND)

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, \$3,000,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: Provided, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$3,350,000,000, in fiscal year 2020, notwithstanding section 47117(g) of title 49, United States Code: Provided further, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: Provided further, That notwithstanding any other provision of law, of funds limited under this heading, not more than \$112,353,000, shall be obligated for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than \$33,224,000 shall be available for Airport Technology Research.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8106-0-7-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants-in-aid for airports .....	3,303	3,180	3,190
0002 Personnel and related expenses .....	112	112	112
0003 Airport technology research .....	33	33	33
0005 Small community air service .....	12	10	
0006 Airport Cooperative Research .....	15	15	15
0007 Grants - General Fund Appropriation .....	205	995	
0008 Administrative Expenses - General Fund Appropriation .....		5	
0100 Total direct program .....	3,680	4,350	3,350
0799 Total direct obligations .....	3,680	4,350	3,350
0801 Grants-in-aid for Airports (Airport and Airway Trust Fund) (Reimbursable) .....		1	1
0900 Total new obligations, unexpired accounts .....	3,680	4,351	3,351
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	815	815
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	2	795	
1021 Recoveries of prior year unpaid obligations .....	125		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	144	815	815
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	4,000	4,000	3,000
1137 Appropriations applied to liquidate contract authority .....	-3,000	-3,000	-3,000
1160 Appropriation, discretionary (total) .....	1,000	1,000	
Contract authority, mandatory:			
1600 Contract authority (Reauthorization) .....	3,350	3,350	3,350
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1900 Budget authority (total) .....	4,351	4,351	3,351
1930 Total budgetary resources available .....	4,495	5,166	4,166
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	815	815	815
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,708	6,073	6,278
3010 New obligations, unexpired accounts .....	3,680	4,351	3,351
3020 Outlays (gross) .....	-3,190	-4,146	-4,296
3040 Recoveries of prior year unpaid obligations, unexpired .....	-125		

3050	Unpaid obligations, end of year .....	6,073	6,278	5,333
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5,708	6,073	6,278
3200	Obligated balance, end of year .....	6,073	6,278	5,333
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,001	1,001	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....	243	561	450
4011	Outlays from discretionary balances .....	2,947	3,585	3,846
4020	Outlays, gross (total) .....	3,190	4,146	4,296
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4033	Non-Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ....	-2	-1	-1
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4070	Budget authority, net (discretionary) .....	1,000	1,000	
4080	Outlays, net (discretionary) .....	3,188	4,145	4,295
Mandatory:				
4090	Budget authority, gross .....	3,350	3,350	3,350
4180	Budget authority, net (total) .....	4,350	4,350	3,350
4190	Outlays, net (total) .....	3,188	4,145	4,295
<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	3,114	3,464	3,814
5053	Obligated balance, EOY: Contract authority .....	3,464	3,814	4,164
5061	Limitation on obligations (Highway Trust Funds) .....	3,350	3,350	3,350

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety, and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs.

**Object Classification** (in millions of dollars)

Identification code 069-8106-0-7-402	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	78	73
11.5	Other personnel compensation .....	1	1
11.9	Total personnel compensation .....	79	74
12.1	Civilian personnel benefits .....	23	23
21.0	Travel and transportation of persons .....	3	3
23.2	Rental payments to others .....	1	1
25.1	Advisory and assistance services .....	26	27
25.2	Other services from non-Federal sources .....	2	1
25.3	Other goods and services from Federal sources .....	23	25
25.7	Operation and maintenance of equipment .....	4	4
26.0	Supplies and materials .....	1	1
31.0	Equipment .....	5	1
32.0	Land and structures .....	6	
41.0	Grants, subsidies, and contributions .....	3,508	4,174
94.0	Financial transfers .....	10	10
99.0	Direct obligations .....	3,679	4,350
99.0	Reimbursable obligations .....	1	1
99.9	Total new obligations, unexpired accounts .....	3,680	4,351

**Employment Summary**

Identification code 069-8106-0-7-402	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	564	599
2001	Reimbursable civilian full-time equivalent employment .....	1	2

**FACILITIES AND EQUIPMENT**

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of

necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, \$3,295,000,000, of which \$524,730,000 shall remain available until September 30, 2021 and \$2,770,270,000 shall remain available until September 30, 2022: Provided, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: Provided further, That no later than 60 days after the submission of the President's Budget request, the Secretary of Transportation shall transmit to the Congress an investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years 2021 through 2025, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8107-0-7-402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Engineering, development, test and evaluation .....	148	243
0002	Procurement and modernization of air traffic control (ATC) facilities and equipment .....	1,772	2,301
0003	Procurement and modernization of non-ATC facilities and equipment .....	179	223
0004	Mission support .....	242	274
0005	Personnel and related expenses .....	486	498
0006	Hurricane Sandy .....		2
0007	Spectrum Efficient National Surveillance Radar (SENSR) .....	7	4
0008	2017 Hurricanes / 2018 Supplemental .....	9	35
0100	Subtotal, direct program .....	2,843	3,580
0799	Total direct obligations .....	2,843	3,580
0801	Facilities and Equipment (Airport and Airway Trust Fund) (Reimbursable) .....	122	122
0900	Total new obligations, unexpired accounts .....	2,965	3,702
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1,468	2,013
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1,456	
1021	Recoveries of prior year unpaid obligations .....	42	
1033	Recoveries of prior year paid obligations .....	2	
1050	Unobligated balance (total) .....	1,512	2,013
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust fund) .....	3,330	3,250
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	106	104
1701	Change in uncollected payments, Federal sources .....	36	
1750	Spending auth from offsetting collections, disc (total) .....	142	104
1900	Budget authority (total) .....	3,472	3,354
1930	Total budgetary resources available .....	4,984	5,367
Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-6	
1941	Unexpired unobligated balance, end of year .....	2,013	1,665
Special and non-revolving trust funds:			
1950	Other balances withdrawn and returned to unappropriated receipts .....	24	
1951	Unobligated balance expiring .....	6	
1952	Expired unobligated balance, start of year .....	52	78
1953	Expired unobligated balance, end of year .....	48	78
1954	Unobligated balance canceling .....	24	

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,815	2,036
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	1	
3010	New obligations, unexpired accounts .....	2,965	3,702
3011	Obligations ("upward adjustments"), expired accounts .....	5	
3020	Outlays (gross) .....	-2,683	-3,652

FACILITIES AND EQUIPMENT—Continued  
Program and Financing—Continued

Identification code 069-8107-0-7-402	2018 actual	2019 est.	2020 est.
3040 Recoveries of prior year unpaid obligations, unexpired .....	-42		
3041 Recoveries of prior year unpaid obligations, expired .....	-25		
3050 Unpaid obligations, end of year .....	2,036	2,086	1,986
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-51	-76	-76
3070 Change in uncollected pymts, Fed sources, unexpired .....	-36		
3071 Change in uncollected pymts, Fed sources, expired .....	11		
3090 Uncollected pymts, Fed sources, end of year .....	-76	-76	-76
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,765	1,960	2,010
3200 Obligated balance, end of year .....	1,960	2,010	1,910

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	3,472	3,354	3,399
Outlays, gross:			
4010 Outlays from new discretionary authority .....	937	1,423	1,452
4011 Outlays from discretionary balances .....	1,743	2,224	2,174
4020 Outlays, gross (total) .....	2,680	3,647	3,626
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-39	-52	-52
4033 Non-Federal sources .....	-81	-52	-52
4040 Offsets against gross budget authority and outlays (total) ....	-120	-104	-104
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-36		
4052 Offsetting collections credited to expired accounts .....	12		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-22		
4070 Budget authority, net (discretionary) .....	3,330	3,250	3,295
4080 Outlays, net (discretionary) .....	2,560	3,543	3,522
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3	5	
4180 Budget authority, net (total) .....	3,330	3,250	3,295
4190 Outlays, net (total) .....	2,563	3,548	3,522

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Air-space System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

Object Classification (in millions of dollars)

Identification code 069-8107-0-7-402	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	317	328	341
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	8	8	8
11.9 Total personnel compensation .....	327	338	351
12.1 Civilian personnel benefits .....	103	106	110
21.0 Travel and transportation of persons .....	44	43	48
22.0 Transportation of things .....	4	3	3
23.1 Rental payments to GSA .....	2		
23.2 Rental payments to others .....	40	49	46
23.3 Communications, utilities, and miscellaneous charges .....	58	56	52
25.1 Advisory and assistance services .....	1,572	2,123	1,982
25.2 Other services from non-Federal sources .....	167	154	148
25.3 Other goods and services from Federal sources .....	24	53	49
25.4 Operation and maintenance of facilities .....	77	98	92
25.5 Research and development contracts .....		1	1
25.7 Operation and maintenance of equipment .....	84	77	72
25.8 Subsistence and support of persons .....		1	1
26.0 Supplies and materials .....	29	39	37

31.0 Equipment .....	185	248	233
32.0 Land and structures .....	125	187	175
41.0 Grants, subsidies, and contributions .....		4	4
44.0 Refunds .....	2		
99.0 Direct obligations .....	2,843	3,580	3,404
99.0 Reimbursable obligations .....	122	122	122
99.9 Total new obligations, unexpired accounts .....	2,965	3,702	3,526

Employment Summary

Identification code 069-8107-0-7-402	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,626	2,685	2,786
2001 Reimbursable civilian full-time equivalent employment .....	58	55	54

RESEARCH, ENGINEERING, AND DEVELOPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, \$120,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, 2022: Provided, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-8108-0-7-402	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0011 Improve aviation safety .....	87	134	105
0012 Improve Efficiency .....	19	22	16
0013 Reduce environmental impact of aviation .....	36	36	34
0014 Improve the efficiency of mission support .....	5	5	6
0100 Subtotal, direct program .....	147	197	161
0799 Total direct obligations .....	147	197	161
0801 Research, Engineering and Development (Airport and Airway Trust (Reimbursable) .....	9	9	9
0900 Total new obligations, unexpired accounts .....	156	206	170

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	76	119	115
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	78	121	117
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	189	189	120
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	7	11	11
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	9	11	11
1900 Budget authority (total) .....	198	200	131
1930 Total budgetary resources available .....	276	321	248
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	119	115	78
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts .....	2		
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	5	7	7
1953 Expired unobligated balance, end of year .....	4	7	7
1954 Unobligated balance canceling .....	2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	144	137	117
3010 New obligations, unexpired accounts .....	156	206	170
3020 Outlays (gross) .....	-160	-224	-196

3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	137	117	89
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	141	134	114
3200	Obligated balance, end of year .....	134	114	86
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	198	200	131
Outlays, gross:				
4010	Outlays from new discretionary authority .....	47	94	64
4011	Outlays from discretionary balances .....	113	130	132
4020	Outlays, gross (total) .....	160	224	196
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-9	-11	-11
4040	Offsets against gross budget authority and outlays (total) ....	-9	-11	-11
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052	Offsetting collections credited to expired accounts .....	2		
4070	Budget authority, net (discretionary) .....	189	189	120
4080	Outlays, net (discretionary) .....	151	213	185
4180	Budget authority, net (total) .....	189	189	120
4190	Outlays, net (total) .....	151	213	185

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve aviation safety, efficiency, and environmental sustainability. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as activities related to unmanned aircraft systems.

**Object Classification** (in millions of dollars)

Identification code 069-8108-0-7-402	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	28	31	32
12.1	Civilian personnel benefits .....	9	10	10
21.0	Travel and transportation of persons .....	1	3	1
25.1	Advisory and assistance services .....	21	30	23
25.2	Other services from non-Federal sources .....	48	67	52
25.3	Other goods and services from Federal sources .....	4	6	5
25.5	Research and development contracts .....	15	21	16
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	1	2	1
41.0	Grants, subsidies, and contributions .....	17	24	18
99.0	Direct obligations .....	147	197	161
99.0	Reimbursable obligations .....	9	9	9
99.9	Total new obligations, unexpired accounts .....	156	206	170

**Employment Summary**

Identification code 069-8108-0-7-402	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	223	245	245

**TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)**

**Program and Financing** (in millions of dollars)

Identification code 069-8104-0-7-402	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payment to Operations .....	8,886	8,851	9,364

0900	Total new obligations, unexpired accounts (object class 94.0) .....	8,886	8,851	9,364
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	8,886	8,851	9,364
1930	Total budgetary resources available .....	8,886	8,851	9,364
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	278	35	
3010	New obligations, unexpired accounts .....	8,886	8,851	9,364
3020	Outlays (gross) .....	-9,129	-8,886	-9,364
3050	Unpaid obligations, end of year .....	35		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	278	35	
3200	Obligated balance, end of year .....	35		
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	8,886	8,851	9,364
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8,851	8,851	9,364
4011	Outlays from discretionary balances .....	278	35	
4020	Outlays, gross (total) .....	9,129	8,886	9,364
4180	Budget authority, net (total) .....	8,886	8,851	9,364
4190	Outlays, net (total) .....	9,129	8,886	9,364

The 2020 Budget proposes \$10.340 billion for Federal Aviation Administration Operations, of which \$9.364 billion would be provided from the Airport and Airway Trust Fund.

**ADMINISTRATIVE PROVISIONS**

*SEC. 110. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303 and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.*

*SEC. 111. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.*

*SEC. 112. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.*

*SEC. 113. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.*

*SEC. 114. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Assistant Secretary for Administration of the Department of Transportation.*

*SEC. 115. Notwithstanding any other transfer restriction under this Act, not to exceed 10 percent of any appropriation made available for the current fiscal year for the Federal Aviation Administration by this Act or provided by previous appropriations Acts may be transferred between such appropriations for the Federal Aviation Administration, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfer: Provided, That funds transferred under this section shall be treated as a reprogramming of funds under section 404 of this Act and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer: Provided further, That any transfer from an amount made available for obligation as discretionary grants-in-aid for airports pursuant to section 47117(f) of title 49, United States Code shall be deemed as obligated for grants-in-aid for airports under part B of subtitle VII of title 49, United States Code for the purposes of complying with the limitation on incurring obligations in this appropriations Act or any other appropriations Act under the heading "Grants-in-Aid for Airports."*

**FEDERAL HIGHWAY ADMINISTRATION**

The 2020 Budget, which reflects the fifth year of the five-year Fixing America's Surface Transportation (FAST) Act at \$47,104 million, provides the needed funding to: improve the safety, condition, and performance of our national transportation infrastructure; promote innovation to address current and emerging transportation issues; and accelerate project delivery while also protecting the environment.

The table below reflects the budget authority requested for all existing FHWA programs.

	[In millions of dollars]		
	2018 actual	2019 est. (full-year CR)	2020 est.
<b>Budget Authority:</b>			
Federal-aid highways contract authority (HTF) .....	44,924	45,962	47,104
Federal-aid subject to limitation .....	44,234	45,269	46,365
Federal-aid highways exempt from the limitation .....	690	693	739
Rescission of Unobligated Balances of Apportioned Contract Authority as Required under the Fixing America's Surface Transportation Act (HTF) .....	0	0	-7,569
Highway Infrastructure Programs (GF) .....	2,525	2,525	300
Emergency Relief (GF) .....	1,374	0	0
Miscellaneous trust funds (TF) .....	21	21	21
Miscellaneous appropriations (TIFIA upward reestimate GF) .....	251	135	0
TIFIA General Fund Program Account Upward Reestimate (GF) .....	3	0	0
Highway Infrastructure Investment, Recovery Act (TIFIA upward reestimate GF) .....	111	0	0
Cancellations of Appropriated Budget Authority (GF) .....	0	0	-210
<b>Total Budget Authority .....</b>	<b>49,209</b>	<b>48,643</b>	<b>39,646</b>
<b>Total Mandatory .....</b>	<b>45,310</b>	<b>46,118</b>	<b>39,556</b>
<b>Obligation Limitation:</b>			
Federal-aid highways (HTF) .....	44,234	44,234	46,365

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Transit Administration and the National Highway Traffic Safety Administration. Federal-aid Highways contract authority reflects sequestration in 2018 and 2019. Cancellations of appropriated budget authority in FY 2020 are from the following accounts: Appalachian Development Highway System, Miscellaneous Appropriations, and Miscellaneous Highway Trust Fund.

**Federal Funds**

**MISCELLANEOUS APPROPRIATIONS**

**Program and Financing** (in millions of dollars)

Identification code 069-9911-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 69-X-0538 STP .....	12		
0003 69-X-9911 All Others .....	4		
0083 69-X-0505 TIFIA .....	251	135	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	267	135	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	135	126	126
1021 Recoveries of prior year unpaid obligations .....	7		
1050 Unobligated balance (total) .....	142	126	126
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....			-117
Appropriations, mandatory:			
1200 Appropriation .....	251	135	
1900 Budget authority (total) .....	251	135	-117
1930 Total budgetary resources available .....	393	261	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	126	126	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	36	18
3010 New obligations, unexpired accounts .....	267	135	
3020 Outlays (gross) .....	-276	-153	-8
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	36	18	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	36	18

3200	Obligated balance, end of year .....	36	18	10
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....			-117
Outlays, gross:				
4011	Outlays from discretionary balances .....	25	18	8
Mandatory:				
4090	Budget authority, gross .....	251	135	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	251	135	
4180	Budget authority, net (total) .....	251	135	-117
4190	Outlays, net (total) .....	276	153	8

This consolidated schedule shows obligations and outlays of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation Act (TIFIA) program upward re-estimate, and interest on the re-estimate of \$251 million for 2018 and \$135 million for 2019. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, and the Fixing America's Surface Transportation (FAST) Act included the TIFIA program upward subsidy re-estimate with this account. No further discretionary appropriations are requested for 2020. A cancellation of \$117 million of unobligated balances is proposed in 2020.

**EMERGENCY RELIEF PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-0500-0-1-401	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001 Emergency Relief Program (Direct) .....	1,121	1,258	322	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,121	1,258	322	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000 Unobligated balance brought forward, Oct 1 .....	1,237	1,580	322	
1021 Recoveries of prior year unpaid obligations .....	90			
1050 Unobligated balance (total) .....	1,327	1,580	322	
Budget authority:				
Appropriations, discretionary:				
1100 Appropriation .....	1,374			
1930 Total budgetary resources available .....	2,701	1,580	322	
Memorandum (non-add) entries:				
1941 Unexpired unobligated balance, end of year .....	1,580	322		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000 Unpaid obligations, brought forward, Oct 1 .....	700	966	1,588	
3010 New obligations, unexpired accounts .....	1,121	1,258	322	
3020 Outlays (gross) .....	-765	-636	-632	
3040 Recoveries of prior year unpaid obligations, unexpired .....	-90			
3050 Unpaid obligations, end of year .....	966	1,588	1,278	
Memorandum (non-add) entries:				
3100 Obligated balance, start of year .....	700	966	1,588	
3200 Obligated balance, end of year .....	966	1,588	1,278	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,374		
Outlays, gross:				
4010	Outlays from new discretionary authority .....	113		
4011	Outlays from discretionary balances .....	652	636	632
4020	Outlays, gross (total) .....	765	636	632
4180	Budget authority, net (total) .....	1,374		
4190	Outlays, net (total) .....	765	636	632

This account includes General Fund discretionary resources appropriated as needed for FHWA's Emergency Relief program, as authorized under 23 U.S.C. 125. In 2012, \$1,662 million was enacted to remain available until expended; in 2013, \$2,022 million was enacted to remain available until expended; in 2017, \$1,532 million was enacted to remain available until expended; and in 2018 \$1,374 million was enacted to remain available until expended, all for necessary expenses for repairing or reconstructing

highways seriously damaged as a result of major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). These appropriations have been provided to supplement the \$100 million authorized annually under 23 U.S.C. 125 for the Emergency Relief program and included in the Federal-Aid Highways account.

No further appropriations are requested for this account in 2020.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

Program and Financing (in millions of dollars)

Identification code 069-0640-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Appalachian Development Highway System .....	8		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	8		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	40	40
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	48	40	40
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....			-40
1930 Total budgetary resources available .....	48	40	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	40	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	11	5
3010 New obligations, unexpired accounts .....	8		
3020 Outlays (gross) .....	-4	-6	-4
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	11	5	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	11	5
3200 Obligated balance, end of year .....	11	5	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-40
Outlays, gross:			
4011 Outlays from discretionary balances .....	4	6	4
4180 Budget authority, net (total) .....			-40
4190 Outlays, net (total) .....	4	6	4

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System.

No funding is requested for 2020. A cancellation of \$40 million of unobligated balances is proposed in 2020.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 069-0549-0-1-401	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

In 1997, FHWA received an appropriation from the General Fund for the State Infrastructure Banks (SIBs) program.

All of the funds have been provided to the States to capitalize the infrastructure banks. Because the funding was provided as grants, and not loans, FHWA will not receive reimbursements of amounts expended for the SIBs program. No new budgetary resources are requested in 2020.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-0504-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	88		
0706 Interest on reestimates of direct loan subsidy .....	23		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	111		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	111		
1900 Budget authority (total) .....	111		
1930 Total budgetary resources available .....	111		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	111		
3020 Outlays (gross) .....	-111		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	111		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	111		
4180 Budget authority, net (total) .....	111		
4190 Outlays, net (total) .....	111		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0504-0-1-401	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 TIFIA TIGER Direct Loans (ARRA) .....	110	-9	

The American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration for highway infrastructure investment. As of September 30, 2015, States have expended 100% of Recovery Act obligations. As of September 30, 2015, Recovery Act funds are cancelled and are no longer available for expenditure. 2018 includes upward and downward re-estimates, and interest on the re-estimates for the Transportation Infrastructure Finance and Innovation Act program. No new budget authority is requested for 2020.

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4123-0-3-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,807	4,237	4,233
0713 Payment of interest to Treasury .....	488	558	700
0742 Downward reestimates paid to receipt accounts .....	659	344	
0743 Interest on downward reestimates .....	62	93	
0900 Total new obligations, unexpired accounts .....	3,016	5,232	4,933
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2,701	5,037	4,556

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM DIRECT LOAN  
FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 069-4123-0-3-401	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	948	682	607
1801 Change in uncollected payments, Federal sources .....	-109	-289	-83
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-35		
1825 Spending authority from offsetting collections applied to repay debt .....	-486	-202	-147
1850 Spending auth from offsetting collections, mand (total) .....	318	191	377
1900 Budget authority (total) .....	3,019	5,228	4,933
1930 Total budgetary resources available .....	3,020	5,232	4,933
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11,479	10,735	9,599
3010 New obligations, unexpired accounts .....	3,016	5,232	4,933
3020 Outlays (gross) .....	-3,760	-6,368	-3,198
3050 Unpaid obligations, end of year .....	10,735	9,599	11,334
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-628	-519	-230
3070 Change in uncollected pymts, Fed sources, unexpired .....	109	289	83
3090 Uncollected pymts, Fed sources, end of year .....	-519	-230	-147
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,851	10,216	9,369
3200 Obligated balance, end of year .....	10,216	9,369	11,187

Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross .....	3,019	5,228	4,933
Financing disbursements:			
4110 Outlays, gross (total) .....	3,760	6,368	3,198
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: subsidy from program account .....	-289	-289	-136
4120 Federal sources: Upward Reestimate .....	-161	-109	
4120 Federal sources: Interest on upward reestimate .....	-90	-26	
4122 Interest on uninvested funds .....	-38	-10	
4123 Non-Federal sources - Interest payments .....	-168	-181	-324
4123 Non-Federal sources - Principal payments .....	-202	-67	-147
4130 Offsets against gross budget authority and outlays (total) ....	-948	-682	-607
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	109	289	83
4160 Budget authority, net (mandatory) .....	2,180	4,835	4,409
4170 Outlays, net (mandatory) .....	2,812	5,686	2,591
4180 Budget authority, net (total) .....	2,180	4,835	4,409
4190 Outlays, net (total) .....	2,812	5,686	2,591

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-0-3-401	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	1,807	4,237	4,233
1150 Total direct loan obligations .....	1,807	4,237	4,233
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	12,677	15,351	21,055
1231 Disbursements: Direct loan disbursements .....	2,550	5,371	2,498
1251 Repayments: Repayments and prepayments .....	-220	-67	-147
1261 Adjustments: Capitalized interest .....	325	400	400
1264 Other adjustments, net (+ or -) .....	19		
1290 Outstanding, end of year .....	15,351	21,055	23,806

Balance Sheet (in millions of dollars)

Identification code 069-4123-0-3-401	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	1	3
Investments in U.S. securities:		
1106 Receivables, net .....	312	103

Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	12,677	15,351
1402 Interest receivable .....		
1404 Foreclosed property .....	167	167
1405 Allowance for subsidy cost (-) .....	-236	-199
1499 Net present value of assets related to direct loans .....	12,608	15,319
1999 Total assets .....	12,921	15,425
LIABILITIES:		
Federal liabilities:		
2103 Debt .....	12,139	14,988
2105 Other .....	782	437
2999 Total liabilities .....	12,921	15,425
NET POSITION:		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	12,921	15,425

TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION,  
TRANSPORTATION

Program and Financing (in millions of dollars)

Identification code 069-0542-0-1-401	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
Credit program obligations:			
0706 Interest on reestimates of direct loan subsidy .....	3		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3		

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3		
1900 Budget authority (total) .....	3		
1930 Total budgetary resources available .....	3		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2		
3010 New obligations, unexpired accounts .....	3		
3020 Outlays (gross) .....	-5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2		

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2		
Mandatory:			
4090 Budget authority, gross .....	3		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3		
4180 Budget authority, net (total) .....	3		
4190 Outlays, net (total) .....	5		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0542-0-1-401	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 TIFIA TIGER Direct Loans .....	-1	-12	

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs under the Transportation Infrastructure Finance and Innovation Act program.

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT, FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION

Program and Financing (in millions of dollars)

Identification code 069-4348-0-3-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	28	31	32
0742	4	10	
0743		1	
0900	32	42	32

Budgetary resources:

Identification code 069-4348-0-3-401	2018 actual	2019 est.	2020 est.
Financing authority:			
Borrowing authority, mandatory:			
1400	28	33	19
Spending authority from offsetting collections, mandatory:			
1800	14	11	15
1801	-2		
1825	-8	-2	-2
1850	4	9	13
1900	32	42	32
1930	32	42	32

Change in obligated balance:

Unpaid obligations:			
3000	79		
3010	32	42	32
3020	-111	-42	-32
Uncollected payments:			
3060	-2		
3070	2		
Memorandum (non-add) entries:			
3100	77		

Financing authority and disbursements, net:

Mandatory:			
4090	32	42	32
Financing disbursements:			
4110	111	42	32
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-4		
4122	-2	-1	-1
4123	-3	-8	-12
4123	-5	-2	-2
4130	-14	-11	-15
Additional offsets against financing authority only (total):			
4140	2		
4160	20	31	17
4170	97	31	17
4180	20	31	17
4190	97	31	17

Status of Direct Loans (in millions of dollars)

Identification code 069-4348-0-3-401	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210	985	1,082	1,101
1231	79		
1251	-5	-2	-2
1261	23	21	17
1290	1,082	1,101	1,116

Balance Sheet (in millions of dollars)

Identification code 069-4348-0-3-401	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1106	3	
Net value of assets related to post-1991 direct loans receivable:		
1401	985	1,082
1405	-45	-34
1499	940	1,048

1999	Total assets	943	1,048
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt	939	1,036
2105	Other	4	12
2999	Total liabilities	943	1,048
<b>NET POSITION:</b>			
3300	Cumulative results of operations		
4999	Total liabilities and net position	943	1,048

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-4347-0-3-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713	21	2	2
0742	1	1	
0743		8	
0900	22	11	2

Budgetary resources:

Unobligated balance:			
1000		8	8
Financing authority:			
Borrowing authority, mandatory:			
1400	2	9	
Spending authority from offsetting collections, mandatory:			
1800	613	2	2
1825	-585		
1850	28	2	2
1900	30	11	2
1930	30	19	10
Memorandum (non-add) entries:			
1941	8	8	8

Change in obligated balance:

Unpaid obligations:			
3010	22	11	2
3020	-22	-11	-2

Financing authority and disbursements, net:

Mandatory:			
4090	30	11	2
Financing disbursements:			
4110	22	11	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-111		
4122	-19		
4123	-5	-2	-2
4123	-478		
4130	-613	-2	-2
4160	-583	9	
4170	-591	9	
4180	-583	9	
4190	-591	9	

Status of Direct Loans (in millions of dollars)

Identification code 069-4347-0-3-401	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210	536	59	60
1251	-478		
1261	1	1	1
1290	59	60	61

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT—Continued

Balance Sheet (in millions of dollars)

Identification code 069-4347-0-3-401	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....		8
Investments in U.S. securities:		
1106 Receivables, net .....	3	
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	536	59
1405 Allowance for subsidy cost (-) .....	102	-1
1499 Net present value of assets related to direct loans .....	638	58
1999 Total assets .....	641	66
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	640	57
2105 Other .....	1	9
2999 Total liabilities .....	641	66
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....		
3300 Cumulative results of operations .....		
3999 Total net position .....		
4999 Total liabilities and net position .....	641	66

HIGHWAY INFRASTRUCTURE PROGRAMS

For a competitive highway bridge program, \$300,000,000, to remain available through September 30, 2023: Provided, That the amounts made available under this heading shall be derived from the general fund, shall be in addition to any funds provided for fiscal year 2020 in this or any other Act for "Federal-aid Highways" under chapter 1 of title 23, United States Code, and shall not affect the distribution or amount of funds provided in any other Act: Provided further, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading: Provided further, That the funds made available under this heading shall be (1) used for discretionary grants to States (as defined in section 101(a)(26) of title 23, United States Code), for replacement or rehabilitation projects on highway bridges on public roads classified as rural in the 2018 National Bridge Inventory that demonstrate cost savings by bundling multiple highway bridge projects and (2) administered as if apportioned under chapter 1 of title 23, United States Code: Provided further, That the funds made available under this heading shall not be subject to the requirements of section 144(j)(5) of title 23.

Program and Financing (in millions of dollars)

Identification code 069-0548-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Puerto Rico highway program funds .....	2	16	16
0002 Territorial highway program funds .....	2	4	4
0003 Competitive bridge program funds .....		225	300
0004 Surface transportation block grant-eligible (23 USC 133(b)(1)(A)) formula program funds .....	461	1,385	1,158
0005 Nationally significant federal lands and tribal projects program funds .....		300	300
0900 Total new obligations, unexpired accounts (object class 41.0) .....	465	1,930	1,778
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2,060	2,655
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,525	2,525	300
1930 Total budgetary resources available .....	2,525	4,585	2,955
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,060	2,655	1,177
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		307	1,937
3010 New obligations, unexpired accounts .....	465	1,930	1,778
3020 Outlays (gross) .....	-158	-300	-1,097
3050 Unpaid obligations, end of year .....	307	1,937	2,618

Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		307	1,937
3200 Obligated balance, end of year .....	307	1,937	2,618

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	2,525	2,525	300
Outlays, gross:			
4010 Outlays from new discretionary authority .....	158	227	27
4011 Outlays from discretionary balances .....		73	1,070
4020 Outlays, gross (total) .....	158	300	1,097
4180 Budget authority, net (total) .....	2,525	2,525	300
4190 Outlays, net (total) .....	158	300	1,097

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code. The authority for this appropriation is Division A, Title I of P.L. 111-117 (Consolidated Appropriations Act, 2010), Section 122 and was available through 2012. In 2018, the Congress appropriated from the General Fund \$2,525 million, of which \$1,980 million was appropriated for the construction of highways, bridges, and tunnels eligible under section 133(b)(1)(A) of title 23, United States Code; \$15.8 million was appropriated for activities eligible under the Puerto Rico Highway Program as described in section 165(b)((2)(C) of title 23, United States Code; \$4.2 million was appropriated for activities eligible under the Territorial Highway Program as described in section 165(c)(6) of title 23, United States Code; \$300 million was appropriated for the Nationally Significant Federal Lands and Tribal Projects program under section 1123 of the Fixing America's Surface Transportation Act, P.L. 114-94; and \$225 million was appropriated for a competitive highway bridge program for States that have a population density of less than 100 individuals per square mile. The authority for this appropriation is Division L, Title I of P.L. 115-141 (Consolidated Appropriations Act, 2018), and is available through 2021, except for the \$300 million for the Nationally Significant Federal Lands and Tribal Projects program which is available until expended.

FHWA is requesting \$300 million in 2020 for a competitive highway bridge program.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8402-0-8-401	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	4	
3020 Outlays (gross) .....		-4	
3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		4	

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998, but will continue to be shown for reporting purposes

as loan balances remain outstanding. No new budgetary resources are requested in 2020.

HIGHWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8102-0-7-401	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	52,332	41,212	31,005
5001 Total investments, EOY: Federal securities: Par value	41,212	31,005	16,644

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax, and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law. To reflect the Highway Trust Fund's structural insolvency, the Budget presents an adjusted baseline that reflects the outlays that can be supported by available revenues, reducing outlays by \$146 billion over the 10-year window. Per the Cash Management Improvement Act of 1990, this account reflects the net of State interest liability and adjusted Federal interest liability payments to or from States.

The following is the status of Highway Trust Fund.

**Cash balances.**—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand (i.e., uninvested balance). Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

**Revenues.**—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit Accounts of the Highway Trust Fund in the same manner as current law.

**General Fund Transfers.**—The Fixing America's Surface Transportation (FAST) Act (Public Law 114-94) authorized the transfer into the Highway Trust Fund of \$70.0 billion from the General Fund of the Treasury in 2016. This transfer was not subject to sequestration. The FAST Act authorized the transfer of \$100 million into the Highway Trust Fund from the Leaking Underground Storage Tank (LUST) Trust Fund in both 2017 and 2018. The 2017 and 2018 LUST Trust Fund transfers were subject to sequestration.

Status of Funds (in millions of dollars)

Identification code 069-8102-0-7-401	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year	56,293	44,507	31,904
0999 Total balance, start of year	56,293	44,507	31,904
Cash income during the year:			
Current law:			
Receipts:			
1110 Highway Trust Fund, Deposits (Highway Account)	37,243	37,498	38,059
1110 Highway Trust Fund, Deposits (Mass Transit Account)	5,370	5,274	5,289
1120 Motor Carrier Safety Operations and Programs	27	32	32
1130 Federal-aid Highways	249		
1150 CMIA Interest, Highway Trust Fund (highway Account)	1		
1150 Earnings on Investments, Highway Trust Fund	745	862	559
1160 Transfer from the Leaking Underground Storage Tank Trust Fund, Highway Trust Fund (Highway Account)	93		
1160 Federal-aid Highways	61	380	380
1160 Operations and Research (Highway Trust Fund)	3	10	10
1199 Income under present law	43,792	44,056	44,329
1999 Total cash income	43,792	44,056	44,329

Cash outgo during year:

Current law:			
2100 Federal-aid Highways [021-15-8083-0]	-44,015	-44,719	-45,494
2100 Right-of-way Revolving Fund Liquidating Account [021-15-8402-0]		-4	
2100 Miscellaneous Highway Trust Funds [021-15-9972-0]	-9	-10	-6
2100 National Motor Carrier Safety Program [021-17-8048-0]	-2	-3	
2100 Motor Carrier Safety Grants [021-17-8158-0]	-317	-391	-510
2100 Motor Carrier Safety Operations and Programs [021-17-8159-0]	-289	-319	-330
2100 Operations and Research (Highway Trust Fund) [021-18-8016-0]	-155	-164	-171
2100 Highway Traffic Safety Grants [021-18-8020-0]	-687	-699	-684
2100 Transit Formula Grants [021-36-8350-0]	-10,106	-10,350	-10,945
2199 Outgo under current law	-55,580	-56,659	-58,140
2999 Total cash outgo (-)	-55,580	-56,659	-58,140
Surplus or deficit:			
3110 Excluding interest	-12,534	-13,465	-14,370
3120 Interest	746	862	559
3199 Subtotal, surplus or deficit	-11,788	-12,603	-13,811
3220 Miscellaneous Highway Trust Funds			-52
3230 Federal-aid Highways	-104	-102	
3230 Federal-aid Highways	-1,700	-1,300	-1,300
3230 Federal-aid Highways	66		
3230 Highway Traffic Safety Grants	104	102	
3230 Transit Formula Grants	-66		
3230 Transit Formula Grants	1,700	1,300	1,300
3298 Rounding adjustment	2		
3299 Total adjustments	2		-52
3999 Total change in fund balance	-11,786	-12,603	-13,863
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	3,295	899	1,397
4200 Highway Trust Fund	41,212	31,005	16,644
4999 Total balance, end of year	44,507	31,904	18,041

FEDERAL-AID HIGHWAYS

LIMITATION ON ADMINISTRATIVE EXPENSES

(HIGHWAY TRUST FUND)

*Not to exceed \$453,549,689, together with advances and reimbursements received by the Federal Highway Administration, shall be obligated for necessary expenses for administration and operation of the Federal Highway Administration in accordance with section 104(a) of title 23, United States Code. In addition, \$3,248,000 shall be transferred to the Appalachian Regional Commission in accordance with section 104(a) of title 23, United States Code.*

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

*Funds available for the implementation or execution of Federal-aid highway and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of the Fixing America's Surface Transportation Act shall not exceed total obligations of \$46,365,092,000 for fiscal year 2020: Provided, That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code.*

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

*For the payment of obligations incurred in carrying out Federal-aid highway and highway safety construction programs authorized under title 23, United States Code, \$47,104,092,000 derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

FEDERAL-AID HIGHWAYS—Continued  
Program and Financing (in millions of dollars)

Identification code 069-8083-0-7-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Surface transportation block grant program .....	13,063	13,294	13,911
0014 National highway performance program .....	20,544	20,890	21,885
0015 Congestion mitigation and air quality improvement program ....	1,276	1,299	1,359
0016 Highway safety improvement program .....	2,964	3,016	3,156
0017 Metropolitan planning program .....	268	273	285
0019 National highway freight program .....	1,015	1,033	1,081
0020 Nationally significant freight and highway projects .....	88	1,125	1,800
0024 Federal lands and tribal programs .....	688	704	719
0029 Research, technology and education program .....	284	285	285
0032 Administration - LAE .....	437	441	455
0033 Administration - ARC .....	2	2	2
0058 Other programs .....	2,499	1,250	625
0091 Programs subject to obligation limitation .....	43,128	43,612	45,563
0211 Exempt Programs .....	832	796	834
0500 Total direct program .....	43,960	44,408	46,397
Credit program obligations:			
0701 Direct loan subsidy .....	143	267	242
0703 Subsidy for modifications of direct loans .....	37		
0709 Administrative expenses .....	5	8	8
0791 Direct program activities, subtotal .....	185	275	250
0799 Total direct obligations .....	44,145	44,683	46,647
0801 Federal-aid Highways (Reimbursable) .....	281	380	380
0900 Total new obligations, unexpired accounts .....	44,426	45,063	47,027
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	23,333	22,825	22,702
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	407	486	
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8350] .....	22		
1050 Unobligated balance (total) .....	23,355	22,825	22,702
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	44,973	44,973	47,104
1120 Appropriations transferred to other accts [069-8350] .....	-1,700	-1,300	-1,300
1120 Appropriations transferred to other accts [069-8020] .....	-104	-102	
1121 Appropriations transferred from other acct [069-8350] .....	66		
1137 Appropriations applied to liquidate contract authority .....	-43,235	-43,571	-45,804
Contract authority, mandatory:			
1600 Contract authority .....	44,973	46,008	47,104
1610 Transferred to other accounts [069-8350] .....	-1,336	-1,300	-1,300
1610 Transferred to other accounts [069-8020] .....	-104	-102	
1611 Transferred from other accounts [069-8350] .....	45		
1620 Contract authority and/or unobligated balance of contract authority permanently reduced .....			-7,569
1621 Contract authority temporarily reduced .....	-49	-46	
1640 Contract authority, mandatory (total) .....	43,529	44,560	38,235
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	310	380	380
1701 Change in uncollected payments, Federal sources .....	57		
1750 Spending auth from offsetting collections, disc (total) .....	367	380	380
1900 Budget authority (total) .....	43,896	44,940	38,615
1930 Total budgetary resources available .....	67,251	67,765	61,317
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22,825	22,702	14,290
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	62,395	62,806	63,150
3010 New obligations, unexpired accounts .....	44,426	45,063	47,027
3020 Outlays (gross) .....	-44,015	-44,719	-45,494
3050 Unpaid obligations, end of year .....	62,806	63,150	64,683
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-575	-632	-632
3070 Change in uncollected pymts, Fed sources, unexpired .....	-57		
3090 Uncollected pymts, Fed sources, end of year .....	-632	-632	-632
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61,820	62,174	62,518
3200 Obligated balance, end of year .....	62,174	62,518	64,051
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	367	380	380

Outlays, gross:				
4010	Outlays from new discretionary authority .....	11,675	11,944	12,548
4011	Outlays from discretionary balances .....	31,565	32,015	32,175
4020	Outlays, gross (total) .....	43,240	43,959	44,723
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-61	-380	-380
4033	Non-Federal sources .....	-249		
4040	Offsets against gross budget authority and outlays (total) ....	-310	-380	-380
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-57		
4080	Outlays, net (discretionary) .....	42,930	43,579	44,343
Mandatory:				
4090	Budget authority, gross .....	43,529	44,560	38,235
Outlays, gross:				
4100	Outlays from new mandatory authority .....	177	187	200
4101	Outlays from mandatory balances .....	598	573	571
4110	Outlays, gross (total) .....	775	760	771
4180	Budget authority, net (total) .....	43,529	44,560	38,235
4190	Outlays, net (total) .....	43,705	44,339	45,114

Memorandum (non-add) entries:				
5052	Obligated balance, SOY: Contract authority .....	56,385	56,701	57,690
5053	Obligated balance, EOY: Contract authority .....	56,701	57,690	50,121
5061	Limitation on obligations (Highway Trust Funds) .....	42,839	42,832	45,065
5099	Unexpired unavailable balance, SOY: Contract authority .....	208	257	303
5100	Unexpired unavailable balance, EOY: Contract authority .....	257	303	303

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8083-0-7-401	2018 actual	2019 est.	2020 est.	
Direct loan levels supportable by subsidy budget authority:				
115002	TIFIA Direct Loans .....	1,807	4,237	4,233
115999	Total direct loan levels .....	1,807	4,237	4,233
Direct loan subsidy (in percent):				
132002	TIFIA Direct Loans .....	7.90	6.30	5.72
132999	Weighted average subsidy rate .....	7.90	6.30	5.72
Direct loan subsidy budget authority:				
133002	TIFIA Direct Loans .....	143	267	242
133999	Total subsidy budget authority .....	143	267	242
Direct loan subsidy outlays:				
134002	TIFIA Direct Loans .....	289	289	136
134999	Total subsidy outlays .....	289	289	136
Direct loan reestimates:				
135002	TIFIA Direct Loans .....	-470	-302	
135999	Total direct loan reestimates .....	-470	-302	
Administrative expense data:				
3510	Budget authority .....	5	8	8
3590	Outlays from new authority .....	5	8	8

The Federal-aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. All programs included within the FAH program are proposed to be financed from the Highway Account of the Highway Trust Fund, and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

The Federal Highway Administration's (FHWA) 2020 budget consists of the following programs: Highway Safety Improvement Program; National Highway Freight Program; National Highway Performance Program; Surface Transportation Block Grant Program; Congestion Mitigation and Air Quality Improvement Program; Metropolitan Transportation Planning Program; Federal Lands and Tribal Transportation Programs; Nationally Significant Freight and Highway Projects; Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; Research, Technology and Education Program; and Federal Allocation Programs.

*Highway Safety Improvement Program.*—The Highway Safety Improvement Program (\$2.7 billion) provides funding to significantly reduce traffic fatalities and serious injuries on all public roads, including non-State-owned

public roads and roads on Tribal lands. FHWA, through national leadership and innovation, focuses on improving the safety of roadway infrastructure on all public roads. The program provides a data- and performance-driven strategic approach to improving traffic safety to reduce fatalities and serious injuries. It strengthens coordination among all highway safety modes, including the National Highway Traffic Safety Administration and Federal Motor Carrier Safety Administration, in conjunction with all Department safety initiatives. It continues the requirement that each State utilize a Strategic Highway Safety Plan. This statewide, coordinated safety plan provides a comprehensive framework for establishing statewide goals, objectives, and performance targets while ensuring the effective use of safety-focused funding. The Highway Safety Improvement Program includes a \$245 million targeted set-aside for the Railway-Highway Crossings Program to reduce the number of fatalities, injuries, and crashes at public grade crossings.

*National Highway Freight Program.*—The National Highway Freight Program (\$1.5 billion) is a formula program established by the FAST Act that provides States with necessary funds for vital projects that will improve the movement of freight on the National Highway Freight Network, which comprises the 41,500-mile Primary Highway Freight System (PHFS), all other Interstates not on the PHFS, and other State-identified critical rural and urban corridors. The FAST Act requires all States using formula dollars to complete a multimodal State Freight Plan.

*National Highway Performance Program.*—The National Highway Performance Program (\$24.2 billion) is a formula-based program that focuses significant Federal resources to support the condition and performance of the National Highway System (NHS), to support the construction of new facilities on the NHS, and to ensure that investments of Federal-aid funds in highway construction support progress toward the achievement of performance targets for the NHS. The program includes performance management features, holds States accountable for achieving performance targets, and provides flexibility to States for making transportation investment decisions. The 220,000-mile NHS comprises rural and urban roads serving major population centers, international border crossings, intermodal transportation facilities, and major travel destinations. The NHS includes the Interstate System, all principal arterials, intermodal connectors, and other roads important to mobility, commerce, national defense, and intermodal connectivity. The NHS provides mobility to the vast majority of the Nation's population and almost all of its commerce, supports national defense, and promotes intermodal connectivity.

*Surface Transportation Block Grant Program.*—The Surface Transportation Block Grant Program (\$12.1 billion) provides flexible funding that may be used by States and localities for projects to preserve and improve the condition and performance on any Federal-aid highway, bridge and safety projects on any public road, and facilities for non-motorized transportation. The flexible nature of this program allows States to direct funding to areas of greatest need while also fostering innovation. This program gives State transportation agencies the ability to target funding to State and local priorities. States will identify projects for funding in consultation with local transportation officials in rural areas and in cooperation with the Metropolitan Planning Organization (MPO) in metropolitan areas.

*Congestion Mitigation and Air Quality Improvement Program.*—The Congestion Mitigation and Air Quality (CMAQ) Improvement Program (\$2.5 billion) will help States, local governments, and private-sector sponsors reduce highway congestion and harmful emissions, and assist many areas in reaching attainment of the National Ambient Air Quality Standards (NAAQS). The CMAQ program provides a flexible funding source for State and local governments to fund transportation projects and programs that are designed to help localities meet the requirements of the Clean Air Act and its amendments, and help reduce regional congestion on transportation networks. CMAQ investments support transportation projects that are designed to reduce the emissions from mobile sources in areas that have been designated as in nonattainment or in maintenance of the NAAQS by the Environmental Protection Agency.

*Metropolitan Transportation Planning Program.*—The Metropolitan Transportation Planning Program (\$359 million) provides funds for use by Metropolitan Planning Organizations for multimodal transportation planning and programming in metropolitan areas. Metropolitan planning activities include: the collection and analysis of data on demographics, trends, and system performance; travel demand and system performance forecasting; identification and prioritization of transportation system improvement needs; and coordination of the planning process and decision-making with the public, elected officials, and stakeholder groups. The planning process will provide consideration for projects that increase safety, support economic vitality, increase accessibility, mobility, and connectivity, protect and enhance the environment, emphasize the preservation of existing infrastructure, and increase security of the transportation system.

*Federal Lands and Tribal Transportation Programs.*—The Federal Lands and Tribal Transportation Programs (\$1.2 billion) provide funding for transportation construction and engineering projects on Federal and tribal lands that will: provide multi-modal access to basic community services including safer all-weather access to schools and healthcare facilities for 567 federally-recognized sovereign tribal governments; improve multi-modal access to recreational areas on federal lands; and expand economic development in and around federal and tribal lands while preserving the environment and reducing congestion.

*Nationally Significant Freight and Highway Projects.*—The Nationally Significant Freight and Highway Projects (\$1.0 billion) program is a discretionary grant program, established by the FAST Act, for major freight and highway projects that will improve the safety, efficiency, and reliability of the movement of freight and people. Through the advancement of construction-ready projects, this program will enhance the Nation's freight movement.

*Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.*—The TIFIA Program (\$300 million) provides contract authority to cover the subsidy cost of providing credit assistance for nationally or regionally significant transportation projects. The TIFIA Program leverages Federal dollars in a time of scarce budgetary resources, facilitating private participation in transportation projects and encouraging innovative financing mechanisms that help advance projects sooner. This program offers flexible repayment terms and attracts private capital to facilitate transportation projects that would otherwise go unfunded.

*Research, Technology, and Education Program.*—The Research, Technology, and Education (RT&E) Program (\$420 million) provides for a comprehensive, nationally-coordinated research, technology, and education program that will advance the Department of Transportation's goals, while accelerating innovation delivery and technology implementation. FHWA research, development and technology activities include: a highway research and development program; a technology and innovation deployment program; an intelligent transportation systems program; and a training and education program. The RT&E Program supports activities in the areas of safety, infrastructure, and innovation. FHWA is in a unique leadership position to identify and address issues that require high-risk, long-term research, and research on emerging issues of national significance. FHWA's leadership role is necessary to build effective partnerships to maximize the investment in the transportation system. The entire innovation lifecycle is covered under the RT&E Program umbrella from agenda setting to the deployment of technologies and innovations.

*Federal Allocation Programs.*—This categorization consists of funding (\$404 million) for several important programs: Emergency Relief; Territorial and Puerto Rico Highway Program; Construction of Ferry Boats and Ferry Terminal Facilities; On-the-Job Training; Disadvantaged Business Enterprise; and Highway Use Tax Evasion Projects. The Emergency Relief Program has been funded through a recurring annual authorization of \$100 million since 1972. Emergency Relief funding assists Federal, State, tribal, and local governments with the expense of repairing serious damage to Federal-aid, Tribal, and Federal Lands highways resulting from natural disasters or catastrophic failures. The Territorial and Puerto Rico Highway

FEDERAL-AID HIGHWAYS—Continued

Program provides funding for critical highway programs in Puerto Rico and the four territories of American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the United States Virgin Islands. The Construction of Ferry Boats and Ferry Terminal Facilities program provides funding for the construction of ferry boats and ferry terminal facilities which will improve connectivity between NHS segments, reduce congestion, and in many cases, provide the only reasonable form of transportation, particularly on coastal islands with year-round residents. The On-the-Job Training program provides funding for developing, conducting, and administering surface transportation and technology training, including skill improvement programs and job readiness. The Disadvantaged Business Enterprise program provides funding for developing, conducting, and administering training and assistance programs to increase the proficiency of minority businesses to compete, on an equal basis, for contracts and subcontracts. The Highway Use Tax Evasion Projects program provides funding to the Internal Revenue Service, other Federal agencies, and the States to carry out intergovernmental enforcement efforts along with training and research to reduce evasion of payment of motor fuel and other highway use taxes, which are the principal sources for Federal and State highway funding.

Object Classification (in millions of dollars)

Identification code 069-8083-0-7-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	293	297	299
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	31	31	31
11.9 Total personnel compensation .....	327	331	333
12.1 Civilian personnel benefits .....	99	100	100
21.0 Travel and transportation of persons .....	22	22	22
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	32	29	30
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	81	81	81
25.2 Other services from non-Federal sources .....	420	420	420
25.3 Other goods and services from Federal sources .....	282	282	282
25.4 Operation and maintenance of facilities .....	27	27	27
25.7 Operation and maintenance of equipment .....	46	46	46
26.0 Supplies and materials .....	7	7	7
31.0 Equipment .....	13	13	13
32.0 Land and structures .....	4	4	4
33.0 Investments and loans .....	143	249	249
41.0 Grants, subsidies, and contributions .....	42,635	43,065	45,026
99.0 Direct obligations .....	44,145	44,683	46,647
99.0 Reimbursable obligations .....	281	380	380
99.9 Total new obligations, unexpired accounts .....	44,426	45,063	47,027

Employment Summary

Identification code 069-8083-0-7-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,389	2,423	2,442
2001 Reimbursable civilian full-time equivalent employment .....	240	240	240
3001 Allocation account civilian full-time equivalent employment .....	2	2	2

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-9971-0-7-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust .....	21	21	21
2000 Total: Balances and receipts .....	21	21	21

<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Miscellaneous Trust Funds .....	-21	-21	-21
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 069-9971-0-7-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Advances from State cooperating agencies 69-X-8054 .....	23	26	26
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	109	112	107
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	114	112	107
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	21	21	21
1930 Total budgetary resources available .....	135	133	128
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	112	107	102
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	65	51	44
3010 New obligations, unexpired accounts .....	23	26	26
3020 Outlays (gross) .....	-32	-33	-33
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	51	44	37
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	65	51	44
3200 Obligated balance, end of year .....	51	44	37
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	21	21	21
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	2	17	17
4101 Outlays from mandatory balances .....	30	16	16
4110 Outlays, gross (total) .....	32	33	33
4180 Budget authority, net (total) .....	21	21	21
4190 Outlays, net (total) .....	32	33	33

The Miscellaneous Trust Funds account reflects work performed by the Federal Highway Administration (FHWA) on behalf of other entities.

*Advances from State cooperating agencies and foreign governments.*—Contributions are received from other entities in connection with cooperative engineering, survey, maintenance, and construction projects.

*Contributions for highway research programs.*—Contributions are received from various sources in support of FHWA transportation research programs. The funds are used primarily in support of pooled-funds projects.

The Budget estimates that \$21 million of new authority will be available from non-FHWA sources in 2020.

Object Classification (in millions of dollars)

Identification code 069-9971-0-7-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services from non-Federal sources .....	7	8	8
25.3 Other goods and services from Federal sources .....	12	15	15
99.0 Direct obligations .....	22	26	26
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	23	26	26

**Employment Summary**

Identification code 069-9971-0-7-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9	9	9

**MISCELLANEOUS HIGHWAY TRUST FUNDS**

**Program and Financing** (in millions of dollars)

Identification code 069-9972-0-7-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0027 Obligations by program activity Miscellaneous highway projects .....	7		
0100 Direct program activities, subtotal .....	7		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	71	66	66
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	73	66	66
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....			-52
1930 Total budgetary resources available .....	73	66	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	66	66	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	25	15
3010 New obligations, unexpired accounts .....	7		
3020 Outlays (gross) .....	-9	-10	-6
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	25	15	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	25	15
3200 Obligated balance, end of year .....	25	15	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-52
Outlays, gross:			
4011 Outlays from discretionary balances .....	9	10	6
4180 Budget authority, net (total) .....			-52
4190 Outlays, net (total) .....	9	10	6

This account contains miscellaneous appropriations from the Highway Trust Fund. Obligations and outlays result from prior year appropriations. No new budget authority is requested for 2020. A cancellation of \$52 million of unobligated balances is proposed in 2020.

**ADMINISTRATIVE PROVISIONS**

**SEC. 120.** (a) For fiscal year 2020, the Secretary of Transportation shall—  
 (1) not distribute from the obligation limitation for Federal-aid highways—  
 (A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and  
 (B) amounts authorized for the Bureau of Transportation Statistics;  
 (2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts—  
 (A) made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and  
 (B) for which obligation limitation was provided in a previous fiscal year;  
 (3) determine the proportion that—

(A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to

(B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal year), less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection;

(4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Fixing America's Surface Transportation Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying—

(A) the proportion determined under paragraph (3); by

(B) the amounts authorized to be appropriated for each such program for such fiscal year; and

(5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United States Code (other than the amounts apportioned for the National Highway Performance Program in section 119 of title 23, United States Code, that are exempt from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—

(A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) **EXCEPTIONS FROM OBLIGATION LIMITATION.**—The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (as in effect for fiscal years 2005 through 2012, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 through 2020, only in an amount equal to \$639,000,000).

(c) **REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.**—Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of Public Law 112-141) and 104 of title 23, United States Code.

**(d) APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.—**

(1) **IN GENERAL.**—Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) title VI of the Fixing America's Surface Transportation Act.

(2) **EXCEPTION.**—Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

**(e) REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.—**

(1) **IN GENERAL.**—Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code), and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) **RATIO.**—Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) **AVAILABILITY.**—Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.

SEC. 121. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: Provided, That such funds shall be subject to the obligation limitation for Federal-aid highway and highway safety construction programs.

SEC. 122. Not less than 15 days prior to waiving, under his or her statutory authority, any Buy America requirement for Federal-aid highways projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: Provided, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.

SEC. 123. None of the funds made available in this Act to the Department of Transportation may be used to provide credit assistance unless not less than 1 day before any application approval to provide credit assistance under sections 603 and 604 of title 23, United States Code, the Secretary of Transportation provides notification in writing to the following committees: the House and Senate Committees on Appropriations; the Committee on Environment and Public Works and the Committee on Banking, Housing and Urban Affairs of the Senate; and the Committee on Transportation and Infrastructure of the House of Representatives: Provided, That such notification shall include, but not be limited to, the name of the project sponsor; a description of the project; whether credit assistance will be provided as a direct loan, loan guarantee, or line of credit; and the amount of credit assistance.

SEC. 124. (a) A State or territory, as defined in section 165 of title 23, United States Code, may use for any project eligible under section 133(b) of title 23 or section 165 of title 23 and located within the boundary of the State or territory any earmarked amount, and any associated obligation limitation, provided that the Department of Transportation for the State or territory for which the earmarked amount was originally designated or directed notifies the Secretary of Transportation of its intent to use its authority under this section and submits a quarterly report to the Secretary identifying the projects to which the funding would be applied. Notwithstanding the original period of availability of funds to be obligated under this section, such funds and associated obligation limitation shall remain available for obligation for a period of 3 fiscal years after the fiscal year in which the Secretary of Transportation is notified. The Federal share of the cost of a project carried out with funds made available under this section shall be the same as associated with the earmark.

(b) In this section, the term "earmarked amount" means—

(1) congressionally directed spending, as defined in rule XLIV of the Standing Rules of the Senate, identified in a prior law, report, or joint explanatory statement and administered by the Federal Highway Administration; or

(2) a congressional earmark, as defined in rule XXI of the Rules of the House of Representatives identified in a prior law, report, or joint explanatory statement and administered by the Federal Highway Administration.

(c) The authority under subsection (a) may be exercised only for those projects or activities that have obligated less than 10 percent of the amount made available for obligation as of October 1 of the current fiscal year, and shall be applied to projects within the same general geographic area within 100 miles for which the funding was designated, except that a State or territory may apply such authority to unexpended balances of funds from projects or activities the State or territory certifies have been closed and for which payments have been made under a final voucher.

(d) The Secretary shall submit consolidated reports of the information provided by the States and territories annually to the House and Senate Committees on Appropriations.

SEC. 125. Section 119(e)(5) of title 23, United States Code, is amended to read as follows—

"(5) Requirement for Plan.—Notwithstanding section 120, beginning on October 1, 2019, and each fiscal year thereafter, if the Secretary determines that a State has not developed and implemented a State asset management plan consistent with this section, the Federal share payable on account of any project or activity for which funds are obligated by the State in that fiscal year under this section shall be 65 percent. The Secretary shall make the determination no later than the day before the beginning of each fiscal year."

SEC. 126. Section 133(f) of title 23, United States Code, is amended to read as follows:

"(f) Bridges Not on Federal-aid Highways.—Notwithstanding any other provision of law, with respect to any project not on a Federal-aid highway for the replacement of a bridge or rehabilitation of a bridge that is wholly funded from State and local sources, is eligible for Federal funds under this section, is noncontroversial, is certified by the State to have been carried out in accordance with all standards applicable to such projects under this section, and is determined by the Secretary upon completion to be no longer a deficient bridge—

(1) any amount expended after the date of enactment of this subsection from State and local sources for the project in excess of 20 percent of the cost of construction of the project may be credited to the non-Federal share of the cost of other bridge projects in the State that are eligible for Federal funds under this section; and

(2) that crediting shall be conducted in accordance with procedures established by the Secretary."

SEC. 127. (a) Of the unobligated balances of funds remaining from—

(1) Public Law 105–66, and any other Act, appropriated to the "Appalachian Development Highway System" account under Treasury Account Fund Symbol 69X0640, a total of \$40,316,586 is hereby permanently cancelled;

(2) Public Law 111–117, and any other Act, appropriated to the "Surface Transportation Priorities" account under Treasury Account Fund Symbol 69X0538, a total of \$81,364,234 is hereby permanently cancelled;

(3) Public Law 97–257, and any other Act, appropriated to the "Access Highways to Public Recreation Areas on Certain Lakes" account under Treasury Account Fund Symbol 69X0503, a total of \$352,334 is hereby permanently cancelled;

(4) Public Law 103–331, and any other Act, appropriated to the "Surface Transportation Projects" account under Treasury Account Fund Symbol 69X0505, a total of \$582,594 is hereby permanently cancelled;

(5) Public Law 102–143, and any other Act, appropriated to the "Highway Studies: Feasibility, Design, Environmental, Engineering" account under Treasury Account Fund Symbol 69X0533, a total of \$262,205 is hereby permanently cancelled;

(6) Public Law 69–131, and any other Act, appropriated to the "Highway Beautification" account under Treasury Account Fund Symbol 69X0540, a total of \$488,910 is hereby permanently cancelled;

(7) Public Law 110–161, and any other Act, appropriated to the "Delta Regional Transportation Development Program" account under Treasury Account Fund Symbol 69X0551, a total of \$1,838,577 is hereby permanently cancelled;

(8) Public Law 92–18, and any other Act, appropriated to the "Darien Gap Highway" account under Treasury Account Fund Symbol 69X0553, a total of \$2,037,035 is hereby permanently cancelled;

(9) Public Law 92–398, and any other Act, appropriated to the "Rail Crossings-Demonstration Projects" account under Treasury Account Fund Symbol 69X0555, a total of \$517,221 is hereby permanently cancelled;

(10) Public Law 94–387, and any other Act, appropriated to the "Railroad-Highway Crossings Demonstration Projects" account under Treasury Account Fund Symbol 69X0557, a total of \$2,180,721 is hereby permanently cancelled;

(11) Public Law 97–276, and any other Act, appropriated to the "Interstate Transfer Grants-Highways" account under Treasury Account Fund Symbol 69X0560, a total of \$5,211,249 is hereby permanently cancelled;

(12) Public Law 100-457, and any other Act, appropriated to the "Kentucky Bridge Demonstration Project" account under Treasury Account Fund Symbol 69X0572, a total of \$133,232 is hereby permanently cancelled;

(13) Public Law 101-164, and any other Act, appropriated to the "Highway Demonstration Projects-Preliminary Engineering" account under Treasury Account Fund Symbol 69X0583, a total of \$2,601,432 is hereby permanently cancelled;

(14) Public Law 107-87, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X0641, a total of \$10,912,217 is hereby permanently cancelled;

(15) Public Law 105-277, and any other Act, appropriated to the "Highway Projects" account under Treasury Account Fund Symbol 69X0644, a total of \$8,857,875 is hereby permanently cancelled;

(16) Public Law 98-473, and any other Act, appropriated to the "Intermodal Urban Demonstration Project" account under Treasury Account Fund Symbol 69X8001, a total of \$103,404 is hereby permanently cancelled;

(17) Public Law 106-346, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X8058.11, a total of \$461,698 is hereby permanently cancelled;

(18) Public Law 106-346, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X8058, a total of \$45,494,880 is hereby permanently cancelled;

(19) Public Law 106-246, and any other Act, appropriated to the "Construction and Improvements to Halls Mill Road, New Jersey" account under Treasury Account Fund Symbol 69X8061, a total of \$1,000,000 is hereby permanently cancelled; and

(20) Public Law 102-388, and any other Act, appropriated to the "Highway Projects" account under Treasury Account Fund Symbol 69X8382, a total of \$5,004,606 is hereby permanently cancelled.

(b) The cancellations under subsection (a) shall not be taken from the portions of unobligated balances of funds in such accounts for which a State used its authority under section 125 of division L of Public Law 114-113 or section 422 of division K of Public Law 115-31, or section 126 of division L of Public Law 115-141.

**FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106-159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation, and reduce truck and bus crashes. The Agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, research, and innovative technology, thereby achieving a safer and more secure transportation environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all commercial vehicles entering the United States along its southern and northern borders.

**Trust Funds**

**MOTOR CARRIER SAFETY**

**Program and Financing** (in millions of dollars)

Identification code 069-8055-0-7-401	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY:			
Contract authority .....	41	41	41
5055 Fund balance in excess of liquidating requirements, EOY:			
Contract authority .....	41	41	41

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

**NATIONAL MOTOR CARRIER SAFETY PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-8048-0-7-401	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
1930 Total budgetary resources available .....	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	3	
3020 Outlays (gross) .....	-2	-3	
3050 Unpaid obligations, end of year .....	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	3	
3200 Obligated balance, end of year .....	3		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	2	3	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	3	
<b>Memorandum (non-add) entries:</b>			
5050 Unobligated balance, SOY: Contract authority .....		2	5
5051 Unobligated balance, EOY: Contract authority .....	2	5	5
5052 Obligated balance, SOY: Contract authority .....	5	3	
5053 Obligated balance, EOY: Contract authority .....	3		

No funding is requested for this account in 2020.

**MOTOR CARRIER SAFETY GRANTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out sections 31102, 31103, 31104 and 31313 of title 49, United States Code, as amended by the Fixing America's Surface Transportation Act, \$387,800,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of \$387,800,000 in fiscal year 2020 for "Motor Carrier Safety Grants"; of which \$308,700,000 shall be available for the motor carrier safety assistance program, \$44,900,000 shall be available for the high priority activities program, \$33,200,000 shall be available for the commercial driver's license program implementation financial assistance program, and \$1,000,000 shall be available for the commercial motor vehicle operators grant program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8158-0-7-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Motor Carrier Safety Assistance Program .....	299	300	309
0004 Commercial Driver's License (CDL) Program Implementation			
Grants .....	32	32	33
0007 High Priority Activities Program .....	42	42	45
0009 Commercial Motor Vehicle Operator (CMV) Grant .....	1	1	1
0010 Border Maintenance & Modernization .....	2		

MOTOR CARRIER SAFETY GRANTS—Continued  
Program and Financing—Continued

Identification code 069-8158-0-7-401	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	376	375	388
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	191	201	208
1021 Recoveries of prior year unpaid obligations .....	11		
1050 Unobligated balance (total) .....	202	201	208
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	562	562	382
1137 Portion applied to liquidate contract authority, Motor Carrier Safety Grants .....	-562	-562	-382
Contract authority, mandatory:			
1600 Contract authority, Motor Carrier Safety Grants .....	375	382	388
1900 Budget authority (total) .....	375	382	388
1930 Total budgetary resources available .....	577	583	596
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	201	208	208
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	496	544	528
3010 New obligations, unexpired accounts .....	376	375	388
3020 Outlays (gross) .....	-317	-391	-510
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11		
3050 Unpaid obligations, end of year .....	544	528	406
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	496	544	528
3200 Obligated balance, end of year .....	544	528	406
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	84	58
4011 Outlays from discretionary balances .....	288	307	452
4020 Outlays, gross (total) .....	317	391	510
Mandatory:			
4090 Budget authority, gross .....	375	382	388
4180 Budget authority, net (total) .....	375	382	388
4190 Outlays, net (total) .....	317	391	510
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	30	217	397
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	217	397	391
5061 Limitation on obligations (Highway Trust Funds) .....	562	562	388

Motor Carrier Safety Grants provide funding to eligible States to conduct compliance reviews; identify and apprehend traffic violators; conduct roadside inspections; and support safety audits on new entrant carriers. The Federal Motor Carrier Safety Administration (FMCSA) also supports States by conducting training for State agency personnel to accomplish motor carrier safety objectives. In addition, FMCSA reviews State commercial driver's license (CDL) oversight activities to prevent unqualified drivers from being issued CDLs, and actively engages with industry and other stakeholders through Innovative Technology programs to improve the safety and productivity of commercial vehicles and drivers.

Object Classification (in millions of dollars)

Identification code 069-8158-0-7-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	5	5	7
41.0 Grants, subsidies, and contributions .....	368	367	378
99.9 Total new obligations, unexpired accounts .....	376	375	388

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

For payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs pursuant to section 31110 of title 49, United States Code, as amended by the Fixing America's Surface Transportation Act, \$288,000,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account), together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, the sum of which shall remain available until expended: Provided, That funds available for implementation, execution or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of \$288,000,000 for "Motor Carrier Safety Operations and Programs" for fiscal year 2020, of which \$9,073,000, to remain available for obligation until September 30, 2022, is for the research and technology program.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-8159-0-7-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses .....	238	239	279
0002 Research and Technology .....	7	9	9
0003 Information Management .....	31	35	
0007 License & Insurance (Offsetting Collections) .....	20	32	32
0100 Direct program activities, subtotal .....	296	315	320
0900 Total new obligations, unexpired accounts .....	296	315	320
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	46	46
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	15	
1021 Recoveries of prior year unpaid obligations .....	5		
1050 Unobligated balance (total) .....	32	46	46
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	283	283	288
1137 Appropriations applied to liquidate contract authority .....	-283	-283	-288
Contract authority, mandatory:			
1600 Contract authority .....	283	283	288
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	27	32	32
1900 Budget authority (total) .....	310	315	320
1930 Total budgetary resources available .....	342	361	366
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	46	46	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	92	94	90
3010 New obligations, unexpired accounts .....	296	315	320
3020 Outlays (gross) .....	-289	-319	-330
3040 Recoveries of prior year unpaid obligations, unexpired .....	-5		
3050 Unpaid obligations, end of year .....	94	90	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	92	94	90
3200 Obligated balance, end of year .....	94	90	80
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....			
4000 Budget authority, gross .....	27	32	32
Outlays, gross:			
4010 Outlays from new discretionary authority .....	228	244	248
4011 Outlays from discretionary balances .....	61	75	82
4020 Outlays, gross (total) .....	289	319	330
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections .....	-27	-32	-32
Mandatory:			
4090 Budget authority, gross .....	283	283	288
4180 Budget authority, net (total) .....	283	283	288

4190	Outlays, net (total)	262	287	298
<b>Memorandum (non-add) entries:</b>				
5054	Fund balance in excess of liquidating requirements, SOY:			
	Contract authority	16	16	16
5055	Fund balance in excess of liquidating requirements, EOY:			
	Contract authority	16	16	16
5061	Limitation on obligations (Highway Trust Funds)	283	283	288

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. The Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation. Funding supports Nation-wide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; regulation and enforcement of movers of household goods; and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMCSA regulations. Resources are also provided to fund regulatory development and implementation, investment in research and technology with a focus on research regarding highly automated vehicles and related technology, and information technology's information management, safety outreach and education. The 2020 Budget funds critical safety and operational facility improvements at border and domestic posts, and important safety and safety mission support training for FMCSA staff and to State partners.

**Object Classification** (in millions of dollars)

Identification code 069-8159-0-7-401	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent	111	113	115
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	112	114	116
12.1	Civilian personnel benefits	39	41	43
21.0	Travel and transportation of persons	8	8	8
23.1	Rental payments to GSA	17	18	19
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	110	123	123
25.5	Research and development contracts	7	9	9
26.0	Supplies and materials	1	1	1
42.0	Insurance claims and indemnities	1		
99.9	Total new obligations, unexpired accounts	296	315	320

**Employment Summary**

Identification code 069-8159-0-7-401	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment	1,145	1,202	1,170

**NATIONAL HIGHWAY TRAFFIC SAFETY  
ADMINISTRATION**

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

**Federal Funds**

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 069-0654-0-1-376	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	20	20	20
1930	Total budgetary resources available	20	20	20
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year	20	20	20
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

The schedule above illustrates the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program. No new funds are requested for this program in 2020.

**OPERATIONS AND RESEARCH**

*For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, \$151,000,000, of which \$40,000,000 shall remain available through September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-0650-0-1-401	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Research and Analysis	41	49	33
0002	Rulemaking	20	25	23
0003	Enforcement	17	32	20
0004	Sec 144 - Impaired-driving countermeasures	2	3	
0005	Sec 144 - Highway-rail grade crossing safety media campaign	7	7	
0006	Administrative Expenses	88	85	75
0900	Total new obligations, unexpired accounts	175	201	151
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	6	33	33
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	9	33	33
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation	201	201	151
1900	Budget authority (total)	201	201	151
1930	Total budgetary resources available	210	234	184
<b>Memorandum (non-add) entries:</b>				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	33	33	33

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	132	128	184
3010	New obligations, unexpired accounts	175	201	151
3011	Obligations ("upward adjustments"), expired accounts	3		
3020	Outlays (gross)	-175	-145	-144
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	128	184	191
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	132	128	184
3200	Obligated balance, end of year	128	184	191

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross	201	201	151
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	80	40	30
4011	Outlays from discretionary balances	95	105	114
4020	Outlays, gross (total)	175	145	144

OPERATIONS AND RESEARCH—Continued  
Program and Financing—Continued

Identification code 069-0650-0-1-401	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	201	201	151
4080 Outlays, net (discretionary) .....	174	145	144
4180 Budget authority, net (total) .....	201	201	151
4190 Outlays, net (total) .....	174	145	144

The Vehicle Safety programs support activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into, and implementation of, Federal Motor Vehicle Safety Standards. NHTSA supports ongoing research into complex safety-critical electronic control systems; vehicle cybersecurity; and new and emerging technologies. Additional research areas include safety systems; biomechanics; heavy vehicles safety technologies; and vehicle safety issues related to fuel efficiency and alternative fuels. The Operation and Research programs fund a broad range of initiatives, including promulgation of Federal Motor Vehicle Safety Standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety. NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted. Motor vehicle safety research and development supports NHTSA programs through the collection and analysis of crash data to identify safety problems, development of alternative solutions, and assessments of costs, benefits, and effectiveness. Research continues on standards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on reducing crashes through active safety and advanced testing of emerging technologies.

Object Classification (in millions of dollars)

Identification code 069-0650-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	39	44	44
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	40	46	46
12.1 Civilian personnel benefits .....	13	14	14
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	37	37	30
25.2 Other services from non-Federal sources .....	63	85	42
25.3 Other goods and services from Federal sources .....	13	11	11
26.0 Supplies and materials .....	4	3	3
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	175	201	151

Employment Summary

Identification code 069-0650-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	322	363	357

NEXT GENERATION 911 IMPLEMENTATION GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0661-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants .....		57	52
0002 Administration .....		1	1
0900 Total new obligations, unexpired accounts .....		58	53
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	111	111	53
1930 Total budgetary resources available .....	111	111	53
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	111	53	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		45
3010 New obligations, unexpired accounts .....		58	53
3020 Outlays (gross) .....	-1	-13	-41
3050 Unpaid obligations, end of year .....		45	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		45
3200 Obligated balance, end of year .....		45	57
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	13	41
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	13	41

The 911 Grant Program was authorized by the Next Generation 911 Advancement Act of 2012, which allows eligible entities to utilize funds to implement and operate 911 services, and to train public safety personnel. The program is funded by the Public Safety Trust Fund. The authority to expend these funds expires on September 30, 2022.

Object Classification (in millions of dollars)

Identification code 069-0661-0-1-407	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		1	1
41.0 Grants, subsidies, and contributions .....		57	52
99.9 Total new obligations, unexpired accounts .....		58	53

Trust Funds

OPERATIONS AND RESEARCH

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls, section 4011 of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94), and chapter 303 of title 49, United States Code, \$155,300,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2020, are in excess of \$155,300,000, of which \$149,800,000 shall be for programs authorized under 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving behavioral consumer responses to safety recalls, and section 4011 of the FAST Act, and \$5,500,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code: Provided further, That within the \$155,300,000 obligation limitation for operations and research, \$20,000,000 shall remain available until September 30, 2021, and shall be in addition to the amount of any limitation imposed on obligations for future years: Provided further, That

amounts for behavioral research activities on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls are in addition to any other funds provided for those purposes for fiscal year 2020 in this Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069–8016–0–7–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Highway safety programs .....	139	144	150
0007 National driver register .....	5	5	5
0100 Total Direct Obligations .....	144	149	155
0799 Total direct obligations .....	144	149	155
0801 Operations and Research (Transportation Trust Fund) (Reimbursable) .....	2	10	10
0900 Total new obligations, unexpired accounts .....	146	159	165
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	24	33	36
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	10	10	
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	27	33	36
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	149	149	155
1137 Appropriations applied to liquidate contract authority .....	-149	-149	-155
Contract authority, mandatory:			
1600 Contract authority .....	149	152	155
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	10	10
1900 Budget authority (total) .....	152	162	165
1930 Total budgetary resources available .....	179	195	201
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	33	36	36
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	153	141	136
3010 New obligations, unexpired accounts .....	146	159	165
3020 Outlays (gross) .....	-155	-164	-171
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	141	136	130
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	153	141	136
3200 Obligated balance, end of year .....	141	136	130
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	63	75	77
4011 Outlays from discretionary balances .....	92	89	94
4020 Outlays, gross (total) .....	155	164	171
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-10	-10
4040 Offsets against gross budget authority and outlays (total) .....	-3	-10	-10
Mandatory:			
4090 Budget authority, gross .....	149	152	155
4180 Budget authority, net (total) .....	149	152	155
4190 Outlays, net (total) .....	152	154	161
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	46	46	43
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	46	43	43
5061 Limitation on obligations (Highway Trust Funds) .....	149	149	155

The Highway Safety Research and Development programs support research, demonstrations, technical assistance, and national leadership activities for behavioral safety programs conducted by State and local

governments, as well as various safety associations and organizations. These programs emphasize impaired and drug-impaired driving, alcohol and drug countermeasures, driver and passenger occupant protection, driver distraction, traffic enforcement and justice services, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle rider safety, pedestrian and bicycle safety, pupil transportation, young and older driver safety, and development of improved accident investigation procedures. NHTSA will continue to operate the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, NHTSA will improve its vital data collection and analysis, which form the basis of its research, rulemaking, and performance measurement activities.

**Object Classification** (in millions of dollars)

Identification code 069–8016–0–7–401	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	19	23	22
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	20	24	23
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	7	7	7
25.1 Advisory and assistance services .....	65	63	69
25.2 Other services from non-Federal sources .....	8	8	8
25.3 Other goods and services from Federal sources .....	9	10	10
25.5 Research and development contracts .....	3	3	3
41.0 Grants, subsidies, and contributions .....	25	26	27
99.0 Direct obligations .....	144	149	155
99.0 Reimbursable obligations .....	2	10	10
99.9 Total new obligations, unexpired accounts .....	146	159	165

**Employment Summary**

Identification code 069–8016–0–7–401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	160	175	174

**HIGHWAY TRAFFIC SAFETY GRANTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out provisions of 23 U.S.C. 402, 404, and 405, and section 4001(a)(6) of the Fixing America's Surface Transportation Act, to remain available until expended, \$623,017,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account): Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2020, are in excess of \$623,017,000 for programs authorized under 23 U.S.C. 402, 404, and 405, and section 4001(a)(6) of the Fixing America's Surface Transportation Act, of which \$279,800,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402; \$285,900,000 shall be for "National Priority Safety Programs" under 23 U.S.C. 405; \$30,500,000 shall be for "High Visibility Enforcement Program" under 23 U.S.C. 404; \$26,817,000 shall be for "Administrative Expenses" under section 4001(a)(6) of the Fixing America's Surface Transportation Act: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available for "National Priority Safety Programs" under 23 U.S.C. 405 for "Impaired Driving Countermeasures" (as described in subsection (d) of that section) shall be available for technical assistance to the States: Provided further, That with respect to the "Transfers" provision under 23 U.S.C. 405(a)(8), any amounts transferred to increase the amounts made available under section 402 shall include the obligation authority for such amounts: Provided further, That the Administrator shall notify the House and Senate Commit-

HIGHWAY TRAFFIC SAFETY GRANTS—Continued

tees on Appropriations of any exercise of the authority granted under the previous proviso or under 23 U.S.C. 405(a)(8) within five days.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–8020–0–7–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Section 402 formula grants .....	281	261	280
0006 Section 2009 High Visibility Enforcement .....	30	30	30
0011 Administrative Expenses - Chapter 4 of Title 23 .....	25	27	27
0014 Section 405 National Priority Safety Program Grants .....	260	280	286
0021 Section 154/164 Penalties to 402 Program .....	104	102	.....
0799 Total direct obligations .....	700	700	623
0900 Total new obligations, unexpired accounts .....	700	700	623
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	144	146	159
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	144	147	160
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	598	598	623
1121 Appropriations transferred from other acct [069–8083] ....	104	102	.....
1137 Appropriations applied to liquidate contract authority .....	–702	–700	–623
Contract authority, mandatory:			
1600 Contract authority .....	598	610	623
1611 Contract authority transferred from other accounts [069–8083] .....	104	102	.....
1640 Contract authority, mandatory (total) .....	702	712	623
1900 Budget authority (total) .....	702	712	623
1930 Total budgetary resources available .....	846	859	783
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	146	159	160
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	904	917	917
3010 New obligations, unexpired accounts .....	700	700	623
3020 Outlays (gross) .....	–687	–699	–684
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	–1	–1
3050 Unpaid obligations, end of year .....	917	917	855
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	904	917	917
3200 Obligated balance, end of year .....	917	917	855
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority .....	137	154	137
4011 Outlays from discretionary balances .....	550	545	547
4020 Outlays, gross (total) .....	687	699	684
Mandatory:			
4090 Budget authority, gross .....	702	712	623
4180 Budget authority, net (total) .....	702	712	623
4190 Outlays, net (total) .....	687	699	684
<b>Memorandum (non-add) entries:</b>			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority .....	78	80	68
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority .....	80	68	68
5061 Limitation on obligations (Highway Trust Funds) .....	702	700	623

NHTSA provides grants to States for activities related to the promotion of highway traffic safety. The Fixing America's Surface Transportation (FAST) Act provided multi-year surface transportation authorization legislation. Under Section 402, the Agency supports State highway safety programs, approved by the Secretary, which are designed to reduce traffic accidents and the resulting deaths, injuries, and property damage. The Agency will continue to implement and promote the use of performance measures and targets as a condition of approval in these programs and to

ensure efficient and effective use of funds. NHTSA also will use dedicated funds from the program to support high visibility enforcement campaigns in the States that promote the use of seat belts and the reduction of drunk driving. Under Section 405, the Agency will make grant awards to States that focus on specific national priority traffic safety areas aimed at reducing highway deaths and injuries. The Agency will make grants to States that develop qualifying plans and complying laws in accordance with the statutory criteria. The focus areas under the Section 405 grant programs include occupant protection, State traffic safety information system improvements, impaired driving countermeasures, distracted driving, motorcyclist safety, State graduated driving licensing, and non-motorized safety programs.

Object Classification (in millions of dollars)

Identification code 069–8020–0–7–401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	10	10
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	38	38	39
25.2 Other services from non-Federal sources .....	6	6	6
25.3 Other goods and services from Federal sources .....	4	4	4
41.0 Grants, subsidies, and contributions .....	638	637	559
99.0 Direct obligations .....	700	700	623
99.9 Total new obligations, unexpired accounts .....	700	700	623

Employment Summary

Identification code 069–8020–0–7–401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	75	88	88

ADMINISTRATIVE PROVISIONS

Sec. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.

Sec. 141. The limitations on obligations for the programs of the National Highway Traffic Safety Administration set in this Act shall not apply to obligations for which obligation authority was made available in previous public laws but only to the extent that the obligation authority has not lapsed or been used.

Sec. 142. None of the funds made available by this Act may be used to mandate global positioning system (GPS) tracking in private passenger motor vehicles without providing full and appropriate consideration of privacy concerns under 5 U.S.C. chapter 5, subchapter II.

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

	2018 actual	2019 est.	2020 est.
<b>Budget Authority:</b>			
Safety and Operations .....	222	222	163
Railroad Research and Development .....	41	41	19
Restoration and Enhancement Grants .....	20	20	550
National Network Grants to Amtrak .....	1,292	1,292	611
Northeast Corridor Grants to Amtrak .....	650	650	325
Capital Assistance for High Speed Rail Corridors and Intercity Passenger			
Rail Service .....	0	0	–53
Rail Line Relocation and Improvement Program .....	0	0	–2
Federal-State Partnership for State of Good Repair .....	250	250	0
Consolidated Rail Infrastructure and Safety Improvements .....	593	593	330
Railroad Rehabilitation and Improvement Financing Program (M/D) ....	125	86	0
Total Budget Authority-Discretionary .....	3,093	3,093	1,943
Total Budget Authority-Mandatory .....	100	61	0
Total Budget Authority-Net .....	3,193	3,154	1,943
<b>Outlays:</b>			
Safety and Operations .....	202	233	179

Railroad Safety Grants .....	6	27	13
Railroad Research and Development .....	31	35	44
Restoration and Enhancement Grants .....	0	0	550
Pennsylvania Station Redevelopment Project .....	23	7	6
Grants to Amtrak .....	5	0	3
Capital and Debt Service Grants to Amtrak .....	65	4	2
National Network Grants to Amtrak .....	1,286	1,291	614
Northeast Corridor Grants to Amtrak .....	643	651	328
Intercity Passenger Rail Grant Program .....	11	11	1
Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service .....	73	81	222
Next Generation High-Speed Rail .....	1	1	0
Northeast Corridor Improvement Program .....	0	6	7
Rail Line Relocation and Improvement Program .....	1	3	0
Rail Safety Technology Program .....	3	0	0
Federal-State Partnership for State of Good Repair .....	0	0	5
Consolidated Rail Infrastructure and Safety Improvements .....	0	0	49
Railroad Rehabilitation and Improvement Financing Program (M/D) ....	100	61	1
<b>Total Outlays-Discretionary .....</b>	<b>2,350</b>	<b>2,350</b>	<b>1,914</b>
<b>Total Outlays-Mandatory .....</b>	<b>100</b>	<b>61</b>	<b>0</b>
<b>Total Outlays-Net .....</b>	<b>2,450</b>	<b>2,411</b>	<b>1,914</b>

**Federal Funds**

**SAFETY AND OPERATIONS**

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, \$213,134,000, of which \$15,900,000 derived from the general fund shall remain available until expended: Provided, That railroad safety fees collected in fiscal year 2020 as provided in section 152 of this Act, of which \$25,000,000 shall remain available until expended for railroad safety activities, shall be credited as offsetting collections to this account: Provided further, That the one-year portion of the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2020, so as to result in a final appropriation from the general fund estimated at \$163,134,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

Identification code 069–0700–0–1–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Safety and Operations .....	215	219	169
0002 Activity from RRF Collections .....	3	.....	.....
0006 Alaska railroad liabilities .....	1	1	1
0100 Total direct program .....	219	220	170
0799 Total direct obligations .....	219	220	170
0801 Reimbursable services .....	.....	1	1
0802 Railroad Safety User Fee .....	.....	.....	50
0899 Total reimbursable obligations .....	.....	1	51
0900 Total new obligations, unexpired accounts .....	219	221	221
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	20	26	28
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	21	26	28
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	222	222	163
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Reimbursable Services .....	3	1	1
1700 Railroad Safety User Fee .....	.....	.....	50
1750 Spending auth from offsetting collections, disc (total) .....	3	1	51
1900 Budget authority (total) .....	225	223	214
1930 Total budgetary resources available .....	246	249	242
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–1	.....	.....
1941 Unexpired unobligated balance, end of year .....	26	28	21

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	72	95	82
3010 New obligations, unexpired accounts .....	219	221	221
3011 Obligations ("upward adjustments"), expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	–206	–234	–230
3031 Unpaid obligations transferred from other accts [070–0413] .....	10	.....	.....
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–2	.....	.....
3050 Unpaid obligations, end of year .....	95	82	73
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	72	95	82
3200 Obligated balance, end of year .....	95	82	73

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	225	223	214
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	167	194	193
4011 Outlays from discretionary balances .....	39	40	37
4020 Outlays, gross (total) .....	206	234	230
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	.....	–1	–1
4033 Non-Federal sources: .....	–4	.....	–50
4040 Offsets against gross budget authority and outlays (total) ....	–4	–1	–51
<b>Additional offsets against gross budget authority only:</b>			
4052 Offsetting collections credited to expired accounts .....	1	.....	.....
4070 Budget authority, net (discretionary) .....	222	222	163
4080 Outlays, net (discretionary) .....	202	233	179
4180 Budget authority, net (total) .....	222	222	163
4190 Outlays, net (total) .....	202	233	179

Funds requested in the Safety and Operations account support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other program activities including contracts. Resources are also provided to fund information management, technology, safety education, and outreach. The Budget includes language in FRA's Administrative Provisions to implement a rail safety user fee to partially offset the cost of rail safety inspectors and activities.

**Object Classification (in millions of dollars)**

Identification code 069–0700–0–1–401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	93	95	45
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	95	97	47
12.1 Civilian personnel benefits .....	33	34	35
21.0 Travel and transportation of persons .....	12	12	11
23.1 Rental payments to GSA .....	6	7	7
25.1 Advisory and assistance services .....	47	44	44
25.3 Other goods and services from Federal sources .....	16	16	16
25.7 Operation and maintenance of equipment .....	6	6	6
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	219	220	170
99.0 Reimbursable obligations .....	.....	1	51
99.9 Total new obligations, unexpired accounts .....	219	221	221

**Employment Summary**

Identification code 069–0700–0–1–401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	891	920	904

RAILROAD SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0702-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rail Safety Grants .....	24	8	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	24	8	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	11	3
1930 Total budgetary resources available .....	35	11	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	3	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	43	24
3010 New obligations, unexpired accounts .....	24	8	2
3020 Outlays (gross) .....	-6	-27	-13
3050 Unpaid obligations, end of year .....	43	24	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	43	24
3200 Obligated balance, end of year .....	43	24	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	6	27	13
4180 Budget authority, net (total) .....	6	27	13
4190 Outlays, net (total) .....	6	27	13

In 2016, \$50 million was appropriated under the Railroad Safety Grants heading to be equally distributed to Railroad Safety Infrastructure Improvement Grants and Railroad Safety Technology Grants. The Fixing America's Surface Transportation (FAST) Act of 2015 (P.L. 114-94) repealed the Railroad Safety Infrastructure Improvement Grants program and did not authorize new funding for the Railroad Safety Technology Grants program. No new funds are requested for this account for 2020.

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, \$19,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-0745-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Railroad system issues .....	4	4	1
0002 Human factors .....	7	6	2
0012 Track Program .....	12	12	6
0013 Rolling Stock Program .....	12	11	5
0014 Train Control and Communication .....	8	9	5
0100 Total direct program .....	43	42	19
0799 Total direct obligations .....	43	42	19
0801 Railroad Research and Development (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts .....	43	44	21
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	8	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	41	41	19
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	41	43	21
1930 Total budgetary resources available .....	51	51	28

1941	2018 actual	2019 est.	2020 est.
Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year .....	8	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	55	62
3010 New obligations, unexpired accounts .....	43	44	21
3020 Outlays (gross) .....	-31	-37	-46
3050 Unpaid obligations, end of year .....	55	62	37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	43	55	62
3200 Obligated balance, end of year .....	55	62	37
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross .....			
4000 Budget authority, gross .....	41	43	21
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	14	8
4011 Outlays from discretionary balances .....	22	23	38
4020 Outlays, gross (total) .....	31	37	46
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-2	-2	-2
4180 Budget authority, net (total) .....	41	41	19
4190 Outlays, net (total) .....	31	35	44

Funding requested in the Railroad Research and Development Program is focused on improving railroad safety. It provides scientific and engineering support for the Federal Railroad Administration's rail safety enforcement and rulemaking efforts. It also identifies and develops emerging technologies for the rail industry to adopt voluntarily. The outcomes of the research and development reduce accidents and incidents. In addition to improving safety, the program contributes significantly towards activities to achieve and maintain a state of good repair and promote job creation and economic growth.

The program focuses on the following areas of research:

- Track Program.*—Reducing derailments due to track related causes.
- Rolling Stock Program.*—Reducing derailments due to equipment failures, to minimize the consequences of derailments, and to minimize hazardous material releases.
- Train Control and Communication.*—Reducing train to train collisions and train collisions with objects on the line and at grade crossings.
- Human Factors Program.*—Reducing accidents caused by human error.
- Railroad System Issues Program.*—Prioritizing Research and Development projects on the basis of relevance to safety risk reduction and other DOT goals.

Object Classification (in millions of dollars)

Identification code 069-0745-0-1-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.1 Advisory and assistance services .....	3	3	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	2	2	2
25.5 Research and development contracts .....	34	35	14
41.0 Grants, subsidies, and contributions .....	3	1	1
99.0 Direct obligations .....	43	42	19
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	43	44	21

RESTORATION AND ENHANCEMENT GRANTS

For necessary expenses related to Restoration and Enhancement Grants, as authorized by section 22908 of title 49, United States Code, \$550,000,000, to remain available until expended: Provided, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 22908 of title 49, United States Code: Provided further, That grants made with amounts provided under this heading are in addition to the limitation in section 22908(e)(2) of title 49, United

States Code: Provided further, That grants made with amounts provided under this heading are not subject to the limitations of section 22908(e)(1) or (3) of title 49, United States Code: Provided further, That amounts provided under this heading are for Federal operating assistance grants to support restructuring long-distance routes as defined in section 24102(5) of title 49, United States Code, and are not limited to the initiation, restoration, or enhancement of intercity rail passenger transportation: Provided further, That no route may receive more than 4 years of funding under this heading and such funding may not be renewed: Provided further, That such grants may not exceed 100 percent of the projected net operating costs for the first year of service; 80 percent of the projected net operating costs for the second year of service; 60 percent of the projected net operating costs for the third year of service; and 40 percent of the projected net operating costs for the fourth year of service.

**Program and Financing** (in millions of dollars)

Identification code 069-0127-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Restoration and Enhancement Grants .....			25
0002 Restoration and Enhancement Oversight .....			4
0003 Amtrak Long Distance Reorganization .....			546
0900 Total new obligations, unexpired accounts (object class 41.0) .....			575
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	25	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	550
1930 Total budgetary resources available .....	25	45	595
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	45	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			575
3020 Outlays (gross) .....			-550
3050 Unpaid obligations, end of year .....			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			25
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	20	20	550
Outlays, gross:			
4010 Outlays from new discretionary authority .....			550
4180 Budget authority, net (total) .....	20	20	550
4190 Outlays, net (total) .....			550

Restoration and Enhancement Grants provide operating assistance to initiate, restore, or enhance intercity passenger rail transportation. The program limits assistance to three years per route and no more than six grants may be simultaneously active. Eligible recipients include States; local governments; Amtrak or other rail carriers that provide intercity passenger rail service; and any rail carrier in partnership with another eligible public-sector applicant. The Budget includes \$550 million for operating subsidy as the Department, Amtrak, states and stakeholders begin the process to restructure long distance routes of the National Railroad Passenger Corporation. For 2020 funds provided for purposes of the long distance restructuring proposal, the Budget proposes to remove the authorized limit on the number of simultaneously active grants, extend the authorized maximum grant duration from 3 to 4 years, and permit 100 percent of net operating costs to be funded in the first year of service.

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

**Program and Financing** (in millions of dollars)

Identification code 069-0723-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Pennsylvania Station risk reduction projects .....	40		

0900 Total new obligations, unexpired accounts (object class 41.0) .....	40		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40		
1930 Total budgetary resources available .....	40		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	19	12
3010 New obligations, unexpired accounts .....	40		
3020 Outlays (gross) .....	-23	-7	-6
3050 Unpaid obligations, end of year .....	19	12	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	19	12
3200 Obligated balance, end of year .....	19	12	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	23	7	6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	23	7	6

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building as Moynihan Station. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. In 2016, \$40 million was transferred from the Federal Transit Administration's Hurricane Sandy funding into this account for risk reduction projects at Moynihan Station. No new funds are requested for this account in 2020.

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

**Program and Financing** (in millions of dollars)

Identification code 069-0704-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0007 Capital And Debt Grant Sandy Mitigation .....			31
0008 FTA Transfer - Hurricane Sandy Disaster Resiliency .....		13	
0900 Total new obligations, unexpired accounts (object class 41.0) .....		13	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	45	45	32
1930 Total budgetary resources available .....	45	45	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	45	32	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5		13
3010 New obligations, unexpired accounts .....		13	31
3020 Outlays (gross) .....	-5		-3
3050 Unpaid obligations, end of year .....		13	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5		13
3200 Obligated balance, end of year .....		13	41
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	5		3
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	5		3

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation. Amtrak is not an agency or instrument

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued of the U.S. Government, although, since the railroad's creation FRA has provided annual grants for operating, capital, and debt service costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since then, several one-time appropriations or funding transfers have been directed to this account, including \$1.3 billion in funds under the American Recovery and Reinvestment Act of 2009; \$112 million from the Disaster Relief Appropriations Act of 2013 (P.L. 113–2) for recovery efforts from super storm Sandy; \$185 million transfer from the Federal Transit Administration for the Hudson Yards disaster resiliency project in New York City; and a \$13 million transfer from the Federal Transit Administration for the Metropolitan Transportation Authority/Long Island Rail Road's River to River Rail Resiliency project in New York City. No new funds are requested for this account in 2020.

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation for activities associated with the National Network as authorized by section 11101(b) of the Fixing America's Surface Transportation Act (division A of Public Law 114–94), \$611,000,000, to remain available until expended: Provided, That the Secretary may retain up to an additional \$2,000,000 of the funds provided under this heading to fund expenses associated with the State-Supported Route Committee established under section 24712 of title 49, United States Code.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1775–0–1–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for National Network .....	1,238	1,238	606
0002 Management Oversight .....	3	5	4
0003 State-Supported Route Committee .....	3	2	2
0004 Americans with Disabilities Act (ADA) .....	45	45	.....
0900 Total new obligations, unexpired accounts .....	1,289	1,290	612
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	8	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,292	1,292	611
1930 Total budgetary resources available .....	1,297	1,300	621
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	10	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	4	3
3010 New obligations, unexpired accounts .....	1,289	1,290	612
3020 Outlays (gross) .....	-1,286	-1,291	-614
3050 Unpaid obligations, end of year .....	4	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	4	3
3200 Obligated balance, end of year .....	4	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,292	1,292	611
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,285	1,289	609
4011 Outlays from discretionary balances .....	1	2	5
4020 Outlays, gross (total) .....	1,286	1,291	614
4180 Budget authority, net (total) .....	1,292	1,292	611
4190 Outlays, net (total) .....	1,286	1,291	614

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069–0125–0–1–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Capital & Debt Service Grants .....	2	.....	.....
0005 Grants Oversight .....	.....	3	3
0900 Total new obligations, unexpired accounts .....	2	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	11	8
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	13	11	.....
1930 Total budgetary resources available .....	13	11	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	8	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	67	4	3
3010 New obligations, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-65	-4	-2
3050 Unpaid obligations, end of year .....	4	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	67	4	3
3200 Obligated balance, end of year .....	4	3	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	65	4	2
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	65	4	2

From 2006 to 2016, the Federal Railroad Administration received appropriations to this account to make grants to the National Railroad Passenger Corporation (Amtrak) for capital investments and debt service assistance. The FAST Act authorized two new appropriations accounts for Amtrak—Northeast Corridor grants and National Network grants—which first received funding in 2017. The Administration proposes to continue funding Amtrak under the FAST Act account structure. No new funds are requested for this account in 2020.

Object Classification (in millions of dollars)

Identification code 069–0125–0–1–401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	.....	3	3
41.0 Grants, subsidies, and contributions .....	2	.....	.....
99.9 Total new obligations, unexpired accounts .....	2	3	3

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funding requested in the National Network Grants to the National Railroad Passenger Corporation account provide capital, operating, and debt service funding for Amtrak activities related to the National Network, which includes Amtrak's State-Supported services, Long Distance services, and other Amtrak costs not allocated to the Northeast Corridor. Amtrak began receiving its annual appropriations from the Congress under this account structure in 2017. The 2020 Budget proposes funding operating costs for Long Distance routes through the Restoration and Enhancement Grants program as part of a proposal to restructure the Long Distance Network. The 2020 Budget includes \$611 million for this account.

Object Classification (in millions of dollars)

Identification code 069–1775–0–1–401	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	2	4	3

41.0	Grants, subsidies, and contributions .....	1,286	1,285	608
99.9	Total new obligations, unexpired accounts .....	1,289	1,290	612

**Employment Summary**

Identification code 069-1775-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	11	12	7

**NORTHEAST CORRIDOR GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation for activities associated with the Northeast Corridor as authorized by section 11101(a) of the Fixing America's Surface Transportation Act (division A of Public Law 114-94), \$325,466,000, to remain available until expended: Provided, That the Secretary may retain up to one-half of 1 percent of the funds provided under both this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading to fund the costs of project management and oversight of activities authorized by section 11101(c) of division A of Public Law 114-94: Provided further, That in addition to the project management oversight funds authorized under section 11101(c) of division A of Public Law 114-94, the Secretary may retain up to an additional \$5,000,000 of the funds provided under this heading to fund expenses associated with implementing section 24905 of title 49, United States Code.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1774-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for Northeast Corridor .....	637	637	324
0002 Management Oversight .....	1	3	2
0003 Northeast Corridor Commission .....	5	5	5
0004 Americans with Disabilities Act (ADA) .....	5	5	.....
0900 Total new obligations, unexpired accounts .....	643	650	331
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	650	650	325
1930 Total budgetary resources available .....	654	661	336
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	2
3010 New obligations, unexpired accounts .....	643	650	331
3020 Outlays (gross) .....	-643	-651	-328
3050 Unpaid obligations, end of year .....	3	2	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	2
3200 Obligated balance, end of year .....	3	2	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	650	650	325
Outlays, gross:			
4010 Outlays from new discretionary authority .....	643	648	324
4011 Outlays from discretionary balances .....	.....	3	4
4020 Outlays, gross (total) .....	643	651	328
4180 Budget authority, net (total) .....	650	650	325
4190 Outlays, net (total) .....	643	651	328

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funding requested in the Northeast Corridor Grants to the National Railroad

Passenger Corporation account provide capital, operating, and debt service funding for Amtrak activities related to the Northeast Corridor. Amtrak began receiving its annual appropriations from Congress under this account structure in 2017. \$325 million is requested for this account for 2020.

**Object Classification** (in millions of dollars)

Identification code 069-1774-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1	3	2
41.0 Grants, subsidies, and contributions .....	642	647	329
99.9 Total new obligations, unexpired accounts .....	643	650	331

**INTERCITY PASSENGER RAIL GRANT PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-0715-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Intercity passenger rail grants .....	.....	.....	6
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	.....	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	24	13	2
3010 New obligations, unexpired accounts .....	.....	.....	6
3020 Outlays (gross) .....	-11	-11	-1
3050 Unpaid obligations, end of year .....	13	2	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	24	13	2
3200 Obligated balance, end of year .....	13	2	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	11	11	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	11	11	1

This competitive grant program encourages State participation in passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this account for 2020.

**CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE**

**Program and Financing** (in millions of dollars)

Identification code 069-0719-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Capital Assistance High-Speed Rail Corridors and IPR Service Grants .....	.....	.....	1
0004 Capital Assistance High-Speed Rail Corridors and IPR Service Oversight .....	.....	1	.....

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE—Continued

Program and Financing—Continued

Identification code 069-0719-0-1-401	2018 actual	2019 est.	2020 est.
0006 Capital Assistance High-Speed Rail Corridors and IPR Service Planning Activities .....	1		1
0900 Total new obligations, unexpired accounts .....	1	1	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	56	55
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	57	56	55
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced .....			-53
1930 Total budgetary resources available .....	57	56	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	56	55	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,347	1,274	1,194
3010 New obligations, unexpired accounts .....	1	1	2
3020 Outlays (gross) .....	-73	-81	-222
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1,274	1,194	974
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,347	1,274	1,194
3200 Obligated balance, end of year .....	1,274	1,194	974
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			-53
Outlays, gross:			
4011 Outlays from discretionary balances .....	73	81	222
4180 Budget authority, net (total) .....			-53
4190 Outlays, net (total) .....	73	81	222

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No new funds are requested for this account for 2020.

Object Classification (in millions of dollars)

Identification code 069-0719-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....		1	1
25.3 Other goods and services from Federal sources .....	1		
41.0 Grants, subsidies, and contributions .....			1
99.9 Total new obligations, unexpired accounts .....	1	1	2

Employment Summary

Identification code 069-0719-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1		

NEXT GENERATION HIGH-SPEED RAIL

Program and Financing (in millions of dollars)

Identification code 069-0722-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Next Generation High-Speed Rail .....	1		2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1		2

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	3
1930 Total budgetary resources available .....	4	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	
3010 New obligations, unexpired accounts .....	1		2
3020 Outlays (gross) .....	-1	-1	
3050 Unpaid obligations, end of year .....	1		2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	
3200 Obligated balance, end of year .....	1		2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	1	

The Next Generation High-Speed Rail Program funds research, development, technology demonstration programs, and the planning and analysis required to evaluate high speed rail technology proposals. No new funds are requested for this account for 2020.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0123-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Northeast Corridor Improvement Program .....	19	1	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	1	
1930 Total budgetary resources available .....	20	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		19	14
3010 New obligations, unexpired accounts .....	19	1	
3020 Outlays (gross) .....		-6	-7
3050 Unpaid obligations, end of year .....	19	14	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		19	14
3200 Obligated balance, end of year .....	19	14	7

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		6	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		6	7

Prior to 2001, this program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia, and Boston, Massachusetts. For 2016, \$19 million was provided for grants to Amtrak for shared use infrastructure on the Northeast Corridor identified in the Northeast Corridor Infrastructure and Operations Advisory Commission's five year capital plan. No new funds are requested for this account for 2020.

Object Classification (in millions of dollars)

Identification code 069-0123-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions .....	19		
42.0 Insurance claims and indemnities .....		1	

99.9	Total new obligations, unexpired accounts .....	1	.....
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**RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-0716-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Rail line relocation .....	2	5
0900	Total new obligations, unexpired accounts (object class 41.0) .....	2	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	13	14
1021	Recoveries of prior year unpaid obligations .....	1	.....
1050	Unobligated balance (total) .....	14	14
Budget authority:			
Appropriations, discretionary:			
1131	Unobligated balance of appropriations permanently reduced .....	.....	-2
1930	Total budgetary resources available .....	14	14
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	14	12
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4	2
3010	New obligations, unexpired accounts .....	.....	5
3020	Outlays (gross) .....	-1	-3
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....
3050	Unpaid obligations, end of year .....	2	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4	2
3200	Obligated balance, end of year .....	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	.....	-2
Outlays, gross:			
4011	Outlays from discretionary balances .....	1	3
4180	Budget authority, net (total) .....	.....	-2
4190	Outlays, net (total) .....	1	3

This program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. The program was repealed by the Fixing America's Surface Transportation (FAST) Act; however, the project eligibilities are included under the FAST Act authorized Consolidated Rail Infrastructure and Safety Improvements program. No new funds are requested for this account for 2020.

**RAIL SAFETY TECHNOLOGY PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-0701-0-1-401	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	4	1
3020	Outlays (gross) .....	-3	.....
3050	Unpaid obligations, end of year .....	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	4	1
3200	Obligated balance, end of year .....	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances .....	3	.....
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	3	.....

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight

rail carriers, railroad suppliers, and State and local governments. Projects may include the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new technologies that improve the safety of railroad systems.

FRA has given priority to projects that make technologies interoperable between railroad systems; accelerate the deployment of train control technology on high risk corridors, such as those that have high volumes of hazardous materials shipments, or over which commuter or passenger trains operate; or benefit both passenger and freight safety and efficiency.

No new funds are requested for this account for 2020. The FAST Act did not authorize new funding for the Railroad Safety Technology Grants program.

**FEDERAL-STATE PARTNERSHIP FOR STATE OF GOOD REPAIR**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-2810-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Federal-State Partnership for State of Good Repair Grants .....	.....	136
0002	Federal-State Partnership for State of Good Repair Oversight .....	1	1
0900	Total new obligations, unexpired accounts .....	1	137
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	25	275
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation .....	250	250
1930	Total budgetary resources available .....	275	525
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	275	387
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	.....	1
3010	New obligations, unexpired accounts .....	1	137
3020	Outlays (gross) .....	.....	-5
3050	Unpaid obligations, end of year .....	1	133
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	.....	1
3200	Obligated balance, end of year .....	1	133
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	250	250
Outlays, gross:			
4011	Outlays from discretionary balances .....	.....	5
4180	Budget authority, net (total) .....	250	250
4190	Outlays, net (total) .....	.....	5

Funding requested in the Federal-State Partnership for State of Good Repair account are intended to reduce the state of good repair backlog on publicly-owned or Amtrak-owned infrastructure, equipment and facilities. Eligible activities include capital projects to 1) replace existing assets in-kind or with assets that increase capacity or service levels; 2) ensure that service can be maintained while existing assets are brought into a state of good repair; and 3) bring existing assets into a state of good repair. Eligible recipients include states, local governments and Amtrak. The program was authorized in 2015 by the Fixing America's Surface Transportation Act.

FEDERAL-STATE PARTNERSHIP FOR STATE OF GOOD REPAIR—Continued

Object Classification (in millions of dollars)

Identification code 069-2810-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....		1	1
41.0 Grants, subsidies, and contributions .....			136
99.9 Total new obligations, unexpired accounts .....		1	137

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

For necessary expenses related to Consolidated Rail Infrastructure and Safety Improvements Grants, as authorized by section 22907 of title 49, United States Code, \$330,000,000, to remain available until expended: Provided, that the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 22907 of title 49, United States Code.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-2811-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Consolidated Rail Infrastructure and Safety Improvements			
Grants .....		194	233
0002 Consolidated Rail Infrastructure and Safety Improvements			
Oversight .....		2	2
0900 Total new obligations, unexpired accounts .....		196	235
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	68	661	991
1010 Unobligated balance transfer to other accts [069-2812] .....		-67	
1050 Unobligated balance (total) .....	68	594	991
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	593	593	330
1930 Total budgetary resources available .....	661	1,187	1,321
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	661	991	1,086
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			196
3010 New obligations, unexpired accounts .....		196	235
3020 Outlays (gross) .....			-49
3050 Unpaid obligations, end of year .....		196	382
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			196
3200 Obligated balance, end of year .....		196	382
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	593	593	330
Outlays, gross:			
4011 Outlays from discretionary balances .....			49
4180 Budget authority, net (total) .....	593	593	330
4190 Outlays, net (total) .....			49

Consolidated Rail Infrastructure and Safety Improvements are intended to improve the safety, efficiency, and reliability of passenger and freight rail systems. Eligible activities include a wide range of freight and passenger rail capital, planning, environmental analyses, research, workforce development and training projects. Eligible recipients include States; local governments; Class II and Class III railroads; Amtrak and other intercity passenger rail operators; rail carriers and equipment manufacturers that partner with an eligible public-sector applicant, the Transportation Research Board, University Transportation Centers, and non-profit rail labor organizations. The program was authorized in 2015 by the Fixing America's Surface

Transportation Act. The Budget includes \$330 million for this account for 2020.

Object Classification (in millions of dollars)

Identification code 069-2811-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....		2	2
41.0 Grants, subsidies, and contributions .....		194	233
99.9 Total new obligations, unexpired accounts .....		196	235

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority to exist as long as any such direct loan or loan guarantee is outstanding.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-0750-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	23	51	
0706 Interest on reestimates of direct loan subsidy .....	77	10	
0709 Administrative expenses .....	1	1	
0791 Direct program activities, subtotal .....	101	62	
0900 Total new obligations, unexpired accounts .....	101	62	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	25	49
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	25	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	
Appropriations, mandatory:			
1200 Appropriation .....	100	61	
1900 Budget authority (total) .....	125	86	
1930 Total budgetary resources available .....	126	111	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	49	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 New obligations, unexpired accounts .....	101	62	
3020 Outlays (gross) .....	-100	-61	-1
3050 Unpaid obligations, end of year .....	2	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	25	25	
Outlays, gross:			
4011 Outlays from discretionary balances .....			1
Mandatory:			
4090 Budget authority, gross .....	100	61	
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	61	
4180 Budget authority, net (total) .....	125	86	
4190 Outlays, net (total) .....	100	61	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0750-0-1-401	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans .....	220	600	600

Direct loan subsidy (in percent):				
132001	Railroad Rehabilitation and Improvement Financing Direct Loans	0.00	0.00	0.00
Direct loan reestimates:				
135001	Railroad Rehabilitation and Improvement Financing Direct Loans	33	58	

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing (RRIF) loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35 billion, and it required that no less than \$7 billion be reserved for projects primarily benefiting freight railroads other than Class I carriers. The program was expanded by the Rail Safety Improvement Act of 2008 and again by the Fixing America's Surface Transportation Act in 2015. The funding may be used: 1) to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; 2) to refinance debt; 3) to develop and establish new intermodal or railroad facilities; 4) to reimburse related planning and design expenses; 5) and to finance (by December 2019) certain economic development related to passenger rail stations. In 2016, \$1.96 million was made available to assist Class II and Class III railroads in covering RRIF loan application expenses. In 2018, \$25 million was made available for the subsidy costs of new loans, or the cost of loan modifications, with an additional \$17 million being appropriated in 2019 for a similar purpose. No new funds are requested for this account in 2020.

**Object Classification** (in millions of dollars)

Identification code 069-0750-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1	Advisory and assistance services	1	1
33.0	Investments and loans	23	51
43.0	Interest and dividends	77	10
99.9	Total new obligations, unexpired accounts	101	62

**RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 069-4420-0-3-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710	Direct loan obligations	220	600
0713	Payment of interest to Treasury	20	20
0715	Credit Risk Premium Repayment		4
0742	Downward reestimates paid to receipt accounts	67	2
0743	Interest on downward reestimates		1
0900	Total new obligations, unexpired accounts	307	627
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	46	55
1021	Recoveries of prior year unpaid obligations	135	
1050	Unobligated balance (total)	181	55
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	157	600
Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections (interest on uninvested funds)	4	3
1800	Offsetting collections (principal-borrowers)	79	20
1800	Offsetting collections (upward reestimate)	100	61
1800	Offsetting collections (interest-borrowers)	12	13
1800	Collected		10
1800	Offsetting collections (capitalized interest)	2	
1825	Spending authority from offsetting collections applied to repay debt	-173	-13
1850	Spending auth from offsetting collections, mand (total)	24	94
1900	Budget authority (total)	181	694
1930	Total budgetary resources available	362	749

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	55	122
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3,286	3,371
3010	New obligations, unexpired accounts	307	627
3020	Outlays (gross)	-87	-636
3040	Recoveries of prior year unpaid obligations, unexpired	-135	
3050	Unpaid obligations, end of year	3,371	3,362
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3,286	3,371
3200	Obligated balance, end of year	3,371	3,362

**Financing authority and disbursements, net:**

Mandatory:			
4090	Budget authority, gross	181	694
Financing disbursements:			
4110	Outlays, gross (total)	87	636
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-100	-61
4122	Interest on uninvested funds	-4	-3
4123	Credit Risk Premium		-10
4123	Principal Repayment	-79	-20
4123	Interest Repayment	-12	-13
4123	Capitalized Interest	-2	
4130	Offsets against gross budget authority and outlays (total)	-197	-107
4160	Budget authority, net (mandatory)	-16	587
4170	Outlays, net (mandatory)	-110	529
4180	Budget authority, net (total)	-16	587
4190	Outlays, net (total)	-110	529

**Status of Direct Loans** (in millions of dollars)

Identification code 069-4420-0-3-401	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority	220	600
1150	Total direct loan obligations	220	600
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	586	507
1231	Disbursements: Direct loan disbursements		598
1251	Repayments: Repayments and prepayments	-81	-43
1261	Adjustments: Capitalized interest	2	
1263	Write-offs for default: Direct loans		-1
1290	Outstanding, end of year	507	1,061

**Balance Sheet** (in millions of dollars)

Identification code 069-4420-0-3-401	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101	Fund balances with Treasury	54
Investments in U.S. securities:		
1106	Receivables, net	17
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	586
1405	Allowance for subsidy cost (-)	-99
1499	Net present value of assets related to direct loans	586
1999	Total assets	586
<b>LIABILITIES:</b>		
Federal liabilities:		
2103	Debt	479
2105	Other	586
2999	Total liabilities	586
<b>NET POSITION:</b>		
3300	Cumulative results of operations	
4999	Total liabilities and net position	586

**ADMINISTRATIVE PROVISIONS**

SEC. 150. None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000 for any individual

employee: Provided, That the President of Amtrak may waive the cap set in the previous proviso for specific employees when the President of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: Provided further, That the President of Amtrak shall report to the House and Senate Committees on Appropriations each quarter of the calendar year on waivers granted to employees and amounts paid above the cap for each month within such quarter and delineate the reasons each waiver was granted: Provided further, That the President of Amtrak shall report to the House and Senate Committees on Appropriations within 60 days of enactment of this Act, a summary of all overtime payments incurred by the Corporation for 2019 and the three prior calendar years: Provided further, That such summary shall include the total number of employees that received waivers and the total overtime payments the Corporation paid to those employees receiving waivers for each month for 2019 and for the three prior calendar years.

SEC. 151. Notwithstanding section 1302 of title 40, United States Code, the Federal Railroad Administration may lease to others or enter into contracts, for such consideration, and subject to such terms and conditions, as it determines to be in the best interests of the government, for a term of up to 20 years for the continued operation and maintenance and capital reinvestment of the Transportation Technology Center near Pueblo, Colorado.

SEC. 152. RAILROAD SAFETY USER FEES.

(a) SCHEDULE OF RAILROAD SAFETY USER FEES. The Secretary of Transportation shall prescribe by regulation, for application in fiscal year 2020 and in subsequent fiscal years, a schedule of rail safety fees for railroad carriers subject to Part A of Subtitle V of title 49, United States Code. The fees shall be imposed fairly on railroad carriers, in reasonable relationship to appropriate criteria to be developed by the Secretary.

(b) COLLECTION PROCEDURES. The Secretary shall prescribe procedures to collect the fees. The Secretary may use the services of a department, agency, or instrumentality of the United States Government or a State or local authority to collect the fees, and may reimburse the department, agency, instrumentality, or authority a reasonable amount for its services.

(c) COLLECTION, DEPOSIT, AND USE.—

(1) Fees collected under this section shall be deposited in the Federal Railroad Administrations Safety and Operations account as offsetting collections.

(2) Such fees shall be collected and available to the extent provided in appropriations acts.

SEC. 153. Of the unobligated balances of funds remaining from—

(1) Public Law 111–117 appropriated to "Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service", a total of \$53,404,128.31 is hereby permanently cancelled;

(2) Public Law 110–161 appropriated to "Rail Line Relocation and Improvement Program", a total of \$340,861.51 is hereby permanently cancelled;

(3) Public Law 111–8 appropriated to "Rail Line Relocation and Improvement Program", a total of \$485,764.84 is hereby permanently cancelled; and

(4) Public Law 111–117 appropriated to "Rail Line Relocation and Improvement Program", a total of \$1,495,398 is hereby permanently cancelled.

FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides grant funding to State and local governments, public and private transit operators, and other recipients to enhance public transportation across the United States. FTA programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize limited public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation. In 2015, a new five year surface transportation authorization law was enacted—Fixing America's Surface Transportation Act or the FAST Act. The FAST Act provides steady and predictable funding for five years and a renewed focus on reinvesting in and modernizing transit assets to help bring transit systems throughout the country into a state of good repair.

The Administration proposes \$12.4 billion for FTA in 2020. This proposal includes \$10.2 billion to support FTA's base formula programs that provide assistance to transit agencies in both urban and rural areas, with an additional investment in programs improving the state of good repair of rail transit and recapitalizing bus and bus facilities through a new discretionary grant program. The Administration proposes \$1.5 billion in new budget authority for Capital Investment Grants to support new fixed guideway

investments as well as projects aimed at improving or restoring the core capacity of existing fixed guideway systems.

The table below presents actual funding for 2018, the annualized CR for 2019, and the request for 2020. Additional detail is provided in the program budget schedules that follow.

[In millions of dollars]

	2018 actual	2019 est.	2020 est.
<b>Budget Authority:</b>			
Transit Formula Grants (TF) .....	9,734	9,734	10,150
Capital Investment Grants (GF) .....	2,645	2,645	1,505
Administrative Expenses (GF) .....	113	113	111
Transit Research (Reclassified) (GF) .....	0	0	0
Technical Assistance and Training (GF) .....	5	5	0
Transit Infrastructure Grants (GF) .....	834	834	500
Washington Metropolitan Area Transit Authority (GF) .....	150	150	150
<b>Total Budget Authority .....</b>	<b>13,480</b>	<b>13,480</b>	<b>12,416</b>
<b>Total Discretionary .....</b>	<b>3,746</b>	<b>3,746</b>	<b>2,266</b>
<b>Total Mandatory .....</b>	<b>9,734</b>	<b>9,734</b>	<b>10,150</b>

Note: Totals may not add due to rounding, and amounts do not include transfers with the Federal Highway Administration.

Federal Funds

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, \$110,552,000, of which not more than \$1,000,000, to remain available until September 30, 2021, shall be available to carry out the provisions of 49 U.S.C. 5326.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1120–0–1–401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	105	105	104
0002 Transit Safety Oversight .....	6	6	6
0003 Transit Asset Management .....	2	2	1
0900 Total new obligations, unexpired accounts .....	113	113	111
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	113	113	111
1930 Total budgetary resources available .....	113	113	111
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	16	6
3010 New obligations, unexpired accounts .....	113	113	111
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-111	-123	-111
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	16	6	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14	16	6
3200 Obligated balance, end of year .....	16	6	6
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	113	113	111
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	101	107	105
4011 Outlays from discretionary balances .....	10	16	6
4020 Outlays, gross (total) .....	111	123	111
4180 Budget authority, net (total) .....	113	113	111
4190 Outlays, net (total) .....	111	123	111

The Federal Transit Administration's (FTA) Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses for 492 full-time equivalents employees (FTEs) to carry out the Agency's stewardship of over \$12.4 billion in Federal funds. Priorities for

2020 include enhancement of the Office of Safety and Oversight's workforce to strengthen and expand the framework of the robust State Safety Oversight Program and Safety for all modes of transit, including Accident Investigation Oversight; the implementation of the FAST Act to include required rulemakings, policy updates, and strategic planning; the provision of technical assistance to grantees during project development and program implementation; Capital Project Management Oversight and grantee compliance; and support for Transit Asset Management activities, which includes developing objective standards to measure capital asset condition and collecting data on the asset condition of the FTA grantees.

**Object Classification** (in millions of dollars)

Identification code 069-1120-0-1-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	57	57	57
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	59	59	59
12.1 Civilian personnel benefits .....	19	19	19
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	18	18	16
25.7 Operation and maintenance of equipment .....	4	4	4
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	113	113	111

**Employment Summary**

Identification code 069-1120-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	503	501	492

**JOB ACCESS AND REVERSE COMMUTE GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 069-1125-0-1-401	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3020 Outlays (gross) .....	.....	-1	.....
3050 Unpaid obligations, end of year .....	1	.....	.....
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	.....
3200 Obligated balance, end of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	.....	1	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	1	.....

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2005.

**GRANTS TO WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY**

For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: Provided, That the Secretary of Transportation shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: Provided further, That the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system before approving such grants: Provided further, That the Secretary, in order to ensure safety

throughout the rail system, may waive the requirements of section 601(e)(1) of division B of Public Law 110-432.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1128-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Washington Metropolitan Area Transit Authority .....	149	149	149
0002 Oversight .....	.....	1	1
0900 Total new obligations, unexpired accounts .....	149	150	150
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	150	150	150
1930 Total budgetary resources available .....	151	152	152
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	200	169	226
3010 New obligations, unexpired accounts .....	149	150	150
3020 Outlays (gross) .....	-180	-93	-137
3050 Unpaid obligations, end of year .....	169	226	239
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	200	169	226
3200 Obligated balance, end of year .....	169	226	239
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	150	150	150
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	38	38
4011 Outlays from discretionary balances .....	178	55	99
4020 Outlays, gross (total) .....	180	93	137
4180 Budget authority, net (total) .....	150	150	150
4190 Outlays, net (total) .....	180	93	137

The Federal Rail Safety Improvements Act, 2008, (P.L. 110-432, Title VI, Sec. 601), provided authorization for capital and preventive maintenance projects for the Washington Metropolitan Area Transit Authority (WMATA). Funding will help WMATA address its reinvestment and maintenance backlog to improve the safety and reliability of service and to expand existing system capacity to meet growing demand. The Secretary of Transportation shall approve grants for capital and preventive maintenance expenditures for WMATA only after receiving and reviewing a request for each specific project. The Secretary shall determine that WMATA has placed the highest priority on those investments that will improve the safety of the system before approving such grants. The Secretary, in order to ensure safety throughout the rail system, may waive the requirements of section 601(e) (1) of title VI of Public Law 110-432 (112 Stat. 4968).

**Object Classification** (in millions of dollars)

Identification code 069-1128-0-1-401	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	148	149	149
99.9 Total new obligations, unexpired accounts .....	149	150	150

FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1129-0-1-401	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	48	49	49
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	49	49	49
1930 Total budgetary resources available .....	49	49	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	49	49	49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	72	57	32
3020 Outlays (gross) .....	-14	-25	-25
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	57	32	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	70	55	30
3200 Obligated balance, end of year .....	55	30	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	14	25	25
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	14	25	25

This schedule shows obligations and outlays of formula grant program funding made available in fiscal years prior to 2006. In 2020, funds requested for transit formula grant programs are included in the Transit Formula Grants account and funded exclusively by the Mass Transit Account of the Highway Trust Fund.

GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 069-1131-0-1-401	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9		
3020 Outlays (gross) .....	-8		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	8		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	8		

Initiated within the American Recovery & Reinvestment Act (ARRA) of 2009, this program provided grants to public transit agencies for capital investments to reduce the energy consumption or greenhouse gas emissions of their public transportation operations. Activities have not been funded in this account since 2011. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2012. In 2020, projects to increase energy efficiency and decrease greenhouse gas emissions can be funded with Urbanized Area Formula grants and Rural Area Formula grants.

CAPITAL INVESTMENT GRANTS

For necessary expenses to carry out fixed guideway capital investment grants under section 5309 of title 49, United States Code, \$1,505,190,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-1134-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Investment Grant .....	1,827	2,619	1,490
0003 Oversight .....	14	26	15
0799 Total direct obligations .....	1,841	2,645	1,505
0900 Total new obligations, unexpired accounts .....	1,841	2,645	1,505
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,642	3,449	3,449
1021 Recoveries of prior year unpaid obligations .....	3		
1050 Unobligated balance (total) .....	2,645	3,449	3,449
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,645	2,645	1,505
1930 Total budgetary resources available .....	5,290	6,094	4,954
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,449	3,449	3,449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,626	2,600	3,331
3010 New obligations, unexpired accounts .....	1,841	2,645	1,505
3020 Outlays (gross) .....	-1,864	-1,914	-2,071
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3		
3050 Unpaid obligations, end of year .....	2,600	3,331	2,765
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,626	2,600	3,331
3200 Obligated balance, end of year .....	2,600	3,331	2,765
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,645	2,645	1,505
Outlays, gross:			
4010 Outlays from new discretionary authority .....	200	767	436
4011 Outlays from discretionary balances .....	1,664	1,147	1,635
4020 Outlays, gross (total) .....	1,864	1,914	2,071
4180 Budget authority, net (total) .....	2,645	2,645	1,505
4190 Outlays, net (total) .....	1,864	1,914	2,071

The 2020 Budget request includes \$1.505 billion for the Capital Investment Grants account to increase the capacity of transit networks in communities across the nation. The program supports the construction of new fixed guideway systems or extensions to fixed guideways including, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and streetcar systems. The law outlines a multi-year, multi-step competitive process that projects must complete to be eligible for CIG funding. At various points during these steps, and prior to any grant award, FTA must evaluate and rate each project. To obtain funding, projects must obtain a medium or better rating under the statutorily defined criteria that examine project merit and local financial commitment.

Object Classification (in millions of dollars)

Identification code 069-1134-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	14	26	15
41.0 Grants, subsidies, and contributions .....	1,827	2,619	1,490
99.0 Direct obligations .....	1,841	2,645	1,505

99.9	Total new obligations, unexpired accounts .....	1,841	2,645	1,505
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**Employment Summary**

Identification code 069-1134-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	2	2

**TRANSIT RESEARCH**

**Program and Financing** (in millions of dollars)

Identification code 069-1137-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct Obligations .....	2	10	.....
0801 Reimbursable Obligations .....	1	1	.....
0900 Total new obligations, unexpired accounts .....	3	11	.....

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	11	.....
1021 Recoveries of prior year unpaid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	14	11	.....
1930 Total budgetary resources available .....	14	11	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	.....	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	88	53	33
3010 New obligations, unexpired accounts .....	3	11	.....
3020 Outlays (gross) .....	-36	-31	-27
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	53	33	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	82	47	27
3200 Obligated balance, end of year .....	47	27	.....

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	36	31	27
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	36	31	27

Beginning in 2016, activities of this account are carried out under the Transit Formula Grants account of the Highway Trust Fund. The Federal Transit Administration research programs include discretionary grant support for the National Research Program, the Transit Cooperative Research Program, and Low to No Vehicle Emissions activities.

**Object Classification** (in millions of dollars)

Identification code 069-1137-0-1-401	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	10	.....
99.0 Direct obligations .....	2	10	.....
99.0 Reimbursable obligations .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	3	11	.....

**PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 069-1140-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2) .....	1,257	1,170	548

0003 2013 Hurricane Sandy Emergency Supp (P.L. 113-2 Administration and Oversight) .....	4	4	4
0004 2018 Hurricanes Harvey, Irma, and Maria .....	.....	79	94
0005 2018 Hurricanes Harvey, Irma, and Maria (Admin and Oversight) .....	.....	2	1
0799 Total direct obligations .....	1,261	1,255	647
0801 Disaster Mission Assignment .....	1	.....	.....
0900 Total new obligations, unexpired accounts .....	1,262	1,255	647

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,006	2,087	835
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	3,016	2,087	835
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	330	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	3	.....
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3	3	.....
1900 Budget authority (total) .....	333	3	.....
1930 Total budgetary resources available .....	3,349	2,090	835
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2,087	835	188

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,954	5,746	6,011
3010 New obligations, unexpired accounts .....	1,262	1,255	647
3020 Outlays (gross) .....	-460	-990	-947
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3050 Unpaid obligations, end of year .....	5,746	6,011	5,711
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4,953	5,742	6,007
3200 Obligated balance, end of year .....	5,742	6,007	5,707

<b>Budget authority and outlays, net:</b>			
Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross .....	333	3	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	2	.....
4011 Outlays from discretionary balances .....	459	988	947
4020 Outlays, gross (total) .....	460	990	947
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	.....	-3	.....
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
4070 Budget authority, net (discretionary) .....	330	.....	.....
4080 Outlays, net (discretionary) .....	460	987	947
4180 Budget authority, net (total) .....	330	.....	.....
4190 Outlays, net (total) .....	460	987	947

The Public Transportation Emergency Relief Program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the \$10.9 billion supplemental appropriation (adjusted to \$10.2 billion after sequestration and the transfer of funds to the Office of the Inspector General and the Federal Railroad Administration) provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2) following Hurricane Sandy through this account. The Bipartisan Budget Account of 2018 (Public Law 115-123) also provided \$330 million for eligible capital and operating costs for areas affected by Hurricanes Harvey, Irma, and Maria.

PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM—Continued

Object Classification (in millions of dollars)

Identification code 069-1140-0-1-401	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1,257	1,251	643
99.0 Direct obligations .....	1,261	1,255	647
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations, unexpired accounts .....	1,262	1,255	647

Employment Summary

Identification code 069-1140-0-1-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	30	30

TECHNICAL ASSISTANCE AND TRAINING

Program and Financing (in millions of dollars)

Identification code 069-1142-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Technical Assistance and Standards Development .....	5	5	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	5	5	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	
1930 Total budgetary resources available .....	6	6	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	9	5
3010 New obligations, unexpired accounts .....	5	5	1
3020 Outlays (gross) .....	-3	-9	-6
3050 Unpaid obligations, end of year .....	9	5	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	9	5
3200 Obligated balance, end of year .....	9	5	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		4	
4011 Outlays from discretionary balances .....	3	5	6
4020 Outlays, gross (total) .....	3	9	6
4180 Budget authority, net (total) .....	5	5	
4190 Outlays, net (total) .....	3	9	6

Beginning in Fiscal Year 2016 activities under this account are carried out under the Transit Formula Grants account of the Highway Trust Fund. The Technical Assistance and Standard Development program enables FTA to provide technical assistance to the public transportation industry and to develop standards for transit service provision, with an emphasis on improving access for all individuals and transportation equity. Through this program, FTA is able to assist grantees to more effectively and efficiently provide public transportation and administer Federal funding in compliance with the law.

TRANSIT INFRASTRUCTURE GRANTS

For an additional amount for buses and bus facilities grants under section 5339 of title 49, United States Code, and state of good repair grants under section 5337 of such title, \$500,000,000 to remain available until expended: Provided, That \$250,000,000 shall be available for the buses and bus facilities competitive grants as authorized under section 5339(b) of such title: Provided further, That \$250,000,000 shall be available for the state of good repair grants as authorized under section 5337 of such title: Provided further, That amounts made available by this heading shall be derived from the general fund: Provided further, That the amounts made available under this heading shall not be subject to any limitation on obligations for transit programs set forth in any Act.

Program and Financing (in millions of dollars)

Identification code 069-2812-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Bus & Bus Facilities .....	44	190	339
0002 State of Good Repair .....		332	298
0003 Bus Testing Facility .....		4	
0004 Low or NO Emission Bus Testing .....		4	
0005 High Density State .....		40	20
0006 Oversight .....		7	4
0007 Positive Train Control .....		67	
0900 Total new obligations, unexpired accounts .....	44	644	661
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		790	1,047
1011 Unobligated balance transfer from other acct [069-2811] .....		67	
1050 Unobligated balance (total) .....		857	1,047
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	834	834	500
1900 Budget authority (total) .....	834	834	500
1930 Total budgetary resources available .....	834	1,691	1,547
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	790	1,047	886
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		44	620
3010 New obligations, unexpired accounts .....	44	644	661
3020 Outlays (gross) .....		-68	-174
3050 Unpaid obligations, end of year .....	44	620	1,107
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		44	620
3200 Obligated balance, end of year .....	44	620	1,107
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	834	834	500
Outlays, gross:			
4010 Outlays from new discretionary authority .....		8	5
4011 Outlays from discretionary balances .....		60	169
4020 Outlays, gross (total) .....		68	174
4180 Budget authority, net (total) .....	834	834	500
4190 Outlays, net (total) .....		68	174

The Consolidated Appropriations Act of 2018 created the Transit Infrastructure Grants program to provide additional funding for FTA's core capital assistance programs. Funds are allocated through existing State of Good Repair and Buses and Bus Facilities formula and competitive grants.

Transit Infrastructure Grants reinvest in existing transit assets including rail fixed guideway systems. These funds also help replace, rehabilitate, and purchase new buses, and construct bus-related facilities.

The 2020 Budget includes \$250 million for Buses and Bus Facilities grants and \$250 million for State of Good Repair grants.

Object Classification (in millions of dollars)

Identification code 069-2812-0-1-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....		7	4
41.0 Grants, subsidies, and contributions .....	44	637	657

99.9	Total new obligations, unexpired accounts .....	44	644	661
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**Trust Funds**

**DISCRETIONARY GRANTS (HIGHWAY TRUST FUND, MASS TRANSIT ACCOUNT)**

**Program and Financing** (in millions of dollars)

Identification code 069-8191-0-7-401	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	18	18	18
1930	Total budgetary resources available .....	18	18	18
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	18	18	18
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			
<b>Memorandum (non-add) entries:</b>				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority .....	38	38	38
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority .....	38	38	38

For 2020, no resources are requested for this account.

**TRANSIT FORMULA GRANTS**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(HIGHWAY TRUST FUND)

For payment of obligations incurred in the Federal Public Transportation Assistance Program in this account, and for payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, and section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, \$10,800,000,000, to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: Provided, That funds available for the implementation or execution of programs authorized under 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, and section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, shall not exceed total obligations of \$10,150,348,462 in fiscal year 2020: Provided further, That the Federal share of the cost of activities carried out under section 5312 shall not exceed 80 percent, except that if the Secretary determines that there is substantial public interest or benefit, the Secretary may approve a greater Federal share.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8350-0-7-401	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Urbanized area programs .....	6,598	6,390	6,410
0003	Bus and bus facility grants .....	241	214	214
0006	Planning Programs .....	153	148	149
0010	Seniors and persons with disabilities .....	344	383	385
0011	Non-urbanized area programs .....	666	781	784
0013	National Transit Database .....	4	4	4
0014	Oversight .....	64	70	73
0015	Transit Oriented Development .....		19	19
0016	Bus and Bus Facilities Formula Grants .....	310	390	391
0017	Bus Testing Facility .....	4	3	3
0019	State of Good Repair Grants .....	3,223	2,692	2,700
0020	Public Transportation Innovation (Research) .....	8	14	14
0021	Technical Assistance and Workforce Development .....	6	8	8
0022	Positive Train Control .....	193	2	
0023	Pilot Program for Enhanced Mobility .....	2	2	2
0900	Total new obligations, unexpired accounts .....	11,816	11,120	11,156

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	11,900	11,177	11,090
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8083] .....	-22		
1021	Recoveries of prior year unpaid obligations .....	91		
1050	Unobligated balance (total) .....	11,969	11,177	11,090
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	10,300	10,300	10,800
1120	Appropriations transferred to other acct [069-8083] .....	-66		
1121	Appropriations transferred from other acct [069-8083] .....	1,700	1,300	1,300
1137	Appropriations applied to liquidate contract authority .....	-11,934	-11,600	-12,100
Contract authority, mandatory:				
1600	Contract authority .....	9,733	9,733	10,150
1610	Contract authority transferred to other accounts [069-8083] .....	-45		
1611	Contract authority transferred from other accounts [069-8083] .....	1,336	1,300	1,300
1640	Contract authority, mandatory (total) .....	11,024	11,033	11,450
1900	Budget authority (total) .....	11,024	11,033	11,450
1930	Total budgetary resources available .....	22,993	22,210	22,540
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	11,177	11,090	11,384

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	16,241	17,860	18,630
3010	New obligations, unexpired accounts .....	11,816	11,120	11,156
3020	Outlays (gross) .....	-10,106	-10,350	-10,945
3040	Recoveries of prior year unpaid obligations, unexpired .....	-91		
3050	Unpaid obligations, end of year .....	17,860	18,630	18,841
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16,241	17,860	18,630
3200	Obligated balance, end of year .....	17,860	18,630	18,841

**Budget authority and outlays, net:**

Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1,512	1,876	1,947
4011	Outlays from discretionary balances .....	8,594	8,474	8,998
4020	Outlays, gross (total) .....	10,106	10,350	10,945
Mandatory:				
4090	Budget authority, gross .....	11,024	11,033	11,450
4180	Budget authority, net (total) .....	11,024	11,033	11,450
4190	Outlays, net (total) .....	10,106	10,350	10,945
<b>Memorandum (non-add) entries:</b>				
5052	Obligated balance, SOY: Contract authority .....	4,249	3,318	2,750
5053	Obligated balance, EOY: Contract authority .....	3,318	2,750	2,100
5061	Limitation on obligations (Highway Trust Funds) .....	11,024	11,033	11,450

Transit Formula Grants funds are primarily used for transit capital purposes including bus and rail car purchases, facility repair, construction and maintenance. In certain instances Transit Formula Grant funds are eligible to be used for planning expenses as well. Some funds are also used for research, development, and demonstration to improve transit safety and innovation.

The 2020 Budget request includes \$10.2 billion for Transit Formula Grants, as authorized in the FAST Act. The 2020 formula grant program structure includes:

**Urbanized Area Formula.**—\$4.929 billion. For formula grants to urbanized areas with populations of 50,000 or more. Funds may be used for any transit capital purpose. Operating costs continue to be eligible expenses for all urban areas under 200,000 in population; and, in certain circumstances, operating costs may be eligible expenses in urban areas with populations over 200,000. Additionally, Urbanized Area grants may be used to support Job Access and Reverse Commute activities.

**State of Good Repair Grants.**—\$2.684 billion. For a formula-based capital maintenance program to restore and replace aging transportation infrastructure through reinvestment in existing fixed guideway systems and buses on high occupancy vehicle (HOV) lanes.

**Rural Area Formula.**—\$673 million. For formula grants to provide funds for capital, planning and operating assistance grants for transit service implemented by States in rural areas with populations of less than 50,000.

TRANSIT FORMULA GRANTS—Continued

Funding may also be used to support intercity bus service. Additionally, Rural Area grants may be used to support Job Access and Reverse Commute activities. Within this amount, \$30 million in formula funds and \$5 million in discretionary grant funds will support the Public Transportation on Indian Reservations program and \$20 million will support the Appalachian Development Public Transportation Assistance Formula Program.

*Growing States and High Density States.*—\$570 million. For funds that are divided between the Urban and Rural Area programs based on the legislative funding formula for this program.

*Enhanced Mobility of Seniors and Individuals with Disabilities.*—\$286 million. Supports local governments and public and private transportation providers that serve special needs of these specific transit-dependent populations beyond traditional public transportation services, including complementary paratransit service.

*Bus and Bus Facilities Grants.*—\$809 million. For formula funding and discretionary funding to replace, rehabilitate, and purchase buses and related equipment, and to construct bus-related facilities States may use these funds to supplement Urbanized Area and Rural Area formula grant programs. Funding also supports low and zero emission bus and bus facilities.

*Bus Testing Facility.*—\$3 million. Funding supports a facility where all new bus models purchased using FTA capital assistance will be tested for compliance with performance standards for safety, structural integrity, reliability, performance (including braking performance) maintainability, emissions, noise and fuel economy. FTA must develop a Pass/Fail rating system for buses. FTA grantees will not be able use Federal funds to purchase buses that do not receive a "pass" rating.

*Planning Programs.*—\$142 million. Funding supports cooperative, continuous, and comprehensive transportation infrastructure investment planning. The program requires that all Metropolitan Planning Organizations (MPOs), and States, develop performance-driven, outcome-based transportation plans.

*Transit Oriented Development Pilot.*—\$10 million. This pilot program funds planning for projects that support transit-oriented development associated with new fixed-guideway and core capacity improvement projects.

*National Transit Data Base (NTD).*—\$4 million. For operation and maintenance of the NTD, a database of nationwide statistics on the transit industry, which FTA is legally required to maintain under 49 U.S.C. 5335(a)(1)(2). NTD data serves as the basis for FTA formula grant apportionments and is used to track the condition and performance of our Nation's transit infrastructure.

*Public Transportation Innovation.*—\$28 million. This program provides assistance for projects and activities to advance innovative public transportation research, demonstration, deployment and development and testing, evaluating and analyzing low or no emission vehicle components intended for use in low or no emission vehicles.

*Technical Assistance and Workforce Development.*—\$9 million. This program enables FTA to provide technical assistance to the public transportation industry and to develop standards for transit service, with an emphasis on improving access for all individuals. Through this program, FTA is able to assist grantees to more effectively and efficiently provide public transportation and administer federal funding in compliance with the law. Funds are also used to address public transportation workforce needs through the National Transit Institute.

*Pilot Program for Enhanced Mobility.*—\$3.5 million. This pilot program assists in financing innovative projects for the transportation disadvantaged that improve the coordination of transportation services and non-emergency medical transportation services.

Object Classification (in millions of dollars)			
Identification code 069-8350-0-7-401	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	66	65	68

41.0	Grants, subsidies, and contributions .....	11,747	11,050	11,083
99.9	Total new obligations, unexpired accounts .....	11,816	11,120	11,156

Employment Summary

Identification code 069-8350-0-7-401	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	17	30	30

ADMINISTRATIVE PROVISIONS

*Sec. 160. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.*

*Sec. 161. Notwithstanding any other provision of law, funds appropriated or limited by this Act under the heading "Capital Investment Grants" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, 2022, and other recoveries, shall be directed to projects eligible to use the funds for the purposes for which they were originally provided.*

*Sec. 162. Notwithstanding any other provision of law, any funds appropriated before October 1, 2019, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.*

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Federal Funds

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

*The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069-4089-0-3-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity: Operations and maintenance .....	20	20	20
0002 Direct program activity: Replacements and improvements .....	8	20	8
0799 Total direct obligations .....	28	40	28
0801 Operations and maintenance .....		1	1
0899 Total reimbursable obligations .....		1	1
0900 Total new obligations, unexpired accounts .....	28	41	29
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	27	27
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	41	41	29
1930 Total budgetary resources available .....	55	68	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	27	27	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	28	13
3010 New obligations, unexpired accounts .....	28	41	29
3020 Outlays (gross) .....	-31	-56	-41
3050 Unpaid obligations, end of year .....	28	13	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	28	13

3200	Obligated balance, end of year .....	28	13	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	41	41	29
Outlays, gross:				
4100	Outlays from new mandatory authority .....	22	41	29
4101	Outlays from mandatory balances .....	9	15	12
4110	Outlays, gross (total) .....	31	56	41
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-40	-40	-28
4123	Non-Federal sources .....	-1	-1	-1
4130	Offsets against gross budget authority and outlays (total) ....	-41	-41	-29
4170	Outlays, net (mandatory) .....	-10	15	12
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-10	15	12

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid-Lake Erie. The SLSDC is also responsible for regional trade and economic development. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. The SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the safety and reliability of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital asset renewal needs for the U.S. portion of the St. Lawrence Seaway.

**Object Classification** (in millions of dollars)

Identification code 069-4089-0-3-403		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	11	11	11
12.1	Civilian personnel benefits .....	4	4	4
25.1	Advisory and assistance services .....	1		
25.2	Other services from non-Federal sources .....	2	1	1
25.3	Other goods and services from Federal sources .....	2	2	2
26.0	Supplies and materials .....	2	1	1
31.0	Equipment .....	5	6	1
32.0	Land and structures .....	1	15	8
99.0	Direct obligations .....	28	40	28
25.3	Reimbursable obligations: Other goods and services from Federal sources .....		1	1
99.0	Reimbursable obligations .....		1	1
99.9	Total new obligations, unexpired accounts .....	28	41	29

**Employment Summary**

Identification code 069-4089-0-3-403		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	127	144	144

**Trust Funds**

**OPERATIONS AND MAINTENANCE**  
(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses to conduct the operations, maintenance, and capital asset renewal activities of those portions of the St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, \$28,000,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-8003-0-7-403		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Operations and maintenance .....	40	40	28
0900	Total new obligations, unexpired accounts (object class 25.3) .....	40	40	28
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	40	40	28
1930	Total budgetary resources available .....	40	40	28
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	40	40	28
3020	Outlays (gross) .....	-40	-40	-28
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	40	40	28
Outlays, gross:				
4010	Outlays from new discretionary authority .....	40	40	28
4180	Budget authority, net (total) .....	40	40	28
4190	Outlays, net (total) .....	40	40	28

The Water Resources Development Act of 1986 (P.L. 99-662) authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital asset renewal programs.

**PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION**

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

		[In millions of dollars]		
		2018 Actual	2019 est.	2020 est.
<b>Budget authority:</b>				
	Operational Expenses .....	23	23	24
	Hazardous Materials Safety .....	59	59	53
	Emergency Preparedness Grants .....	26	26	28
	Pipeline Safety .....	139	139	127
	Pipeline Safety Share of Oil Spill Liability Trust Fund .....	23	23	22
	<b>Total budget authority .....</b>	<b>270</b>	<b>270</b>	<b>254</b>
<b>Obligations:</b>				
	Operational Expenses .....	23	23	24
	Hazardous Materials Safety .....	57	79	57
	Emergency Preparedness Grants .....	26	26	28
	Pipeline Safety .....	172	213	151
	Pipeline Safety Share of Oil Spill Liability Trust Fund .....	23	23	22
	<b>Total program level .....</b>	<b>278</b>	<b>364</b>	<b>282</b>
<b>Outlays:</b>				
	Operational Expenses .....	22	23	24
	Hazardous Materials Safety .....	56	64	65
	Emergency Preparedness Grants .....	21	32	36
	Pipeline Safety .....	120	143	145
	Pipeline Safety Share of Oil Spill Liability Trust Fund .....	20	23	24
	<b>Total outlays .....</b>	<b>239</b>	<b>285</b>	<b>294</b>

**Federal Funds**

**OPERATIONAL EXPENSES**

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, \$24,215,000: Provided, That notwithstanding the amounts specified in 49 U.S.C. 60130(c), \$2,000,000 shall remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

OPERATIONAL EXPENSES—Continued

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1400–0–1–407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	22	22	22
0002 Grants .....	1	1	2
0900 Total new obligations, unexpired accounts .....	23	23	24
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	24
1930 Total budgetary resources available .....	23	23	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	7
3010 New obligations, unexpired accounts .....	23	23	24
3020 Outlays (gross) .....	–22	–24	–24
3050 Unpaid obligations, end of year .....	8	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	8	7
3200 Obligated balance, end of year .....	8	7	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	16	16	16
4011 Outlays from discretionary balances .....	6	8	8
4020 Outlays, gross (total) .....	22	24	24
4180 Budget authority, net (total) .....	23	23	24
4190 Outlays, net (total) .....	22	24	24

The success of PHMSA safety programs depends on the performance of support organizations that empower the program offices to meet their safety mandate. PHMSA's support organizations include the Administrator, Deputy Administrator, Executive Director/Chief Safety Officer, Associate Administrator for Planning and Analytics, Chief Counsel, Governmental, International and Public Affairs, Associate Administrator for Administration, Chief Financial Officer, Information Technology Services, Administrative Services, Budget and Finance, Acquisition Services, Human Resources and Civil Rights.

Object Classification (in millions of dollars)

Identification code 069–1400–0–1–407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	8	9	8
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	9	9	8
12.1 Civilian personnel benefits .....	3	3	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	2	2	3
25.3 Other goods and services from Federal sources .....	2	1	1
25.7 Operation and maintenance of equipment .....	4	4	5
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....	1	2	2
99.0 Direct obligations .....	23	22	22
99.5 Adjustment for rounding .....		1	2
99.9 Total new obligations, unexpired accounts .....	23	23	24

Employment Summary

Identification code 069–1400–0–1–407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	66	70	64

HAZARDOUS MATERIALS SAFETY

For expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, \$53,000,000, to remain available until September 30, 2022: Provided, That up to \$800,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: Provided further, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1401–0–1–407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	51	52	45
0002 Research and development .....	5	23	8
0799 Total direct obligations .....	56	75	53
0801 Reimbursable program .....	1	4	4
0900 Total new obligations, unexpired accounts .....	57	79	57
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	16	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	59	59	53
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	4	4
1900 Budget authority (total) .....	60	63	57
1930 Total budgetary resources available .....	73	79	57
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	16		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	18	29
3010 New obligations, unexpired accounts .....	57	79	57
3020 Outlays (gross) .....	–57	–68	–69
3050 Unpaid obligations, end of year .....	18	29	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	18	29
3200 Obligated balance, end of year .....	18	29	17
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	60	63	57
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	44	40
4011 Outlays from discretionary balances .....	16	24	29
4020 Outlays, gross (total) .....	57	68	69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1	–4	–4
4040 Offsets against gross budget authority and outlays (total) ....	–1	–4	–4
4180 Budget authority, net (total) .....	59	59	53
4190 Outlays, net (total) .....	56	64	65

PHMSA's Hazardous Materials Safety program is responsible for advancing the flow of commerce and ensuring the safe transportation of hazardous materials. It relies on a comprehensive risk management program to ensure that resources are effectively applied to minimize fatalities and injuries; mitigate the consequences of incidents that occur; and enhance safety through policy and standards development, enforcement, and outreach efforts.

**Object Classification** (in millions of dollars)

Identification code 069-1401-0-1-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	20	21	21
11.3 Other than full-time permanent .....	1	1	.....
11.9 Total personnel compensation .....	21	22	21
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	8	8	5
25.3 Other goods and services from Federal sources .....	4	4	3
25.5 Research and development contracts .....	5	23	8
25.7 Operation and maintenance of equipment .....	4	4	3
31.0 Equipment .....	1	.....	.....
41.0 Grants, subsidies, and contributions .....	1	1	.....
99.0 Direct obligations .....	56	74	52
99.0 Reimbursable obligations .....	1	4	4
99.5 Adjustment for rounding .....	.....	1	1
99.9 Total new obligations, unexpired accounts .....	57	79	57

**Employment Summary**

Identification code 069-1401-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	184	203	201

**PIPELINE SAFETY**

(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

*For expenses necessary to conduct the functions of the pipeline safety program, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, \$149,000,000, to remain available until September 30, 2022, of which \$22,000,000 shall be derived from the Oil Spill Liability Trust Fund; of which \$119,000,000 shall be derived from the Pipeline Safety Fund; and of which \$8,000,000 shall be derived from fees collected under 49 U.S.C. 60302 and deposited in the Underground Natural Gas Storage Facility Safety Account for the purpose of carrying out 49 U.S.C. 60141: Provided, that not less than \$1,058,000 of the funds provided under this heading shall be for the One-Call State grant program.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-5172-0-2-407	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	46	46	46
<b>Receipts:</b>			
<b>Current law:</b>			
1120 Pipeline Safety Fund .....	129	131	119
1120 Underground Natural Gas Storage Facility Safety .....	8	8	8
1199 Total current law receipts .....	137	139	127
1999 Total receipts .....	137	139	127
2000 Total: Balances and receipts .....	183	185	173
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Pipeline Safety .....	-139	-139	-127
<b>Special and trust fund receipts returned:</b>			
3010 Pipeline Safety .....	1	.....	.....
3010 Pipeline Safety .....	1	.....	.....
5099 Balance, end of year .....	46	46	46

**Program and Financing** (in millions of dollars)

Identification code 069-5172-0-2-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	92	110	82
0002 Research and development .....	15	32	12

0003 Grants .....	65	69	55
0799 Total direct obligations .....	172	211	149
0801 Reimbursable program .....	.....	2	2
0900 Total new obligations, unexpired accounts .....	172	213	151

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	54	49	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	1	.....	.....
1021 Recoveries of prior year unpaid obligations .....	4	.....	.....
1050 Unobligated balance (total) .....	59	49	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	139	139	127
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	21	25	24
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	23	25	24
1900 Budget authority (total) .....	162	164	151
1930 Total budgetary resources available .....	221	213	151
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	49	.....	.....
<b>Special and non-revolving trust funds:</b>			
<b>Other balances withdrawn and returned to unappropriated receipts:</b>			
1950 .....	1	.....	.....
1952 Expired unobligated balance, start of year .....	3	3	3
1953 Expired unobligated balance, end of year .....	2	3	3
1954 Unobligated balance canceling .....	1	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	86	113	158
3010 New obligations, unexpired accounts .....	172	213	151
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-141	-168	-169
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	113	158	140
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-19	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	67	92	137
3200 Obligated balance, end of year .....	92	137	119

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	162	164	151
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	57	80	74
4011 Outlays from discretionary balances .....	84	88	95
4020 Outlays, gross (total) .....	141	168	169
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-21	-25	-24
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4070 Budget authority, net (discretionary) .....	139	139	127
4080 Outlays, net (discretionary) .....	120	143	145
4180 Budget authority, net (total) .....	139	139	127
4190 Outlays, net (total) .....	120	143	145

PHMSA is responsible for overseeing the safe transportation of energy products and hazardous materials to market. PHMSA's Pipeline Safety program covers a large network of more than 2.7 million miles of gas and hazardous liquid pipelines within the United States. PHMSA and its State partners set pipeline safety standards and conduct pipeline safety inspections to make sure pipelines are working safely and at capacity .

The Pipeline Safety program is funded by fees collected from pipeline operators and underground natural gas storage facility operators and a share of the Oil Spill Liability Trust Fund.

PIPELINE SAFETY—Continued  
Object Classification (in millions of dollars)

Identification code 069-5172-0-2-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	32	34	34
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	35	35
12.1 Civilian personnel benefits .....	11	12	12
21.0 Travel and transportation .....	4	4	5
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges - wcf ...	1	1	1
25.1 Advisory and assistance services .....	21	24	10
25.2 Other services from non-Federal sources .....			1
25.3 Other goods and services from Federal sources .....	7	13	9
25.5 Research and development contracts .....	15	32	12
25.7 Operation and maintenance of equipment .....	10	16	5
31.0 Equipment .....	1	1	
41.0 Grants, subsidies, and contributions .....	65	69	55
99.0 Direct obligations .....	171	211	149
99.0 Reimbursable obligations .....		2	2
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	172	213	151

**Employment Summary**

Identification code 069-5172-0-2-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	288	308	306

**EMERGENCY PREPAREDNESS GRANTS**  
(EMERGENCY PREPAREDNESS FUND)

For expenses necessary to carry out the Emergency Preparedness Grants program not more than \$28,318,000 shall remain available until September 30, 2022, from amounts made available by 49 U.S.C. 5116(h), and 5128(b) and (c): Provided, That notwithstanding 49 U.S.C. 5116(h)(4), not more than 4 percent of the amounts made available from this account shall be available to pay administrative costs.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-5282-0-2-407	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	15	17	19
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants .....	28	28	28
2000 Total: Balances and receipts .....	43	45	47
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Emergency Preparedness Grants .....	-14	-28	-28
2103 Emergency Preparedness Grants .....	-14		
2132 Emergency Preparedness Grants .....	2	2	
2199 Total current law appropriations .....	-26	-26	-28
2999 Total appropriations .....	-26	-26	-28
5099 Balance, end of year .....	17	19	19

**Program and Financing** (in millions of dollars)

Identification code 069-5282-0-2-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	1	1	1
0002 Emergency Preparedness Grants .....	20	20	22
0003 Competitive Training Grants .....	4	4	4
0004 Supplemental Training Grants .....	1	1	1
0900 Total new obligations, unexpired accounts .....	26	26	28

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	14	28	28
1203 Appropriation (previously unavailable) .....	14		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	
1260 Appropriations, mandatory (total) .....	26	26	28
1930 Total budgetary resources available .....	26	26	28

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	48	53	47
3010 New obligations, unexpired accounts .....	26	26	28
3020 Outlays (gross) .....	-21	-32	-36
3050 Unpaid obligations, end of year .....	53	47	39
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	48	53	47
3200 Obligated balance, end of year .....	53	47	39

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	26	26	28
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		10	10
4101 Outlays from mandatory balances .....	21	22	26
4110 Outlays, gross (total) .....	21	32	36
4180 Budget authority, net (total) .....	26	26	28
4190 Outlays, net (total) .....	21	32	36

Federal hazardous materials law (49 U.S.C. 5101 et seq.) established a national registration program for shippers and carriers of hazardous materials. The law established the collection of a fee from each registrant with the fees being used for emergency preparedness planning and training grants; publication and distribution of the *Emergency Response Guidebook*; development of training curriculum guidelines for emergency responders and technical assistance to states, political subdivisions, and Native American Tribes; and administrative costs for operating the program.

**Object Classification** (in millions of dollars)

Identification code 069-5282-0-2-407	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	25	25	27
99.0 Direct obligations .....	26	26	28
99.9 Total new obligations, unexpired accounts .....	26	26	28

**Trust Funds**

TRUST FUND SHARE OF PIPELINE SAFETY

**Program and Financing** (in millions of dollars)

Identification code 069-8121-0-7-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Trust fund share of pipeline safety .....	23	23	22
0900 Total new obligations, unexpired accounts (object class 94.0) .....	23	23	22

**Budgetary resources:**

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	23	23	22
1930 Total budgetary resources available .....	23	23	22

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	22	22
3010 New obligations, unexpired accounts .....	23	23	22
3020 Outlays (gross) .....	-20	-23	-24
3050 Unpaid obligations, end of year .....	22	22	20
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	19	22	22

3200	Obligated balance, end of year .....	22	22	20
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	23	23	22
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8	11	11
4011	Outlays from discretionary balances .....	12	12	13
4020	Outlays, gross (total) .....	20	23	24
4180	Budget authority, net (total) .....	23	23	22
4190	Outlays, net (total) .....	20	23	24

The Oil Pollution Act of 1990 requires the preparation of spill response plans by operators that store, handle, or transport oil to minimize the environmental impact of oil spills and to improve public and private sector response. PHMSA reviews response plans submitted by operators of onshore oil pipelines to ensure the plans comply with PHMSA regulations. These plans also must be regularly updated by the operator and submitted for review by PHMSA. PHMSA also seeks to improve oil spill preparedness and response through data analysis, spill monitoring, mapping pipelines in areas unusually sensitive to environmental damage, and advanced technologies to detect and prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

**OFFICE OF INSPECTOR GENERAL**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Office of the Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, \$92,152,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department of Transportation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069–0130–0–1–407	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0101	General administration .....	92	92	92
0103	Disaster Relief and Oversight FY 2013 .....	1	1	1
0900	Total new obligations, unexpired accounts .....	93	93	93
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	3	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	92	92	92
1930	Total budgetary resources available .....	97	95	94
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
1941	Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	12	12
3010	New obligations, unexpired accounts .....	93	93	93
3020	Outlays (gross) .....	-91	-93	-93
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	12	12	12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11	12	12
3200	Obligated balance, end of year .....	12	12	12
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	92	92	92

Outlays, gross:				
4010	Outlays from new discretionary authority .....	83	83	83
4011	Outlays from discretionary balances .....	8	10	10
4020	Outlays, gross (total) .....	91	93	93
4180	Budget authority, net (total) .....	92	92	92
4190	Outlays, net (total) .....	91	93	93

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations, and evaluations to promote economy, efficiency, and effectiveness in the management and administration of DOT programs and operations, including contracts, grants, and financial management; and to prevent and detect fraud, waste, abuse, and mismanagement in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

**Object Classification** (in millions of dollars)

Identification code 069–0130–0–1–407	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	46	46	46
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	3	4	4
11.9	Total personnel compensation .....	50	51	51
12.1	Civilian personnel benefits .....	18	19	19
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	6	6	6
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	4	4	4
25.3	Other goods and services from Federal sources .....	5	7	7
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	1		
31.0	Equipment .....	2	2	1
32.0	Land and structures .....	2		
99.0	Direct obligations .....	92	93	92
99.5	Adjustment for rounding .....	1		1
99.9	Total new obligations, unexpired accounts .....	93	93	93

**Employment Summary**

Identification code 069–0130–0–1–407	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	407	416	416

**MARITIME ADMINISTRATION**

*Federal Funds*

**OPERATIONS AND TRAINING**

For necessary expenses of operations and training activities authorized by law, \$377,497,000, of which \$205,000,000 shall remain available until expended for the school ship replacement program, including funds for construction, planning, administration, and design of new school ships to replace training ships in the National Defense Reserve Fleet, and of which \$30,080,000 shall remain available until expended for maintenance, repair, life extension, capacity improvement of National Defense Reserve Fleet training ships, and costs associated with the school ship sharing program authorized by 46 U.S.C. 51504(g)(3) as determined by the Secretary, and of which \$2,400,000 shall remain available through September 30, 2021, for the Student Incentive Program at State Maritime Academies, and of which \$1,800,000 shall remain available until expended for training ship fuel assistance payments, and of which \$3,000,000 shall be available for direct payments to State Maritime Academies, and of which \$4,000,000 shall remain available until expended for facilities maintenance and repair, equipment, and capital improvements at the United States Merchant Marine Academy: Provided, That of the \$205,000,000 made available for the school ship replacement program, up to \$5,000,000 shall be available for the design of new school ships, and upon completion of such design the remainder shall be available for the construction, planning, and administration of such school ships.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

OPERATIONS AND TRAINING—Continued

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1750–0–1–403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Academy Operations .....	69	69	78
0002 USMMA Capital Asset Management Program .....	15	156	4
0003 Student Incentive Program .....	2	3	2
0004 Direct SMA Support .....	6	6	3
0005 Fuel Assistance Program .....	2	2	2
0006 School Ship Maintenance & Repair .....	24	23	30
0007 National Security Multi-Mission Vessel .....	1	607	205
0008 Maritime Operations .....	51	50	53
0009 Maritime Environment and Technical Assistance .....	2	6	.....
0010 Short Sea Transportation .....	2	20	.....
0011 Other Maritime Programs .....	1	7	.....
0012 Title XI Administrative Expenses .....	3	.....	.....
0013 Hurricane Harvey Emergency Supplemental .....	10	.....	.....
0100 Subtotal, Direct program .....	188	949	377
0799 Total direct obligations .....	188	949	377
0801 Operations and Training (Reimbursable) .....	22	41	13
0900 Total new obligations, unexpired accounts .....	210	990	390
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	115	464	1
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	116	464	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	524	514	377
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	13	13
1701 Change in uncollected payments, Federal sources .....	14	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	34	13	13
1900 Budget authority (total) .....	558	527	390
1930 Total budgetary resources available .....	674	991	391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	464	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	81	817
3010 New obligations, unexpired accounts .....	210	990	390
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-189	-254	-299
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	81	817	908
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-29	-40	-40
3070 Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-40	-40	-40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	32	41	777
3200 Obligated balance, end of year .....	41	777	868
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	558	527	390
Outlays, gross:			
4010 Outlays from new discretionary authority .....	143	193	145
4011 Outlays from discretionary balances .....	46	61	154
4020 Outlays, gross (total) .....	189	254	299
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-17	-13	-13
4033 Non-Federal sources .....	-5	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	-22	-13	-13
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-14	.....	.....
4052 Offsetting collections credited to expired accounts .....	2	.....	.....
4060 Additional offsets against budget authority only (total) .....	-12	.....	.....

4070 Budget authority, net (discretionary) .....	524	514	377
4080 Outlays, net (discretionary) .....	167	241	286
4180 Budget authority, net (total) .....	524	514	377
4190 Outlays, net (total) .....	167	241	286

The appropriation for Operations and Training funds staff to administer and direct Maritime Administration operations and programs. Maritime Administration operations includes planning for coordination of U.S. maritime industry activities under emergency conditions; technology assessments calculated to achieve advancements in ship design, construction and operation; and port and intermodal development to increase capacity and mitigate congestion in freight movements.

Maritime training programs include the operation of the U.S. Merchant Marine Academy and financial assistance to the six State Maritime Academies. The Operations and Training budget request of \$377.5 million includes \$81.9 million for the United States Merchant Marine Academy, \$242.3 million for the State Maritime Academies, and \$53.3 million for Maritime Operations and Programs.

Object Classification (in millions of dollars)

Identification code 069–1750–0–1–403	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	40	43	44
11.3 Other than full-time permanent .....	7	8	9
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	48	52	54
12.1 Civilian personnel benefits .....	16	18	18
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	4	609	206
25.2 Other services from non-Federal sources .....	21	17	8
25.3 Other goods and services from Federal sources .....	26	21	9
25.4 Operation and maintenance of facilities .....	18	11	9
25.6 Medical care .....	2	2	1
25.7 Operation and maintenance of equipment .....	20	19	23
26.0 Supplies and materials .....	8	7	5
31.0 Equipment .....	4	4	2
32.0 Land and structures .....	7	156	30
41.0 Grants, subsidies, and contributions .....	6	25	4
99.0 Direct obligations .....	188	949	377
99.0 Reimbursable obligations .....	22	41	13
99.9 Total new obligations, unexpired accounts .....	210	990	390

Employment Summary

Identification code 069–1750–0–1–403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	423	491	485
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1
3001 Allocation account civilian full-time equivalent employment .....	8	8	8

ASSISTANCE TO SMALL SHIPYARDS

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 069–1770–0–1–403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for Capital Improvement for Small Shipyards .....	20	20	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	20	20	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	.....
1930 Total budgetary resources available .....	20	20	.....

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	12	26	27
3010	New obligations, unexpired accounts .....	20	20	.....
3020	Outlays (gross) .....	-6	-19	-26
3050	Unpaid obligations, end of year .....	26	27	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	12	26	27
3200	Obligated balance, end of year .....	26	27	1

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	20	20	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	17	.....
4011	Outlays from discretionary balances .....	6	2	26
4020	Outlays, gross (total) .....	.....	19	26
4180	Budget authority, net (total) .....	20	20	.....
4190	Outlays, net (total) .....	6	19	26

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2020.

**Employment Summary**

Identification code 069-1770-0-1-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	.....

**SHIP DISPOSAL**

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, \$5,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1768-0-1-403	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Ship disposal .....	3	12	2
0002	N.S. Savannah .....	2	4	3
0003	NSS Decommissioning .....	20	109	.....
0900	Total new obligations, unexpired accounts .....	25	125	5
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	25	116	107
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	116	116	5
1930	Total budgetary resources available .....	141	232	112
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	116	107	107

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	20	125
3010	New obligations, unexpired accounts .....	25	125	5
3020	Outlays (gross) .....	-11	-20	-40
3050	Unpaid obligations, end of year .....	20	125	90
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	20	125
3200	Obligated balance, end of year .....	20	125	90

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	116	116	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....	4	9	2
4011	Outlays from discretionary balances .....	7	11	38
4020	Outlays, gross (total) .....	11	20	40
4180	Budget authority, net (total) .....	116	116	5
4190	Outlays, net (total) .....	11	20	40

The Ship Disposal program provides resources to properly dispose of obsolete Government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the U.S. Environmental Protection Agency. In 2020, the Ship Disposal program requests \$5 million for obsolete vessel disposal and maintaining the Nuclear Ship Savannah in protective storage.

**Object Classification** (in millions of dollars)

Identification code 069-1768-0-1-403	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.1	Advisory and assistance services .....	22	121	.....
25.4	Operation and maintenance of facilities .....	2	2	3
99.0	Direct obligations .....	25	124	4
99.5	Adjustment for rounding .....	.....	1	1
99.9	Total new obligations, unexpired accounts .....	25	125	5

**Employment Summary**

Identification code 069-1768-0-1-403	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	9	10	10

**MARITIME SECURITY PROGRAM**

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$300,000,000, to remain available until expended: Provided, That of the unobligated balances of funds made available under this heading by previous appropriations, \$25,000,000 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1711-0-1-054	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Maritime Security Program .....	296	300	300
0900	Total new obligations, unexpired accounts (object class 41.0) .....	296	300	300
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	21	25	25
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	300	300	300
1131	Unobligated balance of appropriations permanently reduced .....	.....	.....	-25
1160	Appropriation, discretionary (total) .....	300	300	275
1930	Total budgetary resources available .....	321	325	300
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	25	25	.....

MARITIME SECURITY PROGRAM—Continued  
Program and Financing—Continued

Identification code 069-1711-0-1-054	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	30	30
3010 New obligations, unexpired accounts .....	296	300	300
3020 Outlays (gross) .....	-295	-300	-300
3050 Unpaid obligations, end of year .....	30	30	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	30	30
3200 Obligated balance, end of year .....	30	30	30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	300	300	275
Outlays, gross:			
4010 Outlays from new discretionary authority .....	270	279	256
4011 Outlays from discretionary balances .....	25	21	44
4020 Outlays, gross (total) .....	295	300	300
4180 Budget authority, net (total) .....	300	300	275
4190 Outlays, net (total) .....	295	300	300

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S. citizen merchant mariners to crew both commercial and Government-owned merchant ships. The Maritime Administration requests \$300 million for the Maritime Security Program.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 069-1710-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Ready Reserve Force (Reimbursable) .....	383	376	390
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	28	28
1021 Recoveries of prior year unpaid obligations .....	25		
1050 Unobligated balance (total) .....	52	28	28
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	355	376	390
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	360	376	390
1930 Total budgetary resources available .....	412	404	418
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	28	28	28

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	164	153	155
3010 New obligations, unexpired accounts .....	383	376	390
3020 Outlays (gross) .....	-367	-374	-389
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25		
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	153	155	156
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-85	-70	-70
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		

3071 Change in uncollected pymts, Fed sources, expired .....	20		
3090 Uncollected pymts, Fed sources, end of year .....	-70	-70	-70
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	79	83	85
3200 Obligated balance, end of year .....	83	85	86

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	360	376	390
Outlays, gross:			
4010 Outlays from new discretionary authority .....	243	338	351
4011 Outlays from discretionary balances .....	124	36	38
4020 Outlays, gross (total) .....	367	374	389
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-366	-376	-390
4040 Offsets against gross budget authority and outlays (total) .....	-366	-376	-390
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	11		
4060 Additional offsets against budget authority only (total) .....	6		
4080 Outlays, net (discretionary) .....	1	-2	-1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	1	-2	-1

The Ready Reserve Force (RRF) fleet is comprised of Government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Navy.

Object Classification (in millions of dollars)

Identification code 069-1710-0-1-054	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	25	27	29
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	27	29	31
12.1 Civilian personnel benefits .....	9	10	11
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....		2	2
23.2 Rental payments to others .....	17	17	17
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	5	5	5
25.4 Operation and maintenance of facilities .....	279	274	285
25.7 Operation and maintenance of equipment .....	3	3	3
26.0 Supplies and materials .....	25	20	20
31.0 Equipment .....	1	1	1
99.0 Reimbursable obligations .....	380	375	389
99.5 Adjustment for rounding .....	3	1	1
99.9 Total new obligations, unexpired accounts .....	383	376	390

Employment Summary

Identification code 069-1710-0-1-054	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	282	310	308

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4303-0-3-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Vessel operations .....	3	15	15

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	26	26	16
<b>Budget authority:</b>				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3	5	5
1930	Total budgetary resources available .....	29	31	21
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	26	16	6

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	6	1	12
3010	New obligations, unexpired accounts .....	3	15	15
3020	Outlays (gross) .....	-8	-4	-5
3050	Unpaid obligations, end of year .....	1	12	22
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	6	1	12
3200	Obligated balance, end of year .....	1	12	22

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	3	5	5
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....		4	4
4011	Outlays from discretionary balances .....	8		1
4020	Outlays, gross (total) .....	8	4	5
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-3	-5	-5
4040	Offsets against gross budget authority and outlays (total) ....	-3	-5	-5
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	5	-1	

**Memorandum (non-add) entries:**

5090	Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	1

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete Government-owned merchant vessels. Collections from this account are authorized for allocation and distribution according to prescribed statutory formulas for use under three maritime-related purpose areas: 1) supporting acquisition, maintenance, repair, reconditioning, or improvement of National Defense Reserve Fleet vessels; 2) supporting state maritime academies and the United States Merchant Marine Academy; and 3) supporting the preservation and presentation to the public of maritime property and assets, including funds for the National Park Service National Maritime Heritage Grant Program.

**Object Classification** (in millions of dollars)

Identification code 069-4303-0-3-403	2018 actual	2019 est.	2020 est.	
<b>Reimbursable obligations:</b>				
25.7	Operation and maintenance of equipment .....	1	15	15
31.0	Equipment .....	1		
41.0	Grants, subsidies, and contributions .....	1		
99.0	Reimbursable obligations .....	3	15	15
99.9	Total new obligations, unexpired accounts .....	3	15	15

**WAR RISK INSURANCE REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-4302-0-3-403	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	49	50	50
<b>Budget authority:</b>				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1930	Total budgetary resources available .....	50	50	50
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	50	50	50

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	1		
<b>Offsets against gross budget authority and outlays:</b>				
Offsetting collections (collected) from:				
4031	Interest on Federal securities .....	-1		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1		

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	48	39	39
5001	Total investments, EOY: Federal securities: Par value .....	39	39	39

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

**PORT OF GUAM IMPROVEMENT ENTERPRISE FUND**

**Program and Financing** (in millions of dollars)

Identification code 069-5560-0-2-403	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Port of Guam Improvement Enterprise Program .....	3	2	
0900	Total new obligations, unexpired accounts (object class 25.3) .....	3	2	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	5	2	
1930	Total budgetary resources available .....	5	2	
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	2		

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....		3	1
3010	New obligations, unexpired accounts .....	3	2	
3020	Outlays (gross) .....		-4	
3050	Unpaid obligations, end of year .....	3	1	1
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....		3	1
3200	Obligated balance, end of year .....	3	1	1

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
<b>Outlays, gross:</b>				
4011	Outlays from discretionary balances .....		4	
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....		4	

**MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 069-1752-0-1-403	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
<b>Credit program obligations:</b>				
0707	Reestimates of loan guarantee subsidy .....	1	32	
0708	Interest on reestimates of loan guarantee subsidy .....	2	20	
0709	Administrative expenses .....	3	3	
0900	Total new obligations, unexpired accounts .....	6	55	
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	5	31	58

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

Identification code 069-1752-0-1-403	2018 actual	2019 est.	2020 est.
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	5	31	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	.....
Appropriations, mandatory:			
1200 Appropriation .....	2	52	.....
1900 Budget authority (total) .....	32	82	.....
1930 Total budgetary resources available .....	37	113	58
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	31	58	58

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	23	.....
3010 New obligations, unexpired accounts .....	6	55	.....
3020 Outlays (gross) .....	-25	-78	.....
3050 Unpaid obligations, end of year .....	23	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	23	.....
3200 Obligated balance, end of year .....	23	.....	.....

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	30	30	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	3	.....
4011 Outlays from discretionary balances .....	20	23	.....
4020 Outlays, gross (total) .....	25	26	.....
Mandatory:			
4090 Budget authority, gross .....	2	52	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	52	.....
4180 Budget authority, net (total) .....	32	82	.....
4190 Outlays, net (total) .....	25	78	.....

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-1752-0-1-403	2018 actual	2019 est.	2020 est.
Guaranteed loan reestimates:			
235014 Federal Ship Financing Loan Guarantees .....	-138	26	.....
235999 Total guaranteed loan reestimates .....	-138	26	.....

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S or foreign ship owners to finance or refinance the construction, reconstruction, or reconditioning of U.S.-flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S. shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States.

As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis.

In 2020, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program would be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

Object Classification (in millions of dollars)

Identification code 069-1752-0-1-403	2018 actual	2019 est.	2020 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions .....	3	52	.....
94.0 Financial transfers .....	3	3	.....
99.9 Total new obligations, unexpired accounts .....	6	55	.....

MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT  
Program and Financing (in millions of dollars)

Identification code 069-4304-0-3-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....	228	.....	.....
0712 Default claim payments on interest .....	20	3	3
0713 Payment of interest to Treasury .....	3	1	1
0715 Default related activity .....	10	10	10
0742 Downward reestimates paid to receipt accounts .....	121	24	.....
0743 Interest on downward reestimates .....	19	3	.....
0900 Total new obligations, unexpired accounts .....	401	41	14

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	324	114	137
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	151	12	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	40	52	.....
1900 Budget authority (total) .....	191	64	.....
1930 Total budgetary resources available .....	515	178	137
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	114	137	123

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	4	31
3010 New obligations, unexpired accounts .....	401	41	14
3020 Outlays (gross) .....	-397	-14	-14
3050 Unpaid obligations, end of year .....	4	31	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	4	31
3200 Obligated balance, end of year .....	4	31	31

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	191	64	.....
Financing disbursements:			
4110 Outlays, gross (total) .....	397	14	14
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account - Upward Reestimate .....	-2	-52	.....
4122 Interest on uninvested funds .....	-5	.....	.....
4123 Loan Repayment .....	-33	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-40	-52	.....
4160 Budget authority, net (mandatory) .....	151	12	.....
4170 Outlays, net (mandatory) .....	357	-38	14
4180 Budget authority, net (total) .....	151	12	.....
4190 Outlays, net (total) .....	357	-38	14

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4304-0-3-999	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,438	1,325	1,926
2231 Disbursements of new guaranteed loans .....	204	601	.....
2251 Repayments and prepayments .....	-75	.....	.....
2262 Adjustments: Terminations for default that result in acquisition of property .....	-242	.....	.....
2290 Outstanding, end of year .....	1,325	1,926	1,926
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,325	1,926	1,926

**Addendum:**

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	.....	250	250
2331 Disbursements for guaranteed loan claims .....	250	.....	.....
2351 Repayments of loans receivable .....	.....	.....	.....

2361	Write-offs of loans receivable .....			
2364	Other adjustments, net .....			
2390	Outstanding, end of year .....	250	250	250

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

In 2020, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program will be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

**Balance Sheet** (in millions of dollars)

Identification code 069-4304-0-3-999	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	323	118
	Investments in U.S. securities:		
1106	Receivables, net .....		132
	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross .....		250
1504	Foreclosed property .....		
1599	Net present value of assets related to defaulted guaranteed loans .....		250
1999	Total assets .....	323	500
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		152
2105	Other .....		53
2204	Non-Federal liabilities: Liabilities for loan guarantees .....	323	88
2999	Total liabilities .....	323	293
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		207
4999	Total liabilities and net position .....	323	500

**Trust Funds**

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 069-8547-0-7-403	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....			
<b>Receipts:</b>				
Current law:				
1130	Gifts and Bequests, Maritime Administration, Transportation .....	5	2	2
2000	Total: Balances and receipts .....	5	2	2
<b>Appropriations:</b>				
Current law:				
2101	Miscellaneous Trust Funds, Maritime Administration .....	-5	-2	-2
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 069-8547-0-7-403	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Gifts & Bequests .....	1	4	2
0100	Total direct program - Subtotal (running) .....	1	4	2
0900	Total new obligations, unexpired accounts (object class 25.2) .....	1	4	2
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	4	8	6
<b>Budget authority:</b>				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) - Gifts & Bequests .....	5	2	2

1930	Total budgetary resources available .....	9	10	8
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	8	6	6

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		2
3010	New obligations, unexpired accounts .....	1	4	2
3020	Outlays (gross) .....	-2	-2	-2
3050	Unpaid obligations, end of year .....		2	2
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	1		2
3200	Obligated balance, end of year .....		2	2

**Budget authority and outlays, net:**

<b>Mandatory:</b>				
4090	Budget authority, gross .....	5	2	2
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority .....	1	2	2
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	2	2	2
4180	Budget authority, net (total) .....	5	2	2
4190	Outlays, net (total) .....	2	2	2

**ADMINISTRATIVE PROVISIONS**

SEC. 170. Notwithstanding any other provision of this Act, in addition to any existing authority, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: Provided, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall remain available until expended: Provided further, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.	
<b>Offsetting receipts from the public:</b>				
069-085500	Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs .....	1	1	1
069-272830	Maritime (title XI) Loan Program, Downward Reestimates of Subsidies .....	140	27	
069-276030	Downward Reestimates, Railroad Rehabilitation and Improvement Program .....	67	3	
069-276830	Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates .....	726	457	
069-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	12		
	General Fund Offsetting receipts from the public .....	946	488	1
<b>Intragovernmental payments:</b>				
069-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	18		
	General Fund Intragovernmental payments .....	18		

**GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION**

SEC. 180. (a) During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

(b) During the current fiscal year, applicable appropriations to the Department and its operating administrations shall be available for the purchase, acquisition, maintenance, operation, and deployment of unmanned aircraft systems that advance the Department's or its operating administrations' mission.

(c) Any unmanned aircraft system purchased, procured, or contracted for by the Department prior to the enactment of this Act shall be deemed authorized by Congress as if this provision was in effect when the system was purchased, procured or contracted for.

SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 182. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

SEC. 183. Funds received by the Federal Highway Administration and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

SEC. 184. None of the funds made available in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, or grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 1 full business day before any project competitively selected to receive any discretionary grant award, letter of intent, loan commitment, loan guarantee commitment, line of credit commitment, or full funding grant agreement totaling \$1,000,000 or more is announced by the Department or its modal administrations from—

- (1) any discretionary grant or federal credit program of the Federal Highway Administration including the emergency relief program;
- (2) the airport improvement program of the Federal Aviation Administration;
- (3) any program of the Federal Railroad Administration;
- (4) any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs;
- (5) any program of the Maritime Administration; or
- (6) any funding provided under the headings "National Infrastructure Investments" in this Act:

Provided, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: Provided further, That no notification shall involve funds that are not available for obligation.

SEC. 185. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 186. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of said reprogramming notice shall be provided solely to the House and Senate Committees on Appropriations: Provided, That the Secretary of Transportation may provide notice to other congressional committees of the action of the House and Senate Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been transmitted to the House and Senate Committees on Appropriations.

SEC. 187. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. 188. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

SEC. 189. Not to exceed 5 percent of any discretionary account (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) appropriated for the current fiscal year to the Operating Administrations of the Department of Transportation in this Act may be transferred from that account to any other account of the Department, but no such account shall be increased by more than 10 percent by any such transfers: Provided, That the transfer authority granted by this section

shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Secretary shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any such transfer.

## GENERAL PROVISIONS—THIS ACT

SEC. 401. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 402. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 403. The expenditure of any appropriation under this Act for any consulting service through a procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 404. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (a) creates a new program;
- (b) eliminates a program, project, or activity;
- (c) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (d) proposes to use funds directed for a specific activity in an appropriations law for a different purpose;
- (e) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;
- (f) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or

(g) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the explanatory statement accompanying this Act, whichever is more detailed, unless notification is transmitted to the House and Senate Committees on Appropriations: Provided, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided further, That the report shall include—

- (1) a table for each appropriation with a separate column to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;
- (2) a delineation in the table for each appropriation and its respective prior year enacted level by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and
- (3) an identification of items of special congressional interest.

SEC. 405. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2020 from appropriations made available for salaries and expenses for fiscal year 2020 in this Act, shall remain available through September 30, 2021, for each such account for the purposes authorized: Provided, That a notification shall be submitted to the House and Senate Committees on Appropriations prior to the expenditure of such funds: Provided further, That these notifications shall be made in compliance with reprogramming guidelines under section 404 of this Act.

SEC. 406. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: Provided, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: Provided further, That any use of funds for mass transit, railroad, airport, seaport or highway projects, as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health

and safety or brownfields as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.

SEC. 407. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 10a-10c, popularly known as the "Buy American Act").

SEC. 408. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 10a-10c).

SEC. 409. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301-10.122 and 301-10.123 of title 41, Code of Federal Regulations.

SEC. 410. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees of a single agency or department of the United States Government, who are stationed in the United States, at any single international conference unless the relevant Secretary reports to the House and Senate Committees on Appropriations at least 5 days in advance that such attendance is important to the national interest: Provided, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations.



# DEPARTMENT OF THE TREASURY

## DEPARTMENTAL OFFICES

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and Freedman's Bank Building; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; executive direction program activities; international affairs and economic policy activities; domestic finance and tax policy activities, including technical assistance to state and local entities; and Treasury-wide management policies and programs activities, \$235,973,000: Provided, That of the amount appropriated under this heading—

- (1) not to exceed \$350,000 is for official reception and representation expenses;
- (2) not to exceed \$258,000 is for unforeseen emergencies of a confidential nature to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on the Secretary's certificate; and
- (3) not to exceed \$24,000,000 shall remain available until September 30, 2021, for—

- (A) the Treasury-wide Financial Statement Audit and Internal Control Program;
- (B) information technology modernization requirements;
- (C) the audit, oversight, and administration of the Gulf Coast Restoration Trust Fund;
- (D) the development and implementation of programs within the Office of Critical Infrastructure Protection and Compliance Policy, including entering into cooperative agreements;
- (E) operations and maintenance of facilities; and
- (F) international operations.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 020–0101–0–1–803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Executive Direction .....	36	36	37
0002 International Affairs and Economic Policy .....	50	46	50
0003 Domestic Finance and Tax Policy .....	66	73	88
0004 Terrorism and Financial Intelligence .....	31	.....	.....
0005 Treasury-wide Management and Programs .....	46	42	41
0006 CFIUS .....	6	15	35
0100 Subtotal, Direct programs .....	235	212	251
0799 Total direct obligations .....	235	212	251
0811 Salaries and Expenses (Reimbursable) .....	79	12	12
0900 Total new obligations, unexpired accounts .....	314	224	263
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	23	21	24
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	24	21	24
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	202	215	236
1121 Appropriations transferred from other acct [020–1804] .....	31	.....	.....
1160 Appropriation, discretionary (total) .....	233	215	236
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	63	12	12
1700 Collected .....	.....	.....	15
1701 Change in uncollected payments, Federal sources .....	17	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	80	12	27
1900 Budget authority (total) .....	313	227	263
1930 Total budgetary resources available .....	337	248	287
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	–2	.....	.....
1941 Unexpired unobligated balance, end of year .....	21	24	24

#### Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	91	90	53
3010 New obligations, unexpired accounts .....	314	224	263
3011 Obligations ("upward adjustments"), expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	–303	–261	–275
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	–15	.....	.....
3050 Unpaid obligations, end of year .....	90	53	41
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–32	–25	–25
3070 Change in uncollected pymts, Fed sources, unexpired .....	–17	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	24	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–25	–25	–25
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	59	65	28
3200 Obligated balance, end of year .....	65	28	16

#### Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	313	227	263
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	241	199	231
4011 Outlays from discretionary balances .....	62	62	44
4020 Outlays, gross (total) .....	303	261	275
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	–82	–12	–27
4033 Non-Federal sources .....	–1	.....	.....
4040 Offsets against gross budget authority and outlays (total) .....	–83	–12	–27
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–17	.....	.....
4052 Offsetting collections credited to expired accounts .....	20	.....	.....
4060 Additional offsets against budget authority only (total) .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	233	215	236
4080 Outlays, net (discretionary) .....	220	249	248
4180 Budget authority, net (total) .....	233	215	236
4190 Outlays, net (total) .....	220	249	248

Departmental Offices, as the headquarters bureau for the Department of the Treasury, provides leadership in economic and financial policy, terrorism and financial intelligence, financial crimes, and general management. The Secretary of the Treasury has the primary role of formulating and managing the domestic and international tax and financial policies of the Federal Government. Through effective management, policies, and leadership, the Treasury Department protects our national security through targeted financial actions, promotes the stability of the Nation's financial markets, and ensures the Government's ability to collect revenue and fund its operations.

#### Object Classification (in millions of dollars)

Identification code 020–0101–0–1–803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	100	89	98
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	2	2
11.8 Special personal services payments .....	1	.....	.....
11.9 Total personnel compensation .....	106	93	102
12.1 Civilian personnel benefits .....	33	29	31
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	3	1	1
23.2 Rental payments to others .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	.....	1
25.1 Advisory and assistance services .....	29	10	20
25.2 Other services from non-Federal sources .....	5	4	4
25.3 Other goods and services from Federal sources .....	39	62	67
25.7 Operation and maintenance of equipment .....	1	.....	.....
26.0 Supplies and materials .....	4	3	3
31.0 Equipment .....	6	4	12
32.0 Land and structures .....	2	2	5
99.0 Direct obligations .....	234	212	250
99.0 Reimbursable obligations .....	79	12	12

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 020-0101-0-1-803	2018 actual	2019 est.	2020 est.
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations, unexpired accounts .....	314	224	263

Employment Summary

Identification code 020-0101-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	772	689	745
2001 Reimbursable civilian full-time equivalent employment .....	91	52	52

OFFICE OF TERRORISM AND FINANCIAL INTELLIGENCE

SALARIES AND EXPENSES  
(Enacted/requested)

For the necessary expenses of the Office of Terrorism and Financial Intelligence to safeguard the financial system against illicit use and to combat rogue nations, terrorist facilitators, weapons of mass destruction proliferators, human rights abusers, money launderers, drug kingpins, and other national security threats, \$166,712,000: Provided, That of the amounts appropriated under this heading, \$10,000,000 shall remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 020-1804-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Terrorism and Financial Intelligence .....	109	143	167
0811 Salaries and Expenses (Reimbursable) .....	9	9	9
0900 Total new obligations, unexpired accounts .....	118	152	176
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	5
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	6	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	142	142	167
1120 Appropriations transferred to other acct [020-0101] .....	-31		
1160 Appropriation, discretionary (total) .....	111	142	167
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	9	9
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	9	9	9
1900 Budget authority (total) .....	120	151	176
1930 Total budgetary resources available .....	126	157	181
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	6	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	46	36
3010 New obligations, unexpired accounts .....	118	152	176
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-102	-162	-177
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	46	36	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	39	29

3200 Obligated balance, end of year .....	39	29	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	120	151	176
Outlays, gross:			
4010 Outlays from new discretionary authority .....	80	125	146
4011 Outlays from discretionary balances .....	22	37	31
4020 Outlays, gross (total) .....	102	162	177
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	-3		
4070 Budget authority, net (discretionary) .....	111	142	167
4080 Outlays, net (discretionary) .....	96	153	168
4180 Budget authority, net (total) .....	111	142	167
4190 Outlays, net (total) .....	96	153	168

The Office of Terrorism and Financial Intelligence (TFI) safeguards the financial system against illicit use and combats rogue nations, terrorist facilitators, weapons of mass destruction proliferators, human rights abusers, money launderers, drug kingpins, and other national security threats. The Budget prioritizes funding for TFI's targeted financial tools and authorities, including sanctions programs aimed at countering countries, organizations, and individuals that threaten U.S. interests and the technology and mission support infrastructure required to support those programs.

Object Classification (in millions of dollars)

Identification code 020-1804-0-1-803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	47	55	62
11.3 Other than full-time permanent .....	1		1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	49	56	64
12.1 Civilian personnel benefits .....	15	17	20
21.0 Travel and transportation of persons .....	2	3	2
22.0 Transportation of things .....			1
23.2 Rental payments to others .....	1		
25.1 Advisory and assistance services .....	25	8	16
25.2 Other services from non-Federal sources .....	1	8	10
25.3 Other goods and services from Federal sources .....	4	43	47
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	3	1	3
32.0 Land and structures .....	6	4	2
99.0 Direct obligations .....	109	142	167
99.0 Reimbursable obligations .....	8	8	8
99.5 Adjustment for rounding .....	1	2	1
99.9 Total new obligations, unexpired accounts .....	118	152	176

Employment Summary

Identification code 020-1804-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	408	495	545
2001 Reimbursable civilian full-time equivalent employment .....	36	36	36

CYBERSECURITY ENHANCEMENT ACCOUNT

For salaries and expenses for enhanced cybersecurity for systems operated by the Department of the Treasury, \$18,000,000, to remain available until September 30, 2022: Provided, That amounts made available under this heading shall be in addition to other amounts available to Treasury offices and bureaus for cybersecurity.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-1855-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Treasury-wide .....	26	39	20
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	39	37	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24	24	18
1930 Total budgetary resources available .....	63	61	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37	22	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	13	28
3010 New obligations, unexpired accounts .....	26	39	20
3020 Outlays (gross) .....	-21	-24	-26
3050 Unpaid obligations, end of year .....	13	28	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	13	28
3200 Obligated balance, end of year .....	13	28	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24	24	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....		5	4
4011 Outlays from discretionary balances .....	21	19	22
4020 Outlays, gross (total) .....	21	24	26
4180 Budget authority, net (total) .....	24	24	18
4190 Outlays, net (total) .....	21	24	26

Trillions of dollars are accounted for and processed by the Department of the Treasury's information technology (IT) systems and therefore these systems are a constant target for sophisticated threat actors. The Cybersecurity Enhancement Account allows Treasury to more proactively and strategically protect Treasury systems against cybersecurity threats. The account supports Department-wide and Bureau-specific investments for critical IT improvements including the systems identified as High Value Assets. Furthermore, the centralization of funds allows Treasury to more nimbly respond in the event of a cybersecurity incident as well as leverage enterprise-wide services and capabilities across the components of the Department.

**Object Classification** (in millions of dollars)

Identification code 020-1855-0-1-808	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	2	1
12.1 Civilian personnel benefits .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	2		
25.1 Advisory and assistance services .....	18	16	5
25.2 Other services from non-Federal sources .....	1	2	5
25.3 Other goods and services from Federal sources .....	3	3	1
25.7 Operation and maintenance of equipment .....		1	1
31.0 Equipment .....	1	14	6
99.9 Total new obligations, unexpired accounts .....	26	39	20

**Employment Summary**

Identification code 020-1855-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8	19	11

For development and acquisition of automatic data processing equipment, software, and services and for repairs and renovations to buildings owned by the Department of the Treasury, \$6,118,000, to remain available until September 30, 2022: Provided, That these funds shall be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: Provided further, That this transfer authority shall be in addition to any other transfer authority provided in this Act: Provided further, That none of the funds appropriated under this heading shall be used to support or supplement "Internal Revenue Service, Operations Support" or "Internal Revenue Service, Business Systems Modernization".

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-0115-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Department-wide Systems and Capital Investments Programs (Direct) .....	5	4	6
0900 Total new obligations, unexpired accounts .....	5	4	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	6
1930 Total budgetary resources available .....	6	5	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	6
3010 New obligations, unexpired accounts .....	5	4	6
3020 Outlays (gross) .....	-6	-4	-5
3050 Unpaid obligations, end of year .....	6	6	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	6	6
3200 Obligated balance, end of year .....	6	6	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	3
4011 Outlays from discretionary balances .....	6	2	2
4020 Outlays, gross (total) .....	6	4	5
4180 Budget authority, net (total) .....	4	4	6
4190 Outlays, net (total) .....	6	4	5

This account is authorized to be used by Treasury's offices and bureaus to modernize business processes, increase efficiency, and improve infrastructure through technology and capital investments.

**Object Classification** (in millions of dollars)

Identification code 020-0115-0-1-803	2018 actual	2019 est.	2020 est.
32.0 Direct obligations: Land and structures .....	4	4	6
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	5	4	6

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(Enacted/requested)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$37,044,000, including hire of passenger motor vehicles; of which not to exceed \$100,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury; of which up to \$2,800,000

DEPARTMENT-WIDE SYSTEMS AND CAPITAL INVESTMENTS PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

(Enacted/requested)

OFFICE OF INSPECTOR GENERAL—Continued

to remain available until September 30, 2021, shall be for audits and investigations conducted pursuant to section 1608 of the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (33 U.S.C. 1321 note); and of which not to exceed \$1,000 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 020–0106–0–1–803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Audits .....	24	28	28
0002 Investigations .....	12	9	9
0799 Total direct obligations .....	36	37	37
0801 Office of Inspector General (Reimbursable) .....	6	9	9
0900 Total new obligations, unexpired accounts .....	42	46	46
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	37	37
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	9	9
1701 Change in uncollected payments, Federal sources .....	5		
1750 Spending auth from offsetting collections, disc (total) .....	6	9	9
1900 Budget authority (total) .....	43	46	46
1930 Total budgetary resources available .....	45	48	48
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	11	16
3010 New obligations, unexpired accounts .....	42	46	46
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-41	-41	-42
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	11	16	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-5	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-5		
3071 Change in uncollected pymts, Fed sources, expired .....	5		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	11
3200 Obligated balance, end of year .....	6	11	15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	43	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority .....	31	32	32
4011 Outlays from discretionary balances .....	10	9	10
4020 Outlays, gross (total) .....	41	41	42
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5		
4052 Offsetting collections credited to expired accounts .....	5		
4070 Budget authority, net (discretionary) .....	37	37	37
4080 Outlays, net (discretionary) .....	35	32	33
4180 Budget authority, net (total) .....	37	37	37
4190 Outlays, net (total) .....	35	32	33

The Office of Inspector General (OIG) conducts audits and investigations designed to promote integrity, efficiency, and effectiveness in programs and operations within the Department and across the OIG's jurisdiction, as well as to keep the Secretary and the Congress fully and currently informed of problems and deficiencies in the administration of such programs

and operations. The OIG conducts audits and investigations of Treasury programs and operations except those under jurisdictional oversight of the Treasury Inspector General for Tax Administration and the Special Inspector General for the Troubled Asset Relief Program. In addition, the Treasury Inspector General functions as Chair of the Council of Inspectors General on Financial Oversight. The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act (RESTORE Act) tasked the OIG with oversight of all projects, programs, and operations of the Gulf Coast Restoration Trust Fund (Trust Fund), which extends to the Gulf Coast Ecosystem Restoration Council.

The Budget request for the OIG will be used to fund audit, investigative, and mission support activities to meet the requirements of the Inspector General Act, as well as other statutes relating to: 1) Cyber Threats; 2) Anti-Money Laundering/Terrorist Financing and Bank Secrecy Act Enforcement; and 3) Spending Transparency and Improper Payments; and 4) Administration of the Gulf Coast Restoration Trust Fund. Specific mandates include audits of the Department's: financial statements, compliance with FISMA and actions in implementing cybersecurity information sharing. In its oversight of the Office of the Comptroller of the Currency, OIG conducts material loss reviews of failed Federal Deposit Insurance Corporation insured national banks and trusts. With resources available after mandated requirements are met, the OIG will conduct audits and reviews of the Department's highest risk programs and operations. The OIG will also respond to stakeholder requests.

The Office of Audit expects to complete 100 percent of statutory audits by the required deadline and to complete 74 audit products in 2020. The Office will provide oversight, on a reimbursable basis, of the Small Business Lending Fund created by the Small Business Jobs Act of 2010.

In 2020, the OIG Office of Investigations will continue to investigate all reports of fraud, waste, abuse, and criminal activity impacting Treasury programs and operations. The Office of Investigations will continue proactive efforts to detect, investigate, and deter electronic crimes and other threats to Treasury's physical and IT critical infrastructure and will continue current efforts to aggressively investigate, close, and refer cases for criminal prosecution, civil litigation, or corrective administrative action in a timely manner.

Object Classification (in millions of dollars)

Identification code 020–0106–0–1–803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	18	19	19
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	20	20	20
12.1 Civilian personnel benefits .....	7	7	7
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	4	4
25.2 Other services from non-Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	3	2	2
31.0 Equipment .....	1		
99.0 Direct obligations .....	36	37	37
99.0 Reimbursable obligations .....	6	9	9
99.9 Total new obligations, unexpired accounts .....	42	46	46

Employment Summary

Identification code 020–0106–0–1–803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	161	166	166
2001 Reimbursable civilian full-time equivalent employment .....	3	3	3

COMMITTEE ON FOREIGN INVESTMENT IN THE UNITED STATES FUND

For necessary expenses of the Committee on Foreign Investment in the United States, \$20,000,000, to remain available until expended: Provided, That the chairperson of the Committee may transfer funds provided under this heading to any

department or agency represented on the Committee (including the Department of the Treasury) upon the advance notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That amounts so transferred shall remain available until expended for expenses of implementing section 721 of the Defense Production Act of 1950, as amended (50 U.S.C. 4565), and shall be available in addition to any other funds available to any department or agency: Provided further, That fees authorized by section 721(p) of the Defense Production Act of 1950, as amended, shall be credited to this appropriation as offsetting collections: Provided further, That the total amount appropriated under this heading from the general fund shall be reduced as such offsetting collections are received during fiscal year 2020, so as to result in a total appropriation from the general fund estimated at not more than \$10,000,000.

**Program and Financing** (in millions of dollars)

Identification code 020-0165-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Treasury CFIUS Activities .....			15
0900 Total new obligations, unexpired accounts (object class 94.0) .....			15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			10
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			10
1900 Budget authority (total) .....			20
1930 Total budgetary resources available .....			20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			15
3020 Outlays (gross) .....			-15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			20
Outlays, gross:			
4010 Outlays from new discretionary authority .....			15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....			-10
4180 Budget authority, net (total) .....			10
4190 Outlays, net (total) .....			5

The Committee on Foreign Investment in the United States (CFIUS) is an interagency committee chaired by the Secretary of the Treasury that is authorized to review certain transactions involving foreign investment in the United States in order to determine the effect of such transactions on the national security of the United States and to address any identified national security risks. The Foreign Investment Risk Review Modernization Act of 2018 authorizes the establishment of a CFIUS Fund. This account funds investments necessary to perform the functions of the Committee and allows the transfer of a portion of such funds to CFIUS agencies to address emerging needs.

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION

SALARIES AND EXPENSES  
(Enacted/requested)

For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase and hire of passenger motor vehicles (31 U.S.C. 1343(b)); and services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration; \$166,000,000, of which \$5,000,000 shall remain available until September 30, 2021; of which not to exceed \$6,000,000 shall be available for official travel expenses; of which not to exceed \$500,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General for Tax Administration; and of which not to exceed \$1,500 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-0119-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	61	66	65
0002 Investigations .....	108	104	102
0799 Total direct obligations .....	169	170	167
0900 Total new obligations, unexpired accounts .....	169	170	167
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	170	170	166
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	170	171	167
1930 Total budgetary resources available .....	174	175	172
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	4	5	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	16	15
3010 New obligations, unexpired accounts .....	169	170	167
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-168	-171	-168
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	16	15	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	15	15	14
3200 Obligated balance, end of year .....	15	14	13
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	170	171	167
Outlays, gross:			
4010 Outlays from new discretionary authority .....	153	157	154
4011 Outlays from discretionary balances .....	15	14	14
4020 Outlays, gross (total) .....	168	171	168
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	170	170	166
4190 Outlays, net (total) .....	168	170	167

The Treasury Inspector General for Tax Administration (TIGTA), an independent office within the Department of the Treasury, is charged with providing oversight of the Internal Revenue Service (IRS), the IRS Chief Counsel, and the IRS Oversight Board. TIGTA conducts audit, investigative, and inspection and evaluation services that promote economy, efficiency, and integrity in the administration of the Internal Revenue laws. TIGTA protects the public's confidence in the tax system by conducting investigations of allegations of IRS employee misconduct, protecting IRS facilities and data, and investigating allegations of bribery or impersonation of the IRS. TIGTA is also responsible for identifying and recommending strategies to address IRS management challenges and implementing the Department's priorities.

In 2020, TIGTA's Office of Investigations (OI) will concentrate on three core areas: 1) employee integrity; 2) employee and infrastructure security; and 3) external attempts to corrupt tax administration. The OI investigative program protects the IRS's ability to process approximately 253 million tax returns and other forms and collect over \$3.5 trillion in annual revenue for the Federal Government.

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION—Continued

In 2020, TIGTA's Office of Audit (OA) will focus on the major management and performance challenges confronting the IRS by prioritizing statutory audit coverage and high-risk audit work. The statutory coverage will include audits mandated by the IRS Restructuring and Reform Act of 1998 and other statutory authorities and standards involving cybersecurity, taxpayer privacy and rights, and financial management. Audits also will be conducted to assess the IRS's implementation of the Tax Cuts and Jobs Act of 2017. The core of TIGTA's audit work will continue to focus on high-risk tax administration areas such as: 1) improving enforcement of tax laws to increase revenue and implementing tax law changes; 2) minimizing identity theft and other fraud and enhancing the efficiency of the IRS; and 3) monitoring the IRS's progress in achieving its strategic goals. Audits will address areas of concern to the Congress, the Secretary of the Treasury, and the Commissioner of the Internal Revenue Service. The 2018 highlights of OA include issuing 102 audit reports and identifying approximately \$9.9 billion in potential financial benefits.

In 2020, TIGTA's Office of Inspections and Evaluations (I&E) will identify opportunities for improvement in IRS and TIGTA programs by performing inspections and evaluations that report timely, useful and reliable information to decisionmakers and stakeholders. The oversight activities of I&E include inspecting the IRS's compliance with established system controls and operating procedures and evaluating IRS operations for high-risk systemic inefficiencies.

**Object Classification** (in millions of dollars)

Identification code 020-0119-0-1-803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	87	87	87
11.5 Other personnel compensation .....	8	8	8
11.9 Total personnel compensation .....	95	95	95
12.1 Civilian personnel benefits .....	38	38	38
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	9	10	9
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	3	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	11	11	10
25.7 Operation and maintenance of equipment .....	2	3	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	4	4
99.0 Direct obligations .....	169	170	167
99.9 Total new obligations, unexpired accounts .....	169	170	167

**Employment Summary**

Identification code 020-0119-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	764	800	800
2001 Reimbursable civilian full-time equivalent employment .....	2	2	2

**TERRORISM INSURANCE PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 020-0123-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Base Administrative Expenses .....	2	3	3
0003 Projected Payments to Insurers .....	.....	34	101
0900 Total new obligations, unexpired accounts .....	2	37	104
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	2	37	104
1930 Total budgetary resources available .....	2	37	104

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	2	37	104
3020 Outlays (gross) .....	-2	-37	-104
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	37	104
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	37	104
4101 Outlays from mandatory balances .....	1	.....	.....
4110 Outlays, gross (total) .....	2	37	104
4180 Budget authority, net (total) .....	2	37	104
4190 Outlays, net (total) .....	2	37	104

The Terrorism Risk Insurance Program Reauthorization Act of 2015 (P.L. 114-1) reauthorized and revised the program established by the Terrorism Risk Insurance Act of 2002 (P.L. 107-297). The 2015 Act extended the Terrorism Risk Insurance Program (TRIP) for six years, through December 31, 2020, and made several program changes to reduce the Federal liability associated with Federal payments of terrorism risk insurance losses. The Budget baseline includes the estimated Federal cost of providing payments in connection with terrorism risk insurance losses. There have been no prior payments under the Program. While the Budget does not forecast any specific payment triggering events, the Budget includes estimates representing the weighted average of payments over a full range of possible scenarios, most of which include no notional payment triggering events and some of which include notional triggering events of varying magnitude. Relying upon this methodology, the Budget baseline projects net spending associated with the current reauthorization period of \$66 million for 2020, \$29 million over the 2020-2024 period, and \$133 million over the 2020-2029 period.

Treasury is evaluating reforms, to be included in any legislation extending TRIP beyond its current sunset date of December 31, 2020, to further decrease taxpayer exposure.

**Object Classification** (in millions of dollars)

Identification code 020-0123-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	.....	.....
42.0 Insurance claims and indemnities .....	.....	34	101
99.0 Direct obligations .....	3	37	104
99.5 Adjustment for rounding .....	-1	.....	.....
99.9 Total new obligations, unexpired accounts .....	2	37	104

**Employment Summary**

Identification code 020-0123-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4	4	4

**TREASURY FORFEITURE FUND**

(INCLUDING RETURN OF FUNDS)

(Enacted/requested)

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5697-0-2-751	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	1,125	152	35
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from Sale of Forfeited Property, Treasury Forfeiture Fund	1,231	497	507
1140 Earnings on Investments, Treasury Forfeiture Fund	48	31	31
1199 Total current law receipts	1,279	528	538
1999 Total receipts	1,279	528	538
2000 Total: Balances and receipts	2,404	680	573
Appropriations:			
Current law:			
2101 Treasury Forfeiture Fund	-1,279	-528	-538
2103 Treasury Forfeiture Fund	-1,123	-150	-33
2132 Treasury Forfeiture Fund	150	33	
2199 Total current law appropriations	-2,252	-645	-571
2999 Total appropriations	-2,252	-645	-571
5099 Balance, end of year	152	35	2

**Program and Financing** (in millions of dollars)

Identification code 020-5697-0-2-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Mandatory	919	528	539
0002 Strategic Support	50		
0003 Secretary's Enforcement Fund	38	22	22
0900 Total new obligations, unexpired accounts	1,007	550	561

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1	669	826	
1021 Recoveries of prior year unpaid obligations	16	18	18
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	686	844	18
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1130 Appropriations permanently reduced		-939	
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	1,279	528	538
1203 Appropriation (previously unavailable)	1,123	150	33
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1,066		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-150	-33	
1235 Capital transfer of appropriations to general fund	-39		
1260 Appropriations, mandatory (total)	1,147	645	571
1900 Budget authority (total)	1,147	-294	571
1930 Total budgetary resources available	1,833	550	589
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	826		28

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	560	598	705
3010 New obligations, unexpired accounts	1,007	550	561
3020 Outlays (gross)	-953	-425	-311
3040 Recoveries of prior year unpaid obligations, unexpired	-16	-18	-18
3050 Unpaid obligations, end of year	598	705	937
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	560	598	705
3200 Obligated balance, end of year	598	705	937

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross		-939	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority		-94	
4011 Outlays from discretionary balances			-235
4020 Outlays, gross (total)		-94	-235
<b>Mandatory:</b>			
4090 Budget authority, gross	1,147	645	571
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	485	65	57

4101 Outlays from mandatory balances	468	454	489
4110 Outlays, gross (total)	953	519	546
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources	-1		
<b>Additional offsets against gross budget authority only:</b>			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	1,147	645	571
4170 Outlays, net (mandatory)	952	519	546
4180 Budget authority, net (total)	1,147	-294	571
4190 Outlays, net (total)	952	425	311

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	2,317	1,543	767
5001 Total investments, EOY: Federal securities: Par value	1,543	767	700

The mission of the Treasury Forfeiture Fund (Fund) is to affirmatively influence the consistent and strategic use of asset forfeiture by law enforcement bureaus that participate in the Fund to disrupt and dismantle criminal enterprises. The Fund supports Federal, state, and local law enforcement's use of asset forfeiture to disrupt and deter criminal activity. Proceeds from non-tax forfeitures made by participating bureaus of the Department of the Treasury and the Department of Homeland Security are deposited into the Fund. Such proceeds are available to pay or reimburse certain costs and expenses related to seizures and forfeitures that occur pursuant to laws enforced by the bureaus and other expenses authorized by 31 U.S.C. 9705. Forfeiture proceeds can also be used to fund Federal law enforcement-related activities based on requests from Federal agencies and evaluation by the Secretary of the Treasury.

**Object Classification** (in millions of dollars)

Identification code 020-5697-0-2-751	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources	58	60	61
25.3 Other goods and services from Federal sources	177	129	132
41.0 Grants, subsidies, and contributions	161	167	170
44.0 Refunds	523	103	105
94.0 Financial transfers	88	91	93
99.9 Total new obligations, unexpired accounts	1,007	550	561

**FINANCIAL RESEARCH FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5590-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	6	4	5
Receipts:			
Current law:			
1110 Fees and Assessments, Financial Research Fund	56	72	68
1130 Interest, Financial Research Fund	1	1	1
1199 Total current law receipts	57	73	69
1999 Total receipts	57	73	69
2000 Total: Balances and receipts	63	77	74
Appropriations:			
Current law:			
2101 Financial Research Fund	-57	-73	-69
2103 Financial Research Fund	-6	-4	-5
2132 Financial Research Fund	4	5	
2199 Total current law appropriations	-59	-72	-74
2999 Total appropriations	-59	-72	-74
5099 Balance, end of year	4	5	

**Program and Financing** (in millions of dollars)

Identification code 020-5590-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 FSOC	5	6	6

FINANCIAL RESEARCH FUND—Continued  
Program and Financing—Continued

Identification code 020-5590-0-2-376	2018 actual	2019 est.	2020 est.
0003 FDIC Payments .....	4	4	4
0091 FSOC subtotal .....	9	10	10
0101 OFR .....	76	75	75
0900 Total new obligations, unexpired accounts .....	85	85	85
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	74	55	46
1021 Recoveries of prior year unpaid obligations .....	7	4	4
1050 Unobligated balance (total) .....	81	59	50
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	57	73	69
1203 Appropriation (previously unavailable) .....	6	4	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-4	-5	.....
1260 Appropriations, mandatory (total) .....	59	72	74
1930 Total budgetary resources available .....	140	131	124
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	55	46	39
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	31	26	32
3010 New obligations, unexpired accounts .....	85	85	85
3020 Outlays (gross) .....	-83	-75	-80
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7	-4	-4
3050 Unpaid obligations, end of year .....	26	32	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	31	26	32
3200 Obligated balance, end of year .....	26	32	33
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	59	72	74
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	17	22
4101 Outlays from mandatory balances .....	83	58	58
4110 Outlays, gross (total) .....	83	75	80
4180 Budget authority, net (total) .....	59	72	74
4190 Outlays, net (total) .....	83	75	80
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	101	76	55
5001 Total investments, EOY: Federal securities: Par value .....	76	55	45

The Office of Financial Research (OFR) and the Financial Stability Oversight Council (Council), whose expenses are paid for out of the Financial Research Fund, were established under the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203).

The OFR was established to serve the Council, its member agencies, and the public by improving the quality, transparency, and accessibility of financial data and information, by conducting and sponsoring research related to financial stability, and by promoting best practices in risk management. The OFR is an office within the Department of the Treasury.

The Council is comprised of 10 voting members, including the heads of all Federal financial regulators, and five non-voting members. The Secretary of the Treasury serves as Chairperson of the Council. The Council's purpose is to identify risks to the financial stability of the United States, promote market discipline, and respond to emerging threats to the stability of the U.S. financial system.

As required under Section 210(n)(10) of the Act, the Council's expenses also include reimbursement of certain reasonable expenses incurred by the Federal Deposit Insurance Corporation in implementing Orderly Liquidation Authority, provided by Title II of the Act. These expenses are treated as expenses of the Council.

The OFR and the Council were funded through transfers from the Board of Governors of the Federal Reserve System until July 20, 2012. Sub-

sequently, the OFR and the Council have been funded through assessments on certain bank holding companies and nonbank financial companies supervised by the Board of Governors. Expenses of the Council are considered expenses of, and are paid by, the OFR. Projected fees and assessments are estimates and may change.

The Budget proposes to impose appropriate congressional oversight of these functions by subjecting OFR and Council activities to the annual appropriations process. The Budget reflects a near-term steady-state level of OFR spending commensurate with the renewed fiscal discipline being applied across the Federal Government. Treasury is also working to increase the transparency of Council decision-making procedures and to implement more rigorous cost-benefit analysis standards.

Object Classification (in millions of dollars)

Identification code 020-5590-0-2-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	33	22	24
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	34	23	25
12.1 Civilian personnel benefits .....	12	9	9
25.1 Advisory and assistance services .....	19	22	21
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	10	14	13
25.4 Operation and maintenance of facilities .....	3	4	4
26.0 Supplies and materials .....	6	8	8
31.0 Equipment .....	1	4	4
99.0 Direct obligations .....	86	85	85
99.5 Adjustment for rounding .....	-1	.....	.....
99.9 Total new obligations, unexpired accounts .....	85	85	85

Employment Summary

Identification code 020-5590-0-2-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	198	148	163

PRESIDENTIAL ELECTION CAMPAIGN FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5081-0-2-808	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	2	27
Receipts:			
Current law:			
1110 Presidential Election Campaign Fund .....	26	50	50
2000 Total: Balances and receipts .....	28	52	77
Appropriations:			
Current law:			
2101 Presidential Election Campaign Fund .....	-26	-25	-23
2103 Presidential Election Campaign Fund .....	-2	-2	-2
2132 Presidential Election Campaign Fund .....	2	2	.....
2199 Total current law appropriations .....	-26	-25	-25
2999 Total appropriations .....	-26	-25	-25
5099 Balance, end of year .....	2	27	52

Program and Financing (in millions of dollars)

Identification code 020-5081-0-2-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Presidential Election Campaigns .....	.....	.....	224
0003 NIH Pediatric Research Fund Transfer .....	.....	41	3
0900 Total new obligations, unexpired accounts (object class 41.0) .....	.....	41	227
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	343	369	353

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	26	25 23
1203	Appropriation (Sequestration pop-up, Authorizing Committee) .....	2	2 2
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2 .....
1260	Appropriations, mandatory (total) .....	26	25 25
1930	Total budgetary resources available .....	369	394 378
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	369	353 151
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	41	227
3020	Outlays (gross) .....	-41	-227
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	26	25 25
Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	2
4101	Outlays from mandatory balances .....	39	225
4110	Outlays, gross (total) .....	41	227
4180	Budget authority, net (total) .....	26	25 25
4190	Outlays, net (total) .....	41	227

Individual Federal income tax returns include an optional Federal income tax designation of \$3 that an individual may elect to be paid to the Presidential Election Campaign Fund (PECF). In recent years, fewer than 5 percent of individuals have elected to make this designation, resulting in less than \$30 million being paid into the PECF annually.

The Department of the Treasury collects the income tax designations and makes distributions from the PECF to qualified presidential candidates and to the 10-Year Pediatric Research Initiative Fund. Money for the public funding of presidential election campaigns can only come from the PECF; if the PECF were to exhaust its fund balances, no other public funding could be used.

The Federal Election Commission administers the public funding program, determines which candidates are eligible, the amount to which they are entitled, and audits their use of the funds. Current uses of the PECF are provided below.

**Matching Funds for Presidential Primary Candidates.**—Upon certification by the Federal Election Commission and based on a demonstration of broad national support, adherence to spending limits, and other qualifications, every eligible presidential primary candidate is entitled to receive \$250 in Federal matching funds for the first eligible \$250 of private contributions received from an individual. The private contributions must be received after the beginning of the calendar year immediately preceding the election year through the end of the calendar year of the election. For the 2016 Presidential election, \$1,679,865 was paid out to two eligible candidates (\$1,544,965 in 2016 and \$134,900 in 2017).

**Candidates for General Elections.**—By statute, eligible candidates of each major party in a presidential election are entitled to equal payments in an amount that may not exceed \$20 million (adjusted for inflation since 1974) per party. In 2016, this amounted to \$96.1 million for each candidate, but neither major party candidate accepted general election funding. Eligibility for this funding depends on meeting several criteria, such as agreeing to limit spending to amounts specified by campaign finance laws. In addition, candidates from new parties, minor parties, and non-major parties who receive in excess of 5 percent of the popular vote may be entitled to a pro rata portion of the major party amount in the general election.

**10-Year Pediatric Research Initiative Fund.**—On April 3, 2014, the Gabriella Miller Kids First Research Act (Public Law 113–94) was enacted. The Act established the 10-Year Pediatric Research Initiative Fund and directs that certain PECF moneys be transferred to that Fund.

EXCHANGE STABILIZATION FUND

Program and Financing (in millions of dollars)

Identification code 020–4444–0–3–155	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Direct program activity .....	1,597	.....
0900	Total new obligations, unexpired accounts (object class 25.2) .....	1,597	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	39,501	39,499 39,982
1021	Recoveries of prior year unpaid obligations .....	2,202	.....
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation .....	-945	.....
1050	Unobligated balance (total) .....	40,758	39,499 39,982
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	336	483 600
1801	Change in uncollected payments, Federal sources .....	2	.....
1850	Spending auth from offsetting collections, mand (total) .....	338	483 600
1930	Total budgetary resources available .....	41,096	39,982 40,582
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	39,499	39,982 40,582
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	55,158	54,553 54,553
3010	New obligations, unexpired accounts .....	1,597	.....
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2,202	.....
3050	Unpaid obligations, end of year .....	54,553	54,553 54,553
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-3 -3
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2	.....
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3 -3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	55,157	54,550 54,550
3200	Obligated balance, end of year .....	54,550	54,550 54,550
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	338	483 600
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121	Interest on Federal securities .....	-344	-484 -599
4123	Non-Federal sources .....	8	1 -1
4130	Offsets against gross budget authority and outlays (total) ....	-336	-483 -600
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-2	.....
4170	Outlays, net (mandatory) .....	-336	-483 -600
4180	Budget authority, net (total) .....	.....	.....
4190	Outlays, net (total) .....	-336	-483 -600
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	22,090	22,311 22,593
5001	Total investments, EOY: Federal securities: Par value .....	22,311	22,593 23,010

Under the law creating the Exchange Stabilization Fund (ESF), section 10 of the Gold Reserve Act of 1934, as amended, codified at 31 U.S.C. 5302, the Secretary of the Treasury, with the approval of the President, is authorized to deal in gold, foreign exchange, and other instruments of credit and securities, as the Secretary considers necessary, consistent with U.S. obligations in the International Monetary Fund regarding orderly exchange arrangements and a stable system of exchange rates. All earnings and interest accruing to the ESF are available for the purposes thereof. Transactions in Special Drawing Rights (SDRs) and U.S. holdings of SDRs are administered by the fund. By law, the fund is not available to pay administrative expenses.

Since 1934, the principal sources of the fund's income have been earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in estimates entail only projected net interest earnings on ESF assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the

EXCHANGE STABILIZATION FUND—Continued

interest rates applied to investments. In addition, these estimates make no attempt to forecast gains or losses on SDR valuation or foreign currency valuation.

**Balance Sheet** (in millions of dollars)

Identification code 020-4444-0-3-155	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par .....	22,090	22,311
1106 Receivables, net .....	1	3
1201 Non-Federal assets: Foreign Currency Investments .....	21,192	20,879
1801 Other Federal assets: Special Drawing Rights .....	51,491	51,000
1999 Total assets .....	94,774	94,193
<b>LIABILITIES:</b>		
2207 Non-Federal liabilities: Other .....	55,158	54,554
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	200	200
3300 Cumulative results of operations .....	39,416	39,439
3999 Total net position .....	39,616	39,639
4999 Total liabilities and net position .....	94,774	94,193

TREASURY FRANCHISE FUND

**Program and Financing** (in millions of dollars)

Identification code 020-4560-0-4-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0802 Financial Management Administrative Support Service .....	151	153	170
0804 Information Technology Services .....	197	187	190
0806 Shared Services Program .....	242	236	274
0808 Centralized Treasury Administrative Services .....	141	167	
0900 Total new obligations, unexpired accounts .....	590	717	801
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	183	196	223
1021 Recoveries of prior year unpaid obligations .....	9	23	23
1050 Unobligated balance (total) .....	192	219	246
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	597	721	721
1701 Change in uncollected payments, Federal sources .....	-3		
1750 Spending auth from offsetting collections, disc (total) .....	594	721	721
1930 Total budgetary resources available .....	786	940	967
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	196	223	166
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	140	159	74
3010 New obligations, unexpired accounts .....	590	717	801
3020 Outlays (gross) .....	-562	-779	-721
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-23	-23
3050 Unpaid obligations, end of year .....	159	74	131
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-24	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	116	138	53
3200 Obligated balance, end of year .....	138	53	110
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	594	721	721
Outlays, gross:			
4010 Outlays from new discretionary authority .....	477	620	620
4011 Outlays from discretionary balances .....	85	159	101
4020 Outlays, gross (total) .....	562	779	721

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-597	-721	-721
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4080 Outlays, net (discretionary) .....	-35	58	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-35	58	

The Treasury Franchise Fund (the Fund) was established by P.L. 104-208, made permanent by P.L. 108-447, and codified as 31 U.S.C. 322, note. The Fund is revolving in nature and provides financial management, procurement, travel, human resources, and information technology services through its four business lines: the Administrative Resource Center (ARC) Administrative Services, ARC Information Technology Services, Shared Services Programs, and Centralized Treasury Administrative Services (CTAS). The CTAS business line was added in 2019. Services are provided to Federal customers on a reimbursable, fee-for-service basis.

**Object Classification** (in millions of dollars)

Identification code 020-4560-0-4-803	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	140	166	175
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	5	6
11.9 Total personnel compensation .....	146	172	182
12.1 Civilian personnel benefits .....	50	63	67
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....		36	38
23.3 Communications, utilities, and miscellaneous charges .....	65	73	79
25.1 Advisory and assistance services .....	90	54	86
25.2 Other services from non-Federal sources .....	25	36	37
25.3 Other goods and services from Federal sources .....	93	141	146
25.7 Operation and maintenance of equipment .....	85	88	104
26.0 Supplies and materials .....	1	3	3
31.0 Equipment .....	33	42	45
32.0 Land and structures .....		7	12
99.0 Reimbursable obligations .....	589	717	801
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	590	717	801

**Employment Summary**

Identification code 020-4560-0-4-803	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,634	1,919	2,023

GRANTS FOR SPECIFIED ENERGY PROPERTY IN LIEU OF TAX CREDITS, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 020-0140-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for Specified Energy Property in Lieu of Tax Credits, Rec (Direct) .....	48		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	48		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	51		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3		
1260 Appropriations, mandatory (total) .....	48		
1930 Total budgetary resources available .....	48		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	48		

3020	Outlays (gross) .....	-48		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	48		
Outlays, gross:				
4100	Outlays from new mandatory authority .....	48		
4180	Budget authority, net (total) .....	48		
4190	Outlays, net (total) .....	48		

Section 1603 of the American Recovery and Reinvestment Act of 2009 required the Secretary of the Treasury to make payments in lieu of tax credits to entities that placed in service specified energy property. In the Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (P.L. 111–312), section 707(a) extended for one year, through 2011, the time within which certain eligible property must have been placed in service or started construction. Final disbursements occurred in 2018.

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM ACCOUNT**

To carry out the Riegle Community Development and Regulatory Improvements Act of 1994 (subtitle A of title I of Public Law 103–325), including services authorized by section 3109 of title 5, United States Code, but at rates for individuals not to exceed the per diem rate equivalent to the rate for EX-3, \$14,000,000, to be used for administrative expenses, including administration of CDFI fund programs and the New Markets Tax Credit Program: Provided, That during fiscal year 2020, none of the funds available under this heading are available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of commitments to guarantee bonds and notes under section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a): Provided further, That commitments to guarantee bonds and notes under such section 114A shall not exceed \$500,000,000 through December 31, 2020: Provided further, That such section 114A shall remain in effect until December 31, 2020: Provided further, That of the unobligated balances from prior year appropriations available for the Community Development Financial Institutions Fund for the Bank Enterprise Award program under this heading, \$25,000,000 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020–1881–0–1–451	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0009	General Administrative Expenses .....	27	27	14
0012	Financial Assistance .....	165	5	155
0014	Native American/Hawaiian Program .....	15	2	14
0026	Healthy Food Initiative .....	22		22
0028	Bank Enterprise Award .....	23	25	
0050	Mandatory No Year Account .....	1	1	1
0091	Direct program activities, subtotal .....	253	60	206
Credit program obligations:				
0701	Direct loan subsidy .....		3	
0705	Reestimates of direct loan subsidy .....		2	
0706	Interest on reestimates of direct loan subsidy .....	2	4	
0791	Direct program activities, subtotal .....	2	9	
0900	Total new obligations, unexpired accounts .....	255	69	206
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	33	33	223
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	29	29	
1021	Recoveries of prior year unpaid obligations .....	1	1	1
1050	Unobligated balance (total) .....	34	34	224
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	250	250	14
1131	Unobligated balance of appropriations permanently reduced .....			-25
1160	Appropriation, discretionary (total) .....	250	250	-11

<b>Appropriations, mandatory:</b>				
1200	Appropriation .....	2	6	1
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1	1	
1900	Budget authority (total) .....	254	258	-10
1930	Total budgetary resources available .....	288	292	214
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	33	223	8

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	259	236	69
3010	New obligations, unexpired accounts .....	255	69	206
3020	Outlays (gross) .....	-277	-235	-219
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050	Unpaid obligations, end of year .....	236	69	55
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	259	236	69
3200	Obligated balance, end of year .....	236	69	55

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	251	251	-11
Outlays, gross:				
4010	Outlays from new discretionary authority .....	19	20	-11
4011	Outlays from discretionary balances .....	256	209	229
4020	Outlays, gross (total) .....	275	229	218
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....	-1	-1	
4040	Offsets against gross budget authority and outlays (total) .....	-1	-1	
Mandatory:				
4090	Budget authority, gross .....	3	7	1
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	6	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-1	-1	
4180	Budget authority, net (total) .....	252	256	-10
4190	Outlays, net (total) .....	275	233	219

**Memorandum (non-add) entries:**

5010	Total investments, SOY: non-Fed securities: Market value .....	17	17	17
5011	Total investments, EOY: non-Fed securities: Market value .....	17	17	17

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020–1881–0–1–451	2018 actual	2019 est.	2020 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Community Development Financial Institutions Prog Fin Assist. ....	2	25	
115002	Bond Guarantee Program .....	150	500	500
115999	Total direct loan levels .....	152	525	500
Direct loan subsidy (in percent):				
132001	Community Development Financial Institutions Prog Fin Assist. ....	10.72	10.11	
132002	Bond Guarantee Program .....	-4.30	0.00	0.00
132999	Weighted average subsidy rate .....	-4.10	0.48	0.00
Direct loan subsidy budget authority:				
133001	Community Development Financial Institutions Prog Fin Assist. ....		3	
133002	Bond Guarantee Program .....	-6		
133999	Total subsidy budget authority .....	-6	3	
Direct loan subsidy outlays:				
134001	Community Development Financial Institutions Prog Fin Assist. ....	-6		
134999	Total subsidy outlays .....	-6		
Direct loan reestimates:				
135001	Community Development Financial Institutions Prog Fin Assist. ....	-1	-1	
135002	Bond Guarantee Program .....	-4	-2	
135999	Total direct loan reestimates .....	-5	-3	

The Community Development Financial Institutions (CDFI) Fund promotes economic and community development through investment in and assistance to CDFIs (including community development banks, credit

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM  
ACCOUNT—Continued

unions, loan funds, and venture capital funds) to expand the availability of financial services and affordable credit for underserved populations including distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities.

The 2020 Budget eliminates program funding for discretionary programs including the Bank Enterprise Award (BEA) Program, CDFI Program, the Native American CDFI Assistance Program, and the Healthy Food Financing Initiative. The 2020 Budget requests \$14 million in administrative funding for oversight of existing commitments and administration of the CDFI Fund's other programs.

The CDFI Fund's Bond Guarantee Program (BGP) was originally authorized in the Small Business Jobs Act of 2010 (P.L. 111-240) for a period of four years to provide a source of long-term capital in low-income and underserved communities. The Budget continues to propose reforms such as reducing the minimum bond size to increase participation. The Administration encourages the Congress to adopt these and other necessary reforms to promote further private sector participation in BGP financing and support the growth of a self-sustaining CDFI industry.

Object Classification (in millions of dollars)

Identification code 020-1881-0-1-451	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	8	5
12.1 Civilian personnel benefits .....	3	3	2
25.1 Advisory and assistance services .....	5	6	1
25.3 Other goods and services from Federal sources .....	8	8	5
25.7 Operation and maintenance of equipment .....			2
31.0 Equipment .....	3	3	
41.0 Grants, subsidies, and contributions .....	228	41	191
99.0 Direct obligations .....	255	69	206
99.9 Total new obligations, unexpired accounts .....	255	69	206

Employment Summary

Identification code 020-1881-0-1-451	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	66	66	42

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING  
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4088-0-3-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	152	525	500
0713 Payment of interest to Treasury .....	3	3	3
0715 Payments of interest to FFB .....	22	23	32
0740 Negative subsidy obligations .....	7		
0742 Downward reestimates paid to receipt accounts .....	7	9	
0900 Total new obligations, unexpired accounts .....	191	560	535
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	1
1021 Recoveries of prior year unpaid obligations .....	1		
1023 Unobligated balances applied to repay debt .....	-1	-2	-1
1050 Unobligated balance (total) .....	1		
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	167	525	500
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	51	61	62
1801 Change in uncollected payments, Federal sources .....	-1	2	1
1825 Spending authority from offsetting collections applied to repay debt .....	-25	-27	-27
1850 Spending auth from offsetting collections, mand (total) .....	25	36	36

1900 Budget authority (total) .....	192	561	536
1930 Total budgetary resources available .....	193	561	536
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	1	1

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	886	808	813
3010 New obligations, unexpired accounts .....	191	560	535
3020 Outlays (gross) .....	-268	-555	-373
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	808	813	975
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1		-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	-2	-1
3090 Uncollected pymts, Fed sources, end of year .....		-2	-3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	885	808	811
3200 Obligated balance, end of year .....	808	811	972

Financing authority and disbursements, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	192	561	536
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	268	555	373
<b>Offsets against gross financing authority and disbursements:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-3	-6	
4122 Interest on uninvested funds .....	-5	-7	-7
4123 Non-Federal sources - Interest repayments .....	-17	-20	-24
4123 Non-Federal sources - Principal Repayments .....	-26	-28	-31
4130 Offsets against gross budget authority and outlays (total) .....	-51	-61	-62
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	-2	-1
4160 Budget authority, net (mandatory) .....	142	498	473
4170 Outlays, net (mandatory) .....	217	494	311
4180 Budget authority, net (total) .....	142	498	473
4190 Outlays, net (total) .....	217	494	311

Status of Direct Loans (in millions of dollars)

Identification code 020-4088-0-3-451	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Direct loan obligations from current-year authority .....	152	525	500
1150 Total direct loan obligations .....	152	525	500
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	561	765	1,281
1231 Disbursements: Direct loan disbursements .....	230	555	373
1251 Repayments: Repayments and prepayments .....	-26	-38	-40
1263 Write-offs for default: Direct loans .....		-1	-1
1290 Outstanding, end of year .....	765	1,281	1,613

Balance Sheet (in millions of dollars)

Identification code 020-4088-0-3-451	2017 actual	2018 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	1	2
<b>Investments in U.S. securities:</b>		
1106 Receivables, net .....	4	10
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	561	765
1402 Interest receivable .....	1	1
1405 Allowance for subsidy cost (-) .....	7	17
1499 Net present value of assets related to direct loans .....	569	783
1801 Other Federal assets: Cash and other monetary assets .....		
1999 Total assets .....	574	795
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	567	785
2105 Other Liabilities without Related Budgetary Offset .....	7	10
2999 Total liabilities .....	574	795
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		

4999	Total liabilities and net position .....	574	795
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TROUBLED ASSET RELIEF PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0132-0-1-376	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Automotive Industry Financing Program .....	-5	-13	.....
135999 Total direct loan reestimates .....	-5	-13	.....

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with Troubled Asset Relief Program (TARP) direct loans obligated and loan guarantees including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year. The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA.

The authority to make new financial commitments via TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the *Analytical Perspectives* volume.

TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4277-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts .....	4	10	.....
0743 Interest on downward reestimates .....	1	3	.....
0900 Total new obligations, unexpired accounts .....	5	13	.....

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	13	.....
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	13	.....	.....
1900 Budget authority (total) .....	13	.....	.....
1930 Total budgetary resources available .....	18	13	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	.....	.....

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	13
3010 New obligations, unexpired accounts .....	5	13	.....
3020 Outlays (gross) .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	.....	13	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	13
3200 Obligated balance, end of year .....	.....	13	13

<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13	.....	.....
Financing disbursements:			
4110 Outlays, gross (total) .....	5	.....	.....
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Principal .....	-13	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-8	.....	.....

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 2008 and beyond including modifications of direct loans that resulted from obligations in any year. The amounts in this account are a means of financing and are not included in the budget totals.

OFFICE OF FINANCIAL STABILITY

Program and Financing (in millions of dollars)

Identification code 020-0128-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Financial Stability (Direct) .....	75	62	53
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	79	62	53
1930 Total budgetary resources available .....	79	62	53
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	60	54	15
3010 New obligations, unexpired accounts .....	75	62	53
3020 Outlays (gross) .....	-64	-101	-54
3041 Recoveries of prior year unpaid obligations, expired .....	-17	.....	.....
3050 Unpaid obligations, end of year .....	54	15	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	60	54	15
3200 Obligated balance, end of year .....	54	15	14
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	79	62	53
Outlays, gross:			
4100 Outlays from new mandatory authority .....	44	50	42
4101 Outlays from mandatory balances .....	20	51	12
4110 Outlays, gross (total) .....	64	101	54
4180 Budget authority, net (total) .....	79	62	53
4190 Outlays, net (total) .....	64	101	54

The Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) authorized the establishment of the Troubled Asset Relief Program (TARP) and the Office of Financial Stability (OFS) to purchase and insure certain types of troubled assets for the purpose of providing stability to and preventing disruption in the economy and financial system and protecting taxpayers. The Act gave the Secretary of the Treasury broad and flexible authority to purchase and insure mortgages and other troubled assets, as well as inject capital by taking limited equity positions, as needed to stabilize the financial markets. This account provides for the administrative costs of OFS, which oversees and manages TARP.

Object Classification (in millions of dollars)

Identification code 020-0128-0-1-376	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	4	3	2
11.9 Total personnel compensation .....	4	3	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	12	12	9
25.2 Other services from non-Federal sources .....	47	37	33
25.3 Other goods and services from Federal sources .....	11	9	8
99.9 Total new obligations, unexpired accounts .....	75	62	53

Employment Summary

Identification code 020-0128-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	32	24	20

TRBOULED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT—Continued  
**Balance Sheet** (in millions of dollars)

Identification code 020-4277-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	5	13
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....		
1401 Direct loans receivable, gross .....		
1405 Allowance for subsidy cost (-) .....		
1405 Allowance for subsidy cost (-) .....		
1499 Net present value of assets related to direct loans .....		
1999 Total assets .....	5	13
<b>LIABILITIES:</b>		
Federal liabilities:		
2104 Resources payable to Treasury .....	5	13
2105 Other .....		
2999 Total upward reestimate subsidy BA [20-0132] .....	5	13
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	5	13

TRBOULED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM

**Program and Financing** (in millions of dollars)

Identification code 020-0134-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....		1	
0706 Interest on reestimates of direct loan subsidy .....		1	
0900 Total new obligations, unexpired accounts (object class 41.0) .....		2	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		2	
1930 Total budgetary resources available .....		2	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		2	
3020 Outlays (gross) .....		-2	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		2	
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	
4180 Budget authority, net (total) .....		2	
4190 Outlays, net (total) .....		2	

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020-0134-0-1-376	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Capital Purchase Program .....	-5	-4	
135005 Legacy Securities Public-Private Investment Program .....	-1		
135006 Community Development Capital Initiative .....	-2	-7	
135999 Total direct loan reestimates .....	-8	-11	

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with TARP equity purchase obligations (including modifications of equity purchases that resulted from obligations in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA.

The authority to make new financial commitments via TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more

details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the *Analytical Perspectives* volume.

TRBOULED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 020-4278-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	3	2	
0742 Downward reestimates paid to receipt accounts .....	4	6	
0743 Interest on downward reestimates .....	4	6	
0900 Total new obligations, unexpired accounts .....	11	14	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	19	3
1023 Unobligated balances applied to repay debt .....	-31	-5	
1050 Unobligated balance (total) .....	2	14	3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	55	45	9
1825 Spending authority from offsetting collections applied to repay debt .....	-27	-42	-9
1850 Spending auth from offsetting collections, mand (total) .....	28	3	
1900 Budget authority (total) .....	28	3	
1930 Total budgetary resources available .....	30	17	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	19	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 New obligations, unexpired accounts .....	11	14	
3020 Outlays (gross) .....	-11	-13	
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	28	3	
Financing disbursements:			
4110 Outlays, gross (total) .....	11	13	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-1	-1	
4123 Dividends .....	-54	-2	-1
4123 Warrants .....		-16	-4
4123 Redemption .....		-26	-4
4130 Offsets against gross budget authority and outlays (total) .....	-55	-45	-9
4160 Budget authority, net (mandatory) .....	-27	-42	-9
4170 Outlays, net (mandatory) .....	-44	-32	-9
4180 Budget authority, net (total) .....	-27	-42	-9
4190 Outlays, net (total) .....	-44	-32	-9

**Status of Direct Loans** (in millions of dollars)

Identification code 020-4278-0-3-376	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	123	65	40
1251 Repayments: Repayments and prepayments .....	-37	-25	
1263 Write-offs for default: Direct loans .....	-21		-1
1290 Outstanding, end of year .....	65	40	39

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from equity purchases obligated in 2008 and beyond including modifications of equity purchases that resulted from obligations in any year. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

Identification code 020-4278-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	33	17
Investments in U.S. securities:		
1106 Receivables, net .....		
1201 Non-Federal assets: Investments in non-Federal securities, net .....		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	123	65
1405 Allowance for subsidy cost (-) .....	-28	1
1405 Allowance for subsidy cost (-) .....	-2	-11
1499 Net present value of assets related to direct loans .....	93	55
1999 Total assets .....	126	72
<b>LIABILITIES:</b>		
Federal liabilities:		
2103 Debt .....	119	60
2105 Other .....	7	12
2999 Total liabilities .....	126	72
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	126	72

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 020-0136-0-1-604	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42		
1021 Recoveries of prior year unpaid obligations .....	4,004		
1031 Other balances not available .....	-4,046		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,999	4,769	3,046
3020 Outlays (gross) .....	-2,226	-1,723	-1,117
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4,004		
3050 Unpaid obligations, end of year .....	4,769	3,046	1,929
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,999	4,769	3,046
3200 Obligated balance, end of year .....	4,769	3,046	1,929
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	2,226	1,723	1,117
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2,226	1,723	1,117
<b>Memorandum (non-add) entries:</b>			
5103 Unexpired unavailable balance, SOY: Fulfilled purpose .....	8,159	12,205	12,205
5104 Unexpired unavailable balance, EOY: Fulfilled purpose .....	12,205	12,205	12,205

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020-0136-0-1-604	2018 actual	2019 est.	2020 est.
Guaranteed loan reestimates:			
235001 FHA Refi Letter of Credit .....	-2	-1	

Treasury's Home Affordable Modification Program (HAMP) offered mortgage modifications to homeowners at risk of foreclosure under the authority of sections 101 and 109 of the Emergency Economic Stabilization Act of 2008, as amended (EESA) (P.L. 110-343). HAMP closed to new applications on December 30, 2016, but incentive payments continue to be made on modifications entered into on or before December 1, 2017. Additionally, the Hardest Hit Fund has allocated \$9.6 billion under EESA to state housing finance agencies in 18 States and the District of Columbia for foreclosure prevention programs. Funds under EESA also support a Federal Housing Administration (FHA) refinance program that helps

homeowners refinance into a new FHA-insured loan if their existing mortgage holders agree to write down principal. For more details, please see the Budgetary Effects of the Troubled Asset Relief Program chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 020-4329-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0711 Default claim payments on principal .....		1	1
0742 Downward reestimates paid to receipt accounts .....	2	1	
0900 Total new obligations, unexpired accounts .....	2	2	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	4	2
1930 Total budgetary resources available .....	6	4	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	2	1

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			1
3010 New obligations, unexpired accounts .....	2	2	1
3020 Outlays (gross) .....	-2	-1	-1
3050 Unpaid obligations, end of year .....		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			1
3200 Obligated balance, end of year .....		1	1

**Financing authority and disbursements, net:**

Mandatory:			
Financing disbursements:			
4110 Outlays, gross (total) .....	2	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	2	1	1

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 020-4329-0-3-371	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	392	368	344
2251 Repayments and prepayments .....	-23	-23	-23
2263 Adjustments: Terminations for default that result in claim payments .....	-1	-1	-1
2290 Outstanding, end of year .....	368	344	320
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	55	55	55

**Balance Sheet** (in millions of dollars)

Identification code 020-4329-0-3-371	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	8	4
1999 Total assets .....	8	4
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	5	3
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	3	1
2999 Total liabilities .....	8	4
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		

TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT  
FINANCING ACCOUNT—Continued

Balance Sheet—Continued

Identification code 020-4329-0-3-371	2017 actual	2018 actual
4999 Total liabilities and net position .....	8	4

SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM

SALARIES AND EXPENSES

(Enacted/requested)

For necessary expenses of the Office of the Special Inspector General in carrying out the provisions of the Emergency Economic Stabilization Act of 2008 (Public Law 110-343), \$17,500,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 020-0133-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Special Inspector General for the Troubled Asset Relief Program (Direct) .....	35	34	25
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	34	18
1900 Budget authority (total) .....	34	34	18
1930 Total budgetary resources available .....	52	51	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	17	17	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	12	11
3010 New obligations, unexpired accounts .....	35	34	25
3020 Outlays (gross) .....	-36	-35	-29
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	12	11	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	12	11
3200 Obligated balance, end of year .....	12	11	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	34	34	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	31	27	14
4011 Outlays from discretionary balances .....	5	8	15
4020 Outlays, gross (total) .....	36	35	29
4180 Budget authority, net (total) .....	34	34	18
4190 Outlays, net (total) .....	36	35	29

The Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) was established by section 121 of the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343). SIGTARP is both a Federal law enforcement agency and independent audit watchdog that targets financial institution crime, and other fraud, waste, and abuse related to the Troubled Asset Relief Program. Protecting taxpayer dollars and TARP programs drives SIGTARP's mission.

SIGTARP received an initial appropriation of \$50 million in permanent, indefinite budget authority in EESA. The Public-Private Investment Program Improvement and Oversight Act of 2009 (12 U.S.C. 5231a) provided \$15 million in supplemental funding. Since 2010, SIGTARP has received annual appropriations to fund its operations.

The 2020 Budget requests \$17.5 million for SIGTARP, a reduction of 49 percent from the 2018 enacted level. Although less than \$100 million in TARP investments remains outstanding, TARP foreclosure prevention programs will continue until 2023.

Object Classification (in millions of dollars)

Identification code 020-0133-0-1-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	15	15	12
11.3 Other than full-time permanent .....	2	2	1
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	19	18	14
12.1 Civilian personnel benefits .....	5	6	4
21.0 Travel and transportation of persons .....	1	1	1
25.3 Other goods and services from Federal sources .....	10	9	6
99.0 Direct obligations .....	35	34	25
99.9 Total new obligations, unexpired accounts .....	35	34	25

Employment Summary

Identification code 020-0133-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	131	125	95

SMALL BUSINESS LENDING FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-0141-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	42	1	
0706 Interest on reestimates of direct loan subsidy .....	7		
0709 Administrative expenses .....	3	3	6
0900 Total new obligations, unexpired accounts .....	52	4	6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	53	4	6
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-1		
1260 Appropriations, mandatory (total) .....	52	4	6
1930 Total budgetary resources available .....	52	4	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	7	2
3010 New obligations, unexpired accounts .....	52	4	6
3020 Outlays (gross) .....	-53	-9	-5
3050 Unpaid obligations, end of year .....	7	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	7	2
3200 Obligated balance, end of year .....	7	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	52	4	6
Outlays, gross:			
4100 Outlays from new mandatory authority .....	52	2	3
4101 Outlays from mandatory balances .....	1	7	2
4110 Outlays, gross (total) .....	53	9	5
4180 Budget authority, net (total) .....	52	4	6
4190 Outlays, net (total) .....	53	9	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0141-0-1-376	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001 Small Business Lending Fund Investments .....	49	1	

Administrative expense data:				
3510	Budget authority .....	8	4	6
3580	Outlays from balances .....	3		2
3590	Outlays from new authority .....		2	3

The Small Business Lending Fund (SBLF) was established by the Small Business Jobs Act of 2010 (P.L. 111–240) and is a dedicated investment fund that encourages lending to small businesses by providing capital to qualified community banks and community development loan funds (CDLFs). In total, the SBLF provided \$4.0 billion to 281 community banks and 51 CDLFs in 2011. As of September 30, 2018, 277 institutions with aggregate investments of \$3.8 billion have fully redeemed their SBLF investments and exited the program. For institutions that still participate in the program, CDLF securities expire by 2021 and community bank participants are generally expected to end their participation by 2021.

**Object Classification** (in millions of dollars)

Identification code 020–0141–0–1–376				
	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.1	Advisory and assistance services .....			1
25.2	Other services from non-Federal sources .....	1	1	2
25.3	Other goods and services from Federal sources .....	1	1	2
41.0	Grants, subsidies, and contributions .....	49		
94.0	Financial transfers Reestimates .....		1	
99.0	Direct obligations .....	52	4	6
99.9	Total new obligations, unexpired accounts .....	52	4	6

**Employment Summary**

Identification code 020–0141–0–1–376				
	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	5	4	4

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 020–4349–0–3–376				
	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
Credit program obligations:				
0713	Payment of interest to Treasury .....	6	4	3
0900	Total new obligations, unexpired accounts .....	6	4	3
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	45	15	11
1023	Unobligated balances applied to repay debt .....	–45		
1050	Unobligated balance (total) .....		15	11
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	136	77	36
1825	Spending authority from offsetting collections applied to repay debt .....	–115	–77	–36
1850	Spending auth from offsetting collections, mand (total) .....	21		
1930	Total budgetary resources available .....	21	15	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	15	11	8
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	6	4	3
3020	Outlays (gross) .....	–6	–4	–3
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	21		
Financing disbursements:				
4110	Outlays, gross (total) .....	6	4	3
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources - Upward Reestimates .....	–49	–1	

4122	Interest on uninvested funds .....	–1	–1	–1
4123	Non-Federal sources - Principal .....	–72	–67	–27
4123	Non-Federal sources - Dividends .....	–14	–8	–8
4130	Offsets against gross budget authority and outlays (total) ...	–136	–77	–36
4160	Budget authority, net (mandatory) .....	–115	–77	–36
4170	Outlays, net (mandatory) .....	–130	–73	–33
4180	Budget authority, net (total) .....	–115	–77	–36
4190	Outlays, net (total) .....	–130	–73	–33

**Status of Direct Loans** (in millions of dollars)

Identification code 020–4349–0–3–376				
	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	287	209	142
1251	Repayments: Repayments and prepayments .....	–72	–67	–27
1263	Write-offs for default: Direct loans .....	–6		
1290	Outstanding, end of year .....	209	142	115

**Balance Sheet** (in millions of dollars)

Identification code 020–4349–0–3–376			
	2017 actual	2018 actual	
<b>ASSETS:</b>			
Federal assets:			
1101	Fund balances with Treasury .....	45	15
Investments in U.S. securities:			
1106	Receivables, net .....		1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	287	209
1405	Allowance for subsidy cost (-) .....	30	–23
1499	Net present value of assets related to direct loans .....	317	186
1999	Total assets .....	362	202
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	362	202
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	362	202

STATE SMALL BUSINESS CREDIT INITIATIVE

**Program and Financing** (in millions of dollars)

Identification code 020–0142–0–1–376				
	2018 actual	2019 est.	2020 est.	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	25	24	2
3020	Outlays (gross) .....	–1		–2
3041	Recoveries of prior year unpaid obligations, expired .....		–22	
3050	Unpaid obligations, end of year .....	24	2	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	25	24	2
3200	Obligated balance, end of year .....	24	2	
<b>Budget authority and outlays, net:</b>				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances .....	1		2
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1		2

The Small Business Jobs Act of 2010 (P.L. 111–240) created the State Small Business Credit Initiative (SSBCI), which was funded with \$1.5 billion, inclusive of administrative costs, to support State programs that leverage private lending and investing to help finance small businesses and manufacturers.

SSBCI expired on September 27, 2017, at which time States retained any funds transferred by Treasury.

SOCIAL IMPACT DEMONSTRATION PROJECTS

Program and Financing (in millions of dollars)

Identification code 020-0146-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative Costs .....	1	2	2
0002 Social Impact Demonstration Projects .....			4
0900 Total new obligations, unexpired accounts .....	1	2	6
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		99	97
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	100		
1930 Total budgetary resources available .....	100	99	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	99	97	91
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	1	2	6
3020 Outlays (gross) .....		-2	-6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	100		
Outlays, gross:			
4101 Outlays from mandatory balances .....		2	6
4180 Budget authority, net (total) .....	100		
4190 Outlays, net (total) .....		2	6

The Social Impact Partnerships to Pay for Results Act (SIPPPRA) was included as part of the Bipartisan Budget Act of 2018 (P.L. 115-123). SIPPPRA created a ten year \$100 million fund to support social impact partnership projects by state and local governments to support new and innovative ways to solve entrenched social problems. The program funds social programs at the state or local level that achieve demonstrable, measurable, and scalable results, by making payment of funds contingent on positive outcomes.

Object Classification (in millions of dollars)

Identification code 020-0146-0-1-506	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.1 Advisory and assistance services .....	1		
25.7 Operation and maintenance of equipment .....			1
31.0 Equipment .....		1	
41.0 Grants, subsidies, and contributions .....			4
99.9 Total new obligations, unexpired accounts .....	1	2	6

Employment Summary

Identification code 020-0146-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	4	4

GSE PREFERRED STOCK PURCHASE AGREEMENTS

Program and Financing (in millions of dollars)

Identification code 020-0125-0-1-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to GSEs pursuant to PSPAs .....	3,999		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3,999		

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	258,050	254,051	254,051
1930 Total budgetary resources available .....	258,050	254,051	254,051
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	254,051	254,051	254,051

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3,999		
3020 Outlays (gross) .....	-3,999		

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	3,999		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	3,999		

In 2008, under temporary authority granted by section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289), Treasury entered into agreements with Fannie Mae and Freddie Mac (the GSEs) to purchase senior preferred stock of each GSE and to provide up to \$100 billion when needed to ensure that each company maintains a positive net worth. In May 2009, Treasury increased the Senior Preferred Stock Purchase Agreement (PSPA) funding commitment caps to \$200 billion for each GSE, and in December 2009 Treasury modified the funding commitment caps in the PSPAs to be the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010-2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by each GSE as of December 31, 2012, and under the terms of the PSPAs, the combined cumulative funding commitment cap for Fannie Mae and Freddie Mac was set at \$445.5 billion. Treasury's authority to purchase obligations or other securities of the GSEs or to increase the funding commitment expired on December 31, 2009. Under the PSPAs, Treasury has maintained the solvency of the GSEs by providing \$191.5 billion of investment to the GSEs. The PSPAs also require the GSEs to pay dividends to Treasury that are recorded as offsetting receipts and are not reflected in this expenditure account. Through December 31, 2018, the GSEs have paid \$292.3 billion in dividend payments to Treasury on the senior preferred stock.

GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-0126-0-1-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Financial Agent Services .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [020-1802] ....	2	2	2
1930 Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 020-0126-0-1-371	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135002 New Issue Bond Program SF .....	-79	-3	.....
135003 New Issue Bond Program MF .....	-19	-3	.....
135999 Total direct loan reestimates .....	-98	-6	.....

The authority for the three programs displayed in this account: Fannie Mae and Freddie Mac's mortgage-backed securities purchase program, which purchased and then liquidated mortgage backed securities; the Temporary Credit and Liquidity Program, which provided liquidity to state housing financing agencies (HFAs); and the New Issue Bond Program, which purchased securities backed by new HFA housing bonds was provided in section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289). As required by the Federal Credit Reform Act of 1990 as amended, this account records the subsidy costs associated with these programs, which are treated as direct loans for budget execution. The subsidy amounts are estimated on a present value basis.

**GSE MORTGAGE-BACKED SECURITIES PURCHASE DIRECT LOAN FINANCING ACCOUNT**

**Balance Sheet** (in millions of dollars)

Identification code 020-4272-0-3-371	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	705	.....
1999 Total assets .....	705	.....
LIABILITIES:		
2105 Federal liabilities: Other Liabilities without Related Budgetary Obligations .....	705	.....
4999 Total liabilities and net position .....	705	.....

**STATE HFA DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 020-4298-0-3-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	169	159	159
0742 Downward reestimates paid to receipt accounts .....	73	5	.....
0743 Interest on downward reestimates .....	25	2	.....
0900 Total new obligations, unexpired accounts .....	267	166	159
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	173	42	42
1023 Unobligated balances applied to repay debt .....	-173	.....	.....
1050 Unobligated balance (total) .....	.....	42	42
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	98	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	545	334	285
1825 Spending authority from offsetting collections applied to repay debt .....	-334	-168	-126
1850 Spending auth from offsetting collections, mand (total) .....	211	166	159
1900 Budget authority (total) .....	309	166	159
1930 Total budgetary resources available .....	309	208	201
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	42	42	42
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	267	166	159
3020 Outlays (gross) .....	-267	-166	-159
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	309	166	159

Financing disbursements:			
4110 Outlays, gross (total) .....	267	166	159
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds .....	-8	-8	-13
4123 Non-Federal sources - Interest .....	-128	-120	-115
4123 Non-Federal sources - Principal .....	-408	-206	-157
4123 Non-Federal sources - Other .....	-1	.....	.....
4130 Offsets against gross budget authority and outlays (total) ....	-545	-334	-285
4160 Budget authority, net (mandatory) .....	-236	-168	-126
4170 Outlays, net (mandatory) .....	-278	-168	-126
4180 Budget authority, net (total) .....	-236	-168	-126
4190 Outlays, net (total) .....	-278	-168	-126

**Status of Direct Loans** (in millions of dollars)

Identification code 020-4298-0-3-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	5,032	4,624	4,417
1251 Repayments: Repayments and prepayments .....	-408	-207	-157
1290 Outstanding, end of year .....	4,624	4,417	4,260

**Balance Sheet** (in millions of dollars)

Identification code 020-4298-0-3-371	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....	173	42
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	5,032	4,624
1405 Allowance for subsidy cost (-) .....	-669	-630
1499 Net present value of assets related to direct loans .....	4,363	3,994
1999 Total assets .....	4,536	4,036
LIABILITIES:		
2103 Federal liabilities: Debt .....	4,536	4,036
NET POSITION:		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	4,536	4,036

**Trust Funds**

**CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-8524-0-7-451	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	8	10	8
Receipts:			
Current law:			
1130 Affordable Housing Allocation, Capital Magnet Fund .....	145	132	139
Proposed:			
1230 Affordable Housing Allocation, Capital Magnet Fund .....	.....	.....	-139
1999 Total receipts .....	145	132	.....
2000 Total: Balances and receipts .....	153	142	8
Appropriations:			
Current law:			
2101 Capital Magnet Fund, Community Development Financial Institutions .....	-145	-132	-139
2103 Capital Magnet Fund, Community Development Financial Institutions .....	-8	-10	-8
2132 Capital Magnet Fund, Community Development Financial Institutions .....	10	8	.....
2199 Total current law appropriations .....	-143	-134	-147
Proposed:			
2201 Capital Magnet Fund, Community Development Financial Institutions .....	.....	.....	139
2999 Total appropriations .....	-143	-134	-8
5099 Balance, end of year .....	10	8	.....

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS—Continued

Program and Financing (in millions of dollars)

Identification code 020-8524-0-7-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 CDFI Allocations .....	120	143	130
0002 CMF Administration .....	2	2	2
0900 Total new obligations, unexpired accounts .....	122	145	132
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	119	141	130
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	120	141	130
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	145	132	139
1203 Appropriation (previously unavailable) .....	8	10	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-10	-8	
1260 Appropriations, mandatory (total) .....	143	134	147
1930 Total budgetary resources available .....	263	275	277
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	141	130	145
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	122	145	132
3020 Outlays (gross) .....	-122	-145	-132
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	143	134	147
Outlays, gross:			
4100 Outlays from new mandatory authority .....	121	4	2
4101 Outlays from mandatory balances .....	1	141	130
4110 Outlays, gross (total) .....	122	145	132
4180 Budget authority, net (total) .....	143	134	147
4190 Outlays, net (total) .....	122	145	132

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	143	134	147
Outlays .....	122	145	132
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-139
Total:			
Budget Authority .....	143	134	8
Outlays .....	122	145	132

The Capital Magnet Fund (CMF) provides financial assistance grants to Community Development Financial Institutions and qualified nonprofit housing providers that would be leveraged to attract other financing sources for affordable housing and related economic development activities. The CMF was established by the Housing and Economic Recovery Act of 2008 (HERA) (P.L. 110-289), which directed the program to be funded from assessments on Fannie Mae and Freddie Mac (the GSEs). The 2020 Budget includes a proposal to eliminate new funding for CMF effective in 2020.

Object Classification (in millions of dollars)

Identification code 020-8524-0-7-451	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	120	143	130

99.9	Total new obligations, unexpired accounts .....	122	145	132
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Employment Summary

Identification code 020-8524-0-7-451	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	4	5	6

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-8524-4-7-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	CDFI Allocations .....		-130
0900	Total new obligations, unexpired accounts (object class 41.0) .....		-130
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		-139
1930	Total budgetary resources available .....		-139
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		-130
3050	Unpaid obligations, end of year .....		-130
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-130
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-139
4180	Budget authority, net (total) .....		-139
4190	Outlays, net (total) .....		

GIFTS AND BEQUESTS

Program and Financing (in millions of dollars)

Identification code 020-8790-0-7-803	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1930	Total budgetary resources available .....	1	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	1	1
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	1	1
5001	Total investments, EOY: Federal securities: Par value .....	1	1

This account was established pursuant to 31 U.S.C. 321 to receive gifts and bequests to the Department. These funds support the restoration of the Treasury building and historical collection of art, furniture, and artifacts owned by the Department. The fund is also used as an endowment for Treasury's restored rooms.

FINANCIAL CRIMES ENFORCEMENT NETWORK

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel and training expenses of non-Federal and foreign government personnel to attend meetings and training concerned with domestic and foreign financial intelligence activities, law enforcement, and financial

regulation; services authorized by 5 U.S.C. 3109; not to exceed \$12,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, \$124,700,000, of which not to exceed \$34,335,000 shall remain available until September 30, 2022.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020–0173–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 BSA administration and Analysis .....	120	115	125
0801 Reimbursable program activity .....	2	3	3
0900 Total new obligations, unexpired accounts .....	122	118	128
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	44	38	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	115	115	125
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	117	118	128
1930 Total budgetary resources available .....	161	156	166
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	38	38	38

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	47	49	31
3010 New obligations, unexpired accounts .....	122	118	128
3020 Outlays (gross) .....	-118	-136	-124
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	49	31	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	45	49	31
3200 Obligated balance, end of year .....	49	31	35

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	117	118	128
Outlays, gross:			
4010 Outlays from new discretionary authority .....	64	90	96
4011 Outlays from discretionary balances .....	54	46	28
4020 Outlays, gross (total) .....	118	136	124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	115	115	125
4080 Outlays, net (discretionary) .....	115	133	121
4180 Budget authority, net (total) .....	115	115	125
4190 Outlays, net (total) .....	115	133	121

The Federal Crimes Enforcement Network (FinCEN) safeguards the financial system from illicit use, combats money laundering, and promotes national security through the strategic use of financial authorities and the collection, analysis, and dissemination of financial intelligence. FinCEN carries out its mission by developing and issuing regulations under the Bank Secrecy Act (BSA); enforcing compliance with the BSA in partnership with law enforcement and other regulatory partners; receiving and maintaining financial transaction data; analyzing and disseminating financial intelligence for law enforcement purposes; to serving as the U.S. Financial Intelligence Unit (FIU) and maintaining a network of information sharing with over 150 FIU partner countries.

**Object Classification** (in millions of dollars)

Identification code 020–0173–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	43	46
11.5 Other personnel compensation .....	1		1
11.9 Total personnel compensation .....	36	43	47
12.1 Civilian personnel benefits .....	11	12	13
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	4	4	5
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.1 Advisory and assistance services .....	2	1	1
25.2 Other services from non-Federal sources .....	35	17	21
25.3 Other goods and services from Federal sources .....	10	10	9
25.7 Operation and maintenance of equipment .....	16	18	18
31.0 Equipment .....	3	7	7
99.0 Direct obligations .....	120	115	124
99.0 Reimbursable obligations .....	1	3	3
99.5 Adjustment for rounding .....	1		1
99.9 Total new obligations, unexpired accounts .....	122	118	128

**Employment Summary**

Identification code 020–0173–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	280	332	359
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

**FISCAL SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of operations of the Bureau of the Fiscal Service, \$340,337,000; of which not to exceed \$8,000,000, to remain available until September 30, 2022, is for information systems modernization initiatives; and of which \$5,000 shall be available for official reception and representation expenses.

In addition, \$165,000, to be derived from the Oil Spill Liability Trust Fund to reimburse administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101–380.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020–0520–0–1–803	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	1	3
Receipts:			
Current law:			
1130 Debt Collection, Non-federal Receipts .....	145	193	193
1140 Debt Collection Improvement Fund, Federal Receipts .....	28	26	26
1199 Total current law receipts .....	173	219	219
Proposed:			
1230 Debt Collection, Non-federal Receipts .....			6
1230 Debt Collection, Non-federal Receipts .....			32
1299 Total proposed receipts .....			38
1999 Total receipts .....	173	219	257
2000 Total: Balances and receipts .....	175	220	260
Appropriations:			
Current law:			
2101 Salaries and Expenses .....	-174	-218	-199
2103 Salaries and Expenses .....	-2	-1	-2
2132 Salaries and Expenses .....	2	2	
2199 Total current law appropriations .....	-174	-217	-201
2999 Total appropriations .....	-174	-217	-201
5099 Balance, end of year .....	1	3	59

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 020-0520-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Collections .....	38	42	38
0002 Debt Collection .....	168	189	199
0005 Accounting and Reporting .....	90	95	97
0006 Payments .....	131	118	123
0007 Retail Securities Services .....	61	59	61
0009 Wholesale Securities Services .....	22	24	21
0799 Total direct obligations .....	510	527	539
0801 Salaries and Expenses (Reimbursable) .....	186	199	193
0900 Total new obligations, unexpired accounts .....	696	726	732
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	71	71	99
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	12	9	
1021 Recoveries of prior year unpaid obligations .....	4		
1022 Capital transfer of unobligated balances to general fund .....	-4		
1050 Unobligated balance (total) .....	71	71	99
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	338	338	340
Appropriations, mandatory:			
1201 Special Fund 20-5445 .....	174	218	199
1203 Appropriation (previously unavailable) .....	2	1	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	
1235 Capital transfer of appropriations to general fund .....	-1		
1260 Appropriations, mandatory (total) .....	173	217	201
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	177	199	193
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	186	199	193
1900 Budget authority (total) .....	697	754	734
1930 Total budgetary resources available .....	768	825	833
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	71	99	101
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year .....	13		
1953 Expired unobligated balance, end of year .....	8		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	103	89	61
3010 New obligations, unexpired accounts .....	696	726	732
3011 Obligations ("upward adjustments"), expired accounts .....	5		
3020 Outlays (gross) .....	-707	-754	-738
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	89	61	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071 Change in uncollected pymts, Fed sources, expired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	90	77	49
3200 Obligated balance, end of year .....	77	49	43
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	524	537	533
Outlays, gross:			
4010 Outlays from new discretionary authority .....	467	448	445
4011 Outlays from discretionary balances .....	69	85	88
4020 Outlays, gross (total) .....	536	533	533
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-187	-199	-193
4040 Offsets against gross budget authority and outlays (total) ....	-187	-199	-193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	10		

4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	338	338	340
4080 Outlays, net (discretionary) .....	349	334	340
Mandatory:			
4090 Budget authority, gross .....	173	217	201
Outlays, gross:			
4100 Outlays from new mandatory authority .....	96	158	145
4101 Outlays from mandatory balances .....	75	63	60
4110 Outlays, gross (total) .....	171	221	205
4180 Budget authority, net (total) .....	511	555	541
4190 Outlays, net (total) .....	520	555	545

The mission of the Fiscal Service is to promote the financial integrity and operational efficiency of the U.S. Government through exceptional accounting, financing, collections, payments, and shared services. In addition to supporting the National Critical Financial Infrastructure of the Federal Government, the Fiscal Service plays a key role in achieving Treasury's goals to transform government-wide financial stewardship and achieve operational excellence. Specifically, Fiscal Service is responsible for disbursing Federal Government payments; collecting receipts and delinquent debt; providing government-wide accounting and reporting services; borrowing the money needed to operate the Federal Government; accounting for the debt; and providing accounting and other reimbursable services to Government agencies.

The Budget provides resources to support the core operational activities of the Fiscal Service, with a focus on converting disbursement checks to electronic payments; centralizing Federal disbursing; reducing improper payments; expanding electronic invoicing; reducing collections lockboxes while increasing digitization; improving the effectiveness of debt collection activities; developing new solutions for streamlining government-wide accounting; and expanding mobile processes to allow the public to interact with the Government how they want. This Budget supports the President's Management Agenda as it relates to driving transformation through the following three key tools: IT modernization efforts critical to securing data and transforming business processes; data accountability and transparency development; and workforce investments to ensure Fiscal Service has the right people in the right place with the right skills as Fiscal Service engages in transformation efforts.

Object Classification (in millions of dollars)

Identification code 020-0520-0-1-803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	184	186	180
11.3 Other than full-time permanent .....		1	
11.5 Other personnel compensation .....	4	6	5
11.8 Special personal services payments .....		24	24
11.9 Total personnel compensation .....	188	217	209
12.1 Civilian personnel benefits .....	64	66	65
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	2	3	4
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....	24	25	25
23.3 Communications, utilities, and miscellaneous charges .....	17	16	16
25.1 Advisory and assistance services .....	43	47	64
25.2 Other services from non-Federal sources .....	14	14	14
25.3 Other goods and services from Federal sources .....	136	114	123
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	9	9	7
26.0 Supplies and materials .....	5	5	4
31.0 Equipment .....	5	8	5
32.0 Land and structures .....		1	
99.0 Direct obligations .....	510	527	539
99.0 Reimbursable obligations .....	186	199	193
99.9 Total new obligations, unexpired accounts .....	696	726	732

Employment Summary

Identification code 020-0520-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,996	2,089	2,031

2001 Reimbursable civilian full-time equivalent employment .....	11	10	10
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REIMBURSEMENTS TO FEDERAL RESERVE BANKS

Program and Financing (in millions of dollars)

Identification code 020-0562-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Reimbursements to Federal Reserve Banks (Direct) .....	137	167	171
0900 Total new obligations, unexpired accounts (object class 25.2) .....	137	167	171
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	9		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	128	167	171
1930 Total budgetary resources available .....	137	167	171
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	37	42
3010 New obligations, unexpired accounts .....	137	167	171
3020 Outlays (gross) .....	-130	-162	-170
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9		
3050 Unpaid obligations, end of year .....	37	42	43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	37	42
3200 Obligated balance, end of year .....	37	42	43
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	128	167	171
Outlays, gross:			
4100 Outlays from new mandatory authority .....	91	125	128
4101 Outlays from mandatory balances .....	39	37	42
4110 Outlays, gross (total) .....	130	162	170
4180 Budget authority, net (total) .....	128	167	171
4190 Outlays, net (total) .....	130	162	170

This Fund was established by the Treasury, Postal Service, and General Government Appropriations Act of 1991 (P.L. 101-509, 104 Stat. 1389, 1394) as a permanent, indefinite appropriation to reimburse the Federal Reserve Banks for acting as fiscal agents of the Federal Government in support of financing the public debt.

PAYMENT TO THE RESOLUTION FUNDING CORPORATION

Program and Financing (in millions of dollars)

Identification code 020-1851-0-1-908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Resolution Funding Corporation (Direct) .....	2,628	2,628	2,445
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2,628	2,628	2,445
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2,628	2,628	2,445
1930 Total budgetary resources available .....	2,628	2,628	2,445
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2,628	2,628	2,445
3020 Outlays (gross) .....	-2,628	-2,628	-2,445
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2,628	2,628	2,445
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,628	2,628	2,445
4180 Budget authority, net (total) .....	2,628	2,628	2,445

4190 Outlays, net (total) .....	2,628	2,628	2,445
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The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (the Act) authorized and appropriated to the Secretary of the Treasury such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations have included REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. If these payment sources are insufficient to cover all interest costs, indefinite, mandatory funds appropriated to the Treasury shall be used to meet the shortfall.

HOPE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5581-0-2-371	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	9	1	1
2000 Total: Balances and receipts .....	9	1	1
Appropriations:			
Current law:			
2103 Hope Reserve Fund .....	-8		
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 020-5581-0-2-371	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	78	86	86
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	8		
1930 Total budgetary resources available .....	86	86	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	86	86	86
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	8		
4180 Budget authority, net (total) .....	8		
4190 Outlays, net (total) .....			

The HOPE Reserve Fund was authorized by section 1337(e) of the Housing and Economic Recovery Act of 2008 (HERA, P.L. 110-289), which directed the account be funded from assessments on Fannie Mae and Freddie Mac.

FEDERAL RESERVE BANK REIMBURSEMENT FUND

Program and Financing (in millions of dollars)

Identification code 020-1884-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Reserve Bank services .....	561	615	623
0900 Total new obligations, unexpired accounts (object class 25.2) .....	561	615	623
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	43		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	518	615	623
1930 Total budgetary resources available .....	561	615	623

FEDERAL RESERVE BANK REIMBURSEMENT FUND—Continued  
Program and Financing—Continued

Identification code 020-1884-0-1-803	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	160	148	176
3010 New obligations, unexpired accounts .....	561	615	623
3020 Outlays (gross) .....	-530	-587	-621
3040 Recoveries of prior year unpaid obligations, unexpired .....	-43		
3050 Unpaid obligations, end of year .....	148	176	178
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	160	148	176
3200 Obligated balance, end of year .....	148	176	178
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	518	615	623
Outlays, gross:			
4100 Outlays from new mandatory authority .....	370	439	445
4101 Outlays from mandatory balances .....	160	148	176
4110 Outlays, gross (total) .....	530	587	621
4180 Budget authority, net (total) .....	518	615	623
4190 Outlays, net (total) .....	530	587	621

This Fund was established by the Treasury and General Government Appropriations Act, 1998, Title I (P.L. 105-61, 111 Stat. 1276) as a permanent, indefinite appropriation to reimburse Federal Reserve Banks for services provided, when directed by the Secretary of the Treasury in accordance with 12 U.S.C. 391, in their capacity as depositaries and fiscal agents for the United States.

PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT

Program and Financing (in millions of dollars)

Identification code 020-1710-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment of Government Losses in Shipment (Direct) .....	2	3	3
0900 Total new obligations, unexpired accounts (object class 42.0) .....	2	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	3	3
1930 Total budgetary resources available .....	2	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	3	3
3020 Outlays (gross) .....	-2	-3	-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	3	3
4180 Budget authority, net (total) .....	2	3	3
4190 Outlays, net (total) .....	2	3	3

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 1,100 claims are paid annually.

FINANCIAL AGENT SERVICES

Program and Financing (in millions of dollars)

Identification code 020-1802-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Financial agent services .....	814	831	847
0900 Total new obligations, unexpired accounts (object class 25.2) .....	814	831	847
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			15
1021 Recoveries of prior year unpaid obligations .....	13	15	
1050 Unobligated balance (total) .....	13	15	15
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	803	833	849
1220 Appropriations transferred to other accts (020-0126) .....	-2	-2	-2
1260 Appropriations, mandatory (total) .....	801	831	847
1930 Total budgetary resources available .....	814	846	862
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	64	68	56
3010 New obligations, unexpired accounts .....	814	831	847
3020 Outlays (gross) .....	-797	-828	-846
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	-15	
3050 Unpaid obligations, end of year .....	68	56	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	64	68	56
3200 Obligated balance, end of year .....	68	56	57
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	801	831	847
Outlays, gross:			
4100 Outlays from new mandatory authority .....	733	760	775
4101 Outlays from mandatory balances .....	64	68	71
4110 Outlays, gross (total) .....	797	828	846
4180 Budget authority, net (total) .....	801	831	847
4190 Outlays, net (total) .....	797	828	846

This permanent, indefinite appropriation was established to reimburse financial institutions for the services they provide as depositaries and financial agents of the Federal Government. The services include the acceptance and processing of deposits of public money, as well as services essential to the disbursement of, and accounting for, public monies. The services provided are authorized under numerous statutes including, but not limited to, 12 U.S.C. 90 and 265. This permanent, indefinite appropriation is authorized by P.L. 108-100, the "Check Clearing for the 21st Century Act," and permanently appropriated by P.L. 108-199, the "Consolidated Appropriations Act of 2004." Additionally, financial agent administrative and financial analysis costs for the Government Sponsored Enterprise Mortgage Backed Securities Purchase Program and State Housing Finance Agency program are reimbursed from this account.

INTEREST ON UNINVESTED FUNDS

Program and Financing (in millions of dollars)

Identification code 020-1860-0-1-908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Interest of uninvested funds .....	21	31	32
0900 Total new obligations, unexpired accounts (object class 43.0) .....	21	31	32
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	21	31	32

1930	Total budgetary resources available .....	21	31	32
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	52	62	62
3010	New obligations, unexpired accounts .....	21	31	32
3020	Outlays (gross) .....	-11	-31	-32
3050	Unpaid obligations, end of year .....	62	62	62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	52	62	62
3200	Obligated balance, end of year .....	62	62	62
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	21	31	32
Outlays, gross:				
4101	Outlays from mandatory balances .....	11	31	32
4180	Budget authority, net (total) .....	21	31	32
4190	Outlays, net (total) .....	11	31	32

This account was established for the purpose of paying interest on certain uninvested funds placed in trust in the Treasury in accordance with various statutes (31 U.S.C. 1321; 2 U.S.C. 158 (P.L. 94-289); 20 U.S.C. 74a (P.L. 94-418) and 101; 24 U.S.C. 46 (P.L. 94-290) and 69 Stat. 533).

FEDERAL INTEREST LIABILITIES TO STATES

Program and Financing (in millions of dollars)

Identification code 020-1877-0-1-908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Federal interest liabilities to States .....	1	1
0900	Total new obligations, unexpired accounts (object class 25.2) .....	1	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1	1
1930	Total budgetary resources available .....	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	1	1
3020	Outlays (gross) .....	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	1

Pursuant to the Cash Management Improvement Act (P.L. 101-453, 104 Stat. 1058) as amended (P.L. 102-589, 106 Stat. 5133), and Treasury regulations codified at 31 CFR Part 205, under certain circumstances, interest is paid when Federal funds are not transferred to states in a timely manner.

INTEREST PAID TO CREDIT FINANCING ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 020-1880-0-1-908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Interest paid to credit financing accounts .....	7,894	11,566
0900	Total new obligations, unexpired accounts (object class 43.0) .....	7,894	11,566
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	7,894	11,566
1930	Total budgetary resources available .....	7,894	11,566

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	7,894	11,566
3020	Outlays (gross) .....	-7,894	-11,566
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	7,894	11,566
Outlays, gross:			
4100	Outlays from new mandatory authority .....	7,894	11,566
4180	Budget authority, net (total) .....	7,894	11,566
4190	Outlays, net (total) .....	7,894	11,566

This account pays interest on the invested balances of guaranteed and direct loan financing accounts. For guaranteed loan financing accounts, balances result when the accounts receive up-front payments and fees to be held in reserve to make payments on defaults. Direct loan financing accounts normally borrow from Treasury to disburse loans and receive interest and principal payments and other payments from borrowers. Because direct loan financing accounts generally repay borrowing from Treasury at the end of the year, they can build up balances of payments received during the year. Interest on invested balances is paid to the financing accounts from the general fund of the Treasury, in accordance with section 505(c) of the Federal Credit Reform Act of 1990.

CLAIMS, JUDGMENTS, AND RELIEF ACTS

Program and Financing (in millions of dollars)

Identification code 020-1895-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Claims for damages .....	1	2
0003	Claims for contract disputes .....	197	240
0091	Total claims adjudicated administratively .....	198	242
0101	Judgments, Court of Claims .....	807	1,437
0102	Judgments, U.S. courts .....	622	576
0191	Total court judgments .....	1,429	2,013
0900	Total new obligations, unexpired accounts (object class 42.0) .....	1,627	2,255
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1,627	2,255
1930	Total budgetary resources available .....	1,627	2,255
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	204	130
3010	New obligations, unexpired accounts .....	1,627	2,255
3020	Outlays (gross) .....	-1,701	-2,255
3050	Unpaid obligations, end of year .....	130	130
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	204	130
3200	Obligated balance, end of year .....	130	130
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1,627	2,255
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1,498	2,125
4101	Outlays from mandatory balances .....	203	130
4110	Outlays, gross (total) .....	1,701	2,255
4180	Budget authority, net (total) .....	1,627	2,255
4190	Outlays, net (total) .....	1,701	2,255

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	1,627	2,255	2,255
Outlays .....	1,701	2,255	2,255
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-3
Outlays .....			-3

CLAIMS, JUDGMENTS, AND RELIEF ACTS—Continued  
Summary of Budget Authority and Outlays—Continued

	2018 actual	2019 est.	2020 est.
Total:			
Budget Authority .....	1,627	2,255	2,252
Outlays .....	1,701	2,255	2,252

Funds are made available for cases in which the Federal Government is found by courts to be liable for payment of claims and interest for damages not chargeable to appropriations of individual agencies, and for payment of private and public relief acts. Public Law 95–26 authorized a permanent, indefinite appropriation to pay certain judgments from the general fund of the Treasury.

CLAIMS, JUDGMENTS, AND RELIEF ACTS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020–1895–4–1–808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Judgments, U.S. courts .....			–3
0191 Total court judgments .....			–3
0900 Total new obligations, unexpired accounts (object class 42.0) .....			–3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–3
1930 Total budgetary resources available .....			–3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–3
3020 Outlays (gross) .....			3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–3
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–3
4180 Budget authority, net (total) .....			–3
4190 Outlays, net (total) .....			–3

The Budget proposes to reform medical liability and reduce defensive medicine beginning in 2020 by implementing a set of provisions to reduce the number of high dollar awards, limit liability, reduce provider burden, promote evidence-based practices, and strengthen the physician-patient relationship. These reforms are expected to reduce healthcare costs for all Americans and reduce health insurance premiums.

RESTITUTION OF FORGONE INTEREST

Program and Financing (in millions of dollars)

Identification code 020–1875–0–1–908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Restitution of Forgone Interest (Direct) .....	1,464		
0900 Total new obligations, unexpired accounts (object class 43.0) .....	1,464		
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,464		
1930 Total budgetary resources available .....	1,464		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,464		
3020 Outlays (gross) .....	–1,464		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1,464		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,464		
4180 Budget authority, net (total) .....	1,464		
4190 Outlays, net (total) .....	1,464		

This account provides funds for the payment of interest on investments in Treasury securities that the Secretary of the Treasury has suspended or redeemed. The Secretary is permitted to take such action when Treasury is constrained by the statutory debt limit and must take extraordinary measures to avoid defaulting. Treasury is required to restore all due interest and principal to the respective investments.

CONTINUED DUMPING AND SUBSIDY OFFSET

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020–5688–0–2–376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	3	2	7
Receipts:			
Current law:			
1110 Antidumping and Countervailing Duties, Continued Dumping and Subsidy Offset .....	26	26	26
2000 Total: Balances and receipts .....	29	28	33
Appropriations:			
Current law:			
2101 Continued Dumping and Subsidy Offset .....	–26	–20	–18
2103 Continued Dumping and Subsidy Offset .....	–3	–2	–1
2132 Continued Dumping and Subsidy Offset .....	2	1	
2199 Total current law appropriations .....	–27	–21	–19
2999 Total appropriations .....	–27	–21	–19
5099 Balance, end of year .....	2	7	14

Program and Financing (in millions of dollars)

Identification code 020–5688–0–2–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Continued dumping and subsidy offset .....	46	23	19
0900 Total new obligations, unexpired accounts (object class 41.0) .....	46	23	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	141	122	120
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	26	20	18
1203 Appropriation (previously unavailable) .....	3	2	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–2	–1	
1260 Appropriations, mandatory (total) .....	27	21	19
1930 Total budgetary resources available .....	168	143	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	122	120	120
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	46	23	19
3020 Outlays (gross) .....	–46	–23	–19
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	27	21	19
Outlays, gross:			
4100 Outlays from new mandatory authority .....		20	19
4101 Outlays from mandatory balances .....	46	3	
4110 Outlays, gross (total) .....	46	23	19
4180 Budget authority, net (total) .....	27	21	19
4190 Outlays, net (total) .....	46	23	19

The Bureau of Customs and Border Protection, Department of Homeland Security (CBP), collects duties assessed pursuant to a countervailing duty

order, an antidumping duty order, or a finding under the Antidumping Act of 1921. Under a provision enacted in 2000 CBP, through the Treasury, distributes certain of these duties to affected domestic producers. These distributions provide an additional subsidy to producers that already gain protection from the increased import prices, including tariffs. The authority to distribute assessments on entries made after October 1, 2007, has been repealed. Assessments on entries made before October 1, 2007, will be disbursed as if the authority had not been repealed. Assessments collected on eligible entries are to be disbursed within 60 days of the end of the fiscal year in which they were collected.

CHECK FORGERY INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 020-4109-0-3-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Check Forgery Insurance Fund (Reimbursable) .....	5	5	5
0900 Total new obligations, unexpired accounts (object class 42.0) .....	5	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	5	5
1900 Budget authority (total) .....	5	5	5
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-5	-5	-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....		5	5
4101 Outlays from mandatory balances .....	5		
4110 Outlays, gross (total) .....	5	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-5	-5	-5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This Fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund. The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of Government checks that have been stolen and forged, settlement is made in advance of the receipt of funds from the endorser of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

Public Law 108-447 expanded the use of the Fund to include payments made via electronic funds transfer. A technical correction to the Fund's statutes to ensure and clarify that the Fund can be utilized as a funding source for relief of administrative disbursing errors was enacted by P.L. 110-161, Division D, section 119.

Trust Funds

CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8209-0-7-306	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	60	60	60
Receipts:			
Current law:			
1140 Earnings on Investments, Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	1	1	1
2000 Total: Balances and receipts .....	61	61	61
Appropriations:			
Current law:			
2101 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....	-1	-1	-1
5099 Balance, end of year .....	60	60	60

Program and Financing (in millions of dollars)

Identification code 020-8209-0-7-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration (Direct) .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 43.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	61	61	61
5001 Total investments, EOY: Federal securities: Par value .....	61	61	61

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. Pursuant to section 604(b) of the Water Resources Development Act of 1999 (P.L. 106-53), after the funds were fully capitalized by deposits from the General Fund of the Treasury, interest earned became available to the Tribes to carry out the purposes of the funds. Full capitalization occurred in 2010; therefore no additional deposits will be provided by the General Fund of the Treasury. The Tribes are only able to draw down on interest earned investments.

GULF COAST RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8625-0-7-452	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	22	12	22
Receipts:			
Current law:			
1110 Administrative and Civil Penalties, Gulf Coast Restoration Trust Fund .....	152	303	304

GULF COAST RESTORATION TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 020-8625-0-7-452	2018 actual	2019 est.	2020 est.
1140 Earnings on Investments, Gulf Coast Restoration Trust Fund .....	17	29	36
1199 Total current law receipts .....	169	332	340
1999 Total receipts .....	169	332	340
2000 Total: Balances and receipts .....	191	344	362
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Gulf Coast Restoration Trust Fund .....	-169	-332	-340
2103 Gulf Coast Restoration Trust Fund .....	-21	-11	-21
2132 Gulf Coast Restoration Trust Fund .....	11	21	.....
2199 Total current law appropriations .....	-179	-322	-361
2999 Total appropriations .....	-179	-322	-361
5099 Balance, end of year .....	12	22	1

Program and Financing (in millions of dollars)

Identification code 020-8625-0-7-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct Component .....	40	47	47
0002 Comprehensive Plan Component .....	37	11	34
0003 Oil Spill Restoration Impact Component .....	22	98	99
0004 NOAA RESTORE Act Science Program .....	6	6	4
0005 Centers of Excellence Research Grants .....	2	10	2
0900 Total new obligations, unexpired accounts .....	107	172	186
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	884	957	1,107
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	885	957	1,107
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	169	332	340
1203 Appropriation (previously unavailable) .....	21	11	21
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-11	-21	.....
1260 Appropriations, mandatory (total) .....	179	322	361
1900 Budget authority (total) .....	179	322	361
1930 Total budgetary resources available .....	1,064	1,279	1,468
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	957	1,107	1,282
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	281	309	312
3010 New obligations, unexpired accounts .....	107	172	186
3020 Outlays (gross) .....	-78	-169	-155
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	309	312	343
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	281	309	312
3200 Obligated balance, end of year .....	309	312	343
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	179	322	361
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....	78	169	155
4180 Budget authority, net (total) .....	179	322	361
4190 Outlays, net (total) .....	78	169	155
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,190	1,284	1,467
5001 Total investments, EOY: Federal securities: Par value .....	1,284	1,467	1,694

This fund was established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act). It will receive 80 percent of the civil and administrative penalties collected after July 6, 2012, from parties responsible

for the *Deepwater Horizon* oil spill. Funding will be used by Federal, state, and local governments for activities to restore and protect the ecosystems and economy of the Gulf Coast region, research and monitoring, and related oversight and management responsibilities. The current estimates represent known settlement amounts; additional funds may become available through future court judgments or settlements.

Object Classification (in millions of dollars)

Identification code 020-8625-0-7-452	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
41.0 Grants, subsidies, and contributions .....	42	57	49
94.0 Financial transfers .....	65	115	137
99.9 Total new obligations, unexpired accounts .....	107	172	186

FEDERAL FINANCING BANK

Federal Funds

FEDERAL FINANCING BANK

Program and Financing (in millions of dollars)

Identification code 020-4521-0-4-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Administrative Expenses .....	12	12	12
0802 Interest on borrowings from Treasury .....	1,613	669	1,738
0803 Interest on borrowings from CRSDF .....	340	296	237
0900 Total new obligations, unexpired accounts .....	1,965	977	1,987
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	130	622	1,966
1023 Unobligated balances applied to repay debt .....	-1,118	.....	.....
1028 FFB: Agency or guaranteed principal received in excess of principal repaid to the Fiscal Service in PY .....	1,257	.....	.....
1050 Unobligated balance (total) .....	269	622	1,966
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	2,318	2,321	1,973
1930 Total budgetary resources available .....	2,587	2,943	3,939
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	622	1,966	1,952
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	1,965	977	1,987
3020 Outlays (gross) .....	-1,965	-977	-1,987
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2,318	2,321	1,973
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,965	977	1,973
4101 Outlays from mandatory balances .....	.....	.....	14
4110 Outlays, gross (total) .....	1,965	977	1,987
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-2,318	-2,321	-1,973
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-353	-1,344	14

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of certain Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform

Act of 1990 agencies finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. The FFB finances these Federal direct loans to the public which are fully guaranteed by a Federal agency. FFB loans are also used to finance activities of the U.S. Postal Service.

Lending by the FFB may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: 1) the FFB may purchase agency financial assets; 2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and 3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because the law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the Budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

In 2018, FFB's net inflows were \$333 million. In addition to its authority to borrow from the Treasury, the FFB has the statutory authority to borrow up to \$15 billion from other sources. Any such borrowing is exempt from the statutory ceiling on Federal debt. The FFB used this authority most recently in October 2015.

The following table shows the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year.

**NET LENDING AND LOANS OUTSTANDING, END OF YEAR**

	(in millions of dollars)		
	2018 actual	2019 est.	2020 est.
<b>A. Department of Agriculture:</b>			
1. Rural Utilities Service:			
Lending, net .....	1,207	2,251	1,937
Loans outstanding .....	46,152	48,403	50,340
<b>B. Department of Education:</b>			
1. Historically black colleges and universities:			
Lending, net .....	-111	228	199
Loans outstanding .....	1,449	1,1677	1,876
<b>C. Department of Energy:</b>			
1. Title 17 innovative technology loans:			
Lending, net .....	-328	2,695	-14
Loans outstanding .....	11,067	14,032	14,018
2. Advanced technology vehicles manufacturing loans:			
Lending, net .....	-591	-591	-591
Loans outstanding .....	2,209	1,618	1,027
<b>D. Department of Housing and Urban Development:</b>			
1. Multifamily Risk Share Program:			
Lending, net .....	473	589	263
Loans outstanding .....	1,665	2,254	2,517
<b>E. Department of Transportation:</b>			
1. Railroad Revitalization and Regulatory Reform Act:			
Lending, net .....	.....	.....	.....
Loans outstanding .....	.....	.....	.....
<b>F. Department of the Treasury:</b>			
1. CDFI Fund Bond Guarantee Program:			
Lending, net .....	205	499	338
Loans outstanding .....	695	1,194	1,532
<b>G. Department of Veterans Affairs:</b>			
1. Transitional housing for homeless veterans:			
Lending, net .....	.....	.....	.....
Loans outstanding .....	5	5	5
<b>H. General Services Administration:</b>			
1. Federal buildings fund:			
Lending, net .....	.....	.....	.....
Loans outstanding .....	.....	.....	.....
<b>I. International Assistance Programs:</b>			
1. Foreign military sales credit:			
Lending, net .....	.....	.....	.....
Loans outstanding .....	.....	.....	.....
<b>J. Postal Service:</b>			
1. Postal Service fund:			
Lending, net .....	-1,800	-2,100	.....
Loans outstanding .....	13,200	11,100	11,100
<b>Total lending:</b>			
Lending, net .....	-1,418	3,252	1,869
Loans outstanding .....	74,911	78,163	80,032

\*\$500,000 or less.

**Object Classification** (in millions of dollars)

Identification code 020-4521-0-4-803	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	12	12	12
43.0 Interest and dividends .....	1,953	965	1,975
99.9 Total new obligations, unexpired accounts .....	1,965	977	1,987

**ALCOHOL AND TOBACCO TAX AND TRADE BUREAU**  
*Federal Funds*

**SALARIES AND EXPENSES**

*For necessary expenses of carrying out section 1111 of the Homeland Security Act of 2002, including hire of passenger motor vehicles, \$115,427,000, of which \$5,000,000 shall remain available until September 30, 2021; of which not to exceed \$6,000 shall be available for official reception and representation expenses; and of which not to exceed \$50,000 shall be available for cooperative research and development programs for laboratory services and provision of laboratory assistance to State and local agencies with or without reimbursement.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-1008-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Protect the Public .....	57	58	55
0002 Collect revenue .....	55	53	60
0192 Total direct program .....	112	111	115
0799 Total direct obligations .....	112	111	115
0801 Protect the Public .....	2	3	3
0802 Collect Revenue .....	4	4	4
0899 Total reimbursable obligations .....	6	7	7
0900 Total new obligations, unexpired accounts .....	118	118	122
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	4
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	111	111	115
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	4	7	7
1701 Change in uncollected payments, Federal sources .....	3	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	7	7	7
1900 Budget authority (total) .....	118	118	122
1930 Total budgetary resources available .....	122	122	126
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	22	23
3010 New obligations, unexpired accounts .....	118	118	122
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-118	-117	-121
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	22	23	24
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired .....	-3	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	2	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	21	19	20
3200 Obligated balance, end of year .....	19	20	21
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	118	118	122

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 020-1008-0-1-803	2018 actual	2019 est.	2020 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	94	98	101
4011 Outlays from discretionary balances .....	24	19	20
4020 Outlays, gross (total) .....	118	117	121
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-1	-1
4033 Non-Federal sources .....	-3	-6	-6
4040 Offsets against gross budget authority and outlays (total) ....	-6	-7	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-3		
4052 Offsetting collections credited to expired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	111	111	115
4080 Outlays, net (discretionary) .....	112	110	114
4180 Budget authority, net (total) .....	111	111	115
4190 Outlays, net (total) .....	112	110	114

The Alcohol and Tobacco Tax and Trade Bureau (TTB) enforces various Federal laws and regulations relating to alcohol and tobacco by working directly and in cooperation with other agencies to: 1) provide the most effective and efficient system for the collection of all revenue that is rightfully due, and eliminate or prevent tax evasion and other criminal conduct, 2) prevent consumer deception relating to alcohol beverages, ensure that regulated alcohol and tobacco products comply with various Federal commodity, product integrity, and distribution requirements, and 3) provide high quality customer service while imposing the least regulatory burden. Additionally, the Budget proposes legislation to transfer primary jurisdiction over federal tobacco and alcohol anti-smuggling laws from the Department of Justice and the Bureau of Alcohol, Tobacco, Firearms and Explosives to the Department of the Treasury and TTB. Under the proposal, TTB would be responsible for the administration and enforcement of the Jenkins Act of 1949 (as amended by the Prevent All Cigarette Trafficking Act of 2009), 15 U.S.C. Chapter 10A, the Contraband Cigarette Trafficking Act of 1978, 18 U.S.C. Chapter 114, and the criminal statutes involving Liquor Trafficking, 18 U.S.C. Chapter 59. The Budget request for TTB includes an initial investment for start-up costs to initiate this transfer.

Object Classification (in millions of dollars)

Identification code 020-1008-0-1-803	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	49	51	51
11.5 Other personnel compensation .....	2	1	1
11.9 Total personnel compensation .....	51	52	52
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services from non-Federal sources .....	26	24	27
25.3 Other goods and services from Federal sources .....	8	8	9
26.0 Supplies and materials .....		1	1
31.0 Equipment .....	3	2	2
32.0 Land and structures .....	1		
99.0 Direct obligations .....	112	111	115
99.0 Reimbursable obligations .....	6	7	7
99.9 Total new obligations, unexpired accounts .....	118	118	122

Employment Summary

Identification code 020-1008-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	475	507	507

2001 Reimbursable civilian full-time equivalent employment .....	10	10	10
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INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5737-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Deposits, Internal Revenue Collections for Puerto Rico .....	446	413	423
2000 Total: Balances and receipts .....	446	413	423
Appropriations:			
Current law:			
2101 Internal Revenue Collections for Puerto Rico .....	-446	-413	-423
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 020-5737-0-2-806	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Internal revenue collections for Puerto Rico .....	446	413	423
0900 Total new obligations, unexpired accounts (object class 41.0) .....	446	413	423
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	446	413	423
1930 Total budgetary resources available .....	446	413	423
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	446	413	423
3020 Outlays (gross) .....	-446	-413	-423
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross .....	446	413	423
Outlays, gross:			
4100 Outlays from new mandatory authority .....	446	413	423
4180 Budget authority, net (total) .....	446	413	423
4190 Outlays, net (total) .....	446	413	423

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and transported to the United States are covered-over (paid) to Puerto Rico. (26 U.S.C. 7652(a)). Excise taxes collected on articles produced in the U.S. Virgin Islands and transported to the United States are covered-over to the U.S. Virgin Islands. (26 U.S.C. 7652(b)). Excise taxes collected on rum imported from everywhere other than Puerto Rico or the U.S. Virgin Islands are also covered-over to the treasuries of Puerto Rico and the U.S. Virgin Islands under a formula determined by the Alcohol and Tobacco Tax and Trade Bureau. (26 U.S.C. 7652(e)).

Excise taxes are imposed on rum at the applicable distilled spirits rate. (26 U.S.C. 5001(a)(1) and (c)(1)). Excise tax collections on imported rum are covered-over to Puerto Rico and the U.S. Virgin Islands at the lesser of the rate of \$10.50 (\$13.25 in the case of distilled spirits brought into the United States after June 30, 1999, and before January 1, 2017), or the tax imposed under section 5001(a)(1) (determined as if subsection (c)(1) of such section did not apply), on each proof gallon. (26 U.S.C. 7652(f)). After December 31, 2017, and before January 1, 2020, the cover-over payment associated with any particular proof gallon of rum may exceed the taxes collected on such proof gallon, depending on the applicable distilled spirits rate.

**BUREAU OF ENGRAVING AND PRINTING**

*Federal Funds*

BUREAU OF ENGRAVING AND PRINTING FUND

**Program and Financing** (in millions of dollars)

Identification code 020-4502-0-4-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Currency program .....	914	915	883
0803 Other programs .....		9	9
0900 Total new obligations, unexpired accounts .....	914	924	892
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	74	518	518
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	650		
1021 Recoveries of prior year unpaid obligations .....	10		
1050 Unobligated balance (total) .....	734	518	518
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	744	924	892
1701 Change in uncollected payments, Federal sources .....	-46		
1750 Spending auth from offsetting collections, disc (total) .....	698	924	892
1930 Total budgetary resources available .....	1,432	1,442	1,410
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	518	518	518
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	181	444	253
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....			
	125		
3010 New obligations, unexpired accounts .....	914	924	892
3020 Outlays (gross) .....	-766	-1,115	-900
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10		
3050 Unpaid obligations, end of year .....	444	253	245
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-39	-769	-769
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-776		
3070 Change in uncollected pymts, Fed sources, unexpired .....	46		
3090 Uncollected pymts, Fed sources, end of year .....	-769	-769	-769
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-509	-325	-516
3200 Obligated balance, end of year .....	-325	-516	-524
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	698	924	892
Outlays, gross:			
4010 Outlays from new discretionary authority .....	579	693	669
4011 Outlays from discretionary balances .....	187	422	231
4020 Outlays, gross (total) .....	766	1,115	900
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-744	-924	-892
4040 Offsets against gross budget authority and outlays (total) ....	-744	-924	-892
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	46		
4080 Outlays, net (discretionary) .....	22	191	8
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	22	191	8

The Bureau of Engraving and Printing (BEP) produces and delivers U.S. currency notes for the Federal Reserve System ordered by the Board of Governors of the Federal Reserve and other security products for the Federal Government. BEP began printing currency in 1862 and operates on the basis of authority conferred upon the Secretary of the Treasury by 31 U.S.C. 321(a) (4) to engrave and print currency and other security documents. Operations are financed through a revolving fund established in 1950 in accordance with Public Law 81-656. The fund is reimbursed for direct and indirect costs of operations, including administrative expenses, through product sales. In 1977, Public Law 95-81 authorized BEP to include an amount sufficient to fund capital investment and to meet working

capital requirements in the prices charged for products, eliminating the need for appropriations from Congress.

The Bureau has three strategic goals: to safely and timely deliver quality products to stakeholders in a cost-effective and environmentally responsible manner; to create innovative designs, processes, and products that exceed stakeholders' expectations and to achieve overall excellence by balanced investment in people, processes, facilities, and technology. Other activities at BEP include engraving plates and dies; manufacturing inks used to print security products; purchasing materials, supplies, equipment; and storing and delivering products in accordance with customer requirements. In addition, BEP provides technical assistance, advice, and production services to other Federal agencies in the development of security documents that require counterfeit deterrent features due to their innate value or other characteristics. BEP supports the Treasury goals to Boost U.S. Economic Growth and Achieve Operational Excellence.

BEP's 2020 priorities include: (1) achieving BEP's strategic goals; (2) producing and delivering currency notes ordered by the Federal Reserve Board (FRB) that consistently meet high quality standards; (3) conducting research and development, and collaborating with key stakeholders in order to deter counterfeiting and maintain public trust in the security and reliability of U.S. currency notes; (4) assisting users of U.S. currency, including the blind and visually impaired, with the use and denomination of currency; (5) continuing efforts to implement designated talent management initiatives while filling personnel gaps in needed STEM and cybersecurity skill sets; and (6) modernizing production facilities and equipment by creating state-of-the-art manufacturing systems to support 21st century manufacturing capabilities that will allow for the continued delivery of secure and accessible currency for all. During 2020, BEP expects to produce and deliver 7.3 billion notes to the FRB to meet currency demand. The 2020 Budget includes a proposal that would give BEP the authority to purchase land and construct a new, smaller, and more efficient currency production facility. Constructing a new facility would save an estimated \$549 million over 10 years over the cost of renovating the existing facility.

**Object Classification** (in millions of dollars)

Identification code 020-4502-0-4-803	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	175	173	176
11.5 Other personnel compensation .....	23	19	17
11.9 Total personnel compensation .....	198	192	193
12.1 Civilian personnel benefits .....	48	49	50
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	14	14	14
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	10	10
25.2 Other services from non-Federal sources .....	170	167	115
25.4 Operation and maintenance of facilities .....	9	12	12
25.5 Research and development contracts .....	15	31	30
25.7 Operation and maintenance of equipment .....	12	16	16
26.0 Supplies and materials .....	263	271	275
31.0 Equipment .....	173	154	169
99.0 Reimbursable obligations .....	914	924	892
99.9 Total new obligations, unexpired accounts .....	914	924	892

**Employment Summary**

Identification code 020-4502-0-4-803	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,748	1,836	1,863

## UNITED STATES MINT

## Federal Funds

## UNITED STATES MINT PUBLIC ENTERPRISE FUND

Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments: Provided, That the aggregate amount of new liabilities and obligations incurred during fiscal year 2020 under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed \$30,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

Identification code 020–4159–0–3–803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0806 Total Operating .....	1,386	2,580	2,687
0807 Circulating and Protection Capital .....	29	30	30
0808 Numismatic Capital .....	10	11	11
0900 Total new obligations, unexpired accounts .....	1,425	2,621	2,728
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	616	722	742
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	–189		
1021 Recoveries of prior year unpaid obligations .....	15	20	20
1050 Unobligated balance (total) .....	442	742	762
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,705	2,621	2,728
1930 Total budgetary resources available .....	2,147	3,363	3,490
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	722	742	762
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	202	397	560
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	189		
3010 New obligations, unexpired accounts .....	1,425	2,621	2,728
3020 Outlays (gross) .....	–1,404	–2,438	–2,706
3040 Recoveries of prior year unpaid obligations, unexpired .....	–15	–20	–20
3050 Unpaid obligations, end of year .....	397	560	562
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	391	397	560
3200 Obligated balance, end of year .....	397	560	562
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,705	2,621	2,728
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,341	2,097	2,182
4011 Outlays from discretionary balances .....	63	341	524
4020 Outlays, gross (total) .....	1,404	2,438	2,706
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–1		
4033 Non-Federal sources .....	–1,642	–2,621	–2,728
4034 Offsetting governmental collections .....	–62		
4040 Offsets against gross budget authority and outlays (total) ....	–1,705	–2,621	–2,728
4080 Outlays, net (discretionary) .....	–301	–183	–22
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–301	–183	–22

The United States Mint mints and issues circulating coins, produces and distributes numismatic items, and provides security and asset protection. Since 1996, the Mint's operations have been funded through the Public Enterprise Fund (PEF) established by section 522 of Public Law 104–52 (31 U.S.C. 5136). The operations of the Mint are divided into two major components, circulating coinage and numismatic products. Finances for the two components are accounted for separately; Receipts from circulating coinage operations are not used to fund numismatic operations and receipts

from numismatic operations are not used to fund circulating coinage operations. The Mint generates revenue through the issuance of circulating coins to the Federal Reserve Banks (FRBs) and the sale of numismatic products to the public and bullion coins to authorized purchasers. The Mint submits annual audited financial statements to the Secretary of the Treasury and to the Congress in support of the operations of the PEF. In 2018, the Mint transferred \$265 million to the General Fund.

**Circulating Coinage.** This activity funds the minting and issuance of circulating coins to the FRBs in amounts that the Secretary of the Treasury determines are necessary to meet the needs of the United States. The 2020 Budget reflects production volumes that correspond to expected demand and raw materials costs, which are driven by commodity prices and volumes. The Mint receives funds from the Federal Reserve equal to the face value of the circulating coins shipped to the FRB. The Mint is credited with the full cost of producing and distributing the coins that are put into circulation, including the depreciation of manufacturing facilities and equipment. The difference between the face value of the coins and the full cost of producing the coins is called seigniorage, which is a means of financing the deficit and transferred periodically to the General Fund. The annual appropriations bill includes a statutory cap on Mint expenditures on circulating and protection capital investments. The cap for 2020 is \$30 million.

**Numismatic Items.** This activity funds the manufacturing of numismatic items, which include collectible coins and sets, medals, bullion coins, and other products for sale to collectors and other members of the public who desire high-quality or investment-grade versions of the Nation's coinage. These products include annual proof and uncirculated sets; investment-grade silver and gold bullion coins; uncirculated silver and gold coins; proof silver, gold, platinum and palladium coins; and commemorative coins and medals that are authorized to commemorate events, individuals, places, or other subjects. Prices for numismatic products are based on the estimated product cost plus a reasonable margin to assure that the numismatic program operates at no net cost to the taxpayer. Similarly, bullion coins are priced based on the market price of the precious metals plus a premium to cover manufacturing, marketing, and distribution costs. Making numismatic products accessible, available, and affordable to Americans who choose to purchase them is the highest priority of the Mint's numismatic operations.

## Object Classification (in millions of dollars)

Identification code 020–4159–0–3–803	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	127	147	144
11.5 Other personnel compensation .....	12	13	13
11.9 Total personnel compensation .....	139	160	157
12.1 Civilian personnel benefits .....	49	52	52
13.0 Benefits for former personnel .....		1	1
21.0 Travel and transportation of persons .....	2	3	3
22.0 Transportation of things .....	28	29	29
23.2 Rental payments to others .....	19	3	3
23.3 Communications, utilities, and miscellaneous charges .....	16	18	19
24.0 Printing and reproduction .....	1	4	4
25.1 Advisory and assistance services .....	48	58	56
25.2 Other services from non-Federal sources .....	21	39	39
25.3 Other goods and services from Federal sources .....	19	20	20
25.4 Operation and maintenance of facilities .....	6	3	3
25.5 Research and development contracts .....		2	2
25.6 Medical care .....	1		
25.7 Operation and maintenance of equipment .....	8	8	8
26.0 Supplies and materials .....	1,027	2,178	2,290
31.0 Equipment .....	28	31	31
32.0 Land and structures .....	13	12	12
99.0 Reimbursable obligations .....	1,425	2,621	2,729
99.5 Adjustment for rounding .....			–1
99.9 Total new obligations, unexpired accounts .....	1,425	2,621	2,728

**Employment Summary**

Identification code 020-4159-0-3-803	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,545	1,705	1,705

**INTERNAL REVENUE SERVICE**

The Internal Revenue Service (IRS) collects the revenue that funds the Government and administers the Nation's tax laws. During 2018, the IRS processed 253 million tax forms and collected \$3.5 trillion in taxes (gross receipts before tax refunds), totaling 95 percent of Federal Government receipts. The IRS taxpayer service program assists millions of taxpayers in understanding and meeting their tax obligations. The IRS tax enforcement and compliance program deters taxpayers inclined to evade their responsibilities while pursuing those who violate tax laws.

The 2020 Budget provides \$11.5 billion for the IRS to administer the tax code and implement key strategic priorities. In addition, the Budget proposes to establish and fund a new adjustment to the discretionary caps for program integrity activities starting in 2020, including a \$362 million cap adjustment in 2020. The activities through 2029 are estimated to generate \$47 billion in additional revenue over 10 years and cost approximately \$15 billion resulting in an estimated net savings of \$33 billion. Once these investments are fully operational, these initiatives are expected to generate roughly \$3 in additional revenue for every \$1 in IRS expenses.

**Taxpayer Service Account.** The Budget includes funding for Taxpayer Services that will allow the IRS to continue delivering services to taxpayers using a variety of in-person, telephone, and web-based methods. These tools help taxpayers understand their obligations, correctly file their returns, and pay taxes due in a timely manner. The IRS is committed to increasing the service options available through the IRS website and mobile application, allowing more taxpayers to reach the IRS through the Internet. Notably, in 2018, there were more than 609 million visits to *www.IRS.gov*, and taxpayers checked their refund status more than 309 million times by accessing *Where's My Refund?* on the IRS website in English or Spanish. Taxpayers can also use automated features on the IRS toll-free phone system. Additionally, the IRS2Go mobile application had 8.5 million active users and processed 118 million refund queries in 2018.

**Enforcement Account.** The Enforcement account funds activities that protect revenue by identifying fraud and preventing issuance of questionable refunds including those related to identity theft; increase compliance by addressing offshore tax evasion; strengthen examination and collection programs, including return preparer; and address compliance issues in the tax-exempt sector. In addition to the base resources, this account also includes \$200 million for activities for additional tax enforcement and compliance activities.

**Operations Support Account.** The Budget includes funding for the overall planning, direction, operations and critical infrastructure activities, including the IT and cybersecurity that keep tax systems running and protect taxpayer data, the financial management activities that ensure effective stewardship of the Nation's revenues, and the physical infrastructure and security of IRS facilities. In addition to the base resources, this account also includes \$162 million to support additional tax enforcement and compliance activities.

**Modernization Account.** IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and enhance productivity.

**Federal Funds**

**TAXPAYER SERVICES**

For necessary expenses of the Internal Revenue Service to provide taxpayer services, including pre-filing assistance and education, filing and account services, taxpayer advocacy services, and other services as authorized by 5 U.S.C. 3109, at

such rates as may be determined by the Commissioner, \$2,402,000,000; of which not less than \$8,890,000 shall be for the Tax Counseling for the Elderly Program; of which not less than \$12,000,000 shall be available for low-income taxpayer clinic grants; of which not less than \$15,000,000, to remain available until September 30, 2021, shall be available for a Community Volunteer Income Tax Assistance matching grants program for tax return preparation assistance; and of which not less than \$206,000,000 shall be available for operating expenses of the Taxpayer Advocate Service: Provided, That of the amounts made available for the Taxpayer Advocate Service, not less than \$5,000,000 shall be for identity theft casework.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-0912-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pre-filing taxpayer assistance and education .....	643	636	635
0002 Filing and account services .....	1,854	1,967	1,771
0100 Subtotal, direct programs .....	2,497	2,603	2,406
0799 Total direct obligations .....	2,497	2,603	2,406
0801 Taxpayer Services (Reimbursable) .....	70	33	35
0900 Total new obligations, unexpired accounts .....	2,567	2,636	2,441
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	46	18	242
1010 Unobligated balance transfer to other accts [020-0919] .....	-41		
1010 Unobligated balance transfer to other accts [020-0913] .....		-1	
1011 Unobligated balance transfer from other acct [020-5432] .....		13	2
1050 Unobligated balance (total) .....	5	30	244
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,525	2,815	2,402
1120 Appropriations transferred to other acct [020-0919] .....	-13		
1121 Appropriations transferred from other acct [020-5432] .....	1		2
1160 Appropriation, discretionary (total) .....	2,513	2,815	2,404
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	61	33	35
1701 Change in uncollected payments, Federal sources .....	9		
1750 Spending auth from offsetting collections, disc (total) .....	70	33	35
1900 Budget authority (total) .....	2,583	2,848	2,439
1930 Total budgetary resources available .....	2,588	2,878	2,683
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	18	242	242
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	168	196	218
3010 New obligations, unexpired accounts .....	2,567	2,636	2,441
3011 Obligations ("upward adjustments"), expired accounts .....	19		
3020 Outlays (gross) .....	-2,553	-2,605	-2,446
3041 Recoveries of prior year unpaid obligations, expired .....	-5	-9	-9
3050 Unpaid obligations, end of year .....	196	218	204
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired .....	-9		
3090 Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	168	187	209
3200 Obligated balance, end of year .....	187	209	195
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,583	2,848	2,439
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,380	2,440	2,285
4011 Outlays from discretionary balances .....	173	165	161
4020 Outlays, gross (total) .....	2,553	2,605	2,446
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-68	-39	-41
4033 Non-Federal sources .....	-13	-11	-11

TAXPAYER SERVICES—Continued  
Program and Financing—Continued

Identification code 020-0912-0-1-803	2018 actual	2019 est.	2020 est.
4040 Offsets against gross budget authority and outlays (total) ....	-81	-50	-52
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	20	17	17
4060 Additional offsets against budget authority only (total) .....	11	17	17
4070 Budget authority, net (discretionary) .....	2,513	2,815	2,404
4080 Outlays, net (discretionary) .....	2,472	2,555	2,394
4180 Budget authority, net (total) .....	2,513	2,815	2,404
4190 Outlays, net (total) .....	2,472	2,555	2,394

This appropriation primarily funds staffing for the processing of tax returns and related documents, and assistance for taxpayers in filing returns and paying taxes in a timely manner. It also supports a number of other activities, including forms, publications, and taxpayer advocacy services.

Object Classification (in millions of dollars)

Identification code 020-0912-0-1-803	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,511	1,584	1,448
11.3 Other than full-time permanent .....	48	45	45
11.5 Other personnel compensation .....	107	93	92
11.9 Total personnel compensation .....	1,666	1,722	1,585
12.1 Civilian personnel benefits .....	601	639	584
13.0 Benefits for former personnel .....	39	39	39
21.0 Travel and transportation of persons .....	11	12	12
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	9	9
24.0 Printing and reproduction .....	9	9	8
25.1 Advisory and assistance services .....	50	47	46
25.2 Other services from non-Federal sources .....	11	17	16
25.3 Other goods and services from Federal sources .....	62	64	64
26.0 Supplies and materials .....	4	5	4
41.0 Grants, subsidies, and contributions .....	42	38	37
99.0 Direct obligations .....	2,497	2,602	2,405
99.0 Reimbursable obligations .....	70	33	35
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations, unexpired accounts .....	2,567	2,636	2,441

Employment Summary

Identification code 020-0912-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27,871	29,003	26,639
1001 Direct civilian full-time equivalent employment .....	14	60	71
2001 Reimbursable civilian full-time equivalent employment .....	669	373	373

ENFORCEMENT

For necessary expenses for tax enforcement activities of the Internal Revenue Service to determine and collect owed taxes, to provide legal and litigation support, to conduct criminal investigations, to enforce criminal statutes related to violations of internal revenue laws and other financial crimes, to purchase and hire passenger motor vehicles (31 U.S.C. 1343(b)), and to provide other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, \$4,705,368,000, of which not to exceed \$50,000,000 shall remain available until September 30, 2021, and of which not less than \$60,257,000 shall be for the Inter-agency Crime and Drug Enforcement program: Provided, That of the funds provided under this paragraph, \$4,705,368,000 is provided to meet the terms of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition, not less than \$199,886,000 for tax activities under this heading, including tax compliance to address the Federal tax gap: Provided, That such amount is additional new budget authority for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 020-0913-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Investigations .....	615	641	659
0002 Exam and Collections .....	3,886	3,852	3,923
0003 Regulatory .....	170	180	168
0004 Program Integrity Cap Adjustment .....			200
0100 Subtotal, Direct program .....	4,671	4,673	4,950
0799 Total direct obligations .....	4,671	4,673	4,950
0801 Enforcement (Reimbursable) .....	32	34	36
0900 Total new obligations, unexpired accounts .....	4,703	4,707	4,986

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	27	15	57
1011 Unobligated balance transfer from other acct [020-0912] .....		1	
1012 Unobligated balance transfers between expired and unexpired accounts .....	2		
1033 Recoveries of prior year paid obligations .....	3	4	4
1050 Unobligated balance (total) .....	32	20	61
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,870	4,872	4,905
1120 Appropriations transferred to other acct [020-0919] .....	-243	-200	
1160 Appropriation, discretionary (total) .....	4,627	4,672	4,905
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	25	37	39
1701 Change in uncollected payments, Federal sources .....	34	35	37
1750 Spending auth from offsetting collections, disc (total) .....	59	72	76
1900 Budget authority (total) .....	4,686	4,744	4,981
1930 Total budgetary resources available .....	4,718	4,764	5,042
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	57	56

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	322	383	425
3010 New obligations, unexpired accounts .....	4,703	4,707	4,986
3011 Obligations ("upward adjustments"), expired accounts .....	15		
3020 Outlays (gross) .....	-4,646	-4,650	-5,022
3041 Recoveries of prior year unpaid obligations, expired .....	-11	-15	-15
3050 Unpaid obligations, end of year .....	383	425	374
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-28	-37	-72
3070 Change in uncollected pymts, Fed sources, unexpired .....	-34	-35	-37
3071 Change in uncollected pymts, Fed sources, expired .....	25		
3090 Uncollected pymts, Fed sources, end of year .....	-37	-72	-109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	294	346	353
3200 Obligated balance, end of year .....	346	353	265

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	4,686	4,744	4,981
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4,329	4,461	4,684
4011 Outlays from discretionary balances .....	316	189	338
4020 Outlays, gross (total) .....	4,645	4,650	5,022
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-53	-64	-66
4033 Non-Federal sources .....	-13	-16	-16
4040 Offsets against gross budget authority and outlays (total) ....	-66	-80	-82
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-34	-35	-37
4052 Offsetting collections credited to expired accounts .....	38	39	39
4053 Recoveries of prior year paid obligations, unexpired accounts .....	3	4	4
4060 Additional offsets against budget authority only (total) .....	7	8	6
4070 Budget authority, net (discretionary) .....	4,627	4,672	4,905
4080 Outlays, net (discretionary) .....	4,579	4,570	4,940

Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances .....	1	
4180	Budget authority, net (total) .....	4,627	4,672 4,905
4190	Outlays, net (total) .....	4,580	4,570 4,940

This appropriation primarily funds staffing for: the examination of tax returns, both domestic and international; the administrative and judicial settlement of taxpayer appeals of examination findings; technical rulings; monitoring employee pension plans; determining qualifications of organizations seeking tax-exempt status; examining the tax returns of exempt organizations; enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes; identifying underreporting of tax obligations; securing unfiled tax returns; and collecting unpaid accounts. In addition to the base resources, the Budget proposes \$200 million in a cap adjustment for additional tax enforcement and compliance activities.

**Object Classification** (in millions of dollars)

Identification code 020-0913-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	3,014	3,018 3,140
11.3	Other than full-time permanent .....	29	31 30
11.5	Other personnel compensation .....	121	114 118
11.8	Special personal services payments .....	31	31 31
11.9	Total personnel compensation .....	3,195	3,194 3,319
12.1	Civilian personnel benefits .....	1,149	1,150 1,218
13.0	Benefits for former personnel .....	1	1 1
21.0	Travel and transportation of persons .....	56	61 99
22.0	Transportation of things .....	8	8 17
23.3	Communications, utilities, and miscellaneous charges .....	2	2 2
24.0	Printing and reproduction .....	2	2 3
25.1	Advisory and assistance services .....	125	116 129
25.2	Other services from non-Federal sources .....	39	42 50
25.3	Other goods and services from Federal sources .....	42	43 46
25.7	Operation and maintenance of equipment .....	1	2 8
26.0	Supplies and materials .....	22	23 25
31.0	Equipment .....	17	17 22
42.0	Insurance claims and indemnities .....	4	1 1
91.0	Unvouchered .....	8	10 10
99.0	Direct obligations .....	4,671	4,672 4,950
99.0	Reimbursable obligations .....	32	34 36
99.5	Adjustment for rounding .....		1
99.9	Total new obligations, unexpired accounts .....	4,703	4,707 4,986

**Employment Summary**

Identification code 020-0913-0-1-999	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	34,789	34,049 35,757
2001	Reimbursable civilian full-time equivalent employment .....	57	64 64

**OPERATIONS SUPPORT**

For necessary expenses of the Internal Revenue Service to support taxpayer services and enforcement programs, including rent payments; facilities services; printing; postage; physical security; headquarters and other IRS-wide administration activities; research and statistics of income; telecommunications; information technology development, enhancement, operations, maintenance, and security; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); the operations of the Internal Revenue Service Oversight Board; and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner; \$4,075,021,000, of which not to exceed \$250,000,000 shall remain available until September 30, 2021; of which not to exceed \$10,000,000 shall remain available until expended for acquisition of equipment and construction, repair and renovation of facilities; of which not to exceed \$1,000,000 shall remain available until September 30, 2022, for research; of which not to exceed \$20,000 shall be for official reception and representation expenses: Provided, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing the cost and schedule performance for its major information technology investments, including the purpose and life-cycle stages of the invest-

ments; the reasons for any cost and schedule variances; the risks of such investments and strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter: Provided further, That the Internal Revenue Service shall include, in its budget justification for fiscal year 2020, a summary of cost and schedule performance information for its major information technology systems: Provided further, That of the funds provided under this paragraph, \$4,075,021,000 is provided to meet the terms of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition, not less than \$161,685,000 for tax activities under this heading, including tax compliance to address the Federal tax gap: Provided, That such amount is additional new budget authority for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-0919-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002	Infrastructure .....	884	856 847
0003	Shared Services and Support .....	923	958 954
0004	Information Services .....	2,525	2,570 2,701
0005	Program Integrity Cap Adjustment .....		
0100	Subtotal, direct programs .....	4,332	4,384 4,664
0799	Total direct obligations .....	4,332	4,384 4,664
0801	Operations Support (Reimbursable) .....	69	49 51
0900	Total new obligations, unexpired accounts .....	4,401	4,433 4,715
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000	Unobligated balance brought forward, Oct 1 .....	88	191 63
1011	Unobligated balance transfer from other acct [020-5432] .....	185	411 198
1011	Unobligated balance transfer from other acct [020-0912] .....	41	
1012	Unobligated balance transfers between expired and unexpired accounts .....	3	
1021	Recoveries of prior year unpaid obligations .....	10	11 11
1033	Recoveries of prior year paid obligations .....		
1050	Unobligated balance (total) .....	327	613 273
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100	Appropriation .....	3,925	3,634 4,237
1121	Appropriations transferred from other acct [020-5432] .....	16	
1121	Appropriations transferred from other acct [020-0912] .....	13	
1121	Appropriations transferred from other acct [020-0913] .....	243	200
1160	Appropriation, discretionary (total) .....	4,197	3,834 4,466
<b>Spending authority from offsetting collections, discretionary:</b>			
1700	Collected .....	60	49 51
1701	Change in uncollected payments, Federal sources .....	9	
1750	Spending auth from offsetting collections, disc (total) .....	69	49 51
1900	Budget authority (total) .....	4,266	3,883 4,517
1930	Total budgetary resources available .....	4,593	4,496 4,790
<b>Memorandum (non-add) entries:</b>			
1940	Unobligated balance expiring .....	-1	
1941	Unexpired unobligated balance, end of year .....	191	63 75
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000	Unpaid obligations, brought forward, Oct 1 .....	986	1,231 1,403
3010	New obligations, unexpired accounts .....	4,401	4,433 4,715
3011	Obligations ("upward adjustments"), expired accounts .....	8	
3020	Outlays (gross) .....	-4,127	-4,196 -4,620
3040	Recoveries of prior year unpaid obligations, unexpired .....	-10	-11 -11
3041	Recoveries of prior year unpaid obligations, expired .....	-27	-54 -54
3050	Unpaid obligations, end of year .....	1,231	1,403 1,433
<b>Uncollected payments:</b>			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-9 -9
3070	Change in uncollected pymts, Fed sources, unexpired .....	-9	
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9 -9
<b>Memorandum (non-add) entries:</b>			
3100	Obligated balance, start of year .....	986	1,222 1,394
3200	Obligated balance, end of year .....	1,222	1,394 1,424

OPERATIONS SUPPORT—Continued  
Program and Financing—Continued

Identification code 020-0919-0-1-803	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,266	3,883	4,517
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,181	3,081	3,489
4011 Outlays from discretionary balances .....	946	1,115	1,131
4020 Outlays, gross (total) .....	4,127	4,196	4,620
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-60	-50	-53
4033 Non-Federal sources .....	-4	-7	-7
4040 Offsets against gross budget authority and outlays (total) ....	-64	-57	-60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052 Offsetting collections credited to expired accounts .....	4	8	8
4053 Recoveries of prior year paid obligations, unexpired accounts .....			1
4060 Additional offsets against budget authority only (total) .....	-5	8	9
4070 Budget authority, net (discretionary) .....	4,197	3,834	4,466
4080 Outlays, net (discretionary) .....	4,063	4,139	4,560
4180 Budget authority, net (total) .....	4,197	3,834	4,466
4190 Outlays, net (total) .....	4,063	4,139	4,560

This appropriation provides resources for overall planning, direction, operations, and critical infrastructure activities for the IRS. These activities include IT and cybersecurity that keep tax systems running and protect taxpayer data, the financial management activities that ensure effective stewardship of the nation's revenues, and the physical infrastructure and security that help IRS employees serve customers in office, campus, and Taxpayer Assistance Center sites. Telecommunications, human resource, and communications infrastructure are also critical components of this appropriation and are vital to maintaining adequate levels of customer service and the post-filing processes necessary for the tax system to function. In addition to the base resources, the Budget proposes \$162 million in a cap adjustment to support additional tax enforcement and compliance activities.

Object Classification (in millions of dollars)

Identification code 020-0919-0-1-803	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,061	1,160	1,170
11.3 Other than full-time permanent .....	4	6	6
11.5 Other personnel compensation .....	21	27	23
11.9 Total personnel compensation .....	1,086	1,193	1,199
12.1 Civilian personnel benefits .....	359	399	400
21.0 Travel and transportation of persons .....	15	20	21
22.0 Transportation of things .....	13	13	14
23.1 Rental payments to GSA .....	590	584	575
23.2 Rental payments to others .....	12	12	12
23.3 Communications, utilities, and miscellaneous charges .....	313	326	368
24.0 Printing and reproduction .....	24	19	20
25.1 Advisory and assistance services .....	984	1,107	1,203
25.2 Other services from non-Federal sources .....	39	30	42
25.3 Other goods and services from Federal sources .....	81	83	92
25.4 Operation and maintenance of facilities .....	186	189	197
25.6 Medical care .....	15	15	16
25.7 Operation and maintenance of equipment .....	70	68	86
26.0 Supplies and materials .....	10	9	10
31.0 Equipment .....	506	308	398
32.0 Land and structures .....	30	7	10
42.0 Insurance claims and indemnities .....		1	1
99.0 Direct obligations .....	4,333	4,383	4,664
99.0 Reimbursable obligations .....	69	49	51
99.5 Adjustment for rounding .....	-1	1	
99.9 Total new obligations, unexpired accounts .....	4,401	4,433	4,715

Employment Summary

Identification code 020-0919-0-1-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	10,389	10,340	10,868
2001 Reimbursable civilian full-time equivalent employment .....	9	84	84

BUSINESS SYSTEMS MODERNIZATION

For necessary expenses of the Internal Revenue Service's business systems modernization program, \$290,000,000, to remain available until September 30, 2022, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including related Internal Revenue Service labor costs, and contractual costs associated with operations authorized by 5 U.S.C. 3109: Provided, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing the cost and schedule performance for major information technology investments, including the purposes and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and the strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 020-0921-0-1-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Business Systems Modernization .....	247	352	351
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	191	134	34
1021 Recoveries of prior year unpaid obligations .....	3	3	3
1050 Unobligated balance (total) .....	194	137	37
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	110	110	290
1121 Appropriations transferred from other acct [020-5432] ....	77	139	30
1160 Appropriation, discretionary (total) .....	187	249	320
1930 Total budgetary resources available .....	381	386	357
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	134	34	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	99	96	141
3010 New obligations, unexpired accounts .....	247	352	351
3020 Outlays (gross) .....	-246	-302	-323
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-3	-3
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	96	141	164
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	99	96	141
3200 Obligated balance, end of year .....	96	141	164
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	187	249	320
Outlays, gross:			
4010 Outlays from new discretionary authority .....	78	133	175
4011 Outlays from discretionary balances .....	168	169	148
4020 Outlays, gross (total) .....	246	302	323
4180 Budget authority, net (total) .....	187	249	320
4190 Outlays, net (total) .....	246	302	323

This appropriation provides resources for the planning and capital asset acquisition of information technology to modernize key tax administration systems. Since 2012, the IRS has processed individual taxpayer returns on a daily processing cycle that has enhanced IRS tax administration and im-

proved customer service by allowing faster refunds for more taxpayers, more timely account updates, and faster issuance of taxpayer notices. This account provides funding to support: the Customer Account Data Engine (CADE2); the taxpayer's online experience and secure digital communications and capabilities; and fraud detection, resolution, and prevention through the Return Review Program. The Budget includes funding for a multi-year plan to transform the taxpayer experience and modernize the core tax processing systems while enhancing information technology and taxpayer protections.

**Object Classification** (in millions of dollars)

Identification code 020–0921–0–1–803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	57	61	71
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	58	62	72
12.1 Civilian personnel benefits .....	18	18	22
25.1 Advisory and assistance services .....	168	236	216
25.7 Operation and maintenance of equipment .....		1	2
26.0 Supplies and materials .....		1	1
31.0 Equipment .....	2	34	38
99.0 Direct obligations .....	246	352	351
99.5 Adjustment for rounding .....	1		
99.9 Total new obligations, unexpired accounts .....	247	352	351

**Employment Summary**

Identification code 020–0921–0–1–803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	455	481	561

**BUILD AMERICA BOND PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 020–0935–0–1–806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Build America Bond Payments, Recovery Act (Direct) .....	3,539	3,539	3,773
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3,539	3,539	3,773
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	3,782	3,773	3,773
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	–243	–234	
1260 Appropriations, mandatory (total) .....	3,539	3,539	3,773
1930 Total budgetary resources available .....	3,539	3,539	3,773
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	3,539	3,539	3,773
3020 Outlays (gross) .....	–3,539	–3,539	–3,773
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	3,539	3,539	3,773
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	3,539	3,539	3,773
4180 Budget authority, net (total) .....	3,539	3,539	3,773
4190 Outlays, net (total) .....	3,539	3,539	3,773

The American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1531, allows state and local governments to issue Build America Bonds through December 31, 2010. These tax credit bonds, which include Recovery Zone Bonds, differ from tax-exempt governmental obligation bonds in two principal ways: 1) interest paid on tax credit bonds is taxable; and 2) a portion of the interest paid on tax credit bonds takes the form of a Federal tax credit. The bond issuer may elect to receive a direct payment

in the amount of the tax credit for obligations issued before January 1, 2011. This account reflects the continuing interest payments over time.

**PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX**

**Program and Financing** (in millions of dollars)

Identification code 020–0906–0–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct) .....	58,640	60,666	62,935
0900 Total new obligations, unexpired accounts (object class 41.0) .....	58,640	60,666	62,935
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	58,640	60,666	62,935
1930 Total budgetary resources available .....	58,640	60,666	62,935
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	58,640	60,666	62,935
3020 Outlays (gross) .....	–58,640	–60,666	–62,935
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	58,640	60,666	62,935
<b>Outlays, gross:</b>			
4100 New obligations from new mandatory authority .....	58,640	60,666	62,935
4180 Budget authority, net (total) .....	58,640	60,666	62,935
4190 Outlays, net (total) .....	58,640	60,666	62,935

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	58,640	60,666	62,935
Outlays .....	58,640	60,666	62,935
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			–384
Outlays .....			–384
<b>Total:</b>			
Budget Authority .....	58,640	60,666	62,551
Outlays .....	58,640	60,666	62,551

As provided by law, there are instances where the earned income tax credit (EITC) exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer. Congress originally authorized the EITC in the Tax Reduction Act of 1975 (P.L. 94–12) and made it permanent in the Revenue Adjustment Act of 1978 (P.L. 95–600). The Tax Reform Act of 1986 and the Omnibus Budget Reconciliation Acts of 1990 and 1993 increased the credit amount and expanded eligibility for the EITC.

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (P.L. 107–16) increased the income level at which the credit begins to phase out for married taxpayers filing joint returns, and made other changes to simplify the credit and improve compliance.

The American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111–5), section 1002, temporarily increased the EITC for working families with three or more children, and increased the threshold for the phase-out range for all married couples filing a joint return for 2009 and 2010 tax returns. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 103(c), extended the EGTRRA and ARRA benefits through tax year 2012.

The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 103(c), extended the EGTRRA and ARRA benefits through tax year 2017 (a five-year extension). The Protecting Americans From Tax Hikes Act of

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX—Continued  
2015 (P.L. 114–113, permanently extended the EGTRRA and ARRA benefits).

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)			
Identification code 020–0906–4–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct) .....			–384
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–384
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–384
1930 Total budgetary resources available .....			–384
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–384
3020 Outlays (gross) .....			384
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–384
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–384
4180 Budget authority, net (total) .....			–384
4190 Outlays, net (total) .....			–384

The Budget includes several proposals that impact the Earned Income Tax Credit. Proposals include: require a Social Security number valid for work in order to qualify for the credit; provide the Internal Revenue Service (IRS) with greater flexibility to address correctable errors; improve and expand access to Health Savings Accounts; lower the employee threshold for mandatory electronic reporting of Form W-2, Wage and Tax Statement, data by employers; improve clarity in worker classification and information reporting requirements; provide explicit authority for the IRS to oversee paid tax return preparers; and reform medical liability.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0922–0–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Child Tax Credit Exceeds Liability for Tax (Direct) .....	18,597	35,506	35,747
0900 Total new obligations, unexpired accounts (object class 41.0) .....	18,597	35,506	35,747
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	18,597	35,506	35,747
1930 Total budgetary resources available .....	18,597	35,506	35,747
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	18,597	35,506	35,747
3020 Outlays (gross) .....	–18,597	–35,506	–35,747
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	18,597	35,506	35,747
Outlays, gross:			
4100 Outlays from new mandatory authority .....	18,597	35,506	35,747
4180 Budget authority, net (total) .....	18,597	35,506	35,747
4190 Outlays, net (total) .....	18,597	35,506	35,747

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	18,597	35,506	35,747
Outlays .....	18,597	35,506	35,747
Legislative proposal, subject to PAYGO:			
Budget Authority .....			–152
Outlays .....			–152
Total:			
Budget Authority .....	18,597	35,506	35,595
Outlays .....	18,597	35,506	35,595

As provided by law, there are instances where a taxpayer is entitled to an additional payment because the child tax credit to which the taxpayer is entitled exceeds the amount of tax liability owed through the individual income tax system.

The Congress originally authorized the child tax credit in the Taxpayer Relief Act of 1997 (P.L. 105–34). The credit amount and extent to which the credit was refundable were increased by the Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (P.L. 107–16) which made the credit refundable at a rate of 15% of an individual's earned income in excess of \$10,000 (indexed), up to the amount of any unclaimed credit. The American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111–5), section 1003, further expanded the extent to which the credit was refundable by decreasing the earnings floor to \$3,000 for 2009 and 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 103(b), extended this temporary benefit for 2011 and 2012. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 103(b), extended the ARRA benefits through tax year 2017 (a five-year extension). The Protecting Americans from Tax Hikes Act of 2015 (P.L. 114–113), permanently extended the EGTRRA and ARRA benefits. The Tax Cuts and Jobs Act (P.L. 115–97) increases the child tax credit to \$2,000 (\$1,400 refundable) for tax years 2018–2025. The Act also provides that, in order to receive the child tax credit (both the refundable and non-refundable portion), a taxpayer must include a Social Security Number for each qualifying child for whom the credit is claimed on the tax return.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020–0922–4–1–609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Child Tax Credit Exceeds Liability for Tax (Direct) .....			–152
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–152
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–152
1930 Total budgetary resources available .....			–152
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–152
3020 Outlays (gross) .....			152
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–152
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–152
4180 Budget authority, net (total) .....			–152
4190 Outlays, net (total) .....			–152

The Budget includes several proposals that impact the Child Tax Credit. Proposals include: require a Social Security number valid for work in order to qualify for the credit; provide the Internal Revenue Service (IRS) with

greater flexibility to address correctable errors; improve and expand access to Health Savings Accounts; lower the employee threshold for mandatory electronic reporting of Form W-2, Wage and Tax Statement, data by employers; improve clarity in worker classification and information reporting requirements; provide explicit authority for the IRS to oversee paid tax return preparers; and reform medical liability.

PAYMENT WHERE HEALTH COVERAGE TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020-0923-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Health Coverage Tax Credit Exceeds Liability for T (Direct) .....	27	31	8
0900 Total new obligations, unexpired accounts (object class 41.0) .....	27	31	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	27	31	8
1930 Total budgetary resources available .....	27	31	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	27	31	8
3020 Outlays (gross) .....	-27	-31	-8
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	27	31	8
Outlays, gross:			
4100 Outlays from new mandatory authority .....	27	31	8
4180 Budget authority, net (total) .....	27	31	8
4190 Outlays, net (total) .....	27	31	8

The Trade Act of 2002 established the Health Coverage Tax Credit (HCTC), a refundable tax credit for a portion of the cost of qualified insurance, which may be paid in advance. This credit is available to certain recipients of Trade Adjustment Assistance (TAA) and Pension Benefit Guaranty Corporation pension beneficiaries who are aged 55–64.

The Congress expanded the HCTC program in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5), sections 1899A–1899J. These increased benefits for certain HCTC eligible individuals include payment of 80 percent (up from 65 percent) of health insurance premiums, up to 24 months of coverage for qualified family members, and extension of COBRA benefits. The Omnibus Trade Act of 2010 (P.L. 111–344), sections 111–118, extended these benefits until February 13, 2011. The bill to extend the Generalization System of Preference (P.L. 112–040), section 241, extended the credit through December 31, 2013, and reduced the credit percentage to 72.5 percent, and eliminated the credit entirely as of January 1, 2014.

The Trade Preferences Extension Act of 2015 (P.L. 114–27), section 407, retroactively reinstated the HCTC to January 1, 2014, through December 31, 2019. The Act also provided that an eligible individual could not claim both the HCTC and the premium tax credit provided under the Affordable Care Act for the same coverage for the same month and that individual health insurance coverage purchased through the Health Insurance Marketplace is qualified coverage for coverage months in 2014 and 2015. Lastly, the Act reinstated the advance payment of the HCTC.

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020-0951-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Small Business Health Insurance Tax Credit Exceeds (Direct) .....	1	5	4

0002 State Innovation Waivers .....	1		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	2	5	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	2	5	4
1930 Total budgetary resources available .....	2	5	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	
3010 New obligations, unexpired accounts .....	2	5	4
3020 Outlays (gross) .....	-1	-6	-4
3050 Unpaid obligations, end of year .....	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	
3200 Obligated balance, end of year .....	1		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	2	5	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	5	4
4101 Outlays from mandatory balances .....		1	
4110 Outlays, gross (total) .....	1	6	4
4180 Budget authority, net (total) .....	2	5	4
4190 Outlays, net (total) .....	1	6	4

The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148), section 1421, allows certain small employers (including small tax-exempt employers) to claim a credit when they pay at least half of the health care premiums for single health insurance coverage for their employees. Small employers can claim the credit for 2010 through 2013 and after that for the first two consecutive years of having coverage purchased through the small business health options program. Generally, employers that have fewer than 25 full-time equivalent employees and pay wages averaging less than \$50,000 per employee per year may qualify for the credit.

This account includes state innovation waiver pass-through payments in lieu of the Small Business Health Insurance Tax Credit to qualifying states under section 1332(a)(3) of the PPACA.

PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX

Program and Financing (in millions of dollars)

Identification code 020-0931-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where Certain Tax Credits Exceed Liability for Corporate (Direct) .....	1,120	103	
0002 Credit for Prior Year Minimum Tax Liability of Corporations .....		8,422	6,231
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1,120	8,525	6,231
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,204	8,532	6,231
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....	-84	-7	
1260 Appropriations, mandatory (total) .....	1,120	8,525	6,231
1930 Total budgetary resources available .....	1,120	8,525	6,231
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,120	8,525	6,231
3020 Outlays (gross) .....	-1,120	-8,525	-6,231
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,120	8,525	6,231
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,120	8,525	6,231
4180 Budget authority, net (total) .....	1,120	8,525	6,231
4190 Outlays, net (total) .....	1,120	8,525	6,231

PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX—Continued

This account shows the outlays for the provision that allows certain businesses to accelerate the recognition of a portion of certain other credits in lieu of taking bonus depreciation. The Housing and Economic Recovery Act of 2008 (P.L. 110–289), section 3081, allowed certain businesses to accelerate the recognition of a portion of their unused pre–2006 alternative minimum tax (AMT) or research and development (R&D) credits in lieu of taking bonus depreciation. The maximum increase amount is capped at the lesser of \$30 million or 6 percent of eligible AMT and R&D credits. The accelerated credit amount is refundable. The American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1201(b), extended this temporary benefit through 2009. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 401(c), extended this temporary benefit through the end of 2012, but only with respect to AMT credits. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 331(c), extended this temporary benefit through 2013 only with respect to AMT credits. The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113–295), section 125(c), extended this temporary benefit through 2014 only with respect to AMT credits. The Protecting Americans from Tax Hikes (PATH) Act of 2015 (P.L. 114–113), extended this provision through 2015. The PATH Act also extended and modified this provision for 2016 through 2019.

The Tax Cuts and Jobs Act (P.L. 115–97) (TCJA) repealed the corporate alternative minimum tax. To conform to this repeal, the election to accelerate AMT credits in lieu of taking bonus depreciation was repealed, effective for property placed in service after September 27, 2017. P.L. 115–97 allows prior year AMT credits to offset regular tax liability for any taxable year. In addition, AMT credits are refundable for any taxable year beginning after 2017 and before 2022 in an amount equal to 50 percent (100 percent in the case of taxable years beginning in 2021) of the excess of the minimum tax credit for the taxable year over the amount of the credit allowable for the year against regular tax liability. For presentation, the amount of the credit paid in excess of tax liability is shown as spending in the Budget within the same account used for prior AMT refund provisions. However, amounts refunded under the TCJA are not direct spending under the Balanced Budget and Deficit Control Act, as amended, and thus are not subject to sequestration.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0932–0–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where American Opportunity Credit Exceeds Liability for (Direct) .....	3,102	3,480	3,741
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3,102	3,480	3,741
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	3,102	3,480	3,741
1930 Total budgetary resources available .....	3,102	3,480	3,741
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3,102	3,480	3,741
3020 Outlays (gross) .....	–3,102	–3,480	–3,741
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,102	3,480	3,741
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3,102	3,480	3,741
4180 Budget authority, net (total) .....	3,102	3,480	3,741
4190 Outlays, net (total) .....	3,102	3,480	3,741

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	3,102	3,480	3,741
Outlays .....	3,102	3,480	3,741
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			–141
Outlays .....			–141
<b>Total:</b>			
Budget Authority .....	3,102	3,480	3,600
Outlays .....	3,102	3,480	3,600

The American Opportunity Tax Credit allows certain taxpayers to claim a partially refundable American Opportunity Tax Credit (AOTC) for qualifying higher education expenses. Up to 40 percent of the credit is refundable. The credit applies dollar-for-dollar to the first \$2,000 of qualified tuition, fees and course materials paid by the taxpayer, and applies at a rate of 25 percent to the next \$2,000 in qualified tuition, fees and course materials for a total credit of up to \$2,500. The credit was originally created in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1004 for tax years 2009 and 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 103(a), extended the credit to tax years 2011 and 2012. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 103(a), extended the credit through tax year 2017 (a five-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114–113), permanently extended the ARRA benefits.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020–0932–4–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment Where American Opportunity Credit Exceeds Liability for (Direct) .....			–141
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–141
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			–141
1930 Total budgetary resources available .....			–141
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–141
3020 Outlays (gross) .....			141
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			–141
Outlays, gross:			
4100 Outlays from new mandatory authority .....			–141
4180 Budget authority, net (total) .....			–141
4190 Outlays, net (total) .....			–141

The Budget includes a proposal to provide the Internal Revenue Service (IRS) with greater flexibility to address correctable errors on tax returns.

PAYMENT TO ISSUER OF QUALIFIED ENERGY CONSERVATION BONDS

Program and Financing (in millions of dollars)

Identification code 020–0948–0–1–272	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Issuer of Qualified Energy Conservation Bonds (Direct) .....	40	40	43
0900 Total new obligations, unexpired accounts (object class 41.0) .....	40	40	43

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	43	43 43
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3	-3 .....
1260	Appropriations, mandatory (total) .....	40	40 43
1930	Total budgetary resources available .....	40	40 43
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	40	40 43
3020	Outlays (gross) .....	-40	-40 -43
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	40	40 43
Outlays, gross:			
4100	Outlays from new mandatory authority .....	40	40 43
4180	Budget authority, net (total) .....	40	40 43
4190	Outlays, net (total) .....	40	40 43

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 301, created Qualified Energy Conservation Bonds; and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1112, increased the limitation on issuance of qualified energy conservation bonds from \$800 million to \$3.2 billion.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by allowing issuers of Qualified Energy Conservation Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS

**Program and Financing** (in millions of dollars)

Identification code 020-0947-0-1-271			
	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Payment to Issuer of New Clean Renewable Energy Bonds (Direct) .....	46	46 49
0900	Total new obligations, unexpired accounts (object class 41.0) .....	46	46 49
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	49	49 49
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-3	-3 .....
1260	Appropriations, mandatory (total) .....	46	46 49
1930	Total budgetary resources available .....	46	46 49
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	46	46 49
3020	Outlays (gross) .....	-46	-46 -49
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	46	46 49
Outlays, gross:			
4100	Outlays from new mandatory authority .....	46	46 49
4180	Budget authority, net (total) .....	46	46 49
4190	Outlays, net (total) .....	46	46 49

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 107, created New Clean Renewable Energy Bonds, and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1111, increased the limitation on issuance of New Clean Renewable Energy Bonds to a total limitation of \$2.4 billion.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of New Clean Renewable Energy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS

**Program and Financing** (in millions of dollars)

Identification code 020-0946-0-1-501			
	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Payment to Issuer of Qualified School Construction Bonds (Direct) .....	680	688 733
0900	Total new obligations, unexpired accounts (object class 41.0) .....	680	688 733
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	728	733 733
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-48	-45 .....
1260	Appropriations, mandatory (total) .....	680	688 733
1930	Total budgetary resources available .....	680	688 733
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	680	688 733
3020	Outlays (gross) .....	-680	-688 -733
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	680	688 733
Outlays, gross:			
4100	Outlays from new mandatory authority .....	680	688 733
4180	Budget authority, net (total) .....	680	688 733
4190	Outlays, net (total) .....	680	688 733

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1521, created Qualified School Construction Bonds with a calendar year limitation of \$11 billion for 2009 and 2010, and zero after 2010.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified School Construction Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS

**Program and Financing** (in millions of dollars)

Identification code 020-0945-0-1-501			
	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Payment to Issuer of Qualified Zone Academy Bonds (Direct) ....	57	57 61
0900	Total new obligations, unexpired accounts (object class 41.0) .....	57	57 61
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	61	61 61
1230	Appropriations and/or unobligated balance of appropriations permanently reduced .....	-4	-4 .....
1260	Appropriations, mandatory (total) .....	57	57 61
1930	Total budgetary resources available .....	57	57 61

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS—Continued  
**Program and Financing**—Continued

Identification code 020-0945-0-1-501	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	57	57	61
3020 Outlays (gross) .....	-57	-57	-61
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	57	57	61
Outlays, gross:			
4100 Outlays from new mandatory authority .....	57	57	61
4180 Budget authority, net (total) .....	57	57	61
4190 Outlays, net (total) .....	57	57	61

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1522, extended and expanded the calendar year limitation for Qualified Zone Academy Bonds to \$1.4 billion for 2009 and 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), section 758, extended the Qualified Zone Academy Bonds for 2011 and reduced the calendar year limitation to \$400 million. The American Taxpayer Relief Act of 2012 (P.L. 112-240), section 310, extended the calendar year limitation of \$400 million through tax year 2013 (a two-year extension). The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113-295), section 120, extended the calendar year limitation of \$400 million through tax year 2014 (a one-year extension). The Protecting Americans From Tax Hikes Act of 2015 (P.L. 114-113), extended the calendar year limitation of \$400 million through tax year 2016 (a two-year extension).

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amends section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified Zone Academy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (P.L. 111-312) amended section 6431(f)(3)(A)(iii) to provide that direct pay treatment for Qualified Zone Academy Bonds is not available for Qualified Zone Academy Bond allocations from the 2011 national limitation or any carry forward of the 2011 allocation.

PAYMENT TO UNITED STATES VIRGIN ISLANDS AND PUERTO RICO FOR DISASTER TAX RELIEF

**Program and Financing** (in millions of dollars)

Identification code 020-0159-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payments to Puerto Rico .....	250	200	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	250	200	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	250	200	.....
1930 Total budgetary resources available .....	250	200	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	250	200	.....
3020 Outlays (gross) .....	-250	-200	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	250	200	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	250	200	.....

4180 Budget authority, net (total) .....	250	200	.....
4190 Outlays, net (total) .....	250	200	.....

The Disaster Tax Relief and Airport and Airway Extension Act of 2017 (P.L. 115-63) amended the Internal Revenue Code to allow various tax credits, deductions, and modifications to existing rules for individuals and businesses affected by Hurricanes Harvey, Irma, and Maria. Section 504(d) provided that the Department of the Treasury pay: (1) to the U.S. Virgin Islands amounts equal to the loss in revenues to the U.S. Virgin Islands by reason of the provisions of this title, and (2) to the Commonwealth of Puerto Rico amounts equal to the aggregate benefits that would have been provided to residents of Puerto Rico by reason of the provisions of this title if a mirror code tax system had been in effect in Puerto Rico. Activity to date reflects payments to the Commonwealth of Puerto Rico pursuant to the Employee Retention Tax Credit Implementation Plan approved by the Department of the Treasury.

REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST

**Program and Financing** (in millions of dollars)

Identification code 020-0904-0-1-908	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Refunding Internal Revenue Collections, Interest (Direct) .....	1,551	1,329	1,419
0900 Total new obligations, unexpired accounts (object class 43.0) .....	1,551	1,329	1,419
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	1,551	1,329	1,419
1930 Total budgetary resources available .....	1,551	1,329	1,419
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,551	1,329	1,419
3020 Outlays (gross) .....	-1,551	-1,329	-1,419
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,551	1,329	1,419
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,551	1,329	1,419
4180 Budget authority, net (total) .....	1,551	1,329	1,419
4190 Outlays, net (total) .....	1,551	1,329	1,419

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (P.L. 97-248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (P.L. 99-514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus three percentage points (two percentage points in the case of a corporation), with such rate to be adjusted quarterly.

REFUNDABLE PREMIUM TAX CREDIT

**Program and Financing** (in millions of dollars)

Identification code 020-0949-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Premium assistance tax credit .....	49,340	42,848	36,922
0003 Basic Health Program .....	4,767	5,107	4,337
0004 State Innovation Waivers .....	244	684	865
0900 Total new obligations, unexpired accounts (object class 41.0) .....	54,351	48,639	42,124
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1029 Other balances withdrawn to Treasury .....	-8,170	.....	.....
1033 Recoveries of prior year paid obligations .....	8,169	.....	.....

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	54,351	48,639 42,124
1900	Budget authority (total) .....	54,351	48,639 42,124
1930	Total budgetary resources available .....	54,351	48,639 42,124

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1	218 .....
3010	New obligations, unexpired accounts .....	54,351	48,639 42,124
3020	Outlays (gross) .....	-54,133	-48,857 -42,124
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1	.....
3050	Unpaid obligations, end of year .....	218	.....
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1	218 .....
3200	Obligated balance, end of year .....	218	.....

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	54,351	48,639 42,124
Outlays, gross:			
4100	Outlays from new mandatory authority .....	54,133	48,639 42,124
4101	Outlays from mandatory balances .....	.....	218 .....
4110	Outlays, gross (total) .....	54,133	48,857 42,124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-8,169	.....
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts .....	8,169	.....
4160	Budget authority, net (mandatory) .....	54,351	48,639 42,124
4170	Outlays, net (mandatory) .....	45,964	48,857 42,124
4180	Budget authority, net (total) .....	54,351	48,639 42,124
4190	Outlays, net (total) .....	45,964	48,857 42,124

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	54,351	48,639	42,124
Outlays .....	45,964	48,857	42,124
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-270
Outlays .....	.....	.....	-270
Total:			
Budget Authority .....	54,351	48,639	41,854
Outlays .....	45,964	48,857	41,854

The Patient Protection and Affordable Care Act (PPACA) of 2010 (P.L. 111-148) established the Refundable Premium Tax Credit. This credit is an advanceable, refundable tax credit designed to help eligible individuals and families with low or moderate income afford health insurance purchased through the Health Insurance Marketplace, also known as the Exchange, beginning in 2014. The credit can be paid in advance to the taxpayer's insurance company to lower the monthly premiums, or it can be claimed when a taxpayer files their income tax return for the year. If the credit is paid in advance, the taxpayer must reconcile the advance credit payments with the actual credit computed on the tax return, subject to certain caps.

Section 1412 of PPACA provides for advance payments of the premium tax credit.

This account includes state innovation waiver pass-through payments in lieu of the Premium Tax Credit to qualifying states under section 1332(a)(3) of the PPACA, as well as payments to states under the Basic Health Program established under section 1331 of PPACA.

REFUNDABLE PREMIUM TAX CREDIT

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020-0949-4-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Premium assistance tax credit .....	.....	-270
0900	Total new obligations, unexpired accounts (object class 41.0) .....	.....	-270

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	.....	-270
1900	Budget authority (total) .....	.....	-270
1930	Total budgetary resources available .....	.....	-270

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	.....	-270
3020	Outlays (gross) .....	.....	270

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	.....	-270
Outlays, gross:			
4100	Outlays from new mandatory authority .....	.....	-270
4180	Budget authority, net (total) .....	.....	-270
4190	Outlays, net (total) .....	.....	-270

The Budget includes proposals to improve the Premium Tax Credit. Those proposals include establishing a new minimum required contribution for subsidized individuals enrolled in health plans on the Health Insurance Exchange, and reducing the grace period for Health Insurance Marketplace premiums. The Premium Tax Credit is also impacted by the proposal to reform the medical malpractice liability system.

IRS MISCELLANEOUS RETAINED FEES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5432-0-2-803	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	2	.....
Receipts:			
Current law:			
1110	Enrolled Agent Fee Increase, IRS Miscellaneous Retained Fees .....	7	9 11
1130	New Installment Agreements, IRS Miscellaneous Retained Fees .....	188	170 171
1130	Restructured Installment Agreements, IRS Miscellaneous Retained Fees .....	70	42 42
1130	General User Fees, IRS Miscellaneous Retained Fees .....	118	112 107
1130	Photocopying and Historical Conservation Easement Fees, IRS Miscellaneous Retained Fees .....	4	3 3
1199	Total current law receipts .....	387	336 334
1999	Total receipts .....	387	336 334
2000	Total: Balances and receipts .....	389	336 334
Appropriations:			
Current law:			
2101	IRS Miscellaneous Retained Fees .....	-387	-336 -334
2103	IRS Miscellaneous Retained Fees .....	-2	.....
2199	Total current law appropriations .....	-389	-336 -334
2999	Total appropriations .....	-389	-336 -334
5099	Balance, end of year .....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 020-5432-0-2-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	IRS Miscellaneous Retained Fees (Direct) .....	3	4 3
0900	Total new obligations, unexpired accounts (object class 44.0) .....	3	4 3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	406	513 282
1010	Unobligated balance transfer to other accts [020-0919] .....	-185	-411 -198
1010	Unobligated balance transfer to other accts [020-0912] .....	.....	-13 -2
1050	Unobligated balance (total) .....	221	89 82
Budget authority:			
Appropriations, discretionary:			
1120	Appropriations transferred to other accts [020-0919] .....	-16	..... -229
1120	Appropriations transferred to other accts [020-0921] .....	-77	-139 -30
1120	Appropriations transferred to other accts [020-0912] .....	-1	..... -2

IRS MISCELLANEOUS RETAINED FEES—Continued  
Program and Financing—Continued

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Appropriation, discretionary (total), Appropriations, mandatory, and Budget authority, net.

As provided by law (26 U.S.C. 7801), the Secretary of the Treasury may establish new fees or raise existing fees for services provided by the IRS to recover the value of the service provided, where such fees are authorized by another law, and may spend the new or increased fee receipts to supplement appropriations made available to the IRS appropriations accounts.

GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT

Special and Trust Fund Receipts (in millions of dollars)

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Balance, start of year, Receipts, and Appropriations.

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Budgetary resources, Budget authority, and Appropriations.

As provided by law (31 U.S.C. 3113), the Secretary of the Treasury is authorized to accept conditional gifts to the United States for the purpose of reducing the public debt.

PRIVATE COLLECTION AGENT PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Balance, start of year, Receipts, and Appropriations.

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Obligations by program activity.

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Budgetary resources, Unobligated balance, and Budget authority.

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Change in obligated balance, Unpaid obligations, and Obligated balance.

Table with 4 columns: Identification code, Description, 2018 actual, 2019 est., 2020 est. Rows include Budget authority and outlays, net, Mandatory, and Outlays.

This account reflects the funds that the IRS is allowed to retain and expend for qualified tax collection contracts with private collection agents and the special compliance personnel program. The American Jobs Creation Act of 2004 (P.L. 108-357) allowed the IRS to use private collection contractors to supplement its own collection staff efforts to ensure that all taxpayers pay what they owe.

qualified tax collection contract for payments to private collection agents, and an amount not in excess of 25 percent of the amount collected for enforcement activities of the IRS (26 USC 6306). The IRS used this authority to contract with several private debt collection agencies starting in 2006. In March 2009, the IRS allowed its private debt collection contracts to expire, thereby administratively terminating the program in accordance with Omnibus Appropriations Act, 2009 Administrative Provisions - Internal Revenue Service, Section 106 (P.L. 111-8). This provision stated that none of the funds made available in this Act maybe used to enter into, renew, extend, administer, implement, enforce, or provide oversight of any qualified tax collection contract as defined in section 6306 of the Internal Revenue Code of 1986.

Section 32102(a) of the Fixing America's Surface Transportation Act of 2015 (P.L. 114-94), amended section 6306 of the Internal Revenue Code (IRC) and requires the Secretary of the Treasury to enter into one or more qualified tax collection contracts for the collection of all outstanding inactive tax receivables. These agreements are applicable to tax receivables as identified by the Secretary after December 4, 2015. Section 6306 of the IRC prohibits the payment of fees for all services in excess of 25 percent of the amount collected under a tax collection contract for payments to private collection agents. In addition, up to 25 percent of the amount collected may be used to fund the special compliance personnel program account under section 6307.

Inactive tax receivables are defined as any tax receivable: 1) removed from the active inventory for lack of resources or inability to locate the taxpayer; 2) for which more than one-third of the applicable limitations period has lapsed and no IRS employee has been assigned to collect the receivable; or 3) for which a receivable has been assigned for collection but more than 365 days have passed without interaction with the taxpayer or a third party for purposes of furthering the collection. Tax receivables are defined as any outstanding assessment that the IRS includes in potentially collectible inventory.

**Object Classification** (in millions of dollars)

Identification code 020-5510-0-2-803	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	7	7
12.1 Civilian personnel benefits .....	1	3	3
23.1 Rental payments to GSA .....		7	7
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.1 Advisory and assistance services .....	15	26	26
25.3 Other goods and services from Federal sources .....	1		
25.4 Operation and maintenance of facilities .....		1	1
99.0 Direct obligations .....	20	45	45
99.5 Below Reporting Threshold .....	-1		1
99.9 Total new obligations, unexpired accounts .....	19	45	46

**Employment Summary**

Identification code 020-5510-0-2-803	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	23	112	118

**INFORMANT PAYMENTS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-5433-0-2-803	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			167
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Underpayment and Fraud Collection .....	215	410	225
2000 Total: Balances and receipts .....	215	410	392
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Informant Payments .....	-215	-243	-225

5099 Balance, end of year .....		167	167
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**Program and Financing** (in millions of dollars)

Identification code 020-5433-0-2-803	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Informant Payments .....	215	228	225
0900 Total new obligations, unexpired accounts (object class 91.0) .....	215	228	225
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	215	243	225
1230 Appropriations and/or unobligated balance of appropriations permanently reduced .....		-15	
1260 Appropriations, mandatory (total) .....	215	228	225
1930 Total budgetary resources available .....	215	228	225
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	215	228	225
3020 Outlays (gross) .....	-215	-228	-225
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	215	228	225
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	215	228	225
4180 Budget authority, net (total) .....	215	228	225
4190 Outlays, net (total) .....	215	228	225

As provided by law (26 U.S.C. 7623), the Secretary of the Treasury may make payments to individuals who provide information that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (P.L. 104-168) provides for payments of such sums to individuals from the proceeds of amounts collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment of persons guilty of violating the Internal Revenue laws. This provision was further amended by the Tax Relief and Health Care Act of 2006 (P.L. 109-432) to provide for mandatory payments in certain circumstances and to encourage use of the program. A reward payment typically ranges between 15 and 30 percent of the collected proceeds for cases where the amount of collected proceeds exceeds \$2 million. Lower payments are allowed in certain circumstances, including cases in which information is provided that was already available from another source. Section 41108 of the Bipartisan Budget Act of 2018 (P.L. 115-123) expanded the definition of proceeds to include proceeds arising from the laws for which the Internal Revenue Service is authorized to administer, enforce or investigate. Section 41108 further provides that the expanded definition of proceeds shall be used to determine eligibility for a mandatory award under section 7623(b)(5) and states that the amount of proceeds are to be determined without regard to whether such proceeds are available to the Secretary.

**FEDERAL TAX LIEN REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 020-4413-0-3-803	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
1930 Total budgetary resources available .....	7	7	7
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	7	7	7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This revolving fund was established pursuant to section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the re-

FEDERAL TAX LIEN REVOLVING FUND—Continued

demption of real property by the United States. During the process of collecting unpaid taxes, the Government may place a tax lien on real estate in order to protect the Government's interest and this account provides the resources to administer the program.

INTERNAL REVENUE SERVICE OVERSIGHT BOARD

The Internal Revenue Service Restructuring and Reform Act of 1998 (Section 7802(d) 26 U.S.C.) directs the IRS Oversight Board to provide an annual budget request for the IRS. The Oversight Board's request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the IRS. The Board did not make a recommendation for 2020 as it currently lacks a quorum. The Board will reconvene once it has enough Senate-confirmed members to make a quorum.

ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. Not to exceed 5 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to any other Internal Revenue Service appropriation upon the advance notification of the Committees on Appropriations.

SEC. 102. The Internal Revenue Service shall maintain an employee training program, which shall include the following topics: taxpayers' rights, dealing courteously with taxpayers, cross-cultural relations, ethics, and the impartial application of tax law.

SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information and protect taxpayers against identity theft.

SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities and increased staffing to provide sufficient and effective 1–800 help line service for taxpayers. The Commissioner shall continue to make improvements to the Internal Revenue Service 1–800 help line service a priority and allocate resources necessary to enhance the response time to taxpayer communications, particularly with regard to victims of tax-related crimes.

SEC. 105. The Internal Revenue Service shall issue a notice of confirmation of any address change relating to an employer making employment tax payments, and such notice shall be sent to both the employer's former and new address and an officer or employee of the Internal Revenue Service shall give special consideration to an offer-in-compromise from a taxpayer who has been the victim of fraud by a third party payroll tax preparer.

SEC. 106. None of the funds made available under this Act may be used by the Internal Revenue Service to target citizens of the United States for exercising any right guaranteed under the First Amendment to the Constitution of the United States.

SEC. 107. None of the funds made available in this Act may be used by the Internal Revenue Service to target groups for regulatory scrutiny based on their ideological beliefs.

SEC. 108. Section 9503(a) of title 5, United States Code, is amended by striking the clause "Before September 30, 2013" and inserting "Before September 30, 2023".

SEC. 109. Section 9503(a)(5) of title 5, United States Code, is amended by inserting before the semicolon the following: ", but are renewable for an additional two years, based on a critical organizational need".

SEC. 110. Notwithstanding any Congressional notification requirements for a reprogramming of funds in this Act, funds provided in this Act for the Internal Revenue Service shall be available for obligation and expenditure through a reprogramming of funds that augments or reduces existing programs, projects, or activities up to \$10,000,000 without prior Congressional notification of such action.

COMPTROLLER OF THE CURRENCY

Trust Funds

ASSESSMENT FUNDS

Program and Financing (in millions of dollars)

Identification code 020–8413–0–8–373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0881 Bank Supervision .....	1,236	1,090	1,090
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,510	1,541	1,643
1021 Recoveries of prior year unpaid obligations .....	12		
1050 Unobligated balance (total) .....	1,522	1,541	1,643
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,255	1,192	1,195
1930 Total budgetary resources available .....	2,777	2,733	2,838
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,541	1,643	1,748
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	283	295	148
3010 New obligations, unexpired accounts .....	1,236	1,090	1,090
3020 Outlays (gross) .....	-1,212	-1,237	-1,114
3040 Recoveries of prior year unpaid obligations, unexpired .....	-12		
3050 Unpaid obligations, end of year .....	295	148	124
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	276	288	141
3200 Obligated balance, end of year .....	288	141	117
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,255	1,192	1,195
Outlays, gross:			
4100 Outlays from new mandatory authority .....	410	825	743
4101 Outlays from mandatory balances .....	802	412	371
4110 Outlays, gross (total) .....	1,212	1,237	1,114
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-11	-13	-13
4121 Interest on Federal securities .....	-24	-27	-27
4123 Non-Federal sources .....	-1,220	-1,152	-1,155
4130 Offsets against gross budget authority and outlays (total) ....	-1,255	-1,192	-1,195
4170 Outlays, net (mandatory) .....	-43	45	-81
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-43	45	-81
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,791	1,837	1,947
5001 Total investments, EOY: Federal securities: Par value .....	1,837	1,947	1,919

The Office of the Comptroller of the Currency (OCC) was created by Congress to charter national banks; oversee a nationwide system of banking institutions; and ensure national banks are safe and sound, competitive and profitable, and capable of serving in the best possible manner the banking needs of their customers. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665), rewritten and reenacted as the National Bank Act of 1864, provided for the chartering and supervising functions of the OCC. Income of the OCC is derived principally from assessments paid by national banks and interest on investments in U.S. Government securities. The OCC receives no appropriated funds from Congress.

Effective on July 21, 2011, Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) transferred to the OCC the responsibility for supervision and rulemaking authority for all Federal savings associations. The prior regulator, the Office of Thrift Supervision, was integrated into OCC at this time.

As of September 30, 2018, the OCC supervised 891 national bank charters, 57 Federal branches of foreign banks, and 316 Federal savings

associations. In total, the OCC supervises approximately \$12.5 trillion in financial institution assets.

At September 30, 2018, the net position of the OCC was \$1.39 billion. The OCC sets aside funds for ongoing operations to cover undelivered orders and capital investments. The OCC also allocates a significant portion of the net position to its financial reserves. In 2018, the OCC established a new receivership contingency fund of \$86.6 million within its financial reserves to facilitate the conduct of receiverships of uninsured federal branches or agencies of a foreign banking organization. Since 2017, the OCC has also maintained a contingency of \$100 million within its reserves to act as receiver of those national trust banks which are not FDIC-insured.

**Object Classification** (in millions of dollars)

Identification code 020–8413–0–8–373	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	550	558	558
11.3 Other than full-time permanent .....	6	4	4
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	559	565	565
12.1 Civilian personnel benefits .....	379	242	242
21.0 Travel and transportation of persons .....	53	49	49
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	71	68	68
23.3 Communications, utilities, and miscellaneous charges .....	18	17	17
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	27	17	17
25.2 Other services from non-Federal sources .....	29	28	28
25.3 Other goods and services from Federal sources .....	8	9	9
25.4 Operation and maintenance of facilities .....	6	7	7
25.7 Operation and maintenance of equipment .....	61	60	60
26.0 Supplies and materials .....	6	7	7
31.0 Equipment .....	16	18	18
42.0 Insurance claims and indemnities .....	1		
99.0 Reimbursable obligations .....	1,236	1,090	1,090
99.9 Total new obligations, unexpired accounts .....	1,236	1,090	1,090

**Employment Summary**

Identification code 020–8413–0–8–373	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,840	3,788	3,788

**INTEREST ON THE PUBLIC DEBT**

*Federal Funds*

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

**Program and Financing** (in millions of dollars)

Identification code 020–0550–0–1–901	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....	521,553	593,086	682,948
0900 Total new obligations, unexpired accounts (object class 43.0) .....	521,553	593,086	682,948
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....	521,553	593,086	682,948
1930 Total budgetary resources available .....	521,553	593,086	682,948
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	521,553	593,086	682,948
3020 Outlays (gross) .....	–521,553	–593,086	–682,948
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	521,553	593,086	682,948
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	521,553	593,086	682,948
4180 Budget authority, net (total) .....	521,553	593,086	682,948

4190 Outlays, net (total) .....	521,553	593,086	682,948
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Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Government account series securities is generally calculated on a cash basis. Interest is generally calculated on an accrual basis for all other types of securities.

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020–0550–2–1–901	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....		14	310
0900 Total new obligations, unexpired accounts (object class 43.0) .....		14	310
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....		14	310
1930 Total budgetary resources available .....		14	310
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....		14	310
3020 Outlays (gross) .....		–14	–310
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....		14	310
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		14	310
4180 Budget authority, net (total) .....		14	310
4190 Outlays, net (total) .....		14	310

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 020–0550–4–1–901	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Interest on Treasury Debt Securities .....			–3,551
0900 Total new obligations, unexpired accounts (object class 43.0) .....			–3,551
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1200 Appropriation .....			–3,551
1930 Total budgetary resources available .....			–3,551
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			–3,551
3020 Outlays (gross) .....			3,551
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			–3,551
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			–3,551
4180 Budget authority, net (total) .....			–3,551
4190 Outlays, net (total) .....			–3,551

ADMINISTRATIVE PROVISIONS—DEPARTMENT OF THE TREASURY

(INCLUDING TRANSFERS OF FUNDS)

Sec. 114. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official motor vehicles operated in foreign countries; purchase of motor vehicles without

regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

SEC. 115. Not to exceed 2 percent of any appropriations in this title made available under the headings "Departmental Offices—Salaries and Expenses", "Community Development Financial Institutions Fund", "Office of Terrorism and Financial Intelligence", "Office of Inspector General", "Special Inspector General for the Troubled Asset Relief Program", "Financial Crimes Enforcement Network", "Bureau of the Fiscal Service", and "Alcohol and Tobacco Tax and Trade Bureau" may be transferred between such appropriations upon the advance notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided, That no transfer under this section may increase or decrease any such appropriation by more than 2 percent.

SEC. 116. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon the advance notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 117. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

SEC. 118. The Secretary of the Treasury may transfer funds from the "Bureau of the Fiscal Service—Salaries and Expenses" to the Debt Collection Fund as necessary to cover the costs of debt collection: Provided, That such amounts shall be reimbursed to such salaries and expenses account from debt collections received in the Debt Collection Fund.

SEC. 119. None of the funds appropriated or otherwise made available by this or any other Act may be used by the United States Mint to construct or operate any museum without the prior notification of the Committees on Appropriations of the House of Representatives and the Senate, the House Committee on Financial Services, and the Senate Committee on Banking, Housing, and Urban Affairs.

SEC. 120. None of the funds appropriated or otherwise made available by this or any other Act or source to the Department of the Treasury, the Bureau of Engraving and Printing, and the United States Mint, individually or collectively, may be used to consolidate any or all functions of the Bureau of Engraving and Printing and the United States Mint without the advance notification of the House Committee on Financial Services; the Senate Committee on Banking, Housing, and Urban Affairs; and the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 121. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for the Department of the Treasury's intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2020 until the enactment of the Intelligence Authorization Act for Fiscal Year 2020.

SEC. 122. Not to exceed \$5,000 shall be made available from the Bureau of Engraving and Printing's Industrial Revolving Fund for necessary official reception and representation expenses.

SEC. 123. The Secretary of the Treasury shall submit a Capital Investment Plan to the Committees on Appropriations of the Senate and the House of Representatives not later than 30 days following the submission of the annual budget submitted by the President: Provided, That such Capital Investment Plan shall include capital investment spending from all accounts within the Department of the Treasury, including but not limited to the Department-wide Systems and Capital Investment Programs account, Treasury Franchise Fund account, and the Treasury Forfeiture Fund account: Provided further, That such Capital Investment Plan shall include expenditures occurring in previous fiscal years for each capital investment project that has not been fully completed.

SEC. 124. (a) Not later than 60 days after the end of each quarter, the Office of Financial Research shall submit reports on its activities to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives and the Senate Committee on Banking, Housing, and Urban Affairs.

(b) The reports required under subsection (a) shall include—

- (1) the obligations made during the previous quarter by object class, office, and activity;
- (2) the estimated obligations for the remainder of the fiscal year by object class, office, and activity;
- (3) the number of full-time equivalents within each office during the previous quarter;

(4) the estimated number of full-time equivalents within each office for the remainder of the fiscal year; and

(5) actions taken to achieve the goals, objectives, and performance measures of each office.

(c) At the request of any such Committees specified in subsection (a), the Office of Financial Research shall make officials available to testify on the contents of the reports required under subsection (a).

SEC. 125. Within 45 days after the date of enactment of this Act, the Secretary of the Treasury shall submit an itemized report to the Committees on Appropriations of the House of Representatives and the Senate on the amount of total funds charged to each office by the Franchise Fund including the amount charged for each service provided by the Franchise Fund to each office, a detailed description of the services, a detailed explanation of how each charge for each service is calculated, and a description of the role customers have in governing in the Franchise Fund.

SEC. 126. During fiscal year 2020—

(1) none of the funds made available in this or any other Act may be used by the Department of the Treasury, including the Internal Revenue Service, to issue, revise, or finalize any regulation, revenue ruling, or other guidance not limited to a particular taxpayer relating to the standard which is used to determine whether an organization is operated exclusively for the promotion of social welfare for purposes of section 501(c)(4) of the Internal Revenue Code of 1986 (including the proposed regulations published at 78 Fed. Reg. 71535 (November 29, 2013)); and

(2) the standard and definitions as in effect on January 1, 2010, which are used to make such determinations shall apply after the date of the enactment of this Act for purposes of determining status under section 501(c)(4) of such Code of organizations created on, before, or after such date.

SEC. 127. Amendments to Community Development Financial Institutions Bond Program.— Section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a) is amended—

(a) in subsection (c)(2) by striking ", multiplied by an amount equal to the outstanding principal balance of issued notes or bonds"; and

(b) in subsection (e)(2)(B) by striking "\$100,000,000" and inserting "\$50,000,000".

SEC. 128. Notwithstanding paragraph (2) of section 402(c) of the Helping Families Save Their Homes Act of 2009, in utilizing funds made available by paragraph (1) of section 402(c) of such Act, the Special Inspector General for the Troubled Asset Relief Program shall prioritize the performance of audits or investigations of any program that is funded in whole or in part by funds appropriated under the Emergency Economic Stabilization Act of 2008, to the extent that such priority is consistent with other aspects of the mission of the Special Inspector General.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Governmental receipts:</b>			
010-086400 Filing Fees, P.L. 109-171, Title X .....	55	55	55
020-015800 Transportation Fuels Tax .....	-1,459	-3,710	-1,018
020-065000 Deposit of Earnings, Federal Reserve System .....	70,750	48,741	49,446
020-065000 Deposit of Earnings, Federal Reserve System: Legislative proposal, subject to PAYGO .....		42	28
020-085000 Registration, Filing, and Transaction Fees .....	3	4	4
345-086900 Fees for Legal and Judicial Services, not Otherwise Classified .....	46	46	46
096-089100 Miscellaneous Fees for Regulatory and Judicial Services, not Otherwise Classified .....	575	524	524
020-101000 Fines, Penalties, and Forfeitures, Agricultural Laws .....	3	3	3
021-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws .....	186	173	173
021-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws: Legislative proposal, subject to PAYGO .....			13
034-104000 Fines, Penalties, and Forfeitures, Customs, Commerce, and Antitrust Laws .....	9,899	9,899	9,899
020-105000 Fines, Penalties, and Forfeitures, Narcotic Prohibition and Alcohol Laws .....		17	17
096-106000 Forfeitures of Unclaimed Money and Property .....	31	23	23
010-108000 Fines, Penalties, and Forfeitures, Federal Coal Mine Health and Safety Laws .....	47	50	50
020-109600 Penalties on Employers Who Do not Offer Health Coverage or Delay Eligibility for New Employees .....		3,850	3,591
020-241100 User Fees for IRS .....	7	3	4
020-249200 Premiums, Terrorism Risk Insurance Program .....			34
020-309400 Recovery from Airport and Airway Trust Fund for Refunds of Taxes .....	15	18	18

020-309500	Recovery from Leaking Underground Storage Tank Trust Fund for Refunds of Taxes, EPA .....	6	6	
020-309990	Refunds of Moneys Erroneously Received and Recovered (20X1807) .....	-26	-26	-26
050-085015	Registration, Filing, and Transaction Fees, SEC .....	546	658	703
220-109900	Fines, Penalties, and Forfeitures, not Otherwise Classified .....	4,862	4,328	4,329
901-011050	Individual Income Taxes .....	1,683,474	1,698,251	1,821,689
901-011050	Individual Income Taxes: Legislative proposal, not subject to PAYGO .....			102
901-011050	Individual Income Taxes: Legislative proposal, subject to PAYGO .....			2,292
999-011100	Corporation Income and Excess Profits Taxes .....	204,733	216,194	255,598
999-011100	Corporation Income and Excess Profits Taxes: Legislative proposal, not subject to PAYGO .....			-11
999-011100	Corporation Income and Excess Profits Taxes: Legislative proposal, subject to PAYGO .....			-426
901-015250	Other Federal Fund Excise Taxes .....	2,252	1,736	1,820
999-015300	Estate and Gift Taxes .....	22,983	19,295	19,304
901-015500	Tobacco Excise Tax .....	12,861	13,210	13,150
901-015600	Alcohol Excise Tax .....	10,057	10,204	10,305
901-015700	Telephone Excise Tax .....	512	464	414
901-015913	Fee on Health Insurance Providers .....	4,681	9,590	15,397
901-015914	Tax on Indoor Tanning Services .....	69	67	65
901-015915	Excise Tax on Medical Device Manufacturers .....	-176		1,755
901-031050	Other Federal Fund Customs Duties .....	27,137	52,368	31,373
	General Fund Governmental receipts .....	2,054,123	2,086,083	2,240,749
Offsetting receipts from the public:				
020-129900	Gifts to the United States, not Otherwise Classified .....	3	3	3
020-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified .....	4	4	4
020-145000	Interest Payments from States, Cash Management Improvement .....	13	10	10
020-146310	Interest on Quota in International Monetary Fund .....	77	77	77
020-146320	Interest on Loans to International Monetary Fund .....	46	46	46
020-149900	Interest Received from Credit Financing Accounts .....	42,071	49,978	51,539
020-248500	GSE Fees Pursuant to P.L. 112-78 Sec. 401 .....	3,611	3,975	4,334
020-248500	GSE Fees Pursuant to P.L. 112-78 Sec. 401: Legislative proposal, subject to PAYGO .....			224
020-267710	Community Development Financial Institutions Fund, Negative Subsidies .....	6		
020-276330	Community Development Financial Institutions Fund, Downward Re-estimate of Subsidies .....	7	10	
020-279030	GSE Mortgage-backed Securities Direct Loans, Downward Reestimates of Subsidies .....	98	7	
020-279230	Troubled Asset Relief Program, Downward Reestimates of Subsidies .....	15	27	
020-289400	Proceeds, GSE Equity Related Transactions .....	9,881	20,955	18,344
020-289400	Proceeds, GSE Equity Related Transactions: Legislative proposal, not subject to PAYGO .....			458
020-322000	All Other General Fund Proprietary Receipts .....	519	528	528
020-387500	Budget Clearing Account (suspense) .....	-22		
086-289100	Proceeds, Grants for Emergency Mortgage Relief Derived from Emergency Homeowners' Relief Fund .....	1		
	General Fund Offsetting receipts from the public .....	56,330	75,620	75,567
Intragovernmental payments:				
089-142400	Interest on Investment, Colorado River Projects .....		3	3
014-142700	Interest on Advances to Colorado River Dam Fund, Boulder Canyon Project .....	1		
020-133800	Interest on Loans to the Presidio .....	3	2	2
020-135100	Interest on Loans to BPA .....	418	239	189
020-136000	Interest on Loans to Western Area Power Administration .....	3	3	3
020-136300	Interest on Loans for College Housing and Academic Facilities Loans, Education .....	2	2	1
020-140100	Interest on Loans to Commodity Credit Corporation .....	163	399	201
020-141500	Interest on Loans to Federal Deposit Insurance Corporation .....		27	116
020-141800	Interest on Loans to Federal Financing Bank .....	1,613	669	1,738
020-143300	Interest on Loans to National Flood Insurance Fund, DHS .....	368	536	573
020-149500	Interest Payments on Repayable Advances to the Black Lung Disability Trust Fund .....	192	99	133
020-149700	Payment of Interest on Advances to the Railroad Retirement Board .....	104	107	157
020-150110	Interest on Loans or Advances to the Extended Unemployment Compensation Account .....	48		
020-241600	Charges for Administrative Expenses of Social Security Act As Amended .....	720	767	781
020-310100	Recoveries from Federal Agencies for Settlement of Claims for Contract Disputes .....	160	112	112
020-311200	Reimbursement from Federal Agencies for Payments Made As a Result of Discriminatory Conduct .....	12	12	12
020-320000	Receivables from Cancelled Accounts .....	1	1	1
020-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	27		

073-142800	Interest on Advances to Small Business Administration .....	2		
	General Fund Intragovernmental payments .....	3,837	2,978	4,022

**TITLE VI—GENERAL PROVISIONS**

(INCLUDING TRANSFER OF FUNDS)

SEC. 601. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 602. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 604. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930 (19 U.S.C. 1307).

SEC. 605. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. 606. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 607. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2020 from appropriations made available for salaries and expenses for fiscal year 2020 in this Act, shall remain available through September 30, 2021, for each such account for the purposes authorized: Provided, That notice thereof shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate prior to the expenditure of such funds.

SEC. 608. (a) None of the funds made available in this Act may be used by the Executive Office of the President to request—

(1) any official background investigation report on any individual from the Federal Bureau of Investigation; or

(2) a determination with respect to the treatment of an organization as described in section 501(c) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of such Code from the Department of the Treasury or the Internal Revenue Service.

(b) Subsection (a) shall not apply—

(1) in the case of an official background investigation report, if such individual has given express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) if such request is required due to extraordinary circumstances involving national security.

SEC. 609. The cost accounting standards promulgated under chapter 15 of title 41, United States Code, shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. 610. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office of Personnel Management pursuant to court approval.

SEC. 611. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal employees health benefits program which provides any benefits or coverage for abortions.

SEC. 612. The provision of section 611 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. 613. In order to promote Government access to commercial information technology, the restriction on purchasing nondomestic articles, materials, and supplies set forth in chapter 83 of title 41, United States Code (popularly known as the Buy American Act), shall not apply to the acquisition by the Federal Government

of information technology (as defined in section 11101 of title 40, United States Code), that is a commercial item (as defined in section 103 of title 41, United States Code).

SEC. 614. Notwithstanding section 1353 of title 31, United States Code, no officer or employee of any regulatory agency or commission funded by this Act may accept on behalf of that agency, nor may such agency or commission accept, payment or reimbursement from a non-Federal entity for travel, subsistence, or related expenses for the purpose of enabling an officer or employee to attend and participate in any meeting or similar function relating to the official duties of the officer or employee when the entity offering payment or reimbursement is a person or entity subject to regulation by such agency or commission, or represents a person or entity subject to regulation by such agency or commission, unless the person or entity is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.

SEC. 615. Notwithstanding section 708 of this Act, funds made available to the Commodity Futures Trading Commission and the Securities and Exchange Commission by this or any other Act may be used for the interagency funding and sponsorship of a joint advisory committee to advise on emerging regulatory issues.

SEC. 616. (a)(1) Notwithstanding any other provision of law, an Executive agency covered by this Act otherwise authorized to enter into contracts for either leases or the construction or alteration of real property for office, meeting, storage, or other space must consult with the General Services Administration before issuing a solicitation for offers of new leases or construction contracts, and in the case of succeeding leases, before entering into negotiations with the current lessor.

(2) Any such agency with authority to enter into an emergency lease may do so during any period declared by the President to require emergency leasing authority with respect to such agency.

(b) For purposes of this section, the term "Executive agency covered by this Act" means any Executive agency provided funds by this Act, but does not include the General Services Administration or the United States Postal Service.

SEC. 617. (a) There are appropriated for the following activities the amounts required under current law:

(1) Compensation of the President (3 U.S.C. 102).

(2) Payments to—

(A) the Judicial Officers' Retirement Fund (28 U.S.C. 377(o));

(B) the Judicial Survivors' Annuities Fund (28 U.S.C. 376(c)); and

(C) the United States Court of Federal Claims Judges' Retirement Fund (28 U.S.C. 178(l)).

(3) Payment of Government contributions—

(A) with respect to the health benefits of retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849); and

(B) with respect to the life insurance benefits for employees retiring after December 31, 1989 (5 U.S.C. ch. 87).

(4) Payment to finance the unfunded liability of new and increased annuity benefits under the Civil Service Retirement and Disability Fund (5 U.S.C. 8348).

(5) Payment of annuities authorized to be paid from the Civil Service Retirement and Disability Fund by statutory provisions other than subchapter III of chapter 83 or chapter 84 of title 5, United States Code.

(b) Nothing in this section may be construed to exempt any amount appropriated by this section from any otherwise applicable limitation on the use of funds contained in this Act.

SEC. 618. None of the funds made available in this Act may be used by the Federal Trade Commission to complete the draft report entitled "Interagency Working Group on Food Marketed to Children: Preliminary Proposed Nutrition Principles to Guide Industry Self-Regulatory Efforts" unless the Interagency Working Group on Food Marketed to Children complies with Executive Order No. 13563.

SEC. 619. None of the funds in this Act may be used for the Director of the Office of Personnel Management to award a contract, enter an extension of, or exercise an option on a contract to a contractor conducting the final quality review processes for background investigation fieldwork services or background investigation support services that, as of the date of the award of the contract, are being conducted by that contractor.

SEC. 620. (a) The head of each executive branch agency funded by this Act shall ensure that the Chief Information Officer of the agency has the authority to participate in decisions regarding the budget planning process related to information technology.

(b) Amounts appropriated for any executive branch agency funded by this Act that are available for information technology shall be allocated within the agency, consistent with the provisions of appropriations Acts and budget guidelines and recommendations from the Director of the Office of Management and Budget, in such manner as specified by, or approved by, the Chief Information Officer of the agency in consultation with the Chief Financial Officer of the agency and budget officials.

SEC. 621. Title 44, United States Code, is amended as follows—

(a) in subsection (a)(2) of section 2107, strike "the head of such agency has certified in writing to the Archivist" and substitute "the Archivist determines, after consulting with the head of such agency,";

(b) in subsection (d) of section 2904, strike the first instance of "digital or electronic";

(c) in subsection (e) of section 3303a, strike "the written consent of" and substitute "advance notice to"; and

(d) in section 3308, strike "empower" and substitute "direct".

SEC. 622. Upon enactment, the unobligated balances appropriated in prior appropriations Acts for the Public Company Accounting Oversight Board merit scholarship program, as authorized by 15 U.S.C. 7219(c)(2), shall be transferred to the general fund of the Treasury.

# DEPARTMENT OF VETERANS AFFAIRS

## VETERANS HEALTH ADMINISTRATION

### Federal Funds

#### MEDICAL SERVICES

For necessary expenses for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs and veterans described in section 1705(a) of title 38, United States Code, including care and treatment in facilities not under the jurisdiction of the Department, and including medical supplies and equipment, bioengineering services, food services, and salaries and expenses of healthcare employees hired under title 38, United States Code, aid to State homes as authorized by section 1741 of title 38, United States Code, assistance and support services for caregivers as authorized by section 1720G of title 38, United States Code, loan repayments authorized by section 604 of the Caregivers and Veterans Omnibus Health Services Act of 2010 (Public Law 111-163; 124 Stat. 1174; 38 U.S.C. 7681 note), monthly assistance allowances authorized by section 322(d) of title 38, United States Code, grants authorized by section 521A of title 38, United States Code, and administrative expenses necessary to carry out sections 322(d) and 521A of title 38, United States Code, and hospital care and medical services authorized by section 1787 of title 38, United States Code; **[\$750,000,000, which shall be in addition to funds previously appropriated under this heading that become available on October 1, 2018; and, in addition, \$51,411,165,000] \$56,158,015,000, plus reimbursements, shall become available on October 1, [2019] 2020, and shall remain available until September 30, [2020] 2021: Provided, That, of [the] such amount [made available on October 1, 2019, under this heading], \$1,500,000,000 shall remain available until September 30, [2021] 2022: Provided further, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall establish a priority for the provision of medical treatment for veterans who have service-connected disabilities, lower income, or have special needs: Provided further, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall give priority funding for the provision of basic medical benefits to veterans in enrollment priority groups 1 through 6: Provided further, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs may authorize the dispensing of prescription drugs from Veterans Health Administration facilities to enrolled veterans with privately written prescriptions based on requirements established by the Secretary: Provided further, That the implementation of the program described in the previous proviso shall incur no additional cost to the Department of Veterans Affairs: Provided further, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading for medical supplies and equipment are available for the acquisition of prosthetics designed specifically for female veterans. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)**

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-0160-0-1-703	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	7,868	284	284
0198 Permanent reduction adjustment .....	-7,380		
0198 Reconciliation adjustment .....	-216		
0199 Balance, start of year .....	272	284	284
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Pharmaceutical Co-payments, MCCF .....	433	436	440
1130 Medical Care Collections Fund, Third Party Prescription Claims .....	112	122	127
1130 Enhanced-use Lease Proceeds, MCCF .....	1	1	1
1130 Fee Basis 3rd Party MCCF .....	293	354	446
1130 First Party Collections, MCCF .....	174	180	185
1130 Third Party Collections, MCCF .....	2,446	2,459	2,638
1130 Parking Fees, MCCF .....	4	4	4
1130 Compensated Work Therapy, MCCF .....	60	66	66
1130 MCCF, Long-term Care Copayments .....	2	3	3
1140 Payments from Compensation and Pension, MCCF .....	3	2	2
1199 Total current law receipts .....	3,528	3,627	3,912
1999 Total receipts .....	3,528	3,627	3,912
2000 Total: Balances and receipts .....	3,800	3,911	4,196
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Medical Care Collections Fund .....	-3,515	-3,627	-3,912
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	284	284	284

### Program and Financing (in millions of dollars)

Identification code 036-0160-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Outpatient care .....	18,252	19,005	20,940
0002 Inpatient care .....	7,397	7,619	7,703
0004 Mental health care .....	5,537	6,822	7,249
0005 Long-term care .....	3,573	3,641	3,815
0006 Pharmacy .....	7,182	7,508	7,969
0007 Prosthetics care .....	3,347	3,609	3,901
0008 Dental care .....	655	697	765
0009 Rehabilitation .....	697	706	735
0010 Homeless Grants .....	541	601	599
0011 Readjustment Counseling .....	205	221	229
0012 Caregivers (Title I) P.L. 111-163 .....	476	489	700
0013 Prior-Year Recoveries .....	66		
0015 CHAMPVA (VA Portion) .....		329	337
0023 P.L. 113-146, Sec. 301 .....	35	31	14
0029 P.L. 113-146, Prior Year Recoveries .....	2		
0091 Total operating expenses .....	47,965	51,278	54,956
0101 Outpatient care .....	1,008	1,181	402
0102 Inpatient care .....	443	467	158
0103 Mental health care .....	84	89	30
0104 Long-term care .....	160	169	57
0105 Pharmacy .....	50	53	18
0107 Dental care .....	48	51	17
0108 Rehabilitation .....	27	28	10
0109 Readjustment Counseling .....	4	4	1
0191 Total Capital Investment .....	1,824	2,042	693
0799 Total direct obligations .....	49,789	53,320	55,649
0801 Medical Services (Reimbursable) .....	108	137	137
0900 Total new obligations, unexpired accounts .....	49,897	53,457	55,786
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2,055	1,387	1,014
1021 Recoveries of prior year unpaid obligations .....	67		
1050 Unobligated balance (total) .....	2,122	1,387	1,014
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	1,974	750	
1121 Appropriations transferred from other acct [036-5287] ...	3,226	3,256	3,449
1131 Unobligated balance of appropriations permanently reduced .....	-751		
1160 Appropriation, discretionary (total) .....	4,449	4,006	3,449
<b>Advance appropriations, discretionary:</b>			
1170 Advance appropriation .....	44,887	49,161	51,411
1172 Advance appropriations transferred to other accounts [036-0165] .....	-15	-15	-15
1172 Advance appropriations transferred to other accounts [036-0169] .....	-199	-205	-210
1172 Advance appropriations transferred to other accounts [036-0162] .....	-68		
1180 Advanced appropriation, discretionary (total) .....	44,605	48,941	51,186
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	106	135	135
1701 Change in uncollected payments, Federal sources .....	2	2	2
1750 Spending auth from offsetting collections, disc (total) .....	108	137	137
1900 Budget authority (total) .....	49,162	53,084	54,772
1930 Total budgetary resources available .....	51,284	54,471	55,786
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,387	1,014	
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6,969	6,623	8,064
3010 New obligations, unexpired accounts .....	49,897	53,457	55,786
3011 Obligations ("upward adjustments"), expired accounts .....	333		
3020 Outlays (gross) .....	-49,138	-52,016	-53,793
3040 Recoveries of prior year unpaid obligations, unexpired .....	-67		
3041 Recoveries of prior year unpaid obligations, expired .....	-1,371		
3050 Unpaid obligations, end of year .....	6,623	8,064	10,057
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-6	-8
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2

MEDICAL SERVICES—Continued  
Program and Financing—Continued

Identification code 036-0160-0-1-703	2018 actual	2019 est.	2020 est.
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-6	-8	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6,963	6,617	8,056
3200 Obligated balance, end of year .....	6,617	8,056	10,047
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	49,162	53,084	54,772
Outlays, gross:			
4010 Outlays from new discretionary authority .....	43,067	45,844	47,282
4011 Outlays from discretionary balances .....	6,030	6,143	6,482
4020 Outlays, gross (total) .....	49,097	51,987	53,764
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-41	-41	-41
4033 Non-Federal sources .....	-155	-94	-94
4040 Offsets against gross budget authority and outlays (total) ....	-196	-135	-135
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	-2	-2
4052 Offsetting collections credited to expired accounts .....	90		
4060 Additional offsets against budget authority only (total) .....	88	-2	-2
4070 Budget authority, net (discretionary) .....	49,054	52,947	54,635
4080 Outlays, net (discretionary) .....	48,901	51,852	53,629
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	41	29	29
4180 Budget authority, net (total) .....	49,054	52,947	54,635
4190 Outlays, net (total) .....	48,942	51,881	53,658

In 2020, the Administration requests an additional \$4.6 billion over the 2020 advance appropriation of \$75.6 billion for the Department of Veterans Affairs (VA) Medical Care program, consisting of four appropriations: Medical Services, Medical Community Care, Medical Support and Compliance, and Medical Facilities. Each year, VA updates its budget estimates to incorporate the most recent data on health care utilization rates, actual program experience, and other factors, such as economic trends in unemployment and inflation. As a result of these updates, the adjusted budget estimates more accurately reflect the projected medical demands of veterans enrolled in the VA health care system. In 2020, the Budget supports implementation of the VA Maintaining Internal Systems and Strengthening Integrated Outside Networks (MISSION) Act of 2018 (P.L. 115-182) and provides veterans greater choice on where they receive their healthcare—whether at VA or through a private healthcare provider. The Budget consolidates all veterans' community care programs into a single program, reducing bureaucracy and making it easier for veterans to navigate their healthcare needs. As part of the MISSION Act, the Budget supports VA's brand new urgent care benefit and expansion of the Caregivers program to include eligible veterans who incurred or aggravated a serious injury in the line of duty before September 11, 2001. Expansion of the Caregivers program would coincide with new information technology (IT) updates necessary to effectively manage the program.

For 2021, the Budget requests \$87.6 billion in advance appropriations for VA Medical Care. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

With the resources requested for 2020 and 2021, VA will provide the highest quality health care services for veterans. VA estimates it will treat 7.1 million patients in 2020 and 7.1 million patients in 2021. Operation Enduring Freedom, Operation Iraqi Freedom, and Operation New Dawn (OEF/OIF/OND) veterans are expected to be 1,102,752 in 2020 (15.6 percent of the total) and 1,174,404 in 2021 (16.5 percent of the total).

*Medical Care Collections Fund (MCCF).*—VA estimates collections of nearly \$3.6 billion in 2019 and \$3.9 billion in 2020, representing about

five percent of available Medical Care resources in both years. VA has the authority to collect inpatient and outpatient co-payments, medication co-payments, and nursing home co-payments; authority for certain income verification; authority to recover third-party insurance payments from veterans for nonservice-connected conditions; and authority to collect revenue from enhanced use leases. These collections also include those collected from the Compensated Work Therapy Program, Compensation and Living Expenses Program, and the Parking Program.

*Medical Services.*—For Medical Services, the Budget reflects the following discretionary appropriation funding: the 2019 enacted advance appropriation of \$49.2 billion, together with \$750 million provided by the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019 (P.L. 115-244); the 2020 advance appropriation of \$51.4 billion; and the 2021 advance appropriation request of \$56.2 billion. This appropriation provides for a comprehensive, integrated health care delivery system that addresses the needs of eligible veterans and beneficiaries in VA facilities and through non-VA, or community care, providers, including medical centers, outpatient clinic facilities, contract hospitals, State homes, and outpatient programs on a fee basis. Hospital and outpatient care is also provided by the private sector for certain dependents and survivors of veterans under the Civilian Health and Medical Programs for the Department of Veterans Affairs (CHAMPVA). In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Choice Act (P.L. 113-146): \$47 million in unobligated balances remained available at the start of 2019.

**WORKLOAD**

Estimated obligations and workload for seven categories of health care services are shown below: outpatient care, inpatient care, mental health care, long term services and supports, prosthetics care, dental care, and rehabilitation care. In addition, estimated obligations and workload are also shown for six programs: CHAMPVA and other dependent programs, readjustment counseling, Caregivers, pharmacy, the Camp Lejeune Family Member program, and State Home programs.

*Outpatient care.*—Obligations for 2020 are estimated to be \$21,342 million in the Medical Services account and \$6,629 million in the Medical Community Care account for this health service category, which includes funding for ambulatory care in VA hospital-based and community-based clinics.

Estimated operating levels are:

Visits	2018 actual	2019 est.	2020 est.
VA .....	86,115,777	87,126,007	88,300,776
Community Care .....	24,310,319	27,340,917	27,124,437
Total .....	110,426,096	114,466,924	117,425,213

*Inpatient care.*—Obligations for 2020 are estimated to be \$7,861 million in the Medical Services account, and \$3,167 million in the Medical Community Care account.

Estimated operating levels are:

Patients Treated	2018 actual	2019 est.	2020 est.
Acute Hospital, Medicine .....	350,586	350,470	350,482
Acute Hospital, Neurology .....	5,142	4,763	4,424
Acute Hospital, Surgery .....	92,723	89,322	86,122
Acute Hospital (Community Care) .....	177,761	183,074	187,152
Subacute (Intermediate) .....	1,333	1,212	1,069
Total .....	627,545	628,841	629,249

*Mental health care.*—Obligations for 2020 are estimated to be \$7,279 million in the Medical Services account and \$249 million in the Medical Community Care account for the inpatient, residential, and outpatient care of veterans with conditions related to mental illness, including alcohol and drug problems. Mental health services and operations ensure the availability of a range of services, from treatment of a variety of common mental health conditions in primary care to more intensive interventions in specialty mental health programs for more severe and persisting mental health conditions. Specialty services such as evidence-based psychotherapies, intensive outpatient programs, residential rehabilitation treatment, and inpatient care are available to meet the range of veterans' needs.

Estimated operating levels are:

Average Daily Census	2018 actual	2019 est.	2020 est.
Acute Psychiatry .....	2,248	2,159	2,068
Acute Psychiatry (Community Care) .....	496	527	537
Residential Recovery Programs .....	5,701	5,703	5,699
<b>Total .....</b>	<b>8,445</b>	<b>8,389</b>	<b>8,304</b>

**Long term services and supports (LTSS).**—Obligations for 2020 are estimated to be \$3,872 million in the Medical Services account and \$2,724 million in the Medical Community Care account for the care of veteran residents in VA- and community-operated long-term care programs. VA offers a spectrum of geriatric and extended care services to veterans enrolled in its health care system. The spectrum of long-term care services includes non-institutional and institutional services. All VA medical centers provide home- and community-based long-term care programs. The patient-focused approach supports veterans who wish to live safely at home in their own communities for as long as possible.

Estimated operating levels are:

LTSS Facility-Based Services: Average Daily Census	2018 actual	2019 est.	2020 est.
VA Community Living Center (Nursing Home) .....	8,929	8,814	8,699
Community Nursing Home .....	9,809	10,297	10,754
<b>Total .....</b>	<b>18,738</b>	<b>19,111</b>	<b>19,453</b>

LTSS Home & Community-Based Services: Visits/Procedures	2018 actual	2019 est.	2020 est.
Community Adult Day Health Care .....	945,237	920,725	894,102
Community Residential Care .....	103,643	97,777	92,128
Home Hospice Care .....	563,974	576,136	584,308
Home Respite Care .....	282,244	274,395	264,556
Home Telehealth .....	1,830,065	1,739,313	1,643,579
Home-Based Primary Care .....	1,798,749	1,840,637	1,870,686
Homemaker/Home Health Aide Programs .....	12,523,524	13,408,764	14,065,141
Purchased Skilled Home Care .....	1,901,229	1,906,193	1,927,753
Spinal Cord Injury Home Care .....	20,339	20,278	20,267
State Adult Day Health Care .....	14,625	15,583	16,838
VA Adult Day Health Care .....	122,005	107,023	92,849
<b>Total .....</b>	<b>20,105,634</b>	<b>20,906,823</b>	<b>21,472,208</b>

**Prosthetics care.**—Obligations in the Medical Services account for 2020 are estimated to be \$3,901 million for veterans. Prosthetic and Sensory Aids Service is an integrated delivery system designed to provide medically prescribed prosthetic and sensory aids, medical devices, assistive aids, repairs and services to eligible disabled veterans to maximize their independence and enhance their quality of life. This includes, but is not limited to, artificial limbs, hearing aids, and home oxygen; items that improve accessibility such as ramps and vehicle modifications, wheelchairs and mobility aids; and devices surgically placed in the veteran, such as stents.

**Dental care.**—Obligations for 2020 are estimated to be \$782 million in the Medical Services account and \$248 million in the Medical Community Care account for the treatment of veterans who require dental care. Dental care services are provided to eligible veterans with a "medical condition negatively impacted by poor dentition." These patients may include poorly controlled diabetic patients, patients with head or neck cancer, organ transplant patients, and others. Veterans with a 100-percent service-connected disability are eligible for comprehensive dental care as needed. In addition, homeless veterans enrolled in certain residential treatment programs are also eligible for dental treatment.

Estimated operating levels are:

Procedures	2018 actual	2019 est.	2020 est.
VA .....	4,776,921	4,916,245	5,013,772
Community Care .....	594,052	679,137	738,697
<b>Total .....</b>	<b>5,370,973</b>	<b>5,595,382</b>	<b>5,752,469</b>

**Rehabilitation.**—Obligations for 2020 are estimated to be \$782 million in the Medical Services account and \$248 million in the Medical Community Care account for the provision of rehabilitative care, including Blind Rehabilitation and Spinal Cord Injury programs. These services include inpatient and outpatient blind and vision rehabilitation programs, adjustment to blindness counseling, patient and family education, and assistive technology. The mission of Spinal Cord Injury and Disorders (SCI/D) services is to promote the health, independence, quality of life and productivity of individuals with spinal cord injury and disorders through

efficient delivery of acute rehabilitation, psychological, social, vocational, medical and surgical care, professional training, as well as patient and family education.

Estimated operating levels are:

Average Daily Census	2018 actual	2019 est.	2020 est.
Rehabilitative Medicine .....	161	160	159
Blind Rehabilitation .....	252	251	251
Spinal Cord Injury .....	760	761	759
<b>Total .....</b>	<b>1,173</b>	<b>1,172</b>	<b>1,172</b>

**Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) and other Dependent Programs.**—Obligations for 2020 are estimated to be \$337 million in the Medical Services account and \$1,465 million in the Medical Community Care account for pharmacy and medical service personnel for CHAMPVA and other dependent programs.

Estimated operating levels are:

Unique Patients	2018 actual	2019 est.	2020 est.
CHAMPVA In-house Treatment Initiative (CITI) .....	13,236	12,300	11,700
CHAMPVA (excluding CITI) .....	364,664	379,700	412,000
Foreign Medical Program (medical only) .....	3,259	3,700	3,900
Foreign Compensation & Pension Exams .....	223	250	250
Spina Bifida Health Care Benefits Program .....	904	905	905
<b>Total .....</b>	<b>382,286</b>	<b>396,855</b>	<b>428,755</b>

**Readjustment Counseling.**—Obligations in the Medical Services account for 2020 are estimated to be \$230 million. This program provides readjustment counseling services at VA Vet Centers. Vet Centers are community-based counseling centers that provide a wide range of social and psychological services to include: professional readjustment counseling to veterans who have served in a combat zone, military sexual trauma counseling, bereavement counseling for families who experience an active duty death, substance abuse assessments and referral, medical referral, Veterans Benefits Administration (VBA) benefits explanation and referral, and employment counseling. Services are also extended to the family members of eligible veterans for issues related to military service and the readjustment of those veterans.

Estimated operating levels are:

Visits	2018 actual	2019 est.	2020 est.
<b>Total .....</b>	<b>2,118,000</b>	<b>2,287,000</b>	<b>2,470,000</b>

**Caregivers (Title I) Programs.**—Obligations in the Medical Services account for 2020 are estimated to be \$700 million. The Caregivers and Veterans Omnibus Health Services Act of 2010 (P.L. 111–163), authorized VA to provide assistance and support services for Caregivers of eligible veterans. This program provides a wide range of services for primary caregivers to include: a monthly personal caregiver stipend, respite care, access to mental health services, beneficiary travel, and health care benefits through the existing CHAMPVA Program.

Estimated operating levels are:

	2018 actual	2019 est.	2020 est.
Caregiver Stipend (dollars in millions) .....	\$385	\$436	\$583
Participants in the Program of Comprehensive Assistance for Family Caregivers .....	24,412	26,901	35,140

**Pharmacy.**—Obligations in the Medical Services account for 2020 are estimated to be \$7,987 million for pharmacy costs. VA's use of medication therapies is a fundamental underpinning of how VA delivers health care today. VA's primary focus is on diagnosis and treatment in an ambulatory environment and home environment basis with institutional care as the modality of last resort.

Estimated operating levels are:

Number of 30-day Prescriptions (in millions)	2018 actual	2019 est.	2020 est.
<b>Total .....</b>	<b>288</b>	<b>291</b>	<b>295</b>

**Camp Lejeune Family Member Program.**—Obligations in the Medical Community Care account for 2020 are estimated to be \$1 million for the Camp Lejeune Family Member program. The Honoring America's Veterans and Caring for Camp Lejeune Families Act of 2012 (P.L. 112–154) extended eligibility for VA hospital care and medical services to certain veterans who were stationed at Camp Lejeune, North Carolina, for at least 30 days between 1957 and 1987. Family members of such veterans who resided,

MEDICAL SERVICES—Continued

or were in utero, at Camp Lejeune for at least 30 days during that period are eligible for reimbursement of hospital care and medical services for 15 specified illnesses and conditions, and VA is the payer of last resort.

**State Home Programs.**—Obligations in the Medical Community Care account for 2020 are estimated to be \$1,514 million for State Home Programs. State Veterans Homes are facilities approved by VA that a State has established primarily for the care of Veterans disabled by age, disease, or otherwise, who, because of such disability, are incapable of earning a living. VA pays a per diem to States for the care of eligible veterans, but the per diem rates are different for each of the three levels of care offered: Nursing Home Care, Domiciliary Care, or Adult Day Health Care (ADHC).

	2018 actual	2019 est.	2020 est.
Patients Treated			
State Home Nursing	32,528	32,805	33,086
State Home Domiciliary	5,275	5,292	5,326
Average Daily Census (ADHC)	48	49	50

**Public Law 113–146, Veterans Choice Act, Section 801.**— The Veterans Access, Choice, and Accountability Act of 2014 (P.L. 113–146) ("Veterans Choice Act") was enacted on August 7, 2014. The 2020 Budget supports continued implementation of the Veterans Choice Act and the Administration's goal of providing timely, high-quality health care for our Nation's veterans. The Veterans Choice Act provided \$5 billion in mandatory funding in section 801 to increase veterans' access to health care by hiring more physicians and staff and improving the VA's physical infrastructure. Obligations in the Medical Services account for 2020 are estimated to be \$14 million for section 301 activities.

Estimated obligations in the Medical Services account are:

	2018 actual	2019 est.	2020 est.
FTE	37	37	37
Dollars in Millions			
Prior Year Recoveries	2	0	0
Section 301	35	31	14
Total	37	31	14

Object Classification (in millions of dollars)

Identification code 036–0160–0–1–703	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	19,690	20,932	22,222
11.1 Full-time permanent - P.L. 113–146, Sec. 801	6	6	6
11.3 Other than full-time permanent	418	443	471
11.5 Other personnel compensation	2,265	2,409	2,557
11.5 Other personnel compensation - P.L. 113–146, Sec. 801	1	1	1
11.9 Total personnel compensation	22,380	23,791	25,257
12.1 Civilian personnel benefits	7,285	7,828	8,442
12.1 Civilian personnel benefits - P.L. 113–146, Sec. 801	1	1	1
21.0 Travel & Transportation of Persons	1,076	1,120	1,165
22.0 Transportation of things	21	22	23
23.2 Rent, Communications & Utilities	477	514	539
24.0 Printing and reproduction	7	10	10
25.2 Other contractual services	4,489	5,036	6,079
25.2 Other contractual serv. - P.L. 113–146, Sec. 801	27	23	6
26.0 Supplies & Materials	11,526	12,285	12,786
31.0 Equipment	1,823	2,041	692
32.0 Land and structures	1	1	1
41.0 Grants, Subsidies & Contributions	600	640	640
42.0 Insurance claims and indemnities	8	8	8
44.0 Prior-year Recoveries	66		
44.0 Prior Year Recoveries - P.L. 113–146, Sec. 801	2		
99.0 Direct obligations	49,789	53,320	55,649
99.0 Reimbursable obligations	108	137	137
99.9 Total new obligations, unexpired accounts	49,897	53,457	55,786

Employment Summary

Identification code 036–0160–0–1–703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	238,664	249,570	261,433
2001 Reimbursable civilian full-time equivalent employment	484	613	613

MEDICAL COMMUNITY CARE

For necessary expenses for furnishing health care to individuals pursuant to chapter 17 of title 38, United States Code, at non-Department facilities, **[\$1,000,000,000] \$4,521,400,000**, which shall be in addition to funds previously appropriated under this heading that become available on October 1, **[2018] 2019, and shall remain available until September 30, 2021**; and, in addition, **[\$10,758,399,000] \$17,131,179,000**, plus reimbursements, shall become available on October 1, **[2019] 2020**, and shall remain available until September 30, **[2020] 2022** **[ Provided, That, of the amount made available on October 1, 2019, under this heading, \$2,000,000,000 shall remain available until September 30, 2021 ]**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036–0140–0–1–703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Ambulatory	3,278	2,428	6,629
0002 Dental	150	134	248
0003 Inpatient	2,088	2,439	3,167
0004 LTSS: Facility Based Services	1,031	997	1,052
0005 LTSS: Home & Community Based Services	819	898	1,672
0006 Mental Health	30	200	249
0007 CHAMPVA & Other Dependent Programs	1,345	1,387	1,465
0008 State Home Programs	1,312	1,419	1,514
0009 Camp Lejeune, Veterans Families	1	1	1
0013 Prior Year Recoveries	256		
0900 Total new obligations, unexpired accounts	10,310	9,903	15,997

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	470	491	300
1021 Recoveries of prior year unpaid obligations	256		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	727	491	300
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	419	1,000	4,521
1121 Appropriations transferred from other acct [036–5287]	272	354	446
1160 Appropriation, discretionary (total)	691	1,354	4,967
Advance appropriations, discretionary:			
1170 Advance appropriation	9,409	8,385	10,758
1172 Advance appropriations transferred to other accounts [036–0169]	-26	-27	-28
1180 Advanced appropriation, discretionary (total)	9,383	8,358	10,730
1900 Budget authority (total)	10,074	9,712	15,697
1930 Total budgetary resources available	10,801	10,203	15,997
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	491	300	

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1	1,795	2,508	3,065
3010 New obligations, unexpired accounts	10,310	9,903	15,997
3011 Obligations ("upward adjustments"), expired accounts	687		
3020 Outlays (gross)	-9,440	-9,346	-14,263
3040 Recoveries of prior year unpaid obligations, unexpired	-256		
3041 Recoveries of prior year unpaid obligations, expired	-588		
3050 Unpaid obligations, end of year	2,508	3,065	4,799
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,795	2,508	3,065
3200 Obligated balance, end of year	2,508	3,065	4,799

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	10,074	9,712	15,697
Outlays, gross:			
4010 Outlays from new discretionary authority	7,293	7,151	11,702
4011 Outlays from discretionary balances	2,147	2,195	2,561
4020 Outlays, gross (total)	9,440	9,346	14,263
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
4033 Non-Federal sources	-131		
4040 Offsets against gross budget authority and outlays (total)	-132		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	131		

4053	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060	Additional offsets against budget authority only (total) .....	132		
4070	Budget authority, net (discretionary) .....	10,074	9,712	15,697
4080	Outlays, net (discretionary) .....	9,308	9,346	14,263
4180	Budget authority, net (total) .....	10,074	9,712	15,697
4190	Outlays, net (total) .....	9,308	9,346	14,263

The Medical Community Care appropriation provides funding for community care services to eligible veterans, which has been an essential part of the Department of Veterans Affairs (VA) health care system for decades.

The Budget reflects the following discretionary appropriation funding from 2019 through 2021: the 2019 enacted advance appropriation of \$8.4 billion, together with an additional \$1.0 billion as provided by the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019 (Public Law 115–244); the 2020 advance appropriation request of \$10.8 billion and additional funding of \$4.5 billion in support of the VA Maintaining Internal Systems and Strengthening Integrated Outside Networks (MISSION) Act of 2018 (Public Law 115–182); and the 2021 advance appropriation request of \$17.1 billion. The VA MISSION Act of 2018 provides eligible veterans greater choice in where they receive their care.

The 2021 request for advance appropriation fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

**Object Classification** (in millions of dollars)

Identification code 036–0140–0–1–703	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other Contractual Services .....	8,742	8,475	14,483
41.0 State Homes .....	1,312	1,428	1,514
44.0 Prior Year Recoveries .....	256		
99.9 Total new obligations, unexpired accounts .....	10,310	9,903	15,997

**MEDICAL SUPPORT AND COMPLIANCE**

For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities; and administrative and legal expenses of the Department for collecting and recovering amounts owed the Department as authorized under chapter 17 of title 38, United States Code, and the Federal Medical Care Recovery Act (42 U.S.C. 2651 et seq.), \$98,800,000, which shall be in addition to funds previously appropriated under this heading that become available on October 1, 2019; and, in addition, [\$7,239,156,000] \$7,914,191,000, plus reimbursements, shall become available on October 1, [2019] 2020, and shall remain available until September 30, [2020] 2021: Provided, That, of the amount made available on October 1, [2019] 2020, under this heading, [\$100,000,000] \$300,000,000 shall remain available until September 30, [2021] 2022. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 036–0152–0–1–703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 VA Medical Centers & Other Field Activities .....	4,219	4,466	4,578
0002 VISN Headquarters .....	182	192	203
0003 VHA Central Office .....	785	859	940
0004 Consolidated Patient Account Centers .....	19		
0005 Office of Informatics & Analytics .....	171	175	179
0008 Employee Education Service Center .....	66	86	88
0009 VHA Service Center .....	277	254	260
0013 Consolidated Mail Outpatient Pharmacies .....		20	20
0014 National Center for Patient Safety .....	7	9	9
0016 Community Care .....	745	763	850
0017 VHA Member Services .....	187	173	177
0019 Readjustment Counseling .....	5	8	8
0026 P.L. 113–146, Section 301/302 Activities .....		3	3
0030 P.L. 113–146 Prior Year Recoveries .....	1		
0091 Total operating expenses .....	6,664	7,008	7,315

0102	VAMCs & Other Field Activities .....	42	43	45
0109	VHA Service Center .....	1	1	1
0117	VHA Member Services .....	1	1	1
0191	Total Capital Investment .....	44	45	47
0293	Total direct program .....	6,708	7,053	7,362
0799	Total direct obligations .....	6,708	7,053	7,362
0801	Medical Support and Compliance (Reimbursable) .....	30	19	19
0900	Total new obligations, unexpired accounts .....	6,738	7,072	7,381

**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....	91	111	62
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	103		99
1120	Appropriations transferred to other accts [036–0142] .....	–1		
1160	Appropriation, discretionary (total) .....	102		99
<b>Advance appropriations, discretionary:</b>				
1170	Advance appropriation .....	6,654	7,239	7,239
1172	Advance appropriations transferred to other accounts [036–0169] .....	–28	–24	–29
1174	Advance appropriations permanently reduced .....		–211	
1180	Advanced appropriation, discretionary (total) .....	6,626	7,004	7,210
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Collected .....	29	19	19
1701	Change in uncollected payments, Federal sources .....	1		
1750	Spending auth from offsetting collections, disc (total) .....	30	19	19
1900	Budget authority (total) .....	6,758	7,023	7,328
1930	Total budgetary resources available .....	6,849	7,134	7,390
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	111	62	9

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,043	1,132	1,306
3010	New obligations, unexpired accounts .....	6,738	7,072	7,381
3011	Obligations ("upward adjustments"), expired accounts .....	63		
3020	Outlays (gross) .....	–6,588	–6,898	–7,189
3041	Recoveries of prior year unpaid obligations, expired .....	–124		
3050	Unpaid obligations, end of year .....	1,132	1,306	1,498
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–3	–3
3070	Change in uncollected pymts, Fed sources, unexpired .....	–1		
3090	Uncollected pymts, Fed sources, end of year .....	–3	–3	–3
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year .....	1,041	1,129	1,303
3200	Obligated balance, end of year .....	1,129	1,303	1,495

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross .....	6,758	7,023	7,328
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority .....	5,716	6,234	6,505
4011	Outlays from discretionary balances .....	871	658	684
4020	Outlays, gross (total) .....	6,587	6,892	7,189
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources .....	–30	–19	–19
4033	Non-Federal sources .....	–8		
4040	Offsets against gross budget authority and outlays (total) .....	–38	–19	–19
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired .....	–1		
4052	Offsetting collections credited to expired accounts .....	9		
4060	Additional offsets against budget authority only (total) .....	8		
4070	Budget authority, net (discretionary) .....	6,728	7,004	7,309
4080	Outlays, net (discretionary) .....	6,549	6,873	7,170
<b>Mandatory:</b>				
<b>Outlays, gross:</b>				
4101	Outlays from mandatory balances .....	1	6	
4180	Budget authority, net (total) .....	6,728	7,004	7,309
4190	Outlays, net (total) .....	6,550	6,879	7,170

Medical Support and Compliance finances the expenses of management, security, and administration of the Department of Veterans Affairs (VA) healthcare system through the operation of VA medical centers, other facilities, Veterans Integrated Service Network offices and facility director

MEDICAL SUPPORT AND COMPLIANCE—Continued

offices, chief of staff operations, quality of care oversight, legal services, billing and coding activities, procurement, financial management, and human resource management.

For Medical Support and Compliance, the Budget reflects the following discretionary appropriation funding from 2019 through 2021: the 2019 enacted advance appropriation of \$7.2 billion, together with a reduction of \$211 million to reflect the rescission of funds provided by the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019 (Public Law 115–244); the 2020 advance appropriation request of \$7.2 billion and additional funding of \$98.8 million in support of the VA Maintaining Internal Systems and Strengthening Integrated Outside Networks (MISSION) Act of 2018 (Public Law 115–182); and the 2021 advance appropriation request of \$7.9 billion. In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (Public Law 113–146): \$16 million in unobligated balances remained available at the start of 2019.

The 2021 advance appropriations request fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

Object Classification (in millions of dollars)

Identification code 036–0152–0–1–703	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3,327	3,473	3,554
11.1 Full-time permanent - Choice Act, P.L. 113–146, Sec. 801 ....	1	1	1
11.3 Other than full-time permanent .....	60	74	76
11.5 Other personnel compensation .....	382	399	409
11.9 Total personnel compensation .....	3,770	3,947	4,040
12.1 Civilian personnel benefits .....	1,332	1,409	1,464
21.0 Travel & Transportation of Persons .....	59	61	63
22.0 Transportation of things .....	14	14	14
23.3 Communications, utilities, and miscellaneous charges .....	102	105	108
24.0 Printing and reproduction .....	22	23	23
25.2 Other contractual services .....	1,261	1,340	1,491
25.2 Other contractual services - Choice Act, P.L. 113–146, Section 801 .....		2	2
26.0 Medical supplies and materials .....	96	99	102
31.0 Equipment .....	43	44	46
32.0 Land and structures .....	1	1	1
42.0 Insurance claims and indemnities .....	8	8	8
99.0 Direct obligations .....	6,708	7,053	7,362
99.0 Reimbursable obligations .....	30	19	19
99.9 Total new obligations, unexpired accounts .....	6,738	7,072	7,381

Employment Summary

Identification code 036–0152–0–1–703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	52,273	54,113	54,722
2001 Reimbursable civilian full-time equivalent employment .....	170	170	170

DOD-VA HEALTH CARE SHARING INCENTIVE FUND

Program and Financing (in millions of dollars)

Identification code 036–0165–0–1–703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 DOD-VA health care sharing incentive fund .....	14	22	22
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	44	62	70
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	46	62	70
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1121 Appropriations transferred from other acct [097–0130] ....	15	15	15

<b>Advance appropriations, discretionary:</b>			
1173 Advance appropriations transferred from other accounts [036–0160] .....	15	15	15
1900 Budget authority (total) .....	30	30	30
1930 Total budgetary resources available .....	76	92	100
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	62	70	78
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	35	23	15
3010 New obligations, unexpired accounts .....	14	22	22
3020 Outlays (gross) .....	–24	–30	–30
3040 Recoveries of prior year unpaid obligations, unexpired .....	–2		
3050 Unpaid obligations, end of year .....	23	15	7
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	35	23	15
3200 Obligated balance, end of year .....	23	15	7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	30	30	30
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	24	30	30
4180 Budget authority, net (total) .....	30	30	30
4190 Outlays, net (total) .....	24	30	30

The purpose of the Department of Defense-Veterans Affairs Health Care Sharing Incentive Fund, often referred to as the Joint Incentive Fund (JIF), is to enable the Departments to carry out a program to identify and provide incentives to implement creative sharing initiatives at the facility, intra-regional and nationwide levels. The JIF promotes collaboration and new approaches to problem solving to enable the Departments to improve the coordination of health care services. The Departments have established the fund and developed processes and criteria to solicit and select projects. Section 721 of the National Defense Authorization Act for Fiscal Year 2003, Public Law 107–314, established the fund and requires the Departments to establish a joint incentive program. In 2020, each Secretary shall contribute a minimum of \$15 million to the fund after the appropriation is enacted.

Object Classification (in millions of dollars)

Identification code 036–0165–0–1–703	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
25.1 Advisory and assistance services .....	7	12	12
26.0 Supplies and materials .....	1	3	3
31.0 Equipment .....	3	4	4
99.9 Total new obligations, unexpired accounts .....	14	22	22

Employment Summary

Identification code 036–0165–0–1–703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	23	20	20

MEDICAL FACILITIES

For necessary expenses for the maintenance and operation of hospitals, nursing homes, domiciliary facilities, and other necessary facilities of the Veterans Health Administration; for administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction, and renovation of any facility under the jurisdiction or for the use of the Department; for oversight, engineering, and architectural activities not charged to project costs; for repairing, altering, improving, or providing facilities in the several hospitals and homes under the jurisdiction of the Department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; for leases of facilities; and for laundry services; \$90,180,000, which shall be in addition to funds previously appropriated under this heading that become available on October 1, 2018; and, in addition, \$6,141,880,000 \$6,433,265,000, plus reimbursements, shall become available on October 1, [2019] 2020, and shall remain available until September 30, [2020] 2021: Provided, That, of [the] such amount [made available on October

1, 2019, under this heading], \$250,000,000 shall remain available until September 30, [2021] 2022. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 036-0162-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Engineering & Environmental Management Services .....	663	739	824
0003 Engineering Service .....	858	867	1,256
0004 Grounds Maintenance & Fire Protection .....	108	124	142
0005 Leases .....	901	752	802
0007 Non-Recurring Maintenance .....	30		
0008 Operating Equipment Maintenance & Repair .....	233	233	233
0009 Other Facilities Operation Support .....	36	36	36
0011 Plant Operation .....	761	762	1,151
0012 Recurring Maintenance & Repair .....	523	523	523
0013 Textile Care Processing & Management .....	163	163	163
0014 Transportation .....	190	201	213
0023 Prior-Year Recoveries .....	7		
0026 P.L. 113-146, Leases .....		13	
0029 P.L. 113-146, Sec. 301 .....		1	2
0030 P.L. 113-146, Prior year Recoveries .....	15		
0091 Total operating expenses .....	4,488	4,414	5,345
0102 Engineering & Environmental Management Services .....	27	35	23
0103 Engineering Service .....	18	24	15
0104 Grounds Maintenance & Fire Protection .....	5	7	4
0105 Leases .....		281	117
0106 Non-Recurring Maintenance .....	1,589	1,760	1,176
0107 Operating Equipment Maintenance & Repair .....	14	18	12
0108 Other Facilities Operation Support .....	6	8	5
0109 Plant Operation .....	30	39	25
0110 Recurring Maintenance & Repair .....	13	17	11
0111 Textile Care Processing & Management .....	38	49	32
0122 Transportation .....	6	8	5
0125 P.L. 113-146, Leases .....	1		
0127 P.L. 113-146, Non-Recurring Maintenance .....	9		
0128 P.L. 113-146, Section 301 .....		2	
0191 Total capital investment .....	1,756	2,248	1,425
0799 Total direct obligations .....	6,244	6,662	6,770
0801 Medical Facilities (Reimbursable) .....	17	18	18
0900 Total new obligations, unexpired accounts .....	6,261	6,680	6,788
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	52	1,078	1,182
1021 Recoveries of prior year unpaid obligations .....	21		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	74	1,078	1,182
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,782	890	
Advance appropriations, discretionary:			
1170 Advance appropriation .....	5,435	5,914	6,142
1172 Advance appropriations transferred to other accounts [036-0169] .....	-37	-38	-39
1173 Advance appropriations transferred from other accounts [036-0160] .....	68		
1180 Advanced appropriation, discretionary (total) .....	5,466	5,876	6,103
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	15	18	18
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	17	18	18
1900 Budget authority (total) .....	7,265	6,784	6,121
1930 Total budgetary resources available .....	7,339	7,862	7,303
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,078	1,182	515
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,946	3,633	3,465
3010 New obligations, unexpired accounts .....	6,261	6,680	6,788
3011 Obligations ("upward adjustments"), expired accounts .....	173		
3020 Outlays (gross) .....	-5,541	-6,848	-6,407
3040 Recoveries of prior year unpaid obligations, unexpired .....	-21		
3041 Recoveries of prior year unpaid obligations, expired .....	-185		
3050 Unpaid obligations, end of year .....	3,633	3,465	3,846
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		

3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,945	3,631	3,463
3200 Obligated balance, end of year .....	3,631	3,463	3,844

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	7,265	6,784	6,121
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,750	4,568	4,591
4011 Outlays from discretionary balances .....	1,584	2,151	1,756
4020 Outlays, gross (total) .....	5,334	6,719	6,347
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-8	-10	-10
4033 Non-Federal sources .....	-20	-8	-8
4040 Offsets against gross budget authority and outlays (total) ....	-28	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	13		
4060 Additional offsets against budget authority only (total) .....	11		
4070 Budget authority, net (discretionary) .....	7,248	6,766	6,103
4080 Outlays, net (discretionary) .....	5,306	6,701	6,329
<b>Mandatory:</b>			
Outlays, gross:			
4101 Outlays from mandatory balances .....	207	129	60
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4170 Outlays, net (mandatory) .....	206	129	60
4180 Budget authority, net (total) .....	7,248	6,766	6,103
4190 Outlays, net (total) .....	5,512	6,830	6,389

Medical Facilities provides for the operations and maintenance of the capital infrastructure required to provide healthcare to the Nation's veterans. These costs include utilities, engineering, capital planning, leases, laundry services, grounds maintenance, trash removal, housekeeping, fire protection, pest management, facility repair and maintenance, and property disposition and acquisition.

For Medical Facilities, the Budget reflects the following discretionary appropriation funding from 2019 through 2021: the 2019 advance appropriation of \$5.9 billion and an annual appropriation of \$890 million provided in the Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019 (P.L. 115-244); the 2020 advance appropriation of \$6.1 billion; and the 2021 advance appropriation request of \$6.4 billion. In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (P.L. 113-146): \$21 million in unobligated balances remained available at the start of 2019.

The 2021 advance appropriation request fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

**Object Classification** (in millions of dollars)

Identification code 036-0162-0-1-703	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,197	1,262	1,298
11.3 Other than full-time permanent .....	25	26	27
11.5 Other personnel compensation .....	138	146	150
11.9 Total personnel compensation .....	1,360	1,434	1,475
12.1 Civilian personnel benefits .....	486	514	539
21.0 Travel & Transportation of Persons .....	42	45	48
22.0 Transportation of things .....	17	18	18
23.2 Rent, Communications & Utilities .....	1,276	1,370	1,422
23.2 Rent, Communications & Utilities - P.L. 113-146, Sec. 801 .....		13	
25.2 Other Contractual Services .....	728	652	1,461
25.2 Other Contractual Services - P.L. 113-146, Sec. 801 .....		1	2
26.0 Supplies & Materials .....	339	348	361
31.0 Equipment .....	109	112	41

MEDICAL FACILITIES—Continued  
Object Classification—Continued

Identification code 036-0162-0-1-703	2018 actual	2019 est.	2020 est.
32.0 Lands & Structures .....	1,836	2,134	1,384
32.0 Lands & Structures - P.L. 113-146, Sec. 801 .....	10	2	
42.0 Insurance claims and indemnities .....	19	19	19
44.0 Prior Year Recoveries .....	7		
44.0 P.L. 113-146, Section 801 - Prior Year Recoveries .....	15		
99.0 Direct obligations .....	6,244	6,662	6,770
99.0 Reimbursable obligations .....	17	18	18
99.9 Total new obligations, unexpired accounts .....	6,261	6,680	6,788

Employment Summary

Identification code 036-0162-0-1-703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	24,389	25,008	25,602
2001 Reimbursable civilian full-time equivalent employment .....	133	107	107

MEDICAL AND PROSTHETIC RESEARCH

For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by chapter 73 of title 38, United States Code, **[\$779,000,000] \$762,000,000**, plus reimbursements, **[shall] to remain available until September 30, [2020] 2021 [**: *Provided*, That of the amount made available under this heading, **\$27,000,000 shall remain available until September 30, 2023: *Provided further***, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading are available for prosthetic research specifically for female veterans, and for toxic exposure research]. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-0161-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Bio-medical laboratory science research .....	289	303	298
0002 Rehabilitation research .....	106	114	117
0003 Health services research .....	99	123	118
0004 Clinical science research .....	212	278	251
0091 Total operating expenses .....	706	818	784
0799 Total direct obligations .....	706	818	784
0801 Medical and Prosthetic Research (Reimbursable) .....	27	56	55
0900 Total new obligations, unexpired accounts .....	733	874	839

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	96	145	105
1021 Recoveries of prior year unpaid obligations .....	32		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	129	145	105
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	722	779	762
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	26	55	55
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	27	55	55
1900 Budget authority (total) .....	749	834	817
1930 Total budgetary resources available .....	878	979	922
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	145	105	83

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	282	304	387
3010 New obligations, unexpired accounts .....	733	874	839
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-672	-791	-801
3040 Recoveries of prior year unpaid obligations, unexpired .....	-32		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	304	387	425

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	280	302	385
3200 Obligated balance, end of year .....	302	385	423

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	749	834	817
Outlays, gross:			
4010 Outlays from new discretionary authority .....	352	578	580
4011 Outlays from discretionary balances .....	320	213	221
4020 Outlays, gross (total) .....	672	791	801
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-39	-39
4033 Non-Federal sources .....	-16	-16	-16
4040 Offsets against gross budget authority and outlays (total) ....	-28	-55	-55
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4052 Offsetting collections credited to expired accounts .....	1		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	722	779	762
4080 Outlays, net (discretionary) .....	644	736	746
4180 Budget authority, net (total) .....	722	779	762
4190 Outlays, net (total) .....	644	736	746

For 2020, the total budgetary resources of over \$2 billion is comprised of \$762 million in direct appropriations, \$648 million in medical care support such as physicians' pay, utilities and other overhead, \$570 million in Federal grants and other non-Federal resources, and \$55 million in reimbursements. The Department of Veterans Affairs' (VA) research program will support 3,165 full time equivalents through direct appropriations and reimbursable resources. These combined resources will support 2,200 research projects.

This account is an intramural research program with outstanding success leading to critical clinical achievements that improve the health and quality of life for veterans and the Nation. As a health research program focused exclusively on the needs of veterans, VA research continues to play a vital role in the care and rehabilitation of our men and women who have served in uniform. Building on more than 90 years of discovery and innovation engaging veterans as research volunteers, VA research has a proud track record of transforming VA healthcare by bringing new evidence-based treatments and technologies into everyday clinical care. In 2020, VA will continue to invest in several cross-cutting clinical priorities, including suicide prevention, opioid safety and pain management, post-traumatic stress disorder (PTSD), traumatic brain injury (TBI), mental health, and Gulf War Illness. VA research has led the way in developing effective treatments, behavioral interventions, and devices in clinical trials. These investments support VA's strong track record of facilitating the implementation of research findings directly into clinical practice.

SUMMARY OF PROGRAM RESOURCES

	2018 actual	2019 est.	2020 est.
[in millions of dollars]			
Medical and prosthetic research appropriation .....	722	779	762
VA Medical Care Support .....	544	618	648
Other Federal and Non-Federal Resources .....	570	570	570
Reimbursements .....	27	56	55
Total program resources .....	1,863	2,023	2,035

Object Classification (in millions of dollars)

Identification code 036-0161-0-1-703	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	233	243	248

11.3	Other than full-time permanent .....	7	8	8
11.5	Other personnel compensation .....	4	5	5
11.9	Total personnel compensation .....	244	256	261
12.1	Civilian personnel benefits .....	88	95	96
21.0	Employee travel .....	5	5	5
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	281	362	343
26.0	Supplies and materials .....	37	39	37
31.0	Equipment .....	50	59	40
99.0	Direct obligations .....	706	818	784
99.0	Reimbursable obligations .....	27	56	55
99.9	Total new obligations, unexpired accounts .....	733	874	839

**Employment Summary**

Identification code 036-0161-0-1-703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,972	3,052	3,052
2001 Reimbursable civilian full-time equivalent employment .....	113	113	113

JOINT DEPARTMENT OF DEFENSE-DEPARTMENT OF VETERANS AFFAIRS MEDICAL FACILITY DEMONSTRATION FUND

**Program and Financing** (in millions of dollars)

Identification code 036-0169-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Joint DOD-VA Medical Facility Demonstration Fund (Direct) .....	427	437	459
0801 Joint DOD-VA Medical Facility Demonstration Fund (Reimbursable) .....	15	17	17
0900 Total new obligations, unexpired accounts .....	442	454	476
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	12	8
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [036-0167] ....	8	8	8
1121 Appropriations transferred from other acct [097-0130] ....	116	113	127
1121 Appropriations transferred from other acct [036-5287] ....	17	17	17
1160 Appropriation, discretionary (total) .....	141	138	152
Advance appropriations, discretionary:			
1173 Advance appropriations transferred from other accounts [036-0160] .....	199	205	210
1173 Advance appropriations transferred from other accounts [036-0140] .....	26	27	28
1173 Advance appropriations transferred from other accounts [036-0152] .....	28	24	29
1173 Advance appropriations transferred from other accounts [036-0162] .....	37	38	39
1180 Advanced appropriation, discretionary (total) .....	290	294	306
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	15	18	16
1900 Budget authority (total) .....	446	450	474
1930 Total budgetary resources available .....	454	462	482
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	8	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	89	83	88
3010 New obligations, unexpired accounts .....	442	454	476
3011 Obligations ("upward adjustments"), expired accounts .....	12		
3020 Outlays (gross) .....	-431	-449	-472
3041 Recoveries of prior year unpaid obligations, expired .....	-29		
3050 Unpaid obligations, end of year .....	83	88	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	89	83	88
3200 Obligated balance, end of year .....	83	88	92
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	446	450	474
Outlays, gross:			
4010 Outlays from new discretionary authority .....	366	407	427

4011	Outlays from discretionary balances .....	65	42	45
4020	Outlays, gross (total) .....	431	449	472
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-15	-17	-15
4033	Non-Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ...	-16	-18	-16
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4070	Budget authority, net (discretionary) .....	431	432	458
4080	Outlays, net (discretionary) .....	415	431	456
4180	Budget authority, net (total) .....	431	432	458
4190	Outlays, net (total) .....	415	431	456

The Department of Veterans Affairs (VA) and the Department of Defense (DOD) will each contribute funding to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of Public Law 111-84, the National Defense Authorization Act for Fiscal Year 2010. This funding will support the continuing operations of the Captain James A. Lovell Federal Health Care Center (FHCC), which opened on December 20, 2010. In 2019 and 2020, VA expects to transfer funds from the Medical Services, Medical Community Care, Medical Support and Compliance, Medical Facilities, and Information Technology Systems accounts, while DOD expects to transfer funds from the Defense Health Program account.

**Object Classification** (in millions of dollars)

Identification code 036-0169-0-1-703	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	177	186	195
12.1	Civilian personnel benefits .....	60	60	60
21.0	Travel and transportation of persons .....	4		
23.3	Communications, utilities, and miscellaneous charges .....	10	10	10
25.1	Advisory and assistance services .....	83	90	90
26.0	Supplies and materials .....	66	70	79
31.0	Equipment .....	9	2	9
32.0	Land and structures .....	18	19	20
99.0	Direct obligations .....	427	437	463
99.0	Reimbursable obligations .....	15	17	13
99.9	Total new obligations, unexpired accounts .....	442	454	476

**Employment Summary**

Identification code 036-0169-0-1-703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,113	2,172	2,172

MEDICAL CARE COLLECTIONS FUND

**Program and Financing** (in millions of dollars)

Identification code 036-5287-0-2-703	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	3,515	3,627	3,912
1120	Appropriations transferred to other accts [036-0160] .....	-3,226	-3,256	-3,449
1120	Appropriations transferred to other accts [036-0169] .....	-17	-17	-17
1120	Appropriations transferred to other acct [036-0140] .....	-272	-354	-446
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The Department of Veterans Affairs has the authority to collect co-payments, which are deposited into the Medical Care Collections Fund (MCCF) account. As allowed by the provisions of the appropriations Act, these receipts are transferred to Medical Services, Medical Community Care, and the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund (Joint Demonstration Fund) where they remain available until expended for the purposes of the account. In 2018, over \$3.5 billion was collected in the MCCF account and transferred to Medical

MEDICAL CARE COLLECTIONS FUND—Continued

Services, Medical Community Care, and the Joint Demonstration Fund to provide healthcare to our veterans. These collections consist of co-payments from veterans for inpatient, outpatient, and nursing home care, and prescribed medications; third-party insurance payments from veterans for nonservice-connected conditions; and collections from enhanced-use leases, the Compensated Work Therapy Program, Compensation and Living Expensed Program, and the Parking Program.

CANTEEN SERVICE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 036-4014-0-3-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable operating expenses .....	356	331	345
0802 Reimbursable direct operations .....	177	165	171
0810 Reimbursable capital investment: Sales program: Purchase of equipment and leasehold .....	11	10	11
0900 Total new obligations, unexpired accounts .....	544	506	527

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	4	17
1021 Recoveries of prior year unpaid obligations .....	.....	2	2
1050 Unobligated balance (total) .....	37	6	19
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	512	517	537
1801 Change in uncollected payments, Federal sources .....	-1	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	511	517	537
1930 Total budgetary resources available .....	548	523	556
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	17	29

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	52	38
3010 New obligations, unexpired accounts .....	544	506	527
3020 Outlays (gross) .....	-521	-518	-538
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-2	-2
3050 Unpaid obligations, end of year .....	52	38	25
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	26	50	36
3200 Obligated balance, end of year .....	50	36	23

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	511	517	537
Outlays, gross:			
4100 Outlays from new mandatory authority .....	455	506	525
4101 Outlays from mandatory balances .....	66	12	13
4110 Outlays, gross (total) .....	521	518	538
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-512	-517	-537
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	1	.....	.....
4170 Outlays, net (mandatory) .....	9	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	9	1	1

The Veterans Canteen Service was established to furnish, at reasonable prices, meals, merchandise, and services necessary for the comfort and well-being of veterans in Department of Veterans Affairs medical facilities.

*Financing.*—Operations will be financed from current revenues.

Object Classification (in millions of dollars)

Identification code 036-4014-0-3-705	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	221	205	213
11.3 Other than full-time permanent .....	5	5	5
11.9 Total personnel compensation .....	226	210	218
12.1 Civilian personnel benefits .....	49	46	46
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	1	1	1
25.2 Other services from non-Federal sources .....	5	5	5
26.0 Supplies and materials .....	260	241	251
31.0 Equipment .....	.....	.....	3
99.9 Total new obligations, unexpired accounts .....	544	506	527

Employment Summary

Identification code 036-4014-0-3-705	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,420	3,500	3,550

VETERANS CHOICE FUND

Program and Financing (in millions of dollars)

Identification code 036-0172-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Veterans Choice Fund - Administrative .....	63	250	227
0002 Veterans Choice Fund - Program .....	4,493	3,608	355
0004 Emergency Non-VA Care .....	3	5	.....
0091 Direct program activities, subtotal .....	4,559	3,863	582
0109 Capital Investment .....	124	.....	.....
0900 Total new obligations, unexpired accounts .....	4,683	3,863	582

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,732	4,645	782
1021 Recoveries of prior year unpaid obligations .....	295	.....	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	2,028	4,645	782
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	7,300	.....	.....
1900 Budget authority (total) .....	7,300	.....	.....
1930 Total budgetary resources available .....	9,328	4,645	782
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,645	782	200

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,784	2,180	2,483
3010 New obligations, unexpired accounts .....	4,683	3,863	582
3020 Outlays (gross) .....	-3,992	-3,560	-2,317
3040 Recoveries of prior year unpaid obligations, unexpired .....	-295	.....	.....
3050 Unpaid obligations, end of year .....	2,180	2,483	748
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,784	2,180	2,483
3200 Obligated balance, end of year .....	2,180	2,483	748

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	7,300	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	476	.....	.....
4101 Outlays from mandatory balances .....	3,516	3,560	2,317
4110 Outlays, gross (total) .....	3,992	3,560	2,317
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-1	.....	.....
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	1	.....	.....
4160 Budget authority, net (mandatory) .....	7,300	.....	.....
4170 Outlays, net (mandatory) .....	3,991	3,560	2,317

4180	Budget authority, net (total)	7,300		
4190	Outlays, net (total)	3,991	3,560	2,317

The Veterans Access, Choice, and Accountability Act of 2014 ("Veterans Choice Act"), Public Law 113–146, provided \$10 billion in mandatory funding through 2017 to establish a temporary program ("Veterans Choice Program") improving veterans' access to health care by allowing eligible veterans who meet certain wait-time or distance standards to use eligible health care providers outside the Department of Veterans Affairs (VA) system. The law directed that this funding be deposited in the Veterans Choice Fund. In July 2015, the Congress provided emergency funding for Hepatitis C (\$500,000,000) and Care in the Community (\$2,848,500,000) by passing Public Law 114–41, the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, which gave VA temporary authority to use Veterans Choice Fund dollars on other programs. This authority ended on October 1, 2015 and did not extend into 2016.

Public Law 115–26 amended the Veterans Choice Act to eliminate the August 7, 2017 sunset date for the Veterans Choice Program, thus allowing the program to operate until all of the money in the Veterans Choice Fund is expended.

Public Law 115–46, the VA Choice and Quality Employment Act of 2017, provided \$2.1 billion in mandatory funding for the Veterans Choice Fund, to remain available until expended. In 2018, Public Law 115–96 provided \$2.1 billion more in mandatory funding, to remain available until expended; and Public Law 115–182, the MISSION Act, provided an additional \$5.2 billion in mandatory funding, to remain available without fiscal year limitation. The MISSION Act provides flexibility of Veterans Choice Fund dollars beginning on March 1, 2019, authorizing permanent authority for other uses. In addition, the MISSION Act sunset the Choice Program in June 2019 and established the new Veterans Community Care Program.

**Object Classification** (in millions of dollars)

Identification code 036–0172–0–1–703	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
22.0	Transportation of things	24	18	
25.2	Other contract services	4,124	3,665	582
26.0	Supplies and materials	116	87	
31.0	Equipment	124	93	
44.0	Prior-year Recoveries	295		
99.9	Total new obligations, unexpired accounts	4,683	3,863	582

**Employment Summary**

Identification code 036–0172–0–1–703	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment	1	

**Trust Funds**

GENERAL POST FUND, NATIONAL HOMES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 036–8180–0–7–705	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year		2	
<b>Receipts:</b>				
<b>Current law:</b>				
1130	General Post Fund, National Homes, Deposits	22	25	26
1140	General Post Fund, National Homes, Interest on Investments	3	3	3
1199	Total current law receipts	25	28	29
1999	Total receipts	25	28	29
2000	Total: Balances and receipts	25	28	31
<b>Appropriations:</b>				
<b>Current law:</b>				
2101	General Post Fund, National Homes	–25	–26	–27
5099	Balance, end of year		2	4

**Program and Financing** (in millions of dollars)

Identification code 036–8180–0–7–705	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Religious, recreational, and entertainment activities	19	23	24
0003	Therapeutic residence maintenance	1	1	1
0900	Total new obligations, unexpired accounts	20	24	25
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	106	112	114
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	107	112	114
<b>Budget authority:</b>				
<b>Appropriations, mandatory:</b>				
1201	Appropriation (special or trust fund)	25	26	27
1930	Total budgetary resources available	132	138	141
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year	112	114	116
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	3	2	4
3010	New obligations, unexpired accounts	20	24	25
3020	Outlays (gross)	–20	–22	–22
3040	Recoveries of prior year unpaid obligations, unexpired	–1		
3050	Unpaid obligations, end of year	2	4	7
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	3	2	4
3200	Obligated balance, end of year	2	4	7
<b>Budget authority and outlays, net:</b>				
<b>Mandatory:</b>				
4090	Budget authority, gross	25	26	27
<b>Outlays, gross:</b>				
4100	Outlays from new mandatory authority		22	22
4101	Outlays from mandatory balances	20		
4110	Outlays, gross (total)	20	22	22
4180	Budget authority, net (total)	25	26	27
4190	Outlays, net (total)	20	22	22
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value	108	90	71
5001	Total investments, EOY: Federal securities: Par value	90	71	52

This fund consists of gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliaries where no general appropriation is available. Public Law 102–54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund (38 U.S.C. chapters 83 and 85).

**Object Classification** (in millions of dollars)

Identification code 036–8180–0–7–705	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
21.0	Travel and transportation of persons	1	1	1
23.3	Communications, utilities, and miscellaneous charges		1	1
25.2	Other services from non-Federal sources	6	6	6
26.0	Supplies and materials	12	14	15
31.0	Equipment	1	2	2
99.9	Total new obligations, unexpired accounts	20	24	25

**BENEFITS PROGRAMS**

*Federal Funds*

COMPENSATION AND PENSIONS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by section 107 and chapters 11, 13, 18, 51, 53, 55, and 61 of title 38, United States Code; pension benefits to or on behalf of veterans as authorized by chapters 15, 51, 53, 55, and 61 of title 38, United States Code; and burial benefits, the Reinstated Entitlement Program for Survivors, emergency and other officers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of title IV of the Servicemembers Civil Relief Act (50 U.S.C. App. 541 et seq.) and for other benefits as authorized by sections 107, 1312, 1977, and 2106, and chapters 23, 51, 53, 55, and 61 of title 38, United States Code, **[\$2,994,366,000, which shall be in addition to funds previously appropriated under this heading that become available on October 1, 2018, to remain available until expended; and, in addition, \$109,017,152,000] \$116,801,316,000, [shall] to become available on October 1, [2019] 2020, and shall remain available until expended: Provided, That not to exceed [\$18,047,000] \$18,147,000 of the amount made available [for fiscal year 2020] under this heading shall be reimbursed to "General Operating Expenses, Veterans Benefits Administration", and "Information Technology Systems" for necessary expenses in implementing the provisions of chapters 51, 53, and 55 of title 38, United States Code, the funding source for which is specifically provided as the "Compensation and Pensions" appropriation: *Provided further,* That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical Care Collections Fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as authorized. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)**

**Program and Financing** (in millions of dollars)

Identification code 036-0102-0-1-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Veterans .....	78,862	86,949	95,043
0102 Survivors .....	6,925	7,333	7,746
0191 Compensation sub-total .....	85,787	94,282	102,789
0200 Other compensation expenses .....	85,787	94,282	102,789
0201 Chapter 18 .....	21	22	22
0202 Clothing allowance .....	123	131	138
0203 Misc assistance (EAI, SAFD) .....	16	17	17
0204 Medical exam pilot program .....	896	1,180	1,549
0205 OBRA payment to VBA and IT .....	3	7	7
0291 Total other compensation expenses .....	1,059	1,357	1,733
0293 Total compensation .....	86,846	95,639	104,522
0302 Veterans .....	3,373	3,384	3,492
0303 Survivors .....	1,844	1,845	1,896
0391 Pensions sub total .....	5,217	5,229	5,388
0401 Reimbursements to GOE, IT and VHA .....	13	11	11
0492 Total pensions .....	5,230	5,240	5,399
0501 Caskets and Urns .....	1	1	1
0502 Burial allowance .....	26	48	50
0503 Burial plots .....	21	21	22
0504 Service-connected deaths .....	76	110	116
0505 Burial flags .....	19	22	22
0506 Headstones and markers .....	96	61	75
0508 Graveliners .....	11	5	7
0509 Pre-Place Crypts .....	31	57	39
0591 Total burial program .....	281	325	332
0900 Total new obligations, unexpired accounts (object class 42.0) .....	92,357	101,204	110,253
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,830	2,098	1,656
1021 Recoveries of prior year unpaid obligations .....	13		
1033 Recoveries of prior year paid obligations .....	493		
1050 Unobligated balance (total) .....	4,336	2,098	1,656
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....		2,994	

Advance appropriations, mandatory:				
1270	Advance appropriation .....	90,119	95,768	109,017
1273	Advance appropriations transferred from other accounts .....		2,000	
1280	Advanced appropriation, mandatory (total) .....	90,119	97,768	109,017
1900	Budget authority (total) .....	90,119	100,762	109,017
1930	Total budgetary resources available .....	94,455	102,860	110,673
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,098	1,656	420
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	New obligations, brought forward, Oct 1 .....	163	7,446	8,426
3010	New obligations, unexpired accounts .....	92,357	101,204	110,253
3020	Outlays (gross) .....	-85,061	-100,224	-109,184
3040	Recoveries of prior year unpaid obligations, unexpired .....	-13		
3050	Unpaid obligations, end of year .....	7,446	8,426	9,495
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	163	7,446	8,426
3200	Obligated balance, end of year .....	7,446	8,426	9,495
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	90,119	100,762	109,017
Outlays, gross:				
4100	Outlays from new mandatory authority .....	81,068	90,680	99,101
4101	Outlays from mandatory balances .....	3,993	9,544	10,083
4110	Outlays, gross (total) .....	85,061	100,224	109,184
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-493		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	493		
4160	Budget authority, net (mandatory) .....	90,119	100,762	109,017
4170	Outlays, net (mandatory) .....	84,568	100,224	109,184
4180	Budget authority, net (total) .....	90,119	100,762	109,017
4190	Outlays, net (total) .....	84,568	100,224	109,184

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	90,119	100,762	109,017
Outlays .....	84,568	100,224	109,184
Legislative proposal, subject to PAYGO:			
Outlays .....			-265
Total:			
Budget Authority .....	90,119	100,762	109,017
Outlays .....	84,568	100,224	108,919

**WORKLOAD**

	2018 actual	2019 est.	2020 est.
Compensation Completed Claims:			
Rating .....	1,241,821	1,277,701	1,322,134
Other Claims .....	2,559,352	2,370,265	2,283,849
Pension Completed Claims:			
Rating .....	134,977	134,709	133,831
Other Non-Rating Claims .....	379,348	344,268	353,386

For 2021, the Budget requests \$116,801,316,000 in advance appropriations for Compensation and Pensions. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235) and prevents our Nation's veterans from being adversely affected by budget delays.

This appropriation provides for the payment of compensation, pension, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with certain birth defects. The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

Miscellaneous benefits provided for are:

(a) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended;

(b) a special allowance (38 U.S.C. 1312) to dependents of certain veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and

(c) payments authorized by the Equal Access to Justice Act.

The appropriation also provides for a program to allow the Department of Veterans Affairs (VA) to perform income matches for certain compensation recipients.

In accordance with Public Law 97–377, the Reinstated Entitlement Program for Survivors (REPS) program restores Social Security benefits to certain surviving spouses or children of veterans who died of service-connected causes.

Legislation is proposed to provide a cost-of-living adjustment comparable to the annual Social Security increase to recipients of disability compensation, dependency and indemnity compensation, and clothing allowances. The increase, effective with payments made on January 1, 2020, is expected to be 2.2 percent.

**AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS**

	2018 actual	2019 est.	2020 est.
<b>Veterans:</b>			
Cases .....	4,652,973	4,849,174	5,033,113
Average payment per case, per year .....	\$16,949	\$17,931	\$18,883
Total obligations (in millions) .....	\$78,862	\$86,949	\$95,042
<b>Survivors:</b>			
Cases .....	417,532	431,839	443,407
Average payment per case, per year .....	\$16,585	\$16,981	\$17,469
Total obligations (in millions) .....	\$6,925	\$7,333	\$7,746
<b>Chapter 18:</b>			
Children .....	1,151	1,145	1,139
Average payment per case, per year .....	\$18,417	\$18,933	\$19,349
Total obligations (in millions) .....	\$21	\$22	\$22
<b>Clothing allowance:</b>			
Number of veterans .....	155,108	160,614	165,993
Average payment per case, per year .....	\$793	\$815	\$833
Total obligations (in millions) .....	\$123	\$131	\$138
<b>Special Allowance for Dependents:</b>			
Cases .....	21	21	21
Average benefit .....	\$2,981	\$3,065	\$3,132
Total obligations (in millions) .....	\$0	\$0	\$0
<b>Equal Access to Justice Act:</b>			
Cases .....	3,033	3,120	3,207
Average benefit .....	\$5,168	\$5,312	\$5,429
Total Obligations (in millions) .....	\$16	\$17	\$17
<b>REPS:</b>			
Cases .....	8	6	5
Average benefit .....	\$11,465	\$11,466	\$11,467
Total Obligations (in millions) .....	\$0	\$0	\$0

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2020, is expected to be 2.2 percent.

**AVERAGE NUMBER OF PENSION CASES AND PAYMENTS**

	2018 actual	2019 est.	2020 est.
<b>Veterans:</b>			
Cases .....	267,261	258,434	256,470
Average payment per case, per year .....	\$12,619	\$13,096	\$13,619
Total obligations (in millions) .....	\$3,373	\$3,384	\$3,493
<b>Survivors:</b>			
Cases .....	195,588	189,371	187,362
Average payment per case, per year .....	\$9,428	\$9,742	\$10,117
Total obligations (in millions) .....	\$1,844	\$1,845	\$1,896

Burial benefits in 2019 provided for: (a) the payment of an allowance of \$780 (plus transportation charges where death occurs under VA care) to reimburse, in part, the burial and funeral expense of an eligible deceased

veteran; (b) the payment of \$780 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance of up to \$2,000 when a veteran dies as a result of a service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery Administration.

**NUMBER OF BURIAL BENEFITS**

	2018 actual	2019 est.	2020 est.
Burial allowance .....	26,702	26,958	27,572
Burial allowances for deaths in Dept. facility .....	28,384	29,015	29,677
Burial plot .....	23,594	26,486	27,090
Service-connected deaths .....	40,148	41,908	43,774
Burial flags .....	477,005	461,805	455,515
Headstones and markers .....	344,245	359,336	358,221
Graveliners .....	10,521	10,982	10,948
Preplaced crypts .....	77,692	102,754	42,932
Caskets and Urns .....	370	390	411

**COMPENSATION AND PENSIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 036–0102–4–1–701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Prohibition of entitlement to VA's IU benefit for individuals serving in the Reserve Components .....			–7
0104 Clarify evidentiary threshold for ordering VA examinations .....			–233
0109 Round-down the computation of the annual COLA for service-connected compensation and DIC for five years .....			–34
0191 Compensation sub-total .....			–274
0293 Total compensation .....			–274
0301 Reissue VA Benefit Payments to all Victims of Fiduciary Misuse .....			6
0391 Pensions sub total .....			6
0492 Total pensions .....			6
0501 Spousal and Dependent Inscriptions on Veteran Headstones and Markers .....			1
0505 VA to provide OBR's for each new casketed gravesite in VA cemetery that receives grant from VCGP .....			2
0591 Total burial program .....			3
0900 Total new obligations, unexpired accounts (object class 42.0) .....			–265
1941 Memorandum (non-add) entries: Unexpired unobligated balance, end of year .....			265
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			–265
3020 Outlays (gross) .....			265

**Budget authority and outlays, net:**

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			–265
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			–265

*Prohibition of Entitlement to VA's Individual Unemployability Benefit for Individuals Serving in the Reserve Components.* VA seeks to amend 38 U.S.C. section 1163 to prohibit entitlement to total disability ratings for compensation based on individual unemployability if the individual is concurrently performing duties in the reserve components and receiving active service pay from such duty. Benefit savings are estimated to be \$6.7 million in 2020, \$81.8 million over five years, and \$302.7 million over ten years.

*Allow for Electronic Decision Notification, and Other Communications.* VA seeks to amend provisions in 38 U.S.C. sections 7105 and 5104 to specifically allow for electronic notification of result of initial benefits review/determinations. VA also seeks to amend 38 U.S.C. section 101, updating definitions to allow for electronic communication between VA,

## COMPENSATION AND PENSIONS—Continued

veterans, claimants, and other entities, where practicable, in the course of adjudicating claims. These changes are necessary to allow VA, veterans, and claimants to utilize modern electronic methods of communication to deliver timely and efficient decisions on claims. No benefits costs are associated with this proposal.

*VA Schedule for Rating Disability (VASRD) Effective Dates.* VA seeks to amend 38 U.S.C. section 1155 so that, when VASRD is readjusted, such changes would apply to any new or pending claims and may include action to decrease an existing evaluation. VA's current practice is that, when there are changes to VASRD, an increased evaluation for a disability cannot be granted under the old rating criteria; in addition, a disability evaluation cannot be decreased under the new rating criteria unless there has been a demonstrated improvement in the condition. This proposal would reduce the administrative burden of having adjudicators apply the old and new sets of criteria to claims and make VASRD easier to understand and apply for veterans and their representatives. VA would not adjust veterans' ratings downward on its own initiative, but would adjust ratings accordingly upon the veteran's request. This proposal would result in savings; however, insufficient data are available to estimate if savings would be significant.

*Modernize VA's Records Management Program.* VA seeks to amend title 38, U.S.C. by creating a new section 5707 to codify VBA's procedures with respect to imaged source paper files, input records, reports, or other documents under the Records Control Schedule required by title 44, U.S.C. No benefit costs are associated with this proposal.

*Clarify Evidentiary Threshold for Ordering VA Examinations.* VA seeks to amend 38 U.S.C. section 5103A(d)(2) to clarify the evidentiary threshold at which VA, under its duty to assist obligation in section 5103A, is required to request a medical examination for compensation claims. This proposal aims to eliminate delays in claims processing by establishing a more reasonable policy in determining when a VA examination is warranted in connection with a claim for compensation. In recent years, the courts have held that evidence necessary to satisfy the requirements of 38 U.S.C. section 5103A(d)(2) is much lower than VA or Congress contemplated. This proposed change would require, in general, the existence of objective evidence of an in-service event, injury, or disease to trigger VA's duty to request an examination under 38 U.S.C. section 5103A(d)(2). Benefit savings are estimated to be \$233.1 million in 2020, \$1.3 billion over five years, and \$2.8 billion over ten years.

*Elimination of Payment of Benefits to the Estates of Deceased Nehmer Class Members and to the Survivors of Certain Class Members.* VA seeks to amend 38 U.S.C. section 1116 to eliminate payment of benefits to the estates of deceased Nehmer class members and to survivors of certain class members when such benefits are the result of presumptions of service connection established pursuant to section 1116 for diseases associated with exposure to certain herbicide agents. This proposal would prevent the allocation of extensive resources to claims not contemplated by Congress and avoids potential for creating or substantially adding to VA's backlog. No benefits costs are associated with this proposal.

*Attendance at Transition Assistant Program (TAP) or Access to eBenefits Meets the Requirements to Notify Veterans by Letter of VA Benefits and Services.* VA seeks to amend 38 U.S.C. section 6303(b) to authorize VA to use TAP and eBenefits to notify each transitioning veteran by the most effective means available, including electronic communication or notification in writing, of all VA benefits and services for which they may be eligible. Currently, statute requires VA to send each veteran a letter with benefits information and to contact in-person or by telephone veterans who do not have a high school education or equivalent at the time of discharge or release. For those Veterans discharged or separated without a high-school diploma, this proposal would also eliminate the requirement for VA to make in-person or telephone contact regarding their eligibility for benefits, except in instances where they did not attend a TAP briefing or were not receiving information through eBenefits. No benefit costs are associated with this proposal.

*Automatic Annual Cost-of-Living Adjustments (COLA) for Disability Compensation and Dependency and Indemnity Compensation (DIC).* VA seeks to amend 38 U.S.C. sections 1104, 1303, and 5312 to provide for the annual COLA to be made automatically by law each year in the rates of disability compensation and DIC. The amendment would also provide for automatic COLA for clothing allowance and the additional compensation for dependents. No benefits costs are associated with this proposal.

*Extend the Authority for Operations of the Manila VA Regional Office.* VA seeks to extend the authority currently provided by 38 U.S.C. section 315(b) to maintain the operations of the Manila Regional Office to December 31, 2023. No benefit costs are associated with this proposal.

*Round-Down of the Computation of the Cost of Living Adjustment (COLA) for Service-Connected Compensation and Dependency and Indemnity Compensation (DIC) for Five Years.* VA seeks to amend 38 U.S.C. sections 1104(a) and 1303(a) to round-down COLA computations from 2020 to 2024. Savings are estimated to be \$34.3 million in 2020 and \$637.6 million over five years. This proposal would reinstate the round-down for five years; however, the cumulative effect of rounding-down COLAs for five years will total \$2.0 billion in savings over ten years.

*Reimbursement of Credentialing Costs.* VA seeks to amend 38 U.S.C. section 5729 to collect a reasonable fee necessary to offset the costs of performing background and other investigations needed for credentialing accredited attorneys and claims agents before they can access the automated VBA records of claimants whom they represent. No benefit costs are associated with this proposal.

*Reissue VA Benefit Payments to all Victims of Fiduciary Misuse.* VA seeks to amend 38 U.S.C. section 6107, which authorizes VA to reissue benefits to a beneficiary if the beneficiary's VA-appointed fiduciary misuses the beneficiary's benefits. This proposal would ensure equal treatment of all beneficiaries who are victims of misuse regardless of the nature and scope of the fiduciary's business or the fiduciary's relationship with the beneficiary. Benefit costs are estimated to be \$6.2 million in 2020, \$34.0 million over five years, and \$77.0 million over ten years.

*Allow VA Contractors and Vendors Access to Federal Tax Return Information for Purposes of Assisting in the Administration of Certain VA Benefit Programs and Maintaining the Systems that VA uses to Administer these Programs.* VA seeks to amend 26 U.S.C. 6103(l)(7)(A) and (B) in order to allow VA contractors and vendors access to tax return information that is disclosed to VA. Under this proposal, VA personnel would continue to make determinations of entitlement for income-based programs and other discretionary decisions based upon the Federal tax information. However, VA could rely on contractors and vendors to help develop and maintain the information technology systems VA uses to administer needs-based programs. This proposal would eliminate the need for VA to create a complex information technology solution to mitigate contractor access to VA systems and benefits-related documents that contain tax information. No benefits costs are associated with this proposal.

*Remove Annual Income from Net Worth Calculation.* VA seeks to amend 38 U.S.C. sections 1522 and 1543 to remove the requirement that VA consider annual income in its net worth determinations. VA's intent with this proposal is to allow more fair and consistent net-worth calculations and to promote pension automation with respect to net worth calculations. Benefit costs are estimated to be insignificant.

*Authorize VA to Rely on Unearned and Earned Income Information Supplied by the IRS and Earned Income Information Available from the SSA for Purposes of Determining Eligibility for its Needs-Based Pension Program, and Eliminate the Need for VA to Independently Verify the Information.* VA seeks to amend 38 U.S.C. 5317(b) and (e) to authorize VA to rely on information it obtains from the Internal Revenue Service (IRS) and Social Security Administration (SSA) when making initial pension eligibility determinations. VA also proposes to remove the requirement that VA independently verify the information. No benefits costs are associated with this proposal.

Authorize VA to Pay a Flat Rate for the Costs of Domestic Transportation of a Deceased Veteran to a National Cemetery. VA seeks to amend 38 U.S.C. section 2308 to authorize VA to pay a one-time, inflation-indexed, flat-rate benefit for the domestic transportation of a deceased veteran to a national cemetery. This would allow VA to automate adjudication and payment of these claims, providing more timely benefits to survivors without requiring them to prove their actual expenses during a difficult and vulnerable period of transition. No benefit costs are associated with this proposal.

Extension of Reduced Pension for Certain Veterans and Survivors Covered by Medicaid Plans for Services. VA seeks to amend 38 U.S.C. section 5503(d) to extend through September 30, 2029, the limit to \$90 in pension payable to certain recipients of Medicaid-covered nursing home care. This authority protects pension payments from being applied to the cost of the recipient's nursing home care. Public Law 115–182, section 509, last extended this authority to September 30, 2028. Benefit savings would not occur until 2029; therefore, savings would be \$0 over the first five years. Estimated savings over ten years are \$245.1 million.

Spousal and Dependent Inscriptions on Veteran Headstones and Markers. VA seeks to amend 38 U.S.C. section 2306 to allow VA to inscribe, if feasible and upon request, on a Government-furnished headstone or marker for placement on a gravesite in a non-VA cemetery information about the veteran's spouse or dependent child. The proposed applicability date would be to add such an inscription for the headstones and markers of veterans whose date of death is on or after January 1, 2015. Benefit costs are estimated to be \$868 thousand in 2020, \$4.0 million over five years, and \$7.8 million over ten years.

Authorization to Provide Outer Burial Receptacles (OBR) for State and Tribal Organization Cemeteries. VA proposes to amend 38 U.S.C. 2306 to provide an outer burial receptacle (OBR) benefit for any casketed gravesite for eligible veterans and their family members interred in State and tribal veterans cemeteries that received grant funding through VA's Veterans Cemetery Grants Program. This would ensure parity for the OBR benefit for eligible individuals in any cemetery that has a mission to provide burial for veterans and their eligible dependents, and would alleviate the additional financial burden on the families of veterans to purchase an OBR if they choose to bury the veteran or an eligible family member in a State or tribal veterans cemetery. Mandatory costs are estimated to be \$1.9 million in 2020, \$13.5 million over five years, and \$36.2 million over ten years.

Authorization to Pay Cost of Transporting Unclaimed Remains of Veterans to a VA-funded State or Tribal Organization Cemetery. VA seeks to amend title 38 U.S.C. section 2308 to expand the provision of the transportation allowance to cover those unclaimed remains of veterans transported to a VA-funded veterans cemetery owned by a State or Tribal organization. Currently, VA is only authorized to provide an allowance for the cost of transporting the remains to the nearest VA national cemetery. Benefit costs are estimated to be insignificant.

Burial Benefit Eligibility Requirement for Other-Than-Dishonorable Service for Deaths in Active Service. VA seeks to amend title 38 U.S.C. section 2402(a)(1) to require that a servicemember who dies in active service must have been serving under conditions other than dishonorable to be eligible for burial in a national cemetery. This proposal would also amend title 38 U.S.C. section 2306(b)(4)(A) and (g)(2) to impose the same requirement for eligibility for a memorial headstone or marker and amend title 38 U.S.C. section 2301(d) to do the same for eligibility for a burial flag. No benefit costs are associated with this proposal.

Expansion of Eligibility for Government-Furnished Headstone, Marker or Medallion for Medal of Honor Recipients. VA seeks to amend 38 U.S.C. section 2306 to allow VA to furnish or replace a headstone, marker, or medallion for the grave of an eligible Medal of Honor recipient, regardless of the recipient's dates of service, date of death, or location. Benefit costs are estimated to be insignificant.

READJUSTMENT BENEFITS

For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by chapters 21, 30, 31, 33, 34, 35, 36, 39, 41, 51, 53, 55, and 61 of title 38, United States Code, **[\$14,065,282,000]** \$12,578,965,000, to remain available until expended and to become available on October 1, **[2019]** 2020: *Provided*, That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under subsection (a) of section 3104 of title 38, United States Code, other than under paragraphs (1), (2), (5), and (11) of that subsection, shall be charged to this account. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-0137-0-1-702	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Sons and daughters .....	515	695	744
0102 Spouses .....	102	141	159
0191 Total education and training .....	617	836	903
0201 Vocational rehabilitation training .....	790	793	810
0202 Subsistence allowance .....	641	695	750
0203 Automobiles and adaptive equipment .....	136	154	164
0204 Housing Grants .....	107	122	129
0205 Housing Technology Grants .....	1	1	1
0291 Total special assistance to disabled veterans .....	1,675	1,765	1,854
0301 Work study .....	47	61	63
0302 Payments to States .....	21	24	24
0303 All-volunteer assistance: Basic benefits and all other .....	10,835	11,323	11,541
0305 Tuition Assistance .....	4	4	4
0306 Licensing and Certification .....	1	1	1
0307 Reporting fees .....	7	11	14
0308 Reimbursement to GOE .....	.....	1	1
0309 Contract Counseling .....	3	6	6
0391 Total All-volunteer assistance and other .....	10,918	11,431	11,654
0799 Total direct obligations .....	13,210	14,032	14,411
0802 Veterans and servicepersons supplementary benefits .....	12	10	9
0803 Chapter 1606 reservists benefits .....	94	87	85
0804 Chapter 1606 reservists supplementary benefits .....	23	21	20
0805 Chapter 1607 reservists benefits .....	3	3	.....
0807 Chapter 33 DoD Reimbursements .....	88	91	93
0899 Total reimbursable obligations .....	220	212	207
0900 Total new obligations, unexpired accounts .....	13,430	14,244	14,618
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6,081	6,825	2,625
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1033 Recoveries of prior year paid obligations .....	241	.....	.....
1050 Unobligated balance (total) .....	6,325	6,825	2,625
Budget authority:			
Appropriations, mandatory:			
Advance appropriations, mandatory:			
1270 Advance appropriation .....	13,709	11,832	14,065
1272 Advance appropriations transferred to other accounts .....	.....	-2,000	.....
1280 Advanced appropriation, mandatory (total) .....	13,709	9,832	14,065
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	221	212	207
1900 Budget authority (total) .....	13,930	10,044	14,272
1930 Total budgetary resources available .....	20,255	16,869	16,897
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6,825	2,625	2,279
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	131	641	813
3010 New obligations, unexpired accounts .....	13,430	14,244	14,618
3020 Outlays (gross) .....	-12,917	-14,072	-14,441
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	641	813	990
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	130	640	812
3200 Obligated balance, end of year .....	640	812	989

READJUSTMENT BENEFITS—Continued  
Program and Financing—Continued

Identification code 036-0137-0-1-702	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	13,930	10,044	14,272
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,705	6,607	11,003
4101 Outlays from mandatory balances .....	6,212	7,465	3,438
4110 Outlays, gross (total) .....	12,917	14,072	14,441
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-221	-212	-207
4123 Non-Federal sources .....	-241		
4130 Offsets against gross budget authority and outlays (total) ....	-462	-212	-207
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	241		
4160 Budget authority, net (mandatory) .....	13,709	9,832	14,065
4170 Outlays, net (mandatory) .....	12,455	13,860	14,234
4180 Budget authority, net (total) .....	13,709	9,832	14,065
4190 Outlays, net (total) .....	12,455	13,860	14,234

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	13,709	9,832	14,065
Outlays .....	12,455	13,860	14,234
Legislative proposal, subject to PAYGO:			
Outlays .....			-27
Total:			
Budget Authority .....	13,709	9,832	14,065
Outlays .....	12,455	13,860	14,207

WORKLOAD—Vocational Rehabilitation and Employment

	2018 actual	2019 est.	2020 est.
Evaluation and planning .....	79,975	78,376	79,159
Rehabilitation services .....	105,841	103,724	104,761
Employment services status .....	26,468	25,939	26,198
Vocational/educational counseling .....	11,978	12,098	12,219

WORKLOAD—Education

	2018 actual	2019 est.	2020 est.
Original claims .....	314,621	373,667	381,140
Adjustments/supplemental claims .....	3,171,356	3,778,184	3,853,748

For 2021, the Budget requests \$12,578,965,000 in advance appropriations for Readjustment Benefits. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235) and prevents our Nation's veterans from being adversely affected by budget delays.

This appropriation finances educational assistance allowances for certain servicemembers, veterans, and for eligible dependents of those: (a) veterans who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicemembers who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. Voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense are included in the Post-Vietnam Era Veterans Education Account.

*The Post-9/11 GI Bill (Chapter 33).*—Public Law 110-252 greatly expanded education benefits beginning on August 1, 2009. Based on length of active duty service and training rate, trainees may be entitled to benefits including: tuition and fees, housing allowance, books and supplies stipend, kickers, and Yellow Ribbon matching payments. Certain active duty members of the Armed Forces may transfer benefits to a spouse or children.

*All volunteer force educational assistance (Montgomery GI Bill).*—Public Law 98-525, enacted October 19, 1984, established two new educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain

members of the Selected Reserve. Public Law 108-375 established a program to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or the Congress, in recognition of the sacrifices that those members make in answering the call to duty. The Readjustment Benefit appropriation pays the basic benefit allowance for veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance, Post-Vietnam Era Veterans Education converters, re-servists, and the National Call to Service Program are financed by payments from the Department of Defense.

*Survivors and Dependents Educational Assistance (Chapter 35).*—Benefits are provided to children and spouses of veterans who died of a service-connected disability or whose service-connected disability is rated permanent and total. In addition, dependents of servicemembers missing in action or interred by a hostile foreign government for more than 90 days are also eligible. The following table provides a comparison of trainees and costs for the Dependents Educational Assistance.

*The Veterans Retraining Assistance Program.*—Established under Public Law 112-56, is a program that, from July 1, 2012 through March 31, 2014, provides up to 12 months of retraining assistance to veterans at least 35 years of age but not more than 60 years of age, who are unemployed, received an honorable discharge and have no eligibility remaining for other education benefits. Veterans participating in this program would receive monthly payments equal to the three-year payment rate under the Montgomery GI Bill (MGIB) chapter 30. Although veterans are no longer training under this program, the Department of Veterans Affairs (VA) is still required to issue equitable relief payments when approved by the Secretary.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

CASELOAD AND AVERAGE COST DATA

	2018 actual	2019 est.	2020 est.
<b>Chapter 33:</b>			
Number of trainees .....	708,069	699,162	691,821
Average cost per trainee .....	\$15,074	\$15,994	\$16,522
Total cost (in millions) .....	\$10,674	\$11,182	\$11,431
<b>Chapter 30:</b>			
Number of trainees .....	26,441	22,545	19,282
Average cost per trainee .....	\$9,876	\$10,065	\$10,242
Total cost (in millions) .....	\$261	\$227	\$197
<b>Chapter 1606:</b>			
Number of trainees .....	48,690	44,716	42,581
Average cost per trainee .....	\$2,369	\$2,421	\$2,474
Total cost (in millions) .....	\$115	\$106	\$103
<b>Chapter 1607:</b>			
Number of trainees .....	696	696	0
Average cost per trainee .....	\$4,498	\$4,651	\$0
Total cost (in millions) .....	\$3	\$3	\$0
<b>Chapter 35 Sons and Daughters:</b>			
Number of trainees .....	90,263	95,322	99,776
Average cost per trainee (in dollars) .....	\$5,701	\$7,292	\$7,460
Total cost (in millions) .....	\$515	\$695	\$744
<b>Chapter 35 Wives and Widow(ers):</b>			
Number of trainees .....	19,497	21,185	23,283
Average cost per trainee (in dollars) .....	\$5,214	\$6,663	\$6,811
Total cost (in millions) .....	\$102	\$141	\$159
<b>Veterans Retraining Assistance Program:</b>			
Number of trainees .....	0		
Average cost per trainee .....	\$0		
Total cost (in millions) .....	\$206	\$0	\$0

*Vocational Rehabilitation and Employment (Chapter 31).*—Servicemembers and veterans with service-connected disabilities receive the assistance necessary to help them prepare for, obtain, and maintain suitable employment. Comprehensive assessments may include interest and aptitude testing as well as specialized assessments such as functional capacity examinations. During the training phase of the program, eligible servicemembers and veterans are provided assistance for necessary training such as tuition, fees, books and supplies at colleges, technical schools and other training programs. A veteran enrolled in training receives a monthly subsistence allowance. Eligible veterans may also receive specialized or adaptive equipment to help them overcome a disability or enable them to compete with non-disabled individuals. At the completion of training, veterans are provided with employment and placement services, including supplies and equipment needed to enter employment, adaptive equipment and workplace accommodations, incentives to employers to reimburse them for hiring and training veterans with disabilities, and two final months of subsistence allowance.

**CASELOAD AND AVERAGE COST DATA**

Chapter 31:	2018 actual	2019 est.	2020 est.
Rehabilitation, Evaluation, Planning and Service cases .....	27,738	27,073	27,344
Number of trainees .....	97,770	95,427	96,381
Average cost per trainee (in dollars) .....	\$14,642	\$15,590	\$16,177
<b>Total cost (in millions) .....</b>	<b>\$1,432</b>	<b>\$1,488</b>	<b>\$1,559</b>

*Specially Adapted Housing Grants.*—Specially adapted housing grants are provided to certain severely disabled veterans. In 2019, the maximum grant amount is \$85,645. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$17,130.

*Specially Adapted Housing Assistive Technology Grants.*—Under the Veterans Benefits Act of 2010 (P.L. 111–275), VA may provide grants of up to \$200,000 per fiscal year to individuals or entities for the development of specially adapted housing assistive technologies and limits to \$1 million the aggregate amount of such grants VA may award in any fiscal year.

*Automobile Grants and Adaptive Equipment.*—Certain disabled veterans are provided with automobile grants with the associated approved adaptive equipment. An allowance is provided to certain service-disabled veterans and servicemembers toward the purchase price of an automobile. The maximum allowance increased to \$21,058.69 in 2019 under The Veterans Benefits Act of 2010 (P.L. 111–275) and will continue to increase based on the CPI-U. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

**CASELOAD AND AVERAGE COST DATA**

	2018 actual	2019 est.	2020 est.
<b>Housing grants:</b>			
Number of housing grants .....	2,609	2,850	2,875
Average cost per grant .....	\$41,027	\$42,895	\$44,926
<b>Total cost (in millions) .....</b>	<b>\$107</b>	<b>\$122</b>	<b>\$129</b>
<b>Housing technology grants:</b>			
Number of housing technology grants .....	3	5	5
Average cost per grant .....	\$199,936	\$200,000	\$200,000
<b>Total cost (in millions) .....</b>	<b>\$6</b>	<b>\$1</b>	<b>\$1</b>
<b>Automobiles or other conveyances:</b>			
Number of conveyances .....	2,057	2,425	2,425
Average benefit .....	\$20,431	\$20,909	\$21,398
<b>Obligations (in millions) .....</b>	<b>\$42</b>	<b>\$51</b>	<b>\$52</b>
<b>Adaptive equipment (including maintenance, repair, and installation for automobiles):</b>			
Number of items .....	7,085	7,247	7,247
Average benefit .....	\$13,203	\$14,323	\$15,538
<b>Obligations (in millions) .....</b>	<b>\$94</b>	<b>\$104</b>	<b>\$113</b>

*Tuition Assistance.*—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for servicemembers. If a service department pays less than 100 percent, a servicemember eligible for the Montgomery GI Bill Active-duty (MGIB) or the Post–9/11 GI Bill (Chapter 33) can elect to receive VA benefits for all or a portion of the remaining expenses. Public Law 108–454 established a program that provides availability of education benefits for payment for national admissions exams and national exams for credit at institutions of higher education.

*The National Exams.*—The benefit allows VA to reimburse for the fee charged for national tests for admission to institutions of higher learning and national tests providing an opportunity for course credit at institutions of higher learning.

*Licensing and Certification Test Payments.*—Under Public Law 106–419, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession, effective March 1, 2001.

*National Call to Service.*—The 2003 National Defense Authorization Act directs the Department of Defense to offer an active duty enlistment option of 15 months plus training time to facilitate interest in National Service. Program participants will be given the opportunity to select one of the following incentives: a \$5,000 enlistment bonus, repayment of student loans up to \$18,000, or one of two education allowances.

*Work-Study.*—Certain veterans, reservists, and dependents pursuing a program of rehabilitation, education or training, who are enrolled as full-time students, can work up to 250 hours per semester, receiving the Federal (\$7.25 as of July 24, 2009) or State minimum wage rate, whichever is higher.

*Payments to States.*—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

*Reporting Fees.*—Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

**Object Classification (in millions of dollars)**

Identification code 036–0137–0–1–702	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	13,209	14,032	14,411
99.0 Reimbursable obligations .....	221	212	207
<b>99.9 Total new obligations, unexpired accounts .....</b>	<b>13,430</b>	<b>14,244</b>	<b>14,618</b>

**READJUSTMENT BENEFITS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 036–0137–4–1–702	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 VA Cap for Flight Training at Public Schools .....			–28
0103 Approve Prep Courses for License and Certification Exams .....			1
0104 COLA Round-Down .....			–1
0106 Restore Entitlement .....			1
0191 Direct program activities, subtotal .....			–27
0799 Total direct obligations .....			–27
0900 Total new obligations, unexpired accounts (object class 41.0) .....			–27
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			27
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			–27
3020 Outlays (gross) .....			27

READJUSTMENT BENEFITS—Continued  
Program and Financing—Continued

Identification code 036-0137-4-1-702	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			-27
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-27

*Require an Individual to Make an Election to Receive Educational Assistance under the Montgomery GI Bill Active Duty (MGIB-AD) Program.* VA seeks to amend 38 U.S.C. section 3011(c)(1) to require an individual to make an election to receive MGIB-AD educational assistance before the Department of Defense (DoD) can begin the \$100 pay reduction. Although this proposal would not directly impact VA's mandatory Readjustment Benefits account, it would decrease the amount withheld from basic pay of servicemembers and deposited into the general fund of the Treasury as a miscellaneous/proprietary receipt. Treasury's receipts are counted as a negative outlay; therefore, reduced collections results in an increase in Federal outlays. VA estimates this proposal would increase Federal outlays by \$50.7 million in 2020, \$196.8 million over five years, and \$300.3 million over ten years.

*Prevent VA from Providing Unlimited Amounts of Payment for Flight Training at Public Schools.* VA seeks to amend 38 U.S.C. section 3313(c) to add a new section (j) to impose tuition and fee payment caps at institutions of higher learning with flight training programs and establish a maximum allowable fee structure for all VA-funded flight programs. Benefit savings are estimated to be \$27.9 million in 2020, \$148.6 million over five years, and \$326.9 million over ten years.

*Approve Preparatory Courses of Licensing and Certification Exams for GI Bill Benefits.* VA seeks to amend 38 U.S.C. section 3002(3)(B) to add a preparatory course for a test that is required to enter into, maintain, or advance in a given vocation or profession. Benefit costs are estimated to be \$1.2 million in 2020, \$5.8 million over five years, and \$11.4 million over ten years.

*Round-Down of the Computation of the Cost-of-Living Adjustment (COLA) for Certain Education Programs for Ten Years.* VA seeks to amend 38 U.S.C. sections 3015(h) and 3564 to round-down COLA computations from 2020 to 2029. This proposal would require that such increases be rounded down to the next lower whole dollar. Benefit savings are estimated to be \$1.3 million in 2020, \$13.2 million over five years, and \$54.3 million over ten years.

*Allow for Extension of a Period of Employment Services under Chapter 31.* VA seeks to amend 38 U.S.C. section 3105(b)(1) to allow a period of employment services to be extended for up to six months for a total of 24 months, if needed, to accomplish rehabilitation. The extended period of service would increase the veteran's marketability and employment options. No benefit costs are associated with this proposal.

*Restoration of Entitlement to Chapter 31 Assistance for Veterans Affected by School Closure or Disapproval.* VA seeks to amend 38 U.S.C. 3699 to extend the restoration of entitlement to educational assistance for veterans affected by school closure or disapproval, implemented by section 109 of the Howard W. Colmery Veterans Educational Assistance Act of 2017 (Forever GI Bill), to apply to vocational rehabilitation programs provided under chapter 31. Benefit costs are estimated to be \$571 thousand in 2020, \$1.8 million over five years, and \$3.6 million over ten years.

*Eliminate Certain Provisions that Limit the Amount of Specially Adapted Housing (SAH) Assistance to Pay for Construction of an Adapted Home.* VA seeks to amend 38 U.S.C. section 2102(a) to eliminate an element of the statutory formula that reduces the amount of grant funds otherwise available to an eligible veteran to construct an adapted housing unit. Benefit costs are estimated to be insignificant.

*Authorize the Secretary to Collect Overpayments of Grant Funds Made under Chapter 21.* VA seeks to amend 38 U.S.C. section 2102 to explicitly authorize the Secretary to collect overpayments made to any veteran or other entity in connection with the acquisition or delivery of Specially Adapted Housing (SAH). This proposed authority is consistent with VA's authority under 38 U.S.C. section 3685 to collect overpayments made in connection with its education programs. Benefit savings are estimated to be insignificant.

*Extension of Authority for Specially Adapted Housing Assistive Technology (SAH-AT) Grant Program.* VA seeks to amend 38 U.S.C. section 2108(g) to extend the authority of the Secretary to administer the SAH Assistive Technology (SAH-AT) grant program through September 30, 2024. Section 203 of Public Law 111-275 (Veterans Benefits Act of 2010) established the SAH-AT grant program with a sunset date of September 30, 2016. Section 122 of Public Law 115-251 last extended this authority through September 30, 2020. Benefit costs associated with this proposal are \$0 in 2020, \$4 million over five years, and \$4 million over ten years.

VETERANS INSURANCE AND INDEMNITIES

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21 [ , ] of title 38, United States Code, [ \$111,340,000 ] \$146,844,000, to remain available until expended, of which \$129,224,000 [ which ] shall become available on October 1, [ 2019 ] 2020 [ , and shall remain available until expended ]. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 036-0120-0-1-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 VMLI Death Claims .....	42	44	43
0012 Payment to Service-Disabled Veterans Insurance .....	88	71	92
0100 Total direct expenses .....	130	115	135
0900 Total new obligations, unexpired accounts .....	130	115	135
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	12		18
Advance appropriations, mandatory:			
1270 Advance appropriation .....	108	109	111
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	6	6
1900 Budget authority (total) .....	127	115	135
1930 Total budgetary resources available .....	130	115	135
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	7	1
3010 New obligations, unexpired accounts .....	130	115	135
3020 Outlays (gross) .....	-124	-121	-135
3050 Unpaid obligations, end of year .....	7	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	7	1
3200 Obligated balance, end of year .....	7	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	127	115	135
Outlays, gross:			
4100 Outlays from new mandatory authority .....	120	115	135
4101 Outlays from mandatory balances .....	4	6	
4110 Outlays, gross (total) .....	124	121	135
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-7	-6	-6
4180 Budget authority, net (total) .....	120	109	129
4190 Outlays, net (total) .....	117	115	129

**WORKLOAD**

	2018 actual	2019 est.	2020 est.
Policy service actions .....	772,305	780,350	749,870
Collections .....	399,615	342,400	293,700
Disability claims .....	28,791	31,860	31,850
Insurance awards .....	155,905	158,540	148,680

For 2021, the Budget requests \$129,224,000 in advance appropriations for Veterans Insurance and Indemnities. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113–235) and prevents our Nation's veterans from being adversely affected by budget delays.

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

The insurance business line administers six life insurance programs, including two trust funds, two public enterprise funds, a trust revolving fund, and Veterans' Mortgage Life Insurance (VMLI), and supervises four additional programs for the benefit of servicepersons, veterans, and their beneficiaries through contracts with a commercial company. All programs are operated on a commercial basis, to the extent possible, consistent with all applicable statutes. The insurance appropriation is the supplemental funding mechanism for the following Government life insurance activities: National Service Life Insurance (NSLI); Service-Disabled Veterans Insurance Fund (S-DVI); and Veterans' Mortgage Life Insurance.

*National Service Life Insurance (NSLI).*—Payments are made to the NSLI fund for certain World War II veterans for: (a) extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for National Service Life Insurance; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

*Payment to Service-Disabled Veterans Insurance Fund (S-DVI).*—Payments are made to the S-DVI fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims on insurance policies issued to veterans with service-connected disabilities.

*Veterans' Mortgage Life Insurance.*—Payments are made to mortgage holders under this program, which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities. The trend in the number and amount of insurance policies in force appears in the following table.

**POLICIES AND INSURANCE IN FORCE**

VMLI Policies	2018 actual	2019 est.	2020 est.
Number of Policies .....	2,614	2,670	2,730
Amount of Insurance (dollars in millions) .....	\$354	\$370	\$381

**Object Classification (in millions of dollars)**

Identification code 036–0120–0–1–701	2018 actual	2019 est.	2020 est.
42.0 Direct obligations: Insurance claims and indemnities .....	126	113	132
99.0 Reimbursable obligations .....	4	2	3
99.9 Total new obligations, unexpired accounts .....	130	115	135

**FILIPINO VETERANS EQUITY COMPENSATION FUND**

**Program and Financing (in millions of dollars)**

Identification code 036–1121–0–1–701	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	56	56	56
1930 Total budgetary resources available .....	56	56	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	56	56	56
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This fund was established under the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (P.L. 110–329), to make payments to eligible persons who served in the Philippines during

World War II. Payments were subsequently authorized by the Congress in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5). Original funding of \$198,000,000 was supplemented by a transfer of \$67,000,000 authorized by Public Law 111–212 that remains available until expended. Payments to citizens of the United States are \$15,000. Payments to non-U.S. citizens are \$9,000.

**GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION**

For necessary operating expenses of the Veterans Benefits Administration, not otherwise provided for, including hire of passenger motor vehicles, reimbursement of the General Services Administration for security guard services, and reimbursement of the Department of Defense for the cost of overseas employee mail, **[\$2,956,316,000] \$3,000,000,000: Provided,** That expenses for services and assistance authorized under paragraphs (1), (2), (5), and (11) of section 3104(a) of title 38, United States Code, that the Secretary of Veterans Affairs determines are necessary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: *Provided further,* That, of the funds made available under this heading, not to exceed 10 percent shall remain available until September 30, **[2020] 2021.** (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing (in millions of dollars)**

Identification code 036–0151–0–1–705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Compensation and pensions .....	2,419	2,409	2,427
0011 Education .....	227	230	224
0012 Vocational rehabilitation and counseling .....	231	225	245
0013 Insurance .....	1	1	1
0014 Housing .....	15	20	21
0015 Transition and Economic Development .....		71	82
0799 Total direct obligations .....	2,893	2,956	3,000
0801 Compensation and pensions .....	915	1,206	1,568
0802 Education .....		1	1
0804 Insurance .....	29	33	32
0805 Housing .....	125	156	156
0899 Total reimbursable obligations .....	1,069	1,396	1,757
0900 Total new obligations, unexpired accounts .....	3,962	4,352	4,757
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	60	28	28
1012 Unobligated balance transfers between expired and unexpired accounts .....	26		
1050 Unobligated balance (total) .....	86	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,910	2,956	3,000
1121 Appropriations transferred from other acct [036–1122] ...	7		
1160 Appropriation, discretionary (total) .....	2,917	2,956	3,000
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1,069	1,396	1,757
1900 Budget authority (total) .....	3,986	4,352	4,757
1930 Total budgetary resources available .....	4,072	4,380	4,785
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–82		
1941 Unexpired unobligated balance, end of year .....	28	28	28
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		806	697
3010 New obligations, unexpired accounts .....	3,962	4,352	4,757
3011 Obligations ("upward adjustments"), expired accounts .....	25		
3020 Outlays (gross) .....	–3,889	–4,461	–4,689
3041 Recoveries of prior year unpaid obligations, expired .....	–72		
3050 Unpaid obligations, end of year .....	806	697	765
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	780	806	697
3200 Obligated balance, end of year .....	806	697	765
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3,986	4,352	4,757

GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 036-0151-0-1-705	2018 actual	2019 est.	2020 est.
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,288	3,737	4,163
4011 Outlays from discretionary balances .....	601	724	526
4020 Outlays, gross (total) .....	3,889	4,461	4,689
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-1,075	-1,396	-1,757
4040 Offsets against gross budget authority and outlays (total) ....	-1,075	-1,396	-1,757
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	6		
4070 Budget authority, net (discretionary) .....	2,917	2,956	3,000
4080 Outlays, net (discretionary) .....	2,814	3,065	2,932
4180 Budget authority, net (total) .....	2,917	2,956	3,000
4190 Outlays, net (total) .....	2,814	3,065	2,932

General Operating Expenses, Veterans Benefits Administration.—The total cost of administering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund. This appropriation provides for the Department's top management direction and administrative support, including fiscal, personnel, and legal services, as well as for the administration of veteran benefits.

Note.—Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per Public Law 101-508.

Object Classification (in millions of dollars)

Identification code 036-0151-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	1,303	1,329	1,298
11.5 Other personnel compensation .....	388	398	408
11.9 Total personnel compensation .....	1,691	1,727	1,706
12.1 Civilian personnel benefits .....	540	550	559
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	30	25	32
22.0 Transportation of things .....	2	5	5
23.1 Rent .....	124	131	135
23.2 Rental payments to others .....	20	21	21
23.3 Communications, utilities, and miscellaneous charges .....	16	17	17
24.0 Printing and reproduction .....	2	3	3
25.2 Other services from non-Federal sources .....	445	448	489
26.0 Supplies and materials .....	7	8	8
31.0 Equipment .....	14	19	23
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,893	2,956	3,000
99.0 Reimbursable obligations .....	1,069	1,396	1,757
99.9 Total new obligations, unexpired accounts .....	3,962	4,352	4,757

Employment Summary

Identification code 036-0151-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	21,761	22,489	22,501
2001 Reimbursable civilian full-time equivalent employment .....	1,200	1,410	1,398

SERVICE-DISABLED VETERANS INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4012-0-3-701	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0801 Capital investment .....	28	30	30
0802 Death claims .....	117	124	125
0803 All other .....	6	7	7
0804 Payments to GOE and IT .....	15	20	21
0900 Total new obligations, unexpired accounts .....	166	181	183

Budgetary resources:

1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1 .....	12	22	10
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	176	169	190
1930 Total budgetary resources available .....	188	191	200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	10	17

Change in obligated balance:

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1 .....	22	27	27
3010 New obligations, unexpired accounts .....	166	181	183
3020 Outlays (gross) .....	-161	-181	-183
3050 Unpaid obligations, end of year .....	27	27	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	27	27
3200 Obligated balance, end of year .....	27	27	27

Budget authority and outlays, net:

4090 Mandatory:			
Budget authority, gross .....	176	169	190
Outlays, gross:			
4100 Outlays from new mandatory authority .....	127	155	169
4101 Outlays from mandatory balances .....	34	26	14
4110 Outlays, gross (total) .....	161	181	183
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources .....	-88	-71	-92
4123 Non-Federal sources .....	-88	-5	-5
4123 Non-Federal sources .....		-68	-67
4123 Non-Federal sources .....		-25	-26
4130 Offsets against gross budget authority and outlays (total) ....	-176	-169	-190
4170 Outlays, net (mandatory) .....	-15	12	-7
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-15	12	-7

The Insurance Act of 1951 established the Service-Disabled Veterans Insurance (S-DVI) program for veterans with service-connected disabilities. S-DVI is open to veterans who separated from the service on or after April 25, 1951. This fund finances the payment of claims on existing life insurance policies and remains open for new issues at standard rates to veterans having service-connected disabilities.

OPERATING COSTS

Death claims.—Represents payments to designated beneficiaries.

All other.—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

Capital investment.—A policyholder may borrow up to 94 percent of the value of his or her policy.

Administration.—Represents the administrative costs of claims processing and account maintenance.

The trend in the number and amount of policies in force is indicated in the following table.

POLICIES AND INSURANCE IN FORCE

	2018 actual	2019 est.	2020 est.
Number of policies (EOY) .....	277,063	277,881	278,159
Insurance in force (dollars in millions) (EOY) .....	\$2,911	\$2,946	\$2,967

Financing.—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the Veterans Insurance and Indemnities appropriation, instead of direct appropriations to this fund.

Operating results and financial condition.—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$1,450 million by September 30, 2019. The expected deficit is financed by additional funds from the above-mentioned Veterans Insurance and Indemnities appropriations.

**Object Classification** (in millions of dollars)

Identification code 036-4012-0-3-701	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
33.0 Investments and loans .....	28	30	30
42.0 Insurance claims and indemnities .....	138	151	153
99.9 Total new obligations, unexpired accounts .....	166	181	183

**VETERANS REOPENED INSURANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-4010-0-3-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Death claims .....	19	17	14
0802 Dividends .....	1	1	1
0803 All other .....	5	4	4
0900 Total new obligations, unexpired accounts .....	25	22	19
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	98	80	64
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	7	6	5
1930 Total budgetary resources available .....	105	86	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	80	64	50
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	26	23	19
3010 New obligations, unexpired accounts .....	25	22	19
3020 Outlays (gross) .....	-28	-26	-20
3050 Unpaid obligations, end of year .....	23	19	18
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	22	18
3200 Obligated balance, end of year .....	22	18	17

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	7	6	5
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7	6	5
4101 Outlays from mandatory balances .....	21	20	15
4110 Outlays, gross (total) .....	28	26	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-5	-4	-3
4123 Non-Federal sources .....	-1	-1	-1
4123 Non-Federal sources .....	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ...	-7	-6	-5
4170 Outlays, net (mandatory) .....	21	20	15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	21	20	15

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	123	101	81
5001 Total investments, EOY: Federal securities: Par value .....	101	81	66

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May 1, 1965, through May 2, 1966, under three life insurance programs: 1) service-disabled standard insurance; 2) service-disabled rated insurance; and 3) nonservice-disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other government insurance.

*Budget program:*

*Death claims.*—Represents payments to designated beneficiaries.

*Dividends.*—Policyholders participate in the distribution of annual dividends.

*All other.*—This represents resources for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

*Policy loans made.*—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

**POLICIES AND INSURANCE IN FORCE**

	2018 actual	2019 est.	2020 est.
Number of policies .....	7,902	6,268	4,877
Insurance in force (dollars in millions) .....	\$84	\$61	\$48

*Financing.*—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are distributed to the policyholders in the form of an annual dividend.

**Object Classification** (in millions of dollars)

Identification code 036-4010-0-3-701	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
42.0 Insurance claims and indemnities .....	23	20	17
43.0 Interest and dividends .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	25	22	19

**SERVICEMEMBERS' GROUP LIFE INSURANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-4009-0-3-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Premium payments .....	759	782	782
0802 Payments to carrier .....	2		
0803 Payment to GOE .....	2	3	3
0900 Total new obligations, unexpired accounts (object class 41.0) .....	763	785	785
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	763	785	785
1930 Total budgetary resources available .....	764	786	786
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	763	785	785
3020 Outlays (gross) .....	-763	-785	-785

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	763	785	785
Outlays, gross:			
4100 Outlays from new mandatory authority .....	763	784	784
4101 Outlays from mandatory balances .....		1	1
4110 Outlays, gross (total) .....	763	785	785
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-763	-785	-785
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1	1	1
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SERVICEMEMBERS' GROUP LIFE INSURANCE FUND—Continued  
Program and Financing—Continued

Identification code 036-4009-0-3-701	2018 actual	2019 est.	2020 est.
5001 Total investments, EOY: Federal securities: Par value .....	1	1	1

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance (SGLI) Act of 1965, as amended. SGLI is a program for servicemembers on active duty, ready reservists, members of the National Guard, members of the Commissioned Corps of the National Oceanic and Atmospheric Administration and the Public Health Service, cadets and midshipmen of the four service academies, and members of the Reserve Officer Training Corps. SGLI coverage is available in \$50,000 increments up to the maximum of \$400,000. Veterans' Group Life Insurance (VGLI) is a program of post-separation insurance which allows servicemembers to convert their SGLI coverage to renewable term insurance. Family Servicemembers' Group Life Insurance (FSGLI) is a program extended to the spouses and dependent children of members insured under the SGLI program. FSGLI provides up to a maximum of \$100,000 of insurance coverage for spouses, not to exceed the amount of SGLI the insured member has in force, and \$10,000 of free coverage for dependent children. Spousal coverage is issued in increments of \$10,000.

The Servicemembers' Group Life Insurance Traumatic Injury Protection Program (TSGLI) became effective December 1, 2005. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to any member of the uniformed services covered by SGLI who sustains a traumatic injury that results in certain serious losses.

VETERANS HOUSING BENEFIT PROGRAM FUND

For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by subchapters I through III of chapter 37 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That, during fiscal year [2019] 2020, within the resources available, not to exceed \$500,000 in gross obligations for direct loans are authorized for specially adapted housing loans.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, **\$200,612,000** \$200,377,391. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-1119-0-1-704	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....		1	6
0702 Loan guarantee subsidy .....	416	108	
0705 Reestimates of direct loan subsidy .....	5	10	
0706 Interest on reestimates of direct loan subsidy .....	4	8	
0707 Reestimates of loan guarantee subsidy .....	470	37	
0708 Interest on reestimates of loan guarantee subsidy .....	147	33	
0709 Administrative expenses .....	149	201	201
0900 Total new obligations, unexpired accounts .....	1,191	398	207
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	179	201	201
Appropriations, mandatory:			
1200 Appropriation .....	1,042	197	6
1900 Budget authority (total) .....	1,221	398	207
1930 Total budgetary resources available .....	1,221	398	207
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-30		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 New obligations, unexpired accounts .....	1,191	398	207

3020 Outlays (gross) .....	-1,192	-398	-207
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	179	201	201
Outlays, gross:			
4010 Outlays from new discretionary authority .....	149	201	201
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-5		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	5		
4070 Budget authority, net (discretionary) .....	179	201	201
4080 Outlays, net (discretionary) .....	144	201	201
Mandatory:			
4090 Budget authority, gross .....	1,042	197	6
Outlays, gross:			
4100 Outlays from new mandatory authority .....	416	197	6
4101 Outlays from mandatory balances .....	627		
4110 Outlays, gross (total) .....	1,043	197	6
4180 Budget authority, net (total) .....	1,221	398	207
4190 Outlays, net (total) .....	1,187	398	207

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 036-1119-0-1-704	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Acquired Direct Loans .....	5	16	18
115004 Vendee Direct Loans .....	1	31	36
115999 Total direct loan levels .....	6	47	54
Direct loan subsidy (in percent):			
132001 Acquired Direct Loans .....	7.94	6.33	17.77
132004 Vendee Direct Loans .....	-26.49	-5.47	8.51
132999 Weighted average subsidy rate .....	2.20	-1.45	11.60
Direct loan subsidy budget authority:			
133001 Acquired Direct Loans .....		1	3
133004 Vendee Direct Loans .....		-2	3
133999 Total subsidy budget authority .....		-1	6
Direct loan subsidy outlays:			
134001 Acquired Direct Loans .....		1	3
134004 Vendee Direct Loans .....		-2	3
134005 Acquired and Vendee Loan Reestimates .....		18	
134999 Total subsidy outlays .....		17	6
Direct loan reestimates:			
135001 Acquired Direct Loans .....	2	7	
135004 Vendee Direct Loans .....	2	2	
135005 Acquired and Vendee Loan Reestimates .....		7	
135999 Total direct loan reestimates .....	4	16	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Housing Guaranteed Loans .....	153,866	153,753	158,024
215999 Total loan guarantee levels .....	153,866	153,753	158,024
Guaranteed loan subsidy (in percent):			
232001 Housing Guaranteed Loans .....	0.27	0.07	-30
232999 Weighted average subsidy rate .....	0.27	0.07	-30
Guaranteed loan subsidy budget authority:			
233001 Housing Guaranteed Loans .....	415	108	-474
233999 Total subsidy budget authority .....	415	108	-474
Guaranteed loan subsidy outlays:			
234001 Housing Guaranteed Loans .....	415	108	-475
234999 Total subsidy outlays .....	415	108	-475
Guaranteed loan reestimates:			
235001 Housing Guaranteed Loans .....	-1,853	-3,417	
235002 Guaranteed Loan Sale Securities—Vendee .....		-7	
235999 Total guaranteed loan reestimates .....	-1,853	-3,424	
Administrative expense data:			
3510 Budget authority .....	179	201	201
3590 Outlays from new authority .....	149	201	201

*Veterans Affairs (VA) Housing Program Account.*—The housing program helps eligible veterans, active duty personnel, surviving spouses, and members of the Reserves and National Guard purchase, retain, and adapt homes in recognition of their service to the Nation. When a borrower pur-

chases a home, the program operates by substituting the Federal Government's guaranty for a down payment that might otherwise be required.

Under 38 U.S.C. 3703, the guaranty amount for a borrower with full entitlement (first-time users of the program or users whose entitlement is fully restored) is as follows:

- (a) 50 percent for loans of \$45,000 or less;
  - (b) \$22,500 for loans greater than \$45,000, but no more than \$56,250;
  - (c) the lesser of \$36,000 or 40 percent of the loan amount for loans greater than \$56,250, but not more than \$144,000;
  - (d) 25 percent of the loan amount for loans of \$144,001 to \$453,100;
- or

(e) for certain loans in excess of \$453,100, the guaranty will be the lesser of: 25 percent of the county loan limit, or 25 percent of the loan.

This appropriation provides for the corporate leadership and operational support to VA's Housing business line. The Housing Program facilitates the extension of private capital, on more liberal terms than generally available to nonveterans, to assist veterans and servicemembers in obtaining housing credit, and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

*Guaranteed transitional housing loans for homeless veterans.*—Established as a pilot project by the Veterans Benefits Improvement Act of 1998 (Public Law 105–368), this program does not require any new loan subsidy funding. The program has originated no new loans since 2009. The program was canceled in 2012. The existing loan will continue to be serviced within the program's financing account.

**WORKLOAD**

[In thousands]

	2018 actual	2019 est.	2020 est.
Construction and valuation .....	648	630	613
Loan processing .....	1204	1274	1350
Loan service and claims .....	231	259	290

**Object Classification** (in millions of dollars)

Identification code 036-1119-0-1-704	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	149	201	201
41.0 Grants, subsidies, and contributions .....	1,042	197	6
99.9 Total new obligations, unexpired accounts .....	1,191	398	207

**VETERANS HOUSING BENEFIT PROGRAM FUND**

(Legislative proposal, subject to PAYGO)

*Expansion of the Assets Included in the Veterans Housing Benefit Program Fund.* This proposal would revise 38 U.S.C. 3722 to authorize the Department of Veterans Affairs (VA) to deposit into the Veterans Housing Benefit Program Fund fees collected from lenders that use VA's automated underwriting system (AUS). Revised section 3722 would further allow those collected fees to be used to help offset VA's AUS maintenance and enhancement cost. The proposal would increase Federal fee collections from lenders by \$22.5 million in 2020 and \$224.7 million over 10 years. The fee collections are based on a new \$50 fee per loan and 449,461 new loan guarantees annually. No benefit costs are associated with this proposal.

*Extend Vendee Loan Program and Change Mandatory Vendee Thresholds to Discretionary Targets.* This proposal would extend through September 30, 2024, the Secretary's requirement to sell properties VA acquires through foreclosure with vendee financing and change the current mandatory financing thresholds to non-mandatory performance targets. Public Law 115–251, Section 125, extended VA's mandatory requirement to sell between 50 to 85 percent of its VA-owned foreclosed homes with vendee lending through September 30, 2019. VA seeks to extend its authority to sell optionally between 50 and 85 percent of VA-owned foreclosed homes with vendee lending by changing the current mandatory vendee lending thresholds to non-mandatory performance targets. The proposal would not affect market

demand for vendee loan financing and no loan subsidy costs are associated with this proposal.

**HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 036-4127-0-3-704	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Property sales expense .....	1		
0004 Property management/other expense .....	7	3	1
0091 Direct program activities, subtotal .....	8	3	1
Credit program obligations:			
0710 Direct loan obligations .....	6	47	54
0713 Payment of interest to Treasury .....	21	21	23
0740 Negative subsidy obligations .....		2	
0742 Downward reestimates paid to receipt accounts .....	2		
0743 Interest on downward reestimates .....	3	2	
0791 Direct program activities, subtotal .....	32	72	77
0900 Total new obligations, unexpired accounts .....	40	75	78
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	88	90	
1023 Unobligated balances applied to repay debt .....	-74	-90	
1050 Unobligated balance (total) .....	14		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	106	74	72
1422 Borrowing authority applied to repay debt .....	-8		
1440 Borrowing authority, mandatory (total) .....	98	74	72
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	69	51	37
1825 Spending authority from offsetting collections applied to repay debt .....	-51	-50	-31
1850 Spending auth from offsetting collections, mand (total) .....	18	1	6
1900 Budget authority (total) .....	116	75	78
1930 Total budgetary resources available .....	130	75	78
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	90		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	40	75	78
3020 Outlays (gross) .....	-39	-75	-78
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	116	75	78
Financing disbursements:			
4110 Outlays, gross (total) .....	39	75	78
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account .....	-9	-19	-6
4122 Interest on uninvested funds .....	-6		
4123 Interest and principal received on loans .....	-51	-29	-29
4123 Cash sale of properties .....	-3	-3	-2
4130 Offsets against gross budget authority and outlays (total) .....	-69	-51	-37
4160 Budget authority, net (mandatory) .....	47	24	41
4170 Outlays, net (mandatory) .....	-30	24	41
4180 Budget authority, net (total) .....	47	24	41
4190 Outlays, net (total) .....	-30	24	41

**Status of Direct Loans** (in millions of dollars)

Identification code 036-4127-0-3-704	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	6	47	54

**HOUSING DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Status of Direct Loans—Continued**

Identification code 036-4127-0-3-704	2018 actual	2019 est.	2020 est.
1150 Total direct loan obligations .....	6	47	54
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	342	307	337
1231 Disbursements: Direct loan disbursements .....	6	47	54
1251 Repayments: Repayments and prepayments .....	-41	-16	-16
1263 Write-offs for default: Direct loans .....	.....	-1	-1
1290 Outstanding, end of year .....	307	337	374

**Balance Sheet (in millions of dollars)**

Identification code 036-4127-0-3-704	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	88	91
Investments in U.S. securities:		
1106 Receivables, net .....	7	20
1206 Non-Federal assets: Receivables, net .....	5	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	342	307
1402 Interest receivable .....	21	20
1404 Foreclosed property .....	3	2
1405 Allowance for subsidy cost (-) .....	33	30
1499 Net present value of assets related to direct loans .....	399	359
1901 Other Federal assets: Other assets .....	1	.....
1999 Total assets .....	500	475
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	.....	1
2103 Debt .....	489	463
2105 Other .....	10	6
Non-Federal liabilities:		
2201 Accounts payable .....	.....	.....
2207 Other .....	1	5
2999 Total liabilities .....	500	475
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	500	475

**HOUSING GUARANTEED LOAN FINANCING ACCOUNT**  
**Program and Financing (in millions of dollars)**

Identification code 036-4129-0-3-704	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Losses on defaulted loans .....	657	844	974
0005 Payment to trustee reserve .....	9	17	16
0009 Property sales expense .....	110	123	143
0010 Property management expense .....	84	93	108
0011 Property improvement expense .....	1	3	4
0012 Loans acquired .....	6	18	20
0013 Refunds .....	29	.....	.....
0091 Direct program activities, subtotal .....	896	1,098	1,265
Credit program obligations:			
0711 Default claim payments on principal .....	1,367	1,592	1,820
0740 Negative subsidy obligations .....	.....	.....	474
0742 Downward reestimates paid to receipt accounts .....	2,327	3,182	.....
0743 Interest on downward reestimates .....	142	312	.....
0791 Direct program activities, subtotal .....	3,836	5,086	2,294
0900 Total new obligations, unexpired accounts .....	4,732	6,184	3,559

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11,086	11,044	9,392
1033 Recoveries of prior year paid obligations .....	8	.....	.....
1050 Unobligated balance (total) .....	11,094	11,044	9,392
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4,681	4,532	4,655

1801 Change in uncollected payments, Federal sources .....	1	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	4,682	4,532	4,655
1930 Total budgetary resources available .....	15,776	15,576	14,047
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11,044	9,392	10,488

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	307	291	53
3010 New obligations, unexpired accounts .....	4,732	6,184	3,559
3020 Outlays (gross) .....	-4,748	-6,422	-3,551
3050 Unpaid obligations, end of year .....	291	53	61
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	.....	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	307	290	52
3200 Obligated balance, end of year .....	290	52	60

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	4,682	4,532	4,655
Financing disbursements:			
4110 Outlays, gross (total) .....	4,748	6,422	3,551
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account .....	-1,032	-178	.....
4120 Recoveries from DLFA .....	-7	-49	-56
4122 Interest on uninvested funds .....	-227	-817	-834
4123 Funding fees .....	-2,021	-1,978	-2,031
4123 Cash sale of properties .....	-1,391	-1,510	-1,734
4123 Redemption of Properties/Other income and receivables .....	-11	.....	.....
4130 Offsets against gross budget authority and outlays (total) .....	-4,689	-4,532	-4,655
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1	.....	.....
4143 Recoveries of prior year paid obligations, unexpired accounts .....	8	.....	.....
4150 Additional offsets against budget authority only (total) .....	7	.....	.....
4170 Outlays, net (mandatory) .....	59	1,890	-1,104
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	59	1,890	-1,104

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 036-4129-0-3-704	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	153,866	153,753	158,024
2150 Total guaranteed loan commitments .....	153,866	153,753	158,024
2199 Guaranteed amount of guaranteed loan commitments .....	42,467	42,436	43,615

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year .....	603,631	664,358	758,061
2231 Disbursements of new guaranteed loans .....	153,866	153,753	158,024
2251 Repayments and prepayments .....	-91,056	-57,579	-62,670
Adjustments:			
2262 Terminations for default that result in acquisition of property .....	-1,367	-1,592	-1,820
2263 Terminations for default that result in claim payments .....	-716	-879	-1,010
2290 Outstanding, end of year .....	664,358	758,061	850,585
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	168,656	192,320	215,686

**Balance Sheet (in millions of dollars)**

Identification code 036-4129-0-3-704	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	11,393	11,334
Investments in U.S. securities:		
1106 Receivables, net .....	350	74
1206 Non-Federal assets: Receivables, net .....	.....	13
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1504 Accounts receivable from foreclosed property .....	14	.....

1504	Foreclosed property .....	726	666
1599	Net present value of assets related to defaulted guaranteed loans .....	740	666
1999	Total assets .....	12,483	12,087
<b>LIABILITIES:</b>			
Federal liabilities:			
2103	Debt .....		
2105	Other liabilities .....	1,871	3,354
Non-Federal liabilities:			
2201	Accounts payable .....	307	291
2204	Non-federal liabilities for loan guarantees .....	10,305	8,442
2999	Total liabilities .....	12,483	12,087
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	12,483	12,087

HOUSING LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4025-0-3-704		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0105	Capital investments, guaranteed claims payment and other operating expenses .....	4	3	3
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	
1021	Recoveries of prior year unpaid obligations .....	1		
1022	Capital transfer of unobligated balances to general fund .....		-1	
1033	Recoveries of prior year paid obligations .....	1		
1050	Unobligated balance (total) .....	2		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	12	10	8
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-9	-7	-5
1850	Spending auth from offsetting collections, mand (total) .....	3	3	3
1930	Total budgetary resources available .....	5	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1		
3010	New obligations, unexpired accounts .....	4	3	3
3020	Outlays (gross) .....	-4	-3	-3
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....		3	3
4101	Outlays from mandatory balances .....	4		
4110	Outlays, gross (total) .....	4	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Loan repayments and prepayments .....	-1	-1	-1
4123	Sale of homes, cash .....	-1	-1	-1
4123	Interest collection on Veteran liability debts .....	-3	-3	-2
4123	Principal collection on Veteran liability debts .....	-7	-5	-4
4123	Non-Federal sources .....	-1		
4130	Offsets against gross budget authority and outlays (total) .....	-13	-10	-8
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	1		
4160	Budget authority, net (mandatory) .....	-9	-7	-5
4170	Outlays, net (mandatory) .....	-9	-7	-5
4180	Budget authority, net (total) .....	-9	-7	-5
4190	Outlays, net (total) .....	-9	-7	-5
<b>Memorandum (non-add) entries:</b>				
5010	Total investments, SOY: non-Fed securities: Market value .....	140	140	140

5011	Total investments, EOY: non-Fed securities: Market value .....	140	140	140
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Status of Direct Loans (in millions of dollars)

Identification code 036-4025-0-3-704		2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	2	1	
1251	Repayments: Repayments and prepayments .....	-1	-1	
1290	Outstanding, end of year .....	1		

Status of Guaranteed Loans (in millions of dollars)

Identification code 036-4025-0-3-704		2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	45	23	12
2251	Repayments and prepayments .....	-21	-11	-6
2262	Adjustments: Terminations for default that result in acquisition of property .....	-1		
2290	Outstanding, end of year .....	23	12	6

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	10	5	2
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	20	16	12
2331	Disbursements for guaranteed loan claims .....			
2351	Repayments of loans receivable .....	-4	-4	-4
2364	Other adjustments, net .....			
2390	Outstanding, end of year .....	16	12	8

Balance Sheet (in millions of dollars)

Identification code 036-4025-0-3-704		2017 actual	2018 actual
<b>ASSETS:</b>			
Federal assets: Fund balances with Treasury .....			
1101		1	1
Non-Federal assets:			
1201	Investments in non-Federal securities, net .....	139	140
1206	Receivables, net .....	1	1
1601	Direct loans, gross .....	2	1
1602	Interest receivable .....	35	37
1603	Allowance for estimated uncollectible loans and interest (-) .....	-35	-28
1699	Value of assets related to direct loans .....	2	10
1701	Defaulted guaranteed loans, gross .....	20	16
1703	Allowance for estimated uncollectible loans and interest (-) .....	-18	-15
1706	Foreclosed property .....	1	1
1799	Value of assets related to loan guarantees .....	3	2
1999	Total assets .....	146	154
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....	1	1
2204	Liabilities for loan guarantees .....	259	276
2999	Total liabilities .....	260	277
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	-114	-123
4999	Total liabilities and net position .....	146	154

Object Classification (in millions of dollars)

Identification code 036-4025-0-3-704		2018 actual	2019 est.	2020 est.
Direct obligations:				
32.0	Land and structures .....	1	1	1
33.0	Investments and loans .....	3	2	2
99.9	Total new obligations, unexpired accounts .....	4	3	3

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT

For administrative expenses to carry out the direct loan program authorized by subchapter V of chapter 37 of title 38, United States Code, **[\$1,163,000] \$1,163,000.**

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT—Continued  
(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, **[\$39,000]** \$57,729, as authorized by chapter 31 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed **[\$2,037,000]** \$2,008,232.

In addition, for administrative expenses necessary to carry out the direct loan program, **[\$396,000]** \$401,880, which may be paid to the appropriation for "General Operating Expenses, Veterans Benefits Administration". (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 036-1120-0-1-704	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705 Reestimates of direct loan subsidy .....	1	2	.....
0706 Interest on reestimates of direct loan subsidy .....	.....	1	.....
0709 Administrative expenses .....	2	2	2
0900 Total new obligations, unexpired accounts .....	3	5	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
Appropriations, mandatory:			
1200 Appropriation .....	1	2	.....
1900 Budget authority (total) .....	3	4	2
1930 Total budgetary resources available .....	5	6	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	1
3010 New obligations, unexpired accounts .....	3	5	2
3020 Outlays (gross) .....	-3	-4	-2
3050 Unpaid obligations, end of year .....	.....	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	1
3200 Obligated balance, end of year .....	.....	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
Mandatory:			
4090 Budget authority, gross .....	1	2	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	2	.....
4180 Budget authority, net (total) .....	3	4	2
4190 Outlays, net (total) .....	3	4	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 036-1120-0-1-704	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Native American Direct Loans .....	4	9	9
115003 Vocational Rehabilitation .....	1	2	2
115999 Total direct loan levels .....	5	11	11
Direct loan subsidy (in percent):			
132002 Native American Direct Loans .....	-20.22	-11.89	-5.23
132003 Vocational Rehabilitation .....	1.26	1.90	2.87
132999 Weighted average subsidy rate .....	-15.92	-9.38	-3.76
Direct loan subsidy budget authority:			
133002 Native American Direct Loans .....	-1	-1	-1
133999 Total subsidy budget authority .....	-1	-1	-1
Direct loan subsidy outlays:			
134002 Native American Direct Loans .....	-1	-1	-1

134999 Total subsidy outlays .....	-1	-1	-1
Direct loan reestimates:			
135002 Native American Direct Loans .....	2	2	.....
135999 Total direct loan reestimates .....	2	2	.....

Administrative expense data:			
3510 Budget authority .....	1	2	2
3590 Outlays from new authority .....	1	2	2

The Native American Veteran Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct, or improve homes to be occupied as the veteran's residence. This program began as a pilot in 1993 and was made permanent on June 15, 2006, through Public Law 109-233.

The Vocational Rehabilitation Loan Program provides temporary loans to cover the costs of subsistence, tuition, books, supplies, and equipment in conjunction with service-connected disability benefits provided to veterans participating in the Department of Veterans Affairs' Vocational Rehabilitation and Counseling Program as authorized by chapter 31 of title 38, United States Code. Repayment of these loans is made in monthly installments, without interest, through deductions from future payments of compensation, pension, subsistence allowance, educational assistance allowance, or retired pay.

Object Classification (in millions of dollars)

Identification code 036-1120-0-1-704	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	1	2	2
41.0 Grants, subsidies, and contributions .....	2	3	.....
99.9 Total new obligations, unexpired accounts .....	3	5	2

NATIVE AMERICAN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4130-0-3-704	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	4	9	9
0713 Payment of interest to Treasury .....	3	3	2
0715 Property Expenses .....	1	.....	.....
0740 Negative subsidy obligations .....	1	1	1
0900 Total new obligations, unexpired accounts .....	9	13	12
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	4
1023 Unobligated balances applied to repay debt .....	-1	.....	.....
1050 Unobligated balance (total) .....	2	4	4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	7	9	8
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8	8	7
1825 Spending authority from offsetting collections applied to repay debt .....	-4	-4	-3
1850 Spending auth from offsetting collections, mand (total) .....	4	4	4
1900 Budget authority (total) .....	11	13	12
1930 Total budgetary resources available .....	13	17	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	9	13	12
3020 Outlays (gross) .....	-9	-13	-12
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1

3200	Obligated balance, end of year .....	1	1	1
<b>Financing authority and disbursements, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	11	13	12
Financing disbursements:				
4110	Outlays, gross (total) .....	9	13	12
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-2	-2	
4123	Non-federal sources - Repayments and prepayments of principal .....	-4	-3	-4
4123	Non-Federal sources - Interest received on loans .....	-2	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-8	-8	-7
4160	Budget authority, net (mandatory) .....	3	5	5
4170	Outlays, net (mandatory) .....	1	5	5
4180	Budget authority, net (total) .....	3	5	5
4190	Outlays, net (total) .....	1	5	5

**Status of Direct Loans (in millions of dollars)**

Identification code 036-4130-0-3-704				
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority .....	4	9	9
1150	Total direct loan obligations .....	4	9	9
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	60	61	66
1231	Disbursements: Direct loan disbursements .....	4	9	9
1251	Repayments: Repayments and prepayments .....	-3	-4	-3
1290	Outstanding, end of year .....	61	66	72

**Balance Sheet (in millions of dollars)**

Identification code 036-4130-0-3-704			
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	4	5
Investments in U.S. securities:			
1106	Receivables, net .....	2	2
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross .....	60	61
1402	Interest receivable .....	2	2
1405	Other assets .....	3	3
1499	Net present value of assets related to direct loans .....	65	66
1999	Total assets .....	71	73
LIABILITIES:			
Federal liabilities:			
2103	Federal liabilities debt .....	69	71
2105	Other liabilities .....	2	2
2999	Total liabilities .....	71	73
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	71	73

**TRANSITIONAL HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 036-4258-0-3-704				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	1	1	1
0900	Total new obligations, unexpired accounts .....	1	1	1
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	5	4	4
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....		1	1
1930	Total budgetary resources available .....	5	5	5

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	New obligations, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....		-1	-1
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1

**Financing authority and disbursements, net:**

Mandatory:				
4090	Budget authority, gross .....		1	1
Financing disbursements:				
4110	Outlays, gross (total) .....		1	1
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....		-1	-1
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

**Status of Direct Loans (in millions of dollars)**

Identification code 036-4258-0-3-704				
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward .....	95	95	95
1143	Unobligated limitation carried forward .....	-95	-95	-95
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	4	4	4
1290	Outstanding, end of year .....	4	4	4

**Balance Sheet (in millions of dollars)**

Identification code 036-4258-0-3-704			
ASSETS:			
1101	Federal assets: Fund balances with Treasury .....	5	5
1401	Net value of assets related to post-1991 direct loans receivable: Direct loans receivable, gross .....	4	4
1999	Total assets .....	9	9
LIABILITIES:			
Federal liabilities:			
2103	Debt .....	5	5
2105	Loan Guaranty/Other Liabilities .....	4	4
2999	Total liabilities .....	9	9
4999	Total liabilities and net position .....	9	9

**VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 036-4112-0-3-702				
<b>Obligations by program activity:</b>				
Credit program obligations:				
0710	Direct loan obligations .....	2	2	2
0900	Total new obligations, unexpired accounts .....	2	2	2
<b>Budgetary resources:</b>				
Financing authority:				
Borrowing authority, mandatory:				
1400	Authority to borrow (indefinite) .....	1	2	2
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	2	2	2
1825	Spending authority from offsetting collections applied to repay debt .....	-1	-2	-2
1850	Spending auth from offsetting collections, mand (total) .....	1		
1900	Budget authority (total) .....	2	2	2
1930	Total budgetary resources available .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	2	2

VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT—Continued  
**Program and Financing**—Continued

Identification code 036-4112-0-3-702	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-2	-2	-2
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Financing disbursements:			
4110 Outlays, gross (total) .....	2	2	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments and prepayments of principal .....	-2	-2	-2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**Status of Direct Loans** (in millions of dollars)

Identification code 036-4112-0-3-702	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	2	2	2
1150 Total direct loan obligations .....	2	2	2
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1	1	1
1231 Disbursements: Direct loan disbursements .....	1	2	2
1251 Repayments: Repayments and prepayments .....	-1	-2	-2
1290 Outstanding, end of year .....	1	1	1

**Balance Sheet** (in millions of dollars)

Identification code 036-4112-0-3-702	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1104 Investments US Securities .....		
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross .....	1	1
1999 Total assets .....	1	1
<b>LIABILITIES:</b>		
2103 Federal liabilities: Debt .....	1	1
4999 Total liabilities and net position .....	1	1

**Trust Funds**

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

**Program and Financing** (in millions of dollars)

Identification code 036-8133-0-7-702	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	62	62	62
1930 Total budgetary resources available .....	62	62	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	62	62	62
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This account was established under Public Law 94-502, Veterans' Education and Employment Assistance Act, 1976. This program consists of voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense and provides educational assistance payments to participants who entered the service after December

31, 1976. Chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99-576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. The estimated activity in the fund follows:

**CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES**

	2018 actual	2019 est.	2020 est.
Total program obligations (in thousands) .....	\$157	\$150	\$143
Number of disenrollments .....	67	64	60
Total refunds (in thousands) .....	\$147	\$140	\$133
Average Refund .....	\$2,194	\$2,194	\$2,194
Total trainees .....	2	2	2
Total trainee cost (in thousands) .....	\$10	\$9	\$9
Average trainee cost .....	\$4,904	\$4,792	\$5,044
Section 901 trainees .....	0	1	1
Total Section 901 trainee cost (in thousands) .....	\$0	\$1	\$1
Average Section 901 trainee cost .....	\$0	\$1,000	\$1,000

NATIONAL SERVICE LIFE INSURANCE FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 036-8132-0-7-701	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2,851	2,324	1,870
Receipts:			
Current law:			
1130 NSLI Fund, Premium and Other Receipts .....	52	66	52
1140 NSLI Fund, Interest .....	130	123	96
1199 Total current law receipts .....	182	189	148
1999 Total receipts .....	182	189	148
2000 Total: Balances and receipts .....	3,033	2,513	2,018
Appropriations:			
Current law:			
2101 National Service Life Insurance Fund .....	-182	-189	-148
2103 National Service Life Insurance Fund .....	-527	-454	-403
2199 Total current law appropriations .....	-709	-643	-551
2999 Total appropriations .....	-709	-643	-551
5099 Balance, end of year .....	2,324	1,870	1,467

**Program and Financing** (in millions of dollars)

Identification code 036-8132-0-7-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Death claims .....	491	447	372
0002 Disability claims .....	2	2	1
0003 Matured endowments .....	97	82	83
0004 Cash surrenders .....	35	35	27
0005 Dividends .....	37	37	35
0006 Interest paid on dividend credits and deposits .....	30	17	13
0007 Payment to general operating expenses .....	11	14	11
0091 Total operating expenses .....	703	634	542
0201 Capital investment: Policy loans .....	8	9	8
0799 Total direct obligations .....	711	643	550
0801 Death claims .....	29	26	21
0803 Matured endowments .....	6	5	5
0804 Cash surrenders .....	2	2	1
0805 Dividends .....	2	2	2
0806 Interest paid on dividend credits and deposits .....	1	1	1
0807 Payment to general operating expenses .....	1	1	1
0899 Total reimbursable obligations .....	41	37	31
0900 Total new obligations, unexpired accounts .....	752	680	581
<b>Budgetary resources:</b>			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations .....	2		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	182	189	148
1203 Appropriation (previously unavailable) .....	527	454	403
1260 Appropriations, mandatory (total) .....	709	643	551
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	41	37	30

1900	Budget authority (total) .....	750	680	581
1930	Total budgetary resources available .....	752	680	581
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	761	694	615
3010	New obligations, unexpired accounts .....	752	680	581
3020	Outlays (gross) .....	-817	-759	-701
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050	Unpaid obligations, end of year .....	694	615	495
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	761	694	615
3200	Obligated balance, end of year .....	694	615	495

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	750	680	581
Outlays, gross:				
4100	Outlays from new mandatory authority .....	223	100	298
4101	Outlays from mandatory balances .....	594	659	403
4110	Outlays, gross (total) .....	817	759	701
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-41	-37	-30
4180	Budget authority, net (total) .....	709	643	551
4190	Outlays, net (total) .....	776	722	671

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	3,604	3,015	2,376
5001	Total investments, EOY: Federal securities: Par value .....	3,015	2,376	1,853

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemembers' and veterans' insurance program. Over 22 million policies were issued under this program. Activity of the fund reflects a declining claim workload. The trend in the number and amount of policies in force is shown as follows:

**POLICIES AND INSURANCE IN FORCE**

	2018 actual	2019 est.	2020 est.
Number of policies .....	203,807	163,997	127,397
Insurance in force (dollars in millions) .....	\$2,598	\$2,077	\$1,604

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans Insurance and Indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from an estimated \$2,531 million as of September 30, 2019 to \$2,012 million as of September 30, 2020. The actuarial estimate of policy obligations as of September 30, 2020, totals \$1,948 million, leaving a balance of \$64 million for contingency reserves.

**Status of Funds** (in millions of dollars)

Identification code 036-8132-0-7-701				
	2018 actual	2019 est.	2020 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	3,613	3,019	2,486
0999	Total balance, start of year .....	3,613	3,019	2,486
Cash income during the year:				
Current law:				
Receipts:				
1130	NSLI Fund, Premium and Other Receipts .....	52	66	52
1130	National Service Life Insurance Fund .....	41	37	30
1150	NSLI Fund, Interest .....	130	123	96
1199	Income under present law .....	223	226	178
1999	Total cash income .....	223	226	178
Cash outgo during year:				
Current law:				
2100	National Service Life Insurance Fund [029-25-8132-0] .....	-817	-759	-701
2199	Outgo under current law .....	-817	-759	-701
2999	Total cash outgo (-) .....	-817	-759	-701

Surplus or deficit:				
3110	Excluding interest .....	-724	-656	-619
3120	Interest .....	130	123	96
3199	Subtotal, surplus or deficit .....	-594	-533	-523
3999	Total change in fund balance .....	-594	-533	-523
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	4	110	110
4200	National Service Life Insurance Fund .....	3,015	2,376	1,853
4999	Total balance, end of year .....	3,019	2,486	1,963

**Object Classification** (in millions of dollars)

Identification code 036-8132-0-7-701				
		2018 actual	2019 est.	2020 est.
Direct obligations:				
33.0	Investments and loans .....	8	9	8
42.0	Insurance claims and indemnities .....	625	566	484
43.0	Interest and dividends .....	78	68	59
99.0	Direct obligations .....	711	643	551
99.0	Reimbursable obligations .....	41	37	30
99.9	Total new obligations, unexpired accounts .....	752	680	581

**UNITED STATES GOVERNMENT LIFE INSURANCE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 036-8150-0-7-701				
		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	2	1	
2000	Total: Balances and receipts .....	2	1	
Appropriations:				
Current law:				
2103	United States Government Life Insurance Fund .....	-1	-1	
5099	Balance, end of year .....	1		

**Program and Financing** (in millions of dollars)

Identification code 036-8150-0-7-701				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Death claims .....		1	
0007	Other costs .....	1		
0900	Total new obligations, unexpired accounts .....	1	1	
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1203	Appropriation (previously unavailable) .....	1	1	
1900	Budget authority (total) .....	1	1	
1930	Total budgetary resources available .....	1	1	

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010	New obligations, unexpired accounts .....	1	1	
3020	Outlays (gross) .....	-1		
3050	Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	2
3200	Obligated balance, end of year .....	1	2	2

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	1	1	
Outlays, gross:				
4101	Outlays from mandatory balances .....	1		
4180	Budget authority, net (total) .....	1	1	
4190	Outlays, net (total) .....	1		

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	3	2	2
5001	Total investments, EOY: Federal securities: Par value .....	2	2	2

UNITED STATES GOVERNMENT LIFE INSURANCE FUND—Continued

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

**POLICIES AND INSURANCE IN FORCE**

	2018 actual	2019 est.	2020 est.
Number of policies .....	20	0	0
Insurance in force (dollars in millions) .....	\$1	\$016	\$0

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$2.0 million as of September 30, 2019, to \$1.7 million as of September 30, 2020, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2020, totals \$1.2 million, leaving a balance of \$.4 million for contingency reserves.

**Status of Funds** (in millions of dollars)

Identification code 036-8150-0-7-701	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	3	2	2
0999 Total balance, start of year .....	3	2	2
Cash outgo during year:			
Current law:			
2100 United States Government Life Insurance Fund [029-25-8150-0] .....	-1		
2199 Outgo under current law .....	-1		
2999 Total cash outgo (-) .....	-1		
Surplus or deficit:			
3110 Excluding interest .....	-1		
3199 Subtotal, surplus or deficit .....	-1		
3999 Total change in fund balance .....	-1		
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....			
4200 United States Government Life Insurance Fund .....	2	2	2
4999 Total balance, end of year .....	2	2	2

**Object Classification** (in millions of dollars)

Identification code 036-8150-0-7-701	2018 actual	2019 est.	2020 est.
Direct obligations:			
42.0 Insurance claims and indemnities .....		1	
43.0 Interest and dividends .....	1		
99.9 Total new obligations, unexpired accounts .....	1	1	

VETERANS SPECIAL LIFE INSURANCE FUND

**Program and Financing** (in millions of dollars)

Identification code 036-8455-0-8-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Death claims .....	147	146	143
0802 Cash surrenders .....	13	12	11
0803 Dividends .....	24	19	15
0804 All other .....	18	18	17
0805 Payments to insurance account .....	6	8	7
0806 Capital investment .....	5	5	4
0900 Total new obligations, unexpired accounts .....	213	208	197

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,210	1,101	984
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	106	91	80
1801 Change in uncollected payments, Federal sources .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	104	91	80
1930 Total budgetary resources available .....	1,314	1,192	1,064
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,101	984	867

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	413	403	391
3010 New obligations, unexpired accounts .....	213	208	197
3020 Outlays (gross) .....	-223	-220	-196
3050 Unpaid obligations, end of year .....	403	391	392
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	395	387	375
3200 Obligated balance, end of year .....	387	375	376

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	104	91	80
Outlays, gross:			
4100 Outlays from new mandatory authority .....	104	91	80
4101 Outlays from mandatory balances .....	119	129	116
4110 Outlays, gross (total) .....	223	220	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-70	-60	-52
4123 Non-Federal sources .....	-12	-10	-9
4123 Non-Federal sources .....	-22	-19	-17
4123 Non-Federal sources .....	-2	-2	-2
4130 Offsets against gross budget authority and outlays (total) ...	-106	-91	-80
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	2		
4170 Outlays, net (mandatory) .....	117	129	116
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	117	129	116

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	1,603	1,487	1,357
5001 Total investments, EOY: Federal securities: Par value .....	1,487	1,357	1,242

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued.

**Benefit program:**

**Death claims.**—Represents payments to designated beneficiaries.

**Cash surrenders.**—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

**Dividends.**—Policyholders participate in the distribution of annual dividends.

**All other.**—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

**POLICIES AND INSURANCE IN FORCE**

	2018 actual	2019 est.	2020 est.
Number of policies .....	90,488	80,677	70,882
Insurance in force (dollars in millions) .....	\$1,336	\$1,206	\$1,070

**Financing.**—Payments from this fund are financed primarily from premium receipts and interest on investments.

**Object Classification** (in millions of dollars)

Identification code 036-8455-0-8-701	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
33.0 Investments and loans .....	5	5	4
42.0 Insurance claims and indemnities .....	169	169	164
43.0 Interest and dividends .....	39	34	29
99.9 Total new obligations, unexpired accounts .....	213	208	197

**DEPARTMENTAL ADMINISTRATION**

*Federal Funds*

**CONSTRUCTION, MAJOR PROJECTS**

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, including planning, architectural and engineering services, construction management services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is more than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, or where funds for a project were made available in a previous major project appropriation, **[\$1,127,486,000] \$1,235,200,000**, of which **[\$647,486,000] \$1,036,600,000** shall remain available until September 30, **[2023] 2024**, and of which **[\$480,000,000] \$198,600,000** shall remain available until expended, of which **[\$400,000,000] \$35,000,000** shall be available for seismic improvement projects and seismic program management activities, including for projects that would otherwise be funded by the Construction, Minor Projects, Medical Facilities or National Cemetery Administration accounts: *Provided*, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset management related activities, including portfolio development and management activities, and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund, including needs assessments which may or may not lead to capital investments, [and salaries and associated costs of the resident engineers who oversee those capital investments funded through this account and contracting officers who manage specific major construction projects, and] funds provided for the purchase, security, and maintenance of land for the National Cemetery Administration through the land acquisition line item, *funds provided for construction of gravesite expansion projects at existing National Cemeteries, and legal expenses incurred for programs and activities funded by this account*, none of the funds made available under this heading shall be used for any project that has not been notified to Congress through the budgetary process or that has not been approved by the Congress through statute, joint resolution, or in the explanatory statement accompanying such Act and presented to the President at the time of enrollment: *Provided further*, That such sums as may be necessary shall be available to reimburse the "General Administration" account for payment of salaries and expenses of all Office of Construction and Facilities Management employees to support the full range of capital infrastructure services provided, including minor construction and leasing services: *Provided further*, That funds made available under this heading for fiscal year **[2019] 2020**, for each approved project shall be obligated: (1) by the awarding of a construction documents contract by September 30, **[2019] 2020**; and (2) by the awarding of a construction contract by September 30, **[2020] 2021**: *Provided further*, That the Secretary of Veterans Affairs shall promptly submit to the Committees on Appropriations of both Houses of Congress a written report on any approved major construction project for which obligations are not incurred within the time limitations established above: *Provided further*, That notwithstanding the requirements of section 8104(a) of title 38, United States Code, amounts made available under this heading for seismic improvement projects and seismic program management activities shall be available for the completion of both new and existing seismic projects of the Department. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 036-0110-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	1,150	1,211	1,820
0002 National cemeteries .....	168	256	187

0005 Staff offices .....	11	13	10
0799 Total direct obligations .....	1,329	1,480	2,017
0900 Total new obligations, unexpired accounts .....	1,329	1,480	2,017

**Budgetary resources:**

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1,655	1,486	2,183
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	628		
1021 Recoveries of prior year unpaid obligations .....	137		
1050 Unobligated balance (total) .....	2,420	1,486	2,183
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	815	2,177	1,235
1131 Unobligated balance of appropriations permanently reduced .....	-420		
1160 Appropriation, discretionary (total) .....	395	2,177	1,235
1900 Budget authority (total) .....	395	2,177	1,235
1930 Total budgetary resources available .....	2,815	3,663	3,418
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1,486	2,183	1,401

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	855	685	853
3010 New obligations, unexpired accounts .....	1,329	1,480	2,017
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-1,360	-1,312	-2,055
3040 Recoveries of prior year unpaid obligations, unexpired .....	-137		
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	685	853	815
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	855	685	853
3200 Obligated balance, end of year .....	685	853	815

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	395	2,177	1,235
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	244	412	234
4011 Outlays from discretionary balances .....	1,116	900	1,821
4020 Outlays, gross (total) .....	1,360	1,312	2,055
4180 Budget authority, net (total) .....	395	2,177	1,235
4190 Outlays, net (total) .....	1,360	1,312	2,055

The Construction, Major Projects appropriation funds construction projects currently costing more than \$20 million. Funding is requested to complete seven previously funded projects in San Juan, PR; Bay Pines, FL; Manhattan, NY; Louisville, KY; Riverside, CA; Western New York; and Bayamon, PR and four ongoing projects in Alameda, CA; San Diego, CA; West Los Angeles, CA; and Reno, NV. In addition, three expansions at existing national cemeteries in Dallas, TX; Houston, TX; and Bourne, MA will be funded. Funds are also requested for salaries and associated expenses for staff for the Office of Construction and Facilities Management and to support advance planning and design activities, seismic correction, and asbestos abatement.

\$244 million of funds appropriated in 2016 and \$117.3 million of funds appropriated in 2018 are not reflected in the available balance in the tables. These funds will become available for use once the Department of Veterans Affairs meets the specific conditions required by law (P.L. 114-113 and 115-141) and the funds are made available by the Treasury.

**Object Classification** (in millions of dollars)

Identification code 036-0110-0-1-703	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	24	24	24
25.3 Other goods and services from Federal sources .....	24	24	24
23.0 Land and structures .....	1,281	1,432	1,969
99.0 Direct obligations .....	1,329	1,480	2,017
99.9 Total new obligations, unexpired accounts .....	1,329	1,480	2,017

CONSTRUCTION, MINOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, where the estimated cost of a project is equal to or less than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, **[\$649,514,000]** \$398,800,000, to remain available until September 30, **[2023]** 2024, along with unobligated balances of previous "Construction, Minor Projects" appropriations which are hereby made available for any project where the estimated cost is equal to or less than the amount set forth in such section: *Provided*, That funds made available under this heading shall be for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the Department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-0111-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Medical programs .....	269	699	456
0002 National cemeteries .....	88	176	106
0003 Regional offices .....	48	48	35
0004 Staff offices .....	33	35	43
0005 Choice Act, P.L. 113-146, Sec. 801 .....	48	11	.....
0900 Total new obligations, unexpired accounts .....	486	969	640
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	534	864	695
1021 Recoveries of prior year unpaid obligations .....	46	.....	.....
1050 Unobligated balance (total) .....	580	864	695
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	772	800	399
1900 Budget authority (total) .....	772	800	399
1930 Total budgetary resources available .....	1,352	1,664	1,094
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	864	695	454
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	948	904	1,232
3010 New obligations, unexpired accounts .....	486	969	640
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-480	-641	-678
3040 Recoveries of prior year unpaid obligations, unexpired .....	-46	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	904	1,232	1,194
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	948	904	1,232
3200 Obligated balance, end of year .....	904	1,232	1,194
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	772	800	399
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	151	72
4011 Outlays from discretionary balances .....	411	452	568
4020 Outlays, gross (total) .....	413	603	640
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	67	38	38
4180 Budget authority, net (total) .....	772	800	399
4190 Outlays, net (total) .....	480	641	678

The Construction, Minor Projects appropriation funds construction projects costing equal to or less than \$20 million. This account is used to improve the infrastructure of medical facilities and other Department-owned

facilities to reduce the risk to patient life and safety, correct code deficiencies, and improve national cemeteries and regional and staff offices.

Object Classification (in millions of dollars)

Identification code 036-0111-0-1-703	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	18	20	15
32.0 Land and structures .....	468	949	625
99.9 Total new obligations, unexpired accounts .....	486	969	640

GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify, or alter existing hospital, nursing home, and domiciliary facilities in State homes, for furnishing care to veterans as authorized by sections 8131 through 8137 of title 38, United States Code, **[\$150,000,000]** \$90,000,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-0181-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for construction of state extended care facilities .....	346	501	135
0900 Total new obligations, unexpired accounts (object class 41.0) .....	346	501	135
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	92	459	113
1021 Recoveries of prior year unpaid obligations .....	28	5	.....
1050 Unobligated balance (total) .....	120	464	113
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	685	150	90
1930 Total budgetary resources available .....	805	614	203
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	459	113	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	193	408	727
3010 New obligations, unexpired accounts .....	346	501	135
3020 Outlays (gross) .....	-103	-177	-333
3040 Recoveries of prior year unpaid obligations, unexpired .....	-28	-5	.....
3050 Unpaid obligations, end of year .....	408	727	529
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	193	408	727
3200 Obligated balance, end of year .....	408	727	529
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	685	150	90
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	3	2
4011 Outlays from discretionary balances .....	103	174	331
4020 Outlays, gross (total) .....	103	177	333
4180 Budget authority, net (total) .....	685	150	90
4190 Outlays, net (total) .....	103	177	333

The Grants for Construction of State Extended Care Facilities program is authorized by sections 8131 through 8137 of title 38, United States Code. It is a shared program between States and the Department of Veterans Affairs (VA), whereby VA provides no more than 65 percent of the funding for new construction of State home facilities, furnishing of domiciliary or nursing home care to veterans, and expansion, remodeling, or alteration of existing State home facilities. The State is responsible for providing the remaining 35 percent of funding.

GRANTS FOR CONSTRUCTION OF VETERANS CEMETERIES

For grants to assist States and tribal organizations in establishing, expanding, or improving veterans cemeteries as authorized by section 2408 of title 38, United States Code, \$45,000,000, to remain available until expended. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 036-0183-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Grants for construction of state veterans cemeteries .....	50	45	45
0900 Total new obligations, unexpired accounts (object class 41.0) .....	50	45	45
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	4	7
1021 Recoveries of prior year unpaid obligations .....	4	3	3
1050 Unobligated balance (total) .....	9	7	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	45
1930 Total budgetary resources available .....	54	52	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	7	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	96	91
3010 New obligations, unexpired accounts .....	50	45	45
3020 Outlays (gross) .....	-46	-47	-94
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-3	-3
3050 Unpaid obligations, end of year .....	96	91	39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	96	96	91
3200 Obligated balance, end of year .....	96	91	39
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	45	45
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	24	24
4011 Outlays from discretionary balances .....	46	23	70
4020 Outlays, gross (total) .....	46	47	94
4180 Budget authority, net (total) .....	45	45	45
4190 Outlays, net (total) .....	46	47	94

GENERAL ADMINISTRATION  
(INCLUDING TRANSFER OF FUNDS)

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-wide capital planning, management and policy activities, uniforms, or allowances therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reimbursement of the General Services Administration for security guard services, [\$355,897,000] \$369,200,000, of which not to exceed 10 percent shall remain available until September 30, [2020] 2021: Provided, That funds provided under this heading may be transferred to "General Operating Expenses, Veterans Benefits Administration". (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 036-0142-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0014 General administration .....	344	361	369
0806 General administration, reimbursable program .....	338	382	424
0900 Total new obligations, unexpired accounts .....	682	743	793
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	5	

1012 Unobligated balance transfers between expired and unexpired accounts .....	8		
1050 Unobligated balance (total) .....	20	5	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	336	356	369
1121 Appropriations transferred from other acct [036-0152] .....	1		
1160 Appropriation, discretionary (total) .....	337	356	369
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	308	382	424
1701 Change in uncollected payments, Federal sources .....	30		
1750 Spending auth from offsetting collections, disc (total) .....	338	382	424
1900 Budget authority (total) .....	675	738	793
1930 Total budgetary resources available .....	695	743	793
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8		
1941 Unexpired unobligated balance, end of year .....	5		

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	128	169	103
3010 New obligations, unexpired accounts .....	682	743	793
3011 Obligations ("upward adjustments"), expired accounts .....	25		
3020 Outlays (gross) .....	-640	-809	-791
3041 Recoveries of prior year unpaid obligations, expired .....	-26		
3050 Unpaid obligations, end of year .....	169	103	105
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-15	-34	-34
3070 Change in uncollected pymts, Fed sources, unexpired .....	-30		
3071 Change in uncollected pymts, Fed sources, expired .....	11		
3090 Uncollected pymts, Fed sources, end of year .....	-34	-34	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	113	135	69
3200 Obligated balance, end of year .....	135	69	71

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	675	738	793
Outlays, gross:			
4010 Outlays from new discretionary authority .....	517	653	715
4011 Outlays from discretionary balances .....	123	156	76
4020 Outlays, gross (total) .....	640	809	791
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-324	-382	-424
4033 Non-Federal sources .....	-3		
4040 Offsets against gross budget authority and outlays (total) .....	-327	-382	-424
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-30		
4052 Offsetting collections credited to expired accounts .....	19		
4060 Additional offsets against budget authority only (total) .....	-11		
4070 Budget authority, net (discretionary) .....	337	356	369
4080 Outlays, net (discretionary) .....	313	427	367
4180 Budget authority, net (total) .....	337	356	369
4190 Outlays, net (total) .....	313	427	367

General Administration.—Includes departmental executive direction, departmental support offices, the Office of General Counsel, and the Office of Accountability and Whistleblower Protection. The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

Object Classification (in millions of dollars)

Identification code 036-0142-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	187	208	219
11.5 Other personnel compensation .....	5	4	8
11.9 Total personnel compensation .....	192	212	227
12.1 Civilian personnel benefits .....	63	67	70
21.0 Travel and transportation of persons .....	5	5	7
23.2 Rental payments to others .....	20	18	19
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.2 Other services from non-Federal sources .....	59	53	38

GENERAL ADMINISTRATION—Continued  
Object Classification—Continued

Identification code 036-0142-0-1-705	2018 actual	2019 est.	2020 est.
26.0 Supplies and materials .....	1	1	3
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	344	361	369
99.0 Reimbursable obligations .....	338	382	424
99.9 Total new obligations, unexpired accounts .....	682	743	793

Employment Summary

Identification code 036-0142-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,634	1,764	1,927
2001 Reimbursable civilian full-time equivalent employment .....	886	1,054	1,209

BOARD OF VETERANS APPEALS

For necessary operating expenses of the Board of Veterans Appeals, **[\$174,748,000] \$182,000,000**, of which not to exceed 10 percent shall remain available until September 30, **[2020] 2021**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-1122-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0014 Board of Veterans' Appeals .....	152	181	188
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	9
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	16	15	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	161	175	182
1120 Appropriations transferred to other acct [036-0151] .....	-7		
1160 Appropriation, discretionary (total) .....	154	175	182
1930 Total budgetary resources available .....	170	190	191
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	15	9	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	19	30
3010 New obligations, unexpired accounts .....	152	181	188
3020 Outlays (gross) .....	-143	-170	-177
3050 Unpaid obligations, end of year .....	19	30	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	19	30
3200 Obligated balance, end of year .....	19	30	41
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	154	175	182
Outlays, gross:			
4010 Outlays from new discretionary authority .....	121	148	154
4011 Outlays from discretionary balances .....	22	22	23
4020 Outlays, gross (total) .....	143	170	177
4180 Budget authority, net (total) .....	154	175	182
4190 Outlays, net (total) .....	143	170	177

The mission of the Board of Veterans' Appeals (Board or BVA), as set forth in 38 U.S.C. 7101(a) is to conduct hearings and consider and dispose of appeals properly before the Board in a timely manner. The Board's goal is to issue quality decisions in compliance with the requirements of the law, including the precedential decisions of the United States Court of Appeals for Veterans Claims and other federal courts. The Board makes

final decisions on behalf of the Secretary on appeals from decisions of the agencies of original jurisdiction with the Department of Veterans Affairs offices. The Board reviews all appeals for entitlement to veterans' benefits, including claims for service connection, increased disability ratings, total disability ratings, pension, insurance benefits, educational benefits, home loan guaranties, vocational rehabilitation, dependency and indemnity compensation, and healthcare delivery. The Veterans Appeals Improvement and Modernization Act of 2017, enacted on August 23, 2017, became effective on February 19, 2019. This law reformed the current appeals process and replaced it with a new, simpler process that uses easy to understand language and gives veterans choice and control of their appeal.

Object Classification (in millions of dollars)

Identification code 036-1122-0-1-705	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	96	126	124
11.5 Other personnel compensation .....	2	2	3
11.9 Total personnel compensation .....	98	128	127
12.1 Civilian personnel benefits .....	30	35	39
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	8	3	5
25.2 Other services from non-Federal sources .....	14	14	16
41.0 Grants, subsidies, and contributions .....	1		
99.9 Total new obligations, unexpired accounts .....	152	181	188

Employment Summary

Identification code 036-1122-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	920	1,125	1,125

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, to include information technology, in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$192,000,000] \$207,000,000**, of which not to exceed 10 percent shall remain available until September 30, **[2020] 2021**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-0170-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Office of Inspector General (Direct) .....	171	199	207
0192 Total direct program .....	171	199	207
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	7	
1012 Unobligated balance transfers between expired and unexpired accounts .....	1		
1050 Unobligated balance (total) .....	16	7	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	164	192	207
1900 Budget authority (total) .....	164	192	207
1930 Total budgetary resources available .....	180	199	207
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	7		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	19	21	55
3010 New obligations, unexpired accounts .....	171	199	207
3020 Outlays (gross) .....	-167	-165	-204
3041 Recoveries of prior year unpaid obligations, expired .....	-2		
3050 Unpaid obligations, end of year .....	21	55	58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	19	21	55

3200	Obligated balance, end of year .....	21	55	58
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	164	192	207
Outlays, gross:				
4010	Outlays from new discretionary authority .....	143	137	156
4011	Outlays from discretionary balances .....	24	28	48
4020	Outlays, gross (total) .....	167	165	204
4180	Budget authority, net (total) .....	164	192	207
4190	Outlays, net (total) .....	167	165	204

This appropriation provides for carrying out the independent oversight responsibilities of the Inspector General Act of 1978. This oversight includes Department of Veterans Affairs (VA)-wide audit, investigation, health care inspection, and management support functions to identify and report weaknesses and deficiencies that create conditions for actual or potential fraud and other criminal activity, mismanagement, and waste in VA programs and operations. The audit function plans and conducts internal programmatic and financial audits and evaluations of all facets of VA operations. The health care inspection function performs legislatively mandated medical care quality assurance reviews and oversight of VA health care programs. The investigative function performs criminal and administrative investigations of improper and illegal activities involving VA operations, personnel, beneficiaries, and other parties.

**Object Classification** (in millions of dollars)

Identification code 036-0170-0-1-705	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	95	112	117
11.5	Other personnel compensation .....	7	9	9
11.9	Total personnel compensation .....	102	121	126
12.1	Civilian personnel benefits .....	37	44	45
21.0	Employee Travel .....	6	7	7
23.1	Rental payments to GSA .....	3	8	7
23.3	Communications, utilities, and miscellaneous charges .....	2	1	3
25.2	Other services from non-Federal sources .....	15	14	13
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	5	3	5
99.0	Direct obligations .....	171	199	207
99.9	Total new obligations, unexpired accounts .....	171	199	207

**Employment Summary**

Identification code 036-0170-0-1-705	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	849	975	1,000

**INFORMATION TECHNOLOGY SYSTEMS**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for information technology systems and telecommunications support, including developmental information systems and operational information systems; for pay and associated costs; and for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, **[\$4,103,000,000]** \$4,343,000,000, plus reimbursements: *Provided*, That **[\$1,199,220,000]** \$1,204,238,000 shall be for pay and associated costs, of which not to exceed 3 percent shall remain available until September 30, **[2020]** 2021: *Provided further*, That **[\$2,523,209,000]** \$2,737,482,000 shall be for operations and maintenance, of which not to exceed 5 percent shall remain available until September 30, **[2020]** 2021: *Provided further*, That **[\$380,571,000]** \$401,280,000 shall be for information technology systems development, and shall remain available until September 30, **[2020]** 2021: *Provided further*, That amounts made available for salaries and expenses, operations and maintenance, and information technology systems development may be transferred among the three subaccounts after the Secretary of Veterans Affairs submits notice thereof to **[requests from]** the Committees on Appropriations of both Houses of Congress **[the authority to make the transfer and an approval is**

issued] : *Provided further*, That amounts made available for the "Information Technology Systems" account for development may be transferred among projects or to newly defined projects: *Provided further*, That no project may be increased or decreased by more than **[\$1,000,000]** \$3,000,000 of cost prior to submitting notice thereof **[a request]** to the Committees on Appropriations of both Houses of Congress **[to make the transfer and an approval is issued, or absent a response, a period of 30 days has elapsed: Provided further**, That the funds made available under this heading for information technology systems development shall be for the projects, and in the amounts, specified under this heading in the joint explanatory statement accompanying this Act]. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 036-0167-0-1-705	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Development .....	420	427	401
0002	Operations and maintenance .....	2,398	2,622	2,734
0003	Administrative and salaries .....	1,167	1,228	1,200
0004	P.L. 113-146, Sec. 801 - IT Support .....	94	25	.....
0799	Total direct obligations .....	4,079	4,302	4,335
0801	Development .....	11	.....	.....
0802	Operations and maintenance .....	16	.....	.....
0803	Administrative and salaries .....	19	.....	.....
0804	IT Systems, Reimbursable obligations .....	.....	63	73
0899	Total reimbursable obligations .....	46	63	73
0900	Total new obligations, unexpired accounts .....	4,125	4,365	4,408

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	221	207	.....
1021	Recoveries of prior year unpaid obligations .....	17	.....	.....
1050	Unobligated balance (total) .....	238	207	.....
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4,056	4,103	4,343
1120	Appropriations transferred to other accts [036-0169] .....	-8	-8	-8
1160	Appropriation, discretionary (total) .....	4,048	4,095	4,335
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	46	63	73
1900	Budget authority (total) .....	4,094	4,158	4,408
1930	Total budgetary resources available .....	4,332	4,365	4,408
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	207	.....	.....

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,097	1,904	2,109
3010	New obligations, unexpired accounts .....	4,125	4,365	4,408
3011	Obligations ("upward adjustments"), expired accounts .....	18	.....	.....
3020	Outlays (gross) .....	-4,225	-4,160	-4,059
3040	Recoveries of prior year unpaid obligations, unexpired .....	-17	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-94	.....	.....
3050	Unpaid obligations, end of year .....	1,904	2,109	2,458
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,096	1,903	2,108
3200	Obligated balance, end of year .....	1,903	2,108	2,457

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	4,094	4,158	4,408
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,384	2,429	2,578
4011	Outlays from discretionary balances .....	1,730	1,668	1,408
4020	Outlays, gross (total) .....	4,114	4,097	3,986
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-51	-63	-73
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ....	-52	-63	-73
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	6	.....	.....

INFORMATION TECHNOLOGY SYSTEMS—Continued  
Program and Financing—Continued

Identification code 036-0167-0-1-705	2018 actual	2019 est.	2020 est.
4060 Additional offsets against budget authority only (total) .....	6		
4070 Budget authority, net (discretionary) .....	4,048	4,095	4,335
4080 Outlays, net (discretionary) .....	4,062	4,034	3,913
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	111	63	73
4180 Budget authority, net (total) .....	4,048	4,095	4,335
4190 Outlays, net (total) .....	4,173	4,097	3,986

The Information Technology (IT) Systems appropriation funds IT services such as systems development and performance, operations and maintenance, information security, and customer support. This appropriation enables the effective and efficient delivery of services to the Nation's largest healthcare network, as well as the veterans benefits and corporate business lines within the Department of Veterans Affairs (VA).

**Development.**—The Office of Information & Technology invests in projects designed to improve the delivery of VA services and benefits for veterans and their families. This account also supports improvements in the Community Care Program, modernizations to veterans benefits and appeals processing, as well as the divestiture of legacy IT systems.

**Operations and Maintenance.**—The Office of Information & Technology purchases, maintains, manages, and supports all the computer, phone, telecommunication, and data systems equipment and infrastructure for all VA facilities.

Object Classification (in millions of dollars)

Identification code 036-0167-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	687	761	736
11.1 Full-time permanent - Choice Act, P.L. 113-146, Sec. 801 ....	6		
11.9 Total personnel compensation .....	693	761	736
12.1 Civilian personnel benefits .....	231	256	248
12.1 Civilian personnel benefits -Choice Act, P.L. 113-146, Sec. 801 .....	2		
21.0 Travel and transportation of persons .....	9	7	7
23.3 Communications, utilities, and miscellaneous charges .....	963	1,046	1,150
23.3 Communications/utilities - Choice Act, P.L. 113-146, Sec. 801 .....	17	4	
25.2 Other services from non-Federal sources .....	1,594	1,661	1,618
25.2 Other services from non-Federal -Choice Act, P.L. 113-146, Sec. 801 .....	14	3	
26.0 Supplies and materials .....	28	31	35
26.0 Supplies and materials - Choice Act, P.L. 113-146, Sec. 801 ....	4	1	
31.0 Equipment .....	473	515	541
31.0 Equipment - Choice Act, P.L. 113-146, Sec. 801 .....	50	17	
32.0 Land and structures - Choice Act, P.L. 113-146, Sec. 801 .....	1		
99.0 Direct obligations .....	4,079	4,302	4,335
99.0 Reimbursable obligations .....	46	63	73
99.9 Total new obligations, unexpired accounts .....	4,125	4,365	4,408

Employment Summary

Identification code 036-0167-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6,995	7,435	7,435
2001 Reimbursable civilian full-time equivalent employment .....	157	154	140

VETERANS ELECTRONIC HEALTH RECORD

For activities related to implementation, preparation, development, interface, management, rollout, and maintenance of a Veterans Electronic Health Record system, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, and salaries and expenses of employees hired under titles 5 and 38, United States Code, **[\$1,107,000,000]** \$1,603,000,000, to remain available until September 30, **[2021]** 2022 **[: Provided]**, That the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses

of Congress quarterly reports detailing obligations, expenditures, and deployment implementation by facility: *Provided further*, That the funds provided in this account shall only be available to the Office of the Deputy Secretary, to be administered by that Office: *Provided further*, That none of the funds made available under this heading may be obligated in a manner inconsistent with deployment schedules provided to the Committees on Appropriations unless the Secretary of Veterans Affairs provides notification to the Committees on Appropriations of such change and an approval is issued **]**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 036-1123-0-1-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 EHR Contract .....	487	720	1,106
0002 PMO Support .....	51	155	187
0003 Infrastructure Readiness .....	39	412	335
0900 Total new obligations, unexpired accounts .....	577	1,287	1,628
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		205	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	782	1,107	1,603
1930 Total budgetary resources available .....	782	1,312	1,628
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	205	25	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		437	756
3010 New obligations, unexpired accounts .....	577	1,287	1,628
3020 Outlays (gross) .....	-140	-968	-1,345
3050 Unpaid obligations, end of year .....	437	756	1,039
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		437	756
3200 Obligated balance, end of year .....	437	756	1,039
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	782	1,107	1,603
Outlays, gross:			
4010 Outlays from new discretionary authority .....	140	531	769
4011 Outlays from discretionary balances .....		437	576
4020 Outlays, gross (total) .....	140	968	1,345
4180 Budget authority, net (total) .....	782	1,107	1,603
4190 Outlays, net (total) .....	140	968	1,345

The Veterans Electronic Health Care Record appropriation funds necessary expenses related to the development and deployment of a new veterans electronic health record (EHR) system. This new EHR will allow the Department of Veterans Affairs (VA) to move toward a single common health record that has full integration between the Department of Defense and VA, as well as community providers. From the veteran perspective, the new system will provide a single, accurate, lifetime health record while improving patient care and safety.

Object Classification (in millions of dollars)

Identification code 036-1123-0-1-703	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		5	30
12.1 Civilian personnel benefits .....		3	8
21.0 Travel and transportation of persons .....	1	6	4
23.1 Rental payments to GSA .....		3	
25.2 Other services from non-Federal sources .....	556	1,156	1,455
25.3 Other goods and services from Federal sources (FTE to OIT) .....	9	10	1
25.3 Other goods and services from Federal sources (FTE to VHA) .....	5	12	7
25.3 Other goods and services from Federal sources .....		91	120
31.0 Equipment .....	6	1	
32.0 Land and structures .....			3
99.0 Direct obligations .....	577	1,287	1,628
99.9 Total new obligations, unexpired accounts .....	577	1,287	1,628

**Employment Summary**

Identification code 036-1123-0-1-703	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		170	231

**NATIONAL CEMETERY ADMINISTRATION**

For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; hire of passenger motor vehicles; and repair, alteration or improvement of facilities under the jurisdiction of the National Cemetery Administration, **【\$315,836,000】 \$329,000,000**, of which not to exceed 10 percent shall remain available until September 30, **【2020】 2021**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 036-0129-0-1-705	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 036-0129-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0201 Operations and maintenance .....	309	319	329
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	5	2
1012 Unobligated balance transfers between expired and unexpired accounts .....	7	1	1
1050 Unobligated balance (total) .....	12	6	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	306	315	329
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1		
1900 Budget authority (total) .....	307	315	329
1930 Total budgetary resources available .....	319	321	332
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-5		
1941 Unexpired unobligated balance, end of year .....	5	2	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	63	70	58
3010 New obligations, unexpired accounts .....	309	319	329
3011 Obligations ("upward adjustments"), expired accounts .....	6		
3020 Outlays (gross) .....	-296	-331	-293
3041 Recoveries of prior year unpaid obligations, expired .....	-12		
3050 Unpaid obligations, end of year .....	70	58	94
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	63	70	58
3200 Obligated balance, end of year .....	70	58	94
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	307	315	329
Outlays, gross:			
4010 Outlays from new discretionary authority .....	241	266	249
4011 Outlays from discretionary balances .....	55	65	44
4020 Outlays, gross (total) .....	296	331	293
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) .....	-1		
4180 Budget authority, net (total) .....	306	315	329
4190 Outlays, net (total) .....	295	331	293

The mission of the National Cemetery Administration is to honor veterans with final resting places in national shrines and with lasting tributes that commemorate their service to our Nation. The National Cemetery Administration's vision is to serve all veterans and their families with the utmost dignity, respect, and compassion. Every national cemetery will be a place that inspires visitors to understand and appreciate the service and sacrifice of our Nation's veterans. There are a number of related programs managed by the National Cemetery Administration including: 1) burying eligible veterans and their family members in national cemeteries and maintaining the graves and their environs as national shrines; 2) administering grants to States and Tribal organizations in establishing, expanding, improving, or operating veterans cemeteries; 3) providing headstones and markers for the graves of eligible veterans; 4) providing medallions commemorating the veterans's service that may be affixed to the privately purchased headstones or markers for veterans interred in private cemeteries; 5) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veterans' contribution and service to the Nation; 6) providing graveliners or partial reimbursement for a privately purchased outer burial receptacle for each new grave in open national cemeteries administered by the National Cemetery Administration; 7) providing reimbursement caskets and urns for veterans' remains when there are no next of kin and insufficient resources; and 8) recording First Notice of Veteran Deaths into the Department of Veterans Affairs electronic files to ensure timely termination of benefits and next-of-kin notification of possible entitlement to survivor benefits.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund and the National Cemetery Administration Facilities Operation Fund. Through the Gift Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of beautifying national cemeteries or are determined to be beneficial to such cemeteries, or are made for the purpose of the operation, maintenance, or improvement of the National Memorial Cemetery of Arizona.

**Object Classification** (in millions of dollars)

Identification code 036-0129-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	115	121	126
11.3 Other than full-time permanent .....	5	5	5
11.9 Total personnel compensation .....	120	126	131
12.1 Civilian personnel benefits .....	44	47	48
21.0 Travel and transportation of persons .....	3	4	4
22.0 Transportation of things .....	2	2	2
23.1 Rent .....	3	3	3
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	12	11	12
24.0 Printing and reproduction .....	1	2	2
25.2 Other services from non-Federal sources .....	99	102	105
26.0 Supplies and materials .....	12	13	13
31.0 Equipment .....	11	7	7
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	309	319	329

**Employment Summary**

Identification code 036-0129-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,865	1,941	2,008

**SUPPLY FUND**

**Program and Financing** (in millions of dollars)

Identification code 036-4537-0-4-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable program-COGS-Merchandizing .....	535	320	320
0802 Reimbursable program-Other-Operations .....	243	345	345
0803 Reimbursable program-COGS-Printing and publications .....	8	20	20

SUPPLY FUND—Continued  
Program and Financing—Continued

Identification code 036-4537-0-4-705	2018 actual	2019 est.	2020 est.
0804 Reimbursable program-Other .....	29	437	437
0805 Reimbursable program-Equipment-Procurement services and distribution .....	717	934	916
0900 Total new obligations, unexpired accounts .....	1,532	2,056	2,038
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	496	200	244
1021 Recoveries of prior year unpaid obligations .....	118		
1050 Unobligated balance (total) .....	614	200	244
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,200	2,100	2,100
1801 Change in uncollected payments, Federal sources .....	-82		
1850 Spending auth from offsetting collections, mand (total) .....	1,118	2,100	2,100
1930 Total budgetary resources available .....	1,732	2,300	2,344
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	200	244	306
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	836	957	151
3010 New obligations, unexpired accounts .....	1,532	2,056	2,038
3020 Outlays (gross) .....	-1,293	-2,862	-2,079
3040 Recoveries of prior year unpaid obligations, unexpired .....	-118		
3050 Unpaid obligations, end of year .....	957	151	110
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,005	-923	-923
3070 Change in uncollected pymts, Fed sources, unexpired .....	82		
3090 Uncollected pymts, Fed sources, end of year .....	-923	-923	-923
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-169	34	-772
3200 Obligated balance, end of year .....	34	-772	-813
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1,118	2,100	2,100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,995	1,995	
4101 Outlays from mandatory balances .....	1,293	867	84
4110 Outlays, gross (total) .....	1,293	2,862	2,079
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,090	-2,100	-2,100
4123 Non-Federal sources .....	-110		
4130 Offsets against gross budget authority and outlays (total) .....	-1,200	-2,100	-2,100
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	82		
4170 Outlays, net (mandatory) .....	93	762	-21
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	93	762	-21

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for the Department of Veterans Affairs (VA). In this capacity, it provides policy and oversight to VA's acquisition and logistics programs, and provides best value acquisition of goods and services through its National Acquisition Center, Denver Acquisition and Logistics Center, Service and Distribution Center, Technology Acquisition Center and Strategic Acquisition Center. Operating as an intra-governmental revolving fund without fiscal year limitations, the Supply Fund is financed by revenue from fees on acquisitions of supplies, equipment, and services for both VA and other Government agency customers.

Object Classification (in millions of dollars)

Identification code 036-4537-0-4-705	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	98	134	134
12.1 Civilian personnel benefits .....	32		
21.0 Travel and transportation of persons .....	11	15	15

23.1 Rental payments to GSA .....	7	10	
23.3 Communications, utilities, and miscellaneous charges .....	13	18	10
24.0 Printing and reproduction .....	8	11	11
25.2 Other services from non-Federal sources .....	229	314	314
26.0 Supplies and materials .....	462	633	633
31.0 Equipment .....	672	921	921
99.9 Total new obligations, unexpired accounts .....	1,532	2,056	2,038

Employment Summary

Identification code 036-4537-0-4-705	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	957	1,115	1,135

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 036-4539-0-4-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Franchise Fund (Reimbursable) .....	1,062	1,079	1,094
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	115	24	24
1021 Recoveries of prior year unpaid obligations .....	38		
1050 Unobligated balance (total) .....	153	24	24
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	891	1,079	1,094
1701 Change in uncollected payments, Federal sources .....	42		
1750 Spending auth from offsetting collections, disc (total) .....	933	1,079	1,094
1930 Total budgetary resources available .....	1,086	1,103	1,118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	24	24	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	362	480	495
3010 New obligations, unexpired accounts .....	1,062	1,079	1,094
3020 Outlays (gross) .....	-906	-1,064	-1,090
3040 Recoveries of prior year unpaid obligations, unexpired .....	-38		
3050 Unpaid obligations, end of year .....	480	495	499
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-208	-250	-250
3070 Change in uncollected pymts, Fed sources, unexpired .....	-42		
3090 Uncollected pymts, Fed sources, end of year .....	-250	-250	-250
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	154	230	245
3200 Obligated balance, end of year .....	230	245	249
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	933	1,079	1,094
Outlays, gross:			
4010 Outlays from new discretionary authority .....	429	809	820
4011 Outlays from discretionary balances .....	477	255	270
4020 Outlays, gross (total) .....	906	1,064	1,090
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-891	-1,079	-1,094
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-42		
4080 Outlays, net (discretionary) .....	15	-15	-4
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	15	-15	-4

The Department of Veterans Affairs (VA) Franchise Fund was established under the authority of the Government Management Reform Act of 1994 and the VA and Housing and Urban Development and Independent Agencies Act of 1997. VA was selected by the Office of Management and Budget in 1996 as one of the six executive branch agencies to establish a franchise fund pilot program. Created as a revolving fund, the VA Franchise Fund began providing common administrative support services to the VA and

other Government agencies in 1997 on a fee-for-service basis. In 2006, under the Military Quality of Life and Veterans Affairs Appropriations Act (Public Law 109–114), permanent status was conferred upon the VA Franchise Fund. The Franchise Fund concept is intended to increase competition for Government administrative services, resulting in lower costs and higher quality.

**Object Classification** (in millions of dollars)

Identification code 036–4539–0–4–705	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	109	135	150
12.1 Civilian personnel benefits .....	36	44	49
21.0 Travel and transportation of persons .....	3	3	2
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	119	128	128
24.0 Printing and reproduction .....	6	6	6
25.2 Other services from non-Federal sources .....	692	716	717
26.0 Supplies and materials .....	3	9	8
31.0 Equipment .....	85	29	25
99.9 Total new obligations, unexpired accounts .....	1,062	1,079	1,094

**Employment Summary**

Identification code 036–4539–0–4–705	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,383	1,928	2,140

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. Any appropriation for fiscal year [2019]2020 for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" may be transferred as necessary to any other of the mentioned appropriations: *Provided*, That, before a transfer may take place, the Secretary of Veterans Affairs shall [request from]submit notice thereof to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and such Committees issue an approval, or absent a response, a period of 30 days has elapsed].

(INCLUDING TRANSFER OF FUNDS)

SEC. 202. Amounts made available for the Department of Veterans Affairs for fiscal year [2019] 2020, in this or any other Act, under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities" accounts may be transferred among the accounts: *Provided*, That [any transfers among the "Medical Services", "Medical Community Care", and "Medical Support and Compliance" accounts of 1 percent or less of the total amount appropriated to the account in this or any other Act may take place subject to notification from the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress of the amount and purpose of the transfer: *Provided further*, That any transfers among the "Medical Services", "Medical Community Care", and "Medical Support and Compliance" accounts in excess of 1 percent, or exceeding the cumulative 1 percent for the fiscal year, may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued: *Provided further*, That any transfers to or from the "Medical Facilities" account may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued] before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress of the amount and purpose of the transfer.

SEC. 203. Appropriations available in this title for salaries and expenses shall be available for services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; lease of a facility or land or both; and uniforms or allowances therefore, as authorized by sections 5901 through 5902 of title 5, United States Code.

SEC. 204. No appropriations in this title (except the appropriations for "Construction, Major Projects", and "Construction, Minor Projects") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. 205. No appropriations in this title shall be available for hospitalization or examination of any persons (except beneficiaries entitled to such hospitalization or examination under the laws providing such benefits to veterans, and persons receiving such treatment under sections 7901 through 7904 of title 5, United States Code, or

the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)), unless reimbursement of the cost of such hospitalization or examination is made to the "Medical Services" account at such rates as may be fixed by the Secretary of Veterans Affairs.

SEC. 206. Appropriations available in this title for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year [2018] 2019.

SEC. 207. Appropriations available in this title shall be available to pay prior year obligations of corresponding prior year appropriations accounts resulting from sections 3328(a), 3334, and 3712(a) of title 31, United States Code, except that if such obligations are from trust fund accounts they shall be payable only from "Compensation and Pensions".

(INCLUDING TRANSFER OF FUNDS)

SEC. 208. Notwithstanding any other provision of law, during fiscal year [2019] 2020, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund under section 1920 of title 38, United States Code, the Veterans' Special Life Insurance Fund under section 1923 of title 38, United States Code, and the United States Government Life Insurance Fund under section 1955 of title 38, United States Code, reimburse the "General Operating Expenses, Veterans Benefits Administration" and "Information Technology Systems" accounts for the cost of administration of the insurance programs financed through those accounts: *Provided*, That reimbursement shall be made only from the surplus earnings accumulated in such an insurance program during fiscal year [2019] 2020 that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: *Provided further*, That if the cost of administration of such an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: *Provided further*, That the Secretary shall determine the cost of administration for fiscal year [2019] 2020 which is properly allocable to the provision of each such insurance program and to the provision of any total disability income insurance included in that insurance program.

SEC. 209. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 210. Funds available in this title or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management, the Office of Employment Discrimination Complaint Adjudication, [the Office of Accountability and Whistleblower Protection.] and the Office of Diversity and Inclusion for all services provided at rates which will recover actual costs but not to exceed [ \$48,431,000 ] \$57,263,000 for the Office of Resolution Management, [ \$4,333,000 ] \$6,000,000 for the Office of Employment Discrimination Complaint Adjudication, [ \$17,700,000 for the Office of Accountability and Whistleblower Protection ], and [ \$3,230,000 ] \$4,628,000 for the Office of Diversity and Inclusion: *Provided*, That payments may be made in advance for services to be furnished based on estimated costs: *Provided further*, That amounts received shall be credited to the "General Administration" and "Information Technology Systems" accounts for use by the office that provided the service.

SEC. 211. No funds of the Department of Veterans Affairs shall be available for hospital care, nursing home care, or medical services provided to any person under chapter 17 of title 38, United States Code, for a non-service-connected disability described in section 1729(a)(2) of such title, unless that person has disclosed to the Secretary of Veterans Affairs, in such form as the Secretary may require, current, accurate third-party reimbursement information for purposes of section 1729 of such title: *Provided*, That the Secretary may recover, in the same manner as any other debt due the United States, the reasonable charges for such care or services from any person who does not make such disclosure as required: *Provided further*, That any amounts so recovered for care or services provided in a prior fiscal year may be obligated by the Secretary during the fiscal year in which amounts are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 212. Notwithstanding any other provision of law, proceeds or revenues derived from enhanced-use leasing activities (including disposal) may be deposited into the "Construction, Major Projects" and "Construction, Minor Projects" accounts and be used for construction (including site acquisition and disposition), alterations, and improvements of any medical facility under the jurisdiction or for the use of the Department of Veterans Affairs. Such sums as realized are in addition to the amount provided for in "Construction, Major Projects" and "Construction, Minor Projects".

SEC. 213. Amounts made available under "Medical Services" are available—  
(1) for furnishing recreational facilities, supplies, and equipment; and

(2) for funeral expenses, burial expenses, and other expenses incidental to funerals and burials for beneficiaries receiving care in the Department.

(INCLUDING TRANSFER OF FUNDS)

SEC. 214. Such sums as may be deposited [to] in the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, may be transferred to the "Medical Services" and "Medical Community Care" accounts to remain available until expended for the purposes of these accounts.

SEC. 215. The Secretary of Veterans Affairs may enter into agreements with Federally Qualified Health Centers in the State of Alaska and Indian tribes and tribal organizations which are party to the Alaska Native Health Compact with the Indian Health Service, to provide healthcare, including behavioral health and dental care, to veterans in rural Alaska. The Secretary shall require participating veterans and facilities to comply with all appropriate rules and regulations, as established by the Secretary. The term "rural Alaska" shall mean those lands which are not within the boundaries of the municipality of Anchorage or the Fairbanks North Star Borough.

(INCLUDING TRANSFER OF FUNDS)

SEC. 216. Such sums as may be deposited to the Department of Veterans Affairs Capital Asset Fund pursuant to section 8118 of title 38, United States Code, may be transferred to the "Construction, Major Projects" and "Construction, Minor Projects" accounts, to remain available until expended for the purposes of these accounts.

SEC. 217. Not later than 30 days after the end of each fiscal quarter, the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a report on the financial status of the Department of Veterans Affairs for the preceding quarter: *Provided*, That, at a minimum, the report shall include the direction contained in the paragraph entitled "Quarterly reporting", under the heading "General Administration" in the joint explanatory statement accompanying Public Law 114–223.

(INCLUDING TRANSFER OF FUNDS)

SEC. 218. Amounts made available under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", "Medical Facilities", "General Operating Expenses, Veterans Benefits Administration", "Board of Veterans Appeals", "General Administration", and "National Cemetery Administration" accounts for fiscal year [2019] 2020 may be transferred to or from the "Information Technology Systems" account: *Provided*, That such transfers may not result in a more than 10 percent aggregate increase in the total amount made available by this Act for the "Information Technology Systems" account: *Provided further*, That, before a transfer may take place, the Secretary of Veterans Affairs shall [request from] submit notice thereof to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and an approval is issued].

(INCLUDING TRANSFER OF FUNDS)

SEC. 219. Of the amounts appropriated to the Department of Veterans Affairs for fiscal year [2019] 2020 for "Medical Services", "Medical Community Care", "Medical Support and Compliance", "Medical Facilities", "Construction, Minor Projects", and "Information Technology Systems", up to [\$301,578,000] \$314,409,000, plus reimbursements, may be transferred to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. [3571] 2571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress: *Provided further*, That section 220 of title II of division [J] C of Public Law [115–141] 115–244 is repealed.

(INCLUDING TRANSFER OF FUNDS)

SEC. 220. Of the amounts appropriated to the Department of Veterans Affairs which become available on October 1, [2019] 2020, for "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities", up to [\$307,609,000] \$322,931,000, plus reimbursements, may be transferred to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 221. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, for healthcare provided at facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500) shall also be available: (1) for transfer to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571); and (2) for operations of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That, notwithstanding section 1704(b)(3) of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 2573), amounts transferred to the Joint Department of Defense—Department of Veterans Affairs Medical Facility Demonstration Fund shall remain available until expended.

(INCLUDING TRANSFER OF FUNDS)

SEC. 222. Of the amounts available in this title for "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities", a minimum of \$15,000,000 shall be transferred to the DOD-VA Health Care Sharing Incentive Fund, as authorized by section 8111(d) of title 38, United States Code, to remain available until expended, for any purpose authorized by section 8111 of title 38, United States Code.

[SEC. 223. None of the funds available to the Department of Veterans Affairs, in this or any other Act, may be used to replace the current system by which the Veterans Integrated Service Networks select and contract for diabetes monitoring supplies and equipment.]

[SEC. 224. The Secretary of Veterans Affairs shall notify the Committees on Appropriations of both Houses of Congress of all bid savings in a major construction project that total at least \$5,000,000, or 5 percent of the programmed amount of the project, whichever is less: *Provided*, That such notification shall occur within 14 days of a contract identifying the programmed amount: *Provided further*, That the Secretary shall notify the Committees on Appropriations of both Houses of Congress 14 days prior to the obligation of such bid savings and shall describe the anticipated use of such savings.]

[SEC. 225. None of the funds made available for "Construction, Major Projects" may be used for a project in excess of the scope specified for that project in the original justification data provided to the Congress as part of the request for appropriations unless the Secretary of Veterans Affairs receives approval from the Committees on Appropriations of both Houses of Congress.]

[SEC. 226. Not later than 30 days after the end of each fiscal quarter, the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a quarterly report containing performance measures and data from each Veterans Benefits Administration Regional Office: *Provided*, That, at a minimum, the report shall include the direction contained in the section entitled "Disability claims backlog", under the heading "General Operating Expenses, Veterans Benefits Administration" in the joint explanatory statement accompanying Public Law 114–223: *Provided further*, That the report shall also include information on the number of appeals pending at the Veterans Benefits Administration as well as the Board of Veterans Appeals on a quarterly basis.]

[SEC. 227. The Secretary of Veterans Affairs shall provide written notification to the Committees on Appropriations of both Houses of Congress 15 days prior to organizational changes which result in the transfer of 25 or more full-time equivalents from one organizational unit of the Department of Veterans Affairs to another.]

[SEC. 228. The Secretary of Veterans Affairs shall provide on a quarterly basis to the Committees on Appropriations of both Houses of Congress notification of any single national outreach and awareness marketing campaign in which obligations exceed \$2,000,000.]

(INCLUDING TRANSFER OF FUNDS)

SEC. [229] 223. The Secretary of Veterans Affairs, upon determination that such action is necessary to address needs of the Veterans Health Administration, may transfer to the "Medical Services" account any discretionary appropriations made available for fiscal year [2019] 2020 in this title (except appropriations made to the "General Operating Expenses, Veterans Benefits Administration" account) or any discretionary unobligated balances within the Department of Veterans Affairs, including those appropriated for fiscal year [2019] 2020, that were provided in advance by appropriations Acts: *Provided*, That transfers shall be made only with the approval of the Office of Management and Budget: *Provided further*, That the transfer authority provided in this section is in addition to any other transfer authority provided by law: *Provided further*, That no amounts may be transferred from amounts that were designated by Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit

Control Act of 1985: *Provided further*, That such authority to transfer may not be used unless for higher priority items, based on emergent healthcare requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by Congress: *Provided further*, That, upon determination that all or part of the funds transferred from an appropriation are not necessary, such amounts may be transferred back to that appropriation and shall be available for the same purposes as originally appropriated: *Provided further*, That before a transfer may take place, the Secretary of Veterans Affairs shall **request from** *submit notice thereof* to the Committees on Appropriations of both Houses of Congress **the authority to make the transfer and receive approval of that request**.

(INCLUDING TRANSFER OF FUNDS)

**SEC. 224.** Amounts made available for the Department of Veterans Affairs for fiscal year **2019** *2020*, under the "Board of Veterans Appeals" and the "General Operating Expenses, Veterans Benefits Administration" accounts may be transferred between such accounts: *Provided*, That before a transfer may take place, the Secretary of Veterans Affairs shall **request from** *submit notice thereof* to the Committees on Appropriations of both Houses of Congress **the authority to make the transfer and receive approval of that request**.

**SEC. 231.** The Secretary of Veterans Affairs may not reprogram funds among major construction projects or programs if such instance of reprogramming will exceed \$7,000,000, unless such reprogramming is approved by the Committees on Appropriations of both Houses of Congress.

**SEC. 232.** (a) The Secretary of Veterans Affairs shall ensure that the toll-free suicide hotline under section 1720F(h) of title 38, United States Code—

(1) provides to individuals who contact the hotline immediate assistance from a trained professional; and

(2) adheres to all requirements of the American Association of Suicidology.

(b)(1) None of the funds made available by this Act may be used to enforce or otherwise carry out any Executive action that prohibits the Secretary of Veterans Affairs from appointing an individual to occupy a vacant civil service position, or establishing a new civil service position, at the Department of Veterans Affairs with respect to such a position relating to the hotline specified in subsection (a).

(2) In this subsection—

(A) the term "civil service" has the meaning given such term in section 2101(1) of title 5, United States Code; and

(B) the term "Executive action" includes—

(i) any Executive order, presidential memorandum, or other action by the President; and

(ii) any agency policy, order, or other directive.

(c)(1) The Secretary of Veterans Affairs shall conduct a study on the effectiveness of the hotline specified in subsection (a) during the five-year period beginning on January 1, 2016, based on an analysis of national suicide data and data collected from such hotline.

(2) At a minimum, the study required by paragraph (1) shall—

(A) determine the number of veterans who contact the hotline specified in subsection (a) and who receive follow up services from the hotline or mental health services from the Department of Veterans Affairs thereafter;

(B) determine the number of veterans who contact the hotline who are not referred to, or do not continue receiving, mental health care who commit suicide; and

(C) determine the number of veterans described in subparagraph (A) who commit or attempt suicide.

**SEC. 233.** None of the funds in this or any other Act may be used to close Department of Veterans Affairs (VA) hospitals, domiciliaries, or clinics, conduct an environmental assessment, or to diminish healthcare services at existing Veterans Health Administration medical facilities located in Veterans Integrated Service Network 23 as part of a planned realignment of VA services until the Secretary provides to the Committees on Appropriations of both Houses of Congress a report including the following elements:

(1) a national realignment strategy that includes a detailed description of realignment plans within each Veterans Integrated Services Network (VISN), including an updated Long Range Capital Plan to implement realignment requirements;

(2) an explanation of the process by which those plans were developed and coordinated within each VISN;

(3) a cost versus benefit analysis of each planned realignment, including the cost of replacing Veterans Health Administration services with contract care or other outsourced services;

(4) an analysis of how any such planned realignment of services will impact access to care for veterans living in rural or highly rural areas, including travel distances and transportation costs to access a VA medical facility and availability of local specialty and primary care;

(5) an inventory of VA buildings with historic designation and the methodology used to determine the buildings' condition and utilization;

(6) a description of how any realignment will be consistent with requirements under the National Historic Preservation Act; and

(7) consideration given for reuse of historic buildings within newly identified realignment requirements: *Provided*, That, this provision shall not apply to capital projects in VISN 23, or any other VISN, which have been authorized or approved by Congress.

**SEC. 234.** Effective during the period beginning on October 1, 2018 and ending on January 1, 2024, none of the funds made available to the Secretary of Veterans Affairs by this or any other Act may be obligated or expended in contravention of the "Veterans Health Administration Clinical Preventive Services Guidance Statement on the Veterans Health Administration's Screening for Breast Cancer Guidance" published on May 10, 2017, as issued by the Veterans Health Administration National Center for Health Promotion and Disease Prevention.

**SEC. 225.** (a) Notwithstanding any other provision of law, the amounts appropriated or otherwise made available to the Department of Veterans Affairs for the "Medical Services" account may be used to provide—

(1) fertility counseling and treatment using assisted reproductive technology to a covered veteran or the spouse of a covered veteran; or

(2) adoption reimbursement to a covered veteran.

(b) In this section:

(1) The term "service-connected" has the meaning given such term in section 101 of title 38, United States Code.

(2) The term "covered veteran" means a veteran, as such term is defined in section 101 of title 38, United States Code, who has a service-connected disability that results in the inability of the veteran to procreate without the use of fertility treatment.

(3) The term "assisted reproductive technology" means benefits relating to reproductive assistance provided to a member of the Armed Forces who incurs a serious injury or illness on active duty pursuant to section 1074(c)(4)(A) of title 10, United States Code, as described in the memorandum on the subject of "Policy for Assisted Reproductive Services for the Benefit of Seriously or Severely Ill/Injured (Category II or III) Active Duty Service Members" issued by the Assistant Secretary of Defense for Health Affairs on April 3, 2012, and the guidance issued to implement such policy, including any limitations on the amount of such benefits available to such a member except that—

(A) the time periods regarding embryo cryopreservation and storage set forth in part III(G) and in part IV(H) of such memorandum shall not apply; and

(B) such term includes embryo cryopreservation and storage without limitation on the duration of such cryopreservation and storage.

(4) The term "adoption reimbursement" means reimbursement for the adoption-related expenses for an adoption that is finalized after the date of the enactment of this Act under the same terms as apply under the adoption reimbursement program of the Department of Defense, as authorized in Department of Defense Instruction 1341.09, including the reimbursement limits and requirements set forth in such instruction.

(c) Amounts made available for the purposes specified in subsection (a) of this section are subject to the requirements for funds contained in section 508 of division H of the Consolidated Appropriations Act, 2018 (Public Law 115–141).

**[(RESCISSION OF FUNDS)]**

**SEC. 236.** Of the funds made available for fiscal year 2019 under the heading "Department of Veterans Affairs—Veterans Health Administration—Medical Support and Compliance" in title II of division J of the Consolidated Appropriations Act, 2018 (Public Law 115–141), \$211,000,000 is hereby rescinded.

**SEC. 237.** None of the funds appropriated or otherwise made available by this Act or any other Act for the Department of Veterans Affairs may be used in a manner that is inconsistent with: (1) section 842 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109–115; 119 Stat. 2506); or (2) section 8110(a)(5) of title 38, United States Code.

**SEC. 238.** Section 842 of Public Law 109–115 shall not apply to conversion of an activity or function of the Veterans Health Administration, Veterans Benefits Administration, or National Cemetery Administration to contractor performance by a business concern that is at least 51 percent owned by one or more Indian tribes as defined in section 5304(e) of title 25, United States Code, or one or more Native Hawaiian Organizations as defined in section 637(a)(15) of title 15, United States Code.

**SEC. 239.** (a) Except as provided in subsection (b), the Secretary of Veterans Affairs, in consultation with the Secretary of Defense and the Secretary of Labor, shall discontinue using Social Security account numbers to identify individuals in all information systems of the Department of Veterans Affairs as follows:

(1) For all veterans submitting to the Secretary of Veterans Affairs new claims for benefits under laws administered by the Secretary, not later than 5 years after the date of the enactment of this Act.

(2) For all individuals not described in paragraph (1), not later than 8 years after the date of the enactment of this Act.

(b) The Secretary of Veterans Affairs may use a Social Security account number to identify an individual in an information system of the Department of Veterans Affairs if and only if the use of such number is required to obtain information the Secretary requires from an information system that is not under the jurisdiction of the Secretary.】

【SEC. 240. For funds provided to the Department of Veterans Affairs for each of fiscal year 2019 and 2020 for "Medical Services", section 239 of Division A of Public Law 114–223 shall apply.】

【SEC. 241. None of the funds appropriated in this or prior appropriations Acts or otherwise made available to the Department of Veterans Affairs may be used to transfer any amounts from the Filipino Veterans Equity Compensation Fund to any other account within the Department of Veterans Affairs.】

SEC. 【242】226. Of the funds provided to the Department of Veterans Affairs for each of fiscal year 【2019】2020 and fiscal year 【2020】2021 for "Medical Services", funds may be used in each year to carry out and expand the child care program authorized by section 205 of Public Law 111–163, notwithstanding subsection (e) of such section.

【SEC. 243. For funds provided to the Department of Veterans Affairs for each of fiscal year 2019 and 2020, section of Division A of Public Law 114–223 shall apply.】

【SEC. 244. (a) The Secretary of Veterans Affairs may use amounts appropriated or otherwise made available in this title to ensure that the ratio of veterans to full-time employment equivalents within any program of rehabilitation conducted under chapter 31 of title 38, United States Code, does not exceed 125 veterans to one full-time employment equivalent.

(b) Not later than 180 days after the date of the enactment of this Act, the Secretary shall submit to Congress a report on the programs of rehabilitation conducted under chapter 31 of title 38, United States Code, including—

(1) an assessment of the veteran-to-staff ratio for each such program; and

(2) recommendations for such action as the Secretary considers necessary to reduce the veteran-to-staff ratio for each such program.】

【SEC. 245. None of the funds appropriated or otherwise made available in this title may be used by the Secretary of Veterans Affairs to enter into an agreement related to resolving a dispute or claim with an individual that would restrict in any way the individual from speaking to members of Congress or their staff on any topic not otherwise prohibited from disclosure by Federal law or required by Executive Order to be kept secret in the interest of national defense or the conduct of foreign affairs.】

【SEC. 246. For funds provided to the Department of Veterans Affairs for each of fiscal year 2019 and 2020, section 258 of Division A of Public Law 114–223 shall apply.】

【SEC. 247. None of the funds appropriated or otherwise made available by this Act may be used to conduct research using canines unless: the scientific objectives of the study can only be met by research with canines; the study has been directly approved by the Secretary; and the study is consistent with the revised Department of Veterans Affairs canine research policy document released on December 18, 2017: *Provided*, That not later than 180 days after enactment of this Act, the Secretary shall submit to the Committees on Appropriations of both Houses of Congress a detailed report outlining under what circumstances canine research may be needed if there are no other alternatives, how often it was used during that time period, and what protocols are in place to determine both the safety and efficacy of the research.】

【SEC. 248. For an additional amount for the Department of Veterans Affairs, \$2,000,000,000 to remain available until expended, for infrastructure improvements, including new construction, and in addition to amounts otherwise made available in this Act for such purpose, of which:

(1) \$750,000,000 shall be available for seismic improvement projects and seismic program management activities, including projects that would otherwise be funded by the Construction, Major Projects, the Construction, Minor Projects, Medical Facilities, or National Cemetery Administration accounts;

(2) \$300,000,000 shall be for "Departmental Administration—Construction, Major Projects";

(3) \$800,000,000 shall be for "Veterans Health Administration—Medical Facilities" to be used for non-recurring maintenance; and

(4) \$150,000,000 shall be for "Departmental Administration—Construction, Minor Projects":.】

【*Provided*, That the additional amounts appropriated for the purposes of non-recurring maintenance and minor construction may be used to carry out critical life-safety projects identified in the Department's annual facility condition assessments;

sustainment projects; modernization projects; infrastructure repair; renovations at existing Veterans Health Administration medical centers and outpatient clinics; and projects included in the Strategic Capital Investment Process plan: *Provided further*, That funds made available under this section for "Construction, Major Projects" shall be available for previously authorized and partially funded major construction projects: *Provided further*, That notwithstanding the requirements of section 8104(a) of title 38, United States Code, amounts made available under this heading for seismic improvement projects and seismic program management activities shall be available for the completion of both new and existing projects of the Department: *Provided further*, That the additional amounts appropriated under this section may not be obligated or expended until the Secretary of Veterans Affairs submits to the Committees on Appropriations of both Houses of Congress, and such Committees approve, a detailed expenditure plan, including project descriptions and costs, for any non-recurring maintenance, minor construction, major construction, or seismic improvement project being funded with the additional amounts made available in this administrative provision.】

【SEC. 249. (a) PROHIBITION ON USE OF FUNDS.—None of the funds appropriated or otherwise made available by this Act may be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency of the United States Government over which such Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.), or to prevent or impede the access of such Inspector General to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to such Inspector General and expressly limits the right of access of such Inspector General.

(b) TIMELY ACCESS.—A department or agency covered by this section shall provide its Inspector General access to all records, documents, and other materials in a timely manner.

(c) COMPLIANCE.—Each Inspector General covered by this section shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.).

(d) REPORT.—Each Inspector General covered by this section shall report to the Committee on Appropriations of the Senate and the Committee on Appropriations of the House of Representatives within 5 calendar days of any failure by any department or agency covered by this section to comply with this section.】

【SEC. 250. (a) PLAN REQUIRED.—Not later than 90 days after the date of the enactment of this Act, the Secretary of Veterans Affairs shall submit to the appropriate committees of Congress a plan to reduce the chances that clinical mistakes by employees of the Department of Veterans Affairs will result in adverse events that require institutional or clinical disclosures and to prevent any unnecessary hardship for patients and families impacted by such adverse events.

(b) ELEMENTS.—The plan required by subsection (a) shall include the following:

(1) A description of a process for the timely identification of individuals impacted by disclosures described in subsection (a) and the process for contacting those individuals or their next of kin.

(2) A description of procedures for expediting any remedial or follow-up care required for those individuals.

(3) A detailed outline of proposed changes to the process of the Department for clinical quality checks and oversight.

(4) A communication plan to ensure all facilities of the Department are made aware of any requirements updated pursuant to the plan.

(5) A timeline detailing the implementation of the plan.

(6) An identification of the senior executive of the Department responsible for ensuring compliance with the plan.

(7) An identification of potential impacts of the plan on timely diagnoses for patients.

(8) An identification of the processes and procedures for employees of the Department to make leadership at the facility and the Department aware of adverse events that are concerning and that result in disclosures and to ensure that the medical impact on veterans of such disclosures is minimized.

(c) APPROPRIATE COMMITTEES OF CONGRESS DEFINED.—In this section, the term "appropriate committees of Congress" means—

(1) the Committee on Veterans' Affairs and the Subcommittee on Military Construction, Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate; and

(2) the Committee on Veterans' Affairs and the Subcommittee on Military Construction, Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives.】

【SEC. 251. None of the funds made available in this Act may be used in a manner that would increase wait times for veterans who seek care at medical facilities of the Department of Veterans Affairs.】

【SEC. 252. None of the funds appropriated or otherwise made available by this Act to the Veterans Health Administration may be used in fiscal year 2019 to convert any program which received specific purpose funds in fiscal year 2018 to a general purpose funded program unless the Secretary of Veterans Affairs submits written notification of any such proposal to the Committees on Appropriations of both Houses of Congress at least thirty days prior to any such action and an approval is issued by the Committees.】 (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
036-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	4	6	7
036-247300 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984 .....	134	116	101
036-273330 Housing Downward Reestimates .....	2,475	3,496	
036-275110 Native American Veteran Housing Loans, Negative Subsidies .....	1	1	1
036-275510 Housing Negative Subsidies .....		2	475
036-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	48	50	51
General Fund Offsetting receipts from the public .....	2,662	3,671	635
Intragovernmental payments:			
036-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	1	3	5
General Fund Intragovernmental payments .....	1	3	5

## TITLE IV—GENERAL PROVISIONS

### GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

【SEC. 503. All departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of "E-Commerce" technologies and procedures in the conduct of their business practices and public service activities.】

SEC. 【504】503. Unless stated otherwise, all reports and notifications required by this Act shall be submitted to the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives and the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate.

SEC. 【505】504. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government except

pursuant to a transfer made by, or transfer authority provided in, this or any other appropriations Act.

SEC. 【506】505. None of the funds made available in this Act may be used for a project or program named for an individual serving as a Member, Delegate, or Resident Commissioner of the United States House of Representatives.

【SEC. 507. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains confidential or proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.】

SEC. 【508】506. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 【509】507. None of the funds made available in this Act may be used by an agency of the executive branch to pay for first-class travel by an employee of the agency in contravention of sections 301-10.122 through 301-10.124 of title 41, Code of Federal Regulations.

SEC. 【510】508. None of the funds made available in this Act may be used to execute a contract for goods or services, including construction services, where the contractor has not complied with Executive Order No. 12989.

【SEC. 511. None of the funds made available by this Act may be used by the Department of Defense or the Department of Veterans Affairs to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum—Federal Fleet Performance, dated May 24, 2011.】

【SEC. 512. (a) IN GENERAL.—None of the funds appropriated or otherwise made available to the Department of Defense in this Act may be used to construct, renovate, or expand any facility in the United States, its territories, or possessions to house any individual detained at United States Naval Station, Guantanamo Bay, Cuba, for the purposes of detention or imprisonment in the custody or under the control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

- (1) is not a citizen of the United States or a member of the Armed Forces of the United States; and
- (2) is—
  - (A) in the custody or under the effective control of the Department of Defense; or
  - (B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.】

(*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)



## CORPS OF ENGINEERS—CIVIL WORKS

The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to [river and harbor] *commercial navigation*, flood and storm damage reduction, [shore protection,] aquatic ecosystem restoration, and related efforts.

### Federal Funds

#### OFFICE OF THE ASSISTANT SECRETARY OF THE ARMY FOR CIVIL WORKS

For the Office of the Assistant Secretary of the Army for Civil Works [as authorized by 10 U.S.C. 3016(b)(3)], \$5,000,000, to remain available until September 30, [2020] 2021: *Provided*, That not more than 25 percent of such amount may be obligated or expended until the Assistant Secretary submits to the Committees on Appropriations of both Houses of Congress a work plan that allocates at least 95 percent of the additional funding provided under each heading in this title, as designated under such heading in the joint explanatory statement accompanying this Act, to specific programs, projects, or activities]. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

#### Program and Financing (in millions of dollars)

Identification code 096-3132-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Assistant Secretary of the Army (Civil Works) .....	5	5	5
0900 Total new obligations, unexpired accounts (object class 25.3) .....	5	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
1930 Total budgetary resources available .....	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	3
3010 New obligations, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-4	-6	-6
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	4	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	3
3200 Obligated balance, end of year .....	4	3	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	5	5
4011 Outlays from discretionary balances .....	3	1	1
4020 Outlays, gross (total) .....	4	6	6
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	4	6	6

This appropriation funds strategic planning for and overall supervision of the Army's civil works program.

### CONSTRUCTION

For expenses necessary for the construction of [river and harbor] *commercial navigation*, flood and storm damage reduction, [shore protection,] and aquatic ecosystem restoration *projects*, and related [projects authorized by law] *efforts*; for [conducting detailed] studies, *design work*, and plans and specifications [.] of such projects, [(including those involving participation by States, local governments, or private groups) authorized or made eligible for selection by law (but such detailed studies, and plans and specifications, shall not constitute a commitment of the Government to construction);] and related *efforts*; [\$2,183,000,000]

\$1,170,200,000, to remain available until expended [.] of which such sums as are necessary to cover the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust Fund as authorized by Public Law 104-303; and of which such sums as are necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects, except for Chickamauga Lock, Tennessee River, Tennessee, which shall be 15 percent during the fiscal year covered by this Act, shall be derived from the Inland Waterways Trust Fund, except as otherwise specifically provided for in law: *Provided*, That the Secretary shall initiate five new construction starts during fiscal year 2019: *Provided further*, That for new construction projects, project cost sharing agreements shall be executed as soon as practicable but no later than September 30, 2019: *Provided further*, That no allocation for a new start shall be considered final and no work allowance shall be made until the Secretary provides to the Committees on Appropriations of both Houses of Congress an out-year funding scenario demonstrating the affordability of the selected new starts and the impacts on other projects: *Provided further*, That the Secretary may not deviate from the new starts proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of both Houses of Congress]. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

#### Program and Financing (in millions of dollars)

Identification code 096-3122-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	551	1,760	1,802
0002 Flood Risk Management .....	767	2,450	2,559
0003 Aquatic Ecosystem Restoration .....	421	1,344	1,427
0004 Hydropower .....	.....	.....	1
0005 Multipurpose and Other Programs .....	102	326	333
0100 Direct program subtotal .....	1,841	5,880	6,122
0799 Total direct obligations .....	1,841	5,880	6,122
0801 Department of Homeland Security .....	396	396	396
0802 Department of Veteran Affairs .....	548	549	549
0803 Environmental Protection Agency .....	97	97	97
0804 National Aeronautics and Space Administration .....	7	7	7
0805 Department of Energy .....	184	184	184
0806 Other Federal Agencies .....	262	262	262
0807 Non-Federal Agencies .....	147	147	147
0808 Intra-Corps .....	171	171	171
0899 Total reimbursable obligations .....	1,812	1,813	1,813
0900 Total new obligations, unexpired accounts .....	3,653	7,693	7,935
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5,222	23,290	19,161
1011 Unobligated balance transfer from other acct [096-3125] ...	519	.....	.....
1011 Unobligated balance transfer from other acct [096-3123] ...	210	.....	.....
1021 Recoveries of prior year unpaid obligations .....	147	.....	.....
1033 Recoveries of prior year paid obligations .....	2	.....	.....
1050 Unobligated balance (total) .....	6,100	23,290	19,161
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17,034	1,998	1,170
Spending authority from offsetting collections, discretionary:			
1700 Collected (Inland Waterways Trust Fund) .....	48	116	56
1700 Collected (Inland Waterways Trust Fund Prior Year) .....	1	.....	.....
1700 Collected (Harbor Maintenance Trust Fund) .....	59	69	18
1700 Collected (Harbor Maintenance Trust Fund (Prior Year) .....	1	.....	.....
1700 Collected .....	2,180	1,381	974
1701 Change in uncollected payments, Federal sources .....	1,520	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	3,809	1,566	1,048
1900 Budget authority (total) .....	20,843	3,564	2,218
1930 Total budgetary resources available .....	26,943	26,854	21,379
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	23,290	19,161	13,444
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,448	4,034	7,143
3010 New obligations, unexpired accounts .....	3,653	7,693	7,935
3020 Outlays (gross) .....	-2,920	-4,584	-5,158
3040 Recoveries of prior year unpaid obligations, unexpired .....	-147	.....	.....
3050 Unpaid obligations, end of year .....	4,034	7,143	9,920

CONSTRUCTION—Continued  
Program and Financing—Continued

Identification code 096-3122-0-1-301	2018 actual	2019 est.	2020 est.
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,668	-3,188	-3,188
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1,520		
3090 Uncollected pymts, Fed sources, end of year .....	-3,188	-3,188	-3,188
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,780	846	3,955
3200 Obligated balance, end of year .....	846	3,955	6,732
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20,843	3,564	2,218
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	1,695	1,085
4011 Outlays from discretionary balances .....	2,918	2,889	4,073
4020 Outlays, gross (total) .....	2,920	4,584	5,158
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1,766	-1,566	-1,048
4033 Non-Federal sources .....	-525		
4040 Offsets against gross budget authority and outlays (total) ....	-2,291	-1,566	-1,048
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1,520		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	-1,518		
4070 Budget authority, net (discretionary) .....	17,034	1,998	1,170
4080 Outlays, net (discretionary) .....	629	3,018	4,110
4180 Budget authority, net (total) .....	17,034	1,998	1,170
4190 Outlays, net (total) .....	629	3,018	4,110

This appropriation funds the construction, replacement, rehabilitation, and expansion of water resources projects whose principal purpose is to provide commercial navigation, flood and storm damage reduction, or aquatic ecosystem restoration benefits to the Nation, and related efforts.

This account allocates funds on a performance basis to high-performing projects. The Budget funds those investments within the three main mission areas of the Corps civil works program—commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration—as well as related efforts (such as hydropower at multi-purpose dams) that provide the best economic, environmental, and public safety returns to the Nation. The Budget accelerates the completion of projects, reduces costs to taxpayers and promotes greater non-Federal control of water resources projects by funding two new innovative programs. It includes \$150 million for a program under which the Corps would transfer appropriated funds to non-Federal sponsors who decide to construct a project on their own under section 1043 of the Water Resources Reform and Development Act of 2014, as amended. The Budget proposes to extend section 1043 which, under current law, expires in 2019. It also includes \$150 million that the Corps would allocate to projects whose non-Federal sponsors have agreed to contribute more funding towards the cost of construction than is statutorily required.

This account includes \$62.1 million for work under the Comprehensive Everglades Restoration Plan (CERP), which represents approximately 5.3 percent of the total amount in this account and approximately 1.3 percent of the total amount in the civil works program. Funding CERP at this level would not have a significant impact on the overall civil works program in 2020. Construction account funding for CERP in future years will depend on the availability of funds, so the impact of such future funding on the overall civil works program cannot be determined at this time. Funding for the Department of the Interior (DOI) includes an additional \$7.83 million for work under CERP. This account also includes approximately \$1.2 million for other ecosystem restoration work by the Corps in South Florida, including the Everglades ecosystem. Funding for DOI includes \$48.2 million for such non-CERP work. The Budget for the two agencies includes a total of \$119.2 million for ecosystem restoration work in South Florida,

of which \$69.9 million is for CERP and \$49.3 million is for non-CERP work. (P.L. 106-541 section 601)

This appropriation also funds the Corps continuing authorities programs, which involve the planning, design, and construction of smaller projects that do not require specific authorizing legislation.

Object Classification (in millions of dollars)

Identification code 096-3122-0-1-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	248	253	255
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	10	10	10
11.8 Special personal services payments .....	22	22	22
11.9 Total personnel compensation .....	284	290	292
12.1 Civilian personnel benefits .....	44	44	44
21.0 Travel and transportation of persons .....	4	15	15
23.3 Communications, utilities, and miscellaneous charges .....	1	4	4
25.1 Advisory and assistance services .....	18	65	67
25.2 Other services from non-Federal sources .....	78	283	290
25.3 Purchase of goods and services from Government accounts .....	62	294	302
25.4 Operation and maintenance of facilities .....	4	15	15
26.0 Supplies and materials .....	1	4	4
31.0 Equipment .....	1	4	4
32.0 Land and structures .....	1,340	4,862	5,085
41.0 Grants, subsidies, and contributions .....	2		
42.0 Insurance claims and indemnities .....	2		
99.0 Direct obligations .....	1,841	5,880	6,122
99.0 Reimbursable obligations .....	1,812	1,813	1,813
99.9 Total new obligations, unexpired accounts .....	3,653	7,693	7,935

Employment Summary

Identification code 096-3122-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,339	2,502	2,502
2001 Reimbursable civilian full-time equivalent employment .....	553	668	668

OPERATION AND MAINTENANCE

For expenses necessary for the operation, maintenance, and care of existing [river and harbor] commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration [ , and related] projects [authorized by law] , and related efforts; providing security for infrastructure owned or operated by the Corps, including administrative buildings and laboratories; maintaining harbor channels provided by a State, municipality, or other public agency that serve essential navigation needs of general commerce, where authorized by law; surveying and charting northern and northwestern lakes and connecting waters; clearing and straightening channels; and removing obstructions to navigation, [\$3,739,500,000] 1,930,428,000, to remain available until expended [ , ] ; of which such sums as [are necessary to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels, and for inland harbors shall be derived from the Harbor Maintenance Trust Fund; of which such sums as] become available from the special account for the Corps of Engineers established by the Land and Water Conservation Fund Act of 1965 shall be derived from that account for resource protection, research, interpretation, and maintenance activities related to resource protection in the areas managed by the Army Corps of Engineers at which outdoor recreation is available; and of which such sums as become available from fees collected under section 217 of Public Law 104-303 shall be used to cover the cost of operation and maintenance of the dredged material disposal facilities for which such fees have been collected [ : Provided, That 1 percent of the total amount of funds provided for each of the programs, projects, or activities funded under this heading shall not be allocated to a field operating activity prior to the beginning of the fourth quarter of the fiscal year and shall be available for use by the Chief of Engineers to fund such emergency activities as the Chief of Engineers determines to be necessary and appropriate, and that the Chief of Engineers shall allocate during the fourth quarter any remaining funds which have not been used for emergency activities proportionally in accordance with the amounts provided for the programs, projects, or activities] . (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 096-3123-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	1,773	1,117	1,380
0002 Flood Risk Management .....	268	169	209
0003 Aquatic Ecosystem Restoration .....	20	13	16
0004 Hydropower .....	11	7	9
0005 Multipurpose and Other Programs .....	1,611	1,015	1,254
0006 Emergency Management .....	5	3	3
0799 Total direct obligations .....	3,688	2,324	2,871
0801 Department of Homeland Security .....	6	6	6
0804 National Aeronautics and Space Administration .....	1	1	1
0805 Department of Energy .....	6	6	6
0806 Other Federal Agencies .....	15	15	15
0807 Non-Federal Agencies .....	44	44	44
0808 Intra-Corps .....	170	170	170
0899 Total reimbursable obligations .....	242	242	242
0900 Total new obligations, unexpired accounts .....	3,930	2,566	3,113
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,011	1,470	2,939
1010 Unobligated balance transfer to other accts [096-3122] .....	-210		
1021 Recoveries of prior year unpaid obligations .....	61		
1050 Unobligated balance (total) .....	862	1,470	2,939
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,914	2,188	1,876
1121 Appropriations transferred from other acct [096-5383] .....	54	54	54
1160 Appropriation, discretionary (total) .....	2,968	2,242	1,930
Spending authority from offsetting collections, discretionary:			
1700 Collected (Harbor Maintenance Trust Fund) .....	1,269	1,498	942
1700 Collected (Operation and Maintenance Prior Year) .....	58		
1700 Collected (Operation and Maintenance) .....	251	295	295
1701 Change in uncollected payments, Federal sources .....	-3		
1710 Spending authority from offsetting collections transferred to other accounts [089-4045] .....	-5		
1750 Spending auth from offsetting collections, disc (total) .....	1,570	1,793	1,237
1900 Budget authority (total) .....	4,538	4,035	3,167
1930 Total budgetary resources available .....	5,400	5,505	6,106
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,470	2,939	2,993
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,475	1,844	446
3010 New obligations, unexpired accounts .....	3,930	2,566	3,113
3020 Outlays (gross) .....	-3,500	-3,964	-3,358
3040 Recoveries of prior year unpaid obligations, unexpired .....	-61		
3050 Unpaid obligations, end of year .....	1,844	446	201
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-137	-134	-134
3070 Change in uncollected pymts, Fed sources, unexpired .....	3		
3090 Uncollected pymts, Fed sources, end of year .....	-134	-134	-134
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,338	1,710	312
3200 Obligated balance, end of year .....	1,710	312	67
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,538	4,035	3,167
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,084	2,130	1,757
4011 Outlays from discretionary balances .....	2,416	1,834	1,601
4020 Outlays, gross (total) .....	3,500	3,964	3,358
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: .....	-1,526	-1,793	-1,237
4033 Non-Federal sources: .....	-52		
4040 Offsets against gross budget authority and outlays (total) .....	-1,578	-1,793	-1,237
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3		
4060 Additional offsets against budget authority only (total) .....	3		
4070 Budget authority, net (discretionary) .....	2,963	2,242	1,930
4080 Outlays, net (discretionary) .....	1,922	2,171	2,121
4180 Budget authority, net (total) .....	2,963	2,242	1,930

4190 Outlays, net (total) .....	1,922	2,171	2,121
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This appropriation funds inspection, operation, maintenance, and related activities for water resources projects operated and maintained by the Corps. These projects include navigation channels, navigation locks and dams, structures to reduce the risk of flood and storm damage (e.g., levees), and multi-purpose projects, as authorized in River and Harbor, Flood Control, and Water Resources Development Acts and other laws. Key infrastructure that is of central importance to the Nation and the continued safety of the public is given the highest priority for funding in this account.

This appropriation funds all of the costs associated with protecting Corps facilities from potential security threats. It also funds the national emergency preparedness program under Executive Order 11490.

**Object Classification** (in millions of dollars)

Identification code 096-3123-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	930	944	953
11.3 Other than full-time permanent .....	9	8	9
11.5 Other personnel compensation .....	47	49	49
11.8 Special personal services payments .....	9	9	9
11.9 Total personnel compensation .....	995	1,010	1,020
12.1 Civilian personnel benefits .....	188	184	186
21.0 Travel and transportation of persons .....	32	15	21
22.0 Transportation of things .....	1		1
23.2 Rental payments to others .....	1		1
23.3 Communications, utilities, and miscellaneous charges .....	33	15	22
25.1 Advisory and assistance services .....	20	9	13
25.2 Other services from non-Federal sources .....	341	155	227
25.3 Other goods and services from Federal sources .....	570	253	376
25.4 Operation and maintenance of facilities .....	296	134	197
25.7 Operation and maintenance of equipment .....	4	2	3
26.0 Supplies and materials .....	56	25	37
31.0 Equipment .....	22	10	15
32.0 Land and structures .....	1,129	512	752
99.0 Direct obligations .....	3,688	2,324	2,871
99.0 Reimbursable obligations .....	242	242	242
99.9 Total new obligations, unexpired accounts .....	3,930	2,566	3,113

**Employment Summary**

Identification code 096-3123-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12,686	12,600	12,600
2001 Reimbursable civilian full-time equivalent employment .....	154	186	186

**SPECIAL RECREATION USER FEE**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-5383-0-2-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	54	60	62
<b>Receipts:</b>			
Current law:			
1130 Special Recreation Use Fees, Corps of Engineers .....	57	55	55
1130 User Fees, Fund for Non-Federal Use of Disposal Facilities .....	3	1	1
1199 Total current law receipts .....	60	56	56
1999 Total receipts .....	60	56	56
2000 Total: Balances and receipts .....	114	116	118
<b>Appropriations:</b>			
Current law:			
2101 Special Recreation User Fee .....	-54	-54	-54
5099 Balance, end of year .....	60	62	64

SPECIAL RECREATION USER FEE—Continued

Program and Financing (in millions of dollars)

Identification code 096-5383-0-2-301	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	54	54	54
1120 Appropriations transferred to other acts [096-3123] .....	-54	-54	-54
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Pursuant to the requirements of 16 U.S.C. 460d-3, the Corps deposits certain recreation use fees collected at Corps projects into this account. Types of fees include daily user fees, camping fees, recreational fees, annual pass fees, and other permit type fees. Pursuant to appropriations acts, funding in the Operation and Maintenance appropriation is derived in part from this account for resource protection, research, interpretation, and maintenance activities related to resource protection at Corps projects where outdoor recreation is available.

MISSISSIPPI RIVER AND TRIBUTARIES

For expenses necessary for flood damage reduction projects and related efforts in the Mississippi River alluvial valley below Cape Girardeau, Missouri, as authorized by law, **[\$368,000,000]** \$209,872,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for inland harbors shall be derived from the Harbor Maintenance Trust Fund. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 096-3112-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation .....	59	41	34
0002 Flood Risk Management .....	476	329	277
0005 Multipurpose and Other Programs .....	105	73	61
0799 Total direct obligations .....	640	443	372
0808 Intra-Corps .....	41	41	41
0900 Total new obligations, unexpired accounts .....	681	484	413
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	276	893	840
1021 Recoveries of prior year unpaid obligations .....	14		
1033 Recoveries of prior year paid obligations .....	6		
1050 Unobligated balance (total) .....	296	893	840
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,190	362	210
Spending authority from offsetting collections, discretionary:			
1700 Collected (Harbor Maintenance Trust Fund) .....	5	6	5
1700 Collected (MR&T) .....	67	63	63
1701 Change in uncollected payments, Federal sources .....	16		
1750 Spending auth from offsetting collections, disc (total) .....	88	69	68
1900 Budget authority (total) .....	1,278	431	278
1930 Total budgetary resources available .....	1,574	1,324	1,118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	893	840	705
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	258	445	318
3010 New obligations, unexpired accounts .....	681	484	413
3020 Outlays (gross) .....	-480	-611	-505
3040 Recoveries of prior year unpaid obligations, unexpired .....	-14		
3050 Unpaid obligations, end of year .....	445	318	226
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-58	-74	-74
3070 Change in uncollected pymts, Fed sources, unexpired .....	-16		
3090 Uncollected pymts, Fed sources, end of year .....	-74	-74	-74
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	200	371	244

3200 Obligated balance, end of year .....	371	244	152
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,278	431	278
Outlays, gross:			
4010 Outlays from new discretionary authority .....	28	233	114
4011 Outlays from discretionary balances .....	452	378	391
4020 Outlays, gross (total) .....	480	611	505
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-71	-69	-68
4033 Non-Federal sources .....	-7		
4040 Offsets against gross budget authority and outlays (total) .....	-78	-69	-68
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-16		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	6		
4060 Additional offsets against budget authority only (total) .....	-10		
4070 Budget authority, net (discretionary) .....	1,190	362	210
4080 Outlays, net (discretionary) .....	402	542	437
4180 Budget authority, net (total) .....	1,190	362	210
4190 Outlays, net (total) .....	402	542	437

This appropriation funds planning, design, construction, and operation and maintenance activities associated with projects to reduce the risk of flood damage in the lower Mississippi River alluvial valley below Cape Girardeau, Missouri.

Object Classification (in millions of dollars)

Identification code 096-3112-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	73	77	77
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	5	5	5
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	80	84	84
12.1 Civilian personnel benefits .....	15	15	15
21.0 Travel and transportation of persons .....	3	2	1
23.3 Communications, utilities, and miscellaneous .....	3	2	1
25.2 Other services from non-Federal sources .....	28	17	14
25.3 Purchase goods & svcs. fm Government .....	135	86	68
25.4 Operation and maintenance of facilities .....	13	8	7
26.0 Supplies and materials .....	14	8	7
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	348	220	174
99.0 Direct obligations .....	640	443	372
99.0 Reimbursable obligations .....	41	41	41
99.9 Total new obligations, unexpired accounts .....	681	484	413

Employment Summary

Identification code 096-3112-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,049	1,100	1,100
2001 Reimbursable civilian full-time equivalent employment .....	4	4	4

FLOOD CONTROL AND COASTAL EMERGENCIES

For expenses necessary to prepare for flood, hurricane, and other natural disasters and support emergency operations, repairs, and other activities in response to such disasters as authorized by law, **[\$35,000,000]** \$27,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 096-3125-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0006 Emergency Management .....	607	776	584
0801 Department of Homeland Security .....	4,596	836	836
0807 Non-Federal Agencies .....	6	1	1

0808	Intra-Corps .....	1,783	325	325
0899	Total reimbursable obligations .....	6,385	1,162	1,162
0900	Total new obligations, unexpired accounts .....	6,992	1,938	1,746
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,707	2,382	1,305
1010	Unobligated balance transfer to other accts [096–3122] .....	-519		
1021	Recoveries of prior year unpaid obligations .....	28		
1050	Unobligated balance (total) .....	2,216	2,382	1,305
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	845	35	27
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	4,471	826	826
1701	Change in uncollected payments, Federal sources .....	1,842		
1750	Spending auth from offsetting collections, disc (total) .....	6,313	826	826
1900	Budget authority (total) .....	7,158	861	853
1930	Total budgetary resources available .....	9,374	3,243	2,158
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2,382	1,305	412

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	480	2,456	2,878
3010	New obligations, unexpired accounts .....	6,992	1,938	1,746
3020	Outlays (gross) .....	-4,988	-1,516	-1,404
3040	Recoveries of prior year unpaid obligations, unexpired .....	-28		
3050	Unpaid obligations, end of year .....	2,456	2,878	3,220
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-678	-2,520	-2,520
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,842		
3090	Uncollected pymts, Fed sources, end of year .....	-2,520	-2,520	-2,520
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-198	-64	358
3200	Obligated balance, end of year .....	-64	358	700

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	7,158	861	853
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3,816	844	840
4011	Outlays from discretionary balances .....	1,172	672	564
4020	Outlays, gross (total) .....	4,988	1,516	1,404
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-4,465	-826	-826
4033	Non-Federal sources .....	-6		
4040	Offsets against gross budget authority and outlays (total) ....	-4,471	-826	-826
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,842		
4060	Additional offsets against budget authority only (total) .....	-1,842		
4070	Budget authority, net (discretionary) .....	845	35	27
4080	Outlays, net (discretionary) .....	517	690	578
4180	Budget authority, net (total) .....	845	35	27
4190	Outlays, net (total) .....	517	690	578

This appropriation funds the planning, training, exercises, and other preparedness measures that help the Corps respond to floods, hurricanes, and other natural disasters, and support emergency operations in response to such natural disasters, including advance measures, flood fighting, providing potable water, and the repair of certain damaged flood and storm damage reduction projects. The funding in the Budget is for preparedness and training activities.

**Object Classification** (in millions of dollars)

Identification code 096–3125–0–1–301		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	66	68	68
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	6	5	5
11.9	Total personnel compensation .....	73	74	74
12.1	Civilian personnel benefits .....	14	13	13

21.0	Travel and transportation of persons .....	3	4	3
25.1	Advisory and assistance services .....	113	150	108
25.2	Other services from non-Federal sources .....	178	236	170
25.3	Other goods and services from Federal sources .....	24	32	23
25.4	Operation and maintenance of facilities .....	26	34	25
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	2	3	2
32.0	Land and structures .....	173	229	165
99.0	Direct obligations .....	607	776	584
99.0	Reimbursable obligations .....	6,385	1,162	1,162
99.9	Total new obligations, unexpired accounts .....	6,992	1,938	1,746

**Employment Summary**

Identification code 096–3125–0–1–301		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	1,345	1,100	1,100
2001	Reimbursable civilian full-time equivalent employment .....	861	1,041	1,041

**INVESTIGATIONS**

For expenses necessary [where authorized by law] for the collection and study of basic information pertaining to the development, management, restoration, and protection of water resources [river and harbor, flood and storm damage reduction, shore protection, aquatic ecosystem restoration, and related needs]; for [surveys and detailed] studies, design work, and plans and specifications of proposed commercial navigation [river and harbor], flood and storm damage reduction, [shore protection,] and aquatic ecosystem restoration projects, and related efforts prior to construction; for restudy of authorized projects and related efforts; and for miscellaneous investigations, [and, when authorized by law, surveys and detailed studies, and plans and specifications of projects prior to construction, \$125,000,000] \$77,000,000, to remain available until expended [; Provided, That the Secretary shall initiate six new study starts during fiscal year 2019: Provided further, That the Secretary shall not deviate from the new starts proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of both Houses of Congress]. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 096–3121–0–1–301		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Commercial Navigation .....	28	39	31
0002	Flood Risk Management .....	53	73	59
0003	Aquatic Ecosystem Restoration .....	16	22	18
0005	Multipurpose and Other Programs .....	17	23	19
0799	Total direct obligations .....	114	157	127
0801	Department of Homeland Security .....	2	2	2
0804	National Aeronautics and Space Administration .....	1	1	1
0806	Other Federal Agencies .....	8	8	8
0807	Non-Federal Agencies .....	6	6	6
0808	Intra-Corps .....	11	11	11
0899	Total reimbursable obligations .....	28	28	28
0900	Total new obligations, unexpired accounts .....	142	185	155

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	120	275	253
1021	Recoveries of prior year unpaid obligations .....	4		
1050	Unobligated balance (total) .....	124	275	253
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	258	125	77
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	31	38	38
1701	Change in uncollected payments, Federal sources .....	4		
1750	Spending auth from offsetting collections, disc (total) .....	35	38	38
1900	Budget authority (total) .....	293	163	115
1930	Total budgetary resources available .....	417	438	368
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	275	253	213

INVESTIGATIONS—Continued  
Program and Financing—Continued

Identification code 096-3121-0-1-301	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	37	35
3010 New obligations, unexpired accounts .....	142	185	155
3020 Outlays (gross) .....	-143	-187	-163
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	37	35	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-22	-26	-26
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3090 Uncollected pymts, Fed sources, end of year .....	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	11	9
3200 Obligated balance, end of year .....	11	9	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	293	163	115
Outlays, gross:			
4010 Outlays from new discretionary authority .....		88	63
4011 Outlays from discretionary balances .....	143	99	100
4020 Outlays, gross (total) .....	143	187	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-24	-38	-38
4033 Non-Federal sources .....	-7		
4040 Offsets against gross budget authority and outlays (total) ....	-31	-38	-38
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4060 Additional offsets against budget authority only (total) .....	-4		
4070 Budget authority, net (discretionary) .....	258	125	77
4080 Outlays, net (discretionary) .....	112	149	125
4180 Budget authority, net (total) .....	258	125	77
4190 Outlays, net (total) .....	112	149	125

This appropriation funds studies to determine the engineering feasibility, economic and environmental return to the Nation, and public safety impacts of potential solutions to water and related land resources problems; preconstruction engineering and design; and related data collection, interagency coordination, and research.

Object Classification (in millions of dollars)

Identification code 096-3121-0-1-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	69	70	70
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	4	4	4
11.9 Total personnel compensation .....	76	77	78
12.1 Civilian personnel benefits .....	12	12	12
21.0 Travel and transportation of persons .....	2	5	3
25.1 Advisory and assistance services .....	2	5	3
25.2 Other services from non-Federal sources .....	5	12	7
25.3 Purchase of goods and services from Government accounts .....	8	25	12
25.4 Operation and maintenance of facilities .....	3	7	4
32.0 Land and structures .....	6	14	8
99.0 Direct obligations .....	114	157	127
99.0 Reimbursable obligations .....	28	28	28
99.9 Total new obligations, unexpired accounts .....	142	185	155

Employment Summary

Identification code 096-3121-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	608	675	675

2001 Reimbursable civilian full-time equivalent employment .....	47	57	57
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REGULATORY PROGRAM

For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, \$200,000,000, to remain available until September 30, [2020] 2021. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 096-3126-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Regulatory .....	199	199	197
0192 Total direct obligations .....	199	199	197
0806 Other Federal Agencies .....	1	1	1
0807 Non-Federal Agencies .....	11	11	11
0808 Intra-Corps .....	1	1	1
0899 Total reimbursable obligations .....	13	13	13
0900 Total new obligations, unexpired accounts .....	212	212	210
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	20	9
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	19	20	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	200	200	200
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	16	1	1
1900 Budget authority (total) .....	216	201	201
1930 Total budgetary resources available .....	235	221	210
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
1941 Unexpired unobligated balance, end of year .....	20	9	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	37
3010 New obligations, unexpired accounts .....	212	212	210
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-215	-180	-197
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	5	37	50
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	36
3200 Obligated balance, end of year .....	4	36	49

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	216	201	201
Outlays, gross:			
4010 Outlays from new discretionary authority .....	192	171	171
4011 Outlays from discretionary balances .....	23	9	26
4020 Outlays, gross (total) .....	215	180	197
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-1	-1
4033 Non-Federal sources .....	-14		
4040 Offsets against gross budget authority and outlays (total) ....	-16	-1	-1
4070 Budget authority, net (discretionary) .....	200	200	200
4080 Outlays, net (discretionary) .....	199	179	196
4180 Budget authority, net (total) .....	200	200	200
4190 Outlays, net (total) .....	199	179	196

This appropriation provides funds to administer the laws and regulations pertaining to activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Appropriation Act of 1899, the Clean

Water Act of 1972, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to review and process permit applications, ensure compliance on permitted sites, and protect important aquatic resources.

#### Object Classification (in millions of dollars)

Identification code 096-3126-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	155	153	153
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	158	156	156
12.1 Civilian personnel benefits .....	31	29	29
21.0 Travel and transportation of persons .....	3	4	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Purchase goods & svcs. fm Government accts. ....	6	9	7
99.0 Direct obligations .....	199	199	197
99.0 Reimbursable obligations .....	13	13	13
99.9 Total new obligations, unexpired accounts .....	212	212	210

#### Employment Summary

Identification code 096-3126-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,409	1,400	1,400
2001 Reimbursable civilian full-time equivalent employment .....	19	22	22

#### FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM

【For expenses necessary to clean up contamination from sites in the United States resulting from work performed as part of the Nation's early atomic energy program, \$150,000,000, to remain available until expended.】 (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

#### Program and Financing (in millions of dollars)

Identification code 096-3130-0-1-053	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0007 Formerly Utilized Site Remedial Action Program .....	139	150	.....
0805 Department of Energy .....	.....	.....	141
0808 Intra-Corps .....	13	13	.....
0899 Total reimbursable obligations .....	.....	13	141
0900 Total new obligations, unexpired accounts .....	152	163	141

#### Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	.....
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	5	5	.....
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	139	150	.....
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....	8	8	141
1701 Change in uncollected payments, Federal sources .....	5	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	13	8	141
1900 Budget authority (total) .....	152	158	141
1930 Total budgetary resources available .....	157	163	141
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	.....	.....

#### Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	96	138	147
3010 New obligations, unexpired accounts .....	152	163	141
3020 Outlays (gross) .....	-109	-154	-209
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	138	147	79
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-12	-12

3070 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	89	126	135
3200 Obligated balance, end of year .....	126	135	67

#### Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	152	158	141
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	12	97	127
4011 Outlays from discretionary balances .....	97	57	82
4020 Outlays, gross (total) .....	109	154	209
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-8	-8	-141
4040 Offsets against gross budget authority and outlays (total) ....	-8	-8	-141
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-5	.....	.....
4070 Budget authority, net (discretionary) .....	139	150	.....
4080 Outlays, net (discretionary) .....	101	146	68
4180 Budget authority, net (total) .....	139	150	.....
4190 Outlays, net (total) .....	101	146	68

The Budget funds the clean-up of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons, within the Department of Energy's Other Defense Activities account. These sites were previously funded within the Formerly Utilized Sites Remedial Action Program (FUSRAP) at the Corps of Engineers. The Budget proposes to return responsibility for the management of FUSRAP to the Department of Energy. The Corps of Engineers will continue to conduct cleanup of FUSRAP sites on a reimbursable basis with the Department of Energy.

#### Object Classification (in millions of dollars)

Identification code 096-3130-0-1-053	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	12	13	.....
12.1 Civilian personnel benefits .....	2	2	.....
25.2 Other services from non-Federal sources .....	62	66	.....
25.3 Other goods and services from Federal sources .....	13	14	.....
32.0 Land and structures .....	50	55	.....
99.0 Direct obligations .....	139	150	.....
99.0 Reimbursable obligations .....	.....	13	141
99.9 Total new obligations, unexpired accounts .....	152	163	141

#### Employment Summary

Identification code 096-3130-0-1-053	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	88	100	.....
2001 Reimbursable civilian full-time equivalent employment .....	.....	.....	100

#### EXPENSES

For expenses necessary for the supervision and general administration of the civil works program in the headquarters of the Corps of Engineers and the offices of the Division Engineers; and for costs of management and operation of the Humphreys Engineer Center Support Activity, the Institute for Water Resources, the United States Army Engineer Research and Development Center, and the United States Army Corps of Engineers Finance Center allocable to the civil works program, 【\$193,000,000】 \$187,000,000, to remain available until September 30, 【2020】 2021, of which not to exceed \$5,000 may be used for official reception and representation purposes and only during the current fiscal year: *Provided*, That no part of any other appropriation provided in this title shall be available to fund the civil works activities of the Office of the Chief of Engineers or the civil works executive direction and management activities of the division offices: *Provided further*, That any Flood Control and Coastal Emergencies appropriation may be used to fund the supervision and general administration of emergency operations, repairs, and other activities in response to any flood, hurricane, or other natural disaster. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

EXPENSES—Continued  
Program and Financing (in millions of dollars)

Identification code 096-3124-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0009 Executive Direction and Management .....	163	176	164
0010 Support Activities .....	25	27	25
0799 Total direct obligations .....	188	203	189
0808 Intra-Corps .....	13	13	13
0899 Total reimbursable obligations .....	13	13	13
0900 Total new obligations, unexpired accounts .....	201	216	202
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	28	10
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	11	28	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	205	193	187
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	5	5
1900 Budget authority (total) .....	218	198	192
1930 Total budgetary resources available .....	229	226	202
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	28	10	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	14	37
3010 New obligations, unexpired accounts .....	201	216	202
3020 Outlays (gross) .....	-196	-193	-191
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	14	37	48
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	11	34
3200 Obligated balance, end of year .....	11	34	45
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	218	198	192
Outlays, gross:			
4010 Outlays from new discretionary authority .....	180	162	157
4011 Outlays from discretionary balances .....	16	31	34
4020 Outlays, gross (total) .....	196	193	191
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-13	-5	-5
4180 Budget authority, net (total) .....	205	193	187
4190 Outlays, net (total) .....	183	188	186

This appropriation funds the command and control, policy and guidance, program management, national and regional coordination, and quality assurance for the civil works program. These activities are carried out by Corps headquarters and eight division offices:

*Corps Headquarters.*—This office provides executive direction and management for the civil works program.

*Division Offices.*—Eight of the nine Corps division offices provide quality assurance for and supervise work of the 38 district offices that have civil works responsibilities. This appropriation also funds certain costs allocable to the civil works program of these Corps-wide support facilities:

*Institute for Water Resources.*—This institute performs studies and analyses on a wide range of water resources issues and develops project planning techniques.

*Engineer Research and Development Center.*—This center operates seven labs and conducts research and development for the Corps and other agencies.

*Finance Center.*—This center supports all Corps finance and accounting activities.

*Humphreys Engineer Center.*—This field operating activity of the Corps provides day-to-day operational support services to the Corps.

Object Classification (in millions of dollars)

Identification code 096-3124-0-1-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	106	108	109
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	7	7	7
11.9 Total personnel compensation .....	115	117	118
12.1 Civilian personnel benefits .....	31	31	31
21.0 Travel and transportation of persons .....	5	8	5
23.1 Rental payments to GSA .....	4	5	4
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	30	39	28
99.0 Direct obligations .....	188	203	189
99.0 Reimbursable obligations .....	13	13	13
99.9 Total new obligations, unexpired accounts .....	201	216	202

Employment Summary

Identification code 096-3124-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	871	895	895
2001 Reimbursable civilian full-time equivalent employment .....	17	20	20

WASHINGTON AQUEDUCT

Program and Financing (in millions of dollars)

Identification code 096-3128-0-1-301	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....		1	1
1825 Spending authority from offsetting collections applied to repay debt .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....		-1	-1
4180 Budget authority, net (total) .....		-1	-1
4190 Outlays, net (total) .....		-1	-1

The Washington Aqueduct supplies drinking water to customers in four jurisdictions: the District of Columbia; Arlington County, Virginia; the City of Falls Church, Virginia; and part of Fairfax County, Virginia. Although the Aqueduct is owned and operated by the Corps, the customers finance the operation, maintenance, and certain capital improvements of Aqueduct facilities. The Aqueduct's customers also pay in advance the full cost of those capital improvements.

WASHINGTON AQUEDUCT

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal Government to sell the Washington Aqueduct, which is the wholesale water supply system for the District of Columbia; Arlington County, Virginia; the City of Falls Church, Virginia; and part of Fairfax County, Virginia.

## PERMANENT APPROPRIATIONS

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-9921-0-2-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	29	30	29
Receipts:			
Current law:			
1110 Licenses under Federal Power Act, Improvements of Navigable Waters, Maintenance and Operation of Dams, Etc. ....	10	8	8
1130 Receipts from Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....	13	13	13
1199 Total current law receipts .....	23	21	21
1999 Total receipts .....	23	21	21
2000 Total: Balances and receipts .....	52	51	50
Appropriations:			
Current law:			
2101 Permanent Appropriations .....	-22	-21	-21
2103 Permanent Appropriations .....	-1	-1	-1
2132 Permanent Appropriations .....	1		
2199 Total current law appropriations .....	-22	-22	-22
2999 Total appropriations .....	-22	-22	-22
5099 Balance, end of year .....	30	29	28

## Program and Financing (in millions of dollars)

Identification code 096-9921-0-2-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Maintenance and operation of dams and other improvements of navigable waters .....	23	20	20
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	30	32
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	22	21	21
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1		
1260 Appropriations, mandatory (total) .....	22	22	22
1930 Total budgetary resources available .....	53	52	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	30	32	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3010 New obligations, unexpired accounts .....	23	20	20
3020 Outlays (gross) .....	-24	-20	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	22	22	22
Outlays, gross:			
4100 Outlays from new mandatory authority .....	13	14	14
4101 Outlays from mandatory balances .....	11	6	6
4110 Outlays, gross (total) .....	24	20	20
4180 Budget authority, net (total) .....	22	22	22
4190 Outlays, net (total) .....	24	20	20

This account covers three permanent appropriations:

*Hydraulic mining debris reservoir.*—The Corps uses fees collected from Pacific Gas and Electric Company to help maintain the Englebright Dam, Yuba River, California, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)

*Maintenance and operation of dams and other improvements of navigable waters.*—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water management and appurtenant facilities; and private benefit from headwater

improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))

*Payments to States.*—In lieu of taxes, the Corps pays to States three-fourths of the rent received from the leasing of lands acquired for flood control, navigation, and allied purposes, including the development of hydroelectric power. (33 U.S.C. 701c-3)

## Object Classification (in millions of dollars)

Identification code 096-9921-0-2-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	2	2	2
25.3 Other goods and services from Federal sources .....	17	14	14
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	23	20	20

## Employment Summary

Identification code 096-9921-0-2-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	37	25	25

## REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 096-4902-0-4-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0803 Intra-Corps .....	8,727	8,273	8,273
0809 Reimbursable program activities, subtotal .....	8,727	8,273	8,273
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	922	876	846
1021 Recoveries of prior year unpaid obligations .....	82		
1033 Recoveries of prior year paid obligations .....	3		
1050 Unobligated balance (total) .....	1,007	876	846
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	8,608	8,243	8,243
1801 Change in uncollected payments, Federal sources .....	-10		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2		
1850 Spending auth from offsetting collections, mand (total) .....	8,596	8,243	8,243
1900 Budget authority (total) .....	8,596	8,243	8,243
1930 Total budgetary resources available .....	9,603	9,119	9,089
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	876	846	816
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	963	1,038	1,124
3010 New obligations, unexpired accounts .....	8,727	8,273	8,273
3020 Outlays (gross) .....	-8,570	-8,187	-8,278
3040 Recoveries of prior year unpaid obligations, unexpired .....	-82		
3050 Unpaid obligations, end of year .....	1,038	1,124	1,119
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-93	-83	-83
3070 Change in uncollected pymts, Fed sources, unexpired .....	10		
3090 Uncollected pymts, Fed sources, end of year .....	-83	-83	-83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	870	955	1,041
3200 Obligated balance, end of year .....	955	1,041	1,036

## Budget authority and outlays, net:

Identification code 096-4902-0-4-301	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....	8,596	8,243	8,243
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,685	6,594	6,594
4101 Outlays from mandatory balances .....	1,885	1,593	1,684
4110 Outlays, gross (total) .....	8,570	8,187	8,278

REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 096-4902-0-4-301	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources .....	-8,592	-8,221	-8,221
4123 Non-Federal sources .....	-19	-22	-22
4130 Offsets against gross budget authority and outlays (total) ....	-8,611	-8,243	-8,243
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	10		
4143 Recoveries of prior year paid obligations, unexpired accounts .....	3		
4150 Additional offsets against budget authority only (total) .....	13		
4160 Budget authority, net (mandatory) .....	-2		
4170 Outlays, net (mandatory) .....	-41	-56	35
4180 Budget authority, net (total) .....	-2		
4190 Outlays, net (total) .....	-41	-56	35
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	9	11	11
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	11	11	11

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used by the civil works program and for temporary financing of services chargeable to the civil works program. The fund also initially finances Corps district office operating expenses, which the districts later reimburse with project-specific funds. In addition, payments are made into the fund when other agencies or entities use plant and equipment acquired by the fund.

Object Classification (in millions of dollars)

Identification code 096-4902-0-4-301	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons .....	99	94	94
22.0 Transportation of things .....	17	16	16
23.1 Rental payments to GSA .....	224	212	212
23.2 Rental payments to others .....	23	22	22
23.3 Communications, utilities, and miscellaneous charges .....	79	75	75
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	33	31	31
25.2 Other services from non-Federal sources .....	457	433	433
25.3 Other goods and services from Federal sources .....	7,428	7,040	7,040
25.4 Operation and maintenance of facilities .....	103	98	98
25.7 Operation and maintenance of equipment .....	63	60	60
26.0 Supplies and materials .....	65	62	62
31.0 Equipment .....	48	46	46
32.0 Land and structures .....	86	82	82
42.0 Insurance claims and indemnities .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	8,727	8,273	8,273

INTERAGENCY AMERICA THE BEAUTIFUL PASS REVENUES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5570-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Fees, Interagency America the Beautiful Pass Revenues .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Interagency America the Beautiful Pass Revenues .....	-1	-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 096-5570-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Interagency America the Beautiful Pass Revenues .....		1	1
0900 Total new obligations, unexpired accounts (object class 25.4) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	2	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....		1	1

Funds in this account are collected from the sale of interagency America the Beautiful National Parks and Federal Recreational Lands Passes as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113-121, section 1048). The Corps sells and distributes the passes to the public at over 200 Corps locations and deposits the funds into this account. The funds are expended as allowed by the Federal Lands Recreation Enhancement Act at the locations where they are collected.

SPECIAL USE PERMIT FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5607-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Fees, Special Use Permit Fees .....	1	1	1
2000 Total: Balances and receipts .....	1	1	1
Appropriations:			
Current law:			
2101 Special Use Permit Fees .....	-1	-1	-1
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 096-5607-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Recreational Resources .....		1	1
0900 Total new obligations, unexpired accounts (object class 25.4) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	2	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1

3020	Outlays (gross) .....	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	1
Outlays, gross:			
4100	Outlays from new mandatory authority .....	1	1
4180	Budget authority, net (total) .....	1	1
4190	Outlays, net (total) .....	1	1

Funds in this account are collected from the issuance of special use permits for activities, events, facility use, and other specialized recreation uses, as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113–121, section 1047(a)). These funds are expended on labor, vehicle costs, materials, supplies, utilities, and other costs associated with administering the special permits and carrying out related operation and maintenance activities at the site where the fees are collected.

**Trust Funds**

**HARBOR MAINTENANCE TRUST FUND**

*For expenses necessary to perform work authorized by law to be financed from the Harbor Maintenance Trust Fund, and to be derived from such fund, \$965,000,000, to remain available until expended; of which \$18,300,000 shall be used to cover the Federal share of construction costs for dredged material disposal facilities; of which \$941,572,000 shall be used to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels and for inland harbors; and of which \$5,128,000 shall be used to cover the Federal share of eligible operation and maintenance costs for inland harbors on the lower Mississippi River.*

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096–8863–0–7–301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	9,108	9,332	9,501
Receipts:			
Current law:			
1110 User Fees, Harbor Maintenance Trust Fund .....	1,513	1,636	1,750
1140 Earnings on Investments, Harbor Maintenance Trust Fund ....	144	146	165
1199 Total current law receipts .....	1,657	1,782	1,915
1999 Total receipts .....	1,657	1,782	1,915
2000 Total: Balances and receipts .....	10,765	11,114	11,416
Appropriations:			
Current law:			
2101 Operations and Maintenance .....	-40	-40	-28
2101 Harbor Maintenance Trust Fund .....	-936	-1,498	-942
2101 Harbor Maintenance Trust Fund .....	-58	.....	.....
2101 Harbor Maintenance Trust Fund .....	-333	.....	.....
2101 Harbor Maintenance Trust Fund .....	-59	-69	-18
2101 Harbor Maintenance Trust Fund .....	-2	.....	.....
2101 Harbor Maintenance Trust Fund .....	-5	-6	-5
2199 Total current law appropriations .....	-1,433	-1,613	-993
2999 Total appropriations .....	-1,433	-1,613	-993
5099 Balance, end of year .....	9,332	9,501	10,423

**Program and Financing** (in millions of dollars)

Identification code 096–8863–0–7–301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commercial navigation .....	1,393	1,573	965
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (Operation and Maintenance) .....	936	1,498	942
1101 Appropriation (Operation and Maintenance Prior Year) .....	58	.....	.....
1101 Appropriation (Operation Supplemental) .....	333	.....	.....
1101 Appropriation (Construction) .....	59	69	18
1101 Appropriation (Construction Prior Year) .....	2	.....	.....
1101 Appropriation (MR&T) .....	5	6	5
1160 Appropriation, discretionary (total) .....	1,393	1,573	965
1930 Total budgetary resources available .....	1,393	1,573	965

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,393	1,573	965
3020 Outlays (gross) .....	-1,393	-1,573	-965

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,393	1,573	965
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,393	1,573	965
4180 Budget authority, net (total) .....	1,393	1,573	965
4190 Outlays, net (total) .....	1,393	1,573	965

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	9,031	9,144	9,487
5001 Total investments, EOY: Federal securities: Par value .....	9,144	9,487	10,344

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99–662, Title XIV), as amended. Under current law, revenue is derived from a 0.125 percent ad valorem tax imposed upon commercial users of specified U.S. ports, Saint Lawrence Seaway tolls, and investment interest. The Budget proposes to execute these appropriations within the Harbor Maintenance Trust Fund rather than to transfer and execute them in the Construction, Operation and Maintenance, and Mississippi River and Tributaries accounts.

The Harbor Maintenance Revenue Act authorizes expenditures from this fund to finance up to 100 percent of eligible Corps harbor operation and maintenance costs, including the operation and maintenance of Great Lakes navigation projects. The fund fully finances eligible operation and maintenance costs of the Saint Lawrence Seaway Development Corporation. The Water Resources Development Act of 1996 (P.L. 104–303, section 201) authorizes the fund to pay the Federal share of the costs for the construction of dredged material disposal facilities that are necessary for the operation and maintenance of coastal or inland harbors, the dredging and disposal of contaminated sediments that are in or affect the operation and maintenance of Federal navigation channels, the mitigation of impacts resulting from Federal navigation operation and maintenance activities, and the operation and maintenance of dredged material disposal facilities.

The North American Free Trade Agreement Implementation Act (26 U.S.C. 9505(c)(3)) authorizes the fund to pay all expenses of administration incurred by the Department of the Treasury, the Corps, and the Department of Commerce related to the administration of the harbor maintenance tax (under 26 U.S.C. 4461 et seq.), but not in excess of \$5 million for any fiscal year.

In 1998, the U.S. Supreme Court excluded all U.S. exports from the harbor maintenance tax. The Court found that the tax violated Article I, section 9, clause 5 of the constitution because the value of the cargo, which is the basis for calculating this tax, does not fairly match the use of port services and facilities by exporters.

The proposed appropriations language for eligible operation and maintenance costs for inland harbors on the lower Mississippi River is intended to only apply to: Helena Harbor, Phillips County, AR; Mississippi River, Baton Rouge to the Gulf of Mexico; barge channel through Devils Swamp, LA; Greenville Harbor, MS; Vicksburg Harbor, MS; and Memphis Harbor, McKellar Lake, Memphis, TN.

**Object Classification** (in millions of dollars)

Identification code 096–8863–0–7–301	2018 actual	2019 est.	2020 est.
Direct obligations:			
94.0 Financial transfers (Operation and Maintenance) .....	1,327	1,498	942
94.0 Financial transfers (Construction) .....	60	69	18
94.0 Financial transfers (MR&T) .....	6	6	5
99.9 Total new obligations, unexpired accounts .....	1,393	1,573	965

**INLAND WATERWAYS TRUST FUND**

For expenses necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects, except as otherwise specifically provided for in law, to be derived from the Inland Waterways Trust Fund, \$55,500,000, to remain available until expended.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8861-0-7-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	64	132	125
Receipts:			
Current law:			
1110 Transfer from General Fund, Inland Waterways Revenue Act Taxes	115	108	106
1140 Interest and Profits on Investments in Public Debt Securities, Inland Waterways Trust Fund	2	1	1
1199 Total current law receipts	117	109	107
Proposed:			
1210 Users Fees, Inland Waterways Trust Fund			178
1999 Total receipts	117	109	285
2000 Total: Balances and receipts	181	241	410
Appropriations:			
Current law:			
2101 Inland Waterways Trust Fund	-48	-116	-56
2101 Inland Waterways Trust Fund	-1		
2199 Total current law appropriations	-49	-116	-56
2999 Total appropriations	-49	-116	-56
5099 Balance, end of year	132	125	354

**Program and Financing** (in millions of dollars)

Identification code 096-8861-0-7-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Inland Waterways Trust Fund	49	116	56
0900 Total new obligations, unexpired accounts (object class 94.0)	49	116	56
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (Construction)	48	116	56
1101 Appropriation (special or trust fund)	1		
1160 Appropriation, discretionary (total)	49	116	56
1930 Total budgetary resources available	49	116	56
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	49	116	56
3020 Outlays (gross)	-49	-116	-56
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	49	116	56
Outlays, gross:			
4010 Outlays from new discretionary authority	49	116	56
4180 Budget authority, net (total)	49	116	56
4190 Outlays, net (total)	49	116	56
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	49	115	40
5001 Total investments, EOY: Federal securities: Par value	115	40	41

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95-502), as amended by the Water Resources Development Act of 1986 (P.L. 99-662). The fund is used to pay one-half of the costs associated with the construction, replacement, rehabilitation, and expansion of Federal inland waterways projects, except as otherwise specifically provided for in law. Under current law, revenue is derived from an excise tax imposed on diesel fuel for commercial vessels on most of the inland waterways, plus investment interest. The Budget proposes to execute these appropriations within the Inland Waterways

Trust Fund rather than to transfer and execute them in the Construction account.

**INLAND WATERWAYS TRUST FUND**  
(Legislative proposal, subject to PAYGO)

The proposal would establish a new user fee to supplement existing revenue from the \$0.29 per gallon diesel fuel tax to help finance the users' share of anticipated capital investment projects as well as 10 percent of the cost of operation and maintenance activities on the inland waterways. This proposal would raise about \$1.8 billion over the 10-year window.

**RIVERS AND HARBORS CONTRIBUTED FUNDS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8862-0-7-301	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	10	14	13
Receipts:			
Current law:			
1130 Contributions, Rivers and Harbors, Other Than Port and Harbor User Fees	700	753	460
2000 Total: Balances and receipts	710	767	473
Appropriations:			
Current law:			
2101 Rivers and Harbors Contributed Funds	-700	-753	-460
2103 Rivers and Harbors Contributed Funds	-10	-14	-13
2132 Rivers and Harbors Contributed Funds	14	13	
2199 Total current law appropriations	-696	-754	-473
2999 Total appropriations	-696	-754	-473
5099 Balance, end of year	14	13	

**Program and Financing** (in millions of dollars)

Identification code 096-8862-0-7-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commercial Navigation	373	446	373
0002 Flood Risk Management	133	159	133
0003 Aquatic Ecosystem Restoration	12	15	12
0004 Hydropower	38	45	38
0005 Multipurpose and Other Programs	48	57	48
0006 Emergency Management	3	4	3
0900 Total new obligations, unexpired accounts	607	726	607
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	858	1,021	1,049
1021 Recoveries of prior year unpaid obligations	59		
1033 Recoveries of prior year paid obligations	15		
1050 Unobligated balance (total)	932	1,021	1,049
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	700	753	460
1203 Appropriation (previously unavailable)	10	14	13
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-14	-13	
1260 Appropriations, mandatory (total)	696	754	473
1900 Budget authority (total)	696	754	473
1930 Total budgetary resources available	1,628	1,775	1,522
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year	1,021	1,049	915
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	412	564	623
3010 New obligations, unexpired accounts	607	726	607
3020 Outlays (gross)	-396	-667	-667
3040 Recoveries of prior year unpaid obligations, unexpired	-59		
3050 Unpaid obligations, end of year	564	623	563
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	412	564	623
3200 Obligated balance, end of year	564	623	563

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	696	754 473
Outlays, gross:			
4100	Outlays from new mandatory authority .....	226	142
4101	Outlays from mandatory balances .....	396	441 525
4110	Outlays, gross (total) .....	396	667 667
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-15	.....
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts .....	15	.....
4160	Budget authority, net (mandatory) .....	696	754 473
4170	Outlays, net (mandatory) .....	381	667 667
4180	Budget authority, net (total) .....	696	754 473
4190	Outlays, net (total) .....	381	667 667

The funds in this account are provided by non-Federal interests to cover some or all of the costs for the study, design, construction, and operation and maintenance of water resources projects. These funds include amounts for the authorized non-Federal share of the costs, amounts in excess of the authorized non-Federal share that are provided voluntarily as contributed or advanced funds, and amounts for certain work carried out in connection with a project with 100 percent non-Federal funding.

**Object Classification** (in millions of dollars)

Identification code 096-8862-0-7-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	72	74 75
11.3	Other than full-time permanent .....	1	1 1
11.5	Other personnel compensation .....	3	3 3
11.9	Total personnel compensation .....	76	78 79
12.1	Civilian personnel benefits .....	13	13 13
21.0	Travel and transportation of persons .....	1	1 1
25.2	Other services from non-Federal sources .....	38	45 38
25.3	Other goods and services from Federal sources .....	27	32 27
25.4	Operation and maintenance of facilities .....	3	4 3
31.0	Equipment .....	1	1 1
32.0	Land and structures .....	448	552 445
99.9	Total new obligations, unexpired accounts .....	607	726 607

**Employment Summary**

Identification code 096-8862-0-7-301	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	607	700 700

**COASTAL WETLANDS RESTORATION TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 096-8333-0-7-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Coastal Wetlands Restoration Trust Fund .....	100	90 70
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	333	323 315
1021	Recoveries of prior year unpaid obligations .....	10	.....
1050	Unobligated balance (total) .....	343	323 315
Budget authority:			
Appropriations, mandatory:			
1203	Appropriation (previously unavailable) .....	5	5 5
1221	Appropriations transferred from other acct [014-8151] ....	80	82 82
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-5	-5 .....
1260	Appropriations, mandatory (total) .....	80	82 87
1930	Total budgetary resources available .....	423	405 402
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	323	315 332

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	177	203 229
3010	New obligations, unexpired accounts .....	100	90 70
3020	Outlays (gross) .....	-64	-64 -65
3040	Recoveries of prior year unpaid obligations, unexpired .....	-10	.....
3050	Unpaid obligations, end of year .....	203	229 234
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	177	203 229
3200	Obligated balance, end of year .....	203	229 234

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	80	82 87
Outlays, gross:			
4100	Outlays from new mandatory authority .....	23	24
4101	Outlays from mandatory balances .....	64	41 41
4110	Outlays, gross (total) .....	64	64 65
4180	Budget authority, net (total) .....	80	82 87
4190	Outlays, net (total) .....	64	64 65

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646, Title III), as amended, directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, which is an interagency task force consisting of the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, National Marine Fisheries Service, and the State of Louisiana, uses these funds to plan, set priorities, and carry out projects for the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

**Object Classification** (in millions of dollars)

Identification code 096-8333-0-7-301	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1 1
25.2	Other services from non-Federal sources .....	43	39 30
25.3	Other goods and services from Federal sources .....	45	41 32
25.4	Operation and maintenance of facilities .....	11	9 7
99.9	Total new obligations, unexpired accounts .....	100	90 70

**Employment Summary**

Identification code 096-8333-0-7-301	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	7	8 8

**SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 096-8217-0-7-306	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	110	110 110
Receipts:			
Current law:			
1140	Earnings on Investments, South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	2	3 3
2000	Total: Balances and receipts .....	112	113 113
Appropriations:			
Current law:			
2101	South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....	-2	-3 -3
5099	Balance, end of year .....	110	110 110

**Program and Financing** (in millions of dollars)

Identification code 096-8217-0-7-306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Wildlife Habitat Restoration .....	4	3 3

SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST  
FUND—Continued  
Program and Financing—Continued

Identification code 096-8217-0-7-306	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts (object class 25.2) .....	4	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	3	3
1930 Total budgetary resources available .....	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	4	3	3
3020 Outlays (gross) .....	-4	-3	-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....		2	2
4101 Outlays from mandatory balances .....	4	1	1
4110 Outlays, gross (total) .....	4	3	3
4180 Budget authority, net (total) .....	2	3	3
4190 Outlays, net (total) .....	4	3	3
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	114	113	113
5001 Total investments, EOY: Federal securities: Par value .....	113	113	114

This fund, authorized in the Omnibus Appropriations Act of 1999 (P.L. 105-277), as amended by the Water Resources Development Act of 1999 (P.L. 106-53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et seq.) to mitigate for the loss of habitat due to flooding from the Oahe and Big Bend projects, which the Corps constructed under the Pick-Sloan Missouri River Basin program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
096-143500 General Fund Proprietary Interest Receipts, not Classified .....	18	20	20
096-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	68	85	85
General Fund Offsetting receipts from the public .....	86	105	105
Intragovernmental payments:			
096-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....		-1	-1
General Fund Intragovernmental payments .....		-1	-1

GENERAL PROVISIONS CORPS OF ENGINEERS—CIVIL

GENERAL PROVISIONS—CORPS OF ENGINEERS—CIVIL  
(INCLUDING TRANSFER OF FUNDS)

SEC. 101. (a) None of the funds provided in title I of this Act [ ], or provided by previous appropriations Acts to the agencies or entities funded in title I of this Act that remain available for obligation or expenditure in fiscal year 2019, [ ] shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates or initiates a new program, project, or activity;
- (2) eliminates a program, project, or activity;

(3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by this Act, unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;

(4) proposes to use funds directed for a specific activity for a different purpose, unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;

(5) augments or reduces existing programs, projects, or activities in excess of the amounts contained in paragraphs (6) through (10), unless [ ] prior approval is received from [ ] notice has been transmitted to the House and Senate Committees on Appropriations;

(6) INVESTIGATIONS.—For a base level over \$100,000, reprogramming of 25 percent of the base amount up to a limit of \$150,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$100,000, the reprogramming limit is \$25,000: *Provided further*, That up to \$25,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(7) CONSTRUCTION.—For a base level over \$2,000,000, reprogramming of 15 percent of the base amount up to a limit of \$3,000,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$2,000,000, the reprogramming limit is \$300,000: *Provided further*, That up to \$3,000,000 may be reprogrammed for settled contractor claims, changed conditions, or real estate deficiency judgments: *Provided further*, That up to \$300,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(8) OPERATION AND MAINTENANCE.—Unlimited reprogramming authority is granted for the Corps to be able to respond to emergencies: *Provided*, That the Chief of Engineers shall notify the House and Senate Committees on Appropriations of these emergency actions as soon thereafter as practicable: *Provided further*, That for a base level over \$1,000,000, reprogramming of 15 percent of the base amount up to a limit of \$5,000,000 per project, study, or activity is allowed: *Provided further*, That for a base level less than \$1,000,000, the reprogramming limit is \$150,000: *Provided further*, That \$150,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation;

(9) MISSISSIPPI RIVER AND TRIBUTARIES.—The reprogramming guidelines in paragraphs (6), (7), and (8) shall apply to the Investigations, Construction, and Operation and Maintenance portions of the Mississippi River and Tributaries Account, respectively; and

(10) FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Reprogramming of up to 15 percent of the base of the receiving project is permitted.

(b) DE MINIMUS REPROGRAMMINGS.—In no case should a reprogramming for less than \$50,000 be submitted to the House and Senate Committees on Appropriations.

(c) CONTINUING AUTHORITIES PROGRAM.—Subsection (a)(1) shall not apply to any project or activity funded under the continuing authorities program.

(d) Not later than 60 days after the date of enactment of this Act, the Secretary shall submit a report to the House and Senate Committees on Appropriations to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year which shall include:

(1) A table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if applicable, and the fiscal year enacted level; and

(2) A delineation in the table for each appropriation both by object class and program, project and activity as detailed in the budget appendix for the respective appropriations. [ ]; and [ ]

[ (3) An identification of items of special congressional interest. ]

[ SEC. 102. The Secretary shall allocate funds made available in this Act solely in accordance with the provisions of this Act and the joint explanatory statement accompanying this Act, including the determination and designation of new starts. ]

SEC. [ 103 ] 102. None of the funds made available in this title may be used to award or modify any contract that commits funds beyond the amounts appropriated for that program, project, or activity that remain unobligated, except that such amounts may include any funds that have been made available through reprogramming pursuant to section 101.

SEC. [ 104 ] 103. The Secretary of the Army may transfer to the Fish and Wildlife Service, and the Fish and Wildlife Service may accept and expend [ ], up to \$5,400,000 of [ such funds as the Secretary of the Army and the Director of the Fish and Wildlife Service determine, through consultation, are appropriate, from the funds provided in this title under the heading "Operation and Maintenance" to mitigate for fisheries lost due to Army Corps of Engineers civil works projects.

*SEC. 104. Section 1043 of the Water Resources Reform and Development Act of 2014 (33 U.S.C. 2201 note; Public Law 113–121) is amended in subsection (b)(7) by striking "5 years" and inserting "10 years".*

**【SEC. 105.** None of the funds in this Act shall be used for an open lake placement alternative for dredged material, after evaluating the least costly, environmentally acceptable manner for the disposal or management of dredged material originating from Lake Erie or tributaries thereto, unless it is approved under a State water quality certification pursuant to section 401 of the Federal Water Pollution Control Act (33 U.S.C. 1341): *Provided*, That until an open lake placement alternative for dredged material is approved under a State water quality certification, the Corps of Engineers shall continue upland placement of such dredged material consistent with the requirements of section 101 of the Water Resources Development Act of 1986 (33 U.S.C. 2211). **】**

**【SEC. 106.** None of the funds made available in this title may be used for any acquisition of buoy chain that is not consistent with 48 CFR 225.7007, subsections (a)(1) and (a)(2). **】**

**【SEC. 107.** None of the funds made available by this Act may be used to carry out any water supply reallocation study under the Wolf Creek Dam, Lake Cumberland, Kentucky, project authorized under the Act of July 24, 1946 (60 Stat. 636, ch. 595). **】**

**【SEC. 108.** None of the funds made available by this Act may be used to require a permit for the discharge of dredged or fill material under the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.) for the activities identified in subparagraphs (A) and (C) of section 404(f)(1) of the Act (33 U.S.C. 1344(f)(1)(A), (C)). **】**

**【SEC. 109.** For fiscal year 2019, none of the funds provided in this Act or available in the revolving fund established by the Civil Functions Appropriations Act of 1954 (33 U.S.C. 576(a)) may be obligated or expended on a new hopper dredge. **】**

**【SEC. 110.** None of the funds made available by this Act or any other Act may be used to reorganize or to transfer the Civil Works functions or authority of the Corps of Engineers or the Secretary of the Army to another department or agency. **】** (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)



## OTHER DEFENSE—CIVIL PROGRAMS

### MILITARY RETIREMENT

#### *Federal Funds*

#### PAYMENT TO MILITARY RETIREMENT FUND

#### Program and Financing (in millions of dollars)

Identification code 097-0040-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	82,877	87,996	90,922
0900 Total new obligations, unexpired accounts (object class 13.0) .....	82,877	87,996	90,922
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	82,877	87,996	90,922
1930 Total budgetary resources available .....	82,877	87,996	90,922
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	82,877	87,996	90,922
3020 Outlays (gross) .....	-82,877	-87,996	-90,922
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	82,877	87,996	90,922
Outlays, gross:			
4100 Outlays from new mandatory authority .....	82,877	87,996	90,922
4180 Budget authority, net (total) .....	82,877	87,996	90,922
4190 Outlays, net (total) .....	82,877	87,996	90,922

The 2020 payment to the Military Retirement Fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force; retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps; and survivors' benefits.

The 2004 National Defense Authorization Act (P.L. 108-136) created additional benefits for certain retirees who receive disability compensation from the Department of Veterans Affairs and moved the responsibility for payments under the Combat-Related Special Compensation program to the Military Retirement Fund. Any additional funding requirements for retirees with service prior to 1985 will be included in this payment.

The 2016 National Defense Authorization Act (P.L. 114-92) enacted substantial changes to the current military retirement system. The new retirement system, which took effect January 1, 2018, is a blend of several components, including a defined retired pay benefit, a defined contribution to the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the legacy retirement system.

#### *Trust Funds*

#### MILITARY RETIREMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8097-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	654,079	730,406	814,711
Receipts:			
Current law:			
1140 Employing Agency Contributions, Military Retirement Fund .....		37	38
1140 Employing Agency Contributions, Military Retirement Fund .....	18,205	20,791	21,905
1140 Earnings on Investments, Military Retirement Fund .....	27,445	28,137	28,365
1140 Federal Contributions, Military Retirement Fund .....	82,877	87,996	90,922

1140 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	6,837	7,909	9,346
1199 Total current law receipts .....	135,364	144,870	150,576
1999 Total receipts .....	135,364	144,870	150,576
2000 Total: Balances and receipts .....	789,443	875,276	965,287
Appropriations:			
Current law:			
2101 Military Retirement Fund .....	-135,364	-145,048	-151,330
2134 Military Retirement Fund .....	76,327	84,483	88,975
2199 Total current law appropriations .....	-59,037	-60,565	-62,355
2999 Total appropriations .....	-59,037	-60,565	-62,355
5099 Balance, end of year .....	730,406	814,711	902,932

#### Program and Financing (in millions of dollars)

Identification code 097-8097-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Nondisability .....	59,037	52,640	54,222
0002 Temporary disability .....		129	132
0003 Permanent disability .....		1,685	1,731
0004 Fleet reserve .....		1,783	1,836
0005 Survivors' benefits .....		4,328	4,434
0900 Total new obligations, unexpired accounts (object class 42.0) .....	59,037	60,565	62,355
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	135,364	145,048	151,330
1234 Appropriations excluded from obligation .....	-76,327	-84,483	-88,975
1260 Appropriations, mandatory (total) .....	59,037	60,565	62,355
1930 Total budgetary resources available .....	59,037	60,565	62,355
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	254	4,815	5,033
3010 New obligations, unexpired accounts .....	59,037	60,565	62,355
3020 Outlays (gross) .....	-54,476	-60,347	-62,130
3050 Unpaid obligations, end of year .....	4,815	5,033	5,258
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	254	4,815	5,033
3200 Obligated balance, end of year .....	4,815	5,033	5,258
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	59,037	60,565	62,355
Outlays, gross:			
4100 Outlays from new mandatory authority .....	54,217	55,532	57,097
4101 Outlays from mandatory balances .....	259	4,815	5,033
4110 Outlays, gross (total) .....	54,476	60,347	62,130
4180 Budget authority, net (total) .....	59,037	60,565	62,355
4190 Outlays, net (total) .....	54,476	60,347	62,130
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	660,970	743,421	819,922
5001 Total investments, EOY: Federal securities: Par value .....	743,421	819,922	909,122

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the military personnel accounts, which cover the accruing costs of the future retirement benefits being earned by today's service members. The second source is interest on investments of the fund. The third source is made up of two payments from the general fund of the Treasury. The first Treasury payment covers a portion of the accrued unfunded liability for all the retirees and current members who had earned benefits before the accrual funding system was set up. The second Treasury payment covers the liability for concurrent receipt of military retired pay and disability compensation paid by the Department of Veterans Affairs. This benefit was added in the 2004 National Defense Authorization Act.

MILITARY RETIREMENT FUND—Continued

The 2016 National Defense Authorization Act (P.L. 114–92) enacted substantial changes to the current military retirement system. The new retirement system, which took effect January 1, 2018 is a blend of several components, including a defined retired pay benefit, a defined contribution to the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the legacy retirement system.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 097-8097-0-7-602	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	654,333	735,221	819,744
0999 Total balance, start of year .....	654,333	735,221	819,744
Cash income during the year:			
Current law:			
Receipts:			
1150 Earnings on Investments, Military Retirement Fund .....	27,445	28,137	28,365
1160 Employing Agency Contributions, Military Retirement Fund .....		37	38
1160 Employing Agency Contributions, Military Retirement Fund .....	18,205	20,791	21,905
1160 Federal Contributions, Military Retirement Fund .....	82,877	87,996	90,922
1160 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund .....	6,837	7,909	9,346
1199 Income under present law .....	135,364	144,870	150,576
1999 Total cash income .....	135,364	144,870	150,576
Cash outgo during year:			
Current law:			
2100 Military Retirement Fund [200-05-8097-0] .....	-54,476	-60,347	-62,130
2199 Outgo under current law .....	-54,476	-60,347	-62,130
2999 Total cash outgo (-) .....	-54,476	-60,347	-62,130
Surplus or deficit:			
3110 Excluding interest .....	53,443	56,386	60,081
3120 Interest .....	27,445	28,137	28,365
3199 Subtotal, surplus or deficit .....	80,888	84,523	88,446
3999 Total change in fund balance .....	80,888	84,523	88,446
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-8,200	-178	-932
4200 Military Retirement Fund .....	743,421	819,922	909,122
4999 Total balance, end of year .....	735,221	819,744	908,190

RETIREE HEALTH CARE

Federal Funds

PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 097-0850-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	6,567	5,720	5,908
0900 Total new obligations, unexpired accounts (object class 13.0) .....	6,567	5,720	5,908
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	6,567	5,720	5,908
1900 Budget authority (total) .....	6,567	5,720	5,908
1930 Total budgetary resources available .....	6,567	5,720	5,908
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	6,567	5,720	5,908
3020 Outlays (gross) .....	-6,567	-5,720	-5,908

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	6,567	5,720	5,908
Outlays, gross:			
4100 Outlays from new mandatory authority .....	6,567	5,720	5,908
4180 Budget authority, net (total) .....	6,567	5,720	5,908
4190 Outlays, net (total) .....	6,567	5,720	5,908

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5472-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	223,898	238,281	250,466
Receipts:			
Current law:			
1140 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	238	230	237
1140 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....	9,460	9,463	10,765
1140 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	6,567	5,720	5,908
1140 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	8,147	7,533	7,817
1199 Total current law receipts .....	24,412	22,946	24,727
1999 Total receipts .....	24,412	22,946	24,727
2000 Total: Balances and receipts .....	248,310	261,227	275,193
Appropriations:			
Current law:			
2101 Department of Defense Medicare-Eligible Retiree Health Care Fund .....	-24,412	-22,946	-24,800
2134 Department of Defense Medicare-Eligible Retiree Health Care Fund .....	14,383	12,185	13,592
2199 Total current law appropriations .....	-10,029	-10,761	-11,208
2999 Total appropriations .....	-10,029	-10,761	-11,208
5099 Balance, end of year .....	238,281	250,466	263,985

Program and Financing (in millions of dollars)

Identification code 097-5472-0-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	10,029	10,761	11,208
0900 Total new obligations, unexpired accounts (object class 13.0) .....	10,029	10,761	11,208
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	24,412	22,946	24,800
1234 Appropriations precluded from obligation .....	-14,383	-12,185	-13,592
1260 Appropriations, mandatory (total) .....	10,029	10,761	11,208
1930 Total budgetary resources available .....	10,029	10,761	11,208

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	458	421	221
3010 New obligations, unexpired accounts .....	10,029	10,761	11,208
3020 Outlays (gross) .....	-10,066	-10,961	-11,308
3050 Unpaid obligations, end of year .....	421	221	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	458	421	221
3200 Obligated balance, end of year .....	421	221	121

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	10,029	10,761	11,208
Outlays, gross:			
4100 Outlays from new mandatory authority .....	9,608	10,761	11,208
4101 Outlays from mandatory balances .....	458	200	100
4110 Outlays, gross (total) .....	10,066	10,961	11,308
4180 Budget authority, net (total) .....	10,029	10,761	11,208
4190 Outlays, net (total) .....	10,066	10,961	11,308

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	225,847	240,183	250,790
5001	Total investments, EOY: Federal securities: Par value .....	240,183	250,790	264,381

Public Law 106-398 provides for accrual funding for health care to Medicare-eligible retirees. The statute establishes an accrual health care fund which has three sources of funding. The first is contributions from employing agencies, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general fund of the Treasury on the accrued unfunded liability, and the third source is income from the investment of fund balances.

**Status of Funds** (in millions of dollars)

Identification code 097-5472-0-2-551	2018 actual	2019 est.	2020 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	224,356	238,702	250,687
0999 Total balance, start of year .....	224,356	238,702	250,687
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1150 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....	9,460	9,463	10,765
1160 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	238	230	237
1160 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	6,567	5,720	5,908
1160 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....	8,147	7,533	7,817
1199 Income under present law .....	24,412	22,946	24,727
1999 Total cash income .....	24,412	22,946	24,727
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Department of Defense Medicare-Eligible Retiree Health Care Fund [200-07-5472-0] .....	-10,066	-10,961	-11,308
2199 Outgo under current law .....	-10,066	-10,961	-11,308
2999 Total cash outgo (-) .....	-10,066	-10,961	-11,308
<b>Surplus or deficit:</b>			
3110 Excluding interest .....	4,886	2,522	2,654
3120 Interest .....	9,460	9,463	10,765
3199 Subtotal, surplus or deficit .....	14,346	11,985	13,419
3999 Total change in fund balance .....	14,346	11,985	13,419
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year .....	-1,481	-103	-275
4200 Department of Defense Medicare-Eligible Retiree Health Care Fund .....	240,183	250,790	264,381
4999 Total balance, end of year .....	238,702	250,687	264,106

2134	Education Benefits Fund .....	63		
2199	Total current law appropriations .....	-221	-214	-207
2999	Total appropriations .....	-221	-214	-207
5098	Rounding adjustment .....	1		
5099	Balance, end of year .....	1,053	1,024	966

**Program and Financing** (in millions of dollars)

Identification code 097-8098-0-7-702	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Active duty program .....	86	82	71
0002 Selected Reserve program .....	134	132	136
0900 Total new obligations, unexpired accounts (object class 13.0) .....	220	214	207
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	222	82	71
1203 Appropriation (previously unavailable) .....	62	132	136
1234 Appropriations precluded from obligation .....	-63		
1260 Appropriations, mandatory (total) .....	221	214	207
1930 Total budgetary resources available .....	221	215	208
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	220	214	207
3020 Outlays (gross) .....	-220	-214	-207
3050 Unpaid obligations, end of year .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	221	214	207
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		214	207
4101 Outlays from mandatory balances .....	220		
4110 Outlays, gross (total) .....	220	214	207
4180 Budget authority, net (total) .....	221	214	207
4190 Outlays, net (total) .....	220	214	207
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,058	1,060	1,032
5001 Total investments, EOY: Federal securities: Par value .....	1,060	1,032	974

**EDUCATIONAL BENEFITS**

*Trust Funds*

EDUCATION BENEFITS FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 097-8098-0-7-702	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1,052	1,053	1,024
0198 Rounding adjustment .....	-1		
0199 Balance, start of year .....	1,051	1,053	1,024
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Employing Agency Contributions, Education Benefits Fund .....	168	157	134
1140 Interest on Investments, Education Benefits Fund .....	54	28	15
1199 Total current law receipts .....	222	185	149
1999 Total receipts .....	222	185	149
2000 Total: Balances and receipts .....	1,273	1,238	1,173
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Education Benefits Fund .....	-222	-82	-71
2103 Education Benefits Fund .....	-62	-132	-136

The 1985 Department of Defense Authorization Act, Public Law 98-525, as amended by Public Laws 100-48 and 108-375, and the Post 9/11 Veterans Educational Assistance Improvements Act of 2010, Public Law 111-377, provide for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapters 30 and 33, Title 38 U.S.C., and to selected Reserve personnel under the authority of Chapters 1606 and 1607, Title 10 U.S.C. Chapter 1607 was sunset by Public Law 114-92, although the statute allows members who were receiving Chapter 1607 benefits before the statute was enacted to continue to receive these education benefits through November 2019. The fund is financed through actuarially determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

**Status of Funds** (in millions of dollars)

Identification code 097-8098-0-7-702	2018 actual	2019 est.	2020 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	1,052	1,060	1,031

EDUCATION BENEFITS FUND—Continued  
Status of Funds—Continued

Identification code 097-8098-0-7-702	2018 actual	2019 est.	2020 est.
0298 Reconciliation adjustment .....	6		
0999 Total balance, start of year .....	1,058	1,060	1,031
Cash income during the year:			
Current law:			
Receipts:			
1150 Interest on Investments, Education Benefits Fund .....	54	28	15
1160 Employing Agency Contributions, Education Benefits Fund .....	168	157	134
1199 Income under present law .....	222	185	149
1999 Total cash income .....	222	185	149
Cash outgo during year:			
Current law:			
2100 Education Benefits Fund [200-10-8098-0] .....	-220	-214	-207
2199 Outgo under current law .....	-220	-214	-207
2999 Total cash outgo (-) .....	-220	-214	-207
Surplus or deficit:			
3110 Excluding interest .....	-52	-57	-73
3120 Interest .....	54	28	15
3199 Subtotal, surplus or deficit .....	2	-29	-58
3999 Total change in fund balance .....	2	-29	-58
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....		-1	-1
4200 Education Benefits Fund .....	1,060	1,032	974
4999 Total balance, end of year .....	1,060	1,031	973

AMERICAN BATTLE MONUMENTS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one-for-one replacement basis only) and hire of passenger motor vehicles; not to exceed **[\$42,000]** \$15,000 for official reception and representation expenses; and insurance of official motor vehicles in foreign countries, when required by law of such countries, **[\$104,000,000]** \$75,100,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 074-0100-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administration .....	26	46	26
0002 Cemetery operations .....	72	58	49
0900 Total new obligations, unexpired accounts .....	98	104	75
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	55	38	38
1010 Unobligated balance transfer to other accts [074-0101] .....	-1		
1011 Unobligated balance transfer from other acct [074-0101] .....	1		
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	57	38	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	79	104	75
1930 Total budgetary resources available .....	136	142	113
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	38	38	38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	49	55
3010 New obligations, unexpired accounts .....	98	104	75

3020 Outlays (gross) .....	-86	-98	-82
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	49	55	48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	39	49	55
3200 Obligated balance, end of year .....	49	55	48

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	79	104	75
Outlays, gross:			
4010 Outlays from new discretionary authority .....	61	62	45
4011 Outlays from discretionary balances .....	25	36	37
4020 Outlays, gross (total) .....	86	98	82
4180 Budget authority, net (total) .....	79	104	75
4190 Outlays, net (total) .....	86	98	82

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. The Commission requests 444 full-time equivalent (FTE) civilian employees to manage and support the annual investment in maintenance, infrastructure, and interpretive projects.

Object Classification (in millions of dollars)

Identification code 074-0100-0-1-705	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	21	24	25
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	23	25	26
12.1 Civilian personnel benefits .....	12	11	11
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....		1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.1 Advisory and assistance services .....	9	5	4
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	12	12	13
25.4 Operation and maintenance of facilities .....	7	5	4
26.0 Supplies and materials .....	5	4	3
31.0 Equipment .....	4	2	2
32.0 Land and structures .....	16	30	2
99.9 Total new obligations, unexpired accounts .....	98	104	75

Employment Summary

Identification code 074-0100-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	425	436	444

FOREIGN CURRENCY FLUCTUATIONS ACCOUNT

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, such sums as may be necessary, to remain available until expended, for purposes authorized by section 2109 of title 36, United States Code. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 074-0101-0-1-705	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
1010 Unobligated balance transfer to other accts [074-0100] .....	-1		
1011 Unobligated balance transfer from other acct [074-0100] .....	1		
1050 Unobligated balance (total) .....	20	20	20

1930	Total budgetary resources available .....	20	20	20
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	20	20	20
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The agency has a currency fluctuation account that insulates its appropriation's buying power from changes in exchange rates. Under "such sums as may be necessary" language, the Commission will reprogram prior year available funds to address exchange rate imbalances in 2020. The Commission will continue to estimate and report its Foreign Currency Fluctuations Account requirements.

**Trust Funds**  
CONTRIBUTIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 074-8569-0-7-705	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
Receipts:			
Current law:			
1130 Contributions, American Battle Monuments Commission .....		1	1
1140 Earnings on Investments, American Battle Monuments Commission .....		1	1
1199 Total current law receipts .....		2	2
1999 Total receipts .....		2	2
2000 Total: Balances and receipts .....		2	3
Appropriations:			
Current law:			
2101 Contributions .....		-1	-1
5099 Balance, end of year .....		1	2

**Program and Financing** (in millions of dollars)

Identification code 074-8569-0-7-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0004 World War II Memorial .....	1	2	2
0900 Total new obligations, unexpired accounts (object class 25.4) .....	1	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	3	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1	1	1
1930 Total budgetary resources available .....	4	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	2	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	3
3010 New obligations, unexpired accounts .....	1	2	2
3020 Outlays (gross) .....	-1		
3050 Unpaid obligations, end of year .....	1	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	3
3200 Obligated balance, end of year .....	1	3	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	1		1
Outlays, gross:			
4101 Outlays from mandatory balances .....	1		
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1		

*Repair of non-Federal war memorials.*—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and

oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

**ARMED FORCES RETIREMENT HOME**

*Federal Funds*

GENERAL FUND PAYMENT, ARMED FORCES RETIREMENT HOME

**Program and Financing** (in millions of dollars)

Identification code 084-0100-0-1-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General fund payment .....	22	22	22
0900 Total new obligations, unexpired accounts (object class 94.0) .....	22	22	22
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	22
1930 Total budgetary resources available .....	22	22	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	22	22	22
3020 Outlays (gross) .....	-22	-22	-22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22	22	22
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	22	22
4180 Budget authority, net (total) .....	22	22	22
4190 Outlays, net (total) .....	22	22	22

*Trust Funds*

ARMED FORCES RETIREMENT HOME TRUST FUND

For expenses necessary for the Armed Forces Retirement Home to operate and maintain the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, \$64,300,000, [of which \$1,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi;] of which \$1,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi: Provided, That of the amounts made available under this heading from funds available in the Armed Forces Retirement Home Trust Fund, \$22,000,000 shall be paid from the general fund of the Treasury to the Trust Fund. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 084-8522-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	23	27	43
Receipts:			
Current law:			
1110 Deductions, Armed Forces Retirement Home .....	7	7	12
1110 Fines and Forfeitures, Armed Forces Retirement Home .....	21	21	21
1130 Other Receipts, Armed Forces Retirement Home .....	16	18	20
1130 Gifts, Armed Forces Retirement Home .....	1	1	2
1130 Property Sales/Leases, Armed Forces Retirement Home .....	1	2	4
1140 Interest from Investments, Armed Forces Retirement Home .....	1	1	1
1140 General Fund Payment to the Armed Forces Retirement Home .....	22	22	22
1199 Total current law receipts .....	69	72	82
1999 Total receipts .....	69	72	82
2000 Total: Balances and receipts .....	92	99	125

ARMED FORCES RETIREMENT HOME TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 084-8522-0-7-602	2018 actual	2019 est.	2020 est.
<b>Appropriations:</b>			
Current law:			
2101 Armed Forces Retirement Home Trust Fund .....	-64	-64	-64
Special and trust fund receipts returned:			
3010 Armed Forces Retirement Home Trust Fund .....	4	8	2
5098 Reconciliation adjustment .....	-5		
5099 Balance, end of year .....	27	43	63

**Program and Financing** (in millions of dollars)

Identification code 084-8522-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations and maintenance .....	58	63	63
0002 Construction .....	1	1	1
0900 Total new obligations, unexpired accounts .....	59	64	64

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	36	29
1021 Recoveries of prior year unpaid obligations .....	2	1	1
1030 Other balances withdrawn to special or trust funds .....	-4	-8	-2
1050 Unobligated balance (total) .....	31	29	28
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	64	64	64
1930 Total budgetary resources available .....	95	93	92
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	36	29	28
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts .....	4	8	2

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	5
3010 New obligations, unexpired accounts .....	59	64	64
3020 Outlays (gross) .....	-57	-68	-63
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	-1
3050 Unpaid obligations, end of year .....	10	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10	10	5
3200 Obligated balance, end of year .....	10	5	5

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	64	64	64
Outlays, gross:			
4010 Outlays from new discretionary authority .....	50	58	58
4011 Outlays from discretionary balances .....	7	10	5
4020 Outlays, gross (total) .....	57	68	63
4180 Budget authority, net (total) .....	64	64	64
4190 Outlays, net (total) .....	57	68	63

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	63	70	75
5001 Total investments, EOY: Federal securities: Par value .....	70	75	80

Public Law 101-510 created an Armed Forces Retirement Home (AFRH) Trust Fund to finance the AFRH—Gulfport and the AFRH—Washington Homes. The Homes are financed by appropriations drawn from the Trust Fund. AFRH provides residences and related services for certain retired and former members of the Armed Forces and the Coast Guard. The members receiving domiciliary and hospital care are:

	2018 actual	2019 est.	2020 est.
Domiciliary care .....	595	679	838
Hospital care .....	157	165	175
Totals .....	752	844	1013

Both AFRH facilities (Gulfport, MS and Washington, DC) are accredited in all areas by The Joint Commission (TJC) and Commission on Accreditation of Rehabilitation Facilities (CARF). AFRH is accredited with TJC

for the Wellness Clinic (Ambulatory Care) and four nursing care (Assisted Living, Memory Support, Long Term Care, and Independent Living Plus (Home Health Care)). CARF renewed accreditation for AFRH's Independent Living Services, our largest resident population. For FY 2018, AFRH earned its 14th consecutive unmodified financial audit opinion with no weaknesses or deficiencies identified in the management letter. AFRH identified and implemented several revenue generation and cost saving initiatives without significant impact on services provided to residents. Such initiatives include implementing a resident fee structure that will ultimately be tied to cost of care, development of a fundraising and partnership capacity, and strategic investments in property and infrastructure which will yield additional income to the Trust Fund or improve the living conditions and services provided to resident veterans. The FY 2018 National Defense Authorization Act updated AFRH's leasing authority to allow AFRH to proceed with leasing underutilized buildings/land to generate additional revenue. In May 2018, AFRH issued a request for proposals to develop an 80-acre parcel of underutilized land and buildings on its Washington, DC campus and proposals were received in September 2018. AFRH is reviewing the proposals with the goal of making a selection in 2019. AFRH expanded its lease with a District of Columbia charter school to generate additional revenue and provide an accessible intergenerational partnership for AFRH—Washington residents.

**Object Classification** (in millions of dollars)

Identification code 084-8522-0-7-602	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	17	21	21
11.5 Other personnel compensation .....	2		
11.9 Total personnel compensation .....	19	21	21
12.1 Civilian personnel benefits .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services from non-Federal sources .....	2	3	3
25.3 Other goods and services from Federal sources .....	4	4	4
25.4 Operation and maintenance of facilities .....	5	4	4
25.6 Medical care .....	4	4	4
25.7 Operation and maintenance of equipment .....	3	2	2
25.8 Subsistence and support of persons .....	9	10	10
26.0 Supplies and materials .....	1	2	2
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	59	64	64

**Employment Summary**

Identification code 084-8522-0-7-602	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	280	336	363

**CEMETERIAL EXPENSES**

*Federal Funds*

CEMETERIAL EXPENSES, ARMY

SALARIES AND EXPENSES

For necessary expenses for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase or lease of passenger motor vehicles for replacement on a one-for-one basis only, and not to exceed \$2,000 for official reception and representation expenses, [\$80,800,000] \$70,800,000, of which not to exceed \$15,000,000 shall remain available until September 30, [2021] 2022. In addition, such sums as may be necessary for parking maintenance, repairs and replacement, to be derived from the "Lease of Department of Defense Real Property for Defense Agencies" account. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 021-1805-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0008 Direct program activity .....	153	81	75
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	10	10
1021 Recoveries of prior year unpaid obligations .....	1		
1033 Recoveries of prior year paid obligations .....	63		
1050 Unobligated balance (total) .....	82	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	81	81	71
1900 Budget authority (total) .....	81	81	71
1930 Total budgetary resources available .....	163	91	81
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	69	77	4
3010 New obligations, unexpired accounts .....	153	81	75
3011 Obligations ("upward adjustments"), expired accounts .....	23		
3020 Outlays (gross) .....	-149	-154	-71
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041 Recoveries of prior year unpaid obligations, expired .....	-18		
3050 Unpaid obligations, end of year .....	77	4	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	69	77	4
3200 Obligated balance, end of year .....	77	4	8

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	81	81	71
Outlays, gross:			
4010 Outlays from new discretionary authority .....	29	81	71
4011 Outlays from discretionary balances .....	120	73	
4020 Outlays, gross (total) .....	149	154	71
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-71		
4040 Offsets against gross budget authority and outlays (total) ...	-71		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	8		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	63		
4060 Additional offsets against budget authority only (total) .....	71		
4070 Budget authority, net (discretionary) .....	81	81	71
4080 Outlays, net (discretionary) .....	78	154	71
4180 Budget authority, net (total) .....	81	81	71
4190 Outlays, net (total) .....	78	154	71

**Operation and Maintenance.**—Funding supports day-to-day operations of Arlington National Cemetery (ANC), including planning and execution for more than 7,000 interments and inurnments annually, as well as routine repairs made to facilities, contracted services, and horticultural work at Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.

**Construction.**—A ten-year capital investment plan has been developed to manage all construction, major rehabilitation, major maintenance, automation and study efforts. Funding supports long-term planning and capital investments made in construction of facilities, land improvements, and other major infrastructure sustainment, restoration, and maintenance.

**Sustainment, Restoration and Modernization (SRM).**—Funding supports ANC's infrastructure to include the renovation, sustainment, and maintenance of ANC facilities, infrastructure, and roadways.

**Object Classification** (in millions of dollars)

Identification code 021-1805-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	13	15	15

11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	14	15	15
12.1 Civilian personnel benefits .....	4	5	5
21.0 Travel and transportation of persons .....	1		
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	14		
25.2 Other services from non-Federal sources .....	15	25	27
25.3 Other goods and services from Federal sources .....	2		
25.4 Operation and maintenance of facilities .....	65		
25.7 Operation and maintenance of equipment .....	1		
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	
32.0 Land and structures .....	34	32	26
99.9 Total new obligations, unexpired accounts .....	153	81	75

**Employment Summary**

Identification code 021-1805-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	172	201	201

**CONSTRUCTION**

■ For necessary expenses for planning and design and construction at Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, \$33,600,000, to remain available until expended, for planning and design and construction associated with the Southern Expansion project at Arlington National Cemetery. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 021-1809-0-1-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Direct program activity .....	1	21	9
0900 Total new obligations, unexpired accounts (object class 32.0) .....	1	21	9
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		166	179
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	167	34	
1930 Total budgetary resources available .....	167	200	179
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	166	179	170
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	12	9
3010 New obligations, unexpired accounts .....	1	21	9
3020 Outlays (gross) .....	-9	-24	-14
3050 Unpaid obligations, end of year .....	12	9	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	12	9
3200 Obligated balance, end of year .....	12	9	4

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	167	34	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		17	
4011 Outlays from discretionary balances .....	9	7	14
4020 Outlays, gross (total) .....	9	24	14
4180 Budget authority, net (total) .....	167	34	
4190 Outlays, net (total) .....	9	24	14

**NATIONAL MILITARY CEMETERIES CONCESSIONS, ARMY**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 021-5602-0-2-705	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		1	2

NATIONAL MILITARY CEMETERIES CONCESSIONS, ARMY—Continued  
Special and Trust Fund Receipts—Continued

Identification code 021-5602-0-2-705	2018 actual	2019 est.	2020 est.
<b>Receipts:</b>			
Current law:			
1130 Concessions Fees, Army National Military Cemeteries .....	1	1	1
2000 Total: Balances and receipts .....	1	2	3
5099 Balance, end of year .....	1	2	3

ADMINISTRATIVE PROVISIONS

SEC. 301. Amounts deposited into the special account established under 10 U.S.C. 4727 are appropriated and shall be available until expended to support activities at the Army National Military Cemeteries. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.*)

FOREST AND WILDLIFE CONSERVATION, MILITARY RESERVATIONS

Federal Funds

WILDLIFE CONSERVATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5095-0-2-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1130 Sales of Hunting and Fishing Permits, Military Reservations .....	3	3	3
2000 Total: Balances and receipts .....	3	3	3
<b>Appropriations:</b>			
Current law:			
2101 Wildlife Conservation .....	-3	-3	-3
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 097-5095-0-2-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Department of the Army .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 26.0) .....	3	3	3

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	3	3
1900 Budget authority (total) .....	3	3	3
1930 Total budgetary resources available .....	12	12	12
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	9	9	9

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	3
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-6	-6
3050 Unpaid obligations, end of year .....	6	3	
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	6	3
3200 Obligated balance, end of year .....	6	3	

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	3	3	3
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....		3	3
4101 Outlays from mandatory balances .....	3	3	3

4110 Outlays, gross (total) .....	3	6	6
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	6	6

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

SELECTIVE SERVICE SYSTEM

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109; and not to exceed \$750 for official reception and representation expenses; \$25,000,000: Provided, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever the President deems such action to be necessary in the interest of national defense: Provided further, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 090-0400-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Selective Service System .....	23	23	25

Budgetary resources:

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	25
1930 Total budgetary resources available .....	23	23	25

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	4	4
3010 New obligations, unexpired accounts .....	23	23	25
3020 Outlays (gross) .....	-21	-23	-24
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	4	4	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	4	4
3200 Obligated balance, end of year .....	4	4	5

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	23	23	25
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	19	18	20
4011 Outlays from discretionary balances .....	2	5	4
4020 Outlays, gross (total) .....	21	23	24
4180 Budget authority, net (total) .....	23	23	25
4190 Outlays, net (total) .....	21	23	24

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active database of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers according to the mobilization plan. The agency also manages a program for the Nation's conscientious objectors in cooperation with the Department of Defense. All Reserve Force Officers participating in the

Selective Service System program will remain at 175 in 2019 and 2020 to reflect requirements.

SSS will continue to strengthen its partnership with the Armed Services. The Agency will continue its national initiative to offer every young man that receives a registration acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

SSS will maintain a modernized information technology system to improve business processes, while helping to sustain an all volunteer military by aiding recruiting with its agency mailings. Relevant technology will ensure faster, more accurate registration processing, as well as more secure storage of personally identifiable information. It will also foster better customer service via the internet.

**Object Classification** (in millions of dollars)

Identification code 090-0400-0-1-054	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	11	11	13
11.8 Special personal services payments .....	2	2	2
11.9 Total personnel compensation .....	13	13	15
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	23	23	25

**Employment Summary**

Identification code 090-0400-0-1-054	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	121	124	124



# ENVIRONMENTAL PROTECTION AGENCY

## Federal Funds

### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$38,893,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 068–0112–0–1–304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Rule of Law and Process .....	41	42	39
0799 Total direct obligations .....	41	42	39
0801 Reimbursable from Superfund Trust Fund .....	9	9	9
0900 Total new obligations, unexpired accounts .....	50	51	48
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	2	2
1021 Recoveries of prior year unpaid obligations .....	1	1	4
1050 Unobligated balance (total) .....	3	3	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	41	41	39
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	9	10
1701 Change in uncollected payments, Federal sources .....	–1	–1	–1
1750 Spending auth from offsetting collections, disc (total) .....	8	9	10
1900 Budget authority (total) .....	49	50	49
1930 Total budgetary resources available .....	52	53	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	7	12
3010 New obligations, unexpired accounts .....	50	51	48
3020 Outlays (gross) .....	–48	–45	–48
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	–1	–4
3050 Unpaid obligations, end of year .....	7	12	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3	–2	–2
3070 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
3090 Uncollected pymts, Fed sources, end of year .....	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	5	10
3200 Obligated balance, end of year .....	5	10	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	49	50	49
Outlays, gross:			
4010 Outlays from new discretionary authority .....	42	43	42
4011 Outlays from discretionary balances .....	6	2	6
4020 Outlays, gross (total) .....	48	45	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–9	–9	–10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	1	1	1
4070 Budget authority, net (discretionary) .....	41	41	39
4080 Outlays, net (discretionary) .....	39	36	38
4180 Budget authority, net (total) .....	41	41	39
4190 Outlays, net (total) .....	39	36	38

This appropriation supports the Environmental Protection Agency's (EPA) core programs by providing funds for independent Office of Inspector General (OIG) audit, evaluation, and investigative products and advisory services. These products and services consistently provide significant

positive monetary return on investment and contribute substantially to risk reduction, improved environmental quality and human health, as well as improved business practices, operational efficiency, and accountability. Specifically, the OIG performs contract audits and investigations that focus on costs claimed by contractors and assess the effectiveness of contract management. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations, and investigations determine the extent to which the desired results or benefits envisioned by the Administration and the Congress are being achieved, and identify activities that could undermine the integrity, efficiency, and effectiveness of EPA programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and EPA's accounting information is timely, accurate, reliable and useful, and complies with applicable laws and regulations. Efficiency, risk assessment, and program performance audits review the economy, efficiency, and effectiveness of operations by examining EPA's structure and processes for achieving environmental goals, including assessing risk, setting priorities, developing implementation strategies, and measuring performance. Information resource management audits review EPA information technology and systems to test the integrity of data and systems controls, as well as compliance with a variety of Federal information security laws and requirements. Investigations prevent, detect, and seek prosecution for criminal activity and serious misconduct in EPA programs and operations. Major areas of investigative focus include: financial fraud; infrastructure/terrorist threat; program integrity; employee integrity; cyber-crimes; and theft of intellectual or sensitive data. In addition, the EPA Inspector General serves as the IG for the U.S. Chemical Safety and Hazard Investigation Board, providing the full range of audit, evaluation, and investigative services specified by the Inspector General Act, as amended. Additional funds for audit, evaluation, and investigative activities associated with the Hazardous Substance Superfund are appropriated under that account and transferred to the Inspector General account. This appropriation also supports activities under the Working Capital Fund.

#### Object Classification (in millions of dollars)

Identification code 068–0112–0–1–304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	24	24	23
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	26	26	25
12.1 Civilian personnel benefits .....	9	9	9
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	3	3	2
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	2	1
99.0 Direct obligations .....	41	42	39
99.0 Reimbursable obligations .....	9	9	9
99.9 Total new obligations, unexpired accounts .....	50	51	48

#### Employment Summary

Identification code 068–0112–0–1–304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	208	215	200
1101 Direct military average strength employment .....	1	1	1
2001 Reimbursable civilian full-time equivalent employment .....	51	50	41

#### SCIENCE AND TECHNOLOGY

For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980; necessary expenses for personnel and related costs and travel expenses; procurement of laboratory

SCIENCE AND TECHNOLOGY—Continued

equipment and supplies; and other operating expenses in support of research and development, \$463,060,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 068–0107–0–1–304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	165	170	115
0003 Rule of Law and Process .....	529	543	369
0799 Total direct obligations .....	694	713	484
0801 Reimbursements from Superfund Trust Fund .....	15	15	18
0802 Other Reimbursements .....	6	6	6
0899 Total reimbursable obligations .....	21	21	24
0900 Total new obligations, unexpired accounts .....	715	734	508
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	76	102	110
1021 Recoveries of prior year unpaid obligations .....	10	18	18
1050 Unobligated balance (total) .....	86	120	128
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	714	714	463
1130 Appropriations permanently reduced .....	–7	–7	.....
1131 Unobligated balance of appropriations permanently reduced .....	.....	.....	–23
1160 Appropriation, discretionary (total) .....	707	707	440
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	20	17	17
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	26	17	17
1900 Budget authority (total) .....	733	724	457
1930 Total budgetary resources available .....	819	844	585
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–2	.....	.....
1941 Unexpired unobligated balance, end of year .....	102	110	77
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	311	284	276
3010 New obligations, unexpired accounts .....	715	734	508
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	–728	–724	–601
3040 Recoveries of prior year unpaid obligations, unexpired .....	–10	–18	–18
3041 Recoveries of prior year unpaid obligations, expired .....	–5	.....	.....
3050 Unpaid obligations, end of year .....	284	276	165
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–12	–14	–14
3070 Change in uncollected pymts, Fed sources, unexpired .....	–6	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–14	–14	–14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	299	270	262
3200 Obligated balance, end of year .....	270	262	151
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	733	724	457
Outlays, gross:			
4010 Outlays from new discretionary authority .....	444	427	273
4011 Outlays from discretionary balances .....	284	297	328
4020 Outlays, gross (total) .....	728	724	601
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–21	–17	–17
4033 Non-Federal sources .....	–2	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	–23	–17	–17
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–6	.....	.....
4052 Offsetting collections credited to expired accounts .....	3	.....	.....
4060 Additional offsets against budget authority only (total) .....	–3	.....	.....

4070 Budget authority, net (discretionary) .....	707	707	440
4080 Outlays, net (discretionary) .....	705	707	584
4180 Budget authority, net (total) .....	707	707	440
4190 Outlays, net (total) .....	705	707	584

This appropriation finances salary, travel, science, technology, environmental monitoring, research, and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. In addition, the Administrator will employ persons in the Office of Research and Development under the authority provided in 42 U.S.C. 209. These activities prioritize robust science, refocusing the Environmental Protection Agency's (EPA) research and scientific analysis to inform EPA policy and regulatory development actions, and creating consistency and certainty that outlines exactly what is expected of the regulated community to ensure good stewardship and positive environmental outcomes. This appropriation supports core Agency programs and each of the Agency's three goals. Specifically in 2020, EPA will place emphasis on the following:

The Air and Energy program (A-E) will conduct a range of science and technology activities to develop and implement strategies to improve air quality. These include: research to inform the review of the national ambient air quality standards to improve understanding of ozone, particulate matter, lead, sulfur dioxide, carbon monoxide, and nitrogen dioxide; system research and life cycle analysis to understand the production, operation, and impacts of energy systems on health and the environment; research on the generation, fate, transport, and chemical transformation of air emissions to identify individual and population health risks to inform clean air management decisions; and development and evaluation of new approaches for monitoring levels of air pollutants (including air toxics). EPA further develops tools to provide technical assistance to State and local governments and Tribes to use in developing clean air plans to achieve air quality standards. EPA will continue to implement the renewable fuels provisions of the Energy Policy Act of 2005 (P.L. 109–58) and the Energy Independence and Security Act of 2007 (P.L. 110–140), and will develop, implement, and ensure compliance with regulatory programs that will significantly reduce emissions from highway and non-road sources.

The Safe and Sustainable Water Resources research program (SSWR) conducts research to meet the science needs in EPA's water program, including: evaluating groups of contaminants for the protection of human health and the environment; developing innovative tools, technologies, and strategies for managing water resources (including stormwater); and supporting a systems approach for protecting and restoring aquatic systems. The systems approach includes: research to inform setting water quality criteria; establishing measures to assess and manage watersheds; and developing effective source control and management methods, especially for urban uses. A major component of the research program is working to support EPA's Drinking Water Strategy.

Within the SSWR program, research will assess, develop, and compile scientifically rigorous tools and models that will be used by the Agency, States, Tribes, and municipalities.

The Sustainable and Healthy Communities (SHC) research program, including Superfund research, implements system-based research to develop a new generation of smart technologies to address environmental conditions in a community. Superfund research costs are appropriated to the Hazardous Substance Superfund Trust Fund appropriation and transferred to this account to allow for proper accounting. The SHC research program develops decision support tools to enable communities' decision makers to solve complex human health and environmental problems. The program will identify health risks and stressors, especially those that disproportionately impact vulnerable populations such as children and the elderly.

The decision support tools support critical policy, regulatory, and non-regulatory needs related to contaminated site remediation, children's health protection, waste management, and our economy's reliance on quality ecosystem goods and services. These tools account for the interrelationships

between social, economic, health, ecological, and environmental factors with the aim to minimize unintended consequences that can result from decisions about land use, transportation, and solid waste management, as well as promote more robust and efficient infrastructure.

The Human Health Risk Assessment (HHRA) program develops assessments and scientific products that are used extensively by EPA's Program and Regional offices, and other parties, to estimate the potential risk to public health from exposure to environmental contaminants, to develop regulatory standards, and to manage environmental clean-ups. The HHRA research program provides the scientific foundation for Agency actions to protect public health and the environment.

The Homeland Security Research Program (HSRP) will continue to support research efforts on evaluating chemical, biological, and radiological (CBR) analytical methods. The HSRP will conduct research on decontamination and methods to manage potential public health consequences and develop methods to protect water infrastructures and assess threats and consequences. In 2020, decontamination research will continue to address existing scientific knowledge gaps in responding to and recovering from wide-area CBR attacks on urban centers and public areas. Water Infrastructure Protection Research will focus on developing and testing decontamination approaches for water infrastructure and on treating CBR contaminated water caused by terrorist attacks, natural disasters, and/or accidents. Research on real-time distribution system models and methods to isolate and treat contaminated water, clean distribution systems, redirect water, and return water systems to service quickly and affordably is in progress. EPA also will continue to support water sector-specific agency responsibilities to protect the Nation's critical water infrastructure.

EPA's Chemical Safety for Sustainability research program (CSS) is designed to strengthen the Agency's ability to evaluate and predict the potential environmental and human health impacts from use of manufactured chemicals throughout their lifecycle. The CSS program supports the development and application of improved and new computational systems, models of pathways and tissues; rapid cost-efficient exposure models; and user-friendly web based tools for analysis and decision support. The CSS program will continue to develop approaches for using toxicity and exposure data to inform screening and prioritization of the over 40,000 chemicals currently on the TSCA Active List, and will continue to inform the Agency's implementation of key environmental regulations and to address contaminants of emerging concern, such as Per- and Polyfluoroalkyl Substances (PFAS).

As it relates to the Science and Technology account and the overall mission of EPA, the protection of human health includes: ensuring the availability of appropriate analytical methods for detecting pesticide residues in food and feed; ensuring suitability for monitoring pesticide residues; and enforcing tolerances. The program accomplishes this by developing and validating multi-residue pesticide analytical methods for food, feed, and water for use by other Federal and State laboratories and EPA's programs and regions. Laboratories further support the estimation of human health risks from pesticide use by operating the National Pesticide Standard Repository (NPSR).

EPA's Forensics Support program provides expert scientific and technical support for criminal and civil environmental enforcement cases, as well as technical support for the Agency's compliance efforts. EPA's National Enforcement Investigations Center (NEIC) is an environmental forensic center accredited for both laboratory and field sampling operations that generate environmental data for law enforcement purposes. It is fully accredited under International Standards Organization 17025, the main standard used by testing and calibration laboratories, as recommended by the National Academy of Sciences (see Strengthening Forensic Science in the United States: A Path Forward, National Academy of Sciences, 2009). The NEIC maintains a sophisticated chemistry laboratory and a corps of highly trained inspectors and scientists with expertise across media. The NEIC works closely with EPA's Criminal Investigation Division to provide technical support (e.g., sampling, analysis, consultation, and testimony) to

criminal investigations. The NEIC also works closely with EPA's Program and Regional Offices to provide technical support, consultation, on-site inspection, investigation, and case resolution services in support of the Agency's Civil Enforcement program.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The office and the functions it performs is: Office of Mission Support (facilities infrastructure and operations and information technology/data management).

**Object Classification (in millions of dollars)**

Identification code 068-0107-0-1-304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	227	233	158
11.3 Other than full-time permanent .....	10	10	7
11.5 Other personnel compensation .....	4	4	3
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	242	248	169
12.1 Civilian personnel benefits .....	80	82	56
21.0 Travel and transportation of persons .....	5	5	3
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	28	29	20
23.3 Communications, utilities, and miscellaneous charges .....	14	14	10
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	51	52	36
25.2 Other services from non-Federal sources .....	62	65	43
25.3 Other goods and services from Federal sources .....	45	47	31
25.4 Operation and maintenance of facilities .....	32	34	22
25.5 Research and development contracts .....	48	49	34
25.7 Operation and maintenance of equipment .....	18	18	13
26.0 Supplies and materials .....	9	9	5
31.0 Equipment .....	12	12	8
32.0 Land and structures .....	3	3	2
41.0 Grants, subsidies, and contributions .....	43	44	30
99.0 Direct obligations .....	694	713	484
99.0 Reimbursable obligations .....	21	21	24
99.9 Total new obligations, unexpired accounts .....	715	734	508

**Employment Summary**

Identification code 068-0107-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,997	2,027	1,486
1101 Direct military average strength employment .....	12	12	12
2001 Reimbursable civilian full-time equivalent employment .....	62	61	82
2101 Reimbursable military average strength employment .....	1	1	1

**ENVIRONMENTAL PROGRAMS AND MANAGEMENT**

*For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; administrative costs of the brownfields program under the Small Business Liability Relief and Brownfields Revitalization Act of 2002; and not to exceed \$31,000 for official reception and representation expenses, \$1,845,268,000, to remain available until September 30, 2021: Provided, That of the amounts provided under this heading, the Chemical Risk Review and Reduction program project shall be allocated for this fiscal year, excluding the amount of any fees made available, not less than the amount of appropriations for that program project for fiscal year 2014.*

*In addition, \$46,000,000, to remain available until September 30, 2021, for necessary expenses of the Energy Star program established by section 324A of The Energy Policy and Conservation Act (42 U.S.C. 6294a): Provided, That the Administrator of the Environmental Protection Agency shall collect fees pursuant to section 324A(e) (42 U.S.C. 6294a(e)), as added by this Act, and such fees shall be credited to this appropriation as offsetting collections: Provided further, That the sum herein appropriated in this paragraph from the general fund shall be reduced as such collections are received during fiscal year 2020 so as to result in a final fiscal year appropriation from the general fund estimated at \$0: Provided further, That to the extent such collections received in fiscal year 2020 exceed \$46,000,000, those excess amounts shall be deposited in the general fund.*

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 068–0108–0–1–304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	1,486	1,496	1,100
0002 Cooperative Federalism .....	248	250	184
0003 Rule of Law and Process .....	879	885	651
0799 Total direct obligations .....	2,613	2,631	1,935
0801 Environmental Programs and Management (Reimbursable) .....	201	201	201
0900 Total new obligations, unexpired accounts .....	2,814	2,832	2,136
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	210	213	277
1021 Recoveries of prior year unpaid obligations .....	32	90	90
1050 Unobligated balance (total) .....	242	303	367
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,653	2,643	1,845
1121 Appropriations transferred from other acct [068–5664] .....		10	
1130 Appropriations permanently reduced .....	–45	–45	
1131 Unobligated balance of appropriations permanently reduced .....			–46
1160 Appropriation, discretionary (total) .....	2,608	2,608	1,799
Spending authority from offsetting collections, discretionary:			
1700 Collected [Offsetting Collections] .....	154	198	132
1700 Collected [Energy Star Fee] .....			46
1700 Collected [Chemical Compliance Assistance Fee] .....			20
1701 Change in uncollected payments, Federal sources .....	152		
1750 Spending auth from offsetting collections, disc (total) .....	306	198	198
1900 Budget authority (total) .....	2,914	2,806	1,997
1930 Total budgetary resources available .....	3,156	3,109	2,364
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–129		
1941 Unexpired unobligated balance, end of year .....	213	277	228
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,241	1,333	1,435
3010 New obligations, unexpired accounts .....	2,814	2,832	2,136
3011 Obligations ("upward adjustments"), expired accounts .....	10		
3020 Outlays (gross) .....	–2,677	–2,640	–2,367
3040 Recoveries of prior year unpaid obligations, unexpired .....	–32	–90	–90
3041 Recoveries of prior year unpaid obligations, expired .....	–23		
3050 Unpaid obligations, end of year .....	1,333	1,435	1,114
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–111	–226	–226
3070 Change in uncollected pymts, Fed sources, unexpired .....	–152		
3071 Change in uncollected pymts, Fed sources, expired .....	37		
3090 Uncollected pymts, Fed sources, end of year .....	–226	–226	–226
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,130	1,107	1,209
3200 Obligated balance, end of year .....	1,107	1,209	888
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2,914	2,806	1,997
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,768	1,730	1,373
4011 Outlays from discretionary balances .....	909	910	994
4020 Outlays, gross (total) .....	2,677	2,640	2,367
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–159	–132	–132
4033 Non-Federal sources .....	–8	–66	–66
4040 Offsets against gross budget authority and outlays (total) .....	–167	–198	–198
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–152		
4052 Offsetting collections credited to expired accounts .....	13		
4060 Additional offsets against budget authority only (total) .....	–139		
4070 Budget authority, net (discretionary) .....	2,608	2,608	1,799

4080 Outlays, net (discretionary) .....	2,510	2,442	2,169
4180 Budget authority, net (total) .....	2,608	2,608	1,799
4190 Outlays, net (total) .....	2,510	2,442	2,169

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund. This appropriation supports core agency programs implementing environmental statutes.

To protect and improve air quality, the Environmental Protection Agency (EPA) applies a variety of approaches and tools. These include: developing and implementing strategies to attain ambient air quality standards for the six criteria pollutants; reducing regional haze through regional approaches where significant transport of pollutants occurs; and developing control measures for sources that are appropriately regulated at the Federal level. EPA develops and issues national technology-based and risk-based standards using a sector-based approach to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as from urban sources. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide, primarily from electric utilities. The market-based approach also will be used in other programs, where permitted under the Clean Air Act, to reduce emissions of air pollutants. EPA will work with States and sources to implement the Greenhouse Gas Reporting Rule to obtain high quality data in a cost-effective manner. In addition, EPA will develop and use public information and training to reduce public exposure to radiation. EPA will focus its domestic efforts to ensure that ozone-depleting substance production and import caps under the Montreal Protocol and Clean Air Act continue to be met. The Budget includes a proposal to authorize EPA to administer the ENERGY STAR program through the collection of user fees, which would be collected and obligated out of the Environmental Programs and Management Account. By administering the ENERGY STAR program through the collection of user fees, the EPA would continue to provide a trusted resource for consumers and businesses who want to purchase products that save them money and help protect the environment.

EPA works to protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants and wildlife, as well as support economic, recreational, and subsistence activities. EPA will focus on core statutory requirements and water infrastructure. EPA will support the following Clean Water Act program components: water quality criteria, standards and technology; National Pollutant Discharge Elimination System (NPDES); water monitoring; Total Maximum Daily Loads (TMDLs); watershed management; water infrastructure and grants management; core wetlands programs and Clean Water Act section 106 program management. EPA also will work with States and Tribes to reduce risks to drinking water contaminants, for example, through revisions to the Lead and Copper Rule. In addition, EPA will continue work with States to transition to the next generation management and reporting tool, the Safe Drinking Water Information System (SDWIS) Prime used by the majority of state drinking water programs. The new SDWIS Prime management and reporting tool will provide improvements in program efficiency and data quality, greater public access to drinking water data, facilitation of electronic reporting, reductions in reporting burdens on laboratories and water utilities, reductions in data management burdens, and ultimately reduction in public health risk.

EPA's programs work to preserve land by ensuring proper management of waste under multiple environmental statutes. EPA will continue to assist States in putting in place and maintaining permits at facilities that treat, store, or dispose of hazardous waste. Although States are the primary implementers of the Corrective Action program, which requires facilities managing hazardous waste to clean up past releases, EPA directly implements the program in six States and provides technical support and oversight for State activities. EPA also works with Tribes to maintain tribal underground storage tank (UST) programs. EPA also supports the operations and management of the Brownfields program, including training and

technical support to assist communities to address issues associated with redevelopment or reuse of properties that may be complicated by the presence of contamination. EPA works with State, local, and tribal partners to help protect the public and the environment from releases of hazardous substances from chemical handling facilities by helping them develop area-wide emergency response and contingency plans. EPA conducts audits and inspections of those facilities handling more than a threshold quantity of certain extremely hazardous chemicals and that are required to implement a Risk Management Program to prevent releases. The Budget proposes to institute a voluntary fee whereby owners and/or operators of chemical facilities can choose to pay EPA for on-site compliance assistance with the Risk Management Program. The collected fees will be deposited in the Environmental Programs and Management Account and used by EPA to pay for this service.

In collaboration with our tribal government partners, EPA works to strengthen human health and environmental protection in Indian Country. EPA works to ensure that its environmental protection programs are implemented in Indian Country either by EPA or by the Tribes. EPA will continue the direct implementation assessment to better understand EPA direct implementation responsibilities and activities on a program-by-program basis in Indian Country. Also, EPA provides resources and technical assistance for federally recognized Tribes to create and maintain effective environmental programs by collaborating with Tribes to develop long-term EPA-Tribal Environmental Plans (ETEP) for all federally recognized Tribes.

To ensure that food will be free from unsafe levels of pesticide residues, EPA applies strict health-based standards in establishing and reevaluating tolerances for residues in food or animal feed. EPA also works to expedite the registration of reduced risk pesticides when possible, and to ensure that older pesticides meet current health and environmental standards. To respond to emerging health issues, EPA develops methods to evaluate the efficacy of products intended to combat public health pests. EPA intends to reduce potential human and environmental risks from commercial and residential exposure to pesticides through programs that focus on farm worker protection, pollinator health and protection, endangered species protection, environmental stewardship, and integrated pest management. EPA's toxics program will continue to make substantial progress in protecting public health and the environment from potentially harmful industrial chemicals by assessing the safety of new and existing chemicals, reducing gaps in the availability of chemical data, strengthening management of chemical information, and providing easier and more complete public access to non-confidential chemical data. EPA will conduct existing chemical prioritization and evaluations under the provisions of the Toxic Substances Control Act (TSCA) as amended by the Frank R. Lautenberg Chemical Safety for the Twenty-First Century Act, and address any unreasonable risks identified through such evaluations.

EPA will engage both bilaterally and through multilateral institutions to improve international cooperation to prevent and address the transboundary movement of pollution and coordinate with other nations to protect the environment and human health.

Combined with public demand for information, unprecedented changes in information technology are altering the way EPA, States, and Tribes collect, manage, analyze, use, secure, and provide access to quality environmental information. EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, tribal, State, regional, and national environmental conditions. Key to achieving information quality will be the further development of the National Environmental Information Exchange Network, which is primarily an affiliation between EPA and the States and Tribes. EPA will continue to reduce reporting burdens, improve data quality, and accelerate data publications by accelerating the replacement of paper-based submissions with electronic reporting under the Toxic Release Inventory and other programs.

EPA works in partnership with State and tribal agencies to enforce and build compliance with Federal environmental laws passed by the Congress that ensure our communities have clean air, water, and land. EPA will enforce environmental laws to correct noncompliance and promote cleanup of contaminated sites. To improve compliance with environmental laws, EPA works to provide easy access to tools that help regulated entities, Federal agencies, and the public understand these laws and find efficient, cost-effective means for putting them into practice. EPA's enforcement program targets inspections and other compliance monitoring activities according to the degree of health and environmental risk. The program collaborates with the Department of Justice, States, local government agencies, and tribal governments to ensure consistent and fair enforcement of all environmental laws and regulations. The program seeks to aggressively pursue violations that threaten communities, ensure a level economic playing field by ensuring that violators do not realize an economic benefit from noncompliance, and deter future violations. The Civil Enforcement program develops, litigates, and settles administrative and civil judicial cases against serious violators of environmental laws. The Criminal Enforcement program enforces the nation's environmental laws through targeted investigations of criminal conduct, committed by individual and corporate defendants, that threatens public health and the environment. Bringing criminal cases sends a strong deterrence message to potential violators, enhances aggregate compliance with laws and regulations and protects our communities. In 2020, to maximize compliance, the Agency will refocus efforts towards areas with significant noncompliance issues and where enforcement can address the most substantial impacts to human health and the environment.

EPA's internal operations programs provide centralized management services and leadership to ensure that EPA is fulfilling its mission. The offices and the functions they perform within the Environmental Programs and Management appropriation are: the Office of Mission Support (facilities, infrastructure and operations; acquisition management; human resources management services; grants and interagency agreements; suspension and debarment; administrative law exchange network; information security; information technology/data management); the Office of the Administrator (civil rights/Title VII compliance; congressional, intergovernmental and external relations; Science Advisory Board; children's health; Small Business Ombudsman; and regulatory and economic management and analysis work); the Office of the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability); and the Office of the General Counsel (FOIA management, civil rights/Title VI compliance, and legal advice). Since these centralized services provide support across EPA, many of these programs are funded across EPA's appropriations.

**Object Classification** (in millions of dollars)

Identification code 068-0108-0-1-304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1,016	1,023	752
11.3 Other than full-time permanent .....	22	22	16
11.5 Other personnel compensation .....	25	25	19
11.7 Military personnel .....	4	4	3
11.9 Total personnel compensation .....	1,067	1,074	790
12.1 Civilian personnel benefits .....	345	347	255
13.0 Benefits for former personnel .....	4	4	3
21.0 Travel and transportation of persons .....	22	22	16
23.1 Rental payments to GSA .....	150	151	111
23.2 Rental payments to others .....	2	2	1
23.3 Communications, utilities, and miscellaneous charges .....	4	4	3
24.0 Printing and reproduction .....	4	4	3
25.1 Advisory and assistance services .....	156	157	115
25.2 Other services from non-Federal sources .....	249	251	184
25.3 Other goods and services from Federal sources .....	304	307	226
25.4 Operation and maintenance of facilities .....	9	9	7
25.7 Operation and maintenance of equipment .....	19	19	15
26.0 Supplies and materials .....	5	5	4
31.0 Equipment .....	6	6	4
41.0 Grants, subsidies, and contributions .....	266	268	197

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued  
Object Classification—Continued

Identification code 068-0108-0-1-304	2018 actual	2019 est.	2020 est.
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Direct obligations .....	2,613	2,631	1,935
99.0 Reimbursable obligations .....	201	201	201
99.9 Total new obligations, unexpired accounts .....	2,814	2,832	2,136

Employment Summary

Identification code 068-0108-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	8,836	9,083	7,389
1101 Direct military average strength employment .....	29	29	29
2001 Reimbursable civilian full-time equivalent employment .....	80	80	68
2101 Reimbursable military average strength employment .....	2	2	2

BUILDINGS AND FACILITIES

For construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by, the Environmental Protection Agency, \$39,553,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 068-0110-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Rule of Law and Process .....	41	39	43
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	12	22
1021 Recoveries of prior year unpaid obligations .....	2	15	15
1050 Unobligated balance (total) .....	19	27	37
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	34	34	40
1930 Total budgetary resources available .....	53	61	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	12	22	34
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	64	75	69
3010 New obligations, unexpired accounts .....	41	39	43
3020 Outlays (gross) .....	-28	-30	-37
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-15	-15
3050 Unpaid obligations, end of year .....	75	69	60
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	64	75	69
3200 Obligated balance, end of year .....	75	69	60
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	34	34	40
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	6	7
4011 Outlays from discretionary balances .....	27	24	30
4020 Outlays, gross (total) .....	28	30	37
4180 Budget authority, net (total) .....	34	34	40
4190 Outlays, net (total) .....	28	30	37

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by the Environmental Protection Agency (EPA). This appropriation supports providing centralized management services to ensure that EPA is fulfilling its mission. EPA's management infrastructure will set and implement the highest quality standards for effective internal management

and fiscal responsibility. The facilities funded by this account will provide quality work environments and state-of-the-art laboratories that address employee safety and security and pollution prevention. The appropriation includes costs associated with the consolidation and optimization of EPA's laboratory enterprise, reducing its overall footprint and facility costs.

Object Classification (in millions of dollars)

Identification code 068-0110-0-1-304	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	5	5	5
25.3 Other goods and services from Federal sources .....	1	1	1
32.0 Land and structures .....	35	33	37
99.9 Total new obligations, unexpired accounts .....	41	39	43

STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, \$2,774,602,000, to remain available until expended, of which—

(1) \$1,119,772,000 shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act; and of which \$863,233,000 shall be for making capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act: Provided, That notwithstanding section 603(d)(7) of the Federal Water Pollution Control Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year 2020 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: Provided further, That for fiscal year 2020, notwithstanding the provisions of subsections (g)(1), (h), and (l) of section 201 of the Federal Water Pollution Control Act, grants made under title II of such Act for American Samoa, Guam, the commonwealth of the Northern Marianas, the United States Virgin Islands, and the District of Columbia may also be made for the purpose of providing assistance: (1) solely for facility plans, design activities, or plans, specifications, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments: Provided further, That for fiscal year 2020, notwithstanding the provisions of such subsections (g)(1), (h), and (l) of section 201 and section 518(c) of the Federal Water Pollution Control Act, funds reserved by the Administrator for grants under section 518(c) of the Federal Water Pollution Control Act may also be used to provide assistance: (1) solely for facility plans, design activities, or plans, specifications, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments: Provided further, That for fiscal year 2020, notwithstanding any provision of the Federal Water Pollution Control Act and regulations issued pursuant thereof, up to a total of \$2,000,000 of the funds reserved by the Administrator for grants under section 518(c) of such Act may also be used for grants for training, technical assistance, and educational programs relating to the operation and management of the treatment works specified in section 518(c) of such Act: Provided further, That for fiscal year 2020, funds reserved under section 518(c) of such Act shall be available for grants only to Indian tribes, as defined in section 518(h) of such Act, and former Indian reservations in Oklahoma (as determined by the Secretary of the Interior) and Native Villages as defined in Public Law 92-203: Provided further, That for fiscal year 2020, notwithstanding the limitation on amounts in section 518(c) of the Federal Water Pollution Control Act, up to a total of 2 percent of the funds appropriated, or \$30,000,000, whichever is greater, and notwithstanding the limitation on amounts in section 1452(i) of the Safe Drinking Water Act, up to a total of 2 percent of the funds appropriated, or \$20,000,000, whichever is greater, for State Revolving Funds under such Acts may be reserved by the Administrator for grants under section 518(c) and section 1452(i) of such Acts: Provided further, That for fiscal year 2020, notwithstanding the amounts specified in section 205(c) of the Federal Water Pollution Control Act, up to 1.5 percent of the aggregate funds appropriated for the Clean Water State Revolving Fund program under the Act less any sums reserved under section 518(c) of the Act, may be reserved by the Administrator

for grants made under title II of the Federal Water Pollution Control Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, and United States Virgin Islands: Provided further, That for fiscal year 2020, notwithstanding the limitations on amounts specified in section 1452(j) of the Safe Drinking Water Act, up to 1.5 percent of the funds appropriated for the Drinking Water State Revolving Fund programs under the Safe Drinking Water Act may be reserved by the Administrator for grants made under section 1452(j) of the Safe Drinking Water Act: Provided further, That notwithstanding 22 U.S.C. 1383(i)(3)(A), not less than 10 percent but not more than 20 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used by the State to provide additional subsidy to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these), and shall be so used by the State only where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred on or after the date of enactment of this Act;

(2) \$3,000,000 shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure of rural and Alaska Native Villages: Provided, That of these funds: (A) the State of Alaska shall provide a match of 25 percent; (B) no more than 5 percent of the funds may be used for administrative and overhead expenses; and (C) the State of Alaska shall make awards consistent with the Statewide priority list established in conjunction with the Agency and the U.S. Department of Agriculture for all water, sewer, waste disposal, and similar projects carried out by the State of Alaska that are funded under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301) or the Consolidated Farm and Rural Development Act (7 U.S.C. 1921 et seq.) which shall allocate not less than 25 percent of the funds provided for projects in regional hub communities;

(3) \$62,000,000 shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including grants, interagency agreements, and associated program support costs;

(4) \$10,000,000 shall be for grants under title VII, subtitle G of the Energy Policy Act of 2005;

(5) \$580,347,000 shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104-134, and for making grants under sections 103 and 105 of the Clean Air Act for particulate matter monitoring and data collection activities subject to terms and conditions specified by the Administrator, of which: \$31,791,000 shall be for carrying out section 128 of CERCLA; \$6,422,000 shall be for Environmental Information Exchange Network grants, including associated program support costs; \$11,884,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be for State participation in national- and State-level statistical surveys of water resources and enhancements to State monitoring programs; and \$10,000,000 shall be for Multipurpose Grants to States and federally recognized Indian tribes for the implementation of mandatory statutory duties in delegated environmental programs;

(6) \$50,000,000 shall be for grants to States, federally recognized Indian tribes, public pre-schools, local educational agencies as defined in 20 U.S.C. 7801(30), and non-profit organizations, for detection, assessment, prevention, control, or abatement of pollution and other environmental hazards in school buildings as defined in 20 U.S.C. 3610(6), and related activities: Provided, That the Federal share of the costs of such activities shall not exceed 75%: Provided further, That the Administrator may waive such cost share requirement in the case of schools located in economically distressed communities;

(7) \$2,000,000 shall be for grants under section 1459A(l) of the Safe Drinking Water Act (42 U.S.C. 300j-19a(l)), as amended by section 2005 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(8) \$5,000,000 shall be for grants under section 1465 of the Safe Drinking Water Act (42 U.S.C. 300j-25), as added by section 2006(b) of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(9) \$7,500,000 shall be for grants under section 104(b)(8) of the Federal Water Pollution Control Act (33 U.S.C. § 1254(b)(8)), as added by section 4103 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(10) \$61,450,000 shall be for grants under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301), as amended by section 4106 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(11) \$300,000 shall be for grants authorized in section 4304 of the America's Water Infrastructure Act of 2018 (Public Law 115-270); and

(12) \$10,000,000 shall be for grants under section 1464(d) of the Safe Drinking Water Act (42 U.S.C. 300j-24(d)), as amended by section 2107 of the Water Infrastructure Improvements for the Nation Act (Public Law 114-322) and section 2006(a) of the America's Water Infrastructure Act of 2018 (Public Law 115-270).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 068-0103-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	3,951	4,031	2,827
0002 Cooperative Federalism .....	102	104	73
0003 Rule of Law and Process .....	20	20	14
0900 Total new obligations, unexpired accounts .....	4,073	4,155	2,914
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	228	367	428
1021 Recoveries of prior year unpaid obligations .....	46	100	100
1050 Unobligated balance (total) .....	274	467	528
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,262	4,212	2,775
1130 Appropriations permanently reduced .....	-96		
1131 Unobligated balance permanently reduced (balances cancelled) .....		-96	-142
1160 Appropriation, discretionary (total) .....	4,166	4,116	2,633
1930 Total budgetary resources available .....	4,440	4,583	3,161
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	367	428	247
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5,429	5,890	7,136
3010 New obligations, unexpired accounts .....	4,073	4,155	2,914
3020 Outlays (gross) .....	-3,566	-2,809	-2,922
3040 Recoveries of prior year unpaid obligations, unexpired .....	-46	-100	-100
3050 Unpaid obligations, end of year .....	5,890	7,136	7,028
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5,429	5,890	7,136
3200 Obligated balance, end of year .....	5,890	7,136	7,028
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,166	4,116	2,633
Outlays, gross:			
4010 Outlays from new discretionary authority .....	464	479	172
4011 Outlays from discretionary balances .....	3,102	2,330	2,750
4020 Outlays, gross (total) .....	3,566	2,809	2,922
4180 Budget authority, net (total) .....	4,166	4,116	2,633
4190 Outlays, net (total) .....	3,566	2,809	2,922

This appropriation supports core Environmental Protection Agency (EPA) programs through grants to States, Tribes and U.S. districts and Territories. Funding is provided to assist State and tribal partners in implementing their environmental programs to protect human health and the environment. EPA is using common elements for State and tribal grant agreements, including Performance Partnership Grants.

The EPA will provide financial and technical assistance to assist States and Tribes in the development and management of their clean air plans and support solutions that address their local air quality management needs. EPA also will provide funds to States and Tribes using section 105 authority of the Clean Air Act to operate and maintain air monitoring networks to obtain data on emissions of criteria pollutants and air toxics. EPA has funded State and local fine particulate monitoring using the requirements of section 103 of the Clean Air Act, as authorized in annual appropriation bills. EPA also is committed to transitioning funding for fine particulate monitoring into the funding authorized by section 105 of the Clear Air Act. Section 103 provides full funding for pilot programs, demonstrations, research, and other one-time activities, whereas section 105 requires States and local agencies to provide matching funds of at least 40 percent of the

STATE AND TRIBAL ASSISTANCE GRANTS—Continued

amount required for the entire continuing State or local clean air program. Using funds provided by EPA under Clean Air Act sections 103 and 105, States and Tribes will prepare State Implementation Plans and Tribal Implementation Plans to achieve the National Ambient Air Quality Standards, implement monitoring requirements, and support the National Air Toxics Trends Stations monitoring network. Additionally, States may utilize funding to support States' collection, review, and use of greenhouse gas (GHG) emissions data and permitting of large sources of GHG's. EPA also will implement the Diesel Emissions Reduction Act Grant Program by providing funding through grants and rebates to continue to reduce diesel emissions in priority areas and areas of highly concentrated diesel pollution.

EPA also will support its partnerships with States, Tribes, and U.S. Territories through water grants and Performance Partnership grants to carry out core statutory requirements of the Clean Water Act and the Safe Drinking Water Act. Funding supports work to reduce human exposure to contaminants in drinking water, fish and shellfish, and recreational waters and to protect and restore watersheds and aquatic ecosystems. Funding is provided through the Drinking Water State Revolving Fund (SRF) for States and Tribes to make low interest loans to public water systems to upgrade drinking water infrastructure to help them provide safe drinking water. In addition, Clean Water SRF funding provides low interest loans to communities and includes a set-aside for Tribes and U.S. Territories to construct wastewater treatment infrastructure, in addition to other projects that enhance water quality. In sum, the Federal Government has invested over \$60 billion in grants to help capitalize the SRFs. With the required State match, additional State contributions, and funds from program leveraging, funds made available for loans total over \$163.5 billion since their inception. EPA will continue to work with its partners to enhance the capacity of communities, States, and private investors to plan and finance drinking water and wastewater infrastructure improvements.

Direct grants also are provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages. EPA has implemented a management plan that optimizes the pace of the program. EPA will continue to strengthen State core water quality protection and water enforcement programs.

The Budget proposes funds for the America's Water Infrastructure Act grant programs that will assist in lead testing and drinking water fountain replacement in schools, sewer overflow control, and water infrastructure workforce investment. These resources would complement State and local drinking water and wastewater infrastructure investments as well as funding provided through other Federal channels.

The Healthy Schools grant program will provide support to States, local governments, Tribes and non-profit organizations to address environmental health concerns found in schools. Funding supports efforts to identify and help prevent, reduce and resolve environmental hazards, prevent childhood lead exposure, reduce asthma triggers, promote integrated pest management and reduce or eliminate childhood exposure to toxics in schools.

EPA's Brownfields program supports land revitalization by providing grants to States, Tribes, and local communities to assess and clean up real property which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. EPA Brownfields assessment and clean-up projects assist local communities in paving the way for the productive reuse of contaminated properties and abandoned sites.

Hazardous and non-hazardous wastes on the land can migrate to the air, groundwater, and surface water, contaminating drinking water supplies, causing acute illnesses or chronic diseases, and threatening healthy ecosystems in urban, rural, and suburban areas. Under the Resource Conservation and Recovery Act of 1976, as amended, EPA provides grants to States to strengthen their ability to implement hazardous waste programs. When appropriate, EPA also may provide financial and technical assistance to eligible tribal governments and inter-tribal consortia to conduct hazardous waste work in Indian Country.

In addition, EPA provides grants to assist States, Tribes, and other partners with worker safety activities, protection of endangered species and water sources, and promotion of environmental stewardship. To protect, sustain or restore the health of people, communities and ecosystems, EPA focuses on the geographic areas with human and ecological communities at most risk. EPA is working to protect, sustain, and restore the health of natural habitats and ecosystems by identifying and evaluating problem areas, developing tools, and improving community capacity to address problems.

Under Federal environmental statutes, EPA is responsible for protecting human health and the environment in Indian Country. EPA works with over 560 federally recognized Tribes located across the United States to improve environmental and human health outcomes. Indian Country totals more than 70 million acres, with reservations ranging from less than 10 acres to more than 14 million acres. EPA will provide funding to build and enhance the capacity of Tribes to address environmental and public health challenges in Indian Country, including lack of access to safe drinking water, sanitation, adequate waste facilities, and other environmental safeguards taken for granted elsewhere.

EPA will provide funding to States, U.S. Territories, Tribes, and inter-tribal consortia to help them develop their information management and technology capabilities. The purpose of this support is two-fold: to assist the Agency in providing ready access to real-time environmental information; and to allow States and Tribes to better integrate and share their environmental information.

To promote compliance with laws intended to protect human health and the environment, EPA will continue to award State and tribal grants to assist in the implementation of compliance and enforcement provisions of environmental laws. EPA provides funding to States and Tribes for compliance assurance activities including inspections and enforcement case support activities. EPA programs will provide Pesticide Program State and Tribal Assistance Grants that support pesticide product and user compliance with provisions of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) through cooperative agreements with States and Tribes. The cooperative agreements support State and tribal compliance and enforcement activities under FIFRA.

Toxic Substance Compliance Grants are provided to States and Tribes to prevent or eliminate unreasonable risks to human health or the environment and to ensure compliance with toxic substance regulations. The grants support inspection programs associated with the Asbestos Hazard Emergency Response Act (AHERA), lead-based paint (§402(a), §406(b), and the Renovation, Repair and Painting rule [RRP]), and polychlorinated biphenyls (PCBs). The compliance monitoring activities conducted by the States will be a cooperative endeavor addressing the priorities of the Federal Toxic Substances Control Act program and State toxics program issues.

Object Classification (in millions of dollars)

Identification code 068-0103-0-1-304	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....		1	
25.1 Advisory and assistance services .....	2	2	1
25.2 Other services from non-Federal sources .....	25	26	18
25.3 Other goods and services from Federal sources .....	52	53	37
41.0 Grants, subsidies, and contributions .....	3,994	4,073	2,858
99.9 Total new obligations, unexpired accounts .....	4,073	4,155	2,914

Employment Summary

Identification code 068-0103-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	6	7	

WATER INFRASTRUCTURE FINANCE AND INNOVATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 068-4372-0-3-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	1,038	5,612	2,193
0900 Total new obligations, unexpired accounts .....	1,038	5,612	2,193
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1,462	1,462
Financing authority:			
Borrowing authority, discretionary:			
1300 Borrowing authority .....		5,612	2,193
Borrowing authority, mandatory:			
1400 Borrowing authority .....	2,500		
1900 Budget authority (total) .....	2,500	5,612	2,193
1930 Total budgetary resources available .....	2,500	7,074	3,655
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,462	1,462	1,462
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1,038	6,400
3010 New obligations, unexpired accounts .....	1,038	5,612	2,193
3020 Outlays (gross) .....		-250	-378
3050 Unpaid obligations, end of year .....	1,038	6,400	8,215
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1,038	6,400
3200 Obligated balance, end of year .....	1,038	6,400	8,215
<b>Financing authority and disbursements, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		5,612	2,193
Mandatory:			
4090 Budget authority, gross .....	2,500		
Financing disbursements:			
4110 Outlays, gross (total) .....		250	378
4180 Budget authority, net (total) .....	2,500	5,612	2,193
4190 Outlays, net (total) .....		250	378

Status of Direct Loans (in millions of dollars)

Identification code 068-4372-0-3-301	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	1,038	5,612	2,193
1150 Total direct loan obligations .....	1,038	5,612	2,193
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....			250
1231 Disbursements: Direct loan disbursements .....		250	378
1290 Outstanding, end of year .....		250	628

WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT

For the cost of direct loans and for the cost of guaranteed loans, as authorized by the Water Infrastructure Finance and Innovation Act of 2014, \$20,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans, including capitalized interest, and total loan principal, including capitalized interest, any part of which is to be guaranteed, not to exceed \$4,170,000,000.

In addition, fees authorized to be collected pursuant to sections 5029 and 5030 of the Water Infrastructure Finance and Innovation Act of 2014 shall be deposited in this account, to remain available until expended, for the purposes provided in such sections.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, notwithstanding section 5033 of the Water Infrastructure Finance and Innovation Act of 2014, \$5,000,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 068-0254-0-1-301	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	7	55	20
0709 Administrative expenses .....	5	15	7
0900 Total new obligations, unexpired accounts .....	12	70	27
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	26	79	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	63	63	25
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	7	2
1900 Budget authority (total) .....	65	70	27
1930 Total budgetary resources available .....	91	149	106
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	79	79	79
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	10	
3010 New obligations, unexpired accounts .....	12	70	27
3020 Outlays (gross) .....	-4	-80	-27
3050 Unpaid obligations, end of year .....	10		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	10	
3200 Obligated balance, end of year .....	10		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	70	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	70	27
4011 Outlays from discretionary balances .....	2	10	
4020 Outlays, gross (total) .....	4	80	27
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-2	-7	-2
4040 Offsets against gross budget authority and outlays (total) ....	-2	-7	-2
4180 Budget authority, net (total) .....	63	63	25
4190 Outlays, net (total) .....	2	73	25

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 068-0254-0-1-301	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Water Infrastructure Direct Loans .....	1,038	5,612	2,193
Direct loan subsidy (in percent):			
132002 Water Infrastructure Direct Loans .....	0.68	0.98	0.91
132999 Weighted average subsidy rate .....	0.68	0.98	0.91
Direct loan subsidy budget authority:			
133002 Water Infrastructure Direct Loans .....	7	55	20
133999 Total subsidy budget authority .....	7	55	20
Administrative expense data:			
3510 Budget authority .....	5	5	5
3590 Outlays from new authority .....	3	5	5

This appropriation supports all activities necessary for the implementation of the Water Infrastructure Finance and Innovation program established by the Water Resources Reform and Development Act of 2014, Title V, Subtitle C. The program will provide low-interest Federal loans or loan guarantees to eligible entities for a wide range of nationally and regionally significant water and wastewater projects. Eligible assistance recipients include corporations, partnerships, government entities, and State Revolving Fund (SRF) programs, among others. Eligible projects include, among others: Clean and Drinking Water State Revolving Fund eligible projects;

WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT—Continued projects for enhanced energy efficiency at drinking water and wastewater facilities; brackish or seawater desalination, aquifer recharge, water recycling; acquisition of property if it is integral to the project or will mitigate the environmental impact of a project; bundled SRF projects under one application; and a combination of projects secured by a common security pledge. Of the total \$25 million request to implement the Water Infrastructure Finance and Innovation Act (WIFIA) program, \$5 million is for the Environmental Protection Agency's (EPA) management and operation of the program, including contract support and associated payroll. The WIFIA program will be administered by EPA's Office of Water.

**Object Classification** (in millions of dollars)

Identification code 068-0254-0-1-301	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	4	14	6
33.0 Investments and loans .....	7	55	20
99.9 Total new obligations, unexpired accounts .....	12	70	27

**Employment Summary**

Identification code 068-0254-0-1-301	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

**PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND**

**Program and Financing** (in millions of dollars)

Identification code 068-0250-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to the hazardous substance superfund .....	1,094	963	899
0900 Total new obligations, unexpired accounts (object class 94.0) .....	1,094	963	899
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,094	963	899
1930 Total budgetary resources available .....	1,094	963	899
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1,094	963	899
3020 Outlays (gross) .....	-1,094	-963	-899
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,094	963	899
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1,094	963	899
4180 Budget authority, net (total) .....	1,094	963	899
4190 Outlays, net (total) .....	1,094	963	899

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The Administration proposes to continue the payment from the general fund up to the appropriated amount in 2020 less sums available in the Trust Fund on October 1, 2019.

**ENVIRONMENTAL SERVICES**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 068-5295-0-2-304	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	445	470	492

Receipts:			
Current law:			
1120 Environmental Services .....	25	22	22
2000 Total: Balances and receipts .....	470	492	514
5099 Balance, end of year .....	470	492	514

The Environmental Services special fund was established for the deposit of fee receipts associated with environmental programs that may, by statute, be deposited into the fund.

**TSCA SERVICE FEE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 068-5664-0-2-304	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
Current law:			
1130 User Fees, TSCA Service Fee Fund .....		10	15
2000 Total: Balances and receipts .....		10	15
<b>Appropriations:</b>			
Current law:			
2101 TSCA Service Fee Fund .....		-10	-15
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 068-5664-0-2-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0011 Direct program activity .....			15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....		10	15
1120 Appropriations transferred to other acct [068-0108] .....		-10	
1160 Appropriation, discretionary (total) .....			15
1930 Total budgetary resources available .....			15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			15
3020 Outlays (gross) .....			-13
3050 Unpaid obligations, end of year .....			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			15
Outlays, gross:			
4010 Outlays from new discretionary authority .....			13
4180 Budget authority, net (total) .....			15
4190 Outlays, net (total) .....			13

TSCA Services Fees are authorized by section 26 of the Toxic Substances Control Act, as amended by Public Law 114-182, the Frank R. Lautenberg Chemical Safety for the 21st Century Act. Fees deposited in this account are paid by chemical manufacturers (including importers) and, in limited circumstances, processors who are required to: submit test data (TSCA section 4); submit notification of or information related to intent to manufacture a new chemical or significant new use of a chemical (TSCA section 5); manufacture a chemical substance that is subject to a risk evaluation (TSCA section 6); or request that the Environmental Protection Agency (EPA) conduct a risk evaluation on an existing chemical (TSCA section 6), subject to the agency's approval of the request. TSCA Service Fees are estimated to offset 25 percent of the costs to administer sections 4, 5, and 6 of the law as well as collecting, processing, reviewing, and protecting information about chemical substances from disclosure as appropriate under TSCA section 14. The statute requires that fees for manufacturer-requested

risk evaluations offset 50 or 100 percent of the costs of those evaluations. EPA finalized a rule for the collection of TSCA fees on September 27, 2018. The final rule became effective in October 2018.

**Object Classification** (in millions of dollars)

Identification code 068-5664-0-2-304	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			6
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			7
25.1 Advisory and assistance services .....			3
25.2 Other services from non-Federal sources .....			3
25.3 Other goods and services from Federal sources .....			2
99.9 Total new obligations, unexpired accounts .....			15

**Employment Summary**

Identification code 068-5664-0-2-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			64

**PESTICIDE REGISTRATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 068-5374-0-2-304	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1130 Registration Service Fees, Pesticide Registration Fund .....	17	18	18
2000 Total: Balances and receipts .....	18	19	19
Appropriations:			
Current law:			
2101 Pesticide Registration Fund .....	-17	-18	-18
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 068-5374-0-2-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	22	18	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	8	8
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	17	18	18
1930 Total budgetary resources available .....	30	26	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	12	9
3010 New obligations, unexpired accounts .....	22	18	18
3020 Outlays (gross) .....	-19	-21	-21
3050 Unpaid obligations, end of year .....	12	9	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	12	9
3200 Obligated balance, end of year .....	12	9	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	12	11	11
4011 Outlays from discretionary balances .....	7	10	10
4020 Outlays, gross (total) .....	19	21	21
4180 Budget authority, net (total) .....	17	18	18
4190 Outlays, net (total) .....	19	21	21

Fees deposited in this account are paid by industry to partially offset the costs associated with reviewing all applications for which registration service fees have been paid, including for associated establishment of tolerances for pesticides to be used in or on food and animal feed; and to partially fund the enhancement of scientific and regulatory activities relating to worker protection, to partially fund partnership grants, and to partially fund the pesticide safety education program. These Pesticide Registration Service fees are authorized by section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

**Object Classification** (in millions of dollars)

Identification code 068-5374-0-2-304	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	6	6	6
11.9 Total personnel compensation .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	7	4	4
25.3 Other goods and services from Federal sources .....	1		
25.7 Operation and maintenance of equipment .....		2	2
41.0 Grants, subsidies, and contributions .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	22	18	18

**Employment Summary**

Identification code 068-5374-0-2-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	57	61	61

**REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 068-4310-0-3-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Core Mission .....	21	25	30
0802 Rule of Law and Process .....	1		
0900 Total new obligations, unexpired accounts .....	22	25	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	37	44	48
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	29	29	32
1802 Offsetting collections (previously unavailable) .....	2	2	2
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced .....	-2	-2	
1850 Spending auth from offsetting collections, mand (total) .....	29	29	34
1900 Budget authority (total) .....	29	29	34
1930 Total budgetary resources available .....	66	73	82
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	44	48	52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	6
3010 New obligations, unexpired accounts .....	22	25	30
3020 Outlays (gross) .....	-22	-21	-33
3050 Unpaid obligations, end of year .....	2	6	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	6
3200 Obligated balance, end of year .....	2	6	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	29	29	34
Outlays, gross:			
4100 Outlays from new mandatory authority .....	19	21	23
4101 Outlays from mandatory balances .....	3		10
4110 Outlays, gross (total) .....	22	21	33

REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND—Continued  
Program and Financing—Continued

Identification code 068-4310-0-3-304	2018 actual	2019 est.	2020 est.
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4121 Interest on Federal securities .....	-1	-1	-1
4123 Non-Federal sources .....	-28	-28	-31
4130 Offsets against gross budget authority and outlays (total) ....	-29	-29	-32
4160 Budget authority, net (mandatory) .....			2
4170 Outlays, net (mandatory) .....	-7	-8	1
4180 Budget authority, net (total) .....			2
4190 Outlays, net (total) .....	-7	-8	1
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	2	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	2	2	

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....			2
Outlays .....	-7	-8	1
<b>Legislative proposal, subject to PAYGO:</b>			
Outlays .....			5
<b>Total:</b>			
Budget Authority .....			2
Outlays .....	-7	-8	6

Pesticide Maintenance fees are paid by industry to partially offset the costs of pesticide reregistration and expedited processing of certain registration applications; to partially offset the costs of registration review; to review and evaluate inert ingredients, and to enhance the information systems capabilities to improve the tracking of pesticide registration decisions. This fee is authorized in section 4(i) of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

Object Classification (in millions of dollars)

Identification code 068-4310-0-3-304	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	9	10	11
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	10	11	12
12.1 Civilian personnel benefits .....	3	4	5
23.1 Rental payments to GSA .....	1	1	2
25.1 Advisory and assistance services .....	1	4	5
25.2 Other services from non-Federal sources .....	4	3	3
25.3 Other goods and services from Federal sources .....	1		
25.7 Operation and maintenance of equipment .....	1	2	3
41.0 Grants, subsidies, and contributions .....	1		
99.9 Total new obligations, unexpired accounts .....	22	25	30

Employment Summary

Identification code 068-4310-0-3-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	91	91	222

REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 068-4310-4-3-304	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....			-5

<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			-5

Budget authority and outlays, net:

<b>Mandatory:</b>			
<b>Outlays, gross:</b>			
4101 Outlays from mandatory balances .....			5
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			5

*Fee Spending Restrictions.* Current statutory language in the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) restricts the activities that Environmental Protection Agency can fund from collections deposited in the Reregistration and Expedited Processing Revolving Fund. The Budget proposes language to clarify the Agency's authority to utilize resources in the Fund to review existing pesticide registrations for their compliance with current FIFRA standards, ensuring market access for pesticide registrants. Specifically, fees collected would be available for the following activities as they relate to pesticide licensing: processing and review of data submitted in association with a registration, information submitted pursuant to section 6(a)(2) of FIFRA, supplemental distributor labels, transfers of registrations and data compensation rights, additional uses registered by States under section 24(c) of FIFRA, data compensation petitions, and minor amendments and notifications; laboratory support and audits; administrative support; development of policy and guidance; rule-making support; information collection activities; and the portions of salaries related to work in these areas.

HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 068-4330-0-3-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	5		
0799 Total direct obligations .....	5		
0802 Reimbursable program activity .....		5	9
0900 Total new obligations, unexpired accounts .....	5	5	9
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2		19
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	3		
<b>Spending authority from offsetting collections, discretionary:</b>			
1700 Collected .....		24	24
1900 Budget authority (total) .....	3	24	24
1930 Total budgetary resources available .....	5	24	43
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....		19	34

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	1
3010 New obligations, unexpired accounts .....	5	5	9
3020 Outlays (gross) .....	-5	-7	-7
3050 Unpaid obligations, end of year .....	3	1	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	1
3200 Obligated balance, end of year .....	3	1	3

Budget authority and outlays, net:

<b>Discretionary:</b>			
4000 Budget authority, gross .....	3	24	24
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	2	5	5
4011 Outlays from discretionary balances .....	3	2	2
4020 Outlays, gross (total) .....	5	7	7

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources .....	-24	-24
4180	Budget authority, net (total) .....	3	
4190	Outlays, net (total) .....	5	-17

In accordance with section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g(c)), the Administrator of the Environmental Protection Agency is authorized to collect and obligate e-Manifest user fees. In 2020, EPA will continue to operate the e-Manifest system established by the Hazardous Waste Electronic Manifest Establishment Act (Public Law 112-195). Based upon authority to collect and spend e-Manifest fees provided by the Congress in annual appropriations bills, the Agency anticipates collecting and depositing approximately \$24 million in e-Manifest user fees into the Hazardous Waste Electronic Manifest System Fund. Fees deposited in this account will fully support the e-Manifest program, including operation of the system, necessary program expenses, and future development costs.

**Object Classification** (in millions of dollars)

Identification code 068-4330-0-3-304			
Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	
25.1	Advisory and assistance services .....	3	
25.3	Other goods and services from Federal sources .....	1	
99.0	Direct obligations .....	5	
11.1	Reimbursable obligations: Personnel compensation: Full-time permanent .....		1
11.9	Total personnel compensation .....	1	1
25.1	Advisory and assistance services .....	4	8
99.0	Reimbursable obligations .....	5	9
99.9	Total new obligations, unexpired accounts .....	5	9

**Employment Summary**

Identification code 068-4330-0-3-304			
1001	Direct civilian full-time equivalent employment .....	7	
2001	Reimbursable civilian full-time equivalent employment .....	8	11

**DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 068-4365-0-3-306			
<b>Obligations by program activity:</b>			
0001	Core Mission .....	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	2
Budget authority:			
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [014-1618] ....	1	2
1900	Budget authority (total) .....	1	2
1930	Total budgetary resources available .....	4	4
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1
3010	New obligations, unexpired accounts .....	2	2
3020	Outlays (gross) .....	-2	-1
3050	Unpaid obligations, end of year .....		1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1
3200	Obligated balance, end of year .....		2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	2

Outlays, gross:			
4101	Outlays from mandatory balances .....	2	1
4180	Budget authority, net (total) .....	1	2
4190	Outlays, net (total) .....	2	1

These funds pay for the Environmental Protection Agency's (EPA) assessment and restoration activities resulting from the Deepwater Horizon Oil Spill in conjunction with injury to, destruction of, or loss of the use of natural resources, including their supporting ecosystems. EPA was designated as a trustee for Natural Resource Damage Assessment (NRDA) under Executive Order 13626, and this fund was established under the authority of section 1006(f) (33 U.S.C. 2706(f)) of the Oil Pollution Act of 1990.

**Object Classification** (in millions of dollars)

Identification code 068-4365-0-3-306			
Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1
31.0	Equipment .....	1	1
99.9	Total new obligations, unexpired accounts .....	2	2

**Employment Summary**

Identification code 068-4365-0-3-306			
2001	Reimbursable civilian full-time equivalent employment .....	4	4

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 068-4565-0-4-304			
<b>Obligations by program activity:</b>			
0801	ETSD Operations .....	196	208
0802	Postage .....	1	1
0803	Compass .....	12	15
0804	eRelocation .....	15	10
0805	COOP .....	1	2
0806	Background Investigations .....	12	11
0807	People Plus .....	5	3
0808	Conference .....		1
0900	Total new obligations, unexpired accounts .....	242	251
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	70	76
1021	Recoveries of prior year unpaid obligations .....	9	10
1050	Unobligated balance (total) .....	79	86
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	237	270
1701	Change in uncollected payments, Federal sources .....	2	
1750	Spending auth from offsetting collections, disc (total) .....	239	270
1930	Total budgetary resources available .....	318	356
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	76	105
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	149	172
3010	New obligations, unexpired accounts .....	242	251
3020	Outlays (gross) .....	-210	-276
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-10
3050	Unpaid obligations, end of year .....	172	137
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-117	-119
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2	
3090	Uncollected pymts, Fed sources, end of year .....	-119	-119
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	32	53
3200	Obligated balance, end of year .....	53	18

WORKING CAPITAL FUND—Continued  
Program and Financing—Continued

Identification code 068-4565-0-4-304	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	239	270	270
Outlays, gross:			
4010 Outlays from new discretionary authority .....	109	189	189
4011 Outlays from discretionary balances .....	101	87	91
4020 Outlays, gross (total) .....	210	276	280
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-237	-270	-257
4033 Non-Federal sources: .....			-13
4040 Offsets against gross budget authority and outlays (total) ....	-237	-270	-270
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4080 Outlays, net (discretionary) .....	-27	6	10
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-27	6	10

The Environmental Protection Agency (EPA) received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA received permanent authority for the WCF in Public Law 105-65, as part of an effort to increase competition for governmental administrative services. EPA's WCF became operational in 1997 and funds the following main activities: information technology and telecommunications operations and data services, agency postage costs, Cincinnati voice services and background investigations, managed by the Office of Mission Support; financial and administrative systems, employee relocations, and budget formulation system managed by the Office of the Chief Financial Officer; the Agency's continuity of operations site, managed by the Office of Land and Emergency Management; and regional information technology service and support managed by Region 8. The 2020 amount reflects only base resources and may change during the year in accordance with programmatic needs.

Object Classification (in millions of dollars)

Identification code 068-4565-0-4-304	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	17	16	16
12.1 Civilian personnel benefits .....	20	16	16
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	3	5	5
25.1 Advisory and assistance services .....	9	16	16
25.2 Other services from non-Federal sources .....	14	14	14
25.3 Other goods and services from Federal sources .....	117	98	98
25.7 Operation and maintenance of equipment .....	57	76	76
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	7	7
99.9 Total new obligations, unexpired accounts .....	242	251	251

Employment Summary

Identification code 068-4565-0-4-304	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	153	178	213

Trust Funds

HAZARDOUS SUBSTANCE SUPERFUND  
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611), \$1,045,351,000, to remain available until expended, consisting of such sums as are available in the Trust Fund on September 30, 2019, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA) and up to \$1,045,351,000 as a payment from general

revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA: Provided, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: Provided further, That of the funds appropriated under this heading, \$9,586,000 shall be paid to the "Office of Inspector General" appropriation to remain available until September 30, 2021, and \$17,775,000 shall be paid to the "Science and Technology" appropriation to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	65	192	120
0198 Reconciliation adjustment .....	11		
0199 Balance, start of year .....	76	192	120
Receipts:			
Current law:			
1110 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....	1	2	2
1130 Recoveries, Hazardous Substance Superfund .....	41	94	94
1130 Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund .....	199	250	250
1140 Interest and Profits on Investments, Hazardous Substance Superfund .....	40	41	41
1140 Interest and Profits on Investments, Hazardous Substance Superfund .....	23	23	24
1140 Interfund Transactions, Hazardous Substance Superfund .....	1,094	963	899
1199 Total current law receipts .....	1,398	1,373	1,310
1999 Total receipts .....	1,398	1,373	1,310
2000 Total: Balances and receipts .....	1,474	1,565	1,430
Appropriations:			
Current law:			
2101 Hazardous Substance Superfund .....	-1,137	-1,130	-1,018
2101 Hazardous Substance Superfund .....	-9	-9	-9
2101 Hazardous Substance Superfund .....	-15	-15	-18
2101 Hazardous Substance Superfund .....	-120	-250	-250
2101 Hazardous Substance Superfund .....		-41	-41
2103 Hazardous Substance Superfund .....	-3	-2	-2
2132 Hazardous Substance Superfund .....	3	2	
2199 Total current law appropriations .....	-1,281	-1,445	-1,338
2999 Total appropriations .....	-1,281	-1,445	-1,338
5098 Rounding adjustment .....	-1		
5099 Balance, end of year .....	192	120	92

Program and Financing (in millions of dollars)

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	897	860	774
0002 Cooperative Federalism .....	4	4	4
0003 Rule of Law and Process .....	338	324	292
0100 Subtotal direct program .....	1,239	1,188	1,070
0799 Total direct obligations .....	1,239	1,188	1,070
0801 Hazardous Substance Superfund (Reimbursable) .....	267	267	267
0900 Total new obligations, unexpired accounts .....	1,506	1,455	1,337

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3,390	3,308	3,683
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	3,390	3,308	
1020 Adjustment of unobligated bal brought forward, Oct 1 .....		119	
1021 Recoveries of prior year unpaid obligations .....	105	200	200
1033 Recoveries of prior year paid obligations .....	21		
1050 Unobligated balance (total) .....	3,516	3,627	3,883
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1,137	1,130	1,018
1101 Appropriation (special or trust fund) IG Transfer .....	9	9	9
1101 Appropriation (special or trust fund) S&T Transfer .....	15	15	18
1131 Unobligated balance of appropriations permanently reduced .....			-16

1160	Appropriation, discretionary (total) .....	1,161	1,154	1,029
	Appropriations, mandatory:			
1201	Appropriation [Special Account Collections] .....	120	250	250
1201	Appropriation [Special Account Interest] .....		41	41
1203	Appropriation (previously unavailable) .....	3	2	2
1232	Appropriations temporarily reduced - Sequester .....	-3	-2	
1260	Appropriations, mandatory (total) .....	120	291	293
	Spending authority from offsetting collections, discretionary:			
1700	Collected .....	17	66	66
1900	Budget authority (total) .....	1,298	1,511	1,389
1930	Total budgetary resources available .....	4,814	5,138	5,271
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3,308	3,683	3,934
<b>Change in obligated balance:</b>				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	1,485	1,508	1,440
3010	New obligations, unexpired accounts .....	1,506	1,455	1,337
3020	Outlays (gross) .....	-1,378	-1,323	-1,539
3040	Recoveries of prior year unpaid obligations, unexpired .....	-105	-200	-200
3050	Unpaid obligations, end of year .....	1,508	1,440	1,038
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-9	-9
3090	Uncollected pymts, Fed sources, end of year .....	-9	-9	-9
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	1,476	1,499	1,431
3200	Obligated balance, end of year .....	1,499	1,431	1,029
<b>Budget authority and outlays, net:</b>				
	Discretionary:			
4000	Budget authority, gross .....	1,178	1,220	1,095
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	554	543	509
4011	Outlays from discretionary balances .....	507	425	675
4020	Outlays, gross (total) .....	1,061	968	1,184
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources .....	-1	-16	-16
4033	Non-Federal sources .....	-35	-50	-50
4040	Offsets against gross budget authority and outlays (total) ....	-36	-66	-66
	Additional offsets against gross budget authority only:			
4053	Recoveries of prior year paid obligations, unexpired accounts .....	19		
4060	Additional offsets against budget authority only (total) .....	19		
4070	Budget authority, net (discretionary) .....	1,161	1,154	1,029
4080	Outlays, net (discretionary) .....	1,025	902	1,118
	Mandatory:			
4090	Budget authority, gross .....	120	291	293
	Outlays, gross:			
4100	Outlays from new mandatory authority .....	89	118	118
4101	Outlays from mandatory balances .....	228	237	237
4110	Outlays, gross (total) .....	317	355	355
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-2		
	Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts .....	2		
4160	Budget authority, net (mandatory) .....	120	291	293
4170	Outlays, net (mandatory) .....	315	355	355
4180	Budget authority, net (total) .....	1,281	1,445	1,322
4190	Outlays, net (total) .....	1,340	1,257	1,473
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	4,800	4,918	4,991
5001	Total investments, EOY: Federal securities: Par value .....	4,918	4,991	5,066

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (CERCLA). This appropriation supports core Environmental Protection Agency (EPA) programs.

To preserve and restore land and to protect human health and the environment, EPA reduces the risks posed by releases of hazardous substances, pollutants, and contaminants, and protects against unacceptable exposure by cleaning up contaminated sites and restoring ground water to beneficial use. EPA applies the most effective and scientifically sound methods to address the risks associated with the presence of hazardous substances,

pollutants, and contaminants, improve response capabilities, and maximize the effectiveness of response and cleanup actions. Cleanup and response activity at contaminated sites addresses environmental concerns, such as the removal of contaminated soil and treatment of contaminated groundwater, to reduce human exposures to hazardous substances, pollutants, and contaminants, and to provide long-term human health protection. EPA works to ensure that all releases of hazardous substances, pollutants, and contaminants into the environment are appropriately addressed by responding to incidents and providing technical support. To prepare for and respond to incidents of national significance, EPA includes among its efforts improving decontamination readiness. EPA conducts research to improve methods and models and to accelerate scientifically defensible and cost-effective decisions for cleanup at complex contaminated sites in accordance with CERCLA. EPA also works to maximize responsible parties' participation in site cleanups and pursue greater recovery of EPA's cleanup costs.

EPA protects communities and helps return contaminated properties to productive use by ensuring that responsible parties pay for and/or conduct cleanups. The enforcement program recovers Federal cleanup funds from responsible parties to save taxpayer dollars. The goal is to maximize the participation of liable and viable parties in performing and paying for cleanups in both the remedial and removal programs. EPA investigates and refers for prosecution criminal and civil violations of CERCLA.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The offices and the functions they perform within the Superfund appropriation are: the Office of Mission Support (facilities infrastructure and operations; acquisition management; human resources management services; grant and interagency agreement management; suspension and debarment; exchange network; information security; and information technology/data management); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, and financial management, analysis, and accountability); and General Counsel (legal advice). Because these centralized services provide support across EPA, the internal operations programs are funded across EPA's appropriations.

**Status of Funds** (in millions of dollars)

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	4,942	4,999	5,115
0999 Total balance, start of year .....	4,942	4,999	5,115
Cash income during the year:			
Current law:			
Receipts:			
1110 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....	1	2	2
1130 Hazardous Substance Superfund .....	35	50	50
1130 Hazardous Substance Superfund .....	2		
1130 Recoveries, Hazardous Substance Superfund .....	41	94	94
1130 Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund .....	199	250	250
1150 Interest and Profits on Investments, Hazardous Substance Superfund .....	40	41	41
1150 Interest and Profits on Investments, Hazardous Substance Superfund .....	23	23	24
1160 Hazardous Substance Superfund .....	1	16	16
1160 Interfund Transactions, Hazardous Substance Superfund .....	1,094	963	899
1199 Income under present law .....	1,436	1,439	1,376
1999 Total cash income .....	1,436	1,439	1,376
Cash outgo during year:			
Current law:			
2100 Hazardous Substance Superfund [020-00-8145-0] .....	-1,378	-1,323	-1,539
2199 Outgo under current law .....	-1,378	-1,323	-1,539
2999 Total cash outgo (-) .....	-1,378	-1,323	-1,539
Surplus or deficit:			
3110 Excluding interest .....	-5	52	-228
3120 Interest .....	63	64	65
3199 Subtotal, surplus or deficit .....	58	116	-163
3220 Hazardous Substance Superfund .....			-16

HAZARDOUS SUBSTANCE SUPERFUND—Continued  
Status of Funds—Continued

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
3298 Rounding adjustment .....	-1		
3299 Total adjustments .....	-1		-16
3999 Total change in fund balance .....	57	116	-179
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	81	124	-130
4200 Hazardous Substance Superfund .....	4,918	4,991	5,066
4999 Total balance, end of year .....	4,999	5,115	4,936

Object Classification (in millions of dollars)

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	266	255	230
11.3 Other than full-time permanent .....	4	4	3
11.5 Other personnel compensation .....	7	7	6
11.7 Military personnel .....	1	1	1
11.9 Total personnel compensation .....	278	267	240
12.1 Civilian personnel benefits .....	91	87	79
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	10	10	9
23.1 Rental payments to GSA .....	40	38	35
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	45	43	39
25.2 Other services from non-Federal sources .....	480	460	413
25.3 Other goods and services .....	195	187	168
25.4 Operation and maintenance of facilities .....	5	5	4
25.7 Operation and maintenance of equipment .....	12	11	10
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	9	9	8
41.0 Grants, subsidies, and contributions .....	59	56	51
42.0 Insurance claims and indemnities .....	7	7	6
99.0 Direct obligations .....	1,239	1,188	1,070
99.0 Reimbursable obligations .....	267	267	267
99.9 Total new obligations, unexpired accounts .....	1,506	1,455	1,337

Employment Summary

Identification code 068-8145-0-7-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,369	2,461	2,448
1101 Direct military average strength employment .....	7	7	7
2001 Reimbursable civilian full-time equivalent employment .....	97	97	12

LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM

For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by subtitle I of the Solid Waste Disposal Act, \$47,801,000, to remain available until expended, of which \$47,801,000 shall be for carrying out leaking underground storage tank cleanup activities authorized by section 9003(h) of the Solid Waste Disposal Act: Provided, That the Administrator is authorized to use appropriations made available under this heading to implement section 9013 of the Solid Waste Disposal Act to provide financial assistance to federally recognized Indian tribes for the development and implementation of programs to manage underground storage tanks.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-8153-0-7-999	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	505	545	677
Receipts:			
Current law:			
1110 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund .....	223	215	216

1140 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....	9	9	9
1199 Total current law receipts .....	232	224	225
1999 Total receipts .....	232	224	225
2000 Total: Balances and receipts .....	737	769	902
Appropriations:			
Current law:			
2101 Leaking Underground Storage Tank Trust Fund .....	-99	-92	-48
2101 Leaking Underground Storage Tank Trust Fund .....	-100		
2132 Leaking Underground Storage Tank Trust Fund .....	7		
2199 Total current law appropriations .....	-192	-92	-48
2999 Total appropriations .....	-192	-92	-48
5099 Balance, end of year .....	545	677	854

Program and Financing (in millions of dollars)

Identification code 068-8153-0-7-999	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0001 Core Mission .....	186	92	48
0003 Rule of Law and Process .....	2		
0900 Total new obligations, unexpired accounts .....	188	92	48
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	10	13
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	4		
1021 Recoveries of prior year unpaid obligations .....	2	3	3
1050 Unobligated balance (total) .....	6	13	16
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	99	92	48
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100		
1232 Appropriations permanently reduced [Sequester] .....	-7		
1260 Appropriations, mandatory (total) .....	93		
1900 Budget authority (total) .....	192	92	48
1930 Total budgetary resources available .....	198	105	64
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	13	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	89	89	91
3010 New obligations, unexpired accounts .....	188	92	48
3020 Outlays (gross) .....	-186	-87	-78
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	89	91	58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	89	89	91
3200 Obligated balance, end of year .....	89	91	58
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross .....	99	92	48
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	29	17
4011 Outlays from discretionary balances .....	73	58	61
4020 Outlays, gross (total) .....	93	87	78
Mandatory:			
4090 Budget authority, gross .....	93		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	93		
4180 Budget authority, net (total) .....	192	92	48
4190 Outlays, net (total) .....	186	87	78
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value .....	529	620	645
5001 Total investments, EOY: Federal securities: Par value .....	620	645	671

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990, the Taxpayer Relief Act of 1997, the Energy Policy Act (EPAct) of 2005, the Moving Ahead for Progress in the 21st Century Act (MAP-21), and the Fixing

America's Surface Transportation Act (FAST Act), provides funds for preventing and responding to releases from underground storage tanks. The Trust Fund is financed by a 0.1 cent per gallon tax on motor fuels through September 30, 2022.

LUST funds are allocated to States through cooperative agreements to clean up sites posing the greatest threat to human health and the environment as authorized under section 9003(h) of the Solid Waste Disposal Act of 1965, as amended, and also to implement the activities authorized by Title XV, Subtitle B of EPAct. Funds also are used for grants to non-state entities under section 8001 of the Resource Conservation and Recovery Act of 1976, as amended. Federally recognized Tribes receive grant funding under Public Law 105–276. The Environmental Protection Agency (EPA) supports oversight, clean-up, and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for state-led clean-ups and for State oversight of responsible party clean-ups. The LUST program promotes effective responses to releases from federally regulated underground storage tanks containing petroleum by enhancing State, local, and tribal enforcement and response capability. This appropriation supports core agency programs.

To protect the Nation's groundwater and drinking water from petroleum releases from Underground Storage Tanks (UST), EPA provides compliance assistance tools, technical assistance and training to promote and enforce UST systems compliance and clean-ups. EPA also focuses its LUST research efforts on assessing sites and evaluating the implications of alternative remediation technologies, policies, and management actions to assess and cleanup leaks at fueling stations.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The offices and the functions they perform are: Office of Mission Support (facilities infrastructure and operations, and acquisition management); and the Chief Financial Officer (strategic planning; annual planning and budgeting; financial services; and financial management, analysis, and accountability).

**Status of Funds** (in millions of dollars)

Identification code 068–8153–0–7–999	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	598	644	781
0999 Total balance, start of year .....	598	644	781
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund .....	223	215	216
1150 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....	9	9	9
1199 Income under present law .....	232	224	225
1999 Total cash income .....	232	224	225
Cash outgo during year:			
Current law:			
2100 Leaking Underground Storage Tank Trust Fund [020–00–8153–0] .....	–186	–87	–78
2199 Outgo under current law .....	–186	–87	–78
2999 Total cash outgo (-) .....	–186	–87	–78
Surplus or deficit:			
3110 Excluding interest .....	37	128	138
3120 Interest .....	9	9	9
3199 Subtotal, surplus or deficit .....	46	137	147
3999 Total change in fund balance .....	46	137	147
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	24	136	257
4200 Leaking Underground Storage Tank Trust Fund .....	620	645	671
4999 Total balance, end of year .....	644	781	928

**Object Classification** (in millions of dollars)

Identification code 068–8153–0–7–999	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	2	2	2
41.0 Grants, subsidies, and contributions .....	82	79	35
94.0 Financial transfers .....	93	.....	.....
99.9 Total new obligations, unexpired accounts .....	188	92	48

**Employment Summary**

Identification code 068–8153–0–7–999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	47	49	41

**INLAND OIL SPILL PROGRAMS**

*For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, \$15,962,000, to be derived from the Oil Spill Liability trust fund, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 068–8221–0–7–304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Core Mission .....	15	15	13
0003 Rule of Law and Process .....	4	4	3
0100 Direct Program .....	19	19	16
0799 Total direct obligations .....	19	19	16
0801 Inland Oil Spill Programs (Reimbursable) .....	11	11	13
0900 Total new obligations, unexpired accounts .....	30	30	29
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	49	50	53
1021 Recoveries of prior year unpaid obligations .....	3	4	4
1050 Unobligated balance (total) .....	52	54	57
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	18	18	16
Spending authority from offsetting collections, discretionary:			
1700 Collected [Offsetting Collections] .....	4	11	11
1700 Collected [Oil Compliance Assistance Fee] .....	.....	.....	10
1701 Change in uncollected payments, Federal sources .....	6	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	10	11	21
1900 Budget authority (total) .....	28	29	37
1930 Total budgetary resources available .....	80	83	94
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	50	53	65
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	18	16
3010 New obligations, unexpired accounts .....	30	30	29
3020 Outlays (gross) .....	–24	–28	–38
3040 Recoveries of prior year unpaid obligations, unexpired .....	–3	–4	–4
3050 Unpaid obligations, end of year .....	18	16	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–53	–59	–59
3070 Change in uncollected pymts, Fed sources, unexpired .....	–6	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	–59	–59	–59
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	–38	–41	–43
3200 Obligated balance, end of year .....	–41	–43	–56

INLAND OIL SPILL PROGRAMS—Continued  
Program and Financing—Continued

Identification code 068-8221-0-7-304	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	28	29	37
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	20	29
4011 Outlays from discretionary balances .....	6	8	9
4020 Outlays, gross (total) .....	24	28	38
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-11	-11
4033 Non-Federal sources: .....			-10
4040 Offsets against gross budget authority and outlays (total) ....	-4	-11	-21
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6		
4070 Budget authority, net (discretionary) .....	18	18	16
4080 Outlays, net (discretionary) .....	20	17	17
4180 Budget authority, net (total) .....	18	18	16
4190 Outlays, net (total) .....	20	17	17

This appropriation provides for the Environmental Protection Agency's (EPA) responsibilities for prevention, preparedness, response, and enforcement activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA). This appropriation supports core Agency programs.

EPA's Oil Spill program protects U.S. waters by preventing, preparing for, responding to, and monitoring oil discharges. Under the regulatory framework established by the Spill Prevention, Control, and Countermeasure (SPCC) and Federal Response Plan (FRP) regulations, EPA conducts oil spill prevention, preparedness, inspection, and enforcement activities associated with more than 600,000 non-transportation-related oil storage facilities. The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) identifies EPA's jurisdiction over inland oil spills and sets forth the framework for response. EPA accesses the Oil Spill Liability Trust Fund, administered by the U.S. Coast Guard, to obtain reimbursement for site-specific spill response activities. More than 30,000 oil and hazardous substance discharges occur in the United States every year, with a significant portion of these discharges occurring in the inland zone over which EPA has jurisdiction.

EPA develops and manages the regulations and protocols under Subpart J of the NCP which require manufacturers of various oil spill response products to test their products prior to listing on a Product Schedule. The Product Schedule identifies those oil spill remediation agents, such as dispersants and surface washing agents, which could be authorized for use by an On-Scene Coordinator (OSC) on an oil discharge. Product testing ensures their effectiveness and provides toxicity information used by OSCs and Regional Response Teams in making informed decisions regarding the use of certain products in response to specific spills. EPA focuses its oil spill research efforts on human health impacts, ecological effects, and shoreline and coastal impacts from oil discharges and use of dispersants and other chemical agents, as well as spill remediation alternatives and innovative technology development and evaluation, including green technologies. Spill response is a priority for the Agency, and EPA has been instrumental in providing guidance for various response technologies. A key factor in providing guidance on spill response technologies is developing a firm understanding of the science behind spill behavior in the environment.

Appropriated funds for the Inland Oil Spill Programs support work designed to prevent oil spills using civil enforcement and compliance assistance approaches, as well as to prepare for and respond to any oil discharges affecting the inland waters of the United States. Pursuant to Clean Water Act section 311 (Oil Spill and Hazardous Substances Liability) requirements, EPA's Civil Enforcement program develops policies; issues administrative clean-up and removal orders and orders protecting public health; pursues administrative remedies and/or refers civil judicial actions to the

Department of Justice; assesses civil penalties for discharges into the environment or violations of administrative orders or oil pollution prevention regulations; assists regulated entities in understanding their legal requirements under the Clean Water Act; and assists in the recovery of clean-up costs expended by the Government. The Budget proposes to institute a voluntary fee whereby owners and/or operators of oil facilities can choose to pay EPA for on-site compliance assistance with SPCC and FRP regulations. The collected fees will be deposited in the Inland Oil Spill Programs Account and used by EPA to pay for the service.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The office and function is Office of Mission Support (facilities infrastructure and operations).

Object Classification (in millions of dollars)

Identification code 068-8221-0-7-304	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	9	8
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	10	10	9
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
25.1 Advisory and assistance services .....	2	2	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	
25.5 Research and development contracts .....	1	1	1
99.0 Direct obligations .....	19	19	16
99.0 Reimbursable obligations .....	11	11	13
99.9 Total new obligations, unexpired accounts .....	30	30	29

Employment Summary

Identification code 068-8221-0-7-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	81	87	76
2001 Reimbursable civilian full-time equivalent employment .....	3		

ADMINISTRATIVE PROVISIONS—ENVIRONMENTAL PROTECTION AGENCY

(INCLUDING TRANSFERS AND CANCELLATIONS OF FUNDS)

*For fiscal year 2020, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, may award cooperative agreements to federally recognized Indian tribes or Intertribal consortia, if authorized by their member tribes, to assist the Administrator in implementing Federal environmental programs for Indian tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.*

*The Administrator of the Environmental Protection Agency is authorized to collect and obligate pesticide registration service fees in accordance with section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.*

*Notwithstanding section 33(d)(2) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) (7 U.S.C. 136w-8(d)(2)), the Administrator of the Environmental Protection Agency may assess fees under section 33 of FIFRA (7 U.S.C. 136w-8) for fiscal year 2020.*

*Notwithstanding any other provision of law, in addition to the activities specified in section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) (7 U.S.C. 136w-8), fees collected in this and prior fiscal years under such section shall be available for the following activities as they relate to pesticide licensing: processing and review of data submitted in association with a registration, information submitted pursuant to section 6(a)(2) of FIFRA, supplemental distributor labels, transfers of registrations and data compensation rights, additional uses registered by States under section 24(c) of FIFRA, data compensation petitions, review of minor amendments, and notifications; laboratory support and audits; administrative support; development of policy and guidance; rulemaking support; information collection activities; and the portions of salaries related to work in these areas.*

The Administrator of the Environmental Protection Agency is authorized to collect and obligate fees in accordance with section 26(b) of the Toxic Substances Control Act (15 U.S.C. 2625(b)) for fiscal year 2020.

The Administrator of the Environmental Protection Agency is authorized to collect and obligate fees in accordance with section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g) for fiscal year 2020.

The Administrator of the Environmental Protection Agency may collect fees to provide compliance assistance services for owners and operators of a non-transportation related onshore or offshore facility located landward of the coastline required to prepare and submit Spill Prevention Control and Countermeasure Plans or Facility Response Plans under section 311(j) of the Federal Water Pollution Control Act (33 U.S.C. 1321(j)): Provided, That fees collected for compliance assistance services pursuant to the authority provided in this paragraph by the Administrator in fiscal year 2020 shall be deposited in the Inland Oil Spill Programs account and shall remain available until expended for the expenses of providing compliance assistance services: Provided further, That the amount of such fees shall be based on the amount of compliance assistance services provided by the agency: Provided further, That the owner or operator of a non-transportation related onshore or offshore facility located landward of the coastline required to prepare and submit a Spill Prevention Control and Countermeasure Plan or a Facility Response Plan under section 311(j) of the Federal Water Pollution Control Act (33 U.S.C. 1321(j)) may request that the Administrator conduct an on-site walk-through of the facility to assist the owner or operator in complying with such section: Provided further, That the walk-through shall be conducted within one year of an accepted request: Provided further, That the Administrator may establish procedures for making and accepting such a request: Provided further, That observations, findings, conclusions, and recommendations made by the Administrator when conducting an on-site walk-through, including any report arising from the on-site walk-through, shall not in any private action or suit for damages or bodily injury, or in any action under section 505 of the Federal Water Pollution Control Act (33 U.S.C. 1365), be used or admitted as evidence: Provided further, That the Administrator may, by guidance, establish policies for the use of such evidence in actions under the Act.

The Administrator of the Environmental Protection Agency may collect fees to provide compliance assistance services for owners or operators of a stationary source required to prepare and submit a Risk Management Plan under section 112(r)(7) of the Clean Air Act (42 U.S.C. 7412(r)(7)): Provided, That fees collected for compliance assistance services pursuant to the authority provided in this paragraph by the Administrator in fiscal year 2020 shall be deposited in the Environmental Programs and Management account and shall remain available until September 30, 2021 for the expenses of providing compliance assistance services: Provided further, That the amount of such fees shall be based on the amount of compliance assistance services provided by the agency: Provided further, That the owner or operator of a stationary source required to prepare and submit, or that has prepared and submitted, a Risk Management Plan under section 112(r)(7) of the Clean Air Act (42 U.S.C. 7412(r)(7)) may request that the Administrator conduct an on-site walk-through of the stationary source to assist the owner or operator in complying with such section: Provided further, That the walk-through shall be conducted within one year of an accepted request: Provided further, That the Administrator may establish procedures for making and accepting such a request: Provided further, That the observations, findings, conclusions, and recommendations made by the Administrator when conducting an on-site walk-through, including any report arising from the on-site walk-through, shall not in any private action or suit for damages or bodily injury, or in any action under section 304 of the Clean Air Act (42 U.S.C. 7604), be used or admitted as evidence: Provided further, That the Administrator may, by guidance, establish policies for the use of such evidence in actions under the Act.

Section 324A of The Energy Policy and Conservation Act (42 U.S.C. 6294a) is amended by inserting after subsection (d):

"(e) User Fees  
(1) In General

In accordance with paragraph (a), the Administrator may prescribe by regulation, for application in fiscal year 2020 and in subsequent fiscal years, reas-

sonable fees as the Administrator determines to be necessary to defray costs incurred for entities that participate in the Energy Star program. The regulation will ensure that the fee imposed on each entity is sufficient and not more than reasonably necessary to cover a proportional share of Energy Star program costs incurred in operating and maintaining the Energy Star program, including collecting and processing fees. The Administrator shall amend this regulation periodically so as to ensure that the schedule of fees covers the costs.

(2) Collection of Fees

The Administrator shall prescribe procedures to collect the fees.

(3) Availability of Fees

Such fees shall be collected and available for Energy Star program administration functions performed by the Agency in an amount and to the extent provided in advance in appropriation Acts."

For fiscal years 2020 through 2025, the Administrator may, after consultation with the Office of Personnel Management, employ up to fifty persons at any one time in the Office of Research and Development under the authority provided in 42 U.S.C. 209.

The Science and Technology, Environmental Programs and Management, Office of Inspector General, Hazardous Substance Superfund, and Leaking Underground Storage Tank Trust Fund Program accounts are available for the construction, alteration, repair, rehabilitation, and renovation of facilities, provided that the cost does not exceed \$150,000 per project.

Of the unobligated balances available for the "State and Tribal Assistance Grants" account, \$142,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "Environmental Programs and Management" account, \$46,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "Hazardous Substance Superfund" account, \$16,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "Science and Technology" account, \$23,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Governmental receipts:			
068-089500 Registration, PMN, Other Services .....	1		
General Fund Governmental receipts .....	1		
Offsetting receipts from the public:			
068-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....		4	4
068-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		2	2
068-322900 Cellulosic Biofuel Waiver Credits, Renewal Fuel Program .....	24	40	40
General Fund Offsetting receipts from the public .....	24	46	46



# EXECUTIVE OFFICE OF THE PRESIDENT

## THE WHITE HOUSE

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 for official reception and representation expenses, to be available for allocation within the Executive Office of the President; and for necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, \$55,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

23.3	Communications, utilities, and miscellaneous charges .....	1	.....	.....
24.0	Printing and reproduction .....	1	1	1
25.2	Other services from non-Federal sources .....	2	1	1
26.0	Supplies and materials .....	1	.....	.....
31.0	Equipment .....	1	.....	.....
32.0	Land and structures .....	3	.....	.....
99.0	Direct obligations .....	55	54	54
99.0	Reimbursable obligations .....	1	3	3
99.5	Adjustment for rounding .....	.....	1	1
99.9	Total new obligations, unexpired accounts .....	56	58	58

#### Employment Summary

Identification code 011–0209–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	358	450	450

#### Program and Financing (in millions of dollars)

Identification code 011–0209–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	55	55	55
0801 The White House (Reimbursable) .....	1	3	3
0900 Total new obligations, unexpired accounts .....	56	58	58
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	55	55	55
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	3	3
1900 Budget authority (total) .....	57	58	58
1930 Total budgetary resources available .....	57	58	58
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	10	6
3010 New obligations, unexpired accounts .....	56	58	58
3011 Obligations ("upward adjustments"), expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-52	-62	-58
3041 Recoveries of prior year unpaid obligations, expired .....	-4	.....	.....
3050 Unpaid obligations, end of year .....	10	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	10	6
3200 Obligated balance, end of year .....	10	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	57	58	58
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	55	55
4011 Outlays from discretionary balances .....	5	7	3
4020 Outlays, gross (total) .....	52	62	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-3	-3
4180 Budget authority, net (total) .....	55	55	55
4190 Outlays, net (total) .....	50	59	55

These funds provide the President with staff assistance and provide administrative services for the direct support of the President, to include support for the offices and councils in the White House as directed by the President.

#### Object Classification (in millions of dollars)

Identification code 011–0209–0–1–802	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	35	39	39
12.1 Civilian personnel benefits .....	10	11	11
21.0 Travel and transportation of persons .....	1	2	2

## EXECUTIVE RESIDENCE AT THE WHITE HOUSE

### Federal Funds

#### OPERATING EXPENSES

For necessary expenses of the Executive Residence at the White House, \$13,081,000, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112–114.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### REIMBURSABLE EXPENSES

For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: Provided, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: Provided further, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: Provided further, That the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: Provided further, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: Provided further, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: Provided further, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under 31 U.S.C. 3717: Provided further, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: Provided further, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: Provided further, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: Provided further, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

OPERATING EXPENSES—Continued

Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011–0210–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses (Direct) .....	12	13	13
0831 Operating Expenses (Reimbursable) .....	3	5	5
0900 Total new obligations, unexpired accounts .....	15	18	18
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	5	5
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	4	5	5
1900 Budget authority (total) .....	17	18	18
1930 Total budgetary resources available .....	17	18	18
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 New obligations, unexpired accounts .....	15	18	18
3020 Outlays (gross) .....	-14	-17	-17
3050 Unpaid obligations, end of year .....	2	3	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071 Change in uncollected pymts, Fed sources, expired .....	1		
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1		1
3200 Obligated balance, end of year .....		1	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	14	14
4011 Outlays from discretionary balances .....	1	3	3
4020 Outlays, gross (total) .....	14	17	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
4033 Non-Federal sources .....		-2	-2
4040 Offsets against gross budget authority and outlays (total) .....	-3	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	13	13	13
4080 Outlays, net (discretionary) .....	11	12	12
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	11	12	12

These funds provide for the care, maintenance, and operation of the Executive Residence.

Object Classification (in millions of dollars)

Identification code 011–0210–0–1–802	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	8	8
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.0 Direct obligations .....	12	13	13
99.0 Reimbursable obligations .....	3	5	5
99.9 Total new obligations, unexpired accounts .....	15	18	18

Employment Summary

Identification code 011–0210–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	75	96	96

WHITE HOUSE REPAIR AND RESTORATION

For the repair, alteration, and improvement of the Executive Residence at the White House pursuant to 3 U.S.C. 105(d), \$750,000, to remain available until expended, for required maintenance, resolution of safety and health issues, and continued preventative maintenance.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011–0109–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 White House Repair and Restoration (Direct) .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	1	1	1

These funds provide for the repair, alteration, and improvement of the Executive Residence at the White House.

SPECIAL ASSISTANCE TO THE PRESIDENT AND THE OFFICIAL RESIDENCE OF THE VICE PRESIDENT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, \$4,288,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For the care, operation, refurbishing, improvement, and to the extent not otherwise provided for, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 pursuant to 3 U.S.C. 106(b)(2), \$302,000: Provided, That ad-

vances, repayments, or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–1454–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Special Assistance to the President and the Official Residence O (Direct) .....	5	5	5
0801 Special Assistance to the President and the Official Residence O (Reimbursable) .....		1	1
0900 Total new obligations, unexpired accounts .....	5	6	6
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	5	6	6
1930 Total budgetary resources available .....	5	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	5	6	6
3020 Outlays (gross) .....	–5	–6	–6
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	6	6
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	5	6	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		–1	–1
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	5	5	5

These funds are used by the Vice President to carry out responsibilities assigned to the Vice President by the President and by various statutes. These funds also provide for the care and operation of the Vice President's official residence.

**Object Classification** (in millions of dollars)

Identification code 011–1454–0–1–802	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
99.0 Direct obligations .....	5	5	5
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations, unexpired accounts .....	5	6	6

**Employment Summary**

Identification code 011–1454–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	20	25	25

**COUNCIL OF ECONOMIC ADVISERS**

*Federal Funds*

**SALARIES AND EXPENSES**

*For necessary expenses of the Council of Economic Advisers in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021 et seq.), \$4,000,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–1900–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	4	4	4
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	4
1930 Total budgetary resources available .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	–4	–4	–4
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	4	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	4	4	4

The Council of Economic Advisers analyzes the national economy and its various segments, advises the President on economic developments, recommends policies for economic growth and stability, appraises economic programs and policies of the Federal Government, and assists in preparation of the annual Economic Report of the President to the Congress.

**Object Classification** (in millions of dollars)

Identification code 011–1900–0–1–802	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	4	4	4
99.9 Total new obligations, unexpired accounts .....	4	4	4

**Employment Summary**

Identification code 011–1900–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	23	28	28

**COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY**

*Federal Funds*

**COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY**

*For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Envir-*

COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY—Continued

onmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, \$2,750,000: Provided, That notwithstanding section 202 of the National Environmental Policy Act of 1969, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011–1453–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Council on Environmental Quality and Office of Environmental Quality .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

This appropriation provides funds for the Council on Environmental Quality and the Office of Environmental Quality to serve as the focal point for environmental policy development within the Administration and conduct compliance oversight activities under the National Environmental Policy Act (NEPA).

Object Classification (in millions of dollars)

Identification code 011–1453–0–1–802	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	3	3	3

Employment Summary

Identification code 011–1453–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	13	24	24

MANAGEMENT FUND, OFFICE OF ENVIRONMENTAL QUALITY

Program and Financing (in millions of dollars)

Identification code 011–3963–0–4–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Management Fund, Office of Environmental Quality (Reimbursable) .....	1	1	1
0809 Reimbursable program activities, subtotal .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1

Budgetary resources:

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1930 Total budgetary resources available .....	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	1	1	1
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	2	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....	-1	-1	-1

The Office of Environmental Quality Management Fund finances study contracts that are jointly sponsored by the Office of Environmental Quality and one or more other Federal agencies. The Management Fund also finances Federal interagency environmental projects (including task forces) in which the Office participates.

NATIONAL SECURITY COUNCIL AND HOMELAND SECURITY COUNCIL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Security Council and the Homeland Security Council, including services as authorized by 5 U.S.C. 3109, \$11,500,000, of which not to exceed \$5,000 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011–2000–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Security Council .....	12	12	12
0801 Salaries and Expenses (Reimbursable) .....	1	1	1
0900 Total new obligations, unexpired accounts .....	12	13	13
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	12	12	12
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	1	1	1
1750 Spending auth from offsetting collections, disc (total) .....	1	1	1
1900 Budget authority (total) .....	13	13	13
1930 Total budgetary resources available .....	13	13	13
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	-1	-1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 New obligations, unexpired accounts .....	12	13	13
3011 Obligations ("upward adjustments"), expired accounts .....	1	1	1
3020 Outlays (gross) .....	-11	-13	-13
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	3	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2

3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	13	13	13
Outlays, gross:				
4010	Outlays from new discretionary authority .....	10	12	12
4011	Outlays from discretionary balances .....	1	1	1
4020	Outlays, gross (total) .....	11	13	13
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....		-1	-1
4040	Offsets against gross budget authority and outlays (total) ....		-1	-1
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060	Additional offsets against budget authority only (total) .....	-1		
4070	Budget authority, net (discretionary) .....	12	12	12
4080	Outlays, net (discretionary) .....	11	12	12
4180	Budget authority, net (total) .....	12	12	12
4190	Outlays, net (total) .....	11	12	12

The National Security Council and Homeland Security Council advise the President on the integration of domestic, foreign, and military policies relating to national security.

**Object Classification** (in millions of dollars)

Identification code 011-2000-0-1-802	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	7	7	7
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
25.2	Other services from non-Federal sources .....	1	1	1
99.0	Direct obligations .....	11	11	11
99.0	Reimbursable obligations .....		1	1
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	12	13	13

**Employment Summary**

Identification code 011-2000-0-1-802	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	51	58	58

**OFFICE OF ADMINISTRATION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, \$94,000,000, of which not to exceed \$12,800,000 shall remain available until expended for continued modernization of information resources within the Executive Office of the President.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-0038-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Salaries & Expenses .....	87	81
0013	Capital Investment Plan .....	5	13
0100	Direct program activities, subtotal .....	98	100
0799	Total direct obligations .....	98	100

0880	Salaries and Expenses (Reimbursable) .....	12	10	10
0900	Total new obligations, unexpired accounts .....	110	110	104
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	14	17	23
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	15	17	23
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	100	100	94
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	3	5	5
1701	Change in uncollected payments, Federal sources .....	9	11	
1750	Spending auth from offsetting collections, disc (total) .....	12	16	5
1900	Budget authority (total) .....	112	116	99
1930	Total budgetary resources available .....	127	133	122
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	17	23	18

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	23	33	28
3010	New obligations, unexpired accounts .....	110	110	104
3011	Obligations ("upward adjustments"), expired accounts .....	3		
3020	Outlays (gross) .....	-97	-115	-101
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	33	28	31
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7	-12	-23
3070	Change in uncollected pymts, Fed sources, unexpired .....	-9	-11	
3071	Change in uncollected pymts, Fed sources, expired .....	4		
3090	Uncollected pymts, Fed sources, end of year .....	-12	-23	-23
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	16	21	5
3200	Obligated balance, end of year .....	21	5	8

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	112	116	99
Outlays, gross:				
4010	Outlays from new discretionary authority .....	75	89	74
4011	Outlays from discretionary balances .....	22	26	27
4020	Outlays, gross (total) .....	97	115	101
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-8	-16	-5
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-9	-11	
4052	Offsetting collections credited to expired accounts .....	5	11	
4060	Additional offsets against budget authority only (total) .....	-4		
4070	Budget authority, net (discretionary) .....	100	100	94
4080	Outlays, net (discretionary) .....	89	99	96
4180	Budget authority, net (total) .....	100	100	94
4190	Outlays, net (total) .....	89	99	96

The Office of Administration's mission is to provide a full array of customer-based administrative services to all entities of the Executive Office of the President. These services, defined by Executive Order 12028 of 1977, include financial, personnel, library, information management systems, security and emergency preparedness, and general office administrative services.

**Object Classification** (in millions of dollars)

Identification code 011-0038-0-1-802	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	27	27	28
12.1	Civilian personnel benefits .....	10	10	10
23.1	Rental payments to GSA .....	19	19	19
23.3	Communications, utilities, and miscellaneous charges .....	1		
25.2	Other services from non-Federal sources .....	28	38	28
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	10	2	5
99.0	Direct obligations .....	97	98	92
99.0	Reimbursable obligations .....	11	10	10

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 011-0038-0-1-802	2018 actual	2019 est.	2020 est.
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	110	110	104

Employment Summary

Identification code 011-0038-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	241	248	245

PRESIDENTIAL TRANSITION ADMINISTRATIVE SUPPORT

Program and Financing (in millions of dollars)

Identification code 011-0108-0-1-802	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	.....
3020 Outlays (gross) .....	-1	-1	.....
3050 Unpaid obligations, end of year .....	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	.....
3200 Obligated balance, end of year .....	1	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	1	1	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	1	1	.....

This account was established to fund processing of records of the departing President and Vice President under the Presidential Records Act for transfer to the National Archives and Records Administration and other transition-related administrative expenses. This amount is separate from the appropriation provided for "Expenses, Presidential Transition" of the General Services Administration that funds transition expenses under the Presidential Transition Act of 1963 (3 U.S.C. 102 note) for the departing President and Vice President and the President-elect and Vice President-elect, which appears elsewhere in this Budget Appendix.

OFFICE OF MANAGEMENT AND BUDGET

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, to carry out the provisions of chapter 35 of title 44, United States Code, and to prepare and submit the budget of the United States Government, in accordance with section 1105(a) of title 31, United States Code, \$101,600,000, of which not to exceed \$3,000 shall be available for official representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 011-0300-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Security programs .....	12	12	12
0002 General Government programs .....	11	11	11
0003 Natural Resource programs .....	11	11	11
0005 Health programs .....	8	8	8
0006 Education, Income Maintenance, and Labor programs .....	6	5	5
0007 Office of Federal Financial Management .....	3	4	4
0008 Information and Regulatory Affairs .....	11	11	11

0009 Office of Federal Procurement Policy .....	4	4	4
0010 OMB-wide Offices .....	35	35	36
0100 Direct program activities, subtotal .....	101	101	102
0799 Total direct obligations .....	101	101	102
0801 Salaries and Expenses (Reimbursable) .....	1	.....	.....
0900 Total new obligations, unexpired accounts .....	102	101	102

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	101	101	102
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	.....	.....
1900 Budget authority (total) .....	102	101	102
1930 Total budgetary resources available .....	102	101	102

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	16	10
3010 New obligations, unexpired accounts .....	102	101	102
3011 Obligations ("upward adjustments"), expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-97	-107	-102
3041 Recoveries of prior year unpaid obligations, expired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	16	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	16	10
3200 Obligated balance, end of year .....	16	10	10

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	102	101	102
Outlays, gross:			
4010 Outlays from new discretionary authority .....	87	92	93
4011 Outlays from discretionary balances .....	10	15	9
4020 Outlays, gross (total) .....	97	107	102
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	.....	.....
4180 Budget authority, net (total) .....	101	101	102
4190 Outlays, net (total) .....	96	107	102

The Office of Management and Budget (OMB) assists the President in the discharge of budgetary, management, and other executive responsibilities.

*National Security Programs; General Government Programs; Natural Resource Programs; Health Programs; and Education, Income Maintenance, and Labor Programs.*—These offices examine Federal agency programs, budget requests, and management activities, analyze legislation, apportion appropriations, study proposed changes in agency functions, and conduct special studies aimed at establishing goals and objectives that would result in long- and short-range improvements in the agencies' financial, administrative, and operational management.

*Financial Management.*—The OMB Office of Federal Financial Management develops and provides direction on the implementation of financial management policies and systems. This office also supports the effective and transparent use of Federal financial resources by improving the quality, utility, and transparency of financial information, and protecting against waste, fraud and abuse in the Federal government.

*Information and Regulatory Affairs.*—The OMB Office of Information and Regulatory Affairs reviews and coordinates agency proposals to implement or revise Federal regulations and information collection requirements. In addition, it analyzes, develops, coordinates, and maintains information resources management and statistical policies and practices.

*Procurement Policy.*—The OMB Office of Federal Procurement Policy provides overall direction of Government-wide procurement policies, regulations, and procedures for executive agencies.

*OMB-wide Offices.*—These offices provide executive direction and coordination for all Office of Management and Budget activities. This includes the Director's Office; the Deputy Director, the Deputy Director for Management, the Executive Associate Director, and the Intellectual Property Enforcement Coordinator; Communications; General Counsel; Legislative Affairs; Economic Policy; Management and Operations Division; the Le-

legislative Reference Division; the Budget Review Division; and the Performance and Personnel Management Division; and the Office of E-Government and Information Technology. In addition, these offices provide overall leadership for OMB's activities; develop instructions and procedures on a wide range of management, legislative, legal, economic, budgetary, administrative, and IT-related issues; coordinate OMB review of agency activities; and prepare the President's Budget documents.

**Object Classification** (in millions of dollars)

Identification code 011-0300-0-1-802	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	61	67	67
12.1 Civilian personnel benefits .....	19	18	18
23.1 Rental payments to GSA .....	7	7	7
25.2 Other services from non-Federal sources .....	10	7	8
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	2		
99.0 Direct obligations .....	101	101	102
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations, unexpired accounts .....	102	101	102

**Employment Summary**

Identification code 011-0300-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	472	480	477

**SALARIES AND EXPENSES**

(Legislative proposal, not subject to PAYGO)

*Contingent upon enactment of authorizing legislation to reorganize the Office of Personnel Management and transfer certain of its functions to the Office of Management and Budget, an additional \$400,000 for necessary expenses of the Office of Management and Budget related to Government-wide personnel policy.*

The Budget proposes creating a new OMB office that would provide Government-wide strategic direction on federal human capital policy, and coordinate personnel policies, regulations and procedures for Executive agencies, in conjunction with the Office of Personnel Management vested within the General Services Administration. The Office would ensure alignment of Federal workforce planning and policies with other Government-wide management activities. This new office will be modeled after other statutory offices in OMB. For instance, OMB's Office of Federal Procurement Policy provides leadership on Federal procurement issues and works closely with the General Services Administration on Government-wide implementation.

**Employment Summary**

Identification code 011-0300-2-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			3

**OFFICE OF NATIONAL DRUG CONTROL POLICY**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271; not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, \$16,400,000: Provided, That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing

Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-1457-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations .....	22	18	16
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	18	18	16
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4		
1900 Budget authority (total) .....	22	18	16
1930 Total budgetary resources available .....	22	18	16

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	9	4
3010 New obligations, unexpired accounts .....	22	18	16
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-19	-23	-17
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	9	4	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	6	9	4
3200 Obligated balance, end of year .....	9	4	3

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	22	18	16
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	16	14	13
4011 Outlays from discretionary balances .....	3	9	4
4020 Outlays, gross (total) .....	19	23	17
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-4		
4180 Budget authority, net (total) .....	18	18	16
4190 Outlays, net (total) .....	15	23	17

The Office of National Drug Control Policy (ONDCP), pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271, is charged with developing policies, objectives, and priorities for the National Drug Control Program. This account provides funding for personnel compensation, travel, rent, and other basic operations of the Office.

For 2020, the Budget proposes to transfer the High Intensity Drug Trafficking Areas (HIDTA) program and the Drug-Free Communities Support (DFC) program from ONDCP to the Department of Justice and the Department of Health and Human Services, respectively. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

**Object Classification** (in millions of dollars)

Identification code 011-1457-0-1-802	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	9	8
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	4	3	3
25.2 Other services from non-Federal sources .....	2	3	2
41.0 Grants, subsidies, and contributions .....	4		
99.9 Total new obligations, unexpired accounts .....	22	18	16

**Employment Summary**

Identification code 011-1457-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	66	65	60

**OFFICE OF SCIENCE AND TECHNOLOGY POLICY**

*Federal Funds*

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601 et seq.), hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, not to exceed \$2,250 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, \$5,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–2600–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Science and Technology Policy .....	4	6	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	5
1930 Total budgetary resources available .....	6	6	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	4	6	5
3020 Outlays (gross) .....	-4	-6	-5
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	5	4
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	4	6	5
4180 Budget authority, net (total) .....	6	6	5
4190 Outlays, net (total) .....	4	6	5

The Office of Science and Technology Policy (OSTP) provides advice to the President concerning policies in science and technology and on the use of science and technology in addressing important national problems. The OSTP operations include support to other Executive Office of the President organizations on issues with science and technology considerations; with the Office of Management and Budget, review and analysis of and recommendations on research and development budgets for all Federal agencies; coordination of research and development programs of the Federal Government; coordination of the implementation of a number of important international science and technology agreements; and other activities necessary to carry out the duties, functions, and activities described in Public Law 94–282, the National Science and Technology Policy, Organization, and Priorities Act of 1976. OSTP also provides support for the National Science and Technology Council.

**Object Classification** (in millions of dollars)

Identification code 011–2600–0–1–802	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	4	4
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	3	5	5
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	4	6	5

**Employment Summary**

Identification code 011–2600–0–1–802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	19	33	33

**NATIONAL SPACE COUNCIL**

*Federal Funds*

NATIONAL SPACE COUNCIL

For necessary expenses of the National Space Council in carrying out the purposes of title V of the National Aeronautics and Space Administration Authorization Act of 1989 (51 U.S.C. 20111 note) and Exec. Order No. 13803, 82 Fed. Reg. 31429 (July 7, 2017), hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, \$1,870,000: Provided, That notwithstanding any other provision of law, the National Space Council may accept personnel support from Federal agencies, departments, and offices, and such Federal agencies, departments, and offices may detail staff without reimbursement to the National Space Council for purposes provided herein.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–0048–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Space Council .....		2	2
0900 Total new obligations, unexpired accounts .....		2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		2	2
3020 Outlays (gross) .....		-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....		2	2

The National Space Council provides advice and assistance to the President on national space policy and strategy. The President has directed it to review United States Government space policy, including long-range goals, and develop a strategy for national space activities; develop recommendations for the President on space policy and space-related issues; monitor and coordinate implementation of the objectives of the President's national space policy by executive departments and agencies; and foster close coordination, cooperation, and technology and information exchange among the civil, national security, and commercial space sectors, and facilitate resolution of differences concerning major space and space-related policy issues.

**Object Classification** (in millions of dollars)

Identification code 011–0048–0–1–802	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....		1	1
99.5 Adjustment for rounding .....		1	1

99.9	Total new obligations, unexpired accounts .....	2	2
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**Employment Summary**

Identification code 011-0048-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	7	7

**OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by section 3109 of title 5, United States Code, \$59,000,000, of which \$1,000,000 shall remain available until expended: Provided, That not to exceed \$124,000 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-0400-0-1-999	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>				
0001	Office of the United States Trade Representative .....	50	57	59
0801	Office of the United States Trade Representative (Reimbursable) .....	1	1	1
0900	Total new obligations, unexpired accounts .....	51	58	60

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	4	4
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	58	57	59
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	1
1900	Budget authority (total) .....	59	58	60
1930	Total budgetary resources available .....	62	62	64
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-7		
1941	Unexpired unobligated balance, end of year .....	4	4	4

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	10	6	6
3010	New obligations, unexpired accounts .....	51	58	60
3011	Obligations ("upward adjustments"), expired accounts .....	2		
3020	Outlays (gross) .....	-54	-58	-60
3041	Recoveries of prior year unpaid obligations, expired .....	-3		
3050	Unpaid obligations, end of year .....	6	6	6
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	5	5
3200	Obligated balance, end of year .....	5	5	5

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	59	58	60
Outlays, gross:				
4010	Outlays from new discretionary authority .....	47	53	55
4011	Outlays from discretionary balances .....	7	5	5
4020	Outlays, gross (total) .....	54	58	60
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4180	Budget authority, net (total) .....	58	57	59
4190	Outlays, net (total) .....	53	57	59

The United States Trade Representative is responsible for developing, coordinating, and advising the President on U.S. international trade policy. The Trade Representative is responsible for the conduct of international trade negotiations, including commodity and direct investment negotiations. The Trade Representative represents the interests of the U.S. in rule making, negotiations, and litigation at the World Trade Organization (WTO) and in other international organization activities as warranted. The Trade Representative monitors compliance by foreign governments with their obligations under trade agreements with the United States, as well as U.S. trade laws, and leads enforcement efforts in the WTO, in U.S. free trade agreement dispute settlement proceedings, and under domestic trade laws.

**Object Classification** (in millions of dollars)

Identification code 011-0400-0-1-999	2018 actual	2019 est.	2020 est.
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<b>Direct obligations:</b>				
11.1	Personnel compensation: Full-time permanent .....	31	32	36
12.1	Civilian personnel benefits .....	10	12	13
21.0	Travel and transportation of persons .....	3	6	3
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services from non-Federal sources .....	4	5	4
26.0	Supplies and materials .....	1		1
99.0	Direct obligations .....	50	56	58
99.0	Reimbursable obligations .....	1	1	1
99.5	Adjustment for rounding .....		1	1
99.9	Total new obligations, unexpired accounts .....	51	58	60

**Employment Summary**

Identification code 011-0400-0-1-999	2018 actual	2019 est.	2020 est.
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1001	Direct civilian full-time equivalent employment .....	216	238	256
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**GENERAL FUND PAYMENT TO THE TRADE ENFORCEMENT TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 011-1750-0-1-376	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>				
0001	Payment to Trade Enforcement Trust Fund .....	15	15	15
0900	Total new obligations, unexpired accounts (object class 94.0) .....	15	15	15

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	15	15	15
1930	Total budgetary resources available .....	15	15	15

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	15	15	15
3020	Outlays (gross) .....	-15	-15	-15

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	15	15	15
Outlays, gross:				
4100	Outlays from new mandatory authority .....	15	15	15
4180	Budget authority, net (total) .....	15	15	15
4190	Outlays, net (total) .....	15	15	15

The Trade Facilitation and Trade Enforcement Act of 2015 provides \$15 million in mandatory funding annually from the general fund to finance the Trade Enforcement Trust Fund, which has a limitation of \$30 million.

**Trust Funds**

**TRADE ENFORCEMENT TRUST FUND**

(INCLUDING TRANSFER OF FUNDS)

For activities of the United States Trade Representative authorized by section 611 of the Trade Facilitation and Trade Enforcement Act of 2015 (Public Law 114–125), including transfers, \$10,000,000, to be derived from the Trade Enforcement Trust Fund: Provided, That any transfer pursuant to subsection (d)(1) of such section shall be treated as a reprogramming under section 504 of this Act.

Section 611 of the Trade Facilitation and Trade Enforcement Act (Public Law 114–125) is amended by striking subsection (c) and redesignating subsections (d) through (g) as subsections (c) through (f).

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 011–8581–0–7–376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	15	15	8
Receipts:			
Current law:			
1140 General Fund Payment, Trade Enforcement Trust Fund .....	15	8	8
2000 Total: Balances and receipts .....	30	23	16
Appropriations:			
Current law:			
2101 Trade Enforcement Trust Fund .....	–15	–15	–10
5099 Balance, end of year .....	15	8	6

**Program and Financing** (in millions of dollars)

Identification code 011–8581–0–7–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Trade Enforcement .....	8	15	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	15	15	10
1930 Total budgetary resources available .....	15	15	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–7		
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	7		
1952 Expired unobligated balance, start of year .....		7	7
1953 Expired unobligated balance, end of year .....		7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		3	9
3010 New obligations, unexpired accounts .....	8	15	10
3020 Outlays (gross) .....	–5	–9	–9
3050 Unpaid obligations, end of year .....	3	9	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		3	9
3200 Obligated balance, end of year .....	3	9	10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	5	8	9
4011 Outlays from discretionary balances .....		1	
4020 Outlays, gross (total) .....	5	9	9
4180 Budget authority, net (total) .....	15	15	10
4190 Outlays, net (total) .....	5	9	9

The Trade Enforcement Trust Fund was established to receive transfers from the general fund that may be expended, only as provided by appropriations Acts. The 2020 Budget requests that \$10 million be derived from this Fund in FY 2020.

**Object Classification** (in millions of dollars)

Identification code 011–8581–0–7–376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	6	4
12.1 Civilian personnel benefits .....	1	2	1
21.0 Travel and transportation of persons .....	1	2	2

25.2	Other services from non-Federal sources .....	3	5	3
99.9	Total new obligations, unexpired accounts .....	8	15	10

**Employment Summary**

Identification code 011–8581–0–7–376	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	27	40	28

**UNANTICIPATED NEEDS**

**Federal Funds**

**UNANTICIPATED NEEDS**

For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, \$1,000,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011–0037–0–1–802	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	1
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1	–1	–1
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	1
4180 Budget authority, net (total) .....	1	1	1
4190 Outlays, net (total) .....			

This account represents amounts appropriated to the President to meet unanticipated needs in furtherance of national interest, security, or defense.

**INTELLECTUAL PROPERTY ENFORCEMENT COORDINATOR**

For necessary expenses of the Office of the Intellectual Property Enforcement Coordinator, as authorized by title III of the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110–403), including services authorized by 5 U.S.C. 3109, \$1,000,000.

**Program and Financing** (in millions of dollars)

Identification code 011–1751–0–1–802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Intellectual Property Enforcement Coordinator .....			1
0900 Total new obligations, unexpired accounts (object class 11.1) .....			1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			1
1930 Total budgetary resources available .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			1
3020 Outlays (gross) .....			–1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			1

Outlays, gross:			
4010	Outlays from new discretionary authority .....		1
4180	Budget authority, net (total) .....		1
4190	Outlays, net (total) .....		1

The Office of the U.S. Intellectual Property Enforcement Coordinator is focused on promoting and protecting our great competitive advantage: the Nation's innovative economy. The Office coordinates and develops the United States' overall intellectual property policy and strategy, to promote innovation and creativity, and to ensure effective intellectual property protection and enforcement domestically and abroad.

**Employment Summary**

Identification code 011-1751-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			5

**DATA-DRIVEN INNOVATION**

**Program and Financing** (in millions of dollars)

Identification code 011-0030-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Agency projects .....		1	
0900 Total new obligations, unexpired accounts (object class 25.3) .....		1	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	
1930 Total budgetary resources available .....	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	
3020 Outlays (gross) .....		-1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		1	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	

Data-Driven Innovation (DDI) projects promote increased and improved use of data and evaluation to improve the impact and cost-effectiveness of Federal Government programs. Examples of such projects and evaluations include: (1) implementing new grant designs that reflect data-driven, outcome-focused approaches; (2) expanding the use of rigorous evaluation and other evidence-building tools, including use of rapid experimentation for continuous improvement; (3) increasing access to and utilization of high quality data for evaluation, performance measurement, and other types of analyses; and (4) partnering with innovative leaders in States, localities, foundations, research organizations, and the private sector experts to create conditions for cost-effective change at all levels of government.

**PARTNERSHIP FUND FOR PROGRAM INTEGRITY INNOVATION**

**Program and Financing** (in millions of dollars)

Identification code 011-0035-0-1-802	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

Funded from fiscal year 2010 through 2013, the Partnership Fund invested over \$29 million in 11 pilot projects. As evaluations are completed and results finalized, OMB will work with Federal agencies, State and local governments, and other stakeholders to disseminate lessons learned and apply the tested tools and methods across programs and levels of government as appropriate.

**INFORMATION TECHNOLOGY OVERSIGHT AND REFORM**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the furtherance of integrated, efficient, secure, and effective uses of information technology in the Federal Government, \$15,000,000, to remain available until expended: Provided, That the Director of the Office of Management and Budget may transfer these funds to one or more other agencies to carry out projects to meet these purposes.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-0036-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Oversight, Cybersecurity and Program Management .....	14	6	7
0002 U.S. Digital Service .....	17	13	8
0003 GSA transfer .....		3	
0799 Total direct obligations .....	31	22	15
0801 Reimbursable program activity .....	1	3	3
0900 Total new obligations, unexpired accounts .....	32	25	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	5	2
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	17	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	19	19	15
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		3	3
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	1	3	3
1900 Budget authority (total) .....	20	22	18
1930 Total budgetary resources available .....	37	27	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	6	14
3010 New obligations, unexpired accounts .....	32	25	18
3020 Outlays (gross) .....	-32	-17	-20
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	6	14	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	5	13
3200 Obligated balance, end of year .....	5	13	11

**Budget authority and outlays, net:**

Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross .....	20	22	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	12	10
4011 Outlays from discretionary balances .....	13	5	10
4020 Outlays, gross (total) .....	32	17	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-3	-3

INFORMATION TECHNOLOGY OVERSIGHT AND REFORM—Continued  
Program and Financing—Continued

Identification code 011-0036-0-1-802	2018 actual	2019 est.	2020 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4070 Budget authority, net (discretionary) .....	19	19	15
4080 Outlays, net (discretionary) .....	32	14	17
4180 Budget authority, net (total) .....	19	19	15
4190 Outlays, net (total) .....	32	14	17

Information Technology Oversight and Reform (ITOR) funding provides the Government with a resource base controlled by the Director of the Office of Management and Budget (OMB) to support activities and tools that enhance the efficiency, effectiveness, and security of Federal investments in information technology (IT). ITOR goals are to: (1) reduce waste and duplication, and ensure that IT investments stay within their budgets and deliver on time; (2) help agencies deliver IT investments that maximize the Federal Government's productivity and customer satisfaction; (3) expand the use of data and analytics to support agency IT portfolio management; and (4) further cross-agency cybersecurity initiatives and priorities.

*Information Technology Oversight and Analysis.*—Under the direction of the Federal Chief Information Officer (CIO), the Office of the Federal CIO (OFCIO) will continue engaging with agency CIOs to refine the guidance and tools supporting effective management of the large investment by Federal agencies in information technology, discussed in Chapter 19 of the Analytical Perspectives volume of the Budget. OFCIO will engage with agencies, identifying underperforming and duplicative investments and taking corrective actions. Additionally, ITOR funds will support policy analysis and development efforts to support innovative approaches to IT management, leveraging modern solutions to IT problems faced by all organizations, through reliance on cloud-based and shared solutions where appropriate. OMB will continue to ensure effective implementation by agencies of the Federal Information Technology Acquisition Reform Act (FITARA), as directed in OMB Memorandum M-15-14, "Management and Oversight of Federal Information Technology."

*United States Digital Service (USDS).*—USDS uses design and technology to deliver better services to the American people. USDS recruits some of the country's top technical talent who apply private sector best practices across government. USDS partners directly with federal agencies to address improvements and new site launches of digital services for the Federal Government's most critical public-facing programs. USDS also provides rapid response to agencies that experience system outages or security breaches. USDS projects not only provide the public with better digital services, but also help streamline agency processes and save taxpayer dollars. USDS has established a strong track record in helping agencies to roll out IT services supporting the Government's most critical public-facing programs.

*Cybersecurity.*—ITOR funding for cybersecurity will continue to allow OMB to expand its data-driven, risk-based oversight of agency and government-wide cybersecurity programs, issuing and implementing Federal policies consistent with emerging technologies and evolving cyber threats. OMB will expand its work with agencies to ensure that information risk is managed through the Continuous Diagnostics and Mitigation (CDM) Program, and will continue to make sure that key identity management initiatives progress. OFCIO will continue to develop new strategies to protect Federal information assets, acting in cooperation with National Security Council staff, the Department of Homeland Security, the National Institute for Standards and Technology, the Congress, and Federal agency Chief Information Officers and Chief Information Security Officers.

Object Classification (in millions of dollars)

Identification code 011-0036-0-1-802	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	17	11	10

12.1 Civilian personnel benefits .....	5	6	4
25.3 Other goods and services from Federal sources .....	7	1	1
25.3 Other goods and services from Federal sources (GSA transfer) .....		3	
31.0 Equipment .....	1		
99.0 Direct obligations .....	30	21	15
99.0 Reimbursable obligations .....	1	3	3
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	32	25	18

Employment Summary

Identification code 011-0036-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	124	91	69
2001 Reimbursable civilian full-time equivalent employment .....		17	17

SPECTRUM RELOCATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-5512-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	8,985	8,606	7,898
Receipts:			
Current law:			
1130 Spectrum Relocation Receipts .....		25	120
Proposed:			
1230 Spectrum Relocation Receipts .....			50
1999 Total receipts .....		25	170
2000 Total: Balances and receipts .....	8,985	8,631	8,068
Appropriations:			
Current law:			
2103 Spectrum Relocation Fund .....	-408	-778	-772
2132 Spectrum Relocation Fund .....	24		
2134 Spectrum Relocation Fund .....		45	
2199 Total current law appropriations .....	-384	-733	-772
Proposed:			
2203 Spectrum Relocation Fund .....			-50
2999 Total appropriations .....	-384	-733	-822
4030 Spectrum Relocation Fund .....	5		
5099 Balance, end of year .....	8,606	7,898	7,246

Program and Financing (in millions of dollars)

Identification code 011-5512-0-2-376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [021-2020] .....	5		
1035 Unobligated balance precluded from obligation (limitation on obligations) .....	-5		
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable) .....	408	778	772
1220 Appropriations transferred to other accts [021-2040] .....	-19	-19	
1220 Appropriations transferred to other accts [021-2031] .....	-23	-176	-125
1220 Appropriations transferred to other accts [021-2035] .....	-7	-10	-11
1220 Appropriations transferred to other accts [021-2020] .....		-5	-7
1220 Appropriations transferred to other accts [017-1319] .....	-102	-105	-72
1220 Appropriations transferred to other accts [017-1506] .....	-24	-51	-60
1220 Appropriations transferred to other accts [017-1507] .....			-3
1220 Appropriations transferred to other accts [017-1810] .....	-3	-14	-88
1220 Appropriations transferred to other accts [017-1109] .....		-2	-25
1220 Appropriations transferred to other accts [017-1804] .....	-11	-9	-10
1220 Appropriations transferred to other accts [057-3600] .....	-64	-48	-84
1220 Appropriations transferred to other accts [057-3010] .....	-4	-9	-3
1220 Appropriations transferred to other accts [057-3080] .....	-2	-10	-12
1220 Appropriations transferred to other accts [057-3400] .....	-4	-11	-13
1220 Appropriations transferred to other accts [097-0100] .....	-6	-7	-16
1220 Appropriations transferred to other accts [097-0400] .....	-102	-84	-59
1220 Appropriations transferred to other accts [013-1450] .....	-12		
1220 Appropriations transferred to other acct [099-9032] .....		-164	-164
1220 Appropriations transferred to other acct [097-9931] .....		-7	-17
1220 Appropriations transferred to other acct [017-1106] .....	-1	-2	-3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-24		
1234 Appropriations precluded from obligation .....		-45	
4180 Budget authority, net (total) .....			

4190 Outlays, net (total) .....

1220 Appropriations transferred to other acct [013–0550] ..... –50  
 4180 Budget authority, net (total) .....  
 4190 Outlays, net (total) .....

The Spectrum Relocation Fund (SRF), created by the Commercial Spectrum Enhancement Act of 2004, as amended by the Middle Class Tax Relief and Job Creation Act of 2012, reimburses Federal agencies that must relocate or share wireless communications systems in Federal spectrum that has been or will be reallocated to commercial use. Auction receipts associated with the reallocated spectrum are deposited into the SRF to pay eligible pre- and post-auction costs that help efficiently and effectively transition Federal agencies off of the reallocated spectrum or facilitate the sharing of Federal systems with non-Federal systems. The Office of Management and Budget (OMB) administers the SRF in consultation with the National Telecommunications and Information Administration (NTIA) of the Department of Commerce. In 2015, a portion of receipts associated with the Advanced Wireless Services 3 (AWS-3) auction, which reallocates Federal and other spectrum for flexible commercial use, were deposited into the SRF. Funds from the AWS-3 auction are being transferred to agencies with approved transition plans to reimburse them for the costs associated with clearing or sharing the auctioned bands. Transfers were made in 2015, 2016, 2017, and 2018 and will continue in future years. Most funds in the SRF must be returned to the Treasury eight years after they are deposited.

The Spectrum Pipeline Act of 2015, part of the Bipartisan Budget Act of 2015, authorized the transfer to agencies of up to \$500 million of SRF balances, and a portion of future deposits into the SRF, to fund advance planning and research projects that are expected to facilitate future spectrum auctions. OMB, NTIA, and the Federal Communications Commission have approved projects totaling \$310 million through the end of 2018.

SPECTRUM RELOCATION FUND  
 (Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 011–5512–4–2–376 2018 actual 2019 est. 2020 est.

**Budgetary resources:**  
 Budget authority:  
 Appropriations, mandatory:  
 1203 Appropriation (previously unavailable) ..... 50

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
011–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	1		
General Fund Offsetting receipts from the public .....	1		

ADMINISTRATIVE PROVISIONS—EXECUTIVE OFFICE OF THE PRESIDENT AND FUNDS APPROPRIATED TO THE PRESIDENT

(INCLUDING TRANSFER OF FUNDS)

*Sec. 201. From funds made available in this Act under the headings "The White House", "Executive Residence at the White House", "White House Repair and Restoration", "Council of Economic Advisers", "National Security Council and Homeland Security Council", "Office of Administration", "Special Assistance to the President", and "Official Residence of the Vice President", the Director of the Office of Management and Budget (or such other officer as the President may designate in writing), may, 15 days after giving notice to the Committees on Appropriations of the House of Representatives and the Senate, transfer not to exceed 10 percent of any such appropriation to any other such appropriation, to be merged with and available for the same time and for the same purposes as the appropriation to which transferred: Provided, That the amount of an appropriation shall not be increased by more than 50 percent by such transfers: Provided further, That no amount shall be transferred from "Special Assistance to the President" or "Official Residence of the Vice President" without the approval of the Vice President.*



# GENERAL SERVICES ADMINISTRATION

## REAL PROPERTY ACTIVITIES

### Federal Funds

#### REAL PROPERTY ACTIVITIES

#### FEDERAL BUILDINGS FUND

#### LIMITATIONS ON AVAILABILITY OF REVENUE

#### (INCLUDING TRANSFERS OF FUNDS)

Amounts in the Fund, including revenues and collections deposited into the Fund, shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation, and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings, including grounds, approaches, and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of \$10,203,596,000, of which—

(1) \$649,290,000 shall remain available until expended for construction and acquisition (including funds for sites and expenses, and associated design and construction services):

Provided, That amounts identified in the spend plan for construction and acquisition required by section 515 of this division may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance notice is transmitted to the Committees on Appropriations of a greater amount;

(2) \$1,662,410,000 shall remain available until expended for repairs and alterations, including associated design and construction services, of which—

(A) \$1,130,353,000 is for Major Repairs and Alterations;

(B) \$382,057,000 is for Basic Repairs and Alterations; and

(C) \$150,000,000 is for Special Emphasis Programs:

Provided, That amounts identified in the spend plan for major repair and alterations required by section 515 of this division may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That additional projects for which prospectuses have been transmitted may be funded under this category only if advance notice is transmitted to the Committees on Appropriations:

Provided further, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate: Provided further, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: Provided further, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects;

(3) \$5,508,390,000 for rental of space to remain available until expended; and

(4) \$2,383,506,000 for building operations to remain available until expended:

Provided, That the total amount of funds made available from this Fund to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required to be submitted pursuant to 40 U.S.C. 3307, has not been transmitted to the Committees referenced therein, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: Provided further, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance notice is transmitted to the Committees on Appropriations: Provided further, That amounts necessary to provide

reimbursable special services to other agencies under 40 U.S.C. 592(b)(2) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: Provided further, That revenues and collections and any other sums accruing to this Fund during fiscal year, excluding reimbursements under 40 U.S.C. 592(b)(2), in excess of the aggregate new obligational authority authorized for Real Property Activities of the Federal Buildings Fund in this Act shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

Identification code 047–4542–0–4–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Construction and acquisition of facilities .....	521	1,200	948
0802 Repairs and alterations .....	715	959	988
0808 International Trade Center .....	38		
0809 Reimbursable program activities, subtotal .....	1,274	2,159	1,936
0810 Rental of space .....	5,646	5,554	5,508
0811 Building operations .....	2,526	2,568	2,649
0819 Reimbursable program activities, subtotal .....	8,172	8,122	8,157
0820 Special services and improvements .....	1,537	1,180	1,180
0900 Total new obligations, unexpired accounts .....	10,983	11,461	11,273
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,736	5,086	4,139
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	15		
1021 Recoveries of prior year unpaid obligations .....	129	260	260
1033 Recoveries of prior year paid obligations .....	7		
1050 Unobligated balance (total) .....	4,887	5,346	4,399
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	127		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11,681	11,312	11,383
1701 Change in uncollected payments, Federal sources .....	298		
1702 Offsetting collections (previously unavailable) .....	4,658	5,582	6,640
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	–5,582	–6,640	–6,640
1750 Spending auth from offsetting collections, disc (total) .....	11,055	10,254	11,383
1900 Budget authority (total) .....	11,182	10,254	11,383
1930 Total budgetary resources available .....	16,069	15,600	15,782
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5,086	4,139	4,509
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4,214	4,269	5,046
3010 New obligations, unexpired accounts .....	10,983	11,461	11,273
3020 Outlays (gross) .....	–10,799	–10,424	–11,809
3040 Recoveries of prior year unpaid obligations, unexpired .....	–129	–260	–260
3050 Unpaid obligations, end of year .....	4,269	5,046	4,250
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–3,930	–4,228	–4,228
3070 Change in uncollected pymts, Fed sources, unexpired .....	–298		
3090 Uncollected pymts, Fed sources, end of year .....	–4,228	–4,228	–4,228
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	284	41	818
3200 Obligated balance, end of year .....	41	818	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11,182	10,254	11,383
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7,874	7,859	8,266
4011 Outlays from discretionary balances .....	2,925	2,565	3,543
4020 Outlays, gross (total) .....	10,799	10,424	11,809

FEDERAL BUILDINGS FUND—Continued  
Program and Financing—Continued

Identification code 047-4542-0-4-804	2018 actual	2019 est.	2020 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-11,522	-11,312	-11,383
4033 Non-Federal sources .....	-166		
4040 Offsets against gross budget authority and outlays (total) ....	-11,688	-11,312	-11,383
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-298		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	7		
4060 Additional offsets against budget authority only (total) .....	-291		
4070 Budget authority, net (discretionary) .....	-797	-1,058	
4080 Outlays, net (discretionary) .....	-889	-888	426
4180 Budget authority, net (total) .....	-797	-1,058	
4190 Outlays, net (total) .....	-889	-888	426
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	4,658	5,582	6,640
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	5,582	6,640	6,640

This revolving fund provides for real property management and related activities, including operation, maintenance, and repair of federally owned buildings, and the construction of Federal buildings, courthouses, and land ports of entry. Expenses of the Federal Buildings Fund (FBF) are financed from rental charges assessed to occupants of General Services Administration (GSA)-controlled space. Rent assessments, by law, approximate commercial rates for comparable space and services. Rental income is augmented by appropriations to the Fund when new construction needs exceed the resources available for investment within the Fund.

The Budget requests \$10,204 million in new obligational authority for the FBF. The Administration's proposal ensures that GSA spends at least at the level of anticipated rent that it collects from Federal departments and agencies in order to provide services to those customers.

Historically, the FBF has been permitted to spend at least what it collects from agencies to support leasing from the private sector, as well as maintenance, repairs, major renovations, and new construction to accommodate agency needs in buildings that GSA owns and operates. However, in several recent years, the FBF appropriations were significantly below the anticipated level of rent collections from agencies, denying GSA the ability to pursue an appropriately-sized capital program relative to the size of its portfolio. By restoring the principle that the FBF should be allowed to spend what it collects and pursuing a robust capital program, the Administration hopes to accomplish a number of policy goals: avoiding larger, longer-term capital costs associated with deferring maintenance of Federal facilities, improving efficiency at GSA-owned facilities, and realizing a smaller Federal footprint through improved building utilization.

The following table reports rent and other income to the Fund.

	[In millions of dollars]		
	2018 actual	2019 est.	2020 est.
Rental charges .....	9,999	10,132	10,204
Collections for:			
(a) Special services and improvements .....	1,501	1,364	1,355
(b) Miscellaneous income .....			
Total receipts and reimbursements .....	11,498	11,495	11,558

The following tables report the planned financing for the Fund in 2019 and 2020.

	[In millions of dollars]				
	Obligations	End-of-year unobligated balance	Obligational authority Total	New	From prior year
2020 program:					
1. Construction and Acquisition of Facilities .....	948	1,137	2,085	649	1,436

2. Repairs and Alterations .....	988	1,538	2,526	1,662	863
3. Installation Acquisition Payments .....	0	0	0	0	0
4. Construction of Lease Purchase Facilities .....	0	24	24	0	24
5. Rental of Space .....	5,508	85	5,593	5,508	85
6. Building Operations .....	2,649	47	2,696	2,610	86
7. International Trade Center .....	0	15	15	0	15
8. Pennsylvania Avenue Activities .....	0	29	29	0	29
Total basic program .....	10,093	2,875	12,968	10,430	2,538
Other programs:					
Special services and improvements .....	1,355	1,358	2,713	1,355	1,358
Total Federal Buildings Fund .....	11,447	4,233	15,680	11,784	3,896

The FBF consists of the following activities:

**Construction and Acquisition of Facilities.**—This activity provides for the construction or purchase of prospectus-level facilities, prospectus-level additions to existing buildings, and remediation. All costs directly attributable to site acquisition, construction, and the full range of design and construction services, and management and inspection of construction projects are funded under this activity (estimated project cost in thousands).

New Construction Executive Agencies	
Washington, DC DHS Consolidation at St. Elizabeths	200,000
Washington, DC Southeast Federal Center Remediation	6,850
Laguna Niguel, CA New Federal Building	185,143
Subtotal, Executive Agencies	391,993
U.S. Land Ports of Entry Program	
San Luis, AZ San Luis I U.S. Land Port of Entry	248,322
Subtotal, U.S. Land Ports of Entry Program	248,322
New Construction - Design Program	
St. Louis, MO Federal Bureau of Investigation	8,975
Subtotal, New Construction - Design Program	8,975
Total FY 2020 Construction and Acquisition of Facilities Program	649,290

**Repairs and Alterations.**—This activity provides for repairs and alterations of existing buildings as well as associated design and construction services. Protection of the Government's investment, the health and safety of building occupants, relocation of agencies from leased space, and cost effectiveness are the principle criteria used in establishing priorities. Repairs to prevent deterioration and damage to buildings, their support systems, and operating equipment are given priority (estimated project costs in thousands).

Nonprospectus (Basic) Repairs and Alterations Program	382,057
Major Repairs and Alterations Program	
Washington, DC 301 7th Street SW Regional Office Building	95,642
Suitland, MD Suitland Federal Complex	49,358
Richmond, CA Frank Hagel Federal Building	40,100
Portland, ME Edward T. Gignoux U.S. Courthouse	23,067
New York, NY Silvio V. Mollo Federal Building and Jacob K. Javits Federal Building	46,600
Cleveland, OH Anthony J. Celebrezze Federal Building	63,928
Washington, DC Herbert C. Hoover Building Phase V Construction	163,832
Cincinnati, OH FDA Forensic Chemistry Center and John Weld Peck Federal Building	17,546
Oklahoma City, OK William J. Holloway, Jr. U.S. Courthouse and U.S. Post Office and Courthouse	93,441
Boston, MA John F. Kennedy Federal Building	75,929
Austin, TX J.J. Pickle Federal Building	17,408
Philadelphia, PA James A. Byrne U.S. Courthouse	58,855
New York, NY Alexander Hamilton U.S. Custom House	61,862
Pittsburgh, PA Joseph F. Weis, Jr. U.S. Courthouse	40,634
Detroit, MI Patrick V. McNamara Federal Building Garage	17,671
Akron, OH John F. Seiberling Federal Building and U.S. Courthouse	20,086
Honolulu, HI Prince J. Kuhio Kalaniana'ole Federal Building and U.S. Courthouse	39,651
Ogden, UT James V. Hansen Federal Building	18,764
Columbus, OH John W. Bricker Federal Building	6,559
Fayetteville, AR John Paul Hammerschmidt Federal Building and U.S. Courthouse	27,545
Boston, MA Thomas P. O'Neill, Jr. Federal Building	10,896
New York, NY Daniel Patrick Moynihan U.S. Courthouse	27,570
Lakewood, CO Denver Federal Center Building 810	5,915
Anchorage, AK Anchorage Federal Building and Federal Building Addition	4,294
Conveying Systems - Various Buildings	100,000
Subtotal, Major Repair and Alterations Program	1,127,153
Repair and Alterations Design Program	
Indianapolis, IN Major General Emmett J. Bean Federal Center	3,200
Subtotal, Repair and Alterations Design Program	3,200
Special Emphasis Programs	
Judiciary Capital Security Program	20,000
Consolidation Activities Program	75,000
Fire Protection and Life Safety Program	30,000
Seismic Mitigation Program	25,000

Subtotal, Special Emphasis Programs	150,000
Total FY 2020 Repairs and Alterations Program	1,662,410

**Rental of Space.**—This activity provides for the leasing of privately-owned buildings, including space occupied by Federal agencies in U.S. Postal Service facilities. GSA provided 182 million square feet of rental space in 2018. GSA expects to provide 180 million square feet of rental space in 2019 and 180 million in 2020.

**Building Operations.**—Building Services: This activity provides services for Government-owned and leased facilities, including cleaning, utilities and fuel, maintenance, and miscellaneous services (such as moving, evaluation of new materials and equipment, and field supervision). Salaries and Expenses: This activity provides general management and administration of all real property related programs, including salaries and benefits paid from the FBF, administrative costs funded directly by the FBF, and contributions to the GSA Working Capital Fund. The following tables provide additional detail regarding the 2019 and 2020 building operations program (estimated obligations in millions).

	2019 Obligations est.	2020 Obligations est.
Cleaning .....	373	377
Utilities .....	269	274
Maintenance .....	418	439
Security .....	57	56
Other Building Services .....	29	51
IT .....	45	50
Salaries and Benefits .....	675	702
GSA Working Capital Fund Payments .....	357	365
Management Support .....	60	76
Travel .....	11	12
Other Administrative Costs and Funding Sources .....	-72	-19
<b>Total .....</b>	<b>2,222</b>	<b>2,384</b>

**Other Programs.**—When requested by other Federal agencies, the Public Buildings Service provides, on a reimbursable basis, building services such as tenant alterations, cleaning, utilities, and other operations, and protection services which are in excess of those services provided under the commercial rental charges.

**Object Classification** (in millions of dollars)

Identification code 047-4542-0-4-804	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	534	528	544
11.5 Other personnel compensation .....	13	15	18
11.9 Total personnel compensation .....	547	543	562
12.1 Civilian personnel benefits .....	179	175	183
21.0 Travel and transportation of persons .....	11	11	13
23.2 Rental payments to others .....	5,640	5,555	5,509
23.3 Communications, utilities, and miscellaneous charges .....	385	390	395
25.1 Advisory and assistance services .....	571	544	448
25.2 Other services from non-Federal sources .....	73	61	60
25.3 Other goods and services from Federal sources .....	407	422	435
25.4 Operation and maintenance of facilities .....	1,881	1,568	1,590
25.7 Operation and maintenance of equipment .....	24	32	32
26.0 Supplies and materials .....	9	7	7
31.0 Equipment .....	72	57	58
32.0 Land and structures .....	1,146	2,069	1,953
42.0 Insurance claims and indemnities .....	1	1	2
43.0 Interest and dividends .....	37	26	26
99.9 Total new obligations, unexpired accounts .....	10,983	11,461	11,273

**Employment Summary**

Identification code 047-4542-0-4-804	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	5,307	5,511	5,480

FEDERAL BUILDINGS FUND, RECOVERY ACT

**Program and Financing** (in millions of dollars)

Identification code 047-4543-0-4-804	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	20	7	1
3020 Outlays (gross) .....	-13	-6	.....
3050 Unpaid obligations, end of year .....	7	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	20	7	1
3200 Obligated balance, end of year .....	7	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	13	6	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	13	6	.....

This appropriation provided funding for the construction and renovation of Federal buildings, courthouses, and land ports of entry; the conversion of existing General Services Administration facilities to High-Performance Green Buildings; and \$4,000,000 for transfer to the Office of Federal High-Performance Green Buildings. Of the available amounts, \$5,000,000,000 was available until September 30, 2010 and the remaining amounts were available until September 30, 2011.

FEDERAL CAPITAL REVOLVING FUND

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 047-4614-4-4-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	.....	.....	288
0900 Total new obligations, unexpired accounts (object class 94.0) .....	.....	.....	288
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	10,000
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	.....	.....	19
1900 Budget authority (total) .....	.....	.....	10,019
1930 Total budgetary resources available .....	.....	.....	10,019
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	.....	9,731
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	.....	.....	288
3020 Outlays (gross) .....	.....	.....	-288
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	10,019
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	288
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	.....	.....	-19
4180 Budget authority, net (total) .....	.....	.....	10,000
4190 Outlays, net (total) .....	.....	.....	269

This account provides \$10 billion to support a new Federal Capital Revolving Fund (FCRF) to finance federally-owned civilian real property projects. A robust discussion of the FCRF can be found in the Budget Process chapter of the *Analytical Perspectives* volume.

In summary, the FCRF will create a mechanism that is similar to a capital budget but operates within the traditional rules used for the Federal budget. Upon approval in an Appropriations Act, the revolving fund will transfer money to agencies to finance large-dollar real property purchases. Executing

FEDERAL CAPITAL REVOLVING FUND—Continued

agencies will then be required to repay the fund in 15 equal annual amounts using discretionary appropriations.

As a result, purchases/construction/renovation of real property assets will no longer compete with annual operating and programmatic expenses for the limited funding available under tight discretionary caps. Instead, agencies will pay for real property over time as it is utilized. Repayments will be made from future appropriations, which will incentivize project selection based on highest mission need and return on investment, including future cost avoidance. The repayments will also replenish the revolving fund so that real property can continually be replaced as needed.

ASSET PROCEEDS AND SPACE MANAGEMENT FUND

For carrying out the purposes of the Federal Assets Sale and Transfer Act of 2016 (Public Law 114–287), \$31,000,000, to remain available until expended, to the Asset Proceeds and Space Management Fund.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 047–0614–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Space Management .....		10	31
0900 Total new obligations, unexpired accounts (object class 94.0) .....		10	31
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		5	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	31
1930 Total budgetary resources available .....	5	10	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			7
3010 New obligations, unexpired accounts .....		10	31
3020 Outlays (gross) .....		–3	–10
3050 Unpaid obligations, end of year .....		7	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			7
3200 Obligated balance, end of year .....		7	28
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	6
4011 Outlays from discretionary balances .....		2	4
4020 Outlays, gross (total) .....		3	10
4180 Budget authority, net (total) .....	5	5	31
4190 Outlays, net (total) .....		3	10

This appropriation provides for the purposes of carrying out actions pursuant to the Public Buildings Reform Board recommendations for civilian real property. In addition, amounts received from the sale of any civilian real property pursuant to a recommendation of the Board are available, as provided in appropriations Acts. Activities authorized include consolidation, co-location, exchange, redevelopment, reconfiguration of space, disposal, covering costs associated with sales transactions, acquiring land, construction, constructing replacement facilities, and conducting advance planning and design as may be required to transfer functions from a Federal asset or property to another Federal civilian property.

REAL PROPERTY RELOCATION

Program and Financing (in millions of dollars)

Identification code 047–0535–0–1–804	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	11	11	11
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economically suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2020. The General Services Administration will solicit relocation proposals from agencies.

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047–5254–0–2–804	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	74	77	80
Receipts:			
Current law:			
1130 Receipts of Rent, Leases and Lease Payments for Government Owned Real Property .....		3	3
1130 Other Receipts, Surplus Real and Related Personal Property .....	10	15	15
1130 Transfers of Surplus Real and Related Personal Property Receipts .....	–5	–6	–6
1199 Total current law receipts .....	5	12	12
1999 Total receipts .....	5	12	12
2000 Total: Balances and receipts .....	79	89	92
Appropriations:			
Current law:			
2101 Disposal of Surplus Real and Related Personal Property .....	–3	–9	–9
2132 Disposal of Surplus Real and Related Personal Property .....	1		
2199 Total current law appropriations .....	–2	–9	–9
2999 Total appropriations .....	–2	–9	–9
5099 Balance, end of year .....	77	80	83

Program and Financing (in millions of dollars)

Identification code 047–5254–0–2–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Real Property Utilization and Disposal .....	1	9	9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	3	9	9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–1		
1260 Appropriations, mandatory (total) .....	2	9	9
1930 Total budgetary resources available .....	2	9	9
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	3	4	4
1953 Expired unobligated balance, end of year .....	3	4	4

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010	New obligations, unexpired accounts .....	1	9	9
3020	Outlays (gross) .....		-9	-9
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	2	2
3200	Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	9	9
Outlays, gross:				
4100	Outlays from new mandatory authority .....		8	8
4101	Outlays from mandatory balances .....		1	1
4110	Outlays, gross (total) .....		9	9
4180	Budget authority, net (total) .....	2	9	9
4190	Outlays, net (total) .....		9	9

This mandatory appropriation provides for the efficient disposal of real property assets that no longer meet the needs of landholding Federal agencies. Fees of auctioneers, brokers, appraisers, and environmental consultants; surveying costs; costs of advertising; costs of environmental and historical preservation services; highest and best use of property studies; property utilization studies; deed compliance inspections; and other disposal costs are paid out of receipts from disposals in each year. GSA leverages the expertise of auctioneers and brokers familiar with local markets to accelerate the disposal of surplus real property.

**Object Classification** (in millions of dollars)

Identification code 047-5254-0-2-804				
		2018 actual	2019 est.	2020 est.
Direct obligations:				
25.1	Advisory and assistance services .....	1	8	8
25.3	Other goods and services from Federal sources .....		1	1
99.0	Direct obligations .....	1	9	9
99.9	Total new obligations, unexpired accounts .....	1	9	9

**SUPPLY AND TECHNOLOGY ACTIVITIES**

*Federal Funds*

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-5250-0-2-804				
		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	35	34	26
Receipts:				
Current law:				
1130	Recoveries of Transportation Charges .....	8	9	10
2000	Total: Balances and receipts .....	43	43	36
Appropriations:				
Current law:				
2101	Expenses of Transportation Audit Contracts and Contract Administration .....	-18	-17	-12
2132	Expenses of Transportation Audit Contracts and Contract Administration .....	1		
2199	Total current law appropriations .....	-17	-17	-12
2999	Total appropriations .....	-17	-17	-12
Special and trust fund receipts returned:				
3010	Expenses of Transportation Audit Contracts and Contract Administration .....	4		
3010	Expenses of Transportation Audit Contracts and Contract Administration .....	4		
5099	Balance, end of year .....	34	26	24

**Program and Financing** (in millions of dollars)

Identification code 047-5250-0-2-804				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Audit contracts and contract administration .....	9	17	12
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	18	17	12
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1		
1260	Appropriations, mandatory (total) .....	17	17	12
1930	Total budgetary resources available .....	17	18	13
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-7		
1941	Unexpired unobligated balance, end of year .....	1	1	1
Special and non-revolving trust funds:				
1950	Other balances withdrawn and returned to unappropriated receipts .....	4		
1951	Unobligated balance expiring .....	7		
1952	Expired unobligated balance, start of year .....	5	14	14
1953	Expired unobligated balance, end of year .....	3	14	14
1954	Unobligated balance canceling .....	4		

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	11	10	12
3010	New obligations, unexpired accounts .....	9	17	12
3020	Outlays (gross) .....	-8	-15	-10
3041	Recoveries of prior year unpaid obligations, expired .....	-2		
3050	Unpaid obligations, end of year .....	10	12	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	11	10	12
3200	Obligated balance, end of year .....	10	12	14

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	17	17	12
Outlays, gross:				
4100	Outlays from new mandatory authority .....	7	14	8
4101	Outlays from mandatory balances .....	1	1	2
4110	Outlays, gross (total) .....	8	15	10
4180	Budget authority, net (total) .....	17	17	12
4190	Outlays, net (total) .....	8	15	10

This permanent, indefinite appropriation provides for the detection and recovery of overpayments to carriers for Government moves under rate and service agreements established by GSA or by other Federal agency transportation managers. Program expenses are financed from overcharges collected from transportation service providers (TSPs) as a result of post payment audits that examine the validity, propriety, and conformity of charges with the proper rate authority. Funds recovered in excess of expenses are returned to the U.S Treasury.

**Object Classification** (in millions of dollars)

Identification code 047-5250-0-2-804				
		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	3	3	3
12.1	Civilian personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	4	12	7
25.3	Other goods and services from Federal sources .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	9	17	12

**Employment Summary**

Identification code 047-5250-0-2-804				
		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	31	37	37

ACQUISITION SERVICES FUND

Program and Financing (in millions of dollars)

Identification code 047-4534-0-4-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0850 Assisted Acquisition Services (AAS) - Flow-Thru .....	8,559	11,403	12,240
0851 Information Technology Category (ITC) - Flow-Thru .....	1,441	1,216	561
0852 General Supplies and Services (GSS) - Flow-Thru .....	1,194	1,213	1,245
0853 Travel, Transportation and Logistics (TTL) - Flow-Thru .....	2,862	2,813	2,875
0854 Technology Transformation Services (TTS) - Flow Thru .....	22	41	60
0855 Common Acquisition Platform (CAP) Flow-Thru .....	9		
0856 Integrated Award Environment (Total Operating Exp + Reserves) .....	105	132	105
0857 Acquisition Services Fund - Operating (Total Operating Exp + Reserves) .....	1,068	1,040	1,016
0900 Total new obligations, unexpired accounts .....	15,260	17,858	18,102
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	615	936	1,090
1021 Recoveries of prior year unpaid obligations .....	390	250	250
1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	1,007	1,186	1,340
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	13,155	17,762	19,005
1801 Change in uncollected payments, Federal sources .....	2,034		
1850 Spending auth from offsetting collections, mand (total) .....	15,189	17,762	19,005
1930 Total budgetary resources available .....	16,196	18,948	20,345
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	936	1,090	2,243
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7,859	9,478	9,976
3010 New obligations, unexpired accounts .....	15,260	17,858	18,102
3020 Outlays (gross) .....	-13,251	-17,110	-18,683
3040 Recoveries of prior year unpaid obligations, unexpired .....	-390	-250	-250
3050 Unpaid obligations, end of year .....	9,478	9,976	9,145
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-7,533	-9,567	-9,567
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2,034		
3090 Uncollected pymts, Fed sources, end of year .....	-9,567	-9,567	-9,567
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	326	-89	409
3200 Obligated balance, end of year .....	-89	409	-422
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	15,189	17,762	19,005
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7,476	8,881	9,502
4101 Outlays from mandatory balances .....	5,775	8,229	9,181
4110 Outlays, gross (total) .....	13,251	17,110	18,683
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-12,346	-17,762	-19,005
4123 Non-Federal sources .....	-811		
4130 Offsets against gross budget authority and outlays (total) .....	-13,157	-17,762	-19,005
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-2,034		
4143 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4150 Additional offsets against budget authority only (total) .....	-2,032		
4170 Outlays, net (mandatory) .....	94	-652	-322
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	94	-652	-322

The Acquisition Services Fund (ASF) is a full cost recovery revolving fund financing nearly all operations of the Federal Acquisition Service (FAS). FAS also includes organizations that are funded out of the Operating Expense appropriation, the Transportation Audits warrant, and the Federal Citizen Services Fund (FCSF). The ASF provides for the acquisition of information technology (IT) solutions, telecommunications, motor vehicles, supplies, and a wide range of goods and services for Federal agencies. This

fund recovers costs through fees charged to Federal agencies for services rendered and commodities provided.

The ASF is authorized by section 321 of title 40, United States Code, which requires the Administrator to establish rates to be charged to agencies receiving services that: 1) recover costs; and 2) provide for the cost and capital requirements of the ASF. The ASF is authorized to retain earnings to cover the cost of replacing fleet vehicles (Replacement Cost Pricing), maintain supply inventories adequate for customer needs, and fund anticipated operating needs specified by the Cost and Capital Plan.

The ASF now consists of seven business portfolios:

**Assisted Acquisition Services (AAS).**—Assists agencies in making informed procurement decisions and serves as a center of acquisition excellence for the Federal community. AAS provides acquisition, technical, and project management services related to information technology and professional services at the best value.

**General Supplies and Services (GS&S).**—Provides partner agencies with general products such as furniture, office supplies, and hardware products. GS&S centralizes acquisitions on behalf of the Government to strategically procure goods and services at reduced costs, while ensuring regulatory compliance for partner agency procurements. This portfolio also provides personal property disposal services to partner agencies, which are partially funded by the Operating Expenses appropriation.

**Information Technology Category (ITC).**—Makes available IT and telecommunications products and services to Federal, state and local agencies. ITC provides access to IT services, hardware, software, telecommunications, and IT security services.

**Office of Systems Management (OSM).**—Standardizes, integrates, and streamlines the federal contract award process through electronic systems, while increasing transparency and ensuring compliance with all applicable Federal acquisition regulations. OSM works across the Federal Government with four governance committees and other external stakeholders in a multi-year effort to modernize 10 award systems essential for doing business with the Federal Government, moving these systems into a single website. OSM also partners with FAS business portfolios and GSA IT to coordinate FAS systems development efforts.

**Professional Services & Human Capital Categories (PSHC).**—Provides Federal agencies with professional and human capital services contract solutions, including payment solutions through the GSA SmartPay program.

**Technology Transformation Services (TTS).**—Aims to transform the way Government agencies build, buy, and share technology. They use modern methodologies and technologies to help Federal agencies improve the public's experience with the Government. TTS helps agencies make their services more accessible, efficient, and effective by building, providing, and sharing technology applications, platforms, processes, personnel, and software solutions to Federal agencies.

**Travel, Transportation, and Logistics Categories (TTL).**—Provides partner agencies with a broad scope of services which includes travel, transportation, and relocation services; motor vehicle acquisition; and motor vehicle fleet leasing services.

Object Classification (in millions of dollars)

Identification code 047-4534-0-4-804	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	338	365	381
11.3 Other than full-time permanent .....	2		
11.5 Other personnel compensation .....	6	5	6
11.9 Total personnel compensation .....	346	370	387
12.1 Civilian personnel benefits .....	109	115	120
21.0 Travel and transportation of persons .....	7	11	10
22.0 Transportation of things .....	16	6	6
23.1 Rental payments to GSA .....	20	16	16
23.3 Communications, utilities, and miscellaneous charges .....	1,475	1,484	979
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	9,015	11,587	12,141
25.2 Other services from non-Federal sources .....	2	5	5
25.3 Other goods and services from Federal sources .....	302	273	277
25.7 Operation and maintenance of equipment .....	183	117	121

26.0	Supplies and materials .....	1,423	1,283	1,312
31.0	Equipment .....	2,360	2,589	2,726
99.9	Total new obligations, unexpired accounts .....	15,260	17,858	18,102

**Employment Summary**

Identification code 047-4534-0-4-804	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,122	3,355	3,443

**TECHNOLOGY MODERNIZATION FUND**

For carrying out the purposes of the Technology Modernization Fund, as authorized by section 1078 of subtitle G of title X of the National Defense Authorization Act for Fiscal Year 2018 (Public Law 115-91), \$150,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047-0616-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 IT Modernization and Development .....		2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		89	130
1010 Unobligated balance transfer to other accts GSA [047-4540] .....		-27	-9
1010 Unobligated balance transfer to other accts USDA [012-4609] .....		-11	
1010 Unobligated balance transfer to other accts DOL [016-4601] .....		-4	
1010 Unobligated balance transfer to other accts DOE [089-0243] .....		-6	-7
1010 Unobligated balance transfer to other accts HUD [086-4586] .....		-10	-5
1050 Unobligated balance (total) .....		31	109
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	100	100	150
1120 Appropriations transferred to other acct USDA [012-4609] .....	-4		
1120 Appropriations transferred to other acct HUD [086-4586] .....	-5		
1120 Appropriations transferred to other acct DOE [089-0243] .....	-2		
1160 Appropriation, discretionary (total) .....	89	100	150
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	13
1900 Budget authority (total) .....	89	101	163
1930 Total budgetary resources available .....	89	132	272
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	89	130	270
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		2	2
3020 Outlays (gross) .....		-2	-2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	89	101	163
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
4011 Outlays from discretionary balances .....		1	1
4020 Outlays, gross (total) .....		2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-13
4180 Budget authority, net (total) .....	89	100	150
4190 Outlays, net (total) .....		1	-11

The Technology Modernization Fund (TMF) is a full cost recovery fund that finances the transition of Federal agencies from antiquated legacy IT systems to more effective, secure, and modern IT platforms. The National Defense Authorization Act for Fiscal Year 2018 (Public Law 115-91), Subtitle G—Modernizing Government Technology (MGT), Section 1078 established the TMF and Technology Modernization Board (Board). The TMF is administered by GSA in accordance with recommendations made by the inter-agency TMF Board established by the MGT Act. The Board is chaired by the Administrator of the Office of Electronic Government and comprises six additional members, delineated in the Act, possessing expertise in information technology development, financial management, cybersecurity and privacy, and acquisition.

In accordance with OMB guidance, the Board rigorously reviews agency modernization proposals and recommends select projects for funding, including identifying opportunities to migrate multiple legacy systems to common platforms; ensuring prioritization of projects with the greatest Government-wide impact and probability of success; and improvements to the security of critical IT infrastructure. As funding is allocated to priority agency projects across the Federal Government, it is subsequently replenished by agency repayments to the Fund for amounts transferred. This includes the cost of any services or work performed related to the administration of the Fund, ensuring that the TMF is self-sustaining and can continue to support modernization projects well beyond the initial infusions of capital. The GSA Administrator, in consultation with the Board and Director of OMB, is responsible for continuous oversight of funded projects to ensure success, and that technical experts are paired with specific projects on a reimbursable basis to help execute quickly and successfully. All funding will be provided in increments based on agile development practices and subject to the achievement of planned project milestones.

Ultimately, retiring or modernizing vulnerable and inefficient legacy IT systems will not only make agencies more secure, it will also save money. Absent immediate action, the cost to operate and maintain legacy systems will continue to grow, while security vulnerabilities and other risks will remain unresolved. As a means of addressing these pressing challenges, the TMF is an important step in changing the way the Federal Government manages its IT portfolio.

In its first year of operation, the Board has reviewed more than 30 Initial Project Proposals from Federal agencies totaling over \$400 million in requested funds and has approved seven modernization projects totaling \$89 million in project awards.

**Object Classification** (in millions of dollars)

Identification code 047-0616-0-1-808	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.1 Advisory and assistance services .....		1	1
99.0 Direct obligations .....		2	2
99.9 Total new obligations, unexpired accounts .....		2	2

**Employment Summary**

Identification code 047-0616-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		6	6

**GENERAL ACTIVITIES**

**Federal Funds**

GOVERNMENT-WIDE POLICY

For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, travel, motor vehicles, information technology management, and related technology activities; and services as authorized by 5

GOVERNMENT-WIDE POLICY—Continued

U.S.C. 3109; \$65,843,000, of which \$8,000,000 shall remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047–0401–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government-wide policy .....	54	53	66
0801 Government-wide Policy (Reimbursable) .....	27	42	42
0900 Total new obligations, unexpired accounts .....	81	95	108
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	30	30
1010 Unobligated balance transfer to other accts [069–0142] .....	–1		
1050 Unobligated balance (total) .....	20	30	30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	53	53	66
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	38	42	42
1701 Change in uncollected payments, Federal sources .....	1		
1750 Spending auth from offsetting collections, disc (total) .....	39	42	42
1900 Budget authority (total) .....	92	95	108
1930 Total budgetary resources available .....	112	125	138
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	46	40	35
3010 New obligations, unexpired accounts .....	81	95	108
3020 Outlays (gross) .....	–86	–100	–109
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	40	35	34
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–2	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired .....	–1		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	44	39	34
3200 Obligated balance, end of year .....	39	34	33
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	92	95	108
Outlays, gross:			
4010 Outlays from new discretionary authority .....	42	60	71
4011 Outlays from discretionary balances .....	44	40	38
4020 Outlays, gross (total) .....	86	100	109
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–39	–42	–42
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–1		
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	53	53	66
4080 Outlays, net (discretionary) .....	47	58	67
4180 Budget authority, net (total) .....	53	53	66
4190 Outlays, net (total) .....	47	58	67

This appropriation provides for the activities of the Office of Government-wide Policy (OGP). OGP works cooperatively with other agencies to develop and evaluate policies associated with the following: acquisition and acquisition workforce career development; real property; personal property; travel, transportation management, motor vehicles, and aircraft; committee management; information technology and cyber security; and transparency of regulatory information. OGP also collaborates with agencies and other primary government organizations to provide support for the execution of

Government-wide priorities and programs including oversight and management of Government-wide shared service mission support functions and implementation support for cross-agency priority goals in the President's Management Agenda (PMA). OGP identifies and shares policies and best practices to drive savings, efficiency, and effectiveness across the Federal Government.

**Object Classification** (in millions of dollars)

Identification code 047–0401–0–1–804	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	18	19	18
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	19	20	19
12.1 Civilian personnel benefits .....	5	6	6
25.1 Advisory and assistance services .....	15	13	22
25.3 Other goods and services from Federal sources .....	14	13	18
99.0 Direct obligations .....	53	52	65
99.0 Reimbursable obligations .....	27	42	42
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	81	95	108

**Employment Summary**

Identification code 047–0401–0–1–804	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	136	148	142
2001 Reimbursable civilian full-time equivalent employment .....	23	40	40

OPERATING EXPENSES

For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; agency-wide policy direction, management, and communications; and services as authorized by 5 U.S.C. 3109; \$49,440,000, of which not to exceed \$7,500 is for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047–0110–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses (Direct) .....	45	46	49
0801 Operating Expenses (Reimbursable) .....	8	15	15
0900 Total new obligations, unexpired accounts .....	53	61	64
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	46	46	49
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	15	15
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	9	15	15
1900 Budget authority (total) .....	55	61	64
1930 Total budgetary resources available .....	56	63	66
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10	10	10
3010 New obligations, unexpired accounts .....	53	61	64
3020 Outlays (gross) .....	–52	–61	–64
3041 Recoveries of prior year unpaid obligations, expired .....	–1		
3050 Unpaid obligations, end of year .....	10	10	10

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071	Change in uncollected pymts, Fed sources, expired .....	3		
3090	Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	6	6
3200	Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	55	61	64
Outlays, gross:				
4010	Outlays from new discretionary authority .....	45	53	56
4011	Outlays from discretionary balances .....	7	8	8
4020	Outlays, gross (total) .....	52	61	64
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-7	-15	-15
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052	Offsetting collections credited to expired accounts .....	2		
4060	Additional offsets against budget authority only (total) .....	-2		
4070	Budget authority, net (discretionary) .....	46	46	49
4080	Outlays, net (discretionary) .....	45	46	49
4180	Budget authority, net (total) .....	46	46	49
4190	Outlays, net (total) .....	45	46	49

This appropriation supports a variety of operational activities which are not feasible or appropriate for a user fee arrangement. Major programs include the personal property utilization and donation activities of the Federal Acquisition Service; the real property utilization and disposal activities of the Public Buildings Service; Executive Management and Administration activities including support of Government-wide mission assurance activities; and top-level, agency-wide strategic communications activities.

**Object Classification** (in millions of dollars)

Identification code 047-0110-0-1-804				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	20	24	23
11.3	Other than full-time permanent .....	1		2
11.9	Total personnel compensation .....	21	24	25
12.1	Civilian personnel benefits .....	7	8	8
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....			1
25.1	Advisory and assistance services .....	4		1
25.3	Other goods and services from Federal sources .....	11	13	14
99.0	Direct obligations .....	44	46	50
99.0	Reimbursable obligations .....	7	15	14
99.5	Adjustment for rounding .....	2		
99.9	Total new obligations, unexpired accounts .....	53	61	64

**Employment Summary**

Identification code 047-0110-0-1-804				
1001	Direct civilian full-time equivalent employment .....	195	218	218
2001	Reimbursable civilian full-time equivalent employment .....	17	16	16

**CIVILIAN BOARD OF CONTRACT APPEALS**

For expenses authorized by law, not otherwise provided for, for the activities associated with the Civilian Board of Contract Appeals, \$9,301,000, of which \$2,000,000 shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047-0610-0-1-804				
<b>Obligations by program activity:</b>				
0001	Direct program activity .....	9	9	9
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	9	9	9
1900	Budget authority (total) .....	9	9	9
1930	Total budgetary resources available .....	9	9	9
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		1	1
3010	New obligations, unexpired accounts .....	9	9	9
3020	Outlays (gross) .....	-8	-9	-9
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	9	9	9
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8	9	9
4180	Budget authority, net (total) .....	9	9	9
4190	Outlays, net (total) .....	8	9	9

The Civilian Board of Contract Appeals (CBCA) is an independent tribunal with worldwide jurisdiction housed within the General Services Administration. The CBCA presides over various disputes involving Federal executive branch agencies. Its primary responsibility is to resolve contract disputes between Government contractors and agencies under the Contract Disputes Act (CDA).

**Object Classification** (in millions of dollars)

Identification code 047-0610-0-1-804				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	4	4
12.1	Civilian personnel benefits .....		1	1
13.0	Benefits for former personnel .....	1		
21.0	Travel and transportation of persons .....	1		
23.1	Rental payments to GSA .....	2	2	2
25.3	Other goods and services from Federal sources .....		1	1
99.0	Direct obligations .....	8	8	8
99.5	Adjustment for rounding .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	9	9	9

**Employment Summary**

Identification code 047-0610-0-1-804				
1001	Direct civilian full-time equivalent employment .....	32	41	41

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General and services as authorized by 5 U.S.C. 3109, \$68,000,000: Provided, That not to exceed \$50,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: Provided further, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing (in millions of dollars)

Identification code 047-0108-0-1-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	64	65	68
0802 Office of Inspector General (Reimbursable) .....		1	1
0900 Total new obligations, unexpired accounts .....	64	66	69
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	65	65	68
Spending authority from offsetting collections, discretionary:			
1700 Collected .....		1	1
1900 Budget authority (total) .....	65	66	69
1930 Total budgetary resources available .....	71	72	75
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	9	7	7
3010 New obligations, unexpired accounts .....	64	66	69
3020 Outlays (gross) .....	-66	-66	-68
3050 Unpaid obligations, end of year .....	7	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	9	7	7
3200 Obligated balance, end of year .....	7	7	8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	65	66	69
Outlays, gross:			
4010 Outlays from new discretionary authority .....	60	55	57
4011 Outlays from discretionary balances .....	6	11	11
4020 Outlays, gross (total) .....	66	66	68
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4180 Budget authority, net (total) .....	65	65	68
4190 Outlays, net (total) .....	66	65	67

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	65	65	68
Outlays .....	66	65	67
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			5
Outlays .....			5
Total:			
Budget Authority .....	65	65	73
Outlays .....	66	65	72

This appropriation provides agency-wide audit, investigative, and inspection functions to identify and correct management and administrative deficiencies within the General Services Administration (GSA), including conditions for existing or potential instances of fraud, waste, and mismanagement. This audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations. The inspection function supplements traditional audits and investigations by providing systematic and independent assessments of the design, implementation, and/or results of GSA's operations, programs, or policies.

Object Classification (in millions of dollars)

Identification code 047-0108-0-1-804	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	34	35	37
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	36	37	39
12.1 Civilian personnel benefits .....	13	14	15
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	2	2	2
25.3 Other goods and services from Federal sources .....	4	3	3
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	64	65	68
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations, unexpired accounts .....	64	66	69

Employment Summary

Identification code 047-0108-0-1-804	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	308	322	322
2001 Reimbursable civilian full-time equivalent employment .....	2	3	3

OFFICE OF INSPECTOR GENERAL

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to reorganize the Office of Personnel Management (OPM) and transfer certain of its functions to the General Services Administration (GSA), for necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including service as authorized by 5 U.S.C. 3109 and hire of passenger motor vehicles, \$5,000,000, and in addition, not to exceed \$25,265,000, to be transferred from the appropriate trust funds of OPM's successor division at GSA for administrative expenses to audit, investigate, and provide other oversight, as determined by the Inspector General, of the retirement and insurance programs of OPM's successor division at GSA: Provided, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere.

Program and Financing (in millions of dollars)

Identification code 047-0108-2-1-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....			5
0802 Office of Inspector General (Reimbursable) .....			25
0900 Total new obligations, unexpired accounts .....			30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			5
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			25
1900 Budget authority (total) .....			30
1930 Total budgetary resources available .....			30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			30
3020 Outlays (gross) .....			-30
3031 Unpaid obligations transferred from other acts [024-0400] .....			1
3050 Unpaid obligations, end of year .....			1
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts .....			-7
3090 Uncollected pymts, Fed sources, end of year .....			-7
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			30

Outlays, gross:		
4010	Outlays from new discretionary authority .....	29
4011	Outlays from discretionary balances .....	1
4020	Outlays, gross (total) .....	30
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4030	Federal sources .....	-25
4180	Budget authority, net (total) .....	5
4190	Outlays, net (total) .....	5

The President's Budget proposes the transfer of the U.S. Office of Personnel Management (OPM) Office of Inspector General (OIG) to the General Services Administration Office of Inspector General. The proposal, contingent upon enactment of authorizing legislation, envisions a consolidated Office of Inspector General with a total budgetary authority of \$98 million.

This appropriation funds the OPM OIG's efforts to protect the integrity of OPM's programs and operations. The OPM OIG's audits, investigations, evaluations, and administrative sanctions program serve to prevent and detect fraud, waste, abuse, and mismanagement. The OPM OIG's 2020 Budget request reflects the proposed merger of OPM and the General Services Administration (GSA), consistent with OMB's *Delivering Government Solutions in the 21st Century: Reform Plan and Reorganization Recommendations*.

The OPM OIG's Office of Audits conducts audits of OPM programs and operations. The Office of Audits issued 48 audit reports in 2018, with questioned costs totaling over \$109 million. The majority of the Office of Audits' work involves the Federal Employees Health Benefits Program (FEHBP), auditing the health insurance carriers and the pharmacy benefit managers that contract with OPM. In addition, the Office of Audits focuses on other key OPM benefits programs, including the Federal retirement program, the Federal Employees' Group Life Insurance Program, the Federal Employee Dental and Vision Insurance Program, the Federal Long Term Care Insurance Program, and the Federal Flexible Spending Accounts. The OPM OIG also conducts information systems audits that cover general and application controls and security within OPM information systems and programs as well as OPM contractor systems, such as those of FEHBP insurance carriers. One key project is to provide ongoing oversight of OPM's information technology (IT) modernization efforts, including a data center consolidation and potential mainframe migrations. The OPM OIG's long-standing expertise in these areas has been recognized and endorsed by the Congress. The OPM OIG's continued oversight of this project is essential to the IT security posture of OPM, its systems, and the highly sensitive data contained in these systems. The Office of Audits also conducts audits of OPM revolving fund programs and operations, and the Office of Audits is responsible for the oversight of the OPM financial statement audit, which is conducted by an independent public accounting firm.

The OPM OIG's Office of Investigations detects and investigates improper and illegal activities involving OPM programs, personnel, and operations. The Office of Investigations is a statutory law enforcement organization, with the authority to carry firearms, issue subpoenas, and to seek and execute both search and arrest warrants. In 2018, the OPM OIG's activities led to 65 arrests, 88 indictments/informations, and 54 criminal convictions, resulting in over \$25 million in recoveries. In addition, the Office of Investigations partnered with the U.S. Department of Justice (DOJ) and other Federal, state, and local law enforcement agencies to investigate and collect fines, penalties, and forfeitures to the Federal Government totaling over \$888 million. Based on the evidence gathered during OPM OIG investigations, the Office of Investigations pursues appropriate remedies, including referrals to the DOJ for criminal prosecutions or civil action, and/or referral to OPM or to the FEHBP Administrative Sanctions program. The Office of Investigations also investigates allegations of fraud against OPM programs, such as the FEHBP, the Civil Service and Federal Employees Retirement Systems, and the National Background Investigative Bureau (NBIB). When appropriate, the Office of Investigations also conducts investigations of OPM internal operations and employee and contractor misconduct. The OPM OIG's Office of Evaluations conducts nationwide

studies of OPM programs from a broad, issue-based perspective, as well as evaluations of specific areas of operation and matters of urgent concern. The Office of Evaluations conducts special reviews in response to Congressional requests for studies or information that may require immediate attention and OPM management requests for independent assessments. Evaluators in this office use a variety of methods and techniques to evaluate and assess an OPM operation or concern to develop recommendations for OPM management, the Congress, and the public.

Finally, the OPM OIG FEHBP Administrative Sanctions program debars and suspends health care providers whose loss of licensure or conduct may pose a health and safety risk to FEHBP enrollees and their families or a financial threat to the FEHBP. In 2018, the OPM OIG was responsible for 914 suspensions and debarments within the FEHBP.

In January 2014, the Congress passed the OPM IG Act (P.L. 113–80). This legislation has provided the necessary funding for the OPM OIG to audit, investigate, and provide other oversight of the activities of the OPM revolving fund programs and operations. Although NBIB will transfer to the Department of Defense, the OPM OIG will require continued funding from OPM's Revolving Fund to ensure the continuity of law enforcement investigations of NBIB activities that began prior to the NBIB transition. These investigations could include yet unreported criminal allegations involving OPM.

**Object Classification** (in millions of dollars)

Identification code 047–0108–2–1–804	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....		3
12.1	Civilian personnel benefits .....		1
23.3	Communications, utilities, and miscellaneous charges .....		1
99.0	Direct obligations .....		5
99.0	Reimbursable obligations .....		25
99.9	Total new obligations, unexpired accounts .....		30

**Employment Summary**

Identification code 047–0108–2–1–804	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		19
2001	Reimbursable civilian full-time equivalent employment .....		128

**ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS**

*For carrying out the provisions of the Act of August 25, 1958 (3 U.S.C. 102 note), and Public Law 95–138, §3,851,112.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047–0105–0–1–802	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Allowances, pensions, and office staff .....	4	5	4
<b>Budgetary resources:</b>				
<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation .....	5	5	4
1930	Total budgetary resources available .....	5	6	5
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
<b>Unpaid obligations:</b>				
3010	New obligations, unexpired accounts .....	4	5	4
3020	Outlays (gross) .....	-4	-5	-4

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS—Continued  
**Program and Financing—Continued**

Identification code 047-0105-0-1-802	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	5	5	4
Outlays, gross:			
4010 Outlays from new discretionary authority	4	5	4
4180 Budget authority, net (total)	5	5	4
4190 Outlays, net (total)	4	5	4

This appropriation provides pensions, office staff, and related expenses for former Presidents Jimmy Carter, William Clinton, George W. Bush, and Barack Obama.

**Object Classification** (in millions of dollars)

Identification code 047-0105-0-1-802	2018 actual	2019 est.	2020 est.
Direct obligations:			
13.0 Benefits for former Presidents	2	1	1
23.1 Rental payments to GSA	2	2	2
99.0 Direct obligations	4	3	3
99.5 Adjustment for rounding		2	1
99.9 Total new obligations, unexpired accounts	4	5	4

EXPENSES, PRESIDENTIAL TRANSITION

**Program and Financing** (in millions of dollars)

Identification code 047-0107-0-1-802	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation provides for an orderly transfer of Executive leadership in accordance with the Presidential Transition Act of 1963, as amended. New appropriations are generally requested only in Presidential election years.

PRE-ELECTION PRESIDENTIAL TRANSITION

For activities authorized by the Pre-Election Presidential Transition Act of 2010 (Public Law 111-283), not to exceed \$9,620,000, to remain available until September 30, 2021: Provided, That such amounts may be transferred to "Acquisition Services Fund" or "Federal Buildings Fund" to reimburse obligations incurred for the purposes provided herein in fiscal years 2019 and 2020: Provided further, That amounts made available under this heading shall be in addition to any other amounts available for such purposes.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 047-0603-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Pre-Election Transition			10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			10

1930 Total budgetary resources available			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			10
3020 Outlays (gross)			-8
3050 Unpaid obligations, end of year			2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			10
Outlays, gross:			
4010 Outlays from new discretionary authority			8
4180 Budget authority, net (total)			10
4190 Outlays, net (total)			8

In accordance with the Pre-Election Transition Act of 2010, the Pre-Election Presidential Transition appropriation will enable GSA to provide suitable office space for Pre-Election transition activities, acquire communication services and information technology equipment, and for printing and supplies associated with the transition. New appropriations are generally requested only the year before a Presidential election year.

**Object Classification** (in millions of dollars)

Identification code 047-0603-0-1-802	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.1 Rental payments to GSA			1
25.1 Advisory and assistance services			2
25.3 Other goods and services from Federal sources			3
31.0 Equipment			4
99.0 Direct obligations			10
99.9 Total new obligations, unexpired accounts			10

ACQUISITION WORKFORCE TRAINING FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-5381-0-2-804	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	2	1	5
Receipts:			
Current law:			
1140 Acquisition Workforce Training Fund	8	9	9
2000 Total: Balances and receipts	10	10	14
Appropriations:			
Current law:			
2101 Acquisition Workforce Training Fund	-9	-5	-7
5099 Balance, end of year	1	5	7

**Program and Financing** (in millions of dollars)

Identification code 047-5381-0-2-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Acquisition Workforce Training	9	11	11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	14	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	9	5	7
1930 Total budgetary resources available	23	19	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	8	4
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	1	1	1
1953 Expired unobligated balance, end of year	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	6	9
3010 New obligations, unexpired accounts	9	11	11

3020	Outlays (gross) .....	-13	-8	-5
3050	Unpaid obligations, end of year .....	6	9	15
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	10	6	9
3200	Obligated balance, end of year .....	6	9	15
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	9	5	7
Outlays, gross:				
4101	Outlays from mandatory balances .....	13	8	5
4110	Outlays, gross (total) .....	13	9	11
4180	Budget authority, net (total) .....	9	5	7
4190	Outlays, net (total) .....	13	8	5

The Acquisition Workforce Training Fund (AWTF) is a permanent, indefinite appropriation providing a stable source of funds to train the Federal civilian acquisition workforce. The AWTF is financed through a credit of five percent of the fees collected from non-Department of Defense activities by the General Services Administration (GSA) and other civilian agencies that manage Government-wide Acquisition Contracts (GWACs), Multiple Award Schedules (MAS) contracts, and other multi-agency contracts. Receipts are available for expenditure in the fiscal year collected, in addition to the two following fiscal years. The AWTF is managed by the Federal Acquisition Institute (FAI) at GSA, in consultation with the White House Office of Federal Procurement Policy, and the FAI Board of Directors.

**Object Classification** (in millions of dollars)

Identification code 047-5381-0-2-804	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.1	Advisory and assistance services .....	3	4	4
25.3	Other goods and services from Federal sources .....	6	7	7
99.9	Total new obligations, unexpired accounts .....	9	11	11

**ENVIRONMENTAL REVIEW IMPROVEMENT FUND**

For necessary expenses of the Environmental Review Improvement Fund established pursuant to section 41009 of the Fixing America's Surface Transportation Act (42 U.S.C. 4370m-8(d)), \$7,100,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-5640-0-2-808	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....		
Receipts:			
Current law:			
1130	Environmental Review Improvement Fees .....	1	2
2000	Total: Balances and receipts .....	1	2
Appropriations:			
Current law:			
2101	Environmental Review Improvement Fund .....	-1	-2
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 047-5640-0-2-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Environmental Review Improvement .....	2	9
0801	Reimbursable program activity .....	2	
0900	Total new obligations, unexpired accounts .....	4	9

**Budgetary resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1	1
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	1	

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	7
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....		1	2
Spending authority from offsetting collections, mandatory:				
1800	Collected .....		2	
1900	Budget authority (total) .....	1	4	9
1930	Total budgetary resources available .....	1	5	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....		4	9
3020	Outlays (gross) .....		-4	-8
3050	Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			1

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1	1	7
Outlays, gross:				
4010	Outlays from new discretionary authority .....		1	6
Mandatory:				
4090	Budget authority, gross .....		3	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....		3	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....		-2	
4180	Budget authority, net (total) .....	1	2	9
4190	Outlays, net (total) .....		2	8

This appropriation supports the authorized activities of the Environmental Review Improvement Fund and the Federal Permitting Improvement Steering Council (Permitting Council) established under Title XLI of the Fixing America's Surface Transportation (FAST) Act of 2015 (Public Law 114-94). The Permitting Council leads ongoing Government-wide efforts to modernize the Federal environmental review and permitting process for major infrastructure projects and works with Federal agency partners to implement and oversee adherence to the statutory requirements set forth in Title 41 of the Fixing America's Surface Transportation (FAST-41). FAST-41 is a voluntary program for large, complex infrastructure projects that provides oversight, strengthens cooperation and communication among permitting agencies, enhances transparency for the project sponsor and other stakeholders, and emphasizes concurrent permit processing for covered infrastructure projects. Projects receive these benefits without modifying or undermining any underlying federal statutes or regulations, or the status of any mandatory reviews.

**Object Classification** (in millions of dollars)

Identification code 047-5640-0-2-808	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent .....	1	2
11.8	Special personal services payments .....		1
11.9	Total personnel compensation .....	1	3
25.1	Advisory and assistance services .....		2
25.3	Other goods and services from Federal sources .....	2	4
99.0	Direct obligations .....	3	9
99.0	Reimbursable obligations .....	1	
99.9	Total new obligations, unexpired accounts .....	4	9

**Employment Summary**

Identification code 047-5640-0-2-808	2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	5	12

FEDERAL CITIZEN SERVICES FUND

(INCLUDING TRANSFERS OF FUNDS)

For expenses authorized by 40 U.S.C. 323 and 44 U.S.C. 3604; and for expenses authorized by law, not otherwise provided for, in support of interagency projects that enable the Federal Government to enhance its ability to conduct activities electronically, through the development and implementation of innovative uses of information technology; \$58,400,000, to be deposited into the Federal Citizen Services Fund: Provided, That the previous amount was transferred to Federal agencies to carry out the purpose of the Federal Citizen Services Fund: Provided further, That the appropriations, revenues, reimbursements, and collections deposited into the Fund shall be available until expended for necessary expenses of Federal Citizen Services and other activities that enable the Federal Government to enhance its ability to conduct activities electronically: Provided further, That the transfer authority provided herein shall be in addition to any other transfer authority provided in this Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 047-4549-0-4-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Products and Programs .....	49	43	51
0003 Digital Services .....	4	7	7
0799 Total direct obligations .....	53	50	58
0802 Federal Citizen Services Fund (Reimbursable) .....	3	7	7
0900 Total new obligations, unexpired accounts .....	56	57	65
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	24	20	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	50	50	58
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	7	7
1900 Budget authority (total) .....	52	57	65
1930 Total budgetary resources available .....	76	77	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	19	5
3010 New obligations, unexpired accounts .....	56	57	65
3020 Outlays (gross) .....	-56	-71	-63
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3050 Unpaid obligations, end of year .....	19	5	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	17	3
3200 Obligated balance, end of year .....	17	3	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	52	57	65
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	47	53
4011 Outlays from discretionary balances .....	19	24	10
4020 Outlays, gross (total) .....	56	71	63
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-7	-7
4180 Budget authority, net (total) .....	50	50	58
4190 Outlays, net (total) .....	54	64	56
<b>Memorandum (non-add) entries:</b>			
5096 Unexpired unavailable balance, SOY: Appropriations .....	2	2	2
5098 Unexpired unavailable balance, EOY: Appropriations .....	2	2	2

The Federal Citizen Services Fund (FCSF) enables public access and engagement with Government through an array of public and agency facing products and programs. The FCSF initiatives helps individuals, businesses, other governments, and the media to easily interact with Federal information, services, benefits, and business opportunities. The Fund supports agency facing programs that drive Government-wide transformation efforts to secure digital Government through shared services, platforms and solutions. The fund also provides technical expertise to agencies to improve their operations and ultimately, the public's experience with Government in support of the President's Management Agenda and CAP Goals. FCSF supports extensive communities of practice that drive adoption and improvement of digital services, and help agencies develop and share best practices and training to address tactical needs. GSA will continue to use the FCSF to support Electronic Government initiatives that drive innovation in Government operations and improve the transparency, efficiency, and effectiveness of Federal operations and quality of Government services.

The FCSF funds many of the activities of the Office of Products and Programs, a division of the Technology Transformation Services (TTS) within GSA's Federal Acquisition Service. The FCSF appropriation provides for the salaries and expenses of staff and programs authorized by 40 U.S.C. 323 and 44 U.S.C. 3604. Other TTS programs not funded by FCSF are funded on a reimbursable basis by the Acquisition Services Fund (ASF). The ASF-funded portion of TTS includes the Office of 18F, the Office of Acquisitions, the Centers of Excellence, and the Presidential Innovation Fellows Program.

Object Classification (in millions of dollars)

Identification code 047-4549-0-4-376	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	8	9	10
11.9 Total personnel compensation .....	8	9	10
12.1 Civilian personnel benefits .....	3	3	3
25.1 Advisory and assistance services .....	21	27	36
25.3 Other goods and services from Federal sources .....	21	10	8
99.0 Direct obligations .....	53	49	57
25.1 Reimbursable obligations: Advisory and assistance services .....	3	7	7
99.0 Reimbursable obligations .....	3	7	7
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations, unexpired accounts .....	56	57	65

Employment Summary

Identification code 047-4549-0-4-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	67	70	71

WORKING CAPITAL FUND

For the Working Capital Fund of the General Services Administration, \$50,000,000, to remain available until expended, to be used for costs incurred transitioning Office of Personnel Management functions to the General Services Administration and for costs related to modernizing, upgrading, or replacing the Office of Personnel Management's information technology: Provided, That such funds may be transferred and credited to other accounts at the General Services Administration and the Office of Personnel Management in amounts necessary to reimburse costs incurred for the purposes provided herein: Provided further, That amounts made available under this heading shall be in addition to any other amounts available for such purposes.

Program and Financing (in millions of dollars)

Identification code 047-4540-0-4-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Lapsed Balances .....			10
0003 OPM Direct Appropriations .....			50
0799 Total direct obligations .....			60

0801	Working Capital Fund (Reimbursable)	693	736	724
0900	Total new obligations, unexpired accounts	693	736	784
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	100	96	82
1011	Unobligated balance transfer from other acct [047-0616]		27	9
1012	Unobligated balance transfers between expired and unexpired accounts	6		
1021	Recoveries of prior year unpaid obligations	23	10	10
1050	Unobligated balance (total)	129	133	101
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation			50
Spending authority from offsetting collections, discretionary:				
1700	Collected	659	685	700
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	660	685	700
1900	Budget authority (total)	660	685	750
1930	Total budgetary resources available	789	818	851
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	96	82	67

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	241	216	256
3010	New obligations, unexpired accounts	693	736	784
3020	Outlays (gross)	-695	-686	-767
3040	Recoveries of prior year unpaid obligations, unexpired	-23	-10	-10
3050	Unpaid obligations, end of year	216	256	263
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	237	211	251
3200	Obligated balance, end of year	211	251	258

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross	660	685	750
Outlays, gross:				
4010	Outlays from new discretionary authority	551	514	563
4011	Outlays from discretionary balances	144	172	204
4020	Outlays, gross (total)	695	686	767
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-659	-685	-700
4040	Offsets against gross budget authority and outlays (total)	-659	-685	-700
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)			50
4080	Outlays, net (discretionary)	36	1	67
4180	Budget authority, net (total)			50
4190	Outlays, net (total)	36	1	67

The Working Capital Fund (WCF) is a revolving fund that finances GSA's administrative services. These include, but are not limited to: information technology management, budget and financial management, legal services, human resources, equal employment opportunity services, procurement and contracting oversight, emergency planning and response, and facilities management of GSA-occupied space. This account also funds liaison activities with the U.S. Small Business Administration to ensure that small and disadvantaged businesses receive a fair share of the Agency's business. WCF offices also provide external administrative services such as human resource management for other Federal agencies including a number of small boards and commissions on a reimbursable basis.

**Object Classification** (in millions of dollars)

Identification code 047-4540-0-4-804	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1	Advisory and assistance services (Lapsed Balance)		10

25.1	Advisory and assistance services (OPM)			50
99.0	Direct obligations			60
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	215	232	227
11.3	Other than full-time permanent	1	3	3
11.5	Other personnel compensation	4		
11.9	Total personnel compensation	220	235	230
12.1	Civilian personnel benefits	87	84	88
13.0	Benefits for former personnel		1	1
21.0	Travel and transportation of persons	6	6	6
22.0	Transportation of things		1	1
23.1	Rental payments to GSA	35	39	32
23.3	Communications, utilities, and miscellaneous charges	18	22	23
25.1	Advisory and assistance services	203	232	226
25.2	Other services from non-Federal sources	2	4	3
25.3	Other goods and services from Federal sources	44	50	52
25.7	Operation and maintenance of equipment	4		
26.0	Supplies and materials	1	1	1
31.0	Equipment	73	61	61
99.0	Reimbursable obligations	693	736	724
99.9	Total new obligations, unexpired accounts	693	736	784

**Employment Summary**

Identification code 047-4540-0-4-804	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment	1,895	2,025	2,020

**OFFICE OF PERSONNEL MANAGEMENT**

The President's Budget for Fiscal Year 2020 reflects a full reorganization of the Office of Personnel Management. Some policy and workforce strategy functions will be elevated to the Executive Office of the President, and the conduct of background investigations will transfer to the Department of Defense. All remaining functions will transfer to the General Services Administration, as illustrated below. For additional information on the reorganization proposal, please consult the Reorganization Chapter of the *Analytical Perspectives* volume.

**Federal Funds**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF TRUST FUNDS)

(Legislative proposal, not subject to PAYGO)

*Contingent upon enactment of authorizing legislation to reorganize the Office of Personnel Management (OPM) and transfer certain of its functions to the General Services Administration (GSA), for necessary expenses to carry out functions formerly vested in OPM pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, \$132,809,000, of which \$1,068,000 may be used for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management, and of which \$9,000,000 shall remain available until expended for Trust Fund Federal Financial System migration or modernization, and shall be in addition to funds otherwise made available for such purposes; and in addition \$132,446,000 for administrative expenses, to be transferred from the appropriate trust funds of OPM's successor division at GSA without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs: Provided, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), 8958(f)(2)(A), 8988(f)(2)(A), and 9004(f)(2)(A) of title 5, United States Code: Provided further, That no part of this appropriation shall be available*

SALARIES AND EXPENSES—Continued

for salaries and expenses of the Legal Examining Unit of OPM's successor division at GSA established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: Provided further, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year 2020, accept donations of money, property, and personal services: Provided further, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission.

Program and Financing (in millions of dollars)

Identification code 047-0621-2-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Employee Services .....			31
0002 Merit System Audit & Compliance .....			13
0003 Office of the Chief Financial Officer .....			11
0004 Office of the Chief Information Officer .....			38
0005 Executive Services .....			11
0007 Health and Insurance .....			1
0008 Administrative Services and Centrally Financed .....			23
0009 Office of Strategy and Innovation .....			5
0100 Direct program activities, subtotal .....			133
0799 Total direct obligations .....			133
0801 Trust Fund Activity .....			132
0900 Total new obligations, unexpired accounts .....			265
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-0100] ....			16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....			133
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			132
1900 Budget authority (total) .....			265
1930 Total budgetary resources available .....			281
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			265
3020 Outlays (gross) .....			-260
3031 Unpaid obligations transferred from other accts [024-0100] .....			27
3050 Unpaid obligations, end of year .....			32
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts .....			-107
3090 Uncollected pymts, Fed sources, end of year .....			-107
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-75
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			265
Outlays, gross:			
4010 Outlays from new discretionary authority .....			248
4011 Outlays from discretionary balances .....			12
4020 Outlays, gross (total) .....			260
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-132
4180 Budget authority, net (total) .....			133
4190 Outlays, net (total) .....			128

tration while continuing to support Federal agencies in a manner that furthers merit-based system principles and administers employee benefit programs for Federal employees.

The functions and objectives of OPM's major organizations are:

**Employee Services.**—Develops human resource (HR) policies for Executive Branch agencies and provides policy direction and leadership in designing, developing, and promulgating Government-wide HR systems and programs for recruitment, staffing, classification, pay, leave, training, performance management and recognition, employee development, management of executive resources, work/life/wellness programs, and labor and employee relations.

**Merit System Accountability and Compliance.**—Ensures Federal agency HR programs are effective, efficient, and meet merit system principles and related civil service requirements by working directly with other Federal agency Chief Human Capital Officers, Accountability Program Managers, HR managers and specialists. It improves agency programs that are not in compliance with Federal HR policies and regulation; and improves the effectiveness and efficiency of the agency programs to meet agency mission and objectives.

**Retirement Services Program.**—Administers the Civil Service Retirement System and the Federal Employees Retirement System, serving Federal retirees and survivors who receive monthly annuity payments. Retirement Services Program will continue to focus on making initial eligibility determinations, adjudicating new retirements, initiating survivor benefit payments, and calculating post retirement changes due to disability and death.

**Healthcare & Insurance.**—Administers the Federal Employees Health Benefits Program, the Federal Employees' Group Life Insurance Program, the Federal Flexible Spending Account Program, the Federal Long Term Care Insurance Program, and the Federal Employee Dental and Vision Insurance Program. These programs provide a complete suite of insurance benefits for more than eight million Federal employees, retirees, and their families. Healthcare and Insurance is also responsible for implementing and overseeing the Patient Protection and Affordable Care Act's Multi-State Plan Options.

Object Classification (in millions of dollars)

Identification code 047-0621-2-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			52
11.5 Other personnel compensation .....			1
11.9 Total personnel compensation .....			53
12.1 Civilian personnel benefits .....			18
21.0 Travel and transportation of persons .....			1
23.3 Communications, utilities, and miscellaneous charges .....			13
25.2 Other services from non-Federal sources .....			47
31.0 Equipment .....			1
99.0 Direct obligations .....			133
99.0 Reimbursable obligations .....			132
99.9 Total new obligations, unexpired accounts .....			265

Employment Summary

Identification code 047-0621-2-1-805	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			786
2001 Reimbursable civilian full-time equivalent employment .....			782

The Office of Personnel Management's (OPM) mission is to lead and serve the Federal Government in enterprise human resources management by delivering policies and services to achieve a trusted, effective civilian workforce. OPM will lead the way in making the Federal Government the model employer by being the model agency in implementing best practices, leading by example, and becoming the change we want to see. The 2020 Budget will enable OPM to integrate with the General Services Adminis-

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0619-2-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government contribution for annuitants benefits (1959 Act) .....			14,135
0002 Government contribution for annuitants benefits (1960 Act) .....			1
0900 Total new obligations, unexpired accounts (object class 13.0) .....			14,136
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			14,136
1930 Total budgetary resources available .....			14,136
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			14,136
3020 Outlays (gross) .....			-14,136
3031 Unpaid obligations transferred from other accts [024-0206] .....			1,444
3050 Unpaid obligations, end of year .....			1,444
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,444
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			14,136
Outlays, gross:			
4100 Outlays from new mandatory authority .....			12,969
4101 Outlays from mandatory balances .....			1,167
4110 Outlays, gross (total) .....			14,136
4180 Budget authority, net (total) .....			14,136
4190 Outlays, net (total) .....			14,136

This appropriation covers: 1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; 2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960 (the Act); and 3) the Government's contribution for payment of administrative expenses incurred by the Office of Personnel Management in administration of the Act. The budget authority for this account recognizes the amounts being remitted by the Postal Service Retiree Health Benefits Fund to finance a portion of United States Postal Service annuitants' health benefit costs.

	2018 actual	2019 est.	2020 est.
FEHB .....	1,922,573	1,948,000	1,970,000
USPS annuitants (non-add) .....	428,042	420,000	420,000
REHB .....	134	111	91
Total, annuitants .....	1,922,707	1,948,111	1,970,091

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS  
(Legislative proposal, subject to PAYGO)

The President's 2020 Budget includes a package of proposals that will improve program efficiency, introduce more accountability and increase competition and choice: 1) Medical Liability Reform would potentially reduce the costs of medical liability and lower insurance premiums of the Federal Employee Health Benefit (FEHB) Program; and 2) modifying the Federal Government contribution rate for premiums to base it on a plan's score from the FEHB Plan Performance Assessment would improve healthcare quality and affordability within the program. The enactment of the proposals in 2020 will not begin to impact program financials until 2022.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0620-2-1-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct) .....			44
0900 Total new obligations, unexpired accounts (object class 25.2) .....			44
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			44
1930 Total budgetary resources available .....			44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			44
3020 Outlays (gross) .....			-44
3031 Unpaid obligations transferred from other accts [024-0500] .....			5
3050 Unpaid obligations, end of year .....			5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			44
Outlays, gross:			
4100 Outlays from new mandatory authority .....			38
4101 Outlays from mandatory balances .....			6
4110 Outlays, gross (total) .....			44
4180 Budget authority, net (total) .....			44
4190 Outlays, net (total) .....			44

Per Public Law 96-427, Federal Employees' Group Life Insurance Act of 1980, enacted October 10, 1980, this appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0617-2-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Payment of Government share of retirement costs .....			16,400
0003 Transfers for interest on unfunded liability and payment of military service annuities .....			27,000
0005 Spouse equity payment .....			49
0900 Total new obligations, unexpired accounts .....			43,449
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			27,000
1200 Appropriation .....			16,449
1260 Appropriations, mandatory (total) .....			43,449
1930 Total budgetary resources available .....			43,449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			43,449
3020 Outlays (gross) .....			-43,449
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			43,449
Outlays, gross:			
4100 Outlays from new mandatory authority .....			43,449

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued  
Program and Financing—Continued

Identification code 047-0617-2-1-805	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total)			43,449
4190 Outlays, net (total)			43,449

The Payment to the Civil Service Retirement and Disability Fund consists of an appropriation and a permanent indefinite authorization to pay the Government's share of retirement costs. The payment is made directly from the general fund of the U.S. Treasury into the Civil Service Retirement and Disability Fund and is in addition to appropriated funds that will be contributed from agency budgets.

**Current Appropriation Payment of Government share of retirement costs.**—The Civil Service Retirement Amendments of 1969 provides for an annual appropriation to amortize, over a 30-year period, all increases in Civil Service Retirement System costs resulting from acts of the Congress granting new or liberalized benefits, extensions of coverage, or pay raises, exclusive of the effects of cost-of-living adjustments. The Office of Personnel Management notifies the Secretary of the Treasury each year of such sums as may be necessary to carry out these provisions.

**Permanent Indefinite Authorization.**—Transfers for interest on static unfunded liability and payment of military service annuities. The Civil Service Retirement Amendments of 1969 also provides permanent, indefinite authorization for the Secretary of the Treasury to transfer, on an annual basis, an amount equal to five percent interest on the Civil Service Retirement and Disability Fund's current statutory unfunded liability, calculated based on static economic assumptions, and annuity disbursements attributable to credit for military service.

**Payments for Spouse Equity.**—The permanent, indefinite authorization also includes a payment which provides for the Secretary of the Treasury to transfer an amount equal to the annuities granted to eligible former spouses of annuitants who died between September 1978 and May 1985 who did not elect survivor coverage.

**Financing.**—The unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, may be paid out of the Civil Service Retirement and Disability Fund.

Object Classification (in millions of dollars)

Identification code 047-0617-2-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
12.1 Civilian personnel benefits			16,449
13.0 Benefits for former personnel			27,000
99.9 Total new obligations, unexpired accounts			43,449

FLEXIBLE BENEFITS PLAN RESERVE  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0618-2-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FSA FEDS Risk Reserve			16
0900 Total new obligations, unexpired accounts (object class 25.6)			16
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-0800]			65
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			21
1930 Total budgetary resources available			86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			70

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			16
3020 Outlays (gross)			-16

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			21
Outlays, gross:			
4100 Outlays from new mandatory authority			16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-1
4123 Non-Federal sources			-20
4130 Offsets against gross budget authority and outlays (total)			-21
4170 Outlays, net (mandatory)			-5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-5

Memorandum (non-add) entries:

5091 Unexpired unavailable balance, transfer from OPM: Offsetting collections			10
5092 Unexpired unavailable balance, EOY: Offsetting collections			10

This account contains reserve resources required under the Office of Personnel Management's (OPM) contract with the administrator of the Flexible Benefits program. This account is funded by payments from Federal agencies based on the participation of their employees in the program and from net forfeitures, as authorized by the National Defense Authorization Act for Fiscal Year 2004 (P.L. 108-136). Account assets are available to indemnify the administrator when benefit payments exceed contributions, for program enhancements, and for OPM's administration of the program. The reserve account balance currently exceeds that deemed necessary to defray reasonable risk, so account balances are also being used to mitigate Federal agencies' contractual costs for the program. Cost mitigation is projected to continue at least through 2020.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5720-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year			
Receipts:			
Proposed:			
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			3,652
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			3,652
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			-3,652
1240 Earnings on Investments, Postal Service Retiree Health Benefits Fund			1,155
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			976
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			4
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			-976
1299 Total proposed receipts			4,811
1999 Total receipts			4,811
2000 Total: Balances and receipts			4,811
Appropriations:			
Proposed:			
2201 Postal Service Retiree Health Benefits Fund			-1,155
2203 Postal Service Retiree Health Benefits Fund			-2,506
2299 Total proposed appropriations			-3,661
2999 Total appropriations			-3,661
5098 Transfer from OPM			44,824

5099 Balance, end of year ..... 45,974

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-5720-2-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Obligations to FEHB Fund .....			3,661
0900 Total new obligations, unexpired accounts (object class 13.0) .....			3,661
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			1,155
1203 Appropriation (previously unavailable) .....			2,506
1260 Appropriations, mandatory (total) .....			3,661
1930 Total budgetary resources available .....			3,661
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			3,661
3020 Outlays (gross) .....			-3,661
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			3,661
Outlays, gross:			
4100 Outlays from new mandatory authority .....			3,661
4180 Budget authority, net (total) .....			3,661
4190 Outlays, net (total) .....			3,661
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			42,318

The Postal Accountability and Enhancement Act (P.L. 109-435) created the Postal Service Retiree Health Benefits Fund to help fully fund the United States Postal Service's (USPS) retiree (annuitant) health benefits liabilities.

This account receives from USPS: 1) the pension savings provided to USPS by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) payments defined within Public Law 109-435, and modified by Public Law 111-68, to begin the liquidation of USPS's unfunded liability for post-retirement health benefits; and 3) beginning in 2017, payments for the actuarial cost of USPS contributions for the post-retirement health benefits for its current employees. This account also receives any surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System to current or former employees of USPS that are attributable to civilian employment with USPS.

As a result of this health benefits financing system, beginning in 2017, USPS ceased to pay annual premium costs for its post-1971 current annuitants directly to the Employees and Retired Employees Health Benefits Fund. Instead, these premium payments are paid from balances of this account. Payments for a proportion of the premium costs of USPS annuitants' pre-1971 service continues to be paid by the general fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Under the Postal Accountability and Enhancement Act, USPS was required to make a stream of payments set in statute through 2016 toward paying down retiree health benefit unfunded liabilities, as well as pay annual Federal Employees Health Benefits Program premiums for current retirees. Also under current law, starting in 2017, USPS must pay the per capita accruing costs (or normal cost) to fund future retiree health benefits of current employees and a 40-year amortization of the remaining unfunded liability for current retirees. The Budget reflects that USPS defaulted on the statutorily required payments since 2012. These defaults are not factored into the 40-year amortization schedule starting in 2017, but remain on

USPS's financial statements in each year as outstanding liabilities. The 2020 Budget assumes USPS will continue to default on the statutorily required amortization payments in 2019 and beyond, as well as not financing the per capita accruing cost.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

Outlays from the Postal Service Retiree Health Benefits Fund would decrease under proposals in the 2020 Budget that impact the cost and cost sharing structure of health insurance in the Federal Employees Health Benefits Program (FEHBP). If these proposals are enacted in 2020, they will begin to financially impact the FEHBP, and thus the Postal Service Retiree Health Benefits Fund in 2022.

REVOLVING FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-4615-2-4-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Human Resources Solutions .....			232
0802 National Background Investigations Bureau (NBIB) .....			547
0803 Human Resources Tools & Technology (HRTT) .....			66
0804 Enterprise Human Resources integration .....			41
0806 Suitability Executive Agent .....			8
0807 Human Resource Line of Business (HRLob) .....			3
0808 Inspector General Activities .....			2
0900 Total new obligations, unexpired accounts .....			899
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-4571] ....			1,261
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			350
1930 Total budgetary resources available .....			1,611
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			712
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			899
3020 Outlays (gross) .....			-2,054
3031 Unpaid obligations transferred from other accts [024-4571] .....			1,181
3050 Unpaid obligations, end of year .....			26
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts .....			-738
3090 Uncollected pymts, Fed sources, end of year .....			-738
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-712
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			350
Outlays, gross:			
4100 Outlays from new mandatory authority .....			350
4101 Outlays from mandatory balances .....			1,704
4110 Outlays, gross (total) .....			2,054
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-350
4180 Budget authority, net (total) .....			1,704
4190 Outlays, net (total) .....			1,704

*Budget Program.*—The Office of Personnel Management (OPM) is authorized to use revolving funds without fiscal year limitations to conduct investigations, training, and other functions that OPM is authorized or required to perform on a reimbursable basis. OPM operates several programs, which are funded by fees or reimbursement payments collected from other agencies and other payments. These currently include the National Back-

## REVOLVING FUND—Continued

ground Investigations Bureau (NBIB), which operates OPM's background investigations program, and the Human Resources Solutions (HRS), under which OPM provides services, either directly or through private sector partners, on various human resources issues. The following programs are currently authorized to use revolving funds: National Background Investigations Bureau (NBIB), Suitability Executive Agent (SuitEA), Human Resources Solutions (HRS)—including USAJOBS, Enterprise Human Resources Data Warehouse (EHRD), Human Resources Line of Business (HRLoB), and Human Resources Tools and Technology (HRTT).

*National Background Investigations Bureau.*—NBIB provides personnel background investigative services on a fee-for-service basis to assist its Federal agency customers in determining individuals' suitability and fitness for Federal civilian, military, and contract employment, eligibility for logical and physical access to agency systems and facilities, and eligibility for access to classified national security information or to hold a national security sensitive position. Effective in 2020, NBIB will move from OPM to the Department of Defense (DOD). The National Defense Authorization Act (NDAA) for Fiscal Year 2018 (P.L. 115–91), section 925, stated that the Secretary of Defense has the authority to conduct all types of background investigations for DOD personnel and mandated that, not later than October 1, 2020, the Secretary of Defense shall commence carrying out its background investigations implementation plan developed pursuant to 951(a)(1) of the 2017 NDAA (P.L. 114–328). In June 2018, the Administration released *Delivering Government Solutions in the 21st Century: Reform Plan and Reorganization Recommendations*, which identified its intent to keep the NBIB background investigation mission together, subsequently realigning the entire program from OPM to DOD. OPM, DOD, and NBIB, among other stakeholders, are working collaboratively to ensure continued efficient and effective delivery of high quality background investigation products and services to the Federal Government during the move from OPM to DOD. In 2020, financial activity of NBIB in this account represents work performed to complete investigation requests received prior to October 1, 2019.

*Suitability Executive Agent.*—SuitEA was established as a distinct program office within OPM in December 2016 to strengthen the effectiveness of suitability vetting across the Government by providing a focal point within OPM for leadership, process improvement, and modernization while continuing to perform adjudicative operations benefiting Federal agencies. SuitEA carries out its responsibilities through a policy office responsible for business transformation and modernization of the Government-wide suitability program, supported by an adjudicative operations staff that takes Government-wide action to promote the efficiency and protect the integrity of Federal agencies' operations, and an oversight team that conducts assessments of Federal agencies' performance and makes recommendations for improvement. SuitEA will continue to work with the Performance Accountability Council and the Office of the Director of National Intelligence as the Security Executive Agent to enhance standards for suitability, fitness, and credentialing (SSC) vetting. SuitEA also develops and offers reimbursable, suitability training programs that are compliant with the National Training Standards for Suitability Adjudicators. SuitEA operates a suitability hotline and email box to provide customer support on technical and interpretative matters related to suitability. As the background investigation systems are rebuilt or built new by the Department of Defense, and OPM enhances or builds out Human Resource systems, SuitEA will provide requirements to meet the needs of SSC programs, promote accessibility to shared service offerings and improve information flow to meet the needs of Federal agencies. SuitEA does not anticipate that any of the aforementioned activities will cease due to the move of NBIB-related work to the Department of Defense. These activities and functions will continue to be necessary in 2020 to carry out the responsibilities of the Suitability Executive Agent.

*Human Resources Solutions.*—HRS is a reimbursable services organization offering a complete range of tailored and standardized human resources

products and services designed to meet the unique and dynamic needs of the Federal Government. HRS directly supports OPM's charge of ensuring the Federal Government has an effective civilian workforce via "by Government, for Government" human capital solutions. HRS will continue to provide customer agencies with innovative and competitive, high quality Government-to-Government solutions designed to assist them in attracting and building a high quality public sector workforce, developing effectual leaders and achieving sustainable results. USAJOBS is now located within HRS. The technology and program operation USAJOBS offers Federal agencies and job seekers is a modern platform to support online recruitment, marketing, and a job application solution. HRS plans to complete the USA Staffing upgrade transition. With 99 percent of all job announcements being posted to the new system, only a handful of unique hiring processes operate under the legacy system, and plans are under way to migrate those processes to the new system. HRS is comprised of five program areas operating under two major reimbursable offerings (Government provided and third-party contractor). These program areas are as follows: the Center for Leadership Development, the Federal Staffing Center, HR Strategy and Evaluation Solutions, Human Capital Industry Solutions, and the Center for Management Services (HRS Support Programs).

The Human Resources Tools and Technology Program Management Office (HRS IT PMO or PMO) provides technology support in the form of information technology (IT) systems development and hosting, supplying both internal and external customers a wide variety of information technology services in the human resources arena. The PMO is comprised of lines of business (LOBs) including OPM's Human Resources Solutions, Employee Self Service Systems, and other HRIT and Hosting Support. All of its lines of business contain IT systems that span the HR life cycle and allow the program to sustain itself financially.

HRLOB provides an essential leadership role in the consolidation of agency personnel action processing, benefits management, and payroll systems into HRLOB Shared Service Centers.

The EHRD is comprised of two programs, the electronic Official Personnel Folder (eOPF) and the Enterprise Human Resources Integration Data Warehouse (EHRIDW). These two programs support the E-Government initiative designed to leverage the benefits of information technology. The goal of these two programs is to streamline and automate the collection, aggregation, and sharing of Federal employee HR, payroll, and training information Government-wide. The investment broadly supports the OPM mission by enabling the agency to provide the Federal HR community with access to employee data to improve workforce planning for hiring, skills development, retention strategies and Government-wide policy.

*Inspector General Activities.*—The OPM IG Act (the Act) (P.L. 113–80) extends permitted uses of the Revolving Fund to include financing the cost of audits, investigations, and oversight activities of OPM's Office of the Inspector General. The Act limits the amount of revolving fund resources available to the Office of the Inspector General each year to 0.33 percent of the total budgetary authority estimated for the fund in the year.

*Financing.*—OPM's Revolving Fund account gains spending authority from agreements with other Federal agencies who are seeking the following services: HRS provides a multitude of HR services to other Federal agencies, which include consulting services, training, staffing programs, vendor management, and administrative law judge services. Individual pricing and fee structures for HRS offerings differ because the business models for each of its products and services vary. NBIB offers its Federal customers investigations based on five tiers with an Expandable Focused Investigation model at each tier. The newly established tiered approach increases transparency and clarity into the type of investigation being completed. The price of each type of investigation varies based on the estimated fieldwork and time it will take to complete. Prices are determined and justified using a cost allocation model. The significant cost drivers that impact pricing considerations include Federal and contracted investigative fieldwork, third-party search fees, the accuracy of workload projections, policy changes, and major infrastructure upgrades. SuitEA and CredEA funding

is factored into NBIB pricing and budgeted by the background investigation customers. EHRD provides two primary service offerings on a fee-for-service basis: the eOPF, including deployment and hosting services, and a suite of analytical tools enabling agencies to perform workforce analysis and forecasting. EHRD provides customized eOPF systems to other agencies at additional cost, in which the customer pays for ongoing eOPF maintenance. The pricing structure for eOPF maintenance is a fixed price per license (i.e., electronic folder) and is based on the number of active users at the customer agency. The HRLOB has established public and private Shared Service Centers to provide technology solutions to support multiple agencies with HR IT and HR services and is financed in part by agency contributions from partner agencies.

**Operating Results.**—In 2018, OPM's Revolving Fund businesses revenue total was \$1.862 billion and the expenses total was \$1.595 billion which provided a net gain on operations of \$268 million. The cumulative net position of the fund is a positive \$440 million.

1240	General Fund Payment to the Civil Service Retirement and Disability Fund .....	43,449
1240	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	35
1299	Total proposed receipts .....	108,738
1999	Total receipts .....	108,738
2000	Total: Balances and receipts .....	108,738
Appropriations:		
Proposed:		
2201	Civil Service Retirement and Disability Fund .....	-97
2201	Civil Service Retirement and Disability Fund .....	-108,669
2203	Civil Service Retirement and Disability Fund .....	-7
2234	Civil Service Retirement and Disability Fund .....	17,013
2299	Total proposed appropriations .....	-91,760
2999	Total appropriations .....	-91,760
5098	Transfer from OPM .....	931,377
5099	Balance, end of year .....	948,355

**Object Classification** (in millions of dollars)

Identification code 047-4615-2-4-805	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....			75
11.5 Other personnel compensation .....			6
11.9 Total personnel compensation .....			81
12.1 Civilian personnel benefits .....			23
21.0 Travel and transportation of persons .....			5
23.1 Rental payments to GSA .....			8
23.3 Communications, utilities, and miscellaneous charges .....			17
24.0 Printing and reproduction .....			1
25.2 Other services from non-Federal sources .....			754
26.0 Supplies and materials .....			1
31.0 Equipment .....			9
99.9 Total new obligations, unexpired accounts .....			899

**Employment Summary**

Identification code 047-4615-2-4-805	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			682

**Trust Funds**

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 047-8583-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Proposed:			
1210 Employee Contributions, Civil Service Retirement and Disability Fund .....			4,458
1210 Employee Contributions, Civil Service Retirement and Disability Fund .....			-33
1210 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....			31
1210 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....			692
1240 Employing Agency Contributions, Civil Service Retirement and Disability Fund .....			32,010
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....			3,834
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....			958
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....			-958
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....			1,440
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....			-1,440
1240 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....			237
1240 Treasury Interest, Civil Service Retirement and Disability Fund .....			24,025

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 047-8583-2-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Annuities .....			91,274
0002 Refunds and death claims .....			387
0003 Administration - operations .....			90
0004 Transfer to MSPB .....			2
0005 Administration - OIG .....			5
0900 Total new obligations, unexpired accounts .....			91,758
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-8135] ....			8
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....			97
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			108,669
1203 Appropriation (previously unavailable) .....			7
1234 Appropriations precluded from obligation .....			-17,013
1260 Appropriations, mandatory (total) .....			91,663
1900 Budget authority (total) .....			91,760
1930 Total budgetary resources available .....			91,768
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			91,758
3020 Outlays (gross) .....			-91,473
3031 Unpaid obligations transferred from other acct [024-8135] .....			8,006
3050 Unpaid obligations, end of year .....			8,291
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			8,291
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			97
Outlays, gross:			
4010 Outlays from new discretionary authority .....			97
Mandatory:			
4090 Budget authority, gross .....			91,663
Outlays, gross:			
4100 Outlays from new mandatory authority .....			82,502
4101 Outlays from mandatory balances .....			8,874
4110 Outlays, gross (total) .....			91,376
4180 Budget authority, net (total) .....			91,760
4190 Outlays, net (total) .....			91,473
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			956,066

CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued

The Civil Service Retirement and Disability Fund (CSRDF) is the oldest and largest of the four trust funds administered by the Office of Personnel Management. The fund is financed and structured very differently from the other three trust funds. It is characterized by permanent indefinite budget authority. Budget authority is the authority to incur obligations and pay expenses which become available to an agency during any fiscal year. Once approved, permanent budget authority is permanently available for all future years. Indefinite budget authority is used when the precise amount of budget authority required cannot be forecast in advance and must thus be determined at some future point in time (e.g., when actual receipts and expenses become known).

The CSRDF covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) established on May 22, 1920, and the Federal Employees Retirement System (FERS) established on June 6, 1986. The Retirement Fund is a single plan even though there are two different benefit tiers and funding methods. CSRS is largely a defined benefit plan, covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes the Thrift Savings Plan (TSP). FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

The Budget proposes that the United States Patent and Trademark Office (PTO) continue to fund the full retirement benefits cost for PTO's employees covered under CSRS.

**Financing.**— CSRS has been financed under a statutory funding method passed by the Congress in 1969. This funding method is based on the static economic assumptions of no future inflation, no future General Schedule salary increases, and a 5.0 percent interest rate. Under CSRS, regular employees contribute 7.0 percent of pay. Law enforcement officers, firefighters, and congressional employees contribute an extra 0.5 percent of pay, and members of the Congress an extra 1.0 percent of pay. Non-United States Postal Service (USPS) agencies match the employee contributions. Also under the static funding method for CSRS, the Treasury pays interest on any static unfunded liabilities that are not being financed by USPS. The Treasury also makes payments to amortize, over a 30-year period, any increases in the static unfunded liability due to salary increases for non-USPS (non-Postal) employees that occurred during the year, and pays for the cost of any benefits attributable to military service for both Postal and non-Postal employees that were paid out during the year.

FERS is funded under a dynamic entry age normal funding method. Employees and agencies together contribute the full amount of the dynamic normal cost rate. The normal cost rate is for the defined benefit plan only, and does not include the cost of Social Security or the TSP. FERS regular employees contribute a percentage of salary that is equal to the contribution rate for CSRS employees—7.0 percent, as set forth above, less the 6.2 percent tax rate under the Old-Age, Survivors and Disability Insurance portion of Social Security. Under FERS, the dynamic normal cost rates are as follows: for regular employees hired before 2013, the rate is 14.5 percent of pay (employee's share of 0.8 percent and employer's share of 13.7 percent); for regular employees hired during 2013 (known as FERS RAE/Revised Annuity Employee), the rate is 15.0 percent of pay (employee's share of 3.1 percent and employer's share of 11.9 percent); the Bipartisan Budget Act of 2013 included a provision to increase the normal cost rate of employee's contribution to FERS for individuals hired after 2013 and to maintain the employer's contribution rate at its current normal cost rate. Any contributions in excess of the amount necessary to satisfy FERS normal cost percentage will be credited to the assets of the fund, thereby reducing the unfunded liability. For regular employees hired after 2013 (known as FERS FRAE/Further Revised Annuity Employee), the rate is 15.1 percent of pay (employee's share of 4.4 percent and employer's share of 11.9 percent).

Effective in 2020, there will be a change in the normal cost rates for Postal FERS Employee/Employer Contributions and non-Postal FERS Employer Contributions. The Board of Actuaries met on June 1, 2017, and recommended subsequent changes to the actuarial economic assumptions for non-Postal agencies, and due to revised regulation, established a separate demographic, economic assumptions for USPS. For regular FERS non-Postal employees (other than RAE and FRAE), the normal cost rate will be 16.8 percent of pay (employee's share, 0.8 percent, and employer's share, 16.0 percent). Regular FERS Postal employees will be 15.5 percent of pay (employee's share, 0.8 percent, and employer's share, 14.7 percent). For FERS RAE non-Postal employees, the normal cost rate will be 17.3 percent of pay (employee's share, 3.1 percent, and employer's share, 14.2 percent). FERS RAE Postal employees will be 15.9 percent of pay (employee's share, 3.1 percent, and employer's share, 12.8 percent). For FERS FRAE non-Postal employees, the normal cost rate will be 17.5 percent of pay (employee's share, 4.4 percent, employer's share, 14.2 percent, and excess of 1.1 percent). FERS FRAE Postal employees will be 16.1 percent of pay (employee's share, 4.4 percent, and employer's share, 11.7 percent).

Under the Postal Accountability and Enhancement Act (P.L. 109-435), USPS must make annual amortization payments beginning in 2017 to reduce any unfunded liability (UFL) for its obligations under CSRS. These payments, along with similar amortization payments for UFL in FERS are paid to CSRDF.

	2018 actual	2019 est.	2020 est.
Active employees .....	2,476,644	2,445,000	2,415,000
Annuitants:			
Employees .....	2,169,019	2,201,000	2,232,000
Survivors .....	528,927	525,000	522,000
Total, annuitants .....	2,697,946	2,726,000	2,754,000

**Object Classification** (in millions of dollars)

Identification code 047-8583-2-7-602	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....			97
42.0 Insurance claims and indemnities .....			91,274
44.0 Refunds .....			387
99.9 Total new obligations, unexpired accounts .....			91,758

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 047-8583-4-7-602	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			1,861
3050 Unpaid obligations, end of year .....			1,861
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1,861
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....			-1,861
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-1,861

The 2020 Budget proposes four legislative changes to the Civil Service Retirement and Disability Fund (CSRDF) generating Government-wide savings: 1) utilize a high-5 average salary instead of a high-3 in the computation of new Federal Employees Retirement System (FERS) annuities; 2) eliminate the special annuity supplement for new FERS retirees who do not meet the Social Security minimum retirement age; 3) eliminate the Cost of Living Adjustment (COLA) for FERS retirees and reduce the COLA for Civil Service Retirement System retirees by 0.5 percent; and 4) equalize the employee and employer share of contributions to FERS, changing contribution rates by one percent per year until contributions from the

employer and employee shares combined reach the normal cost level. If enacted, these changes would reduce the amount of outlays from the CSRDF for annuity payments, and transfer more of the cost of financing these benefits to employees. In addition, the Budget proposes to provide new Federal term employees with a more generous TSP defined contribution plan, in lieu of participation in the FERS defined benefit plan.

EMPLOYEES LIFE INSURANCE FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8432-2-8-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Insurance Payments .....			3,379
0802 Administration .....			3
0803 Administration - Long Term Care .....			3
0900 Total new obligations, unexpired accounts (object class 25.2) .....			3,385
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-8424] ....			46,881
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			4
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			4,583
1801 Change in uncollected payments, Federal sources .....			6
1850 Spending auth from offsetting collections, mand (total) .....			4,589
1900 Budget authority (total) .....			4,593
1930 Total budgetary resources available .....			51,474
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			48,089
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			3,385
3020 Outlays (gross) .....			-3,327
3031 Unpaid obligations transferred from other accts [024-8424] .....			1,121
3050 Unpaid obligations, end of year .....			1,179
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired .....			-6
3081 Uncollected pymts from Fed sources transferred from other accounts .....			-399
3090 Uncollected pymts, Fed sources, end of year .....			-405
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			774
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			4
Outlays, gross:			
4010 Outlays from new discretionary authority .....			4
Mandatory:			
4090 Budget authority, gross .....			4,589
Outlays, gross:			
4100 Outlays from new mandatory authority .....			2,506
4101 Outlays from mandatory balances .....			817
4110 Outlays, gross (total) .....			3,323
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-571
4121 Interest on Federal securities .....			-868
4123 Non-Federal sources .....			-3,148
4130 Offsets against gross budget authority and outlays (total) ....			-4,587
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....			-6
4160 Budget authority, net (mandatory) .....			-4
4170 Outlays, net (mandatory) .....			-1,264
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-1,260
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			49,535

This fund finances payments to private insurance companies for Federal Employees' Group Life Insurance and expenses of the Office of Personnel Management in administering the program.

The Administration proposes that the United States Patent and Trademark Office (PTO) will fund the accruing costs associated with post-retirement life insurance benefits for PTO's employees.

*Budget program.*—The status of the Basic (regular and optional) life insurance program on September 30 is as follows:

	2018 actual	2019 est.	2020 est.
Life insurance in force (in billions of dollars):			
On active employees .....	811.4	823.4	835.5
On retired employees .....	100.2	101.5	102.9
Total .....	911.6	924.9	938.4
Number of participants (in thousands):			
Active employees .....	2,510	2,523	2,535
Annuitants .....	1,711	1,717	1,723
Total .....	4,221	4,240	4,258

*Financing.*—Non-United States Postal Service employees and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

	2018 actual	2019 est.	2020 est.
Status of Reserves			
Held in reserve (in millions of dollars):			
Contingency Reserve .....	690	690	690
Beneficial association program reserve .....	0	0	0
U.S. Treasury Reserve .....	44,683	45,538	46,409
Total reserves .....	45,373	46,228	47,099

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8433-2-8-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Benefit payments .....			58,244
0802 Payments from OPM contingency reserve .....			300
0803 Government payment for annuitants (1960 Act) .....			1
0804 Administration (OPM and OIG) .....			58
0900 Total new obligations, unexpired accounts (object class 25.6) .....			58,603
<b>Budgetary resources:</b>			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-9981] ....			24,857
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			58
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			58,785
1801 Change in uncollected payments, Federal sources .....			119
1850 Spending auth from offsetting collections, mand (total) .....			58,904
1900 Budget authority (total) .....			58,962
1930 Total budgetary resources available .....			83,819
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			25,216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			58,603
3020 Outlays (gross) .....			-58,596
3031 Unpaid obligations transferred from other accts [024-9981] .....			4,974
3050 Unpaid obligations, end of year .....			4,981
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired .....			-119
3081 Uncollected pymts from Fed sources transferred from other accounts .....			-2,368
3090 Uncollected pymts, Fed sources, end of year .....			-2,487

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUND—Continued  
Program and Financing—Continued

Identification code 047-8433-2-8-551	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			2,494
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			58
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			58
<b>Mandatory:</b>			
4090 Budget authority, gross .....			58,904
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			53,301
4101 Outlays from mandatory balances .....			5,237
4110 Outlays, gross (total) .....			58,538
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....			-40,629
4121 Interest on Federal securities .....			-488
4123 Non-Federal sources .....			-17,726
4130 Offsets against gross budget authority and outlays (total) ....			-58,843
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....			-119
4160 Budget authority, net (mandatory) .....			-58
4170 Outlays, net (mandatory) .....			-305
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-247
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			29,105

This display combines the Federal Employees Health Benefit (FEHB) fund and the Retired Employees Health Benefits (REHB) fund. The FEHB fund provides for the cost of health benefits for: 1) active employees; 2) employees who retired after June 1960, or their survivors; 3) annuitants transferred from the REHB fund as authorized by Public Law 93-246; and 4) tribal organizations. The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: 1) the cost of health benefits for retired employees and survivors who were enrolled in a Government-sponsored uniform health benefits plan; 2) the contribution to retired employees and survivors who retain or purchase private health insurance; and 3) expenses of the Office of Personnel Management (OPM) in administering the program.

**Budget program.**—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

	2018 actual	2019 est.	2020 est.
Active employees .....	2,119,335	2,103,000	2,103,000
USPS active employees (non-add) .....	428,042	420,000	420,000
Annuityants .....	1,922,573	1,948,000	1,970,000
Tribal Organizations .....	26,885	26,885	26,885
<b>Total .....</b>	<b>4,068,793</b>	<b>4,077,885</b>	<b>4,099,885</b>

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve. The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

	2018 actual	2019 est.	2020 est.
Uniform plan .....	46	38	31
Private plans .....	88	73	60
<b>Total .....</b>	<b>134</b>	<b>111</b>	<b>91</b>

**Financing.**—The funds are financed by: 1) withholdings from active employees and annuitants; 2) agency contributions for active employees;

3) Government contributions for annuitants appropriated to OPM; and 4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101-508. Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods. OPM maintains a contingency reserve, funded by employee and Government contributions, which may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates. The Budget proposes that the United States Patent and Trademark Office continue to fund the accruing costs associated with post-retirement health benefits for its employees.

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

The 2020 Budget includes a package of proposals that will improve program efficiency, introduce more accountability and increase competition and choice: 1) Medical Liability Reform would potentially reduce the costs of medical liability and lower insurance premiums of the Federal Employee Health Benefit (FEHB) Program; and 2) modifying the Federal Government contribution rate for premiums to base it on a plan's score from the FEHB Plan Performance Assessment would improve healthcare quality and affordability within the program. The enactment of the proposals in 2020 will not begin to impact program financials until 2022.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
047-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	63	26	26
047-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Legislative proposal, not subject to PAYGO .....			2
General Fund Offsetting receipts from the public .....	63	26	28
<b>Intragovernmental payments:</b>			
047-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....		11	11
General Fund Intragovernmental payments .....		11	11

ADMINISTRATIVE PROVISIONS—GENERAL SERVICES ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

**SEC. 510.** Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

**SEC. 511.** Funds in the Federal Buildings Fund made available for fiscal year 2020 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program requirements: Provided, That notice of any proposed transfers shall be transmitted in advance to the Committees on Appropriations of the House of Representatives and the Senate.

**SEC. 512.** Except as otherwise provided in this title, any request for United States Courthouse construction transmitted using funds made available by this Act should: (1) meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; (2) reflect the priorities of the Judicial Conference of the United States as set out in its approved 5-year construction plan; and (3) include a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.

**SEC. 513.** None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in consideration of the Public Buildings Amendments Act of 1972 (Public Law 92-313).

SEC. 514. From funds made available under the heading "Federal Buildings Fund, Limitations on Availability of Revenue", claims against the Government of less than \$250,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 515. With respect to the Federal Buildings Fund construction and acquisition and major repair and alteration programs, and with respect to E-Government projects funded under the heading "Federal Citizen Services Fund", the Administrator of General Services shall submit a spending plan and explanation for each project to be undertaken to the Committees on Appropriations of the House of Representatives and the Senate not later than 60 days after the date of enactment of this Act.

SEC. 516. Section 3173(d)(1) of title 40, United States Code, is amended by inserting before the period the following: "or for agency-wide acquisition of equipment or systems or the acquisition of services in lieu thereof, as necessary to implement the Act in compliance with the requirements of paragraph (d)(2)(B)".

SEC. 517. Section 3173(b)(1) of title 40, United States Code, is amended by inserting ", including advance payments," after "Amounts received".

SEC. 518. Section 1078 of the National Defense Authorization Act for Fiscal Year 2018 (Public Law 115-91) is amended at the end of subsection (b)(4)(A) by striking "\$250,000,000 for each of fiscal years 2018 and 2019" and inserting in its place "a total of \$500,000,000 for fiscal year 2018 and any subsequent fiscal years".



# NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

## Federal Funds

### SCIENCE

*For necessary expenses, not otherwise provided for, in the conduct and support of science research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$6,303,700,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 080–0120–0–1–252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Science .....	6,140	6,222	6,304
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	298	400	455
1021 Recoveries of prior year unpaid obligations .....	30	55	55
1050 Unobligated balance (total) .....	328	455	510
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,222	6,222	6,304
1120 Appropriations transferred to other accts Space Ops [080–0115] .....	–5		
1120 Appropriations transferred to other acct Aeronautics [080–0126] .....	–5		
1160 Appropriation, discretionary (total) .....	6,212	6,222	6,304
1930 Total budgetary resources available .....	6,540	6,677	6,814
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	400	455	510
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,858	4,083	4,023
3010 New obligations, unexpired accounts .....	6,140	6,222	6,304
3011 Obligations ("upward adjustments"), expired accounts .....	14		
3020 Outlays (gross) .....	–5,847	–6,227	–6,316
3040 Recoveries of prior year unpaid obligations, unexpired .....	–30	–55	–55
3041 Recoveries of prior year unpaid obligations, expired .....	–52		
3050 Unpaid obligations, end of year .....	4,083	4,023	3,956
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,858	4,083	4,023
3200 Obligated balance, end of year .....	4,083	4,023	3,956
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,212	6,222	6,304
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,387	2,489	2,522
4011 Outlays from discretionary balances .....	3,460	3,738	3,794
4020 Outlays, gross (total) .....	5,847	6,227	6,316
4180 Budget authority, net (total) .....	6,212	6,222	6,304
4190 Outlays, net (total) .....	5,847	6,227	6,316

The Science appropriation provides for NASA's science mission, which is comprised of the agency's Earth and space science programs: Earth Science, Planetary Science, Heliophysics, the James Webb Space Telescope, and Astrophysics. These programs, which are managed by the Science Mission Directorate, focus on three interdisciplinary objectives: discovering the secrets of the Universe; searching for life in the Solar System and beyond; and safeguarding and improving life on Earth. These objectives include research concerning the global Earth system; other planets in the solar system and around other stars; the connections among the Sun, Earth, and heliosphere; and the origin and evolution of planetary systems, the

galaxy, and the universe, including the origin and distribution of life in the universe. Program objectives are pursued through robotic flight missions, ground-based scientific research and data analysis, and the development of new technologies for future missions. Additionally, the Budget funds within Science a lunar robotic exploration program that will support innovative approaches to achieving human and science exploration goals.

The Science appropriation provides for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and test and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

#### Object Classification (in millions of dollars)

Identification code 080–0120–0–1–252	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	243	246	249
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	247	250	253
12.1 Civilian personnel benefits .....	78	79	80
21.0 Travel and transportation of persons .....	25	25	26
22.0 Transportation of things .....	7	7	7
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	76	77	78
25.2 Other services from non-Federal sources .....	206	209	212
25.3 Other goods and services from Federal sources .....	217	220	223
25.4 Operation and maintenance of facilities .....	18	18	18
25.5 Research and development contracts .....	4,299	4,357	4,414
25.7 Operation and maintenance of equipment .....	113	115	116
26.0 Supplies and materials .....	29	29	30
31.0 Equipment .....	44	45	45
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	770	780	791
99.9 Total new obligations, unexpired accounts .....	6,140	6,222	6,304

#### Employment Summary

Identification code 080–0120–0–1–252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,875	1,876	1,864

## AERONAUTICS

*For necessary expenses, not otherwise provided for, in the conduct and support of aeronautics research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$666,900,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 080–0126–0–1–402	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Aeronautics .....	684	685	667
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	25	35

AERONAUTICS—Continued  
Program and Financing—Continued

Identification code 080-0126-0-1-402	2018 actual	2019 est.	2020 est.
1021 Recoveries of prior year unpaid obligations .....	6	10	10
1050 Unobligated balance (total) .....	19	35	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	685	685	667
1121 Appropriations transferred from other acct Science [080-0120] .....	5		
1160 Appropriation, discretionary (total) .....	690	685	667
1930 Total budgetary resources available .....	709	720	712
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	25	35	45
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	368	394	366
3010 New obligations, unexpired accounts .....	684	685	667
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-650	-703	-693
3040 Recoveries of prior year unpaid obligations, unexpired .....	-6	-10	-10
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	394	366	330
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	368	394	366
3200 Obligated balance, end of year .....	394	366	330
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	690	685	667
Outlays, gross:			
4010 Outlays from new discretionary authority .....	359	342	334
4011 Outlays from discretionary balances .....	291	361	359
4020 Outlays, gross (total) .....	650	703	693
4180 Budget authority, net (total) .....	690	685	667
4190 Outlays, net (total) .....	650	703	693

This appropriation provides for the full costs associated with NASA's Aeronautics Research mission, which aims to expand the boundaries of aeronautical knowledge for the benefit of the nation and the broad aeronautics community. The mission is managed by NASA's Aeronautics Research Mission Directorate, and consists of the following integrated research programs: Airspace Operations and Safety, Advanced Air Vehicles, Integrated Aviation Systems, and Transformative Aeronautics Concepts. Full costs of these programs cover all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs. Costs include labor, travel, procurement, test, and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0126-0-1-402	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	162	162	158
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	165	165	161
12.1 Civilian personnel benefits .....	52	52	51
21.0 Travel and transportation of persons .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	10	10	10
25.2 Other services from non-Federal sources .....	26	26	25
25.3 Other goods and services from Federal sources .....	8	8	8
25.4 Operation and maintenance of facilities .....	24	24	23
25.5 Research and development contracts .....	252	253	245
25.7 Operation and maintenance of equipment .....	39	39	38
26.0 Supplies and materials .....	20	20	20
31.0 Equipment .....	26	26	25
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	51	51	50
99.9 Total new obligations, unexpired accounts .....	684	685	667

Employment Summary

Identification code 080-0126-0-1-402	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,385	1,350	1,172

EXPLORATION TECHNOLOGY

For necessary expenses, not otherwise provided for, in the conduct and support of space exploration technology development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$1,014,300,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 080-0131-0-1-252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Exploration Technology .....	771	760	1,014
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	42	40	54
1021 Recoveries of prior year unpaid obligations .....	9	14	14
1050 Unobligated balance (total) .....	51	54	68
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	760	760	1,014
1930 Total budgetary resources available .....	811	814	1,082
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	40	54	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	501	528	568
3010 New obligations, unexpired accounts .....	771	760	1,014
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-733	-706	-863
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-14	-14
3041 Recoveries of prior year unpaid obligations, expired .....	-3		
3050 Unpaid obligations, end of year .....	528	568	705
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	501	528	568
3200 Obligated balance, end of year .....	528	568	705
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	760	760	1,014
Outlays, gross:			
4010 Outlays from new discretionary authority .....	321	266	355
4011 Outlays from discretionary balances .....	412	440	508
4020 Outlays, gross (total) .....	733	706	863
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	760	760	1,014
4080 Outlays, net (discretionary) .....	732	706	863
4180 Budget authority, net (total) .....	760	760	1,014
4190 Outlays, net (total) .....	732	706	863

The FY 2020 President's Budget renames the Space Technology account as Exploration Technology to better reflect the new focus of this account on supporting the Exploration Campaign.

The Exploration Technology appropriation provides for the costs associated with the research and technology development needed for space ex-

ploration. The full costs provide for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and those associated with fabrication, tests and flight demonstrations.

The programs within Exploration Technology enable and reduce barriers to new exploration missions by drawing on talent from the NASA workforce, academia, small businesses and the broader space enterprise to deliver innovative solutions that dramatically improve technological capabilities for NASA and the Nation. The Exploration Technology appropriation funds several programs: Small Business Innovative Research (SBIR), Small Business Technology Transfer (STTR), Early Stage Innovation & Partnerships, Technology Maturation, and Technology Demonstrations. Performance goals associated with these activities are addressed in NASA's detailed budget request.

**Object Classification** (in millions of dollars)

Identification code 080-0131-0-1-252	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	86	85	90
11.3 Other than full-time permanent .....	2	2	3
11.9 Total personnel compensation .....	88	87	93
12.1 Civilian personnel benefits .....	29	29	38
21.0 Travel and transportation of persons .....	5	5	7
22.0 Transportation of things .....	1	1	1
25.1 Advisory and assistance services .....	24	24	32
25.2 Other services from non-Federal sources .....	35	35	46
25.3 Other goods and services from Federal sources .....	20	20	26
25.4 Operation and maintenance of facilities .....	6	6	8
25.5 Research and development contracts .....	480	471	654
25.7 Operation and maintenance of equipment .....	14	14	18
26.0 Supplies and materials .....	9	9	12
31.0 Equipment .....	8	8	11
41.0 Grants, subsidies, and contributions .....	52	51	68
99.9 Total new obligations, unexpired accounts .....	771	760	1,014

**Employment Summary**

Identification code 080-0131-0-1-252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	714	732	672

**DEEP SPACE EXPLORATION SYSTEMS**

*For necessary expenses, not otherwise provided for, in the conduct and support of exploration research and development activities, including research, development, production, and operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$5,021,700,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 080-0124-0-1-252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Deep Space Exploration Systems .....	4,482	4,790	5,022
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	81	416	516
1021 Recoveries of prior year unpaid obligations .....	27	100	100
1050 Unobligated balance (total) .....	108	516	616

<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	4,790	4,790	5,022
1930 Total budgetary resources available .....	4,898	5,306	5,638
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	416	516	616

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,403	1,375	1,224
3010 New obligations, unexpired accounts .....	4,482	4,790	5,022
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-4,481	-4,841	-5,057
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27	-100	-100
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	1,375	1,224	1,089
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	1,403	1,375	1,224
3200 Obligated balance, end of year .....	1,375	1,224	1,089

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	4,790	4,790	5,022
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	3,047	3,209	3,365
4011 Outlays from discretionary balances .....	1,434	1,632	1,692
4020 Outlays, gross (total) .....	4,481	4,841	5,057
4180 Budget authority, net (total) .....	4,790	4,790	5,022
4190 Outlays, net (total) .....	4,481	4,841	5,057

The FY 2020 President's Budget has renamed the Exploration account Deep Space Exploration Systems to better reflect the activities in the account.

This appropriation provides for costs associated with the development of systems and capabilities required for human exploration of space. The capabilities include launch and crew vehicles for missions beyond low Earth orbit; providing integrated systems to keep astronauts safe, healthy, and functional during deep space missions; and advancing the tools to increase exploration capabilities and reduce the launch mass and cost of deep space missions. The full costs provide for the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test, and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

NASA's human deep space exploration programs include the Space Launch System, Orion, Exploration Ground Systems under the Exploration Systems Development theme, as well as the Exploration Research and Development theme which consists of Advanced Exploration Systems, the Gateway, Advanced Cislunar and Space Capabilities, and the Human Research Program.

**Object Classification** (in millions of dollars)

Identification code 080-0124-0-1-252	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	330	353	370
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	2
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	335	358	375
12.1 Civilian personnel benefits .....	109	116	122
21.0 Travel and transportation of persons .....	14	15	16
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	26	28	29
25.1 Advisory and assistance services .....	354	378	397
25.2 Other services from non-Federal sources .....	47	50	53
25.3 Other goods and services from Federal sources .....	51	55	57
25.4 Operation and maintenance of facilities .....	118	126	132
25.5 Research and development contracts .....	3,155	3,372	3,536
25.7 Operation and maintenance of equipment .....	113	121	127
26.0 Supplies and materials .....	29	31	32
31.0 Equipment .....	37	40	41
32.0 Land and structures .....	45	48	50
41.0 Grants, subsidies, and contributions .....	48	51	54

DEEP SPACE EXPLORATION SYSTEMS—Continued  
Object Classification—Continued

Identification code 080–0124–0–1–252	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....	4,482	4,790	5,022

Employment Summary

Identification code 080–0124–0–1–252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,750	2,629	2,758

SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS ENGAGEMENT

Unobligated balances previously appropriated under this heading or the heading "Education" shall be available for purposes of the closure of the Office of STEM Engagement, including, but not limited to, ongoing administration, oversight, monitoring, and funding of grants previously awarded by the Office of STEM Engagement.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 080–0128–0–1–252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 STEM Engagement .....	102	100	.....
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	7	12
1021 Recoveries of prior year unpaid obligations .....	1	5	5
1050 Unobligated balance (total) .....	9	12	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	100	100	.....
1930 Total budgetary resources available .....	109	112	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	12	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	147	116
3010 New obligations, unexpired accounts .....	102	100	.....
3011 Obligations ("upward adjustments"), expired accounts .....	3	.....	.....
3020 Outlays (gross) .....	-112	-126	-69
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-5	-5
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	147	116	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	157	147	116
3200 Obligated balance, end of year .....	147	116	42
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	100	100	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	10	.....
4011 Outlays from discretionary balances .....	101	116	69
4020 Outlays, gross (total) .....	112	126	69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-3	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts .....	3	.....	.....
4070 Budget authority, net (discretionary) .....	100	100	.....
4080 Outlays, net (discretionary) .....	109	126	69
4180 Budget authority, net (total) .....	100	100	.....
4190 Outlays, net (total) .....	109	126	69

The Budget proposes to terminate funding for the Office of Science, Technology, Engineering, and Mathematics (STEM) Engagement's portfolio of programs and projects. Unobligated balances previously appropriated

under this heading or the heading "Education" may be used to support close-out costs. Moving forward, a small team at NASA headquarters funded out of Agency Management and Operations will be accountable for strategic direction and coordination of the agency's STEM engagement efforts.

Object Classification (in millions of dollars)

Identification code 080–0128–0–1–252	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	4	4	.....
11.9 Total personnel compensation .....	4	4	.....
12.1 Civilian personnel benefits .....	1	1	.....
25.1 Advisory and assistance services .....	4	4	.....
25.2 Other services from non-Federal sources .....	4	4	.....
25.5 Research and development contracts .....	3	3	.....
25.7 Operation and maintenance of equipment .....	5	5	.....
41.0 Grants, subsidies, and contributions .....	81	79	.....
99.9 Total new obligations, unexpired accounts .....	102	100	.....

Employment Summary

Identification code 080–0128–0–1–252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	34	38	.....

SAFETY, SECURITY AND MISSION SERVICES

For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics, space technology, exploration, space operations and education research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; not to exceed \$63,000 for official reception and representation expenses; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$3,084,600,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 080–0122–0–1–252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Safety, Security and Mission Services .....	2,835	2,827	3,085
0801 Cross Agency Support (Reimbursable) .....	2,458	2,546	2,355
0900 Total new obligations, unexpired accounts .....	5,293	5,373	5,440
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	451	551	647
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-133	.....	.....
1021 Recoveries of prior year unpaid obligations .....	36	96	96
1033 Recoveries of prior year paid obligations .....	7	.....	.....
1050 Unobligated balance (total) .....	361	647	743
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2,827	2,827	3,085
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2,090	2,546	2,355
1701 Change in uncollected payments, Federal sources .....	566	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	2,656	2,546	2,355
1900 Budget authority (total) .....	5,483	5,373	5,440
1930 Total budgetary resources available .....	5,844	6,020	6,183
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	551	647	743

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2,185	2,420	2,222
3010	New obligations, unexpired accounts .....	5,293	5,373	5,440
3011	Obligations ("upward adjustments"), expired accounts .....	25		
3020	Outlays (gross) .....	-5,027	-5,475	-5,612
3040	Recoveries of prior year unpaid obligations, unexpired .....	-36	-96	-96
3041	Recoveries of prior year unpaid obligations, expired .....	-20		
3050	Unpaid obligations, end of year .....	2,420	2,222	1,954
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,613	-1,882	-1,882
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	133		
3070	Change in uncollected pymts, Fed sources, unexpired .....	-566		
3071	Change in uncollected pymts, Fed sources, expired .....	164		
3090	Uncollected pymts, Fed sources, end of year .....	-1,882	-1,882	-1,882
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	705	538	340
3200	Obligated balance, end of year .....	538	340	72

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	5,483	5,373	5,440
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,976	3,128	3,269
4011	Outlays from discretionary balances .....	2,051	2,347	2,343
4020	Outlays, gross (total) .....	5,027	5,475	5,612
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-2,052	-2,256	-2,094
4033	Non-Federal sources .....	-216	-290	-261
4040	Offsets against gross budget authority and outlays (total) ....	-2,268	-2,546	-2,355
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-566		
4052	Offsetting collections credited to expired accounts .....	171		
4053	Recoveries of prior year paid obligations, unexpired accounts .....	7		
4060	Additional offsets against budget authority only (total) .....	-388		
4070	Budget authority, net (discretionary) .....	2,827	2,827	3,085
4080	Outlays, net (discretionary) .....	2,759	2,929	3,257
4180	Budget authority, net (total) .....	2,827	2,827	3,085
4190	Outlays, net (total) .....	2,759	2,929	3,257

Safety, Security, and Mission Services (SSMS) manages agency-wide mission support functions and some of NASA's unique research facilities.

This appropriation provides for the operations and maintenance, salaries and related expenses, and other general and administrative activities that support all NASA's missions.

Under SSMS, Center Management and Operations includes the basic costs to manage and operate each of the nine NASA field centers and to maintain the technical capabilities required to support the Agency's Mission. The SSMS budget is not directly allocated or aligned to specific program or project requirements, but is centralized for efficient and effective administration and operation of the NASA Centers.

Under SSMS, Agency Management and Operations provides for the management and oversight of corporate programs, the performance of agency-wide activities and functions, and the maintenance of unique research capabilities and facilities. Responsibilities include budget formulation and execution; establishment of management policies, procedures, and performance criteria; evaluation of progress; and coordination and integration of the Agency's activities.

**Object Classification** (in millions of dollars)

Identification code 080-0122-0-1-252				
	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	884	881	961
11.3	Other than full-time permanent .....	18	18	20
11.5	Other personnel compensation .....	33	33	36
11.9	Total personnel compensation .....	935	932	1,017
12.1	Civilian personnel benefits .....	286	285	311
13.0	Benefits for former personnel .....	1	1	1
21.0	Travel and transportation of persons .....	23	23	25
22.0	Transportation of things .....	2	2	2

23.2	Rental payments to others .....	39	39	42
23.3	Communications, utilities, and miscellaneous charges .....	77	77	84
24.0	Printing and reproduction .....	3	3	3
25.1	Advisory and assistance services .....	253	252	275
25.2	Other services from non-Federal sources .....	232	231	252
25.3	Other goods and services from Federal sources .....	61	61	66
25.4	Operation and maintenance of facilities .....	249	248	272
25.5	Research and development contracts .....	169	168	184
25.6	Medical care .....	8	8	9
25.7	Operation and maintenance of equipment .....	399	397	434
26.0	Supplies and materials .....	22	22	24
31.0	Equipment .....	38	38	41
32.0	Land and structures .....	17	17	18
41.0	Grants, subsidies, and contributions .....	23	23	25
99.0	Direct obligations .....	2,837	2,827	3,085
99.0	Reimbursable obligations .....	2,456	2,546	2,355
99.9	Total new obligations, unexpired accounts .....	5,293	5,373	5,440

**Employment Summary**

Identification code 080-0122-0-1-252				
	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	5,692	7,668	7,858
2001	Reimbursable civilian full-time equivalent employment .....	1,832	284	299

**CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION**

*For necessary expenses for construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, and restoration, and acquisition or condemnation of real property, as authorized by law, and environmental compliance and restoration, \$600,400,000, to remain available until September 30, 2025: Provided, That proceeds from leases deposited into this account shall be available for a period of 5 years to the extent and in amounts as provided in annual appropriations Acts: Provided further, That such proceeds referred to in the preceding proviso shall be available for obligation for fiscal year 2020 in an amount not to exceed \$14,900,000: Provided further, That each annual budget request shall include an annual estimate of gross receipts and collections and proposed use of all funds collected pursuant to section 20145 of title 51, United States Code.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 080-0130-0-1-252				
	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Construction and Environmental Compliance and Restoration (Direct) .....	575	562	600
0801	Construction and Environmental Compliance and Restoration (Reimbursable) .....	7	25	21
0900	Total new obligations, unexpired accounts .....	582	587	621
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	269	370	445
1021	Recoveries of prior year unpaid obligations .....	25	75	75
1050	Unobligated balance (total) .....	294	445	520
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	644	562	600
1121	Appropriations transferred from other acct Space Operations [080-0115] .....	7		
1160	Appropriation, discretionary (total) .....	651	562	600
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	7	25	21
1900	Budget authority (total) .....	658	587	621
1930	Total budgetary resources available .....	952	1,032	1,141
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	370	445	520
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	658	783	615
3010	New obligations, unexpired accounts .....	582	587	621

CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION—Continued  
**Program and Financing—Continued**

Identification code 080-0130-0-1-252	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-432	-680	-574
3040 Recoveries of prior year unpaid obligations, unexpired .....	-25	-75	-75
3050 Unpaid obligations, end of year .....	783	615	587
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	658	783	615
3200 Obligated balance, end of year .....	783	615	587
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	658	587	621
Outlays, gross:			
4010 Outlays from new discretionary authority .....	46	115	39
4011 Outlays from discretionary balances .....	386	565	535
4020 Outlays, gross (total) .....	432	680	574
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-7	-25	-21
4180 Budget authority, net (total) .....	651	562	600
4190 Outlays, net (total) .....	425	655	553

This appropriation provides for NASA's construction and environmental compliance and restoration activities, and makes available to use the net proceeds from Enhanced Use Leases for maintenance, capital revitalization, and improvement of real property assets and related personal property at NASA Centers received under the authority of section 20145 of the National Aeronautics and Space Act (51 U.S.C. 20145). The funding provides for all of the research; development; operations; design, repair, rehabilitation, modification of facilities, and construction of new facilities; and other general and administrative activities required to execute the programs within this account.

**Object Classification** (in millions of dollars)

Identification code 080-0130-0-1-252	2018 actual	2019 est.	2020 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	28	27	29
25.2 Other services from non-Federal sources .....	69	67	72
25.3 Other goods and services from Federal sources .....	30	29	31
25.4 Operation and maintenance of facilities .....	69	69	72
25.5 Research and development contracts .....	37	36	39
25.7 Operation and maintenance of equipment .....	29	28	30
31.0 Equipment .....	1	1	1
32.0 Land and structures .....	312	304	325
99.0 Direct obligations .....	576	562	600
99.0 Reimbursable obligations .....	6	25	21
99.9 Total new obligations, unexpired accounts .....	582	587	621

**LEO AND SPACEFLIGHT OPERATIONS**

For necessary expenses, not otherwise provided for, in the conduct and support of space operations research and development activities, including research, development, operations, support and services; space flight, spacecraft control and communications activities, including operations, production, and services; maintenance and repair, facility planning and design; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, \$4,285,700,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 080-0115-0-1-252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 LEO and Spaceflight Operations .....	4,779	4,752	4,286
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	91	118	251
1021 Recoveries of prior year unpaid obligations .....	56	133	133
1050 Unobligated balance (total) .....	147	251	384
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,752	4,752	4,286
1120 Appropriations transferred to other accts CECR [080-0130] .....	-7		
1121 Appropriations transferred from other acct Science [080-0120] .....	5		
1160 Appropriation, discretionary (total) .....	4,750	4,752	4,286
1900 Budget authority (total) .....	4,750	4,752	4,286
1930 Total budgetary resources available .....	4,897	5,003	4,670
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	118	251	384
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,432	2,426	2,298
3010 New obligations, unexpired accounts .....	4,779	4,752	4,286
3011 Obligations ("upward adjustments"), expired accounts .....	6		
3020 Outlays (gross) .....	-4,729	-4,747	-5,016
3040 Recoveries of prior year unpaid obligations, unexpired .....	-56	-133	-133
3041 Recoveries of prior year unpaid obligations, expired .....	-6		
3050 Unpaid obligations, end of year .....	2,426	2,298	1,435
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,432	2,426	2,298
3200 Obligated balance, end of year .....	2,426	2,298	1,435
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4,750	4,752	4,286
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2,920	2,946	2,657
4011 Outlays from discretionary balances .....	1,809	1,801	2,359
4020 Outlays, gross (total) .....	4,729	4,747	5,016
4180 Budget authority, net (total) .....	4,750	4,752	4,286
4190 Outlays, net (total) .....	4,729	4,747	5,016

This appropriation provides for the full costs associated with human-related Low Earth Orbit (LEO) and spaceflight operations activities of the agency. The full costs include all labor, travel, procurement, test, and fabrication costs to execute these programs, which provide for all of the research, development, operations, salaries and related expenses, and other general and administrative activities supporting the programs within this account. Major themes within the LEO and Spaceflight Operations account include the International Space Station, Space Transportation, Space and Flight Support, and Commercial LEO Development. Performance goals associated with these activities are addressed in NASA's detailed budget request.

The International Space Station (ISS) is a complex of research laboratories in LEO where America and its international partners, including Russia, Canada, Europe, and Japan, conduct unique scientific and technological investigations in a microgravity environment. The objective of the International Space Station is to support human space exploration and conduct science experiments and technology development unique to the on-orbit attributes of the facility.

Space Transportation's objective is to transport U.S. astronauts and cargo safely back and forth to the ISS and, in the future, to other orbital platforms and destinations. This theme includes the Commercial Crew Program and Crew and Cargo Program. Maintaining the ISS requires a fleet of vehicles and launch locations to transport astronauts, science experiments, critical supplies, maintenance hardware, and propellant to the ISS, and to dispose of waste generated on the ISS. The Commercial Crew Program partners with two U.S. companies, SpaceX and Boeing, to develop and operate safe,

reliable, and affordable crew transportation to LEO. The Crew and Cargo Program purchases cargo transportation to the ISS under commercial resupply services contracts with Northrop Grumman, SpaceX, and Sierra Nevada and purchases crew transportation from Boeing, and SpaceX. Payments to develop and test commercial crew vehicles, and for initial Post Certification Missions (PCMs) for each provider are funded by the Commercial Crew Program, whereas subsequent payments for operational commercial crew missions are funded by the Crew and Cargo Program.

Space and Flight Support is comprised of multiple programs that provide ongoing support for a wide range of services required for safe and successful space mission operations. These programs include Space Communications and Navigation, Communications Services Program, Human Space Flight Operations, Launch Services, and Rocket Propulsion Testing. Services are provided to a wide range of customers including NASA, other U.S. Federal agencies, foreign governments, and industry partners.

Commercial LEO Development will support efforts to expand commercial activities in LEO, with a focus on enabling, developing, and deploying commercial platforms that can be used by NASA and other customers.

**Object Classification** (in millions of dollars)

Identification code 080-0115-0-1-252	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	295	293	265
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	299	297	269
12.1 Civilian personnel benefits .....	96	95	86
21.0 Travel and transportation of persons .....	17	17	15
22.0 Transportation of things .....	1,654	1,645	1,483
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	6	6	5
25.1 Advisory and assistance services .....	113	112	101
25.2 Other services from non-Federal sources .....	147	146	132
25.3 Other goods and services from Federal sources .....	29	29	26
25.4 Operation and maintenance of facilities .....	60	60	54
25.5 Research and development contracts .....	2,050	2,038	1,839
25.7 Operation and maintenance of equipment .....	226	225	203
26.0 Supplies and materials .....	19	19	17
31.0 Equipment .....	18	18	16
32.0 Land and structures .....	6	6	5
41.0 Grants, subsidies, and contributions .....	37	37	33
99.9 Total new obligations, unexpired accounts .....	4,779	4,752	4,286

**Employment Summary**

Identification code 080-0115-0-1-252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2,367	2,252	2,175

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, \$41,700,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 080-0109-0-1-252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	39	39	42
0801 Office of Inspector General (Reimbursable) .....	1	2	2
0900 Total new obligations, unexpired accounts .....	40	41	44

<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	39	39	42
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	2	2
1900 Budget authority (total) .....	40	41	44
1930 Total budgetary resources available .....	40	41	44

<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	6
3010 New obligations, unexpired accounts .....	40	41	44
3020 Outlays (gross) .....	-39	-40	-44
3050 Unpaid obligations, end of year .....	5	6	6
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	4	5	6
3200 Obligated balance, end of year .....	5	6	6

<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	40	41	44
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	35	36	39
4011 Outlays from discretionary balances .....	4	4	5
4020 Outlays, gross (total) .....	39	40	44
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-1	-2	-2
4180 Budget authority, net (total) .....	39	39	42
4190 Outlays, net (total) .....	38	38	42

This appropriation provides for the operations of the NASA Office of Inspector General. The mission of the Office of Inspector General is to conduct audits and investigations of agency activities to prevent and detect fraud, waste, abuse, and mismanagement. The Inspector General keeps the NASA Administrator and the Congress informed of problems and deficiencies in agency programs and operations.

**Object Classification** (in millions of dollars)

Identification code 080-0109-0-1-252	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	23	24	25
12.1 Civilian personnel benefits .....	9	9	9
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	4
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	2
99.0 Direct obligations .....	38	39	42
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	40	41	44

**Employment Summary**

Identification code 080-0109-0-1-252	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	176	207	207
2001 Reimbursable civilian full-time equivalent employment .....	6	6	6

**SCIENCE, AERONAUTICS, AND EXPLORATION**

**Program and Financing** (in millions of dollars)

Identification code 080-0114-0-1-999	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

SCIENCE, AERONAUTICS, AND EXPLORATION—Continued

The Science, Aeronautics, and Exploration account shows spending from balances prior to the account restructuring. In FY 2019, consistent with current law, obligated balances will be transferred and merged into Treasury account 80–0130. Unobligated balances will be returned to Treasury and thereafter, this account will be closed.

HUMAN SPACE FLIGHT

Program and Financing (in millions of dollars)

Identification code 080–0111–0–1–252	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Human Space Flight account shows spending from balances prior to the account restructuring. In FY 2019, consistent with current law, obligated balances will be transferred and merged into Treasury accounts 80–0122, 80–0115 and 80–0130. Unobligated balances will be returned to Treasury and thereafter, this account will be closed.

SCIENCE, AERONAUTICS AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 080–0110–0–1–999	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Science, Aeronautics, and Technology account shows spending from balances prior to the account restructuring. In FY 2019, consistent with current law, obligated balances will be transferred and merged into Treasury account 80–0130. Unobligated balances will be returned to Treasury and thereafter, this account will be closed.

MISSION SUPPORT

Program and Financing (in millions of dollars)

Identification code 080–0112–0–1–999	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Mission Support account shows spending from residual balances associated with construction of facilities activities prior to 2004. In FY 2019, consistent with current law, obligated balances will be transferred and merged into Treasury accounts 80–0122 and 80–0130. Unobligated balances will be returned to Treasury and thereafter, this account will be closed.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 080–4546–0–4–252	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Working Capital Fund (Reimbursable) .....	449	523	527
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	14	15
1021 Recoveries of prior year unpaid obligations .....	1	5	5
1050 Unobligated balance (total) .....	15	19	20
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	448	519	523
1930 Total budgetary resources available .....	463	538	543
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14	15	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	183	195	198
3010 New obligations, unexpired accounts .....	449	523	527
3020 Outlays (gross) .....	–436	–515	–521
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1	–5	–5
3050 Unpaid obligations, end of year .....	195	198	199
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	183	195	198
3200 Obligated balance, end of year .....	195	198	199
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	448	519	523
Outlays, gross:			
4010 Outlays from new discretionary authority .....	250	311	314
4011 Outlays from discretionary balances .....	186	204	207
4020 Outlays, gross (total) .....	436	515	521
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–430	–494	–496
4033 Non-Federal sources .....	–18	–25	–27
4040 Offsets against gross budget authority and outlays (total) .....	–448	–519	–523
4080 Outlays, net (discretionary) .....	–12	–4	–2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–12	–4	–2

The Working Capital Fund provides goods and services on a reimbursable basis. The Fund finances four program activities. The first is the Solutions for Enterprise-wide Procurement program, which finances, on an agency-wide basis, scientific and engineering workstation procurement. The second program is the Information Technology Infrastructure Integration Program which consolidates and centralizes management of NASA information technology services in the areas of Tier 1 service desk and ordering, web services and technologies, enterprise business and management applications, integrated network/communications services, end user services, and data center services. The third program, NASA's Shared Services Center, performs selected financial management, human resources, information technology, and procurement services for NASA Headquarters and Centers. The last program, the National Center for Critical Information Processing and Storage, provides federal customers collocation services at a Tier III level with complete redundancy in the electrical distribution system from the national grid to the rack level.

**Object Classification** (in millions of dollars)

Identification code 080-4546-0-4-252	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	14	14
12.1 Civilian personnel benefits .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	47	47	47
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	14	14	14
25.7 Operation and maintenance of equipment .....	362	436	440
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	449	523	527

**Employment Summary**

Identification code 080-4546-0-4-252	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	142	156	156

**Trust Funds**

SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 080-8978-0-7-503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	16	16	16
<b>Receipts:</b>			
<b>Current law:</b>			
1140 Earnings on Investments, Science, Space and Technology Education Trust Fund .....	2	1	1
2000 Total: Balances and receipts .....	18	17	17
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Science, Space, and Technology Education Trust Fund .....	-2	-1	-1
5099 Balance, end of year .....	16	16	16

**Program and Financing** (in millions of dollars)

Identification code 080-8978-0-7-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Science, Space, and Technology Education Trust Fund .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	2	1	1
1930 Total budgetary resources available .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	1	1	1

3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	1	1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	2	1	1
4190 Outlays, net (total) .....	1	1	1
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	17	17	17
5001 Total investments, EOY: Federal securities: Par value .....	17	17	17

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFERS OF FUNDS)

Funds for any announced prize otherwise authorized shall remain available, without fiscal year limitation, until the prize is claimed or the offer is withdrawn.

Not to exceed 10 percent of any appropriation made available for the current fiscal year for the National Aeronautics and Space Administration in this Act, or provided for the National Aeronautics and Space Administration under previous appropriations Acts that remain available for obligation or expenditure in fiscal year 2020, may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers. Any such funds transferred to "Construction and Environmental Compliance and Restoration" for construction activities shall not increase that appropriation by more than 20 percent. Balances so transferred shall be merged with and available for the same purposes and the same time period as the appropriations to which transferred. Any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

The spending plan required by this Act shall be provided by NASA at the theme and program level. The spending plan, as well as any subsequent change of an amount established in that spending plan that meets the notification requirements of section 504 of this Act, shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
080-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	4	4
General Fund Offsetting receipts from the public .....	2	4	4
<b>Intragovernmental payments:</b>			
080-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....	3	2	2
General Fund Intragovernmental payments .....	3	2	2



# NATIONAL SCIENCE FOUNDATION

## Federal Funds

### RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), and Public Law 86-209 (42 U.S.C. 1880 et seq.); services as authorized by section 3109 of title 5, United States Code; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; and authorized travel; \$5,662,960,000, to remain available until September 30, 2021, of which not to exceed \$500,000,000 shall remain available until expended for polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program: Provided, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 049-0100-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Biological Sciences .....	756	756	683
0002 Computer and Information Science and Engineering .....	961	961	883
0003 Engineering .....	978	976	882
0005 Geosciences .....	908	908	787
0006 Mathematical and Physical Sciences .....	1,503	1,512	1,256
0007 Social, Behavioral and Economic Sciences .....	251	249	230
0008 Integrative Activities .....	471	455	491
0009 Office of International Science and Engineering .....	49	49	46
0010 Office of Polar Programs .....	502	497	403
0013 Arctic Research Commission .....	1	1	2
0799 Total direct obligations .....	6,380	6,364	5,663
0801 Research and Related Activities (Reimbursable) .....	77	120	120
0900 Total new obligations, unexpired accounts .....	6,457	6,484	5,783
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	34	30	.....
1021 Recoveries of prior year unpaid obligations .....	24	.....	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	59	30	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6,351	6,334	5,663
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	57	120	120
1701 Change in uncollected payments, Federal sources .....	24	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	81	120	120
1900 Budget authority (total) .....	6,432	6,454	5,783
1930 Total budgetary resources available .....	6,491	6,484	5,783
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
1941 Unexpired unobligated balance, end of year .....	30	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	10,235	10,860	11,526
3010 New obligations, unexpired accounts .....	6,457	6,484	5,783
3011 Obligations ("upward adjustments"), expired accounts .....	4	.....	.....
3020 Outlays (gross) .....	-5,762	-5,818	-5,766
3040 Recoveries of prior year unpaid obligations, unexpired .....	-24	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-50	.....	.....
3050 Unpaid obligations, end of year .....	10,860	11,526	11,543
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-63	-73	-73
3070 Change in uncollected pymts, Fed sources, unexpired .....	-24	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	14	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-73	-73	-73
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	10,172	10,787	11,453
3200 Obligated balance, end of year .....	10,787	11,453	11,470

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6,432	6,454	5,783
Outlays, gross:			
4010 Outlays from new discretionary authority .....	733	1,387	1,252
4011 Outlays from discretionary balances .....	5,029	4,431	4,514
4020 Outlays, gross (total) .....	5,762	5,818	5,766
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-70	-120	-120
4033 Non-Federal sources .....	-1	.....	.....
4040 Offsets against gross budget authority and outlays (total) ....	-71	-120	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-24	.....	.....
4052 Offsetting collections credited to expired accounts .....	13	.....	.....
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1	.....	.....
4060 Additional offsets against budget authority only (total) .....	-10	.....	.....
4070 Budget authority, net (discretionary) .....	6,351	6,334	5,663
4080 Outlays, net (discretionary) .....	5,691	5,698	5,646
4180 Budget authority, net (total) .....	6,351	6,334	5,663
4190 Outlays, net (total) .....	5,691	5,698	5,646

The Research and Related Activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education.

The major research program activities of NSF are:

**Biological Sciences.**—This activity supports understanding how complex living systems function and interact with each other and with non-living systems, which has direct impacts on issues related to the economy, food, human welfare, and the environment. Research in this activity crosses scales from molecules to cells through organisms to ecosystems. This activity's investment portfolio includes projects on understanding the fundamental characteristics of biological energy systems, the changing dynamics of the biosphere, and infrastructure and research resources such as databases, research centers, and observatories, and efforts to broaden participation and develop the next generation of biological researchers.

**Computer and Information Science and Engineering.**—This activity promotes the progress of computer and information science and engineering research and education, and advances the development and use of cyberinfrastructure across the science and engineering research enterprise; promotes understanding of the principles and uses of computing and information technology in society; and contributes to universal, transparent, and affordable participation in a knowledge-based society.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas that are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This activity supports research and associated infrastructure to advance knowledge of the properties and dynamics of the planet on which we live. Research includes understanding the causes and implications of earth system dynamics, as well as disruptive processes such as earthquakes and storms.

**Mathematical and Physical Sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena across the astronomical sciences, chemistry, materials sciences, mathematical sciences, and physics. Research support is available in multiple modalities ranging from multi-user facilities and mid-scale instrumentation to individual investigator awards, from sites for undergraduate research experiences to early career faculty development and collaborative and international efforts.

**Social, Behavioral, and Economic Sciences.**—This activity supports research, education, and infrastructure in the social, behavioral, cognitive, and economic sciences and funds the collection and dissemination of statistics on the science and engineering enterprise.

RESEARCH AND RELATED ACTIVITIES—Continued

*Office of International Science and Engineering.*—This activity serves as the focal point of international activities at NSF. In addition to strategic funding and co-funding that targets catalytic partnerships and workforce-building international research opportunities, OISE advances NSF's global science leadership through extensive interactions with U.S. and global counterpart agencies and organizations.

*Office of Polar Programs.*—This activity supports Arctic and Antarctic research and operational science support and other related activities for United States polar research programs, including the funding to reimburse Federal agencies for logistical and other related activities supported by the United States Antarctic Program.

*Integrative Activities.*—This activity supports emerging cross disciplinary research efforts, as well as major research instrumentation and Mid-scale research infrastructure. This activity also provides support for the Science and Technology Policy Institute. The Established Program to Stimulate Competitive Research broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness.

The *United States Arctic Research Commission (USARC)* is an independent agency, funded through NSF's appropriations. USARC promotes Arctic research and recommends national Arctic research policies to guide Federal agencies in developing and implementing their research programs in the Arctic region.

Object Classification (in millions of dollars)

Identification code 049-0100-0-1-999	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	37	37	37
21.0 Travel and transportation of persons .....	14	14	15
25.1 Advisory and assistance services .....	119	119	105
25.2 Other services from non-Federal sources .....	9	9	8
25.3 Other goods and services from Federal sources .....	128	128	113
25.4 Operation and maintenance of facilities .....	271	271	270
25.5 Research and development contracts .....	8	8	8
31.0 Equipment .....	3	3	.....
41.0 Grants, subsidies, and contributions .....	5,791	5,775	5,107
99.0 Direct obligations .....	6,380	6,364	5,663
99.0 Reimbursable obligations .....	77	120	120
99.9 Total new obligations, unexpired accounts .....	6,457	6,484	5,783

Employment Summary

Identification code 049-0100-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

*For necessary expenses for the acquisition, construction, commissioning, and upgrading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including authorized travel, \$223,230,000, to remain available until expended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 049-0551-0-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Major Research Equipment and Facilities Construction .....	186	212	223
0900 Total new obligations, unexpired accounts (object class 41.0) .....	186	212	223
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	31	29	.....

1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	32	29	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	183	183	223
1900 Budget authority (total) .....	183	183	223
1930 Total budgetary resources available .....	215	212	223
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	29	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	287	302	350
3010 New obligations, unexpired accounts .....	186	212	223
3020 Outlays (gross) .....	-170	-164	-170
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	302	350	403
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	287	302	350
3200 Obligated balance, end of year .....	302	350	403
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	183	183	223
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	11	13
4011 Outlays from discretionary balances .....	170	153	157
4020 Outlays, gross (total) .....	170	164	170
4180 Budget authority, net (total) .....	183	183	223
4190 Outlays, net (total) .....	170	164	170

The Major Research Equipment and Facilities Construction activity supports the acquisition, construction, and commissioning of unique national research platforms and major research facilities and equipment. Performance of each construction project is measured against an established baseline at regular intervals and at major milestones.

AGENCY OPERATIONS AND AWARD MANAGEMENT

*For agency operations and award management necessary in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.); services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; rental of conference rooms in the District of Columbia; and reimbursement of the Department of Homeland Security for security guard services; \$336,890,000: Provided, That not to exceed \$8,280 is for official reception and representation expenses: Provided further, That contracts may be entered into under this heading in fiscal year 2020 for maintenance and operation of facilities and for other services to be provided during the next fiscal year.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 049-0180-0-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Agency Operations and Award Management .....	329	329	337
0801 Agency Operations and Award Management (Reimbursable) .....	6	10	10
0900 Total new obligations, unexpired accounts .....	335	339	347
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	329	329	337
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	5	10	10
1701 Change in uncollected payments, Federal sources .....	1	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	6	10	10
1900 Budget authority (total) .....	335	339	347
1930 Total budgetary resources available .....	335	339	347

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	113	73	70
3010	New obligations, unexpired accounts .....	335	339	347
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-372	-342	-352
3041	Recoveries of prior year unpaid obligations, expired .....	-4		
3050	Unpaid obligations, end of year .....	73	70	65
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090	Uncollected pymts, Fed sources, end of year .....	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	112	71	68
3200	Obligated balance, end of year .....	71	68	63

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	335	339	347
Outlays, gross:				
4010	Outlays from new discretionary authority .....	271	280	286
4011	Outlays from discretionary balances .....	101	62	66
4020	Outlays, gross (total) .....	372	342	352
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-5	-10	-10
4040	Offsets against gross budget authority and outlays (total) .....	-5	-10	-10
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1		
4060	Additional offsets against budget authority only (total) .....	-1		
4070	Budget authority, net (discretionary) .....	329	329	337
4080	Outlays, net (discretionary) .....	367	332	342
4180	Budget authority, net (total) .....	329	329	337
4190	Outlays, net (total) .....	367	332	342

This account funds NSF's scientific, professional, and administrative workforce; the physical and technological infrastructure necessary for a productive, safe, and secure work environment; and the essential business operations critical to NSF's administrative processes.

**Object Classification** (in millions of dollars)

Identification code 049-0180-0-1-251				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	158	161	161
11.3	Other than full-time permanent .....	13	14	14
11.5	Other personnel compensation .....	3	4	3
11.9	Total personnel compensation .....	174	179	178
12.1	Civilian personnel benefits .....	53	56	55
21.0	Travel and transportation of persons .....	6	6	6
23.1	Rental payments .....	27	22	31
23.2	Rental payments to others .....	2		
23.3	Communications, utilities, and miscellaneous charges .....		2	3
24.0	Printing and reproduction .....			1
25.1	Advisory and assistance services .....	44	43	42
25.2	Other services from non-Federal sources .....	12	7	10
25.3	Other goods and services from Federal sources .....	7	7	7
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	3	6	3
99.0	Direct obligations .....	329	329	337
99.0	Reimbursable obligations .....	6	10	10
99.9	Total new obligations, unexpired accounts .....	335	339	347

**Employment Summary**

Identification code 049-0180-0-1-251				
1001	Direct civilian full-time equivalent employment .....	1,308	1,310	1,315

OFFICE OF THE NATIONAL SCIENCE BOARD

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia,

and the employment of experts and consultants under section 3109 of title 5, United States Code) involved in carrying out section 4 of the National Science Foundation Act of 1950 (42 U.S.C. 1863) and Public Law 86-209 (42 U.S.C. 1880 et seq.), \$4,100,000: Provided, That not to exceed \$2,500 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 049-0350-0-1-251				
Obligations by program activity:				
0001	Office of the National Science Board .....	4	4	4
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	4	4	4
1930	Total budgetary resources available .....	4	4	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010	New obligations, unexpired accounts .....	4	4	4
3020	Outlays (gross) .....	-4	-4	-4
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	1	1	1
3200	Obligated balance, end of year .....	1	1	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross .....	4	4	4
Outlays, gross:				
4010	Outlays from new discretionary authority .....	3	3	3
4011	Outlays from discretionary balances .....	1	1	1
4020	Outlays, gross (total) .....	4	4	4
4180	Budget authority, net (total) .....	4	4	4
4190	Outlays, net (total) .....	4	4	4

This appropriation provides policy-making and related responsibilities for NSF and provides guidance on significant national policy issues in science and engineering research and education, as required by law.

**Object Classification** (in millions of dollars)

Identification code 049-0350-0-1-251				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	4	4	4

**Employment Summary**

Identification code 049-0350-0-1-251				
1001	Direct civilian full-time equivalent employment .....	17	18	18

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, \$15,350,000, of which \$400,000 shall remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

OFFICE OF INSPECTOR GENERAL—Continued  
**Program and Financing** (in millions of dollars)

Identification code 049-0300-0-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	15	15	15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 New obligations, unexpired accounts .....	15	15	15
3020 Outlays (gross) .....	-16	-15	-15
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	12	12
4011 Outlays from discretionary balances .....	2	3	3
4020 Outlays, gross (total) .....	16	15	15
4180 Budget authority, net (total) .....	15	15	15
4190 Outlays, net (total) .....	16	15	15

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

**Object Classification** (in millions of dollars)

Identification code 049-0300-0-1-251	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	15	15	15

**Employment Summary**

Identification code 049-0300-0-1-251	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	68	72	72

**EDUCATION AND HUMAN RESOURCES**

For necessary expenses in carrying out science, mathematics and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including services as authorized by section 3109 of title 5, United States Code, authorized travel, and rental of conference rooms in the District of Columbia, \$823,470,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 049-0106-0-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Education and Human Resources .....	904	916	823
0100 Total Disc obligations .....	904	916	823

0302 Low income scholarship program .....	156	140	98
0303 ITTEST grants for Mathematics, Science, or Engineering enrichment courses .....	36	45	32
0391 Total Mandatory Obligations (H-1B) .....	192	185	130
0799 Total direct obligations .....	1,096	1,101	953
0801 Education and Human Resources (Reimbursable) .....	5	10	10
0900 Total new obligations, unexpired accounts .....	1,101	1,111	963

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	105	79	.....
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	8	14	.....
1021 Recoveries of prior year unpaid obligations .....	13	.....	.....
1050 Unobligated balance (total) .....	118	79	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	902	902	823
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	156	120	120
1203 Appropriation (previously unavailable) .....	10	10	10
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-10	-10	.....
1260 Appropriations, mandatory (total) .....	156	120	130
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	10	10
1701 Change in uncollected payments, Federal sources .....	4	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	5	10	10
1900 Budget authority (total) .....	1,063	1,032	963
1930 Total budgetary resources available .....	1,181	1,111	963
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	79	.....	.....

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,339	2,501	2,564
3010 New obligations, unexpired accounts .....	1,101	1,111	963
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-911	-1,048	-1,082
3040 Recoveries of prior year unpaid obligations, unexpired .....	-13	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-16	.....	.....
3050 Unpaid obligations, end of year .....	2,501	2,564	2,445
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-11	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	3	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2,328	2,489	2,552
3200 Obligated balance, end of year .....	2,489	2,552	2,433

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	907	912	833
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	136	125
4011 Outlays from discretionary balances .....	757	765	787
4020 Outlays, gross (total) .....	787	901	912
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-4	-10	-10
4040 Offsets against gross budget authority and outlays (total) .....	-4	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
4052 Offsetting collections credited to expired accounts .....	3	.....	.....
4060 Additional offsets against budget authority only (total) .....	-1	.....	.....
4070 Budget authority, net (discretionary) .....	902	902	823
4080 Outlays, net (discretionary) .....	783	891	902
Mandatory:			
4090 Budget authority, gross .....	156	120	130
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	13	14
4101 Outlays from mandatory balances .....	124	134	156
4110 Outlays, gross (total) .....	124	147	170
4180 Budget authority, net (total) .....	1,058	1,022	953
4190 Outlays, net (total) .....	907	1,038	1,072

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,058	1,022	953
Outlays .....	907	1,038	1,072
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			38
Outlays .....			17
<b>Total:</b>			
Budget Authority .....	1,058	1,022	991
Outlays .....	907	1,038	1,089

The Education and Human Resources (EHR) appropriation funds and manages a comprehensive set of programs that further NSF's goals of ensuring a diverse, globally competitive U.S. science, technology, engineering, and mathematics (STEM) workforce, as well as a scientifically literate population. To advance those goals, EHR collaborates with other NSF research units and Federal agencies, and promotes public-private partnerships. EHR supports research on STEM teaching and learning to provide the evidence base for improvements in education at all levels in the STEM disciplines. Supporting development and effective implementation of new learning technologies is also a priority. EHR's pre-K-12 education-research programs, for example, develop and test new instruction materials for students and teachers, which incorporate the latest advances in teaching, learning, and education technologies. STEM teacher-education opportunities occur throughout the full continuum, from pre-service and in-service, through life-long learning. Research programs at the undergraduate level improve curricula, strengthen laboratory courses, enhance faculty effectiveness, and lead education reforms in STEM disciplines. Advanced technological education programs strengthen student preparation for the high-technology workforce. Support of graduate-level STEM education primarily includes fellowships and traineeships to sustain U.S. leadership in global science and technology. All EHR programs aim to broaden participation of groups underrepresented in STEM fields by, for example, improving infrastructure and academic programs at minority-serving institutions. EHR activities also include programs supported by H-1B non-immigrant visa fees, which provide undergraduate and graduate scholarships in STEM disciplines, improve educational opportunities for students, and provide research opportunities for STEM teachers and students.

**Object Classification** (in millions of dollars)

Identification code 049-0106-0-1-251	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.8 Personnel compensation: Special personal services payments .....	5	5	5
21.0 Travel and transportation of persons .....	2	2	2
25.1 Advisory and assistance services .....	25	25	22
25.3 Other goods and services from Federal sources .....	2	2	1
41.0 Grants, subsidies, and contributions .....	1,062	1,067	923
99.0 Direct obligations .....	1,096	1,101	953
99.0 Reimbursable obligations .....	5	10	10
99.9 Total new obligations, unexpired accounts .....	1,101	1,111	963

**EDUCATION AND HUMAN RESOURCES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 049-0106-4-1-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0302 Low income scholarship program .....			28
0303 ITEST grants for Mathematics, Science, or Engineering enrichment courses .....			10
0900 Total new obligations, unexpired accounts (object class 41.0) .....			38
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			38

1930 Total budgetary resources available .....			38
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			38
3020 Outlays (gross) .....			-17
3050 Unpaid obligations, end of year .....			21
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			21
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			38
Outlays, gross:			
4100 Outlays from new mandatory authority .....			17
4180 Budget authority, net (total) .....			38
4190 Outlays, net (total) .....			17

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for DOL job training grants to support apprenticeship. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

**Trust Funds**

DONATIONS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 049-8960-0-7-251	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Donations, National Science Foundation .....	28	40	40
2000 Total: Balances and receipts .....	28	40	40
Appropriations:			
Current law:			
2101 Donations .....	-28	-40	-40
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 049-8960-0-7-251	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Gemini Telescope .....	9	12	12
0003 B&M Gates Foundation .....	1	1	1
0004 International Ocean Drilling .....	19	59	27
0900 Total new obligations, unexpired accounts (object class 41.0) .....	29	72	40
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	33	32	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	28	40	40
1930 Total budgetary resources available .....	61	72	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	32		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	28	61
3010 New obligations, unexpired accounts .....	29	72	40

DONATIONS—Continued  
Program and Financing—Continued

Identification code 049-8960-0-7-251	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-43	-39	-42
3050 Unpaid obligations, end of year .....	28	61	59
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	42	28	61
3200 Obligated balance, end of year .....	28	61	59
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	28	40	40
Outlays, gross:			
4100 Outlays from new mandatory authority .....		24	24
4101 Outlays from mandatory balances .....	43	15	18
4110 Outlays, gross (total) .....	43	39	42
4180 Budget authority, net (total) .....	28	40	40
4190 Outlays, net (total) .....	43	39	42

This account consists of contributions from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education. These efforts include major international projects, such as operation of the Gemini telescopes, and the NSF ocean discovery program. Other smaller activities supported include cooperative research, joint seminars, exchange of senior scientists, short-term research develop-

ment visits, international conferences, and support for certain special functions of NSF and the National Science Board. These funds are not otherwise available.

ADMINISTRATIVE PROVISION

*Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Science Foundation in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers. Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.*

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
049-320000 Collections of Receivables from Canceled Accounts .....		1	1
049-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts .....	3	5	5
General Fund Offsetting receipts from the public .....	3	6	6

# OFFICE OF PERSONNEL MANAGEMENT

## Federal Funds

### SALARIES AND EXPENSES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 024–0100–0–1–805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Employee Services .....	32	33	
0002 Merit System Audit & Compliance .....	13	13	
0003 Office of the Chief Financial Officer .....	1	10	
0004 Office of the Chief Information Officer .....	31	30	
0005 Executive Services .....	12	4	
0006 Planning & Policy Analysis .....	2		
0007 Health and Insurance .....	4	5	
0009 Administrative Services and Centrally Financed .....	13	27	
0010 Office of Strategy and Innovation .....		7	
0100 Total direct program .....	108	129	
0799 Total direct obligations .....	108	129	
0801 Trust Fund activity .....	319	132	
0900 Total new obligations, unexpired accounts .....	427	261	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	18	16	16
1012 Unobligated balance transfers between expired and unexpired accounts .....	11		
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	30	16	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	129	129	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	269	132	
1701 Change in uncollected payments, Federal sources .....	46		
1750 Spending auth from offsetting collections, disc (total) .....	315	132	
1900 Budget authority (total) .....	444	261	
1930 Total budgetary resources available .....	474	277	16
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–31		
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	105	112	27
3010 New obligations, unexpired accounts .....	427	261	
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	–415	–346	–12
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		
3041 Recoveries of prior year unpaid obligations, expired .....	–6		
3050 Unpaid obligations, end of year .....	112	27	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	–112	–107	–107
3070 Change in uncollected pymts, Fed sources, unexpired .....	–46		
3071 Change in uncollected pymts, Fed sources, expired .....	51		
3090 Uncollected pymts, Fed sources, end of year .....	–107	–107	–107
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	–7	5	–80
3200 Obligated balance, end of year .....	5	–80	–92
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	444	261	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	326	244	
4011 Outlays from discretionary balances .....	89	102	12
4020 Outlays, gross (total) .....	415	346	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–308	–132	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	–46		

4052 Offsetting collections credited to expired accounts .....	39		
4060 Additional offsets against budget authority only (total) .....	–7		
4070 Budget authority, net (discretionary) .....	129	129	
4080 Outlays, net (discretionary) .....	107	214	12
4180 Budget authority, net (total) .....	129	129	
4190 Outlays, net (total) .....	107	214	12

#### Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	129	129	
Outlays .....	107	214	12
Legislative proposal, not subject to PAYGO:			
Outlays .....			–12
Total:			
Budget Authority .....	129	129	
Outlays .....	107	214	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

#### Object Classification (in millions of dollars)

Identification code 024–0100–0–1–805	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	42	48	
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	43	49	
12.1 Civilian personnel benefits .....	14	16	
21.0 Travel and transportation of persons .....	1	1	
23.3 Communications, utilities, and miscellaneous charges .....	22	14	
25.2 Other services from non-Federal sources .....	25	49	
31.0 Equipment .....	3		
99.0 Direct obligations .....	108	129	
99.0 Reimbursable obligations .....	319	132	
99.9 Total new obligations, unexpired accounts .....	427	261	

#### Employment Summary

Identification code 024–0100–0–1–805	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	816	825	
2001 Reimbursable civilian full-time equivalent employment .....	1,119	808	

### SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 024–0100–2–1–805	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047–0621] .....			–16
1930 Total budgetary resources available .....			–16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			–16
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020 Outlays (gross) .....			12
3030 Unpaid obligations transferred to other accts [047–0621] .....			–27
3050 Unpaid obligations, end of year .....			–15
Uncollected payments:			
3080 Uncollected pymts from Fed sources transferred to other accounts .....			107
3090 Uncollected pymts, Fed sources, end of year .....			107

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 024-0100-2-1-805	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			92
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....			-12
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-12

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 024-0400-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program oversight (audits, investigations, etc.) .....	5	5	
0801 Office of Inspector General (Reimbursable) .....	25	25	
0900 Total new obligations, unexpired accounts .....	30	30	
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	23	25	
1701 Change in uncollected payments, Federal sources .....	2		
1750 Spending auth from offsetting collections, disc (total) .....	25	25	
1900 Budget authority (total) .....	30	30	
1930 Total budgetary resources available .....	30	30	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	5	1
3010 New obligations, unexpired accounts .....	30	30	
3020 Outlays (gross) .....	-31	-34	
3050 Unpaid obligations, end of year .....	5	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-3	-2	-6
3200 Obligated balance, end of year .....	-2	-6	-6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	30	30	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	27	29	
4011 Outlays from discretionary balances .....	4	5	
4020 Outlays, gross (total) .....	31	34	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-27	-25	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	4		
4060 Additional offsets against budget authority only (total) .....	2		
4070 Budget authority, net (discretionary) .....	5	5	
4080 Outlays, net (discretionary) .....	4	9	
4180 Budget authority, net (total) .....	5	5	
4190 Outlays, net (total) .....	4	9	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Inspector General account of the GSA chapter of the *Appendix* for more information on this account.

Object Classification (in millions of dollars)

Identification code 024-0400-0-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	
12.1 Civilian personnel benefits .....	1	1	
23.3 Communications, utilities, and miscellaneous charges .....	1	1	
99.0 Direct obligations .....	5	5	
99.0 Reimbursable obligations .....	25	25	
99.9 Total new obligations, unexpired accounts .....	30	30	

Employment Summary

Identification code 024-0400-0-1-805	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	21	20	
2001 Reimbursable civilian full-time equivalent employment .....	120	134	

OFFICE OF INSPECTOR GENERAL

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0400-2-1-805	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3030 Unpaid obligations transferred to other accts [047-0108] ....			-1
3050 Unpaid obligations, end of year .....			-1
Uncollected payments:			
3080 Uncollected pymts from Fed sources transferred to other accounts .....			7
3090 Uncollected pymts, Fed sources, end of year .....			7
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			6
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

Program and Financing (in millions of dollars)

Identification code 024-0206-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government contribution for annuitants benefits (1959 Act) ....	12,904	13,263	14,135
0002 Government contribution for annuitants benefits (1960 Act) ....		1	1
0900 Total new obligations, unexpired accounts (object class 13.0) .....	12,904	13,264	14,136

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	12,904	13,264	14,136
1930 Total budgetary resources available .....	12,904	13,264	14,136

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1,389	1,444	1,444
3010 New obligations, unexpired accounts .....	12,904	13,264	14,136
3020 Outlays (gross) .....	-12,849	-13,264	-14,136
3050 Unpaid obligations, end of year .....	1,444	1,444	1,444
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,389	1,444	1,444
3200 Obligated balance, end of year .....	1,444	1,444	1,444

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	12,904	13,264	14,136
Outlays, gross:			
4100 Outlays from new mandatory authority .....	11,460	11,820	12,969

4101	Outlays from mandatory balances .....	1,389	1,444	1,167
4110	Outlays, gross (total) .....	12,849	13,264	14,136
4180	Budget authority, net (total) .....	12,904	13,264	14,136
4190	Outlays, net (total) .....	12,849	13,264	14,136

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	12,904	13,264	14,136
Outlays .....	12,849	13,264	14,136
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-14,136
Outlays .....			-14,136
<b>Total:</b>			
Budget Authority .....	12,904	13,264	
Outlays .....	12,849	13,264	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-0206-2-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government contribution for annuitants benefits (1959 Act) .....			-14,135
0002 Government contribution for annuitants benefits (1960 Act) .....			-1
0900 Total new obligations, unexpired accounts (object class 13.0) .....			-14,136
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-14,136
1930 Total budgetary resources available .....			-14,136
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-14,136
3020 Outlays (gross) .....			14,136
3030 Unpaid obligations transferred to other accts [047-0619] .....			-1,444
3050 Unpaid obligations, end of year .....			-1,444
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-1,444
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-14,136
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-12,969
4101 Outlays from mandatory balances .....			-1,167
4110 Outlays, gross (total) .....			-14,136
4180 Budget authority, net (total) .....			-14,136
4190 Outlays, net (total) .....			-14,136

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE  
**Program and Financing** (in millions of dollars)

Identification code 024-0500-0-1-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct) .....	42	43	44
0900 Total new obligations, unexpired accounts (object class 25.2) .....	42	43	44
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	42	43	44

	2018 actual	2019 est.	2020 est.
1930 Total budgetary resources available .....	42	43	44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	5
3010 New obligations, unexpired accounts .....	42	43	44
3020 Outlays (gross) .....	-42	-43	-44
3050 Unpaid obligations, end of year .....	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	5
3200 Obligated balance, end of year .....	5	5	5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	42	43	44
Outlays, gross:			
4100 Outlays from new mandatory authority .....	37	37	38
4101 Outlays from mandatory balances .....	5	6	6
4110 Outlays, gross (total) .....	42	43	44
4180 Budget authority, net (total) .....	42	43	44
4190 Outlays, net (total) .....	42	43	44

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	42	43	44
Outlays .....	42	43	44
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-44
Outlays .....			-44
<b>Total:</b>			
Budget Authority .....	42	43	
Outlays .....	42	43	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-0500-2-1-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct) .....			-44
0900 Total new obligations, unexpired accounts (object class 25.2) .....			-44
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....			-44
1930 Total budgetary resources available .....			-44
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-44
3020 Outlays (gross) .....			44
3030 Unpaid obligations transferred to other accts [047-0620] .....			-5
3050 Unpaid obligations, end of year .....			-5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-5
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-44
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-38
4101 Outlays from mandatory balances .....			-6
4110 Outlays, gross (total) .....			-44
4180 Budget authority, net (total) .....			-44

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE—Continued  
**Program and Financing—Continued**

Identification code 024-0500-2-1-602	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)			-44

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

**Program and Financing** (in millions of dollars)

Identification code 024-0200-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Payment of Government share of retirement costs	16,913	16,600	16,400
0003 Transfers for interest on unfunded liability and payment of military service annuities	25,894	26,400	27,000
0005 Spouse equity payment	49	49	49
0900 Total new obligations, unexpired accounts	42,856	43,049	43,449
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	25,894	26,400	27,000
1200 Appropriation	16,962	16,649	16,449
1260 Appropriations, mandatory (total)	42,856	43,049	43,449
1930 Total budgetary resources available	42,856	43,049	43,449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts	42,856	43,049	43,449
3020 Outlays (gross)	-42,856	-43,049	-43,449

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross	42,856	43,049	43,449
Outlays, gross:			
4100 Outlays from new mandatory authority	42,856	43,049	43,449
4180 Budget authority, net (total)	42,856	43,049	43,449
4190 Outlays, net (total)	42,856	43,049	43,449

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	42,856	43,049	43,449
Outlays	42,856	43,049	43,449
Legislative proposal, not subject to PAYGO:			
Budget Authority			-43,449
Outlays			-43,449
Total:			
Budget Authority	42,856	43,049	
Outlays	42,856	43,049	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

**Object Classification** (in millions of dollars)

Identification code 024-0200-0-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
12.1 Civilian personnel benefits	16,962	16,649	16,449
13.0 Benefits for former personnel	25,894	26,400	27,000

99.9 Total new obligations, unexpired accounts	42,856	43,049	43,449
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PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-0200-2-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Payment of Government share of retirement costs			-16,400
0003 Transfers for interest on unfunded liability and payment of military service annuities			-27,000
0005 Spouse equity payment			-49
0900 Total new obligations, unexpired accounts			-43,449
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-27,000
1200 Appropriation			-16,449
1260 Appropriations, mandatory (total)			-43,449
1930 Total budgetary resources available			-43,449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-43,449
3020 Outlays (gross)			43,449
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross			-43,449
Outlays, gross:			
4100 Outlays from new mandatory authority			-43,449
4180 Budget authority, net (total)			-43,449
4190 Outlays, net (total)			-43,449

**Object Classification** (in millions of dollars)

Identification code 024-0200-2-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
12.1 Civilian personnel benefits			-16,449
13.0 Benefits for former personnel			-27,000
99.9 Total new obligations, unexpired accounts			-43,449

FLEXIBLE BENEFITS PLAN RESERVE

**Program and Financing** (in millions of dollars)

Identification code 024-0800-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FSA FEDS Risk Reserve	9	16	16
0900 Total new obligations, unexpired accounts (object class 25.6)	9	16	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	61	65
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	23	21	21
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-2	-1	
1850 Spending auth from offsetting collections, mand (total)	21	20	21
1930 Total budgetary resources available	70	81	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	65	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	
3010 New obligations, unexpired accounts	9	16	16
3020 Outlays (gross)	-8	-22	-16
3050 Unpaid obligations, end of year	6		

Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	5	6
3200	Obligated balance, end of year .....	6	

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	21	20
Outlays, gross:			
4100	Outlays from new mandatory authority .....	5	16
4101	Outlays from mandatory balances .....	3	6
4110	Outlays, gross (total) .....	8	22
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-1	-1
4123	Non-Federal sources .....	-22	-20
4130	Offsets against gross budget authority and outlays (total) ....	-23	-21
4160	Budget authority, net (mandatory) .....	-2	-1
4170	Outlays, net (mandatory) .....	-15	1
4180	Budget authority, net (total) .....	-2	-1
4190	Outlays, net (total) .....	-15	1

<b>Memorandum (non-add) entries:</b>			
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	7	9
5092	Unexpired unavailable balance, EOY: Offsetting collections .....	9	10

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	-2	-1	
Outlays .....	-15	1	-5
Legislative proposal, not subject to PAYGO:			
Outlays .....			5
Total:			
Budget Authority .....	-2	-1	
Outlays .....	-15	1	-5

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

**FLEXIBLE BENEFITS PLAN RESERVE**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-0800-2-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FSA FEDS Risk Reserve .....			-16
0900 Total new obligations, unexpired accounts (object class 25.6) .....			-16
<b>Budgetary resources:</b>			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-0618] .....			-65
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			-21
1930 Total budgetary resources available .....			-86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			-70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-16
3020 Outlays (gross) .....			16

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-21
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....		1
4123	Non-Federal sources .....		20
4130	Offsets against gross budget authority and outlays (total) ....		21

4170	Outlays, net (mandatory) .....		5
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		5

<b>Memorandum (non-add) entries:</b>			
5091	Unexpired unavailable balance, transfer to GSA: Offsetting collections .....		-10
5092	Unexpired unavailable balance, EOY: Offsetting collections .....		-10

**POSTAL SERVICE RETIREE HEALTH BENEFITS FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 024-5391-0-2-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	49,491	47,145	44,824
Receipts:			
Current law:			
1140 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....		3,494	3,652
1140 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....		-3,494	-3,652
1140 Earnings on Investments, Postal Service Retiree Health Benefits Fund .....	1,343	1,282	1,155
1140 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		-976	-976
1140 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		976	976
1199 Total current law receipts .....	1,343	1,282	1,155
Proposed:			
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....			-3,632
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....			3,632
1240 Earnings on Investments, Postal Service Retiree Health Benefits Fund .....			-1,155
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		976	976
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund .....		-976	-976
1299 Total proposed receipts .....			-1,155
1999 Total receipts .....	1,343	1,282	
2000 Total: Balances and receipts .....	50,834	48,427	44,824
Appropriations:			
Current law:			
2101 Postal Service Retiree Health Benefits Fund .....	-1,343	-1,282	-1,155
2103 Postal Service Retiree Health Benefits Fund .....	-2,346	-2,321	-2,506
2199 Total current law appropriations .....	-3,689	-3,603	-3,661
Proposed:			
2201 Postal Service Retiree Health Benefits Fund .....			1,155
2203 Postal Service Retiree Health Benefits Fund .....			2,506
2299 Total proposed appropriations .....			3,661
2999 Total appropriations .....	-3,689	-3,603	
5098 Transfer to GSA .....			-44,824
5099 Balance, end of year .....	47,145	44,824	

**Program and Financing** (in millions of dollars)

Identification code 024-5391-0-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Obligations to FEHB Fund .....	3,689	3,603	3,661
0900 Total new obligations, unexpired accounts (object class 13.0) .....	3,689	3,603	3,661
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	1,343	1,282	1,155
1203 Appropriation (previously unavailable) .....	2,346	2,321	2,506
1260 Appropriations, mandatory (total) .....	3,689	3,603	3,661
1930 Total budgetary resources available .....	3,689	3,603	3,661
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3,689	3,603	3,661

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND—Continued  
Program and Financing—Continued

Identification code 024-5391-0-2-551	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-3,689	-3,603	-3,661
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	3,689	3,603	3,661
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2,346	3,603	3,661
4101 Outlays from mandatory balances .....	1,343		
4110 Outlays, gross (total) .....	3,689	3,603	3,661
4180 Budget authority, net (total) .....	3,689	3,603	3,661
4190 Outlays, net (total) .....	3,689	3,603	3,661
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	49,491	47,145	44,824
5001 Total investments, EOY: Federal securities: Par value .....	47,145	44,824	42,318

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	3,689	3,603	3,661
Outlays .....	3,689	3,603	3,661
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-3,661
Outlays .....			-3,661
Total:			
Budget Authority .....	3,689	3,603	
Outlays .....	3,689	3,603	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-5391-2-2-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Obligations to FEHB Fund .....			-3,661
0900 Total new obligations, unexpired accounts (object class 13.0) .....			-3,661
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-1,155
1203 Appropriation (previously unavailable) .....			-2,506
1260 Appropriations, mandatory (total) .....			-3,661
1930 Total budgetary resources available .....			-3,661

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-3,661
3020 Outlays (gross) .....			3,661

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....			-3,661
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-3,661
4180 Budget authority, net (total) .....			-3,661
4190 Outlays, net (total) .....			-3,661

Memorandum (non-add) entries:

5001 Total investments, EOY: Federal securities: Par value .....			-42,318
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REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 024-4571-0-4-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Human Resource Solutions .....	303	208	232
0802 National Background Investigations Bureau (NBIB) .....	1,496	1,367	547
0803 Human Resources Tools & Technology (HRTT) .....	57	55	66
0804 Enterprise Human Resources Integration .....	29	41	41
0805 USAJOBS .....	15	15	
0806 Suitability Executive Agency .....			8
0807 Human Resource Line of Business (HRLoB) .....	3	3	3
0808 Inspector General Activities .....	2	3	2
0900 Total new obligations, unexpired accounts .....	1,905	1,692	899

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,125	1,225	1,261
1021 Recoveries of prior year unpaid obligations .....	27		
1050 Unobligated balance (total) .....	1,152	1,225	1,261
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	1,892	1,728	350
1801 Change in uncollected payments, Federal sources .....	86		
1850 Spending auth from offsetting collections, mand (total) .....	1,978	1,728	350
1930 Total budgetary resources available .....	3,130	2,953	1,611
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,225	1,261	712

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	999	1,217	1,181
3010 New obligations, unexpired accounts .....	1,905	1,692	899
3020 Outlays (gross) .....	-1,660	-1,728	-2,054
3040 Recoveries of prior year unpaid obligations, unexpired .....	-27		
3050 Unpaid obligations, end of year .....	1,217	1,181	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-652	-738	-738
3070 Change in uncollected pymts, Fed sources, unexpired .....	-86		
3090 Uncollected pymts, Fed sources, end of year .....	-738	-738	-738
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	347	479	443
3200 Obligated balance, end of year .....	479	443	-712

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	1,978	1,728	350
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1,638	572	350
4101 Outlays from mandatory balances .....	22	1,156	1,704
4110 Outlays, gross (total) .....	1,660	1,728	2,054
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1,892	-1,728	-350
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-86		
4170 Outlays, net (mandatory) .....	-232		1,704
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-232		1,704

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays .....	-232		1,704
Legislative proposal, not subject to PAYGO:			
Outlays .....			-1,704
Total:			
Outlays .....	-232		

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

**Object Classification** (in millions of dollars)

Identification code 024-4571-0-4-805	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	285	348	75
11.5 Other personnel compensation .....	28	30	6
11.9 Total personnel compensation .....	313	378	81
12.1 Civilian personnel benefits .....	101	113	23
21.0 Travel and transportation of persons .....	32	28	5
23.1 Rental payments to GSA .....	21	21	8
23.3 Communications, utilities, and miscellaneous charges .....	43	45	17
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	1,383	1,086	754
26.0 Supplies and materials .....	5	4	1
31.0 Equipment .....	6	16	9
99.9 Total new obligations, unexpired accounts .....	1,905	1,692	899

**Employment Summary**

Identification code 024-4571-0-4-805	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	3,377	3,985	682

**REVOLVING FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-4571-2-4-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Human Resource Solutions .....			-232
0802 National Background Investigations Bureau (NBIB) .....			-547
0803 Human Resources Tools & Technology (HRTT) .....			-66
0804 Enterprise Human Resources Integration .....			-41
0806 Suitability Executive Agent .....			-8
0807 Human Resource Line of Business (HRLob) .....			-3
0808 Inspector General Activities .....			-2
0900 Total new obligations, unexpired accounts .....			-899
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1010 Unobligated balance transfer to other accts [047-4615] .....			-1,261
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....			-350
1930 Total budgetary resources available .....			-1,611
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....			-712
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			-899
3020 Outlays (gross) .....			2,054
3030 Unpaid obligations transferred to other accts [047-4615] .....			-1,181
3050 Unpaid obligations, end of year .....			-26
<b>Uncollected payments:</b>			
3080 Uncollected pymts from Fed sources transferred to other accounts .....			738
3090 Uncollected pymts, Fed sources, end of year .....			738
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			712
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-350
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-350
4101 Outlays from mandatory balances .....			-1,704
4110 Outlays, gross (total) .....			-2,054
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....			350
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-1,704

**Object Classification** (in millions of dollars)

Identification code 024-4571-2-4-805	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			-75
11.5 Other personnel compensation .....			-6
11.9 Total personnel compensation .....			-81
12.1 Civilian personnel benefits .....			-23
21.0 Travel and transportation of persons .....			-5
23.1 Rental payments to GSA .....			-8
23.3 Communications, utilities, and miscellaneous charges .....			-17
24.0 Printing and reproduction .....			-1
25.2 Other services from non-Federal sources .....			-754
26.0 Supplies and materials .....			-1
31.0 Equipment .....			-9
99.9 Total new obligations, unexpired accounts .....			-899

**Employment Summary**

Identification code 024-4571-2-4-805	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....			-682

**Trust Funds**

**CIVIL SERVICE RETIREMENT AND DISABILITY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 024-8135-0-7-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	897,661	915,326	931,377
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Employee Contributions, Civil Service Retirement and Disability Fund .....	3,709	4,200	4,458
1110 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....	31	31	31
1110 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....	712	700	692
1140 Agency Contributions, Civil Service Retirement and Disability Fund .....	27,430	27,487	32,010
1140 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....	3,492	3,501	3,834
1140 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....		958	958
1140 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....		-958	-958
1140 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....		1,440	1,440
1140 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....		-1,440	-1,440
1140 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....	340	296	237
1140 Treasury Interest, Civil Service Retirement and Disability Fund .....	25,244	25,110	24,025
1140 General Fund Payment to the Civil Service Retirement and Disability Fund .....	42,856	43,049	43,449
1140 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....	38	37	35
1199 Total current law receipts .....	103,852	104,411	108,771
<b>Proposed:</b>			
1210 Employee Contributions, Civil Service Retirement and Disability Fund .....			-4,458
1210 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....			-31
1210 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund .....			-692
1240 Agency Contributions, Civil Service Retirement and Disability Fund .....			-32,010
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....			-3,834
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....			-958
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....			958
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....			-1,440
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....			1,440

CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 024-8135-0-7-602	2018 actual	2019 est.	2020 est.
1240 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund			-237
1240 Treasury Interest, Civil Service Retirement and Disability Fund			-24,025
1240 General Fund Payment to the Civil Service Retirement and Disability Fund			-43,449
1240 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund			-35
1299 Total proposed receipts			-108,771
1999 Total receipts	103,852	104,411	
2000 Total: Balances and receipts	1,001,513	1,019,737	931,377
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Civil Service Retirement and Disability Fund	-102	-102	
2101 Civil Service Retirement and Disability Fund	-103,749	-104,309	-108,669
2103 Civil Service Retirement and Disability Fund	-4	-8	-7
2132 Civil Service Retirement and Disability Fund	4		
2134 Civil Service Retirement and Disability Fund	17,664	16,059	17,013
2199 Total current law appropriations	-86,187	-88,360	-91,663
<b>Proposed:</b>			
2201 Civil Service Retirement and Disability Fund			108,669
2203 Civil Service Retirement and Disability Fund			7
2234 Civil Service Retirement and Disability Fund			-17,013
2299 Total proposed appropriations			91,663
2999 Total appropriations	-86,187	-88,360	
5098 Transfer to GSA			-931,377
5099 Balance, end of year	915,326	931,377	

**Program and Financing** (in millions of dollars)

Identification code 024-8135-0-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Annuities	85,615	87,853	91,274
0002 Refunds and death claims	421	397	387
0003 Administration - operations	144	95	
0004 Transfer to MSPB	2	2	
0005 Administration - OIG	5	5	
0900 Total new obligations, unexpired accounts	86,187	88,352	91,661
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1			8
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund)	102	102	
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund)	103,749	104,309	108,669
1203 Appropriation (previously unavailable)	4	8	7
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-4		
1234 Appropriations precluded from obligation	-17,664	-16,059	-17,013
1260 Appropriations, mandatory (total)	86,085	88,258	91,663
1900 Budget authority (total)	86,187	88,360	91,663
1930 Total budgetary resources available	86,187	88,360	91,671
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year		8	10
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1	7,454	7,684	8,006
3010 New obligations, unexpired accounts	86,187	88,352	91,661
3020 Outlays (gross)	-85,957	-88,030	-91,376
3050 Unpaid obligations, end of year	7,684	8,006	8,291
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year	7,454	7,684	8,006
3200 Obligated balance, end of year	7,684	8,006	8,291

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross	102	102	
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority	78	102	

4011 Outlays from discretionary balances	29		
4020 Outlays, gross (total)	107	102	
<b>Mandatory:</b>			
4090 Budget authority, gross	86,085	88,258	91,663
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority	78,425	80,244	82,502
4101 Outlays from mandatory balances	7,425	7,684	8,874
4110 Outlays, gross (total)	85,850	87,928	91,376
4180 Budget authority, net (total)	86,187	88,360	91,663
4190 Outlays, net (total)	85,957	88,030	91,376

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	905,103	922,994	939,053
5001 Total investments, EOY: Federal securities: Par value	922,994	939,053	956,066

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority	86,187	88,360	91,663
Outlays	85,957	88,030	91,376
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			-91,663
Outlays			-91,376
<b>Total:</b>			
Budget Authority	86,187	88,360	
Outlays	85,957	88,030	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

**Status of Funds** (in millions of dollars)

Identification code 024-8135-0-7-602	2018 actual	2019 est.	2020 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year	905,115	923,010	939,391
0999 Total balance, start of year	905,115	923,010	939,391
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 Employee Contributions, Civil Service Retirement and Disability Fund	3,709	4,200	4,458
1110 District of Columbia Contributions, Civil Service Retirement and Disability Fund	31	31	31
1110 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund	712	700	692
1150 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund	340	296	237
1150 Treasury Interest, Civil Service Retirement and Disability Fund	25,244	25,110	24,025
1160 Agency Contributions, Civil Service Retirement and Disability Fund			
1160 Agency Contributions, Civil Service Retirement and Disability Fund	27,430	27,487	32,010
1160 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			
1160 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund	3,492	3,501	3,834
1160 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			
1160 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			
1160 General Fund Payment to the Civil Service Retirement and Disability Fund	42,856	43,049	43,449
1160 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund	38	37	35
1199 Income under present law	103,852	104,411	108,771
<b>Proposed:</b>			
1210 Employee Contributions, Civil Service Retirement and Disability Fund			-4,458
1210 District of Columbia Contributions, Civil Service Retirement and Disability Fund			-31
1210 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund			-692
1250 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund			-237
1250 Treasury Interest, Civil Service Retirement and Disability Fund			-24,025

Offsetting governmental receipts:			
1260	Agency Contributions, Civil Service Retirement and Disability Fund .....		
1260	Agency Contributions, Civil Service Retirement and Disability Fund .....		-32,010
1260	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....		
1260	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....		-3,834
1260	Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund .....		
1260	Postal Service Amortization Payments, Civil Service Retirement and Disability Fund .....		
1260	General Fund Payment to the Civil Service Retirement and Disability Fund .....		-43,449
1260	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....		-35
1299	Income proposed .....		-108,771
1999	Total cash income .....	103,852	104,411
Cash outgo during year:			
Current law:			
2100	Civil Service Retirement and Disability Fund [027-00-8135-0] .....	-85,957	-88,030
2199	Outgo under current law .....	-85,957	-88,030
Proposed:			
2200	Civil Service Retirement and Disability Fund .....		91,376
2299	Outgo under proposed legislation .....		91,376
2999	Total cash outgo (-) .....	-85,957	-88,030
Surplus or deficit:			
3110	Excluding interest .....	-7,689	-9,025
3120	Interest .....	25,584	25,406
3199	Subtotal, surplus or deficit .....	17,895	16,381
3230	Civil Service Retirement and Disability Fund .....		-8
3230	Civil Service Retirement and Disability Fund .....		-8,006
3298	Transfer to GSA .....		-931,377
3299	Total adjustments .....		-939,391
3999	Total change in fund balance .....	17,895	16,381
Unexpended balance, end of year:			
4100	Uninvested balance (net), end of year .....	16	338
4200	Civil Service Retirement and Disability Fund .....	922,994	939,053
4200	Civil Service Retirement and Disability Fund .....		-956,066
4999	Total balance, end of year .....	923,010	939,391

**Object Classification** (in millions of dollars)

Identification code 024-8135-0-7-602	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2	Other services from non-Federal sources .....	151	102
42.0	Insurance claims and indemnities .....	85,615	87,853
44.0	Refunds and death claims .....	421	397
99.9	Total new obligations, unexpired accounts .....	86,187	88,352

**CIVIL SERVICE RETIREMENT AND DISABILITY FUND**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-8135-2-7-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	Annuities .....		-91,274
0002	Refunds and death claims .....		-387
0900	Total new obligations, unexpired accounts .....		-91,661
<b>Budgetary resources:</b>			
Unobligated balance:			
1010	Unobligated balance transfer to other accts [047-8583] .....		-8
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....		-108,669
1203	Appropriation (previously unavailable) .....		-7
1234	Appropriations precluded from obligation .....		17,013
1260	Appropriations, mandatory (total) .....		-91,663
1900	Budget authority (total) .....		-91,663
1930	Total budgetary resources available .....		-91,671

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		-91,661
3020	Outlays (gross) .....		91,376
3030	Unpaid obligations transferred to other accts [047-8583] .....		-8,006
3050	Unpaid obligations, end of year .....		-8,291
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-8,291
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....		-91,663
Outlays, gross:			
4100	Outlays from new mandatory authority .....		-82,502
4101	Outlays from mandatory balances .....		-8,874
4110	Outlays, gross (total) .....		-91,376
4180	Budget authority, net (total) .....		-91,663
4190	Outlays, net (total) .....		-91,376
<b>Memorandum (non-add) entries:</b>			
5001	Total investments, EOY: Federal securities: Par value .....		-956,066

**Object Classification** (in millions of dollars)

Identification code 024-8135-2-7-602	2018 actual	2019 est.	2020 est.
Direct obligations:			
42.0	Insurance claims and indemnities .....		-91,274
44.0	Refunds and death claims .....		-387
99.9	Total new obligations, unexpired accounts .....		-91,661

**EMPLOYEES LIFE INSURANCE FUND**

**Program and Financing** (in millions of dollars)

Identification code 024-8424-0-8-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801	Insurance Payments .....	3,325	3,348
0804	Administration—OPM & OIG .....	3	4
0805	Administration—long term care .....	2	3
0900	Total new obligations, unexpired accounts (object class 25.2) .....	3,330	3,355

**Budgetary resources:**

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	44,684	45,538
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....	3	3
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	4,181	4,404
1801	Change in uncollected payments, Federal sources .....		291
1850	Spending auth from offsetting collections, mand (total) .....	4,181	4,695
1900	Budget authority (total) .....	4,184	4,698
1930	Total budgetary resources available .....	48,868	50,236
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	45,538	46,881

**Change in obligated balance:**

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	971	1,033
3010	New obligations, unexpired accounts .....	3,330	3,355
3020	Outlays (gross) .....	-3,268	-3,267
3050	Unpaid obligations, end of year .....	1,033	1,121
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-108	-108
3070	Change in uncollected pymts, Fed sources, unexpired .....		-291
3090	Uncollected pymts, Fed sources, end of year .....	-108	-399
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	863	925
3200	Obligated balance, end of year .....	925	722

EMPLOYEES LIFE INSURANCE FUND—Continued  
Program and Financing—Continued

Identification code 024-8424-0-8-602	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	4
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	5	3	4
Mandatory:			
4090 Budget authority, gross	4,181	4,695	4,589
Outlays, gross:			
4100 Outlays from new mandatory authority	2,303	2,465	2,506
4101 Outlays from mandatory balances	960	799	817
4110 Outlays, gross (total)	3,263	3,264	3,323
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-575	-567	-571
4121 Interest on Federal securities	-676	-750	-868
4123 Non-Federal sources	-2,933	-3,090	-3,148
4130 Offsets against gross budget authority and outlays (total)	-4,184	-4,407	-4,587
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired		-291	-6
4160 Budget authority, net (mandatory)	-3	-3	-4
4170 Outlays, net (mandatory)	-921	-1,143	-1,264
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-916	-1,140	-1,260
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	45,680	46,616	48,272
5001 Total investments, EOY: Federal securities: Par value	46,616	48,272	49,535

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays	-916	-1,140	-1,260
Legislative proposal, not subject to PAYGO:			
Outlays			1,260
Total:			
Outlays	-916	-1,140	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

EMPLOYEES LIFE INSURANCE FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-8424-2-8-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Insurance Payments			-3,379
0804 Administration—OPM & OIG			-4
0805 Administration—long term care			-3
0900 Total new obligations, unexpired accounts (object class 25.2)			-3,386
<b>Budgetary resources:</b>			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-8432]			-46,881
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			-4
Spending authority from offsetting collections, mandatory:			
1800 Collected			-4,583
1801 Change in uncollected payments, Federal sources			-6
1850 Spending auth from offsetting collections, mand (total)			-4,589
1900 Budget authority (total)			-4,593
1930 Total budgetary resources available			-51,474
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-48,088

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-3,386
3020 Outlays (gross)			3,327
3030 Unpaid obligations transferred to other accts [047-8432]			-1,121
3050 Unpaid obligations, end of year			-1,180
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			6
3080 Uncollected pymts from Fed sources transferred to other accounts			399
3090 Uncollected pymts, Fed sources, end of year			405
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-775
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross			-4
Outlays, gross:			
4010 Outlays from new discretionary authority			-4
Mandatory:			
4090 Budget authority, gross			-4,589
Outlays, gross:			
4100 Outlays from new mandatory authority			-2,506
4101 Outlays from mandatory balances			-817
4110 Outlays, gross (total)			-3,323
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			571
4121 Interest on Federal securities			868
4123 Non-Federal sources			3,148
4130 Offsets against gross budget authority and outlays (total)			4,587
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired			6
4160 Budget authority, net (mandatory)			4
4170 Outlays, net (mandatory)			1,264
4180 Budget authority, net (total)			
4190 Outlays, net (total)			1,260

Memorandum (non-add) entries:

5001 Total investments, EOY: Federal securities: Par value			-49,535
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EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

Program and Financing (in millions of dollars)

Identification code 024-9981-0-8-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Benefit payments	52,941	55,472	58,244
0802 Payments from OPM contingency reserve	267	300	300
0803 Government payment for annuants (1960 Act)		1	1
0804 Administration (OPM and OIG)	52	53	58
0806 Administration - dental and vision program	4		
0900 Total new obligations, unexpired accounts (object class 25.6)	53,264	55,826	58,603
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23,337	24,606	24,857
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	53	53	58
Spending authority from offsetting collections, mandatory:			
1800 Collected	54,418	55,951	58,785
1801 Change in uncollected payments, Federal sources	62	73	119
1850 Spending auth from offsetting collections, mand (total)	54,480	56,024	58,904
1900 Budget authority (total)	54,533	56,077	58,962
1930 Total budgetary resources available	77,870	80,683	83,819
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	24,606	24,857	25,216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,877	4,965	4,974
3010 New obligations, unexpired accounts	53,264	55,826	58,603
3020 Outlays (gross)	-53,176	-55,817	-58,596
3050 Unpaid obligations, end of year	4,965	4,974	4,981

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2,233	-2,295	-2,368
3070	Change in uncollected pymts, Fed sources, unexpired .....	-62	-73	-119
3090	Uncollected pymts, Fed sources, end of year .....	-2,295	-2,368	-2,487
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2,644	2,670	2,606
3200	Obligated balance, end of year .....	2,670	2,606	2,494
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	53	53	58
Outlays, gross:				
4010	Outlays from new discretionary authority .....	37	53	58
4011	Outlays from discretionary balances .....	18		
4020	Outlays, gross (total) .....	55	53	58
Mandatory:				
4090	Budget authority, gross .....	54,480	56,024	58,904
Outlays, gross:				
4100	Outlays from new mandatory authority .....	48,302	50,536	53,301
4101	Outlays from mandatory balances .....	4,819	5,228	5,237
4110	Outlays, gross (total) .....	53,121	55,764	58,538
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal Sources (OIG) .....	-37,761	-38,752	-40,629
4121	Interest on Federal securities .....	-322	-371	-488
4123	Non-Federal sources .....	-16,388	-16,881	-17,726
4130	Offsets against gross budget authority and outlays (total) ....	-54,471	-56,004	-58,843
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-62	-73	-119
4160	Budget authority, net (mandatory) .....	-53	-53	-58
4170	Outlays, net (mandatory) .....	-1,350	-240	-305
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1,295	-187	-247
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	26,021	27,370	28,773
5001	Total investments, EOY: Federal securities: Par value .....	27,370	28,773	29,105

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays .....	-1,295	-187	-247
Legislative proposal, not subject to PAYGO:			
Outlays .....			247
Total:			
Outlays .....	-1,295	-187	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

**Status of Funds** (in millions of dollars)

Identification code 024-9981-0-8-551	2018 actual	2019 est.	2020 est.	
Unexpended balance, start of year:				
0100	Balance, start of year .....	25,982	27,375	27,562
0298	Reconciliation adjustment .....	44		
0999	Total balance, start of year .....	26,026	27,375	27,562
Cash income during the year:				
Current law:				
Receipts:				
1130	Employees and Retired Employees Health Benefits Funds .....	16,388	16,881	17,726
1150	Employees and Retired Employees Health Benefits Funds .....	322	371	488
1160	Employees and Retired Employees Health Benefits Funds .....	37,761	38,752	40,629
1199	Income under present law .....	54,471	56,004	58,843
Proposed:				
Offsetting receipts (proprietary):				
1230	Employees and Retired Employees Health Benefits Funds .....			-17,726
1250	Employees and Retired Employees Health Benefits Funds .....			-488
Offsetting governmental receipts:				
1260	Employees and Retired Employees Health Benefits Funds .....			-40,629

1299	Income proposed .....			-58,843
1999	Total cash income .....	54,471	56,004	
Cash outgo during year:				
Current law:				
2100	Employees and Retired Employees Health Benefits Funds [027-00-9981-0] .....	-53,176	-55,817	-58,596
2199	Outgo under current law .....	-53,176	-55,817	-58,596
Proposed:				
2200	Employees and Retired Employees Health Benefits Funds .....			58,596
2299	Outgo under proposed legislation .....			58,596
2999	Total cash outgo (-) .....	-53,176	-55,817	
Surplus or deficit:				
3110	Excluding interest .....	973	-184	
3120	Interest .....	322	371	
3199	Subtotal, surplus or deficit .....	1,295	187	
3230	Employees and Retired Employees Health Benefits Funds .....			-24,857
3230	Employees and Retired Employees Health Benefits Funds .....			-4,974
3230	Employees and Retired Employees Health Benefits Funds .....			2,368
3298	Reconciliation adjustment .....	54		
3298	Transfer to GSA .....			-99
3299	Total adjustments .....	54		-27,562
3999	Total change in fund balance .....	1,349	187	-27,562
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	5	-1,211	
4200	Employees and Retired Employees Health Benefits Funds .....	27,370	28,773	29,105
4200	Employees and Retired Employees Health Benefits Funds .....			-29,105
4999	Total balance, end of year .....	27,375	27,562	

**EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 024-9981-2-8-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801	Benefit payments .....		-58,244
0802	Payments from OPM contingency reserve .....		-300
0803	Government payment for annuitants (1960 Act) .....		-1
0804	Administration (OPM and OIG) .....		-58
0900	Total new obligations, unexpired accounts (object class 25.6) .....		-58,603
<b>Budgetary resources:</b>			
Unobligated balance:			
1010	Unobligated balance transfer to other accts [047-8433] .....		-24,857
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected .....		-58
Spending authority from offsetting collections, mandatory:			
1800	Collected .....		-58,785
1801	Change in uncollected payments, Federal sources .....		-119
1850	Spending auth from offsetting collections, mand (total) .....		-58,904
1900	Budget authority (total) .....		-58,962
1930	Total budgetary resources available .....		-83,819
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....		-25,216
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....		-58,603
3020	Outlays (gross) .....		58,596
3030	Unpaid obligations transferred to other accts [047-8433] .....		-4,974
3050	Unpaid obligations, end of year .....		-4,981
Uncollected payments:			
3070	Change in uncollected pymts, Fed sources, unexpired .....		119
3080	Uncollected pymts from Fed sources transferred to other accounts .....		2,368
3090	Uncollected pymts, Fed sources, end of year .....		2,487
Memorandum (non-add) entries:			
3200	Obligated balance, end of year .....		-2,494

**Budget authority and outlays, net:**

Discretionary:			
4000	Budget authority, gross .....		-58
Outlays, gross:			
4010	Outlays from new discretionary authority .....		-58

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS—Continued  
Program and Financing—Continued

Identification code 024-9981-2-8-551	2018 actual	2019 est.	2020 est.
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-58,904
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-53,301
4101 Outlays from mandatory balances .....			-5,237
4110 Outlays, gross (total) .....			-58,538
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal Sources [OIG] .....			40,629
4121 Interest on Federal securities .....			488
4123 Non-Federal sources .....			17,726
4130 Offsets against gross budget authority and outlays (total) ...			58,843
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....			119
4160 Budget authority, net (mandatory) .....			58
4170 Outlays, net (mandatory) .....			305

4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			247

<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			-29,105

**GENERAL FUND RECEIPT ACCOUNT**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
024-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	5	2	2
024-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Legislative proposal, not subject to PAYGO .....			-2
General Fund Offsetting receipts from the public .....	5	2	

## SMALL BUSINESS ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the Small Business Administration, including hire of passenger motor vehicles as authorized by sections 1343 and 1344 of title 31, United States Code, and not to exceed \$3,500 for official reception and representation expenses, \$272,157,000, of which not less than \$12,000,000 shall be available for examinations, reviews, and other lender oversight activities: Provided, That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan program activities, including fees authorized by section 5(b) of the Small Business Act: Provided further, That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to remain available until expended, for carrying out these purposes without further appropriations: Provided further, That the Small Business Administration may accept gifts in an amount not to exceed \$4,000,000 and may co-sponsor activities, each in accordance with section 132(a) of division K of Public Law 108-447, during fiscal year 2020: Provided further, That \$6,100,000 shall be available for the Loan Modernization and Accounting System, to be available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 073-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Executive direction .....	72	66	71
0002 Capital Access .....	79	81	82
0003 Gov. Contracting/Bus. Development .....	29	28	29
0004 Entrepreneurial Development .....	8	12	13
0005 Chief Operating Office .....	28	26	27
0006 Office of Chief Information Officer .....	51	37	37
0007 Regional & district offices .....	96	99	100
0008 Agency wide costs .....	54	67	68
0009 Non credit programs .....	3	3	3
0012 Disaster .....	551	.....	177
0013 Investment & Innovation .....	22	16	17
0014 International Trade .....	6	6	7
0900 Total new obligations, unexpired accounts .....	999	441	631
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	389	458	458
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1033 Recoveries of prior year paid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	400	458	458
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	269	269	272
1120 Appropriations transferred to other acct [073-1159] .....	.....	.....	-2
1160 Appropriation, discretionary (total) .....	269	269	270
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	618	.....	177
1700 Collected .....	172	172	175
1750 Spending auth from offsetting collections, disc (total) .....	790	172	352
1900 Budget authority (total) .....	1,059	441	622
1930 Total budgetary resources available .....	1,459	899	1,080
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
1941 Unexpired unobligated balance, end of year .....	458	458	449
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	168	192	55
3010 New obligations, unexpired accounts .....	999	441	631
3011 Obligations ("upward adjustments"), expired accounts .....	5	.....	.....
3020 Outlays (gross) .....	-962	-578	-607
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-8	.....	.....
3050 Unpaid obligations, end of year .....	192	55	79
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	168	192	55

3200	Obligated balance, end of year .....	192	55	79
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	1,059	441	622
Outlays, gross:				
4010	Outlays from new discretionary authority .....	847	290	426
4011	Outlays from discretionary balances .....	115	288	181
4020	Outlays, gross (total) .....	962	578	607
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-772	-154	-333
4033	Non-Federal sources .....	-20	-19	-19
4040	Offsets against gross budget authority and outlays (total) ....	-792	-173	-352
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1	1	.....
4053	Recoveries of prior year paid obligations, unexpired accounts .....	1	.....	.....
4060	Additional offsets against budget authority only (total) .....	2	1	.....
4070	Budget authority, net (discretionary) .....	269	269	270
4080	Outlays, net (discretionary) .....	170	405	255
4180	Budget authority, net (total) .....	269	269	270
4190	Outlays, net (total) .....	170	405	255

This account funds the administrative expenses of SBA headquarters and field office operations. Appropriations for the administration of the disaster and business loan programs are transferred to and merged with this account. The 2020 Budget provides \$6.1 million in funding for the continued modernization of the loan management accounting systems, which will improve oversight of SBA's more than \$131 billion portfolio of loans and loan guarantees. Funding is also requested for core agency activities, including information technology investments and human capital development and enterprise-wide technology modernization initiatives including hardware, software and application standardization, mobile shared services implementation, security vulnerability reduction, and infrastructure upgrades. In 2020, the Budget includes \$6 million to upgrade SBA's existing headquarters space pursuant to the approved prospectus for the GSA replacement of the current lease. It also supports \$2.5 million for further systems development needed to implement the Women-Owned Small Business Certification Program, and \$2.5 million to conduct a comprehensive evaluation of the Small Business Investment Company (SBIC) program and develop a plan to ensure that SBICs provide innovative and modern financial services to American small businesses.

#### Object Classification (in millions of dollars)

Identification code 073-0100-0-1-376	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	193	207	212
11.3	Other than full-time permanent .....	5	6	6
11.5	Other personnel compensation .....	5	4	4
11.8	Special personal services payments .....	.....	2	2
11.9	Total personnel compensation .....	203	219	224
12.1	Civilian personnel benefits .....	69	70	71
21.0	Travel and transportation of persons .....	5	5	5
23.1	Rental payments to GSA .....	36	42	44
23.3	Communications, utilities, and miscellaneous charges .....	9	7	7
24.0	Printing and reproduction .....	.....	1	1
25.1	Advisory and assistance services .....	.....	1	1
25.2	Other services from non-Federal sources .....	113	49	49
25.3	Other purchases of goods and services from Government accounts (Disaster Administrative Expenses) .....	551	23	205
25.4	Operation and maintenance of facilities .....	.....	3	3
25.7	Operation and maintenance of equipment .....	.....	13	13
26.0	Supplies and materials .....	2	3	3
31.0	Equipment .....	6	2	2
41.0	Grants, subsidies, and contributions .....	5	3	3
99.9	Total new obligations, unexpired accounts .....	999	441	631

SALARIES AND EXPENSES—Continued  
Employment Summary

Identification code 073-0100-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,470	3,115	3,079

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$21,900,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 073-0200-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	8	9	10
0002 Investigations .....	12	11	12
0003 Management and Operations .....	1	2	2
0004 Immediate office and Counsel .....	1	1	1
0900 Total new obligations, unexpired accounts .....	22	23	25

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	9	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	20	22
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			2
1900 Budget authority (total) .....	27	20	24
1930 Total budgetary resources available .....	31	29	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	6	5

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 New obligations, unexpired accounts .....	22	23	25
3020 Outlays (gross) .....	-22	-22	-25
3050 Unpaid obligations, end of year .....	3	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	4

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	20	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	18	22
4011 Outlays from discretionary balances .....	3	4	3
4020 Outlays, gross (total) .....	22	22	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....			-2
4180 Budget authority, net (total) .....	27	20	22
4190 Outlays, net (total) .....	22	22	23

The 2020 Budget proposes \$21.9 million in new budget authority and \$1.6 million transferred from the Disaster Loans Program account for a total of \$23.5 million for the Office of Inspector General. This appropriation provides funds for agency-wide audit, investigative, and related functions to promote economy and efficiency in SBA operations and to prevent and detect waste, fraud, and abuse.

Object Classification (in millions of dollars)

Identification code 073-0200-0-1-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	12	13	13
12.1 Civilian personnel benefits .....	5	5	6

25.2 Other services .....	4	4	4
99.0 Direct obligations .....	21	22	23
99.0 Reimbursable obligations .....	1	1	2
99.9 Total new obligations, unexpired accounts .....	22	23	25

Employment Summary

Identification code 073-0200-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	107	114	118

OFFICE OF ADVOCACY

For necessary expenses of the Office of Advocacy in carrying out the provisions of title II of Public Law 94-305 (15 U.S.C. 634a et seq.) and the Regulatory Flexibility Act of 1980 (5 U.S.C. 601 et seq.), \$9,120,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 073-0300-0-1-376	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
0001 Office of Advocacy (Direct) .....	9	9	9

<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	9	9	9
1930 Total budgetary resources available .....	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		1
3010 New obligations, unexpired accounts .....	9	9	9
3020 Outlays (gross) .....	-10	-8	-8
3050 Unpaid obligations, end of year .....		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		1
3200 Obligated balance, end of year .....		1	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	8	8
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	10	8	8
4180 Budget authority, net (total) .....	9	9	9
4190 Outlays, net (total) .....	10	8	8

The 2020 Budget proposes \$9.1 million in new budget authority for the Office of Advocacy to carry out its statutory duties, including those under the Regulatory Flexibility Act. Pursuant to the funding authorization in Section 1602(c) of the Small Business Jobs Act, SBA is requesting that the funds remain available until expended. The Office of Advocacy's advice and small business research help the Federal Government take into account the concerns of small businesses when it develops policies and regulations. The Office's regional advocates support regulatory flexibility at the State level, work with the regional Regulatory Fairness Boards established by the Small Business Regulatory Enforcement Fairness Act, and promote the use of Advocacy research and data products in the curricula of universities and other schools in their respective regions.

**Object Classification** (in millions of dollars)

Identification code 073-0300-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	9	9	9

**Employment Summary**

Identification code 073-0300-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	47	52	52

**ENTREPRENEURIAL DEVELOPMENT PROGRAM**

For necessary expenses of programs supporting entrepreneurial and small business development, \$180,650,000, to remain available until September 30, 2021: Provided, That \$101,000,000 shall be available to fund grants for performance in fiscal year 2020 or fiscal year 2021 as authorized by section 21 of the Small Business Act: Provided further, That not more than 10 percent of the amounts made available in the preceding proviso shall be available for the Administration to award grants (including contracts and cooperative agreements) to entities described in 15 U.S.C. 648(a)(1) if such entities submit proposals that meet criteria established by the Administration: Provided further, That the grants (including contracts and cooperative agreements) described in the preceding proviso shall be exempt from the requirements of 15 U.S.C. 648(a)(4): Provided further, That \$25,000,000 shall be for marketing, management, and technical assistance under section 7(m) of the Small Business Act (15 U.S.C. 636(m)(4)) by intermediaries that make microloans under the microloan program: Provided further, That \$8,000,000 shall be available for grants to States to carry out export programs authorized under section 22(l) of the Small Business Act (15 U.S.C. 649(l)) to assist small business concerns.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 073-0400-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Non-Credit Programs .....	248	247	181
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	29	30	30
1021 Recoveries of prior year unpaid obligations .....	4		
1050 Unobligated balance (total) .....	33	30	30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	247	247	181
1900 Budget authority (total) .....	247	247	181
1930 Total budgetary resources available .....	280	277	211
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
1941 Unexpired unobligated balance, end of year .....	30	30	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	207	224	266
3010 New obligations, unexpired accounts .....	248	247	181
3011 Obligations ("upward adjustments"), expired accounts .....	4		
3020 Outlays (gross) .....	-222	-205	-204
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4		
3041 Recoveries of prior year unpaid obligations, expired .....	-9		
3050 Unpaid obligations, end of year .....	224	266	243
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	207	224	266
3200 Obligated balance, end of year .....	224	266	243
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	247	247	181

<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	54	86	63
4011 Outlays from discretionary balances .....	168	119	141
4020 Outlays, gross (total) .....	222	205	204
4180 Budget authority, net (total) .....	247	247	181
4190 Outlays, net (total) .....	222	205	204

This account supports SBA's core counseling, training and technical assistance programs, including Small Business Development Centers (SBDC), SCORE, Women's Business Centers, Veterans' Business Outreach Centers (VBOC), and Microloan technical assistance, as well as various entrepreneurial development programs and initiatives. These include Entrepreneurial Education, a program designed to train and develop small business owners who are poised for growth; the State Trade Expansion Program (STEP), which helps small businesses tap global markets and expand exports; and Veterans Outreach programs like the Boots to Business program, which provides entrepreneurship training to America's veterans transitioning to civilian life. The Budget also supports other initiatives, such as the HubZone Program and other outreach and contracting activities. In 2020, the Budget proposes the creation of a competitive set-aside within the SBDC program that would reward those centers that most efficiently utilize their resources and provide innovative methods to help entrepreneurs.

**Object Classification** (in millions of dollars)

Identification code 073-0400-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	19	18	14
41.0 Grants, subsidies, and contributions .....	226	225	163
99.9 Total new obligations, unexpired accounts .....	248	247	181

**Employment Summary**

Identification code 073-0400-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	19	25	25

**INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

Identification code 073-1159-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			2
0100 Direct program activities, subtotal .....			2
0900 Total new obligations, unexpired accounts (object class 31.0) .....			2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [073-0100] ....			2
1930 Total budgetary resources available .....			2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			2
3020 Outlays (gross) .....			-1
3050 Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....			2
Outlays, gross:			
4010 Outlays from new discretionary authority .....			1
4180 Budget authority, net (total) .....			2

INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL  
FUND—Continued

Program and Financing—Continued

Identification code 073-1159-0-1-376	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....			1

As authorized by Section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018, this account provides financial resources for long-term system modernization projects. In 2020, it will create greater efficiencies for SBA's management of long-term systems projects such as a Customer Relationship Management platform, development of cloud shared services, and an Identify, Credential, and Access Management (ICAM) solution.

SURETY BOND GUARANTEES REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 073-4156-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Reimbursable obligations .....	18	18	18
0900 Total new obligations, unexpired accounts (object class 42.0) .....	18	18	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	92	97	97
1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	94	97	97
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	21	18	18
1930 Total budgetary resources available .....	115	115	115
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	97	97	97
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	18	18	18
3020 Outlays (gross) .....	-18	-18	-18
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....		18	18
4011 Outlays from discretionary balances .....	18		
4020 Outlays, gross (total) .....	18	18	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-23	-18	-18
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4080 Outlays, net (discretionary) .....	-5		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-5		

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts or work orders, and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide an incentive for sureties to issue bonds to small contractors who could not otherwise secure them and compete in the contracting industry. It is estimated that there are sufficient funds in reserve to cover the cost of claim defaults in 2020. Therefore, no new appropriated funds are requested in the Budget.

BUSINESS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, \$4,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2020 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958 shall not exceed \$7,500,000,000: Provided further, That during fiscal year 2020 commitments for general business loans authorized under section 7(a) of the Small Business Act shall not exceed \$30,000,000,000 for a combination of amortizing term loans and the aggregated maximum line of credit provided by revolving loans: Provided further, That during fiscal year 2020 commitments for loans authorized under subparagraph (C) of section 502(7) of The Small Business Investment Act of 1958 (15 U.S.C. 696(7)) shall not exceed \$1,000,000,000: Provided further, That during fiscal year 2020 commitments to guarantee loans for debentures under section 303(b) of the Small Business Investment Act of 1958 shall not exceed \$4,000,000,000: Provided further, That during fiscal year 2020, guarantees of trust certificates authorized by section 5(g) of the Small Business Act shall not exceed a principal amount of \$12,000,000,000.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, \$155,150,000 which may be transferred to and merged with the appropriations for Salaries and Expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 073-1154-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	3	3	4
0705 Reestimates of direct loan subsidy .....	2	1	
0706 Interest on reestimates of direct loan subsidy .....		1	
0707 Reestimates of loan guarantee subsidy .....	112	60	
0708 Interest on reestimates of loan guarantee subsidy .....	14	23	
0709 Administrative expenses .....	153	153	155
0900 Total new obligations, unexpired accounts .....	284	241	159
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	67	78	83
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	67	78	
1021 Recoveries of prior year unpaid obligations .....	11	5	3
1050 Unobligated balance (total) .....	78	83	86
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	156	156	159
Appropriations, mandatory:			
1200 Appropriation .....	128	85	
1900 Budget authority (total) .....	284	241	159
1930 Total budgetary resources available .....	362	324	245
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	78	83	86
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	22	8	4
3010 New obligations, unexpired accounts .....	284	241	159
3020 Outlays (gross) .....	-287	-240	-160
3040 Recoveries of prior year unpaid obligations, unexpired .....	-11	-5	-3
3050 Unpaid obligations, end of year .....	8	4	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	22	8	4
3200 Obligated balance, end of year .....	8	4	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	156	156	159
Outlays, gross:			
4010 Outlays from new discretionary authority .....	154	153	156
4011 Outlays from discretionary balances .....	5	2	4
4020 Outlays, gross (total) .....	159	155	160
Mandatory:			
4090 Budget authority, gross .....	128	85	

Outlays, gross:				
4100	Outlays from new mandatory authority .....	128	85	.....
4180	Budget authority, net (total) .....	284	241	159
4190	Outlays, net (total) .....	287	240	160

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 073-1154-0-1-376	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 7(m) Direct Microloans .....	36	36	40
115999 Total direct loan levels .....	36	36	40
Direct loan subsidy (in percent):			
132001 7(m) Direct Microloans .....	8.91	8.77	9.29
132999 Weighted average subsidy rate .....	8.91	8.77	9.29
Direct loan subsidy budget authority:			
133001 7(m) Direct Microloans .....	3	3	4
133999 Total subsidy budget authority .....	3	3	4
Direct loan subsidy outlays:			
134001 7(m) Direct Microloans .....	3	3	3
134999 Total subsidy outlays .....	3	3	3
Direct loan reestimates:			
135001 7(m) Direct Microloans .....	1	.....	.....
135010 7(m) Direct Microloans—ARRA .....	.....	-1	.....
135999 Total direct loan reestimates .....	1	-1	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215002 7(a) General Business Loan Guarantees .....	23,866	29,000	30,000
215004 Section 504 Certified Development Companies Debentures .....	4,593	7,500	7,500
215006 SBIC Debentures .....	2,522	4,000	4,000
215010 Secondary Market Guarantee .....	7,999	12,000	12,000
215027 504 Commercial Real Estate (CRE) Refinance Program .....	150	7,500	1,000
215999 Total loan guarantee levels .....	39,130	60,000	54,500
Guaranteed loan subsidy (in percent):			
232002 7(a) General Business Loan Guarantees .....	0.00	0.00	-31
232004 Section 504 Certified Development Companies Debentures .....	0.00	0.00	-43
232006 SBIC Debentures .....	0.00	0.00	-52
232010 Secondary Market Guarantee .....	0.00	0.00	-04
232027 504 Commercial Real Estate (CRE) Refinance Program .....	0.00	0.00	-43
232999 Weighted average subsidy rate .....	0.00	0.00	-28
Guaranteed loan subsidy budget authority:			
233002 7(a) General Business Loan Guarantees .....	.....	.....	-93
233004 Section 504 Certified Development Companies Debentures .....	.....	.....	-32
233006 SBIC Debentures .....	.....	.....	-21
233010 Secondary Market Guarantee .....	.....	.....	-5
233027 504 Commercial Real Estate (CRE) Refinance Program .....	.....	.....	-4
233999 Total subsidy budget authority .....	.....	.....	-155
Guaranteed loan subsidy outlays:			
234002 7(a) General Business Loan Guarantees .....	.....	.....	-93
234004 Section 504 Certified Development Companies Debentures .....	2	6	-26
234006 SBIC Debentures .....	.....	.....	-21
234010 Secondary Market Guarantee .....	.....	.....	-5
234027 504 Commercial Real Estate (CRE) Refinance Program .....	.....	.....	-4
234999 Total subsidy outlays .....	2	6	-149
Guaranteed loan reestimates:			
235002 7(a) General Business Loan Guarantees .....	-757	-143	.....
235003 7(a) General Business Loan Guarantees—STAR .....	-3	-1	.....
235004 Section 504 Certified Development Companies Debentures .....	-484	-344	.....
235006 SBIC Debentures .....	-134	-109	.....
235007 SBIC Participating Securities .....	-90	-97	.....
235008 SBIC New Market Venture Capital .....	7	-10	.....
235010 Secondary Market Guarantee .....	20	-41	.....
235015 Secondary Market 504 First Mortgage Guarantees-ARRA .....	3	-5	.....
235016 ARC Loan Guarantees—ARRA .....	-1	-1	.....
235017 7(a) General Business Loan Guarantees—ARRA .....	.....	3	.....
235018 Section 504 Certified Development Companies—ARRA .....	-12	-2	.....
235026 Section 504 Certified Development Companies Debentures—ARRA Ext .....	-15	-7	.....
235027 504 Commercial Real Estate (CRE) Refinance Program .....	-27	-17	.....
235028 7(a) Business Loan Guarantees—ARRA Extension .....	1	7	.....
235999 Total guaranteed loan reestimates .....	-1,492	-767	.....

As required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the business loan

program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2020, the Budget proposes \$159.2 million in new budget authority for the Business Loans Program account. This includes \$155.2 million in administrative expenses funding and \$4.0 million in credit subsidy for the direct Microloan Program to support a program level of \$40 million. The 2020 Budget requests no subsidy appropriation for SBA's business loan guarantee programs, the 7(a), 504 Certified Development Company (CDC), 504 Debt Refinancing, and Small Business Investment Company (SBIC) programs. The Budget supports a program level of \$30 billion in Section 7(a) loan guarantees that provide general business credit assistance, while waiving upfront fees on SBA Express loans to Veterans. The Budget also proposes a program level of \$7.5 billion for the guaranteed loan program authorized by Section 503 of the Small Business Investment Act of 1958 for long-term, fixed-rate financing and a program level of \$1.0 billion for purposes of refinancing existing commercial mortgage and equipment debt authorized by Section 502(7)(C) of the Small Business Investment Act of 1958. The 2020 Budget continues to support innovative financial instruments through the SBA's SBIC program by providing up to \$4 billion in long-term guaranteed loans to support venture capital investments in small businesses. In addition, the Budget supports a \$12 billion program level for the Secondary Market Guarantee (SMG) program, which allows SBA's fiscal agent to pool the guaranteed portion of 7(a) loans and sell the securities to investors, in turn providing liquidity to participating 7(a) program lenders.

The Budget proposes that SBA introduce counter-cyclical policies to its business loan guarantee programs in 2020 by enabling it to adjust fees to cover its anticipated lending and offset administrative costs. In the absence of this flexibility to adjust fees, the 7(a) program will not be able to operate at zero subsidy under current law in 2020. The Budget also proposes to increase the loan limit for SBA Express loans and loans to small manufacturers, and to introduce an annual fee on trust certificate holders to help cover the costs of improving and maintaining the SMG program.

**Object Classification** (in millions of dollars)

Identification code 073-1154-0-1-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.3 Other goods and services from Federal sources .....	153	153	155
41.0 Grants, subsidies, and contributions .....	131	88	4
99.9 Total new obligations, unexpired accounts .....	284	241	159

**BUSINESS DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4148-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0710 Direct loan obligations .....	36	36	40
0713 Payment of interest to Treasury .....	5	5	5
0742 Downward reestimates paid to receipt accounts .....	1	3	.....
0743 Interest on downward reestimates .....	.....	1	.....
0900 Total new obligations, unexpired accounts .....	42	45	45
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	4	5
1021 Recoveries of prior year unpaid obligations .....	2	3	3
1023 Unobligated balances applied to repay debt .....	-5	.....	.....
1050 Unobligated balance (total) .....	2	7	8
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	34	38	38
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	44	37	38

BUSINESS DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 073-4148-0-3-376	2018 actual	2019 est.	2020 est.
1825 Spending authority from offsetting collections applied to repay debt .....	-34	-32	-32
1850 Spending auth from offsetting collections, mand (total) .....	10	5	6
1900 Budget authority (total) .....	44	43	44
1930 Total budgetary resources available .....	46	50	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	5	7

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	42	38	46
3010 New obligations, unexpired accounts .....	42	45	45
3020 Outlays (gross) .....	-44	-34	-34
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	38	46	54
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year .....	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	38	34	42
3200 Obligated balance, end of year .....	34	42	50

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	44	43	44
Financing disbursements:			
4110 Outlays, gross (total) .....	44	34	34
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Subsidy from program account .....	-3	-3	-4
4120 Upward reestimate .....	-2	-1	.....
4120 Interest on reestimate .....	.....	-1	.....
4122 Interest on uninvested funds .....	-2	-2	-2
4123 Repayments of principal, net .....	-37	-29	-29
4123 Other income .....	.....	-1	-3
4130 Offsets against gross budget authority and outlays (total) ....	-44	-37	-38
4160 Budget authority, net (mandatory) .....	.....	6	6
4170 Outlays, net (mandatory) .....	.....	-3	-4
4180 Budget authority, net (total) .....	.....	6	6
4190 Outlays, net (total) .....	.....	-3	-4

Status of Direct Loans (in millions of dollars)

Identification code 073-4148-0-3-376	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority .....	36	36	40
1121 Limitation available from carry-forward .....	16	.....	.....
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-16	.....	.....
1150 Total direct loan obligations .....	36	36	40
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	184	191	192
1231 Disbursements: Direct loan disbursements .....	38	32	32
1251 Repayments: Repayments and prepayments .....	-32	-30	-32
1263 Write-offs for default: Direct loans .....	-1	-1	-1
1264 Other adjustments, net (+ or -) .....	2	.....	.....
1290 Outstanding, end of year .....	191	192	191

Balance Sheet (in millions of dollars)

Identification code 073-4148-0-3-376	2017 actual	2018 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury .....	43	38
Investments in U.S. securities:		
1106 Receivables, net .....	1	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	184	191
1405 Allowance for subsidy cost (-) .....	-13	-20
1499 Net present value of assets related to direct loans .....	171	171
1999 Total assets .....	215	210

LIABILITIES:

Federal liabilities:		
2103 Debt .....	213	209
2104 Resources payable to Treasury (Downward Reestimate) .....	2	1
2201 Non-Federal liabilities: Accounts payable .....	.....	.....
2999 Total liabilities .....	215	210
NET POSITION:		
3300 Cumulative results of operations .....	.....	.....
4999 Total liabilities and net position .....	215	210

BUSINESS GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4149-0-3-376	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0005 Other Expenses .....	33	75	75
Credit program obligations:			
0711 Default claim payments on principal .....	1,032	1,680	1,680
0712 Default claim payments on interest .....	59	100	100
0713 Payment of interest to Treasury .....	67	80	80
0740 Negative subsidy obligations .....	.....	.....	155
0742 Downward reestimates paid to receipt accounts .....	1,397	688	.....
0743 Interest on downward reestimates .....	219	161	.....
0791 Direct program activities, subtotal .....	2,774	2,709	2,015
0900 Total new obligations, unexpired accounts .....	2,807	2,784	2,090

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,424	4,257	4,348
1023 Unobligated balances applied to repay debt .....	-203	.....	.....
1050 Unobligated balance (total) .....	4,221	4,257	4,348
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....	256	306	356
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,600	2,569	2,586
1801 Change in uncollected payments, Federal sources .....	-13	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	2,587	2,569	2,586
1900 Budget authority (total) .....	2,843	2,875	2,942
1930 Total budgetary resources available .....	7,064	7,132	7,290
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,257	4,348	5,200

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	24	919
3010 New obligations, unexpired accounts .....	2,807	2,784	2,090
3020 Outlays (gross) .....	-2,808	-1,889	-1,889
3050 Unpaid obligations, end of year .....	24	919	1,120
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired .....	13	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	17	912
3200 Obligated balance, end of year .....	17	912	1,113

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	2,843	2,875	2,942
Financing disbursements:			
4110 Outlays, gross (total) .....	2,808	1,889	1,889
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Subsidy from program account .....	-2	-6	-6
4120 Upward reestimate .....	-112	-60	.....
4120 Interest on reestimate .....	-14	-23	.....
4122 Interest on uninvested funds .....	-89	-90	-90
4123 Fees .....	-1,418	-1,420	-1,520
4123 Principal .....	-868	-870	-870
4123 Interest .....	-68	-70	-70
4123 Sale of Foreclosed Property .....	-3	.....	.....
4123 Other .....	-26	-30	-30
4130 Offsets against gross budget authority and outlays (total) ....	-2,600	-2,569	-2,586

Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired .....	13	
4160	Budget authority, net (mandatory) .....	256	306 356
4170	Outlays, net (mandatory) .....	208	-680 -697
4180	Budget authority, net (total) .....	256	306 356
4190	Outlays, net (total) .....	208	-680 -697

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 073-4149-0-3-376			
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority .....	60,000	60,000 54,500
2121	Limitation available from carry-forward .....		
2142	Uncommitted loan guarantee limitation .....	-20,870	
2150	Total guaranteed loan commitments .....	39,130	60,000 54,500
2199	Guaranteed amount of guaranteed loan commitments .....	32,796	50,794 50,794
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	121,005	128,765 141,335
2231	Disbursements of new guaranteed loans .....	29,432	35,976 40,814
2251	Repayments and prepayments .....	-20,195	-21,490 -23,588
Adjustments:			
2261	Terminations for default that result in loans receivable .....	-1,246	-1,550 -1,792
2263	Terminations for default that result in claim payments .....	-238	-366 -416
2264	Other adjustments, net .....	7	
2290	Outstanding, end of year .....	128,765	141,335 156,353
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	105,574	115,881 128,219
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	3,710	3,228 3,615
2331	Disbursements for guaranteed loan claims .....	992	1,570 1,786
2351	Repayments of loans receivable .....	-699	-379 -495
2361	Write-offs of loans receivable .....	-788	-804 -905
2364	Other adjustments, net .....	13	
2390	Outstanding, end of year .....	3,228	3,615 4,001

**Balance Sheet** (in millions of dollars)

Identification code 073-4149-0-3-376			
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury .....	4,429	4,274
Investments in U.S. securities:			
1106	Receivables, net .....	135	145
1206	Non-Federal assets: Receivables, net .....	99	90
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross .....	3,710	3,228
1502	Interest receivable .....		
1504	Foreclosed property .....	9	14
1505	Allowance for subsidy cost (-) .....	-2,829	-2,423
1599	Net present value of assets related to defaulted guaranteed loans .....	890	819
1999	Total assets .....	5,553	5,328
LIABILITIES:			
Federal liabilities:			
2103	Debt .....	1,897	1,950
2105	Other .....	1,087	703
Non-Federal liabilities:			
2201	Accounts payable .....	13	12
2204	Liabilities for loan guarantees .....	2,556	2,663
2999	Total liabilities .....	5,553	5,328
NET POSITION:			
3300	Cumulative results of operations .....		
4999	Total liabilities and net position .....	5,553	5,328

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4154-0-3-376			
2018 actual 2019 est. 2020 est.			
<b>Obligations by program activity:</b>			
0006	Interest to UST .....	1	1 1
0007	Other Expenses .....		1 1
0600	Direct program activities, subtotal .....	1	2 2
0900	Total new obligations, unexpired accounts (object class 43.0) .....	1	2 2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	1	2 2
Spending authority from offsetting collections, mandatory:			
1800	Collected .....	3	3 3
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-3	-3 -3
1900	Budget authority (total) .....	1	2 2
1930	Total budgetary resources available .....	1	2 2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	3	1 1
3010	New obligations, unexpired accounts .....	1	2 2
3020	Outlays (gross) .....	-3	-2 -2
3050	Unpaid obligations, end of year .....	1	1 1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	3	1 1
3200	Obligated balance, end of year .....	1	1 1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	1	2 2
Outlays, gross:			
4100	Outlays from new mandatory authority .....		2 2
4101	Outlays from mandatory balances .....	3	
4110	Outlays, gross (total) .....	3	2 2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources .....	-3	-3 -3
4180	Budget authority, net (total) .....	-2	-1 -1
4190	Outlays, net (total) .....		-1 -1

**Status of Direct Loans** (in millions of dollars)

Identification code 073-4154-0-3-376			
2018 actual 2019 est. 2020 est.			
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year .....	5	4 2
1251	Repayments: Repayments and prepayments .....		-1 -1
1263	Write-offs for default: Direct loans .....	-1	-1 -1
1290	Outstanding, end of year .....	4	2

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 073-4154-0-3-376			
2018 actual 2019 est. 2020 est.			
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year .....	1	1 1
2251	Repayments and prepayments .....		
2290	Outstanding, end of year .....	1	1 1
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....		1 1
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year .....	2	1 1
2331	Disbursements for guaranteed loan claims .....		
2351	Repayments of loans receivable .....	-1	
2361	Write-offs of loans receivable .....		
2364	Other adjustments, net .....		
2390	Outstanding, end of year .....	1	1 1

BUSINESS LOAN FUND LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 073-4154-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	3	1
1206 Non-Federal assets: Receivables, net .....	2	.....
1601 Direct loans, gross .....	5	4
1602 Interest receivable .....	.....	.....
1603 Allowance for estimated uncollectible loans and interest (-) .....	-3	-7
1606 Foreclosed property .....	.....	4
1699 Value of assets related to direct loans .....	2	1
1701 Defaulted guaranteed loans, gross .....	2	1
1703 Allowance for estimated uncollectible loans and interest (-) .....	-2	.....
1799 Value of assets related to loan guarantees .....	.....	1
1801 Other Federal assets: Cash and other monetary assets .....	.....	2
1999 Total assets .....	7	5
<b>LIABILITIES:</b>		
Federal liabilities:		
2102 Interest payable .....	2	1
2104 Resources payable to Treasury .....	4	4
2201 Non-Federal liabilities: Accounts payable .....	1	.....
2999 Total liabilities .....	7	5
<b>NET POSITION:</b>		
3100 Unexpended appropriations .....	.....	.....
3300 Cumulative results of operations .....	.....	.....
3999 Total net position .....	.....	.....
4999 Total liabilities and net position .....	7	5

DISASTER LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the direct loan program authorized by section 7(b) of the Small Business Act, \$177,136,000, to be available until expended, of which \$1,600,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan programs and shall be transferred to and merged with the appropriations for the Office of Inspector General; of which \$167,136,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses; and of which \$8,400,000 is for indirect administrative expenses for the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 073-1152-0-1-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0701 Direct loan subsidy .....	548	381	150
0705 Reestimates of direct loan subsidy .....	8	122	.....
0706 Interest on reestimates of direct loan subsidy .....	4	7	.....
0709 Administrative expenses .....	618	.....	177
0900 Total new obligations, unexpired accounts .....	1,178	510	327
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	687	1,226	885
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	687	1,226	.....
1021 Recoveries of prior year unpaid obligations .....	55	40	40
1050 Unobligated balance (total) .....	742	1,266	925
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1,652	.....	26
1100 Appropriation, disaster relief pursuant Stafford Act .....	.....	.....	151
1131 Unobligated balance of appropriations permanently reduced .....	-3	.....	.....
1160 Appropriation, discretionary (total) .....	1,649	.....	177

Appropriations, mandatory:			
1200 Appropriation .....	13	129	.....
1900 Budget authority (total) .....	1,662	129	177
1930 Total budgetary resources available .....	2,404	1,395	1,102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,226	885	775
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	115	150	366
3010 New obligations, unexpired accounts .....	1,178	510	327
3020 Outlays (gross) .....	-1,088	-254	-302
3040 Recoveries of prior year unpaid obligations, unexpired .....	-55	-40	-40
3050 Unpaid obligations, end of year .....	150	366	351
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	115	150	366
3200 Obligated balance, end of year .....	150	366	351
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1,649	.....	177
Outlays, gross:			
4010 Outlays from new discretionary authority .....	618	.....	177
4011 Outlays from discretionary balances .....	457	125	125
4020 Outlays, gross (total) .....	1,075	125	302
Mandatory:			
4090 Budget authority, gross .....	13	129	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	13	129	.....
4180 Budget authority, net (total) .....	1,662	129	177
4190 Outlays, net (total) .....	1,088	254	302

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 073-1152-0-1-453	2018 actual	2019 est.	2020 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Disaster Assistance Loans .....	4,373	3,100	1,100
115999 Total direct loan levels .....	4,373	3,100	1,100
Direct loan subsidy (in percent):			
132001 Disaster Assistance Loans .....	12.54	12.29	13.62
132999 Weighted average subsidy rate .....	12.54	12.29	13.62
Direct loan subsidy budget authority:			
133001 Disaster Assistance Loans .....	548	381	150
133999 Total subsidy budget authority .....	548	381	150
Direct loan subsidy outlays:			
134001 Disaster Assistance Loans .....	457	88	88
134999 Total subsidy outlays .....	457	88	88
Direct loan reestimates:			
135001 Disaster Assistance Loans .....	-112	38	.....
135002 Economic Injury Disaster Loans—Terrorist Attack .....	-2	-2	.....
135999 Total direct loan reestimates .....	-114	36	.....
Administrative expense data:			
3510 Budget authority .....	618	.....	177
3590 Outlays from new authority .....	618	.....	177

As required by the Federal Credit Reform Act of 1990, as amended, for loans made pursuant to Section 7(b) of the Small Business Act, as amended, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the disaster loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act provide Federal assistance for non-farm, private sector disaster losses. Through the disaster assistance program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of replacing, rebuilding or repairing property damaged by disasters. The program is the only form of SBA financial assistance not limited to small businesses. The program provides subsidized loans for up to 30 years to borrowers who have incurred uninsured physical losses or economic injury as the result of a disaster.

In 2020, the Budget supports \$1.1 billion in loans, the ten-year normalized average for such loans. The Budget requests \$150.9 million in new budget authority for administrative expenses related to major disasters (pursuant to a determination under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act) and \$26.2 million for administrative expenses related to non-major disasters.

**Object Classification** (in millions of dollars)

Identification code 073-1152-0-1-453	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Other goods and services from Federal sources .....	618		177
41.0 Grants, subsidies, and contributions .....	560	510	150
99.9 Total new obligations, unexpired accounts .....	1,178	510	327

**DISASTER DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4150-0-3-453	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Other .....	15	25	25
<b>Credit program obligations:</b>			
0710 Direct loan obligations .....	4,373	3,100	1,100
0713 Payment of interest to Treasury .....	314	350	350
0742 Downward reestimates paid to receipt accounts .....	82	60	
0743 Interest on downward reestimates .....	45	33	
0791 Direct program activities, subtotal .....	4,814	3,543	1,450
0900 Total new obligations, unexpired accounts .....	4,829	3,568	1,475
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	124	453	213
1021 Recoveries of prior year unpaid obligations .....	382	99	99
1023 Unobligated balances applied to repay debt .....	-122		
1050 Unobligated balance (total) .....	384	552	312
<b>Financing authority:</b>			
<b>Borrowing authority, mandatory:</b>			
1400 Borrowing authority .....	3,950	2,719	965
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1,455	1,500	1,500
1801 Change in uncollected payments, Federal sources .....	36		
1820 Capital transfer of spending authority from offsetting collections to general fund .....		-990	-900
1825 Spending authority from offsetting collections applied to repay debt .....	-543		
1850 Spending auth from offsetting collections, mand (total) .....	948	510	600
1900 Budget authority (total) .....	4,898	3,229	1,565
1930 Total budgetary resources available .....	5,282	3,781	1,877
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	453	213	402

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	806	1,212	3,168
3010 New obligations, unexpired accounts .....	4,829	3,568	1,475
3020 Outlays (gross) .....	-4,041	-1,513	-1,513
3040 Recoveries of prior year unpaid obligations, unexpired .....	-382	-99	-99
3050 Unpaid obligations, end of year .....	1,212	3,168	3,031
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-115	-151	-151
3070 Change in uncollected pymts, Fed sources, unexpired .....	-36		
3090 Uncollected pymts, Fed sources, end of year .....	-151	-151	-151
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	691	1,061	3,017
3200 Obligated balance, end of year .....	1,061	3,017	2,880

**Financing authority and disbursements, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	4,898	3,229	1,565
<b>Financing disbursements:</b>			
4110 Outlays, gross (total) .....	4,041	1,513	1,513

**Offsets against gross financing authority and disbursements:**

<b>Offsetting collections (collected) from:</b>			
4120 Payments from program account .....	-457	-88	-88
4120 Upward reestimate .....	-8	-122	
4120 Interest on upward reestimate .....	-4	-7	
4122 Interest income from Treasury .....	-96	-230	-230
4123 Repayments of principal, net .....	-890	-1,053	-1,182
4130 Offsets against gross budget authority and outlays (total) .....	-1,455	-1,500	-1,500
<b>Additional offsets against financing authority only (total):</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-36		
4160 Budget authority, net (mandatory) .....	3,407	1,729	65
4170 Outlays, net (mandatory) .....	2,586	13	13
4180 Budget authority, net (total) .....	3,407	1,729	65
4190 Outlays, net (total) .....	2,586	13	13

**Status of Direct Loans** (in millions of dollars)

Identification code 073-4150-0-3-453	2018 actual	2019 est.	2020 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1121 Limitation available from carry-forward .....	5,462	9,430	6,330
1143 Unobligated limitation carried forward (P.L. xx) (-) .....	-1,089	-6,330	-5,230
1150 Total direct loan obligations .....	4,373	3,100	1,100
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	6,191	9,059	9,044
1231 Disbursements: Direct loan disbursements .....	3,597	706	1,036
1251 Repayments: Repayments and prepayments .....	-664	-580	-706
1263 Write-offs for default: Direct loans .....	-96	-141	-140
1264 Other adjustments, net (+ or -) .....	31		
1290 Outstanding, end of year .....	9,059	9,044	9,234

**Balance Sheet** (in millions of dollars)

Identification code 073-4150-0-3-453	2017 actual	2018 actual
<b>ASSETS:</b>		
<b>Federal assets:</b>		
1101 Fund balances with Treasury .....	417	1,290
<b>Investments in U.S. securities:</b>		
1106 Receivables, net .....		129
<b>Net value of assets related to post-1991 direct loans receivable:</b>		
1401 Direct loans receivable, gross .....	6,191	9,059
1402 Interest receivable .....		
1404 Foreclosed property .....		2
1405 Allowance for subsidy cost (-) .....	-896	-1,325
1499 Net present value of assets related to direct loans .....	5,295	7,736
1999 Total assets .....	5,712	9,155
<b>LIABILITIES:</b>		
<b>Federal liabilities:</b>		
2103 Debt .....	5,709	9,054
2105 Other .....	3	93
2201 Non-Federal liabilities: Accounts payable .....		8
2999 Total liabilities .....	5,712	9,155
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	5,712	9,155

**DISASTER LOAN FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 073-4153-0-3-453	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-1	-1	-1

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	-1	-1	-1

DISASTER LOAN FUND LIQUIDATING ACCOUNT—Continued  
Program and Financing—Continued

Identification code 073-4153-0-3-453	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 073-4153-0-3-453	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2	1	1
1251 Repayments: Repayments and prepayments .....	-1		
1290 Outstanding, end of year .....	1	1	1

Balance Sheet (in millions of dollars)

Identification code 073-4153-0-3-453	2017 actual	2018 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury .....		
1601 Direct loans, net .....	2	1
1603 Allowance for estimated uncollectible loans and interest (-) .....		
1699 Value of assets related to direct loans .....	2	1
1999 Total assets .....	2	1
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury .....	2	1
NET POSITION:		
3100 Unexpended appropriations .....		
4999 Total liabilities and net position .....	2	1

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
073-272130 Disaster Loan Program, Downward Reestimates of Subsidies .....	126	93	
073-272210 Business Loan Program, Negative Subsidies .....			155
073-272230 Business Loan Program, Downward Reestimates of Subsidies .....	1,618	852	
General Fund Offsetting receipts from the public .....	1,744	945	155

ADMINISTRATIVE PROVISIONS—SMALL BUSINESS ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

SEC. 520. Not to exceed 5 percent of any appropriation made available in this title for the Small Business Administration may be transferred between such appropriations upon the advance notification to the Committees on Appropriations of the House of Representatives and the Senate: Provided, That no transfer under this section may increase any such appropriation by more than 10 percent.

SEC. 521. Not to exceed 3 percent of any appropriation made available in this Act for the Small Business Administration under the headings "Salaries and Expenses" and "Business Loans Program Account" may be transferred to the "Information Technology System Modernization and Working Capital Fund" (IT WCF), authorized by Section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018, for the purposes specified in section 1077(b)(3) of such Act, upon the advance approval of the Committees on Appropriations of the House of Representatives and the Senate: Provided, That amounts transferred to the IT WCF under this section shall remain available for obligation through September 30, 2023.

SEC. 522. BUSINESS LOAN PROGRAM FEE PROVISIONS.

(a) Section 7(a)(18) of the Small Business Act (15 U.S.C. 636(a)(18)) is amended by—

- (1) rewriting the parenthetical in paragraph (A) to read as follows: "(other than a loan that is repayable in 1 year or less, which shall have a guarantee fee not to exceed 0.50 percent of the deferred participation of the total loan)";
- (2) striking "\$700,000" and inserting instead "\$500,000" in paragraph (A)(ii);

(3) striking "\$700,000" and inserting instead "\$500,000, but not more than \$1,500,000" in paragraph (A)(iii);

(4) redesignating clause (iv) of paragraph (A) as clause (v); and

(5) adding a new clause (iv) to paragraph (A) that reads as follows: "(iv) A guarantee fee not to exceed 4 percent of the deferred participation share of a total loan amount that is more than \$1,500,00."

(b) Section 7(a)(23) of the Small Business Act (15 U.S.C. 636(a)(23)(A)) is amended by—

(1) rewriting paragraph (A) to read as follows: "(A) IN GENERAL.—With respect to each loan approved under this subsection, the Administration shall assess, collect, and retain a fee, not to exceed 0.55 percent per year of the outstanding balance of the deferred participation share of the loan for a total loan amount of \$1,500,000 or less, or 0.83 percent per year of the outstanding balance of the deferred participation share of the loan for a total loan amount greater than \$1,500,000. Such amount shall be established once annually by the Administration in the Administration's annual budget request to Congress, as necessary to reduce to zero (or, in the discretion of the Administration, less than zero) the cost to the Administration of making guarantees under this subsection. As used in this paragraph, the term "cost" has the meaning given that term in section 502 of the Federal Credit Reform Act of 1990 (2 U.S.C. 661a)."; and

(2) striking the phrase "to zero" in paragraph (C), and deleting clause (ii) of such paragraph.

(c) Section 7(a)(26) of the Small Business Act (15 U.S.C. 636(a)(26)) is amended by inserting ", at a minimum," before the word "offset".

SEC. 523. LENDING TO SMALL MANUFACTURERS. Section 502(2)(A)(iii) of the Small Business Investment Act of 1958 (15 U.S.C. 696(2)(A)(iii)) is amended by striking "\$5,500,000" and inserting "\$6,500,000".

SEC. 524. REPEAL OF CERTAIN DISASTER PROGRAM AUTHORITIES.

(a) Section 42 of the Small Business Act (15 U.S.C. 657n) is repealed.

(b) Section 7(c) of the Small Business Act (15 U.S.C. 636(c)) is repealed.

(c) Section 12085 of Public Law 111-246 is repealed.

(d) Section 7(a)(31)(H) of the Small Business Act (15 U.S.C. 636(a)(31)(H)) is repealed.

(e) Section 2102(b) of Public Law 114-88 is repealed.

SEC. 525. AMENDMENTS TO 7(m) MICROLOAN PROGRAM. Section 7(m) of the Small Business Act (15 U.S.C. 636(m)) is amended in paragraph (7) by striking subparagraph (B) in its entirety.

SEC. 526. SMALL BUSINESS DEVELOPMENT CENTER AND WOMEN'S BUSINESS CENTER PROGRAM EVALUATIONS.

(a) Section 21(a)(7)(A) of the Small Business Act (15 U.S.C. 648(a)(7)(A)) is amended by—

(1) striking the word "or" at the end of clause (i);

(2) striking the period at the end of clause (ii) and inserting "; or"; and

(3) adding the following new clause: "(iii) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."

(b) Section 29(n)(1) of the Small Business Act (15 U.S.C. 656(n)(1)) is amended by—

(1) striking the word "or" at the end of subparagraph (A);

(2) striking the period at the end of subparagraph (B) and inserting "; or"; and

(3) adding the following new subparagraph: "(C) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."

SEC. 527. INCREASED EXPRESS LOAN LIMIT.—Section 7(a)(31)(D) of the Small Business Act (15 U.S.C. 636(a)(31)(D)) is amended by striking "\$350,000" and inserting "\$1,000,000".

SEC. 528. CHANGES TO 7(a) SECONDARY MARKET FEE PROVISIONS.

(a) Section 5(g)(2) of the Small Business Act is amended by—

(1) renumbering the current paragraph as subparagraph (A); and

(2) adding a new subparagraph (B) to read as follows: "(B) With respect to the Administration's guarantee of the timely payment of the principal and interest on the trust certificates issued under this subsection, the Administration may assess, collect, and retain an annual fee, in an amount established once annually by the Administration in the Administration's budget request to Congress, not to exceed 0.05 percent per year of the outstanding balance of such trust certificates. The fee shall, at a minimum, offset the cost (as that term is defined in section 502 of the Federal Credit Reform Act of 1990) to the Administration of such guarantee, and any amounts received that exceed the cost of the timely payment guarantee shall be maintained in accordance with the Federal Credit Reform Act. The fee shall be payable solely by the holders of such trust certificates and shall not be charged to any borrower whose loan is represented in the secondary

*market. The Administration may contract with an agent to carry out, on behalf of the Administration, the assessment and collection of this fee. The fee shall be*

*deducted from the amounts otherwise payable to such holders of the trust certificates."*



# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund, as provided under sections 201(m) and 1131(b)(2) of the Social Security Act, \$11,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

#### Program and Financing (in millions of dollars)

Identification code 028-0404-0-1-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Taxation of benefits .....	35,718	36,293	39,672
0002 Other .....	14	22	22
0003 Payroll Tax holiday .....	13	11	.....
0900 Total new obligations, unexpired accounts .....	35,745	36,326	39,694
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13	13	13
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	35,753	36,326	39,694
1930 Total budgetary resources available .....	35,766	36,339	39,707
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-8	.....	.....
1941 Unexpired unobligated balance, end of year .....	13	13	13
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	35,745	36,326	39,694
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-35,745	-36,326	-39,694
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	35,753	36,326	39,694
Outlays, gross:			
4100 Outlays from new mandatory authority .....	35,744	36,326	39,694
4101 Outlays from mandatory balances .....	1	.....	.....
4110 Outlays, gross (total) .....	35,745	36,326	39,694
4180 Budget authority, net (total) .....	35,753	36,326	39,694
4190 Outlays, net (total) .....	35,745	36,326	39,694

#### Summary of Budget Authority and Outlays (in millions of dollars)

Identification code 028-0404-0-1-651	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	35,753	36,326	39,694
Outlays .....	35,745	36,326	39,694
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	70
Outlays .....	.....	.....	70
Total:			
Budget Authority .....	35,753	36,326	39,764
Outlays .....	35,745	36,326	39,764

This general fund appropriation reimburses the Social Security trust funds annually for 1) pension reform and 2) interest on unnegotiated checks. Amounts appropriated to this account as permanent indefinite authority include receipts from Federal income taxation of Social Security benefits.

#### Object Classification (in millions of dollars)

Identification code 028-0404-0-1-651	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	14	22	22
94.0 Financial transfers .....	35,718	36,293	39,672

94.0	Financial transfers .....	13	11	.....
99.9	Total new obligations, unexpired accounts .....	35,745	36,326	39,694

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 028-0404-4-1-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 WEP/GPO State and Local Pension — Payment to the LAE .....	.....	.....	70
0900 Total new obligations, unexpired accounts (object class 42.0) .....	.....	.....	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	.....	.....	70
1930 Total budgetary resources available .....	.....	.....	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	.....	.....	70
3020 Outlays (gross) .....	.....	.....	-70
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	.....	70
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	.....	70
4180 Budget authority, net (total) .....	.....	.....	70
4190 Outlays, net (total) .....	.....	.....	70

### ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT

#### Program and Financing (in millions of dollars)

Identification code 028-0415-0-1-571	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	15	15
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	12	6
3020 Outlays (gross) .....	.....	-6	-6
3050 Unpaid obligations, end of year .....	12	6	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	12	12	6
3200 Obligated balance, end of year .....	12	6	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....	.....	6	6
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	6	6

Public Law 110-275 requires the Social Security Administration to transmit identity and financial data used to determine eligibility and the amount of Extra Help (also known as low-income subsidy) from the application process to the Medicaid State agency to initiate an application for the Medicare Savings Program. As of 2011, new funding for this program comes from a reimbursable agreement with the Centers for Medicare and

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT—Continued

Medicaid Services and this funding is reflected within the Limitation on Administrative Expenses account.

ADMINISTRATIVE EXPENSES, CHILDREN'S HEALTH INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 028-0416-0-1-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative Expenses, Children's Health Insurance Program (Direct) .....		1	1
0100 Direct program activities, subtotal .....		1	1
0900 Total new obligations, unexpired accounts (object class 11.1) .....		1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	1
1930 Total budgetary resources available .....	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1	1
3020 Outlays (gross) .....		-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		1	1

Public Law 111-3 provides assistance for states to insure low-income children who are not eligible for Medicaid whose parent(s) or guardian(s) cannot afford private insurance.

Employment Summary

Identification code 028-0416-0-1-551	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		10	10

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$41,366,203,000] \$41,832,000,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury: *Provided further*, That not more than \$101,000,000 shall be available for research and demonstrations under sections 1110, 1115, and 1144 of the Social Security Act, and remain available through September 30, **[2021] 2022**.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2020] 2021**, **[\$19,700,000,000] \$19,900,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 028-0406-0-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Supplemental Security Income Program (Direct) .....	54,144	59,196	60,538
0002 Program Integrity .....	1,389	1,353	1,305

0799 Total direct obligations .....	55,533	60,549	61,843
0801 State supplementation payments .....	2,401	2,715	2,760
0809 Reimbursable program activities, subtotal .....	2,401	2,715	2,760
0900 Total new obligations, unexpired accounts .....	57,934	63,264	64,603

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,601	3,588	3,909
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1,501	2,363	
1021 Recoveries of prior year unpaid obligations .....	821		
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	5,423	3,588	3,909
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4,504	4,378	4,498
Appropriations, mandatory:			
1200 Appropriation .....	33,983	36,988	37,334
Advance appropriations, mandatory:			
1270 Advance appropriation .....	15,000	19,500	19,700
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,612	2,719	2,764
1900 Budget authority (total) .....	56,099	63,585	64,296
1930 Total budgetary resources available .....	61,522	67,173	68,205
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3,588	3,909	3,602

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3,896	3,298	3,324
3010 New obligations, unexpired accounts .....	57,934	63,264	64,603
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-57,712	-63,238	-64,635
3040 Recoveries of prior year unpaid obligations, unexpired .....	-821		
3050 Unpaid obligations, end of year .....	3,298	3,324	3,292
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3,896	3,298	3,324
3200 Obligated balance, end of year .....	3,298	3,324	3,292

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	4,504	4,378	4,498
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3,704	3,745	3,843
4011 Outlays from discretionary balances .....	574	748	788
4020 Outlays, gross (total) .....	4,278	4,493	4,631
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	4,504	4,378	4,498
4080 Outlays, net (discretionary) .....	4,277	4,493	4,631
Mandatory:			
4090 Budget authority, gross .....	51,595	59,207	59,798
Outlays, gross:			
4100 Outlays from new mandatory authority .....	50,330	57,518	58,897
4101 Outlays from mandatory balances .....	3,104	1,227	1,107
4110 Outlays, gross (total) .....	53,434	58,745	60,004
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-2,612	-2,719	-2,764
4180 Budget authority, net (total) .....	53,487	60,866	61,532
4190 Outlays, net (total) .....	55,099	60,519	61,871

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	53,487	60,866	61,532
Outlays .....	55,099	60,519	61,871
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-786
Outlays .....			-786
Total:			
Budget Authority .....	53,487	60,866	60,746

Outlays .....	55,099	60,519	61,085
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Title XVI of the Social Security Act established a Supplemental Security Income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for low-income individuals who are aged, blind, or disabled. A portion of these funds may be used to fund evaluation of research projects, such as the Promoting Readiness of Minors in SSI (PROMISE) pilot, which would improve the outcomes of children receiving SSI and their families.

**Object Classification** (in millions of dollars)

Identification code 028-0406-0-1-609	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Administrative Expenses .....	3,021	3,014	3,187
25.3 Beneficiary Services .....	112	118	129
25.3 Program Integrity (Base) .....	209	242	250
25.3 Program Integrity (Cap) .....	1,180	1,111	1,055
41.0 Federal benefits .....	50,949	55,905	57,108
41.0 Research .....	62	159	114
99.0 Direct obligations .....	55,533	60,549	61,843
99.0 Reimbursable obligations .....	2,401	2,715	2,760
99.9 Total new obligations, unexpired accounts .....	57,934	63,264	64,603

**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-0406-4-1-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-786
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-786
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Appropriations, mandatory:			
1200 Appropriation .....			-786
1930 Total budgetary resources available .....			-786
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-786
3020 Outlays (gross) .....			786
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-786
4100 Outlays from new mandatory authority .....			-786
4180 Budget authority, net (total) .....			-786
4190 Outlays, net (total) .....			-786

**SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 028-0401-0-1-701	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
5099 Balance, end of year .....	2	2	2

**Program and Financing** (in millions of dollars)

Identification code 028-0401-0-1-701	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Special Benefits for Certain World War II Veterans (Direct) .....	2	2	2

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....		1	1
Appropriations, mandatory:			
1200 Appropriation .....	2	1	1
1900 Budget authority (total) .....	2	2	2
1930 Total budgetary resources available .....	2	2	2

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....		1	1
<b>Mandatory:</b>			
4090 Budget authority, gross .....	2	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	1	1
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old; were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II; and who were eligible for SSI for the month of December 1999. To receive this benefit, these individuals must reside outside the United States and meet other requirements for eligibility.

**Object Classification** (in millions of dollars)

Identification code 028-0401-0-1-701	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.3 Admin Expenses .....		1	1
42.0 Insurance claims and indemnities .....	2	1	1
99.0 Direct obligations .....		2	2
99.9 Total new obligations, unexpired accounts .....	2	2	2

**OFFICE OF INSPECTOR GENERAL**  
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$30,000,000, together with not to exceed \$75,500,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any transfer. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 028-0400-0-1-600	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General (Direct) .....	104	106	106
0801 Reimbursable program activity OIG Transfer .....		10	10
0900 Total new obligations, unexpired accounts .....	104	116	116

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, discretionary:			
1100 Appropriation .....	30	30	30
1700 Spending authority from offsetting collections, discretionary: Collected .....	70	76	76

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

Identification code 028-0400-0-1-600	2018 actual	2019 est.	2020 est.
1700 Collected .....		10	10
1701 Change in uncollected payments, Federal sources .....	6		
1750 Spending auth from offsetting collections, disc (total) .....	76	86	86
1900 Budget authority (total) .....	106	116	116
1930 Total budgetary resources available .....	106	116	116
1940 Memorandum (non-add) entries:			
Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	10	11
3010 New obligations, unexpired accounts .....	104	116	116
3020 Outlays (gross) .....	-104	-115	-116
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	10	11	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-6		
3071 Change in uncollected pymts, Fed sources, expired .....	6		
3090 Uncollected pymts, Fed sources, end of year .....	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-2	-1
3200 Obligated balance, end of year .....	-2	-1	-1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	106	116	116
Outlays, gross:			
4010 Outlays from new discretionary authority .....	97	104	104
4011 Outlays from discretionary balances .....	7	11	12
4020 Outlays, gross (total) .....	104	115	116
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-75	-86	-86
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-6		
4052 Offsetting collections credited to expired accounts .....	5		
4060 Additional offsets against budget authority only (total) .....	-1		
4070 Budget authority, net (discretionary) .....	30	30	30
4080 Outlays, net (discretionary) .....	29	29	30
4180 Budget authority, net (total) .....	30	30	30
4190 Outlays, net (total) .....	29	29	30

The Office of Inspector General conducts independent audits, evaluations, and investigations to identify and prevent fraud, waste, abuse, and mismanagement of Social Security Administration programs and operations.

Object Classification (in millions of dollars)

Identification code 028-0400-0-1-600	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	65	65	66
12.1 Civilian personnel benefits .....	27	27	27
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	4	5	4
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	104	106	106
99.0 Reimbursable obligations .....		10	10
99.9 Total new obligations, unexpired accounts .....	104	116	116

Employment Summary

Identification code 028-0400-0-1-600	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	496	542	561

ADMINISTRATIVE EXPENSES, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 028-0417-0-1-651	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	5	3
3020 Outlays (gross) .....	-12	-2	-2
3050 Unpaid obligations, end of year .....	5	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	5	3
3200 Obligated balance, end of year .....	5	3	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	12	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	12	2	2

Public Law 111-5 provided funding to process disability and retirement work, to replace the National Computer Center, and to administer \$250 economic recovery payments to eligible Social Security and Supplemental Security Income beneficiaries. The funds for administering the \$250 economic recovery payments were obligated by the end of the first quarter of 2011, as payments ended on December 31, 2010. All obligations since 2012 are for the replacement of the National Computer Center. SSA received a Presidential Waiver on December 28, 2012, allowing the agency to retain and continue to obligate funds appropriated for expenses of the replacement of the National Computer Center.

STATE SUPPLEMENTAL FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-5419-0-2-609	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 State Supplemental Fees, SSI .....	114	134	130
2000 Total: Balances and receipts .....	114	134	130
Appropriations:			
Current law:			
2101 State Supplemental Fees .....	-114	-134	-130
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 028-5419-0-2-609	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 State Supplemental Fees (Direct) .....	114	134	130
0900 Total new obligations, unexpired accounts (object class 25.3) .....	114	134	130
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	114	134	130
1930 Total budgetary resources available .....	114	134	130
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	114	134	130
3020 Outlays (gross) .....	-114	-134	-130
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	114	134	130
Outlays, gross:			
4010 Outlays from new discretionary authority .....	114	134	130
4180 Budget authority, net (total) .....	114	134	130
4190 Outlays, net (total) .....	114	134	130

The Social Security Administration (SSA) collects a fee from States for costs related to administering Supplemental Security Income State supplementary payments on behalf of States. A portion of these fees is used to fund some of SSA's administrative costs.

**Trust Funds**

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 028-8006-0-7-651	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	2,743,642	2,720,556	2,715,677
Receipts:			
Current law:			
1110 FOASI, Transfers from General Fund (FICA Taxes)	657,323	731,782	771,527
1110 FOASI, Transfers from General Fund (SECA Taxes)	36,508	38,814	43,092
1110 FOASI, Refunds	-2,616	-2,849	-3,149
1130 FOASI, Non-Attorney Fees		1	1
1130 FOASI, Attorney Fees	1	1	1
1130 FOASI, Tax Refund Offset	5	3	3
1140 FOASI, Federal Employer Contributions (FICA Taxes)	14,716	15,225	15,553
1140 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	11	10	
1140 FOASI, Interest Received by Trust Funds	81,582	79,808	78,618
1140 FOASI, Federal Payments to the FOASI Trust Fund	34,726	35,092	38,007
1199 Total current law receipts	822,256	897,887	943,653
Proposed:			
1210 FOASI, Transfers from General Fund (FICA Taxes)			11
1999 Total receipts	822,256	897,887	943,664
2000 Total: Balances and receipts	3,565,898	3,618,443	3,659,341
Appropriations:			
Current law:			
2101 Federal Old-age and Survivors Insurance Trust Fund	-3,214	-3,307	-3,124
2101 Federal Old-age and Survivors Insurance Trust Fund	-819,048	-894,518	-940,468
2103 Federal Old-age and Survivors Insurance Trust Fund	-23,079	-4,941	-17,756
2199 Total current law appropriations	-845,341	-902,766	-961,348
Proposed:			
2201 Federal Old-age and Survivors Insurance Trust Fund			21
2999 Total appropriations	-845,341	-902,766	-961,327
Special and trust fund receipts returned:			
3010 Federal Old-age and Survivors Insurance Trust Fund	5		
3098 Federal Old-age and Survivors Insurance Trust Fund	-385		
5098 Reconciliation adjustment	379		
5099 Balance, end of year	2,720,556	2,715,677	2,698,014

**Program and Financing** (in millions of dollars)

Identification code 028-8006-0-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Old-age and Survivors Insurance Trust Fund (Direct) ....	845,922	902,828	961,409
<b>Budgetary resources:</b>			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts		62	61
1021 Recoveries of prior year unpaid obligations	5		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	385		
1030 Other balances withdrawn to special or trust funds	-5		
1033 Recoveries of prior year paid obligations	196		
1050 Unobligated balance (total)	581	62	61
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	3,214	3,307	3,124
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	819,048	894,518	940,468
1203 Appropriation (previously unavailable)	23,079	4,941	17,756
1260 Appropriations, mandatory (total)	842,127	899,459	958,224
1900 Budget authority (total)	845,341	902,766	961,348
1930 Total budgetary resources available	845,922	902,828	961,409
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	5		

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	76,460	80,891	85,969
3010 New obligations, unexpired accounts	845,922	902,828	961,409
3020 Outlays (gross)	-841,486	-897,750	-956,243
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	80,891	85,969	91,135
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	76,460	80,891	85,969
3200 Obligated balance, end of year	80,891	85,969	91,135

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	3,214	3,307	3,124
Outlays, gross:			
4010 Outlays from new discretionary authority	2,779	2,784	2,609
4011 Outlays from discretionary balances	388	523	520
4020 Outlays, gross (total)	3,167	3,307	3,129
Mandatory:			
4090 Budget authority, gross	842,127	899,459	958,224
Outlays, gross:			
4100 Outlays from new mandatory authority	765,567	817,832	953,114
4101 Outlays from mandatory balances	72,752	76,611	
4110 Outlays, gross (total)	838,319	894,443	953,114
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-196		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	196		
4160 Budget authority, net (mandatory)	842,127	899,459	958,224
4170 Outlays, net (mandatory)	838,123	894,443	953,114
4180 Budget authority, net (total)	845,341	902,766	961,348
4190 Outlays, net (total)	841,290	897,750	956,243

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value	2,820,200	2,801,254	2,795,680
5001 Total investments, EOY: Federal securities: Par value	2,801,254	2,795,680	2,788,632

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	845,341	902,766	961,348
Outlays	841,290	897,750	956,243
Legislative proposal, not subject to PAYGO:			
Budget Authority			-21
Outlays			-21
Total:			
Budget Authority	845,341	902,766	961,327
Outlays	841,290	897,750	956,222

The Old-Age and Survivors Insurance (OASI) program provides monthly cash benefits to retired workers and their dependents, and to survivors of deceased workers.

**OASI Cash Outgo Detail**

	(in millions of dollars)		
	2018 actual	2019 est.	2020 est.
Benefit Payments	833,029	888,965	947,642
Payments to the Railroad Board	4,769	4,930	4,928
Administrative Expenses	3,167	3,307	3,129
Treasury Administrative Expenses	512	538	533
Beneficiary Services	9	10	11
Prior Year Employment Tax Receipts Refund	-196	0	0
Increase Minimum Overpayment Withholding	0	0	-7
Exclude Debts from Bankruptcy	0	0	-2
Reduce 12 Month Retroactive Benefits to 6 months	0	0	-12
Total Outgo	841,290	897,750	956,222

**Status of Funds** (in millions of dollars)

Identification code 028-8006-0-7-651	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year	2,820,101	2,801,066	2,801,265
0999 Total balance, start of year	2,820,101	2,801,066	2,801,265

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued  
Status of Funds—Continued

Identification code 028-8006-0-7-651	2018 actual	2019 est.	2020 est.
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1110 FOASI, Transfers from General Fund (FICA Taxes)	657,323	731,782	771,527
1110 FOASI, Transfers from General Fund (SECA Taxes)	36,508	38,814	43,092
1110 FOASI, Refunds	-2,616	-2,849	-3,149
1130 Federal Old-age and Survivors Insurance Trust Fund	196		
1130 FOASI, Non-Attorney Fees		1	1
1130 FOASI, Attorney Fees	1	1	1
1130 FOASI, Tax Refund Offset	5	3	3
1150 FOASI, Interest Received by Trust Funds	81,582	79,808	78,618
1160 FOASI, Federal Employer Contributions (FICA Taxes)	14,716	15,225	15,553
1160 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	11	10	
1160 FOASI, Federal Payments to the FOASI Trust Fund	34,726	35,092	38,007
1199 Income under present law	822,452	897,887	943,653
<b>Proposed:</b>			
1210 FOASI, Transfers from General Fund (FICA Taxes)			11
1299 Income proposed			11
1999 Total cash income	822,452	897,887	943,664
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 Federal Old-age and Survivors Insurance Trust Fund [016-00-8006-0]	-841,486	-897,750	-956,243
2199 Outgo under current law	-841,486	-897,750	-956,243
<b>Proposed:</b>			
2200 Federal Old-age and Survivors Insurance Trust Fund			21
2299 Outgo under proposed legislation			21
2999 Total cash outgo (-)	-841,486	-897,750	-956,222
<b>Surplus or deficit:</b>			
3110 Excluding interest	-100,616	-79,671	-91,176
3120 Interest	81,582	79,808	78,618
3199 Subtotal, surplus or deficit	-19,034	137	-12,558
3230 Federal Old-age and Survivors Insurance Trust Fund		62	61
3298 Rounding adjustment	-1		
3299 Total adjustments	-1	62	61
3999 Total change in fund balance	-19,035	199	-12,497
<b>Unexpended balance, end of year:</b>			
4100 Uninvested balance (net), end of year	-188	5,585	136
4200 Federal Old-age and Survivors Insurance Trust Fund	2,801,254	2,795,680	2,788,632
4999 Total balance, end of year	2,801,066	2,801,265	2,788,768

**Object Classification** (in millions of dollars)

Identification code 028-8006-0-7-651	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources [Beneficiary Services]	9	10	11
25.3 Other goods and services from Federal sources [Treasury Payments]	512	538	533
25.3 Other goods and services from Federal sources [RRB]	4,769	4,930	4,928
42.0 Insurance claims and indemnities	836,837	893,981	952,752
94.0 Financial transfers [OIG]	40	41	42
94.0 Financial transfers [LAE + Line 1050]	3,755	3,328	3,143
99.9 Total new obligations, unexpired accounts	845,922	902,828	961,409

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-8006-2-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity			-21
0900 Total new obligations, unexpired accounts (object class 42.0)			-21

**Budgetary resources:**

<b>Budget authority:</b>			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-21
1930 Total budgetary resources available			-21

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts			-21
3020 Outlays (gross)			21

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross			-21
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority			-21
4180 Budget authority, net (total)			-21
4190 Outlays, net (total)			-21

FEDERAL DISABILITY INSURANCE TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 028-8007-0-7-651	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	40,988	67,143	67,704
<b>Receipts:</b>			
<b>Current law:</b>			
1110 FDI, Transfers from General Fund (FICA Taxes)	155,457	135,985	131,014
1110 FDI, Transfers from General Fund (SECA Taxes)	8,693	8,055	7,318
1110 FDI, Refunds	-618	-673	-535
1130 Attorney Fees, Federal Disability Insurance Trust Fund	24	24	24
1130 FDI, Tax Refund Offset	46	46	46
1140 FDI, Federal Employer Contributions (FICA Taxes)	3,477	2,815	2,641
1140 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	2	1	
1140 FDI, Interest Received by Trust Funds	2,227	2,935	3,001
1140 FDI, Federal Payments to the FDI Trust Fund	1,004	1,220	1,684
1199 Total current law receipts	170,312	150,408	145,193
<b>Proposed:</b>			
1210 FDI, Transfers from General Fund (FICA Taxes)			2
1999 Total receipts	170,312	150,408	145,195
2000 Total: Balances and receipts	211,300	217,551	212,899
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Federal Disability Insurance Trust Fund	-2,814	-2,768	-2,469
2101 Federal Disability Insurance Trust Fund	-167,504	-147,590	-142,667
2103 Federal Disability Insurance Trust Fund			-6,501
2134 Federal Disability Insurance Trust Fund	25,937	511	
2199 Total current law appropriations	-144,381	-149,847	-151,637
<b>Proposed:</b>			
2201 Federal Disability Insurance Trust Fund			311
2999 Total appropriations	-144,381	-149,847	-151,326
<b>Special and trust fund receipts returned:</b>			
3010 Federal Disability Insurance Trust Fund	5		
3098 Federal Disability Insurance Trust Fund	219		
5099 Balance, end of year	67,143	67,704	61,573

**Program and Financing** (in millions of dollars)

Identification code 028-8007-0-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Disability Insurance Trust Fund (Direct)	144,416	149,898	151,698
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1012 Unobligated balance transfers between expired and unexpired accounts		51	61
1021 Recoveries of prior year unpaid obligations	224		
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-219		
1030 Other balances withdrawn to special or trust funds	-5		
1033 Recoveries of prior year paid obligations	35		
1050 Unobligated balance (total)	35	51	61
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund)	2,814	2,768	2,469

Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	167,504	147,590	142,667
1203	Appropriation (previously unavailable) .....			6,501
1234	Appropriations precluded from obligation .....	-25,937	-511	
<hr/>				
1260	Appropriations, mandatory (total) .....	141,567	147,079	149,168
1900	Budget authority (total) .....	144,381	149,847	151,637
1930	Total budgetary resources available .....	144,416	149,898	151,698
Memorandum (non-add) entries:				
Special and non-revolving trust funds:				
1950	Other balances withdrawn and returned to unappropriated receipts .....	5		
<hr/>				
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	28,456	25,999	26,339
3010	New obligations, unexpired accounts .....	144,416	149,898	151,698
3020	Outlays (gross) .....	-146,649	-149,558	-151,479
3040	Recoveries of prior year unpaid obligations, unexpired .....	-224		
<hr/>				
3050	Unpaid obligations, end of year .....	25,999	26,339	26,558
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	28,456	25,999	26,339
3200	Obligated balance, end of year .....	25,999	26,339	26,558
<hr/>				
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	2,814	2,768	2,469
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2,428	2,327	2,054
4011	Outlays from discretionary balances .....	223	437	416
<hr/>				
4020	Outlays, gross (total) .....	2,651	2,764	2,470
Mandatory:				
4090	Budget authority, gross .....	141,567	147,079	149,168
Outlays, gross:				
4100	Outlays from new mandatory authority .....	131,154	146,794	149,009
4101	Outlays from mandatory balances .....	12,844		
<hr/>				
4110	Outlays, gross (total) .....	143,998	146,794	149,009
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-35		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	35		
<hr/>				
4160	Budget authority, net (mandatory) .....	141,567	147,079	149,168
4170	Outlays, net (mandatory) .....	143,963	146,794	149,009
4180	Budget authority, net (total) .....	144,381	149,847	151,637
4190	Outlays, net (total) .....	146,614	149,558	151,479
<hr/>				
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	69,669	93,401	93,998
5001	Total investments, EOY: Federal securities: Par value .....	93,401	93,998	88,129

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	144,381	149,847	151,637
Outlays .....	146,614	149,558	151,479
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-311
Outlays .....			-311
<hr/>			
Total:			
Budget Authority .....	144,381	149,847	151,326
Outlays .....	146,614	149,558	151,168

The Disability Insurance (DI) program provides monthly cash benefits for disabled workers who have not yet attained their normal retirement age, and for their dependents.

**DI Cash Outgo Detail**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Benefit Payments .....	143,537	146,411	148,558
Payments to Railroad Board .....	174	73	114
Administrative Expenses (Subject to Limitation) .....	2,651	2,764	2,470
Administrative Expenses (Treasury) .....	93	97	96
Beneficiary Services .....	176	199	217
Demonstration Projects .....	18	14	24
Prior Year Employment Tax Receipts Refund .....	-35	0	0
<hr/>			
Increase Minimum Overpayment Withholding .....	0	0	-5

Exclude Debts from Bankruptcy .....	0	0	-2
Reduce 12 Month Retroactive Benefits to 6 Months .....	0	0	-304
<hr/>			
Total Outgo .....	146,614	149,558	151,168

**Status of Funds** (in millions of dollars)

Identification code 028-8007-0-7-651	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	69,444	93,142	94,043
0999 Total balance, start of year .....	69,444	93,142	94,043
Cash income during the year:			
Current law:			
Receipts:			
1110 FDI, Transfers from General Fund (FICA Taxes) .....	155,457	135,985	131,014
1110 FDI, Transfers from General Fund (SECA Taxes) .....	8,693	8,055	7,318
1110 FDI, Refunds .....	-618	-673	-535
1130 Federal Disability Insurance Trust Fund .....	35		
1130 Attorney Fees, Federal Disability Insurance Trust Fund .....	24	24	24
1130 FDI, Tax Refund Offset .....	46	46	46
1150 FDI, Interest Received by Trust Funds .....	2,227	2,935	3,001
1160 FDI, Federal Employer Contributions (FICA Taxes) .....	3,477	2,815	2,641
1160 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312) .....	2	1	
1160 FDI, Federal Payments to the FDI Trust Fund .....	1,004	1,220	1,684
<hr/>			
1199 Income under present law .....	170,347	150,408	145,193
Proposed:			
1210 FDI, Transfers from General Fund (FICA Taxes) .....			2
1299 Income proposed .....			2
<hr/>			
1999 Total cash income .....	170,347	150,408	145,195
Cash outgo during year:			
Current law:			
2100 Federal Disability Insurance Trust Fund [016-00-8007-0] .....	-146,649	-149,558	-151,479
2199 Outgo under current law .....	-146,649	-149,558	-151,479
Proposed:			
2200 Federal Disability Insurance Trust Fund .....			311
2299 Outgo under proposed legislation .....			311
<hr/>			
2999 Total cash outgo (-) .....	-146,649	-149,558	-151,168
Surplus or deficit:			
3110 Excluding interest .....	21,471	-2,085	-8,974
3120 Interest .....	2,227	2,935	3,001
<hr/>			
3199 Subtotal, surplus or deficit .....	23,698	850	-5,973
3230 Federal Disability Insurance Trust Fund .....		51	61
<hr/>			
3299 Total adjustments .....		51	61
<hr/>			
3999 Total change in fund balance .....	23,698	901	-5,912
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-259	45	2
4200 Federal Disability Insurance Trust Fund .....	93,401	93,998	88,129
<hr/>			
4999 Total balance, end of year .....	93,142	94,043	88,131

**Object Classification** (in millions of dollars)

Identification code 028-8007-0-7-651	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Beneficiary Services (VR & Tickets) .....	173	199	217
25.3 Other purchases of goods and services from Government accounts (Treasury Admin) .....	93	97	96
25.3 Other purchases of goods and services from Government accounts (RRB) .....	174	73	114
25.5 Research and development contracts .....	11	6	24
42.0 Disability insurance benefits .....	141,116	146,704	148,717
94.0 Financial transfers (OIG) .....	35	35	34
94.0 Financial transfers (LAE) .....	2,814	2,784	2,496

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued  
Object Classification—Continued

Identification code 028-8007-0-7-651	2018 actual	2019 est.	2020 est.
99.9 Total new obligations, unexpired accounts .....	144,416	149,898	151,698

FEDERAL DISABILITY INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-8007-2-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....			-311
0900 Total new obligations, unexpired accounts (object class 42.0) .....			-311
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-311
1930 Total budgetary resources available .....			-311
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-311
3020 Outlays (gross) .....			311
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-311
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-311
4180 Budget authority, net (total) .....			-311
4190 Outlays, net (total) .....			-311

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$20,000 for official reception and representation expenses, not more than **[\$12,741,945,000] \$12,642,000,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to in such section *or from the fees authorized by the fifth paragraph under this heading, except that any amounts derived from such fees are only available for the issuance of replacement Social Security cards: Provided, That not less than \$2,400,000 shall be for the Social Security Advisory Board: [Provided further, That \$45,000,000 shall remain available until expended for information technology modernization, including related hardware and software infrastructure and equipment, and for administrative expenses directly associated with information technology modernization: Provided further, That \$100,000,000 shall remain available through September 30, 2020, for activities to address the disability hearings backlog within the Office of Hearings Operations:] Provided further, That unobligated balances of funds provided under this paragraph at the end of fiscal year [2019] 2020 not needed for fiscal year [2019] 2020 shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: Provided further, That the Commissioner of Social Security shall notify the Committees on Appropriations of the House of Representatives and the Senate prior to making unobligated balances available under the authority in the previous proviso: Provided further, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to 5 U.S.C. 7131, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.*

Of the total amount made available in the first paragraph under this heading, not more than **[\$1,683,000,000] \$1,582,000,000**, to remain available through March 31, [2020] 2021, is for the costs associated with continuing disability reviews under titles II and XVI of the Social Security Act, including work-related continuing disability reviews to determine whether earnings derived from services demonstrate

an individual's ability to engage in substantial gainful activity, for the cost associated with conducting redeterminations of eligibility under title XVI of the Social Security Act, for the cost of co-operative disability investigation units, and for the cost associated with the prosecution of fraud in the programs and operations of the Social Security Administration by Special Assistant United States Attorneys: *Provided, That, of such amount, \$273,000,000 is provided to meet the terms of section 251(b)(2)(B)(ii)(III) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$1,410,000,000] \$1,309,000,000** is additional new budget authority specified for purposes of section 251(b)(2)(B) of such Act: Provided further, That, of the additional new budget authority described in the preceding proviso, up to \$10,000,000 may be transferred to the "Office of Inspector General", Social Security Administration, for the cost of jointly operated co-operative disability investigation units: Provided further, That such transfer authority is in addition to any other transfer authority provided by law: Provided further, That the Commissioner shall provide to the Congress (at the conclusion of the fiscal year) a report on the obligation and expenditure of these funds, similar to the reports that were required by section 103(d)(2) of Public Law 104-121 for fiscal years 1996 through 2002.*

In addition, **[\$134,000,000] \$130,000,000** to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended [.] : *Provided, [To] That to the extent that the amounts collected pursuant to such sections in fiscal year [2019] 2020 exceed **[\$134,000,000] \$130,000,000**, the amounts shall be available in fiscal year [2020] 2021 only to the extent provided in advance in appropriations Acts.*

In addition, up to \$1,000,000 to be derived from fees collected pursuant to section 303(c) of the Social Security Protection Act, which shall remain available until expended.

*The Commissioner of Social Security shall charge a \$25 fee for the issuance of a replacement Social Security card if an individual requests the issuance of such card in a field office of the Social Security Administration and a \$7 fee if an individual requests the issuance of such card online: Provided, That any fees collected pursuant to the matter preceding this proviso shall be deposited in this account and shall be available subject to the restrictions in the first paragraph under this heading: Provided further, That the Commissioner may not charge such a fee for the issuance of a new Social Security card. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 028-8704-0-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 LAE Program Direct .....	10,920	11,150	11,023
0003 National Support Center .....		2	
0004 Additional PI (902) 17/18 .....	257		
0005 Program Integrity Base .....	273	273	273
0006 Program Integrity Cap Adjustment .....	1,321	1,397	1,362
0007 MACRA .....	2	1	
0008 Altmeyer .....		27	
0009 OHO Anomaly (955) 18/19 .....	6	94	
0010 Program Integrity Cap Adjustment OIG Transfer .....		10	10
0011 Hearings Backlog (954) 17/18 .....	52		
0012 IT Modernization .....	44	137	68
0013 OHO Hearings Backlog 19/20 .....		25	75
0014 SSN Fees .....			270
0799 Total direct obligations .....	12,875	13,116	13,081
0801 Reimbursable activity, general .....	56	53	58
0802 Low Income Subsidy .....		6	6
0809 Reimbursable program activities, subtotal .....	56	59	64
0899 Total reimbursable obligations .....	56	59	64
0900 Total new obligations, unexpired accounts .....	12,931	13,175	13,145
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	575	624	438
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	266	2	
1012 Unobligated balance transfers between expired and unexpired accounts [ITS Transfers] .....	156	59	100
1021 Recoveries of prior year unpaid obligations [X Year] .....	11		
1050 Unobligated balance (total) .....	742	683	538
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected - LAE Direct .....	8,802	11,049	10,921
1700 Collected - Program Integrity Base .....	273	273	273
1700 Collected - Program Integrity Cap Adjustment .....	1,321	1,397	1,362
1700 Collected - Altmeyer .....	6	27	

1700	Collected - Reimbursables .....	56	53	58
1700	Collected - NSC .....	12	2	.....
1700	Collected - AIF/ITS .....	199	100	100
1700	Collected - Hearings Backlog (954) - 17/18 .....	40	.....	.....
1700	Collected - OIG Transfer from PI CAP .....	.....	10	10
1700	Collected - Additional PI (17/18) .....	257	.....	.....
1700	Collected - OHO Anomaly (955) 18/19 .....	6	94	.....
1700	Collected - IT Modernization .....	.....	137	68
1700	Collected - OHO Anomaly (19/20) .....	.....	25	75
1700	Collected - SSN Fees .....	.....	.....	270
1701	Change in uncollected payments, Federal sources .....	1,952	-237	-306
1750	Spending auth from offsetting collections, disc (total) .....	12,924	12,930	12,831
1800	Spending authority from offsetting collections, mandatory:			
1800	Collected .....	.....	7	6
1801	Change in uncollected payments, Federal sources .....	27	-7	-6
1850	Spending auth from offsetting collections, mand (total) .....	27	.....	.....
1900	Budget authority (total) .....	12,951	12,930	12,831
1930	Total budgetary resources available .....	13,693	13,613	13,369
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring .....	-138	.....	.....
1941	Unexpired unobligated balance, end of year .....	624	438	224
	Special and non-revolving trust funds:			
1951	Unobligated balance expiring .....	138	.....	.....
1952	Expired unobligated balance, start of year .....	224	331	331
1953	Expired unobligated balance, end of year .....	193	331	331

**Change in obligated balance:**

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....	2,472	2,802	3,085
3010	New obligations, unexpired accounts .....	12,931	13,175	13,145
3011	Obligations ("upward adjustments"), expired accounts .....	85	.....	.....
3020	Outlays (gross) .....	-12,540	-12,892	-12,937
3040	Recoveries of prior year unpaid obligations, unexpired .....	-11	.....	.....
3041	Recoveries of prior year unpaid obligations, expired .....	-135	.....	.....
3050	Unpaid obligations, end of year .....	2,802	3,085	3,293
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,339	-3,779	-3,535
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1,979	244	312
3071	Change in uncollected pymts, Fed sources, expired .....	1,539	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-3,779	-3,535	-3,223
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	-867	-977	-450
3200	Obligated balance, end of year .....	-977	-450	70

**Budget authority and outlays, net:**

	Discretionary:			
4000	Budget authority, gross .....	12,924	12,930	12,831
	Outlays, gross:			
4010	Outlays from new discretionary authority .....	10,391	10,724	10,779
4011	Outlays from discretionary balances .....	2,146	2,161	2,152
4020	Outlays, gross (total) .....	12,537	12,885	12,931
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources - LAE Direct .....	-10,510	-11,049	-10,921
4030	Federal sources - NCC Replacement .....	-12	-2	.....
4030	Federal sources - Program Integrity Base .....	-273	-273	-273
4030	Federal sources - Reimbursable .....	-56	-53	-58
4030	Federal sources - Program Integrity Cap .....	-1,321	-1,397	-1,362
4030	Federal sources - Altmeyer Renovations .....	-6	-27	.....
4030	Federal sources - OHO Anomaly (955) 18/19 .....	-6	-94	.....
4030	Federal sources - AIF/ITS .....	.....	-100	-100
4030	Federal sources - PI CAP OIG Transfer .....	.....	-10	-10
4030	Federal sources - Additional PI (17/18) .....	-257	.....	.....
4030	Federal sources - Hearings Backlog (954) 17/18 .....	-40	.....	.....
4030	Federal sources - Hearings Backlog 19/20 .....	.....	-25	-75
4030	Federal sources - IT Modernization .....	.....	-137	-68
4030	Federal sources - SSN Fees .....	.....	.....	-270
4033	Non-Federal sources .....	-105	.....	.....
4040	Offsets against gross budget authority and outlays (total) .....	-12,586	-13,167	-13,137
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired .....	-1,952	237	306
4052	Offsetting collections credited to expired accounts .....	1,614	.....	.....
4060	Additional offsets against budget authority only (total) .....	-338	237	306
4080	Outlays, net (discretionary) .....	-49	-282	-206
	Mandatory:			
4090	Budget authority, gross .....	27	.....	.....
	Outlays, gross:			
4101	Outlays from mandatory balances .....	3	7	6
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources .....	.....	-7	-6
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired .....	-27	7	6

4170	Outlays, net (mandatory) .....	3	.....	.....
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	-46	-282	-206

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays .....	-46	-282	-206
Legislative proposal, not subject to PAYGO:			
Outlays .....	.....	.....	-52
Total:			
Outlays .....	-46	-282	-258

The Limitation on Administrative Expenses (LAE) account provides resources for Social Security to administer the Old-Age and Survivors Insurance (OASI) and Disability Insurance (DI) programs, the Supplemental Security Income (SSI) program, the Special Benefits for Certain World War II Veterans program, and certain health insurance functions for the aged and disabled. Public Law 114-10 prohibits displaying, coding, or embedding Social Security numbers on a beneficiary's Medicare card. In order to fund implementation costs to comply with this provision, SSA received \$98 million funded incrementally from FY 2015 to FY 2018.

The request in 2020 for program integrity activities builds on SSA's success in reducing program costs by ensuring that only individuals still eligible for benefits continue to receive them. The agency uses continuing disability reviews (CDR) to determine whether an individual continues to qualify for DI and/or the SSI program. SSA estimates that CDRs conducted in 2020 will yield a return on investment (ROI) of about \$8 on average in net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including OASDI, SSI, Medicare and Medicaid program effects. Similarly, SSA estimates indicate that non-medical redeterminations conducted in 2020 will yield a ROI of about \$3 on average of net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including SSI and Medicaid program effects.

The Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, authorizes adjustments to the discretionary spending limits through 2021 for administrative program integrity activities at the Social Security Administration. The Budget includes the authorized \$1,309 million cap adjustment for 2020. To ensure full funding of the cost increases, this cap adjustment is permissible only if the base level for CDRs and SSI redeterminations is funded at \$273 million. The cap adjustment may also fund cooperative disability investigation units, and Special Assistant U.S. Fraud Attorneys. To continue to support these important anti-fraud activities, appropriations language provides for SSA to transfer up to \$10 million to the SSA Office of the Inspector General to fund CDI unit team leaders. SSA will conduct 674,000 full medical CDRs and approximately 2.8 million SSI redeterminations of eligibility in 2020. With access to program integrity cap adjustments, SSA is on track to remain current with program integrity workloads throughout the budget window. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

The Budget proposes offsetting collections from fees for replacement Social Security cards (estimated at \$270 million in FY 2020).

**Object Classification** (in millions of dollars)

Identification code 028-8704-0-7-651	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4,765	5,210	5,258
11.3 Other than full-time permanent .....	80	86	86
11.5 Other personnel compensation .....	317	282	147
11.8 Special personal services payments .....	2	.....	4
11.9 Total personnel compensation .....	5,164	5,578	5,495
12.1 Civilian personnel benefits .....	1,713	1,810	1,945
13.0 Benefits for former personnel .....	2	2	3
21.0 Travel and transportation of persons .....	22	13	13
22.0 Transportation of things .....	7	4	4
23.1 Rental payments to GSA .....	707	716	724

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued  
**Object Classification—Continued**

Identification code 028-8704-0-7-651	2018 actual	2019 est.	2020 est.
23.2 Rental payments to others .....		1	
23.3 Communications, utilities, and miscellaneous charges .....	472	520	537
24.0 Printing and reproduction .....	34	21	21
25.1 Advisory and assistance services .....	85	71	70
25.2 Other services from non-Federal sources .....	2,565	2,695	2,592
25.3 Other goods and services from Federal sources .....	170	107	104
25.4 Operation and maintenance of facilities .....	542	182	189
25.7 Operation and maintenance of equipment .....	866	935	929
26.0 Supplies and materials .....	38	23	22
31.0 Equipment .....	259	268	268
32.0 Land and structures .....	138	113	110
41.0 Grants, subsidies, and contributions .....	52	32	31
42.0 Insurance claims and indemnities .....	39	25	24
99.0 Direct obligations .....	12,875	13,116	13,081
99.0 Reimbursable obligations .....	56	59	64
99.9 Total new obligations, unexpired accounts .....	12,931	13,175	13,145

**Employment Summary**

Identification code 028-8704-0-7-651	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	60,160	61,380	60,555
2001 Reimbursable civilian full-time equivalent employment .....	219	358	358

LIMITATION ON ADMINISTRATIVE EXPENSES  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 028-8704-2-7-651	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 WEP-GPO Admin. Funds .....			18
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting Collections (Reimbursables) .....			70
1930 Total budgetary resources available .....			70
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			52
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			18
3020 Outlays (gross) .....			-18
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			70

Outlays, gross:			
4100 Outlays from new mandatory authority .....			18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....			-70
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-52

**Object Classification** (in millions of dollars)

Identification code 028-8704-2-7-651	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....			9
12.1 Civilian personnel benefits .....			8
99.0 Direct obligations .....			17
99.0 Reimbursable obligations .....			1
99.9 Total new obligations, unexpired accounts .....			18

**Employment Summary**

Identification code 028-8704-2-7-651	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			180

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
028-241700 SSI, Attorney Fees .....	8	8	8
075-241800 Receipts from SSI Administrative Fee .....	83	90	90
028-309600 Recovery of Beneficiary Overpayments from SSI Program .....	2,933	2,966	3,014
General Fund Offsetting receipts from the public .....	3,024	3,064	3,112

COMMISSIONER'S BUDGET

As directed by Section 104 of Public Law 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for SSA, which shall be submitted by the President to the Congress without revision, together with the President's request for SSA. The Commissioner's budget includes \$13,484 million for total administrative discretionary resources in 2020. This represents \$13,270 million for SSA administrative expenses, \$106 million in research, and \$108 million for the Office of the Inspector General.

## OTHER INDEPENDENT AGENCIES

### ACCESS BOARD

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$8,400,000: Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 310–3200–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	8	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	–7	–8	–8
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	7	7
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	7	8	8
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	7	8	8

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

#### Object Classification (in millions of dollars)

Identification code 310–3200–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1

23.1	Rental payments to GSA .....	1	1	1
25.3	Other goods and services from Federal sources .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	8	8	8

#### Employment Summary

Identification code 310–3200–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	34	34

## ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., \$3,100,000, to remain available until September 30, 2021, of which not to exceed \$1,000 is for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 302–1700–0–1–751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	–3	–3	–3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	2	2
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The Administrative Conference of the United States is an independent agency that assists the President, the Congress, the Judicial Conference, and Federal agencies in improving the regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies, promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

#### Object Classification (in millions of dollars)

Identification code 302–1700–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

Identification code 302-1700-0-1-751	2018 actual	2019 est.	2020 est.
99.0 Direct obligations .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	3	3	3

Employment Summary

Identification code 302-1700-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665), \$7,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 306-2300-0-1-303	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Donations, Advisory Council on Historic Preservation .....	4		
2000 Total: Balances and receipts .....	4		
Appropriations:			
Current law:			
2101 Salaries and Expenses .....	-4		
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 306-2300-0-1-303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	6	6	7
0801 Salaries and Expenses (Reimbursable) .....	5	2	2
0900 Total new obligations, unexpired accounts .....	11	8	9

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	7
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1701 Change in uncollected payments, Federal sources .....	1	1	
1750 Spending auth from offsetting collections, disc (total) .....	2	2	1
1900 Budget authority (total) .....	12	8	8
1930 Total budgetary resources available .....	13	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	3	1
3010 New obligations, unexpired accounts .....	11	8	9
3020 Outlays (gross) .....	-9	-10	-8
3050 Unpaid obligations, end of year .....	3	1	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-2	-3

3070 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	
3090 Uncollected pymts, Fed sources, end of year .....	-2	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	-2
3200 Obligated balance, end of year .....	1	-2	-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	8	8
4011 Outlays from discretionary balances .....	3	2	
4020 Outlays, gross (total) .....	9	10	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1	-1	
4070 Budget authority, net (discretionary) .....	6	6	7
4080 Outlays, net (discretionary) .....	8	9	7
Mandatory:			
4090 Budget authority, gross .....	4		
4180 Budget authority, net (total) .....	10	6	7
4190 Outlays, net (total) .....	8	9	7

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

Object Classification (in millions of dollars)

Identification code 306-2300-0-1-303	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	5
23.2 Rental payments to others .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	6	6	7
99.0 Reimbursable obligations .....	5	1	1
99.5 Adjustment for rounding .....		1	1
99.9 Total new obligations, unexpired accounts .....	11	8	9

Employment Summary

Identification code 306-2300-0-1-303	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	34	37	37
2001 Reimbursable civilian full-time equivalent employment .....	6	6	6

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, and for expenses necessary for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, \$165,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 309-0200-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Appalachian development highway system .....	1		
0102 Area development and technical assistance program .....	123	146	146
0103 Local development districts program .....	7	7	7
0191 Total Appalachian regional development programs .....	131	153	153
0201 Federal co-chairman and staff .....	1	2	2
0202 Administrative expenses .....	6	3	3
0203 Programmatic Salaries and Expenses .....		3	3
0291 Total salaries and expenses .....	7	8	8

0799	Total direct obligations .....	138	161	161
0801	Reimbursable program activity .....	5	4	4
0900	Total new obligations, unexpired accounts .....	143	165	165
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	64	91	104
1001	Discretionary unobligated balance brought fwd, Oct 1 .....	64	91	.....
1021	Recoveries of prior year unpaid obligations .....	9	9	9
1050	Unobligated balance (total) .....	73	100	113
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	155	165	165
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	1	1
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	4	3	3
1802	Offsetting collections (previously unavailable) .....	1	.....	.....
1850	Spending auth from offsetting collections, mand (total) .....	5	3	3
1900	Budget authority (total) .....	161	169	169
1930	Total budgetary resources available .....	234	269	282
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	91	104	117
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	264	292	263
3010	New obligations, unexpired accounts .....	143	165	165
3020	Outlays (gross) .....	-106	-185	-208
3040	Recoveries of prior year unpaid obligations, unexpired .....	-9	-9	-9
3050	Unpaid obligations, end of year .....	292	263	211
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	264	292	263
3200	Obligated balance, end of year .....	292	263	211
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	156	166	166
Outlays, gross:				
4010	Outlays from new discretionary authority .....	16	55	55
4011	Outlays from discretionary balances .....	86	127	150
4020	Outlays, gross (total) .....	102	182	205
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1	-1	-1
4040	Offsets against gross budget authority and outlays (total) ....	-1	-1	-1
Mandatory:				
4090	Budget authority, gross .....	5	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....	4	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-4	-3	-3
4180	Budget authority, net (total) .....	156	165	165
4190	Outlays, net (total) .....	101	181	204
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections .....	1	.....	.....

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420-county Appalachian Region. The Commission is comprised of 13 members representing the states in the region and a Federal Co-Chairman, who represents the Federal Government. ARC's mission is to help the Appalachian Region plan and coordinate regional investments and target resources to those communities with the greatest needs by innovating, partnering, and investing to build community capacity and strengthening economic growth.

ARC's activities include area development, technical assistance, capacity-building, research, and coordination of regional investments and initiatives. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic and community development strategies. In addition, ARC administers a competitive grant program for communities adversely impacted by the declining use of coal to develop economic diversification activities in emerging opportunity sectors.

*Salaries and expenses.*—In this Federal-State partnership, the Federal Government supports the Federal staff and contributes half of the administrative expenses of a professional staff that works with the States to operate the program. The other half of these non-Federal employee expenses are provided by member States.

**Object Classification** (in millions of dollars)

Identification code 309-0200-0-1-452	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	2	2
25.2	Other services from non-Federal sources .....	9	6	6
41.0	Grants, subsidies, and contributions .....	128	153	153
99.0	Direct obligations .....	138	161	161
99.0	Reimbursable obligations .....	5	4	4
99.9	Total new obligations, unexpired accounts .....	143	165	165

**Employment Summary**

Identification code 309-0200-0-1-452	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	4	4	4

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**

*Trust Funds*

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 313-8281-0-7-502	2018 actual	2019 est.	2020 est.	
0100	Balance, start of year .....	40	40	39
Receipts:				
Current law:				
1140	Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation .....	2	2	2
2000	Total: Balances and receipts .....	42	42	41
Appropriations:				
Current law:				
2101	Barry Goldwater Scholarship and Excellence in Education Foundation .....	-2	-3	-3
5099	Balance, end of year .....	40	39	38

**Program and Financing** (in millions of dollars)

Identification code 313-8281-0-7-502	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Barry Goldwater Scholarship and Excellence in Education Foundation .....	2	3	3
0900	Total new obligations, unexpired accounts (object class 41.0) .....	2	3	3

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	30	30	30
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	3	3
1930	Total budgetary resources available .....	32	33	33
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	30	30	30

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	3	3
3020	Outlays (gross) .....	-2	-3	-3

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	2	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	3	3
4180	Budget authority, net (total) .....	2	3	3

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION  
FOUNDATION—Continued

Program and Financing—Continued

Identification code 313-8281-0-7-502	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total)	2	3	3
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value	69	68	68
5001 Total investments, EOY: Federal securities: Par value	68	68	68

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is a significant permanent tribute to the late Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue research careers in mathematics, the natural sciences and engineering. The Foundation supports approximately 250 scholarships each year.

BUREAU OF CONSUMER FINANCIAL PROTECTION

Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 581-5577-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year	1	1	1
Receipts:			
Current law:			
1110 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	381	533	504
1140 Earnings on Investments, Bureau of Consumer Financial Protection Fund	6	5	4
1199 Total current law receipts	387	538	508
Proposed:			
1210 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund			-23
1999 Total receipts	387	538	485
2000 Total: Balances and receipts	388	539	486
Appropriations:			
Current law:			
2101 Bureau of Consumer Financial Protection Fund	-387	-538	-508
2101 Bureau of Consumer Financial Protection Fund		-39	
2132 Bureau of Consumer Financial Protection Fund		39	
2199 Total current law appropriations	-387	-538	-508
Proposed:			
2201 Bureau of Consumer Financial Protection Fund			23
2999 Total appropriations	-387	-538	-485
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 581-5577-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Consumer Financial Protection Bureau	551	529	500
0100 Direct program activities, subtotal	551	529	500
0808 Reimbursable program activity	2	4	4
0809 Reimbursable program activities, subtotal	2	4	4
0900 Total new obligations, unexpired accounts	553	533	504
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	177	55	76
1021 Recoveries of prior year unpaid obligations	37	12	10
1050 Unobligated balance (total)	214	67	86
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	387	538	508
1201 Appropriation (special or trust fund)		39	

1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-39	
1260 Appropriations, mandatory (total)	387	538	508
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	4	4
1801 Change in uncollected payments, Federal sources	2		
1850 Spending auth from offsetting collections, mand (total)	7	4	4
1900 Budget authority (total)	394	542	512
1930 Total budgetary resources available	608	609	598
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	55	76	94

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	253	207	274
3010 New obligations, unexpired accounts	553	533	504
3020 Outlays (gross)	-562	-454	-464
3040 Recoveries of prior year unpaid obligations, unexpired	-37	-12	-10
3050 Unpaid obligations, end of year	207	274	304
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	252	204	271
3200 Obligated balance, end of year	204	271	301

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	394	542	512
Outlays, gross:			
4100 Outlays from new mandatory authority	135	192	111
4101 Outlays from mandatory balances	427	262	353
4110 Outlays, gross (total)	562	454	464
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-5	-3	-3
4123 Non-Federal sources		-1	-1
4130 Offsets against gross budget authority and outlays (total)	-5	-4	-4
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-2		
4160 Budget authority, net (mandatory)	387	538	508
4170 Outlays, net (mandatory)	557	450	460
4180 Budget authority, net (total)	387	538	508
4190 Outlays, net (total)	557	450	460

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	403	233	248
5001 Total investments, EOY: Federal securities: Par value	233	248	298

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority	387	538	508
Outlays	557	450	460
Legislative proposal, subject to PAYGO:			
Budget Authority			-23
Outlays			-23
Total:			
Budget Authority	387	538	485
Outlays	557	450	437

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) as an independent bureau in the Federal Reserve System. The Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to conduct rulemaking, supervision, and enforcement. Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These amounts are main-

tained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

**Object Classification** (in millions of dollars)

Identification code 581-5577-0-2-376		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	232	231	230
12.1	Civilian personnel benefits .....	88	87	88
21.0	Travel and transportation of persons .....	16	18	18
23.1	Rental payments to GSA .....	8	8	7
23.3	Communications, utilities, and miscellaneous charges .....	8	5	5
24.0	Printing and reproduction .....	5	3	3
25.1	Advisory and assistance services .....		89	76
25.2	Other services from non-Federal sources .....	169	13	10
25.3	Other goods and services from Federal sources .....		43	42
26.0	Supplies and materials .....	5	4	4
31.0	Equipment .....	20	19	14
32.0	Land and structures .....		9	3
99.0	Direct obligations .....	551	529	500
99.0	Reimbursable obligations .....	2	4	4
99.9	Total new obligations, unexpired accounts .....	553	533	504

**Employment Summary**

Identification code 581-5577-0-2-376		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	1,606	1,540	1,468

**BUREAU OF CONSUMER FINANCIAL PROTECTION FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 581-5577-4-2-376		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Consumer Financial Protection Bureau .....			-50
0100	Direct program activities, subtotal .....			-50
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....			-23
1900	Budget authority (total) .....			-23
1930	Total budgetary resources available .....			-23
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....			27
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....			-50
3020	Outlays (gross) .....			23
3050	Unpaid obligations, end of year .....			-27
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			-27
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....			-23
Outlays, gross:				
4100	Outlays from new mandatory authority .....			-23
4180	Budget authority, net (total) .....			-23
4190	Outlays, net (total) .....			-23

**Object Classification** (in millions of dollars)

Identification code 581-5577-4-2-376		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....			-36
12.1	Civilian personnel benefits .....			-14
99.9	Total new obligations, unexpired accounts .....			-50

**Employment Summary**

Identification code 581-5577-4-2-376		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....			-243

**CONSUMER FINANCIAL CIVIL PENALTY FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 581-5578-0-2-376		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....			
Receipts:				
Current law:				
1110	Penalties and Fines, Consumer Financial Protection .....	523	3	
2000	Total: Balances and receipts .....	523	3	
Appropriations:				
Current law:				
2101	Consumer Financial Civil Penalty Fund .....	-523	-3	
5099	Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 581-5578-0-2-376		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Civil Penalty Payments .....	108	146	9
0900	Total new obligations, unexpired accounts (object class 25.2) .....	108	146	9
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	216	639	496
1021	Recoveries of prior year unpaid obligations .....	1		
1033	Recoveries of prior year paid obligations .....	7		
1050	Unobligated balance (total) .....	224	639	496
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	523	3	
1930	Total budgetary resources available .....	747	642	496
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	639	496	487
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	3	1
3010	New obligations, unexpired accounts .....	108	146	9
3020	Outlays (gross) .....	-111	-148	-10
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	3	1	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	3	1
3200	Obligated balance, end of year .....	3	1	
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	523	3	
Outlays, gross:				
4100	Outlays from new mandatory authority .....		3	
4101	Outlays from mandatory balances .....	111	145	10
4110	Outlays, gross (total) .....		148	10
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-7		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	7		
4160	Budget authority, net (mandatory) .....	523	3	
4170	Outlays, net (mandatory) .....	104	148	10
4180	Budget authority, net (total) .....	523	3	
4190	Outlays, net (total) .....	104	148	10

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties obtained in any judicial or administrative action under Federal consumer financial laws. Per

CONSUMER FINANCIAL CIVIL PENALTY FUND—Continued

the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. Obligations related to victim compensation are contingent upon identifying the specific victims qualifying for payments.

**CENTRAL INTELLIGENCE AGENCY**

*Federal Funds*

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000. (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 056-3400-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Personnel benefits .....	514	514	514
0900 Total new obligations, unexpired accounts (object class 13.0) .....	514	514	514
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	514	514	514
1930 Total budgetary resources available .....	514	514	514
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	514	514	514
3020 Outlays (gross) .....	-514	-514	-514
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	514	514	514
Outlays, gross:			
4100 Outlays from new mandatory authority .....	514	514	514
4180 Budget authority, net (total) .....	514	514	514
4190 Outlays, net (total) .....	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$4.4 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget proposes \$514 million in 2020. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, \$10,200,000: Provided, That these funds shall be available only for the purposes of the closure of the Chemical Safety and Hazard Investigation Board (Board): Provided further, That notwithstanding any other provision of law, no-year funds made available to the Board under title III of Public Law 108-199 and title III of Public Law 108-447 may be used only if unforeseen costs of closure arise.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 510-3850-0-1-304	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	11	11	10
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	11	11	10
1930 Total budgetary resources available .....	12	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	11	11	10
3020 Outlays (gross) .....	-11	-11	-11
3050 Unpaid obligations, end of year .....	2	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	11	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	9	8
4011 Outlays from discretionary balances .....	2	2	3
4020 Outlays, gross (total) .....	11	11	11
4180 Budget authority, net (total) .....	11	11	10
4190 Outlays, net (total) .....	11	11	11

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and informing stakeholder discussions on chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other entities to implement Board recommendations. The President's Budget proposes to eliminate funding for several independent agencies, including the Chemical Safety and Hazard Investigation Board, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The amount requested will fund an orderly closeout of the agency beginning in 2020. As authorized by law, the Board will submit a concurrent request for 2020 to the Congress and OMB.

**Object Classification** (in millions of dollars)

Identification code 510-3850-0-1-304	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	4	6	6
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	5	6	6
12.1 Civilian personnel benefits .....	2	1	1
23.2 Rental payments to others .....	1	1	1
25.1 Advisory and assistance services .....	1	1	
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	11	11	10

**Employment Summary**

Identification code 510-3850-0-1-304	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	38	47	47

**Employment Summary**

Identification code 323-2600-0-1-451	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

**COMMISSION OF FINE ARTS**

*Federal Funds*

**SALARIES AND EXPENSES**

For expenses of the Commission of Fine Arts under chapter 91 of title 40, United States Code, \$3,050,000: Provided, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: Provided further, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study, or education: Provided further, That up to one-tenth of one percent of the funds provided under this heading may be used for official reception and representations expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 323-2600-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	2	3	3

The Commission advises the President, the Congress, and Department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

**Object Classification** (in millions of dollars)

Identification code 323-2600-0-1-451	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
12.1 Civilian personnel benefits .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	3	3

**NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 323-2602-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Capital Arts and Cultural Affairs (Direct) .....	3	3	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	3	3	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	.....
1930 Total budgetary resources available .....	3	3	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	3	.....
3020 Outlays (gross) .....	-3	-3	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	.....
4180 Budget authority, net (total) .....	3	3	.....
4190 Outlays, net (total) .....	3	3	.....

No funding is requested for the National Capital Arts and Cultural Affairs Grant Program that is administered by the Commission of Fine Arts.

**COMMISSION ON CIVIL RIGHTS**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, \$9,200,000: Provided, That none of the funds appropriated in this paragraph may be used to employ any individuals under Schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: Provided further, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by section 3 of the Civil Rights Commission Act of 1983 (42 U.S.C. 1975a).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 326-1900-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	10	10	9
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	9
1930 Total budgetary resources available .....	10	10	9

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 326–1900–0–1–751	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	10	10	9
3020 Outlays (gross) .....	–10	–10	–9
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	9	10	9
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	10	10	9
4180 Budget authority, net (total) .....	10	10	9
4190 Outlays, net (total) .....	10	10	9

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

Object Classification (in millions of dollars)

Identification code 326–1900–0–1–751	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	6	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1		
99.9 Total new obligations, unexpired accounts .....	10	10	9

Employment Summary

Identification code 326–1900–0–1–751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	39	42	40

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled (referred to in this title as "the Committee") established under section 8502 of title 41, United States Code, **[\$8,250,000] \$9,400,000**: *Provided*, That in order to authorize any central nonprofit agency designated pursuant to section 8503(c) of title 41, United States Code, to perform requirements of the Committee as prescribed under section 51–3.2 of title 41, Code of Federal Regulations, the Committee shall enter into a written agreement with any such central nonprofit agency: *Provided further*, That such agreement shall contain such auditing, oversight, and reporting provisions as necessary to implement chapter 85 of title 41,

United States Code: *Provided further*, That such agreement shall include the elements listed under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled—Written Agreement Elements" in the explanatory statement described in section 4 of Public Law 114–113 (in the matter preceding division A of that consolidated Act): *Provided further*, That any such central nonprofit agency may not charge a fee under section 51–3.5 of title 41, Code of Federal Regulations, prior to executing a written agreement with the Committee: *Provided further*, That no less than **[\$1,250,000] \$1,650,000** shall be available for the Office of Inspector General. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 338–2000–0–1–505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	8	8	8
0900 Total new obligations, unexpired accounts .....	8	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	9
1930 Total budgetary resources available .....	8	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	2
3010 New obligations, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	–9	–7	–9
3050 Unpaid obligations, end of year .....	1	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	2
3200 Obligated balance, end of year .....	1	2	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	6	7
4011 Outlays from discretionary balances .....	2	1	2
4020 Outlays, gross (total) .....	9	7	9
4180 Budget authority, net (total) .....	8	8	9
4190 Outlays, net (total) .....	9	7	9

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission, hereafter "Commission") administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Commission accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Commission then places such products and service requirements on the AbilityOne Procurement List, requiring Federal departments and agencies to procure the designated products and services from a network of 565 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities. The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2017, over 45,000 AbilityOne employees earned a combined total of more than \$615 million in wages, with an average hourly wage of \$13.32. The AbilityOne Program continues to emphasize providing employment to veterans, with approximately 3,000 employed in direct or indirect labor positions, including supervision and management. More than 2,000 AbilityOne employees moved into competitive or supported employment in 2017 after gaining skills and experience on AbilityOne jobs.

While pursuing its core mission to increase employment opportunities for people who are blind or have other significant disabilities, the Commission is dedicated to effective stewardship and program integrity. The Commission continues to strengthen its Procurement List business processes and to enhance its oversight of AbilityOne Program participants. The resources proposed for 2020 will enable the Commission to continue implementing the requirements of the Consolidated Appropriations Act of 2016. These requirements include establishing and staffing an Office of Inspector General for the AbilityOne Program. The requirements also include establishing and administering written agreements that govern the Commission's relationship with its designated central nonprofit agencies, evaluating reports and data from such central nonprofit agencies, and maintaining the Commission's compliance and operations capacity to oversee a national program with \$3.3 billion in annual sales of products and services to the Government.

**Object Classification** (in millions of dollars)

Identification code 338-2000-0-1-505	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	6	6	6
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	8	8	8

**Employment Summary**

Identification code 338-2000-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	40	40	40

**COMMODITY FUTURES TRADING COMMISSION**

*Federal Funds*

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases), in the District of Columbia and elsewhere, \$219,000,000, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence, and of which not less than \$50,000,000, to remain available until September 30, 2021, shall be for the purchase of information technology and of which not less than \$3,386,000 shall be for expenses of the Office of the Inspector General: Provided, That notwithstanding the limitations in 31 U.S.C. 1553, amounts provided under this heading are available for the liquidation of obligations equal to current year payments on leases entered into prior to the date of enactment of this Act: Provided further, That for the purpose of recording and liquidating any lease obligations that should have been recorded and liquidated against accounts closed pursuant to 31 U.S.C. 1552, and consistent with the first preceding proviso, such amounts under this heading shall be transferred to and recorded in a no-year account in the Treasury, which has been established for the sole purpose of recording adjustments for and liquidating such unpaid obligations.

In addition, for move, replication, and related costs associated with replacement leases for the Commission's facilities, not to exceed \$31,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 339-1400-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....	175	174	144
0002 Information Technology .....	50	50	50
0003 Inspector General .....	3	3	3

0004 Relocation Costs .....			31
0900 Total new obligations, unexpired accounts .....	228	227	228
0910 Appropriations used to liquidate unpaid lease obligations .....	23	23	23
0911 Total new obligations, unexpired accounts; and lease payments .....	251	250	251

**Budgetary resources:**

<b>Unobligated balance:</b>			
1021 Recoveries of prior year unpaid obligations .....	3	1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	249	249	219
1100 Appropriation (Relocation Costs) .....			31
1160 Appropriation, discretionary (total) .....	249	249	250
1901 Adjustment for new budget authority used to liquidate deficiencies .....	-23	-23	-23
1930 Total budgetary resources available .....	229	227	228
<b>Memorandum (non-add) entries:</b>			
1940 Unobligated balance expiring .....	-1		

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	211	194	161
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	11		
3010 New obligations, unexpired accounts .....	228	227	228
3011 Obligations ("upward adjustments"), expired accounts .....	2	1	1
3020 Outlays (gross) .....	-251	-260	-231
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-4		
3050 Unpaid obligations, end of year .....	194	161	158
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	222	194	161
3200 Obligated balance, end of year .....	194	161	158

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	249	249	250
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	189	201	183
4011 Outlays from discretionary balances .....	62	59	48
4020 Outlays, gross (total) .....	251	260	231
4180 Budget authority, net (total) .....	249	249	250
4190 Outlays, net (total) .....	251	260	231

**Unfunded deficiencies:**

7000 Unfunded deficiency, start of year .....	-161	-149	-126
<b>Change in deficiency during the year:</b>			
7010 New deficiency .....	-11		
7012 Budgetary resources used to liquidate deficiencies .....	23	23	23
7020 Unfunded deficiency, end of year .....	-149	-126	-103

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	249	249	250
Outlays .....	251	260	231
<b>Legislative proposal, not subject to PAYGO:</b>			
Outlays .....			-7
<b>Total:</b>			
Budget Authority .....	249	249	250
Outlays .....	251	260	224

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to: foster open, transparent, competitive, and financially sound markets; prevent and deter price manipulation and other disruptions to market integrity; and protect market participants and the public from fraud, exploitation, and abusive practices related to derivatives and other products that are subject to the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA). The CEA established a comprehensive regulatory structure to oversee the futures trading complex, commodity options trading, intermediaries, and swap dealer activities.

The Commission's regulatory landscape is continually changing. As a responsible regulator, the CFTC seeks to promote responsible innovation and development that is consistent with its statutory mission to enhance

COMMODITY FUTURES TRADING COMMISSION—Continued

the derivative trading markets. Further, the agency seeks to lower the systemic risk of the futures and swaps markets to the economy and the public.

The markets under the CFTC's regulatory purview are economically significant. In the United States, the CFTC regulates the markets for futures and options on futures with an estimated notional value of \$26 trillion and the swaps market with an estimated notional value of \$292 trillion.

The Budget proposes legislation authorizing user fees to fund certain Commission activities, as specified by the CFTC, in line with nearly all other Federal financial and banking regulators. Contingent upon enactment of authorizing legislation, the Budget proposes collections of \$65 million to offset a portion of the CFTC's annual appropriation, providing total CFTC funding of \$315 million in FY 2020. CFTC fees would be designed in a way that supports market access, liquidity, and the efficiency of the Nation's derivatives markets.

Of the \$315 million proposed, \$284 million would sustain Commission operations. The remaining \$31 million is associated with the relocation of three regional offices due to lease expirations occurring in FY 2021 and FY 2022. The lease expirations provide the Commission with the opportunity to obtain space through occupancy agreements with the General Services Administration (GSA), rather than executing replacement leases directly. The amount proposed includes costs related to relocation, including build out, furnishings, information technology infrastructure, security-related equipment, logistical support, and appropriate GSA fees. The funds support the current schedule which projects funding for GSA in early FY 2020 for the Kansas City, Chicago, and New York relocations. The \$31 million associated with relocation would not be used for the operations of the Commission.

Object Classification (in millions of dollars)

Identification code 339-1400-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	115	114	96
11.3 Other than full-time permanent .....	2	4	4
11.5 Other personnel compensation .....	1	3	3
11.9 Total personnel compensation .....	118	121	103
12.1 Civilian personnel benefits .....	40	39	33
21.0 Travel and transportation of persons .....	1	1	2
22.0 Transportation of things .....			1
23.1 Rental payments to GSA .....		1	20
23.2 Rental payments to others .....	3	1	
23.3 Communications, utilities, and miscellaneous charges .....	3	3	2
24.0 Printing and reproduction .....	1	1	
25.2 Other services from non-Federal sources .....	55	52	53
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	4	6	12
99.9 Total new obligations, unexpired accounts .....	228	227	228
01.2 Rental payments to others .....	23	23	23
09.9 Total obligations, unexpired accounts; and lease payments .....	251	250	251

Employment Summary

Identification code 339-1400-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	669	716	544

COMMODITY FUTURES TRADING COMMISSION  
(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing the Commodity Futures Trading Commission to collect user fees to fund the Commission's activities, an additional \$65,000,000 shall be appropriated from the general fund: Provided, That fees and charges assessed by the Commission shall be credited to this appropriation as offsetting collections: Provided further, That not to exceed \$65,000,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2020 shall be reduced as such offsetting

fees are received so as to result in a final total fiscal year 2020 appropriation from the general fund estimated at not more than \$250,000,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 339-1400-2-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses .....			58
0002 Information Technology .....			7
0900 Total new obligations, unexpired accounts .....			65
0911 Total new obligations, unexpired accounts; and lease payments .....			65
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....			65
1900 Budget authority (total) .....			65
1930 Total budgetary resources available .....			65
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			65
3020 Outlays (gross) .....			-58
3050 Unpaid obligations, end of year .....			7
<b>Memorandum (non-add) entries:</b>			
3200 Obligated balance, end of year .....			7
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....			65
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....			58
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4034 Offsetting governmental collections .....			-65
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-7

Object Classification (in millions of dollars)

Identification code 339-1400-2-1-376	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....			30
11.9 Total personnel compensation .....			30
12.1 Civilian personnel benefits .....			10
23.2 Rental payments to others .....			3
23.3 Communications, utilities, and miscellaneous charges .....			1
25.2 Other services from non-Federal sources .....			16
26.0 Supplies and materials .....			1
31.0 Equipment .....			4
99.0 Direct obligations .....			65
99.9 Total new obligations, unexpired accounts .....			65
09.9 Total obligations, unexpired accounts; and lease payments .....			65

Employment Summary

Identification code 339-1400-2-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....			163

CUSTOMER PROTECTION FUND

Program and Financing (in millions of dollars)

Identification code 339-4334-0-3-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Customer Education Program .....	2	4	6

0002	Whistleblower Program .....	3	4	4
0003	Whistleblower Awards .....	76	50	50
0900	Total new obligations, unexpired accounts .....	81	58	60
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	235	158	102
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	236	158	102
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	3	2	59
1930	Total budgetary resources available .....	239	160	161
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	158	102	101
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	7	7	18
3010	New obligations, unexpired accounts .....	81	58	60
3020	Outlays (gross) .....	-80	-47	-44
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	7	18	34
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	7	7	18
3200	Obligated balance, end of year .....	7	18	34
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3	2	59
Outlays, gross:				
4100	Outlays from new mandatory authority .....		2	44
4101	Outlays from mandatory balances .....	80	45	
4110	Outlays, gross (total) .....	80	47	44
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities .....	-3	-2	-1
4123	Non-Federal sources .....			-58
4130	Offsets against gross budget authority and outlays (total) ....	-3	-2	-59
4170	Outlays, net (mandatory) .....	77	45	-15
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	77	45	-15
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	234	158	102
5001	Total investments, EOY: Federal securities: Par value .....	158	102	101

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA) to establish the Customer Protection Fund (Fund). The Fund is used to pay whistleblower awards, finance customer education initiatives, and administer the programs. The Dodd-Frank Act also authorized the Commodity Futures Trading Commission (Commission) to issue rules implementing incentives and protections for whistleblowers and to conduct customer education initiatives designed to help customers protect themselves against fraud and other violations of the CEA.

The Commission deposits monetary sanctions it collects in covered judicial or administrative actions into this revolving fund. The Commission may deposit such sanctions unless the balance in the Fund at the time the sanction is collected exceeds \$100 million. The Commission does not deposit restitution awarded to victims into the Fund.

The Commission is required to submit an annual report on the whistleblower award program and customer education initiatives to the Committee on Agriculture, Nutrition, and Forestry of the Senate and the Committee on Agriculture of the House of Representatives. The report includes: a description of the number of whistleblower awards granted, and the types of cases in which these awards were granted, during the preceding fiscal year; the balance in the Fund; the amounts credited to and paid from the Fund; and a complete set of audited financial statements.

**Object Classification** (in millions of dollars)

Identification code 339-4334-0-3-376	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	2	4	5
11.8	Special personal services payments .....	76	50	50
11.9	Total personnel compensation .....	78	54	55
12.1	Civilian personnel benefits .....	1	1	1
25.1	Advisory and assistance services .....	2	3	4
99.9	Total new obligations, unexpired accounts .....	81	58	60

**Employment Summary**

Identification code 339-4334-0-3-376	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	13	19	25

**CONSUMER PRODUCT SAFETY COMMISSION**

*Federal Funds*

**SALARIES AND EXPENSES**

*For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, \$127,000,000.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 061-0100-0-1-554	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Consumer Product Safety - Direct .....	126	126	127
0100	Direct program activities, subtotal .....	126	126	127
0801	Consumer Product Safety - Reimbursable .....	3	3	3
0900	Total new obligations, unexpired accounts .....	129	129	130
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	126	126	127
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1	3	3
1701	Change in uncollected payments, Federal sources .....	2		
1750	Spending auth from offsetting collections, disc (total) .....	3	3	3
1900	Budget authority (total) .....	129	129	130
1930	Total budgetary resources available .....	131	131	132
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	36	33	33
3010	New obligations, unexpired accounts .....	129	129	130
3020	Outlays (gross) .....	-131	-129	-132
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	33	33	31
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired .....	-2		
3071	Change in uncollected pymts, Fed sources, expired .....	2		
3090	Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	33	30	30
3200	Obligated balance, end of year .....	30	30	28

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 061-0100-0-1-554	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	129	129	130
Outlays, gross:			
4010 Outlays from new discretionary authority .....	100	103	104
4011 Outlays from discretionary balances .....	31	26	28
4020 Outlays, gross (total) .....	131	129	132
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2		
4052 Offsetting collections credited to expired accounts .....	2		
4070 Budget authority, net (discretionary) .....	126	126	127
4080 Outlays, net (discretionary) .....	128	126	129
4180 Budget authority, net (total) .....	126	126	127
4190 Outlays, net (total) .....	128	126	129

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. In FY 2020, CPSC will focus on the highest priority risks to consumers, adapt to the consumer marketplace changes resulting from the expansion of e-commerce, continue to emphasize import surveillance to better identify and stop non-compliant or defective products from entering the U.S. market, and expand the data analysis tools, data sources and types used to identify consumer product hazards.

Object Classification (in millions of dollars)

Identification code 061-0100-0-1-554	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	56	58	60
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	61	63	65
12.1 Civilian personnel benefits .....	18	19	19
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	10	10	10
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	27	24	24
25.3 Other goods and services from Federal sources .....	1	1	1
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	
99.0 Direct obligations .....	126	126	127
99.0 Reimbursable obligations .....	3	3	3
99.9 Total new obligations, unexpired accounts .....	129	129	130

Employment Summary

Identification code 061-0100-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	530	539	539

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), **[\$786,629,000]** **\$30,105,000** **]**, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act; *Provided*, That, **[**of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$17,538,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (3) \$32,000,000 shall be available to carry out subtitle E of the 1990 Act; and (4) \$5,400,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis: *Provided further*, That for the purposes of carrying out the 1990 Act, satisfying the requirements in section 122(c)(1)(D) may include a determination of need by the local community. **]** *notwithstanding any other provision of law—*

(1) CNCS may not incur obligations under subtitle B, subtitle C (except as needed to fulfill the requirements of sections 141(d) and (e)), subtitles F and H, section 193A(g)(3) of subtitle G, or subtitles H and J of the 1990 Act;

(2) CNCS may not approve any national service positions under section 123 of the 1990 Act;

(3) \$22,883,000 shall be available to carry out subtitle E of the 1990 Act;

(4) CNCS may not assign volunteers under Title I of the 1973 Act; and

(5) CNCS may not incur obligations under Title II of the 1973 Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 485-2728-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 AmeriCorps*State and National .....	412	425	2
0002 Foster Grandparent Program .....	108	111	
0003 Senior Companion Program .....	46	47	
0004 AmeriCorps*VISTA .....	92	92	5
0006 AmeriCorps*NCCC .....	32	32	23
0007 Retired Senior Volunteer Program .....	49	50	
0008 State Comm. Support Grants .....	18	18	
0009 Evaluations .....	4	4	
0011 Innovation, Demon., and Assistance .....	2	2	
0012 Volunteer Generation Fund .....	5	6	
0799 Total direct obligations .....	768	787	30
0801 Operating Expenses (Reimbursable) .....	18	18	
0900 Total new obligations, unexpired accounts .....	786	805	30
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	
1021 Recoveries of prior year unpaid obligations .....	2	2	2
1050 Unobligated balance (total) .....	3	4	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	768	787	30
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	24	10	
1701 Change in uncollected payments, Federal sources .....	4	4	
1750 Spending auth from offsetting collections, disc (total) .....	28	14	
1900 Budget authority (total) .....	796	801	30
1930 Total budgetary resources available .....	799	805	32
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-11		
1941 Unexpired unobligated balance, end of year .....	2		2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	924	938	938
3010 New obligations, unexpired accounts .....	786	805	30
3011 Obligations ("upward adjustments"), expired accounts .....	5		

3020	Outlays (gross) .....	-736	-779	-542
3040	Recoveries of prior year unpaid obligations, unexpired .....	-2	-2	-2
3041	Recoveries of prior year unpaid obligations, expired .....	-39	-24	.....
3050	Unpaid obligations, end of year .....	938	938	424
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-6	-10	-14
3070	Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	.....
3090	Uncollected pymts, Fed sources, end of year .....	-10	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	918	928	924
3200	Obligated balance, end of year .....	928	924	410

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	796	801	30
Outlays, gross:				
4010	Outlays from new discretionary authority .....	135	259	9
4011	Outlays from discretionary balances .....	601	520	533
4020	Outlays, gross (total) .....	736	779	542
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-23	-10	.....
4033	Non-Federal sources .....	-1	.....	.....
4040	Offsets against gross budget authority and outlays (total) ....	-24	-10	.....
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-4	-4	.....
4060	Additional offsets against budget authority only (total) .....	-4	-4	.....
4070	Budget authority, net (discretionary) .....	768	787	30
4080	Outlays, net (discretionary) .....	712	769	542
4180	Budget authority, net (total) .....	768	787	30
4190	Outlays, net (total) .....	712	769	542

The Corporation for National and Community Service (CNCS) provides service opportunities for Americans of all ages through institutions that include: nonprofits, schools, faith-based and other community organizations, and local governments. The 2020 Budget proposes to eliminate CNCS, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. No funds are provided in the 2020 Budget for new grants in programs described in this account.

*AmeriCorps State and National.*—With funds channeled through States, Territories, Tribes, and community-based organizations, AmeriCorps grants enable communities to recruit, train, and place AmeriCorps members to serve in the areas of disaster services, economic opportunity, education, environmental stewardship, healthy futures, and veterans and military families, as directed by the Edward M. Kennedy Serve America Act of 2009.

*AmeriCorps National Civilian Community Corps.*—AmeriCorps NCCC is a ten-month residential national service program for people ages 18 to 24. AmeriCorps NCCC members are deployed to respond to natural disasters and engage in urban and rural development projects across the nation.

*AmeriCorps VISTA.*—Provides full-time members to community organizations and public agencies working to resolve local poverty-related problems.

*State Service Commission Support Grants.*—These grants support the operation of State Service Commissions that administer approximately two-thirds of AmeriCorps State and National grant funds.

*Retired Senior Volunteer Program.*—RSVP grants support volunteers aged 55 and older with service opportunities, including mentoring children and providing independent living services to adults.

*Foster Grandparent Program.*—Grants provide low-income volunteers age 55 and older with service opportunities to provide one-on-one mentoring and support to at-risk children.

*Senior Companion Program.*—Grants support low-income volunteers who provide companionship, transportation, help with light chores, and respite to assist seniors and people with disabilities to remain in their own homes.

*Innovation, Demonstration, and Assistance.*—These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives

that address specific community needs. For example, the Volunteer Generation Fund focuses on strengthening the ability of nonprofits and other organizations to recruit, retain, and manage volunteers.

*Evaluation.*—This activity supports the design and implementation of research and evaluation studies and facilitates the use of evidence and evaluation by CNCS and national service organizations.

**Object Classification** (in millions of dollars)

Identification code 485-2728-0-1-506	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	9	9	9
11.8	Special personal services payments .....	55	55	4
11.9	Total personnel compensation .....	64	64	13
12.1	Civilian personnel benefits .....	3	3	3
21.0	Travel and transportation of persons .....	4	4	2
23.2	Rental payments to others .....	8	8	6
25.2	Other services from non-Federal sources .....	39	39	6
26.0	Supplies and materials .....	1	1	.....
41.0	Grants, subsidies, and contributions .....	649	668	.....
99.0	Direct obligations .....	768	787	30
99.0	Reimbursable obligations .....	18	18	.....
99.9	Total new obligations, unexpired accounts .....	786	805	30

**Employment Summary**

Identification code 485-2728-0-1-506	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	111	111	111

**PAYMENT TO THE NATIONAL SERVICE TRUST**

**[(INCLUDING TRANSFER OF FUNDS)]**

【For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$206,842,000, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).】 (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 485-2726-0-1-506	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Payment to National Service Trust Fund .....	207	207	.....
0900	Total new obligations, unexpired accounts (object class 94.0) .....	207	207	.....
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	207	207	.....
1930	Total budgetary resources available .....	207	207	.....
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	207	207	.....
3020	Outlays (gross) .....	-207	-207	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	207	207	.....
Outlays, gross:				
4010	Outlays from new discretionary authority .....	.....	207	.....
4011	Outlays from discretionary balances .....	207	.....	.....
4020	Outlays, gross (total) .....	207	207	.....

PAYMENT TO THE NATIONAL SERVICE TRUST—Continued  
Program and Financing—Continued

Identification code 485-2726-0-1-506	2018 actual	2019 est.	2020 est.
4180 Budget authority, net (total) .....	207	207	.....
4190 Outlays, net (total) .....	207	207	.....

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them. The 2020 Budget does not provide funding in this account because CNCS is proposed for elimination and will not make any education awards in 2020.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, **[\$5,750,000]** \$4,258,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 485-2721-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	6	3	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	6	6	4
1930 Total budgetary resources available .....	6	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	.....
3010 New obligations, unexpired accounts .....	6	3	4
3020 Outlays (gross) .....	-6	-5	-4
3050 Unpaid obligations, end of year .....	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	.....
3200 Obligated balance, end of year .....	2	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	6	6	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	2	1
4011 Outlays from discretionary balances .....	2	3	3
4020 Outlays, gross (total) .....	6	5	4
4180 Budget authority, net (total) .....	6	6	4
4190 Outlays, net (total) .....	6	5	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse. The 2020 Budget provides funding in this account for the orderly shutdown of the Office of the Inspector General, as part of the proposal to eliminate the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485-2721-0-1-506	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services from non-Federal sources .....	3	.....	1
99.9 Total new obligations, unexpired accounts .....	6	3	4

Employment Summary

Identification code 485-2721-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15

SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$83,737,000]** \$59,300,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 485-2722-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 NCSA Salaries & Expenses .....	82	84	59
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	84	84	59
1930 Total budgetary resources available .....	84	86	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	19	27
3010 New obligations, unexpired accounts .....	82	84	59
3020 Outlays (gross) .....	-80	-76	-65
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	19	27	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	19	27
3200 Obligated balance, end of year .....	19	27	21
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	84	84	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	68	65	46
4011 Outlays from discretionary balances .....	12	11	19
4020 Outlays, gross (total) .....	80	76	65
4180 Budget authority, net (total) .....	84	84	59
4190 Outlays, net (total) .....	80	76	65

This account provides funding to provide for the orderly shutdown of the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485-2722-0-1-506	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	41	41	38
11.9 Total personnel compensation .....	41	41	38
12.1 Civilian personnel benefits .....	13	13	6
21.0 Travel and transportation of persons .....	2	2	1
23.1 Rental payments to GSA .....	4	6	6
23.3 Communications, utilities, and miscellaneous charges .....	2	2	1
25.2 Other services from non-Federal sources .....	19	19	7
31.0 Equipment .....	1	1	.....
99.9 Total new obligations, unexpired accounts .....	82	84	59

**Employment Summary**

Identification code 485-2722-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	503	503	503

**VISTA ADVANCE PAYMENTS REVOLVING FUND**

**Program and Financing** (in millions of dollars)

Identification code 485-2723-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 VISTA Advance Payments Revolving Fund (Reimbursable) .....	11	13	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	11	13	
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	11	13	
1900 Budget authority (total) .....	11	13	
1930 Total budgetary resources available .....	14	16	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	11	13	
3020 Outlays (gross) .....	-11	-13	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	11	13	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		13	
4011 Outlays from discretionary balances .....	11		
4020 Outlays, gross (total) .....	11	13	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources .....	-11	-13	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This fund was established in 2007 by Public Law 110-05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

**Trust Funds**

**GIFTS AND CONTRIBUTIONS**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 485-9972-0-7-506	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			5
Receipts:			
Current law:			
1140 Interest on Investment, National Service Trust Fund .....	8	5	5
1140 Payment from the General Fund, National Service Trust Fund .....	212	207	
1199 Total current law receipts .....	220	212	5
1999 Total receipts .....	220	212	5
2000 Total: Balances and receipts .....	220	212	10
Appropriations:			
Current law:			
2101 Gifts and Contributions .....	-212	-207	
2101 Gifts and Contributions .....	-8		
2199 Total current law appropriations .....	-220	-207	
2999 Total appropriations .....	-220	-207	

5099 Balance, end of year .....	5	10
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**Program and Financing** (in millions of dollars)

Identification code 485-9972-0-7-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Gifts and contributions .....	63		
0900 Total new obligations, unexpired accounts (object class 25.2) .....	63		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	205	364	421
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	159		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	212	207	
1131 Unobligated balance of appropriations permanently reduced .....		-150	-40
1160 Appropriation, discretionary (total) .....	212	57	-40
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	8		
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2		
1900 Budget authority (total) .....	222	57	-40
1930 Total budgetary resources available .....	427	421	381
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	364	421	381
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	669	558	324
3010 New obligations, unexpired accounts .....	63		
3020 Outlays (gross) .....	-174	-234	-131
3050 Unpaid obligations, end of year .....	558	324	193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	669	558	324
3200 Obligated balance, end of year .....	558	324	193
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	214	57	-40
Outlays, gross:			
4010 Outlays from new discretionary authority .....			-40
4011 Outlays from discretionary balances .....	174	233	170
4020 Outlays, gross (total) .....	174	233	130
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2		
4040 Offsets against gross budget authority and outlays (total) .....	-2		
Mandatory:			
4090 Budget authority, gross .....	8		
Outlays, gross:			
4101 Outlays from mandatory balances .....		1	1
4180 Budget authority, net (total) .....	220	57	-40
4190 Outlays, net (total) .....	172	234	131
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	867	796	796
5001 Total investments, EOY: Federal securities: Par value .....	796	796	796

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until they are used.

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFER AUTHORITY AND CANCELLATION)

SEC. 401. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the

1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

SEC. 402. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. 403. For the purpose of carrying out section 189D of the 1990 Act—

(a) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(b) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(c) consistent with Public Law 92–544, State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act are authorized to receive criminal history record information.

(TRANSFER AUTHORITY)

SEC. 404. Only for purposes of effectuating a transfer of appropriated funds from any account under the heading "Corporation for Community Service" to any executive agency under 31 U.S.C. 1531, the term "executive agency" as used in section 1531 shall apply to the Corporation for National and Community Service.

(CANCELLATION)

SEC. 405. Of the unobligated balances available in the National Service Trust Fund, identified by the Treasury Appropriation Fund Symbol 95X8267, **[\$139,000,000]** \$40,000,000 are hereby permanently cancelled. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
485–322055 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	3		
General Fund Offsetting receipts from the public .....	3		

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting ("CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2021, \$445,000,000: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: *Provided further*, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose. ]

*Of the amounts which are made available to the Corporation for Public Broadcasting (CPB) on October 1, 2019 by Public Law 115–141, \$415,000,000 is hereby permanently cancelled: Provided, That section 396(k)(3) of the Communications Act of 1934 (47 U.S.C. 396(k)(3)) shall not apply to the remaining amounts made available to CPB for fiscal year 2020 by Public Law 115–141, or to the unobligated balances of the Fund established in section 396(k)(1)(A) of such Act (47 U.S.C. 396(k)(1)(A)).*

In addition, for the costs associated with replacing and upgrading the public broadcasting interconnection system and other technologies and services that create infrastructure and efficiencies within the public media system, \$20,000,000. ]

*Of the amounts which are made available to CPB on October 1, 2020 by Public Law 115–245, \$415,000,000 is hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)*

Program and Financing (in millions of dollars)

Identification code 020–0151–0–1–503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 General programming .....	465	445	30
0002 Interconnection .....		20	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	465	465	30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	20	20	
Advance appropriations, discretionary:			
1170 Advance appropriation - General Programming .....	445	445	445
1174 Advance appropriations permanently reduced .....			–415
1180 Advanced appropriation, discretionary (total) .....	445	445	30
1900 Budget authority (total) .....	465	465	30
1930 Total budgetary resources available .....	465	465	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	465	465	30
3020 Outlays (gross) .....	–465	–465	–30
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	465	465	30
Outlays, gross:			
4010 Outlays from new discretionary authority .....	465	465	30
4180 Budget authority, net (total) .....	465	465	30
4190 Outlays, net (total) .....	465	465	30

The Budget proposes to eliminate funding for several independent agencies and other federal entities, including the Corporation for Public Broadcasting, as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests up to \$60 million over two years to conduct an orderly closeout of Federal funding for the Corporation beginning with \$30 million in fiscal year 2020. The request includes funding for personnel, rental, and other necessary close-out costs.

COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

Federal Funds

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 542–4592–0–4–808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Inspectors General Council Fund (Reimbursable) .....	9	11	11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	15	15
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	13	15	15
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	12	11	11
1801 Change in uncollected payments, Federal sources .....	–1		
1850 Spending auth from offsetting collections, mand (total) .....	11	11	11
1930 Total budgetary resources available .....	24	26	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	15	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010 New obligations, unexpired accounts .....	9	11	11
3020 Outlays (gross) .....	–9	–11	–11
3040 Recoveries of prior year unpaid obligations, unexpired .....	–1		

3050	Unpaid obligations, end of year .....	1	1	1
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	11	11	11
Outlays, gross:				
4100	Outlays from new mandatory authority .....	8	11	11
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	9	11	11
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-12	-11	-11
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	1		
4170	Outlays, net (mandatory) .....	-3		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-3		

The Inspector General (IG) Reform Act of 2008 (P.L. 110-409) created the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to address program integrity, efficiency, and effectiveness issues that transcend individual Government agencies and to increase the professionalism and effectiveness of IG staff. In 2020, CIGIE estimates that it will need \$9.5 million to continue to support cross-cutting IG activities and train IG staff.

Pursuant to Section 7 of the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding, which includes member contributions and tuition. Consistent with prior years, CIGIE plans to collect member contributions for 2020 during the second half of 2019. CIGIE will use \$3.6 million for CIGIE's Training Institute and \$5.9 million for operations. Although CIGIE will collect the required member contributions for 2020 from agency IGs in the second half of 2019, the President's 2020 Budget includes funds in individual IG budgets that are dedicated to CIGIE and will be collected in 2020 for use in 2021.

**Object Classification** (in millions of dollars)

Identification code 542-4592-0-4-808	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time Permanent .....	3	2	2
11.8	Special personal services payments .....	2	2	2
11.9	Total personnel compensation .....	5	4	4
12.1	Civilian personnel benefits .....		1	1
25.1	Advisory and assistance services .....	2	3	3
25.2	Other services from non-Federal sources .....	1	3	3
99.0	Reimbursable obligations .....	8	11	11
99.5	Adjustment for rounding .....	1		
99.9	Total new obligations, unexpired accounts .....	9	11	11

**Employment Summary**

Identification code 542-4592-0-4-808	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	13	14	15

**COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA**

*Federal Funds*

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improve-

ment Act of 1997, \$248,524,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs, and of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002: Provided, That, of the funds appropriated under this heading, \$181,065,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons, of which \$3,818,000 shall remain available until September 30, 2022 for costs associated with relocation under replacement leases for headquarters offices, field offices, and related facilities: Provided further, That, of the funds appropriated under this heading, \$67,459,000 shall be available to the Pretrial Services Agency, of which \$998,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That amounts under this heading may be used for programmatic incentives for defendants to successfully complete their terms of supervision.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 511-1734-0-1-752	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Community supervision program .....	178	181	181
0002	Pretrial Services Agency .....	65	63	68
0900	Total new obligations, unexpired accounts .....	243	244	249
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	244	244	249
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	1		
1900	Budget authority (total) .....	245	244	249
1930	Total budgetary resources available .....	247	244	249
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-4		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	101	95	76
3010	New obligations, unexpired accounts .....	243	244	249
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-245	-263	-263
3041	Recoveries of prior year unpaid obligations, expired .....	-5		
3050	Unpaid obligations, end of year .....	95	76	62
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	101	95	76
3200	Obligated balance, end of year .....	95	76	62
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	245	244	249
Outlays, gross:				
4010	Outlays from new discretionary authority .....	184	183	187
4011	Outlays from discretionary balances .....	61	80	76
4020	Outlays, gross (total) .....	245	263	263
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-1		
4040	Offsets against gross budget authority and outlays (total) .....	-1		
4180	Budget authority, net (total) .....	244	244	249
4190	Outlays, net (total) .....	244	263	263

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA—Continued

agency to perform community supervision of D.C. Code offenders. CSOSA assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, is an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism, and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

**Community Supervision Program.**—This activity provides supervision of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing, and other offender support services, including services from community and faith-based collaborations. The Community Supervision Program also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole, and supervised release decisions.

**Pretrial Services Agency.**—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that individuals on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release. The FY 2020 budget provides resources to modernize the Pretrial Services Agency's mission-critical client management system.

**Object Classification** (in millions of dollars)

Identification code 511-1734-0-1-752	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	108	112	112
11.5 Other personnel compensation .....	3	2	2
11.9 Total personnel compensation .....	111	114	114
12.1 Civilian personnel benefits .....	47	49	49
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	12	14	16
23.2 Rental payments to others .....	8	6	6
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.1 Advisory and assistance services .....	11	10	9
25.2 Other services from non-Federal sources .....	31	32	29
25.3 Other goods and services from Federal sources .....	4	4	3
25.4 Operation and maintenance of facilities .....	1	1	2
25.6 Medical care .....	3	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	3	2	3
31.0 Equipment .....	6	5	11
99.0 Direct obligations .....	242	244	249
99.0 Reimbursable obligations .....	1		
99.9 Total new obligations, unexpired accounts .....	243	244	249

**Employment Summary**

Identification code 511-1734-0-1-752	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,142	1,185	1,175

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, [ \$31,000,000 ] \$29,450,000, to remain available until September 30, [ 2020 ] 2021 : *Provided*, That none of the funds made available by this or any prior Act for the salaries and expenses of the Defense Nuclear Facilities Safety Board shall be available to implement any reform and reorganization plan of the Defense Nuclear Facilities Safety Board, including the plan announced on August 15, 2018, unless any such reform and reorganization plan is specifically authorized by law. (*Energy and Water Development and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 347-3900-0-1-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	30	32	32
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	5	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	31	31	29
1930 Total budgetary resources available .....	35	36	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	5	4	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	7
3010 New obligations, unexpired accounts .....	30	32	32
3020 Outlays (gross) .....	-30	-31	-30
3050 Unpaid obligations, end of year .....	6	7	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	7
3200 Obligated balance, end of year .....	6	7	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	31	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	23	23	22
4011 Outlays from discretionary balances .....	7	8	8
4020 Outlays, gross (total) .....	30	31	30
4180 Budget authority, net (total) .....	31	31	29
4190 Outlays, net (total) .....	30	31	30

The Defense Nuclear Facilities Safety Board (Board), an independent, non-regulatory agency within the Executive Branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

**Object Classification** (in millions of dollars)

Identification code 347-3900-0-1-999	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	14	15	15
12.1 Civilian personnel benefits .....	5	5	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	1	1	1

99.0	Direct obligations .....	28	29	30
99.5	Adjustment for rounding .....	2	3	2
99.9	Total new obligations, unexpired accounts .....	30	32	32

**Employment Summary**

Identification code 347-3900-0-1-999	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	102	102	100

**DELTA REGIONAL AUTHORITY**

*Federal Funds*

**DELTA REGIONAL AUTHORITY**

**SALARIES AND EXPENSES**

For *necessary* expenses [necessary for] of the Delta Regional Authority [and to carry out its activities], as authorized by the Delta Regional Authority Act of 2000, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, **[\$25,000,000] \$2,500,000: [**, to remain available until expended] *Provided, That such amounts shall be available only for the purposes of the closure of the Authority: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Authority. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 517-0750-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Delta Regional Authority (Direct) .....	23	23	3
0801 Delta Regional Authority (Reimbursable) .....	5	5	.....
0900 Total new obligations, unexpired accounts .....	28	28	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	9	.....
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	3	6	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	25	25	3
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	6	6	.....
1900 Budget authority (total) .....	31	31	3
1930 Total budgetary resources available .....	34	37	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	47	51	12
3010 New obligations, unexpired accounts .....	28	28	3
3020 Outlays (gross) .....	-21	-67	-12
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	51	12	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	47	51	12
3200 Obligated balance, end of year .....	51	12	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	31	31	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	21	2
4011 Outlays from discretionary balances .....	15	46	10
4020 Outlays, gross (total) .....	21	67	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-6	-6	.....
4180 Budget authority, net (total) .....	25	25	3
4190 Outlays, net (total) .....	15	61	12

The Budget proposes to eliminate funding for several independent agencies, including the Delta Regional Authority. The Budget requests \$2.5 million to conduct an orderly closeout of the agency in fiscal year 2020, which includes sufficient funding for: personnel costs during shutdown activities, including incentive payments to remain during the closeout period; severance or retirement pay; and non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

**Object Classification** (in millions of dollars)

Identification code 517-0750-0-1-452	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	2	1	1
41.0 Grants, subsidies, and contributions .....	21	21	2
99.0 Direct obligations .....	23	22	3
99.0 Reimbursable obligations .....	5	6	.....
99.9 Total new obligations, unexpired accounts .....	28	28	3

**DENALI COMMISSION**

*Federal Funds*

**DENALI COMMISSION**

For *necessary* expenses [necessary for] of the Denali Commission, as authorized by the Denali Commission Act of 1998, [including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, \$15,000,000, to remain available until expended,] **\$7,300,000**, notwithstanding the limitations contained in section 306(g) of [the Denali Commission Act of 1998] *such act: Provided, That funds shall be available [for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities: Provided further, That notwithstanding any other provision of law regarding payment of a non-Federal share in connection with a grant-in-aid program, amounts under this heading shall be available for the payment of such a non-Federal share for programs undertaken to carry out the purposes of the Commission.] only for the purposes of the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 513-1200-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Denali Commission (Direct) .....	36	18	7
0802 Denali Commission (Shared Services) .....	11	16	.....
0899 Total reimbursable obligations .....	11	16	.....
0900 Total new obligations, unexpired accounts .....	47	34	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	8
1021 Recoveries of prior year unpaid obligations .....	4	7	7
1050 Unobligated balance (total) .....	5	8	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	30	15	7
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	13	19	.....
1900 Budget authority (total) .....	43	34	7
1930 Total budgetary resources available .....	48	42	22

DENALI COMMISSION—Continued  
Program and Financing—Continued

Identification code 513-1200-0-1-452	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	8	15
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	51	66	56
3010 New obligations, unexpired accounts .....	47	34	7
3020 Outlays (gross) .....	-28	-37	-36
3040 Recoveries of prior year unpaid obligations, unexpired .....	-4	-7	-7
3050 Unpaid obligations, end of year .....	66	56	20
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	51	66	56
3200 Obligated balance, end of year .....	66	56	20
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	43	34	7
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	16	18	3
4011 Outlays from discretionary balances .....	12	19	33
4020 Outlays, gross (total) .....	28	37	36
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-13	-19	.....
4040 Offsets against gross budget authority and outlays (total) ....	-13	-19	.....
4180 Budget authority, net (total) .....	30	15	7
4190 Outlays, net (total) .....	15	18	36

The Budget proposes to eliminate funding for several independent agencies, including the Denali Commission. The Budget requests \$7.3 million to conduct an orderly closeout of the agency in fiscal year 2020, which includes sufficient funding for personnel costs during shutdown activities, including incentive payments to remain during the closeout period; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

**Object Classification** (in millions of dollars)

Identification code 513-1200-0-1-452	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	2
12.1 Civilian personnel benefits .....	1	1	1
13.0 Benefits for former personnel .....	.....	.....	1
25.1 Advisory and assistance services .....	1	1	.....
25.3 Other goods and services from Federal sources .....	2	2	3
41.0 Grants, subsidies, and contributions .....	29	10	.....
99.0 Direct obligations .....	34	15	7
99.0 Reimbursable obligations .....	13	19	.....
99.9 Total new obligations, unexpired accounts .....	47	34	7

**Employment Summary**

Identification code 513-1200-0-1-452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	14	14

**Trust Funds**

DENALI COMMISSION TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 513-8056-0-7-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Denali Commission Trust Fund (Direct) .....	4	2	2
0900 Total new obligations, unexpired accounts (object class 41.0) .....	4	2	2
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	.....	.....	3
1021 Recoveries of prior year unpaid obligations .....	2	3	3
1050 Unobligated balance (total) .....	2	3	6
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1101 Appropriation (special or trust fund) .....	2	2	2
1930 Total budgetary resources available .....	4	5	8
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	.....	3	6
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	15	15	9
3010 New obligations, unexpired accounts .....	4	2	2
3020 Outlays (gross) .....	-2	-5	-5
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-3	-3
3050 Unpaid obligations, end of year .....	15	9	3
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	15	15	9
3200 Obligated balance, end of year .....	15	9	3
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	2	2	2
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....	2	5	5
4020 Outlays, gross (total) .....	2	7	8
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	5	5

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....	2	2	2
Outlays .....	2	5	5
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....	.....	.....	-2
Outlays .....	.....	.....	-1
<b>Total:</b>			
Budget Authority .....	2	2	.....
Outlays .....	2	5	4

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that were not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law. Given that the Budget proposes to eliminate the Denali Commission, it also proposes statutory authority to transfer any unobligated and obligated balances from the bulk fuel storage tank program, and associated adminis-

trative and oversight responsibilities, to the Department of Agriculture, and proposes to end transfers of interest to the Denali Commission.

DENALI COMMISSION TRUST FUND  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 513-8056-2-7-452	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101			-2
1930			-2
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1941			-2
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3020			1
Outlays (gross) .....			
3050			1
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3200			1
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000			-2
Budget authority, gross .....			
Outlays, gross:			
4010			-1
Outlays from new discretionary authority .....			
4180			-2
Budget authority, net (total) .....			
4190			-1
Outlays, net (total) .....			

**DISTRICT OF COLUMBIA**

DISTRICT OF COLUMBIA COURTS

**Federal Funds**

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, \$270,703,000 to be allocated as follows: for the District of Columbia Court of Appeals, \$13,467,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the Superior Court of the District of Columbia, \$122,189,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the District of Columbia Court System, \$72,397,000, of which not to exceed \$2,500 is for official reception and representation expenses; and \$62,650,000, to remain available until September 30, 2021, for capital improvements for District of Columbia courthouse facilities: Provided, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and facilities condition assessment: Provided further, That, in addition to the amounts appropriated herein, fees received by the District of Columbia Courts for administering bar examinations and processing District of Columbia bar admissions may be retained and credited to this appropriation, to remain available until expended, for salaries and expenses associated with such activities, notwithstanding section 450 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.50): Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$9,000,000 of the funds provided under this heading among the items and entities funded under this heading: Provided further, That the Joint Committee on Judicial Administration in the District of Columbia may, by regulation, establish a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, for employees of the District of Columbia Courts.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 349-1712-0-1-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	14	14	14
Court of Appeals .....			
0002	121	121	122
Superior Court .....			
0003	71	71	72
Court system .....			
0004	72	59	63
Capital improvements .....			
0900	278	265	271
Total new obligations, unexpired accounts .....			
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	49	55	57
Unobligated balance brought forward, Oct 1 .....			
Budget authority:			
Appropriations, discretionary:			
1100	265	265	271
Appropriation .....			
1121	20		
Appropriations transferred from other acct [349-1736] ....			
1160	285	265	271
Appropriation, discretionary (total) .....			
Spending authority from offsetting collections, discretionary:			
1700	1	2	2
Collected .....			
1900	286	267	273
Budget authority (total) .....			
1930	335	322	330
Total budgetary resources available .....			
Memorandum (non-add) entries:			
1940	-2		
Unobligated balance expiring .....			
1941	55	57	59
Unexpired unobligated balance, end of year .....			
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	99	101	102
Unpaid obligations, brought forward, Oct 1 .....			
3010	278	265	271
New obligations, unexpired accounts .....			
3011	8		
Obligations ("upward adjustments"), expired accounts .....			
3020	-274	-264	-270
Outlays (gross) .....			
3041	-10		
Recoveries of prior year unpaid obligations, expired .....			
3050	101	102	103
Unpaid obligations, end of year .....			
Memorandum (non-add) entries:			
3100	99	101	102
Obligated balance, start of year .....			
3200	101	102	103
Obligated balance, end of year .....			
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	286	267	273
Budget authority, gross .....			
Outlays, gross:			
4010	192	201	205
Outlays from new discretionary authority .....			
4011	82	63	65
Outlays from discretionary balances .....			
4020	274	264	270
Outlays, gross (total) .....			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030		-1	-1
Federal sources .....			
4033	-1	-1	-1
Non-Federal sources .....			
4040	-1	-2	-2
Offsets against gross budget authority and outlays (total) ....			
4070	285	265	271
Budget authority, net (discretionary) .....			
4080	273	262	268
Outlays, net (discretionary) .....			
4180	285	265	271
Budget authority, net (total) .....			
4190	273	262	268
Outlays, net (total) .....			

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, and the Court System, as well as capital improvements.

The Budget provides resources to support the D.C. Courts' core functions. In addition, the Budget provides resources for capital improvements necessary to move support functions from off-site leased space to the main court campus, to address fire suppression, egress, and other building code compliance issues in the overcrowded Magistrate Judge offices, and to maintain court facilities in Judiciary Square. The Budget proposes that the D.C. Courts be able to retain fees collected pursuant to bar admissions to finance admissions activities.

By law, the Courts' annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$270.7 million includes \$208.1 million for the District of Columbia Court of Appeals, the Superior Court

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued of the District of Columbia, and the District of Columbia Court System operations and \$62.7 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$342.7 million: \$220.8 million for operations and \$122.0 million for capital improvements.

Object Classification (in millions of dollars)				
Identification code 349-1712-0-1-806	2018 actual	2019 est.	2020 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1 Full-time permanent .....	105	105	106	
11.3 Other than full-time permanent .....	12	12	12	
11.9 Total personnel compensation .....	117	117	118	
12.1 Civilian personnel benefits .....	33	33	33	
21.0 Travel and transportation of persons .....	1	1	1	
23.2 Rental payments to others .....	7	7	7	
23.3 Communications, utilities, and miscellaneous charges .....	9	9	9	
24.0 Printing and reproduction .....	1	1	1	
25.1 Advisory and assistance services .....	22	22	22	
25.2 Other services from non-Federal sources .....	41	40	40	
25.3 Other goods and services from Federal sources .....	4	4	4	
25.4 Operation and maintenance of facilities .....	8	8	8	
25.6 Medical care .....	1	1	1	
25.7 Operation and maintenance of equipment .....	7	7	7	
26.0 Supplies and materials .....	2	2	2	
31.0 Equipment .....	3	3	3	
32.0 Land and structures .....	22	10	15	
99.0 Direct obligations .....	278	265	271	
99.9 Total new obligations, unexpired accounts .....	278	265	271	

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$46,005,000, to remain available until expended: Provided, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)				
Identification code 349-1736-0-1-806	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001 Federal Payment for Defender Services in District of Columbia Co (Direct) .....	42	50	46	
0900 Total new obligations, unexpired accounts (object class 25.2) .....	42	50	46	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000 Unobligated balance brought forward, Oct 1 .....	24	12	12	
Budget authority:				
Appropriations, discretionary:				
1100 Appropriation .....	50	50	46	
1120 Appropriations transferred to other acct [349-1712] .....	-20	.....	.....	
1160 Appropriation, discretionary (total) .....	30	50	46	
1930 Total budgetary resources available .....	54	62	58	

<b>Memorandum (non-add) entries:</b>				
1941 Unexpired unobligated balance, end of year .....	12	12	12	
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000 Unpaid obligations, brought forward, Oct 1 .....	29	24	27	
3010 New obligations, unexpired accounts .....	42	50	46	
3020 Outlays (gross) .....	-47	-47	-53	
3050 Unpaid obligations, end of year .....	24	27	20	
<b>Memorandum (non-add) entries:</b>				
3100 Obligated balance, start of year .....	29	24	27	
3200 Obligated balance, end of year .....	24	27	20	
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000 Budget authority, gross .....	30	50	46	
Outlays, gross:				
4010 Outlays from new discretionary authority .....	25	26	24	
4011 Outlays from discretionary balances .....	22	21	29	
4020 Outlays, gross (total) .....	47	47	53	
4180 Budget authority, net (total) .....	30	50	46	
4190 Outlays, net (total) .....	47	47	53	

Under three Defender Services programs, the District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation on their own. The Defender Services programs are the Criminal Justice Act program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; and the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, investigations, and genetic testing. The President's recommended funding level for Defender Services is \$46.0 million, the same as the Courts' request.

DISTRICT OF COLUMBIA CRIME VICTIMS COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)				
Identification code 349-5676-0-2-806	2018 actual	2019 est.	2020 est.	
0100 Balance, start of year .....	.....	.....	1	
<b>Receipts:</b>				
Current law:				
1110 Fines and Fees, District of Columbia Crime Victims Compensation Fund .....	12	6	6	
2000 Total: Balances and receipts .....	12	6	7	
<b>Appropriations:</b>				
Current law:				
2101 District of Columbia Crime Victims Compensation Fund .....	-12	-6	-6	
2103 District of Columbia Crime Victims Compensation Fund .....	.....	.....	-1	
2132 District of Columbia Crime Victims Compensation Fund .....	.....	1	.....	
2199 Total current law appropriations .....	-12	-5	-7	
2999 Total appropriations .....	-12	-5	-7	
5099 Balance, end of year .....	.....	1	.....	

Program and Financing (in millions of dollars)				
Identification code 349-5676-0-2-806	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001 Crime Victims Compensation .....	8	9	9	
0900 Total new obligations, unexpired accounts (object class 25.1) .....	8	9	9	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000 Unobligated balance brought forward, Oct 1 .....	4	8	7	

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	12	6
1203	Appropriation (previously unavailable)		1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced		-1
1260	Appropriations, mandatory (total)	12	5
Spending authority from offsetting collections, mandatory:			
1800	Collected		3
1900	Budget authority (total)	12	8
1930	Total budgetary resources available	16	16
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	8	7

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts	8	9
3020	Outlays (gross)	-8	-9

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross	12	8
Outlays, gross:			
4100	Outlays from new mandatory authority	8	8
4101	Outlays from mandatory balances		1
4110	Outlays, gross (total)	8	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources		-3
4180	Budget authority, net (total)	12	5
4190	Outlays, net (total)	8	6

The Superior Court of the District of Columbia administers the Crime Victims Compensation Fund, which finances assistance for innocent victims of violent crime, survivors of homicide victims, and dependent family members of homicide victims. The program provides compensation for certain costs related to the crime, such as medical expenses, temporary emergency housing, and funeral expenses. The Fund is financed through assessments imposed in criminal cases, court fines and fees, and a grant from the U.S. Department of Justice. Under the 2002 Supplemental Appropriations Act for Further Recovery From and Response to Terrorist Attacks on the United States (P.L. 107-206), one half of the Fund's unobligated balances at the end of each year are transferred to the District of Columbia Government for outreach activities designed to increase the number of crime victims who apply for compensation.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

**Program and Financing** (in millions of dollars)

Identification code 020-1713-0-1-752			
		2018 actual	2019 est.
<b>Obligations by program activity:</b>			
0001	Payment to Judicial Retirement Fund	16	17
0900	Total new obligations, unexpired accounts (object class 13.0)	16	17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	16	17
1930	Total budgetary resources available	16	17
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts	16	17
3020	Outlays (gross)	-16	-17
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross	16	17
Outlays, gross:			
4100	Outlays from new mandatory authority	16	17
4180	Budget authority, net (total)	16	17
4190	Outlays, net (total)	16	17

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund the normal cost and administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers these amounts into the Judicial Fund.

**Trust Funds**

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 020-8212-0-7-602			
		2018 actual	2019 est.
0100	Balance, start of year	159	166
<b>Receipts:</b>			
Current law:			
1110	Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1
1140	Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	4	4
1140	Federal Payments, D.C. Judicial Retirement and Survivors Annuity	16	17
1199	Total current law receipts	21	22
1999	Total receipts	21	22
2000	Total: Balances and receipts	180	188
<b>Appropriations:</b>			
Current law:			
2101	District of Columbia Judicial Retirement and Survivors Annuity Fund	-20	-19
2134	District of Columbia Judicial Retirement and Survivors Annuity Fund	7	4
2199	Total current law appropriations	-13	-15
2999	Total appropriations	-13	-15
5098	Rounding adjustment	-1	
5099	Balance, end of year	166	173

**Program and Financing** (in millions of dollars)

Identification code 020-8212-0-7-602			
		2018 actual	2019 est.
<b>Obligations by program activity:</b>			
0001	Retirement payments	13	14
0002	Administrative Costs		1
0900	Total new obligations, unexpired accounts	13	15
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	20	19
1234	Appropriations precluded from obligation	-7	-4
1260	Appropriations, mandatory (total)	13	15
1930	Total budgetary resources available	13	15
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1
3010	New obligations, unexpired accounts	13	15
3020	Outlays (gross)	-13	-15
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1
3200	Obligated balance, end of year	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross	13	15

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY  
FUND—Continued  
Program and Financing—Continued

Identification code 020-8212-0-7-602	2018 actual	2019 est.	2020 est.
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	12	14	14
4101 Outlays from mandatory balances .....	1	1	1
4110 Outlays, gross (total) .....	13	15	15
4180 Budget authority, net (total) .....	13	15	15
4190 Outlays, net (total) .....	13	15	15
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	162	165	171
5001 Total investments, EOY: Federal securities: Par value .....	165	171	176

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund to pay retirement and survivor benefits for District of Columbia judges and expenses necessary to administer the Fund or incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The Judicial Fund consists of amounts contributed by the judges, proceeds of accumulated pension assets transferred from the District of Columbia and liquidated pursuant to the Act, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

**Object Classification** (in millions of dollars)

Identification code 020-8212-0-7-602	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Other services from non-Federal sources .....	1	1	1
42.0 Payments to annuitants .....	12	14	14
99.9 Total new obligations, unexpired accounts .....	13	15	15

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues.

**Federal Funds**

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-1736-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Payment for Resident Tuition Support (Direct) .....	40	40	.....
0900 Total new obligations, unexpired accounts (object class 41.0) .....	40	40	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	40	40	.....
1930 Total budgetary resources available .....	40	40	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	40	40	.....
3020 Outlays (gross) .....	-40	-40	.....

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	40	40	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	40	40	.....
4180 Budget authority, net (total) .....	40	40	.....
4190 Outlays, net (total) .....	40	40	.....

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private Historically Black Colleges and Universities nationwide, as well as public 2-year community colleges. The program's authorization ended in 2012. The 2020 Budget proposes to eliminate the unauthorized program because of a lack of a clear Federal role for supporting the cost of higher education specifically for District residents.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

*For a Federal payment for a school improvement program in the District of Columbia, \$90,000,000, to remain available until expended, for payments authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112-10), as amended: Provided, That, to the extent that funds are available for opportunity scholarships and following the priorities included in section 3006 of such Act, the Secretary of Education shall make scholarships available to students eligible under section 3013(3) of such Act (Public Law 112-10; 125 Stat. 211) including students who were not offered a scholarship during any previous school year: Provided further, That within funds provided for opportunity scholarships up to \$3,200,000 shall be for the activities specified in sections 3007(b) through 3007(d) and 3009 of the Act.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020-1817-0-1-501	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Opportunity Scholarship Program .....	15	15	30
0002 D.C. public schools .....	15	15	30
0003 D.C. public charter schools .....	15	15	30
0900 Total new obligations, unexpired accounts (object class 41.0) .....	45	45	90
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	45	45	90
1930 Total budgetary resources available .....	45	45	90
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	45	45	90
3020 Outlays (gross) .....	-45	-45	-90
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	45	45	90
Outlays, gross:			
4010 Outlays from new discretionary authority .....	45	45	90
4180 Budget authority, net (total) .....	45	45	90
4190 Outlays, net (total) .....	45	45	90

The Budget provides \$90 million to support kindergarten through high school education in the District of Columbia. The Budget continues to support the District's successful three-sector education strategy and includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the Nation, \$30 million for D.C. charter schools to support facilities and other unmet needs, and \$30 million to support scholarships for low-income students to attend private schools of their

choice and program evaluation for the D.C. Opportunity Scholarship program.

**FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT**

**FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL**

For a Federal payment to the Criminal Justice Coordinating Council, \$1,805,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia.

**FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS**

For a Federal payment, to remain available until September 30, 2021, to the Commission on Judicial Disabilities and Tenure, \$280,000, and for the Judicial Nomination Commission, \$256,000.

**FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD**

For a Federal payment to the District of Columbia National Guard, \$413,000, to remain available until expended for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program.

**FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS**

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, \$4,750,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020–1707–0–1–999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Water and Sewer Authority .....	14	14	.....
0002 Criminal Justice Coordinating Council .....	2	2	2
0019 Judicial Commissions .....	1	1	1
0025 HIV/AIDS Prevention .....	5	5	5
0900 Total new obligations, unexpired accounts (object class 41.0) .....	22	22	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	22	22	8
1930 Total budgetary resources available .....	22	22	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	22	22	8
3020 Outlays (gross) .....	–22	–22	–8
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	22	22	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	22	22	8
4180 Budget authority, net (total) .....	22	22	8
4190 Outlays, net (total) .....	22	22	8

The Budget includes \$4.75 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget also includes \$1.8 million for the Criminal Justice Coordinating Council, \$0.536 million for judicial commissions, and \$0.413 million for the D.C. National Guard.

**FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA**

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, \$11,400,000, to remain available until expended, for the costs of providing public safety at events related to the presence of the National Capital in the District of Columbia, including support requested by the Director of the United States Secret Service in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020–1771–0–1–806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Emergency Planning Fund .....	13	13	11
0900 Total new obligations, unexpired accounts (object class 41.0) .....	13	13	11
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	11
1930 Total budgetary resources available .....	13	13	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	13	13	11
3020 Outlays (gross) .....	–13	–13	–11
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	13	13	11
4180 Budget authority, net (total) .....	13	13	11
4190 Outlays, net (total) .....	13	13	11

The Budget provides \$11.4 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including costs associated with providing support requested by the Director of the U.S. Secret Service.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND**

**Program and Financing** (in millions of dollars)

Identification code 020–1714–0–1–601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Federal Pension Fund .....	467	501	520
0900 Total new obligations, unexpired accounts (object class 13.0) .....	467	501	520
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	467	501	520
1930 Total budgetary resources available .....	467	501	520
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	467	501	520
3020 Outlays (gross) .....	–467	–501	–520
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	467	501	520
Outlays, gross:			
4100 Outlays from new mandatory authority .....	467	501	520
4180 Budget authority, net (total) .....	467	501	520
4190 Outlays, net (total) .....	467	501	520

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND—Continued  
payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers these amounts into the District of Columbia Federal Pension Fund. Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5511-0-2-601	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	3,681	3,686	3,700
Receipts:			
Current law:			
1140 Federal Contribution, D.C. Federal Pension Fund .....	467	501	520
1140 Earnings on Investments, D.C. Federal Pension Fund .....	96	90	89
1199 Total current law receipts .....	563	591	609
1999 Total receipts .....	563	591	609
2000 Total: Balances and receipts .....	4,244	4,277	4,309
Appropriations:			
Current law:			
2101 District of Columbia Federal Pension Fund .....	-563	-584	-602
2103 District of Columbia Federal Pension Fund .....	-1	-1	-1
2132 District of Columbia Federal Pension Fund .....	1	1	.....
2134 District of Columbia Federal Pension Fund .....	5	7	7
2199 Total current law appropriations .....	-558	-577	-596
2999 Total appropriations .....	-558	-577	-596
5099 Balance, end of year .....	3,686	3,700	3,713

Program and Financing (in millions of dollars)

Identification code 020-5511-0-2-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Retirement payments .....	541	544	537
0002 Administrative costs .....	18	24	24
0799 Total direct obligations .....	559	568	561
0801 Reimbursable Program - Retirement Payments .....	198	223	251
0802 Reimbursable Program - Administrative Expenses .....	2	1	1
0899 Total reimbursable obligations .....	200	224	252
0900 Total new obligations, unexpired accounts .....	759	792	813
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	16	18	30
1021 Recoveries of prior year unpaid obligations .....	1	.....	.....
1050 Unobligated balance (total) .....	17	18	30
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	563	584	602
1203 Appropriation (previously unavailable) .....	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-1	-1	.....
1234 Appropriations precluded from obligation .....	-5	-7	-7
1260 Appropriations, mandatory (total) .....	558	577	596
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	202	227	255
1900 Budget authority (total) .....	760	804	851
1930 Total budgetary resources available .....	777	822	881
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	18	30	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	52	54	60
3010 New obligations, unexpired accounts .....	759	792	813
3020 Outlays (gross) .....	-756	-786	-846
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	.....	.....

3050 Unpaid obligations, end of year .....	54	60	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	52	54	60
3200 Obligated balance, end of year .....	54	60	27

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	760	804	851
Outlays, gross:			
4100 Outlays from new mandatory authority .....	701	755	810
4101 Outlays from mandatory balances .....	55	31	36
4110 Outlays, gross (total) .....	756	786	846
Offsetting against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-202	-227	-255
4180 Budget authority, net (total) .....	558	577	596
4190 Outlays, net (total) .....	554	559	591

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	3,753	3,696	3,720
5001 Total investments, EOY: Federal securities: Par value .....	3,696	3,720	3,764

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The District of Columbia Federal Pension Fund consists of accumulated pension assets transferred from the District of Columbia, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 020-5511-0-2-601	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	7	9	9
25.2 Other services from non-Federal sources .....	2	5	5
25.3 Other goods and services from Federal sources .....	5	6	6
42.0 Payments to annuitants .....	541	544	537
99.0 Direct obligations .....	559	568	561
99.0 Reimbursable obligations .....	200	224	252
99.9 Total new obligations, unexpired accounts .....	759	792	813

Employment Summary

Identification code 020-5511-0-2-601	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	20	20	20

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 020-4446-0-3-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Federal Payment for Water and Sewer Services (Reimbursable) .....	62	62	62
0900 Total new obligations, unexpired accounts (object class 23.3) .....	62	62	62
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	62	62	62
1930 Total budgetary resources available .....	62	62	62

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	62	62	62

3020	Outlays (gross) .....	-62	-62	-62
3050	Unpaid obligations, end of year .....	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	62	62	62
Outlays, gross:				
4100	Outlays from new mandatory authority .....	62	62	62
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-62	-62	-62
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....			

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the District of Columbia Water and Sewer Authority) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay on a quarterly basis 25 percent of its estimated yearly bill into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full government-wide bill by making up the missed agency payment(s) with a permanent, indefinite appropriation, which must then be reimbursed by the appropriate agency or agencies.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
349-322070 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....		1	1
General Fund Offsetting receipts from the public .....		1	1

TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFERS OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;
- (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;
- (5) re-establishes any program or project previously deferred through reprogramming;
- (6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or
- (7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless the Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of such reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, 2020.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

- (1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department;
- (2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day;
- (3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day;
- (4) at the discretion of the Chief Medical Examiner, an officer or employee of the Office of the Chief Medical Examiner who resides in the District of Columbia and is on call 24 hours a day;
- (5) at the discretion of the Director of the Homeland Security and Emergency Management Agency, an officer or employee of the Homeland Security and Emergency Management Agency who resides in the District of Columbia and is on call 24 hours a day;
- (6) the Mayor of the District of Columbia; and
- (7) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. (a) None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

(b) None of the funds contained in this Act may be used to enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative for recreational purposes.

SEC. 810. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42), for all agencies of the District of Columbia government for fiscal year 2020 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. None of the Federal funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 815. Except as otherwise specifically provided by law or under this Act, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2020 from appropriations of Federal funds made available for salaries and expenses for fiscal year 2020 in this Act, shall remain available through September 30, 2021, for each such account for the purposes authorized: Provided, That a notification shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate prior to the expenditure of such funds: Provided further, That these notifications shall be made in compliance with reprogramming guidelines outlined in section 803 of this Act.

SEC. 816. (a) During fiscal year 2021, during a period in which neither a District of Columbia continuing resolution or a regular District of Columbia appropriation bill is in effect, local funds are appropriated in the amount provided for any project or activity for which local funds are provided in the Fiscal Year 2021 Budget Request Act of 2020 as submitted to Congress (subject to any modifications enacted by the District of Columbia as of the beginning of the period during which this subsection is in effect) at the rate set forth by such Act.

(b) Appropriations made by subsection (a) shall cease to be available—

(1) during any period in which a District of Columbia continuing resolution for fiscal year 2021 is in effect; or

(2) upon the enactment into law of the regular District of Columbia appropriation bill for fiscal year 2021.

(c) An appropriation made by subsection (a) is provided under the authority and conditions as provided under this Act and shall be available to the extent and in the manner that would be provided by this Act.

(d) An appropriation made by subsection (a) shall cover all obligations or expenditures incurred for such project or activity during the portion of fiscal year 2021 for which this section applies to such project or activity.

(e) This section shall not apply to a project or activity during any period of fiscal year 2021 if any other provision of law (other than an authorization of appropriations)—

(1) makes an appropriation, makes funds available, or grants authority for such project or activity to continue for such period; or

(2) specifically provides that no appropriation shall be made, no funds shall be made available, or no authority shall be granted for such project or activity to continue for such period.

(f) Nothing in this section shall be construed to affect obligations of the government of the District of Columbia mandated by other law.

SEC. 817. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 818. None of the funds made available by this Act may be used to carry out the Death with Dignity Act of 2016 (D.C. Law 21–182) or to implement any rule or regulation promulgated to carry out such Act.

SEC. 819. None of the funds made available by this Act may be used to carry out the Reproductive Health Non-Discrimination Amendment Act of 2014 (D.C. Law 20–261) or to implement any rule or regulation promulgated to carry out such Act.

## ELECTION ASSISTANCE COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), \$11,995,000, of which \$1,500,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002; and of which \$2,400,000 shall remain available until September 30, 2021 for the relocation of the agency.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 525–1650–0–1–808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Election Assistance Commission .....	8	8	11
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	12
1120 Appropriations transferred to other accts [013–0500] .....	–2	–2	–1
1160 Appropriation, discretionary (total) .....	8	8	11
1930 Total budgetary resources available .....	8	8	11
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	3
3010 New obligations, unexpired accounts .....	8	8	11
3020 Outlays (gross) .....	–8	–7	–10
3050 Unpaid obligations, end of year .....	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	3
3200 Obligated balance, end of year .....	2	3	4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	11
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	6	9
4011 Outlays from discretionary balances .....	1	1	1
4020 Outlays, gross (total) .....	8	7	10
4180 Budget authority, net (total) .....	8	8	11
4190 Outlays, net (total) .....	8	7	10

The Election Assistance Commission assists State and local election officials by testing and certifying election equipment, sharing best practices to improve the administration of Federal elections, and providing them with information about the voting system standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2020, \$1.5 million will be transferred to the National Institute of Standards and Technology to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

#### Object Classification (in millions of dollars)

Identification code 525–1650–0–1–808	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....			3
25.2 Other services from non-Federal sources .....	3	3	3

99.9	Total new obligations, unexpired accounts .....	8	8	11
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**Employment Summary**

Identification code 525-1650-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	24	25	35

**ELECTION REFORM PROGRAMS**

**Program and Financing** (in millions of dollars)

Identification code 525-1651-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	380		
0100 Direct program activities, subtotal .....	380		
0900 Total new obligations, unexpired accounts (object class 41.0) .....	380		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....			380
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	380	380	
1930 Total budgetary resources available .....	380	380	380
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....		380	380
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			2
3010 New obligations, unexpired accounts .....	380		
3020 Outlays (gross) .....	-380		
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	380	380	
Outlays, gross:			
4010 Outlays from new discretionary authority .....	380		
4020 Outlays, gross (total) .....	380	367	262
4180 Budget authority, net (total) .....	380	380	
4190 Outlays, net (total) .....	380		

The Election Assistance Commission is responsible for distributing and auditing the use of election reform grant funding, in accordance with the requirements of the Help America Vote Act of 2002. To date, the Federal government has provided over \$3.5 billion in grant funding to States for election administration modernization and improvement, including \$380 million in 2018. The Budget does not provide resources for additional grant funding.

**ELECTION DATA COLLECTION GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 525-1652-0-1-808	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

**EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110-233), the ADA Amendments Act of 2008 (Public Law 110-325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111-2), including services as authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles as authorized by section 1343(b) of title 31, United States Code; non-monetary awards to private citizens; and up to \$28,025,000 for payments to State and local enforcement agencies for authorized services to the Commission, \$355,800,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: Provided further, That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 045-0100-0-1-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Private sector .....	306	303	286
0002 Federal sector .....	45	47	42
0003 State and local .....	29	30	28
0900 Total new obligations, unexpired accounts .....	380	380	356
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	380	380	356
1900 Budget authority (total) .....	380	380	356
1930 Total budgetary resources available .....	380	380	356
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	61	80	53
3010 New obligations, unexpired accounts .....	380	380	356
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-359	-378	-359
3041 Recoveries of prior year unpaid obligations, expired .....	-3	-29	
3050 Unpaid obligations, end of year .....	80	53	50
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	61	80	53
3200 Obligated balance, end of year .....	80	53	50
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	380	380	356
Outlays, gross:			
4010 Outlays from new discretionary authority .....	309	331	310
4011 Outlays from discretionary balances .....	50	47	49
4020 Outlays, gross (total) .....	359	378	359
4180 Budget authority, net (total) .....	380	380	356
4190 Outlays, net (total) .....	359	378	359

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990 (ADA); the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and

SALARIES AND EXPENSES—Continued

duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

	TOTAL WORKLOAD		
	2018 actual	2019 est.	2020 est.
Private sector enforcement .....	139,658	128,133	123,737
Federal sector program:			
Hearings .....	22,273	21,229	19,734
Appeals .....	7,262	7,442	8,311
Total workload .....	169,193	156,804	151,782

The 2020 Budget is an opportunity to advance the work the Commission began with the adoption of the Strategic Plan for 2018–2022. The strategic plan outlines a framework for achieving the EEOC's mission to "Prevent and Remedy Unlawful Employment Discrimination and advance equal opportunity for all in the workplace". The plan's strategic objectives include: 1) Combat and prevent employment discrimination through strategic law enforcement; and 2) Prevent employment discrimination and promote inclusive workplaces through education and outreach. The Budget will permit EEOC to improve efficiencies through data resource consolidation, promote knowledge sharing, and foster communication to avoid unnecessary duplication of effort and continue its standards of providing quality service to the public through enforcement and prevention activities. EEOC's enforcement responsibilities are in two areas: The private sector and the Federal sector.

*Private sector.*—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2018 actual	2019 est.	2020 est.
Total pending .....	62,429*	49,607	43,851
Total receipts .....	76,418	77,755	79,116
Net FEPA transfers/deferrals .....	811	770	770
Total workload .....	139,658	128,133	123,737
Resolutions:			
Successful mediation .....	6,754	5,988	6,251
From contract .....	335	347	347
From staff .....	6,419	5,642	5,904
Administrative enforcement resolutions .....	83,804	78,293	73,061
Total resolutions .....	90,558	84,281	79,312
Pending ending .....	49,607	43,851	44,426

\*Pending beginning inventory adjusted to reflect activity spanning fiscal years.

*State and Local Program.*—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2018 actual	2019 est.	2020 est.
Charges/complaints pending .....	46,063*	46,001	44,167
Charges/complaints received .....	37,887	38,814	38,814
Total Workload .....	83,950	84,815	82,981
Charges/complaints resolved .....	37,138	39,837	39,837
Charges/complaints deferred to EEOC .....	811	811	811
Charges/complaints pending ending .....	46,001	44,167	42,333

\*Pending beginning inventory adjusted to reflect charge activity spanning fiscal years.

*Federal sector.*—EEOC holds hearings on complaints of discrimination filed in Federal agencies, decides appeals of complaints of discrimination,

and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2018 actual	2019 est.	2020 est.
Hearings pending .....	15,465	13,612	12,117
Hearings requests received .....	6,926	7,735	7,735
Hearings requests consolidated after initial processing .....	(118)	(118)	(118)
Total workload .....	22,273	21,229	19,734
Hearings resolved .....	8,662	9,112	9,768
Hearings pending ending .....	13,612	12,117	9,948

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2018 actual	2019 est.	2020 est.
Appeals pending .....	3,658	2,942	3,311
Appeals received .....	3,604	4,500	5,000
Total workload .....	7,262	7,442	8,311
Appeals resolved .....	4,320	4,131	4,401
Appeals pending ending .....	2,942	3,311	3,910

Object Classification (in millions of dollars)

Identification code 045-0100-0-1-751	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	188	189	181
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	2	2
Total personnel compensation .....	193	193	185
12.1 Civilian personnel benefits .....	64	79	76
21.0 Travel and transportation of persons .....	4	3	3
23.1 Rental payments to GSA .....	30	31	31
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	4	6	4
25.1 State and Local Contracts .....	29	30	28
25.2 Other services from non-Federal sources .....	35	23	17
25.2 Security services .....	10	3	1
25.3 Other goods and services from Federal sources .....	3	5	5
26.0 Supplies and materials .....	5	4	4
31.0 Equipment .....	2	2	1
99.9 Total new obligations, unexpired accounts .....	380	380	356

Employment Summary

Identification code 045-0100-0-1-751	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,954	1,876	1,774

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 045-4019-0-3-751	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 EEOC Education, Technical Assistance, and Training Revolving Fun (Reimbursable) .....	5	5	5
0809 Reimbursable program activities, subtotal .....	5	5	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	5	5	5
1930 Total budgetary resources available .....	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	6
3010 New obligations, unexpired accounts .....	5	5	5
3020 Outlays (gross) .....	-4	-1	-1
3050 Unpaid obligations, end of year .....	2	6	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	6

3200	Obligated balance, end of year .....	2	6	10
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	5	5	5
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	1	1
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	4	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-2	-2	-2
4123	Non-Federal sources .....	-3	-3	-3
4130	Offsets against gross budget authority and outlays (total) ....	-5	-5	-5
4170	Outlays, net (mandatory) .....	-1	-4	-4
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1	-4	-4

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

**Object Classification** (in millions of dollars)

Identification code 045-4019-0-3-751	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
25.2	Other services from non-Federal sources .....	3	3	3
99.9	Total new obligations, unexpired accounts .....	5	5	5

**Employment Summary**

Identification code 045-4019-0-3-751	2018 actual	2019 est.	2020 est.	
2001	Reimbursable civilian full-time equivalent employment .....	14	14	14

**EXPORT-IMPORT BANK OF THE UNITED STATES**

**Federal Funds**

**INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$5,000,000, of which up to \$750,000 may remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 083-0105-0-1-155	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0009	Administrative Expenses .....	2	6	5
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	6	6	5
1930	Total budgetary resources available .....	6	7	6
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-3		
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	1	2
3010	New obligations, unexpired accounts .....	2	6	5
3020	Outlays (gross) .....	-6	-5	-6
3050	Unpaid obligations, end of year .....	1	2	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	1	2

3200	Obligated balance, end of year .....	1	2	1
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	6	6	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	4	4
4011	Outlays from discretionary balances .....	5	1	2
4020	Outlays, gross (total) .....	6	5	6
4180	Budget authority, net (total) .....	6	6	5
4190	Outlays, net (total) .....	6	5	6

**Object Classification** (in millions of dollars)

Identification code 083-0105-0-1-155	2018 actual	2019 est.	2020 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	1	3	3
12.1	Civilian personnel benefits .....		1	1
25.2	Other services from non-Federal sources .....	1	2	1
99.9	Total new obligations, unexpired accounts .....	2	6	5

**Employment Summary**

Identification code 083-0105-0-1-155	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	24	26	26

**PROGRAM ACCOUNT**

The Export-Import Bank (the Bank) of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: Provided, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

**ADMINISTRATIVE EXPENSES**

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed \$95,500,000 of which up to \$14,325,000 shall remain available until September 30, 2021: Provided, That the Export-Import Bank (the Bank) may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further, That notwithstanding chapter 51, subchapter III of chapter 53, and section 5373 of title 5, United States Code, the Board of Directors of the Export-Import Bank of the United States may set an employee's rate of basic pay up to the rate for level III of the Executive Schedule, and this authority may be applied to no more than 35 employees at any point in time and shall remain in effect until September 30, 2020: Provided further, That the Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Bank, repossession or sale of pledged collateral or other assets acquired by the Bank in satisfaction of moneys owed the Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: Provided further, That in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account for such purposes, to remain available until expended.

**RECEIPTS COLLECTED**

Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued

*the amount appropriated herein, shall be credited as offsetting collections to this account: Provided, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0.*

CANCELLATION

*Of the unobligated balances available under the heading "Export and Investment Assistance, Export-Import Bank of the United States, Subsidy Appropriation" for tied-aid grants from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$106,000,000 are hereby permanently cancelled.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 083–0100–0–1–155	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0705	307	440	
0706	227	75	
0707	85	2	
0708	30	2	
0709	102	110	96
0715	26		
0900	777	629	96
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	222	197	207
1001	222	197	
Budget authority:			
Appropriations, discretionary:			
1100	79		
1131			
1160	69		–106
Appropriations, mandatory:			
1200	649	519	
Spending authority from offsetting collections, discretionary:			
1700	34	10	
1700		110	96
1750	34	120	96
1900	752	639	–10
1930	974	836	197
Memorandum (non-add) entries:			
1941	197	207	101
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	138	138	25
3010	777	629	96
3011	1		
3020	–775	–742	–105
3041	–3		
3050	138	25	16
Memorandum (non-add) entries:			
3100	138	138	25
3200	138	25	16
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	103	120	–10
Outlays, gross:			
4010	79	96	77
4011	47	127	28
4020	126	223	105
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	–34	–120	–96
Mandatory:			
4090	649	519	
Outlays, gross:			
4100	649	519	
4180	718	519	–106
4190	741	622	9

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 083–0100–0–1–155	2018 actual	2019 est.	2020 est.
Direct loan reestimates:			
135001	222	455	
135999	222	455	
Guaranteed loan levels supportable by subsidy budget authority:			
215004		11,534	18,629
215005	194	374	420
215006	2,346	3,040	2,760
215007	98	126	80
215008	685	1,500	1,141
215999	3,323	16,574	23,030
Guaranteed loan subsidy (in percent):			
232004		–8.03	–6.00
232005	–.84	–.11	–.21
232006	–.03	0.00	0.00
232007	–.94	–2.25	–2.80
232008	0.00	0.00	0.00
232999	–10	–5.61	–4.87
Guaranteed loan subsidy budget authority:			
233004		–926	–1,118
233005	–2		–1
233006	–1		
233007	–1	–3	–2
233999	–4	–929	–1,121
Guaranteed loan subsidy outlays:			
234004		–90	–512
234999		–90	–512
Guaranteed loan reestimates:			
235004	–446	–250	
235005	–91	–22	
235006		–26	
235007	4	–10	
235999	–533	–308	
Administrative expense data:			
3510	110	110	96

The Export-Import Bank of the United States (EXIM or the Bank) is the official export credit agency of the United States. EXIM is an independent, Federal agency that supports American jobs by facilitating the export of U.S. goods and services. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs.

The 2020 Budget estimates that the Bank's export credit support will total \$23.0 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$607.0 million in 2020 in receipts in excess of expected losses on transactions authorized in 2020 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$95.5 million. Any excess will be deposited in the General Fund of the Treasury. The 2020 Budget requests \$0 in subsidy costs and cancels \$106.0 million in the Tied Aid Fund.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 083–0100–0–1–155	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1	49	53	47
12.1	16	17	17

21.0	Travel and transportation of persons .....	1	2	1
23.1	Rental payments to GSA .....	7	8	8
23.3	Communications, utilities, and miscellaneous charges .....	3	2	2
25.1	Advisory and assistance services .....	10	9	7
25.2	Other services from non-Federal sources .....	10	11	7
25.3	Other goods and services from Federal sources .....	2	2	2
26.0	Supplies and materials .....	1	2	1
31.0	Equipment .....	4	4	4
41.0	Grants, subsidies, and contributions .....	674	519	.....
99.9	Total new obligations, unexpired accounts .....	777	629	96

**Employment Summary**

Identification code 083-0100-0-1-155	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	399	425	403

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 083-4028-0-3-155	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	40	.....	3
1022 Capital transfer of unobligated balances to general fund .....	-40	.....	.....
1050 Unobligated balance (total) .....	.....	.....	3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (repayments) .....	22	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-22	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	.....	3	3
1930 Total budgetary resources available .....	.....	3	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	.....	3	6
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Principal .....	-22	-2	-2
4123 Non-Federal sources - Interest .....	.....	-1	-1
4130 Offsets against gross budget authority and outlays (total) .....	-22	-3	-3
4160 Budget authority, net (mandatory) .....	-22	.....	.....
4170 Outlays, net (mandatory) .....	-22	-3	-3
4180 Budget authority, net (total) .....	-22	.....	.....
4190 Outlays, net (total) .....	-22	-3	-3

**Status of Direct Loans** (in millions of dollars)

Identification code 083-4028-0-3-155	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	48	33	31
1251 Repayments: Repayments and prepayments .....	-15	-2	-2
1290 Outstanding, end of year .....	33	31	29

**Balance Sheet** (in millions of dollars)

Identification code 083-4028-0-3-155	2017 actual	2018 actual
<b>ASSETS:</b>		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	48	33
1405 Allowance for subsidy cost (-) .....	-48	-33
1499 Net present value of assets related to direct loans .....	.....	.....
1999 Total upward reestimate subsidy BA [11-0091] .....	.....	.....
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	.....	.....

4999 Total liabilities and net position .....	.....	.....	.....
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**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 083-4161-0-3-155	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
Credit program obligations:			
0713 Payment of interest to Treasury .....	656	750	750
0742 Downward reestimates paid to receipt accounts .....	267	39	.....
0743 Interest on downward reestimates .....	45	22	.....
0900 Total new obligations, unexpired accounts .....	968	811	750

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2,482	74	2,330
1021 Recoveries of prior year unpaid obligations .....	205	.....	.....
1023 Unobligated balances applied to repay debt .....	-1,440	.....	.....
1024 Unobligated balance of borrowing authority withdrawn .....	-205	.....	.....
1050 Unobligated balance (total) .....	1,042	74	2,330
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash) .....	3,680	3,067	2,603
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-510	.....	.....
1825 Spending authority from offsetting collections applied to repay debt .....	-3,170	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	.....	3,067	2,603
1900 Budget authority (total) .....	.....	3,067	2,603
1930 Total budgetary resources available .....	1,042	3,141	4,933
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	74	2,330	4,183

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2,010	1,594	2,280
3010 New obligations, unexpired accounts .....	968	811	750
3020 Outlays (gross) .....	-1,179	-125	-19
3040 Recoveries of prior year unpaid obligations, unexpired .....	-205	.....	.....
3050 Unpaid obligations, end of year .....	1,594	2,280	3,011
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-13	-13	-13
3090 Uncollected pymts, Fed sources, end of year .....	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1,997	1,581	2,267
3200 Obligated balance, end of year .....	1,581	2,267	2,998

**Financing authority and disbursements, net:**

Mandatory:			
4090 Budget authority, gross .....	.....	3,067	2,603
Financing disbursements:			
4110 Outlays, gross (total) .....	1,179	125	19
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Upward reestimate .....	-534	-516	.....
4122 Interest on uninvested funds .....	-100	-77	-285
4123 Repayments and prepayments .....	-3,046	-2,474	-2,318
4130 Offsets against gross budget authority and outlays (total) .....	-3,680	-3,067	-2,603
4160 Budget authority, net (mandatory) .....	-3,680	.....	.....
4170 Outlays, net (mandatory) .....	-2,501	-2,942	-2,584
4180 Budget authority, net (total) .....	-3,680	.....	.....
4190 Outlays, net (total) .....	-2,501	-2,942	-2,584

**Status of Direct Loans** (in millions of dollars)

Identification code 083-4161-0-3-155	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	21,225	18,352	16,003
1231 Disbursements: Direct loan disbursements .....	173	125	19
1251 Repayments: Repayments and prepayments .....	-3,046	-2,474	-2,318
1290 Outstanding, end of year .....	18,352	16,003	13,704

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT—Continued

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Reform and Reauthorization Act of 2015, this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4161-0-3-155	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	4,078	1,636
Investments in U.S. securities:		
1106 Receivables, net .....		
1206 Non-Federal assets: Receivables, net .....		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross .....	21,225	18,352
1402 Interest receivable .....	162	198
1405 Allowance for subsidy cost (-) .....	-703	-1,143
1499 Net present value of assets related to direct loans .....	20,684	17,407
1901 Other Federal assets: Other assets .....	347	914
1999 Total assets .....	25,109	19,957
<b>LIABILITIES:</b>		
Federal liabilities:		
2101 Accounts payable .....	464	250
2103 Debt .....	24,645	19,707
2201 Non-Federal liabilities: Accounts payable .....		
2999 Total liabilities .....	25,109	19,957
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....		
4999 Total liabilities and net position .....	25,109	19,957

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4162-0-3-155	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0003 Payment Certificates .....	14		
0091 Direct program activities, subtotal .....	14		
Credit program obligations:			
0711 Default claim payments on principal .....	33	40	40
0713 Payment of interest to Treasury .....	15		
0740 Negative subsidy obligations .....	4	929	1,121
0742 Downward reestimates paid to receipt accounts .....	504	238	
0743 Interest on downward reestimates .....	143	75	
0791 Direct program activities, subtotal .....	699	1,282	1,161
0900 Total new obligations, unexpired accounts .....	713	1,282	1,161
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1,931	1,274	202
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-79		
1050 Unobligated balance (total) .....	1,852	1,274	202
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority .....			912
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash) .....	249	210	210
1820 Capital transfer of spending authority from offsetting collections to general fund .....	-114		
1850 Spending auth from offsetting collections, mand (total) .....	135	210	210
1900 Budget authority (total) .....	135	210	1,122
1930 Total budgetary resources available .....	1,987	1,484	1,324
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1,274	202	163

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	-40	15	1,297
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	79		
3010 New obligations, unexpired accounts .....	713	1,282	1,161
3020 Outlays (gross) .....	-737		
3050 Unpaid obligations, end of year .....	15	1,297	2,458
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-91	-91	-91
3090 Uncollected pymts, Fed sources, end of year .....	-91	-91	-91
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-52	-76	1,206
3200 Obligated balance, end of year .....	-76	1,206	2,367

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross .....	135	210	1,122
Financing disbursements:			
4110 Outlays, gross (total) .....	737		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account .....	-115	-4	
4122 Interest on uninvested funds .....	-35	-100	-100
4123 Fees, premiums, claim recoveries .....	-99	-106	-110
4130 Offsets against gross budget authority and outlays (total) .....	-249	-210	-210
4160 Budget authority, net (mandatory) .....	-114		912
4170 Outlays, net (mandatory) .....	488	-210	-210
4180 Budget authority, net (total) .....	-114		912
4190 Outlays, net (total) .....	488	-210	-210

Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4162-0-3-155	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority .....	3,323	16,574	23,030
2121 Limitation available from carry-forward .....			
2143 Uncommitted limitation carried forward .....			
2150 Total guaranteed loan commitments .....	3,323	16,574	23,030
2199 Guaranteed amount of guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	50,356	41,644	35,656
2231 Disbursements of new guaranteed loans .....	3,646	2,469	33
2251 Repayments and prepayments .....	-12,323	-8,417	-6,521
2263 Adjustments: Terminations for default that result in claim payments .....	-35	-40	-40
2290 Outstanding, end of year .....	41,644	35,656	29,128
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....			
2364 Other adjustments, net .....			
2390 Outstanding, end of year .....			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Reform and Reauthorization Act of 2015, this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4162-0-3-155	2017 actual	2018 actual
<b>ASSETS:</b>		
1101 Federal assets: Fund balances with Treasury .....	984	594
1206 Non-Federal assets: Receivables, net .....		

1501	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Loans receivable, gross .....			
1999	Total assets .....	984		594
<b>LIABILITIES:</b>				
2103	Federal liabilities: Debt .....			
Non-Federal liabilities:				
2201	Accounts payable .....			
2204	Liabilities for loan guarantees .....	984		594
2999	Total liabilities .....	984		594
<b>NET POSITION:</b>				
3300	Cumulative results of operations .....			
4999	Total liabilities and net position .....	984		594

## EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 083-4027-0-3-155	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0003	Pre-Credit Reform Expenses .....	1		
0900	Total new obligations, unexpired accounts (object class 33.0) .....	1		
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	-1		
1020	Adjustment of unobligated bal brought forward, Oct 1 .....	1		
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	8	7	7
1820	Capital transfer of spending authority from offsetting collections to general fund .....	-7	-7	-7
1850	Spending auth from offsetting collections, mand (total) .....	1		
1930	Total budgetary resources available .....	1		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3001	Adjustments to unpaid obligations, brought forward, Oct 1 .....	-1		
3010	New obligations, unexpired accounts .....	1		
3050	Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		1	1
3200	Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	1		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources .....	-8	-7	-7
4180	Budget authority, net (total) .....	-7	-7	-7
4190	Outlays, net (total) .....	-8	-7	-7

## Status of Direct Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2018 actual	2019 est.	2020 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	337	329	322
1251	Repayments: Repayments and prepayments .....	-8	-7	-7
1290	Outstanding, end of year .....	329	322	315

## Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2018 actual	2019 est.	2020 est.	
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year .....	50	28	23
2351	Repayments of loans receivable .....	-22	-5	-5
2390	Outstanding, end of year .....	28	23	18

EXIM's liquidating account records all cash flows to and from the Government resulting from all EXIM direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance exports. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new EXIM credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

Identification code 083-4027-0-3-155	2017 actual	2018 actual	
<b>ASSETS:</b>			
1601	Direct loans, gross .....	337	329
1602	Interest receivable .....		
1603	Allowance for estimated uncollectible loans and interest (-) .....	-103	-320
1699	Value of assets related to direct loans .....	234	9
1701	Defaulted guaranteed loans, gross .....	50	28
1703	Allowance for estimated uncollectible loans and interest (-) .....	-20	-10
1799	Value of assets related to loan guarantees .....	30	18
1999	Total assets .....	264	27
<b>LIABILITIES:</b>			
Non-Federal liabilities:			
2201	Accounts payable .....		
2203	Debt .....	25	12
2204	Liabilities for loan guarantees .....		
2207	Other .....		
2999	Total liabilities .....	25	12
<b>NET POSITION:</b>			
3300	Cumulative results of operations .....	1,000	1,000
3300	Cumulative results of operations .....	-761	-985
3999	Total net position .....	239	15
4999	Total liabilities and net position .....	264	27

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
083-272710	Export-Import Bank Loans, Negative Subsidies .....	90	512
083-272730	Export-Import Bank Loans, Downward Reestimates of Subsidies .....	960	373
General Fund Offsetting receipts from the public .....	960	463	512

## FARM CREDIT ADMINISTRATION

## Federal Funds

## LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed \$76,000,000 (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: Provided, That this limitation shall not apply to expenses associated with receiverships: Provided further, That the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 352-4131-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Limitation on Administrative Expenses (Reimbursable) .....	69	75	77
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	22	22
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	70	75	77
1900 Budget authority (total) .....	70	75	77
1930 Total budgetary resources available .....	91	97	99
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	22	22	22
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	15	1
3010 New obligations, unexpired accounts .....	69	75	77
3020 Outlays (gross) .....	-68	-89	-77
3050 Unpaid obligations, end of year .....	15	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	13	14	.....
3200 Obligated balance, end of year .....	14	.....	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	70	75	77
Outlays, gross:			
4100 Outlays from new mandatory authority .....	62	75	77
4101 Outlays from mandatory balances .....	6	14	.....
4110 Outlays, gross (total) .....	68	89	77
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities: .....	-1	.....	.....
4123 Non-Federal sources .....	-69	-75	-77
4130 Offsets against gross budget authority and outlays (total) ....	-70	-75	-77
4170 Outlays, net (mandatory) .....	-2	14	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-2	14	.....
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	33	32	29
5001 Total investments, EOY: Federal securities: Par value .....	32	29	26

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2018, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 69 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(g)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request that the IG submits to its designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(g)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below: The aggregate budget request for the Office of Inspector General (OIG) is \$1,742,065.

The amount needed for OIG training is \$20,000.

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$4,500.

The FCA IG's budget request for 2020 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 352-4131-0-3-351	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	41	45	46
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	42	46	47
12.1 Civilian personnel benefits .....	16	18	19
21.0 Travel and transportation of persons .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	3	2	2
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	.....	1	1
99.9 Total new obligations, unexpired accounts .....	69	75	77

Employment Summary

Identification code 352-4131-0-3-351	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	298	314	317

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 352-4136-0-3-351	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Farm credit system insurance fund .....	.....	4	4
0801 Reimbursable program activity .....	180	.....	.....
0900 Total new obligations, unexpired accounts .....	180	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4,451	4,691	4,993
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	416	306	311
1801 Change in uncollected payments, Federal sources .....	4	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	420	306	311
1930 Total budgetary resources available .....	4,871	4,997	5,304
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4,691	4,993	5,300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	.....
3010 New obligations, unexpired accounts .....	180	4	4
3020 Outlays (gross) .....	-180	-5	-4
3050 Unpaid obligations, end of year .....	1	.....	.....
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-18	-22	-22
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-17	-21	-22
3200 Obligated balance, end of year .....	-21	-22	-22

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross .....	420	306	311
Outlays, gross:				
4100	Outlays from new mandatory authority .....	180	4	4
4101	Outlays from mandatory balances .....		1	
4110	Outlays, gross (total) .....		5	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities .....	-75	-62	-67
4123	Non-Federal sources .....	-341	-244	-244
4130	Offsets against gross budget authority and outlays (total) ....	-416	-306	-311
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-4		
4170	Outlays, net (mandatory) .....	-236	-301	-307
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-236	-301	-307
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	4,453	4,695	4,968
5001	Total investments, EOY: Federal securities: Par value .....	4,695	4,968	5,272

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured Farm Credit System (System) debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. After the first three quarters of 2018, the Insurance Fund was \$230 million above the 2 percent secure base amount as of September 30, 2018 at 2.10 percent. For 2018, the Corporation is assessing insurance premiums at 9 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2019, the Corporation's Board will determine the insurance premium rates for 2019.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

**Object Classification** (in millions of dollars)

Identification code 352-4136-0-3-351				
		2018 actual	2019 est.	2020 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
12.1	Civilian personnel benefits .....	1	1	1
25.3	Other goods and services from Federal sources .....	1	1	1
43.0	Interest and dividends .....	176		
99.9	Total new obligations, unexpired accounts .....	180	4	4

**Employment Summary**

Identification code 352-4136-0-3-351				
		2018 actual	2019 est.	2020 est.
2001	Reimbursable civilian full-time equivalent employment .....	11	11	11

**FEDERAL COMMUNICATIONS COMMISSION**

*Federal Funds*

**SALARIES AND EXPENSES**

*For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, \$335,660,000, to remain available until expended: Provided, That \$335,660,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses, and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 2020 so as to result in a final fiscal year 2020 appropriation estimated at \$0: Provided further, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed \$132,538,680 for fiscal year 2020: Provided further, That, of the amount appropriated under this heading, not less than \$11,105,700 shall be for the salaries and expenses of the Office of Inspector General.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 027-0100-0-1-376				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0801	Salaries and Expenses (Reimbursable) .....	441	448	469
0809	Reimbursable program activities, subtotal .....	441	448	469
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	116	119	108
1012	Unobligated balance transfers between expired and unexpired accounts .....	17		
1021	Recoveries of prior year unpaid obligations .....	7		
1033	Recoveries of prior year paid obligations .....	2		
1035	Unobligated balance precluded from obligation (limitation on obligations) .....	-17		
1050	Unobligated balance (total) .....	125	119	108
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections (Reimbursables) .....	1	4	4
1700	Offsetting collections (Auctions) .....	111	111	133
1700	Offsetting collections (Reg Fees) .....	331	322	336
1701	Change in uncollected payments, Federal sources .....	1		
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-9		
1750	Spending auth from offsetting collections, disc (total) .....	435	437	473
1900	Budget authority (total) .....	435	437	473
1930	Total budgetary resources available .....	560	556	581
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	119	108	112
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	82	83	76
3010	New obligations, unexpired accounts .....	441	448	469
3020	Outlays (gross) .....	-433	-455	-473
3040	Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050	Unpaid obligations, end of year .....	83	76	72
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired .....	-1		
3071	Change in uncollected pymts, Fed sources, expired .....	1		
3090	Uncollected pymts, Fed sources, end of year .....	-1	-1	-1

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 027-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	81	82	75
3200 Obligated balance, end of year .....	82	75	71
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	435	437	473
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	347	375	406
4011 Outlays from discretionary balances .....	86	80	67
4020 Outlays, gross (total) .....	433	455	473
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4030 Federal sources .....	-113	-115	-137
4033 Non-Federal sources .....	-332	-322	-336
4040 Offsets against gross budget authority and outlays (total) ....	-445	-437	-473
<b>Additional offsets against gross budget authority only:</b>			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4053 Recoveries of prior year paid obligations, unexpired accounts .....	2		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	-9		
4080 Outlays, net (discretionary) .....	-12	18	
4180 Budget authority, net (total) .....	-9		
4190 Outlays, net (total) .....	-12	18	
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	112	121	121
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	121	121	121
5093 Expired unavailable balance, SOY: Offsetting collections .....	17		
5094 Canceling unavailable balance: Offsetting collections .....	-17		

The Federal Communications Commission (FCC or Commission) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include closing the digital divide, promoting innovation, protecting consumers and public safety, and reforming the FCC's processes to reduce regulatory burdens and make the agency more transparent. The 2020 Budget includes an overall request of \$336 million to fund the Commission. Of that amount, the requested funding for the FCC's Inspector General is \$11 million.

The Commission is also requesting \$133 million for the Spectrum Auctions Program for 2020. The Budget proposes to make additional spectrum available for commercial use via an auction. Additional auction proceeds are expected to exceed \$6 billion through 2029. Additionally, following successful completion of the National Oceanic and Atmospheric Administration (NOAA) Spectrum Pipeline Plan, the Budget proposes that the Commission exercise auction authority to assign spectrum frequencies between 1675-1680 megahertz for wireless broadband use subject to sharing arrangements with Federal weather satellites. The proposal is expected to raise \$600 million in receipts over 10 years.

Object Classification (in millions of dollars)

Identification code 027-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	202	203	205
12.1 Civilian personnel benefits .....	61	58	59
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	42	41	40
23.3 Communications, utilities, and miscellaneous charges .....	6	8	8
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	43	43	57
25.3 Other goods and services from Federal sources .....	4	3	3
25.7 Operation and maintenance of equipment .....	75	81	88
26.0 Supplies and materials .....	1	3	3
31.0 Equipment .....	4	5	3
99.9 Total new obligations, unexpired accounts .....	441	448	469

Employment Summary

Identification code 027-0100-0-1-376	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,458	1,448	1,448

UNIVERSAL SERVICE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 027-5183-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	2	2
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Universal Service Fund .....	9,393	9,696	9,966
1140 Earnings on Federal Investments, Universal Service Fund .....	64	16	
1199 Total current law receipts .....	9,457	9,712	9,966
1999 Total receipts .....	9,457	9,712	9,966
2000 Total: Balances and receipts .....	9,458	9,714	9,968
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Universal Service Fund .....	-9,419	-9,696	-9,966
2101 Universal Service Fund .....	-37	-16	
2199 Total current law appropriations .....	-9,456	-9,712	-9,966
2999 Total appropriations .....	-9,456	-9,712	-9,966
5099 Balance, end of year .....	2	2	2

Program and Financing (in millions of dollars)

Identification code 027-5183-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Universal service fund .....	8,667	11,086	10,663
0002 Program support .....	209	252	248
0900 Total new obligations, unexpired accounts (object class 41.0) .....	8,876	11,338	10,911
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	-7,430	-6,048	-6,815
1021 Recoveries of prior year unpaid obligations .....	763	859	990
1033 Recoveries of prior year paid obligations .....	39		
1050 Unobligated balance (total) .....	-6,628	-5,189	-5,825
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special fund)—Receipts .....	9,419	9,696	9,966
1201 Appropriation (special fund)—Interest .....	37	16	
1260 Appropriations, mandatory (total) .....	9,456	9,712	9,966
1900 Budget authority (total) .....	9,456	9,712	9,966
1930 Total budgetary resources available .....	2,828	4,523	4,141
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	-6,048	-6,815	-6,770

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	14,624	12,854	12,992
3010 New obligations, unexpired accounts .....	8,876	11,338	10,911
3020 Outlays (gross) .....	-9,883	-10,341	-10,573
3040 Recoveries of prior year unpaid obligations, unexpired .....	-763	-859	-990
3050 Unpaid obligations, end of year .....	12,854	12,992	12,340
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	14,624	12,854	12,992
3200 Obligated balance, end of year .....	12,854	12,992	12,340

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	9,456	9,712	9,966
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	4,833	5,269	5,568
4101 Outlays from mandatory balances .....	5,050	5,072	5,005
4110 Outlays, gross (total) .....	9,883	10,341	10,573
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4123 Non-Federal sources .....	-39		

Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts .....	39	
4160	Budget authority, net (mandatory) .....	9,456	9,712 9,966
4170	Outlays, net (mandatory) .....	9,844	10,341 10,573
4180	Budget authority, net (total) .....	9,456	9,712 9,966
4190	Outlays, net (total) .....	9,844	10,341 10,573
<b>Memorandum (non-add) entries:</b>			
5000	Total investments, SOY: Federal securities: Par value .....	7,096	2,883
5001	Total investments, EOY: Federal securities: Par value .....	2,883	

Pursuant to the Communications Act of 1934, as amended by the Telecommunications Act of 1996 (1996 Act), all telecommunications service providers and certain other providers of telecommunications contribute to the Federal Universal Service Fund (USF) based on a percentage of their interstate and international end-user telecommunications revenues. These companies include wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers. The goals of USF are to increase access to both telecommunications and advanced services, such as high-speed Internet, for all consumers at just, reasonable and affordable rates. The 1996 Act established principles for universal service that specifically focused on increasing access to evolving services for consumers living in rural and insular areas, and for consumers with low incomes. Additional principles called for increased access to high-speed Internet in the nation's schools, libraries and rural health care facilities. The FCC established four programs within the USF to implement the statute. The four programs are: (1) High Cost—ensures consumers in rural, insular, and high cost areas have access to modern communications networks capable of providing voice and broadband service, both fixed and mobile, at rates that are reasonably comparable to those in urban areas; (2) Lifeline (for low-income consumers)—includes initiatives to expand phone service for residents of Tribal lands and provides a monthly benefit on home or wireless phone and broadband service to eligible households; (3) Schools and Libraries (E-rate)—provides funding to schools and libraries to obtain, among other things, telecommunications, telecommunications services, and broadband; and (4) Rural Health Care—provides funding to eligible health care providers for telecommunications and broadband services necessary for the provision of health care.

**Universal Service Fund**

	2018 actual	2019 CY	2020 BY
<b>Receipts</b>			
Universal Service .....	\$8,143	\$8,213	\$8,455
Telecommunications Relay .....	\$1,313	\$1,499	\$1,511
<b>Total - Receipts .....</b>	<b>\$9,456</b>	<b>\$9,712</b>	<b>\$9,966</b>
<b>Outlays</b>			
Universal Service .....	\$8,529	\$8,886	\$9,072
Telecommunications Relay .....	\$1,354	\$1,455	\$1,501
<b>Total - Outlays .....</b>	<b>\$9,883</b>	<b>\$10,341</b>	<b>\$10,573</b>

**SPECTRUM AUCTION PROGRAM ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 027-0300-0-1-376	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	3	3 3
1930	Total budgetary resources available .....	3	3 3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	3	3 3
4180	Budget authority, net (total) .....		
4190	Outlays, net (total) .....		

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The li-

censes were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT**

**Balance Sheet** (in millions of dollars)

Identification code 027-4133-0-3-376	2017 actual	2018 actual
<b>ASSETS:</b>		
1101	Federal assets: Fund balances with Treasury .....	3 3
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross .....	
1402	Interest receivable .....	
1405	Allowance for subsidy cost (-) .....	
1499	Net present value of assets related to direct loans .....	
1999	Total assets .....	3 3
<b>LIABILITIES:</b>		
2105	Federal liabilities: Other .....	3 3
4999	Total liabilities and net position .....	3 3

**TV BROADCASTER RELOCATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 027-5610-0-2-376	2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	121	
2000	Total: Balances and receipts .....	121	
Appropriations:			
Current law:			
2103	TV Broadcaster Relocation Fund .....	-121	
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 027-5610-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001	TV Broadcaster Relocation .....	1,743	1,007
0900	Total new obligations, unexpired accounts (object class 41.0) .....	1,743	1,007
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 .....	1,629	607
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation .....	600	400
1203	Appropriation (previously unavailable) .....	121	
1260	Appropriations, mandatory (total) .....	721	400
1900	Budget authority (total) .....	721	400
1930	Total budgetary resources available .....	2,350	1,007
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year .....	607	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1 .....		1,527 930
3010	New obligations, unexpired accounts .....	1,743	1,007
3020	Outlays (gross) .....	-216	-1,604 -585
3050	Unpaid obligations, end of year .....	1,527	930 345
Memorandum (non-add) entries:			
3100	Obligated balance, start of year .....		1,527 930

TV BROADCASTER RELOCATION FUND—Continued  
Program and Financing—Continued

Identification code 027-5610-0-2-376	2018 actual	2019 est.	2020 est.
3200 Obligated balance, end of year .....	1,527	930	345
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	721	400	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	216	1,604	585
4180 Budget authority, net (total) .....	721	400	.....
4190 Outlays, net (total) .....	216	1,604	585

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned commercial spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2020 and total \$4.0 billion through 2029.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
027-089600 Spectrum License User Fees: Legislative proposal, subject to PAYGO .....			50
027-242900 Fees for Services .....	28	23	23
027-247400 Auction Receipts .....	1		.....
027-247400 Auction Receipts: Legislative proposal, subject to PAYGO .....			300
027-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	2	3	3
General Fund Offsetting receipts from the public .....	31	26	376

ADMINISTRATIVE PROVISIONS

SEC. 501. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, 2019", each place it appears and inserting "December 31, 2021".

SEC. 502. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations for universal service support payments to implement the February 27, 2004 recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments.

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the FDIC to use the least costly method to resolve failed banks and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. To protect depositors, the FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101-73) established the Bank Insurance Fund (BIF),

the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006.

DEPOSIT INSURANCE

Federal Funds

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 051-4596-0-4-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Insurance .....	270	319	317
0003 Supervision .....	934	1,052	1,043
0004 Receivership Management .....	395	390	387
0005 General and Administrative .....	237	261	259
0091 Total operating expenses .....	1,836	2,022	2,006
0101 Resolution Outlays .....	177	608	6,410
0900 Total new obligations, unexpired accounts .....	2,013	2,630	8,416
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	82,755	98,687	106,119
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [051-4595] .....		-39	-43
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	17,892	10,101	16,166
1801 Change in uncollected payments, Federal sources .....	91		
1810 Spending authority from offsetting collections transferred to other accounts [051-4595] .....	-38		
1850 Spending auth from offsetting collections, mand (total) .....	17,945	10,101	16,166
1900 Budget authority (total) .....	17,945	10,062	16,123
1930 Total budgetary resources available .....	100,700	108,749	122,242
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	98,687	106,119	113,826
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	110	122	161
3010 New obligations, unexpired accounts .....	2,013	2,630	8,416
3020 Outlays (gross) .....	-2,001	-2,591	-8,373
3050 Unpaid obligations, end of year .....	122	161	204
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3,139	-3,230	-3,230
3070 Change in uncollected pymts, Fed sources, unexpired .....	-91		
3090 Uncollected pymts, Fed sources, end of year .....	-3,230	-3,230	-3,230
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-3,029	-3,108	-3,069
3200 Obligated balance, end of year .....	-3,108	-3,069	-3,026
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....		-39	-43
Outlays, gross:			
4010 Outlays from new discretionary authority .....		-39	-43
Mandatory:			
4090 Budget authority, gross .....	17,945	10,101	16,166
Outlays, gross:			
4101 Outlays from mandatory balances .....	2,001	2,630	8,416
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-1,618	-1,527	-3,739
4123 Non-Federal sources .....	-16,274	-8,574	-12,427
4130 Offsets against gross budget authority and outlays (total) .....	-17,892	-10,101	-16,166
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-91		
4160 Budget authority, net (mandatory) .....	-38		
4170 Outlays, net (mandatory) .....	-15,891	-7,471	-7,750
4180 Budget authority, net (total) .....	-38	-39	-43
4190 Outlays, net (total) .....	-15,891	-7,510	-7,793
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	80,161	96,431	103,863

5001 Total investments, EOY: Federal securities: Par value ..... 96,431 103,863 111,569

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new DIF on March 31, 2006. Through the DIF, the FDIC resolves and recovers funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, defined as a designated percentage of estimated insured deposits set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF balance to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect on small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent; and 4) permanently increased the insured deposit level to \$250,000 at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

As of September 30, 2018, the DIF balance stood at \$100.2 billion on an accrual basis, measuring expected losses to current balances. This level is equivalent to a reserve ratio of 1.36 percent. The growth in the DIF balance reflects projections of bank failures in line with historical experience and assessment revenue required to increase the reserve ratio over time.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2016.) The DIF reserve ratio reached the statutorily required level of 1.35 percent by September 30, 2018, in accordance with FDIC regulation.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

#### Object Classification (in millions of dollars)

Identification code 051–4596–0–4–373	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	941	1,038	1,029
12.1 Civilian personnel benefits .....	329	362	359
21.0 Travel and transportation of persons .....	93	102	102
23.2 Rental payments to others .....	44	48	48
23.3 Communications, utilities, and miscellaneous charges .....	23	26	25
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	363	400	397
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	26	29	29
32.0 Land and structures .....	10	11	11
42.0 Resolution Outlays .....	178	608	6,410
99.9 Total new obligations, unexpired accounts .....	2,013	2,630	8,416

#### Employment Summary

Identification code 051–4596–0–4–373	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5,940	6,267	6,227

### FSLIC RESOLUTION Federal Funds FSLIC RESOLUTION FUND

#### Program and Financing (in millions of dollars)

Identification code 051–4065–0–3–373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0804 General administrative .....	1	1	1
0809 Reimbursable program activities, subtotal .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 11.1) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	880	893	907
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections .....	14	15	16
1900 Budget authority (total) .....	14	15	16
1930 Total budgetary resources available .....	894	908	923
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	893	907	922
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	1
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....		–1	–1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	14	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	–13	–14	–15
4123 Non-Federal sources .....	–1	–1	–1
4130 Offsets against gross budget authority and outlays (total) .....	–14	–15	–16
4170 Outlays, net (mandatory) .....	–14	–14	–15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	–14	–14	–15
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	839	852	867
5001 Total investments, EOY: Federal securities: Par value .....	852	867	883

The FSLIC Resolution Fund (FRF) is the ultimate successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: 1) income earned on its assets; 2) liquidation proceeds from receiverships; 3) the proceeds of the sale of bonds by the Financing Corporation; and 4) a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act or FIRREA (P.L. 101–73) authorizes appropriations to make up for any shortfall. Currently, the FRF consists of two distinct pools of assets and liabilities. One is composed of the assets and liabilities of the FSLIC transferred to the FRF (FRF-FSLIC) and the other is composed of the RTC assets and liabilities (FRF-RTC). The assets of one pool are not available to satisfy obligations of the other. The FRF will continue operations until all of its assets are sold or otherwise liquidated and all its liabilities are satisfied. Any funds remaining in the FRF-FSLIC will be paid to the U.S. Treasury. Any remaining funds of the FRF-RTC will be distributed to the Resolution Funding Corporation to pay interest on its bonds.

FSLIC RESOLUTION FUND—Continued  
Employment Summary

Identification code 051-4065-0-3-373	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

ORDERLY LIQUIDATION  
Federal Funds

ORDERLY LIQUIDATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 051-5586-0-2-373	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1110 Risk-Based Assessments, Orderly Liquidation Fund .....		48	617
2000 Total: Balances and receipts .....		48	617
Appropriations:			
Current law:			
2101 Orderly Liquidation Fund .....		-48	-617
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 051-5586-0-2-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Orderly Liquidation .....		1,659	3,989
0002 Administrative Expenses .....		2	4
0003 Interest to Treasury .....		27	116
0900 Total new obligations, unexpired accounts .....		1,688	4,109
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....		48	617
Borrowing authority, mandatory:			
1400 Borrowing authority .....		1,640	3,492
1900 Budget authority (total) .....		1,688	4,109
1930 Total budgetary resources available .....		1,688	4,109
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....		1,688	4,109
3020 Outlays (gross) .....		-1,688	-4,109
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....		1,688	4,109
Outlays, gross:			
4100 Outlays from new mandatory authority .....		1,688	4,109
4180 Budget authority, net (total) .....		1,688	4,109
4190 Outlays, net (total) .....		1,688	4,109
<b>Memorandum (non-add) entries:</b>			
5080 Outstanding debt, SOY .....			-1,659
5081 Outstanding debt, EOY .....		-1,659	-3,925
5082 Borrowing .....		-1,659	-2,266

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) established an Orderly Liquidation Authority (OLA) permitting the appointment of the FDIC as receiver of financial companies whose failure and resolution under otherwise applicable Federal or State law is determined to have serious adverse effects on financial stability in the United States. The Federal Reserve Board and the FDIC, the Securities and Exchange Commission (for brokers or dealers) or the Federal Insurance Office (for insurance companies) must recommend in writing that the Secretary of the Treasury appoint the FDIC as the company's receiver.

The Secretary of the Treasury must then, in consultation with the President, determine whether seven criteria authorizing the appointment of the FDIC as receiver for the failing financial company have been satisfied,

including finding that resolution under otherwise applicable law would have serious adverse effects on financial stability in the United States.

Object Classification (in millions of dollars)

Identification code 051-5586-0-2-373	2018 actual	2019 est.	2020 est.
Direct obligations:			
43.0 Admin .....		2	4
43.0 Interest and Dividends .....		27	116
43.0 Orderly Liquidation .....		1,659	3,989
99.9 Total new obligations, unexpired accounts .....		1,688	4,109

FDIC—OFFICE OF INSPECTOR GENERAL  
Federal Funds

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$42,982,000 to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 051-4595-0-4-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Office of the Inspector General (Reimbursable) .....	38	39	43
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [051-4596] .....	38	39	43
1930 Total budgetary resources available .....	38	39	43
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	38	39	43
3020 Outlays (gross) .....	-38	-39	-43
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	38	39	43
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38	39	43
4180 Budget authority, net (total) .....	38	39	43
4190 Outlays, net (total) .....	38	39	43

The FDIC's Office of Inspector General (FDIC OIG) is an independent unit within the FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board pursuant to the Inspector General Act amendments of 1988 (P.L. 100-504). The Resolution Trust Corporation Completion Act (P.L. 103-204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added the FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code, thereby safeguarding FDIC OIG's independence. Assessments paid to the Deposit Insurance Fund (DIF) by insured financial institutions, and administered by the FDIC, fully fund FDIC OIG's appropriation. To the extent that FDIC OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work is derived from the FRF.

**Object Classification** (in millions of dollars)

Identification code 051-4595-0-4-373	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	21	23	25
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	22	24	26
12.1 Civilian personnel benefits .....	10	10	12
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services from non-Federal sources .....	2	2	3
31.0 Equipment .....	3	2	1
99.9 Total new obligations, unexpired accounts .....	38	39	43

**Employment Summary**

Identification code 051-4595-0-4-373	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	128	135	144

**FEDERAL DRUG CONTROL PROGRAMS**

*Federal Funds*

**HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-1070-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Grants and federal transfers .....	242	277	.....
0003 Auditing services and activities .....	3	3	.....
0900 Total new obligations, unexpired accounts .....	245	280	.....
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	20	45	45
1021 Recoveries of prior year unpaid obligations .....	10	.....	.....
1050 Unobligated balance (total) .....	30	45	45
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 New budget authority (gross), detail .....	280	280	.....
1120 Appropriations transferred to other accts [070-0540] .....	-1	.....	.....
1120 Appropriations transferred to other accts [015-1100] .....	-15	.....	.....
1120 Appropriations transferred to other accts [015-0200] .....	-2	.....	.....
1120 Appropriations transferred to other accts [015-0322] .....	-1	.....	.....
1120 Appropriations transferred to other accts [015-0324] .....	-1	.....	.....
1160 Appropriation, discretionary (total) .....	260	280	.....
1930 Total budgetary resources available .....	290	325	45
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	45	45	45
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	237	241	211
3010 New obligations, unexpired accounts .....	245	280	.....
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-231	-310	-168
3040 Recoveries of prior year unpaid obligations, unexpired .....	-10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	241	211	43
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	237	241	211
3200 Obligated balance, end of year .....	241	211	43
<b>Budget authority and outlays, net:</b>			
<b>Discretionary:</b>			
4000 Budget authority, gross .....	260	280	.....
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	34	70	.....
4011 Outlays from discretionary balances .....	197	240	168

4020 Outlays, gross (total) .....	231	310	168
4180 Budget authority, net (total) .....	260	280	.....
4190 Outlays, net (total) .....	231	310	168

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988 to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

For 2020, the Budget proposes to transfer the HIDTA program from the Office of National Drug Control Policy (ONDCP) to the Department of Justice. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

**Object Classification** (in millions of dollars)

Identification code 011-1070-0-1-754	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
25.2 Auditing services and activities .....	3	3	.....
41.0 Grants and federal transfers .....	242	277	.....
99.9 Total new obligations, unexpired accounts .....	245	280	.....

**OTHER FEDERAL DRUG CONTROL PROGRAMS**

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended by Public Law 115-271, \$12,101,000, to remain available until expended, for anti-doping activities, to include United States membership dues to the World Anti-Doping Agency: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 011-1460-0-1-802	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Drug-Free Communities Program .....	101	99	.....
0003 Drug Court Training & Technical Assistance .....	4	2	.....
0006 Anti-Doping Activities .....	10	10	.....
0007 Section 103 of Public Law 114-198 .....	3	3	.....
0008 Section 1105 of Public Law 109-469 .....	.....	1	.....
0009 World Anti-Doping Agency Dues .....	2	2	.....
0010 Anti-Doping Activities (to include WADA Dues) .....	.....	.....	12
0900 Total new obligations, unexpired accounts .....	120	117	12
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	16	16	16
1021 Recoveries of prior year unpaid obligations .....	3	.....	.....
1050 Unobligated balance (total) .....	19	16	16
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 New budget authority (gross), detail .....	117	117	12
1900 Budget authority (total) .....	117	117	12
1930 Total budgetary resources available .....	136	133	28
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	16	16	16
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	21	13
3010 New obligations, unexpired accounts .....	120	117	12
3020 Outlays (gross) .....	-113	-125	-23
3040 Recoveries of prior year unpaid obligations, unexpired .....	-3	.....	.....
3050 Unpaid obligations, end of year .....	21	13	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	17	21	13
3200 Obligated balance, end of year .....	21	13	2

OTHER FEDERAL DRUG CONTROL PROGRAMS—Continued  
Program and Financing—Continued

Identification code 011-1460-0-1-802	2018 actual	2019 est.	2020 est.
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	117	117	12
Outlays, gross:			
4010 Outlays from new discretionary authority .....	102	105	11
4011 Outlays from discretionary balances .....	11	20	12
4020 Outlays, gross (total) .....	113	125	23
4180 Budget authority, net (total) .....	117	117	12
4190 Outlays, net (total) .....	113	125	23

This account is for other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271. The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2020, the Budget proposes to transfer the Drug-Free Communities Support Program (DFC) from ONDCP to the Department of Health and Human Services. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

*Anti-Doping Efforts, to include World Anti-Doping Agency (WADA) Dues.*—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States. WADA was established in 1999 as an international independent agency composed and funded equally by the sports movement and governments of the world. Its key activities include scientific research, education, development of anti-doping capacities, and monitoring of the World Anti-Doping Code—the document harmonizing anti-doping policies in all sports and all countries. ONDCP represents the United States before the agency and is responsible for the payment of U.S. dues.

For 2020, ONDCP is proposing to combine grant funding supporting domestic anti-doping activities and WADA dues payments into a single "Anti-Doping Activities" program account. This approach will enable the United States Government to strategically allocate financial resources for these activities to best promote drug-free sport and protect the health of athletes. Consolidating this funding will enable a more rigorous review process for any proposed increases in WADA dues amounts. This will ensure that WADA operates with increased transparency and begins to utilize models of good governance, including addressing potential conflicts of interest and increasing the role of athletes in agency decision-making. The United States will continue to exert its leadership to support only those dues increases that are linked to budgets that are focused on core anti-doping requirements, fiscally necessary, equitable among WADA's stakeholders, and in support of appropriate governance reforms. ONDCP will continue to support WADA's ongoing investigation into state-sponsored doping schemes and its implementation of a revised World Anti-Doping Code in 2020.

Object Classification (in millions of dollars)

Identification code 011-1460-0-1-802	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.2 Other services from non-Federal sources .....	2	2	2
25.3 Other goods and services from Federal sources .....	8	8	.....
41.0 Grants, subsidies, and contributions .....	14	15	10
94.0 Financial transfers .....	96	92	.....
99.9 Total new obligations, unexpired accounts .....	120	117	12

Employment Summary

Identification code 011-1460-0-1-802	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	1	1

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

Program and Financing (in millions of dollars)

Identification code 011-1461-0-1-754	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
1930 Total budgetary resources available .....	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

*For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, \$70,537,500, of which not to exceed \$5,000 shall be available for reception and representation expenses.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 360-1600-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Election Commission .....	77	71	71
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	8	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	71	71	71
1930 Total budgetary resources available .....	79	71	71
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	17	6
3010 New obligations, unexpired accounts .....	77	71	71
3020 Outlays (gross) .....	-74	-82	-71
3050 Unpaid obligations, end of year .....	17	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	17	6
3200 Obligated balance, end of year .....	17	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	71	71	71
Outlays, gross:			
4010 Outlays from new discretionary authority .....	60	65	65
4011 Outlays from discretionary balances .....	14	17	6
4020 Outlays, gross (total) .....	74	82	71
4180 Budget authority, net (total) .....	71	71	71
4190 Outlays, net (total) .....	74	82	71

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance

about the Act and Commission regulations to the public, media, political committees, and election officials. The Commission is also responsible for enforcing the Act through audits, investigations, and civil litigation, and for developing the law by administering and interpreting the Act, the Presidential Election Campaign Fund Act, and the Presidential Primary Matching Payment Account Act.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress.

**Object Classification** (in millions of dollars)

Identification code 360-1600-0-1-808	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	35	36	36
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	36	37	37
12.1 Civilian personnel benefits .....	12	12	12
23.1 Rental payments to GSA .....	4	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	11	12	12
25.3 Other goods and services from Federal sources .....	5	2	2
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	7	4	4
99.9 Total new obligations, unexpired accounts .....	77	71	71

**Employment Summary**

Identification code 360-1600-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	315	335	320

**FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL**

*Federal Funds*

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 362-5547-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Assessments, Federal Financial Institutions Examination Council Activities .....	20	16	16
2000 Total: Balances and receipts .....	20	16	16
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Federal Financial Institutions Examination Council Activities .....	-20	-16	-16
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 362-5547-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 FFIEC Activities .....	20	16	16
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	20	16	16
1900 Budget authority (total) .....	20	16	16
1930 Total budgetary resources available .....	20	16	16
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	20	16	16
3020 Outlays (gross) .....	-20	-16	-16

**Budget authority and outlays, net:**

<b>Mandatory:</b>			
4090 Budget authority, gross .....	20	16	16
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	20	16	16
4180 Budget authority, net (total) .....	20	16	16
4190 Outlays, net (total) .....	20	16	16

The Federal Financial Institutions Examination Council (the Council) was established in 1979 pursuant to the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, pursuant to the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) (P.L. 101-73), the Appraisal Subcommittee (ASC) was established within the Council. The Council has limited specified responsibilities regarding the ASC.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions; to make recommendations to promote uniformity in the supervision of financial institutions; and to conduct examiner training. Council members include a member of the Board of Governors of the Federal Reserve System, the Chairman of the Federal Deposit Insurance Corporation, the Chairman of the National Credit Union Administration, the Comptroller of the Currency, the Director of the Consumer Financial Protection Bureau, and the Chairman of the State Liaison Committee, which is made up of five representatives from state regulatory agencies that supervise financial institutions.

In addition to its responsibilities under FIRA and FIRREA, the Council was given responsibilities by the Housing and Community Development Act of 1980 (P.L. 96-399) and the Economic Growth and Regulatory Paperwork Reduction Act of 1996 (P.L. 104-208).

The Budget estimates the Council will spend approximately \$16 million during 2020 from resources provided by its Federal members and other fees and reimbursements.

**Object Classification** (in millions of dollars)

Identification code 362-5547-0-2-376	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.8 Personnel compensation: Special personal services payments .....	3	3	3
25.1 Advisory and assistance services .....	17	13	13
99.9 Total new obligations, unexpired accounts .....	20	16	16

**FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE**

*Federal Funds*

REGISTRY FEES

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 362-5026-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	4	5	5
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council .....	3	2	2
1110 Incremental Registry Fees (Dodd-Frank Act) Appraisal Subcommittee .....	2	1	1
1199 Total current law receipts .....	5	3	3
1999 Total receipts .....	5	3	3
2000 Total: Balances and receipts .....	9	8	8
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Registry Fees .....	-4	-3	-3
5099 Balance, end of year .....	5	5	5

REGISTRY FEES—Continued  
Program and Financing (in millions of dollars)

Identification code 362-5026-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	3	3	3
0002 Grants, subsidies and contributions .....	1	1	1
0900 Total new obligations, unexpired accounts .....	4	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	4	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	3	3
1930 Total budgetary resources available .....	8	7	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	3	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		1	2
3010 New obligations, unexpired accounts .....	4	4	4
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		1	2
3200 Obligated balance, end of year .....	1	2	3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	2	2
4101 Outlays from mandatory balances .....		1	1
4110 Outlays, gross (total) .....	3	3	3
4180 Budget authority, net (total) .....	4	3	3
4190 Outlays, net (total) .....	3	3	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101-73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). The ASC is composed of representatives of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the National Credit Union Administration, the Office of the Comptroller of the Currency, the Department of Housing and Urban Development, the Consumer Financial Protection Bureau, and the Federal Housing Finance Agency.

The ASC is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the states. Its responsibilities include: 1) monitoring the requirements established by the states for the certification and licensing of appraisers and the registration and supervision of the operations and activities of appraisal management companies; 2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally-related transactions under their jurisdiction; 3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; 4) maintaining the National Registry of licensed and certified appraisers and appraisal management companies; 5) transmitting an annual report to Congress no later than June 15 of each year; and 6) making grants to the Appraisal Foundation and state appraiser certifying and licensing agencies.

The ASC's activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury in 1998. The ASC is now operating on fee income from 1) appraisal management companies and 2) state-licensed and state-certified real estate appraisers in the National Registry. The Budget projects that the ASC will spend approximately \$4 million in 2019.

Object Classification (in millions of dollars)

Identification code 362-5026-0-2-376	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	3	3	3
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	4	4	4

Employment Summary

Identification code 362-5026-0-2-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

FEDERAL HOUSING FINANCE AGENCY

Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 537-5532-0-2-371	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1110 FHFA, Fees on GSEs for Administrative Expenses .....	248	260	272
1140 Interest Earnings on Investments In Treasury Securities, FHFA .....	2	2	2
1199 Total current law receipts .....	250	262	274
1999 Total receipts .....	250	262	274
2000 Total: Balances and receipts .....	251	263	275
Appropriations:			
Current law:			
2101 Federal Housing Finance Agency, Administrative Expenses .....	-250	-262	-274
5099 Balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 537-5532-0-2-371	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Housing Finance Agency, Administrative Expenses (Direct) .....	260	262	274
0801 Federal Housing Finance Agency, Administrative Expenses (Reimbursable) .....	4	4	4
0900 Total new obligations, unexpired accounts .....	264	266	278
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	20	20
1021 Recoveries of prior year unpaid obligations .....	7		
1033 Recoveries of prior year paid obligations .....	2		
1050 Unobligated balance (total) .....	30	20	20
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	250	262	274
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	4	4	4
1900 Budget authority (total) .....	254	266	278
1930 Total budgetary resources available .....	284	286	298
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	43	38	31
3010 New obligations, unexpired accounts .....	264	266	278
3020 Outlays (gross) .....	-264	-273	-276
3040 Recoveries of prior year unpaid obligations, unexpired .....	-7		
3050 Unpaid obligations, end of year .....	38	31	33

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	43	38	31
3200	Obligated balance, end of year .....	38	31	33

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	254	266	278
Outlays, gross:				
4100	Outlays from new mandatory authority .....	232	236	247
4101	Outlays from mandatory balances .....	30	37	29
4110	Outlays, gross (total) .....	262	273	276
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-5	-4	-4
4123	Non-Federal sources .....	-1		
4130	Offsets against gross budget authority and outlays (total) ...	-6	-4	-4
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts .....	2		
4160	Budget authority, net (mandatory) .....	250	262	274
4170	Outlays, net (mandatory) .....	256	269	272
4180	Budget authority, net (total) .....	250	262	274
4190	Outlays, net (total) .....	256	269	272

<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	61	56	56
5001	Total investments, EOY: Federal securities: Par value .....	56	56	56

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the eleven Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110-289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs; 2) Liquidity, Stability and Access in Housing Finance; and 3) Management of the Enterprises' Ongoing Conservatorships. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

**Object Classification** (in millions of dollars)

Identification code 537-5532-0-2-371				
		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	102	111	114
11.3	Other than full-time permanent .....	1		
11.5	Other personnel compensation .....	5		
	Total personnel compensation .....	108	111	114
12.1	Civilian personnel benefits .....	39	40	41
21.0	Travel and transportation of persons .....	3	4	4
23.2	Rental payments to others .....	15	17	18
23.3	Communications, utilities, and miscellaneous charges .....	2		
25.1	Advisory and assistance services .....	1		
25.2	Other services from non-Federal sources .....	34	35	37
25.3	Other goods and services from Federal sources .....	4		
25.4	Operation and maintenance of facilities .....	1		
25.7	Operation and maintenance of equipment .....	2		
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	4	7	7
94.0	Financial transfers .....	44	45	50
99.0	Direct obligations .....	260	262	274
99.0	Reimbursable obligations .....	4	4	4
99.9	Total new obligations, unexpired accounts .....	264	266	278

**Employment Summary**

Identification code 537-5532-0-2-371				
		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	603	629	648

OFFICE OF INSPECTOR GENERAL

**Program and Financing** (in millions of dollars)

Identification code 537-5564-0-2-371				
		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0801	Office of Inspector General Reimbursable .....	46	50	50
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	5	
1021	Recoveries of prior year unpaid obligations .....	1		
1050	Unobligated balance (total) .....	7	5	
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	44	45	50
1930	Total budgetary resources available .....	51	50	50
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	5		
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	9	13
3010	New obligations, unexpired accounts .....	46	50	50
3020	Outlays (gross) .....	-45	-46	-50
3040	Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050	Unpaid obligations, end of year .....	9	13	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	9	13
3200	Obligated balance, end of year .....	9	13	13

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	44	45	50
Outlays, gross:				
4100	Outlays from new mandatory authority .....	37	38	42
4101	Outlays from mandatory balances .....	8	8	8
4110	Outlays, gross (total) .....	45	46	50
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-44	-45	-50
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	1	1	

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is funded through FHFA's direct assessments on the housing GSEs.

**Object Classification** (in millions of dollars)

Identification code 537-5564-0-2-371				
		2018 actual	2019 est.	2020 est.
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	21	21	21
11.5	Other personnel compensation .....	1	1	1
	Total personnel compensation .....	22	22	22
12.1	Civilian personnel benefits .....	9	10	10
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	1	2	2
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.1	Advisory and assistance services .....	4	5	5
25.2	Other services from non-Federal sources .....	1	1	1
25.3	Other goods and services from Federal sources .....	6	6	6
26.0	Supplies and materials .....		1	1
31.0	Equipment .....	1	1	1
99.9	Total new obligations, unexpired accounts .....	46	50	50

OFFICE OF INSPECTOR GENERAL—Continued  
**Employment Summary**

Identification code 537-5564-0-2-371	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	128	155	155

**FEDERAL LABOR RELATIONS AUTHORITY**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, \$24,890,000: Provided, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: Provided further, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 054-0100-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Authority .....	14	14	13
0002 Office of the General Counsel .....	11	11	11
0003 Federal Service Impasses Panel .....	1	1	1
0900 Total new obligations, unexpired accounts .....	26	26	25
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	26	26	25
1930 Total budgetary resources available .....	26	26	25
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	3	3
3010 New obligations, unexpired accounts .....	26	26	25
3020 Outlays (gross) .....	-27	-26	-25
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	26	26	25
Outlays, gross:			
4010 Outlays from new discretionary authority .....	24	23	22
4011 Outlays from discretionary balances .....	3	3	3
4020 Outlays, gross (total) .....	27	26	25
4180 Budget authority, net (total) .....	26	26	25
4190 Outlays, net (total) .....	27	26	25

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: 1) determining the appropriateness of units for labor organization representation; 2) resolving complaints of unfair labor practices; 3) adjudicating exceptions to arbitrators' awards; 4) adjudicating legal issues relating to duty to bargain; and 5) resolving impasses during negotiations. All

work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes five Regional Offices and a Headquarters site in Washington, D.C.

*Authority.*—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

*Office of the General Counsel.*—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

*Federal Service Impasses Panel.*—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

**Object Classification** (in millions of dollars)

Identification code 054-0100-0-1-805	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	15	15	14
11.9 Total personnel compensation .....	15	15	14
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	1	1	1
99.0 Direct obligations .....	25	25	24
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	26	26	25

**Employment Summary**

Identification code 054-0100-0-1-805	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	118	125	115

**FEDERAL MARITIME COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, \$28,000,000: Provided, That not to exceed \$2,000 shall be available for official reception and representation expenses.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 065-0100-0-1-403	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Formal proceedings .....	7	8	.....
0002 Inspector General .....	1	1	.....
0003 Operational and Administrative .....	19	18	28

0900	Total new obligations, unexpired accounts .....	27	27	28
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	27	27	28
1930	Total budgetary resources available .....	27	27	28

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	5	5	3
3010	New obligations, unexpired accounts .....	27	27	28
3020	Outlays (gross) .....	-27	-29	-28
3050	Unpaid obligations, end of year .....	5	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	5	3
3200	Obligated balance, end of year .....	5	3	3

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	27	27	28
Outlays, gross:				
4010	Outlays from new discretionary authority .....	23	26	27
4011	Outlays from discretionary balances .....	4	3	1
4020	Outlays, gross (total) .....	27	29	28
4180	Budget authority, net (total) .....	27	27	28
4190	Outlays, net (total) .....	27	29	28

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 (1984 Act) as amended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89-777. The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports, and ocean transportation intermediaries who operate in U.S. foreign commerce to ensure that they maintain just and reasonable practices.

*Ocean Transportation Intermediaries (OTIs).*—The Commission issues licenses to qualified OTIs operating in the United States and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility.

*Passenger Vessel Operators.*—The Commission ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death.

*Shipping Act Compliance.*—The FMC maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts, and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

**Object Classification** (in millions of dollars)

Identification code 065-0100-0-1-403		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	15	15	16
12.1	Civilian personnel benefits .....	4	5	5
25.2	Other services from non-Federal sources .....	3	2	2
25.3	Other goods and services from Federal sources .....	5	5	5
99.9	Total new obligations, unexpired accounts .....	27	27	28

**Employment Summary**

Identification code 065-0100-0-1-403		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	118	127	128

**FEDERAL MEDIATION AND CONCILIATION SERVICE**

*Federal Funds*

**SALARIES AND EXPENSES**

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, [2020] 2021, for activities authorized by the Labor-Management Cooperation Act of 1978: *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 093-0100-0-1-505		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Dispute mediation and preventive mediation, public information, and grants .....	35	35	35
0002	Arbitration services .....	1	1	1
0003	Management and administrative support .....	10	10	10
0004	Labor-Management Grants (separated from line 0001 for FY17) .....	1	1	1
0091	Total direct program .....	47	47	47
0101	Reimbursables .....	3	3	4
0900	Total new obligations, unexpired accounts .....	50	50	51

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	3	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	47	47	47
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	2	3	3
1900	Budget authority (total) .....	49	50	50
1930	Total budgetary resources available .....	52	52	52
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	2	2	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	8	10	9
3010	New obligations, unexpired accounts .....	50	50	51
3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-48	-51	-51
3041	Recoveries of prior year unpaid obligations, expired .....	-1		
3050	Unpaid obligations, end of year .....	10	9	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	8	10	9
3200	Obligated balance, end of year .....	10	9	9

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	49	50	50
Outlays, gross:				
4010	Outlays from new discretionary authority .....	40	46	46

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 093-0100-0-1-505	2018 actual	2019 est.	2020 est.
4011 Outlays from discretionary balances .....	8	5	5
4020 Outlays, gross (total) .....	48	51	51
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4033 Non-Federal sources .....	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total) ....	-3	-3	-3
Additional offsets against gross budget authority only: 4052 Offsetting collections credited to expired accounts .....	1		
4070 Budget authority, net (discretionary) .....	47	47	47
4080 Outlays, net (discretionary) .....	45	48	48
4180 Budget authority, net (total) .....	47	47	47
4190 Outlays, net (total) .....	45	48	48

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

*Dispute Mediation.*—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2016 actual	2017 actual	2018 actual	2019 est.	2020 est.
Dispute mediation assignments .....	13,447	12,999	12,244	13,250	13,250
Total active mediations .....	5,210	4,880	4,807	5,305	5,305

PREVENTIVE MEDIATION WORKLOAD DATA

Total preventive mediation cases conducted .....	2016 actual	2017 actual	2018 actual	2019 est.	2020 est.
	1,941	1,956	1,815	2,200	2,200

*Preventive Mediation, Public Information, and Educational Activities.*—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach activities such as lectures, seminars, and conferences.

*Arbitration Services.*—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	2016 actual	2017 actual	2018 actual	2019 est.	2020 est.
Number of panels issued .....	12,250	11,836	12,500	12,500	12,500
Number of arbitrators appointed .....	5,296	5,247	5,391	5,514	5,514

*Management and Administrative Support.*—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

*Labor-Management Cooperation Project.*—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

*Alternative Dispute Resolution (ADR) Projects.*—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal

processes. FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

Number of ADR Cases .....	2016 actual	2017 actual	2018 actual	2019 est.	2020 est.
	1,076	1,200	1,081	1,200	1,200

Object Classification (in millions of dollars)

Identification code 093-0100-0-1-505	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	26	26	26
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	5	6	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	3	3	4
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	46	47	47
99.0 Reimbursable obligations .....	4	3	4
99.9 Total new obligations, unexpired accounts .....	50	50	51

Employment Summary

Identification code 093-0100-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	209	215	215
2001 Reimbursable civilian full-time equivalent employment .....	9	9	9

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission, \$17,184,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 368-2800-0-1-554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	9	5	5
0002 Administrative law judge determinations .....	6	10	10
0003 Office of Executive Director .....	1	2	2
0900 Total new obligations, unexpired accounts .....	16	17	17
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	17
1930 Total budgetary resources available .....	17	17	17
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010 New obligations, unexpired accounts .....	16	17	17
3020 Outlays (gross) .....	-15	-17	-17
3050 Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	3	3
3200 Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	14	15	15
4011 Outlays from discretionary balances .....	1	2	2
4020 Outlays, gross (total) .....	15	17	17
4180 Budget authority, net (total) .....	17	17	17

4190	Outlays, net (total)	15	17	17
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The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**Object Classification** (in millions of dollars)

Identification code 368-2800-0-1-554	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	9	9	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	2	3	3
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	16	17	17

**Employment Summary**

Identification code 368-2800-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment	76	73	73

**FEDERAL TRADE COMMISSION****Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, \$312,300,000, to remain available until expended: Provided, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: Provided further, That, notwithstanding any other provision of law, not to exceed \$136,000,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: Provided further, That, notwithstanding any other provision of law, not to exceed \$15,000,000 in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2020, so as to result in a final fiscal year 2020 appropriation from the general fund estimated at not more than \$161,300,000: Provided further, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 029-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Protect Consumers	102	168	172
0002 Maintain Competition	86	138	140
0192 Subtotal, direct program	188	306	312
0799 Total direct obligations	188	306	312
0803 Salaries and Expenses (Reimbursable)	138	1	1

0900	Total new obligations, unexpired accounts	326	307	313
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**Budgetary resources:**

<b>Unobligated balance:</b>				
1000	Unobligated balance brought forward, Oct 1	33	22	33
1021	Recoveries of prior year unpaid obligations	9		
1050	Unobligated balance (total)	42	22	33
<b>Budget authority:</b>				
<b>Appropriations, discretionary:</b>				
1100	Appropriation	168	166	161
<b>Spending authority from offsetting collections, discretionary:</b>				
1700	Offsetting collections (cash) - HSR	133	136	136
1700	Offsetting collections (cash) - Do Not Call	12	15	15
1700	Offsetting collections (cash) - Reimb	1	1	1
1701	Change in uncollected payments, Federal sources	-1		
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-7		
1750	Spending auth from offsetting collections, disc (total)	138	152	152
1900	Budget authority (total)	306	318	313
1930	Total budgetary resources available	348	340	346
<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year	22	33	33

**Change in obligated balance:**

<b>Unpaid obligations:</b>				
3000	Unpaid obligations, brought forward, Oct 1	76	74	89
3010	New obligations, unexpired accounts	326	307	313
3020	Outlays (gross)	-319	-292	-313
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3050	Unpaid obligations, end of year	74	89	89
<b>Uncollected payments:</b>				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070	Change in uncollected pymts, Fed sources, unexpired	1		
<b>Memorandum (non-add) entries:</b>				
3100	Obligated balance, start of year	75	74	89
3200	Obligated balance, end of year	74	89	89

**Budget authority and outlays, net:**

<b>Discretionary:</b>				
4000	Budget authority, gross	306	318	313
<b>Outlays, gross:</b>				
4010	Outlays from new discretionary authority	262	196	191
4011	Outlays from discretionary balances	57	96	122
4020	Outlays, gross (total)	319	292	313
<b>Offsets against gross budget authority and outlays:</b>				
<b>Offsetting collections (collected) from:</b>				
4030	Federal sources	-1	-1	-1
4034	Offsetting governmental collections	-145	-151	-151
4040	Offsets against gross budget authority and outlays (total)	-146	-152	-152
<b>Additional offsets against gross budget authority only:</b>				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4070	Budget authority, net (discretionary)	161	166	161
4080	Outlays, net (discretionary)	173	140	161
4180	Budget authority, net (total)	161	166	161
4190	Outlays, net (total)	173	140	161
<b>Memorandum (non-add) entries:</b>				
5090	Unexpired unavailable balance, SOY: Offsetting collections	26	33	33
5092	Unexpired unavailable balance, EOY: Offsetting collections	33	33	33

The FTC's mission is to protect consumers and competition by preventing anticompetitive, deceptive, and unfair business practices through law enforcement, advocacy, and education without unduly burdening legitimate business activity. The FTC's mission is based on a vision of a vibrant economy characterized by vigorous competition and consumer access to accurate information.

**Protect Consumers.**—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address deceptive or unfair practices that harm consumers; 2) Provide the public with knowledge and tools to prevent harm to consumers; and 3) Collaborate with domestic and international partners to enhance consumer protection.

**Promote Competition.**—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address anticompetitive mergers and practices that harm

SALARIES AND EXPENSES—Continued

consumers; 2) Engage in effective research and stakeholder outreach to promote competition, advance its understanding, and create awareness of its benefits to consumers; and 3) Collaborate with domestic partners and international partners to preserve and promote competition.

The 2020 Budget includes a program level for the Commission of \$312.3 million, funded by \$161.3 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$136 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$15 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

Object Classification (in millions of dollars)

Identification code 029-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	1	150	150
11.3 Other than full-time permanent .....	9		
11.5 Other personnel compensation .....	3	2	2
11.9 Total personnel compensation .....	13	152	152
12.1 Civilian personnel benefits .....	48	46	46
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	23	25	25
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	71	51	57
25.2 Other services from non-Federal sources .....	5	4	4
25.3 Other goods and services from Federal sources .....	8	7	7
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	8	7	7
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	3	3
99.0 Direct obligations .....	188	306	312
99.0 Reimbursable obligations .....	138	1	1
99.9 Total new obligations, unexpired accounts .....	326	307	313

Employment Summary

Identification code 029-0100-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,102	1,140	1,140
2001 Reimbursable civilian full-time equivalent employment .....	1	1	1

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Offsetting receipts from the public:</b>			
029-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	8		
General Fund Offsetting receipts from the public .....	8		

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Federal Funds

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Program and Financing (in millions of dollars)

Identification code 471-1770-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Comprehensive Plan Administrative Expense .....	1	1	1
0802 Comprehensive Plan Program Expenses .....	57	10	32
0803 Spill Impact Program and Projects .....	36	98	99

0900 Total new obligations, unexpired accounts .....	94	109	132
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	121	86	86
<b>Budget authority:</b>			
<b>Spending authority from offsetting collections, mandatory:</b>			
1800 Collected .....	58	109	465
1801 Change in uncollected payments, Federal sources .....	1		
1850 Spending auth from offsetting collections, mand (total) .....	59	109	465
1930 Total budgetary resources available .....	180	195	551
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	86	86	419
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	107	180	64
3010 New obligations, unexpired accounts .....	94	109	132
3020 Outlays (gross) .....	-21	-225	-97
3050 Unpaid obligations, end of year .....	180	64	99
<b>Uncollected payments:</b>			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-197	-198	-198
3070 Change in uncollected pymts, Fed sources, unexpired .....	-1		
3090 Uncollected pymts, Fed sources, end of year .....	-198	-198	-198
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	-90	-18	-134
3200 Obligated balance, end of year .....	-18	-134	-99
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	59	109	465
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	4	45	45
4101 Outlays from mandatory balances .....	17	180	52
4110 Outlays, gross (total) .....	21	225	97
<b>Offsets against gross budget authority and outlays:</b>			
<b>Offsetting collections (collected) from:</b>			
4120 Federal sources .....	-58	-109	-465
<b>Additional offsets against gross budget authority only:</b>			
4140 Change in uncollected pymts, Fed sources, unexpired .....	-1		
4170 Outlays, net (mandatory) .....	-37	116	-368
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-37	116	-368

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, or the RESTORE Act, dedicates 80 percent of any civil and administrative penalties paid under the Clean Water Act by responsible parties in connection with the Deepwater Horizon oil spill to the Gulf Coast Restoration Trust Fund (the Trust Fund). These funds may be used for ecosystem restoration, economic recovery, and tourism promotion in the Gulf Coast region.

In addition to establishing the Trust Fund, the RESTORE Act established the Gulf Coast Ecosystem Restoration Council (the Council). The Council has oversight over the expenditure of sixty percent of the funds made available from the Trust Fund. Thirty percent will be administered for restoration and protection according to the Comprehensive Plan developed by the Council. The other thirty percent will be allocated to the States according to a formula set forth in the RESTORE Act and spent according to individual State expenditure plans to contribute to the overall economic and ecological recovery of the Gulf. The Council includes the Governors of the States of Alabama, Florida, Louisiana, Mississippi and Texas and the Secretaries of the U.S. Departments of Agriculture, Army, Commerce, Homeland Security and the Interior, and the Administrator of the U.S. Environmental Protection Agency.

Object Classification (in millions of dollars)

Identification code 471-1770-0-1-452	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	2	2
25.3 Other goods and services from Federal sources .....	1	1	1
41.0 Grants, subsidies, and contributions .....	89	103	126

99.9	Total new obligations, unexpired accounts .....	94	109	132
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**Employment Summary**

Identification code 471-1770-0-1-452	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	18	21	21

**HARRY S TRUMAN SCHOLARSHIP FOUNDATION**

*Federal Funds*

SALARIES AND EXPENSES

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 372-0950-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Harry S Truman Scholarship Memorial Trust Fund .....	1	1	.....
0900 Total new obligations, unexpired accounts (object class 94.0) .....	1	1	.....
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	1	1	.....
1930 Total budgetary resources available .....	1	1	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	.....
3020 Outlays (gross) .....	-1	-1	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	.....
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	1	.....
4180 Budget authority, net (total) .....	1	1	.....
4190 Outlays, net (total) .....	1	1	.....

*Trust Funds*

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 372-8296-0-7-502	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	33	32	32
0198 Rounding adjustment .....	-1	.....	.....
0199 Balance, start of year .....	32	32	32
Receipts:			
Current law:			
1140 Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund .....	1	1	1
1140 General Fund Payment, Harry S Truman Scholarship Trust Fun .....	1	1	.....
1199 Total current law receipts .....	2	2	1
1999 Total receipts .....	2	2	1
2000 Total: Balances and receipts .....	34	34	33
Appropriations:			
Current law:			
2101 Harry S Truman Memorial Scholarship Trust Fund .....	-2	-2	-2
5099 Balance, end of year .....	32	32	31

**Program and Financing** (in millions of dollars)

Identification code 372-8296-0-7-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Scholarship awards .....	3	3	3
0900 Total new obligations, unexpired accounts .....	3	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	21	20	19
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	2	2	2
1930 Total budgetary resources available .....	23	22	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	19	18
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	1
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-2	-2
3050 Unpaid obligations, end of year .....	.....	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	1
3200 Obligated balance, end of year .....	.....	1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
4101 Outlays from mandatory balances .....	1	.....	.....
4110 Outlays, gross (total) .....	3	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	3	2	2
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	52	52	52
5001 Total investments, EOY: Federal securities: Par value .....	52	52	52

Public Law 93-642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. Appropriations in 1975 and 1976, totaling \$30 million, established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. For several years, the Foundation has also received appropriations that are deposited in the trust fund and available for obligation. The Budget proposes no new federal funding for the Foundation in FY 2020.

The Foundation awards scholarships for qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector. In its annual competition, the Foundation selects up to 60 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

**Object Classification** (in millions of dollars)

Identification code 372-8296-0-7-502	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	3	3

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND—Continued  
**Employment Summary**

Identification code 372-8296-0-7-502	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	5	5	5

**INDEPENDENT PAYMENT ADVISORY BOARD**

*Federal Funds*

INDEPENDENT PAYMENT ADVISORY BOARD

The Affordable Care Act established the Independent Payment Advisory Board. The Budget includes a package of proposals that would repeal the Independent Payment Advisory Board.

**INSTITUTE OF AMERICAN INDIAN AND ALASKA  
NATIVE CULTURE AND ARTS DEVELOPMENT**

*Federal Funds*

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498 (20 U.S.C. 56 part A), \$10,210,000, which shall become available on July 1, 2020, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 373-2900-0-1-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to the Institute .....	10	10	10
0900 Total new obligations, unexpired accounts (object class 41.0) .....	10	10	10
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	10
1930 Total budgetary resources available .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	10	10	10
3020 Outlays (gross) .....	-10	-10	-10
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	10	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority .....	10	10	10
4180 Budget authority, net (total) .....	10	10	10
4190 Outlays, net (total) .....	10	10	10

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES**

*Federal Funds*

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act (20 U.S.C. 9101 et seq.) [of 1996] and the National Museum of African American History and Culture Act (20 U.S.C. 80r et seq.), [\$242,000,000] and for the closure of the Institute of Museum and Library Services, \$23,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 474-0300-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Assistance for museums .....	38	35	.....
0002 Assistance for libraries .....	189	189	.....
0003 Administration .....	16	18	23
0900 Total new obligations, unexpired accounts .....	243	242	23
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	3
1021 Recoveries of prior year unpaid obligations .....	.....	1	1
1050 Unobligated balance (total) .....	2	2	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	240	242	23
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	1	1
1900 Budget authority (total) .....	242	243	24
1930 Total budgetary resources available .....	244	245	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	3	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	277	293	231
3010 New obligations, unexpired accounts .....	243	242	23
3020 Outlays (gross) .....	-225	-303	-184
3040 Recoveries of prior year unpaid obligations, unexpired .....	.....	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-2	.....	.....
3050 Unpaid obligations, end of year .....	293	231	69
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	277	293	231
3200 Obligated balance, end of year .....	293	231	69
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	242	243	24
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	74	8
4011 Outlays from discretionary balances .....	188	229	176
4020 Outlays, gross (total) .....	225	303	184
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-1	-1
4180 Budget authority, net (total) .....	240	242	23
4190 Outlays, net (total) .....	223	302	183

The Budget proposes to eliminate funding for several independent agencies, including the Institute of Museum and Library Services (IMLS), as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$23,000,000 to conduct an orderly closeout of IMLS beginning in fiscal year 2020.

**Object Classification** (in millions of dollars)

Identification code 474-0300-0-1-503	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	8	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services from non-Federal sources .....	6	7	13
41.0 Grants, subsidies, and contributions .....	227	224	.....

99.9	Total new obligations, unexpired accounts .....	243	242	23
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**Employment Summary**

Identification code 474-0300-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	69	69	69

**INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT**

*Federal Funds*

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, **[\$522,424,000] \$558,000,000.** (Department of Defense Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 467-0401-0-1-054	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>				
0001	Intelligence community management .....	495	522	558
0801	Intelligence Community Management Account (Reimbursable) .....	44	45	45
0900	Total new obligations, unexpired accounts .....	539	567	603

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	538	522	558
1120	Appropriations transferred to other acts (097-0100) .....	-16		
1160	Appropriation, discretionary (total) .....	522	522	558
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	35	45	45
1701	Change in uncollected payments, Federal sources .....	9		
1750	Spending auth from offsetting collections, disc (total) .....	44	45	45
1900	Budget authority (total) .....	566	567	603
1930	Total budgetary resources available .....	566	568	604
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-26		
1941	Unexpired unobligated balance, end of year .....	1	1	1

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	258	236	179
3010	New obligations, unexpired accounts .....	539	567	603
3011	Obligations ("upward adjustments"), expired accounts .....	6		
3020	Outlays (gross) .....	-529	-624	-599
3041	Recoveries of prior year unpaid obligations, expired .....	-38		
3050	Unpaid obligations, end of year .....	236	179	183
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-9	-11	-11
3070	Change in uncollected pymts, Fed sources, unexpired .....	-9		
3071	Change in uncollected pymts, Fed sources, expired .....	7		
3090	Uncollected pymts, Fed sources, end of year .....	-11	-11	-11
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	249	225	168
3200	Obligated balance, end of year .....	225	168	172

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	566	567	603
Outlays, gross:				
4010	Outlays from new discretionary authority .....	389	437	463
4011	Outlays from discretionary balances .....	140	187	136
4020	Outlays, gross (total) .....	529	624	599
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-43	-45	-45
4040	Offsets against gross budget authority and outlays (total) .....	-43	-45	-45
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired .....	-9		
4052	Offsetting collections credited to expired accounts .....	8		
4060	Additional offsets against budget authority only (total) .....	-1		

4070	Budget authority, net (discretionary) .....	522	522	558
4080	Outlays, net (discretionary) .....	486	579	554
4180	Budget authority, net (total) .....	522	522	558
4190	Outlays, net (total) .....	486	579	554

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in leading intelligence integration, coordinating cross-program activities, and improving budget oversight. The ICMA funds selected oversight elements such as the National Intelligence Council, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

**Object Classification** (in millions of dollars)

Identification code 467-0401-0-1-054	2018 actual	2019 est.	2020 est.
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Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	104	112	115
11.5	Other personnel compensation .....	9	9	9
11.9	Total personnel compensation .....	113	121	124
12.1	Civilian personnel benefits .....	32	34	38
21.0	Travel and transportation of persons .....	9	9	10
22.0	Transportation of things .....	5	5	5
23.1	Rental payments to GSA .....	2	2	2
23.3	Communications, utilities, and miscellaneous charges .....	3	3	3
24.0	Printing and reproduction .....	3	3	3
25.1	Advisory and assistance services .....	236	267	277
25.2	Other services from non-Federal sources .....	14	14	20
25.3	Other goods and services from Federal sources .....	25	20	26
25.4	Operation and maintenance of facilities .....	9	6	7
25.5	Research and development contracts .....	2	2	2
25.6	Medical care .....	2	2	2
25.7	Operation and maintenance of equipment .....	34	29	34
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	3	3	3
99.0	Direct obligations .....	494	522	558
99.0	Reimbursable obligations .....	45	45	45
99.9	Total new obligations, unexpired accounts .....	539	567	603

**Employment Summary**

Identification code 467-0401-0-1-054	2018 actual	2019 est.	2020 est.
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1001	Direct civilian full-time equivalent employment .....	754	776	812
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**INTERNATIONAL TRADE COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, \$91,100,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 034-0100-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Research, investigations, and reports .....	95	94	92
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
1021 Recoveries of prior year unpaid obligations .....	2		
1050 Unobligated balance (total) .....	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	94	94	91
1930 Total budgetary resources available .....	96	95	92
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	25	6
3010 New obligations, unexpired accounts .....	95	94	92
3020 Outlays (gross) .....	-86	-113	-92
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2		
3050 Unpaid obligations, end of year .....	25	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	25	6
3200 Obligated balance, end of year .....	25	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	94	94	91
Outlays, gross:			
4010 Outlays from new discretionary authority .....	72	88	86
4011 Outlays from discretionary balances .....	14	25	6
4020 Outlays, gross (total) .....	86	113	92
4180 Budget authority, net (total) .....	94	94	91
4190 Outlays, net (total) .....	86	113	92

The U.S. International Trade Commission (Commission) is an independent, nonpartisan Federal agency with broad investigative responsibilities on matters of trade. In accordance with its statutory mandate, the Commission investigates and makes determinations in proceedings involving imports claimed to injure a domestic industry or violate U.S. intellectual property rights; provides independent analysis and information on tariffs, trade, and competitiveness; and maintains the U.S. tariff schedule.

For FY 2020, the Commission requests an appropriation of \$101.0 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President. The Administration's FY 2020 request for the Commission is \$91.1 million, reflected in the Appendix table and appropriations language.

Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan for FY 2018-2022 sets two strategic goals that cover its programmatic responsibilities. The agency's goal to make sound, objective, and timely determinations in trade remedy proceedings focuses on its import injury and unfair import investigative responsibilities. The agency's goal to produce independent, objective, and timely analysis and information on tariffs, trade, and competitiveness encompasses two areas. First, it focuses on the agency's role to independently provide the highest caliber of information and analysis to U.S. policymakers in a timely manner to assist them when they are securing benefits to the United States in trade negotiations and when they enact legislation or take other policy actions that affect the U.S. economy and industry competitiveness. The Commission's analysis of industry competitiveness has expanded with new responsibilities for evaluating miscellaneous tariff bill (MTB) petitions and making recommendations to Congress under the American Manufacturing Competitiveness Act of 2016 (AMCA). Second, it focuses on the responsibility to maintain the Harmonized Tariff Schedule (HTS) of the United States. The Commission also set a management goal

to efficiently and effectively advance the agency's mission. The agency's focus is on four functional areas—human resources; budget, acquisitions, and finance; information technology; and processes and communications—as they play a critical role in supporting programmatic activities.

The Strategic Plan identifies strategic objectives for each strategic or management goal, strategies to meet these objectives, and specific performance goals. The performance goals provide the basis by which the agency can assess whether it is making progress toward its strategic objectives.

The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Plan, Annual Performance Report, and Budget Justification at [https://www.usitc.gov/strategic\\_plan.htm](https://www.usitc.gov/strategic_plan.htm).

Object Classification (in millions of dollars)

Identification code 034-0100-0-1-153	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	42	44	43
11.3 Other than full-time permanent .....	6	7	6
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	49	52	50
12.1 Civilian personnel benefits .....	16	16	16
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	8	7	10
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services from non-Federal sources .....	3	1	1
25.3 Other goods and services from Federal sources .....	4	3	
25.7 Operation and maintenance of equipment .....	7	5	7
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	3	4	3
32.0 Land and structures .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	95	94	92

Employment Summary

Identification code 034-0100-0-1-153	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	393	402	398

JAMES MADISON MEMORIAL FELLOWSHIP  
FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 381-8282-0-7-502	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1140 Earnings on Investments, James Madison Memorial Fellowship Foundation .....	2	2	2
2000 Total: Balances and receipts .....	2	2	2
Appropriations:			
Current law:			
2101 James Madison Memorial Fellowship Trust Fund .....	-2	-2	-2
5099 Balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 381-8282-0-7-502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Fellowship awards .....	2	1	1
0002 Program administration .....		1	1
0900 Total new obligations, unexpired accounts .....	2	2	2
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	39	39	39

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	2	2
1930	Total budgetary resources available .....	41	41	41
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	39	39	39
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	2	2	2
3020	Outlays (gross) .....	-2	-2	-2
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	2	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	2	2	2
4180	Budget authority, net (total) .....	2	2	2
4190	Outlays, net (total) .....	2	2	2
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	37	37	37
5001	Total investments, EOY: Federal securities: Par value .....	37	37	37

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the Foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

**Object Classification** (in millions of dollars)

Identification code 381-8282-0-7-502	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	2	2	2

**Employment Summary**

Identification code 381-8282-0-7-502	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	2	3	3

**JAPAN-UNITED STATES FRIENDSHIP COMMISSION**

**Trust Funds**

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 382-8025-0-7-154	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	38	36	36

Receipts:				
Current law:				
1140	Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission .....	1	3	3
2000	Total: Balances and receipts .....	39	39	39
Appropriations:				
Current law:				
2101	Japan-United States Friendship Trust Fund .....	-3	-3	-3
5099	Balance, end of year .....	36	36	36

**Program and Financing** (in millions of dollars)

Identification code 382-8025-0-7-154	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Grants .....	2	2	
0002	Administration .....	1	1	
0900	Total new obligations, unexpired accounts .....	3	3	3
<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	3	3	3
1930	Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3010	New obligations, unexpired accounts .....	3	3	3
3020	Outlays (gross) .....	-3	-3	-2
3050	Unpaid obligations, end of year .....			1
Memorandum (non-add) entries:				
3200	Obligated balance, end of year .....			1
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	3	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority .....	3	3	2
4180	Budget authority, net (total) .....	3	3	3
4190	Outlays, net (total) .....	3	3	2
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	33	36	36
5001	Total investments, EOY: Federal securities: Par value .....	36	36	36

The Japan-U.S. Friendship Commission was established as an independent Federal Government agency by the United States Congress in 1975 (P.L. 94-118) to strengthen the U.S.-Japan relationship through educational, cultural, and intellectual exchange. It administers a U.S. Government trust fund that originated in connection with the return to the Japanese government of certain U.S. facilities in Okinawa and for postwar U.S. assistance to Japan. The Commission is allowed to make expenditures from the fund in an amount, not to exceed five percent annually of the fund's original principal, to pay Commission expenses and to make grants to support its mission. The Commission is a grant making agency that supports research, education, public affairs and exchange with Japan. Its mission is to support reciprocal people-to-people understanding, and to promote partnerships that advance common interests between Japan and United States.

**Object Classification** (in millions of dollars)

Identification code 382-8025-0-7-154	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	3	3

**LEGAL SERVICES CORPORATION**

*Federal Funds*

**PAYMENT TO THE LEGAL SERVICES CORPORATION**

For payment to the Legal Services Corporation, authorized by the Legal Services Corporation Act of 1974, \$18,200,000, to be used only for the closure of the Legal Services Corporation: Provided, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by section 5304 of title 5, United States Code, notwithstanding section 1005(d) of the Legal Services Corporation Act (42 U.S.C. 2996(d)): Provided further, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation: Provided further, That, for the purposes of section 504 of this Act, the Legal Services Corporation shall be considered an agency of the United States Government.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 020–0501–0–1–752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Legal Services Corporation .....	427	413	18
0900 Total new obligations, unexpired accounts (object class 41.0) .....	427	413	18
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	3	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	425	410	18
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	.....	.....
1900 Budget authority (total) .....	428	410	18
1930 Total budgetary resources available .....	430	413	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	3	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	53	35	37
3010 New obligations, unexpired accounts .....	427	413	18
3020 Outlays (gross) .....	–445	–411	–50
3050 Unpaid obligations, end of year .....	35	37	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	53	35	37
3200 Obligated balance, end of year .....	35	37	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	428	410	18
Outlays, gross:			
4010 Outlays from new discretionary authority .....	390	376	16
4011 Outlays from discretionary balances .....	55	35	34
4020 Outlays, gross (total) .....	445	411	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	–3	.....	.....
4180 Budget authority, net (total) .....	425	410	18
4190 Outlays, net (total) .....	442	411	50

The Budget proposes to eliminate Federal funding for several independent entities, including the Legal Services Corporation (LSC), as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$18.2 million to conduct an orderly closeout of LSC in 2020.

**ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION**

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119,

and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to 2019 and 2020, respectively.

**MARINE MAMMAL COMMISSION**

*Federal Funds*

**SALARIES AND EXPENSES**

For necessary expenses of the Marine Mammal Commission authorized by title II of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), for the purposes of the Marine Mammal Commission's closure, \$2,449,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 387–2200–0–1–302	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	3	2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	2	2
1930 Total budgetary resources available .....	3	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	3	2	2
3020 Outlays (gross) .....	–3	–2	–2
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	2	2
4180 Budget authority, net (total) .....	3	2	2
4190 Outlays, net (total) .....	3	2	2

The Marine Mammal Commission is charged by the Marine Mammal Protection Act of 1972 to further the conservation of marine mammals and their environment. It provides independent, science-based oversight of domestic and international policies and actions of Federal agencies addressing human impacts on marine mammals and their ecosystems.

The Budget proposes to eliminate several independent agencies, including the Commission, as part of the Administration's plans to move the Nation towards fiscal responsibility. The Budget requests \$2.4 million to conduct an orderly closeout of the agency beginning in 2020.

**Object Classification** (in millions of dollars)

Identification code 387–2200–0–1–302	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	.....	.....
99.9 Total new obligations, unexpired accounts .....	3	2	2

**Employment Summary**

Identification code 387-2200-0-1-302	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	12	12	12

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, \$39,920,500, to remain available until September 30, 2021, and in addition not to exceed \$2,345,000, to remain available until September 30, 2021, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 389-0100-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Adjudication .....	38	37	33
0002 Merit systems studies .....	2	3	3
0003 Management support .....	4	4	4
0799 Total direct obligations .....	44	44	40
0801 Salaries and Expenses (Reimbursable) .....	2	2	2
0900 Total new obligations, unexpired accounts .....	46	46	42
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	44	44	40
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	2
1900 Budget authority (total) .....	46	46	42
1930 Total budgetary resources available .....	52	52	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	6
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	6
3010 New obligations, unexpired accounts .....	46	46	42
3020 Outlays (gross) .....	-46	-46	-43
3050 Unpaid obligations, end of year .....	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	6
3200 Obligated balance, end of year .....	6	6	5
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	46	46	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	37	42	39
4011 Outlays from discretionary balances .....	9	4	4
4020 Outlays, gross (total) .....	46	46	43
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-2	-2	-2
4180 Budget authority, net (total) .....	44	44	40
4190 Outlays, net (total) .....	44	44	41

The Merit Systems Protection Board (MSPB) is an independent agency in the executive branch of the Federal Government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Office of Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the executive branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when the Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal Government. The Pendleton Act grew out of the 19th century reform movement to curtail the excesses of political patronage in Government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by the Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: OPM, the Federal Labor Relations Authority, and MSPB. MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of OPM.

**Object Classification** (in millions of dollars)

Identification code 389-0100-0-1-805	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	27	27	25
12.1 Civilian personnel benefits .....	8	8	7
23.1 Rental payments to GSA .....	4	4	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
25.3 Other goods and services from Federal sources .....	2	2	2
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	44	44	40
99.0 Reimbursable obligations .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	46	46	42

**Employment Summary**

Identification code 389-0100-0-1-805	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	211	220	195
2001 Reimbursable civilian full-time equivalent employment .....	15	15	15

**MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION**

**Federal Funds**

MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION

**Program and Financing** (in millions of dollars)

Identification code 479-2994-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Military Compensation and Retirement Modernization Commission (Direct) .....	4		
0900 Total new obligations, unexpired accounts (object class 25.1) .....	4		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4		

MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION—Continued  
Program and Financing—Continued

Identification code 479–2994–0–1–054	2018 actual	2019 est.	2020 est.
1930 Total budgetary resources available .....	4		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	11	4	
3010 New obligations, unexpired accounts .....	4		
3020 Outlays (gross) .....	-11	-4	
3050 Unpaid obligations, end of year .....	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	11	4	
3200 Obligated balance, end of year .....	4		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....	11	4	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	11	4	

The purpose of the Military Compensation and Retirement Modernization Commission was to conduct a review of the military compensation and retirement systems. In 2015, the Commission provided its recommendations to Congress and the President on how to modernize the compensation and retirement systems.

**MORRIS K. UDALL AND STEWART L. UDALL  
FOUNDATION**

*Federal Funds*

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$1,800,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102–259 and section 817(a) of Public Law 106–568 (20 U.S.C. 5604(7)).

Provided, That all current and previous amounts transferred to the Office of Inspector General of the Department of the Interior will remain available until expended for audits and investigations of the Morris K. Udall and Stewart L. Udall Foundation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for annual independent financial audits of the Morris K. Udall and Stewart L. Udall Foundation pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107–289): Provided further, That previous amounts transferred to the Office of Inspector General of the Department of the Interior may be transferred to the Morris K. Udall and Stewart L. Udall Foundation for annual independent financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107–289).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 487–0900–0–1–502	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	2	2	2
0900 Total new obligations, unexpired accounts (object class 94.0) .....	2	2	2
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	2
1930 Total budgetary resources available .....	2	2	2

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	2	2	2
3020 Outlays (gross) .....	-2	-2	-2

**Budget authority and outlays, net:**

Discretionary:			
Outlays, gross:			
4000 Budget authority, gross .....	2	2	2
4010 Outlays from new discretionary authority .....	2	2	2
4180 Budget authority, net (total) .....	2	2	2
4190 Outlays, net (total) .....	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation is authorized to award scholarships and fellowships and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$3,200,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 487–0925–0–1–306	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Environmental dispute resolution fund .....	7	7	7
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	7	7
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	8	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	4	4
1900 Budget authority (total) .....	6	7	7
1930 Total budgetary resources available .....	14	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	2	2
3010 New obligations, unexpired accounts .....	7	7	7
3020 Outlays (gross) .....	-7	-7	-7
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	1	1
3200 Obligated balance, end of year .....	1	1	1

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross .....	3	3
Outlays, gross:			
4010	Outlays from new discretionary authority .....	2	3
4011	Outlays from discretionary balances .....	1	
4020	Outlays, gross (total) .....	3	3
Mandatory:			
4090	Budget authority, gross .....	3	4
Outlays, gross:			
4100	Outlays from new mandatory authority .....	3	4
4101	Outlays from mandatory balances .....	1	
4110	Outlays, gross (total) .....	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources .....	-3	-3
4123	Non-Federal sources .....		-1
4130	Offsets against gross budget authority and outlays (total) ....	-3	-4
4170	Outlays, net (mandatory) .....	1	
4180	Budget authority, net (total) .....	3	3
4190	Outlays, net (total) .....	4	3

In 1998, Public Law 105–56 established the U.S. Institute for Environmental Conflict Resolution (U.S. Institute) as a part of the Udall Foundation. The U.S. Institute provides impartial collaboration, consensus-building, and conflict resolution services on a wide range of environmental, natural and cultural resources, Tribal, and public lands issues involving the Federal Government. The U.S. Institute's work enhances project efficiency, reduces costs, increases government capacity to serve citizens, increases the likelihood of avoiding litigation, and delivers better and more durable outcomes. The U.S. Institute's range of services include consultations, assessments, process design, convening, mediation, facilitation, training, stakeholder engagement, and other related collaboration and conflict resolution activities. The U.S. Institute specializes in providing assistance with national and regionally important environmental challenges; multiparty high-conflict cases where an impartial Federal convener is needed to broker participation in a collaborative process or conflict resolution effort; collaborative efforts involving Tribes and Native people, including government-to-government consultation between Tribes and Federal agencies; interagency and interdepartmental collaborations; issues involving multiple levels of government (Federal, State, local, tribal) and the public; issues that require substantive expertise (e.g., National Environmental Policy Act, transportation infrastructure projects, endangered species, cultural resources); and projects that require funding from multiple agencies and/or private organizations.

**Object Classification** (in millions of dollars)

Identification code 487–0925–0–1–306	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	3	3
99.0 Direct obligations .....	3	3	3
99.0 Reimbursable obligations .....	4	4	4
99.9 Total new obligations, unexpired accounts .....	7	7	7

**Employment Summary**

Identification code 487–0925–0–1–306	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	29	29	29

**Trust Funds**

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 487–8615–0–7–502	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	48	49	51
Receipts:			
Current law:			
1140 General Fund Payments, Morris K. Udall Scholarship Fund ....	2	2	2

1140	Interest on Investments, Morris K. Udall Scholarship Fund ....	2	2	2
1199	Total current law receipts .....	4	4	4
1999	Total receipts .....	4	4	4
2000	Total: Balances and receipts .....	52	53	55
Appropriations:				
Current law:				
2101	Morris K. Udall and Stewart L. Udall Foundation .....	-2	-2	-2
5098	Rounding adjustment .....	-1		
5099	Balance, end of year .....	49	51	53

**Program and Financing** (in millions of dollars)

Identification code 487–8615–0–7–502	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation .....	4	2	2
0900	Total new obligations, unexpired accounts (object class 41.0) .....	4	2	2

**Budgetary resources:**

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2		
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	2	2	2
1930	Total budgetary resources available .....	4	2	2

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		2	2
3010	New obligations, unexpired accounts .....	4	2	2
3020	Outlays (gross) .....	-2	-2	-2
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		2	2
3200	Obligated balance, end of year .....	2	2	2

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	2	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1	2	2
4101	Outlays from mandatory balances .....	1		
4110	Outlays, gross (total) .....	2	2	2
4180	Budget authority, net (total) .....	2	2	2
4190	Outlays, net (total) .....	2	2	2

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	31	31	31
5001	Total investments, EOY: Federal securities: Par value .....	31	31	31

Public Law 102–259 established the Udall Foundation to award scholarships, fellowships, and internships for study related to the environment, and to Native Americans, and Alaska Natives in fields related to health care and tribal public policy; provide funding to the Udall Center for Studies in Public Policy and to the Native Nations Institute to conduct environmental policy research, research on Native American and Alaska Native health care issues and tribal public policy issues, and training; and provide assessment, mediation, training, and other related services through the U.S. Institute for Environmental Conflict Resolution. In 2019, the Udall Foundation will award 50 scholarships and up to 12 Native American Congressional Internships. During a ten-week period in Washington, D.C., the interns will gain practical experience with the Federal legislative process to understand first-hand the relationship between Tribes and the Federal Government.

## NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

### Federal Funds OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, \$345,609,000, of which \$22,000,000 shall remain available until expended for the repair and alteration of the National Archives facility in College Park, Maryland and related improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

Identification code 088–0300–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Legislative Archives, Presidential Libraries, and Museum			
Services .....	108	101	89
0002 Citizen Services .....	108	106	103
0003 Agency and Related Services .....	82	85	73
0004 Facility Operations .....	52	64	59
0005 Archives II Facility .....	4	2	.....
0006 Financial Transfer .....	25	27	.....
0007 Electronic Records Initiative .....	.....	.....	22
0799 Total direct obligations .....	379	385	346
0888 Operating Expenses (Reimbursable) .....	1	2	1
0900 Total new obligations, unexpired accounts .....	380	387	347
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	385	385	346
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	2	2	1
1700 Offsetting collections (cash applied to repay debt) .....	25	27	.....
1726 Spending authority from offsetting collections applied to repay debt .....	-25	-27	.....
1750 Spending auth from offsetting collections, disc (total) .....	2	2	1
1900 Budget authority (total) .....	387	387	347
1930 Total budgetary resources available .....	389	396	356
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	91	88	108
3010 New obligations, unexpired accounts .....	380	387	347
3011 Obligations ("upward adjustments"), expired accounts .....	2	.....	.....
3020 Outlays (gross) .....	-380	-367	-338
3041 Recoveries of prior year unpaid obligations, expired .....	-5	.....	.....
3050 Unpaid obligations, end of year .....	88	108	117
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	91	88	108
3200 Obligated balance, end of year .....	88	108	117
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	387	387	347
Outlays, gross:			
4010 Outlays from new discretionary authority .....	300	291	261
4011 Outlays from discretionary balances .....	80	76	77
4020 Outlays, gross (total) .....	380	367	338
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-27	-29	-1
4040 Offsets against gross budget authority and outlays (total) ....	-27	-29	-1

4180 Budget authority, net (total) .....	360	358	346
4190 Outlays, net (total) .....	353	338	337

This appropriation provides for the operation of the Federal Government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public.

**Legislative Archives, Presidential Libraries, and Museum Services.**—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to the Congress and the White House; the Presidential Libraries of fourteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Museum in Washington, DC.

**Citizen Services.**—This activity provides for public access to and engagement with permanently valuable Federal Government records by the researcher community and the general public at public research rooms, on-line at [www.archives.gov](http://www.archives.gov), and through innovative tools and technology to support collaboration with the public.

**Agency and Related Services.**—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the Electronic Records Archives system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

**Facility Operations.**—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the National Archives building at College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

**Electronic Records Initiative.**— This activity provides for expenses necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records.

#### Object Classification (in millions of dollars)

Identification code 088–0300–0–1–804	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	135	137	132
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	137	139	134
12.1 Civilian personnel benefits .....	45	45	43
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	.....	.....	1
23.1 Rental payments to GSA .....	8	9	10
23.2 Rental payments to others .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	13	12	12
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	12	8	6
25.2 Other services from non-Federal sources .....	27	25	41
25.3 Other goods and services from Federal sources .....	20	21	16
25.4 Operation and maintenance of facilities .....	29	31	28
25.7 Operation and maintenance of equipment .....	41	39	37
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	11	13	11
32.0 Land and structures .....	1	8	.....
43.0 Interest and dividends .....	4	2	.....
94.0 Financial transfers .....	25	27	.....
99.0 Direct obligations .....	379	385	346
99.0 Reimbursable obligations .....	1	2	1
99.9 Total new obligations, unexpired accounts .....	380	387	347

#### Employment Summary

Identification code 088–0300–0–1–804	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,491	1,491	1,408

2001 Reimbursable civilian full-time equivalent employment .....	28	34	28
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REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, \$7,500,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 088–0302–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Repairs and Restoration (Direct) .....	9	8	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	9	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	8	5
3010 New obligations, unexpired accounts .....	9	8	8
3020 Outlays (gross) .....	–9	–11	–10
3050 Unpaid obligations, end of year .....	8	5	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	8	5
3200 Obligated balance, end of year .....	8	5	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	6	6
4011 Outlays from discretionary balances .....	7	5	4
4020 Outlays, gross (total) .....	9	11	10
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	9	11	10

This appropriation provides for the repair, alteration, and improvement of National Archives facilities and Presidential Libraries nationwide. Funding provided allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal Government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 088–0302–0–1–804	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	1		
32.0 Land and structures .....	8	8	8
99.9 Total new obligations, unexpired accounts .....	9	8	8

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

GRANTS PROGRAM

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 088–0301–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 National Historical Publications and Records Commission (Direct) .....	7	7	
0900 Total new obligations, unexpired accounts (object class 41.0) .....	7	7	

OFFICE OF THE INSPECTOR GENERAL—NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for the hire of passenger motor vehicles, \$4,801,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 088–0305–0–1–804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Office of Inspector General .....	4	5	5
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	5
1930 Total budgetary resources available .....	5	5	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	–1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	2
3010 New obligations, unexpired accounts .....	4	5	5
3020 Outlays (gross) .....	–4	–4	–5
3050 Unpaid obligations, end of year .....	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	2
3200 Obligated balance, end of year .....	1	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority .....	4	4	4
4011 Outlays from discretionary balances .....			1
4020 Outlays, gross (total) .....		4	5
4180 Budget authority, net (total) .....	5	5	5
4190 Outlays, net (total) .....	4	4	5

The Office of Inspector General (OIG) provides independent audits, investigations, and other services; and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The OIG investigates misconduct, evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

Object Classification (in millions of dollars)

Identification code 088–0305–0–1–804	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	4	5	5

Employment Summary

Identification code 088–0305–0–1–804	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	19	24	24

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION—Continued  
Program and Financing—Continued

Identification code 088-0301-0-1-804	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	6	6
1930	Total budgetary resources available	8	7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7	9
3010	New obligations, unexpired accounts	7	7
3020	Outlays (gross)	-5	-7
3050	Unpaid obligations, end of year	9	9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	7	9
3200	Obligated balance, end of year	9	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000	Budget authority, gross	6	6
Outlays, gross:			
4011	Outlays from discretionary balances	5	7
4180	Budget authority, net (total)	6	6
4190	Outlays, net (total)	5	7

The National Historical Publications and Records Commission (NHPRC) grants program provides for grants to preserve and publish non-Federal records that document American history. The Budget does not request funds for this program.

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 088-4578-0-4-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801	Records Center Revolving Fund (Reimbursable)	196	195
<b>Budgetary resources:</b>			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	64	73
1021	Recoveries of prior year unpaid obligations	4	2
1050	Unobligated balance (total)	68	75
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected	193	193
1701	Change in uncollected payments, Federal sources	8	
1750	Spending auth from offsetting collections, disc (total)	201	193
1930	Total budgetary resources available	269	268
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	73	74
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	27	29
3010	New obligations, unexpired accounts	196	195
3020	Outlays (gross)	-190	-193
3040	Recoveries of prior year unpaid obligations, unexpired	-4	-2
3050	Unpaid obligations, end of year	29	29
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-43	-51
3070	Change in uncollected pymts, Fed sources, unexpired	-8	
3090	Uncollected pymts, Fed sources, end of year	-51	-51
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-16	-22
3200	Obligated balance, end of year	-22	-23

Budget authority and outlays, net:

Identification code 088-4578-0-4-804	2018 actual	2019 est.	2020 est.
Discretionary:			
4000	Budget authority, gross	201	193
Outlays, gross:			
4010	Outlays from new discretionary authority	172	168
4011	Outlays from discretionary balances	18	25
4020	Outlays, gross (total)	190	193
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-191	-191
4033	Non-Federal sources	-2	-2
4040	Offsets against gross budget authority and outlays (total)	-193	-193
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-8	
4080	Outlays, net (discretionary)	-3	
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-3	

This full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal Government records.

Object Classification (in millions of dollars)

Identification code 088-4578-0-4-804	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	62	66
11.3	Other than full-time permanent	1	1
11.5	Other personnel compensation	5	4
11.9	Total personnel compensation	68	71
12.1	Civilian personnel benefits	24	25
21.0	Travel and transportation of persons	1	1
22.0	Transportation of things	2	2
23.1	Rental payments to GSA	44	44
23.2	Rental payments to others	12	12
23.3	Communications, utilities, and miscellaneous charges	5	5
25.1	Advisory and assistance services	3	3
25.2	Other services from non-Federal sources	9	3
25.3	Other goods and services from Federal sources	12	12
25.7	Operation and maintenance of equipment	11	11
26.0	Supplies and materials	1	1
31.0	Equipment	4	5
99.9	Total new obligations, unexpired accounts	196	195

Employment Summary

Identification code 088-4578-0-4-804	2018 actual	2019 est.	2020 est.
2001	Reimbursable civilian full-time equivalent employment	1,189	1,201

Trust Funds

NATIONAL ARCHIVES GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 088-8127-0-7-804	2018 actual	2019 est.	2020 est.
0100	Balance, start of year		
Receipts:			
Current law:			
1130	Gifts and Bequests, National Archives Gift Fund	2	4
1130	Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1
1130	Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1
1199	Total current law receipts	4	6
1999	Total receipts	4	6
2000	Total: Balances and receipts	4	6
Appropriations:			
Current law:			
2101	National Archives Gift Fund	-4	-6

Program and Financing (in millions of dollars)			
Identification code 088-8127-0-7-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 National Archives Gift Fund (Reimbursable) .....	3	6	5
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	3	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	4	6	4
1930 Total budgetary resources available .....	7	10	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	3	6	5
3020 Outlays (gross) .....	-3	-6	-4
3050 Unpaid obligations, end of year .....	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	2
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	4	6	4
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	5	3
4101 Outlays from mandatory balances .....	1	1	1
4110 Outlays, gross (total) .....	3	6	4
4180 Budget authority, net (total) .....	4	6	4
4190 Outlays, net (total) .....	3	6	4
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	3	4	4
5001 Total investments, EOY: Federal securities: Par value .....	4	4	4
5010 Total investments, SOY: non-Fed securities: Market value .....	25	25	25
5011 Total investments, EOY: non-Fed securities: Market value .....	25	25	25

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset a portion of the operating costs of Presidential Libraries.

**Object Classification (in millions of dollars)**

Identification code 088-8127-0-7-804	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources .....	1	4	3
33.0 Investments and loans .....	1	1	1
94.0 Financial transfers .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	6	5

**NATIONAL ARCHIVES TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 088-8436-0-8-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Sales .....	5	5	5
0802 Presidential libraries .....	12	13	11
0900 Total new obligations, unexpired accounts .....	17	18	16
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	6	6
1021 Recoveries of prior year unpaid obligations .....	1	1	1

1050 Unobligated balance (total) .....	6	7	7
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	17	17	18
1930 Total budgetary resources available .....	23	24	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	6	6	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	3
3010 New obligations, unexpired accounts .....	17	18	16
3020 Outlays (gross) .....	-16	-17	-18
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	3	3	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	3	3
3200 Obligated balance, end of year .....	3	3	.....
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	17	17	18
Outlays, gross:			
4100 Outlays from new mandatory authority .....	14	14	15
4101 Outlays from mandatory balances .....	2	3	3
4110 Outlays, gross (total) .....	16	17	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1	-1	-1
4123 Non-Federal sources .....	-16	-16	-17
4130 Offsets against gross budget authority and outlays (total) ....	-17	-17	-18
4170 Outlays, net (mandatory) .....	-1	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-1	.....	.....
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	7	8	8
5001 Total investments, EOY: Federal securities: Par value .....	8	8	8
5010 Total investments, SOY: non-Fed securities: Market value .....	50	58	58
5011 Total investments, EOY: non-Fed securities: Market value .....	58	58	58

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited to the National Archives Trust Fund (44 U.S.C. 2112, 2307).

**Object Classification (in millions of dollars)**

Identification code 088-8436-0-8-804	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	3	5	3
25.3 Other goods and services from Federal sources .....	1	1	1
26.0 Supplies and materials .....	2	1	1
32.0 Land and structures .....	1	1	.....
33.0 Investments and loans .....	4	4	5
99.9 Total new obligations, unexpired accounts .....	17	18	16

NATIONAL ARCHIVES TRUST FUND—Continued  
**Employment Summary**

Identification code 088-8436-0-8-804	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	60	61	62

GENERAL FUND RECEIPT ACCOUNTS  
(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
088-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....	5		
General Fund Offsetting receipts from the public .....	5		

**NATIONAL CAPITAL PLANNING COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$7,948,000: Provided, That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 394-2500-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	8	8	8
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	8
1930 Total budgetary resources available .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	3
3010 New obligations, unexpired accounts .....	8	8	8
3020 Outlays (gross) .....	-8	-7	-8
3050 Unpaid obligations, end of year .....	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	3
3200 Obligated balance, end of year .....	2	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	7	7	7
4011 Outlays from discretionary balances .....	1		1
4020 Outlays, gross (total) .....	8	7	8
4180 Budget authority, net (total) .....	8	8	8
4190 Outlays, net (total) .....	8	7	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives, policy-making, and review of development proposals, NCPC helps guide Federal development while preserving the Capital City's unique resources. NCPC will continue to work with the District of Columbia and Federal and regional partners to develop compre-

hensive policies and planning initiatives that support the Federal interest and contribute to the best urban design, infrastructure, resource, and land-use outcomes for the Region. In addition, NCPC will continue to ensure that all Federal development in the Region meets the highest design standards and will review Federal plans for regional capital improvements.

**Object Classification** (in millions of dollars)

Identification code 394-2500-0-1-451	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	8	8	8

**Employment Summary**

Identification code 394-2500-0-1-451	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	33	33	33

**NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE**

*Federal Funds*

NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE

**Program and Financing** (in millions of dollars)

Identification code 236-2978-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....	5		
0900 Total new obligations, unexpired accounts (object class 25.1) .....	5		
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15	10	10
1930 Total budgetary resources available .....	15	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	10	10	10
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		5	
3010 New obligations, unexpired accounts .....	5		
3020 Outlays (gross) .....		-5	
3050 Unpaid obligations, end of year .....	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		5	
3200 Obligated balance, end of year .....	5		
<b>Budget authority and outlays, net:</b>			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances .....		5	
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....		5	

**NATIONAL COUNCIL ON DISABILITY**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, [ \$3,250,000 ] \$3,450,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 413-3500-0-1-506	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	3	2	2
0002 Other services from non-Federal sources .....	1	1	1
0900 Total new obligations, unexpired accounts .....	3	3	3
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	3	3	3
1930 Total budgetary resources available .....	3	3	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	3	3
4180 Budget authority, net (total) .....	3	3	3
4190 Outlays, net (total) .....	3	3	3

The National Council on Disability (NCD), an independent Federal agency, is composed of nine members appointed by the President and the Congress. Established under the Rehabilitation Act of 1973, as amended by the Workforce Innovation and Opportunity Act, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President; the Congress; the Rehabilitation Services Administration; the National Institute on Disability, Independent Living, and Rehabilitation Research; and other Federal Departments and agencies.

**Object Classification** (in millions of dollars)

Identification code 413-3500-0-1-506	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
99.0 Direct obligations .....	2	2	2
99.5 Adjustment for rounding .....	1	1	1
99.9 Total new obligations, unexpired accounts .....	3	3	3

**Employment Summary**

Identification code 413-3500-0-1-506	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	11	11	11

**NATIONAL CREDIT UNION ADMINISTRATION**

*Federal Funds*  
OPERATING FUND

**Program and Financing** (in millions of dollars)

Identification code 025-4056-0-3-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Safety and Soundness .....	198	218	221
0803 Regulation and Consumer Protection .....	26	30	32
0804 Mission support .....	71	74	76

0805 Office of Inspector General .....	4	4	4
0900 Total new obligations, unexpired accounts .....	299	326	333
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	118	114	114
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	308	326	333
1801 Change in uncollected payments, Federal sources .....	-13		
1850 Spending auth from offsetting collections, mand (total) .....	295	326	333
1930 Total budgetary resources available .....	413	440	447
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	114	114	114

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	38	59	45
3010 New obligations, unexpired accounts .....	299	326	333
3020 Outlays (gross) .....	-278	-340	-348
3050 Unpaid obligations, end of year .....	59	45	30
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-63	-50	-50
3070 Change in uncollected pymts, Fed sources, unexpired .....	13		
3090 Uncollected pymts, Fed sources, end of year .....	-50	-50	-50
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-25	9	-5
3200 Obligated balance, end of year .....	9	-5	-20

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	295	326	333
Outlays, gross:			
4100 Outlays from new mandatory authority .....	187	310	316
4101 Outlays from mandatory balances .....	91	30	32
4110 Outlays, gross (total) .....	278	340	348
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-174	-183	-189
4121 Interest on Federal securities .....	-2	-1	-1
4123 Non-Federal sources .....	-2		
4124 Offsetting governmental collections .....	-130	-142	-143
4130 Offsets against gross budget authority and outlays (total) ....	-308	-326	-333
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired .....	13		
4170 Outlays, net (mandatory) .....	-30	14	15
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-30	14	15

<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	87	117	120
5001 Total investments, EOY: Federal securities: Par value .....	117	120	122

The mission of the National Credit Union Administration (NCUA) is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Credit unions are member-owned, cooperative associations organized for the purpose of promoting thrift and creating a source of credit for members. As of September 30, 2018, there were 3,421 federally-chartered credit unions with total assets of more than \$749 billion.

NCUA, through its Operating Fund, conducts activities prescribed by the Federal Credit Union Act of 1934, which include: 1) chartering new Federal credit unions; 2) approving field of membership applications of Federal credit unions; 3) promulgating regulations and providing guidance; 4) performing regulatory compliance and safety and soundness examinations; 5) implementing and administering enforcement actions, such as prohibition orders, orders to cease and desist, orders of conservatorship and orders of liquidation; and 6) administering the National Credit Union Share Insurance Fund (SIF), which provides insurance to Federal credit unions (FCUs) and federally-insured state-chartered credit unions (FISCUs).

To better demonstrate how the NCUA's budget is used to achieve its strategic goals, the Operating Fund's obligations by program activity are presented in new categories in the 2020 Budget. Amounts shown for "Safety and Soundness" correspond to programs that contribute to the NCUA's

OPERATING FUND—Continued

goal to "Ensure a Safe and Sound Credit Union System." Amounts shown for "Regulation and Consumer Protection" correspond to programs that contribute to the NCUA's goal to "Provide a Regulatory Framework that is Transparent, Efficient, and Improves Customer Access." Amounts shown for "Mission Support" correspond to programs that contribute to the NCUA's goal to "Maximize Organizational Performance to Enable Mission Success."

NCUA funds its activities through operating fees levied on all FCUs, and through reimbursements from the SIF, which is funded by FCUs and FISCUs.

Object Classification (in millions of dollars)

Identification code 025-4056-0-3-373	2018 actual	2019 est.	2020 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent .....	148	160	166
11.9 Total personnel compensation .....	148	160	166
12.1 Civilian personnel benefits .....	58	63	66
21.0 Travel and transportation of persons .....	24	26	28
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
25.2 Other services from non-Federal sources .....	24	27	24
25.3 Other goods and services from Federal sources .....	7	8	8
25.4 Operation and maintenance of facilities .....	3	4	4
25.7 Operation and maintenance of equipment .....	18	18	18
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	3	6	5
99.9 Total new obligations, unexpired accounts .....	299	326	333

Employment Summary

Identification code 025-4056-0-3-373	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	1,113	1,178	1,178

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 025-4468-0-3-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Payments to the Operating Fund for services and facilities .....	183	183	189
0802 Other Administrative Expenses .....	8	8	8
0803 Working Capital .....	505	25	29
0804 Liquidation Expenses .....	805	126	146
0805 NCUA Guaranteed Notes program .....	466	.....	492
0806 Distribution .....	736	.....	.....
0900 Total new obligations, unexpired accounts .....	2,703	342	864
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	13,046	14,613	15,472
1011 Unobligated balance transfer from other acct [025-4477] .....	1,887	.....	.....
1050 Unobligated balance (total) .....	14,933	14,613	15,472
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	2,371	1,201	1,555
1801 Change in uncollected payments, Federal sources .....	12	.....	.....
1850 Spending auth from offsetting collections, mand (total) .....	2,383	1,201	1,555
1930 Total budgetary resources available .....	17,316	15,814	17,027
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	14,613	15,472	16,163
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	59	282	282
3010 New obligations, unexpired accounts .....	2,703	342	864
3020 Outlays (gross) .....	-2,481	-342	-864
3031 Unpaid obligations transferred from other accts [025-4477] .....	1	.....	.....
3050 Unpaid obligations, end of year .....	282	282	282

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-60	-72	-72
3070	Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
3090	Uncollected pymts, Fed sources, end of year .....	-72	-72	-72
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	-1	210	210
3200	Obligated balance, end of year .....	210	210	210

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross .....	2,383	1,201	1,555
Outlays, gross:				
4100	Outlays from new mandatory authority .....	1,676	74	864
4101	Outlays from mandatory balances .....	805	268	.....
4110	Outlays, gross (total) .....	2,481	342	864
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-1	.....	.....
4121	Interest on Federal securities .....	-275	-327	-424
4123	Non-Federal sources .....	-1,442	-874	-1,131
4124	Offsetting governmental collections .....	-653	.....	.....
4130	Offsets against gross budget authority and outlays (total) .....	-2,371	-1,201	-1,555
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-12	.....	.....
4170	Outlays, net (mandatory) .....	110	-859	-691
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	110	-859	-691

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value .....	13,089	14,895	15,754
5001	Total investments, EOY: Federal securities: Par value .....	14,895	15,754	16,445

Status of Guaranteed Loans (in millions of dollars)

Identification code 025-4468-0-3-373	2018 actual	2019 est.	2020 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	125	4,696	2,929
2231	Disbursements of new guaranteed loans .....	217	4	4
2251	Repayments and prepayments .....	-1,868	-1,771	-879
Adjustments:				
2264	Other adjustments, net .....	6,222	.....	.....
2264	Other adjustments, net .....	.....	.....	.....
2290	Outstanding, end of year .....	4,696	2,929	2,054
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	4,696	2,929	2,054

The primary purpose of the National Credit Union Share Insurance Fund (SIF) is to provide insurance for deposits of member accounts (also known as insured member shares) for over 115 million members in federally-chartered credit unions and state-chartered credit unions that qualify for insurance under the Federal Credit Union Act. As of September 30, 2018, 5,436 state and Federal credit unions were insured by the SIF with insured member shares of \$1.14 trillion—an increase of \$52 billion, or five percent, year-on-year.

Following a cost allocation method that distributes NCUA costs between its insurance and regulatory functions, the SIF reimburses the NCUA Operating Fund for its share of administrative costs. In calendar year 2017, the SIF paid reimbursements of \$190 million to the Operating Fund.

On September 28, 2017, the NCUA Board voted unanimously to close the Temporary Corporate Credit Union Stabilization Fund (TCCUSF) effective October 1, 2017, ahead of its sunset date of June 30, 2021. Pursuant to the Helping Families Save Their Homes Act of 2009 (P.L. 111-22), the TCCUSF's remaining funds, property, and other assets were distributed to the SIF. Through the distribution, the SIF assumed the activities and obligations of the TCCUSF, including NCUA Guaranteed Notes (NGN).

As of September 30, 2018, the outstanding principal balance of the NGNs was \$4.6 billion. This amount represents the maximum potential, but not the expected cost, of future guaranteed payments that NCUA could be required to make under the program. The NCUA currently anticipates \$2.7 billion in NGN guarantee payments to be made through 2021, when the final NGNs mature. NCUA currently estimates that after the NGNs expire,

the amount of receivables stemming from claims on the estates of failed corporate credit unions will be equal to or greater than the amount of guarantee payments paid by the SIF to NGN Trusts.

At its December 2018 meeting, the NCUA Board voted to lower the equity ratio's Normal Operating Level from 1.39 percent to 1.38 percent.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

**Object Classification** (in millions of dollars)

Identification code 025-4468-0-3-373	2018 actual	2019 est.	2020 est.
<b>Reimbursable obligations:</b>			
25.2 Other services from non-Federal sources .....	190	191	197
42.0 Working Capital .....	765	25	29
42.0 Liquidation Expenses .....	1,012	126	146
44.0 Estimated Distributions .....	736	.....	492
99.9 Total new obligations, unexpired accounts .....	2,703	342	864

TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND

**Program and Financing** (in millions of dollars)

Identification code 025-4477-0-3-373	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7,887	.....	.....
1010 Unobligated balance transfer to other accts [025-4468] .....	-1,887	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-6,000	.....	.....
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3030 Unpaid obligations transferred to other accts [025-4468] .....	-1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	.....	.....	.....

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 025-4477-0-3-373	2018 actual	2019 est.	2020 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	6,222	.....	.....
2251 Repayments and prepayments .....	-6,222	.....	.....
2290 Outstanding, end of year .....	.....	.....	.....
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	.....	.....	.....

The Temporary Corporate Credit Union Stabilization Fund (TCCUSF) was created by the Helping Families Save Their Homes Act of 2009 (P.L. 111-22). The TCCUSF was established to accrue the losses of corporate credit unions during the 2008 financial crisis and to recover these losses over time through mitigation efforts and assessments on Federally-insured credit unions (FICUs). FICUs paid assessments totaling \$4.8 billion before September 28, 2017, when the NCUA Board voted unanimously to close the Stabilization Fund, effective October 1, 2017. The TCCUSF was closed before its statutory sunset in 2021.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

CENTRAL LIQUIDITY FACILITY

**Program and Financing** (in millions of dollars)

Identification code 025-4470-0-3-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Administration .....	1	1	1

0809 Reimbursable program activities, subtotal .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	290	310	331
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program) .....	21	.....	.....
1800 Collected (subscribed stock) .....	.....	22	23
1850 Spending auth from offsetting collections, mand (total) .....	21	22	23
1930 Total budgetary resources available .....	311	332	354
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	310	331	353

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	-1	-1	-1
3200 Obligated balance, end of year .....	-1	-1	-1

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	21	22	23
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-5	-1	-1
4123 Non-Federal sources .....	-16	-21	-22
4130 Offsets against gross budget authority and outlays (total) .....	-21	-22	-23
4170 Outlays, net (mandatory) .....	-20	-21	-22
4180 Budget authority, net (total) .....	.....	.....	.....
4190 Outlays, net (total) .....	-20	-21	-22

**Memorandum (non-add) entries:**

5000 Total investments, SOY: Federal securities: Par value .....	289	309	326
5001 Total investments, EOY: Federal securities: Par value .....	309	326	346

The purpose of the Central Liquidity Facility (CLF), established under Title III of the Federal Credit Union Act, is to improve the general financial stability of member credit unions by lending, subject to statutory limitations, to member credit unions experiencing unusual or unexpected liquidity shortfalls. The two primary sources of funds for the CLF are stock subscriptions from member credit unions and borrowings from the Federal Financing Bank. The borrowing authority of the CLF is limited by statute to 12 times the subscribed capital stock and surplus (retained earnings) which equates to \$7 billion as of September 30, 2018.

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 025-4472-0-3-373	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Technical assistance .....	1	2	.....
0801 Loans .....	2	2	2
0900 Total new obligations, unexpired accounts .....	3	4	2

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	5	7	7
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	1	1	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	2	.....

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued  
Program and Financing—Continued

Identification code 025-4472-0-3-373	2018 actual	2019 est.	2020 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	3	2	2
1900 Budget authority (total) .....	5	4	2
1930 Total budgetary resources available .....	10	11	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	7	7
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010 New obligations, unexpired accounts .....	3	4	2
3020 Outlays (gross) .....	-3	-4	-2
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	2	2	
Outlays, gross:			
4010 Outlays from new discretionary authority .....		2	
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	1	2	
Mandatory:			
4090 Budget authority, gross .....	3	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority .....	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-3	-2	-2
4180 Budget authority, net (total) .....	2	2	
4190 Outlays, net (total) .....	2	2	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	4	5	4
5001 Total investments, EOY: Federal securities: Par value .....	5	4	4

Status of Direct Loans (in millions of dollars)

Identification code 025-4472-0-3-373	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	11	10	8
1231 Disbursements: Direct loan disbursements .....	2	2	2
1251 Repayments: Repayments and prepayments .....	-3	-4	-1
1290 Outstanding, end of year .....	10	8	9

The Community Development Revolving Loan Fund (CDRLF) was established by Congress in 1979 with a \$6 million appropriation to assist credit unions serving low-income communities to: 1) provide financial services to their communities; 2) stimulate economic activities in their communities, resulting in increased income and employment; and 3) operate more efficiently. CDRLF funds a revolving loan program and a technical assistance program.

For the revolving loan program, CDRLF had outstanding loans of \$9.7 million (26 loans outstanding to 26 credit unions) as of September 30, 2018. In October 2018, NCUA awarded \$2 million in technical assistance grants to help 203 low-income credit unions improve digital services and security, increase outreach to underserved communities, and train employees.

The Budget does not request CDRLF discretionary appropriations for 2019.

Object Classification (in millions of dollars)

Identification code 025-4472-0-3-373	2018 actual	2019 est.	2020 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	3	1
33.0 Reimbursable obligations: Investments and loans .....	1	1	1
99.0 Reimbursable obligations .....	1	1	1

99.9 Total new obligations, unexpired accounts .....	3	4	2
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NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the closure of the National Endowment for the Arts, established under the National Foundation on the Arts and the Humanities Act of 1965, \$29,333,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 417-0100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the arts .....	121	123	
0003 Program support .....	2	2	
0004 Salaries and expenses .....	30	32	29
0799 Total direct obligations .....	153	157	29
0801 Reimbursable program activity .....	1	1	
0900 Total new obligations, unexpired accounts .....	154	158	29
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	8	4
1021 Recoveries of prior year unpaid obligations .....	2	1	1
1050 Unobligated balance (total) .....	9	9	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	153	153	29
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	3	1	
1701 Change in uncollected payments, Federal sources .....	-3	-1	
1900 Budget authority (total) .....	153	153	29
1930 Total budgetary resources available .....	162	162	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	8	4	5
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	144	151	138
3010 New obligations, unexpired accounts .....	154	158	29
3020 Outlays (gross) .....	-145	-170	-137
3040 Recoveries of prior year unpaid obligations, unexpired .....	-2	-1	-1
3050 Unpaid obligations, end of year .....	151	138	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-1	
3070 Change in uncollected pymts, Fed sources, unexpired .....	3	1	
3090 Uncollected pymts, Fed sources, end of year .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	140	150	138
3200 Obligated balance, end of year .....	150	138	29
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	153	153	29
Outlays, gross:			
4010 Outlays from new discretionary authority .....	47	52	28
4011 Outlays from discretionary balances .....	98	118	109
4020 Outlays, gross (total) .....	145	170	137
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	3	1	
4070 Budget authority, net (discretionary) .....	153	153	29
4080 Outlays, net (discretionary) .....	142	169	137
4180 Budget authority, net (total) .....	153	153	29
4190 Outlays, net (total) .....	142	169	137

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Arts. The Budget requests \$29 million to conduct an orderly closeout of the agency beginning in fiscal year 2020.

**Object Classification** (in millions of dollars)

Identification code 417-0100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	15	12
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	15	17	14
12.1 Civilian personnel benefits .....	5	5	4
13.0 Benefits for former personnel .....			5
23.1 Rental payments to GSA .....	3	3	4
25.1 Advisory and assistance services .....	3	3	
25.2 Other services from non-Federal sources .....	4	4	1
25.3 Other goods and services from Federal sources .....	2	3	1
41.0 Grants, subsidies, and contributions .....	121	122	
99.0 Direct obligations .....	153	157	29
99.5 Adjustment for rounding .....	1	1	
99.9 Total new obligations, unexpired accounts .....	154	158	29

**Employment Summary**

Identification code 417-0100-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	137	146	110

**Trust Funds**

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 417-8040-0-7-503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Gifts and Donations, National Endowment for the Arts .....		1	1
<b>Proposed:</b>			
1230 Gifts and Donations, National Endowment for the Arts .....			-1
1999 Total receipts .....		1	
2000 Total: Balances and receipts .....		1	
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Gifts and Donations, National Endowment for the Arts .....		-1	-1
<b>Proposed:</b>			
2201 Gifts and Donations, National Endowment for the Arts .....			1
2999 Total appropriations .....		-1	
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 417-8040-0-7-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Permanent authority .....		1	1
0900 Total new obligations, unexpired accounts (object class 99.5) .....		1	1
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....		1	1
1930 Total budgetary resources available .....	2	3	3
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....		1	1

3020 Outlays (gross) .....	-1	-1	
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1	1	
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1	1	
4180 Budget authority, net (total) .....	1	1	
4190 Outlays, net (total) .....	1	1	

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
<b>Enacted/requested:</b>			
Budget Authority .....		1	1
Outlays .....		1	1
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-1
Outlays .....			-1
<b>Total:</b>			
Budget Authority .....		1	
Outlays .....		1	

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 417-8040-4-7-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0102 Permanent authority .....			-1
0900 Total new obligations, unexpired accounts (object class 25.2) .....			-1
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....			-1
1930 Total budgetary resources available .....			-1
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....			-1
3020 Outlays (gross) .....			1
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....			-1
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....			-1
4180 Budget authority, net (total) .....			-1
4190 Outlays, net (total) .....			-1

**NATIONAL ENDOWMENT FOR THE HUMANITIES**

**Federal Funds**

GRANTS AND ADMINISTRATION

*For expenses necessary to carry out the closure of the National Endowment for the Humanities, including for administration of awards made prior to September 30, 2019, and satisfaction and administration of offers made prior to September 30, 2019, pursuant to the matching grants program authorized under sections 10(a)(2), 11(a)(2)(B), and 11(a)(3)(B) of the Act, \$37,890,808, to remain available until September 30, 2024.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 418-0200-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....	118	123	17
0004 Administration .....	26	26	21

GRANTS AND ADMINISTRATION—Continued  
Program and Financing—Continued

Identification code 418-0200-0-1-503	2018 actual	2019 est.	2020 est.
0900 Total new obligations, unexpired accounts .....	144	149	38
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	20	27
1021 Recoveries of prior year unpaid obligations .....	1	2	2
1050 Unobligated balance (total) .....	10	22	29
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	153	153	38
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	.....
1900 Budget authority (total) .....	154	154	38
1930 Total budgetary resources available .....	164	176	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	27	29

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	144	142	129
3010 New obligations, unexpired accounts .....	144	149	38
3020 Outlays (gross) .....	-145	-160	-97
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-2	-2
3050 Unpaid obligations, end of year .....	142	129	68
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year .....	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	143	141	128
3200 Obligated balance, end of year .....	141	128	67

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	154	154	38
Outlays, gross:			
4010 Outlays from new discretionary authority .....	61	76	19
4011 Outlays from discretionary balances .....	84	84	78
4020 Outlays, gross (total) .....	145	160	97
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	.....
4040 Offsets against gross budget authority and outlays (total) .....	-1	-1	.....
4180 Budget authority, net (total) .....	153	153	38
4190 Outlays, net (total) .....	144	159	97

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Humanities. The Budget requests, \$37,890,808 to conduct an orderly closeout of the NEH beginning in fiscal year 2020. Of this amount, \$20,701,088 is for salaries and expenses necessary to monitor grants that will remain open as of October 1, 2019 and to plan and carry out the agency's closure; and \$17,189,720 is for funds to honor matching offers made by NEH prior to October 1, 2019.

Object Classification (in millions of dollars)

Identification code 418-0200-0-1-503	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	14	15	5
11.9 Total personnel compensation .....	14	15	5
12.1 Civilian personnel benefits .....	5	4	2
13.0 Benefits for former personnel .....	.....	.....	10
23.1 Rental payments to GSA .....	3	3	3
25.2 Other services from non-Federal sources .....	4	4	1
41.0 Grants, subsidies, and contributions .....	118	123	17
99.9 Total new obligations, unexpired accounts .....	144	149	38

Employment Summary

Identification code 418-0200-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	127	144	37

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 418-8050-0-7-503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Humanities .....	.....	1	1
Proposed:			
1230 Gifts and Donations, National Endowment for the Humanities .....	.....	.....	-1
1999 Total receipts .....	.....	1	.....
2000 Total: Balances and receipts .....	.....	1	.....
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Humanities .....	.....	-1	-1
Proposed:			
2201 Gifts and Donations, National Endowment for the Humanities .....	.....	.....	1
2999 Total appropriations .....	.....	-1	.....
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 418-8050-0-7-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	1	1	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	.....	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	.....	1	1
1930 Total budgetary resources available .....	1	1	1

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	1	1	1
3020 Outlays (gross) .....	-1	-1	-1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	.....	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	.....	1	1
4101 Outlays from mandatory balances .....	1	.....	.....
4110 Outlays, gross (total) .....	1	1	1
4180 Budget authority, net (total) .....	.....	1	1
4190 Outlays, net (total) .....	1	1	1

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	.....	1	1
Outlays .....	1	1	1
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	-1
Outlays .....	.....	.....	-1
Total:	.....	1	.....

Outlays .....	1	1	.....
<b>GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES</b>			
(Legislative proposal, subject to PAYGO)			
<b>Program and Financing</b> (in millions of dollars)			
Identification code 418-8050-4-7-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Promotion of the humanities .....			-1
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-1
1930 Total budgetary resources available .....			-1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-1
3020 Outlays (gross) .....			1
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-1
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-1
4180 Budget authority, net (total) .....			-1
4190 Outlays, net (total) .....			-1

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	274	274	242
1930 Total budgetary resources available .....	274	274	242
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	25	34	20
3010 New obligations, unexpired accounts .....	271	274	242
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-262	-288	-239
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	34	20	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	25	34	20
3200 Obligated balance, end of year .....	34	20	23
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	274	274	242
Outlays, gross:			
4010 Outlays from new discretionary authority .....	239	252	223
4011 Outlays from discretionary balances .....	23	36	16
4020 Outlays, gross (total) .....	262	288	239
4180 Budget authority, net (total) .....	274	274	242
4190 Outlays, net (total) .....	262	288	239

The National Labor Relations Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

	2018 actual	2019 est.	2020 est.
<b>Case intake:</b>			
Unfair labor practice cases .....	18,871	18,900	18,900
Representation cases .....	2,090	2,100	2,100
<b>Administrative law judges:</b>			
Hearings closed .....	146	150	150
Decisions issued .....	150	150	150
<b>Board adjudication:</b>			
Contested Board decisions issued .....	236	240	240
Regional director decisions .....	181	185	185
Board decisions requiring court enforcement .....	52	55	55

**Casehandling (formerly Field investigations in 2015 and earlier).**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 90 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases. Unlike other Federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

**Internal Review.**—Office of the Inspector General.

**Mission Support.**—Previously spread across other program activities; includes administrative, personnel, and financial management functions conducted in the Headquarters office.

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: Provided further, That funds from non-appropriated sources may be used as necessary for official reception and representation expenses.

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, **[\$274,224,000] \$241,550,000:** Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 420-0100-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Casehandling .....	191	191	172
0002 Administrative Law Judges .....	6	6	5
0003 Board Adjudication .....	13	13	13
0005 Internal Review .....	1	1	1
0006 Mission Support .....	60	63	51
0900 Total new obligations, unexpired accounts .....	271	274	242

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 420-0100-0-1-505	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	160	165	152
12.1 Civilian personnel benefits .....	50	52	40
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	22	21	20
23.3 Communications, utilities, and miscellaneous charges .....	7	7	5
25.2 Other services from non-Federal sources .....	25	22	20
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	4	4	2
99.9 Total new obligations, unexpired accounts .....	271	274	242

Employment Summary

Identification code 420-0100-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,319	1,320	1,280

ADMINISTRATIVE PROVISIONS

SEC. 407. None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

NATIONAL MEDIATION BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, \$13,800,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

Program and Financing (in millions of dollars)

Identification code 421-2400-0-1-505	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Mediator services .....	6	7	7
0002 Representation services .....	2	3	3
0003 Arbitration services .....	4	4	4
0900 Total new obligations, unexpired accounts .....	12	14	14
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	14	14	14
1930 Total budgetary resources available .....	14	14	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	4	1
3010 New obligations, unexpired accounts .....	12	14	14
3020 Outlays (gross) .....	-12	-17	-14
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	4	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	4	1
3200 Obligated balance, end of year .....	4	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	8	13	13

4011 Outlays from discretionary balances .....	4	4	1
4020 Outlays, gross (total) .....	12	17	14
4180 Budget authority, net (total) .....	14	14	14
4190 Outlays, net (total) .....	12	17	14

*Mediator and alternative dispute resolution (ADR) services.*—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2018 actual	2019 est.	2020 est.
<b>Mediation &amp; ADR cases:</b>			
Pending, start of year .....	121	136	141
Received during year .....	80	85	86
Closed during year .....	65	80	77
Pending, end of year .....	136	141	150

*Employee Representation.*—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2018 actual	2019 est.	2020 est.
<b>Representation cases:</b>			
Pending, start of year .....	5	7	5
Received during year .....	30	23	30
Closed during year .....	28	25	33
Pending, end of year .....	7	5	2
Freedom of Information Act (FOIA) requests received .....	25	28	30
Investigation cases closed .....	23	32	33

*Emergency disputes.*—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2018 actual	2019 est.	2020 est.
<b>Board created:</b>			
Emergency (sec. 160) .....	0	1	1
Emergency (sec. 159a) .....	0	2	1

*Arbitration services.*—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2018 actual	2019 est.	2020 est.
<b>Arbitration cases:</b>			
Pending, start of year .....	8,550	6,408	9,633
Received during year .....	4,280	4,262	4,262
Closed during year .....	6,422	3,737	3,737
Pending, end of year .....	6,408	6,933	7,458

Object Classification (in millions of dollars)

Identification code 421-2400-0-1-505	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	6	7	7
11.8 Special personal services payments .....	2	2	2

11.9	Total personnel compensation .....	8	9	9
12.1	Civilian personnel benefits .....	2	2	2
23.1	Rental payments to GSA .....	1	1	1
25.2	Other services from non-Federal sources .....	1	2	2
99.0	Direct obligations .....	12	14	14
99.9	Total new obligations, unexpired accounts .....	12	14	14

**Employment Summary**

Identification code 421-2400-0-1-505	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	51	51	51

**NATIONAL RAILROAD PASSENGER CORPORATION  
OFFICE OF INSPECTOR GENERAL**

*Federal Funds*

SALARIES AND EXPENSES

*For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, \$23,274,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: Provided further, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: Provided further, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within the Corporation: Provided further, That concurrent with the President's budget request for fiscal year 2021, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2021 in similar format and substance to those submitted by executive agencies of the Federal Government.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 575-2996-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Amtrak IG .....	23	23	23
0900 Total new obligations, unexpired accounts (object class 41.0) .....	23	23	23
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	23	23	23
1930 Total budgetary resources available .....	23	23	23
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	5	2
3010 New obligations, unexpired accounts .....	23	23	23
3020 Outlays (gross) .....	-21	-26	-25
3050 Unpaid obligations, end of year .....	5	2	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	3	5	2
3200 Obligated balance, end of year .....	5	2	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	23	23	23
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	23	23
4011 Outlays from discretionary balances .....	2	3	2
4020 Outlays, gross (total) .....	21	26	25

4180 Budget authority, net (total) .....	23	23	23
4190 Outlays, net (total) .....	21	26	25

The 2020 Budget proposes \$23.274 million for the National Railroad Passenger Corporation (Amtrak) Office of the Inspector General.

**NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE**

*Federal Funds*

EXPENSES, NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

The National Security Commission on Artificial Intelligence (NSCAI), an independent Federal Agency, is composed of fifteen members appointed by select heads of key cabinet Departments along with key Congressional stakeholders. Established by section 1051 of P.L. 115-232, the NSCAI is responsible for assessing and recommending the competitiveness of the United States in artificial intelligence, machine learning, and other associated technologies, including matters related to national security, defense, public-private partnership and investments. The NSCAI also makes recommendations on the means and methods, international competitiveness, investments and risks, and the means and methods that the United States can leverage going forward to support this evolving technology.

**NATIONAL TRANSPORTATION SAFETY BOARD**

*Federal Funds*

SALARIES AND EXPENSES

*For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), \$110,400,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 424-0310-0-1-407	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Policy and Direction .....	12	14	14
0002 Communications .....	8	8	8
0003 Aviation Safety .....	32	32	32
0004 Information Technology and Services .....	10	8	8
0005 Research and Engineering .....	12	13	13
0006 NTSB Training Center .....	1	1	1
0007 Administrative Law Judges .....	2	2	2
0008 Highway Safety .....	9	9	9
0009 Marine Safety .....	5	5	5
0010 Railroad, Pipeline, and Hazardous Materials Safety .....	9	9	9
0011 Administrative Support .....	9	9	9
0100 Sub-total, Direct obligations .....	109	110	110
0799 Total direct obligations .....	109	110	110
0806 Training Center .....	.....	1	1
0899 Total reimbursable obligations .....	.....	1	1
0900 Total new obligations, unexpired accounts .....	109	111	111
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	110	110	110
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 424-0310-0-1-407	2018 actual	2019 est.	2020 est.
1900 Budget authority (total) .....	111	111	111
1930 Total budgetary resources available .....	118	119	119
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	8	8	8
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	17	21	22
3010 New obligations, unexpired accounts .....	109	111	111
3020 Outlays (gross) .....	-104	-110	-111
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	21	22	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	17	21	22
3200 Obligated balance, end of year .....	21	22	22
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	111	111	111
Outlays, gross:			
4010 Outlays from new discretionary authority .....	91	89	89
4011 Outlays from discretionary balances .....	13	21	22
4020 Outlays, gross (total) .....	104	110	111
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....		-1	-1
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-1	-1	-1
4070 Budget authority, net (discretionary) .....	110	110	110
4080 Outlays, net (discretionary) .....	103	109	110
4180 Budget authority, net (total) .....	110	110	110
4190 Outlays, net (total) .....	103	109	110

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2020, the Administration proposes a total funding level of \$110.4 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 424-0310-0-1-407	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	51	53	53
11.3 Other than full-time permanent .....	2	3	3
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	55	59	59
12.1 Civilian personnel benefits .....	18	18	19
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	10	10	10
23.2 Rental payments to others .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	18	14	13
31.0 Equipment .....	1	2	2
99.0 Direct obligations .....	109	110	110
99.0 Reimbursable obligations .....		1	1
99.9 Total new obligations, unexpired accounts .....	109	111	111

Employment Summary

Identification code 424-0310-0-1-407	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	403	423	423

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 424-0311-0-1-407	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
1930 Total budgetary resources available .....	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The National Transportation Safety Board is mandated by the Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2020.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$27,400,000: Provided, That such funds may be used only to prepare for the discontinuation of federal funding, including but not limited to costs related to personnel, management of existing grants, and the termination of ongoing programs.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 082-1300-0-1-451	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment for operations and grants .....	140	140	
0003 Wind-down Activities .....			27
0900 Total new obligations, unexpired accounts (object class 41.0) .....	140	140	27
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	140	140	27
1930 Total budgetary resources available .....	140	140	27
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	140	140	27
3020 Outlays (gross) .....	-140	-140	-27
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	140	140	27
Outlays, gross:			
4010 Outlays from new discretionary authority .....	140	140	27
4180 Budget authority, net (total) .....	140	140	27
4190 Outlays, net (total) .....	140	140	27

The Neighborhood Reinvestment Corporation (NRC), doing business as "NeighborWorks America," was established by Federal charter in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide.

**NORTHERN BORDER REGIONAL COMMISSION**

*Federal Funds*

NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses [necessary for] of the Northern Border Regional Commission, [in carrying out activities] as authorized by subtitle V of title 40, United States Code, [\$20,000,000] \$850,000, [to remain available until expended: Provided, That such amounts shall be available for administrative expenses,] notwithstanding section 15751(b) of title 40, United States Code: Provided [further], That [during fiscal year 2019, the duties and authority of the Federal Cochairperson shall be assumed by the Northern Border Regional Commission Program Director if the position of the Federal Cochairperson and Alternate Federal Cochairperson is vacant] such amounts shall be available only for the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 573-3742-0-1-452	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Northern Border Regional Commission .....	12	20	1
0900 Total new obligations, unexpired accounts (object class 41.0) .....	12	20	1
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	15	20	1
1930 Total budgetary resources available .....	16	24	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	4	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	18	26	15
3010 New obligations, unexpired accounts .....	12	20	1
3020 Outlays (gross) .....	-4	-31	-7
3050 Unpaid obligations, end of year .....	26	15	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	18	26	15
3200 Obligated balance, end of year .....	26	15	9
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	15	20	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	16	1
4011 Outlays from discretionary balances .....	3	15	6
4020 Outlays, gross (total) .....	4	31	7
4180 Budget authority, net (total) .....	15	20	1
4190 Outlays, net (total) .....	4	31	7

The Budget proposes to eliminate funding for several independent agencies, including the Northern Border Regional Commission (NBRC). The Budget requests \$850,000 to conduct an orderly closeout of the agency in fiscal year 2020, which includes sufficient funding for personnel costs during shutdown activities; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

**Employment Summary**

Identification code 573-3742-0-1-452	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3	3	3

**NUCLEAR REGULATORY COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For expenses necessary for the Commission in carrying out the purposes of the Energy Reorganization Act of 1974 and the Atomic Energy Act of 1954, [\$898,350,000] \$907,765,000, including official representation expenses not to exceed \$25,000, to remain available until expended: Provided, That of the amount appropriated herein, \$38,529,000 shall be derived from the Nuclear Waste Fund: Provided further, That of the amount appropriated herein, not more than [\$9,500,000] \$10,500,000 may be made available for salaries, travel, and other support costs for the Office of the Commission, to remain available until September 30, [2020] 2021 [ , of which, notwithstanding section 201(a)(2)(c) of the Energy Reorganization Act of 1974 (42 U.S.C. 5841(a)(2)(c)), the use and expenditure shall only be approved by a majority vote of the Commission]: Provided further, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$770,477,000] \$748,669,000 in fiscal year [2019] 2020 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That of the amounts appropriated under this heading, not less than [\$10,300,000] \$15,478,000 shall be for activities related to the development of regulatory infrastructure for advanced nuclear reactor technologies, [and \$16,080,000 shall be for international activities, except that the amounts provided under this proviso shall not be derived from fee revenues,] except that the amounts provided under this proviso shall not be derived from fee revenues, notwithstanding 42 U.S.C. 2214: Provided further, That of the amounts appropriated under this heading, \$6,451,000 of the amount used for international activities shall not be derived from fee revenues, notwithstanding 42 U.S.C. 2214: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2019] 2020 so as to result in a final fiscal year [2019] 2020 appropriation estimated at not more than [\$127,873,000] \$159,096,000 [ : Provided further, That of the amounts appropriated under this heading, \$10,000,000 shall be for university research and development in areas relevant to the Commission's mission, and \$5,000,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering]. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 031-0200-0-1-276	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			1
<b>Receipts:</b>			
Current law:			
1120 Nuclear Facility Fees, Nuclear Regulatory Commission .....	761	759	740
1120 Nuclear Facility Fees, Nuclear Regulatory Commission .....	21	22	20
1199 Total current law receipts .....	782	781	760
1999 Total receipts .....	782	781	760
2000 Total: Balances and receipts .....	782	781	761
<b>Appropriations:</b>			
Current law:			
2101 Salaries and Expenses .....	-771	-770	-749
2101 Office of Inspector General .....	-11	-10	-11
2199 Total current law appropriations .....	-782	-780	-760
2999 Total appropriations .....	-782	-780	-760
5099 Balance, end of year .....		1	1

**Program and Financing** (in millions of dollars)

Identification code 031-0200-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Nuclear Reactor Safety .....	463	459	449
0005 Nuclear Materials and Waste Safety .....	113	106	104

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 031-0200-0-1-276	2018 actual	2019 est.	2020 est.
0007 Decommissioning and Low-Level Waste .....	27	25	23
0008 High Level Waste .....			39
0010 Integrated University Program .....	16	15	
0012 Corporate Support .....	296	293	293
0799 Total direct obligations .....	915	898	908
0801 Salaries and Expenses (Reimbursable) .....	6	6	6
0900 Total new obligations, unexpired accounts .....	921	904	914
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	35	37	56
1021 Recoveries of prior year unpaid obligations .....	9	14	14
1033 Recoveries of prior year paid obligations .....	1		
1050 Unobligated balance (total) .....	45	51	70
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund) .....	138	128	120
1101 Appropriation (NRC receipts) .....	771	770	749
1101 Appropriation (special or trust fund) .....			39
1160 Appropriation, discretionary (total) .....	909	898	908
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	4	11	11
1900 Budget authority (total) .....	913	909	919
1930 Total budgetary resources available .....	958	960	989
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37	56	75
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	329	348	228
3010 New obligations, unexpired accounts .....	921	904	914
3020 Outlays (gross) .....	-893	-1,010	-955
3040 Recoveries of prior year unpaid obligations, unexpired .....	-9	-14	-14
3050 Unpaid obligations, end of year .....	348	228	173
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year .....	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	326	345	225
3200 Obligated balance, end of year .....	345	225	170
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	913	909	919
Outlays, gross:			
4010 Outlays from new discretionary authority .....	661	685	692
4011 Outlays from discretionary balances .....	232	325	263
4020 Outlays, gross (total) .....	893	1,010	955
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-5	-5
4033 Non-Federal sources .....	-4	-6	-6
4040 Offsets against gross budget authority and outlays (total) .....	-5	-11	-11
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts .....	1		
4060 Additional offsets against budget authority only (total) .....	1		
4070 Budget authority, net (discretionary) .....	909	898	908
4080 Outlays, net (discretionary) .....	888	999	944
4180 Budget authority, net (total) .....	909	898	908
4190 Outlays, net (total) .....	888	999	944

**Nuclear Reactor Safety.**—The Nuclear Regulatory Commission (NRC) Nuclear Reactor Safety Program encompasses licensing, regulating, and overseeing civilian nuclear power reactors, research and test reactors, and medical isotope production facilities in a manner that adequately protects public health and safety and the environment. This program also provides reasonable assurance of the security of facilities and protection against radiological sabotage. This program contributes to the NRC's Safety and Security strategic goals through the activities of the Operating Reactors

and New Reactors Business Lines that regulate existing and new nuclear reactors to ensure they meet all applicable requirements.

**Nuclear Materials and Waste Safety.**—The Nuclear Materials and Safety Program reflects the NRC's effort to license, regulate, and oversee nuclear materials in a manner that adequately protects public health and safety and the environment. This program provides assurance of the physical security of the materials and waste, and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates uranium processing and fuel facilities, research and pilot facilities, nuclear materials users (medical, industrial, research, and academic), spent fuel storage, spent fuel and material transportation and packaging, decontamination and decommissioning of facilities, and low-level and high-level radioactive waste. The program contributes to the NRC's Safety and Security strategic goals through the activities of the Fuel Facilities, Nuclear Materials Users, Spent Fuel Storage and Transportation, Decommissioning and Low-Level Waste, and High-Level Waste Business Lines. The High-Level Waste Business Line supports NRC's licensing proceeding for the proposed deep geologic repository for the disposal of spent nuclear fuel and other high-level radioactive waste at Yucca Mountain, Nevada.

**Corporate Support.**—The NRC's Corporate Support Business Line involves centrally managed activities that are necessary for agency programs to accomplish the agency's mission and achieve goals. These activities include acquisitions, administrative services, financial management, human resources management, information technology and information management, outreach, policy support, and training.

**Object Classification** (in millions of dollars)

Identification code 031-0200-0-1-276	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	393	407	402
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	10	10	10
11.9 Total personnel compensation .....	406	420	415
12.1 Civilian personnel benefits .....	134	139	138
21.0 Travel and transportation of persons .....	20	17	20
22.0 Transportation of things .....	1	1	2
23.1 Rental payments to GSA .....	41	36	39
23.3 Communications, utilities, and miscellaneous charges .....	12	10	11
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	43	39	41
25.2 Other services from non-Federal sources .....	80	74	78
25.3 Other goods and services from Federal sources .....	53	46	51
25.4 Operation and maintenance of facilities .....	4	3	4
25.5 Research and development contracts .....	3	3	3
25.7 Operation and maintenance of equipment .....	70	66	69
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	24	22	24
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	17	15	5
99.0 Direct obligations .....	915	898	908
99.0 Reimbursable obligations .....	6	6	6
99.9 Total new obligations, unexpired accounts .....	921	904	914

**Employment Summary**

Identification code 031-0200-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	3,003	3,034	2,999
2001 Reimbursable civilian full-time equivalent employment .....	7	9	8

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$12,609,000]** *\$13,314,000*, to remain available until September 30, **[2020]** *2021: Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$10,355,000]** *\$10,929,000* in fiscal year **[2019]** *2020* shall be retained and be available until September 30, **[2020]** *2021*, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided*

further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2019] 2020 so as to result in a final fiscal year [2019] 2020 appropriation estimated at not more than [\$2,254,000] \$2,385,000: Provided further, That of the amounts appropriated under this heading, [\$1,103,000] \$1,171,000 shall be for Inspector General services for the Defense Nuclear Facilities Safety Board, which shall not be available from fee revenues. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 031-0300-0-1-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Inspector General .....	13	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	2	3	2
1101 Appropriation (special or trust fund) .....	11	10	11
1160 Appropriation, discretionary (total) .....	13	13	13
1930 Total budgetary resources available .....	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	3
3010 New obligations, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-12	-12	-13
3050 Unpaid obligations, end of year .....	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	3
3200 Obligated balance, end of year .....	2	3	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	10	10
4011 Outlays from discretionary balances .....	1	2	3
4020 Outlays, gross (total) .....	12	12	13
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	12	12	13

The NRC's Office of Inspector General (OIG) was established as a statutory entity on April 15, 1989, in accordance with the 1988 amendments to the Inspector General Act. The OIG's mission is to independently and objectively audit and investigate programs and operations to promote effectiveness and efficiency, and to prevent and detect fraud, waste, and abuse. Starting in 2014, the NRC's OIG has exercised the same authorities with respect to the Defense Nuclear Facilities Safety Board per the Consolidated Appropriations Act, 2014.

**Object Classification** (in millions of dollars)

Identification code 031-0300-0-1-276	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	8	8
12.1 Civilian personnel benefits .....	3	3	3
25.2 Other services from non-Federal sources .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	13	13	13

**Employment Summary**

Identification code 031-0300-0-1-276	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	61	63	63

[SEC. 401. The Nuclear Regulatory Commission shall comply with the July 5, 2011, version of Chapter VI of its Internal Commission Procedures when responding to Congressional requests for information, consistent with Department of Justice guidance for all federal agencies.]

[SEC. 402. (a) The amounts made available by this title for the Nuclear Regulatory Commission may be reprogrammed for any program, project, or activity, and the Commission shall notify the Committees on Appropriations of both Houses of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program funding level to increase or decrease by more than \$500,000 or 10 percent, whichever is less, during the time period covered by this Act.

(b)(1) The Nuclear Regulatory Commission may waive the notification requirement in subsection (a) if compliance with such requirement would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Nuclear Regulatory Commission shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver and shall provide a detailed report to the Committees of such waiver and changes to funding levels to programs, projects, or activities.

(c) Except as provided in subsections (a), (b), and (d), the amounts made available by this title for "Nuclear Regulatory Commission—Salaries and Expenses" shall be expended as directed in the joint explanatory statement accompanying this Act.

(d) None of the funds provided for the Nuclear Regulatory Commission shall be available for obligation or expenditure through a reprogramming of funds that increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act.

(e) The Commission shall provide a monthly report to the Committees on Appropriations of both Houses of Congress, which includes the following for each program, project, or activity, including any prior year appropriations—

- (1) total budget authority;
- (2) total unobligated balances; and
- (3) total unliquidated obligations. ]

(Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**GENERAL FUND RECEIPT ACCOUNT**

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
031-322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts .....		1	1
General Fund Offsetting receipts from the public .....		1	1

**NUCLEAR WASTE TECHNICAL REVIEW BOARD**

*Federal Funds*

**SALARIES AND EXPENSES**

For expenses necessary for the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,600,000, to be derived from the Nuclear Waste Fund, to remain available until September 30, [2020] 2021. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 431-0500-0-1-271	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Technical and scientific activities .....	3	4	4
0900 Total new obligations, unexpired accounts .....	3	4	4
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	2
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	4	4	4
1930 Total budgetary resources available .....	5	6	6

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 431-0500-0-1-271	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	2	2	2
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	4	4
3020 Outlays (gross) .....	-3	-4	-4
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	4	4
4011 Outlays from discretionary balances .....	1		
4020 Outlays, gross (total) .....	3	4	4
4180 Budget authority, net (total) .....	4	4	4
4190 Outlays, net (total) .....	3	4	4

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high-level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions, and recommendations at least two times per year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 431-0500-0-1-271	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	3	4	4

Employment Summary

Identification code 431-0500-0-1-271	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	14	16	16

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, \$13,225,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.)

Program and Financing (in millions of dollars)

Identification code 432-2100-0-1-554	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Commission review .....	6	6	6
0002 Administrative law judge determinations .....	5	5	5
0003 Executive direction .....	2	2	2
0900 Total new obligations, unexpired accounts .....	13	13	13
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	13	13	13
1930 Total budgetary resources available .....	14	14	14

1941	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	3	3	4
3010 New obligations, unexpired accounts .....	13	13	13
3020 Outlays (gross) .....	-13	-12	-12
3050 Unpaid obligations, end of year .....	3	4	5
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	3	3	4
3200 Obligated balance, end of year .....	3	4	5

Budget authority and outlays, net:

4000	2018 actual	2019 est.	2020 est.
Discretionary:			
Budget authority, gross .....	13	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority .....	11	11	11
4011 Outlays from discretionary balances .....	2	1	1
4020 Outlays, gross (total) .....	13	12	12
4180 Budget authority, net (total) .....	13	13	13
4190 Outlays, net (total) .....	13	12	12

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 432-2100-0-1-554	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	7	7	7
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
99.0 Direct obligations .....	11	11	11
99.5 Adjustment for rounding .....	2	2	2
99.9 Total new obligations, unexpired accounts .....	13	13	13

Employment Summary

Identification code 432-2100-0-1-554	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	52	61	61

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the Stop Trading on Congressional Knowledge Act of 2012, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, \$17,430,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 434-1100-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	16	16	17
0801 Salaries and Expenses (Reimbursable) .....		1	1
0900 Total new obligations, unexpired accounts .....	16	17	18

<b>Budgetary resources:</b>				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	16	16	17
Spending authority from offsetting collections, discretionary:				
1700	Collected .....		1	1
1900	Budget authority (total) .....	16	17	18
1930	Total budgetary resources available .....	16	17	18
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	3	3
3010	New obligations, unexpired accounts .....	16	17	18
3020	Outlays (gross) .....	-15	-17	-18
3050	Unpaid obligations, end of year .....	3	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	3	3
3200	Obligated balance, end of year .....	3	3	3
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	16	17	18
Outlays, gross:				
4010	Outlays from new discretionary authority .....	13	15	16
4011	Outlays from discretionary balances .....	2	2	2
4020	Outlays, gross (total) .....	15	17	18
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	16	16	17
4190	Outlays, net (total) .....	15	16	17

The U.S. Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall leadership and oversight of the executive branch ethics program designed to prevent and resolve conflicts of interest. OGE's mission is part of the very foundation of public service. The first principle in the Fourteen Principles of Ethical Conduct for Government Officers and Employees provides that, "[p]ublic service is a public trust, requiring employees to place loyalty to the Constitution, the laws and ethical principles above private gain." OGE undertakes this important prevention mission as part of a framework comprising executive branch agencies and entities whose work focuses on institutional integrity. Within this framework, the ethics program works to ensure that public servants impartially carry out the governmental responsibilities entrusted to them, and that they serve as good stewards of public resources.

To carry out its vital leadership and oversight responsibilities for the executive branch ethics program, OGE promulgates, maintains, and advises on enforceable standards of ethical conduct for the nearly three million employees in over 130 executive branch agencies, including the White House; offers education and training to the more than 5,000 ethics officials executive branch-wide; oversees a financial disclosure system that reaches more than 26,000 public and more than 380,000 confidential financial disclosure report filers; operates and maintains *Integrity*, a public financial disclosure management application required by the Stop Trading on Congressional Knowledge (STOCK) Act of 2012; monitors executive branch agency ethics programs and senior leaders compliance with applicable ethics laws and regulations; prepares for Presidential transitions and provides assistance to the President and Senate in the Presidential appointment process; conducts outreach to the general public, the private sector, and non-governmental organizations; and makes ethics documents publicly available.

**Object Classification** (in millions of dollars)

Identification code 434-1100-0-1-805		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	8	9	9
12.1	Civilian personnel benefits .....	3	3	3
23.1	Rental payments to GSA .....	1	1	1
25.3	Other goods and services from Federal sources .....	3	3	4
31.0	Equipment .....	1		
99.0	Direct obligations .....	16	16	17

99.0	Reimbursable obligations .....		1	1
99.9	Total new obligations, unexpired accounts .....	16	17	18

**Employment Summary**

Identification code 434-1100-0-1-805		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	63	68	74

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION**

*Federal Funds*

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, \$7,500,000, to remain available until expended: Provided, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: Provided further, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: Provided further, That no relocatee will be provided with more than one new or replacement home: Provided further, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homestead on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to section 11 of Public Law 93-531 (formerly set out at 25 U.S.C. 640d-10).*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 435-1100-0-1-808		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Operation of relocation office .....	5	3	3
0003	Relocation payments (housing) .....	1		
0004	Discretionary fund payments .....	2	12	5
0900	Total new obligations, unexpired accounts .....	8	15	8

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	14	14
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	15	15	8
1930	Total budgetary resources available .....	22	29	22
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	14	14	14

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	3	2	
3010	New obligations, unexpired accounts .....	8	15	8
3020	Outlays (gross) .....	-9	-17	-8
3050	Unpaid obligations, end of year .....	2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	3	2	
3200	Obligated balance, end of year .....	2		

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	15	15	8
Outlays, gross:				
4010	Outlays from new discretionary authority .....	8	12	6
4011	Outlays from discretionary balances .....	1	5	2
4020	Outlays, gross (total) .....	9	17	8
4180	Budget authority, net (total) .....	15	15	8

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

Identification code 435-1100-0-1-808	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....	9	17	8

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two Tribes. Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort, and to plan for the orderly closeout of the Office of Navajo and Hopi Indian Relocation.

Object Classification (in millions of dollars)

Identification code 435-1100-0-1-808	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	2	2
12.1 Civilian personnel benefits .....	1		
25.2 Other services from non-Federal sources .....	1	1	1
32.0 Land and structures .....	3	12	5
99.9 Total new obligations, unexpired accounts .....	8	15	8

Employment Summary

Identification code 435-1100-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	27	27	27

OFFICE OF SPECIAL COUNSEL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel, including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles, \$26,252,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 062-0100-0-1-805	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Investigation and prosecution of reprisals for whistleblowing .....	27	27	26
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	27	27	26
1930 Total budgetary resources available .....	27	27	26
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	2	5	7
3010 New obligations, unexpired accounts .....	27	27	26
3020 Outlays (gross) .....	-24	-25	-27
3050 Unpaid obligations, end of year .....	5	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	2	5	7
3200 Obligated balance, end of year .....	5	7	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	27	27	26

Outlays, gross:			
4010 Outlays from new discretionary authority .....	23	24	24
4011 Outlays from discretionary balances .....	1	1	3
4020 Outlays, gross (total) .....	24	25	27
4180 Budget authority, net (total) .....	27	27	26
4190 Outlays, net (total) .....	24	25	27

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law and, when appropriate, prosecutes before the Merit Systems Protection Board; 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniform Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC submits the agency's investigative report to the President and the Congress when appropriate.

In 2018, OSC received 6,015 new cases, an increase of 57 percent over the historical average post-2000, while in 2018 OSC resolved 6,005 matters, an increase of 61 percent over the historical average post-2000 and the second highest total in agency history. Of the new cases received, 4,168 were prohibited personnel practice cases, a 65 percent increase over the historical average post-2000. OSC also obtained a record 314 favorable actions for Federal employees in response to prohibited personnel practice complaints, including 236 favorable actions in response to complaints of reprisal for whistleblowing.

During 2018, OSC received 1,559 new disclosures, a 67 percent increase over the historical average post-2000. OSC processed and closed 1,624 disclosures, and referred 139 disclosures of waste, fraud, and abuse to agency heads for investigation, a new agency record. During the last three years, OSC has received numerous whistleblower disclosures from employees at the Department of Veterans Affairs (VA). OSC's work with VA whistleblowers has been featured in the media, and has helped promote accountability and improvements within VA. OSC continues to receive a disproportionately large number of cases from VA employees, and to address this has established a priority intake system for VA claims.

OSC conducts outreach and education activities on its program areas to inform and train agencies to prevent prohibited personnel practices, whistleblower reprisals, Hatch Act and USERRA violations, and claims of fraud, waste and abuse. In FY OSC conducted 198 outreach activities throughout the Federal Government.

Case Type:	Cases Received 2018	Cases Resolved 2018
Prohibited personnel practice complaints .....	4,168	4,073
Hatch Act complaints .....	263	286
Whistleblower disclosures .....	1,559	1,624
USERRA cases .....	25	22
Totals .....	6,015	6,005

For 2019 and 2020, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases to follow recent trends and stabilize at around 6,000 total new cases received each year. OSC's caseload will remain high in light of the ongoing issues at the Department of Veterans Affairs, and the increased media exposure VA whistleblowers and whistleblowers in general are receiving.

Overall, the funding requested for 2020 will enable OSC to meet rising demand for OSC's services, protect the growing number of whistleblowers in the VA and other agencies, protect the employment rights of returning service members, manage continually rising case levels, and protect the Federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 062-0100-0-1-805	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	17	17
12.1 Civilian personnel benefits .....	5	5	5

23.1	Rental payments to GSA .....	2	2	1
25.2	Other services from non-Federal sources .....	4	3	3
99.9	Total new obligations, unexpired accounts .....	27	27	26

**Employment Summary**

Identification code 062-0100-0-1-805		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	131	144	155

**OTHER COMMISSIONS AND BOARDS**

*Federal Funds*

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, \$642,000, as authorized by chapter 3123 of title 54, United States Code: Provided, That the Commission may procure temporary, intermittent, and other services notwithstanding paragraph (3) of section 312304(b) of such chapter: Provided further, That such authority shall terminate on October 1, 2020: Provided further, That the Commission shall notify the Committees on Appropriations prior to exercising such authority.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

SOUTHEAST CRESCENT REGIONAL COMMISSION

For expenses necessary for the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$250,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2019.)

**Program and Financing** (in millions of dollars)

Identification code 095-9911-0-1-999		2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0001	Other Commissions and Boards (Direct) .....	1	1	1
0900	Total new obligations, unexpired accounts (object class 25.2) .....	1	1	1

**Budgetary resources:**

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	1	1	1
1930	Total budgetary resources available .....	1	1	1

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....	1	1	1
3020	Outlays (gross) .....	-1	-1	-1

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	1	1	1
Outlays, gross:				
4010	Outlays from new discretionary authority .....	1	1	1
4180	Budget authority, net (total) .....	1	1	1
4190	Outlays, net (total) .....	1	1	1

This account presents data on small independent commissions and other entities on a consolidated basis. It includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts. The request includes language needed to enable the Commission to meet its requirements for staff and professional assistance.

**Employment Summary**

Identification code 095-9911-0-1-999		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....		1	1

**PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

*Federal Funds*

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 579-1299-0-1-552		2018 actual	2019 est.	2020 est.
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**Obligations by program activity:**

0001	General Fund Payment .....	150	150	
0900	Total new obligations, unexpired accounts (object class 94.0) .....	150	150	

**Budgetary resources:**

Budget authority:				
Appropriations, mandatory:				
1200	Appropriation .....	150	150	
1930	Total budgetary resources available .....	150	150	

**Change in obligated balance:**

Unpaid obligations:				
3010	New obligations, unexpired accounts .....	150	150	
3020	Outlays (gross) .....	-150	-150	

**Budget authority and outlays, net:**

Mandatory:				
4090	Budget authority, gross .....	150	150	
Outlays, gross:				
4100	Outlays from new mandatory authority .....	150	150	
4180	Budget authority, net (total) .....	150	150	
4190	Outlays, net (total) .....	150	150	

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111-148, annual appropriations will continue through 2019. The PCORTF terminates at the end of FY 2019, and the Budget does not propose to extend it.

*Trust Funds*

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 579-8299-0-7-552		2018 actual	2019 est.	2020 est.
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0100	Balance, start of year .....	40	41	45
0198	Rounding adjustment .....	1		
0199	Balance, start of year .....	41	41	45

Receipts:

Current law:				
1110	Fees on Health Insurance and Self-insured Health Plans, PCORTF .....	319	411	345
1140	Interest Received by Trust Funds, PCORTF .....	1	1	
1140	Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund .....	150	150	
1140	Transfers from FHI Trust Fund, PCORTF .....	61	61	
1140	Transfers from FSMI Trust Fund, PCORTF .....	83	84	
1199	Total current law receipts .....	614	707	345
1999	Total receipts .....	614	707	345

2000	Total: Balances and receipts .....	655	748	390
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Appropriations:

Current law:				
2101	Patient-Centered Outcomes Research Trust Fund .....	-615	-706	
2103	Patient-Centered Outcomes Research Trust Fund .....	-41	-41	
2132	Patient-Centered Outcomes Research Trust Fund .....	41	44	
2199	Total current law appropriations .....	-615	-703	

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND—Continued  
Special and Trust Fund Receipts—Continued

Identification code 579–8299–0–7–552	2018 actual	2019 est.	2020 est.
2999 Total appropriations .....	-615	-703	.....
5098 Rounding adjustment .....	1	.....	.....
5099 Balance, end of year .....	41	45	390

Program and Financing (in millions of dollars)

Identification code 579–8299–0–7–552	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Obligations to PCORI .....	492	563	.....
0002 Obligations to HHS .....	123	140	.....
0900 Total new obligations, unexpired accounts (object class 94.0) .....	615	703	.....

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	615	706	.....
1203 Appropriation (previously unavailable) .....	41	41	.....
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-41	-44	.....
1260 Appropriations, mandatory (total) .....	615	703	.....
1900 Budget authority (total) .....	615	703	.....
1930 Total budgetary resources available .....	615	703	.....

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	615	703	.....
3020 Outlays (gross) .....	-615	-703	.....

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross .....	615	703	.....
Outlays, gross:			
4100 Outlays from new mandatory authority .....	615	703	.....
4180 Budget authority, net (total) .....	615	703	.....
4190 Outlays, net (total) .....	615	703	.....

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value .....	41	41	.....
5001 Total investments, EOY: Federal securities: Par value .....	41	.....	.....

Public Law 111–148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively. The PCORTF terminates at the end of FY 2019, and the Budget does not propose to extend it.

POSTAL SERVICE

Federal Funds

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, \$56,711,000: Provided, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: Provided further, That none of the

funds provided in this Act shall be used to consolidate or close small rural and other small post offices.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 018–1001–0–1–372	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Free Mail .....	58	58	57
0900 Total new obligations, unexpired accounts (object class 41.0) .....	58	58	57

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	58	58	57
1900 Budget authority (total) .....	58	58	57
1930 Total budgetary resources available .....	58	58	57

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	58	58	57
3020 Outlays (gross) .....	-58	-58	-57

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	58	58	57
Outlays, gross:			
4010 Outlays from new discretionary authority .....	58	58	57
4180 Budget authority, net (total) .....	58	58	57
4190 Outlays, net (total) .....	58	58	57

The Budget proposes \$56,711,000 for the estimated 2020 costs of free mail service for the blind and overseas voting.

Pursuant to P.L. 93–328, the 2020 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$61,267,000. This amount includes \$52,686,000 requested for the estimated 2020 costs of free mail service for the blind and overseas voting and an \$8,581,000 reconciliation adjustment for 2017 actual mail volume of free mail service for the blind and overseas voting.

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 018–4020–0–3–372	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Postal field operations .....	49,983	51,201	52,013
0802 Transportation .....	7,861	8,282	8,636
0803 Building occupancy .....	2,070	2,111	2,171
0804 Supplies and services .....	2,760	2,880	2,908
0805 Research and development .....	53	71	72
0806 Administration and area operations .....	4,427	4,351	3,870
0807 Interest .....	253	202	355
0808 Servicewide expenses .....	433	155	159
0809 Reimbursable program activities, subtotal .....	67,840	69,253	70,184
0810 Capital investment .....	1,573	1,849	6,302
0811 Change in resources on order and inventory .....	313	.....	.....
0819 Reimbursable program activities, subtotal .....	1,886	1,849	6,302
0900 Total new obligations, unexpired accounts .....	69,726	71,102	76,486

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9,671	9,248	8,425
1023 Unobligated balances applied to repay debt .....	-1,800	-2,100	.....
1050 Unobligated balance (total) .....	7,871	7,148	8,425
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	250	266
1710 Transferred to other accounts [018–0100] .....	.....	-235	-250
1710 Transferred to other accounts [018–0200] .....	.....	-15	-16

Spending authority from offsetting collections, mandatory:				
1800	Collected .....	71,363	72,379	73,340
1810	Spending authority from offsetting collections transferred to other accounts [018–0100] .....	-245		
1810	Spending authority from offsetting collections transferred to other accounts [018–0200] .....	-15		
1850	Spending auth from offsetting collections, mand (total) .....	71,103	72,379	73,340
1900	Budget authority (total) .....	71,103	72,379	73,340
1930	Total budgetary resources available .....	78,974	79,527	81,765
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	9,248	8,425	5,279
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....		349	277
3010	New obligations, unexpired accounts .....	69,726	71,102	76,486
3020	Outlays (gross) .....	-69,377	-71,174	-73,704
3050	Unpaid obligations, end of year .....	349	277	3,059
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....		349	277
3200	Obligated balance, end of year .....	349	277	3,059
<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	71,103	72,379	73,340
Outlays, gross:				
4100	Outlays from new mandatory authority .....	69,377	66,677	68,002
4101	Outlays from mandatory balances .....		4,497	5,702
4110	Outlays, gross (total) .....	69,377	71,174	73,704
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-968	-1,013	-1,013
4121	Interest on Federal securities .....	-101	-101	-101
4123	Non-Federal sources .....	-70,034	-71,265	-72,226
4130	Offsets against gross budget authority and outlays (total) ....	-71,103	-72,379	-73,340
4170	Outlays, net (mandatory) .....	-1,726	-1,205	364
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1,726	-1,205	364
<b>Memorandum (non-add) entries:</b>				
5000	Total investments, SOY: Federal securities: Par value .....	10,965	10,493	11,421
5001	Total investments, EOY: Federal securities: Par value .....	10,493	11,421	11,022

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Outlays .....	-1,726	-1,205	364
Legislative proposal, not subject to PAYGO:			
Outlays .....			-501
<b>Total:</b>			
Outlays .....	-1,726	-1,205	-137

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Since 1971, there have been several Postal reforms. Notably, the Omnibus Budget Reconciliation Act of 1989 moved the Postal Service "off-budget" so that, beginning in 1990, the receipts and disbursements of the Fund are not considered as part of the congressional and executive budget process. More recently, the 2006 Postal Accountability and Enhancement Act (P.L. 109–435) made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for market-dominant products such as First-Class mail and competitive products such as package delivery. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases linked to the Consumer Price Index for All Urban Consumers (CPI-U). In 2017, the Postal Regulatory Commission

announced proposed changes to the rate structure including temporary increases above the CPI-U cap. The changes will not go into effect until after public comment and a final rule. Consequently, they are not included in the Budget's baseline for the Postal Service.

P.L. 109–435 also created the Postal Service Retiree Health Benefits Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This Fund was to receive from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) a 10-year stream of payments defined within P.L. 109–435 to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) the surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service. Since passage in 2006, USPS had contributed over \$50 billion to the Fund but has failed to make required payments each year since FY 2012 steadily increasing the size of the unfunded liability.

Beginning in 2017, P.L. 109–435 also required the Postal Service to begin a 27-year amortization to retire its unfunded liability under the Civil Service Retirement System. In September of 2017, the Postal Service failed to make required payments totaling \$6.9 billion for actuarial normal costs for retiree health and amortization payments for unfunded liabilities in both health and pension accounts. In 2018, the Postal Service again failed to make payments totaling \$6.9 billion.

The activities of the U.S. Postal Service are financed from the following sources: 1) mail and services revenue; 2) reimbursements from Federal and non-Federal sources; 3) proceeds from borrowing; 4) interest from U.S. securities and other investments; and 5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

As amended by P.L. 109–435, the Postal Service has statutory borrowing authority capped at \$15 billion with the annual increase in outstanding debt limited to \$3.0 billion. As of September 30, 2018, the total debt instruments issued and outstanding pursuant to this authority amounted to \$13.2 billion.

The Budget estimates that the Postal Service will have an annual operating deficit of \$7 billion in 2019 and more than \$8 billion in each subsequent year through 2029. Given the Postal Service's history of using defaults to continue operations despite losses, the Budget reflects defaults on required pension and retiree health amortization and normal cost payments to prevent USPS from running unsustainable deficits. See also the Budget Process section of the Analytical Perspectives volume of the Budget.

**Object Classification** (in millions of dollars)

Identification code 018–4020–0–3–372	2018 actual	2019 est.	2020 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	22,082	28,387	28,634
11.3	Other than full-time permanent .....	5,034	5,223	5,268
11.5	Other personnel compensation .....	10,802	5,268	5,291
11.9	Total personnel compensation .....	37,918	38,878	39,193
12.1	Civilian personnel benefits .....	14,750	14,328	14,302
13.0	Benefits for former personnel .....	56	56	56
21.0	Travel and transportation of persons .....	242	122	123
22.0	Transportation of things .....	7,861	8,956	9,323
23.1	Rental payments to GSA .....	29	34	36
23.2	Rental payments to others .....	1,093	1,110	1,151
23.3	Communications, utilities, and miscellaneous charges .....	868	866	883
24.0	Printing and reproduction .....	61	4	3
25.2	Other services from non-Federal sources .....	2,747	3,043	3,071
26.0	Supplies and materials .....	1,569	1,504	1,534

POSTAL SERVICE FUND—Continued  
Object Classification—Continued

Identification code 018-4020-0-3-372	2018 actual	2019 est.	2020 est.
31.0 Equipment .....	1,464	981	5,403
32.0 Land and structures .....	662	868	899
42.0 Insurance claims and indemnities .....	153	150	154
43.0 Interest and dividends .....	253	202	355
99.9 Total new obligations, unexpired accounts .....	69,726	71,102	76,486

Employment Summary

Identification code 018-4020-0-3-372	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	584,411	583,821	584,579

POSTAL SERVICE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 018-4020-2-3-372	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0806 Administration and area operations .....			-501
0809 Reimbursable program activities, subtotal .....			-501
0900 Total new obligations, unexpired accounts (object class 12.1) .....			-501
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			2,127
1900 Budget authority (total) .....			2,127
1930 Total budgetary resources available .....			2,127
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....			2,628
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-501
3020 Outlays (gross) .....			-1,626
3050 Unpaid obligations, end of year .....			-2,127
Memorandum (non-add) entries:			
3200 Obligated balance, end of year .....			-2,127
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			2,127
Outlays, gross:			
4100 Outlays from new mandatory authority .....			1,626
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....			-2,127
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			-501
<b>Memorandum (non-add) entries:</b>			
5001 Total investments, EOY: Federal securities: Par value .....			501

The Budget proposes reforms based on the recommendations of the President's Task Force on the United States Postal System. The Task Force made recommendations for changes to governance, the Postal Service's Universal Service Obligation, pricing, cost allocation, operating costs, labor model, retiree health benefits, and revenue sources. The Task Force also recommended provisions for strengthening regulatory oversight if USPS is unable to meet its financial commitments. This proposal will restore solvency to the Postal Service and ensure that commitments to current and former employees are funded from business revenues rather than taxpayers.

The Budget proposes operational reforms to reduce costs and improve revenue, including: 1) changes to the rate cap to allow more flexible pricing for both mail and packages that are deemed outside the universal service obligation or "nonessential"; 2) reducing operating costs through changes to delivery processing, mode, and frequency, and increased use of private

sector partners for processing and sortation where appropriate; 3) more closely aligning Postal Service employee wages with those of other Federal employees; and 4) licensing access to the mailbox and providing additional Government services at retail locations.

The Budget also proposes Government-wide reforms to pensions and health insurance costs that are estimated to further reduce USPS operating costs. See the General Services Administration section of the Appendix for more information. In addition, the Budget proposes to re-amortize the payments USPS has not made to OPM for retiree health benefits.

In total, the Budget estimates that these reforms will reduce the unified budget deficit by \$98 billion over 10 years and result in on-budget savings of \$88 billion as the Postal Service resumes statutory payments to on-budget accounts.

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$250,000,000, to be derived from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109-435).

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 018-0100-0-1-372	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Audit .....	83	78	79
0002 Investigations .....	162	167	171
0799 Total direct obligations .....	245	245	250
0801 Office of Inspector General (Reimbursable) .....	1	1	1
0900 Total new obligations, unexpired accounts .....	246	246	251
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	1	1
1711 Transferred from other accounts [018-4020] .....	245	245	250
1750 Spending auth from offsetting collections, disc (total) .....	246	246	251
1930 Total budgetary resources available .....	246	246	251
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	246	246	251
3020 Outlays (gross) .....	-246	-246	-251
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	246	246	251
Outlays, gross:			
4010 Outlays from new discretionary authority .....	246	246	251
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-1	-1	-1
4180 Budget authority, net (total) .....	245	245	250
4190 Outlays, net (total) .....	245	245	250

U.S. Postal Service Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of Postal Service programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in Postal Service programs and operations.

The Budget proposes \$250,000,000 for the 2020 operations of the Office of the Inspector General of the U.S. Postal Service.

Pursuant to P.L. 109–435, the 2020 appropriation request of the Office of Inspector General of the U.S. Postal Service is \$250,000,000.

Section 603(b)(1) of P.L. 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

**Object Classification** (in millions of dollars)

Identification code 018–0100–0–1–372	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	143	143	148
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	147	147	152
12.1 Civilian personnel benefits .....	56	56	57
21.0 Travel and transportation of persons .....	6	7	6
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	6	7	7
23.3 Communications, utilities, and miscellaneous charges .....	3	2	2
25.1 Advisory and assistance services .....	14	13	12
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	7	6	6
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	5	4	4
32.0 Land and structures .....	.....	1	.....
99.0 Direct obligations .....	247	246	249
99.0 Reimbursable obligations .....	1	1	1
99.5 Adjustment for rounding .....	–2	–1	1
99.9 Total new obligations, unexpired accounts .....	246	246	251

**Employment Summary**

Identification code 018–0100–0–1–372	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,045	1,019	1,034

**POSTAL REGULATORY COMMISSION**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), \$16,615,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 018–0200–0–1–372	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Postal Service Accountability .....	8	8	9
0002 Public Access and Participation .....	3	3	3
0003 Integration and Support .....	4	4	4
0004 Office of the Inspector General .....	1	1	1
0900 Total new obligations, unexpired accounts .....	16	16	17
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	2	1	.....
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [018–4020] .....	15	15	17
1930 Total budgetary resources available .....	17	16	17
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	.....	.....

**Change in obligated balance:**

<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	16	16	17
3020 Outlays (gross) .....	–16	–16	–17

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	15	15	17
<b>Outlays, gross:</b>			
4010 Outlays from new discretionary authority .....	15	15	17
4011 Outlays from discretionary balances .....	1	1	.....
4020 Outlays, gross (total) .....	16	16	17
4180 Budget authority, net (total) .....	15	15	17
4190 Outlays, net (total) .....	16	16	17

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, P.L. 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of Postal Service products and services, ensuring Postal Service transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to P.L. 109–435, the 2020 appropriation request of the Postal Regulatory Commission is \$16,615,000. Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

**Object Classification** (in millions of dollars)

Identification code 018–0200–0–1–372	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	10	10	11
12.1 Civilian personnel benefits .....	2	2	2
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	1	.....	.....
99.0 Direct obligations .....	15	14	15
99.5 Adjustment for rounding .....	1	2	2
99.9 Total new obligations, unexpired accounts .....	16	16	17

**Employment Summary**

Identification code 018–0200–0–1–372	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	74	74	74

**PRESIDIO TRUST**

**Federal Funds**

**PRESIDIO TRUST**

**Program and Financing** (in millions of dollars)

Identification code 512–4331–0–3–303	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Presidio Trust (Reimbursable) .....	153	157	162
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	64	68	68
<b>Budget authority:</b>			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	147	172	175
1701 Change in uncollected payments, Federal sources .....	13	–13	–12

PRESIDIO TRUST—Continued  
Program and Financing—Continued

Identification code 512-4331-0-3-303	2018 actual	2019 est.	2020 est.
1726 Spending authority from offsetting collections applied to repay debt .....	-3	-2	-1
1750 Spending auth from offsetting collections, disc (total) .....	157	157	162
1900 Budget authority (total) .....	157	157	162
1930 Total budgetary resources available .....	221	225	230
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	68	68	68
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	39	59	86
3010 New obligations, unexpired accounts .....	153	157	162
3020 Outlays (gross) .....	-133	-130	-180
3050 Unpaid obligations, end of year .....	59	86	68
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-12	-25	-12
3070 Change in uncollected pymts, Fed sources, unexpired .....	-13	13	12
3090 Uncollected pymts, Fed sources, end of year .....	-25	-12	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	27	34	74
3200 Obligated balance, end of year .....	34	74	68
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	157	157	162
Outlays, gross:			
4010 Outlays from new discretionary authority .....	110	86	89
4011 Outlays from discretionary balances .....	23	44	91
4020 Outlays, gross (total) .....	133	130	180
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-12	-2	-2
4031 Interest on Federal securities .....	-3	-2	-2
4033 Non-Federal sources .....	-132	-168	-171
4040 Offsets against gross budget authority and outlays (total) ....	-147	-172	-175
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-13	13	12
4070 Budget authority, net (discretionary) .....	-3	-2	-1
4080 Outlays, net (discretionary) .....	-14	-42	5
4180 Budget authority, net (total) .....	-3	-2	-1
4190 Outlays, net (total) .....	-14	-42	5
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	85	96	96
5001 Total investments, EOY: Federal securities: Par value .....	96	96	96

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that has operated without annual appropriations since 2013. Funds to operate the park and its public programs come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is a historic preservation success, and a success for the American taxpayer.

Object Classification (in millions of dollars)

Identification code 512-4331-0-3-303	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	33	34	35
12.1 Civilian personnel benefits .....	17	18	18
23.3 Communications, utilities, and miscellaneous charges .....	8	8	8
24.0 Printing and reproduction .....	1	1	1
25.2 Other services from non-Federal sources .....	61	63	65
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	11	11	12
32.0 Land and structures .....	17	17	18

99.9 Total new obligations, unexpired accounts .....	153	157	162
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Employment Summary

Identification code 512-4331-0-3-303	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	354	354	354

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 512-4332-0-3-303	2018 actual	2019 est.	2020 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	200	200	200
2143 Uncommitted limitation carried forward .....	-200	-200	-200
2150 Total guaranteed loan commitments .....	.....	.....	.....

PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 801 of the Implementing Recommendations of the 9/11 Commission Act of 2007, (42 U.S.C. 2000ee), \$8,500,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 535-2724-0-1-054	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	6	11	11
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	8	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	8	8	9
1930 Total budgetary resources available .....	18	16	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-4	.....	.....
1941 Unexpired unobligated balance, end of year .....	8	5	3
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	14	11	14
3010 New obligations, unexpired accounts .....	6	11	11
3011 Obligations ("upward adjustments"), expired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-9	-8	-17
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	11	14	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	14	11	14
3200 Obligated balance, end of year .....	11	14	8

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross .....	8	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	6	7
4011 Outlays from discretionary balances .....	9	2	10
4020 Outlays, gross (total) .....	9	8	17
4180 Budget authority, net (total) .....	8	8	9
4190 Outlays, net (total) .....	9	8	17

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report semi-annually on its operations to the U.S. Congress, as well as inform the public of its activities, as appropriate.

**Object Classification** (in millions of dollars)

Identification code 535-2724-0-1-054	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
12.1 Civilian personnel benefits .....	1	1	1
25.3 Other goods and services from Federal sources .....	3	8	8
99.9 Total new obligations, unexpired accounts .....	6	11	11

**Employment Summary**

Identification code 535-2724-0-1-054	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	15	15	15

**PUBLIC BUILDINGS REFORM BOARD**

*Federal Funds*

**PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES**

For salaries and expenses of the Public Buildings Reform Board in carrying out the Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), \$3,500,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 290-2860-0-1-804	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity .....		4	3
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		5	6
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	5	5	4
1930 Total budgetary resources available .....	5	10	10
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	5	6	7
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....			4
3010 New obligations, unexpired accounts .....		4	3
3020 Outlays (gross) .....			-5
3050 Unpaid obligations, end of year .....		4	2
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....			4
3200 Obligated balance, end of year .....		4	2

**Budget authority and outlays, net:**

<b>Discretionary:</b>			
4000 Budget authority, gross .....	5	5	4
<b>Outlays, gross:</b>			
4011 Outlays from discretionary balances .....			5
4180 Budget authority, net (total) .....	5	5	4
4190 Outlays, net (total) .....			5

The Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), enacted in December 2016, authorizes the Public Buildings Reform Board. The role of the Board is to identify opportunities for the Government to significantly reduce its inventory of civilian real property and reduce cost to the Government, subject to approval by the Office of Management and Budget. By law, the Board sunsets in 2022.

**Object Classification** (in millions of dollars)

Identification code 290-2860-0-1-804	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.1 Advisory and assistance services .....		3	2
99.9 Total new obligations, unexpired accounts .....		4	3

**Employment Summary**

Identification code 290-2860-0-1-804	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....		6	6

**PUBLIC DEFENDER SERVICE FOR THE DISTRICT OF COLUMBIA**

*Federal Funds*

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE**

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, \$42,404,000, of which \$344,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: Provided, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: Provided further, That the District of Columbia Public Defender Service may establish for employees of the District of Columbia Public Defender Service a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, except that the maximum amount of the payment made under the program to any individual may not exceed the amount referred to in section 3523(b)(3)(B) of title 5, United States Code.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 511-1733-0-1-754	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public Defender Service .....	41	42	42
<b>Budgetary resources:</b>			
<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....		1	1
<b>Budget authority:</b>			
<b>Appropriations, discretionary:</b>			
1100 Appropriation .....	42	42	42
1930 Total budgetary resources available .....	42	43	43
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	1	1	1

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER  
SERVICE—Continued  
Program and Financing—Continued

Identification code 511-1733-0-1-754	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	6
3010 New obligations, unexpired accounts .....	41	42	42
3011 Obligations ("upward adjustments"), expired accounts .....	1	1	1
3020 Outlays (gross) .....	-42	-42	-42
3041 Recoveries of prior year unpaid obligations, expired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	6	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	6	6	6
3200 Obligated balance, end of year .....	6	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	42	42	42
Outlays, gross:			
4010 Outlays from new discretionary authority .....	38	38	38
4011 Outlays from discretionary balances .....	4	4	4
4020 Outlays, gross (total) .....	42	42	42
4180 Budget authority, net (total) .....	42	42	42
4190 Outlays, net (total) .....	42	42	42

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (P.L. 91-358; see also D.C. Code Sec. 2-1601, et seq.) to fulfill the constitutional mandate (under *Gideon v. Wainwright*) to provide criminal defense counsel for individuals who cannot afford to hire a lawyer. PDS's mission is to provide and promote quality legal representation for indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice. PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system and individuals facing parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 511-1733-0-1-754	2018 actual	2019 est.	2020 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	23	23	25
11.8 Special personal services payments .....	1	1	.....
11.9 Total personnel compensation .....	24	24	25
12.1 Civilian personnel benefits .....	7	8	8
23.1 Rental payments to GSA .....	4	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services from non-Federal sources .....	2	3	2
25.3 Other goods and services from Federal sources .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1	.....	.....
99.0 Direct obligations .....	41	42	42
99.9 Total new obligations, unexpired accounts .....	41	42	42

Employment Summary

Identification code 511-1733-0-1-754	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	213	217	222

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Federal Funds

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 328-5619-0-2-806	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	.....	.....	.....
Receipts:			
Current law:			
1110 Payment from Puerto Rico, Puerto Rico Oversight Board .....	60	65	70
2000 Total: Balances and receipts .....	60	65	70
Appropriations:			
Current law:			
2101 Payment to Puerto Rico Oversight Board .....	-60	-65	-70
5099 Balance, end of year .....	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 328-5619-0-2-806	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Payment to Oversight Board .....	60	65	70
0900 Total new obligations, unexpired accounts (object class 25.2) .....	60	65	70
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	60	65	70
1930 Total budgetary resources available .....	60	65	70
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	60	65	70
3020 Outlays (gross) .....	-60	-65	-70
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	60	65	70
Outlays, gross:			
4100 Outlays from new mandatory authority .....	60	65	70
4180 Budget authority, net (total) .....	60	65	70
4190 Outlays, net (total) .....	60	65	70

The Puerto Rico Oversight, Management, and Economic Stability Act (P.L. 114-187) created an oversight board that is not a department, agency, establishment, or instrumentality of the Federal Government but is an entity within the territorial government, which is not subject to the supervision or control of any Federal agency. See 42 U.S.C. § 2121(c). Although the Board's financing is derived entirely from the territorial government, the flow of funds from the territory to the Board is mandated by Federal law. Because Federal law prescribes the flow of funds to the Board, the Budget reflects the allocation of resources by the territorial government to the new territorial entity with a net zero Federal deficit impact, consistent with long-standing budgetary concepts. Because the Board itself is not a Federal entity, its operations will not be included in the Federal Government's Budget. Data are presented here on a Puerto Rico fiscal year basis (July 1 to June 30).

RAILROAD RETIREMENT BOARD

Federal Funds

DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$19,000,000]** \$16,000,000, which shall include amounts becoming available in fiscal year **[2019]** 2020 pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: *Provided*, That the total

amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 060-0111-0-1-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Dual Benefits Payments Account (Direct) .....	22	19	16
0900 Total new obligations, unexpired accounts (object class 41.0) .....	22	19	16
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	21	18	15
Appropriations, mandatory:			
1200 Appropriation .....	1	1	1
1900 Budget authority (total) .....	22	19	16
1930 Total budgetary resources available .....	22	19	16

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....		2	2
3010 New obligations, unexpired accounts .....	22	19	16
3020 Outlays (gross) .....	-20	-19	-16
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....		2	2
3200 Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	21	18	15
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	18	15
Mandatory:			
4090 Budget authority, gross .....	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority .....	1	1	1
4180 Budget authority, net (total) .....	22	19	16
4190 Outlays, net (total) .....	20	19	16

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

Established in conjunction with the Railroad Retirement Solvency Act of 1983, this account acts as a conduit for various financial transactions, such as interfund transfers and fund transfers from the Department of the Treasury.

**FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS**

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, **[2020]** 2021, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 060-0113-0-1-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Federal Payments to Railroad Retirement Accounts (Direct) .....	775	733	692
0900 Total new obligations, unexpired accounts (object class 42.0) .....	775	733	692
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	15		
1020 Adjustment of unobligated bal brought forward, Oct 1 .....	-15		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation .....	775	733	692
1930 Total budgetary resources available .....	775	733	692

**Change in obligated balance:**

Unpaid obligations:			
3010 New obligations, unexpired accounts .....	775	733	692
3020 Outlays (gross) .....	-775	-733	-692

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	775	733	692
Outlays, gross:			
4100 Outlays from new mandatory authority .....	775	733	692
4180 Budget authority, net (total) .....	775	733	692
4190 Outlays, net (total) .....	775	733	692

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits.

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

Identification code 060-0117-0-1-603	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	133	133	133
1930 Total budgetary resources available .....	133	133	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	133	133	133

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	1	1
3050 Unpaid obligations, end of year .....	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	1	1
3200 Obligated balance, end of year .....	1	1	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112-78), the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

Identification code 060-0114-0-1-603	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	9	9
1930 Total budgetary resources available .....	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	9	9	9
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

**Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 060-8051-0-7-603	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Railroad Unemployment Insurance Trust Fund (Direct) .....	113	119	129
0801 Railroad Unemployment Insurance Trust Fund (Reimbursable) .....	11	16	18
0900 Total new obligations, unexpired accounts .....	124	135	147
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	20	19	17
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	121	109	100
1203 Appropriation (unavailable balances) .....	73	102	111
1234 Appropriations precluded from obligation .....	-102	-111	-99
1260 Appropriations, mandatory (total) .....	92	100	112
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	12	16	18
1900 Budget authority (total) .....	124	135	147
1930 Total budgetary resources available .....	124	135	147
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	5	.....
3010 New obligations, unexpired accounts .....	124	135	147
3020 Outlays (gross) .....	-124	-140	-147
3050 Unpaid obligations, end of year .....	5	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	5	5	.....
3200 Obligated balance, end of year .....	5	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	20	19	17
Outlays, gross:			
4010 Outlays from new discretionary authority .....	18	19	17
Mandatory:			
4090 Budget authority, gross .....	104	116	130
Outlays, gross:			
4100 Outlays from new mandatory authority .....	104	116	130
4101 Outlays from mandatory balances .....	2	5	.....
4110 Outlays, gross (total) .....	106	121	130
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-12	-16	-18
4180 Budget authority, net (total) .....	112	119	129
4190 Outlays, net (total) .....	112	124	129
<b>Memorandum (non-add) entries:</b>			
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1	1	1

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

**Object Classification** (in millions of dollars)

Identification code 060-8051-0-7-603	2018 actual	2019 est.	2020 est.
Direct obligations:			
42.0 Benefit payments .....	95	100	112
94.0 Financial transfers .....	18	19	17
99.0 Direct obligations .....	113	119	129
99.0 Reimbursable obligations .....	11	16	18
99.9 Total new obligations, unexpired accounts .....	124	135	147

**RAIL INDUSTRY PENSION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 060-8011-0-7-601	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	50	234	569
Receipts:			
Current law:			
1110 Refunds, Rail Industry Pension Fund .....	-2	-82	-3
1110 Taxes, Rail Industry Pension Fund .....	3,355	3,382	3,411
1140 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....	16	13	15
1140 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund .....	1,809	1,814	1,508
1140 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....	470	472	405
1199 Total current law receipts .....	5,648	5,599	5,336
1999 Total receipts .....	5,648	5,599	5,336
2000 Total: Balances and receipts .....	5,698	5,833	5,905
Appropriations:			
Current law:			
2101 Rail Industry Pension Fund .....	-86	-87	-80
2101 Rail Industry Pension Fund .....	-5,562	-5,598	-5,336
2103 Rail Industry Pension Fund .....	-447	-656	-989
2134 Rail Industry Pension Fund .....	631	1,077	799
2199 Total current law appropriations .....	-5,464	-5,264	-5,606
2999 Total appropriations .....	-5,464	-5,264	-5,606
5099 Balance, end of year .....	234	569	299

**Program and Financing** (in millions of dollars)

Identification code 060-8011-0-7-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund (Direct) .....	5,563	5,637	5,700
<b>Budgetary resources:</b>			
Unobligated balance:			
1033 Recoveries of prior year paid obligations .....	8	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	86	87	80
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	5,562	5,598	5,336
1203 Appropriation (unavailable balances) .....	447	656	989
1221 Appropriations transferred from other acct [060-8010] ...	91	373	94
1234 Appropriations precluded from obligation .....	-631	-1,077	-799
1260 Appropriations, mandatory (total) .....	5,469	5,550	5,620
1900 Budget authority (total) .....	5,555	5,637	5,700
1930 Total budgetary resources available .....	5,563	5,637	5,700
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	397	422	.....
3010 New obligations, unexpired accounts .....	5,563	5,637	5,700
3020 Outlays (gross) .....	-5,538	-6,059	-5,700
3050 Unpaid obligations, end of year .....	422	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	397	422	.....
3200 Obligated balance, end of year .....	422	.....	.....
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	86	87	80
Outlays, gross:			
4010 Outlays from new discretionary authority .....	86	87	80
Mandatory:			
4090 Budget authority, gross .....	5,469	5,550	5,620
Outlays, gross:			
4100 Outlays from new mandatory authority .....	5,442	5,550	5,620
4101 Outlays from mandatory balances .....	10	422	.....
4110 Outlays, gross (total) .....	5,452	5,972	5,620
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-8	.....	.....
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts .....	8	.....	.....

4160	Budget authority, net (mandatory) .....	5,469	5,550	5,620
4170	Outlays, net (mandatory) .....	5,444	5,972	5,620
4180	Budget authority, net (total) .....	5,555	5,637	5,700
4190	Outlays, net (total) .....	5,530	6,059	5,700

**Memorandum (non-add) entries:**

5000	Total investments, SOY: Federal securities: Par value .....	419	612	989
5001	Total investments, EOY: Federal securities: Par value .....	612	989	719

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Approximately 10,000 individuals also receive a "windfall" benefit.

**Status of Funds** (in millions of dollars)

Identification code 060-8011-0-7-601	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	486	705	590
0999 Total balance, start of year .....	486	705	590
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Rail Industry Pension Fund .....	-2	-82	-3
1110 Taxes, Rail Industry Pension Fund .....	3,355	3,382	3,411
1130 Rail Industry Pension Fund .....	8		
1150 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....	16	13	15
1160 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund .....	1,809	1,814	1,508
1160 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....	470	472	405
1160 Limitation on the Office of Inspector General .....	13	12	12
1160 Limitation on Administration .....	154	155	147
1199 Income under present law .....	5,823	5,766	5,495
1999 Total cash income .....	5,823	5,766	5,495
Cash outgo during year:			
Current law:			
2100 Rail Industry Pension Fund [446-00-8011-0] .....	-5,538	-6,059	-5,700
2100 Limitation on the Office of Inspector General [446-00-8018-0] .....	-12	-12	-12
2100 Limitation on Administration [446-00-8237-0] .....	-146	-183	-148
2199 Outgo under current law .....	-5,696	-6,254	-5,860
2999 Total cash outgo (-) .....	-5,696	-6,254	-5,860
Surplus or deficit:			
3110 Excluding interest .....	111	-501	-380
3120 Interest .....	16	13	15
3199 Subtotal, surplus or deficit .....	127	-488	-365
3230 Rail Industry Pension Fund .....	91	373	94
3298 Rounding adjustment .....	1		
3299 Total adjustments .....	92	373	94
3999 Total change in fund balance .....	219	-115	-271
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	93	-399	-400
4200 Rail Industry Pension Fund .....	612	989	719
4999 Total balance, end of year .....	705	590	319

**Object Classification** (in millions of dollars)

Identification code 060-8011-0-7-601	2018 actual	2019 est.	2020 est.	
Direct obligations:				
42.0	Benefit payments .....	5,477	5,550	5,620
94.0	Financial transfers .....	86	87	80
99.9	Total new obligations, unexpired accounts .....	5,563	5,637	5,700

**LIMITATION ON ADMINISTRATION**

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$123,500,000]** \$116,225,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund: *Provided*, That notwithstanding

section 7(b)(9) of the Railroad Retirement Act this limitation may be used to hire attorneys only through the excepted service: *Provided further*, That the previous proviso shall not change the status under Federal employment laws of any attorney hired by the Railroad Retirement Board prior to January 1, 2013: *Provided further*, That **[\$10,000,000]** \$2,725,000, to remain available until expended, shall be used to supplement, not supplant, existing resources devoted to operations and improvements for the Board's Information Technology Investment Initiatives. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 060-8237-0-7-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Rail Industry Pension Fund .....	73	80	74
0002 Railroad Social Security Equivalent Benefit .....	25	28	28
0003 Railroad Unemployment Insurance Trust Fund .....	16	17	15
0004 MACRA Transfer - SSN Removal .....	1		
0100 Subtotal, direct program .....	115	125	117
0799 Total direct obligations .....	115	125	117
0801 Medicare and other reimbursements .....	30	30	30
0900 Total new obligations, unexpired accounts .....	145	155	147
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	4	12	12
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	154	155	147
1900 Budget authority (total) .....	154	155	147
1930 Total budgetary resources available .....	158	167	159
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1		
1941 Unexpired unobligated balance, end of year .....	12	12	12
Special and non-revolving trust funds:			
1951 Unobligated balance expiring .....	1		
1952 Expired unobligated balance, start of year .....	4	4	4
1953 Expired unobligated balance, end of year .....	3	4	4
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	29	29	1
3010 New obligations, unexpired accounts .....	145	155	147
3011 Obligations ("upward adjustments"), expired accounts .....	2		
3020 Outlays (gross) .....	-146	-183	-148
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	29	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	29	29	1
3200 Obligated balance, end of year .....	29	1	
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	154	155	147
Outlays, gross:			
4010 Outlays from new discretionary authority .....	127	155	147
4011 Outlays from discretionary balances .....	19		
4020 Outlays, gross (total) .....	146	155	147
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-154	-155	-147
4040 Offsets against gross budget authority and outlays (total) ....	-154	-155	-147
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances .....		28	1
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....	-8	28	1

The table below shows anticipated workloads.

	2017 actual	2018 actual	2019 est.	2020 est.
Pending, start of year .....	15,320	10,014	11,020	11,076
New Railroad Retirement applications .....	38,333	38,350	38,000	36,000
New Social Security certifications .....	4,526	3,393	3,000	3,000
Total dispositions (excluding partial awards) .....	48,165	40,737	40,944	39,414
Pending, end of year .....	10,014	11,020	11,076	10,662

LIMITATION ON ADMINISTRATION—Continued

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2017 act.	2018 act.	2019 est.
Total beneficiaries .....	1,009,500	894,196	549,154	518,416	513,732	509,500

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes four (4) legislative proposals: the first legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management; the second legislative proposal is to amend the Railroad Retirement Act and the Railroad Unemployment Insurance Act to include a felony charge for individuals committing fraud against the Agency. Under this proposal, both the Railroad Retirement Act and the Railroad Unemployment Insurance Act would be amended to include a felony charge similar to violations under 42 U.S.C. 408, 18 U.S.C. 1001, or 18 U.S.C. 287; the third legislative proposal is to amend the Social Security Act to provide access for the Railroad Retirement Board to the National Directory of New Hires and to waive the customary fees for the Railroad Retirement Board; lastly, the fourth legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board to utilize student and recent graduate hiring authority available to other Federal agencies.

Object Classification (in millions of dollars)

Identification code 060-8237-0-7-601	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	61	63	61
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	64	66	64
12.1 Civilian personnel benefits .....	21	21	20
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	8	6	6
25.2 Other services from non-Federal sources .....	16	25	20
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
99.0 Direct obligations .....	115	125	117
99.0 Reimbursable obligations .....	30	30	30
99.9 Total new obligations, unexpired accounts .....	145	155	147

Employment Summary

Identification code 060-8237-0-7-601	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	719	706	667
2001 Reimbursable civilian full-time equivalent employment .....	97	95	95

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8118-0-7-601	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	26,192	26,453	25,528
0198 Reconciliation adjustment .....	164		
0198 Reconciliation adjustment .....	-7		
0199 Balance, start of year .....	26,349	26,453	25,528
<b>Receipts:</b>			
<b>Current law:</b>			
1130 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	1,578	409	409

1130 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	406	453	454
1140 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	7	27	27
1199 Total current law receipts .....	1,991	889	890
1999 Total receipts .....	1,991	889	890
2000 Total: Balances and receipts .....	28,340	27,342	26,418
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 National Railroad Retirement Investment Trust .....	-1,887	-1,814	-1,508
5099 Balance, end of year .....	26,453	25,528	24,910

Program and Financing (in millions of dollars)

Identification code 060-8118-0-7-601	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 NRRIT expenses .....	1,887	1,814	1,508
0900 Total new obligations, unexpired accounts (object class 94.0) .....	1,887	1,814	1,508
<b>Budgetary resources:</b>			
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	1,887	1,814	1,508
1930 Total budgetary resources available .....	1,887	1,814	1,508
<b>Change in obligated balance:</b>			
<b>Unpaid obligations:</b>			
3010 New obligations, unexpired accounts .....	1,887	1,814	1,508
3020 Outlays (gross) .....	-1,887	-1,814	-1,508
<b>Budget authority and outlays, net:</b>			
<b>Mandatory:</b>			
4090 Budget authority, gross .....	1,887	1,814	1,508
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	1,887	1,814	1,508
4180 Budget authority, net (total) .....	1,887	1,814	1,508
4190 Outlays, net (total) .....	1,887	1,814	1,508
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,064	884	891
5001 Total investments, EOY: Federal securities: Par value .....	884	891	867
5010 Total investments, SOY: non-Fed securities: Market value .....	25,300	25,589	24,701
5011 Total investments, EOY: non-Fed securities: Market value .....	25,589	24,701	24,030

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 060-8118-0-7-601	2018 actual	2019 est.	2020 est.
<b>Unexpended balance, start of year:</b>			
0100 Balance, start of year .....	26,358	26,453	25,849
0298 Reconciliation adjustment .....	-330		
0999 Total balance, start of year .....	26,028	26,453	25,849
<b>Cash income during the year:</b>			
<b>Current law:</b>			
<b>Receipts:</b>			
1150 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....	1,578	409	409
1150 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....	7	27	27
1150 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust .....	406	453	454
1199 Income under present law .....	1,991	889	890
1999 Total cash income .....	1,991	889	890
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
2100 National Railroad Retirement Investment Trust [446-00-8118-0] .....	-1,887	-1,814	-1,508
2199 Outgo under current law .....	-1,887	-1,814	-1,508
2999 Total cash outgo (-) .....	-1,887	-1,814	-1,508
<b>Surplus or deficit:</b>			
3110 Excluding interest .....	-1,887	-1,814	-1,508
3120 Interest .....	1,991	889	890
3199 Subtotal, surplus or deficit .....	104	-925	-618

3298	Reconciliation adjustment .....	321	321	321
3299	Total adjustments .....	321	321	321
3999	Total change in fund balance .....	425	-604	-297
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year .....	25,569	24,958	24,685
4200	National Railroad Retirement Investment Trust .....	884	891	867
4999	Total balance, end of year .....	26,453	25,849	25,552

99.0	Direct obligations .....	9	9	9
99.0	Reimbursable obligations .....	1	1	1
99.5	Adjustment for rounding .....	2	2	2
99.9	Total new obligations, unexpired accounts .....	12	12	12

**Employment Summary**

Identification code 060-8018-0-7-601		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	41	46	46
2001	Reimbursable civilian full-time equivalent employment .....	7	8	8

**LIMITATION ON THE OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than \$11,000,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2019.*)

**Program and Financing** (in millions of dollars)

Identification code 060-8018-0-7-601		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Rail Industry Pension Fund .....	7	7	7
0002	Railroad Social Security Equivalent Benefit .....	3	3	3
0003	Railroad Unemployment Insurance Trust .....	1	1	1
0100	Subtotal, direct program .....	11	11	11
0799	Total direct obligations .....	11	11	11
0801	Medicare and other reimbursements .....	1	1	1
0900	Total new obligations, unexpired accounts .....	12	12	12

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	1		
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected .....	12	12	12
1930	Total budgetary resources available .....	13	12	12
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1		
Special and non-revolving trust funds:				
1951	Unobligated balance expiring .....	1		
1952	Expired unobligated balance, start of year .....	1	3	3
1953	Expired unobligated balance, end of year .....	2	3	3

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	2	2
3010	New obligations, unexpired accounts .....	12	12	12
3020	Outlays (gross) .....	-12	-12	-12
3050	Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	2	2
3200	Obligated balance, end of year .....	2	2	2

<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	12	12	12
Outlays, gross:				
4010	Outlays from new discretionary authority .....	11	12	12
4011	Outlays from discretionary balances .....	1		
4020	Outlays, gross (total) .....	12	12	12
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources .....	-13	-12	-12
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts .....	1		
4080	Outlays, net (discretionary) .....	-1		
4180	Budget authority, net (total) .....			
4190	Outlays, net (total) .....	-1		

**Object Classification** (in millions of dollars)

Identification code 060-8018-0-7-601		2018 actual	2019 est.	2020 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	6	7	7
12.1	Civilian personnel benefits .....	3	2	2

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT**

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 060-8010-0-7-601		2018 actual	2019 est.	2020 est.
0100	Balance, start of year .....	338	624	457
Receipts:				
Current law:				
1110	Refunds, Railroad Social Security Equivalent Benefit Account .....	-2	-76	-3
1110	Railroad Social Security Equivalent Benefit Account, Taxes .....	2,969	3,032	3,118
1110	Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-571	-593	-607
1140	Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities .....	24	22	25
1140	Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	300	261	287
1140	Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-26	-26	-28
1140	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,769	4,930	4,928
1140	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	174	73	114
1140	Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account .....	5	5	6
1199	Total current law receipts .....	7,642	7,628	7,840
1999	Total receipts .....	7,642	7,628	7,840
2000	Total: Balances and receipts .....	7,980	8,252	8,297
Appropriations:				
Current law:				
2101	Railroad Social Security Equivalent Benefit Account .....	-30	-30	-31
2101	Railroad Social Security Equivalent Benefit Account .....	-7,612	-7,628	-7,840
2103	Railroad Social Security Equivalent Benefit Account .....	-918	-1,122	-962
2134	Railroad Social Security Equivalent Benefit Account .....	1,204	985	1,006
2199	Total current law appropriations .....	-7,356	-7,795	-7,827
2999	Total appropriations .....	-7,356	-7,795	-7,827
5099	Balance, end of year .....	624	457	470

**Program and Financing** (in millions of dollars)

Identification code 060-8010-0-7-601		2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>				
0001	Railroad Social Security Equivalent Benefit Account (Direct) .....	7,369	7,571	7,808
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	
1001	Discretionary unobligated balance brought fwd, Oct 1 .....		1	
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust fund) .....	30	30	31
Appropriations, mandatory:				
1201	Appropriation (special or trust fund) .....	7,612	7,628	7,840
1203	Appropriation (previously unavailable) .....	918	1,122	962
1220	Appropriations transferred to other accts [060-8011] .....	-91	-373	-94
1234	Appropriations precluded from obligation .....	-1,204	-985	-1,006
1236	Appropriations applied to repay debt .....	-4,091	-4,093	-4,253
1260	Appropriations, mandatory (total) .....	3,144	3,299	3,449
Borrowing authority, mandatory:				
1400	Borrowing authority .....	4,195	4,241	4,328
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	1		
1900	Budget authority (total) .....	7,370	7,570	7,808

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued**  
**Program and Financing—Continued**

Identification code 060-8010-0-7-601	2018 actual	2019 est.	2020 est.
1930 Total budgetary resources available .....	7,370	7,571	7,808
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	1		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	579	587	1
3010 New obligations, unexpired accounts .....	7,369	7,571	7,808
3020 Outlays (gross) .....	-7,361	-8,157	-7,808
3050 Unpaid obligations, end of year .....	587	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	579	587	1
3200 Obligated balance, end of year .....	587	1	1
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	30	30	31
Outlays, gross:			
4010 Outlays from new discretionary authority .....	30	30	31
Mandatory:			
4090 Budget authority, gross .....	7,340	7,540	7,777
Outlays, gross:			
4100 Outlays from new mandatory authority .....	7,331	7,540	7,777
4101 Outlays from mandatory balances .....		587	
4110 Outlays, gross (total) .....	7,331	8,127	7,777
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-1		
4180 Budget authority, net (total) .....	7,369	7,570	7,808
4190 Outlays, net (total) .....	7,360	8,157	7,808
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	892	1,057	961
5001 Total investments, EOY: Federal securities: Par value .....	1,057	961	987
5080 Outstanding debt, SOY .....	-3,712	-3,725	-3,963
5081 Outstanding debt, EOY .....	-3,725	-3,963	-4,038
5082 Borrowing .....	-4,104	-4,331	-4,328

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2018, \$4.104 million was advanced and \$4.091 million was repaid.

**Status of Funds** (in millions of dollars)

Identification code 060-8010-0-7-601	2018 actual	2019 est.	2020 est.
Unexpended balance, start of year:			
0100 Balance, start of year .....	-2,794	-2,603	-3,505
0999 Total balance, start of year .....	-2,794	-2,603	-3,505
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account .....	-2	-76	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes .....	2,969	3,032	3,118
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund .....	-571	-593	-607
1150 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities ....	24	22	25

1150 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund .....	-26	-26	-28
1160 Railroad Social Security Equivalent Benefit Account .....	1		
1160 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....	300	261	287
1160 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....	4,769	4,930	4,928
1160 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....	174	73	114
1160 Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account .....	5	5	6
1199 Income under present law .....	7,643	7,628	7,840
1999 Total cash income .....	7,643	7,628	7,840
Cash outgo during year:			
Current law:			
2100 Railroad Social Security Equivalent Benefit Account [446-00-8010-0] .....	-7,361	-8,157	-7,808
2199 Outgo under current law .....	-7,361	-8,157	-7,808
2999 Total cash outgo (-) .....	-7,361	-8,157	-7,808
Surplus or deficit:			
3110 Excluding interest .....	284	-525	35
3120 Interest .....	-2	-4	-3
3199 Subtotal, surplus or deficit .....	282	-529	32
3230 Railroad Social Security Equivalent Benefit Account .....	-91	-373	-94
3299 Total adjustments .....	-91	-373	-94
3999 Total change in fund balance .....	191	-902	-62
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year .....	-3,660	-4,466	-4,554
4200 Railroad Social Security Equivalent Benefit Account .....	1,057	961	987
4999 Total balance, end of year .....	-2,603	-3,505	-3,567

**Object Classification** (in millions of dollars)

Identification code 060-8010-0-7-601	2018 actual	2019 est.	2020 est.
Direct obligations:			
42.0 Benefit payments .....	7,232	7,168	7,683
94.0 Financial transfers .....	107	373	94
94.0 Financial transfers .....	30	30	31
99.9 Total new obligations, unexpired accounts .....	7,369	7,571	7,808

**RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD**

*Federal Funds*

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

Identification code 539-3725-0-1-808	2018 actual	2019 est.	2020 est.
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1		
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1		
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Recovery Accountability and Transparency Board (Board) is an independent Federal agency charged with coordinating and conducting oversight of funds provided under the Disaster Relief Appropriations Act of 2013 and the American Recovery and Reinvestment Act of 2009 in order to detect and prevent fraud, waste, and abuse. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste, and abuse in Federal spending. The Board provides support to the Inspector General

and law enforcement communities. The Board sunset on September 30, 2015.

**SECURITIES AND EXCHANGE COMMISSION**

*Federal Funds*

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, \$1,745,954,305, to remain available until expended; of which not less than \$15,662,339 shall be for the Office of Inspector General; of which not to exceed \$75,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence.

In addition to the foregoing appropriation, for move, replication, and related costs associated with a replacement lease for the Commission's New York Regional Office facilities, not to exceed \$10,524,799, to remain available until expended.

For purposes of calculating the fee rate under section 31(j) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(j)) for fiscal year 2020, all amounts appropriated under this heading shall be deemed to be the regular appropriation to the Commission for fiscal year 2020.

Provided, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: Provided further, That not to exceed \$1,745,954,305 of such offsetting collections shall be available until expended for necessary expenses of this account and not to exceed \$10,524,799 of such offsetting collections shall be available until expended for move, replication, and related costs under this heading associated with a replacement lease for the Commission's New York Regional Office facilities: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2020 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2020 appropriation from the general fund estimated at not more than \$0: Provided further, That if any amount of the appropriation for move, replication, and related costs associated with a replacement lease for the Commission's New York Regional Office facilities is subsequently de-obligated by the Commission, such amount that was derived from the general fund shall be returned to the general fund, and such amounts that were derived from fees or assessments collected for such purpose shall be paid to each national securities exchange and national securities association, respectively, in proportion to any fees or assessments paid by such national securities exchange or national securities association under section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) in fiscal year 2020.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115–245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 050–0100–0–1–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Enforcement	513	534	571
0002 Compliance Inspections and Examinations	366	357	384
0003 Corporation Finance	147	146	155
0004 Trading and Markets	84	85	93
0005 Investment Management	60	60	63
0006 Economic and Risk Analysis	71	69	74
0007 General Counsel	49	47	50
0008 Other Program Offices	77	78	85
0009 Agency Direction and Administrative Support	238	245	267
0010 Inspector General	16	17	19
0011 Relocation Costs		245	11
0900 Total new obligations, unexpired accounts	1,621	1,883	1,772
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	257	255

1021 Recoveries of prior year unpaid obligations	35	23	30
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	51	280	285
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Relocation Costs)	145		
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,655	1,653	1,746
1700 Collected (Relocation Costs)	99	245	11
1701 Change in uncollected payments, Federal sources	–1		
1750 Spending auth from offsetting collections, disc (total)	1,753	1,898	1,757
1900 Budget authority (total)	1,898	1,898	1,757
1901 Adjustment for new budget authority used to liquidate deficiencies	–71	–40	–25
1930 Total budgetary resources available	1,878	2,138	2,017
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	257	255	245

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	655	579	637
3010 New obligations, unexpired accounts	1,621	1,883	1,772
3020 Outlays (gross)	–1,662	–1,802	–1,872
3040 Recoveries of prior year unpaid obligations, unexpired	–35	–23	–30
3050 Unpaid obligations, end of year	579	637	507
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1		
3070 Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	654	579	637
3200 Obligated balance, end of year	579	637	507

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross	1,898	1,898	1,757
Outlays, gross:			
4010 Outlays from new discretionary authority	1,282	1,405	1,485
4011 Outlays from discretionary balances	380	397	387
4020 Outlays, gross (total)	1,662	1,802	1,872
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–2		
4033 Non-Federal sources	–1	–1	
4034 Offsetting governmental collections	–1,653	–1,652	–1,746
4034 Offsetting governmental collections (Relocation Costs)	–99	–245	–11
4040 Offsets against gross budget authority and outlays (total)	–1,755	–1,898	–1,757
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	145		
4080 Outlays, net (discretionary)	–93	–96	115
4180 Budget authority, net (total)	145		
4190 Outlays, net (total)	–93	–96	115

**Memorandum (non-add) entries:**

5090 Unexpired unavailable balance, SOY: Offsetting collections	6,549	6,549	6,549
5092 Unexpired unavailable balance, EOY: Offsetting collections	6,549	6,549	6,549

**Unfunded deficiencies:**

7000 Unfunded deficiency, start of year	–139	–68	–28
Change in deficiency during the year:			
7012 Budgetary resources used to liquidate deficiencies	71	40	25
7020 Unfunded deficiency, end of year	–68	–28	–3

The primary mission of the Securities and Exchange Commission (SEC) is to: protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC's six major programs include the following:

**Enforcement.**—The Division of Enforcement investigates and prosecutes civil violations of the Federal securities laws and works closely with the Department of Justice and other law enforcement partners to coordinate and assist in criminal prosecutions.

**Compliance Inspections and Examinations.**—The Office of Compliance Inspections and Examinations conducts the SEC's examination program

SALARIES AND EXPENSES—Continued

to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC.

*Corporation Finance.*—The Division of Corporation Finance selectively reviews company disclosures to ensure that investors have the information necessary to make informed investment decisions and to help deter fraud and misrepresentation in securities transactions.

*Trading and Markets.*—The Division of Trading and Markets' (TM) mission is to establish and maintain standards for fair, orderly, and efficient markets while fostering investor protection and confidence in the markets. TM oversees the activities of industry self-regulatory organizations, such as the Financial Industry Regulatory Authority, and directly regulates market participants where Commission rulemaking is more effective than self-regulation.

*Investment Management.*—The Division of Investment Management works to protect investors, promote informed investment decision making, and facilitate appropriate innovation in investment products and services through regulation of the asset management industry.

*Economic and Risk Analysis.*—The Division of Economic and Risk Analysis integrates financial economics and rigorous data analytics into the core mission of the SEC.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy, the Office of the Chief Accountant, and the Office of International Affairs.

The SEC is funded through offsetting fees and assessments collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes \$1.746 billion in collections to fund SEC operations in 2020. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

In addition to \$1.746 billion in support of operations, the Budget proposes an amount for move, replication, and related costs associated with a replacement lease for the Commission's New York Regional Office facilities. At this time, this amount is estimated at \$10.5 million. This amount would not be used for the operations of the SEC, and the proposed appropriations language provides a mechanism whereby any unused portion of these funds could be refunded to fee payers (or returned to the general fund of the Treasury) as rapidly as practicable.

Object Classification (in millions of dollars)

Identification code 050-0100-0-1-376	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	835	853	898
11.3 Other than full-time permanent .....	30		
11.5 Other personnel compensation .....	9	7	10
11.8 Special personal services payments .....	2	3	3
11.9 Total personnel compensation .....	876	863	911
12.1 Civilian personnel benefits .....	285	285	294
13.0 Benefits for former personnel .....	1		1
21.0 Travel and transportation of persons .....	10	11	11
23.1 Rental payments to GSA .....	17	259	36
23.2 Rental payments to others .....	17	43	46
23.3 Communications, utilities, and miscellaneous charges .....	14	13	14
24.0 Printing and reproduction .....	5	3	3
25.1 Advisory and assistance services .....	59	64	69
25.2 Other services from non-Federal sources .....	54	55	66
25.3 Other goods and services from Federal sources .....	32	43	47
25.4 Operation and maintenance of facilities .....	11	9	11
25.7 Operation and maintenance of equipment .....	207	203	207
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	30	28	47
32.0 Land and structures .....	2	1	6
42.0 Insurance claims and indemnities .....			1
99.0 Direct obligations .....	1,621	1,883	1,772
99.9 Total new obligations, unexpired accounts .....	1,621	1,883	1,772

Employment Summary

Identification code 050-0100-0-1-376	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,483	4,415	4,502

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5566-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	31	6	6
<b>Receipts:</b>			
<b>Current law:</b>			
1110 Registration Fees, Securities and Exchange Commission Reserve Fund .....	50	50	50
2000 Total: Balances and receipts .....	81	56	56
<b>Appropriations:</b>			
<b>Current law:</b>			
2101 Securities and Exchange Commission Reserve Fund .....	-50	-50	-50
2103 Securities and Exchange Commission Reserve Fund .....	-30	-5	-5
2132 Securities and Exchange Commission Reserve Fund .....	5	5	
2199 Total current law appropriations .....	-75	-50	-55
2999 Total appropriations .....	-75	-50	-55
5099 Balance, end of year .....	6	6	1

Program and Financing (in millions of dollars)

Identification code 050-5566-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Enforcement .....	23	24	20
0002 Compliance Inspections and Examinations .....	18	19	16
0003 Corporation Finance .....	4	4	3
0004 Trading and Markets .....	2	2	2
0005 Investment Management .....	1	2	1
0006 Economic and Risk Analysis .....	2	2	2
0007 General Counsel .....	1	1	1
0008 Other Program Offices .....	2	2	2
0009 Agency Direction and Administrative Support .....	9	9	8
0900 Total new obligations, unexpired accounts .....	62	65	55

Budgetary resources:

<b>Unobligated balance:</b>			
1000 Unobligated balance brought forward, Oct 1 .....	1	15	
1021 Recoveries of prior year unpaid obligations .....	1		
1050 Unobligated balance (total) .....	2	15	
<b>Budget authority:</b>			
<b>Appropriations, mandatory:</b>			
1201 Appropriation (special or trust fund) .....	50	50	50
1203 Appropriation (previously unavailable) .....	30	5	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-5	-5	
1260 Appropriations, mandatory (total) .....	75	50	55
1900 Budget authority (total) .....	75	50	55
1930 Total budgetary resources available .....	77	65	55
<b>Memorandum (non-add) entries:</b>			
1941 Unexpired unobligated balance, end of year .....	15		

Change in obligated balance:

<b>Unpaid obligations:</b>			
3000 Unpaid obligations, brought forward, Oct 1 .....	54	58	57
3010 New obligations, unexpired accounts .....	62	65	55
3020 Outlays (gross) .....	-57	-66	-52
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1		
3050 Unpaid obligations, end of year .....	58	57	60
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	54	58	57
3200 Obligated balance, end of year .....	58	57	60

Budget authority and outlays, net:

<b>Mandatory:</b>			
4090 Budget authority, gross .....	75	50	55
<b>Outlays, gross:</b>			
4100 Outlays from new mandatory authority .....	16	17	22

4101	Outlays from mandatory balances .....	41	49	30
4110	Outlays, gross (total) .....	57	66	52
4180	Budget authority, net (total) .....	75	50	55
4190	Outlays, net (total) .....	57	66	52

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) to establish the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund in the Treasury from which the Commission may obligate amounts determined necessary to carry out Commission functions. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is funded by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a–24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50 million and obligations from the Reserve Fund may not exceed \$100 million. The balance in the Reserve Fund may not exceed \$100 million. Amounts in the Reserve Fund are available until expended. (The remainder of registration fee collections for each fiscal year are deposited in the general fund of the Treasury and are not available for obligation by the Commission.)

Amounts collected and deposited in the Reserve Fund are not subject to appropriation or apportionment. However, the Commission is required to notify the Congress of the amount and purpose of any obligations made utilizing amounts from the Reserve Fund within 10 days.

The 2020 Budget proposes to eliminate the Reserve Fund in 2021. Registration fees currently deposited in the Reserve Fund would be redirected to the general fund of the Treasury.

#### Object Classification (in millions of dollars)

Identification code 050–5566–0–2–376	2018 actual	2019 est.	2020 est.
Direct obligations:			
25.1 Advisory and assistance services .....	6	6	5
25.7 Operation and maintenance of equipment .....	11	11	10
31.0 Equipment .....	45	48	40
99.9 Total new obligations, unexpired accounts .....	62	65	55

#### INVESTOR PROTECTION FUND

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 050–5567–0–2–376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....		13	7
Receipts:			
Current law:			
1110 Monetary Sanctions, Investor Protection Fund .....	180	81	79
1140 Interest, Investor Protection Fund .....	6	7	7
1199 Total current law receipts .....	186	88	86
1999 Total receipts .....	186	88	86
2000 Total: Balances and receipts .....	186	101	93
Appropriations:			
Current law:			
2101 Investor Protection Fund .....	–185	–88	–86
2103 Investor Protection Fund .....		–12	–6
2132 Investor Protection Fund .....	12	6	
2199 Total current law appropriations .....	–173	–94	–92
2999 Total appropriations .....	–173	–94	–92
5099 Balance, end of year .....	13	7	1

#### Program and Financing (in millions of dollars)

Identification code 050–5567–0–2–376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Enforcement .....	172	92	92
0900 Total new obligations, unexpired accounts (object class 11.8) .....	172	92	92
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	297	298	300
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	185	88	86
1203 Appropriation (previously unavailable) .....		12	6
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	–12	–6	
1260 Appropriations, mandatory (total) .....	173	94	92
1930 Total budgetary resources available .....	470	392	392
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	298	300	300
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	21	99	97
3010 New obligations, unexpired accounts .....	172	92	92
3020 Outlays (gross) .....	–94	–94	–92
3050 Unpaid obligations, end of year .....	99	97	97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	21	99	97
3200 Obligated balance, end of year .....	99	97	97
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	173	94	92
Outlays, gross:			
4100 Outlays from new mandatory authority .....		6	6
4101 Outlays from mandatory balances .....	94	88	86
4110 Outlays, gross (total) .....	94	94	92
4180 Budget authority, net (total) .....	173	94	92
4190 Outlays, net (total) .....	94	94	92
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	289	310	315
5001 Total investments, EOY: Federal securities: Par value .....	310	315	315

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act), the Congress substantially expanded the Securities and Exchange Commission's (SEC or Commission) authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with knowledge of possible securities laws violations to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

To comply with direction provided in the Dodd-Frank Act, the SEC's Division of Enforcement established an Office of the Whistleblower to administer and enforce the whistleblower award program. The Investor Protection Fund (the Fund), established by the Dodd-Frank Act, provides resources for payments to whistleblowers and for the SEC's Office of the Inspector General Employee Suggestion Program. Deposits into the Fund are comprised of a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the Commission under the Federal securities laws that are not added to a disgorgement fund or other fund under section 308 of the Sarbanes-Oxley Act of 2002 (P.L. 107–204), as well as amounts in such funds that will not be distributed to injured investors. No sanction collected by the Commission can be deposited into the Fund if the balance at the time the sanction is collected exceeds \$300 million. No funds have been taken or withheld from harmed investors to pay whistleblower awards. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives.

INVESTOR PROTECTION FUND—Continued

The figures reported for 2019 and 2020 are based on assumptions regarding several variables inherent to litigation and to the Commission's whistleblower award process. Given the potential for significant variation in the payouts and their timing, it is possible that actual payouts will be either significantly higher or significantly lower than these estimates.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Offsetting receipts from the public:			
050-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....	2	1	.....
050-149200 Post-Judgment Interest .....	.....	.....	1
General Fund Offsetting receipts from the public .....	2	1	1

SMITHSONIAN INSTITUTION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, \$759,345,000 to remain available until September 30, 2021, except as otherwise provided herein; of which not to exceed \$6,908,000 for the instrumentation program, collections acquisition, exhibition reinstallation, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: Provided further, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to be available for expenses associated with the purchase of a building to be identified in the District of Columbia metropolitan area to the extent that federally supported activities will be housed there: Provided further, That the use of such amounts in the general trust funds of the Institution for such purpose shall not be construed as debt service for, a Federal guarantee of, a transfer of risk to, or an obligation of the Federal Government.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 033-0100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Public programs .....	45	45	50
0002 Exhibitions .....	55	55	57
0003 Collections .....	74	74	75
0004 Research .....	99	99	100
0005 Facilities .....	225	225	236
0006 Security & safety .....	93	93	98
0007 Information technology .....	48	48	48
0008 Operations .....	96	96	97
0799 Total direct obligations .....	735	735	761
0821 Salaries and Expenses (Reimbursable) .....	9	9	9
0900 Total new obligations, unexpired accounts .....	744	744	770

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1 .....	56	53	49
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	731	731	759
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	9	9	9
1701 Change in uncollected payments, Federal sources .....	2	.....	.....
1750 Spending auth from offsetting collections, disc (total) .....	11	9	9
1900 Budget authority (total) .....	742	740	768
1930 Total budgetary resources available .....	798	793	817
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-1	.....	.....
1941 Unexpired unobligated balance, end of year .....	53	49	47

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1 .....	121	121	120
3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....	2	.....	.....
3010 New obligations, unexpired accounts .....	744	744	770
3020 Outlays (gross) .....	-745	-745	-764
3041 Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050 Unpaid obligations, end of year .....	121	120	126
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-6	-6
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....	-2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired .....	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year .....	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	118	115	114
3200 Obligated balance, end of year .....	115	114	120

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross .....	742	740	768
Outlays, gross:			
4010 Outlays from new discretionary authority .....	602	629	653
4011 Outlays from discretionary balances .....	143	116	111
4020 Outlays, gross (total) .....	745	745	764
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-9	-9	-9
4040 Offsets against gross budget authority and outlays (total) .....	-9	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-2	.....	.....
4060 Additional offsets against budget authority only (total) .....	-2	.....	.....
4070 Budget authority, net (discretionary) .....	731	731	759
4080 Outlays, net (discretionary) .....	736	736	755
4180 Budget authority, net (total) .....	731	731	759
4190 Outlays, net (total) .....	736	736	755

The Smithsonian Institution conducts research in natural and physical sciences, history and the history of cultures, technology and the arts. The Institution acquires and preserves more than 155 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

**Object Classification** (in millions of dollars)

Identification code 033-0100-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	317	319	322
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	17	17	17
11.9 Total personnel compensation .....	338	340	343
12.1 Civilian personnel benefits .....	113	114	116
21.0 Travel and transportation of persons .....	6	5	6
22.0 Transportation of things .....	1	1	1
23.3 Rent, Communications, and Utilities .....	92	95	99
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	141	137	149
26.0 Supplies and materials .....	20	19	22
31.0 Equipment .....	18	18	19
32.0 Land and structures .....	5	5	5
99.0 Direct obligations .....	735	735	761
99.0 Reimbursable obligations .....	9	9	9
99.9 Total new obligations, unexpired accounts .....	744	744	770

**Employment Summary**

Identification code 033-0100-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	4,056	4,232	4,261

**FACILITIES CAPITAL**

*For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, \$219,000,000, including support for revitalization of the National Air and Space Museum, to remain available until expended, of which not to exceed \$10,000 shall be for services as authorized by 5 U.S.C. 3109.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 033-0103-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0010 Construction .....	6	8	1
0020 Revitalization .....	267	285	199
0030 Facilities planning and design .....	19	22	29
0900 Total new obligations, unexpired accounts .....	292	315	229
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	17	37	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	312	312	219
1930 Total budgetary resources available .....	329	349	253
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	37	34	24
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	157	316	331
3010 New obligations, unexpired accounts .....	292	315	229
3020 Outlays (gross) .....	-133	-300	-227
3050 Unpaid obligations, end of year .....	316	331	333
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	157	316	331
3200 Obligated balance, end of year .....	316	331	333
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	312	312	219
Outlays, gross:			
4010 Outlays from new discretionary authority .....	20	78	55

4011 Outlays from discretionary balances .....	113	222	172
4020 Outlays, gross (total) .....	133	300	227
4180 Budget authority, net (total) .....	312	312	219
4190 Outlays, net (total) .....	133	300	227

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design funding related to these activities. The 2020 President's Budget provides funds for critical infrastructure improvements at the National Museum of Natural History, the National Zoological Park and the National Museum of the American Indian facility in New York. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Air and Space Museum facilities and the Hirshhorn Museum and Sculpture Garden.

**Object Classification** (in millions of dollars)

Identification code 033-0103-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
12.1 Civilian personnel benefits .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services from non-Federal sources .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	15	15	15
32.0 Land and structures .....	268	290	204
99.9 Total new obligations, unexpired accounts .....	292	315	229

**Employment Summary**

Identification code 033-0103-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	48	48	48

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS****OPERATIONS AND MAINTENANCE**

*For necessary expenses for the operation, maintenance, and security of the John F. Kennedy Center for the Performing Arts, \$25,690,000, to remain available until September 30, 2021.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 033-0302-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operations and Maintenance, JFK Center for the Performing Arts (Direct) .....	24	24	26
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	20	20	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	24	24	26
1900 Budget authority (total) .....	24	24	26
1930 Total budgetary resources available .....	44	44	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	20	20	20
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	4	5	5
3010 New obligations, unexpired accounts .....	24	24	26

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS—Continued  
Program and Financing—Continued

Identification code 033-0302-0-1-503	2018 actual	2019 est.	2020 est.
3020 Outlays (gross) .....	-23	-24	-26
3050 Unpaid obligations, end of year .....	5	5	5
3060 Uncollected payments:			
Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-20	-20	-20
3090 Uncollected pymts, Fed sources, end of year .....	-20	-20	-20
3100 Memorandum (non-add) entries:			
Obligated balance, start of year .....	-16	-15	-15
3200 Obligated balance, end of year .....	-15	-15	-15
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	24	24	26
Outlays, gross:			
4010 Outlays from new discretionary authority .....	19	19	21
4011 Outlays from discretionary balances .....	4	5	5
4020 Outlays, gross (total) .....	23	24	26
4180 Budget authority, net (total) .....	24	24	26
4190 Outlays, net (total) .....	23	24	26

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 033-0302-0-1-503	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	6	6	6
25.2 Other services from non-Federal sources .....	13	13	15
99.9 Total new obligations, unexpired accounts .....	24	24	26

Employment Summary

Identification code 033-0302-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	55	55	60

CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, \$14,000,000, to remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 033-0303-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Capital Repair and Restoration .....	8	17	14
0900 Total new obligations, unexpired accounts (object class 25.2) .....	8	17	14
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	12	21	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	17	17	14
1930 Total budgetary resources available .....	29	38	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	21	21	21
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	7	8	8

3010 New obligations, unexpired accounts .....	8	17	14
3020 Outlays (gross) .....	-7	-17	-15
3050 Unpaid obligations, end of year .....	8	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	7	8	8
3200 Obligated balance, end of year .....	8	8	7
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	17	17	14
Outlays, gross:			
4010 Outlays from new discretionary authority .....	2	10	8
4011 Outlays from discretionary balances .....	5	7	7
4020 Outlays, gross (total) .....	7	17	15
4180 Budget authority, net (total) .....	17	17	14
4190 Outlays, net (total) .....	7	17	15

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, \$139,000,000, to remain available until September 30, 2021, of which not to exceed \$3,660,000 for the special exhibition program shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 033-0200-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and expenses .....	142	142	139
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	6	7	8
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	7	8	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	142	142	139
1930 Total budgetary resources available .....	149	150	148
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	7	8	9
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	23	35	36
3010 New obligations, unexpired accounts .....	142	142	139
3020 Outlays (gross) .....	-129	-140	-150
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3050 Unpaid obligations, end of year .....	35	36	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	23	35	36

3200	Obligated balance, end of year .....	35	36	24
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	142	142	139
Outlays, gross:				
4010	Outlays from new discretionary authority .....	107	119	117
4011	Outlays from discretionary balances .....	22	21	33
4020	Outlays, gross (total) .....	129	140	150
4180	Budget authority, net (total) .....	142	142	139
4190	Outlays, net (total) .....	129	140	150

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

**Object Classification** (in millions of dollars)

Identification code 033-0200-0-1-503	2018 actual	2019 est.	2020 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	61	69	63
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	5	5	5
11.9	Total personnel compensation .....	67	75	69
12.1	Civilian personnel benefits .....	22	24	24
22.0	Transportation of things .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	8	13	13
25.2	Other services .....	22	19	21
25.4	Operation and maintenance of facilities .....	5	4	4
26.0	Supplies and materials .....	2	2	3
31.0	Equipment .....	7	4	4
32.0	Land and structures .....	8		
99.9	Total new obligations, unexpired accounts .....	142	142	139

**Employment Summary**

Identification code 033-0200-0-1-503	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	790	878	810

**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, \$15,114,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 033-0201-0-1-503	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Repair, Restoration, and Renovation of Buildings .....	44	24	15
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	20		1
1021	Recoveries of prior year unpaid obligations .....		1	
1050	Unobligated balance (total) .....	20	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	24	24	15
1930	Total budgetary resources available .....	44	25	16

<b>Memorandum (non-add) entries:</b>				
1941	Unexpired unobligated balance, end of year .....		1	1

**Change in obligated balance:**

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	9	44	40
3010	New obligations, unexpired accounts .....	44	24	15
3020	Outlays (gross) .....	-9	-27	-32
3040	Recoveries of prior year unpaid obligations, unexpired .....		-1	
3050	Unpaid obligations, end of year .....	44	40	23
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	9	44	40
3200	Obligated balance, end of year .....	44	40	23

**Budget authority and outlays, net:**

Discretionary:				
4000	Budget authority, gross .....	24	24	15
Outlays, gross:				
4010	Outlays from new discretionary authority .....		10	6
4011	Outlays from discretionary balances .....	9	17	26
4020	Outlays, gross (total) .....	9	27	32
4180	Budget authority, net (total) .....	24	24	15
4190	Outlays, net (total) .....	9	27	32

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, and leases of space necessitated by such renovations. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

**Object Classification** (in millions of dollars)

Identification code 033-0201-0-1-503	2018 actual	2019 est.	2020 est.	
Direct obligations:				
23.3	Communications, utilities, and miscellaneous charges .....	5	5	5
32.0	Land and structures .....	39	19	10
99.9	Total new obligations, unexpired accounts .....	44	24	15

**Employment Summary**

Identification code 033-0201-0-1-503	2018 actual	2019 est.	2020 est.	
1001	Direct civilian full-time equivalent employment .....	2	2	2

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**

**SALARIES AND EXPENSES**

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, \$8,139,000, to remain available until September 30, 2021.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 033-0400-0-1-503	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Salaries and expenses .....	11	12	8
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	12	12	8
1930	Total budgetary resources available .....	12	13	9
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	4	4	4

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS—Continued  
**Program and Financing—Continued**

Identification code 033-0400-0-1-503	2018 actual	2019 est.	2020 est.
3010 New obligations, unexpired accounts .....	11	12	8
3020 Outlays (gross) .....	-11	-12	-9
3050 Unpaid obligations, end of year .....	4	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	4	4	4
3200 Obligated balance, end of year .....	4	4	3
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	12	12	8
Outlays, gross:			
4010 Outlays from new discretionary authority .....	6	9	6
4011 Outlays from discretionary balances .....	5	3	3
4020 Outlays, gross (total) .....	11	12	9
4180 Budget authority, net (total) .....	12	12	8
4190 Outlays, net (total) .....	11	12	9

The Woodrow Wilson Center facilitates scholarship in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue. The Budget proposes to eliminate funding for several independent agencies, including the Woodrow Wilson Center. The Budget provides \$8,139 million in FY 2020 to support an orderly transition to privately-funded operations.

**Object Classification** (in millions of dollars)

Identification code 033-0400-0-1-503	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	2	2
25.2 Other services from non-Federal sources .....	4	4	2
41.0 Grants, subsidies, and contributions .....	2	2	.....
99.9 Total new obligations, unexpired accounts .....	11	12	8

**Employment Summary**

Identification code 033-0400-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	35	44	41

**STATE JUSTICE INSTITUTE**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) \$6,555,000, of which \$500,000 shall remain available until September 30, 2021: Provided, That not to exceed \$2,250 shall be available for official reception and representation expenses: Provided further, That, for the purposes of section 504 of this Act, the State Justice Institute shall be considered an agency of the United States Government.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 453-0052-0-1-752	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Salaries and Expenses (Direct) .....	5	5	7
0900 Total new obligations, unexpired accounts (object class 41.0) .....	5	5	7

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	5	5	7
1930 Total budgetary resources available .....	5	5	7

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	8	9	5
3010 New obligations, unexpired accounts .....	5	5	7
3020 Outlays (gross) .....	-4	-9	-5
3050 Unpaid obligations, end of year .....	9	5	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	9	5
3200 Obligated balance, end of year .....	9	5	7

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	5	5	7
Outlays, gross:			
4010 Outlays from new discretionary authority .....	1	.....	1
4011 Outlays from discretionary balances .....	3	9	4
4020 Outlays, gross (total) .....	4	9	5
4180 Budget authority, net (total) .....	5	5	7
4190 Outlays, net (total) .....	4	9	5

The State Justice Institute (SJI) was established by Federal law (42 U.S.C. 10701 et seq.) as a non-profit corporation to award grants and undertake other activities to improve the quality of justice in State courts and foster innovative, efficient solutions to common issues faced by all courts. SJI has the authority to assist all State courts—criminal, civil, juvenile, family, and appellate—and the mandate to share the success of one State's innovations with every State court system and the Federal courts.

**SURFACE TRANSPORTATION BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, \$37,100,000: Provided, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: Provided further, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2020, to result in a final appropriation from the general fund estimated at no more than \$35,850,000.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 472-0301-0-1-401	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Direct program activity - Rail Carriers .....	34	37	37
0100 Direct program activities, subtotal .....	34	37	37

**Budgetary resources:**

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	37	36	36
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	.....	1	1
1900 Budget authority (total) .....	37	37	37
1930 Total budgetary resources available .....	37	37	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-3	.....	.....

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	5	8	4
3010 New obligations, unexpired accounts .....	34	37	37

3011	Obligations ("upward adjustments"), expired accounts .....	1		
3020	Outlays (gross) .....	-32	-41	-37
3050	Unpaid obligations, end of year .....	8	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	5	8	4
3200	Obligated balance, end of year .....	8	4	4
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	37	37	37
Outlays, gross:				
4010	Outlays from new discretionary authority .....	29	33	33
4011	Outlays from discretionary balances .....	3	8	4
4020	Outlays, gross (total) .....	32	41	37
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources .....		-1	-1
4180	Budget authority, net (total) .....	37	36	36
4190	Outlays, net (total) .....	32	40	36

The Surface Transportation Board (STB or Board) is charged with the economic oversight of the Nation's freight rail system. The Board's regulatory jurisdiction includes freight railroad rate reasonableness, car service and interchange, mergers and line acquisitions, line constructions, and line abandonments and discontinuances.<sup>[1]</sup> While the majority of the Board's work involves freight railroads, the Board also performs certain oversight of passenger rail matters, the intercity bus industry, non-energy pipelines, household goods carriers' tariffs, and rate regulation of non-contiguous domestic water transportation (marine freight shipping involving the mainland United States, Hawaii, Alaska, Puerto Rico, and other U.S. Territories and possessions). The bipartisan Board was established in 1996 as the successor agency to the Interstate Commerce Commission.<sup>[2]</sup> The STB was decisionally independent but administratively housed within the Department of Transportation prior to enactment of the Surface Transportation Board Reauthorization Act of 2015 (STB Reauthorization Act).<sup>[3]</sup> The STB Reauthorization Act established the STB as a wholly independent agency, expanded its membership from three to five Board members, and provided certain new authority and directives.

2020 Program: The Board requests \$37,100,000 to carry out its mission as directed under the law. Note, the Board seeks the same funding level it requested in its 2019 budget request submitted along with the President's 2019 Budget to the Congress. This includes a request for \$1,250,000 from offsetting collections of user fees as a credit to the appropriation received, to the extent collected.

The STB's 2020 budget request would maintain current operational funding to meet its statutory responsibilities and continue meeting the needs of stakeholders and the public. This level of funding would sustain the personnel and non-personnel investments made in 2018 and 2019 to manage workload and to help modernize the Board's IT infrastructure and improve its cybersecurity posture. Further, the request also seeks resources necessary to help the Board increase efficiencies and modernize its processes.

[1] 49 U.S.C. §§ 10101-11908.

[2] ICC Termination Act of 1995, P.L. 101-88, 109 Stat. 803 (1995).

[3] Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110, 129 Stat. 2228 (2015).

**Object Classification** (in millions of dollars)

Identification code 472-0301-0-1-401		2018 actual	2019 est.	2020 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	15	18	18
11.3	Other than full-time permanent .....	1	1	1
11.9	Total personnel compensation .....	16	19	19
12.1	Civilian personnel benefits .....	5	6	6
23.1	Rental payments to GSA .....	4	4	4
25.2	Other services from non-Federal sources .....	4	4	4

25.3	Other goods and services from Federal sources .....	5	4	4
99.9	Total new obligations, unexpired accounts .....	34	37	37

**Employment Summary**

Identification code 472-0301-0-1-401		2018 actual	2019 est.	2020 est.
1001	Direct civilian full-time equivalent employment .....	112	142	142

**TENNESSEE VALLEY AUTHORITY**

*Federal Funds*

TENNESSEE VALLEY AUTHORITY FUND

**Program and Financing** (in millions of dollars)

Identification code 455-4110-0-3-999		2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>				
0801	Power program: Operating expenses .....	8,064	7,837	7,814
0802	Power program: Capital expenditures .....	1,756	1,907	1,872
0803	Other Cash Items .....	34,641	26,889	17,192
0804	Non-Federal Investments .....	1,963	12,535	22,176
0809	Reimbursable program activities, subtotal .....	46,424	49,168	49,054
0900	Total new obligations, unexpired accounts .....	46,424	49,168	49,054

<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	2,978	4,859	4,255
1022	Capital transfer of unobligated balances to general fund .....	-5	-6	-6
1050	Unobligated balance (total) .....	2,973	4,853	4,249
Budget authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority .....	93	866	782
Spending authority from offsetting collections, mandatory:				
1800	Collected .....	48,129	47,639	47,780
1801	Change in uncollected payments, Federal sources .....	88	65	-20
1850	Spending auth from offsetting collections, mand (total) .....	48,217	47,704	47,760
1900	Budget authority (total) .....	48,310	48,570	48,542
1930	Total budgetary resources available .....	51,283	53,423	52,791
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	4,859	4,255	3,737

<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	1,940	1,982	2,580
3010	New obligations, unexpired accounts .....	46,424	49,168	49,054
3020	Outlays (gross) .....	-46,382	-48,570	-48,542
3050	Unpaid obligations, end of year .....	1,982	2,580	3,092
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-1,569	-1,657	-1,722
3070	Change in uncollected pymts, Fed sources, unexpired .....	-88	-65	20
3090	Uncollected pymts, Fed sources, end of year .....	-1,657	-1,722	-1,702
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	371	325	858
3200	Obligated balance, end of year .....	325	858	1,390

<b>Budget authority and outlays, net:</b>				
Mandatory:				
4090	Budget authority, gross .....	48,310	48,570	48,542
Outlays, gross:				
4100	Outlays from new mandatory authority .....		46,630	48,542
4101	Outlays from mandatory balances .....	46,382	1,940	
4110	Outlays, gross (total) .....	46,382	48,570	48,542
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources .....	-395	-2,000	-2,000
4123	Non-Federal sources .....	-47,734	-47,299	-47,776
4130	Offsets against gross budget authority and outlays (total) ...	-48,129	-49,299	-49,776
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired .....	-88	-65	20
4160	Budget authority, net (mandatory) .....	93	-794	-1,214
4170	Outlays, net (mandatory) .....	-1,747	-729	-1,234
4180	Budget authority, net (total) .....	93	-794	-1,214

TENNESSEE VALLEY AUTHORITY FUND—Continued  
Program and Financing—Continued

Identification code 455-4110-0-3-999	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....	-1,747	-729	-1,234
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	25	25	25
5001 Total investments, EOY: Federal securities: Par value .....	25	25	25
5010 Total investments, SOY: non-Fed securities: Market value .....	254	254	270
5011 Total investments, EOY: non-Fed securities: Market value .....	254	270	270

Summary of Budget Authority and Outlays (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	93	-794	-1,214
Outlays .....	-1,747	-729	-1,234
Legislative proposal, subject to PAYGO:			
Budget Authority .....			229
Outlays .....			229
Total:			
Budget Authority .....	93	-794	-985
Outlays .....	-1,747	-729	-1,005

Status of Direct Loans (in millions of dollars)

Identification code 455-4110-0-3-999	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	36	44	62
1231 Disbursements: Direct loan disbursements .....	12	25	25
1251 Repayments: Repayments and prepayments .....	-4	-7	-8
1290 Outstanding, end of year .....	44	62	79

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation charged with the mission to improve the quality of life in the Tennessee Valley through the integrated management of the region's resources. The TVA Act sets forth the agency's purpose: to address the Valley's most important issues in energy, environmental stewardship, and economic development. TVA is currently self-funded, financing its operations almost entirely from revenues and power system financings.

**TVA's Non-Power Programs.**—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide other public benefits. TVA is responsible for stewardship activities within the Tennessee Valley that include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

**TVA's Power Program.**—TVA supplies electric power to an area of 80,000 square miles covering parts of the seven Tennessee Valley States: Tennessee, Alabama, Mississippi, Kentucky, Georgia, North Carolina, and Virginia. Estimated income from power operations, net of interest charges, depreciation, and other operating expenses, is \$1.5 billion in 2020 on operating revenues of \$10.9 billion. Power generating facilities are financed from power revenues and power system financings. TVA's power system financings consist primarily of the sale of debt securities and secondarily of alternative forms of financing, such as lease arrangements.

**TVA Policy Initiatives.**—TVA is executing a strategic plan to reduce its debt to approximately \$22 billion by 2023. This plan, adopted by the TVA Board as part of its fiscal year 2014 planning cycle, is designed to achieve the strategic debt goal by implementing modest annual base rate actions while focusing on aligning operating and maintenance spending with revenues. TVA also established a goal of reducing operating costs by \$500

million relative to the 2013 budget. TVA exceeded this goal by approximately \$300 million, for a total reduction of \$800 million, and is committed to future continuous improvement initiatives. Additionally, TVA's rate position compared to peers has improved since embarking on the strategic debt reduction plan.

At the same time, TVA has continued to make decisions to move toward an optimized generation fleet as an important part of improving operational performance. TVA has been working for several years toward this balanced portfolio as it provides greater flexibility to generate cleaner, low-cost energy more efficiently from a variety of fuel sources.

During 2018, at the Allen Fossil Plant site in Memphis, TN, TVA completed a natural gas-fired facility which began commercial operations in April 2018. As planned, TVA retired the Allen coal-fired units in March 2018 upon completion of the new natural gas-fired facility. Also, selective catalytic reduction systems became operational in November 2017 at the Gallatin Fossil Plant site in Tennessee, and additional pollution controls became operational by December 2017 on Units 1 and 4 of the Shawnee Fossil Plant in Kentucky. TVA is currently implementing an extended power uprate project at all three units of the Browns Ferry Nuclear Plant. This project is expected to be completed by the end of fiscal year 2019 while providing approximately 465 MW of additional clean, carbon free power to the TVA system.

**Economic Development.**—TVA is charged with providing the people of the Tennessee Valley region greater opportunities for prosperity. To that end, TVA works to foster capital investment and job growth in the Valley in collaboration with regional, State and local organizations. In 2018, TVA worked in partnership with communities and the business sector to spur \$11.3 billion in capital investment in the Tennessee Valley region and helped attract and retain more than 65,000 jobs.

**Financing.**—Amounts estimated to become available for TVA programs in 2020 are to be derived from operating revenues of \$10.9 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. TVA's outstanding debt and debt-like obligations were \$24.3 billion at the beginning of 2019 and are estimated to be \$22.3 billion by the end of 2020. At the beginning of 2019, TVA had \$1.6 billion in debt-like obligations that are not counted against its statutory debt cap. In addition, TVA had an unfunded pension liability of \$3.7 billion as of September 30, 2018.

**Operating results and financial conditions.**—Payments to the Treasury from power proceeds in 2020 are estimated at a \$6 million return on the appropriation investment in the power program. Total capital spending for 2020 is estimated at \$1.9 billion, which in addition to new generation capacity includes approximately \$300 million for environmental projects and \$1.1 billion to maintain TVA's existing generation assets. Total government equity at September 30, 2020, is estimated to be \$1.5 billion more than that at September 30, 2019. This change includes the estimated net income from power operations and payments to the Treasury. As of September 30, 2018, the funding status of TVA employees' defined benefit pension plan (TVARS) increased to a 68% funding ratio with a \$3.7 billion unfunded liability. This compares to a 63% funding ratio and \$4.6 billion unfunded liability in 2017, and a 55% funding ratio and \$5.9 billion unfunded liability in 2016. TVA contributed \$300 million to TVARS, and incurred \$358 million in actuarial costs in 2018. TVARS also made \$719 million in payments to beneficiaries and earned \$454 million, or a 5.5 percent rate of return, on the plan's investments in 2018.

Balance Sheet (in millions of dollars)

Identification code 455-4110-0-3-999	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
1101 Fund balances with Treasury .....	46	46
Investments in U.S. securities:		
1106 Receivables, net .....	69	45
Non-Federal assets:		
1201 Investments in non-Federal securities, net .....	2,603	2,862
1206 Receivables, net .....	1,500	1,613

1207	Advances and prepayments .....	64	86
1601	Direct loans, gross .....	215	229
1603	Allowance for estimated uncollectible loans and interest (-) .....		-1
1605	Accounts receivable from foreclosed property .....		
1699	Value of assets related to direct loans .....	215	228
	Other Federal assets:		
1801	Cash and other monetary assets .....	5,498	4,294
1802	Inventories and related properties .....	1,065	961
1803	Property, plant and equipment, net .....	34,948	35,413
1901	Regulatory assets due to pensions .....	4,009	3,119
1999	Total assets .....	50,017	48,667
	LIABILITIES:		
2101	Federal liabilities: Accounts payable .....	221	143
	Non-Federal liabilities:		
2201	Accounts payable .....	1,682	1,805
2202	Interest payable .....	346	305
2203	Debt, Alternative Financing .....	1,649	1,476
2203	Debt, Notes/Bonds .....	23,931	22,406
2204	Liabilities for loan guarantees .....		
2206	Pension and post-retirement benefits .....	5,107	4,150
2207	Other .....	7,948	8,100
2999	Total liabilities .....	40,884	38,385
	NET POSITION:		
3300	Cumulative results of operations .....	9,133	10,282
4999	Total liabilities and net position .....	50,017	48,667

**Object Classification** (in millions of dollars)

Identification code 455-4110-0-3-999	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	953	1,103	1,105
11.5 Other personnel compensation .....	171	87	87
11.9 Total personnel compensation .....	1,124	1,190	1,192
12.1 Civilian personnel benefits .....	758	585	570
21.0 Travel and transportation of persons .....	33	21	19
22.0 Transportation of things .....	10	5	5
23.2 Rental payments to others .....	71	65	60
24.0 Printing and reproduction .....	2	1	1
25.1 Advisory and assistance services .....	22	15	13
25.2 Other services from non-Federal sources .....	230	215	221
25.7 Operation and maintenance of equipment .....	1,726	1,651	1,441
26.0 Supplies and materials .....	1,336	1,360	1,214
31.0 Equipment .....	397	526	787
32.0 Land and structures .....	17		
33.0 Investments and loans .....	40,660	43,505	43,505
41.0 Grants, subsidies, and contributions .....	36	29	26
42.0 Insurance claims and indemnities .....	2		
99.9 Total new obligations, unexpired accounts .....	46,424	49,168	49,054

**Employment Summary**

Identification code 455-4110-0-3-999	2018 actual	2019 est.	2020 est.
2001 Reimbursable civilian full-time equivalent employment .....	10,023	10,000	10,000

**TENNESSEE VALLEY AUTHORITY FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 455-4110-4-3-999	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0803 Other Cash Items .....			229
0809 Reimbursable program activities, subtotal .....			229
0900 Total new obligations, unexpired accounts (object class 43.0) .....			229
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....			229
1900 Budget authority (total) .....			229
1930 Total budgetary resources available .....			229
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			229

3020	Outlays (gross) .....		-229
	<b>Budget authority and outlays, net:</b>		
	Mandatory:		
4090	Budget authority, gross .....		229
	Outlays, gross:		
4100	Outlays from new mandatory authority .....		229
4180	Budget authority, net (total) .....		229
4190	Outlays, net (total) .....		229

This proposal would authorize the Federal government to sell the transmission assets of the Tennessee Valley Authority, which operates and maintains over 16,000 circuit-miles of high voltage transmission lines and over 500 substations/switching stations.

**U.S. AGENCY FOR GLOBAL MEDIA**

*Federal Funds*

**INTERNATIONAL BROADCASTING OPERATIONS**

For necessary expenses to enable the United States Agency for Global Media (USAGM), as authorized, to carry out international communication activities, and to make and supervise grants for radio, Internet, and television broadcasting including to the Middle East, \$623,525,000: Provided, That in addition to amounts otherwise available for such purposes, up to \$32,782,600 of the amount appropriated under this heading may remain available until expended for satellite transmissions, surge capacity, and Internet freedom programs, of which not less than \$9,500,000 shall be for Internet freedom programs: Provided further, That of the total amount appropriated under this heading, not to exceed \$35,000 may be used for representation expenses, of which \$10,000 may be used for such expenses within the United States as authorized, and not to exceed \$30,000 may be used for representation expenses of Radio Free Europe/Radio Liberty: Provided further, That funds made available under this heading may be used for purposes authorized by section 801(5) of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1471(5)): Provided further, That funds made available under this heading may be used for purposes authorized by section 804(1) of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1474(1)), if equally or better qualified United States citizen applicants are not available when such job vacancies occur: Provided further, That funds made available under this heading may be used for purposes authorized by section 804(20) of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1474(20)): Provided further, That the USAGM shall notify the Committees on Appropriations within 15 days of any determination by the USAGM that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in subsections (a) and (b) of section 303 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6202) or the entity's journalistic code of ethics: Provided further, That significant modifications to USAGM broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all USAGM language services shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from cooperating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, shall remain available until expended for carrying out authorized purposes: Provided further, That the USAGM may transfer to, and merge with, funds under the heading "International Broadcasting Surge Capacity Fund", pursuant to section 316 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6216), for obligation or expenditure by the USAGM for surge capacity, any of the following: (1) unobligated balances of expired funds appropriated under the heading "International Broadcasting Operations" for fiscal year 2019, except for funds designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 U.S.C. 901(b)(2)(A)), at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for their stated purposes; and (2) funds made available for surge capacity under this heading.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

INTERNATIONAL BROADCASTING OPERATIONS—Continued  
Program and Financing (in millions of dollars)

Identification code 514-0206-0-1-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Broadcasting Board of Governors .....	798	798	623
0100 Subtotal, direct obligations .....	798	798	623
0801 International Broadcasting Operations (Reimbursable) .....	4	4	4
0900 Total new obligations, unexpired accounts .....	802	802	627
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	14	15	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	798	798	624
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	1	3	3
1701 Change in uncollected payments, Federal sources .....	4		
1750 Spending auth from offsetting collections, disc (total) .....	5	3	3
1900 Budget authority (total) .....	803	801	627
1930 Total budgetary resources available .....	817	816	641
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	15	14	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	162	176	148
3010 New obligations, unexpired accounts .....	802	802	627
3011 Obligations ("upward adjustments"), expired accounts .....	2	2	2
3020 Outlays (gross) .....	-776	-832	-655
3041 Recoveries of prior year unpaid obligations, expired .....	-14		
3050 Unpaid obligations, end of year .....	176	148	122
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-3	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired .....	-4		
3071 Change in uncollected pymts, Fed sources, expired .....	2		
3090 Uncollected pymts, Fed sources, end of year .....	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	159	171	143
3200 Obligated balance, end of year .....	171	143	117
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	803	801	627
Outlays, gross:			
4010 Outlays from new discretionary authority .....	645	673	527
4011 Outlays from discretionary balances .....	131	159	128
4020 Outlays, gross (total) .....	776	832	655
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-3	-7	-7
4033 Non-Federal sources .....	-1		
4040 Offsets against gross budget authority and outlays (total) ....	-4	-7	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-4		
4052 Offsetting collections credited to expired accounts .....	3	4	4
4060 Additional offsets against budget authority only (total) .....	-1	4	4
4070 Budget authority, net (discretionary) .....	798	798	624
4080 Outlays, net (discretionary) .....	772	825	648
4180 Budget authority, net (total) .....	798	798	624
4190 Outlays, net (total) .....	772	825	648

This appropriation provides operational funding for: U.S. non-military; international media programs including the Voice of America; the Office of Cuba Broadcasting; the necessary engineering and technical needs for all U.S. international media; administrative support activities; and grants to Radio Free Europe/Radio Liberty, Radio Free Asia, and Middle East Broadcasting Networks.

Object Classification (in millions of dollars)

Identification code 514-0206-0-1-154	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	162	164	140
11.3 Other than full-time permanent .....	3	3	2
11.5 Other personnel compensation .....	8	8	6
11.8 Special personal services payments .....	4	4	2
11.9 Total personnel compensation .....	177	179	150
12.1 Civilian personnel benefits .....	56	57	45
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	6	6	4
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	30	31	29
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	54	54	50
25.1 Advisory and assistance services .....	7	7	3
25.2 Other services from non-Federal sources .....	129	133	93
25.4 Operation and maintenance of facilities .....	1	2	1
25.5 Research and development contracts .....	5	5	5
25.7 Operation and maintenance of equipment .....	16	16	8
26.0 Supplies and materials .....	9	9	4
31.0 Equipment .....	15	15	12
41.0 Grants, subsidies, and contributions .....	287	278	217
42.0 Insurance claims and indemnities .....	2	2	1
99.0 Direct obligations .....	797	797	625
99.0 Reimbursable obligations .....	5	5	2
99.9 Total new obligations, unexpired accounts .....	802	802	627

Employment Summary

Identification code 514-0206-0-1-154	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1,571	1,700	1,396

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, repair, preservation, and improvement of facilities for radio, television, and digital transmission and reception; the purchase, rent, and installation of necessary equipment for radio, television, and digital transmission and reception, including to Cuba, as authorized; and physical security worldwide, in addition to amounts otherwise available for such purposes, \$4,551,000, to remain available until expended, as authorized.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 514-0204-0-1-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0002 Upgrade of existing relay station capabilities .....		1	1
0003 Maintenance, improvements, replacements and repairs .....	4	4	4
0005 Satellite and terrestrial feed systems .....	2	1	3
0192 Total direct obligations .....	6	6	8
0900 Total new obligations, unexpired accounts .....	6	6	8
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	9	13	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	10	10	5
1930 Total budgetary resources available .....	19	23	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	13	17	14
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	6	6	2
3010 New obligations, unexpired accounts .....	6	6	8
3020 Outlays (gross) .....	-6	-10	-10
3050 Unpaid obligations, end of year .....	6	2	

Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	6	6	2
3200	Obligated balance, end of year .....	6	2	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	10	10	5
Outlays, gross:				
4010	Outlays from new discretionary authority .....	2	3	2
4011	Outlays from discretionary balances .....	4	7	8
4020	Outlays, gross (total) .....	6	10	10
4180	Budget authority, net (total) .....	10	10	5
4190	Outlays, net (total) .....	6	10	10

This account provides funding for certain costs of capital projects for the agency, including large-scale capital projects, and the preservation, construction, purchase, and maintenance and improvement of the United States Agency for Global Media's worldwide technology infrastructure. This activity funds the upgrade and replacement of transmission facilities and equipment to improve transmission quality, and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

**Object Classification** (in millions of dollars)

Identification code 514-0204-0-1-154	2018 actual	2019 est.	2020 est.	
Direct obligations:				
25.4	Operation and maintenance of facilities .....	4	4	4
31.0	Equipment .....	2	2	4
99.9	Total new obligations, unexpired accounts .....	6	6	8

**BUYING POWER MAINTENANCE**

**Program and Financing** (in millions of dollars)

Identification code 514-1147-0-1-154	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	7	12	12
1012	Unobligated balance transfers between expired and unexpired accounts .....	5	.....	.....
1050	Unobligated balance (total) .....	12	12	12
1930	Total budgetary resources available .....	12	12	12
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	12	12	12
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	.....	.....	.....

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

**Trust Funds**

**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND**

**Program and Financing** (in millions of dollars)

Identification code 514-8285-0-7-602	2018 actual	2019 est.	2020 est.	
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	6	6	6
1930	Total budgetary resources available .....	6	6	6

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year .....	6	6	6
4180	Budget authority, net (total) .....	.....	.....	.....
4190	Outlays, net (total) .....	.....	.....	.....

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Agency for Global Media in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by Division G of P.L. 105-277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

**UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS**

**Federal Funds**

**SALARIES AND EXPENSES**

【For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by sections 7251 through 7298 of title 38, United States Code, \$34,955,000: *Provided*, That \$2,580,000 shall be available for the purpose of providing financial assistance as described and】 *A total of \$35,400,000, of which \$32,701,003 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by 38 U.S.C. §§ 7251-7299 (to include \$800,000 for expenses associated with obtaining a courthouse): Provided, That \$2,698,997, shall be transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law No. 102-229. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2019.)*

**Program and Financing** (in millions of dollars)

Identification code 345-0300-0-1-705	2018 actual	2019 est.	2020 est.	
<b>Obligations by program activity:</b>				
0001	Salaries and Expenses .....	32	35	35
<b>Budgetary resources:</b>				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1 .....	.....	1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation .....	34	35	35
1930	Total budgetary resources available .....	34	36	36
Memorandum (non-add) entries:				
1940	Unobligated balance expiring .....	-1	.....	.....
1941	Unexpired unobligated balance, end of year .....	1	1	1
<b>Change in obligated balance:</b>				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1 .....	2	1	1
3010	New obligations, unexpired accounts .....	32	35	35
3020	Outlays (gross) .....	-32	-35	-36
3041	Recoveries of prior year unpaid obligations, expired .....	-1	.....	.....
3050	Unpaid obligations, end of year .....	1	1	.....
Memorandum (non-add) entries:				
3100	Obligated balance, start of year .....	2	1	1
3200	Obligated balance, end of year .....	1	1	.....
<b>Budget authority and outlays, net:</b>				
Discretionary:				
4000	Budget authority, gross .....	34	35	35
Outlays, gross:				
4010	Outlays from new discretionary authority .....	31	32	32
4011	Outlays from discretionary balances .....	1	3	4
4020	Outlays, gross (total) .....	32	35	36
4180	Budget authority, net (total) .....	34	35	35
4190	Outlays, net (total) .....	32	35	36

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act (Public Law 100-687), Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251-7299. The Court is located in Washington, D.C., but as a national court may sit anywhere in the United States.

SALARIES AND EXPENSES—Continued

The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. Per Public Law 114-315, the Congress has temporarily authorized expansion of the Court to nine active judges. Judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms. The Court is currently staffed at nine active judges, with the terms of two of those judges expiring in December 2019. Upon retirement, a judge may choose to be recall eligible, and thus willing to be recalled to service by the chief judge. Currently eight of the Court's ten retired judges are recall eligible and are recalled to service on a rotational basis. Recall-eligible judges may elect full retirement at any time.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to Department of Veterans Affairs benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeals. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has class action authority, has jurisdiction under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and may act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act. Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if *certiorari* is granted, by the Supreme Court of the United States. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

In 1992, the Congress authorized the Court to transfer funds from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider LSC's budget request separately from the Court's budget request, although both are submitted together.

Object Classification (in millions of dollars)

Identification code 345-0300-0-1-705	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent .....	13	15	16
12.1 Civilian personnel benefits .....	8	8	8
23.1 Rental payments to GSA .....	3	3	2
25.2 Other services from non-Federal sources .....	4	4	4
25.3 Other goods and services from Federal sources .....	1	2	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	3
99.9 Total new obligations, unexpired accounts .....	32	35	35

Employment Summary

Identification code 345-0300-0-1-705	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	115	130	134

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 345-8290-0-7-705	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	44	.....	1

Receipts:			
Current law:			
1140 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE .....	1	1	1
1140 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund .....	4	3	3
1199 Total current law receipts .....	5	4	4
1999 Total receipts .....	5	4	4
2000 Total: Balances and receipts .....	49	4	5
Appropriations:			
Current law:			
2101 Court of Appeals for Veterans Claims Retirement Fund .....	-49	-3	-3
5099 Balance, end of year .....	.....	1	2

Program and Financing (in millions of dollars)

Identification code 345-8290-0-7-705	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Court of Appeals for Veterans Claims Retirement Fund .....	3	3	3
0900 Total new obligations, unexpired accounts (object class 42.0) .....	3	3	3
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	.....	46	46
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	49	3	3
1930 Total budgetary resources available .....	49	49	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	46	46	46
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	3	3	3
3020 Outlays (gross) .....	-3	-3	-3
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	49	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority .....	3	3	3
4180 Budget authority, net (total) .....	49	3	3
4190 Outlays, net (total) .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	41	46	49
5001 Total investments, EOY: Federal securities: Par value .....	46	49	50

The United States Court of Appeals for Veterans Claims Retirement Fund (Retirement Fund or Fund), established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1-percent of their salaries to cover creditable service for retired pay purposes and 2.2-percent of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to the Retirement Fund from the Court's annual appropriation. The Court's contribution to the Fund is estimated annually by an actuarial firm retained by the Court. The Fund is invested solely in government securities.

UNITED STATES ENRICHMENT CORPORATION FUND

Federal Funds

UNITED STATES ENRICHMENT CORPORATION FUND

The unavailable collections currently in the United States Enrichment Corporation Fund shall be transferred to and merged with the Uranium Enrichment Decontamination and Decommissioning Fund and shall be available only to the extent provided in advance in appropriations Acts.

**Program and Financing** (in millions of dollars)

Identification code 486-4054-0-3-271	2018 actual	2019 est.	2020 est.
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1702 Offsetting collections (previously unavailable) .....			1,695
1710 Spending authority from offsetting collections transferred to other accounts [089-5231] .....			-1,695
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	44	45	
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations) .....	-44	-45	
<b>Budget authority and outlays, net:</b>			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities .....	-44	-45	
4180 Budget authority, net (total) .....	-44	-45	
4190 Outlays, net (total) .....	-44	-45	
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	1,606	1,656	1,701
5001 Total investments, EOY: Federal securities: Par value .....	1,656	1,701	
5090 Unexpired unavailable balance, SOY: Offsetting collections .....	1,606	1,650	1,695
5092 Unexpired unavailable balance, EOY: Offsetting collections .....	1,650	1,695	

**UNITED STATES HOLOCAUST MEMORIAL MUSEUM**

*Federal Funds*

**HOLOCAUST MEMORIAL MUSEUM**

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), \$59,000,000, of which \$715,000 shall remain available until September 30, 2022, for the Museum's equipment replacement program; and of which \$1,000,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 456-3300-0-1-503	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Donations, Gifts and Donations .....	22		
2000 Total: Balances and receipts .....	22		
Appropriations:			
Current law:			
2101 Holocaust Memorial Museum .....	-22		
5099 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 456-3300-0-1-503	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Holocaust Memorial Museum .....	79	59	59
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	10	12	12
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	10		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	59	59	59
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	22		
1900 Budget authority (total) .....	81	59	59
1930 Total budgetary resources available .....	91	71	71

1941	2018 actual	2019 est.	2020 est.
<b>Memorandum (non-add) entries:</b>			
Unexpired unobligated balance, end of year .....	12	12	12

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	16	18	18
3010 New obligations, unexpired accounts .....	79	59	59
3011 Obligations ("upward adjustments"), expired accounts .....	1		
3020 Outlays (gross) .....	-77	-59	-60
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	18	18	17
<b>Memorandum (non-add) entries:</b>			
3100 Obligated balance, start of year .....	16	18	18
3200 Obligated balance, end of year .....	18	18	17

**Budget authority and outlays, net:**

Discretionary:			
4000 Budget authority, gross .....	59	59	59
Outlays, gross:			
4010 Outlays from new discretionary authority .....	41	45	45
4011 Outlays from discretionary balances .....	13	14	15
4020 Outlays, gross (total) .....	54	59	60
Mandatory:			
4090 Budget authority, gross .....	22		
Outlays, gross:			
4100 Outlays from new mandatory authority .....	22		
4101 Outlays from mandatory balances .....	1		
4110 Outlays, gross (total) .....	23		
4180 Budget authority, net (total) .....	81	59	59
4190 Outlays, net (total) .....	77	59	60

The Museum is a living memorial to the victims of the Holocaust. As a public-private partnership, it teaches the history and lessons of the Holocaust—lessons about the fragility of societies, the nature of hate and the consequences of indifference.

**Object Classification** (in millions of dollars)

Identification code 456-3300-0-1-503	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	17	17
12.1 Civilian personnel benefits .....	9	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	2	2
23.3 Communications, utilities, and miscellaneous charges .....	4	3	3
24.0 Printing and reproduction .....	2	1	1
25.2 Other services from non-Federal sources .....	22	9	11
25.4 Operation and maintenance of facilities .....	11	16	15
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	8	3	2
99.9 Total new obligations, unexpired accounts .....	79	59	59

**Employment Summary**

Identification code 456-3300-0-1-503	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	174	170	169

**UNITED STATES INSTITUTE OF PEACE**

*Federal Funds*

**UNITED STATES INSTITUTE OF PEACE**

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act (22 U.S.C. 4601 et seq.), \$19,000,000, to remain available until September 30, 2021, which shall not be used for construction activities.

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

UNITED STATES INSTITUTE OF PEACE—Continued  
**Program and Financing** (in millions of dollars)

Identification code 458-1300-0-1-153	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Operating Expenses (Direct) .....	38	38	19
0801 Operating Expenses (Reimbursable) .....	26	4	2
0900 Total new obligations, unexpired accounts .....	64	42	21
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	1	2	
1021 Recoveries of prior year unpaid obligations .....	1	1	1
1050 Unobligated balance (total) .....	2	3	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	38	38	19
Spending authority from offsetting collections, discretionary:			
1700 Collected .....	15	1	1
1701 Change in uncollected payments, Federal sources .....	45		
1750 Spending auth from offsetting collections, disc (total) .....	60	1	1
1900 Budget authority (total) .....	98	39	20
1930 Total budgetary resources available .....	100	42	21
Memorandum (non-add) entries:			
1940 Unobligated balance expiring .....	-34		
1941 Unexpired unobligated balance, end of year .....	2		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	12	24	22
3010 New obligations, unexpired accounts .....	64	42	21
3011 Obligations ("upward adjustments"), expired accounts .....	3		
3020 Outlays (gross) .....	-53	-43	-28
3040 Recoveries of prior year unpaid obligations, unexpired .....	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired .....	-1		
3050 Unpaid obligations, end of year .....	24	22	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....	-4	-45	-45
3070 Change in uncollected pymts, Fed sources, unexpired .....	-45		
3071 Change in uncollected pymts, Fed sources, expired .....	4		
3090 Uncollected pymts, Fed sources, end of year .....	-45	-45	-45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	8	-21	-23
3200 Obligated balance, end of year .....	-21	-23	-31
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	98	39	20
Outlays, gross:			
4010 Outlays from new discretionary authority .....	26	22	11
4011 Outlays from discretionary balances .....	27	21	17
4020 Outlays, gross (total) .....	53	43	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources .....	-15	-1	
4033 Non-Federal sources .....			-1
4040 Offsets against gross budget authority and outlays (total) ....	-15	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired .....	-45		
4060 Additional offsets against budget authority only (total) .....	-45		
4070 Budget authority, net (discretionary) .....	38	38	19
4080 Outlays, net (discretionary) .....	38	42	27
4180 Budget authority, net (total) .....	38	38	19
4190 Outlays, net (total) .....	38	42	27

Created by Congress in 1984, the United States Institute of Peace (USIP) is an independent, nonpartisan institution charged with increasing the nation's capacity to prevent, mitigate, and help resolve international conflict without violence. The Budget proposes to reduce Federal funding for USIP, given its status as an independent nonprofit organization outside the Federal Government, and provides \$19 million to support USIP's core operations and maintenance funding in FY 2020. The Budget assumes that USIP would need to compete for more funding through interagency agreements with other Federal agencies, rather than rely on its direct appropriation as its primary funding source.

**Object Classification** (in millions of dollars)

Identification code 458-1300-0-1-153	2018 actual	2019 est.	2020 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments .....	11	11	10
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	2	2	1
25.2 Other services from non-Federal sources .....	19	19	2
41.0 Grants, subsidies, and contributions .....	3	3	3
99.0 Direct obligations .....	38	38	19
99.0 Reimbursable obligations .....	26	4	2
99.9 Total new obligations, unexpired accounts .....	64	42	21

**UNITED STATES INTERAGENCY COUNCIL ON HOMELESSNESS**

*Federal Funds*

OPERATING EXPENSES

*For closure of the United States Interagency Council on Homelessness, \$730,000, notwithstanding section 209 of title II of the McKinney-Vento Homeless Assistance Act, as amended.*

Note.—A full-year 2019 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Act, 2019 (Division C of P.L. 115-245, as amended). The amounts included for 2019 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

Identification code 376-1300-0-1-808	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0101 Operations .....	4	4	1
0900 Total new obligations, unexpired accounts .....	4	4	1
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation .....	4	4	1
1930 Total budgetary resources available .....	4	4	1
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	2	2
3010 New obligations, unexpired accounts .....	4	4	1
3020 Outlays (gross) .....	-3	-4	-1
3050 Unpaid obligations, end of year .....	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	2	2
3200 Obligated balance, end of year .....	2	2	2
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	4	4	1
Outlays, gross:			
4010 Outlays from new discretionary authority .....	3	4	1
4180 Budget authority, net (total) .....	4	4	1
4190 Outlays, net (total) .....	3	4	1

The Budget proposes to eliminate funding for several independent agencies, including the U.S. Interagency Council on Homelessness (USICH), as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$730,000 to conduct an orderly closeout of USICH, which includes sufficient funding for closeout activities and payroll liabilities that come due in fiscal year 2020, including severance for USICH staff.

**Object Classification** (in millions of dollars)

Identification code 376-1300-0-1-808	2018 actual	2019 est.	2020 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	.....
99.5 Adjustment for rounding .....	2	2	1
99.9 Total new obligations, unexpired accounts .....	4	4	1

**Employment Summary**

Identification code 376-1300-0-1-808	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	16	16	1

**VIETNAM EDUCATION FOUNDATION****Federal Funds**

## VIETNAM DEBT REPAYMENT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 519-5365-0-2-154	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	1	1	1
Receipts:			
Current law:			
1140 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund .....	9	.....	.....
2000 Total: Balances and receipts .....	10	1	1
Appropriations:			
Current law:			
2101 Vietnam Debt Repayment Fund .....	-9	.....	.....
5099 Balance, end of year .....	1	1	1

**Program and Financing** (in millions of dollars)

Identification code 519-5365-0-2-154	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Vietnam Debt Repayment Fund (Direct) .....	1	.....	.....
0900 Total new obligations, unexpired accounts (object class 25.2) .....	1	.....	.....

**Budgetary resources:**

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	7	11	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	9	.....	.....
1220 Appropriations transferred to other acct [019-0209] .....	-4	.....	.....
1260 Appropriations, mandatory (total) .....	5	.....	.....
1930 Total budgetary resources available .....	12	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	11	11	11

**Change in obligated balance:**

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	1	.....	.....
3010 New obligations, unexpired accounts .....	1	.....	.....
3020 Outlays (gross) .....	-2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	1	.....	.....

**Budget authority and outlays, net:**

Mandatory:			
4090 Budget authority, gross .....	5	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances .....	2	.....	.....
4180 Budget authority, net (total) .....	5	.....	.....
4190 Outlays, net (total) .....	2	.....	.....

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics,

medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs. Beginning in 2015, and in each subsequent year through 2018, the remaining amounts deposited into the fund from USDA and USAID shall be available to support the establishment of an independent, not-for-profit academic institution in the Socialist Republic of Vietnam. In accordance with the legislation governing VEF's operations, VEF is due to sunset in 2018. This schedule reflects the spend-out of prior year funds.

**Employment Summary**

Identification code 519-5365-0-2-154	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	1	.....	.....

**FEDERALLY CREATED NON-FEDERAL ENTITIES**

The majority of budgetary accounts are associated with departments or other entities that are clearly Federal agencies. In other cases, budgetary accounts reflect a measure of Governmental activity in the economy, though the activity may have no direct relationship with the United States Treasury. Federally created non-Federal entities may be in the Budget because they were created by Federal law, they have some measure of regulatory or other authority conferred to them by law, or because they serve a public good directed by the Government. The following accounts are each deemed to be budgetary and fulfill the goal of presenting a Budget that is comprehensive of the full range of Federal activities.

**AFFORDABLE HOUSING PROGRAM****Federal Funds**

## AFFORDABLE HOUSING PROGRAM

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 530-5528-0-2-604	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			26
Receipts:			
Current law:			
1110 Contributions, Federal Home Loan Banks, Affordable Housing Program .....	412	412	412
2000 Total: Balances and receipts .....	412	412	438
Appropriations:			
Current law:			
2101 Affordable Housing Program .....	-412	-412	-412
2132 Affordable Housing Program .....		26	.....
2199 Total current law appropriations .....	-412	-386	-412
2999 Total appropriations .....	-412	-386	-412
5099 Balance, end of year .....		26	26

**Program and Financing** (in millions of dollars)

Identification code 530-5528-0-2-604	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Affordable Housing Program (Direct) .....	412	386	412
0900 Total new obligations, unexpired accounts (object class 41.0) .....	412	386	412

**Budgetary resources:**

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	412	412	412

AFFORDABLE HOUSING PROGRAM—Continued  
Program and Financing—Continued

Identification code 530-5528-0-2-604	2018 actual	2019 est.	2020 est.
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....		-26	
1260 Appropriations, mandatory (total) .....	412	386	412
1930 Total budgetary resources available .....	412	386	412
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	412	386	412
3020 Outlays (gross) .....	-412	-386	-412
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	412	386	412
Outlays, gross:			
4100 Outlays from new mandatory authority .....	412	386	412
4180 Budget authority, net (total) .....	412	386	412
4190 Outlays, net (total) .....	412	386	412

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

**CORPORATION FOR TRAVEL PROMOTION**

*Federal Funds*

TRAVEL PROMOTION FUND

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 580-5585-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	354	412	478
Receipts:			
Current law:			
1110 Fees, Travel Promotion Fund .....	151	160	161
Proposed:			
1210 Fees, Travel Promotion Fund .....			-161
1999 Total receipts .....	151	160	
2000 Total: Balances and receipts .....	505	572	478
Appropriations:			
Current law:			
2101 Travel Promotion Fund .....	-100	-100	-100
2132 Travel Promotion Fund .....	7	6	
2199 Total current law appropriations .....	-93	-94	-100
Proposed:			
2201 Travel Promotion Fund .....			100
2999 Total appropriations .....	-93	-94	
5099 Balance, end of year .....	412	478	478

**Program and Financing** (in millions of dollars)

Identification code 580-5585-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Travel Promotion Fund .....	93	94	100
0900 Total new obligations, unexpired accounts (object class 41.0) .....	93	94	100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-7	-6	
1260 Appropriations, mandatory (total) .....	93	94	100

1930 Total budgetary resources available .....	93	94	100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	93	94	100
3020 Outlays (gross) .....	-93	-94	-100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	93	94	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	93	94	100
4180 Budget authority, net (total) .....	93	94	100
4190 Outlays, net (total) .....	93	94	100

**Summary of Budget Authority and Outlays** (in millions of dollars)

	2018 actual	2019 est.	2020 est.
Enacted/requested:			
Budget Authority .....	93	94	100
Outlays .....	93	94	100
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-100
Outlays .....			-100
Total:			
Budget Authority .....	93	94	
Outlays .....	93	94	

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works closely with the travel industry to encourage increased travel and tourism in the United States.

A surcharge to the Electronic System for Traveler Authorization (ESTA) fee that travelers from visa waiver countries pay before arriving in the United States provides Brand USA's Federal matching funds. Authorization to collect the surcharge under the Travel Promotion Act was set to expire September 30, 2015, but was extended to September 30, 2020, in the Travel Promotion, Enhancement, and Modernization Act of 2014 (part of the 2015 Consolidated and Further Continuing Appropriations Act).

TRAVEL PROMOTION FUND

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 580-5585-4-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Travel Promotion Fund .....			-100
0900 Total new obligations, unexpired accounts (object class 41.0) .....			-100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....			-100
1930 Total budgetary resources available .....			-100

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....			-100
3020 Outlays (gross) .....			100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....			-100
Outlays, gross:			
4100 Outlays from new mandatory authority .....			-100
4180 Budget authority, net (total) .....			-100
4190 Outlays, net (total) .....			-100

The Budget proposes to eliminate funding for the Corporation for Travel Promotion (also known as Brand USA) as part of the Administration's

plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget redirects the Electronic System for Travel Authorization (ESTA) surcharge currently deposited in the Travel Promotion Fund to the ESTA account at Customs and Border Protection.

## ELECTRIC RELIABILITY ORGANIZATION

### Federal Funds

#### ELECTRIC RELIABILITY ORGANIZATION

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 531-5522-0-2-276	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	7	7	7
Receipts:			
Current law:			
1110 Fees, Electric Reliability Organization .....	100	100	100
2000 Total: Balances and receipts .....	107	107	107
Appropriations:			
Current law:			
2101 Electric Reliability Organization .....	-100	-100	-100
5099 Balance, end of year .....	7	7	7

##### Program and Financing (in millions of dollars)

Identification code 531-5522-0-2-276	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Electric Reliability Organization (Direct) .....	100	100	100
0900 Total new obligations, unexpired accounts (object class 25.2) .....	100	100	100
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	100	100	100
1930 Total budgetary resources available .....	100	100	100
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	100	100	100
3020 Outlays (gross) .....	-100	-100	-100
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority .....	100	100	100
4180 Budget authority, net (total) .....	100	100	100
4190 Outlays, net (total) .....	100	100	100

The Energy Policy Act of 2005 (P.L. 109-58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

## FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

### Federal Funds

#### PROGRAM EXPENSES

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 026-5290-0-2-602	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			
Receipts:			
Current law:			
1130 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board .....	310	361	388
2000 Total: Balances and receipts .....	310	361	388
Appropriations:			
Current law:			
2101 Program Expenses .....	-310	-361	-388
5099 Balance, end of year .....			

##### Program and Financing (in millions of dollars)

Identification code 026-5290-0-2-602	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Administrative expenses .....	296	407	388
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	32	46	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	310	361	388
1930 Total budgetary resources available .....	342	407	388
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	46		
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....			46
3010 New obligations, unexpired accounts .....	296	407	388
3020 Outlays (gross) .....	-296	-361	-388
3050 Unpaid obligations, end of year .....		46	46
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....			46
3200 Obligated balance, end of year .....		46	46
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	310	361	388
Outlays, gross:			
4100 Outlays from new mandatory authority .....	266	361	388
4101 Outlays from mandatory balances .....	30		
4110 Outlays, gross (total) .....	296	361	388
4180 Budget authority, net (total) .....	310	361	388
4190 Outlays, net (total) .....	296	361	388

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are funded first from forfeitures and loan fees and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal Budget. Information on the financial status and activities of the Fund follows this account.

##### Object Classification (in millions of dollars)

Identification code 026-5290-0-2-602	2018 actual	2019 est.	2020 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	33	38	38
12.1 Civilian personnel benefits .....	11	13	13
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	10	11	11
23.3 Communications, utilities, and miscellaneous charges .....	15	18	18

PROGRAM EXPENSES—Continued  
Object Classification—Continued

Identification code 026-5290-0-2-602	2018 actual	2019 est.	2020 est.
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	17	21	20
25.2 Other services from non-Federal sources .....	186	268	254
25.3 Other goods and services from Federal sources .....	1	3	3
31.0 Equipment .....	21	32	28
99.9 Total new obligations, unexpired accounts .....	296	407	388

Employment Summary

Identification code 026-5290-0-2-602	2018 actual	2019 est.	2020 est.
1001 Direct civilian full-time equivalent employment .....	277	322	322

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. Civilian employees covered by the Federal Employees Retirement System (or equivalent retirement systems) receive an automatic agency 1 percent contribution and matching contributions in accordance with the formulas prescribed by law. Beginning in January 2018, all new members of the uniformed services, and those members of the uniformed services with less than 12 years of service who have made an affirmative election, will receive an automatic agency one percent contribution and matching contributions in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

(in millions of dollars)

	2018 actual	2019 est.	2020 est.
Thrift Savings Fund investment balance, start of year .....	531,489	603,958	678,603
Receipts during the year:			
Employee contributions .....	21,688	22,339	23,009
Contributions on behalf of employees <sup>1</sup> .....	9,384	9,666	9,955
Earnings and adjustments <sup>2</sup> .....	64,463	66,397	68,389
Total receipts .....	95,535	98,402	101,353
Outlays during the year:			
Withdrawals .....	22,338	23,008	23,698
Loans to employees, net of repayments .....	445	458	472
Administrative expenses .....	283	291	300
Total cash outlays .....	23,066	23,757	24,470
Thrift Savings Fund investment balance, end of year <sup>3</sup> .....	603,958	678,603	755,486

Notes:

	2018 actual	2019 est.	2020 est.
<sup>1</sup> 2018 Employer contributions included:			
Automatic contributions for FERS employees: .....	2,103	2,166	2,231
Matching contributions for FERS employees: .....	7,281	7,499	7,724
	9,384	9,665	9,955

	2018 actual	2019 est.	2020 est.
<sup>2</sup> 2018 Earnings included:			
Return on investment in Government Securities .....	6,255	6,443	6,636
Return on investment in non-government instruments .....	58,011	59,751	61,544
Interest on loans to employees .....	192	198	204
Agency payments for lost earnings .....	5	5	5

<sup>3</sup>Investment Balances at 9/30/2018 were:

U.S. Government Securities Investment Fund .....	245,480
TSP F Fund - U.S. Debt Index Fund .....	27,654
TSP C Fund - Equity Index Fund .....	208,054
TSP S Fund - Extended Equity Index Fund .....	76,066
TSP I Fund - EAFE Equity Index Fund .....	46,704

Note: \*2019 Actual Thrift Savings Fund Investment Balance, Start of Year Assumptions for growth: FY 2019 and 2020: 3% estimated growth (except for 2019 Start of Year Balance)

MEDICAL CENTER RESEARCH ORGANIZATIONS

Federal Funds

MEDICAL CENTER RESEARCH ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 185-4026-0-3-703	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0801 Operating expenses .....	236	240	242
<b>Budgetary resources:</b>			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	236	240	242
1930 Total budgetary resources available .....	236	240	242
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	236	240	242
3020 Outlays (gross) .....	-236	-240	-242
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	236	240	242
Outlays, gross:			
4100 Outlays from new mandatory authority .....	236	240	242
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources .....	-236	-240	-242
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

Object Classification (in millions of dollars)

Identification code 185-4026-0-3-703	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons .....	9	10	10
25.2 Other services from non-Federal sources .....	200	204	206
26.0 Supplies and materials .....	19	18	18
31.0 Equipment .....	8	8	8
99.9 Total new obligations, unexpired accounts .....	236	240	242

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Federal Funds

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 543-5743-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....			

Receipts:			
Current law:			
1110	Membership Fees, NARAB .....	2	2
2000	Total: Balances and receipts .....	2	2
Appropriations:			
Current law:			
2101	National Association of Registered Agents and Brokers .....	-2	-2
5099	Balance, end of year .....		

**Program and Financing** (in millions of dollars)

Identification code 543-5743-0-2-376	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
0001	Administrative support .....	1	1
0002	Advisory and assistant services .....	1	1
0900	Total new obligations, unexpired accounts .....	2	2

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	2	2
1930	Total budgetary resources available .....	2	2

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	2	2
3020	Outlays (gross) .....	-2	-2

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	2	2
Outlays, gross:			
4100	Outlays from new mandatory authority .....	2	2
4180	Budget authority, net (total) .....	2	2
4190	Outlays, net (total) .....	2	2

**Object Classification** (in millions of dollars)

Identification code 543-5743-0-2-376	2018 actual	2019 est.	2020 est.
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Direct obligations:			
11.1	Personnel compensation: Full-time permanent .....	1	1
25.1	Advisory and assistance services .....	1	1
99.9	Total new obligations, unexpired accounts .....	2	2

**Employment Summary**

Identification code 543-5743-0-2-376	2018 actual	2019 est.	2020 est.
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1001	Direct civilian full-time equivalent employment .....	7	7
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**NATIONAL OILHEAT RESEARCH ALLIANCE**

*Federal Funds*

NATIONAL OILHEAT RESEARCH ALLIANCE

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 544-5643-0-2-276	2018 actual	2019 est.	2020 est.
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0100	Balance, start of year .....		2
Receipts:			
Current law:			
1110	Fees, National Oilheat Research Alliance .....	9	9
2000	Total: Balances and receipts .....	9	11
Appropriations:			
Current law:			
2101	National Oilheat Research Alliance .....	-7	-7
5099	Balance, end of year .....	2	4

**Program and Financing** (in millions of dollars)

Identification code 544-5643-0-2-276	2018 actual	2019 est.	2020 est.
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<b>Obligations by program activity:</b>			
0001	Direct program activity .....	7	7
0900	Total new obligations, unexpired accounts (object class 25.2) .....	7	7

<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund) .....	7	7
1930	Total budgetary resources available .....	7	7

<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010	New obligations, unexpired accounts .....	7	7
3020	Outlays (gross) .....	-7	-7

<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090	Budget authority, gross .....	7	7
Outlays, gross:			
4100	Outlays from new mandatory authority .....	7	7
4180	Budget authority, net (total) .....	7	7
4190	Outlays, net (total) .....	7	7

The National Oilheat Research Alliance (NORA) was first authorized by The National Oilheat Research Alliance Act of 2000, as amended in 2014 (P.L. 113-79), and reauthorized by the Agriculture Improvement Act of 2018 (P.L. 115-334) to develop programs and projects and enter into contracts or other agreements to enhance consumer and employee safety and training; to provide for research, development, and demonstration of clean and efficient oilheat fuel utilization equipment; and to educate consumers. NORA is funded via statutorily-mandated fees of \$0.002 on every gallon of heating oil sold, collected at the wholesale level. Since NORA does not report budget data to Treasury, NORA funding is based on estimates.

**PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD**

*Federal Funds*

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

**Special and Trust Fund Receipts** (in millions of dollars)

Identification code 526-5376-0-2-376	2018 actual	2019 est.	2020 est.
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0100	Balance, start of year .....	24	25	28
Receipts:				
Current law:				
1110	Accounting Support Fees, Public Company Accounting Oversight Board .....	237	265	274
1110	Civil Penalties, Unavailable Receipts .....	2	3	3
1130	Interest on Investments .....	3	4	2
1199	Total current law receipts .....	242	272	279
1999	Total receipts .....	242	272	279
2000	Total: Balances and receipts .....	266	297	307
Appropriations:				
Current law:				
2101	Public Company Accounting Oversight Board .....	-1	-1	
2101	Public Company Accounting Oversight Board .....	-240	-268	-259
2103	Public Company Accounting Oversight Board .....	-17	-17	-17
2132	Public Company Accounting Oversight Board .....	17	17	
2199	Total current law appropriations .....	-241	-269	-276
2999	Total appropriations .....	-241	-269	-276
5099	Balance, end of year .....	25	28	31

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD—Continued  
Program and Financing (in millions of dollars)

Identification code 526-5376-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Accounting Oversight .....	230	274	276
0002 Accounting Scholarship Program .....	3	1	.....
0900 Total new obligations, unexpired accounts (object class 25.1) .....	233	275	276
<b>Budgetary resources:</b>			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1 .....	135	143	137
1001 Discretionary unobligated balance brought fwd, Oct 1 .....	7	4	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund) .....	1	1	.....
1140 Capital transfer of appropriations to general fund .....	.....	.....	-4
1160 Appropriation, discretionary (total) .....	1	1	-4
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	240	268	259
1203 Appropriation (previously unavailable) .....	17	17	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-17	-17	.....
1260 Appropriations, mandatory (total) .....	240	268	276
1900 Budget authority (total) .....	241	269	272
1930 Total budgetary resources available .....	376	412	409
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year .....	143	137	133
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1 .....	.....	.....	6
3010 New obligations, unexpired accounts .....	233	275	276
3020 Outlays (gross) .....	-233	-269	-276
3050 Unpaid obligations, end of year .....	.....	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year .....	.....	.....	6
3200 Obligated balance, end of year .....	.....	6	6
<b>Budget authority and outlays, net:</b>			
Discretionary:			
4000 Budget authority, gross .....	1	1	-4
Outlays, gross:			
4010 Outlays from new discretionary authority .....	.....	1	.....
4011 Outlays from discretionary balances .....	3	.....	.....
4020 Outlays, gross (total) .....	3	1	.....
Mandatory:			
4090 Budget authority, gross .....	240	268	276
Outlays, gross:			
4100 Outlays from new mandatory authority .....	230	268	276
4180 Budget authority, net (total) .....	241	269	272
4190 Outlays, net (total) .....	233	269	276
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	107	84	84
5001 Total investments, EOY: Federal securities: Par value .....	84	84	84

Note: Because the Public Company Accounting Oversight Board (PCAOB) does not report budgetary data to Treasury, amounts shown above were derived from the PCAOB's financial data, which is based on a calendar year.

The Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107-204), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), established the PCAOB to oversee the audits and auditors of both public companies that are subject to Federal securities laws and broker-dealers registered with the Securities and Exchange Commission (SEC) in order to protect the interests of investors and further the public interest in the preparation of informative, accurate, and independent audit reports.

Funding for the PCAOB comes from registration and annual fees paid by public accounting firms and accounting support fees paid by public companies and SEC-registered broker-dealers. The Act designated the Commission to oversee the PCAOB and specifies that the PCAOB's budget and the accounting support fee be subject to approval by the Commission.

Under the Act, monetary penalties collected by the PCAOB shall be used to fund a merit scholarship program, subject to availability in an appropriations Act. The 2020 Budget proposes a general provision in Title VI to

transfer unobligated balances previously made available for this program to the general fund of the Treasury.

## SECURITIES INVESTOR PROTECTION CORPORATION

## Federal Funds

## SECURITIES INVESTOR PROTECTION CORPORATION

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 576-5600-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2,947	3,175	3,346
0198 Adjustment in accounting of investment portfolio .....	11	.....	.....
0199 Balance, start of year .....	2,958	3,175	3,346
Receipts:			
Current law:			
1110 Assessments, SIPC .....	292	290	159
1130 Earnings on Investments, SIPC .....	64	69	72
1199 Total current law receipts .....	356	359	231
1999 Total receipts .....	356	359	231
2000 Total: Balances and receipts .....	3,314	3,534	3,577
Appropriations:			
Current law:			
2101 Securities Investor Protection Corporation .....	-136	-191	-154
2103 Securities Investor Protection Corporation .....	-12	-9	-12
2132 Securities Investor Protection Corporation .....	9	12	.....
2199 Total current law appropriations .....	-139	-188	-166
2999 Total appropriations .....	-139	-188	-166
5099 Balance, end of year .....	3,175	3,346	3,411

## Program and Financing (in millions of dollars)

Identification code 576-5600-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Program Management .....	16	17	18
0002 Customer Claims .....	123	171	148
0900 Total new obligations, unexpired accounts (object class 25.1) .....	139	188	166
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	136	191	154
1203 Appropriation (previously unavailable) .....	12	9	12
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-9	-12	.....
1260 Appropriations, mandatory (total) .....	139	188	166
1930 Total budgetary resources available .....	139	188	166
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	139	188	166
3020 Outlays (gross) .....	-139	-188	-166
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	139	188	166
Outlays, gross:			
4100 Outlays from new mandatory authority .....	139	188	166
4180 Budget authority, net (total) .....	139	188	166
4190 Outlays, net (total) .....	139	188	166
<b>Memorandum (non-add) entries:</b>			
5000 Total investments, SOY: Federal securities: Par value .....	2,950	3,165	3,350
5001 Total investments, EOY: Federal securities: Par value .....	3,165	3,350	3,410

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, amounts shown above were derived from SIPC's financial data, which is based on a calendar year. Starting with the 2020 Budget, earnings on investments are presented for all three years using an unamortized cost rather than the market value, to comply with OMB Circular A-11 requirements.

SIPC was created by the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to protect customers against loss resulting from broker-dealer failure and, thereby, promote investor confidence in the Na-

tion's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC's funding is derived entirely from assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of brokerage firms in SIPA liquidation or for other purposes under the Act. SIPC has not accessed these loans to date and the Budget does not project that SIPC will require use of these loans over the next 10 years.

## STANDARD SETTING BODY

### Federal Funds

#### PAYMENT TO STANDARD SETTING BODY

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 527-5377-0-2-376	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	2	2	2
Receipts:			
Current law:			
1110 Accounting Support Fees, Standard Setting Body .....	29	29	30
2000 Total: Balances and receipts .....	31	31	32
Appropriations:			
Current law:			
2101 Payment to Standard Setting Body .....	-29	-29	-28
2103 Payment to Standard Setting Body .....	-2	-2	-2
2132 Payment to Standard Setting Body .....	2	2	.....
2199 Total current law appropriations .....	-29	-29	-30
2999 Total appropriations .....	-29	-29	-30
5099 Balance, end of year .....	2	2	2

##### Program and Financing (in millions of dollars)

Identification code 527-5377-0-2-376	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 Advisory and assistance services .....	29	29	30
0900 Total new obligations, unexpired accounts (object class 25.1) .....	29	29	30
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	29	29	28
1203 Appropriation (previously unavailable) .....	2	2	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced .....	-2	-2	.....
1260 Appropriations, mandatory (total) .....	29	29	30
1930 Total budgetary resources available .....	29	29	30
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	29	29	30
3020 Outlays (gross) .....	-29	-29	-30
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	29	29	30
Outlays, gross:			
4100 Outlays from new mandatory authority .....	29	29	30
4180 Budget authority, net (total) .....	29	29	30
4190 Outlays, net (total) .....	29	29	30

Note: Because the standard setting body does not provide budgetary data to Treasury, amounts shown above were derived from the standard setting body's financial data, which is based on a calendar year.

The Financial Accounting Standards Board (FASB) is an independent, private-sector organization organized in 1973 within the Financial Accounting Foundation (FAF), which is an independent, private-sector, not-for-

profit corporation. The FASB consists of a seven-member board, whose members are appointed by the FAF. The FASB was originally designated by the Securities and Exchange Commission (Commission) as the authoritative standard setter for purposes of the Federal securities laws in 1973. In April 2003, the Commission reaffirmed the status of the FASB as a designated private-sector standard setting body pursuant to the Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107-204), stating that the FASB's financial accounting and reporting standards are recognized as "generally accepted" for purposes of the Federal securities laws.

The Act authorizes funding for the standard setting body to be derived from an accounting support fee assessed on public companies, although the FAF has, on a voluntary basis, partially offset the fees that could be assessed pursuant to the Act by payments derived from publication sales and licensing fees. Prior to the Act, the FASB was funded by voluntary contributions from public companies, public accounting firms, and other stakeholders. The standard setting body's accounting support fee is subject to review by the Commission.

## UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

### Trust Funds

#### UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

##### Special and Trust Fund Receipts (in millions of dollars)

Identification code 476-8295-0-7-551	2018 actual	2019 est.	2020 est.
0100 Balance, start of year .....	52	52	51
Receipts:			
Current law:			
1110 Premiums, Combined Fund and 1992 Plan, UMWA .....	19	17	16
1140 Transfers from Abandoned Mine Reclamation Fund .....	46	54	60
1140 Federal Payment to United Mine Workers of America Combined Benefit Fund .....	285	225	264
1199 Total current law receipts .....	350	296	340
1999 Total receipts .....	350	296	340
2000 Total: Balances and receipts .....	402	348	391
Appropriations:			
Current law:			
2101 United Mine Workers of America 1992 Benefit Plan .....	-64	-45	-59
2101 United Mine Workers of America Combined Benefit Fund .....	-75	-59	-61
2101 United Mine Workers of America 1993 Benefit Plan .....	-211	-193	-220
2199 Total current law appropriations .....	-350	-297	-340
2999 Total appropriations .....	-350	-297	-340
5099 Balance, end of year .....	52	51	51

##### Program and Financing (in millions of dollars)

Identification code 476-8295-0-7-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America Combined Benefit Fund .....	75	59	61
0900 Total new obligations, unexpired accounts (object class 42.0) .....	75	59	61
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	75	59	61
1930 Total budgetary resources available .....	75	59	61
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	75	59	61
3020 Outlays (gross) .....	-75	-59	-61
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	75	59	61
Outlays, gross:			
4100 Outlays from new mandatory authority .....	75	59	61
4180 Budget authority, net (total) .....	75	59	61

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND—Continued  
**Program and Financing—Continued**

Identification code 476-8295-0-7-551	2018 actual	2019 est.	2020 est.
4190 Outlays, net (total) .....	75	59	61

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from the United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

**Program and Financing** (in millions of dollars)

Identification code 476-8260-0-7-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1992 Benefit Plan .....	64	45	59
0900 Total new obligations, unexpired accounts (object class 42.0) .....	64	45	59
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	64	45	59
1930 Total budgetary resources available .....	64	45	59
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	64	45	59
3020 Outlays (gross) .....	-64	-45	-59
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	64	45	59
Outlays, gross:			
4100 Outlays from new mandatory authority .....	64	45	59
4180 Budget authority, net (total) .....	64	45	59
4190 Outlays, net (total) .....	64	45	59

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who

retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

**Program and Financing** (in millions of dollars)

Identification code 476-8535-0-7-551	2018 actual	2019 est.	2020 est.
<b>Obligations by program activity:</b>			
0001 United Mine Workers of America 1993 Benefit Plan .....	211	193	220
0900 Total new obligations, unexpired accounts (object class 42.0) .....	211	193	220
<b>Budgetary resources:</b>			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) .....	211	193	220
1930 Total budgetary resources available .....	211	193	220
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	211	193	220
3020 Outlays (gross) .....	-211	-193	-220
<b>Budget authority and outlays, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	211	193	220
Outlays, gross:			
4100 Outlays from new mandatory authority .....	211	193	220
4180 Budget authority, net (total) .....	211	193	220
4190 Outlays, net (total) .....	211	193	220

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

# AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2019

## STATEMENT ON CHANGES

### (Between the Transmittal of the 2019 and 2020 Budgets)

A statement of all amendments to, or revisions in, budget authority requested between transmittal of the 2019 Budget and the 2020 Budget is presented below. This statement is included in the Budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to proposals for 2019 budget authority that were made through the course of the past year took the form of Presidential amendments to the budget transmitted on February 12, 2018, and other requests.

These modifications were printed in the documents of the House of Representatives that are identified on the following listing.

Transmitted to the Congress on	Agencies affected	Printed as
February 26, 2018 .....	Department of Health and Human Services .....	Not available
April 13, 2018 .....	Department of Agriculture .....	H. Doc. No. 115-114
	Department of Defense	
	Department of State and Other International Programs	
	U.S. Agency for International Development	
	Department of Education	
	Department of Energy	
	Department of Health and Human Services	

	Department of Homeland Security	
	Department of Housing and Urban Development	
	Department of the Interior	
	Department of Justice	
	Department of Labor	
	Department of Transportation	
	Department of the Treasury	
	Department of Veterans Affairs	
	Environmental Protection Agency	
	National Aeronautics and Space Administration	
	National Science Foundation	
	Social Security Administration	
August 24, 2018 .....	Department of the Treasury .....	H. Doc. No. 115-156
September 21, 2018 .....	Department of Defense .....	H. Doc. No. 115-157
September 28, 2018 .....	Department of Defense .....	H. Doc. No. 115-158
	Department of Homeland Security	
	Department of State and Other International Programs	
	U.S. Agency for International Development	
September 28, 2018 .....	U.S. Agency for International Development .....	H. Doc. No. 115-159
October 5, 2018 .....	Department of Housing and Urban Development .....	H. Doc. No. 115-160
January 6, 2019 .....	Department of Homeland Security .....	Not available
	Department of Justice	
February 15, 2019 .....	Department of Homeland Security .....	H. Doc. No. 116-10
	Department of State and Other International Programs	
	U.S. Agency for International Development	
February 15, 2019 .....	U.S. Agency for International Development .....	H. Doc. No. 116-11



## ADVANCE APPROPRIATIONS

An advance appropriation is one made to become available one year or more beyond the year for which the appropriations act is passed. Advance appropriations in 2020 appropriations acts will become available for programs in 2021 or beyond. Since these appropriations are not available until after 2020, the amounts will not be included in the 2020 totals, but will be reflected in the totals for the year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the accompanying table lists those accounts that have either received discretionary or mandatory advance appropriations since 2018 or will request, in 2020, advance appropriations for 2021 and beyond and cites the applicable authorizing statute.

The Continuing Appropriations Act, 2019 (Division C of Public Law 115–245, as amended), which was in place for the Department of Housing and Urban Development (HUD) at the time the Budget was prepared, does not explicitly address advance appropriations for 2020. As a result, this Budget, as illustrated by the accompanying table, assumes a full-year continuing resolution for HUD will be enacted and that specific legislative anomaly language will be included to make 2020 advance appropriations available. This is in order to ensure comparability with annual funding provided under the Continuing Appropriations Act, 2019, and to depict accurately the 2020 discretionary request levels compared to the discretionary budget authority caps enacted in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. The Budget assumes that the 2020 level that would be enacted in the legislative anomaly for discretionary appropriations for HUD is equal to the 2019 advance appropriations that were enacted for HUD in the 2018 appropriations acts.

For additional information on advance appropriations, please refer to the Budget Process chapter in the Analytical Perspectives volume.

### Advance Appropriations by Agency in the 2020 Budget

(Budget authority in millions of dollars)

Agency/Program	Pre-cancellation, Pre-Transfer Enacted			
	2018	Levels <sup>1</sup> 2019	2020	2021 Request
<b>Discretionary One-year Advances:</b>				
<b>Department of Education (20 U.S.C. 1223):</b>				
Education for the Disadvantaged .....	10,841	10,841	10,841	11,682
Special Education .....	9,283	9,283	9,283	10,124
Career, Technical, and Adult Education .....	791	791	791	791
School Improvement Programs .....	1,681	1,681	1,681	.....
<b>Department of Housing and Urban Development (42 U.S.C. 1437 et seq.):</b>				
Tenant-Based Rental Assistance .....	4,000	4,000	4,000	4,000
Project-Based Rental Assistance .....	400	400	400	400
<b>Department of Labor:</b>				
Training and Employment Services (29 U.S.C. 2801 et seq.) .....	1,772	1,772	1,772	1,686
Enacted Cancellation (Public Laws 115–141 and 115–245) .....	–13	–53	.....	.....
Proposed Cancellation of 2020 Enacted Advance .....	.....	.....	–86	.....
<b>Department of Veterans Affairs (Public Law 111–81):</b>				
Medical Services .....	44,887	49,161	51,411	56,158
Medical Support and Compliance .....	6,654	7,239	7,239	7,914
Enacted Cancellations (Public Law 115–244) .....	.....	–211	.....	.....
Medical Facilities .....	5,435	5,914	6,142	6,433
Medical Community Care .....	9,409	8,385	10,758	17,131
<b>Discretionary Two-year Advances:</b>				
Corporation for Public Broadcasting (47 U.S.C. 396) <sup>1</sup> .....	445	445	445	445
Proposed Cancellation of 2020 and 2021 Enacted Advances .....	.....	.....	–415	–415
<b>Subtotal, Discretionary Advance Appropriations .....</b>	<b>95,585</b>	<b>99,648</b>	<b>104,262</b>	<b>116,349</b>
<b>Mandatory:</b>				
<b>Department of Health and Human Services:</b>				
Grants to States for Medicaid (42 U.S.C. 1396–1) .....	125,219	134,848	137,932	139,903
Payments to States for Child Support Enforcement and Family Support (24 U.S.C. Ch. 7) .....	1,400	1,400	1,400	1,400
Payments for Foster Care and Permanency (Public Law 96–272) .....	2,500	2,700	2,800	3,000
<b>Department of Labor:</b>				
Special Benefits for Disabled Coal Miners (30 U.S.C. 921) .....	16	15	14	14
<b>Department of Veterans Affairs (Public Law 113–235):<sup>2</sup></b>				
Compensation and Pensions .....	90,119	95,768	109,017	116,187
Readjustment Benefits .....	13,709	11,832	14,065	12,550
Veterans Insurance and Indemnities .....	108	109	111	129
<b>Social Security Administration:</b>				
Supplemental Security Income Program (42 U.S.C. 1381) .....	15,000	19,500	19,700	19,900
<b>Total, Advance Appropriations .....</b>	<b>343,656</b>	<b>365,820</b>	<b>389,301</b>	<b>409,432</b>

<sup>1</sup> Historically, the Corporation for Public Broadcasting is provided a two-year advance appropriation. The 2020 request does not include any advance appropriations for the Corporation in 2022.

<sup>2</sup> The 2021 amounts are net of the \$614 million in savings for Compensation and Pension and \$29 million in savings for Readjustment Benefits that will result from enactment of authorizing legislation that is proposed as part of the 2020 President's Budget.



## FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of, and data on, financing vehicles and the Board of Governors of the Federal Reserve System (Board). The Financing Corporation functions as a financing vehicle for the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund. The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board's transactions are not included in the Budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget, which is included here for information. Its budget is not subject to review by the President and is executed and presented here on a calendar-year basis. The previous year's data reflects the final budget, as approved by the Board.

The 2017 balance sheets for the Financing Corporation and Resolution Funding Corporation are as of December 31, 2017, and the 2018 balance sheets are as of September 30, 2018.

### FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership Government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (the Act). FICO's sole purpose is to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation. Pursuant to the Act, FICO was authorized to issue debentures, bonds, and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated FICO's borrowing authority.

The Act provided formulas pursuant to which the Federal Home Loan Banks made capital contributions to FICO. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account are the primary source of repayment of the principal of FICO obligations. Securities in the segregated account are kept separate from other FICO accounts and funds, but are not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations is the receipt of assessments imposed on and collected from institutions' accounts, which are insured by the Federal Deposit Insurance Corporation's Deposit Insurance Fund.

### Balance Sheet (in millions of dollars)

Identification code 920-4980-0-4-373	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Segregated accounts investment, net .....	6,860	4,529
1801 Other Federal assets: Cash, cash equivalents .....	187	176
1999 Total assets .....	7,047	4,705
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	136	112
2203 Debt .....	6,968	4,419
2207 Other .....	53	65
2999 Total liabilities .....	7,157	4,596
<b>NET POSITION:</b>		
3100 FICO capital stock purchased by FHLBanks .....	680	680
3300 Cumulative results of operations .....	7,380	7,599
3300 FSLIC capital certificates .....	-8,170	-8,170
3999 Total net position .....	-110	109

4999 Total liabilities and net position .....	7,047	4,705
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### RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (REFCORP) is a mixed-ownership Government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101-73). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (RTC). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate composed of the Chief Executive Officer of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the 11 Federal Home Loan Banks (FHLBs). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury required that FHLBs contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by REFCORP until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011. Since then, only the U.S. Treasury has paid interest on REFCORP's long-term obligations. For details, please see the Payment to the Resolution Funding Corporation account in the Department of the Treasury section of the Appendix volume of the Budget.

### Balance Sheet (in millions of dollars)

Identification code 920-4981-0-4-373	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Principal fund account investment, net .....	20,174	21,385
1206 Non-Federal assets: Assessments receivable for interest expense .....	886	888
1999 Total assets .....	21,060	22,273
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Accrued interest payable on long-term obligations .....	886	888
2203 Debt .....	30,058	30,056
2999 Total liabilities .....	30,944	30,944
<b>NET POSITION:</b>		
3100 Nonvoting capital stock issued to FHLBanks .....	2,513	2,513
3300 Cumulative results of operations .....	17,832	19,045
3300 RTC nonredeemable capital certificates .....	-31,286	-31,286
3300 Contributed capital - principal fund assessments .....	1,057	1,057
3999 Total net position .....	-9,884	-8,671
4999 Total liabilities and net position .....	21,060	22,273

## BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

## Program and Financing (in millions of dollars)

Identification code 920-4982-0-4-803	2017 actual	2018 est.	2019 est.
<b>Obligations by program activity:</b>			
0801 Monetary and economic policy .....	160	176	179
0802 Federal Reserve System policy direction .....	38	40	41
0803 Supervisory, regulatory, and legal services .....	239	261	267
0804 Support and security services .....	254	267	261
0805 Currency operating expenses (Board incurred) .....	44	56	49
0806 Extraordinary items .....	16	22	46
0809 Reimbursable program activities, subtotal .....	751	822	843
0810 Office of Inspector General operating expenses .....	34	36	35
0900 Total new obligations, unexpired accounts .....	785	858	878
<b>Budgetary resources:</b>			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected .....	785	858	878
1930 Total budgetary resources available .....	785	858	878
<b>Change in obligated balance:</b>			
Unpaid obligations:			
3010 New obligations, unexpired accounts .....	785	858	878
3020 Outlays (gross) .....	-785	-858	-878
<b>Financing authority and disbursements, net:</b>			
Mandatory:			
4090 Budget authority, gross .....	785	858	878
4110 Outlays, gross (total) .....	785	858	878
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources .....	-785	-858	-878
4180 Budget authority, net (total) .....			
4190 Outlays, net (total) .....			

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of the Congress. To carry out its responsibilities under this Act, the Board of Governors (Board) determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations necessary to carry out the purposes of the Act. The Board's principal duties consist of exerting an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Under the provisions of section 10 of the Federal Reserve Act, the Board levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. Also under the Act, the Board determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond and the Act provides that such funds "not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The Board issues U.S. currency (Federal Reserve notes) and the Reserve Banks distribute currency through depository institutions. The Board incurs costs and assesses the Reserve Banks for these costs related to producing, issuing, and retiring Federal Reserve notes, as well as providing other services. The assessment is allocated based on each Reserve Bank's share of the number of notes comprising the System's net liability for Federal Reserve notes on December 31 of the prior year. The Board recognizes the assessment in the year in which the associated costs are incurred.

Since 2017, the Board has undertaken a greater role in the currency program, including in research and development and quality assurance. This expanded role is reflected in the reclassification of certain transactions compared to prior years. The information presented pertains to Board operations only, which includes these new programs; expenditures for the currency program costs specific to the work performed by Treasury, including production, issuance and retirement, are not included.

The Dodd-Frank Act (P.L. 111-203), enacted July 21, 2010, directed the Board to collect assessments, fees, or other charges equal to the total expenses the Board estimates are necessary or appropriate to carry out the supervisory and regulatory responsibilities of the Board for certain bank holding companies and savings and loan holding companies, as well as nonbank financial companies designated for Board supervision by the Financial Stability Oversight Council (FSOC). The Board does not recognize the supervision and regulation assessments as revenue nor does the Board use the collections to fund Board expenses; the funds are transferred to the Treasury. The Economic Growth, Regulatory Relief, and Consumer Protection Act (EGRRCPA, P.L. 115-174), enacted May 24, 2018, directed the Board to collect these assessments, fees, or other charges on such companies with total consolidated assets of \$100 billion (from \$50 billion in the Dodd-Frank Act), as well as to adjust amounts charged to reflect changes in supervisory and regulatory responsibilities resulting from EGRRCPA on firms with total consolidated assets less than \$250 billion.

## Object Classification (in millions of dollars)

Identification code 920-4982-0-4-803	2017 actual	2018 est.	2019 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent .....	437	471	483
12.1 Civilian personnel benefits .....	92	97	97
13.0 Benefits for former personnel .....	13	13	17
21.0 Travel and transportation of persons .....	14	18	16
22.0 Transportation of things .....	22	25	23
23.2 Rental payments to others .....	31	35	36
23.3 Communications, utilities, and miscellaneous charges .....	8	10	8
24.0 Printing and reproduction .....	12	11	8
25.1 Advisory and assistance services .....	69	85	99
25.2 Other services from non-Federal sources .....	50	60	56
25.4 Operation and maintenance of facilities .....	4	3	3
25.7 Operation and maintenance of equipment .....	5	5	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	27	24	27
99.9 Total new obligations, unexpired accounts .....	785	858	878

## GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of the data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal Budget because they are private companies, and their securities are not backed by the full faith and credit of the Federal Government. However, because of their public purpose, statements of financial condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies.

—The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages.

—The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development.

—Institutions of the Farm Credit System, which include the Agricultural Credit Bank and Farm Credit Banks, provide financing to agriculture. They are regulated by the Farm Credit Administration.

—The Federal Agricultural Mortgage Corporation, also a Farm Credit System institution under the regulation of the Farm Credit Administration, provides a secondary market for agricultural real estate, rural housing loans, and certain rural utility loans, as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.

### FEDERAL NATIONAL MORTGAGE ASSOCIATION

#### PORTFOLIO PROGRAMS

#### Status of Direct Loans (in millions of dollars)

Identification code 915-4986-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	245,133	199,114	199,114
1251 Repayments: Net repayments and prepayments .....	-46,019	.....	.....
1290 Outstanding, end of year .....	199,114	199,114	199,114

The Federal National Mortgage Association (Fannie Mae) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Fannie Mae is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Fannie Mae engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Legislation directed the sale of the Government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970.

The Housing and Economic Recovery Act of 2008 reformed housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and providing temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Fannie Mae under Federal conservatorship in response to the GSEs' declining capital adequacy and to support the safety and soundness of the GSEs. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Fannie Mae to make investments of up

to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Fannie Mae as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Fannie Mae was set at \$233.7 billion. As of December 31, 2018, Fannie Mae had received \$119.8 billion under the PSPA, and had made a total of \$175.8 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current Federal assistance being provided to Fannie Mae, including the PSPA, is shown on-budget. For additional discussion and analyses of Fannie Mae, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

Identification code 915-4986-0-4-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par .....	30,799	37,328
1201 Non-Federal assets: Investments in non-Federal securities, net .....	23,740	26,692
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	166,845	131,599
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts .....	2,997,964	3,111,551
1606 Acquired Property, net .....	3,581	2,722
1699 Value of assets related to direct loans .....	3,168,390	3,245,872
Other Federal assets:		
1801 Cash and other monetary assets .....	77,376	76,845
1901 Other assets .....	30,454	14,368
1999 Total assets .....	3,330,759	3,401,105
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	9,637	10,105
2203 Debt .....	291,289	246,682
2203 Debt - Consolidated Trusts .....	3,017,294	3,127,688
2207 Other .....	8,891	9,655
2999 Total liabilities .....	3,327,111	3,394,130
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	117,149	120,836
3300 Private Equity .....	-113,501	-113,861
3300 Noncontrolling Interest .....	.....	.....
3999 Total net position .....	3,648	6,975
4999 Total liabilities and net position .....	3,330,759	3,401,105

### MORTGAGE-BACKED SECURITIES

#### Status of Direct Loans (in millions of dollars)

Identification code 915-4987-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	2,989,374	3,097,227	3,097,227
1231 Disbursements: Direct loan disbursements .....	552,050	.....	.....
1251 Repayments: Repayments and prepayments .....	-444,197	.....	.....
1290 Outstanding, end of year .....	3,097,227	3,097,227	3,097,227

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Fannie Mae were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Fannie Mae, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards imple-

## MORTGAGE-BACKED SECURITIES—Continued

mented on January 1, 2010, require consolidation of many, but not all, of these securities in Fannie Mae's financial statements. For the purposes of the Budget they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Fannie Mae as "Issuances" and "Liquidations," respectively.

## FEDERAL HOME LOAN MORTGAGE CORPORATION

## PORTFOLIO PROGRAMS

## Status of Direct Loans (in millions of dollars)

Identification code 913-4988-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	266,681	227,804	227,804
1251 Repayments: Repayments and prepayments .....	-38,877		
1290 Outstanding, end of year .....	227,804	227,804	227,804

The Federal Home Loan Mortgage Corporation (Freddie Mac) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Freddie Mac is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Freddie Mac engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. The Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers.

The Housing and Economic Recovery Act of 2008 reformed housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Freddie Mac under Federal conservatorship in response to the GSEs' declining capital adequacy and to support the safety and soundness of the GSEs. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Freddie Mac to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Freddie Mac as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Freddie Mac was set at \$211.8 billion. As of December 31, 2018, Freddie Mac had received \$71.6 billion under the PSPA, and had made a total of \$116.5 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Freddie Mac, including the PSPA, is shown on-budget. For additional discussion and analyses of Freddie Mac, please see the *Analytical Perspectives* volume of the Budget documents.

## Balance Sheet (in millions of dollars)

Identification code 913-4988-0-4-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par .....	17,507	25,479
1201 Non-Federal assets: Investments in non-Federal securities, net .....	47,202	48,540
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities .....	175,675	138,103
1601 Mortgage Loans and Mortgage Related Securities - Consolidated		
Trusts .....	1,738,858	1,814,776
1606 Acquired property, net .....		
1699 Value of assets related to direct loans .....	1,914,533	1,952,879
Other Federal assets:		
1801 Cash and other monetary assets .....	36,838	28,683
1901 Other assets .....	14,576	7,876
1999 Total assets .....	2,030,656	2,063,457
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2202 Interest payable .....	5,990	6,418
2203 Debt .....	318,054	276,945
2203 Debt - Consolidated Trusts .....	1,691,524	1,765,045
2207 Other .....	9,838	9,490
2999 Total liabilities .....	2,025,406	2,057,898
<b>NET POSITION:</b>		
3300 Senior Preferred Stock .....	72,336	72,648
3300 Private Equity .....	-67,086	-67,089
3999 Total net position .....	5,250	5,559
4999 Total liabilities and net position .....	2,030,656	2,063,457

## MORTGAGE-BACKED SECURITIES

## Status of Direct Loans (in millions of dollars)

Identification code 914-4989-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	1,920,616	2,045,101	2,045,101
1231 Disbursements: Direct loan disbursements .....	407,109		
1251 Repayments: Repayments and prepayments .....	-282,624		
1290 Outstanding, end of year .....	2,045,101	2,045,101	2,045,101

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Freddie Mac were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Freddie Mac, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010, require consolidation of many, but not all, of these securities in Freddie Mac's financial statements. For the purposes of the Budget, they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Freddie Mac as "Issuances" and "Liquidations," respectively.

## FEDERAL HOME LOAN BANK SYSTEM

## FEDERAL HOME LOAN BANKS

## Status of Direct Loans (in millions of dollars)

Identification code 913-4990-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	771,613	766,096	766,096
1231 Disbursements: Direct loan disbursements .....	11,072,413	11,072,413	11,072,413
1251 Repayments: Repayments and prepayments .....	-11,074,915	-11,072,413	-11,072,413
1264 Other adjustments, net (+ or -) .....	-3,015		
1290 Outstanding, end of year .....	766,096	766,096	766,096

The Federal Home Loan Bank System is a Government-sponsored enterprise (GSE) in the housing finance market. The Federal Home Loan Banks (FHLBanks) were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (Act). The 11 Federal Home Loan Banks are under the supervision of the Federal Housing Finance Agency (FHFA), established by the Congress in 2008. The common mission of FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, FHLBanks make loans, called "advances", and provide other credit products and services to their nearly 7,000 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral, and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, "community financial institutions" may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories, certified community development financial institutions, and insurance companies engaged in residential housing finance are eligible for membership, and must meet other requirements in the Act to obtain membership. Each FHLBank operates in a geographic district and together FHLBanks cover all of the United States, including the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. The principal source of funds for the lending operation is the sale of consolidated obligations to the public. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members' deposits and capital. Funds not immediately needed for advances to members are invested. The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government's investment in full by mid-1951. The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. Each of the FHLBanks must set aside annually 10 percent of its previous year's net earnings, subject to an aggregate minimum of \$100 million, for the AHP. The Act, as amended in 1999, also required that FHLBanks contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by the Resolution Funding Corporation until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBanks fulfilled this obligation on August 5, 2011. For additional discussion and analyses of the FHLBanks, please see the *Analytical Perspectives* volume of the Budget.

#### Balance Sheet (in millions of dollars)

Identification code 913-4990-0-4-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par .....	887	8,623
Non-Federal assets:		
1201 Investments in non-Federal securities, net .....	317,575	309,768
1206 Accounts receivable .....	1,515	2,009
1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross .....	772,018	766,197
Other Federal assets:		
1801 Cash and other monetary assets .....	3,944	772
1803 Property, plant and equipment, net .....	275	308
1901 Other assets .....	1,674	1,700
1999 Total assets .....	1,097,888	1,089,377
<b>LIABILITIES:</b>		
2101 Federal liabilities: REFCORP and Affordable Housing Program .....	1,003	1,093
Non-Federal liabilities:		
2202 Interest payable .....	1,339	1,737
2203 Debt .....	1,028,135	1,016,403
2207 Deposit funds and other borrowing .....	8,220	8,249
2207 Other .....	3,881	4,313
2999 Total liabilities .....	1,042,578	1,031,795

NET POSITION:			
3100	Invested capital .....	55,310	57,582
4999	Total liabilities and net position .....	1,097,888	1,089,377

## FARM CREDIT SYSTEM

The Farm Credit System (System) is a Government-sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the System are: 1) the Agricultural Credit Bank (ACB); 2) the Farm Credit Banks (FCBs); and 3) the direct-lender associations. Farmer Mac, which is also an institution of the System, is discussed separately below. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the System, these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments on System institutions, including Farmer Mac. System banks finance loans primarily from sales of bonds to the public and their own capital funds. The System bonds issued by the banks are not guaranteed by the U.S. Government as to either principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation, an independent Federal agency that collects insurance premiums from member banks to pay its administrative expenses and fund insurance reserves. All of the banks' current operating expenses are paid from their own income and do not require budgetary resources from the Federal Government.

#### AGRICULTURAL CREDIT BANK

##### Status of Direct Loans (in millions of dollars)

Identification code 912-4991-0-4-351	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	94,203	98,335	100,244
1231 Disbursements: Direct loan disbursements .....	387,611	399,000	410,724
1251 Repayments: Repayments and prepayments .....	-383,422	-397,039	-407,720
1263 Write-offs for default: Direct loans .....	-57	-52	-59
1290 Outstanding, end of year .....	98,335	100,244	103,189

CoBank, Agricultural Credit Bank (ACB), which is headquartered outside Denver, Colorado, provides funding to eligible cooperatives nationwide and Agricultural Credit Associations (ACAs) in its chartered district. CoBank, ACB, is the only Agricultural Credit Bank in the Farm Credit System. The ACB operates under statutory authority that combines the authorities of a Farm Credit Bank (FCB) and a Bank for Cooperatives. In exercising its FCB authority, CoBank's charter limits its lending to 22 ACAs located in the northeast, central, and western regions of the country. As an entity lending to cooperatives, CoBank is chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing, and processing (including sugar, dairy, and ethanol). CoBank also makes loans to rural utilities, including telecommunications companies, and it provides international loans for the financing of agricultural exports.

##### Statement of Changes in Net Worth (in thousands of dollars)

	2017 act.	2018 act.	2019 est.	2020 est.
Beginning balance of net worth .....	8,653,830	8,897,129	9,058,428	9,542,518
Capital stock and participations issued .....	87,343	75,513	72,263	53,580
Capital stock and participations retired .....	25,890	31,164	50,324	40,596
Net income .....	961,547	1,328,251	971,144	1,005,492
Cash/Dividends/Patronage Distributions .....	-573,129	-655,756	-554,351	-544,891
Other, net .....	-206,572	-555,545	45,358	44,438
Ending balance of net worth .....	8,897,129	9,058,428	9,542,518	10,060,541

##### Financing Activities (in thousands of dollars)

	2017 act.	2018 act.	2019 est.	2020 est.
Beginning balance of outstanding system obligations .....	107,407,980	112,319,658	115,909,963	115,991,495

## AGRICULTURAL CREDIT BANK—Continued

## Financing Activities—Continued

	2017 act.	2018 act.	2019 est.	2020 est.
Consolidated systemwide and other bank bonds issued .....	38,993,663	44,632,727	45,944,156	47,294,118
Consolidated systemwide and other bank bonds retired .....	38,175,063	35,721,693	46,347,493	44,799,668
Consolidated systemwide notes, net .....	4,130,172	-5,295,962	500,000	500,000
Other (Net) .....	-37,094	-24,767	-15,131	-11,879
Ending balance of outstanding system obligations .....	112,319,658	115,909,963	115,991,495	118,974,066

## Balance Sheet (in millions of dollars)

Identification code 912-4991-0-4-351	2017 actual	2018 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	29,146	28,969
1206 Accrued interest receivable on loans .....	364	432
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	94,202	98,335
1603 Allowance for estimated uncollectible loans and interest (-) .....	-575	-586
1699 Value of assets related to direct loans .....	93,627	97,749
1803 Other Federal assets: Property, plant and equipment, net .....	1,199	854
1999 Total assets .....	124,336	128,004
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	1,353	1,357
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	112,320	115,910
2201 Notes payable and other interest-bearing liabilities .....	1,458	1,262
2202 Accrued interest payable .....	308	416
2999 Total liabilities .....	115,439	118,945
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	8,897	9,059
4999 Total liabilities and net position .....	124,336	128,004

## FARM CREDIT BANKS

## Status of Direct Loans (in millions of dollars)

Identification code 912-4992-0-4-371	2018 actual	2019 est.	2020 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	126,994	133,532	137,320
1231 Disbursements: Direct loan disbursements .....	212,067	219,328	230,395
1251 Repayments: Repayments and prepayments .....	-205,525	-215,521	-225,171
1263 Write-offs for default: Direct loans .....	-4	-19	-28
1290 Outstanding, end of year .....	133,532	137,320	142,516

The Agricultural Credit Act of 1987 required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. FCBs operate under statutory authority that combines the prior authorities of an FLB and of an FICB. Mergers and consolidations of FCBs across district lines, which began in 1992, have continued to date. As a result of this restructuring activity, three FCBs, headquartered in the following cities, remain as of October 1, 2018: AgFirst Farm Credit Bank, Columbia, South Carolina; AgriBank, FCB, St. Paul, Minnesota; and FCB of Texas, Austin, Texas.

FCBs serve as discount banks and, as of October 1, 2018, provided funds to one Federal Land Credit Association and 46 Agricultural Credit Associations. These direct-lender associations, in turn, primarily make short- and intermediate-term production loans and long-term real estate loans to eligible farmers and ranchers, farm-related businesses, and rural homeowners. FCBs can also lend to other financing institutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of FICBs, from their organization in 1923 to December 31, 1956, was held by the U.S. Government. The Farm Credit Act of 1956 provided a long-range plan for the eventual ownership of the FICBs by the production credit associations and the gradual retirement of

the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in FLBs was repaid in 1947.

## Statement of Changes in Net Worth (in thousands of dollars)

	2017 act.	2018 act.	2019 est.	2020 est.
Beginning balance of net worth .....	9,480,347	9,930,452	10,072,862	10,146,789
Capital stock and participations issued .....	246,055	195,623	84,163	86,583
Capital stock and participations retired .....	50,415	27,943	17,830	16,702
Surplus Retired .....	-5,866	42	0	0
Net income .....	1,084,095	1,070,908	994,727	1,027,758
Cash/Dividends/Patronage Distributions .....	-847,192	-973,857	-1,001,983	-1,002,641
Other, net .....	11,696	-122,279	14,850	153,467
Ending balance of net worth .....	9,930,452	10,072,862	10,146,789	10,395,254

## Financing Activities (in thousands of dollars)

	2017 act.	2018 act.	2019 est.	2020 est.
Beginning balance of outstanding system obligations .....	144,502,285	145,600,456	152,736,019	159,126,807
Consolidated systemwide and other bank bonds issued .....	226,875,182	217,751,504	235,069,409	242,891,286
Consolidated systemwide and other bank bonds retired .....	220,736,779	209,655,204	229,198,165	238,254,032
Consolidated systemwide notes, net .....	-5,052,998	-967,779	519,544	1,188,354
Other (Net) .....	12,766	7,042	0	0
Ending balance of outstanding system obligations .....	145,600,456	152,736,019	159,126,807	164,952,415

## Balance Sheet (in millions of dollars)

Identification code 912-4992-0-4-371	2017 actual	2018 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Cash and investment securities .....	29,276	29,926
1206 Accrued Interest Receivable .....	648	788
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross .....	126,994	133,532
1603 Allowance for estimated uncollectible loans and interest (-) .....	-48	-55
1699 Value of assets related to direct loans .....	126,946	133,477
1803 Other Federal assets: Property, plant and equipment, net .....	577	671
1999 Total assets .....	157,447	164,862
<b>LIABILITIES:</b>		
2104 Federal liabilities: Resources payable to Treasury .....	412	394
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds .....	145,600	152,736
2201 Notes payable and other interest-bearing liabilities .....	1,063	1,056
2202 Accrued interest payable .....	442	603
2999 Total liabilities .....	147,517	154,789
<b>NET POSITION:</b>		
3300 Cumulative results of operations .....	9,930	10,073
4999 Total liabilities and net position .....	157,447	164,862

## FEDERAL AGRICULTURAL MORTGAGE CORPORATION

## Status of Guaranteed Loans (in millions of dollars)

Identification code 912-4993-0-4-351	2018 actual	2019 est.	2020 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	18,644	19,541	19,541
2231 Disbursements of new guaranteed loans .....	4,966	.....	.....
2251 Repayments and prepayments .....	-4,069	.....	.....
2290 Outstanding, end of year .....	19,541	19,541	19,541
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	2,471	.....	.....

## FARMER MAC

Farmer Mac is authorized under the Farm Credit Act of 1971, as amended by the Agricultural Credit Act of 1987 (Act), to create a secondary market for agricultural real estate and rural home mortgages. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to

purchase, pool, and securitize the guaranteed portions of farmer program, rural business, and community development loans guaranteed by the U.S. Department of Agriculture (USDA). The Farmer Mac title was amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA), and expand the Agency's rulemaking authority. The Farm Credit System Reform Act of 1996 (1996 Act) amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by poolers as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased core capital requirements at Farmer Mac phased in over three years. Most recently, the 2008 Farm Bill, the Food, Conservation and Energy Act of 2008 amended the Farmer Mac title to authorize the financing of rural electric and telephone cooperatives.

Farmer Mac operates through several programs: the "Farm & Ranch" program involves mortgage loans secured by first liens on agricultural real estate, or rural housing (qualified loans); the "USDA guarantees" program involves the guaranteed portions of certain USDA-guaranteed loans; and the "Rural Utilities" program involves rural electric and telecommunications loans. Farmer Mac operates by: 1) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; 2) purchasing or guaranteeing "AgVantage" bonds backed by qualified loans; and 3) exchanging qualified loans or guaranteed portions for guaranteed securities. Loans purchased by Farmer Mac may be aggregated into pools that back Farmer Mac guaranteed securities, which are held by Farmer Mac or sold into the capital markets.

Farmer Mac is governed by a 15-member Board of Directors. Ten board members are elected by stockholders, including five by stockholders that are Farm Credit System (FCS) institutions and five by stockholders that are non-FCS financial services firms. Five are appointed by the President, subject to Senate confirmation.

#### FINANCING

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock, issuance of debt obligations, and income. Under procedures specified in the Act, Farmer Mac

may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill Farmer Mac's guarantee obligations.

As of September 30, 2018, Farmer Mac's core capital exceeded statutory requirements. Additionally, Farmer Mac's regulatory capital (core capital plus the allowance for loan losses) exceeded the amount of required regulatory capital as determined by the risk-based capital rule.

#### GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States and are not "Government securities."

Farmer Mac is subject to reporting requirements under securities laws, and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

#### REGULATION

Farmer Mac is federally regulated by FCA, acting through its Office of Secondary Market Oversight. FCA is responsible for the supervision of, examination of, and rulemaking for Farmer Mac.

#### Balance Sheet (in millions of dollars)

Identification code 912-4993-0-4-351	2017 actual	2018 actual
<b>ASSETS:</b>		
Non-Federal assets:		
1201 Investment in securities .....	2,235	2,269
1206 Receivables, net .....	134	87
Net value of assets related to direct loans receivable:		
1401 Direct loans receivable, gross .....	14,844	15,546
1402 Interest receivable .....	110	136
1499 Net present value of assets related to direct loans .....	14,954	15,682
1801 Other Federal assets: Cash and other monetary assets .....	367	436
1999 Total assets .....	17,690	18,474
<b>LIABILITIES:</b>		
Non-Federal liabilities:		
2201 Accounts payable .....	51	283
2202 Interest payable .....	62	87
2203 Debt .....	16,846	17,285
2204 Liabilities for loan guarantees .....	37	41
2999 Total liabilities .....	16,996	17,696
<b>NET POSITION:</b>		
3300 Invested capital .....	694	778
4999 Total liabilities and net position .....	17,690	18,474



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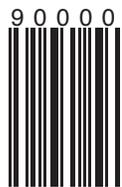




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